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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2012

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning

, 2012, and ending

Catalyst Foundation
c/o William H. Caudill
1301 McKinney Street #5100
Houston, TX 77010A Employer identification number
76-0383386B Telephone number (see the instructions)
713-654-4640C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name changeH Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 4,312,820.J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc. received (att sch)

2 Ck ☒ if the found is not req to att Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5 a Gross rents

b Net rental income or (loss)

6 a Net gain/(loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 2,385,687.

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10 a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16 a Legal fees (attach schedule) See St 1

b Accounting fees (attach sch) See St 2

c Other prof fees (attach sch) See St 3

17 Interest

18 Taxes (attach schedule) (see instrs) See Stm 4

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

See Statement 5

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid Part XV

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

SCANNED MAY 09 2013

REVENUE

ADMINISTRATIVE AND OPERATING EXPENSES

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

ASSETS	1	Cash — non-interest-bearing	10,103.	112,013.	112,013.
	2	Savings and temporary cash investments	213,196.	182,031.	182,031.
	3	Accounts receivable			
		Less: allowance for doubtful accounts	2,178.		
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U.S. and state government obligations (attach schedule) Statement 6	799,746.	851,409.	861,269.
	b	Investments — corporate stock (attach schedule) Statement 7	2,798,976.	2,773,440.	3,157,507.
	c	Investments — corporate bonds (attach schedule)			
	11	Investments — land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments — mortgage loans				
13	Investments — other (attach schedule)				
14	Land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	3,824,199.	3,918,893.	4,312,820.	
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	3,824,199.	3,918,893.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	3,824,199.	3,918,893.	
	31	Total liabilities and net assets/fund balances (see instructions)	3,824,199.	3,918,893.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,824,199.
2	Enter amount from Part I, line 27a	2	94,694.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	3,918,893.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	3,918,893.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a Publicly - traded securities		P	Various	Various
b Capital gain distributions		P	Various	Various
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,383,043.		2,184,155.	198,888.
b 2,644.			2,644.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			198,888.
b			2,644.
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	201,532.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	215,312.	4,213,226.	0.051104
2010	104,032.	3,980,118.	0.026138
2009	113,680.	3,513,824.	0.032352
2008	149,167.	4,118,873.	0.036215
2007	275,656.	4,730,152.	0.058276

2 Total of line 1, column (d)	2	0.204085
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.040817
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	4,246,736.
5 Multiply line 4 by line 3	5	173,339.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,716.
7 Add lines 5 and 6	7	176,055.
8 Enter qualifying distributions from Part XII, line 4	8	176,928.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	2,716.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,716.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,716.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	203.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	203.	
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	36.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,549.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2013 estimated tax	11		
	Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NY TX		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>www.catalyst-foundation.org</u>	13	X	
14	The books are in care of <u>Blazek & Vetterling</u> Telephone no <u>713-439-5739</u> Located at <u>2900 Wesleyan, Suite 200 Houston TX</u> ZIP + 4 <u>77027</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 8		42,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	4,007,553.
b	Average of monthly cash balances	1 b	303,854.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	4,311,407.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,311,407.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	64,671.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,246,736.
6	Minimum investment return. Enter 5% of line 5	6	212,337.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	212,337.
2 a	Tax on investment income for 2012 from Part VI, line 5	2 a	2,716.
b	Income tax for 2012 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	2,716.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	209,621.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	209,621.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	209,621.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	176,928.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	176,928.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,716.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	174,212.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				209,621.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			100,449.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 176,928.				
a Applied to 2011, but not more than line 2a			100,449.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2012 distributable amount				76,479.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				133,142.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

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Form 990-PF (2012)

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
Oregon State University 220 Dept/Elec & Comp Eng. Corvallis, OR 97331	N/A	Govt	Research grant - Multiplexed Incremental Data Converters for EEG Monitoring and Recording	85,032.
GeoHazards International 200 Town & Country Village Palo Alto, CA 94301	N/A	509(a) (1)	Satoru Oya Memorial Fund and General Support	10,000.
Inst for Electrical and Electronics Eng. 445 Hoes Ln Piscataway, NJ 08854	N/A	509(a) (2)	Student Support	3,000.
Total			3 a	98,032.
<i>b Approved for future payment</i>				
Total			3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	129,076.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	201,532.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				330,608.	
13	Total. Add line 12, columns (b), (d), and (e)					330,608.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Catalyst Foundation
c/o Charles H. Still

76-0383386

Statement 1
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Assist in non-profit matters	\$ 3,312.	\$ 1,656.		\$ 1,656.
Total	<u>\$ 3,312.</u>	<u>\$ 1,656.</u>		<u>\$ 1,656.</u>

Statement 2
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Tax compliance	\$ 2,779.	\$ 1,390.		\$ 1,389.
Total	<u>\$ 2,779.</u>	<u>\$ 1,390.</u>		<u>\$ 1,389.</u>

Statement 3
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Administrative fees	\$ 1,200.			\$ 1,200.
Grant reviewer fees	7,500.			7,500.
Investment management fees	46,606.	\$ 46,606.		
Website development fees	21,228.			21,228.
Total	<u>\$ 76,534.</u>	<u>\$ 46,606.</u>		<u>\$ 29,928.</u>

Statement 4
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign tax	\$ 1,217.	\$ 1,217.		
Total	<u>\$ 1,217.</u>	<u>\$ 1,217.</u>		<u>\$ 0.</u>

Catalyst Foundation
c/o William H. Caudill

76-0383386

Statement 5
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank charges	\$ 117.	\$ 117.		
Office expense	34.			\$ 34.
State filing fee	250.			250.
Website and newsletter development	3,156.			3,156.
Total	<u>\$ 3,557.</u>	<u>\$ 117.</u>		<u>\$ 3,440.</u>

Statement 6
Form 990-PF, Part II, Line 10a
Investments - U.S. and State Government Obligations

<u>U.S. Government Obligations</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
U.S. Treasury securities	Cost	\$ 409,771.	\$ 410,403.
FNMA Asset-backed securities	Cost	441,638.	450,866.
		<u>\$ 851,409.</u>	<u>\$ 861,269.</u>
Total		<u>\$ 851,409.</u>	<u>\$ 861,269.</u>

Statement 7
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

<u>Corporate Stocks</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Equities - See attached pgs 1-60	Cost	\$ 2,164,715.	\$ 2,429,845.
Mutual Funds - See attached pgs 60-61	Cost	608,725.	727,662.
Total		<u>\$ 2,773,440.</u>	<u>\$ 3,157,507.</u>

Statement 8
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
Ken Suyama 220 White Plains Dr, Ste 330 Tarrytown, NY 10591	President 2.00	\$ 24,000.	\$ 0.	\$ 0.

Catalyst Foundation
c/o William H. Caudill

76-0383386

Statement 8 (continued)
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compen- sation	Contri- bution to EBP & DC	Expense Account/ Other
Yoshihiko Horio 220 White Plains Dr, Ste 330 Tarrytown, NY 10591	Vice Pres. 2.00	\$ 18,000.	\$ 0.	\$ 0.
Charles H. Still 220 White Plains Dr, Ste 330 Tarrytown, NY 10591	Sec (ret 7/12) 1.00	0.	0.	0.
William H. Caudill 220 White Plains Dr, Ste 330 Tarrytown, NY 10591	Secretary 1.00	0.	0.	0.
Hatsumi Suyama 220 White Plains Dr, Ste 330 Tarrytown, NY 10591	Treasurer 1.00	0.	0.	0.
Shigeko Woolfalk 220 White Plains Dr, Ste 330 Tarrytown, NY 10591	Director 1.00	0.	0.	0.
Total		\$ 42,000.	\$ 0.	\$ 0.

Statement 9
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program: Research projects
See website: www.catalyst-foundation.org
for more information.



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Statement Period 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities 58.00% of Portfolio								
Common Stocks								
ABBOTT LABS COM								
CUSIP 002824100								
Dividend Option Cash								
Ratings CITI-CIRA 3								
Total Noncovered	12/09/09*	53.5780	311,144.23	65.5000	13,624.00	2,479.77	116.48	0.85%
208,000								
Total Covered	08/10/12	65.9480	35,414.08	65.5000	35,173.50	-240.58	300.72	0.95%
537,000								
745,000	Total		\$46,558.31		\$48,797.50	\$2,239.19	\$417.20	
ABM INDUSTRIES INC								
CUSIP 000957100								
Dividend Option Cash								
40,000	03/18/11	24.5900	983.60	19.9500	798.00	-185.60	24.00	3.30%
ACTUANT CORP CL A NEW								
CUSIP 00508X203								
Dividend Option Cash								
Ratings CITI-CIRA 2								
62,000	06/16/11	24.0280	1,489.74	27.9100	1,730.42	240.68	2.48	0.14%
AEGION CORP COM								
CUSIP 00770F104								
Dividend Option Cash								
95,000	03/18/11	26.0820	2,477.79	22.1900	2,108.05	-369.74		
AERCAP HOLDING N V SHS								
CUSIP N00985106								
Dividend Option Cash								
Ratings CITI-CIRA 1								
Total Noncovered	Multiple*	14.1290	37,629.80	13.7200	7,408.80	-221.00		
540,000								

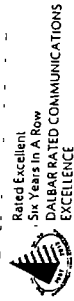
Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
AERCAP HOLDING N V SHS (continued)								
Total Covered	03/25/11	13 2800	1,062.40	13 7200	1,097.60	35.20		
80 000								
620 000	Total		\$8,692.20		\$8,506.40	-\$185.80		
AEROPOSTALE COM								
CUSIP 007865108			Security Identifier: ARO					
Dividend Option Cash								
37 000	06/07/11	17 4700	646.39	13 0100	481.37	-165.02		
AFFILIATED MANAGERS GROUP INC COM								
CUSIP 008252108			Security Identifier: AMG					
Dividend Option Cash								
Ratings CITI-CIRA 1								
Total Noncovered	Multiple*	64 9330	34,869.99	130 1500	9,761.25	4,891.26		
75 000								
Total Covered	03/18/11	100 1600	1,903.04	130 1500	2,472.85	569.81		
19 000								
94 000	Total		\$6,773.03		\$12,234.10	\$5,461.07		
AGRIUM INC COM								
ISIN CA0089161081			Security Identifier: AGU					
CUSIP 008916108								
Dividend Option Cash								
Ratings CITI-CIRA 1								
74 000	06/12/12	82 0740	6,073.51	99 8714	7,390.48	1,316.97	148.00	2.00%
AIRGAS INC								
CUSIP 009363102			Security Identifier: ARG					
Dividend Option Cash								
80 000	12/09/09*	47 7170	35,817.35	91 2900	7,303.20	3,485.85	128.00	1.75%
ALLEGHENY TECHNOLOGIES INC COM								
CUSIP 01741R102			Security Identifier: ATI					
Dividend Option Cash								
Ratings CITI-CIRA 2								
23 000	03/18/11	61 2400	1,408.52	30 3600	698.28	-710.24	16.56	2.37%
ALLIANZ SE SPONS ADR REPSTG 1/10 SHS								
CUSIP 018805101			Security Identifier: AZSEY					
Dividend Option Cash								
599 000	11/05/12	12 2800	7,355.72	13 8170	8,276.38	920.66	257.03	3.10%

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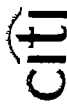
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Account Number C24-062215



Accounts carried by PNC member FINRA, NYSE

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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ALLIED WORLD ASSURANCE COMPANY								
HOLDINGS AG SHS								
CUSIP 1101551104								
Dividend Option Cash								
Total Monitored	Multiple Y	46 9580	35,024 52	78 8000	8,431 60	3,407 08	160 50	1 90%
107 000								
AI TERRA CAP HLDGS LTD COM								
ISIN#BMC0229R1088								
CUSIP G0229R108								
Dividend Option Cash								
48 000								
AMERICA MOVIL SAB DE CV SPONSORED ADR								
REPSTG SER 1 SHS ISIN#US02361W1053								
CUSIP 02364W105	03/18/11	21 6700	1,040 16	28 1900	1,353 12	312 96	30 72	2 27%
Dividend Option Cash								
Ratings Citi CIRA 2								
294 000								
AMERICAN CAPITAL LTD COM								
CUSIP 02503Y103	12/09/09	23 5230	36,915 83	23 1400	6,803 16	-112 67	88 60	1 30%
Dividend Option Cash								
470 000								
AMERICAN EAGLE OUTFITTERS INC NEW								
CUSIP 02555E106	05/26/12	9 7780	4,595 66	12 0205	5,649 64	1,053 98		
Dividend Option Cash								
Ratings Citi CIRA 1								
Total Covered	Multiple Y	14 3610	1,694 60	20 5100	2,420 18	725 58	51 92	2 14%
118 000								

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Account Number C24-062215

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Accounts carried by Prishing LLC
member FINRA NYSE SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
AMERICAN WTR WKS CO INC NEW COM								
CUSIP 050420103								
Dividend Option Cash								
Ratings Citi CIRA 1								
756 000	08/10/12	37 7400	28 531 36	37 1300	28 070 28	-46 108	756 00	2 69%
AMETEK INC NEW COM								
CUSIP 031100100								
Dividend Option Cash								
Ratings Citi CIRA 2								
122 000	10/01/12	34 5280	4 212 42	57 5700	4 583 54	371 12	29 28	0 63%
AMSURG CORP COM								
CUSIP 03232P405								
Dividend Option Cash								
Ratings Citi CIRA 1								
23 000	03/18/11	25 1200	577 76	30 0100	690 23	112 47		
ANIEUSER BUSCH INBEV SA NV SPONSORED ADR								
ISIN#US03524A1088								
CUSIP 03524A108								
Dividend Option Cash								
102 000	06/12/12	68 7030	7 007 73	87 4100	8 915 82	1 908 09	131 85	1 47%
APACIFIC CORP COM								
CUSIP 034711105								
Dividend Option Cash								
Ratings Citi CIRA 1								
Total Covered	Multiple [†]	105 1220	6 307 32	78 5000	4 710 00	-1 597 32	40 80	0 86%
60 000								
ARROW ELECTRS INC COM								
CUSIP 042735100								
Dividend Option Cash								
Ratings Citi CIRA 1								
Total Covered	Multiple [†]	37 5530	4 468 81	38 0800	4 531 52	62 71		
119 000								
ASBURY AUTOMOTIVE GROUP INC COM								
CUSIP 043436104								
Dividend Option Cash								
81 000	03/18/11	17 5680	1 422 97	32 0300	2 594 43	1 171 46		



