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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

Open to Public Inspection

For calendar year 2012 or tax year beginning January 1 , 2012, **and ending** December 31 , 20 12

Name of foundation Cathay Bank Foundation		A Employer identification number 74-3052411
Number and street (or P.O. box number if mail is not delivered to street address) 777 North Broadway	Room/suite	B Telephone number (see instructions) 626-279-3296
City or town, state, and ZIP code Los Angeles, CA 90012		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 8,612	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,386,703			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,386,703				
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	200			200
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	200			200
25 Contributions, gifts, grants paid	1,486,667			1,486,667	
26 Total expenses and disbursements. Add lines 24 and 25	1,486,867			1,486,867	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	(100,164)				
b Net investment income (if negative, enter -0-)		none			
c Adjusted net income (if negative, enter -0-)			none		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	108,776	8,612	8,612
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	108,776	8,612	8,612	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	108,776	8,612	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	108,776	8,612	
31	Total liabilities and net assets/fund balances (see instructions)	108,776	8,612		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	108,776
2	Enter amount from Part I, line 27a	(100,164)
3	Other increases not included in line 2 (itemize) ▶	
4	Add lines 1, 2, and 3	8,612
5	Decreases not included in line 2 (itemize) ▶	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	8,612

Part IV - Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) FMV as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8				3

Part V - Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011			
2010			
2009			
2008			
2007			

2 Total of line 1, column (d)	2
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		
6	Credits/Payments			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11	Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
1c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
4b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ California		
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	✓	

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>www.cathaybank.org</u>	13	✓	
14	The books are in care of ▶ <u>Georgia Lo</u> Telephone no. ▶ <u>626-279-3296</u> Located at ▶ <u>9650 Flair Drive, El Monte, CA</u> ZIP+4 ▶ <u>91731</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No ✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
** see attach **				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		
.....		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Annual Golf Tournament: to raise funds to support charitable worthy organizations. Beneficiaries for this year include: (1) Asian American Senior Citizens Service Center, (2) Friends of the Chinatown Library, (3) International Institute of Los Angeles, (4) Irvine Chinese School, (5) Pacific Clinics, (6) Taiwanese American Heritage Week, and (7) Cathay Bank Foundation. Expenses incurred for this event was paid directly by Cathay Bank which was \$95,784.99 for this year.	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 none	
.....	
2	
.....	
All other program-related investments See instructions	
3	
.....	
Total. Add lines 1 through 3	▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	none
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	
6	Minimum investment return. Enter 5% of line 5	6	

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2012 from Part VI, line 5	2a	
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,486,867
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,486,867
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,486,867

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e				
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ _____				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

none

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

none

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

Nancy Morikawa,
address: Cathay Bank, 9650 Flair Drive, Mail Stop EL-3-H, El Monte, CA 91731; tel: (626) 279-3876; email: nancy.morikawa@cathaybank.com

- b** The form in which applications should be submitted and information and materials they should include:

** see attach **

- c** Any submission deadlines:

August 30

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

** see attach **

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a <i>Paid during the year</i></p> <p>** see attach **</p>				1,486,667
Total				3a 1,486,667
<p>b <i>Approved for future payment</i></p> <p>none</p>				0
Total				3b 0

Schedule of Contributors

2012

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Name of the organization Cathay Bank Foundation	Employer identification number 74-3052411
---	---

Organization type (check one):

- | | |
|--------------------|---|
| Filers of: | Section: |
| Form 990 or 990-EZ | <input type="checkbox"/> 501(c)() (enter number) organization |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | <input type="checkbox"/> 527 political organization |
| Form 990-PF | <input checked="" type="checkbox"/> 501(c)(3) exempt private foundation |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | <input type="checkbox"/> 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
---	---

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Cathay Bank 777 North Broadway Los Angeles, CA 90012	\$ 1,200,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	COLE Real Estate Investments 2325 E. Camelback Road, Suite 1100	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
3	Great Far East 7700 Irvine Center Dr, #620 Irvine, CA 92618	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
4	Belmont Fibers/Greenwood Company 1736 Chapin Road Montebello, CA 90640	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
5	Recycling Services Alliance/Resource Services Alliance 13324 Satikor Street North Hollywood, CA	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
6	Pacific BMW 800 South Brand Blvd Glendale, CA 91204	\$ 10,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
---	---

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Miller Barondess, LLP 1999 Avenue of the Stars, Suite 1000 Los angeles, CA 90067	\$ 7,500	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
8	Frandzel Robins Bloom & Csato, L.C. 6500 Wilshire Blvd., 17th Floor Los Angeles, CA 90048	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
9	Fidelity National Information Services (FIS) 2001 Summit Park Drive Orlando, FL 92810	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
10	Borg Produce Sales 1601 E. Olympic Blvd , #101 Los Angeles, CA 90021	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
11	Velocity Wheel 283 E. Garvey Ave Monterey Park, CA 91755	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
12	Thunder Group, Inc. 780 S. Nogales St. City of Industry, CA 91748	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
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Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	Waitex ----- 135 W. 36th St., 3rd Floor ----- New York, NY 10018 -----	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	----- ----- ----- -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	NONE ----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----
-----	----- ----- -----	\$-----	-----

Name of organization Cathay Bank Foundation	Employer identification number 74-3052411
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Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	NONE ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
----- ----- -----		----- ----- -----	

Cathay Bank Foundation
Statement of Financial Position as of December 31, 2012

BALANCE SHEET	Amount	Total
<u>Assets</u>		
Cash and cash equivalent		\$ 8,612.00
Total Assets		
 <u>Liabilities</u>		
Accounts Payable:		\$ -
		<u>\$ 8,612.00</u>
 <u>Net Assets</u>		
Beginning Balance as of 1/1/2012	\$ 108,776.00	
Change in Net Assets	\$ (100,164.00)	\$ 8,612.00
Total Net Assets		<u>\$ 8,612.00</u>

STATEMENT OF ACTIVITIES

<u>Support and Revenue</u>		
<i>Corporate Support</i>		
Cathay Bank		\$ 1,200,000.00
<i>Public Support</i>		
Cathay Bank Golf Tournament		\$ 181,703.00
Other Public Support		\$ 5,000.00
Total Support and Revenues		<u>\$ 1,386,703.00</u>
 <u>Expenditures</u>		
<i>Contributions, gifts, grants paid</i>		
Asian Americans for Equality	\$10,000	
Alameda County Community Food Bank	\$5,000	
All Girl Everything Ultimate Program (AGE UP)	\$4,000	
AnewAmerica	\$25,000	
APA Family Support Services	\$15,000	
API Community Leadership Foundation	\$5,000	
Asian American Senior Citizen Service Center	\$13,000	
Asian Americans for Equality	\$10,000	
Asian Community Development Center	\$5,000	
Asian Counseling and Referral Service	\$7,000	
Asian Pacific American Community Center	\$20,000	
Asian Pacific American Legal Center	\$5,000	
Asian Pacific Civic Association	\$10,000	
Asian Pacific Community Fund	\$15,000	
Asian Pacific Island Small Business Program	\$25,000	
Boston Chinatown Neighborhood Center	\$5,000	
Boston EITC Coalition	\$5,000	
Boys & Girls Club of West San Gabriel Valley	\$15,000	
Break the Cycle	\$5,000	
California Association for Micro Enterprise	\$10,000	
California Community Economic Development Association	\$25,000	
California Reinvestment Coalition	\$15,000	
Career Gear	\$5,000	
Center For Employment Opportunity	\$10,000	
Centro Latino For Literacy	\$10,000	

