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Form 990-PF

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation IRENE W. AND C. B. PENNINGTON FOUNDATION		A Employer identification number 72-0938097
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 1083	Room/suite	B Telephone number (225) 922-4600
City or town, state, and ZIP code BATON ROUGE, LA 70821		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 7,036,919.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				
2 Check ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	4,468.	4,468.		STATEMENT 1
4 Dividends and interest from securities	3,543,860.	3,543,860.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	<2,596,322.>			
b Gross sales price for all assets on line 6a	83,581,247.			
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	100,577.	<421,914.>	0.	STATEMENT 3
12 Total. Add lines 1 through 11	1,052,583.	3,126,414.	0.	
13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
14 Other employee salaries and wages	26,351.	0.	0.	0.
15 Pension plans, employee benefits	53,363.	0.	0.	0.
16a Legal fees STMT 4	1,108.	0.	0.	0.
b Accounting fees STMT 5	19,851.	9,925.	0.	0.
c Other professional fees STMT 6	483,496.	390,641.	0.	0.
17 Interest	265,307.	265,307.	0.	0.
18 Taxes STMT 7	98,500.	68,091.	0.	0.
19 Depreciation and depletion	214,461.	211,074.	11,099.	
20 Occupancy	41,063.	0.	0.	0.
21 Travel, conferences, and meetings	38,967.	0.	0.	0.
22 Printing and publications				
23 Other expenses STMT 8	1,446,655.	1,275,041.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23	2,689,122.	2,220,079.	11,099.	0.
25 Contributions, gifts, grants paid	6,629,675.			6,629,675.
26 Total expenses and disbursements. Add lines 24 and 25	9,318,797.	2,220,079.	11,099.	6,629,675.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	<8,266,214.>			
b Net investment income (if negative, enter -0-)		906,335.		
c Adjusted net income (if negative, enter -0-)			0.	

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LHA For Paperwork Reduction Act Notice, see Instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	20,577.	4,529,114.	4,529,114.
	2 Savings and temporary cash investments		2,501,305.	2,501,305.
	3 Accounts receivable ▶ 2,857,936.			
	Less: allowance for doubtful accounts ▶	2,025,834.	2,857,936.	
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons	49.	49.	
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 11	39,413,466.	31,348,690.	0.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 12	91,998,901.	83,689,554.	0.
	14 Land, buildings, and equipment basis ▶ 72,833.			
	Less: accumulated depreciation STMT 10 ▶ 66,333.	9,887.	6,500.	6,500.
	15 Other assets (describe ▶ DEPOSITS)	900.	900.	0.
	16 Total assets (to be completed by all filers)	133,469,614.	124,934,048.	7,036,919.
	17 Accounts payable and accrued expenses	847.	<312.>	
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 13)	36,050.	32,740.	
23 Total liabilities (add lines 17 through 22)	36,897.	32,428.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	133,432,717.	124,901,620.		
30 Total net assets or fund balances	133,432,717.	124,901,620.		
31 Total liabilities and net assets/fund balances	133,469,614.	124,934,048.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	133,432,717.
2 Enter amount from Part I, line 27a	2	<8,266,214.>
3 Other increases not included in line 2 (itemize) ▶ NONTAXABLE DISTRIBUTIONS	3	23,122.
4 Add lines 1, 2, and 3	4	125,189,625.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	288,005.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	124,901,620.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 83,581,247.			<2,596,322.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			<2,596,322.>

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 **2** <2,596,322.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	7,124,371.	124,738,704.	.057114
2010	6,302,898.	118,830,703.	.053041
2009	6,249,282.	112,359,040.	.055619
2008	6,842,809.	142,209,292.	.048118
2007	7,031,438.	160,412,169.	.043834

2 Total of line 1, column (d)	2 .257726
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .051545
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4 126,581,211.
5 Multiply line 4 by line 3	5 6,524,629.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 9,063.
7 Add lines 5 and 6	7 6,533,692.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8 6,629,675.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	9,063.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	9,063.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	9,063.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	234,777.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	234,777.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	225,714.
11	Enter the amount of line 10 to be credited to 2013 estimated tax	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions)		
LA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► POSTLETHWAITE & NETTERVILLE, CPA'S Telephone no. ► (225) 922-4600 Located at ► 8550 UNITED PLAZA BLVD, BATON ROUGE, LA ZIP+4 ► 70809			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LORI J BERTMAN - 3635 GLADIOLA STREET, BATON ROUGE, LA 70808	PRESIDENT/CEO 40.00	240,000.	0.	0.
VONNIE L HAWKINS - 13564 NEIL AVENUE, BATON ROUGE, LA 70810	PROGRAM OFFICER 40.00	87,400.	0.	0.

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
EQUITAS CAPITAL ADVISORS 365 CANAL STREET, NEW ORLEANS, LA 70130	INVESTMENT	283,212.
UBS FINANCIAL SERVICES - 3280 PEACHTREE RD NE 21ST FLOOR, ATLANTA, GA 30305	INVESTMENT	107,428.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	123,045,470.
b	Average of monthly cash balances	1b	5,463,374.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	128,508,844.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	128,508,844.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,927,633.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	126,581,211.
6	Minimum investment return. Enter 5% of line 5	6	6,329,061.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,329,061.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	9,063.
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b	123,768.
c	Add lines 2a and 2b	2c	132,831.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,196,230.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	6,196,230.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,196,230.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,629,675.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,629,675.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	9,063.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,620,612.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				6,196,230.
2 Undistributed Income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				703,557.
d From 2010				538,001.
e From 2011				1,130,813.
f Total of lines 3a through e	2,372,371.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 6,629,675.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				6,196,230.
e Remaining amount distributed out of corpus	433,445.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,805,816.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	2,805,816.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				703,557.
c Excess from 2010				538,001.
d Excess from 2011				1,130,813.
e Excess from 2012				433,445.

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

2012.04020 IRENE W. AND C. B. PENNINGT BPEI1011

Part XV	Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a Paid during the year					
(SEE ATTACHED)					6,629,675.
Total					6,629,675.
b Approved for future payment					
NONE					
Total					0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if
self-employed

PTIN

RALPH STEPHENS

Firm's name ▶ **POSTLETHWAITE & NETTERVILLE**

Firm's EIN ▶ 72-1202445

Firm's address ► 8550 UNITED PLAZA BLVD, SUITE 1001
BATON ROUGE, LA 70809

Phone no (225) 922-4600

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. IRENE W. AND C. B. PENNINGTON FOUNDATION	Employer identification number (EIN) or 72-0938097
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. BOX 1083	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. BATON ROUGE, LA 70821	

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

POSTLETHWAITE & NETTERVILLE, CPA'S

- The books are in the care of ► **8550 UNITED PLAZA BLVD - BATON ROUGE, LA 70809**
Telephone No. ► **(225) 922-4600** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ► ☐. If it is for part of the group, check this box ► ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2012** or
► ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	234,777.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	234,777.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☐ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions. Irene W. & C.B. Pennington Foundation	Employer identification number (EIN) or 720938097
	Number, street, and room or suite no. If a P.O. box, see instructions. P.O. Box 1083	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. Baton Rouge, LA 70821	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ _____
Telephone No. ▶ _____ FAX No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until November 15, 20 13.
- 5 For calendar year 2012 or other tax year beginning _____, 20____, and ending _____, 20____.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension Taxpayer's accountants have not had sufficient time to gather information to prepare a complete and accurate return.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$ <u>5</u>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$ <u>0</u>

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶

Title ▶

Date ▶

Form 8868 (Rev. 1-2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NWQ - ST (SEE ATTACHED)	P		
b NWQ - LT (SEE ATTACHED)	P		
c BUCKHEAD - ST (SEE ATTACHED)	P		
d BUCKHEAD - LT (SEE ATTACHED)	P		
e FORWARD UNIPLAN (SEE ATTACHED)	P		
f APEX (SEE ATTACHED)	P		
g LAZARD (SEE ATTACHED)	P		
h LAZARD (SEE ATTACHED)	P		
i WCM (SEE ATTACHED)	P		
j WCM (SEE ATTACHED)	P		
k KAYNE ANDERSON (SEE ATTACHED)	P		
l DRM (SEE ATTACHED)	P		
m DRM (SEE ATTACHED)	P		
n EQUITAS #2043 (SEE ATTACHED)	P		
o EQUITAS #2043 (SEE ATTACHED)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,183,958.			<25,410.>
b 3,686,490.			<63,372.>
c 3,279,104.			<210,327.>
d 1,897,198.			64,393.
e 487,237.			17,520.
f 1,170,861.			<44,227.>
g 629,662.			<19,020.>
h 3,434,289.			237,371.
i 1,967,891.			<126,774.>
j 3,944,589.			<974,529.>
k 608,707.			<72,508.>
l 23,215,033.			<617,088.>
m 3,234,357.			620,995.
n 2,637,282.			<73,065.>
o 516,439.			<1,386.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			<25,410.>
b			<63,372.>
c			<210,327.>
d			64,393.
e			17,520.
f			<44,227.>
g			<19,020.>
h			237,371.
i			<126,774.>
j			<974,529.>
k			<72,508.>
l			<617,088.>
m			620,995.
n			<73,065.>
o			<1,386.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

IRENE W. AND C. B. PENNINGTON FOUNDATION

72-0938

PAGE 2 OF 6

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	RS NATURAL RESOURCES (SEE ATTACHED)	P		
b	WENTWORTH (SEE ATTACHED)	P		
c	WENTWORTH (SEE ATTACHED)	P		
d	ANCHOR (SEE ATTACHED)	P		
e	ANCHOR (SEE ATTACHED)	P		
f	ANCHOR (SEE ATTACHED)	P		
g	ANCHOR (SEE ATTACHED)	P		
h	ANCHOR (SEE ATTACHED)	P		
i	MARISCO (SEE ATTACHED)	P		
j	MARISCO (SEE ATTACHED)	P		
k	MARISCO (SEE ATTACHED)	P		
l	OAK RIDGE (SEE ATTACHED)	P		
m	OAK RIDGE (SEE ATTACHED)	P		
n	OAK RIDGE (SEE ATTACHED)	P		
o	PMP (SEE ATTACHED)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 447,734.			<5,556.>
b 42.			<1.>
c 4,141,732.			<720,524.>
d 20,473.			2,068.
e 262.			43.
f 2,952.			492.
g 51.			51.
h 19,316.			1,281.
i 183,716.			<2,788.>
j 77,237.			31,873.
k 47,508.			2,230.
l 17,653.			<2,232.>
m 60,532.			21,691.
n 4,455.			<1,332.>
o 22,408,613.			<636,962.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			<5,556.>
b			<1.>
c			<720,524.>
d			2,068.
e			43.
f			492.
g			51.
h			1,281.
i			<2,788.>
j			31,873.
k			2,230.
l			<2,232.>
m			21,691.
n			<1,332.>
o			<636,962.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

IRENE W. AND C. B. PENNINGTON FOUNDATION

72-0938

PAGE 3 OF 6

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	PMP (SEE ATTACHED)	P		
b	PMP (SEE ATTACHED)	P		
c	PMP (SEE ATTACHED)	P		
d	PMP (SEE ATTACHED)	P		
e	PMP (SEE ATTACHED)	P		
f	TRADEWINDS (SEE ATTACHED)	P		
g	TRADEWINDS (SEE ATTACHED)	P		
h	TRADEWINDS (SEE ATTACHED)	P		
i	WELLS CAPITAL (SEE ATTACHED)	P		
j	WELLS CAPITAL (SEE ATTACHED)	P		
k	WELLS CAPITAL (SEE ATTACHED)	P		
l	WHV (SEE ATTACHED)	P		
m	WHV (SEE ATTACHED)	P		
n	NFJ INTL (SEE ATTACHED)	P		
o	NFJ INTL (SEE ATTACHED)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	204,995.		5,974.
b	768,159.		528,849.
c	4,750.		4,750.
d	368,088.		<710,046.>
e	116,571.		<199,000.>
f	273,961.		<6,985.>
g	500,436.		<124,251.>
h	170,842.		<16,151.>
i	218,323.		<9,455.>
j	36,244.		1,071.
k	68,133.		26,785.
l	8,323.		1,706.
m	9,896.		5,132.
n	57,824.		<28.>
o	59,250.		<7,736.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			5,974.
b			528,849.
c			4,750.
d			<710,046.>
e			<199,000.>
f			<6,985.>
g			<124,251.>
h			<16,151.>
i			<9,455.>
j			1,071.
k			26,785.
l			1,706.
m			5,132.
n			<28.>
o			<7,736.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ATLANTA SMID (SEE ATTACHED)	P		
b	ATLANTA SMID (SEE ATTACHED)	P		
c	WESTEND (SEE ATTACHED)	P		
d	WESTEND (SEE ATTACHED)	P		
e	ALTERNATIVE (SEE ATTACHED)	P		
f	NORTHCOAST (SEE ATTACHED)	P		
g	NORTHCOAST (SEE ATTACHED)	P		
h	SCHAFER CULLEN (SEE ATTACHED)	P		
i	SCHAFER CULLEN (SEE ATTACHED)	P		
j	STRATEGIC ADVISOR (SEE ATTACHED)	P		
k	STRATEGIC ADVISOR (SEE ATTACHED)	P		
l	STRATEGIC ADVISOR (SEE ATTACHED)	P		
m	RHEINHART (SEE ATTACHED)	P		
n	RHEINHART (SEE ATTACHED)	P		
o	RHEINHART (SEE ATTACHED)	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	7,136.		178.
b	22,720.		6,567.
c	27,789.		<762.>
d	88,097.		3,651.
e	168,059.		<31,623.>
f	266,766.		<3,468.>
g	21,515.		7,634.
h	4,026.		<306.>
i	29,188.		5,191.
j	102,810.		<3,965.>
k	187,189.		<12,610.>
l	577.		577.
m	210,516.		<2,057.>
n	214,694.		7,309.
o	10,907.		10,907.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			178.
b			6,567.
c			<762.>
d			3,651.
e			<31,623.>
f			<3,468.>
g			7,634.
h			<306.>
i			5,191.
j			<3,965.>
k			<12,610.>
l			577.
m			<2,057.>
n			7,309.
o			10,907.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a PIEDMONT PARTNERS K-1			
b PIEDMONT PARTNERS K-1			
c EVERGREEN K-1			
d EVERGREEN K-1			
e MERIDIAN HORIZON K-1			
f MERIDIAN HORIZON K-1			
g MERIDIAN HORIZON - PARTIAL SALE			
h MHF SPECIAL INVESTMENTS K-1			
i MHF SPECIAL INVESTMENTS K-1			
j MHF SPECIAL INVESTMENTS - PARTIAL SALE			
k FORWARD UNIPLAN COLLECTIBLES GAIN			
l ALPHAMATRIX K-1			
m ABBEY CAPITAL K-1			
n ABBEY CAPITAL K-1			
o COLLECTIBLES			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			17,675.
b			51,447.
c			279,142.
d			<322,188.>
e			22,580.
f			65,608.
g			<171,477.>
h			<16,164.>
i			<168,857.>
j			6,854.
k			3.
l			<5,676.>
m			<4,326.>
n			<6,488.>
o			7.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			17,675.
b			51,447.
c			279,142.
d			<322,188.>
e			22,580.
f			65,608.
g			<171,477.>
h			<16,164.>
i			<168,857.>
j			6,854.
k			3.
l			<5,676.>
m			<4,326.>
n			<6,488.>
o			7.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

IRENE W. AND C. B. PENNINGTON FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MERIDIAN DIVERSIFIED - PARTIAL SALE				
b 1231 GAIN				
c 1256 GAIN				
d 1256 GAIN				
e 1250 GAIN				
f CAPITAL GAINS DIVIDENDS				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			581,945.
b			15,965.
c			54,316.
d			81,474.
e			3,003.
f 28,090.			28,090.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			581,945.
b			15,965.
c			54,316.
d			81,474.
e			3,003.
f			28,090.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	<2,596,322.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
TAX EXEMPT INTEREST	123.
US TREASURY INTEREST	4,345.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	4,468.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
1980 PARTNERSHIP - INTEREST	2,446.	0.	2,446.
EQUITAS - DIVIDENDS	1,039,201.	25,172.	1,014,029.
EQUITAS - INTEREST	5,481.	0.	5,481.
EVERGREEN - DIVIDENDS	666,094.	0.	666,094.
MERIDIAN - INTEREST	42,419.	0.	42,419.
MERIDIAN I & II - DIVIDENDS	50,276.	0.	50,276.
MHF /RSBM SERIES 2 - INTEREST	41.	0.	41.
MHF SPECIAL INVESTMENTS SERIES 1 - DIVIDENDS	80,930.	0.	80,930.
MHF SPECIAL INVESTMENTS SERIES 1 - INTEREST	29,409.	0.	29,409.
PENNCO ENERGY	1,224.	0.	1,224.
PENNINGTON OIL	1,240,000.	0.	1,240,000.
PIEDMONT PARTNERS	85,167.	0.	85,167.
PTP - DIVIDENDS	2,080.	0.	2,080.
PTP - INTEREST	768.	0.	768.
THEMELIOS VENTURES - INTEREST	77,880.	0.	77,880.
UBS - DIVIDENDS	235,108.	2,918.	232,190.
UBS - INTEREST	13,426.	0.	13,426.
TOTAL TO FM 990-PF, PART I, LN 4	3,571,950.	28,090.	3,543,860.

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
1980 PARTNERSHIP	869,835.	869,835.	0.
1980 PARTNERSHIP	<88,394.>	<88,394.>	0.
ABBAY CAPITAL	<54.>	<54.>	0.
ALPHAMATRIX	<21.>	<21.>	0.
CANCELLATION OF DEBT	39.	39.	0.
EVERGREEN	<49,731.>	<49,731.>	0.
EVERGREEN	530.	530.	0.
HILLCORP	96,206.	96,206.	0.
MERIDIAN CAPITAL PARTNERS - HORIZON 1	20,942.	20,942.	0.
MERIDIAN HORIZON	4,144.	4,144.	0.
MHF SPECIAL INVESTMENTS SERIES 1	<29,395.>	<29,395.>	0.
MHF/RSBM SERIES 2	2,032.	2,032.	0.
PENNCO ENERGY	46.	0.	0.
PENNCO ENERGY, INC.	522,445.	0.	0.
PIEDMONT PARTNERS	<5,154.>	<5,154.>	0.
PIEDMONT PARTNERS LTD	<1,021,536.>	<1,021,536.>	0.
PTP - ORDINARY INCOME	3,024.	3,024.	0.
THEMLIOS VENTURES	<281,408.>	<281,408.>	0.
WHITEBOX	62,764.	62,764.	0.
MAN-AHL DIVERSIFIED	<6,650.>	<6,650.>	0.
MISCELLANEOUS INCOME	913.	913.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	100,577.	<421,914.>	0.

FORM 990-PF

LEGAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	1,108.	0.	0.	0.
TO FM 990-PF, PG 1, LN 16A	1,108.	0.	0.	0.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	19,851.	9,925.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	19,851.	9,925.	0.	0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	1,486.	0.	0.	0.
INVESTMENT FEES	390,641.	390,641.	0.	0.
CONSULTING FEES	64,993.	0.	0.	0.
OTHER PROFESSIONAL FEES	11,322.	0.	0.	0.
OTHER PROFESSIONAL FEES - COMPUTER CONSULTING	15,054.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	483,496.	390,641.	0.	0.

FORM 990-PF	TAXES	STATEMENT	7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	68,091.	68,091.	0.	0.
PAYROLL TAXES	19,662.	0.	0.	0.
SEVERANCE TAX	10,747.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	98,500.	68,091.	0.	0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
1980 PARTNERSHIP DEDUCTIONS	196,961.	196,961.	0.	0.	
DEDUCTIONS - ABBEY CAPITAL	4,778.	4,778.	0.	0.	
DEDUCTIONS - MHF SPECIAL					
INVESTMENTS SERIES 1	37,642.	37,642.	0.	0.	
DEDUCTIONS - MHF/RSBM SERIES					
2	4,411.	4,411.	0.	0.	
DEDUCTIONS - PTP	105,466.	105,466.	0.	0.	
DEDUCTIONS- THEMELIOS					
VENTURES	288,378.	288,378.	0.	0.	
DUES & SUBSCRIPTIONS	11,239.	0.	0.	0.	
EVERGREEN DEDUCTIONS	528,747.	528,747.	0.	0.	
TELEPHONE EXPENSE	9,239.	0.	0.	0.	
MEALS & ENTERTAINMENT	8,551.	0.	0.	0.	
OFFICE EXPENSE	9,993.	0.	0.	0.	
PARTNERSHIP					
DEDUCTIONS-MERIDIAN	108,658.	108,658.	0.	0.	
PENNCO DEDUCTIONS	104,112.	0.	0.	0.	
POSTAGE	1,242.	0.	0.	0.	
MISCELLANEOUS EXPENSE	1,106.	0.	0.	0.	
COMPUTER SERVICES	8,420.	0.	0.	0.	
EDUCATION/SEMINARS	5,320.	0.	0.	0.	
STORGAGE	2,083.	0.	0.	0.	
SPECIAL EVENTS	10,309.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 23	1,446,655.	1,275,041.	0.	0.	

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	9
DESCRIPTION	AMOUNT				
NONDEDUCTIBLE EXPENSE	2,542.				
CORRECTION IN BOOK VALUE OF ASSETS	285,463.				
TOTAL TO FORM 990-PF, PART III, LINE 5	288,005.				

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 10

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
RUG	604.	537.	67.	67.
RECEPTION DESK	10,474.	9,306.	1,168.	1,168.
FILE CABINETS	1,955.	1,737.	218.	218.
PHONE	322.	314.	8.	8.
HEADSET	301.	292.	9.	9.
OFFICE DECOR	180.	160.	20.	20.
BENCHES/STOOLS	2,200.	1,953.	247.	247.
4 DESK CHAIRS	1,149.	1,021.	128.	128.
CREDENZA	492.	437.	55.	55.
FURNITURE - 4 DRAWERS	641.	570.	71.	71.
ART - DAY 39	2,889.	2,567.	322.	322.
ART - BAMBOO SONG	2,256.	2,004.	252.	252.
COMPUTER MONITOR	273.	266.	7.	7.
DESKTOP	941.	913.	28.	28.
DESKTOP	941.	913.	28.	28.
MONITOR	255.	248.	7.	7.
MONITOR	255.	248.	7.	7.
MONITOR	255.	248.	7.	7.
LAPTOP	2,726.	2,648.	78.	78.
LAPTOP	2,726.	2,648.	78.	78.
SOFTWARE	1,916.	1,916.	0.	0.
PRINTER	462.	449.	13.	13.
PHONE SYSTEM	6,848.	6,650.	198.	198.
NETWORK BACKUP SYSTEM	680.	660.	20.	20.
RUG	1,356.	1,206.	150.	150.
ART - CANNAS	4,796.	4,261.	535.	535.
3 CHAIRS	2,397.	2,130.	267.	267.
4 TABLES	1,818.	1,616.	202.	202.
WORKTOP TABLE	2,255.	2,004.	251.	251.
CREDENZA	3,248.	2,886.	362.	362.
TABLE	764.	680.	84.	84.
SOFA	1,758.	1,562.	196.	196.
2 ARMCHAIRS	1,986.	1,765.	221.	221.
2 METAL ARMCHAIRS	3,502.	3,111.	391.	391.
2 WHITE ARMCHAIRS	723.	642.	81.	81.
ROUND TABLE	318.	283.	35.	35.
WORKTOP TABLE	2,255.	2,004.	251.	251.
8 ASH CHAIRS	2,679.	2,380.	299.	299.
LAMP	593.	526.	67.	67.
2 MINI LAMPS	644.	572.	72.	72.
TO 990-PF, PART II, LN 14	72,833.	66,333.	6,500.	6,500.

FORM 990-PF	CORPORATE STOCK	STATEMENT 11
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITAS ACCOUNTS	31,348,690.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	31,348,690.	0.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 12
DESCRIPTION	VALUATION METHOD	BOOK VALUE
1980 PARTNERSHIP	COST	17,094,063.
EVERGREEN	COST	16,788,725.
PUBLICLY TRADED PARTNERSHIPS	COST	<7,905.>
LOUISIANA CENTRAL LAND LLC	COST	5,775.
MERIDIAN FUNDS	COST	7,619,682.
OZ OVERSEAS FUNDS	COST	8,392.
PENSCO ENERGY	COST	<288,659.>
HEDGE FUNDS	COST	5,935,572.
PIEDMONT PARTNERS - LTD.	COST	1,911,166.
PIEDMONT PARTNERS - OFFSHORE	COST	12,236,543.
THEMELIOS VENTURES	COST	6,646,899.
UBS ACCOUNTS	COST	13,019,124.
THEMELIOS VENTURES II	COST	970,177.
WOODMONT	COST	500,000.
GATES EXCESS CASH FLOW VALUE FUND	COST	1,250,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		83,689,554.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 13
DESCRIPTION	BOY AMOUNT	EOY AMOUNT
RETIREMENT CONTRIBUTION PAYABLE	36,050.	32,740.
TOTAL TO FORM 990-PF, PART II, LINE 22	36,050.	32,740.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CLAUDE B. PENNINGTON, III P.O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
DARYL B. PENNINGTON, SR. P.O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
PAULA P. DELABRETONE P.O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
RICHARD BLACKSTONE P. O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
DARYL B. PENNINGTON, JR. P. O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
SHARON PALMER PENNINGTON P. O. BOX 1083 BATON ROUGE, LA 70821	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Irene W & CB Pennington Foundation
#72-0938097
12/31/2012

Name	Relationship	Foundation Status	Purpose of Contribution	Amount
LSU Police Department	None	Public Inst	License Plate Reader	13,105 75
Louisiana Wildlife Federation, Inc	None	Public Charity	Operating	50,000 00
Big Buddy Program	None	Public Charity	Dancing with the Stars	1,000.00
MSU Fdn ,Inc & College of Forest Res	None	Public Charity	John Walt & Caroline Star Scholarship	50,000 00
Arts Council of Greater Baton Rouge	None	Public Charity	HIV Event CDs	400 00
Tiger Athletic Foundation	None	Public Charity	2012 Donation	6,883 00
Planned Parenthood of the Gulf Coast	None	Public Charity	Baton Rouge Spring Fundraiser	5,000 00
Pennington Biomedical Research Foundation	None	Public Charity	Aspen Ideas Festival	6,500 00
University of Louisiana at Lafayette Fnd	None	Public Charity	Health Code-A-Thon	5,000 00
Pennington Biomedical Research Foundation	None	Public Charity	John W Barton Sr Leadership Gift	5,000 00
Baton Rouge Speech & Hearing Foundation	None	Public Charity	Volunteer Activist Luncheon	1,000 00
International Rett Syndrome Foundation	None	Public Charity	Seventh World Rett Syndrome Congress	10,000 00
American Cancer Society	None	Public Charity	Sponsorship Best Dressed Ball	2,600 00
Department of Public Safety	None	Public Inst.	LSP Crime Lab Equipment	99,800 00
Louisiana Arts & Science Museum	None	Public Charity	2012 Donation	10,000 00
Childrens Hope	None	Public Charity	2012 Donation	5,000 00
Baton Rouge Area Foundation	None	Public Charity	Pennington Disaster Resiliency Fund	150,000 00
Big Buddy Program	None	Public Charity	Dancing with Big Buddy	1,500 00
Baton Rouge Speech & Hearing Foundation	None	Public Charity	Annual Donation	10,000 00
Cancer Services of Greater Baton Rouge	None	Public Charity	Annual Donation (1st of 3)	10,000 00
City Year of Baton Rouge	None	Public Charity	Schoolhouse Rock Sponsorship	10,000 00
Baton Rouge Area Foundation	None	Public Charity	Annual Membership	10,000 00
Capital Area United Way	None	Public Charity	Tocqueville Society	25,000 00
Baton Rouge Area Foundation	None	Public Charity	Smash Hits HIV/AIDS Fund	50,000 00
Primary Care Providers	None	Public Charity	Little League Park bathrooms	25,000 00
Arts Council of Greater Baton Rouge	None	Public Charity	Community Fund for the Arts	75,000 00
East Baton Rouge Truancy Assessment	None	Public Charity	Truancy Center Startup	100,000 00
Association of Small Foundations	None	Public Charity	General Operating Expenses	2,500 00
Funders Concerned About AIDS	None	Public Charity	General Operating Expenses	500 00
Community Foundation of Acadiana	None	Public Charity	Mickey Shunick Fund	500 00
Baton Rouge Green	None	Public Charity	2012 Green Paradise Gala	5,000 00
Baton Rouge Police Department	None	Public Inst	Police Service Dog for B R A V E	12,500 00
Baton Rouge Area Foundation	None	Public Charity	Pennington Disaster Resiliency Fund	150,000 00
Children's Health Fund	None	Public Charity	Annual Gala	5,000 00
100 Black Men of Metropolitan Baton Rouge	None	Public Charity	2012 Brotherhood Sisterhood Dinner	1,500 00
Our Lady of the Lake Foundation	None	Public Charity	Children's Hospital	3,000 00
LSU Foundation fbo Dept of Oceanography	None	Public Charity	Bottlenose Dolphin Research Project	25,000 00
Arts Council of Greater Baton Rouge	None	Public Charity	Derek E Gordon Arts Fund Memoriam	5,000 00
19th Judicial District Office	None	Public Inst	Equipment (LPR's/Mobile Ticket Machines)	106,000 00
Baton Rouge General Foundation	None	Public Charity	Capital Campaign Burn Unit (2nd of 2)	50,000 00
Baton Rouge Speech & Hearing Foundation	None	Public Charity	Campaign for Hope (2nd of 4)	250,000 00
Children's Health Fund	None	Public Charity	Kid's Can't Wait (4th of 4)	200,000 00
Ducks Unlimited	None	Public Charity	Restoring Coastal Louisiana (2nd of 5)	200,000 00
East Feliciana Middle School	None	School	Celebrate E Feliciana (3rd of 3)	10,000 00
Greater Baton Rouge Food Bank, Inc	None	Public Charity	Capital Campaign (3rd of 5)	200,000 00
Louisiana Arts & Science Museum	None	Public Charity	Planetarium Renovation (3rd of 3)	100,000 00
National Kidney Foundation of LA	None	Public Charity	Pam Sanchez Memorial KEEP (2nd of 3)	24,000 00
Stand for Children Leadership	None	Public Charity	Louisiana Affiliate-BR Chapter (2nd of 3)	100,000 00
THRIVE Baton Rouge	None	Public Charity	Year One Challenge Match	50,000 00
Woman's Hospital	None	Public Charity	New Campus (2nd of 2)	125,000 00
International Rett Syndrome Foundation	None	Public Charity	Black Out Rett Syndrome	5,000 00
Arts Council of Greater Baton Rouge	None	Public Charity	Laurel Street Palooza	5,000 00
100 Black Men of Metropolitan Baton Rouge	None	Public Charity	2012 Brotherhood Sisterhood Dinner	3,500 00
Baton Rouge Speech & Hearing Foundation	None	Public Charity	In Honor of Kevin P Reilly, Sr.	2,500 00
Pennington Biomedical Research Foundation	None	Public Charity	In Honor of Kevin P Reilly, Sr	2,500 00
LSYOU c/o LSU Foundation	None	Public Charity	LSYOU Youth Opportunities Unlimited	75,000 00
East Baton Rouge Ph Sheriff's Office	None	Public Inst	Director of Special Projects Salary	70,000 00
Pointe Coupee Parish Sheriff's Office	None	Public Inst	Purchase & equipment of one patrol vehicle	32,975 25
Louisiana Symphony Association	None	Public Charity	The Irene W & C B Pennington Foundation Great Performers	250,000 00
100 Black Men of Metropolitan Baton Rouge	None	Public Charity	General Operating Support	10,000 00
Alzheimer's Services of the Capital Area	None	Public Charity	It's Never Too Late (IN2L)	25,436 00
AMI Kids Baton Rouge	None	Public Charity	General Operating Support	20,000 00
Arts Council of Greater Baton Rouge	None	Public Charity	General Operating Support	20,000 00
Athletes for Hope	None	Public Charity	Athletes for Hope Capacity Building	50,000 00
Baton Rouge Area Chamber	None	Public Charity	Talent Database Promotion	30,000 00
Baton Rouge Area Foundation	None	Public Charity	2012 Pennington Disaster Resiliency Fund	150,000 00
Baton Rouge Ballet Theatre	None	Public Charity	BRBT Arts in Education Program	30,000 00
Baton Rouge Area Foundation	None	Public Charity	2013 Ernest J Gaines Award for Literary Excellence	25,000 00
Baton Rouge Crisis Intervention Center	None	Public Charity	General Operating Support	10,000 00
Bayou District Foundation	None	Public Charity	Educare of New Orleans Early Learning Center	30,000 00
Big River Economic & Ag Development	None	Public Charity	Red Stick Mobile Farmers Market	25,000 00
Cancer Services of Greater Baton Rouge	None	Public Charity	Direct Assistance	30,000 00
Capital Area Alliance for the Homeless	None	Public Charity	One Stop Homeless Services Center Year 2	25,000 00
Capital Area ReEntry Coalition	None	Public Charity	Capital Area ReEntry Coalition Mentoring Program	33,148 00

Capital Area United Way	None	Public Charity	de Tocqueville Society 2012	25,000 00
Career Compass of Louisiana	None	Public Charity	Career Compass of Louisiana	20,000 00
Catholic High School	None	School	Annual Support	25,000 00
Centre for the Arts	None	Public Charity	The Creative Tomorrows Fund	14,000 00
City Year of Baton Rouge	None	Public Charity	Diplomas Now	100,000 00
Education's Next Horizon	None	Public Charity	Louisiana Center for Afterschool Learning	20,000 00
Family Service of Greater New Orleans	None	Public Charity	Hickory, Dickory, Rock	10,000 00
Frances Center for Customized Employment	None	Public Charity	KeyTrain/WorkKeys	25,000 00
Grief Recovery Center	None	Public Charity	Grief Recovery Center Capacity Building Project	10,000 00
Jewish Federation of Greater Baton Rouge	None	Public Charity	2013 Jewish Film Festival	2,000 00
Louisiana Assn of Nonprofit Organization	None	Public Charity	Nonprofit Executive Training Institute	120,000 00
La. Coalition Against Domestic Violence	None	Public Charity	Say Something Campaign Pilot	45,000 00
Louisiana Industries for the Disabled	None	Public Charity	Transportation	25,000 00
Louisiana Resource Center for Educators	None	Public Charity	Fresh, Fun & Fit	30,000 00
Mary Bird Perkins Cancer Center	None	Public Charity	Cancer Screening for the Uninsured & Under-insured	25,000 00
New Schools for Baton Rouge	None	Public Charity	Launching the Baton Rouge Achievement Zone	30,000 00
Pennington Biomedical Research Foundation	None	Public Charity	2012/13 Annual Fund for Excellence	10,000 00
Pennington Biomedical Research Foundation	None	Public Charity	Development Services & Strategic Consulting Support	138,000 00
Pennington Biomedical Research Foundation	None	Public Charity	Consulting Support	121,400 00
Pennington Biomedical Research Foundation	None	Public Charity	The Answer 2013	25,000 00
Planned Parenthood of the Gulf Coast	None	Public Charity	Real Life Real Talk. In Baton Rouge	25,000 00
Prevent Child Abuse Louisiana	None	Public Charity	KIDLINE, A Child Abuse Prevention Hotline	10,000 00
Sexual Trauma Awareness & Response	None	Public Charity	Sexual Violence Prevention Campaign	15,000 00
Society of St. Vincent de Paul	None	Public Charity	Bishop Ott Sweet Dreams Day & Night Shelter	22,182 00
Society of St. Vincent de Paul	None	Public Charity	General Operating Support	50,000 00
Special Olympics Louisiana, Inc	None	Public Charity	Special Olympics Louisiana-Wellness & Activity Program	10,000 00
St. Aloysius School	None	School	Annual Donation	25,000 00
Teach for America-South Louisiana	None	Public Charity	Teach for America Building the Force for Educational Excellence in South Louisiana	20,000 00
The ALS Association La/Miss Chapter	None	Public Charity	ALS Multidisciplinary Clinic	5,000 00
The Arc of Baton Rouge	None	Public Charity	SOS-Strategic Onsite Supporters	49,958 00
The JL Foundation	None	Public Charity	Reimbursement to Leukemia Patients	20,000 00
Together Baton Rouge	None	Public Charity	Capacity Building	15,000 00
YWCA of Greater Baton Rouge	None	Public Charity	YWCA Sustainability	50,000 00
20th Judicial Dist -East & West Feliciana	None	Public Inst	Computer Upgrade	25,000 00
Cenikor Foundation, Inc,	None	Public Charity	Temperature Controls Upgrade	60,000 00
Clinton Police Department	None	Public Inst	Traffic Fatality/Homicide Investigations Project	60,000 00
Clinton Volunteer Fire Department	None	Public Inst	Fire Pumper Replacement Project	200,000 00
East Feliciana Clerk of Court	None	Public Inst	East Feliciana Parish Clerks Office Addition	200,000 00
East Feliciana Parish Sheriff's Office	None	Public Inst	2013 Donation	250,000 00
Mission Heart, Inc	None	Public Charity	Mission Heart	96,000 00
Primary Care Providers	None	Public Charity	PCPFHF HIV Early Identification & Treatment Program	5,000 00
Silliman Parent Teacher Organization	None	Public Charity	Get Out & Play!	30,000 00
Slaughter Elementary School	None	School	Positive Behavior Support/Technology	30,000 00
Slaughter Police Department	None	Public Inst	2013-Improve Police Presence for Safety & Service	50,000 00
St. Jude Children's Research Hospital	None	Public Charity	St. Jude Baton Rouge Clinic	50,000 00
Strider Academy	None	School	Strider Academy-School Technology	25,000 00
Tallahatchie General Hospital	None	Public Charity	Tallahatchie General Hospital Improvement Project	61,019 53
Town of Jackson Marshal's Office	None	Public Inst	2011 Criminal Patrol Unit	40,000 00
Town of Slaughter	None	Public Inst	Trencher & Service Vehicle-2013	50,000 00
West Feliciana Parish Library	None	Public Charity	Arts & Crafts Room Supplies & Equipment	10,200 00
Young Life West Feliciana	None	Public Charity	Young Life	10,000 00
YMCA of the Capital Area	None	Public Charity	Americana Zachary YMCA	200,000 00
Zachary Police Department	None	Public Inst	New Units (1st of 5)	20,000 00
Holiday Helpers, Inc of Baton Rouge	None	Public Charity	2012 Thanksgiving/Christmas/2013 Easter	35,000 00
LSU Lab School Foundation	None	School	2012 Director's Discretionary Fund	10,000 00
Public Affairs Reseach Council of LA, Inc	None	Public Charity	2012 Annual Gift	735 00
Baton Rouge Area Foundation	None	Public Charity	Smash Hits HIV/AIDS Fund	200,000 00
Baton Rouge Area Foundation	None	Public Charity	Inaugural Film Festival Sponsorship	25,000 00
LSU Rural Life Museum	None	Public Charity	Whispers Campaign Phase II Exhibit	25,000 00
Manship Theatre	None	Public Charity	General Operating Support	10,000 00
Whistle Stop	None	Public Charity	Dancing Classrooms for EBRP Public Schools	10,000 00
East Feliciana Emergency Communications	None	Public Inst	Emergency Communications Center Project	200,000 00
Center for Planning Excellence	None	Public Charity	2013 Annual Support	25,000 00
Knock Knock Children's Museum	None	Public Charity	Capital Campaign (1st of 5)	300,000 00
Companion Animal Alliance (CAA)	None	Public Charity	General Operating Support	50,000 00
Total from Foundation				6,823,842 53
From Themelios Ventures & Themelios Ventures II K-1s				2,702 00
From Pennco Energy K-1				603 00
From CBP 1980 Partnership K-1				1,005 00
From Meridian Horizon K-1				15 00
From MHF Special Investments K-1				30 00
From Equitas Evergreen K-1				12 00
Total Contributions				6,828,209 53
Less Checks deducted in prior years later returned to Foundation				(198,534 58)
Contributions deducted on 2012 990 PF				6,629,674 95

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
01/10/12	01/18/11*	SELL First In First Out	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	998.000	44,719.34	43,202.99	-1,516.35
01/10/12	02/04/11*	SELL First In First Out	CVS CAREMARK CORP	CVS	1,249.000	40,641.19	52,273.39	11,632.20
01/10/12	01/26/11*	SELL Versus Purchase	CA INC COM	CA	493.000	11,520.67	10,303.57	-1,217.10
01/10/12	05/12/11*	SELL First In First Out	CISCO SYSTEMS INC	CSCO	4,619.000	77,874.78	87,163.47	9,288.69
01/10/12	09/08/11*	SELL First In First Out	CISCO SYSTEMS INC	CSCO	2,564.000	41,525.01	48,384.31	6,859.30
01/10/12	03/31/11*	SELL First In First Out	CITIGROUP INC COM NEW	C	393.400	17,388.28	11,766.61	-5,621.67
01/10/12	05/12/11*	SELL First In First Out	CITIGROUP INC COM NEW	C	470.000	19,716.50	14,057.72	-5,658.78
01/10/12	06/01/11*	SELL First In First Out	CITIGROUP INC COM NEW	C	481.000	19,248.16	14,386.73	-4,861.43

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/10/12	02/22/11 *	SELL First In First	GENERAL MTRS CO COM	GM	876,000	31,360.80	20,341.20	-11,019.60
01/10/12	03/17/11 *	SELL First In First	GOLDMAN SACHS GROUP INC COM	GS	192,000	29,758.08	19,025.09	-10,732.99
01/10/12	05/06/11 *	SELL First In First	GOLDMAN SACHS GROUP INC COM	GS	146,000	21,891.24	14,466.99	-7,424.25
01/10/12	05/23/11 *	SELL First In First	GOLDMAN SACHS GROUP INC COM	GS	135,000	18,499.05	13,377.01	-5,122.04
01/10/12	07/05/11 *	SELL First In First	GOLDMAN SACHS GROUP INC COM	GS	206,000	27,701.64	20,412.33	-7,289.31
01/10/12	09/22/11 *	SELL First In First	HARTFORD FINL SVCS GROUP INC COM	HIG	2,263,000	35,888.69	39,606.26	3,717.57
01/10/12	11/15/11 *	SELL First In First	HARTFORD FINL SVCS GROUP INC COM	HIG	470,000	8,372.35	8,225.78	-146.57
01/10/12	11/16/11 *	SELL First In First	HARTFORD FINL SVCS GROUP INC COM	HIG	650,000	11,493.30	11,376.08	-117.22
01/10/12	11/17/11 *	SELL First In First	HARTFORD FINL SVCS GROUP INC COM	HIG	890,000	15,363.89	15,576.48	212.59
01/10/12	08/15/11 *	SELL First In First	MICROSOFT CORP COM	MSFT	1,053,000	26,806.43	29,379.19	2,572.76
01/10/12	09/08/11 *	SELL First In First	PACCAR INC	PCAR	194,000	7,096.85	8,146.00	1,049.15
01/10/12	09/08/11 *	SELL First In First	PACCAR INC	PCAR	52,000	1,900.80	2,183.46	282.66
01/10/12	09/09/11 *	SELL First In First	PACCAR INC	PCAR	693,000	24,583.76	29,098.86	4,515.10
01/10/12	09/09/11 *	SELL First In First	PACCAR INC	PCAR	90,000	3,205.13	3,779.07	573.94

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/10/12	09/12/11*	SELL First In First Out	PACCAR INC	PCAR	246,000	8,608.47	10,329.46	1,720.99
01/10/12	09/28/11*	SELL First In First Out	PACCAR INC	PCAR	167,000	5,854.75	7,012.28	1,157.53
01/10/12	09/29/11*	SELL First In First Out	PACCAR INC	PCAR	165,000	5,837.19	6,928.30	1,091.11
01/10/12	09/30/11*	SELL First In First Out	PACCAR INC	PCAR	235,000	8,117.14	9,867.58	1,750.44
01/10/12	08/16/11*	SELL First In First Out	RAYTHEON CO COM NEW	RTN	310,000	12,730.93	15,131.89	2,400.96
01/10/12	08/17/11*	SELL First In First Out	RAYTHEON CO COM NEW	RTN	137,000	5,667.64	6,687.32	1,019.68
01/10/12	08/18/11*	SELL First In First Out	RAYTHEON CO COM NEW	RTN	109,000	4,371.49	5,320.57	949.08
01/10/12	08/19/11*	SELL First In First Out	RAYTHEON CO COM NEW	RTN	20,000	796.45	976.25	179.80
01/10/12	07/29/11*	SELL First In First Out	TALISMAN ENERGY INC COM	TLM	2,717,000	50,225.10	33,962.45	-16,262.65
01/10/12	06/09/11*	SELL First In First Out	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	1,012,000	50,365.71	45,317.18	-5,048.53
01/10/12	06/23/11*	SELL First In First Out	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	684,000	32,468.31	30,629.40	-1,838.91

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/10/12	09/20/11*	SELL First In First Out	TEVA PHARMACEUTICAL INDUSTRIES LTD ADR	TEVA	774,000	28,533.36	34,659.59	6,126.23
01/10/12	05/02/11*	SELL First In First Out	WELLS FARGO & CO NEW COM	WFC	498,000	14,485.77	14,636.02	150.25
09/06/12	03/13/12*	SELL First In First Out	EATON VANCE RISK MANAGED DIVERSIFIED	ETJ	7,000,000	75,179.30	73,009.06	-2,170.24
09/14/12	03/14/12*	SELL First In First Out	EATON VANCE RISK MANAGED DIVERSIFIED	ETJ	3,400,000	36,175.66	35,971.41	-204.25
09/24/12	03/14/12*	SELL First In First Out	EATON VANCE RISK MANAGED DIVERSIFIED	ETJ	3,600,000	38,303.64	37,838.75	-464.89
10/01/12	03/13/12*	SELL First In First Out	ADAMS EXPRESS CO	ADX	4,300,000	46,955.14	48,812.50	1,857.36
10/01/12	03/15/12*	SELL First In First Out	EATON VANCE ENHANCED EQUITY INCOME FD II	EOS	4,300,000	47,796.38	47,772.05	-24.33
10/01/12	03/14/12*	SELL First In First Out	RYDEX ETF TR GUGGENHEIM S&P 500	RSP	3,000,000	155,214.00	156,560.49	1,346.49
10/01/12	03/15/12*	SELL First In First Out	VANGUARD INDEX FDS VANGUARD LARGE-CAP	VW	700,000	45,126.20	46,003.03	876.83
Total Short Term						\$1,209,368.57	\$1,183,958.17	-\$25,410.40
Long Term								
01/03/12	04/18/07*	SELL First In First Out	HESS CORP COM	HES	390,000	21,996.00	22,804.38	808.38
01/04/12	04/18/07*	SELL First In First Out	HESS CORP COM	HES	323,000	18,217.20	18,940.32	723.12
01/05/12	12/17/04*	SELL First In First Out	VIACOM INC NEW CL B	VIAB	23,000	980.47	1,064.38	83.91
01/05/12	09/01/05*	SELL First In First Out	VIACOM INC NEW CL B	VIAB	376,000	15,451.00	17,400.34	1,949.34



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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/10/12	03/26/04*	SELL	INGERSOLL RAND PLC SHS	IR	2,158.000	70,694.74	71,214.79	520.05
		Purchase						
01/10/12	04/10/08*	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	606.000	20,481.59	26,233.48	5,751.89
		First In First Out						
01/10/12	06/04/08*	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	1,379.000	47,638.40	59,696.32	12,057.92
		First In First Out						
01/10/12	07/07/08*	SELL	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	1,036.000	33,897.92	44,848.00	10,950.08
		First In First Out						
01/10/12	07/10/08*	SELL	ZANGLOGOLD ASHANTI LTD SPONORED ADR	AU	575.000	Please Provide	24,891.50	24,891.50
		First In First Out						
01/10/12	09/19/06*	SELL	AON CORPORATION C/A EFF 4/2/12	037389103	1,321.000	44,846.23	61,730.93	16,884.70
		First In First Out						
01/10/12	12/03/09*	SELL	AON CORPORATION C/A EFF 4/2/12	037389103	1,338.000	51,433.69	62,525.34	11,091.65
		First In First Out						
01/10/12	01/08/07*	SELL	APACHE CORP COM	APA	825.000	53,583.05	80,709.02	27,125.97
		First In First Out						
01/10/12	04/24/07*	SELL	APACHE CORP COM	APA	961.000	72,315.25	94,013.79	21,698.54
		First In First Out						
01/10/12	03/26/04*	SELL	BARRICK GOLD CORP COM	ABX	3,091.000	70,224.46	149,973.41	79,748.95
		First In First Out						
01/10/12	09/10/09*	SELL	BARRICK GOLD CORP COM	ABX	71.000	2,643.90	3,444.88	800.98
		First In First Out						



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/10/12	07/10/09*	SELL First In First Out	CVS CAREMARK CORP	CVS	1,519,000	46,898.72	63,573.48	16,674.76
01/10/12	05/17/10*	SELL Versus Purchase	CA INC COM	CA	606,000	12,434.14	12,665.24	231.10
01/10/12	03/26/04*	SELL First In First Out	CA INC COM	CA	3,524,000	93,315.52	73,650.69	-19,664.83
01/10/12	02/09/05*	SELL First In First Out	CA INC COM	CA	234,000	6,442.02	4,890.54	-1,551.48
01/10/12	03/26/04*	SELL First In First Out	CA INC COM	CA	234,000	6,196.32	4,890.54	-1,305.78
01/10/12	03/26/04*	SELL First In First Out	CA INC COM	CA	2,531,000	67,020.88	52,897.24	-14,123.64
01/10/12	03/26/04*	SELL First In First Out	CA INC COM	CA	1,073,000	28,413.04	22,425.42	-5,987.62
01/10/12	03/26/04*	SELL First In First Out	CA INC COM	CA	1,322,000	35,006.56	27,629.46	-7,377.10
01/10/12	03/26/04*	SELL First In First Out	CA INC COM	CA	493,000	13,054.64	10,303.57	-2,751.07
01/10/12	11/20/09*	SELL First In First Out	CANADIAN NATURAL RES LTD	CNQ	1,799,000	58,944.84	70,375.71	11,430.87
01/10/12	01/26/10*	SELL First In First Out	CANADIAN NATURAL RES LTD	CNQ	1,526,000	50,365.08	59,696.12	9,331.04
01/10/12	12/17/09*	SELL First In First Out	CITIGROUP INC COM NEW	C	481,000	15,392.00	14,386.73	-1,005.27
01/10/12	12/17/09*	SELL First In First Out	CITIGROUP INC COM NEW	C	470,000	15,040.00	14,057.72	-982.28
01/10/12	12/17/09*	SELL First In First Out	CITIGROUP INC COM NEW	C	393,400	12,588.80	11,766.61	-822.19

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/10/12	12/17/09 *	SELL First In First Out	CITIGROUP INC COM NEW	C	1,553.200	49,702.40	46,456.27	-3,246.13
01/10/12	11/23/10 *	SELL First In First Out	GENERAL MTRS CO COM	GM	2,071.000	69,480.05	48,089.77	-21,390.28
01/10/12	05/28/04 *	SELL First In First Out	GENWORTH FINL INC COM CL A	GNW	938.000	18,300.38	6,753.56	-11,546.82
01/10/12	05/01/06 *	SELL First In First Out	GENWORTH FINL INC COM CL A	GNW	913.000	30,228.45	6,573.56	-23,654.89
01/10/12	01/09/08 *	SELL First In First Out	GENWORTH FINL INC COM CL A	GNW	2,434.000	57,260.35	17,524.70	-39,735.65
01/10/12	10/29/10 *	SELL First In First Out	GENWORTH FINL INC COM CL A	GNW	3,358.000	37,971.19	24,177.46	-13,793.73
01/10/12	12/07/10 *	SELL First In First Out	GENWORTH FINL INC COM CL A	GNW	2,255.000	28,570.34	16,235.90	-12,334.44
01/10/12	08/26/10 *	SELL First In First Out	GOLDMAN SACHS GROUP INC COM	GS	290.000	41,704.53	28,735.81	-12,968.72
01/10/12	11/12/08 *	SELL First In First Out	HALLIBURTON CO COM	HAL	1,200.000	20,760.00	43,420.56	22,660.56
01/10/12	03/26/04 *	SELL First In First Out	HARTFORD FINL SVCS GROUP INC COM	HIG	1,504.000	94,881.95	26,322.50	-68,559.45
01/10/12	08/03/06 *	SELL First In First Out	HARTFORD FINL SVCS GROUP INC COM	HIG	850.000	70,431.43	14,876.41	-55,555.02



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/10/12	07/25/07*	SELL First In First Out	HARTFORD FINL SVCS GROUP INC COM	HIG	804,000	77,303.41	14,071.34	-63,232.07
01/10/12	12/22/09*	SELL First In First Out	HARTFORD FINL SVCS GROUP INC COM	HIG	726,000	16,900.05	12,706.21	-4,193.84
01/10/12	11/05/03*	SELL First In First Out	JP MORGAN CHASE & CO COM	JPM	1,107,000	40,397.20	39,763.78	-633.42
01/10/12	06/29/07*	SELL First In First Out	JP MORGAN CHASE & CO COM	JPM	1,237,000	59,942.62	44,433.42	-15,509.20
01/10/12	06/23/10*	SELL First In First Out	LINCOLN NATL CORP IND	LNC	2,750,000	73,572.69	57,861.63	-15,711.06
01/10/12	10/07/08*	SELL First In First Out	LOEWS CORP COM	L	1,692,000	58,069.21	65,047.50	6,978.29
01/10/12	03/20/09*	SELL First In First Out	LOEWS CORP COM	L	1,367,000	30,757.50	52,553.16	21,795.66
01/10/12	02/27/09*	SELL First In First Out	MERCK & CO INC NEW COM	MRK	813,000	20,395.31	31,365.02	10,969.71
01/10/12	10/26/09*	SELL First In First Out	MERCK & CO INC NEW COM	MRK	1,209,000	38,686.06	46,642.44	7,956.38
01/10/12	10/08/08*	SELL First In First Out	METLIFE INC COM	MET	703,000	22,503.03	24,217.88	1,714.85
01/10/12	10/09/08*	SELL First In First Out	METLIFE INC COM	MET	1,419,000	38,638.09	48,883.61	10,245.52
01/10/12	12/03/09*	SELL First In First Out	METLIFE INC COM	MET	1,313,000	44,825.82	45,231.98	406.16
01/10/12	12/06/10*	SELL First In First Out	METLIFE INC COM	MET	713,000	29,100.14	24,562.38	-4,537.76
01/10/12	06/27/05*	SELL First In First Out	MICROSOFT CORP COM	MSFT	1,633,000	41,128.92	45,561.46	4,432.54

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/10/12	06/10/08 *	SELL First In First Out	MICROSOFT CORP COM	MSFT	1,302.000	36,364.86	36,326.40	-38.46
01/10/12	05/15/07 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	102.002	12,822.52	4,744.21	-8,078.31
01/10/12	05/15/07 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	177.998	22,376.00	8,278.90	-14,097.10
01/10/12	05/15/07 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	199.719	25,106.52	9,289.17	-15,817.35
01/10/12	05/15/07 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	258.280	32,468.13	12,012.90	-20,455.23
01/10/12	05/15/07 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	142.143	17,868.63	6,611.22	-11,257.41
01/10/12	05/15/07 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	148.434	18,659.45	6,903.82	-11,755.63
01/10/12	01/09/08 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	83.282	8,528.89	3,873.52	-4,655.37
01/10/12	01/09/08 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	142.143	14,556.86	6,611.22	-7,945.64
01/10/12	01/09/08 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	458.000	46,903.77	21,302.07	-25,601.70
01/10/12	01/09/08 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	8.290	848.94	385.56	-463.38



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
<i>Long Term (continued)</i>								
01/10/12	01/09/08 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	169.709	17,379.90	7,893.34	-9,486.56
01/10/12	01/09/08 *	SELL First In First Out	MOTOROLA SOLUTIONS INC COM NEW	MSI	102.002	10,445.99	4,744.21	-5,701.78
01/10/12	03/07/08 *	SELL First In First Out	NRG ENERGY INC COM NEW	NRG	1,898.000	80,190.50	33,672.91	-46,517.59
01/10/12	05/12/08 *	SELL First In First Out	NRG ENERGY INC COM NEW	NRG	706.000	29,961.50	12,525.33	-17,436.17
01/10/12	09/15/08 *	SELL First In First Out	NOBLE ENERGY INC COM	NBL	1,204.000	69,191.83	118,423.16	49,231.33
01/10/12	04/12/10 *	SELL First In First Out	OCCIDENTAL PETE CORP COM	OXY	98.000	8,494.64	9,562.02	1,067.38
01/10/12	04/14/10 *	SELL First In First Out	OCCIDENTAL PETE CORP COM	OXY	628.000	53,711.65	61,274.97	7,563.32
01/10/12	03/29/10 *	SELL First In First Out	PFIZER INC COM	PFE	1,503.000	25,941.78	32,885.01	6,943.23
01/10/12	04/14/10 *	SELL First In First Out	PFIZER INC COM	PFE	3,339.000	56,829.78	73,055.91	16,226.13
01/10/12	04/26/10 *	SELL First In First Out	PFIZER INC COM	PFE	1,821.000	30,808.58	39,842.71	9,034.13
01/10/12	12/28/10 *	SELL First In First Out	PFIZER INC COM	PFE	4,029.000	71,066.60	88,152.83	17,086.23
01/10/12	03/26/04 *	SELL First In First Out	PHILIP MORRIS INTL INC COM	PM	355.000	10,058.09	27,470.62	17,412.53
01/10/12	06/19/06 *	SELL First In First Out	PHILIP MORRIS INTL INC COM	PM	533.000	20,149.22	41,244.61	21,095.39
01/10/12	11/16/07 *	SELL First In First Out	PITNEY BOWES INC	PBI	329.000	12,515.16	6,337.27	-6,177.89

Pershing Advisor Solutions, a BNY Mellon company
One Pershing Plaza, Jersey City, NJ 07399
(877) 870-7230
Member FINRA, SIPC



2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/10/12	01/07/08 *	SELL First In First Out	PITNEY BOWES INC	PBI	1,968,000	72,618.78	37,908.07	-34,710.71
01/10/12	03/26/04 *	SELL First In First Out	RAYTHEON CO COM NEW	RTN	1,393,000	43,034.86	67,995.90	24,961.04
01/10/12	08/02/07 *	SELL First In First Out	SANOFI SPONS ADR	SNV	2,514,000	103,843.47	90,754.81	-13,088.66
01/10/12	05/05/10 *	SELL First In First Out	SANOFI SPONS ADR	SNV	1,470,000	48,626.18	53,066.66	4,440.48
01/10/12	11/30/10 *	SELL First In First Out	SANOFI SPONS ADR	SNV	1,368,000	41,517.62	49,384.48	7,866.86
01/10/12	06/24/08 *	SELL First In First Out	TALISMAN ENERGY INC COM	TLM	1,813,000	40,538.36	22,662.47	-17,875.89
01/10/12	07/23/08 *	SELL First In First Out	TALISMAN ENERGY INC COM	TLM	2,239,000	41,466.28	27,987.46	-13,478.82
01/10/12	10/13/10 *	SELL First In First Out	TIME WARNER INC NEW COM NEW	TWX	2,179,000	68,635.72	80,824.09	12,188.37
01/10/12	12/22/10 *	SELL First In First Out	TIME WARNER INC NEW COM NEW	TWX	944,000	30,376.61	35,015.12	4,638.51
01/10/12	03/26/04 *	SELL First In First Out	UNION PACIFIC CORP COM	UNP	917,000	27,930.56	101,934.76	74,004.20
01/10/12	05/21/10 *	SELL First In First Out	UNUM GROUP COM	UNM	2,867,000	63,419.11	62,361.78	-1,057.33

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Description	Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/10/12	09/17/10*	UNUM GROUP COM	SELL First In First Out	UNIM	2,329,000	51,696.99	50,659.43	-1,037.56
01/10/12	09/01/05*	VIACOM INC NEW CL B	SELL First In First Out	VIAB	903,000	37,107.07	42,449.31	5,342.24
01/10/12	01/04/06*	VIACOM INC NEW CL B	SELL First In First Out	VIAB	1,418,000	59,551.68	66,659.04	7,107.36
01/10/12	03/07/06*	VIACOM INC NEW CL B	SELL First In First Out	VIAB	1,828,000	69,878.99	85,932.81	16,053.82
01/10/12	03/26/04*	WELLS FARGO & CO NEW COM	SELL First In First Out	WFC	4,175,000	119,342.37	122,701.57	3,359.20
Total Long Term						\$3,749,862.02	\$3,686,489.91	63,372.11
Total Short Term and Long Term						\$4,959,230.59	\$4,870,448.08	-88,782.51

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Dispositional Difference
Short-Term							
04/30/12	03/21/12	SELL GEN-PROBE INC NEW COM C/A EFF 08/01/12 First In First Out	36866T103	426.000	28,281.74	34,765.11	6,483.37
05/02/12	03/21/12	SELL HERBALIFE LTD USD COM SHS First In First Out	HLF	476.000	34,114.92	25,193.52	-8,921.40
05/02/12	03/21/12	SELL NU SKIN ENTERPRISES INC CL A First In First Out	NUS	554.000	33,073.80	26,631.19	-6,442.61
06/13/12	03/21/12	SELL HARMAN INTL INDS INC NEW COM First In First Out	HAR	893.000	42,598.70	32,252.66	-10,346.04
06/13/12	03/21/12	SELL INFORMATICA CORP First In First Out	INFA	409.000	21,429.96	16,880.05	-4,549.91
06/15/12	03/21/12	SELL CYPRESS SEMICONDUCTOR CORP First In First Out	CY	1,538.000	23,948.04	20,359.31	-3,588.73
06/15/12	03/21/12	SELL DEVRY INC DEL COM First In First Out	DV	520.000	18,661.76	13,963.74	-4,698.02
06/15/12	03/21/12	SELL RYDER SYS INC COM First In First Out	R	533.000	29,177.49	22,136.75	-7,040.74
06/27/12	03/21/12	SELL SILGAN HEDGS INC COM First In First Out	SLGN	275.000	12,201.67	11,831.42	-370.25
07/24/12	03/21/12	SELL HERBALIFE LTD USD COM SHS First In First Out	HLF	367.000	26,302.89	17,865.15	-8,437.74





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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short-Term (continued)								
07/24/12	03/21/12	SELL First-In First-Out	SENSATA TECHNOLOGIES HLDG BV AUMELQ SHS	ST	635,000	21,240.83	17,186.68	-4,054.15
07/24/12	03/21/12	SELL First-In First-Out	FACTSET RESEARCH SYSTEMS INC	FDS	168,000	16,657.16	15,433.83	-1,223.33
07/24/12	03/21/12	SELL First-In First-Out	TRIPADVISOR INC COM	TRIP	647,000	21,879.86	28,823.64	6,943.78
07/24/12	03/21/12	SELL First-In First-Out	VERIFONE SYSTEMS INC COM	PAY	903,000	45,516.62	31,554.08	-13,962.54
07/25/12	03/21/12	SELL First-In First-Out	SIRONA DENTAL SYS INC COM	SIRO	512,000	26,398.69	21,442.50	-4,956.19
07/26/12	03/21/12	SELL First-In First-Out	AFFILIATED MANAGERS GROUP INC COM	AMG	129,000	14,446.97	13,529.99	-916.98
07/26/12	03/21/12	SELL First-In First-Out	NU SKIN ENTERPRISES INC CL A	NUS	423,000	25,253.10	20,578.29	-4,674.81
07/26/12	03/21/12	SELL First-In First-Out	UNITED RENTALS INC COM	URI	493,000	20,705.86	13,389.60	-7,316.26
08/13/12	03/21/12	SELL First-In First-Out	MOMENTA PHARMACEUTICALS INC	MNTA	465,000	7,247.16	6,303.16	-944.00
08/30/12	03/21/12	SELL First-In First-Out	TEMPUR-PEDIC INTL INC COM	TPX	489,000	40,488.76	15,578.24	-24,910.52
09/13/12	03/21/12	SELL First-In First-Out	WYNDHAM WORLDWIDE CORP COM	WYN	603,000	27,315.90	32,524.86	5,208.96



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short-Term (continued)								
09/18/12	03/21/12	SELL First In First Out	SOLARWINDS INC.COM	SWI	699,000	27,552.63	41,377.37	13,824.74
10/02/12	03/21/12	SELL First In First Out	SIGNET JEWELERS LIMITED	SIG	139,000	7,047.11	6,818.19	0.00
10/02/12	03/21/12	SELL First In First Out	XL GROUP PLC SHS	XL	183,000	3,904.85	4,469.23	564.38
10/02/12	03/21/12	SELL First In First Out	NXP SEMICONDUCTORS NV COM	NXPI	170,000	4,390.69	4,253.67	-2.42
10/02/12	03/21/12	SELL First In First Out	AFFILIATED MANAGERS GROUP INC COM	AMG	31,000	3,471.75	3,769.51	-297.76
10/02/12	03/21/12	SELL First In First Out	AKAMAI-TECHNOLOGIES INC COM	AKAM	150,000	5,477.70	5,752.55	-274.85
10/02/12	03/21/12	SELL First In First Out	ALBEMARLE CORP	ALB	75,000	4,690.21	3,855.77	-22.86
10/02/12	05/23/12	SELL First In First Out	ALLIANCE DATA SYS CORP COM	ADS	47,000	5,749.16	6,643.35	-811.583
10/02/12	03/21/12	SELL First In First Out	AUTOLIV INC COM	ALV	98,000	6,528.70	6,303.21	0.00
10/02/12	03/21/12	SELL First In First Out	AUTOMATION INC DEL COM	AN	118,000	4,187.47	5,246.23	-225.493
10/02/12	03/21/12	SELL First In First Out	GBRE GROUP INC CL A	CBG	318,000	6,602.00	6,016.42	1,058.76
10/02/12	03/23/12	SELL First In First Out	CARDTRONICS INC COM	CATM	61,000	1,646.37	1,800.80	-0.00
10/02/12	03/21/12	SELL First In First Out	COINSTAR INC COM	CSTR	121,000	7,493.28	5,442.51	-585.583
10/02/12	03/21/12	SELL First In First Out	CULLEN FROST BANKERS	CFR	76,000	4,478.68	4,348.62	-154.43
								-152.54
								-1,898.233
								0.00
								-130.063



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Short-Term (continued)								
10/02/12	09/15/12	SELL First In First Out	DSW INC CL A	DSW	12,000	685.45	787.90	102.44
10/02/12	03/21/12	SELL First In First Out	DICE HEDGS INC COM	DHX	195,000	1,886.58	1,691.00	-195.58
10/02/12	09/24/12	SELL First In First Out	DILLARDS INC CL A	DDS	45,000	3,425.74	3,288.97	-136.77
10/02/12	03/21/12	SELL First In First Out	DOLBY LABORATORIES INC CL A	DLB	107,000	4,102.23	3,396.96	-705.27
10/02/12	07/24/12	SELL First In First Out	EAGLE MATERIALS INC COM	EXP	66,000	2,524.66	3,168.91	644.25
10/02/12	07/24/12	SELL First In First Out	ENERSYS COM	ENS	64,000	2,145.15	2,296.90	151.75
10/02/12	03/21/12	SELL First In First Out	ENTEGRIS INC COM	ENTG	377,000	3,569.49	3,126.00	-443.49
10/02/12	03/21/12	SELL First In First Out	EXPEDIA INC DEL COM-NEW	EXPE	152,000	5,164.66	8,554.66	3,390.00
10/02/12	03/21/12	SELL First In First Out	FBI COMPANY COMMON	FEIC	69,000	3,153.37	3,452.69	299.32
10/02/12	05/10/12	SELL First In First Out	ELECTOR TECHNOLOGIES INC COM	FLT	60,000	2,295.77	2,688.53	392.76
10/02/12	06/18/12	SELL First In First Out	GARTNER INC COM	IT	102,000	4,479.81	4,668.45	188.64

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Description	Disposition	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/02/12	07/25/12	GENOMIC HEALTH INC COM	SELL First In First Out	GHDX	42,000	1,455.13	1,529.18	74.05
10/02/12	07/25/12	HANGER INC COM-NEW-GROUP NEW	SELL First In First Out	HGR	64,000	1,546.69	1,814.99	-268.30
10/02/12	03/21/12	HEARTLAND PMT SYS INC COM	SELL First In First Out	HPY	135,000	3,989.25	4,403.61	-414.36
10/02/12	03/21/12	HEIMERICH & PAYNE INC COM	SELL First In First Out	HP	77,000	4,351.96	3,620.45	700
10/02/12	03/21/12	HERTZ GLOBAL HLDGS INC COM	SELL First In First Out	HTZ	452,000	6,911.08	6,364.05	-547.03
10/02/12	03/21/12	IAC INTERACTIVE CORP COM PAR	SELL First In First Out	IACI	169,000	8,377.28	8,913.54	536.26
10/02/12	03/21/12	ILLUMINA INC COM	SELL First In First Out	ILMN	151,000	7,589.24	7,695.08	105.84
10/02/12	03/21/12	INCYTE CORP COM	SELL First In First Out	INCY	95,000	1,764.91	1,742.27	0.00
10/02/12	03/21/12	INFORMATICA CORP	SELL First In First Out	INFA	75,000	3,929.70	2,495.94	0.00
10/02/12	03/21/12	IRON MTN INC PA COM	SELL First In First Out	IRM	128,000	3,738.50	4,354.47	-615.97
10/02/12	03/21/12	JABIL CIRCUIT INC COM	SELL First In First Out	JBL	268,000	7,061.26	4,923.07	0.00
10/02/12	03/21/12	JANUS CAP GROUP INC COM	SELL First In First Out	JNS	394,000	3,691.78	3,632.95	-58.83
10/02/12	03/21/12	JARDEN CORP COM	SELL First In First Out	JAH	115,000	4,520.40	6,035.13	1,514.73
10/02/12	03/21/12	KAPSTONE PAPER & PACKAGING CORP COM	SELL First In First Out	KS	93,000	1,896.24	2,119.42	223.18





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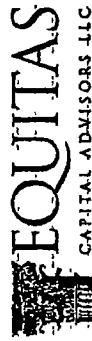
Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss	Dispositional
Short-Term (continued)									
10/02/12	06/15/12	SELL	LKQ CORP COM	LKQ	135,000	2,373.29	2,506.89	133.60	
		First In First Out							
10/02/12	03/21/12	SELL	LENDER PROCESSING SVCS INC COM	LPS	152,000	3,800.00	4,179.92	379.92	
		First In First Out							
10/02/12	03/21/12	SELL	MERADOLHRE INC COM	MELI	79,000	7,721.29	6,736.17	0.00	-985.123
		First In First Out							
10/02/12	07/26/12	SELL	MYRIAD GENETICS INC	MYGN	49,000	1,281.34	1,367.06	85.72	
		First In First Out							
10/02/12	03/21/12	SELL	NORDSON CORP	NDSN	82,000	4,502.78	4,736.22	233.44	
		First In First Out							
10/02/12	07/24/12	SELL	ONYX PHARMACEUTICALS INC DEL COM	ONXX	7,000	552.25	603.80	71.55	
		First In First Out							
10/02/12	09/20/12	SELL	PVH CORP COM	PVH	42,000	3,889.37	3,898.98	9.61	
		First In First Out							
10/02/12	03/21/12	SELL	PANERA-BREAD CO CL A	PNRA	33,000	5,378.34	5,696.00	317.66	
		First In First Out							
10/02/12	06/18/12	SELL	PAREXEL INTL CORP COM	PRXL	85,000	2,419.67	2,638.35	218.68	
		First In First Out							
10/02/12	06/15/12	SELL	PENN NATL GAMING INC	PENN	65,000	2,845.92	2,794.28	0.00	-51.643
		First In First Out							
10/02/12	03/21/12	SELL	PETSMART INC	PETM	121,000	7,030.71	8,270.17	1,239.46	
		First In First Out							



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/02/12	03/21/12	SELL First In First Out	POLARIS INDUSTRIES INC COM	PII	68,000	-4,593.94	-5,482.03	888.09
10/02/12	03/21/12	SELL First In First Out	RACKSPACE HOSTING INC COM	RAX	156,000	8,996.41	10,422.14	1,425.73
10/02/12	07/26/12	SELL First In First Out	ROBERT HALF INTL INC	RHI	143,000	3,768.99	3,712.48	-1,235
10/02/12	03/21/12	SELL First In First Out	ROLLINS INC	ROL	164,000	3,427.55	3,799.81	44,263
10/02/12	06/13/12	SELL First In First Out	SALLY BEAUTY HLDGS INC COM	SBH	87,000	2,298.10	2,182.79	372.26
10/02/12	03/21/12	SELL First In First Out	SANDRIDGE ENERGY INC COM	SD	390,000	3,077.10	2,731.61	-0.00
10/02/12	07/25/12	SELL First In First Out	SELECT-MED HLDGS CORP COM	SEM	109,000	1,218.78	1,224.05	-115.313
10/02/12	03/21/12	SELL First In First Out	SILGAN HLDGS INC COM	SLGN	132,000	5,856.80	5,650.80	-6.24
10/02/12	03/21/12	SELL First In First Out	SOLARWINDS INC COM	SWI	105,000	2,138.81	5,813.72	-199.763
10/02/12	03/21/12	SELL First In First Out	TIBCO SOFTWARE INC COM	TIBX	269,000	8,368.59	8,042.93	1,674.91
10/02/12	03/21/12	SELL First In First Out	TOWERS WATSON & CO CL A	TW	105,000	6,951.99	5,541.78	-325.66
10/02/12	07/24/12	SELL First In First Out	TOTAL SYSTEMS SERVICES INC	TSS	243,000	5,756.19	5,756.55	0.00
10/02/12	03/21/12	SELL First In First Out	TRACTOR SUPPLY CO	TSCO	55,000	4,844.20	5,386.57	-1,410.213
10/02/12	03/21/12	SELL First In First Out	ULTA SALON COSMETICS & FRAGRANCE INC	ULTA	43,000	3,951.33	4,127.90	0.36
								542.37
								176.57



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short-Term (continued)							
10/02/12	03/21/12	SELL UNITED RENTALS INC COM First In First Out	URR	224,000	9,407.93	7,255.41	-691.88
10/02/12	07/29/12	SELL UNITED THERAPEUTICS CORP DEL COM First In First Out	UTHR	60,000	3,141.48	3,532.73	391.25
10/02/12	07/24/12	SELL UNIVERSAL HEALTH SVCS INC CL B First In First Out	UHS	141,000	5,436.00	6,474.85	1,038.85
10/02/12	05/09/12	SELL VALMONT INDUSTRIES INC First In First Out	VMI	43,000	5,035.56	5,511.18	475.62
10/02/12	03/21/12	SELL VOLCANO CORP COM First In First Out	VOIC	188,000	5,436.88	5,414.35	-0.72
10/02/12	03/21/12	SELL WABTEC COM First In First Out	WAB	52,000	3,973.80	4,210.86	237.06
10/02/12	03/21/12	SELL WESTERN REFINING INC COM First In First Out	WNR	151,000	2,950.52	3,977.27	1,026.75
10/02/12	09/19/12	SELL WILLIAMS SONOMA INC COM First In First Out	WSM	87,000	3,809.73	3,845.31	35.58
10/02/12	03/21/12	SELL WYNDHAM WORLDWIDE CORP COM First In First Out	WYN	89,000	4,031.70	4,724.01	692.31
10/08/12	03/21/12	SELL SIGNET JEWELERS LIMITED First In First Out	SIG	149,000	7,554.10	7,443.88	-107.26
10/08/12	03/21/12	SELL XL GROUP PLC SHS First In First Out	XL	193,000	4,118.24	4,826.82	708.58



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disappearance
Short Term (continued)								
10/08/12	03/21/12	SELL First In First Out	NXP SEMICONDUCTORS NV COM	NXPI	167,000	4,313.20	4,218.34	-94.86
10/08/12	03/21/12	SELL First In First Out	AFFILIATED MANAGERS GROUP INC COM	AMG	29,000	3,247.77	3,582.57	334.80
10/08/12	03/21/12	SELL First In First Out	AKAMAI TECHNOLOGIES INC COM	AKAM	159,000	5,806.36	6,342.68	536.32
10/08/12	03/21/12	SELL First In First Out	ALBEMARLE CORP	ALB	70,000	4,497.46	3,761.01	-736.45
10/08/12	05/23/12	SELL First In First Out	ALLIANCE DATA SYS CORP COM	ADS	51,000	6,238.46	7,725.98	1,087.52
10/08/12	03/21/12	SELL First In First Out	AUTOLIV INC COM	ALV	103,000	6,861.80	6,581.84	-279.96
10/08/12	03/21/12	SELL First In First Out	AUTOMATION INC DEL COM	AN	120,000	4,258.44	5,700.07	1,441.63
10/08/12	03/21/12	SELL First In First Out	CBRE GROUP INC CL A	CBG	316,000	6,560.47	6,136.61	-423.86
10/08/12	03/21/12	SELL First In First Out	CARDTRONICS INC COM	CATM	53,000	1,430.45	1,610.64	180.19
10/08/12	03/21/12	SELL First In First Out	COINSTAR INC COM	CSTR	115,000	7,121.71	5,448.65	-1,673.06
10/08/12	03/21/12	SELL First In First Out	CULLEN FROST BANKERS	CFR	81,000	4,773.33	4,693.03	-80.30
10/08/12	06/15/12	SELL First In First Out	DSW INC CL A	DSW	64,000	3,655.79	4,355.74	699.95
10/08/12	03/21/12	SELL First In First Out	EDGE HLDGS INC COM	DHX	193,000	1,867.23	4,695.50	2,828.27
10/08/12	09/24/12	SELL First In First Out	DILLARDS INC CL A	DDS	45,000	3,425.74	3,375.37	-50.37



2012 Year-End Account Report

Report Period: 07/16/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Short-Term (continued)							
10/08/12	03/21/12	SELL First In First Out DOLBY LABORATORIES INC CLA	DIB	127,000	4,869.00	4,202.34	-556.42 +10,243
10/08/12	07/24/12	SELL First In First Out EAGLE MATERIALS INC COM	EXP	84,000	3,213.20	4,118.42	905.22
10/08/12	07/24/12	SELL First In First Out ENERSYS COM	ENS	67,000	2,245.70	2,359.01	+113.31
10/08/12	03/21/12	SELL First In First Out ENTEGRI3 INC COM	ENTG	411,000	3,891.41	3,395.30	-485.25 -10,863
10/08/12	03/21/12	SELL First In First Out EXPEDIA INC DEL COM NEW	EXPE	169,000	5,742.28	9,655.09	3,912.81
10/08/12	03/21/12	SELL First In First Out FEI COMPANY COMMON	FEIC	70,000	3,199.07	3,624.52	425.45
10/08/12	05/10/12	SELL First In First Out FLEETCOR TECHNOLOGIES INC COM	FLT	84,000	3,214.07	3,827.80	613.73
10/08/12	06/18/12	SELL First In First Out GARTNER INC COM	IF	132,000	5,797.40	6,189.50	392.10
10/08/12	07/25/12	SELL First In First Out GENOMIC HEALTH INC COM	GHDX	43,000	1,489.78	1,548.39	+58.61
10/08/12	07/25/12	SELL First In First Out HANGER INC COM NEW GROUP NEW	HGR	71,000	1,715.86	2,004.28	288.42
10/08/12	03/21/12	SELL First In First Out HEARTLAND PMT SYS INC COM	HPY	142,000	4,195.10	4,111.05	-84.05



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disaffirmance
Short Term (continued)								
10/08/12	03/21/12	SELL First In First Out	HELMERICH & PAYNE INC COM	HP	78,000	4,408.48	3,670.59	-728.43 -9.453
10/08/12	03/21/12	SELL First In First Out	HERTZ GLOBAL HLDGS INC COM	HTZ	465,000	7,109.85	6,621.45	-486.30 -2.103
10/08/12	03/21/12	SELL First In First Out	TAC INTERACTIVE CORP COM PAR	TACI	171,000	8,476.42	9,144.94	668.52
10/08/12	10/02/12	SELL First In First Out	XXIA COM	XXIA	160,000	2,561.60	2,689.69	128.09
10/08/12	03/21/12	SELL First In First Out	ILLUMINA INC COM	ILMN	153,000	7,689.76	7,960.47	270.71
10/08/12	03/21/12	SELL First In First Out	INGYFE CORP COM	INCY	105,000	1,950.69	1,939.41	-10.21 -1.073
10/08/12	03/21/12	SELL First In First Out	INFORMATICA CORP	INFA	76,000	3,982.10	2,059.56	-1,897.24 -25.303
10/08/12	03/21/12	SELL First In First Out	IRON MTN INC PA COM	IRM	136,000	3,972.15	4,741.12	768.97
10/08/12	03/21/12	SELL First In First Out	TABUL CIRCUIT INC COM	JBL	269,000	7,087.61	4,745.08	-2,307.70 -34.833
10/08/12	03/21/12	SELL First In First Out	JANUS CAP GROUP INC COM	JNS	375,000	3,513.75	3,450.07	-63.68
10/08/12	03/21/12	SELL First In First Out	JARDEN CORP COM	JAH	132,000	5,188.64	7,323.40	2,134.76
10/08/12	03/21/12	SELL First In First Out	KAPSTONE PAPER & PACKAGING CORP COM	KS	95,000	4,937.02	2,115.61	-1,785.59
10/08/12	06/15/12	SELL First In First Out	LKQ CORP COM	LKQ	128,000	2,250.23	2,478.15	227.92
10/08/12	03/21/12	SELL First In First Out	TENDER PROCESSING SVCS INC COM	LPS	166,000	4,150.00	4,872.19	722.19



2012 Year-End Account Report

Report Period: 02/16/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Short-Term (continued)							
10/08/12	03/23/12	SELL First-In-First Out	MELI	84,000	8,209.97	7,309.52	-889.73 -10.723
10/08/12	07/26/12	SELL First-In-First Out	MYGN	60,000	1,568.99	1,687.76	118.77
10/08/12	03/21/12	SELL First-In-First Out	NDSN	88,000	4,832.26	5,117.09	284.83
10/08/12	07/24/12	SELL First-In-First Out	ONXX	24,000	1,824.87	2,162.35	337.48
10/08/12	09/20/12	SELL First-In-First Out	PVH	37,000	3,426.35	3,519.73	93.38
10/08/12	03/21/12	SELL First-In-First Out	PNRA	33,000	5,378.34	5,498.02	119.68
10/08/12	06/18/12	SELL First-In-First Out	PRXL	119,000	3,387.54	3,760.33	372.79
10/08/12	06/15/12	SELL First-In-First Out	PENN	72,000	3,152.40	3,064.25	-88.15 -7.353
10/08/12	03/21/12	SELL First-In-First Out	PETM	120,000	6,972.60	8,389.02	1,416.42
10/08/12	03/21/12	SELL First-In-First Out	PLI	71,000	4,796.62	5,907.77	1,111.15
10/08/12	03/21/12	SELL First-In-First Out	RAX	161,000	9,286.76	11,130.00	1,843.24