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ASCENA RETAIL GROUP INC COM								
CUSIP 04351G101			Security Identifier: ASNA					
Dividend Option Cash								
Ratings Citi CIRA 1								
Total Covered	Multiple	17 8510	6,676 18	18 4700	6,907 78	231 60		
574 000								
ASILAND INC NEW COM								
CUSIP 044209104			Security Identifier: ASH					
Dividend Option Cash								
17 000								
ASML HLDG N V N Y REGISTRY SHS NEW								
2012	03/18/11							
CUSIP N07059210		57 3800	975 46	80 4100	1,366 97	391 51	15 30	1 11%
Dividend Option Cash								
121 000								
ASSURANT INC COM ISIN#US04621X1081								
CUSIP 04621X108	03/09/11	55 9670	6,772 03	64 4100	7,793 61	1,021 58	81 39	1 04%
Dividend Option Cash								
18 000								
ASTRAZENECA PLC SPONSORED ADR								
CUSIP 046553108	03/18/11	39 1500	704 70	34 7000	624 60	-80 10	15 12	2 42%
Dividend Option Cash								
Ratings Citi-CIRA 1M								
Total Noncovered	12/09/09	45 3580	37,166 63	47 2700	7,468 66	302 03	450 30	6 02%
158 000								
Total Covered	05/25/11	46 2600	925 20	47 2700	945 40	20 20	57 00	6 02%
20 000								
178 000	Total		\$8,091.83		\$8,414.06	\$322.23	\$507.30	

Catalyst Foundation

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Account Number C24-062215

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Accounts carried by Fershing LLC
member FINRA NYSE SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
AT&T INC COM								
CUSIP 00206R102								
Dividend Option Cash								
Ratings: Citi CIRA 1								
Total Noncovered	Multiple Y	27 1650	315,375.14	35 7100	19,079.86	3,704.72	1,018.80	5.33%
566 000								
Total Covered	08/10/12	37 3980	4,786.89	33 7100	4,314.88	-472.01	230.40	5.33%
128 000								
694 000	Total		\$20,162.03		\$23,394.74	\$3,232.71	\$1,249.20	
ATWOOD OCEANICS INC								
CUSIP 050095108								
Dividend Option Cash								
94 000	05/06/10*	31 7990	32,969.11	45 7900	4,304.26	1,315.15		
AUTOLIV INC COM								
CUSIP 052800109								
Dividend Option Cash								
Ratings: Citi CIRA 3								
11 000	08/04/11	57 0200	627.22	67 3900	741.29	114.07	22.00	2.96%
AUTOMATIC DATA PROCESSING INC COM								
CUSIP 053015105								
Dividend Option Cash								
Ratings: Citi CIRA 2								
252 000	01/06/11	47 5380	311,979.45	56 9300	14,346.36	2,366.91	438.48	3.05%
AVAGO TECHNOLOGIES LTD SHS								
CUSIP Y04865104								
Dividend Option Cash								
Ratings: Citi CIRA 1								
110 000	05/15/12	31 2460	3,437.10	31 6600	3,482.60	45.50	74.80	2.14%
AVNET INC COM								
CUSIP 053807103								
Dividend Option Cash								
Ratings: Citi CIRA 1								
Total Covered	Multiple Y	32 5440	3,189.33	30 6100	2,999.78	-189.55		
98 000								

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Account Number C24 062215

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Accounts carried by P...ing LLC
member FINRA NY

Accounts carried by Pershing LLC
Member FINRA NISF 'IPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
BAXTER INTL INC COM (continued)								
Total Covered	Multiple	53 0030	13,038.79	66.6600	16,398.36	3,359.57	442.80	2.70%
BAYER AG SPONSORED ADR								
CUSIP 072730502			Security Identifier: BAYRY					
Dividend Option Cash								
Ratings: Citi CIRA 1								
101 000	06/09/11	81 1200	8,193.12	94.7790	9,572.68	1,379.56	157.54	1.64%
BCE INC COM NEW								
ISIN#CA055487604 STIS			Security Identifier: BCE					
CUSIP 055348760								
Dividend Option Cash								
171 000	08/10/12	45 2300	7,734.31	42.9400	7,342.74	-391.57	388.24	5.28%
BEACON ROOFING SUPPLY INC COM								
CUSIP 073685109			Security Identifier: BECN					
Dividend Option Cash								
35 000	03/18/11	20 1700	705.95	33.2800	1,164.80	458.85		
BELDEN INC NEW COM								
CUSIP 077454106			Security Identifier: BDC					
Dividend Option Cash								
32 000	03/18/11	35 5600	1,137.92	44.9900	1,439.68	301.76	6.40	0.44%
BERKSHIRE HATHAWAY INC DEL CL B NEW								
CUSIP 084670702			Security Identifier: BRK B					
Dividend Option Cash								
245 000	12/09/09*	65 8000	316,121.00	89.7000	21,976.50	5,855.50		
BIOMARIN PHARMACEUTICAL INC								
COM			Security Identifier: BMRN					
CUSIP 09061G101								
Dividend Option Cash								
Ratings: Citi CIRA 1								
104 000	12/09/09*	16 8980	31,757.42	49.2000	5,116.80	3,359.38		
BORGWARNER INC COM								
CUSIP 099724106			Security Identifier: BWA					
Dividend Option Cash								
Ratings: Citi CIRA 2								
81 000	06/15/12	64 5500	5,228.54	71.6200	5,801.22	572.68		

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Catalyst

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Accounts carried by
Member FINRA NYSE

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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
BRADY CORPORATION CL A								
CUSIP 1046741106			Security Identifier: BRC					
Dividend Option Cash								
45 000	05/18/11	35 5600	1,591 20	33 4000	1,503 00	-88 20	34 20	2 27%
BRINKS CO COM								
CUSIP 109096104			Security Identifier: BCO					
Dividend Option Cash								
50 000	04/08/09*	28 9700	31,448 50	28 5300	1,426 50	-22 00	20 00	1 40%
BRISTOW GROUP INC COM								
CUSIP 110594105			Security Identifier: BRS					
Dividend Option Cash								
50 000	03/18/11	46 7000	1,401 00	53 6600	1,609 80	208 80	24 00	1 49%
BT GROUP PLC ADR								
CUSIP 05577E101			Security Identifier: BT					
Dividend Option Cash								
Ratings Citi-CIRA 2								
205 000	11/05/12	36 2700	7,362 79	38 0300	7,720 09	357 30	273 85	3 54%
CA INC COM								
CUSIP 12675P105			Security Identifier: CA					
Dividend Option Cash								
Ratings Citi-CIRA 2								
551 000	08/10/12	25 5280	13,555 53	21 9800	11,671 38	-1,884 15	531 00	4 54%
CABOT CORP								
CUSIP 127055101			Security Identifier: CBT					
Dividend Option Cash								
Total Covered	Multiple	37 1650	1,635 28	39 7900	1 750 76	115 48	35 20	2 01%

Catalyst Foundation

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Account Number C24-062215

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 Accounts carried by Peisheng LLC,
 member FINRA NYSE SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
CAMERON INTL CORP COM								
CUSIP 135428105			Security Identifier: CAM					
Dividend Option Cash								
Ratings Citi CIRA 2								
70 000	12/07/12	54 7750	3,834.28	58 4600	3,952.20	117.92		
CANADIAN NATL RY CO COM								
ISIN#CA1565151027			Security Identifier: CNL					
CUSIP 136375102								
Dividend Option Cash								
Ratings Citi CIRA 2								
95 000	07/20/11	78 0900	7,262.36	91 0100	8,463.93	1,201.57	140.40	1.65%
CATO CORP NEW CL A								
CUSIP 149205106			Security Identifier: CATO					
Dividend Option Cash								
26 000	05/27/11	26 2500	682.50	27 4300	713.18	30.68	26.00	3.64%
CELANESE CORP DEL COM SER A								
CUSIP 150870103			Security Identifier: CE					
Dividend Option Cash								
Ratings Citi CIRA 1								
Total Covered								
174 000	Multiple	40 7300	7,086.97	44 5300	7,748.22	661.25	52.20	0.67%
CENTENE CORP DEL COM								
CUSIP 15135B101			Security Identifier: CNC					
Dividend Option Cash								
Ratings Citi CIRA 1								
21 000	03/18/11	29 7300	624.33	41 0000	861.00	236.67		
CERNER CORP								
CUSIP 156782104			Security Identifier: CERN					
Dividend Option Cash								
Ratings Citi-CIRA 1								
Total Covered								
69 000	Multiple	57 2270	3,948.64	77 5100	5,348.19	1,399.55		
CGI GROUP INC								
CUSIP 39945C109			Security Identifier: GIB					
Dividend Option Cash								
326 000	06/08/10	15 5010	35,053.19	23 1300	7,540.38	2,487.19		

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Accounts earned by Arching LLC
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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

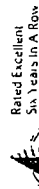
Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
CHECK POINT SOFTWARE TECHNOLOGIES LTD SHS								
			Security Identifier: CHKP					
ISIN# 1100100824113								
CUSIP M22465104								
Dividend Option Cash								
Ratings CII-CIRA 1								
213 000	12/09/09	33 2050	38,068 84	47 6400	11,576 52	3,507 68		
CILED CORP NEW COM								
			Security Identifier: CIE					
CUSIP 16559R103								
Dividend Option Cash								
Total Covered		54 9400	1,483 39	68 5900	1,851 93	368 54	19 44	1 04%
27 000	Multiple							
CHICAGO BRIDGE & IRON CO N V								
			Security Identifier: CBI					
ISIN# US1672501095								
CUSIP 167250109								
Dividend Option Cash								
Ratings CII-CIRA 1H								
204 000	02/04/10	20 9000	34 263 60	46 3500	9,455 40	5,191 80	40 80	0 43%
CHILDRENS PLACE RETAIL STORES INC								
			Security Identifier: PLCE					
CUSIP 168905107								
Dividend Option Cash								
Ratings CII-CIRA 1								
Total Noncovered		27 5600	3275 60	44 2900	442 90	167 30		
10 000	12/09/09							
Total Covered		40 4400	404 40	44 2900	442 90	38 50		
10 000	08/18/11							
20 000	Total		\$660 00		\$885 80	\$205 80		\$0 00

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Accounts carried by Pershing LLC
member FINRA NYSE SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
CHINA MOBILE LTD SPON ADR S A								
ISIN#US16941M039	Security Identifier: CHL							
CUSIP 16941M109								
Dividend Option Cash								
Total Noncovered	12/09/09*	46 5080	37,162.28	58 7200	9,042.88	1,880.60	301.94	3.33%
154,000								
Total Covered	03/25/11	45 3400	1,224.18	58 7200	1,585.44	361.26	52.94	3.33%
27,000								
181,000	Total		\$8,386.46		\$10,628.32	\$2,241.86	\$354.88	
CHINA PETE & CIEM CORP SPONSORED ADR								
REPS1C115115	Security Identifier: SNP							
CUSIP 16941R108								
Dividend Option Cash								
Ratings: Citi CIRA 1								
71,000	12/03/10*	93 8570	36,563.83	114 9200	8,159.32	1,495.49	301.69	3.69%
CHURCH & DWIGHT CO INC								
CUSIP 171340101	Security Identifier: CIND							
Dividend Option Cash								
Ratings: Citi CIRA 2								
152,000	12/09/09*	50 4580	34,020.45	53 5700	7,071.24	3,050.79	126.72	1.79%
CINCINNATI FINL CORP COM								
CUSIP 172082101	Security Identifier: CNF							
Dividend Option Cash								
396,000	08/10/12	38 1000	15,087.56	39 1600	15,507.36	419.80	645.48	4.16%
CINEMARK HOLDINGS INC COM								
CUSIP 17243V102	Security Identifier: CNK							
Dividend Option Cash								
Total Noncovered	08/31/10*	14 5660	31,747.86	25 9800	3,117.60	1,369.74	100.80	3.23%
120,000								
Total Covered	Multiple*	21 1030	2,996.59	25 9800	3,689.16	692.57	119.28	3.23%
142,000								
262,000	Total		\$4,744.45		\$6,806.76	\$2,062.31	\$220.08	
CISCO SYSTEMS INC								
CUSIP 1725R102	Security Identifier: CSCQ							
Dividend Option Cash								
Ratings: Citi CIRA 1								
Total Noncovered	Multiple*	23 4460	37,4853.03	19 6494	20,828.37	-4,024.66	593.60	2.84%
1,060,000								

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Accounts carried by
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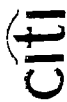


