



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

**2012**

Department of the Treasury  
Internal Revenue Service

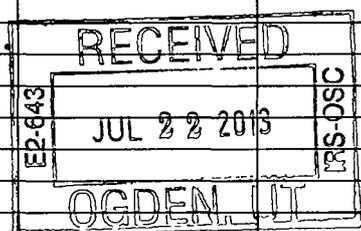
*Note* The foundation may be able to use a copy of this return to satisfy state reporting requirements.

Open to public inspection

For calendar year 2012 or tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

Name of foundation <b>STEVEN HALMOS FAMILY FOUNDATION, INC.</b>		<b>A</b> Employer identification number <b>83-0305837</b>
Number and street (or P O box number if mail is not delivered to street address) <b>17 WEST LAS OLAS BOULEVARD</b>	Room/suite	<b>B</b> Telephone number <b>954-760-4980</b>
City or town, state, and ZIP code <b>FORT LAUDERDALE, FL 33301</b>		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D</b> 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>5,073,996.</b>	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	156,671.	156,671.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	61,887.			
b Gross sales price for all assets on line 8a <b>1,047,570.</b>				
7 Capital gain net income (from Part IV, line 2)		61,887.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total Add lines 1 through 11	218,558.	218,558.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees <b>STMT 2</b>	1,100.	1,100.		0.
c Other professional fees				
17 Interest				
18 Taxes <b>STMT 3</b>	1,439.	61.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses <b>STMT 4</b>	2,065.	2,065.		0.
24 Total operating and administrative expenses. Add lines 13 through 23	4,604.	3,226.		0.
25 Contributions, gifts, grants paid	66,500.			66,500.
26 Total expenses and disbursements. Add lines 24 and 25	71,104.	3,226.		66,500.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	147,454.			
b Net investment income (if negative, enter -0-)		215,332.		
c Adjusted net income (if negative, enter -0-)			N/A	



OPERATING AND ADMINISTRATIVE EXPENSES

SCANNED AUG 06 2013

3

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	14,431.	10,469.	10,469.
	2 Savings and temporary cash investments	3,087,863.	171,675.	171,675.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,985.	1,407.	
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock	STMT 5 1,217,390.	1,853,613.	1,876,427.
	c Investments - corporate bonds	STMT 6 471,370.	2,903,329.	3,015,425.
11 Investments - land, buildings, and equipment basis				
Less accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis				
Less accumulated depreciation				
15 Other assets (describe )				
<b>16 Total assets (to be completed by all filers)</b>	<b>4,793,039.</b>	<b>4,940,493.</b>	<b>5,073,996.</b>	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe )			
<b>23 Total liabilities (add lines 17 through 22)</b>	<b>0.</b>	<b>0.</b>		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	4,793,039.	4,940,493.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
<b>30 Total net assets or fund balances</b>	<b>4,793,039.</b>	<b>4,940,493.</b>		
<b>31 Total liabilities and net assets/fund balances</b>	<b>4,793,039.</b>	<b>4,940,493.</b>		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,793,039.
2 Enter amount from Part I, line 27a	2	147,454.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	4,940,493.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,940,493.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a GOLDMAN SACHS-SCH ATTACHED	P		
b BONY-SCH ATTACHED	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 603,620.		556,136.	47,484.
b 443,950.		429,547.	14,403.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			47,484.
b			14,403.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	61,887.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	79,972.	2,049,324.	.039024
2010	48,878.	1,748,922.	.027948
2009	35,375.	1,543,855.	.022913
2008	8,500.	1,854,028.	.004585
2007	35,175.	2,099,889.	.016751

2 Total of line 1, column (d)	2	.111221
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.022244
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	4,832,538.
5 Multiply line 4 by line 3	5	107,495.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,153.
7 Add lines 5 and 6	7	109,648.
8 Enter qualifying distributions from Part XII, line 4	8	66,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	4,307.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	4,307.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	4,307.
6	Credits/Payments:		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,407.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,500.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	2,907.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	1.
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,401.
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: <b>Credited to 2013 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input checked="" type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of KARRIE NOTO Telephone no. 954-760-4980 Located at 17 WEST LAS OLAS BOULEVARD, FORT LAUDERDALE, FL ZIP+4 33301
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year N/A
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A**  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**  Yes  No **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**  Yes  No **N/A**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STEVEN J. HALMOS 707 CORAL WAY FT LAUDERDALE, FL 33301	PRESIDENT 1.00	0.	0.	0.
JEFFRY HALMOS 707 CORAL WAY FT LAUDERDALE, FL 33301	TREASURER 1.00	0.	0.	0.
MADELAINE G. HALMOS 707 CORAL WAY FT LAUDERDALE, FL 33301	VICE PRESIDENT 1.00	0.	0.	0.
STEPHANIE HALMOS 707 CORAL WAY FT LAUDERDALE, FL 33301	SECRETARY 1.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Table with 3 columns: (a) Name and address of each person paid more than \$50,000, (b) Type of service, (c) Compensation. Row 1 contains 'NONE'.

