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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation
GILLILAND FAMILY FOUNDATION

% SANDRA GILLILAND

A Employer identification number
76-0181982

Number and street (or P O box number if mail is not delivered to street address)
500 S TAYLOR LB 249
Suite

Room/suite

B Telephone number (see instructions)
(806) 374-8288

City or town, state, and ZIP code
AMARILLO, TX 79101

C If exemption application is pending, check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 4,508,085

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	250			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	118,406	118,394		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	86,312			
	b	Gross sales price for all assets on line 6a 1,155,530				
	7	Capital gain net income (from Part IV, line 2)		78,411		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-21,447	-904		
	12	**Total.** Add lines 1 through 11	183,521	195,901		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	8,051	4,025	0	4,026
	c	Other professional fees (attach schedule)				
	17	Interest	623			
	18	Taxes (attach schedule) (see instructions)	1,277	1,277		
	19	Depreciation (attach schedule) and depletion	4,310			
	20	Occupancy				
	21	Travel, conferences, and meetings	1,896			1,896
	22	Printing and publications				
	23	Other expenses (attach schedule)	244,856	45,395		189,321
	24	**Total operating and administrative expenses.** Add lines 13 through 23	261,013	50,697	0	195,243
	25	Contributions, gifts, grants paid	99,330			99,330
	26	**Total expenses and disbursements.** Add lines 24 and 25	360,343	50,697	0	294,573
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-176,822			
	b	**Net investment income** (if negative, enter -0-)		145,204		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	23,703	63,837	63,837
	2	Savings and temporary cash investments	158,636	240,541	240,541
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	0	38,845	38,732
	b	Investments—corporate stock (attach schedule)	1,120,858	862,905	832,548
	c	Investments—corporate bonds (attach schedule)	1,843,821	1,837,522	1,905,254
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	874,977	805,893	1,194,209
	14	Land, buildings, and equipment basis ▶ 259,355 Less accumulated depreciation (attach schedule) ▶ 110,489	153,176	148,866	232,674
	15	Other assets (describe ▶)	290	290	290
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	4,175,461	3,998,699	4,508,085
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	4,175,461	3,998,699	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	4,175,461	3,998,699	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	4,175,461	3,998,699	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,175,461
2	Enter amount from Part I, line 27a	2	-176,822
3	Other increases not included in line 2 (itemize) ▶	3	60
4	Add lines 1, 2, and 3	4	3,998,699
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,998,699

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	78,411
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	345,099	4,263,265	0 080947
2010	273,887	4,281,626	0 063968
2009	344,550	3,854,752	0 089383
2008	355,357	5,154,089	0 068947
2007	274,623	5,847,413	0 046965

2	**Total** of line 1, column (d)	2	0 35021
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 070042
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	4,169,351
5	Multiply line 4 by line 3	5	292,030
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,452
7	Add lines 5 and 6	7	293,482
8	Enter qualifying distributions from Part XII, line 4	8	294,573

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	1,452
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,452
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,452
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a — 3,053		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c — 1,750		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	4,803
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	3,351
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 3,351 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____________ **(2)** On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶SANDRA GILLILAND Telephone no ▶(806) 374-8288

Located at ▶500 S TAYLOR LB 249 AMARILLO TX ZIP +4 ▶79101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
SANDRA GILLILAND 2806 HUGHES AMARILLO,TX 79109	PRES/TREAS 3 0	0	0	0
BILL GILLILAND 2086 HUGHES AMARILLO,TX 79109	VP 0 77	0	0	0
ROBIN WEIR 500 S TAYLOR LB249 AMARILLO,TX 79101	VP/SEC 3 0	0	0	0
LORI D'ATRI 2606 HUGHES AMARILLO,TX 79109	VP 1 0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 CLOTHES CLOSET - PROVIDE CLOTHING TO CHILDREN AT NEED IN THE AMARILLO INDEPENDENT SCHOOL DISTRICT IN 2012, 2,462 CHILDREN WERE PROVIDED CLOTHING	189,080
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions **3**	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	3,605,745
b	Average of monthly cash balances	1b	266,161
c	Fair market value of all other assets (see instructions)	1c	360,938
d	**Total** (add lines 1a, b, and c)	1d	4,232,844
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	4,232,844
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	63,493
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,169,351
6	**Minimum investment return.** Enter 5% of line 5	6	208,468

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	208,468
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,452		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,452
3	Distributable amount before adjustments Subtract line 2c from line 1			3	207,016
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	207,016
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	207,016

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	294,573
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	294,573
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,452
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	293,121

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				207,016
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			0	
b Total for prior years 2010 , 2009 , 2008				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009. 0				
d From 2010. 58,664				
e From 2011. 134,738				
f **Total** of lines 3a through e.	193,402			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 294,573				
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				207,016
e Remaining amount distributed out of corpus	87,557			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	280,959			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	280,959			
10 Analysis of line 9				
a Excess from 2008.				
b Excess from 2009. 0				
c Excess from 2010. 58,664				
d Excess from 2011. 134,738				
e Excess from 2012. 87,557				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

BILL SANDRA GILLILAND

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

SANDRA GILLILAND
500 S TAYLOR LB 249
AMARILLO,TX 79101
(806) 374-8288

b The form in which applications should be submitted and information and materials they should include

REQUEST PRE-PRINTED APPLICAION FROM GILLILAND FAMILY FOUNDATION SANDRA GILLILAND 500 S TAYLOR, LB# 249 AMARILLO, TX 79101 INFORMATION REQUIRED ON THE FORM INCLUDES BUT IS NOT LIMITED TO GENERAL ORGANIZATION INFORMATION, AMOUNT REQUESTED, DATE FUNDS ARE NEEDED, INFORMATION REGARDING PRIOR FUNDS RECEIVED FROM THE FOUNDATION, USE FOR THE REQUESTED FUNDS, ACCOMPLISHMENT GOALS FOR THE REQUESTED FUNDS, FUNDS RAISED TO DATE REGARDING THE PROJECT, AND A COPY OF THE ORGANIZATION'S 501(C)(3) DETERMINATION LETTER

c Any submission deadlines

QUARTERLY DEADLINES - PLEASE CONTACT ORGANIZATION

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ORGANIZATION MUST BE EITHER A QUALIFIED 501(C)(3) ORGANIZATION OR USE OF EXPENDITURES MUST BE USED EXCLUSIVELY FOR PURPOSES DESCRIBED IN IRC SECTION 170(C)(2)(B) ALSO, APPROVED APPLICATIONS MUST MEET THE RESTRICTIONS ESTABLISHED IN THE ORGANIZATION'S CHARTER

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				99,330
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	118,406	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory	211110	7,901	18	78,411	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a See Additional Data Table					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		2,401		180,870	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 183,271

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2013-05-08 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN

Firm's name ▶ MARGOLIS PHIPPS & WRIGHT PC

Firm's EIN ▶

Firm's address ▶ 1400 POST OAK BLVD STE 900 HOUSTON, TX 770563009

Phone no (713) 625-3500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
UBS #1405 - SEE ATTACHED D-1	P	2012-01-01	2012-12-31
UBS #1405 - SEE ATTACHED D-1	P	2011-01-01	2012-12-31
UBS #1405 - SEE ATTACHED D-1	P	2011-01-01	2012-12-31
UBS #1410 - SEE ATTACHED D-2	P	2012-01-01	2012-12-31
UBS #1410 - SEE ATTACHED D-2	P	2011-01-01	2011-12-31
UBS #1411 - SEE ATTACHED D-3	P	2012-01-01	2012-12-31
UBS #1411 - SEE ATTACHED D-3	P	2011-01-01	2012-12-31
UBS #1411 - SEE ATTACHED D-3	P	2011-01-01	2012-12-31
UBS #1413 - CAPITAL GAIN DISTRIBUTIONS	P		
UBS #1413 - SEE ATTACHED D-4	P	2012-01-01	2012-12-31
UBS #1413 - SEE ATTACHED D-4		2012-01-01	2012-12-31
UBS #1413 - SEE ATTACHED D-4		2011-01-01	2012-12-31
UBS #1413 - SEE ATTACHED D-4	P	2011-01-01	2012-12-31
UBS #1413 - SEE ATTACHED D-4		2012-01-01	2012-12-31
UBS #1414 - SEE ATTACHED D-5	P	2012-01-01	2012-12-31
UBS #1414 - SEE ATTACHED D-5	P	2011-01-01	2012-12-31
COPANO ENERGY 500 SHS	P	2009-08-27	2012-06-29
SUBURBAN PROPANE 205 SHS	P	2010-08-10	2012-12-13
DEBT RELIEF ON LIQUIDATION - SUBURBAN	P	2010-08-09	2012-12-13
UBS 3230 - SEE ATTACHED D-6	P	2012-01-01	2012-12-31
UBS 3230 - SEE ATTACHED D-6		2012-01-01	2012-12-31
GLOBAL SECURITIES LITIGATION SETTLEMENT	P	2011-01-01	2012-06-04
SHORT TERM CAPITAL GAINS FROM K-1S	P		
LONG TERM CAPITAL GAINS FROM K-1S	P		
NOTIONAL CONTRACTS - CPC LION FUND III			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
11,113		13,199	-2,086
8,612		10,681	-2,069
21,486		18,605	2,881
124,803		122,924	1,879
813,135		774,589	38,546
9,264		8,174	1,090
1,818		3,142	-1,324
36,125		21,267	14,858
143			143
8,645		8,653	-8
423		249	174
1,681		1,391	290
12,319		11,938	381
29		29	
37		37	
7,125		3,096	4,029
13,518		5,393	8,125
7,563		4,459	3,104
12,099		12,099	
49,894		49,093	801
200		200	
33			33
4,574			4,574
2,833			2,833
157			157

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
			-2,086
			-2,069
			2,881
			1,879
			38,546
			1,090
			-1,324
			14,858
			143
			-8
			174
			290
			381
			4,029
			8,125
			3,104
			801
			33
			4,574
			2,833
			157

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KACV - TV P O BOX 447 AMARILLO,TX 79109	NONE	PUB CH	SUPPORT OF LOCAL PRODUCTIONS	5,000
AMARILLO INDEPENDENT SCHOOL DISTRICT 7200 WEST INTERSTATE 40 AMARILLO,TX 791062598	NONE	GOV'T	Social worker	25,000
OPPORTUNITY PLAN INC PO BOX 1035 CANYON,TX 79105	NONE	PUB CH	STUDENT SCHOLARSHIPS	10,000
AMARILLO AREA CASA P O BOX 691 AMARILLO,TX 791012315	NONE	PUB CH	RECRUITING, TRAINING, & RETENTION OF VOLUNTEERS & ADVOCATES	10,000
SAN JACINTO ELEMENTARY SCHOOL 3400 W 4TH AVE AMARILLO,TX 79106	NONE	GOV'T	NO EXCUSES T-SHIRTS FOR STUDENTS	4,000
MARGAREET WILLS ELEMENTARY 3500 W 11TH AVE AMARILLO,TX 79106	NONE	GOV'T	NO EXCUSES T-SHIRTS FOR STUDENT BODY	4,100
DON & SYBIL HARRINGTON DISCOVERY CANCER CENTER 1500 WALLACE BLVD AMARILLO,TX 79106	NONE	PUBLIC CHARITY	PROSTRATE SCREENING AWARENESS & UNDERWRITE FRIENDS OF FOGELBERG CONCERT BENEFITING THE HARRINGTON CANCER CENTER	2,000
ANOTHER CHANCE HOUSE INC 209 S JACKSON AMARILLO,TX 79101	NONE	NOT FOR PROFIT	LANDSCAPE EXPENSES FOR VETERAN HOUSING	10,000
AMARILLO SYMPHONY INC PO Box 2586 AMARILLO,TX 79105	NONE	PUBLIC CHARITY	MATCH THE HARRINGTON FOUNDATION CHALLENGE TO LOWER TICKET PRICES	5,000
ARMSTRONG COUNTY MUSEUM PO BOX 450 CLAUDE,TX 79109	NONE	PUBLIC CHARITY	RESTORATION OF CHARLES GOODNIGHT HISTORICAL HOME	2,500
THE BRIDGE 804 QUAIL CREEK DR AMARILLO,TX 79124	NONE	PUBLIC CHARITY	CAPITAL EXPANSION	10,000
THE HEREFORD & VICINITY YMCA 500 E 15TH ST HEREFORD,TX 79045	NONE	PUBLIC CHARITY	RENOVATION	2,500
LAURA W BUSH INSTITUTE FOR WOMENS HEALTH 1400 COULTER AMARILLO,TX 79106	NONE	PUBLIC CHARITY	RESEARCH, EDUCATION AND OUTREACH PROGRAMS	4,230
MARTHA HOME INC 1204 SW 18TH AVE AMARILLO,TX 79102	NONE	PUBLIC CHARITY	GENERAL OPERATIONS OF HOMELESS SHELTER	5,000
Total . . . . ▶ **3a**				99,330

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		**(e)** Related or exempt function income (See the instructions)
11 Other revenue	**(a)** Business code	**(b)** Amount	**(c)** Exclusion code	**(d)** Amount	
a CPC/HTMF EQUITY FUND	211110	-6			
b COPANO ENERGY LLC	211110	-4,758			
c AMERIGAS PARTNERS, LP	211110	15			
d SUNOCO LOGISTICS PARTNERS, LP	211110	188			
e ENTERPRISE PRODUCT PARTNERS, LP	211110	-1,712			
f SUBURBAN PROPANE PARTNERS, LP	211110	21			
g INERGY MIDSTREAM PARTNERS, LP	211110	752			
h ROYALTY INCOME			15	508	
i OTHER PORTFOLIO INCOME			01	-1,412	
j SECTION 1231 GAIN - K-1			18	-3,624	
k PASSIVE LOSS (LIMITED UNDER 469)			01	-11,419	

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Form **4562**
Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172
2012
Attachment Sequence No **179**

Name(s) shown on return	Business or activity to which this form relates	Identifying number
GILLILAND FAMILY FOUNDATION	GENERAL DEPRECIATION	76-0181982

Part I **Election To Expense Certain Property Under Section 179**
Note: *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	$ 2,000,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
6			

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2011 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2013 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II **Special Depreciation Allowance and Other Depreciation (Do not** include listed property **)** (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	4,310

Part III **MACRS Depreciation (Do not** include listed property.**)** (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2012	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2012 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV **Summary** (see instructions)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	4,310
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A—Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.*)

24a Do you have evidence to support the business/investment use claimed? ☐ **Yes** ☐ **No** **24b** If "Yes," is the evidence written? ☐ **Yes** ☐ **No**

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation/ deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						25		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		

28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 | **28** |

29 Add amounts in column (i), line 26 Enter here and on line 7, page 1 . . . . | **29** |

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person
If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (**do not** include commuting miles) . . .												
31 Total commuting miles driven during the year .												
32 Total other personal(noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
	Yes	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use? .												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

	Yes	**No**
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners . . .		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		
Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles		

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2012 tax year (see instructions)					

43 Amortization of costs that began before your 2012 tax year . . . . | **43** |

44 Total. Add amounts in column (f) See the instructions for where to report . . . . | **44** |

TY 2012 Accounting Fees Schedule

Name: GILLILAND FAMILY FOUNDATION

EIN: 76-0181982

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	8,051	4,025		4,026

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Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: GILLILAND FAMILY FOUNDATION

EIN: 76-0181982

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
2004 CHEV STEP-VAN	2005-04-06	40,439	40,439	SL	5				
CABINETS-CHEV VAN	2005-08-04	3,500	3,250	SL	7	250			
LAND	2005-03-28	38,532		L					
BUILDING	2005-12-31	133,577	20,693	SL	39	3,425			
OFFICE BOOKCASE	2001-02-07	3,200	3,200	SL	7				
OFFICE COMMODE/OIL	2001-02-22	3,814	3,814	SL	7				
OFFICE DECORATIONS	2001-02-23	2,870	2,870	SL	7				
CONFERENCE PEDESTA	2001-02-23	1,398	1,398	SL	7				
ART/FURNITURE	2001-02-23	6,160	6,160	SL	7				
OFFICE ACESSORIES	2001-02-23	1,965	1,965	SL	7				
CONFERENCE CHAIRS	2001-01-25	2,310	2,310	SL	7				
EXECUTIVE DESK	2001-01-25	6,274	6,274	SL	7				
CURTAINS	2001-01-25	1,764	1,764	SL	7				
VALANCE	2001-01-25	560	560	SL	7				
CHAIRS	2001-05-10	674	674	SL	7				
BAMBOO CHAIRS	2001-05-10	1,381	1,381	SL	7				
PLANT BOWL	2001-05-24	405	405	SL	7				
LAMPS FOR OFFICE	2002-06-07	1,283	1,283	SL	7				
OFFICE PLANT &BOWL	2002-08-07	323	323	SL	7				
STEEL SHELVING	2005-12-01	3,310	3,074	SL	7	236			
SHELVING	2007-04-04	1,447	931	SL	7	207			
COMPUTER	2001-12-11	1,504	1,504	SL	5				
COMPUTERS-WESTGATE	2005-08-11	1,325	1,325	SL	5				
SHELVES	2008-08-08	774	379	SL	7	111			
SHELVES	2009-07-03	568	203	SL	7	81			

TY 2012 Investments Corporate Bonds Schedule

Name: GILLILAND FAMILY FOUNDATION

EIN: 76-0181982

Name of Bond	End of Year Book Value	End of Year Fair Market Value
UBS 1410 - SEE STMT B	1,343,960	1,396,981
UBS 3230 - SEE STMT G	493,562	508,273

TY 2012 Investments Corporate Stock Schedule

Name: GILLILAND FAMILY FOUNDATION

EIN: 76-0181982

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GS MTG. CREDIT OPPTY OFFSHORE	160,014	23,922
UBS 1405 - SEE STMT A	151,095	172,585
UBS 1411 - SEE STMT C	262,778	306,191
UBS 1413 - SEE STMT E	143,270	166,908
UBS 1414 - SEE STMT F	145,748	162,942

TY 2012 Investments Government Obligations Schedule

Name:	GILLILAND FAMILY FOUNDATION
EIN:	76-0181982
US Government Securities - End of Year Book Value:	38,845
US Government Securities - End of Year Fair Market Value:	38,732
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

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TY 2012 Investments - Other Schedule

Name: GILLILAND FAMILY FOUNDATION

EIN: 76-0181982

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CARSON CAP'L EURO FUND	AT COST	8,609	8,072
CARSON LION FUND I	AT COST	4,545	12,126
CPC/HMTF EQUITY FUND V	AT COST	13,495	9,242
CPC/WEETABIX SBS	AT COST	872	19,502
CPC 2008 CO-INVEST POOL	AT COST	138,655	181,401
CARSON PRIVATE LION FUND III	AT COST	92,866	120,112
UBS 1413 - SEE STMT E	AT COST	4,959	7,291
COPANO ENERGY	AT COST	0	0
EL PASO PIPELINE	AT COST	29,012	48,061
ENERGY TRANSFER PARTNERS	AT COST	7,939	21,465
ENTERPRISE PRODUCTS	AT COST	31,067	102,664
HOLLY ENERGY PARTNERS	AT COST	26,481	59,202
MAGELLAN MIDSTREAM PTNRS	AT COST	48,861	129,570
NUSTAR ENERGY	AT COST	19,481	25,488
ONEOK PARTNERS	AT COST	21,530	53,990
PLAINS ALL AMER PIPELINE	AT COST	39,945	90,480
SUNOCO LOGISTICS	AT COST	53,161	141,731
TC PIPELINES	AT COST	42,586	66,594
INERGY, LP	AT COST	51,500	34,561
JTV LIQUIDATING TRUST	AT COST	111,314	8,195
BUCKEYE PARTNERS	AT COST	39,846	34,058
MARKWEST ENERGY PARTNERS	AT COST	19,169	20,404

TY 2012 Land, Etc. Schedule

Name: GILLILAND FAMILY FOUNDATION

EIN: 76-0181982

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
2004 CHEV STEP-VAN	40,439	40,439		
CABINETS-CHEV VAN	3,500	3,500		
LAND	38,532		38,532	
BUILDING	133,577	24,118	109,459	
OFFICE BOOKCASE	3,200	3,200		
OFFICE COMMODE/OIL	3,814	3,814		
OFFICE DECORATIONS	2,870	2,870		
CONFERENCE PEDESTA	1,398	1,398		
ART/FURNITURE	6,160	6,160		
OFFICE ACESSORIES	1,965	1,965		
CONFERENCE CHAIRS	2,310	2,310		
EXECUTIVE DESK	6,274	6,274		
CURTAINS	1,764	1,764		
VALANCE	560	560		
CHAIRS	674	674		
BAMBOO CHAIRS	1,381	1,381		
PLANT BOWL	405	405		
LAMPS FOR OFFICE	1,283	1,283		
OFFICE PLANT &BOWL	323	323		
STEEL SHELVING	3,310	3,310		
SHELVING	1,447	1,138	309	
COMPUTER	1,504	1,504		
COMPUTERS-WESTGATE	1,325	1,325		
SHELVES	774	490	284	
SHELVES	568	284	284	

TY 2012 Other Assets Schedule

Name: GILLILAND FAMILY FOUNDATION

EIN: 76-0181982

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
UTILITY DEPOSITS	290	290	290

TY 2012 Other Expenses Schedule

Name: GILLILAND FAMILY FOUNDATION

EIN: 76-0181982

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE SUPPLIES	40			40
VEHICLE EXPENSE-CLOTHES CLOSET	1,297			1,297
OUTSIDE SERVICES	2,316			2,316
PORTFOLIO EXPENSE - UBS	27,528	27,528		
UTILITIES	2,241			2,241
INSURANCE	5,787			5,787
CLOTHING EXP - CLOTHES CLOSET	177,399			177,399
CHARITABLE CONT - K-1S	241			241
IDC - K-1S	10,709			
PORTFOLIO DEDUCTIONS - K-1S	17,867	17,867		
OTHER DEDUCTIONS - K-1S	1,521			
NON-DEDUCTIBLE EXP - K-1S	-2,209			
DEPLETION K-1S	119			

TY 2012 Other Income Schedule

Name: GILLILAND FAMILY FOUNDATION

EIN: 76-0181982

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Ordinary Income (UBTI) - K-1S	-17,118		
Other Net Rental Income - K-1S	1		
OTHER PORTFOLIO INCOME - K-1S	-1,412	-1,412	
SECTION 1231 GAINS - K-1S	-3,426		
ROYALTY INCOME - K-1S	508	508	

TY 2012 Other Increases Schedule

Name: GILLILAND FAMILY FOUNDATION

EIN: 76-0181982

Description	Amount
DEPLETION IN EXCESS OF BASIS	60

TY 2012 Taxes Schedule

Name: GILLILAND FAMILY FOUNDATION

EIN: 76-0181982

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES - UBS 1414	270	270		
FOREIGN TAXES - K-1S	412	412		
FOREIGN TAXES - UBS 1405	595	595		

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AEON CO LTD UNSPON ADR								
Symbol AONNY Exchange OTC								
EAI $88 Current yield 3 17%	Mar 28, 12	63 000	12 982	817 87	11 420	719 46	-98 41	ST
	Apr 2, 12	39 000	13 102	511 00	11 420	445 38	-65 62	ST
	Apr 18, 12	18 000	13 200	237 60	11 420	205 56	-32 04	ST
	Jun 11, 12	51 000	11 872	605 50	11 420	582 42	-23 08	ST
	Jun 12, 12	45 000	11 840	532 80	11 420	513 90	-18 90	ST
	Jun 13, 12	27 000	11 851	320 00	11 420	308 34	-11 66	ST
Security total		243 000	12 448	3,024 77		2,775 06	-249 71	
AGRIUM INC (CANADA) CAD								
Symbol AGU Exchange NYSE								
EAI $36 Current yield 2 00%								
CAD Exchange rate 0 99570	Aug 3, 09	18 000	49 218	885 94	99 871	1,797 67	911 73	LT
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI $268 Current yield 6 03%	Aug 3, 09	33 000	47 129	1,555 27	47 270	1,559 91	4 64	LT
	Apr 12, 10	30 000	45 245	1,357 37	47 270	1,418 10	60 73	LT