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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
CISCO SYSTEMS INC (continued)								
Total Covered	09/20/12	19,0100	1,805.95	19.6494	1,866.69	60.74	53.20	2.84%
1,155,000	Total		\$26,658.98		\$22,695.06	-\$3,963.92	\$646.80	
CME GROUP INC COM								
CUSIP 125720105			Security Identifier: CME					
Dividend Option Cash								
Ratings Citi CIRA 1								
236,000	08/10/12	53.5830	12,598.40	50.6700	11,958.12	-640.28	424.80	3.55%
CNOOC LTD SPONSORED ADR								
ISIN#US1261221095			Security Identifier: CEO					
CUSIP 126132109								
Dividend Option Cash								
Ratings Citi CIRA 11								
54,000	12/09/09*	153.8500	35,230.90	220.0000	7,480.00	2,249.10	169.63	2.26%
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A								
CUSIP 192446102			Security Identifier: CTSI					
Dividend Option Cash								
Ratings Citi CIRA 1								
94,000	12/09/09*	44.0080	34,136.75	73.8823	6,944.94	2,808.19		
COLUMBIA BKG SYS INC COM								
CUSIP 197250102			Security Identifier: COLB					
Dividend Option Cash								
27,000	03/19/11	18.6600	503.82	17.9400	-484.38	-19.44	9.72	2.00%
COMCAST CORP NEW CL A SPL								
CUSIP 20030N300			Security Identifier: CMCSK					
Dividend Option Cash								
475,000	02/14/08*	18.8890	38,972.27	35.9200	17,062.00	8,089.73	308.75	1.80%

CITY OF SEATTLE

CITY OF SEATTLE

Account Number C24 062215

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Accounts Carried by Pershing LLC
member FINRA NYSE SIPC

Attachment to Part II Line 10b

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Accounts carried by P&H LLC
member FINRA, NYSE



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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
COVANTIA HLDG CORP COM								
CUSIP 22282E102			Security Identifier: CVA					
Dividend Option Cash								
59 000	03/18/11	16 7500	653 25	18 4200	718 38	65 13	23 40	3 25%
CROWN HLDGS INC COM								
CUSIP 228508106			Security Identifier: CCK					
Dividend Option Cash								
178 000	12/09/09	25 5590	34 549 42	36 8100	6 552 18	2 002 76		
CURTISS WRIGHT CORP								
CUSIP 251561101			Security Identifier: CW					
Dividend Option Cash								
32 1880	Multiple	32 1880	1 802 53	32 8300	1 838 48	35 95	20 16	1 09%
DAVITA HEALTHCARE PARTNERS INC								
CUSIP 23918K108			Security Identifier: DVA					
Dividend Option Cash								
58 5300	12/09/09	58 5300	32 099 88	110 5300	5 979 08	1 879 20		
80 4300	03/18/11	80 4300	2 332 47	110 5300	3 205 37	872 90		
65 000	Total		\$4,432.35		\$7,184.45	\$2,752.10	\$0.00	
DENBURY RES INC COM NEW								
CUSIP 241916208			Security Identifier: DNR					
Dividend Option Cash								
15 4970	Multiple	15 4970	33 455 74	16 2000	3 512 60	156 86		
223 000	Total							

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (Continued)								
Common Stocks (continued)								
DENISPLY INTL INC NEW COM								
CUSIP 249050107			Security Identifier: XRAY					
Dividend Option Cash								
Ratings: Citi CIRA 2								
141 000	12/15/11	34 8790	4,917 91	39 6100	5,585 01	667 10	31 02	0 55%
DIAGEO PLC SPONSORED ADR NEW								
ISIN#US2343Q2057			Security Identifier: DEO					
CUSIP 25243Q205								
Dividend Option Cash								
Ratings: Citi CIRA 2L								
76 000	11/28/11	82 1110	6,240 43	116 5800	8,860 08	2,619 65	210 80	2 37%
DIANA SHIPPING INC COM								
CUSIP Y2066G104			Security Identifier: DSX					
Dividend Option Cash								
70 000	03/18/11	11 7900	825 30	7 3000	511 00	-314 30		
DISCOVERY COMMUNICATIONS INC								
NEW COM SER A			Security Identifier: DISCA					
CUSIP 25470F104								
Dividend Option Cash								
Ratings: Citi-CIRA 2								
117 000	04/17/12	52 3780	6,128 23	63 4800	7,427 16	1,298 93		
DISNEY WALT CO DISNEY COM								
CUSIP 254587106			Security Identifier: DIS					
Dividend Option Cash								
Ratings: Citi-CIRA 1								
348 000	12/09/09*	30 7490	310,700 48	49 7900	17,326 92	6,626 44	261 00	1 50%
DOLE FOOD CO INC NEW								
CUSIP 256603101			Security Identifier: DOLE					
Dividend Option Cash								
Total Covered								
286 000	Multiple	11 9160	3,408 08	11 4700	3,280 42	-127 66		
DOMTAR CORP COM NEW								
CUSIP 25559203			Security Identifier: UFS					
Dividend Option Cash								
Ratings: Citi CIRA 2								
90 000	04/29/10*	76 4560	36,881 00	83 5200	7,516 80	635 80	162 00	2 15%

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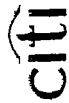


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Accounts carried by P
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Citi Personal Wealth Management



East Coast Capital Advisors

Investment Account Statement

Statement Period: 12/01/2012 - 12/31/2012

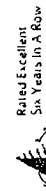
Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
DU PONT E I DE NEMOURS & CO COM								
CUSIP 265554109								
Dividend Option Cash								
Ratings Citi CIRA 2								
179 000	12/09/09*	51 5480	3,125 647 16	44 9785	8,051 15	2,403 99	307 88	3 82%
DUKE ENERGY CORP NEW COM NEW								
CUSIP 264110204								
Dividend Option Cash								
Ratings Citi CIRA 1								
224 000	12/09/09*	52 3500	11,726 34	63 8000	14,291 20	2,564 86	685 44	4 79%
EAST WEST BANCORP INC COM								
CUSIP 275491004								
Dividend Option Cash								
Total Noncovered	06/14/10*	16 8090	3252 13	21 4900	322 35	70 22	6 00	1 86%
15 000								
Total Covered	01/24/11	20 2280	3503 42	21 4900	322 35	18 93	6 00	1 86%
15 000								
30 000	Total		\$555 55		\$644.70	\$89.15	\$12.00	
EATON CORP PLC SHS								
ISIN#1E00B8KQW827								
CUSIP 429183103								
Dividend Option Cash								
150 000	12/03/12	51 9060	7,785 84	54 1800	8 127 00	341 16		
EBAY INC COM								
CUSIP 278612105								
Dividend Option Cash								
Ratings Citi CIRA 2								
Total Covered	Multiple	51 1850	6,923 15	50 9977	11,521 49	4,398 36		
222 000								

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Accounts carried by Pershing LLC
Member FINRA NYSE SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ECOLAB INC								
CUSIP: 278805100			Security Identifier: ECL					
Dividend Option: Cash								
Ratings: Citi CIRA 2								
Total Covered	Multiple	64 5320	11,744.84	71.9000	13,085.80	1,340.96	167.44	.27%
182,000								
EDWARDS GROUP LTD SPONS ADR								
ISIN# US2617561080			Security Identifier: EVAC					
CUSIP: 281136106								
Dividend Option: Cash								
170,000	10/05/12	7 2780	1,237.26	6.0800	1,033.60	-203.66		
ELECTRONICS FOR IMAGING INC								
CUSIP: 280082102			Security Identifier: EPI					
Dividend Option: Cash								
46,000	12/09/09	12 6000	3579.60	18.9900	873.54	293.94		
ILLIUM & CO COM								
CUSIP: 532457108			Security Identifier: LLY					
Dividend Option: Cash								
Ratings: Citi CIRA 1								
316,000	08/10/12	43 2800	13,676.45	49.3200	15,585.12	1,908.67	619.36	3.97%
EMC CORP COM								
CUSIP: 268648102			Security Identifier: EMC					
Dividend Option: Cash								
535,000	12/09/09	16 9080	33,045.78	25.3000	13,535.50	4,489.72		
ENERGY XXI BERMUDA USD UNRESTRICTED SHS								
NEW ISIN# BMG100821401			Security Identifier: EXXI					
CUSIP: G10082140								
Dividend Option: Cash								
233,000	12/21/11	29 8760	6,961.19	32.1700	7,495.61	534.42	65.24	0.87%
ENERSYS COM								
CUSIP: 292757102			Security Identifier: ENS					
Dividend Option: Cash								
Total Covered	Multiple	27 2620	2,262.72	37.6300	3,123.29	860.57		
83,000								
EQUIFAX INC								
CUSIP: 294429105			Security Identifier: EFX					
Dividend Option: Cash								

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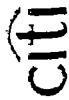
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Accounts Carried by Catalyst Foundation LLC
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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
EQUIFAX INC (continued)								
37,000	03/19/11	55.8900	1,527.93	54.1200	2,002.44	674.51	26.64	1.33%
EXPEDITORS INTL WASH INC								
CUSIP: 302150109			Security Identifier: EXPD					
Dividend Option: Cash								
62,000	01/25/11	53.5370	3,319.29	39.5500	2,452.10	-867.19	34.72	1.41%
EXPRESS INC COM								
CUSIP: 30219E103			Security Identifier: EXPR					
Dividend Option: Cash								
53,000	03/18/11	16.9500	559.35	15.0900	497.97	-61.38		
EXXON MOBIL CORP COM								
CUSIP: 30251G102			Security Identifier: XOM					
Dividend Option: Cash								
Ratings: Citi CIRA 1								
Total Noncovered								
241,000	Multiple	65.0690	315,681.72	88.5500	20,858.55	5,176.83	549.48	2.63%
EZCORP INC CL A NON VTG								
CUSIP: 302501106			Security Identifier: EZPW					
Dividend Option: Cash								
124,000	08/02/10	20.2510	32,511.12	19.8900	2,466.36	-44.76		
FAMILY DOLLAR STORES								
CUSIP: 307000109			Security Identifier: FDO					
Dividend Option: Cash								
Ratings: Citi CIRA 1								
85,000	09/13/11	51.4370	4,372.16	63.4100	5,389.85	1,017.69	71.40	1.32%
FEDERATED INVS INC PA CL B								
CUSIP: 314211103			Security Identifier: FII					
Dividend Option: Cash								
Ratings: Citi CIRA 3								
72,000	03/18/11	25.7900	1,856.88	20.2300	1,456.56	-400.32	69.12	4.74%

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
FIDELITY NATL FINL INC CL A COM								
Security Identifier: FNF								
CUSIP 31620R105								
Dividend Option Cash								
Total Covered		15 5890	2,338.28	23.5500	3,532.50	1,194.22	96.00	2.71%
150,000								
FIFTH ST FIN CORP COM								
Security Identifier: FSC								
CUSIP 31678A103								
Dividend Option Cash								
Total Covered		13 0860	745.93	10.4200	593.94	-151.99	65.52	11.03%
57,000								
FIFTH THIRD BANCORP COM								
Security Identifier: FTB								
CUSIP 316773100								
Dividend Option Cash								
Ratings: Citi CIRA 2								
Total Noncovered		12 1620	35,758.12	15.2000	4,596.80	938.68	123.60	2.63%
309,000								
Total Covered		11 9200	774.80	15.2000	988.00	213.20	26.00	2.63%
65,000								
374,000			\$4,532.92		\$5,684.80	\$1,151.88	\$149.60	
FINISH LINE INC CL A								
Security Identifier: FINL								
CUSIP 317923100								
Dividend Option Cash								
Ratings: Citi CIRA 1								
Total Noncovered		8 9080	3445.40	18.9300	946.50	501.10	12.00	1.26%
50,000								
Total Covered		21 9600	1,537.20	18.9300	1,325.10	-212.10	16.80	1.26%
70,000								
120,000			\$1,982.60		\$2,271.60	\$289.00	\$28.80	
FIRST AMERN FINL CORP COM								
Security Identifier: FAF								
CUSIP 31847R102								
Dividend Option Cash								
Total Covered		15 7460	740.05	24.0900	1,132.23	392.18	22.56	1.99%
47,000								
FIRST CITIZENS BANCSHARES NC CL A								
Security Identifier: FCNCA								
CUSIP 31946M103								
Dividend Option Cash								
Total Covered		201 8800	1,009.40	163.5000	817.50	-191.90	6.00	0.73%
5,000								

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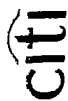
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Accounts carried by P... LLC
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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
FIRST NIAGARA FINL GROUP INC NEW COM								
CUSIP 55582V108								
Dividend Option Cash								
Ratings: Citi CIRA 2								
Total Covered		9 8190	3,259.96	7.9300	2,642.76	-627.20	106.24	4.03%
552,000								
FISERV INC COM								
CUSIP 557728108								
Dividend Option Cash								
Ratings: Citi CIRA 1								
Total Covered		46 7500	32,758.25	79.0300	4,662.77	1,904.52		
59,000								
FLEXTRONICS INTL LTD ORD SIIS								
ISIN#SE0999000020								
CUSIP Y25735F102								
Dividend Option Cash								
Ratings: Citi CIRA 2								
Total Not Covered		7 5900	37,650.22	6.2100	6,259.68	-1,390.54		
1,008,000								
Total Covered		6 7480	2,375.29	6.2100	2,185.92	-189.37		
552,000								
1,560,000								
FOOT LOCKER INC COM								
CUSIP 541849104								
Dividend Option Cash								
Ratings: Citi CIRA 1								
Total Covered		18 8700	509.49	32.1200	867.24	357.75	19.44	2.24%
27,000								
FOREST CITY ENTERPRISES INC								
CUSIP 545550107								
Dividend Option Cash								
Total			\$10,025.51		\$8,445.60	-\$1,579.91	\$0.00	
Security Identifier: FLEX								
Security Identifier: FCE A								
Security Identifier: FCE A								