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

Table with 2 columns: Description of activities, Expenses. Row 1 contains 'N/A'.

Part IX-B Summary of Program-Related Investments

Table with 2 columns: Description of investments, Amount. Row 1 contains 'N/A'. Includes a total line at the bottom.

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	4,893,601.
b	Average of monthly cash balances	1b	12,529.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	4,906,130.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,906,130.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	73,592.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,832,538.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	241,627.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	241,627.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	4,307.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,307.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	237,320.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	237,320.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	237,320.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	66,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:			
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	66,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	66,500.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				237,320.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			56,462.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 66,500.				
a Applied to 2011, but not more than line 2a			56,462.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				10,038.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				227,282.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b** 85% of line 2a

**c** Qualifying distributions from Part XII, line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e** Qualifying distributions made directly for active conduct of exempt activities.

	Tax year	Prior 3 years		(e) Total
	(a) 2012	(b) 2011	(c) 2010	
Subtract line 2d from line 2c				
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:				
<b>a</b> "Assets" alternative test - enter:				
<b>(1)</b> Value of all assets				
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)				
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
<b>c</b> "Support" alternative test - enter:				
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
<b>(3)</b> Largest amount of support from an exempt organization				
<b>(4)</b> Gross investment income				

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**STEVEN J. HALMOS**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SCHEDULE ATTACHED	NONE	SCHEDULE ATTACHED	CHARITABLE PURPOSE	66,500.
<b>Total</b>				▶ <b>3a</b> 66,500.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				▶ <b>3b</b> 0.





## Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file)** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

<b>Type or print</b>	Name of exempt organization or other filer, see instructions. <b>STEVEN HALMOS FAMILY FOUNDATION, INC.</b>	Employer identification number (EIN) or <b>83-0305837</b>
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. <b>17 WEST LAS OLAS BOULEVARD</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>FORT LAUDERDALE, FL 33301</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**KARRIE NOTO**

- The books are in the care of ▶ **17 WEST LAS OLAS BOULEVARD - FORT LAUDERDALE, FL 33301**  
 Telephone No. ▶ **954-760-4980** FAX No. ▶ \_\_\_\_\_
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
 ▶  calendar year **2012** or  
 ▶  tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

**2** If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  
 Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	1,500.
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	0.
<b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	1,500.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.  
 LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions. Form **8868** (Rev. 1-2013)

---



---

**FORM 990-PF**                      **DIVIDENDS AND INTEREST FROM SECURITIES**                      **STATEMENT**                      **1**


---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BONY - INTEREST	25,392.	0.	25,392.
GOLDMAN SACHS - DIVIDENDS	130,082.	0.	130,082.
GOLDMAN SACHS - INTEREST	1,197.	0.	1,197.
<b>TOTAL TO FM 990-PF, PART I, LN 4</b>	<b>156,671.</b>	<b>0.</b>	<b>156,671.</b>

---



---

**FORM 990-PF**                                      **ACCOUNTING FEES**                                      **STATEMENT**                      **2**


---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	1,100.	1,100.		0.
<b>TO FORM 990-PF, PG 1, LN 16B</b>	<b>1,100.</b>	<b>1,100.</b>		<b>0.</b>

---



---

**FORM 990-PF**                                      **TAXES**                                      **STATEMENT**                      **3**


---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	1,378.	0.		0.
FLORIDA ANNUAL REPORT	61.	61.		0.
<b>TO FORM 990-PF, PG 1, LN 18</b>	<b>1,439.</b>	<b>61.</b>		<b>0.</b>

---



---

**FORM 990-PF**                                      **OTHER EXPENSES**                                      **STATEMENT**                      **4**


---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK, INVESTMENT AND MGT. FEES	2,065.	2,065.		0.
<b>TO FORM 990-PF, PG 1, LN 23</b>	<b>2,065.</b>	<b>2,065.</b>		<b>0.</b>

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
EQUITY SECURITIES	1,853,613.	1,876,427.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,853,613.	1,876,427.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
FIXED INCOME INVESTMENTS	2,903,329.	3,015,425.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,903,329.	3,015,425.	

