



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation THE MAXFIELD FOUNDATION		A Employer identification number 77-0099366	
Number and street (or P O box number if mail is not delivered to street address) 171 MAIN STREET NO 256		B Telephone number (see instructions) (650) 559-1560	
City or town, state, and ZIP code LOS ALTOS, CA 94022		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change		☐ Initial return of a former public charity ☐ Amended return ☐ Name change	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,777,181		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	249,773			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	29,997	29,997		
	4 Dividends and interest from securities.	70,491	70,491		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-28,289			
	b Gross sales price for all assets on line 6a _____ 3,008,112				
	7 Capital gain net income (from Part IV , line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,705	1,705		
	12 Total. Add lines 1 through 11	323,677	102,193		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3,724	3,724		0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	5,812	3,674		10
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	31,781	31,781		0
	24 Total operating and administrative expenses. Add lines 13 through 23	41,317	39,179		10
	25 Contributions, gifts, grants paid	550,000			550,000
	26 Total expenses and disbursements. Add lines 24 and 25	591,317	39,179		550,010
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-267,640			
	b Net investment income (if negative, enter -0-)		63,014		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	434,840	646,640	646,640
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	1,195,537	904,134	918,608
	b	Investments—corporate stock (attach schedule)	2,790,122	2,471,394	2,374,923
	c	Investments—corporate bonds (attach schedule).	44,887	227,733	232,327
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,016,720	964,565	1,604,683
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,482,106	5,214,466	5,777,181
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	5,482,106	5,214,466	
	30	Total net assets or fund balances (see page 17 of the instructions)	5,482,106	5,214,466	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	5,482,106	5,214,466	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	5,482,106
2	Enter amount from Part I, line 27a	2	-267,640
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	5,214,466
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,214,466

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-28,289
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	767,966	6,651,298	0 115461
2010	488,838	6,567,822	0 074429
2009	350,105	6,147,150	0 056954
2008	426,109	7,682,666	0 055464
2007	896,722	9,039,545	0 099200

2	Total of line 1, column (d).	2	0 401508
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 080302
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	5,749,646
5	Multiply line 4 by line 3.	5	461,708
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	630
7	Add lines 5 and 6.	7	462,338
8	Enter qualifying distributions from Part XII, line 4.	8	550,010

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	630
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	630
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	630
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,240	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	2,240
8		Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,610
11		Enter the amount of line 10 to be Credited to 2013 estimated tax	1,610	Refunded	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) CA _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶ROBERT R MAXFIELD Telephone no ▶(650) 559-1560 Located at ▶171 MAIN STREET 256 LOS ALTOS CA ZIP +4 ▶94022			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT R MAXFIELD	PRESIDENT / CFO	0	0	0
171 MAIN STREET 256 LOS ALTOS,CA 94022	0 00			
MELINDA C MAXFIELD	VICE PRESIDENT	0	0	0
14445 DONNA LANE SARATOGA,CA 95070	0 00			
MICHAEL P GROOM	SECRETARY	0	0	0
1570 THE ALAMEDA STE 100 SAN JOSE,CA 95126	0 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,501,175
b	Average of monthly cash balances.	1b	336,029
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,837,204
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,837,204
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	87,558
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,749,646
6	Minimum investment return. Enter 5% of line 5.	6	287,482

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	287,482
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	630
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	630
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	286,852
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	286,852
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	286,852

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	550,010
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	550,010
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	630
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	549,380
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				286,852
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			0	
b Total for prior years 20__ , 20__ , 20__		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.	462,081			
b From 2008.	45,983			
c From 2009.	44,067			
d From 2010.	165,015			
e From 2011.	439,817			
f Total of lines 3a through e.	1,156,963			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 550,010				
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				286,852
e Remaining amount distributed out of corpus	263,158			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,420,121			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .	462,081			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	958,040			
10 Analysis of line 9				
a Excess from 2008. . . .	45,983			
b Excess from 2009. . . .	44,067			
c Excess from 2010. . . .	165,015			
d Excess from 2011. . . .	439,817			
e Excess from 2012. . . .	263,158			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ROBERT R MAXFIELD

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

ROBERT R MAXFIELD
171 MAIN STREET 256
LOS ALTOS,CA 94022
(650) 559-1560

b

The form in which applications should be submitted and information and materials they should include

FORMAL WRITTEN REQUEST

c

Any submission deadlines

N/A

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

PURSUANT TO ARTICLE 2, SECTION 1 OF THE BYLAWS OF THE MAXFIELD FOUNDATION, THE PRIMARY PURPOSE FOR WHICH THIS FOUNDATION IS FORMED IS TO RECEIVE AND MAINTAIN A FUND OR FUNDS OF REAL OR PERSONAL PROPERTY, OR BOTH, AND SUBJECT TO THE RESTRICTIONS AND LIMITATIONS HEREINAFTER SET FORTH, TO USE AND APPLY THE WHOLE OR ANY PART OF THE INCOME THEREFROM AND THE PRINCIPAL THEREOF EXCLUSIVELY FOR CANCER AND LEUKEMIA RESEARCH EITHER DIRECTLY OR BY CONTRIBUTIONS TO ORGANIZATIONS THAT QUALIFY AS EXEMPT ORGANIZATIONS UNDER SECTION 501 (C) (3) OF THE INTERNAL REVENUE CODE, AS AMENDED, AND ITS REGULATIONS AS THEY NOW EXIST OR AS THEY MAY HEREAFTER BE AMENDED THE SECONDARY PURPOSE FOR WHICH THIS FOUNDATION IS FORMED IS TO MAKE CONTRIBUTIONS OF FUNDS, AT THE TIMES AND IN AMOUNTS AS DETERMINED BY THE BOARD OF DIRECTORS, TO ORGANIZATIONS UNDER SECTION 501 (C) (3) OF THE INTERNAL REVENUE CODE, AS AMENDED

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	550,000
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	29,997	
4	Dividends and interest from securities. . . .			14	70,491	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.			14	1,705	
8	Gain or (loss) from sales of assets other than inventory			18	-28,289	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e). .		0		73,904	0
13	Total. Add line 12, columns (b), (d), and (e).			13	73,904	

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2013-03-18	*****
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	WILLIAM T MELTON JR	WILLIAM T MELTON JR	2013-03-18		P00351253
	Firm's name ☐	ABBOTT STRINGHAM & LYNCH		Firm's EIN ☐ 77-0051130	
		1550 LEIGH AVE			
Firm's address ☐	SAN JOSE, CA 95125		Phone no (408) 377-8700		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 5 SHS PHILLIPS 66 COMMON	P	2012-04-11	2012-05-02
93000 SHS US TREASURY NOTE #F2 25 6 6/30/18	P	2012-07-18	2012-08-16
204000 SHS US TREASURY NOTE #F2 25 6 6/30/18	P	2012-07-11	2012-08-16
20000 SHS US TREASURY NOTE #F2 25 6 6/30/18	P	2012-07-18	2012-09-11
50 SHS CARNIVAL CORP CL A	P	2011-11-21	2012-01-19
5 SHS DEUTSCHE POST AG ADR	P	2012-04-11	2012-06-21
15 SHS CITIGROUP INC	P	2012-08-29	2012-11-15
29000 SHS US TREASURY NOTE #F2 25 5 11/30/17	P	2011-12-19	2012-03-14
0 21 SHS KON PHILIP ELEC ADR	P	2012-03-14	2012-06-12
35 SHS NOBLE CORPORATION	P	2011-11-21	2012-03-08
30 SHS GDF SUEZ ADR	P	2011-11-21	2012-03-22
4000 SHS U S TBILL #F0 5 05/3/12	P	2011-10-07	2012-02-09
203000 SHS U S TBILL #F0 5 05/3/12	P	2011-10-06	2012-02-09
70000 SHS US TREASURY NOTE #F2 25 6 6/30/18	P	2012-07-10	2012-11-16
40 SHS YUM BRANDS INC	P	2011-11-21	2012-04-02
25 SHS SK TELECOM ADR	P	2011-11-21	2012-05-01
7 5 SHS PHILLIPS 66 COMMON	P	2011-11-21	2012-05-02
85 SHS SK TELECOM ADR	P	2011-11-21	2012-05-02
7000 SHS US TREASURY NOTE #F2 75 6 12/31/17	P	2011-09-30	2012-03-14
115000 SHS US TREASURY NOTE #F2 625 1 1/31/18	P	2012-01-25	2012-07-23
125 SHS COMCAST CORP CL A NEW	P	2011-11-21	2012-05-29
15 SHS FIRSTENERGY CORP	P	2011-11-21	2012-05-29
3 21 SHS KON PHILIP ELEC ADR	P	2012-05-31	2012-12-11
25 SHS ORIENTAL LAND CO LTD ADR	P	2012-04-27	2012-11-16
100 SHS ORIENTAL LAND CO LTD ADR	P	2012-04-27	2012-11-19
35 SHS FIRSTENERGY CORP	P	2011-11-21	2012-06-20
55 SHS DEUTSCHE POST AG ADR	P	2011-11-21	2012-06-21
66000 SHS US TREASURY NOTE #F2 25 5 11/30/17	P	2011-12-19	2012-07-23
50 SHS BARCLAYS PLC ADR	P	2011-11-21	2012-07-03
100 SHS BARCLAYS PLC ADR	P	2011-11-21	2012-07-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
0 25 SHS ABERTIS INFRAESTR ADR	P	2011-11-21	2012-07-10
35 SHS LOWES COS INC	P	2012-03-14	2012-11-07
25 SHS ABERTIS INFRAESTR ADR	P	2011-11-21	2012-08-02
85 SHS ABERTIS INFRAESTR ADR	P	2011-11-21	2012-08-03
1418 77 SHS OLD WESTBURY GL SC FD	P	2011-06-24	2012-03-07
14 79 SHS KON PHILIP ELEC ADR	P	2012-03-14	2012-12-11
20 SHS TARGET CORP	P	2011-11-21	2012-08-20
70 SHS UNILEVER NV ADR	P	2011-11-21	2012-08-20
0 5 SHS TOKYO GAS LTD ADR	P	2011-11-21	2012-08-24
15 SHS NEWMONT MINING CORP	P	2011-11-21	2012-08-29
15 SHS SANOFI - ADR	P	2011-11-21	2012-08-29
201000 SHS US TREASURY NOTE #F2 75 6 12/31/17	P	2011-09-30	2012-07-10
25 SHS AJINOMOTO INC ADR	P	2011-11-21	2012-09-20
50 SHS AJINOMOTO INC ADR	P	2011-11-21	2012-09-21
75 SHS AJINOMOTO INC ADR	P	2011-11-21	2012-09-24
55 SHS CARNIVAL CORP CL A	P	2011-11-21	2012-09-26
50 SHS TELE2 AB ADR	P	2011-11-21	2012-10-16
15 SHS MCGRAW-HILL COMPANIES INC	P	2011-11-21	2012-10-17
50 SHS MUNICH RE GROUP ADR	P	2011-11-21	2012-10-18
75 SHS TOKYO GAS LTD ADR	P	2011-11-21	2012-10-18
5 SHS CENTURYLINK INC	P	2011-11-21	2012-11-06
25 SHS US TREAS BILL #F0 6 12/20/12	P	2012-09-20	2012-12-20
0 SHS ROUSE PROPERTIES INC ROUSE PROPERTIES	P	2012-01-12	2012-01-12
10 SHS REALOGY HOLDINGS	P	2012-10-12	2012-11-29
24 SHS REALOGY HOLDINGS	P	2012-10-12	2012-12-14
6 SHS STARWOOD HOTELS & RESORTS	P	2012-09-07	2012-11-16
8 SHS ASSOCIATED ESTATES REALTY CORP REIT	P	2011-08-15	2012-02-10
4 SHS STARWOOD HOTELS & RESORTS	P	2011-12-05	2012-06-04
6 SHS STARWOOD HOTELS & RESORTS	P	2011-11-23	2012-06-04
20 SHS CBL & ASSOCIATES PROPERTIES IN COM REIT	P	2011-11-08	2012-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 SHS CBL & ASSOCIATES PROPERTIES IN COM REIT	P	2011-11-08	2012-06-19
13 SHS EQUITY LIFESTYLE PROPERTIES INC COM REIT	P	2011-06-02	2012-02-01
6 SHS STARWOOD HOTELS & RESORTS	P	2012-01-20	2012-11-16
2 SHS STARWOOD HOTELS & RESORTS	P	2012-01-12	2012-11-16
9 SHS ROUSE PROPERTIES INC	P	2012-01-13	2012-11-28
8 SHS STARWOOD HOTELS & RESORTS	P	2011-12-05	2012-11-16
30 SHS CBL & ASSOCIATES PROPERTIES IN COM REIT	P	2011-11-08	2012-10-26
5 SHS AGILE PPTY HLDGS LTD ADR	P	2012-10-22	2012-10-26
17 SHS CHINA PETROLEUM & CHEM -ADR	P	2012-02-21	2012-02-27
17 SHS AAC ACOUSTIC TECHNOLOGIES HOLDINGS INC UNSPONSORED ADR	P	2012-10-18	2012-10-26
70 SHS AKBANK TURK ANON	P	2012-10-17	2012-10-26
37 SHS ZOOMLION HEAVY INDUSTRY SCIENC ADR	P	2012-10-17	2012-10-26
9 SHS YANDEX NV-A	P	2012-10-15	2012-10-26
0 SHS BANCOLOMBIA SA BANCOLOMBIA CO ADR	P	2012-02-08	2012-02-21
18 SHS DR REDDYS LABS ADR	P	2012-10-08	2012-10-26
33 SHS ADARO ENERGY PT ADR	P	2012-03-02	2012-03-21
0 SHS BANCOLOMBIA SA BANCOLOMBIA CO ADR	P	2012-02-02	2012-02-21
13 SHS BRF - BRASIL FOODS SA ADR	P	2012-08-08	2012-08-27
27 SHS TENARIS SA ADR	P	2012-03-30	2012-04-18
15 SHS ADARO ENERGY PT ADR	P	2012-03-01	2012-03-21
25 SHS ADARO ENERGY PT ADR	P	2012-02-29	2012-03-21
91 SHS AKBANK TURK ANON	P	2012-10-04	2012-10-26
23 SHS TATA MOTORS LTD ADR	P	2012-01-18	2012-02-09
10 SHS ADR TIM PARTICIPACOES SA	P	2012-10-03	2012-10-26
6 SHS AGILE PPTY HLDGS LTD ADR	P	2012-10-03	2012-10-26
22 SHS TATA MOTORS LTD ADR	P	2012-01-18	2012-02-13
30 SHS CHINA MERCHANTS HOLDING INTL CO ADR	P	2012-03-14	2012-04-10
27 SHS OI SA-ADR	P	2012-04-23	2012-05-22
32 SHS SBERBANK RUSSIA SPONSORED ADR	P	2012-09-27	2012-10-26
30 SHS VN ECK MK VC CHI	P	2012-02-27	2012-03-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 SHS BANCO SANTANDER CHILE ADR	P	2011-12-07	2012-01-10
36 SHS MMC NORILSK NICKEL ADR (EACH REPR 1 ORD RUR 1)	P	2012-09-21	2012-10-26
8 SHS ANHUI CONCH CEM CO LTD ADR	P	2012-09-20	2012-10-26
74 SHS OI SA-ADR	P	2012-04-16	2012-05-22
60 SHS SBERBANK RUSSIA SPONSORED ADR	P	2012-09-19	2012-10-26
7 SHS BANCO BRADESCO S A ADR PFD NEW	P	2012-09-18	2012-10-26
5 SHS ITAU UNIBANCO HLDNG S A	P	2012-09-18	2012-10-26
6 SHS SBERBANK RUSSIA SPONSORED ADR	P	2012-09-17	2012-10-26
2 SHS BANCO SANTANDER CHILE ADR	P	2011-12-07	2012-01-17
6 SHS BANCO SANTANDER CHILE ADR	P	2011-12-07	2012-01-17
23 SHS BRF - BRASIL FOODS SA ADR	P	2012-09-11	2012-10-22
12 SHS INDIA FD INC COM	P	2012-07-17	2012-08-27
19 SHS ANHUI CONCH CEM CO LTD ADR	P	2012-09-14	2012-10-26
58 SHS MMC NORILSK NICKEL ADR	P	2012-09-14	2012-10-26
22 SHS VALE S A ADR	P	2012-09-14	2012-10-26
7 SHS AGILE PPTY HLDGS LTD ADR	P	2012-09-13	2012-10-26
6 SHS CREDICORP LTD USD5 0 ORDS (US LI STING OF PERUVIAN CO)	P	2012-09-11	2012-10-26
5 SHS AGILE PPTY HLDGS LTD ADR	P	2012-09-10	2012-10-26
9 SHS ANHUI CONCH CEM CO LTD ADR	P	2012-09-10	2012-10-26
8 SHS POSCO SPONS ADR	P	2012-09-10	2012-10-26
0 SHS SOUTHERN COPPER CORP SOUTHERN COPPER CORP	P	2012-01-13	2012-02-28
4 SHS CREDICORP LTD USD5 0 ORDS (US LI STING OF PERUVIAN CO)	P	2012-09-05	2012-10-26
12 SHS ZOOMLION HEAVY INDUSTRY SCIENC ADR	P	2012-09-05	2012-10-26
9 SHS PETROLEO BRASIL ADR	P	2012-09-04	2012-10-26
8 SHS CREDICORP LTD USD5 0 ORDS (US LI STING OF PERUVIAN CO)	P	2012-08-30	2012-10-26
17 SHS PT PERUSAHAAN GAS NEGARA PERSARO TBK UNSPONSORED ADR	P	2012-08-29	2012-10-26
10 SHS AMERICA MOVIL SAB DE CV	P	2012-08-28	2012-10-26
56 SHS OGX PETROLEO E GAS PARTICIPACOES ADR	P	2012-03-09	2012-05-07
12 SHS DR REDDYS LABS ADR	P	2012-06-26	2012-08-27
25 SHS TATA MOTORS LTD ADR	P	2012-06-25	2012-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 SHS KB FINANCIAL GROUP ADR	P	2012-08-21	2012-10-26
12 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2012-08-21	2012-10-26
4 SHS CHINA UNICOM LTD ADR(HONG KONG) LTD	P	2012-04-19	2012-06-27
31 SHS CHINA UNICOM LTD ADR(HONG KONG) LTD	P	2012-05-25	2012-08-02
23 SHS CHINA UNICOM LTD ADR(HONG KONG) LTD	P	2012-04-18	2012-06-27
38 SHS CHINA UNICOM LTD ADR(HONG KONG) LTD	P	2012-04-18	2012-06-27
5 SHS CHINA OVERSEAS LAND & INVT LTD UNSPONSORED ADR	P	2012-06-14	2012-08-27
20 SHS CHINA UNICOM LTD ADR(HONG KONG) LTD	P	2012-05-10	2012-07-23
26 SHS BRF - BRASIL FOODS SA ADR	P	2012-08-08	2012-10-22
6 SHS CHINA OVERSEAS LAND & INVT LTD UNSPONSORED ADR	P	2012-06-13	2012-08-27
35 SHS CHINA UNICOM LTD ADR(HONG KONG) LTD	P	2012-04-19	2012-07-03
19 SHS KOC HOLDINGS AS	P	2012-06-11	2012-08-27
16 SHS TATA MOTORS LTD ADR	P	2012-02-02	2012-04-19
30 SHS TURKIYE GARANTI BANKASI AS ADR	P	2012-08-10	2012-10-26
7 SHS CHINA OVERSEAS LAND & INVT LTD UNSPONSORED ADR	P	2012-08-09	2012-10-26
26 SHS YANDEX NV-A	P	2012-06-07	2012-08-27
46 SHS ZIJIN MNG GROUP CO LTD ADR	P	2012-06-06	2012-08-27
38 SHS CHINA UNICOM LTD ADR(HONG KONG) LTD	P	2012-05-10	2012-08-02
4 SHS TATA MOTORS LTD ADR	P	2012-08-03	2012-10-26
53 SHS ZOOMLION HEAVY INDUSTRY SCIENC ADR	P	2012-08-02	2012-10-26
24 SHS TATA MOTORS LTD ADR	P	2012-01-24	2012-04-19
11 SHS KB FINANCIAL GROUP ADR	P	2012-07-31	2012-10-26
10 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2012-07-31	2012-10-26
7 SHS CHINA OVERSEAS LAND & INVT LTD UNSPONSORED ADR	P	2012-07-27	2012-10-26
2 SHS CNOOC LTD ADR	P	2012-07-27	2012-10-26
54 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2012-07-27	2012-10-26
1 SHS TATA MOTORS LTD ADR	P	2012-01-18	2012-04-19
21 SHS CHINA UNICOM LTD ADR(HONG KONG) LTD	P	2012-04-19	2012-07-23
36 SHS KOC HOLDINGS AS	P	2012-06-11	2012-09-14
17 SHS LAS VEGAS SANDS	P	2012-07-23	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
9 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2012-07-23	2012-10-26
33 SHS INDIA FD INC COM	P	2012-07-17	2012-10-26
28 SHS KEPPEL CORPORATION LIMITED SPONSORED	P	2012-07-17	2012-10-26
27 SHS TELEFONICA BRASIL SA SAO PAULO ADR	P	2012-05-18	2012-08-27
1 SHS SOCIEDAD QUIMICA Y MINERA DE CHILE SA QUIMICH SPONS ADR	P	2011-10-04	2012-01-17
7 SHS SOCIEDAD QUIMICA Y MINERA DE CHILE SA QUIMICH SPONS ADR	P	2011-10-05	2012-01-18
2 SHS BANCOLOMBIA SA BANCOLOMBIA CO ADR	P	2012-02-08	2012-05-24
8 SHS SOCIEDAD QUIMICA Y MINERA DE CHILE SA QUIMICH SPONS ADR	P	2011-10-03	2012-01-17
10 SHS SOCIEDAD QUIMICA Y MINERA DE CHILE SA QUIMICH SPONS ADR	P	2011-10-04	2012-01-18
63 SHS GRUPO TELEVISA SA ADR	P	2012-04-30	2012-08-16
5 SHS BANCOLOMBIA SA BANCOLOMBIA CO ADR	P	2012-02-02	2012-05-21
3 SHS ARCOS DORADOS HOLDINGS INC	P	2012-04-19	2012-08-07
0 SHS PDG REALTY SA SAO PAULO SPONSORED ADR	P	2012-04-20	2012-08-08
47 SHS SHANGHAI ELEC GROUP CO LTD ADR	P	2012-02-02	2012-05-22
6 SHS BANCOLOMBIA SA BANCOLOMBIA CO ADR	P	2012-02-02	2012-05-23
16 SHS ADR TIM PARTICIPACOES SA	P	2012-05-07	2012-08-27
6 SHS BANCOLOMBIA SA BANCOLOMBIA CO ADR	P	2012-02-02	2012-05-24
13 SHS PT PERUSAHAAN GAS NEGARA PERSARO TBK UNSPONSORED ADR	P	2012-07-05	2012-10-26
26 SHS ICICI BANK LTD SPON ADR	P	2012-07-03	2012-10-26
19 SHS TELEFONICA BRASIL SA SAO PAULO ADR	P	2012-07-03	2012-10-26
22 SHS CARLSBERG BREWERIES A/S ADR	P	2012-07-02	2012-10-26
24 SHS GRUPO TELEVISA SA ADR	P	2012-05-07	2012-08-31
22 SHS PDG REALTY SA SAO PAULO SPONSORED ADR	P	2012-04-20	2012-08-14
22 SHS SHANGHAI ELEC GROUP CO LTD ADR	P	2012-02-10	2012-06-06
15 SHS BANCOLOMBIA SA BANCOLOMBIA CO ADR	P	2012-02-08	2012-06-05
69 SHS SHANGHAI ELEC GROUP CO LTD ADR	P	2012-02-09	2012-06-06
14 SHS DR REDDYS LABS ADR	P	2012-06-29	2012-10-26
9 SHS GRUPO TELEVISA SA ADR	P	2012-04-30	2012-08-27
9 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2012-06-29	2012-10-26
18 SHS PACIFIC RUBIALES ENERGY CORP	P	2012-06-29	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 SHS AGILE PPTY HLDGS LTD ADR	P	2012-06-28	2012-10-26
38 SHS SHANGHAI ELEC GROUP CO LTD ADR	P	2012-02-02	2012-06-01
24 SHS TATA MOTORS LTD ADR	P	2012-06-28	2012-10-26
19 SHS DR REDDYS LABS ADR	P	2012-06-26	2012-10-26
20 SHS TATA MOTORS LTD ADR	P	2012-06-26	2012-10-26
15 SHS SHANGHAI ELEC GROUP CO LTD ADR	P	2012-02-02	2012-06-04
17 SHS TATA MOTORS LTD ADR	P	2012-06-25	2012-10-26
5 SHS CARLSBERG BREWERIES A/S ADR	P	2012-04-24	2012-08-27
43 SHS GLOBL X CHN FNCL	P	2011-10-25	2012-02-27
10 SHS SHANGHAI ELEC GROUP CO LTD ADR	P	2012-02-02	2012-06-06
23 SHS SILVER STANDARD RESOURCES INC COM	P	2012-06-21	2012-10-26
6 SHS CHINA OVERSEAS LAND & INVT LTD UNSPONSORED ADR	P	2012-06-20	2012-10-26
3 SHS COMPANIA DE MINAS BUENAVENTURA S A SPONS ADR REPSTG SER	P	2012-06-20	2012-10-26
0 SHS PDG REALTY SA SAO PAULO SPONSORED ADR APR 02, 2012	P	2012-04-02	2012-08-08
12 SHS SEMBCORP MARINE LTD UNSPONSORED ADR (SMBMY)	P	2012-06-20	2012-10-26
8 SHS YAMANA GOLD INC	P	2012-06-20	2012-10-26
21 SHS YANDEX NV-A	P	2012-06-20	2012-10-26
31 SHS CARLSBERG BREWERIES A/S ADR	P	2012-04-19	2012-08-27
27 SHS VALE S A ADR	P	2012-06-18	2012-10-26
7 SHS AGILE PPTY HLDGS LTD ADR	P	2012-06-15	2012-10-26
7 SHS CHINA OVERSEAS LAND & INVT LTD UNSPONSORED ADR	P	2012-06-15	2012-10-26
26 SHS ZIJIN MNG GROUP CO LTD ADR	P	2012-06-15	2012-10-26
2 SHS AGILE PPTY HLDGS LTD ADR	P	2012-06-14	2012-10-26
2 SHS CHINA OVERSEAS LAND & INVT LTD UNSPONSORED ADR	P	2012-06-14	2012-10-26
56 SHS PDG REALTY SA SAO PAULO SPONSORED ADR	P	2012-04-02	2012-08-14
15 SHS GBL X BRAZL CONS	P	2011-11-09	2012-03-23
12 SHS YANDEX NV-A	P	2012-06-13	2012-10-26
11 SHS TELEFONICA BRASIL SA SAO PAULO ADR	P	2012-06-12	2012-10-26
21 SHS PACIFIC RUBIALES ENERGY CORP	P	2012-06-11	2012-10-26
17 SHS CTRIP COM INTL ADR	P	2011-09-30	2012-02-15