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Accounts carried by Perching LLC
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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (Continued)								
Common Stocks (continued)								
FOREST CITY ENTERPRISES INC (continued)								
Total Covered	Multiple†	17,2130	9,639.38	16,1500	9,044.00	-595.38		
500,000								
FORTUNE BRANDS HOMES & SEC INC COM								
CUSIP 54904C106			Security Identifier: FBHS					
Dividend Option Cash								
Total Covered	Multiple†	22,9970	5,450.21	29,2200	6,925.14	1,474.93		
237,000								
FORUM ENERGY TECHNOLOGIES INC COM								
CUSIP 54984V100			Security Identifier: FET					
Dividend Option Cash								
Ratings Citi CIRA 1								
54,000	10/05/12	23,1380	1,249.45	24,7500	1,336.50	87.05		
FOSSIL INC COM								
CUSIP 54988Z100			Security Identifier: FOSS					
Dividend Option Cash								
Ratings Citi CIRA 1								
47,000	03/01/11	74,9900	3,524.53	93,1000	4,375.70	851.17		
FRESH DELMONTE PRODUCE INC SHS								
ISIN#NYC367381053			Security Identifier: FDP					
CUSIP 636738105								
Dividend Option Cash								
46,000	03/18/11	25,0900	1,154.14	26,3500	1,212.10	57.96	18.40	1.51%
FTI CONSULTING INC COM								
CUSIP 502941109			Security Identifier: FCN					
Dividend Option Cash								
Total Covered	Multiple†	31,4200	3,958.86	33,0000	4,158.00	199.14		
126,000								
G & K SVCS INC CL A								
CUSIP 561268105			Security Identifier: GK					
Dividend Option Cash								
20,000	05/18/11	31,2300	624.60	34,1500	685.00	58.40	15.60	2.28%
GARDNER DENVER INC (PREVIOUSLY KNOWN AS GARDNER MACHINERY INC)								
CUSIP 565558105			Security Identifier: GDI					
Dividend Option Cash								
23,000	07/16/12	48,0400	1,104.92	68,5000	1,575.50	470.58	4.60	0.29%

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Accounts carried by PNC Financial Group, Inc.
Member FINRA, NYSE

Citi Personal Wealth Management



East Coast Capital Advisors

Investment Account Statement

Statement Period: 12/01/2012 - 12/31/2012

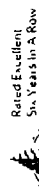
Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
GAZPROM O A O SPON ADR REG S								
RESTRICTION LIFTED ISIN#US5682872078								
CUSIP 568287207								
Dividend Option Cash								
Ratings Citi CIRA 2								
514.000	04/28/11	16.8700	8,671.18	9.4080	4,835.71	-3,835.47	234.05	4.84%
GENERAL ELECTRIC CO COM								
CUSIP 565604105								
Dividend Option Cash								
Ratings Citi CIRA 1								
Total Noncovered	04/02/07	17.4420	35,755.86	20.9900	6,926.70	1,170.84	250.80	3.62%
536.000								
Total Covered	08/10/12	21.0060	26,026.43	20.9900	26,006.61	-19.82	941.64	3.62%
1,233.000								
1,569.000	Total		\$31,782.29		\$32,933.31	\$1,151.02	\$1,192.44	
GENERAL MILLS INC COM								
CUSIP 570554104								
Dividend Option Cash								
Ratings Citi CIRA 1								
Total Noncovered	Multiple	34.5710	35,837.54	40.4200	4,486.62	649.28	146.52	3.26%
111.000								
Total Covered	Multiple	40.0890	15,750.67	40.4200	13,864.06	1,13.39	452.76	3.26%
515.000								
454.000	Total		\$17,588.01		\$18,350.68	\$762.67	\$599.28	
GLAXOSMITHKLINE PLC SPONS ADR								
CUSIP 57753W105								
Dividend Option Cash								
Ratings Citi CIRA 2								
Total Noncovered	11/26/10	59.4500	31,525.17	43.4700	14,605.92	1,350.75	779.30	5.33%
535.000								

CPA #151,110

Account Number C24-062215

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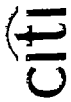
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Accounts carried by Peisinger LLC
member FINRA NYSE SIPC

EIN: 76-0383386

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
GLAXOSMITHKLINE PLC SPONS ADR (continued)								
Total Covered		45.3990	15,117.81	43.4700	14,475.51	-642.30	772.35	5.33%
335,000								
669,000	Total		\$28,372.98		\$29,081.43	\$708.45	\$1,551.65	
GLOBAL PMTS INC COM								
CUSIP: 57940X102								
Dividend Option: Cash								
Ratings: Citi CIRA 1								
10,000	03/18/11	46.0200	736.32	45.3000	724.80	-11.52	1.28	0.17%
GLOBE SPECIALTY METALS INC COM								
CUSIP: 37954M206								
Dividend Option: Cash								
Total Covered		14.5960	1,766.12	13.7500	1,663.75	-102.37	30.25	1.81%
121,000								
GOOGLE INC CL A								
CUSIP: 58259P506								
Dividend Option: Cash								
Ratings: Citi CIRA 1								
Total Noncovered	07/06/10*	446.5450	3893.09	707.3800	1,414.76	521.67		
2,000								
Total Covered	04/15/11	541.4500	7,580.30	707.3800	9,903.32	2,323.02		
14,000								
16,000	Total		\$8,473.39		\$11,318.08	\$2,844.69	\$0.00	
GRANITE CONSTR INC COM								
CUSIP: 58753Z107								
Dividend Option: Cash								
14,000	03/18/11	28.3900	397.46	33.6200	470.68	73.22	7.28	1.54%
GRAPHIC PACKAGING HLDG CO COM								
CUSIP: 388689101								
Dividend Option: Cash								
125,000	03/18/11	4.9960	624.55	6.4600	807.50	182.95		
GREAT PLAINS ENERGY INC COM								
CUSIP: 391104100								
Dividend Option: Cash								
Ratings: Citi CIRA 1								
55,000	09/11/12	21.7100	1,194.04	20.3100	1,117.05	-76.99	47.85	4.28%

Citi Personal Wealth Management



East Coast Capital Advisors

Investment Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
GREEN MIN COFFEE ROASTERS INC COM								
CUSIP 393122106								
Dividend Option Cash								
76 000	03/15/12	51 6190	3,923 03	41 3400	3,141 84	-781 19		
GRIFFON CORP COM								
CUSIP 398433102								
Dividend Option Cash								
65 000	03/18/11	12 8050	832 32	11 4600	744 90	-87 42	6 50	0 87%
GROUP 1 AUTOMOTIVE INC COM								
CUSIP 398905109								
Dividend Option Cash								
19 000	03/18/11	39 5500	751 45	61 9900	1,177 81	426 36	11 40	0 96%
GUESS INC COM								
CUSIP 401617105								
Dividend Option Cash								
32 1210	Multiple†		1,156 34	24 5400	883 44	-272 90	28 80	3 25%
HANGER INC COM NEW GROUP NEW								
CUSIP 41043F208								
Dividend Option Cash								
20 5100	Multiple†		1,476 72	27 3600	1,969 92	493 20		
HANOVER INS GROUP INC COM								
CUSIP 410867105								
Dividend Option Cash								
16 000	03/18/11	46 0800	737 28	38 7400	619 84	-117 44	21 12	3 40%
HARRIS CORP DEL								
CUSIP 413875105								
Dividend Option Cash								

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Account Number C24-062215

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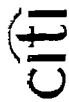
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Accounts carried by Pershing LLC
member FINRA NYSE SIPC

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
HARRIS CORP DEL (continued)								
Total Covered	Multiple	47,0840	2,777.98	48.9600	2,888.64	110.66	87.32	3.02%
59,000								
HEALTH MANAGEMENT ASSOCIATES INC CL A								
CUSIP 421933102			Security Identifier: HMA					
Dividend Option Cash								
Ratings Citi CIRA III								
Total Covered	Multiple	8,8760	6,168.89	9.3200	6,477.40	308.51		
895,000								
HEALTHSOUTH CORP COM NEW								
CUSIP 421924309			Security Identifier: HLS					
Dividend Option Cash								
Total Covered	Multiple	26,0550	5,341.21	21.1100	4,327.55	-1,013.66		
205,000								
HEIDRICK & STRUGGLIES INTL INC COM								
CUSIP 422819102			Security Identifier: HSH					
Dividend Option Cash								
Total Covered	Multiple	25,0000	1,350.00	15.2600	824.04	-525.96	28.08	3.40%
54,000								
HEINZ II COMPANY								
CUSIP 423074105			Security Identifier: HNZ					
Dividend Option Cash								
Ratings Citi CIRA I								
Total Covered	Multiple	55,0600	12,939.08	57.6800	13,554.80	615.72	484.10	3.57%
235,000								
HELIX ENERGY SOLUTIONS GROUP INC								
CUSIP 42350P107			Security Identifier: HLX					
Dividend Option Cash								
Total Covered	Multiple	15,0450	917.75	20.6400	1,259.04	341.29		
61,000								
HERBA LIFE LTD USD COM SHS								
CUSIP 444121101			Security Identifier: HLF					
Dividend Option Cash								
Total Noncovered	Multiple	21,2380	32,952.12	32.9400	4,578.66	1,628.54	166.80	3.64%
159,000								
Total Covered	Multiple	42,5580	35,487.36	32.9400	4,249.26	-1,238.10	154.80	3.64%
129,000								
Total			\$8,439.48		\$8,827.92	\$388.44	\$321.60	



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
III LENBRAND INC COM								
CUSIP 451571108			Security Identifier: H					
Dividend Option Cash								
55 000	05/18/11	21 3600	1,174.80	22 6100	1,243.55	68.75	42.90	3.44%
III IAC III LTD ADR 10 COM NEW								
ISIN# US4355785071			Security Identifier: HHHY					
CUSIP 435578507								
Dividend Option Cash								
Ratings CII CIRA 1								
148 000								
HONEYWELL INTL INC COM								
ISIN# US385161066	05/05/11	55 5960	8,228.21	58 2900	8,626.92	398.71	162.98	1.88%
CUSIP 438516106			Security Identifier: HON					
Dividend Option Cash								
Ratings CII CIRA 1								
Total Noncovered								
57 000	Multiple Y	49 8760	32,842.94	63 4700	3,617.79	774.85	93.48	2.58%
Total Covered								
125 000	Multiple Y	55 9920	6,998.95	63 4700	7,933.75	934.80	205.00	2.53%
182 000	Total		\$9,841.89		\$11,551.54	\$1,709.65	\$298.48	
HSBC III DGS PLC SPONS ADR NEW								
CUSIP 401280406			Security Identifier: HBC					
Dividend Option Cash								
Ratings CII CIRA 1M								
164 000								
HUNTINGTON BANCSHARES INC								
CUSIP 416150101	07/16/12	43 6300	7,155.30	53 0700	8,703.48	1,548.18	410.00	4.71%
Dividend Option Cash			Security Identifier: HBAN					
Ratings CII CIRA 1								
Total Covered								
246 000	Multiple Y	63 170	1,553.88	6 3900	1,571.94	18.06	39.36	2.50%

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Account Number C24-062215

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Accounts carried by Pershing, LLC
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Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (Continued)								
Common Stocks (continued)								
HUNTINGTON INCGALLS INDS INC COM								
CUSIP 446413106								
Dividend Option: Cash								
Ratings: Citi CIRA 1								
Total Covered								
29 000								
Multiple†								
36 7140								
1,064 71								
43 3400								
1,256 86								
192 15								
11 60								
0.92%								
ICON PUB LTD CO SPONSORED ADR								
CUSIP 451031107								
Dividend Option: Cash								
77 000								
03/18/11								
20 4200								
1,572 32								
27 7600								
2,137 52								
565 20								
Security Identifier: ICLR								
HIS INC COM CL A								
CUSIP 451754107								
Dividend Option: Cash								
50 000								
12/09/09*								
51 5400								
32,577 00								
96 0000								
4,800 00								
2,223 00								
Security Identifier: IHS								
INFINITY PPTY & CAS CORP COM								
CUSIP 45665Q103								
Dividend Option: Cash								
12 000								
12/09/09*								
39 9000								
3478 80								
58 2400								
698 88								
220 08								
Security Identifier: IM								
INGRAM MICRO INC CL A								
CUSIP 451153104								
Dividend Option: Cash								
107 000								
Multiple†								
19 1920								
2,053 52								
16 9200								
1,810 44								
-243 08								
Security Identifier: NSIT								
INSIGHT ENTERPRISES INC								
CUSIP 45765U103								
Dividend Option: Cash								
53 000								
03/18/11								
16 5600								
546 48								
17 3700								
573 21								
26 73								
Security Identifier: IART								
INTEGRA LIFESCIENCES HLDGS CORP COM NEW								
CUSIP 457985208								
Dividend Option: Cash								
Ratings: Citi CIRA 1								
Total Covered								
52 000								
Multiple†								
45 6690								
1,461 42								
38 9700								
1,247 04								
-214 38								
Security Identifier: IDTI								
INTEGRATED DEVICE TECHNOLOGY INC COM								
CUSIP 458118106								
Dividend Option: Cash								
Ratings: Citi CIRA 1H								



