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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012
Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation HARLAN AND AMY KORENVAES FAMILY FOUNDATION c/o harlan b korenvaes % HARLAN B KORENVAES		A Employer identification number 75-2902691	
Number and street (or P O box number if mail is not delivered to street address) 5423 park lane Suite		B Telephone number (see instructions) (214) 219-0522	
City or town, state, and ZIP code DALLAS, TX 75220		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 7,652,459		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	56,677	56,677		
	4 Dividends and interest from securities.	32,848	32,848		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-285,323			
	b Gross sales price for all assets on line 6a <u>18,258,755</u>				
	7 Capital gain net income (from Part IV , line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	-195,798	89,525		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,575	0	0	0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	6,097			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	197			
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	7,869	0	0	0
	25 Contributions, gifts, grants paid	545,474			545,474
	26 Total expenses and disbursements. Add lines 24 and 25	553,343	0	0	545,474
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-749,141			
	b Net investment income (if negative, enter -0-)		89,525		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,304,551	1,809,885	1,809,885
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	1,306		
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	994,490	2,892,456	2,845,367
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	6,275,000	2,225,000	2,098,342
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)		898,865	898,865	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	8,575,347	7,826,206	7,652,459	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	8,575,347	7,826,206	
	30	Total net assets or fund balances (see page 17 of the instructions)	8,575,347	7,826,206	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	8,575,347	7,826,206	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 8,575,347
2	Enter amount from Part I, line 27a	2 -749,141
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 7,826,206
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 7,826,206

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	LT CAPITAL LOSS - SEE ATTACHED STMT	P		
b	ST CAPITAL GAIN - SEE ATTACHED STMT	P		
c	KCP II OFFSHORE	P	2011-08-30	2012-08-31
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 359,827		389,153	-29,326
b 13,037,897		12,904,925	132,972
c 4,861,031		5,250,000	-388,969
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-29,326
b			132,972
c			-388,969
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-285,323
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	659,415	8,689,670	0 075885
2010	927,000	9,174,824	0 101037
2009	125,000	1,768,844	0 070668
2008	255,781	1,350,888	0 189343
2007	530,569	1,941,451	0 273285

2 Total of line 1, column (d).	2	0 710218
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 142044
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	8,260,492
5 Multiply line 4 by line 3.	5	1,173,353
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	895
7 Add lines 5 and 6.	7	1,174,248
8 Enter qualifying distributions from Part XII, line 4.	8	545,474

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	1,791
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	1,791
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,791
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c	5,000	
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	5,000
8		Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	40
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	3,169
11		Enter the amount of line 10 to be Credited to 2013 estimated tax	3,169	Refunded	11

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ 0 (2) On foundation managers \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) TX _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶HARLAN B KORENVAESTelephone no ▶(214) 871-8616 Located at ▶5423 PARK LANE DALLAS TXZIP +4 ▶75220			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARLAN B KORENVAES	CHAIRMAN & PRESIDENT	0	0	0
5423 park lane DALLAS, TX 75220	0			
AMY B KORENVAES	DIRECTOR & SECRETARY	0	0	0
5423 park lane DALLAS, TX 75220	0			
HAROLD AMES	DIRECTOR	0	0	0
33 MACY AVENUE WHITE PLAINS, NY 10605	0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	7,556,003
b	Average of monthly cash balances.	1b	830,283
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	8,386,286
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	8,386,286
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	125,794
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,260,492
6	Minimum investment return. Enter 5% of line 5.	6	413,025

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	413,025
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	1,791
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,791
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	411,234
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	411,234
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	411,234

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	545,474
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	545,474
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	545,474
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				411,234
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.				
b Total for prior years 2010 , 2009 , 2008				
3 Excess distributions carryover, if any, to 2012				
a From 2007.	434,358			
b From 2008.	188,675			
c From 2009.	36,766			
d From 2010.	468,514			
e From 2011.	229,441			
f Total of lines 3a through e.	1,357,754			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 545,474				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				411,234
e Remaining amount distributed out of corpus	134,240			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	1,491,994			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .	434,358			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	1,057,636			
10 Analysis of line 9				
a Excess from 2008. . . .	188,675			
b Excess from 2009. . . .	36,766			
c Excess from 2010. . . .	468,514			
d Excess from 2011. . . .	229,441			
e Excess from 2012. . . .	134,240			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	545,474
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments			14	56,677	
4	Dividends and interest from securities. . . .			14	32,848	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	-285,323	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). .				-195,798	
13	Total. Add line 12, columns (b), (d), and (e).					-195,798

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

**Paid
Preparer
Use Only**

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
	***** Signature of officer or trustee		2013-11-15 Date		***** Title
May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No					
Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☒	PTIN
	TRACEY S SPIVEY	TRACEY S SPIVEY			
	Firm's name 	MONTGOMERY COSCIA GREILICH LLP			Firm's EIN 
	2500 DALLAS PARKWAY SUITE 300				
Firm's address 	PLANO, TX 75093			Phone no (972) 748-0300	

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

HARLAN B KORENVAES
AMY B KORENVAES

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CORNELL UNIVERSITY 130 E SENECA STREET SUITE 400 ITHACA,NY 14850	N/A	PUBLIC	educational	20,000
DALLAS SYMPHONY ORCHESTRA 2301 FLORA STREET DALLAS,TX 75201	N/A	PUBLIC	artistic education	5,000
VOGEL ALCOVE 7557 RAMBLER ROAD SUITE 262 DALLAS,TX 75231	N/A	PUBLIC	child development for poverty stricken youth	25,000
FOUNDATION FIGHTING BLINDNESS 5600 TENNYSON PARKWAY SUITE 180 PLANO,TX 75024	N/A	PUBLIC	medical research	5,000
RHODE ISLAND SCHOOL OF DESIGN TWO COLLEGE STREET PROVIDENCE,RI 02903	N/A	PUBLIC	educational	30,000
VAN CLIBURN FOUNDATION 2525 RIDGMAR BLVD SUITE 307 FORT WORTH,TX 76116	N/A	PUBLIC	artistic education	5,000
DALLAS MUSEUM OF ART 1717 NORTH HARWOOD STREET DALLAS,TX 75201	N/A	PUBLIC	artistic education	10,000
DALLAS HOLOCAUST MUSEUM CENTER FOR EDUCATION TOLERANCE 211 NORTH RECORD STREET DALLAS,TX 75202	N/A	PUBLIC	education and religious tolerance	1,000
JEWISH COMMUNITY CENTER OF DALLAS 7900 NORTHAVEN ROAD DALLAS,TX 75230	N/A	PUBLIC	community outreach	10,000
DALLAS HOME FOR JEWISH AGED 6101 OHIO DRIVE SUITE 100 PLANO,TX 75024	N/A	PUBLIC	community outreach	5,000
UT SOUTHWESTERN MEDICAL CENTER 5323 HARRY HINES BLVD DALLAS,TX 75390	N/A	PUBLIC	medical research	25,000
THE DALLAS OPERA 2403 FLORA STE 500 DALLAS,TX 75201	N/A	PUBLIC	artistic education	3,500
TARRANT AREA FOOD BANK 2600 CULLEN STREET FORT WORTH,TX 761071302	N/A	PUBLIC	community outreach	250
GREENHILL SCHOOL 4141 SPRING VALLEY ROAD ADDISON,TX 75001	N/A	PUBLIC	educational	10,000
SOUTHERN METHODIST UNIVERSITY PERKINS ADMINISTRATION BUILDING ST PO BOX 750402 DALLAS,TX 75275	N/A	PUBLIC	educational	10,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NASHER SCULPTURE CENTER 2001 FLORA STREET DALLAS,TX 75201	N/A	PUBLIC	artistic education	10,000
JEWISH FEDERATION OF GREATER DALLAS 7800 NORTHAVEN ROAD DALLAS,TX 75230	N/A	PUBLIC	community outreach	118,000
CHILDREN'S MEDICAL CENTER 2777 STEMMONS FREEWAY SUITE 1025 DALLAS,TX 75207	N/A	PUBLIC	medical research	177,724
AMERICAN ENTERPRISE INSTITUTE 1150 17TH STREET NW WASHINGTON,DC 20036	N/A	PUBLIC	public policy research	50,000
CAPITAL FOR KIDS 2807 ALLEN STREET 816 DALLAS,TX 75204	N/A	PUBLIC	community outreach	25,000
Total  3a				545,474

TY 2012 Accounting Fees Schedule**Name:** HARLAN AND AMY KORENVAES FAMILY FOUNDATION

c/o harlan b korenvaes

EIN: 75-2902691

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	1,575			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: HARLAN AND AMY KORENVAES FAMILY FOUNDATION
c/o harlan b korenvaes
EIN: 75-2902691

TY 2012 Investments Corporate Stock Schedule

Name: HARLAN AND AMY KORENVAES FAMILY FOUNDATION
c/o harlan b korenvaes

EIN: 75-2902691

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FIDELITY	2,892,456	2,845,367

TY 2012 Investments - Other Schedule**Name:** HARLAN AND AMY KORENVAES FAMILY FOUNDATION

c/o harlan b korenvaes

EIN: 75-2902691

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BONDS		25,000	50,000
OCTAVIAN OFFSHORE		700,000	526,508
PARS V OFFSHORE FUND		1,500,000	1,521,834

TY 2012 Land, Etc. Schedule**Name:** HARLAN AND AMY KORENVAES FAMILY FOUNDATION

c/o harlan b korenvaes

EIN: 75-2902691

TY 2012 Other Assets Schedule**Name:** HARLAN AND AMY KORENVAES FAMILY FOUNDATION

c/o harlan b korenvaes

EIN: 75-2902691

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
REDEMPTION REQUEST RECEIVABLE		898,865	898,865

TY 2012 Other Expenses Schedule

Name: HARLAN AND AMY KORENVAES FAMILY FOUNDATION

c/o harlan b korenvaes

EIN: 75-2902691

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	197			

TY 2012 Taxes Schedule

Name: HARLAN AND AMY KORENVAES FAMILY FOUNDATION

c/o harlan b korenvaes

EIN: 75-2902691

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES	3,204			
FOREIGN TAXES	2,893			

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
AGU(008916108)	AGRIUM INCCOM NPV ISIN #CA0089161081 SEDOL #2213538	500	11/16/2012	11/23/2012	\$50,780 96	\$48,708 93	\$2,072 03 -	
ARO(007865108)	AEROPOSTALE	200	8/7/2012	9/21/2012	\$2,745 20	\$2,735 65	\$9 55 -	
ARO(007865108)	AEROPOSTALE	800	8/7/2012	9/21/2012	\$10,980 80	\$10,912 00	\$68 80 -	
AZN(046353108)	ASTRAZENECA ADR EACHREP 1 ORD USD0 25(MGT)	74	8/23/2011	10/16/2012	\$3,487 73	\$3,477 11 -		\$10 62
AZN(046353108)	ASTRAZENECA ADR EACHREP 1 ORD USD0 25(MGT)	426	8/23/2011	10/16/2012	\$20,123 82	\$20,016 86 -		\$106 96
AZN(046353108)	ASTRAZENECA ADR EACHREP 1 ORD USD0 25(MGT)	100	8/23/2011	9/21/2012	\$4,694 57	\$4,698 80 -		(\$4 23)
AZN(046353108)	ASTRAZENECA ADR EACHREP 1 ORD USD0 25(MGT)	400	8/23/2011	8/7/2012	\$18,802 15	\$18,795 18	\$6 97 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,107	8/23/2012	10/5/2012	\$28,039 39	\$27,727 09	\$312 30 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/23/2012	10/5/2012	\$177 30	\$175 01	\$2 29 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	493	8/23/2012	10/5/2012	\$12,487 28	\$12,472 47	\$14 81 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	700	8/23/2012	10/5/2012	\$17,730 42	\$17,536 57	\$193 85 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	300	8/23/2012	10/5/2012	\$7,598 75	\$7,501 56	\$97 19 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	38	8/23/2012	10/5/2012	\$962 51	\$949 72	\$12 79 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	4,100	8/23/2012	10/5/2012	\$103,849 58	\$102,664 00	\$1,185 58 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	762	8/31/2012	10/5/2012	\$19,300 83	\$18,966 51	\$334 32 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	462	8/23/2012	10/5/2012	\$11,702 08	\$11,542 00	\$160 08 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	531	8/23/2012	10/5/2012	\$13,449 79	\$13,305 51	\$144 28 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	993	9/7/2012	10/5/2012	\$25,151 86	\$24,812 94	\$338 92 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	10/5/2012	\$177 31	\$184 67	(\$7 36) -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	9/7/2012	10/5/2012	\$25,329 17	\$24,987 85	\$341 32 -	

Symbol(CUSIP)	Security Description	Quantity	Date	Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired				Gain /Loss	Gain /Loss
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	9/10/2012	10/5/2012	\$25,329 17	\$25,017 95	\$311 22	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,100	9/10/2012	10/5/2012	\$27,862 08	\$27,518 95	\$343 13	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	4,900	9/10/2012	10/5/2012	\$124,112 92	\$122,507 95	\$1,604 97	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	206	9/18/2012	10/5/2012	\$5,217 81	\$5,135 29	\$82 52	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	500	9/18/2012	10/5/2012	\$12,664 58	\$12,457 95	\$206 63	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	9/18/2012	10/5/2012	\$25,329 17	\$24,937 95	\$391 22	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,500	9/18/2012	10/5/2012	\$37,993 75	\$37,357 95	\$635 80	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,500	9/18/2012	10/5/2012	\$37,993 75	\$37,357 95	\$635 80	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,500	9/18/2012	10/5/2012	\$37,993 75	\$37,357 95	\$635 80	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,500	9/18/2012	10/5/2012	\$37,993 75	\$37,357 95	\$635 80	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	294	9/19/2012	10/5/2012	\$7,446 78	\$7,343 25	\$103 53	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	500	9/19/2012	10/5/2012	\$12,664 58	\$12,477 95	\$186 63	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	9/19/2012	10/5/2012	\$25,329 17	\$24,917 95	\$411 22	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	9/19/2012	10/5/2012	\$25,329 17	\$24,927 95	\$401 22	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	9/19/2012	10/5/2012	\$25,329 17	\$24,937 95	\$391 22	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	100	9/28/2012	10/5/2012	\$2,532 92	\$2,499 94	\$32 98	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	400	9/28/2012	10/5/2012	\$10,131 67	\$9,963 95	\$167 72	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	500	9/28/2012	10/5/2012	\$12,664 56	\$12,452 95	\$211 61	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	531	8/23/2012	10/3/2012	\$13,266 90	\$13,346 28	(\$79 38)	-

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
				Wash Sale	\$0 00	(\$79 38)	\$79 38	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	10/3/2012	\$174 89	\$185 30	(\$10 41)	-
				Wash Sale	\$0 00	(\$10 41)	\$10 41	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	162	8/23/2012	10/3/2012	\$4,049 91	\$4,067 20	(\$17 29)	-
				Wash Sale	\$0 00	(\$17 29)	\$17 29	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	107	8/23/2012	10/3/2012	\$2,674 94	\$2,682 99	(\$8 05)	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	993	8/23/2012	10/3/2012	\$24,824 44	\$24,813 44	\$11 00	-
				Wash Sale	\$0 00	(\$8 05)	\$8 05	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	193	8/23/2012	10/3/2012	\$4,824 89	\$4,834 08	(\$9 19)	-
				Wash Sale	\$0 00	(\$9 19)	\$9 19	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	10/3/2012	\$175 00	\$184 76	(\$9 76)	-
				Wash Sale	\$0 00	(\$9 76)	\$9 76	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	293	8/23/2012	9/21/2012	\$7,305 55	\$7,399 72	(\$94 17)	-
				Wash Sale	\$0 00	(\$94 17)	\$94 17	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	207	8/23/2012	9/21/2012	\$5,161 27	\$5,222 27	(\$61 00)	-
				Wash Sale	\$0 00	(\$61 00)	\$61 00	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	8/23/2012	9/10/2012	\$24,991 49	\$24,992 62	(\$1 13)	-
				Wash Sale	\$0 00	(\$1 13)	\$1 13	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	2,000	8/23/2012	9/10/2012	\$49,891 13	\$49,985 23	(\$94 10)	-
				Wash Sale	\$0 00	(\$94 10)	\$94 10	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	155	8/23/2012	8/31/2012	\$3,863 11	\$3,905 19	(\$42 08)	-
				Wash Sale	\$0 00	(\$42 08)	\$42 08	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	255	8/23/2012	8/31/2012	\$6,355 45	\$6,380 44	(\$24 99)	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	445	8/23/2012	8/31/2012	\$11,090 88	\$11,184 71	(\$93 83)	-
				Wash Sale	\$0 00	(\$24 99)	\$24 99	-

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
				Wash Sale	\$0 00	(\$93 83)	\$93 83	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	445	8/23/2012	8/31/2012	\$11,090 88	\$11,129 79	(\$38 91)	-
				Wash Sale	\$0 00	(\$38 91)	\$38 91	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	12	8/24/2012	8/27/2012	\$299 75	\$299 26	\$0 49	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	100	8/24/2012	8/27/2012	\$2,497 94	\$2,493 83	\$4 11	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	100	8/24/2012	8/27/2012	\$2,497 94	\$2,493 83	\$4 11	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	300	8/24/2012	8/27/2012	\$7,485 88	\$7,481 48	\$4 40	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	450	8/24/2012	8/27/2012	\$11,232 79	\$11,222 21	\$10 58	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/24/2012	\$173 88	\$184 89	(\$11 01)	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	93	8/15/2012	8/24/2012	\$2,310 11	\$2,310 27	(\$0 16)	-
				Wash Sale	\$0 00	(\$0 16)	\$0 16	-
				Wash Sale	\$0 00	(\$11 01)	\$11 01	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	393	8/15/2012	8/24/2012	\$9,789 49	\$9,762 74	\$26 75	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/24/2012	\$174 37	\$184 97	(\$10 60)	-
				Wash Sale	\$0 00	(\$10 60)	\$10 60	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	993	8/15/2012	8/24/2012	\$24,737 11	\$24,667 70	\$69 41	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/24/2012	\$174 38	\$184 49	(\$10 11)	-
				Wash Sale	\$0 00	(\$10 11)	\$10 11	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,393	8/15/2012	8/24/2012	\$34,718 79	\$34,604 33	\$114 46	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/24/2012	\$174 47	\$184 07	(\$9 60)	-
				Wash Sale	\$0 00	(\$9 60)	\$9 60	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,493	8/15/2012	8/24/2012	\$37,196 81	\$37,088 50	\$108 31	-

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/24/2012	\$174 40	\$183 49	(\$9 09) -	
				Wash Sale	\$0 00	(\$9 09)	\$9 09 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	521	8/15/2012	8/24/2012	\$12,981 01	\$12,942 47	\$38 54 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,379	8/15/2012	8/24/2012	\$34,358 56	\$34,270 20	\$88 36 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/24/2012	\$174 41	\$183 05	(\$8 64) -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	93	8/15/2012	8/24/2012	\$2,317 15	\$2,311 35	\$5 80 -	
				Wash Sale	\$0 00	(\$8 64)	\$8 64 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,993	8/15/2012	8/24/2012	\$49,656 72	\$49,529 02	\$127 70 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/24/2012	\$174 41	\$183 85	(\$9 44) -	
				Wash Sale	\$0 00	(\$9 44)	\$9 44 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/24/2012	\$174 41	\$183 40	(\$8 99) -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,500	8/15/2012	8/24/2012	\$37,373 48	\$37,277 24	\$96 24 -	
				Wash Sale	\$0 00	(\$8 99)	\$8 99 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	93	8/23/2012	8/24/2012	\$2,317 16	\$2,327 79	(\$10 63) -	
				Wash Sale	\$0 00	(\$10 63)	\$10 63 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	193	8/23/2012	8/24/2012	\$4,808 72	\$4,831 02	(\$22 30) -	
				Wash Sale	\$0 00	(\$22 30)	\$22 30 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	307	8/23/2012	8/24/2012	\$7,649 10	\$7,683 35	(\$34 25) -	
				Wash Sale	\$0 00	(\$34 25)	\$34 25 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	86	8/15/2012	8/22/2012	\$2,136 58	\$2,136 38	\$0 20 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/22/2012	\$173 91	\$184 91	(\$11 00) -	
				Wash Sale	\$0 00	(\$11 00)	\$11 00 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	3	8/10/2012	8/21/2012	\$71 35	\$82 08	(\$10 73) -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
				Wash Sale	\$0 00	(\$10 73)	\$10 73	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	4	8/10/2012	8/21/2012	\$95 13	\$99 26	(\$4 13)	-
				Wash Sale	\$0 00	(\$4 13)	\$4 13	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	497	8/10/2012	8/21/2012	\$12,377 06	\$12,332 54	\$44 52	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	3	8/10/2012	8/21/2012	\$74 71	\$85 17	(\$10 46)	-
				Wash Sale	\$0 00	(\$10 46)	\$10 46	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	500	8/10/2012	8/21/2012	\$12,451 77	\$12,406 99	\$44 78	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	500	8/10/2012	8/21/2012	\$12,451 77	\$12,406 99	\$44 78	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	496	8/10/2012	8/21/2012	\$12,356 10	\$12,307 73	\$48 37	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	497	8/13/2012	8/21/2012	\$12,381 01	\$12,334 54	\$46 47	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	4	8/10/2012	8/21/2012	\$99 65	\$103 40	(\$3 75)	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	3	8/10/2012	8/21/2012	\$74 73	\$84 91	(\$10 18)	-
				Wash Sale	\$0 00	(\$10 18)	\$10 18	-
				Wash Sale	\$0 00	(\$3 75)	\$3 75	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	493	8/13/2012	8/21/2012	\$12,286 29	\$12,235 26	\$51 03	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	500	8/15/2012	8/21/2012	\$12,460 75	\$12,421 99	\$38 76	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/21/2012	\$174 45	\$187 84	(\$13 39)	-
				Wash Sale	\$0 00	(\$13 39)	\$13 39	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	993	8/15/2012	8/21/2012	\$24,776 83	\$24,670 06	\$106 77	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/21/2012	\$174 66	\$187 21	(\$12 55)	-
				Wash Sale	\$0 00	(\$12 55)	\$12 55	-
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/21/2012	\$174 40	\$186 46	(\$12 06)	-