Charity Cultural Service Center	\$5,000
Chinatown Community Development Center	\$15,000
Chinatown Partnershp LDC	\$10,000
Chinatown Service Center	\$125,000
Chinese American Planning Council	\$10,000
Chinese American Service League	\$25,000
Chinese Community Center	\$15,000
Chinese Community Health Resource Center	\$7,000
Chinese Historical Society of So. Cal	\$3,000
Chinese Information and Service Center	\$20,000
Chinese Newcomers Service Center	\$10,000
CHIRLA	\$5,000
Chrysalis	\$3,000
Coalition for Responsible Community Development	\$20,000
Common Ground Community HDFC, INC. NY	\$10,000
Community Financial Resource Center	\$5,000
Denise Louie (organization name)	\$2,500
Enterprise Community Partners	\$5,000
Express Advantage	\$4,000
Family Bridges	\$20,000
Family Support Service of Bay Area	\$10,000
Flushing Council on Culture and the Arts	\$5,000
Food Bank NYC	\$60,000
Friends of the Chinatown Library	\$13,000
Friends of the Chinese American Museum	\$20,000
Garden of Hope	\$10,000
Greater Boston Chinese Golden Age Center	\$5,000
Greenlining	\$10,000
Habitat for Humanity San Francisco	\$5,000
Henry Street Settlement	\$10,000
Hollywood Housing	\$5,000
Housing Partnership Development Corp.	\$25,000
Houston Hua Xia Chinese School	\$5,000
Innercity Struggle	\$5,000
Inter Community Development Association	\$10,000
International Examiner	\$5,000
International Institute of Los Angeles	\$13,000
Irvine Chinese School South Coast Chinese Cultural Association	\$13,000
Jewish Free Loan Association	\$10,000
Jewish Vocational Services	\$10,000
Junior Achievement of Southern California	\$100,000
Junior Achievement of Washington	\$6,000
Korean American Family Service Center	\$15,000
Korean Youth and Community Center	\$10,000
LA Sheriff's Professional Association	\$1,500
LAMP. Inc	\$5,000
Latino Business Chamber of Great Los Angeles	\$15,000
Little Tokyo Service Center	\$5,000
Long Beach Community College Foundation (AEI Seminar)	\$167
Los Angeles Regional FoodBank	\$10,000
MEED Center	\$5,000
Mercy Housing	\$10,000
Midnight Mission	\$5,000
MinKwon Center	\$5,000
Mosaic Family Services	\$5,000

National Asian American Colaition	\$10,000	
Neighborhood Housing Services of Inland Empire	\$15,000	
Network for Teaching Entrepreneurship	\$10,000	
NHS Chicago	\$10,000	
Operation HOPE	\$20,000	
Operation HOPE (Bus Tour)	\$10,000	
Operation Nightwatch	\$8,000	
Opportunity Fund	\$20,000	
Pacific Clinics	\$13,000	
Pacific Coast Regional (AEI seminar)	\$2,000	
Parent's Place	\$10,000	
Pedi Place	\$5,000	
People Fund	\$10,000	
Prison Entrepreneurship Program (PEP)	\$10,000	
Project Access	\$5,000	
Project Vision	\$5,000	
Seattle Chinatown International District	\$15,000	
Self Help for the Elderly	\$25,000	
Shuang Wen Academy Network	\$5,000	
Sophia Way (organization name)	\$10,000	
Southeast Asian Community Center	\$10,000	
Taiwanese American Heritage Week LA Chapter	\$6,500	
Taiwanese American Heritage Week OC Chapter	\$6,500	
Tenderloin Neighborhood Development	\$20,000	
The Doe Fund	\$15,000	
The Gabriella Foundation	\$2,500	
The Tower Foundation of San Jose State	\$10,000	
The Women's Home	\$5,000	
TMC Working Solutions	\$5,000	
Union Station Homeless Shelter	\$5,000	
Urban Solution	\$10,000	
Valley Economic Development Center	\$70,000	
Vietnamese Association of Illinois	\$8,000	
Vietnamese Friendship Assocation	\$5,000	
Washington CASH	\$5,000	
Weingart Center	\$10,000	
Worksite Wellness LA	\$5,000	
Wu Yee Children's Services	\$10,000	
YMCA LA (San Pedro & Willmington)	\$5,000	
Yolo Mutual Housing	\$10,000	\$1,486,667.00

Operating and administrative expenses

Accounting fees	\$	200.00	\$	200.00
Total Operating Expenditures				\$1,486,867.00

Change in Net Assets				<u>\$ (100,164.00)</u>
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Cathay Bank Foundation

EIN: 74-3052411

2012 Form 990-PF Part I –

Question 16b: Operating and Administrative Expenses

16b. Accounting fees	Amount (\$)
Attorney's General Registry of Charitable Trusts	150.00
Department of Justice	20.00
Franchise Tax Board	10.00
Secretary of State	20.00
	<u>200.00</u>

Cathay Bank Foundation

EIN: 74-3052411

2012 Form 990PF Part VIII --

Question 1: Information About Officers, Directors, Trustees, Foundation Manager, Highly Paid Employees, and Contractors

(a) Name and Address	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account and other allowance
Peter Wu 9650 Flair Drive El Monte, CA 91731	Chairman of the Board, President, and Chief Executive Officer	0 08	-0-	-0-
Deborah Chung 9650 Flair Drive El Monte, CA 91731	Co-Chairman of the Board	0.25	-0-	-0-
Alex Lee 9650 Flair Drive El Monte, CA 91731	Director, Chief Financial Officer/Treasurer	0 08	-0-	-0-
Esther Wee 9650 Flair Drive El Monte, CA 91731	Director and Secretary	8 00	-0-	-0-
Patrick Lee 9650 Flair Drive El Monte, CA 91731	Director	0.08	-0-	-0-
Irwin Wong 9650 Flair Drive El Monte, CA 91731	Director	0.08	-0-	-0-
David Lin 9650 Flair Drive El Monte, CA 91731	Director	0 08	-0-	-0-
Pin Tai 40-14 Main Street Flushing, NY 11354	Director	0 08	-0-	-0-
Wilson Tang 9650 Flair Drive El Monte, CA 91731	Director	0.08	-0-	-0-

Grant Application

Instructions: Please review the Cathay Bank Foundation application process guidelines before completing this form. Please forward this application in electronic format together with any other electronic forms you may consider essential to support your Grant. This will facilitate our overall review and presentation process. All sections of the application must be completed. Remember to include all required attachments, and sign and date the application before your final submission. Please submit the application in triplicate