**Steven Halmos Family Foundation, Inc.**  
**Vendor Transaction History**  
**For the Period From Jan 1, 2012 to Dec 31, 2012**

Filter Criteria Includes Report order is by Vendor ID Report is printed in Detail

Date	Name	Address (line 1)	City ST Zip	Foundation Status of Recipient	Purpose of Grant or Contribution	Recipient Relationship	Amount
12/23/12	Broward Performing Arts Foundation	201 SW 5 Ave	Fort Lauderdale, FL 33312	Section 501(c)(3)	Charitable Purpose	None	5,000.00
12/23/12	Community Foundation of Broward	910 E Las Olas Blvd, Ste 200	Fort Lauderdale, FL 33301	Section 501(c)(3)	Charitable Purpose	None	5,000.00
12/23/12	Funding Arts Broward	401 E Las Olas Blvd	Fort Lauderdale, FL 33301	Section 501(c)(3)	Charitable Purpose	None	2,500.00
12/23/12	Harvard Business School Fund	Teelie Hall - Development Ops, Soldiers Field	Boston, MA 02163	Section 501(c)(3)	Charitable Purpose	None	20,000.00
10/1/12	Jack & Jill Children's Center	1315 W Broward Blvd	Fort Lauderdale, FL 33312	Section 501(c)(3)	Charitable Purpose	None	20,000.00
12/23/12	Kids in Distress, Inc	819 NE 26th ST	Wilton Manors, FL 33305	Section 501(c)(3)	Charitable Purpose	None	2,500.00
12/24/12	H Lee Moffitt Cancer Center	12902 Magnolia Drive	Tampa, FL 33612-9497	Section 501(c)(3)	Charitable Purpose	None	5,000.00
12/23/12	Nova Southeastern University	Mallman Segal Institute, NSE, 3301 College Ave	Fort Lauderdale, FL 33314	Section 501(c)(3)	Charitable Purpose	None	4,000.00
12/23/12	UF Foundation	PO Box 14425	Gainesville, FL 32604-2425	Section 501(c)(3)	Charitable Purpose	None	2,500.00
			Total				<u>66,500.00</u>

WP 17

Steven Halmos Family Foundation, Inc.  
17 West Las Olas Boulevard  
Ft. Lauderdale, FL 33301

Form 990-PF - 2012

83-0305837

Part VII-B, Question 1a(4):

During 2012, the Foundation paid \$ 500.00 to Halmos Holdings, Inc. (a corporation owned 100% by Steven J. Halmos) for management and accounting services. The payment of this compensation is an "Excepted Act" as described in IRS Regulations Sections 53-4941(d)-3 and 4.



