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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2012Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2012, or tax year beginning

, 2012, and ending

JAMES C ALLEN CHARITABLE FOUNDATION
PO BOX 1619
EDWARDS, CO 81632

A Employer identification number
84-1331519

B Telephone number (see the instructions)
970-926-5253

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ☐ \$ 2,957,807.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc., received (att sch)				
2 Ck ☒ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	2,606.	2,606.	N/A	
4 Dividends and interest from securities	53,674.	53,674.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	93,760.			
b Gross sales price for all assets on line 6a 811,505.				
7 Capital gain net income (from Part IV, line 2)		93,760.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	150,040.	150,040.		
ADMINISTRATIVE AND OPERATING EXPENSES				
13 Compensation of officers, directors, trustees, etc	19,472.			19,472.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) SEE ST 1	1,222.			
c Other prof fees (attach sch)				
17 Interest	19.			
18 Tax (attach schedule) (see instr) SEE STM 2	13,333.			1,020.
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	1,700.			1,700.
22 Printing and publications				
23 Other expenses (attach schedule) SEE STATEMENT 3	16,368.			695.
24 Total operating and administrative expenses. Add lines 13 through 23	52,114.			22,887.
25 Contributions, gifts, grants paid PART XV	149,170.			149,170.
26 Total expenses and disbursements. Add lines 24 and 25	201,284.	0.		172,057.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-51,244.			
b Net investment income (if negative, enter 0)		150,040.		
c Adjusted net income (if negative, enter 0)				

P1

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1	Cash — non-interest-bearing	-4,664.	16,224.	16,225.
	2	Savings and temporary cash investments	20,518.	16,126.	16,126.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) 30,000.			
		Less: allowance for doubtful accounts	40,000.	30,000.	30,000.
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U.S. and state government obligations (attach schedule) STATEMENT 4	3,323.	1,909.	3,072.
	b	Investments — corporate stock (attach schedule) STATEMENT 5	1,161,408.	1,132,645.	1,234,542.
	c	Investments — corporate bonds (attach schedule)			
L I A B I L I T I E S	11	Investments — land, buildings, and equipment: basis			
		Less: accumulated depreciation (attach schedule)			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule) STATEMENT 6	1,711,049.	1,681,441.	1,657,842.
	14	Land, buildings, and equipment: basis			
		Less: accumulated depreciation (attach schedule)			
	15	Other assets (describe)			
	16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	2,931,634.	2,878,345.	2,957,807.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
N E T A S S E T S O R F U N D B A L A N C E S	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe SEE STATEMENT 7)	3,066.	1,021.	
	23	Total liabilities (add lines 17 through 22)	3,066.	1,021.	
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
N E T A S S E T S O R F U N D B A L A N C E S	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,928,568.	2,877,324.	
	30	Total net assets or fund balances (see instructions)	2,928,568.	2,877,324.	
N E T A S S E T S O R F U N D B A L A N C E S	31	Total liabilities and net assets/fund balances (see instructions)	2,931,634.	2,878,345.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,928,568.
2	Enter amount from Part I, line 27a	2	-51,244.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	2,877,324.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	2,877,324.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	SEE STMT ST	P	VARIOUS	VARIOUS
b	SEE STMT LT	P	VARIOUS	VARIOUS
c	SEE STMT LT	P	VARIOUS	VARIOUS
d	SEE STMT CG DISTRIBUTIONS	P	VARIOUS	VARIOUS
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 479,869.		456,854.	23,015.
b 311,631.		260,891.	50,740.
c 17,105.			17,105.
d 2,900.			2,900.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			23,015.
b			50,740.
c			17,105.
d			2,900.
e			

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	93,760.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	93,760.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	147,551.	3,019,523.	0.048866
2010	144,874.	2,432,967.	0.059546
2009	207,985.	2,122,076.	0.098010
2008	229,937.	2,630,864.	0.087400
2007	252,956.	2,797,107.	0.090435

2 Total of line 1, column (d)	2	0.384257
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.076851
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	2,964,895.
5 Multiply line 4 by line 3	5	227,855.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,500.
7 Add lines 5 and 6	7	229,355.
8 Enter qualifying distributions from Part XII, line 4	8	172,057.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	3,001.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,001.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,001.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	8,000.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	8,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,999.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax 4,999. Refunded	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1 b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
1 c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ N/A		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>JODI TEAGUE</u> Telephone no <u>970-926-5253</u> Located at <u>PO BOX 973 EDWARDS CO</u> ZIP + 4 <u>81632</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA ALLEN PO BOX 973 EDWARDS, CO 81632	VP, SECRETAR 1.00	0.	0.	0.
MATTHEW ALLEN PO BOX 973 EDWARDS, CO 81632	PRESID, TREA 2.00	15,000.	4,472.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	2,939,211.
b Average of monthly cash balances	1 b	35,835.
c Fair market value of all other assets (see instructions)	1 c	35,000.
d Total (add lines 1a, b, and c)	1 d	3,010,046.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	3,010,046.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	45,151.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,964,895.
6 Minimum investment return. Enter 5% of line 5	6	148,245.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	148,245.
2a Tax on investment income for 2012 from Part VI, line 5	2 a	3,001.	
b Income tax for 2012. (This does not include the tax from Part VI.)	2 b		
c Add lines 2a and 2b	2 c	3,001.	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	145,244.	
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5	145,244.	
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	145,244.	

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	172,057.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	172,057.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	172,057.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				145,244.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	114,440.			
b From 2008	99,118.			
c From 2009	103,379.			
d From 2010	25,931.			
e From 2011	3,972.			
f Total of lines 3a through e	346,840.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 172,057.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2012 distributable amount				145,244.
e Remaining amount distributed out of corpus	26,813.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	373,653.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	114,440.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	259,213.			
10 Analysis of line 9				
a Excess from 2008	99,118.			
b Excess from 2009	103,379.			
c Excess from 2010	25,931.			
d Excess from 2011	3,972.			
e Excess from 2012	26,813.			

BAA

Form 990-PF (2012)

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a</i> Paid during the year SEE STATEMENT ATTACHED SEE STATEMENT SEE STMT,	N/A	STMT	SEE STATEMENT	149,170.
Total			3 a	149,170.
<i>b</i> Approved for future payment				
Total			3 b	

2012

FEDERAL STATEMENTS

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CLIENT ALLEN

JAMES C ALLEN CHARITABLE FOUNDATION

84-1331519

5/07/13

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STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND TAX	\$ 1,222.			
TOTAL	\$ 1,222.	\$ 0.		\$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL TAXES	\$ 11,532.			
FOREIGN TAXES - WF	781.			
PAYROLL TAXES	1,020.			\$ 1,020.
TOTAL	\$ 13,333.	\$ 0.		\$ 1,020.

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND LEGAL	\$ 4,389.			
BANK CHARGES	179.			
DUES AND SUBSCRIPTIONS	695.			\$ 695.
INVESTMENT / FIDUCIARY FEES	11,095.			
LICENSES AND PERMITS	10.			
TOTAL	\$ 16,368.	\$ 0.		\$ 695.

STATEMENT 4
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT SECURITIES - BERNSTEIN - STMT	COST	\$ 1,909.	\$ 3,072.
		\$ 1,909.	\$ 3,072.
TOTAL		\$ 1,909.	\$ 3,072.

2012

FEDERAL STATEMENTS

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JAMES C ALLEN CHARITABLE FOUNDATION

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STATEMENT 5
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
EQUITY SECURITIES - BERNSTEIN - SEE STMT	COST	\$ 1,132,645.	\$ 1,234,542.
	TOTAL	<u>\$ 1,132,645.</u>	<u>\$ 1,234,542.</u>

STATEMENT 6
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

<u>OTHER PUBLICLY TRADED SECURITIES</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
SECURITIES - BERNSTEIN - SEE STMT	COST	\$ 1,681,441.	\$ 1,657,842.
	TOTAL	<u>\$ 1,681,441.</u>	<u>\$ 1,657,842.</u>

STATEMENT 7
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

PAYROLL TAXES WITHHELD		\$ 1,021.
	TOTAL	<u>\$ 1,021.</u>

2012

FEDERAL SUPPORTING DETAIL

PAGE 1

CLIENT ALLEN

JAMES C ALLEN CHARITABLE FOUNDATION

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**BALANCE SHEET - INVESTMENTS
END OF YEAR AMOUNT
SECURITIES - BERNSTEIN - SEE STMT**

FIXED INCOME FUNDS	\$	624,114.
REAL ASSET FUNDS		140,899.
DYNAMIC ASSET FUNDS		916,428.
TOTAL	\$	<u>1,681,441.</u>

**BALANCE SHEET - INVESTMENTS
FAIR MARKET VALUE AT END OF YEAR (FORM 990-PF)
EQUITY SECURITIES - BERNSTEIN - SEE STMT**

EQUITY SECURITIES	\$	1,233,772.
EQUITY SECURITIES AI		770.
TOTAL	\$	<u>1,234,542.</u>

James C. Allen Charitable Foundation
Transaction Detail By Account
January through December 2012

	Type	Date	Num	Name	Amount
Bright Future Foundation, PO Box 2558, Avon, CO 81620					
Public - General	Check	08/27/2012	eft	Bright Future Foundation	3,400 00
Public - General	Check	09/01/2012	eft	Bright Future Foundation	425 00
Public - General	Check	10/01/2012	eft	Bright Future Foundation	425 00
Public - General	Check	11/01/2012	eft	Bright Future Foundation	425 00
Public - General	Check	12/01/2012	eft	Bright Future Foundation	425 00
Total Bright Future Foundation					5 100 00
Calvary Chapel, PO Box 979, Avon, CO 81620					
Religious - General	Check	08/27/2012	eft	Calvary Chapel	3,400 00
Religious - General	Check	09/01/2012	eft	Calvary Chapel	425 00
Religious - General	Check	10/01/2012	eft	Calvary Chapel	425 00
Religious - General	Check	11/01/2012	eft	Calvary Chapel	425 00
Religious - General	Check	12/01/2012	eft	Calvary Chapel	425 00
Religious - Missions	Check	12/27/2012	2170	Calvary Chapel	1,600 00
Total Calvary Chapel					6,700 00
CASA of the Continental Divide, PO Box 2092, Dillon, CO 80435					
Public - General	Check	12/27/2012	2178	CASA of the Continental Divide	2,500 00
Total CASA of the Continental Divide					2 500 00
Desert Springs Church, 43-435 Monterey Ave, Palm Desert CA 92260					
Religious - General	Check	08/27/2012	eft	Desert Springs Church	3,400 00
Religious - General	Check	09/01/2012	eft	Desert Springs Church	425 00
Religious - General	Check	10/01/2012	eft	Desert Springs Church	425 00
Religious - General	Check	11/01/2012	eft	Desert Springs Church	425 00
Religious - General	Check	12/01/2012	eft	Desert Springs Church	425 00
Total Desert Springs Church					5,100 00
Free Wheelchair Mission, PO Box 513538, Los Angeles, CA 90051-3538					
Medical - General	Check	08/21/2012	2161	Free Wheelchair Mission	1,000 00
Medical - General	Check	12/27/2012	2176	Free Wheelchair Mission	2 000 00
Total Free Wheelchair Mission					3,000 00
Growing Home, 2732 N Clark, Suite 310, Chicago, IL 60614					
Public - General	Check	12/27/2012	2175	Growing Home	5,000 00
Total Growing Home					5,000 00
Guide Dogs of the Desert, PO Box 1692, Palm Springs, CA 92263					
Public - General	Check	12/27/2012	2174	Guide Dogs of the Desert	500 00
Total Guide Dogs of the Desert					500 00
Habitat for Humanity, PO Box 4149, Avon, CO 81620					
Public - General	Check	12/27/2012	2181	Habitat for Humanity	2,000 00
Total Habitat for Humanity					2,000 00
Kingdom Building Ministries, 14485 E Evans, Aurora, CO 80014					
Religious - General	Check	03/08/2012	2143	Kingdom Building Ministries	500 00
Religious - General	Check	04/08/2012	2151	Kingdom Building Ministries	500 00
Religious - General	Check	05/04/2012	2145	Kingdom Building Ministries	500 00
Religious - Student Training	Check	05/21/2012	2153	Kingdom Building Ministries	4,900 00
Religious - General	Check	06/08/2012	2154	Kingdom Building Ministries	500 00
Religious - General	Check	07/08/2012	2157	Kingdom Building Ministries	500 00
Religious - General	Check	08/08/2012	2160	Kingdom Building Ministries	500 00
Religious - General	Check	09/01/2012	eft	Kingdom Building Ministries	500 00
Religious - General	Check	10/08/2012	eft	Kingdom Building Ministries	500 00
Religious - General	Check	11/08/2012	eft	Kingdom Building Ministries	500 00
Religious - General	Check	12/01/2012	eft	Kingdom Building Ministries	500 00
Total Kingdom Building Ministries					9,900 00
Liberty Institute, PO Box 9078, Pueblo, CO 81008-0078					

James C. Allen Charitable Foundation
Transaction Detail By Account
January through December 2012

	Type	Date	Num	Name	Amount
Religious - General	Check	12/27/2012	2171	Liberty Institute	5,000 00
Total Liberty Institute					5,000 00
Loving All Animals, 73550 Alessandro Drive, Palm Desert, CA 92260					
Public - General	Check	12/27/2012	2173	Loving All Animals	500 00
Total Loving All Animals					500 00
Manna Project, PO Box 121052, Nashville, TN 37212					
Religious - General	Check	12/27/2012	2177	Manna Project	2,000 00
Total Manna Project					2,000 00
Ounce of Prevention Fund, 33 W Monroe, Suite 2400, Chicago, IL 60603					
Public - General	Check	12/27/2012	2179	Ounce of Prevention Fund	7,500 00
Total Ounce of Prevention Fund					7,500 00
Reserve Employees Scholarship Fund, 49-400 Desert Butte Trail, Indian Wells, CA 92210					
Public - General	Check	12/27/2012	2184	Reserve Employees Scholarship Fund	500 00
Total Reserve Employees Scholarship Fund					500 00
Roundup River Ranch, PO Box 8589, Avon, CO 81620					
Public - General	Check	12/27/2012	2180	Roundup River Ranch	2 500 00
Total Roundup River Ranch					2 500 00
Samaritan's Purse, PO Box 3000, Boone, NC 28607					
Religious - General	Check	12/27/2012	2168	Samaritan's Purse	1,000 00
Total Samaritan's Purse					1,000 00
SCW, PO Box 515, Avon, CO 81620					
Public - General	Check	01/17/2012	visa	SCW	500 00
Public - General	Check	03/08/2012	2144	SCW	100 00
Public - General	Check	04/08/2012	2152	SCW	100 00
Public - General	Check	05/04/2012	2146	SCW	100 00
Public - General	Check	06/08/2012	2155	SCW	100 00
Public - General	Check	07/08/2012	2158	SCW	100 00
Public - General	Check	08/01/2012	2159	SCW	100 00
Public - General	Check	09/01/2012	eft	SCW	100 00
Public - General	Check	10/08/2012	eft	SCW	100 00
Public - General	Check	11/08/2012	eft	SCW	100 00
Public - General	Check	12/01/2012	eft	SCW	100 00
Public - General	Check	12/27/2012	2182	SCW	1,000 00
Total SCW					2,500 00
Second Chance with Saving Grace					
Religious - General	Check	08/27/2012	eft	Second Chance with Saving Grace	2,000 00
Total Second Chance with Saving Grace					2,000 00
Steck Elementary					
Public - General	Check	03/20/2012	visa	Steck Elementary	520 00
Total Steck Elementary					520 00
Swift Eagle Charitable Foundation, PO Box 1977, Avon, CO 81620					
Public - General	Check	12/27/2012	2165	Swift Eagle Charitable Foundation	1,000 00
Total Swift Eagle Charitable Foundation					1,000 00
The Youth Foundation, PO Box 2761, Edwards, CO 81632					
Public - Special Event	Check	03/27/2012	2150	The Youth Foundation	2,500 00
Public - Scholarship	Check	12/27/2012	2162	The Youth Foundation	10,000 00
Public - Scholarship	Check	12/27/2012	2163	The Youth Foundation	5,000 00
Public - Scholarship	Check	12/27/2012	2164	The Youth Foundation	8,000 00
Total The Youth Foundation					25,500 00

James C. Allen Charitable Foundation
Transaction Detail By Account
January through December 2012

	Type	Date	Num	Name	Amount
United Way of Eagle, PO Box 1833, Eagle, CO 81631					
Public - General	Check	12/27/2012	2183	United Way of Eagle	2,000 00
Total United Way of Eagle					2,000 00
Vail Bible Church					
Religious - General	Check	08/27/2012	eft	Vail Bible Church	3,400 00
Religious - General	Check	09/01/2012	eft	Vail Bible Church	425 00
Religious - General	Check	10/01/2012	eft	Vail Bible Church	425 00
Religious - General	Check	11/01/2012	eft	Vail Bible Church	425 00
Religious - General	Check	12/01/2012	eft	Vail Bible Church	425 00
Total Vail Bible Church					5,100 00
Vail Christian High School, 31621 Highway 6, Edwards, CO 81632					
Religious - General	Check	12/27/2012	2169	Vail Chstian High School	5,000 00
Total Vail Chnstian High School					5,000 00
Vail Memorial Park, PO Box 6444, Vail, CO 81658					
Public - General	Check	08/10/2012	1027	Vail Memonal Park	20,000 00
Total Vail Memorial Park					20,000 00
Vail Valley Foundation, PO Box 309, Vail, CO 81658					
Public - Vail Global Energy Forum	Check	12/27/2012	2172	Vail Valley Foundation	7,500 00
Total Vail Valley Foundation					7,500 00
Vail Valley Salvation Army, PO Box 2183, Edwards, CO 81632					
Public - General	Check	08/27/2012	eft	Vail Valley Salvation Army	2,000 00
Public - General	Check	12/27/2012	2166	Vail Valley Salvation Army	2,000 00
Total Vail Valley Salvation Army					4,000 00
Wild West Day, PO Box 18065, Avon, CO 81620					
Education - General	Check	05/21/2012	2147	Wild West Day	250 00
Total Wild West Day					250 00
Young Life Vail Valley, PO Box 2920, Colorado Springs, CO 80901					
Religious - General	Check	08/21/2012	eft	Young Life	2,000 00
Religious - General	Check	12/27/2012	2167	Young Life	3,000 00
Total Young Life					5,000 00
Hidden Harvest, PO Box 266, Coachella, CA 92236					
Public - General	General Journal	12/27/2012	2011-467		10,000 00
Total no name					10,000 00
TOTAL					149,170.00

James Allen Charitable Foundation
Average Value Calculations
12/31/2012

TO TAX RETURN:

Total Average	2,939,211	35,835	2,975,046
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WF - Checking	778-2693589
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	Securities	Cash	Total
January		22,381	22,381
February		21,945	21,945
March		17,778	17,778
April		5,441	5,441
May		14,013	14,013
June		8,639	8,639
July		9,192	9,192
August		39,818	39,818
September		15,924	15,924
October		6,427	6,427
November		4,012	4,012
December		93,598	93,598

Totals	-	259,168	259,168
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Number of months	12	12	12
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Average	-	21,597	21,597
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Bernstein	888-65238
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	Securities	Cash	Total
January	2,957,549	3,272	2,960,821
February	3,029,482	11,896	3,041,378
March	3,058,279	14,132	3,072,411
April	3,017,781	22,057	3,039,838
May	2,825,861	13,528	2,839,389
June	2,878,852	22,044	2,900,896
July	2,885,862	17,700	2,903,562
August	2,901,965	7,600	2,909,565
September	2,942,091	18,398	2,960,489
October	2,916,578	18,178	2,934,756
November	2,960,780	5,917	2,966,697
December	2,895,456	16,126	2,911,582

Totals	35,270,535	170,848	35,441,384
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Number of months	12	12	12
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Average	2,939,211	14,237	2,953,449
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ALL ACCOUNTS

	Ttl Security	Cash	Total
January	2,957,549	25,653	2,983,202
February	3,029,482	33,841	3,063,323
March	3,058,279	31,910	3,090,189
April	3,017,781	27,498	3,045,279
May	2,825,861	27,541	2,853,402
June	2,878,852	30,683	2,909,535
July	2,885,862	26,892	2,912,754
August	2,901,965	47,417	2,949,383
September	2,942,091	34,323	2,976,413
October	2,916,578	24,605	2,941,183
November	2,960,780	9,929	2,970,709
December	2,895,456	109,724	3,005,179
Totals	35,270,535	430,017	35,700,552
Number of months	12	12	12
Average	2,939,211	35,835	2,975,046

This report contains data for all accounts that were open as of the period ending date you selected, account 888-65238 (comprised of accounts 039-58993, 039-60377, and 039-60378)

Managed Assets

Cash Balances

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
16,125.88	CASH	N/A	\$1.00	\$1.00	\$16,126	\$16,126	\$0	0.1%	N/A	Cash
TOTAL Cash Balances							\$0	0.1%		

