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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation LykesKnapp Family Fund		A Employer identification number 76-0411686	
% CHRISTOPHER L KNAPP		B Telephone number (see instructions) (713) 650-1995	
Number and street (or P O box number if mail is not delivered to street address) 1177 West Loop South Suite 1310		Room/suite	
City or town, state, and ZIP code Houston, TX 77027		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change		☐ Initial return of a former public charity ☐ Amended return ☐ Name change	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 857,985		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)	
E If private foundation status was terminated under section 507(b)(1)(A), check here ☐		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	805	805		
	4 Dividends and interest from securities.	13,143	13,143		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	108,707			
	b Gross sales price for all assets on line 6a _____ 433,309				
	7 Capital gain net income (from Part IV, line 2)		108,707		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	285	285		
	12 Total. Add lines 1 through 11	122,940	122,940		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	12,250	12,250	0	0
	c Other professional fees (attach schedule)	6,365	6,365		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	18	18		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	65	65		
	24 Total operating and administrative expenses. Add lines 13 through 23	18,698	18,698	0	0
	25 Contributions, gifts, grants paid	168,426			168,426
	26 Total expenses and disbursements. Add lines 24 and 25	187,124	18,698	0	168,426
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-64,184			
	b Net investment income (if negative, enter -0-)		104,242		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	125,571	95,871	95,871
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	585,480 ☒	575,764	762,114
	c	Investments—corporate bonds (attach schedule).	24,768		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	735,819	671,635	857,985

Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	

Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	735,819	671,635	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	735,819	671,635	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	735,819	671,635	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	735,819
2	Enter amount from Part I, line 27a	2	-64,184
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	671,635
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	671,635

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	108,707
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	131,450	969,528	0 135581
2010	100,275	994,640	0 100815
2009	262,977	849,691	0 309497
2008	235,629	1,038,027	0 226997
2007	121,857	910,751	0 133798

2 Total of line 1, column (d).	2	0 906688
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 181338
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	907,000
5 Multiply line 4 by line 3.	5	164,474
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	1,042
7 Add lines 5 and 6.	7	165,516
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	168,426

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,042
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	1,042
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,042
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	701	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	701
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	1
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	342
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☒	Refunded ☐	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either - By language in the governing instrument, or - By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶CHRISTOPHER L KNAPP Telephone no ▶(713) 650-1995 Located at ▶1300 POST OAK BLVD 1220 HOUSTON TX ZIP +4 ▶77056			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHRISTOPHER L KNAPP	PRESIDENT	0	0	0
1300 Post Oak Blvd Suite 1220 Houston, TX 77056	1 0			
BRECKENRIDGE L KNAPP	DIRECTOR	0	0	0
1300 POST OAK BLVD SUITE 1220 Houston, TX 77056	1 0			
GENEVIEVE K O'SULLIVAN	DIRECTOR	0	0	0
1300 Post Oak Blvd Suite 1220 Houston, TX 77056	1 0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NO PROGRAM RELATED INVESTMENTS	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	792,365
b	Average of monthly cash balances.	1b	128,447
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	920,812
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	920,812
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	13,812
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	907,000
6	Minimum investment return. Enter 5% of line 5.	6	45,350

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	45,350
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	1,042
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,042
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	44,308
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	44,308
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	44,308

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	168,426
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	168,426
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,042
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	167,384
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				44,308
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			0	
b Total for prior years 2010 , 2009 , 2008		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				80,355
b From 2008.				184,170
c From 2009.				220,492
d From 2010.				50,724
e From 2011.				83,804
f Total of lines 3a through e.	619,545			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 168,426				
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				44,308
e Remaining amount distributed out of corpus	124,118			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	743,663			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .	80,355			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	663,308			
10 Analysis of line 9				
a Excess from 2008. . . .				184,170
b Excess from 2009. . . .				220,492
c Excess from 2010. . . .				50,724
d Excess from 2011. . . .				83,804
e Excess from 2012. . . .				124,118

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

CHRISTOPHER L KNAPP

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	168,426
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments			14	805	
4	Dividends and interest from securities.			14	13,143	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	108,707	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory.					
	LITIGATION SETTLEMENT			01	285	
11	Other revenue a INCOME _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e).				122,940	
13	Total. Add line 12, columns (b), (d), and (e).					122,940