Form 990PF Part IV – Capital Gains and Losses for Tax on Investment Income – Columns a – d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
83 SHS PDG REALTY SA SAO PAULO SPONSORED ADR	P	2012-03-23	2012-08-08
0 SHS PDG REALTY SA SAO PAULO SPONSORED ADR	P	2012-03-23	2012-08-08
50 SHS GLOBL X CHN FNCL	P	2011-10-11	2012-02-27
14 SHS PT PERUSAHAAN GAS NEGARA PERSARO TBK UNSPONSORED ADR	P	2012-06-08	2012-10-26
33 SHS ZOOMLION HEAVY INDUSTRY SCIENC ADR	P	2012-06-08	2012-10-26
37 SHS ZOOMLION HEAVY INDUSTRY SCIENC ADR	P	2012-06-08	2012-10-26
9 SHS PT PERUSAHAAN GAS NEGARA PERSARO TBK UNSPONSORED ADR	P	2012-06-07	2012-10-26
22 SHS YANDEX NV-A	P	2012-06-07	2012-10-26
23 SHS PT PERUSAHAAN GAS NEGARA PERSARO TBK UNSPONSORED ADR	P	2012-06-06	2012-10-26
17 SHS TELEFONICA BRASIL SA SAO PAULO ADR	P	2012-06-06	2012-10-26
211 SHS TURKIYE GARANTI BANKASI AS ADR	P	2012-06-06	2012-10-26
88 SHS ZIJIN MNG GROUP CO LTD ADR	P	2012-06-06	2012-10-26
113 SHS PDG REALTY SA SAO PAULO SPONSORED ADR	P	2012-03-23	2012-08-14
55 SHS CHINA MINSHENG ADR	P	2012-04-03	2012-08-27
4 SHS GALAXY ENTERTAINMENT GROUP LTD ADR	P	2012-05-10	2012-10-03
17 SHS SILVER STANDARD RESOURCES INC COM	P	2012-05-31	2012-10-26
20 SHS TELEFONICA BRASIL SA SAO PAULO ADR	P	2012-05-31	2012-10-26
51 SHS GLOBL X CHN FNCL	P	2011-11-03	2012-04-02
32 SHS ANGLO AMER	P	2012-04-13	2012-09-13
21 SHS GALAXY ENTERTAINMENT GROUP LTD ADR	P	2012-03-26	2012-08-27
20 SHS GBL X BRAZL CONS	P	2011-10-21	2012-03-23
11 SHS SILVER STANDARD RESOURCES INC COM	P	2012-05-24	2012-10-26
5 SHS COMPANIA DE MINAS BUENAVENTURA	P	2012-05-23	2012-10-26
12 SHS YAMANA GOLD INC	P	2012-05-23	2012-10-26
19 SHS ADR TIM PARTICIPACOES SA	P	2012-05-21	2012-10-26
10 SHS COMPANIA DE MINAS BUENAVENTURA	P	2012-05-21	2012-10-26
32 SHS GLOBL X CHN FNCL	P	2011-10-27	2012-04-02
1 SHS TELEFONICA BRASIL SA SAO PAULO ADR	P	2012-05-21	2012-10-26
8 SHS AAC ACOUSTIC TECHNOLOGIES HOLDINGS INC UNSPONSORED ADR	P	2012-03-20	2012-08-27
7 SHS GLOBL X CHN FNCL	P	2011-10-25	2012-04-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 SHS YAMANA GOLD INC	P	2011-10-07	2012-03-15
10 SHS AAC ACOUSTIC TECHNOLOGIES HOLDINGS INC UNSPONSORED ADR	P	2012-03-19	2012-08-27
22 SHS TELEFONICA BRASIL SA SAO PAULO ADR	P	2012-05-18	2012-10-26
32 SHS YAMANA GOLD INC	P	2011-10-05	2012-03-15
15 SHS ADR TIM PARTICIPACOES SA	P	2012-05-11	2012-10-26
26 SHS GALAXY ENTERTAINMENT GROUP LTD ADR	P	2012-05-10	2012-10-26
7 SHS COMPANIA DE MINAS BUENAVENTURA	P	2012-05-09	2012-10-26
18 SHS ADR TIM PARTICIPACOES SA	P	2012-05-08	2012-10-26
21 SHS ADR TIM PARTICIPACOES SA	P	2012-05-07	2012-10-26
16 SHS SILVER STANDARD RESOURCES INC COM	P	2012-05-07	2012-10-26
36 SHS ANHUI CONCH CEM CO LTD ADR	P	2011-08-04	2012-01-27
60 SHS SILICONWARE PRECISION ADR	P	2012-05-03	2012-10-26
33 SHS CARLSBERG BREWERIES A/S ADR	P	2012-05-02	2012-10-26
9 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2012-05-02	2012-10-26
16 SHS SILVER STANDARD RESOURCES INC COM	P	2012-05-02	2012-10-26
91 SHS ADVANCED SEMI- CONDUCTOR AD R	P	2012-05-01	2012-10-26
25 SHS ICICI BANK LTD SPON ADR	P	2012-05-01	2012-10-26
20 SHS SILVER STANDARD RESOURCES INC COM	P	2012-04-30	2012-10-26
28 SHS ARCOS DORADOS HOLDINGS INC	P	2012-02-09	2012-08-07
63 SHS PT BK MANDIRI PERSERO TBK UNSP	P	2012-04-27	2012-10-26
30 SHS CARLSBERG BREWERIES A/S ADR	P	2012-04-26	2012-10-26
25 SHS CARLSBERG BREWERIES A/S ADR	P	2012-04-24	2012-10-26
13 SHS GBL X BRAZL CONS	P	2011-09-19	2012-03-22
14 SHS YAMANA GOLD INC	P	2011-10-10	2012-04-12
22 SHS GBL X BRAZL CONS	P	2011-09-19	2012-03-23
32 SHS GBL X BRAZL CONS	P	2011-09-16	2012-03-22
80 SHS SILICONWARE PRECISION ADR	P	2012-02-21	2012-08-27
24 SHS YAMANA GOLD INC	P	2011-10-07	2012-04-12
9 SHS MECHEL OAO ADR	P	2011-09-01	2012-03-08
19 SHS GALAXY ENTERTAINMENT GROUP LTD ADR	P	2012-03-26	2012-10-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
95 SHS SILICONWARE PRECISION ADR	P	2012-02-17	2012-08-27
30 SHS GBL X BRAZL CONS	P	2011-09-09	2012-03-22
99 SHS CHINA MINSHENG ADR	P	2012-04-13	2012-10-26
11 SHS INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR10 ORD)	P	2012-04-13	2012-10-26
25 SHS KEPPEL CORPORATION LIMITED SPONSORED	P	2012-02-09	2012-08-27
10 SHS ARCOS DORADOS HOLDINGS INC	P	2011-12-20	2012-07-09
1 SHS ARCOS DORADOS HOLDINGS INC	P	2011-12-06	2012-06-26
60 SHS KEPPEL CORPORATION LIMITED SPONSORED	P	2012-04-04	2012-10-26
13 SHS SEMBCORP MARINE LTD UNSPONSORED ADR (SMBMY)	P	2012-04-04	2012-10-26
63 SHS CHINA MINSHENG ADR	P	2012-04-03	2012-10-26
7 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2012-04-03	2012-10-26
67 SHS PT BK MANDIRI PERSERO TBK UNSP	P	2012-04-03	2012-10-26
75 SHS SILICONWARE PRECISION ADR	P	2012-04-03	2012-10-26
14 SHS SOUTHERN COPPER CORP	P	2012-04-03	2012-10-26
31 SHS KEPPEL CORPORATION LIMITED SPONSORED	P	2012-02-02	2012-08-27
12 SHS SEMBCORP MARINE LTD UNSPONSORED ADR (SMBMY)	P	2012-02-02	2012-08-27
15 SHS ICICI BANK LTD SPON ADR	P	2012-01-31	2012-08-27
15 SHS ICICI BANK LTD SPON ADR	P	2012-01-31	2012-08-27
6 SHS ARCOS DORADOS HOLDINGS INC	P	2012-01-10	2012-08-07
16 SHS ARCOS DORADOS HOLDINGS INC	P	2012-01-09	2012-08-07
74 SHS SILICONWARE PRECISION ADR	P	2012-03-28	2012-10-26
13 SHS AMERICA MOVIL SAB DE CV	P	2012-03-27	2012-10-26
5 SHS ICICI BANK LTD SPON ADR	P	2012-03-27	2012-10-26
21 SHS AAC ACOUSTIC TECHNOLOGIES HOLDINGS INC UNSPONSORED ADR	P	2012-03-26	2012-10-26
22 SHS ARCOS DORADOS HOLDINGS INC	P	2011-12-06	2012-07-09
24 SHS ARCOS DORADOS HOLDINGS INC	P	2011-11-23	2012-06-26
33 SHS MECHEL OAO ADR	P	2011-08-04	2012-03-08
17 SHS AAC ACOUSTIC TECHNOLOGIES HOLDINGS INC UNSPONSORED ADR	P	2012-03-22	2012-10-26
133 SHS ADVANCED SEMI- CONDUCTOR AD R	P	2012-03-22	2012-10-26
104 SHS SILICONWARE PRECISION ADR	P	2012-03-21	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 SHS AAC ACOUSTIC TECHNOLOGIES HOLDINGS INC UNSPONSORED ADR	P	2012-03-20	2012-10-26
20 SHS MECHEL OAO ADR	P	2011-08-01	2012-03-08
0 SHS ADVANCED SEMI-CONDUCTOR AD R ADVANCED SEMICNDCTR ENG SP	P	2012-02-27	2012-10-05
90 SHS SILICONWARE PRECISION ADR	P	2012-03-19	2012-10-26
6 SHS TAIWAN SEMICONDUCTOR MANUFACTU ADR	P	2012-03-19	2012-10-26
116 SHS ADVANCED SEMI-CONDUCTOR AD R	P	2012-03-14	2012-10-26
80 SHS SILICONWARE PRECISION ADR	P	2012-03-14	2012-10-26
35 SHS AMERICA MOVIL SAB DE CV	P	2012-03-13	2012-10-26
11 SHS ANTOFAGASTA PLC ADR	P	2012-03-13	2012-10-26
14 SHS ICICI BANK LTD SPON ADR	P	2012-03-13	2012-10-26
10 SHS SOUTHERN COPPER CORP	P	2012-01-13	2012-08-27
115 SHS PT BK MANDIRI PERSERO TBK UNSP	P	2012-01-12	2012-08-27
14 SHS SOUTHERN COPPER CORP	P	2012-01-12	2012-08-27
40 SHS CHINA COMMUNICATIONS CONSTR CO ADR	P	2012-01-11	2012-08-27
21 SHS COMPANIA DE MINAS BUENAVENTURA S A SPONS ADR REPSTG SER	P	2012-01-11	2012-08-27
112 SHS ADVANCED SEMI-CONDUCTOR AD R	P	2012-03-09	2012-10-26
9 SHS ARCOS DORADOS HOLDINGS INC	P	2011-12-20	2012-08-07
7 SHS ISHARES INC MSCI SOUTH KOREA INDEX FUND EUROPEAN ETF	P	2012-01-09	2012-08-27
13 SHS SEMBCORP MARINE LTD UNSPONSORED ADR (SMBMY)	P	2012-03-08	2012-10-26
11 SHS ARCOS DORADOS HOLDINGS INC	P	2011-11-01	2012-06-22
23 SHS ARCOS DORADOS HOLDINGS INC	P	2011-11-01	2012-06-22
102 SHS ADVANCED SEMI-CONDUCTOR AD R	P	2012-02-17	2012-10-09
10 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-08-18	2012-04-10
34 SHS ISHARES INC MSCI SOUTH KOREA INDEX FUND EUROPEAN ETF	P	2012-01-03	2012-08-27
11 SHS ARCOS DORADOS HOLDINGS INC	P	2011-11-01	2012-06-26
44 SHS PT BK MANDIRI PERSERO TBK UNSP	P	2012-02-09	2012-10-05
14 SHS BANCO BRADESCO S A ADR PFD NEW	P	2012-02-29	2012-10-26
14 SHS PT BK MANDIRI PERSERO TBK UNSP	P	2012-01-24	2012-09-21
137 SHS ADVANCED SEMI-CONDUCTOR AD	P	2012-02-27	2012-10-26
24 SHS CHINA COMMUNICATIONS CONSTR CO ADR	P	2012-02-27	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
29 SHS INDUSTRIAL & COMMERCIAL BANK OF CHINA LTD	P	2012-02-27	2012-10-26
10 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2012-02-27	2012-10-26
26 SHS PACIFIC RUBIALES ENERGY CORP	P	2011-05-26	2012-01-23
7 SHS SOUTHERN COPPER CORP	P	2012-02-27	2012-10-26
89 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-08-09	2012-04-10
5 SHS COMPANIA DE MINAS BUENAVENTURA S A SPONS ADR REPSTG SER	P	2012-02-23	2012-10-26
52 SHS PT BK MANDIRI PERSERO TBK UNSP	P	2012-01-18	2012-09-20
37 SHS ISHARES INC MSCI SOUTH KOREA INDEX FUND EUROPEAN ETF	P	2012-02-22	2012-10-26
19 SHS PT BK MANDIRI PERSERO TBK UNSP	P	2012-01-18	2012-09-21
130 SHS ADVANCED SEMI-CONDUCTOR AD	P	2012-02-21	2012-10-26
40 SHS ISHARES INC MSCI SOUTH KOREA INDEX FUND EUROPEAN ETF	P	2012-02-21	2012-10-26
22 SHS SILICONWARE PRECISION ADR	P	2012-02-21	2012-10-26
8 SHS SOUTHERN COPPER CORP	P	2012-02-21	2012-10-26
37 SHS KEPPEL CORPORATION LIMITED SPONSORED	P	2012-02-09	2012-10-17
23 SHS ADVANCED SEMI-CONDUCTOR AD R	P	2012-02-17	2012-10-26
14 SHS PT BK MANDIRI PERSERO TBK UNSP	P	2012-01-12	2012-09-20
9 SHS SILICONWARE PRECISION ADR	P	2012-02-17	2012-10-26
36 SHS SOUTHERN COPPER CORP	P	2012-02-16	2012-10-26
13 SHS PETROLEO BRASIL ADR	P	2012-02-14	2012-10-26
12 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2012-02-14	2012-10-26
48 SHS PT BK MANDIRI PERSERO TBK UNSP	P	2012-01-24	2012-10-05
13 SHS MECHEL OAO ADR	P	2011-06-24	2012-03-08
33 SHS KEPPEL CORPORATION LIMITED SPONSORED	P	2012-02-09	2012-10-26
3 SHS MECHEL OAO ADR	P	2011-06-16	2012-03-02
34 SHS PT BK MANDIRI PERSERO TBK UNSP	P	2012-02-09	2012-10-26
91 SHS BANCO BRADESCO S A ADR PFD NEW	P	2012-02-07	2012-10-26
14 SHS MECHEL OAO ADR	P	2011-06-16	2012-03-08
20 SHS PACIFIC RUBIALES ENERGY CORP	P	2011-05-26	2012-02-16
81 SHS GAFISA ADR	P	2011-06-30	2012-03-23
1 SHS SEMBCORP MARINE LTD UNSPONSORED ADR (SMBMY)	P	2012-02-02	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2012-02-01	2012-10-26
13 SHS ICICI BANK LTD SPON ADR	P	2012-01-31	2012-10-26
28 SHS CHINA COMMUNICATIONS CONSTR CO ADR	P	2012-01-27	2012-10-26
6 SHS CTRIP COM INTL ADR	P	2011-05-18	2012-02-15
2 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-06-21	2012-03-21
15 SHS COMPANIA DE MINAS BUENAVENTURA S A SPONS ADR REPSTG SER	P	2012-01-25	2012-10-26
17 SHS SILVER STANDARD RESOURCES INC COM	P	2012-01-24	2012-10-26
3 SHS JIANGXI COPPER CO LTD ADR	P	2011-07-08	2012-04-10
30 SHS CHINA COMMUNICATIONS CONSTR CO ADR	P	2012-01-19	2012-10-26
10 SHS GAFISA ADR	P	2011-06-14	2012-03-23
25 SHS TENCENT HOLDINGS LTD	P	2011-03-29	2012-01-06
1 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-06-30	2012-04-09
5 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-05-23	2012-03-02
2 SHS JIANGXI COPPER CO LTD ADR	P	2011-08-04	2012-05-15
5 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-06-29	2012-04-09
8 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-06-30	2012-04-10
1 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-05-20	2012-03-01
4 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-05-20	2012-03-02
10 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-05-18	2012-02-29
6 SHS SOUTHERN COPPER CORP	P	2012-01-13	2012-10-26
3 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-05-18	2012-03-01
16 SHS CHINA COMMUNICATIONS CONSTR CO ADR	P	2012-01-11	2012-10-26
3 SHS COMPANIA DE MINAS BUENAVENTURA S A SPONS ADR REPSTG SER	P	2012-01-11	2012-10-26
11 SHS COMPANIA DE MINAS BUENAVENTURA S A SPONS ADR REPSTG SER	P	2012-01-11	2012-10-26
18 SHS AMERICA MOVIL SAB DE CV	P	2012-01-10	2012-10-26
31 SHS ISHARES INC MSCI SOUTH KOREA INDEX FUND EUROPEAN ETF	P	2012-01-09	2012-10-26
9 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-06-21	2012-04-09
25 SHS COSAN	P	2011-06-28	2012-04-17
35 SHS INDUSTRIAL & COMMERCIAL BANK OF CHINA LTD	P	2012-01-06	2012-10-26
26 SHS GAFISA ADR	P	2011-06-01	2012-03-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
29 SHS ANGLO AMER	P	2011-11-04	2012-08-27
24 SHS GAFISA ADR	P	2011-05-31	2012-03-23
3 SHS JIANGXI COPPER CO LTD ADR	P	2011-07-21	2012-05-15
3 SHS SAKARI RESOURCES LTD UNSP ADR	P	2011-05-23	2012-03-21
23 SHS ANHUI CONCH CEM CO LTD ADR	P	2011-08-09	2012-06-08
14 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-08-04	2012-06-06
5 SHS JIANGXI COPPER CO LTD ADR	P	2011-07-13	2012-05-15
23 SHS ANHUI CONCH CEM CO LTD ADR	P	2011-08-05	2012-06-08
27 SHS GAFISA ADR	P	2011-05-20	2012-03-23
16 SHS ANHUI CONCH CEM CO LTD ADR	P	2011-08-04	2012-06-08
27 SHS ANGLO AMER	P	2011-11-08	2012-09-13
27 SHS ANGLO AMER	P	2011-11-07	2012-09-13
6 SHS JIANGXI COPPER CO LTD ADR	P	2011-07-08	2012-05-15
31 SHS ANGLO AMER	P	2011-11-04	2012-09-13
29 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-08-10	2012-06-21
12 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-08-09	2012-06-21
17 SHS GAFISA ADR	P	2011-05-10	2012-03-23
21 SHS MECHEL OAO ADR	P	2011-04-18	2012-03-02
4 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-06-14	2012-04-30
29 SHS YAMANA GOLD INC	P	2011-10-11	2012-08-27
17 SHS YAMANA GOLD INC	P	2011-10-10	2012-08-27
29 SHS INDUSTRIAL & COMMERCIAL BANK OF CHINA LTD	P	2011-07-25	2012-06-13
6 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-05-27	2012-04-26
10 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-05-27	2012-04-30
5 SHS BAIDU ADR	P	2011-11-21	2012-10-26
15 SHS COSAN	P	2011-05-12	2012-04-17
25 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-06-30	2012-06-06
6 SHS BAIDU ADR	P	2011-11-18	2012-10-26
19 SHS KB FINANCIAL GROUP ADR	P	2011-11-17	2012-10-26
18 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2011-11-17	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
39 SHS YANZHOU COAL MINING CO LTD AMERICAN DEPOSITORY	P	2011-09-27	2012-09-10
5 SHS PT UNITED TRACTORS-UNSPON ADR	P	2011-06-22	2012-06-06
13 SHS PT UNITED TRACTORS-UNSPON ADR	P	2011-01-25	2012-01-11
42 SHS INDUSTRIAL & COMMERCIAL BANK OF CHINA LTD	P	2011-11-04	2012-10-26
6 SHS PT UNITED TRACTORS-UNSPON ADR	P	2011-01-25	2012-01-19
15 SHS TENCENT HOLDINGS LTD	P	2011-03-31	2012-03-26
25 SHS TENCENT HOLDINGS LTD	P	2011-03-29	2012-03-26
6 SHS PACIFIC RUBIALES ENERGY CORP	P	2011-10-28	2012-10-26
4 SHS CHINA SHENHUA ENERGY CO LTD UNSPONSORED ADR A	P	2011-05-11	2012-05-10
43 SHS GAFISA ADR	P	2011-03-24	2012-03-23
34 SHS YAMANA GOLD INC	P	2012-10-26	2012-10-26
274 SHS WEATHERFORD INTL LTD	P	2012-08-23	2012-08-27
44 SHS BARRICK GOLD CORP COM	P	2012-12-21	2012-12-28
46 SHS KB FINANCIAL GROUP ADR	P	2012-12-20	2012-12-28
54 SHS VODAFONE GP PLC ADS NEW	P	2012-12-20	2012-12-28
13 SHS ROHM CO LTD UNSPONSORED ADR (JAPAN)	P	2012-12-12	2012-12-28
21 SHS TEVA PHARM	P	2012-12-12	2012-12-28
12 SHS MANPOWER INC USD 01 COM	P	2012-07-23	2012-08-27
92 SHS MANPOWER INC USD 01 COM	P	2012-07-20	2012-08-27
31 SHS MOSAIC CMPANY	P	2012-11-14	2012-12-28
89 SHS WEATHERFORD INTL LTD	P	2012-11-13	2012-12-28
131 SHS CAMECO CORP CAD COM	P	2012-11-09	2012-12-28
129 SHS KINROSS GOLD CORP NEW	P	2012-11-07	2012-12-28
79 SHS TALISMAN ENERGY	P	2012-11-07	2012-12-28
116 SHS BANCO SANTANDER	P	2012-11-05	2012-12-28
82 SHS TALISMAN ENERGY	P	2012-11-05	2012-12-28
104 SHS ING GROEP NV ADR	P	2012-06-27	2012-08-27
133 SHS ERICSSON L M TEL CO ADR B SEK 10	P	2012-10-26	2012-12-28
119 SHS BANCO SANTANDER	P	2012-10-17	2012-12-28
360 SHS CENTRAIS ELETRICAS BRASILEIRAS ADR PFD	P	2012-09-19	2012-12-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
221 SHS BANCO SANTANDER	P	2012-10-12	2012-12-28
17 SHS TEVA PHARM	P	2012-10-10	2012-12-28
293 SHS ALSTOM ADR	P	2012-10-02	2012-12-28
70 SHS PANASONIC CORP ADR	P	2012-09-25	2012-12-28
79 SHS WEATHERFORD INTL LTD	P	2012-09-25	2012-12-28
219 SHS SUMITOMO MITSUI TRUST HOLDINGS I NC	P	2012-09-24	2012-12-28
20 SHS TEVA PHARM	P	2012-09-24	2012-12-28
88 SHS ERICSSON L M TEL CO ADR B SEK 10	P	2012-09-21	2012-12-28
22 SHS TEVA PHARM	P	2012-09-20	2012-12-28
24 SHS TEVA PHARM	P	2012-09-18	2012-12-28
0 SHS VIVENDI SA UNSPONSORED ADR VIVENDI SA UNSPON ADR	P	2012-03-08	2012-06-18
286 SHS SUMITOMO MITSUI TRUST HOLDINGS I NC	P	2012-09-12	2012-12-28
80 SHS TEVA PHARM	P	2012-09-10	2012-12-28
492 SHS ING GROEP NV ADR	P	2012-05-09	2012-08-27
105 SHS ERICSSON L M TEL CO ADR B SEK 10	P	2012-09-07	2012-12-28
59 SHS AGEAS NV BRUXELLES ADR	P	2012-05-03	2012-08-27
28 SHS TRANSOCEAN LTD	P	2011-12-07	2012-04-03
0 SHS AGEAS NV BRUXELLES ADR AGEAS SPONSORED ADR	P	2012-04-10	2012-08-07
448 SHS ORKLA ASA SPONS ADR A	P	2012-08-24	2012-12-28
73 SHS VIVENDI SA UNSPONSORED ADR	P	2012-03-08	2012-07-13
249 SHS WEATHERFORD INTL LTD	P	2012-08-23	2012-12-28
172 SHS AGEAS NV BRUXELLES ADR	P	2012-04-10	2012-08-27
43 SHS VIVENDI SA UNSPONSORED ADR	P	2012-04-10	2012-08-27
168 SHS TNT EXPRESS NV AMSTERDAM ADR	P	2011-09-30	2012-02-24
97 SHS UBS AG-REG	P	2012-07-31	2012-12-28
87 SHS BARRICK GOLD CORP COM	P	2012-07-27	2012-12-28
91 SHS BARRICK GOLD CORP COM	P	2012-07-26	2012-12-28
426 SHS ELECTRICITY DE FRANCE FRF SHARES	P	2012-07-26	2012-12-28
859 SHS CARREFOUR SA (F) SPONSORED ADR	P	2012-07-24	2012-12-28
86 SHS MANPOWER INC USD 01 COM	P	2012-07-23	2012-12-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
210 SHS MS&AD INS GROUP HLDGS ADR	P	2012-07-23	2012-12-28
165 SHS UBS AG-REG	P	2012-07-13	2012-12-28
164 SHS IMPALA PLATINUM SPONADR	P	2012-03-08	2012-08-27
127 SHS VIVENDI SA UNSPONSORED ADR	P	2012-03-08	2012-08-27
300 SHS TNT EXPRESS NV AMSTERDAM ADR	P	2011-08-30	2012-02-23
112 SHS TNT EXPRESS NV AMSTERDAM ADR	P	2011-08-30	2012-02-24
109 SHS NEWCREST MINING LTD SPONSORED AD R	P	2012-06-29	2012-12-28
446 SHS ING GROEP NV ADR	P	2012-06-27	2012-12-28
152 SHS SUMITOMO MITSUI TRUST HOLDINGS I NC	P	2012-06-13	2012-12-28
58 SHS ERICSSON L M TEL CO ADR B SEK 10	P	2012-02-10	2012-08-27
371 SHS ERICSSON L M TEL CO ADR B SEK 10	P	2012-02-08	2012-08-27
59 SHS ROHM CO LTD UNSPONSORED ADR (JAPAN)	P	2012-06-06	2012-12-28
909 SHS FINMECCANICA SPA ROMA UNSPONSORED ADR	P	2012-06-04	2012-12-28
74 SHS KOREA ELEC PWR CO SPONS ADR	P	2012-06-04	2012-12-31
772 SHS TNT EXPRESS NV AMSTERDAM ADR	P	2011-07-19	2012-02-17
19 SHS TNT EXPRESS NV AMSTERDAM ADR	P	2011-07-19	2012-02-23
270 SHS KINROSS GOLD CORP NEW	P	2012-05-21	2012-12-28
383 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2012-05-21	2012-12-28
149 SHS NEXEN INC COM	P	2011-12-12	2012-07-23
12 SHS HOME RETAIL GROUP PLC ADR	P	2012-05-15	2012-12-28
149 SHS HOME RETAIL GROUP PLC ADR	P	2012-05-14	2012-12-28
26 SHS AGEAS NV BRUXELLES ADR	P	2012-05-04	2012-12-28
120 SHS AGEAS NV BRUXELLES ADR	P	2012-05-03	2012-12-28
172 SHS ALUMINA LTD SPONSORED ADR	P	2012-05-02	2012-12-28
74 SHS NEWCREST MINING LTD SPONSORED AD R	P	2012-04-26	2012-12-28
185 SHS HOME RETAIL GROUP PLC ADR	P	2011-12-19	2012-08-27
84 SHS TRANSOCEAN LTD	P	2011-12-08	2012-08-17
47 SHS TRANSOCEAN LTD	P	2011-12-07	2012-08-17
1 SHS NEWCREST MINING LTD SPONSORED AD R	P	2011-12-16	2012-08-27
340 SHS TELECOM ITAL A	P	2012-04-12	2012-12-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
163 SHS VIVENDI SA UNSPONSORED ADR	P	2012-04-10	2012-12-28
150 SHS UBS AG-REG	P	2012-02-08	2012-11-02
527 SHS CENTRAIS ELETRICAS B RASILEIRAS SA ELECTR	P	2012-03-27	2012-12-28
5 SHS IMPALA PLATINUM SPONADR	P	2012-03-08	2012-12-28
142 SHS IMPALA PLATINUM SPONADR	P	2012-03-08	2012-12-28
167 SHS NEXEN INC COM	P	2011-09-27	2012-07-23
0 SHS CARREFOUR SA (F) SPONSORED ADR CARREFOUR SA SPONSORED ADR	P	2011-10-03	2012-07-31
150 SHS MARINE HARVEST ASA UNSPONSORED ADR	P	2011-08-24	2012-06-22
392 SHS TALISMAN ENERGY	P	2011-10-25	2012-08-27
87 SHS HOME RETAIL GROUP PLC ADR	P	2011-12-19	2012-10-24
330 SHS ERICSSON L M TEL CO ADR B SEK 10	P	2012-02-10	2012-12-28
111 SHS UBS AG-REG	P	2012-02-08	2012-12-28
840 SHS CARREFOUR SA (F) SPONSORED ADR	P	2011-10-03	2012-08-27
27 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2011-09-30	2012-08-27
648 SHS FINMECCANICA SPA ROMA UNSPONSORED ADR	P	2012-01-25	2012-12-28
234 SHS TALISMAN ENERGY	P	2012-01-19	2012-12-28
355 SHS KINROSS GOLD CORP NEW	P	2012-01-17	2012-12-28
80 SHS NEXEN INC COM	P	2011-08-10	2012-07-23
121 SHS MARINE HARVEST ASA UNSPONSORED ADR	P	2011-09-08	2012-08-27
16 SHS KINROSS GOLD CORP NEW	P	2011-10-14	2012-10-05
83 SHS HOME RETAIL GROUP PLC ADR	P	2011-08-31	2012-08-27
10 SHS ACCENTURE PLC CL A	P	2011-11-21	2012-11-27
20 SHS MCGRAW-HILL COMPANIES INC	P	2011-11-21	2012-11-27
30 SHS FREEPORT-MCMRN CPPR&GOLD	P	2011-11-21	2012-12-05
45 SHS KON PHILIP ELEC ADR	P	2011-11-21	2012-12-11
50 SHS WESTERN UNION CO	P	2011-11-21	2012-12-11
35 SHS MERCK KGAA ADR	P	2011-11-21	2012-12-12
65 SHS WESTERN UNION CO	P	2011-11-21	2012-12-12
50 SHS WHARF HOLDINGS ADR	P	2011-11-21	2012-12-12
250 SHS GE CAP CORP #B2 1 11/7/14	P	2011-01-07	2012-02-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
422 6 SHS OLD WESTBURY GL SC FD	P	2010-12-10	2012-03-07
1611 86 SHS OLD WESTBURY GL SC FD	P	2010-12-10	2012-03-07
250 SHS MICROSOFT CORP	P	2010-08-05	2012-03-08
50 SHS MICROSOFT CORP	P	2010-08-05	2012-03-09
50 SHS ACE LIMITED	P	2010-06-10	2012-06-21
100 SHS ACE LIMITED	P	2010-06-09	2012-06-22
25 SHS ACE LIMITED	P	2010-04-27	2012-06-21
150 SHS MICROSOFT CORP	P	2009-10-22	2012-03-08
200 SHS FHLB #F2 75 6 12/12/14	P	2010-02-02	2012-10-02
300 SHS FFCB #F4 5 4 10/17/12	P	2009-04-08	2012-01-24
200 SHS FHLB #F3 25 3 9/12/14	P	2009-10-07	2012-10-18
200 SHS FHLB #F3 875 3 3/08/13	P	2008-03-28	2012-02-10
8418 78 SHS OLD WESTBURY GL SC FD	P	2008-10-06	2012-08-27
1276 49 SHS OLD WESTBURY GL SC FD	P	2008-03-13	2012-03-07
200 SHS FHLB #F3 875 3 3/08/13	P	2008-03-28	2012-04-17
150 SHS FHLB #F3 875 3 3/08/13	P	2008-03-28	2012-07-27
200 SHS FHLB #F3 875 3 3/08/13	P	2008-03-28	2012-09-11
5441 27 SHS OLD WESTBURY GL SC FD	P	2008-03-13	2012-08-27
91073 17 SHS OLD WESTBURY REAL RETN FUND	P	2008-02-27	2012-08-15
25000 SHS FEDERAL HOME LOAN BANK	P	2008-03-27	2012-11-19
100000 SHS U S TNOTE MS #F4 25 2 8/15/13	P	2003-08-26	2012-01-24
9 SHS ROUSE PROPERTIES INCRIGHT EXP 15 MAR 2012	P	2011-02-14	2012-03-28
13 SHS HUDSON PACIFIC PROPERTIES INC	P	2011-04-28	2012-05-16
4 SHS ESSEX PPTY TR INC COM	P	2011-10-05	2012-11-05
6 SHS HCP INC REIT	P	2010-12-15	2012-01-26
3 SHS ESSEX PPTY TR INC COM	P	2011-10-11	2012-11-28
26 SHS HCP INC REIT	P	2010-12-15	2012-02-03
2 SHS ESSEX PPTY TR INC COM	P	2011-10-05	2012-11-28
14 SHS GENERAL GROWTH PROPERTIES INC	P	2010-11-18	2012-01-12
4 SHS HCP INC REIT	P	2010-12-15	2012-02-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 SHS HCP INC REIT	P	2010-12-15	2012-02-14
9 SHS LASALLE HOTEL PROPERTIES COM REIT	P	2011-02-16	2012-05-18
7 SHS LASALLE HOTEL PROPERTIES COM REIT	P	2011-01-20	2012-05-18
30 SHS ASSOCIATED ESTATES REALTY CORP REIT	P	2010-09-29	2012-02-10
10 SHS AMER CMPS COMM	P	2010-08-17	2012-01-05
3 SHS STARWOOD HOTELS & RESORTS	P	2010-12-20	2012-05-18
12 SHS LASALLE HOTEL PROPERTIES COM REIT	P	2011-02-16	2012-07-19
2 SHS AMER CMPS COMM	P	2010-08-17	2012-01-26
4 SHS STARWOOD HOTELS & RESORTS	P	2010-12-22	2012-06-04
2 SHS STARWOOD HOTELS & RESORTS	P	2010-12-20	2012-06-04
4 SHS HEALTH CARE REIT INC COM	P	2011-03-04	2012-08-22
16 SHS PEBBLEBROOK HOTEL TRUST	P	2010-12-02	2012-05-22
12 SHS HEALTH CARE REIT INC COM	P	2011-03-04	2012-08-23
8 SHS AMER CMPS COMM	P	2010-08-17	2012-02-10
2 SHS GENERAL GROWTH PROPERTIES INC	P	2010-11-18	2012-05-18
6 SHS HEALTH CARE REIT INC COM	P	2011-03-04	2012-09-07
2 SHS HEALTH CARE REIT INC COM	P	2011-03-04	2012-09-19
10 SHS HUDSON PACIFIC PROPERTIES INC	P	2010-06-24	2012-01-12
14 SHS PROLOGIS INC COM REIT	P	2010-10-28	2012-05-21
12 SHS HUDSON PACIFIC PROPERTIES INC	P	2010-06-24	2012-01-26
10 SHS PROLOGIS INC COM REIT	P	2010-10-28	2012-05-31
18 SHS PROLOGIS INC COM REIT	P	2010-10-28	2012-06-06
14 SHS PROLOGIS INC COM REIT	P	2010-10-28	2012-06-15
8 SHS PROLOGIS INC COM REIT	P	2010-10-28	2012-06-28
2 SHS PROLOGIS INC COM REIT	P	2010-10-28	2012-07-27
10 SHS PROLOGIS INC COM REIT	P	2010-10-28	2012-07-27
7 SHS STARWOOD HOTELS & RESORTS	P	2010-08-17	2012-05-18
2 SHS ALEXANDRIA REAL ESTATE EQUITIE COM REIT	P	2010-04-09	2012-01-12
4 SHS TANGER FACTORY OUTLET CENTERS COM REIT	P	2010-04-09	2012-01-12
15 SHS ASSOCIATED ESTATES REALTY CORP REIT	P	2010-05-07	2012-02-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 SHS DIGITAL REALTY TRUST INC	P	2010-12-17	2012-09-21
4 SHS TANGER FACTORY OUTLET CENTERS COM REIT	P	2010-04-09	2012-01-26
6 SHS GENERAL GROWTH PROPERTIES INC	P	2010-11-18	2012-09-19
11 SHS HOST HOTELS & RESORTS INC COM REIT	P	2010-08-12	2012-06-14
4 SHS TANGER FACTORY OUTLET CENTERS COM REIT	P	2010-04-09	2012-02-10
30 SHS HUDSON PACIFIC PROPERTIES INC	P	2010-06-24	2012-05-16
20 SHS CUBESMART REIT	P	2010-04-09	2012-03-09
12 SHS HOST HOTELS & RESORTS INC COM REIT	P	2010-05-27	2012-05-07
18 SHS HOST HOTELS & RESORTS INC COM REIT	P	2010-05-27	2012-05-21
8 SHS VENTAS INC COM REIT	P	2010-04-09	2012-04-10
21 SHS HOST HOTELS & RESORTS INC COM REIT	P	2010-05-27	2012-06-14
42 SHS CUBESMART REIT	P	2010-04-09	2012-05-18
4 SHS MACERICH CO COM REIT	P	2010-04-09	2012-05-21
10 SHS LIBERTY PROPERTY TRUST COM REIT	P	2010-04-09	2012-05-22
2 SHS MACERICH CO COM REIT	P	2010-04-09	2012-07-19
3 SHS EQUITY RESIDENTIAL COM REIT	P	2009-09-30	2012-01-20
2 SHS BRE PROPERTIES INC CL A COM REIT	P	2010-04-09	2012-08-02
2 SHS LIBERTY PROPERTY TRUST COM REIT	P	2010-04-09	2012-08-02
2 SHS AVALONBAY COMMUNITIESINC COM STK	P	2010-04-22	2012-09-21
4 SHS VENTAS INC COM REIT	P	2010-04-09	2012-09-11
8 SHS VENTAS INC COM REIT	P	2010-04-09	2012-09-14
6 SHS BRE PROPERTIES INC CL A COM REIT	P	2010-04-09	2012-09-19
2 SHS VENTAS INC COM REIT	P	2010-04-09	2012-09-21
13 SHS EQUITY RESIDENTIAL COM REIT	P	2009-07-22	2012-01-20
2 SHS TAUBMAN CTRS INC COM REIT	P	2010-04-09	2012-10-26
9 SHS ALEXANDRIA REAL ESTATE EQUITIE COM REIT	P	2010-04-09	2012-11-02
12 SHS SL GREEN REALTY CORP COM REIT	P	2010-04-09	2012-11-07
2 SHS SL GREEN REALTY CORP COM REIT	P	2010-04-09	2012-11-20
20 SHS COLONIAL PROPERTIES TST COM REIT	P	2010-04-09	2012-12-05
10 SHS COLONIAL PROPERTIES TST COM REIT	P	2010-04-09	2012-12-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
16 SHS COLONIAL PROPERTIES TST COM REIT	P	2010-04-09	2012-12-20
2 SHS FEDERAL REALTY INVESTMENT TRUS COM REIT	P	2009-03-19	2012-01-26
2 SHS FEDERAL REALTY INVESTMENT TRUS COM REIT	P	2009-03-19	2012-02-10
1 SHS VORNADO REALTY TRUST COM REIT	P	2009-03-12	2012-03-01
8 SHS EQUITY RESIDENTIAL COM REIT	P	2009-09-30	2012-10-12
2 SHS PUBLIC STORAGE	P	2009-03-19	2012-05-07
8 SHS EQUITY RESIDENTIAL	P	2009-09-30	2012-11-20
2 SHS STARWOOD HOTELS & RESORTS	P	2009-03-19	2012-05-18
1 SHS VORNADO REALTY TRUST COM REIT	P	2009-04-08	2012-07-11
6 SHS VORNADO REALTY TRUST COM REIT	P	2009-03-12	2012-06-19
2 SHS VORNADO REALTY TRUST COM REIT	P	2009-03-19	2012-06-28
5 SHS VORNADO REALTY TRUST COM REIT	P	2009-03-19	2012-07-11
2 SHS AVALONBAY COMMUNITIESINC COM STK	P	2009-03-05	2012-07-23
4 SHS AVALONBAY COMMUNITIESINC COM STK	P	2009-03-05	2012-07-27
2 SHS AVALONBAY COMMUNITIESINC COM STK	P	2009-03-05	2012-08-02
1 SHS VORNADO REALTY TRUST COM REIT	P	2009-04-29	2012-10-08
2 SHS VORNADO REALTY TRUST COM REIT	P	2009-04-15	2012-10-08
3 SHS VORNADO REALTY TRUST COM REIT	P	2009-04-08	2012-10-08
4 SHS PUBLIC STORAGE	P	2009-03-19	2012-09-27
2 SHS VORNADO REALTY TRUST COM REIT	P	2009-04-29	2012-11-16
2 SHS PUBLIC STORAGE	P	2009-03-19	2012-10-08
4 SHS FEDERAL REALTY INVESTMENT TRUS COM REIT	P	2009-03-19	2012-11-07
5 SHS PUBLIC STORAGE	P	2009-03-19	2012-11-29
14 SHS VORNADO REALTY TRUST COM REIT	P	2008-05-09	2012-03-01
1 SHS VORNADO REALTY TRUST COM REIT	P	2007-07-11	2012-03-01
2 SHS BOSTON PROPERTIES INC MASSACH COM REIT	P	2007-07-27	2012-11-20
2 SHS SIMON PROPERTY GROUP INC COM REIT	P	2005-02-23	2012-09-07
2 SHS SIMON PROPERTY GROUP INC COM REIT	P	2005-02-23	2012-09-10
2 SHS SIMON PROPERTY GROUP INC COM REIT	P	2005-02-23	2012-09-27
4 SHS SIMON PROPERTY GROUP INC COM REIT	P	2005-01-10	2012-09-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 SHS EQUITY LIFESTYLE PROPERTIES INC COM REIT	P	2004-04-27	2012-02-01
5 SHS AGILE PPTY HLDGS LTD ADR	P	2011-06-14	2012-08-27
37 SHS ZOOMLION HEAVY INDUSTRY SCIENC ADR	P	2011-06-08	2012-08-27
14 SHS PT PERUSAHAAN GAS NEGARA PERSARO TBK UNSPONSORED ADR	P	2011-06-06	2012-08-27
14 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-02-07	2012-08-27
70 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-10-25	2012-10-26
128 SHS TURKIYE GARANTI BANKASI AS ADR	P	2011-10-25	2012-10-26
34 SHS YAMANA GOLD INC	P	2011-10-25	2012-10-26
34 SHS YAMANA GOLD INC	P	2011-10-24	2012-10-26
24 SHS GAFISA ADR	P	2011-02-02	2012-02-07
24 SHS CHINA SHENHUA ENERGY CO LTD UNSPONSORED ADR	P	2011-05-11	2012-05-16
1 SHS MTN GROUP LTD SPN ADR	P	2011-01-06	2012-01-12
16 SHS SILVER STANDARD RESOURCES INC COM	P	2011-10-21	2012-10-26
3 SHS CNOOC LTD ADR	P	2011-10-19	2012-10-26
11 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-08-17	2012-08-27
28 SHS COSAN	P	2011-04-07	2012-04-17
1 SHS PT UNITED TRACTORS-UNSPON ADR	P	2011-05-20	2012-06-01
2 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-08-15	2012-08-27
7 SHS SILVER STANDARD RESOURCES INC COM	P	2011-08-15	2012-08-27
30 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-10-12	2012-10-26
5 SHS SILVER STANDARD RESOURCES INC COM	P	2011-10-12	2012-10-26
17 SHS ANHUI CONCH CEM CO LTD ADR	P	2011-08-12	2012-08-27
7 SHS YAMANA GOLD INC	P	2011-10-11	2012-10-26
13 SHS PT UNITED TRACTORS-UNSPON ADR	P	2011-05-20	2012-06-06
63 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-08-10	2012-08-27
2 SHS ANHUI CONCH CEM CO LTD ADR	P	2011-08-09	2012-08-27
9 SHS GAFISA ADR	P	2011-01-14	2012-02-02
19 SHS TAIWAN SEMICONDUCTOR MANUFACTU ADR	P	2011-10-06	2012-10-26
16 SHS SILVER STANDARD RESOURCES INC COM	P	2011-10-05	2012-10-26
23 SHS SILVER STANDARD RESOURCES INC COM	P	2011-08-05	2012-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
27 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-08-04	2012-08-27
52 SHS GAFISA ADR	P	2011-01-14	2012-02-07
7 SHS JIANGXI COPPER CO LTD ADR	P	2011-08-04	2012-08-27
22 SHS GERDAU SA -SPON ADR	P	2011-04-13	2012-05-07
16 SHS PACIFIC RUBIALES ENERGY CORP	P	2011-09-30	2012-10-26
8 SHS PACIFIC RUBIALES ENERGY CORP	P	2011-09-29	2012-10-26
5 SHS KB FINANCIAL GROUP ADR	P	2011-09-28	2012-10-26
46 SHS TURKIYE GARANTI BANKASI AS ADR	P	2011-09-28	2012-10-26
21 SHS ANHUI CONCH CEM CO LTD ADR	P	2011-09-27	2012-10-26
29 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-01-03	2012-02-02
7 SHS JIANGXI COPPER CO LTD ADR	P	2011-09-27	2012-10-26
2 SHS SILVER STANDARD RESOURCES INC COM	P	2011-09-27	2012-10-26
6 SHS BAIDU ADR	P	2011-09-26	2012-10-26
25 SHS PACIFIC RUBIALES ENERGY CORP	P	2011-09-26	2012-10-26
29 SHS INDUSTRIAL & COMMERCIAL BANK OF CHINA LTD	P	2011-07-27	2012-08-27
24 SHS MTN GROUP LTD SPN ADR	P	2011-01-06	2012-02-08
21 SHS TENCENT HOLDINGS LTD	P	2011-03-31	2012-05-02
55 SHS INDUSTRIAL & COMMERCIAL BANK OF CHINA LTD	P	2011-07-25	2012-08-27
30 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-03-23	2012-04-26
10 SHS PACIFIC RUBIALES ENERGY CORP	P	2011-07-14	2012-08-27
22 SHS SILVER STANDARD RESOURCES INC COM	P	2011-09-12	2012-10-26
27 SHS PACIFIC RUBIALES ENERGY CORP	P	2011-07-12	2012-08-27
18 SHS GAFISA ADR	P	2011-02-04	2012-03-23
3 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-09-08	2012-10-26
29 SHS GAFISA ADR	P	2011-02-02	2012-03-23
2 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-09-05	2012-10-26
168 SHS TURKIYE GARANTI BANKASI AS ADR	P	2011-01-04	2012-02-28
38 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-08-31	2012-10-26
2 SHS ITAU UNIBANCO HLDNG S A	P	2011-08-29	2012-10-26
6 SHS PACIFIC RUBIALES ENERGY CORP	P	2011-08-29	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 SHS CTRIP COM INTL ADR	P	2010-12-16	2012-02-15
5 SHS ANHUI CONCH CEM CO LTD ADR	P	2011-08-26	2012-10-26
33 SHS ANHUI CONCH CEM CO LTD ADR	P	2011-08-26	2012-10-26
16 SHS CTRIP COM INTL ADR	P	2010-12-08	2012-02-08
5 SHS JIANGXI COPPER CO LTD ADR	P	2011-08-26	2012-10-26
16 SHS PT UNITED TRACTORS-UNSPON ADR	P	2011-02-02	2012-04-04
14 SHS ANTOFAGASTA PLC ADR	P	2011-08-25	2012-10-26
12 SHS CTRIP COM INTL ADR	P	2010-11-30	2012-02-01
21 SHS INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR10 ORD)	P	2011-08-25	2012-10-26
3 SHS ANHUI CONCH CEM CO LTD ADR	P	2011-08-24	2012-10-26
7 SHS COSAN	P	2011-02-10	2012-04-17
40 SHS COSAN	P	2011-02-10	2012-04-17
87 SHS TURKIYE GARANTI BANKASI AS ADR	P	2010-12-22	2012-02-27
10 SHS CTRIP COM INTL ADR	P	2010-12-02	2012-02-08
25 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-08-20	2012-10-26
1 SHS TURKIYE GARANTI BANKASI AS ADR	P	2010-12-22	2012-02-28
18 SHS TURKIYE GARANTI BANKASI AS ADR	P	2010-12-21	2012-02-27
10 SHS CTRIP COM INTL ADR	P	2010-12-08	2012-02-15
37 SHS MTN GROUP LTD SPN ADR	P	2010-11-04	2012-01-12
13 SHS CTRIP COM INTL ADR	P	2010-11-30	2012-02-08
33 SHS HDFC BANK LTD ADR	P	2011-08-18	2012-10-26
5 SHS PT UNITED TRACTORS-UNSPON ADR	P	2011-01-25	2012-04-04
8 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-08-17	2012-10-26
12 SHS LAS VEGAS SANDS	P	2011-08-17	2012-10-26
38 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-08-17	2012-10-26
2 SHS COSAN	P	2010-11-05	2012-01-17
34 SHS SBERBANK RUSSIA SPONSORED ADR	P	2011-08-15	2012-10-26
13 SHS SILVER STANDARD RESOURCES INC COM	P	2011-08-15	2012-10-26
44 SHS COSAN	P	2010-11-03	2012-01-17
11 SHS CTRIP COM INTL ADR	P	2010-11-18	2012-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 SHS MTN GROUP LTD SPN ADR	P	2010-10-29	2012-01-12
31 SHS ANHUI CONCH CEM CO LTD ADR	P	2011-08-12	2012-10-26
4 SHS JIANGXI COPPER CO LTD ADR	P	2011-08-12	2012-10-26
23 SHS GAFISA ADR	P	2010-11-03	2012-01-20
69 SHS INDUSTRIAL & COMMERCIAL BANK OF CHINA LTD	P	2011-08-10	2012-10-26
45 SHS MOBILE TELESYSTEMS SP ADR	P	2011-08-10	2012-10-26
20 SHS LAS VEGAS SANDS	P	2011-08-09	2012-10-26
3 SHS BAIDU ADR	P	2011-06-07	2012-08-27
9 SHS BAIDU ADR	P	2011-06-06	2012-08-27
6 SHS CNOOC LTD ADR	P	2011-08-05	2012-10-26
1 SHS COSAN	P	2010-10-26	2012-01-17
54 SHS GAFISA ADR	P	2010-11-11	2012-02-02
27 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-08-04	2012-10-26
8 SHS COSAN	P	2010-10-25	2012-01-17
45 SHS INDUSTRIAL & COMMERCIAL BANK OF CHINA LTD	P	2011-08-04	2012-10-26
11 SHS CTRIP COM INTL ADR	P	2010-11-03	2012-02-01
15 SHS GAFISA ADR	P	2010-11-03	2012-02-02
40 SHS GAFISA ADR	P	2010-10-21	2012-01-20
50 SHS INDUSTRIAL & COMMERCIAL BANK OF CHINA LTD	P	2011-07-27	2012-10-26
14 SHS ITAU UNIBANCO HLDNG S A	P	2011-07-26	2012-10-26
7 SHS SILVER STANDARD RESOURCES INC COM	P	2011-05-23	2012-08-23
19 SHS SILVER STANDARD RESOURCES INC COM	P	2011-05-26	2012-08-27
17 SHS MECHEL OAO ADR	P	2010-10-21	2012-01-25
8 SHS CTRIP COM INTL ADR	P	2010-10-27	2012-02-01
2 SHS GAFISA ADR	P	2010-10-15	2012-01-20
17 SHS SILVER STANDARD RESOURCES INC COM	P	2011-05-23	2012-08-27
126 SHS GAFISA ADR	P	2010-10-14	2012-01-20
42 SHS SILVER STANDARD RESOURCES INC COM	P	2011-05-12	2012-08-23
41 SHS ITAU UNIBANCO HLDNG S A	P	2011-07-14	2012-10-26
15 SHS PACIFIC RUBIALES ENERGY CORP	P	2011-07-14	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
29 SHS MECHEL OAO ADR	P	2010-10-06	2012-01-25
27 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-01-03	2012-04-26
2 SHS COSAN	P	2010-11-11	2012-03-07
37 SHS COSAN	P	2010-11-11	2012-03-08
33 SHS MOBILE TELESYSTEMS SP ADR	P	2011-07-01	2012-10-26
46 SHS COSAN	P	2010-11-09	2012-03-07
11 SHS PT UNITED TRACTORS-UNSPON ADR	P	2011-02-02	2012-06-01
43 SHS COSAN	P	2010-11-05	2012-03-07
23 SHS COSAN	P	2010-12-13	2012-04-17
39 SHS CHINA SHENHUA ENERGY CO LTD UNSPONSORED ADR	P	2011-01-04	2012-05-10
2 SHS TENCENT HOLDINGS LTD	P	2011-04-04	2012-08-08
4 SHS BAIDU ADR	P	2011-06-20	2012-10-26
19 SHS TENCENT HOLDINGS LTD	P	2011-03-31	2012-08-08
30 SHS MECHEL OAO ADR	P	2010-10-21	2012-03-02
7 SHS ITAU UNIBANCO HLDNG S A	P	2011-06-14	2012-10-26
6 SHS BAIDU ADR	P	2011-06-08	2012-10-26
7 SHS BAIDU ADR	P	2011-06-07	2012-10-26