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	493	8/15/2012	8/21/2012 Wash Sale	\$12,282 67 \$0 00	\$12,248 08 (\$12 06)	\$34 59 - \$12 06 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	8/15/2012	8/21/2012	\$24,914 14	\$24,831 59	\$82 55 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,993	8/15/2012	8/21/2012	\$49,656 52	\$49,489 36	\$167 16 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/21/2012 Wash Sale	\$174 41 \$0 00	\$185 88 (\$11 47)	(\$11 47) - \$11 47 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,993	8/15/2012	8/21/2012	\$49,656 52	\$49,489 36	\$167 16 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	7	8/10/2012	8/21/2012 Wash Sale	\$174 41 \$0 00	\$185 36 (\$10 95)	(\$10 95) - \$10 95 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	8/9/2012	8/17/2012	\$24,907 95	\$24,797 95	\$110 00 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	8/9/2012	8/17/2012	\$24,907 95	\$24,807 95	\$100 00 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	8/9/2012	8/17/2012	\$24,907 95	\$24,817 95	\$90 00 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	3	8/10/2012	8/17/2012 Wash Sale	\$74 72 \$0 00	\$82 35 (\$7 63)	(\$7 63) - \$7 63 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	1,000	8/10/2012	8/17/2012	\$24,907 95	\$24,817 85	\$90 10 -	
BACPRYCL(055184204)	BACCAP TR V GTD CAP SECS 6 00% 11/03/2034	997	8/10/2012	8/17/2012	\$24,833 24	\$24,743 50	\$89 74 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	391	10/18/2012	12/31/2012 Wash Sale	\$9,823 35 \$0 00	\$9,829 74 (\$6 39)	(\$6 39) - \$6 39 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	109	9/18/2012	12/31/2012 Wash Sale	\$2,738 46 \$0 00	\$2,819 52 (\$81 06)	(\$81 06) - \$81 06 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	691	10/18/2012	12/31/2012 Wash Sale	\$17,350 72 \$0 00	\$17,371 74 (\$21 02)	(\$21 02) - \$21 02 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	109	9/18/2012	12/31/2012 Wash Sale	\$2,736 95 \$0 00	\$2,815 64 (\$78 69)	(\$78 69) - \$78 69 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	404	9/6/2012	11/14/2012	\$10,254 92	\$10,504 19	(\$249 27) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	96	9/6/2012	11/14/2012 Wash Sale	\$2,436 84 \$0 00	\$2,415 26 (\$249 27)	\$21 58 - \$249 27 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	7	9/10/2012	11/14/2012	\$177 74	\$175 80	\$1 94 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	589	10/16/2012	11/14/2012	\$14,955 58	\$14,789 79	\$165 79 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	96	9/6/2012	11/14/2012	\$2,437 59	\$2,434 21	\$3 38 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	204	9/6/2012	11/14/2012 Wash Sale	\$5,179 86 \$0 00	\$5,360 60 (\$180 74)	(\$180 74) - \$180 74 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	104	9/6/2012	11/14/2012	\$2,640 71	\$2,618 94	\$21 77 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	191	9/6/2012	11/14/2012	\$4,849 77	\$4,974 78	(\$125 01) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	13	9/6/2012	11/14/2012	\$330 09	\$328 40	\$1 69 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	796	10/16/2012	11/14/2012 Wash Sale	\$20,211 62 \$0 00	\$19,987 56 (\$125 01)	\$224 06 - \$125 01 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	904	10/16/2012	11/14/2012	\$22,958 21	\$22,699 44	\$258 77 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	1,405	10/18/2012	11/14/2012	\$35,681 73	\$35,321 70	\$360 03 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	109	9/6/2012	11/14/2012	\$2,768 19	\$2,856 68	(\$88 49) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	82	9/6/2012	11/14/2012 Wash Sale	\$2,082 49 \$0 00	\$2,070 07 (\$88 49)	\$12 42 - \$88 49 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	2,500	10/18/2012	11/14/2012	\$63,490 62	\$62,850 00	\$640 62 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	2,500	10/18/2012	11/14/2012	\$63,490 62	\$62,850 00	\$640 62	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	507	9/10/2012	11/13/2012	\$12,861 48	\$13,225 96	(\$364 48)	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	179	9/10/2012	11/13/2012	\$4,540 84	\$4,526 78	\$14 06	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	314	10/16/2012	11/13/2012	\$7,965 50	\$7,874 47	\$91 03	-
				Wash Sale	\$0 00	(\$364 48)	\$364 48	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	1,000	10/16/2012	11/13/2012	\$25,367 84	\$25,087 95	\$279 89	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	1,000	10/16/2012	11/13/2012	\$25,367 84	\$25,110 00	\$257 84	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	1,300	10/16/2012	11/13/2012	\$32,978 19	\$32,643 00	\$335 19	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	700	10/16/2012	11/13/2012	\$17,757 51	\$17,577 00	\$180 51	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	686	9/10/2012	11/12/2012	\$17,392 94	\$17,942 20	(\$549 26)	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	121	9/10/2012	11/12/2012	\$3,067 86	\$3,059 97	\$7 89	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	193	10/15/2012	11/12/2012	\$4,893 35	\$4,851 62	\$41 73	-
				Wash Sale	\$0 00	(\$549 26)	\$549 26	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	10/16/2012	11/12/2012	\$2,535 41	\$2,510 50	\$24 91	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	10/16/2012	11/12/2012	\$2,535 41	\$2,518 94	\$16 47	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	10/16/2012	11/12/2012	\$2,535 41	\$2,518 94	\$16 47	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	10/16/2012	11/12/2012	\$5,070 81	\$5,029 93	\$40 88	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	494	9/6/2012	11/8/2012	\$12,528 57	\$13,224 28	(\$695 71)	-
				Wash Sale	\$0 00	(\$695 71)	\$695 71	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	9/10/2012	11/8/2012	\$2,536 14	\$2,514 70	\$21 44	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	6	9/6/2012	11/8/2012	\$152 17	\$179 22	(\$27 05) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	400	9/10/2012	11/8/2012	\$10,144 60	\$10,306 45	(\$161 85) -	
				Wash Sale	\$0 00	(\$161 85)	\$161 85 -	
				Wash Sale	\$0 00	(\$27 05)	\$27 05 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	494	9/6/2012	11/8/2012	\$12,521 01	\$13,112 55	(\$591 54) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	96	9/10/2012	11/8/2012	\$2,433 24	\$2,414 10	\$19 14 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	404	10/12/2012	11/8/2012	\$10,239 88	\$10,154 65	\$85 23 -	
				Wash Sale	\$0 00	(\$591 54)	\$591 54 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	313	9/10/2012	11/8/2012	\$7,933 38	\$8,057 68	(\$124 30) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	93	9/10/2012	11/8/2012	\$2,357 20	\$2,344 51	\$12 69 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	94	10/15/2012	11/8/2012	\$2,382 55	\$2,364 66	\$17 89 -	
				Wash Sale	\$0 00	(\$124 30)	\$124 30 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	1,000	10/15/2012	11/8/2012	\$25,346 27	\$25,127 95	\$218 32 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	6	9/6/2012	11/7/2012	\$144 14	\$172 55	(\$28 41) -	
				Wash Sale	\$0 00	(\$28 41)	\$28 41 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	800	9/10/2012	11/6/2012	\$20,249 26	\$21,101 81	(\$852 55) -	
				Wash Sale	\$0 00	(\$852 55)	\$852 55 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	9/6/2012	11/6/2012	\$5,062 32	\$5,595 40	(\$533 08) -	
				Wash Sale	\$0 00	(\$533 08)	\$533 08 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	9/6/2012	11/6/2012	\$2,533 15	\$2,831 93	(\$298 78) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	296	9/10/2012	11/6/2012	\$7,498 12	\$7,534 77	(\$36 65) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	104	9/10/2012	11/6/2012	\$2,634 47	\$2,626 62	\$7 85 -	
				Wash Sale	\$0 00	(\$36 65)	\$36 65 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
				Wash Sale	\$0 00	(\$298 78)	\$298 78	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	400	9/10/2012	11/6/2012	\$10,132 59	\$10,667 55	(\$534 96)	-
				Wash Sale	\$0 00	(\$534 96)	\$534 96	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	9/6/2012	11/6/2012	\$2,533 15	\$2,739 20	(\$206 05)	-
				Wash Sale	\$0 00	(\$206 05)	\$206 05	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	400	9/10/2012	11/6/2012	\$10,132 60	\$10,340 72	(\$208 12)	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	300	9/10/2012	11/6/2012	\$7,599 43	\$8,077 60	(\$478 17)	-
				Wash Sale	\$0 00	(\$208 12)	\$208 12	-
				Wash Sale	\$0 00	(\$478 17)	\$478 17	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	300	9/6/2012	11/6/2012	\$7,599 45	\$7,991 30	(\$391 85)	-
				Wash Sale	\$0 00	(\$391 85)	\$391 85	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	196	9/10/2012	11/6/2012	\$4,958 17	\$4,960 45	(\$2 28)	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	204	9/6/2012	11/6/2012	\$5,160 55	\$5,426 15	(\$265 60)	-
				Wash Sale	\$0 00	(\$2 28)	\$2 28	-
				Wash Sale	\$0 00	(\$265 60)	\$265 60	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	300	10/11/2012	11/6/2012	\$7,589 03	\$7,555 54	\$33 49	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	9/10/2012	11/6/2012	\$2,529 68	\$2,617 75	(\$88 07)	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	9/10/2012	11/6/2012	\$12,648 38	\$13,267 78	(\$619 40)	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	9/10/2012	11/6/2012	\$5,059 36	\$5,048 15	\$11 21	-
				Wash Sale	\$0 00	(\$619 40)	\$619 40	-
				Wash Sale	\$0 00	(\$88 07)	\$88 07	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	904	10/12/2012	11/6/2012	\$22,868 29	\$22,742 78	\$125 51	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	96	9/6/2012	11/6/2012	\$2,428 49	\$2,520 60	(\$92 11)	-
				Wash Sale	\$0 00	(\$92 11)	\$92 11	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	10/12/2012	11/6/2012	\$12,648 39	\$12,583 98	\$64 41	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	300	9/6/2012	11/2/2012	\$7,573 24	\$8,084 91	(\$511 67)	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	900	9/10/2012	11/2/2012	\$22,719 73	\$23,236 89	(\$517 16)	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	10/9/2012	11/2/2012	\$2,524 40	\$2,516 39	\$8 01	-
				Wash Sale	\$0 00	(\$517 16)	\$517 16	-
				Wash Sale	\$0 00	(\$511 67)	\$511 67	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	9/6/2012	11/2/2012	\$5,048 83	\$5,605 30	(\$556 47)	-
				Wash Sale	\$0 00	(\$556 47)	\$556 47	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	300	9/6/2012	10/18/2012	\$7,546 10	\$8,065 97	(\$519 87)	-
				Wash Sale	\$0 00	(\$519 87)	\$519 87	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	9/6/2012	10/18/2012	\$5,030 71	\$5,596 87	(\$566 16)	-
				Wash Sale	\$0 00	(\$566 16)	\$566 16	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	9/6/2012	10/18/2012	\$5,032 71	\$5,598 95	(\$566 24)	-
				Wash Sale	\$0 00	(\$566 24)	\$566 24	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	300	9/6/2012	10/18/2012	\$7,549 10	\$8,084 82	(\$535 72)	-
				Wash Sale	\$0 00	(\$535 72)	\$535 72	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	9/6/2012	10/11/2012	\$5,039 93	\$5,596 85	(\$556 92)	-
				Wash Sale	\$0 00	(\$556 92)	\$556 92	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	9/2/2012	10/5/2012	\$2,522 63	\$2,850 51	(\$327 88)	-
				Wash Sale	\$0 00	(\$327 88)	\$327 88	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	9/2/2012	10/5/2012	\$5,045 25	\$5,471 34	(\$426 09)	-
				Wash Sale	\$0 00	(\$426 09)	\$426 09	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	400	9/6/2012	10/5/2012	\$10,090 50	\$10,672 05	(\$581 55)	-
				Wash Sale	\$0 00	(\$581 55)	\$581 55	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	700	9/6/2012	10/5/2012	\$17,658 37	\$17,992 98	(\$334 61) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	1,100	10/5/2012	10/5/2012	\$27,748 88	\$27,691 86	\$57 02 -	
				Wash Sale	\$0 00	(\$334 61)	\$334 61 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	8/17/2012	9/19/2012	\$2,486 99	\$2,907 36	(\$420 37) -	
				Wash Sale	\$0 00	(\$420 37)	\$420 37 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	400	8/17/2012	9/19/2012	\$9,965 82	\$11,084 79	(\$1,118 97) -	
				Wash Sale	\$0 00	(\$1,118 97)	\$1,118 97 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	8/17/2012	9/19/2012	\$2,491 35	\$2,900 89	(\$409 54) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	400	8/17/2012	9/19/2012	\$9,965 42	\$11,041 04	(\$1,075 62) -	
				Wash Sale	\$0 00	(\$409 54)	\$409 54 -	
				Wash Sale	\$0 00	(\$1,075 62)	\$1,075 62 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/17/2012	9/19/2012	\$12,456 77	\$13,887 77	(\$1,431 00) -	
				Wash Sale	\$0 00	(\$1,431 00)	\$1,431 00 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/17/2012	9/19/2012	\$12,456 77	\$13,842 96	(\$1,386 19) -	
				Wash Sale	\$0 00	(\$1,386 19)	\$1,386 19 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/17/2012	9/19/2012	\$12,456 77	\$13,788 80	(\$1,332 03) -	
				Wash Sale	\$0 00	(\$1,332 03)	\$1,332 03 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/17/2012	9/19/2012	\$12,466 82	\$13,743 99	(\$1,277 17) -	
				Wash Sale	\$0 00	(\$1,277 17)	\$1,277 17 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/21/2012	9/19/2012	\$12,470 74	\$13,018 79	(\$548 05) -	
				Wash Sale	\$0 00	(\$548 05)	\$548 05 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/17/2012	9/19/2012	\$12,470 75	\$13,679 75	(\$1,209 00) -	
				Wash Sale	\$0 00	(\$1,209 00)	\$1,209 00 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	8/21/2012	9/19/2012	\$2,494 15	\$2,487 04	\$7 11 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	400	8/21/2012	9/19/2012	\$9,976 59	\$10,171 16	(\$194 57) -	
				Wash Sale	\$0 00	(\$194 57)	\$194 57 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/17/2012	9/19/2012	\$12,470 75	\$13,620 92	(\$1,150 17) -	
				Wash Sale	\$0 00	(\$1,150 17)	\$1,150 17 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/21/2012	9/19/2012	\$12,463 12	\$12,959 96	(\$496 84) -	
				Wash Sale	\$0 00	(\$496 84)	\$496 84 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/17/2012	9/19/2012	\$12,463 13	\$13,568 12	(\$1,104 99) -	
				Wash Sale	\$0 00	(\$1,104 99)	\$1,104 99 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	400	8/21/2012	9/19/2012	\$9,970 50	\$10,106 57	(\$136 07) -	
				Wash Sale	\$0 00	(\$136 07)	\$136 07 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/31/2012	9/19/2012	\$12,463 13	\$12,397 95	\$65 18 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	600	8/31/2012	9/19/2012	\$14,955 77	\$14,881 95	\$73 82 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	96	8/17/2012	9/18/2012	\$2,398 02	\$2,688 58	(\$290 56) -	
				Wash Sale	\$0 00	(\$290 56)	\$290 56 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	197	8/17/2012	9/18/2012	\$4,913 69	\$5,328 04	(\$414 35) -	
				Wash Sale	\$0 00	(\$414 35)	\$414 35 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	99	8/17/2012	9/18/2012	\$2,469 31	\$2,628 32	(\$159 01) -	
				Wash Sale	\$0 00	(\$159 01)	\$159 01 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	108	8/17/2012	9/18/2012	\$2,694 58	\$2,861 40	(\$166 82) -	
				Wash Sale	\$0 00	(\$166 82)	\$166 82 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	8/17/2012	9/18/2012	\$4,989 94	\$5,455 75	(\$465 81) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	96	8/17/2012	9/18/2012	\$2,395 18	\$2,707 63	(\$312 45) -	
				Wash Sale	\$0 00	(\$312 45)	\$312 45 -	
				Wash Sale	\$0 00	(\$465 81)	\$465 81 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	108	8/17/2012	9/18/2012	\$2,694 60	\$2,886 03	(\$191 43) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	96	8/17/2012	9/18/2012	\$2,395 20	\$2,570 25	(\$175 05) -	
				Wash Sale	\$0 00	(\$175 05)	\$175 05 -	
				Wash Sale	\$0 00	(\$191 43)	\$191 43 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	204	8/17/2012	9/18/2012	\$5,089 82	\$5,717 53	(\$627 71) -	
				Wash Sale	\$0 00	(\$627 71)	\$627 71 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/21/2012	9/18/2012	\$12,480 80	\$12,912 68	(\$431 88) -	
				Wash Sale	\$0 00	(\$431 88)	\$431 88 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	204	8/17/2012	9/18/2012	\$5,092 16	\$5,764 00	(\$671 84) -	
				Wash Sale	\$0 00	(\$671 84)	\$671 84 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	204	8/17/2012	9/18/2012	\$5,092 17	\$5,504 82	(\$412 65) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	92	8/17/2012	9/18/2012	\$2,296 46	\$2,516 37	(\$219 91) -	
				Wash Sale	\$0 00	(\$219 91)	\$219 91 -	
				Wash Sale	\$0 00	(\$412 65)	\$412 65 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	293	8/21/2012	9/18/2012	\$7,313 75	\$7,432 98	(\$119 23) -	
				Wash Sale	\$0 00	(\$119 23)	\$119 23 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	189	8/17/2012	9/18/2012	\$4,717 74	\$5,177 32	(\$459 58) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	107	8/21/2012	9/18/2012	\$2,670 89	\$2,717 63	(\$46 74) -	
				Wash Sale	\$0 00	(\$46 74)	\$46 74 -	
				Wash Sale	\$0 00	(\$459 58)	\$459 58 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	304	8/21/2012	9/18/2012	\$7,588 32	\$8,433 41	(\$845 09) -	
				Wash Sale	\$0 00	(\$845 09)	\$845 09 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	107	8/17/2012	9/18/2012	\$2,670 89	\$2,905 50	(\$234 61) -	
				Wash Sale	\$0 00	(\$234 61)	\$234 61 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	196	8/21/2012	9/18/2012	\$4,892 80	\$5,135 82	(\$243 02) -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
				Wash Sale	\$0 00	(\$243 02)	\$243 02	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	204	8/21/2012	9/18/2012	\$5,092 51	\$5,260 20	(\$167 69)	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	789	8/21/2012	9/18/2012	\$19,696 06	\$21,276 07	(\$1,580 01)	-
				Wash Sale	\$0 00	(\$1,580 01)	\$1,580 01	-
				Wash Sale	\$0 00	(\$167 69)	\$167 69	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	107	8/17/2012	9/18/2012	\$2,671 07	\$2,925 16	(\$254 09)	-
				Wash Sale	\$0 00	(\$254 09)	\$254 09	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	196	8/21/2012	9/18/2012	\$4,892 99	\$5,295 05	(\$402 06)	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	104	8/21/2012	9/18/2012	\$2,596 28	\$2,658 50	(\$62 22)	-
				Wash Sale	\$0 00	(\$62 22)	\$62 22	-
				Wash Sale	\$0 00	(\$402 06)	\$402 06	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	1,100	8/21/2012	9/18/2012	\$27,460 65	\$29,540 09	(\$2,079 44)	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	8/21/2012	9/18/2012	\$2,496 44	\$2,514 53	(\$18 09)	-
				Wash Sale	\$0 00	(\$18 09)	\$18 09	-
				Wash Sale	\$0 00	(\$2,079 44)	\$2,079 44	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	193	8/21/2012	9/18/2012	\$4,818 36	\$5,250 80	(\$432 44)	-
				Wash Sale	\$0 00	(\$432 44)	\$432 44	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	8/17/2012	9/18/2012	\$2,496 55	\$2,922 13	(\$425 58)	-
				Wash Sale	\$0 00	(\$425 58)	\$425 58	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	8/17/2012	9/18/2012	\$2,496 56	\$2,834 62	(\$338 06)	-
				Wash Sale	\$0 00	(\$338 06)	\$338 06	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	113	8/17/2012	9/18/2012	\$2,821 11	\$3,146 67	(\$325 56)	-
				Wash Sale	\$0 00	(\$325 56)	\$325 56	-
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	187	8/21/2012	9/18/2012	\$4,668 57	\$4,922 15	(\$253 58)	-
				Wash Sale	\$0 00	(\$253 58)	\$253 58	-