Fixed Income

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
445,719	AB BOND INFLATION STRATEGY CLASS 1(ABNOX)	12/28/2012	\$11.33	\$11.32	\$5,050	\$5,046	(\$4)	0.4%	888-65238	Bond Inflation Strategy
22,653	AB BOND INFLATION STRATEGY CLASS 1(ABNOX)	12/18/2012	\$11.33	\$11.32	\$257	\$256	(\$0)	0.4%	888-65238	Bond Inflation Strategy
53,941	AB BOND INFLATION STRATEGY CLASS 1(ABNOX)	11/16/2012	\$11.31	\$11.32	\$610	\$611	\$1	0.4%	888-65238	Bond Inflation Strategy
23,425	AB BOND INFLATION STRATEGY CLASS 1(ABNOX)	07/18/2012	\$11.07	\$11.32	\$259	\$265	\$6	0.4%	888-65238	Bond Inflation Strategy
113,449	AB BOND INFLATION STRATEGY CLASS 1(ABNOX)	06/18/2012	\$10.99	\$11.32	\$1,247	\$1,284	\$37	0.4%	888-65238	Bond Inflation Strategy
803,653	AB BOND INFLATION STRATEGY CLASS 1(ABNOX)	04/04/2012	\$10.95	\$11.32	\$8,800	\$9,097	\$297	0.4%	888-65238	Bond Inflation Strategy
1,073,679	AB BOND INFLATION STRATEGY CLASS 1(ABNOX)	11/15/2011	\$10.79	\$11.32	\$11,585	\$12,154	\$569	0.4%	888-65238	Bond Inflation Strategy
18,140,995	AB BOND INFLATION STRATEGY CLASS 1(ABNOX)	10/25/2011	\$10.72	\$11.32	\$194,471	\$205,356	\$10,885	0.4%	888-65238	Bond Inflation Strategy
Total 20,677,514							\$11,790	0.4%		
62,665	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	12/20/2012	\$14.06	\$14.09	\$881	\$883	\$2	1.7%	888-65238	Intermediate Duration Fund
282,091	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	12/12/2012	\$14.09	\$14.09	\$3,975	\$3,975	\$0	1.7%	888-65238	Intermediate Duration Fund
60,956	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	11/20/2012	\$14.25	\$14.09	\$869	\$859	(\$10)	1.7%	888-65238	Intermediate Duration Fund
65,168	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	10/19/2012	\$14.25	\$14.09	\$929	\$918	(\$10)	1.7%	888-65238	Intermediate Duration Fund
67,22	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	09/20/2012	\$14.18	\$14.09	\$953	\$947	(\$6)	1.7%	888-65238	Intermediate Duration Fund
63,778	BERNSTEIN INTERMEDIATE DURATION	08/20/2012	\$14.07	\$14.09	\$897	\$899	\$1	1.7%	888-65238	Intermediate Duration

Fixed Income

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
	PORTFOLIO(SNIDX)									Fund
72 568	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	07/20/2012	\$14.20	\$14.09	\$1,030	\$1,022	(\$8)	1 7%	888-65238	Intermediate Duration Fund
71 397	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	08/20/2012	\$14.01	\$14.09	\$1,000	\$1,006	\$6	1 7%	888-65238	Intermediate Duration Fund
64 852	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	05/18/2012	\$13.98	\$14.09	\$907	\$914	\$7	1 7%	888-65238	Intermediate Duration Fund
601 585	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	02/17/2012	\$13.88	\$14.09	\$8,350	\$8,476	\$126	1 7%	888-65238	Intermediate Duration Fund
655 773	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	12/14/2011	\$13.86	\$14.09	\$9,089	\$9,240	\$151	1 7%	888-65238	Intermediate Duration Fund
1,223 088	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	11/15/2011	\$14.12	\$14.09	\$17,270	\$17,233	(\$37)	1 7%	888-65238	Intermediate Duration Fund
389 243	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	11/14/2011	\$14.13	\$14.09	\$5,500	\$5,484 \$322 AI	(\$16)	1 7%	888-65238	Intermediate Duration Fund
24 853 451	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO(SNIDX)	10/25/2011	\$14.09	\$14.09	\$350,185	\$350,185	(\$0)	1 7%	888-65238	Intermediate Duration Fund
Total 28,533.835					\$401,835	\$402,042	\$207	1 7%		
TOTAL Fixed Income					\$624,114	\$636,433	\$11,997	1.2%		

Real Asset Securities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
311 484	AB REAL ASSET STRATEGY CLASS 1(AMTOX)	12/18/2012	\$11.01	\$11.14	\$3,429	\$3,470	\$40	0 0 %	888-65238	Real Asset Strategy
675 985	AB REAL ASSET STRATEGY CLASS 1(AMTOX)	11/15/2011	\$10.91	\$11.14	\$7,375	\$7,530	\$155	0 0 %	888-65238	Real Asset Strategy
12,068 126	AB REAL ASSET STRATEGY CLASS 1(AMTOX)	10/25/2011	\$10.78	\$11.14	\$130,094	\$134,439	\$4,345	0 0 %	888-65238	Real Asset Strategy
Total 13,055.595					\$140,899	\$145,439	\$4,540	0.0%		
TOTAL Real Asset Securities					\$140,899	\$145,439	\$4,540	0.0%		

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
5	AFFILIATED MANAGERS GROUP INC(AMG)	12/21/2012	\$130.93	\$130.15	\$655	\$651	(\$4)	0 0 %	888-65238	US Strategic Growth
15	AFFILIATED MANAGERS GROUP INC(AMG)	06/27/2012	\$104.70	\$130.15	\$1,571	\$1,952	\$382	0 0 %	888-65238	US Strategic Growth

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
30	AFFILIATED MANAGERS GROUP INC(AMG)	06/26/2012	\$102.54	\$130.15	\$3,076	\$3,905	\$828	0.0%	888-65238	US Strategic Growth
Total					\$5,301	\$6,508	\$1,206	0.0%		
7	ALLERGAN INC(AGN)	08/20/2010	\$62.92	\$91.73	\$440	\$642	\$202	0.2%	888-65238	US Strategic Growth
11	ALLERGAN INC(AGN)	08/19/2010	\$62.98	\$91.73	\$693	\$1,009	\$316	0.2%	888-65238	US Strategic Growth
13	ALLERGAN INC(AGN)	07/30/2010	\$60.96	\$91.73	\$792	\$1,192	\$400	0.2%	888-65238	US Strategic Growth
14	ALLERGAN INC(AGN)	07/30/2010	\$60.94	\$91.73	\$653	\$1,284	\$431	0.2%	888-65238	US Strategic Growth
14	ALLERGAN INC(AGN)	07/29/2010	\$60.74	\$91.73	\$650	\$1,284	\$434	0.2%	888-65238	US Strategic Growth
9	ALLERGAN INC(AGN)	07/28/2010	\$60.99	\$91.73	\$549	\$826	\$277	0.2%	888-65238	US Strategic Growth
1	ALLERGAN INC(AGN)	07/23/2010	\$60.97	\$91.73	\$61	\$92	\$31	0.2%	888-65238	US Strategic Growth
23	ALLERGAN INC(AGN)	07/23/2010	\$60.89	\$91.73	\$1,400	\$2,110	\$709	0.2%	888-65238	US Strategic Growth
Total					\$5,639	\$8,439	\$2,800	0.2%		
250	ALTRIA GROUP INC(MO)	10/25/2011	\$27.00	\$31.44	\$6,750	\$7,860	\$1,110	5.6%	888-65238	US Strategic Value
10	AMAZON COM INC(AMZN)	11/14/2012	\$224.39	\$251.14	\$2,244	\$2,511	\$267	0.0%	888-65238	US Strategic Growth
20	AMAZON COM INC(AMZN)	10/26/2012	\$235.65	\$251.14	\$4,713	\$5,023	\$310	0.0%	888-65238	US Strategic Growth
Total					\$6,957	\$7,534	\$577	0.0%		
45	ANSYS INC(ANSS)	07/24/2012	\$57.15	\$67.34	\$2,572	\$3,030	\$459	0.0%	888-65238	US Strategic Growth
50	ANSYS INC(ANSS)	06/28/2012	\$61.85	\$67.34	\$3,093	\$3,367	\$274	0.0%	888-65238	US Strategic Growth
Total					\$5,664	\$6,397	\$733	0.0%		
5	APPLE INC(AAPL)	10/09/2012	\$631.81	\$533.03	\$3,159	\$2,665	(\$494)	2.0%	888-65238	US Strategic Growth
8	APPLE INC(AAPL)	11/22/2011	\$373.60	\$533.03	\$2,989	\$4,264	\$1,275	2.0%	888-65238	US Strategic Growth
4	APPLE INC(AAPL)	06/16/2010	\$262.76	\$533.03	\$1,051	\$2,132	\$1,081	2.0%	888-65238	US Strategic Growth
9	APPLE INC(AAPL)	12/22/2009	\$199.99	\$533.03	\$1,800	\$4,797	\$2,997	2.0%	888-65238	US Strategic Growth
8	APPLE INC(AAPL)	12/22/2009	\$199.49	\$533.03	\$1,596	\$4,264	\$2,668	2.0%	888-65238	US Strategic Growth
21	APPLE INC(AAPL)	08/12/2009	\$165.50	\$533.03	\$3,475	\$11,194	\$7,718	2.0%	888-65238	US Strategic Growth
Total					\$14,070	\$29,317	\$15,246	2.0%		
200	APPLIED MATERIALS INC(AMAT)	06/06/2012	\$10.58	\$11.44	\$2,115	\$2,288	\$173	3.2%	888-65238	US Strategic Value
100	APPLIED MATERIALS INC(AMAT)	05/08/2012	\$11.03	\$11.44	\$1,103	\$1,144	\$41	3.2%	888-65238	US Strategic Value
175	APPLIED MATERIALS INC(AMAT)	02/01/2012	\$12.56	\$11.44	\$2,198	\$2,002	(\$196)	3.2%	888-65238	US Strategic Value
200	APPLIED MATERIALS INC(AMAT)	12/22/2011	\$10.46	\$11.44	\$2,091	\$2,288	\$197	3.2%	888-65238	US Strategic Value
250	APPLIED MATERIALS INC(AMAT)	10/31/2011	\$12.46	\$11.44	\$3,114	\$2,860	(\$254)	3.2%	888-65238	US Strategic Value
Total					\$10,620	\$10,582	(\$38)	3.2%		
50	ASTRAZENECA PLC-SPONS ADR(AZN)	07/02/2012	\$45.62	\$47.27	\$2,281	\$2,364	\$82	3.8%	888-65238	US Strategic Value
25	ASTRAZENECA PLC-SPONS ADR(AZN)	11/15/2011	\$46.19	\$47.27	\$1,155	\$1,182	\$27	3.8%	888-65238	US Strategic Value

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
25	ASTRAZENECA PLC-SPONS ADR(AZN)	11/10/2011	\$45.48	\$47.27	\$1,137	\$1,182	\$45	3.8%	888-65238	US Strategic Value
300	ASTRAZENECA PLC-SPONS ADR(AZN)	10/25/2011	\$48.27	\$47.27	\$14,482	\$14,181	(\$301)	3.8%	888-65238	US Strategic Value
400					\$19,055	\$18,908	(\$147)	3.8%		
65	AT&T INC(T)	10/25/2011	\$28.49	\$33.71	\$1,852	\$2,191	\$339	5.3%	888-65238	US Strategic Value
150	BANK OF AMERICA CORP(BAC)	12/12/2012	\$10.62	\$11.61	\$1,592	\$1,742	\$149	0.3%	888-65238	US Strategic Value
175	BANK OF AMERICA CORP(BAC)	12/04/2012	\$9.82	\$11.61	\$1,719	\$2,032	\$313	0.3%	888-65238	US Strategic Value
500	BANK OF AMERICA CORP(BAC)	11/26/2012	\$9.82	\$11.61	\$4,911	\$5,805	\$894	0.3%	888-65238	US Strategic Value
825					\$8,222	\$9,578	\$1,356	0.3%		
75	BB&T CORP(BBT)	09/14/2012	\$34.03	\$29.11	\$2,552	\$2,183	(\$369)	2.8%	888-65238	US Strategic Value
45	BB&T CORP(BBT)	09/13/2012	\$33.26	\$29.11	\$1,497	\$1,310	(\$187)	2.8%	888-65238	US Strategic Value
45	BB&T CORP(BBT)	09/07/2012	\$32.71	\$29.11	\$1,472	\$1,310	(\$162)	2.8%	888-65238	US Strategic Value
165					\$5,521	\$4,803	(\$717)	2.8%		
23 723	BERNSTEIN EMERGING MARKETS PORTFOLIO(SNEMX)	12/12/2012	\$27.63	\$28.27	\$655	\$671	\$15	1.3%	888-65238	Emerging Markets Fund
117 691	BERNSTEIN EMERGING MARKETS PORTFOLIO(SNEMX)	12/14/2011	\$23.91	\$28.27	\$2,814	\$3,327	\$513	1.3%	888-65238	Emerging Markets Fund
346 654	BERNSTEIN EMERGING MARKETS PORTFOLIO(SNEMX)	11/22/2011	\$25.82	\$28.27	\$8,950	\$9,800	\$850	1.3%	888-65238	Emerging Markets Fund
1,361 507	BERNSTEIN EMERGING MARKETS PORTFOLIO(SNEMX)	10/25/2011	\$27.02	\$28.27	\$36,788	\$38,490	\$1,702	1.3%	888-65238	Emerging Markets Fund
Total					\$49,208	\$52,287	\$3,080	1.3%		
444 892	BERNSTEIN INTERNATIONAL PORTFOLIO(SIMTX)	12/12/2012	\$13.65	\$13.91	\$6,073	\$6,188	\$116	1.9%	888-65238	International Portfolio
380 259	BERNSTEIN INTERNATIONAL PORTFOLIO(SIMTX)	07/20/2012	\$12.36	\$13.91	\$4,700	\$5,289	\$589	1.9%	888-65238	International Portfolio
390 625	BERNSTEIN INTERNATIONAL PORTFOLIO(SIMTX)	07/12/2012	\$12.16	\$13.91	\$4,750	\$5,434	\$684	1.9%	888-65238	International Portfolio
2,072 971	BERNSTEIN INTERNATIONAL PORTFOLIO(SIMTX)	11/15/2011	\$13.06	\$13.91	\$27,073	\$28,835	\$1,762	1.9%	888-65238	International Portfolio
20,159 06	BERNSTEIN INTERNATIONAL PORTFOLIO(SIMTX)	10/25/2011	\$13.46	\$13.91	\$271,341	\$280,413	\$9,072	1.9%	888-65238	International Portfolio
Total					\$313,937	\$326,159	\$12,222	1.9%		
5	BIODEN IDEC INC(BIIB)	11/13/2012	\$139.54	\$146.67	\$698	\$733	\$36	0.0%	888-65238	US Strategic Growth
36	BIODEN IDEC INC(BIIB)	08/13/2012	\$143.87	\$146.67	\$5,179	\$5,280	\$101	0.0%	888-65238	US Strategic Growth
9	BIODEN IDEC INC(BIIB)	07/25/2012	\$142.17	\$146.67	\$1,280	\$1,320	\$40	0.0%	888-65238	US Strategic Growth
50					\$7,157	\$7,334	\$177	0.0%		
15	BOEING CO/THE(BA)	01/26/2012	\$75.49	\$75.36	\$1,132	\$1,130	(\$2)	2.6%	888-65238	US Strategic Growth
15	BOEING CO/THE(BA)	01/04/2012	\$73.99	\$75.36	\$1,110	\$1,130	\$21	2.6%	888-65238	US Strategic Growth
20	BOEING CO/THE(BA)	11/22/2011	\$64.43	\$75.36	\$1,289	\$1,507	\$219	2.6%	888-65238	US Strategic Growth

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
70	BOEING CO(THE)(BA)	11/11/2011	\$66.97	\$75.36	\$4,688	\$5,275	\$587	2.6%	888-65238	US Strategic Growth
Total					\$8,219	\$9,043	\$824	2.6%		
50	BP PLC-SPONS ADR(BP)	05/17/2012	\$37.83	\$41.64	\$1,891	\$2,082	\$191	5.2%	888-65238	US Strategic Value
350	BP PLC-SPONS ADR(BP)	10/25/2011	\$43.64	\$41.64	\$15,273	\$14,574	(\$699)	5.2%	888-65238	US Strategic Value
Total					\$17,164	\$16,656	(\$508)	5.2%		
80	BROADCOM CORP-CL A(BRCM)	10/25/2011	\$36.93	\$33.21	\$2,954	\$2,657	(\$298)	1.2%	888-65238	US Strategic Growth
35	BUNGE LTD(BG)	09/25/2012	\$66.09	\$72.69	\$2,313	\$2,544	\$231	1.3%	888-65238	US Strategic Value
25	CELGENE CORP(CELG)	11/14/2012	\$74.99	\$78.72	\$1,875	\$1,968	\$93	0.0%	888-65238	US Strategic Growth
40	CELGENE CORP(CELG)	08/21/2012	\$71.27	\$78.72	\$2,851	\$3,149	\$298	0.0%	888-65238	US Strategic Growth
40	CELGENE CORP(CELG)	08/08/2012	\$71.61	\$78.72	\$2,864	\$3,149	\$285	0.0%	888-65238	US Strategic Growth
Total					\$7,590	\$8,266	\$676	0.0%		
4	CHIPOTLE MEXICAN GRILL-CL A(CMG)	07/31/2012	\$292.11	\$297.46	\$1,168	\$1,190	\$21	0.0%	888-65238	US Strategic Growth
11	CHIPOTLE MEXICAN GRILL-CL A(CMG)	07/20/2012	\$318.25	\$297.46	\$3,501	\$3,272	(\$229)	0.0%	888-65238	US Strategic Growth
3	CHIPOTLE MEXICAN GRILL-CL A(CMG)	07/10/2012	\$382.23	\$297.46	\$1,147	\$892	(\$254)	0.0%	888-65238	US Strategic Growth
1	CHIPOTLE MEXICAN GRILL-CL A(CMG)	07/09/2012	\$386.20	\$297.46	\$386	\$297	(\$89)	0.0%	888-65238	US Strategic Growth
Total					\$6,202	\$5,652	(\$550)	0.0%		
75	CISCO SYSTEMS INC(CSCO)	06/06/2012	\$16.50	\$19.65	\$1,237	\$1,474	\$237	2.9%	888-65238	US Strategic Value
120	CISCO SYSTEMS INC(CSCO)	03/13/2012	\$20.03	\$19.65	\$2,404	\$2,358	(\$46)	2.9%	888-65238	US Strategic Value
80	CISCO SYSTEMS INC(CSCO)	03/08/2012	\$19.71	\$19.65	\$1,577	\$1,572	(\$5)	2.9%	888-65238	US Strategic Value
185	CISCO SYSTEMS INC(CSCO)	02/10/2012	\$20.00	\$19.65	\$3,701	\$3,635	(\$65)	2.9%	888-65238	US Strategic Value
37	CISCO SYSTEMS INC(CSCO)	05/16/2011	\$16.80	\$19.65	\$622	\$727	\$105	2.9%	888-65238	US Strategic Value
28	CISCO SYSTEMS INC(CSCO)	05/13/2011	\$16.86	\$19.65	\$472	\$550	\$78	2.9%	888-65238	US Strategic Value
Total					\$10,012	\$10,316	\$304	2.9%		
105	CIT GROUP INC(CIT)	02/01/2012	\$39.02	\$38.64	\$4,097	\$4,057	(\$40)	0.0%	888-65238	US Strategic Value
45	CIT GROUP INC(CIT)	01/09/2012	\$35.98	\$38.64	\$1,619	\$1,739	\$120	0.0%	888-65238	US Strategic Value
150	CIT GROUP INC(CIT)	11/28/2011	\$31.76	\$38.64	\$4,764	\$5,796	\$1,032	0.0%	888-65238	US Strategic Value
Total					\$10,480	\$11,592	\$1,112	0.0%		
75	CITIGROUP INC(C)	07/20/2012	\$25.93	\$39.56	\$1,944	\$2,967	\$1,023	0.1%	888-65238	US Strategic Value
50	CITIGROUP INC(C)	11/28/2011	\$25.26	\$39.56	\$1,263	\$1,978	\$715	0.1%	888-65238	US Strategic Value
325	CITIGROUP INC(C)	10/25/2011	\$31.16	\$39.56	\$10,126	\$12,857	\$2,731	0.1%	888-65238	US Strategic Value
Total					\$13,333	\$17,802	\$4,469	0.1%		
15	CITRIX SYSTEMS INC(CTXS)	11/28/2012	\$59.66	\$65.75	\$895	\$986	\$91	0.0%	888-65238	US Strategic Growth
15	CITRIX SYSTEMS INC(CTXS)	11/12/2012	\$59.61	\$65.75	\$894	\$986	\$92	0.0%	888-65238	US Strategic Growth