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (Continued)								
Common Stocks (continued)								
INTEGRATED DEVICE TECHNOLOGY INC COM (continued)								
130.000	05/18/11	6.9600	946.53	7.3000	952.80	46.27		
INTEL CORP COM								
CUSIP: 158140100								
Dividend Option: Cash								
Ratings: Citi CIRA 2								
854.000	08/10/12	26.7880	22,877.21	20.6200	17,609.48	-5,267.73	768.60	4.36%
INTERNATIONAL BUSINESS MACHS CORP								
CUSIP: 459200101								
Dividend Option: Cash								
CUSIP: 460140105								
Dividend Option: Cash								
Ratings: Citi CIRA 1								
441.000	12/09/09	127.1160	311,313.32	191.5500	17,047.95	5,734.63	302.60	1.77%
INTERNATIONAL PAPER CO								
CUSIP: 460355201								
Dividend Option: Cash								
Ratings: Citi CIRA 2								
441.000	08/10/12	53.0600	14,579.42	39.8400	17,569.44	2,990.02	529.20	3.01%
INTERNATIONAL SPEEDWAY CORP CL A								
CUSIP: 460355201								
Dividend Option: Cash								
Ratings: Citi CIRA 2								
23.000	05/18/11	29.0500	668.15	27.6494	635.94	-32.21	4.60	0.72%
INTUITIVE SURGICAL INC COM NEW								
CUSIP: 46120E002								
Dividend Option: Cash								
Total Noncovered								
5.000	12/09/09	286.9800	3860.94	490.3700	1,471.11	610.17		
Total Covered								
5.000	05/25/11	532.4700	1,662.55	490.3700	2,451.85	789.50		
8.000	Total		\$2,523.29		\$3,922.96	\$1,399.67	\$0.00	

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Account Number C24-062215

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Accounts carried by Pershing LLC
Member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
INVESCO LTD ORD SHS								
ISIN#BMG491B111088	Security Identifier: IVZ							
CUSIP 0491B1108								
Dividend Option Cash								
Ratings CII CIRA 1								
281 000	05/10/12	22 8870	6,431 11	26 0900	7,331 29	900 18	193 89	2 84%
IRON MTN INC PA COM								
CUSIP 402846106	Security Identifier: IRM							
Dividend Option Cash								
Ratings CII CIRA 1								
Total Covered	Multiple Y	50 6090	4,285 30	31 0500	4,347 00	61 70	151 20	3 47%
110 000								
ITC HDGS CORP COM								
ISIN#US450851050	Security Identifier: ITC							
CUSIP 405085105								
Dividend Option Cash								
70 000	12/09/09	48 2300	33,376 10	76 9100	5,383 70	2,007 60	105 70	1 96%
JANUS CAP GROUP INC COM								
CUSIP 47102X105	Security Identifier: JNS							
Dividend Option Cash								
Ratings CII CIRA 2								
95 000	11/21/11	6 2390	580 24	8 5200	792 36	212 12	22 32	2 81%
JOHNSON & JOHNSON COM								
CUSIP 478100104	Security Identifier: JNJ							
Dividend Option Cash								
Ratings CII CIRA 1								
Total Noncovered	Multiple Y	64 2880	33,500 88	70 1000	34,349 00	2,848 12	1,195 60	3 48%
490 000								
Total Covered	Multiple Y	66 0700	11,496 11	70 1000	12,197 40	701 29	424 56	3 48%
174 000								
664 000	Total		\$42,996.99		\$46,546.40	\$3,549 41	\$1,620.16	
JP MORGAN CHASE & CO COM								
ISIN#US4002511005	Security Identifier: JPM							
CUSIP 400251100								
Dividend Option Cash								
Ratings CII CIRA 1								
Total Noncovered	Multiple Y	47 2260	\$18,134 69	43 9691	16,884 13	-1,250 56	460 80	2 72%
584 000								

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Accounts carried by Prudential LLC,
Member FINRA, NYSE



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continues)								
Common Stocks (continued)								
JP MORGAN CHASE & CO COM (continued)								
Total Covered		41,0470	2,585.99	43.9691	2,770.06	184.07	75.60	2.72%
65,000	Multiple*							
447,000	Total		\$70,720.68		\$19,654.19	-\$1,066.49	\$536.40	
KANSAS CITY SOUTHERN COM NEW								
CUSIP: 185170302								
Dividend Option: Cash								
Ratings: Citi: CIRA 1								
Total Noncovered	Multiple*	56,6000	33,001.23	83.4800	6,845.36	3,844.13	63.96	0.93%
82,000								
KAR AUCTION SVCS INC COM								
CUSIP: 182581109								
Dividend Option: Cash								
Ratings: Citi: CIRA 1								
Total Noncovered	Multiple*	14,5760	1,166.11	20.2400	1,619.20	453.09	60.80	3.75%
80,000								
KENAMETAL INC CAP STK								
CUSIP: 489170100								
Dividend Option: Cash								
Ratings: Citi: CIRA 2								
Total Noncovered	Multiple*	40,8400	1,102.68	40.0000	1,080.00	-22.68	17.28	1.60%
27,000								
KIMBERLY CLARK CORP								
CUSIP: 491308105								
Dividend Option: Cash								
Ratings: Citi: CIRA 2								
Total Noncovered	Multiple*	64,0420	313,705.03	84.4500	18,068.02	4,362.99	633.44	3.50%
214,000								
KINDER MORGAN MGMT LLC SIS								
CUSIP: 494550100								
Dividend Option: Cash								
Ratings: Citi: CIRA 2								
Total Noncovered	Multiple*	72,0470	54,035.32	75.4600	56,595.00	2,559.68		
750,000								

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
KINDRED HEALTHCARE INC COM								
CUSIP: 494580105			Security Identifier: KND					
Dividend Option: Cash								
Ratings: Citi CIRA 2H								
Total Covered	Multiple	23,3010	2,097.05	10.8200	973.80	-1,123.25		
90,000								
KINGFISHER PLC SPONSORED ADR								
ISIN#US4957244035			Security Identifier: KGFHY					
CUSIP: 495724403								
Dividend Option: Cash								
Ratings: Citi CIRA 1								
Total Covered	07/20/11	8,2200	8,400.94	9.2360	9,439.19	1,038.25	270.93	2.87%
1,072,000								
KIRBY CORP COM								
CUSIP: 497266106			Security Identifier: KEX					
Dividend Option: Cash								
Total Covered	10/24/12	59,6800	4,475.99	61.8900	4,641.75	165.76		
75,000								
KNOX INC COM NEW								
CUSIP: 498904200			Security Identifier: KNL					
Dividend Option: Cash								
Total Not Covered	01/01/10	12,0590	3,687.37	15.3600	875.52	188.15	27.36	3.12%
57,000								
Total Covered	03/18/11	18,5400	55.62	15.3600	46.08	-9.54	1.44	3.12%
3,000								
60,000	Total		\$742.99		\$921.60	\$178.61	\$28.80	
LANDSTAR SYSTEMS INC COM								
CUSIP: 515058101			Security Identifier: LSTR					
Dividend Option: Cash								
Total Covered	03/18/11	43,5600	696.96	52.4600	839.36	142.40	4.00	0.47%
16,000								
LEAR CORP COM NEW								
CUSIP: 521865204			Security Identifier: LEA					
Dividend Option: Cash								
Ratings: Citi CIRA 1M								
Total Covered	Multiple	45,2540	1,764.90	46.8400	1,826.76	61.86	21.84	1.19%
39,000								
LEXMARK INTL INC CL A								
CUSIP: 529711107			Security Identifier: LXX					
Dividend Option: Cash								
Total Covered	01/24/12	16,7290	1,070.66	23.1900	1,484.16	413.50	76.80	5.17%
64,000								



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
LIBERTY MEDIA CORP NEW LIBERTY CAP COM								
SERA								
CUSIP 550522106								
Dividend Option Cash								
Ratings: Citi CIRA 1								
Total Not Covered								
57,000	12/15/10*	62.5390	3,564.71	116.0100	6,612.57	3,047.86		
Total Covered								
10,000	05/25/11	74.4900	744.90	116.0100	1,160.10	415.20		
67,000	Total		\$4,309.61		\$7,772.67	\$3,463.06	\$0.00	
LIFEPOINT HOSPS INC COM								
CUSIP 55219T109								
Dividend Option Cash								
Ratings: Citi CIRA 1H								
34,000	05/18/11	59.0200	1,326.68	37.7500	1,283.50	-43.18		
LIMITED BRANDS INC								
CUSIP 552710107								
Dividend Option Cash								
Ratings: Citi CIRA 1								
Total Covered								
39,6110	Multiple	39.6110	4,753.29	47.0600	5,647.20	893.91	120.00	2.12%
LINCOLN NATL CORP IND								
CUSIP 554187109								
Dividend Option Cash								
Ratings: Citi CIRA 2H								
Total Not Covered								
254,000	Multiple	25.3600	35,935.35	25.9000	6,578.60	645.25	121.92	1.85%
LINSCO LLC COM SIHS REPTG LTD LIABILITY								
INTS								
CUSIP 555782106								
Unrealized Option Cash								

CITY OF ALABAMA

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Accounts carried by Pershing LLC
Member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
INNCO LLC COM SHS REPSTG LTD LIABILITY (continued)								
125,000	12/19/12	37.1670	4,645.93	36.1400	4,517.50	-128.43	355.00	7.85%
LIVE NATION ENTMT INC COM								
CUSIP: 556034109			Security Identifier: LYV					
Dividend Option: Cash								
167,000	03/18/11	10.1760	1,699.46	9.3100	1,554.77	-144.69		
IKQ CORP COM								
CUSIP: 501689206			Security Identifier: IKQ					
Dividend Option: Cash								
380,000	12/09/09*	9.2400	3,511.03	21.1000	8,018.00	4,506.97		
LUKOIL CO SPOND ADR SHS								
ISIN#156778621044			Security Identifier: LUKOY					
CUSIP: 677662104								
Dividend Option: Cash								
Ratings: Citi CIRA TM								
131,000	02/22/12	59.9450	7,852.74	65.4770	8,577.49	724.75	400.07	4.66%
LYONDELBASELL INDUSTRIES N V ORD								
SHS Cl A			Security Identifier: LYB					
CUSIP: N53745106								
Dividend Option: Cash								
Ratings: Citi CIRA 1								
Total Noncovered	11/01/10*	21.3370	31,706.96	57.0900	4,567.20	2,860.24	128.00	2.80%
80,000								
Total Covered	03/25/11	55.6080	178.04	57.0900	285.45	107.41	8.00	2.80%
5,000								
85,000	Total		\$1,885.00		\$4,852.65	\$2,967.65	\$136.00	
MAIDEN HOLDINGS LTD SHS								
ISIN#6661575311128			Security Identifier: MHLD					
CUSIP: 657551112								
Dividend Option: Cash								
Total Covered	Multiple†	8.8500	3,132.93	9.1900	3,253.26	120.33	127.44	3.91%
554,000								
MAMPOWER GROUP COM								
CUSIP: 5641811100			Security Identifier: MAN					
Dividend Option: Cash								
Ratings: Citi CIRA 1								
Total Covered	Multiple†	45.1620	2,664.56	42.4400	2,503.96	-160.60	50.74	2.02%
59,000								

12/15/12

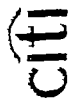
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Accounts carried by P...ing LLC
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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
MARRIOTT INTL INC NEW CL A								
CUSIP 5719052012								
Dividend Option Cash								
Ratings: Citi CIRA 1								
130,000	07/19/11	52.5460	4,231.02	37.2700	4,845.10	614.08	67.60	1.39%
MATTHEWS INTL CORP CL A COM								
CUSIP 571728101								
Dividend Option Cash								
Ratings: Citi CIRA 1								
19,000	03/18/11	55.8700	681.53	52.1000	609.90	-71.63	7.60	1.24%
MAXIM INTEGRATED PRODUCTS INC								
CUSIP 571728101								
Dividend Option Cash								
Ratings: Citi CIRA 1								
170,000	08/10/12	28.4680	13,380.10	29.4000	13,818.00	437.90	451.20	3.25%
MAXIMUS INC COM								
CUSIP 571935104								
Dividend Option Cash								
Ratings: Citi CIRA 1								
11,000	05/18/11	57.1850	520.59	63.2200	885.08	364.49	5.04	0.56%
MENS WEARHOUSE INC COM								
CUSIP 587111100								
Dividend Option Cash								
Ratings: Citi CIRA 1								
11,000	06/10/12	29.0200	1,015.70	31.1600	1,090.60	74.90	25.20	2.31%
MENTOR GRAPHICS CORP								
CUSIP 587200100								
Dividend Option Cash								
66,000	Total		\$1,645.38		\$2,056.56	\$411.18	\$47.52	

 Accounts earned by Pershing LLC
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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
MENTOR GRAPHICS CORP (continued)								
239,000	05/29/12	14,1790	4,239.67	17.0200	5,088.98	849.31		
Security Identifier: MRK								
MERCK & CO INC NEW COM								
CUSIP 58335Y105								
Dividend Option Cash								
Ratings: CIII CIRA 2								
317,000	08/10/12	44,3880	14,070.87	40.9400	12,977.98	-1,092.89	545.24	4.20%
Security Identifier: MET								
METLIFE INC COM								
CUSIP 59156R106								
Dividend Option Cash								
Ratings: CIII CIRA 1								
Total Covered								
212,000	Multiple	37,2830	7,903.91	32.9400	6,983.28	-920.63	156.88	2.24%
Security Identifier: MTD								
METTLER-TOLEDO INTL INC COM								
CUSIP 592688105								
Dividend Option Cash								
29,000	06/04/10	112,6260	3,266.15	193.3000	5,605.70	2,339.55		
Security Identifier: MCHIP								
MICROCHIP TECHNOLOGY INC COM								
CUSIP 595017104								
Dividend Option Cash								
Ratings: CIII CIRA 2								
Total Not Covered								
114,000	12/09/09	27,0270	33,081.09	32.5900	3,715.26	634.17	160.51	4.32%
Total Covered								
470,000	Multiple	36,3150	17,285.81	32.5900	15,512.84	-1,772.97	670.21	4.31%
590,000	Total		\$30,366.90		\$19,228.10	-\$1,138.80	\$830.72	
Security Identifier: MCRS								
MICROSYS INC COM								
CUSIP 594301100								
Dividend Option Cash								
105,000	12/09/09	29,8030	33,129.32	42.4400	4,456.20	1,326.88		
Security Identifier: MSFT								
MICROSOFT CORP COM								
CUSIP 594318104								
Dividend Option Cash								
Ratings: CIII CIRA 1								
Total Not Covered								
583,000	Multiple	28,5590	41,678.38	28.7097	15,598.46	1,079.92	537.28	3.44%