Symbol(CUSIP)	Security Description	Quantity	Date		Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired					Gain /Loss	Gain /Loss
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	807	8/21/2012		9/18/2012 Wash Sale	\$20,147.23 \$0.00	\$20,827.02 (\$679.79)	(\$679.79) - \$679.79 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	500	8/24/2012		9/18/2012	\$12,482.75	\$12,408.93	\$73.82 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	16	8/24/2012		9/7/2012	\$399.19	\$397.18	\$2.01 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	92	8/24/2012		9/7/2012	\$2,295.34	\$2,283.81	\$11.53 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	8/24/2012		9/7/2012	\$2,486.99	\$2,482.40	\$4.59 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	101	8/24/2012		9/7/2012	\$2,518.88	\$2,507.22	\$11.66 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	799	8/24/2012		9/7/2012	\$19,926.61	\$19,834.35	\$92.26 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	892	8/24/2012		9/7/2012	\$22,245.98	\$22,142.99	\$102.99 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	8	8/17/2012		8/23/2012 Wash Sale	\$191.32 \$0.00	\$202.00 (\$10.68)	(\$10.68) - \$10.68 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	92	8/17/2012		8/23/2012 Wash Sale	\$2,285.55 \$0.00	\$2,322.95 (\$37.40)	(\$37.40) - \$37.40 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	8	8/17/2012		8/23/2012	\$198.56	\$211.81	(\$13.25) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	92	8/17/2012		8/23/2012 Wash Sale Wash Sale	\$2,283.44 \$0.00 \$0.00	\$2,350.43 (\$66.99) (\$13.25)	(\$66.99) - \$66.99 - \$13.25 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	91	8/17/2012		8/23/2012 Wash Sale	\$2,259.52 \$0.00	\$2,287.89 (\$28.37)	(\$28.37) - \$28.37 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	9	8/17/2012		8/23/2012 Wash Sale	\$223.47 \$0.00	\$240.85 (\$17.38)	(\$17.38) - \$17.38 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	91	8/17/2012		8/23/2012	\$2,259.61	\$2,360.19	(\$100.58) -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	9	8/17/2012	8/23/2012	\$223 48	\$229 68	(\$6 20) -	
				Wash Sale	\$0 00	(\$6 20)	\$6 20 -	
				Wash Sale	\$0 00	(\$100 58)	\$100 58 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	18	8/17/2012	8/23/2012	\$447 71	\$453 75	(\$6 04) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	82	8/17/2012	8/23/2012	\$2,039 59	\$2,092 62	(\$53 03) -	
				Wash Sale	\$0 00	(\$53 03)	\$53 03 -	
				Wash Sale	\$0 00	(\$6 04)	\$6 04 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	91	8/17/2012	8/23/2012	\$2,263 45	\$2,286 46	(\$23 01) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	8/17/2012	8/23/2012	\$2,487 31	\$2,619 37	(\$132 06) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	9	8/17/2012	8/23/2012	\$223 85	\$243 52	(\$19 67) -	
				Wash Sale	\$0 00	(\$19 67)	\$19 67 -	
				Wash Sale	\$0 00	(\$132 06)	\$132 06 -	
				Wash Sale	\$0 00	(\$23 01)	\$23 01 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	8/17/2012	8/23/2012	\$2,487 37	\$2,571 66	(\$84 29) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	8/17/2012	8/23/2012	\$4,974 73	\$5,025 17	(\$50 44) -	
				Wash Sale	\$0 00	(\$50 44)	\$50 44 -	
				Wash Sale	\$0 00	(\$84 29)	\$84 29 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	8/17/2012	8/23/2012	\$2,487 36	\$2,663 21	(\$175 85) -	
				Wash Sale	\$0 00	(\$175 85)	\$175 85 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	100	8/17/2012	8/23/2012	\$2,487 36	\$2,557 42	(\$70 06) -	
				Wash Sale	\$0 00	(\$70 06)	\$70 06 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	300	8/17/2012	8/23/2012	\$7,462 10	\$7,681 65	(\$219 55) -	
				Wash Sale	\$0 00	(\$219 55)	\$219 55 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	8/17/2012	8/23/2012	\$4,974 72	\$5,281 91	(\$307 19) -	
				Wash Sale	\$0 00	(\$307 19)	\$307 19 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired					Gain /Loss	Gain /Loss
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	8/17/2012		8/23/2012	\$4,977 92	\$5,202 06	(\$224 14) -	
					Wash Sale	\$0 00	(\$224 14)	\$224 14 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	200	8/21/2012		8/23/2012	\$4,977 92	\$5,029 15	(\$51 23) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	300	8/17/2012		8/23/2012	\$7,466 88	\$7,904 43	(\$437 55) -	
					Wash Sale	\$0 00	(\$437 55)	\$437 55 -	
					Wash Sale	\$0 00	(\$51 23)	\$51 23 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	107	8/21/2012		8/23/2012	\$2,663 17	\$2,693 81	(\$30 64) -	
					Wash Sale	\$0 00	(\$30 64)	\$30 64 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	393	8/17/2012		8/23/2012	\$9,782 00	\$10,468 26	(\$686 26) -	
					Wash Sale	\$0 00	(\$686 26)	\$686 26 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	86	8/21/2012		8/23/2012	\$2,140 59	\$2,165 12	(\$24 53) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	414	8/21/2012		8/23/2012	\$10,304 70	\$10,592 17	(\$287 47) -	
					Wash Sale	\$0 00	(\$287 47)	\$287 47 -	
					Wash Sale	\$0 00	(\$24 53)	\$24 53 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	7	8/21/2012		8/23/2012	\$174 25	\$176 23	(\$1 98) -	
					Wash Sale	\$0 00	(\$1 98)	\$1 98 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	493	8/17/2012		8/23/2012	\$12,280 35	\$13,208 44	(\$928 09) -	
					Wash Sale	\$0 00	(\$928 09)	\$928 09 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	93	8/21/2012		8/23/2012	\$2,316 58	\$2,343 22	(\$26 64) -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	407	8/21/2012		8/23/2012	\$10,138 15	\$10,458 20	(\$320 05) -	
					Wash Sale	\$0 00	(\$320 05)	\$320 05 -	
					Wash Sale	\$0 00	(\$26 64)	\$26 64 -	
BACPRZ(05518T209)	BACCAP TR VIII GTD CAP SECS 6 00% 08/25/2035	407	8/21/2012		8/23/2012	\$10,138 13	\$10,227 91	(\$89 78) -	
					Wash Sale	\$0 00	(\$89 78)	\$89 78 -	
BODY(09689U102)	BODYCENT CORP COM USD0 001	500	8/22/2012		9/13/2012	\$4,521 94	\$4,237 65	\$284 29 -	
BODY(09689U102)	BODYCENT CORP COM USD0 001	100	8/22/2012		9/11/2012	\$891 03	\$847 53	\$43 50 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
BODY(09689U102)	BODYCENT CORP COM USD0 001	900	8/22/2012	9/11/2012	\$8,090 81	\$7,627 77	\$463 04	-
BODY(09689U102)	BODYCENT CORP COM USD0 001	100	8/21/2012	9/7/2012	\$911 26	\$861 85	\$49 41	-
BODY(09689U102)	BODYCENT CORP COM USD0 001	900	8/21/2012	9/7/2012	\$8,201 31	\$7,686 00	\$515 31	-
BODY(09689U102)	BODYCENT CORP COM USD0 001	100	8/22/2012	9/7/2012	\$911 25	\$844 95	\$66 30	-
BODY(09689U102)	BODYCENT CORP COM USD0 001	1,000	8/7/2012	8/16/2012	\$8,931 84	\$8,477 95	\$453 89	-
BRCM(111320107)	BROADCO M CORP CL A	500	9/13/2012	10/10/2012	\$16,487 03	\$18,062 90	(\$1,575 87)	-
BRCM(111320107)	BROADCO M CORP CL A	1,000	9/13/2012	10/10/2012	\$32,974 06	\$36,227 85	(\$3,253 79)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/23/2012	\$2,566 42	\$2,618 03	(\$51 61)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	44	9/4/2012	10/23/2012	\$1,129 23	\$1,151 21	(\$21 98)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	400	9/10/2012	10/23/2012	\$10,292 60	\$10,505 19	(\$212 59)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	300	9/4/2012	10/23/2012	\$7,719 43	\$7,833 95	(\$114 52)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	300	9/4/2012	10/23/2012	\$7,719 44	\$7,783 19	(\$63 75)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	113	9/23/2012	10/23/2012	\$2,908 79	\$2,961 87	(\$53 08)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	387	9/23/2012	10/23/2012	\$9,961 94	\$10,139 87	(\$177 93)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	500	9/23/2012	10/23/2012	\$12,870 74	\$13,090 54	(\$219 80)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	912	9/23/2012	10/23/2012	\$23,476 23	\$23,913 48	(\$437 25)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	88	9/23/2012	10/23/2012	\$2,265 24	\$2,338 73	(\$73 49)	-

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	300	9/4/2012	10/17/2012 Wash Sale	\$7,737 04 \$0 00	\$7,833 04 (\$96 00)	(\$96 00) - \$96 00 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	456	9/4/2012	10/17/2012	\$11,760 30	\$11,958 15	(\$197 85) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/17/2012 Wash Sale	\$2,579 01 \$0 00	\$2,624 55 (\$45 54)	(\$45 54) - \$45 54 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/17/2012 Wash Sale	\$2,577 15 \$0 00	\$2,615 86 (\$38 71)	(\$38 71) - \$38 71 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	900	9/4/2012	10/17/2012	\$23,194 32	\$23,569 65	(\$375 33) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/16/2012 Wash Sale	\$2,584 41 \$0 00	\$2,646 83 (\$62 42)	(\$62 42) - \$62 42 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	500	9/4/2012	10/16/2012	\$12,922 06	\$13,237 33	(\$315 27) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	500	9/4/2012	10/16/2012 Wash Sale Wash Sale	\$12,922 05 \$0 00 \$0 00	\$13,147 61 (\$225 56) (\$315 27)	(\$225 56) - \$225 56 - \$315 27 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	400	9/4/2012	10/16/2012 Wash Sale	\$10,337 66 \$0 00	\$10,575 27 (\$237 61)	(\$237 61) - \$237 61 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	500	9/4/2012	10/16/2012	\$12,922 06	\$13,184 37	(\$262 31) -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	600	9/4/2012	10/16/2012	\$15,506 48	\$15,815 04	(\$308 56) -	
				Wash Sale	\$0 00	(\$308 56)	\$308 56 -	
				Wash Sale	\$0 00	(\$262 31)	\$262 31 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	400	9/4/2012	10/16/2012	\$10,337 64	\$10,520 64	(\$183 00) -	
				Wash Sale	\$0 00	(\$183 00)	\$183 00 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	600	9/4/2012	10/16/2012	\$15,507 27	\$15,706 69	(\$199 42) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	1,100	9/4/2012	10/16/2012	\$28,430 00	\$28,844 37	(\$414 37) -	
				Wash Sale	\$0 00	(\$414 37)	\$414 37 -	
				Wash Sale	\$0 00	(\$199 42)	\$199 42 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	300	9/4/2012	10/16/2012	\$7,753 62	\$7,875 72	(\$122 10) -	
				Wash Sale	\$0 00	(\$122 10)	\$122 10 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	25	9/4/2012	10/15/2012	\$637 16	\$659 09	(\$21 93) -	
				Wash Sale	\$0 00	(\$21 93)	\$21 93 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	75	9/4/2012	10/15/2012	\$1,937 21	\$1,977 28	(\$40 07) -	
				Wash Sale	\$0 00	(\$40 07)	\$40 07 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	25	9/4/2012	10/15/2012	\$645 73	\$669 05	(\$23 32) -	
				Wash Sale	\$0 00	(\$23 32)	\$23 32 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/15/2012	\$2,583 14	\$2,676 19	(\$93 05) -	
				Wash Sale	\$0 00	(\$93 05)	\$93 05 -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/15/2012	\$2,575 99	\$2,676 18	(\$100 19) -	
				Wash Sale	\$0 00	(\$100 19)	\$100 19 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	87	9/4/2012	10/15/2012	\$2,247 59	\$2,327 84	(\$80 25) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	113	9/4/2012	10/15/2012	\$2,919 29	\$3,021 98	(\$102 69) -	
				Wash Sale	\$0 00	(\$102 69)	\$102 69 -	
				Wash Sale	\$0 00	(\$80 25)	\$80 25 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	117	9/4/2012	10/15/2012	\$3,023 43	\$3,124 44	(\$101 01) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	858	9/4/2012	10/15/2012	\$22,171 80	\$22,934 42	(\$762 62) -	
				Wash Sale	\$0 00	(\$762 62)	\$762 62 -	
				Wash Sale	\$0 00	(\$101 01)	\$101 01 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	71	9/4/2012	10/15/2012	\$1,831 90	\$1,896 03	(\$64 13) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	500	9/4/2012	10/15/2012	\$12,900 74	\$13,266 34	(\$365 60) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	29	9/4/2012	10/15/2012	\$748 24	\$773 68	(\$25 44) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	75	9/4/2012	10/15/2012	\$1,935 11	\$1,971 56	(\$36 45) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	25	9/4/2012	10/15/2012	\$645 04	\$665 76	(\$20 72) -	
				Wash Sale	\$0 00	(\$20 72)	\$20 72 -	
				Wash Sale	\$0 00	(\$36 45)	\$36 45 -	
				Wash Sale	\$0 00	(\$25 44)	\$25 44 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
				Wash Sale	\$0 00	(\$365 60)	\$365 60	-
				Wash Sale	\$0 00	(\$64 13)	\$64 13	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	75	9/4/2012	10/15/2012	\$1,935 10	\$1,996 81	(\$61 71)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/15/2012	\$2,580 15	\$2,670 32	(\$90 17)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/15/2012	\$2,580 15	\$2,663 17	(\$83 02)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	25	9/4/2012	10/15/2012	\$645 04	\$665 85	(\$20 81)	-
				Wash Sale	\$0 00	(\$20 81)	\$20 81	-
				Wash Sale	\$0 00	(\$83 02)	\$83 02	-
				Wash Sale	\$0 00	(\$90 17)	\$90 17	-
				Wash Sale	\$0 00	(\$61 71)	\$61 71	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	300	9/4/2012	10/15/2012	\$7,740 45	\$7,981 66	(\$241 21)	-
				Wash Sale	\$0 00	(\$241 21)	\$241 21	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	275	9/4/2012	10/15/2012	\$7,095 41	\$7,315 29	(\$219 88)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	125	9/4/2012	10/15/2012	\$3,225 19	\$3,326 38	(\$101 19)	-
				Wash Sale	\$0 00	(\$101 19)	\$101 19	-
				Wash Sale	\$0 00	(\$219 88)	\$219 88	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	88	9/4/2012	10/15/2012	\$2,270 51	\$2,340 63	(\$70 12)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	212	9/4/2012	10/15/2012	\$5,469 91	\$5,638 70	(\$168 79)	-
				Wash Sale	\$0 00	(\$168 79)	\$168 79	-
				Wash Sale	\$0 00	(\$70 12)	\$70 12	-

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/15/2012	\$2,581 15	\$2,656 30	(\$75 15) -	
				Wash Sale	\$0 00	(\$75 15)	\$75 15 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	12	9/4/2012	10/15/2012	\$309 74	\$318 92	(\$9 18) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	488	9/4/2012	10/15/2012	\$12,596 00	\$12,967 38	(\$371 38) -	
				Wash Sale	\$0 00	(\$371 38)	\$371 38 -	
				Wash Sale	\$0 00	(\$9 18)	\$9 18 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/15/2012	\$2,581 15	\$2,654 13	(\$72 98) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	300	9/4/2012	10/15/2012	\$7,743 43	\$7,968 63	(\$225 20) -	
				Wash Sale	\$0 00	(\$225 20)	\$225 20 -	
				Wash Sale	\$0 00	(\$72 98)	\$72 98 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	300	9/4/2012	10/15/2012	\$7,744 23	\$7,942 54	(\$198 31) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	300	9/4/2012	10/15/2012	\$7,744 23	\$7,866 92	(\$122 69) -	
				Wash Sale	\$0 00	(\$122 69)	\$122 69 -	
				Wash Sale	\$0 00	(\$198 31)	\$198 31 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	400	9/4/2012	10/15/2012	\$10,325 66	\$10,592 68	(\$267 02) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	500	9/4/2012	10/15/2012	\$12,907 06	\$13,257 69	(\$350 63) -	
				Wash Sale	\$0 00	(\$350 63)	\$350 63 -	
				Wash Sale	\$0 00	(\$267 02)	\$267 02 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/12/2012	\$2,577 94	\$2,678 38	(\$100 44) -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
				Wash Sale	\$0 00	(\$100 44)	\$100 44	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	158	9/4/2012	10/12/2012	\$4,073 15	\$4,231 85	(\$158 70)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	42	9/4/2012	10/12/2012	\$1,082 73	\$1,124 58	(\$41 85)	-
				Wash Sale	\$0 00	(\$41 85)	\$41 85	-
				Wash Sale	\$0 00	(\$158 70)	\$158 70	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	16	9/4/2012	10/12/2012	\$412 47	\$428 06	(\$15 59)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	113	9/4/2012	10/12/2012	\$2,913 07	\$3,025 66	(\$112 59)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	71	9/4/2012	10/12/2012	\$1,830 34	\$1,901 08	(\$70 74)	-
				Wash Sale	\$0 00	(\$70 74)	\$70 74	-
				Wash Sale	\$0 00	(\$112 59)	\$112 59	-
				Wash Sale	\$0 00	(\$15 59)	\$15 59	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	129	9/4/2012	10/12/2012	\$3,323 49	\$3,402 94	(\$79 45)	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	371	9/4/2012	10/12/2012	\$9,558 27	\$9,925 55	(\$367 28)	-
				Wash Sale	\$0 00	(\$367 28)	\$367 28	-
				Wash Sale	\$0 00	(\$79 45)	\$79 45	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	188	9/4/2012	10/12/2012	\$4,843 56	\$4,959 32	(\$115 76)	-
				Wash Sale	\$0 00	(\$115 76)	\$115 76	-
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	312	9/4/2012	10/12/2012	\$8,038 25	\$8,335 09	(\$296 84)	-
				Wash Sale	\$0 00	(\$296 84)	\$296 84	-