ORGANIZATION INFORMATION

Name of Organization: _____

Federal Tax I.D Number (EIN): _____

Address: _____

City: _____ State: _____ Zip: _____

Website: _____

Executive Director: _____

Telephone No. _____ Fax No: _____ Email: _____

Principle Contact Person: _____ Title: _____

Telephone No.: _____ Fax No. _____ Email: _____

ORGANIZATION BACKGROUND

1) Type of organization (check all that apply(s)) 501c(3) CDFI CDC other, please specify _____

2) Year of Establishment (e.g. 1982) _____

3) What geographic area(s) does your organization serve? _____

4) What target market does your organization serve? _____

5) Briefly provide an overview of your organization (attach separate sheet if necessary): _____

GRANT INFORMATION

6) Amount Requested: \$ _____ 7) Event/Project Title: _____

8) Description of target market and estimated number of people who will benefit from this event/project

9) Briefly explain how funds requested will be used to serve the low- to moderate- income population and what percentage of that population will be served?(attach separate sheet if necessary). _____

10) Has the organization received a grant from Cathay Bank in the last three years? No Yes
 If yes, during what year, what amounts, and explain how funds were applied.

Year	Purpose	Amount

11) Please list any Cathay Bank employees involved in your organization and their roles

SUPPOTING DOCUMENTS

Please submit a copy of the following documents together with the completed application form:

- Cover letter, including mission of the organization, total amount requested and purpose of funds;
- A list of all trustees or directors and officers, including names, addresses, and contact number;
- A copy of your tax exemption letter from the IRS indicating tax exempt 501c(3) status,
- Operating budget for the past two years,
- Audited financial statements (minimum 2 years),
- Itemized project costs related to the program;
- A description of the organization with a brief history, specific programs offered and program objectives, significant highlights of the organization, number of people and geographic areas served, and staff list and job titles; (This may also be included in electronic format)
- A list of major corporate and foundation contributors and amounts contributed for the past three years; and
- Marketing/Communications materials and Annual Report (if available) or brochures describing programs and services

AUTHORIZATION

The undersigned, an authorized officer of the organization, does hereby certified that the information contained in this application is true and correct, and if the organization is awarded a grant, the grant will be used only for the purpose(s) outlined in the grant application letter and the grant may not be used for any other purpose(s) without prior written approval from Cathay Bank Foundation.

Authorized Officer (Signature) Title Date of Application

APPLICATION SUBMISSION SUMMARY

Please forward the following documents electronically to [Nancy Morikawa@CathayBank.com](mailto:Nancy.Morikawa@CathayBank.com) as it will greatly assist us in preparing and presenting your application to the Foundation for their final consideration

- Cathay Bank Foundation Grant Application (word doc format)
- Any other electronic forms you may consider essential to support your Grant

Mail, in triplicate, the documents listed in the Supporting Documents section to.

Cathay Bank Foundation
9650 Flair Drive
Mail Stop EL-3-H
El Monte, CA 91731



Health & Welfare

[About Cathay Bank Foundation](#)
[Community](#)
[The Foundation](#)

Our Grant Focus

Community & Economic Development

- › Programs to preserve, rehabilitate and construct affordable housing in low and moderate-income areas;
- › Organizations that provide home-buyer counseling to families in the LMI communities;
- › Programs that support small business development, commercial revitalization, and job creation;
- › Programs tailored to assist LMI individuals in development of work and life skills, with a specific emphasis on work-entry programs, skills training, and employment retention;
- › Senior services, workforce development and services for individuals with disabilities.

Education

- › Innovative programs that offer assistance to low-and moderate-income (LMI) youths to excel in school and prepare for higher education;
- › Financial literacy programs for youth and adults in LMI communities;
- › Effective mentoring programs for at-risk youths;
- › Student scholarship programs focused on finance related studies;
- › Literacy, math, parent engagement efforts and English as a Second Language (ESL);
- › Entrepreneurship education programs for underserved youth.

Civic & Community

- › Civic organizations that focus on development of neighborhoods and preservations of social issues;
- › Organizations that seek to enhance communications and understanding of other communities with our communities;
- › Voter registration and education, community organizing and leadership development.

Health & Welfare

- › Homeless, crisis shelters and battered women shelters;
- › Programs that provide services to children and families at risk;
- › Soup kitchens;
- › Health prevention and education targeted to conditions such as



CATHAY BANK

diabetes, obesity, asthma; insurance enrollment of uninsured children and adults; mental health services; fitness and nutrition.