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Your assets ‣ **Equities** ‣ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 29, 11	31 000	46 001	1,426 06	47 270	1,465 37	39 31	LT
Security total		94 000	46 156	4,338 70		4,443 38	104 68	
AUSTRALIA & NEW ZEALAND BKG GRP LTD SPONSRD ADR AUSTRALIA ADR								
Symbol ANZBY Exchange OTC								
EAI $203 Current yield 5 62%	Aug 3, 09	32 000	16 270	520 64	26 360	843 52	322 88	LT
	Aug 11, 09	105 000	16 395	1,721 48	26 360	2,767 80	1,046 32	LT
Security total		137 000	16 366	2,242 12		3,611 32	1,369 20	
AXIS CAPITAL HOLDINGS LTD SHS								
Symbol AXS Exchange NYSE								
EAI $112 Current yield 2 89%	Aug 3, 09	86 000	28 379	2,440 65	34 640	2,979 04	538 39	LT
	Jul 5, 11	15 000	30 837	462 56	34 640	519 60	57 04	LT
	Jul 6, 11	11 000	30 645	337 10	34 640	381 04	43 94	LT
Security total		112 000	28 931	3,240 31		3,879 68	639 37	
BAE SYSTEMS PLC SPON ADR								
Symbol BAESY Exchange OTC								
EAI $191 Current yield 5 16%	Mar 1, 10	122 000	22 859	2,788 80	22 280	2,718 16	-70 64	LT
	Mar 29, 11	21 000	21 249	446 24	22 280	467 88	21 64	LT
	Mar 30, 11	23 000	21 015	483 35	22 280	512 44	29 09	LT
Security total		166 000	22 400	3,718 39		3,698 48	-19 91	
BANCO BRADESCO S A NEW SPON ADR								
Symbol BBD Exchange NYSE								
EAI $22 Current yield 0 61%	Jul 28, 10	134 000	18 504	2,479 63	17 370	2,327 58	-152 05	LT
	Aug 4, 10	45 000	18 425	829 16	17 370	781 65	-47 51	LT
	Oct 15, 12	20 000	15 517	310 34	17 370	347 40	37 06	ST
	Oct 16, 12	10 000	15 593	155 93	17 370	173 70	17 77	ST
Security total		209 000	18 062	3,775 06		3,630 33	-144 73	
BARCLAYS PLC ADR								
Symbol BCS Exchange NYSE								
EAI $41 Current yield 2 17%	Sep 14, 12	109 000	14 835	1,617 03	17 320	1,887 88	270 85	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CAP GEMINI SA ADR								
Symbol CGEMY Exchange OTC								
EAI $37 Current yield 2 17%	Nov 9, 11	37 000	18 775	694 70	22 140	819 18	124 48	LT
	Nov 11, 11	11 000	19 703	216 74	22 140	243 54	26 80	LT
	Nov 14, 11	23 000	19 100	439 30	22 140	509 22	69 92	LT
	Nov 14, 11	6 000	18 963	113 78	22 140	132 84	19 06	LT
Security total		77 000	19 020	1,464 52		1,704 78	240 26	
CARLSBERG AS SPON ADR								
Symbol CABGY Exchange OTC								
EAI $24 Current yield 0 64%	Aug 6, 12	46 000	17 078	785 63	19 700	906 20	120 57	ST
	Aug 7, 12	46 000	17 200	791 23	19 700	906 20	114 97	ST
	Aug 14, 12	52 000	16 934	880 59	19 700	1,024 40	143 81	ST
	Aug 16, 12	46 000	17 005	782 24	19 700	906 20	123 96	ST
Security total		190 000	17 051	3,239 69		3,743 00	503 31	
CHINA PETROLEUM & CHEMICAL								
CORP SPON ADR								
Symbol SNP Exchange NYSE								
EAI $140 Current yield 3 69%	Feb 22, 11	14 000	103 462	1,448 47	114 920	1,608 88	160 41	LT
	Feb 23, 11	1 000	102 360	102 36	114 920	114 92	12 56	LT
	Aug 6, 12	10 000	93 425	934 25	114 920	1,149 20	214 95	ST
	Aug 7, 12	8 000	94 942	759 54	114 920	919 36	159 82	ST
Security total		33 000	98 322	3,244 62		3,792 36	547 74	
CIA PARANAENSE ENERGI SPON ADR								
Symbol ELP Exchange NYSE	Aug 3, 09	200 000	15 930	3,186 00	15 350	3,070 00	-116 00	LT
	Oct 17, 11	5 000	18 646	93 23	15 350	76 75	-16 48	LT
	Oct 18, 11	5 000	18 394	91 97	15 350	76 75	-15 22	LT
Security total		210 000	16 053	3,371 20		3,223 50	-147 70	
COMPANHIA DE SANEAMENTO BASICO								
DO ESTADO DE SAO PAULO ADS								
SPON ADR								
Symbol SBS Exchange NYSE	Aug 3, 09	61 000	34 641	2,113 14	83 570	5,097 77	2,984 63	LT

continued next page

STATEMENT A

Your assets ▸ Equities ▸ Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DEUTSCHE BOERSE ADR								
Symbol DBOEY Exchange OTC								
EAI $172 Current yield 4 52%	Mar 30, 12	7 000	6 680	46 76	6 180	43 26	-3 50	ST
	Apr 3, 12	54 000	6 840	369 37	6 180	333 72	-35 65	ST
	Apr 4, 12	88 000	6 637	584 12	6 180	543 84	-40 28	ST
	Apr 5, 12	34 000	6 565	223 23	6 180	210 12	-13 11	ST
	Apr 18, 12	67 000	6 340	424 78	6 180	414 06	-10 72	ST
	Jun 6, 12	80 000	4 732	378 61	6 180	494 40	115 79	ST
	Jun 8, 12	130 000	4 824	627 13	6 180	803 40	176 27	ST
	Jun 11, 12	68 000	4 842	329 26	6 180	420 24	90 98	ST
	Jun 12, 12	71 000	4 821	342 35	6 180	438 78	96 43	ST
	Jun 13, 12	17 000	4 859	82 61	6 180	105 06	22 45	ST
Security total		616 000	5 533	3,408 22		3,806 88	398 66	
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI $100 Current yield 2 38%	Aug 3, 09	36 000	62 919	2,265 09	116 580	4,196 88	1,931 79	LT
FRANCE TELECOM SA SPON ADR								
Symbol FTE Exchange NYSE								
EAI $346 Current yield 12 99%	Aug 3, 09	48 000	25 710	1,234 08	11 050	530 40	-703 68	LT
	May 10, 10	60 000	20 489	1,229 38	11 050	663 00	-566 38	LT
	Jun 14, 10	25 000	18 696	467 42	11 050	276 25	-191 17	LT
	Sep 1, 11	46 000	18 259	839 93	11 050	508 30	-331 63	LT
	Feb 6, 12	52 000	15 211	791 00	11 050	574 60	-216 40	ST
	Feb 7, 12	10 000	15 490	154 90	11 050	110 50	-44 40	ST
Security total		241 000	19 571	4,716 71		2,663 05	-2,053 66	
FUJI HEAVY INDS LTD ADR								
Symbol FUJHY Exchange OTC								
EAI $27 Current yield 0 69%	Jun 24, 11	60 000	15 186	911 20	25 210	1,512 60	601 40	LT
	Jun 27, 11	50 000	15 365	768 29	25 210	1,260 50	492 21	LT
	Sep 1, 11	45 000	12 770	574 65	25 210	1,134 45	559 80	LT
Security total		155 000	14 543	2,254 14		3,907 55	1,653 41	

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Your assets ‣ **Equities** ‣ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GOLDEN AGRI RESOURCES LTD ADR								
Symbol GARPY Exchange OTC								
EAI $55 Current yield 3 41%	Nov 1, 12	8 000	50 293	402 35	53 750	430 00	27 65	ST
	Nov 5, 12	5 000	50 704	253 52	53 750	268 75	15 23	ST
	Nov 6, 12	8 000	50 393	403 15	53 750	430 00	26 85	ST
	Nov 7, 12	9 000	50 738	456 65	53 750	483 75	27 10	ST
Security total		30 000	50 522	1,515 67		1,612 50	96 83	
HANG SENG BK LTD SPONSRD ADR ADR								
Symbol HSNGY Exchange OTC								
EAI $69 Current yield 3 87%	Feb 2, 12	49 000	12 951	634 63	15 490	759 01	124 38	ST
	Feb 14, 12	24 000	12 965	311 18	15 490	371 76	60 58	ST
	Mar 5, 12	25 000	13 853	346 34	15 490	387 25	40 91	ST
	Mar 6, 12	17 000	13 721	233 27	15 490	263 33	30 06	ST
Security total		115 000	13 265	1,525 42		1,781 35	255 93	
HITACHI LTD ADR NEW JAPAN								
Symbol HTHIY Exchange OTC								
EAI $64 Current yield 1 87%	Apr 28, 11	58 000	53 336	3,093 52	58 930	3,417 94	324 42	LT
HSBC HOLDINGS PLC NEW GB SPON ADR								
Symbol HBC Exchange NYSE								
EAI $170 Current yield 4 71%	Sep 10, 12	7 000	44 972	314 81	53 070	371 49	56 68	ST
	Sep 14, 12	35 000	47 403	1,659 12	53 070	1,857 45	198 33	ST
	Sep 18, 12	1 000	46 590	46 59	53 070	53 07	6 48	ST
	Sep 26, 12	10 000	46 397	463 97	53 070	530 70	66 73	ST
	Oct 9, 12	3 000	47 333	142 00	53 070	159 21	17 21	ST
	Oct 16, 12	12 000	49 283	591 40	53 070	636 84	45 44	ST
Security total		68 000	47 322	3,217 89		3,608 76	390 87	
IMPERIAL TOBACCO GROUP PLC SPON ADR								
Symbol ITYBY Exchange OTC								
EAI $145 Current yield 4 35%	May 4, 11	12 000	71 807	861 69	77 490	929 88	68 19	LT
	May 5, 11	31 000	71 373	2,212 58	77 490	2,402 19	189 61	LT

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Your assets ‣ **Equities** ‣ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		43 000	71 495	3,074 27		3,332 07	257 80	
ISRAEL CHEMICALS LTD ADR								
Symbol ISCHY Exchange OTC								
EAI $93 Current yield 5 32%	Jun 7, 12	17 000	10 570	179 69	12 140	206 38	26 69	ST
	Jun 13, 12	37 000	10 311	381 52	12 140	449 18	67 66	ST
	Jun 14, 12	33 000	10 030	331 00	12 140	400 62	69 62	ST
	Jun 18, 12	30 000	10 168	305 04	12 140	364 20	59 16	ST
	Jun 19, 12	27 000	10 271	277 32	12 140	327 78	50 46	ST
Security total		144 000	10 240	1,474 57		1,748 16	273 59	
KDDI CORP ADR								
Symbol KDDIY Exchange OTC								
EAI $90 Current yield 2 48%	Jan 14, 11	69 000	14 534	1,002 88	17 620	1,215 78	212 90	LT
	Jan 25, 11	4 000	14 440	57 76	17 620	70 48	12 72	LT
	Jan 26, 11	4 000	14 662	58 65	17 620	70 48	11 83	LT
	Feb 16, 12	69 000	16 152	1,114 54	17 620	1,215 78	101 24	ST
	Feb 17, 12	45 000	16 291	733 13	17 620	792 90	59 77	ST
	Feb 28, 12	15 000	15 858	237 87	17 620	264 30	26 43	ST
Security total		206 000	15 557	3,204 83		3,629 72	424 89	
KOC HOLDINGS ADR								
Symbol KHOLY Exchange OTC								
EAI $23 Current yield 1 09%	Mar 11, 11	10 650	20 852	222 08	26 160	278 60	56 52	LT
	Mar 14, 11	26 250	21 830	573 04	26 160	686 70	113 66	LT
	Mar 15, 11	25 200	21 376	538 69	26 160	659 23	120 54	LT
	Mar 16, 11	18 900	21 784	411 72	26 160	494 42	82 70	LT
Security total		81 000	21 550	1,745 53		2,118 96	373 42	
KOMATSU LTD SPON ADR NEW								
Symbol KMTUY Exchange OTC								
EAI $28 Current yield 1 82%	Jan 12, 12	60 000	24 549	1,472 99	25 710	1,542 60	69 61	ST
MAGNA INTL INC CL A SUB VTG								
CANADA ORD CAD								
Symbol MGA Exchange NYSE								
EAI $42 Current yield 2 21%								
CAD Exchange rate 0 99570	Jan 11, 12	19 000	38 696	735 24	50 020	950 38	215 14	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 12, 12	19 000	39 470	749 94	50 020	950 38	200 44	ST
Security total		38 000	39 084	1,485 18		1,900 76	415 58	
MANULIFE FINANCIAL CORPCAD Symbol MFC Exchange NYSE EAI $128 Current yield 3 88% CAD Exchange rate 0 99570	Jun 28, 11	35 000	16 693	584 26	13 590	475 65	-108 61	LT
	Jun 29, 11	37 000	16 995	628 85	13 590	502 83	-126 02	LT
	Jun 30, 11	43 000	17 193	739 30	13 590	584 37	-154 93	LT
	Mar 27, 12	14 000	13 884	194 38	13 590	190 26	-4 12	ST
	Mar 28, 12	13 000	13 763	178 92	13 590	176 67	-2 25	ST
	Mar 29, 12	16 000	13 396	214 34	13 590	217 44	3 10	ST
	Mar 30, 12	80 000	13 571	1,085 70	13 590	1,087 20	1 50	ST
	Apr 18, 12	5 000	13 426	67 13	13 590	67 95	0 82	ST
Security total		243 000	15 197	3,692 88		3,302 37	-390 51	
MARKS & SPENCER GROUP INC SPON ADR Symbol MAKSY Exchange OTC EAI $69 Current yield 3 98%	Jun 15, 11	139 000	11 600	1,612 40	12 460	1,731 94	119 54	LT
MITSUI & CO LTD ADR JAPAN ADR Symbol MITSY Exchange OTC EAI $114 Current yield 3 79%	Aug 3, 09	8 000	254 140	2,033 12	300 500	2,404 00	370 88	LT
	Jul 16, 10	2 000	262 725	525 45	300 500	601 00	75 55	LT
Security total		10 000	255 857	2,558 57		3,005 00	446 43	
MIZUHO FINANCIAL GROUP INC SPON ADR Symbol MFG Exchange NYSE EAI $143 Current yield 3 72%	May 10, 11	539 000	3 351	1,806 19	3 660	1,972 74	166 55	LT
	May 11, 11	510 000	3 385	1,726 35	3 660	1,866 60	140 25	LT
Security total		1,049 000	3 368	3,532 54		3,839 34	306 80	
NITTO DENKO CORP UNSPONS ADR Symbol NDEKY Exchange OTC EAI $69 Current yield 2 04%	Sep 29, 10	62 000	19 305	1,196 93	24 830	1,539 46	342 53	LT
	Sep 1, 11	38 000	19 850	754 30	24 830	943 54	189 24	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Feb 6, 12	36 000	18 522	666 81	24 830	893 88	227 07	ST
Security total		136 000	19 250	2,618 04		3,376 88	758 84	
PETROLEO BRASILEIRO SA SPON ADR								
Symbol PBR Exchange NYSE								
EAI $7 Current yield 0 55%	Aug 3, 09	20 000	43 259	865 19	19 470	389 40	-475 79	LT
	Jul 16, 10	25 000	34 656	866 40	19 470	486 75	-379 65	LT
	Oct 25, 10	20 000	32 430	648 60	19 470	389 40	-259 20	LT
Security total		65 000	36 618	2,380 19		1,265 55	-1,114 64	
POSCO SPON ADR								
Symbol PKX Exchange NYSE								
EAI $61 Current yield 2 12%	Aug 3, 09	33 000	103 970	3,431 01	82 150	2,710 95	-720 06	LT
	Jul 16, 10	2 000	100 045	200 09	82 150	164 30	-35 79	LT
Security total		35 000	103 746	3,631 10		2,875 25	-755 85	
REED ELSERVIER NV NEW REPSTG 2 ORD SHS SPON ADR								
Symbol ENL Exchange NYSE								
EAI $121 Current yield 3 33%	May 13, 11	30 000	27 022	810 67	29 580	887 40	76 73	LT
	May 16, 11	17 000	26 691	453 75	29 580	502 86	49 11	LT
	May 17, 11	36 000	26 748	962 93	29 580	1,064 88	101 95	LT
	May 18, 11	23 000	26 645	612 84	29 580	680 34	67 50	LT
	May 19, 11	17 000	27 064	460 09	29 580	502 86	42 77	LT
Security total		123 000	26 832	3,300 28		3,638 34	338 06	
RENAISSANCERE HOLDINGS LTD								
Symbol RNR Exchange NYSE								
EAI $25 Current yield 1 34%	Aug 3, 09	23 000	51 149	1,176 43	81 260	1,868 98	692 55	LT
RIO TINTO PLC SPON ADR								
Symbol RIO Exchange NYSE								
EAI $109 Current yield 2 84%	Aug 19, 10	22 000	51 352	1,129 75	58 090	1,277 98	148 23	LT
	Mar 25, 11	10 000	68 512	685 12	58 090	580 90	-104 22	LT
	Mar 28, 11	24 000	68 885	1,653 24	58 090	1,394 16	-259 08	LT
	Aug 22, 12	10 000	47 079	470 79	58 090	580 90	110 11	ST
Security total		66 000	59 680	3,938 90		3,833 94	-104 96	

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Your assets ‣ **Equities** ‣ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ROYAL DUTCH SHELL PLC CL A								
SPON ADR								
Symbol RDS A Exchange NYSE								
EAI $190 Current yield 4 24%	Aug 3, 09	60 000	53 599	3,215 97	68 950	4,137 00	921 03	LT
	Sep 21, 10	5 000	59 326	296 63	68 950	344 75	48 12	LT
Security total		65 000	54 040	3,512 60		4,481 75	969 15	
SAGE GROUP PLC ADR								
Symbol SGPYY Exchange OTC								
EAI $50 Current yield 3 12%	Jul 22, 10	38 000	15 133	575 07	19 290	733 02	157 95	LT
	Jul 23, 10	45 000	15 394	692 74	19 290	868 05	175 31	LT
Security total		83 000	15 275	1,267 81		1,601 07	333 26	
SANOFI SPON ADR								
Symbol SNY Exchange NYSE								
EAI $120 Current yield 3 02%	Aug 17, 11	12 000	35 909	430 91	47 380	568 56	137 65	LT
	Aug 18, 11	72 000	35 057	2,524 13	47 380	3,411 36	887 23	LT
Security total		84 000	35 179	2,955 04		3,979 92	1,024 88	
SASOL LTD SPON ADR								
Symbol SSL Exchange NYSE								
EAI $188 Current yield 4 30%	Aug 3, 09	99 000	36 818	3,645 08	43 290	4,285 71	640 63	LT
	Oct 16, 12	2 000	43 925	87 85	43 290	86 58	-1 27	ST
Security total		101 000	36 960	3,732 93		4,372 29	639 36	
SEADRILL LTD US LINE								
Symbol SDRL Exchange NYSE								
EAI $156 Current yield 9 22%	May 11, 11	46 000	33 349	1,534 09	36 800	1,692 80	158 71	LT
SEGA SAMMY HLDG INC SPON ADR								
Symbol SGAMY Exchange OTC								
EAI $31 Current yield 2 38%	Apr 3, 12	145 000	5 405	783 74	4 180	606 10	-177 64	ST
	Apr 4, 12	166 000	5 379	893 01	4 180	693 88	-199 13	ST
Security total		311 000	5 391	1,676 75		1,299 98	-376 77	
SIEMENS A G SPON ADR								
Symbol SI Exchange NYSE								
EAI $109 Current yield 2 62%	Jul 27, 12	3 000	83 666	251 00	109 470	328 41	77 41	ST
	Jul 30, 12	35 000	84 075	2,942 63	109 470	3,831 45	888 82	ST

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Your assets ‣ **Equities** ‣ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		38 000	84 043	3,193 63		4,159 86	966 23	
SK TELECOM CO LTD ADR Symbol SKM Exchange NYSE EAI $163 Current yield 4 27%	Aug 3, 09	151 000	16 890	2,550 39	15 830	2,390 33	-160 06	LT
	Jul 16, 10	30 000	15 126	453 80	15 830	474 90	21 10	LT
	Jul 19, 10	20 000	15 319	306 38	15 830	316 60	10 22	LT
	Mar 19, 12	20 000	13 810	276 21	15 830	316 60	40 39	ST
	Mar 20, 12	20 000	13 682	273 65	15 830	316 60	42 95	ST
Security total		241 000	16 018	3,860 43		3,815 03	-45 40	
STATOIL ASA SPON ADR Symbol STO Exchange NYSE EAI $109 Current yield 3 60%	Aug 3, 09	81 000	22 059	1,786 82	25 040	2,028 24	241 42	LT
	Jul 16, 10	35 000	20 260	709 10	25 040	876 40	167 30	LT
	Aug 18, 10	5 000	20 380	101 90	25 040	125 20	23 30	LT
Security total		121 000	21 470	2,597 82		3,029 84	432 02	
SVENSKA CELLULOSA AB (SCA) SPON ADR Symbol SVCBY Exchange OTC EAI $50 Current yield 2 30%	May 26, 10	64 000	11 217	717 91	21 980	1,406 72	688 81	LT
	Jun 7, 10	35 000	11 447	400 65	21 980	769 30	368 65	LT
Security total		99 000	11 299	1,118 56		2,176 02	1,057 46	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR Symbol TSM Exchange NYSE EAI $47 Current yield 2 30%	Nov 9, 10	119 000	11 144	1,326 22	17 160	2,042 04	715 82	LT
TATA MOTORS LTD SPON ADR Symbol TTM Exchange NYSE EAI $23 Current yield 1 14%	Jun 15, 11	15 000	21 683	325 25	28 720	430 80	105 55	LT
	Aug 23, 11	20 000	15 983	319 66	28 720	574 40	254 74	LT
	Aug 24, 11	35 000	15 482	541 88	28 720	1,005 20	463 32	LT
Security total		70 000	16 954	1,186 79		2,010 40	823 61	
TELSTRA CORP LTD (FINAL) ADR Symbol TLSYY Exchange OTC EAI $107 Current yield 6 19%	Dec 22, 09	11 000	14 640	161 05	22 750	250 25	89 20	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 25, 10	65 000	13 235	860 32	22 750	1,478 75	618 43	LT
Security total		76 000	13 439	1,021 37		1,729 00	707 63	
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Symbol TEVA Exchange OTC EAI $65 Current yield 2 15%	Aug 3, 09	28 000	53 460	1,496 88	37 340	1,045 52	-451 36	LT
	Mar 29, 11	11 000	49 953	549 49	37 340	410 74	-138 75	LT
	Aug 6, 12	19 000	40 271	765 16	37 340	709 46	-55 70	ST
	Aug 7, 12	23 000	40 402	929 25	37 340	858 82	-70 43	ST
Security total		81 000	46 182	3,740 78		3,024 54	-716 24	
TORONTO DOMINION BK NEW CANADA CAD Symbol TD Exchange NYSE EAI $124 Current yield 3 68% CAD Exchange rate 0 99570	Aug 3, 09	40 000	60 209	2,408 36	84 330	3,373 20	964 84	LT
UNTD OVERSEAS BK LTD SPONS ADR SINGAPORE ADR Symbol UOVEY Exchange OTC EAI $105 Current yield 2 91%	May 5, 10	10 000	28 563	285 63	32 850	328 50	42 87	LT
	May 10, 10	25 000	28 178	704 47	32 850	821 25	116 78	LT
	Jun 2, 10	20 000	26 104	522 08	32 850	657 00	134 92	LT
	Jun 3, 10	35 000	27 279	954 78	32 850	1,149 75	194 97	LT
	Jun 4, 10	10 000	27 495	274 95	32 850	328 50	53 55	LT
	Jun 7, 10	5 000	26 506	132 53	32 850	164 25	31 72	LT
	Jun 8, 10	5 000	26 550	132 75	32 850	164 25	31 50	LT
Security total		110 000	27 338	3,007 19		3,613 50	606 31	
VALE SA-SP SPON ADR Symbol VALE Exchange NYSE EAI $55 Current yield 1 48%	Feb 14, 11	54 000	35 034	1,891 87	20 960	1,131 84	-760 03	LT
	Mar 29, 11	4 000	33 087	132 35	20 960	83 84	-48 51	LT
	Apr 17, 12	79 000	23 291	1,840 06	20 960	1,655 84	-184 22	ST
	Oct 15, 12	20 000	18 380	367 60	20 960	419 20	51 60	ST
	Oct 16, 12	20 000	18 623	372 46	20 960	419 20	46 74	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		177 000	26 013	4,604 34		3,709 92	-894 42	
WOORI FIN HOLDINGS ADR								
Symbol WF Exchange NYSE								
EAI $24 Current yield 1 47%	Dec 6, 10	45 000	39 027	1,756 22	33 350	1,500 75	-255 47	LT
	Dec 8, 10	1 000	39 020	39 02	33 350	33 35	-5 67	LT
	Dec 16, 10	3 000	38 000	114 00	33 350	100 05	-13 95	LT
Security total		49 000	38 964	1,909 24		1,634 15	-275 09	
YAMANA GOLD INC CAD								
Symbol AUY Exchange NYSE								
EAI $49 Current yield 1 50%								
CAD Exchange rate 0 99570	Oct 12, 09	110 000	12 640	1,390 43	17 210	1,893 10	502 67	LT
	Oct 25, 10	80 000	10 911	872 95	17 210	1,376 80	503 85	LT
Security total		190 000	11 913	2,263 38		3,269 90	1,006 52	
YANZHOU COAL MINING CO LTD								
SPON ADR								
Symbol YZC Exchange NYSE								
EAI $46 Current yield 4 72%	Aug 13, 10	27 000	21 162	571 39	17 080	461 16	-110 23	LT
	Aug 17, 10	10 000	21 527	215 27	17 080	170 80	-44 47	LT
	Aug 18, 10	20 000	21 436	428 73	17 080	341 60	-87 13	LT
Security total		57 000	21 323	1,215 39		973 56	-241 83	
ZURICH INS GROUP LTD SPON ADR								
Symbol ZURVY Exchange OTC	May 7, 10	58 000	18 845	1,093 04	26 800	1,554 40	461 36	LT
	May 10, 10	40 000	20 019	800 79	26 800	1,072 00	271 21	LT
	Jun 2, 10	20 000	18 762	375 25	26 800	536 00	160 75	LT
	Jun 3, 10	35 000	19 094	668 29	26 800	938 00	269 71	LT
	Jun 3, 10	20 000	19 195	383 91	26 800	536 00	152 09	LT
	Jun 4, 10	15 000	18 951	284 27	26 800	402 00	117 73	LT
	Jun 7, 10	10 000	18 571	185 71	26 800	268 00	82 29	LT
Security total		198 000	19 148	3,791 26		5,306 40	1,515 14	
Total				**$151,094.83**		**$172,585.15**	**$21,490.31**	