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	188	9/4/2012	10/12/2012	\$4,843 56	\$5,022 43	(\$178 87) -	
				Wash Sale	\$0 00	(\$178 87)	\$178 87 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	12	9/4/2012	10/12/2012	\$309 17	\$321 17	(\$12 00) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	100	9/4/2012	10/12/2012	\$2,576 36	\$2,677 23	(\$100 87) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	200	9/4/2012	10/12/2012	\$5,152 72	\$5,354 12	(\$201 40) -	
				Wash Sale	\$0 00	(\$100 87)	\$100 87 -	
				Wash Sale	\$0 00	(\$201 40)	\$201 40 -	
				Wash Sale	\$0 00	(\$12 00)	\$12 00 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	412	9/4/2012	10/12/2012	\$10,618 98	\$11,025 75	(\$406 77) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	188	9/4/2012	10/12/2012	\$4,845 56	\$5,031 32	(\$185 76) -	
				Wash Sale	\$0 00	(\$406 77)	\$406 77 -	
				Wash Sale	\$0 00	(\$185 76)	\$185 76 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	29	9/4/2012	10/12/2012	\$747 44	\$774 28	(\$26 84) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	371	9/4/2012	10/12/2012	\$9,562 24	\$9,919 77	(\$357 53) -	
				Wash Sale	\$0 00	(\$357 53)	\$357 53 -	
				Wash Sale	\$0 00	(\$26 84)	\$26 84 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	371	9/4/2012	10/12/2012	\$9,562 24	\$9,875 92	(\$313 68) -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	129	9/4/2012	10/12/2012	\$3,324 87	\$3,400 94	(\$76 07) -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
				Wash Sale	\$0 00	(\$76 07)	\$76 07 -	
				Wash Sale	\$0 00	(\$313 68)	\$313 68 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	412	9/4/2012	10/4/2012	\$10,625 53	\$11,034 93	(\$409 40) -	
				Wash Sale	\$0 00	(\$409 40)	\$409 40 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	113	9/4/2012	9/14/2012	\$2,935 68	\$2,982 17	(\$46 49) -	
				Wash Sale	\$0 00	(\$46 49)	\$46 49 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	1,000	9/4/2012	9/14/2012	\$25,971 46	\$26,390 00	(\$418 54) -	
				Wash Sale	\$0 00	(\$418 54)	\$418 54 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	1,226	9/4/2012	9/14/2012	\$31,868 32	\$32,344 20	(\$475 88) -	
				Wash Sale	\$0 00	(\$475 88)	\$475 88 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	174	9/4/2012	9/14/2012	\$4,522 91	\$4,598 67	(\$75 76) -	
				Wash Sale	\$0 00	(\$75 76)	\$75 76 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	9	9/4/2012	9/14/2012	\$233 78	\$237 86	(\$4 08) -	
				Wash Sale	\$0 00	(\$4 08)	\$4 08 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	200	9/4/2012	9/14/2012	\$5,195 04	\$5,283 09	(\$88 05) -	
				Wash Sale	\$0 00	(\$88 05)	\$88 05 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	500	9/4/2012	9/14/2012	\$12,987 60	\$13,191 29	(\$203 69) -	
				Wash Sale	\$0 00	(\$203 69)	\$203 69 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	961	9/4/2012	9/14/2012	\$24,962 17	\$25,350 85	(\$388 68) -	
				Wash Sale	\$0 00	(\$388 68)	\$388 68 -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	217	9/4/2012	9/14/2012 Wash Sale	\$5,636 62 \$0 00	\$5,812 09 (\$175 47)	(\$175 47) - \$175 47 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	500	9/4/2012	9/5/2012 Wash Sale	\$13,178 82 \$0 00	\$13,187 95 (\$9 13)	(\$9 13) - \$9 13 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	500	9/4/2012	9/5/2012 Wash Sale	\$13,178 82 \$0 00	\$13,187 95 (\$9 13)	(\$9 13) - \$9 13 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	1,000	9/4/2012	9/5/2012 Wash Sale	\$26,357 64 \$0 00	\$26,367 85 (\$10 21)	(\$10 21) - \$10 21 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	1,839	9/4/2012	9/5/2012 Wash Sale	\$48,471 70 \$0 00	\$48,483 99 (\$12 29)	(\$12 29) - \$12 29 -	
BWFCL(94985V202)	WELLSFARGO CAP XII ENHANCED TR PFD SECSTRUPS 7 8750% 03/15/2068	661	9/4/2012	9/5/2012 Wash Sale	\$17,422 41 \$0 00	\$17,432 32 (\$9 91)	(\$9 91) - \$9 91 -	
C(172967424)	CITIGROUP INCCO M NEW	100	9/13/2012	10/5/2012	\$3,523 15	\$3,441 63	\$81 52 -	
C(172967424)	CITIGROUP INCCO M NEW	100	9/13/2012	10/5/2012	\$3,523 15	\$3,441 99	\$81 16 -	
C(172967424)	CITIGROUP INCCO M NEW	800	9/13/2012	10/5/2012	\$28,185 16	\$27,543 95	\$641 21 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	95	8/23/2012	10/23/2012	\$2,408 79	\$2,432 15	(\$23 36) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	100	8/23/2012	10/23/2012	\$2,537 00	\$2,560 16	(\$23 16) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	500	8/23/2012	10/23/2012	\$12,716 81	\$12,800 81	(\$84 00) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	500	8/23/2012	10/23/2012	\$12,716 81	\$12,800 81	(\$84 00) -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	805	8/23/2012	10/23/2012	\$20,486 79	\$20,609 31	(\$122 52) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	1,000	9/5/2012	10/23/2012	\$25,441 47	\$25,657 95	(\$216 48) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	500	8/23/2012	10/19/2012	\$12,736 76	\$12,780 80	(\$44 04) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	526	8/23/2012	10/19/2012	\$13,404 74	\$13,445 40	(\$40 66) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	500	8/23/2012	10/19/2012	\$12,745 74	\$12,780 79	(\$35 05) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	100	8/23/2012	10/19/2012	\$2,549 15	\$2,567 95	(\$18 80) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	400	8/23/2012	10/19/2012	\$10,196 58	\$10,240 65	(\$44 07) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	2,500	8/23/2012	10/19/2012	\$63,740 62	\$64,004 06	(\$263 44) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	474	8/16/2012	10/18/2012	\$12,069 29	\$12,111 08	(\$41 79) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	1,000	8/16/2012	10/18/2012	\$25,451 47	\$25,550 79	(\$99 32) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	1,000	8/16/2012	10/18/2012	\$25,461 47	\$25,550 79	(\$89 32) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	11	8/16/2012	10/18/2012	\$271 44	\$281 06	(\$9 62) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	83	8/16/2012	10/18/2012	\$2,111 47	\$2,120 72	(\$9 25) -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	417	8/16/2012	10/18/2012	\$10,596 12	\$10,654 68	(\$58 56) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	12	8/16/2012	10/18/2012	\$304 84	\$306 61	(\$1 77) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	3	8/16/2012	10/18/2012	\$76 21	\$91 32	(\$15 11) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	171	8/16/2012	10/18/2012	\$4,343 94	\$4,352 13	(\$8 19) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	117	8/16/2012	10/18/2012	\$2,972 17	\$2,976 53	(\$4 36) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	186	8/16/2012	10/18/2012	\$4,724 99	\$4,738 76	(\$13 77) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	383	8/16/2012	10/18/2012	\$9,737 21	\$9,743 70	(\$6 49) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	117	8/16/2012	10/18/2012	\$2,974 55	\$2,975 85	(\$1 30) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	183	8/16/2012	10/18/2012	\$4,652 50	\$4,654 53	(\$2 03) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	14	8/16/2012	10/18/2012	\$355 93	\$355 94	(\$0 01) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	303	8/16/2012	10/18/2012	\$7,703 33	\$7,703 01	\$0 32 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	500	8/16/2012	10/18/2012	\$12,716 81	\$12,711 25	\$5 56 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	329	8/16/2012	10/18/2012	\$8,360 38	\$8,360 05	\$0 33 -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	197	8/16/2012	10/18/2012	\$5,006 06	\$5,008 23	(\$2 17) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	80	8/16/2012	10/18/2012	\$2,032 92	\$2,038 93	(\$6 01) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	394	8/16/2012	10/18/2012	\$10,012 12	\$10,034 52	(\$22 40) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	435	8/16/2012	10/18/2012	\$11,053 99	\$11,078 72	(\$24 73) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	171	8/16/2012	10/18/2012	\$4,345 36	\$4,354 45	(\$9 09) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	394	8/16/2012	10/18/2012	\$10,012 13	\$10,038 81	(\$26 68) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	526	8/16/2012	10/18/2012	\$13,382 21	\$13,402 07	(\$19 86) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	474	8/16/2012	10/18/2012	\$12,059 26	\$12,067 67	(\$8 41) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	500	8/17/2012	10/18/2012	\$12,730 74	\$12,792 95	(\$62 21) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	474	8/17/2012	10/18/2012	\$12,068 73	\$12,121 12	(\$52 39) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	26	8/16/2012	10/18/2012	\$662 00	\$661 94	\$0 06 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	1,500	8/17/2012	10/18/2012	\$38,166 19	\$38,357 98	(\$191 79) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	2,026	8/17/2012	10/18/2012	\$51,493 32	\$51,808 85	(\$315 53) -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	474	8/23/2012	10/18/2012	\$12,047 30	\$12,116 19	(\$68 89) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	3,000	8/23/2012	10/18/2012	\$76,228 29	\$76,684 77	(\$456 48) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	500	8/16/2012	10/17/2012 Wash Sale	\$12,696 76 \$0 00	\$12,775 40 (\$78 64)	(\$78 64) - \$78 64 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	829	8/16/2012	10/17/2012 Wash Sale	\$21,048 17 \$0 00	\$21,181 61 (\$133 44)	(\$133 44) - \$133 44 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	1,000	8/16/2012	10/17/2012 Wash Sale	\$25,371 48 \$0 00	\$25,550 79 (\$179 31)	(\$179 31) - \$179 31 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	1,000	8/16/2012	10/17/2012 Wash Sale	\$25,391 48 \$0 00	\$25,550 79 (\$79 66)	(\$159 31) - \$79 66 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	1,000	8/16/2012	10/17/2012	\$25,391 48	\$25,550 80	(\$159 32) -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	14	8/16/2012	10/16/2012 Wash Sale	\$355 31 \$0 00	\$357 71 (\$2 40)	(\$2 40) - \$2 40 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	171	8/16/2012	10/16/2012 Wash Sale	\$4,335 35 \$0 00	\$4,369 19 (\$33 84)	(\$33 84) - \$33 84 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	186	8/16/2012	10/16/2012 Wash Sale	\$4,710 78 \$0 00	\$4,752 45 (\$41 67)	(\$41 67) - \$41 67 -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	300	8/16/2012	10/16/2012 Wash Sale	\$7,610 82 \$0 00	\$7,665 24 (\$54 42)	(\$54 42) - \$54 42 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	500	8/16/2012	10/16/2012 Wash Sale	\$12,681 76 \$0 00	\$12,775 40 (\$93 64)	(\$93 64) - \$93 64 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	1,000	8/16/2012	10/15/2012 Wash Sale	\$25,381 48 \$0 00	\$25,550 79 (\$169 31)	(\$169 31) - \$169 31 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	416	8/16/2012	9/10/2012	\$10,728 76	\$10,629 53	\$99 23 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	84	8/16/2012	9/10/2012	\$2,160 05	\$2,146 35	\$13 70 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	1,500	8/16/2012	9/10/2012	\$38,706 18	\$38,327 65	\$378 53 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	997	8/16/2012	9/10/2012	\$25,726 71	\$25,475 11	\$251 60 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	500	8/16/2012	9/10/2012	\$12,902 06	\$12,775 40	\$126 66 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	3	8/16/2012	9/10/2012 Wash Sale	\$77 41 \$0 00	\$84 16 (\$6 75)	(\$6 75) - \$6 75 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	1,500	8/16/2012	9/7/2012	\$38,691 33	\$38,327 65	\$363 68 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	997	8/16/2012	9/4/2012	\$25,594 46	\$25,481 28	\$113 18 -	
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	3	8/16/2012	9/4/2012	\$77 01	\$84 52	(\$7 51) -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
				Wash Sale	\$0 00	(\$7 51)	\$7 51	-
C PRGCL(173085200)	CITIGROUP CAP XX ENHANCED TR PFD SECSTRUPS 7 8750% 12/15/2067	3	8/16/2012	8/21/2012	\$68 81	\$76 67	(\$7 86)	-
				Wash Sale	\$0 00	(\$7 86)	\$7 86	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	2,500	12/11/2012	12/20/2012	\$63,365 63	\$63,028 97	\$336 66	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	2,500	12/11/2012	12/19/2012	\$63,315 63	\$63,028 98	\$286 65	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	438	11/15/2012	12/18/2012	\$11,073 20	\$11,017 09	\$56 11	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	500	11/15/2012	12/18/2012	\$12,641 76	\$12,576 59	\$65 17	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	1,062	11/15/2012	12/18/2012	\$26,881 28	\$26,712 68	\$168 60	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	500	12/6/2012	12/18/2012	\$12,657 06	\$12,612 95	\$44 11	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	1,000	12/6/2012	12/18/2012	\$25,314 13	\$25,221 59	\$92 54	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	1,500	12/6/2012	12/18/2012	\$37,971 34	\$37,832 39	\$138 95	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	2,500	12/6/2012	12/18/2012	\$63,240 63	\$63,053 97	\$186 66	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	100	12/11/2012	12/18/2012	\$2,529 64	\$2,527 95	\$1 69	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	2,400	12/11/2012	12/18/2012	\$60,711 24	\$60,535 95	\$175 29	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	100	11/14/2012	11/30/2012	\$2,517 99	\$2,513 32	\$4 67	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	1,000	11/14/2012	11/30/2012	\$25,321 48	\$25,133 18	\$188 30	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	500	11/14/2012	11/30/2012	\$12,622 07	\$12,566 59	\$55 48	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	1,000	11/14/2012	11/30/2012	\$25,244 13	\$25,132 04	\$112 09	-
C PRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	1,900	11/14/2012	11/30/2012	\$47,973 92	\$47,750 88	\$223 04	-

Symbol(CUSIP)	Security Description	Quantity	Date		Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired					Gain /Loss	Gain /Loss
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	991	11/14/2012		11/30/2012	\$25,019 13	\$24,905 86	\$113 27	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	1,009	11/15/2012		11/30/2012	\$25,473 57	\$25,414 57	\$59 00	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	500	11/15/2012		11/30/2012	\$12,623 18	\$12,576 59	\$46 59	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	100	11/14/2012		11/29/2012	\$2,524 15	\$2,512 32	\$11 83	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	900	11/14/2012		11/29/2012	\$22,717 33	\$22,619 86	\$97 47	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	100	11/14/2012		11/27/2012	\$2,523 16	\$2,513 79	\$9 37	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	900	11/14/2012		11/27/2012	\$22,708 42	\$22,610 86	\$97 56	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	1,500	11/14/2012		11/27/2012	\$37,851 35	\$37,684 77	\$166 58	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	1,784	11/8/2012		11/20/2012	\$44,950 12	\$44,887 17	\$62 95	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	632	11/14/2012		11/20/2012	\$15,924 02	\$15,887 18	\$36 84	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	84	11/8/2012		11/20/2012	\$2,116 49	\$2,113 32	\$3 17	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	200	11/7 /2012		11/19/2012	\$5,033 93	\$5,040 01	(\$6 08)	-
					Wash Sale	\$0 00	(\$6 08)	\$6 08	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	793	11/7 /2012		11/19/2012	\$19,983 13	\$19,957 19	\$25 94	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	84	11/8/2012		11/19/2012	\$2,116 75	\$2,118 48	(\$1 73)	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	923	11/8/2012		11/19/2012	\$23,259 08	\$23,221 42	\$37 66	-
					Wash Sale	\$0 00	(\$1 73)	\$1 73	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	16	11/7 /2012		11/19/2012	\$403 19	\$402 91	\$0 28	-
CPRVCL(17306N203)	CITIGROUPCAPITAL VII PFD 7 125% 07/31/2031	100	11/7 /2012		11/19/2012	\$2,519 94	\$2,524 23	(\$4 29)	-
					Wash Sale	\$0 00	(\$4 29)	\$4 29	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	84	11/7/2012	11/19/2012	\$2,116 75	\$2,112 61	\$4 14	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	500	11/8/2012	11/19/2012	\$12,604 76	\$12,580 44	\$24 32	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	700	11/8/2012	11/19/2012	\$17,646 68	\$17,614 23	\$32 45	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	100	11/7/2012	11/19/2012	\$2,520 95	\$2,518 09	\$2 86	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	100	10/22/2012	10/24/2012	\$2,543 94	\$2,548 64	(\$4 70)	-
				Wash Sale	\$0 00	(\$4 70)	\$4 70	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	200	10/22/2012	10/24/2012	\$5,085 57	\$5,097 28	(\$11 71)	-
				Wash Sale	\$0 00	(\$11 71)	\$11 71	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	400	10/22/2012	10/24/2012	\$10,171 13	\$10,190 36	(\$19 23)	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	100	10/22/2012	10/24/2012	\$2,542 78	\$2,552 29	(\$9 51)	-
				Wash Sale	\$0 00	(\$19 23)	\$19 23	-
				Wash Sale	\$0 00	(\$9 51)	\$9 51	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	700	10/22/2012	10/24/2012	\$17,799 48	\$17,822 00	(\$22 52)	-
				Wash Sale	\$0 00	(\$22 52)	\$22 52	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	84	10/23/2012	10/24/2012	\$2,135 94	\$2,144 07	(\$8 13)	-
				Wash Sale	\$0 00	(\$8 13)	\$8 13	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	500	10/23/2012	10/24/2012	\$12,713 92	\$12,732 90	(\$18 98)	-
				Wash Sale	\$0 00	(\$18 98)	\$18 98	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	500	10/23/2012	10/24/2012	\$12,713 92	\$12,732 95	(\$19 03)	-
				Wash Sale	\$0 00	(\$19 03)	\$19 03	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	1,000	10/23/2012	10/24/2012	\$25,427 84	\$25,457 85	(\$30 01)	-
				Wash Sale	\$0 00	(\$30 01)	\$30 01	-
CPRVCL(17306N203)	CITIGROUP CAPITAL VII PFD 7 125% 07/31/2031	1,500	10/23/2012	10/24/2012	\$38,141 75	\$38,182 80	(\$41 05)	-
				Wash Sale	\$0 00	(\$41 05)	\$41 05	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	600	8/17/2012	10/24/2012	\$160 22	\$220 73	(\$60 51) -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	400	8/14/2012	10/24/2012	\$106 82	\$144 46	(\$37 64) -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	1,100	8/17/2012	10/24/2012	\$293 51	\$368 48	(\$74 97) -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	500	8/17/2012	10/24/2012	\$133 41	\$167 36	(\$33 95) -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	1,900	8/17/2012	10/24/2012	\$516 21	\$628 58	(\$112 37) -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	1,700	9/10/2012	10/24/2012	\$461 88	\$563 53	(\$101 65) -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	3,300	8/17/2012	10/24/2012	\$896 59	\$1,099 58	(\$202 99) -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	200	8/14/2012	10/1/2012	\$60 56	\$72 06	(\$11 50) -	
				Wash Sale	\$0 00	(\$11 50)	\$11 50 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	300	8/14/2012	10/1/2012	\$102 00	\$108 09	(\$6 09) -	
				Wash Sale	\$0 00	(\$6 09)	\$6 09 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	100	8/17/2012	10/1/2012	\$34 00	\$41 94	(\$7 94) -	
				Wash Sale	\$0 00	(\$7 94)	\$7 94 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	200	8/17/2012	10/1/2012	\$68 00	\$75 93	(\$7 93) -	
				Wash Sale	\$0 00	(\$7 93)	\$7 93 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	1,400	8/17/2012	10/1/2012	\$475 99	\$483 81	(\$7 82) -	
				Wash Sale	\$0 00	(\$7 82)	\$7 82 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	900	8/17/2012	10/1/2012	\$305 99	\$306 00	(\$0 01) -	
				Wash Sale	\$0 00	(\$0 01)	\$0 01 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	4,400	8/17/2012	10/1/2012	\$1,495 96	\$1,503 51	(\$7 55) -	
				Wash Sale	\$0 00	(\$7 55)	\$7 55 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	100	8/14/2012	10/1/2012	\$34 00	\$40 95	(\$6 95) -	
				Wash Sale	\$0 00	(\$6 95)	\$6 95 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	233	8/17/2012	10/1/2012	\$79 22	\$77 59	\$1 63 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	900	8/14/2012	10/1/2012	\$305 99	\$304 05	\$1 94 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	300	8/17/2012	10/1/2012	\$102 00	\$100 09	\$1 91 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	200	8/14/2012	10/1/2012	\$68 00	\$76 76	(\$8 76) -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	200	8/14/2012	10/1/2012	\$68 00	\$71 74	(\$3 74) -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	467	8/17/2012	10/1/2012	\$158 78	\$152 38	\$6 40 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	100	9/10/2012	10/1/2012	\$33 99	\$32 63	\$1 36 -	
				Wash Sale	\$0 00	(\$3 74)	\$3 74 -	
				Wash Sale	\$0 00	(\$8 76)	\$8 76 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	100	8/14/2012	9/28/2012	\$33 99	\$34 46	(\$0 47) -	
				Wash Sale	\$0 00	(\$0 47)	\$0 47 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	100	8/14/2012	9/28/2012	\$33 99	\$34 46	(\$0 47) -	
				Wash Sale	\$0 00	(\$0 47)	\$0 47 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	200	8/14/2012	9/28/2012	\$60 04	\$68 98	(\$8 94) -	
				Wash Sale	\$0 00	(\$8 94)	\$8 94 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	100	8/14/2012	9/28/2012	\$33 99	\$34 49	(\$0 50) -	
				Wash Sale	\$0 00	(\$0 50)	\$0 50 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	100	8/14/2012	9/28/2012	\$34 00	\$34 45	(\$0 45) -	
				Wash Sale	\$0 00	(\$0 45)	\$0 45 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	300	8/14/2012	9/28/2012	\$101 99	\$103 35	(\$1 36) -	
				Wash Sale	\$0 00	(\$1 36)	\$1 36 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	110	8/14/2012	9/28/2012	\$37 40	\$37 91	(\$0 51) -	
				Wash Sale	\$0 00	(\$0 51)	\$0 51 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	90	8/14/2012	9/28/2012	\$30 60	\$31 01	(\$0 41) -	
				Wash Sale	\$0 00	(\$0 41)	\$0 41 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	1,000	8/14/2012	9/28/2012	\$339 99	\$344 80	(\$4 81) -	
				Wash Sale	\$0 00	(\$4 81)	\$4 81 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	500	8/17/2012	9/28/2012	\$169 99	\$170 00	(\$0 01) -	
				Wash Sale	\$0 00	(\$0 01)	\$0 01 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	2,400	8/17/2012	9/28/2012	\$815 98	\$816 00	(\$0 02) -	
				Wash Sale	\$0 00	(\$0 02)	\$0 02 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	100	8/14/2012	9/28/2012	\$33 83	\$33 08	\$0 75 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	90	8/14/2012	9/28/2012	\$30 45	\$29 75	\$0 70 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	110	8/14/2012	9/28/2012	\$37 21	\$36 37	\$0 84 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	200	8/14/2012	9/28/2012	\$67 66	\$74 14	(\$6 48) -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	100	8/14/2012	9/28/2012	\$33 83	\$33 07	\$0 76 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	300	8/14/2012	9/28/2012	\$101 49	\$99 16	\$2 33 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	100	8/14/2012	9/28/2012	\$33 82	\$33 05	\$0 77 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	100	8/14/2012	9/28/2012	\$33 83	\$33 10	\$0 73 -	
				Wash Sale	\$0 00	(\$6 48)	\$6 48 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	1,633	8/17/2012	9/28/2012	\$552 43	\$544 81	\$7 62 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	267	8/17/2012	9/28/2012	\$90 32	\$89 08	\$1 24 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	4,500	8/8/2012	9/28/2012	\$1,513 91	\$1,489 77	\$24 14 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	571	8/8/2012	9/21/2012	\$203 37	\$189 04	\$14 33 -	
CRME(14159U202)	CARDIO ME PHARM A CORP CO M	2,429	8/8/2012	9/19/2012	\$902 90	\$804 14	\$98 76 -	
CSCO(17275R102)	CISCO SYS INC	500	10/10/2012	12/19/2012	\$10,211 87	\$9,181 48	\$1,030 39 -	
CSCO(17275R102)	CISCO SYS INC	500	11/17/2010	12/10/2012	\$9,901 82	\$9,818 97 -		\$82 85
CSCO(17275R102)	CISCO SYS INC	200	11/17/2010	12/6/2012	\$3,889 93	\$3,927 59 -		(\$37 66)
CSCO(17275R102)	CISCO SYS INC	300	11/17/2010	12/6/2012	\$5,826 91	\$5,891 39 -		(\$64 48)
	CALL (CSCO) CISCO SYS INC NOV 17 12							
CSCO121117C19(15934 \$19 (100 SHS)		10	10/16/2012	10/26/2012	\$654 24	\$148 24	\$506 00 -	
	CALL (CSCO) CISCO SYS INC NOV 17 12							
CSCO121117C20(15934 \$20 (100 SHS)		10	8/17/2012	9/27/2012	\$584 24	\$278 24	\$306 00 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
DUK(26441C105)	DUKE ENERGY CORP NEW CO M	200	8/22/2011	4/2/2012	\$4,226 55	\$3,663 57	\$562 98	-
DUK(26441C105)	DUKE ENERGY CORP NEW CO M	800	8/22/2011	4/2/2012	\$16,935 70	\$14,654 28	\$2,281 42	-
DVN(25179M103)	DEVON ENERGY CORP NEW	400	5/7/2012	10/2/2012	\$24,560 51	\$24,721 77	(\$161 26)	-
DVN(25179M103)	DEVON ENERGY CORP NEW	100	5/7/2012	10/2/2012	\$6,140 13	\$6,189 67	(\$49 54)	-
DVN(25179M103)	DEVON ENERGY CORP NEW	100	5/7/2012	10/2/2012	\$6,140 13	\$5,800 53	\$339 60	-
DVN(25179M103)	DEVON ENERGY CORP NEW	300	5/7/2012	10/2/2012	\$18,420 38	\$17,409 99	\$1,010 39	-
DVN(25179M103)	DEVON ENERGY CORP NEW	100	5/7/2012	10/2/2012	\$6,140 12	\$5,802 67	\$337 45	-
DVN(25179M103)	DEVON ENERGY CORP NEW	200	5/7/2012	9/14/2012	\$12,697 73	\$12,883 18	(\$185 45)	-
				Wash Sale	\$0 00	(\$185 45)	\$185 45	-
DVN(25179M103)	DEVON ENERGY CORP NEW	300	5/7/2012	9/14/2012	\$19,044 62	\$19,324 77	(\$280 15)	-
				Wash Sale	\$0 00	(\$280 15)	\$280 15	-
DVN(25179M103)	DEVON ENERGY CORP NEW	100	8/1/2012	9/10/2012	\$5,960 89	\$5,679 05	\$281 84	-
DVN(25179M103)	DEVON ENERGY CORP NEW	100	8/1/2012	9/10/2012	\$5,960 89	\$5,671 70	\$289 19	-
DVN(25179M103)	DEVON ENERGY CORP NEW	300	8/1/2012	9/10/2012	\$17,894 77	\$17,016 00	\$878 77	-
DVN(25179M103)	DEVON ENERGY CORP NEW	100	5/7/2012	9/7/2012	\$5,899 51	\$6,372 59	(\$473 08)	-
				Wash Sale	\$0 00	(\$473 08)	\$473 08	-
DVN(25179M103)	DEVON ENERGY CORP NEW	400	5/7/2012	9/7/2012	\$23,634 95	\$25,490 36	(\$1,855 41)	-
				Wash Sale	\$0 00	(\$1,855 41)	\$1,855 41	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	400	11/15/2012	12/11/2012	\$3,979 91	\$3,956 76	\$23 15	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	3,800	11/15/2012	12/11/2012	\$37,808 32	\$37,589 19	\$219 13	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	2,500	11/15/2012	12/11/2012	\$24,873 89	\$24,757 95	\$115 94	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	100	11/19/2012	12/11/2012	\$994 96	\$996 95	(\$1 99)	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	3,200	11/20/2012	12/11/2012	\$31,838 58	\$31,655 95	\$182 63	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	10,000	11/20/2012	12/11/2012	\$99,495 57	\$98,907 95	\$587 62	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	9,982	11/21/2012	12/11/2012	\$99,316 48	\$98,729 93	\$586 55	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	1,722	11/26/2012	12/11/2012	\$17,133 14	\$17,055 75	\$77 39	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	700	11/26/2012	12/11/2012	\$6,964 69	\$6,944 95	\$19 74	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	1,818	11/26/2012	12/11/2012	\$18,088 29	\$18,024 33	\$63 96	-