› **How to apply for a grant**

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Organization Name	Street Address	CITY	STATE	ZIP	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose/Use of Funds	Grant Amount
Alameda County Community Food Bank	7900 Edgewater Drive	Oakland	CA	94621	n/a	501(c)(3)	General Operations - Over 250,000 Low to Moderate Income Individuals will benefit from this project	\$ 5,000 00
All Girl Everything Ultimate Program (AGE UP)	3801 Beacon Ave South	Seattle	WA	98108	n/a	501(c)(3)	Support the leadership development of over 60 girls ages 11-18 in South Seattle, and increase to physical activity by helping us reach over 100 elementary school youth through the spring outreach clinics	\$ 4,000 00
AnewAmerica Community Corporation	1918 University Avenue, Suite A	Berkeley	CA	94704	n/a	501(c)(3)	Business Incubator program - Provides entrepreneurs with customized business coaching, a certificate course in green Business Planning, financial literacy education, access to markets, industry sector incubation, asset-building support, access to credit, and social responsibility education	\$ 25,000 00
APA Family Support Services	10 Nottingham Place	San Francisco	CA	94133	n/a	501(c)(3)	Family Economic Success Project - help 100 low-income and working-poor Asian and Pacific Islanders (APIs) to develop the work skills and life skills they need to find and retain good jobs, in order to achieve financial stability for their families	\$ 15,000 00
API Community Leadership Foundation	P O Box 14461	Seattle	WA	98114	n/a	501(c)(3)	Providing scholarships and leadership training to low-income participants to ACLF's Community Leaders Program (CLP)	\$ 5,000 00
Asian American Civic Association	87 Tyler Street	Boston	MA	02111	n/a	501(c)(3)	The Careers in Banking and Finance Program- serve a diverse clientele that includes both immigrants and non-immigrants in the Metropolitan Boston area	\$ 10,000 00
Asian Americans for Equality	108 Norfolk	New York	NY	10002	n/a	501(c)(3)	General Operating Support - Help reach LMI New Yorkers, estimated over 20,000 LMI individuals will be touched by AAFE's programs during FY 2012	\$ 20,000 00
Asian Americans Senior Citizen Service Center	850 North Birch Street	Santa Ana	CA	92701	n/a	501(c)(3)	Provides social services to Asian American senior citizens	\$ 13,000 00
Asian Community Development Center	38 Oak St	Boston	MA	2111	n/a	501(c)(3)	New affordable housing project - provide affordable housing for low income families and create community benefits Also enable ACDC to provide vital home ownership education, financial literacy knowledge and housing counseling to families in need	\$ 5,000 00
Asian Counseling and Referral Service	3639 Martin Luther King Jr Way S	Seattle	WA	98144	n/a	501(c)(3)	Serve over 1,000 job seekers through employment, training services and referrals such as job readiness services (e.g assisting with resume preparation, making appointments with employers, training in soft skills)	\$ 7,000 00
Asian Pacific American Community Center (APACC)	2440 Bayshore Blvd	San Francisco	CA	94134	n/a	501(c)(3)	Community Support - Crime Prevention Community Support Program The program will be supervised by the APACC Board Crime Prevention Community Support Program Committee One fulltime and one part time staffs will operate the program	\$ 20,000 00
Asian Pacific American Legal Center	1145 Wilshire Blvd 2nd Floor	Los Angeles	CA	90017	n/a	501(c)(3)	The fund will be used to help sustain APALC's work to serve, empower and advocate on behalf of the Asian American and Pacific Islander community / 29th Annual Dinner - Deduct one table \$1000	\$ 5,000 00
Asian Pacific Community Fund	315 W 9th Street, Suite 301	Los Angeles	CA	90015	n/a	501(c)(3)	General Operating Support/6th Annual Gala - 8 attendees, deduct \$800	\$ 15,000 00
Asian Pacific Islander Small Business Program	231 East Third Street	Los Angeles	CA	90013	n/a	501(c)(3)	Program operating support API SBP's budget includes over \$100,000 in federal SBA funding which requires a non-governmental match Funds will help meet the matching funds requirement, effectively leveraging federal funding Overall, approximately 70% of clients are low- and moderate-income, the support will help support this client population	\$ 25,000 00
Boston Chinatown Neighborhood Center	885 Washington St	Boston	MA	2111	n/a	501(c)(3)	Chinese Immigrant Student Leadership program - Fifteen Chinese immigrant high school students from Charlestown High School will be trained directly through BCNC's Youth Center Chinese Immigrant Student Leadership program (ChISL), and 3-4 peer mentors will be recruited to support their peers in the program	\$ 5,000 00
Boston EITC Coalition	43 Hawkins St	Boston	MA	2114	n/a	501(c)(3)	Currently the campaign services 11,500 LMI taxpayers	\$ 5,000 00
Boys & Girls Club of West San Gabriel Valley	328 South Ramona Avenue	Monterrey Park	CA	91754	n/a	501(c)(3)	"Education For Life" program will target Middle School and High School youth from the entire San Gabriel Valley, including Monterey Park, Alhambra, Rosemead and Montebello (LMI areas)	\$ 15,000 00
Break the Cycle	5777 W Century Blvd Suite 1150	Los Angeles	CA	90045	n/a	501(c)(3)	Annual Gala Sponsorship Break the Cycle's Unmasquerade is organized by an all-volunteer group young professionals in the Los Angeles area to "unmask the truth" about dating and domestic abuse while encouraging healthy relationships	\$ 5,000 00

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California Association for Micro Enterprise	1 Hallidie Plaza, Suite 210	San Francisco	CA	94102	n/a	501(c)(3)	General operations of CAMEO's capacity building activities designed to improve and increase services to micro businesses in California	\$ 10,000 00
California Community Economic Development Association (CCEDA)	244 S San Pedro Street, Suite 412	Los Angeles	CA	90012	n/a	501(c)(3)	Operational Support - By serving its membership which consists of organizations that exclusively serve low and moderate income individuals and communities, CCEDA benefits 100% low and moderate income populations	\$ 25,000 00
California Reinvestment Coalition	474 Valencia Street, Suite 110	San Francisco	CA	94103	n/a	501(c)(3)	Support an annual community event that salutes all the CRC members that contributed to community reinvestment in 2010 through their hard work as members of CRC and as community activists	\$ 15,000 00
Career Gear	120 Broadway, 36th Floor	New York	NY	10271	n/a	501(c)(3)	Professional Development Series - Offers vital services to men of all ages and ethnic backgrounds including the disabled, recovering substance abusers, youth aging out of foster care, veterans and the formerly incarcerated	\$ 5,000 00
Center For Employment Opportunity	32 Broadway	New York	NY	10004	n/a	501(c)(3)	Pre-placement training to increase wages and help participants develop skills in areas of vocational interest	\$ 10,000 00
Centro Latino For Literacy	1709 W 8th St , Suite A	Los Angeles	CA	90017	n/a	501(c)(3)	Leamos-Listos Functional Literacy - study basic math, Spanish grammar, and life skills such as financial literacy and health literacy Listos incorporates these important concepts into practical, everyday tasks	\$ 10,000 00
Charity Cultural Service Center	827 Stockton Street	San Francisco	CA	94108	n/a	501(c)(3)	Culinary Academy - helps CCSC to make an impact on 4,000 families per year who live throughout San Francisco with limited-English proficiency, low-income, unemployed and/or new immigrants through Western Cooking, Chinese Cooking and Bartending/Table Waiting trainings These trainings equip graduates with skills they can use in their chosen career to improve their lives	\$ 5,000 00
Chinatown Community Development Center	1525 Grant Avenue	San Francisco	CA	94133	n/a	501(c)(3)	Youth Leaders in Action program - This program will serve 100 low-income Asian American youth through two interrelated projects - Adopt an Alleyway (AAA) and The Chinatown Community Urban Institute These projects continue to build a youth leadership development pipeline while giving youth skills they can use as they prepare for college or enter the workforce	\$ 15,000 00
Chinatown Partnership, LDC	60 St James Place	New York	NY	10038	n/a	501(c)(3)	Invested in the continuance of Chinatown Partnership's successful collective marketing and advocacy programs	\$ 15,000 00
Chinatown Service Center	767 North Hill Street	Los Angeles	CA	90012	n/a	501(c)(3)	VITA EITC Program / Youth Center / Financial Education Program for Youth and their Families / Community Health Center / 41st Anniversary Gala Celebration 6 dinner attendees, deduct \$600	\$ 125,000 00
Chinese American Planning Council	150 Elizabeth St	New York	NY	10012	n/a	501(c)(3)	Senior Citizen Services - three senior citizen centers in Manhattan and Queens and also offers seniors services at the Brooklyn Branch office that provide congregate meals, exercise classes, social and recreational activities and trips, ESL and civics classes, health screenings and immunizations, mental health prevention services, informational workshops, entitlement/benefit application assistance and more	\$ 10,000 00
Chinese American Service League	2141 S Tan Ct	Chicago	IL	60616	n/a	501(c)(3)	Small Accessible Repairs for Seniors program, TACIT housing related technical assistance, CEDA's Low Income Home Energy Assistance Program (LIHEAP Program) and the EAN home weatherization and energy assistance to eligible families	\$ 25,000 00
Chinese Community Center, Inc	9800 Town Park Drive	Houston	TX	77036	n/a	501(c)(3)	Project THRIVE 1700 program participants who are Low to Moderate Income, Immigrant, Limited English Proficiency, and potential or existing small business owners	\$ 15,000 00
Chinese Community Health Resource Center	845 Jackson Street, Suite 101	San Francisco	CA	94133	n/a	501(c)(3)	Improvement of CCHRC's Teen Health Website (www.TeensInCharge.org) Not specifically for LMI	\$ 7,000 00
Chinese Historical Society of Southern California	415 Bernard St	Los Angeles	CA	90012	n/a	501(c)(3)	Dinner event	\$ 3,000 00
Chinese Information Service Center	611 S Lane Street	Seattle	WA	98104	n/a	501(c)(3)	Provide services to keep over 100 low and very low income Chinese seniors healthy and active Seniors participate in a variety of programs that enable them to build relationships among peers while learning new skills The program emphasizes self-worth and a nurturing, supportive and homelike environment through caring companionship and constructive activities	\$ 20,000 00