35 SHS GERDAU SA -SPON ADR	P	2010-12-17	2012-05-07
10 SHS ANTOFAGASTA PLC ADR	P	2011-04-07	2012-08-27
2 SHS BAIDU ADR	P	2011-06-06	2012-10-26
14 SHS ANTOFAGASTA PLC ADR	P	2011-04-06	2012-08-27
5 SHS INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR10 ORD)	P	2011-04-06	2012-08-27
17 SHS GERDAU SA -SPON ADR	P	2010-10-14	2012-03-07
22 SHS TENCENT HOLDINGS LTD	P	2011-04-04	2012-08-27
16 SHS LAS VEGAS SANDS	P	2011-05-31	2012-10-26
7 SHS ANTOFAGASTA PLC ADR	P	2011-05-26	2012-10-26
26 SHS AMERICA MOVIL SAB DE CV	P	2011-05-24	2012-10-26
10 SHS COSAN	P	2010-11-11	2012-04-17
24 SHS AMERICA MOVIL SAB DE CV	P	2011-05-17	2012-10-26
15 SHS GERDAU SA -SPON ADR	P	2010-11-24	2012-05-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
22 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2010-12-31	2012-06-14
25 SHS ANTOFAGASTA PLC ADR	P	2011-05-13	2012-10-26
56 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2010-12-31	2012-06-15
5 SHS INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR10 ORD)	P	2011-04-20	2012-10-03
26 SHS AMERICA MOVIL SAB DE CV	P	2011-05-10	2012-10-26
70 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2010-12-20	2012-06-14
20 SHS VALE S A ADR	P	2011-05-03	2012-10-26
5 SHS INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR10 ORD)	P	2011-04-06	2012-10-03
8 SHS MOBILE TELESYSTEMS SP ADR	P	2010-10-06	2012-04-04
1 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2010-12-15	2012-06-14
30 SHS VALE S A ADR	P	2011-04-28	2012-10-26
37 SHS GERDAU SA -SPON ADR	P	2010-11-05	2012-05-07
16 SHS KB FINANCIAL GROUP ADR	P	2011-04-25	2012-10-26
12 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2011-04-25	2012-10-26
4 SHS LAS VEGAS SANDS	P	2010-07-06	2012-01-10
91 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2011-04-21	2012-10-26
4 SHS INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR10 ORD)	P	2011-04-20	2012-10-26
61 SHS TAIWAN SEMICONDUCTOR MANUFACTU ADR	P	2011-04-20	2012-10-26
2 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2011-02-17	2012-08-27
24 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2011-04-18	2012-10-26
16 SHS NASPERS LTD SP ADR	P	2010-10-18	2012-05-02
76 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2011-02-08	2012-08-27
28 SHS TENCENT HOLDINGS LTD	P	2011-04-08	2012-10-26
3 SHS ANTOFAGASTA PLC ADR	P	2011-04-07	2012-10-26
12 SHS PETROLEO BRASIL ADR	P	2011-04-07	2012-10-26
13 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2011-04-07	2012-10-26
15 SHS CTRIP COM INTL ADR	P	2010-07-12	2012-02-01
16 SHS CTRIP COM INTL ADR	P	2010-07-12	2012-02-01
110 SHS GERDAU SA -SPON ADR	P	2010-10-14	2012-05-07
29 SHS TENCENT HOLDINGS LTD	P	2011-04-04	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
43 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2010-12-31	2012-07-27
21 SHS CTRIP COM INTL ADR	P	2010-07-07	2012-02-01
4 SHS LAS VEGAS SANDS	P	2010-07-06	2012-02-02
8 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2011-03-30	2012-10-26
35 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2011-03-25	2012-10-26
20 SHS HDFC BANK LTD ADR	P	2011-03-18	2012-10-26
4 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2011-03-16	2012-10-26
19 SHS MOBILE TELESYSTEMS SP ADR	P	2010-10-25	2012-06-07
10 SHS LAS VEGAS SANDS	P	2011-03-14	2012-10-26
10 SHS MOBILE TELESYSTEMS SP ADR	P	2011-01-24	2012-09-07
22 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2011-03-09	2012-10-26
1 SHS CNOOC LTD ADR	P	2011-03-09	2012-10-26
5 SHS LAS VEGAS SANDS	P	2010-07-06	2012-02-23
8 SHS MOBILE TELESYSTEMS SP ADR	P	2011-01-18	2012-09-06
2 SHS MOBILE TELESYSTEMS SP ADR	P	2011-01-11	2012-08-31
9 SHS MOBILE TELESYSTEMS SP ADR	P	2011-01-18	2012-09-07
36 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2011-03-07	2012-10-26
4 SHS HDFC BANK LTD ADR	P	2011-01-06	2012-08-27
159 SHS TURKIYE GARANTI BANKASI AS ADR	P	2011-01-06	2012-08-27
40 SHS HDFC BANK LTD ADR	P	2011-01-04	2012-08-27
67 SHS TURKIYE GARANTI BANKASI AS ADR	P	2011-01-04	2012-08-27
15 SHS HDFC BANK LTD ADR	P	2011-03-03	2012-10-26
6 SHS LAS VEGAS SANDS	P	2011-03-03	2012-10-26
16 SHS MOBILE TELESYSTEMS SP ADR	P	2011-01-06	2012-08-31
31 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2011-03-02	2012-10-26
10 SHS MOBILE TELESYSTEMS SP ADR	P	2011-01-11	2012-09-06
19 SHS MOBILE TELESYSTEMS SP ADR	P	2010-11-30	2012-07-26
25 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2010-12-31	2012-08-27
7 SHS MOBILE TELESYSTEMS SP ADR	P	2011-01-03	2012-08-31
10 SHS MOBILE TELESYSTEMS SP ADR	P	2010-12-30	2012-08-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 SHS MOBILE TELESYSTEMS SP ADR	P	2010-10-06	2012-06-07
27 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2011-02-17	2012-10-22
3 SHS MECHEL OAO ADR	P	2010-05-21	2012-01-25
8 SHS MOBILE TELESYSTEMS SP ADR	P	2011-02-18	2012-10-26
2 SHS PETROLEO BRASIL ADR	P	2011-02-18	2012-10-26
7 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2011-02-18	2012-10-26
18 SHS VALE S A ADR	P	2011-02-18	2012-10-26
46 SHS CHINA CONSTRUCTION BANK CORP UNSPONSORED ADR	P	2011-02-17	2012-10-26
5 SHS MOBILE TELESYSTEMS SP ADR	P	2010-12-23	2012-08-31
10 SHS LAS VEGAS SANDS	P	2010-07-06	2012-03-16
26 SHS LAS VEGAS SANDS	P	2010-12-15	2012-08-27
10 SHS MOBILE TELESYSTEMS SP ADR	P	2010-12-03	2012-08-17
16 SHS MOBILE TELESYSTEMS SP ADR	P	2010-12-15	2012-08-31
9 SHS MOBILE TELESYSTEMS SP ADR	P	2010-11-30	2012-08-17
11 SHS MOBILE TELESYSTEMS SP ADR	P	2010-12-10	2012-08-27
5 SHS HDFC BANK LTD ADR	P	2010-12-09	2012-08-27
41 SHS MOBILE TELESYSTEMS SP ADR	P	2010-12-09	2012-08-27
6 SHS MOBILE TELESYSTEMS SP ADR	P	2010-12-10	2012-08-31
10 SHS MOBILE TELESYSTEMS SP ADR	P	2010-12-03	2012-08-27
12 SHS NASPERS LTD SP ADR	P	2011-02-01	2012-10-26
32 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2011-02-01	2012-10-26
4 SHS POSCO SPONS ADR	P	2010-12-02	2012-08-27
12 SHS NASPERS LTD SP ADR	P	2011-01-26	2012-10-26
4 SHS MOBILE TELESYSTEMS SP ADR	P	2010-10-25	2012-07-26
11 SHS LAS VEGAS SANDS	P	2010-07-06	2012-04-11
73 SHS TURKIYE GARANTI BANKASI AS ADR	P	2011-01-06	2012-10-17
15 SHS BANCO BRADESCO S A ADR PFD NEW	P	2011-01-08	2012-10-26
20 SHS HDFC BANK LTD ADR	P	2011-01-06	2012-10-26
21 SHS HDFC BANK LTD ADR	P	2011-01-06	2012-10-26
4 SHS TURKIYE GARANTI BANKASI AS ADR	P	2011-01-06	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
53 SHS TURKIYE GARANTI BANKASI AS ADR	P	2011-01-06	2012-10-26
106 SHS TURKIYE GARANTI BANKASI AS ADR	P	2011-01-06	2012-10-26
1 SHS AMERICA MOVIL SAB DE CV	P	2010-10-05	2012-07-27
67 SHS TURKIYE GARANTI BANKASI AS ADR	P	2011-01-04	2012-10-26
12 SHS INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR10 ORD)	P	2010-10-27	2012-08-27
7 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2010-10-25	2012-08-27
7 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2010-12-21	2012-10-26
17 SHS YANZHOU COAL MINING CO LTD AMERICAN DEPOSITORY SHAR	P	2010-10-28	2012-09-05
21 SHS NASPERS LTD SP ADR	P	2010-10-18	2012-08-27
3 SHS LAS VEGAS SANDS	P	2010-12-15	2012-10-26
3 SHS POSCO SPONS ADR	P	2010-12-15	2012-10-26
23 SHS ITAU UNIBANCO HLDNG S A	P	2010-12-13	2012-10-26
5 SHS PETROLEO BRASIL ADR	P	2010-10-14	2012-08-27
11 SHS YANZHOU COAL MINING CO LTD AMERICAN DEPOSITORY SHAR	P	2010-10-28	2012-09-10
32 SHS AMERICA MOVIL SAB DE CV	P	2010-10-12	2012-08-27
1 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2010-11-04	2012-09-19
47 SHS YANZHOU COAL MINING CO LTD AMERICAN DEPOSITORY SHAR	P	2010-10-04	2012-08-21
2 SHS INFOSYS TECHNOLOGIES ADR (REPR 1/2 INR10 ORD)	P	2010-10-08	2012-08-27
21 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2010-10-06	2012-08-27
17 SHS AMERICA MOVIL SAB DE CV	P	2010-10-05	2012-08-27
4 SHS PETROLEO BRASIL ADR	P	2010-12-03	2012-10-26
5 SHS PETROLEO BRASILIERO PETROBRAS SA ADR (R	P	2010-12-03	2012-10-26
25 SHS YANZHOU COAL MINING CO LTD AMERICAN DEPOSITORY SHAR	P	2010-10-04	2012-08-27
7 SHS POSCO SPONS ADR	P	2010-12-02	2012-10-26
3 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2010-10-25	2012-09-19
1 SHS CNOOC LTD ADR	P	2010-10-01	2012-08-27
15 SHS YANZHOU COAL MINING CO LTD AMERICAN DEPOSITORY SHAR	P	2010-10-04	2012-09-05
61 SHS ITAU UNIBANCO HLDNG S A	P	2010-11-12	2012-10-26
6 SHS NASPERS LTD SP ADR	P	2010-10-18	2012-10-02
60 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2010-11-09	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 SHS LUKOIL (OAO) ADR EACH REPR 4 ORD RUB0 25	P	2010-11-04	2012-10-26
15 SHS NASPERS LTD SP ADR	P	2010-11-02	2012-10-26
26 SHS VALE S A ADR	P	2010-10-21	2012-10-26
11 SHS NASPERS LTD SP ADR	P	2010-10-18	2012-10-26
28 SHS PETROLEO BRASIL ADR	P	2010-10-14	2012-10-26
1 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR (R	P	2010-10-14	2012-10-26
16 SHS AMERICA MOVIL SAB DE CV	P	2010-10-12	2012-10-26
8 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2010-10-12	2012-10-26
64 SHS TAIWAN SEMICONDUCTOR MANUFACTU ADR	P	2010-10-12	2012-10-26
35 SHS ITAU UNIBANCO HLDNG S A	P	2010-10-11	2012-10-26
46 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2010-10-08	2012-10-26
4 SHS CNOOC LTD ADR	P	2010-10-07	2012-10-26
22 SHS KB FINANCIAL GROUP ADR	P	2010-10-06	2012-10-26
56 SHS ITAU UNIBANCO HLDNG S A	P	2010-10-05	2012-10-26
7 SHS PETROLEO BRASIL ADR	P	2010-03-24	2012-04-17
2 SHS CNOOC LTD ADR	P	2010-10-01	2012-10-26
11 SHS LAS VEGAS SANDS	P	2009-12-10	2012-01-10
18 SHS PETROLEO BRASIL ADR	P	2010-03-24	2012-04-23
4 SHS CNOOC LTD ADR	P	2010-07-26	2012-08-27
16 SHS AMERICA MOVIL SAB DE CV	P	2010-06-21	2012-07-27
24 SHS YANZHOU COAL MINING CO LTD AMERICAN DEPOSITORY SHAR	P	2010-07-08	2012-08-13
22 SHS YANZHOU COAL MINING CO LTD AMERICAN DEPOSITORY SHAR	P	2010-07-08	2012-08-21
9 SHS LAS VEGAS SANDS	P	2010-07-06	2012-08-27
24 SHS KB FINANCIAL GROUP ADR	P	2010-08-30	2012-10-26
29 SHS KB FINANCIAL GROUP ADR	P	2010-06-24	2012-08-27
26 SHS PETROLEO BRASIL ADR	P	2010-02-08	2012-04-17
39 SHS ITAU UNIBANCO HLDNG S A	P	2010-06-18	2012-08-27
14 SHS VALE S A ADR	P	2010-08-17	2012-10-26
17 SHS VALE S A ADR	P	2010-08-09	2012-10-26
12 SHS PETROLEO BRASIL ADR	P	2010-01-14	2012-04-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 SHS PETROLEO BRASIL ADR	P	2010-01-26	2012-04-17
11 SHS VALE S A ADR	P	2010-08-05	2012-10-26
4 SHS VALE S A ADR	P	2010-08-02	2012-10-26
3 SHS PETROLEO BRASIL ADR	P	2010-01-14	2012-04-17
12 SHS MOBILE TELESYSTEMS SP ADR	P	2009-12-02	2012-04-04
1 SHS KB FINANCIAL GROUP ADR	P	2010-06-24	2012-10-26
35 SHS ITAU UNIBANCO HLDNG S A	P	2010-06-18	2012-10-26
10 SHS PETROLEO BRASIL ADR	P	2009-11-19	2012-04-04
13 SHS AMERICA MOVIL SAB DE CV	P	2010-01-27	2012-06-15
21 SHS PETROLEO BRASIL ADR	P	2010-03-24	2012-08-27
7 SHS AMERICA MOVIL SAB DE CV	P	2010-01-27	2012-07-27
1 SHS VALE S A ADR	P	2010-01-26	2012-07-27
29 SHS VALE S A ADR	P	2010-01-14	2012-07-27
27 SHS VALE S A ADR	P	2010-02-08	2012-08-27
29 SHS VALE S A ADR	P	2010-01-26	2012-08-27
2 SHS YANZHOU COAL MINING CO LTD AMERICAN DEPOSITORY SHAR	P	2009-12-15	2012-08-13
12 SHS YANZHOU COAL MINING CO LTD AMERICAN DEPOSITORY SHAR	P	2009-12-14	2012-08-13
28 SHS VALE S A ADR	P	2010-02-08	2012-10-26
2 SHS ITAU UNIBANCO HLDNG S A	P	2009-04-06	2012-02-07
15 SHS ITAU UNIBANCO HLDNG S A	P	2009-04-06	2012-02-07
56 SHS ITAU UNIBANCO HLDNG S A	P	2009-04-06	2012-02-07
35 SHS KB FINANCIAL GROUP ADR	P	2009-03-31	2012-02-21
7 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2009-02-10	2012-02-22
5 SHS KB FINANCIAL GROUP ADR	P	2009-02-04	2012-02-21
49 SHS ITAU UNIBANCO HLDNG S A	P	2009-04-06	2012-04-30
3 SHS CNOOC LTD ADR	P	2008-12-05	2012-01-19
48 SHS ITAU UNIBANCO HLDNG S A	P	2009-04-06	2012-05-21
49 SHS ITAU UNIBANCO HLDNG S A	P	2008-12-17	2012-02-07
29 SHS MOBILE TELESYSTEMS SP ADR	P	2009-02-03	2012-03-30
32 SHS ITAU UNIBANCO HLDNG S A	P	2008-12-09	2012-02-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 SHS MOBILE TELESYSTEMS SP ADR	P	2009-02-03	2012-04-04
5 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2008-12-09	2012-02-22
20 SHS MOBILE TELESYSTEMS SP ADR	P	2009-01-14	2012-03-30
7 SHS CNOOC LTD ADR	P	2008-12-05	2012-02-21
20 SHS VALE S A ADR	P	2008-12-09	2012-03-07
12 SHS VALE S A ADR	P	2008-12-09	2012-03-08
3 SHS KB FINANCIAL GROUP ADR	P	2008-11-17	2012-02-21
1 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2008-11-17	2012-02-21
39 SHS GERDAU SA -SPON ADR	P	2008-11-19	2012-03-07
5 SHS KB FINANCIAL GROUP ADR	P	2008-10-28	2012-02-21
21 SHS GERDAU SA -SPON ADR	P	2008-10-21	2012-02-16
5 SHS KB FINANCIAL GROUP ADR	P	2008-10-13	2012-02-16
7 SHS KB FINANCIAL GROUP ADR	P	2008-10-13	2012-02-21
33 SHS MOBILE TELESYSTEMS SP ADR	P	2008-11-18	2012-03-30
60 SHS GERDAU SA -SPON ADR	P	2008-10-21	2012-03-07
17 SHS ITAU UNIBANCO HLDNG S A	P	2009-04-06	2012-08-27
25 SHS ITAU UNIBANCO HLDNG S A	P	2009-04-06	2012-08-27
12 SHS MOBILE TELESYSTEMS SP ADR	P	2008-11-07	2012-03-30
4 SHS KB FINANCIAL GROUP ADR	P	2009-03-31	2012-08-27
2 SHS VALE S A ADR	P	2008-09-18	2012-03-07
31 SHS GERDAU SA -SPON ADR	P	2008-08-21	2012-02-16
2 SHS VALE S A ADR	P	2008-07-17	2012-01-12
2 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2008-08-22	2012-02-21
24 SHS VALE S A ADR	P	2008-08-28	2012-03-07
16 SHS AMERICA MOVIL SAB DE CV	P	2008-12-01	2012-06-15
17 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2009-02-10	2012-08-27
5 SHS ITAU UNIBANCO HLDNG S A	P	2008-07-22	2012-02-07
64 SHS TAIWAN SEMICONDUCTOR MANUFACTU ADR	P	2009-02-06	2012-08-27
25 SHS VALE S A ADR	P	2008-07-17	2012-02-16
89 SHS TAIWAN SEMICONDUCTOR MANUFACTU ADR	P	2009-03-26	2012-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 SHS VALE S A ADR	P	2008-07-17	2012-03-07
2 SHS MOBILE TELESYSTEMS SP ADR	P	2008-08-07	2012-03-30
9 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2008-10-15	2012-06-11
4 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2008-06-24	2012-02-21
1 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2008-06-02	2012-02-09
51 SHS TAIWAN SEMICONDUCTOR MANUFACTU ADR	P	2009-02-06	2012-10-17
2 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2009-02-10	2012-10-26
5 SHS CNOOC LTD ADR	P	2008-12-05	2012-08-21
2 SHS TAIWAN SEMICONDUCTOR MANUFACTU ADR	P	2009-02-06	2012-10-26
11 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2008-06-02	2012-02-21
2 SHS CNOOC LTD ADR	P	2008-12-05	2012-08-27
12 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2008-09-18	2012-06-11
16 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2008-07-09	2012-04-17
1 SHS VALE S A ADR	P	2008-04-04	2012-01-12
12 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-09-09	2012-06-29
5 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2008-04-17	2012-02-09
9 SHS SHINHAN FINL GROUP CO LTD SPN A DR	P	2008-04-16	2012-02-09
3 SHS KB FINANCIAL GROUP ADR	P	2008-04-16	2012-02-16
14 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-08-26	2012-06-29
7 SHS KB FINANCIAL GROUP ADR	P	2008-04-09	2012-02-16
28 SHS TAIWAN SEMICONDUCTOR MANUFACTU ADR	P	2008-08-12	2012-06-20
33 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2008-10-15	2012-08-27
30 SHS TAIWAN SEMICONDUCTOR MANUFACTU ADR	P	2008-09-25	2012-08-27
3 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2008-07-09	2012-06-11
35 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-09-22	2012-08-27
14 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-11-19	2012-10-26
12 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-11-18	2012-10-26
58 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-09-09	2012-08-27
38 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-01-31	2012-01-25
3 SHS AMERICA MOVIL SAB DE CV	P	2008-06-20	2012-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2008-10-15	2012-10-26
6 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-06-16	2012-06-29
23 SHS TAIWAN SEMICONDUCTOR MANUFACTU ADR	P	2008-08-12	2012-08-27
24 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-06-10	2012-06-29
79 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-09-22	2012-10-26
8 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2007-12-18	2012-01-25
11 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-03-14	2012-06-21
5 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-03-14	2012-06-29
32 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2008-01-31	2012-06-21
12 SHS VALE S A ADR	P	2006-09-21	2012-01-12
10 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2006-12-12	2012-04-04
15 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2006-12-08	2012-04-04
30 SHS PETROLEO BRASILEIRO PETROBRAS SA ADR	P	2006-12-12	2012-04-17
177 SHS MARINE HARVEST ASA UNSPONSORED ADR	P	2011-08-24	2012-08-27
64 SHS HOME RETAIL GROUP PLC ADR	P	2011-12-19	2012-12-24
61 SHS KINROSS GOLD CORP NEW	P	2011-09-27	2012-10-05
1124 SHS ALSTOM ADR	P	2011-08-18	2012-08-27
142 SHS CAMECO CORP CAD COM	P	2011-12-19	2012-12-28
991 SHS CARREFOUR SA (F) SPONSORED ADR	P	2011-12-19	2012-12-28
239 SHS HOME RETAIL GROUP PLC ADR	P	2011-12-19	2012-12-28
609 SHS ELECTRICITY DE FRANCE FRF SHARES	P	2011-12-16	2012-12-28
99 SHS NEWCREST MINING LTD SPONSORED AD R	P	2011-12-16	2012-12-28
72 SHS ROHM CO LTD UNSPONSORED ADR (JAPAN)	P	2011-12-16	2012-12-28
477 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2011-08-11	2012-08-27
223 SHS HOME RETAIL GROUP PLC ADR	P	2011-01-06	2012-01-26
415 SHS FINMECCANICA SPA ROMA UNSPONSORED ADR	P	2011-08-03	2012-08-27
108 SHS UBS AG-REG	P	2011-08-03	2012-08-27
933 SHS POLYUS GOLD INTL LTDGDR ** ISIN US73180Y2037 **	P	2011-07-26	2012-08-27
48 SHS TELKOM PT ADR 20 ORD	P	2011-02-17	2012-04-17
9 SHS CAMECO CORP CAD COM	P	2011-06-27	2012-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
32 SHS TELKOM PT ADR 20 ORD	P	2011-02-24	2012-04-26
115 SHS TALISMAN ENERGY	P	2011-10-25	2012-12-28
75 SHS TELKOM PT ADR 20 ORD	P	2011-02-17	2012-04-26
117 SHS KINROSS GOLD CORP NEW	P	2011-10-14	2012-12-28
327 SHS HOME RETAIL GROUP PLC ADR	P	2011-06-09	2012-08-27
21 SHS CAMECO CORP CAD COM	P	2011-06-03	2012-08-27
362 SHS ALSTOM ADR	P	2011-10-03	2012-12-28
163 SHS CARREFOUR SA (F) SPONSORED ADR	P	2011-10-03	2012-12-28
350 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2011-09-30	2012-12-28
9 SHS UBS AG-REG	P	2011-08-03	2012-11-02
15 SHS BARRICK GOLD CORP COM	P	2011-09-27	2012-12-28
23 SHS SIEMENS AG SPONS ADR	P	2011-09-27	2012-12-28
383 SHS ALUMINA LTD SPONSORED ADR	P	2011-09-26	2012-12-28
247 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2010-10-15	2012-01-20
161 SHS POLYUS GOLD INTL LTDGDR ** ISIN US73180Y2037 **	P	2011-09-20	2012-12-28
198 SHS POLYUS GOLD INTL LTDGDR ** ISIN US73180Y2037 **	P	2011-09-15	2012-12-28
207 SHS MARINE HARVEST ASA UNSPONSORED ADR	P	2011-09-08	2012-12-28
409 SHS NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	P	2011-05-31	2012-09-24
44 SHS DAIICHI SANKYO CO LTD SPONSORED ADR LEVEL I	P	2011-04-26	2012-08-27
82 SHS CAMECO CORP CAD COM	P	2011-08-26	2012-12-28
546 SHS ALSTOM ADR	P	2011-08-18	2012-12-28
94 SHS TELKOM PT ADR 20 ORD	P	2011-02-24	2012-07-06
347 SHS ALLIANZ SE ADR	P	2011-08-09	2012-12-28
163 SHS CAMECO CORP CAD COM	P	2011-08-08	2012-12-28
123 SHS WOLTERS KLUWER N V SPONSORED ADR	P	2011-08-05	2012-12-28
595 SHS POLYUS GOLD INTL LTDGDR ** ISIN US73180Y2037 **	P	2011-08-03	2012-12-27
1382 SHS FINMECCANICA SPA ROMA UNSPONSORED ADR	P	2011-08-03	2012-12-28
144 SHS POLYUS GOLD INTL LTDGDR ** ISIN US73180Y2037 **	P	2011-08-03	2012-12-28
108 SHS UBS AG-REG	P	2011-08-03	2012-12-28
1512 SHS SUMITOMO MITSUI TRUST HOLDINGS I NC	P	2011-04-01	2012-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
161 SHS NINTENDO LTD UNSPONSORED ADR	P	2011-08-01	2012-12-28
205 SHS DAIICHI SANKYO CO LTD SPONSORED ADR LEVEL I	P	2011-03-29	2012-08-27
1 SHS POLYUS GOLD INTL LTDGDR ** ISIN US73180Y2037 **	P	2011-07-26	2012-12-27
36 SHS TOYOTA MTR CORP COM STK	P	2010-09-08	2012-02-16
155 SHS CAMECO CORP CAD COM	P	2011-03-15	2012-08-27
62 SHS EAST JAPAN RY CO	P	2011-03-02	2012-08-27
34 SHS CAMECO CORP CAD COM	P	2011-06-27	2012-12-28
60 SHS KINROSS GOLD CORP NEW	P	2011-02-24	2012-08-27
368 SHS EAST JAPAN RY CO	P	2011-02-23	2012-08-27
319 SHS SEKISUI HOUSE LTD SPONS ADR	P	2011-06-22	2012-12-28
186 SHS NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	P	2011-03-16	2012-09-24
39 SHS NEWCREST MINING LTD SPONSORED AD R	P	2011-02-08	2012-08-27
151 SHS SHISEIDO LTD SPONSORED ADR	P	2011-06-01	2012-12-28
149 SHS ASTRAZENECA PLC SPONS ADR	P	2011-02-08	2012-09-07
158 SHS SHISEIDO LTD SPONSORED ADR	P	2011-05-27	2012-12-28
190 SHS KINROSS GOLD CORP NEW	P	2011-01-21	2012-08-27
62 SHS NINTENDO LTD UNSPONSORED ADR	P	2011-05-24	2012-12-28
64 SHS KINROSS GOLD CORP NEW	P	2011-02-24	2012-10-05
432 SHS NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	P	2011-02-11	2012-09-24
105 SHS HOME RETAIL GROUP PLC ADR	P	2011-01-06	2012-08-27
1 SHS BARRICK GOLD CORP COM	P	2011-01-20	2012-09-18
184 SHS DAIICHI SANKYO CO LTD SPONSORED ADR LEVEL I	P	2011-04-26	2012-12-28
137 SHS ELECTRICITY DE FRANCE FRF SHARES	P	2011-04-07	2012-12-28
599 SHS MS&AD INS GROUP HLDGS ADR	P	2011-04-07	2012-12-28
347 SHS SOCIETE GENERALE PARIS ADR	P	2010-05-17	2012-02-08
427 SHS CARREFOUR SA (F) SPONSORED ADR	P	2010-12-02	2012-08-27
1308 SHS SUMITOMO MITSUI TRUST HOLDINGS I NC	P	2011-04-01	2012-12-28
136 SHS EMBRAER S A ADR	P	2010-05-07	2012-02-07
1439 SHS FINMECCANICA SPA ROMA UNSPONSORED ADR	P	2010-11-22	2012-08-27
173 SHS PANASONIC CORP ADR	P	2011-03-17	2012-12-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
640 SHS ELECTRICITY DE FRANCE FRF SHARES	P	2010-11-10	2012-08-27
117 SHS NEXEN INC COM	P	2010-10-06	2012-07-23
285 SHS EAST JAPAN RY CO	P	2011-03-02	2012-12-28
59 SHS ROHM CO LTD UNSPONSORED ADR (JAPAN)	P	2010-10-28	2012-08-27
162 SHS SK TELECOM CO LTD AD R (SPONSORED) REP 1	P	2011-02-24	2012-12-28
1 SHS TOYOTA MTR CORP COM STK	P	2010-10-21	2012-08-27
299 SHS OAO GAZPROM ADR LEVEL 1 ADR PROGRAM	P	2010-10-15	2012-08-27
75 SHS ANGLOGOLD ASHANTI LIMITED ADR NEW	P	2011-02-08	2012-12-28
38 SHS CAMECO CORP CAD COM	P	2010-04-06	2012-02-24
149 SHS GLAXO SMITHKLINE SPONS PLC ADR	P	2011-02-08	2012-12-28
84 SHS BARRICK GOLD CORP COM	P	2011-01-20	2012-12-28
25 SHS TOYOTA MTR CORP COM STK	P	2010-09-13	2012-08-27
42 SHS TOYOTA MTR CORP COM STK	P	2010-09-08	2012-08-27
112 SHS KINROSS GOLD CORP NEW	P	2010-08-18	2012-08-27
101 SHS CAMECO CORP CAD COM	P	2010-02-10	2012-02-24
240 SHS NIPPON TELEG & TEL CORP SPONS ADR	P	2010-12-14	2012-12-28
146 SHS MS&AD INS GROUP HLDGS ADR	P	2010-08-11	2012-08-27
399 SHS TELECOM ITAL A	P	2010-12-10	2012-12-28
129 SHS MS&AD INS GROUP HLDGS ADR	P	2010-08-04	2012-08-27
15 SHS ELECTRICITY DE FRANCE FRF SHARES	P	2010-11-10	2012-12-28
136 SHS ROHM CO LTD UNSPONSORED ADR (JAPAN)	P	2010-10-28	2012-12-28
196 SHS NEXEN INC COM	P	2010-05-18	2012-07-23
58 SHS TOYOTA MTR CORP COM STK	P	2010-10-21	2012-12-28
96 SHS NINTENDO LTD UNSPONSORED ADR	P	2010-10-04	2012-12-28
95 SHS NEWCREST MINING LTD	P	2010-05-28	2012-08-27
158 SHS PANASONIC CORP ADR	P	2010-09-27	2012-12-28
124 SHS CAMECO CORP CAD COM	P	2010-05-25	2012-08-27
94 SHS NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	P	2010-05-24	2012-08-27
121 SHS EMBRAER S A ADR	P	2009-11-02	2012-02-07
554 SHS ALLIANZ SE ADR	P	2010-05-21	2012-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
669 SHS FINMECCANICA SPA ROMA UNSPONSORED ADR	P	2010-05-17	2012-08-27
41 SHS GLAXO SMITHKLINE SPONS PLC ADR	P	2010-01-08	2012-04-30
163 SHS NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	P	2010-04-30	2012-08-27
8 SHS NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	P	2010-05-24	2012-09-24
74 SHS ASTRAZENECA PLC SPONS ADR	P	2010-04-22	2012-08-27
91 SHS GLAXO SMITHKLINE SPONS PLC ADR	P	2010-04-22	2012-08-27
19 SHS ASTRAZENECA PLC SPONS ADR	P	2010-04-22	2012-09-07
157 SHS MS&AD INS GROUP HLDGS ADR	P	2010-08-11	2012-12-28
138 SHS CAMECO CORP CAD COM	P	2010-04-06	2012-08-27
723 SHS ELECTRICITY DE FRANCE FRF SHARES	P	2010-03-24	2012-08-27
87 SHS MS&AD INS GROUP HLDGS ADR	P	2010-02-24	2012-08-27
65 SHS ALLIANZ SE ADR	P	2010-05-21	2012-11-27
46 SHS ASTRAZENECA PLC SPONS ADR	P	2010-01-11	2012-07-27
129 SHS KINROSS GOLD CORP NEW	P	2010-02-04	2012-08-27
180 SHS UBS AG-REG	P	2010-01-22	2012-08-27
27 SHS ALLIANZ SE ADR	P	2010-05-21	2012-12-28
462 SHS ALUMINA LTD SPONSORED ADR	P	2010-05-18	2012-12-28
78 SHS ASTRAZENECA PLC SPONS ADR	P	2010-01-14	2012-08-27
89 SHS GLAXO SMITHKLINE SPONS PLC ADR	P	2010-01-14	2012-08-27
18 SHS ASTRAZENECA PLC SPONS ADR	P	2010-01-11	2012-08-27
6 SHS GLAXO SMITHKLINE SPONS PLC ADR	P	2010-01-08	2012-08-27
22 SHS GLAXO SMITHKLINE SPONS PLC ADR	P	2010-04-22	2012-12-28
72 SHS BARRICK GOLD CORP COM	P	2009-12-17	2012-08-27
171 SHS NEXEN INC COM	P	2009-10-28	2012-07-23
17 SHS BARRICK GOLD CORP COM	P	2009-12-17	2012-09-18
325 SHS NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	P	2009-11-18	2012-08-27
217 SHS KINROSS GOLD CORP NEW	P	2009-10-30	2012-08-27
432 SHS CARREFOUR SA (F) SPONSORED ADR	P	2009-10-28	2012-08-27
385 SHS CARREFOUR SA (F) SPONSORED ADR	P	2009-10-22	2012-08-27
239 SHS AXIS CAPITAL HLDGS	P	2009-10-21	2012-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
408 SHS CARREFOUR SA (F) SPONSORED ADR	P	2009-10-05	2012-08-27
238 SHS GOLD FIELDS LTD SP ADR	P	2010-02-04	2012-12-28
403 SHS CARREFOUR SA (F) SPONSORED ADR	P	2009-09-25	2012-08-27
139 SHS AXIS CAPITAL HLDGS	P	2010-01-25	2012-12-28
67 SHS ANGLOGOLD ASHANTI LIMITED ADR NEW	P	2010-01-21	2012-12-28
68 SHS MS&AD INS GROUP HLDGS ADR	P	2009-08-27	2012-08-27
98 SHS NINTENDO LTD UNSPONSORED ADR	P	2009-08-27	2012-08-27
105 SHS NINTENDO LTD UNSPONSORED ADR	P	2009-08-20	2012-08-27
274 SHS KINROSS GOLD CORP NEW	P	2009-08-19	2012-08-27
153 SHS BARRICK GOLD CORP COM	P	2009-08-18	2012-08-27
8 SHS HACHIJUNI BK LTD ADR	P	2009-10-05	2012-10-18
253 SHS SOCIETE GENERALE PARIS ADR	P	2009-01-16	2012-02-08
44 SHS NINTENDO LTD UNSPONSORED ADR	P	2009-08-03	2012-08-27
137 SHS NEWCREST MINING LTD	P	2009-07-31	2012-08-27
4 SHS SEVEN & I HOLDINGS-UNSPN ADR	P	2009-04-13	2012-05-10
159 SHS NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	P	2009-07-20	2012-08-27
31 SHS HACHIJUNI BK LTD ADR	P	2009-10-05	2012-11-26
2 SHS AXIS CAPITAL HLDGS	P	2009-10-21	2012-12-28
250 SHS CENTRAIS ELETRICAS BRASILEIRAS ADR PFD	P	2008-10-23	2012-01-10
25 SHS SEVEN & I HOLDINGS-UNSPN ADR	P	2009-02-18	2012-05-10
76 SHS SEVEN & I HOLDINGS-UNSPN ADR	P	2009-06-04	2012-08-27
101 SHS SK TELECOM CO LTD AD R (SPONSORED) REP 1	P	2009-05-19	2012-08-27
144 SHS WOLTERS KLUWER N V SPONSORED ADR	P	2009-05-05	2012-08-27
184 SHS WOLTERS KLUWER N V SPONSORED ADR	P	2009-04-29	2012-08-27
20 SHS NINTENDO LTD UNSPONSORED ADR	P	2009-08-27	2012-12-28
89 SHS SEVEN & I HOLDINGS-UNSPN ADR	P	2009-08-20	2012-12-28
136 SHS SK TELECOM CO LTD AD R (SPONSORED) REP 1	P	2009-08-19	2012-12-28
34 SHS SEVEN & I HOLDINGS-UNSPN ADR	P	2009-04-13	2012-08-27
62 SHS BARRICK GOLD CORP COM	P	2009-04-09	2012-08-27
309 SHS SK TELECOM CO LTD AD R (SPONSORED) REP 1	P	2009-04-09	2012-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
30 SHS SHISEIDO LTD SPONSORED ADR	P	2009-03-31	2012-08-27
121 SHS SK TELECOM CO LTD AD R (SPONSORED) REP 1	P	2009-05-19	2012-10-16
122 SHS CAMECO CORP CAD COM	P	2008-09-16	2012-02-24
171 SHS NEXEN INC COM	P	2009-01-28	2012-07-23
117 SHS SANOFI-AVENTIS ADR	P	2009-07-01	2012-12-28
163 SHS WOLTERS KLUWER N V SPONSORED ADR	P	2009-02-23	2012-08-27
168 SHS NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	P	2009-02-19	2012-08-27
208 SHS WOLTERS KLUWER N V SPONSORED ADR	P	2009-06-11	2012-12-28
8 SHS SEVEN & I HOLDINGS-UNSPN ADR	P	2009-06-04	2012-12-28
407 SHS NOKIA CORP ADR SHRS EACH REPSTG 1 A SHARE	P	2009-01-30	2012-08-27
111 SHS ROHM CO LTD UNSPONSORED ADR (JAPAN)	P	2009-01-23	2012-08-27
68 SHS ROHM CO LTD UNSPONSORED ADR (JAPAN)	P	2009-01-16	2012-08-27
237 SHS SK TELECOM CO LTD AD R (SPONSORED) REP 1	P	2009-05-19	2012-12-28
28 SHS WOLTERS KLUWER N V SPONSORED ADR	P	2009-05-05	2012-12-28
167 SHS SHISEIDO LTD SPONSORED ADR	P	2008-12-17	2012-08-27
160 SHS NIPPON TELEG & TEL CORP SPONS ADR	P	2009-04-13	2012-12-28
127 SHS SHISEIDO LTD SPONSORED ADR	P	2009-03-31	2012-12-28
6 SHS VODAFONE GP PLC ADS NEW	P	2008-10-27	2012-08-27
41 SHS SIEMENS AG SPONS ADR	P	2008-10-24	2012-08-27
104 SHS CENTRAIS ELETRICAS BRASILEIRAS ADR PFD	P	2008-10-23	2012-08-27
16 SHS SIEMENS AG SPONS ADR	P	2008-09-29	2012-08-27
159 SHS VODAFONE GP PLC ADS NEW	P	2008-09-24	2012-08-27
90 SHS BARRICK GOLD CORP COM	P	2008-09-16	2012-08-27
112 SHS NEWCREST MINING LTD	P	2008-08-13	2012-08-27
46 SHS HACHIJUNI BK LTD ADR	P	2008-08-08	2012-08-27
1 SHS SANOFI-AVENTIS ADR	P	2008-08-01	2012-08-27
114 SHS ANGLOGOLD ASHANTI LIMITED ADR NEW	P	2008-07-25	2012-08-27
272 SHS VODAFONE GP PLC ADS NEW	P	2008-10-27	2012-12-28
45 SHS KOREA ELEC PWR CO SPONS ADR	P	2008-10-29	2012-12-31
28 SHS SIEMENS AG SPONS ADR	P	2008-10-24	2012-12-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
112 SHS UBS AG-REG	P	2008-06-19	2012-08-27
16 SHS HACHIJUNI BK LTD ADR	P	2008-08-08	2012-10-18
29 SHS HACHIJUNI BK LTD ADR	P	2008-06-11	2012-08-27
120 SHS SK TELECOM CO LTD AD R (SPONSORED) REP 1	P	2008-06-11	2012-08-27
47 SHS SANOFI-AVENTIS ADR	P	2008-04-08	2012-07-13
148 SHS SANOFI-AVENTIS ADR	P	2008-05-22	2012-08-27
93 SHS GOLD FIELDS LTD SP ADR	P	2008-05-21	2012-08-27
15 SHS UBS AG-REG	P	2008-05-20	2012-08-27
134 SHS MS&AD INS GROUP HLDGS ADR	P	2007-11-08	2012-02-27
14 SHS SANOFI-AVENTIS ADR	P	2008-04-14	2012-08-09
65 SHS SK TELECOM CO LTD AD R (SPONSORED) REP 1	P	2008-04-28	2012-08-27
19 SHS SANOFI-AVENTIS ADR	P	2008-04-08	2012-08-09
46 SHS SANOFI-AVENTIS ADR	P	2008-04-14	2012-08-27
110 SHS GOLD FIELDS LTD SP ADR	P	2008-04-07	2012-08-27
78 SHS SANOFI-AVENTIS ADR	P	2008-08-01	2012-12-28
95 SHS ANGLOGOLD ASHANTI LIMITED ADR NEW	P	2008-07-25	2012-12-28
73 SHS SANOFI-AVENTIS ADR	P	2007-10-03	2012-03-08
41 SHS ANGLOGOLD ASHANTI LIMITED ADR NEW	P	2008-03-18	2012-08-27
329 SHS SEKISUI HOUSE LTD SPONS ADR	P	2008-03-06	2012-08-27
111 SHS UBS AG-REG	P	2008-02-29	2012-08-27
218 SHS GOLD FIELDS LTD SP ADR	P	2008-02-06	2012-08-27
137 SHS SEKISUI HOUSE LTD SPONS ADR	P	2007-09-25	2012-04-17
123 SHS SEKISUI HOUSE LTD SPONS ADR	P	2007-09-12	2012-04-17
105 SHS UBS AG-REG	P	2008-01-22	2012-08-27
247 SHS GOLD FIELDS LTD SP ADR	P	2008-05-21	2012-12-28
98 SHS UBS AG-REG	P	2008-01-18	2012-08-27
259 SHS TELECOM ITAL A	P	2008-05-02	2012-12-28
240 SHS MS&AD INS GROUP HLDGS ADR	P	2007-12-04	2012-08-27
228 SHS MS&AD INS GROUP HLDGS ADR	P	2007-11-29	2012-08-27
14 SHS KOREA ELEC PWR CO SPONS ADR	P	2008-03-17	2012-12-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
56 SHS KOREA ELEC PWR CO SPONS ADR	P	2008-03-17	2012-12-17
90 SHS ALUMINA LTD SPONSORED ADR	P	2007-11-19	2012-08-27
3 SHS SANOFI-AVENTIS ADR	P	2007-10-03	2012-07-13
113 SHS KOREA ELEC PWR CO SPONS ADR	P	2008-03-17	2012-12-31
94 SHS MS&AD INS GROUP HLDGS ADR	P	2007-11-08	2012-08-27
186 SHS SEKISUI HOUSE LTD SPONS ADR	P	2008-03-06	2012-12-28
131 SHS FUJI PHOTO FILM CO LTD ADR	P	2008-03-05	2012-12-28
118 SHS NIPPON TELEG & TEL CORP SPONS ADR	P	2007-09-27	2012-08-27
226 SHS SEKISUI HOUSE LTD SPONS ADR	P	2007-09-25	2012-08-27
346 SHS PANASONIC CORP ADR	P	2007-08-17	2012-08-27
64 SHS ALUMINA LTD SPONSORED ADR	P	2007-11-19	2012-12-28
209 SHS NIPPON TELEG & TEL CORP SPONS ADR	P	2007-06-14	2012-08-27
207 SHS NIPPON TELEG & TEL CORP SPONS ADR	P	2007-05-30	2012-08-27
65 SHS NIPPON TELEG & TEL CORP SPONS ADR	P	2007-09-27	2012-12-28
224 SHS PANASONIC CORP ADR	P	2007-09-11	2012-12-28
122 SHS PANASONIC CORP ADR	P	2007-08-17	2012-12-28
137 SHS CENTRAIS ELETRICAS B RASILEIRAS SA ELECTR	P	2007-08-03	2012-12-28
71 SHS CENTRAIS ELETRICAS B RASILEIRAS SA ELECTR	P	2007-05-30	2012-12-28
105 SHS VODAFONE GP PLC ADS NEW	P	2006-05-25	2012-01-05
29 SHS KOREA ELEC PWR CO SPONS ADR	P	2006-10-06	2012-08-27
49 SHS NEWCREST MINING LTD SPONSORED AD R	P	2006-09-06	2012-08-27
169 SHS ALUMINA LTD SPONSORED ADR	P	2006-08-07	2012-08-27
100 SHS VODAFONE GP PLC ADS NEW	P	2006-08-04	2012-08-27
132 SHS KOREA ELEC PWR CO SPONS ADR	P	2006-10-06	2012-12-17
67 SHS VODAFONE GP PLC ADS NEW	P	2006-05-25	2012-08-07
76 SHS TELECOM ITAL A	P	2006-05-09	2012-08-27
91 SHS FUJI PHOTO FILM CO LTD ADR	P	2006-03-22	2012-08-27
360 SHS DAI NIPPON PRINTING CO LTD	P	2006-06-21	2012-12-28
40 SHS TELECOM ITAL A	P	2006-05-09	2012-12-28
147 SHS TELECOM ITAL A FIRST IN FIRST OUT	P	2005-11-25	2012-08-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
50 SHS TELECOM ITAL A	P	2005-11-23	2012-08-27
39 SHS TELECOM ITAL A	P	2005-11-22	2012-08-27
83 SHS TELECOM ITAL A	P	2005-11-21	2012-08-27
228 SHS FUJI PHOTO FILM CO LTD ADR	P	2006-03-22	2012-12-28
138 SHS SWISSCOM AG- SPONSORED ADR	P	2006-01-27	2012-12-28
74 SHS TELECOM ITAL A	P	2005-09-15	2012-08-27
120 SHS TELECOM ITAL A	P	2005-09-14	2012-08-27
53 SHS ALUMINA LTD SPONSORED ADR	P	2005-03-23	2012-08-27
529 SHS DAI NIPPON PRINTING CO LTD	P	2005-03-23	2012-08-27
312 SHS SHISEIDO LTD SPONSORED ADR	P	2005-03-23	2012-08-27
215 SHS SWISSCOM AG- SPONSORED ADR	P	2005-03-23	2012-08-27
226 SHS TELECOM ITAL A	P	2005-03-23	2012-08-27
92 SHS WACOAL HOLDINGS CORP KYOTO ADR	P	2005-03-23	2012-08-27
471 SHS KOREA ELEC PWR CO SPONS ADR	P	2005-03-22	2012-08-27
519 SHS DAI NIPPON PRINTING CO LTD	P	2005-03-23	2012-12-28
18 SHS SWISSCOM AG- SPONSORED ADR	P	2005-03-23	2012-12-28
82 SHS WACOAL HOLDINGS CORP KYOTO ADR	P	2005-03-23	2012-12-28
SHORT TERM CAPITAL GAIN DIST	P	2012-01-01	2012-12-31
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
80		89	-9
100,589		101,519	-930
220,647		222,647	-2,000
21,723		21,832	-109
1,598		1,601	-3
86		93	-7
531		449	82
30,320		31,008	-688
4		4	0
1,365		1,205	160
775		757	18
3,999		3,999	0
202,947		202,959	-12
76,264		76,650	-386
2,812		2,136	676
338		356	-18
239		247	-8
1,142		1,211	-69
7,552		7,593	-41
126,850		124,393	2,457
3,626		2,680	946
701		653	48
84		57	27
318		275	43
1,279		1,102	177
1,701		1,524	177
943		803	140
71,564		70,571	993
529		501	28
1,037		1,003	34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		2	0
1,149		1,068	81
148		182	-34
513		620	-107
20,998		22,431	-1,433
388		307	81
1,271		1,049	222
2,407		2,269	138
11		9	2
726		973	-247
613		495	118
222,812		218,022	4,790
374		298	76
762		595	167
1,151		893	258
2,025		1,761	264
446		480	-34
837		652	185
830		589	241
1,622		1,297	325
192		184	8
25,000		24,995	5
4		10	-6
374		342	32
929		820	109
308		346	-38
125		133	-8
191		198	-7
286		263	23
368		299	69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
376		299	77
908		809	99
308		320	-12
103		104	-1
132		102	30
410		395	15
654		448	206
276		285	-9
1,909		1,922	-13
584		608	-24
645		654	-9
486		488	-2
203		207	-4
			0
562		589	-27
337		353	-16
			0
212		192	20
974		1,032	-58
153		158	-5
255		266	-11
839		749	90
604		488	116
164		181	-17
331		343	-12
576		475	101
948		1,076	-128
340		422	-82
373		373	0
1,048		1,108	-60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
464		419	45
546		585	-39
132		122	10
932		1,052	-120
700		727	-27
111		123	-12
73		85	-12
70		75	-5
162		140	22
491		419	72
427		376	51
256		243	13
313		290	23
879		974	-95
402		429	-27
387		401	-14
763		726	37
276		275	1
148		130	18
626		652	-26
11		10	1
509		470	39
158		120	38
203		186	17
1,018		952	66
388		329	59
257		256	1
404		536	-132
361		337	24
537		536	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
135		134	1
409		393	16
49		69	-20
454		442	12
286		393	-107
467		650	-183
352		335	17
266		338	-72
483		385	98
423		403	20
447		605	-158
370		321	49
485		400	85
139		123	16
537		486	51
546		497	49
290		307	-17
556		642	-86
96		83	13
696		626	70
728		535	193
372		347	25
340		319	21
537		486	51
414		393	21
517		504	13
30		22	8
279		363	-84
735		607	128
778		654	124