Symbol(CUSIP)	Security Description	Quantity	Date		Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired						
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	500	11/27/2012		12/11/2012	\$4,974 78	\$4,957 95	\$16 83	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	18	11/27/2012		12/11/2012	\$179 09	\$186 33	(\$7 24)	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	260	11/27/2012		12/11/2012	\$2,586 88	\$2,584 52	\$2 36	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	500	11/27/2012		12/11/2012	\$4,974 78	\$4,955 00	\$19 78	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	1,071	11/28/2012		12/11/2012	\$10,655 98	\$10,610 85	\$45 13	-
ENT(37951D102)	GLOBAL EAGLE ACQUISITION CORP COM	3	12/4/2012		12/11/2012	\$29 85	\$37 71	(\$7 86)	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	500	9/20/2012		11/1/2012	\$24,024 54	\$23,892 95	\$131 59	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	300	9/15/2012		11/1/2012	\$14,414 72	\$14,166 48	\$248 24	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	200	9/14/2012		10/31/2012	\$9,400 63	\$9,664 53	(\$263 90)	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	300	9/14/2012		10/31/2012	\$14,100 94	\$14,504 77	(\$403 83)	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	100	9/15/2012		10/31/2012	\$4,715 92	\$4,720 18	(\$4 26)	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	100	9/15/2012		10/31/2012	\$4,715 91	\$4,722 16	(\$6 25)	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	300	9/14/2012		10/24/2012	\$13,608 74	\$14,143 77	(\$535 03)	-
					Wash Sale	\$0 00	(\$535 03)	\$535 03	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	200	9/14/2012		10/24/2012	\$9,077 81	\$9,429 18	(\$351 37)	-
					Wash Sale	\$0 00	(\$351 37)	\$351 37	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	500	9/14/2012		10/24/2012	\$22,694 54	\$23,790 45	(\$1,095 91)	-
					Wash Sale	\$0 00	(\$1,095 91)	\$1,095 91	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	500	10/2/2012		10/22/2012	\$23,296 77	\$23,282 95	\$13 82	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	500	9/25/2012		10/5/2012	\$23,646 57	\$23,272 90	\$373 67	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	27	9/25/2012	9/28/2012	\$1,271 67	\$1,254 58	\$17 09	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	73	9/25/2012	9/28/2012	\$3,438 22	\$3,392 00	\$46 22	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	100	9/25/2012	9/28/2012	\$4,709 89	\$4,646 58	\$63 31	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	100	9/25/2012	9/28/2012	\$4,702 44	\$4,646 58	\$55 86	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	200	9/25/2012	9/28/2012	\$9,419 78	\$9,293 16	\$126 62	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	100	9/13/2012	9/19/2012	\$4,846 44	\$4,776 59	\$69 85	-
ETN(278058102)	EATON CORP EXCHANGED FOR ONE SHARE OF CUSIP G29183103	400	9/13/2012	9/19/2012	\$19,417 56	\$19,106 36	\$311 20	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	100	10/3/2012	11/29/2012	\$1,472 51	\$1,130 79	\$341 72	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	400	10/3/2012	11/29/2012	\$5,919 90	\$4,523 18	\$1,396 72	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	500	10/3/2012	11/29/2012	\$7,399 83	\$5,718 98	\$1,680 85	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	500	10/3/2012	11/29/2012	\$7,343 36	\$5,718 97	\$1,624 39	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	500	10/3/2012	11/29/2012	\$7,343 36	\$5,778 98	\$1,564 38	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	990	10/2/2012	11/28/2012	\$14,406 12	\$11,366 56	\$3,039 56	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	500	10/3/2012	11/28/2012	\$7,269 83	\$5,653 98	\$1,615 85	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	10	10/2/2012	11/28/2012	\$145 40	\$114 81	\$30 59	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	500	10/2/2012	11/28/2012	\$7,269 84	\$5,719 61	\$1,550 23	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	500	10/2/2012	11/27/2012	\$6,491 90	\$5,928 92	\$562 98	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	400	10/2/2012	11/26/2012	\$4,899 89	\$4,743 18	\$156 71	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	100	10/2/2012	11/26/2012	\$1,224 15	\$1,185 79	\$38 36	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	500	10/2/2012	11/26/2012	\$6,120 73	\$5,928 93	\$191 80	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	1,000	10/2/2012	11/5/2012	\$11,614 53	\$11,667 95	(\$53 42)	-
				Wash Sale	\$0 00	(\$53 42)	\$53 42	-
EXPR(30219E103)	EXPRESS INCCO M USD0 01	500	10/2/2012	11/5/2012	\$5,807 27	\$5,928 98	(\$121 71)	-
				Wash Sale	\$0 00	(\$121 71)	\$121 71	-
EXPR130119P12 5(156 6769PN)	PUT (EXPR) EXPRESS INCCO M JAN 19 13 \$12 5 (100 SHS)	25	10/15/2012	12/18/2012	\$4,347 47	\$354 76	\$3,992 71	-
EXPR130119P12 5(156 6769PN)	PUT (EXPR) EXPRESS INCCO M JAN 19 13 \$12 5 (100 SHS)	15	10/16/2012	12/18/2012	\$2,830 29	\$212 85	\$2,617 44	-
EXPR130119P12 5(156 6769PN)	PUT (EXPR) EXPRESS INCCO M JAN 19 13 \$12 5 (100 SHS)	10	10/16/2012	12/18/2012	\$1,934 21	\$141 90	\$1,792 31	-
GLW(219350105)	CORNING INC	200	11/12/2012	12/24/2012	\$2,524 99	\$2,252 06	\$272 93	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
GLW(219350105)	CORNING INC	200	11/12/2012	12/24/2012	\$2,532 94	\$2,252 06	\$280 88	-
GLW(219350105)	CORNING INC	600	11/12/2012	12/24/2012	\$7,595 83	\$6,756 18	\$839 65	-
GLW(219350105)	CORNING INC	1,500	11/16/2012	12/24/2012	\$18,989 57	\$19,071 24	(\$81 67)	-
GLW(219350105)	CORNING INC	1,000	11/16/2012	12/24/2012	\$12,726 53	\$12,714 16	\$12 37	-
GLW(219350105)	CORNING INC	1,500	11/19/2012	12/24/2012	\$19,089 80	\$16,518 45	\$2,571 35	-
GLW(219350105)	CORNING INC	500	8/22/2012	12/18/2012	\$6,390 93	\$5,818 97	\$571 96	-
GLW(219350105)	CORNING INC	500	11/12/2012	12/18/2012	\$6,390 93	\$5,630 15	\$760 78	-
GLW(219350105)	CORNING INC	1,000	8/21/2012	12/13/2012	\$12,854 51	\$12,037 55	\$816 96	-
GLW(219350105)	CORNING INC	500	8/22/2012	12/13/2012	\$6,427 25	\$5,818 98	\$608 27	-
GLW(219350105)	CORNING INC	1,000	8/21/2012	10/3/2012	\$13,446 74	\$11,947 95	\$1,498 79	-
GLW(219350105)	CORNING INC	1,000	8/21/2012	10/3/2012	\$13,301 85	\$11,967 95	\$1,333 90	-
GLW(219350105)	CORNING INC	100	8/21/2012	9/14/2012	\$1,301 17	\$1,209 45	\$91 72	-
GLW(219350105)	CORNING INC	100	8/21/2012	9/14/2012	\$1,309 25	\$1,201 99	\$107 26	-
GLW(219350105)	CORNING INC	800	8/21/2012	9/14/2012	\$10,471 76	\$9,615 92	\$855 84	-
GLW(219350105)	CORNING INC	400	8/7/2012	9/10/2012	\$5,060 83	\$4,550 00	\$510 83	-
GLW(219350105)	CORNING INC	200	8/7/2012	9/10/2012	\$2,530 41	\$2,282 95	\$247 46	-
GLW(219350105)	CORNING INC	400	8/7/2012	9/10/2012	\$5,060 83	\$4,551 60	\$509 23	-
GLW(219350105)	CORNING INC	1,000	8/21/2012	9/10/2012	\$12,652 06	\$11,977 95	\$674 11	-
GLW(219350105)	CORNING INC	1,000	8/21/2012	9/10/2012	\$12,652 06	\$12,017 95	\$634 11	-
GLW(219350105)	CORNING INC	500	8/3/2012	9/7/2012	\$6,177 26	\$5,688 98	\$488 28	-
GLW(219350105)	CORNING INC	500	8/3/2012	9/7/2012	\$6,237 11	\$5,688 97	\$548 14	-
GLW120922C12(15948 69VV)	CALL (GLW) CORNING INCSEP 22 12 \$12 (100 SHS)	25	8/21/2012	9/4/2012	\$626 53	\$508 68	\$117 85	-
GLW120922C12(15948 69VV)	CALL (GLW) CORNING INCSEP 22 12 \$12 (100 SHS)	25	8/21/2012	9/4/2012	\$626 53	\$483 68	\$142 85	-
GLW120922P12(15948 69SS)	PUT (GLW) CORNING INCSEP 22 12 \$12 (100 SHS)	11	8/21/2012	9/6/2012	\$339 92	\$165 32	\$174 60	-
GLW120922P12(15948 69SS)	PUT (GLW) CORNING INCSEP 22 12 \$12 (100 SHS)	14	8/21/2012	9/6/2012	\$432 63	\$218 36	\$214 27	-
GLW121117C13(15369 49AS)	CALL (GLW) CORNING INC NOV 17 12 \$13 (100 SHS)	20	9/21/2012	10/24/2012	\$1,476 43	\$248 53	\$1,227 90	-
GLW121222P11(16398 89OI)	PUT (GLW) CORNING INC DEC 22 12 \$11 (100 SHS)	25	11/19/2012	11/26/2012	\$772 55	\$408 68	\$363 87	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	2,100	12/10/2012	12/13/2012	\$4,653 94	\$4,621 67	\$32 27	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	500	11/27/2012	12/12/2012	\$1,102 02	\$1,100 40	\$1 62	-

Symbol(CUSIP)	Security Description	Quantity	Date		Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired					Gain /Loss	Gain /Loss
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	4,900	11/27/2012		12/12/2012	\$10,918 80	\$10,783 89	\$134 91	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	2,300	11/27/2012		12/12/2012	\$5,103 51	\$5,061 82	\$41 69	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	400	11/27/2012		12/12/2012	\$887 57	\$884 61	\$2 96	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	5,000	12/10/2012		12/12/2012	\$11,094 58	\$11,003 97	\$90 61	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	2,500	12/10/2012		12/12/2012	\$5,543 17	\$5,501 99	\$41 18	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	400	11/27/2012		12/11/2012	\$876 03	\$880 32	(\$4 29)	-
					Wash Sale	\$0 00	(\$4 29)	\$4 29	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	400	11/27/2012		12/11/2012	\$883 98	\$880 32	\$3 66	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	200	11/27/2012		12/11/2012	\$441 99	\$440 16	\$1 83	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	200	11/27/2012		12/11/2012	\$441 99	\$440 16	\$1 83	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	100	11/27/2012		12/11/2012	\$221 02	\$220 08	\$0 94	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	400	11/27/2012		12/11/2012	\$885 98	\$880 32	\$5 66	-
HLYS(42279M107)	HEELYS INCCOM *CASH PAYMENT AT \$2 25 PER SHARE*	600	11/27/2012		12/11/2012	\$1,325 97	\$1,320 48	\$5 49	-
INXB(4772R127)	INFINITY CROSS BORDER ACQUISITION CORP UNIT 1 SHS & 1 WT	500	9/14/2012		10/31/2012	\$4,108 77	\$4,072 95	\$35 82	-
INXB(4772R127)	INFINITY CROSS BORDER ACQUISITION CORP UNIT 1 SHS & 1 WT	2,000	9/14/2012		10/31/2012	\$16,435 09	\$16,259 80	\$175 29	-
INXB(4772R127)	INFINITY CROSS BORDER ACQUISITION CORP UNIT 1 SHS & 1 WT	1,000	9/20/2012		10/31/2012	\$8,217 54	\$8,137 95	\$79 59	-
IRIG(45825L101)	INTEGRATED DRILLING EQUIP HLDGSCOF	5,000	9/19/2012		10/24/2012	\$50,690 91	\$50,407 95	\$282 96	-
JNJ(478160104)	JOHNSON & JOHNSON	100	3/15/2011		7/27/2012	\$6,894 39	\$5,808 59	-	\$1,085 80
JNJ(478160104)	JOHNSON & JOHNSON	400	3/15/2011		7/27/2012	\$27,607 38	\$23,234 36	-	\$4,373 02
KO(191216100)	COCA COLA CO	500	11/22/2011		7/30/2012	\$40,321 14	\$32,979 95	\$7,341 19	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
KO(191216100)	COCA COLA CO	100	11/22/2011	7/27/2012	\$7,929.47	\$6,603.80	\$1,325.67	-
KO(191216100)	COCA COLA CO	100	11/22/2011	7/27/2012	\$7,936.82	\$6,595.70	\$1,341.12	-
KO(191216100)	COCA COLA CO	300	11/22/2011	7/27/2012	\$23,810.46	\$19,787.97	\$4,022.49	-
KO(191216100)	COCA COLA CO	100	8/10/2011	4/27/2012	\$7,669.32	\$6,466.13	\$1,203.19	-
KO(191216100)	COCA COLA CO	400	8/10/2011	4/27/2012	\$30,668.56	\$25,864.50	\$4,804.06	-
KO(191216100)	COCA COLA CO	300	8/10/2011	4/2/2012	\$22,227.76	\$19,394.79	\$2,832.97	-
KO(191216100)	COCA COLA CO	200	8/10/2011	4/2/2012	\$14,818.50	\$12,932.25	\$1,886.25	-
LPRIQ(54222A106)	LONEPINERESOURCES INCCO M							
	USD0 01	100	11/29/2012	12/18/2012	\$118.69	\$97.84	\$20.85	-
LPRIQ(54222A106)	LONEPINERESOURCES INCCO M							
	USD0 01	2,400	11/29/2012	12/18/2012	\$2,848.54	\$2,351.81	\$496.73	-
LXK(529771107)	LEXM ARK INTL INC NEW	500	8/1/2012	9/19/2012	\$11,379.94	\$8,792.95	\$2,586.99	-
LXK(529771107)	LEXM ARK INTL INC NEW	500	7/27/2012	8/28/2012	\$9,027.45	\$8,688.97	\$338.48	-
LXK(529771107)	LEXM ARK INTL INC NEW	1,000	7/27/2012	8/28/2012	\$18,054.90	\$17,427.95	\$626.95	-
LXK(529771107)	LEXM ARK INTL INC NEW	500	7/30/2012	8/28/2012	\$9,027.45	\$8,722.95	\$304.50	-
LXK(529771107)	LEXM ARK INTL INC NEW	200	7/31/2012	8/28/2012	\$3,966.76	\$3,489.75	\$477.01	-
LXK(529771107)	LEXM ARK INTL INC NEW	800	7/31/2012	8/28/2012	\$15,867.05	\$13,928.00	\$1,939.05	-
LXK(529771107)	LEXM ARK INTL INC NEW	1,000	7/31/2012	8/28/2012	\$19,833.81	\$17,527.95	\$2,305.86	-
LXK(529771107)	LEXM ARK INTL INC NEW	200	7/27/2012	8/17/2012	\$4,073.95	\$3,475.59	\$598.36	-
LXK(529771107)	LEXM ARK INTL INC NEW	300	7/27/2012	8/17/2012	\$6,123.16	\$5,213.39	\$909.77	-
MERPRF(59021K205)	MERRILL LYNCH CAPITAL TRUST 7 28%							
	TOPRS	500	9/26/2012	10/3/2012	\$12,566.76	\$12,553.03	\$13.73	-
MERPRF(59021K205)	MERRILL LYNCH CAPITAL TRUST 7 28%							
	TOPRS	1,500	9/26/2012	10/3/2012	\$37,718.19	\$37,656.91	\$61.28	-
MERPRF(59021K205)	MERRILL LYNCH CAPITAL TRUST 7 28%							
	TOPRS	500	9/26/2012	10/3/2012	\$12,572.73	\$12,546.28	\$26.45	-
MERPRF(59021K205)	MERRILL LYNCH CAPITAL TRUST 7 28%							
	TOPRS	2,000	9/26/2012	10/3/2012	\$50,310.92	\$50,185.14	\$125.78	-
MERPRF(59021K205)	MERRILL LYNCH CAPITAL TRUST 7 28%							
	TOPRS	100	9/26/2012	10/2/2012	\$2,516.04	\$2,510.61	\$5.43	-
MERPRF(59021K205)	MERRILL LYNCH CAPITAL TRUST 7 28%							
	TOPRS	400	9/26/2012	10/2/2012	\$10,055.82	\$10,042.42	\$13.40	-
MERPRF(59021K205)	MERRILL LYNCH CAPITAL TRUST 7 28%							
	TOPRS	200	9/26/2012	10/1/2012	\$5,029.25	\$5,017.88	\$11.37	-
MERPRF(59021K205)	MERRILL LYNCH CAPITAL TRUST 7 28%							
	TOPRS	500	9/26/2012	10/1/2012	\$12,573.13	\$12,557.57	\$15.56	-
MERPRF(59021K205)	MERRILL LYNCH CAPITAL TRUST 7 28%							
MERPRF(59021K205)	TOPRS	625	9/26/2012	10/1/2012	\$15,716.41	\$15,676.36	\$40.05	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
MERPRF(59021K205)	MERRILL LYNCH CAPITAL TRUST 7 28% TOPRS	1,000	9/26/2012	10/1/2012	\$25,146 26	\$25,097 31	\$48 95 -	
MERPRF(59021K205)	MERRILL LYNCH CAPITAL TRUST 7 28% TOPRS	175	9/26/2012	10/1/2012	\$4,400 59	\$4,393 56	\$7 03 -	
M NGL(G11962100)	BLUE WOLF MONGOLIA CO M NPV * LIQUIDATION AT \$9 96069099 PER SHARE*	3,200	12/4/2012	12/11/2012	\$31,484 75	\$31,495 95	(\$11 20) -	
M NGL(G11962100)	BLUE WOLF MONGOLIA CO M NPV * LIQUIDATION AT \$9 96069099 PER SHARE*	1,800	12/4/2012	12/11/2012	\$17,710 17	\$17,719 95	(\$9 78) -	
M NGL(G11962100)	BLUE WOLF MONGOLIA CO M NPV * LIQUIDATION AT \$9 96069099 PER SHARE*	5,000	12/5/2012	12/11/2012	\$49,194 92	\$49,207 95	(\$13 03) -	
MRK(58933Y105)	M ERCK & CO INC NEW CO M	500	8/11/2011	7/27/2012	\$22,521 54	\$14,942 95	\$7,578 59 -	
MRK(58933Y105)	M ERCK & CO INC NEW CO M	200	1/19/2011	6/19/2012	\$7,806 66	\$6,818 98 -		\$987 68
MRK(58933Y105)	M ERCK & CO INC NEW CO M	300	1/19/2011	6/19/2012	\$11,710 00	\$10,132 95 -		\$1,577 05
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	1,298	10/22/2012	12/20/2012	\$32,804 76	\$33,359 01	(\$554 25) -	
				Wash Sale	\$0 00	(\$554 25)	\$554 25 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/20/2012	\$50 55	\$58 48	(\$7 93) -	
				Wash Sale	\$0 00	(\$7 93)	\$7 93 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	198	10/22/2012	12/20/2012	\$4,997 45	\$5,088 66	(\$91 21) -	
				Wash Sale	\$0 00	(\$91 21)	\$91 21 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/20/2012	\$50 48	\$58 34	(\$7 86) -	
				Wash Sale	\$0 00	(\$7 86)	\$7 86 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	632	10/22/2012	12/18/2012	\$15,949 72	\$16,242 60	(\$292 88) -	
				Wash Sale	\$0 00	(\$292 88)	\$292 88 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/18/2012	\$50 47	\$57 41	(\$6 94) -	
				Wash Sale	\$0 00	(\$6 94)	\$6 94 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	98	10/22/2012	12/17/2012	\$2,459 79	\$2,518 63	(\$58 84) -	
				Wash Sale	\$0 00	(\$58 84)	\$58 84 -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/17/2012	\$50.20	\$55.98	(\$5.78) -	
				Wash Sale	\$0.00	(\$5.78)	\$5.78 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	164	10/22/2012	12/4/2012	\$4,234.73	\$4,214.85	\$19.88 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/4/2012	\$51.64	\$56.47	(\$4.83) -	
				Wash Sale	\$0.00	(\$4.83)	\$4.83 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	998	10/22/2012	12/4/2012	\$25,809.75	\$25,648.92	\$160.83 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/4/2012	\$51.72	\$56.32	(\$4.60) -	
				Wash Sale	\$0.00	(\$4.60)	\$4.60 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	1,482	10/19/2012	12/3/2012	\$38,300.99	\$38,063.49	\$237.50 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	16	10/22/2012	12/3/2012	\$413.50	\$411.31	\$2.19 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/3/2012	\$51.69	\$58.06	(\$6.37) -	
				Wash Sale	\$0.00	(\$6.37)	\$6.37 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	498	10/22/2012	12/3/2012	\$12,880.03	\$12,801.93	\$78.10 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/3/2012	\$51.73	\$57.86	(\$6.13) -	
				Wash Sale	\$0.00	(\$6.13)	\$6.13 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	661	10/22/2012	12/3/2012	\$17,058.71	\$16,992.11	\$66.60 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/3/2012	\$51.61	\$57.51	(\$5.90) -	
				Wash Sale	\$0.00	(\$5.90)	\$5.90 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	12	10/22/2012	12/3/2012	\$310.34	\$308.48	\$1.86 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	986	10/22/2012	12/3/2012	\$25,499.41	\$25,340.51	\$158.90 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/3/2012	\$51.72	\$57.39	(\$5.67) -	
				Wash Sale	\$0.00	(\$5.67)	\$5.67 -	