Total estimated annual income: $5,243

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PRINCIPAL LIFE INC FDG								
RATE 05 300% MATURES 04/24/13								
ACCRUED INTEREST $374 82								
CUSIP 74254 PYE6								
Moody Aa3 S&P A+								
EAI $1,007 Current yield 5 23%	Sep 10, 09	18,000 000	103 287	18,591 66	101 431	18,257 58	-334 08	LT
	Nov 19, 09	20,000 000	106 372	21,274 40	101 431	20,286 20	-988 20	LT
Security total		38,000 000		39,866 06		38,543 78	-1,322 28	
NTHN TRUST CORP NTS								
RATE 05 500% MATURES 08/15/13								
ACCRUED INTEREST $353 22								
CUSIP 665859 AJ3								
Moody A1 S&P A+								
EAI $935 Current yield 5 34%	Oct 08, 09	17,000 000	110 295	18,750 15	103 081	17,523 77	-1,226 38	LT

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BERKSHIRE HATHAWAY M-W+10B P NTS RATE04 625% MATURES10/15/13 ACCRUED INTEREST$156 22 CUSIP 084664A D3 Moody Aa2 S&P AA+ EAI $740 Current yield 4 48%	Jul 30, 09	16,000 000	105 105	16,816 80	103 158	16,505 28	-311 52	LT
GOLDMAN SACHS GROUP INC NTS RATE05 250% MATURES10/15/13 ACCRUED INTEREST $177 33 CUSIP 38141G DQ4 Moody A3 S&P A- EAI $840 Current yield 5 07%	Nov 05, 12	16,000 000	104 230	16,676 80	103 501	16,560 16	-116 64	ST
BP CAPITAL PLC NTS FOREIGN SECURITY RATE 05 250% MATURES 11/07/13 ACCRUED INTEREST $236 25 ISIN US05565QB F46 Moody A2 S&P A EAI $1,575 Current yield 5 05%	Nov 23, 09	30,000 000	110 675	33,202 50	104 061	31,218 30	-1,984 20	LT
US BANK NTS RATE06 300% MATURES02/04/14 ACCRUED INTEREST $565 95 CUSIP 90333W AB4 Moody A1 S&P A+ EAI $1,386 Current yield 5 94%	Aug 24, 09	12,000 000	111 136	13,336 32	106 047	12,725 64	-610 68	LT
	Jan 11, 11	10,000 000	112 098	11,209 80	106 047	10,604 70	-605 10	LT
Security total		22,000 000		24,546 12		23,330 34	-1,215 78	
NATIONAL RURAL UTIL CORP MW +15BP RATE 04 750% MATURES03/01/14 ACCRUED INTEREST $332 49 CUSIP 637432D C6 Moody A1 S&P A+ EAI $998 Current yield 4 53%	Nov 02, 09	21,000 000	107 377	22,549 17	104 805	22,009 05	-540 12	LT

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Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BOTTLING GROUP LLC M/W+50 BP RATE 06 950% MATURES 03/15/14 ACCRUED INTEREST $736 70 CUSIP 10138 MA H8 Moody Aa3 S&P A EAI $2,502 Current yield 6 46%	Aug 10, 09	36,000 000	115 693	41,649 48	107 528	38,710 08	-2,939 40	LT
BB&T CORP MED TERM NTS B/E RATE 05 700% MATURES 04/30/14 ACCRUED INTEREST $199 50 CUSIP 05531F AA1 Moody A2 S&P A- EAI $1,197 Current yield 5 35%	Mar 10, 10	11,000 000	109 714	12,068 54	106 580	11,723 80	-344 74	LT
	Feb 02, 11	10,000 000	111 223	11,122 30	106 580	10,658 00	-464 30	LT
Security total		21,000 000		23,190 84		22,381 80	-809 04	
DISNEY WALT CO NEW B/E MED TERM NTS RATE 06 200% MATURES 06/20/14 ACCRUED INTEREST $66 30 CUSIP 25468 PCA2 Moody A2 S&P A EAI $2,170 Current yield 5 71%	Jun 30, 10	35,000 000	115 953	40,583 55	108 502	37,975 70	-2,607 85	LT
BARCLAYS BANK PLC NOTES FOREIGN SECURITY RATE 05 200% MATURES 07/10/14 NTS ACCRUED INTEREST $469 30 ISIN US06739 FFZ99 Moody A2 S&P A+ EAI $988 Current yield 4 89%	Jan 11, 11	19,000 000	108 000	20,520 00	106 402	20,216 38	-303 62	LT

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Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
PRUDENTIAL FINANCIAL INC NTS RATE05 100% MATURES09/20/14 ACCRUED INTEREST$529 40 CUSIP 74432QA E5 Moody Baa2 S&P A EAI $1,887 Current yield 4 76%	Aug 27, 09	32,000 000	100 496	32,158 72	107 035	34,251 20	2,092 48	LT
	Nov 23, 09	5,000 000	104 825	5,241 25	107 035	5,351 75	110 50	LT
Security total		37,000 000		37,399 97		39,602 95	2,202 98	
PROCTER & GAMBLE CO NTS CALL@M-W+30BP RATE 03 500% MATURES02/15/15 ACCRUED INTEREST $542 11 CUSIP 742718D M8 Moody Aa3 S&P AA- EAI $1,435 Current yield 3 30%	Aug 19, 09	41,000 000	102 909	42,192 69	106 175	43,531 75	1,339 06	LT
BANK OF NEW YORK MTN B/E RATE04 950% MATURES03/15/15 ACCRUED INTEREST$510 12 CUSIP 06406JHB4 Moody A1 S&P A EAI $1,733 Current yield 4 54%	Aug 12, 09	9,000 000	104 785	9,430 65	109 029	9,812 61	381 96	LT
	Feb 04, 10	26,000 000	108 186	28,128 36	109 029	28,347 54	219 18	LT
Security total		35,000 000		37,559 01		38,160 15	601 14	
COMERICA INC SUBNOTES NTS B/E RATE 04 800% MATURES 05/01/15 ACCRUED INTEREST $208 00 CUSIP 200340A L1 Moody Baa1 S&P BBB+ EAI $1,248 Current yield 4 44%	Oct 13, 09	26,000 000	91 242	23,722 92	108 043	28,091 18	4,368 26	LT

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
UNTD TECHNOLOGIES CORP *MW + 15BP* NTS RATE 04 875% MATURES 05/01/15 ACCRUED INTEREST $284 37 CUSIP 913017B H1 Moody A2 S&P A EAI $1,706 Current yield 4 44%	Sep 04, 09	35,000 000	109 972	38,490 20	109 815	38,435 25	-54 95	LT
AT& T INC NTS B/E RATE 02 500% MATURES 08/15/15 ACCRUED INTEREST $368 33 CUSIP 00206RA V4 Moody A2 S&P A- EAI $975 Current yield 2 40%	Feb 11, 11	39,000 000	98 557	38,437 23	104 258	40,660 62	2,223 39	LT
BB&T CORP MED TERM NTS B/E RATE 03 200% MATURES 03/15/16 CALLABLE ACCRUED INTEREST $188 44 CUSIP 05531 FAG8 Moody A2 S&P A- EAI $640 Current yield 3 01%	Oct 21, 11	20,000 000	102 790	20,558 00	106 435	21,287 00	729 00	LT
SUNTRUST BANKS INC B/E RATE 03 600% MATURES 04/15/16 CALLABLE ACCRUED INTEREST $60 80 CUSIP 867914B D4 Moody Baa1 S&P BBB EAI $288 Current yield 3 37%	Jul 09, 12	8,000 000	104 210	8,336 79	106 815	8,545 20	208 41	ST
GENL ELEC CAP CORP MED TERM NTS RATE 03 350% MATURES 10/17/16 ACCRUED INTEREST $89 51 CUSIP 36962G5 H3 Moody A1 S&P AA+ EAI $436 Current yield 3 12%	Aug 20, 12	13,000 000	106 966	13,905 58	107 339	13,954 07	48 49	ST

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GENL ELEC CAP CORP NTS SER MTN RATE 05 375% MATURES 10/20/16 ACCRUED INTEREST $307 42 CUSIP 36962G Y40 Moody A1 S&P AA+ EAI $1,559 Current yield 4 70%	Aug 12, 09	29,000 000	99 460	28,843 40	114 384	33,171 36	4,327 96	LT
US BANCORP B/E RATE 02 200% MATURES 11/15/16 CALLABLE ACCRUED INTEREST $14 05 CUSIP 91159 HHB9 Moody A1 S&P A+ EAI $110 Current yield 2 11%	Aug 20, 12	5,000 000	104 123	5,206 15	104 191	5,209 55	3 40	ST
BOEING CO NTS B/E M\W T+25BP RATE 03 750% MATURES 11/20/16 ACCRUED INTEREST $179 37 CUSIP 097023B C8 Moody A2 S&P A EAI $1,575 Current yield 3 39%	Sep 24, 12	42,000 000	111 960	47,023 20	110 457	46,391 94	-631 26	ST
COMERICA BK M I NTS RATE 05 750% MATURES 11/21/16 ACCRUED INTEREST $63 88 CUSIP 200339 CG2 Moody A3 S&P A- EAI $575 Current yield 4 97%	Dec 04, 09	10,000 000	100 144	10,014 40	115 702	11,570 20	1,555 80	LT
KIMBERLY CLARK CORP MW + 25BP* RATE 06 125% MATURES 08/01/17 ACCRUED INTEREST $765 62 CUSIP 494368BB8 Moody A2 S&P A EAI $1,838 Current yield 4 99%	Sep 16, 09	30,000 000	113 119	33,935 70	122 644	36,793 20	2,857 50	LT

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Your assets ▸ **Fixed income** ▸ **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SUNTRUST BANKS INC SENIOR NTS RATE 06 000% MATURES 09/11/17 ACCRUED INTEREST $494 99 CUSIP 867914A Z6 Moody Baa1 S&P BBB EAI $1,620 Current yield 5 08%	Sep 01, 09	12,000 000	97 343	11,681 16	118 162	14,179 44	2,498 28	LT
	Feb 07, 11	9,000 000	106 667	9,600 03	118 162	10,634 58	1,034 55	LT
	Sep 05, 12	6,000 000	116 931	7,015 86	118 162	7,089 72	73 86	ST
Security total		27,000 000		28,297 05		31,903 74	3,606 69	
FLORIDA POWER & LIGHT CALL@M/WT+20BP RATE 05 550% MATURES 11/01/17 ACCRUED INTEREST $296 00 CUSIP 341081E Z6 Moody Aa3 S&P A EAI $1,776 Current yield 4 61%	Feb 11, 11	28,000 000	113 358	31,740 24	120 520	33,745 60	2,005 36	LT
	Sep 27, 12	4,000 000	122 431	4,897 24	120 520	4,820 80	-76 44	ST
Security total		32,000 000		36,637 48		38,566 40	1,928 92	
PECO ENERGY CO MW@+25BPS OID@99 832 B/E RATE 05 350% MATURES 03/01/18 ACCRUED INTEREST $873 83 CUSIP 693304A L1 Moody A1 S&P A- EAI $2,622 Current yield 4 45%	Aug 24, 09	34,000 000	105 484	35,864 56	120 340	40,915 60	5,051 04	LT
	Jan 20, 10	15,000 000	107 191	16,078 65	120 340	18,051 00	1,972 35	LT
Security total		49,000 000		51,943 21		58,966 60	7,023 39	
JOHNSON & JOHNSON COM 5 150% 071518 DTD062308 FC011509 MWT+15BP ACCRUED INTEREST $807 40 CUSIP 478160A U8 Moody Aaa S&P AAA EAI $1,751 Current yield 4 24%	Oct 14, 09	34,000 000	109 214	37,132 76	121 348	41,258 32	4,125 56	LT

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Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
KIMBERLY CLARK CORP M/W T+10 RATE 06 250% MATURES 07/15/18 ACCRUED INTEREST $201 73 CUSIP 494368A T0 Moody A2 S&P A EAI $438 Current yield 4 98%	Jul 27, 12	7,000 000	126 262	8,838 34	125 397	8,777 79	-60 55	ST
INTL BUSINESS MACH NTS MW+50BP RATE 07 625% MATURES 10/15/18 ACCRUED INTEREST $482 91 CUSIP 459200G M7 Moody Aa3 S&P AA- EAI $2,288 Current yield 5 71%	Sep 02, 09	30,000 000	123 402	37,020 60	133 569	40,070 70	3,050 10	LT
STATE STREET BK & TR CO NTS B/E RATE 05 250% MATURES 10/15/18 ACCRUED INTEREST $376 83 CUSIP 857449 AB8 Moody Aa3 S&P A+ EAI $1,785 Current yield 4 43%	Sep 18, 09	7,000 000	104 573	7,320 11	118 588	8,301 16	981 05	LT
	Feb 09, 10	27,000 000	106 506	28,756 62	118 588	32,018 76	3,262 14	LT
Security total		34,000 000		36,076 73		40,319 92	4,243 19	
J P MORGAN CHASE & CO NTS RATE 06 300% MATURES 04/23/19 ACCRUED INTEREST $404 60 CUSIP 46625 HHL7 Moody A2 S&P A EAI $2,142 Current yield 5 11%	Jun 09, 10	9,000 000	110 235	9,921 15	123 376	11,103 84	1,182 69	LT
	Oct 31, 11	25,000 000	113 101	28,275 25	123 376	30,844 00	2,568 75	LT
Security total		34,000 000		38,196 40		41,947 84	3,751 44	

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Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ALLSTATE CORP NTS B/E CALL@MW+45BP RATE 07 450% MATURES 05/16/19 ACCRUED INTEREST $353 87 CUSIP 020002A X9 Moody A3 S&P A- EAI $2,831 Current yield 5 68%	Sep 02, 09	4,000 000	117 286	4,691 44	131 137	5,245 48	554 04	LT
	Nov 16, 09	18,000 000	118 128	21,263 04	131 137	23,604 66	2,341 62	LT
	Jan 26, 10	16,000 000	117 314	18,770 24	131 137	20,981 92	2,211 68	LT
Security total		38,000 000		44,724 72		49,832 06	5,107 34	
PNC FUNDING CORP NTS B/E RATE 06 700% MATURES 06/10/19 ACCRUED INTEREST $23 44 CUSIP 693476B F9 Moody A3 S&P A- EAI $402 Current yield 5 25%	Oct 19, 11	6,000 000	119 224	7,153 44	127 583	7,654 98	501 54	LT
PNC FUNDING CORP NTS B/E RATE 05 125% MATURES 02/08/20 ACCRUED INTEREST $610 72 CUSIP 693476BJ1 Moody A3 S&P A- EAI $1,538 Current yield 4 31%	Jan 04, 11	30,000 000	105 516	31,654 80	118 933	35,679 90	4,025 10	LT
ALABAMA POWER CO B/E RATE 03 375% MATURES 10/01/20 ACCRUED INTEREST $455 62 CUSIP 010392F C7 Moody A2 S&P A EAI $1,823 Current yield 3 10%	Aug 11, 11	54,000 000	103 125	55,687 50	108 724	58,710 96	3,023 46	LT
UNITED PARCEL SERVICE B/E RATE 03 125% MATURES 01/15/21 ACCRUED INTEREST $547 56 CUSIP 911312A M8 Moody Aa3 S&P A+ EAI $1,188 Current yield 2 91%	Feb 16, 12	38,000 000	107 684	40,919 92	107 571	40,876 98	-42 94	ST

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Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
METLIFE INC B/E RATE 04 750% MATURES 02/08/21 ACCRUED INTEREST $698 11 CUSIP 59156RAX6 Moody A3 S&P A- EAI $1,758 Current yield 4 09%	Jan 25, 11	37,000 000	102 444	37,904 28	116 112	42,961 44	5,057 16	LT
HONEYWELL INTL INC +10BPS RATE 04 250% MATURES 03/01/21 ACCRUED INTEREST $495 83 CUSIP 438516BA3 Moody A2 S&P A EAI $1,488 Current yield 3 64%	Mar 19, 12	35,000 000	112 775	39,471 25	116 895	40,913 25	1,442 00	ST
COCA-COLA CO/THE B/E 0BP RATE 03 300% MATURES 09/01/21 ACCRUED INTEREST $385 00 CUSIP 191216AV2 Moody Aa3 S&P AA- EAI $1,155 Current yield 2 99%	Dec 20, 12	35,000 000	110 134	38,546 90	110 239	38,583 65	36 75	ST
TARGET CORP B/E 5BP RATE 02 900% MATURES 01/15/22 ACCRUED INTEREST $494 77 CUSIP 87612EAZ9 Moody A2 S&P A+ EAI $1,073 Current yield 2 74%	Dec 20, 12	37,000 000	105 371	38,987 27	105 992	39,217 04	229 77	ST
NORTHERN STATES PWR-MINN CALL@MW+10BPS RATE 02 150% MATURES 08/15/22 INTEREST EARNED FROM 08/13/12 1ST INTEREST PAYMENT 02/15/13 CALLABLE ACCRUED INTEREST $420 32 CUSIP 665772CH0 Moody A1 S&P A EAI $1,097 Current yield 2 18%	Sep 10, 12	51,000 000	99 590	50,790 90	98 745	50,359 95	-430 95	ST

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Your assets ▸ **Fixed income** ▸ **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Total		**$1,249,000.000**		**$1,343,960.26**		**$1,396,980.58**	**$53,020.32**	

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Quantity	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
U S TREASURY NOTE RATE 1 0000% MATURES 09/30/19 ACCRUED INTEREST $98 57 EAI $390 Current yield 1 01%	Nov 20, 12	39,000 000	99 601	38,844 61	99 313	38,732 07	-112 54	ST