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Chinese Newcomers Service Center	777 Stockton Street	San Francisco	CA	94108	n/a	501(c)(3)	Invisible But In Need Services to Immigrants and Low to Moderate Income Individuals 2011 Radiothon and 2011 Annual Emperor & Empress Charity Ball	\$ 10,000 00
Chrysalis	1853 Lincoln Blvd	Santa Monica	CA	90402	n/a	501(c)(3)	Self-directed Employment Program - One-on-one mentoring and case management with an Employee Specialist, job preparation classes that teach basic job-search techniques, career development and computer skills, personalized assistance with resumes, practice interviews and computer and telephone skills, dynamic life-skills workshops and support groups to manage ongoing challenges, access to computers, telephones, copiers, fax machines, bus tokens and referrals to housing, health services, childcare and other supportive services	\$ 3,000 00
Coalition for Humane Immigrant Rights of Los Angeles (CHIRLA)	2533 W Third St Suite 101	Los Angeles	CA	90057	n/a	501(c)(3)	Annual Spring Gala - The funds raised through CHIRLA's Annual Gala support our Community Education programs which provide free education and support for immigrant workers and youth including workshops and trainings in topics such as citizenship and worker rights for adults, and college access for youth 2 attendees, deduct \$200	\$ 5,000 00
Coalition for Responsible Community Development	3101 S Grand Ave	Los Angeles	CA	90007	n/a	501(c)(3)	Affordable Housing Development in South Los Angeles - funds specifically toward personnel (Director of Real Estate & Economic Development and the Project Manager), and operating costs to coordinate the affordable housing development process	\$ 20,000 00
Common Ground Community HDFC, INC NY	505 Eighth Avenue	New York	NY	10018	n/a	501(c)(3)	Housing at least 2,400 chronically homeless and very low-income individuals in their permanent supportive housing resources citywide, including many members of special needs and hard-to-house populations, such as persons living with HIV/AIDS, persons with severe and persistent mental illness, indigent seniors, displaced veterans, and youth aging out of foster care	\$ 10,000 00
Community Financial Resource Center	4060 S Figueroa St	Los Angeles	CA	90037	n/a	501(c)(3)	General Operations - CFRC's economic impact is reflected in the 3,421 jobs created/retained through CFRC programs, which results in approximately \$119,735,000* invested back into the community	\$ 5,000 00
Denise Louie	606 Maynard Ave S #101	Seattle	WA	98104	n/a	501(c)(3)	Event underwriting	\$ 2,500 00
Doe Fund Inc , The	232 East 84th Street	New York	NY	10028	n/a	501(c)(3)	The Doe Fund and its signature program, Ready, Willing & Able (RWA), provides paid work, transitional housing, training and comprehensive social services to homeless and formerly incarcerated men, empowering them to achieve lives of self-sufficiency	\$ 15,000 00
Enterprise Community Partners	600 Wilshire Blvd Suite 600	Los Angeles	CA	90602	n/a	501(c)(3)	Housing Preservation Program develops and implements tangible solutions for preserving vital affordable housing stock in the Los Angeles area so that low- and moderate income people who live in these homes will not be displaced	\$ 5,000 00
Express Advantage	1930 6th Avenue South, Suite 101	Seattle	WA	98134	n/a	501(c)(3)	The Community Member Service Representative (CMSR) Program - To perform outreach and financial education and financial coaching to low income populations	\$ 4,000 00
Family Bridges	168 11th Street	Oakland	CA	94607	n/a	501(c)(3)	Program Support - More than 15,000 seniors, low-income and new Asian immigrants will benefit enormously from the additional funding. Will be used in enhancing the services at their adult day care centers, senior activity center, and diabetes education center	\$ 20,000 00
Family Support Services of Bay Area	401 Grand Avenue, Suite 500	Oakland	CA	94610	n/a	501(c)(3)	OreMi Mentoring Program, which provides Alameda County children and youth in foster care or who have an incarcerated parent with caring, responsible adult mentors. One hundred percent of the children served come from low-income backgrounds, with the majority living below the federal poverty line	\$ 10,000 00
Flushing Council on Culture and the Arts	137-35 Northern Blvd	Flushing	NY	11001	n/a	501(c)(3)	Story Mosaics 2 - A Visual Arts and English Language (ELA) integration project for English Language Learners (ELL)	\$ 5,000 00
Food Bank for New York City	39 Broadway, 10th Floor	New York	NY	10006	n/a	501(c)(3)	ETC as well as outreach to its low-income customers in New York City's Asian communities to help get free tax services to those in need. With a renewed grant the Food Bank will fund our operation in Queens and Chinatown which serve a large Chinese-speaking population	\$ 60,000 00