Symbol(CUSIP)	Security Description	Quantity	Date	Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired				Gain /Loss	Gain /Loss
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	1,498	10/22/2012	12/3/2012	\$38,729.47	\$38,499.08	\$230.39 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/3/2012	\$51.71	\$57.05	(\$5.34) -	
				Wash Sale	\$0.00	(\$5.34)	\$5.34 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	5,006	10/22/2012	12/3/2012	\$129,494.36	\$128,655.79	\$838.57 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	12/3/2012	\$51.74	\$56.83	(\$5.09) -	
				Wash Sale	\$0.00	(\$5.09)	\$5.09 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	335	10/19/2012	11/30/2012	\$8,641.60	\$8,604.09	\$37.51 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	11/30/2012	\$51.59	\$58.27	(\$6.68) -	
				Wash Sale	\$0.00	(\$6.68)	\$6.68 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2,498	10/19/2012	11/27/2012	\$64,513.95	\$63,951.64	\$562.31 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	11/27/2012	\$51.65	\$58.76	(\$7.11) -	
				Wash Sale	\$0.00	(\$7.11)	\$7.11 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2,015	10/19/2012	11/27/2012	\$52,100.53	\$51,586.29	\$514.24 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	295	10/19/2012	11/27/2012	\$7,627.62	\$7,577.65	\$49.97 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	188	10/19/2012	11/27/2012	\$4,860.99	\$4,828.57	\$32.42 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	11/27/2012	\$51.71	\$58.49	(\$6.78) -	
				Wash Sale	\$0.00	(\$6.78)	\$6.78 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	200	9/19/2012	11/19/2012	\$5,161.88	\$5,124.00	\$37.88 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	300	9/19/2012	11/19/2012	\$7,731.87	\$7,686.00	\$45.87 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	314	9/19/2012	11/19/2012	\$8,093.06	\$8,044.68	\$48.38 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	497	9/19/2012	11/19/2012	\$12,822.31	\$12,733.14	\$89.17 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	500	9/19/2012	11/19/2012	\$12,899 71	\$12,810 00	\$89 71	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	227	9/19/2012	11/19/2012	\$5,856 50	\$5,815 74	\$40 76	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	573	9/19/2012	11/19/2012	\$14,783 16	\$14,674 99	\$108 17	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	1,000	9/19/2012	11/19/2012	\$25,791 47	\$25,610 79	\$180 68	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	1,389	9/19/2012	11/19/2012	\$35,841 33	\$35,573 39	\$267 94	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2,500	9/19/2012	11/19/2012	\$64,515 60	\$64,026 99	\$488 61	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2,500	9/19/2012	11/19/2012	\$64,515 60	\$64,026 99	\$488 61	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2,038	9/19/2012	11/19/2012	\$52,593 12	\$52,194 80	\$398 32	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	98	10/9/2012	11/19/2012	\$2,529 01	\$2,522 47	\$6 54	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	11/19/2012	\$51 61	\$59 39	(\$7 78)	-
				Wash Sale	\$0 00	(\$7 78)	\$7 78	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	362	10/10/2012	11/19/2012	\$9,341 86	\$9,281 26	\$60 60	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	538	10/10/2012	11/19/2012	\$13,884 52	\$13,793 69	\$90 83	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	1,471	10/19/2012	11/19/2012	\$37,963 06	\$37,665 55	\$297 51	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	1,500	10/19/2012	11/19/2012	\$38,711 48	\$38,467 95	\$243 53	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	989	10/19/2012	11/19/2012	\$25,523 76	\$25,360 21	\$163 55	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	11/19/2012	\$51 62	\$59 19	(\$7 57)	-
				Wash Sale	\$0 00	(\$7 57)	\$7 57	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2,511	10/19/2012	11/19/2012	\$64,803 46	\$64,387 74	\$415 72	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2,487	10/19/2012	11/19/2012	\$64,184 07	\$63,670 02	\$514 05	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	11/19/2012	\$51 62	\$58 97	(\$7 35) -	
				Wash Sale	\$0 00	(\$7 35)	\$7 35 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2,200	9/19/2012	11/1/2012	\$56,860 77	\$56,364 00	\$496 77 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	520	9/19/2012	10/23/2012	\$13,358 53	\$13,313 86	\$44 67 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	2	9/19/2012	10/23/2012	\$51 38	\$59 29	(\$7 91) -	
				Wash Sale	\$0 00	(\$7 91)	\$7 91 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	38	9/19/2012	10/23/2012	\$976 20	\$972 80	\$3 40 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	176	9/19/2012	10/23/2012	\$4,521 35	\$4,518 81	\$2 54 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	64	9/19/2012	10/23/2012	\$1,644 12	\$1,643 33	\$0 79 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	400	9/19/2012	10/23/2012	\$10,279 81	\$10,270 81	\$9 00 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	400	9/19/2012	10/23/2012	\$10,279 80	\$10,254 32	\$25 48 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	100	9/19/2012	10/23/2012	\$2,569 28	\$2,563 58	\$5 70 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	800	9/19/2012	10/23/2012	\$20,554 23	\$20,527 95	\$26 28 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	300	9/19/2012	10/23/2012	\$7,707 84	\$7,686 00	\$21 84 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	1,462	9/19/2012	10/23/2012	\$37,564 75	\$37,456 44	\$108 31 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	3,500	9/19/2012	10/23/2012	\$89,947 98	\$89,670 00	\$277 98 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	74	9/19/2012	10/18/2012	\$1,909 90	\$1,893 31	\$16 59 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	26	8/29/2012	10/18/2012	\$671 05	\$671 28	(\$0 23) -	
				Wash Sale	\$0 00	(\$0 23)	\$0 23 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	26	8/29/2012	10/18/2012	\$669 97	\$665 45	\$4 52 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	166	9/19/2012	10/18/2012	\$4,277 48	\$4,247 16	\$30 32	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	30	9/19/2012	10/18/2012	\$773 69	\$767 56	\$6 13	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	370	9/19/2012	10/18/2012	\$9,542 12	\$9,470 26	\$71 86	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	500	9/19/2012	10/18/2012	\$12,871 81	\$12,797 65	\$74 16	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	500	9/19/2012	10/18/2012	\$12,901 76	\$12,797 65	\$104 11	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	104	9/19/2012	10/18/2012	\$2,683 14	\$2,661 91	\$21 23	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	704	9/19/2012	10/18/2012	\$18,162 79	\$18,024 92	\$137 87	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	1,000	9/19/2012	10/18/2012	\$25,751 57	\$25,603 57	\$148 00	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	26	8/29/2012	10/16/2012	\$668 55	\$674 35	(\$5 80)	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	474	9/19/2012	10/16/2012	\$12,188 21	\$12,127 43	\$60 78	-
				Wash Sale	\$0 00	(\$5 80)	\$5 80	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	26	8/29/2012	10/5/2012	\$669 74	\$678 87	(\$9 13)	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	186	8/29/2012	10/5/2012	\$4,791 24	\$4,773 58	\$17 66	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	90	9/19/2012	10/5/2012	\$2,318 34	\$2,309 21	\$9 13	-
				Wash Sale	\$0 00	(\$9 13)	\$9 13	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	487	9/19/2012	10/5/2012	\$12,544 80	\$12,460 04	\$84 76	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	211	8/23/2012	10/1/2012	\$5,425 17	\$5,406 30	\$18 87	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	69	8/28/2012	10/1/2012	\$1,777 40	\$1,774 98	\$2 42	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	25	8/28/2012	10/1/2012	\$643 98	\$643 36	\$0 62	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	6	8/23/2012	10/1/2012	\$154 56	\$153 59	\$0 97	-

Symbol(CUSIP)	Security Description	Quantity	Date		Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired					Gain /Loss	Gain /Loss
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	26	8/29/2012		10/1/2012	\$669 52	\$681 28	(\$11 76) -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	174	8/29/2012		10/1/2012	\$4,480 62	\$4,479 38	\$1 24 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	63	8/29/2012		10/1/2012	\$1,622 29	\$1,622 48	(\$0 19) -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	70	8/29/2012		10/1/2012	\$1,802 55	\$1,799 28	\$3 27 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	123	8/29/2012		10/1/2012	\$3,167 33	\$3,168 34	(\$1 01) -	
					Wash Sale	\$0 00	(\$1 01)	\$1 01 -	
					Wash Sale	\$0 00	(\$0 19)	\$0 19 -	
					Wash Sale	\$0 00	(\$11 76)	\$11 76 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	151	8/28/2012		10/1/2012	\$3,888 35	\$3,885 89	\$2 46 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	293	9/19/2012		10/1/2012	\$7,544 96	\$7,517 78	\$27 18 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	100	8/23/2012		9/28/2012	\$2,577 94	\$2,601 55	(\$23 61) -	
					Wash Sale	\$0 00	(\$23 61)	\$23 61 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	307	8/28/2012		9/28/2012	\$7,911 60	\$7,935 06	(\$23 46) -	
					Wash Sale	\$0 00	(\$23 46)	\$23 46 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	38	8/28/2012		9/28/2012	\$979 29	\$975 76	\$3 53 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	78	8/28/2012		9/28/2012	\$2,010 11	\$2,006 44	\$3 67 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	147	8/28/2012		9/28/2012	\$3,788 29	\$3,784 15	\$4 14 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	75	8/23/2012		9/28/2012	\$1,932 80	\$1,936 18	(\$3 38) -	
					Wash Sale	\$0 00	(\$3 38)	\$3 38 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	74	8/23/2012		9/28/2012	\$1,907 03	\$1,920 62	(\$13 59) -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	26	8/23/2012		9/28/2012	\$670 04	\$667 79	\$2 25 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	155	8/23/2012		9/28/2012	\$3,994 46	\$4,014 03	(\$19 57) -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
				Wash Sale	\$0 00	(\$19 57)	\$19 57	-
				Wash Sale	\$0 00	(\$13 59)	\$13 59	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	228	8/28/2012	9/28/2012	\$5,875 90	\$5,864 99	\$10 91	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	23	8/28/2012	9/28/2012	\$592 74	\$591 58	\$1 16	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	19	8/28/2012	9/28/2012	\$489 66	\$488 61	\$1 05	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	137	8/28/2012	9/28/2012	\$3,530 68	\$3,521 88	\$8 80	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	364	8/28/2012	9/28/2012	\$9,380 82	\$9,367 04	\$13 78	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	12	8/23/2012	9/28/2012	\$309 26	\$308 54	\$0 72	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	217	8/23/2012	9/28/2012	\$5,592 41	\$5,600 29	(\$7 88)	-
				Wash Sale	\$0 00	(\$7 88)	\$7 88	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	670	8/28/2012	9/27/2012	\$17,260 18	\$17,317 57	(\$57 39)	-
				Wash Sale	\$0 00	(\$57 39)	\$57 39	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	155	8/23/2012	9/27/2012	\$3,993 03	\$4,031 63	(\$38 60)	-
				Wash Sale	\$0 00	(\$38 60)	\$38 60	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	175	8/23/2012	9/27/2012	\$4,508 26	\$4,559 35	(\$51 09)	-
				Wash Sale	\$0 00	(\$51 09)	\$51 09	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	12	8/23/2012	9/25/2012	\$308 63	\$317 56	(\$8 93)	-
				Wash Sale	\$0 00	(\$8 93)	\$8 93	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	101	8/23/2012	9/25/2012	\$2,597 62	\$2,658 93	(\$61 31)	-
				Wash Sale	\$0 00	(\$61 31)	\$61 31	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	155	8/23/2012	9/25/2012	\$3,997 37	\$4,080 54	(\$83 17)	-
				Wash Sale	\$0 00	(\$83 17)	\$83 17	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	57	8/28/2012	9/25/2012	\$1,470 01	\$1,474 48	(\$4 47)	-
				Wash Sale	\$0 00	(\$4 47)	\$4 47	-

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	62	8/23/2012	9/25/2012	\$1,598 95	\$1,643 11	(\$44 16) -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	113	8/23/2012	9/25/2012	\$2,914 21	\$2,963 00	(\$48 79) -	
				Wash Sale	\$0 00	(\$44 16)	\$44 16 -	
				Wash Sale	\$0 00	(\$48 79)	\$48 79 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	147	8/28/2012	9/25/2012	\$3,787 25	\$3,802 62	(\$15 37) -	
				Wash Sale	\$0 00	(\$15 37)	\$15 37 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	23	8/28/2012	9/25/2012	\$592 57	\$594 48	(\$1 91) -	
				Wash Sale	\$0 00	(\$1 91)	\$1 91 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	155	8/23/2012	9/25/2012	\$3,993 36	\$4,051 11	(\$57 75) -	
				Wash Sale	\$0 00	(\$57 75)	\$57 75 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	175	8/23/2012	9/25/2012	\$4,508 63	\$4,579 59	(\$70 96) -	
				Wash Sale	\$0 00	(\$70 96)	\$70 96 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	62	8/23/2012	9/19/2012	\$1,584 79	\$1,640 72	(\$55 93) -	
				Wash Sale	\$0 00	(\$55 93)	\$55 93 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	100	8/23/2012	9/13/2012	\$2,576 99	\$2,600 36	(\$23 37) -	
				Wash Sale	\$0 00	(\$23 37)	\$23 37 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	1,583	8/23/2012	9/13/2012	\$40,911 68	\$41,163 63	(\$251 95) -	
				Wash Sale	\$0 00	(\$251 95)	\$251 95 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	70	8/23/2012	9/13/2012	\$1,812 95	\$1,820 25	(\$7 30) -	
				Wash Sale	\$0 00	(\$7 30)	\$7 30 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	63	8/23/2012	9/13/2012	\$1,628 52	\$1,638 22	(\$9 70) -	
				Wash Sale	\$0 00	(\$9 70)	\$9 70 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	174	8/23/2012	9/13/2012	\$4,499 58	\$4,524 62	(\$25 04) -	
				Wash Sale	\$0 00	(\$25 04)	\$25 04 -	
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	26	8/23/2012	9/13/2012	\$672 35	\$688 04	(\$15 69) -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
				Wash Sale	\$0 00	(\$15 69)	\$15 69	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	11	8/22/2012	9/11/2012	\$288 72	\$285 40	\$3 32	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	500	8/22/2012	9/11/2012	\$13,123 62	\$12,972 95	\$150 67	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	143	8/22/2012	9/11/2012	\$3,753 36	\$3,709 34	\$44 02	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	100	8/22/2012	9/11/2012	\$2,616 99	\$2,594 59	\$22 40	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	100	8/22/2012	9/11/2012	\$2,624 94	\$2,594 59	\$30 35	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	1	8/22/2012	9/11/2012	\$26 25	\$25 95	\$0 30	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	10	8/22/2012	9/11/2012	\$262 49	\$259 46	\$3 03	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	89	8/22/2012	9/11/2012	\$2,336 20	\$2,309 19	\$27 01	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	100	8/22/2012	9/11/2012	\$2,624 94	\$2,594 59	\$30 35	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	300	8/22/2012	9/11/2012	\$7,874 82	\$7,783 77	\$91 05	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	92	8/22/2012	9/11/2012	\$2,414 94	\$2,383 72	\$31 22	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	8	8/22/2012	9/11/2012	\$210 00	\$207 52	\$2 48	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	211	9/6/2012	9/11/2012	\$5,538 62	\$5,521 22	\$17 40	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	257	8/22/2012	9/11/2012	\$6,744 06	\$6,666 45	\$77 61	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	500	8/23/2012	9/11/2012	\$13,120 73	\$12,977 95	\$142 78	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	243	8/23/2012	9/11/2012	\$6,376 67	\$6,318 87	\$57 80	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	300	8/21/2012	9/10/2012	\$7,873 22	\$7,786 95	\$86 27	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	500	8/21/2012	9/10/2012	\$13,122 04	\$12,972 95	\$149 09	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	200	8/21/2012	9/10/2012	\$5,248 81	\$5,193 95	\$54 86	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	201	8/22/2012	9/10/2012	\$5,275 06	\$5,213 85	\$61 21	-
MTBPRA(55292C203)	M & T CAP TR IV GTD ENHANCED TR PFD SECS8 50% 01/31/2068	288	8/22/2012	9/10/2012	\$7,558 29	\$7,472 43	\$85 86	-
NM AR(Y6255E101)	NAUTILUS MARINE ACQUISITION CORP COMCASH PAYMENT OF \$10 25 PER SHARE	2,500	12/7 /2012	12/11/2012	\$25,096 79	\$25,057 95	\$38 84	-
NM AR(Y6255E101)	NAUTILUS MARINE ACQUISITION CORP COMCASH PAYMENT OF \$10 25 PER SHARE	2,500	12/7 /2012	12/11/2012	\$25,096 79	\$25,057 95	\$38 84	-
NM AR(Y6255E101)	NAUTILUS MARINE ACQUISITION CORP COMCASH PAYMENT OF \$10 25 PER SHARE	2,500	12/10/2012	12/11/2012	\$25,096 78	\$25,107 95	(\$11 17)	-
NXY(65334H102)	NEXEN INCCOM	300	12/10/2012	12/31/2012	\$8,080 46	\$8,037 95	\$42 51	-
NXY(65334H102)	NEXEN INCCOM	2,200	12/10/2012	12/31/2012	\$59,310 89	\$58,945 00	\$365 89	-
OSK(688239201)	OSHKOSH CORP	500	1/14/2011	10/31/2012	\$14,976 71	\$18,914 95	-	(\$3,938 24)
OSK(688239201)	OSHKOSH CORP	500	6/2/2011	10/11/2012	\$14,971 76	\$13,488 98	-	\$1,482 78
OSK(688239201)	OSHKOSH CORP	500	6/2/2011	10/11/2012	\$15,201 75	\$13,488 97	-	\$1,712 78
PHYS(85207H104)	SPROTT PHYSICAL GOLDTRUST UNITS ISIN #CA85207H1047 SEDOL #B619Z24	200	9/6/2012	9/26/2012	\$2,972 98	\$3,019 06	(\$46 08)	-
				Wash Sale	\$0 00	(\$46 08)	\$46 08	-
PHYS(85207H104)	SPROTT PHYSICAL GOLDTRUST UNITS	1,300	9/6/2012	9/26/2012	\$19,369 57	\$19,623 89	(\$254 32)	-
PHYS(85207H104)	SPROTT PHYSICAL GOLDTRUST UNITS	200	9/6/2012	9/26/2012	\$2,979 93	\$3,022 88	(\$42 95)	-
PHYS(85207H104)	SPROTT PHYSICAL GOLDTRUST UNITS	1,800	9/7 /2012	9/26/2012	\$26,819 40	\$26,791 15	\$28 25	-
PHYS(85207H104)	SPROTT PHYSICAL GOLDTRUST UNITS	1,500	9/7 /2012	9/26/2012	\$22,349 50	\$22,342 95	\$6 55	-
PHYS(85207H104)	SPROTT PHYSICAL GOLDTRUST UNITS	2,500	9/12/2012	9/26/2012	\$37,249 17	\$37,082 95	\$166 22	-
PHYS(85207H104)	SPROTT PHYSICAL GOLDTRUST UNITS	2,500	9/13/2012	9/26/2012	\$37,249 17	\$37,857 70	(\$608 53)	-
PHYS(85207H104)	SPROTT PHYSICAL GOLDTRUST UNITS	2,500	9/25/2012	9/26/2012	\$37,249 15	\$37,607 70	(\$358 55)	-
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	200	10/11/2012	10/25/2012	\$5,209 88	\$5,251 42	(\$41 54)	-
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	200	10/11/2012	10/25/2012	\$5,202 13	\$5,251 42	(\$49 29)	-
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	300	10/11/2012	10/25/2012	\$7,793 82	\$7,874 16	(\$80 34)	-