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/08/12	07/26/12	SELL First In First Out	ROBERT HALF INTL INC	RHI	110,000	2,899.23	2,887.44	-11.79
10/08/12	03/21/12	SELL First In First Out	ROLEINS INC	ROL	165,000	3,448.45	3,813.32	-364.87
10/08/12	06/13/12	SELL First In First Out	SALLY BEAUTY HLDGS INC COM	SBH	89,000	2,350.93	2,313.94	-36.99
10/08/12	03/21/12	SELL First In First Out	SANDRIDGE ENERGY INC COM	SD	242,000	3,487.38	3,186.78	-282.92
10/08/12	07/25/12	SELL First In First Out	SELECT MED HLDGS CORP COM	SEM	149,000	1,666.04	1,679.94	-17.683
10/08/12	03/21/12	SELL First In First Out	SILGAN HLDGS INC COM	SLGN	132,000	5,856.80	5,727.67	-129.13
10/08/12	03/21/12	SELL First In First Out	SOLARWINDS INC COM	SWI	119,000	4,690.65	6,769.99	-2,079.34
10/08/12	03/21/12	SELL First In First Out	TIBCO SOFTWARE INC COM	TIBX	273,000	8,493.03	7,876.96	-616.07
10/08/12	03/21/12	SELL First In First Out	TOWERS WATSON & CO CL A	TW	106,000	7,018.20	5,656.24	-1,361.96
10/08/12	07/24/12	SELL First In First Out	TOTAL SYSTEMS SERVICES INC	TSS	259,000	6,135.20	6,219.49	84.29
10/08/12	03/21/12	SELL First In First Out	TRACTOR SUPPLY CO	TSCO	58,000	5,108.44	5,895.56	787.12
10/08/12	03/21/12	SELL First In First Out	ULTA SALON COSMETICS & FRAGRANCE INC	ULTA	42,000	3,859.44	4,156.22	296.78
10/08/12	03/21/12	SELL First In First Out	UNITED RENTALS INC COM	URI	159,000	6,677.95	5,348.77	-1,329.18
10/08/12	07/26/12	SELL First In First Out	UNITED THERAPEUTICS CORP DEL COM	UTHR	60,000	3,141.48	3,423.52	-282.04



2012 Year-End Account Report

Report Period: 02/16/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/08/12	07/24/12	SELL First-In First-Out	UNIVERSAL HEALTH SVCS INC CLB	UHS	1174.000	4,395.06	5,241.74	846.68
10/08/12	05/08/12	SELL First-In First-Out	VALMONT INDUSTRIES INC	VMI	38.000	4,450.03	4,936.88	486.85
10/08/12	03/21/12	SELL First-In First-Out	VOLEANG CORP-COM	VOLC	183.000	5,292.29	5,230.20	-62.09
10/08/12	03/21/12	SELL First-In First-Out	WABTEC-COM	WAB	56.000	4,279.48	4,746.45	466.97
10/08/12	03/21/12	SELL First-In First-Out	WESTERN REFINING INC COM	WNR	154.000	3,009.13	4,094.78	1,085.65
10/08/12	09/19/12	SELL First-In First-Out	WILLIAMS SONOMAT INC COM	WSM	80.000	3,503.20	3,612.71	109.51
10/08/12	03/21/12	SELL First-In First-Out	WYNDHAM WORLDWIDE CORP COM	WYN	99.000	4,484.70	5,386.46	901.76
10/12/12	03/21/12	SELL First-In First-Out	RACKSPACE HOSTING INC COM	RAX	134.000	7,727.69	8,989.85	1,262.16
10/18/12	03/21/12	SELL First-In First-Out	ROLLINS INC	ROL	69.000	1,442.08	1,569.02	126.94
11/06/12	03/21/12	SELL First-In First-Out	ROLLINS INC	ROL	601.000	12,560.72	13,979.11	1,418.39
11/06/12	10/22/12	SELL First-In First-Out	ROLLINS INC	ROL	236.000	5,317.55	5,489.30	171.75
Total Short Term							\$1,230,478.75	-\$44,226.49



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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
01/09/12	01/28/11*	SELL First In First Out	AMERICAN GREETINGS CORP CL A	AM	995.000	21,769.47	12,906.52	-8,862.95
01/09/12	09/21/11*	SELL First In First Out	ARRIS GROUP INC COM	ARRS	4,090.000	44,955.24	43,520.81	-1,434.43
01/09/12	10/21/11*	SELL First In First Out	ARRIS GROUP INC COM	ARRS	4,450.000	49,380.04	47,351.49	-2,028.55
01/09/12	10/28/11*	SELL First In First Out	AVERY DENNISON CORP COM	AVY	2,225.000	61,165.25	65,669.90	4,504.65
01/09/12	11/11/11*	SELL First In First Out	AVERY DENNISON CORP COM	AVY	840.000	21,831.60	24,792.23	2,960.63
01/09/12	10/14/11*	SELL First In First Out	BROWN & BROWN INC	BRO	1,165.000	21,319.50	26,228.02	4,908.52
01/09/12	05/06/11*	SELL First In First Out	CHEMICAL FINL CORP COM	CHFC	2,580.000	50,502.47	55,237.95	4,735.48
01/09/12	11/21/11*	SELL First In First Out	CHICOS FAS INC COM	CHS	3,720.000	42,631.20	39,394.59	-3,236.61
01/09/12	11/22/11*	SELL First In First Out	CHICOS FAS INC COM	CHS	2,510.000	24,823.90	26,580.76	1,756.86
01/09/12	01/14/11*	SELL First In First Out	COINSTAR INC COM	CSTR	575.000	24,327.94	24,345.83	17.89
01/09/12	02/04/11*	SELL First In First Out	COINSTAR INC COM	CSTR	755.000	29,021.12	31,967.13	2,946.01



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/09/12	08/01/11 *	SELL First In First Out	COINSTAR INC COM	CSTR	490.000	23,774.26	20,746.88	-3,027.38
01/09/12	08/08/11 *	SELL First In First Out	COINSTAR INC COM	CSTR	622.000	25,002.85	26,335.83	1,332.98
01/09/12	01/06/12 *	SELL First In First Out	COINSTAR INC COM	CSTR	120.000	4,919.78	5,080.87	161.09
01/09/12	01/06/12 *	SELL First In First Out	COINSTAR INC COM	CSTR	325.000	13,324.41	13,760.68	436.27
01/09/12	02/02/11 *	SELL First In First Out	CONWAY INC COM	CNW	1,220.000	40,821.20	35,273.56	-5,547.64
01/09/12	08/15/11 *	SELL First In First Out	CONWAY INC COM	CNW	1,765.000	48,484.55	51,031.00	2,546.45
01/09/12	06/10/11 *	SELL First In First Out	COOPER TIRE & RUBR CO COM	CTB	1,210.000	24,185.60	18,503.84	-5,681.76
01/09/12	08/15/11 *	SELL First In First Out	COOPER TIRE & RUBR CO COM	CTB	1,315.000	16,437.50	20,109.54	3,672.04
01/09/12	09/13/11 *	SELL First In First Out	COOPER TIRE & RUBR CO COM	CTB	620.000	6,801.40	9,481.31	2,679.91
01/09/12	09/13/11 *	SELL First In First Out	COOPER TIRE & RUBR CO COM	CTB	90.000	987.30	1,376.32	389.02
01/09/12	06/03/11 *	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	1,810.000	33,797.04	38,526.23	4,729.19
01/09/12	09/14/11 *	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	435.000	7,117.86	9,259.07	2,141.21
01/09/12	09/14/11 *	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	685.000	11,208.59	14,580.37	3,371.78
01/09/12	01/21/11 *	SELL First In First Out	FIRST HORIZON NATL CORP COM	FHN	0.660	7.76	5.69	-2.07

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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/09/12	01/21/11*	SELL First In First Out	FIRST HORIZON NATL CORP COM	FHN	1,404.340	16,513.06	12,110.11	-4,402.95
01/09/12	02/24/11*	SELL First In First Out	FIRST HORIZON NATL CORP COM	FHN	1,705.000	19,179.25	14,702.80	-4,476.45
01/09/12	10/07/11*	SELL First In First Out	FIRST HORIZON NATL CORP COM	FHN	888.813	5,525.67	7,664.54	2,138.87
01/09/12	10/07/11*	SELL First In First Out	FIRST HORIZON NATL CORP COM	FHN	241.187	1,499.44	2,079.84	580.40
01/09/12	10/07/11*	SELL First In First Out	FIRST HORIZON NATL CORP COM	FHN	2,275.000	14,143.47	19,618.11	5,474.64
01/09/12	03/08/11*	SELL First In First Out	GREIF INC CL A	GEF	255.000	16,289.68	11,854.75	-4,434.93
01/09/12	03/11/11*	SELL First In First Out	GREIF INC CL A	GEF	464.000	29,096.23	21,570.99	-7,525.24
01/09/12	05/03/11*	SELL First In First Out	GREIF INC CL A	GEF	485.000	30,079.65	22,547.27	-7,532.38
01/09/12	07/14/11*	SELL First In First Out	GREIF INC CL A	GEF	228.000	14,695.08	10,599.54	-4,095.54
01/09/12	05/23/11*	SELL First In First Out	KELLY SVCS INC CL A	KELVA	695.000	12,145.70	10,666.39	-1,479.31
01/09/12	05/31/11*	SELL First In First Out	KELLY SVCS INC CL A	KELVA	1,645.000	29,194.24	25,246.35	-3,947.89

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/09/12	06/03/11*	SELL First In First Out	KELLY SVCS INC CL A	KELYA	2,235,000	36,724.13	34,301.27	-2,422.86
01/09/12	07/28/11*	SELL First In First Out	KELLY SVCS INC CL A	KELYA	1,400,000	22,050.00	21,486.25	-563.75
01/09/12	09/22/11*	SELL First In First Out	KELLY SVCS INC CL A	KELYA	920,000	10,696.38	14,119.54	3,423.16
01/09/12	09/22/11*	SELL First In First Out	KELLY SVCS INC CL A	KELYA	550,000	6,394.58	8,441.03	2,046.45
01/09/12	02/28/11*	SELL First In First Out	KENAMETAL INC CAP STK	KMT	755,000	28,957.42	29,286.54	329.12
01/09/12	08/09/11*	SELL First In First Out	KENAMETAL INC CAP STK	KMT	515,000	16,531.45	19,976.91	3,445.46
01/09/12	09/14/11*	SELL First In First Out	KENAMETAL INC CAP STK	KMT	80,000	2,727.99	3,103.21	375.22
01/09/12	09/14/11*	SELL First In First Out	KENAMETAL INC CAP STK	KMT	320,000	10,911.97	12,412.84	1,500.87
01/09/12	03/16/11*	SELL First In First Out	KNIGHT CAP GROUP INC CL A COM	KCG	1,805,000	22,868.99	21,176.49	-1,692.50
01/09/12	04/29/11*	SELL First In First Out	KNIGHT CAP GROUP INC CL A COM	KCG	1,835,000	25,167.29	21,528.45	-3,638.84
01/09/12	06/13/11*	SELL First In First Out	MERITOR INC COM	MTOR	580,000	8,339.79	3,566.99	-4,772.80
01/09/12	08/02/11*	SELL First In First Out	MERITOR INC COM	MTOR	2,240,000	25,430.42	13,775.96	-11,654.46
01/09/12	08/08/11*	SELL First In First Out	MERITOR INC COM	MTOR	1,220,000	10,272.40	7,502.98	-2,769.42
01/09/12	08/08/11*	SELL First In First Out	MERITOR INC COM	MTOR	560,000	4,715.20	3,443.99	-1,271.21

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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/09/12	10/07/11*	SELL First In First Out	MERITOR INC COM	MTOR	20,000	166.31	123.00	-43.31
01/09/12	10/07/11*	SELL First In First Out	MERITOR INC COM	MTOR	730,000	6,070.37	4,489.49	-1,580.88
01/09/12	10/07/11*	SELL First In First Out	MERITOR INC COM	MTOR	2,315,000	19,250.56	14,237.21	-5,013.35
01/09/12	02/01/11*	SELL First In First Out	OLD NATL BANCORP IND COM	ONB	1,480,000	16,158.13	17,867.12	1,708.99
01/09/12	03/29/11*	SELL First In First Out	OLD NATL BANCORP IND COM	ONB	2,430,000	25,366.44	29,335.89	3,969.45
01/09/12	09/13/11*	SELL First In First Out	ON ASSIGNMENT INC COM	ASGN	1,585,000	11,158.40	17,506.92	6,348.52
01/09/12	09/13/11*	SELL First In First Out	ON SEMICONDUCTOR CORP	ONNN	460,500	3,429.71	3,869.23	439.52
01/09/12	09/13/11*	SELL First In First Out	ON SEMICONDUCTOR CORP	ONNN	618,750	4,608.31	5,198.88	590.57
01/09/12	01/18/11*	SELL First In First Out	PANTRY INC	PTRY	572,000	9,466.60	6,862.29	-2,604.31
01/09/12	04/11/11*	SELL First In First Out	PANTRY INC	PTRY	2,185,000	33,624.70	26,213.49	-7,411.21
01/09/12	01/21/11*	SELL First In First Out	PLEXUS CORP	PLXS	1,040,000	28,459.41	33,052.20	4,592.79

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/09/12	02/01/11*	SELL First In First Out	PLEXUS CORP	PLXS	1,095,000	29,821.78	34,800.15	4,978.37
01/09/12	08/01/11*	SELL First In First Out	PLEXUS CORP	PLXS	1,410,000	41,186.10	44,811.16	3,625.06
01/09/12	09/09/11*	SELL First In First Out	PREMIERE GLOBAL SVCS INC COM	PGI	4,135,000	30,997.83	34,881.69	3,883.86
01/09/12	09/01/11*	SELL First In First Out	PROGRESSIVE WASTE SOLUTIONS LTD COM	BIN	2,100,000	47,069.29	41,063.21	-6,006.08
01/09/12	06/09/11*	SELL First In First Out	RAYMOND JAMES FINL INC COM	RJF	965,000	31,813.84	31,931.58	117.74
01/09/12	08/09/11*	SELL First In First Out	RAYMOND JAMES FINL INC COM	RJF	395,000	10,607.69	13,070.44	2,462.75
01/09/12	08/15/11*	SELL First In First Out	RAYMOND JAMES FINL INC COM	RJF	1,005,000	27,547.05	33,255.17	5,708.12
01/09/12	01/25/11*	SELL First In First Out	ROCK-TENN CO CL A	RKT	672,000	42,405.96	41,863.61	-542.35
01/09/12	04/28/11*	SELL First In First Out	ROCK-TENN CO CL A	RKT	776,000	53,263.31	48,342.50	-4,920.81
01/09/12	08/09/11*	SELL First In First Out	ROCK-TENN CO CL A	RKT	240,000	12,063.55	14,951.29	2,887.74
01/09/12	09/13/11*	SELL First In First Out	ROCK-TENN CO CL A	RKT	215,000	11,592.80	13,393.86	1,801.06
01/09/12	09/13/11*	SELL First In First Out	ROCK-TENN CO CL A	RKT	554,000	29,871.68	34,512.56	4,640.88
01/09/12	06/08/11*	SELL First In First Out	SIMMONS FIRST NAT'L CORP CLASS A	SFNC	1,420,000	35,023.30	40,132.50	5,109.20
01/09/12	06/15/11*	SELL First In First Out	STIFEL FINANCIAL CORP	SF	450,000	15,974.47	15,093.40	-881.07

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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/09/12	09/15/11*	SELL First In First Out	STIFEL FINANCIAL CORP	SF	250.000	7,380.00	8,385.22	1,005.22
01/09/12	09/15/11*	SELL First In First Out	STIFEL FINANCIAL CORP	SF	605.000	17,859.60	20,292.23	2,432.63
01/09/12	04/07/11*	SELL First In First Out	SYKES ENTERPRISES INC COM	SYKE	2,390.000	47,231.90	38,925.22	-8,306.68
01/09/12	06/09/11*	SELL First In First Out	SYKES ENTERPRISES INC COM	SYKE	660.000	12,619.20	10,749.22	-1,869.98
01/09/12	10/07/11*	SELL First In First Out	SYKES ENTERPRISES INC COM	SYKE	480.000	7,191.12	7,817.62	626.50
01/09/12	10/07/11*	SELL First In First Out	SYKES ENTERPRISES INC COM	SYKE	1,405.000	21,049.02	22,882.82	1,833.80
01/09/12	04/26/11*	SELL First In First Out	SYNNEX CORP COM	SNX	1,340.000	44,086.00	42,151.84	-1,934.16
01/09/12	01/27/11*	SELL First In First Out	TW TELECOM INC COM	TWTC	2,105.000	35,574.08	41,094.46	5,520.38
01/09/12	05/04/11*	SELL First In First Out	TRIMAS CORP COM NEW	TRS	1,971.000	43,337.59	36,580.60	-6,756.99
01/09/12	05/11/11*	SELL First In First Out	TRIMAS CORP COM NEW	TRS	1,460.000	32,120.00	27,096.74	-5,023.26
01/09/12	09/13/11*	SELL First In First Out	TRIMAS CORP COM NEW	TRS	520.000	8,723.71	9,650.89	927.18

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/09/12	09/13/11*	SELL First In First Out	TRIMAS CORP COM NEW	TRS	1,515.000	25,416.19	28,117.50	2,701.31
01/09/12	05/16/11*	SELL First In First Out	VALASSIS COMMUNICATIONS INC	VCI	1,410.000	39,916.68	27,001.08	-12,915.60
01/09/12	07/14/11*	SELL First In First Out	VALASSIS COMMUNICATIONS INC	VCI	570.000	17,283.43	10,915.33	-6,368.10
01/09/12	09/14/11*	SELL First In First Out	VALASSIS COMMUNICATIONS INC	VCI	590.000	12,865.79	11,298.32	-1,567.47
01/09/12	09/14/11*	SELL First In First Out	VALASSIS COMMUNICATIONS INC	VCI	2,975.000	64,874.12	56,970.35	-7,903.77
01/09/12	01/25/11*	SELL First In First Out	WINTRUST FINL CORP COM	WTFC	615.000	20,288.85	18,802.36	-1,486.49
01/09/12	04/26/11*	SELL First In First Out	WINTRUST FINL CORP COM	WTFC	425.000	14,543.50	12,993.50	-1,550.00
01/09/12	05/03/11*	SELL First In First Out	WINTRUST FINL CORP COM	WTFC	935.000	31,876.99	28,585.70	-3,291.29
01/10/12	01/25/11*	SELL First In First Out	CASELLA WASTE SYSTEMS INC CL A COM	CWST	2,790.000	22,650.62	18,918.72	-3,731.90
01/10/12	04/06/11*	SELL First In First Out	CASELLA WASTE SYSTEMS INC CL A COM	CWST	2,200.000	15,268.00	14,917.98	-350.02
01/10/12	03/29/11*	SELL First In First Out	THE DOLAN CO COM	DM	2,950.000	34,644.20	25,057.32	-9,586.88
01/10/12	04/26/11*	SELL First In First Out	THE DOLAN CO COM	DM	1,535.000	18,082.30	13,038.30	-5,044.00
01/10/12	05/11/11*	SELL First In First Out	THE DOLAN CO COM	DM	830.000	9,129.92	7,050.03	-2,079.89
01/10/12	09/27/11*	SELL First In First Out	THE DOLAN CO COM	DM	100.000	879.39	849.40	-29.99

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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
01/10/12	09/27/11*	SELL First In First Out	THE DOLAN CO COM	DM	865.000	7,606.74	7,347.32	-259.42
01/10/12	09/27/11*	SELL First In First Out	THE DOLAN CO COM	DM	1,370.000	12,047.68	11,636.79	-410.89
03/23/12	03/22/12*	SELL First In First Out	INTUIT INCORPORATED COM	INTU	289.000	16,683.88	16,545.02	-138.86
04/13/12	03/22/12*	SELL Versus Purchase	KOMATSU LTD SPONSORED ADR NEW	KMTUY	511.000	14,430.64	14,670.48	239.84
04/30/12	03/22/12*	SELL First In First Out	CHESAPEAKE ENERGY CORP	CHK	519.000	12,575.37	9,440.44	-3,134.93
04/30/12	03/22/12*	SELL First In First Out	MONSTER BEVERAGE CORP COM	MNST	252.000	15,341.48	19,262.44	3,920.96
05/03/12	03/22/12*	SELL First In First Out	CHECK POINT SOFTWARE TECHNOLOGIES LTD	CHKP	252.000	15,553.37	14,348.84	-1,204.53
05/04/12	03/22/12*	SELL First In First Out	OPEN TEXT CORP COM	OTEX	254.000	14,698.98	12,821.95	-1,877.03
05/21/12	03/22/12*	SELL First In First Out	ADVANCE AUTO PTS INC COM	AAP	191.000	17,020.82	12,865.58	-4,155.24
05/22/12	03/22/12*	SELL First In First Out	ANSYS INC COM	ANSS	320.000	20,665.34	19,692.35	-972.99
06/26/12	04/30/12*	SELL First In First Out	BED BATH & BEYOND INC COM	BBBY	223.000	15,707.61	13,306.76	-2,400.85



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/26/12	03/22/12*	SELL First In First Out	CELGENE CORP	CELG	420,000	31,901.92	26,582.84	-5,319.08
07/06/12	03/22/12*	SELL First In First Out	INFORMATICA CORP	INFA	293,000	15,016.19	9,077.36	-5,938.83
07/17/12	03/22/12*	SELL First In First Out	APACHE CORP COM	APA	312,000	31,389.70	26,379.03	-5,010.67
07/17/12	03/22/12*	SELL First In First Out	EMC CORP COM	EMC	566,000	16,474.56	12,978.14	-3,496.42
07/17/12	03/22/12*	SELL First In First Out	HELMERICH & PAYNE INC COM	HP	247,000	13,424.69	10,834.18	-2,590.51
07/17/12	03/22/12*	SELL First In First Out	SUPERIOR ENERGY SVCS INC COM	SPN	404,000	10,329.88	8,176.80	-2,153.08
07/18/12	03/22/12*	SELL First In First Out	LAM RESEARCH CORP	LRCX	444,000	19,229.51	15,619.66	-3,609.85
07/24/12	03/22/12*	SELL First In First Out	TIM PARTICIPACOE S A	TSU	504,000	15,859.26	10,364.71	-5,494.55
07/30/12	03/22/12*	SELL First In First Out	BARRICK GOLD CORP COM	ABX	411,000	17,681.18	13,488.75	-4,192.43
07/30/12	03/22/12*	SELL First In First Out	CLIFFS NAT RES INC COM	CLF	194,000	13,161.15	7,519.72	-5,641.43
08/02/12	03/22/12*	SELL First In First Out	COACH INC COM	COH	320,000	24,981.12	15,751.32	-9,229.80
08/03/12	03/22/12*	SELL First In First Out	F5 NETWORKS INC COM	FEW	123,000	16,229.76	11,432.59	-4,797.17
08/08/12	03/22/12*	SELL First In First Out	KOMATSU LTD SPONSORED ADR NEW	KMTUY	546,000	15,419.04	11,624.07	-3,794.97
08/10/12	03/22/12*	SELL First In First Out	PRICELINE COM INC COM NEW	PCLN	40,000	28,324.81	22,536.34	-5,788.47

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
08/13/12	03/22/12*	SELL First In First Out	MONSTER BEVERAGE CORP COM	MNST	241.000	14,671.82	13,123.23	-1,548.59
08/15/12	03/22/12*	SELL First In First Out	MEAD JOHNSON NUTRITION CO COM	MJN	147.000	12,087.96	10,702.84	-1,385.12
08/21/12	03/22/12*	SELL First In First Out	APPLE INC COM	AAPL	16.000	9,537.86	10,710.32	1,172.46
08/24/12	03/22/12*	SELL First In First Out	AUTODESK INC COM	ADSK	882.000	35,020.82	26,532.43	-9,488.39
08/30/12	03/22/12*	SELL First In First Out	INTEL CORP COM	INTC	762.000	21,206.23	18,631.49	-2,574.74
09/04/12	03/22/12*	SELL First In First Out	CHECK POINT SOFTWARE TECHNOLOGIES LTD SHS	CHKP	534.000	32,958.34	24,131.05	-8,827.29
09/06/12	03/22/12*	SELL First In First Out	HELMERICH & PAYNE INC COM	HP	307.000	16,685.75	14,031.70	-2,654.05
09/10/12	03/22/12*	SELL First In First Out	CNOOC LTD SPONSORED ADR	CEO	69.000	14,396.85	12,682.72	-1,714.13
09/13/12	03/22/12*	SELL First In First Out	ASML HOLDING N V R/S EFF 11/29/12 1	N07059186	177.000	8,439.36	9,848.05	1,408.69
09/20/12	03/22/12*	SELL First In First Out	ASML HOLDING N V R/S EFF 11/29/12 1	N07059186	183.000	8,725.44	9,951.75	1,226.31
10/02/12	03/22/12*	SELL First In First Out	ACCENTURE PLC IRELAND CLASS SHS	ACN	75.000	4,756.49	5,241.63	485.14

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/02/12	03/22/12*	SELL First In First Out	HERBALIFE LTD USD COM SHS	HLF	31,000	2,178.74	1,524.85	-653.89
10/02/12	06/28/12*	SELL First In First Out	MICHAEL KORS HLDGS LTD SHS	KORS	79,000	3,262.56	4,169.52	906.96
10/02/12	09/11/12*	SELL First In First Out	ROWAN COMPANIES PLC SHS CLASS A	RDC	64,000	2,410.44	2,139.47	-270.97
10/02/12	03/22/12*	SELL First In First Out	ABB LTD SPONSORED ADR	ABB	156,000	3,121.56	3,004.51	-117.05
10/02/12	07/17/12*	SELL First In First Out	ABBOTT LABS COM	ABT	33,000	2,194.10	2,291.46	97.36
10/02/12	03/22/12*	SELL First In First Out	AGRIUM INC COM	AGU	48,000	4,146.72	5,028.36	881.64
10/02/12	07/30/12*	SELL First In First Out	ALEXION PHARMACEUTICALS INC	ALXN	14,000	1,504.03	1,625.78	121.75
10/02/12	07/30/12*	SELL First In First Out	ALIGN TECHNOLOGY INC COM	ALGN	61,000	2,144.11	2,333.80	189.69
10/02/12	03/22/12*	SELL First In First Out	AMAZON COM INC	AMZN	29,000	5,566.18	7,284.92	1,718.74
10/02/12	03/22/12*	SELL First In First Out	AMERICAN EXPRESS COMPANY	AXP	38,000	2,174.25	2,196.35	22.10
10/02/12	03/29/12*	SELL First In First Out	AMGEN INC COM	AMGN	35,000	2,349.50	3,013.08	663.58
10/02/12	07/30/12*	SELL First In First Out	ANHEUSER BUSCH INBEV SA NV SPONSORED ADR	BUD	27,000	2,195.10	2,390.25	195.15
10/02/12	03/22/12*	SELL First In First Out	APPLE INC COM	AAPL	22,000	13,114.56	14,550.69	1,436.13
10/02/12	03/22/12*	SELL First In First Out	BARRICK GOLD CORP COM	ABX	68,000	2,925.35	2,849.81	-75.54

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/02/12	08/10/12 *	SELL First In First Out	CATERPILLAR INC	CAT	21,000	1,854.04	1,791.67	-62.37
10/02/12	05/02/12 *	SELL First In First Out	CERNER CORP	CERN	28,000	2,324.95	2,180.03	-144.92
10/02/12	09/04/12 *	SELL First In First Out	CHICOS FAS INC COM	CHS	121,000	2,268.74	2,164.66	-104.08
10/02/12	03/22/12 *	SELL First In First Out	CITRIX SYSTEMS INC	CTXS	29,000	2,266.62	2,205.98	-60.64
10/02/12	03/29/12 *	SELL First In First Out	COCA COLA COMPANY	KO	66,000	2,409.99	2,539.62	129.63
10/02/12	03/22/12 *	SELL First In First Out	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL	CTSH	97,000	7,375.81	6,903.33	-472.48
10/02/12	03/22/12 *	SELL First In First Out	COMMVAULT SYS INC COM	CVLT	31,000	1,570.45	1,762.31	191.86
10/02/12	03/22/12 *	SELL First In First Out	COMPANHIA DE BEBIDAS DAS AMERS AMBEV	ABV	70,000	2,964.49	2,754.43	-210.06
10/02/12	09/04/12 *	SELL First In First Out	CONTINENTAL RES INC COM	CLR	31,000	2,273.06	2,367.72	94.66
10/02/12	03/22/12 *	SELL First In First Out	CUBIST PHARMACEUTICALS INC	CBST	95,000	4,133.27	4,604.55	471.28
10/02/12	03/22/12 *	SELL First In First Out	DIRECTV COM	DTV	32,000	1,535.03	1,672.92	137.89

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/02/12	03/22/12 *	SELL First In First Out	DOVER CORP COM	DOV	77,000	4,730.04	4,558.29	-171.75
10/02/12	03/22/12 *	SELL First In First Out	EMC CORP COM	EMC	147,000	4,278.73	3,988.03	-290.70
10/02/12	08/28/12 *	SELL First In First Out	EOG RES INC COM	EOG	21,000	2,282.90	2,405.28	122.38
10/02/12	03/22/12 *	SELL First In First Out	EATON CORP COM MER EFF 11/30/12	278058102	139,000	6,745.25	6,549.87	-195.38
10/02/12	04/30/12 *	SELL First In First Out	EBAY INC COM	EBAY	117,000	4,842.39	5,658.11	815.72
10/02/12	06/12/12 *	SELL First In First Out	EDWARDS LIFESCIENCES CORP COM	EW	16,000	1,416.42	1,739.80	323.38
10/02/12	06/22/12 *	SELL First In First Out	EXPEDIA INC DEL COM NEW	EXPE	44,000	2,106.02	2,496.94	390.92
10/02/12	05/18/12 *	SELL First In First Out	FACEBOOK INC CL A	FB	73,000	2,992.82	1,603.77	-1,389.05
10/02/12	03/22/12 *	SELL First In First Out	FLUOR CORP NEW COM	FLR	79,000	4,656.80	4,519.49	-137.31
10/02/12	03/22/12 *	SELL First In First Out	FORTINET INC COM	FTNT	93,000	2,471.00	2,187.32	-283.68
10/02/12	03/22/12 *	SELL First In First Out	FRANKLIN RESOURCES INC	BEN	33,000	4,039.20	4,166.81	127.61
10/02/12	08/22/12 *	SELL First In First Out	FUSION-IO INC COM	FIO	39,000	1,128.13	1,180.89	52.76
10/02/12	08/17/12 *	SELL First In First Out	GAP INC	GPS	62,000	2,237.46	2,240.00	2.54
10/02/12	09/26/12 *	SELL First In First Out	GILEAD SCIENCES INC	GILD	27,000	1,794.92	1,852.15	57.23

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallorance
Short Term (continued)								
10/02/12	03/22/12 *	SELL First In First Out	GOLDCORP INC NEW COM	ISIN#CA3809564097	58,000	2,540.98	2,671.43	130.45
10/02/12	03/22/12 *	SELL First In First Out	GOOGLE INC CL A	GOOG	16,000	10,280.32	12,145.64	1,865.32
10/02/12	03/22/12 *	SELL First In First Out	HARMAN INTL INDS INC NEW COM	HAR	50,000	2,314.50	2,352.45	37.95
10/02/12	08/08/12 *	SELL First In First Out	IPG PHOTONICS CORP COM	IPGP	36,000	2,205.73	2,058.07	-147.66
10/02/12	03/22/12 *	SELL First In First Out	INTERCONTINENTAL EXCH ANGE INC COM	ICE	18,000	2,512.89	2,364.06	-148.83
10/02/12	03/22/12 *	SELL First In First Out	INTUITIVE SURGICAL INC COM NEW	ISRG	15,000	8,007.88	7,498.35	-509.53
10/02/12	03/22/12 *	SELL First In First Out	INTUIT INCORPORATED COM	INTU	42,000	2,424.65	2,498.10	73.45
10/02/12	03/29/12 *	SELL First In First Out	JOHNSON & JOHNSON COM	JNJ	36,000	2,354.40	2,490.43	136.03
10/02/12	03/22/12 *	SELL First In First Out	MASTERCARD INC CL A COM	MA	14,000	5,858.02	6,398.27	540.25
10/02/12	03/22/12 *	SELL First In First Out	MEAD JOHNSON NUTRITION CO COM	MIN	41,000	3,371.47	3,006.46	-365.01
10/02/12	03/22/12 *	SELL First In First Out	NATIONAL OILWELL VARCO INC	NOV	95,000	7,452.18	7,636.87	184.69

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/02/12	03/22/12*	SELL First In First Out	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	46,000	6,509.90	7,432.97	923.07
10/02/12	03/22/12*	SELL First In First Out	NUANCE COMMUNICATIONS INC	NUAN	87,000	2,242.83	2,178.44	-64.39
10/02/12	03/27/12*	SELL First In First Out	OCCIDENTAL PETE CORP COM	OXY	32,000	3,160.96	2,733.05	-427.91
10/02/12	03/22/12*	SELL First In First Out	ORACLE CORP COM	ORCL	330,000	9,477.25	10,491.13	1,013.88
10/02/12	06/21/12*	SELL First In First Out	PANERA BREAD CO CL A	PNRA	11,000	1,645.81	1,901.30	255.49
10/02/12	03/22/12*	SELL First In First Out	PARKER HANNIFIN CORP COM	PH	34,000	2,881.16	2,849.81	-31.35
10/02/12	03/22/12*	SELL First In First Out	PRICE T ROWE GROUP INC COM	TROW	57,000	3,633.74	3,603.51	-30.23
10/02/12	03/22/12*	SELL First In First Out	PRICELINE COM INC COM NEW	PCLN	8,000	5,664.96	4,991.24	-673.72
10/02/12	03/22/12*	SELL First In First Out	QUALCOMM INC	QCOM	154,000	10,182.43	9,558.87	-623.56
10/02/12	08/08/12*	SELL First In First Out	REGENERON PHARMACEUTICALS INC	REGN	8,000	1,111.95	1,246.45	134.50
10/02/12	09/06/12*	SELL First In First Out	RESMED INC COM	RMD	38,000	1,504.36	1,551.12	46.76
10/02/12	03/23/12*	SELL First In First Out	RIO TINTO PLC SPONSORED ADR	RIO	59,000	3,168.04	2,819.54	-348.50
10/02/12	07/18/12*	SELL First In First Out	ROSS STORES INC (STATE OF INC CHGD	ROST	31,000	2,164.65	2,042.23	-122.42
10/02/12	03/22/12*	SELL First In First Out	SALESFORCE.COM INC COM STOCK	CRM	33,000	5,027.19	4,999.05	-28.14

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/02/12	03/22/12*	SELL First In First Out	SAP AG SPONSORED ADR	SAP	74,000	5,209.30	5,251.66	42.36
10/02/12	03/22/12*	SELL First In First Out	SCHLUMBERGER LTD COM	SLB	66,000	4,721.64	4,804.03	82.39
10/02/12	08/14/12*	SELL First In First Out	SCRIPPS NETWORKS INTERACTIVE INC CL A	SNI	38,000	2,281.93	2,370.38	88.45
10/02/12	03/22/12*	SELL First In First Out	SOLARWINDS INC COM	SWI	102,000	3,961.08	5,570.10	1,609.02
10/02/12	03/22/12*	SELL First In First Out	STARBUCKS CORP COM	SBUX	49,000	2,690.09	2,458.27	-231.82
10/02/12	03/22/12*	SELL First In First Out	TX COMPANIES INC (NEW)	TX	58,000	2,249.12	2,625.02	375.90
10/02/12	03/22/12*	SELL First In First Out	TERADATA CORP DEL COM	TDC	36,000	2,449.80	2,700.29	250.49
10/02/12	04/24/12*	SELL First In First Out	TIBCO SOFTWARE INC COM	TIBX	72,000	2,319.12	2,133.31	-185.81
10/02/12	05/22/12*	SELL First In First Out	TRIPADVISOR INC COM	TRIP	52,000	2,264.42	1,668.12	-596.30
10/02/12	07/11/12*	SELL First In First Out	VERISIGN INC COM	VRSN	49,000	2,102.53	2,391.63	289.10
10/02/12	03/22/12*	SELL First In First Out	VMWARE INC CL A COM	VMW	66,000	7,138.16	6,298.23	-839.93



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/02/12	03/22/12*	SELL First In First Out	YAMANA GOLD INC COM	AUY	204,000	3,125.28	3,902.63	777.35
10/09/12	03/22/12*	SELL First In First Out	INTUITIVE SURGICAL INC COM NEW	ISRG	25,000	13,346.48	12,463.22	-883.26
10/18/12	07/30/12*	SELL First In First Out	ALIGN TECHNOLOGY INC COM	ALGN	345,000	12,126.51	9,159.76	-2,966.75
10/18/12	03/22/12*	SELL First In First Out	FORTINET INC COM	FTNT	507,000	13,470.94	9,911.62	-3,559.32
11/01/12	03/22/12*	SELL First In First Out	MEAD JOHNSON NUTRITION CO COM	MJN	228,000	18,748.67	14,579.78	-4,168.89
11/01/12	03/22/12*	SELL First In First Out	PARKER HANNIFIN CORP COM	PH	173,000	14,660.02	13,974.67	-685.35
11/01/12	07/11/12*	SELL First In First Out	VERISIGN INC COM	VRSN	276,000	11,842.83	10,317.47	-1,525.36
11/06/12	03/22/12*	SELL First In First Out	BARRICK GOLD CORP COM	ABX	377,000	16,218.50	13,324.14	-2,894.36
11/07/12	03/27/12*	SELL First In First Out	OCCIDENTAL PETE CORP COM	OXY	183,000	18,076.74	14,259.83	-3,816.91
11/08/12	03/22/12*	SELL First In First Out	FLUOR CORP NEW COM	FLR	243,000	14,324.07	12,703.48	-1,620.59
11/09/12	03/22/12*	SELL First In First Out	AGRIUM INC COM	AGU	181,000	15,636.59	17,393.73	1,757.14
11/12/12	03/23/12*	SELL First In First Out	RIO TINTO PLC SPONSORED ADR	RIO	330,000	17,719.57	16,146.96	-1,572.61
11/21/12	03/22/12*	SELL First In First Out	CUBIST PHARMACEUTICALS INC	CBST	580,000	25,234.71	23,217.26	-2,017.45
12/03/12	03/22/12*	MERF First In First Out	EATON CORP COM MER EFF 11/30/12	278058102	715,000	34,696.81	37,112.50	2,415.69

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
12/06/12	04/24/12*	SELL First In First Out	TIBCO SOFTWARE INC COM	TIBX	407.000	13,109.47	8,201.71	-4,907.76
12/11/12	03/22/12*	SELL First In First Out	GOLDCORP INC NEW COM ISIN#CA3809564097	GG	335.000	14,676.35	12,428.25	-2,248.10
12/12/12	07/17/12*	SELL First In First Out	ABBOTT LABS COM	ABT	183.000	12,167.31	12,074.91	-92.40
12/13/12	03/22/12*	SELL First In First Out	EMC CORP COM	EMC	830.000	24,158.81	20,384.42	-3,774.39
12/13/12	03/22/12*	SELL First In First Out	NUANCE COMMUNICATIONS INC	NUAN	466.000	12,013.34	10,247.12	-1,766.22
12/18/12	03/22/12*	SELL First In First Out	YAMANA GOLD INC COM	AUY	415.000	6,357.80	6,992.64	634.84
12/18/12	05/18/12*	SELL First In First Out	YAMANA GOLD INC COM	AUY	243.000	3,307.21	4,094.48	787.27
12/19/12	03/22/12*	SELL First In First Out	HERBALIFE LTD USD COM SHS	HLF	175.000	12,299.35	7,256.38	-5,042.97
12/19/12	07/18/12*	SELL First In First Out	ROSS STORES INC (STATE OF INC CHGD	ROST	173.000	12,080.16	9,338.66	-2,741.50
12/21/12	03/22/12*	SELL First In First Out	INTUITIVE SURGICAL INC COM NEW	ISRG	32.000	17,083.49	15,589.99	-1,493.50
Total Short Term							\$3,489,430.88	-\$210,326.73

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term								
01/09/12	09/07/01*	FSLG First In First Out	100,000THS FIRST HORIZON NATIONAL	DCA517101	69,978,000	0.00	5.96	5.96
01/09/12	01/02/09*	FSLG First In First Out	100,000THS FIRST HORIZON NATIONAL	DCA517101	81,250,000	0.00	6.92	6.92
01/09/12	04/01/09*	FSLG First In First Out	100,000THS FIRST HORIZON NATIONAL	DCA517101	2,167,000	0.00	0.18	0.18
01/09/12	04/01/09*	FSLG First In First Out	100,000THS FIRST HORIZON NATIONAL	DCA517101	28,898,000	0.00	2.46	2.46
01/09/12	10/01/09*	FSLG First In First Out	100,000THS FIRST HORIZON NATIONAL	DCA517101	32,699,000	0.00	2.79	2.79
01/09/12	01/04/10*	FSLG First In First Out	100,000THS FIRST HORIZON NATIONAL	DCA517101	83,357,000	0.00	7.10	7.10
01/09/12	04/01/10*	FSLG First In First Out	100,000THS FIRST HORIZON NATIONAL	DCA517101	59,129,000	0.00	5.04	5.04
01/09/12	07/01/10*	FSLG First In First Out	100,000THS FIRST HORIZON NATIONAL	DCA517101	18,021,000	0.00	1.54	1.54
01/09/12	10/01/10*	FSLG First In First Out	100,000THS FIRST HORIZON NATIONAL	DCA517101	102,128,000	0.00	8.70	8.70
01/09/12	01/03/11*	FSLG First In First Out	100,000THS FIRST HORIZON NATIONAL	DCA517101	8,655,000	0.00	0.74	0.74
01/09/12	01/05/11*	FSLG First In First Out	100,000THS FIRST HORIZON NATIONAL	DCA517101	66,005,000	0.00	5.62	5.62
01/09/12	10/30/09*	SELL First In First Out	FRESH DELMONTE PRODUCE INC SHS	FDP	1,140,000	24,044.11	27,597.17	3,553.06
01/09/12	12/11/09*	SELL First In First Out	FRESH DELMONTE PRODUCE INC SHS	FDP	895,000	18,778.82	21,666.20	2,887.38
01/09/12	04/07/10*	SELL First In First Out	FRESH DELMONTE PRODUCE INC SHS	FDP	675,000	13,902.48	16,340.43	2,437.95

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/09/12	05/07/10 *	SELL First In First Out	FRESH DELMONTE PRODUCE INC SHS	FDP	1,240,000	25,764.35	30,017.98	4,253.63
01/09/12	10/06/10 *	SELL First In First Out	AMERICAN GREETINGS CORP CL A	AM	1,681,000	32,407.16	21,804.88	-10,602.28
01/09/12	12/23/10 *	SELL First In First Out	AMERICAN GREETINGS CORP CL A	AM	2,135,000	46,496.28	27,693.88	-18,802.40
01/09/12	03/09/09 *	SELL First In First Out	BRIGGS & STRATTON CORP COM	BGG	410,000	4,623.08	6,680.41	2,057.33
01/09/12	01/15/10 *	SELL First In First Out	BRIGGS & STRATTON CORP COM	BGG	950,000	16,910.00	15,479.00	-1,431.00
01/09/12	06/26/08 *	SELL First In First Out	BROWN & BROWN INC	BRO	1,510,000	28,669.18	33,995.11	5,325.93
01/09/12	07/03/08 *	SELL First In First Out	BROWN & BROWN INC	BRO	1,810,000	31,817.25	40,749.11	8,931.86
01/09/12	03/25/09 *	SELL First In First Out	BROWN & BROWN INC	BRO	160,000	2,889.60	3,602.13	712.53
01/09/12	06/17/09 *	SELL First In First Out	BROWN & BROWN INC	BRO	440,000	8,615.52	9,905.86	1,290.34
01/09/12	02/19/10 *	SELL First In First Out	COINSTAR INC COM	CSTR	88,000	2,579.08	3,725.97	1,146.89
01/09/12	07/06/10 *	SELL First In First Out	COINSTAR INC COM	CSTR	120,000	5,315.81	5,080.87	-234.94



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/09/12	01/04/11*	SELL First In First Out	COINSTAR INC COM	CSTR	600.000	33,563.40	25,404.34	-8,159.06
01/09/12	03/15/10*	SELL First In First Out	COLUMBIA BKG SYS INC COM	COLB	624.000	13,610.34	12,778.91	-831.43
01/09/12	03/24/10*	SELL First In First Out	COLUMBIA BKG SYS INC COM	COLB	1,130.000	24,499.49	23,141.30	-1,358.19
01/09/12	05/03/10*	SELL First In First Out	COLUMBIA BKG SYS INC COM	COLB	915.000	20,359.57	18,738.31	-1,621.26
01/09/12	11/15/10*	SELL First In First Out	COLUMBIA BKG SYS INC COM	COLB	1,290.000	24,039.67	26,417.96	2,378.29
01/09/12	03/09/09*	SELL First In First Out	CONWAY INC COM	CNW	185.000	2,441.39	5,348.86	2,907.47
01/09/12	10/22/09*	SELL First In First Out	CONWAY INC COM	CNW	1,440.000	51,383.66	41,634.36	-9,749.30
01/09/12	11/04/09*	SELL First In First Out	CONWAY INC COM	CNW	595.000	17,678.83	17,203.09	-475.74
01/09/12	09/16/10*	SELL First In First Out	CONWAY INC COM	CNW	995.000	29,551.19	28,768.19	-783.00
01/09/12	11/10/09*	SELL First In First Out	COOPER TIRE & RUBR CO COM	CTB	1,685.000	30,095.79	25,767.74	-4,328.05
01/09/12	12/30/09*	SELL First In First Out	COOPER TIRE & RUBR CO COM	CTB	915.000	18,376.49	13,992.57	-4,383.92
01/09/12	02/01/10*	SELL First In First Out	COOPER TIRE & RUBR CO COM	CTB	1,360.000	24,344.02	20,797.70	-3,546.32
01/09/12	11/22/10*	SELL First In First Out	COOPER TIRE & RUBR CO COM	CTB	280.000	6,022.64	4,281.88	-1,740.76
01/09/12	10/05/05*	SELL First In First Out	CRAWFORD & COMPANY CLASS A	CRD A	2,977.000	23,028.24	11,392.68	-11,635.56



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/09/12	05/08/06 *	SELL First In First Out	CRAWFORD & COMPANY CLASS A	CRD A	2,540,000	14,986.00	9,720.33	-5,265.67
01/09/12	10/15/07 *	SELL First In First Out	CRAWFORD & COMPANY CLASS A	CRD A	32,000	179.20	122.46	-56.74
01/09/12	10/31/07 *	SELL First In First Out	CRAWFORD & COMPANY CLASS A	CRD A	603,000	3,298.90	2,307.62	-991.28
01/09/12	03/17/09 *	SELL First In First Out	CRAWFORD & COMPANY CLASS A	CRD A	2,995,000	12,009.95	11,461.57	-548.38
01/09/12	03/06/09 *	SELL First In First Out	DYCOM INDS INC COM	DY	2,376,000	8,777.30	50,068.77	41,291.47
01/09/12	02/26/10 *	SELL First In First Out	DYCOM INDS INC COM	DY	425,000	3,825.00	8,955.90	5,130.90
01/09/12	03/01/10 *	SELL First In First Out	DYCOM INDS INC COM	DY	740,000	6,495.05	15,593.82	9,098.77
01/09/12	09/04/09 *	SELL First In First Out	FIRST HORIZON NATL CORP COM	FHN	2,028,961	23,680.99	17,496.43	-6,184.56
01/09/12	10/22/09 *	SELL First In First Out	FIRST HORIZON NATL CORP COM	FHN	836,632	9,916.12	7,214.56	-2,701.56
01/09/12	10/30/09 *	SELL First In First Out	FIRST HORIZON NATL CORP COM	FHN	1,333,213	14,571.04	11,496.75	-3,074.29
01/09/12	05/26/10 *	SELL First In First Out	FIRST HORIZON NATL CORP COM	FHN	980,195	11,762.61	8,452.55	-3,310.06

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
01/09/12	12/29/10 *	SELL First In First Out	FIRST HORIZON NATL CORP COM	FHN	330,000	3,943.50	2,845.70	-1,097.80
01/09/12	07/28/08 *	SELL First In First Out	HCC INS HLDGS INC COM	HCC	2,885,000	63,124.80	79,427.73	16,302.93
01/09/12	06/08/10 *	SELL First In First Out	HCC INS HLDGS INC COM	HCC	500,000	12,179.76	13,765.64	1,585.88
01/09/12	07/02/08 *	SELL First In First Out	IBERIABANK CORP COM	IBKC	390,000	17,649.16	20,557.21	2,908.05
01/09/12	06/17/09 *	SELL First In First Out	IBERIABANK CORP COM	IBKC	395,000	16,721.83	20,820.77	4,098.94
01/09/12	10/23/09 *	SELL First In First Out	IBERIABANK CORP COM	IBKC	370,000	16,423.06	19,503.00	3,079.94
01/09/12	11/11/09 *	SELL First In First Out	IBERIABANK CORP COM	IBKC	555,000	24,593.34	29,254.50	4,661.16
01/09/12	03/03/10 *	SELL First In First Out	IBERIABANK CORP COM	IBKC	485,000	28,124.08	25,564.74	-2,559.34
01/09/12	05/27/10 *	SELL First In First Out	IBERIABANK CORP COM	IBKC	350,000	19,196.84	18,448.78	-748.06
01/09/12	09/28/10 *	SELL First In First Out	IBERIABANK CORP COM	IBKC	135,000	6,605.29	7,115.95	510.66
01/09/12	10/24/08 *	SELL First In First Out	KENNAMETAL INC CAP STK	KMT	180,000	3,163.86	6,982.22	3,818.36
01/09/12	10/24/08 *	SELL First In First Out	KENNAMETAL INC CAP STK	KMT	467,000	8,208.46	18,114.98	9,906.52
01/09/12	11/24/10 *	SELL First In First Out	KNIGHT CAP GROUP INC CL A COM	KCG	956,000	12,858.20	11,215.91	-1,642.29
01/09/12	12/29/10 *	SELL First In First Out	KNIGHT CAP GROUP INC CL A COM	KCG	1,320,000	18,175.02	15,486.40	-2,688.62

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/09/12	05/18/10*	SELL First In First Out	ON ASSIGNMENT INC COM	ASGN	2,890,000	17,316.59	31,921.13	14,604.54
01/09/12	12/14/06*	SELL First In First Out	ON SEMICONDUCTOR CORP	ONNN	969,250	8,761.97	8,143.86	-618.11
01/09/12	03/29/07*	SELL First In First Out	ON SEMICONDUCTOR CORP	ONNN	4,007,750	37,672.85	33,674.04	-3,998.81
01/09/12	07/26/07*	SELL First In First Out	ON SEMICONDUCTOR CORP	ONNN	2,337,000	22,089.73	19,636.01	-2,453.72
01/09/12	07/26/07*	SELL First In First Out	ON SEMICONDUCTOR CORP	ONNN	5,129,000	48,480.20	43,095.04	-5,385.16
01/09/12	07/26/07*	SELL First In First Out	ON SEMICONDUCTOR CORP	ONNN	796,750	7,531.02	6,694.48	-836.54
01/09/12	11/13/07*	SELL First In First Out	ON SEMICONDUCTOR CORP	ONNN	5,129,000	36,361.93	43,095.04	6,733.11
01/09/12	09/26/08*	SELL First In First Out	ON SEMICONDUCTOR CORP	ONNN	849,250	5,791.89	7,135.59	1,343.70
01/09/12	09/26/08*	SELL First In First Out	ON SEMICONDUCTOR CORP	ONNN	400,750	2,733.11	3,367.19	634.08
01/09/12	08/26/09*	SELL First In First Out	PREMIERE GLOBAL SVCS INC COM	PGI	1,120,000	11,097.74	9,448.00	-1,649.74
01/09/12	09/21/09*	SELL First In First Out	PREMIERE GLOBAL SVCS INC COM	PGI	1,770,000	13,861.40	14,931.22	1,069.82



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Description	Disposition Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/09/12	10/13/09 *	PREMIERE GLOBAL SVCS INC COM	SELL First In First Out	PGI	3,760.000	29,144.85	31,718.30	2,573.45
01/09/12	03/06/09 *	RAYMOND JAMES FINL INC COM	SELL First In First Out	RJF	1,558.000	17,343.59	51,553.79	34,210.20
01/09/12	04/08/09 *	RAYMOND JAMES FINL INC COM	SELL First In First Out	RJF	1,020.000	16,305.19	33,751.52	17,446.33
01/09/12	12/17/09 *	RAYMOND JAMES FINL INC COM	SELL First In First Out	RJF	370.000	8,712.15	12,243.20	3,531.05
01/09/12	05/27/10 *	RAYMOND JAMES FINL INC COM	SELL First In First Out	RJF	890.000	25,154.40	29,449.85	4,295.45
01/09/12	05/10/10 *	REX ENERGY CORP COM	SELL First In First Out	REXX	2,370.000	28,426.37	34,237.44	5,811.07
01/09/12	08/30/10 *	SYNNEX CORP COM	SELL First In First Out	SNX	1,145.000	27,189.52	36,017.80	8,828.28
01/09/12	09/27/10 *	SYNNEX CORP COM	SELL First In First Out	SNX	930.000	23,404.94	29,254.63	5,849.69
01/09/12	10/04/10 *	SYNNEX CORP COM	SELL First In First Out	SNX	710.000	19,516.37	22,334.18	2,817.81
01/09/12	11/04/10 *	TW TELECOM INC COM	SELL First In First Out	TWTC	1,599.000	28,045.08	31,216.17	3,171.09
01/09/12	11/12/10 *	TW TELECOM INC COM	SELL First In First Out	TWTC	765.000	12,637.15	14,934.57	2,297.42
01/09/12	05/23/06 *	TIDEWATER INC	SELL First In First Out	TDW	140.000	6,986.00	7,008.55	22.55
01/09/12	11/21/07 *	TIDEWATER INC	SELL First In First Out	TDW	700.000	34,853.00	35,042.74	189.74
01/09/12	01/30/08 *	TIDEWATER INC	SELL First In First Out	TDW	790.000	40,250.96	39,548.24	-702.72

Pershing Advisor Solutions, a BNY Mellon company
 One Pershing Plaza, Jersey City, NJ 07399
 (877) 870-7230
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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/09/12	08/04/08 *	SELL First In First Out	TIDEWATER INC	TDW	615.000	33,884.21	30,787.55	-3,096.66
01/09/12	10/10/08 *	SELL First In First Out	TIDEWATER INC	TDW	435.000	15,290.16	21,776.56	6,486.40
01/09/12	10/24/08 *	SELL First In First Out	TIDEWATER INC	TDW	185.000	6,486.10	9,261.30	2,775.20
01/09/12	05/26/10 *	SELL First In First Out	TIDEWATER INC	TDW	355.000	14,987.58	17,771.68	2,784.10
01/09/12	11/15/10 *	SELL First In First Out	WINTRUST FINL CORP COM	WTFC	1,105.000	33,824.05	33,783.10	-40.95
01/09/12	12/07/10 *	SELL First In First Out	WINTRUST FINL CORP COM	WTFC	1,485.000	43,921.71	45,400.82	1,479.11
01/10/12	12/07/05 *	SELL First In First Out	CASELLA WASTE SYSTEMS INC CL A COM	CWST	3,035.000	36,177.20	20,580.04	-15,597.16
01/10/12	10/02/06 *	SELL First In First Out	CASELLA WASTE SYSTEMS INC CL A COM	CWST	3,910.000	40,858.72	26,513.33	-14,345.39
01/10/12	10/12/06 *	SELL First In First Out	CASELLA WASTE SYSTEMS INC CL A COM	CWST	1,040.000	11,388.00	7,052.14	-4,335.86
01/10/12	02/14/07 *	SELL First In First Out	CASELLA WASTE SYSTEMS INC CL A COM	CWST	1,725.000	19,987.58	11,697.06	-8,290.52
01/10/12	05/24/07 *	SELL First In First Out	CASELLA WASTE SYSTEMS INC CL A COM	CWST	4,605.000	49,056.73	31,226.05	-17,830.68



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
01/10/12	02/19/08 *	SELL First In First Out	CASELLA WASTE SYSTEMS INC CL A COM	CWST	1,110,000	11,592.62	7,526.80	-4,065.82
01/10/12	05/02/08 *	SELL First In First Out	CASELLA WASTE SYSTEMS INC CL A COM	CWST	1,090,000	11,586.70	7,391.18	-4,195.52
01/10/12	03/16/10 *	SELL First In First Out	THE DOLAN CO COM	DM	2,300,000	22,999.08	19,536.22	-3,462.86
01/10/12	12/28/10 *	SELL First In First Out	THE DOLAN CO COM	DM	635,000	8,820.15	5,393.69	-3,426.46
Total Long Term						\$1,832,805.39	\$1,897,198.33	\$64,392.94
Total Short Term and Long Term						\$5,322,236.27	\$5,176,302.48	-\$145,933.79

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

Please refer to the Client Service Information Section in your brokerage account report for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Pershing Advisor Solutions, a BNY Mellon company
 One Pershing Plaza, Jersey City, NJ 07399
 (877) 870-7230
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Investment

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Start Term								
02/17/12	03/08/11	SELL First In First Out	BIDVEST GROUP LTD SPON ADR 2008	BDSY	1463.000	65,183.97	65,307.14	123.17
02/17/12	02/06/12	SELL First In First Out	CHINA MOBILE LTD SPON ADR S A	CHL	1,033.000	52,033.45	54,593.37	2,559.82
02/17/12	06/09/11	SELL First In First Out	CLICKS GROUP LTD ADR	CKRY	2,267.000	57,402.93	48,739.62	-8,663.31
02/17/12	06/12/11	SELL First In First Out	GAZPROM O.A.O SPON ADR REG S	OZPY	4,382.000	50,176.09	55,431.30	5,255.21
02/17/12	08/12/11	SELL First In First Out	KB FINL GROUP INC SPONSORED ADR REPTG	KB	7,749.000	66,570.44	65,814.91	-755.53
02/17/12	09/09/11	SELL First In First Out	KB FINL GROUP INC SPONSORED ADR REPTG	KB	54.000	1,992.05	2,062.90	70.85

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disposal
Short Term (continued)							
02/17/12	08/12/11	SELL First In First Out LUKOIL CO SPOND ADR SHS	LUKOY	819.000	45,499.63	51,268.47	5,768.84
02/17/12	07/11/11	SELL First In First Out PTT EXPL & PROD TN PUB LTD SPONSORED	PENNY	3,283.000	38,156.22	36,773.35	-1,382.87
02/17/12	09/09/11	SELL First In First Out PTT EXPL & PROD TN PUB LTD SPONSORED	PENNY	172.000	1,898.07	1,938.40	40.33
02/17/12	04/11/11	SELL First In First Out PT PERUSAHAAN GAS NEGARA PERSERO TBK	PAAAY	1,549.000	35,897.61	30,592.19	-5,305.42
02/17/12	05/11/11	SELL First In First Out PT PERUSAHAAN GAS NEGARA PERSERO TBK	PAAAY	626.000	15,317.78	12,363.28	-2,954.50
02/17/12	08/04/11	SELL First In First Out SBERBANK RUSSIA SPONS ADR	SBRBY	5,587.000	80,179.04	71,941.65	-8,237.39
02/17/12	03/21/11	SELL First In First Out YPF SOCIEDAD ANONIMA SPONSORED ADR	YPF	352.000	16,377.12	11,458.72	-4,918.40
02/17/12	03/28/11	SELL First In First Out YPF SOCIEDAD ANONIMA SPONSORED ADR	YPF	1,235.000	52,464.65	40,203.20	-12,261.45
03/08/12	11/08/11	SELL First In First Out MASSMART HLDGS LTD ADR NEW	MMRTY	108.000	4,422.60	4,942.03	519.43
10/01/12	02/06/12	SELL First In First Out CHINA MOBILE LTD SPON ADR S.A	CHL	339.000	17,075.84	18,800.65	1,724.81
10/01/12	02/17/12	Purchase SELL First In First Out CHINA MOBILE LTD SPON ADR S.A	CHL	45.000	2,377.59	2,495.66	118.07
10/01/12	04/12/12	Purchase SELL First In First Out VODACOM GROUP LTD ADR	VDCMY	770.000	10,571.25	9,309.09	-1,262.16
10/01/12	06/15/12	SELL First In First Out WOOLWORTHS HLDGS LTD SPONSORED CDR	WLWHY	238.000	13,940.35	17,254.61	3,314.26
11/20/12	06/15/12	SELL First In First Out WOOLWORTHS HLDGS LTD SPONSORED CDR	WLWHY	361.000	21,144.82	27,371.45	6,226.63

Pershing Advisor Solutions, a BNY Mellon company
 One Pershing Plaza, Jersey City, NJ 07399
 (877) 870-7230
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Investment Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disbursement
Total Short Term							
-Long Term-							
01/26/12	11/02/09	SELL KUMBA IRON-ORE SPONSORED ADR First In First Out	KROY	510.000	15,056.48	34,586.46	19,529.98
01/31/12	11/02/09	SELL PT LUMINATORS JTB ADR First In First Out	PTRY	373.000	12,153.23	23,201.21	11,048.00
02/02/12	11/02/09	SELL TIGER BRANDS LTD SPONSORED ADR NEW First In First Out	TBLMY	1,678.000	33,334.33	55,914.08	22,579.75
02/06/12	11/02/09	SELL PHILIPPINE LONG-DISTANCE TEL CO ADR First In First Out	PHI	346.000	18,615.65	22,128.57	3,512.92
02/17/12	11/03/09	SELL AKBANK TURK ANONIM SIRKET ADR First In First Out	AKBTY	4,128.000	33,982.92	33,271.08	-611.84
02/17/12	11/02/09	SELL AMERICA MOVIL SAB-DE CV SPONSORED ADR First In First Out	AMX	2,902.000	64,699.13	68,024.55	3,325.42
02/17/12	01/05/10	SELL BANCO DO BRASIL SA SPONS ADR First In First Out	BDOBY	3,777.000	66,742.61	62,544.90	-4,197.71
02/17/12	01/29/10	SELL BANCO DO BRASIL SA SPONS ADR First In First Out	BDOBY	1,372.000	21,029.37	22,719.57	1,690.04
02/17/12	11/02/09	SELL BANCO MARCO S.A SPONSORED ADR First In First Out	BMA	1,769.000	54,818.50	40,159.11	-14,659.39
02/17/12	04/21/10	SELL CHINA CONSTR-BK CORP ADR First In First Out	CHY	2,652.000	44,628.71	44,022.40	-606.31
02/17/12	03/10/10	SELL CIELO S.A SPONSORED ADR First In First Out	GLOXY	483.783	10,489.72	16,623.94	6,134.22
Total Short Term					\$648,681.50	\$679,661.89	-\$19,019.61



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disaffirmance
Long-Term (continued)								
02/17/12	05/18/10	SELL First In First Out	CIELO S.A. SPONSORED ADR	CIOXY	1,924,004	33,996.11	66,113.36	22,117.25
02/17/12	05/25/10	SELL First In First Out	CIELO S.A. SPONSORED ADR	CIOXY	1,855,204	38,195.79	63,749.22	25,553.43
02/17/12	10/14/10	SELL First In First Out	CIELO S.A. SPONSORED ADR	CIOXY	282,010	6,690.66	9,690.51	2,999.85
02/17/12	11/03/09	SELL First In First Out	COMMERCIAL-INTL BK EGYPT S A E AMERN	CIBBY	10,869,000	55,309.62	42,062.27	-13,247.35
02/17/12	01/28/10	SELL First In First Out	COMPANHIA SIDERURGICA NACIONAL	SID	4,821,000	69,859.67	49,129.92	-20,729.75
02/17/12	11/02/09	SELL First In First Out	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	4,756,000	67,590.37	107,436.96	39,846.59
02/17/12	12/22/10	SELL First In First Out	COMPANHIA DE BEBIDAS DAS AMERS AMBEV	ABV	1,724,000	51,467.23	66,993.60	15,526.37
02/17/12	11/02/09	SELL First In First Out	GRUPO-TELEVISAO SA DE CV SPON ADR REPSTG	TV	2,046,000	39,907.47	43,253.78	3,346.31
02/17/12	11/02/09	SELL First In First Out	INFOSYS LTD SPONSORED ADR REPSTG	INFY	793,000	36,641.61	46,969.02	10,327.41
02/17/12	11/02/09	SELL First In First Out	KIMBERLY-CLARK DE MEXICO S A B DE CV	KCOMY	1,803,000	36,966.02	52,159.85	15,193.83
02/17/12	11/03/09	SELL First In First Out	KOC HLDG ADR	KHOLY	1,271,000	16,325.36	26,194.83	9,869.47
02/17/12	12/18/09	SELL First In First Out	KOC HLDG ADR	KHOLY	1,024,000	14,333.03	21,104.26	6,771.23
02/17/12	11/02/09	SELL First In First Out	MASSMART HLDGS LTD ADR NEW	MMRTY	611,000	26,358.54	28,184.92	1,826.38
02/17/12	11/02/09	SELL First In First Out	MOBILE TELESYSTEMS OJSC SPONSORED ADR	MBT	3,663,000	68,654.37	64,691.00	-3,963.37



Investment Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Dispossession
Long-Term (continued)								
02/17/12	11/02/09	SELL First In First	NEDBANK GROUP LTD SPONS ADR	NDBKX	2,944,000	43,893.00	60,445.34	16,552.34
02/17/12	11/02/09	SELL First In First	NETEASE INC SPONSORED ADR	NETES	817,000	30,235.62	39,084.61	8,848.99
02/17/12	12/30/10	SELL First In First	ORASCOM CONSTR INDS SAE SPONS ADR	ORSCY	1,187,000	58,979.54	48,713.60	-10,265.94
02/17/12	11/02/09	SELL First In First	ORIFLAME COSMETICS SA ADR	OFLMY	2,455,000	68,908.77	43,968.70	-24,940.07
02/17/12	11/02/09	SELL First In First	PT LTD TYRATORS TBK ADR	PUTKY	510,000	16,617.01	33,062.62	16,445.61
02/17/12	01/03/11	SELL First In First	PT BK MANDIRI PERSERO TBK ADR	PPERV	2,688,000	19,891.20	18,681.26	-0.00
02/17/12	11/02/09	SELL First In First	PERUSAHAAN PERSEROAN PERSERO P.T.	TLK	2,140,000	74,137.49	66,773.77	-7,363.72
02/17/12	11/02/09	SELL First In First	PHILIPPINE LONG DISTANCE TEL CO ADR	PHI	1,280,000	68,867.12	84,747.07	15,879.95
02/17/12	11/03/09	SELL First In First	PRETORIA PORTLAND CEM CO LTD ADR NEW	74132205	4,715,000	37,685.09	35,707.21	-1,977.88
02/17/12	11/02/09	SELL First In First	SAN LAM LTD SPONSORED ADR	SLLDY	2,054,000	27,621.01	39,676.48	12,055.47
02/17/12	11/02/09	SELL Versus Purchase	SHINHAN FINL GROUP CO LTD SPONSORED	SHG	782,000	60,218.16	61,035.92	817.76



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)							
02/17/12	11/02/09	SELL - FIRST IN FIRST SHOPTITE HLDGS LTD ADR	SRFGY	2,068,000	33,058.64	70,538.21	37,479.57
02/17/12	11/02/09	OUT STANDARD BK GROUP LTD ADR C/A	853118107	1,687,000	41,500.20	47,716.89	6,216.69
02/17/12	11/02/09	SELL - FIRST IN FIRST TAIWAN SEMICONDUCTOR MFG CO	TSM	4,396,000	42,024.01	64,586.62	22,562.61
02/17/12	11/02/09	OUT TIGER BRANDS LTD SPONSORED ADR NEW	TBJMY	960,000	19,070.89	30,738.64	11,667.75
02/17/12	11/02/09	SELL - FIRST IN FIRST TIGER BRANDS LTD SPONSORED ADR NEW	TBJMY	385,000	8,601.17	12,327.48	3,726.31
02/17/12	11/02/09	OUT TURKCELL ILETISIM HIZMETLERI A S SPOINS	TKC	4,692,000	77,575.57	65,222.31	-12,353.26
02/17/12	02/10/10	SELL - FIRST IN FIRST VALE SA ADR REPSTG PFD	VALE P	3,263,000	73,982.00	79,504.49	5,522.49
02/17/12	02/11/10	OUT VALE SA ADR REPSTG PFD	VALE P	175,000	4,034.69	4,263.96	229.27
02/17/12	10/20/10	SELL - FIRST IN FIRST WEICHAI POWER CO LTD ADR	WEICY	2,332,000	54,802.00	52,912.12	-1,889.88
02/29/12	11/02/09	OUT DESARROLLADORA HOMEX SA DEC V	HXM	791,000	26,684.35	14,372.21	-12,312.14
02/29/12	11/06/09	SELL - FIRST IN FIRST DESARROLLADORA HOMEX SA DEC V	HXM	682,000	22,753.16	12,391.72	-10,361.43
02/29/12	11/30/09	OUT DESARROLLADORA HOMEX SA DEC V	HXM	1,765,000	60,983.22	32,069.47	-28,913.75
02/29/12	03/08/11	SELL - FIRST IN FIRST DESARROLLADORA HOMEX SA DEC V	HXM	147,000	4,116.25	2,670.94	-1,445.31
02/29/12	04/14/10	OUT PT SEMEN GRESIK PERSERO TBK ADR	PSGTY	841,000	39,106.50	52,068.90	12,962.40



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 One Pershing Plaza, Jersey City, NJ 07399
 (877) 870-7230
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Investment Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/29/12	11/10/10	SELL First In First Out	PT SEMEN GRESIK PERSERO TBK ADR	PSGTY	285.000	15,239.49	17,645.23	2,405.74
02/29/12	12/02/10	SELL First In First Out	PT SEMEN GRESIK PERSERO TBK ADR	PSGTY	-678.000	-35,772.23	-41,977.07	-6,204.84
03/08/12	11/02/09	SELL First In First Out	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	1,681.400	23,895.38	38,157.68	14,262.30
03/08/12	03/01/10	SELL First In First Out	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	300.600	4,608.42	6,821.81	2,213.39
03/08/12	11/02/09	SELL First In First Out	MASSMART HLDGS LTD ADR NEW	MMRTY	633.250	27,318.40	28,977.25	1,658.85
03/08/12	12/15/09	SELL First In First Out	MASSMART HLDGS LTD ADR NEW	MMRTY	232.750	10,040.84	10,650.54	609.70
03/13/12	12/02/10	SELL First In First Out	PT SEMEN GRESIK PERSERO TBK ADR	PSGTY	0.500	10.55	11.32	0.77
04/02/12	04/01/10	SELL First In First Out	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	1,590.000	24,375.86	38,493.31	14,117.45
04/30/12	12/22/10	SELL First In First Out	COMPANHIA DE BEBIDAS DAS AMERS AMBEV	ABV	112.000	3,343.58	4,734.58	1,391.00
04/30/12	12/23/10	SELL First In First Out	COMPANHIA DE BEBIDAS DAS AMERS AMBEV	ABV	30.000	911.32	1,268.19	356.87
04/30/12	03/08/11	SELL First In First Out	COMPANHIA DE BEBIDAS DAS AMERS AMBEV	ABV	822.000	23,019.59	34,748.45	11,728.86



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disaffirmance
Long-Term (continued)								
04/30/12	11/04/09	SELL First In First Out	SHOPRITE HLDGS LTD ADR	SRHCY	681.000	10,918.30	23,479.78	12,561.48
05/04/12	10/13/10	CEU First In First Out	CIELO S.A. SPONSORED ADR	CIOXY	0.200	3.95	5.96	2.01
05/17/12	04/07/10	CEU First In First Out	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	0.500	6.13	8.62	2.49
06/07/12	04/01/10	SELL First In First Out	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	1,306.000	16,017.54	22,887.63	6,870.09
06/27/12	12/18/09	CEU First In First Out	KOC HLDG ADR	KHOLY	0.100	1.33	1.79	0.46
08/28/12	11/03/09	SELL First In First Out	COMMERCIAL INTL BK EGYPT S.A.E AMERN	CIBCY	3,853.000	45,050.71	42,203.07	-2,847.64
08/30/12	05/11/11	SELL First In First Out	PT PERUSAHAAN GAS NEGARA PERSERO TBK	PPAAY	1,020.000	24,958.69	19,571.32	-5,387.37
08/30/12	06/07/11	SELL First In First Out	PT PERUSAHAAN GAS NEGARA PERSERO TBK	PPAAY	1,140.000	27,121.17	21,873.83	-5,247.34
08/30/12	06/16/11	SELL First In First Out	PT PERUSAHAAN GAS NEGARA PERSERO TBK	PPAAY	1,651.000	37,982.74	31,678.68	-6,304.06
09/17/12	10/14/10	SELL First In First Out	CIELO S.A. SPONSORED ADR	CIOXY	1,397.000	27,619.80	39,578.91	11,959.11
09/19/12	01/28/10	SELL First In First Out	COMPANHIA SIDERURGICA NACIONAL	SID	815.000	11,809.92	5,264.79	-6,545.13
09/19/12	02/05/10	SELL First In First Out	COMPANHIA SIDERURGICA NACIONAL	SID	2,198.000	31,343.15	14,198.78	-17,144.37
09/19/12	12/16/10	SELL First In First Out	COMPANHIA SIDERURGICA NACIONAL	SID	2,962.000	48,097.25	19,134.12	-28,963.13
09/19/12	05/11/11	SELL First In First Out	COMPANHIA SIDERURGICA NACIONAL	SID	393.000	5,533.17	2,538.73	-2,994.44



Investment Account Statement

Statement Period: 12/01/2012--12/31/2012

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long-Term (continued)								
09/19/12	06/24/11	SELL First In First Out	COMPANHIA SIDERURGICA NACIONAL	SID	2,027,000	24,060.49	43,094.15	-10,966.34
10/01/12	11/03/09	SELL First In First Out	AKBANK TURK ANONIM SIRKETI ADR	AKBTY	1,034,000	8,487.14	8,282.15	-204.99
10/01/12	11/02/09	SELL First In First Out	AMERICA MOVIL SAB DE CV SPONSORED ADR	AMX	695,000	15,494.79	17,812.52	2,317.73
10/01/12	11/02/09	SELL First In First Out	BANCO MARCO SA SPONSORED ADR	BMA	535,000	16,578.80	7,538.35	-9,040.45
10/01/12	03/08/11	SELL First In First Out	BIDVEST GROUP LTD SPON ADR 2008	BDSVY	413,000	18,401.22	20,191.11	1,789.89
10/01/12	04/21/10	SELL First In First Out	CHINA CONSTR-BK CORP ADR	CHCHY	345,500	5,814.18	4,788.52	-0.00
10/01/12	05/11/10	SELL First In First Out	CHINA CONSTR-BK CORP ADR	CHCHY	1,613,500	26,253.84	22,362.61	-2,626.31
10/01/12	10/14/10	SELL Versus Purchase	CIELO SA SPONSORED ADR	CIOXY	542,000	10,715.77	13,425.03	2,709.26
10/01/12	06/09/11	SELL First In First Out	CLICKS GROUP LTD ADR	CKRY	613,000	15,521.84	16,955.20	1,433.36
10/01/12	11/03/09	SELL First In First Out	COMMERCIAL INTL BK EGYPT S.A.E AMERN	CIBEY	1,656,000	8,426.97	8,958.75	531.78
10/01/12	03/08/11	SELL Versus Purchase	COMPANHIA DE BEBIDAS DAS AMERS-AMBEV	ABV	328,000	9,185.44	12,768.78	3,583.34



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
10/01/12	08/12/11	SELL First-In-First-Out	CAZERON OJA O' SPON ADR REGS	OCZPY	1,573,000	78,011.64	15,044,24	-1,197,740
10/01/12	11/02/09	SELL First-In-First-Out	GRUPO TELEvisa SA DE CV SPON ADR REPSTG	TV	575,000	11,215.44	13,616.84	2,401.40
10/01/12	11/02/09	SELL First-In-First-Out	INFOSYS LTD SPONSORED ADR REPSTG	INFY	181,000	8,363.35	8,937.75	574.40
10/01/12	09/09/11	SELL First-In-First-Out	KB FINL GROUP INC SPONSORED ADR REPSTG	KB	432,000	15,936.44	15,345.55	-590.89
10/01/12	11/02/09	SELL First-In-First-Out	KIMBERLY CLARK DE MEXICO SA B DE CV	KCDMY	1,514,000	10,346.93	17,985.91	7,638.98
10/01/12	12/19/09	SELL First-In-First-Out	KOCH HLDG ADR	KHOLY	736,000	9,817.30	14,697.59	4,886.29
10/01/12	11/02/09	SELL First-In-First-Out	MOBILE TELESYSTEMS OJSC SPONSORED ADR	MBT	1,020,000	19,117.52	18,043.58	-1,073.94
10/01/12	11/02/09	SELL First-In-First-Out	NEDBANK GROUP LTD SPONS ADR	NDBKY	878,000	13,090.37	19,192.65	6,102.28
10/01/12	11/02/09	SELL First-In-First-Out	NETEASE INC SPONSORED ADR	NTE5	190,000	7,031.54	10,575.29	3,543.75
10/01/12	08/12/11	SELL First-In-First-Out	LUKOIL CO SPOND ADR SHS	LUKOY	206,000	11,444.35	12,833.81	1,389.46
10/01/12	12/30/10	SELL First-In-First-Out	ORASCOM CONSTR INDS SAE SPONS ADR	ORSCY	383,000	19,030.46	17,158.01	-1,872.45
10/01/12	11/02/09	SELL First-In-First-Out	ORIFLAME COSMETICS SA ADR	OFLMY	1,205,000	33,822.84	20,436.34	-13,386.50
10/01/12	09/01/10	SELL First-In-First-Out	ORIFLAME COSMETICS SA ADR	OFLMY	208,000	5,709.45	3,527.60	-2,181.85
10/01/12	09/09/11	SELL First-In-First-Out	PFT EXPL & PROD TN PUB LTD SPONSORED	PENYX	843,000	9,302.76	8,615.26	-687.50





Investment Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disposals
Long Term (continued)							
10/01/12	12/02/10	SELL PT SEMEN GRESIK PERSERO TBK ADR First In First Out	PSGT	300.000	6,331.37	8,852.80	-2,521.43
10/01/12	11/02/09	SELL PT UTD PYRAGTORS TBK ADR First In First Out	PUTKY	227.000	7,396.20	9,817.53	-2,421.33
10/01/12	01/03/11	SELL PT BK MANDIRI PERSERO TBK ADR First In First Out	PBER	2,589.000	19,158.60	21,798.89	-2,640.29
10/01/12	11/02/09	SELL PERUSAHAAN PERSEROAN PERSERO P.L. First In First Out	TLK	648.000	22,449.11	25,150.36	-2,701.25
10/01/12	11/02/09	SELL PHILIPPINE LONG DISTANCE TEL CO ADR Versus Purchase	PHI	370.000	19,906.90	24,383.19	-4,476.29
10/01/12	11/03/09	SELL PRETORIA PORTLAND CEM CO LTD ADR NEW First In First Out	741372205	1,288.000	10,299.11	8,835.48	-1,463.63
10/01/12	11/02/09	SELL SANLAW LTD SPONSORED ADR First In First Out	SLLD	579.000	7,786.06	13,085.10	-5,299.04
10/01/12	08/04/11	SELL SBERBANK RUSSIA SPONS ADR First In First Out	SBRU	1,569.000	22,516.72	18,458.91	-4,057.81
10/01/12	08/08/11	SELL SBERBANK RUSSIA SPONS ADR First In First Out	SBRU	419.000	5,433.84	4,929.44	-504.40
10/01/12	11/02/09	SELL SHINHAN FINL GROUP CO LTD SPONSORED First In First Out	SHG	198.000	15,247.05	13,396.75	-1,850.30
10/01/12	12/16/09	SELL SHINHAN FINL GROUP CO LTD SPONSORED First In First Out	SHG	47.000	3,768.10	3,180.04	-588.06



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Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition	Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)								
10/01/12	11/04/09	SELL First In First Out	SHOPRITE HOLDINGS LTD ADR	SHOXY	404,000	6,458.26	16,256.59	9,798.33
10/01/12	11/02/09	SELL First In First Out	STANDARD BK GROUP LTD ADR	SCBLY	959,000	11,795.70	12,025.59	229.89
10/01/12	11/02/09	SELL First In First Out	TAIWAN SEMICONDUCTOR MFG CO	TSM	1,495,000	14,291.60	23,712.16	9,420.56
10/01/12	12/17/09	SELL First In First Out	TIGER BRANDS LTD SPONSORED ADR-NEW	TBEMV	393,000	8,779.89	12,764.35	3,984.46
10/01/12	11/02/09	SELL First In First Out	TURKCELL ILETISIM HIZMETLERI A S SPONS	TKC	1,529,000	25,279.85	23,102.82	-2,177.03
10/01/12	02/11/10	SELL First In First Out	VATESA ADR-REPSTG PED	VALEP	913,000	21,049.58	15,922.45	-5,127.13
10/01/12	10/20/10	SELL First In First Out	WEICHAI POWER CO LTD ADR	WEICY	827,000	16,195.42	10,089.17	-6,106.25
10/01/12	03/28/11	SELL First In First Out	YPF SOCIEDAD ANONIMA-SPONSORED ADR	YPF	344,000	14,613.64	4,475.36	-10,138.28
10/03/12	11/03/09	SELL First In First Out	AKBANK TURK ANONIM SIRKETI ADR	AKBTY	267,000	2,191.56	2,221.39	29.83
10/03/12	11/02/09	SELL First In First Out	AMERICA MOVIL SAB DE CV SPONSORED ADR	AMX	116,000	2,586.18	2,952.25	366.07
10/03/12	01/29/10	SELL First In First Out	BANCO DO BRASIL S A SPONS ADR	BDORY	259,000	3,969.85	3,190.80	-779.05
10/03/12	03/08/11	SELL First In First Out	COMPANHIA DE BEBIDAS DAS AMERS AMBEV	ABV	65,000	1,820.28	2,555.74	735.46
10/03/12	08/12/11	SELL First In First Out	GAZPROM OAO SPON-ADR REG S	OGZPY	281,000	3,217.59	2,840.84	-376.75
10/03/12	11/02/09	SELL First In First Out	GRUPO-TELEVISAO SA DE CV SPON-ADR-REPSTG	TV	81,000	1,579.91	1,904.26	324.35