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Friends of the Chinatown Library	639 North Hill Street	Los Angeles	CA	90012	n/a	501(c)(3)	Provides library services for Chinatown's youth for after-school and non Saturday school hours.	\$ 13,000 00
Friends of the Chinese American Museum	125 Paseo de la Plaza, Suite 300	Los Angeles	CA	90012	n/a	501(c)(3)	General Operating Support	\$ 20,000 00
Garden of Hope	P O Box 5200048	Flushing	NY	11352	n/a	501(c)(3)	The Hope House Project - Established to provide emergency shelter services to those desperately in need of protection from violence among Chinese immigrants in the New York City area. They estimate that more than 30 individuals will benefit from the Hope House Project annually.	\$ 10,000 00
Greater Boston Chinese Golden Age Center	75 Kneeland St., Suite 204	Boston	MA	2111	n/a	501(c)(3)	Hong Lok House - Provides 28 rent-assisted apartments for a vulnerable population of 39 Asian elders, of whom 24 or 62% are women. The typical Hong Lok House resident is over 62 years old with income at or below 50% of the area median income.	\$ 5,000 00
Greenlining Institute	1918 University Avenue	Berkeley	CA	94704	n/a	501(c)(3)	Greenlining's 19th Annual Economic Summit - A unique event that convenes cross-sector leaders to share and build solutions to the challenges facing our nation. The annual summit is attended yearly by over 1200 diverse participants, all of whom are key to creating the space for influential members of the private, public, and community sectors to share ideas and information, all with the larger goal of ending racial and economic inequality.	\$ 10,000 00
Habitat for Humanity (San Francisco)	645 Harrison Street, Suite 201	San Francisco	CA	94017	n/a	501(c)(3)	Affordable Homeownership Program - HGSF's new construction development, rehabilitation through the Neighborhood Revitalization Program, and financial education and asset building through the Homebuyer Readiness Program, align with preserving, rehabilitating and constructing affordable housing, and providing homebuyer counseling to families in the LMI community.	\$ 5,000 00
Henry Street Settlement	265 Henry Street	New York	NY	10002	n/a	501(c)(3)	Sunday Meal Program - Used to cover the expenses for providing 1,600 meals to seniors during the year, and the associated staffing costs.	\$ 10,000 00
Hollywood Housing	5020 Santa Monica Blvd	Los Angeles	CA	90029	n/a	501(c)(3)	Support every-day, "nuts-and-bolts" expenses related to the acquisition or pre-development stages of 5 new properties.	\$ 5,000 00
Housing Partnership Development Corporation	242 W 36TH Street, 3rd Floor	New York	NY	10018	n/a	501(c)(3)	Neighborhood Preservation & Rehabilitation - Focus is on New York City where our Neighborhood Preservation & Rehabilitation programs are developing and preserving affordable homeownership and rental properties for households earning between 60% and 80% of AMI.	\$ 25,000 00
Hua Xia Chinese School	5925 Sovereign Drive	Houston	TX	77036	n/a	501(c)(3)	Many of the students come from recently immigrated families that are in the low to moderate income population. This grant will be used to provide additional financial support for those students as well as other students belonging to low income families.	\$ 5,000 00
Innercity Struggle	124 North Townsend Ave	Los Angeles	CA	90063	n/a	501(c)(3)	\$5000 grant requested \$2,500 for Academic Project and \$2,500 for awards dinner. 2 seats deduct \$250 (\$125/ticket).	\$ 5,000 00
Interim Community Development Asso (Merged with International District Housing Alliance)	606 Maynard Avenue, Suite 105	Seattle	WA	98104	n/a	501(c)(3)	Strategic Planning for Community Asset Building for residents of the Chinatown/International District Neighborhood in Seattle, WA. Asian and other immigrant/refugee residents of the Chinatown/International District (CID) who are very low to moderate income, Limited English proficient (LEP).	\$ 10,000 00
International Examiner	622 S Washington St	Seattle	WA	98104	n/a	501(c)(3)	InspirAsian Youth Digital Media Workshop - Empower inner city, low-income high school students of color through the education and utilization of community journalism and digital media skills.	\$ 5,000 00
International Institute of Los Angeles	3845 Selig Place	Los Angeles	CA	90031	n/a	501(c)(3)	Provides employment skills to newly arrived low income immigrants.	\$ 13,000 00
Irvine Chinese School South Coast Chinese Cultural Association	9 Truman	Irvine	CA	92620	n/a	501(c)(3)	Provides an array of cultural services to the Chinese American community.	\$ 13,000 00
Jewish Free Loan Association	6505 Wilshire Blvd Suite 715	Los Angeles	CA	90048	n/a	501(c)(3)	Microenterprise Small Business Loan Fund - The target market is the working poor who have their own businesses or who seek to open their own businesses.	\$ 10,000 00
Jewish Vocational Services	6505 Wilshire Blvd Ste 200	Los Angeles	CA		n/a	501(c)(3)	BankWorks™ prepares job seekers for positions as bank tellers as a first step on the career ladder in the financial services industry. This intensive eight-week program offers job training, job placement assistance and ongoing coaching for career advancement.	\$ 10,000 00

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Junior Achievement of So California	6250 Forest Lawn Drive	Los Angeles	CA	90068	n/a	501(c)(3)	JA Finance Park - Provide the funds for JA to serve 1,534 students in our cutting edge JA Finance Park (JAFP) program and budget simulation. The majority of JAFP students (69% come from low-to-moderate income households) are from LAUSD schools in low-income areas such as East LA, Compton, Crenshaw, Downtown LA, and Canoga Park.	\$ 100,000.00
Junior Achievement of Washington	1700 Westlake Avenue N, Suite 400	Seattle	WA	98109	n/a	501(c)(3)	Underwriting student sponsorships at JA World. To address the challenges facing King and Pierce County youth and as pertains to this specific grant request, JA will expand student sponsorships to more than 165 5th and 8th grade at-risk/disadvantaged youth for participation in JA's experiential learning programs, JA Finance Park and JA BizTown.	\$ 6,000.00
Korean American Family Services Center	P O Box 541429	New York	NY	11354	n/a	501(c)(3)	Youth Community Project Team (YCPT) & Unni-Hyung "Big Sister - Big Brother" Mentoring Program - YCPT to develop leadership, positive-self-esteem and a healthy bicultural identity that embraces both Korean and American cultures. Moreover, in the process of planning and implementing projects, youth feel an affiliation with the community, gaining understanding of its needs and mature as responsible citizens. Unni-Hyung "Big Sister, Big Brother" Mentoring program matches youth (ages 11-18) with an adult, typically a young professional or older college student, who provides friendship and guidance.	\$ 15,000.00
Korean Youth & Community Center	3727 West 6th Street, Suite 300	Los Angeles	CA	90020	n/a	501(c)(3)	Wraparound Financial Case Management - The funds requested will be used to fund program activities related to wrap-around financial case management services. This program's target population is low- to moderate-income individuals and families.	\$ 10,000.00
LAMP Inc	526 San Pedro St	Los Angeles	CA	90013	n/a	501(c)(3)	Organization that permanently ends homelessness, improves health, and builds self-sufficiency among men and women living with severe mental illness.	\$ 5,000.00
Latino Business Chamber of Greater Los Angeles	634 S Spring St	Los Angeles	CA	90014	n/a	501(c)(3)	Support for technical assistance programs - Small to medium-sized Latino businesses and entrepreneurs who wish to start, expand and/or remain in business. Teach members to not only how to access capital, but also train and educate them on how to succeed in their business ventures.	\$ 15,000.00
Little Tokyo Service Center	213 East Third Street, G106	Los Angeles	CA	90013	n/a	501(c)(3)	Affordable Housing Collaborative - LTSC's Affordable Housing Collaborative serves the low-income community living in Los Angeles and surrounding counties. As the lead agency of the Collaborative, LTSC works with other community-based organizations to (1) help such organizations build multifamily affordable housing projects that serve their communities and (2) increase the capacity of the organizations to engage in future housing development.	\$ 5,000.00
Longbeach Community College (AEI)	4901 East Carson Street	Long Beach	CA	90808	n/a	501(c)(3)	AEI seminar	\$ 167.00
Los Angeles Regional Food Bank	1734 E 41st Street	Los Angeles	CA	90058	n/a	501(c)(3)	Fund supports the essential functions of the Foodbank that includes purchasing, soliciting, collecting, sorting, storing and distributing millions of pounds of food every month to people at risk for hunger.	\$ 10,000.00
Los Angeles Sheriff's Department	5019 E 3rd St	Los Angeles	CA	90022	n/a	501(c)(3)	Success Through Awareness & Resistance - "S T A R" Drug, gang and violence prevention education classes are co-taught throughout Los Angeles county schools by specially trained Sheriff's Deputies, reaching over 100,000 youths.	\$ 1,500.00
MEED Center	7610 N Stemmons Freeway Suite 690	Dallas	TX	75247	n/a	501(c)(3)	"Jumpstart Your Business Phase II" -monthly Small Business Seminar Series	\$ 5,000.00
Mercy Housing Lakefront	120 South La Salle, Suite 1850	Chicago	IL		n/a	501(c)(3)	2012 Affordable Housing Initiative - this project will serve approximately 2,500 low income individuals including families, seniors, and individuals with special needs.	\$ 10,000.00
Midnight Mission	601 South San Pedro Street	Los Angeles	CA	90014	n/a	501(c)(3)	Homeless Children's Impact Project - Family Housing. 15 families in 2012 with 50 children will be in residence in 2012 and funding will provide these children ages 5-17 with domestic violence/mental health counseling, educational assistance, and organized recreational activities.	\$ 5,000.00