Symbol(CUSIP)	Security Description	Quantity	Date		Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired					Gain /Loss	Gain /Loss
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	300	10/12/2012		10/25/2012	\$7,810 05	\$7,860 00	(\$49 95) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	100	10/11/2012		10/25/2012	\$2,603 35	\$2,624 22	(\$20 87) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	100	10/11/2012		10/25/2012	\$2,603 35	\$2,620 63	(\$17 28) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	600	10/12/2012		10/25/2012	\$15,597 70	\$15,720 00	(\$122 30) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	800	10/12/2012		10/25/2012	\$20,839 53	\$20,960 00	(\$120 47) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	800	10/12/2012		10/25/2012	\$20,832 38	\$20,960 00	(\$127 62) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	1,120	10/12/2012		10/25/2012	\$29,119 34	\$29,344 00	(\$224 66) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	1,400	10/12/2012		10/25/2012	\$36,336 63	\$36,680 00	(\$343 37) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	1,880	10/12/2012		10/25/2012	\$48,803 70	\$49,256 00	(\$452 30) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	29	10/11/2012		10/23/2012	\$750 96	\$762 15	(\$11 19) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	171	10/11/2012		10/23/2012	\$4,446 50	\$4,494 05	(\$47 55) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	1,500	10/11/2012		10/22/2012	\$39,246 17	\$39,077 34	\$168 83 -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	200	10/11/2012		10/22/2012	\$5,232 82	\$5,249 02	(\$16 20) -	
					Wash Sale	\$0 00	(\$16 20)	\$16 20 -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	300	10/11/2012		10/22/2012	\$7,849 23	\$7,861 90	(\$12 67) -	
					Wash Sale	\$0 00	(\$12 67)	\$12 67 -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	500	10/11/2012		10/22/2012	\$13,082 06	\$13,110 61	(\$28 55) -	
					Wash Sale	\$0 00	(\$28 55)	\$28 55 -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	500	10/11/2012		10/22/2012	\$13,082 06	\$13,103 16	(\$21 10) -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	1,000	10/11/2012		10/19/2012	\$26,161 46	\$26,051 56	\$109 90 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired					Gain /Loss	Gain /Loss
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	100	10/11/2012		10/18/2012	\$2,604.94	\$2,605.16	(\$0.22) -	
					Wash Sale	\$0.00	(\$0.22)	\$0.22 -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	300	10/11/2012		10/18/2012	\$7,833.44	\$7,806.60	\$26.84 -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	100	10/11/2012		10/18/2012	\$2,611.15	\$2,601.79	\$9.36 -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	600	10/11/2012		10/18/2012	\$15,666.87	\$15,630.93	\$35.94 -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	1,900	10/11/2012		10/18/2012	\$49,485.94	\$49,497.96	(\$12.02) -	
					Wash Sale	\$0.00	(\$12.02)	\$12.02 -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	1,000	10/11/2012		10/15/2012	\$26,241.46	\$26,015.68	\$225.78 -	
PVTBP(74272L208)	PRIVATEBANCORP CAP TR IV GTD TR PRD SECS	1,500	10/11/2012		10/15/2012	\$39,291.16	\$38,962.95	\$328.21 -	
RDS A(780259206)	ROYAL DUTCH SHELL ADR EA REP 2 CL A EUR0.07	200	11/16/2012		12/12/2012	\$13,714.74	\$12,873.18	\$841.56 -	
RDS A(780259206)	ROYAL DUTCH SHELL ADR EA REP 2 CL A EUR0.07	300	11/16/2012		12/12/2012	\$20,582.56	\$19,309.77	\$1,272.79 -	
RDS A(780259206)	ROYAL DUTCH SHELL ADR EA REP 2 CL A EUR0.07	100	11/12/2012		12/10/2012	\$6,758.27	\$6,751.26	\$7.01 -	
RDS A(780259206)	ROYAL DUTCH SHELL ADR EA REP 2 CL A EUR0.07	400	11/12/2012		12/10/2012	\$27,033.07	\$26,969.25	\$63.82 -	
RDS A(780259206)	ROYAL DUTCH SHELL ADR EA REP 2 CL A EUR0.07	500	11/12/2012		12/6/2012	\$33,711.34	\$34,027.90	(\$316.56) -	
					Wash Sale	\$0.00	(\$316.56)	\$316.56 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8.8750% 06/15/2078	188	11/24/2012		12/5/2012	\$4,792.12	\$4,793.21	(\$1.09) -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8.8750% 06/15/2078	162	11/24/2012		12/5/2012	\$4,129.38	\$4,128.12	\$1.26 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8.8750% 06/15/2078	1,100	11/24/2012		12/5/2012	\$28,039.00	\$28,018.82	\$20.18 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8.8750% 06/15/2078	1,510	11/22/2012		12/4/2012	\$37,750.00	\$38,450.13	(\$700.13) -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8.8750% 06/15/2078	2,061	11/23/2012		12/4/2012	\$51,525.00	\$52,475.84	(\$950.84) -	

Symbol(CUSIP)	Security Description	Quantity	Date	Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired				Gain /Loss	Gain /Loss
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	2,500	9/6/2012	12/3/2012	\$62,500 00	\$65,783 96	(\$3,283 96) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	2,500	9/6/2012	12/3/2012	\$62,500 00	\$65,231 76	(\$2,731 76) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	2,000	9/26/2012	12/3/2012	\$50,000 00	\$51,778 79	(\$1,778 79) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	3,000	9/26/2012	12/3/2012	\$75,000 00	\$77,660 13	(\$2,660 13) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	200	10/10/2012	12/3/2012	\$5,000 00	\$5,168 74	(\$168 74) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	300	10/10/2012	12/3/2012	\$7,500 00	\$7,741 07	(\$241 07) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	2,200	10/24/2012	12/3/2012	\$55,000 00	\$56,608 29	(\$1,608 29) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	2,300	10/24/2012	12/3/2012	\$57,500 00	\$59,077 52	(\$1,577 52) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	3,500	11/20/2012	12/3/2012	\$87,500 00	\$89,132 26	(\$1,632 26) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	14	11/21/2012	12/3/2012	\$350 00	\$364 48	(\$14 48) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	2,178	9/6/2012	11/1/2012	\$55,536 60	\$56,815 41	(\$1,278 81) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	6,549	9/26/2012	11/1/2012	\$166,992 29	\$169,163 82	(\$2,171 53) -	
				Wash Sale	\$0 00	(\$2,171 53)	\$2,171 53 -	
				Wash Sale	\$0 00	(\$1,278 81)	\$1,278 81 -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	253	9/6/2012	11/1/2012	\$6,451 23	\$6,697 11	(\$245 88) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	244	9/6/2012	11/1/2012	\$6,221 73	\$6,494 76	(\$273 03) -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	276	9/6/2012	11/1/2012	\$7,037 70	\$7,187 08	(\$149 38) -	
				Wash Sale	\$0 00	(\$149 38)	\$149 38 -	
				Wash Sale	\$0 00	(\$273 03)	\$273 03 -	
				Wash Sale	\$0 00	(\$245 88)	\$245 88 -	
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	851	9/6/2012	11/1/2012	\$21,699 57	\$22,024 33	(\$324 76) -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	322	9/6/2012	11/1/2012	\$8,210 65	\$8,409 00	(\$198 35) -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	227	9/6/2012	11/1/2012	\$5,788 25	\$5,938 71	(\$150 46) -	
				Wash Sale	\$0 00	(\$150 46)	\$150 46 -	
				Wash Sale	\$0 00	(\$198 35)	\$198 35 -	
				Wash Sale	\$0 00	(\$324 76)	\$324 76 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	851	10/10/2012	11/1/2012	\$21,699 56	\$21,815 63	(\$116 07) -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	322	9/6/2012	11/1/2012	\$8,210 65	\$8,317 87	(\$107 22) -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	327	9/6/2012	11/1/2012	\$8,338 14	\$8,445 47	(\$107 33) -	
				Wash Sale	\$0 00	(\$107 33)	\$107 33 -	
				Wash Sale	\$0 00	(\$107 22)	\$107 22 -	
				Wash Sale	\$0 00	(\$116 07)	\$116 07 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	2,600	10/10/2012	11/1/2012	\$66,297 11	\$66,664 00	(\$366 89) -	
				Wash Sale	\$0 00	(\$366 89)	\$366 89 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,000	9/6/2012	11/1/2012	\$25,499 11	\$26,321 29	(\$822 18) -	
				Wash Sale	\$0 00	(\$822 18)	\$822 18 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	945	9/6/2012	11/1/2012	\$24,096 66	\$24,717 58	(\$620 92) -	
				Wash Sale	\$0 00	(\$620 92)	\$620 92 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	555	9/6/2012	11/1/2012	\$14,152 00	\$14,684 91	(\$532 91) -	
				Wash Sale	\$0 00	(\$532 91)	\$532 91 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,000	9/6/2012	11/1/2012	\$25,499 11	\$26,276 17	(\$777 06) -	
				Wash Sale	\$0 00	(\$777 06)	\$777 06 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,500	9/6/2012	11/1/2012	\$38,248 67	\$38,945 42	(\$696 75) -	
				Wash Sale	\$0 00	(\$696 75)	\$696 75 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,000	9/26/2012	11/1/2012	\$25,499 11	\$25,849 53	(\$350 42) -	
				Wash Sale	\$0 00	(\$350 42)	\$350 42 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired					Gain /Loss	Gain /Loss
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,000	9/26/2012		11/1/2012	\$25,499 11	\$25,859 53	(\$360 42) -	
					Wash Sale	\$0 00	(\$360 42)	\$360 42 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	5,000	10/10/2012		11/1/2012	\$127,495 56	\$129,177 83	(\$1,682 27) -	
					Wash Sale	\$0 00	(\$1,682 27)	\$1,682 27 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,000	10/10/2012		11/1/2012	\$25,499 11	\$25,737 18	(\$238 07) -	
					Wash Sale	\$0 00	(\$238 07)	\$238 07 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	2,000	10/10/2012		11/1/2012	\$50,998 22	\$51,470 17	(\$471 95) -	
					Wash Sale	\$0 00	(\$471 95)	\$471 95 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,000	10/25/2012		11/1/2012	\$25,499 11	\$25,507 95	(\$8 84) -	
					Wash Sale	\$0 00	(\$8 84)	\$8 84 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,500	10/25/2012		11/1/2012	\$38,248 67	\$38,272 95	(\$24 28) -	
					Wash Sale	\$0 00	(\$24 28)	\$24 28 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,500	10/25/2012		11/1/2012	\$38,248 67	\$38,272 95	(\$24 28) -	
					Wash Sale	\$0 00	(\$24 28)	\$24 28 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	2,080	10/25/2012		11/1/2012	\$53,038 15	\$53,047 95	(\$9 80) -	
					Wash Sale	\$0 00	(\$9 80)	\$9 80 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	247	10/26/2012		11/1/2012	\$6,298 28	\$6,301 51	(\$3 23) -	
					Wash Sale	\$0 00	(\$3 23)	\$3 23 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	920	10/26/2012		11/1/2012	\$23,459 18	\$23,467 95	(\$8 77) -	
					Wash Sale	\$0 00	(\$8 77)	\$8 77 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	653	10/26/2012		11/1/2012	\$16,650 92	\$16,665 98	(\$15 06) -	
					Wash Sale	\$0 00	(\$15 06)	\$15 06 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,100	10/26/2012		11/1/2012	\$28,049 02	\$28,057 95	(\$8 93) -	
					Wash Sale	\$0 00	(\$5 15)	\$5 15 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,000	10/31/2012		11/1/2012	\$25,499 11	\$25,527 95	(\$28 84) -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	480	9/6/2012	10/22/2012	\$12,399 77	\$12,717 52	(\$317 75) -	
				Wash Sale	\$0 00	(\$317 75)	\$317 75 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	322	9/6/2012	10/22/2012	\$8,321 80	\$8,472 92	(\$151 12) -	
				Wash Sale	\$0 00	(\$151 12)	\$151 12 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	951	9/6/2012	10/22/2012	\$24,577 76	\$24,805 55	(\$227 79) -	
				Wash Sale	\$0 00	(\$227 79)	\$227 79 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	116	9/6/2012	10/22/2012	\$2,997 92	\$3,036 49	(\$38 57) -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	36	9/6/2012	10/22/2012	\$930 39	\$940 57	(\$10 18) -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	75	9/6/2012	10/22/2012	\$1,938 31	\$1,964 06	(\$25 75) -	
				Wash Sale	\$0 00	(\$25 75)	\$25 75 -	
				Wash Sale	\$0 00	(\$10 18)	\$10 18 -	
				Wash Sale	\$0 00	(\$38 57)	\$38 57 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	1,178	9/6/2012	10/22/2012	\$30,467 94	\$30,730 61	(\$262 67) -	
				Wash Sale	\$0 00	(\$262 67)	\$262 67 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	322	9/6/2012	10/22/2012	\$8,328 24	\$8,412 70	(\$84 46) -	
				Wash Sale	\$0 00	(\$84 46)	\$84 46 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	322	9/6/2012	10/22/2012	\$8,338 58	\$8,401 88	(\$63 30) -	
				Wash Sale	\$0 00	(\$63 30)	\$63 30 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	309	9/6/2012	10/22/2012	\$8,001 94	\$8,065 48	(\$63 54) -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	142	9/6/2012	10/22/2012	\$3,677 27	\$3,708 72	(\$31 45) -	
				Wash Sale	\$0 00	(\$31 45)	\$31 45 -	
				Wash Sale	\$0 00	(\$63 54)	\$63 54 -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	500	9/6/2012	10/22/2012	\$12,948 12	\$13,063 88	(\$115 76) -	
RF PRZCL(7591EM107)	REGIONSFING TR III TR PFD SECS 8 8750% 06/15/2078	500	9/6/2012	10/22/2012	\$12,948 11	\$13,049 62	(\$101 51) -	
				Wash Sale	\$0 00	(\$101 51)	\$101 51 -	

Symbol(CUSIP)	Security Description	Quantity	Date Acquired	Date Sold	Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
				Wash Sale	\$0 00	(\$115 76)	\$115 76	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	32	9/6/2012	10/22/2012	\$828 68	\$832 46	(\$3 78)	-
				Wash Sale	\$0 00	(\$3 78)	\$3 78	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	273	9/6/2012	10/22/2012	\$7,069 67	\$7,108 67	(\$39 00)	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	195	9/6/2012	10/22/2012	\$5,049 77	\$5,076 11	(\$26 34)	-
				Wash Sale	\$0 00	(\$26 34)	\$26 34	-
				Wash Sale	\$0 00	(\$39 00)	\$39 00	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	227	9/6/2012	10/22/2012	\$5,878 45	\$6,053 68	(\$175 23)	-
				Wash Sale	\$0 00	(\$175 23)	\$175 23	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	227	9/6/2012	10/19/2012	\$5,867 81	\$5,948 27	(\$80 46)	-
				Wash Sale	\$0 00	(\$80 46)	\$80 46	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	549	9/6/2012	10/19/2012	\$14,187 05	\$14,385 90	(\$198 85)	-
				Wash Sale	\$0 00	(\$198 85)	\$198 85	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	374	9/6/2012	10/19/2012	\$9,664 77	\$10,022 52	(\$357 75)	-
				Wash Sale	\$0 00	(\$357 75)	\$357 75	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	97	9/6/2012	10/19/2012	\$2,506 63	\$2,594 85	(\$88 22)	-
				Wash Sale	\$0 00	(\$88 22)	\$88 22	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	471	9/6/2012	10/19/2012	\$12,158 01	\$12,612 13	(\$454 12)	-
				Wash Sale	\$0 00	(\$454 12)	\$454 12	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	753	9/6/2012	10/19/2012	\$19,437 32	\$19,897 71	(\$460 39)	-
				Wash Sale	\$0 00	(\$460 39)	\$460 39	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	49	9/6/2012	10/19/2012	\$1,264 84	\$1,278 85	(\$14 01)	-
				Wash Sale	\$0 00	(\$14 01)	\$14 01	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	116	9/6/2012	10/18/2012	\$2,990 41	\$3,051 74	(\$61 33)	-
				Wash Sale	\$0 00	(\$61 33)	\$61 33	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	309	9/6/2012	10/18/2012	\$7,962.53	\$8,102.79	(\$140.26)	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	75	9/6/2012	10/18/2012	\$1,932.65	\$1,973.11	(\$40.46)	-
				Wash Sale	\$0.00	(\$40.46)	\$40.46	-
				Wash Sale	\$0.00	(\$140.26)	\$140.26	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	500	9/6/2012	10/18/2012	\$12,871.81	\$13,109.83	(\$238.02)	-
				Wash Sale	\$0.00	(\$238.02)	\$238.02	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	500	9/6/2012	10/18/2012	\$12,871.81	\$13,106.71	(\$234.90)	-
				Wash Sale	\$0.00	(\$234.90)	\$234.90	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	448	9/6/2012	10/18/2012	\$11,542.06	\$11,739.31	(\$197.25)	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	52	9/6/2012	10/18/2012	\$1,339.70	\$1,363.10	(\$23.40)	-
				Wash Sale	\$0.00	(\$23.40)	\$23.40	-
				Wash Sale	\$0.00	(\$197.25)	\$197.25	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	585	9/6/2012	10/17/2012	\$15,090.56	\$15,372.09	(\$281.53)	-
				Wash Sale	\$0.00	(\$281.53)	\$281.53	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	191	9/6/2012	10/17/2012	\$4,927.69	\$5,018.92	(\$91.23)	-
				Wash Sale	\$0.00	(\$91.23)	\$91.23	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	585	9/6/2012	10/17/2012	\$15,092.66	\$15,392.36	(\$299.70)	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	139	9/6/2012	10/17/2012	\$3,586.12	\$3,644.95	(\$58.83)	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	400	9/6/2012	10/17/2012	\$10,319.77	\$10,494.88	(\$175.11)	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	1,100	9/6/2012	10/17/2012	\$28,379.36	\$29,196.17	(\$816.81)	-
				Wash Sale	\$0.00	(\$816.81)	\$816.81	-
				Wash Sale	\$0.00	(\$175.11)	\$175.11	-
				Wash Sale	\$0.00	(\$58.83)	\$58.83	-
				Wash Sale	\$0.00	(\$299.70)	\$299.70	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	400	9/6/2012	10/5/2012	\$10,351.81	\$10,514.50	(\$162.69)	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
				Wash Sale	\$0 00	(\$162 69)	\$162 69	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	1,224	9/6/2012	10/5/2012	\$31,717 41	\$32,174 38	(\$456 97)	-
				Wash Sale	\$0 00	(\$456 97)	\$456 97	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	786	9/6/2012	10/5/2012	\$20,352 77	\$20,661 00	(\$308 23)	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	714	9/6/2012	10/5/2012	\$18,488 40	\$18,761 83	(\$273 43)	-
				Wash Sale	\$0 00	(\$273 43)	\$273 43	-
				Wash Sale	\$0 00	(\$308 23)	\$308 23	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	90	9/6/2012	10/3/2012	\$2,317 60	\$2,370 74	(\$53 14)	-
				Wash Sale	\$0 00	(\$53 14)	\$53 14	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	2,500	9/6/2012	10/3/2012	\$64,640 85	\$65,853 98	(\$1,213 13)	-
				Wash Sale	\$0 00	(\$1,213 13)	\$1,213 13	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	2,410	9/6/2012	10/3/2012	\$62,291 64	\$63,483 23	(\$1,191 59)	-
				Wash Sale	\$0 00	(\$1,191 59)	\$1,191 59	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	90	9/6/2012	10/3/2012	\$2,326 24	\$2,416 76	(\$90 52)	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	548	9/6/2012	10/3/2012	\$14,164 24	\$14,657 75	(\$493 51)	-
				Wash Sale	\$0 00	(\$493 51)	\$493 51	-
				Wash Sale	\$0 00	(\$90 52)	\$90 52	-
RF PRZCL(7591EM107)	REGIONS FING TR III TR PFD SECS 8 8750% 06/15/2078	462	9/6/2012	10/3/2012	\$11,941 39	\$12,140 01	(\$198 62)	-
				Wash Sale	\$0 00	(\$198 62)	\$198 62	-
RM GN(74966K102)	RM G NETWORKS HLDG CORP CO M	1,000	11/12/2012	12/11/2012	\$9,888 95	\$9,867 95	\$21 00	-
RM GN(74966K102)	RM G NETWORKS HLDG CORP CO M	2,000	11/12/2012	12/11/2012	\$19,777 91	\$19,727 95	\$49 96	-
RM GN(74966K102)	RM G NETWORKS HLDG CORP CO M	2,000	11/12/2012	12/11/2012	\$19,777 91	\$19,727 95	\$49 96	-
RM GN(74966K102)	RM G NETWORKS HLDG CORP CO M	2,140	11/30/2012	12/11/2012	\$21,162 36	\$21,172 55	(\$10 19)	-
RM GN(74966K102)	RM G NETWORKS HLDG CORP CO M	2,500	12/4/2012	12/11/2012	\$24,722 38	\$24,732 95	(\$10 57)	-
SAEX(78636X105)	SAEXPLORATION HLDGS INCCO M USD0 0001	1	11/28/2012	12/11/2012	\$9 90	\$9 91	(\$0 01)	-
				Wash Sale	\$0 00	(\$0 01)	\$0 01	-
SAEX(78636X105)	SAEXPLORATION HLDGS INCCO M USD0 0001	500	11/28/2012	12/11/2012	\$4,946 27	\$4,952 93	(\$6 66)	-