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
196		165	31
749		667	82
503		489	14
606		687	-81
56		45	11
393		330	63
122		126	-4
445		381	64
561		451	110
1,484		1,380	104
305		303	2
45		54	-9
			0
399		456	-57
364		364	0
308		439	-131
365		364	1
297		250	47
1,035		861	174
411		486	-75
386		355	31
558		509	49
70		118	-48
175		232	-57
890		946	-56
548		701	-153
437		413	24
210		197	13
538		498	40
440		394	46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
276		304	-28
340		369	-29
579		510	69
593		533	60
482		428	54
124		146	-22
410		364	46
86		85	1
530		438	92
79		97	-18
344		283	61
460		406	54
106		119	-13
			0
474		444	30
151		130	21
474		393	81
531		536	-5
493		522	-29
387		456	-69
537		479	58
197		189	8
110		130	-20
153		134	19
179		383	-204
282		240	42
271		203	68
238		263	-25
513		503	10
421		546	-125

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
275		636	-361
			0
616		480	136
319		280	39
434		431	3
486		511	-25
205		176	29
497		420	77
525		443	82
367		402	-35
975		718	257
668		631	37
361		865	-504
483		509	-26
132		115	17
254		186	68
432		468	-36
563		584	-21
486		580	-94
587		553	34
377		323	54
164		123	41
177		187	-10
226		173	53
312		479	-167
354		368	-14
353		372	-19
22		25	-3
268		229	39
77		71	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
127		115	12
335		281	54
475		560	-85
507		428	79
247		420	-173
896		751	145
248		274	-26
296		495	-199
345		577	-232
239		206	33
648		870	-222
304		352	-48
579		563	16
538		548	-10
239		223	16
323		394	-71
995		852	143
299		284	15
420		575	-155
527		502	25
527		515	12
439		423	16
245		211	34
217		200	17
414		356	58
604		540	64
445		449	-4
372		344	28
92		168	-76
629		500	129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
528		548	-20
566		522	44
892		962	-70
471		536	-65
444		438	6
150		199	-49
14		22	-8
1,078		1,051	27
513		545	-32
568		583	-15
419		435	-16
561		522	39
380		456	-76
528		442	86
551		533	18
475		478	-3
514		553	-39
514		544	-30
90		108	-18
244		298	-54
375		440	-65
334		320	14
199		175	24
722		591	131
330		481	-151
342		486	-144
338		696	-358
584		484	100
472		570	-98
528		594	-66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
722		601	121
205		501	-296
2		3	-1
457		536	-79
93		90	3
412		514	-102
406		476	-70
898		830	68
448		428	20
557		526	31
323		326	-3
986		854	132
452		462	-10
688		692	-4
722		780	-58
398		485	-87
137		179	-42
396		365	31
513		536	-23
154		251	-97
322		523	-201
384		449	-65
349		443	-94
1,925		1,847	78
157		251	-94
364		315	49
222		305	-83
114		110	4
486		580	-94
433		491	-58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
381		416	-35
598		641	-43
616		697	-81
264		229	35
1,143		1,095	48
177		211	-34
422		389	33
2,115		2,183	-68
155		142	13
461		564	-103
2,286		2,368	-82
112		124	-12
302		263	39
684		648	36
82		101	-19
114		104	10
46		53	-7
1,358		1,179	179
293		381	-88
262		330	-68
397		378	19
133		325	-192
593		578	15
34		77	-43
285		244	41
1,440		1,678	-238
144		360	-216
542		536	6
429		761	-332
39		40	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
598		591	7
518		471	47
505		550	-45
148		263	-115
76		97	-21
530		600	-70
254		261	-7
287		417	-130
541		536	5
53		104	-51
500		609	-109
35		49	-14
211		229	-18
171		273	-102
175		238	-63
279		389	-110
42		47	-5
169		188	-19
424		469	-45
226		196	30
127		141	-14
288		277	11
106		121	-15
389		440	-51
462		409	53
1,772		1,617	155
315		439	-124
350		300	50
460		426	34
138		274	-136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
429		551	-122
127		260	-133
257		421	-164
114		138	-24
321		491	-170
207		246	-39
428		676	-248
321		520	-199
143		281	-138
223		387	-164
410		522	-112
410		520	-110
513		834	-321
471		589	-118
303		346	-43
125		148	-23
90		190	-100
238		574	-336
64		79	-15
481		423	58
282		243	39
317		436	-119
95		118	-23
160		197	-37
565		601	-36
210		169	41
369		514	-145
678		761	-83
642		638	4
613		635	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
560		865	-305
242		274	-32
788		617	171
552		544	8
381		285	96
422		367	55
703		609	94
147		146	1
162		182	-20
228		548	-320
641		505	136
3,499		3,488	11
1,505		1,476	29
1,629		1,620	9
1,356		1,372	-16
209		190	19
777		824	-47
442		387	55
3,392		3,150	242
1,712		1,524	188
952		833	119
2,548		2,266	282
1,235		1,210	25
879		857	22
835		805	30
912		913	-1
754		625	129
1,326		1,151	175
857		866	-9
1,646		3,313	-1,667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,592		1,618	-26
629		674	-45
1,143		1,010	133
419		480	-61
845		998	-153
747		673	74
740		802	-62
877		842	35
814		882	-68
888		957	-69
2		2	0
975		832	143
2,959		3,259	-300
3,567		3,242	325
1,047		962	85
1,298		1,073	225
1,496		1,271	225
2		2	0
3,857		3,304	553
1,368		1,312	56
2,665		3,170	-505
3,784		3,452	332
837		714	123
2,106		1,208	898
1,526		1,032	494
2,976		2,810	166
3,112		2,870	242
1,534		1,581	-47
4,338		2,740	1,598
3,597		2,775	822