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
30	CITRIX SYSTEMS INC(CTXS)	10/24/2012	\$63.98	\$65.75	\$1,920	\$1,973	\$53	0.0%	888-65238	US Strategic Growth
25	CITRIX SYSTEMS INC(CTXS)	09/26/2012	\$74.36	\$65.75	\$1,859	\$1,644	(\$215)	0.0%	888-65238	US Strategic Growth
110	CITRIX SYSTEMS INC(CTXS)	10/25/2011	\$65.46	\$65.75	\$7,200	\$7,233	\$32	0.0%	888-65238	US Strategic Growth
Total					\$12,768	\$12,821	\$53	0.0%		
40	COACH INC(COH)	07/31/2012	\$51.10	\$55.51	\$2,044	\$2,220	\$177	2.2%	888-65238	US Strategic Growth
25	COACH INC(COH)	05/16/2012	\$67.35	\$55.51	\$1,684	\$1,388	(\$296)	2.2%	888-65238	US Strategic Growth
10	COACH INC(COH)	04/26/2012	\$72.72	\$55.51	\$727	\$555	(\$172)	2.2%	888-65238	US Strategic Growth
Total					\$4,455	\$4,163	(\$291)	2.2%		
15	COGNIZANT TECH SOLUTIONS-A(CTSH)	11/12/2012	\$65.91	\$74.05	\$989	\$1,111	\$122	0.0%	888-65238	US Strategic Growth
10	COGNIZANT TECH SOLUTIONS-A(CTSH)	10/01/2012	\$70.65	\$74.05	\$706	\$741	\$34	0.0%	888-65238	US Strategic Growth
45	COGNIZANT TECH SOLUTIONS-A(CTSH)	05/10/2012	\$60.46	\$74.05	\$2,721	\$3,332	\$611	0.0%	888-65238	US Strategic Growth
25	COGNIZANT TECH SOLUTIONS-A(CTSH)	04/26/2012	\$73.44	\$74.05	\$1,836	\$1,851	\$15	0.0%	888-65238	US Strategic Growth
20	COGNIZANT TECH SOLUTIONS-A(CTSH)	04/20/2012	\$72.18	\$74.05	\$1,444	\$1,481	\$37	0.0%	888-65238	US Strategic Growth
20	COGNIZANT TECH SOLUTIONS-A(CTSH)	04/13/2012	\$74.34	\$74.05	\$1,487	\$1,481	(\$6)	0.0%	888-65238	US Strategic Growth
65	COGNIZANT TECH SOLUTIONS-A(CTSH)	04/10/2012	\$75.32	\$74.05	\$4,896	\$4,813	(\$83)	0.0%	888-65238	US Strategic Growth
Total					\$14,078	\$14,810	\$732	0.0%		
63	COMCAST CORP-CLASS A(CMCSA)	09/28/2012	\$35.73	\$37.38	\$2,251	\$2,355	\$104	1.7%	888-65238	US Strategic Value
138	COMCAST CORP-CLASS A(CMCSA)	10/25/2011	\$24.47	\$37.38	\$3,377	\$5,158	\$1,782	1.7%	888-65238	US Strategic Value
212	COMCAST CORP-CLASS A(CMCSA)	10/25/2011	\$24.47	\$37.38	\$5,187	\$7,925	\$2,737	1.7%	888-65238	US Strategic Growth
Total					\$10,815	\$15,438	\$4,623	1.7%		
15	CUMMINS INC(CMI)	07/12/2012	\$85.07	\$108.35	\$1,276	\$1,625	\$349	1.9%	888-65238	US Strategic Value
35	CUMMINS INC(CMI)	06/28/2012	\$91.01	\$108.35	\$3,185	\$3,792	\$607	1.9%	888-65238	US Strategic Value
Total					\$4,461	\$5,418	\$956	1.9%		
65	DANAHER CORP(DHR)	04/24/2012	\$53.13	\$55.90	\$3,453	\$3,634	\$180	0.2%	888-65238	US Strategic Growth
120	DANAHER CORP(DHR)	04/19/2005	\$24.55	\$55.90	\$2,946	\$6,708	\$3,762	0.2%	888-65238	US Strategic Growth
Total					\$6,400	\$10,342	\$3,942	0.2%		
375	DELTA AIR LINES INC(DAL)	10/25/2011	\$8.47	\$11.87	\$3,175	\$4,451	\$1,276	0.0%	888-65238	US Strategic Value
35	DIAMOND OFFSHORE DRILLING(DO)	11/01/2012	\$69.87	\$67.96	\$2,445	\$2,379	(\$67)	0.7%	888-65238	US Strategic Value
30	DIAMOND OFFSHORE DRILLING(DO)	10/16/2012	\$69.30	\$67.96	\$2,079	\$2,039	(\$40)	0.7%	888-65238	US Strategic Value
Total					\$4,525	\$4,417	(\$107)	0.7%		
45	DIRECTV(DTV)	03/21/2012	\$48.11	\$50.16	\$2,165	\$2,257	\$92	0.0%	888-65238	US Strategic Value
35	DIRECTV(DTV)	10/25/2011	\$47.49	\$50.16	\$1,662	\$1,756	\$93	0.0%	888-65238	US Strategic Value
Total					\$3,827	\$4,013	\$186	0.0%		

Holdings By Lot

Account: JAMES C. ALLEN CHARITABLE FOUNDATION (888-65238)
As of December 31, 2012

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
75	DISCOVER FINANCIAL SERVICES(DFS)	11/27/2012	\$40.75	\$38.55	\$3,057	\$2,891	(\$165)	1.5%	888-65238	US Strategic Value
30	DISCOVER FINANCIAL SERVICES(DFS)	11/12/2012	\$41.29	\$38.55	\$1,239	\$1,157	(\$82)	1.5%	888-65238	US Strategic Value
170	DISCOVER FINANCIAL SERVICES(DFS)	09/14/2012	\$39.22	\$38.55	\$6,667	\$6,554	(\$114)	1.5%	888-65238	US Strategic Value
275					\$10,963	\$10,601	(\$361)	1.5%		
15	DOLLAR GENERAL CORP(DG)	11/13/2012	\$46.74	\$44.09	\$701	\$661	(\$40)	0.0%	888-65238	US Strategic Growth
60	DOLLAR GENERAL CORP(DG)	09/18/2012	\$50.12	\$44.09	\$3,007	\$2,645	(\$362)	0.0%	888-65238	US Strategic Growth
30	DOLLAR GENERAL CORP(DG)	12/22/2011	\$41.08	\$44.09	\$1,232	\$1,323	\$90	0.0%	888-65238	US Strategic Growth
40	DOLLAR GENERAL CORP(DG)	10/26/2011	\$39.79	\$44.09	\$1,592	\$1,764	\$172	0.0%	888-65238	US Strategic Growth
145					\$6,532	\$6,393	(\$139)	0.0%		
40	EBAY INC(EBAY)	11/07/2012	\$48.00	\$51.02	\$1,920	\$2,041	\$121	0.0%	888-65238	US Strategic Growth
25	EBAY INC(EBAY)	09/07/2012	\$49.06	\$51.02	\$1,227	\$1,276	\$49	0.0%	888-65238	US Strategic Growth
40	EBAY INC(EBAY)	09/04/2012	\$47.07	\$51.02	\$1,883	\$2,041	\$158	0.0%	888-65238	US Strategic Growth
70	EBAY INC(EBAY)	08/24/2012	\$47.21	\$51.02	\$3,305	\$3,571	\$266	0.0%	888-65238	US Strategic Growth
175					\$8,334	\$8,929	\$594	0.0%		
55	EDISON INTERNATIONAL(EIX)	02/21/2012	\$41.63	\$45.19	\$2,289	\$2,485	\$196	3.0%	888-65238	US Strategic Value
19	EMC CORP(MASS)(EMC)	06/15/2011	\$26.86	\$25.30	\$510	\$481	(\$30)	0.0%	888-65238	US Strategic Growth
26	EMC CORP(MASS)(EMC)	06/14/2011	\$26.88	\$25.30	\$699	\$658	(\$41)	0.0%	888-65238	US Strategic Growth
34	EMC CORP(MASS)(EMC)	06/13/2011	\$26.27	\$25.30	\$893	\$860	(\$33)	0.0%	888-65238	US Strategic Growth
26	EMC CORP(MASS)(EMC)	06/13/2011	\$26.12	\$25.30	\$679	\$658	(\$21)	0.0%	888-65238	US Strategic Growth
105					\$2,781	\$2,657	(\$125)	0.0%		
30	EOG RESOURCES INC(EOG)	10/25/2011	\$89.35	\$120.79	\$2,680	\$3,624	\$943	0.6%	888-65238	US Strategic Growth
25	ESTEEL LAUDER COMPANIES-CL A(EL)	09/26/2012	\$60.66	\$59.86	\$1,516	\$1,497	(\$20)	1.2%	888-65238	US Strategic Growth
30	ESTEEL LAUDER COMPANIES-CL A(EL)	09/14/2012	\$61.09	\$59.86	\$1,833	\$1,796	(\$37)	1.2%	888-65238	US Strategic Growth
50	ESTEEL LAUDER COMPANIES-CL A(EL)	06/05/2012	\$53.68	\$59.86	\$2,684	\$2,993	\$309	1.2%	888-65238	US Strategic Growth
105					\$6,033	\$6,285	\$252	1.2%		
25	EXXON MOBIL CORP(XOM)	12/28/2012	\$85.73	\$86.55	\$2,143	\$2,164	\$20	2.6%	888-65238	US Strategic Value
35	EXXON MOBIL CORP(XOM)	11/05/2012	\$90.08	\$86.55	\$3,153	\$3,029	(\$123)	2.6%	888-65238	US Strategic Value
20	EXXON MOBIL CORP(XOM)	09/28/2012	\$91.07	\$86.55	\$1,821	\$1,731	(\$90)	2.6%	888-65238	US Strategic Value
50	EXXON MOBIL CORP(XOM)	09/07/2012	\$89.83	\$86.55	\$4,491	\$4,328	(\$164)	2.6%	888-65238	US Strategic Value
20	EXXON MOBIL CORP(XOM)	08/24/2012	\$87.82	\$86.55	\$1,756	\$1,731	(\$25)	2.6%	888-65238	US Strategic Value
30	EXXON MOBIL CORP(XOM)	08/21/2012	\$87.97	\$86.55	\$2,639	\$2,597	(\$43)	2.6%	888-65238	US Strategic Value
26	EXXON MOBIL CORP(XOM)	08/10/2012	\$88.14	\$86.55	\$2,292	\$2,250	(\$41)	2.6%	888-65238	US Strategic Value
19	EXXON MOBIL CORP(XOM)	08/09/2012	\$88.22	\$86.55	\$1,676	\$1,644	(\$32)	2.6%	888-65238	US Strategic Value

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
225					\$19,972	\$19,474	(\$498)	2.6%		
20	F5 NETWORKS INC(FEIV)	06/05/2012	\$100.03	\$97.15	\$2,001	\$1,943	(\$58)	0.0%	888-65238	US Strategic Growth
15	F5 NETWORKS INC(FEIV)	05/25/2012	\$110.10	\$97.15	\$1,652	\$1,457	(\$194)	0.0%	888-65238	US Strategic Growth
10	F5 NETWORKS INC(FEIV)	05/21/2012	\$116.06	\$97.15	\$1,161	\$972	(\$189)	0.0%	888-65238	US Strategic Growth
20	F5 NETWORKS INC(FEIV)	04/16/2012	\$122.82	\$97.15	\$2,456	\$1,943	(\$513)	0.0%	888-65238	US Strategic Growth
65					\$7,269	\$6,315	(\$954)	0.0%		
125	FACEBOOK INC-A(FB)	11/13/2012	\$19.87	\$26.63	\$2,484	\$3,329	\$845	0.0%	888-65238	US Strategic Growth
100	FACEBOOK INC-A(FB)	11/09/2012	\$19.88	\$26.63	\$1,988	\$2,663	\$675	0.0%	888-65238	US Strategic Growth
225					\$4,472	\$5,992	\$1,520	0.0%		
55	FIDELITY NATIONAL FINANCIAL IN(FNF)	09/28/2012	\$21.25	\$23.55	\$1,169	\$1,295	\$127	2.7%	888-65238	US Strategic Value
110	FIDELITY NATIONAL FINANCIAL IN(FNF)	09/13/2012	\$19.89	\$23.55	\$2,188	\$2,591	\$402	2.7%	888-65238	US Strategic Value
165					\$3,357	\$3,886	\$529	2.7%		
18	FLOWERVE CORP(FLS)	10/25/2011	\$87.82	\$146.80	\$1,581	\$2,642	\$1,062	1.0%	888-65238	US Strategic Value
17	FLOWERVE CORP(FLS)	10/25/2011	\$87.82	\$146.80	\$1,493	\$2,496	\$1,003	1.0%	888-65238	US Strategic Growth
35					\$3,074	\$5,138	\$2,064	1.0%		
100	FORD MOTOR CO(F)	11/14/2012	\$10.92	\$12.95	\$1,092	\$1,295	\$203	1.5%	888-65238	US Strategic Value
350	FORD MOTOR CO(F)	11/01/2012	\$10.97	\$12.95	\$3,839	\$4,533	\$694	1.5%	888-65238	US Strategic Value
75	FORD MOTOR CO(F)	09/17/2012	\$10.36	\$12.95	\$777	\$971	\$194	1.5%	888-65238	US Strategic Value
525					\$5,707	\$6,799	\$1,091	1.5%		
105	GAMESTOP CORP(GME)	10/25/2011	\$25.86	\$25.09	\$2,715	\$2,634	(\$81)	4.0%	888-65238	US Strategic Value
225	GANNETT CO(GCI)	10/25/2011	\$11.46	\$18.01	\$2,578	\$4,052	\$1,474	4.4%	888-65238	US Strategic Value
55	GILEAD SCIENCES INC(GILD)	10/25/2011	\$41.51	\$73.45	\$2,283	\$4,040	\$1,757	0.0%	888-65238	US Strategic Growth
2	GOOGLE INC-CL A(GOOG)	10/25/2011	\$588.50	\$709.37	\$1,177	\$1,419	\$242	0.0%	888-65238	US Strategic Growth
3	GOOGLE INC-CL A(GOOG)	12/10/2010	\$591.01	\$709.37	\$1,773	\$2,128	\$355	0.0%	888-65238	US Strategic Growth
2	GOOGLE INC-CL A(GOOG)	12/09/2010	\$591.09	\$709.37	\$1,182	\$1,419	\$237	0.0%	888-65238	US Strategic Growth
4	GOOGLE INC-CL A(GOOG)	12/23/2008	\$298.00	\$709.37	\$1,192	\$2,837	\$1,645	0.0%	888-65238	US Strategic Growth
8	GOOGLE INC-CL A(GOOG)	11/25/2008	\$273.05	\$709.37	\$2,184	\$5,675	\$3,491	0.0%	888-65238	US Strategic Growth
5	GOOGLE INC-CL A(GOOG)	09/19/2008	\$445.27	\$709.37	\$2,226	\$3,547	\$1,320	0.0%	888-65238	US Strategic Growth
1	GOOGLE INC-CL A(GOOG)	02/04/2008	\$494.62	\$709.37	\$495	\$709	\$215	0.0%	888-65238	US Strategic Growth
25					\$10,230	\$17,734	\$7,505	0.0%		
41	HARLEY DAVIDSON INC(HOG)	11/15/2011	\$39.60	\$48.83	\$1,624	\$2,002	\$378	1.3%	888-65238	US Strategic Growth
9	HARLEY DAVIDSON INC(HOG)	08/05/2011	\$38.88	\$48.83	\$350	\$439	\$90	1.3%	888-65238	US Strategic Growth
50					\$1,974	\$2,442	\$468	1.3%		

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
25	HARRIS CORP(HRS)	10/04/2012	\$51.13	\$48.96	\$1,278	\$1,224	(\$54)	3.0%	888-65238	US Strategic Value
45	HARRIS CORP(HRS)	09/14/2012	\$50.08	\$48.96	\$2,254	\$2,203	(\$50)	3.0%	888-65238	US Strategic Value
Total					\$3,532	\$3,427	(\$105)	3.0%		
95	HEALTH NET INC(HNT)	10/25/2011	\$27.67	\$24.30	\$2,629	\$2,309	(\$320)	0.0%	888-65238	US Strategic Value
25	HELMERICH & PAYNE(HP)	07/03/2012	\$45.18	\$56.01	\$1,130	\$1,400	\$271	1.1%	888-65238	US Strategic Value
35	HELMERICH & PAYNE(HP)	05/30/2012	\$45.68	\$56.01	\$1,599	\$1,960	\$362	1.1%	888-65238	US Strategic Value
20	HELMERICH & PAYNE(HP)	05/23/2012	\$45.14	\$56.01	\$903	\$1,120	\$217	1.1%	888-65238	US Strategic Value
Total					\$3,631	\$4,481	\$850	1.1%		
35	HERSHEY CO/THE(HSY)	02/24/2012	\$60.98	\$72.22	\$2,134	\$2,528	\$394	2.3%	888-65238	US Strategic Growth
35	HERSHEY CO/THE(HSY)	02/14/2012	\$60.45	\$72.22	\$2,116	\$2,528	\$412	2.3%	888-65238	US Strategic Growth
25	HERSHEY CO/THE(HSY)	12/16/2011	\$59.35	\$72.22	\$1,484	\$1,806	\$322	2.3%	888-65238	US Strategic Growth
Total					\$5,733	\$6,861	\$1,127	2.3%		
75	HEWLETT-PACKARD CO(HPQ)	09/18/2012	\$18.19	\$14.25	\$1,364	\$1,069	(\$295)	3.7%	888-65238	US Strategic Value
75	HEWLETT-PACKARD CO(HPQ)	07/12/2012	\$19.31	\$14.25	\$1,448	\$1,069	(\$379)	3.7%	888-65238	US Strategic Value
75	HEWLETT-PACKARD CO(HPQ)	03/23/2012	\$23.21	\$14.25	\$1,741	\$1,069	(\$672)	3.7%	888-65238	US Strategic Value
50	HEWLETT-PACKARD CO(HPQ)	03/02/2012	\$25.42	\$14.25	\$1,271	\$713	(\$558)	3.7%	888-65238	US Strategic Value
50	HEWLETT-PACKARD CO(HPQ)	12/20/2011	\$25.99	\$14.25	\$1,299	\$713	(\$587)	3.7%	888-65238	US Strategic Value
75	HEWLETT-PACKARD CO(HPQ)	11/22/2011	\$26.47	\$14.25	\$1,985	\$1,069	(\$916)	3.7%	888-65238	US Strategic Value
75	HEWLETT-PACKARD CO(HPQ)	11/15/2011	\$27.47	\$14.25	\$2,060	\$1,069	(\$991)	3.7%	888-65238	US Strategic Value
475	HEWLETT-PACKARD CO(HPQ)	10/25/2011	\$25.47	\$14.25	\$12,100	\$6,769	(\$5,331)	3.7%	888-65238	US Strategic Value
Total					\$23,268	\$13,538	(\$9,731)	3.7%		
10	IDEXX LABS CORP(IDXX)	11/26/2012	\$93.85	\$92.80	\$939	\$928	(\$11)	0.0%	888-65238	US Strategic Growth
10	IDEXX LABS CORP(IDXX)	11/16/2012	\$90.42	\$92.80	\$904	\$928	\$24	0.0%	888-65238	US Strategic Growth
20	IDEXX LABS CORP(IDXX)	11/06/2012	\$93.99	\$92.80	\$1,880	\$1,856	(\$24)	0.0%	888-65238	US Strategic Growth
15	IDEXX LABS CORP(IDXX)	07/23/2012	\$87.57	\$92.80	\$1,313	\$1,392	\$79	0.0%	888-65238	US Strategic Growth
50	IDEXX LABS CORP(IDXX)	07/18/2012	\$91.96	\$92.80	\$4,598	\$4,640	\$42	0.0%	888-65238	US Strategic Growth
Total					\$9,634	\$9,744	\$110	0.0%		
30	ILLUMINA INC(ILMN)	06/13/2012	\$39.47	\$55.59	\$1,184	\$1,668	\$484	0.0%	888-65238	US Strategic Growth
10	INTERCONTINENTAL EXCHANGE INC(ICE)	12/20/2012	\$127.94	\$123.81	\$1,279	\$1,238	(\$41)	0.0%	888-65238	US Strategic Growth
15	INTERCONTINENTAL EXCHANGE INC(ICE)	12/03/2012	\$132.09	\$123.81	\$1,981	\$1,857	(\$124)	0.0%	888-65238	US Strategic Growth
85	INTERCONTINENTAL EXCHANGE INC(ICE)	08/09/2012	\$131.98	\$123.81	\$11,218	\$10,524	(\$695)	0.0%	888-65238	US Strategic Growth
Total					\$14,479	\$13,619	(\$860)	0.0%		
25	INTUIT INC(INTU)	05/02/2012	\$58.19	\$59.50	\$1,455	\$1,488	\$33	1.1%	888-65238	US Strategic Growth