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash.	1a(1)	No
	(2) Other assets.	1a(2)	No
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)	No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)	No
	(3) Rental of facilities, equipment, or other assets.	1b(3)	No
	(4) Reimbursement arrangements.	1b(4)	No
	(5) Loans or loan guarantees.	1b(5)	No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)	No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c	No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

**Paid
Preparer
Use Only**

Sign Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Here ***** 2013-11-15 ***** May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

May the IRS discuss this return with the preparer shown below (see instr)? ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☒	PTIN
	M Paige Gerich	M Paige Gerich			
	Firm's name ☐	BKD LLP 2800 Post Oak Blvd Ste 3200		Firm's EIN ☐	
	Firm's address ☐	HOUSTON, TX 77056		Phone no (713) 499-4600	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CHARLES SCHWAB 4015 SHORT-TERM DETAILS AVAILABLE UPON REQUEST	P		2012-12-31
CHARLES SCHWAB 8610 SHORT-TERM DETAILS AVAILABLE UPON REQUEST	P		2012-12-31
PERSHING SHORT-TERM DETAILS AVAILABLE UPON REQUEST	P		2012-12-31
OTHER COST BASIS ADJUSTMENTS	P		2012-12-31
CHARLES SCHWAB 4015 LONG-TERM DETAILS AVAILABLE UPON REQUEST	P		2012-12-31
CHARLES SCHWAB 8610 LONG-TERM DETAILS AVAILABLE UPON REQUEST	P		2012-12-31
PERSHING LONG-TERM DETAILS AVAILABLE UPON REQUEST	P		2012-12-31
LONG-TERM CAPITAL GAIN DISTRIBUTIONS	P		2012-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
46,624		53,429	-6,805
14,496		14,375	121
33,132		30,390	2,742
		656	-656
159,823		118,528	41,295
109,906		58,562	51,344
69,238		48,662	20,576
90			90

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6,805
			121
			2,742
			-656
			41,295
			51,344
			20,576
			90

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STAR OF HOPE MISSION 6897 ARDMORE ST HOUSTON,TX 77054	NONE	501(C)(3)	CHARITABLE	762
CHRIST CHURCH CATHEDRAL 1117 TEXAS AVE HOUSTON,TX 77002	NONE	501(C)(3)	CHARITABLE	3,250
CONTEMPORARY ARTS MUSEUM 5216 MONTROSE BOULEVARD HOUSTON,TX 770066598	NONE	501(C)(3)	CHARITABLE	1,000
WORKSHOP HOUSTON 3615 SAUER ST HOUSTON,TX 77004	NONE	501(C)(3)	CHARITABLE	15,000
EPISCOPAL HIGH SCHOOL 4650 BISSONET ST BELLAIRE,TX 77401	NONE	501(C)(3)	CHARITABLE	24,500
CHRISTIAN COMMUNITY SERVICE CENTER PO BOX 27924 HOUSTON,TX 77227	NONE	501(C)(3)	CHARITABLE	1,062
MEMORIAL PARK CONSERVANCY PO BOX 131024 HOUSTON,TX 77219	NONE	501(C)(3)	CHARITABLE	1,500
HOUSTON PARKS BOARD 300 NORTH POST OAK LANE HOUSTON,TX 77024	NONE	501(C)(3)	CHARITABLE	15,000
AMAZING PLACE 3735 DREXEL HOUSTON,TX 77027	NONE	501(C)(3)	CHARITABLE	2,500
CAMP CHIPPEWA FOUNDATION 15 E 5TH STREET SUITE 4022 TULSA,OK 74103	NONE	501(C)(3)	CHARITABLE	15,000
SOUTHWESTERN UNIVERSITY PO BOX 770 GEORGETOWN,TX 78627	NONE	501(C)(3)	CHARITABLE	1,000
NATURE CONSERVANCY FLORIDA CHAPTER 222 S WESTMORE DRIVE SUITE 300 ALTAMONTE SPRINGS,FL 32714	NONE	501(C)(3)	CHARITABLE	250
SEMINARY OF THE SOUTHWEST PO BOX 2247 AUSTIN,TX 78768	NONE	501(C)(3)	CHARITABLE	250
ST JUDE'S HOSPITAL 501 ST JUDE PLACE MEMPHIS,TN 38105	NONE	501(C)(3)	CHARITABLE	925
ECHOES 9600 SOUTH GESSNER DRIVE HOUSTON,TX 770711002	NONE	501(C)(3)	CHARITABLE	1,350