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,047		1,671	376
2,596		1,758	838
2,624		2,730	-106
2,471		2,282	189
3,826		2,722	1,104
1,404		1,016	388
2,542		2,499	43
4,113		2,682	1,431
518		392	126
569		551	18
3,639		3,569	70
949		1,064	-115
2,563		1,523	1,040
995		722	273
9,704		7,775	1,929
242		191	51
2,584		2,179	405
3,600		3,441	159
3,841		2,179	1,662
96		62	34
1,192		761	431
762		446	316
3,515		2,182	1,333
645		820	-175
1,726		2,001	-275
1,071		920	151
4,180		3,682	498
2,339		2,134	205
28		31	-3
2,666		3,015	-349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,684		2,705	979
2,272		2,164	108
1,635		5,108	-3,473
97		104	-7
2,748		3,010	-262
4,305		2,884	1,421
3		3	0
1,876		1,659	217
5,555		5,335	220
600		432	168
3,290		3,134	156
1,746		1,601	145
3,368		3,699	-331
263		262	1
1,827		1,459	368
2,602		2,755	-153
3,398		3,729	-331
2,062		1,541	521
1,875		1,348	527
174		229	-55
481		671	-190
673		550	123
1,038		870	168
984		1,083	-99
1,181		828	353
656		814	-158
1,577		1,097	480
859		1,059	-200
765		486	279
25,481		24,988	493