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
45	INTUIT INC(INTU)	10/25/2011	\$53.62	\$59.50	\$2,413	\$2,678	\$265	1.1%	888-65238	US Strategic Growth
Total					\$3,867	\$4,165	\$298	1.1%		
3	INTUITIVE SURGICAL INC(ISRG)	11/28/2012	\$530.06	\$490.37	\$1,590	\$1,471	(\$119)	0.0%	888-65238	US Strategic Growth
3	INTUITIVE SURGICAL INC(ISRG)	11/21/2012	\$533.95	\$490.37	\$1,602	\$1,471	(\$131)	0.0%	888-65238	US Strategic Growth
4	INTUITIVE SURGICAL INC(ISRG)	11/12/2012	\$532.77	\$490.37	\$2,131	\$1,961	(\$170)	0.0%	888-65238	US Strategic Growth
3	INTUITIVE SURGICAL INC(ISRG)	09/18/2012	\$511.99	\$490.37	\$1,536	\$1,471	(\$65)	0.0%	888-65238	US Strategic Growth
5	INTUITIVE SURGICAL INC(ISRG)	08/08/2012	\$501.16	\$490.37	\$2,506	\$2,452	(\$54)	0.0%	888-65238	US Strategic Growth
Total					\$9,365	\$8,827	(\$538)	0.0%		
105	JPMORGAN CHASE & CO(JPM)	03/21/2012	\$45.19	\$43.97	\$4,745	\$4,617	(\$128)	2.7%	888-65238	US Strategic Value
75	KROGER CO(KR)	02/03/2012	\$24.04	\$26.02	\$1,803	\$1,952	\$149	2.3%	888-65238	US Strategic Value
475	KROGER CO(KR)	10/25/2011	\$23.12	\$26.02	\$10,983	\$12,360	\$1,376	2.3%	888-65238	US Strategic Value
Total					\$12,786	\$14,311	\$1,525	2.3%		
30	LEAR CORP(LEA)	05/09/2012	\$41.77	\$46.84	\$1,253	\$1,405	\$152	1.2%	888-65238	US Strategic Value
105	LEAR CORP(LEA)	10/25/2011	\$48.88	\$46.84	\$5,133	\$4,918	(\$215)	1.2%	888-65238	US Strategic Value
Total					\$6,386	\$6,323	(\$62)	1.2%		
25	LINKEDIN CORP - A(LNKD)	11/08/2012	\$99.60	\$114.82	\$2,490	\$2,871	\$380	0.0%	888-65238	US Strategic Growth
30	LINKEDIN CORP - A(LNKD)	06/04/2012	\$89.24	\$114.82	\$2,677	\$3,445	\$767	0.0%	888-65238	US Strategic Growth
Total					\$5,167	\$6,315	\$1,148	0.0%		
10	LORILLARD INC(LO)	08/16/2012	\$127.40	\$116.67	\$1,274	\$1,167	(\$107)	5.3%	888-65238	US Strategic Value
15	LORILLARD INC(LO)	08/15/2012	\$124.99	\$116.67	\$1,875	\$1,750	(\$125)	5.3%	888-65238	US Strategic Value
10	LORILLARD INC(LO)	11/10/2011	\$108.26	\$116.67	\$1,083	\$1,167	\$84	5.3%	888-65238	US Strategic Value
40	LORILLARD INC(LO)	10/25/2011	\$113.59	\$116.67	\$4,544	\$4,667	\$123	5.3%	888-65238	US Strategic Value
Total					\$8,775	\$8,750	(\$25)	5.3%		
30	LYONDELLBASELL INDU-CL A(LYB)	12/05/2012	\$48.50	\$57.09	\$1,455	\$1,713	\$258	0.2%	888-65238	US Strategic Value
40	LYONDELLBASELL INDU-CL A(LYB)	10/05/2012	\$53.01	\$57.09	\$2,121	\$2,284	\$163	0.2%	888-65238	US Strategic Value
40	LYONDELLBASELL INDU-CL A(LYB)	05/07/2012	\$39.65	\$57.09	\$1,586	\$2,284	\$698	0.2%	888-65238	US Strategic Value
45	LYONDELLBASELL INDU-CL A(LYB)	11/04/2011	\$28.82	\$57.09	\$1,297	\$2,569	\$1,272	0.2%	888-65238	US Strategic Value
Total					\$6,459	\$8,849	\$2,390	0.2%		
110	MACY'S INC(M)	06/07/2012	\$36.66	\$39.02	\$4,033	\$4,292	\$259	2.1%	888-65238	US Strategic Value
70	MACY'S INC(M)	06/06/2012	\$37.13	\$39.02	\$2,599	\$2,731	\$132	2.1%	888-65238	US Strategic Value
45	MACY'S INC(M)	05/30/2012	\$38.31	\$39.02	\$1,724	\$1,756	\$32	2.1%	888-65238	US Strategic Value
Total					\$8,356	\$8,780	\$424	2.1%		
25	MCGRAW-HILL COMPANIES INC(MHP)	08/24/2012	\$49.31	\$54.67	\$1,233	\$1,367	\$134	6.4%	888-65238	US Strategic Value

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
25	MCGRAW-HILL COMPANIES INC(MHP)	08/08/2012	\$49.27	\$54.67	\$1,232	\$1,367	\$135	6.4%	888-65238	US Strategic Value
25	MCGRAW-HILL COMPANIES INC(MHP)	06/07/2012	\$44.01	\$54.67	\$1,100	\$1,367	\$266	6.4%	888-65238	US Strategic Value
40	MCGRAW-HILL COMPANIES INC(MHP)	10/25/2011	\$44.01	\$54.67	\$1,760	\$2,187	\$426	6.4%	888-65238	US Strategic Value
Total	115				\$5,325	\$6,287	\$962	6.4%		
15	MCKESSON CORP(MCK)	05/25/2012	\$87.76	\$96.96	\$1,316	\$1,454	\$138	0.8%	888-65238	US Strategic Growth
20	MCKESSON CORP(MCK)	05/02/2012	\$89.97	\$96.96	\$1,799	\$1,939	\$140	0.8%	888-65238	US Strategic Growth
15	MCKESSON CORP(MCK)	04/04/2012	\$88.16	\$96.96	\$1,322	\$1,454	\$132	0.8%	888-65238	US Strategic Growth
20	MCKESSON CORP(MCK)	03/06/2012	\$82.38	\$96.96	\$1,648	\$1,939	\$292	0.8%	888-65238	US Strategic Growth
Total	70				\$6,086	\$6,787	\$701	0.8%		
35	MEDTRONIC INC(MDT)	12/28/2012	\$41.06	\$41.02	\$1,437	\$1,436	(\$1)	2.5%	888-65238	US Strategic Value
100	MEDTRONIC INC(MDT)	10/05/2012	\$44.68	\$41.02	\$4,468	\$4,102	(\$366)	2.5%	888-65238	US Strategic Value
Total	135				\$5,905	\$5,538	(\$367)	2.5%		
125	MGM RESORTS INTERNATIONAL(MGM)	10/05/2012	\$10.59	\$11.64	\$1,324	\$1,455	\$131	0.0%	888-65238	US Strategic Value
100	MGM RESORTS INTERNATIONAL(MGM)	05/17/2012	\$10.39	\$11.64	\$1,039	\$1,164	\$125	0.0%	888-65238	US Strategic Value
100	MGM RESORTS INTERNATIONAL(MGM)	04/27/2012	\$13.72	\$11.64	\$1,372	\$1,164	(\$208)	0.0%	888-65238	US Strategic Value
125	MGM RESORTS INTERNATIONAL(MGM)	02/29/2012	\$13.87	\$11.64	\$1,734	\$1,455	(\$279)	0.0%	888-65238	US Strategic Value
450	MGM RESORTS INTERNATIONAL(MGM)	01/05/2012	\$11.15	\$11.64	\$5,019	\$5,238	\$219	0.0%	888-65238	US Strategic Value
Total	900				\$10,488	\$10,476	(\$12)	0.0%		
275	MICRON TECHNOLOGY INC(MU)	11/15/2011	\$5.35	\$6.35	\$1,470	\$1,746	\$276	0.0%	888-65238	US Strategic Value
725	MICRON TECHNOLOGY INC(MU)	10/25/2011	\$5.55	\$6.35	\$4,020	\$4,604	\$583	0.0%	888-65238	US Strategic Value
Total	1,000				\$5,490	\$6,350	\$860	0.0%		
17	MONSANTO CO(MON)	01/25/2011	\$70.94	\$94.65	\$1,206	\$1,609	\$403	1.6%	888-65238	US Strategic Growth
3	MONSANTO CO(MON)	01/12/2011	\$74.99	\$94.65	\$225	\$284	\$59	1.6%	888-65238	US Strategic Growth
4	MONSANTO CO(MON)	01/12/2011	\$74.81	\$94.65	\$299	\$379	\$79	1.6%	888-65238	US Strategic Growth
9	MONSANTO CO(MON)	01/11/2011	\$72.26	\$94.65	\$650	\$852	\$202	1.6%	888-65238	US Strategic Growth
8	MONSANTO CO(MON)	01/07/2011	\$71.61	\$94.65	\$573	\$757	\$184	1.6%	888-65238	US Strategic Growth
6	MONSANTO CO(MON)	01/07/2011	\$71.14	\$94.65	\$427	\$568	\$141	1.6%	888-65238	US Strategic Growth
13	MONSANTO CO(MON)	01/06/2011	\$71.10	\$94.65	\$924	\$1,230	\$306	1.6%	888-65238	US Strategic Growth
Total	60				\$4,305	\$5,679	\$1,374	1.6%		
20	NATIONAL - OILWELL INC(NOV)	04/12/2012	\$80.28	\$68.35	\$1,606	\$1,367	(\$239)	0.8%	888-65238	US Strategic Growth
25	NATIONAL - OILWELL INC(NOV)	03/27/2012	\$78.32	\$68.35	\$1,958	\$1,709	(\$249)	0.8%	888-65238	US Strategic Growth
20	NATIONAL - OILWELL INC(NOV)	03/15/2012	\$80.01	\$68.35	\$1,600	\$1,367	(\$233)	0.8%	888-65238	US Strategic Growth
22	NATIONAL - OILWELL INC(NOV)	02/17/2012	\$84.24	\$68.35	\$1,853	\$1,504	(\$350)	0.8%	888-65238	US Strategic Growth

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
3	NATIONAL - OILWELL INC(NOV)	02/14/2012	\$83.52	\$68.35	\$251	\$205	(\$46)	0.8%	888-65238	US Strategic Growth
Total	90				\$7,268	\$6,152	(\$1,116)	0.8%		
37	NOBLE ENERGY INC(NBL)	10/26/2011	\$87.93	\$101.74	\$3,253	\$3,764	\$511	1.0%	888-65238	US Strategic Growth
43	NOBLE ENERGY INC(NBL)	09/15/2008	\$57.79	\$101.74	\$2,485	\$4,375	\$1,890	1.0%	888-65238	US Strategic Growth
Total	80				\$5,738	\$8,139	\$2,401	1.0%		
75	NV ENERGY INC(NVE)	12/23/2011	\$16.12	\$18.14	\$1,209	\$1,361	\$151	3.8%	888-65238	US Strategic Value
200	NV ENERGY INC(NVE)	10/25/2011	\$15.52	\$18.14	\$3,105	\$3,628	\$523	3.8%	888-65238	US Strategic Value
Total	275				\$4,314	\$4,989	\$674	3.8%		
25	OCEANEERING INTL INC(OII)	06/01/2012	\$44.92	\$53.79	\$1,123	\$1,345	\$222	1.3%	888-65238	US Strategic Growth
50	OCEANEERING INTL INC(OII)	05/22/2012	\$47.82	\$53.79	\$2,391	\$2,680	\$289	1.3%	888-65238	US Strategic Growth
Total	75				\$3,514	\$4,034	\$520	1.3%		
40	O'REILLY AUTOMOTIVE INC(ORLY)	09/21/2012	\$84.21	\$89.42	\$3,368	\$3,577	\$208	0.0%	888-65238	US Strategic Growth
25	PARTNERRE LTD(PRE)	12/06/2012	\$82.49	\$80.49	\$2,062	\$2,012	(\$50)	3.0%	888-65238	US Strategic Value
30	PARTNERRE LTD(PRE)	11/18/2012	\$77.55	\$80.49	\$2,327	\$2,415	\$88	3.0%	888-65238	US Strategic Value
Total	55				\$4,389	\$4,427	\$38	3.0%		
100	PFIZER INC(PFE)	11/23/2011	\$18.62	\$25.08	\$1,862	\$2,508	\$646	3.8%	888-65238	US Strategic Value
346	PFIZER INC(PFE)	10/25/2011	\$19.07	\$25.08	\$6,599	\$8,677	\$2,079	3.8%	888-65238	US Strategic Value
26	PFIZER INC(PFE)	12/29/2010	\$17.61	\$25.08	\$458	\$652	\$194	3.8%	888-65238	US Strategic Value
40	PFIZER INC(PFE)	12/28/2010	\$17.66	\$25.08	\$706	\$1,003	\$297	3.8%	888-65238	US Strategic Value
85	PFIZER INC(PFE)	12/27/2010	\$17.52	\$25.08	\$1,489	\$2,132	\$643	3.8%	888-65238	US Strategic Value
60	PFIZER INC(PFE)	12/22/2010	\$17.47	\$25.08	\$1,048	\$1,505	\$457	3.8%	888-65238	US Strategic Value
100	PFIZER INC(PFE)	04/26/2010	\$16.89	\$25.08	\$1,689	\$2,508	\$819	3.8%	888-65238	US Strategic Value
182	PFIZER INC(PFE)	04/14/2010	\$17.05	\$25.08	\$3,103	\$4,564	\$1,461	3.8%	888-65238	US Strategic Value
61	PFIZER INC(PFE)	03/29/2010	\$17.28	\$25.08	\$1,054	\$1,530	\$476	3.8%	888-65238	US Strategic Value
Total	1,000				\$18,008	\$25,079	\$7,071	3.8%		
10	PHILIP MORRIS INTERNATIONAL(PM)	11/16/2012	\$84.55	\$83.84	\$846	\$836	(\$9)	4.1%	888-65238	US Strategic Growth
10	PHILIP MORRIS INTERNATIONAL(PM)	09/21/2012	\$92.43	\$83.84	\$924	\$836	(\$88)	4.1%	888-65238	US Strategic Growth
20	PHILIP MORRIS INTERNATIONAL(PM)	09/11/2012	\$88.97	\$83.84	\$1,779	\$1,673	(\$107)	4.1%	888-65238	US Strategic Growth
20	PHILIP MORRIS INTERNATIONAL(PM)	08/10/2012	\$91.81	\$83.84	\$1,836	\$1,673	(\$163)	4.1%	888-65238	US Strategic Growth
135	PHILIP MORRIS INTERNATIONAL(PM)	06/28/2012	\$84.57	\$83.84	\$11,416	\$11,291	(\$125)	4.1%	888-65238	US Strategic Growth
Total	195				\$16,802	\$16,310	(\$492)	4.1%		
5	PRECISION CASTPARTS CORP(PCP)	10/12/2012	\$162.58	\$189.42	\$813	\$947	\$134	0.1%	888-65238	US Strategic Growth
15	PRECISION CASTPARTS CORP(PCP)	09/21/2012	\$163.85	\$189.42	\$2,458	\$2,841	\$384	0.1%	888-65238	US Strategic Growth

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain/(Loss)	Yield	Account Number	Portfolio
10	PRECISION CASTPARTS CORP(PCP)	02/06/2012	\$171.25	\$189.42	\$1,712	\$1,894	\$182	0.1%	888-65238	US Strategic Growth
10	PRECISION CASTPARTS CORP(PCP)	11/22/2011	\$156.10	\$189.42	\$1,561	\$1,894	\$333	0.1%	888-65238	US Strategic Growth
9	PRECISION CASTPARTS CORP(PCP)	07/14/2011	\$161.70	\$189.42	\$1,455	\$1,705	\$249	0.1%	888-65238	US Strategic Growth
6	PRECISION CASTPARTS CORP(PCP)	03/24/2011	\$143.99	\$189.42	\$864	\$1,137	\$273	0.1%	888-65238	US Strategic Growth
Total					\$8,863	\$10,418	\$1,555	0.1%		
3	PRICELINE COM INC(PCLN)	08/10/2012	\$562.54	\$621.20	\$1,688	\$1,864	\$176	0.0%	888-65238	US Strategic Growth
3	PRICELINE COM INC(PCLN)	05/17/2012	\$647.12	\$621.20	\$1,941	\$1,864	(\$78)	0.0%	888-65238	US Strategic Growth
Total					\$3,629	\$3,727	\$98	0.0%		
140	PULTE GROUP INC(PHM)	09/10/2012	\$14.62	\$18.16	\$2,046	\$2,542	\$496	0.0%	888-65238	US Strategic Value
160	PULTE GROUP INC(PHM)	08/20/2012	\$13.00	\$18.16	\$2,079	\$2,906	\$826	0.0%	888-65238	US Strategic Value
Total					\$4,126	\$5,448	\$1,322	0.0%		
25	QUALCOMM INC(QCOM)	11/22/2011	\$54.12	\$62.02	\$1,353	\$1,551	\$198	1.6%	888-65238	US Strategic Growth
44	QUALCOMM INC(QCOM)	10/25/2011	\$52.82	\$62.02	\$2,324	\$2,729	\$405	1.6%	888-65238	US Strategic Growth
20	QUALCOMM INC(QCOM)	08/11/2011	\$48.10	\$62.02	\$982	\$1,240	\$258	1.6%	888-65238	US Strategic Growth
21	QUALCOMM INC(QCOM)	08/11/2011	\$48.79	\$62.02	\$1,025	\$1,302	\$278	1.6%	888-65238	US Strategic Growth
41	QUALCOMM INC(QCOM)	12/10/2010	\$49.11	\$62.02	\$2,013	\$2,543	\$529	1.6%	888-65238	US Strategic Growth
4	QUALCOMM INC(QCOM)	09/28/2010	\$43.95	\$62.02	\$176	\$248	\$72	1.6%	888-65238	US Strategic Growth
Total					\$7,873	\$9,613	\$1,741	1.6%		
40	RACKSPACE HOSTING INC(RAX)	07/06/2012	\$44.51	\$74.27	\$1,780	\$2,971	\$1,190	0.0%	888-65238	US Strategic Growth
15	RED HAT INC(RHT)	11/14/2012	\$48.11	\$52.96	\$722	\$794	\$73	0.0%	888-65238	US Strategic Growth
50	RED HAT INC(RHT)	07/11/2012	\$51.25	\$52.96	\$2,562	\$2,648	\$86	0.0%	888-65238	US Strategic Growth
55	RED HAT INC(RHT)	07/10/2012	\$51.20	\$52.96	\$2,816	\$2,913	\$97	0.0%	888-65238	US Strategic Growth
Total					\$6,100	\$6,355	\$255	0.0%		
11	ROPER INDUSTRIES INC(ROP)	12/26/2012	\$109.75	\$111.48	\$1,207	\$1,226	\$19	0.6%	888-65238	US Strategic Growth
7	ROPER INDUSTRIES INC(ROP)	11/21/2012	\$112.17	\$111.48	\$785	\$780	(\$5)	0.6%	888-65238	US Strategic Growth
17	ROPER INDUSTRIES INC(ROP)	08/31/2012	\$103.00	\$111.48	\$1,751	\$1,895	\$144	0.6%	888-65238	US Strategic Growth
Total					\$3,743	\$3,902	\$158	0.6%		
30	ROYAL DUTCH SHELL PLC-ADR(RDSA)	09/17/2012	\$73.28	\$68.95	\$2,198	\$2,069	(\$130)	5.0%	888-65238	US Strategic Value
30	ROYAL DUTCH SHELL PLC-ADR(RDSA)	09/14/2012	\$73.24	\$68.95	\$2,197	\$2,069	(\$129)	5.0%	888-65238	US Strategic Value
Total					\$4,395	\$4,137	(\$258)	5.0%		
30	SCHLUMBERGER LTD(SLB)	02/06/2012	\$78.62	\$69.30	\$2,359	\$2,079	(\$280)	1.6%	888-65238	US Strategic Growth
87	SCHLUMBERGER LTD(SLB)	06/06/2011	\$84.11	\$69.30	\$7,317	\$6,029	(\$1,288)	1.6%	888-65238	US Strategic Growth
19	SCHLUMBERGER LTD(SLB)	02/18/2011	\$94.92	\$69.30	\$1,803	\$1,317	(\$487)	1.6%	888-65238	US Strategic Growth