Pershing Advisor Solutions, a BNY Mellon company
 One Pershing Plaza, Jersey City, NJ 07399
 (877) 870-7230
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Investment Account Statement

Statement Period: 12/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss / Disallowance
Long-Term (continued)								
10/03/12	11/02/09	SELL First In First Out	INFOSYS LTD SPONSORED ADR-REPSIG	INFY	38,000	1,755.84	1,865.75	109.91
10/03/12	09/09/11	SELL First In First Out	KB FINL GROUP INC SPONSORED ADR-REPSIG	KB	69,000	2,545.40	2,397.01	-148.39
10/03/12	11/02/09	SELL First In First Out	MOBILETELESYSTEMS OJSC SPONSORED ADR	MBT	149,000	2,797.66	2,607.74	-189.92
10/03/12	09/12/11	SELL First In First Out	LUKOIL CO SPOND ADR SHS	LUKOY	42,000	2,533.31	2,628.72	295.41
10/03/12	01/03/11	SELL First In First Out	PT BK MANDIRI PERSERO TBK ADR	PPRY	258,000	1,909.20	2,123.29	214.09
10/03/12	09/08/11	SELL First In First Out	SBERBANK RUSSIA SPONS ADR	SBRBY	376,000	4,876.19	4,444.22	-431.97
10/03/12	12/16/09	SELL First In First Out	SHINHAN FINL GROUP CO LTD SPONSORED	SHG	32,000	2,565.52	2,170.19	-395.33
10/03/12	11/02/09	SELL First In First Out	TAIWAN SEMI CONDUCTOR MFG CO	TSM	191,000	1,825.88	3,033.01	1,207.13
10/03/12	02/11/10	SELL First In First Out	VALE SA ADR REPSIG PPD	VALEP	169,000	3,873.31	2,866.06	-1,007.25
10/19/12	04/01/10	SELL First In First Out	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	779,750	9,563.31	9,434.76	-128.55
10/19/12	11/19/10	SELL First In First Out	COMPANHIA ENERGETICA DE MINAS GERAIS ADR	CIG	3,206,250	44,548.15	38,794.75	-5,753.40



Schedule of Realized Gains and Losses Year-to-Date (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Dispossession
Long Term (continued)							
11/10/12	03/08/11	SEL BIDVEST GROUP LTD SPON ADR 2008 First In First Out	BDSV	358,000	20,406.19	21,773.38	1,367.19
11/02/12	11/04/09	SEL SHOPRITE HLDGS LTD ADR First In First Out	SRHG	283,000	4,523.98	11,419.44	6,895.46
11/19/12	11/02/09	SEL INFOSYS LTD SPONSORED ADR REPSTG First In First Out	INPY	1,058,000	48,886.29	45,630.31	-3,255.98
11/19/12	03/08/11	SEL INFOSYS LTD SPONSORED ADR REPSTG First In First Out	INPY	67,000	4,622.20	2,889.63	-1,732.57
Total Long Term					\$3,200,418.44	\$3,434,289.39	\$237,371.47
Total Short Term and Long Term					\$3,849,099.94	\$4,063,951.28	\$218,351.86

Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end; in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage statement at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

3 Realized loss is net of a disallowance due to a wash sale.

Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
01/18/12	07/21/11	SELL First In First Out	TNT EXPRESS N V ADR	TNTEY	1,022.000	10,360.73	8,172.37	-2,188.36
02/17/12	12/07/11	SELL First In First Out	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	584.000	26,515.12	29,614.98	3,099.86
02/17/12	12/08/11	SELL First In First Out	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	203.000	8,900.88	10,294.25	1,393.37
02/17/12	08/18/11	SELL First In First Out	ALSTOM ADR	ALSMY	12,725.000	55,974.73	49,626.60	-6,348.13
02/17/12	03/16/11	SELL First In First Out	CAMECO CORP COM ISIN#CA13321L1085	CCJ	1,133.000	36,595.90	27,191.61	-9,404.29

Pershing Advisor Solutions, a BNY Mellon company
One Pershing Plaza, Jersey City, NJ 07399
(877) 870-7230
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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/17/12	04/07/11	SELL First In First	DAIICHI SANKYO CO LTD SPON ADR LEVEL 1	DSNKY	924.000	17,084.30	16,955.09	-129.21
02/17/12	04/20/11	SELL Out	DAIICHI SANKYO CO LTD SPON ADR LEVEL 1	DSNKY	437.000	8,353.65	8,018.81	-334.84
02/17/12	02/24/11	SELL First In First	EAST JAPAN RY CO ADR	EIPRY	3,069.000	35,504.95	33,359.42	-2,145.53
02/17/12	03/02/11	SELL Out	EAST JAPAN RY CO ADR	EIPRY	930.000	10,843.71	10,108.92	-734.79
02/17/12	02/08/12	SELL First In First	ERICSSON L M TEL CO ADR CL B SEK 10 NEW	ERIC	2,857.000	27,722.04	28,369.73	647.69
02/17/12	02/10/12	SELL Out	ERICSSON L M TEL CO ADR CL B SEK 10 NEW	ERIC	672.000	6,380.51	6,672.89	292.38
02/17/12	08/11/11	SELL First In First	GAZPROM O A O SPON ADR REG S	OGZPY	3,798.000	40,886.99	47,967.87	7,080.88
02/17/12	06/09/11	SELL Out	HOME RETAIL GROUP PLC SPONSORED ADR	HMRTY	2,803.000	33,453.52	19,277.43	-14,176.09
02/17/12	08/31/11	SELL First In First	HOME RETAIL GROUP PLC SPONSORED ADR	HMRTY	659.000	5,374.54	4,532.23	-842.31
02/17/12	08/24/11	SELL Out	MARINE HARVEST ASA ADR	MNHVY	1,390.000	15,360.75	15,067.33	-293.42
02/17/12	08/26/11	SELL First In First	MARINE HARVEST ASA ADR	MNHVY	1,432.000	15,919.83	15,522.60	-397.23



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/17/12	09/14/11	SELL First In First Out	MARINE HARVEST ASA ADR	MNHVY	905.000	9,403.13	9,810.02	406.89
02/17/12	05/24/11	SELL First In First Out	NINTENDO LTD ADR	NTDOY	76.000	2,130.11	1,391.38	-738.73
02/17/12	02/17/11	SELL First In First Out	PERUSAHAAN PERSEROAN PERSERO P.T.	TLK	1,058.000	35,373.81	33,030.26	-2,343.55
02/17/12	02/25/11	SELL First In First Out	PERUSAHAAN PERSEROAN PERSERO P.T.	TLK	331.000	11,260.32	10,333.67	-926.65
02/17/12	06/16/11	SELL First In First Out	POLYUS GOLD INTL LTD GDR LEVEL 1	PLZLY	7,978.000	32,413.58	26,806.08	-5,607.50
02/17/12	08/03/11	SELL First In First Out	POLYUS GOLD INTL LTD GDR LEVEL 1	PLZLY	4,032.000	14,506.74	13,547.52	-959.22
02/17/12	04/01/11	SELL First In First Out	SUMITOMO MITSUI TR HLDGS INC SPONS ADR	SUTNY	4,755.120	16,642.92	16,214.66	-428.26
02/17/12	04/01/11	SELL First In First Out	SUMITOMO MITSUI TR HLDGS INC SPONS ADR	SUTNY	9,429.880	33,004.58	32,155.31	-849.27
02/17/12	07/21/11	SELL Versus Purchase	TNT EXPRESS N V ADR	TNTEY	4,597.000	46,603.01	57,829.21	11,226.20
02/17/12	07/21/11	SELL First In First Out	TNT EXPRESS N V ADR	TNTEY	1,157.000	11,729.32	14,543.46	2,814.14
02/17/12	08/30/11	SELL First In First Out	TNT EXPRESS N V ADR	TNTEY	42.000	389.76	527.94	138.18
02/17/12	08/31/11	SELL First In First Out	TNT EXPRESS N V ADR	TNTEY	2,020.000	18,753.68	25,391.34	6,637.66
02/17/12	09/01/11	SELL First In First Out	TNT EXPRESS N V ADR	TNTEY	360.000	3,312.07	4,525.19	1,213.12
02/17/12	09/06/11	SELL First In First Out	TNT EXPRESS N V ADR	TNTEY	1,739.000	15,069.83	21,859.18	6,789.35

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/17/12	11/29/11	SELL First In Out	TALISMAN ENERGY INC COM	TLM	3,606,000	45,792.59	49,509.74	3,717.15
06/06/12	12/08/11	SELL First In Out	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	459,000	20,125.64	19,041.08	-1,084.56
06/06/12	04/11/12	SELL First In Out	AGEAS SPONS ADR R/S EFF 08/07/12	00844WY109	5,755,000	11,552.59	9,476.15	-2,076.44
06/06/12	05/04/12	SELL First In Out	AGEAS SPONS ADR R/S EFF 08/07/12	00844WY109	6,405,000	11,617.39	10,546.43	-1,070.96
06/06/12	08/09/11	SELL First In Out	ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	AZSEY	2,872,000	31,740.77	25,818.70	-5,922.07
06/06/12	08/18/11	SELL First In Out	ALSTOM ADR	ALSMY	1,543,000	6,787.35	4,690.61	-2,096.74
06/06/12	10/03/11	SELL First In Out	ALSTOM ADR	ALSMY	4,378,000	13,931.23	13,308.82	-622.41
06/06/12	09/27/11	SELL First In Out	ALUMINA LTD SPONSORED ADR	AWC	3,490,000	19,159.40	13,683.52	-5,475.88
06/06/12	08/03/11	SELL First In Out	CAMECO CORP COM ISIN#CAT3321L1085	CCJ	868,000	21,491.33	16,841.97	-4,649.36
06/06/12	08/08/11	SELL First In Out	CAMECO CORP COM ISIN#CAT3321L1085	CCJ	1,148,000	25,946.98	22,274.86	-3,672.12
06/06/12	08/26/11	SELL First In Out	CAMECO CORP COM ISIN#CAT3321L1085	CCJ	673,000	14,940.47	13,058.34	-1,882.13

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/06/12	10/04/11	SELL First In First Out	CARREFOUR SA SPONSORED ADR	CRRFY	5,193.000	23,241.79	18,105.49	-5,136.30
06/06/12	12/19/11	SELL First In First Out	CARREFOUR SA SPONSORED ADR	CRRFY	6,843.000	29,238.77	23,858.25	-5,380.52
06/06/12	03/28/12	SELL Versus Purchase	ELECTROBRAS CENTRAIS ELECTRICIAS ADR	EBR	3,646.000	35,299.48	23,297.77	-12,001.71
06/06/12	12/16/11	SELL First In First Out	ELECTRICITE DE FRANCE ADR	ECIFY	3,403.000	15,852.88	13,089.09	-2,763.79
06/06/12	02/10/12	SELL First In First Out	ERICSSON L M TEL CO ADR CL B SEK 10 NEW	ERIC	2,322.000	22,046.92	19,994.29	-2,052.63
06/06/12	08/04/11	SELL First In First Out	FINMECCANICA SPA ADR	FINMY	15,905.000	55,629.33	28,469.31	-27,160.02
06/06/12	08/11/11	SELL First In First Out	GAZPROM O A O SPON ADR REG S	OGZPY	212.000	2,282.26	1,939.76	0.00 -342.503
06/06/12	09/30/11	SELL First In First Out	GAZPROM O A O SPON ADR REG S	OGZPY	3,427.000	33,207.29	31,356.35	-1,223.34 -627.603
06/06/12	05/21/12	SELL First In First Out	GAZPROM O A O SPON ADR REG S 300 day(s) added to your holding period as a result of a wash sale.	OGZPY	212.000	2,247.30	1,939.76	-307.54
06/06/12	05/21/12	SELL First In First Out	GAZPROM O A O SPON ADR REG S 250 day(s) added to your holding period as a result of a wash sale.	OGZPY	1,162.000	11,068.05	10,632.06	-435.99
06/06/12	08/31/11	SELL First In First Out	HOME RETAIL GROUP PLC SPONSORED ADR	HMRTY	1,478.000	12,053.98	6,414.37	-2,567.97 -3,071.643
06/06/12	12/22/11	SELL First In First Out	HOME RETAIL GROUP PLC SPONSORED ADR	HMRTY	1,836.000	9,609.81	7,968.06	-1,641.75
06/06/12	05/15/12	SELL First In First Out	HOME RETAIL GROUP PLC SPONSORED ADR 280 day(s) added to your holding period as a result of a wash sale.	HMRTY	805.000	7,253.86	3,493.62	-3,760.24
06/06/12	02/22/12	SELL First In First Out	IMPALA PLTUM HLD LTD SPONSORED ADR	IMPUY	568.000	11,889.15	9,525.14	-2,364.01



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/06/12	05/09/12	SELL First In Out	ING GROEP N V ADR	ING	1,585,000	10,445.15	9,858.47	-586.68
06/06/12	01/17/12	SELL First In Out	KINROSS GOLD CORP COM NO PAR	KGC	2,742,000	28,803.61	23,389.01	-5,414.60
06/06/12	09/14/11	SELL First In Out	MARINE HARVEST ASA ADR	MINHV	2,117,000	21,996.05	22,587.88	591.83
06/06/12	12/16/11	SELL First In Out	NEWCREST MINING LTD ADR	NCMGY	1,127,000	35,133.10	28,600.54	-6,532.56
06/06/12	04/26/12	SELL First In Out	NEWCREST MINING LTD ADR	NCMGY	341,000	9,219.62	8,653.76	-565.86
06/06/12	08/10/11	SELL First In Out	NEXEN INC COM SHS	NXV	420,000	8,091.34	6,787.39	-1,303.95
06/06/12	08/11/11	SELL First In Out	NEXEN INC COM SHS	NXV	403,000	7,800.02	6,512.66	-1,287.36
06/06/12	09/27/11	SELL First In Out	NEXEN INC COM SHS	NXV	1,432,000	24,663.77	23,141.76	-1,522.01
06/06/12	08/01/11	SELL First In Out	NINTENDO LTD ADR	NTDOY	1,442,000	28,568.04	20,937.37	-7,630.67
06/06/12	08/03/11	SELL First In Out	POLYUS GOLD INTL LTD GDR LEVEL 1	PLZLY	1,989,000	7,156.22	5,250.96	-1,905.26
06/06/12	08/12/11	SELL First In Out	POLYUS GOLD INTL LTD GDR LEVEL 1	PLZLY	4,805,000	15,856.50	12,685.20	-3,171.30

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
06/06/12	06/23/11	SELL First In First Out	SEKISUI HOUSE LTD SPONSORED ADR	SKHSY	3,232.000	28,948.70	27,148.19	-1,800.51
06/06/12	09/27/11	SELL First In First Out	SIEMENS A G SPONSORED ADR	SI	194.000	18,374.38	15,885.31	-2,489.07
06/06/12	11/29/11	SELL First In First Out	TALISMAN ENERGY INC COM	TLM	353.000	4,482.75	3,681.97	-800.78
06/06/12	01/19/12	SELL First In First Out	TALISMAN ENERGY INC COM	TLM	1,739.000	20,473.77	18,138.68	-2,335.09
06/06/12	08/08/11	SELL First In First Out	WOLTERS KLUWER N V SPON ADR	WTKWY	948.000	17,163.92	13,878.41	-3,285.51
06/18/12	03/08/12	CLEU First In First Out	VIVENDI SA ADR	VIVHY	0.234	4.20	4.02	-0.18
07/11/12	06/06/12	SELL First In First Out	INFOSYS LTD SPONSORED ADR REPSTG	INFY	1,059.000	47,652.14	45,662.48	-1,989.66
07/20/12	06/06/12	SELL First In First Out	ABB LTD SPONSORED ADR	ABB	3,659.000	58,759.88	58,884.27	124.39
08/07/12	06/06/12	SELL First In First Out	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	148.000	20,008.42	22,903.64	2,895.22
09/10/12	06/06/12	Purchase	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	131.000	15,582.11	14,301.77	-1,280.34
09/10/12	06/06/12	SELL First In First Out	COCA COLA FEMSA SAB DE CV SPON ADR	KOF	49.000	5,781.82	6,057.56	275.74
09/11/12	06/06/12	SELL First In First Out	COCA COLA FEMSA SAB DE CV SPON ADR	KOF	130.000	15,339.53	16,146.79	807.26
09/14/12	06/06/12	SELL First In First Out	LI & FUNG LTD PLC ADR	LFUGY	31,721.000	118,533.57	101,397.07	-17,136.50
10/02/12	06/06/12	SELL First In First Out	ARCOS DORADOS HLDGS INC SHS CL A	ARCO	988.000	13,663.05	15,027.24	1,364.19



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/02/12	06/06/12	SELL First In First Out	LAZARD LTD SHS A	LAZ	365,000	8,664.72	10,840.29	2,175.57
10/02/12	06/06/12	SELL First In First Out	ACE LIMITED SHS	ACE	174,000	12,555.83	13,378.56	822.73
10/02/12	09/10/12	SELL First In First Out	ASML HOLDING N V R/S EFF 11/29/12 1	N07059186	170,000	9,422.74	9,115.21	-307.53
10/02/12	06/06/12	SELL First In First Out	CORE LABORATORIES NV	CLB	125,000	15,587.64	12,532.21	-3,055.43
10/02/12	06/06/12	SELL Versus Purchase	ARM HLDGS PLC SPONSORED ADR	ARMH	385,000	8,938.93	10,706.61	1,767.68
10/02/12	06/06/12	SELL First In First Out	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	73,000	8,683.16	8,161.28	-521.88
10/02/12	06/06/12	SELL First In First Out	BRASIL FOODS S A SPONSORED ADR	BRFS	535,000	8,447.60	9,405.13	957.53
10/02/12	06/06/12	SELL First In First Out	CANADIAN NATL RY CO COM	CNI	154,000	12,425.67	13,648.74	1,223.07
10/02/12	06/06/12	SELL First In First Out	COCA COLA ENTERPRISES INC NEW	CCE	448,000	11,938.75	14,187.86	2,249.11
10/02/12	06/06/12	SELL First In First Out	COCA COLA FEMSA SAB DE CV SPON ADR	KOF	52,000	6,135.81	6,847.21	711.40
10/02/12	06/06/12	SELL Versus Purchase	COMPANHIA DE BEBIDAS DAS AMERS AMBEV	ABV	245,000	9,111.52	9,606.28	494.76



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/02/12	06/06/12	SELL First In First Out	ESSILOR INTL S A SPONSORED ADR	ESLOY	292.000	12,967.72	13,840.48	872.76
10/02/12	06/06/12	SELL Versus Purchase	FANUC CORPORATION ADR	FANUY	434.000	12,525.24	11,604.90	-920.34
10/02/12	07/24/12	SELL First In First Out	JERONIMO MARTINS SGP SA ADR	JRONY	183.000	5,609.30	6,203.56	594.26
10/02/12	07/24/12	SELL First In First Out	KUENE & NAGEL INTL AG ADR	KHNGY	274.000	5,773.78	6,268.97	495.19
10/02/12	06/06/12	SELL First In First Out	LOREAL CO ADR	LRLCY	670.000	15,121.90	16,803.22	1,681.32
10/02/12	06/06/12	SELL First In First Out	LVMH MOET HENNESSY LOUIS VUITTON ADR	LVMUY	252.000	7,507.85	7,799.22	291.37
10/02/12	06/06/12	SELL First In First Out	NESTLE SA SPONSORED ADR REPSTG	NSRGY	210.000	11,962.86	13,439.69	1,476.83
10/02/12	06/06/12	SELL First In First Out	NOVO NORDISK A.S. ADR FORMERLY NOVO	NVO	91.000	12,302.47	14,584.25	2,281.78
10/02/12	06/06/12	SELL First In First Out	NOVOZYMES A/S SPONSORED ADR	NVZMY	476.000	12,737.76	13,322.94	585.18
10/02/12	06/06/12	SELL First In First Out	POTASH CORP OF SASKATCHEWAN INC	POT	359.000	13,946.29	15,258.18	1,311.89
10/02/12	06/06/12	SELL First In First Out	SGS SA ADR	SGSOY	709.000	12,823.69	14,831.94	2,008.25
10/02/12	08/09/12	SELL Versus Purchase	SYSTEMEX CORP ADR	SSIMY	345.000	7,796.03	8,159.06	363.03
10/02/12	06/06/12	SELL First In First Out	TAIWAN SEMICONDUCTOR MFG CO SPONSORED	TSM	1,136.000	15,267.73	18,142.80	2,875.07
10/02/12	03/08/12	SELL First In First Out	VIVENDI SA ADR	VIVHY	207.000	3,713.04	4,092.29	379.25

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/02/12	06/06/12	SELL First In First Out	WAL MART DE MEXICO SA DE CV SPONS ADR	WMMVY	319.000	8,000.52	8,903.09	902.57
10/09/12	03/08/12	SELL First In First Out	VIVENDI SA ADR	VIVHY	433.433	7,774.65	8,650.93	876.28
10/09/12	04/10/12	SELL First In First Out	VIVENDI SA ADR	VIVHY	668.567	11,115.07	13,343.99	2,228.92
10/24/12	06/06/12	SELL First In First Out	COMPANHIA DE BEBIDAS DAS AMERS AMBEV	ABV	1,312.000	48,793.15	53,255.17	4,462.02
10/31/12	06/06/12	SELL First In First Out	BAIDU COM INC SPONS ADR REPSTG ORD SHS	BIDU	391.000	46,508.44	41,945.27	-4,563.17
11/07/12	06/06/12	SELL First In First Out	COCA COLA FEMSA SAB DE CV SPON ADR	KOF	273.000	32,213.03	34,437.90	2,224.87
Total Short Term						\$2,098,706.17	\$1,967,890.52	-\$126,773.91
Long Term								
01/05/12	09/24/08*	SELL First In First Out	VODAFONE GROUP PLC SPON ADR NEW	VOD	381.000	8,519.62	10,468.64	1,949.02
01/20/12	10/15/10*	SELL First In First Out	GAZPROM O A O SPON ADR REG S	OGZPY	3,353.000	35,949.36	39,393.30	3,443.94
01/27/12	01/07/11	SELL First In First Out	HOME RETAIL GROUP PLC SPONSORED ADR	HIMRTY	1,064.000	13,507.27	6,756.38	-6,750.89
02/08/12	01/16/09*	SELL First In First Out	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	2,246.000	19,002.06	14,346.50	-4,655.56



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/08/12	05/17/10*	SELL First In First Out	SOCIETE GENERALE FRANCE SPON ADR	SCGLY	3,052,000	26,974.19	19,494.88	-7,479.31
02/16/12	09/09/10*	SELL First In First Out	TOYOTA MTR CO SPON ADR	TM	405,000	28,316.10	33,306.92	4,990.82
02/17/12	10/22/09*	SELL First In First Out	AXIS CAPITAL HLDGS LTD SHS	AXS	1,484,000	45,300.73	48,618.12	3,317.39
02/17/12	02/04/10*	SELL First In First Out	AXIS CAPITAL HLDGS LTD SHS	AXS	79,000	2,251.58	2,588.16	336.58
02/17/12	01/18/08*	SELL First In First Out	UBS AG SHS NEW	UBS	1,569,600	60,117.91	22,429.33	-37,688.58
02/17/12	01/18/08*	SELL First In First Out	UBS AG SHS NEW	UBS	78,500	2,961.56	1,121.75	-1,839.81
02/17/12	01/22/08*	SELL First In First Out	UBS AG SHS NEW	UBS	1,702,000	62,244.80	24,321.31	-37,923.49
02/17/12	01/22/08*	SELL First In First Out	UBS AG SHS NEW	UBS	85,100	3,065.55	1,216.07	-1,849.48
02/17/12	02/29/08*	SELL First In First Out	UBS AG SHS NEW	UBS	409,800	12,562.93	5,855.98	-6,706.95
02/17/12	05/21/10*	SELL First In First Out	ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	AZSEY	5,696,000	57,862.25	67,268.54	9,406.29
02/17/12	05/19/10*	SELL First In First Out	ALUMINA LTD SPONSORED ADR	AWC	5,618,000	31,086.08	28,145.67	-2,940.41
02/17/12	07/10/08*	SELL First In First Out	ANGLOGOLD ASHANTI LTD SPONSORED ADR	AU	1,614,000	55,892.21	68,545.50	12,653.29
02/17/12	01/11/10*	SELL First In First Out	ASTRAZENECA PLC SPONSORED ADR	AZN	115,000	5,486.59	5,204.82	-281.77
02/17/12	01/14/10*	SELL First In First Out	ASTRAZENECA PLC SPONSORED ADR	AZN	666,000	32,774.86	30,142.68	-2,632.18

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/17/12	04/22/10 *	SELL First In First Out	ASTRAZENECA PLC SPONSORED ADR	AZN	797.000	35,961.28	36,071.65	110.37
02/17/12	02/08/11	SELL First In First Out	ASTRAZENECA PLC SPONSORED ADR	AZN	23.000	1,117.50	1,040.96	-76.54
02/17/12	09/16/08 *	SELL First In First Out	BARRICK GOLD CORP COM	ABX	938.000	25,964.87	44,228.15	18,263.28
02/17/12	04/09/09 *	SELL First In First Out	BARRICK GOLD CORP COM	ABX	716.000	20,632.33	33,760.51	13,128.18
02/17/12	08/18/09 *	SELL First In First Out	BARRICK GOLD CORP COM	ABX	699.000	23,397.77	32,958.93	9,561.16
02/17/12	12/17/09 *	SELL First In First Out	BARRICK GOLD CORP COM	ABX	49.000	1,894.55	2,310.43	415.88
02/17/12	09/16/08 *	SELL First In First Out	CAMECO CORP COM ISIN#CA13321L1085	CCJ	727.000	17,214.71	17,447.76	233.05
02/17/12	03/03/10 *	SELL First In First Out	CAMECO CORP COM ISIN#CA13321L1085	CCJ	1,148.000	31,017.01	27,551.62	-3,465.39
02/17/12	04/06/10 *	SELL First In First Out	CAMECO CORP COM ISIN#CA13321L1085	CCJ	1,483.000	40,028.74	35,591.51	-4,437.23
02/17/12	05/25/10 *	SELL First In First Out	CAMECO CORP COM ISIN#CA13321L1085	CCJ	1,055.000	24,831.43	25,319.65	488.22
02/17/12	10/05/09 *	SELL First In First Out	CARREFOUR SA SPONSORED ADR	CRRFY	2,475.000	21,627.79	11,657.04	-9,970.75

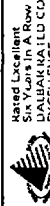
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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/17/12	10/22/09*	SELL First In First Out	CARREFOUR SA SPONSORED ADR	CRRFY	3,119,000	28,565.99	14,690.22	-13,875.77
02/17/12	10/28/09*	SELL First In First Out	CARREFOUR SA SPONSORED ADR	CRRFY	3,664,000	32,216.09	17,257.13	-14,958.96
02/17/12	12/02/10*	SELL First In First Out	CARREFOUR SA SPONSORED ADR	CRRFY	3,392,000	29,386.93	15,976.03	-13,410.90
02/17/12	10/04/11	SELL First In First Out	CARREFOUR SA SPONSORED ADR 747 day(s) added to your holding period as a result of a wash sale.	CRRFY	3,384,000	29,185.97	15,938.35	-13,247.62
02/17/12	10/04/11	SELL First In First Out	CARREFOUR SA SPONSORED ADR 737 day(s) added to your holding period as a result of a wash sale.	CRRFY	749,000	6,219.84	3,527.73	-2,692.11
02/17/12	10/05/05*	SELL First In First Out	DAI NIPPON PRTG LTD JAPAN SPON ADR	DNPLY	5,528,000	89,737.13	57,490.16	-32,246.97
02/17/12	03/22/10*	SELL First In First Out	ELECTRICITE DE FRANCE ADR	ECIFY	6,139,000	63,110.15	30,141.95	-32,968.20
02/17/12	11/10/10*	SELL First In First Out	ELECTRICITE DE FRANCE ADR	ECIFY	3,341,000	29,561.50	16,404.01	-13,157.49
02/17/12	05/19/10*	SELL First In First Out	FINMECCANICA SPA ADR	FINMY	5,027,000	28,222.58	13,019.69	-15,202.89
02/17/12	10/04/10*	SELL First In First Out	FINMECCANICA SPA ADR	FINMY	10,160,000	59,970.41	26,313.93	-33,656.48
02/17/12	12/02/05*	SELL First In First Out	FUJIFILM HLDGS CORP ADR 2 ORD	FUJIY	1,899,000	62,943.68	46,995.79	-15,947.89
02/17/12	10/15/10*	SELL First In First Out	GAZPROM O A O SPON ADR REG S	OGZPY	1,323,000	14,184.61	16,709.19	2,524.58
02/17/12	01/08/10*	SELL First In First Out	GLAXOSMITHKLINE PLC SPONS ADR	GSK	116,000	4,770.35	5,207.16	436.81
02/17/12	01/14/10*	SELL First In First Out	GLAXOSMITHKLINE PLC SPONS ADR	GSK	763,000	31,991.45	34,250.53	2,259.08

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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/17/12	04/22/10 *	SELL First In First Out	GLAXOSMITHKLINE PLC SPONS ADR	GSK	968.000	37,593.83	43,452.84	5,859.01
02/17/12	02/08/11	SELL First In First Out	GLAXOSMITHKLINE PLC SPONS ADR	GSK	7.000	271.98	314.22	42.24
02/17/12	04/08/08 *	SELL First In First Out	GOLD FIELDS LTD NEW SPONS ADR	GFI	489.000	6,799.59	7,819.45	1,019.86
02/17/12	05/21/08 *	SELL First In First Out	GOLD FIELDS LTD NEW SPONS ADR	GFI	4,321.000	61,124.87	69,095.81	7,970.94
02/17/12	06/18/08 *	SELL First In First Out	HACHIUNI BK LTD ADR	HACBY	396.000	26,308.34	22,551.79	-3,756.55
02/17/12	08/12/08 *	SELL First In First Out	HACHIUNI BK LTD ADR	HACBY	320.000	19,185.92	18,223.67	-962.25
02/17/12	01/07/11	SELL First In First Out	HOME RETAIL GROUP PLC SPONSORED ADR	HMRTY	1,725.000	21,898.53	11,863.56	-10,034.97
02/17/12	08/20/09 *	SELL First In First Out	KINROSS GOLD CORP COM NO PAR	KGC	2,927.000	54,720.26	31,991.82	-22,728.44
02/17/12	10/30/09 *	SELL First In First Out	KINROSS GOLD CORP COM NO PAR	KGC	2,184.000	39,888.14	23,870.91	-16,017.23
02/17/12	02/04/10 *	SELL First In First Out	KINROSS GOLD CORP COM NO PAR	KGC	799.000	13,206.91	8,732.99	-4,473.92
02/17/12	01/21/11	SELL First In First Out	KINROSS GOLD CORP COM NO PAR	KGC	1,031.000	17,697.53	11,268.73	-6,428.80

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/17/12	10/04/05*	SELL First In First Out	KOREA ELEC PWR CO SPONSORED ADR RPSTG	KEP	2,431,000	43,084.90	26,886.50	-16,198.40
02/17/12	10/06/06*	SELL First In First Out	KOREA ELEC PWR CO SPONSORED ADR RPSTG	KEP	1,050,000	20,789.89	11,612.84	-9,177.05
02/17/12	12/05/07*	SELL First In First Out	MS&AD INS GROUP HLDGS ADR	MSADY	3,406,000	61,558.96	37,330.99	-24,227.97
02/17/12	02/24/10*	SELL First In First Out	MS&AD INS GROUP HLDGS ADR	MSADY	1,251,000	15,426.33	13,711.41	-1,714.92
02/17/12	08/12/10*	SELL First In First Out	MS&AD INS GROUP HLDGS ADR	MSADY	3,292,000	36,421.70	36,081.50	-340.20
02/17/12	06/23/06*	SELL First In First Out	NEWCREST MINING LTD ADR	NCMGY	1,212,000	17,647.57	43,631.21	25,983.64
02/17/12	08/10/09*	SELL First In First Out	NEWCREST MINING LTD ADR	NCMGY	1,200,000	30,137.28	43,199.22	13,061.94
02/17/12	05/28/10*	SELL First In First Out	NEWCREST MINING LTD ADR	NCMGY	70,000	1,915.75	2,519.95	604.21
02/17/12	01/29/09*	SELL First In First Out	NEXEN INC COM SHS	NXV	1,660,000	24,988.64	33,616.06	8,627.42
02/17/12	10/28/09*	SELL First In First Out	NEXEN INC COM SHS	NXV	1,426,000	31,308.40	28,877.41	-2,430.99
02/17/12	05/18/10*	SELL First In First Out	NEXEN INC COM SHS	NXV	1,648,000	35,371.19	33,373.05	-1,998.14
02/17/12	10/20/10*	SELL First In First Out	NEXEN INC COM SHS	NXV	393,000	8,505.94	7,958.49	-547.45
02/17/12	08/03/09*	SELL First In First Out	NINTENDO LTD ADR	NTDOY	327,000	11,101.55	5,986.56	-5,114.99
02/17/12	08/20/09*	SELL First In First Out	NINTENDO LTD ADR	NTDOY	896,000	29,002.89	16,403.55	-12,599.34

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/17/12	08/27/09*	SELL First In First Out	NINTENDO LTD ADR	NTDOY	1,020.000	33,133.17	18,673.68	-14,459.49
02/17/12	10/04/10*	SELL First In First Out	NINTENDO LTD ADR	NTDOY	807.000	25,600.95	14,774.18	-10,826.77
02/17/12	10/01/07*	SELL First In First Out	NIPPON TELEG & TELEPHONE CORP	NTT	3,579.000	83,658.76	86,005.44	2,346.68
02/17/12	04/14/09*	SELL First In First Out	NIPPON TELEG & TELEPHONE CORP	NTT	669.000	12,939.60	16,076.46	3,136.86
02/17/12	02/02/09*	SELL First In First Out	NOKIA CORP SPONSORED ADR	NOK	3,840.000	46,404.09	21,042.82	-25,361.27
02/17/12	02/19/09*	SELL First In First Out	NOKIA CORP SPONSORED ADR	NOK	1,973.000	21,021.33	10,811.94	-10,209.49
02/17/12	11/18/09*	SELL First In First Out	NOKIA CORP SPONSORED ADR	NOK	3,193.000	44,543.95	17,497.32	-27,046.63
02/17/12	04/30/10*	SELL First In First Out	NOKIA CORP SPONSORED ADR	NOK	1,358.000	16,513.28	7,441.71	-9,071.57
02/17/12	05/24/10*	SELL First In First Out	NOKIA CORP SPONSORED ADR	NOK	898.000	9,047.44	4,920.95	-4,126.49
02/17/12	02/11/11	SELL First In First Out	NOKIA CORP SPONSORED ADR	NOK	221.000	2,081.00	1,211.06	-869.94
02/17/12	10/04/05*	SELL First In First Out	PANASONIC CORP ADR	PC	891.000	16,106.92	7,986.92	-8,120.00



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/17/12	08/17/07*	SELL First In First Out	PANASONIC CORP ADR	PC	2,230.000	39,455.39	19,989.72	-19,465.67
02/17/12	09/12/07*	SELL First In First Out	PANASONIC CORP ADR	PC	1,621.000	28,367.18	14,530.64	-13,836.54
02/17/12	01/16/09*	SELL First In First Out	ROHM CO LTD ADR	ROHCY	340.000	8,450.84	8,309.45	-141.39
02/17/12	01/30/09*	SELL First In First Out	ROHM CO LTD ADR	ROHCY	1,206.000	30,530.49	29,474.11	-1,056.38
02/17/12	10/29/10*	SELL First In First Out	ROHM CO LTD ADR	ROHCY	262.000	8,156.95	6,403.16	-1,753.79
02/17/12	02/14/08*	SELL Versus Purchase	SK TELECOM LTD SPONSORED ADR	SKM	229.000	5,178.19	3,189.75	-1,988.44
02/17/12	06/12/08*	SELL Versus Purchase	SK TELECOM LTD SPONSORED ADR	SKM	2,125.000	45,100.15	29,599.22	-15,500.93
02/17/12	04/13/09*	SELL Versus Purchase	SK TELECOM LTD SPONSORED ADR	SKM	3,423.000	55,485.46	47,679.12	-7,806.34
02/17/12	04/08/08*	SELL First In First Out	SANOFI SPONS ADR	SNY	469.000	18,018.79	17,438.51	-580.28
02/17/12	04/14/08*	SELL First In First Out	SANOFI SPONS ADR	SNY	888.000	33,857.75	33,017.91	-839.84
02/17/12	05/21/08*	SELL First In First Out	SANOFI SPONS ADR	SNY	1,457.000	54,402.92	54,174.65	-228.27
02/17/12	03/07/08*	SELL First In First Out	SEKISUI HOUSE LTD SPONSORED ADR	SKHSY	5,253.000	46,914.02	48,852.02	1,938.00
02/17/12	02/18/09*	SELL First In First Out	SEVEN & I HLDGS CO LTD ADR	SVNDY	387.000	19,491.99	21,865.10	2,373.11
02/17/12	04/13/09*	SELL First In First Out	SEVEN & I HLDGS CO LTD ADR	SVNDY	443.000	18,951.85	25,029.05	6,077.20

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/17/12	06/04/09*	SELL First In First Out	SEVEN & I HLDGS CO LTD ADR	SVNDY	166,000	7,866.76	9,378.83	1,512.07
02/17/12	12/18/08*	SELL First In First Out	SHISEIDO LTD SPON ADR	SSDOY	1,237,000	26,108.99	21,647.11	-4,461.88
02/17/12	03/31/09*	SELL First In First Out	SHISEIDO LTD SPON ADR	SSDOY	1,826,000	26,999.79	31,954.42	4,954.63
02/17/12	10/24/08*	SELL First In First Out	SIEMENS A G SPONSORED ADR	SI	575,000	28,963.56	56,325.99	27,362.43
02/17/12	10/05/05*	SELL First In First Out	SWISSCOM SPON ADR	SCMWY	751,000	24,398.49	29,663.96	5,265.47
02/17/12	01/31/06*	SELL First In First Out	SWISSCOM SPON ADR	SCMWY	713,000	21,867.43	28,162.99	6,295.56
02/17/12	10/05/05*	SELL First In First Out	TELECOM ITALIA S P A NEW SPON ADR REPSTG	TI A	5,388,000	146,182.91	48,640.18	-97,542.73
02/17/12	11/28/05*	SELL First In First Out	TELECOM ITALIA S P A NEW SPON ADR REPSTG	TI A	1,255,000	29,809.39	11,329.52	-18,479.87
02/17/12	09/09/10*	SELL First In First Out	TOYOTA MTR CO SPON ADR	TM	263,000	18,387.99	21,936.43	3,548.44
02/17/12	09/13/10*	SELL First In First Out	TOYOTA MTR CO SPON ADR	TM	207,000	14,661.54	17,265.56	2,604.02
02/17/12	10/20/10*	SELL First In First Out	TOYOTA MTR CO SPON ADR	TM	87,000	6,233.55	7,256.54	1,022.99



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/17/12	09/24/08 *	SELL First In First Out	VODAFONE GROUP PLC SPON ADR NEW	VOD	1,007.000	22,517.73	28,004.27	5,486.54
02/17/12	11/10/08 *	SELL First In First Out	VODAFONE GROUP PLC SPON ADR NEW	VOD	1,566.000	26,190.10	43,549.83	17,359.73
02/17/12	05/10/07 *	SELL First In First Out	WACOAL HLDGS CORP ADR	WACLY	348.000	21,985.42	21,854.36	-131.06
02/17/12	06/01/07 *	SELL First In First Out	WACOAL HLDGS CORP ADR	WACLY	439.000	28,088.76	27,569.14	-519.62
02/17/12	02/24/09 *	SELL First In First Out	WOLTERS KLUWER N V SPON ADR	WTKWY	401.000	6,530.60	7,390.30	859.70
02/17/12	04/29/09 *	SELL First In First Out	WOLTERS KLUWER N V SPON ADR	WTKWY	1,630.000	27,063.87	30,040.36	2,976.49
02/17/12	06/03/09 *	SELL First In First Out	WOLTERS KLUWER N V SPON ADR	WTKWY	1,180.000	22,917.84	21,747.01	-1,170.83
02/17/12	06/03/09 *	SELL First In First Out	WOLTERS KLUWER N V SPON ADR	WTKWY	513.000	9,988.06	9,454.42	-533.64
02/17/12	06/12/09 *	SELL First In First Out	WOLTERS KLUWER N V SPON ADR	WTKWY	709.000	12,526.33	13,066.62	540.29
03/08/12	05/21/08 *	SELL First In First Out	SANOFI SPONS ADR	SNY	343.000	12,807.28	12,940.91	133.63
04/26/12	02/25/11	SELL First In First Out	PERUSAHAAN PERSEROAN PERSERO P.T.	TLK	430.000	14,628.22	14,798.41	170.19
04/30/12	02/08/11	SELL First In First Out	GLAXOSMITHKLINE PLC SPONS ADR	GSK	126.000	4,895.60	5,824.51	928.91
05/10/12	06/04/09 *	SELL First In First Out	SEVEN & I HLDGS CO LTD ADR	SWNDY	147.000	6,966.34	8,841.70	1,875.36
06/06/12	02/04/10 *	SELL First In First Out	AXIS CAPITAL HLDGS LTD SHS	AXS	1,055.000	30,068.55	34,626.21	4,557.66

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/06/12	02/29/08*	SELL First In First Out	UBS AG SHS NEW	UBS	1,306.200	40,043.19	15,327.55	-24,715.64
06/06/12	02/29/08*	SELL First In First Out	UBS AG SHS NEW	UBS	85.800	2,590.85	1,006.82	-1,584.03
06/06/12	06/20/08*	SELL First In First Out	UBS AG SHS NEW	UBS	1,827.000	45,145.17	21,438.86	-23,706.31
06/06/12	05/21/10*	SELL First In First Out	ALLIANZ SE SPONS ADR REPSTG 1/10 SHS	AZSEY	56.000	568.87	503.43	-65.44
06/06/12	05/19/10*	SELL First In First Out	ALUMINA LTD SPONSORED ADR	AWC	1,107.000	6,125.36	4,340.30	-1,785.06
06/06/12	07/10/08*	SELL First In First Out	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	145.000	5,021.30	5,420.15	398.85
06/06/12	01/21/10*	SELL First In First Out	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	541.000	21,142.28	20,222.75	-919.53
06/06/12	02/08/11	SELL First In First Out	ANGLOGOLD ASHANTI LTD SPONORED ADR	AU	675.000	30,789.38	25,231.72	-5,557.66
06/06/12	02/08/11	SELL First In First Out	ASTRAZENECA PLC SPONSORED ADR	AZN	1,272.000	61,802.67	51,776.38	-10,026.29
06/06/12	12/17/09*	SELL First In First Out	BARRICK GOLD CORP COM	ABX	693.000	26,794.36	27,878.83	1,084.47
06/06/12	01/20/11	SELL First In First Out	BARRICK GOLD CORP COM	ABX	1,017.000	47,726.08	40,913.09	-6,812.99

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/06/12	03/16/11	SELL First In First Out	CAMECO CORP COM ISIN#CA13321L1085	CCJ	209,000	6,750.70	4,055.27	-2,695.43
06/06/12	10/04/11	SELL First In First Out	CARREFOUR SA SPONSORED ADR 737 day(s) added to your holding period as a result of a wash sale.	CRRFY	189,000	1,569.49	658.95	-910.54
06/06/12	10/05/05 *	SELL First In First Out	DAI NIPPON PRITG LTD JAPAN SPON ADR	DNPLY	5,127,000	83,227.62	38,861.78	-44,365.84
06/06/12	06/21/06 *	SELL First In First Out	DAI NIPPON PRITG LTD JAPAN SPON ADR	DNPLY	1,530,000	23,351.17	11,597.14	-11,754.03
06/06/12	04/20/11	SELL Versus Purchase	DAIICHI SANKYO CO LTD SPON ADR LEVEL 1	DSNKY	1,254,000	23,971.34	19,787.67	-4,183.67
06/06/12	03/02/11	SELL Versus Purchase	EAST JAPAN RY CO ADR	EJPRY	2,123,000	24,753.96	20,486.49	-4,267.47
06/06/12	11/10/10 *	SELL First In First Out	ELECTRICITE DE FRANCE ADR	ECIFY	2,252,000	19,925.92	8,661.96	-11,263.96
06/06/12	04/07/11	SELL First In First Out	ELECTRICITE DE FRANCE ADR	ECIFY	1,325,000	10,425.23	5,096.40	-5,328.83
06/06/12	10/04/10 *	SELL First In First Out	FINMECCANICA SPA ADR	FINMY	1,745,000	10,300.04	3,123.48	-7,176.56
06/06/12	12/02/05 *	SELL First In First Out	FUJIFILM HLDGS CORP ADR 2 ORD	FUJIY	610,000	20,218.88	11,534.84	-8,684.04
06/06/12	03/24/06 *	SELL First In First Out	FUJIFILM HLDGS CORP ADR 2 ORD	FUJIY	1,306,000	42,503.90	24,695.90	-17,808.00
06/06/12	02/08/11	SELL First In First Out	GLAXOSMITHKLINE PLC SPONS ADR	GSK	1,157,000	44,954.08	51,186.50	6,232.42
06/06/12	05/21/08 *	SELL First In First Out	GOLD FIELDS LTD NEW SPONS ADR	GFI	455,000	6,436.43	6,215.84	-220.59
06/06/12	02/04/10 *	SELL First In First Out	GOLD FIELDS LTD NEW SPONS ADR	GFI	2,425,000	26,976.19	33,128.38	6,152.19

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/06/12	08/12/08*	SELL First In Out	HACHIUNI BK LTD ADR	HACBY	405,000	24,282.18	18,718.68	-5,563.50
06/06/12	01/21/11	SELL First In Out	KINROSS GOLD CORP COM NO PAR	KGC	950,000	16,307.13	8,103.41	-1,692.56 -6,511.163
06/06/12	02/24/11	SELL First In Out	KINROSS GOLD CORP COM NO PAR	KGC	1,048,000	16,383.70	8,939.34	-7,444.36
06/06/12	09/27/11	SELL First In Out	KINROSS GOLD CORP COM NO PAR 784 day(s) added to your holding period as a result of a wash sale.	KGC	557,000	9,139.22	4,751.16	-4,388.06
06/06/12	05/21/12	SELL First In Out	KINROSS GOLD CORP COM NO PAR 502 day(s) added to your holding period as a result of a wash sale.	KGC	754,000	12,597.52	6,431.55	-6,165.97
06/06/12	10/06/06*	SELL First In Out	KOREA ELEC PWR CO SPONSORED ADR RPSTG	KEP	1,702,000	33,699.43	17,344.69	-16,354.74
06/06/12	03/17/08*	SELL First In Out	KOREA ELEC PWR CO SPONSORED ADR RPSTG	KEP	1,929,000	27,008.31	19,658.00	-7,350.31
06/06/12	08/12/10*	SELL Versus Purchase	MS&AD INS GROUP HLDGS ADR	MSADY	518,000	5,731.00	3,989.39	-1,741.61
06/06/12	04/13/11	SELL Versus Purchase	MS&AD INS GROUP HLDGS ADR	MSADY	4,755,000	52,388.69	36,620.73	-15,767.96
06/06/12	05/28/10*	SELL First In Out	NEWCREST MINING LTD ADR	NCMGY	475,000	12,999.76	12,054.35	-945.41
06/06/12	10/20/10*	SELL First In Out	NEXEN INC COM SHS	NYX	304,000	6,579.65	4,912.78	-1,666.87



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/06/12	05/24/11	SELL First In First Out	NINTENDO LTD ADR	NTDOY	443,000	12,416.32	6,432.21	-5,984.11
06/06/12	04/14/09 *	SELL Versus Purchase	NIPPON TELEG & TELEPHONE CORP	NTT	1,916,000	37,058.69	40,177.95	3,119.26
06/06/12	12/15/10 *	SELL Versus Purchase	NIPPON TELEG & TELEPHONE CORP	NTT	1,439,000	32,729.34	30,175.41	-2,553.93
06/06/12	02/11/11	SELL First In First Out	NOKIA CORP SPONSORED ADR	NOK	3,379,000	31,817.68	9,667.10	-22,150.58
06/06/12	03/16/11	SELL First In First Out	NOKIA CORP SPONSORED ADR	NOK	1,516,000	12,226.09	4,337.18	-7,888.91
06/06/12	05/31/11	SELL First In First Out	NOKIA CORP SPONSORED ADR	NOK	3,573,000	25,124.98	10,222.12	-14,902.86
06/06/12	09/12/07 *	SELL First In First Out	PANASONIC CORP ADR	PC	1,530,000	26,774.69	10,296.82	-16,477.87
06/06/12	03/17/11	SELL First In First Out	PANASONIC CORP ADR	PC	2,317,000	27,438.15	15,593.29	-11,844.86
06/06/12	02/25/11	SELL First In First Out	PERUSAHAAN PERSEROAN PERSERO P.T.	TLK	308,000	10,477.88	9,735.72	-742.16
06/06/12	10/29/10 *	SELL First In First Out	ROHM CO LTD ADR	ROHCY	1,410,000	43,898.09	25,633.22	-18,264.87
06/06/12	04/13/09 *	SELL First In First Out	SK TELECOM LTD SPONSORED ADR	SKM	27,000	437.66	319.68	-117.98
06/06/12	05/20/09 *	SELL First In First Out	SK TELECOM LTD SPONSORED ADR	SKM	3,348,000	53,269.02	39,639.79	-13,629.23
06/06/12	02/25/11	SELL First In First Out	SK TELECOM LTD SPONSORED ADR	SKM	1,584,000	27,719.21	18,754.31	-8,964.90
06/06/12	05/21/08 *	SELL First In First Out	SANOFI SPONS ADR	SNY	96,000	3,584.54	3,289.77	-294.77

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
06/06/12	08/01/08 *	SELL First In First Out	SANOFI SPONS ADR	SNV	925.000	32,771.55	31,698.29	-1,073.26
06/06/12	07/01/09 *	SELL First In First Out	SANOFI SPONS ADR	SNV	492.000	14,856.53	16,860.07	2,003.54
06/06/12	03/07/08 *	SELL First In First Out	SEKISUI HOUSE LTD SPONSORED ADR	SKHSY	483.000	4,313.62	4,057.11	-256.51
06/06/12	06/04/09 *	SELL First In First Out	SEVEN & I HLDGS CO LTD ADR	SVNDY	667.000	31,609.20	40,052.45	8,443.25
06/06/12	03/31/09 *	SELL Versus Purchase	SHISEIDO LTD SPON ADR	SSDOY	32.000	473.16	492.47	19.31
06/06/12	06/01/11	SELL Versus Purchase	SHISEIDO LTD SPON ADR	SSDOY	2,600.000	44,218.46	40,013.10	-4,205.36
06/06/12	10/24/08 *	SELL First In First Out	SIEMENS A G SPONSORED ADR	SI	159.000	8,009.05	13,019.41	5,010.36
06/06/12	04/01/11	SELL First In First Out	SUMITOMO MITSUI TR HLDGS INC SPONS ADR	SUTNY	1,763.000	6,170.50	4,389.77	-1,780.73
06/06/12	04/01/11	SELL First In First Out	SUMITOMO MITSUI TR HLDGS INC SPONS ADR	SUTNY	0.960	3.41	2.39	-1.02
06/06/12	04/01/11	SELL First In First Out	SUMITOMO MITSUI TR HLDGS INC SPONS ADR	SUTNY	6,704.040	23,464.14	16,692.68	-6,771.46
06/06/12	01/31/06 *	SELL First In First Out	SWISSCOM SPON ADR	SCMWY	1,167.000	35,791.42	42,734.58	6,943.16

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Description	Disposition Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
06/06/12	11/28/05*	TELECOM ITALIA S P A NEW SPON ADR REPSTG	SELL First In First Out	TI A	4,265,000	101,304.41	30,223.30	-71,081.11
06/06/12	05/10/06*	TELECOM ITALIA S P A NEW SPON ADR REPSTG	SELL First In First Out	TI A	2,105,000	56,450.84	14,916.77	-41,534.07
06/06/12	10/20/10*	TOYOTA MTR CO SPON ADR	SELL First In First Out	TM	415,000	29,734.75	31,769.23	2,034.48
06/06/12	11/10/08*	VODAFONE GROUP PLC SPON ADR NEW	SELL First In First Out	VOD	2,069,000	34,602.37	54,353.47	19,751.10
06/06/12	06/01/07*	WACOAL HLDGS CORP ADR	SELL First In First Out	WACLY	158,000	10,109.39	8,943.89	-1,165.50
06/06/12	11/07/07*	WACOAL HLDGS CORP ADR	SELL First In First Out	WACLY	389,000	23,520.92	22,020.08	-1,500.84
06/06/12	06/12/09*	WOLTERS KLUWER N V SPON ADR	SELL First In First Out	WTKWY	1,549,000	27,367.11	22,676.85	-4,690.26
Total Long Term						\$4,925,628.98	\$3,944,588.58	-\$974,529.24
Total Short Term and Long Term						\$7,024,335.15	\$5,912,479.10	-\$1,101,303.15

* Noncovered under the cost basis rules as defined below.

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
02/23/12	Multiple*	SELL First In First Out (Using Average Cost)	TEMPLETON GLOBAL TOTAL RETURN FUND	TTRZX	166,698.018	2,258,133.87	2,187,078.00	-71,055.87
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,091.50	4,074.41	-17.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,090.49	4,073.41	-17.08
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,090.50	4,073.41	-17.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,090.50	4,071.41	-19.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	300.000	12,271.50	12,214.23	-57.27
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	300.000	12,271.50	12,214.23	-57.27
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	200.000	8,181.00	8,142.82	-38.18
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	350.000	14,316.75	14,249.93	-66.82
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	200.000	8,181.00	8,142.82	-38.18
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,090.50	4,071.41	-19.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,090.35	4,071.41	-18.94
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,090.40	4,071.41	-18.99

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	50,000	2,045.25	2,035.69	-9.56
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100,000	4,090.50	4,071.41	-19.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	150,000	6,135.75	6,107.11	-28.64
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	200,000	8,181.00	8,142.82	-38.18
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100,000	4,090.49	4,071.41	-19.08
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100,000	4,090.39	4,071.41	-18.98
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	300,000	12,271.50	12,214.23	-57.27
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	200,000	8,181.00	8,142.82	-38.18
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100,000	4,090.50	4,071.41	-19.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	50,000	2,045.25	2,035.70	-9.55
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100,000	4,090.50	4,071.41	-19.09

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	50,000	2,045.25	2,035.70	-9.55
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	300,000	12,271.50	12,214.23	-57.27
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100,000	4,090.50	4,071.41	-19.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	300,000	12,271.50	12,214.23	-57.27
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	200,000	8,181.00	8,142.82	-38.18
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100,000	4,090.50	4,071.41	-19.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100,000	4,090.50	4,071.41	-19.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	1,100,000	44,995.50	44,785.49	-210.01
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	900,000	36,814.50	36,642.68	-171.82
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100,000	4,090.50	4,071.41	-19.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100,000	4,090.50	4,071.41	-19.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	300,000	12,271.50	12,214.23	-57.27
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	200,000	8,181.00	8,142.82	-38.18
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	300,000	12,271.50	12,214.23	-57.27

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	200.000	8,181.00	8,142.82	-38.18
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,091.50	4,071.41	-20.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,091.50	4,071.41	-20.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,091.50	4,071.41	-20.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,091.46	4,071.41	-20.05
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,091.50	4,071.41	-20.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	700.000	28,633.50	28,499.86	-133.64
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,091.50	4,071.41	-20.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,091.49	4,071.41	-20.08
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,091.50	4,071.41	-20.09
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100.000	4,090.50	4,071.41	-19.09



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/01/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	689,000	28,183.55	28,051.95	-131.60
10/02/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	100,000	4,090.50	4,082.41	-8.09
10/02/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	11,000	449.95	449.07	-0.88
10/02/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	77,000	3,150.07	3,143.45	-6.62
10/02/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	23,000	940.93	938.95	-1.98
10/02/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	77,000	3,149.69	3,143.46	-6.23
10/02/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	12,000	490.86	489.89	-0.97
10/02/12	02/28/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	616,000	25,197.48	25,150.09	-47.39
Total Short Term						\$2,710,347.72	\$2,637,282.44	-\$73,065.28
Long Term								
10/01/12	Multiple*	SELL First In First Out (Using Average Cost)	TEMPLETON GLOBAL TOTAL RETURN FUND	TTRZX	37,632,124	509,774.35	508,410.00	-1,364.35
10/02/12	Multiple*	SELL First In First Out (Using Average Cost)	TEMPLETON GLOBAL TOTAL RETURN FUND	TTRZX	594,301	8,050.55	8,029.00	-21.55
Total Long Term						\$517,824.90	\$516,439.00	-\$1,385.90
Total Short Term and Long Term						\$3,228,172.62	\$3,153,721.44	-\$74,451.18

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
02/16/12	02/16/12	SELL First In First Out	ZACUITY BRANDS INC COM	AYI	100.000	4,932.00	6,106.39	N/A
02/16/12	02/16/12	SELL First In First Out	ZACUITY BRANDS INC COM	AYI	200.000	9,804.00	12,212.78	N/A
02/16/12	02/16/12	SELL First In First Out	ZACUITY BRANDS INC COM	AYI	100.000	4,932.00	6,105.39	N/A
02/16/12	02/16/12	SELL First In First Out	ZACUITY BRANDS INC COM	AYI	400.000	19,724.11	24,418.32	N/A
02/16/12	02/16/12	SELL First In First Out	ZACUITY BRANDS INC COM	AYI	100.000	4,932.00	6,104.39	N/A
02/16/12	02/16/12	SELL First In First Out	ZALLETE INC COM NEW	ALE	100.000	4,204.00	4,175.43	N/A
02/16/12	02/16/12	SELL First In First Out	ZALLETE INC COM NEW	ALE	100.000	4,204.00	4,173.43	N/A
02/16/12	02/16/12	SELL First In First Out	ZALLETE INC COM NEW	ALE	100.000	4,204.00	4,172.43	N/A

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Short Term (continued)							
02/16/12	02/16/12	SELL First In First Out ZALLET INC COM NEW	ALE	300.000	12,610.80	12,517.83	N/A
02/16/12	02/16/12	SELL First In First Out ZALLET INC COM NEW	ALE	200.000	8,408.00	8,344.84	N/A
02/16/12	02/18/11	SELL First In First Out AVIS BUDGET GROUP INC COM	CAR	900.000	13,950.00	10,959.91	-2,990.09
02/16/12	12/16/11	SELL First In First Out BARNES GROUP INC COM	B	100.000	2,366.93	2,648.96	-282.03
02/16/12	12/16/11	SELL First In First Out BARNES GROUP INC COM	B	100.000	2,366.93	2,648.46	-281.53
02/16/12	12/16/11	SELL First In First Out BARNES GROUP INC COM	B	300.000	7,100.79	7,945.35	844.56
02/16/12	12/16/11	SELL First In First Out BARNES GROUP INC COM	B	330.000	7,310.87	8,740.52	929.65
02/16/12	12/16/11	SELL First In First Out BARNES GROUP INC COM	B	100.000	2,366.93	2,648.44	-281.51
02/16/12	02/16/12	SELL First In First Out BENEFICIAL MUT-BANCORP INC COM	BNCL	100.000	909.00	888.49	N/A
02/16/12	02/16/12	SELL First In First Out BENEFICIAL MUT-BANCORP INC COM	BNCL	100.000	909.00	887.49	N/A
02/16/12	02/16/12	SELL First In First Out BENEFICIAL MUT-BANCORP INC COM	BNCL	200.000	1,818.00	1,770.97	N/A

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Short Term (continued)							
02/16/12	02/16/12	SELL 2BENEFICIAL MUT-BANCORP INC COM First In First Out	BNCL	100,000	909.00	886.49	N/A
02/16/12	02/16/12	SELL 2BENEFICIAL MUT-BANCORP INC COM First In First Out	BNCL	100,000	909.00	886.49	N/A
02/16/12	02/16/12	SELL 2BENEFICIAL MUT-BANCORP INC COM First In First Out	BNCL	100,000	909.00	885.49	N/A
02/16/12	02/16/12	SELL 2BENEFICIAL MUT-BANCORP INC COM First In First Out	BNCL	100,000	909.00	885.49	N/A
02/16/12	02/16/12	SELL 2BENEFICIAL MUT-BANCORP INC COM First In First Out	BNCL	850,000	7,726.50	7,502.77	N/A
02/16/12	02/16/12	SELL 2BENEFICIAL MUT-BANCORP INC COM First In First Out	BNCL	300,000	2,727.00	2,656.44	N/A
02/16/12	02/16/12	SELL 2BENEFICIAL MUT-BANCORP INC COM First In First Out	BNCL	100,000	909.00	882.48	N/A
02/16/12	02/16/12	SELL 2BRINKS CO COM First In First Out	BCO	100,000	2,527.00	2,367.46	N/A
02/16/12	02/16/12	SELL 2BRINKS CO COM First In First Out	BCO	100,000	2,527.00	2,366.46	N/A
02/16/12	02/16/12	SELL 2BRINKS CO COM First In First Out	BCO	100,000	2,527.00	2,366.46	N/A
02/16/12	02/16/12	SELL 2BRINKS CO COM First In First Out	BCO	100,000	2,527.00	2,366.46	N/A
02/16/12	02/16/12	SELL 2BRINKS CO COM First In First Out	BCO	31,000	783.37	733.30	N/A
02/16/12	02/16/12	SELL 2BRINKS CO COM First In First Out	BCO	55,000	1,389.85	1,301.01	N/A
02/16/12	02/16/12	SELL 2BRINKS CO COM First In First Out	BCO	45,000	1,137.15	1,064.46	N/A



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)							
02/16/12	02/16/12	SELL 2BRINKS CO COM First In First Out	BCQ	24,000	606.48	567.71	N/A
02/16/12	02/16/12	SELL 2BRINKS CO COM First In First Out	BCQ	100,000	2,527.00	2,365.46	N/A
02/16/12	02/16/12	SELL 2BRINKS CO COM First In First Out	BEO	500,000	12,031.75	11,828.21	N/A
02/16/12	02/16/12	SELL 2BRINKS CO COM First In First Out	BCO	145,000	3,604.15	3,429.90	N/A
02/16/12	02/16/12	SELL 2CBOE HLDGS INC COM First In First Out	CBOE	200,000	5,662.00	5,470.91	N/A
02/16/12	02/16/12	SELL 2CBOE HLDGS INC COM First In First Out	CBOE	500,000	14,158.15	13,628.20	N/A
02/16/12	02/16/12	SELL 2CBOE HLDGS INC COM First In First Out	CBOE	100,000	2,831.00	2,735.45	N/A
02/16/12	02/16/12	SELL 2CBOE HLDGS INC COM First In First Out	CBOE	100,000	2,831.00	2,735.44	N/A
02/16/12	02/23/11	SELL CAPITOL FED FINL INC COM First In First Out	CFN	400,000	4,847.68	4,682.67	-165.01
02/16/12	02/23/11	SELL CAPITOL FED FINL INC COM First In First Out	CFN	600,000	7,271.52	7,022.88	-248.64
02/16/12	02/23/11	SELL CAPITOL FED FINL INC COM First In First Out	CFN	200,000	2,423.84	2,340.96	-82.88

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short-Term (continued)							
02/16/12	03/10/11	SELL First In First Out CARRIZO OIL & GAS INC COM	CRZO	100,000	3,270.81	2,686.45	-584.36
02/16/12	03/10/11	SELL First In First Out CARRIZO OIL & GAS INC COM	CRZO	100,000	3,270.81	2,685.46	-585.35
02/16/12	07/18/11	SELL First In First Out CARRIZO OIL & GAS INC COM	CRZO	100,000	3,879.86	2,685.45	-1,193.41
02/16/12	07/18/11	SELL First In First Out CARRIZO OIL & GAS INC COM	CRZO	100,000	3,879.86	2,686.46	-1,193.40
02/16/12	05/26/11	SELL First In First Out CHICAGO BRIDGE & IRON CO N V	CBI	300,000	10,967.07	13,303.26	2,336.19
02/16/12	05/26/11	SELL First In First Out CHICAGO BRIDGE & IRON CO N V	CBI	300,000	10,967.07	13,303.26	2,336.19
02/16/12	05/26/11	SELL First In First Out CHICAGO BRIDGE & IRON CO N V	CBI	100,000	3,655.69	4,434.42	778.73
02/16/12	02/16/12	SELL First In First Out ZIRCOR INTL INC COM	CIR	100,000	2,483.00	4,046.43	N/A
02/16/12	02/16/12	SELL First In First Out ZIRCOR INTL INC COM	CIR	100,000	2,483.00	4,046.43	N/A
02/16/12	02/16/12	SELL First In First Out ZIRCOR INTL INC COM	CIR	300,000	7,448.92	12,136.28	N/A
02/16/12	02/16/12	SELL First In First Out ZIRCOR INTL INC COM	CIR	280,000	6,952.40	11,327.72	N/A
02/16/12	02/16/12	SELL Versus Purchase ZCOMPASS MINERALS INTL INC COM	CMP	420,000	30,527.99	29,781.28	N/A
02/16/12	03/23/11	SELL First In First Out DANA HLDG CORP COM	DAN	900,000	15,047.01	14,872.21	-174.80
02/16/12	03/23/11	SELL First In First Out DANA HLDG CORP COM	DAN	250,000	4,179.73	4,131.18	-48.55



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/16/12	03/23/11	SELL First In First Out	DANA HLDG CORP COM	DAN	200.000	3,343.78	3,304.94	-38.84
02/16/12	03/23/11	SELL First In First Out	DANA HLDG CORP COM	DAN	50.000	835.94	826.24	-9.70
02/16/12	03/23/11	SELL First In First Out	DANA HLDG CORP COM	DAN	50.000	835.95	826.24	-9.71
02/16/12	03/23/11	SELL First In First Out	DANA HLDG CORP COM	DAN	50.000	835.94	826.24	-9.70
02/16/12	05/11/11	SELL First In First Out	DANA HLDG CORP COM	DAN	100.000	1,827.00	1,652.47	-174.53
02/16/12	05/11/11	SELL First In First Out	DANA HLDG CORP COM	DAN	100.000	1,827.00	1,652.47	-174.53
02/16/12	05/11/11	SELL First In First Out	DANA HLDG CORP COM	DAN	300.000	5,481.00	4,957.41	-523.59
02/16/12	05/11/11	SELL First In First Out	DANA HLDG CORP COM	DAN	100.000	1,827.00	1,652.47	-174.53
02/16/12	05/11/11	SELL First In First Out	DANA HLDG CORP COM	DAN	100.000	1,827.00	1,652.47	-174.53
02/16/12	02/16/12	SELL First In First Out	DELTEC TIMBER CORP COM	DEL	100.000	5,287.00	7,010.38	N/A
02/16/12	02/16/12	SELL First In First Out	DELTEC TIMBER CORP COM	DEL	100.000	5,287.00	7,010.38	N/A



Schedule of Realized Gains and Losses (continued)

Disposition Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disaffirmance
Short-Term (continued)						
02/16/12 02/16/12*	SELL DELTIC-TIMBER CORP COM First In First Out	DEL	100,000	5,287.00	7,010.37	N/A
02/16/12 02/16/12*	SELL DELTIC-TIMBER CORP COM First In First Out	DEL	100,000	5,287.00	7,009.38	N/A
02/16/12 02/16/12*	SELL DELTIC-TIMBER CORP COM First In First Out	DEL	300,000	15,804.29	21,028.67	N/A
02/16/12 02/16/12*	SELL DENNYS CORP COM First In First Out	DENN	100,000	315.00	420.50	N/A
02/16/12 02/16/12*	SELL DENNYS CORP COM First In First Out	DENN	142,000	447.30	597.10	N/A
02/16/12 02/16/12*	SELL DENNYS CORP COM First In First Out	DENN	100,000	315.00	420.50	N/A
02/16/12 02/16/12*	SELL DENNYS CORP COM First In First Out	DENN	100,000	315.00	420.50	N/A
02/16/12 02/16/12*	SELL DENNYS CORP COM First In First Out	DENN	500,000	1,575.00	2,102.46	N/A
02/16/12 02/16/12*	SELL DENNYS CORP COM First In First Out	DENN	158,000	497.70	664.38	N/A
02/16/12 02/16/12*	SELL DENNYS CORP COM First In First Out	DENN	42,000	132.30	176.61	N/A
02/16/12 02/16/12*	SELL DENNYS CORP COM First In First Out	DENN	100,000	315.00	420.50	N/A
02/16/12 02/16/12*	SELL DENNYS CORP COM First In First Out	DENN	100,000	315.00	420.50	N/A
02/16/12 02/16/12*	SELL DENNYS CORP COM First In First Out	DENN	100,000	315.00	420.50	N/A
02/16/12 02/16/12*	SELL DENNYS CORP COM First In First Out	DENN	100,000	315.00	420.49	N/A



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disinflow
Short-Term (continued)								
02/16/12	02/16/12	SELL First In First Out	DENNYS CORP. COM.	DENN	100,000	315.00	419.49	N/A
02/16/12	02/16/12	SELL First In First Out	DENNYS CORP. COM.	DENN	100,000	315.00	419.49	N/A
02/16/12	02/16/12	SELL First In First Out	DENNYS CORP. COM.	DENN	100,000	315.00	419.49	N/A
02/16/12	02/16/12	SELL First In First Out	DENNYS CORP. COM.	DENN	1,400,000	4,410.00	5,875.52	N/A
02/16/12	02/16/12	SELL First In First Out	DENNYS CORP. COM.	DENN	358,000	1,127.70	1,504.77	N/A
02/16/12	02/16/12	SELL First In First Out	DINEEQUITY INC. COM.	DIN	100,000	5439.00	4,944.41	N/A
02/16/12	02/16/12	SELL First In First Out	DINEEQUITY INC. COM.	DIN	100,000	5439.00	4,944.41	N/A
02/16/12	02/16/12	SELL First In First Out	DINEEQUITY INC. COM.	DIN	100,000	5439.00	4,943.41	N/A
02/16/12	02/16/12	SELL First In First Out	DINEEQUITY INC. COM.	DIN	300,000	1,6316.37	14,827.80	N/A
02/16/12	02/16/12	SELL First In First Out	DINEEQUITY INC. COM.	DIN	100,000	5,439.00	4,942.41	N/A
02/16/12	02/16/12	SELL First In First Out	DRESSER RAND GROUP INC. COM.	DRG	100,000	3,454.00	5,353.25	N/A



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Description	Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/16/12	02/16/12	2DRESSER-RAND GROUP INC COM	SELL First In First Out	DRC	300,000	10,301.94	16,660.28	N/A
02/16/12	02/16/12	2DRESSER-RAND GROUP INC COM	SELL First In First Out	DRC	100,000	3,454.00	5,353.23	N/A
02/16/12	02/16/12	2DRESSER-RAND GROUP INC COM	SELL First In First Out	DRC	100,000	3,454.00	5,353.23	N/A
02/16/12	12/15/11	EBIX INC COM NEW	SELL First In First Out	EBIX	100,000	2,072.29	2,460.46	388.17
02/16/12	12/15/11	EBIX INC COM NEW	SELL First In First Out	EBIX	100,000	2,072.29	2,460.46	388.17
02/16/12	12/15/11	EBIX INC COM NEW	SELL First In First Out	EBIX	200,000	4,144.58	4,918.91	774.33
02/16/12	12/15/11	EBIX INC COM NEW	SELL First In First Out	EBIX	400,000	8,289.16	9,826.58	1,537.42
02/16/12	12/15/11	EBIX INC COM NEW	SELL First In First Out	EBIX	300,000	6,216.87	7,369.36	1,152.49
02/16/12	03/09/11	ENPRO INDS INC COM	SELL First In First Out	NPO	200,000	7,829.00	7,510.86	-318.14
02/16/12	03/09/11	ENPRO INDS INC COM	SELL First In First Out	NPO	100,000	3,914.50	3,755.44	-159.06
02/16/12	02/16/12	2FEDERAL SIGNAL CORP	SELL First In First Out	FSS	200,000	1,334.00	914.99	N/A
02/16/12	02/16/12	2FEDERAL SIGNAL CORP	SELL First In First Out	FSS	100,000	667.00	457.50	N/A
02/16/12	02/16/12	2FEDERAL SIGNAL CORP	SELL First In First Out	FSS	100,000	667.00	457.50	N/A
02/16/12	02/16/12	2FEDERAL SIGNAL CORP	SELL First In First Out	FSS	100,000	667.00	457.49	N/A

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disinflow
Short-Term (continued)							
02/16/12	02/16/12	SELL First In First Out FEDERAL SIGNAL CORP	FSS	300.000	2,001.00	1,372.47	N/A
02/16/12	02/16/12	SELL First In First Out FEDERAL SIGNAL CORP	FSS	700.000	4,070.30	3,203.76	N/A
02/16/12	02/16/12	SELL First In First Out FEDERAL SIGNAL CORP	FSS	200.000	1,334.00	974.97	N/A
02/16/12	02/16/12	SELL First In First Out FIRST NIAGARA FINL GROUP INC-NEW COM	FNFG	2,500.000	3,610.42	2,471.93	N/A
02/16/12	02/16/12	Purchase SELL First In First Out FLOWERS FOODS INC COM	FLO	400.000	1,746.00	1,898.47	N/A
02/16/12	02/16/12	SELL First In First Out FLOWERS FOODS INC COM	FLO	200.000	3,492.00	3,796.94	N/A
02/16/12	02/16/12	SELL First In First Out FLOWERS FOODS INC COM	FLO	100.000	1,746.00	1,898.47	N/A
02/16/12	02/16/12	SELL First In First Out FLOWERS FOODS INC COM	FLO	200.000	3,492.00	3,796.93	N/A
02/16/12	02/16/12	SELL First In First Out FLOWERS FOODS INC COM	FLO	100.000	1,746.00	1,898.47	N/A
02/16/12	02/16/12	SELL First In First Out FLOWERS FOODS INC COM	FLO	400.000	1,746.00	1,898.47	N/A
02/16/12	02/16/12	SELL First In First Out FLOWERS FOODS INC COM	FLO	100.000	1,746.00	1,898.47	N/A

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)							
02/16/12	02/16/12	2 FLOWERS FOODS INC COM First In First Out	FLO	100,000	1,746.00	1,898.47	N/A
02/16/12	02/16/12	2 FLOWERS FOODS INC COM First In First Out	FLO	1,440,000	25,135.30	27,347.82	N/A
02/16/12	02/16/12	2 FLOWERS FOODS INC COM First In First Out	FLO	100,000	1,746.00	1,898.96	N/A
02/16/12	02/16/12	2 FLOWERS FOODS INC COM First In First Out	FLO	100,000	1,746.00	1,898.46	N/A
02/16/12	02/16/12	2 FLOWERVE CORP COM First In First Out	FLO	400,000	28,352.00	47,195.55	N/A
02/16/12	02/16/12	2 FORESTAR GROUP INC COM First In First Out	FOR	100,000	2,496.00	1,662.47	N/A
02/16/12	02/16/12	2 FORESTAR GROUP INC COM First In First Out	FOR	200,000	4,992.00	3,328.94	N/A
02/16/12	02/16/12	2 FORESTAR GROUP INC COM First In First Out	FOR	200,000	4,992.00	3,326.94	N/A
02/16/12	02/16/12	2 FORESTAR GROUP INC COM First In First Out	FOR	100,000	2,496.00	1,662.48	N/A
02/16/12	02/16/12	2 FORESTAR GROUP INC COM First In First Out	FOR	100,000	2,496.00	1,662.47	N/A
02/16/12	02/16/12	2 FORESTAR GROUP INC COM First In First Out	FOR	100,000	2,496.00	1,662.47	N/A
02/16/12	02/16/12	2 FORESTAR GROUP INC COM First In First Out	FOR	100,000	2,496.00	1,662.47	N/A
02/16/12	02/16/12	2 FORESTAR GROUP INC COM First In First Out	FOR	500,000	14,971.95	9,975.95	N/A
02/16/12	02/16/12	2 FORTUNE BRANDS HOMES & SEC INC COM First In First Out	FBSH	700,000	11,542.16	13,567.32	N/A

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
02/16/12	02/16/12	SELL First In First Out 2FOSTER L B CO CL A	FSTR	15,000	594.45	494.92	N/A
02/16/12	02/16/12	SELL First In First Out 2FOSTER L B CO CL A	FSTR	85,000	3,308.55	2,801.90	N/A
02/16/12	02/16/12	SELL First In First Out 2FOSTER L B CO CL A	FSTR	100,000	3,913.00	3,296.36	N/A
02/16/12	02/16/12	SELL First In First Out 2FOSTER L B CO CL A	FSTR	15,000	594.45	494.47	N/A
02/16/12	02/16/12	SELL First In First Out 2FOSTER L B CO CL A	FSTR	15,000	594.45	493.27	N/A
02/16/12	02/16/12	SELL First In First Out 2FOSTER L B CO CL A	FSTR	59,000	2,338.17	1,940.13	N/A
02/16/12	02/16/12	SELL First In First Out 2FOSTER L B CO CL A	FSTR	60,000	2,377.80	1,972.81	N/A
02/16/12	02/16/12	SELL First In First Out 2FOSTER L B CO CL A	FSTR	51,000	2,021.13	1,672.98	N/A
02/16/12	02/16/12	SELL First In First Out 2FOSTER L B CO CL A	FSTR	230,000	9,112.38	7,545.19	N/A
02/16/12	05/20/11	SELL First In First Out GULFPORT ENERGY CORP COM NEW	GPOR	600,000	17,318.16	21,729.71	4,411.55
02/16/12	05/20/11	SELL First In First Out GULFPORT ENERGY CORP COM NEW	GPOR	100,000	2,886.36	3,621.44	735.08

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short-Term (continued)								
02/16/12	05/20/11	SELL First In First Out	GULFPORT ENERGY CORP COM NEW	GPOR	100,000	2,886.36	3,620.44	734.08
02/16/12	05/20/11	SELL First In First Out	GULFPORT ENERGY CORP COM NEW	GPOR	100,000	2,886.36	3,620.44	734.08
02/16/12	07/18/11	SELL First In First Out	GULFPORT ENERGY CORP COM NEW	GPOR	100,000	3,354.94	3,621.44	266.50
02/16/12	07/18/11	SELL First In First Out	GULFPORT ENERGY CORP COM NEW	GPOR	100,000	3,354.94	3,620.44	265.50
02/16/12	07/18/11	SELL First In First Out	GULFPORT ENERGY CORP COM NEW	GPOR	200,000	6,709.88	7,240.87	530.99
02/16/12	07/18/11	SELL First In First Out	GULFPORT ENERGY CORP COM NEW	GPOR	100,000	3,354.94	3,620.44	265.50
02/16/12	07/18/11	SELL First In First Out	GULFPORT ENERGY CORP COM NEW	GPOR	100,000	3,354.94	3,620.44	265.50
02/16/12	05/20/11	SELL First In First Out	HANOVER INS GROUP INC COM	THG	100,000	4,235.71	4,099.33	-136.28
02/16/12	02/16/12	SELL First In First Out	ITT CORP NEW COM NEW	ITT	100,000	1,985.00	2,243.46	N/A
02/16/12	02/16/12	SELL First In First Out	ITT CORP NEW COM NEW	ITT	600,000	11,908.90	13,460.76	N/A
02/16/12	02/16/12	SELL First In First Out	ITT CORP NEW COM NEW	ITT	300,000	5,955.00	6,730.38	N/A
02/16/12	02/16/12	SELL First In First Out	ITT CORP NEW COM NEW	ITT	100,000	1,985.00	2,243.45	N/A
02/16/12	02/16/12	SELL First In First Out	ICONIX BRAND GROUP INC COM	ICON	100,000	1,817.00	2,104.47	N/A
02/16/12	02/16/12	SELL First In First Out	ICONIX BRAND GROUP INC COM	ICON	100,000	1,817.00	2,102.47	N/A



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Short-Term (continued)								
02/16/12	02/16/12	SELL First In First Out	ICONIX BRAND GROUP INC COM	ICON	1.000	18.17	21.03	N/A
02/16/12	02/16/12	SELL First In First Out	ICONIX BRAND GROUP INC COM	ICON	200.000	3634.00	4204.92	N/A
02/16/12	02/16/12	SELL First In First Out	ICONIX BRAND GROUP INC COM	ICON	200.000	3634.00	4202.92	N/A
02/16/12	02/16/12	SELL First In First Out	ICONIX BRAND GROUP INC COM	ICON	500.000	9,086.56	10,503.25	N/A
02/16/12	02/16/12	SELL First In First Out	ICONIX BRAND GROUP INC COM	ICON	99.000	1798.83	2,080.44	N/A
02/16/12	02/16/12	SELL First In First Out	ITC HLDGS CORP COM	ITC	100.000	4,694.00	7,585.34	N/A
02/16/12	02/16/12	SELL First In First Out	ITC HLDGS CORP COM	ITC	100.000	4,694.00	7,584.33	N/A
02/16/12	02/16/12	SELL First In First Out	ITC HLDGS CORP COM	ITC	350.000	16,430.63	26,542.29	N/A
02/16/12	02/16/12	SELL First In First Out	ITC HLDGS CORP COM	ITC	100.000	4,694.00	7,583.32	N/A
02/16/12	02/16/12	SELL First In First Out	IGHN-BEAN TECHNOLOGIES CORP	JBT	96.600	1382.40	1,766.37	N/A
02/16/12	02/16/12	SELL First In First Out	IGHN-BEAN TECHNOLOGIES CORP	JBT	8.000	115.20	142.28	N/A