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,254		6,373	-119
23,856		24,307	-451
8,013		6,345	1,668
1,599		1,269	330
3,606		2,520	1,086
7,197		4,977	2,220
1,803		1,310	493
4,808		3,967	841
21,048		20,082	966
30,951		32,400	-1,449
21,087		20,558	529
20,813		20,383	430
121,482		86,798	34,684
18,892		15,088	3,804
20,645		20,383	262
15,331		15,288	43
20,361		20,383	-22
78,518		64,316	14,202
59,100		91,073	-31,973
25,276		25,479	-203
106,254		98,047	8,207
			0
198		194	4
588		472	116
251		200	51
417		378	39
1,079		867	212
278		236	42
209		206	3
165		133	32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
205		167	38
235		256	-21
183		195	-12
468		409	59
417		281	136
151		183	-32
330		341	-11
85		56	29
191		247	-56
95		122	-27
231		200	31
347		305	42
688		601	87
342		225	117
33		29	4
354		300	54
114		100	14
140		175	-35
450		384	66
179		210	-31
313		274	39
576		494	82
442		384	58
248		219	29
65		55	10
326		308	18
352		343	9
139		144	-5
114		86	28
234		196	38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
139		98	41
117		86	31
119		88	31
166		151	15
116		86	30
456		525	-69
229		159	70
194		171	23
267		257	10
434		350	84
317		300	17
484		333	151
228		161	67
346		340	6
115		81	34
166		92	74
100		75	25
72		68	4
278		194	84
259		174	85
524		348	176
293		224	69
125		87	38
721		267	454
155		83	72
629		645	-16
905		734	171
146		122	24
412		275	137
206		137	69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
330		220	110
189		94	95
191		94	97
82		32	50
449		245	204
281		116	165
436		245	191
101		26	75
84		35	49
497		192	305
161		79	82
419		196	223
294		84	210
583		168	415
288		84	204
79		47	32
159		74	85
238		103	135
560		232	328
146		94	52
278		116	162
422		188	234
705		290	415
1,151		1,304	-153
82		112	-30
204		191	13
318		56	262
313		56	257
306		56	250
637		245	392