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
APOLLO GROUP INC CL A								
Symbol APOL Exchange OTC	Aug 16, 10	40 000	41 411	1,656 44	20 920	836 80	-819 64	LT
	Oct 20, 11	50 000	47 794	2,389 70	20 920	1,046 00	-1,343 70	LT
Security total		90 000	44 957	4,046 14		1,882 80	-2,163 34	
AVON PRODUCTS INC								
Symbol AVP Exchange NYSE								
EAI $60 Current yield 1 67%	Sep 13, 11	15 000	21 365	320 48	14 360	215 40	-105 08	LT
	Sep 15, 11	15 000	21 591	323 87	14 360	215 40	-108 47	LT
	Sep 22, 11	30 000	20 097	602 92	14 360	430 80	-172 12	LT
	Oct 20, 11	65 000	22 557	1,466 23	14 360	933 40	-532 83	LT
	Nov 1, 11	95 000	17 680	1,679 60	14 360	1,364 20	-315 40	LT
	Nov 16, 11	30 000	17 651	529 55	14 360	430 80	-98 75	LT
Security total		250 000	19 691	4,922 65		3,590 00	-1,332 65	
BANCORP INC DEL								
Symbol TBBK Exchange OTC	Sep 17, 09	74 000	6 101	451 52	10 970	811 78	360 26	LT
	Sep 18, 09	116 000	6 176	716 46	10 970	1,272 52	556 06	LT
	Nov 2, 09	5 000	5 176	25 88	10 970	54 85	28 97	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 2, 09	10 000	6 048	60 48	10 970	109 70	49 22	LT
Security total		205 000	6 119	1,254 34		2,248 85	994 51	
BANK OF NEW YORK MELLON CORP								
Symbol BK Exchange NYSE								
EAI $75 Current yield 2 01%	Feb 9, 10	20 000	27 245	544 90	25 700	514 00	-30 90	LT
	Sep 22, 11	45 000	18 506	832 79	25 700	1,156 50	323 71	LT
	Oct 20, 11	80 000	19 556	1,564 52	25 700	2,056 00	491 48	LT
Security total		145 000	20 291	2,942 21		3,726 50	784 29	
BARD C R INC								
Symbol BCR Exchange NYSE								
EAI $124 Current yield 0 82%	Feb 19, 10	20 000	83 426	1,668 53	97 740	1,954 80	286 27	LT
	Apr 1, 10	10 000	84 265	842 65	97 740	977 40	134 75	LT
	Jul 7, 10	10 000	77 247	772 47	97 740	977 40	204 93	LT
	Oct 20, 11	60 000	86 119	5,167 15	97 740	5,864 40	697 25	LT
	Dec 16, 11	15 000	86 960	1,304 41	97 740	1,466 10	161 69	LT
	Apr 12, 12	40 000	95 782	3,831 31	97 740	3,909 60	78 29	ST
Security total		155 000	87 655	13,586 52		15,149 70	1,563 18	
BECTON DICKINSON & CO								
Symbol BDX Exchange NYSE								
EAI $109 Current yield 2 53%	Feb 19, 10	20 000	77 414	1,548 29	78 190	1,563 80	15 51	LT
	Oct 20, 11	35 000	74 006	2,590 21	78 190	2,736 65	146 44	LT
Security total		55 000	75 245	4,138 50		4,300 45	161 95	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI $529 Current yield 2 85%	Nov 5, 10	50 000	24 260	1,213 00	19 649	982 45	-230 55	LT
	Nov 11, 10	40 000	20 561	822 44	19 649	785 96	-36 48	LT
	Feb 10, 11	20 000	19 420	388 40	19 649	392 98	4 58	LT
	Mar 29, 11	50 000	17 361	868 06	19 649	982 45	114 39	LT
	May 12, 11	55 000	16 950	932 25	19 649	1,080 69	148 44	LT
	May 31, 11	45 000	16 620	747 90	19 649	884 20	136 30	LT
	Jun 3, 11	75 000	16 115	1,208 66	19 649	1,473 67	265 01	LT
	Jun 8, 11	35 000	15 259	534 08	19 649	687 71	153 63	LT
	Jun 15, 11	30 000	14 810	444 30	19 649	589 47	145 17	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 20, 11	310 000	17 096	5,299 94	19 649	6,091 19	791 25	LT
	May 17, 12	135 000	16 752	2,261 53	19 649	2,652 61	391 08	ST
	May 17, 12	30 000	16 760	502 80	19 649	589 47	86 67	ST
	Jul 24, 12	70 000	15 110	1,057 70	19 649	1,375 43	317 73	ST
Security total		945 000	17 229	16,281 06		18,568 30	2,287 22	
CLOROX CO								
Symbol CLX Exchange NYSE								
EAI $397 Current yield 3 50%	May 3, 10	40 000	64 383	2,575 35	73 220	2,928 80	353 45	LT
	Oct 20, 11	45 000	68 174	3,067 84	73 220	3,294 90	227 06	LT
	Apr 12, 12	70 000	69 415	4,859 05	73 220	5,125 40	266 35	ST
Security total		155 000	67 756	10,502 24		11,349 10	846 86	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI $255 Current yield 2 81%	Apr 1, 10	10 000	27 629	276 29	36 250	362 50	86 21	LT
	Apr 21, 10	10 000	27 185	271 85	36 250	362 50	90 65	LT
	May 3, 10	30 000	26 739	802 18	36 250	1,087 50	285 32	LT
	Oct 20, 11	200 000	33 669	6,733 92	36 250	7,250 00	516 08	LT
Security total		250 000	32 337	8,084 24		9,062 50	978 26	
COMCAST CORP NEW SPECIAL CL A								
Symbol CMCSK Exchange OTC								
EAI $127 Current yield 1 81%	Dec 2, 09	40 000	13 980	559 20	35 920	1,436 80	877 60	LT
	Oct 20, 11	155 000	23 416	3,629 63	35 920	5,567 60	1,937 97	LT
Security total		195 000	21 481	4,188 83		7,004 40	2,815 57	
CONOCOPHILLIPS								
Symbol COP Exchange NYSE								
EAI $607 Current yield 4 55%	Jul 30, 09	80 000	32 833	2,626 65	57 990	4,639 20	2,012 55	LT
	Oct 20, 11	105 000	52 736	5,537 30	57 990	6,088 95	551 65	LT
	May 9, 12	45 000	52 906	2,380 79	57 990	2,609 55	228 76	ST
Security total		230 000	45 847	10,544 74		13,337 70	2,792 96	
CORNING INC								
Symbol GLW Exchange NYSE								
EAI $86 Current yield 2 84%	Sep 17, 10	70 000	17 001	1,190 12	12 620	883 40	-306 72	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Sep 22, 11	45 000	12 015	540 71	12 620	567 90	27 19	LT
	Oct 20, 11	125 000	13 179	1,647 40	12 620	1,577 50	-69 90	LT
Security total		240 000	14 076	3,378 23		3,028 80	-349 43	
DELL INC								
Symbol DELL Exchange OTC								
EAI $78 Current yield 3 14%	Oct 9, 12	80 000	9 733	778 69	10 140	811 20	32 51	ST
	Oct 9, 12	80 000	9 776	782 14	10 140	811 20	29 06	ST
	Nov 16, 12	85 000	8 811	748 94	10 140	861 90	112 96	ST
Security total		245 000	9 428	2,309 77		2,484 30	174 53	
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE								
EAI $103 Current yield 2 64%	Nov 5, 10	20 000	69 400	1,388 00	86 550	1,731 00	343 00	LT
	Oct 20, 11	25 000	78 237	1,955 93	86 550	2,163 75	207 82	LT
Security total		45 000	74 310	3,343 93		3,894 75	550 82	
H & R BLOCK INC								
Symbol HRB Exchange NYSE								
EAI $76 Current yield 4 31%	Oct 20, 11	95 000	14 287	1,357 30	18 570	1,764 15	406 85	LT
HEWLETT PACKARD CO								
Symbol HPQ Exchange NYSE								
EAI $135 Current yield 3 72%	Aug 13, 10	40 000	40 788	1,631 52	14 250	570 00	-1,061 52	LT
	Mar 29, 11	25 000	41 510	1,037 75	14 250	356 25	-681 50	LT
	May 17, 11	20 000	36 069	721 39	14 250	285 00	-436 39	LT
	Aug 19, 11	40 000	23 639	945 57	14 250	570 00	-375 57	LT
	Oct 20, 11	130 000	24 567	3,193 76	14 250	1,852 50	-1,341 26	LT
Security total		255 000	29 529	7,529 99		3,633 75	-3,896 24	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI $451 Current yield 3 48%	Jul 30, 09	30 000	62 207	1,866 23	70 100	2,103 00	236 77	LT
	Sep 8, 09	5 000	60 468	302 34	70 100	350 50	48 16	LT
	Dec 2, 09	10 000	64 007	640 07	70 100	701 00	60 93	LT
	May 3, 10	30 000	65 295	1,958 87	70 100	2,103 00	144 13	LT
	Oct 20, 11	110 000	62 508	6,875 96	70 100	7,711 00	835 04	LT
Security total		185 000	62 938	11,643 47		12,968 50	1,325 03	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $612 Current yield 3 45%	Jul 30, 09	40 000	24 110	964 40	26 709	1,068 36	103 96	LT
	Aug 7, 09	15 000	23 610	354 15	26 709	400 63	46 48	LT
	Aug 10, 09	5 000	23 420	117 10	26 709	133 54	16 44	LT
	May 26, 10	40 000	24 999	999 99	26 709	1,068 36	68 37	LT
	Jul 7, 10	50 000	24 074	1,203 73	26 709	1,335 45	131 72	LT
	Mar 4, 11	70 000	25 860	1,810 20	26 709	1,869 63	59 43	LT
	Mar 4, 11	55 000	25 879	1,423 36	26 709	1,468 99	45 63	LT
	Oct 20, 11	390 000	26 988	10,525 63	26 709	10,416 51	-109 12	LT
Security total		665 000	26 163	17,398 56		17,761 48	362 91	
NEWS CORP INC DELA CL A								
Symbol NWSA Exchange OTC								
EAI $273 Current yield 0 67%	Jul 30, 09	600 000	10 369	6,221 40	25 510	15,306 00	9,084 60	LT
	Dec 2, 09	10 000	11 785	117 85	25 510	255 10	137 25	LT
	May 5, 10	60 000	14 368	862 12	25 510	1,530 60	668 48	LT
	Dec 17, 10	35 000	14 362	502 69	25 510	892 85	390 16	LT
	Jul 13, 11	55 000	15 812	869 69	25 510	1,403 05	533 36	LT
	Jul 13, 11	40 000	15 831	633 24	25 510	1,020 40	387 16	LT
	Oct 20, 11	805 000	16 588	13,353 88	25 510	20,535 55	7,181 67	LT
Security total		1,605 000	14 057	22,560 87		40,943 55	18,382 68	
PEPSICO INC								
Symbol PEP Exchange NYSE								
EAI $946 Current yield 3 14%	Jul 30, 09	120 000	57 559	6,907 14	68 430	8,211 60	1,304 46	LT
	Aug 10, 09	5 000	57 190	285 95	68 430	342 15	56 20	LT
	Aug 24, 09	10 000	57 286	572 86	68 430	684 30	111 44	LT
	Dec 2, 09	15 000	63 544	953 17	68 430	1,026 45	73 28	LT
	Dec 17, 09	10 000	60 239	602 39	68 430	684 30	81 91	LT
	Dec 30, 09	10 000	61 076	610 76	68 430	684 30	73 54	LT
	Jan 4, 11	15 000	65 330	979 95	68 430	1,026 45	46 50	LT
	Feb 10, 11	10 000	63 857	638 57	68 430	684 30	45 73	LT
	Feb 18, 11	15 000	63 520	952 80	68 430	1,026 45	73 65	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 20, 11	230 000	62 321	14,334 03	68 430	15,738 90	1,404 87	LT
Security total		440 000	60 995	26,837 62		30,109 20	3,271 58	
PFIZER INC Symbol PFE Exchange NYSE EAI $288 Current yield 3 83%	Oct 20, 11	300 000	18 879	5,663 70	25 079	7,523 70	1,860 00	LT
PROCTER & GAMBLE CO Symbol PG Exchange NYSE EAI $1,146 Current yield 3 31%	Jul 30, 09	5 000	56 778	283 89	67 890	339 45	55 56	LT
	Aug 7, 09	25 000	52 118	1,302 95	67 890	1,697 25	394 30	LT
	May 3, 10	15 000	62 800	942 00	67 890	1,018 35	76 35	LT
	Dec 17, 10	55 000	64 862	3,567 45	67 890	3,733 95	166 50	LT
	Jan 26, 11	10 000	66 187	661 87	67 890	678 90	17 03	LT
	Feb 3, 11	20 000	63 010	1,260 21	67 890	1,357 80	97 59	LT
	Mar 29, 11	50 000	61 068	3,053 41	67 890	3,394 50	341 09	LT
	Oct 20, 11	170 000	65 069	11,061 76	67 890	11,541 30	479 54	LT
	Jan 26, 12	50 000	65 130	3,256 50	67 890	3,394 50	138 00	ST
	Apr 12, 12	25 000	66 072	1,651 81	67 890	1,697 25	45 44	ST
	Apr 27, 12	55 000	64 469	3,545 82	67 890	3,733 95	188 13	ST
	Jun 20, 12	30 000	60 100	1,803 01	67 890	2,036 70	233 69	ST
Security total		510 000	63 511	32,390 68		34,623 90	2,233 22	
RESEARCH IN MOTION LTD CAD Symbol RIMM Exchange OTC CAD Exchange rate 0 99570	Dec 16, 11	20 000	13 490	269 80	11 870	237 40	-32 40	LT
	Aug 27, 12	120 000	7 114	853 76	11 870	1,424 40	570 64	ST
	Aug 31, 12	95 000	6 779	644 09	11 870	1,127 65	483 56	ST
Security total		235 000	7 522	1,767 65		2,789 45	1,021 80	
RESOURCE AMERICA INC NEW Symbol REXI Exchange OTC EAI $32 Current yield 1 82%	Jul 30, 09	119 000	6 263	745 35	6 670	793 73	48 38	LT
	Aug 7, 09	95 000	5 997	569 80	6 670	633 65	63 85	LT
	Aug 19, 09	20 000	5 769	115 39	6 670	133 40	18 01	LT
	Aug 27, 09	15 000	5 466	81 99	6 670	100 05	18 06	LT
	Sep 8, 09	15 000	5 088	76 32	6 670	100 05	23 73	LT

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STATEMENT C

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		264 000	6 018	1,588 85		1,760 88	172 03	
STRYKER CORP								
Symbol SYK Exchange NYSE								
EAI $201 Current yield 1 93%	Aug 31, 10	50 000	43 095	2,154 77	54 820	2,741 00	586 23	LT
	Sep 8, 11	5 000	49 430	247 15	54 820	274 10	26 95	LT
	Oct 20, 11	60 000	48 670	2,920 22	54 820	3,289 20	368 98	LT
	Nov 1, 11	5 000	46 622	233 11	54 820	274 10	40 99	LT
	Oct 10, 12	55 000	52 186	2,870 25	54 820	3,015 10	144 85	ST
	Oct 12, 12	15 000	52 680	790 20	54 820	822 30	32 10	ST
Security total		190 000	48 504	9,215 70		10,415 80	1,200 10	
SYSCO CORP								
Symbol SYY Exchange NYSE								
EAI $571 Current yield 3 54%	Feb 23, 10	40 000	28 918	1,156 73	31 660	1,266 40	109 67	LT
	Mar 11, 10	45 000	28 405	1,278 24	31 660	1,424 70	146 46	LT
	Jan 26, 11	40 000	29 656	1,186 26	31 660	1,266 40	80 14	LT
	Feb 3, 11	15 000	29 415	441 23	31 660	474 90	33 67	LT
	Mar 29, 11	35 000	27 944	978 06	31 660	1,108 10	130 04	LT
	Oct 20, 11	205 000	26 350	5,401 75	31 660	6,490 30	1,088 55	LT
	Feb 15, 12	45 000	29 227	1,315 22	31 660	1,424 70	109 48	ST
	Mar 5, 12	50 000	29 424	1,471 23	31 660	1,583 00	111 77	ST
	Apr 12, 12	35 000	29 262	1,024 18	31 660	1,108 10	83 92	ST
Security total		510 000	27 947	14,252 90		16,146 60	1,893 70	
US BANCORP DEL (NEW)								
Symbol USB Exchange NYSE								
EAI $187 Current yield 2 44%	Aug 24, 09	45 000	21 710	976 95	31 940	1,437 30	460 35	LT
	Dec 2, 09	20 000	24 070	481 40	31 940	638 80	157 40	LT
	Dec 30, 09	15 000	22 399	335 99	31 940	479 10	143 11	LT
	Oct 20, 11	160 000	24 507	3,921 21	31 940	5,110 40	1,189 19	LT
Security total		240 000	23 815	5,715 55		7,665 60	1,950 05	
VIACOM INC NEW CL B								
Symbol VIAB Exchange OTC								
EAI $204 Current yield 2 09%	Jul 30, 09	10 000	23 478	234 78	52 740	527 40	292 62	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 20, 11	175 000	42 598	7,454 74	52 740	9,229 50	1,774 76	LT
Security total		185 000	41 565	7,689 52		9,756 90	2,067 38	
WAL MART STORES INC Symbol WMT Exchange NYSE EAI $103 Current yield 2 32%	Apr 1, 10	10 000	55 579	555 79	68 230	682 30	126 51	LT
	Oct 20, 11	55 000	56 517	3,108 45	68 230	3,752 65	644 20	LT
Security total		65 000	56 373	3,664 24		4,434 95	770 71	
WELLPOINT INC Symbol WLP Exchange NYSE EAI $81 Current yield 1 90%	Aug 20, 12	70 000	56 832	3,978 27	60 920	4,264 40	286 13	ST
Total				**$262,778.27**		**$306,190.96**	**$43,412.66**	

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AMERICAN CAPITAL AGENCY CORP								
Symbol AGNC Exchange OTC								
EAI $825 Current yield 17 30%	Jun 8, 11	45 000	30 650	1,379 25	28 900	1,300 50	-78 75	LT
	Jun 23, 11	50 000	28 693	1,434 66	28 900	1,445 00	10 34	LT
	Oct 19, 11	30 000	27 787	833 63	28 900	867 00	33 37	LT
	Apr 4, 12	35 000	30 040	1,051 43	28 900	1,011 50	-39 93	ST
	Jul 6, 12	5 000	34 200	171 00	28 900	144 50	-26 50	ST
Security total		165 000	29 515	4,869 97		4,768 50	-101 47	
ANALOG DEVICES INC								
Symbol ADI Exchange OTC								
EAI $120 Current yield 2 85%	Aug 3, 09	55 000	27 437	1,509 06	42 060	2,313 30	804 24	LT
	Dec 17, 09	20 000	30 500	610 00	42 060	841 20	231 20	LT
	Oct 19, 11	25 000	35 194	879 87	42 060	1,051 50	171 63	LT
Security total		100 000	29 989	2,998 93		4,206 00	1,207 07	