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
9	SCHLUMBERGER LTD(SLB)	01/26/2011	\$87.18	\$69.30	\$785	\$624	(\$161)	16%	888-65238	US Strategic Growth
11	SCHLUMBERGER LTD(SLB)	02/23/2010	\$60.82	\$69.30	\$669	\$762	\$93	16%	888-65238	US Strategic Growth
20	SCHLUMBERGER LTD(SLB)	02/22/2010	\$60.64	\$69.30	\$1,213	\$1,386	\$173	16%	888-65238	US Strategic Growth
4	SCHLUMBERGER LTD(SLB)	01/15/2010	\$70.95	\$69.30	\$284	\$277	(\$7)	16%	888-65238	US Strategic Growth
180					\$14,429	\$12,474	(\$1,956)	16%		
45	STARBUCKS CORP(SBUX)	11/16/2012	\$48.78	\$53.62	\$2,195	\$2,413	\$218	16%	888-65238	US Strategic Growth
20	STARBUCKS CORP(SBUX)	11/12/2012	\$50.94	\$53.62	\$1,019	\$1,072	\$54	16%	888-65238	US Strategic Growth
16	STARBUCKS CORP(SBUX)	11/06/2012	\$52.05	\$53.62	\$833	\$858	\$25	16%	888-65238	US Strategic Growth
36	STARBUCKS CORP(SBUX)	05/18/2011	\$36.34	\$53.62	\$1,308	\$1,930	\$622	16%	888-65238	US Strategic Growth
33	STARBUCKS CORP(SBUX)	05/17/2011	\$35.14	\$53.62	\$1,159	\$1,769	\$610	16%	888-65238	US Strategic Growth
23	STARBUCKS CORP(SBUX)	10/11/2010	\$26.24	\$53.62	\$603	\$1,233	\$630	16%	888-65238	US Strategic Growth
22	STARBUCKS CORP(SBUX)	10/11/2010	\$26.15	\$53.62	\$575	\$1,180	\$604	16%	888-65238	US Strategic Growth
195					\$7,693	\$10,456	\$2,763	16%		
30	STATE STREET CORP(STT)	06/25/2012	\$42.84	\$47.01	\$1,285	\$1,410	\$125	20%	888-65238	US Strategic Value
65	STATE STREET CORP(STT)	06/11/2012	\$42.96	\$47.01	\$2,792	\$3,056	\$263	20%	888-65238	US Strategic Value
95					\$4,077	\$4,466	\$389	20%		
25	THE WALT DISNEY CO (DIS)	05/15/2012	\$45.01	\$49.79	\$1,125	\$1,245	\$119	15%	888-65238	US Strategic Growth
50	THE WALT DISNEY CO (DIS)	11/15/2011	\$36.30	\$49.79	\$1,815	\$2,490	\$675	15%	888-65238	US Strategic Growth
3	THE WALT DISNEY CO (DIS)	11/12/2010	\$37.76	\$49.79	\$113	\$149	\$36	15%	888-65238	US Strategic Growth
36	THE WALT DISNEY CO (DIS)	06/17/2010	\$34.78	\$49.79	\$1,252	\$1,792	\$540	15%	888-65238	US Strategic Growth
36	THE WALT DISNEY CO (DIS)	06/17/2010	\$34.75	\$49.79	\$1,251	\$1,792	\$541	15%	888-65238	US Strategic Growth
17	THE WALT DISNEY CO (DIS)	05/25/2010	\$31.80	\$49.79	\$541	\$846	\$306	15%	888-65238	US Strategic Growth
17	THE WALT DISNEY CO (DIS)	05/25/2010	\$31.78	\$49.79	\$540	\$846	\$306	15%	888-65238	US Strategic Growth
16	THE WALT DISNEY CO (DIS)	02/12/2010	\$29.97	\$49.79	\$480	\$797	\$317	15%	888-65238	US Strategic Growth
20	THE WALT DISNEY CO (DIS)	11/23/2009	\$30.54	\$49.79	\$611	\$996	\$385	15%	888-65238	US Strategic Growth
21	THE WALT DISNEY CO (DIS)	11/23/2009	\$30.42	\$49.79	\$639	\$1,046	\$407	15%	888-65238	US Strategic Growth
9	THE WALT DISNEY CO (DIS)	09/09/2009	\$26.75	\$49.79	\$241	\$448	\$207	15%	888-65238	US Strategic Growth
250					\$8,607	\$12,448	\$3,840	15%		
55	TIBCO SOFTWARE INC(TIBX)	05/30/2012	\$27.55	\$22.01	\$1,515	\$1,211	(\$305)	0.0%	888-65238	US Strategic Growth
50	TIBCO SOFTWARE INC(TIBX)	05/16/2012	\$29.21	\$22.01	\$1,460	\$1,101	(\$360)	0.0%	888-65238	US Strategic Growth
50	TIBCO SOFTWARE INC(TIBX)	04/05/2012	\$32.42	\$22.01	\$1,621	\$1,101	(\$520)	0.0%	888-65238	US Strategic Growth
70	TIBCO SOFTWARE INC(TIBX)	03/14/2012	\$29.87	\$22.01	\$2,091	\$1,541	(\$550)	0.0%	888-65238	US Strategic Growth
225					\$6,687	\$4,952	(\$1,735)	0.0%		

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
20	TIME WARNER CABLE(TWC)	11/15/2011	\$60.82	\$97.19	\$1,216	\$1,944	\$727	0.0%	888-65238	US Strategic Value
20	TIME WARNER CABLE(TWC)	10/31/2011	\$63.73	\$97.19	\$1,275	\$1,944	\$669	0.0%	888-65238	US Strategic Value
30	TIME WARNER CABLE(TWC)	10/25/2011	\$70.98	\$97.19	\$2,129	\$2,916	\$786	0.0%	888-65238	US Strategic Value
Total					\$4,620	\$6,803	\$2,183	0.0%		
50	TIMKEN CO(TKR)	12/06/2012	\$45.27	\$47.83	\$2,263	\$2,392	\$128	1.9%	888-65238	US Strategic Value
40	TJX COMPANIES INC(TJX)	09/27/2012	\$44.90	\$42.45	\$1,796	\$1,698	(\$98)	1.1%	888-65238	US Strategic Value
50	TJX COMPANIES INC(TJX)	09/13/2012	\$45.99	\$42.45	\$2,299	\$2,123	(\$177)	1.1%	888-65238	US Strategic Value
95	TJX COMPANIES INC(TJX)	09/07/2012	\$45.88	\$42.45	\$4,358	\$4,033	(\$326)	1.1%	888-65238	US Strategic Value
Total					\$8,454	\$7,853	(\$601)	1.1%		
30	TRIMBLE NAVIGATION LTD(TRMB)	11/21/2012	\$53.84	\$59.78	\$1,615	\$1,793	\$178	0.0%	888-65238	US Strategic Growth
35	TRIMBLE NAVIGATION LTD(TRMB)	11/16/2012	\$52.50	\$59.78	\$1,838	\$2,092	\$255	0.0%	888-65238	US Strategic Growth
Total					\$3,453	\$3,886	\$433	0.0%		
35	TRW AUTOMOTIVE HOLDINGS CORP(TRW)	11/22/2011	\$30.98	\$53.61	\$1,084	\$1,876	\$792	0.0%	888-65238	US Strategic Value
25	TRW AUTOMOTIVE HOLDINGS CORP(TRW)	10/25/2011	\$41.12	\$53.61	\$1,028	\$1,340	\$312	0.0%	888-65238	US Strategic Value
Total					\$2,112	\$3,217	\$1,104	0.0%		
75	TYSON FOODS INC-CL A(TSN)	11/12/2012	\$16.87	\$19.40	\$1,265	\$1,455	\$190	1.0%	888-65238	US Strategic Value
185	TYSON FOODS INC-CL A(TSN)	08/08/2012	\$15.48	\$19.40	\$2,864	\$3,589	\$725	1.0%	888-65238	US Strategic Value
115	TYSON FOODS INC-CL A(TSN)	10/26/2011	\$18.82	\$19.40	\$2,164	\$2,231	\$67	1.0%	888-65238	US Strategic Value
Total					\$6,293	\$7,275	\$982	1.0%		
20	ULTA SALON COSMETICS & FRAGRANCE(ULTA)	12/14/2012	\$94.73	\$98.26	\$1,895	\$1,965	\$71	1.0%	888-65238	US Strategic Growth
10	ULTA SALON COSMETICS & FRAGRANCE(ULTA)	11/19/2012	\$89.35	\$98.26	\$893	\$983	\$89	1.0%	888-65238	US Strategic Growth
20	ULTA SALON COSMETICS & FRAGRANCE(ULTA)	11/15/2012	\$87.08	\$98.26	\$1,742	\$1,965	\$224	1.0%	888-65238	US Strategic Growth
Total					\$4,530	\$4,913	\$383	1.0%		
45	UNITEDHEALTH GROUP INC(UNH)	08/15/2012	\$52.45	\$54.24	\$2,360	\$2,441	\$80	1.6%	888-65238	US Strategic Growth
45	UNITEDHEALTH GROUP INC(UNH)	07/06/2012	\$55.35	\$54.24	\$2,491	\$2,441	(\$50)	1.6%	888-65238	US Strategic Growth
36	UNITEDHEALTH GROUP INC(UNH)	05/15/2012	\$55.46	\$54.24	\$1,996	\$1,953	(\$44)	1.6%	888-65238	US Strategic Growth
26	UNITEDHEALTH GROUP INC(UNH)	04/12/2012	\$58.38	\$54.24	\$1,518	\$1,410	(\$108)	1.6%	888-65238	US Strategic Growth
133	UNITEDHEALTH GROUP INC(UNH)	10/25/2011	\$49.01	\$54.24	\$6,518	\$7,214	\$696	1.6%	888-65238	US Strategic Growth
Total					\$14,884	\$15,458	\$575	1.6%		
65	US BANCORP(USB)	10/10/2012	\$34.57	\$31.94	\$2,247	\$2,076	(\$171)	2.4%	888-65238	US Strategic Value
110	US BANCORP(USB)	10/09/2012	\$34.68	\$31.94	\$3,814	\$3,513	(\$301)	2.4%	888-65238	US Strategic Value
Total					\$6,061	\$5,590	(\$472)	2.4%		
65	VALIDUS HOLDINGS LTD(VR)	09/18/2012	\$34.50	\$34.58	\$2,242	\$2,248	\$5	2.9%	888-65238	US Strategic Value

Holdings By Lot

Account: JAMES C. ALLEN CHARITABLE FOUNDATION (888-65238)
As of December 31, 2012

Equities

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
30	VERTEX PHARMACEUTICALS INC(VRTX)	11/02/2012	\$44.75	\$41.94	\$1,343	\$1,256	(\$84)	0.0%	888-65238	US Strategic Value
20	VERTEX PHARMACEUTICALS INC(VRTX)	07/06/2012	\$54.94	\$41.94	\$1,099	\$839	(\$260)	0.0%	888-65238	US Strategic Value
25	VERTEX PHARMACEUTICALS INC(VRTX)	01/05/2012	\$33.11	\$41.94	\$828	\$1,049	\$221	0.0%	888-65238	US Strategic Value
75					\$3,269	\$3,146	(\$124)	0.0%		
5	VF CORP(VFC)	11/14/2012	\$155.54	\$150.97	\$778	\$755	(\$23)	2.3%	888-65238	US Strategic Growth
5	VF CORP(VFC)	09/25/2012	\$158.32	\$150.97	\$792	\$755	(\$37)	2.3%	888-65238	US Strategic Growth
10	VF CORP(VFC)	07/09/2012	\$133.98	\$150.97	\$1,340	\$1,510	\$170	2.3%	888-65238	US Strategic Growth
12	VF CORP(VFC)	06/26/2012	\$139.73	\$150.97	\$1,677	\$1,812	\$135	2.3%	888-65238	US Strategic Growth
13	VF CORP(VFC)	06/13/2012	\$137.88	\$150.97	\$1,792	\$1,963	\$170	2.3%	888-65238	US Strategic Growth
45					\$6,378	\$6,794	\$416	2.3%		
177	VIACOM INC-CLASS B(VIAB)	12/18/2006	\$38.49	\$52.74	\$6,813	\$9,335	\$2,522	2.1%	888-65238	US Strategic Value
42	VIACOM INC-CLASS B(VIAB)	06/22/2006	\$36.60	\$52.74	\$1,537	\$2,215	\$678	2.1%	888-65238	US Strategic Value
58	VIACOM INC-CLASS B(VIAB)	03/06/2006	\$39.13	\$52.74	\$2,270	\$3,059	\$789	2.1%	888-65238	US Strategic Value
1	VIACOM INC-CLASS B(VIAB)	01/04/2006	\$41.98	\$52.74	\$42	\$53	\$11	2.1%	888-65238	US Strategic Value
278					\$10,662	\$14,662	\$4,000	2.1%		
20	VISA INC - CLASS A SHRS(V)	10/01/2012	\$135.57	\$151.58	\$2,711	\$3,032	\$320	0.9%	888-65238	US Strategic Growth
15	VISA INC - CLASS A SHRS(V)	03/07/2012	\$115.34	\$151.58	\$1,730	\$2,274	\$544	0.9%	888-65238	US Strategic Growth
20	VISA INC - CLASS A SHRS(V)	10/26/2011	\$90.40	\$151.58	\$1,808	\$3,032	\$1,224	0.9%	888-65238	US Strategic Growth
55					\$6,250	\$8,337	\$2,087	0.9%		
35	WELLPOINT INC(WLP)	12/14/2012	\$58.58	\$60.92	\$2,050	\$2,132	\$82	1.9%	888-65238	US Strategic Value
190	WELLPOINT INC(WLP)	10/25/2011	\$68.13	\$60.92	\$12,945	\$11,575	(\$1,370)	1.9%	888-65238	US Strategic Value
225					\$14,995	\$13,707	(\$1,288)	1.9%		
125	WELLS FARGO & COMPANY(WFC)	06/25/2012	\$32.14	\$34.18	\$4,018	\$4,273	\$255	2.6%	888-65238	US Strategic Value
225	WELLS FARGO & COMPANY(WFC)	03/21/2012	\$34.23	\$34.18	\$7,703	\$7,691	(\$12)	2.6%	888-65238	US Strategic Value
45	WELLS FARGO & COMPANY(WFC)	03/20/2012	\$34.11	\$34.18	\$1,535	\$1,538	\$3	2.6%	888-65238	US Strategic Value
55	WELLS FARGO & COMPANY(WFC)	02/10/2012	\$30.23	\$34.18	\$1,663	\$1,880	\$217	2.6%	888-65238	US Strategic Value
75	WELLS FARGO & COMPANY(WFC)	02/09/2012	\$30.56	\$34.18	\$2,292	\$2,564	\$271	2.6%	888-65238	US Strategic Value
525					\$17,210	\$17,945	\$735	2.6%		
TOTAL Equities					\$1,132,645	\$1,233,772	\$101,127	1.7%		
						\$770 AI				

Dynamic Asset Allocation Overlay

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
474,505	OVERLAY A PORTFOLIO CLASS 1(SAOOX)	12/11/2012	\$10.38	\$10.49	\$4,925	\$4,978	\$52	0.7%	888-65238	Dynamic Asset Allocation Overlay
25,256	OVERLAY A PORTFOLIO CLASS 1(SAOOX)	11/08/2012	\$10.05	\$10.49	\$254	\$265	\$11	0.7%	888-65238	Dynamic Asset Allocation Overlay
8,726	OVERLAY A PORTFOLIO CLASS 1(SAOOX)	08/13/2012	\$10.23	\$10.49	\$89	\$92	\$2	0.7%	888-65238	Dynamic Asset Allocation Overlay
6,002,046	OVERLAY A PORTFOLIO CLASS 1(SAOOX)	12/13/2011	\$10.03	\$10.49	\$60,201	\$62,961	\$2,761	0.7%	888-65238	Dynamic Asset Allocation Overlay
3,714,286	OVERLAY A PORTFOLIO CLASS 1(SAOOX)	11/15/2011	\$11.20	\$10.49	\$41,600	\$38,963	(\$2,637)	0.7%	888-65238	Dynamic Asset Allocation Overlay
0,089	OVERLAY A PORTFOLIO CLASS 1(SAOOX)	11/02/2011	\$11.24	\$10.49	\$1	\$1	(\$0)	0.7%	888-65238	Dynamic Asset Allocation Overlay
55,056,978	OVERLAY A PORTFOLIO CLASS 1(SAOOX)	10/25/2011	\$11.19	\$10.49	\$616,088	\$577,548	(\$38,540)	0.7%	888-65238	Dynamic Asset Allocation Overlay
Total 65,281,886					\$723,158	\$684,807	(\$38,351)	0.7%		
517,47	OVERLAY B PORTFOLIO CLASS 1(SBOOX)	12/11/2012	\$10.71	\$10.75	\$5,542	\$5,563	\$21	1.3%	888-65238	Dynamic Asset Allocation Overlay
28,945	OVERLAY B PORTFOLIO CLASS 1(SBOOX)	04/23/2012	\$10.85	\$10.75	\$314	\$311	(\$3)	1.3%	888-65238	Dynamic Asset Allocation Overlay
18,534	OVERLAY B PORTFOLIO CLASS 1(SBOOX)	01/23/2012	\$10.74	\$10.75	\$199	\$199	\$0	1.3%	888-65238	Dynamic Asset Allocation Overlay
560,917	OVERLAY B PORTFOLIO CLASS 1(SBOOX)	12/13/2011	\$10.58	\$10.75	\$5,935	\$6,030	\$95	1.3%	888-65238	Dynamic Asset Allocation Overlay
923,218	OVERLAY B PORTFOLIO CLASS 1(SBOOX)	11/15/2011	\$10.94	\$10.75	\$10,100	\$9,925	(\$175)	1.3%	888-65238	Dynamic Asset Allocation Overlay
15,733,52	OVERLAY B PORTFOLIO CLASS 1(SBOOX)	10/25/2011	\$10.88	\$10.75	\$171,181	\$169,135	(\$2,045)	1.3%	888-65238	Dynamic Asset Allocation Overlay
Total 17,762,604					\$193,270	\$191,163	(\$2,107)	1.3%		
TOTAL Dynamic Asset Allocation Overlay					\$916,428	\$875,970	(\$40,458)	0.8%		

Unmanaged Assets

Fixed Income

Quantity	Holding(Ticker)	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
683 807	FNMA 30 YR POOL#745275	01/11/2010	\$68 20	\$108 76	\$466	\$744 \$3 AI	\$277	N/A	888-65238	Unmanaged
808 21	FNMA 30 YR#89982	07/29/2009	\$86 41	\$108 67	\$698	\$878 \$4 AI	\$180	N/A	888-65238	Unmanaged
606 157	FNMA 30 YR#89982	06/08/2009	\$86 41	\$108 67	\$524	\$659 \$3 AI	\$135	N/A	888-65238	Unmanaged
Total 1,414,367					\$1,222	\$1,537	\$315	N/A		
716 277	FNMA 30 YR#972295	06/09/2011	\$30 79	\$108 65	\$221	\$778 \$3 AI	\$558	N/A	888-65238	Unmanaged
TOTAL Fixed Income					\$1,909	\$3,072	\$1,150	N/A		

NET PORTFOLIO VALUE

Quantity	Holding	Trade Date	Unit Cost	Market Price	Total Cost	Market Value	Unrealized Gain(Loss)	Yield	Account Number	Portfolio
					\$2,832,120	\$2,911,582	\$78,357	N/A		

Cash <10126> <14126>
2815944 2892454

Notes

- AI = Accrued Income (interest and dividends)
- Dynamic Asset Allocation Overlay Portfolio yields are estimates based on stock dividends and income from cash and directly held fixed income securities in the fund, net of estimated fund expenses Actual dividends, which are distributed annually, can vary significantly due to tax treatment of foreign currency and various instruments. In addition to these dividends the funds may also make annual distributions of short and long-term capital gains
- Fixed income fund yields are calculated using the 30 day S E C yield. Municipal yields may include a portion of taxable income. Estimated annual income for the fixed income portfolios is based on the monthly income dividends and does not include capital gains distributions. Therefore yield will differ from estimated annual income
- International and Emerging Market fund yields are estimates based on stock dividends and interest income generated from cash and securities held directly in the fund, net of estimated fund expenses These dividends are distributed annually. Additionally, the funds may make annual distributions of short and long-term capital gains.
- Total portfolio cost includes the reinvestment of interest, dividends and capital gains distributions as well as sale proceeds and therefore is not equivalent to the capital you have invested in this account
- "Unmanaged Assets" are securities of which you have retained management and for which we have no responsibility and charge no management fee