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

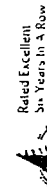
Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
MITSUBISHI & CO LTD ADR								
ISIN# 05080212029								
CUSIP 0508021202								
Dividend Option: Cash								
25 000	05/28/11	361.8450	8,522.40	296.7680	6,825.66	-1,496.74	261.71	3.83%
MONSANTO CO NEW COM								
CUSIP 01160W101								
Dividend Option: Cash								
Rating: CRI: A-1								
Total Noncovered	12/09/09	83.2670	31,998.40	94.6500	2,271.60	273.20	36.00	1.58%
24 000	Multiple	72.8160	10,048.64	94.6500	13,061.70	3,013.06	207.00	1.58%
Total Covered								
138 000								
162 000	Total		\$12,047.04		\$15,333.30	\$3,286.26	\$243.00	
MONSTER BEVERAGE CORP COM								
CUSIP 011740101								
Dividend Option: Cash								
Total Covered	Multiple	43.6730	4,236.32	52.8400	5,125.48	889.16		
97 000								
MRC GLOBAL INC COM								
CUSIP 55515K105								
Dividend Option: Cash								
115 000	10/05/12	24.5360	2,772.62	27.7800	3,139.14	366.52		
MUELLER INDUSTRIES INC								
CUSIP 024750102								
Dividend Option: Cash								
15 000	04/28/11	39.6000	514.80	50.0300	650.39	135.59	6.50	0.99%

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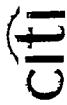
Accounts carried by Pershing LLC
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East Coast Capital Advisors

Investment Account Statement

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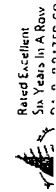
Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
NORTHERN OIL & GAS INC NEW COM								
USIP 665531109			Security Identifier: NOG					
Dividend Option Cash								
Total Covered	Multiple†	22 7530	5,324.25	16 8200	3,935.88	-1,388.37		
254 0000								
NORTHERN TR CORP COM								
USIP 665552104			Security Identifier: NTRS					
Dividend Option Cash								
Total Covered								
110 0000								
NORTHWESTERN CORP COM NEW								
USIP 668071505	05/24/12	43 1190	4,743.15	50 1600	5,517.60	774.47	132.00	2.39%
Dividend Option Cash			Security Identifier: NWE					
Total Covered								
129 0000								
NU SKIN ENTERPRISES INC CL A								
USIP 670181105	12/09/09*	25 5960	33,301.88	34 7300	4,480.17	1,178.29	190.92	4.26%
Dividend Option Cash			Security Identifier: NUS					
Total Covered								
48 0000								
VIDIA CORP COM								
USIP 670067104	Multiple†	36 6530	1,759.35	37 0500	1,778.40	19.05	38.40	2.15%
Dividend Option Cash			Security Identifier: NVDA					
Ratings: Citi CIRA 211								
146 0000								
OCEANEERING INTL INC								
USIP 675232102	11/20/12	11 5090	1,680.50	12 2600	1,789.96	109.66	43.80	2.44%
Dividend Option Cash			Security Identifier: OII					
Total Covered								
100 0000								
	05/15/12	48 2500	4,824.99	53 7900	5,379.00	554.01	72.00	1.33%



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Accounts carried by Pershing LLC
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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
OCWEN FINI CORP COM NEW								
CUSIP 075746309	03/18/11	10 5590	Security Identifier: OCN	34 5900	4,427 52	3,075 97		
Dividend Option Cash								
128 000								
OIL SIS INTL INC COM								
CUSIP 078026105	Multiple	79 6980	Security Identifier: OIS	71 5400	4,507 02	-513 93		
Dividend Option Cash								
Total Covered								
63 000								
OMNICARE INC COM								
CUSIP 081904106	03/18/11	28 8270	Security Identifier: OCR	56 1000	2,888 00	581 88	44 80	1 55%
Dividend Option Cash								
80 000								
ONEOK INC NEW COM								
CUSIP 082080103	08/10/12	44 8300	Security Identifier: OKE	42 7500	31,250 25	-1,520 41	964 92	3 08%
Dividend Option Cash								
Ratings Citi CIRA 2								
731 000								
ORACLE CORP COM								
CUSIP 08385X105	Multiple	31 7250	Security Identifier: ORCL	33 3200	3,831 80	183 38	27 60	0 72%
Dividend Option Cash								
Ratings Citi CIRA 1								
Total Covered								
115 000								
OWENS AND MINOR INC HL DGS CO INC								
CUSIP 090732102	04/12/10	30 9820	Security Identifier: OMI	28 5100	1,311 46	-113 73	40 48	3 08%
Dividend Option Cash								
46 000								
PAREXEL INTL CORP COM								
CUSIP 099402107	04/18/11	23 5900	Security Identifier: PRXL	29 5900	917 29	186 00		
Dividend Option Cash								
Ratings Citi CIRA 2								
31 000								

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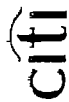
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East Coast Capital Advisors

Investment Account Statement

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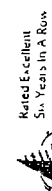
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Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
PARINERRE LTD SIIS								
ISIN#000005211053								
USIP 000521105								
Dividend Option Cash								
Ratings Citi CIRA 2								
Total Covered	Multiple	75 0550	1,988.15	80.4900	2,113.23	185.08	66.96	3.08%
PEMBINA PIPELINE CORP COM								
ISIN#000005211054								
USIP 000521105								
Dividend Option Cash								
Ratings Citi CIRA 2								
Total Covered	Multiple	26 3230	7,791.66	28.6400	8,477.44	685.78	483.97	5.70%
PEPSICO INC COM								
USIP 000521105								
Dividend Option Cash								
Ratings Citi CIRA 2								
Total Noncovered	Multiple	62 1960	310,013.60	68.4300	11,017.23	1,003.63	346.15	3.14%
Total Covered	03/21/12	65 4700	6,285.11	68.4300	6,569.28	284.17	206.40	3.14%
257 000	Total		\$16,298.71		\$17,586.51	\$1,287.80	\$552.55	
PFIZER INC COM								
USIP 000521105								
Dividend Option Cash								
Ratings Citi CIRA 1								
Total Noncovered	Multiple	16 6160	37,460.42	25.0793	11,260.61	3,800.19	431.04	3.82%
Total Covered	Multiple	24 0120	15,583.93	25.0793	16,276.46	692.53	623.04	3.82%
1,098 000	Total		\$23,044.35		\$27,537.07	\$4,492.72	\$1,054.08	

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Accounts carried by Pershing LLC
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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
PIHI CORP COM NEW								
CUSIP 6935520202			Security Identifier: PIHI					
Dividend Option Cash								
154 000	03/18/11	21 4760	2,877 83	22 7500	3,048 50	170 67		
PLATINUM UNDERWRITERS HL DGS								
111 SHS			Security Identifier: PIP					
CUSIP 67127P100								
Dividend Option Cash								
Total Noncovered	12/09/09*	51 0150	31,591 65	46 0000	1,978 00	386 35	13 76	0 69%
Total Covered	Multiple*	34 3510	1,099 22	46 0000	1,472 00	372 78	10 24	0 69%
75 000	Total		\$2,690 87		\$3,450 00	\$759 13	\$24 00	
PNM RES INC COM								
CUSIP 6934911107			Security Identifier: PNM					
Dividend Option Cash								
79 000	05/18/11	14 2260	1,123 89	20 5100	1,620 29	496 40	45 82	2 82%
PPG INDUSTRIES INC								
CUSIP 6935061017			Security Identifier: PPG					
Dividend Option Cash								
153 000	12/09/09*	59 3600	33,082 08	135 3500	20,708 55	11,626 47	361 08	1 74%
PRECISION CASTPARTS CORP								
CUSIP 740189105			Security Identifier: PCP					
Dividend Option Cash								
17 000	12/09/09*	109 9520	31,869 18	189 4200	3,220 14	1,350 96	2 04	0 06%
Total Covered	07/03/12	166 9900	1,502 91	189 4200	1,704 78	201 87	1 08	0 06%
26 000	Total		\$3,372 09		\$4,924 92	\$1,552 83	\$3 12	
PROCTER & GAMBLE CO COM								
CUSIP 742718109			Security Identifier: PG					
Dividend Option Cash								
61 8150	Multiple*		316,195 11	67 8900	17,787 18	1,592 07	588 97	3 31%

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Accounts carried by P...ing LLC
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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
PRUDENTIAL PLC ADR								
CUSIP: 714558204								
Dividend Option Cash								
554.000	01/25/12	22.3710	7,471.99	28.5500	9,535.70	2,063.71	271.27	2.84%
R R DONNELLEY & SONS CO COM								
CUSIP: 257867101								
Dividend Option Cash								
971.000	08/10/12	12.7400	12,408.66	8.9900	8,756.26	-3,652.40	1,012.96	11.56%
RAYMOND JAMES FINL INC COM								
CUSIP: 751750109								
Dividend Option Cash								
Ratings: Citi CIRA 1								
Total Covered								
46.000	Multiple	52.8710	1,512.06	38.5300	1,772.38	260.32	23.92	1.34%
RAYTHEON CO COM NEW								
CUSIP: 755111507								
Dividend Option Cash								
Ratings: Citi CIRA 1								
Total Market Covered								
511.000	Multiple	52.7890	316,417.23	57.5600	17,901.16	1,483.93	622.00	3.47%
RED HAT INC COM								
CUSIP: 750577102								
Dividend Option Cash								
Ratings: Citi CIRA 1								
Total Covered								
98.000	Multiple	57.5030	5,615.32	52.9600	5,190.08	1,514.76		
REINSURANCE GROUP AMER INC COM NEW								
CUSIP: 759551004								
Dividend Option Cash								

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Accounts carried by Pershing LLC
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EIN: 76-0383386

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
REINTEGRATION GROUP AMER INC COM NEW (continued)								
Total Covered	Multiple	58,5570	36,792.66	53.5200	6,208.32	-584.34	111.36	1.79%
116,000								
RENAISSANCE RE HOLDINGS LTD COM								
ISIN#BVG7496G1033								
CUSIP 67496G103								
Dividend Option Cash								
Ratings: Citi CIRA 2								
Total Covered	Multiple	68,8880	5,028.82	81.2600	5,931.98	903.16	78.84	1.32%
73,000								
HENT A CENTER INC COM								
CUSIP 7009N100								
Dividend Option Cash								
Total Covered	Multiple	33,2100	1,859.76	34.3600	1,924.16	64.40	35.84	1.86%
56,000								
REXAM PLC SPONSORED ADR NEW								
CUSIP 761655406								
Dividend Option Cash								
Total Covered	Multiple	32,0800	7,218.00	35.4360	7,973.10	755.10	257.43	3.23%
225,000								
RIO TINTO PLC SPONSORED ADR								
ISIN#US7672041000								
CUSIP 767204100								
Dividend Option Cash								
Ratings: Citi CIRA 2M								
Total Covered	Multiple	50,8960	36,107.57	58.0900	6,970.80	863.23	198.65	2.84%
120,000								
ROCK-TENN CO CL A								
CUSIP 112259307								
Dividend Option Cash								
Total Covered	Multiple	65,8600	658.60	69.9100	699.10	40.50	9.00	1.28%
10,000								
ROSETTA RES INC COM								
CUSIP 111179307								
Dividend Option Cash								
Ratings: Citi CIRA III								
Total Covered	Multiple	43,9610	2,417.86	45.3200	2,492.60	74.74		
55,000								

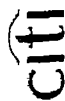
Accounts carried by P
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Account Number C24 062215

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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
ROSS STORES INC (STATE OF INC CHGD								
100 CALL 100 (DELAWARE)								
100	05/01/12	62.6600	6,078.02	54.0900	5,246.73	-831.29	54.32	1.03%
ROYAL DUTCH SHELL PLC SPONSORED ADR								
RESPLT, A SHS								
100	05/01/12	62.6600	6,078.02	54.0900	5,246.73	-831.29	54.32	1.03%
SALLY BEAUTY HLDGS INC COM								
100 CALL 100 (DELAWARE)								
100	05/01/12	62.6600	6,078.02	54.0900	5,246.73	-831.29	54.32	1.03%
SBA COMMUNICATIONS CORP CL A								
100 CALL 100 (DELAWARE)								
100	05/01/12	62.6600	6,078.02	54.0900	5,246.73	-831.29	54.32	1.03%
SCHLUMBERGER LTD COM ISIN#AN068571086								
100 CALL 100 (DELAWARE)								
100	05/01/12	62.6600	6,078.02	54.0900	5,246.73	-831.29	54.32	1.03%
SALLY BEAUTY HLDGS INC COM								
100 CALL 100 (DELAWARE)								
100	05/01/12	62.6600	6,078.02	54.0900	5,246.73	-831.29	54.32	1.03%
SBA COMMUNICATIONS CORP CL A								
100 CALL 100 (DELAWARE)								
100	05/01/12	62.6600	6,078.02	54.0900	5,246.73	-831.29	54.32	1.03%
SCHLUMBERGER LTD COM ISIN#AN068571086								
100 CALL 100 (DELAWARE)								
100	05/01/12	62.6600	6,078.02	54.0900	5,246.73	-831.29	54.32	1.03%