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Displacement
Short Term (continued)							
02/16/12	02/16/12	2JOHN BEAN TECHNOLOGIES CORP First In First Out	JBT	92.000	1,324.80	1,635.27	N/A
02/16/12	02/16/12	2JOHN BEAN TECHNOLOGIES CORP First In First Out	JBT	100.000	1,440.00	1,772.47	N/A
02/16/12	02/16/12	2JOHN BEAN TECHNOLOGIES CORP First In First Out	JBT	22.000	316.80	391.05	N/A
02/16/12	02/16/12	2JOHN BEAN TECHNOLOGIES CORP First In First Out	JBT	300.000	4,320.00	5,329.40	N/A
02/16/12	02/16/12	2JOHN BEAN TECHNOLOGIES CORP First In First Out	JBT	75.000	1,080.00	1,333.11	N/A
02/16/12	02/16/12	2JOHN BEAN TECHNOLOGIES CORP First In First Out	JBT	25.000	360.00	444.13	N/A
02/16/12	02/16/12	2JOHN BEAN TECHNOLOGIES CORP First In First Out	JBT	100.000	1,440.00	1,775.47	N/A
02/16/12	02/16/12	2JOHN BEAN TECHNOLOGIES CORP First In First Out	JBT	600.000	8,041.80	10,655.93	N/A
02/16/12	02/16/12	2JOHN BEAN TECHNOLOGIES CORP First In First Out	JBT	7.000	100.80	124.29	N/A
02/16/12	02/16/12	2JOHN BEAN TECHNOLOGIES CORP First In First Out	JBT	75.000	1,080.00	1,332.32	N/A
02/16/12	07/25/11	KADANT INC COM First In First Out	KAI	109.000	3,294.00	2,563.46	-730.54
02/16/12	07/25/11	KADANT INC COM First In First Out	KAI	100.000	3,294.00	2,563.46	-730.54
02/16/12	07/25/11	KADANT INC COM First In First Out	KAI	50.000	1,647.00	1,281.23	-365.77
02/16/12	07/25/11	KADANT INC COM First In First Out	KAI	51.000	1,679.94	1,306.86	-373.08



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short-Term (continued)								
02/16/12	07/25/11	SELL First In First Out	KADANT INC COM	KAI	99,000	3,261.06	2,536.84	-724.22
02/16/12	07/25/11	SELL First In First Out	KADANT INC COM	KAI	1,000	32.94	25.63	-7.31
02/16/12	07/25/11	SELL First In First Out	KADANT INC COM	KAI	100,000	3,294.00	2,561.45	-732.55
02/16/12	07/25/11	SELL First In First Out	KADANT INC COM	KAI	99,000	3,261.06	2,531.88	-729.18
02/16/12	07/25/11	SELL First In First Out	KADANT INC COM	KAI	400,000	13,176.00	10,222.53	-2,953.47
02/16/12	02/16/12	SELL First In First Out	KAISER ALUM CORP COM PAR	KALU	28,000	1,823.08	1,409.92	N/A
02/16/12	02/16/12	SELL First In First Out	KAISER ALUM CORP COM PAR	KALU	72,000	4,687.92	3,624.78	N/A
02/16/12	02/16/12	SELL First In First Out	KAISER ALUM CORP COM PAR	KALU	28,000	1,823.08	1,409.64	N/A
02/16/12	02/16/12	SELL First In First Out	KAISER ALUM CORP COM PAR	KALU	100,000	6,511.00	5,034.41	N/A
02/16/12	02/16/12	SELL First In First Out	KAISER ALUM CORP COM PAR	KALU	10,000	651.10	503.55	N/A
02/16/12	02/16/12	SELL First In First Out	KAISER ALUM CORP COM PAR	KALU	100,000	6,511.00	5,031.41	N/A

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disposal
Short Term (continued)							
02/16/12	02/16/12	SELL KAISER ALUM CORP COM PAR First In First Out	KALU	100,000	6,511.00	5,030.41	N/A
02/16/12	02/16/12	SELL KAISER ALUM CORP COM PAR First In First Out	KALU	100,000	6,511.00	5,030.41	N/A
02/16/12	02/16/12	SELL KAISER ALUM CORP COM PAR First In First Out	KALU	14,000	911.54	704.26	N/A
02/16/12	02/16/12	SELL KAISER ALUM CORP COM PAR First In First Out	KALU	48,000	3,125.28	2,414.12	N/A
02/16/12	02/16/12	SELL KAISER ALUM CORP COM PAR First In First Out	KALU	330,000	21,482.49	16,594.34	N/A
02/16/12	02/16/12	SELL KANSAS CITY SOUTHERN COM NEW First In First Out	KSU	100,000	4,509.00	5,681.38	N/A
02/16/12	02/16/12	SELL KANSAS CITY SOUTHERN COM NEW First In First Out	KSU	200,000	9,018.00	13,362.76	N/A
02/16/12	02/16/12	SELL KANSAS CITY SOUTHERN COM NEW First In First Out	KSU	500,000	22,542.48	33,407.85	N/A
02/16/12	02/16/12	SELL KANSAS CITY SOUTHERN COM NEW First In First Out	KSU	100,000	4,509.00	6,681.37	N/A
02/16/12	02/16/12	SELL MANNING & NAPIER INC CL A COM Versus Purchase	MN	1,300,000	15,952.50	17,584.78	N/A
02/16/12	02/16/12	SELL MCDERMOTT INT'L INC First In First Out	MDR	96,000	611.52	1,313.74	N/A
02/16/12	02/16/12	SELL MCDERMOTT INT'L INC First In First Out	MDR	100,000	637.00	1,368.48	N/A
02/16/12	02/16/12	SELL MCDERMOTT INT'L INC First In First Out	MDR	100,000	637.00	1,368.48	N/A
02/16/12	02/16/12	SELL MCDERMOTT INT'L INC First In First Out	MDR	4,000	25.48	54.74	N/A



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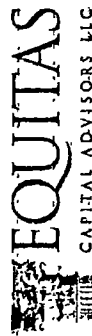
Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)							
02/16/12	02/16/12	SELL First In First Out MCDERMOTT INT'L INC	MDR	440,000	2,804.72	6,022.11	N/A
02/16/12	06/28/11	SELL First In First Out MENS-WEARHOUSE INC.COM	MW	100,000	3,368.92	3,875.43	506.51
02/16/12	09/29/11	SELL First In First Out MENS-WEARHOUSE INC.COM	MW	100,000	3,368.92	3,875.43	506.51
02/16/12	06/28/11	SELL First In First Out MENS-WEARHOUSE INC.COM	MW	330,900	1,117.44	12,789.55	1,672.11
02/16/12	06/28/11	SELL First In First Out MENS-WEARHOUSE INC.COM	MW	100,000	3,368.92	3,875.43	506.51
02/16/12	06/28/11	SELL First In First Out MENS-WEARHOUSE INC.COM	MW	200,000	6,737.84	7,750.65	1,013.01
02/16/12	02/16/12	SELL First In First Out ANCR CORP.COM	NCR	100,000	1,509.00	2,185.47	N/A
02/16/12	02/16/12	SELL First In First Out ANCR CORP.COM	NCR	100,000	1,509.00	2,185.47	N/A
02/16/12	02/16/12	SELL First In First Out ANCR CORP.COM	NCR	400,800	6,276.00	8,741.84	N/A
02/16/12	02/16/12	SELL First In First Out ANCR CORP.COM	NCR	1,080,900	10,943.22	23,594.22	N/A
02/16/12	02/16/12	SELL First In First Out ANCR CORP.COM	NCR	300,000	4,707.00	6,553.37	N/A

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Description	Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Short-Term (continued)								
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	126.000	2,225.54	-2,331.59	-106.05
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	74.000	1,307.06	1,369.35	62.29
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	26.000	459.24	481.13	21.89
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	70.000	1,235.41	1,295.33	59.92
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	63.000	1,112.77	1,164.54	51.77
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	100.000	1,766.30	1,848.47	82.17
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	100.000	1,766.30	1,848.47	82.17
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	100.000	1,766.30	1,848.47	82.17
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	28.000	494.56	517.57	23.01
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	46.000	812.50	850.30	37.80
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	100.000	1,766.30	1,848.47	82.17
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	100.000	1,766.30	1,848.47	82.17
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	650.000	12,187.47	12,738.79	551.32
02/16/12	04/05/11	NEWPORT CORP.	SELL First In First	NEWP	67.000	1,183.42	1,238.46	55.04

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Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short-Term (continued)							
02/16/12	07/18/11	SELL First In First Out OASIS PETE INC NEW COM	OAS	300.000	9,235.26	9,760.31	525.05
02/16/12	07/18/11	SELL First In First Out OASIS PETE INC NEW COM	OAS	100.000	3,078.42	3,253.44	175.02
02/16/12	07/18/11	SELL First In First Out OASIS PETE INC NEW COM	OAS	100.000	3,078.42	3,253.44	175.02
02/16/12	07/18/11	SELL First In First Out OASIS PETE INC NEW COM	OAS	300.000	9,235.26	9,760.89	525.63
02/16/12	08/16/11	SELL First In First Out OASIS PETE INC NEW COM	OAS	200.000	5,115.66	6,507.26	1,391.60
02/16/12	08/16/11	SELL First In First Out OASIS PETE INC NEW COM	OAS	100.000	2,557.83	3,253.44	695.61
02/16/12	08/16/11	SELL First In First Out OASIS PETE INC NEW COM	OAS	100.000	2,557.83	3,253.45	695.62
02/16/12	08/16/11	SELL First In First Out OASIS PETE INC NEW COM	OAS	100.000	2,557.83	3,253.45	695.62
02/16/12	02/16/12	SELL Versus Purchase PATTERSON COS INC COM	PDCO	1,100,000	21,876.80	36,528.03	N/A
02/16/12	02/16/12	SELL Versus Purchase PENSKE AUTOMOTIVE GROUP INC COM	PAG	1,900,000	37,006.34	45,798.67	N/A
02/17/12	12/15/11	SELL First In First Out PORTLAND GEN ELEC CO COM NEW	POR	860,000	21,301.51	21,675.90	374.39

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short-Term (continued)							
02/17/12	03/09/11	SELL REGAL-BELOFT CORP WISCONSIN First In First Out	RBC	200.000	14,485.98	13,859.12	-626.86
02/17/12	02/22/11	SELL STEC INC COM First In First Out	STEC	810.000	17,868.20	8,137.84	-9,730.36
02/17/12	02/22/11	SELL STEC INC COM First In First Out	STEC	100.000	2,205.95	1,004.48	-1,201.47
02/17/12	02/22/11	SELL STEC INC COM First In First Out	STEC	100.000	2,205.95	1,004.48	-1,201.47
02/17/12	02/22/11	SELL STEC INC COM First In First Out	STEC	100.000	2,205.95	1,004.46	-1,201.49
02/17/12	03/10/11	SELL SALLY BEAUTY HLDGS INC COM First In First Out	SBH	1,500.000	19,151.55	35,169.74	16,018.16
02/17/12	03/10/11	SELL SALLY BEAUTY HLDGS INC COM First In First Out	SBH	100.000	1,276.77	2,344.45	1,067.68
02/17/12	12/16/11	SELL SENSIENT TECHNOLOGIES CORP First In First Out	SXT	100.000	3,727.08	3,841.25	114.17
02/17/12	12/16/11	SELL SENSIENT TECHNOLOGIES CORP First In First Out	SXT	100.000	3,727.08	3,841.25	114.17
02/17/12	12/16/11	SELL SENSIENT TECHNOLOGIES CORP First In First Out	SXT	195.000	7,267.80	7,490.82	223.02
02/17/12	12/16/11	SELL SENSIENT TECHNOLOGIES CORP First In First Out	SXT	100.000	3,727.08	3,841.25	114.17
02/17/12	12/16/11	SELL SENSIENT TECHNOLOGIES CORP First In First Out	SXT	100.000	3,727.07	3,841.23	114.16
02/17/12	08/16/11	SELL SMITH A O CORP COMMON First In First Out	AOS	100.000	3,805.48	4,571.42	865.94
02/17/12	08/16/11	SELL SMITH A O CORP COMMON First In First Out	AOS	100.000	3,805.48	4,671.42	865.94

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One Pershing Plaza, Jersey City, NJ 07399
(877) 870-7230
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Short Term (continued)								
02/17/12	08/16/11	SELL First In First Out	SMITH A O CORP COMMON	AOS	100.000	3,805.48	4,671.42	865.94
02/17/12	08/16/11	SELL First In First Out	SMITH A O CORP COMMON	AOS	300.000	11,416.44	14,014.82	2,598.38
02/17/12	11/28/11	SELL First In First Out	SMITH A O CORP COMMON	AOS	100.000	3,663.88	4,671.60	1,007.72
02/17/12	11/28/11	SELL First In First Out	SMITH A O CORP COMMON	AOS	200.000	7,327.76	9,342.82	2,015.06
02/17/12	06/28/11	SELL First In First Out	SUPERVALU INC COM	SVU	100.000	904.84	700.66	-204.18
02/17/12	06/28/11	SELL First In First Out	SUPERVALU INC COM	SVU	100.000	904.84	700.66	-204.18
02/17/12	06/28/11	SELL First In First Out	SUPERVALU INC COM	SVU	2,500.000	22,621.00	17,515.93	-5,105.07
02/17/12	06/28/11	SELL First In First Out	SUPERVALU INC COM	SVU	100.000	904.84	700.66	-204.18
02/17/12	06/28/11	SELL First In First Out	SUPERVALU INC COM	SVU	100.000	904.84	700.66	-204.19
02/17/12	06/28/11	SELL First In First Out	TESORO CORP COM	TSO	100.000	2,190.94	2,782.45	591.51
02/17/12	06/28/11	SELL First In First Out	TESORO CORP COM	TSO	820.000	17,965.71	22,817.73	4,852.02

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
02/17/12	06/28/11	SELL First In First Out	TESORO CORP COM	TSO	100,000	2,190.94	2,782.45	591.51
02/17/12	06/28/11	SELL First In First Out	TESORO CORP COM	TSO	100,000	2,190.94	2,782.44	591.50
02/17/12	06/28/11	SELL First In First Out	UNITED RENTALS INC COM	URI	8,000	193.16	327.08	133.92
02/17/12	06/28/11	SELL First In First Out	UNITED RENTALS INC COM	URI	92,000	2,221.29	3,760.44	1,539.15
02/17/12	06/28/11	SELL First In First Out	UNITED RENTALS INC COM	URI	8,000	193.16	327.00	133.84
02/17/12	06/28/11	SELL First In First Out	UNITED RENTALS INC COM	URI	100,000	2,414.45	4,086.43	1,671.98
02/17/12	06/28/11	SELL First In First Out	UNITED RENTALS INC COM	URI	100,000	2,414.45	4,086.43	1,671.98
02/17/12	06/28/11	SELL First In First Out	UNITED RENTALS INC COM	URI	100,000	2,414.45	4,086.43	1,671.98
02/17/12	06/28/11	SELL First In First Out	UNITED RENTALS INC COM	URI	100,000	2,414.45	4,086.42	1,671.97
02/17/12	06/28/11	SELL First In First Out	UNITED RENTALS INC COM	URI	415,000	10,019.97	16,959.44	6,939.47
02/17/12	06/28/11	SELL First In First Out	UNITED RENTALS INC COM	URI	82,000	1,979.85	3,350.03	1,370.18
02/17/12	05/11/11	SELL First In First Out	VIEWPOINT FINL GROUP INC MD COM	VPG	100,000	1,266.05	1,462.48	196.43
02/17/12	05/11/11	SELL First In First Out	VIEWPOINT FINL GROUP INC MD COM	VPG	400,000	5,064.20	5,849.90	785.70

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Short Term (continued)							
02/17/12	05/11/11	SELL First In First Out VIEWPOINT FINL GROUP INC MD COM	VPFG	100,000	1,266.05	1,462.48	196.43
02/17/12	05/11/11	SELL First In First Out VIEWPOINT FINL GROUP INC MD COM	VPFG	100,000	1,266.05	1,461.48	195.43
02/17/12	05/11/11	SELL First In First Out VIEWPOINT FINL GROUP INC MD COM	VPFG	100,000	1,266.05	1,461.48	195.43
02/17/12	05/11/11	SELL First In First Out VIEWPOINT FINL GROUP INC MD COM	VPFG	100,000	1,266.05	1,460.48	194.43
02/17/12	05/11/11	SELL First In First Out VIEWPOINT FINL GROUP INC MD COM	VPFG	100,000	1,266.05	1,459.48	193.43
02/17/12	05/11/11	SELL First In First Out VIEWPOINT FINL GROUP INC MD COM	VPFG	100,000	1,266.05	1,459.47	193.42
02/17/12	07/13/11	SELL First In First Out VIEWPOINT FINL GROUP INC MD COM	VPFG	800,000	11,127.04	11,677.28	550.24
02/17/12	07/13/11	SELL First In First Out VIEWPOINT FINL GROUP INC MD COM	VPFG	200,000	2,781.76	2,918.93	137.17
02/17/12	09/02/11	SELL First In First Out WADDELL & REED FINL INC CL A	WDR	530,000	15,570.61	16,576.46	1,005.85
02/17/12	09/02/11	SELL First In First Out WADDELL & REED FINL INC CL A	WDR	200,000	5,875.70	6,254.88	379.18
02/17/12	03/23/11	SELL First In First Out WELLCARE HEALTH PLANS INC	WCC	755,000	29,118.54	52,386.15	23,267.61



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Short Term (continued)							
02/17/12	03/23/11	SELL WELL CARE HEALTH PLANS INC First In First Out	WCG	100,000	3,856.76	6,938.37	3,081.61
02/17/12	03/29/11	SELL ZALUCUS INC COM First In First Out	ZLCS	1,550,000	3,859.50	1,559.28	-2,300.22
02/17/12	03/29/11	SELL ZALUCUS INC COM First In First Out	ZLCS	5,170,000	12,873.30	5,200.91	-7,672.39
04/02/12	03/01/12	SELL 1 SHARES TR BARCLAYS MBS BD FD First In First Out	MBB	3,073,000	331,429.51	331,159.56	-269.95
04/30/12	03/02/12	SELL BARCLAYS BK PLC IPATH INDEX LKO SECS First In First Out	GSP	241,000	8,821.40	8,492.30	-329.10
04/30/12	03/01/12	SELL CREDIT SUISSE NASSAU BRH ETN LKO First In First Out	MLPN	1,084,000	27,921.45	27,388.59	-532.86
04/30/12	03/01/12	SELL 1 SHARES INC MSC AUSTRALIA INDEX FD First In First Out	EWA	1,348,000	32,337.66	32,048.27	-289.39
04/30/12	03/01/12	SELL 1 SHARES INC MSC EMERGING MARKETS First In First Out	EBMS	433,000	21,405.34	19,782.02	-1,623.32
04/30/12	03/01/12	SELL 1 SHARES INC MSC JAPAN INDEX FD First In First Out	EWJ	2,710,000	27,243.21	26,273.82	-969.39
04/30/12	03/01/12	SELL 1 SHARES TR IBOX USD INVT GRADE CORP BD First In First Out	LQD	290,000	33,944.44	33,803.50	-140.94
04/30/12	03/02/12	SELL 1 SHARES TR BARCLAYS 20+ TREAS BD FD First In First Out	TLT	312,000	36,557.69	36,690.01	132.32
04/30/12	03/01/12	SELL 1 SHARES TR BARCLAYS 7-10 YR TREAS BD FD First In First Out	IEF	346,000	36,157.73	36,585.60	427.87
04/30/12	03/01/12	SELL 1 SHARES TR RUSSELL MIDCAP INDEX FD First In First Out	IWR	359,000	39,336.28	39,550.91	214.63
04/30/12	03/01/12	SELL 1 SHARES TR RUSSELL 1000 VALUE INDEX FD First In First Out	IWD	597,000	41,201.96	41,355.20	153.24

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
04/30/12	03/01/12	SELL First In First Out	ISHARES TR RUSSELL 1000 GROWTH INDEX FD	IWV	742.000	47,957.02	48,900.41	943.39
04/30/12	03/07/12	SELL First In First Out	ISHARES TR RUSSELL 2000 INDEX FD	IWM	403.000	33,079.09	32,853.96	-225.04
04/30/12	03/01/12	SELL First In First Out	ISHARES TR DOW JONES US REAL ESTATE	IYR	1,331.000	80,438.98	84,630.66	4,191.68
04/30/12	03/07/12	SELL First In First Out	ISHARES TR S&P EUROPE 350 INDEX FD	IEV	312.000	11,832.60	11,315.34	-517.26
04/30/12	03/01/12	SELL First In First Out	ISHARES TR LEHMAN AGENCY BOND FD	ACZ	308.000	34,709.63	34,729.83	20.20
04/30/12	03/01/12	SELL First In First Out	ISHARES TR JPMORGAN USD EMERGING MKTS	EMB	305.000	34,454.33	34,823.53	369.20
04/30/12	03/01/12	SELL First In First Out	ISHARES TR FISE PRAXINAREIT	TFGL	1,133.000	33,380.32	32,986.55	-393.77
04/30/12	03/01/12	SELL First In First Out	ISHARES TR IBOX \$ HIGH YIELD CORP BD	HYG	354.000	32,463.57	32,171.41	-292.16
04/30/12	03/01/12	SELL First In First Out	ISHARES TR BARCLAYS CR BD FD	CFT	323.000	35,671.03	35,654.86	-16.17
04/30/12	03/01/12	SELL First In First Out	ISHARES TR BARCLAYS 3-7 YR TREAS BD FD	IEI	285.000	34,677.36	34,878.91	201.55
04/30/12	03/02/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMU	660.000	27,043.46	26,523.41	-520.05

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Displacement
Short-Term (continued)								
05/26/12	02/16/12	TIN First In First Out	BROADRIDGE FINL SOLUTIONS INC COM	BR	-300.000	-6,830.40	7,306.37	475.97
05/26/12	02/16/12	TIN First In First Out	BROADRIDGE FINL SOLUTIONS INC COM	BR	-100.000	2,276.80	2,435.46	158.66
05/26/12	02/16/12	TIN First In First Out	BROADRIDGE FINL SOLUTIONS INC COM	BR	-94.000	2,140.19	2,289.33	149.14
05/26/12	02/16/12	TIN First In First Out	BROADRIDGE FINL SOLUTIONS INC COM	BR	-6.000	136.61	146.13	9.52
05/31/12	03/02/12	SELL First In First Out	BARCLAYS-BK PLC IPATH INDEX LK0 SECS	CSP	-20,510.000	750,733.95	624,552.42	-126,181.53
05/31/12	04/02/12	SELL First In First Out	BARCLAYS-BK PLC IPATH INDEX LK0 SECS of a wash sale	CSP	241.000	-9,030.17	7,338.72	-1,691.45
05/31/12	04/02/12	SELL First In First Out	BARCLAYS-BK PLC IPATH INDEX LK0 SECS	CSP	157.000	5,668.33	4,780.82	-887.51
05/31/12	03/01/12	SELL First In First Out	CREDIT SUISSE NASSAU BRH ETN LK0	MLPN	19,184.000	494,137.49	442,469.04	-51,668.45
05/31/12	04/02/12	SELL First In First Out	CREDIT SUISSE NASSAU BRH ETN LK0 60 day(s) added to your holding period as a result of a wash sale	MLPN	580.000	14,650.89	13,377.40	-1,273.29
05/31/12	03/01/12	SELL First In First Out	ISHARES INC MSCI AUSTRALIA INDEX FD	EWA	4,507.000	108,120.05	92,551.39	-15,568.66
05/31/12	04/02/12	SELL First In First Out	ISHARES INC MSCI AUSTRALIA INDEX FD 60 day(s) added to your holding period as a result of a wash sale	EWA	-607.000	14,513.41	12,464.77	-2,048.64
05/31/12	03/01/12	SELL First In First Out	ISHARES INC MSCI EMERGING MARKETS	EEMS	3,369.000	166,546.43	139,633.20	-26,913.23
05/31/12	04/02/12	SELL First In First Out	ISHARES INC MSCI EMERGING MARKETS 60 day(s) added to your holding period as a result of a wash sale	EEMS	304.000	15,513.09	12,599.23	-2,913.36
05/31/12	03/01/12	SELL First In First Out	ISHARES INC MSCI BRIC INDEX FD	BKF	13,965.000	618,021.08	485,647.65	-132,373.43



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Displacement
Short-Term (continued)								
05/31/12	04/02/12	SELL First In First Out	ISHARES INC MSCI BRIC INDEX FD	BKF	397,000	14,378.84	12,067.29	-2,311.55
05/31/12	04/30/12	SELL First In First Out	ISHARES INC MSCI BRIC INDEX FD	BKF	494,000	19,754.92	17,179.37	-2,575.55
05/31/12	03/01/12	SELL First In First Out	ISHARES INC MSCI JAPAN INDEX FD	EWJ	23,629,000	237,536.71	208,995.81	-28,544.90
05/31/12	04/02/12	SELL First In First Out	ISHARES INC MSCI JAPAN INDEX FD	EWJ	1,412,000	14,899.18	12,488.86	-2,410.32
05/31/12	03/01/12	SELL First In First Out	ISHARES TR MSCI EMERGING MKTS INDEX	EEM	16,968,000	761,269.32	631,959.66	-129,309.66
05/31/12	04/02/12	SELL First In First Out	ISHARES TR MSCI EMERGING MKTS INDEX	EEM	330,000	14,377.50	12,290.59	-2,086.91
05/31/12	04/30/12	SELL First In First Out	ISHARES TR MSCI EMERGING MKTS INDEX	EEM	66,000	2,792.56	2,458.11	-334.45
05/31/12	03/01/12	SELL First In First Out	ISHARES TR RUSSELL MIDCAP INDEX FD	IWR	3,319,000	363,668.81	339,051.46	-24,617.35
05/31/12	04/02/12	SELL First In First Out	ISHARES TR RUSSELL MIDCAP INDEX FD	IWR	129,000	14,420.17	13,177.96	-1,242.21
05/31/12	03/01/12	SELL First In First Out	ISHARES TR RUSSELL 1000 VALUE INDEX FD	IWD	6,903,000	476,410.54	447,338.89	-29,071.65
05/31/12	04/02/12	SELL First In First Out	ISHARES TR RUSSELL 1000 VALUE INDEX FD	IWD	203,000	14,359.91	13,155.12	-1,204.79

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short-Term (continued)								
05/31/12	03/01/12	SELL First In First Out	ISHARES TR-RUSSELL 2000 INDEX FD	IWM	1,714,000	140,688.72	128,968.58	-11,720.14
05/31/12	04/02/12	SELL First In First Out	ISHARES TR-RUSSELL 2000 INDEX FD 60 day(s) added to your holding period as a result of a wash sale.	IWM	172,000	14,486.80	12,942.01	-1,544.79
05/31/12	03/01/12	SELL First In First Out	ISHARES TR S&P EUROPE 350 INDEX FD	IEV	17,738,000	572,713.65	569,120.21	-103,593.44
05/31/12	04/02/12	SELL First In First Out	ISHARES TR S&P EUROPE 350 INDEX FD 60 day(s) added to your holding period as a result of a wash sale.	IEV	312,000	12,371.72	10,010.46	-2,361.26
05/31/12	04/02/12	SELL First In First Out	ISHARES TR S&P EUROPE 350 INDEX FD	IEV	67,000	2,545.67	2,149.68	-395.99
05/31/12	04/30/12	SELL First In First Out	ISHARES TR S&P CITIGROUP INTL	IGOV	3,999,000	403,848.28	389,063.17	-14,785.11
05/31/12	03/01/12	SELL First In First Out	ISHARES TR JPMORGAN USD EMERGING MKTS BD	EMB	936,000	105,735.24	103,458.90	2,276.34
05/31/12	04/02/12	SELL First In First Out	ISHARES TR JPMORGAN USD EMERGING MKTS BD	EMB	128,000	14,424.99	14,148.23	276.76
05/31/12	03/01/12	SELL First In First Out	ISHARES TR FTSE EPRA/NAREIT	IFGL	24,605,000	724,909.86	659,331.84	-65,578.02
05/31/12	04/02/12	SELL First In First Out	ISHARES TR FTSE EPRA/NAREIT 60 day(s) added to your holding period as a result of a wash sale.	IFGL	496,000	14,589.14	13,291.14	-1,298.00
05/31/12	03/01/12	SELL First In First Out	ISHARES TR IBXX \$ HIGH YIELD CORP BD	HYG	1,176,000	107,845.08	103,727.58	-4,117.50
05/31/12	04/02/12	SELL First In First Out	ISHARES TR IBXX \$ HIGH YIELD CORP BD 60 day(s) added to your holding period as a result of a wash sale.	HYG	159,000	14,519.59	14,024.39	-495.20
05/31/12	03/02/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	12,107,000	496,083.54	441,127.35	-54,956.19
05/31/12	04/02/12	SELL First In First Out	JPMORGAN CHASE & CO ALERIAN MLP INDEX 59 day(s) added to your holding period as a result of a wash sale.	AMJ	365,000	14,770.72	13,299.04	-1,471.68



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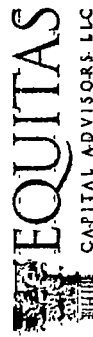
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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Realizance
Short Term (continued)							
06/29/12	03/01/12	SELL 154,897.06 USD INVT-GRADE CORP BD First In First Out	LQD	154,897.06	154,897.06	742.47	
06/29/12	04/02/12	SELL 14,495.60 USD INVT-GRADE CORP BD First In First 60-day(s) added to your holding period as a result of a wash sale	LQD	14,495.60	14,701.70	206.10	
06/29/12	05/31/12	SELL 22,228.96 USD INVT-GRADE CORP BD First In First Out	LQD	189,000	22,054.41	22,228.96	174.55
06/29/12	03/02/12	SELL 19,439.10 USD TREAS-BD FD First In First Out	TLT	155,600	19,161.60	19,439.10	1,277.42
06/29/12	03/01/12	SELL 456,543.39 USD RUSSELL 1000-GROWTH INDEX FD First In First Out	IWF	7,267,000	469,681.47	456,543.39	0.00
06/29/12	04/02/12	SELL 13,570.03 USD RUSSELL 1000-GROWTH INDEX FD First In First Out	IWF	216,000	14,417.01	13,570.03	-846.98
06/29/12	05/31/12	SELL 456,543.39 USD RUSSELL 1000-GROWTH INDEX FD First In First 120-day(s) added to your holding period as a result of a wash sale	IWF	7,267,000	461,327.86	456,543.39	-4,784.47
06/29/12	05/31/12	SELL 13,570.03 USD RUSSELL 1000-GROWTH INDEX FD First In First 88-day(s) added to your holding period as a result of a wash sale	IWF	216,000	14,168.71	13,570.03	-598.68
06/29/12	05/31/12	SELL 176,942.92 USD RUSSELL 1000-GROWTH INDEX FD First In First Out	IWF	2,816,000	173,675.86	176,942.92	3,267.06
06/29/12	03/01/12	SELL 47,740.74 USD DOW JONES U.S. REAL ESTATE First In First Out	IWR	750,000	45,326.25	47,740.74	2,414.49
07/31/12	05/31/12	SELL 303,440.39 USD INVT-GRADE CORP BD First In First Out	LQD	2,506,000	292,425.09	303,440.39	11,015.30

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Short-Term (continued)								
07/31/12	03/02/12	SELL First In First Out	ISHARES-TR BARCLAYS 20+ TREAS BD FD	TLF	1,953,000	-228,837.11	-253,393.53	24,556.42
07/31/12	04/02/12	SELL First In First Out	ISHARES-TR BARCLAYS 20+ TREAS BD FD	TLT	127,000	14,335.23	16,477.72	2,142.49
07/31/12	05/11/12	SELL First In First Out	ISHARES-TR BARCLAYS 20+ TREAS BD FD	TLT	1,465,000	186,661.93	190,077.58	3,415.65
07/31/12	03/07/12	SELL First In First Out	ISHARES-TR BARCLAYS 7-10 YR TREAS BD FD	1EF	627,000	65,522.82	68,507.10	2,984.28
07/31/12	03/10/12	SELL First In First Out	ISHARES-TR DOW JONES U S REAL ESTATE	IVR	3,310,000	200,039.85	-216,992.89	-16,953.04
07/31/12	03/10/12	SELL First In First Out	ISHARES-TR LEHMAN-AGENCY BOND FD	AGZ	569,000	64,122.66	64,780.57	657.91
07/31/12	06/29/12	SELL First In First Out	ISHARES-TR JPMORGAN USD EMERGING MKTS	EMB	936,000	109,658.45	111,006.93	1,348.48
07/31/12	06/29/12	SELL First In First Out	ISHARES-TR JPMORGAN USD EMERGING MKTS	EMB	128,000	14,961.49	15,180.43	-218.94
07/31/12	06/29/12	SELL First In First Out	ISHARES-TR JPMORGAN USD EMERGING MKTS	EMB	2,804,000	321,687.45	332,546.41	10,858.96
07/31/12	06/29/12	SELL First In First Out	ISHARES-TR IBOX \$ HIGH-YIELD CORP BD	HYG	1,176,000	111,127.26	108,093.39	-3,033.87
07/31/12	06/29/12	SELL First In First Out	ISHARES-TR IBOX \$ HIGH-YIELD CORP BD	HYG	50,000	-4,705.46	4,595.81	-109.65
07/31/12	04/30/12	SELL First In First Out	ISHARES-TR BARCLAYS MBS BD FD	MBB	2,858,000	309,872.68	311,443.88	1,571.20
07/31/12	05/21/12	SELL First In First Out	ISHARES-TR BARCLAYS MBS BD FD	MBB	585,000	63,484.57	63,749.01	264.44



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Schedule of Realized Gains and Losses (continued)

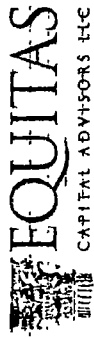
Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
07/31/12	03/01/12	SELL First In First Out	ISHARES TR BARCLAYS CR BD FD	CFT	2,245,000	247,930.25	255,297.41	7,367.16
07/31/12	04/02/12	SELL First In First Out	ISHARES TR BARCLAYS CR BD FD 60-day(s) added to your holding period as a result of a wash sale	CFT	131,000	13,371.18	14,897.09	525.91
07/31/12	05/31/12	SELL First In First Out	ISHARES TR BARCLAYS CR BD FD	CFT	3,492,000	385,804.44	397,104.04	11,299.60
07/31/12	03/01/12	SELL First In First Out	ISHARES TR BARCLAYS 3-7 YR TREAS BD FD	IEI	532,000	64,731.10	65,812.94	1,081.84
09/04/12	07/31/12	SELL First In First Out	BARCLAYS BK PLC IPATH INDEX LKD TO	DIP	3,653,000	158,241.38	159,395.48	1,154.10
09/04/12	07/31/12	SELL First In First Out	ISHARES INC MSCI AUSTRALIA INDEX FD	EWA	6,027,000	140,567.41	138,587.25	-1,979.66
09/04/12	05/31/12	SELL First In First Out	ISHARES TR IBOXX USD INVT GRADE CORP BD	LQD	1,228,000	143,295.30	147,529.09	4,233.79
09/04/12	05/31/12	SELL First In First Out	ISHARES TR BARCLAYS 20+ TREAS BD FD	TLT	1,101,000	140,283.73	139,645.92	-637.21
09/04/12	03/01/12	SELL First In First Out	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	IEF	828,000	86,527.74	89,916.25	3,388.51
09/04/12	04/02/12	SELL First In First Out	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	IEF	139,000	14,396.35	15,094.64	698.29
09/04/12	05/31/12	SELL First In First Out	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	IEF	393,000	42,650.14	42,677.64	27.50



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short-Term (continued)								
09/04/12	07/31/12	SELL First In First Out	ISHARES TR RUSSELL 1000 VALUE INDEX FD	RWD	2,327,000	461,155.87	462,997.50	1,841.63
09/04/12	03/01/12	SELL First In First Out	ISHARES TR DOW JONES U.S. REAL ESTATE	MYR	2,292,000	138,517.02	150,304.74	11,787.72
09/04/12	07/31/12	SELL First In First Out	ISHARES TR S&P EUROPE 350 INDEX FD	IEV	5,136,000	174,802.79	181,225.17	6,422.38
09/04/12	03/01/12	SELL First In First Out	ISHARES TR LEHMAN AGENCY BOND FD	ACZ	792,000	89,253.33	90,098.41	845.08
09/04/12	04/02/12	SELL First In First Out	ISHARES TR LEHMAN AGENCY BOND FD	ACZ	128,000	14,407.29	14,561.36	154.07
09/04/12	05/31/12	SELL First In First Out	ISHARES TR LEHMAN AGENCY BOND FD	ACZ	388,000	44,065.26	44,139.12	73.86
09/04/12	06/29/12	SELL First In First Out	ISHARES TR JPMORGAN USD EMERGING MKTS	EMB	1,269,000	145,585.37	151,220.68	5,635.31
09/04/12	07/31/12	SELL First In First Out	ISHARES TR FTSE EPRA/NAREIT	IFGL	4,932,000	147,464.68	146,956.88	-507.80
09/04/12	06/29/12	SELL First In First Out	ISHARES TR IBOWX \$ HIGH YIELD CORP BD	HYG	109,000	10,257.90	9,994.80	-263.10
09/04/12	06/29/12	SELL First In First Out	ISHARES TR IBOWX \$ HIGH YIELD CORP BD	HYG	1,513,000	137,674.98	138,735.13	1,060.15
09/04/12	05/31/12	SELL First In First Out	ISHARES TR BARCLAYS MBS BD FD	MBB	1,365,000	148,130.65	148,652.76	522.11
09/04/12	05/31/12	SELL First In First Out	ISHARES TR BARCLAYS CR BD FD	CFT	1,263,000	135,539.24	143,266.34	7,727.10
09/04/12	03/01/12	SELL First In First Out	ISHARES TR BARCLAYS 3-7 YR TREAS BD FD	IEI	728,000	86,579.40	89,969.28	3,389.88
09/04/12	04/02/12	SELL First In First Out	ISHARES TR BARCLAYS 3-7 YR TREAS BD FD	IEI	119,000	14,431.26	14,706.52	275.26

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short-Term (continued)							
09/04/12	05/31/12	SELL 15 SHARES TR BARCLAYS 3-YR TREAS-BD FD First In First Out	IEI	363.000	44,752.29	44,861.06	108.77
09/04/12	07/31/12	SELL 15000 SHARES TR MORGAN CHASE & CO ALTERN MILP INDEX First In First Out	AML	3,753.000	148,862.75	148,756.52	-106.23
09/28/12	07/31/12	SELL 15000 SHARES TR BARCLAYS BK PLC IPATH INDEX LKQ TQ First In First Out	DJP	4,532.000	196,318.08	199,562.14	3,244.06
09/28/12	09/04/12	SELL 15000 SHARES TR BARCLAYS BK PLC IPATH INDEX LKQ TQ First In First Out	GSP	5,331.000	186,145.05	183,142.92	-3,002.13
09/28/12	07/31/12	SELL 15000 SHARES TR MSCI AUSTRALIA INDEX FD First In First Out	EWA	4,843.000	112,953.05	115,010.20	2,057.15
09/28/12	05/31/12	SELL 15000 SHARES TR IBXX USD INV GRADE CORP BD First In First Out	LQD	977.000	114,006.11	118,939.15	4,933.04
09/28/12	05/31/12	SELL 15000 SHARES TR BARCLAYS 20+YR TREAS-BD FD First In First Out	TLT	994.000	126,649.80	123,826.63	-2,823.17
09/28/12	05/31/12	SELL 15000 SHARES TR BARCLAYS 7-10YR TREAS-BD FD First In First Out	IEF	1,062.000	115,253.03	115,367.92	114.89
09/28/12	09/04/12	SELL 15000 SHARES TR RUSSELL MIDCAP INDEX FD First In First Out	MWR	1,373.000	150,374.93	151,551.17	1,176.24
09/28/12	07/31/12	SELL 15000 SHARES TR RUSSELL 1000 VALUE INDEX FD First In First Out	IWD	2,469.000	170,990.65	177,616.53	6,626.48
09/28/12	09/04/12	SELL 15000 SHARES TR RUSSELL 1000 GROWTH INDEX FD First In First Out	IWF	2,586.000	169,421.79	172,219.45	2,797.66

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)							
09/28/12	09/04/12	SELL First In First Out ISHARES TR RUSSELL 2000-INDEX FD	IWM	1,423,000	116,062.73	118,886.41	2,823.68
09/28/12	03/01/12	SELL First In First Out ISHARES TR DOW JONES U.S. REAL ESTATE	IYR	2,828,000	170,910.18	182,029.47	11,119.29
09/28/12	07/31/12	SELL First In First Out ISHARES TR S&P EUROPE 350 INDEX FD	IEV	5,828,000	198,354.89	213,226.61	14,871.72
09/28/12	09/04/12	SELL First In First Out ISHARES TR S&P/CITIGROUP INTL	IGOV	1,539,000	155,461.01	157,997.89	2,536.88
09/28/12	05/31/12	SELL First In First Out ISHARES TR LEHMAN-AGENCY BOND FD	AGZ	1,019,000	115,728.09	116,229.72	501.63
09/28/12	06/29/12	SELL First In First Out ISHARES TR JPMORGAN USD EMERGING MKTS	EMB	927,000	106,349.59	112,200.71	5,851.12
09/28/12	07/31/12	SELL First In First Out ISHARES TR FTSE EPRA/NAREIT	IFGI	7,414,000	221,675.41	230,441.92	8,766.51
09/28/12	06/29/12	SELL First In First Out ISHARES TR BOX 5 HIGH YIELD CORP BD	HYG	1,199,000	109,102.64	110,915.03	1,812.39
09/28/12	05/31/12	SELL First In First Out ISHARES TR BARCLAYS MBS BD FD	MBS	1,254,000	136,084.86	136,852.42	767.56
09/28/12	05/31/12	SELL First In First Out ISHARES TR BARCLAYS CR BD FD	CFT	1,152,000	127,275.69	131,135.58	3,859.89
09/28/12	05/31/12	SELL First In First Out ISHARES TR BARCLAYS 3-7 YR TREAS BD FD	IEI	933,000	115,024.48	115,442.86	418.38
09/28/12	07/31/12	SELL First In First Out JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	4,274,000	169,528.21	171,199.86	1,671.65
10/31/12	09/04/12	SELL First In First Out BARCLAYS BK PLC IPATH INDEX UKD SECS	GSP	16,732,000	584,239.17	552,259.95	31,979.23
10/31/12	09/28/12	SELL First In First Out ISHARES INC MSCI JAPAN INDEX FD	EWJ	19,033,000	175,008.44	171,959.29	3,049.15

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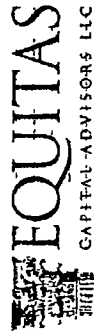
Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disaffirmance
Short-Term (continued)								
10/31/12	05/31/12	SELL First In First Out	ISHARES TR BARCLAYS 20+ YR TREAS BD FD	TLT	1,520,000	193,669.12	187,101.26	0.00 -6,568.46
11/30/12	07/31/12	SELL First In First Out	BARCLAYS BK PLC PATH INDEX USD TO	DIP	267,000	11,565.96	11,307.07	0.00 -258.89
11/30/12	07/31/12	SELL First In First Out	ISHARES INC MSCI AUSTRALIA INDEX FD	EWA	364,000	8,489.55	9,081.42	591.87
11/30/12	09/28/12	SELL First In First Out	ISHARES INC MSCI EMERGING MARKETS	EEMS	310,000	14,215.47	14,296.20	80.73
11/30/12	09/28/12	SELL First In First Out	ISHARES INC MSCI BRIC INDEX FD	BKF	257,000	9,720.18	9,812.57	92.39
11/30/12	09/28/12	SELL First In First Out	ISHARES TR MSCI EMERGING MKTS INDEX	EEM	525,000	21,784.87	21,943.43	158.56
11/30/12	05/31/12	SELL First In First Out	ISHARES TR IBOX USD INTV GRADE CORP BD	LQD	55,000	6,417.95	6,711.78	293.83
11/30/12	05/31/12	SELL First In First Out	ISHARES TR BARCLAYS 7-10 YR TREAS BD FD	IEF	90,000	9,767.21	9,784.21	17.00
11/30/12	09/04/12	SELL First In First Out	ISHARES TR RUSSELL-MIDCAP INDEX FD	IWR	129,000	14,128.45	14,337.18	208.73
11/30/12	07/31/12	SELL First In First Out	ISHARES TR RUSSELL 1000 VALUE INDEX FD	IWD	190,000	13,158.41	13,598.82	440.41
11/30/12	09/04/12	SELL First In First Out	ISHARES TR RUSSELL 1000 GROWTH INDEX FD	IWF	310,000	20,309.65	20,380.41	70.76

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
11/30/12	09/04/12	SELL	ISHARES TR RUSSELL 2000 INDEX FD	IWM	110,000	8,971.82	9,007.26	35.44
		First In First Out						
11/30/12	03/01/12	SELL	ISHARES TR DOW JONES US REAL ESTATE	IYR	2,093,000	126,290.46	132,853.97	6,563.51
		First In First Out						
11/30/12	04/02/12	SELL	ISHARES TR DOW JONES US REAL ESTATE	IYR	230,000	14,398.59	14,599.34	200.75
		First In First Out						
11/30/12	05/31/12	SELL	ISHARES TR DOW JONES US REAL ESTATE	IYR	6,629,000	405,917.84	420,778.50	16,860.66
		First In First Out						
11/30/12	10/31/12	SELL	ISHARES TR DOW JONES US REAL ESTATE	IYR	660,000	41,899.74	41,893.75	-5.99
		First In First Out						
11/30/12	07/31/12	SELL	ISHARES TR S&P EUROPE 350 INDEX FD	IEV	618,000	21,033.51	23,439.81	2,406.30
		First In First Out						
11/30/12	09/04/12	SELL	ISHARES TR S&P CITIGROUP INTL	IGOV	88,000	8,889.26	9,037.96	148.70
		First In First Out						
11/30/12	05/31/12	SELL	ISHARES TR LEHMANN AGENCY BOND FD	ACZ	72,000	8,177.06	8,187.44	10.38
		First In First Out						
11/30/12	06/29/12	SELL	ISHARES TR JPMORGAN USD EMERGING MKTS	EMB	73,000	8,374.89	8,944.48	569.59
		First In First Out						
11/30/12	07/31/12	SELL	ISHARES TR FTSE EPRA/NAREIT	IFCL	767,000	22,932.97	24,944.21	2,011.24
		First In First Out						
11/30/12	06/29/12	SELL	ISHARES TR IBOX \$ HIGH YIELD CORP BD	HYG	89,000	8,098.53	8,267.43	168.90
		First In First Out						
11/30/12	05/31/12	SELL	ISHARES TR BARCLAYS MBS BD FD	MBB	2,011,000	218,234.98	217,760.51	-390.00
		First In First Out						-84.473
11/30/12	06/29/12	SELL	ISHARES TR BARCLAYS MBS BD FD	MBB	89,000	9,661.15	9,637.34	-23.81
		First In First Out						
11/30/12	10/31/12	SELL	ISHARES TR BARCLAYS MBS BD FD	MBB	358,000	39,036.99	38,765.92	-271.07
		First In First Out						
		SELL	183 day(s) added to your holding period as a result of a wash sale					



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Schedule of Realized Gains and Losses (continued)

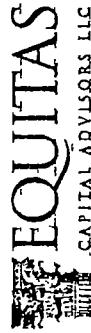
Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disinvolvement
Short Term (continued)							
11/30/12	05/31/12	SELL 15 SHARES TR BARCLAYS CR BD FD First In First Out	CFT	72,000	7,954.73	8,217.32	262.59
11/30/12	05/31/12	SELL 15 SHARES TR BARCLAYS 3-YR TREAS BD FD First In First Out	TEI	57,000	8,236.06	8,236.89	76.83
12/31/12	07/31/12	SELL BARCLAYS BK PLC IPATH INDEX LKO TO First In First Out	DIP	12,805,000	554,689.53	526,043.28	-28,646.25
12/31/12	10/31/12	SELL BARCLAYS BK PLC IPATH INDEX LKO TO First In First Out	DIP	267,000	11,618.22	10,968.65	-649.57
12/31/12	10/31/12	SELL BARCLAYS BK PLC IPATH INDEX LKO TO First In First Out	DIP	1,045,000	44,458.79	42,929.73	-1,529.06
12/31/12	11/30/12	SELL BARCLAYS BK PLC IPATH INDEX LKO SECS First In First Out	GSP	16,732,000	591,577.65	553,014.46	-38,563.19
12/31/12	11/30/12	SELL BARCLAYS BK PLC IPATH INDEX LKO SECS First In First Out	GSP	1,195,000	39,966.34	39,496.31	-470.23
12/31/12	09/28/12	SELL CREDIT SUISSE NASSAU BRH ETN LKO First In First Out	MLPN	152,700,000	381,221.13	362,103.50	-19,117.63
12/31/12	10/31/12	SELL CREDIT SUISSE NASSAU BRH ETN LKO First In First Out	MLPN	1,393,000	34,896.74	33,032.76	-1,863.98
12/31/12	11/30/12	SELL CREDIT SUISSE NASSAU BRH ETN LKO First In First Out	MLPN	498,000	12,131.12	11,809.26	-321.86
12/31/12	11/30/12	SELL 15 SHARES TR BARCLAYS 20+ TREAS BD FD First In First Out	TEI	1,520,000	196,582.73	185,921.11	-10,661.62



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Short Term (continued)								
12/31/12	11/30/12	SELL	ISHARES TR BARCLAYS 20+-TREAS BB FD	TLT	243,000	30,377.28	29,722.92	-654.36
		First In First Out						
12/31/12	09/04/12	SELL	ISHARES TR RUSSELL 1000 GROWTH INDEX FD	IWF	5,372,000	351,946.58	346,717.62	-5,228.96
		First In First Out						
12/31/12	10/31/12	SELL	ISHARES TR RUSSELL 1000 GROWTH INDEX FD	IWF	801,000	51,836.16	51,697.84	-138.32
		First In First Out						
12/31/12	05/31/12	SELL	ISHARES TR LEHMAN AGENCY BOND FD	ACZ	989,000	100,963.95	100,824.23	-139.73
		First In First Out						
12/31/12	06/29/12	SELL	ISHARES TR LEHMAN AGENCY BOND FD	ACZ	38,000	4,322.62	4,309.70	-12.92
		First In First Out						
12/31/12	10/31/12	SELL	ISHARES TR LEHMAN AGENCY BOND FD	ACZ	341,000	38,751.01	38,673.86	-77.15
		First In First Out						
12/31/12	07/31/12	SELL	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	9,490,000	376,420.85	360,297.84	-16,123.01
		First In First Out						
12/31/12	10/31/12	SELL	JPMORGAN CHASE & CO ALERIAN MLP INDEX	AMJ	845,000	34,133.70	32,081.31	-2,052.39
		First In First Out						
Total Short Term							23,832,120.10	\$23,215,032.54
Long Term								-47,087.62
02/16/12	04/29/08	SELL	GLOBAL INDEMNITY PLC SHS	GBLI	100,000	3,354.54	2,011.47	-1,343.07
		First In First Out						
02/16/12	04/29/08	SELL	GLOBAL INDEMNITY PLC SHS	GBLI	100,000	3,354.54	2,011.47	-1,343.07
		First In First Out						
02/16/12	04/29/08	SELL	GLOBAL INDEMNITY PLC SHS	GBLI	100,000	3,354.54	2,011.47	-1,343.07
		First In First Out						
02/16/12	04/29/08	SELL	GLOBAL INDEMNITY PLC SHS	GBLI	100,000	3,354.54	2,011.47	-1,343.07
		First In First Out						
02/16/12	04/29/08	SELL	GLOBAL INDEMNITY PLC SHS	GBLI	400,000	13,418.16	8,018.60	-5,399.56
		First In First Out						

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Long Term (continued)								
02/16/12	04/29/08*	SELL First In First Out	GLOBAL INDEMNITY PLC SHS.	G8LI	100.000	3,353.54	2,004.46	-1,350.08
02/16/12	07/25/08*	SELL First In First Out	HELEN OF TROY LTD ORD NEW	HELE	300.000	6,241.29	9,958.32	3,717.03
02/16/12	07/25/08*	SELL First In First Out	HELEN OF TROY LTD ORD NEW	HELE	100.000	2,080.43	3,519.44	1,239.01
02/16/12	07/25/08*	SELL First In First Out	HELEN OF TROY LTD ORD NEW	HELE	100.000	2,080.43	3,518.44	1,238.01
02/16/12	07/25/08*	SELL First In First Out	HELEN OF TROY LTD ORD NEW	HELE	100.000	2,080.43	3,517.44	1,237.01
02/16/12	07/25/08*	SELL First In First Out	HELEN OF TROY LTD ORD NEW	HELE	525.000	10,922.25	17,412.32	6,490.07
02/16/12	07/25/08*	SELL First In First Out	HELEN OF TROY LTD ORD NEW	HELE	100.000	2,080.43	3,516.42	1,235.99
02/16/12	04/29/08*	SELL First In First Out	ADVANCED ENERGY INDS COM	AEIS	100.000	1,427.24	1,721.48	-305.76
02/16/12	04/29/08*	SELL First In First Out	ADVANCED ENERGY INDS COM	AEIS	1.900	14.27	11.22	-3.05
02/16/12	04/29/08*	SELL First In First Out	ADVANCED ENERGY INDS COM	AEIS	200.000	2,854.48	2,242.96	-611.52
02/16/12	04/29/08*	SELL First In First Out	ADVANCED ENERGY INDS COM	AEIS	199.000	2,840.21	2,231.75	-608.46

Schedule of Realized Gains and Losses (continued)

Dispositions Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)							
02/16/12	04/29/08*	SELL First In First Out ADVANCED ENERGY INDS COM	AEIS	1,000	14,227	11,222	-3,005
02/16/12	04/29/08*	SELL First In First Out ADVANCED ENERGY INDS COM	AEIS	1,000,000	1,427,241	1,121,481	-305,760
02/16/12	04/29/08*	SELL First In First Out ADVANCED ENERGY INDS COM	AEIS	100,000	1,427,241	1,121,481	-305,760
02/16/12	04/29/08*	SELL First In First Out ADVANCED ENERGY INDS COM	AEIS	100,000	1,427,241	1,121,481	-305,760
02/16/12	04/29/08*	SELL First In First Out ADVANCED ENERGY INDS COM	AEIS	100,000	1,427,241	1,121,481	-305,760
02/16/12	04/29/08*	SELL First In First Out ADVANCED ENERGY INDS COM	AEIS	100,000	1,427,241	1,121,481	-305,760
02/16/12	04/29/08*	SELL First In First Out ADVANCED ENERGY INDS COM	AEIS	825,000	11,774,731	9,245,531	-2,529,200
02/16/12	04/29/08*	SELL First In First Out ADVANCED ENERGY INDS COM	AEIS	100,000	1,427,241	1,120,481	-306,760
02/16/12	04/29/08*	SELL First In First Out ADVANCED ENERGY INDS COM	AEIS	99,000	1,412,971	1,109,271	-303,700
02/16/12	04/29/08*	SELL First In First Out ADVANCED ENERGY INDS COM	AEIS	100,000	1,427,241	1,120,461	-306,780
02/16/12	02/25/10*	SELL First In First Out AMERICAN CAPITAL LTD COM	ACAS	2,270,000	9,597,781	20,016,261	10,418,480
02/16/12	07/30/08*	SELL First In First Out AMERISAFE INC COM	AMSF	100,000	1,826,971	2,385,461	558,490
02/16/12	07/30/08*	SELL First In First Out AMERISAFE INC COM	AMSF	100,000	1,826,971	2,385,461	558,490
02/16/12	07/30/08*	SELL First In First Out AMERISAFE INC COM	AMSF	100,000	1,826,971	2,385,461	558,490



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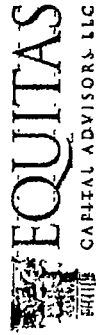
Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Long Term (continued)								
02/16/12	07/30/08	SELL First In First Out	AMERISAFE INC COM	AMSF	590.000	10,779.12	14,069.42	3,290.30
02/16/12	07/30/08	SELL First In First Out	AMERISAFE INC COM	AMSF	200.000	3,653.94	4,768.91	1,114.97
02/16/12	07/30/08	SELL First In First Out	AMERISAFE INC COM	AMSF	200.000	3,653.94	4,768.91	1,114.97
02/16/12	07/30/08	SELL First In First Out	AMERISAFE INC COM	AMSF	100.000	1,826.97	2,384.45	557.48
02/16/12	08/26/10	SELL First In First Out	ANDERSONS INC COM	ANDE	100.000	3,640.10	4,190.43	550.33
02/16/12	08/26/10	SELL First In First Out	ANDERSONS INC COM	ANDE	100.000	3,640.10	4,189.43	549.33
02/16/12	08/26/10	SELL First In First Out	ANDERSONS INC COM	ANDE	400.000	14,560.40	16,758.46	2,198.06
02/16/12	08/26/10	SELL First In First Out	ANDERSONS INC COM	ANDE	100.000	3,640.10	4,189.42	549.32
02/16/12	08/26/10	SELL First In First Out	ANDERSONS INC COM	ANDE	100.000	3,640.10	4,189.42	549.32
02/16/12	08/26/10	SELL First In First Out	ANDERSONS INC COM	ANDE	100.000	3,640.10	4,189.42	549.32
02/16/12	12/13/10	SELL First In First Out	AVIS BUDGET GROUP INC COM	CAR	1,600.000	24,214.88	19,484.29	-4,730.59

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disbursement
Long Term (continued)							
02/16/12	04/29/08*	SELL AVISTA CORP COM- First In First Out	AVA	100.000	2,084.16	2,557.46	473.30
02/16/12	04/29/08*	SELL AVISTA CORP COM- First In First Out	AVA	5.000	104.21	127.91	23.70
02/16/12	04/29/08*	SELL AVISTA CORP COM- First In First Out	AVA	100.000	2,084.16	2,557.46	473.30
02/16/12	04/29/08*	SELL AVISTA CORP COM- First In First Out	AVA	195.000	4,064.11	4,987.04	922.93
02/16/12	04/29/08*	SELL AVISTA CORP COM- First In First Out	AVA	100.000	2,084.16	2,557.46	473.30
02/16/12	04/29/08*	SELL AVISTA CORP COM- First In First Out	AVA	560.000	11,671.30	14,322.79	2,651.49
02/16/12	04/29/08*	SELL AVISTA CORP COM- First In First Out	AVA	300.000	6,252.48	7,672.34	1,419.86
02/16/12	01/08/09*	SELL BABCOCK & WILCOX CO NEW COM- First In First Out	BWC	370.000	4,451.69	9,637.16	5,185.47
02/16/12	11/22/10*	SELL BABCOCK & WILCOX CO NEW COM- First In First Out	BWC	300.000	7,727.91	7,813.92	86.01
02/16/12	11/22/10*	SELL BABCOCK & WILCOX CO NEW COM- First In First Out	BWC	100.800	2,575.97	2,604.45	28.48
02/16/12	11/22/10*	SELL BABCOCK & WILCOX CO NEW COM- First In First Out	BWC	100.000	2,575.97	2,604.45	28.48
02/16/12	11/22/10*	SELL BABCOCK & WILCOX CO NEW COM- First In First Out	BWC	100.000	2,575.97	2,604.46	28.49
02/16/12	11/22/10*	SELL BABCOCK & WILCOX CO NEW COM- First In First Out	BWC	100.000	2,575.97	2,604.46	28.49
02/16/12	11/22/10*	SELL BABCOCK & WILCOX CO NEW COM- First In First Out	BWC	100.000	2,575.97	2,605.46	29.49



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Displacement
Long-Term (continued)							
02/16/12	11/22/10	SELL BABCOCK & WILCOX CO-NEW COM First In First Out	BWC	100.000	2,575.97	2,605.46	29.49
02/16/12	04/07/10	SELL BRINKERINTEL INC COM First In First Out	EAT	1,850.000	37,250.30	37,980.18	14,729.88
02/16/12	03/20/09	SELL BROADBRIDGE FINL SOLUTIONS INC COM First In First Out	BR	200.000	3,604.00	4,868.90	1,264.90
02/16/12	03/20/09	SELL BROADBRIDGE FINL SOLUTIONS INC COM First In First Out	BR	500.000	9,010.00	12,173.23	3,163.23
02/16/12	10/29/09	SELL BROADBRIDGE FINL SOLUTIONS INC COM First In First Out	BR	800.000	16,752.00	19,472.16	2,725.16
02/16/12	10/29/09	SELL BROADBRIDGE FINL SOLUTIONS INC COM First In First Out	BR	100.000	2,094.00	2,434.46	340.46
02/16/12	10/29/09	SELL BROADBRIDGE FINL SOLUTIONS INC COM First In First Out	BR	100.000	2,094.00	2,435.46	341.46
02/16/12	10/29/09	SELL BROADBRIDGE FINL SOLUTIONS INC COM First In First Out	BR	100.000	2,094.00	2,435.46	341.46
02/16/12	09/22/09	SELL CBL & ASSOC PPTYS INC-REIT First In First Out	CBL	100.000	1,068.27	1,772.47	704.20
02/16/12	09/22/09	SELL CBL & ASSOC PPTYS INC REIT First In First Out	CBL	200.000	2,136.54	3,544.94	1,408.40
02/16/12	09/22/09	SELL CBL & ASSOC PPTYS INC-REIT First In First Out	CBL	100.000	1,068.27	1,772.47	704.20

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	100,000	1,068.27	1,772.47	704.20
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	100,000	1,068.27	1,771.47	703.20
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	100,000	1,068.27	1,771.47	703.20
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	100,000	1,068.27	1,771.47	703.20
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	100,000	1,068.27	1,771.47	703.20
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	100,000	1,068.27	1,771.47	703.20
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	100,000	1,068.27	1,771.47	703.20
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	100,000	1,068.27	1,770.47	702.20
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	100,000	1,068.27	1,770.47	702.20
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	300,000	3,204.81	5,312.90	2,108.09
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	100,000	1,068.27	1,770.47	702.20
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	300,000	3,204.81	5,311.40	2,106.59
02/16/12	09/22/09	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	485,000	5,181.11	9,587.69	3,406.58
02/16/12	01/19/11	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	870,000	14,901.55	15,404.71	503.16

Pershing Advisor Solutions, a BNY Mellon company
 One Pershing Plaza, Jersey City, NJ 07399
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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)								
02/16/12	01/19/11	SELL First In First Out	CBL & ASSOC PRPTS INC REIT	CBL	100.000	1,712.82	1,770.45	57.63
02/16/12	03/08/10	SELL First In First Out	CNO FINL GROUP INC COM	CNO	100.000	593.21	743.49	150.28
02/16/12	03/08/10	SELL First In First Out	CNO FINL GROUP INC COM	CNO	200.000	1,186.42	1,486.98	300.56
02/16/12	03/08/10	SELL First In First Out	CNO FINL GROUP INC COM	CNO	400.000	2,372.84	2,973.95	601.11
02/16/12	03/08/10	SELL First In First Out	CNO FINL GROUP INC COM	CNO	200.000	1,186.42	1,486.98	300.56
02/16/12	03/08/10	SELL First In First Out	CNO FINL GROUP INC COM	CNO	100.000	593.21	743.49	150.28
02/16/12	03/08/10	SELL First In First Out	CNO FINL GROUP INC COM	CNO	300.000	1,775.63	2,230.46	450.83
02/16/12	03/08/10	SELL First In First Out	CNO FINL GROUP INC COM	CNO	100.000	593.21	743.49	150.28
02/16/12	03/08/10	SELL First In First Out	CNO FINL GROUP INC COM	CNO	200.000	1,186.42	1,486.98	300.56
02/16/12	03/08/10	SELL First In First Out	CNO FINL GROUP INC COM	CNO	100.000	593.21	743.49	150.28

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)							
02/16/12	03/08/10	SELL GNO-FINL GROUP INC COM First In First Out	GNO	100.000	593.21	743.49	150.28
02/16/12	03/08/10	SELL GNO-FINL GROUP INC COM First In First Out	GNO	800.000	4,745.68	5,947.89	1,202.21
02/16/12	03/08/10	SELL GNO-FINL GROUP INC COM First In First Out	GNO	500.000	3,559.26	4,460.92	901.66
02/16/12	03/08/10	SELL GNO-FINL GROUP INC COM First In First Out	GNO	100.000	593.21	743.49	150.28
02/16/12	03/08/10	SELL GNO-FINL GROUP INC COM First In First Out	GNO	100.000	593.21	743.49	150.28
02/16/12	03/08/10	SELL GNO-FINL GROUP INC COM First In First Out	GNO	100.000	593.21	743.49	150.28
02/16/12	03/08/10	SELL GNO-FINL GROUP INC COM First In First Out	GNO	300.000	1,779.63	2,230.46	450.83
02/16/12	03/08/10	SELL GNO-FINL GROUP INC COM First In First Out	GNO	2,675.000	15,868.37	19,866.55	3,998.18
02/16/12	03/08/10	SELL GNO-FINL GROUP INC COM First In First Out	GNO	100.000	593.21	742.47	149.26
02/16/12	06/29/09	SELL CABELAS INC COM CL A First In First Out	CAB	100.000	1,207.20	3,195.45	1,988.25
02/16/12	06/29/09	SELL CABELAS INC COM CL A First In First Out	CAB	100.000	1,207.20	3,194.45	1,987.25
02/16/12	06/29/09	SELL CABELAS INC COM CL A First In First Out	CAB	100.000	1,207.20	3,194.45	1,987.25
02/16/12	06/29/09	SELL CABELAS INC COM CL A First In First Out	CAB	100.000	1,207.20	3,194.45	1,987.25
02/16/12	06/29/09	SELL CABELAS INC COM CL A First In First Out	CAB	100.000	1,207.20	3,194.44	1,987.24



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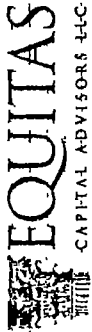
Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Dis-Minorance
Long-Term (continued)							
02/16/12	06/29/09*	SELL First In First Out CABELAS INC COM CL A	CAB	100.000	1,207.20	3,194.44	1,987.24
02/16/12	06/29/09*	SELL First In First Out CABELAS INC COM CL A	CAB	100.000	1,207.20	3,194.44	1,987.24
02/16/12	06/29/09*	SELL First In First Out CABELAS INC COM CL A	CAB	635.000	7,665.72	20,285.91	12,620.19
02/16/12	06/29/09*	SELL First In First Out CABELAS INC COM CL A	CAB	200.000	2,414.40	6,388.88	3,974.48
02/16/12	06/29/09*	SELL First In First Out CABELAS INC COM CL A	CAB	100.000	1,207.20	3,194.42	1,987.22
02/16/12	02/03/11	SELL First In First Out CAPITOL FED FINL INC COM	CFEN	200.000	2,422.00	2,340.95	-81.05
02/16/12	02/03/11	SELL First In First Out CAPITOL FED FINL INC COM	CFEN	100.000	1,211.00	1,170.48	-40.52
02/16/12	02/03/11	SELL First In First Out CAPITOL FED FINL INC COM	CFEN	300.000	3,633.00	3,511.44	-121.56
02/16/12	02/03/11	SELL First In First Out CAPITOL FED FINL INC COM	CFEN	1,400.000	16,954.00	16,389.36	-564.64
02/16/12	12/22/10*	SELL First In First Out CARRIZO OIL & GAS INC COM	CRZO	100.000	3,273.94	2,685.44	-588.50
02/16/12	12/22/10*	SELL First In First Out CARRIZO OIL & GAS INC COM	CRZO	100.000	3,273.94	2,685.45	-588.49

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/16/12	12/22/10	SELL First In First Out	GARRIZO OIL & GAS INC COM	GRZO	400,000	13,095.76	10,742.56	-2,353.20
02/16/12	06/19/08	SELL First In First Out	CELESTICA INC SUB VTG SHS	CLS	100,000	905.90	890.49	-15.41
02/16/12	06/19/08	SELL First In First Out	CELESTICA INC SUB VTG SHS	CLS	200,000	1,811.80	1,780.97	-30.83
02/16/12	06/19/08	SELL First In First Out	CELESTICA INC SUB VTG SHS	CLS	100,000	905.90	890.49	-15.41
02/16/12	06/19/08	SELL First In First Out	CELESTICA INC SUB VTG SHS	CLS	200,000	1,811.80	1,780.97	-30.83
02/16/12	06/19/08	SELL First In First Out	CELESTICA INC SUB VTG SHS	CLS	100,000	905.90	890.49	-15.41
02/16/12	06/19/08	SELL First In First Out	CELESTICA INC SUB VTG SHS	CLS	100,000	905.90	890.49	-15.41
02/16/12	06/19/08	SELL First In First Out	CELESTICA INC SUB VTG SHS	CLS	200,000	1,811.80	1,780.97	-30.83
02/16/12	06/19/08	SELL First In First Out	CELESTICA INC SUB VTG SHS	CLS	100,000	905.90	890.49	-15.41
02/16/12	06/19/08	SELL First In First Out	CELESTICA INC SUB VTG SHS	CLS	100,000	905.90	890.49	-15.41
02/16/12	06/19/08	SELL First In First Out	CELESTICA INC SUB VTG SHS	CLS	1,775,000	16,079.72	15,809.42	-270.30
02/16/12	06/19/08	SELL First In First Out	CELESTICA INC SUB VTG SHS	CLS	100,000	905.90	890.47	-15.43
02/16/12	02/17/10	SELL First In First Out	CERADYNE INC CALIF CSH MGR EFF 11/29/12	156710105	100,000	2,228.95	3,187.45	958.50

Pershing Advisor Solutions, a BNY Mellon company
One Pershing Plaza, Jersey City, NJ 07399
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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)							
02/16/12	02/17/10	SELL CERADYNE INC CALIF CSH MGR EFF 11/29/12 First In First Out	156710105	100.000	2,228.95	3,187.45	958.50
02/16/12	02/17/10	SELL CERADYNE INC CALIF CSH MGR EFF 11/29/12 First In First Out	156710105	100.000	2,228.95	3,187.44	958.49
02/16/12	02/17/10	SELL CERADYNE INC CALIF CSH MGR EFF 11/29/12 First In First Out	156710105	100.000	2,228.95	3,187.44	958.49
02/16/12	02/17/10	SELL CERADYNE INC CALIF CSH MGR EFF 11/29/12 First In First Out	156710105	350.000	7,901.33	11,153.21	3,251.88
02/16/12	02/17/10	SELL CERADYNE INC CALIF CSH MGR EFF 11/29/12 First In First Out	156710105	100.000	2,228.95	3,186.44	957.49
02/16/12	01/10/11	SELL CHEMTURA CORP COM NEW First In First Out	CHMT	100.000	1,855.90	1,473.46	-382.44
02/16/12	01/10/11	SELL CHEMTURA CORP COM NEW First In First Out	CHMT	1,200.000	22,270.80	17,683.92	-4,586.88
02/16/12	01/10/11	SELL CHEMTURA CORP COM NEW First In First Out	CHMT	100.000	1,855.90	1,473.48	-382.42
02/16/12	01/10/11	SELL CHEMTURA CORP COM NEW First In First Out	CHMT	100.000	1,610.00	1,474.47	-135.53
02/16/12	01/10/11	SELL CHEMTURA CORP COM NEW First In First Out	CHMT	100.000	1,610.00	1,474.47	-135.53
02/16/12	01/10/11	SELL CHEMTURA CORP COM NEW First In First Out	CHMT	100.000	1,610.00	1,475.48	-134.52

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long Term (continued)								
02/16/12	04/10/11	SELL First In First Out	CHEMTURA CORP COM NEW	CHMT	100,000	1,610.00	1,475.48	-134.52
02/16/12	01/10/11	SELL First In First Out	CHEMTURA CORP COM NEW	CHMT	100,000	1,610.00	1,475.48	-134.52
02/16/12	01/10/11	SELL First In First Out	CHEMTURA CORP COM NEW	CHMT	500,000	8,050.00	7,377.36	-672.64
02/16/12	01/10/11	SELL First In First Out	CHEMTURA CORP COM NEW	CHMT	100,000	1,610.00	1,475.48	-134.52
02/16/12	01/10/11	SELL First In First Out	CHEMTURA CORP COM NEW	CHMT	100,000	1,610.00	1,475.48	-134.52
02/16/12	01/10/11	SELL First In First Out	CHEMTURA CORP COM NEW	CHMT	100,000	1,610.00	1,475.98	-134.02
02/16/12	01/10/11	SELL First In First Out	CHEMTURA CORP COM NEW	CHMT	100,000	1,610.00	1,475.48	-134.52
02/16/12	01/10/11	SELL First In First Out	CHEMTURA CORP COM NEW	CHMT	100,000	1,610.00	1,475.48	-134.52
02/16/12	04/30/08	SELL First In First Out	CHICAGO BRIDGE & IRON CO N V	CBI	100,000	3,977.40	4,434.42	457.02
02/16/12	04/30/08	SELL First In First Out	CHICAGO BRIDGE & IRON CO N V	CBI	100,000	3,977.40	4,434.42	457.02
02/16/12	04/30/08	SELL First In First Out	CHICAGO BRIDGE & IRON CO N V	CBI	500,000	19,887.00	22,172.10	2,285.10
02/16/12	07/02/08	SELL First In First Out	COGNEX CORP	CGNX	100,000	2,317.97	4,336.43	2,018.46
02/16/12	07/02/08	SELL First In First Out	COGNEX CORP	CGNX	100,000	2,317.97	4,335.43	2,017.46
02/16/12	07/02/08	SELL First In First Out	COGNEX CORP	CGNX	100,000	2,317.97	4,335.42	2,017.45

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Long Term (continued)							
02/16/12	07/02/08*	SELL COGNEX CORP First In First Out	CGNX	100.000	2,317.97	4,335.42	2,017.45
02/16/12	07/02/08*	SELL COGNEX CORP First In First Out	CGNX	100.000	2,317.97	4,335.42	2,017.45
02/16/12	07/02/08*	SELL COGNEX CORP First In First Out	CGNX	100.000	2,317.97	4,335.42	2,017.45
02/16/12	07/02/08*	SELL COGNEX CORP First In First Out	CGNX	470.000	10,894.46	20,372.67	9,478.21
02/16/12	07/02/08*	SELL COGNEX CORP First In First Out	CGNX	100.000	2,317.97	4,334.41	2,016.44
02/16/12	12/15/09*	SELL COMMONWEALTH RET COM SH BEN INT First In First Out	CWH	100.000	2,547.24	2,109.47	-437.77
02/16/12	12/15/09*	SELL COMMONWEALTH RET COM SH BEN INT First In First Out	CWH	100.000	2,547.24	2,109.47	-437.77
02/16/12	12/15/09*	SELL COMMONWEALTH RET COM SH BEN INT First In First Out	CWH	100.000	2,547.24	2,109.47	-437.77
02/16/12	12/15/09*	SELL COMMONWEALTH RET COM SH BEN INT First In First Out	CWH	775.000	19,741.11	16,349.79	-3,391.32
02/16/12	12/15/09*	SELL COMMONWEALTH RET COM SH BEN INT First In First Out	CWH	200.000	5,094.48	4,218.92	-875.56
02/16/12	12/15/09*	SELL COMMONWEALTH RET COM SH BEN INT First In First Out	CWH	100.000	2,547.24	2,109.47	-437.77

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)								
02/16/12	08/17/09	SELL First In First Out	CORPORATE EXECUTIVE BRD CO COM	CEB	100,000	2,491.77	3,953.43	1,461.66
02/16/12	08/17/09	SELL First In First Out	CORPORATE EXECUTIVE BRD CO COM	CEB	34,000	847.20	1,344.17	496.97
02/16/12	08/17/09	SELL First In First Out	CORPORATE EXECUTIVE BRD CO COM	CEB	100,000	2,491.77	3,953.43	1,461.66
02/16/12	08/17/09	SELL First In First Out	CORPORATE EXECUTIVE BRD CO COM	CEB	100,000	2,491.77	3,953.43	1,461.66
02/16/12	08/17/09	SELL First In First Out	CORPORATE EXECUTIVE BRD CO COM	CEB	430,000	10,714.61	16,996.26	6,281.65
02/16/12	08/17/09	SELL First In First Out	CORPORATE EXECUTIVE BRD CO COM	CEB	100,000	2,491.77	3,953.43	1,461.66
02/16/12	08/17/09	SELL First In First Out	CORPORATE EXECUTIVE BRD CO COM	CEB	100,000	2,491.77	3,952.43	1,460.66
02/16/12	08/17/09	SELL First In First Out	CORPORATE EXECUTIVE BRD CO COM	CEB	65,000	1,644.57	2,608.59	964.02
02/16/12	08/27/10	SELL First In First Out	COTT CORP QUE COM ISIN#CA22163N1069	COT	100,000	693.39	679.99	-13.40
02/16/12	08/27/10	SELL First In First Out	COTT CORP QUE COM ISIN#CA22163N1069	COT	100,000	693.39	679.49	-13.90
02/16/12	08/27/10	SELL First In First Out	COTT CORP QUE COM ISIN#CA22163N1069	COT	200,000	1,386.78	1,358.98	-27.80
02/16/12	08/27/10	SELL First In First Out	COTT CORP QUE COM ISIN#CA22163N1069	COT	100,000	693.39	679.49	-13.90
02/16/12	08/27/10	SELL First In First Out	COTT CORP QUE COM ISIN#CA22163N1069	COT	100,000	693.39	679.49	-13.90
02/16/12	08/27/10	SELL First In First Out	COTT CORP QUE COM ISIN#CA22163N1069	COT	100,000	693.39	679.49	-13.90



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Displacement
Long Term (continued)							
02/16/12	08/27/10	SELL COTT CORP QUE COM ISIN#CA22163N1069 First In First Out	COT	100.000	693.39	679.49	-13.90
02/16/12	08/27/10	SELL COTT CORP QUE COM ISIN#CA22163N1069 First In First Out	COT	100.000	695.39	679.49	-13.90
02/16/12	08/27/10	SELL COTT CORP QUE COM ISIN#CA22163N1069 First In First Out	COT	1,930.000	13,382.43	13,117.77	-264.66
02/16/12	08/27/10	SELL COTT CORP QUE COM ISIN#CA22163N1069 First In First Out	COT	600.000	4,160.34	4,076.91	-83.43
02/16/12	05/13/10	SELL THE DOLAN CO COM First In First Out	DM	100.000	1,317.18	1,068.49	-248.69
02/16/12	05/13/10	SELL THE DOLAN CO COM First In First Out	DM	63.000	825.82	673.15	-152.67
02/16/12	05/13/10	SELL THE DOLAN CO COM First In First Out	DM	100.000	1,317.18	1,068.49	-248.69
02/16/12	05/13/10	SELL THE DOLAN CO COM First In First Out	DM	200.000	2,634.36	2,136.96	-497.40
02/16/12	05/13/10	SELL THE DOLAN CO COM First In First Out	DM	100.000	1,317.18	1,067.48	-249.70
02/16/12	05/13/10	SELL THE DOLAN CO COM First In First Out	DM	100.000	1,317.18	1,066.49	-250.69
02/16/12	05/13/10	SELL THE DOLAN CO COM First In First Out	DM	100.000	1,317.18	1,064.48	-252.70

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/16/12	05/13/10*	SELL First In First Out	THE DOLAN CO.COM	DM	100.000	1,347.18	1,064.48	-282.70
02/16/12	05/13/10*	SELL First In First Out	THE DOLAN CO.COM	DM	100.000	1,317.18	1,063.48	-253.70
02/16/12	05/13/10*	SELL First In First Out	THE DOLAN CO.COM	DM	200.000	2,634.36	2,126.96	-507.40
02/16/12	05/13/10*	SELL First In First Out	THE DOLAN CO.COM	DM	300.000	3,951.54	3,190.44	-761.10
02/16/12	05/13/10*	SELL First In First Out	THE DOLAN CO.COM	DM	37.000	487.36	593.13	-94.23
02/16/12	05/13/10*	SELL First In First Out	THE DOLAN CO.COM	DM	1,070.000	14,093.83	11,370.54	-2,723.29
02/16/12	04/14/09*	SELL First In First Out	DOUGLAS EMMETT INC.COM	DEI	100.000	937.78	2,126.47	1,188.69
02/16/12	04/14/09*	SELL First In First Out	DOUGLAS EMMETT INC.COM	DEI	100.000	937.78	2,126.47	1,188.69
02/16/12	04/14/09*	SELL First In First Out	DOUGLAS EMMETT INC.COM	DEI	1,075.000	10,081.14	22,861.50	12,780.36
02/16/12	04/14/09*	SELL First In First Out	DOUGLAS EMMETT INC.COM	DEI	100.000	937.78	2,126.46	1,188.68
02/16/12	04/14/09*	SELL First In First Out	DOUGLAS EMMETT INC.COM	DEI	100.000	937.78	2,126.46	1,188.68
02/16/12	04/14/09*	SELL First In First Out	DOUGLAS EMMETT INC.COM	DEI	200.000	1,875.56	4,252.92	2,377.36
02/16/12	04/14/09*	SELL First In First Out	DOUGLAS EMMETT INC.COM	DEI	100.000	937.78	2,126.45	1,188.67

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Long Term (continued)								
02/16/12	12/08/08*	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	100.000	1,547.22	2,271.46	724.24
02/16/12	12/08/08*	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	100.000	1,547.22	2,271.46	724.24
02/16/12	12/08/08*	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	100.000	1,547.22	2,271.46	724.24
02/16/12	12/08/08*	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	100.000	1,547.22	2,271.46	724.24
02/16/12	12/08/08*	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	100.000	1,547.22	2,271.46	724.24
02/16/12	12/08/08*	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	100.000	1,547.22	2,271.46	724.24
02/16/12	12/08/08*	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	100.000	1,547.22	2,271.46	724.24
02/16/12	12/08/08*	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	68.000	1,052.11	1,544.60	492.49
02/16/12	12/08/08*	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	100.000	1,547.22	2,270.46	723.24
02/16/12	12/08/08*	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	100.000	1,547.22	2,270.46	723.24
02/16/12	12/08/08*	SELL First In First Out	EAST WEST BANCORP INC COM	EWBC	54.000	835.50	1,226.05	390.55

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Description	Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Long Term (continued)								
02/16/12	12/08/08*	SELL	First In First Out	EWBC	46,000	711.72	1,044.42	-332.70
02/16/12	12/08/08*	SELL	First In First Out	EWBC	52,000	804.56	1,180.64	-376.08
02/16/12	07/19/11	SELL	First In First Out	EWBC	26,000	547.80	590.32	42.52
02/16/12	07/19/11	SELL	First In First Out	EWBC	100,000	2,106.92	2,270.46	163.54
02/16/12	01/19/11	SELL	First In First Out	EWBC	1,555,000	32,762.60	35,293.02	2,530.42
02/16/12	01/19/11	SELL	First In First Out	EWBC	54,000	1,137.74	1,226.03	88.29
02/16/12	04/30/08*	SELL	First In First Out	NPO	500,000	18,178.60	18,773.09	594.49
02/16/12	04/30/08*	SELL	First In First Out	NPO	200,000	7,271.44	7,508.87	237.43
02/16/12	04/30/08*	SELL	First In First Out	NPO	200,000	7,271.44	7,510.87	239.43
02/16/12	03/26/10*	SELL	First In First Out	FAF	600,000	8,792.02	8,961.97	169.95
02/16/12	08/26/10*	SELL	First In First Out	FAF	300,000	4,146.42	4,480.99	334.57
02/16/12	08/26/10*	SELL	First In First Out	FAF	500,000	6,910.70	7,467.37	556.67
02/16/12	11/22/10*	SELL	First In First Out	FAF	600,000	8,351.46	8,980.84	629.38
02/16/12	11/22/10*	SELL	First In First Out	FAF	300,000	4,175.73	4,480.42	304.69