Capital Gains Report

JAMES C ALLEN CHARITABLE FOUNDATION (Account: 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
SHORT-TERM NON-COVERED								
10/25/2011	01/06/2012	60	PEPSICO INC	65.47	62.03	3,928.44	3,721.97	206.47
10/25/2011	01/11/2012	60	CONOCOPHILLIPS	72.13	71.24	4,327.75	4,274.16	53.59
10/25/2011	01/11/2012	25	ROCKWELL AUTOMATION INC	77.95	66.47	1,948.67	1,661.65	287.02
01/19/2011	01/18/2012	7	ESTEE LAUDER COMPANIES-CL A	115.33	83.69	807.31	585.86	221.45
01/20/2011	01/18/2012	7	ESTEE LAUDER COMPANIES-CL A	115.33	83.50	807.31	584.52	222.79
12/22/2011	01/18/2012	140	LIMITED BRANDS INC	41.39	39.59	5,794.74	5,542.64	252.10
10/25/2011	01/18/2012	135	***SEAGATE TECHNOLOGY	19.59	15.64	2,644.19	2,111.32	532.87
10/25/2011	01/18/2012	15	UNITED PARCEL SERVICE-CL B	74.84	69.39	1,122.63	1,040.83	81.80
11/04/2011	01/19/2012	25	***ACCENTURE PLC-CL A	54.71	58.34	1,367.86	1,458.48	-90.62
10/25/2011	01/19/2012	60	JOHNSON CONTROLS INC	32.50	32.64	1,950.21	1,958.11	-7.90
10/25/2011	01/23/2012	50	FMC TECHNOLOGIES INC	52.09	45.55	2,604.38	2,277.70	326.68
01/10/2012	01/24/2012	45	WATSON PHARMACEUTICALS INC	56.00	64.06	2,520.05	2,882.78	-362.73
02/14/2011	01/25/2012	1	EMC CORP/MASS	25.73	27.27	25.73	27.27	-1.54
02/14/2011	01/25/2012	40	EMC CORP/MASS	25.73	27.24	1,029.09	1,089.58	-60.49
02/23/2011	01/25/2012	27	EMC CORP/MASS	25.73	26.25	694.63	708.75	-14.12
12/01/2011	01/25/2012	11	SALESFORCE COM INC	117.18	118.40	1,288.97	1,302.42	-13.45
11/04/2011	01/31/2012	30	***LYONDELLBASELL INDU-CL A	42.90	34.43	1,286.87	1,032.92	253.95
10/25/2011	01/31/2012	165	LOWE'S COS INC	26.85	21.57	4,430.25	3,559.00	871.25
10/25/2011	01/31/2012	75	MARATHON PETROLEUM CORP	38.08	32.56	2,855.70	2,442.29	413.41
10/25/2011	01/31/2012	30	PROCTER & GAMBLE CO	62.88	64.91	1,886.52	1,947.27	-60.75
10/26/2011	02/01/2012	160	TYSON FOODS INC-CL A	18.62	18.81	2,979.97	3,010.39	-30.42
10/31/2011	02/02/2012	70	***INGERSOLL-RAND PLC	36.27	31.38	2,538.94	2,196.55	342.39
10/28/2011	02/03/2012	11	GOODRICH CORP	125.50	122.62	1,380.49	1,348.85	31.64
11/15/2011	02/03/2012	16	VF CORP	133.38	135.52	2,134.08	2,168.35	-34.27
10/25/2011	02/06/2012	30	INTUIT INC	58.08	53.62	1,742.33	1,608.47	133.86
11/04/2011	02/06/2012	25	***LYONDELLBASELL INDU-CL A	44.50	28.82	1,112.47	720.60	391.87
10/25/2011	02/07/2012	30	MARATHON PETROLEUM CORP	43.72	32.56	1,311.73	976.91	334.82
10/25/2011	02/08/2012	55	JOHNSON CONTROLS INC	32.75	32.64	1,801.01	1,794.93	6.08
11/04/2011	02/09/2012	30	***ACCENTURE PLC-CL A	57.43	58.34	1,722.88	1,750.17	-27.29
10/25/2011	02/09/2012	85	JOHNSON CONTROLS INC	33.15	32.64	2,817.82	2,773.98	43.84
10/25/2011	02/13/2012	50	MARATHON OIL CORP	33.16	25.94	1,658.06	1,297.23	360.83
10/28/2011	02/14/2012	19	GOODRICH CORP	125.51	122.62	2,384.74	2,329.84	54.90
10/25/2011	02/14/2012	45	MARATHON PETROLEUM CORP	44.10	32.56	1,984.50	1,465.37	519.13
10/25/2011	02/14/2012	15	UNITED PARCEL SERVICE-CL B	76.85	69.39	1,152.75	1,040.83	111.92
11/22/2011	02/15/2012	20	***ACCENTURE PLC-CL A	58.08	55.14	1,161.67	1,102.90	58.77
11/15/2011	02/15/2012	9	VF CORP	143.45	135.52	1,291.06	1,219.70	71.36
10/25/2011	02/16/2012	195	CMS ENERGY CORP	21.60	20.98	4,212.02	4,091.22	120.80
11/02/2011	02/17/2012	135	DELL INC	18.13	15.23	2,447.05	2,055.67	391.38
10/25/2011	02/22/2012	60	DOW CHEMICAL	33.83	26.82	2,029.82	1,609.02	420.80
07/26/2011	02/23/2012	17	HARLEY DAVIDSON INC	46.92	45.43	797.64	772.38	25.26
07/27/2011	02/23/2012	34	HARLEY DAVIDSON INC	46.92	44.47	1,595.28	1,512.09	83.19
11/15/2011	02/23/2012	9	HARLEY DAVIDSON INC	46.92	39.60	422.28	356.43	65.85
06/09/2011	02/27/2012	60	FNMA 30 YR#972295	100.00	32.62	60.75	19.82	40.93
747			DUE 02/01/2038 5 500%					
10/25/2011	03/06/2012	50	JPMORGAN CHASE & CO	39.31	33.86	1,965.69	1,693.03	272.66
10/25/2011	03/07/2012	50	ALTRIA GROUP INC	30.01	27.00	1,500.46	1,350.02	150.44

Capital Gains Report

JAMES C ALLEN CHARITABLE FOUNDATION (Account. 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
10/25/2011	03/07/2012	30	***TRANSOCEAN LTD	52.85	56.16	1,585.62	1,684.90	-99.28
04/20/2011	03/09/2012	8	GOLDMAN SACHS GROUP INC	117.59	152.90	940.76	1,223.17	-282.41
05/06/2011	03/09/2012	2	GOLDMAN SACHS GROUP INC	117.59	149.98	235.19	299.97	-64.78
10/25/2011	03/16/2012	30	MOODY'S CORP	41.84	32.30	1,255.33	968.92	286.41
05/06/2011	03/20/2012	5	GOLDMAN SACHS GROUP INC	126.74	149.99	633.72	749.93	-116.21
12/08/2011	03/20/2012	15	GOLDMAN SACHS GROUP INC	126.74	102.67	1,901.16	1,540.08	361.08
10/25/2011	03/20/2012	30	MOODY'S CORP	41.68	32.30	1,250.38	968.92	281.46
10/25/2011	03/20/2012	55	UNITEDHEALTH GROUP INC	55.07	49.01	3,028.70	2,695.47	333.23
10/25/2011	03/09/2012	55	CBRE GROUP INC	19.15	16.43	1,053.21	903.50	149.71
10/25/2011	03/09/2012	20	CBRE GROUP INC	19.15	16.43	382.99	328.55	54.44
10/25/2011	03/14/2012	60	CBRE GROUP INC	20.24	16.43	1,214.17	985.64	228.53
10/25/2011	03/21/2012	35	DOW CHEMICAL	35.04	26.82	1,226.40	938.60	287.80
01/25/2012	03/21/2012	30	LAS VEGAS SANDS CORP	57.72	48.96	1,731.74	1,468.90	262.84
10/25/2011	03/26/2012	40	DTE ENERGY COMPANY	55.35	51.43	2,213.89	2,057.28	156.61
10/31/2011	03/26/2012	30	METLIFE INC	38.33	35.95	1,149.86	1,078.43	71.43
06/09/2011	03/26/2012	98	FNMA 30 YR#972295	100.00	32.82*	98.29	32.26*	66.03
291	DUE 02/01/2038	5	500%					
05/23/2011	03/27/2012	8	GOLDMAN SACHS GROUP INC	126.89	136.74	1,015.12	1,093.96	-78.84
07/01/2011	03/27/2012	2	GOLDMAN SACHS GROUP INC	126.89	135.88	253.78	271.77	-17.99
10/25/2011	03/29/2012	100	DOW CHEMICAL	33.99	26.82	3,399.00	2,681.71	717.29
01/11/2012	03/30/2012	120	***NEXEN INC	18.26	17.75	2,191.78	2,129.65	62.13
12/07/2011	04/03/2012	65	ILLUMINA INC	51.62	29.29	3,355.53	1,904.00	1,451.53
12/08/2011	04/04/2012	9	SALESFORCE.COM INC	154.72	122.94	1,392.47	1,106.50	285.97
10/25/2011	04/11/2012	100	GENERAL MILLS INC	38.56	39.12	3,856.06	3,912.36	-56.30
10/25/2011	04/11/2012	5	UNITED PARCEL SERVICE-CL B	78.97	69.39	394.87	346.94	47.93
10/25/2011	04/11/2012	35	UNITED PARCEL SERVICE-CL B	78.97	69.39	2,764.10	2,428.61	335.49
10/25/2011	04/12/2012	40	AT&T INC	30.81	28.49	1,232.37	1,139.77	92.60
10/25/2011	04/13/2012	60	GENERAL MILLS INC	38.84	39.12	2,330.34	2,347.42	-17.08
10/31/2011	04/13/2012	30	GENERAL MILLS INC	38.84	39.03	1,165.17	1,171.04	-5.87
11/15/2011	04/13/2012	35	GENERAL MILLS INC	38.84	39.48	1,359.37	1,381.97	-22.60
11/11/2011	04/19/2012	25	PERRIGO INC	106.05	91.70	2,551.19	2,292.48	258.71
10/25/2011	04/23/2012	20	FLOWERVE CORP	109.26	87.82	2,185.12	1,756.43	428.69
10/25/2011	04/25/2012	40	AT&T INC	31.91	28.49	1,276.30	1,139.77	136.53
10/25/2011	04/25/2012	43	BROADCOM CORP-CL A	36.20	36.93	1,556.69	1,587.95	-31.26
10/25/2011	04/25/2012	275	***MARVELL TECHNOLOGY GROUP LT	14.83	13.63	4,077.10	3,749.57	327.53
06/09/2011	04/25/2012	67	FNMA 30 YR#972295	100.00	33.04*	67.75	22.38*	45.37
747	DUE 02/01/2038	5	500%					
12/06/2011	05/04/2012	275	MORGAN STANLEY	16.00	16.51	4,399.86	4,539.92	-140.06
01/05/2012	05/07/2012	30	VERTEX PHARMACEUTICALS INC	54.59	33.11	1,637.62	993.17	644.45
10/25/2011	05/08/2012	36	GILEAD SCIENCES INC	49.06	41.51	1,765.98	1,494.19	271.79
08/26/2011	05/11/2012	12	EXPRESS SCRIPTS HOLDING CO	55.80	45.00	669.56	540.00	129.56
02/17/2012	05/11/2012	25	EXPRESS SCRIPTS HOLDING CO	55.80	52.28	1,394.93	1,307.04	87.89
03/02/2012	05/11/2012	75	NORTHROP GRUMMAN CORP	60.17	59.76	4,513.07	4,482.17	30.90
10/25/2011	05/15/2012	200	CONSTELLATION BRANDS INC-A	19.20	19.82	3,840.04	3,964.14	-124.10
10/25/2011	05/18/2012	25	UNITED PARCEL SERVICE-CL B	74.38	69.39	1,859.40	1,734.72	124.68
10/25/2011	05/24/2012	909	AB BOND INFLATION STRATEGY	10.99	10.72	10,000.00	9,754.32	245.68
918	CLASS 1							
10/25/2011	05/24/2012	30	DEVON ENERGY CORPORATION	60.36	62.69	1,810.86	1,880.71	-69.85
06/09/2011	05/25/2012	74	FNMA 30 YR#972295	100.00	33.25*	74.76	24.86*	49.90

Capital Gains Report

JAMES C. ALLEN CHARITABLE FOUNDATION (Account: 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
10/26/2011	05/31/2012	760	DUE 02/01/2038 5.500%	49.15	39.79	2,457.39	1,989.39	468.00
10/25/2011	06/04/2012	50	DOLLAR GENERAL CORP	18.15	16.17	4,537.23	4,041.63	495.60
10/25/2011	06/04/2012	250	GENERAL ELECTRIC CO	31.03	33.86	3,443.91	3,758.53	-314.62
10/25/2011	06/04/2012	111	JPMORGAN CHASE & CO	16.07	18.53	1,446.26	1,667.61	-221.35
03/06/2012	06/04/2012	90	LIBERTY INTERACTIVE CORP-A	23.83	25.94	1,310.50	1,426.95	-116.45
10/25/2011	06/04/2012	55	MARATHON OIL CORP	36.94	46.26	1,071.23	1,341.51	-270.28
11/15/2011	06/04/2012	29	POTASH CORP OF SASKATCHEWAN	36.94	41.39	1,292.87	1,448.69	-155.82
01/09/2012	06/04/2012	35	POTASH CORP OF SASKATCHEWAN	36.94	47.39	923.48	1,184.65	-261.17
02/01/2012	06/04/2012	25	POTASH CORP OF SASKATCHEWAN	12.80	13.81	4,800.00	5,179.13	-379.13
10/25/2011	06/06/2012	375	CORNING INC	38.32	28.82	1,149.74	864.72	285.02
11/04/2011	06/07/2012	30	LYONDELLBASELL INDU-CL A	16.05	16.43	1,845.98	1,889.14	-43.16
10/25/2011	06/08/2012	115	CBRE GROUP INC	16.05	17.97	1,203.90	1,347.85	-143.95
02/10/2012	06/08/2012	75	CBRE GROUP INC	33.58	33.86	2,451.10	2,471.82	-20.72
10/25/2011	06/08/2012	73	JPMORGAN CHASE & CO	105.40	87.82	1,581.00	1,317.32	263.68
10/25/2011	06/13/2012	15	FLOWERVE CORP	110.80	89.67	1,662.00	1,344.98	317.02
11/25/2011	06/14/2012	15	PERRIGO INC	56.88	62.69	3,981.38	4,388.33	-406.95
10/25/2011	06/18/2012	70	DEVON ENERGY CORPORATION	11.72	13.63	2,050.67	2,386.09	-335.42
10/25/2011	06/18/2012	175	MARVELL TECHNOLOGY GROUP LT	11.72	14.02	1,464.76	1,752.33	-287.57
11/04/2011	06/18/2012	125	MARVELL TECHNOLOGY GROUP LT	11.72	13.70	2,050.67	2,397.05	-346.38
05/18/2012	06/18/2012	175	MARVELL TECHNOLOGY GROUP LT	11.20	8.47	1,119.50	846.67	272.83
10/25/2011	06/21/2012	100	DELTA AIR LINES INC	87.82	88.50	1,317.32	1,327.50	-10.18
07/25/2011	06/21/2012	15	MCDONALD'S CORP	36.12	33.86	5,418.69	5,079.10	339.59
10/25/2011	06/22/2012	150	JPMORGAN CHASE & CO	36.53	41.12	2,739.75	3,084.08	-344.33
10/25/2011	06/27/2012	75	TRW AUTOMOTIVE HOLDINGS CORP	39.42	35.51	2,956.20	2,662.95	293.25
10/31/2011	06/29/2012	75	CENTURYLINK INC	14.66	11.46	2,931.64	2,291.66	639.98
10/25/2011	06/29/2012	200	GANNETT CO	50.87	41.51	1,780.54	1,452.69	327.85
10/25/2011	06/29/2012	35	GILEAD SCIENCES INC	20.73	21.12	1,554.93	1,584.04	-29.11
10/25/2011	07/02/2012	75	CENTERPOINT ENERGY INC	44.79	51.96	1,567.68	1,818.72	-251.04
01/26/2012	07/06/2012	35	EMERSON ELECTRIC CO	44.79	51.40	671.86	771.07	-99.21
01/30/2012	07/06/2012	15	EMERSON ELECTRIC CO	34.84	41.78	1,568.01	1,880.04	-312.03
01/13/2012	07/06/2012	45	FREEPORT-MCMORAN COPPER	31.37	24.47	2,446.76	1,908.48	538.28
10/25/2011	07/10/2012	78	COMCAST CORP-CLASS A	53.17	51.23	2,392.57	2,305.16	87.41
10/25/2011	07/10/2012	45	STRYKER CORP	78.69	69.39	1,967.32	1,734.72	232.60
10/25/2011	07/11/2012	25	UNITED PARCEL SERVICE-CL B	44.69	32.56	6,703.32	4,884.57	1,818.75
10/25/2011	07/11/2012	150	MARATHON PETROLEUM CORP	78.74	69.39	1,968.44	1,734.73	233.71
10/25/2011	07/11/2012	25	UNITED PARCEL SERVICE-CL B	78.48	69.39	1,962.10	1,734.72	227.38
10/25/2011	07/12/2012	20	UNITED PARCEL SERVICE-CL B	78.48	68.24	1,569.68	1,364.76	204.92
11/22/2011	07/12/2012	12	ANADARKO PETROLEUM CORP	71.16	84.55	853.93	1,014.56	-160.63
07/28/2011	07/17/2012	29	ANADARKO PETROLEUM CORP	71.16	82.05	2,063.67	2,379.54	-315.87
08/01/2011	07/17/2012	461	BERNSTEIN INTERMEDIATE	14.18	14.09	6,550.00	6,508.42	41.58
10/25/2011	07/18/2012	918	DURATION PORTFOLIO	85.79	70.98	4,289.36	3,549.07	740.29
10/25/2011	07/19/2012	50	TIME WARNER CABLE	46.30	51.40	463.00	514.04	-51.04
01/30/2012	07/20/2012	10	EMERSON ELECTRIC CO	46.30	51.94	1,389.00	1,558.25	-169.25
02/02/2012	07/20/2012	30	EMERSON ELECTRIC CO	46.30	50.01	1,157.50	1,250.36	-92.86
03/02/2012	07/20/2012	25	EMERSON ELECTRIC CO	50.99	46.45	1,784.64	1,625.58	159.06
10/25/2011	07/25/2012	35	COVIDIEN PLC	45.57	51.83	1,139.30	1,295.80	-156.50
03/14/2012	07/25/2012	25	EMERSON ELECTRIC CO	45.57	51.60	1,139.30	1,290.03	-150.73

Capital Gains Report

JAMES C. ALLEN CHARITABLE FOUNDATION (Account 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
04/24/2012	07/25/2012	35	EMERSON ELECTRIC CO	45.57	50.92	1,595.01	1,782.11	-187.10
04/25/2012	07/25/2012	5	EMERSON ELECTRIC CO	45.57	51.19	227.86	255.97	-28.11
02/09/2012	07/25/2012	30	INFORMATICA CORPORATION	27.83	46.75	835.04	1,402.52	-567.48
06/20/2012	07/25/2012	35	INFORMATICA CORPORATION	27.83	43.36	974.22	1,517.76	-543.54
01/12/2012	07/25/2012	50	STRYKER CORP	51.40	52.39	2,569.95	2,619.55	-49.60
02/01/2012	07/25/2012	25	STRYKER CORP	51.40	55.65	1,284.97	1,391.14	-106.17
08/03/2011	07/30/2012	8	BORGWARNER INC	67.33	75.48	538.61	603.81	-65.20
08/04/2011	07/30/2012	10	BORGWARNER INC	67.33	72.44	673.26	724.41	-51.15
11/15/2011	08/01/2012	40	PVH CORP	78.07	70.14	3,122.93	2,805.67	317.26
12/21/2011	08/01/2012	20	PVH CORP	78.07	66.00	1,561.47	1,320.00	241.47
10/25/2011	08/03/2012	40	DTE ENERGY COMPANY	61.67	51.43	2,466.70	2,057.27	409.43
10/25/2011	08/06/2012	50	GILEAD SCIENCES INC	57.30	41.51	2,864.77	2,075.26	789.51
10/25/2011	08/06/2012	704	BERNSTEIN INTERMEDIATE	14.19	14.09	10,000.00	9,929.53	70.47
10/25/2011	08/06/2012	722	DURATION PORTFOLIO					
10/25/2011	08/06/2012	388	BERNSTEIN INTERNATIONAL	12.87	13.46	5,000.00	5,229.21	-229.21
10/25/2011	08/06/2012	500	PORTFOLIO					
10/25/2011	08/07/2012	35	INTUIT INC	59.97	53.62	2,098.95	1,876.54	222.41
10/31/2011	08/09/2012	100	APPLIED MATERIALS INC	11.88	12.46	1,188.21	1,245.53	-57.32
10/25/2011	08/09/2012	75	COVIDIEN PLC	56.69	46.45	4,251.53	3,483.38	768.15
10/25/2011	08/09/2012	75	GANNETT CO	15.10	11.46	1,132.86	859.37	273.49
10/25/2011	08/10/2012	20	COVIDIEN PLC	56.94	46.44	1,138.71	928.90	209.81
11/04/2011	08/10/2012	30	COVIDIEN PLC	56.94	45.41	1,708.06	1,362.30	345.76
11/22/2011	08/10/2012	25	COVIDIEN PLC	56.94	44.58	1,423.39	1,114.55	308.84
02/07/2012	08/10/2012	20	GOLDMAN SACHS GROUP INC	102.81	116.45	2,056.29	2,329.08	-272.79
10/25/2011	08/14/2012	25	CENTERPOINT ENERGY INC	20.55	21.12	513.75	528.01	-14.26
02/15/2012	08/14/2012	80	CENTERPOINT ENERGY INC	20.55	18.81	1,644.00	1,505.18	138.82
11/04/2011	08/17/2012	25	LYONDELLBASELL INDU-CL A	48.92	28.82	1,223.10	720.60	502.50
10/31/2011	08/20/2012	75	CENTURYLINK INC	41.61	35.51	3,120.38	2,662.95	457.43
01/25/2012	08/22/2012	40	LAS VEGAS SANDS CORP	43.32	48.96	1,732.95	1,958.53	-225.58
02/01/2012	08/22/2012	25	LAS VEGAS SANDS CORP	43.32	49.59	1,083.10	1,239.72	-156.62
10/25/2011	08/22/2012	674	BERNSTEIN EMERGING MARKETS	26.07	27.02	17,410.00	18,226.82	-816.82
01/20/2012	08/23/2012	563	PORTFOLIO					
10/25/2011	08/23/2012	60	FREEPORT-MCMORAN COPPER	36.69	43.75	2,201.59	2,625.16	-423.57
10/31/2011	08/23/2012	37	JPMORGAN CHASE & CO	37.36	33.86	1,382.15	1,252.84	129.31
10/26/2011	08/31/2012	43	JPMORGAN CHASE & CO	37.36	35.52	1,606.28	1,527.49	78.79
01/20/2012	09/06/2012	15	VISA INC - CLASS A SHRS	127.39	90.40	1,910.80	1,356.03	554.77
02/06/2012	09/06/2012	5	FREEPORT-MCMORAN COPPER	36.21	43.75	181.05	218.76	-37.71
10/25/2011	09/06/2012	30	FREEPORT-MCMORAN COPPER	36.21	45.96	1,086.30	1,378.81	-292.51
10/31/2011	09/12/2012	77	JOHNSON & JOHNSON	67.99	64.14	5,235.11	4,938.63	296.48
10/27/2011	09/12/2012	175	APPLIED MATERIALS INC	11.59	12.46	2,028.76	2,179.68	-150.92
10/25/2011	09/12/2012	195	NEWELL RUBBERMAID INC	18.98	13.80	3,701.51	2,690.73	1,010.78
01/05/2012	09/12/2012	30	TIME WARNER CABLE	91.83	70.98	2,754.88	2,129.44	625.44
10/25/2011	09/13/2012	25	VERTEX PHARMACEUTICALS INC	55.63	33.11	1,390.70	827.64	563.06
10/25/2011	09/13/2012	85	MOODY'S CORP	43.63	32.30	3,708.52	2,745.28	963.24
10/25/2011	09/13/2012	25	TRANSOCEAN LTD	45.82	56.16	1,145.39	1,404.08	-258.69
10/25/2011	09/14/2012	120	LAM RESEARCH CORP	34.34	43.01	4,120.28	5,161.18	-1,040.90
10/25/2011	09/17/2012	80	FMC TECHNOLOGIES INC	48.93	45.55	3,914.51	3,644.32	270.19
12/13/2011	09/17/2012	40	ILLUMINA INC	47.76	26.37	1,910.26	1,054.74	855.52
10/25/2011	09/17/2012	30	LORILLARD INC	116.92	113.59	3,507.58	3,407.82	99.76