Symbol(CUSIP)	Security Description	Quantity	Date	Date Sold	Proceeds	Cost Basis	Short Term	Long Term
			Acquired				Gain /Loss	Gain /Loss
SAEX(78636X105)	SAEXPLORATION HLDGS INCCO M USD0 0001	1	11/28/2012	12/11/2012	\$9 89	\$9 94	(\$0 05) -	
SAEX(78636X105)	SAEXPLORATION HLDGS INCCO M USD0 0001	199	12/5/2012	12/11/2012	\$1,968 62	\$1,976 02	(\$7 40) -	
SAEX(78636X105)	SAEXPLORATION HLDGS INCCO M USD0 0001	400	12/10/2012	12/11/2012	\$3,957 02	\$3,963 95	(\$6 93) -	
SD(80007P307)	SANDRIDGE ENERGY INCCO M	100	5/4/2011	12/20/2012	\$614 23	\$1,090 15 -		(\$475 92)
SD(80007P307)	SANDRIDGE ENERGY INCCO M	500	5/4/2011	12/20/2012	\$3,105 25	\$5,450 72 -		(\$2,345 47)
SD(80007P307)	SANDRIDGE ENERGY INCCO M	200	5/4/2011	12/20/2012	\$1,242 10	\$2,178 00 -		(\$935 90)
SD(80007P307)	SANDRIDGE ENERGY INCCO M	1,100	5/4/2011	12/20/2012	\$6,833 04	\$11,979 00 -		(\$5,145 96)
SD(80007P307)	SANDRIDGE ENERGY INCCO M	1,000	5/4/2011	12/20/2012	\$6,212 31	\$10,890 00 -		(\$4,677 69)
SD(80007P307)	SANDRIDGE ENERGY INCCO M	2,100	5/4/2011	12/20/2012	\$13,035 37	\$22,869 00 -		(\$9,833 63)
SD(80007P307)	SANDRIDGE ENERGY INCCO M	800	5/4/2011	12/20/2012	\$4,965 85	\$9,041 27 -		(\$4,075 42)
SD(80007P307)	SANDRIDGE ENERGY INCCO M	4,200	5/4/2011	12/20/2012	\$26,124 25	\$47,466 68 -		(\$21,342 43)
SD(80007P307)	SANDRIDGE ENERGY INCCO M	100	8/5/2011	12/20/2012	\$622 01	\$812 55 -		(\$190 54)
SD(80007P307)	SANDRIDGE ENERGY INCCO M	1,200	8/5/2011	12/20/2012	\$7,464 07	\$9,750 62 -		(\$2,286 55)
SD(80007P307)	SANDRIDGE ENERGY INCCO M	3,700	8/5/2011	12/20/2012	\$23,014 22	\$30,044 00 -		(\$7,029 78)
SD130316C8(1597959F D)	CALL (SD) SANDRIDGE ENERGY MAR 16 13 \$8 (100 SHS)	40	12/7/2012	12/20/2012	\$2,200 74	\$1,079 20	\$1,121 54 -	
SNY(80105N105)	SANOFI SPONSORED ADR	500	9/12/2011	7/27/2012	\$20,006 60	\$15,872 95	\$4,133 65 -	
STO(85771P102)	STATOIL ASA SPON ADREACH REP 1 ORD NOK2 50 LVL111	100	5/4/2011	9/14/2012	\$2,710 35	\$2,690 88 -		\$19 47
STO(85771P102)	STATOIL ASA SPON ADREACH REP 1 ORD NOK2 50 LVL111	100	5/4/2011	9/14/2012	\$2,710 35	\$2,690 98 -		\$19 37
STO(85771P102)	STATOIL ASA SPON ADREACH REP 1 ORD NOK2 50 LVL111	300	5/4/2011	9/14/2012	\$8,131 04	\$8,077 95 -		\$53 09
SWN(845467109)	SOUTHWESTERN ENERGY CO DELAWARE	100	8/23/2012	9/12/2012	\$3,525 47	\$3,096 78	\$428 69 -	
SWN(845467109)	SOUTHWESTERN ENERGY CO DELAWARE	100	8/23/2012	9/12/2012	\$3,532 92	\$3,096 77	\$436 15 -	
SWN(845467109)	SOUTHWESTERN ENERGY CO DELAWARE	100	8/23/2012	9/12/2012	\$3,532 92	\$3,092 99	\$439 93 -	
SWN(845467109)	SOUTHWESTERN ENERGY CO DELAWARE	200	8/23/2012	9/12/2012	\$7,065 84	\$6,185 00	\$880 84 -	
SWN(845467109)	SOUTHWESTERN ENERGY CO DELAWARE	500	8/3/2012	9/11/2012	\$16,886 67	\$15,262 95	\$1,623 72 -	
SWN(845467109)	SOUTHWESTERN ENERGY CO DELAWARE	500	5/7/2012	9/10/2012	\$16,371 68	\$14,292 95	\$2,078 73 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
SWN(845467109)	SOUTHWESTERN ENERGY CO DELAWARE	200	5/7/2012	9/6/2012	\$6,452.90	\$5,691.18	\$761.72	-
SWN(845467109)	SOUTHWESTERN ENERGY CO DELAWARE	300	5/7/2012	9/6/2012	\$9,690.08	\$8,536.77	\$1,153.31	-
SWN120922C32(1513369II)	CALL (SWN) SOUTHWESTERN ENERGY SEP 22 12 \$32 (100 SHS)	5	8/8/2012	9/4/2012	\$788.13	\$298.10	\$490.03	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	10,000	10/15/2012	10/26/2012	\$105,989.67	\$109,504.49	(\$3,514.82)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	3,235	10/15/2012	10/26/2012	\$34,282.28	\$35,424.71	(\$1,142.43)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	65	10/15/2012	10/24/2012	\$697.94	\$711.78	(\$13.84)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	200	10/15/2012	10/24/2012	\$2,169.97	\$2,190.09	(\$20.12)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	400	10/15/2012	10/22/2012	\$4,323.95	\$4,380.18	(\$56.23)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	100	9/25/2012	10/19/2012	\$1,072.02	\$1,110.72	(\$38.70)	-
				Wash Sale	\$0.00	(\$38.70)	\$38.70	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	200	9/25/2012	10/19/2012	\$2,158.00	\$2,221.45	(\$63.45)	-
				Wash Sale	\$0.00	(\$63.45)	\$63.45	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	200	9/25/2012	10/19/2012	\$2,164.89	\$2,221.44	(\$56.55)	-
				Wash Sale	\$0.00	(\$56.55)	\$56.55	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	400	9/25/2012	10/19/2012	\$4,329.78	\$4,442.12	(\$112.34)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	100	9/25/2012	10/19/2012	\$1,082.45	\$1,149.23	(\$66.78)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	600	9/25/2012	10/19/2012	\$6,494.67	\$6,783.62	(\$288.95)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	200	9/25/2012	10/19/2012	\$2,164.89	\$2,284.51	(\$119.62)	-
				Wash Sale	\$0.00	(\$119.62)	\$119.62	-
				Wash Sale	\$0.00	(\$288.95)	\$288.95	-
				Wash Sale	\$0.00	(\$66.78)	\$66.78	-
				Wash Sale	\$0.00	(\$112.34)	\$112.34	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	200	9/25/2012	10/19/2012	\$2,164.89	\$2,277.61	(\$112.72)	-
				Wash Sale	\$0.00	(\$112.72)	\$112.72	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	100	9/25/2012	10/19/2012	\$1,082.45	\$1,180.78	(\$98.33)	-
				Wash Sale	\$0.00	(\$98.33)	\$98.33	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	400	9/25/2012	10/19/2012	\$4,329.78	\$4,675.89	(\$346.11)	-
				Wash Sale	\$0.00	(\$346.11)	\$346.11	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	300	9/25/2012	10/19/2012	\$3,247.34	\$3,426.47	(\$179.13)	-
				Wash Sale	\$0.00	(\$179.13)	\$179.13	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	500	9/25/2012	10/19/2012	\$5,412.22	\$5,635.72	(\$223.50)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	500	10/15/2012	10/19/2012	\$5,412.22	\$5,475.23	(\$63.01)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	1,000	9/25/2012	10/19/2012	\$10,824.46	\$11,686.73	(\$862.27)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	1,800	10/15/2012	10/19/2012	\$19,485.61	\$19,710.81	(\$225.20)	-
SYBTP(785059205)	SY BANCORP CAP TR II TR PFD SECS	600	9/25/2012	10/15/2012	\$6,543.90	\$6,664.34	(\$120.44)	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
				Wash Sale	\$0 00	(\$120 44)	\$120 44 -	
TOT(89151E109)	TOTAL SPON ADREAREP 1 ORD SHS	100	12/21/2010	10/16/2012	\$5,152 30	\$5,350 45 -		(\$198 15)
TOT(89151E109)	TOTAL SPON ADREAREP 1 ORD SHS	400	12/21/2010	10/16/2012	\$20,609 22	\$21,372 00 -		(\$762 78)
TOT(89151E109)	TOTAL SPON ADREAREP 1 ORD SHS	14	4/5/2012	9/14/2012	\$757 27	\$698 29	\$58 98 -	
TOT(89151E109)	TOTAL SPON ADREAREP 1 ORD SHS	25	4/5/2012	9/14/2012	\$1,366 47	\$1,246 94	\$119 53 -	
TOT(89151E109)	TOTAL SPON ADREAREP 1 ORD SHS	29	4/5/2012	9/14/2012	\$1,585 10	\$1,447 10	\$138 00 -	
TOT(89151E109)	TOTAL SPON ADREAREP 1 ORD SHS	61	4/5/2012	9/14/2012	\$3,334 18	\$3,042 52	\$291 66 -	
TOT(89151E109)	TOTAL SPON ADREAREP 1 ORD SHS	171	4/5/2012	9/14/2012	\$9,346 65	\$8,532 88	\$813 77 -	
TOT(89151E109)	TOTAL SPON ADREAREP 1 ORD SHS	200	4/5/2012	9/14/2012	\$10,931 75	\$9,979 97	\$951 78 -	
VG(92886T201)	VONAGE HLDGSCORP COM	5,500	8/9/2012	12/21/2012	\$12,589 22	\$11,660 00	\$929 22 -	
VG(92886T201)	VONAGE HLDGSCORP COM	200	8/13/2012	12/21/2012	\$457 79	\$405 60	\$52 19 -	
VG(92886T201)	VONAGE HLDGSCORP COM	500	8/13/2012	12/21/2012	\$1,144 47	\$1,022 20	\$122 27 -	
VG(92886T201)	VONAGE HLDGSCORP COM	1,800	8/13/2012	12/21/2012	\$4,120 11	\$3,653 82	\$466 29 -	
VG(92886T201)	VONAGE HLDGSCORP COM	200	8/15/2012	12/21/2012	\$457 79	\$423 60	\$34 19 -	
VG(92886T201)	VONAGE HLDGSCORP COM	600	8/15/2012	12/21/2012	\$1,373 37	\$1,279 05	\$94 32 -	
VG(92886T201)	VONAGE HLDGSCORP COM	4,200	8/15/2012	12/21/2012	\$9,613 59	\$8,902 32	\$711 27 -	
VG(92886T201)	VONAGE HLDGSCORP COM	2,500	8/16/2012	12/21/2012	\$5,722 37	\$5,431 95	\$290 42 -	
VG(92886T201)	VONAGE HLDGSCORP COM	500	8/9/2012	12/20/2012	\$1,137 33	\$1,060 00	\$77 33 -	
VG(92886T201)	VONAGE HLDGSCORP COM	900	8/9/2012	11/5/2012	\$2,081 35	\$1,911 02	\$170 33 -	
VG(92886T201)	VONAGE HLDGSCORP COM	100	8/9/2012	11/5/2012	\$222 04	\$212 34	\$9 70 -	
VG(92886T201)	VONAGE HLDGSCORP COM	800	8/9/2012	11/5/2012	\$1,856 03	\$1,698 68	\$157 35 -	
VG(92886T201)	VONAGE HLDGSCORP COM	500	8/9/2012	11/5/2012	\$1,159 97	\$1,061 68	\$98 29 -	
VG(92886T201)	VONAGE HLDGSCORP COM	1,700	8/9/2012	11/5/2012	\$3,943 91	\$3,604 00	\$339 91 -	
VG121222C2(1556469J D)	CALL (VG) VONAGE HLDGSCORP DEC 22 12 \$2 (100 SHS)	6	8/10/2012	11/5/2012	\$173 49	\$240 18	(\$66 69) -	
VG121222C2(1556469J D)	CALL (VG) VONAGE HLDGSCORP DEC 22 12 \$2 (100 SHS)	11	8/10/2012	11/5/2012	\$318 05	\$448 27	(\$130 22) -	
VG121222C2(1556469J D)	CALL (VG) VONAGE HLDGSCORP DEC 22 12 \$2 (100 SHS)	9	8/10/2012	11/5/2012	\$260 23	\$360 26	(\$100 03) -	
VG121222C2(1556469J D)	CALL (VG) VONAGE HLDGSCORP DEC 22 12 \$2 (100 SHS)	2	8/10/2012	11/5/2012	\$58 12	\$80 06	(\$21 94) -	
VG121222C2(1556469J D)	CALL (VG) VONAGE HLDGSCORP DEC 22 12 \$2 (100 SHS)	11	8/10/2012	11/5/2012	\$319 67	\$440 32	(\$120 65) -	
VG121222C2(1556469J D)	CALL (VG) VONAGE HLDGSCORP DEC 22 12 \$2 (100 SHS)	1	8/10/2012	11/5/2012	\$29 06	\$47 98	(\$18 92) -	
WMT(931142103)	WALMART STORES INC	174	9/14/2010	6/19/2012	\$11,868 46	\$9,321 18 -		\$2,547 28
WMT(931142103)	WALMART STORES INC	326	9/14/2010	6/19/2012	\$22,228 04	\$17,463 82 -		\$4,764 22
WPX(98212B103)	WPX ENERGY INC COM USD1	200	9/26/2012	10/10/2012	\$3,565 46	\$3,252 59	\$312 87 -	

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
WPX(98212B103)	WPX ENERGY INCCOM USD1	17	9/26/2012	10/10/2012	\$303 78	\$276 47	\$27 31	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	83	9/26/2012	10/10/2012	\$1,481 51	\$1,349 82	\$131 69	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	200	9/26/2012	10/10/2012	\$3,571 91	\$3,252 59	\$319 32	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	300	9/26/2012	10/9/2012	\$5,248 38	\$4,877 39	\$370 99	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	300	9/26/2012	10/9/2012	\$5,313 33	\$4,877 38	\$435 95	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	400	9/26/2012	10/9/2012	\$7,016 49	\$6,503 18	\$513 31	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	100	9/26/2012	10/9/2012	\$1,755 97	\$1,626 30	\$129 67	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	200	9/26/2012	10/9/2012	\$3,503 94	\$3,252 59	\$251 35	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	200	9/26/2012	10/9/2012	\$3,533 97	\$3,252 59	\$281 38	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	500	8/22/2012	9/12/2012	\$8,446 86	\$7,178 98	\$1,267 88	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	500	8/22/2012	9/12/2012	\$8,466 86	\$7,178 97	\$1,287 89	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	500	8/21/2012	9/10/2012	\$8,259 36	\$7,313 97	\$945 39	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	500	8/21/2012	9/7/2012	\$8,304 36	\$7,313 98	\$990 38	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	500	8/17/2012	9/6/2012	\$8,151 91	\$7,372 50	\$779 41	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	100	8/17/2012	8/31/2012	\$1,562 31	\$1,474 90	\$87 41	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	300	8/17/2012	8/31/2012	\$4,712 89	\$4,382 97	\$329 92	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	100	8/17/2012	8/31/2012	\$1,570 96	\$1,460 77	\$110 19	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	500	8/17/2012	8/31/2012	\$7,849 87	\$7,303 85	\$546 02	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	200	8/17/2012	8/31/2012	\$3,160 77	\$2,921 54	\$239 23	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	300	8/17/2012	8/31/2012	\$4,741 15	\$4,423 50	\$317 65	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	100	8/17/2012	8/27/2012	\$1,513 31	\$1,462 84	\$50 47	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	300	8/17/2012	8/27/2012	\$4,562 93	\$4,388 51	\$174 42	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	100	8/17/2012	8/27/2012	\$1,520 97	\$1,476 18	\$44 79	-
WPX(98212B103)	WPX ENERGY INCCOM USD1	500	8/17/2012	8/27/2012	\$7,561 93	\$7,380 87	\$181 06	-
WTSL(961840105)	WET SEAL INCCL A	3	8/8/2012	9/21/2012	\$9 57	\$11 98	(\$2 41)	-
WTSL(961840105)	WET SEAL INCCL A	394	8/15/2012	9/21/2012	\$1,256 41	\$1,187 91	\$68 50	-
WTSL(961840105)	WET SEAL INCCL A	2,100	8/15/2012	9/21/2012	\$6,696 62	\$6,339 45	\$357 17	-
WTSL(961840105)	WET SEAL INCCL A	3,003	8/15/2012	9/21/2012	\$9,576 17	\$9,016 95	\$559 22	-
WTSL(961840105)	WET SEAL INCCL A	2,000	8/22/2012	9/21/2012	\$6,377 74	\$5,367 95	\$1,009 79	-
WTSL(961840105)	WET SEAL INCCL A	12	8/9/2012	9/11/2012	\$39 56	\$35 99	\$3 57	-
WTSL(961840105)	WET SEAL INCCL A	191	8/15/2012	9/11/2012	\$629 68	\$576 45	\$53 23	-
WTSL(961840105)	WET SEAL INCCL A	3	8/8/2012	9/11/2012	\$9 89	\$12 83	(\$2 94)	-
WTSL(961840105)	WET SEAL INCCL A	391	8/15/2012	9/11/2012	\$1,289 03	\$1,180 22	\$108 81	-
				Wash Sale	\$0 00	(\$2 94)	\$2 94	-
WTSL(961840105)	WET SEAL INCCL A	300	8/15/2012	9/11/2012	\$989 02	\$905 04	\$83 98	-
WTSL(961840105)	WET SEAL INCCL A	700	8/15/2012	9/11/2012	\$2,307 72	\$2,113 30	\$194 42	-
WTSL(961840105)	WET SEAL INCCL A	903	8/15/2012	9/11/2012	\$2,976 96	\$2,722 55	\$254 41	-
WTSL(961840105)	WET SEAL INCCL A	2,000	8/8/2012	9/10/2012	\$6,391 90	\$5,860 00	\$531 90	-

Symbol(CUSIP)	Security Description	Quantity	Date		Proceeds	Cost Basis	Short Term Gain /Loss	Long Term Gain /Loss
			Acquired	Date Sold				
WTSL(961840105)	WET SEAL INCCL A	497	8/8/2012	9/10/2012	\$1,613.24	\$1,456.21	\$157.03	-
WTSL(961840105)	WET SEAL INCCL A	197	8/9/2012	9/10/2012	\$639.45	\$598.44	\$41.01	-
WTSL(961840105)	WET SEAL INCCL A	3	8/8/2012	9/10/2012	\$9.74	\$16.05	(\$6.31)	-
				Wash Sale	\$0.00	(\$6.31)	\$6.31	-
WTSL(961840105)	WET SEAL INCCL A	200	8/9/2012	9/10/2012	\$649.19	\$599.70	\$49.49	-
WTSL(961840105)	WET SEAL INCCL A	1,103	8/9/2012	9/10/2012	\$3,580.28	\$3,309.00	\$271.28	-
WTSL(961840105)	WET SEAL INCCL A	2,497	8/9/2012	9/10/2012	\$8,107.12	\$7,491.00	\$616.12	-
WTSL(961840105)	WET SEAL INCCL A	3	8/8/2012	9/10/2012	\$9.74	\$15.36	(\$5.62)	-
				Wash Sale	\$0.00	(\$5.62)	\$5.62	-
WTSL(961840105)	WET SEAL INCCL A	197	8/9/2012	9/10/2012	\$607.09	\$591.00	\$16.09	-
WTSL(961840105)	WET SEAL INCCL A	3	8/8/2012	9/10/2012	\$9.24	\$14.68	(\$5.44)	-
				Wash Sale	\$0.00	(\$5.44)	\$5.44	-
WTSL(961840105)	WET SEAL INCCL A	503	8/9/2012	9/10/2012	\$1,630.71	\$1,509.00	\$121.71	-
WTSL(961840105)	WET SEAL INCCL A	494	8/9/2012	9/10/2012	\$1,601.53	\$1,481.51	\$120.02	-
WTSL(961840105)	WET SEAL INCCL A	3	8/8/2012	9/10/2012	\$9.73	\$14.49	(\$4.76)	-
				Wash Sale	\$0.00	(\$4.76)	\$4.76	-
WTSL(961840105)	WET SEAL INCCL A	2,297	8/9/2012	9/10/2012	\$7,166.47	\$6,888.70	\$277.77	-
WTSL(961840105)	WET SEAL INCCL A	3	8/8/2012	9/10/2012	\$9.36	\$13.82	(\$4.46)	-
				Wash Sale	\$0.00	(\$4.46)	\$4.46	-
WTSL(961840105)	WET SEAL INCCL A	2,497	8/9/2012	9/10/2012	\$8,107.12	\$7,488.50	\$618.62	-
WTSL(961840105)	WET SEAL INCCL A	3	8/8/2012	9/10/2012	\$9.74	\$13.51	(\$3.77)	-
				Wash Sale	\$0.00	(\$3.77)	\$3.77	-
WTSL(961840105)	WET SEAL INCCL A	1,097	8/8/2012	9/7/2012	\$3,419.07	\$3,221.04	\$198.03	-
WTSL(961840105)	WET SEAL INCCL A	3	8/8/2012	9/7/2012	\$9.35	\$16.29	(\$6.94)	-
WTSL(961840105)	WET SEAL INCCL A	1,400	8/8/2012	9/7/2012	\$4,363.45	\$4,102.00	\$261.45	-
				Wash Sale	\$0.00	(\$6.94)	\$6.94	-
WTSL(961840105)	WET SEAL INCCL A	100	8/8/2012	9/7/2012	\$301.19	\$293.00	\$8.19	-
WTSL(961840105)	WET SEAL INCCL A	1,000	8/8/2012	9/7/2012	\$3,091.98	\$2,930.00	\$161.98	-
WTSL(961840105)	WET SEAL INCCL A	1,500	8/8/2012	9/7/2012	\$4,657.39	\$4,395.00	\$262.39	-
WTSL(961840105)	WET SEAL INCCL A	2,400	8/8/2012	9/7/2012	\$7,415.83	\$7,032.00	\$383.83	-
WTSL(961840105)	WET SEAL INCCL A	3	8/8/2012	8/16/2012	\$1.31	\$8.81	(\$7.50)	-
				Wash Sale	\$0.00	(\$7.50)	\$7.50	-
XOM(30231G102)	EXXON MOBIL CORP	500	8/6/2010	9/14/2012	\$46,091.06	\$30,895.00	-	\$15,196.06

\$13,397,723.96 \$13,294,077.84 \$132,971.92 (\$29,325.80)