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SEADRILL LIMITED SIIS								
Security Identifier: SDRIL								
SIIS#BAC7/945E1057								
CUSIP 7/945E105								
Dividend Option Cash								
Ratings: C11 CIRA 1								
605 000	08/10/12	40 0200	24,212.04	36 8000	22,264.00	-1,948.04	2,057.00	9.23%
SELECT MED HLDS CORP COM								
Security Identifier: SEM								
CUSIP 81613Q105								
Dividend Option Cash								
Total Covered								
212 000	Multiple	7 6980	1,631.88	9 4300	1,999.16	367.28		
SEMGROUP CORP CL A								
Security Identifier: SEMG								
CUSIP 81665A1105								
Dividend Option Cash								
Total Covered								
46 000	Multiple	23 5240	1,082.12	39 0800	1,797.68	715.56		
SENSIENT TECHNOLOGIES CORP								
Security Identifier: SXT								
CUSIP 817251100								
Dividend Option Cash								
Total Covered								
16 000	03/18/11	34 0100	544.16	55 5600	568.96	24.80	14.08	2.47%
SERVICE CORP INTL								
Security Identifier: SCI								
CUSIP 817565104								
Dividend Option Cash								
Total Noncovered								
95 000	12/09/09	7 7780	3758.92	13 8100	1,311.95	573.03	22.80	1.73%
Total Covered								
10 000	01/24/11	8 6980	386.98	13 8100	138.10	51.12	2.40	1.73%
105 000	Total		\$825.90		\$1,450.05	\$624.15	\$75.20	
SIGNATURE BK NEW YORK N Y COM								
Security Identifier: SBNY								
CUSIP 82669G104								
Dividend Option Cash								
Total Noncovered								
70 000	Multiple	32 2490	32,257.43	71 3400	4,993.80	2,736.37		
SIGNET JEWELERS LIMITED								
Security Identifier: SIG								
SIIS#BAC812701002								
CUSIP 801270100								
Dividend Option Cash								



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SIGNET JEWELERS LIMITED (continued)								
184.000	12/09/09*	25.8010	34,379.45	53.4000	9,825.60	5,446.15	88.32	0.89%
SK TELECOM LTD SPONSORED ADR								
ISIN#1578110P1081			Security Identifier: SKM					
CUSIP 78440P108								
Dividend Option: Cash								
Ratings: (111) (11A) (11)								
162.000	12/18/12	16.3790	7,567.02	15.8300	7,313.46	-253.56	311.73	4.26%
SKECHERS U.S.A. INC. CL A								
CUSIP 850500105			Security Identifier: SKX					
Dividend Option: Cash								
55.000	03/18/11	18.5100	981.03	18.5000	980.50	-0.53		
SKYWORKS SOLUTIONS INC. COM								
CUSIP 85088A1102			Security Identifier: SWKS					
Dividend Option: Cash								
254.000	11/18/10*	25.7700	36,057.66	20.3000	5,156.20	881.46		
SIM CORP. COM								
CUSIP 78442P106			Security Identifier: SIM					
Dividend Option: Cash								
152.000	05/18/11	14.7560	1,947.85	17.1300	2,261.16	313.33	66.00	2.91%
SM ENERGY CO. COM								
CUSIP 781541100			Security Identifier: SM					
Dividend Option: Cash								
12.000	08/19/11	71.9200	863.04	52.2100	626.52	-236.52	1.20	0.19%
SOLERA HOLDING INC. COM								
CUSIP 85421A104			Security Identifier: SLH					
Dividend Option: Cash								
113.000	12/09/09*	55.9780	34,065.52	53.4700	6,042.11	1,976.59	56.50	0.93%

ACCOUNT STATEMENT

Account Number: C24-062215

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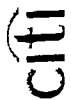
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 Accounts Carried by Pershing LLC
Member FINRA, NYSE, SIPC

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Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SPECTRA ENERGY CORP COM								
CUSIP 847501109			Security Identifier: SE					
Dividend Option Cash								
Ratings CII CIRA 2								
Total Noncovered	12/09/09*	19 7060	39,931.88	27 3800	13,799.52	3,867.64	614.88	4.45%
504 000								
Total Covered	08/10/12	29 2760	20,581.28	27 3800	19,248.14	-1,333.14	857.66	4.45%
765 000								
1,207 000	Total		\$30,513.16		\$33,047.66	\$2,534.50	\$1,472.54	
SPEADTRUM COMMUNICATIONS INC								
SPON AFR			Security Identifier: SPRD					
CUSIP 849415203								
Dividend Option Cash								
425 000	12/19/12	17 7890	7,560.45	17 6200	7,488.50	-71.95	201.87	2.69%
STANCORP FINL GROUP INC COM								
CUSIP 852891100			Security Identifier: SFG					
Dividend Option Cash								
Ratings CII CIRA 2M								
33 000	09/28/11	26 8800	887.04	36 6700	1,210.11	323.07	30.69	2.53%
STANLEY BLACK & DECKER INC COM								
CUSIP 854502101			Security Identifier: SWK					
Dividend Option Cash								
57 000	Multiple*	70 4920	4 018.03	73 9700	4,216.29	198.26	111.72	2.64%
STATOIL ASA SPONSORED ADR								
ISIN#1585711P1u21			Security Identifier: STO					
CUSIP 85711P102								
Dividend Option Cash								
Ratings CII CIRA 2M								
Total Noncovered	12/09/09*	24 2480	37,201.51	25 0400	7,436.88	235.37	268.59	3.61%
297 000								
Total Covered	08/10/12	25 2300	17,484.32	25 0400	17,352.72	-131.60	626.70	3.61%
693 000								
990 000	Total		\$24,685.83		\$24,789.60	\$103.77	\$895.29	
STERICYCLE INC COM								
CUSIP 858912108			Security Identifier: SRCL					
Dividend Option Cash								
61 060	12/09/09*	57 9180	33,532.97	93 2800	5,690.08	2,157.11		



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SVB FINL GROUP COM								
CUSIP: 784003110								
Dividend Option Cash								
Ratings: Citi CIRA 1								
24.000	09/28/11	58.0000	912.00	55.9700	1,343.28	431.28		
SWIFT ENERGY CO COM								
CUSIP: 870758101								
Dividend Option Cash								
45.000	09/14/11	30.2210	1,299.50	15.3900	661.77	-637.73		
SYKES ENTERPRISES INC COM								
CUSIP: 871257105								
Dividend Option Cash								
55.000	05/18/11	18.3000	969.90	15.2200	806.66	-163.24		
SYMETRA FINL CORP COM								
CUSIP: 871510100								
Dividend Option Cash								
56.000	05/18/11	15.2160	740.12	12.9800	726.88	-13.24	15.68	2.15%
SYMMETRY MED INC COM								
CUSIP: 871510200								
Dividend Option Cash								
127.000	05/18/11	9.1060	1,156.46	10.5200	1,336.04	179.58		
SYNNEX CORP COM								
CUSIP: 871025100								
Dividend Option Cash								
Total Covered	Multiple	54.0090	11,768.49	34.3800	1,787.76	19.27		
52.000	6 day(s) added to your holding period as a result of a wash sale							

Attachment to Part II Line 10b

Accounts carried by P...ning LLC
member FINRA NY

Account Number C24 062215

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East Coast Capital Advisors

Investment Account Statement

FIN: 76-0383386

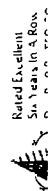
Attachment to Part II Line 10b

Statement Period: 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
TEREX CORP NEW .01 PV								
CUSIP: 880779105								
Dividend Option: Cash								
Total Market-Weighted	Multiple†	19.4590	31,529.65	28.1100	3,654.30	1,124.65		
(30.000)								
Total Covered	Multiple†	17.3180	1,056.37	28.1100	1,714.71	658.34		
(51.000)								
191.000	Total		\$3,586.02		\$5,369.01	\$1,782.99	\$0.00	
THOR INDS INC								
CUSIP: 885100101								
Dividend Option: Cash								
Ratings: Citi CIRA 1								
Total Covered	Multiple†	30.9550	1,423.91	37.4300	1,721.78	297.87	33.12	1.92%
(6.000)								
TOIL BROS INC								
CUSIP: 883178103								
Dividend Option: Cash								
Ratings: Citi CIRA 2								
Total Covered	10/10/12	34.3700	2,096.57	32.3300	1,972.13	-124.44		
(6.000)								
TORCHMARK CORP								
CUSIP: 891027104								
Dividend Option: Cash								
Ratings: Citi CIRA 1†								
Total Covered	08/01/12	49.7600	1,094.72	51.6700	1,136.74	42.02	15.20	1.16%
(23.000)								
TOTAL 5 A SPONSORED ADR								
CUSIP: 89151E109								
Dividend Option: Cash								
Ratings: Citi CIRA 1M								
Total Covered	08/10/12	49.0300	14,708.97	52.0100	15,603.00	894.03	752.03	4.81%
(50.000)								

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Accounts Covered by Pershing LLC
number FINRA NYSE SPC

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Account Number C24 062215

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EIN: 76-0383386

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
TOWERS WATSON & CO CL A								
CUSIP 891694107			Security Identifier: TW					
Dividend Option Cash								
Ratings: CIII CIRA 1								
46 000	03/18/11	55 7500	2,564 50	56 2100	2,585 66	21 16	21 16	0.81%
TRAVELEERS COS INC COM								
CUSIP 894117E109			Security Identifier: TRV					
Dividend Option Cash								
Ratings: CIII CIRA 2								
Total Noncovered								
426 000	Multiple: Y	50 1280	371,354 45	71 8200	30,595 32	9,240 89	783 84	2.55%
TREEHOUSE FOODS INC COM								
CUSIP 89469A104			Security Identifier: THS					
Dividend Option Cash								
Ratings: CIII CIRA 1								
61 000	07/12/10*	47 2840	32,884 31	52 1300	3,179 93	295 62		
TRW AUTOMOTIVE HDGS CORP COM								
CUSIP 872645106			Security Identifier: TRW					
Dividend Option Cash								
28 000	07/31/12	39 3600	1,102 08	53 6100	1,501 08	399 00		
TUPPERWARE BRANDS CORP COM								
CUSIP 899896104			Security Identifier: TUP					
Dividend Option Cash								
Total Noncovered								
104 000	Multiple: Y	48 1040	35,002 79	64 1000	6,666 40	1,663 61	149 76	2.24%
TYCO INTL LTD SLS								
ISIN# 110100363485			Security Identifier: TYC					
CUSIP 1189128104								
Dividend Option Cash								
Ratings: CIII CIRA 2								
268 000	12/05/12	28 6000	7,664 77	29 2500	7,839 00	174 23	160 80	2.05%
UNITED OVERSEAS BK LTD SPON ADR								
CUSIP 911271302			Security Identifier: UOVEY					
Dividend Option Cash								
234 000	09/13/12	31 7200	7,422 48	32 4360	7,590 02	167 54	223 93	2.93%

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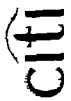
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Accounts carried by P... LLC
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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Statement Period 12/01/2012 - 12/31/2012

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
UNITED PARCEL SVC INC CL B								
USIP 911312106								
Dividend Option Cash								
Ratings Citi CIRA 1								
251.000	12/09/09*	57.7580	314.49736	73.7500	18,506.25	4,008.87	572.28	3.09%
UNITED TECHNOLOGIES CORP COM								
USIP 915017103								
Dividend Option Cash								
Ratings Citi CIRA 2								
227.000	12/03/09*	67.5460	315,287.57	82.0100	18,616.27	3,328.70	485.78	2.63%
UNIVERSAL CORP VA COM								
USIP 913456103								
Dividend Option Cash								
Total Covered	Multiple*	43.5400	1,262.65	49.9100	1,447.39	184.74	58.00	4.00%
UW WORLDWIDE INC SHS								
USIP 91872101052								
USIP 667210105								
Dividend Option Cash								
60.000	05/18/11	18.9000	1,247.40	13.4000	884.40	-363.00	3.96	0.44%
VALEO SPON ADR								
USIP 919154504								
Dividend Option Cash								
Total Dividend	12/02/10*	28.9190	37,895.02	24.8090	6,772.85	-1,122.17	197.19	2.91%
275.000								
Total Covered	Multiple*	28.3920	13,69.09	24.8090	522.52	-46.57	9.39	2.91%
15.000								
Total			\$8,264.11		\$7,095.37	-\$1,168.74	\$206.58	

Catalyst Foundation
2012 Form 990-PF

112 day(s) added to your holding period as a result of a wash sale

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Accounts carried by Pershing LLC
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Account Number C24 062215

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
VALEO ENERGY CORP NEW COM								
CUSIP 91913Y100			Security Identifier: VIO					
Dividend Option Cash								
Rating: (1) CIRA 2H								
97.000	11/15/12	29.1300	2,825.60	34.1200	3,309.64	484.04	67.90	2.05%
VALIUS HDGS LTD COM SHS								
BIN#BMA9319111025			Security Identifier: VR					
CUSIP 035191102								
Dividend Option Cash								
Total Noncovered	12/09/09*	26.6000	31,489.60	34.5800	1,936.48	446.88	56.00	2.89%
50.000								
Total Covered	Multiple*	32.2170	6,958.96	34.5800	7,469.28	510.32	216.00	2.89%
216.000								
272.000	Total		\$8,448.56		\$9,405.76	\$957.20	\$272.00	
VALLEY NATL BANCORP COM								
CUSIP 919194107			Security Identifier: VLY					
Dividend Option Cash								
726.000	08/10/12	9.4700	6,875.15	9.3000	6,751.80	-123.35	471.90	6.98%
VCA ANTECH INC COM								
CUSIP 918194101			Security Identifier: WOOF					
Dividend Option Cash								
58.000	03/18/11	24.5200	931.76	21.0500	799.90	-131.86		
VISA INC COM CL A								
CUSIP 92826C459			Security Identifier: V					
Dividend Option Cash								
Rating: (1) CIRA 2								
Total Noncovered	Multiple*	73.3420	31,033.80	151.5800	8,336.90	4,303.10	72.60	0.81%
55.000								
Total Covered	02/04/11	72.7110	3,945.24	151.5800	1,970.54	1,025.30	17.16	0.81%
15.000								
68.000	Total		\$4,979.04		\$10,307.44	\$5,328.40	\$89.76	
VODAFONE GROUP PLC SPON ADR NEW								
BIN#V59285/VW098			Security Identifier: VOD					
CUSIP 9285/W209								
Dividend Option Cash								
Rating: (1) CIRA 1								
725.000	08/10/12	29.9190	21,631.26	25.1900	18,212.37	-3,418.89	1,084.56	5.95%