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
698		324	374
295		295	0
409		409	0
260		260	0
233		233	0
817		755	62
591		460	131
641		519	122
641		504	137
145		298	-153
911		1,092	-181
16		20	-4
239		273	-34
621		519	102
183		183	0
392		351	41
48		52	-4
23		23	0
103		194	-91
350		288	62
75		97	-22
219		219	0
132		102	30
628		676	-48
735		735	0
26		26	0
55		123	-68
295		223	72
239		291	-52
337		596	-259

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
449		449	0
314		712	-398
647		957	-310
186		266	-80
391		333	58
195		168	27
169		167	2
213		186	27
346		310	36
529		529	0
721		485	236
30		43	-13
678		693	-15
611		535	76
319		447	-128
420		472	-52
658		514	144
606		827	-221
474		582	-108
250		252	-2
329		578	-249
676		673	3
95		213	-118
47		55	-8
154		361	-207
23		26	-3
619		898	-279
443		488	-45
29		35	-6
147		152	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
247		403	-156
82		117	-35
544		645	-101
403		695	-292
515		532	-17
1,092		781	311
570		560	10
308		528	-220
899		1,005	-106
49		62	-13
98		86	12
553		490	63
310		444	-134
252		442	-190
292		301	-9
4		5	-1
64		91	-27
247		434	-187
598		698	-100
327		572	-245
1,228		1,042	186
341		237	104
127		142	-15
549		531	18
443		465	-22
24		28	-4
397		418	-21
194		360	-166
519		587	-68
283		512	-229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
339		382	-43
511		678	-167
412		474	-62
112		391	-279
907		911	-4
742		718	24
915		784	131
351		377	-26
1,054		1,124	-70
1,243		1,206	37
12		13	-1
333		876	-543
427		479	-52
94		101	-7
591		655	-64
283		538	-255
92		255	-163
195		672	-477
657		770	-113
206		291	-85
103		194	-91
279		550	-271
187		390	-203
206		400	-194
10		35	-25
249		472	-223
615		2,251	-1,636
621		1,215	-594
602		830	-228
366		378	-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
319		729	-410
427		560	-133
29		27	2
532		503	29
544		627	-83
660		643	17
525		537	-12
617		598	19
318		299	19
1,580		1,694	-114
61		50	11
452		481	-29
578		465	113
340		717	-377
103		157	-54
678		723	-45
791		880	-89
295		469	-174
352		481	-129
226		261	-35
493		677	-184
216		365	-149
164		226	-62
691		552	139
732		659	73
285		292	-7
667		676	-9
138		136	2
616		594	22
127		187	-60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
289		393	-104
1,018		941	77
752		1,001	-249
248		324	-76
667		684	-17
918		1,245	-327
365		642	-277
248		365	-117
147		182	-35
13		18	-5
548		986	-438
312		493	-181
540		800	-260
409		518	-109
174		89	85
1,348		1,751	-403
171		259	-88
948		753	195
27		35	-8
355		456	-101
972		858	114
1,012		1,329	-317
957		743	214
122		144	-22
270		486	-216
284		463	-179
385		532	-147
411		567	-156
928		1,461	-533
991		727	264

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
545		769	-224
538		723	-185
202		89	113
478		570	-92
518		656	-138
744		620	124
239		272	-33
347		414	-67
457		383	74
200		197	3
326		404	-78
207		234	-27
267		111	156
155		165	-10
37		41	-4
180		186	-6
533		644	-111
137		125	12
661		661	0
1,374		1,320	54
279		279	0
558		472	86
274		261	13
292		328	-36
459		548	-89
194		205	-11
345		398	-53
333		447	-114
128		145	-17
183		205	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
256		318	-62
404		468	-64
33		63	-30
132		155	-23
45		75	-30
153		233	-80
329		634	-305
681		797	-116
91		101	-10
569		222	347
1,094		1,094	0
187		213	-26
292		324	-32
168		189	-21
201		219	-18
172		168	4
748		827	-79
110		119	-9
183		213	-30
763		638	125
307		441	-134
333		413	-80
763		655	108
73		87	-14
668		244	424
336		396	-60
237		314	-77
744		625	119
782		657	125
18		22	-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
245		280	-35
490		568	-78
27		27	0
310		349	-39
518		797	-279
412		405	7
419		398	21
227		498	-271
1,223		1,126	97
137		126	11
235		310	-75
338		528	-190
109		175	-66
158		322	-164
815		885	-70
63		59	4
720		1,219	-499
86		139	-53
1,236		1,244	-8
433		466	-33
90		137	-47
109		154	-45
364		648	-284
548		723	-175
189		174	15
190		197	-7
200		389	-189
896		1,501	-605
378		322	56
575		694	-119

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
598		587	11
953		795	158
475		841	-366
699		590	109
631		978	-347
22		32	-10
411		443	-32
272		324	-52
995		660	335
514		897	-383
1,566		1,916	-350
829		829	0
743		1,024	-281
823		1,402	-579
170		315	-145
414		393	21
477		168	309
420		809	-389
758		677	81
426		408	18
398		474	-76
337		434	-97
379		200	179
811		989	-178
971		1,176	-205
632		995	-363
644		775	-131
256		402	-146
311		496	-185
302		542	-240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
243		407	-164
201		318	-117
73		117	-44
73		135	-62
221		259	-38
34		41	-7
514		696	-182
252		509	-257
311		283	28
457		944	-487
186		153	33
18		27	-9
531		895	-364
453		682	-229
486		786	-300
33		43	-10
199		259	-60
512		707	-195
42		19	23
311		141	170
1,173		641	532
1,280		848	432
539		300	239
183		132	51
769		560	209
603		217	386
672		549	123
1,016		574	442
530		215	315
664		364	300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
110		44	66
385		244	141
366		204	162
1,581		505	1,076
461		218	243
278		131	147
110		67	43
78		45	33
377		196	181
183		126	57
212		140	72
190		205	-15
256		287	-31
604		349	255
580		399	181
281		160	121
413		286	127
220		206	14
134		97	37
46		39	7
313		573	-260
46		59	-13
155		182	-27
553		649	-96
383		234	149
1,112		728	384
104		98	6
925		554	371
634		734	-100
1,383		805	578

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
46		59	-13
37		58	-21
164		199	-35
310		369	-59
81		99	-18
792		441	351
68		43	25
975		361	614
31		17	14
854		1,089	-235
379		144	235
218		388	-170
374		789	-415
23		36	-13
112		193	-81
403		562	-159
726		1,019	-293
114		203	-89
131		261	-130
266		441	-175
393		296	97
694		730	-36
434		285	149
55		148	-93
341		586	-245
134		98	36
115		84	31
565		934	-369
457		910	-453
72		80	-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
546		553	-7
56		176	-120
332		243	89
225		683	-458
757		1,323	-566
96		227	-131
104		283	-179
47		129	-82
303		766	-463
277		123	154
239		220	19
359		332	27
702		660	42
2,743		1,957	786
530		318	212
663		938	-275
3,945		4,944	-999
2,762		2,426	336
5,004		4,140	864
1,912		1,188	724
2,192		2,837	-645
2,309		3,086	-777
1,158		1,587	-429
4,646		5,135	-489
1,407		2,836	-1,429
917		1,473	-556
1,215		1,215	0
2,687		3,238	-551
1,589		1,605	-16
202		223	-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,101		1,072	29
1,279		1,565	-286
2,581		2,508	73
1,120		1,671	-551
1,893		3,881	-1,988
472		594	-122
1,412		1,152	260
823		718	105
3,290		3,391	-101
136		142	-6
513		727	-214
2,481		2,178	303
1,437		2,034	-597
2,902		2,648	254
528		562	-34
649		691	-42
3,776		2,306	1,470
1,125		2,876	-1,751
744		837	-93
1,595		1,820	-225
2,129		2,402	-273
3,260		3,149	111
4,771		3,835	936
3,171		3,684	-513
2,492		2,255	237
1,950		2,148	-198
3,897		4,904	-1,007
472		520	-48
1,699		1,633	66
4,113		4,898	-785

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,111		3,190	-1,079
3,469		3,957	-488
3		3	0
2,961		2,486	475
3,481		5,002	-1,521
688		723	-35
661		842	-181
533		938	-405
4,085		4,241	-156
3,458		2,864	594
512		1,500	-988
1,099		1,490	-391
2,112		2,568	-456
6,965		7,211	-246
2,210		2,690	-480
1,689		3,254	-1,565
813		1,738	-925
695		1,001	-306
1,189		4,068	-2,879
608		1,335	-727
42		47	-5
2,797		3,501	-704
493		1,078	-585
5,840		6,489	-649
2,216		3,067	-851
1,712		3,621	-1,909
4,460		4,578	-118
3,918		2,978	940
3,180		8,455	-5,275
1,035		2,049	-1,014

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,586		5,661	-3,075
3,016		2,427	589
3,055		3,323	-268
1,037		1,847	-810
2,548		2,841	-293
82		72	10
2,912		3,206	-294
2,333		3,421	-1,088
961		1,030	-69
6,466		5,789	677
2,873		3,942	-1,069
2,043		1,771	272
3,432		2,900	532
996		1,732	-736
2,555		2,720	-165
5,050		5,430	-380
1,188		1,658	-470
3,128		4,245	-1,117
1,050		1,467	-417
54		133	-79
2,188		4,257	-2,069
5,052		4,207	845
5,346		4,152	1,194
1,259		3,045	-1,786
2,677		2,600	77
945		2,176	-1,231
2,785		2,919	-134
309		947	-638
3,486		2,390	1,096
6,055		5,628	427