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ANNALY CAPITAL MANAGEMENT								
INC REIT								
Symbol NLY Exchange NYSE								
EAI $522 Current yield 12 82%	Aug 3, 09	165 000	17 060	2,814 90	14 040	2,316 60	-498 30	LT
	Aug 19, 09	5 000	16 956	84 78	14 040	70 20	-14 58	LT
	Sep 29, 09	30 000	18 405	552 16	14 040	421 20	-130 96	LT
	Feb 4, 10	10 000	17 850	178 50	14 040	140 40	-38 10	LT
	Oct 19, 11	5 000	16 070	80 35	14 040	70 20	-10 15	LT
	Aug 6, 12	75 000	16 757	1,256 81	14 040	1,053 00	-203 81	ST
Security total		290 000	17 129	4,967 50		4,071 60	-895 90	
AVERY DENNISON CORP								
Symbol AVY Exchange NYSE								
EAI $70 Current yield 3 08%	Feb 2, 11	30 000	41 226	1,236 79	34 920	1,047 60	-189 19	LT
	Mar 7, 11	20 000	41 397	827 95	34 920	698 40	-129 55	LT
	Oct 19, 11	15 000	26 147	392 21	34 920	523 80	131 59	LT
Security total		65 000	37 799	2,456 95		2,269 80	-187 15	
BABCOCK & WILCOX CO NEW COM								
Symbol BWC Exchange NYSE								
EAI $38 Current yield 1 21%	Aug 3, 09	25 000	20 330	508 27	26 200	655 00	146 73	LT
	Sep 10, 10	55 000	22 000	1,210 00	26 200	1,441 00	231 00	LT
	Jun 6, 11	15 000	27 500	412 50	26 200	393 00	-19 50	LT
	Oct 19, 11	25 000	21 452	536 30	26 200	655 00	118 70	LT
Security total		120 000	22 226	2,667 07		3,144 00	476 93	
BOSTON SCIENTIFIC CORP								
Symbol BSX Exchange NYSE	Mar 7, 11	180 000	7 475	1,345 57	5 730	1,031 40	-314 17	LT
	Mar 11, 11	85 000	7 500	637 50	5 730	487 05	-150 45	LT
	Oct 19, 11	40 000	5 678	227 13	5 730	229 20	2 07	LT
Security total		305 000	7 247	2,210 20		1,747 65	-462 55	
BRANDYWINE RLTY TRUST NEW								
Symbol BDN Exchange NYSE								
EAI $132 Current yield 4 92%	Aug 3, 09	60 000	8 026	481 61	12 190	731 40	249 79	LT
	Oct 19, 11	160 000	8 024	1,283 97	12 190	1,950 40	666 43	LT
Security total		220 000	8 025	1,765 58		2,681 80	916 22	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BROADRIDGE FINANCIAL SOLUTIONS INC								
Symbol BR Exchange NYSE								
EAI $119 Current yield 3 15%	Aug 3, 09	85 000	17 380	1,477 30	22 880	1,944 80	467 50	LT
	Sep 25, 09	20 000	20 259	405 18	22 880	457 60	52 42	LT
	Oct 19, 11	60 000	21 337	1,280 25	22 880	1,372 80	92 55	LT
Security total		165 000	19 168	3,162 73		3,775 20	612 47	
CABLEVISION SYSTEMS CORP N Y GROUP CL A								
Symbol CVC Exchange NYSE								
EAI $120 Current yield 4 02%	Aug 3, 09	95 000	12 341	1,172 46	14 940	1,419 30	246 84	LT
	Aug 25, 11	50 000	17 009	850 49	14 940	747 00	-103 49	LT
	Oct 19, 11	55 000	17 458	960 20	14 940	821 70	-138 50	LT
Security total		200 000	14 916	2,983 15		2,988 00	4 85	
CHUBB CORP								
Symbol CB Exchange NYSE								
EAI $90 Current yield 2 17%	Aug 3, 09	45 000	46 659	2,099 68	75 320	3,389 40	1,289 72	LT
	Oct 19, 11	10 000	64 490	644 90	75 320	753 20	108 30	LT
Security total		55 000	49 901	2,744 58		4,142 60	1,398 02	
CLOROX CO								
Symbol CLX Exchange NYSE								
EAI $102 Current yield 3 48%	Oct 28, 09	15 000	57 990	869 85	73 220	1,098 30	228 45	LT
	Nov 17, 09	10 000	59 738	597 38	73 220	732 20	134 82	LT
	Oct 19, 11	15 000	68 140	1,022 10	73 220	1,098 30	76 20	LT
Security total		40 000	62 233	2,489 33		2,928 80	439 47	
DUKE ENERGY CORP NEW								
Symbol DUK Exchange NYSE								
EAI $171 Current yield 4 79%	Aug 3, 09	56 000	45 138	2,527 73	63 800	3,572 80	1,045 07	LT
ENDURANCE SPECIALTY HOLDINGS LTD ORD								
Symbol ENH Exchange NYSE								
EAI $130 Current yield 3 12%	Aug 3, 09	45 000	32 669	1,470 14	39 690	1,786 05	315 91	LT
	Aug 19, 09	15 000	34 149	512 24	39 690	595 35	83 11	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 19, 11	45 000	33 654	1,514 45	39 690	1,786 05	271 60	LT
Security total		105 000	33 303	3,496 83		4,167 45	670 62	
ENTERGY CORP NEW								
Symbol ETR Exchange NYSE								
EAI $133 Current yield 5 22%	Aug 3, 09	25 000	80 757	2,018 94	63 750	1,593 75	-425 19	LT
	Oct 19, 11	15 000	67 529	1,012 94	63 750	956 25	-56 69	LT
Security total		40 000	75 797	3,031 88		2,550 00	-481 88	
EXELON CORP								
Symbol EXC Exchange NYSE								
EAI $174 Current yield 7 05%	Oct 10, 11	41 150	40 704	1,674 99	29 740	1,223 80	-451 19	LT
	Oct 19, 11	23 250	41 310	960 46	29 740	691 46	-269 00	LT
	Nov 21, 11	18 600	42 110	783 26	29 740	553 16	-230 10	LT
Security total		83 000	41 189	3,418 71		2,468 42	-950 29	
FIRST AMERN FINL CORP COM								
Symbol FAF Exchange NYSE								
EAI $94 Current yield 2 00%	Jan 11, 11	5 000	14 890	74 45	24 090	120 45	46 00	LT
	Jan 12, 11	5 000	14 932	74 66	24 090	120 45	45 79	LT
	Jan 13, 11	20 000	14 912	298 24	24 090	481 80	183 56	LT
	Feb 11, 11	25 000	15 536	388 41	24 090	602 25	213 84	LT
	Feb 14, 11	20 000	15 487	309 75	24 090	481 80	172 05	LT
	Feb 17, 11	10 000	16 081	160 81	24 090	240 90	80 09	LT
	Apr 1, 11	40 000	16 402	656 10	24 090	963 60	307 50	LT
	Oct 19, 11	70 000	13 299	930 97	24 090	1,686 30	755 33	LT
Security total		195 000	14 838	2,893 39		4,697 55	1,804 16	
GENUINE PARTS CO								
Symbol GPC Exchange NYSE								
EAI $129 Current yield 3 12%	Aug 3, 09	30 000	35 577	1,067 33	63 580	1,907 40	840 07	LT
	Oct 15, 10	10 000	48 273	482 73	63 580	635 80	153 07	LT
	Oct 19, 11	5 000	56 570	282 85	63 580	317 90	35 05	LT
	Dec 18, 12	20 000	63 497	1,269 94	63 580	1,271 60	1 66	ST
Security total		65 000	47 736	3,102 85		4,132 70	1,029 85	
GRACE (W R.) & CO NEW								
Symbol GRA Exchange NYSE	Dec 14, 11	25 000	40 935	1,023 39	67 230	1,680 75	657 36	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 28, 11	15 000	44 308	664 62	67 230	1,008 45	343 83	LT
	Apr 19, 12	10 000	55 978	559 78	67 230	672 30	112 52	ST
Security total		50 000	44 956	2,247 79		3,361 50	1,113 71	
HAEMONETICS CORP MASS								
Symbol HAE Exchange NYSE	Mar 16, 11	20 000	31 550	631 00	40 840	816 80	185 80	LT
	Jun 3, 11	20 000	33 074	661 49	40 840	816 80	155 31	LT
	Oct 19, 11	30 000	30 005	900 15	40 840	1,225 20	325 05	LT
Security total		70 000	31 323	2,192 64		2,858 80	666 16	
HASBRO INC								
Symbol HAS Exchange OTC								
EAI $144 Current yield 4 01%	Aug 3, 09	35 000	26 189	916 63	35 900	1,256 50	339 87	LT
	Dec 2, 09	15 000	30 596	458 95	35 900	538 50	79 55	LT
	Mar 19, 10	5 000	38 082	190 41	35 900	179 50	-10 91	LT
	Oct 19, 11	45 000	34 823	1,567 04	35 900	1,615 50	48 46	LT
Security total		100 000	31 330	3,133 03		3,590 00	456 97	
HCP INC								
Symbol HCP Exchange NYSE								
EAI $180 Current yield 4 43%	Aug 3, 09	60 000	25 592	1,535 57	45 160	2,709 60	1,174 03	LT
	Oct 19, 11	10 000	37 003	370 03	45 160	451 60	81 57	LT
	Oct 21, 11	20 000	37 471	749 43	45 160	903 20	153 77	LT
Security total		90 000	29 500	2,655 03		4,064 40	1,409 37	
HEALTH CARE REIT INC SBI								
Symbol HCN Exchange NYSE								
EAI $207 Current yield 4 82%	Aug 3, 09	40 000	39 778	1,591 14	61 290	2,451 60	860 46	LT
	Oct 19, 11	15 000	48 051	720 77	61 290	919 35	198 58	LT
	Feb 29, 12	10 000	54 230	542 30	61 290	612 90	70 60	ST
	Mar 6, 12	5 000	54 250	271 25	61 290	306 45	35 20	ST
Security total		70 000	44 649	3,125 46		4,290 30	1,164 84	
HEINZ H J CO								
Symbol HNZ Exchange NYSE								
EAI $181 Current yield 3 57%	Nov 17, 09	38 000	42 256	1,605 75	57 680	2,191 84	586 09	LT
	Dec 2, 09	10 000	43 432	434 32	57 680	576 80	142 48	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 19, 11	20 000	52 635	1,052 70	57 680	1,153 60	100 90	LT
	Apr 16, 12	20 000	52 809	1,056 18	57 680	1,153 60	97 42	ST
Security total		88 000	47 147	4,148 95		5,075 84	926 89	
HUDSON CITY BANCORP INC								
Symbol HCBK Exchange OTC								
EAI $93 Current yield 3 94%	Sep 17, 10	100 000	12 128	1,212 84	8 130	813 00	-399 84	LT
	Nov 10, 10	65 000	11 736	762 85	8 130	528 45	-234 40	LT
	Jan 19, 11	50 000	12 191	609 57	8 130	406 50	-203 07	LT
	Oct 19, 11	75 000	6 027	452 09	8 130	609 75	157 66	LT
Security total		290 000	10 474	3,037 35		2,357 70	-679 65	
INVESCO LTD								
Symbol IVZ Exchange NYSE								
EAI $97 Current yield 2 66%	Jan 14, 11	20 000	24 944	498 88	26 090	521 80	22 92	LT
	Jan 18, 11	45 000	24 971	1,123 73	26 090	1,174 05	50 32	LT
	Feb 3, 11	15 000	24 696	370 45	26 090	391 35	20 90	LT
	Feb 17, 11	5 000	27 250	136 25	26 090	130 45	-5 80	LT
	Oct 19, 11	55 000	18 298	1,006 40	26 090	1,434 95	428 55	LT
Security total		140 000	22 398	3,135 71		3,652 60	516 89	
ITC HOLDINGS CORP								
Symbol ITC Exchange NYSE								
EAI $38 Current yield 1 98%	Dec 17, 12	25 000	78 015	1,950 38	76 910	1,922 75	-27 63	ST
M & T BANK CORP								
Symbol MTB Exchange NYSE								
EAI $132 Current yield 2 85%	Oct 13, 11	15 000	74 324	1,114 86	98 470	1,477 05	362 19	LT
	Oct 17, 11	10 000	74 745	747 45	98 470	984 70	237 25	LT
	Oct 19, 11	12 000	72 350	868 20	98 470	1,181 64	313 44	LT
	Oct 19, 11	10 000	72 538	725 38	98 470	984 70	259 32	LT
Security total		47 000	73 530	3,455 89		4,628 09	1,172 20	
MATTEL INC								
Symbol MAT Exchange OTC								
EAI $155 Current yield 3 39%	Aug 3, 09	55 000	17 448	959 64	36 620	2,014 10	1,054 46	LT
	Mar 10, 10	20 000	22 805	456 11	36 620	732 40	276 29	LT
	Apr 21, 10	25 000	23 516	587 92	36 620	915 50	327 58	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 19, 11	25 000	27 388	684 70	36 620	915 50	230 80	LT
Security total		125 000	21 507	2,688 37		4,577 50	1,889 13	
MCKESSON CORP								
Symbol MCK Exchange NYSE								
EAI $36 Current yield 0 83%	Apr 7, 10	20 000	66 017	1,320 35	96 960	1,939 20	618 85	LT
	May 17, 10	5 000	68 196	340 98	96 960	484 80	143 82	LT
	Nov 1, 10	10 000	67 183	671 83	96 960	969 60	297 77	LT
	Oct 19, 11	10 000	73 370	733 70	96 960	969 60	235 90	LT
Security total		45 000	68 152	3,066 86		4,363 20	1,296 34	
NATL FUEL GAS CO								
Symbol NFG Exchange NYSE								
EAI $51 Current yield 2 87%	Dec 10, 12	15 000	53 248	798 72	50 690	760 35	-38 37	ST
	Dec 13, 12	20 000	53 229	1,064 59	50 690	1,013 80	-50 79	ST
Security total		35 000	53 237	1,863 31		1,774 15	-89 16	
NEW YORK CMNTY BANCORP								
Symbol NYCB Exchange NYSE								
EAI $280 Current yield 7 63%	Dec 2, 09	95 000	11 739	1,115 24	13 100	1,244 50	129 26	LT
	Dec 8, 09	35 000	12 794	447 79	13 100	458 50	10 71	LT
	Dec 21, 09	25 000	14 196	354 90	13 100	327 50	-27 40	LT
	Oct 19, 11	50 000	12 737	636 87	13 100	655 00	18 13	LT
	Oct 25, 11	75 000	12 693	952 03	13 100	982 50	30 47	LT
Security total		280 000	12 524	3,506 83		3,668 00	161 17	
NEXEN INC CAD								
Symbol NXY Exchange NYSE								
EAI $28 Current yield 0 74%								
CAD Exchange rate 0 99570	Aug 17, 11	50 000	20 688	1,034 40	26 940	1,347 00	312 60	LT
	Aug 18, 11	10 000	20 030	200 30	26 940	269 40	69 10	LT
	Oct 19, 11	45 000	16 579	746 07	26 940	1,212 30	466 23	LT
	Nov 17, 11	5 000	16 080	80 40	26 940	134 70	54 30	LT
	Feb 17, 12	30 000	20 229	606 87	26 940	808 20	201 33	ST
Security total		140 000	19 057	2,668 04		3,771 60	1,103 56	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NISOURCE INC								
Symbol NI Exchange NYSE								
EAI $110 Current yield 3 84%	Aug 3, 09	115 000	13 028	1,498 22	24 890	2,862 35	1,364 13	LT
OLD REPUBLIC INTL CORP								
Symbol ORI Exchange NYSE								
EAI $199 Current yield 6 67%	Aug 3, 09	95 000	10 657	1,012 46	10 650	1,011 75	-0 71	LT
	Oct 19, 11	85 000	9 630	818 55	10 650	905 25	86 70	LT
	May 24, 12	5 000	9 950	49 75	10 650	53 25	3 50	ST
	Nov 16, 12	15 000	9 864	147 97	10 650	159 75	11 78	ST
	Dec 5, 12	10 000	10 620	106 20	10 650	106 50	0 30	ST
	Dec 13, 12	50 000	10 619	530 96	10 650	532 50	1 54	ST
	Dec 14, 12	20 000	10 620	212 40	10 650	213 00	0 60	ST
Security total		280 000	10 280	2,878 29		2,982 00	103 71	
OMNICOM GROUP INC								
Symbol OMC Exchange NYSE								
EAI $102 Current yield 2 40%	Apr 27, 10	30 000	42 053	1,261 60	49 960	1,498 80	237 20	LT
	May 17, 10	15 000	40 665	609 98	49 960	749 40	139 42	LT
	Aug 25, 11	20 000	38 745	774 90	49 960	999 20	224 30	LT
	Oct 19, 11	20 000	42 117	842 35	49 960	999 20	156 85	LT
Security total		85 000	41 045	3,488 83		4,246 60	757 77	
REGAL ENTERTAINMENT GROUP CL A								
Symbol RGC Exchange NYSE								
EAI $34 Current yield 6 09%	Dec 26, 12	40 000	13 951	558 06	13 950	558 00	-0 06	ST
ROCKWELL COLLINS INC								
Symbol COL Exchange NYSE								
EAI $36 Current yield 2 06%	Aug 3, 09	25 000	42 869	1,071 73	58 170	1,454 25	382 52	LT
	Feb 3, 11	5 000	65 496	327 48	58 170	290 85	-36 63	LT
Security total		30 000	46 640	1,399 21		1,745 10	345 89	
SCANA CORP NEW								
Symbol SCG Exchange NYSE								
EAI $168 Current yield 4 33%	Aug 3, 09	60 000	34 640	2,078 40	45 640	2,738 40	660 00	LT
	Oct 19, 11	25 000	41 984	1,049 62	45 640	1,141 00	91 38	LT
Security total		85 000	36 800	3,128 02		3,879 40	751 38	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SEMPRA ENERGY								
Symbol SRE Exchange NYSE								
EAI $156 Current yield 3 38%	Aug 3, 09	40 000	52 098	2,083 92	70 940	2,837 60	753 68	LT
	May 4, 10	10 000	49 443	494 43	70 940	709 40	214 97	LT
	Oct 19, 11	15 000	53 269	799 04	70 940	1,064 10	265 06	LT
Security total		65 000	51 960	3,377 39		4,611 10	1,233 71	
SONOCO PRODUCTS CO								
Symbol SON Exchange NYSE								
EAI $114 Current yield 4 04%	Sep 22, 11	35 000	30 051	1,051 81	29 730	1,040 55	-11 26	LT
	Sep 23, 11	5 000	30 236	151 18	29 730	148 65	-2 53	LT
	Oct 19, 11	15 000	31 260	468 90	29 730	445 95	-22 95	LT
	Jun 4, 12	10 000	29 617	296 17	29 730	297 30	1 13	ST
	Jul 6, 12	5 000	29 608	148 04	29 730	148 65	0 61	ST
	Jul 9, 12	25 000	29 550	738 77	29 730	743 25	4 48	ST
Security total		95 000	30 051	2,854 87		2,824 35	-30 52	
ST JUDE MEDICAL INC								
Symbol STJ Exchange NYSE								
EAI $106 Current yield 2 55%	Aug 3, 09	55 000	37 997	2,089 86	36 140	1,987 70	-102 16	LT
	Oct 15, 10	10 000	39 811	398 11	36 140	361 40	-36 71	LT
	Feb 8, 11	10 000	44 400	444 00	36 140	361 40	-82 60	LT
	Oct 19, 11	40 000	38 123	1,524 92	36 140	1,445 60	-79 32	LT
Security total		115 000	38 756	4,456 89		4,156 10	-300 79	
SUN COMMUNITIES INC								
Symbol SUI Exchange NYSE								
EAI $227 Current yield 6 32%	Jan 11, 12	40 000	36 514	1,460 58	39 890	1,595 60	135 02	ST
	Jan 12, 12	10 000	36 300	363 00	39 890	398 90	35 90	ST
	Mar 22, 12	15 000	42 979	644 69	39 890	598 35	-46 34	ST
	Sep 20, 12	25 000	44 213	1,105 34	39 890	997 25	-108 09	ST
Security total		90 000	39 707	3,573 61		3,590 10	16 49	
SUNTRUST BANKS INC								
Symbol STI Exchange NYSE								
EAI $28 Current yield 0 71%	Oct 19, 11	90 000	18 915	1,702 39	28 350	2,551 50	849 11	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 25, 11	50 000	18 831	941 58	28 350	1,417 50	475 92	LT
Security total		140 000	18 886	2,643 97		3,969 00	1,325 03	
SYSCO CORP								
Symbol SYY Exchange NYSE								
EAI $146 Current yield 3 55%	Sep 27, 12	65 000	31 060	2,018 93	31 660	2,057 90	38 97	ST
	Oct 26, 12	30 000	30 827	924 81	31 660	949 80	24 99	ST
	Nov 15, 12	35 000	29 820	1,043 72	31 660	1,108 10	64 38	ST
Security total		130 000	30 673	3,987 46		4,115 80	128 34	
TECO ENERGY INC								
Symbol TE Exchange NYSE								
EAI $150 Current yield 5 26%	Sep 18, 12	5 000	17 344	86 72	16 760	83 80	-2 92	ST
	Sep 19, 12	10 000	17 350	173 50	16 760	167 60	-5 90	ST
	Sep 20, 12	15 000	17 350	260 25	16 760	251 40	-8 85	ST
	Sep 27, 12	80 000	17 628	1,410 30	16 760	1,340 80	-69 50	ST
	Nov 7, 12	60 000	17 529	1,051 78	16 760	1,005 60	-46 18	ST
Security total		170 000	17 544	2,982 55		2,849 20	-133 35	
TELEPHONE & DATA SYS INC								
Symbol TDS Exchange NYSE								
EAI $66 Current yield 2 21%	Aug 3, 09	85 000	24 148	2,052 65	22 140	1,881 90	-170 75	LT
	Oct 19, 11	50 000	19 323	966 18	22 140	1,107 00	140 82	LT
Security total		135 000	22 362	3,018 83		2,988 90	-29 93	
XCEL ENERGY INC								
Symbol XEL Exchange NYSE								
EAI $113 Current yield 4 03%	Aug 3, 09	75 000	19 658	1,474 35	26 710	2,003 25	528 90	LT
	Oct 19, 11	30 000	25 378	761 35	26 710	801 30	39 95	LT
Security total		105 000	21 292	2,235 70		2,804 55	568 85	
XEROX CORP								
Symbol XRX Exchange NYSE								
EAI $78 Current yield 2 50%	Aug 3, 09	123 000	8 475	1,042 43	6 820	838 86	-203 57	LT
	Mar 4, 10	60 000	9 509	570 58	6 820	409 20	-161 38	LT
	Mar 19, 10	55 000	9 779	537 89	6 820	375 10	-162 79	LT
	Dec 13, 10	30 000	11 997	359 92	6 820	204 60	-155 32	LT
	Oct 19, 11	190 000	7 597	1,443 45	6 820	1,295 80	-147 65	LT

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STATEMENT E

Your assets ‣ Equities ‣ Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		458 000	8 634	3,954 27		3,123 56	-830 71	
ZIMMER HOLDINGS INC								
Symbol ZMH Exchange NYSE								
EAI $36 Current yield 1 08%	Aug 3, 09	20 000	46 010	920 20	66 660	1,333 20	413 00	LT
	Sep 21, 10	5 000	51 610	258 05	66 660	333 30	75 25	LT
	Feb 3, 11	10 000	60 417	604 17	66 660	666 60	62 43	LT
	Oct 19, 11	15 000	52 580	788 70	66 660	999 90	211 20	LT
Security total		50 000	51 422	2,571 12		3,333 00	761 88	
Total				**$143,270.31**		**$166,908.41**	**$23,638.10**	

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
SPDR GOLD TRUST									
Symbol GLD									
Trade date Aug 3, 09	30 000	94 280	2,828 40	2,828 40	162 020	4,860 60	2,032 20		LT
Trade date Oct 14, 09	5 000	104 366	521 83	521 83	162 020	810 10	288 27		LT
Trade date Oct 19, 11	10 000	160 900	1,609 00	1,609 00	162 020	1,620 20	11 20		LT
Security total	45 000	110 205	4,959 23	4,959 23		7,290 90	2,331 67	2,331 67	

STATEMENT E

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ALLIANZ SEADR Symbol AZSEY Exchange OTC EAI $129 Current yield 3 11%	Aug 3, 09	300 000	10 239	3,071 85	13 820	4,146 00	1,074 15	LT
AXA ADR Symbol AXAHY Exchange OTC EAI $73 Current yield 4 01%	Aug 3, 09	100 000	22 049	2,204 90	18 220	1,822 00	-382 90	LT
BASFSE SPON ADR Symbol BASFY Exchange OTC EAI $109 Current yield 2 55%	Aug 3, 09	45 000	52 280	2,352 60	95 000	4,275 00	1,922 40	LT
BHP BILLITON LTD SPON ADR Symbol BHP Exchange NYSE EAI $224 Current yield 2 86%	Aug 3, 09	100 000	66 379	6,637 95	78 420	7,842 00	1,204 05	LT
BRITISH AMER TOBACCO PLC GB SPON ADR Symbol BTI Exchange AMEX EAI $190 Current yield 4 17%	Aug 3, 09	40 000	62 256	2,490 24	101 250	4,050 00	1,559 76	LT
	Dec 4, 12	5 000	106 974	534 87	101 250	506 25	-28 62	ST
Security total		45 000	67 225	3,025 11		4,556 25	1,531 14	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BROOKFIELD ASSET MGMT INC LTD								
VTG SHS CL A								
Symbol BAM Exchange NYSE								
EAI $39 Current yield 1 52%	Aug 3, 09	70 000	21 319	1,492 36	36 650	2,565 50	1,073 14	LT
CANADIAN PAC RAILWAY LTD CAD								
Symbol CP Exchange NYSE								
EAI $141 Current yield 1 39%								
CAD Exchange rate 0 99570	Aug 3, 09	50 000	46 808	2,340 40	101 620	5,081 00	2,740 60	LT
	May 10, 10	40 000	57 141	2,285 67	101 620	4,064 80	1,779 13	LT
	Dec 16, 10	10 000	64 712	647 12	101 620	1,016 20	369 08	LT
Security total		100 000	52 732	5,273 19		10,162 00	4,888 81	
CANADIAN NAT RESOURCES LTD CAD								
Symbol CNQ Exchange NYSE								
EAI $74 Current yield 1 46%								
CAD Exchange rate 0 99570	Aug 3, 09	60 000	32 319	1,939 17	28 870	1,732 20	-206 97	LT
	Dec 27, 10	115 000	43 539	5,007 08	28 870	3,320 05	-1,687 03	LT
Security total		175 000	39 693	6,946 25		5,052 25	-1,894 00	
CDN NATL RAILWAY CO CAD								
Symbol CNI Exchange NYSE								
EAI $98 Current yield 1 66%								
CAD Exchange rate 0 99570	Aug 3, 09	40 000	49 700	1,988 00	91 010	3,640 40	1,652 40	LT
	Dec 14, 10	15 000	67 330	1,009 95	91 010	1,365 15	355 20	LT
	Dec 4, 12	10 000	89 462	894 62	91 010	910 10	15 48	ST
Security total		65 000	59 886	3,892 57		5,915 65	2,023 08	
CORE LABORATORIES N V EUR								
Symbol CLB Exchange NYSE								
EAI $22 Current yield 1 01%								
EUR Exchange rate 0 75849	Aug 3, 09	20 000	44 450	889 00	109 310	2,186 20	1,297 20	LT
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI $139 Current yield 2 38%	Aug 3, 09	50 000	63 259	3,162 97	116 580	5,829 00	2,666 03	LT
EATON CORP PLC								
Symbol ETN Exchange NYSE								
EAI $120 Current yield 2 80%	Dec 3, 12	69 000	51 655	3,564 20	54 180	3,738 42	174 22	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 20, 12	10 000	54 609	546 38 [2]	54 180	541 80	-4 58	ST
Security total		79 000	52 033	4,110 58		4,280 22	169 64	
ENSIGN ENERGY SERVICES INC CAD Symbol ESVIF Exchange OTC EAI $24 Current yield 2 82% CAD Exchange rate 0 99570	Aug 4, 09	55 000	15 660	861 30	15 450	849 75	-11 55	LT
FINNING INTL CAD Symbol FINGF Exchange OTC EAI $11 Current yield 2 24% CAD Exchange rate 0 99570	Dec 20, 10	20 000	26 338	526 77	24 560	491 20	-35 57	LT
INGERSOLL-RAND PLC Symbol IR Exchange NYSE EAI $113 Current yield 1 75%	Aug 3, 09	135 000	28 699	3,874 43	47 960	6,474 60	2,600 17	LT
MANULIFE FINANCIAL CORPCAD Symbol MFC Exchange NYSE EAI $63 Current yield 3 86% CAD Exchange rate 0 99570	Aug 3, 09	85 000	24 448	2,078 08	13 590	1,155 15	-922 93	LT
	Oct 13, 11	35 000	12 220	427 70	13 590	475 65	47 95	LT
Security total		120 000	20 882	2,505 78		1,630 80	-874 98	
NABORS INDUSTRIES LTD NEW (BERMUDA) Symbol NBR Exchange NYSE	Aug 3, 09	475 000	18 029	8,564 01	14 450	6,863 75	-1,700 26	LT
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR Symbol NSRGY Exchange OTC EAI $195 Current yield 2 72%	Aug 3, 09	65 000	41 400	2,691 00	65 170	4,236 05	1,545 05	LT
	Jan 29, 10	45 000	47 735	2,148 08	65 170	2,932 65	784 57	LT
Security total		110 000	43 992	4,839 08		7,168 70	2,329 62	
NOBLE CORP CHF Symbol NE Exchange NYSE EAI $130 Current yield 1 56% CHF Exchange rate 0 91535	Aug 3, 09	240 000	35 287	8,469 06	34 820	8,356 80	-112 26	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NOVARTIS AG SPON ADR Symbol NVS Exchange NYSE EAI $126 Current yield 3 32%	Aug 3, 09	60 000	45 789	2,747 37	63 300	3,798 00	1,050 63	LT
PARTNERRE LTD ORD Symbol PRE Exchange NYSE EAI $74 Current yield 3 06%	Aug 3, 09	30 000	68 050	2,041 50	80 490	2,414 70	373 20	LT
POTASH CORP SASK INC CANADA CAD Symbol POT Exchange NYSE EAI $126 Current yield 2 06% CAD Exchange rate 0 99570	Aug 3, 09	150 000	31 699	4,754 97	40 690	6,103 50	1,348 53	LT
RIO TINTO PLC SPON ADR Symbol RIO Exchange NYSE EAI $257 Current yield 2 85%	Aug 3, 09	130 000	44 580	5,795 51	58 090	7,551 70	1,756 19	LT
	Dec 14, 12	25 000	54 417	1,360 44	58 090	1,452 25	91 81	ST
Security total		155 000	46 167	7,155 95		9,003 95	1,848 00	
SCHLUMBERGER LTD NETHERLANDS ANTILLES Symbol SLB Exchange NYSE EAI $121 Current yield 1 59%	Aug 3, 09	110 000	56 309	6,193 99	69 298	7,622 78	1,428 79	LT
SUNCOR ENERGY INC NEW CAD Symbol SU Exchange NYSE EAI $136 Current yield 1 59% CAD Exchange rate 0 99570	Aug 11, 09	260 000	31 775	8,261 50	32 980	8,574 80	313 30	LT
TALISMAN ENERGY INC CAD Symbol TLM Exchange NYSE EAI $32 Current yield 2 35% CAD Exchange rate 0 99570	Aug 3, 09	120 000	15 908	1,908 96	11 330	1,359 60	-549 36	LT
TECK RESOURCES LTD CL B ORD CAD Symbol TCK Exchange NYSE EAI $40 Current yield 2 45% CAD Exchange rate 0 99570	Dec 14, 12	45 000	35 890	1,615 09	36 350	1,635 75	20 66	ST

continued next page

Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TENARIS S A ADS ADR Symbol TS Exchange NYSE EAI $167 Current yield 1 81%	Aug 3, 09	220 000	31 848	7,006 56	41 920	9,222 40	2,215 84	LT
TRANSOCEAN LTD CHF Symbol RIG Exchange NYSE CHF Exchange rate 0 91535	Aug 3, 09	150 000	82 528	12,379 28	44 660	6,699 00	-5,680 28	LT
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR Symbol UN Exchange NYSE EAI $125 Current yield 2 72%	Aug 3, 09	120 000	27 569	3,308 35	38 300	4,596 00	1,287 65	LT
VALE SA-SP SPON ADR Symbol VALE Exchange NYSE EAI $78 Current yield 1 49%	Aug 3, 09	250 000	20 820	5,205 00	20 960	5,240 00	35 00	LT
WEATHERFORD INTL LTD CHF Symbol WFT Exchange NYSE CHF Exchange rate 0 91535	Aug 3, 09	510 000	19 889	10,143 75	11 190	5,706 90	-4,436 85	LT
YARA INTL ASA SPON ADR Symbol YARIY Exchange OTC EAI $10 Current yield 2 01%	Aug 3, 09	10 000	32 590	325 90	49 740	497 40	171 50	LT
Total				**$145,747.93**		**$162,942.45**	**$17,194.52**	