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WOUNDED WARRIOR PROJECT 4899 Belfort Road Suite 300 JACKSONVILLE,FL 32256	NONE	501(C)(3)	CHARITABLE	262
AZALEA TRAIL ROGC 2503 WESTHEIMER HOUSTON,TX 77098	NONE	501(C)(3)	CHARITABLE	500
MUSEUM OF FINE ARTS HOUSTON 1001 BISSONNET ST HOUSTON,TX 77005	NONE	501(C)(3)	CHARITABLE	1,500
PECKERWOOD GARDEN FOUNDATION 20571 FM 359 HEMPSTEAD,TX 77445	NONE	501(C)(3)	CHARITABLE	44,615
BATTERY CONSERVANCY ONE WHITEHALL STREET 17TH FLOOR NEW YORK,NY 10004	NONE	501(C)(3)	CHARITABLE	7,500
OPEN SPACE INSTITUTE 1350 BROADWAY STE 201 NEW YORK,NY 10018	NONE	501(C)(3)	CHARITABLE	5,000
THINK NEW MEXICO 1227 PASEO DE PERALTA SANTA FE,NM 87501	NONE	501(C)(3)	CHARITABLE	2,500
SANTA FE BOTANICAL GARDEN PO BOX 23343 SANTA FE,NM 87502	NONE	501(C)(3)	CHARITABLE	5,000
UNITED WAY OF SANTA FE COUNTY 440 CERRILLOS RD SANTA FE,NM 87501	NONE	501(C)(3)	CHARITABLE	2,500
THE HIGH LINE 529 WEST 20TH STREET SUITE 8W NEW YORK,NY 10011	NONE	501(C)(3)	CHARITABLE	2,500
CREATIVE SANTA FE 314 READ STREET SANTA FE,NM 87501	NONE	501(C)(3)	CHARITABLE	2,500
HOUSTON HOSPICE 1905 HOLCOMBE BLVD HOUSTON,TX 770304123	NONE	501(C)(3)	CHARITABLE	7,500
BOY SCOUTS OF AMERICA TROOP 55 2323 OLD HICKORY BLVD NASHVILLE,TN 37215	NONE	501(C)(3)	CHARITABLE	500
MENNINGERS CLINIC 12301 S MAIN ST HOUSTON,TX 770356207	NONE	501(C)(3)	CHARITABLE	1,000
ANNUNCIATION ORTHODOX SCHOOL 3600 YOAKUM BOULEVARD HOUSTON,TX 77006	NONE	501(C)(3)	CHARITABLE	600

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE BEACON 1117 TEXAS AVENUE HOUSTON,TX 77002	NONE	501(C)(3)	CHARITABLE	1,100
Total  3a				168,426

TY 2012 Accounting Fees Schedule

Name: LykesKnapp Family Fund

EIN: 76-0411686

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	12,250	12,250		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: LykesKnapp Family Fund

EIN: 76-0411686

TY 2012 Investments Corporate

Stock Schedule

Name: LykesKnapp Family Fund

EIN: 76-0411686

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,160 SHS BRUKER BIOSCIENCES	8,000	17,676
250 SHS BORG WARNER INC	11,547	17,905
65 SHS EXXON MOBIL CORP	2,730	5,626
147 SHS GOLDMAN SACHS GROUP	17,368	18,751
240 SHS JOHNSON & JOHNSON	13,965	16,824
180 SHS LIFE TECHNOLOGIES CORP	3,926	8,825
630 SHS MICROSOFT	17,275	16,827
240 SHS PROCTER & GAMBLE CO	13,083	16,294
160 SHS SCHLUMBERGER, LTD	7,453	11,088
150 SHS TRACTOR SUPPLY COMPANY	2,739	13,254
180 SHS WATERS CORP	11,014	15,682
11 SHS GOOGLE INC	5,185	7,781
360 SHS HALLIBURTON CO	8,457	12,488
300 SHS QUALCOMM INC	10,765	18,558
20,588 SHS WHAMCARD	62,500	62,500
480 SHS ALTERA CORP	14,170	16,507
430 SHS B E AEROSPACE	11,025	21,242
260 SHS FMC TECHNOLOGIES	6,574	15,215
210 SHS HAIN CELESTIAL GROUP	3,371	11,386
140 SHS MONSANTO	10,124	13,251
120 SHS VARIAN MEDICAL SYSTEMS	4,309	8,429
35 SHS BOSTON PROPERTIES	2,165	3,703
85 SHS BRE PROPERTIES	2,381	4,321
100 SHS CAMDEN PROPERTY TRUST	2,705	6,821
215 SHS DOUGLAS EMMETT INC COM	1,960	5,010
125 SHS EASTGROUP PROPERTIES	4,082	6,726
210 SHS PARKWAY PROPERTIES	2,865	2,938
70 SHS SIMON PPTY GROUP INC NE	3,435	11,066
135 SHS SOVRAN SELF STORAGE	4,033	8,384
70 SHS VORNADO REALTY TRUST	3,053	5,606