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Schedule of Realized Gains and Losses (continued)

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disposal
Long Term (continued)								
02/16/12	05/07/10	SELL First In First Out	FIRST INDL RLY TR INC COM	FR	200.000	1,448.54	2,410.96	-962.42
02/16/12	05/07/10	SELL First In First Out	FIRST INDL RLY TR INC COM	FR	100.000	724.27	1,204.48	-480.21
02/16/12	05/07/10	SELL First In First Out	FIRST INDL RLY TR INC COM	FR	100.000	724.27	1,204.48	-480.21
02/16/12	05/07/10	SELL First In First Out	FIRST INDL RLY TR INC COM	FR	600.000	4,345.62	7,226.86	-2,881.24
02/16/12	05/07/10	SELL First In First Out	FIRST INDL RLY TR INC COM	FR	1,585.000	11,479.68	19,090.95	-7,611.27
02/16/12	07/30/09	SELL First In First Out	FIRSTMERIT CORP COM	FMER	100.000	1,834.73	1,641.47	-193.26
02/16/12	07/30/09	SELL First In First Out	FIRSTMERIT CORP COM	FMER	100.000	1,834.73	1,641.47	-193.26
02/16/12	07/30/09	SELL First In First Out	FIRSTMERIT CORP COM	FMER	200.000	3,669.45	3,282.94	-386.51
02/16/12	07/30/09	SELL First In First Out	FIRSTMERIT CORP COM	FMER	100.000	1,834.73	1,641.47	-193.26
02/16/12	07/30/09	SELL First In First Out	FIRSTMERIT CORP COM	FMER	100.000	1,834.73	1,641.47	-193.26
02/16/12	07/30/09	SELL First In First Out	FIRSTMERIT CORP COM	FMER	346.000	6,348.15	5,680.14	-668.01
02/16/12	11/19/09	SELL First In First Out	FIRSTMERIT CORP COM	FMER	460.000	9,171.76	7,551.64	-1,620.12
02/16/12	11/19/09	SELL First In First Out	FIRSTMERIT CORP COM	FMER	100.000	1,993.86	1,641.47	-352.39
02/16/12	11/19/09	SELL First In First Out	FIRSTMERIT CORP COM	FMER	300.000	5,981.58	4,924.41	-1,057.17

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)							
02/16/12	11/19/09	SELL FIRSTMERIT CORP COM First In First Out	FMER	200.000	3,982.72	3,282.95	-704.77
02/16/12	03/02/10	SELL FOOT LOCKER INC COM First In First Out	FL	100.000	1,340.30	2,810.45	1,470.15
02/16/12	03/02/10	SELL FOOT LOCKER INC COM First In First Out	FL	300.000	4,020.90	8,431.35	4,410.45
02/16/12	03/02/10	SELL FOOT LOCKER INC COM First In First Out	FL	100.000	1,340.30	2,810.45	1,470.15
02/16/12	03/02/10	SELL FOOT LOCKER INC COM First In First Out	FL	200.000	2,680.60	5,620.90	2,940.30
02/16/12	03/02/10	SELL FOOT LOCKER INC COM First In First Out	FL	100.000	1,340.30	2,810.45	1,470.15
02/16/12	03/02/10	SELL FOOT LOCKER INC COM First In First Out	FL	100.000	1,340.30	2,810.45	1,470.15
02/16/12	03/02/10	SELL FOOT LOCKER INC COM First In First Out	FL	100.000	1,340.30	2,810.45	1,470.15
02/16/12	03/02/10	SELL FOOT LOCKER INC COM First In First Out	FL	100.000	1,340.30	2,810.45	1,470.15
02/16/12	03/02/10	SELL FOOT LOCKER INC COM First In First Out	FL	1,345.000	18,027.04	37,803.09	19,776.05
02/16/12	10/12/09	SELL FREIGHTCAR AMER INC COM First In First Out	RAIL	100.000	2,585.90	2,105.47	-480.43

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Long Term (continued)								
02/16/12	10/21/09	SELL First In First Out	FREIGHTCAR AMER INC COM	RAIL	100,000	2,585.90	2,102.47	-483.43
02/16/12	10/21/09	SELL First In First Out	FREIGHTCAR AMER INC COM	RAIL	100,000	2,585.90	2,101.47	-484.43
02/16/12	10/21/09	SELL First In First Out	FREIGHTCAR AMER INC COM	RAIL	100,000	2,585.90	2,105.96	-479.94
02/16/12	10/21/09	SELL First In First Out	FREIGHTCAR AMER INC COM	RAIL	100,000	2,585.90	2,101.46	-484.44
02/16/12	10/21/09	SELL First In First Out	FREIGHTCAR AMER INC COM	RAIL	485,000	12,541.62	10,205.14	-2,336.48
02/16/12	10/21/09	SELL First In First Out	FREIGHTCAR AMER INC COM	RAIL	100,000	2,585.90	2,103.96	-481.94
02/16/12	10/21/09	SELL First In First Out	FREIGHTCAR AMER INC COM	RAIL	100,000	2,585.90	2,103.96	-481.94
02/16/12	10/21/09	SELL First In First Out	FREIGHTCAR AMER INC COM	RAIL	100,000	2,585.90	2,103.94	-481.96
02/16/12	05/14/09	SELL First In First Out	GENWORTH FINL INC COM CL A	GNW	4,095,000	17,956.98	36,962.84	19,005.86
02/16/12	01/27/10	SELL First In First Out	GLACIER BANCORP INC NEW COM	GBCI	100,000	1,559.45	1,461.48	-97.97
02/16/12	01/27/10	SELL First In First Out	GLACIER BANCORP INC NEW COM	GBCI	100,000	1,559.45	1,461.48	-97.97
02/16/12	01/27/10	SELL First In First Out	GLACIER BANCORP INC NEW COM	GBCI	100,000	1,559.45	1,461.48	-97.97
02/16/12	01/27/10	SELL First In First Out	GLACIER BANCORP INC NEW COM	GBCI	100,000	1,559.45	1,460.48	-98.97
02/16/12	01/27/10	SELL First In First Out	GLACIER BANCORP INC NEW COM	GBCI	100,000	1,559.45	1,460.48	-98.97

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Schedule of Realized Gains and Losses (continued)

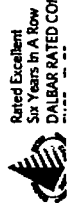
Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Long-Term (continued)							
02/16/12	01/27/10*	SELL First In First Out GLACIER BANCORP INC NEW COM	GBCI	200,000	3,118.90	2,920.95	-197.95
02/16/12	01/27/10*	SELL First In First Out GLACIER BANCORP INC NEW COM	GBCI	100,000	1,559.45	1,460.47	-98.97
02/16/12	01/27/10*	SELL First In First Out GLACIER BANCORP INC NEW COM	GBCI	100,000	1,559.45	1,460.47	-98.98
02/16/12	01/27/10*	SELL First In First Out GLACIER BANCORP INC NEW COM	GBCI	100,000	1,559.45	1,460.47	-98.98
02/16/12	01/27/10*	SELL First In First Out GLACIER BANCORP INC NEW COM	GBCI	100,000	1,559.45	1,460.47	-98.98
02/16/12	01/27/10*	SELL First In First Out GLACIER BANCORP INC NEW COM	GBCI	760,000	11,851.82	11,101.01	-750.81
02/16/12	04/29/08*	SELL First In First Out CGI GROUP INC	GIB	100,000	1,136.89	2,091.47	954.58
02/16/12	04/29/08*	SELL First In First Out CGI GROUP INC	GIB	100,000	1,136.89	2,091.47	954.58
02/16/12	04/29/08*	SELL First In First Out CGI GROUP INC	GIB	100,000	1,136.89	2,091.47	954.58
02/16/12	04/29/08*	SELL First In First Out CGI GROUP INC	GIB	950,000	10,800.46	19,670.60	9,070.22
02/16/12	04/29/08*	SELL First In First Out CGI GROUP INC	GIB	100,000	1,136.89	2,091.46	954.57

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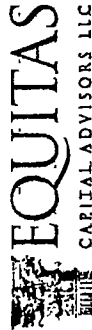
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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Description	Disposition Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)								
02/16/12	04/29/08*	CGI GROUP INC	SELL First In First Out	GIB	100,000	1,136.89	2,091.46	954.57
02/16/12	04/29/08*	CGI GROUP INC	SELL First In First Out	GIB	200,000	2,273.78	4,182.92	1,909.14
02/16/12	04/30/08*	HANES BRANDS INC COM	SELL First In First Out	HBI	400,000	14,295.20	10,739.80	-3,549.40
02/16/12	04/30/08*	HANES BRANDS INC COM	SELL First In First Out	HBI	200,000	7,149.60	5,374.90	-1,774.70
02/16/12	04/30/08*	HANES BRANDS INC COM	SELL First In First Out	HBI	900,000	32,173.20	24,188.76	-7,984.44
02/16/12	07/15/08*	HANES BRANDS INC COM	SELL First In First Out	HBI	120,000	2,860.80	3,225.17	364.37
02/16/12	07/15/08*	HANES BRANDS INC COM	SELL First In First Out	HBI	100,000	2,384.00	2,687.46	303.46
02/16/12	07/15/08*	HANES BRANDS INC COM	SELL First In First Out	HBI	100,000	2,384.00	2,687.46	303.46
02/16/12	10/07/08*	HANOVER INS GROUP INC COM	SELL First In First Out	THG	200,000	8,361.88	8,198.84	-163.04
02/16/12	10/07/08*	HANOVER INS GROUP INC COM	SELL First In First Out	THG	470,000	19,650.42	19,268.19	-382.23
02/16/12	10/07/08*	HANOVER INS GROUP INC COM	SELL First In First Out	THG	100,000	4,180.94	4,099.43	-81.51
02/16/12	10/07/08*	HIL ROM HLDGS COM	SELL First In First Out	HRC	100,000	2,712.72	3,562.43	849.71
02/16/12	10/07/08*	HIL ROM HLDGS COM	SELL First In First Out	HRC	680,000	18,446.50	24,225.84	5,779.34
02/16/12	12/04/09*	HIL ROM HLDGS COM	SELL First In First Out	HRC	120,000	2,810.12	4,275.15	1,465.03

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

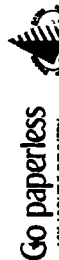
Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)							
02/16/12	12/04/09*	SELL HIL ROM HEDGS COM First In First Out	HRC	100.000	2,341.77	3,563.44	1,221.67
02/16/12	12/04/09*	SELL HIL ROM HEDGS COM First In First Out	HRC	100.000	2,341.77	3,564.44	1,222.67
02/16/12	12/04/09*	SELL HIL ROM HEDGS COM First In First Out	HRC	100.000	2,341.77	3,564.44	1,222.67
02/16/12	12/04/09*	SELL HIL ROM HEDGS COM First In First Out	HRC	100.000	2,341.77	3,564.44	1,222.67
02/16/12	12/04/09*	SELL HIL ROM HEDGS COM First In First Out	HRC	100.000	2,341.77	3,564.44	1,222.67
02/16/12	12/09/10*	SELL HORNBECK OFFSHORE SVCS INC COM NEW First In First Out	HOS	100.000	2,202.43	3,901.43	1,699.00
02/16/12	12/09/10*	SELL HORNBECK OFFSHORE SVCS INC COM NEW First In First Out	HOS	100.000	2,202.43	3,900.43	1,698.00
02/16/12	12/09/10*	SELL HORNBECK OFFSHORE SVCS INC COM NEW First In First Out	HOS	200.000	4,404.86	7,800.86	3,396.00
02/16/12	12/09/10*	SELL HORNBECK OFFSHORE SVCS INC COM NEW First In First Out	HOS	200.000	4,404.86	7,796.86	3,392.00
02/16/12	12/09/10*	SELL HORNBECK OFFSHORE SVCS INC COM NEW First In First Out	HOS	200.000	4,404.86	7,796.86	3,392.00

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)							
02/16/12	12/09/10	SELL First In First Out HORNBECK OFFSHORE SVCS INC COM NEW	HOS	100,000	2,202.43	3,898.43	1,696.00
02/16/12	12/09/10	SELL First In First Out HORNBECK OFFSHORE SVCS INC COM NEW	HOS	100,000	2,202.43	3,897.43	1,695.00
02/16/12	12/09/10	SELL First In First Out HORNBECK OFFSHORE SVCS INC COM NEW	HOS	100,000	2,202.43	3,895.43	1,693.00
02/16/12	12/09/10	SELL First In First Out HORNBECK OFFSHORE SVCS INC COM NEW	HOS	200,000	4,404.86	7,781.24	3,376.38
02/16/12	12/09/10	SELL First In First Out HORNBECK OFFSHORE SVCS INC COM NEW	HOS	40,000	880.97	1,557.36	676.39
02/16/12	04/14/09	SELL First In First Out IBERIABANK CORP COM	IBKC	100,000	4,957.80	5,424.39	466.59
02/16/12	04/14/09	SELL First In First Out IBERIABANK CORP COM	IBKC	100,000	4,957.80	5,423.40	465.60
02/16/12	04/14/09	SELL First In First Out IBERIABANK CORP COM	IBKC	90,000	4,462.02	4,882.13	420.11
02/16/12	07/17/09	SELL First In First Out IBERIABANK CORP COM	IBKC	250,000	10,687.00	13,561.48	2,874.48
02/16/12	07/17/09	SELL First In First Out IBERIABANK CORP COM	IBKC	100,000	4,274.80	5,423.41	1,148.61
02/16/12	07/17/09	SELL First In First Out IBERIABANK CORP COM	IBKC	100,000	4,274.80	5,424.41	1,149.61
02/16/12	02/26/10	SELL First In First Out IMMUNOMEDICS INC COM	IMMU	100,000	383.85	375.50	-8.35
02/16/12	02/26/10	SELL First In First Out IMMUNOMEDICS INC COM	IMMU	100,000	383.85	375.50	-8.35
02/16/12	02/26/10	SELL First In First Out IMMUNOMEDICS INC COM	IMMU	45,000	172.73	168.98	-3.75



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/16/12	02/26/10	SELL First In First Out	IMMUNOMEDICS INC COM	IMMU	124,000	475.97	465.62	-10.35
02/16/12	02/26/10	SELL First In First Out	IMMUNOMEDICS INC COM	IMMU	300,000	1,151.55	1,126.48	-25.07
02/16/12	02/26/10	SELL First In First Out	IMMUNOMEDICS INC COM	IMMU	100,000	383.85	375.50	-8.35
02/16/12	02/26/10	SELL First In First Out	IMMUNOMEDICS INC COM	IMMU	31,000	118.99	116.41	-2.58
02/16/12	02/26/10	SELL First In First Out	IMMUNOMEDICS INC COM	IMMU	100,000	383.85	375.50	-8.35
02/16/12	02/26/10	SELL First In First Out	IMMUNOMEDICS INC COM	IMMU	100,000	383.85	375.50	-8.35
02/16/12	02/26/10	SELL First In First Out	IMMUNOMEDICS INC COM	IMMU	100,000	383.85	375.50	-8.35
02/16/12	02/26/10	SELL First In First Out	IMMUNOMEDICS INC COM	IMMU	100,000	383.85	375.49	-8.36
02/16/12	02/26/10	SELL First In First Out	IMMUNOMEDICS INC COM	IMMU	118,500	4,548.63	4,449.56	-99.07
02/16/12	02/26/10	SELL First In First Out	IMMUNOMEDICS INC COM	IMMU	100,000	383.85	375.49	-8.36
02/16/12	02/26/10	SELL First In First Out	IMMUNOMEDICS INC COM	IMMU	100,000	383.85	375.49	-8.36

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Displacement
Long Term (continued)							
02/16/12	02/26/10*	SELL First In First Out	IMMU	100,000	383.85	375.49	-8.36
02/16/12	02/26/10*	SELL First In First Out	IMMU	200,000	767.70	750.98	-16.72
02/16/12	02/26/10*	SELL First In First Out	IMMU	100,000	383.85	375.47	-8.38
02/16/12	05/25/10*	SELL First In First Out	NSIT	99,000	1,356.11	2,173.52	817.41
02/16/12	05/25/10*	SELL First In First Out	NSIT	100,000	1,369.81	2,195.46	825.65
02/16/12	05/25/10*	SELL First In First Out	NSIT	100,000	1,369.81	2,195.46	825.65
02/16/12	05/25/10*	SELL First In First Out	NSIT	300,000	4,109.43	6,586.38	2,476.95
02/16/12	05/25/10*	SELL First In First Out	NSIT	1,000	13.70	21.96	8.26
02/16/12	05/25/10*	SELL First In First Out	NSIT	99,000	1,356.11	2,173.51	817.40
02/16/12	05/25/10*	SELL First In First Out	NSIT	200,000	2,739.62	4,390.92	1,651.30
02/16/12	05/25/10*	SELL First In First Out	NSIT	625,000	8,561.31	13,722.88	5,161.49
02/16/12	05/25/10*	SELL First In First Out	NSIT	101,000	1,383.51	2,217.40	833.89
02/16/12	08/12/09*	SELL First In First Out	JBL	200,000	1,978.30	5,176.91	3,198.61
02/16/12	08/12/09*	SELL First In First Out	JBL	100,000	989.15	2,588.46	1,599.31



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Discommission
Long Term (continued)								
02/16/12	08/12/08	SELL First In-First Out	JABIL CIRCUIT INC COM	JBL	1,890.000	18,694.94	48,924.60	30,229.66
02/16/12	08/12/09	SELL First In-First Out	JABIL CIRCUIT INC COM	JBL	400.000	3,956.60	10,553.81	6,597.21
02/16/12	08/12/09	SELL First In-First Out	JABIL CIRCUIT INC COM	JBL	100.000	989.15	2,588.45	1,599.30
02/16/12	05/13/10	SELL First In-First Out	KOPPERS HLDGS INC COM	KOP	100.000	3,294.83	3,748.43	453.60
02/16/12	05/13/10	SELL First In-First Out	KOPPERS HLDGS INC COM	KOP	308.000	9,884.49	11,245.86	1,361.37
02/16/12	01/14/11	SELL First In-First Out	KOPPERS HLDGS INC COM	KOP	200.000	7,957.84	7,498.86	-458.98
02/16/12	01/14/11	SELL First In-First Out	KOPPERS HLDGS INC COM	KOP	100.000	3,978.92	3,739.44	-239.48
02/16/12	06/25/08	SELL First In-First Out	LACLEDE GROUP INC COM	LG	75.000	3,024.06	3,150.33	126.27
02/16/12	06/25/08	SELL First In-First Out	LACLEDE GROUP INC COM	LG	200.000	8,064.16	8,398.85	334.69
02/16/12	06/25/08	SELL First In-First Out	LACLEDE GROUP INC COM	LG	100.000	4,032.08	4,198.43	166.35
02/16/12	06/25/08	SELL First In-First Out	LACLEDE GROUP INC COM	LG	35.000	1,411.23	1,469.51	58.28

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/16/12	06/30/08	SELL First In First Out	LACLEDE GROUP INC COM	LG	240,000	9,699.67	10,076.66	376.99
02/16/12	06/30/08	SELL First In First Out	LACLEDE GROUP INC COM	LG	25,000	1,010.38	1,049.59	39.21
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	100,000	2,420.70	3,184.45	763.75
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	100,000	2,420.70	3,184.45	763.75
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	47,000	1,137.73	1,496.70	358.97
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	100,000	2,420.70	3,184.45	763.75
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	100,000	2,420.70	3,184.45	763.75
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	100,000	2,420.70	3,184.44	753.74
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	100,000	2,420.70	3,184.44	763.74
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	100,000	2,420.70	3,183.44	762.74
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	100,000	2,420.70	3,183.44	762.74
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	100,000	2,420.70	3,183.44	762.74
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	53,000	1,282.97	1,687.23	404.26
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	100,000	2,420.70	3,183.44	762.74

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)								
02/16/12	04/29/08	SELL First In First Out	MKS INSTRS INC COM	MKSI	665.000	16,097.66	21,169.85	5,072.19
02/16/12	03/26/10	SELL First In First Out	MCCATCHY CO CL A COM	MNLI	100.000	498.69	279.50	-219.19
02/16/12	03/26/10	SELL First In First Out	MCCATCHY CO CL A COM	MNLI	100.000	498.69	279.50	-219.19
02/16/12	03/26/10	SELL First In First Out	MCCATCHY CO CL A COM	MNLI	2,545.000	12,691.66	7,117.99	-5,573.67
02/16/12	03/26/10	SELL First In First Out	MCCATCHY CO CL A COM	MNLI	100.000	498.69	279.50	-219.19
02/16/12	03/26/10	SELL First In First Out	MCCATCHY CO CL A COM	MNLI	100.000	498.69	279.50	-219.19
02/16/12	03/26/10	SELL First In First Out	MCCATCHY CO CL A COM	MNLI	3,500.000	17,454.15	9,782.29	-7,671.86
02/16/12	02/27/09	SELL First In First Out	MEDCATH CORP COM	58404W109	100.000	793.41	780.49	-12.92
02/16/12	02/27/09	SELL First In First Out	MEDCATH CORP COM	58404W109	100.000	793.41	780.49	-12.92
02/16/12	02/27/09	SELL First In First Out	MEDCATH CORP COM	58404W109	100.000	793.41	780.49	-12.92
02/16/12	02/27/09	SELL First In First Out	MEDCATH CORP COM	58404W109	550.000	4,363.75	4,292.67	-71.08

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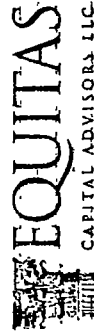
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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/16/12	02/27/09	SELL First In-First Out	MEDCATH CORP COM	58404W109	100,000	793.41	780.49	-12.92
02/16/12	02/27/09	SELL First In-First Out	MEDCATH CORP COM	58404W109	100,000	793.41	780.49	-12.92
02/16/12	02/27/09	SELL First In-First Out	MEDCATH CORP COM	58404W109	500,000	3,967.05	3,902.41	-64.64
02/16/12	04/08/09	SELL First In-First Out	MEDICIS PHARMACEUTICAL CORP	584690309	100,000	1,246.23	3,397.44	2,151.21
02/16/12	04/08/09	SELL First In-First Out	MEDICIS PHARMACEUTICAL CORP	584690309	100,000	1,246.23	3,397.44	2,151.21
02/16/12	04/08/09	SELL First In-First Out	MEDICIS PHARMACEUTICAL CORP	584690309	3,800	37.39	101.93	-64.54
02/16/12	04/08/09	SELL First In-First Out	MEDICIS PHARMACEUTICAL CORP	584690309	100,000	1,246.23	3,397.44	2,151.21
02/16/12	04/08/09	SELL First In-First Out	MEDICIS PHARMACEUTICAL CORP	584690309	197,000	2,355.07	6,692.96	4,337.89
02/16/12	04/08/09	SELL First In-First Out	MEDICIS PHARMACEUTICAL CORP	584690309	100,000	1,246.23	3,397.44	2,151.21
02/16/12	04/08/09	SELL First In-First Out	MEDICIS PHARMACEUTICAL CORP	584690309	100,000	1,246.23	3,397.44	2,151.21
02/16/12	04/08/09	SELL First In-First Out	MEDICIS PHARMACEUTICAL CORP	584690309	200,000	2,492.46	6,794.88	4,302.42
02/16/12	04/08/09	SELL First In-First Out	MEDICIS PHARMACEUTICAL CORP	584690309	750,000	9,346.72	25,482.20	16,135.48
02/16/12	04/29/08	SELL First In-First Out	MOOG INC CLASS A	MOG A	200,000	8,870.30	8,780.97	-89.33
02/16/12	04/29/08	SELL First In-First Out	MOOG INC CLASS A	MOG A	200,000	8,870.30	8,780.96	-89.34

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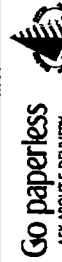
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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)							
02/16/12	04/29/08*	SELL MOOG INC CLASS A First In First Out	MOGA	110.000	4,878.67	4,829.54	-49.13
02/16/12	04/29/08*	SELL MOOG INC CLASS A First In First Out	MOGA	100.000	4,435.15	4,390.46	-44.69
02/16/12	07/09/10*	SELL NORTHWEST BANKSHARES INC MD COM First In First Out	NWBH	73.000	843.15	918.68	75.53
02/16/12	07/09/10*	SELL NORTHWEST BANKSHARES INC MD COM First In First Out	NWBH	727.000	8,396.85	9,143.21	746.36
02/16/12	08/17/10*	SELL NORTHWEST BANKSHARES INC MD COM First In First Out	NWBH	473.000	5,306.63	5,948.75	642.12
02/16/12	08/17/10*	SELL NORTHWEST BANKSHARES INC MD COM First In First Out	NWBH	27.000	302.92	339.79	36.87
02/16/12	09/10/10*	SELL NORTHWEST BANKSHARES INC MD COM First In First Out	NWBH	173.000	1,959.90	2,177.16	217.26
02/16/12	09/10/10*	SELL NORTHWEST BANKSHARES INC MD COM First In First Out	NWBH	27.000	305.88	339.80	33.92
02/16/12	09/10/10*	SELL NORTHWEST BANKSHARES INC MD COM First In First Out	NWBH	173.000	1,959.90	2,177.17	217.27
02/16/12	09/10/10*	SELL NORTHWEST BANKSHARES INC MD COM First In First Out	NWBH	100.000	1,132.89	1,258.48	125.59
02/16/12	09/10/10*	SELL NORTHWEST BANKSHARES INC MD COM First In First Out	NWBH	86.000	974.29	1,082.29	108.00

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/16/12	09/10/10	SELL First In First Out	NORTHWEST BANKSHARES INC MD COM	NWBI	41,000	464.48	515.99	51.51
02/16/12	09/10/10	SELL First In First Out	NORTHWEST BANKSHARES INC MD COM	NWBI	100,000	1,152.89	1,258.48	125.59
02/16/12	09/10/10	SELL First In First Out	NORTHWEST BANKSHARES INC MD COM	NWBI	200,000	2,265.78	2,516.96	251.18
02/16/12	01/25/11	SELL First In First Out	NORTHWEST BANKSHARES INC MD COM	NWBI	200,000	2,383.80	2,516.96	133.16
02/16/12	01/25/11	SELL First In First Out	NORTHWEST BANKSHARES INC MD COM	NWBI	300,000	3,575.70	3,775.44	199.74
02/16/12	01/25/11	SELL First In First Out	NORTHWEST BANKSHARES INC MD COM	NWBI	400,000	4,767.60	5,033.91	266.31
02/16/12	02/24/09	SELL First In First Out	NOVATEL WIRELESS INC COM NEW	NVTL	2,600,000	17,109.04	9,224.37	-7,884.67
02/16/12	12/17/08	SELL First In First Out	OCWEN FINL CORP COM NEW	OCN	100,000	591.47	1,557.48	966.01
02/16/12	12/17/08	SELL First In First Out	OCWEN FINL CORP COM NEW	OCN	100,000	591.48	1,557.48	966.00
02/16/12	12/17/08	SELL First In First Out	OCWEN FINL CORP COM NEW	OCN	100,000	591.47	1,557.47	966.00
02/16/12	12/17/08	SELL First In First Out	OCWEN FINL CORP COM NEW	OCN	335,000	1,981.44	5,218.17	3,236.73
02/16/12	12/17/08	SELL First In First Out	OCWEN FINL CORP COM NEW	OCN	100,000	591.48	1,557.47	965.99
02/16/12	12/17/08	SELL First In First Out	OCWEN FINL CORP COM NEW	OCN	100,000	591.48	1,557.47	965.99
02/16/12	12/17/08	SELL First In First Out	OCWEN FINL CORP COM NEW	OCN	100,000	591.47	1,557.45	965.98

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)							
02/16/12	07/25/08	SELL OLIN CORP NEW COM PAR \$1 First In First Out	OLIN	950.000	26,855.65	20,128.14	-6,727.51
02/16/12	05/07/08	SELL ORIENTAL FINANCIAL GROUP INC COM First In First Out	OFG	100.000	1,288.84	1,205.48	-83.36
02/16/12	05/07/08	SELL ORIENTAL FINANCIAL GROUP INC COM First In First Out	OFG	100.000	1,288.84	1,204.48	-84.36
02/16/12	05/07/08	SELL ORIENTAL FINANCIAL GROUP INC COM First In First Out	OFG	675.000	13,424.67	8,131.51	-5,293.16
02/16/12	05/07/08	SELL ORIENTAL FINANCIAL GROUP INC COM First In First Out	OFG	208.000	3,977.68	2,408.96	-1,568.72
02/16/12	05/07/08	SELL ORIENTAL FINANCIAL GROUP INC COM First In First Out	OFG	100.000	1,988.84	1,204.48	-784.36
02/16/12	05/07/08	SELL ORIENTAL FINANCIAL GROUP INC COM First In First Out	OFG	305.000	5,065.96	3,673.65	-1,392.31
02/16/12	05/07/08	SELL ORIENTAL FINANCIAL GROUP INC COM First In First Out	OFG	95.000	1,889.40	1,144.24	-745.16
02/16/12	11/10/10	SELL PHH CORP COM NEW First In First Out	PHH	400.000	8,114.92	5,705.88	-2,409.04
02/16/12	11/10/10	SELL PHH CORP COM NEW First In First Out	PHH	186.000	2,028.73	1,426.47	-602.26
02/16/12	11/10/10	SELL PHH CORP COM NEW First In First Out	PHH	100.000	2,028.73	1,426.48	-602.25

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Long Term (continued)							
02/16/12	11/10/10	SELL PHH CORP COM NEW First In First Out	PHH	100,000	2,038.73	1,426.48	-602.25
02/16/12	11/10/10	SELL PHH CORP COM NEW First In First Out	PHH	400,000	8,114.92	5,706.65	-2,408.27
02/16/12	11/22/10	SELL PHH CORP COM NEW First In First Out	PHH	300,000	6,104.22	4,279.99	-1,824.23
02/16/12	11/22/10	SELL PHH CORP COM NEW First In First Out	PHH	100,000	2,034.74	1,426.48	-608.26
02/16/12	11/22/10	SELL PHH CORP COM NEW First In First Out	PHH	100,000	2,034.74	1,426.98	-607.76
02/16/12	11/22/10	SELL PHH CORP COM NEW First In First Out	PHH	100,000	2,034.74	1,426.98	-607.76
02/17/12	06/22/10	SELL POPULAR INC R/S EFF 5/29/12 1 OLD 7 0.1 First In First Out	733174106	9,930,000	30,187.20	16,831.04	-13,356.16
02/17/12	11/23/10	SELL PROASSURANCE CORP COM First In First Out	PRA	100,000	5,981.94	8,351.00	2,369.06
02/17/12	11/23/10	SELL PROASSURANCE CORP COM First In First Out	PRA	100,000	5,981.94	8,351.00	2,369.06
02/17/12	11/23/10	SELL PROASSURANCE CORP COM First In First Out	PRA	100,000	5,981.94	8,348.00	2,366.06
02/17/12	11/23/10	SELL PROASSURANCE CORP COM First In First Out	PRA	240,000	14,356.66	20,035.64	5,678.98
02/17/12	08/11/09	SELL RTI INTL METALS INC COM First In First Out	RTI	100,000	1,744.69	2,345.46	600.77
02/17/12	08/11/09	SELL RTI INTL METALS INC COM First In First Out	RTI	100,000	1,744.69	2,345.46	600.77
02/17/12	08/11/09	SELL RTI INTL METALS INC COM First In First Out	RTI	100,000	1,744.69	2,343.46	598.77



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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disaffirmance
Long-Term (continued)								
02/17/12	08/11/09*	SELL First In First Out	RTL INTL METALS INC COM	RTI	300.000	5,233.07	7,027.38	1,793.31
02/17/12	08/11/09*	SELL First In First Out	RTI INTL METALS INC COM	RTI	200.000	3,489.38	4,682.92	1,193.54
02/17/12	08/11/09*	SELL First In First Out	RTI INTL METALS INC COM	RTI	100.000	1,744.69	2,341.46	596.77
02/17/12	08/11/09*	SELL First In First Out	RTI INTL METALS INC COM	RTI	750.000	13,085.18	17,554.85	4,469.67
02/17/12	08/11/09*	SELL First In First Out	RTI INTL METALS INC COM	RTI	100.000	1,744.69	2,340.45	595.76
02/17/12	03/23/10*	SELL First In First Out	RADIOSHACK CORP COM	RSH	1,350.000	30,770.55	10,378.48	-20,392.07
02/17/12	11/17/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	100.000	5,549.19	6,934.38	1,385.19
02/17/12	11/17/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	30.000	1,664.76	2,080.02	415.26
02/17/12	11/17/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	100.000	5,549.19	6,933.38	1,384.19
02/17/12	11/17/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	100.000	5,549.19	6,933.38	1,384.19
02/17/12	11/17/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	30.000	1,664.76	2,080.02	415.26

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/17/12	11/17/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	100,000	5,549.19	6,932.38	1,383.19
02/17/12	11/17/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	80,000	4,439.35	5,545.90	1,106.55
02/17/12	11/22/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	20,000	1,144.79	1,386.48	241.69
02/17/12	11/22/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	50,000	1,717.18	2,079.72	362.54
02/17/12	11/22/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	100,000	5,723.95	6,932.37	1,208.42
02/17/12	11/22/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	10,000	572.40	693.04	120.64
02/17/12	11/22/10*	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	240,000	13,737.48	16,630.94	2,893.46
02/17/12	01/19/11	SELL First In First Out	REGAL BELOIT CORP WISCONSIN	RBC	340,000	22,631.55	23,560.50	928.95
02/17/12	03/25/10*	SELL First In First Out	REGIS CORP MINN COM	RCS	100,000	1,857.42	1,799.47	-57.95
02/17/12	03/25/10*	SELL First In First Out	REGIS CORP MINN COM	RCS	100,000	1,857.42	1,799.47	-57.95
02/17/12	03/25/10*	SELL First In First Out	REGIS CORP MINN COM	RCS	100,000	1,857.42	1,799.47	-57.95
02/17/12	03/25/10*	SELL First In First Out	REGIS CORP MINN COM	RCS	1,010,000	18,759.94	18,176.54	-583.40
02/17/12	03/25/10*	SELL First In First Out	REGIS CORP MINN COM	RCS	100,000	1,857.42	1,799.46	-57.96
02/17/12	12/08/10*	SELL First In First Out	STEC INC COM	STEC	100,000	1,758.00	1,006.49	-751.51

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Long-Term (continued)								
02/17/12	12/08/10	SELL First In First Out	STEC INC COM	STEC	200.000	3,516.00	2,010.97	-1,505.03
02/17/12	12/08/10	SELL First In First Out	STEC INC COM	STEC	100.000	1,758.00	1,005.49	-752.51
02/17/12	12/08/10	SELL First In First Out	STEC INC COM	STEC	900.000	15,822.00	9,049.53	-6,772.47
02/17/12	12/08/10	SELL First In First Out	STEC INC COM	STEC	100.000	1,758.00	1,005.49	-752.51
02/17/12	12/08/10	SELL First In First Out	STEC INC COM	STEC	385.000	6,768.30	3,867.98	-2,900.32
02/17/12	09/25/08	SELL First In First Out	SAFETY INS GROUP INC COM	SAFT	2.000	85.83	89.63	3.80
02/17/12	09/25/08	SELL First In First Out	SAFETY INS GROUP INC COM	SAFT	100.000	4,291.27	4,481.42	190.15
02/17/12	09/25/08	SELL First In First Out	SAFETY INS GROUP INC COM	SAFT	100.000	4,291.27	4,481.42	190.15
02/17/12	09/25/08	SELL First In First Out	SAFETY INS GROUP INC COM	SAFT	100.000	4,291.27	4,481.42	190.15
02/17/12	09/25/08	SELL First In First Out	SAFETY INS GROUP INC COM	SAFT	280.000	12,015.55	12,545.71	530.16
02/17/12	09/25/08	SELL First In First Out	SAFETY INS GROUP INC COM	SAFT	98.000	4,205.44	4,391.78	186.34

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disposance
Long Term (continued)								
02/17/12	04/27/10	SELL First In First Out	SALLY BEAUTY HLDGS INC COM	SBH	100.000	853.39	2,345.46	1,492.07
02/17/12	01/27/10	SELL First In First Out	SALLY BEAUTY HLDGS INC COM	SBH	100.000	853.39	2,345.46	1,492.07
02/17/12	01/27/10	SELL First In First Out	SALLY BEAUTY HLDGS INC COM	SBH	100.000	853.39	2,345.46	1,492.07
02/17/12	01/27/10	SELL First In First Out	SALLY BEAUTY HLDGS INC COM	SBH	100.000	853.39	2,345.46	1,492.07
02/17/12	01/27/10	SELL First In First Out	SALLY BEAUTY HLDGS INC COM	SBH	100.000	853.39	2,345.46	1,492.07
02/17/12	01/27/10	SELL First In First Out	SALLY BEAUTY HLDGS INC COM	SBH	100.000	853.39	2,345.46	1,492.07
02/17/12	04/29/08	SELL First In First Out	SINAP ON INC COM	SNA	905.000	54,388.87	56,100.85	1,711.98
02/17/12	04/29/08	SELL First In First Out	SINAP ON INC COM	SNA	100.000	6,009.82	6,198.37	188.55
02/17/12	05/18/10	SELL First In First Out	SOLUTIONA INC COM NEW C/A EFF 07/02/2012	834376501	100.000	1,541.84	2,812.45	1,270.61
02/17/12	05/18/10	SELL First In First Out	SOLUTIONA INC COM NEW C/A EFF 07/02/2012	834376501	100.000	1,541.84	2,812.45	1,270.61
02/17/12	05/18/10	SELL First In First Out	SOLUTIONA INC COM NEW C/A EFF 07/02/2012	834376501	500.000	7,709.20	44,063.20	6,354.00
02/17/12	02/14/11	SELL First In First Out	SOLUTIONA INC COM NEW C/A EFF 07/02/2012	834376501	500.000	12,703.70	14,063.19	1,359.49
02/17/12	02/17/10	SELL First In First Out	SUSQUEHANNA BANKSHS INC PA	SUSQ	600.000	4,854.36	3,846.90	992.54
02/17/12	02/17/10	SELL First In First Out	SUSQUEHANNA BANKSHS INC PA	SUSQ	100.000	809.06	974.49	165.43



2012 Year-End Account Report

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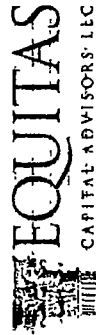
Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)							
02/17/12	02/17/10	SELL SUSQUEHANNA BANKSHS INC PA First In First Out	SUSQ	2,415.000	19,538.80	23,538.33	3,999.53
02/17/12	02/17/10	SELL SUSQUEHANNA BANKSHS INC PA First In First Out	SUSQ	100.000	809.06	974.48	165.42
02/17/12	02/17/10	SELL SUSQUEHANNA BANKSHS INC PA First In First Out	SUSQ	100.000	809.06	974.48	165.42
02/17/12	02/17/10	SELL SUSQUEHANNA BANKSHS INC PA First In First Out	SUSQ	100.000	809.06	974.47	165.41
02/17/12	04/29/08	SELL SYKES ENTERPRISES INC COM First In First Out	SYKE	300.000	5,063.52	5,221.41	157.89
02/17/12	04/29/08	SELL SYKES ENTERPRISES INC COM First In First Out	SYKE	100.000	1,687.84	1,739.47	51.63
02/17/12	04/29/08	SELL SYKES ENTERPRISES INC COM First In First Out	SYKE	100.000	1,687.84	1,733.47	45.63
02/17/12	04/29/08	SELL SYKES ENTERPRISES INC COM First In First Out	SYKE	586.000	9,890.74	10,159.23	268.49
02/17/12	04/29/08	SELL SYKES ENTERPRISES INC COM First In First Out	SYKE	280.000	3,375.68	3,466.93	91.25
02/17/12	05/13/10	SELL ZETON ADVISORS INC CL A First In First Out	TETAA	9.000	Please Provide	129.49	129.49

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/17/12	06/19/08*	• SELL First In First Out	TIMKEN CO COM	TKR	100,000	3,618.72	5,334.41	1,715.69
02/17/12	06/19/08*	• SELL First In First Out	TIMKEN CO COM	TKR	100,000	3,618.72	5,334.41	1,715.69
02/17/12	06/19/08*	• SELL First In First Out	TIMKEN CO COM	TKR	700,000	25,331.03	37,342.14	12,011.10
02/17/12	06/26/09*	• SELL First In First Out	TREEHOUSE FOODS INC COM	THS	2,000	57.64	114.05	56.39
02/17/12	06/26/09*	• SELL First In First Out	TREEHOUSE FOODS INC COM	THS	100,000	2,881.80	5,701.40	2,819.60
02/17/12	06/26/09*	• SELL First In First Out	TREEHOUSE FOODS INC COM	THS	100,000	2,881.80	5,698.40	2,816.60
02/17/12	06/26/09*	• SELL First In First Out	TREEHOUSE FOODS INC COM	THS	100,000	2,881.80	5,697.40	2,815.60
02/17/12	06/26/09*	• SELL First In First Out	TREEHOUSE FOODS INC COM	THS	100,000	2,881.80	5,696.40	2,814.60
02/17/12	06/26/09*	• SELL First In First Out	TREEHOUSE FOODS INC COM	THS	100,000	2,881.80	5,694.40	2,812.60
02/17/12	06/26/09*	• SELL First In First Out	TREEHOUSE FOODS INC COM	THS	320,000	9,221.76	18,216.27	8,994.51
02/17/12	06/26/09*	• SELL First In First Out	TREEHOUSE FOODS INC COM	THS	98,000	2,824.16	5,579.52	2,755.36
02/17/12	08/10/10*	• SELL First In First Out	TRINITY INDS INC COM	TRN	100,000	1,887.91	3,487.44	1,599.53
02/17/12	08/10/10*	• SELL First In First Out	TRINITY INDS INC COM	TRN	32,000	607.33	1,115.66	508.33
02/17/12	08/10/10*	• SELL First In First Out	TRINITY INDS INC COM	TRN	25,000	474.48	871.62	397.14

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)								
02/17/12	08/10/10	SELL First In First Out	TRINITY INDS INC COM	TRN	100.000	1,897.91	3,486.44	1,588.53
02/17/12	08/10/10	SELL First In First Out	TRINITY INDS INC COM	TRN	43.000	816.10	1,499.18	683.08
02/17/12	08/10/10	SELL First In First Out	TRINITY INDS INC COM	TRN	57.000	1,081.81	1,987.28	905.47
02/17/12	08/10/10	SELL First In First Out	TRINITY INDS INC COM	TRN	100.000	1,897.91	3,486.44	1,588.53
02/17/12	08/10/10	SELL First In First Out	TRINITY INDS INC COM	TRN	643.000	12,203.56	22,412.56	10,209.00
02/17/12	02/14/11	SELL First In First Out	TRINITY INDS INC COM	TRN	157.000	4,998.75	5,472.43	473.68
02/17/12	02/14/11	SELL First In First Out	TRINITY INDS INC COM	TRN	200.000	6,367.84	6,972.87	605.03
02/17/12	02/14/11	SELL First In First Out	TRINITY INDS INC COM	TRN	43.000	1,369.09	1,499.15	130.06
02/17/12	05/27/10	SELL First In First Out	TUESDAY MORNING CORP COM-NEW	TUES	100.000	561.63	357.50	-204.13
02/17/12	05/27/10	SELL First In First Out	TUESDAY MORNING CORP COM-NEW	TUES	100.000	561.63	357.50	-204.13
02/17/12	05/27/10	SELL First In First Out	TUESDAY MORNING CORP COM-NEW	TUES	100.000	561.63	357.50	-204.13

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Description	Disposition Transaction	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Long Term (continued)								
02/17/12	05/27/10	TUESDAY MORNING CORP COM NEW	SELL First In First Out	TUES	100.000	-561.63	357.50	-204.13
02/17/12	05/27/10	TUESDAY MORNING CORP COM NEW	SELL First In First Out	TUES	100.000	561.63	357.50	-204.13
02/17/12	05/27/10	TUESDAY MORNING CORP COM NEW	SELL First In First Out	TUES	200.000	1,123.26	714.95	-408.27
02/17/12	05/27/10	TUESDAY MORNING CORP COM NEW	SELL First In First Out	TUES	100.000	561.63	357.50	-204.13
02/17/12	05/27/10	TUESDAY MORNING CORP COM NEW	SELL First In First Out	TUES	100.000	561.63	357.50	-204.13
02/17/12	05/27/10	TUESDAY MORNING CORP COM NEW	SELL First In First Out	TUES	100.000	561.63	356.50	-205.13
02/17/12	05/27/10	TUESDAY MORNING CORP COM NEW	SELL First In First Out	TUES	3,265.000	18,337.22	11,613.02	-6,724.20
02/17/12	05/27/10	TUESDAY MORNING CORP COM NEW	SELL First In First Out	TUES	300.000	1,684.89	1,066.47	-618.42
02/17/12	05/27/10	TUESDAY MORNING CORP COM NEW	SELL First In First Out	TUES	100.000	561.63	355.48	-206.15
02/17/12	05/05/10	USEC INC COM	SELL First In First Out	USU	6,435.000	34,958.78	9,059.66	-22,899.12
02/17/12	09/23/09	UMPQUA HLDGS CORP COM	SELL First In First Out	UMPQ	100.000	1,113.19	1,285.48	172.29
02/17/12	09/23/09	UMPQUA HLDGS CORP COM	SELL First In First Out	UMPQ	100.000	1,113.19	1,285.48	172.29
02/17/12	09/23/09	UMPQUA HLDGS CORP COM	SELL First In First Out	UMPQ	300.000	3,339.57	3,856.43	516.86
02/17/12	09/23/09	UMPQUA HLDGS CORP COM	SELL First In First Out	UMPQ	100.000	1,113.19	1,285.48	172.29

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)							
02/17/12	09/23/09	SELL UMPQUA HLDGS CORP COM First In First Out	UMPQ	100,000	1,113.19	1,285.48	172.29
02/17/12	09/23/09	SELL UMPQUA HLDGS CORP COM First In First Out	UMPQ	100,000	1,113.19	1,285.48	172.29
02/17/12	09/23/09	SELL UMPQUA HLDGS CORP COM First In First Out	UMPQ	100,000	1,113.19	1,285.48	172.29
02/17/12	09/23/09	SELL UMPQUA HLDGS CORP COM First In First Out	UMPQ	100,000	1,113.19	1,285.48	172.29
02/17/12	09/23/09	SELL UMPQUA HLDGS CORP COM First In First Out	UMPQ	100,000	1,113.19	1,285.48	172.29
02/17/12	09/23/09	SELL UMPQUA HLDGS CORP COM First In First Out	UMPQ	100,000	1,113.19	1,285.48	172.29
02/17/12	09/23/09	SELL UMPQUA HLDGS CORP COM First In First Out	UMPQ	100,000	1,113.19	1,285.48	172.29
02/17/12	09/23/09	SELL UMPQUA HLDGS CORP COM First In First Out	UMPQ	955,000	10,408.33	12,020.96	1,612.63
02/17/12	09/23/09	SELL UMPQUA HLDGS CORP COM First In First Out	UMPQ	100,000	1,113.19	1,285.46	172.27
02/17/12	04/30/08	SELL VAIL RESORTS INC COM First In First Out	MTN	100,000	4,929.73	4,180.43	-749.30
02/17/12	04/30/08	SELL VAIL RESORTS INC COM First In First Out	MTN	100,000	4,929.73	4,180.43	-749.30

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Displacement
Long Term (continued)							
02/17/12	04/30/08	SELL First In First Out VAIL RESORTS INC COM	MTN	-400,000	19,718.92	16,722.46	-2,996.46
02/17/12	04/30/08	SELL First In First Out VAIL RESORTS INC COM	MTN	100,000	4,929.73	4,180.42	-749.31
02/17/12	04/30/08	SELL First In First Out VAIL RESORTS INC COM	MTN	100,000	4,929.73	4,180.42	-749.31
02/17/12	04/30/08	SELL First In First Out VAIL RESORTS INC COM	MTN	100,000	4,929.73	4,180.42	-749.31
02/17/12	01/08/09	SELL First In First Out VECTREN CORP COM	WC	100,000	2,500.68	2,977.45	476.77
02/17/12	04/08/09	SELL First In First Out VECTREN CORP COM	WC	-24,000	600.16	714.59	114.43
02/17/12	01/08/09	SELL First In First Out VECTREN CORP COM	WC	100,000	2,500.68	2,977.45	476.77
02/17/12	01/08/09	SELL First In First Out VECTREN CORP COM	WC	76,000	1,900.52	2,262.10	361.58
02/17/12	01/08/09	SELL First In First Out VECTREN CORP COM	WC	990,000	24,756.73	29,468.68	4,711.95
02/17/12	06/28/10	SELL First In First Out VIROPHARMA INC COM	VPHM	100,000	1,177.50	3,238.45	2,060.95
02/17/12	06/28/10	SELL First In First Out VIROPHARMA INC COM	VPHM	200,000	2,355.00	6,476.89	4,121.89
02/17/12	06/28/10	SELL First In First Out VIROPHARMA INC COM	VPHM	100,000	1,177.50	3,238.45	2,060.95
02/17/12	06/28/10	SELL First In First Out VIROPHARMA INC COM	VPHM	1,715,000	20,194.13	55,539.26	35,345.13
02/17/12	06/28/10	SELL First In First Out VIROPHARMA INC COM	VPHM	200,000	2,355.00	6,476.88	4,121.88

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses - (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)							
02/17/12	06/28/10	SELL VIOPHARMA INC COM First In First Out	VPHM	100,000	1,177.50	3,238.43	2,060.93
02/17/12	04/30/08	SELL WABTEC COM First In First Out	WAB	100,000	4,330.20	7,063.38	2,733.18
02/17/12	04/30/08	SELL WABTEC COM First In First Out	WAB	100,000	4,330.20	7,063.38	2,733.18
02/17/12	04/30/08	SELL WABTEC COM First In First Out	WAB	100,000	4,330.20	7,063.37	2,733.17
02/17/12	04/30/08	SELL WABTEC COM First In First Out	WAB	100,000	4,330.20	7,063.37	2,733.17
02/17/12	04/30/08	SELL WABTEC COM First In First Out	WAB	450,000	19,485.90	31,786.02	12,300.12
02/17/12	08/14/08	SELL WALTER ENERGY INC COM First In First Out	WLT	200,000	14,838.27	13,196.76	-1,641.51
02/17/12	09/22/08	SELL WALTER ENERGY INC COM First In First Out	WLT	100,000	5,933.07	6,598.38	665.31
02/17/12	09/22/08	SELL WALTER ENERGY INC COM First In First Out	WLT	100,000	5,933.07	6,598.38	665.31
02/17/12	12/10/09	SELL WELLCARE HEALTH PLANS INC First In First Out	WCG	100,000	3,703.38	6,938.38	3,235.00
02/17/12	12/10/09	SELL WELLCARE HEALTH PLANS INC First In First Out	WCG	100,000	3,703.38	6,938.38	3,235.00

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disadvantage
Long Term (continued)								
02/17/12	12/10/09	SELL First In First Out	WELLCARE-HEALTH PLANS-ING	WCG	100,000	3,703.38	6,938.38	-3,235.00
02/17/12	12/10/09	SELL First In First Out	WELLCARE-HEALTH PLANS-ING	WCG	415,000	15,369.03	28,795.04	13,426.01
02/17/12	09/23/08	SELL First In First Out	WERNER ENTERPRISES INC.	WERN	100,000	2,518.08	2,542.46	24.38
02/17/12	09/23/08	SELL First In First Out	WERNER ENTERPRISES INC.	WERN	100,000	2,518.08	2,542.46	24.38
02/17/12	09/23/08	SELL First In First Out	WERNER ENTERPRISES INC.	WERN	100,000	2,518.08	2,542.46	24.38
02/17/12	09/23/08	SELL First In First Out	WERNER ENTERPRISES INC.	WERN	410,000	10,324.13	10,424.83	100.70
02/17/12	09/23/08	SELL First In First Out	WERNER ENTERPRISES INC.	WERN	100,000	2,518.08	2,542.45	24.37
02/17/12	09/23/08	SELL First In First Out	WERNER ENTERPRISES INC.	WERN	100,000	2,518.08	2,542.45	24.37
02/17/12	09/23/08	SELL First In First Out	WERNER ENTERPRISES INC.	WERN	100,000	2,518.08	2,542.45	24.37
02/17/12	03/24/10	SELL First In First Out	WESTERN REFINING-ING-COM	WNR	100,000	534.64	1,851.47	1,316.83
02/17/12	03/24/10	SELL First In First Out	WESTERN REFINING-ING-COM	WNR	100,000	534.64	1,851.47	1,316.83
02/17/12	03/24/10	SELL First In First Out	WESTERN REFINING-ING-COM	WNR	100,000	534.64	1,851.47	1,316.83
02/17/12	03/24/10	SELL First In First Out	WESTERN REFINING-ING-COM	WNR	100,000	534.64	1,851.47	1,316.83
02/17/12	03/24/10	SELL First In First Out	WESTERN REFINING-ING-COM	WNR	100,000	534.64	1,851.47	1,316.83

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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Displacement
Long Term (continued)							
02/17/12	03/24/10*	SELL First In First Out WESTERN REFINING INC COM	WNR	100.000	534.64	1,850.47	1,315.83
02/17/12	03/24/10*	SELL First In First Out WESTERN REFINING INC COM	WNR	100.000	534.64	1,850.47	1,315.83
02/17/12	03/24/10*	SELL First In First Out WESTERN REFINING INC COM	WNR	100.000	534.64	1,850.47	1,315.83
02/17/12	03/24/10*	SELL First In First Out WESTERN REFINING INC COM	WNR	100.000	534.64	1,850.47	1,315.83
02/17/12	03/24/10*	SELL First In First Out WESTERN REFINING INC COM	WNR	100.000	534.64	1,850.47	1,315.83
02/17/12	03/24/10*	SELL First In First Out WESTERN REFINING INC COM	WNR	100.000	534.64	1,850.47	1,315.83
02/17/12	03/24/10*	SELL First In First Out WESTERN REFINING INC COM	WNR	100.000	534.64	1,850.47	1,315.83
02/17/12	03/24/10*	SELL First In First Out WESTERN REFINING INC COM	WNR	300.000	1,603.92	5,551.40	3,947.48
02/17/12	03/24/10*	SELL First In First Out WESTERN REFINING INC COM	WNR	456.000	2,437.96	8,438.13	-6,000.17
02/17/12	03/24/10*	SELL First In First Out WESTERN REFINING INC COM	WNR	100.000	534.64	1,850.47	1,315.83
02/17/12	03/24/10*	SELL First In First Out WESTERN REFINING INC COM	WNR	2,010.000	10,746.26	37,198.17	26,451.91

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Displacement
Long-Term (continued)								
02/17/12	03/24/10*	SELL First In First	WESTERN-REFINING-INC COM	WNIR	400.000	2,138.56	7,401.86	5,263.30
02/17/12	03/24/10*	Out						
02/17/12	03/24/10*	SELL First In First	WESTERN-REFINING-INC COM	WNIR	544.000	2,908.44	10,066.52	7,158.08
02/17/12	04/30/08*	Out						
02/17/12	04/30/08*	SELL First In First	WRIGHT EXPRESS CORP COM N/C EFF 10/29/12	98233Q105	100.000	3,346.40	6,164.39	2,817.99
02/17/12	04/30/08*	Out						
02/17/12	04/30/08*	SELL First In First	WRIGHT EXPRESS CORP COM N/C EFF 10/29/12	98233Q105	200.000	6,692.80	12,330.78	5,637.98
02/17/12	04/30/08*	Out						
02/17/12	04/30/08*	SELL First In First	WRIGHT EXPRESS CORP COM N/C EFF 10/29/12	98233Q105	200.000	6,692.80	12,328.78	5,635.98
02/17/12	04/30/08*	Out						
02/17/12	04/30/08*	SELL First In First	WRIGHT EXPRESS CORP COM N/C EFF 10/29/12	98233Q105	100.000	3,346.40	6,165.39	2,818.99
02/17/12	04/30/08*	Out						
02/17/12	04/30/08*	SELL First In First	WRIGHT EXPRESS CORP COM N/C EFF 10/29/12	98233Q105	100.000	3,346.40	6,163.39	2,816.99
02/17/12	04/30/08*	Out						
02/17/12	04/30/08*	SELL First In First	WRIGHT EXPRESS CORP COM N/C EFF 10/29/12	98233Q105	500.000	16,732.00	30,817.89	14,085.89
02/17/12	04/30/08*	Out						
02/17/12	04/30/08*	SELL First In First	WRIGHT EXPRESS CORP COM N/C EFF 10/29/12	98233Q105	100.000	3,346.40	6,163.38	2,816.98
02/17/12	04/30/08*	Out						
02/17/12	04/30/08*	SELL First In First	WYNNDHAM WORLDWIDE CORP COM	WYN	100.000	2,193.52	4,389.43	2,195.91
02/17/12	04/30/08*	Out						
02/17/12	04/30/08*	SELL First In First	WYNNDHAM WORLDWIDE CORP COM	WYN	37.000	811.60	1,624.10	812.50
02/17/12	04/30/08*	Out						
02/17/12	04/30/08*	SELL First In First	WYNNDHAM WORLDWIDE CORP COM	WYN	1,100.000	24,128.72	48,285.82	24,157.10
02/17/12	04/30/08*	Out						
02/17/12	04/30/08*	SELL First In First	WYNNDHAM WORLDWIDE CORP COM	WYN	63.000	1,381.92	2,765.32	1,383.40
02/17/12	04/30/08*	Out						
02/28/12	07/07/08*	ZMEC Versus Purchase	TEKELEC C/A EFF 01/27/2012 1 OLD/	879101103	2,115.000	31,353.39	23,265.00	-8,088.39

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (Continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Total Long Term					\$2,613,362.32	\$3,234,357.14	(620,994.82)
Total Short Term and Long Term					\$25,628,996.55	\$26,449,389.68	\$820,393.13

* Noncovered under the cost basis rules as defined below:

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered" under the new cost basis reporting rules, and marked as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on Form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end; in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

1 The cost basis of this security has been provided to us by you or your introducing firm and Pershing makes no representation as to the accuracy of this information.

2 Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

3 Realized loss is net of a disallowance due to a wash sale.

Please refer to the Client Service Information Section in your brokerage account report for your account's existing tax lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Short Term								
07/10/12	03/21/12	SELL First In First Out	AMERICAN CAMPUS CMNTYS INC COM	ACC	510.000	22,417.40	23,025.16	607.76
07/10/12	03/22/12	SELL First In First Out	AMERICAN CAMPUS CMNTYS INC COM	ACC	220.000	9,541.80	9,932.42	390.62
10/01/12	03/21/12	SELL First In First Out	ALEXANDRIA REAL ESTATE EQUITIES INC	ARE	150.000	11,006.40	10,889.90	-116.50
10/01/12	03/22/12	SELL First In First Out	AMERICAN CAMPUS CMNTYS INC COM	ACC	410.000	17,782.46	17,678.80	-103.66
10/01/12	03/21/12	SELL First In First Out	BOSTON PPTYS INC COM	BXP	130.000	13,594.23	14,221.71	627.48
10/01/12	03/21/12	SELL Versus Purchase	BRANDYWINE REALTY TRUST SBI	BDN	900.000	10,205.91	10,871.84	665.93
10/01/12	03/22/12	SELL Versus Purchase	BRANDYWINE REALTY TRUST SBI	BDN	730.000	8,138.01	8,818.27	680.26

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/01/12	03/21/12	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	550,000	10,290.45	11,550.32	1,259.87
10/01/12	03/22/12	SELL First In First Out	CBL & ASSOC PPTYS INC REIT	CBL	460,000	8,477.80	9,660.27	1,182.47
10/01/12	03/21/12	SELL First In First Out	CBL & ASSOC PPTYS INC DEP SHS REPSTG	CBL PR	110,000	2,757.69	2,782.93	25.24
10/01/12	03/21/12	SELL First In First Out	CAMDEN PPTY TR COM	CPT	180,000	11,473.04	11,498.16	25.12
10/01/12	03/22/12	SELL First In First Out	CAMDEN PPTY TR COM	CPT	80,000	4,993.57	5,110.29	116.72
10/01/12	03/21/12	SELL First In First Out	DIGITAL RLTY TR INC COM	DLR	200,000	14,536.00	13,779.69	-756.31
10/01/12	03/22/12	SELL First In First Out	DIGITAL RLTY TR INC COM	DLR	70,000	4,992.55	4,822.89	-169.66
10/01/12	03/21/12	SELL First In First Out	DOUGLAS EMMETT INC COM	DEI	510,000	11,495.40	11,694.08	198.68
10/01/12	03/22/12	SELL First In First Out	DOUGLAS EMMETT INC COM	DEI	130,000	2,869.09	2,980.85	111.76
10/01/12	03/21/12	SELL First In First Out	EASTGROUP PPTYS INC COM	EGP	200,000	9,884.00	10,600.05	716.05
10/01/12	03/22/12	SELL First In First Out	EASTGROUP PPTYS INC COM	EGP	60,000	2,930.98	3,180.02	249.04
10/01/12	03/21/12	SELL First In First Out	ESSEX PROPERTY TRUST	ESS	90,000	13,277.70	13,133.22	-144.48
10/01/12	03/22/12	SELL First In First Out	ESSEX PROPERTY TRUST	ESS	40,000	5,824.96	5,836.99	12.03
10/01/12	03/21/12	SELL First In First Out	FEDERAL RLTY INVT TR SH BEN INT NEW	FRT	130,000	12,690.86	13,641.37	950.51

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2012 Year-End Account Report

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Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/01/12	03/21/12	SELL First In First Out	HCP INC COM	HCP	220,000	8,918.14	9,752.38	834.24
10/01/12	03/22/12	SELL First In First Out	HCP INC COM	HCP	40,000	1,583.88	1,773.16	189.28
10/01/12	03/21/12	SELL First In First Out	HEALTH CARE REIT INC COM	HCN	240,000	13,080.00	13,776.63	696.63
10/01/12	03/22/12	SELL First In First Out	HEALTH CARE REIT INC COM	HCN	20,000	1,073.94	1,148.05	74.11
10/01/12	03/21/12	SELL First In First Out	HOSPITALITY PPTYS TR COM SH BEN INT	HPT	410,000	10,631.30	9,696.32	-934.98
10/01/12	03/21/12	SELL First In First Out	HOST HOTELS & RESORTS INC	HST	820,000	13,382.40	12,980.31	-402.09
10/01/12	03/22/12	SELL First In First Out	HOST HOTELS & RESORTS INC	HST	70,000	1,121.89	1,108.07	-13.82
10/01/12	03/21/12	SELL Versus Purchase	LTC PROPERTIES INC	LTC	240,000	7,391.90	7,603.62	211.72
10/01/12	03/22/12	SELL Versus Purchase	LTC PROPERTIES INC	LTC	140,000	4,234.80	4,435.44	200.64
10/01/12	03/21/12	SELL First In First Out	LEXINGTON RLTY TR COM	LXP	870,000	8,003.53	8,335.50	331.97
10/01/12	03/21/12	SELL Versus Purchase	MONMOUTH REAL ESTATE INVT CORP CL A	MNR	450,000	4,288.50	5,125.40	836.90



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/01/12	03/22/12	SELL Versus Purchase	MONMOUTH REAL ESTATE INVT CORP CL A	MINR	30.000	283.19	341.69	58.50
10/01/12	03/21/12	SELL First In First Out	PLUM CREEK TIMBER CO INC COM	PCL	140.000	5,789.98	6,019.86	229.88
10/01/12	03/21/12	SELL First In First Out	PUBLIC STORAGE COMMON STOCK	PSA	130.000	17,826.90	18,015.09	188.19
10/01/12	03/21/12	SELL First In First Out	RAYONIER INC COM	RYN	150.000	6,642.00	7,296.78	654.78
10/01/12	03/21/12	SELL Versus Purchase	REALTY INCOME CORP COM	O	330.000	12,599.40	13,447.50	848.10
10/01/12	03/22/12	SELL Versus Purchase	REALTY INCOME CORP COM	O	170.000	6,427.66	6,927.50	499.84
10/01/12	03/21/12	SELL First In First Out	SIMON PPTY GROUP INC NEW COM	SPG	300.000	43,404.00	45,233.01	1,829.01
10/01/12	03/22/12	SELL First In First Out	SIMON PPTY GROUP INC NEW COM	SPG	70.000	9,970.80	10,554.37	583.57
10/01/12	03/21/12	SELL First In First Out	TANGER FACTORY OUTLET CENTERS INC	SKT	410.000	12,246.66	12,977.68	731.02
10/01/12	03/22/12	SELL First In First Out	TANGER FACTORY OUTLET CENTERS INC	SKT	10.000	294.80	316.53	21.73
10/01/12	03/21/12	SELL First In First Out	VENTAS INC COM	VTR	180.000	10,303.20	11,111.16	807.96
10/01/12	03/22/12	SELL First In First Out	VENTAS INC COM	VTR	90.000	5,054.02	5,555.58	501.56
10/01/12	03/21/12	SELL First In First Out	WEINGARTEN REALTY INVESTORS SHARES OF	WRI	410.000	10,758.40	11,307.97	549.57
10/01/12	03/22/12	SELL First In First Out	WEINGARTEN REALTY INVESTORS SHARES OF	WRI	250.000	6,437.47	6,895.10	457.63

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2012 Year-End Account Report

Report Period: 02/16/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/03/12	03/21/12	SELL First In First Out	PLUM CREEK TIMBER CO INC COM	PCL	20.000	827.14	846.65	19.51
10/03/12	03/22/12	SELL First In First Out	PLUM CREEK TIMBER CO INC COM	PCL	320.000	13,145.60	13,546.44	400.84
10/03/12	03/23/12	SELL First In First Out	PLUM CREEK TIMBER CO INC COM	PCL	340.000	14,035.20	14,393.09	357.89
10/03/12	03/26/12	SELL First In First Out	PLUM CREEK TIMBER CO INC COM	PCL	260.000	10,779.60	11,006.48	226.88
Total Short Term						\$469,716.60	\$487,236.59	\$17,519.99
Total Short Term and Long Term						\$469,716.60	\$487,236.59	\$17,519.99

Securities acquired before 2011 are generally not subject to the new cost basis reporting rules set forth in the Internal Revenue Code of 1986, as amended ("IRC") (incorporating amendments enacted by P.L. 110-343, the Emergency Economic Stabilization Act of 2008) and are, therefore, considered "noncovered," under the new cost basis reporting rules, and marked or denoted as such. All other securities in this section are securities which are "covered" under the new cost basis reporting rules. Securities which are "covered" under the new cost basis reporting rules are defined as securities which have been acquired on or after their "applicable date(s)" at which they are subject to the cost basis reporting rules and the adjusted basis will be reported to the IRS on form 1099-B for the applicable tax year in which the security is disposed.

Reporting requirements generally will be phased in over a three-year period, as follows:

- Stock in a corporation acquired on or after January 1, 2011
- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
- Other securities, principally debt securities and options, acquired on or after January 1, 2013, or later, as determined by the Secretary of the Treasury.

This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

2012 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals report details on Form 1040 Schedule D Line 1, 2, 3, 8, 9 or 10)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Quantity (Box 1e)	Disposition Transaction	Date of Acquisition (Box 1b)	Disposition Method	Date of Sale or Exchange (Box 1d)	Gross Proceeds Less Commissions and Fees (Box 2a)	Adjustments		
						Cost or Other Basis (Box 3)	Wash Sale Loss (Box 5)	Option Premium k=Return of Capital

Short-Term Transactions for Which Basis Is Reported to the IRS: Report on Form 8949, Part I, with Box A Checked

Covered (Box 5b)

Description (Box 9): RS-GLOBAL-NATURAL-RE-SOURCES FUND CLASS Y						CUSIP (Box 1d): 74972H648		
105317483	SELL	VARIOUS	AVERAGE COST	10/01/2012	406,094.00	411,043.78		
1082402	SELL	VARIOUS	AVERAGE COST	10/02/2012	41,640.00	42,246.13		
	SECURITY TOTAL				447,734.00	453,289.92		
Short-Term Covered Total						447,734.00	453,289.92	0.00
Short-Term Total						447,734.00	453,289.92	0.00
Total						447,734.00	453,289.92	0.00

Important Cost Basis Information for 2012 Tax Reporting

Effective January 1, 2012, the cost basis reporting rules have been extended to mutual fund shares, stock-in-eligible dividend reinvestment plans (DRPs) and exchange-traded funds (ETFs) that are treated like mutual funds for tax purposes. Additional phased-in rules for debt instruments (e.g., bonds) and options will be implemented in 2014. The new tax rules require us to report the original or adjusted purchase price (cost basis) both to you and the Internal Revenue Service (IRS) when covered mutual fund shares, stock in DRPs and ETFs that are treated like mutual funds for tax purposes. In your taxable

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 One Pershing Plaza, Jersey City, NJ 07399
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2012 Year-End Account Report

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term								
05/07/12	03/23/12*	SELL First In First Out	ARES CAP CORP COM	ARCC	5,554,000	89,974.51	87,139.74	-2,834.77
05/07/12	03/23/12*	SELL First In First Out	GOLLUB CAP BDC INC COM	GBDC	1,273,000	18,834.94	18,394.30	-440.64
06/06/12	03/23/12*	SELL First In First Out	TEMPUR-PEDIC INTL INC COM	TPX	1,012,000	82,550.49	23,467.35	-59,083.14
06/13/12	03/23/12*	SELL First In First Out	LINCOLN ELEC HLDGS INC COM	LECO	623,000	28,035.00	27,781.74	-253.26
06/25/12	03/23/12*	SELL First In First Out	FEDERATED INVS INC PA CL B	FII	3,072,000	70,533.12	64,012.28	-6,520.84

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
09/11/12	03/23/12*	SELL First In First Out	LINCOLN ELEC HLDGS INC COM	LECO	529,000	23,805.00	22,224.80	-1,580.20
10/01/12	03/23/12*	SELL First In First Out	ADTRAN INC COM	ADTN	525,000	15,948.60	8,914.30	-7,034.30
10/01/12	03/23/12*	SELL First In First Out	BALCHEM CORP COM	BCPC	175,000	5,017.25	6,544.99	1,527.74
10/01/12	03/23/12*	SELL First In First Out	CARBO CERAMICS INC COM	CRR	295,000	29,620.12	18,800.51	-10,819.61
10/01/12	03/23/12*	SELL First In First Out	CLARCOR INC	CLC	235,000	11,508.86	10,446.45	-1,062.41
10/01/12	03/26/12*	SELL First In First Out	COMPUTER SVCS INC COM	CSW	165,000	5,380.73	4,760.14	-620.59
10/01/12	03/23/12*	SELL First In First Out	CORPORATE EXECUTIVE BRD CO COM	CEB	655,000	28,013.04	34,374.41	6,361.37
10/01/12	06/21/12*	SELL First In First Out	EATON VANCE CORP COM NON VTG	EV	515,000	13,183.95	14,786.17	1,602.22
10/01/12	03/23/12*	SELL First In First Out	ENTERTAINMENT PPTYS TR COM SH BEN INT	29380T105	185,000	8,594.99	8,276.73	-318.26
10/01/12	03/23/12*	SELL First In First Out	FIRST CASH FIN'L SVCS INC (FORMALLY)	FCFS	620,000	26,610.48	28,878.27	2,267.79
10/01/12	03/23/12*	SELL First In First Out	GRACO INC	GGG	295,000	15,069.10	14,481.25	-587.85
10/01/12	03/23/12*	SELL First In First Out	HENRY JACK & ASSOC INC COM	JKHY	575,000	19,475.02	21,677.18	2,202.16
10/01/12	03/23/12*	SELL First In First Out	HILLENBRAND INC COM	HI	865,000	19,804.87	15,708.09	-4,096.78
10/01/12	03/26/12*	SELL First In First Out	NATIONAL BEVERAGE CORP	FIZZ	590,000	9,510.74	8,903.37	-607.37

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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
10/01/12	03/27/12*	SELL First In First Out	NATIONAL BEVERAGE CORP	FIZZ	10,000	161.30	150.90	-10.40
10/01/12	03/27/12*	SELL First In First Out	OWENS AND MINOR INC HLDGS CO INC	OMI	390,000	11,898.94	11,649.05	-249.89
10/01/12	03/23/12*	SELL First In First Out	RLI CORP	RLI	210,000	14,794.51	14,002.57	-791.94
10/01/12	03/23/12*	SELL First In First Out	SYNTEL INC COM	SYNT	290,000	15,716.89	18,539.48	2,822.59
10/01/12	03/23/12*	SELL First In First Out	WD 40 CO COM	WDFC	710,000	31,747.58	37,229.21	5,481.63
10/01/12	05/03/12*	SELL First In First Out	WESTAMERICA BANCORPORATION COM	WABC	220,000	9,999.59	10,240.23	240.64
10/01/12	03/23/12*	SELL First In First Out	WILEY JOHN & SONS INC CLASS A	JW A	380,000	18,033.04	17,277.45	-755.59
10/01/12	03/23/12*	SELL First In First Out	WORLD FUEL SVCS CORP COM	INT	260,000	10,696.40	9,371.05	-1,325.35
10/01/12	03/26/12*	SELL First In First Out	YOUNG INNOVATIONS INC COM	YDNT	110,000	3,519.58	4,327.70	808.12
10/24/12	03/23/12*	SELL First In First Out	HILLENBRAND INC COM	HI	934,000	21,384.68	19,239.96	-2,144.72
12/11/12	03/26/12*	SELL First In First Out	YOUNG INNOVATIONS INC COM	YDNT	680,000	21,757.38	27,068.90	5,311.52

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Short Term (continued)								
12/14/12	03/27/12*	CLEU First In First Out	CASS INFORMATION SYS INC COM	CASS	0.900	33.80	37.98	4.18
Total Short Term						\$681,214.50	\$608,706.55	-\$72,507.95
Total Short Term and Long Term						\$681,214.50	\$608,706.55	-\$72,507.95

* Noncovered under the cost basis rules as defined below.

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Reporting requirements generally will be phased in over a three-year period, as follows:

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- Mutual funds and dividend reinvestment plan (DRP) shares acquired on or after January 1, 2012
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This Schedule may not reflect all cost basis adjustments necessary for tax reporting purposes, especially for noncovered securities. Adjustments to cost basis may have been made for prior income received and subsequently reclassified by the issuer as a return of capital. In addition, corporate action events may require adjustments to your original cost basis. Return of capital information and cost basis information, as it relates to corporate actions, has been obtained from sources we believe to be reliable.

Adjustments to cost basis can be made after year-end, in particular, for return of capital adjustments, but may also include adjustments for corporate action events. Therefore there may be differences in cost basis reflected on your monthly client brokerage report at year end versus any subsequent reports, including your 1099-B or online displays you may have available to you.

When you report your cost basis on your tax return, it should be verified using all of your own records. In particular, there may be other adjustments which you need to make, but are not required to be made by Pershing as it relates to H.R. 1424. You should consult with your tax advisor in order to properly report your gain or loss for tax purposes. Pershing shall not be responsible for and makes no representations or warranties with respect to the accuracy of any information that you report to the IRS or other taxing authorities, and, accordingly, disclaims any and all liability that may arise with respect to your use and reliance on the information provided herein for such reporting.

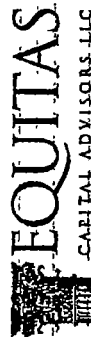
Please refer to the Client Service Information Section in your brokerage account report for your account's existing tax-lot disposition method. The disposition method is the method which you have selected to use in the disposal of each tax lot of the securities held in your account. If you do not select a method, your account will be defaulted to First In, First Out (FIFO). Your account's selected tax lot method will be used to determine the cost basis for calculating gain and/or loss, unless another method was selected at the time of the security disposal, and this may be reported on the IRS Form 1099-B.

Important Arbitration Disclosures and Important Arbitration Agreement

Important Arbitration Disclosures

- All parties to this agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which a claim is filed.
- Arbitration awards are generally final and binding; a party's ability to have a court reverse or modify an arbitration award is very limited.
- The ability of the parties to obtain documents, witness reports and other discovery is generally more limited in arbitration than in court proceedings.
- The arbitrators do not have to explain the reason(s) for their award, unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.
- The panel of arbitrators will typically include a minority of arbitrators who were or are affiliated with the securities industry.
- The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.