STATEMENT F

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
INTL LEASE FIN CORP MED TERM NTS RATE 05 625% MATURES 09/20/13 ACCRUED INTEREST $78 90 CUSIP 45974 VA81 Moody Ba3 S&P BBB- EAI $281 Current yield 5 49%	May 25, 12	5,000 000	102 000	5,100 00	102 500	5,125 00	25 00	ST
FORD MOTOR CREDIT CO NTS GLOBAL RATE 07 000% MATURES 10/01/13 ACCRUED INTEREST $157 50 CUSIP 345397T Z6 Moody Baa3 S&P BB+ EAI $630 Current yield 6 70%	May 18, 12	9,000 000	106 625	9,596 25	104 510	9,405 90	-190 35	ST

continued next page

Your assets › **Fixed income** › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TENET HEALTHCARE CORP MW+50BP NTS B/E RATE 09 250% MATURES 02/01/15 ACCRUED INTEREST $192 70 CUSIP 88033GBC3 Moody Caa1 S&P CCC+ EAI $463 Current yield 8 24%	May 16, 12	3,000 000	111 000	3,330 00	112 250	3,367 50	37 50	ST
	Jun 07, 12	2,000 000	110 500	2,210 00	112 250	2,245 00	35 00	ST
Security total		5,000 000		5,540 00		5,612 50	72 50	
REGIONS FINL CORP NTS B/E RATE 05 750% MATURES 06/15/15 ACCRUED INTEREST $5 11 CUSIP 7591 EPAG5 Moody Ba1 S&P BBB- EAI $115 Current yield 5 32%	Jun 13, 12	2,000 000	104 500	2,090 00	108 125	2,162 50	72 50	ST
LEUCADIA NATL CORP NTS B/E RATE 08 125% MATURES 09/15/15 ACCRUED INTEREST $239 23 CUSIP 527288BD5 Moody B1 S&P BB+ EAI $813 Current yield 7 22%	May 04, 12	10,000 000	112 500	11,250 00	112 500	11,250 00		ST
FIRST DATA CORP CALL@MW+50BP RATE 09 875% MATURES 09/24/15 ACCRUED INTEREST $123 43 CUSIP 319963AP9 Moody Caa1 S&P B- EAI $494 Current yield 9 68%	May 01, 12	5,000 000	102 000	5,100 00	102 000	5,100 00		ST
CASE CORP 0BP NOTES RATE 07 250% MATURES 01/15/16 ACCRUED INTEREST $167 15 CUSIP 14743RAB9 Moody Ba2 S&P BB+ EAI $363 Current yield 6 44%	May 14, 12	3,000 000	111 000	3,330 00	112 500	3,375 00	45 00	ST

continued next page

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 28, 12	2,000 000	113 000	2,260 00	112 500	2,250 00	-10 00	ST
Security total		5,000 000		5,590 00		5,625 00	35 00	
ECHOSTAR DBS CORP MW+50BP RATE 07 125% MATURES 02/01/16 ACCRUED INTEREST $415 62 CUSIP 27876GBE7 Moody Ba2 S&P BB- EAI $998 Current yield 6 36%	Apr 26, 12	14,000 000	110 700	15,498 00	112 000	15,680 00	182 00	ST
HEALTH MGMT ASSN INC NEW MW +20BPS RATE 06 125% MATURES 04/15/16 ACCRUED INTEREST $64 65 CUSIP 421933AH5 S&P BB- EAI $306 Current yield 5 67%	Jun 12, 12	5,000 000	105 500	5,275 00	108 000	5,400 00	125 00	ST
MGM GRAND INC CALL @M/W T+50BP RATE 07 625% MATURES 01/15/17 ACCRUED INTEREST $175 79 CUSIP 552953BB6 Moody B3 S&P B+ EAI $381 Current yield 7 13%	Apr 26, 12	5,000 000	104 500	5,225 00	107 000	5,350 00	125 00	ST
RITE AID CORP CALL@M/H T+75BP RATE 07 500% MATURES 03/01/17 ACCRUED INTEREST $125 00 CUSIP 767754BL7 Moody Caa1 S&P B- EAI $375 Current yield 7 30%	Apr 26, 12	5,000 000	102 350	5,117 50	102 750	5,137 50	20 00	ST

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AIRCASTLE LTD NTS B/E								
0BP								
RATE 06 750% MATURES 04/15/17								
ACCRUED INTEREST $71 25								
CUSIP 00928QA F8								
Moody Ba3 S&P BB+								
EAI $338 Current yield 6 31%	Jun 21, 12	2,000 000	100 500	2,010 00	107 000	2,140 00	130 00	ST
	Dec 14, 12	3,000 000	107 500	3,225 00	107 000	3,210 00	-15 00	ST
Security total		5,000 000		5,235 00		5,350 00	115 00	
CIT GROUP INC								
0BP								
RATE 05 000% MATURES 05/15/17								
ACCRUED INTEREST $95 83								
CUSIP 125581G M4								
Moody B1 S&P BB-								
EAI $750 Current yield 4 72%	May 09, 12	6,000 000	100 500	6,030 00	106 000	6,360 00	330 00	ST
	May 15, 12	5,000 000	100 875	5,043 75	106 000	5,300 00	256 25	ST
	May 16, 12	2,000 000	100 250	2,005 00	106 000	2,120 00	115 00	ST
	May 18, 12	2,000 000	98 750	1,975 00	106 000	2,120 00	145 00	ST
Security total		15,000 000		15,053 75		15,900 00	846 25	
HARRAHS OPER CO INC NTS								
0BP								
RATE 11 250% MATURES 06/01/17								
ACCRUED INTEREST $46 87								
CUSIP 413627B L3								
Moody B2 S&P B								
EAI $563 Current yield 10 50%	May 01, 12	5,000 000	111 500	5,575 00	107 125	5,356 25	-218 75	ST
EL PASO CORP M W@+50BP								
RATE 07 000% MATURES 06/15/17								
ACCRUED INTEREST $9 33								
CUSIP 28336 LBQ1								
Moody Ba2 S&P BB								
EAI $210 Current yield 6 13%	Sep 10, 12	3,000 000	114 500	3,435 00	114 234	3,427 02	-7 98	ST

continued next page

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SEALED AIR CORP NTS B/E CALL@MW+50BP RATE 07 875% MATURES 06/15/17 CALLABLE ACCRUED INTEREST $17 50 CUSIP 81211 KAP5 Moody B1 S&P BB- EAI $394 Current yield 7 39%	Aug 03, 12	5,000 000	107 750	5,387 50	106 625	5,331 25	-56 25	ST
PAETEC HLDG CORP NTS B/E CALL@MW+50BP RATE 08 875% MATURES 06/30/17 CUSIP 695459A D9 Moody WR S&P BB- EAI $444 Current yield 8 28%	May 14, 12	5,000 000	109 000	5,450 00	107 250	5,362 50	-87 50	ST
SMITHFIELD FOODS INC NTS RATE 07 750% MATURES 07/01/17 ACCRUED INTEREST $193 75 CUSIP 832248 AQ1 Moody B1 S&P BB EAI $388 Current yield 6 65%	Apr 27, 12	5,000 000	112 500	5,625 00	116 500	5,825 00	200 00	ST
AES CORP NTS 8 000% 101517 DTD011808 FC041508 CALL@M/W +50BP ACCRUED INTEREST $152 00 CUSIP 00130 HBH7 Moody Ba3 S&P BB- EAI $720 Current yield 6 93%	May 01, 12	9,000 000	114 650	10,318 50	115 500	10,395 00	76 50	ST
CCO HLDGS LLC/CAP CORP +50BP RATE 07 250% MATURES 10/30/17 CALLABLE ACCRUED INTEREST $108 74 CUSIP 1248 EPAQ6 Moody B1 S&P BB- EAI $653 Current yield 6 65%	Apr 30, 12	9,000 000	109 250	9,832 50	109 000	9,810 00	-22 50	ST

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ICAHN ENTERPRISES L P / ICAHN NTS RATE 08 000% MATURES 01/15/18 CALLABLE ACCRUED INTEREST $516 44 CUSIP 451102A H0 Moody Ba3 S&P BBB- EAI $1,120 Current yield 7 45%	May 17, 12	14,000 000	106 000	14,840 00	107 375	15,032 50	192 50	ST
HUNTINGTON INGALLS INDS 0BP RATE 06 875% MATURES 03/15/18 CALLABLE ACCRUED INTEREST $202 43 CUSIP 446413 AB2 Moody Ba3 S&P B+ EAI $688 Current yield 6 32%	May 03, 12	10,000 000	106 500	10,650 00	108 750	10,875 00	225 00	ST
NEWFIELD EXPLORATION COS CALL@MW +50BP RATE 07 125% MATURES 05/15/18 ACCRUED INTEREST $45 52 CUSIP 651290A K4 Moody Ba2 S&P BB+ EAI $356 Current yield 6 75%	Jun 04, 12	5,000 000	105 750	5,287 50	105 500	5,275 00	-12 50	ST
CSC HLDGS INC SENIOR NOTES RATE 07 625% MATURES 07/15/18 ACCRUED INTEREST $175 79 CUSIP 126304A K0 Moody Ba3 S&P BB+ EAI $381 Current yield 6 60%	Jun 08, 12	5,000 000	109 000	5,450 00	115 500	5,775 00	325 00	ST
SEARS HLDGS CORP NTS B/E 0BP RATE 06 625% MATURES 10/15/18 ACCRUED INTEREST $153 84 CUSIP 812350A E6 Moody B2 S&P B EAI $729 Current yield 7 28%	Apr 27, 12	11,000 000	89 250	9,817 50	91 000	10,010 00	192 50	ST

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Your assets ▸ **Fixed income** ▸ **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AFFINION GROUP INC NTS CALL@MW+50BP RATE 07 875% MATURES 12/15/18 ACCRUED INTEREST $17 50 CUSIP 00828 DAN1 Moody Caa1 S&P CCC EAI $394 Current yield 10 33%	May 23, 12	5,000 000	87 500	4,375 00	76 250	3,812 50	-562 50	ST
AVIS BUDGET NTS B/E CALL@MW+50BP RATE 08 250% MATURES 01/15/19 CALLABLE ACCRUED INTEREST $114 12 CUSIP 053773A N7 Moody B2 S&P B EAI $248 Current yield 7 47%	Dec 13, 12	3,000 000	111 500	3,345 00	110 500	3,315 00	-30 00	ST
DEL MONTE CORP NTS B/E +50BP RATE 07 625% MATURES 02/15/19 CALLABLE ACCRUED INTEREST $144 02 CUSIP 245217A S3 Moody B3 S&P CCC+ EAI $381 Current yield 7 31%	Oct 19, 12	5,000 000	103 875	5,193 75	104 250	5,212 50	18 75	ST
INTELSAT JACKSON HLDGS 0BPS RATE 07 250% MATURES 04/01/19 CALLABLE ACCRUED INTEREST $181 25 CUSIP 45824 TAE5 Moody B3 S&P B EAI $725 Current yield 6 74%	May 01, 12	10,000 000	105 490	10,549 00	107 500	10,750 00	201 00	ST

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Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
VISTEON CORP CALL@MW+50BP RATE 06 750% MATURES 04/15/19 ACCRUED INTEREST $71 25 CUSIP 92839 UAF4 Moody B2 S&P B+ EAI $338 Current yield 6 34%	May 02, 12	5,000 000	103 500	5,175 00	106 500	5,325 00	150 00	ST
SPRINT CAPITAL CORP MW+25BP GLOBAL RATE 06 900% MATURES 05/01/19 ACCRUED INTEREST $161 00 CUSIP 852060 AG7 Moody B3 S&P B+ EAI $966 Current yield 6 33%	Apr 27, 12	14,000 000	87 875	12,302 50	109 000	15,260 00	2,957 50	ST
CINEMARK INC NTS B/E CALL@MW+50BP RATE 08 625% MATURES 06/15/19 ACCRUED INTEREST $19 16 CUSIP 172441A S6 Moody B2 S&P BB- EAI $431 Current yield 7 79%	May 16, 12	5,000 000	111 750	5,587 50	110 750	5,537 50	-50 00	ST
HOST HOTELS & RESORTS 0BP RATE 05 875% MATURES 06/15/19 CALLABLE ACCRUED INTEREST $23 49 CUSIP 44107 TAM8 Moody Baa3 S&P BB+ EAI $529 Current yield 5 39%	Apr 30, 12	5,000 000	106 250	5,312 50	109 000	5,450 00	137 50	ST
	May 23, 12	4,000 000	106 500	4,260 00	109 000	4,360 00	100 00	ST
Security total		9,000 000		9,572 50		9,810 00	237 50	

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Your assets ▸ Fixed income ▸ Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NRG ENERGY INC NTS B/E CALL@MW+50BP RATE 08 500% MATURES 06/15/19 ACCRUED INTEREST $37 77 CUSIP 629377BG6 Moody B1 S&P BB- EAI $850 Current yield 7 73%	Apr 26, 12	10,000 000	102 100	10,210 00	110 000	11,000 00	790 00	ST
LEVEL 3 FING INC NTS B/E CALL@MW+50BP RATE 08 125% MATURES 07/01/19 ACCRUED INTEREST $365 62 CUSIP 527298A U7 Moody B3 S&P CCC EAI $731 Current yield 7 45%	Sep 27, 12	2,000 000	106 750	2,135 00	109 000	2,180 00	45 00	ST
	Oct 02, 12	3,000 000	107 250	3,217 50	109 000	3,270 00	52 50	ST
	Oct 09, 12	1,000 000	107 500	1,075 00	109 000	1,090 00	15 00	ST
	Oct 10, 12	3,000 000	107 500	3,225 00	109 000	3,270 00	45 00	ST
Security total		9,000 000		9,652 50		9,810 00	157 50	
PENN NATL GAMING INC NTS CALL@MW+50BP RATE 08 750% MATURES 08/15/19 ACCRUED INTEREST $297 50 CUSIP 707569A N9 Moody Ba2 S&P BB- EAI $788 Current yield 7 68%	Apr 26, 12	9,000 000	112 250	10,102 50	114 000	10,260 00	157 50	ST
UNITED RENTALS NA INC +50BP RATE 09 250% MATURES 12/15/19 ACCRUED INTEREST $36 99 CUSIP 911365A U8 Moody B3 S&P B+ EAI $833 Current yield 8 11%	May 14, 12	9,000 000	112 500	10,125 00	114 000	10,260 00	135 00	ST

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ZAYO GROUP LLC/ZAYO CPTL CALL@MW+50BP RATE 08 125% MATURES 01/01/20 INTEREST EARNED FROM 06/28/12 1ST INTEREST PAYMENT 01/01/13 CALLABLE ACCRUED INTEREST $206 51 CUSIP 989194 AG0 Moody B1 S&P B EAI $406 Current yield 7 30%	Sep 18, 12	5,000 000	111 389	5,569 45	111 250	5,562 50	-6 95	ST
AUTONATION INC NTS B/E RATE 05 500% MATURES 02/01/20 ACCRUED INTEREST $229 16 CUSIP 05329WA K8 Moody Ba2 S&P BB+ EAI $550 Current yield 5 12%	Apr 26, 12	10,000 000	102 000	10,200 00	107 375	10,737 50	537 50	ST
HCA INC NTS B/E RATE 07 875% MATURES 02/15/20 CALLABLE ACCRUED INTEREST $386 75 CUSIP 404119BJ7 Moody Ba3 S&P BB EAI $1,024 Current yield 7 08%	May 01, 12	13,000 000	111 750	14,527 50	111 250	14,462 50	-65 00	ST
SERVICEMASTER CO NTS B/E CALL@MW +50BP RATE 08 000% MATURES 02/15/20 CALLABLE ACCRUED INTEREST $151 11 CUSIP 81760 NAN9 Moody B3 S&P B- EAI $400 Current yield 7 67%	Jun 27, 12	2,000 000	108 500	2,170 00	104 250	2,085 00	-85 00	ST
	Jun 29, 12	3,000 000	109 250	3,277 50	104 250	3,127 50	-150 00	ST
Security total		5,000 000		5,447 50		5,212 50	-235 00	

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Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ALLY FINANIAL INC NTS B/E RATE 08 000% MATURES 03/15/20 ACCRUED INTEREST $117 77 CUSIP 02005 NAE0 Moody B1 S&P B+ EAI $400 Current yield 6 53%	Jun 13, 12	5,000 000	112 990	5,649 50	122 500	6,125 00	475 50	ST
CLEAR CHANNEL WW HLDGS CALL@MW+50BP RATE 07 625% MATURES 03/15/20 CALLABLE ACCRUED INTEREST $224 51 CUSIP 18451QA H1 Moody B3 S&P B EAI $763 Current yield 7 57%	Sep 12, 12	8,000 000	99 750	7,980 00	100 750	8,060 00	80 00	ST
	Sep 12, 12	2,000 000	99 250	1,985 00	100 750	2,015 00	30 00	ST
Security total		10,000 000		9,965 00		10,075 00	110 00	
WYNN LAS VEGAS LLC B/E RATE 07 875% MATURES 05/01/20 CALLABLE ACCRUED INTEREST $65 62 CUSIP 983130A P0 S&P BBB- EAI $394 Current yield 6 95%	May 01, 12	5,000 000	111 240	5,562 00	113 250	5,662 50	100 50	ST
COMMU NITY HEALTH SYSTEMS CALL@MW +50BP RATE 07 125% MATURES 07/15/20 INTEREST EARNED FROM 07/18/12 1ST INTEREST PAYMENT 01/15/13 CALLABLE ACCRUED INTEREST $161 30 CUSIP 12543 DAQ3 Moody B3 S&P B EAI $356 Current yield 6 67%	Jul 10, 12	5,000 000	102 125	5,106 25	106 750	5,337 50	231 25	ST

continued next page

Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
RANGE RESOURCES CORP NTS B/E RATE 06 750% MATURES 08/01/20 CALLABLE ACCRUED INTEREST $253 12 CUSIP 75281 AAL3 Moody Ba3 S&P BB EAI $608 Current yield 6 22%	May 30, 12	9,000 000	108 250	9,742 50	108 500	9,765 00	22 50	ST
ALLIANT TECHSYSTEMS INC 0BP NTS B/E RATE 06 875% MATURES 09/15/20 CALLABLE ACCRUED INTEREST $202 43 CUSIP 018804A P9 Moody Ba3 S&P BB- EAI $688 Current yield 6 26%	Apr 27, 12	10,000 000	107 500	10,750 00	109 875	10,987 50	237 50	ST
PEABODY ENERGY CORP B/E RATE 06 500% MATURES 09/15/20 ACCRUED INTEREST $95 69 CUSIP 704549A H7 Moody Ba1 S&P BB+ EAI $325 Current yield 6 06%	Jun 04, 12	3,000 000	100 250	3,007 50	107 250	3,217 50	210 00	ST
	Jun 05, 12	2,000 000	100 000	2,000 00	107 250	2,145 00	145 00	ST
Security total		5,000 000		5,007 50		5,362 50	355 00	
GENON ENERGY INC NTS B/E CALL@MW +50BP RATE 09 875% MATURES 10/15/20 CALLABLE ACCRUED INTEREST $104 23 CUSIP 37244 DAF6 Moody B3 S&P B EAI $494 Current yield 8 55%	Jun 07, 12	2,000 000	92 000	1,840 00	115 500	2,310 00	470 00	ST
	Jun 14, 12	3,000 000	92 750	2,782 50	115 500	3,465 00	682 50	ST
Security total		5,000 000		4,622 50		5,775 00	1,152 50	

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ENERGY TRANSFER PARTNERS LP B/E RATE 07 500% MATURES 10/15/20 ACCRUED INTEREST $142 49 CUSIP 29273 VAC4 Moody WR S&P BB EAI $675 Current yield 6 49%	May 09, 12	9,000 000	112 500	10,125 00	115 500	10,395 00	270 00	ST
MARKWEST ENERGY CALL@MW+50BP RATE 06 750% MATURES 11/01/20 CALLABLE ACCRUED INTEREST $56 25 CUSIP 570506A M7 Moody Ba3 S&P BB EAI $338 Current yield 6 19%	Apr 30, 12	5,000 000	110 000	5,500 00	109 000	5,450 00	-50 00	ST
ENERGY FUTURES HLDGS 0BP RATE 10 000% MATURES 12/01/20 ACCRUED INTEREST $108 33 CUSIP 29269QA A5 Moody Caa3 S&P B- EAI $1,300 Current yield 8 87%	May 03, 12	13,000 000	111 000	14,430 00	112 750	14,657 50	227 50	ST
INTL LEASE FIN CORP NTS B/E RATE 08 250% MATURES 12/15/20 ACCRUED INTEREST $18 33 CUSIP 459745G F6 Moody Ba3 S&P BBB- EAI $413 Current yield 6 92%	May 17, 12	5,000 000	111 750	5,587 50	119 250	5,962 50	375 00	ST
LINN ENERGY LLC NTS B/E CALL@MW+50BP RATE 07 750% MATURES 02/01/21 ACCRUED INTEREST $114 09 CUSIP 536022A F3 Moody B2 S&P B EAI $388 Current yield 7 28%	May 18, 12	2,000 000	102 290	2,045 80	106 500	2,130 00	84 20	ST

continued next page

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 21, 12	3,000 000	102 250	3,067 50	106 500	3,195 00	127 50	ST
Security total		5,000 000		5,113 30		5,325 00	211 70	
CHESAPEAKE ENERGY CORP E-WHOLE + 50 BP RATE 06 125% MATURES 02/15/21 ACCRUED INTEREST $347 08 CUSIP 165167 CG0 Moody Ba3 S&P BB- EAI $919 Current yield 5 90%	Apr 26, 12	15,000 000	95 500	14,325 00	103 750	15,562 50	1,237 50	ST
AMERISTAR CASINOS INC CALL@MW+50BP RATE 07 500% MATURES 04/15/21 ACCRUED INTEREST $79 16 CUSIP 03070QA N1 Moody B3 S&P B+ EAI $375 Current yield 6 92%	Sep 20, 12	3,000 000	109 500	3,285 00	108 375	3,251 25	-33 75	ST
	Sep 21, 12	2,000 000	109 500	2,190 00	108 375	2,167 50	-22 50	ST
Security total		5,000 000		5,475 00		5,418 75	-56 25	
CCO HLDGS LLC/CAP CORP 0BP RATE 06 500% MATURES 04/30/21 CALLABLE ACCRUED INTEREST $54 16 CUSIP 1248 EPAU7 Moody B1 S&P BB- EAI $325 Current yield 6 03%	Apr 26, 12	5,000 000	104 210	5,210 50	107 875	5,393 75	183 25	ST
PLAINS EXPLORATION & 50BP PROD CO RATE 06 625% MATURES 05/01/21 CALLABLE ACCRUED INTEREST $55 20 CUSIP 726505A K6 Moody B1 S&P B EAI $331 Current yield 6 02%	Sep 13, 12	5,000 000	105 500	5,275 00	110 125	5,506 25	231 25	ST