Name of Stock	End of Year Book Value	End of Year Fair Market Value
175 SHS WEINGARTEN REALTY INV	2,533	4,685
600 SHS HERSHA HOSPITALITY TRU	2,916	3,000
140 SHS MACERICH CO COM	5,461	8,162
200 SHS UDR INC COM	4,091	4,756
400 SHS GLIMCHER REALTY	3,493	4,436
57 SHS APPLE	23,386	30,334
120 SHS COSTCO	6,910	11,848
90 SHS CUMMINS	5,063	9,752
550 SHS ALERE INC	14,648	10,175
1440 SHS CORNING INC	17,335	18,173
100 SHS EOG RESOURCES INC	8,831	12,079
220 SHS NATIONAL OILWELL VARCO	13,343	15,037
280 SHS NESTLE S A REG	15,954	18,248
310 SHS STARWOOD HOTELS	14,030	17,782
50 SHS DIGITAL REALTY TRUST	2,847	3,395
85 SHS VENTAS INC	4,484	5,501
20 SHS AVALONBAY CMNTYS	2,739	2,712
100 SHS EXTRA SPACE STORAGE	2,160	3,639
175 SHS AMERICAN ASSETS TR INC	3,652	4,888
310 SHS AGILENT TECHNOLOGIES	12,025	12,691
450 SHS CHICAGO BRIDGE & IRON	17,934	20,858
220 SHS COACH INC	12,704	12,212
250 SHS CREE INC	5,645	8,495
380 SHS DU PONT E I DE NEMOUR	19,133	17,092
340 SHS E M C CORP MASS	8,965	8,602
240 SHS FACEBOOK INC CLASS A	5,640	6,389
380 SHS ROCHE HLDG LTD SPON AD	16,496	19,190
170 SHS STARBUCKS CORP	8,810	9,117
350 SHS XILINX INC	11,054	12,551
125 SHS CORESITE RLTY CORP COM	2,175	3,458

Name of Stock	End of Year Book Value	End of Year Fair Market Value
175 SHS HUDSON PAC PPTYS INC	2,694	3,686
60 SHS STARWOOD HOTELS	2,986	3,442
40 SHS AMERICAN TOWER REIT COM	2,803	3,091
250 SHS AMREIT INC NEW CL B	3,536	4,288
300 SHS ASSOCIATED ESTATES RLT	4,779	4,836
275 SHS EDUCATION RLTY TR INC	2,812	2,926
60 SHS HEALTH CARE REIT INC CO	3,220	3,677
210 SHS AMERICAN TOWER CORP	6,218	16,227

TY 2012 Land, Etc. Schedule**Name:** LykesKnapp Family Fund**EIN:** 76-0411686

TY 2012 Other Expenses Schedule

Name: LykesKnapp Family Fund

EIN: 76-0411686

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER FEES	65	65		

TY 2012 Other Income Schedule

Name: LykesKnapp Family Fund

EIN: 76-0411686

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
LITIGATION SETTLEMENT INCOME	285	285	

TY 2012 Other Professional Fees Schedule**Name:** LykesKnapp Family Fund**EIN:** 76-0411686

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BROKER/MANAGEMENT FEES #4015	5,788	5,788		
PERSHING	552	552		
BROKER/MANAGEMENT FEES #8016	25	25		

TY 2012 Taxes Schedule

Name: LykesKnapp Family Fund

EIN: 76-0411686

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	18	18		