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Minkwon Center	136-19 41st Ave 3rd Floor	Flushing	NY	11355	n/a	501(c)(3)	Financial Empowerment Clinic - Primarily focus on the low-income Asian American immigrant community in Flushing, Queens. Expected to reach out to a total of 1,000 people through the financial empowerment services and educational workshops	\$ 5,000 00
Mosaic Family Services, Inc	4144 N Central Expressway, Suite 530	Dallas	TX	75204	n/a	501(c)(3)	Mosaic House - Mosaic House provides comprehensive shelter services for immigrant women and children who have survived domestic violence or human trafficking. Approximately 200 clients will benefit from shelter this year.	\$ 5,000 00
National Asian American Coalition	9580 Black Mountain Road, Suite A	San Diego	CA	92126	n/a	501(c)(3)	Nation's leading Asian American nonprofit advocating against foreclosures, advocating for greater economic and small business development and to recognize the growing influence of Asian Americans	\$ 10,000 00
Neighborhood Housing Services of Chicago (NHS)	1279 N Milwaukee Avenue, 5th floor	Chicago	IL	60622	n/a	501(c)(3)	Home Ownership Program - to provide homebuyer education to 1,450 prospective homebuyers, post-purchase education to 550 current homeowners, foreclosure prevention counseling and loss mitigation services to 4,235 clients, and save 1,910 clients from foreclosure	\$ 10,000 00
Neighborhood Housing Services of the Inland Empire, Inc	1390 North D Street	San Bernardino	CA	92405	n/a	501(c)(3)	Inland Empire Homeownership Outreach Project - Reaches the diverse community of the Inland Empire to serve low- to moderate-income potential homebuyers. Outreach to the Hispanic community in western San Bernardino and Riverside Counties	\$ 15,000 00
Network for Teaching Entrepreneurship	350 S Bixel St Suite 280	Los Angeles	CA	90017	n/a	501(c)(3)	Greater LA Schools Entrepreneurship Initiative - selects, trains and supports an elite cadre of committed, energized teachers and youth development professionals who deliver our award-winning, experiential program in our city's toughest schools. Students engage in hands-on exercises like the "Lego Activity" where they learn how to build their Economics of One Unit by creating a toy to bring to market. Students learn to recognize opportunity by participating in a Community Walk-About, where they map out local businesses and create new business ideas by identifying unmet needs.	\$ 10,000 00
Operation HOPE	707 Wilshire Blvd, Ste 3030	Los Angeles	CA	90017	n/a	501(c)(3)	The HOPE Financial Dignity Centers (HOPE Centers) target adults from low- to moderate income communities, while Banking on Our Future targets youth ages 9-18 from low- to moderate-income communities. The four HOPE Centers in Los Angeles County will serve an estimated 15,000 adults in 2012 with various services, while Banking on Our Future intends to reach 6,000 youth in Los Angeles and Orange Counties in 2012.	\$ 30,000 00
Operation Nightwatch	1265 S Main Street, Suite 216	Seattle	WA	98144	n/a	501(c)(3)	Permanent Low-Income Senior Housing Program - The Senior Housing Program at Operation Nightwatch is designed to meet the permanent housing needs of people age 62 or older or disabled people with the health needs of a 62 year old. Most tenants have been homeless. There are 24 tenants housed in this program.	\$ 8,000 00
Opportunity Fund	111 West St John Street, Suite 800	San Jose	CA	95113	n/a	501(c)(3)	Scaling Microlending in California - Funds will support outreach, underwriting, and loan servicing to provide financing for disadvantaged small business owners, including 85+% low- and moderate-income.	\$ 20,000 00
Pacific Clinics	800 S Santa Anita Ave	Arcadia	CA	91006	n/a	501(c)(3)	Provides mental behavioral healthcare services to individuals and families of all diverse backgrounds & cultures	\$ 13,000 00
Pacific Coast Regional (AEI seminar)	3255 Wilshire Blvd Ste 1501	Los Angeles	CA		n/a	501(c)(3)	AEI seminar	\$ 2,000 00
Parent's Place	1500 S Hyacinth Ave Suite B	West Covina	CA	91791	n/a	501(c)(3)	To support the staff positions and associated program costs for the development and expansion of our Parent Access and Support Services (P.A.S.S.) Program	\$ 10,000 00
PediPlace	502 South Old Orchard Lane	Lewisville	TX	75067	n/a	501(c)(3)	Healthy Kids Program - Uninsured children or children receiving Medicaid or CHIP benefits. In 2011, PediPlace served 5,775 children in 21,239 office visits.	\$ 5,000 00
People Fund (formally The Plan Fund)	2801 Swiss Avenue, Suite 120	Dallas	TX	75204	n/a	501(c)(3)	Comprehensive Microlending Program	\$ 5,000 00
Prison Entrepreneurship Program	4140 Directors Row, Suite B	Houston	TX	77092	n/a	501(c)(3)	Financial Literacy in "Mini MBA" program - PEP's core curriculum is a six-month class taught by PEP staff and business executives from the college level textbook, "Entrepreneurship: A Small Business Approach"	\$ 10,000 00