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Your assets ‣ Fixed income ‣ Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BALL CORP NTS B/E CALL@MW T+50BP RATE 05 750% MATURES 05/15/21 CALLABLE ACCRUED INTEREST $36 73 CUSIP 058498 AQ9 Moody Ba1 S&P BB+ EAI $288 Current yield 5 31%	May 09, 12	3,000 000	107 875	3,236 25	108 250	3,247 50	11 25	ST
	May 31, 12	2,000 000	106 000	2,120 00	108 250	2,165 00	45 00	ST
Security total		5,000 000		5,356 25		5,412 50	56 25	
IRON MTN INC NTS B/E RATE 08 375% MATURES 08/15/21 ACCRUED INTEREST $158 19 CUSIP 46284 PAM6 Moody B1 S&P B+ EAI $419 Current yield 7 55%	May 01, 12	5,000 000	109 500	5,475 00	111 000	5,550 00	75 00	ST
GENWORTH FINANCIAL INC MW + 50BP RATE 07 625% MATURES 09/24/21 ACCRUED INTEREST $102 72 CUSIP 37247 DAP1 Moody Baa3 S&P BBB- EAI $381 Current yield 6 91%	May 01, 12	5,000 000	100 490	5,024 50	110 357	5,517 85	493 35	ST
MASCO CORP B/E 0BP RATE 05 950% MATURES 03/15/22 ACCRUED INTEREST $175 19 CUSIP 574599B H8 Moody Ba3 S&P BBB- EAI $595 Current yield 5 37%	May 01, 12	10,000 000	102 350	10,235 00	110 849	11,084 90	849 90	ST

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SALLY HLDGS LLC NTS B/E								
0BP								
RATE 05 750% MATURES 06/01/22								
CALLABLE								
ACCRUED INTEREST $23 95								
CUSIP 79546 VAJ5								
Moody Ba3 S&P BB+								
EAI $288 Current yield 5 30%	Oct 05, 12	2,000 000	106 750	2,135 00	108 500	2,170 00	35 00	ST
	Oct 10, 12	3,000 000	106 750	3,202 50	108 500	3,255 00	52 50	ST
Security total		5,000 000		5,337 50		5,425 00	87 50	
CONSTELLATION BRANDS INC								
0BP								
RATE 04 625% MATURES 03/01/23								
INTEREST EARNED FROM 08/14/12								
1ST INTEREST PAYMENT 03/01/13								
ACCRUED INTEREST $88 00								
CUSIP 21036 PAJ7								
Moody Ba1 S&P BB+								
EAI $231 Current yield 4 43%	Oct 16, 12	5,000 000	102 625	5,131 25	104 500	5,225 00	93 75	ST
GMAC LLC B/E								
RATE 08 000% MATURES 11/01/31								
ACCRUED INTEREST $119 99								
CUSIP 36186 CBY8								
Moody B1 S&P B+								
EAI $720 Current yield 6 32%	Apr 26, 12	9,000 000	114 250	10,282 50	126 625	11,396 25	1,113 75	ST
Total		**$467,000.000**		**$493,562.25**		**$508,273.17**	**$14,710.92**	

Proceeds from Broker Transactions

Short-term transactions for covered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
BARCLAYS PLC ADR Symbol BCS CUSIP 06738E204									
Sell	3 0000		09/01/11	03/29/12	45 07	34 32			10 75
Sell	61 0000		09/01/11	03/30/12	919 41	697 84			221 57
Sub Total	**64.0000**				**964.48**	**732.16**			**232.32**
CREDIT SUISSE GROUP SPON ADR Symbol CS CUSIP 225401108									
Sell	14 0000		03/25/11	02/01/12	379 94	592 23			(212 29)
Sell	1 0000		03/28/11	02/01/12	27 14	42 56			(15 42)
Sell	10 0000		03/28/11	02/03/12	279 17	425 55			(146 38)
Sell	23 0000		03/29/11	02/03/12	642 09	984 69			(342 60)
Sell	2 0000		03/29/11	03/27/12	58 21	85 62			(27 41)
Sell	12 0000		03/29/11	03/28/12	349 38	513 75			(164 37)
Sell	1 0000		08/23/11	03/28/12	29 12	27 02			2 10
Sell	3 0000		08/23/11	03/30/12	85 50	81 07			4 43
Sell	34 0000		08/23/11	04/02/12	972 17	918 74			53 43
Sell	4 0000		08/23/11	04/04/12	108 07	108 09			(0 02)
Sub Total	**104.0000**				**2,930.79**	**3,779.32**			**(848.53)**
DELHAIZE GROUP SPON ADR Symbol DEG CUSIP 29759W101									
Sell	2 0000		02/06/12	06/13/12	64 68	111 79			(47 11)
Sell	10 0000		02/06/12	06/14/12	326 81	558 97			(232 16)
Sub Total	**12.0000**				**391.49**	**670.76**			**(279.27)**

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Proceeds from Broker Transactions (continued)

Short-term transactions for covered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
HEINEKEN N V ADR NETHERLANDS ADR CUSIP 423012202									
Sell	43 0000		08/17/11	08/07/12	1,190 46	1,179 47			10 99
Sell	17 0000		08/17/11	08/08/12	462 40	466 30			(3 90)
Sell	1 0000		08/17/11	08/09/12	26 88	27 43			(0 55)
Sub Total	**61.0000**				**1,679.74**	**1,673.20**			**6.54**
NEXEN INC CAD Symbol NXY CUSIP 65334H102									
Sell	46 0000		04/17/12	07/24/12	1,199 05	872 50			326 55
Sell	5 0000		04/18/12	07/24/12	130 33	95 25			35 08
Sell	32 0000		04/19/12	07/24/12	834 13	611 26			222 87
Sub Total	**83.0000**				**2,163.51**	**1,579.01**			**584.50**
PEUGEOT S A NEW SPON ADR (FRANCE) Symbol PEUGY CUSIP 716825500									
Sell	8 0000		07/22/11	01/11/12	131 47	345 59			(214 12)
Sell	9 0000		07/25/11	01/11/12	147 90	395 16			(247 26)
Sell	10 0000		07/26/11	01/11/12	164 33	429 81			(265 48)
Sell	21 0000		07/27/11	01/11/12	345 10	819 26			(474 16)
Sell	10 0000		07/27/11	01/12/12	173 71	390 13			(216 42)
Sub Total	**58.0000**				**962.51**	**2,379.95**			**(1,417.44)**
PT BK RAKYAT ADR Symbol BKRKY CUSIP 69366X100									
Sell	**6.0000**		06/06/12	08/14/12	**89.12**	**73.80**			**15.32**

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Proceeds from Broker Transactions (continued)

Short-term transactions for covered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
TATA MOTORS LTD SPON ADR Symbol TTM CUSIP 876568502									
Sell	71 0000		03/21/11	01/17/12	1,474 26	1,818 46			(344 20)
Sell	4 0000		03/22/11	01/17/12	83 05	101 60			(18 55)
Sell	18 0000		06/15/11	01/17/12	373 76	390 30			(16 54)
Sub Total	**93.0000**				**1,931.07**	**2,310.36**			**(379.29)**
Total	**481.0000**				**$11,112.71**	**$13,198.56**			**$(2,085.85)**

Long-term transactions for covered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
ASML HOLDING NV NY REG SHS NEW CUSIP N07059186									
Sell	**37.0000**		07/26/11	08/06/12	**2,101.75**	**1,400.18**			**701.57**
CATHAY PAC AIRWAYS LTD SPONSORED ADR ADR Symbol CPCAY CUSIP 148906308									
Sell	19 0000		01/28/11	04/05/12	167 14	247 20			(80 06)
Sell	32 0000		01/31/11	04/05/12	281 49	403 33			(121 84)
Sell	38 0000		01/31/11	04/10/12	335 53	478 95			(143 42)
Sell	29 0000		01/31/11	04/11/12	248 36	365 52			(117 16)
Sell	36 0000		03/11/11	04/11/12	308 32	436 43			(128 11)
Sell	44 0000		03/11/11	04/12/12	370 81	533 41			(162 60)
Sell	21 0000		03/14/11	04/12/12	176 98	253 05			(76 07)
Sell	32 0000		03/14/11	04/13/12	275 92	385 61			(109 69)
Sell	9 0000		03/15/11	04/13/12	77 60	105 57			(27 97)

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Proceeds from Broker Transactions (continued)

Long-term transactions for covered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
CATHAY PAC AIRWAYS LTD SPONSORED ADR ADR Symbol CPCAY CUSIP 148906308									
Sell	1 0000		03/15/11	04/13/12	8 67	11 73			(3 06)
Sell	28 0000		03/15/11	04/16/12	239 85	328 43			(88 58)
Sell	43 0000		03/15/11	04/17/12	368 33	504 38			(136 05)
Sell	18 0000		03/16/11	04/17/12	154 19	211 75			(57 56)
Sub Total	**350.0000**				**3,013.19**	**4,265.36**			**(1,252.17)**
CREDIT SUISSE GROUP SPON ADR Symbol CS CUSIP 225401108									
Sell	**1.0000**		01/06/11	02/01/12	**27.14**	**42.47**			**(15.33)**
FUJI HEAVY INDS LTD ADR Symbol FUJHY CUSIP 359556206									
Sell	**5.0000**		06/24/11	11/30/12	**110.92**	**75.93**			**34.99**
KOC HOLDINGS ADR Symbol KHOLY CUSIP 49989A109									
Cash in Lieu	**0.9000**		03/11/11	06/19/12	**16.02**	**18.77**			**(2.75)**
OI S A SPON ADR Symbol OIBR CUSIP 670851203									
Cash in Lieu	0 6089		01/11/11	04/12/12	8 89	12 83			(3 94)
Sell	90 5646		01/11/11	04/18/12	1,324 47	1,907 62			(583 15)
Sell	0 4354		01/12/11	04/18/12	6 37	9 30			(2 93)
Sell	8 5535		01/12/11	04/19/12	129 34	182 78			(53 44)

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Proceeds from Broker Transactions (continued)

Long-term transactions for covered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
OI S A SPON ADR Symbol OIBR CUSIP 670851203									
Sell	49 4391		03/14/11	04/19/12	747 59	1,081 98			(334 39)
Sell	52 0074		03/15/11	04/19/12	786 43	1,143 83			(357 40)
Sub Total	**201.6089**				**3,003.09**	**4,338.34**			**(1,335.25)**
OI S A SPON ADR Symbol OIBR C CUSIP 670851104									
Sell	26 6812		01/11/11	04/17/12	154 76	239 22			(84 46)
Sell	2 6306		01/12/11	04/17/12	15 26	23 93			(8 67)
Sell	10 6882		03/14/11	04/17/12	62 00	99 57			(37 57)
Sell	3 7801		03/14/11	04/18/12	21 55	35 21			(13 66)
Sell	15 2199		03/15/11	04/18/12	86 75	142 48			(55 73)
Sub Total	**59.0000**				**340.32**	**540.41**			**(200.09)**
Total	**654.5089**				**$8,612.43**	**$10,681.46**			**$(2,069.03)**

Long-term transactions for noncovered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
AGRIUM INC (CANADA) CAD Symbol AGU CUSIP 008916108									
Sell	**21.0000**		08/03/09	06/07/12	**1,670.88**	**1,033.60**			**637.28**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
ASAHI GLASS ADR *FOREIGN SECURITY JAPAN ADR Symbol ASGLY CUSIP 043393206									
Sell	1 0000		11/05/10	09/07/12	6 36	10 23			(3 87)
Sell	105 0000		11/05/10	09/10/12	662 54	1,074 24			(411 70)
Sell	1 0000		11/05/10	09/12/12	6 11	10 23			(4 12)
Sell	44 0000		11/05/10	09/12/12	270 52	450 16			(179 64)
Sub Total	**151.0000**				**945.53**	**1,544.86**			**(599.33)**
BARCLAYS PLC ADR Symbol BCS CUSIP 06738E204									
Sell	7 0000		11/11/10	03/27/12	112 71	129 02			(16 31)
Sell	57 0000		11/11/10	03/29/12	856 41	1,050 64			(194 23)
Sub Total	**64.0000**				**969.12**	**1,179.66**			**(210.54)**
CIA PARANAENSE ENERGI SPON ADR Symbol ELP CUSIP 20441B407									
Sell	5 0000		08/03/09	03/19/12	121 22	79 65			41 57
Sell	10 0000		08/03/09	03/20/12	239 49	159 30			80 19
Sell	1 0000		08/03/09	10/12/12	15 65	15 93			(0 28)
Sell	2 0000		08/03/09	10/15/12	31 60	31 86			(0 26)
Sell	14 0000		08/03/09	10/16/12	224 78	223 02			1 76
Sub Total	**32.0000**				**632.74**	**509.76**			**122.98**
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR Symbol SBS CUSIP 20441A102									
Sell	2 0000		08/03/09	02/03/12	136 02	69 28			66 74
Sell	3 0000		08/03/09	02/06/12	203 83	103 93			99 90

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR Symbol SBS CUSIP 20441A102									
Sell	10 0000		08/03/09	02/07/12	697 92	346 41			351 51
Sell	10 0000		08/03/09	04/26/12	764 92	346 42			418 50
Sell	5 0000		08/03/09	06/26/12	368 66	173 21			195 45
Sell	6 0000		08/03/09	08/22/12	547 94	207 85			340 09
Sell	6 0000		08/03/09	09/13/12	486 02	207 85			278 17
Sell	3 0000		08/03/09	09/14/12	237 19	103 93			133 26
Sell	6 0000		08/03/09	10/16/12	519 22	207 85			311 37
Sub Total	**51.0000**				**3,961.72**	**1,766.73**			**2,194.99**
DELHAIZE GROUP SPON ADR Symbol DEG CUSIP 29759W101									
Sell	9 0000		08/03/09	06/06/12	328 22	651 15			(322 93)
Sell	2 0000		08/03/09	06/11/12	68 65	144 70			(76 05)
Sell	17 0000		08/03/09	06/12/12	565 99	1,229 95			(663 96)
Sell	3 0000		08/03/09	06/13/12	97 02	217 05			(120 03)
Sell	10 0000		08/20/10	06/13/12	323 41	676 60			(353 19)
Sell	5 0000		10/25/10	06/13/12	161 71	350 31			(188 60)
Sub Total	**46.0000**				**1,545.00**	**3,269.76**			**(1,724.76)**
DIAGEO PLC NEW GB SPON ADR Symbol DEO CUSIP 25243Q205									
Sell	**1.0000**		08/03/09	02/03/12	**92.78**	**62.92**			**29.86**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
FRANCE TELECOM SA SPON ADR Symbol FTE CUSIP 35177Q105									
Sell	**64.0000**		08/03/09	06/06/12	**768.91**	**1,645.44**			**(876.53)**
FUJI HEAVY INDS LTD ADR Symbol FUJHY CUSIP 359556206									
Sell	35 0000		08/13/10	06/27/12	541 47	382 62			158 85
Sell	50 0000		08/13/10	11/30/12	1,109 24	546 61			562 63
Sub Total	**85.0000**				**1,650.71**	**929.23**			**721.48**
NEXEN INC CAD Symbol NXY CUSIP 65334H102									
Sell	32 0000		08/03/09	07/24/12	834 13	704 31			129 82
Sell	55 0000		08/18/10	07/24/12	1,433 65	1,072 48			361 17
Sub Total	**87.0000**				**2,267.78**	**1,776.79**			**490.99**
NITTO DENKO CORP UNSPONS ADR Symbol NDEKY CUSIP 654802206									
Sell	**40.0000**		09/29/10	11/30/12	**1,035.47**	**772.21**			**263.26**
SVENSKA CELLULOSA AB (SCA) SPON ADR Symbol SVCBY CUSIP 869587402									
Sell	18 0000		05/21/10	02/21/12	308 16	199 04			109 12
Sell	25 0000		05/24/10	02/21/12	428 01	280 49			147 52
Sell	20 0000		05/24/10	02/22/12	341 33	224 40			116 93
Sell	46 0000		05/25/10	02/22/12	785 06	496 54			288 52
Sell	14 0000		05/25/10	02/23/12	239 32	151 12			88 20
Sell	1 0000		05/26/10	02/23/12	17 09	11 22			5 87
Sub Total	**124.0000**				**2,118.97**	**1,362.81**			**756.16**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
TELSTRA CORP LTD (FINAL) ADR Symbol TLSYY CUSIP 87969N204									
Sell	**15.0000**		12/22/09	11/30/12	**334.48**	**219.60**			**114.88**
UNILEVER PLC AMER SHS NEW SPON ADR Symbol UL CUSIP 904767704									
Sell	12 0000		08/03/09	08/07/12	432 07	317 16			114 91
Sell	35 0000		08/03/09	08/08/12	1,246 23	925 03			321 20
Sell	5 0000		08/03/09	10/26/12	185 18	132 15			53 03
Sell	28 0000		08/03/09	10/31/12	1,043 43	740 03			303 40
Sell	11 0000		08/03/09	11/02/12	411 95	290 72			121 23
Sub Total	**91.0000**				**3,318.86**	**2,405.09**			**913.77**
YAMANA GOLD INC CAD Symbol AUY CUSIP 98462Y100									
Sell	**10.0000**		10/12/09	02/03/12	**172.76**	**126.40**			**46.36**
Total	**882.0000**				**$21,485.71**	**$18,604.86**			**$2,880.85**

Proceeds from Broker Transactions

Short-term transactions for noncovered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
ALABAMA POWER CO B/E 03 375% 100120 DTD100510 FC040111 CUSIP 010392FC7									
Sell	**26,000.0000**		08/11/11	04/17/12	**27,497.34**	**26,812.50**			**684.84**
BARCLAYS BANK PLC NTS 02 750% 022315 DTD022312 FC082312 B/E CUSIP 06741JS26									
Sell	**27,000.0000**		02/22/12	04/19/12	**27,291.60**	**27,034.56**			**257.04**
BARCLAYS BK PLC 03 900% 040715 DTD040710 FC100710 MED TERM NTS CUSIP 06739GBB4									
Sell	**27,000.0000**		06/14/12	08/20/12	**28,226.88**	**27,904.50**			**322.38**
HONEYWELL INTL INC 04 250% 030121 DTD021711 CALL@MW T +10BPS CUSIP 438516BA3									
Sell	**11,000.0000**		03/19/12	04/17/12	**12,640.21**	**12,405.25**			**234.96**
PNC FUNDING CORP NTS B/E 06 700% 061019 DTD060909 FC121009 CUSIP 693476BF9									
Sell	**16,000.0000**		10/19/11	04/17/12	**19,667.68**	**19,075.84**			**591.84**
UNITED PARCEL SERVICE 03 125% 011521 DTD111210 FC071511 B/E CUSIP 911312AM8									
Sell	**9,000.0000**		02/16/12	04/17/12	**9,478.80**	**9,691.56**			**(212.76)**
Total	**116,000.0000**				**$124,802.51**	**$122,924.21**			**$1,878.30**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
ALLSTATE CORP NTS B/E 07 450% 051619 DTD051309 FC111609 CALL@MW+45BP CUSIP 020002AX9									
Sell	**16,000.0000**		09/02/09	04/17/12	**20,262.08**	**18,765.76**			**1,496.32**
AMER EXPRESS CO NTS 8 125% 052019 DTD051809 FC112009 CUSIP 025816BB4									
Sell	13,000 0000		08/31/09	04/17/12	17,143 14	14,672 97			2,470 17
Sell	14,000 0000		08/31/09	11/20/12	19,263 02	15,801 66			3,461 36
Sell	16,000 0000		05/27/10	11/20/12	22,014 88	19,480 16			2,534 72
Sub Total	**43,000.0000**				**58,421.04**	**49,954.79**			**8,466.25**
AT& T INC NTS B/E 02 500% 081515 DTD073010 FC021511 CUSIP 00206RAV4									
Sell	**13,000.0000**		02/11/11	04/17/12	**13,574.47**	**12,812.41**			**762.06**
BANK OF NEW YORK 04 950% 031515 DTD031005 FC091505 MTN B/E CUSIP 06406JHB4									
Sell	**12,000.0000**		08/12/09	04/17/12	**13,203.36**	**12,574.20**			**629.16**
BARCLAYS BANK PLC NOTES 05 200% 071014 DTD071009 FC011010 NTS CUSIP 06739FFZ9									
Sell	**11,000.0000**		01/11/11	04/17/12	**11,636.68**	**11,880.00**			**(243.32)**
BB&T CORP MED TERM NTS 05 700% 043014 DTD050409 FC103009 B/E CUSIP 05531FAA1									
Sell	**11,000.0000**		03/10/10	04/17/12	**12,029.71**	**12,068.54**			**(38.83)**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
BERKSHIRE HATHAWAY 04 625% 101513 DTD041504 FC101504 M-W+10BP NTS CUSIP 084664AD3									
Sell	**5,000.0000**		07/30/09	04/17/12	**5,287.80**	**5,255.25**			**32.55**
BOEING CO B/E 05 000% 031514 DTD031309 CALL@MW T+50BP CUSIP 097023AV7									
Sell	7,000 0000		08/05/09	04/17/12	7,584 85	7,521 50			63 35
Sell	40,000 0000		08/05/09	09/24/12	42,605 60	42,980 00			(374 40)
Sub Total	**47,000.0000**				**50,190.45**	**50,501.50**			**(311.05)**
BOTTLING GROUP LLC 06 950% 031514 DTD102408 FC031509 M/W+50 BP CUSIP 10138MAH8									
Sell	**7,000.0000**		08/10/09	04/17/12	**7,802.34**	**8,098.51**			**(296.17)**
COCA COLA CO 05 350% 111517 DTD110107 FC051508 CALL@M/W+15BP CUSIP 191216AK6									
Sell	15,000 0000		08/11/09	04/17/12	17,961 00	16,147 05			1,813 95
Sell	33,000 0000		08/11/09	12/20/12	39,495 39	35,523 51			3,971 88
Sub Total	**48,000.0000**				**57,456.39**	**51,670.56**			**5,785.83**
COMERICA BK MI NTS 05 750% 112116 DTD112106 FC052107 CUSIP 200339CG2									
Sell	**19,000.0000**		12/04/09	04/18/12	**21,409.20**	**19,027.36**			**2,381.84**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
DEUTS NTS B/E 05 375% 030215 DTD022103 FC082103 CUSIP 2515E0AA7									
Sell	22,000 0000		09/10/09	02/17/12	22,660 00	22,881 10			(221 10)
Sell	7,000 0000		01/19/11	02/17/12	7,210 00	7,461 16			(251 16)
Sub Total	**29,000.0000**				**29,870.00**	**30,342.26**			**(472.26)**
DISNEY WALT CO NEW B/E 06 200% 062014 DTD062002 FC122002 MED TERM NTS CUSIP 25468PCA2									
Sell	**11,000.0000**		06/30/10	04/17/12	**12,305.04**	**12,754.83**			**(449.79)**
FLORIDA POWER & LIGHT 05 550% 110117 DTD101007 FC050108 CALL@M/WT+20BP CUSIP 341081EZ6									
Sell	**14,000.0000**		02/11/11	04/17/12	**16,907.97**	**15,870.12**			**1,037.85**
GENL ELEC CPTL CORP 02 250% 110915 DTD110910 FC050911 MED TERM NTS CUSIP 36962G4T8									
Sell	**7,000.0000**		02/09/11	04/17/12	**7,169.19**	**6,723.15**			**446.04**
GOLDMAN SACHS GROUP INC 05 450% 110112 DTD101807 FC050108 NOTES CUSIP 38144LAC4									
Sell	6,000 0000		01/19/11	04/17/12	6,129 00	6,437 10			(308 10)
Redemption	16,000 0000		01/19/11	11/01/12	16,000 00	17,165 60			(1,165 60)
Sub Total	**22,000.0000**				**22,129.00**	**23,602.70**			**(1,473.70)**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
HONEYWELL INTL INC NTS 03 875% 021514 DTD022009 FC081509 MW +35BP CUSIP 438516AY2									
Sell	**48,000.0000**		09/24/09	03/19/12	**50,921.28**	**50,048.16**			**873.12**
INTL BUSINESS MACH NTS 07 625% 101518 DTD101508 FC041509 MW+50BP CUSIP 459200GM7									
Sell	**12,000.0000**		09/02/09	04/19/12	**15,991.20**	**14,808.24**			**1,182.96**
J P MORGAN CHASE & CO 06 300% 042319 DTD042309 FC102309 NTS CUSIP 46625HHL7									
Sell	**11,000.0000**		06/09/10	04/17/12	**12,803.10**	**12,125.85**			**677.25**
JOHNSON & JOHNSON COM 5 150% 071518 DTD062308 FC011509 MW T+15BP CUSIP 478160AU8									
Sell	**12,000.0000**		10/14/09	04/17/12	**14,547.72**	**13,105.68**			**1,442.04**
KIMBERLY CLARK CORP 06 125% 080117 DTD073007 FC020108*MW + 25BP* CUSIP 494368BB8									
Sell	**16,000.0000**		09/16/09	04/17/12	**19,649.12**	**18,099.04**			**1,550.08**
KIMBERLY CLARK CORP NTS 07 500% 110118 DTD110408 FC050109 CUSIP 494368BD4									
Sell	**22,000.0000**		01/06/10	04/17/12	**29,367.14**	**27,177.04**			**2,190.10**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
METLIFE INC B/E 04 750% 020821 DTD080610 FC020811 CUSIP 59156RAX6									
Sell	**17,000.0000**		01/25/11	04/18/12	**18,543.60**	**17,415.48**			**1,128.12**
NATIONAL RURAL UTIL CORP 04 750% 030114 DTD022504 FC090104 MW +15BP CUSIP 637432DC6									
Sell	**7,000.0000**		11/02/09	04/17/12	**7,493.70**	**7,516.39**			**(22.69)**
NTHN TRUST CORP NTS 05 500% 081513 DTD081308 FC021509 CUSIP 665859AJ3									
Sell	**4,000.0000**		10/08/09	04/19/12	**4,247.20**	**4,411.80**			**(164.60)**
PECO ENERGY CO MW@+25BPS 05 350% 030118 DTD030308 FC090108 OID@99 832 B/E CUSIP 693304AL1									
Sell	**21,000.0000**		08/24/09	04/17/12	**24,732.44**	**22,151.64**			**2,580.80**
PRINCIPAL LIFE INC FDG 05 300% 042413 DTD042408 FC102408 CUSIP 74254PYE6									
Sell	**11,000.0000**		09/10/09	04/17/12	**11,474.21**	**11,361.57**			**112.64**
PROCTER & GAMBLE CO NTS 03 500% 021515 DTD020609 FC081509 CALL@M-W+30BP CUSIP 742718DM8									
Sell	**6,000.0000**		08/19/09	04/17/12	**6,466.80**	**6,174.54**			**292.26**
PRUDENTIAL FINANCIAL INC 05 100% 092014 DTD092004 FC032005 NTS CUSIP 74432QAE5									
Sell	**13,000.0000**		08/27/09	04/17/12	**14,069.64**	**13,064.48**			**1,005.16**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
STATE STREET BK & TR CO 05 250% 101518 DTD092403 FC041504 NTS B/E CUSIP 857449AB8									
Sell	**15,000.0000**		09/18/09	04/17/12	**17,119.65**	**15,685.95**			**1,433.70**
SUNTRUST BANKS INC 06 000% 091117 DTD091007 FC031108 SENIOR NTS CUSIP 867914AZ6									
Sell	**11,000.0000**		09/01/09	04/17/12	**12,229.47**	**10,707.73**			**1,521.74**
TARGET CORP MW T +12 5BP 05 375% 050117 DTD050107 FC110107 CUSIP 87612EAP1									
Sell	16,000 0000		08/19/09	04/19/12	19,004 80	16,929 92			2,074 88
Sell	33,000 0000		08/19/09	12/20/12	38,838 36	34,917 96			3,920 40
Sub Total	**49,000.0000**				**57,843.16**	**51,847.88**			**5,995.28**
UNITED PARCEL SERVICE 04 500% 011513 DTD011508 FC071508 INC MW@+20BP CUSIP 911312AG1									
Sell	**49,000.0000**		08/07/09	02/16/12	**50,840.44**	**52,050.74**			**(1,210.30)**
UNTD TECHNOLOGIES CORP 04 875% 050115 DTD042905 FC110105 *MW + 15BP* NTS CUSIP 913017BH1									
Sell	**13,000.0000**		09/04/09	04/17/12	**14,521.52**	**14,296.36**			**225.16**
US BANK NTS 06 300% 020414 DTD020402 FC080402 CUSIP 90333WAB4									
Sell	**8,000.0000**		08/24/09	04/19/12	**8,724.80**	**8,890.88**			**(166.08)**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
WISCONSIN ELEC PWR CO 06 250% 120115 DTD121108 FC060109 CALL@MW NTS CUSIP 976656CB2									
Sell	10,000 0000		06/10/10	04/17/12	11,490 20	11,650 40			(160 20)
Sell	43,000 0000		06/10/10	09/10/12	50,403 74	50,096 72			307 02
Sub Total	**53,000.0000**				**61,893.94**	**61,747.12**			**146.82**
Total	**713,000.0000**				**$813,134.85**	**$774,588.51**			**$38,546.34**