Capital Gains Report

JAMES C ALLEN CHARITABLE FOUNDATION (Account: 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
05/16/2012	09/17/2012	25	OCEANEERING INTL INC	57.19	48.55	1,429.65	1,213.87	215.78
10/25/2011	09/20/2012	35	DIRECTV	52.37	47.49	1,833.08	1,662.24	170.84
10/31/2011	09/21/2012	200	CENTURYLINK INC	41.95	35.51	8,390.70	7,101.20	1,289.50
10/25/2011	09/21/2012	15	ROCKWELL AUTOMATION INC	71.80	66.47	1,076.99	996.99	80.00
03/08/2012	09/24/2012	65	WELLS FARGO & COMPANY	34.98	31.25	2,273.76	2,031.03	242.73
10/25/2011	09/27/2012	45	GILEAD SCIENCES INC	66.68	41.51	3,000.60	1,867.74	1,132.86
10/25/2011	10/01/2012	22	GENERAL MOTORS CO	23.21	24.97	510.52	549.41	-38.89
02/24/2012	10/02/2012	25	PERRIGO INC	117.35	96.30	2,933.78	2,407.39	526.39
10/25/2011	10/03/2012	40	GILEAD SCIENCES INC	70.08	41.51	2,803.32	1,660.21	1,143.11
06/07/2012	10/03/2012	80	INTEL CORP	22.57	26.12	1,805.81	2,089.22	-283.41
06/18/2012	10/03/2012	40	INTEL CORP	22.57	27.44	902.91	1,097.55	-194.64
10/25/2011	10/09/2012	12	UNITEDHEALTH GROUP INC	57.54	49.01	690.48	588.10	102.38
11/22/2011	10/09/2012	25	UNITEDHEALTH GROUP INC	57.54	44.56	1,438.49	1,113.92	324.57
05/07/2012	10/10/2012	115	LEUCADIA NATIONAL CO	22.32	24.59	2,566.75	2,827.34	-260.59
10/25/2011	10/12/2012	45	***TRANSOCEAN LTD	45.51	56.16	2,048.09	2,527.36	-479.27
02/14/2012	10/24/2012	20	NATIONAL - OILWELL INC	77.33	83.52	1,546.64	1,670.43	-123.79
05/17/2012	10/26/2012	3	PRICELINE COM INC	580.81	647.12	1,742.43	1,941.37	-198.94
10/31/2011	10/31/2012	57	JPMORGAN CHASE & CO	41.40	35.52	2,360.03	2,024.81	335.22
03/21/2012	10/31/2012	8	JPMORGAN CHASE & CO	41.40	45.19	331.23	361.50	-30.27
12/19/2011	11/01/2012	85	APOLLO GROUP INC-CL A	20.20	50.42	1,716.96	4,285.37	-2,568.41
06/08/2012	11/01/2012	35	APOLLO GROUP INC-CL A	20.20	34.85	706.99	1,219.66	-512.67
10/05/2012	11/07/2012	24	MEAD JOHNSON NUTRITION CO	66.33	72.89	1,592.02	1,749.47	-157.45
10/09/2012	11/07/2012	11	MEAD JOHNSON NUTRITION CO	66.33	71.80	729.68	789.76	-60.08
11/15/2011	11/08/2012	25	FMC TECHNOLOGIES INC	40.00	48.50	1,000.07	1,212.58	-212.51
06/19/2012	11/08/2012	75	INTEL CORP	21.00	27.57	1,574.91	2,067.57	-492.66
01/13/2012	11/09/2012	125	FORTUNE BRANDS HOME & SECURI	27.97	18.09	3,496.81	2,261.26	1,235.55
04/25/2012	11/13/2012	45	EMERSON ELECTRIC CO	50.23	51.19	2,260.32	2,303.69	-43.37
05/02/2012	11/13/2012	25	EMERSON ELECTRIC CO	50.23	49.33	1,255.73	1,233.37	22.36
09/28/2012	11/13/2012	15	EMERSON ELECTRIC CO	50.23	48.20	753.44	723.05	30.39
06/19/2012	11/13/2012	55	INTEL CORP	20.55	27.57	1,130.23	1,516.21	-385.98
06/22/2012	11/13/2012	50	INTEL CORP	20.55	26.82	1,027.48	1,341.17	-313.69
05/18/2012	11/20/2012	30	LAS VEGAS SANDS CORP	43.50	47.28	1,304.92	1,418.42	-113.50
07/06/2012	11/29/2012	10	RACKSPACE HOSTING INC	69.25	44.51	692.54	445.09	247.45
05/18/2012	12/03/2012	10	LAS VEGAS SANDS CORP	47.03	44.07	470.28	472.80	-2.52
06/05/2012	12/03/2012	35	LAS VEGAS SANDS CORP	47.03	44.07	1,646.00	1,542.48	103.52
09/27/2012	12/03/2012	20	LAS VEGAS SANDS CORP	47.03	47.00	940.57	940.03	0.54
05/23/2012	12/05/2012	25	HELMERICH & PAYNE	54.63	45.14	1,365.66	1,128.51	237.15
06/28/2012	12/07/2012	15	ANSYS INC	66.22	61.85	993.29	927.75	65.54
11/09/2012	12/07/2012	25	FACEBOOK INC-A	27.75	19.88	693.75	497.08	196.67
12/16/2011	12/07/2012	10	HERSHEY CO/THE	72.15	59.35	721.48	593.47	128.01
03/06/2012	12/07/2012	10	MCKESSON CORP	95.40	82.38	954.00	823.83	130.17
05/17/2012	12/07/2012	1	PRICELINE COM INC	658.00	647.12	658.00	647.12	10.88
04/11/2012	12/10/2012	19	COACH INC	56.72	73.62	1,077.61	1,398.71	-321.10
04/12/2012	12/10/2012	16	COACH INC	56.72	74.63	907.46	1,194.02	-286.56
04/16/2012	12/10/2012	5	COACH INC	56.72	73.51	283.58	367.57	-83.99
07/02/2012	12/12/2012	325	MORGAN STANLEY	17.75	14.68	5,769.47	4,771.03	998.44
04/16/2012	12/19/2012	15	COACH INC	59.82	73.51	897.24	1,102.70	-205.46
04/26/2012	12/19/2012	20	COACH INC	59.82	72.72	1,196.32	1,454.33	-258.01
05/16/2012	12/20/2012	25	OCEANEERING INTL INC	53.69	48.55	1,342.19	1,213.86	128.33

Capital Gains Report

JAMES C. ALLEN CHARITABLE FOUNDATION (Account# 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Proceeds	Total Cost	Gain or Loss
05/22/2012	12/20/2012	5	OCEANEERING INTL INC	53.69	47.82	268.44	239.08	29.36
07/09/2012	12/21/2012	6	CHIPOTLE MEXICAN GRILL-CL A	287.09	386.20	1,722.54	2,317.22	-594.68
09/17/2012	12/28/2012	135	FORD MOTOR CO	12.59	10.36	1,699.91	1,398.57	301.34
SUBTOTAL FOR SHORT-TERM NON-COVERED								23,015.21
LONG-TERM NON-COVERED								23,015.21
10/08/2008	01/03/2012	76	METLIFE INC	32.24	31.92	2,450.45	2,426.03	24.42
10/09/2008	01/03/2012	69	METLIFE INC	32.24	28.23	2,224.76	1,947.75	277.01
06/17/2010	01/11/2012	7	CELGENE CORP	70.98	55.00	496.88	385.00	111.88
06/18/2010	01/11/2012	10	CELGENE CORP	70.98	55.39	709.84	553.88	155.96
06/30/2010	01/11/2012	9	CELGENE CORP	70.98	51.15	638.85	460.33	178.52
06/30/2010	01/11/2012	1	CELGENE CORP	70.98	50.97	70.98	50.97	20.01
07/23/2010	01/18/2012	23	ALLERGAN INC	87.38	60.97	2,009.64	1,402.29	607.35
12/29/2008	01/18/2012	5	APPLE INC	428.02	86.26	2,140.10	431.30	1,708.80
12/29/2010	01/18/2012	17	MONSANTO CO	80.13	68.48	1,362.15	1,164.12	198.03
06/30/2010	01/27/2012	16	CELGENE CORP	73.05	50.97	1,168.72	815.50	353.22
06/22/2010	01/31/2012	9	BROADCOM CORP-CL A	34.79	36.02	313.11	324.17	-11.06
06/22/2010	01/31/2012	9	BROADCOM CORP-CL A	34.79	35.86	313.11	322.73	-9.62
06/22/2010	01/31/2012	10	BROADCOM CORP-CL A	34.79	36.22	347.90	362.20	-14.30
07/07/2010	01/31/2012	15	BROADCOM CORP-CL A	34.79	35.17	521.84	527.54	-5.70
07/07/2010	01/31/2012	13	BROADCOM CORP-CL A	34.79	34.50	452.26	448.50	3.76
08/24/2010	02/01/2012	29	STARBUCKS CORP	48.21	23.01	1,398.01	667.26	730.75
08/24/2010	02/01/2012	4	STARBUCKS CORP	48.21	22.74	192.83	90.96	101.87
12/29/2008	02/03/2012	2	APPLE INC	458.48	86.26	916.96	172.52	744.44
03/12/2009	02/03/2012	3	APPLE INC	458.48	95.52	1,375.44	286.56	1,088.88
11/22/2010	02/08/2012	50	GENERAL MOTORS CO	25.81	34.21	1,290.51	1,710.72	-420.21
09/10/2010	02/09/2012	23	ORACLE CORP	28.73	25.07	660.80	576.59	84.21
09/13/2010	02/09/2012	21	ORACLE CORP	28.73	25.25	603.34	530.20	73.14
01/20/2011	02/16/2012	4	ESTEE LAUDER COMPANIES-CL A	55.60	41.75	222.39	167.01	55.38
01/20/2011	02/16/2012	18	ESTEE LAUDER COMPANIES-CL A	55.60	41.73	1,000.74	751.19	249.55
01/05/2011	02/23/2012	17	MONSANTO CO	77.69	68.90	1,320.68	1,171.30	149.38
01/05/2011	02/23/2012	11	MONSANTO CO	77.69	68.85	854.55	757.31	97.24
01/11/2010	02/27/2012	30	FNMA 30 YR POOL#745275	100.00	70.80*	30.05	21.28*	8.77
052	DUE 02/01/2036		5.000%					
06/08/2009	02/27/2012	30	FNMA 30 YR#889982	100.00	87.66*	30.23	26.50*	3.73
231	DUE 11/01/2038		5.500%					
07/29/2009	02/27/2012	40	FNMA 30 YR#889982	100.00	87.60*	40.31	35.31*	5.00
308	DUE 11/01/2038		5.500%					
03/12/2009	02/28/2012	5	APPLE INC	533.76	95.52	2,668.79	477.59	2,191.20
11/22/2010	02/28/2012	45	GENERAL MOTORS CO	26.24	34.21	1,180.76	1,539.65	-358.89
11/23/2010	02/28/2012	32	GENERAL MOTORS CO	26.24	33.70	839.66	1,078.32	-238.66
03/12/2009	03/06/2012	5	APPLE INC	529.77	95.52	2,648.83	477.60	2,171.23
10/28/2004	03/20/2012	160	TRAVELERS COS INC/THE	59.34	33.49	9,494.30	5,358.40	4,135.90
10/09/2008	03/26/2012	16	METLIFE INC	38.33	28.23	613.26	451.65	161.61
12/03/2009	03/26/2012	69	METLIFE INC	38.33	34.25	2,644.67	2,363.25	281.42
12/06/2010	03/26/2012	15	METLIFE INC	38.33	40.83	574.93	612.47	-37.54

Capital Gains Report

JAMES C ALLEN CHARITABLE FOUNDATION (Account: 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
01/11/2010	03/26/2012	32	FNMA 30 YR P00L#745275	100.00	70.88*	32.51	23.04*	9.47
		510	DUE 02/01/2036					
06/08/2009	03/26/2012	35	FNMA 30 YR#889982	100.00	87.69*	35.16	30.83*	4.33
		.161	DUE 11/01/2038					
07/29/2009	03/26/2012	46	FNMA 30 YR#889982	100.00	87.64*	46.88	41.09*	5.79
		.881	DUE 11/01/2038					
08/24/2010	04/19/2012	26	STARBUCKS CORP	59.26	22.74	1,540.82	591.21	949.61
02/28/2011	04/20/2012	6	BORGWARNER INC	80.94	77.10	485.64	462.58	23.06
02/28/2011	04/20/2012	9	BORGWARNER INC	80.94	76.63	728.46	689.69	38.77
03/01/2011	04/20/2012	9	BORGWARNER INC	80.94	76.50	728.46	688.53	39.93
07/08/2010	04/25/2012	14	BROADCOM CORP-CL A	36.20	35.82	506.83	501.48	5.35
07/08/2010	04/25/2012	14	BROADCOM CORP-CL A	36.20	34.17	506.83	478.40	28.43
11/22/2010	04/25/2012	11	BROADCOM CORP-CL A	36.20	43.97	398.22	483.66	-85.44
12/09/2010	04/25/2012	12	BROADCOM CORP-CL A	36.20	43.85	434.43	526.19	-91.76
12/13/2010	04/25/2012	15	***POTASH CORP OF SASKATCHEWAN	44.10	46.29	661.50	694.40	-32.90
12/16/2010	04/25/2012	9	***POTASH CORP OF SASKATCHEWAN	44.10	45.92	396.90	413.31	-16.41
12/20/2010	04/25/2012	42	***POTASH CORP OF SASKATCHEWAN	44.10	46.30	1,852.20	1,944.46	-92.26
01/21/2011	04/25/2012	12	***POTASH CORP OF SASKATCHEWAN	44.10	46.58	529.20	559.00	-29.80
01/31/2011	04/25/2012	3	***POTASH CORP OF SASKATCHEWAN	44.10	54.03	132.30	162.08	-29.78
03/09/2011	04/25/2012	8	***POTASH CORP OF SASKATCHEWAN	44.10	59.03	352.80	447.02	-94.22
03/14/2011	04/25/2012	3	***POTASH CORP OF SASKATCHEWAN	44.10	55.88	176.40	212.08	-35.68
01/11/2010	04/25/2012	4	***POTASH CORP OF SASKATCHEWAN	44.10	53.02	33.61	23.86*	9.75
		33	FNMA 30 YR P00L#745275	100.00	70.97*			
		.612	DUE 02/01/2036					
06/08/2009	04/25/2012	33	FNMA 30 YR#889982	100.00	87.74*	33.50	29.39*	4.11
		502	DUE 11/01/2038					
07/29/2009	04/25/2012	44	FNMA 30 YR#889982	100.00	87.68*	44.67	39.17*	5.50
		.669	DUE 11/01/2038					
07/16/2010	05/02/2012	21	QUALCOMM INC	64.03	36.25	1,344.61	761.23	583.38
08/11/2010	05/02/2012	4	QUALCOMM INC	64.03	39.25	256.12	157.00	99.12
03/12/2010	05/11/2012	10	EXPRESS SCRIPTS HOLDING CO	55.80	49.63	557.97	496.35	61.62
04/09/2010	05/11/2012	24	EXPRESS SCRIPTS HOLDING CO	55.80	51.48	1,339.12	1,235.50	103.62
07/19/2010	05/11/2012	19	EXPRESS SCRIPTS HOLDING CO	55.80	46.56	1,060.14	884.63	175.51
07/20/2010	05/11/2012	11	EXPRESS SCRIPTS HOLDING CO	55.80	45.76	613.76	503.35	110.41
07/20/2010	05/11/2012	10	EXPRESS SCRIPTS HOLDING CO	55.80	45.46	557.97	454.65	103.32
07/29/2010	05/11/2012	8	EXPRESS SCRIPTS HOLDING CO	55.80	44.57	446.37	356.56	89.81
07/30/2010	05/11/2012	3	EXPRESS SCRIPTS HOLDING CO	55.80	44.52	167.39	133.55	33.84
08/16/2010	05/11/2012	8	EXPRESS SCRIPTS HOLDING CO	55.80	46.12	446.37	368.96	77.41
08/16/2010	05/11/2012	7	EXPRESS SCRIPTS HOLDING CO	55.80	46.00	390.58	321.99	68.59
03/28/2011	05/11/2012	22	EXPRESS SCRIPTS HOLDING CO	55.80	55.14	1,227.53	1,213.05	14.48
03/28/2011	05/11/2012	21	EXPRESS SCRIPTS HOLDING CO	55.80	54.81	1,171.73	1,150.96	20.77
02/11/2008	05/15/2012	10	AMAZON COM INC	229.28	73.43	2,292.84	734.28	1,558.56
02/23/2011	05/16/2012	29	EMC CORP/MASS	25.73	25.97	746.06	753.12	-7.06
04/14/2011	05/16/2012	35	EMC CORP/MASS	25.73	26.70	900.42	934.64	-34.22
08/11/2010	05/17/2012	16	QUALCOMM INC	58.96	39.25	943.34	627.98	315.36
08/11/2010	05/17/2012	19	QUALCOMM INC	58.96	38.85	1,120.22	738.15	382.07
08/20/2010	05/17/2012	13	QUALCOMM INC	58.96	39.00	766.46	506.99	259.47
08/20/2010	05/17/2012	2	QUALCOMM INC	58.96	38.64	117.92	77.28	40.64
01/11/2010	05/25/2012	32	FNMA 30 YR P00L#745275	100.00	71.09*	32.37	23.01*	9.36