**Statement Detail**  
**HALMOS STEVEN FAMILY FDN 284**  
 Realized Gains and Losses

Period Ended December 31, 2012

**YEAR TO DATE GAINS AND LOSSES**

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
ISHARES RUSSELL 1000 GROWTH ETF ISHARES	Aug 03 2004	Feb 13 2012	2,103 00	132,813 04	94,740 15	0 00	38,072 89	38,072 89	LT
TST-ISHARES RUSSELL100 GROWTH INDEX FUND	Aug 04 2004	Feb 13 2012	125 00	7,894 26	5,600 00	0 00	2,294 26	2,294 26	LT
	Nov 28 2005	Feb 13 2012	260 00	16,420 06	13,530 40	0 00	2,889 66	2,889 66	LT
	Dec 13 2005	Feb 13 2012	200 00	12,630 82	10,458 00	0 00	2,172 82	2,172 82	LT
	Jul 26 2006	Feb 13 2012	510 00	32,208 58	24,980 41	0 00	7,228 17	7,228 17	LT
	Sep 07 2007	Feb 13 2012	230 00	14,525 44	13,558 50	0 00	966 94	966 94	LT
ISHARES TR-ISHARES MSCI EAFE INDEX FUND ETF	Aug 03 2004	Feb 13 2012	61 00	3,279 95	2,786 89	0 00	493 06	493 06	LT
	Aug 04 2004	Feb 13 2012	105 00	5,645 80	4,741 10	0 00	904 70	904 70	LT
	Nov 30 2007	Feb 13 2012	840 00	45,166 43	70,001 57	0 00	(24,835 14)	(24,835 14)	LT
	Jul 28 2009	Feb 13 2012	1,425 00	76,621 63	69,896 25	0 00	6,725 38	6,725 38	LT
ISHARES TRUST - RUSSELL 1000 VALUE INDEX FUND ETF ISHARES RUSSELL 1000 VAL INDEX	Aug 03 2004	Feb 13 2012	2,403 00	163,204 54	142,618 05	0 00	20,586 49	20,586 49	LT
	Aug 04 2004	Feb 13 2012	95 00	6,452 11	5,613 55	0 00	838 56	838 56	LT
	Nov 28 2005	Feb 13 2012	190 00	12,904 23	13,341 80	0 00	(437 57)	(437 57)	LT
	Dec 13 2005	Feb 13 2012	240 00	16,300 08	16,912 80	0 00	(612 72)	(612 72)	LT
	Sep 07 2007	Feb 13 2012	210 00	14,262 57	17,406 90	0 00	(3,144 33)	(3,144 33)	LT
EKSPORTFINANS ASA LINKED TO TORIX 0% COUPON DUE 04/16/2012 STRUCTURED NOTE	Feb 04 2011	Apr 16 2012	50 00	43,290 19	49,950 00	0 00	(6,659 81)	(6,659 81)	LT
				<b>Sale Proceeds</b>	<b>Cost Basis</b>	<b>FX Gain (Loss)</b>	<b>Market Gain (Loss)</b>	<b>Total Gain (Loss)</b>	
				471,696 23	388,523 30	0 00	83,172 93	83,172 93	
				131,923 50	167,613 07	0 00	(35,689 57)	(35,689 57)	
				<b>603,619.73</b>	<b>556,136.37</b>	<b>0.00</b>	<b>47,483.36</b>	<b>47,483.36</b>	<b>Acct 41610001</b>
				<b>LONG TERM GAINS</b>					
				<b>LONG TERM LOSSES</b>					
				<b>NET LONG TERM GAINS (LOSSES)</b>					

WR 15

Description	Par Value	Original Cost	Original Premium	Book Value	Amortization to Date	Coupon	Purchase Date	Days Held	Maturity Date	Sale Date	Sale Proceeds	Gain/Loss
LLOYDS TBS BANK PLC	35	36,866.20	(1,866.20)	36,866.20	-	6.375%	04/13/12	88	01/21/21	7/10/2012	79,951.90	5,766.60
LLOYDS TBS BANK PLC	35	37,319.10	(2,319.10)	37,319.10	-	6.375%	05/30/12	41	01/21/21	7/10/2012	16,245.15	380.85
DOW CHEMICAL CO	15	15,864.30	(864.30)	15,864.30	-	4.250%	04/18/12	145	11/15/20	9/10/2012	30,231.30	212.10
HEWLETT-PACK CO	30	30,019.20	(19.20)	30,019.20	-	2.600%	04/18/12	163	04/18/12	9/28/2012	117,755.40	4,399.50
BARCLAYS BANK PLC	105	113,355.90	(8,355.90)	113,355.90	-	5.125%	09/04/12	16	01/08/20	9/20/2012	11,645.80	697.20
AMERICAN INTL GROUP	10	10,948.60	(948.60)	10,948.60	-	5.850%	04/25/12	160	01/16/18	10/2/2012	4,964.50	(38.70)
HEWLETT-PACK CO	5	5,003.20	(3.20)	5,003.20	-	2.600%	04/18/12	177	04/18/12	10/12/2012	34,532.35	78.36
HEWLETT-PACK CO	35	34,431.95	568.05	34,453.99	22.04	2.600%	05/25/12	144	09/15/17	10/16/2012	39,403.20	(458.23)
HEWLETT-PACKARD CO	40	39,844.80	155.20	39,861.43	16.63	2.600%	07/27/12	81	09/15/17	10/16/2012	36,406.65	975.81
MORGAN STANLEY GLOI	35	35,396.20	(396.20)	35,430.84	34.64	4.750%	04/16/12	190	04/01/14	10/23/2012	36,406.65	1,856.75
MORGAN STANLEY GLOI	35	34,515.25	484.75	34,549.90	34.65	4.750%	05/25/12	151	04/01/14	10/23/2012	36,406.65	533.05
MORGAN STANLEY GLOI	35	35,838.95	(838.95)	35,873.60	34.65	4.750%	07/23/12	92	04/01/14	10/23/2012	36,406.65	

443947.88      14,403.29

WP 16.