Proceeds from Broker Transactions

Short-term transactions for covered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
PFIZER INC Symbol PFE CUSIP 717081103									
Sell	70 0000		10/20/11	10/10/12	1,760 46	1,321 53			438 93
Sell	45 0000		10/20/11	10/10/12	1,131 72	849 55			282 17
Sub Total	**115.0000**				**2,892.18**	**2,171.08**			**721.10**
PHILLIPS 66 Symbol PSX CUSIP 718546104									
Sell	**52.5000**		10/20/11	05/09/12	**1,645.26**	**1,746.00**			**(100.74)**
RESEARCH IN MOTION LTD CAD Symbol BBRY CUSIP 760975102									
Sell	25 0000		12/16/11	11/23/12	292 99	337 25			(44 26)
Sell	50 0000		12/16/11	12/13/12	686 31	674 50			11 81
Sub Total	**75.0000**				**979.30**	**1,011.75**			**(32.45)**
TOTAL SYSTEM SERVICES INC Symbol TSS CUSIP 891906109									
Sell	41 0000		10/20/11	01/27/12	891 42	753 12			138 30
Sell	34 0000		10/20/11	01/27/12	735 01	624 55			110 46
Sub Total	**75.0000**				**1,626.43**	**1,377.67**			**248.76**
UNITEDHEALTH GROUP INC Symbol UNH CUSIP 91324P102									
Sell	**40.0000**		10/20/11	08/20/12	**2,120.35**	**1,867.89**			**252.46**
Total	**357.5000**				**$9,263.52**	**$8,174.39**			**$1,089.13**

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Proceeds from Broker Transactions (continued)

Long-term transactions for covered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
H & R BLOCK INC Symbol HRB CUSIP 093671105									
Sell	**45.0000**		10/20/11	12/26/12	**834.83**	**642.93**			**191.90**
RESEARCH IN MOTION LTD CAD Symbol BBRY CUSIP 760975102									
Sell	15 0000		06/01/11	11/21/12	149 85	607 29			(457 44)
Sell	5 0000		06/17/11	11/21/12	49 94	145 84			(95 90)
Sell	15 0000		06/21/11	11/21/12	149 85	419 16			(269 31)
Sell	40 0000		10/20/11	11/21/12	399 59	884 67			(485 08)
Sell	20 0000		10/20/11	11/23/12	234 39	442 33			(207 94)
Sub Total	**95.0000**				**983.62**	**2,499.29**			**(1,515.67)**
Total	**140.0000**				**$1,818.45**	**$3,142.22**			**$(1,323.77)**

Long-term transactions for noncovered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
COCA COLA CO COM Symbol KO CUSIP 191216100									
Sell	5 0000		07/30/09	04/12/12	359 94	252 14			107 80
Sell	20 0000		07/30/09	04/27/12	1,534 85	1,008 57			526 28
Sell	10 0000		08/07/09	04/27/12	767 42	494 28			273 14
Sell	15 0000		08/24/09	04/27/12	1,151 13	733 19			417 94
Sell	5 0000		04/01/10	04/27/12	383 71	276 29			107 42
Sub Total	**55.0000**				**4,197.05**	**2,764.47**			**1,432.58**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
COMCAST CORP NEW SPECIAL CL A Symbol CMCSK CUSIP 20030N200									
Sell	5 0000		08/20/09	04/12/12	145 61	70 05			75 56
Sell	25 0000		08/24/09	04/12/12	728 02	358 27			369 75
Sell	45 0000		08/24/09	08/02/12	1,495 11	644 89			850 22
Sell	5 0000		10/07/09	08/02/12	166 12	73 05			93 07
Sell	10 0000		10/26/09	08/02/12	332 25	146 47			185 78
Sell	5 0000		10/27/09	08/02/12	166 12	71 44			94 68
Sell	15 0000		12/02/09	08/02/12	498 37	209 70			288 67
Sub Total	**110.0000**				**3,531.60**	**1,573.87**			**1,957.73**
H & R BLOCK INC Symbol HRB CUSIP 093671105									
Sell	55 0000		11/12/09	12/24/12	1,027 42	1,070 36			(42 94)
Sell	25 0000		02/24/10	12/24/12	467 01	413 16			53 85
Sell	10 0000		11/01/10	12/24/12	186 80	115 15			71 65
Sell	70 0000		11/01/10	12/26/12	1,298 47	806 08			492 39
Sub Total	**160.0000**				**2,979.70**	**2,404.75**			**574.95**
MICROSOFT CORP Symbol MSFT CUSIP 594918104									
Sell	65 0000		07/30/09	03/01/12	2,100 18	1,567 14			533 04
Sell	65 0000		07/30/09	10/09/12	1,919 50	1,567 14			352 36
Sub Total	**130.0000**				**4,019.68**	**3,134.28**			**885.40**
NEWS CORP INC DELA CL A Symbol NWSA CUSIP 65248E104									
Sell	**115.0000**		07/30/09	11/13/12	**2,787.54**	**1,192.44**			**1,595.10**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
PFIZER INC Symbol PFE CUSIP 717081103									
Sell	25 0000		04/23/10	01/05/12	536 99	412 62			124 37
Sell	70 0000		07/07/10	01/05/12	1,503 57	1,015 00			488 57
Sell	10 0000		07/07/10	10/10/12	251 49	145 00			106 49
Sub Total	**105.0000**				**2,292.05**	**1,572.62**			**719.43**
PHILLIPS 66 Symbol PSX CUSIP 718546104									
Cash in Lieu	0 5000		07/30/09	05/01/12	16 78	10 35			6 43
Sell	39 5000		07/30/09	05/09/12	1,237 86	817 88			419 98
Sub Total	**40.0000**				**1,254.64**	**828.23**			**426.41**
UNITEDHEALTH GROUP INC Symbol UNH CUSIP 91324P102									
Sell	5 0000		08/03/09	04/12/12	291 33	136 78			154 55
Sell	10 0000		08/03/09	08/20/12	530 09	273 57			256 52
Sell	5 0000		08/10/09	08/20/12	265 04	134 75			130 29
Sell	10 0000		08/24/09	08/20/12	530 09	295 60			234 49
Sell	5 0000		09/08/09	08/20/12	265 04	139 84			125 20
Sell	10 0000		09/24/09	08/20/12	530 09	262 34			267 75
Sell	5 0000		10/01/09	08/20/12	265 05	126 18			138 87
Sub Total	**50.0000**				**2,676.73**	**1,369.06**			**1,307.67**
US BANCORP DEL (NEW) Symbol USB CUSIP 902973304									
Sell	45 0000		07/30/09	07/30/12	1,516 91	914 85			602 06
Sell	5 0000		08/24/09	07/30/12	168 55	108 55			60 00
Sub Total	**50.0000**				**1,685.46**	**1,023.40**			**662.06**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
VIACOM INC NEW CL B Symbol VIAB CUSIP 92553P201									
Sell	100 0000		07/30/09	10/12/12	5,471 04	2,347 77			3,123 27
Sell	50 0000		07/30/09	10/12/12	2,734 35	1,173 88			1,560 47
Sub Total	**150.0000**				**8,205.39**	**3,521.65**			**4,683.74**
WAL MART STORES INC Symbol WMT CUSIP 931142103									
Sell	30 0000		01/07/10	07/06/12	2,138 67	1,604 40			534 27
Sell	5 0000		04/01/10	07/06/12	356 44	277 90			78 54
Sub Total	**35.0000**				**2,495.11**	**1,882.30**			**612.81**
Total	**1,000.0000**				**$36,124.95**	**$21,267.07**			**$14,857.88**

Proceeds from Broker Transactions

Short-term transactions for covered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
ACTIVISION BLIZZARD INC Symbol ATVI CUSIP 00507V109									
Sell	**40.0000**		10/19/11	09/06/12	**489.52**	**519.93**			**(30.41)**
AECOM TECHNOLOGY CORP Symbol ACM CUSIP 00766T100									
Sell	50 0000		06/10/11	06/08/12	788 22	1,371 26			(583 04)
Sell	15 0000		09/19/11	06/08/12	236 46	298 81			(62 35)
Sell	25 0000		10/19/11	06/08/12	394 11	488 18			(94 07)
Sub Total	**90.0000**				**1,418.79**	**2,158.25**			**(739.46)**
CONS EDISON CO (HOLDING CO) Symbol ED CUSIP 209115104									
Sell	**5.0000**		10/19/11	01/05/12	**298.51**	**292.10**			**6.41**
EL PASO CORP *MERGER EFF 05/2012* CUSIP 28336L109									
Sell	70 0000		09/22/11	01/25/12	1,873 03	1,222 32			650 71
Sell	35 0000		10/19/11	01/25/12	936 51	855 31			81 20
Sub Total	**105.0000**				**2,809.54**	**2,077.63**			**731.91**
EXELON CORP Symbol EXC CUSIP 30161N101									
Cash in Lieu	**0.7000**		10/10/11	03/16/12	**27.19**	**28.49**			**(1.30)**
GREAT PLAINS ENERGY INC Symbol GXP CUSIP 391164100									
Sell	**25.0000**		10/19/11	08/03/12	**553.28**	**512.75**			**40.53**

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Proceeds from Broker Transactions (continued)

Short-term transactions for covered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
RANGE RESOURCES CORP Symbol RRC CUSIP 75281A109									
Sell	**10.0000**		10/19/11	05/07/12	**634.68**	**722.20**			**(87.52)**
SEADRILL LTD US LINE Symbol SDRL CUSIP G7945E105									
Sell	**25.0000**		10/19/11	04/12/12	**923.16**	**800.25**			**122.91**
ULTRA PETROLEUM CORP (CANADA) ORD CAD Symbol UPL CUSIP 903914109									
Sell	20 0000		10/19/11	10/02/12	458 32	595 40			(137 08)
Sell	35 0000		08/29/12	10/02/12	802 05	722 22			79 83
Sub Total	**55.0000**				**1,260.37**	**1,317.62**			**(57.25)**
WEATHERFORD INTL LTD CHF Symbol WFT CUSIP H27013103									
Sell	**15.0000**		10/19/11	01/04/12	**229.99**	**223.46**			**6.53**
Total	**370.7000**				**$8,645.03**	**$8,652.68**			**$(7.65)**

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Proceeds from Broker Transactions (continued)

Short-term transactions for noncovered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
RTS SANOFI CONTGNT VAL RT EXP 12/31/20 Symbol GCVRZ CUSIP 80105N113									
Sell	105 0000		10/19/11	09/20/12	177 53	104 45			73 08
Sell	145 0000		10/19/11	09/21/12	245 04	144 23			100 81
Sub Total	**250.0000**				**422.57**	**248.68**			**173.89**
Total	**250.0000**				**$422.57**	**$248.68**			**$173.89**

Long-term transactions for covered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
HAEMONETICS CORP MASS Symbol HAE CUSIP 405024100									
Sell	**10.0000**		03/16/11	10/03/12	**809.99**	**631.01**			**178.98**
RANGE RESOURCES CORP Symbol RRC CUSIP 75281A109									
Sell	**5.0000**		01/25/11	05/07/12	**317.34**	**232.81**			**84.53**
SEADRILL LTD US LINE Symbol SDRL CUSIP G7945E105									
Sell	10 0000		02/10/11	04/12/12	369 27	345 93			23 34
Sell	5 0000		02/15/11	04/12/12	184 63	181 68			2 95
Sub Total	**15.0000**				**553.90**	**527.61**			**26.29**
Total	**30.0000**				**$1,681.23**	**$1,391.43**			**$289.80**

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
ACTIVISION BLIZZARD INC Symbol ATVI CUSIP 00507V109									
Sell	45 0000		07/27/10	09/06/12	550 71	535 05			15 66
Sell	60 0000		08/11/10	09/06/12	734 29	655 42			78 87
Sell	65 0000		09/30/10	09/06/12	795 48	704 85			90 63
Sub Total	**170.0000**				**2,080.48**	**1,895.32**			**185.16**
CONS EDISON CO (HOLDING CO) Symbol ED CUSIP 209115104									
Sell	**25.0000**		08/03/09	01/05/12	**1,492.57**	**985.24**			**507.33**
DUKE ENERGY CORP NEW Symbol DUK CUSIP 26441C204									
Cash in Lieu	**0.6040**		08/03/09	07/03/12	**41.21**	**27.26**			**13.95**
GREAT PLAINS ENERGY INC Symbol GXP CUSIP 391164100									
Sell	30 0000		08/03/09	03/09/12	599 04	479 99			119 05
Sell	30 0000		08/03/09	08/03/12	663 94	479 98			183 96
Sell	20 0000		09/03/09	08/03/12	442 62	351 00			91 62
Sell	10 0000		10/28/09	08/03/12	221 32	175 50			45 82
Sell	10 0000		12/03/10	08/03/12	221 31	191 73			29 58
Sub Total	**100.0000**				**2,148.23**	**1,678.20**			**470.03**
RANGE RESOURCES CORP Symbol RRC CUSIP 75281A109									
Sell	20 0000		08/03/09	03/26/12	1,183 52	959 95			223 57
Sell	10 0000		09/23/09	05/07/12	634 68	521 19			113 49

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
RANGE RESOURCES CORP Symbol RRC CUSIP 75281A109									
Sell	5 0000		12/16/09	05/07/12	317 33	244 18			73 15
Sub Total	**35.0000**				**2,135.53**	**1,725.32**			**410.21**
RTS SANOFI CONTGNT VAL RT EXP 12/31/20 Symbol GCVRZ CUSIP 80105N113									
Sell	50 0000		05/03/11	09/20/12	84 54	117 70			(33 16)
Sell	220 0000		06/08/11	09/20/12	371 96	538 93			(166 97)
Sub Total	**270.0000**				**456.50**	**656.63**			**(200.13)**
SEADRILL LTD US LINE Symbol SDRL CUSIP G7945E105									
Sell	30 0000		11/08/10	03/13/12	1,145 78	994 55			151 23
Sell	10 0000		11/08/10	04/12/12	369 26	331 51			37 75
Sub Total	**40.0000**				**1,515.04**	**1,326.06**			**188.98**
ULTRA PETROLEUM CORP (CANADA) ORD CAD Symbol UPL CUSIP 903914109									
Sell	20 0000		08/03/09	10/02/12	458 32	922 19			(463 87)
Sell	20 0000		03/18/10	10/02/12	458 31	935 46			(477 15)
Sub Total	**40.0000**				**916.63**	**1,857.65**			**(941.02)**
WEATHERFORD INTL LTD CHF Symbol WFT CUSIP H27013103									
Sell	30 0000		04/30/10	01/04/12	459 99	551 25			(91 26)
Sell	45 0000		10/28/10	01/04/12	689 98	759 14			(69 16)

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Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
WEATHERFORD INTL LTD CHF Symbol WFT CUSIP H27013103									
Sell	25 0000		11/05/10	01/04/12	383 32	475 47			(92 15)
Sub Total	**100.0000**				**1,533.29**	**1,785.86**			**(252.57)**
Total	**780.6040**				**$12,319.48**	**$11,937.54**			**$381.94**

Term undetermined transactions for noncovered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
SPDR GOLD TRUST Symbol GLD CUSIP 78463V107									
Return of Principal				12/31/12	**28.80**				*Not Calculated*
Total					**$28.80**				

Proceeds from Broker Transactions

Short-term transactions for covered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
EATON CORP PLC Symbol ETN CUSIP G29183103									
Cash in Lieu	**0.7311**		12/03/12	12/03/12	**37.47**	**37.76**	**0.29**		**0.00**
Total	**0.7311**				**$37.47**	**$37.76**	**$0.29**		

Long-term transactions for noncovered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
COOPER INDUSTRIES PLC NEW *MERGER EFF 12/12* CL A CUSIP G24140108									
Exchange	**90.0000**		08/03/09	12/03/12	**7,125.46**	**3,096.81**			**4,028.65**
Total	**90.0000**				**$7,125.46**	**$3,096.81**			**$4,028.65**

Short-term transactions for noncovered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
COMMUNITY HEALTH SYSTEMS 08 875% 071515 DTD072507 FC011508 CALL @M/W +50BP CUSIP 12543DAF7									
Tender	**5,000.0000**		05/21/12	07/18/12	**5,030.00**	**5,137.50**			**(107.50)**
ENERGY TRANSFER PARTNERS 07 500% 101520 DTD092010 FC041511 LP B/E CUSIP 29273VAC4									
Sell	2,000 0000		05/09/12	10/03/12	2,285 00	2,250 00			35 00
Sell	2,000 0000		05/09/12	10/05/12	2,297 50	2,250 00			47 50
Sub Total	**4,000.0000**				**4,582.50**	**4,500.00**			**82.50**

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Proceeds from Broker Transactions (continued)

Short-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
KINDER MORGAN FIN 05 700% 010516 DTD120905 FC070506 CALL@M/W+20BP CUSIP 49455WAD8									
Sell	1,000 0000		05/03/12	06/13/12	1,042 50	1,055 00			(12 50)
Sell	8,000 0000		05/03/12	11/29/12	8,730 00	8,440 00			290 00
Sub Total	**9,000.0000**				**9,772.50**	**9,495.00**			**277.50**
LEVEL 3 FIN INC 08 750% 021517 DTD021407 CALL@MW+50BP CUSIP 527298AL7									
Redemption	**14,000.0000**		04/26/12	09/05/12	**14,612.50**	**14,588.00**			**24.50**
MEDIACOM BROADBAND LLC 08 500% 101515 DTD021306 CALL@M/W+50BP NTS CUSIP 58446VAE1									
Tender	3,000 0000		04/26/12	08/30/12	3,007 50	3,093 75			(86 25)
Tender	2,000 0000		04/26/12	09/12/12	2,005 00	2,062 50			(57 50)
Sub Total	**5,000.0000**				**5,012.50**	**5,156.25**			**(143.75)**
REGIONS FINL CORP NTS 05 750% 061515 DTD042610 FC121510 B/E CUSIP 7591EPAG5									
Sell	2,000 0000		05/31/12	10/04/12	2,147 50	2,085 00			62 50
Sell	1,000 0000		06/13/12	10/04/12	1,073 75	1,045 00			28 75
Sub Total	**3,000.0000**				**3,221.25**	**3,130.00**			**91.25**
SPRINT CAPITAL CORP 06 900% 050119 DTD050699 FC110199 MW+25BP GLOBAL CUSIP 852060AG7									
Sell	**3,000.0000**		04/27/12	10/12/12	**3,262.50**	**2,636.25**			**626.25**

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Proceeds from Broker Transactions (continued)

Short-term transactions for noncovered securities, included in Line 2a (continued)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
WYNN LAS VEGAS LLC B/E 07 875% 050120 DTD042810 FC110110 CUSIP 983130AP0									
Sell	**4,000.0000**		05/01/12	06/27/12	**4,400.00**	**4,449.60**			**(49.60)**
Total	**47,000.0000**				**$49,893.75**	**$49,092.60**			**$801.15**

Term undetermined transactions for noncovered securities, included in Line 2a

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis ($)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) ($)	Cost or other basis (Line 3) ($)	Wash sale loss disallowed (Line 5) ($)	Federal income tax withheld (Line 4) ($)	Gain/Loss ($)
COMMUNITY HEALTH SYSTEMS 08 875% 071515 DTD072507 FC011508 CALL @M/W +50BP CUSIP 12543DAF7									
Consent Fee				07/18/12	**100.00**				*Not Calculated*
MEDIACOM BROADBAND LLC 08 500% 101515 DTD021306 CALL@M/W+50BP NTS CUSIP 58446VAE1									
Consent Fee				08/30/12	60 00				*Not Calculated*
Consent Fee				09/12/12	40 00				*Not Calculated*
Sub Total					**100.00**				
Total					**$200.00**				