EIN: 76-0383386

Catalyst Foundation
2012 Form 990-PF

10/1/12

Account Number C24-062215

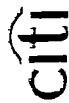
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East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
VOLKSWAGEN A G ADR (SPONS)								
CUSIP: 9286023015								
Dividend Option: Cash								
Ratings: Citi CIRA 2								
12/09/09*								
27,8350			36,318.46	42.9140	9,741.48	3,423.02	241.46	2.47%
WABCO HOLDINGS INC COM								
CUSIP: 92927K102								
Dividend Option: Cash								
Multiple								
59,4630			31,340.79	65.1900	4,758.87	418.08		
WAL MART STORES INC COM								
CUSIP: 931142105								
Dividend Option: Cash								
Ratings: Citi CIRA 1								
12/09/09*			318,500.85	68.2300	25,334.66	4,833.83	543.78	2.33%
WASTE MGMT INC DEL COM								
CUSIP: 941001109								
Dividend Option: Cash								
Multiple								
33,1400			3,121,367.08	33.7400	11,572.82	205.74	487.06	4.20%
09/20/12			3,583.79	33.7400	3,711.40	127.61	156.20	4.20%
Total			\$14,950.87		\$15,284.22	\$333.35	\$643.26	
WELLS FARGO & CO NEW COM								
CUSIP: 949740101								
Dividend Option: Cash								
Ratings: Citi CIRA 2								
12/09/09*			31,448.53	34.1800	5,810.60	1,362.07	149.60	2.57%

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
WELLS FARGO & CO NEW COM (continued)								
Total Covered	06/10/11	26 2980	2,445 71	34 1800	5,178 74	733 03	81 84	2 57%
95 000								
Total			\$6,894 24		\$6,989 34	\$2,095 10	\$231 44	
WESCO INTL INC COM								
CUSIP 95082P105			Security Identifier: WCC					
Dividend Option Cash								
Ratings CII CIRA 2								
17 000	05/18/11	59 7800	1 016 26	67 4300	1,146 31	130 05		
WILLIAMS COS INC COM								
CUSIP 909457100			Security Identifier: WMB					
Dividend Option Cash								
Ratings CII CIRA 1								
Total Covered	Multiple	51 8540	30,548 03	32 7400	31,397 66	849 63	1,246 70	3 97%
959 000								
WILLIS GROUP HLDGS PUBLIC LTD CO								
SIS			Security Identifier: WSI					
CUSIP 936600105								
Dividend Option Cash								
Ratings CII CIRA 1								
28 000	03/18/11	39 1000	1,094 80	33 5300	938 84	-155 96	30 24	3 22%
WORLD FUEL SVCS CORP COM								
CUSIP 981475100			Security Identifier: INT					
Dividend Option Cash								
56 000	05/18/11	37 9900	1,367 64	41 1700	1,482 12	114 48	5 40	0 36%
WUXI PHARMATECH CAYMAN INC SPONS ADF								
CUSIP 929352102			Security Identifier: WX					
Dividend Option Cash								
478 000	11/06/12	15 0100	7,174 73	15 7500	7,528 50	353 77		
XEROX CORPORATION								
CUSIP 984121103			Security Identifier: XRX					
Dividend Option Cash								
Total Covered	Multiple	11 1620	315,123 18	6 8200	3,130 38	-1,992 80	78 03	2 49%
459 000								

78 day(s) added to your holding period as a result of a wash sale

11/06/2012

11/06/2012

Account Number C24 062215

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DALBAR HALL COMMUNICATIONS

Accounts earned by
member FINRA NY



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

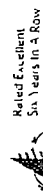
Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Common Stocks (continued)								
SM CO COM								
CUSIP: 88579Y101			Security Identifier: MMM					
Dividend Option: Cash								
Ratings: (1) CRA 2								
Total Multiplier: 1								
197,000		80.0820	315,776.25	92.8500	18,291.45	2,515.20	464.92	2.54%
Total Common Stocks								
			\$2,069,582.83		\$2,328,077.02	\$258,494.19		\$57,982.04
Real Estate Investment Trusts								
ANWORTH MTC ASSET CORP COM								
CUSIP: 037547101			Security Identifier: AN11					
Dividend Option: Cash								
154,000		7.0690	1,088.63	5.7800	890.12	-198.51	92.40	10.18%
ARMOUR RESIDENTIAL REIT INC COM								
CUSIP: 012515101			Security Identifier: ARR					
Dividend Option: Cash								
227,000		7.4700	1,695.69	6.4700	1,468.69	-227.00	217.92	14.83%
CAPSTEAD MTC CORP COM NO PAR								
CUSIP: 11007ES00			Security Identifier: CMO					
Dividend Option: Cash								
57,000		13.2800	756.96	11.4700	653.79	-103.17	68.40	10.46%
CHATHAM LODGING TR COM								
CUSIP: 104081102			Security Identifier: C1D1					
Dividend Option: Cash								
27,000		15.8060	421.35	15.5800	415.26	-6.09	21.60	5.20%
COLONY FINL INC COM								
CUSIP: 19021R100			Security Identifier: CLNY					
Dividend Option: Cash								
25,000		20.7600	519.00	19.5000	487.50	-31.50	35.00	7.17%

12/31/2012

CUSTID: 0

Account Number: C24-062215

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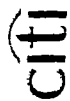
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NATION'S RISK MANAGEMENT

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Accounts carried by Pershing LLC
Member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Keat Estate Investment Trusts (continued)								
CYS INVS INC COM								
CUSIP 126/3A108			Security Identifier: CYS					
Dividend Option Cash								
Total Covered	Multiple	12 4340	3,145 69	11 8100	2,987 93	-157 76	404 80	13 54%
255 000								
DIGITAL RLY TR INC COM								
CUSIP 255808105			Security Identifier: DLR					
Dividend Option Cash								
Ratings CII CIRA 2								
Total Noncovered	12/09/09*	48 4940	33,588 55	67 8900	5,023 86	1,435 31	216 08	4 30%
74 000								
Total Covered	08/10/12	75 9790	16,791 38	67 8900	15,003 69	-1,787 69	645 32	4 30%
221 000								
295 000	Total		\$20,379 93		\$20,027 55	-\$352 38	\$861 40	
ESSEX PROPERTY TRUST								
CUSIP 291178105			Security Identifier: ESS					
Dividend Option Cash								
Ratings CII CIRA 2								
29 000	12/09/09*	80 3740	3,122,330 84	146 6500	4,252 85	1,922 01	127 60	3 00%
HATTERAS FINL CORP COM								
CUSIP 419028103			Security Identifier: HTS					
Dividend Option Cash								
Total Covered	Multiple	27 7790	3 000 16	24 8100	2,679 48	-320 68	303 40	11 28%
108 000								
HCP INC COM								
CUSIP 404141109			Security Identifier: HCP					
Dividend Option Cash								
Ratings CII CIRA 2								
340 000	08/10/12	45 6300	15,514 17	45 1600	15,354 40	-159 77	680 00	4 42%
HOSPITALITY PTYS TR COM SH BEN INT								
CUSIP 44106M102			Security Identifier: HPT					
Dividend Option Cash								
715 000	08/10/12	23 2500	16 609 38	23 4200	16,745 30	135 92	1,344 20	8 0%
MACERICH CO COM								
CUSIP 594382101			Security Identifier: MAC					
Dividend Option Cash								
Ratings CII CIRA 1								



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

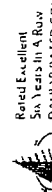
Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Real Estate Investment Trusts (continued)								
MACERICH CO COM (continued)								
Total Noncovered	Multiple	39,743.00	3,114,928.14	58.5000	7,229.20	2,301.06	287.68	3.97%
121,000								
MFA FINL INC COM								
(US\$) 55272X102								
Dividend Option: Cash								
Security Identifier: MFA								
Total Covered	Multiple	8,065.00	2,830.67	8.1100	2,846.61	15.94	280.80	9.86%
551,000								
OMEGA HEALTHCARE INVS INC COM								
(US\$) 681350100								
Dividend Option: Cash								
Security Identifier: OIII								
Total Covered	Multiple	22,844.00	7,218.57	25.8500	7,536.60	318.03	556.16	7.37%
516,000								
SENIOR HSG PPTYS TR SH BEN INT								
(US\$) 81721M109								
Dividend Option: Cash								
Security Identifier: SNH								
Total Covered	Multiple	22,178.00	6,897.46	23.6400	7,352.04	454.58	485.16	6.59%
511,000								
TWO HBRS INVT CORP COM								
(US\$) 50187B101								
Dividend Option: Cash								
Security Identifier: TWO								
Total Covered	Multiple	11,051.00	5,105.70	11.0800	5,118.96	13.26	1,016.40	19.85%
462,000								

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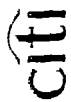
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Accounts carried by Pershing LLC
Member FINRA, NYSE, SIPC

Portfolio Holdings (continued)

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities (continued)								
Real Estate Investment Trusts (continued)								
WALTER INVEST MGMT CORP COM								
(CUSIP 95317W102)								
Dividend Option Cash								
155,000	05/05/12	20.2200	2,689.26	45.0200	5,721.66	3,032.40		
Total Real Estate Investment Trusts			\$95,131.60		\$101,767.94	\$6,636.34	\$6,781.92	
Total Equities								
			\$2,164,714.43		\$2,429,844.96	\$265,130.53	\$64,763.96	
Our Investment Research & Analysis ("CIRA") and third-party research ratings may be shown for certain securities. All research ratings represent "opinions" and are not representations or guarantees of performance. Because the research report contains more complete information regarding the analyst's opinions, analysis, and rating, you should read the entire research report and not infer its contents from the rating. CIRA's stock recommendations will include an investment rating and an optional risk rating to highlight high risk stocks. Risk rating takes into account both price volatility and fundamental criteria. Stocks will either have no risk rating or a High risk rating assigned. Investment Rating: CIRA's investment ratings are Buy, Neutral and Sell. Our ratings are a function of analyst expectations of expected total return ("ETR") and risk. ETR is the sum of the forecast price appreciation (or depreciation) plus the dividend yield for a stock within the next 12 months. The investment rating definitions are: Buy (1) ETR of 15% or more; High risk stocks; and Sell (3) for negative ETR. Any covered stock not assigned a Buy or a Sell is a Neutral (2). Your advisor can provide further information regarding investment ratings. Third party research rating have been normalized by such third party providers to a 1 (Buy), 2 (Neutral) and 3 (Sell). CIRA is not the author of, does not take responsibility for, and does not guarantee the accuracy, completeness, or timeliness of the third-party research. Your decision to buy or sell a security should be based upon your personal investment objectives and should be made only after evaluating the stock's expected performance and risk.								

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 17.00% of Portfolio								
Mutual Funds								
ALLIANZ GLOBAL INVESTORS FIXED INCOME SHARES SERIES C								
(CUSIP 01862B205)								
Open End Fund								
Dividend Option Reinvest, Capital Gains Option Reinvest								
Multiple: Y								
Total Not Covered		11.7350	3,112,420.52	13.5000	359,401.67	46,981.15	16,558.48	4.60%
26,622,346								
ALLIANZ GLOBAL INVESTORS FIXED INCOME SHARES SERIES M								
(CUSIP 01862B304)								
Open End Fund								
Dividend Option Reinvest, Capital Gains Option Reinvest								
Multiple: Y								
Total Not Covered		9.0080	3,293,171.61	11.2200	365,171.67	72,000.06	17,552.65	4.80%
52,546,195								



East Coast Capital Advisors

Investment Account Statement

EIN: 76-0383386

Attachment to Part II Line 10b

Portfolio Holdings (continued)

Statement Period: 12/01/2012 - 12/31/2012

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds (continued)								
Mutual Funds (continued)								
APOLLO INVI CORP COM								
Closed End Fund								
Dividend Option Cash Capital Gains Option Cash								
08/08/10	05/18/11*	11.3900	774.52	8.5600	568.48	-206.04	54.40	9.56%
ARES CAP CORP COM								
Closed End Fund								
Dividend Option Cash Capital Gains Option Cash								
11/14/09	05/18/11*	16.5800	2,558.72	17.5000	2,520.00	161.28	218.88	8.68%
Total Mutual Funds			\$608,725.37		\$727,661.82	\$118,936.45	\$34,384.41	
Total Mutual Funds			\$608,725.37		\$727,661.82	\$118,936.45	\$34,384.41	
Total Portfolio Holdings								
			\$3,806,880.21		\$4,200,806.96	\$393,926.75	\$2,488.04	\$102,641.66

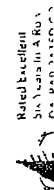
* Noncovered under the cost basis rules as defined below
 Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by PL 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their applicable date(s) at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. The method of calculation is based upon the type of fixed income security and certain attributes, obtained from sources believed to be reliable. In the event, one or more of these attributes is changed, there may be a temporary incorrect adjusted cost basis reflected until the portfolio system is amended to reflect this.

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Citi Personal Wealth Management

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