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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disposal
Short-Term								
12/03/12	12/03/12	CLEU First In, First Out	EATON-CORP-PLC-SHS	ETN	0.824	42.75	42.13	0.00
Total Short Term						\$42.75	\$42.13	\$0.00
Long-Term								
02/17/12	09/20/07	SELL First In, First Out	COOPER INDS PLC-SHS C/A EFF 11/30/12	G24140108	525.000	26,169.47	31,839.85	5,670.38
02/17/12	09/21/07	SELL First In, First Out	COOPER INDS PLC-SHS C/A EFF 11/30/12	G24140108	1,575.000	78,616.00	95,519.54	16,903.54
02/17/12	09/24/07	SELL First In, First Out	COOPER INDS PLC-SHS C/A EFF 11/30/12	G24140108	285.000	14,350.12	17,284.48	2,934.36
02/17/12	09/19/07	SELL First In, First Out	INGERSOLL-RAND-PLC-SHS	IR	800.000	43,256.00	32,584.20	-10,671.80
02/17/12	09/20/07	SELL First In, First Out	INGERSOLL-RAND-PLC-SHS	IR	1,375.000	73,720.50	56,004.10	-17,716.40
02/17/12	09/21/07	SELL First In, First Out	INGERSOLL-RAND-PLC-SHS	IR	1,350.000	72,063.00	54,985.85	-17,077.15
02/17/12	09/20/07	SELL First In, First Out	NABORS INDS LTD-SHS	NBR	3,575.000	111,083.89	74,910.76	-36,173.13
02/17/12	09/21/07	SELL First In, First Out	NABORS INDS LTD-SHS	NBR	4,525.000	142,429.50	94,817.11	-47,612.39
02/17/12	09/24/07	SELL First In, First Out	NABORS INDS LTD-SHS	NBR	875.000	27,512.72	18,334.80	-9,177.92

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
02/17/12	09/19/07	SELL First In First Out	PARTNERRE LTD SHS	PRE	425.000	32,907.71	28,092.08	-4,815.63
02/17/12	09/20/07	SELL First In First Out	PARTNERRE LTD SHS	PRE	400.000	30,847.96	26,439.60	-4,408.36
02/17/12	09/21/07	SELL First In First Out	PARTNERRE LTD SHS	PRE	375.000	28,546.88	24,787.13	-3,759.75
02/17/12	09/20/07	SELL First In First Out	WEATHERFORD INTL LTD REG	WFT	4,200.000	144,557.28	75,098.43	-69,458.85
02/17/12	09/21/07	SELL First In First Out	WEATHERFORD INTL LTD REG	WFT	2,800.000	97,225.38	50,065.62	-47,159.76
02/17/12	09/19/07	SELL First In First Out	NOBLE CORPORATION BAAR NAMEN AKT	NE	260.000	12,684.76	9,963.51	-2,721.25
02/17/12	09/20/07	SELL First In First Out	NOBLE CORPORATION BAAR NAMEN AKT	NE	3,000.000	146,898.60	114,963.52	-31,935.08
02/17/12	09/21/07	SELL First In First Out	NOBLE CORPORATION BAAR NAMEN AKT	NE	2,650.000	134,434.50	101,551.11	-32,883.39
02/17/12	09/19/07	SELL First In First Out	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	132.560	17,739.18	6,733.94	-11,005.24
02/17/12	09/19/07	SELL First In First Out	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	804.540	107,663.54	40,869.98	-66,793.56
02/17/12	09/20/07	SELL First In First Out	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	701.658	93,895.81	35,643.63	-58,252.18
02/17/12	09/20/07	SELL First In First Out	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	682.110	91,279.96	34,650.63	-56,629.33
02/17/12	09/21/07	SELL First In First Out	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	229.133	30,662.51	11,639.74	-19,022.77
02/17/12	09/19/07	SELL First In First Out	UBS AG SHS NEW	UBS	1,224.250	61,806.51	17,497.89	-44,308.62



2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition- Date	Acquisition- Date	Disposition- Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long-Term (continued)							
02/17/12	09/19/07	SELL UBS AG SHS NEW First In First Out	UBS	61,250	3,045.84	875.43	-2,170.41
02/17/12	09/20/07	SELL UBS AG SHS NEW First In First Out	UBS	589,500	30,113.06	8,425.57	-21,687.49
02/17/12	09/21/07	SELL CORE LABORATORIES NV First In First Out	CLB	375,000	23,107.87	45,857.65	-22,749.78
02/17/12	09/24/07	SELL CORE LABORATORIES NV First In First Out	CLB	225,000	13,656.37	27,514.59	13,858.22
02/17/12	09/19/07	SELL AXA SA SPONS ADR First In First Out	AXAHY	800,000	33,696.00	12,959.76	-20,736.24
02/17/12	09/20/07	SELL AXA SA SPONS ADR First In First Out	AXAHY	675,000	28,721.25	10,934.80	-17,786.45
02/17/12	09/20/07	SELL BASF SE SPONS ADR First In First Out	BASFY	475,000	32,121.87	39,296.04	7,174.17
02/17/12	09/21/07	SELL BASF SE SPONS ADR First In First Out	BASFY	900,000	60,885.00	74,455.66	13,570.66
02/17/12	09/24/07	SELL BASF SE SPONS ADR First In First Out	BASFY	325,000	22,292.61	26,886.76	4,594.15
02/17/12	09/21/07	SELL BHP BILLITON LTD SPONSORED ADR First In First Out	BHP	1,165,000	85,056.65	88,480.77	3,424.12
02/17/12	09/24/07	SELL BHP BILLITON LTD SPONSORED ADR First In First Out	BHP	720,000	55,728.00	54,683.40	-1,044.60



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/ Disallowance
Long-Term (continued)								
02/17/12	09/24/07	SELL First In First Out	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	15,000	1,050.00	1,488.99	438.99
02/17/12	09/25/07	SELL First In First Out	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	850,000	59,555.85	84,376.28	24,820.43
02/17/12	12/26/07	SELL First In First Out	BRITISH AMERN TOB PLC SPONSORED ADR	BTI	335,000	26,900.50	33,254.18	6,353.68
02/17/12	09/19/07	SELL First In First Out	BROOKFIELD ASSET MGMT INC VTC	BAM	950,000	33,760.50	30,154.28	-3,606.22
02/17/12	09/20/07	SELL First In First Out	BROOKFIELD ASSET MGMT INC VTC	BAM	825,000	29,081.17	26,186.61	-2,894.56
02/17/12	09/21/07	SELL First In First Out	BROOKFIELD ASSET MGMT INC VTC	BAM	825,000	29,452.42	26,186.62	-3,265.80
02/17/12	09/24/07	SELL First In First Out	CANADIAN NATL RY CO COM	CNI	895,000	50,091.66	68,484.32	18,392.66
02/17/12	12/18/07	SELL First In First Out	CANADIAN NATL RY CO COM	CNI	550,000	26,202.00	42,085.34	15,883.34
02/17/12	09/19/07	SELL First In First Out	CANADIAN NATURAL RES LTD	CNQ	1,250,000	46,575.25	47,012.89	437.64
02/17/12	09/20/07	SELL First In First Out	CANADIAN NATURAL RES LTD	CNQ	1,300,000	48,578.79	48,893.40	314.61
02/17/12	09/21/07	SELL First In First Out	CANADIAN NATURAL RES LTD	CNQ	1,150,000	43,954.75	43,251.86	-702.89
02/17/12	09/24/07	SELL First In First Out	CANADIAN NATURAL RES LTD	CNQ	325,000	12,358.64	12,223.35	-135.29
02/17/12	09/19/07	SELL First In First Out	CANADIAN PAC RY LTD COM	CP	100,000	6,944.00	7,398.97	454.97
02/17/12	09/20/07	SELL First In First Out	CANADIAN PAC RY LTD COM	CP	425,000	29,516.25	31,445.63	1,929.38

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PAR-02-ROLL

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2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)							
02/17/12	09/21/07	SELL First In First Out	CP	450,000	30,982.50	33,295.37	2,312.87
02/17/12	09/23/07	SELL First In First Out	CP	500,000	34,390.00	36,994.86	2,604.86
02/17/12	06/24/08	SELL First In First Out	CP	1,190,000	78,935.44	88,047.77	9,112.33
02/17/12	09/21/07	SELL First In First Out	DEO	500,000	43,415.00	47,467.14	-4,052.14
02/17/12	09/24/07	SELL First In First Out	DEO	715,000	62,032.41	67,878.02	5,845.61
02/17/12	09/19/07	SELL First In First Out	ESVIF	850,000	16,823.20	13,916.99	-2,906.21
02/17/12	09/20/07	SELL First In First Out	ESVIF	725,000	74,398.50	77,870.37	3,471.87
02/17/12	09/21/07	SELL First In First Out	ESVIF	775,000	15,329.50	12,689.02	-2,640.48
02/17/12	09/24/07	SELL First In First Out	ESVIF	750,000	14,835.00	12,279.70	-2,555.30
02/17/12	12/22/10	SELL First In First Out	FINGE	300,000	8,096.01	8,672.54	576.53
02/17/12	09/19/07	SELL Versus Purchase	MFC	825,000	33,247.50	10,518.56	-22,728.94



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)							
02/17/12	09/24/07	SELL First In First Out	NSRG	1,100,000	48,202.00	66,947.69	18,745.69
02/17/12	09/25/07	SELL First In First Out	NSRG	1,275,000	56,024.06	77,598.45	21,574.39
02/17/12	09/19/07	SELL First In First Out	NVS	1,200,000	66,600.00	67,982.12	1,382.12
02/17/12	09/20/07	SELL First In First Out	NVS	1,075,000	60,038.75	60,900.65	861.90
02/17/12	09/21/07	SELL First In First Out	NVS	1,075,000	59,358.50	60,900.65	1,542.15
02/17/12	09/24/07	SELL First In First Out	NVS	300,000	16,576.70	16,995.54	418.84
02/17/12	09/24/07	SELL First In First Out	POT	125,000	4,294.58	5,748.00	1,453.42
02/17/12	12/29/08	SELL First In First Out	POT	2,800,000	66,717.55	128,755.11	62,037.56
02/17/12	09/19/07	SELL First In First Out	RIO	200,000	15,703.49	11,475.63	4,227.86
02/17/12	09/20/07	SELL First In First Out	RIO	1,900,000	152,470.20	109,020.41	43,449.79
02/17/12	09/21/07	SELL First In First Out	RIO	1,050,000	85,702.97	60,248.13	25,454.84
02/17/12	09/20/07	SELL First In First Out	SLB	325,000	34,827.30	25,347.46	9,479.84
02/17/12	09/21/07	SELL First In First Out	SLB	1,400,000	149,721.00	109,189.07	40,531.93
02/17/12	09/24/07	SELL First In First Out	SLB	800,000	84,344.53	62,393.75	21,950.78



2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)								
02/17/12	09/19/07	SELL First In First Out	SUNCOR ENERGY INC NEW COM	SU	775.000	36,917.92	26,566.60	-10,351.32
02/17/12	09/20/07	SELL First In First Out	SUNCOR ENERGY INC NEW COM	SU	3,050.000	143,761.75	104,552.42	-39,209.33
02/17/12	09/21/07	SELL First In First Out	SUNCOR ENERGY INC NEW COM	SU	2,375.000	113,236.20	81,413.76	-31,822.44
02/17/12	09/19/07	SELL First In First Out	TALISMAN ENERGY INC COM	TLM	325.000	6,018.45	4,473.46	-1,544.99
02/17/12	09/20/07	SELL First In First Out	TALISMAN ENERGY INC COM	TLM	1,625.000	30,368.49	22,367.32	-8,001.17
02/17/12	09/21/07	SELL First In First Out	TALISMAN ENERGY INC COM	TLM	1,075.000	20,300.03	14,796.84	-5,503.19
02/17/12	09/21/07	SELL First In First Out	TENARIS S A SPONSORED ADR	TS	590.000	30,503.00	23,925.80	-6,577.20
02/17/12	09/24/07	SELL First In First Out	TENARIS S A SPONSORED ADR	TS	1,885.000	99,689.17	76,440.89	-23,248.28
02/17/12	09/24/07	SELL First In First Out	UNILEVER NV NEW YORK SHS NEW	UN	400.000	12,592.00	13,484.16	892.16
02/17/12	12/31/07	SELL First In First Out	UNILEVER NV NEW YORK SHS NEW	UN	2,025.000	74,826.79	68,263.54	-6,563.25
02/17/12	09/20/07	SELL First In First Out	VALE SA ADR	VALE	2,175.000	65,902.50	34,158.92	-31,743.58



Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long-Term (continued)								
02/17/12	09/21/07*	SELL First In First Out	VALE SA ADR	VALE	2,950,000	89,868.93	73,456.92	-16,412.01
02/17/12	09/19/07*	SELL First In First Out	YARA INTL ASA SPONSORED ADR	YARY	250,000	6,887.50	11,272.30	4,384.80
02/17/12	09/20/07*	SELL First In First Out	YARA INTL ASA SPONSORED ADR	YARY	200,000	5,550.00	9,017.84	3,467.84
02/17/12	09/21/07*	SELL First In First Out	YARA INTL ASA SPONSORED ADR	YARY	275,000	8,002.50	12,399.52	4,397.02
10/02/12	09/24/07*	SELL First In First Out	COOPER INDS PLC SHS C/A EFF 11/30/12	G24140108	425,000	21,399.30	31,688.40	10,289.10
10/02/12	09/21/07*	SELL Versus Purchase	INGERSOLL RAND PLC SHS	IR	75,000	4,003.50	3,351.87	-651.63
10/02/12	09/24/07*	SELL Versus Purchase	INGERSOLL RAND PLC SHS	IR	275,000	14,690.13	12,290.18	-2,399.95
10/02/12	09/21/07*	SELL First In First Out	PARTNERRE LTD SHS	PRE	25,000	1,903.12	1,893.02	-10.10
10/02/12	09/23/07*	SELL First In First Out	PARTNERRE LTD SHS	PRE	105,000	7,985.24	7,950.70	-34.54
10/02/12	09/21/07*	SELL First In First Out	NOBLE CORPORATION BAAR NAMEN AKT	NE	275,000	13,950.75	9,658.69	-4,292.06
10/02/12	09/24/07*	SELL First In First Out	NOBLE CORPORATION BAAR NAMEN AKT	NE	70,000	3,526.82	2,458.58	-1,068.24
10/02/12	09/21/07*	SELL First In First Out	TRANSOCEAN LTD ZUG NAMEN AKT	RIG	380,000	50,851.60	17,472.03	-33,379.57
10/02/12	09/20/07*	SELL First In First Out	UBS AG SHS NEW	UBS	370,000	18,900.48	4,647.13	-14,253.35
10/02/12	09/20/07*	SELL First In First Out	AXA SA SPONS ADR	AXAHY	25,000	1,063.75	377.49	-686.26



2012 Year-End Account Report

Report Period: 01/01/2012 - 12/31/2012

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowed
Long-Term (continued)							
10/02/12	09/21/07*	SELL AXA SA SPONS ADR First In-First	AXAFY	75,000	3,216.00	1,132.47	-2,083.53
		Out					
10/02/12	09/23/07*	SELL BASF SE SPONS ADR First In-First	BASFY	125,000	8,574.08	10,724.75	2,150.67
		Out					
10/02/12	09/24/07*	SELL BHP-BILLITON LTD SPONSORED ADR First In-First	BHP	120,000	9,288.00	8,234.22	-1,053.78
		Out					
10/02/12	12/26/07*	SELL BRITISH AMERN TOB PLC SPONSORED ADR First In-First	BTI	90,000	7,227.00	9,429.09	2,202.09
		Out					
10/02/12	09/21/07*	SELL BROOKFIELD ASSET MGMT INC ADR First In-First	BAM	75,000	2,672.49	2,539.59	-137.90
		Out					
10/02/12	09/24/07*	SELL BROOKFIELD ASSET MGMT INC ADR First In-First	BAM	125,000	4,599.01	4,232.65	-366.38
		Out					
10/02/12	12/18/07*	SELL CANADIAN NATL RY CO COM First In-First	CNI	50,000	2,382.00	4,435.55	2,053.55
		Out					
10/02/12	12/13/10*	SELL CANADIAN NATL RY CO COM First In-First	CNI	140,000	9,323.88	12,419.53	3,095.65
		Out					
10/02/12	06/24/08*	SELL CANADIAN PAC RY LTD COM Versus Purchase	CP	315,000	20,894.67	26,881.52	5,986.85
		Out					
10/02/12	09/24/07*	SELL DIA GEO PLC SPONSORED ADR NEW Versus Purchase	DEO	10,000	867.59	1,134.67	267.08
		Out					
10/02/12	09/25/07*	SELL DIA GEO PLC SPONSORED ADR NEW Versus Purchase	DEO	180,000	15,575.02	20,424.15	4,849.13
		Out					

Schedule of Realized Gains and Losses (continued)

Disposition Date	Acquisition Date	Disposition Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss/Disallowance
Long Term (continued)								
10/02/12	09/20/07*	SELL First In First Out	MANULIFE FINL CORP COM	MFC	270.000	10,890.72	3,259.36	-7,631.36
10/02/12	09/25/07*	SELL First In First Out	NESTLE-SPONSORED-ADR-REPSTG	NSRGY	75.000	3,295.53	4,799.89	+1,504.36
10/02/12	01/29/10*	SELL First In First Out	NESTLE-SPONSORED-ADR-REPSTG	NSRGY	285.000	13,616.29	18,239.59	4,622.80
10/02/12	09/24/07*	SELL First In First Out	NOVARTIS AG SPONSORED ADR	NVS	65.000	3,591.62	4,055.76	444.14
10/02/12	12/29/08*	SELL First In First Out	POTASH CORP OF SASKATCHEWAN INC	POT	510.000	12,152.12	21,241.83	9,589.71
10/02/12	09/24/07*	SELL First In First Out	SCHLUMBERGER LTD-COM-ISIN#AN8058571086	SLB	225.000	23,721.90	16,252.38	-7,469.52
10/02/12	09/21/07*	SELL First In First Out	SUNCOR ENERGY INC-NEW COM	SU	620.000	29,560.61	20,719.06	-8,841.55
10/02/12	09/21/07*	SELL First In First Out	TALISMAN ENERGY INC COM	TLM	335.000	6,326.06	4,509.72	-1,816.34
10/02/12	09/24/07*	SELL First In First Out	TENARIS S A SPONSORED ADR	TS	115.000	6,081.83	4,877.05	-1,204.78
10/02/12	09/26/07*	SELL First In First Out	TENARIS S A SPONSORED ADR	TS	495.000	25,709.61	20,992.54	-4,717.07
10/02/12	12/31/07*	SELL First In First Out	UNILEVER NV NEW YORK SHS NEW	UN	320.000	11,824.48	11,447.07	-377.41
12/03/12	09/24/07*	MERE First In First Out	COOPER INDS PLC SHS C/A EFF 11/30/12	C24140108	840.000	42,295.08	66,667.39	24,372.31
Total Long Term						\$4,862,255.80	\$4,141,732.06	-\$720,523.74
Total Short Term and Long Term						\$4,862,298.55	\$4,141,774.19	-\$720,523.74

Account Number Y5 03276 GH
Your Financial Advisor or Contact
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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1201G00000628400263420-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:

Transactions are grouped into sections based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the-box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "verus purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "s" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ASAHI GLASS ADR *FOREIGN SECURITY JAPAN ADR Symbol: ASGLY CUSIP: 043393206									
Sell	383 0000	07/19/12	09/10/12		2,416 71	2,272 84			143.87
Sell	2 0000	07/19/12	09/12/12		12.23	11.86			0.37
Sell	269 0000	07/19/12	09/12/12		1,653 91	1,596 33			57.58
Sub Total	654.0000				4,082.85	3,881.03			201.82

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2012 Consolidated Form 1099

P1201G0000528400263421-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
COMPANHIA DE SANEAMENTO									
BASICO DO ESTADO DE SAO PAULO									
ADS SPON ADR									
Symbol SBS									
CUSIP: 20441A102									
Sell	7 0000		03/01/11	02/03/12	476 11	352 60			123 51
Sell	12 0000		03/01/11	02/06/12	815 34	604 47			210 87
Sell	27 0000		03/01/11	02/07/12	1,884 39	1,360 04			524 35
Sub Total	46.0000				3,175.84	2,317.11			858.73
CREDIT SUISSE GROUP SPON ADR									
Symbol CS									
CUSIP: 225401108									
Sell	41 0000		03/01/11	02/01/12	1,112 67	1,854.81			(742 14)
Sell	42 0000		03/01/11	02/03/12	1,172 52	1,900.04			(727 52)
Sell	24 0000		03/25/11	02/03/12	670.01	1,015 25			(345 24)
Sell	16 0000		03/28/11	02/03/12	446 67	680 89			(234 22)
Sell	2 0000		03/28/11	03/27/12	58 22	85 11			(26 89)
Sell	4 0000		03/29/11	03/27/12	116.43	171.25			(54 82)
Sell	30 0000		03/29/11	03/28/12	873 48	1,284 37			(410.89)
Sell	58 0000		08/23/11	04/02/12	1,658 41	1,567 27			91 14
Sell	8 0000		08/23/11	04/04/12	216 15	216.18			(0 03)
Sub Total	225.0000				6,324.56	8,775.17			(2,450.61)
DELHAIZE GROUP SPON ADR									
Symbol DEG									
CUSIP: 29759W101									
Sell	16 0000		02/06/12	06/13/12	517 46	894.35			(376 89)
Sell	21 0000		02/06/12	06/14/12	686 30	1,173 83			(487 53)
Sub Total	37.0000				1,203.76	2,068.18			(864.42)
DIAGEO PLC NEW GB SPON ADR									
Symbol DEO									
CUSIP: 25243Q205									
Sell	19.0000		03/01/11	02/03/12	1,762.91	1,491.10			271.81

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1201G0000628400263422-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 5b)

Security description (Line 3) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
HEINEKEN N V ADR NETHERLANDS ADR									
CUSIP: 423012202									
Sell	128 0000		08/17/11	08/07/12	3,543.68	3,510.98			32.70
Sell	20 0000		07/19/12	08/07/12	553.70	529.20			24.50
Sell	56 0000		07/19/12	08/08/12	1,523.23	1,481.76			41.47
Sell	2 0000		07/19/12	08/09/12	53.77	52.92			0.85
Sub Total	206.0000				5,674.38	5,574.86			99.52
HITACHI LTD ADR NEW JAPAN									
Symbol: HTHY									
CUSIP: 433578507									
Sell	17 0000		04/27/11	04/25/12	1,063.21	882.46			180.75
Sell	13 0000		04/28/11	04/25/12	813.04	693.37			119.67
Sub Total	30.0000				1,876.25	1,575.83			300.42
IMPERIAL TOBACCO GROUP PLC SPON									
ADR									
Symbol: ITYBY									
CUSIP: 453142101									
Sell	20.0000		05/04/11	04/25/12	1,610.90	1,436.16			174.74
NEXEN INC CAD									
CUSIP: 65334H102									
Sell	146 0000		04/17/12	07/24/12	3,805.70	2,769.24			1,036.46
Sell	52.0000		04/18/12	07/24/12	1,355.45	990.55			364.90
Sell	33.0000		04/19/12	07/24/12	860.19	630.36			229.83
Sell	257 0000		07/19/12	07/24/12	6,699.07	4,445.25			2,253.82
Sub Total	488.0000				12,720.41	8,835.40			3,885.01
OI S A SPON ADR									
Symbol: OIBR									
CUSIP: 670851203									
Sell	25 6827		10/17/11	04/19/12	388.36	343.50			44.86
Sell	12 8413		10/18/11	04/19/12	194.18	176.60			17.58
Sell	44 9447		10/20/11	04/19/12	679.63	618.71			60.92
Sub Total	83.4687				1,262.17	1,138.81			123.36

continued next page

**Indicates corrected item.

Corrected 03/18/2013

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Account Number Y5 03276 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1201G0000628400263423-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked (continued)

In the sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
OI S A SPON ADR									
Symbol OIBR C CUSIP 670851104									
Sell	7 5160		10/17/11	04/18/12	42.84	42.79			0.05
Sell	3 7580		10/18/11	04/18/12	21.42	22.00			(0.58)
Sell	13 1530		10/20/11	04/18/12	74.98	77.08			(2.10)
Sub Total	24 4270				139.24	141.87			(2.63)
PEUGEOT S.A. NEW SPON ADR									
(FRANCE) Symbol PEUGY CUSIP 716825500									
Sell	13 0000		07/22/11	01/11/12	213.63	561.59			(347.96)
Sell	16 0000		07/25/11	01/11/12	262.94	702.50			(439.56)
Sell	16 0000		07/26/11	01/11/12	262.93	687.69			(424.76)
Sell	29 0000		07/27/11	01/11/12	476.57	1,131.36			(654.79)
Sell	16 0000		07/27/11	01/12/12	277.95	624.20			(346.25)
Sub Total	90 0000				1,494.02	3,707.34			(2,213.32)
PT BK RAKYAT ADR									
Symbol BKRY CUSIP 69366X100									
Sell	16 0000		06/06/12	08/14/12	237.67	196.81			40.86
SVENSKA CELLULOZA AB (SCA) SPON									
ADR Symbol: SVCBY CUSIP 869587402									
Sell	85 0000		03/01/11	02/21/12	1,455.24	1,398.25			56.99
Sell	129 0000		03/01/11	02/22/12	2,201.60	2,122.05			79.55
Sell	30 0000		03/01/11	02/23/12	512.83	493.50			19.33
Sub Total	244 0000				4,169.67	4,013.80			155.87
TATA MOTORS LTD SPON ADR									
Symbol TTM CUSIP 876568502									
Sell	79 0000		03/08/11	01/17/12	1,640.37	2,073.64			(433.27)
Sell	74 0000		03/09/11	01/17/12	1,536.55	1,956.76			(420.21)

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Account Number Y5 03276 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1201G0000628400263424-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
TATA MOTORS LTD SPON ADR Symbol: TTM CUSIP: 876568502	119 0000	03/21/11	01/17/12	2,470.94	3,047.84			(576.90)
Sell	272.0000			5,647.86	7,078.24			(1,430.38)
Sub Total								
UNILEVER PLC AMER SHS NEW SPON ADR Symbol: UL CUSIP: 904767704	88 0000	07/19/12	10/31/12	3,279.37	3,025.84			253.53
Sell	36.0000	07/19/12	11/02/12	1,348.20	1,237.85			110.35
Sub Total	124.0000			4,627.57	4,263.69			363.88
YAMANA GOLD INC CAD Symbol: AUJ CUSIP: 98462Y100	105.0000	03/01/11	02/03/12	1,814.00	1,356.24			457.76
Sell								
Short-term total								
Box A, Part I	2,683.8957			\$57,824.06	\$57,851.64			\$(27.58)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
AGRIUM INC (CANADA) CAD Symbol: AGU CUSIP: 008916108	38.0000	03/01/11	06/07/12	3,023.50	3,576.28			(552.78)
Sell								

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1201G0000628400263425-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8)									
Symbol (Line 1d)	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CUSIP	Activity type								
ASAHI GLASS ADR *FOREIGN SECURITY									
JAPAN ADR									
Symbol ASGLY									
CUSIP 043393206									
Sell	9 0000		03/01/11	09/07/12	57 32	126.00			(68 68)
Sell	261 0000		03/01/11	09/10/12	1,646 89	3 654 00			(2,007 11)
Sub Total	270.0000				1,704.21	3,780.00			(2,075.79)
ASML HOLDING NV NY REG SHS NEW									
CUSIP N07059186									
Sell	102.0000		07/26/11	08/06/12	5,794.03	3,859.94			1,934.09
BARCLAYS PLC ADR									
Symbol BCS									
CUSIP: 06738E204									
Sell	11 0000		03/01/11	03/27/12	177 13	223.75			(46 62)
Sell	86 0000		03/01/11	03/29/12	1,292 13	1,749.34			(457 21)
Sell	87 0000		03/01/11	03/30/12	1,311 30	1,769 67			(458 37)
Sub Total	184.0000				2,780.56	3,742.76			(962.20)
CATHAY PAC AIRWAYS LTD									
SPONSORED ADR ADR									
Symbol CPCAY									
CUSIP: 148906308									
Sell	95 0000		03/01/11	04/05/12	835 70	1,112 45			(276 75)
Sell	72 0000		03/01/11	04/10/12	635 74	843.12			(207 38)
Sell	121 0000		03/01/11	04/11/12	1,036 29	1,416.91			(380 62)
Sell	37 0000		03/01/11	04/12/12	311 82	433 27			(121 45)
Sell	84 0000		03/01/11	04/12/12	707 93	1,018.33			(310 40)
Sell	29 0000		03/01/11	04/13/12	250 05	351 57			(101 52)
Sell	49 0000		03/01/11	04/13/12	422 51	590 46			(167 95)
Sell	3 0000		03/01/11	04/13/12	26 03	36.15			(10 12)
Sell	24 0000		03/01/11	04/16/12	205 59	289 21			(83 62)
Sell	28 0000		03/01/11	04/16/12	239 85	328 43			(88 58)
Sell	85 0000		03/01/11	04/17/12	728 11	997 03			(268 92)
Sell	23 0000		03/01/11	04/17/12	197 02	270 57			(73 55)
Sub Total	650.0000				5,596.64	7,687.50			(2,090.86)

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Your Financial Advisor or Contact
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404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1201G0000628400263426-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In the sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CIA PARANAENSE ENERGI SPON ADR									
Symbol: ELP									
CUSIP: 204411B407									
Sell	15 0000		03/01/11	03/19/12	363 68	383 57			(19 89)
Sell	30 0000		03/01/11	03/20/12	718 47	767 15			(48 68)
Sell	7 0000		03/01/11	10/12/12	109 59	179 00			(69 41)
Sell	17 0000		03/01/11	10/15/12	268 70	434 72			(166 02)
Sell	63 0000		03/01/11	10/16/12	1 011 50	1 611 01			(599 51)
Sub Total	132 0000				2 471 94	3 375 45			(903 51)
COMPANHIA DE SANEAMENTO									
BASICO DO ESTADO DE SAO PAULO									
ADS SPON ADR									
Symbol: SBS									
CUSIP: 204411A102									
Sell	15 0000		03/01/11	04/26/12	1 147 38	755 58			391 80
Sell	10 0000		03/01/11	06/26/12	737 31	503 72			233 59
Sell	18 0000		03/01/11	08/22/12	1 643 85	906 69			737 16
Sell	4 0000		03/01/11	09/12/12	306 84	201 49			105 35
Sell	20 0000		03/01/11	09/13/12	1 620 09	1 007 44			612 65
Sell	7 0000		03/01/11	09/14/12	553 45	352 61			200 84
Sell	21 0000		03/01/11	10/16/12	1 817 28	1 057 81			759 47
Sub Total	95 0000				7 826 20	4 785 34			3 040 86
CREDIT SUISSE GROUP SPON ADR									
Symbol: CS									
CUSIP: 225401108									
Sell	8 0000		03/29/11	03/30/12	228 01	342 50			(114 49)
Sell	18 0000		03/29/11	04/02/12	514 68	770 62			(255 94)
Sub Total	26 0000				742 69	1 113 12			(370 43)
DELHAIZE GROUP SPON ADR									
Symbol: DEG									
CUSIP: 29759W101									
Sell	22 0000		03/01/11	06/05/12	802 32	1 710 63			(908 31)
Sell	5 0000		03/01/11	06/11/12	171 65	388 78			(217 13)

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Account Number Y5 03276 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1201G0000628400263427-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
Symbol (Line 1d)	Activity type									
DELHAIZE GROUP SPON ADR										
Symbol: DEG		40.0000		03/01/11	06/12/12	1,331.75	3,110.24			(1,778.49)
CUSIP: 29759W101		29.0000		03/01/11	06/13/12	937.89	2,254.93			(1,317.04)
Sell										
Sub Total		96.0000				3,243.61	7,464.58			(4,220.97)
FRANCE TELECOM SA SPON ADR										
Symbol: FTE		154.0000		03/01/11	06/06/12	1,850.20	3,361.21			(1,511.01)
CUSIP: 35177Q105										
Sell										
FUJI HEAVY INDS LTD ADR										
Symbol: FUJHY		10.0000		03/01/11	06/27/12	154.70	173.94	19.24		0.00
CUSIP: 359556206		165.0000		03/01/11	11/30/12	3,660.48	2,870.01			790.47
Sell										
Sub Total		175.0000				3,815.18	3,043.95	19.24		790.47
KOC HOLDINGS ADR										
Symbol: KHOLY		0.1500		03/10/11	06/19/12	2.67	3.13	0.46		0.00
CUSIP: 49989A109										
Cash in Lieu										
NEXEN INC CAD										
CUSIP: 65334H102		162.0000		03/01/11	07/24/12	4,222.76	4,386.90			(164.14)
Sell										
NITTO DENKO CORP UNSPONS ADR										
Symbol: NDEKY		40.0000		03/01/11	11/30/12	1,035.47	1,240.00			(204.53)
CUSIP: 654802206										
Sell										
OILS A SPON ADR										
Symbol: OIBR		0.4393		03/01/11	04/12/12	6.41	9.59			(3.18)
CUSIP: 670851203		153.6567		03/01/11	04/18/12	2,247.17	3,353.19			(1,106.02)
Cash in Lieu										
Sell										

continued next page

**Indicates corrected item.

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Corrected 03/18/2013

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Account Number Y5 03276 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1201G0000628400263428-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
Activity type									
OI S A SPON ADR Symbol: OIBR CUSIP: 670851203									
Sell	27 3433		03/14/11	04/18/12	399 88	598 41			(198.53) **
Sell	50 9888		03/14/11	04/19/12	771 02	1,115 90			(344.88) **
Sell	81 5425		03/15/11	04/19/12	1 233 04	1 793 41			(560 37) **
Sub Total	313.9706				4,657.52	6,870.50			(2,212.98)
OI S A SPON ADR Symbol: OIBR C CUSIP: 670851104									
Cash in Lieu	0 3101		03/01/11	04/12/12	1 78	2 88			(1.10)
Sell	44 7859		03/01/11	04/17/12	259 78	416 02			(156.24)
Sell	22 9238		03/14/11	04/17/12	132 97	213 55			(80 58)
Sell	10 2903		03/15/11	04/17/12	59 69	96 33			(36 64)
Sell	13 5730		03/15/11	04/18/12	77 37	127 07			(49 70)
Sub Total	91.8831				531.59	855.85			(324.26)
UNILEVER PLC AMER SHS NEW SPON ADR Symbol: UL CUSIP 904767704									
Sell	47 0000		03/01/11	08/07/12	1,692.28	1,389 60			302 68
Sell	128.0000		03/01/11	08/08/12	4,557 65	3,784 46			773 19
Sell	20.0000		03/01/11	10/26/12	740 75	591 32			149 43
Sell	14 0000		03/01/11	10/31/12	521 72	413 93			107.79
Sub Total	209.0000				7,512.40	6,179.31			1,333.09
YAMANA GOLD INC CAD Symbol: AUJ CUSIP: 98462Y100									
Sell	130.0000		03/01/11	10/15/12	2,438.40	1,679.16			759.24
Long-term total									
Box A, Part II	2,869.0037				\$59,249.57	\$67,004.98	\$19.70		\$7,735.71
Total					\$117,073.63				

**Indicates corrected item.

Corrected 03/18/2013

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1301L0007040300919290-X1

Account Number Y5 03275 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:
Transactions are grouped into sections based upon whether the security is "covered or noncovered" and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for non-covered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short against the box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate gain/loss unless you identified a specific lot (a "veius purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary and not capital gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "x" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8)	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
FAIR ISAAC CORP Symbol: FICO CUSIP: 303250104	21 0000		03/01/11	01/03/12	771.10	574.47			196.63
Sell									
O'REILLY AUTOMOTIVE INC Symbol: ORLY CUSIP: 67103H107	11 0000		03/01/11	01/18/12	921.96	606.73			315.23
Sell									
OCEANEERING INTL INC Symbol: OII CUSIP: 675232102	20 0000		03/01/11	02/24/12	1,120.94	813.68			307.26
Sell									

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UBS Financial Services Inc.

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P130110007040300919291-X1

Account Number Y5 03275 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS. Report on Form 8949, Part I, with Box A checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 4) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1e)	Date of		Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
			Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)					
SCOTT'S MIRACLE-GRO CO CL A Symbol: SMG CUSIP: 810186106	7 0000		04/26/11	01/18/12	332.09	403.05			(70.96)
	3 0000		04/27/11	01/18/12	142.33	173.73			(31.40)
	35 0000		04/27/11	01/19/12	1,560.29	2,026.85			(366.56)
	18 0000		06/14/11	01/19/12	853.87	939.21			(85.34)
	8 0000		06/14/11	01/20/12	382.34	417.43			(35.09)
	7 0000		07/22/11	01/20/12	334.54	350.79			(16.25)
	13 0000		07/22/11	01/23/12	616.27	651.46			(35.19)
	91.0000				4,321.73	4,962.52			(640.79)
Sub Total									
Short-term total	143.0000				\$7,135.73	\$6,957.40			\$178.33
Box A, Part I									

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS. Report on Form 8949, Part II, with Box A checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 4) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1e)	Date of		Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
			Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)					
AFILIATED MANAGERS GROUP Symbol: AMG CUSIP: 009252108	12 0000		03/01/11	09/19/12	1,515.42	1,245.57			269.85
AMETEK INC (NEW) Symbol: AME CUSIP: 031100100	20.0000		03/01/11	06/19/12	1,050.50	828.18			222.32

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UBS Financial Services Inc.

Account Number Y5 03275 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

2012 Consolidated Form 1099

P1301L0007040300919292-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with Box A checked (continued)

In this subsection Date acquired Cost or Other Basis and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 4) Symbol (Line 1d) CUSIP	Quantity/ face value (Line 1e) Acuity type	Date acquired (Line 1b) Original cost basis (\$)	Date of sale / exchange (Line 1a)		Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)		Gain/loss (\$)
ANSYS INC Symbol ANSS CUSIP 03662Q105	32.0000	03/01/11	09/19/12		2,347.07	1,754.90				592.17
Equifax Inc Symbol EFX CUSIP 294429105	38.0000	03/01/11	05/15/12		1,748.61	1,352.39				396.22
GRACO INC Symbol GGG CUSIP 384109104	20.0000	03/01/11	04/19/12		1,097.44	802.97				294.47
HUNT J B TRANS SVCS INC Symbol JBHT CUSIP 445658107	18.0000	03/01/11	06/19/12		1,094.98	732.37				362.61
LXQ CORP NEW Symbol LXQ CUSIP 501889208	52.0000	03/01/11	05/15/12		1,842.99	1,221.92				621.07
	19.0000	03/01/11	11/26/12		410.55	223.23				187.32
	117.0000	03/01/11	11/27/12		2,565.75	1,374.66				1,191.09
Sub Total	188.0000				4,819.29	2,819.81				1,999.48
MARKEL CORP (HOLDING CO) Symbol MKL CUSIP 570535104	2.0000	03/01/11	04/19/12		885.83	815.89				69.94

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1301L0007040300919293-X1

Account Number Y5 03275 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with Box A checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 4) Symbol (Line 1d) CUSIP	Quantity/ face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (b)	Cost or other basis (Line 3) (b)	Wash sale loss disallowed (Line 5) (b)	Federal income tax withheld (Line 4) (b)	Gain/Loss (b)
ORRILLY AUTOMOTIVE INC Symbol ORLY CUSIP 67103H107								
Sell	20 0000	03/01/11	05/02/12	2,132.06	1,103.15			1,028.91
Sell	21 0000	03/01/11	05/04/12	2,193.57	1,158.31			1,035.26
Sub Total	41 0000			4,325.63	2,261.46			2,064.17
UNIVERSAL HEALTH SVCS INC CL B Symbol UHS CUSIP 913903100								
Sell	24 0000	03/01/11	03/06/12	1,025.22	1,139.48			(114.26)
Sell	4 0000	03/01/11	03/13/12	171.53	189.92			(18.39)
Sell	25 0000	03/01/11	03/14/12	1,084.40	1,186.96			(102.56)
Sub Total	53 0000			2,281.15	2,516.36			(235.21)
VERISK ANALYTICS INC CL A Symbol VRSK CUSIP 92345Y106								
Sell	33 0000	03/01/11	03/26/12	1,554.14	1,023.00			531.14
Long-term total	457.0000			\$22,720.06	\$16,152.90			\$6,567.16
Box A, Part II								
Total				\$29,855.79				

Account Number Y5 03274 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

P120110003033900694762-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:
Transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short-sales-the box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "*" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1f)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
AMERICAN TOWER CORP REIT Symbol: AMT CUSIP: 03027X100	118 0000 6 0000 124 0000		07/19/12 08/08/12	08/27/12 08/27/12	8,172 76 415 56 8,588 32	8,240 59 420 83 8,661 42			(67 83) (5 27) (73 10)
JOHNSON CONTROLS INC Symbol: JCI CUSIP: 478366107	193 0000 124 0000 317 0000		12/19/11 07/19/12	08/09/12 08/09/12	4,974 01 3,195 74 8,169 75	5,565 41 3,284 51 8,849 92			(591 40) (88 77) (680 17)

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Account Number Y5 03274 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

P12011L0003033900694763-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
NORDSTROM INC Symbol JWN CUSIP: 655664100	13.0000	08/16/11	08/08/12	718.03	560.31			157.72
VERIZON COMMUNICATIONS INC Symbol VZ CUSIP: 92343V104	197.0000	07/19/12	08/27/12	8,448.75	8,848.59			(399.84)
Sell	26.0000	08/08/12	08/27/12	1,115.06	1,148.59			(33.53)
Sub Total	223.0000			9,563.81	9,997.18			(433.37)
WHOLE FOODS MARKET INC Symbol WFM CUSIP: 966837106	8.0000	08/18/11	08/08/12	748.81	482.20			266.61
Sell								
Short-term total	685.0000			\$27,788.72	\$28,551.03			\$(762.31)
Box A, Part I								

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ADOBE SYSTEMS INC (DELAWARE) Symbol ADBE CUSIP: 00724F101	2.0000	06/20/11	08/08/12	64.85	61.96			2.89
Sell								

continued next page

Account Number Y5 03274 GH
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UBS Financial Services Inc.

2012 Consolidated Form 1099

P120110003033900694764-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
AMERICAN TOWER CORP REIT									
Symbol AMT									
CUSIP 03027X100									
Sell	343.0000		03/01/11	08/27/12	23,756.39	18,243.18			5,513.21
Sell	17.0000		03/25/11	08/27/12	1,177.43	843.68			333.75
Sub Total	360.0000				24,933.82	19,086.86			5,846.96
AMGEN INC									
Symbol AMGN									
CUSIP 031162100									
Sell	1.0000		03/01/11	08/08/12	81.33	52.17			29.16
AUTODESK INC									
Symbol ADSK									
CUSIP 052769106									
Sell	44.0000		03/01/11	08/08/12	1,552.28	1,830.31	278.03		0.00
BED BATH & BEYOND INC									
Symbol BBBY									
CUSIP 075896100									
Sell	6.0000		03/01/11	08/08/12	385.22	286.85			98.37
CELGENE CORP									
Symbol CELG									
CUSIP 151020104									
Sell	18.0000		03/01/11	08/08/12	1,287.03	958.15			328.88
EMC CORP MASS									
Symbol EMC									
CUSIP 268648102									
Sell	44.0000		06/28/11	08/08/12	1,175.21	1,192.95	17.74		0.00
INTEL CORP									
Symbol INTC									
CUSIP 458140100									
Sell	12.0000		03/01/11	08/08/12	319.47	258.60			60.87

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Account Number Y5 03274 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

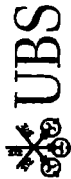
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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
JOHNSON CONTROLS INC									
Symbol JCI									
CUSIP: 478366107									
Sell	415 0000		03/01/11	08/08/12	10,606.16	16,823.52	6,217.36		0.00
Sell	99 0000		03/01/11	08/09/12	2,551.44	4,013.32			(1,461.88)
Sell	22 0000		06/28/11	08/09/12	566.99	882.18			(315.19)
Sell	415 0000		07/19/12	08/09/12	10,695.43	17,209.88			(6,514.45)
Sub Total	951.0000				24,420.02	38,928.90	6,217.36		(8,291.52)
LOWES COMPANIES INC									
Symbol: LOW									
CUSIP: 548661107									
Sell	266.0000		03/01/11	11/08/12	8,671.37	6,889.40			1,781.97
QUALCOMM INC									
Symbol: QCOM									
CUSIP: 747525103									
Sell	21.0000		03/01/11	08/08/12	1,286.74	1,228.48			58.26
TARGET CORP									
Symbol: TGT									
CUSIP: 87612E106									
Sell	8.0000		03/01/11	08/08/12	503.18	422.32			80.86
VERIZON COMMUNICATIONS INC									
Symbol: VZ									
CUSIP: 92343V104									
Sell	535 0000		03/01/11	08/27/12	22,944.56	19,361.65			3,582.91
Sell	11 0000		06/28/11	08/27/12	471.76	400.37			71.39
Sub Total	546.0000				23,416.32	19,762.02			3,654.30
Long-term total	2,279.0000				\$88,096.84	\$90,958.97	\$6,513.13		\$3,651.00
Box A, Part II									
Total									\$115,885.56



UBS Financial Services Inc
3280 Peachtree Rd NE
21st Floor
Atlanta GA 30305-2430

APZ3000170911 1212 X123 Y5 0

2012 Year End Summary

Account name: IRENE W & C B PENNINGTON
FOUNDATION

Friendly account name: Alternative

Account number: Y5 03282 GH

Your Financial Advisor:

MENDICINO/POLLARD/GLASGOW
Phone 404-479-6000/800-977-2756

IRENE W. & C B PENNINGTON
FOUNDATION
ALTERNATIVE INVESTMENTS
2237 S ACADIAN THRUWAY
SUITE 601
BATON ROUGE LA 70808-2380

200,000.00	+
168,059.00	-

31,941.00	*

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Gains and losses not calculated

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
KENMAR GLOBAL RESOURCE FUND SPC LIMITED AS OF 11/30/2012	FIFO	168,059.000	Feb 23, 11	Oct 22, 12	0.00	200,000.00			
---This information was unavailable---									



Member SIPC

011460 B301B012 010692

APZ30001000170911 PZ3000019181 00002 1212 000000000 Y503282GH0 111000

Page 1 of 2

Account Number 8R 08818 75
Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184200069624-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:

Transactions are grouped into sections based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the-box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "*" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (5)	Cost or other basis (Line 3) (5)	Wash sale loss disallowed (Line 5) (5)	Federal income tax withheld (Line 4) (5)	Gain/Loss (5)
ACTIVISION BLIZZARD INC Symbol: ATVI CUSIP: 00507V109								
Sell	10 0000	03/29/12	09/06/12	122.38	126.10			(3.72)
Sell	120 0000	08/06/12	09/06/12	1,468.57	1,365.60			102.97
Sub Total	130.0000			1,590.95	1,491.70			99.25
AECOM TECHNOLOGY CORP Symbol: ACM CUSIP: 00766T100								
Sell	80.0000	06/10/11	06/08/12	1,261.15	2,194.01			(932.86)
Sell	20 0000	09/19/11	06/08/12	315.29	398.41			(83.12)

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Account Number 8R 08818 75
Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184200069625-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b) Original cost basis (\$)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
AECOM TECHNOLOGY CORP Symbol: ACM CUSIP: 00766T100								
Sell	40 0000	03/29/12	06/08/12	630.58	902.40			(271.82)
Sub Total	140.0000			2,207.02	3,494.82			(1,287.80)
EL PASO CORP *MERGER EFF. 05/2012* CUSIP: 28336L109								
Sell	110.0000	09/22/11	01/25/12	2,943.33	1,920.79			1,022.54
EXELON CORP Symbol: EXC CUSIP: 30161N101								
Cash in Lieu	0.6500	10/10/11	03/16/12	25.25	26.46	1.21		0.00
GREAT PLAINS ENERGY INC Symbol: GXP CUSIP: 391164100								
Sell	45.0000	03/29/12	08/03/12	995.91	901.80			94.11
NEXEN INC CAD Symbol: NXY CUSIP: 65334H102								
Sell	80 0000	08/17/11	08/06/12	2,054.51	1,655.03			399.48
Sell	15 0000	08/18/11	08/06/12	385.22	300.45			84.77
Sell	30 0000	11/17/11	08/06/12	770.45	482.38			288.07
Sell	35 0000	02/17/12	08/06/12	898.85	708.01			190.84
Sell	110 0000	03/29/12	08/06/12	2,824.95	1,952.28			872.67
Sub Total	270.0000			6,933.98	5,098.15			1,835.83
RANGE RESOURCES CORP Symbol: RRC CUSIP: 75281A109								
Sell	20.0000	03/29/12	05/07/12	1,269.36	1,144.60			124.76

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Date 1a)	Date of sale / exchange (Line 1a) (Date 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
SEADRILL LTD US LINE Symbol SDRL CUSIP G7945E105	Sell	30.0000	03/29/12	04/12/12	1,107.79	1,101.60			6.19
ULTRA PETROLEUM CORP (CANADA) ORD CAD Symbol UPL CUSIP 903914109	Sell	10.0000	03/29/12	10/02/12	229.16	228.90			0.26
	Sell	10.0000	05/14/12	10/02/12	229.16	200.13			29.03
	Sell	120.0000	08/06/12	10/02/12	2,749.89	2,571.24			178.65
	Sell	5.0000	08/29/12	10/02/12	114.58	103.17			11.41
Sub Total		145.0000			3,322.79	3,103.44			219.35
WEATHERFORD INTL LTD CHF Symbol WFT CUSIP H27013103	Sell	5.0000	02/03/11	01/04/12	76.66	121.25			(44.59)
Short-term total Box A, Part I		895.6500			\$20,473.04	\$18,404.61	\$1.21		\$2,069.64

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part I, with **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
RTS SANOFI CONTGNT VAL RT EXP 12/31/20 Symbol: GCVRZ CUSIP: 80105N113									
Sell	45 0000		03/29/12	09/21/12	76.05	59.94			16.11
Sell	110 0000		08/06/12	09/21/12	185.89	159.45			26.44
Sub Total	155.0000				261.94	219.39			42.55
Short-term total									
Box B, Part I	155.0000				\$261.94	\$219.39			\$42.55

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
FIRST AMERN FINL CORP COM Symbol: FAF CUSIP: 31847R102									
Sell	5.0000		01/11/11	03/29/12	82.12	74.45			7.67
HAEMONETICS CORP MASS Symbol: HAE CUSIP: 405024100									
Sell	10.0000		03/15/11	10/03/12	809.99	631.75			178.24
RANGE RESOURCES CORP Symbol: RRC CUSIP: 75281A109									
Sell	15.0000		01/25/11	05/07/12	952.02	698.44			253.58

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
SEADRILL LTD US LINE Symbol: SDRL CUSIP: G7945E105									
Sell	20.0000	02/10/11	04/12/12		738.53	691.87			46.66
Sell	10.0000	02/15/11	04/12/12		369.27	363.37			5.90
Sub Total	30.0000				1,107.80	1,055.24			52.56
Long-term total									
Box A, Part II	60.0000				\$2,951.93	\$2,459.88			\$492.05

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ACTIVISION BLIZZARD INC Symbol: ATVI CUSIP: 00507V109									
Sell	40.0000	07/27/10	09/06/12		489.52	475.60			13.92
Sell	100.0000	08/11/10	09/06/12		1,223.82	1,092.36			131.46
Sell	100.0000	09/30/10	09/06/12		1,223.81	1,084.38			139.43
Sub Total	240.0000				2,937.15	2,652.34			284.81
CONS EDISON CO (HOLDING CO) Symbol: ED CUSIP: 209115104									
Sell	44.0000	05/28/09	01/05/12		2,626.92	1,544.84			1,082.08
DUKE ENERGY CORP NEW Symbol: DUK CUSIP: 26441C204									
Cash in Lieu	0.2413	05/28/09	07/03/12		16.47	9.70			6.77

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b) Original cost basis (\$)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
GREAT PLAINS ENERGY INC								
Symbol: GXP								
CUSIP 391164100								
Sell	50 0000		05/28/09 03/09/12	998 41	746 50			251.91
Sell	51 0000		05/28/09 08/03/12	1,128 70	761 43			367.27
Sell	35 0000		09/03/09 08/03/12	774 59	614 24			160.35
Sell	5 0000		09/04/09 08/03/12	110 66	87 75			22.91
Sell	5 0000		10/28/09 08/03/12	110 65	87 75			22.90
Sell	15 0000		12/03/10 08/03/12	331 97	287 59			44.38
Sub Total	161.0000			3,454.98	2,585.26			869.72
NISOURCE INC								
Symbol: NI								
CUSIP 65473P105								
Sell	10.0000		05/28/09 03/29/12	238.22	106.30			131.92
RANGE RESOURCES CORP								
Symbol: RRC								
CUSIP 75281A109								
Sell	30 0000		05/28/09 03/26/12	1,775 28	1,362 15			413.13
Sell	3 0000		05/28/09 05/07/12	190 40	136.22			54.18
Sell	15 0000		09/23/09 05/07/12	952 02	781 78			170.24
Sell	5 0000		12/16/09 05/07/12	317 34	244 18			73 16
Sub Total	53.0000			3,235.04	2,524.33			710.71
RTS SANOFI CONTNGT VAL RT EXP								
12/31/20								
Symbol: GCVRZ								
CUSIP 80105N113								
Sell	79 0000		05/03/11 09/20/12	133 57	185 96			(52.39)
Sell	346 0000		06/08/11 09/20/12	585.00	847 59			(262.59)
Sell	14.0000		06/08/11 09/21/12	23.66	34 30			(10.64)
Sub Total	439.0000			742.23	1,067.85			(325.62)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
Activity type									
SEADRILL LTD US LINE									
Symbol: SDRL									
CUSIP: G7945E105									
Sell	35 0000		11/08/10	03/13/12	1,336.75	1,160.30			176.45
Sell	25 0000		11/08/10	04/12/12	923.16	828.78			94.38
Sub Total	60.0000				2,259.91	1,989.08			270.83
ULTRA PETROLEUM CORP (CANADA)									
ORD CAD									
Symbol: UPL									
CUSIP: 903914109									
Sell	34 0000		05/28/09	10/02/12	779.14	1,536.73			(757.59)
Sell	25 0000		03/18/10	10/02/12	572.89	1,169.32			(596.43)
Sub Total	59.0000				1,352.03	2,706.05			(1,354.02)
WEATHERFORD INTL LTD CHF									
Symbol: WFT									
CUSIP: H27013103									
Sell	50 0000		04/30/10	01/04/12	766.65	918.74			(152.09)
Sell	75 0000		10/28/10	01/04/12	1,149.96	1,265.23			(115.27)
Sell	35 0000		11/05/10	01/04/12	536.66	665.65			(128.99)
Sub Total	160.0000				2,453.27	2,849.62			(396.35)
Long-term total	1,226.2413				\$19,316.22	\$18,035.37			\$1,280.85
Box B, Part II									

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Type of Gain/Loss: Undetermined.

Transactions reported on Form 1099-B - basis **Not** reported to the IRS and Short- or Long-Term Determination is Unknown (to Broker); Report on Form 8949, in either Part I or Part II as appropriate, **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
SPDR GOLD TRUST Symbol: GLD CUSIP: 78463V107 Return of Principal				12/31/12	50.83				Not Calculated

Term Unknown total

Box B

\$50.83

Total

\$43,053.96

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Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:

Transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the-box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "s" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ABBOTT LABS Symbol: ABL CUSIP: 002824100									
Sell	25.0000	06/29/12	10/02/12		1,718.67	1,605.92			112.75
Sell	6.0000	07/09/12	10/02/12		412.48	390.76			21.72
Sell	6.0000	07/09/12	10/03/12		418.72	390.76			27.96
Sell	11.0000	07/11/12	10/03/12		767.66	718.69			48.97
Sell	25.0000	07/12/12	10/03/12		1,744.68	1,625.12			119.56
Sell	22.0000	08/06/12	10/03/12		1,535.31	1,464.10			71.21
Sub Total	95.0000				6,597.52	6,195.35			402.17

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
ACCENTURE PLC IRELAND CL A									
Symbol: ACN									
CUSIP: G1151C101									
Sell	15.0000		09/15/11	06/26/12	846.70	797.98			48.72
Sell	4.0000		09/15/11	09/14/12	263.41	212.80			50.61
Sell	6.0000		09/16/11	09/14/12	395.11	327.73			67.38
Sell	9.0000		12/28/11	10/19/12	615.59	476.93			138.66
Sell	8.0000		12/28/11	10/23/12	535.36	423.93			111.43
Sell	7.0000		03/29/12	10/23/12	468.44	448.84			19.60
Sell	14.0000		03/29/12	11/15/12	906.52	897.68			8.84
Sub Total	63.0000				4,031.13	3,585.89			445.24
ALLERGAN INC									
Symbol: AGN									
CUSIP: 018490102									
Sell	5.0000		08/24/11	07/31/12	418.89	385.20			33.69
Sell	8.0000		08/24/11	08/20/12	684.08	616.31			67.77
Sell	2.0000		08/30/11	08/20/12	171.02	160.67			10.35
Sell	11.0000		08/30/11	08/22/12	942.82	883.69			59.13
Sell	10.0000		09/28/11	08/22/12	857.11	841.19			15.92
Sell	4.0000		03/29/12	08/22/12	342.84	378.68	35.84		0.00
Sell	11.0000		03/29/12	08/28/12	932.90	1,041.37			(108.47)
Sell	4.0000		08/06/12	08/28/12	339.24	376.44			(37.20)
Sell	10.0000		08/06/12	08/28/12	848.08	851.50			(3.42)
Sub Total	65.0000				5,536.98	5,535.05	35.84		37.77
AMAZON COM INC									
Symbol: AMZN									
CUSIP: 023135106									
Sell	6.0000		02/01/12	08/24/12	1,477.88	1,071.21			406.67
Sell	1.0000		02/02/12	08/24/12	246.31	177.91			68.40
Sell	2.0000		02/02/12	09/13/12	521.30	355.82			165.48

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 3) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
AMAZON.COM INC Symbol AMZN CUSIP 023135106									
Sell	2.0000		02/16/12	09/13/12	521.29	353.69			167.60
Sell	4.0000		03/29/12	10/03/12	1,000.33	813.24			187.09
Sell	3.0000		06/21/12	12/10/12	742.51	666.64			75.87
Sell	3.0000		08/06/12	12/10/12	742.51	704.79			37.72
Sub Total	21.0000				5,252.13	4,143.30			1,108.83
ANHEUSER BUSCH INBEV SPON ADR Symbol BUD CUSIP 03524A108									
Sell	11.0000		05/16/12	11/16/12	907.93	773.20			134.73
Sell	12.0000		05/17/12	11/16/12	990.47	838.40			152.07
Sell	4.0000		05/22/12	11/16/12	330.16	275.66			54.50
Sell	8.0000		05/22/12	12/03/12	706.66	551.31			155.35
Sell	2.0000		06/07/12	12/03/12	176.67	135.07			41.60
Sell	10.0000		06/07/12	12/12/12	877.31	675.34			201.97
Sell	9.0000		08/06/12	12/12/12	789.58	736.65			52.93
Sell	5.0000		08/06/12	12/13/12	438.05	409.25			28.80
Sub Total	61.0000				5,216.83	4,394.88			821.95
APPLE INC Symbol AAPL CUSIP 037833100									
Sell	1.0000		12/22/11	10/02/12	651.90	398.38			253.52
Sell	4.0000		12/22/11	10/03/12	2,659.19	1,593.54			1,065.65
Sell	5.0000		02/27/12	10/03/12	3,323.99	2,628.95			695.04
Sell	2.0000		03/29/12	10/03/12	1,329.60	1,228.00			101.60
Sell	2.0000		03/29/12	10/15/12	1,260.55	1,228.00			32.55
Sell	4.0000		03/29/12	11/16/12	2,094.31	2,456.00			(361.69)

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Your Financial Advisor or Contact
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303-267-3000/800-288-5258

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184300069653-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
APPLE INC Symbol AAPL CUSIP 037833100									
Sell	3.0000		03/29/12	12/14/12	1,530.13	1,842.00			(311.87)
Sell	3.0000		04/19/12	12/14/12	1,530.13	1,799.98			(269.85)
Sub Total	24.0000				14,379.80	13,174.85			1,204.95
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR Symbol BIDU CUSIP: 056752108									
Sell	23.0000		03/29/12	11/05/12	2,396.59	3,344.89			(948.30)
Sell	11.0000		08/06/12	11/05/12	1,146.19	1,430.77			(284.58)
Sub Total	34.0000				3,542.78	4,775.66			(1,232.88)
BRISTOL MYERS SQUIBB CO Symbol BMY CUSIP 110122108									
Sell	32.0000		11/29/11	09/19/12	1,052.94	1,008.27			44.67
Sell	19.0000		12/05/11	09/19/12	625.19	627.90			(2.71)
Sell	13.0000		12/05/11	09/20/12	428.32	429.61			(1.29)
Sell	23.0000		12/20/11	09/20/12	757.80	803.46			(45.66)
Sell	8.0000		12/27/11	09/20/12	263.59	282.31			(18.72)
Sell	23.0000		03/29/12	09/20/12	757.80	766.82			(9.02)
Sub Total	118.0000				3,885.64	3,918.37			(32.73)
CHECK POINT SOFTWARE TECH LTD ILS Symbol CHKP CUSIP: M22465104									
Sell	7.0000		08/12/11	07/12/12	310.42	385.14	74.72		0.00
Sell	3.0000		08/15/11	07/12/12	133.04	168.54	35.50		0.00
Sell	8.0000		08/15/11	07/25/12	382.48	449.44	50.22		(16.74)
Sell	12.0000		08/17/11	07/25/12	573.71	677.41			(103.70)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Date 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CHECK POINT SOFTWARE TECH LTD ILS									
Symbol: CHKP CUSIP: M22465104									
Sell	11.0000		02/03/12	09/07/12	528.94	650.34			(121.40)
Sell	7.0000		03/29/12	09/07/12	336.59	443.87			(107.28)
Sell	11.0000		03/29/12	09/12/12	527.88	697.51			(169.63)
Sell	2.0000		03/29/12	09/13/12	95.93	126.82			(30.89)
Sell	9.0000		04/23/12	09/13/12	431.68	539.12			(107.44)
Sell	7.0000		04/23/12	09/14/12	337.70	419.32			(81.62)
Sub Total	77.0000				3,658.37	4,557.51	160.44		(738.70)
COACH INC									
Symbol: COH CUSIP: 189754104									
Sell	4.0000		06/03/11	04/23/12	299.46	244.12			55.34
Sell	11.0000		06/09/11	04/23/12	823.51	661.31			162.20
Sell	4.0000		06/10/11	04/23/12	299.46	238.00			61.46
Sell	10.0000		06/29/11	06/21/12	599.75	617.75	14.40		(3.60)
Sell	10.0000		07/01/11	06/21/12	599.75	658.21			(58.46)
Sell	12.0000		03/20/12	07/31/12	592.16	937.65			(345.49)
Sell	7.0000		03/29/12	07/31/12	345.42	535.64			(190.22)
Sell	19.0000		03/29/12	07/31/12	957.50	1,453.88			(496.38)
Sub Total	77.0000				4,517.01	5,346.56	14.40		(815.15)
COGNIZANT TECH SOLUTIONS CRP									
Symbol: CTSI CUSIP: 192446102									
Sell	15.0000		09/28/11	02/03/12	1,103.48	976.63			126.85

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
Activity type									
CUMMINS INC									
Symbol: CMI									
CUSIP: 231021106									
Sell	9 0000		09/28/11	07/12/12	745.54	811.49			(65.95)
Sell	4 0000		09/28/11	07/16/12	348.03	360.66			(12.63)
Sell	15 0000		03/29/12	07/16/12	1,305.09	1,757.85			(452.76)
Sub Total	28.0000				2,398.66	2,930.00			(531.34)
DANAHER CORP									
Symbol: DHR									
CUSIP: 235851102									
Sell	5 0000		06/28/11	05/29/12	267.37	260.07			7.30
Sell	22 0000		03/29/12	11/05/12	1,145.34	1,197.90	31.06		(21.50)
Sell	11 0000		03/29/12	11/06/12	582.00	598.95			(16.95)
Sell	18 0000		08/06/12	11/06/12	952.38	955.98			(3.60)
Sell	13 0000		09/25/12	11/06/12	687.82	711.43			(23.61)
Sub Total	69.0000				3,634.91	3,724.33	31.06		(58.36)
DECKERS OUTDOOR CORP									
Symbol: DECK									
CUSIP: 243537107									
Sell	6.0000		12/28/11	06/20/12	284.87	506.04			(221.17)
Sell	3.0000		12/29/11	06/20/12	142.43	239.12			(96.69)
Sell	3 0000		01/24/12	06/20/12	142.44	243.64			(101.20)
Sell	5 0000		02/24/12	06/20/12	237.38	400.42			(163.04)
Sell	7 0000		03/29/12	06/20/12	332.35	443.10			(110.75)
Sub Total	24.0000				1,139.47	1,832.32			(692.85)
DICK'S SPORTING GOODS INC									
Symbol: DKS									
CUSIP: 253393102									
Sell	21 0000		08/10/12	12/19/12	970.90	1,055.61			(84.71)
Sell	22 0000		08/16/12	12/19/12	1,017.13	1,119.84			(102.71)

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Your Financial Advisor or Contact
DWIGHT WEST
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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP (Line 1e) Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
DICK'S SPORTING GOODS INC Symbol: DXS CUSIP: 253393102									
Sell	30 0000		09/21/12	12/19/12	1,387.00	1,598.70			(211.70)
Sell	11 0000		09/21/12	12/20/12	503.45	586.19			(82.74)
Sub Total	84 0000				3,878.48	4,360.34			(481.86)
DOLLAR GEN CORP NEW Symbol: DG CUSIP: 256677105									
Sell	16 0000		06/25/12	10/16/12	797.48	853.26	52.29		(3.49)
Sell	7 0000		06/26/12	10/16/12	348.90	376.81			(27.91)
Sell	6 0000		06/26/12	12/03/12	297.37	322.98			(25.61)
Sell	16 0000		07/03/12	12/03/12	793.00	860.56			(67.56)
Sell	15 0000		07/19/12	12/03/12	743.43	795.34			(51.91)
Sell	7 0000		07/23/12	12/03/12	346.94	365.79			(18.85)
Sub Total	67 0000				3,327.12	3,574.74	52.29		(195.33)
DOLLAR TREE INC Symbol: DLTR CUSIP: 256746108									
Sell	12 0000		12/23/11	08/10/12	610.41	495.55			114.86
Sell	12 0000		12/28/11	08/10/12	610.40	503.49			106.91
Sell	1 0000		03/29/12	08/10/12	50.87	47.08			3.79
Sell	11 0000		03/29/12	08/15/12	553.54	517.89			35.65
Sell	10 0000		08/06/12	08/15/12	503.22	511.50			(8.28)
Sub Total	46 0000				2,328.44	2,075.51			252.93
DOW CHEMICAL Symbol: DOW CUSIP: 260543103									
Sell	31 0000		03/29/12	04/09/12	1,001.59	1,042.53			(40.94)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1c) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
EATON CORP *MERGER EFF- 12/12*									
CUSIP: 278058102									
Sell	13 0000		04/20/11	01/05/12	592 55	694.03			(101 48)
Sell	8 0000		04/20/11	03/13/12	398 10	427 09			(28 99)
Sell	3 0000		10/12/11	03/13/12	149 29	125 39			23 90
Sell	9 0000		10/12/11	03/15/12	454 80	376 17			78 63
Sell	11 0000		10/14/11	03/19/12	560 12	463 88			96 24
Sell	11 0000		10/14/11	03/20/12	550 50	463 88			86 62
Sub Total	55 0000				2,705.36	2,550.44			154.92
EMC CORP MASS									
Symbol: EMC									
CUSIP: 268648102									
Sell	32 0000		06/19/12	10/18/12	810 62	813 09	2 47		0 00
Sell	32 0000		06/20/12	10/18/12	810 62	809 28			1 34
Sell	17 0000		06/29/12	10/18/12	430 64	428 10			2 54
Sell	19 0000		07/06/12	10/18/12	481 30	454 61			26 69
Sell	27 0000		07/19/12	10/18/12	683 96	687 50	3 54		0 00
Sell	25 0000		07/27/12	10/18/12	633 30	659 24	25 94		0 00
Sell	17 0000		08/06/12	10/18/12	430 64	454 92	20 00		(4 28)
Sell	30 0000		08/06/12	11/14/12	714 52	802 80			(88 28)
Sell	44 0000		09/14/12	11/14/12	1,047 97	1,228 32			(180 35)
Sell	11 0000		10/01/12	11/14/12	261 99	304 81			(42 82)
Sell	10 0000		10/01/12	11/15/12	236 69	277 64			(40 95)
Sell	21 0000		10/01/12	11/15/12	497 07	581 90			(84 83)
Sell	14 0000		10/05/12	11/15/12	331 38	403 69			(72 31)
Sell	17 0000		10/05/12	11/15/12	402 39	468 15			(65 76)
Sell	25 0000		10/05/12	11/15/12	591 74	711 11			(119 37)
Sub Total	341 0000				8,364.83	9,085.16	51.95		(668.38)

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UBS Financial Services Inc.

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Account Number 8R 08819 75
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DWIGHT WEST
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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
EXPRESS SCRIPTS HLDG CO									
Symbol: ESRX									
CUSIP: 30219G108									
Sell	22.0000		04/04/12	11/12/12	1,154.00	1,255.74			(101.74)
Sell	8.0000		04/04/12	11/14/12	402.53	456.63			(54.10)
Sell	30.0000		04/05/12	11/14/12	1,509.49	1,713.83			(204.34)
Sell	15.0000		04/10/12	11/14/12	754.74	835.54			(80.80)
Sell	1.0000		04/10/12	11/14/12	50.32	56.00			(5.68)
Sell	3.0000		04/11/12	11/14/12	150.95	168.98			(18.03)
Sell	10.0000		04/11/12	12/20/12	542.66	563.25			(20.59)
Sell	30.0000		04/13/12	12/20/12	1,627.98	1,705.80			(77.82)
Sell	3.0000		04/16/12	12/20/12	162.80	170.35			(7.55)
Sub Total	122.0000				6,355.47	6,926.12			(570.65)
GOOGLE INC CL A									
Symbol: GOOG									
CUSIP: 38259P508									
Sell	2.0000		07/15/11	02/03/12	1,186.16	1,185.62			0.54
Sell	2.0000		08/30/11	04/23/12	1,190.99	1,257.32	66.33		0.00
Sell	1.0000		10/14/11	04/30/12	610.12	592.23			17.89
Sell	2.0000		11/11/11	04/30/12	1,220.25	1,210.86			9.39
Sell	2.0000		11/11/11	06/21/12	1,129.45	1,210.86			(81.41)
Sell	1.0000		03/29/12	06/21/12	564.73	652.99			(88.26)
Sell	1.0000		03/29/12	06/21/12	575.70	652.99			(77.29)
Sell	2.0000		03/29/12	06/21/12	1,151.41	1,372.31			(220.90)
Sub Total	13.0000				7,628.81	8,135.18	66.33		(440.04)

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Your Financial Advisor or Contact
DWIGHT WEST
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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 5b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
HALLIBURTON CO (HOLDING COMPANY) Symbol: HAL CUSIP 406216101								
Sell	31 0000	07/21/11	06/07/12	879 59	1,754 63			(875 04)
Sell	6 0000	08/24/11	06/07/12	170 24	239 54			(69 30)
Sell	6 0000	08/24/11	07/02/12	171 50	239 54			(68 04)
Sell	7 0000	08/30/11	07/02/12	200 09	301 07			(100 98)
Sell	12 0000	09/27/11	07/02/12	343 00	416 08			(73 08)
Sell	1 0000	12/28/11	07/02/12	28 58	33 40			(4 82)
Sell	7 0000	12/28/11	07/03/12	204 64	233 76			(29 12)
Sell	20 0000	03/20/12	07/03/12	584 67	693 08			(108 41)
Sell	25 0000	03/20/12	11/05/12	810 77	866 34			(55 57)
Sell	19 0000	03/29/12	11/05/12	616 18	610 47			5 71
Sell	41 0000	03/29/12	11/07/12	1,311 15	1,317 33			(6 18)
Sell	27 0000	03/29/12	11/08/12	834 36	867 51			(33 15)
Sell	35 0000	08/06/12	11/08/12	1,081 57	1,184 75			(103 18)
Sell	26 0000	09/07/12	11/08/12	803 46	879 57			(76 11)
Sell	26 0000	09/07/12	11/13/12	798 37	879 56			(81 19)
Sell	36 0000	09/10/12	11/13/12	1,105 43	1,244 57			(139 14)
Sell	21 0000	09/10/12	11/14/12	630 34	726 00			(95 66)
Sell	47 0000	09/11/12	11/14/12	1,410 75	1,651 67			(240 92)
Sell	14 0000	09/11/12	11/15/12	427 88	491 99			(64 11)
Sell	54 0000	09/12/12	11/15/12	1,650 37	1,929 51			(279 14)
Sub Total	461 0000			14,062 94	16,560 37			(2,497 43)
HOME DEPOT INC Symbol: HD CUSIP 437076102								
Sell	13 0000	12/02/11	07/31/12	680 91	521 40			159 51
Sell	4 0000	12/05/11	07/31/12	209 51	161 31			48 20

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
HOME DEPOT INC Symbol: HD CUSIP: 437076102									
Sell	9 0000		12/05/11	10/26/12	540.86	362.94			177.92
Sell	27.0000		12/06/11	10/26/12	1,622.56	1,088.04			534.52
Sell	2 0000		12/20/11	10/26/12	120.19	82.98			37.21
Sell	18 0000		12/20/11	12/20/12	1,107.14	746.83			360.31
Sell	31.0000		03/29/12	12/20/12	1,906.75	1,541.32			365.43
Sell	18 0000		06/20/12	12/20/12	1,107.15	953.27			153.88
Sell	3 0000		06/22/12	12/20/12	184.52	155.11			29.41
Sub Total	125.0000				7,479.59	5,613.20			1,866.39
KINDER MORGAN INC Symbol: KMI CUSIP: 494568101									
Sell	21 0000		03/20/12	11/08/12	696.61	772.22			(75.61)
Sell	3 0000		03/21/12	11/08/12	99.51	111.47			(11.96)
Sell	26 0000		03/21/12	11/09/12	846.69	966.06			(119.37)
Sell	56 0000		03/21/12	11/15/12	1,811.65	2,080.76			(269.11)
Sell	5 0000		03/22/12	11/15/12	161.75	186.00			(24.25)
Sub Total	111.0000				3,616.21	4,116.51			(500.30)
LAUDER ESTEE COS CL A Symbol: EL CUSIP: 518439104									
Sell	8.0000		08/15/11	07/31/12	419.43	373.64			45.79
Sell	6 0000		08/24/11	07/31/12	314.58	277.06			37.52
Sell	12 0000		08/24/11	08/13/12	660.16	554.13			106.03
Sell	6 0000		10/07/11	08/13/12	330.08	279.88			50.20
Sell	8 0000		03/29/12	08/13/12	440.10	493.36	53.26		0.00
Sub Total	40.0000				2,164.35	1,978.07	53.26		239.54

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Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
LIBERTY GLOBAL INC CL A									
Symbol LBTYA									
CUSIP 530555101									
Sell	13 0000		08/10/12	11/15/12	716 24	718 58	2 34		0 00
Sell	1 0000		08/10/12	12/03/12	57 51	55 28			2 23
Sell	3 0000		08/14/12	12/03/12	172 53	168 52			4 01
Sell	2 0000		08/14/12	12/04/12	114 75	112 34			2 41
Sell	16 0000		09/21/12	12/04/12	918 01	948 65			(30 64)
Sub Total	35 0000				1,979.04	2,003.37	2.34		(21.99)
LIMITED BRANDS INC CL A									
Symbol LTD									
CUSIP 532716107									
Sell	9 0000		03/20/12	08/09/12	443 99	425 76			18 23
Sell	26 0000		03/21/12	08/09/12	1,282 63	1,250 75			31 88
Sell	15 0000		03/21/12	11/15/12	685 30	721 58			(36 28)
Sell	4 0000		03/29/12	11/15/12	182 75	193 76			(11 01)
Sub Total	54 0000				2,594.67	2,591.85			2.82
LINKEDIN CORP CL A									
Symbol LNKD									
CUSIP 53578A108									
Sell	2 0000		03/14/12	11/15/12	197 75	183 80			13 95
Sell	6 0000		03/14/12	12/12/12	684 67	551 39			133 28
Sell	5 0000		03/14/12	12/13/12	563 23	459 50			103 73
Sell	3 0000		03/29/12	12/13/12	337 94	302 22			35 72
Sub Total	16 0000				1,783.59	1,496.91			286.68
LULULEMON ATHLETICA INC									
Symbol LULU									
CUSIP 550021109									
Sell	10 0000		10/05/11	08/01/12	556 35	495 42			60 93
Sell	3 0000		03/22/12	08/01/12	166 91	221 84	54.93		0 00

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 3) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
LULULEMON ATHLETICA INC Symbol: LULU CUSIP: 550021109									
Sell	5 0000		03/29/12	08/01/12	278.18	365.70	87.52		0.00
Sell	12 0000		06/07/12	08/01/12	667.62	767.18	66.37		(33.19)
Sell	5 0000		06/12/12	08/01/12	278.18	314.70			(36.52)
Sell	8 0000		06/20/12	12/20/12	606.78	514.68			92.10
Sell	2 0000		06/22/12	12/20/12	151.69	127.89			23.80
Sell	3 0000		06/25/12	12/20/12	227.54	190.73			36.81
Sub Total	48.0000				2,933.25	2,998.14	208.82		143.93

MEAD JOHNSON NUTRITION CO COM

STOCK

Symbol: MJN

CUSIP: 582839106

Sell	12.0000		12/22/11	10/03/12	874.72	837.60			37.12
Sell	7 0000		12/22/11	10/25/12	454.10	488.60			(34.50)
Sell	6 0000		12/29/11	10/25/12	389.23	406.04			(16.81)
Sell	13 0000		03/29/12	10/25/12	843.33	1,072.76			(229.43)
Sell	13 0000		03/29/12	10/26/12	806.10	1,072.76			(266.66)
Sell	3 0000		03/29/12	11/05/12	196.88	247.56			(50.68)
Sell	16 0000		07/18/12	11/05/12	1,050.03	1,166.62			(116.59)
Sell	12 0000		07/24/12	11/05/12	787.52	876.11			(88.59)
Sell	36 0000		08/06/12	11/05/12	2,362.57	2,652.48			(289.91)
Sub Total	118.0000				7,764.48	8,820.53			(1,056.05)

NATL-OILWELLVARCO INC

Symbol: NOV

CUSIP: 637071101

Sell	14 0000		07/21/11	04/23/12	1,082.10	1,140.21	58.11		0.00
Sell	7 0000		07/28/11	04/23/12	541.05	572.89	31.84		0.00
Sell	10 0000		08/24/11	04/23/12	772.93	632.45			140.48

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
NATL-OILWELLVARCO INC									
Symbol: NOV									
CUSIP: 637071101									
Sell	4.0000		09/27/11	04/23/12	309.18	228.64			80.54
Sell	1.0000		10/06/11	04/23/12	77.29	57.17			20.12
Sell	11.0000		10/06/11	06/28/12	685.46	628.91			56.55
Sell	9.0000		12/28/11	12/12/12	604.11	603.95			0.16
Sell	11.0000		03/20/12	12/12/12	738.35	894.32			(155.97)
Sell	16.0000		03/20/12	12/13/12	1,049.69	1,300.84			(251.15)
Sell	12.0000		03/29/12	12/13/12	787.27	929.16			(141.89)
Sub Total	95.0000				6,647.43	6,988.54	89.95		(251.16)
O REILLY AUTOMOTIVE INC									
Symbol: ORLY									
CUSIP: 67103H107									
Sell	15.0000		03/29/12	12/12/12	1,394.95	1,353.45			41.50
Sell	4.0000		07/12/12	12/17/12	360.69	360.17			0.52
Sell	7.0000		08/06/12	12/17/12	631.20	602.21			28.99
Sell	12.0000		08/06/12	12/18/12	1,098.58	1,032.36			66.22
Sub Total	38.0000				3,485.42	3,348.19			137.23
OCCIDENTAL PETROLEUM CRP									
Symbol: OXY									
CUSIP: 674599105									
Sell	9.0000		09/27/11	09/14/12	832.72	705.14			127.58
Sell	2.0000		03/29/12	09/14/12	185.05	183.94			1.11
Sell	23.0000		03/29/12	09/19/12	2,021.00	2,115.31			(94.31)
Sell	1.0000		03/29/12	09/21/12	87.29	91.97			(4.68)
Sell	4.0000		08/06/12	09/21/12	349.15	357.64			(8.49)
Sub Total	39.0000				3,475.21	3,454.00			21.21

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
PPG INDUSTRIES INC									
Symbol: PPG CUSIP: 693506107									
Sell	5 0000		06/28/11	03/19/12	472.61	441.47			31.14
Sell	4 0000		06/29/11	03/19/12	378.09	353.38			24.71
Sub Total	9 0000				850.70	794.85			55.85
PRAXAIR INC									
Symbol: PX CUSIP: 74005P104									
Sell	7 0000		03/29/12	09/25/12	746.09	793.80			(47.71)
Sell	10 0000		03/29/12	09/26/12	1,056.37	1,133.99			(77.62)
Sell	5 0000		03/29/12	10/02/12	517.37	567.00			(49.63)
Sell	16 0000		08/06/12	10/02/12	1,655.57	1,681.76			(26.19)
Sub Total	38 0000				3,975.40	4,176.55			(201.15)
SEAGATE TECHNOLOGY PLC SHS									
Symbol: STX CUSIP: G7945M107									
Sell	64 0000		08/10/12	09/07/12	1,993.61	2,155.55			(161.94)
TIME WARNER INC NEW									
Symbol: TWX CUSIP: 887317303									
Sell	36 0000		04/13/11	03/14/12	1,294.29	1,283.85			10.44
Sell	32 0000		04/21/11	03/14/12	1,150.48	1,164.24			(13.76)
Sell	11 0000		06/28/11	03/14/12	395.48	391.70			3.78
Sell	18 0000		06/29/11	03/14/12	647.14	649.29			(2.15)
Sell	27 0000		12/22/11	03/14/12	970.72	954.62			16.10
Sub Total	124 0000				4,458.11	4,443.70			14.41

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
UNION PACIFIC CORP Symbol: UNP CUSIP: 907818108									
Sell	9,0000		12/22/11	10/18/12	1,131.24	938.98			192.26
US BANCORP DEL (NEW) Symbol: USB CUSIP: 902973304									
Sell	12,0000		12/21/11	12/20/12	384.95	319.99			64.96
Sell	8,0000		12/21/11	12/21/12	258.01	213.32			44.69
Sell	14,0000		01/11/12	12/21/12	451.51	395.69			55.82
Sell	18,0000		01/13/12	12/21/12	580.51	521.71			58.80
Sell	26,0000		03/29/12	12/21/12	838.52	811.46			27.06
Sub Total	78,0000				2,513.50	2,262.17			251.33
VISA INC CL A Symbol: V CUSIP: 92826C839									
Sell	8,0000		06/30/11	05/15/12	938.97	678.76			260.21
Sell	5,0000		07/01/11	05/15/12	586.86	435.68			151.18
Sell	8,0000		07/01/11	05/17/12	939.68	697.08			242.60
Sub Total	21,0000				2,465.51	1,811.52			653.99
VMWARE INC CL A Symbol: VMW CUSIP: 928563402									
Sell	4,0000		02/16/12	10/19/12	339.53	396.63	57.10		0.00
Sell	3,0000		02/17/12	10/19/12	254.65	299.29	44.64		0.00
Sub Total	7,0000				594.18	695.92	101.74		

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
WAL MART STORES INC									
Symbol: WMT									
CUSIP: 931142103									
Sell	12 0000		06/25/12	09/14/12	893.41	817.82			75.59
Sell	11 0000		06/25/12	11/05/12	800.81	749.66			51.15
Sell	9 0000		07/19/12	11/05/12	655.22	638.14			17.08
Sell	10 0000		08/06/12	11/05/12	728.01	744.90			(16.89)
Sub Total	42.0000				3,077.45	2,950.52			126.93
YOUKU TUDOU INC SPON ADR									
Symbol: YOKU									
CUSIP: 98742U100									
Sell	17.0000		06/28/11	06/15/12	408.35	574.38			(166.03)
YUM! BRANDS INC									
Symbol: YUM									
CUSIP: 988498101									
Sell	5 0000		03/29/12	08/31/12	318.41	349.95	31.54		0.00
Sell	6 0000		03/29/12	09/06/12	389.19	419.94			(30.75)
Sell	18.0000		03/29/12	09/11/12	1,210.35	1,259.82			(49.47)
Sell	7 0000		06/13/12	09/27/12	472.97	438.62			34.35
Sell	10 0000		06/20/12	09/27/12	675.68	661.48			14.20
Sell	2 0000		06/22/12	09/27/12	135.13	131.95			3.18
Sell	8 0000		06/22/12	11/12/12	575.41	527.80			47.61
Sub Total	56.0000				3,777.14	3,789.56	31.54		19.12
Short-term total					\$183,716.18	\$187,404.10	\$899.96		\$(2,787.96)
Box A, Part I	3,175.0000								

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type								
ACCENTURE PLC IRELAND CL A Symbol: ACN CUSIP: G1151C101								
Sell	13 0000	09/16/11	10/05/12	921 10	710 07			211 03
Sell	16 0000	09/20/11	10/05/12	1,133 66	881 87			251 79
Sell	3 0000	09/20/11	10/19/12	205 20	165 35			39 85
Sell	15 0000	09/28/11	10/19/12	1,025 97	814 10			211 87
Sub Total	47.0000			3,285.93	2,571.39			714.54
APPLE INC Symbol: AAPL CUSIP: 037833100								
Sell	2.0000	09/27/11	10/02/12	1,303.79	804.60			499.19
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR Symbol: BIDU CUSIP: 056752108								
Sell	12 0000	04/20/11	10/26/12	1,349 66	1,805 93			(456 27)
Sell	3 0000	06/30/11	10/26/12	337 42	419 74			(82 32)
Sell	4 0000	08/30/11	10/26/12	449 89	593 03			(143 14)
Sell	3 0000	08/30/11	11/05/12	312 60	444 77			(132 17)
Sub Total	22.0000			2,449.57	3,263.47			(813.90)
BIOMERIE INC Symbol: BIIB CUSIP: 09062X103								
Sell	11.0000	06/22/11	11/08/12	1,494 74	1,099 15			395 59
Sell	3 0000	06/23/11	11/08/12	407 65	300 34			107 31
Sell	5 0000	06/23/11	11/09/12	687 16	500 56			186 60
Sub Total	19.0000			2,589.55	1,900.05			689.50

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CHECK POINT SOFTWARE TECH LTD ILS									
Symbol: CHKP									
CUSIP: M22465104									
Sell	6.0000		08/17/11	09/07/12	288.51	338.70			(50.19)
Sell	3.0000		08/06/12	09/14/12	144.72	185.35			(40.63)
Sell	6.0000		08/06/12	09/14/12	289.46	349.92			(60.46)
Sell	7.0000		08/06/12	09/14/12	337.70	424.37			(86.67)
Sub Total	22.0000				1,060.39	1,298.34			(237.95)
COACH INC									
Symbol: COH									
CUSIP: 189754104									
Sell	4.0000		06/10/11	06/21/12	239.90	238.00			1.90
Sell	6.0000		07/01/11	07/31/12	296.08	394.92			(98.84)
Sell	8.0000		06/08/12	07/31/12	403.16	516.77			(113.61)
Sub Total	18.0000				939.14	1,149.69			(210.55)
DANAHER CORP									
Symbol: DHR									
CUSIP: 235851102									
Sell	3.0000		06/28/11	11/05/12	156.18	156.04			0.14
Sell	11.0000		08/30/11	11/05/12	572.67	492.95			79.72
Sell	13.0000		10/07/11	11/05/12	676.79	550.97			125.82
Sub Total	27.0000				1,405.64	1,199.96			205.68
EATON CORP *MERGER EFF 12/12*									
CUSIP: 278058102									
Sell	1.0000		10/12/11	03/15/12	50.53	47.91			2.62
Sell	6.0000		10/12/11	03/15/12	303.21	297.54			5.67
Sell	8.0000		10/12/11	03/15/12	404.27	392.63			11.64
Sell	1.0000		10/12/11	03/19/12	50.92	49.08			1.84
Sub Total	16.0000				808.93	787.16			21.77