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Project Access	3900 Birch St Suite 113	Newport Beach	CA	92660	n/a	501(c)(3)	Financial Literacy Workshops, one-on-one sessions, information & referral, and an incentivized savings program to 700 LMI Southern California residents, 75 will reach the goal of saving \$25/month for 6 months	\$ 5,000 00
Project Vision	301 S Archer Ave Unit #3	Chicago	IL	60616	n/a	501(c)(3)	After School Homework Tutoring Program - The program will serve 50 youths in grades 6-12, primarily from low/moderate income, first generation Chinese immigrant families	\$ 5,000 00
Seattle Chinatown International District	409 Maynard Ave South, Suite 200	Seattle	WA	98114	n/a	501(c)(3)	Directly support staff time for project implementation, staff training, training sessions for businesses and property owners, and related advertising/marketing initiatives (as incentives for participating businesses)	\$ 15,000 00
Self Help for the Elderly (SHE)	407 Sansome Street	San Francisco	CA	94111	n/a	501(c)(3)	Life Skills Training for 200 adults between the ages of 50-75 with limited English proficiency and limited skills	\$ 25,000 00
Shuang Wen Academy Network	80 Montgomery St	New York	NY	10002	n/a	501(c)(3)	To introduce a new Bi-Lingual Financial Literacy Program to our after-school and summer Mandarin immersion programs SWAN will enroll a total of 50 students between the ages of nine and 14 in this program	\$ 5,000 00
Sophia Way	700 108th Ave NE	Bellevue	WA	98104	n/a	501(c)(3)	Operating expenses for overnight shelter	\$ 10,000 00
Southeast Asian Community Center	875 O'Farrell Street	San Francisco	CA	94109	n/a	501(c)(3)	SBA 7(m) Microloan Program- SEACC is a designated intermediary lender for the US Small Business Administration's 7(m) Microloan program This program provides commercial loans of \$1,000 to \$50,000, to small businesses that have been unable to secure conventional bank loans	\$ 10,000 00
Taiwanese American Heritage Week LA Chapter	3001 Walnut Grove Ave	Rosemead	CA	91770	n/a	501(c)(3)	To promote Taiwanese culture and heritage via charitable, literary & educational activities	\$ 6,500 00
Taiwanese American Heritage Week OC Chapter	2901 W McArthur Blvd	Santa Ana	CA	92704	n/a	501(c)(3)	To promote Taiwanese culture and heritage via charitable, literary & educational activities	\$ 6,500 00
Tenderloin Neighborhood Development	201 Eddy Street	San Francisco	CA	94102	n/a	501(c)(3)	1) Create affordable housing opportunities for low-and extremely low-income San Franciscans (efforts are underway to build and rehabilitate 1,658 units of housing for formerly homeless families, seniors and individuals) 2) Provide support services to 3,000 residents to help keep them housed with access to resources and assistance through Tenant Services, 3) Offer free educational, cultural, social, and recreational support to 225 Tenderloin children through TNDC's After-School Program (TASP) and, 4) Preserve the unique history and character of the neighborhood while revitalizing the economic, social, and political fabric of the community	\$ 20,000 00
The Gabriella Foundation	639 S Commonwealth Ave., Ste B	Los Angeles	CA	90005	n/a	501(c)(3)	After-school program for LMI children	\$ 2,500 00
The Women's Home	607 Westheimer Road	Houston	TX	77006	n/a	501(c)(3)	Women's Home Whole Life Program - provide housing and support services to low-income and homeless women	\$ 5,000 00
TMC Development Working Solutions	440 Pacific Avenue	San Francisco	CA	94133	n/a	501(c)(3)	Gold sponsorship of Working Solutions Annual Breakfast The funds are used to provide microloans of \$5,000 to \$50,000 to population of mostly low-income entrepreneurs as well as 5 years of business coaching, mentoring, and support 71% of services are provided to low-income individuals	\$ 5,000 00
Tower Foundation of San Jose State University	One Washington Square	San Jose	CA	95192	n/a	501(c)(3)	Housing, Financial Literacy and Business Development - 400 residents (adults and children) of low-income communities in central San Jose	\$ 10,000 00
Union Station Homeless Shelter	825 E Orange Grove Blvd	Pasadena	CA	91104	n/a	501(c)(3)	Family Center - When families in our community are in crisis, they turn to Union Station's Family Center, a nurturing and home-like environment that offers sanctuary for 125 parents and children (in 40 families) each year	\$ 5,000 00
Urban Solutions	1167 Mission Street Suite 200	San Francisco	CA	94103	n/a	501(c)(3)	Programs provide traditional business planning, financing and mentoring support primarily to low- and moderate-income communities	\$ 10,000 00
Valley Economic Development Center	5121 Van Nuys Blvd 3rd Floor	Van Nuys	CA	91403	n/a	501(c)(3)	Operational Support and sponsorship of the annual "Where's the Money" Access to Capital Business Events in the San Fernando Valley, Downtown, Los Angeles, and San Francisco General operating support for VEDC's programs and facilities throughout Los Angeles County Cathay Bank hosted the event	\$ 70,000 00

Organization Name	Street Address	CITY	STATE	ZIP	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose/Use of Funds	Grant Amount
Vietnamese Association of Illinois	5110 N Broadway	Chicago	IL	60640	n/a	501(c)(3)	Healthy Asian Youth (HAY) Program - Specifically designed to serve the needs of "at risk" Asian American youth in the Chicago Uptown area. Building on pre-existing youth programs, VAI will provide after-school tutoring, life-skills mentoring, and summer art classes to over one hundred children and teenagers	\$ 8,000 00
Vietnamese Friendship Association	7101 Martin Luther King Jr Way S	Seattle	WA	98118	n/a	501(c)(3)	Healthy Asian Youth (HAY) Program- Building on pre-existing youth programs, VAI will provide after-school tutoring, life-skills mentoring, and summer art classes to over one hundred children and teenagers	\$ 5,000 00
Washington CASH	2100 24th Ave S, Suite 380	Seattle	WA	98144	n/a	501(c)(3)	Microenterprise development programming -expenses include personnel, program supplies and materials, occupancy, and mileage	\$ 5,000 00
Weingart Center	566 South San Pedro Street	Los Angeles	CA	90013	n/a	501(c)(3)	Weingart Center Workforce Development Program - Target market are homeless men and women in Skid Row. An estimated 465 homeless individuals (adult men and women) will benefit from the program in one year	\$ 10,000 00
Worksite Wellness LA	5955 S Western Avenue	Los Angeles	CA	90047	n/a	501(c)(3)	Project Access - Conduct nutrition and obesity prevention presentation to an additional 300 low income workers and build partnerships with additional organizations as a result of this grant. Also provide referrals to sources of regular medical care for a minimum of 200 low-income workers and their families as well as enroll a minimum of 100 uninsured workers and their families in low-cost health insurance products as a result of this grant	\$ 5,000 00
Wu Yee Children's Services	706 Mission Street, 6th Floor	San Francisco	CA	94013	n/a	501(c)(3)	Joy Lok Family Resource Center/Community Events	\$ 10,000 00
YMCA San Pedro & Wilmington	301 S Bandini Street	San Pedro	CA	90731	n/a	501(c)(3)	Homework Help Center to support 15-20 LMI students per weekday by covering costs associated with one lead staff person, supplies, and internet access for 12 computers	\$ 5,000 00
Yolo Mutual Housing	8001 Fruitridge Rd , Suite A	Sacramento	CA	95820	n/a	501(c)(3)	To acquire and rehabilitate 101 units of multifamily housing in North Highlands, CA. Mutual Housing will renovate a 146-unit apartment complex - currently dilapidated and attracting crime - to offer safer, higher quality housing that is affordable to people with low and very low incomes	\$ 10,000 00
TOTAL								\$ 1,486,667 00