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,478		3,772	-2,294
1,895		1,686	209
536		1,987	-1,451
22		81	-59
3,494		3,339	155
4,228		3,534	694
888		857	31
1,531		1,783	-252
3,099		3,740	-641
2,921		7,394	-4,473
708		1,073	-365
833		660	173
2,132		2,192	-60
1,147		2,130	-983
2,025		2,572	-547
371		274	97
1,734		2,614	-880
3,683		3,839	-156
4,135		3,730	405
850		858	-8
279		247	32
955		854	101
2,707		2,771	-64
4,408		3,754	654
715		654	61
1,069		4,534	-3,465
1,929		3,963	-2,034
1,732		3,719	-1,987
1,544		3,448	-1,904
8,040		7,255	785

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,636		3,487	-1,851
2,926		2,648	278
1,616		3,569	-1,953
4,779		3,964	815
2,084		2,613	-529
554		937	-383
1,395		3,183	-1,788
1,494		3,399	-1,905
2,436		5,039	-2,603
5,753		5,121	632
426		427	-1
1,616		2,140	-524
626		1,494	-868
3,861		3,409	452
241		171	70
523		2,084	-1,561
1,601		1,654	-53
69		61	8
3,724		2,156	1,568
1,504		1,259	245
4,929		3,602	1,327
1,486		1,614	-128
2,567		2,501	66
3,281		3,057	224
262		650	-388
5,018		4,169	849
2,139		2,136	3
2,205		1,455	750
2,331		1,787	544
4,547		4,885	-338

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
433		444	-11
1,812		1,934	-122
3,086		2,889	197
4,408		2,548	1,860
5,486		3,526	1,960
2,906		2,671	235
553		1,790	-1,237
4,214		3,688	526
451		379	72
1,339		4,980	-3,641
1,950		2,759	-809
1,195		1,690	-495
3,728		3,787	-59
567		486	81
2,411		3,428	-1,017
3,367		3,008	359
1,777		1,878	-101
176		99	77
3,845		2,073	1,772
1,043		897	146
1,500		1,488	12
4,668		3,556	1,112
3,384		2,491	893
3,156		2,367	789
2,623		2,761	-138
41		35	6
3,723		2,806	917
6,830		4,500	2,330
605		367	238
3,021		1,416	1,605

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,260		2,257	-997
852		960	-108
1,654		1,903	-249
1,766		2,486	-720
1,723		1,806	-83
6,062		5,549	513
1,187		1,316	-129
169			169
1,409		2,467	-1,058
579		534	45
956		1,464	-508
785		730	55
1,884		1,754	130
1,404		1,524	-120
3,658		2,763	895
2,955		2,339	616
2,754		3,103	-349
1,339		1,394	-55
3,112		3,027	85
1,249		3,634	-2,385
2,782		3,031	-249
1,266		1,658	-392
1,137		1,484	-347
1,181		4,101	-2,920
3,036		3,494	-458
1,103		4,009	-2,906
2,031		4,301	-2,270
1,954		4,331	-2,377
1,856		4,352	-2,496
185		196	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
741		784	-43
269		2,200	-1,931
110		128	-18
1,519		1,582	-63
765		1,731	-966
2,016		1,711	305
2,594		4,817	-2,223
2,814		2,709	105
2,138		2,735	-597
2,450		6,095	-3,645
240		1,564	-1,324
4,985		4,685	300
4,937		4,733	204
1,368		1,492	-124
1,340		3,844	-2,504
730		2,149	-1,419
425		1,791	-1,366
220		938	-718
2,885		2,634	251
323		575	-252
1,381		822	559
504		3,317	-2,813
2,936		2,233	703
1,746		2,617	-871
1,993		1,681	312
588		2,037	-1,449
1,598		2,934	-1,336
2,768		5,501	-2,733
314		1,072	-758
1,136		3,485	-2,349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
387		1,197	-810
302		905	-603
642		1,916	-1,274
4,514		7,350	-2,836
5,942		4,315	1,627
572		2,005	-1,433
928		3,276	-2,348
158		1,032	-874
3,830		8,677	-4,847
4,505		4,192	313
8,828		8,011	817
1,747		6,976	-5,229
5,241		6,419	-1,178
5,252		6,720	-1,468
3,991		8,513	-4,522
775		671	104
4,224		5,712	-1,488
75			75
58,780			58,780

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9
			-930
			-2,000
			-109
			-3
			-7
			82
			-688
			0
			160
			18
			0
			-12
			-386
			676
			-18
			-8
			-69
			-41
			2,457
			946
			48
			27
			43
			177
			177
			140
			993
			28
			34

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			81
			-34
			-107
			-1,433
			81
			222
			138
			2
			-247
			118
			4,790
			76
			167
			258
			264
			-34
			185
			241
			325
			8
			5
			-6
			32
			109
			-38
			-8
			-7
			23
			69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			77
			99
			-12
			-1
			30
			15
			206
			-9
			-13
			-24
			-9
			-2
			-4
			0
			-27
			-16
			0
			20
			-58
			-5
			-11
			90
			116
			-17
			-12
			101
			-128
			-82
			0
			-60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			45
			-39
			10
			-120
			-27
			-12
			-12
			-5
			22
			72
			51
			13
			23
			-95
			-27
			-14
			37
			1
			18
			-26
			1
			39
			38
			17
			66
			59
			1
			-132
			24
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			16
			-20
			12
			-107
			-183
			17
			-72
			98
			20
			-158
			49
			85
			16
			51
			49
			-17
			-86
			13
			70
			193
			25
			21
			51
			21
			13
			8
			-84
			128
			124

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			31
			82
			14
			-81
			11
			63
			-4
			64
			110
			104
			2
			-9
			0
			-57
			0
			-131
			1
			47
			174
			-75
			31
			49
			-48
			-57
			-56
			-153
			24
			13
			40
			46

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-28
			-29
			69
			60
			54
			-22
			46
			1
			92
			-18
			61
			54
			-13
			0
			30
			21
			81
			-5
			-29
			-69
			58
			8
			-20
			19
			-204
			42
			68
			-25
			10
			-125

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-361
			0
			136
			39
			3
			-25
			29
			77
			82
			-35
			257
			37
			-504
			-26
			17
			68
			-36
			-21
			-94
			34
			54
			41
			-10
			53
			-167
			-14
			-19
			-3
			39
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12
			54
			-85
			79
			-173
			145
			-26
			-199
			-232
			33
			-222
			-48
			16
			-10
			16
			-71
			143
			15
			-155
			25
			12
			16
			34
			17
			58
			64
			-4
			28
			-76
			129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-20
			44
			-70
			-65
			6
			-49
			-8
			27
			-32
			-15
			-16
			39
			-76
			86
			18
			-3
			-39
			-30
			-18
			-54
			-65
			14
			24
			131
			-151
			-144
			-358
			100
			-98
			-66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			121
			-296
			-1
			-79
			3
			-102
			-70
			68
			20
			31
			-3
			132
			-10
			-4
			-58
			-87
			-42
			31
			-23
			-97
			-201
			-65
			-94
			78
			-94
			49
			-83
			4
			-94
			-58

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-35
			-43
			-81
			35
			48
			-34
			33
			-68
			13
			-103
			-82
			-12
			39
			36
			-19
			10
			-7
			179
			-88
			-68
			19
			-192
			15
			-43
			41
			-238
			-216
			6
			-332
			-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7
			47
			-45
			-115
			-21
			-70
			-7
			-130
			5
			-51
			-109
			-14
			-18
			-102
			-63
			-110
			-5
			-19
			-45
			30
			-14
			11
			-15
			-51
			53
			155
			-124
			50
			34
			-136

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-122
			-133
			-164
			-24
			-170
			-39
			-248
			-199
			-138
			-164
			-112
			-110
			-321
			-118
			-43
			-23
			-100
			-336
			-15
			58
			39
			-119
			-23
			-37
			-36
			41
			-145
			-83
			4
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-305
			-32
			171
			8
			96
			55
			94
			1
			-20
			-320
			136
			11
			29
			9
			-16
			19
			-47
			55
			242
			188
			119
			282
			25
			22
			30
			-1
			129
			175
			-9
			-1,667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-26
			-45
			133
			-61
			-153
			74
			-62
			35
			-68
			-69
			0
			143
			-300
			325
			85
			225
			225
			0
			553
			56
			-505
			332
			123
			898
			494
			166
			242
			-47
			1,598
			822

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			376
			838
			-106
			189
			1,104
			388
			43
			1,431
			126
			18
			70
			-115
			1,040
			273
			1,929
			51
			405
			159
			1,662
			34
			431
			316
			1,333
			-175
			-275
			151
			498
			205
			-3
			-349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			979
			108
			-3,473
			-7
			-262
			1,421
			0
			217
			220
			168
			156
			145
			-331
			1
			368
			-153
			-331
			521
			527
			-55
			-190
			123
			168
			-99
			353
			-158
			480
			-200
			279
			493

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-119
			-451
			1,668
			330
			1,086
			2,220
			493
			841
			966
			-1,449
			529
			430
			34,684
			3,804
			262
			43
			-22
			14,202
			-31,973
			-203
			8,207
			0
			4
			116
			51
			39
			212
			42
			3
			32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			38
			-21
			-12
			59
			136
			-32
			-11
			29
			-56
			-27
			31
			42
			87
			117
			4
			54
			14
			-35
			66
			-31
			39
			82
			58
			29
			10
			18
			9
			-5
			28
			38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			41
			31
			31
			15
			30
			-69
			70
			23
			10
			84
			17
			151
			67
			6
			34
			74
			25
			4
			84
			85
			176
			69
			38
			454
			72
			-16
			171
			24
			137
			69

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			110
			95
			97
			50
			204
			165
			191
			75
			49
			305
			82
			223
			210
			415
			204
			32
			85
			135
			328
			52
			162
			234
			415
			-153
			-30
			13
			262
			257
			250
			392

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			374
			0
			0
			0
			0
			62
			131
			122
			137
			-153
			-181
			-4
			-34
			102
			0
			41
			-4
			0
			-91
			62
			-22
			0
			30
			-48
			0
			0
			-68
			72
			-52
			-259

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			-398
			-310
			-80
			58
			27
			2
			27
			36
			0
			236
			-13
			-15
			76
			-128
			-52
			144
			-221
			-108
			-2
			-249
			3
			-118
			-8
			-207
			-3
			-279
			-45
			-6
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-156
			-35
			-101
			-292
			-17
			311
			10
			-220
			-106
			-13
			12
			63
			-134
			-190
			-9
			-1
			-27
			-187
			-100
			-245
			186
			104
			-15
			18
			-22
			-4
			-21
			-166
			-68
			-229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-43
			-167
			-62
			-279
			-4
			24
			131
			-26
			-70
			37
			-1
			-543
			-52
			-7
			-64
			-255
			-163
			-477
			-113
			-85
			-91
			-271
			-203
			-194
			-25
			-223
			-1,636
			-594
			-228
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-410
			-133
			2
			29
			-83
			17
			-12
			19
			19
			-114
			11
			-29
			113
			-377
			-54
			-45
			-89
			-174
			-129
			-35
			-184
			-149
			-62
			139
			73
			-7
			-9
			2
			22
			-60

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-104
			77
			-249
			-76
			-17
			-327
			-277
			-117
			-35
			-5
			-438
			-181
			-260
			-109
			85
			-403
			-88
			195
			-8
			-101
			114
			-317
			214
			-22
			-216
			-179
			-147
			-156
			-533
			264

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-224
			-185
			113
			-92
			-138
			124
			-33
			-67
			74
			3
			-78
			-27
			156
			-10
			-4
			-6
			-111
			12
			0
			54
			0
			86
			13
			-36
			-89
			-11
			-53
			-114
			-17
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-62
			-64
			-30
			-23
			-30
			-80
			-305
			-116
			-10
			347
			0
			-26
			-32
			-21
			-18
			4
			-79
			-9
			-30
			125
			-134
			-80
			108
			-14
			424
			-60
			-77
			119
			125
			-4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-35
			-78
			0
			-39
			-279
			7
			21
			-271
			97
			11
			-75
			-190
			-66
			-164
			-70
			4
			-499
			-53
			-8
			-33
			-47
			-45
			-284
			-175
			15
			-7
			-189
			-605
			56
			-119

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			158
			-366
			109
			-347
			-10
			-32
			-52
			335
			-383
			-350
			0
			-281
			-579
			-145
			21
			309
			-389
			81
			18
			-76
			-97
			179
			-178
			-205
			-363
			-131
			-146
			-185
			-240

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-164
			-117
			-44
			-62
			-38
			-7
			-182
			-257
			28
			-487
			33
			-9
			-364
			-229
			-300
			-10
			-60
			-195
			23
			170
			532
			432
			239
			51
			209
			386
			123
			442
			315
			300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			66
			141
			162
			1,076
			243
			147
			43
			33
			181
			57
			72
			-15
			-31
			255
			181
			121
			127
			14
			37
			7
			-260
			-13
			-27
			-96
			149
			384
			6
			371
			-100
			578

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-13
			-21
			-35
			-59
			-18
			351
			25
			614
			14
			-235
			235
			-170
			-415
			-13
			-81
			-159
			-293
			-89
			-130
			-175
			97
			-36
			149
			-93
			-245
			36
			31
			-369
			-453
			-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7
			-120
			89
			-458
			-566
			-131
			-179
			-82
			-463
			154
			19
			27
			42
			786
			212
			-275
			-999
			336
			864
			724
			-645
			-777
			-429
			-489
			-1,429
			-556
			0
			-551
			-16
			-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			29
			-286
			73
			-551
			-1,988
			-122
			260
			105
			-101
			-6
			-214
			303
			-597
			254
			-34
			-42
			1,470
			-1,751
			-93
			-225
			-273
			111
			936
			-513
			237
			-198
			-1,007
			-48
			66
			-785

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,079
			-488
			0
			475
			-1,521
			-35
			-181
			-405
			-156
			594
			-988
			-391
			-456
			-246
			-480
			-1,565
			-925
			-306
			-2,879
			-727
			-5
			-704
			-585
			-649
			-851
			-1,909
			-118
			940
			-5,275
			-1,014

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3,075
			589
			-268
			-810
			-293
			10
			-294
			-1,088
			-69
			677
			-1,069
			272
			532
			-736
			-165
			-380
			-470
			-1,117
			-417
			-79
			-2,069
			845
			1,194
			-1,786
			77
			-1,231
			-134
			-638
			1,096
			427

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,294
			209
			-1,451
			-59
			155
			694
			31
			-252
			-641
			-4,473
			-365
			173
			-60
			-983
			-547
			97
			-880
			-156
			405
			-8
			32
			101
			-64
			654
			61
			-3,465
			-2,034
			-1,987
			-1,904
			785

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,851
			278
			-1,953
			815
			-529
			-383
			-1,788
			-1,905
			-2,603
			632
			-1
			-524
			-868
			452
			70
			-1,561
			-53
			8
			1,568
			245
			1,327
			-128
			66
			224
			-388
			849
			3
			750
			544
			-338

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-11
			-122
			197
			1,860
			1,960
			235
			-1,237
			526
			72
			-3,641
			-809
			-495
			-59
			81
			-1,017
			359
			-101
			77
			1,772
			146
			12
			1,112
			893
			789
			-138
			6
			917
			2,330
			238
			1,605

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-997
			-108
			-249
			-720
			-83
			513
			-129
			169
			-1,058
			45
			-508
			55
			130
			-120
			895
			616
			-349
			-55
			85
			-2,385
			-249
			-392
			-347
			-2,920
			-458
			-2,906
			-2,270
			-2,377
			-2,496
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-43
			-1,931
			-18
			-63
			-966
			305
			-2,223
			105
			-597
			-3,645
			-1,324
			300
			204
			-124
			-2,504
			-1,419
			-1,366
			-718
			251
			-252
			559
			-2,813
			703
			-871
			312
			-1,449
			-1,336
			-2,733
			-758
			-2,349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-810
			-603
			-1,274
			-2,836
			1,627
			-1,433
			-2,348
			-874
			-4,847
			313
			817
			-5,229
			-1,178
			-1,468
			-4,522
			104
			-1,488
			75
			58,780

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMAZON CONSERVATION TEAM 4211 N FAIRFAX DR ARLINGTON,VA 22203		PUB CHAR	ENVIRONMENTAL RESEARCH	50,000
ANGELES ARRIEN FOUNDATION PO BOX 1278 SAUSALITO,CA 94966		PUB CHAR	HEALING RESEARCH	30,000
FOUNDATION FOR SHAMANIC STUDIES PO BOX 670 BELDEN STATION NORWALK,CT 06852		PUB CHAR	HEALING RESEARCH	35,000
LAKE TAHOE SCHOOL 995 TAHOE BOULEVARD INCLINE VILLAGE,NV 89451		PUB CHAR	EDUCATION	10,000
LUCILE PACKARD FOUNDATION FOR CHILDREN'S HEALTH 400 HAMILTON PALO ALTO,CA 94301		PUB CHAR	PEDIATRIC MEDICAL CARE AND RESEARCH	25,000
MONTALVO ASSOCIATION 15400 MONTALVO ROAD SARATOGA,CA 95071		PUB CHAR	DEVELOPMENT OF THE ARTS	25,000
RICE UNIVERSITY PO BOX 1892 HOUSTON,TX 77251		PUB CHAR	EDUCATION	150,000
RICE UNIVERSITY CONNEXIONS PO BOX 1892 HOUSTON,TX 77251		PUB CHAR	EDUCATION	100,000
SANTA FE INSTITUTE 1399 HYDE PARK ROAD SANTA FE,NM 87501		PUB CHAR	SCIENCE RESEARCH	125,000
Total  3a				550,000

TY 2012 Accounting Fees Schedule

Name: THE MAXFIELD FOUNDATION

EIN: 77-0099366

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,724	3,724		0

**TY 2012 Investments Corporate
Bonds Schedule****Name:** THE MAXFIELD FOUNDATION**EIN:** 77-0099366

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMEX CR #B2.375 3 3/24/17	20,259	20,926
BB&T CORP #B2.15 3 3/22/2017	20,604	20,686
BERKSHIRE HATHAWAY #B1.9 1 1/31/17	10,000	10,337
BNY MELLON #B2.4 1 1/17/17	30,882	31,428
DOM RES #B1.4 3 9/15/17	19,983	20,004
ENERGY NW WA ELEC #C2.147 1 7/1/20	20,351	20,670
GE CAP CORP B2.1 11/7/14	0	0
GE CAP CORP #B2.9 1 1/9/14	25,855	26,434
JPMORGAN CHASE #B1.875 3 3/20/15	19,986	20,360
KFW #B1.25 2 2/15/17	19,926	20,408
TEXAS INSTRUMENT B2.375 5 5/16/16	19,899	20,932
TOYOTA #B1.25 4 10/5/17	19,988	20,142

TY 2012 Investments Corporate Stock Schedule

Name: THE MAXFIELD FOUNDATION

EIN: 77-0099366

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BT GLOBAL LC CORE	104,401	115,081
BT GLOBAL LC STRAT	159,100	177,580
BESSEMER TRUST OLD WESTBURY GL SC FD	684,093	941,680
ISHARES RUSS 1000 INDEX	225,059	236,659
ISHARES TR MSCI EMERGING MKTS INDEX FD	75,047	79,520
S&P SPDR	224,847	236,401
MS INVESTMENT MANAGEMENT	134,411	171,455
AMAZON	26,087	26,592
APPLE	63,807	66,522
CISCO	18,499	18,470
ECHELON	614,664	162,680
EXPRESS SCRIPTS	11,269	11,448
GILEAD	26,120	26,515
GOOGLE	43,631	43,858
INTUIT	6,753	6,662
MICROSOFT	241	240
ORACLE	32,177	32,187
QUALCOMM	10,532	10,640
SIGMA-ALDRICH	8,517	8,535
TRIQUINT SEMICONDUCTOR	2,139	2,198
MORGAN STANLEY - NWQ INTL - 608	0	0
ECHELON	0	0
MORGAN STANLEY - NEWGATE	0	0

TY 2012 Investments Government Obligations Schedule

Name: THE MAXFIELD FOUNDATION

EIN: 77-0099366

**US Government Securities - End of
Year Book Value:**

859,161

**US Government Securities - End of
Year Fair Market Value:**

872,704

**State & Local Government
Securities - End of Year Book
Value:**

44,973

**State & Local Government
Securities - End of Year Fair
Market Value:**

45,904

TY 2012 Investments - Other Schedule**Name:** THE MAXFIELD FOUNDATION**EIN:** 77-0099366

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ARCHSTONE PARTNERS	AT COST	100,000	786,702
OLD WESTBURY GLOBAL OPPORTUNITIES FUND	AT COST	543,918	583,332
BESSEMER TRUST OLD WESTBURY REAL RETN FUND	AT COST	320,647	234,649
HONG KONG DOLLAR	AT COST	0	0
NORWEGIAN KRONE	AT COST	0	0
SINGAPORE DOLLAR	AT COST	0	0

TY 2012 Other Expenses Schedule**Name:** THE MAXFIELD FOUNDATION**EIN:** 77-0099366

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	31,662	31,662		0
REGISTRY OF CHARITABLE TRUSTS	75	75		0
MISCELLANEOUS EXPENSES	44	44		0

TY 2012 Other Income Schedule

Name: THE MAXFIELD FOUNDATION

EIN: 77-0099366

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	1,705	1,705	1,705

TY 2012 Taxes Schedule

Name: THE MAXFIELD FOUNDATION

EIN: 77-0099366

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CA FORM 199	10	0		10
FOREIGN TAX	3,674	3,674		0
FEDERAL TAXES	2,128	0		0