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Symbol (Line 1d) CUSIP	Activity type									
HALLIBURTON CO (HOLDING COMPANY)										
Symbol: HAL										
CUSIP: 406216101										
	Sell	29.0000		02/02/11	06/07/12	816.38	1,344.50			(528.12)
	Sell	12.0000		02/03/11	06/07/12	337.81	555.59			(217.78)
	Sell	11.0000		02/03/11	06/07/12	309.67	508.84			(199.17)
	Sell	14.0000		03/14/11	06/07/12	394.11	623.34			(229.23)
	Sell	15.0000		03/14/11	06/07/12	425.61	667.87			(242.26)
	Sell	8.0000		05/05/11	06/07/12	226.99	376.83			(149.84)
	Sub Total	89.0000				2,510.57	4,076.97			(1,566.40)
MCDONALDS CORP										
Symbol: MCD										
CUSIP: 580135101										
	Sell	8.0000		06/29/11	11/05/12	699.69	673.85			25.84
	Sell	5.0000		09/27/11	11/05/12	437.30	450.90			(13.60)
	Sub Total	13.0000				1,136.99	1,124.75			12.24
MEAD JOHNSON NUTRITION CO COM										
STOCK										
Symbol: MJN										
CUSIP: 582839106										
	Sell	1.0000		06/29/11	09/10/12	75.94	66.94			9.00
	Sell	4.0000		06/29/11	09/11/12	299.60	267.77			31.83
	Sell	3.0000		06/29/11	09/28/12	219.48	200.83			18.65
	Sell	3.0000		08/17/11	09/28/12	219.49	212.91			6.58
	Sell	4.0000		08/30/11	09/28/12	292.64	283.15			9.49
	Sell	2.0000		08/31/11	09/28/12	146.32	142.05			4.27
	Sell	1.0000		08/31/11	10/03/12	72.89	71.02			1.87
	Sub Total	18.0000				1,326.36	1,244.67			81.69

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
NATL-OILWELLVARCO INC Symbol: NOV CUSIP: 637071101									
Sell	5.0000		10/06/11	12/12/12	335.61	285.87			49.74
O RELLY AUTOMOTIVE INC Symbol: ORLY CUSIP: 67103H107									
Sell	8.0000		06/20/11	10/18/12	641.94	501.99			139.95
Sell	9.0000		06/21/11	10/18/12	722.19	569.42			152.77
Sell	9.0000		07/28/11	11/09/12	807.17	537.72			269.45
Sell	1.0000		08/09/11	11/09/12	89.68	58.41			31.27
Sell	7.0000		08/09/11	12/12/12	650.98	408.87			242.11
Sell	1.0000		10/07/11	12/12/12	92.99	67.60			25.39
Sub Total	35.0000				3,004.95	2,144.01			860.94

OCCIDENTAL PETROLEUM CRP

Symbol: OXY
CUSIP: 674599105

Sell	11.0000		03/14/11	06/20/12	927.23	1,087.30	116.41		(43.66)
Sell	5.0000		03/21/11	06/20/12	421.47	505.29			(83.82)
Sell	4.0000		04/28/11	06/20/12	337.18	415.67			(78.49)
Sell	2.0000		04/28/11	06/26/12	155.31	207.83			(52.52)
Sell	7.0000		05/02/11	06/26/12	543.58	813.54			(269.96)
Sell	3.0000		05/05/11	06/26/12	232.96	319.46			(86.50)
Sell	1.0000		05/05/11	07/12/12	83.57	106.48	22.91		0.00
Sell	3.0000		05/26/11	07/12/12	250.70	315.81	65.11		0.00
Sell	5.0000		05/31/11	07/12/12	417.85	540.34	122.49		0.00
Sell	3.0000		06/29/11	07/12/12	250.70	309.18	58.48		0.00
Sell	6.0000		06/29/11	09/13/12	542.01	618.37			(76.36)
Sell	4.0000		06/29/11	09/14/12	370.10	412.25			(42.15)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Symbol (Line 1d) CUSIP	Activity type									
OCCIDENTAL PETROLEUM CRP										
Symbol: OXY										
CUSIP: 674599105										
Sell		8 0000		06/07/12	09/21/12	698.30	814.94			(116.64)
Sell		1 0000		08/06/12	09/21/12	87.29	112.32			(25.03)
Sell		3 0000		08/06/12	09/21/12	261.86	333.34			(71.48)
Sell		3 0000		08/06/12	09/21/12	261.86	326.71			(64.85)
Sell		5 0000		08/06/12	09/21/12	436.44	569.54			(133.10)
Sub Total		74.0000				6,278.41	7,808.37	385.40		(1,144.56)
PRAXAIR INC										
Symbol: PX										
CUSIP: 74005P104										
Sell		2 0000		06/28/11	09/24/12	214.38	211.48			2.90
Sell		3 0000		06/28/11	09/25/12	319.76	317.22			2.54
Sub Total		5.0000				534.14	528.70			5.44
PRICELINE COM INC NEW										
Symbol: PCLN										
CUSIP: 741503403										
Sell		3 0000		06/28/11	10/18/12	1,778.97	1,481.16			297.81
Sell		1 0000		08/30/11	10/18/12	592.99	537.03			55.96
Sub Total		4.0000				2,371.96	2,018.19			353.77
QUALCOMM INC										
Symbol: QCOM										
CUSIP: 747525103										
Sell		19 0000		11/03/11	12/14/12	1,150.47	1,066.31			84.16
Sell		10 0000		11/04/11	12/14/12	605.51	560.28			45.23
Sell		11 0000		11/11/11	12/14/12	666.06	624.98			41.08
Sub Total		40.0000				2,422.04	2,251.57			170.47

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$) (Line 2a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
STARBUCKS CORP Symbol: SBUX CUSIP: 855244109	16 0000	02/01/11	03/09/12	828.53	513.69	314.84			
	18 0000	02/01/11	10/19/12	828.85	577.90	250.95			
	1.0000	02/01/11	10/25/12	46.24	32.11	14.13			
	18 0000	02/28/11	10/25/12	832.25	591.49	240.76			
	4 0000	03/01/11	10/25/12	184.94	129.56	55.38			
	12 0000	03/01/11	10/26/12	548.37	388.66	159.71			
	13 0000	03/04/11	10/26/12	594.07	429.00	165.07			
	14 0000	03/04/11	11/05/12	714.98	462.00	252.98			
	96.0000			4,578.23	3,124.41	1,453.82			
	Sub Total								
TIFFANY & CO NEW Symbol: TIF CUSIP: 886547108	7 0000	01/11/11	03/19/12	477.78	424.04	53.74			
	7 0000	01/11/11	03/19/12	477.79	424.91	52.88			
	11.0000	01/12/11	03/19/12	750.80	671.42	79.38			
	25.0000			1,706.37	1,520.37	186.00			
	Sub Total								
TIME WARNER INC NEW Symbol: TWX CUSIP: 887317303	10 0000	02/28/11	03/09/12	367.02	382.07	(15.05)			
	10 0000	02/28/11	03/13/12	364.34	382.07	(17.73)			
	12 0000	03/03/11	03/13/12	437.21	455.21	(18.00)			
	2 0000	03/03/11	03/14/12	71.91	75.87	(3.96)			
	17 0000	03/04/11	03/14/12	611.19	636.02	(24.83)			
	51.0000			1,851.67	1,931.24	(79.57)			
	Sub Total								

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Symbol (Line 1d) CUSIP	Activity type									
TIJ COS INC NEW										
Symbol: TIJX CUSIP 872540109										
Sell		23 0000		03/04/11	11/21/12	999 46	580 58			418 88
Sell		11 0000		03/04/11	12/20/12	464 95	277 67			187 28
Sell		18 0000		05/05/11	12/20/12	760 83	481 72			279 11
Sell		26 0000		06/21/11	12/20/12	1,098 97	661 71			437 26
Sub Total		78.0000				3,324.21	2,001.68			1,322.53
YOUKU TUDOU INC SPON ADR										
Symbol: YOKU CUSIP 98742U100										
Sell		12 0000		05/20/11	06/14/12	288.33	580 02			(291 69)
Sell		2 0000		05/23/11	06/14/12	48 05	91 51			(43 46)
Sell		9 0000		05/23/11	06/15/12	216 19	411 79			(195 60)
Sell		8 0000		06/07/11	06/15/12	192 17	271 29			(79 12)
Sub Total		31.0000				744.74	1,354.61			(609.87)
YUM! BRANDS INC										
Symbol: YUM CUSIP 988498101										
Sell		5 0000		07/07/11	08/20/12	325 94	277 02			48 92
Sell		2 0000		07/07/11	08/27/12	127 91	110 81			17 10
Sell		6 0000		08/24/11	08/27/12	383 72	314 12			69 60
Sell		3 0000		08/25/11	08/27/12	191 86	155 77			36 09
Sell		8 0000		08/25/11	08/31/12	509 45	415 38			94 07
Sub Total		24.0000				1,538.88	1,273.10			265.78
Long-term total										
Box A, Part II		761.0000				\$47,508.07	\$45,663.17	\$385.40		\$2,230.30

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
APPLE INC Symbol: AAPL CUSIP 037833100									
Sell	4.0000		10/01/09	05/10/12	2,294.38	733.51			1,560.87
Sell	2.0000		10/01/09	09/11/12	1,321.79	366.75			955.04
Sell	1.0000		01/27/10	09/11/12	660.89	206.67			454.22
Sell	2.0000		04/29/10	09/11/12	1,321.79	538.83			782.96
Sell	3.0000		04/29/10	10/02/12	1,955.69	808.25			1,147.44
Sell	1.0000		07/14/10	10/02/12	651.90	253.15			398.75
Sell	1.0000		07/21/10	10/02/12	651.90	259.21			392.69
Sub Total	14.0000				8,858.34	3,166.37			5,691.97
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR Symbol: BIDU CUSIP 056752108									
Sell	9.0000		11/06/09	07/09/12	1,022.18	362.62			659.56
Sell	5.0000		11/06/09	07/10/12	559.78	201.45			358.33
Sell	6.0000		11/06/09	07/12/12	644.13	241.74			402.39
Sell	2.0000		11/06/09	09/06/12	220.13	80.58			139.55
Sell	7.0000		10/08/10	09/10/12	758.30	694.69			63.61
Sell	4.0000		10/08/10	10/26/12	449.89	396.96			52.93
Sub Total	33.0000				3,654.41	1,978.04			1,676.37
CUMMINS INC Symbol: CMI CUSIP 231021106									
Sell	6.0000		07/14/10	04/30/12	698.33	447.13			251.20
Sell	2.0000		07/15/10	04/30/12	232.78	147.63			85.15
Sell	4.0000		07/15/10	06/21/12	367.14	295.26			71.88
Sell	5.0000		08/12/10	06/21/12	458.93	391.21			67.72

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP Accty type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CUMMINS INC									
Symbol: CMI									
CUSIP: 231021106									
Sell	5 0000		08/13/10	06/22/12	454.49	390.29			64.20
Sell	2.0000		09/23/10	06/22/12	181.79	178.68			3.11
Sell	7 0000		09/23/10	07/12/12	579.87	625.39			(45.52)
Sub Total	31.0000				2,973.33	2,475.59			497.74
DANAHER CORP									
Symbol: DHR									
CUSIP: 235851102									
Sell	2 0000		04/22/10	05/15/12	106.35	83.07			23.28
Sell	18 0000		05/03/10	05/15/12	957.19	769.53			187.66
Sell	2 0000		05/03/10	05/24/12	105.26	85.50			19.76
Sell	10 0000		05/11/10	05/24/12	526.30	421.08			105.22
Sell	3 0000		05/12/10	05/24/12	157.89	130.22			27.67
Sell	5 0000		05/12/10	05/29/12	267.38	217.04			50.34
Sell	6 0000		07/14/10	05/29/12	320.85	227.85			93.00
Sub Total	46.0000				2,441.22	1,934.29			506.93
DOW CHEMICAL									
Symbol: DOW									
CUSIP: 260543103									
Sell	56 0000		12/28/09	04/05/12	1,900.37	1,585.78			314.59
Sell	7 0000		12/28/09	04/09/12	226.17	198.22			27.95
Sell	13 0000		10/13/10	04/09/12	420.02	388.44			31.58
Sub Total	76.0000				2,546.56	2,172.44			374.12
HALLIBURTON CO (HOLDING									
COMPANY)									
Symbol: HAL									
CUSIP: 406216101									
Sell	3.0000		11/23/10	06/07/12	84.45	109.35			(24.90)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
LAUDER ESTEE COS CL A									
Symbol: EL									
CUSIP: 518439104									
Sell	14.0000		07/15/10	01/05/12	1,578.72	904.47			674.25
Sell	4.0000		08/12/10	01/05/12	451.06	230.73			220.33
Sell	3.0000		08/12/10	01/09/12	332.56	173.05			159.51
Sell	6.0000		09/23/10	01/09/12	665.13	361.32			303.81
Sell	13.0000		09/23/10	04/19/12	822.11	391.44			430.67
Sell	11.0000		09/23/10	07/31/12	576.73	331.21			245.52
Sub Total	51.0000				4,426.31	2,392.22			2,034.09
MCDONALDS CORP									
Symbol: MCD									
CUSIP: 580135101									
Sell	18.0000		05/29/09	03/09/12	1,743.16	1,054.23			688.93
Sell	15.0000		05/29/09	10/26/12	1,301.23	878.52			422.71
Sell	5.0000		05/29/09	11/05/12	437.30	292.84			144.46
Sell	6.0000		07/09/10	11/05/12	524.76	414.86			109.90
Sub Total	44.0000				4,006.45	2,640.45			1,366.00
MEAD JOHNSON NUTRITION CO COM									
STOCK									
Symbol: MJN									
CUSIP: 582839106									
Sell	7.0000		08/02/10	05/01/12	600.60	379.62			220.98
Sell	3.0000		08/02/10	09/10/12	227.83	162.69			65.14
Sell	15.0000		09/30/10	09/10/12	1,139.18	855.59			283.59
Sub Total	25.0000				1,967.61	1,397.90			569.71

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
MONSANTO CO NEW									
Symbol MON									
CUSIP 61166W101									
Sell	9 0000		06/11/10	04/19/12	692 18	458 99			233 19
Sell	8 0000		06/11/10	05/17/12	566 82	407 99			158 83
Sell	2 0000		07/01/10	05/17/12	141 71	91 76			49 95
Sell	8 0000		07/01/10	08/08/12	706 59	367 06			339 53
Sell	3 0000		07/02/10	08/08/12	264 97	139 41			125 56
Sub Total	30.0000				2,372.27	1,465.21			907.06
NIKE INC CL B									
Symbol NIKE									
CUSIP 654106103									
Sell	10.0000		05/29/09	05/10/12	1,075 11	565 69			509 42
Sell	6 0000		05/29/09	07/31/12	565 95	339 41			226 54
Sell	6 0000		05/29/09	08/31/12	585 85	339 42			246 43
Sell	16.0000		05/29/09	09/13/12	1,577 91	905 10			672 81
Sell	12 0000		05/29/09	11/14/12	1,085 13	678 83			406 30
Sub Total	50.0000				4,889.95	2,828.45			2,061.50
PPG INDUSTRIES INC									
Symbol PPG									
CUSIP 693506107									
Sell	1.0000		03/25/10	03/13/12	94 30	65 09			29 21
Sell	5.0000		03/26/10	03/14/12	469 71	329 77			139 94
Sell	2 0000		03/26/10	03/19/12	189 04	131 91			57 13
Sell	2 0000		07/09/10	03/19/12	189 05	129 06			59 99
Sell	6 0000		07/21/10	03/19/12	567 13	390 63			176 50
Sub Total	16.0000				1,509.23	1,046.46			462.77

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Account Number 8R 08819 75
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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184300069678-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
PRAXAIR INC Symbol: PX CUSIP: 74005P104									
Sell	5 0000		05/29/09	01/09/12	535.28	362.19			173.09
Sell	8 0000		05/29/09	02/03/12	863.42	579.51			283.91
Sell	15 0000		05/29/09	05/14/12	1,667.39	1,086.57			580.82
Sell	6 0000		05/29/09	07/13/12	643.05	434.63			208.42
Sell	2 0000		05/29/09	09/11/12	213.27	144.88			68.39
Sell	4 0000		07/09/10	09/11/12	426.55	323.58			102.97
Sell	5 0000		07/09/10	09/12/12	531.35	404.48			126.87
Sell	6 0000		12/03/10	09/12/12	637.63	562.86			74.77
Sell	5 0000		12/06/10	09/24/12	535.95	468.66			67.29
Sell	3 0000		12/07/10	09/24/12	321.57	280.75			40.82
Sub Total	59.0000				6,375.46	4,648.11			1,727.35
PRECISION CASTPARTS CORP Symbol: PCP CUSIP: 740189105									
Sell	5.0000		07/22/10	06/27/12	813.58	580.84			232.74
PRICELINE COM INC NEW Symbol: PCLN CUSIP: 741503403									
Sell	1 0000		01/12/10	02/28/12	636.30	214.00			422.30
Sell	1 0000		04/13/10	02/28/12	636.29	257.07			379.22
Sell	1 0000		04/13/10	06/06/12	635.02	257.06			377.96
Sell	1 0000		04/13/10	06/20/12	673.53	257.07			416.46
Sell	1 0000		05/11/10	06/20/12	673.53	219.92			453.61
Sell	1 0000		05/11/10	06/28/12	648.45	219.92			428.53
Sell	2 0000		05/11/10	07/12/12	1,275.39	439.83			835.56
Sell	2 0000		07/21/10	07/16/12	1,276.19	443.54			832.65
Sub Total	10.0000				6,454.70	2,308.41			4,146.29

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$) (Line 1c)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
STARBUCKS CORP Symbol: SBUX CUSIP: 855244109									
Sell	20 0000	12/07/10	02/03/12		966.03	659.26			306.77
Sell	12 0000	12/07/10	03/09/12		621.40	395.56			225.84
Sub Total	32.0000				1,587.43	1,054.82			532.61
TIFFANY & CO NEW Symbol: TIF CUSIP: 886547108									
Sell	7.0000	07/27/10	03/19/12		477.79	293.34			184.45
TJX COS INC NEW Symbol: TJX CUSIP: 872540109									
Sell	8 0000	05/25/10	08/03/12		362.37	176.97			185.40
Sell	4.0000	05/27/10	08/03/12		181.18	91.16			90.02
Sell	14 0000	05/27/10	08/08/12		630.75	319.08			311.67
Sell	22.0000	06/10/10	08/08/12		991.17	506.91			484.26
Sell	16 0000	06/10/10	08/13/12		708.61	368.67			339.94
Sell	28 0000	06/18/10	08/13/12		1,240.06	649.22			590.84
Sell	31 0000	06/18/10	10/16/12		1,337.91	718.78			619.13
Sell	20.0000	06/18/10	10/26/12		823.82	463.73			360.09
Sell	1 0000	06/18/10	11/05/12		41.62	23.19			18.43
Sell	27.0000	12/02/10	11/05/12		1,123.81	601.12			522.69
Sell	1 0000	12/02/10	11/21/12		43.46	22.26			21.20
Sub Total	172.0000				7,484.76	3,941.09			3,543.67
UNION PACIFIC CORP Symbol: UNP CUSIP: 907818108									
Sell	9 0000	05/29/09	06/20/12		1,055.80	441.00			614.80
Sell	7 0000	05/29/09	06/22/12		796.93	342.99			453.94

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In the sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Symbol (Line 1d)	Activity type									
UNION PACIFIC CORP										
Symbol: UNP										
CUSIP: 907818108										
Sell		13.0000		05/29/09	06/25/12	1,477.13	637.00			840.13
Sell		15.0000		05/29/09	07/23/12	1,760.75	734.99			1,025.76
Sell		2.0000		05/29/09	10/18/12	251.39	98.00			153.39
Sub Total		46.0000				5,342.00	2,253.98			3,088.02
US BANCORP DEL (NEW)										
Symbol: USB										
CUSIP: 902973304										
Sell		5.0000		04/21/10	12/13/12	159.25	140.75			18.50
Sell		27.0000		04/22/10	12/13/12	859.97	736.36			123.61
Sell		8.0000		07/09/10	12/13/12	254.81	189.35			65.46
Sell		17.0000		07/09/10	12/14/12	536.03	402.38			133.65
Sell		23.0000		10/20/10	12/14/12	725.21	525.59			199.62
Sell		11.0000		10/20/10	12/20/12	352.87	251.37			101.50
Sell		46.0000		12/10/10	12/20/12	1,476.62	1,212.74			262.88
Sub Total		137.0000				4,363.76	3,458.54			905.22
WYNN RESORTS LTD										
Symbol: WYNN										
CUSIP: 983134107										
Sell		5.0000		07/14/10	07/02/12	510.60	412.81			97.79
Sell		1.0000		07/14/10	07/12/12	96.92	82.57			14.35
Sub Total		6.0000				607.52	495.38			112.14
YUM! BRANDS INC										
Symbol: YUM										
CUSIP: 988498101										
Sell		6.0000		09/02/10	02/14/12	383.56	260.07			123.49
Sell		10.0000		09/02/10	02/17/12	652.00	433.44			218.56
Sell		4.0000		09/02/10	05/17/12	277.70	173.38			104.32

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked (continued)
In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
YUM! BRANDS INC Symbol: YUM CUSIP: 988498101									
Sell	3 0000		09/03/10	05/17/12	208 28	132 89			75 39
Sell	14 0000		09/03/10	07/31/12	914 06	620 17			293 89
Sell	3 0000		09/03/10	08/20/12	195 57	132 89			62 68
Sell	10 0000		09/14/10	08/20/12	651 88	459 77			192 11
Sell	11 0000		10/01/10	08/20/12	717 08	510 23			206 85
Sub Total	61.0000				4,000.13	2,722.84			1,277.29
Long-term total									
Box B, Part II	954.0000				\$77,237.26	\$45,364.12			\$31,873.14
Total					\$308,461.51				

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:

Transactions are grouped into sections based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the-box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "*" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ALLERGAN INC Symbol: AGN CUSIP: 018490102								
Sell	28.0000	03/29/12	07/19/12	2,450.07	2,655.24			(205.17)
Sell	1.0000	04/12/12	07/19/12	87.50	93.31			(5.81)
Sub Total	29.0000			2,537.57	2,748.55			(210.98)
CATALYST HEALTH SOLUTIONS INC **MERGER EFF 07/2012** CUSIP: 14888B103								
Exchange	28.0000	03/29/12	07/03/12	2,564.24	1,780.24			784.00

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b) Original cost basis (\$)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
INDEX CORP Symbol IEX CUSIP 45167R104								
Sell	38.0000	03/29/12	08/02/12	1,409.08	1,602.08			(193.00)
JOHNSON CONTROLS INC Symbol JCI CUSIP 478366107								
Sell	44.0000	03/29/12	07/19/12	1,144.40	1,419.00			(274.60)
Sell	3.0000	04/12/12	07/19/12	78.03	95.40			(17.37)
Sub Total	47.0000			1,222.43	1,514.40			(291.97)
KRAFT FOODS GROUP INC Symbol KRFT CUSIP 50076Q106								
Cash in Lieu	0.3333	09/04/12	10/02/12	15.08	14.47			0.61
NCR CORP NEW Symbol NCR CUSIP 62886E108								
Sell	213.0000	07/11/12	08/15/12	4,765.43	4,850.90			(85.47)
Sell	65.0000	08/06/12	08/15/12	1,454.24	1,650.35			(196.11)
Sub Total	278.0000			6,219.67	6,501.25			(281.58)
NETAPP INC Symbol NTAP CUSIP 64110D104								
Sell	21.0000	03/11/11	02/13/12	824.99	1,013.17			(188.18)
OCCIDENTAL PETROLEUM CRP Symbol OXY CUSIP 674599105								
Sell	16.0000	03/29/12	06/22/12	1,264.46	1,508.32			(243.86)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
RIVERBED TECHNOLOGY INC Symbol RVBD CUSIP 768573107									
Sell	72.0000		07/20/11	07/11/12	999.47	2,283.96			(1,284.49)
Sell	26.0000		03/29/12	07/11/12	360.92	728.52			(367.60)
Sub Total	98.0000				1,360.39	3,012.48			(1,652.09)
ROSS STORES INC Symbol ROST CUSIP 778296103									
Sell	2.0000		08/24/11	04/12/12	118.18	73.83			44.35
TRANSDIGM GROUP INC Symbol TDG CUSIP 893641100									
Sell	1.0000		03/28/12	04/12/12	117.27	116.42			0.85
Short-term total	558.3333				\$17,653.36	\$19,885.21			\$(2,231.85)
Box A, Part I									

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
AMETEK INC (NEW) Symbol AME CUSIP 031100100									
Cash in Lieu	0.5000		02/10/11	07/02/12	16.76	14.66			2.10

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
COACH INC Symbol COH CUSIP 189754104									
Sell	2.0000		03/16/11	04/12/12	149.47	101.53			47.94
JOHNSON CONTROLS INC Symbol JCI CUSIP 478366107									
Sell	29.0000		03/21/11	07/19/12	754.26	1,173.71			(419.45)
OCCIDENTAL PETROLEUM CRP Symbol OXY CUSIP 674599105									
Sell	43.0000		06/07/11	06/22/12	3,398.25	4,393.33			(995.08)
QUALCOMM INC Symbol QCOM CUSIP 747525103									
Sell	2.0000		03/18/11	04/12/12	136.60	104.06			32.54
Long-term total	76.5000				\$4,455.34	\$5,787.29			\$(1,331.95)
Box A, Part II									

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ALLERGAN INC Symbol: AGN CUSIP: 018490102								
Sell	41.0000	05/29/09	07/13/12	3,688.65	1,784.55			1,904.10
Sell	28.0000	05/29/09	07/19/12	2,450.07	1,218.71			1,231.36
Sub Total	69.0000			6,138.72	3,003.26			3,135.46
ALLIANCE DATA SYSTEMS CORP Symbol: ADS CUSIP: 018581108								
Sell	2.0000	05/29/09	04/12/12	250.37	80.20			170.17
BAXTER INTL INC Symbol: BAX CUSIP: 071813109								
Sell	1.0000	12/23/09	04/12/12	58.74	58.75			(0.01)
CATALYST HEALTH SOLUTIONS INC **MERGER EFF 07/2012** CUSIP: 148888103								
Sell	2.0000	05/29/09	04/12/12	125.16	42.33			82.83
Exchange	78.0000	05/29/09	07/03/12	3,834.74	1,650.74			2,184.00
Sub Total	80.0000			3,959.90	1,693.07			2,266.83
CATAMARAN CORP CAD Symbol: CTRX CUSIP: 148887102								
Sell	95.0000	05/29/09	12/11/12	4,563.44	1,521.73			3,141.71

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CONCHO RESOURCES INC									
Symbol CXO									
CUSIP 20605P101									
Sell	29.0000		10/19/10	06/29/12	2,386.85	1,963.49			423.36
Sell	13.0000		12/28/10	06/29/12	1,069.96	1,126.83			(56.87)
Sub Total	42.0000				3,456.81	3,090.32			366.49
DENBURY RESOURCES INC NEW									
Symbol DNR									
CUSIP 247916208									
Sell	4.0000		10/19/10	04/12/12	73.89	72.76			1.13
EMC CORP MASS									
Symbol EMC									
CUSIP 268648102									
Sell	185.0000		10/01/10	07/11/12	4,344.16	3,766.27			577.89
EXPRESS SCRIPTS HLDG CO									
Symbol ESRX									
CUSIP 30219G108									
Sell	1.0000		07/16/09	04/12/12	57.39	33.22			24.17
GILEAD SCIENCES INC									
Symbol GILD									
CUSIP 375558103									
Sell	48.0000		05/29/09	12/11/12	3,631.09	2,009.18			1,621.91
GOOGLE INC CL A									
Symbol GOOG									
CUSIP 38259P508									
Sell	7.0000		05/29/09	02/13/12	4,287.30	2,889.18			1,398.12
IDEX CORP									
Symbol IEX									
CUSIP 45167R104									
Sell	91.0000		05/29/09	08/02/12	3,374.36	2,085.45			1,288.91

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Account Number 8R 08816 75
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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e) Activity type	Date acquired (Line 1b) Original cost basis (\$)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
INTERCONTINENTALEXCHANGE INC Symbol ICE CUSIP 45865V100	2.0000 Sell	05/29/09	02/16/12	267.02	210.96			56.06
JOHNSON CONTROLS INC Symbol JCI CUSIP: 478366107	103.0000 Sell	05/29/09	07/19/12	2,678.94	1,999.02			679.92
NETAPP INC Symbol NTAP CUSIP 64110D104	68.0000 Sell	06/24/10	02/13/12	2,671.40	2,718.63			(47.23)
NETLOGIC MICROSYSTEMS INC **MERGER EFF 02/2012** CUSIP: 64118B100	85.0000 Exchange	09/27/10	02/21/12	4,250.00	2,335.57			1,914.43
O RELLY AUTOMOTIVE INC Symbol ORLY CUSIP: 67103H107	21.0000 Sell	06/16/09	05/21/12	1,970.45	742.78			1,227.67
ORACLE CORP Symbol: ORCL CUSIP: 68389X105	82.0000 Sell	10/06/10	03/19/12	2,425.95	2,269.76			156.19
PRICELINE COM INC NEW Symbol PCLN CUSIP 741503403	4.0000 Sell	03/30/10	05/08/12	2,848.71	1,025.15			1,823.56

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
PROCTER & GAMBLE CO Symbol PG CUSIP 742718109	78.0000		05/29/09	01/26/12	5,088.50	4,049.59			1,038.91
Sell									
SCHLUMBERGER LTD NETHERLANDS ANTILLES Symbol SLB CUSIP 806857108	1.0000		05/29/09	04/12/12	70.19	56.94			13.25
Sell									
SXC HEALTH SOLUTIONS CORP *NAME CHANGE 07/2012 * CAD CUSIP 78505P100	0.0236		05/29/09	07/03/12	2.31	0.76			1.55
Cash in Lieu									
TARGET CORP Symbol TGT CUSIP 87612E106	49.0000		05/29/09	01/05/12	2,383.03	1,890.31			492.72
Sell									
30.0000			07/16/09	01/05/12	1,459.00	1,171.21			287.79
Sub Total	79.0000				3,842.03	3,061.52			780.51
VISA INC CL A Symbol V CUSIP 92826C839	1.0000		05/29/09	04/12/12	120.25	66.73			53.52
Sell									
Long-term total									
Box B, Part II	1,149.0236				\$60,531.92	\$38,840.80			\$21,691.12
Total					\$82,640.62				

UBS Financial Services Inc.

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Account Number 8R 08789 75
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Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:

Transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "*" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (9)
AGILENT TECHNOLOGIES INC									
Symbol A									
CUSIP: 00846U101									
Sell	2,200 0000	11/29/11	01/18/12		80,034.46±	77,374.00			2,660.46
Sell	2,800 0000	11/29/11	01/20/12		100,630.06±	98,476.00			2,154.06
Sell	800 0000	12/07/11	01/20/12		29,103.44±	29,007.97			95.47
Sell	2,200 0000	12/07/11	01/20/12		79,066.48±	79,771.90			(705.42)
Sell	1,000 0000	05/16/12	06/15/12		41,243.57±	39,999.90			1,243.67
Sell	1,000 0000	06/01/12	06/15/12		41,243.56±	38,650.00			2,593.56
Sell	3,000 0000	06/26/12	08/17/12		112,037.39±	112,930.26			3,107.13
Sub Total	13,000.0000			487,358.96	476,210.03				11,148.93

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b) Original cost basis (\$)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
ALTRIA GROUP INC Symbol MO CUSIP: 022095103								
Sell	3,000.0000	10/23/12	12/20/12	97,737.81 ±	96,809.81			928.00
ANADARKO PETROLEUM CORP Symbol: APC CUSIP: 032511107								
Sell	2,000.0000	06/01/12	06/15/12	120,097.30 ±	116,660.00			3,437.30
Sell	1,500.0000	09/24/12	10/19/12	106,317.61 ±	105,930.00			387.61
Sub Total	3,500.0000			226,414.91	222,590.00			3,824.91
ANNALY CAPITAL MANAGEMENT INC REIT Symbol NLY CUSIP: 035710409								
Sell	10,000.0000	03/20/12	03/27/12	163,307.05 ±	161,000.00			2,307.05
APACHE CORP Symbol APA CUSIP: 037411105								
Sell	1,000.0000	01/31/12	02/03/12	99,598.07 ±	98,990.00			708.07
Sell	2,000.0000	03/22/12	07/27/12	171,276.16 ±	201,520.00			(30,243.84)
Sell	1,500.0000	06/21/12	07/27/12	128,457.12 ±	124,340.04			4,117.08
Sell	500.0000	06/22/12	07/27/12	42,819.04 ±	40,569.95			2,249.09
Sub Total	5,000.0000			442,250.39	465,419.99			(23,169.60)
APPLE INC Symbol AAPL CUSIP: 037833100								
Sell	500.0000	11/02/11	01/20/12	203,121.10 ±	198,604.95			4,516.15
Sell	500.0000	11/10/11	01/20/12	203,121.09 ±	191,723.00			11,398.09
Sell	500.0000	12/07/11	01/20/12	203,121.10 ±	193,945.00			9,176.10
Sell	1,000.0000	02/23/12	03/16/12	523,690.57 ±	515,760.00			7,930.57
Sell	30.0000	04/03/12	04/03/12	18,827.27	18,772.20			55.07
Sell	700.0000	04/03/12	08/03/12	410,799.80 ±	438,018.00			(27,218.20)
Sell	300.0000	04/20/12	08/03/12	176,057.05 ±	174,368.58			1,688.47
Sell	1,000.0000	06/21/12	08/03/12	586,856.85 ±	585,700.00			1,156.85

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
APPLE INC Symbol: AAPL CUSIP: 037833100								
Sell	1,000.0000	09/06/12	09/14/12	682,424.71 ±	671,687.60			10,737.11
Sell	300.0000	09/10/12	09/21/12	205,615.39 ±	203,400.00			2,215.39
Sell	1,000.0000	09/17/12	09/21/12	700,644.29 ±	697,920.00			2,724.29
Sub Total	6,830.0000			3,914,279.22	3,889,899.33			24,379.89
ARCHER DANIELS MIDLAND CO Symbol: ADM CUSIP: 039483102								
Sell	2,000.0000	05/19/11	01/20/12	58,858.87 ±	62,700.00			(3,841.13)
Sell	2,000.0000	06/06/11	01/20/12	58,858.87 ±	60,560.00			(1,701.13)
Sell	4,000.0000	07/07/11	01/20/12	117,717.73 ±	122,400.00			(4,682.27)
Sell	2,000.0000	08/01/11	01/20/12	58,858.87 ±	60,700.00			(1,841.13)
Sub Total	10,000.0000			294,294.34	306,360.00			(12,065.66)
AT&T INC Symbol: T CUSIP: 00206R102								
Sell	5,000.0000	01/26/12	02/17/12	150,897.27 ±	147,400.00			3,497.27
ATLAS AIR WORLDWIDE HOLDINGS INC NEW Symbol: AAWW CUSIP: 049164205								
Sell	2,000.0000	06/03/11	01/20/12	81,998.42 ±	118,756.80			(36,758.38)
Sell	2,000.0000	07/21/11	01/20/12	81,998.42 ±	110,620.00			(28,621.58)
Sub Total	4,000.0000			163,996.84	229,376.80			(65,379.96)
AUTOZONE INC Symbol: AZO CUSIP: 053332102								
Sell	1,000.0000	01/03/12	01/20/12	326,693.72 ±	321,200.00			5,493.72

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)
In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Date acquired (Line 1b) Original cost basis (\$)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR Symbol: BIDU CUSIP: 056752108 Sell	1,500.0000	08/29/12	08/31/12	171,371.15±	170,399.85			971.30
BANK OF AMER CORP Symbol: BAC CUSIP: 060505104 Sell	10,000.0000	03/22/11	01/20/12	60,698.83±	139,200.00			(78,501.17)
Sell	5,000.0000	04/05/11	01/20/12	30,349.42±	67,249.50			(36,900.08)
Sell	5,000.0000	04/18/11	01/20/12	30,349.41±	61,546.50			(31,197.09)
Sell	5,000.0000	05/16/11	01/20/12	30,349.42±	60,449.50			(30,100.08)
Sell	5,000.0000	06/06/11	01/20/12	30,349.41±	55,200.00			(24,850.59)
Sell	20,000.0000	03/29/12	06/29/12	160,396.40±	190,798.00			(30,401.60)
Sell	10,000.0000	04/04/12	09/21/12	83,298.13±	92,100.00			(8,801.87)
Sell	10,000.0000	04/09/12	09/21/12	83,298.13±	89,800.00			(6,501.87)
Sub Total	70,000.0000			509,089.15	756,343.50			(247,254.35)
BEST BUY CO INC Symbol: BBY CUSIP: 086516101 Sell	5,000.0000	06/21/12	08/17/12	97,847.80±	98,950.00			(1,102.20)
BLACKROCK INC Symbol: BLK CUSIP: 09247X101 Sell	1,000.0000	03/22/12	08/17/12	172,496.13±	198,750.00			(26,253.87)
CABLEVISION SYSTEMS CORP N Y GROUP CL A Symbol: CVC CUSIP: 12686C109 Sell	5,000.0000	03/01/12	03/16/12	72,248.69±	70,299.50			1,949.19

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CAMECO CORP CANADA CAD Symbol: CCI CUSIP: 133211108									
Sell	1,500.0000	06/23/11	01/18/12		28,949.44±	36,330.00			(7,380.56)
Sell	1,000.0000	07/15/11	01/18/12		19,299.62±	25,169.90			(5,870.27)
Sell	1,000.0000	07/15/11	01/20/12		19,299.63±	25,169.90			(5,870.27)
Sell	1,500.0000	07/29/11	01/20/12		28,949.44±	40,049.99			(11,100.55)
Sell	5,000.0000	08/03/11	01/20/12		96,498.15±	124,900.00			(28,401.85)
Sell	5,000.0000	11/07/11	01/20/12		96,498.15±	98,550.00			(2,051.85)
Sub Total	15,000.0000				289,494.43	350,169.79			(60,675.36)
CATERPILLAR INC Symbol: CAT CUSIP: 149123101									
Sell	2,000.0000	09/26/12	10/17/12		175,896.05±	174,299.80			1,596.25
CHENIERE ENERGY INC NEW Symbol: LNG CUSIP: 16411R208									
Sell	10,000.0000	09/24/12	10/19/12		165,011.30±	161,700.00			3,311.30
CHESAPEAKE ENERGY CORP OKLA Symbol: CHK CUSIP: 165167107									
Sell	5,000.0000	01/26/12	02/17/12		114,247.93±	109,249.50			4,998.43
Sell	5,000.0000	03/13/12	03/16/12		122,247.79±	121,014.35			1,233.44
Sub Total	10,000.0000				236,495.72	230,263.85			6,231.87
CHEVRON CORP Symbol: CVX CUSIP: 166764100									
Sell	2,000.0000	02/06/12	02/14/12		212,995.90±	211,160.00			1,835.90
CISCO SYSTEMS INC Symbol: CSCO CUSIP: 17275R102									
Sell	10,000.0000	04/05/12	08/17/12		162,796.34±	201,200.00			(38,403.66)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
CITIGROUP INC								
Symbol: C								
CUSIP: 172967424								
Sell	10,000.0000	02/14/12	03/16/12	335,693.95 ±	319,800.00			15,893.95
Sell	5,000.0000	02/22/12	03/16/12	166,696.99 ±	163,750.00			2,946.99
Sub Total	15,000.0000			502,390.94	483,550.00			18,840.94
CLIFFS NAT RESOURCES INC								
Symbol: CLF								
CUSIP: 18683K101								
Sell	2,000.0000	07/26/12	08/10/12	79,998.20	77,192.69			2,805.51
Sell	3,000.0000	08/14/12	08/17/12	122,997.24 ±	125,460.00	2,462.76		0.00
Sell	3,000.0000	08/15/12	08/17/12	122,997.24	123,360.00	120.92		(241.84)
Sell	1,000.0000	08/20/12	09/21/12	39,899.10 ±	41,400.92	1,501.82		0.00
Sell	3,000.0000	08/20/12	09/21/12	119,697.31 ±	126,302.76	6,605.45		0.00
Sell	1,000.0000	09/25/12	10/05/12	39,499.11 ±	40,861.82	1,362.71		0.00
Sell	1,000.0000	09/25/12	10/05/12	39,499.11 ±	39,360.00			139.11
Sell	3,000.0000	09/25/12	10/05/12	118,497.35 ±	124,685.45	6,188.10		0.00
Sell	1,000.0000	10/01/12	10/05/12	39,679.11 ±	40,712.61			(1,033.50)
Sell	1,000.0000	10/01/12	10/05/12	39,679.11 ±	39,349.90			329.21
Sell	3,000.0000	10/01/12	10/05/12	119,037.33 ±	124,237.80			(5,200.47)
Sub Total	22,000.0000			881,480.21	902,923.95	18,241.76		(3,201.98)
CME GROUP INC								
Symbol: CME								
CUSIP: 12572Q105								
Sell	1,000.0000	11/02/11	02/17/12	247,095.54 ±	257,582.96			(10,487.42)
COCA COLA CO COM								
Symbol: KO								
CUSIP: 191216100								
Sell	5,000.0000	02/15/12	03/12/12	344,643.79 ±	341,999.50			2,644.29
Sell	4,000.0000	10/25/12	11/16/12	148,836.66 ±	147,600.00			1,236.66
Sub Total	9,000.0000			493,480.45	489,599.50			3,880.95

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CONOCOPHILLIPS Symbol: COP CUSIP: 20825C104									
Sell	2,000,000		01/27/12	02/15/12	141,365.45 ±	137,779.80			3,585.65
Sell	500,000		01/30/12	02/15/12	35,341.36 ±	34,315.00			1,026.36
Sell	2,500,000		09/05/12	10/05/12	150,432.62 ±	142,740.00			7,692.62
Sell	400,000		09/05/12	11/23/12	22,223.50 ±	21,960.00			263.50
Sell	600,000		09/19/12	11/23/12	33,335.25 ±	34,482.00			(1,146.75)
Sell	2,000,000		09/25/12	11/23/12	111,117.51 ±	115,060.00			(3,942.49)
Sell	3,000,000		10/23/12	11/23/12	166,676.26 ±	167,848.07			(1,171.81)
Sub Total	11,100,000				650,491.95	654,184.87			6,307.08
CREE INC Symbol: CREE CUSIP: 225447101									
Sell	500,000		03/02/11	01/20/12	12,764.75 ±	25,765.00			(13,000.25)
DEVON ENERGY CORP NEW Symbol: DVN CUSIP: 25179M103									
Sell	2,000,000		03/22/12	09/21/12	117,197.37 ±	141,470.00	24,272.63		0.00
Sell	2,000,000		09/04/12	09/21/12	121,897.26 ±	138,632.43			(16,735.17)
Sub Total	4,000,000				239,094.63	280,102.43	24,272.63		(16,735.17)
EXCO RESOURCES INC Symbol: XCO CUSIP: 269279402									
Sell	5,000,000		09/05/12	10/19/12	37,749.14 ±	33,949.49			3,799.65
EXXON MOBIL CORP Symbol: XOM CUSIP: 30231G102									
Sell	2,500,000		02/02/12	02/07/12	209,995.96 ±	208,774.75			1,221.21
Sell	3,000,000		02/10/12	02/17/12	251,785.45 ±	250,409.70			1,375.75
Sell	3,000,000		10/23/12	11/06/12	271,724.35 ±	270,179.70			1,544.65
Sell	1,400,000		10/25/12	11/06/12	126,804.70 ±	125,732.45			1,072.25
Sub Total	9,900,000				860,310.46	855,096.60			5,213.86

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Original cost basis (\$) (Line 1c)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
FLUOR CORP NEW Symbol: FLR CUSIP: 343412102	1,000.0000	06/06/11		01/20/12	53,318.97±	64,220.00			(10,901.03)
Sell									
FOSTER WHEELER AG CHF Symbol: FWLT CUSIP: H27178104	5,000.0000	04/02/12		04/20/12	117,147.36±	115,549.01			1,598.35
Sell									
FREEMONT-MCMORAN COPPER & GOLD INC Symbol: FCX CUSIP: 35671D857	1,200.0000	08/04/11		01/20/12	47,543.09±	58,557.60	11,014.51		0.00
Sell	1,000.0000	09/12/11		01/20/12	39,619.24±	41,067.40	1,448.16		0.00
Sell	3,000.0000	09/13/11		01/20/12	118,857.71±	124,470.00	3,367.37		(2,244.92)
Sell	1,500.0000	09/28/11		01/20/12	59,428.86±	48,900.00			10,528.86
Sell	1,000.0000	02/07/12		09/21/12	37,599.15±	47,359.72			(9,760.57)
Sell	1,800.0000	02/07/12		09/21/12	67,678.48±	86,008.18			(18,329.70)
Sell	500.0000	02/27/12		09/21/12	18,799.58±	21,740.00			(2,940.42)
Sell	500.0000	02/29/12		09/21/12	18,799.58±	21,365.00			(2,565.42)
Sell	2,500.0000	03/28/12		09/21/12	93,997.89±	93,175.00			822.89
Sub Total	13,000.0000				502,323.58	542,642.90	15,830.04		(24,489.28)
GANNETT CO Symbol: GCI CUSIP: 364730101	2,000.0000	06/06/11		01/20/12	28,699.45±	27,530.00			1,169.45
Sell	7,000.0000	07/08/11		01/20/12	100,448.06±	98,808.46			1,639.60
Sell	5,500.0000	03/01/12		03/16/12	83,873.48±	81,840.00			2,033.48
Sell	5,000.0000	10/23/12		12/21/12	88,848.01±	88,047.50			800.51
Sub Total	19,500.0000				301,869.00	296,225.96			5,643.04
GENL DYNAMICS CORP Symbol: GD CUSIP: 369550108	1,500.0000	12/13/12		12/21/12	102,447.70±	102,088.70			359.00
Sell									

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a) (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
GOLDMAN SACHS GROUP INC Symbol GS CUSIP: 38141G104	1,500.0000		02/22/12	03/16/12	173,396.87 ±	172,634.85			762.02
Sell									
GOOGLE INC CL A Symbol GOOG CUSIP 38259P508	500.0000		01/25/12	02/17/12	290,894.75 ±	285,225.00			5,669.75
Sell									
500.0000			02/23/12	03/16/12	306,944.46 ±	302,750.00			4,194.46
Sell									
Sub Total	1,000.0000				597,839.21	587,975.00			9,864.21
HALLIBURTON CO (HOLDING COMPANY) Symbol HAL CUSIP 406216101	5,000.0000		02/07/12	02/17/12	186,146.64 ±	183,250.00			2,896.64
Sell									
2,000.0000			02/16/12	09/21/12	71,098.40 ±	69,920.00			1,178.40
Sell									
3,000.0000			02/29/12	09/21/12	106,647.61 ±	110,430.00			(3,782.39)
Sell									
Sub Total	10,000.0000				363,892.65	363,600.00			292.65
HELMERICH & PAYNE INC Symbol HP CUSIP: 423452101	2,000.0000		02/03/12	02/17/12	125,597.73 ±	123,560.00			2,037.73
Sell									
HESS CORP Symbol HES CUSIP: 42809H107	1,000.0000		08/01/11	01/20/12	60,708.82 ±	68,540.00			(7,831.18)
Sell									
INTEL CORP Symbol INTC CUSIP: 458140100	10,000.0000		02/02/12	02/17/12	266,996.17 ±	264,997.18			1,998.99
Sell									

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
INTL BUSINESS MACH									
Symbol IBM									
CUSIP 459200101									
Sell	1,000,000		11/21/11	01/20/12	189,996.34±	180,418.90			9,577.44
Sell	500,000		07/10/12	07/20/12	94,432.88±	93,685.00			747.88
Sub Total	1,500,000				284,429.22	274,103.90			10,325.32
ISHARES BARCLAYS 20+ YEAR TREAS									
BD FD									
Symbol TLT									
CUSIP: 464287432									
Sell	1,000,000		03/13/12	03/23/12	113,177.95±	115,040.00			(1,862.05)
ITAU UNIBANCO HLDG SA ADR									
Symbol: ITUB									
CUSIP: 465562106									
Sell	5,000,000		07/12/11	01/20/12	97,248.12±	106,399.50			(9,151.38)
Sell	5,000,000		04/03/12	08/17/12	81,010.68±	95,799.50			(14,788.82)
Sell	5,000,000		04/09/12	08/17/12	81,010.67±	89,100.00			(8,089.33)
Sub Total	15,000,000				259,269.47	291,299.00			(32,029.53)
LIONS GATE ENTERTAINMENT CORP									
NEW									
Symbol LGF									
CUSIP: 535919203									
Sell	5,000,000		04/03/12	06/15/12	65,748.52±	67,850.00			(2,101.48)
MCDONALDS CORP									
Symbol: MCD									
CUSIP: 580135101									
Sell	2,000,000		01/26/12	02/17/12	199,156.40±	197,860.00			1,296.40
Sell	1,000,000		02/01/12	02/17/12	99,578.20±	98,576.50			1,001.70
Sell	2,000,000		02/10/12	02/27/12	200,140.39±	198,400.00			1,740.39
Sell	2,000,000		02/10/12	03/16/12	196,139.22±	198,380.00			0.00
Sell	900,000		03/08/12	03/16/12	88,262.65±	87,201.00	2,240.78		1,061.65
Sell	900,000		03/08/12	07/20/12	81,835.16±	88,162.65			(6,327.49)
Sell	1,100,000		03/08/12	07/20/12	100,020.75±	107,811.43			(7,790.68)
Sub Total	9,900,000				965,132.77	976,391.58	2,240.78		(9,018.03)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
METLIFE INC Symbol: MIE CUSIP: 59156R108									
Sell	5,000.0000		07/02/12	07/20/12	153,596.55 ±	152,471.07			1,125.48
Sell	1,000.0000		07/23/12	08/14/12	29,239.33 ±	28,810.00			429.33
Sell	4,000.0000		07/23/12	08/17/12	116,957.37 ±	115,240.00			1,717.37
Sub Total	10,000.0000				299,793.25	296,521.07			3,272.18
MURPHY OIL CORP Symbol: MUR CUSIP: 626717102									
Sell	500.0000		11/27/12	12/21/12	29,479.34 ±	28,664.95			814.39
NEWMONT MINING CORP (HOLDING CO) Symbol: NEM CUSIP: 651639106									
Sell	3,000.0000		02/03/12	06/15/12	151,496.61 ±	183,210.00			(31,713.39)
Sell	2,000.0000		02/29/12	06/15/12	100,997.73 ±	119,439.80			(18,442.07)
Sell	2,000.0000		03/13/12	06/15/12	100,997.74 ±	110,480.00			(9,482.26)
Sell	1,000.0000		03/28/12	06/15/12	50,498.87 ±	51,610.00			(1,111.13)
Sub Total	8,000.0000				403,990.95	464,739.80			(60,748.85)
ORACLE CORP Symbol: ORCL CUSIP: 68389X105									
Sell	10,000.0000		03/16/12	03/23/12	300,494.59 ±	297,900.00			2,594.59
PEPSICO INC Symbol: PEP CUSIP: 713448108									
Sell	3,000.0000		02/09/12	02/28/12	192,206.52 ±	193,073.18	865.66		0.00
Sell	3,000.0000		02/13/12	03/19/12	193,406.51	192,026.36			1,380.15
Sub Total	6,000.0000				385,613.03	385,099.54	865.66		1,380.15

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b) Original cost basis (\$)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
PETROLEO BRASILEIRO SA SPON ADR Symbol: PBR CUSIP 71654V408								
Sell	10,000 0000	04/03/12	07/27/12	192,595.68±	261,299.54			(68,703.86)
Sell	5,000 0000	04/09/12	07/27/12	96,297.84±	123,749.50			(27,451.66)
Sub Total	15,000.0000			288,893.52	385,049.04			(96,155.52)
PNC FINANCIAL SERVICES GROUP Symbol: PNC CUSIP 693475105								
Sell	3,000.0000	02/22/12	03/16/12	182,786.70±	180,450.00			2,336.70
POTASH CORP SASK INC CANADA CAD Symbol: POT CUSIP 73755L107								
Sell	3,000 0000	02/10/12	03/16/12	136,557.52±	133,890.00			2,667.52
Sell	2,000 0000	06/01/12	06/07/12	78,344.24	76,099.80			2,244.44
Sell	3,000 0000	09/04/12	09/07/12	122,997.24±	122,278.50			718.74
Sub Total	8,000.0000			337,899.00	332,268.30			5,630.70
PRUDENTIAL FINANCIAL INC Symbol: PRU CUSIP 744320102								
Sell	2,000.0000	11/07/11	01/20/12	106,057.95±	105,799.80			258.15
RANGE RESOURCES CORP Symbol: RRC CUSIP 75281A109								
Sell	3,000.0000	04/03/12	05/18/12	180,595.93±	174,090.00			6,505.93
RIO TINTO PLC SPON ADR Symbol: RIO CUSIP 767204100								
Sell	2,000 0000	02/17/12	08/14/12	95,597.85±	114,479.80	18,881.95		0.00
Sell	600 0000	08/29/12	10/17/12	26,999.39±	26,297.44			701.95

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Original cost basis (\$) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
RIO TINTO PLC SPON ADR									
Symbol: RIO									
CUSIP: 767204100									
Sell	400.0000	08/29/12	10/19/12		17,999.60 ±	17,531.63			467.97
Sell	2,000.0000	08/29/12	10/19/12		89,997.97 ±	106,540.08			(16,542.11)
Sub Total	5,000.0000				230,594.81	264,848.95	18,881.95		(15,372.19)
SCHLUMBERGER LTD NETHERLANDS									
Symbol: SLB									
CUSIP: 806857108									
Sell	2,000.0000	03/29/12	04/20/12		141,596.82 ±	138,840.00			2,756.82
Sell	2,707.0000	11/26/12	11/30/12		190,971.86 ±	190,085.54			886.32
Sell	2,293.0000	11/27/12	11/30/12		161,765.22 ±	160,297.63			1,467.59
Sub Total	7,000.0000				494,333.90	489,223.17			5,110.73
SPRINT NEXTEL CORP SERIES 1									
Symbol: S									
CUSIP: 852061100									
Sell	10,000.0000	09/02/11	03/16/12		25,399.54 ±	35,600.00			(10,200.46)
Sell	10,000.0000	09/19/11	03/16/12		25,399.54 ±	32,900.00			(7,500.46)
Sub Total	20,000.0000				50,799.08	68,500.00			(17,700.92)
TARGET CORP									
Symbol: TGT									
CUSIP: 87612E106									
Sell	2,000.0000	11/08/11	02/10/12		101,558.05 ±	105,251.99	3,693.94		0.00
Sell	2,000.0000	01/27/12	02/17/12		105,438.10 ±	103,753.50			1,684.60
Sub Total	4,000.0000				206,996.15	209,005.49	3,693.94		1,684.60
TECK RESOURCES LTD CL B ORD CAD									
Symbol: TCK									
CUSIP: 878742204									
Sell	3,000.0000	11/08/11	01/20/12		115,737.77 ±	117,960.00			(2,222.23)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
TRUE RELIGION APPAREL INC Symbol: TRLG CUSIP: 89784N104									
Sell	5,000.0000		12/05/12	12/21/12	128,772.11±	120,350.00			8,422.11
US BANCORP DEL (NEW) Symbol: USB CUSIP: 902973304									
Sell	4,000.0000		11/08/11	01/20/12	106,357.95±	102,960.00			3,397.95
VERIZON COMMUNICATIONS INC Symbol: VZ CUSIP: 92343V104									
Sell	5,000.0000		04/04/12	05/18/12	193,695.65±	192,050.00			1,645.65
Sell	5,000.0000		10/01/12	10/04/12	229,894.85±	229,099.88			794.97
Sub Total	10,000.0000				423,590.50	421,149.88			2,440.62
VISA INC CL A Symbol: V CUSIP: 92826C839									
Sell	1,200.0000		01/25/12	02/14/12	123,273.62±	120,744.00			2,529.62
Sell	800.0000		01/25/12	02/17/12	82,182.52±	80,496.00			1,686.52
Sell	2,000.0000		03/20/12	03/23/12	234,275.78±	233,580.00			695.78
Sub Total	4,000.0000				439,731.92	434,820.00			4,911.92
WAL MART STORES INC Symbol: WMT CUSIP: 931142103									
Sell	5,000.0000		02/22/12	03/07/12	295,244.68±	293,749.50			1,495.18
WALT DISNEY CO (HOLDING CO) Symbol: DIS CUSIP: 254687106									
Sell	5,000.0000		02/22/12	03/16/12	208,446.24±	206,199.50			2,246.74

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
WEATHERFORD INTL LTD CHF Symbol: WFT CUSIP: H27013103									
Sell	700 0000		04/05/11	01/20/12	11,353.78 ±	15,889.93			(4,536.15)
Sell	2,000 0000		04/07/11	01/20/12	32,439.38 ±	43,399.80			(10,960.42)
Sell	2,000 0000		04/18/11	01/20/12	32,439.37 ±	41,540.00			(9,100.63)
Sell	3,000 0000		05/18/11	01/20/12	48,659.06 ±	58,139.72			(9,480.66)
Sell	2,000 0000		09/20/11	01/20/12	32,439.38 ±	32,260.00			179.38
Sell	5,000 0000		09/25/12	10/19/12	64,648.54 ±	63,400.00			1,248.54
Sub Total	14,700.0000				221,979.51	254,629.45			(32,649.94)

WELLS FARGO & CO NEW
Symbol: WFC
CUSIP: 949746101

Sell	5,000.0000		01/26/12	02/17/12	149,297.30 ±	146,349.50			2,947.80

Short-term total

Box A, Part I	525,430.0000				\$22,408,613.41	\$23,129,603.07	\$84,027.76		\$(636,961.90)

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part I, with **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
EAGLE ROCK ENERGY PARTNERS LTD Symbol: EROC CUSIP: 26985R104									
Sell	800.0000		03/07/12	10/19/12	8,199.81 ±	8,471.92			(272.11)
Sell	3,200.0000		03/20/12	10/19/12	32,799.25 ±	33,119.68			(320.43)
Sell	3,000.0000		03/28/12	10/19/12	30,749.30 ±	30,450.00			299.30
Sell	5,000.0000		03/28/12	10/19/12	51,248.85 ±	50,250.00			998.85

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part I, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 5a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
EAGLE ROCK ENERGY PARTNERS LTD Symbol: EROC CUSIP: 26985R104									
Sell	3,000.0000		03/29/12	10/19/12	30,749.30 ±	29,579.78			1,169.52
Sell	5,000.0000		04/09/12	10/19/12	51,248.85 ±	47,149.71			4,099.14
Sub Total	20,000.0000				204,995.36	199,021.09			5,974.27
Short-term total									
Box B, Part I	20,000.0000				204,995.36	199,021.09			5,974.27

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ALPHA NATURAL RESOURCES INC Symbol: ANR CUSIP: 02076X102									
Sell	3,000.0000		05/05/11	12/21/12	28,049.37 ±	156,030.00			(127,980.63)
Sell	1,000.0000		06/06/11	12/21/12	9,349.79 ±	49,765.00			(40,415.21)
Sell	2,000.0000		07/07/11	12/21/12	18,699.58 ±	91,820.00			(73,120.42)
Sub Total	6,000.0000				56,098.74	297,615.00			(241,516.26)
CREE INC Symbol: CREE CUSIP: 225447101									
Sell	1,500.0000		01/03/11	01/20/12	38,294.26 ±	101,283.98			(62,989.72)
Sell	1,500.0000		01/14/11	01/20/12	38,294.26 ±	94,815.00			(56,520.74)
Sell	1,500.0000		01/14/11	01/20/12	38,294.27 ±	96,299.30			(58,005.03)
Sub Total	4,500.0000				114,882.79	292,398.28			(177,515.49)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (5)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (3)	Cost or other basis (Line 3) (5)	Wash sale loss disallowed (Line 5) (5)	Federal income tax withheld (Line 4) (5)	Gain/Loss (5)
DRAGONWAVE INC CAD									
Symbol: DRWI									
CUSIP: 26144M103									
Sell	1,850 0000		02/02/11	12/19/12	5,975.55	14,948.26			(8,972.71)
Sell	3,150 0000		02/03/11	12/19/12	10,174.59	22,680.00			(12,505.41)
Sell	1,500.0000		02/17/11	12/19/12	4,859.89	11,730.00			(6,870.11)
Sell	3,500.0000		02/22/11	12/19/12	11,339.75	25,935.00			(14,595.25)
Sub Total	10,000.0000				32,349.78	75,293.26			(42,943.48)
FREEPORT-MCMORAN COPPER & GOLD									
INC									
Symbol: FCX									
CUSIP: 35671D857									
Sell	1,200.0000		02/07/12	09/21/12	45,118.99±	66,108.38			(20,989.39)
HECLA MINING CO (HOLDING CO)									
Symbol: HL									
CUSIP: 422704106									
Sell	5,000 0000		02/28/11	08/20/12	24,449.45	49,949.50			(25,500.05)
Sell	5,000.0000		04/18/11	08/20/12	24,449.45	44,300.00			(19,850.55)
Sell	2,000 0000		06/05/11	08/20/12	9,779.78	15,730.00			(5,950.22)
Sub Total	12,000.0000				58,678.68	109,979.50			(51,300.82)
WALTER ENERGY INC									
Symbol: WLT									
CUSIP: 93317Q105									
Sell	500 0000		06/05/11	11/30/12	15,239.66±	59,375.00			(44,135.34)
Sell	1,000 0000		07/12/11	11/30/12	30,479.31±	122,163.99			(91,684.68)
Sell	500 0000		08/03/11	11/30/12	15,239.65±	55,200.00			(39,960.35)
Sub Total	2,000.0000				60,958.62	236,738.99			(175,780.37)
Long-term total	35,700.0000				\$368,087.60	\$1,078,133.41			\$710,045.81
Box A, Part II									

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
DEX ONE CORP COM Symbol: DEXO CUSIP: 25212W100								
Sell	2,000.0000	10/04/10	12/13/12	3,199.93	22,200.00			(19,000.07)
Sell	2,000.0000	10/06/10	12/13/12	3,199.93	22,020.00			(18,820.07)
Sell	1,300.0000	10/07/10	12/13/12	2,079.95	14,125.80			(12,045.85)
Sell	2,300.0000	10/07/10	12/13/12	3,772.12	24,932.00			(21,159.88)
Sell	700.0000	10/07/10	12/13/12	1,148.04	7,606.20			(6,458.16)
Sell	2,000.0000	10/08/10	12/13/12	3,280.10	21,440.00			(18,159.90)
Sell	4,700.0000	10/15/10	12/13/12	7,519.83	51,794.00			(44,274.17)
Sell	2,000.0000	10/19/10	12/13/12	3,280.10	20,079.00			(16,798.90)
Sell	3,000.0000	10/22/10	12/13/12	4,920.16	28,079.00			(23,158.84)
Sub Total	20,000.0000			32,400.16	212,276.00			(179,875.84)
EATON VANCE TAX MANAGED GLOBAL DIVERSIFIED EQUITY INCOME FUND Symbol: EXG CUSIP: 27829F108								
Sell	1,000.0000	05/12/11	07/13/12	8,302.21	10,329.53			(2,027.32)
Sell	3,000.0000	05/12/11	07/27/12	25,289.73	30,988.58			(5,698.85)
Sell	3,000.0000	05/12/11	07/27/12	25,289.43	30,988.59			(5,699.16)
Sell	3,000.0000	05/12/11	07/27/12	25,289.43	30,988.58			(5,699.15)
Sub Total	10,000.0000			84,170.80	103,295.28			(19,124.48)
Long-term total				\$116,570.96	\$315,571.28			\$(199,000.32)
Box B, Part II	30,000.0000							
Total				\$23,098,267.33				

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B

Proceeds transactions in this section are not reported to the IRS. However, you may have to report them on Form 8949.
An asterisk (*) indicates a UBS Financial Services adjustment to cost basis.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked.

This section includes securities held for less than one year.

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
CALL AGILENT TECHNOLOGIE DUE 05/19/12 40 000 0045U5 Expired	10.0000		05/21/12	05/16/12	489.98				489.98
CALL AGILENT TECHNOLOGIE DUE 07/21/12 37 000 0045U5 Shortcover	30.0000		07/20/12	06/26/12	4,979.88	1,650.00			3,329.88
CALL ALPHA NATURAL RESOU DUE 01/21/12 22 500 009AM8 Expired	60.0000		01/23/12	12/21/11	3,239.93				3,239.93
CALL ALPHA NATURAL RESOU DUE 02/18/12 21.000 009AM8 Expired	60.0000		02/21/12	01/24/12	4,378.91				4,378.91
CALL ALPHA NATURAL RESOU DUE 03/17/12 22.000 009AM8 Expired	60.0000		03/19/12	02/21/12	4,259.92				4,259.92
CALL ALPHA NATURAL RESOU DUE 03/23/12 18 000 009AM8 Expired	60.0000		03/26/12	03/19/12	1,319.97				1,319.97
CALL ALPHA NATURAL RESOU DUE 04/21/12 17 000 009AM8 Expired	60.0000		04/23/12	04/02/12	2,039.95				2,039.95
CALL ALPHA NATURAL RESOU DUE 05/19/12 18 000 009AM8 Expired	60.0000		05/21/12	04/26/12	2,159.95				2,159.95

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
CALL ALPHA NATURAL RESOU DUE 06/01/12 12 000 009AM8	60.0000		06/04/12	05/29/12	719.98				719.98
Expired									
CALL ALPHA NATURAL RESOU DUE 06/16/12 11 000 009AM8	60.0000		06/18/12	06/05/12	1,679.96				1,679.96
Expired									
CALL ALPHA NATURAL RESOU DUE 07/21/12 10 000 009AM8	60.0000		07/23/12	06/18/12	1,439.96				1,439.96
Expired									
CALL ALPHA NATURAL RESOU DUE 08/18/12 7 000 009AM8	60.0000		08/20/12	07/25/12	1,499.96				1,499.96
Expired									
CALL ALPHA NATURAL RESOU DUE 09/22/12 7 000 009AM8	10.0000		09/18/12	08/27/12	269.99	1,180.00			(910.01)
Shortcover	4.0000		09/18/12	08/30/12	59.99	472.00			(412.01)
Shortcover	46.0000		09/18/12	08/31/12	551.98	5,428.00			(4,876.02)
Sub Total	60.0000				881.96	7,080.00			(6,198.04)
CALL ALPHA NATURAL RESOU DUE 10/20/12 7 000 009AM8	60.0000		10/19/12	09/18/12	8,699.80	11,400.00			(2,700.20)
Shortcover									
CALL ALTRIA GROUP INC DUE 11/17/12 32 000 0078J8	30.0000		11/19/12	10/23/12	2,279.94				2,279.94
Expired									
CALL ANADARKO PETROLEUM DUE 09/28/12 70 000 032123	15.0000		10/01/12	09/24/12	2,024.95				2,024.95
Expired									
CALL ANADARKO PETROLEUM DUE 10/05/12 70 000 032123	15.0000		10/08/12	10/01/12	1,919.95				1,919.95
Expired									

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year									
Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL APACHE CORP DUE 03/30/12 100 000 029131 Shortcover	20.0000		03/30/12	03/22/12	4,439.92	920.00			3,519.92
CALL APACHE CORP DUE 04/05/12 100 000 029131 Expired	20.0000		04/09/12	03/30/12	2,999.93				2,999.93
CALL APACHE CORP DUE 04/21/12 97 500 029131 Expired	20.0000		04/23/12	04/11/12	1,299.97				1,299.97
CALL APACHE CORP DUE 04/27/12 95 000 029131 Shortcover	20.0000		04/27/12	04/25/12	239.99	560.00			(320.01)
CALL APACHE CORP DUE 05/19/12 95 000 029131 Expired	20.0000		05/21/12	04/30/12	4,299.90				4,299.90
CALL APACHE CORP DUE 06/08/12 85 000 029131 Expired	20.0000		06/11/12	06/05/12	299.99				299.99
CALL APACHE CORP DUE 06/16/12 85 000 029131 Shortcover	20.0000		06/15/12	06/11/12	1,359.96	5,100.00			(3,740.04)
CALL APACHE CORP DUE 06/22/12 82 500 029131 Shortcover	15 0000		06/22/12	06/21/12	1,424.96	225.00			1,199.96
Sell	5 0000		06/22/12	06/22/12	34.99	75.00			(40.01)
Sell	5 0000		06/22/12	06/22/12	29.99	75.00			(45.01)
Expired	15 0000		06/25/12	06/22/12	119.99				119.99
Sub Total	40.0000				1,609.93	375.00			1,234.93

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL APACHE CORP DUE 06/22/12 87 500 029131	20.0000		06/22/12	06/15/12	3,699.91	20.00			3,679.91
Shortcover									
CALL APACHE CORP DUE 06/29/12 85 000 029131	40.0000		06/29/12	06/26/12	1,599.96	7,120.00			(5,520.04)
Shortcover									
CALL APACHE CORP DUE 07/21/12 87 500 029131	40.0000		07/23/12	06/29/12	7,999.82				7,999.82
Expired									
CALL APACHE CORP DUE 09/28/12 87 500 029131	15.0000		10/01/12	09/24/12	1,244.97				1,244.97
Expired									
CALL APACHE CORP DUE 10/05/12 87 500 029131	15.0000		10/08/12	10/01/12	1,184.97				1,184.97
Expired									
CALL APACHE CORP DUE 10/20/12 87 500 029131	5.0000		10/22/12	09/25/12	569.98				569.98
Expired	15.0000		10/22/12	10/08/12	929.97				929.97
Sub Total	20.0000				1,499.95				1,499.95
CALL APACHE CORP DUE 11/17/12 87 500 029131	20.0000		11/19/12	10/23/12	3,399.92				3,399.92
Expired									
CALL APACHE CORP DUE 12/22/12 80 000 029131	20.0000		12/24/12	11/19/12	1,479.96				1,479.96
Expired									
CALL APPLE INC DUE 04/21/12 620 000 030911	7.0000		04/23/12	04/03/12	13,439.69				13,439.69
Expired									

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL APPLE INC DUE 05/19/12 600 000 030911 Expired	3.0000		05/21/12	04/20/12	6,449.85				6,449.85
CALL APPLE INC DUE 05/19/12 610 000 030911 Expired	7.0000		05/21/12	04/25/12	16,239.63				16,239.63
CALL APPLE INC DUE 06/16/12 600 000 030911 Expired	10.0000		06/18/12	05/22/12	4,999.88				4,999.88
CALL APPLE INC DUE 06/22/12 585 000 030911 Expired	10.0000		06/25/12	06/18/12	3,249.92				3,249.92
Expired	10.0000		06/25/12	06/21/12	3,699.91				3,699.91
Sub Total	20.0000				6,949.83				6,949.83
CALL APPLE INC DUE 06/29/12 585 000 030911 Expired	20.0000		07/02/12	06/26/12	2,979.93				2,979.93
CALL APPLE INC DUE 07/06/12 585 000 030911 Shortcover	20.0000		07/06/12	07/02/12	13,014.70	35,500.00			(22,485.30)
CALL APPLE INC DUE 07/21/12 585 000 030911 Shortcover	20.0000		07/19/12	07/06/12	44,998.99	48,000.00			(3,001.01)
CALL APPLE INC DUE 07/27/12 590 000 030911 Shortcover	9.0000		07/27/12	07/19/12	26,234.41	18.00			26,216.41
Shortcover	11.0000		07/27/12	07/19/12	31,899.28	22.00			31,877.28
Sub Total	20.0000				58,133.69	40.00			58,093.69

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL APPLE INC DUE 09/28/12 675 000 030911 Expired	5.0000		10/01/12	09/24/12	8,124.81				8,124.81
CALL APPLE INC DUE 10/20/12 650 000 030911 Expired	3.0000		10/22/12	10/08/12	3,386.92				3,386.92
CALL APPLE INC DUE 10/20/12 670 000 030911 Expired	2.0000		10/22/12	09/26/12	3,439.92				3,439.92
CALL APPLE INC DUE 10/20/12 675 000 030911 Expired	5.0000		10/22/12	10/01/12	8,224.81				8,224.81
CALL APPLE INC DUE 10/26/12 650 000 030911 Expired	10.0000		10/29/12	10/22/12	7,099.84				7,099.84
CALL APPLE INC DUE 11/02/12 610 000 030911 Expired	10.0000		11/05/12	10/31/12	1,999.95				1,999.95
CALL APPLE INC DUE 11/17/12 600 000 030911 Expired	10.0000		11/19/12	11/05/12	6,249.86				6,249.86
CALL APPLE INC DUE 11/30/12 575 000 030911 Shortcover	10.0000		11/30/12	11/21/12	6,459.85	9,500.00			(3,030.15)
CALL APPLE INC DUE 12/14/12 585 000 030911 Expired	10.0000		12/17/12	11/30/12	12,899.71				12,899.71

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL APPLE INC DUE 12/22/12 535,000 030911 Expired	12.0000		12/24/12	12/17/12	3,779.92				3,779.92
CALL BANK OF AMER CORP DUE 04/21/12 9 000 052591 Expired	200.0000		04/23/12	03/29/12	14,799.66				14,799.66
CALL BANK OF AMER CORP DUE 05/19/12 8 000 052591 Expired	100.0000		05/21/12	04/04/12	13,599.68				13,599.68
Expired	100.0000		05/21/12	04/09/12	11,899.73				11,899.73
Sub Total	200.0000				25,499.41				25,499.41
CALL BANK OF AMER CORP DUE 05/19/12 9 000 052591 Expired	200.0000		05/21/12	04/25/12	1,799.95				1,799.95
CALL BANK OF AMER CORP DUE 06/16/12 8,000 052591 Expired	400.0000		06/18/12	05/29/12	2,799.92				2,799.92
CALL BANK OF AMER CORP DUE 06/22/12 8 000 052591 Expired	400.0000		06/25/12	06/18/12	3,599.90				3,599.90
CALL BANK OF AMER CORP DUE 06/29/12 8,000 052591 Shortcover	1 0000		06/29/12	06/26/12	2 99		9 00		(6 01)
Shortcover	199 0000		06/29/12	06/27/12	397 99		1,791.00		(1,393 01)
Sub Total	200.0000				400.98		1,800.00		(1,399.02)
CALL BANK OF AMER CORP DUE 07/21/12 8 000 052591 Expired	200.0000		07/23/12	06/29/12	7,199.83				7,199.83

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B, Report on Form 8949, Part I, **Box C** checked (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL BANK OF AMER CORP DUE 08/18/12 8 000 052591	200.0000		08/17/12	07/26/12	799.98	1,600.00			(800.02)
Shortcover									
CALL BEST BUY CO INC DUE 07/21/12 20 000 055352	50.0000		07/23/12	06/27/12	3,849.91				3,849.91
Expired									
CALL BLACKROCK INC DUE 04/21/12 190 000 0921P7	2.0000		04/20/12	03/22/12	2,219.96	420.00			1,799.96
Shortcover	8 0000		04/20/12	03/22/12	8,799.84	1,680.00			7,119.84
Sub Total	10.0000				11,019.80	2,100.00			8,919.80
CALL BLACKROCK INC DUE 05/19/12 190 000 0921P7	10.0000		05/21/12	04/20/12	6,599.85				6,599.85
Expired									
CALL BLACKROCK INC DUE 06/16/12 180 000 0921P7	10.0000		06/15/12	05/24/12	1,249.97	50.00			1,199.97
Shortcover									
CALL BLACKROCK INC DUE 07/21/12 180 000 0921P7	10.0000		07/23/12	06/15/12	4,499.89				4,499.89
Expired									
CALL CISCO SYSTEMS INC DUE 04/21/12 20 000 091430	100.0000		04/23/12	04/05/12	4,099.90				4,099.90
Expired									
CALL CISCO SYSTEMS INC DUE 05/19/12 20 000 091430	100.0000		05/21/12	04/26/12	4,299.90				4,299.90
Expired									
CALL CISCO SYSTEMS INC DUE 06/16/12 17 000 091430	100.0000		06/15/12	05/31/12	1,099.97	1,000.00			99.97
Shortcover									

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date of sale / exchange (Line 1a)		Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
			Date (Line 1b)	Date (Line 1a)					
CALL CISCO SYSTEMS INC DUE 06/22/12 17 000 091430 Shortcover	100.0000		06/22/12	06/18/12	2,699.93	1,200.00			1,499.93
CALL CISCO SYSTEMS INC DUE 06/29/12 17 000 091430 Shortcover	100.0000		06/29/12	06/22/12	2,599.94	400.00			2,199.94
CALL CISCO SYSTEMS INC DUE 07/06/12 17 000 091430 Expired	100.0000		07/09/12	06/29/12	1,399.96				1,399.96
CALL CISCO SYSTEMS INC DUE 07/21/12 17 000 091430 Expired	100.0000		07/23/12	07/09/12	1,099.97				1,099.97
CALL CITIGROUP INC DUE 02/18/12 31 000 204924 Shortcover	100.0000		02/17/12	02/14/12	11,599.77	19,400.00			(7,800.23)
CALL CLIFFS NAT RESOURCE DUE 08/24/12 40 000 0923Y0 Expired	40.0000		08/27/12	08/20/12	6,479.84				6,479.84
CALL CLIFFS NAT RESOURCE DUE 08/31/12 38 000 0923Y0 Expired	40.0000		09/04/12	08/29/12	319.99				319.99
CALL CLIFFS NAT RESOURCE DUE 09/28/12 38 000 0923Y0 Shortcover	50.0000		09/28/12	09/25/12	8,549.80	5,050.00			3,599.80
CALL CME GROUP INC DUE 01/21/12 250 000 0874G5 Expired	10.0000		01/23/12	12/20/11	7,099.86				7,099.86

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Date 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL CONOCOPHILLIPS DUE 09/22/12 57 500 1024W6 Expired	6.0000		09/24/12	09/19/12	179.99				179.99
CALL CONOCOPHILLIPS DUE 09/28/12 57 500 1024W6 Expired	5.0000		10/01/12	09/24/12	191.99				191.99
Expired	20.0000		10/01/12	09/25/12	739.98				739.98
Sub Total	26.0000				931.97				931.97
CALL CONOCOPHILLIPS DUE 11/17/12 55 000 1024W6 Shortcover	30.0000		11/16/12	09/07/12	7,439.83	240.00			7,199.83
Shortcover	30.0000		11/16/12	10/23/12	5,189.88	240.00			4,949.88
Sub Total	60.0000				12,629.71	480.00			12,149.71
CALL DEVON ENERGY CORP N DUE 04/21/12 70 000 1185T2 Expired	20.0000		04/23/12	03/22/12	5,099.90				5,099.90
CALL DEVON ENERGY CORP N DUE 05/19/12 70 000 1185T2 Expired	20.0000		05/21/12	04/26/12	2,599.94				2,599.94
CALL DEVON ENERGY CORP N DUE 06/16/12 60 000 1185T2 Expired	20.0000		06/18/12	06/12/12	119.99				119.99
CALL DEVON ENERGY CORP N DUE 07/21/12 60 000 1185T2 Expired	20.0000		07/23/12	07/02/12	699.98				699.98
CALL DEVON ENERGY CORP N DUE 08/18/12 60 000 1185T2 Expired	20.0000		08/20/12	07/27/12	1,599.96				1,599.96

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL DRAGONWAVE INC CAD DUE 03/17/12 5 000 1267V6 Expired	100.0000		03/19/12	02/07/12	1,999.96				1,999.96
CALL DRAGONWAVE INC CAD DUE 05/19/12 5 000 1267V6 Expired	100.0000		05/21/12	04/17/12	499.98				499.98
CALL FREEPORT-MCMORAN CO DUE 02/18/12 46 000 1586F6 Expired	40.0000		02/21/12	02/07/12	4,239.91				4,239.91
CALL FREEPORT-MCMORAN CO DUE 02/24/12 45 000 1586F6 Expired	40.0000		02/27/12	02/21/12	1,119.97				1,119.97
CALL FREEPORT-MCMORAN CO DUE 03/02/12 45 000 1586F6 Expired	2.0000		03/05/12	02/27/12	27.99				27.99
Expired	43.0000		03/05/12	02/28/12	687.98				687.98
Sub Total	45.0000				715.97				715.97
CALL FREEPORT-MCMORAN CO DUE 03/17/12 45 000 1586F6 Expired	5.0000		03/19/12	02/29/12	194.99				194.99
CALL FREEPORT-MCMORAN CO DUE 03/23/12 41 000 1586F6 Expired	50.0000		03/26/12	03/21/12	499.99				499.99
CALL FREEPORT-MCMORAN CO DUE 04/21/12 41 000 1586F6 Expired	75.0000		04/23/12	03/28/12	2,249.94				2,249.94
CALL FREEPORT-MCMORAN CO DUE 05/04/12 39 000 1586F6 Expired	75.0000		05/07/12	05/01/12	2,249.94				2,249.94

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
CALL FREEPORT-MCMORAN CO DUE 06/16/12 34 000 1586F6 Shortcover	75.0000		06/15/12	06/01/12	4,274.90	1,050.00			3,224.90
CALL FREEPORT-MCMORAN CO DUE 06/22/12 35 000 1586F6 Expired	75.0000		06/25/12	06/15/12	3,224.92				3,224.92
CALL FREEPORT-MCMORAN CO DUE 07/13/12 36 000 1586F6 Expired	75.0000		07/16/12	07/05/12	1,799.95				1,799.95
CALL FREEPORT-MCMORAN CO DUE 07/21/12 34 000 1586F6 Expired	23.0000		07/23/12	07/16/12	850.98				850.98
CALL FREEPORT-MCMORAN CO DUE 08/03/12 35 000 1586F6 Expired	75.0000		08/06/12	07/31/12	1,649.96				1,649.96
CALL FREEPORT-MCMORAN CO DUE 08/18/12 36 000 1586F6 Expired	75.0000		08/20/12	08/07/12	2,249.94				2,249.94
CALL FREEPORT-MCMORAN CO DUE 08/31/12 37 000 1586F6 Expired	75.0000		09/04/12	08/27/12	1,274.97				1,274.97
CALL GANNETT CO DUE 11/17/12 17 000 170020 Expired	50.0000		11/19/12	10/23/12	4,749.89				4,749.89
CALL GOOGLE INC CL A DUE 10/20/12 700 000 1764G8 Expired	8.0000		10/22/12	10/15/12	34,079.23				34,079.23

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year.