Capital Gains Report

JAMES C. ALLEN CHARITABLE FOUNDATION (Account. 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
06/08/2009	05/25/2012	.369	DUE 02/01/2036	100.00	87.77*	37.94	33.30*	4.64
		37	FNMA 30 YR#889982					
		938	DUE 11/01/2038					
07/29/2009	05/25/2012	50	FNMA 30 YR#889982	100.00	87.72*	50.58	44.37*	6.21
		584	DUE 11/01/2038					
		66	JPMORGAN CHASE & CO					
		15	MONSANTO CO					
12/18/2006	06/06/2012	33	APPLE INC	33.10	48.44	2,184.71	3,197.04	-1,012.33
01/05/2011	06/08/2012	79	AMAZON.COM INC	79.01	68.85	1,185.22	1,032.69	152.53
03/12/2009	06/19/2012	5	AMAZON.COM INC	587.54	95.52	2,937.70	477.59	2,460.11
02/11/2008	06/20/2012	221	AMAZON.COM INC	221.67	73.43	1,330.01	440.57	889.44
04/18/2008	06/20/2012	221	AMAZON.COM INC	221.67	79.90	2,138.35	878.90	1,559.45
01/11/2010	06/25/2012	100	AMAZON.COM INC	100.00	71.19*	36.62	26.07*	10.55
06/08/2009	06/25/2012	623	FNMA 30 YR POOL#745275					
		41	FNMA 30 YR#889982	100.00	87.81*	41.93	36.82*	5.11
07/29/2009	06/25/2012	930	DUE 11/01/2038					
		55	FNMA 30 YR#889982	100.00	87.76*	55.91	49.06*	6.85
06/09/2011	06/25/2012	907	DUE 11/01/2038					
		83	FNMA 30 YR#972295	100.00	33.47*	83.50	27.95*	55.55
		503	DUE 02/01/2038					
04/18/2008	07/05/2012	8	AMAZON.COM INC	227.98	79.90	1,823.83	639.20	1,184.63
04/21/2008	07/05/2012	10	AMAZON.COM INC	227.98	80.17	2,279.79	801.75	1,478.04
04/19/2005	07/11/2012	25	DANAHER CORP	50.35	24.55	1,258.76	613.81	644.95
05/24/2011	07/13/2012	17	ANADARKO PETROLEUM CORP	68.69	76.07	1,167.75	1,293.20	-125.45
05/24/2011	07/13/2012	16	ANADARKO PETROLEUM CORP	68.69	75.85	1,099.07	1,213.66	-114.59
05/24/2011	07/13/2012	2	ANADARKO PETROLEUM CORP	68.69	75.79	137.38	151.58	-14.20
05/24/2011	07/17/2012	14	ANADARKO PETROLEUM CORP	71.16	75.79	996.25	1,061.05	-64.80
03/29/2010	07/18/2012	100	PFIZER INC	23.61	17.28	2,360.73	1,727.92	632.81
04/19/2005	07/25/2012	50	DANAHER CORP	50.66	24.55	2,533.10	1,227.63	1,305.47
02/04/2010	07/25/2012	14	PRECISION CASTPARTS CORP	159.90	107.50	2,238.59	1,505.05	733.54
04/27/2010	07/25/2012	1	PRECISION CASTPARTS CORP	159.90	130.00	159.90	130.00	29.90
01/11/2010	07/25/2012	34	FNMA 30 YR POOL#745275	100.00	71.28*	34.98	24.93*	10.05
		976	DUE 02/01/2036					
06/08/2009	07/25/2012	41	FNMA 30 YR#889982	100.00	87.86*	41.52	36.48*	5.04
		.520	DUE 11/01/2038					
07/29/2009	07/25/2012	55	FNMA 30 YR#889982	100.00	87.80*	55.36	48.61*	6.75
		.361	DUE 11/01/2038					
06/09/2011	07/25/2012	75	FNMA 30 YR#972295	100.00	33.68*	75.87	25.56*	50.31
		.873	DUE 02/01/2038					
03/01/2011	07/30/2012	14	BORGWARNER INC	67.33	75.52	942.57	1,057.34	-114.77
03/01/2011	07/30/2012	4	BORGWARNER INC	67.33	76.50	269.30	306.01	-36.71
04/13/2011	07/30/2012	13	BORGWARNER INC	67.33	72.44	875.24	941.77	-66.53
09/21/2010	08/03/2012	15	EKG RESOURCES INC	105.51	89.07	1,582.71	1,336.02	246.69
04/19/2005	08/07/2012	50	DANAHER CORP	53.63	24.55	2,681.34	1,227.62	1,453.72
09/13/2010	08/07/2012	2	ORACLE CORP	31.61	25.24	63.22	50.49	12.73
09/13/2010	08/07/2012	21	ORACLE CORP	31.61	25.14	663.84	527.88	135.96
09/17/2010	08/07/2012	41	ORACLE CORP	31.61	27.35	1,296.08	1,121.32	174.76
09/17/2010	08/07/2012	7	ORACLE CORP	31.61	26.91	221.28	188.37	32.91
08/20/2010	08/07/2012	13	QUALCOMM INC	61.11	38.64	794.49	502.30	292.19
08/26/2010	08/07/2012	14	QUALCOMM INC	61.11	38.22	855.61	535.07	320.54
08/31/2010	08/07/2012	12	QUALCOMM INC	61.11	37.98	733.38	455.75	277.63

Capital Gains Report

JAMES C ALLEN CHARITABLE FOUNDATION (Account: 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
09/28/2010	08/07/2012	18	QUALCOMM INC	61 11	44 19	1,100.06	795.40	304 66
03/31/2009	08/08/2012	5	APPLE INC	620 77	105 41	3,103 85	527.05	2,576 80
02/09/2009	08/08/2012	25	THE WALT DISNEY CO.	50 35	19 31	1,258 77	482 75	776 02
09/21/2010	08/09/2012	13	EKG RESOURCES INC	110 31	89 07	1,434 02	1,157 89	276 13
12/14/2010	08/09/2012	11	EKG RESOURCES INC	110 31	91 54	1,213 40	1,006 92	206 48
08/04/2011	08/09/2012	7	EKG RESOURCES INC	110 31	94 00	772 17	658 02	114 15
07/01/2011	08/10/2012	10	GOLDMAN SACHS GROUP INC	102 81	135 88	1,028 15	1,358 85	-330 70
07/15/2011	08/10/2012	10	GOLDMAN SACHS GROUP INC	102 81	130 28	1,028 14	1,302 80	-274 66
07/14/2011	08/15/2012	25	JOHNSON & JOHNSON	68 51	67 79	1,712.67	1,694 82	17 85
01/11/2010	08/27/2012	33	FNMA 30 YR POOL#745275	100 00	71.40*	33 27	23.75*	9 52
269	DUE 02/01/2036	5	000%					
06/08/2009	08/27/2012	38	FNMA 30 YR#889982	100.00	87.90*	38.82	34 12*	4 70
.814	DUE 11/01/2038	5	500%					
07/29/2009	08/27/2012	51	FNMA 30 YR#889982	100 00	87 84*	51.75	45 46*	6 29
.752	DUE 11/01/2038	5	500%					
06/09/2011	08/27/2012	88	FNMA 30 YR#972295	100.00	33 92*	88 26	29 93*	58 33
256	DUE 02/01/2038	5	500%					
01/03/2008	08/30/2012	2	GOOGLE INC-CL A	682 58	681 18	1,365 16	1,362 36	2 80
02/04/2008	08/30/2012	3	GOOGLE INC-CL A	682 58	494 62	2,047 74	1,483 87	563 87
07/14/2011	09/06/2012	19	JOHNSON & JOHNSON	67.99	67 79	1,291 78	1,288 07	3 71
08/10/2011	09/06/2012	21	JOHNSON & JOHNSON	67.99	60.95	1,427.76	1,279.96	147.80
08/10/2011	09/06/2012	21	JOHNSON & JOHNSON	67 99	61 12	1,427 76	1,283 52	144 24
08/11/2011	09/06/2012	22	JOHNSON & JOHNSON	67 99	62 86	1,495 74	1,382 88	112 86
08/18/2011	09/06/2012	10	JOHNSON & JOHNSON	67 99	62 72	679 88	627 20	52 68
12/17/2009	09/12/2012	126	CITIGROUP INC	32.97	31 80	4,153.92	4,006 76	147 16
02/04/2008	09/13/2012	5	GOOGLE INC-CL A	694 93	494 62	3,474.64	2,473 11	1,001 53
09/15/2008	09/17/2012	30	NOBLE ENERGY INC	95 26	57.79	2,857 74	1,733.62	1,124 12
09/17/2010	09/20/2012	33	ORACLE CORP	32 52	26.91	1,073 26	888 01	185 25
09/20/2010	09/20/2012	13	ORACLE CORP	32 52	27 37	422 80	355 77	67.03
09/22/2010	09/20/2012	64	ORACLE CORP	32 52	27 28	2,081 48	1,745 86	335.62
12/18/2006	09/24/2012	40	WELLS FARGO & COMPANY	34 98	35 74	1,399.24	1,429 60	-30 36
05/02/2011	09/24/2012	45	WELLS FARGO & COMPANY	34 98	29 11	1,574 14	1,309 82	264 32
01/11/2010	09/25/2012	36	FNMA 30 YR POOL#745275	100 00	71 50*	36 59	26.16*	10 43
592	DUE 02/01/2036	5	000%					
06/08/2009	09/25/2012	41	FNMA 30 YR#889982	100 00	87 93*	41 72	36 68*	5 04
.718	DUE 11/01/2038	5	500%					
07/29/2009	09/25/2012	55	FNMA 30 YR#889982	100.00	87 86*	55 62	48 87*	6 75
.624	DUE 11/01/2038	5	500%					
06/09/2011	09/25/2012	62	FNMA 30 YR#972295	100 00	34 13*	62 61	21 37*	41 24
.614	DUE 02/01/2038	5	500%					
04/14/2011	09/26/2012	28	EMC CORP/MASS	26 72	26 70	748 15	747 71	0 44
04/14/2011	09/26/2012	35	EMC CORP/MASS	26 72	26 64	935 18	932 31	2 87
04/15/2011	09/26/2012	15	EMC CORP/MASS	26 72	26 97	400 79	404 62	-3 83
04/15/2011	09/26/2012	15	EMC CORP/MASS	26.72	26 92	400 80	403.75	-2 95
04/21/2011	09/26/2012	20	EMC CORP/MASS	26 72	28 44	534.39	568 89	-34 50
04/21/2011	09/26/2012	20	EMC CORP/MASS	26 72	28 38	534 39	567 58	-33.19
05/26/2011	09/26/2012	5	EMC CORP/MASS	26 72	27 85	133 60	139 25	-5 65
12/18/2009	09/27/2012	33	CITIGROUP INC	33.15	32 89	1,093 99	1,085 33	8 66
03/31/2011	09/27/2012	16	CITIGROUP INC	33 15	44 62	530 42	713 94	-183 52

Capital Gains Report

JAMES C ALLEN CHARITABLE FOUNDATION (Account: 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
02/03/2011	10/01/2012	10	GENERAL MOTORS CO	23.21	35.56	232.05	355.60	-123.55
02/15/2011	10/01/2012	15	GENERAL MOTORS CO	23.21	36.01	348.08	540.21	-192.13
02/22/2011	10/01/2012	20	GENERAL MOTORS CO	23.21	35.66	464.11	713.24	-249.13
02/23/2011	10/01/2012	6	GENERAL MOTORS CO	23.21	35.49	139.23	212.97	-73.74
03/31/2011	10/05/2012	26	CITIGROUP INC	35.15	44.62	913.80	1,160.14	-246.34
05/12/2011	10/05/2012	20	CITIGROUP INC	35.15	42.00	702.93	839.99	-137.06
05/13/2011	10/05/2012	8	CITIGROUP INC	35.15	41.89	281.17	335.11	-53.94
02/09/2009	10/23/2012	19	THE WALT DISNEY CO	50.86	19.23	966.27	365.35	600.92
09/09/2009	10/23/2012	31	THE WALT DISNEY CO	50.86	26.75	1,576.54	829.22	747.32
04/19/2005	10/24/2012	15	DANAHER CORP	52.48	24.55	787.14	368.29	418.85
01/05/2011	10/24/2012	15	MONSANTO CO	86.89	68.85	1,303.37	1,032.69	270.68
01/11/2010	10/25/2012	32	FNMA 30 YR POOL#745275	100.00	71.60*	32.19	23.05*	9.14
06/08/2009	10/25/2012	31	FNMA 30 YR#889982	100.00	87.96*	31.17	27.42*	3.75
07/29/2009	10/25/2012	41	FNMA 30 YR#889982	100.00	87.91*	41.56	36.53*	5.03
06/09/2011	10/25/2012	559	FNMA 30 YR#972295	100.00	34.34*	64.67	22.21*	42.46
10/25/2011	10/31/2012	125	GENERAL MOTORS CO	24.60	24.97	3,074.53	3,121.64	-47.11
10/25/2011	11/02/2012	50	**BP PLC-SPONS ADR	42.78	43.64	2,138.91	2,181.84	-42.93
10/25/2011	11/08/2012	5	FMC TECHNOLOGIES INC	40.00	45.55	200.01	227.77	-27.76
10/25/2011	11/08/2012	15	ROCKWELL AUTOMATION INC	76.54	66.47	1,148.13	996.98	151.15
03/31/2009	11/09/2012	8	APPLE INC	540.69	105.41	4,325.50	843.27	3,482.23
08/12/2009	11/09/2012	2	APPLE INC	540.69	165.50	1,081.38	331.00	750.38
10/25/2011	11/12/2012	19	GILEAD SCIENCES INC	72.49	41.51	1,377.39	788.60	588.79
04/27/2010	11/12/2012	9	PRECISION CASTPARTS CORP	179.52	130.00	1,615.67	1,170.00	445.67
03/24/2011	11/12/2012	6	PRECISION CASTPARTS CORP	179.52	143.99	1,077.12	863.94	213.18
09/22/2010	11/13/2012	32	ORACLE CORP	30.20	27.07	966.24	866.20	100.04
09/22/2010	11/13/2012	32	ORACLE CORP	30.20	27.02	966.24	864.60	101.64
09/22/2010	11/13/2012	2	ORACLE CORP	30.20	27.28	60.39	54.56	5.83
09/24/2010	11/13/2012	16	ORACLE CORP	30.20	26.81	483.12	428.92	54.20
09/24/2010	11/13/2012	17	ORACLE CORP	30.20	26.83	513.32	456.11	57.21
09/27/2010	11/13/2012	11	ORACLE CORP	30.20	27.00	332.14	296.97	35.17
09/27/2010	11/15/2012	9	ORACLE CORP	29.73	27.00	267.60	242.98	24.62
09/27/2010	11/15/2012	18	ORACLE CORP	29.73	26.94	535.19	484.92	50.27
03/24/2011	11/15/2012	35	ORACLE CORP	29.73	31.85	1,040.65	1,114.67	-74.02
03/31/2011	11/15/2012	38	ORACLE CORP	29.73	33.35	1,129.85	1,267.17	-137.32
04/13/2011	11/15/2012	30	ORACLE CORP	29.73	33.87	891.99	1,016.00	-124.01
10/25/2011	11/16/2012	35	JOHNSON & JOHNSON	68.82	64.14	2,408.64	2,244.83	163.81
10/25/2011	11/19/2012	35	MARATHON OIL CORP	31.27	25.94	1,094.29	908.06	186.23
09/28/2010	11/19/2012	13	QUALCOMM INC	62.27	43.95	809.52	571.33	238.19
10/25/2011	11/20/2012	45	BROADCOM CORP-CL A	31.01	36.93	1,395.59	1,661.80	-266.21
05/26/2011	11/20/2012	45	EMC CORP/MASS	24.09	27.85	1,084.14	1,253.25	-169.11
10/25/2011	11/20/2012	50	INTUIT INC	58.16	53.62	2,907.81	2,680.77	227.04
10/25/2011	11/23/2012	135	MARATHON OIL CORP	31.86	25.94	4,301.64	3,502.50	799.14
01/11/2010	11/26/2012	37	FNMA 30 YR POOL#745275	100.00	71.70*	37.13	26.62*	10.51
06/08/2009	11/26/2012	38	FNMA 30 YR#889982	100.00	88.02*	38.93	34.26*	4.67

Capital Gains Report

JAMES C ALLEN CHARITABLE FOUNDATION (Account: 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
07/29/2009	11/26/2012	.927	DUE 11/01/2038	100.00	87.95*	51.90	45.65*	6.25
		51	FNMA 30 YR#889982					
06/09/2011	11/26/2012	903	DUE 11/01/2038	100.00	34.56*	54.05	18.68*	35.37
		54	FNMA 30 YR#972295					
		055	DUE 02/01/2038					
01/05/2011	11/27/2012	10	MONSANTO CO	90.96	68.85	909.59	688.46	221.13
10/25/2011	12/03/2012	30	JOHNSON & JOHNSON	69.63	64.14	2,088.86	1,924.14	164.72
10/25/2011	12/05/2012	40	JOHNSON & JOHNSON	69.74	64.14	2,789.48	2,565.53	223.95
11/10/2011	12/05/2012	25	JOHNSON & JOHNSON	69.74	64.04	1,743.43	1,600.95	142.48
10/25/2011	12/07/2012	1,478	AB BOND INFLATION STRATEGY	11.38	10.72	16,825.00	15,849.21	975.79
		471	CLASS 1					
10/25/2011	12/07/2012	691	AB REAL ASSET STRATEGY	11.30	10.78	7,810.00	7,450.60	359.40
		150	CLASS 1					
10/25/2011	12/07/2012	14	EOG RESOURCES INC	117.48	89.34	1,644.75	1,250.83	393.92
10/25/2011	12/07/2012	10	ROCKWELL AUTOMATION INC	80.33	66.47	803.30	664.66	138.64
11/15/2011	12/07/2012	15	ROCKWELL AUTOMATION INC	80.33	74.12	1,204.95	1,111.83	93.12
01/08/2010	12/07/2012	11	SCHLUMBERGER LTD	71.53	70.79	786.81	778.66	8.15
01/08/2010	12/07/2012	14	SCHLUMBERGER LTD	71.53	69.78	1,001.40	976.86	24.54
10/25/2011	12/07/2012	10	***TRANSOCEAN LTD	46.01	56.16	460.08	561.63	-101.55
11/04/2011	12/07/2012	60	***TRANSOCEAN LTD	46.01	49.66	2,760.49	2,979.60	-219.11
12/06/2011	12/07/2012	25	***TRANSOCEAN LTD	46.01	45.28	1,150.21	1,131.91	18.30
10/26/2011	12/07/2012	5	VISA INC - CLASS A SHRS	147.80	90.40	738.99	452.01	286.98
10/25/2011	12/07/2012	1,409	OVERLAY A PORTFOLIO	10.41	11.19	14,675.00	15,774.57	-1,099.57
		702	CLASS 1					
10/25/2011	12/07/2012	484	OVERLAY B PORTFOLIO	11.01	10.88	5,330.00	5,267.06	62.94
		105	CLASS 1					
10/25/2011	12/07/2012	698	BERNSTEIN INTERMEDIATE	14.26	14.09	9,960.00	9,841.26	118.74
		457	DURATION PORTFOLIO					
10/25/2011	12/07/2012	1,933	BERNSTEIN INTERNATIONAL	13.76	13.46	26,610.00	26,029.84	580.16
		866	PORTFOLIO					
06/01/2011	12/10/2012	30	CITIGROUP INC	37.33	40.18	1,119.96	1,205.48	-85.52
10/25/2011	12/10/2012	16	CITIGROUP INC	37.33	31.16	597.31	498.50	98.81
10/25/2011	12/11/2012	20	MCGRAW-HILL COMPANIES INC	56.47	44.01	1,129.45	880.23	249.22
11/15/2011	12/17/2012	150	GENERAL MOTORS CO	24.78	23.06	3,717.26	3,458.28	258.98
01/08/2010	12/19/2012	8	SCHLUMBERGER LTD	71.14	69.78	569.11	558.21	10.90
01/14/2010	12/19/2012	21	SCHLUMBERGER LTD	71.14	71.09	1,493.91	1,492.88	1.03
01/15/2010	12/19/2012	1	SCHLUMBERGER LTD	71.14	70.94	71.14	70.94	0.20
01/15/2010	12/20/2012	15	SCHLUMBERGER LTD	71.05	70.94	1,065.75	1,064.16	1.59
01/11/2010	12/26/2012	31	FNMA 30 YR POOL#745275	100.00	71.80*	31.59	22.68*	8.91
		586	DUE 02/01/2036					
06/08/2009	12/26/2012	33	FNMA 30 YR#889982	100.00	88.04*	33.04	29.09*	3.95
		043	DUE 11/01/2038					
07/29/2009	12/26/2012	44	FNMA 30 YR#889982	100.00	87.99*	44.06	38.77*	5.29
		057	DUE 11/01/2038					
06/09/2011	12/26/2012	46	FNMA 30 YR#972295	100.00	34.77*	46.03	16.01*	30.02
		034	DUE 02/01/2038					
10/25/2011	12/28/2012	165	FORD MOTOR CO	12.59	12.47	2,077.66	2,057.65	20.01
01/11/2010	12/31/2012	32	FNMA 30 YR POOL#745275	100.00	71.89*	32.69	23.50*	9.19
		688	DUE 02/01/2036					

Capital Gains Report

JAMES C ALLEN CHARITABLE FOUNDATION (Account: 888-65238)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Proceeds	Total Cost	Gain or Loss
06/08/2009	12/31/2012	34	FNMA 30 YR#889982	100 00	88 09*	34 74	30 60*	4 14
		739	DUE 11/01/2038					
07/28/2009	12/31/2012	46	FNMA 30 YR#889982	100 00	88 02*	46 32	40 77*	5 55
		319	DUE 11/01/2038					
06/09/2011	12/31/2012	54	FNMA 30 YR#972295	100 00	35 00*	54 33	19 01*	35 32
		328	DUE 02/01/2038					
SUBTOTAL FOR LONG-TERM NON-COVERED								
						311,630 55	260,891 39	50,739 16
TOTAL								
						791,499 91	717,745 54	73,754 37

** ACCOUNT TOTALS **

CURRENT PERIOD - G/L 73,754 37

SHORT TERM - COVERED (COST BASIS REPORTED ON 1099-B)
 SHORT TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B) 0 00
 SHORT TERM TOTAL 23,015 21

LONG TERM - COVERED (COST BASIS REPORTED ON 1099-B) 0.00
 LONG TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B) 50,739 16
 LONG TERM TOTAL 50,739 16

Cost adjustment LT

* Original cost has been adjusted for amortization of premium or accretion of original issue/market discount

Capital-Gains Distributions. If you own shares of the Sanford C. Bernstein Fund, Inc., you may have received an annual capital-gains distribution (different from the capital gains or losses on securities sold, which are listed in this report) Long-term capital-gains distributions are a separate item on the I R S forms, and appear on the Summary-of-Key-Items report

Zero-Cost Transactions If you transferred securities to Bernstein for which the purchase price was unavailable, the total capital-gains figure that appears on this report needs to be adjusted Any such transactions are segregated on the Capital-Gains report, with the cost entered as zero. You will need to update the report data with the correct purchase information.

This information should be reviewed by your tax advisor or accountant