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL GOOGLE INC CL A DUE 10/26/12 700 000 1764G8 Expired	8.0000		10/29/12	10/23/12	1,199.97				1,199.97
CALL GOOGLE INC CL A DUE 11/02/12 685 000 1764G8 Shortcover	1.0000		11/02/12	10/31/12	234.99	900.00			(665.01)
Shortcover	7.0000		11/02/12	10/31/12	1,644.96	6,440.00			(4,795.04)
Sub Total	8.0000				1,879.95	7,340.00			(5,460.05)
CALL GOOGLE INC CL A DUE 11/17/12 695 000 1764G8 Expired	1.0000		11/19/12	11/02/12	1,019.97				1,019.97
CALL GOOGLE INC CL A DUE 11/17/12 700 000 1764G8 Expired	7.0000		11/19/12	11/06/12	2,099.95				2,099.95
CALL GOOGLE INC CL A DUE 12/22/12 690 000 1764G8 Shortcover	8.0000		12/21/12	11/19/12	4,399.90	18,240.00			(13,840.10)
CALL HALCON RES CORP COM DUE 10/20/12 8 000 199DG8 Expired	100.0000		10/22/12	09/24/12	1,999.95				1,999.95
CALL HALCON RES CORP COM DUE 11/17/12 7.000 199DG8 Expired	50.0000		11/19/12	10/25/12	749.98				749.98
CALL HALCON RES CORP COM DUE 12/22/12 7 000 199DG8 Expired	100.0000		12/24/12	11/19/12	499.98				499.98
CALL HALLIBURTON CO DUE 03/17/12 34 000 198463 Shortcover	20.0000		03/16/12	02/16/12	3,759.93	600.00			3,159.93

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL HALLIBURTON CO DUE 03/17/12 35 000 198463 Expired	30.0000		03/19/12	02/29/12	6,179.88				6,179.88
CALL HALLIBURTON CO DUE 03/23/12 34 000 198463 Expired	20.0000		03/26/12	03/16/12	1,339.97				1,339.97
CALL HALLIBURTON CO DUE 03/23/12 35 000 198463 Expired	30.0000		03/26/12	03/21/12	209.99				209.99
CALL HALLIBURTON CO DUE 04/05/12 34 000 198463 Expired	50.0000		04/09/12	04/02/12	899.97				899.97
CALL HALLIBURTON CO DUE 04/21/12 34 000 198463 Expired	50.0000		04/23/12	04/11/12	1,399.96				1,399.96
CALL HALLIBURTON CO DUE 04/27/12 34 000 198463 Expired	50.0000		04/30/12	04/26/12	349.99				349.99
CALL HALLIBURTON CO DUE 05/19/12 34 000 198463 Expired	50.0000		05/21/12	04/30/12	2,649.94				2,649.94
CALL HALLIBURTON CO DUE 05/25/12 32 000 198463 Expired	50.0000		05/29/12	05/24/12	199.99				199.99
CALL HALLIBURTON CO DUE 06/08/12 32 000 198463 Expired	50.0000		06/11/12	06/01/12	649.98				649.98

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Date 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL HALLIBURTON CO DUE 06/22/12 30,000 198463 Expired	50.0000		06/25/12	06/20/12	799.98				799.98
CALL HALLIBURTON CO DUE 07/06/12 29,000 198463 Shortcover	50.0000		07/06/12	07/02/12	1,349.96	200.00			1,149.96
CALL HALLIBURTON CO DUE 07/21/12 30,000 198463 Shortcover	50.0000		07/20/12	07/06/12	1,449.96	6,850.00			(5,400.04)
CALL HALLIBURTON CO DUE 07/27/12 32,000 198463 Shortcover	50.0000		07/27/12	07/25/12	499.98	4,950.00			(4,450.02)
CALL HALLIBURTON CO DUE 08/18/12 33,000 198463 Shortcover	50.0000		08/16/12	07/27/12	4,299.90	11,050.00			(6,750.10)
CALL HECLA MINING CO (HO DUE 02/18/12 7,000 205907 Expired	120.0000		02/21/12	12/20/11	2,039.96				2,039.96
CALL HECLA MINING CO (HO DUE 03/17/12 6,000 205907 Expired	120.0000		03/19/12	02/21/12	1,079.98				1,079.98
CALL HECLA MINING CO (HO DUE 04/21/12 5,000 205907 Expired	120.0000		04/23/12	04/02/12	1,199.97				1,199.97
CALL HECLA MINING CO (HO DUE 06/16/12 5,000 205907 Expired	120.0000		06/18/12	04/25/12	599.98				599.98

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B, Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL HECLA MINING CO (HO DUE 07/21/12 5 000 205907 Expired	120.0000		07/23/12	06/18/12	1,679.96				1,679.96
CALL HECLA MINING CO (HO DUE 08/18/12 5 000 205907 Expired	120.0000		08/20/12	07/23/12	359.99				359.99
CALL ISHARES BARCLAYS 20 DUE 03/17/12 115 000 214CY8 Expired	10.0000		03/19/12	03/13/12	779.98				779.98
CALL ITAU UNIBANCO HLDG DUE 04/21/12 18 000 237C11 Expired	50.0000		04/23/12	04/09/12	1,499.96				1,499.96
CALL ITAU UNIBANCO HLDG DUE 04/21/12 19 000 237C11 Expired	50.0000		04/23/12	04/03/12	2,749.93				2,749.93
CALL ITAU UNIBANCO HLDG DUE 06/16/12 15 000 237C11 Expired	100.0000		06/18/12	05/31/12	999.97				999.97
CALL ITAU UNIBANCO HLDG DUE 07/21/12 15.000 237C11 Expired	100.0000		07/23/12	06/21/12	2,999.93				2,999.93
CALL IVANHOE MINES LTD C DUE 04/21/12 17 000 2365C5 Expired	23.0000		04/23/12	03/21/12	1,724.96				1,724.96
CALL LIONS GATE ENTERTAI DUE 04/21/12 13 000 2578S6 Expired	50.0000		04/23/12	04/03/12	4,749.89				4,749.89

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Account Number 8R 08789 75
Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1201G0000757800269178-X1

Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL LIONS GATE ENTERTAIN DUE 05/19/12 13 000 257856 Expired	50.0000		05/21/12	04/26/12	1,249.97				1,249.97
CALL MCDONALDS CORP DUE 03/17/12 100 000 279676 Expired	20.0000		03/19/12	02/22/12	2,399.95				2,399.95
CALL MCDONALDS CORP DUE 03/23/12 97.500 279676 Expired	20.0000		03/26/12	03/20/12	1,019.98				1,019.98
CALL MCDONALDS CORP DUE 04/21/12 97.500 279676 Expired	20.0000		04/23/12	04/03/12	4,259.90				4,259.90
CALL MCDONALDS CORP DUE 05/19/12 97.500 279676 Expired	20.0000		05/21/12	05/01/12	2,259.94				2,259.94
CALL MCDONALDS CORP DUE 05/25/12 92.500 279676 Expired	20.0000		05/29/12	05/24/12	179.99				179.99
CALL MCDONALDS CORP DUE 06/16/12 92.500 279676 Expired	20.0000		06/18/12	05/31/12	659.98				659.98
CALL MCDONALDS CORP DUE 06/22/12 90 000 279676 Expired	20.0000		06/25/12	06/20/12	299.99				299.99
CALL NEWMONT MINING CORP DUE 02/18/12 60 000 332607 Expired	30.0000		02/21/12	02/03/12	5,819.88				5,819.88

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL NEWMONT MINING CORP DUE 03/17/12 60 000 332607 Expired	30.0000		03/19/12	02/21/12	5,039.90				5,039.90
CALL NEWMONT MINING CORP DUE 04/21/12 55 000 332607 Expired	20.0000		04/23/12	03/13/12	4,059.92				4,059.92
Expired	10.0000		04/23/12	04/02/12	339.99				339.99
Sub Total	30.0000				4,399.91				4,399.91
CALL NEWMONT MINING CORP DUE 04/21/12 57 500 332607 Expired	20.0000		04/23/12	02/29/12	7,099.87				7,099.87
Expired	30.0000		04/23/12	03/19/12	1,199.97				1,199.97
Sub Total	50.0000				8,299.84				8,299.84
CALL NEWMONT MINING CORP DUE 04/27/12 50 000 332607 Expired	80.0000		04/30/12	04/26/12	639.98				639.98
CALL NEWMONT MINING CORP DUE 05/04/12 49 000 332607 Expired	80.0000		05/07/12	04/30/12	1,039.97				1,039.97
CALL NEWMONT MINING CORP DUE 05/19/12 50 000 332607 Expired	80.0000		05/21/12	05/09/12	1,839.95				1,839.95
CALL NEWMONT MINING CORP DUE 05/25/12 50 000 332607 Expired	80.0000		05/29/12	05/23/12	1,359.96				1,359.96
CALL PEPSICO INC DUE 02/18/12 65 000 368024 Expired	30.0000		02/21/12	02/10/12	269.99				269.99

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 10) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date of sale / exchange		Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
			Date acquired (Line 1b)	(Line 1a)					
CALL PEPSICO INC DUE 03/17/12 65.000 368024 Expired	30.0000		03/19/12	02/21/12	479.99				479.99
CALL PETROLEO BRASILEIRO DUE 04/21/12 26 000 369616 Expired	100.0000		04/23/12	04/03/12	7,099.84				7,099.84
Expired	50.0000		04/23/12	04/09/12	999.97				999.97
Sub Total	150.0000				8,099.81				8,099.81
CALL PETROLEO BRASILEIRO DUE 05/04/12 24 000 369616 Expired	150.0000		05/07/12	04/30/12	1,499.96				1,499.96
CALL PETROLEO BRASILEIRO DUE 06/16/12 21 000 369616 Expired	150.0000		06/18/12	06/05/12	2,699.93				2,699.93
CALL PETROLEO BRASILEIRO DUE 06/22/12 19 000 369616 Shortcover	150.0000		06/22/12	06/18/12	3,599.91	7,500.00			(3,900.09)
CALL PETROLEO BRASILEIRO DUE 06/29/12 19 000 369616 Expired	150.0000		07/02/12	06/22/12	11,249.74				11,249.74
CALL PETROLEO BRASILEIRO DUE 07/06/12 19 000 369616 Shortcover	150.0000		07/06/12	07/02/12	2,249.94	7,050.00			(4,800.06)
CALL PETROLEO BRASILEIRO DUE 07/21/12 20.000 369616 Expired	150.0000		07/23/12	07/06/12	5,099.88				5,099.88
CALL POTASH CORP SASK IN DUE 06/16/12 40.000 366242 Shortcover	20.0000		06/07/12	06/01/12	819.98	780.00			39.98

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 3) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
CALL RANGE RESOURCES COR DUE 04/21/12 57.500 3908G9									
Shortcover	15.0000		04/20/12	04/03/12	2,849.93	750.00			2,099.93
Shortcover	15.0000		04/20/12	04/03/12	2,849.93	675.00			2,174.93
Sub Total	30.0000				5,699.86	1,425.00			4,274.86
CALL RIO TINTO PLC SPON DUE 02/18/12 57.500 4005C0									
Expired	20.0000		02/21/12	02/17/12	299.99				299.99
CALL RIO TINTO PLC SPON DUE 03/17/12 57.500 4005C0									
Expired	20.0000		03/19/12	02/21/12	4,099.92				4,099.92
CALL RIO TINTO PLC SPON DUE 04/21/12 57.500 4005C0									
Expired	20.0000		04/23/12	03/19/12	3,399.93				3,399.93
CALL RIO TINTO PLC SPON DUE 05/19/12 57.500 4005C0									
Expired	20.0000		05/21/12	04/25/12	2,799.93				2,799.93
CALL RIO TINTO PLC SPON DUE 06/16/12 45.000 4005C0									
Shortcover	20.0000		06/15/12	06/01/12	1,499.96	2,400.00			(900.04)
CALL RIO TINTO PLC SPON DUE 07/21/12 47.500 4005C0									
Expired	20.0000		07/23/12	06/15/12	3,799.91				3,799.91
CALL SEADRILL LTD US LIN DUE 12/22/12 37.000 425350									
Shortcover	30.0000		12/21/12	12/05/12	1,649.96	750.00			899.96
CALL SPRINT NEXTEL CORP DUE 01/21/12 2.500 457639									
CUSIP 8520612AZ									
Expired	200.0000		01/23/12	12/20/11	2,199.95				2,199.95

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UBS Financial Services Inc.

2012 Consolidated Form 1099

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1c) (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
CALL SPRINT NEXTEL CORP DUE 02/18/12 2.500 457639 Expired	200.0000		02/21/12	01/24/12	1,199.97				1,199.97
CALL TARGET CORP DUE 01/21/12 52 500 462152 Expired	20.0000		01/23/12	12/20/11	1,359.97				1,359.97
CALL TURQUOISE HILL RES DUE 11/17/12 9.000 465459 Expired	12.0000		11/19/12	10/25/12	59.99				59.99
CALL TURQUOISE HILL RES DUE 12/22/12 10.000 465459 Expired	100.0000		12/24/12	11/05/12	2,499.94				2,499.94
CALL VALE SA-SP SPON ADR DUE 05/19/12 22.000 490C69 Expired	50.0000		05/21/12	05/01/12	4,349.90				4,349.90
CALL VALE SA-SP SPON ADR DUE 06/16/12 20.000 490C69 Expired	50.0000		06/18/12	05/29/12	1,049.97				1,049.97
CALL VALE SA-SP SPON ADR DUE 07/21/12 20.000 490C69 Expired	50.0000		07/23/12	06/18/12	2,049.95				2,049.95
CALL VALE SA-SP SPON ADR DUE 08/18/12 19.000 490C69 Expired	50.0000		08/20/12	07/27/12	1,199.97				1,199.97
CALL VALE SA-SP SPON ADR DUE 09/27/12 19.000 490C69 Expired	50.0000		09/24/12	08/20/12	1,049.97				1,049.97

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
CALL VALE SA-SP SPON ADR DUE 10/20/12 19 000 490C69	50.0000		10/22/12	09/24/12	1,799.95				1,799.95
Expired									
CALL VALE SA-SP SPON ADR DUE 11/17/12 19 000 490C69	50.0000		11/19/12	10/22/12	1,399.96				1,399.96
Expired									
CALL VALE SA-SP SPON ADR DUE 12/22/12 19 000 490C69	50.0000		12/21/12	11/20/12	699.98	5,000.00			(4,300.02)
Shortcover									
CALL WALTER ENERGY INC DUE 01/21/12 70 000 497096	20.0000		01/23/12	01/03/12	2,699.94				2,699.94
CUSIP 93317Q2AN									
Expired									
CALL WALTER ENERGY INC DUE 02/18/12 70 000 497096	1 0000		02/21/12	01/24/12	259.99				259.99
Expired	19 0000		02/21/12	01/24/12	4,844.90				4,844.90
Sub Total	20.0000				5,104.89				5,104.89
CALL WALTER ENERGY INC DUE 03/17/12 70 000 497096	20.0000		03/19/12	02/21/12	5,279.90				5,279.90
Expired									
CALL WALTER ENERGY INC DUE 04/21/12 65 000 497096	20.0000		04/20/12	03/19/12	5,563.89	2,080.00			3,583.89
Shortcover									
CALL WALTER ENERGY INC DUE 05/19/12 65 000 497096	20.0000		05/21/12	04/20/12	8,599.80				8,599.80
Expired									
CALL WALTER ENERGY INC DUE 06/16/12 55 000 497096	20.0000		06/18/12	05/31/12	1,599.96				1,599.96
Expired									

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

2012 Consolidated Form 1099

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Short-term transactions which are **Not** reported on Form 1099-B; Report on Form 8949, Part I, **Box C** checked. (continued)

This section includes securities held for less than one year

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
CALL WALTER ENERGY INC DUE 07/21/12 50 000 497096 Expired	20.0000		07/23/12	06/18/12	2,559.94				2,559.94
CALL WALTER ENERGY INC DUE 08/18/12 40 000 497096 Expired	20.0000		08/20/12	07/26/12	999.97				999.97
CALL WALTER ENERGY INC DUE 09/22/12 37 500 497096 Expired	20.0000		09/24/12	08/20/12	4,479.89				4,479.89
CALL WALTER ENERGY INC DUE 10/20/12 37 500 497096 Shortover	20.0000		10/19/12	09/24/12	1,619.96	1,700.00			(80.04)
CALL WALTER ENERGY INC DUE 11/7/12 37 500 497096 Expired	20.0000		11/19/12	10/19/12	5,999.86				5,999.86
CALL WALTER ENERGY INC DUE 12/30/11 65 000 497096 Expired	20.0000		01/03/12	12/27/11	1,279.97				1,279.97
Short-term total Box C, Part I	10,844.0000				\$768,159.10	\$239,310.00			\$528,849.10

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UBS Financial Services Inc.

2012 Consolidated Form 1099

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Proceeds from Broker Transactions Details Not Reported on Form 1099-B (continued)

Type of Gain/Loss: Undetermined.

Transactions which are **Not** reported on Form 1099-B and Short- or Long-Term Determination is Unknown (to Broker); Report on Form 8949, in either Part I or Part II as appropriate, Box C checked.

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CALL LINN ENERGY LLC UNI DUE 12/22/12 39 000 2565U4 Expired	50.0000			11/12/12	4,749.89				Not Calculated
Term unknown total									
Box C	50.0000				\$4,749.89				

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000118300632876-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:

Transactions are grouped into section(s) based upon whether the security is covered or noncovered; and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "*" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
ALLIANZ SE ADR Symbol: AZSEY CUSIP: 018805101									
Sell	552.0000		08/09/11	03/23/12	6,585.24	6,100.59			484.65
ALSTOM ADR Symbol: ALSMY CUSIP: 021244207									
Sell	2,352.0000		08/18/11	03/23/12	9,101.60	10,345.98			(1,244.38)
Sell	440.0000		10/03/11	03/23/12	1,702.68	1,400.12			302.56
Sub Total	2,792.0000				10,804.28	11,746.10			(941.82)

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ALUMINA LTD SPON ADR Symbol: AWC CUSIP: 022205108									
Sell	564.0000		09/28/11	03/23/12	2,774.83	3,158.34			(383.51)
ASahi GLASS ADR *FOREIGN SECURITY JAPAN ADR Symbol: ASGLY CUSIP: 043393206									
Sell	5.0000		08/29/12	09/07/12	31.84	32.25			(0.41)
Sell	311.0000		08/29/12	09/10/12	1,962.39	2,005.95			(43.56)
Sell	1.0000		08/29/12	09/12/12	6.11	6.45			(0.34)
Sell	129.0000		08/29/12	09/12/12	793.14	832.05			(38.91)
Sub Total	446.0000				2,793.48	2,876.70			(83.22)
BARRICK GOLD CORP CAD Symbol: ABX CUSIP: 067901108									
Sell	26.0000		09/27/11	03/23/12	1,126.56	1,260.70			(134.14)
CAMECO CORP CANADA CAD Symbol: CCI CUSIP: 13321L108									
Sell	122.0000		08/04/11	03/23/12	2,769.35	3,004.03			(234.68)
Sell	235.0000		08/08/11	03/23/12	5,334.40	5,311.45			22.95
Sell	70.0000		08/26/11	03/23/12	1,588.98	1,551.56			37.42
Sell	183.0000		12/19/11	03/23/12	4,154.02	3,126.88			1,027.14
Sub Total	610.0000				13,846.75	12,993.92			852.83

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CARREFOUR SA SPON ADR Symbol: CRRFY CUSIP 144430204									
Sell	1,551 0000		10/04/11	03/23/12	7,475.68	6,941.66			534.02
Sell	1,003 0000		12/19/11	03/23/12	4,834.37	4,285.62			548.75
Sub Total	2,554.0000				12,310.05	11,227.28			1,082.77
CIA PARANAENSE ENERGI SPON ADR Symbol: ELP CUSIP 20441B407									
Sell	8 0000		08/29/12	10/12/12	125.24	150.78			(25.54)
Sell	20.0000		08/29/12	10/15/12	316.11	376.94			(60.83)
Sell	57 0000		08/29/12	10/16/12	915.17	1,074.28			(159.11)
Sub Total	85.0000				1,356.52	1,602.00			(245.48)
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADS SPON ADR Symbol: SBS CUSIP 20441A102									
Sell	1.0000		08/29/12	09/12/12	76.70	87.46			(10.76)
Sell	10.0000		08/29/12	09/13/12	810.05	874.64			(64.59)
Sell	3 0000		08/29/12	09/14/12	237.19	262.40			(25.21)
Sell	12.0000		08/29/12	10/16/12	1,038.45	1,049.56			(11.11)
Sub Total	26.0000				2,162.39	2,274.06			(111.67)
CREDIT SUISSE GROUP SPON ADR Symbol: CS CUSIP 225401108									
Sell	83 0000		06/24/11	03/23/12	2,375.42	3,152.34			(776.92)
Sell	259 0000		06/27/11	03/23/12	7,412.44	9,780.28			(2,367.84)
Sell	65 0000		06/28/11	03/23/12	1,860.27	2,497.48			(637.21)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
CREDIT SUISSE GROUP SPON ADR Symbol CS CUSIP 225401108								
Sell	108 0000	08/17/11	03/23/12	3,090.90	3,169.92			(79.02)
Sell	196.0000	02/07/12	03/23/12	5,609.42	5,359.91			249.51
Sub Total	711.0000			20,348.45	23,959.93			(3,611.48)
DAIICHI SANKYO CO LTD SPON ADR Symbol DSINKY CUSIP 23381D102								
Sell	50 0000	04/07/11	03/23/12	939.02	924.48			14.54
Sell	65 0000	04/08/11	03/23/12	1,220.73	1,203.38			17.35
Sell	45 0000	04/11/11	03/23/12	845.12	836.89			8.23
Sell	140 0000	04/12/11	03/23/12	2,629.27	2,598.46			30.81
Sell	160.0000	04/20/11	03/23/12	3,004.87	3,058.54			(53.67)
Sell	112 0000	04/21/11	03/23/12	2,103.41	2,183.38			(79.97)
Sub Total	572.0000			10,742.42	10,805.13			(62.71)
ELECTRICITE DE FRANCE ADR Symbol ECIFY CUSIP 285039103								
Sell	833.0000	12/16/11	03/23/12	3,890.04	3,880.53			9.51
ERICSSON SEK 10 NEW 2002 ADR Symbol ERIC CUSIP 294821608								
Sell	509.0000	02/08/12	03/23/12	5,003.38	4,895.97			107.41
Sell	529.0000	02/10/12	03/23/12	5,199.97	5,024.07			175.90
Sub Total	1,038.0000			10,203.35	9,920.04			283.31
FINMECCANICA SPA ADR Symbol FINMY CUSIP 318027208								
Sell	2,586.0000	08/04/11	03/23/12	5,870.11	9,044.79			(3,174.68)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
FUJI HEAVY INDS LTD ADR									
Symbol: FUJHY									
CUSIP: 359556206									
Sell	85.0000		08/29/12	11/30/12	1,885.70	1,394.00			491.70
HOME RETAIL GROUP PLC SPON ADR									
Symbol: HMRTY									
CUSIP: 437311102									
Sell	34.0000		03/10/11	01/27/12	215.90	433.05			(217.15)
Sell	444.0000		06/09/11	03/23/12	3,432.06	5,299.10			(1,867.04)
Sell	353.0000		09/06/11	03/23/12	2,728.64	2,674.82			53.82
Sell	185.0000		12/22/11	03/23/12	1,430.02	968.31			461.71
Sell	218.0000		12/23/11	03/23/12	1,685.11	1,166.10			519.01
Sub Total	1,234.0000				9,491.73	10,541.38			(1,049.65)
IMPALA PLATINUM HLDG LTD SPON ADR									
Symbol: IMPUY									
CUSIP: 452533308									
Sell	137.0000		02/22/12	03/23/12	2,778.31	2,867.63			(89.32)
Sell	82.0000		03/06/12	03/23/12	1,662.93	1,721.13			(58.20)
Sub Total	219.0000				4,441.24	4,588.76			(147.52)
KINROSS GOLD CORP NEW CAD									
Symbol: KGC									
CUSIP: 496902404									
Sell	87.0000		09/27/11	03/23/12	869.98	1,337.59			(467.61)
Sell	176.0000		10/17/11	03/23/12	1,759.97	2,512.68			(752.71)
Sell	496.0000		01/17/12	03/23/12	4,959.91	5,210.28			(250.37)
Sub Total	759.0000				7,589.86	9,060.55			(1,470.69)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
MARINE HARVEST ASA ADR									
Symbol MNHVV									
CUSIP: 56824R106									
Sell	440 0000		08/25/11	03/23/12	4,523.12	4,915.77			(392.65)
Sell	454 0000		09/09/11	03/23/12	4,667.03	4,949.24			(282.21)
Sub Total	894.0000				9,190.15	9,865.01			(674.86)
MS&AD INS GROUP HLDGS ADR									
Symbol MSADY									
CUSIP: 553491101									
Sell	139 0000		04/13/11	03/23/12	1,437.10	1,531.45			(94.35)
Sell	134 0000		04/14/11	03/23/12	1,385.40	1,488.35			(102.95)
Sell	460 0000		04/15/11	03/23/12	4,755.85	5,122.24			(366.39)
Sub Total	733.0000				7,578.35	8,142.04			(563.69)
NEWCREST MINING LTD SPON									
AUSTRALIA ADR									
Symbol NCMGY									
CUSIP: 651191108									
Sell	135.0000		12/16/11	03/23/12	4,049.93	4,208.49			(158.56)
NEXEN INC CAD									
Symbol NXY									
CUSIP: 65334H102									
Sell	120.0000		08/11/11	03/23/12	2,246.36	2,326.62			(80.26)
Sell	231.0000		09/27/11	03/23/12	4,324.24	3,975.86			348.38
Sell	76 0000		12/12/11	03/23/12	1,422.70	1,107.68			315.02
Sell	50 0000		12/13/11	03/23/12	935.98	744.57			191.41
Sub Total	477.0000				8,929.28	8,154.73			774.55

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
NINTENDO LTD ADR NEW JAPAN ADR									
Symbol NTDOY									
CUSIP 654445303									
Sell	18.0000		05/24/11	03/23/12	341.99	504.50			(162.51)
Sell	89.0000		05/25/11	03/23/12	1,690.97	2,512.01			(821.04)
Sell	229.0000		08/01/11	03/23/12	4,350.92	4,536.81			(185.89)
Sub Total	336.0000				6,383.88	7,553.32			(1,169.44)
NITTO DENKO CORP UNSPONS ADR									
Symbol NDEKY									
CUSIP 654802206									
Sell	10.0000		08/29/12	11/30/12	258.87	235.60			23.27
NOKIA CORP SPONS ADR FINLAND ADR									
Symbol NOK									
CUSIP 654902204									
Sell	668.0000		05/31/11	03/23/12	3,500.26	4,697.31			(1,197.05)
DAO GAZPROM LEVEL 1 ADR									
Symbol OGZPY									
CUSIP 368287207									
Sell	557.0000		08/11/11	03/23/12	6,850.97	5,996.33			854.64
Sell	468.0000		09/30/11	03/23/12	5,756.30	4,544.28			1,212.02
Sub Total	1,025.0000				12,607.27	10,540.61			2,066.66
POLYUS GOLD INTL LTD SPON GDR									
Symbol PLZLY									
CUSIP 73180Y203									
Sell	1,285.0000		07/22/11	03/23/12	3,700.73	4,548.90			(848.17)
Sell	950.0000		08/03/11	03/23/12	2,735.95	3,418.01			(682.06)
Sell	625.0000		08/12/11	03/23/12	1,799.97	2,062.50			(262.53)
Sub Total	2,860.0000				8,236.65	10,029.41			(1,792.76)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ROHM CO LTD ADR Symbol: ROHCY CUSIP: 775376106									
Sell	75.0000		12/16/11	03/23/12	1,865.50	1,653.23			212.27
Sell	51.0000		12/19/11	03/23/12	1,268.54	1,121.17			147.37
Sub Total	126.0000				3,134.04	2,774.40			359.64
SHISEIDO CO LTD SPONS ADR JAPAN Symbol: SSDOY CUSIP: 824841407									
Sell	138.0000		06/01/11	03/23/12	2,402.54	2,346.98			55.56
SIEMENS A G SPON ADR Symbol: SI CUSIP: 826197501									
Sell	31.0000		09/27/11	03/23/12	3,159.15	2,936.11			223.04
SOCIETE GENERALE FRANCE SPONS ADR ADR Symbol: SCGLY CUSIP: 83364L109									
Sell	272.0000		02/08/11	02/08/12	1,737.42	3,568.64			(1,831.22)
Sell	292.0000		02/15/11	02/08/12	1,865.17	3,854.40			(1,989.23)
Sub Total	564.0000				3,602.59	7,423.04			(3,820.45)
SUMITOMO MITSUI TRUST HOLDINGS SPON ADR Symbol: SUTNY CUSIP: 86562X106									
Sell	3,768.0000		04/01/11	03/23/12	12,434.17	13,188.00			(753.83)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
TALISMAN ENERGY INC CAD Symbol TLM CUSIP 87423E103									
Sell	714.0000	10/20/11	03/23/12		9,224.71	9,642.28			(417.57)
Sell	305.0000	01/19/12	03/23/12		3,940.53	3,590.86			349.67
Sub Total	1,019.0000				13,165.24	13,233.14			(67.90)
TELSTRA CORP LTD (FINAL) ADR Symbol TLSYY CUSIP 87969N204									
Sell	10.0000	08/29/12	11/30/12		222.99	197.20			25.79
TNT EXPRESS N V ADR Symbol TNTIEY CUSIP 87262N109									
Sell	73.0000	06/29/11	01/18/12		583.73	749.86			(166.13)
Sell	96.0000	06/29/11	01/19/12		765.71	986.11			(220.40)
Sell	81.0000	06/29/11	02/17/12		1,018.17	832.03			186.14
Sell	504.0000	06/30/11	02/17/12		6,335.26	5,204.00			1,131.26
Sell	277.0000	07/05/11	02/17/12		3,481.88	2,828.67			653.21
Sell	5.0000	08/30/11	02/17/12		62.85	43.50			19.35
Sell	91.0000	09/06/11	02/17/12		1,143.87	788.59			355.28
Sell	162.0000	09/06/11	02/23/12		2,066.11	1,403.86			662.25
Sell	227.0000	09/07/11	02/23/12		2,895.11	2,008.40			886.71
Sell	143.0000	09/07/11	02/27/12		1,773.01	1,265.21			507.80
Sell	198.0000	09/29/11	02/27/12		2,454.94	1,484.76			970.18
Sell	42.0000	09/30/11	02/27/12		520.74	302.04			218.70
Sub Total	1,899.0000				23,101.38	17,897.03			5,204.35

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
TRANSOCEAN LTD CHF Symbol RIG CUSIP H8817H100									
Sell	104.0000		12/07/11	03/23/12	5,780.22	4,721.87			1,058.35
Sell	114.0000		12/08/11	03/23/12	6,336.00	4,997.37			1,338.63
Sub Total	218.0000				12,116.22	9,719.24			2,396.98
UNILEVER PLC AMER SHS NEW SPON ADR Symbol UL CUSIP 904767704									
Sell	9.0000		08/29/12	10/26/12	333.33	323.16			10.17
Sell	52.0000		08/29/12	10/31/12	1,937.81	1,867.14			70.67
Sell	19.0000		08/29/12	11/02/12	711.55	682.22			29.33
Sub Total	80.0000				2,982.69	2,872.52			110.17
VIVENDI SA ADR Symbol VIVHY CUSIP 92852T201									
Sell	262.0000		03/08/12	03/23/12	4,841.67	4,856.25			(14.58)
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR Symbol WTKWY CUSIP 977874205									
Sell	183.0000		08/08/11	03/23/12	3,465.79	3,313.29			152.50
YAMANA GOLD INC CAD Symbol AUJ CUSIP 98462Y100									
Sell	20.0000		08/29/12	10/15/12	375.14	327.33			47.81

Short-term total

Box A, Part I

31,148.0000

\$273,961.25

\$280,945.85

\$(6,984.60)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ALLIANZ SE ADR Symbol: AZSEY CUSIP: 018805101									
Sell	196.0000		02/08/11	03/23/12	2,338.24	2,859.64			(521.40)
Sell	283.0000		02/15/11	03/23/12	3,376.13	4,072.37			(696.24)
Sub Total	479.0000				5,714.37	6,932.01			(1,217.64)
ALUMINA LTD SPON ADR Symbol: AWC CUSIP: 022205108									
Sell	347.0000		02/08/11	03/23/12	1,707.21	3,553.73			(1,846.52)
Sell	385.0000		02/15/11	03/23/12	1,894.16	3,948.91			(2,054.75)
Sub Total	732.0000				3,601.37	7,502.64			(3,901.27)
ANGLOGOLD ASHANTI LTD NEW SPON ADR Symbol: AU CUSIP: 035128206									
Sell	32.0000		02/08/11	03/23/12	1,193.25	1,459.64			(266.39)
Sell	184.0000		02/08/11	03/23/12	6,861.24	8,507.57			(1,646.33)
Sell	216.0000		02/15/11	03/23/12	8,054.49	9,890.38			(1,835.89)
Sub Total	432.0000				16,108.98	19,857.59			(3,748.61)
ASTRAZENECA PLC SPON ADR Symbol: AZN CUSIP: 046353108									
Sell	71.0000		02/08/11	03/23/12	3,207.01	3,449.68			(242.67)
Sell	177.0000		02/08/11	03/23/12	7,994.95	8,612.82			(617.87)
Sell	202.0000		02/15/11	03/23/12	9,124.17	9,827.20			(703.03)
Sub Total	450.0000				20,326.13	21,889.70			(1,563.57)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
AXIS CAPITAL HOLDINGS LTD SHS									
Symbol: AXS CUSIP: G0692U109									
Sell	120.0000		02/08/11	03/23/12	3,869.93	4,397.98			(528.05)
Sell	127.0000		02/15/11	03/23/12	4,095.68	4,724.40			(628.72)
Sub Total	247.0000				7,965.61	9,122.38			(1,156.77)
BARRICK GOLD CORP CAD									
Symbol: ABX CUSIP: 067901108									
Sell	47.0000		01/20/11	03/23/12	2,036.47	2,205.63			(169.16)
Sell	242.0000		02/08/11	03/23/12	10,485.67	11,729.47			(1,243.80)
Sell	270.0000		02/15/11	03/23/12	11,698.89	13,286.27			(1,587.38)
Sub Total	559.0000				24,221.03	27,221.37			(3,000.34)
CAMECO CORP CANADA CAD									
Symbol: CCI CUSIP: 13321L108									
Sell	206.0000		02/08/11	03/23/12	4,676.12	8,407.79			(3,731.67)
Sell	200.0000		02/15/11	03/23/12	4,539.91	8,617.30			(4,077.39)
Sell	276.0000		03/16/11	03/23/12	6,265.09	8,973.28			(2,708.19)
Sub Total	682.0000				15,481.12	25,998.37			(10,517.25)
CARREFOUR SA SPON ADR									
Symbol: CRRFY CUSIP: 144430204									
Sell	796.0000		02/08/11	03/23/12	3,836.65	7,697.32			(3,860.67)
Sell	916.0000		02/15/11	03/23/12	4,415.04	8,821.08			(4,406.04)
Sub Total	1,712.0000				8,251.69	16,518.40			(8,266.71)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CENTRAIS ELETRICAS BRAS SA SPON									
ADR REP 50 COM (ELETROBRAS)									
Symbol EBR									
CUSIP: 15234Q207									
Sell	451 0000		02/08/11	03/23/12	4,293.44	6,214.78			(1,921.34)
Sell	422 0000		02/15/11	03/23/12	4,017.37	5,806.72			(1,789.35)
Sub Total	873.0000				8,310.81	12,021.50			(3,710.69)
DAI NIPPON PRINTING CO SPON ADR									
Symbol DNPLY									
CUSIP: 233806306									
Sell	807 0000		02/08/11	03/23/12	8,158.63	11,200.68			(3,042.05)
Sell	895 0000		02/15/11	03/23/12	9,048.28	12,172.00			(3,123.72)
Sub Total	1,702.0000				17,206.91	23,372.68			(6,165.77)
EAST JAPAN RAILWAY CO ADR									
Symbol EJPY									
CUSIP: 273202101									
Sell	491 0000		02/24/11	03/23/12	5,219.24	5,680.33			(461.09)
Sell	497 0000		03/02/11	03/23/12	5,283.01	5,795.02			(512.01)
Sub Total	988.0000				10,502.25	11,475.35			(973.10)
ELECTRICITE DE FRANCE ADR									
Symbol ECIFY									
CUSIP: 285039103									
Sell	682 0000		02/08/11	03/23/12	3,184.88	5,694.70			(2,509.82)
Sell	704 0000		02/15/11	03/23/12	3,287.62	5,906.56			(2,618.94)
Sub Total	1,386.0000				6,472.50	11,601.26			(5,128.76)
FINMECCANICA SPA ADR									
Symbol FINMY									
CUSIP: 318027208									
Sell	982 0000		02/08/11	03/23/12	2,229.10	6,491.02			(4,261.92)
Sell	1,243 0000		02/15/11	03/23/12	2,821.56	8,092.43			(5,270.87)
Sub Total	2,225.0000				5,050.66	14,583.45			(9,532.79)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 2) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
FUJII FILM HOLDINGS CORP ADR									
Symbol FUJII									
CUSIP: 35958N107									
Sell	194.0000		02/08/11	03/23/12	4,657.85	7,033.63			(2,375.78)
Sell	236.0000		02/15/11	03/23/12	5,666.26	8,158.52			(2,492.26)
Sub Total	430.0000				10,324.11	15,192.15			(4,868.04)
GLAXO SMITHKLINE PLC ADR									
Symbol GSK									
CUSIP: 37733W105									
Sell	56.0000		02/08/11	03/23/12	2,522.75	2,175.83			346.92
Sell	225.0000		02/08/11	03/23/12	10,136.07	8,756.64			1,379.43
Sell	264.0000		02/15/11	03/23/12	11,892.98	10,097.60			1,795.38
Sub Total	545.0000				24,551.80	21,030.07			3,521.73
GOLD FIELDS LTD SPON ADR									
Symbol GFI									
CUSIP: 38059T106									
Sell	430.0000		02/08/11	03/23/12	5,908.09	7,069.29			(1,161.20)
Sell	506.0000		02/15/11	03/23/12	6,952.31	8,120.54			(1,168.23)
Sub Total	936.0000				12,860.40	15,189.83			(2,329.43)
HACHUUNI BANK LTD ADR									
Symbol HACBY									
CUSIP: 404508202									
Sell	68.0000		02/08/11	03/23/12	3,862.33	4,124.20			(261.87)
Sell	59.0000		02/15/11	03/23/12	3,351.14	3,625.55			(274.41)
Sub Total	127.0000				7,213.47	7,749.75			(536.28)
HOME RETAIL GROUP PLC SPON ADR									
Symbol HMRTY									
CUSIP: 43731T102									
Sell	43.0000		01/07/11	01/27/12	273.05	547.02			(273.97)
Sell	67.0000		01/10/11	01/27/12	425.44	852.55			(427.11)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
HOME RETAIL GROUP PLC SPON ADR Symbol HMRTY CUSIP 43731T102									
Sell	307.0000		03/10/11	03/23/12	2,373.07	3,910.20			(1,537.13)
Sub Total	417.0000				3,071.56	5,309.77			(2,238.21)
KINROSS GOLD CORP NEW CAD Symbol KGC CUSIP 496902404									
Sell	101.0000		01/21/11	03/23/12	1,009.99	1,733.70			(723.71)
Sell	512.0000		02/08/11	03/23/12	5,119.90	8,774.09			(3,654.19)
Sell	618.0000		02/15/11	03/23/12	6,179.89	10,268.07			(4,088.18)
Sub Total	1,231.0000				12,309.78	20,775.86			(8,466.08)
KOREA ELECTRIC POWER CRP SPONSORED ADR SHS Symbol KEP CUSIP 500631106									
Sell	279.0000		02/09/11	03/23/12	2,787.16	3,549.72			(762.56)
MS&AD INS GROUP HLDGS ADR Symbol MSADY CUSIP 553491101									
Sell	595.0000		02/08/11	03/23/12	6,151.59	7,437.50			(1,285.91)
Sell	578.0000		02/15/11	03/23/12	5,975.83	7,456.20			(1,480.37)
Sub Total	1,173.0000				12,127.42	14,893.70			(2,766.28)
NEWCREST MINING LTD SPON AUSTRALIA ADR Symbol NCMGY CUSIP 651191108									
Sell	198.0000		02/08/11	03/23/12	5,939.89	7,660.62			(1,720.73)
Sell	212.0000		02/15/11	03/23/12	6,359.88	8,066.60			(1,706.72)
Sub Total	410.0000				12,299.77	15,727.22			(3,427.45)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

in this sub-section, data required only for securities that are not U.S. government securities										
Security description (Line 8) Symbol (Line 1d) CUSIP		Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type										
NEXEN INC CAD										
Symbol NXY										
CUSIP 65334H102										
Sell		349.0000		02/08/11	03/23/12	6,533.16	8,372.93			(1,839.77)
Sell		456.0000		02/15/11	03/23/12	8,536.16	10,565.52			(2,029.36)
Sub Total		805.0000				15,069.32	18,938.45			(3,869.13)
NINTENDO LTD ADR NEW JAPAN ADR										
Symbol NTDOY										
CUSIP 654445303										
Sell		148.0000		02/08/11	03/23/12	2,811.95	5,202.20			(2,390.25)
Sell		147.0000		02/15/11	03/23/12	2,792.95	5,386.08			(2,593.13)
Sub Total		295.0000				5,604.90	10,588.28			(4,983.38)
NIPPON TELEG & TEL CORP SPON ADR										
Symbol NIT										
CUSIP 654624105										
Sell		496.0000		02/08/11	03/23/12	11,407.80	11,927.91			(520.11)
Sell		608.0000		02/15/11	03/23/12	13,983.74	14,275.23			(291.49)
Sub Total		1,104.0000				25,391.54	26,203.14			(811.60)
NOKIA CORP SPONS ADR FINLAND ADR										
Symbol NOK										
CUSIP: 654902204										
Sell		569.0000		02/08/11	03/23/12	2,981.51	6,400.79			(3,419.28)
Sell		1,187.0000		02/15/11	03/23/12	6,219.76	10,717.78			(4,498.02)
Sub Total		1,756.0000				9,201.27	17,118.57			(7,917.30)
OAO GAZPROM LEVEL 1 ADR										
PROGRAM (RUSSIA) SPON ADR										
Symbol OGZPY										
CUSIP: 368287207										
Sell		168.0000		02/08/11	03/23/12	2,066.36	2,433.48			(367.12)
Sell		320.0000		02/15/11	03/23/12	3,935.93	4,361.60			(425.67)
Sub Total		488.0000				6,002.29	6,795.08			(792.79)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
P T TELEKOMUNIKASI INDONESIA									
SPON ADR									
Symbol: TLK									
CUSIP: 715684106									
Sell	169.0000		02/17/11	03/23/12	5,047.94	5,650.45			(602.51)
Sell	169.0000		02/25/11	03/23/12	5,047.93	5,749.23			(701.30)
Sub Total	338.0000				10,095.87	11,399.68			(1,303.81)
PANASONIC CORP SPON ADR									
Symbol: PC									
CUSIP: 69832A205									
Sell	435.0000		02/08/11	03/23/12	3,871.42	5,828.83			(1,957.41)
Sell	449.0000		02/15/11	03/23/12	3,996.03	5,980.32			(1,984.29)
Sub Total	884.0000				7,867.45	11,809.15			(3,941.70)
ROHM CO LTD ADR									
Symbol: ROHCY									
CUSIP: 775376106									
Sell	139.0000		02/08/11	03/23/12	3,457.40	4,839.98			(1,382.58)
Sell	168.0000		02/15/11	03/23/12	4,178.72	5,836.32			(1,657.60)
Sub Total	307.0000				7,636.12	10,676.30			(3,040.18)
SANOI SPON ADR									
Symbol: SNY									
CUSIP: 80105N105									
Sell	271.0000		02/08/11	03/23/12	10,335.75	9,436.22			899.53
Sell	310.0000		02/15/11	03/23/12	11,823.19	10,431.50			1,391.69
Sub Total	581.0000				22,158.94	19,867.72			2,291.22
SEKISUI HOUSE LTD SPON ADR									
Symbol: SKHSY									
CUSIP: 816078307									
Sell	575.0000		02/08/11	03/23/12	5,519.90	5,724.70			(204.80)
Sell	623.0000		02/15/11	03/23/12	5,980.69	6,134.18			(153.49)
Sub Total	1,198.0000				11,500.59	11,858.88			(358.29)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
SEVEN & I HLDGS CO LTD ADR Symbol: SVNDY CUSIP: 81783H105									
Sell	142 0000		02/08/11	03/23/12	7,933.21	7,455.00			478.21
Sell	183 0000		02/15/11	03/23/12	10,223.79	9,695.34			528.45
Sub Total	325.0000				18,157.00	17,150.34			1,006.66
SHISEIDO CO LTD SPONS ADR JAPAN Symbol: SDOY CUSIP: 824841407									
Sell	443 0000		02/08/11	03/23/12	7,712.49	8,923.22			(1,210.73)
Sell	398 0000		02/15/11	03/23/12	6,929.05	7,952.04			(1,022.99)
Sub Total	841.0000				14,641.54	16,875.26			(2,233.72)
SIEMENS A G SPON ADR Symbol: SI CUSIP: 826197501									
Sell	29.0000		02/08/11	03/23/12	2,955.34	3,725.22			(769.88)
Sell	46 0000		02/15/11	03/23/12	4,687.77	5,880.74			(1,192.97)
Sub Total	75.0000				7,643.11	9,605.96			(1,962.85)
SK TELECOM CO LTD ADR Symbol: SKM CUSIP: 78440P108									
Sell	625 0000		02/08/11	03/23/12	8,699.85	11,043.50			(2,343.65)
Sell	725 0000		02/15/11	03/23/12	10,091.81	12,460.79			(2,368.98)
Sub Total	1,350.0000				18,791.66	23,504.29			(4,712.63)
SWISSCOM AG SPON ADR Symbol: SCMWY CUSIP: 871013108									
Sell	148 0000		02/08/11	03/23/12	5,862.17	6,660.00			(797.83)
Sell	185 0000		02/15/11	03/23/12	7,327.72	8,232.50			(904.78)
Sub Total	333.0000				13,189.89	14,892.50			(1,702.61)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
TELECOM ITALIA SPA NEW REPSTG 10									
SAVINGS SHS SPON ADR									
Symbol: TIA									
CUSIP: 87927Y201									
Sell	535 0000		02/08/11	03/23/12	5,205 45	6,463 60			(1,258 15)
Sell	858 0000		02/15/11	03/23/12	8,348 19	10,189 09			(1,840 90)
Sub Total	1,393.0000				13,553.64	16,652.69			(3,099.05)
TOYOTA MOTOR CORP NEW JAPAN									
SPON ADR									
Symbol: TM									
CUSIP: 892331307									
Sell	49 0000		02/08/11	03/23/12	4,084 08	4,311 40			(227 32)
Sell	57 0000		02/15/11	03/23/12	4,750 86	5,312 22			(561 36)
Sub Total	106.0000				8,834.94	9,623.62			(788.68)
VODAFONE GROUP PLC NEW SPON									
ADR									
Symbol: VOD									
CUSIP: 92857W209									
Sell	305 0000		02/08/11	03/23/12	8,457 50	8,866 35			(408.85)
Sell	335 0000		02/15/11	03/23/12	9,289 38	9,770 78			(481 40)
Sub Total	640.0000				17,746.88	18,637.13			(890.25)
WACOAL HOLDINGS CORP ADR									
Symbol: WACL									
CUSIP: 930004205									
Sell	59 0000		02/08/11	03/23/12	3,496 28	4,089 29			(593 01)
Sell	90 0000		02/15/11	03/23/12	5,333 30	6,081 50			(748.20)
Sub Total	149.0000				8,829.58	10,170.79			(1,341.21)

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Account Number 8R 08814 75
Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000118300632895-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR Symbol WTKWY CUSIP: 977874205	Sell	02/08/11	03/23/12	5,776.32	7,221.24			(1,444.92)
	Sell	02/15/11	03/23/12	5,984.65	7,584.00			(1,599.35)
	Sub Total			11,760.97	14,805.24			(3,044.27)
Long-term total				\$500,436.40	\$624,687.84			\$(124,251.44)
Box A, Part II								

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ALLIANZ SE ADR Symbol AZSEY CUSIP 018805101	Sell	05/21/10	03/23/12	1,861.05	1,584.71			276.34
	Sell	07/02/10	03/23/12	1,789.46	1,542.00			247.46
	Sub Total			3,650.51	3,126.71			523.80
ALUMINA LTD SPON ADR Symbol AWC CUSIP 022205108	Sell	05/19/10	03/23/12	250.92	281.95			(31.03)
	Sell	07/01/10	03/23/12	1,554.69	1,557.63			(2.94)
	Sub Total			1,805.61	1,839.58			(33.97)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis Not reported to the IRS; Report on Form 8949, Part II, with Box B checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ANGLOGOLD ASHANTI LTD NEW SPON									
ADR									
Symbol: AU									
CUSIP 035128206									
Sell	4.0000		07/10/09	03/23/12	149.16	136.57			12.59
Sell	90.0000		07/01/10	03/23/12	3,356.04	3,713.11			(357.07)
Sub Total	94.0000				3,505.20	3,849.68			(344.48)
ASTRAZENECA PLC SPON ADR									
Symbol: AZN									
CUSIP 046353108									
Sell	22.0000		04/22/10	03/23/12	993.72	992.66			1.06
Sell	52.0000		07/01/10	03/23/12	2,348.80	2,430.92			(82.12)
Sub Total	74.0000				3,342.52	3,423.58			(81.06)
AXIS CAPITAL HOLDINGS LTD SHS									
Symbol: AXS									
CUSIP G0692U109									
Sell	56.0000		10/22/09	03/23/12	1,805.97	1,709.46			96.51
Sell	31.0000		02/04/10	03/23/12	999.73	883.53			116.20
Sell	43.0000		07/01/10	03/23/12	1,386.72	1,258.03			128.69
Sub Total	130.0000				4,192.42	3,851.02			341.40
BARRICK GOLD CORP CAD									
Symbol: ABX									
CUSIP 067901108									
Sell	91.0000		07/01/10	03/23/12	3,942.96	3,975.58			(32.62)
CAMECO CORP CANADA CAD									
Symbol: CCJ									
CUSIP 13321L108									
Sell	11.0000		04/07/10	03/23/12	249.70	296.09			(46.39)
Sell	33.0000		05/25/10	03/23/12	749.08	776.72			(27.64)
Sell	198.0000		07/01/10	03/23/12	4,494.52	4,110.34			384.18
Sub Total	242.0000				5,493.30	5,183.15			310.15

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

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P1501G0000118300632897-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CARREFOUR SA SPON ADR									
Symbol CRRFY									
CUSIP 144430204									
Sell	22 0000		10/05/09	03/23/12	106.04	192.25			(86.21)
Sell	91.0000		10/22/09	03/23/12	438.61	833.44			(394.83)
Sell	89 0000		10/28/09	03/23/12	428.97	782.74			(353.77)
Sell	498 0000		07/02/10	03/23/12	2,400.32	4,033.80			(1,633.48)
Sell	225 0000		12/02/10	03/23/12	1,084.48	1,943.33			(858.85)
Sub Total	925.0000				4,458.42	7,785.56			(3,327.14)
CENTRAIS ELETRICAS BRAS SA SPON									
ADR REP 50 COM (ELETROBRAS)									
Symbol EBR									
CUSIP 15234Q207									
Sell	174 0000		05/29/09	03/23/12	1,656.45	2,302.02			(645.57)
Sell	175 0000		07/01/10	03/23/12	1,665.97	2,279.69			(613.72)
Sub Total	349.0000				3,322.42	4,581.71			(1,259.29)
DAI NIPPON PRINTING CO SPON ADR									
Symbol DNPLY									
CUSIP 233806306									
Sell	140 0000		05/29/09	03/23/12	1,415.37	1,795.86			(380.49)
Sell	104.0000		06/01/09	03/23/12	1,051.42	1,365.52			(314.10)
Sell	108.0000		06/02/09	03/23/12	1,091.87	1,429.92			(338.05)
Sell	440 0000		07/01/10	03/23/12	4,448.31	5,082.00			(633.69)
Sub Total	792.0000				8,006.97	9,673.30			(1,666.33)
ELECTRICITE DE FRANCE ADR									
Symbol ECIFY									
CUSIP 285039103									
Sell	170.0000		03/22/10	03/23/12	793.89	1,747.63			(953.74)
Sell	235 0000		07/02/10	03/23/12	1,097.43	1,821.25			(723.82)
Sell	231.0000		11/10/10	03/23/12	1,078.75	2,043.91			(965.16)
Sub Total	636.0000				2,970.07	5,612.79			(2,642.72)

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Account Number 8R 08814 75
Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

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P1501G0000118300632898-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis Not reported to the IRS; Report on Form 8949, Part II, with Box B checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
FINMECCANICA SPA ADR Symbol: FINNY CUSIP: 318027208									
Sell	290.0000		11/24/10	03/23/12	658.29	1,700.30			(1,042.01)
FUJI FILM HOLDINGS CORP ADR Symbol: FUJII CUSIP: 35958N107									
Sell	103.0000		05/29/09	03/23/12	2,472.99	2,969.57			(496.58)
Sell	110.0000		07/01/10	03/23/12	2,641.05	3,173.50			(532.45)
Sub Total	213.0000				5,114.04	6,143.07			(1,029.03)
GOLD FIELDS LTD SPON ADR Symbol: GFI CUSIP: 38059T106									
Sell	45.0000		05/29/09	03/23/12	618.29	599.79			18.50
Sell	79.0000		02/04/10	03/23/12	1,085.44	878.81			206.63
Sell	161.0000		07/01/10	03/23/12	2,212.10	2,066.98			145.12
Sub Total	285.0000				3,915.83	3,545.58			370.25
HACHIJUNI BANK LTD ADR Symbol: HACBY CUSIP: 404508202									
Sell	27.0000		05/29/09	03/23/12	1,533.57	1,532.25			1.32
Sell	28.0000		07/01/10	03/23/12	1,590.37	1,574.74			15.63
Sub Total	55.0000				3,123.94	3,106.99			16.95
KINROSS GOLD CORP NEW CAD Symbol: KGC CUSIP: 496902404									
Sell	115.0000		07/01/10	03/23/12	1,149.98	1,886.75			(736.77)
Sell	84.0000		08/18/10	03/23/12	839.98	1,299.15			(459.17)
Sub Total	199.0000				1,989.96	3,185.90			(1,195.94)

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UBS Financial Services Inc.

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P1501G0000118300632899-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8)		Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Symbol (Line 1d)	Activity type								
CUSIP			Original cost basis (\$)						
KOREA ELECTRIC POWER CRP									
SPONSORED ADR SHS									
Symbol KEP									
CUSIP 500631106									
Sell		196.0000	05/29/09	03/23/12	1,958.00	2,255.31			(297.31)
Sell		165.0000	07/02/10	03/23/12	1,648.33	2,106.89			(458.56)
Sell		83.0000	11/23/10	03/23/12	829.15	1,020.90			(191.75)
Sub Total		444.0000			4,435.48	5,383.10			(947.62)
MS&AD INS GROUP HLDGS ADR									
Symbol MSADY									
CUSIP 553491101									
Sell		135.0000	05/29/09	02/27/12	1,419.59	1,964.25			(544.66)
Sell		20.0000	05/29/09	03/23/12	206.78	291.00			(84.22)
Sell		44.0000	02/25/10	03/23/12	454.90	556.78			(101.88)
Sell		224.0000	07/01/10	03/23/12	2,315.90	2,425.92			(110.02)
Sell		162.0000	08/13/10	03/23/12	1,674.89	1,865.46			(190.57)
Sub Total		585.0000			6,072.06	7,103.41			(1,031.35)
NEWCREST MINING LTD SPON									
AUSTRALIA ADR									
Symbol NCMGY									
CUSIP 651191108									
Sell		70.0000	08/10/09	03/23/12	2,099.96	1,758.01			341.95
Sell		15.0000	05/28/10	03/23/12	449.99	410.52			39.47
Sell		97.0000	07/01/10	03/23/12	2,909.95	2,816.88			93.07
Sub Total		182.0000			5,459.90	4,985.41			474.49
NEXEN INC CAD									
Symbol NXY									
CUSIP 65334H102									
Sell		38.0000	07/01/10	03/23/12	711.35	733.74			(22.39)
Sell		29.0000	10/20/10	03/23/12	542.87	627.66			(84.79)
Sub Total		67.0000			1,254.22	1,361.40			(107.18)

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

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P1501G0000118300632900-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1f)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
NINTENDO LTD ADR NEW JAPAN ADR									
Symbol NTDOY CUSIP 654445303									
Sell	9 0000		08/03/09	03/23/12	171.00	305.55			(134.55)
Sell	24.0000		08/20/09	03/23/12	455.99	776.86			(320.87)
Sell	28.0000		08/27/09	03/23/12	531.99	909.54			(377.55)
Sell	62 0000		07/01/10	03/23/12	1,177.98	2,303.30			(1,125.32)
Sell	43 0000		10/04/10	03/23/12	816.98	1,364.11			(547.13)
Sub Total	166.0000				3,153.94	5,659.36			(2,505.42)
NIPPON TELEG & TEL CORP SPON ADR									
Symbol NTT CUSIP 654624105									
Sell	180 0000		07/01/10	03/23/12	4,139.92	3,660.57			479.35
Sell	48 0000		12/15/10	03/23/12	1,103.98	1,091.74			12.24
Sub Total	228.0000				5,243.90	4,752.31			491.59
NOKIA CORP SPONS ADR FINLAND ADR									
Symbol NOK CUSIP 654902204									
Sell	148.0000		05/29/09	03/23/12	775.51	2,258.21			(1,482.70)
Sell	29 0000		07/21/09	03/23/12	151.95	372.36			(220.41)
Sell	71 0000		11/18/09	03/23/12	372.04	990.49			(618.45)
Sell	34.0000		04/30/10	03/23/12	178.15	413.87			(235.72)
Sell	29 0000		05/24/10	03/23/12	151.96	292.18			(140.22)
Sell	420.0000		07/01/10	03/23/12	2,200.76	3,460.04			(1,259.28)
Sub Total	731.0000				3,830.37	7,787.15			(3,956.78)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
OAO GAZPROM LEVEL 1 ADR PROGRAM (RUSSIA) SPON ADR Symbol: OGZPY CUSIP 368287207									
Sell	284 0000		10/15/10	01/20/12	3,287.23	3,048.41			238.82
Sell	54 0000		10/15/10	03/23/12	664.19	579.63			84.56
Sub Total	338.0000				3,951.42	3,628.04			323.38
PANASONIC CORP SPON ADR Symbol: PC CUSIP 69832A205									
Sell	158 0000		05/29/09	03/23/12	1,406.17	2,254.14			(847.97)
Sell	86 0000		07/01/10	03/23/12	765.39	1,065.13			(299.74)
Sell	140 0000		09/27/10	03/23/12	1,245.98	1,927.76			(681.78)
Sub Total	384.0000				3,417.54	5,247.03			(1,829.49)
ROHM CO LTD ADR Symbol: ROHCY CUSIP 775376106									
Sell	46 0000		05/29/09	03/23/12	1,144.17	1,503.64			(359.47)
Sell	55 0000		07/01/10	03/23/12	1,368.04	1,622.71			(254.67)
Sell	76 0000		11/08/10	03/23/12	1,890.37	2,340.45			(450.08)
Sub Total	177.0000				4,402.58	5,466.80			(1,064.22)
SANOFI SPON ADR Symbol: SNY CUSIP 80105N105									
Sell	127 0000		05/29/09	03/08/12	4,791.53	4,018.22			773.31
Sell	70 0000		07/01/10	03/08/12	2,641.00	2,095.66			545.34
Sell	58 0000		07/01/10	03/23/12	2,212.08	1,736.40			475.68
Sub Total	255.0000				9,644.61	7,850.28			1,794.33

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UBS Financial Services Inc.

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P1501G0000118300632902-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Symbol (Line 1d)	CUSIP									
Activity type										
SEKISUI HOUSE LTD SPON ADR										
Symbol: SKHSY										
CUSIP: 816078307										
Sell		235 0000		05/29/09	03/23/12	2,255.96	2,277.15			(21.19)
Sell		294.0000		07/01/10	03/23/12	2,822.35	2,513.82			308.53
Sub Total		529.0000				5,078.31	4,790.97			287.34
SHISEIDO CO LTD SPONS ADR JAPAN										
Symbol: SSDOY										
CUSIP: 824841407										
Sell		126 0000		05/29/09	03/23/12	2,193.62	2,133.18			60.44
Sell		164.0000		07/01/10	03/23/12	2,855.19	3,604.72			(749.53)
Sub Total		290.0000				5,048.81	5,737.90			(689.09)
SIEMENS A G SPON ADR										
Symbol: SI										
CUSIP: 826197501										
Sell		9 0000		05/29/09	03/23/12	917.17	656.53			260.64
Sell		33 0000		07/01/10	03/23/12	3,362.97	2,982.41			380.56
Sub Total		42.0000				4,280.14	3,638.94			641.20
SK TELECOM CO LTD ADR										
Symbol: SKM										
CUSIP: 78440P108										
Sell		38 0000		05/29/09	03/23/12	528.95	595.45			(66.50)
Sell		335 0000		07/01/10	03/23/12	4,663.11	4,900.72			(237.61)
Sub Total		373.0000				5,192.06	5,496.17			(304.11)
SOCIETE GENERALE FRANCE SPONS										
ADR ADR										
Symbol: SCGLY										
CUSIP: 83364L109										
Sell		23 0000		05/29/09	02/08/12	146.91	266.80			(119.89)
Sell		87 0000		05/17/10	02/08/12	555.72	768.92			(213.20)

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Account Number 8R 08814.75
Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000118300632903-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
SOCIETE GENERALE FRANCE SPONS									
ADR ADR									
Symbol: SCGLY									
CUSIP 83364L109									
Sell	176.0000		07/02/10	02/08/12	1,124.22	1,474.88			(350.66)
Sub Total	286.0000				1,826.85	2,510.60			(683.75)
SWISSCOM AG SPON ADR									
Symbol: SCMWY									
CUSIP 871013108									
Sell	54.0000		05/06/10	03/23/12	2,138.90	1,808.83			330.07
Sell	74.0000		07/02/10	03/23/12	2,931.09	2,561.96			369.13
Sub Total	128.0000				5,069.99	4,370.79			699.20
TELECOM ITALIA SPA NEW REPSTG 10									
SAVINGS SHS SPON ADR									
Symbol: TIA									
CUSIP 87927Y201									
Sell	146.0000		05/29/09	03/23/12	1,420.55	1,500.28			(79.73)
Sell	547.0000		07/02/10	03/23/12	5,322.22	5,081.63			240.59
Sub Total	693.0000				6,742.77	6,581.91			160.86
TOYOTA MOTOR CORP NEW JAPAN									
SPON ADR									
Symbol: TM									
CUSIP 892331307									
Sell	36.0000		09/09/10	03/23/12	3,000.54	2,516.99			483.55
Sell	13.0000		09/10/10	03/23/12	1,083.53	917.89			165.64
Sell	26.0000		10/20/10	03/23/12	2,167.06	1,862.88			304.18
Sub Total	75.0000				6,251.13	5,297.76			953.37

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Account Number 8R 08814 75
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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000118300632904-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis Not reported to the IRS; Report on Form 8949, Part II, with Box B checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

in this sub-section. Data required: cost of										
Security description (Line 8) Symbol (Line 1d) CUSIP		Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type										
VODAFONE GROUP PLC NEW SPON										
ADR										
Symbol: VOD										
CUSIP: 92857WZ09										
Sell	137.0000			05/29/09	01/05/12	3,764.31	2,590.42			1,173.89
Sell	1.0000			05/29/09	03/23/12	27.73	18.91			8.82
Sell	188.0000			07/01/10	03/23/12	5,213.14	3,906.45			1,306.69
Sub Total	326.0000					9,005.18	6,515.78			2,489.40
WACOAL HOLDINGS CORP ADR										
Symbol: WACL										
CUSIP: 930004205										
Sell	49.0000			05/29/09	03/23/12	2,903.69	3,000.45			(96.76)
Sell	52.0000			07/01/10	03/23/12	3,081.46	3,191.10			(109.64)
Sub Total	101.0000					5,985.15	6,191.55			(206.40)
WOLTERS KLUWER NV SPONSORED										
ADR NETHERLANDS ADR										
Symbol: WTKWY										
CUSIP: 977874205										
Sell	110.0000			06/03/09	03/23/12	2,083.26	2,141.69			(58.43)
Sell	50.0000			06/12/09	03/23/12	946.94	883.38			63.56
Sell	11.0000			06/15/09	03/23/12	208.33	187.80			20.53
Sell	146.0000			07/02/10	03/23/12	2,765.05	2,839.70			(74.65)
Sub Total	317.0000					6,003.58	6,052.57			(48.99)
Long-term total										
Box B, Part II										
Total										
\$170,842.45 \$186,993.73 \$(16,151.28)										
\$945,240.10										

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184100069564-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:

Transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "s" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ABBOTT LABS Symbol ABT CUSIP 002824100									
Sell	6 0000		03/22/12	11/20/12	377.32	361.50			15.82
Sell	8 0000		03/22/12	12/21/12	526.49	482.00			44.49
Sub Total	14.0000				903.81	843.50			60.31
ACME PACKET INC Symbol APKT CUSIP 004764106									
Sell	12 0000		04/29/11	01/12/12	324.77	998.09			(673.32)
Sell	13 0000		05/09/11	01/12/12	351.83	1,033.67			(681.84)
Sell	4 0000		05/09/11	01/18/12	112.57	318.05			(205.48)

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184100069565-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

If this sub-section does not apply, enter a zero in the first column.									
Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ACME PACKET INC									
Symbol APKT									
CUSIP 004764106									
Sell	4 0000		06/03/11	01/18/12	112.56	301.58			(189.02)
Sell	1 0000		06/03/11	02/28/12	32.51	75.39			(42.88)
Sell	8 0000		06/21/11	02/28/12	260.05	519.72			(259.67)
Sell	6 0000		07/22/11	02/28/12	195.04	630.22			(435.18)
Sell	20.0000		10/27/11	02/28/12	650.13	711.13			(61.00)
Sell	13.0000		11/01/11	02/28/12	422.58	511.16			(88.58)
Sub Total	81.0000				2,462.04	5,099.01			(2,636.97)
AGCO CORP									
Symbol AGCO									
CUSIP 001084102									
Sell	2.0000		02/23/11	01/05/12	91.66	103.68			(12.02)
Sell	13 0000		03/23/11	01/05/12	595.80	683.90			(88.10)
Sub Total	15.0000				687.46	787.58			(100.12)
ALERE INC COM									
Symbol ALR									
CUSIP 014491105									
Sell	12 0000		11/18/11	05/15/12	219.39	289.07			(69.68)
Sell	4 0000		03/29/12	05/15/12	73.13	99.72			(26.59)
Sell	26 0000		03/29/12	05/16/12	473.81	648.18			(174.37)
Sub Total	42.0000				766.33	1,036.97			(270.64)
ALLERGAN INC									
Symbol AGN									
CUSIP 018490102									
Sell	14 0000		08/08/11	02/02/12	1,198.55	1,024.04			174.51
Sell	4 0000		08/08/11	02/03/12	341.70	292.59			49.11

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Account Number 8R 08817 75
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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184100069566-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
ALLERGAN INC Symbol: AGN CUSIP: 018490102									
Sell	9.0000		08/09/11	02/03/12	768.81	642.06			126.75
Sell	14.0000		08/09/11	02/03/12	1,203.00	998.76			204.24
Sub Total	41.0000				3,512.06	2,957.45			554.61
AMAZON COM INC Symbol: AMZN CUSIP: 023135106									
Sell	2.0000		01/12/12	05/21/12	436.33	354.71			81.62
Sell	8.0000		03/29/12	05/21/12	1,745.36	1,630.46			114.90
Sub Total	10.0000				2,181.69	1,985.17			196.52
AMERICAN TOWER CORP REIT Symbol: AMT CUSIP: 03027X100									
Sell	1.0000		06/13/11	02/27/12	63.00	50.29			12.71
Sell	15.0000		06/13/11	02/28/12	942.34	754.41			187.93
Sell	10.0000		07/07/11	02/28/12	628.23	538.79			89.44
Sell	5.0000		08/30/11	02/28/12	314.11	260.60			53.51
Sub Total	31.0000				1,947.68	1,604.09			343.59
ARM HOLDINGS PLC SPON ADR Symbol: ARMH CUSIP: 042068106									
Sell	11.0000		03/20/12	09/12/12	292.25	306.01			(13.76)
Sell	40.0000		03/29/12	09/12/12	1,062.71	1,138.80			(76.09)
Sub Total	51.0000				1,354.96	1,444.81			(89.85)
ATMEL CORP Symbol: ATML CUSIP: 049513104									
Sell	32.0000		04/21/11	02/07/12	321.07	472.88			(151.81)

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UBS Financial Services Inc.

2012 Consolidated Form 1099

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 2) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
AUTODESK INC									
Symbol: ADSK CUSIP: 052769106									
Sell	23 0000		02/07/12	07/30/12	785 50	873 24			(87 74)
Sell	12 0000		02/07/12	08/14/12	408 91	455 60			(46 69)
Sell	11 0000		02/07/12	08/15/12	377 62	417 63			(40 01)
Sell	11 0000		02/07/12	08/16/12	376 66	417 64			(40 98)
Sell	24 0000		02/07/12	08/17/12	834 94	911 20			(76 26)
Sell	12 0000		02/27/12	08/20/12	407 22	447 69			(40 47)
Sell	8 0000		03/14/12	08/20/12	271 48	312 80			(41 32)
Sell	3 0000		03/29/12	08/20/12	101 81	126 33			(24 52)
Sell	16 0000		03/29/12	08/21/12	558 14	673 76			(115 62)
Sell	11 0000		03/29/12	08/22/12	384 25	463 21			(78 96)
Sub Total	131.0000				4,506.53	5,099.10			(592.57)
AVAGO TECHNOLOGIES LTD SGD									
Symbol: AVGO CUSIP: Y04865104									
Sell	31 0000		09/15/11	04/16/12	1,148 61	1,146 64			1 97
Sell	2 0000		09/15/11	06/01/12	62 31	73 98			(11 67)
Sell	6 0000		09/16/11	06/01/12	186 92	218 62			(31 70)
Sell	8 0000		09/27/11	06/01/12	249 23	279 88			(30 65)
Sell	24 0000		09/28/11	06/01/12	747 70	795 86			(48 16)
Sell	2 0000		09/29/11	06/01/12	62 31	66 26			(3 95)
Sell	6 0000		09/29/11	06/04/12	181 60	198 77			(17 17)
Sell	14 0000		10/12/11	06/04/12	423 75	469 36			(45 61)
Sell	13 0000		12/23/11	06/04/12	393 47	378 29			15 18
Sell	40 0000		03/29/12	05/04/12	1,210 70	1,522 40			(311 70)
Sub Total	146.0000				4,666.60	5,150.06			(483.46)

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Account Number 88 08817 75
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UBS Financial Services Inc.

2012 Consolidated Form 1099

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
BIOMARIN PHARMACEUTICAL INC									
Symbol: BMRN CUSIP: 09061G101									
Sell	9 0000		09/29/11	07/09/12	378 78	277 54			101 24
Sell	8 0000		09/29/11	07/13/12	345 14	246 70			98 44
Sub Total	17.0000				723.92	524.24			199.68
BORG WARNER INC									
Symbol: BWA CUSIP: 099724106									
Sell	5 0000		08/30/11	07/05/12	333 47	349 42			(15 95)
Sell	20 0000		03/29/12	07/05/12	1 333 87	1 670 80			(336 93)
Sub Total	25.0000				1 667.34	2 020.22			(352.88)
BRISTOL MYERS SQUIBB CO									
Symbol: BMY CUSIP: 110122108									
Sell	83.0000		01/27/12	04/19/12	2 830 25	2 681 73			148 52
Sell	30 0000		03/29/12	04/19/12	1 022 98	1 005 00			17 98
Sub Total	113.0000				3 853.23	3 686.73			166.50
BROADSOFT INC COM									
Symbol: BSFT CUSIP: 11133B409									
Sell	8 0000		01/12/12	08/17/12	316 27	222 77			93 50
Sell	9 0000		01/12/12	10/03/12	359 99	250 62			109 37
Sub Total	17.0000				676.26	473.39			202.87
BRUKER CORP									
Symbol: BRKR CUSIP: 116794108									
Sell	1 0000		06/09/11	05/14/12	15 18	18 00			(2 82)
Sell	29.0000		06/09/11	05/15/12	442 41	522 00			(79 59)
Sell	7.0000		06/15/11	05/15/12	106 79	128 78			(21 99)

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Account Number 8R 08817 75
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UBS Financial Services Inc.

2012 Consolidated Form 1099

PI501G0000184100069569-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
BRUKER CORP									
Symbol: BRKR									
CUSIP: 116794108									
Sell	24 0000		06/15/11	05/16/12	362 15	441 55			(79 40)
Sell	13 0000		03/29/12	05/16/12	196 17	199 29			(3 12)
Sell	37 0000		03/29/12	05/17/12	562 59	567 21			(4 62)
Sub Total	111.0000				1,685.29	1,876.83			(191.54)
CABOT OIL & GAS CORP									
Symbol: COG									
CUSIP: 127097103									
Sell	18 0000		10/19/11	05/10/12	639 81	619 82			19 99
Sell	1 0000		10/20/11	05/10/12	35 54	34 52			1.02
Sell	12 0000		10/20/11	06/05/12	376 52	414 30			(37 78)
Sell	5 0000		10/20/11	06/29/12	192 93	172 62			20 31
Sell	18 0000		10/31/11	06/29/12	694 53	705 78			(11 25)
Sell	5 0000		11/02/11	06/29/12	192 92	200 00			(7 08)
Sell	7 0000		11/02/11	06/29/12	274 93	280 00			(5 07)
Sell	20 0000		03/29/12	06/29/12	785 53	611 40			174 13
Sub Total	86.0000				3,192.71	3,038.44			154.27
CAMERON INTL CORP									
Symbol: CAM									
CUSIP: 133428105									
Sell	28 0000		11/10/11	04/18/12	1,399 96	1,370 86			29 10
Sell	24 0000		11/10/11	04/19/12	1,200 01	1,175 03			24 98
Sell	8 0000		01/10/12	04/19/12	400 00	420 37	20 37		0 00
Sell	7 0000		01/12/12	04/20/12	342 99	362 02			(19 03)
Sell	5 0000		03/14/12	04/20/12	245 00	266 05			(21 05)
Sell	8 0000		03/29/12	04/20/12	391 99	436 93			(44 94)
Sell	12 0000		03/29/12	04/20/12	587 98	624 84			(36 86)
Sub Total	92 0000				4,567.93	4,656 10	20 37		(67 80)

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UBS Financial Services Inc.

2012 Consolidated Form 1099

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CARDINAL HEALTH INC									
Symbol CAH									
CUSIP 14149Y108									
Sell	9 0000		05/20/11	02/09/12	380.28	404.27			(23.99)
Sell	20 0000		05/23/11	02/09/12	845.06	884.63			(39.57)
Sell	4 0000		05/24/11	02/09/12	169.01	177.19			(8.18)
Sell	18 0000		05/24/11	02/10/12	752.41	797.36			(44.95)
Sell	15 0000		06/24/11	02/10/12	627.00	664.03			(37.03)
Sub Total	66.0000				2,773.76	2,927.48			(153.72)
CATERPILLAR INC									
Symbol CAT									
CUSIP 149123101									
Sell	26 0000		04/12/12	09/24/12	2,364.89	2,735.32			(370.43)
Sell	12.0000		04/12/12	09/25/12	1,065.94	1,262.46			(196.52)
Sell	4.0000		06/11/12	09/25/12	355.32	347.18			8.14
Sell	11.0000		07/25/12	09/25/12	977.11	891.95			85.16
Sub Total	53.0000				4,763.26	5,236.91			(473.65)
CBRE GROUP INC CL A									
Symbol CBG									
CUSIP 12504L109									
Sell	35.0000		10/12/11	03/23/12	716.43	518.98			197.45
CBS CORP NEW CL B									
Symbol CBS									
CUSIP 124857202									
Sell	9 0000		05/11/11	01/10/12	256.59	242.94			13.65
Sell	2 0000		05/11/11	03/20/12	62.88	53.99			8.89
Sell	8.0000		05/12/11	03/20/12	251.54	212.78			38.76
Sell	10 0000		05/12/11	05/08/12	322.11	265.97			56.14
Sub Total	29.0000				893.12	775.68			117.44

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UBS Financial Services Inc.

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P1501G0000184100069571-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1c)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CELGENE CORP									
Symbol CELG									
CUSIP 151020104									
Sell	4 0000		07/26/12	10/05/12	320 59	268 73			51 86
Sell	14 0000		07/26/12	11/12/12	1,059 96	940 57			119 39
Sub Total	18 0000				1,380 55	1,209 30			171 25
CHART INDUSTRIES INC									
Symbol GTLS									
CUSIP 16115Q308									
Sell	4 0000		09/15/11	03/13/12	291 93	211 45			80 48
Sell	5 0000		02/01/12	11/28/12	296 58	295 27			1 31
Sell	7 0000		03/29/12	11/28/12	403 45	510 09			(106 64)
Sell	3 0000		03/29/12	11/29/12	181 10	218 61			(37 51)
Sell	7 0000		06/11/12	11/29/12	422 56	445 11			(22 55)
Sub Total	26 0000				1,595 62	1,680 53			(84 91)
CHECK POINT SOFTWARE TECH LTD ILS									
Symbol CHKP									
CUSIP M22465104									
Sell	7 0000		11/17/11	03/20/12	431 84	378 22			53 62
Sell	13 0000		11/17/11	03/22/12	803 38	702 41			100 97
Sell	3 0000		11/17/11	07/09/12	136 33	162 09			(25 76)
Sell	7 0000		11/22/11	07/09/12	318 09	371 93			(53 84)
Sell	18 0000		11/22/11	07/10/12	802 82	956 40			(153 58)
Sell	7 0000		12/22/11	07/10/12	312 21	374 92			(62 71)
Sell	4 0000		01/10/12	07/10/12	178 41	210 47			(32 06)
Sell	1 0000		01/10/12	07/10/12	44 62	52 62			(8 00)
Sell	10 0000		03/07/12	07/10/12	446 15	585 65			(139 50)
Sell	20 0000		03/29/12	07/10/12	892 31	1,275 00			(382 69)
Sub Total	90 0000				4,366 16	5,069 71			(703 55)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CITRIX SYSTEMS INC									
Symbol CTXS									
CUSIP: 177376100									
Sell	2.0000		11/15/11	10/18/12	128.76	150.25			(21.49)
Sell	2.0000		11/15/11	10/24/12	127.18	150.25			(23.07)
Sell	5.0000		02/07/12	10/24/12	317.93	349.29			(31.36)
Sell	2.0000		03/29/12	10/24/12	127.18	156.84			(29.66)
Sell	9.0000		03/29/12	10/25/12	550.74	705.78			(155.04)
Sell	9.0000		03/29/12	10/25/12	561.31	705.78			(144.47)
Sub Total	29.0000				1,813.10	2,218.19			(405.09)
COGNIZANT TECH SOLUTIONS CRP									
Symbol CTSH									
CUSIP: 192446102									
Sell	4.0000		03/27/12	07/31/12	228.54	310.12			(81.58)
Sell	20.0000		03/29/12	07/31/12	1,142.67	1,516.80			(374.13)
Sub Total	24.0000				1,371.21	1,826.92			(455.71)
COMMVAULT SYSTEMS INC									
Symbol CVLT									
CUSIP: 204166102									
Sell	8.0000		03/30/12	10/17/12	458.95	397.81			61.14
Sell	4.0000		03/30/12	10/31/12	250.43	198.90			51.53
Sell	7.0000		04/02/12	10/31/12	438.24	344.37			93.87
Sell	1.0000		04/02/12	11/28/12	67.96	49.20			18.76
Sell	4.0000		04/03/12	11/28/12	271.86	203.61			68.25
Sell	4.0000		04/03/12	12/21/12	284.87	203.61			81.26
Sell	2.0000		04/04/12	12/21/12	142.44	100.70			41.74
Sell	5.0000		04/04/12	12/28/12	335.97	251.74			84.23
Sub Total	35.0000				2,250.72	1,749.94			500.78

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CONCHO RESOURCES INC									
Symbol: CXO									
CUSIP: 20605P101									
Sell	6 0000		09/14/11	06/01/12	503.89	498.50			5.39
Sell	4 0000		10/19/11	06/04/12	335.23	345.06			(9.83)
Sell	5 0000		03/29/12	06/04/12	419.04	496.30			(77.26)
Sell	8 0000		03/29/12	06/05/12	686.86	794.08			(107.22)
Sub Total	23.0000				1,945.02	2,133.94			(188.92)
CORPORATE EXECUTIVE BOARD CO									
Symbol: CEB									
CUSIP: 21988R102									
Sell	9 0000		09/10/12	10/19/12	418.49	431.60			(13.11)
Sell	8 0000		09/10/12	10/22/12	356.37	383.64			(27.27)
Sub Total	17.0000				774.86	815.24			(40.38)
CROWN CASTLE INTL CORP									
Symbol: CCI									
CUSIP: 228227104									
Sell	5 0000		05/03/12	08/06/12	312.63	283.14			29.49
Sell	6 0000		05/03/12	08/17/12	376.04	339.76			36.28
Sell	10 0000		05/03/12	12/21/12	717.61	566.28			151.33
Sub Total	21.0000				1,406.28	1,189.18			217.10
DECKERS OUTDOOR CORP									
Symbol: DECK									
CUSIP: 243537107									
Sell	4 0000		09/15/11	04/11/12	251.62	395.30	143.68		0.00
Sell	1 0000		10/10/11	04/11/12	62.91	103.18	40.27		0.00
Sell	3 0000		10/20/11	04/11/12	188.71	300.10	111.39		0.00
Sell	2 0000		11/08/11	04/11/12	125.82	225.93	100.11		0.00
Sell	3 0000		11/10/11	04/11/12	188.71	311.97			(123.26)
Sell	3 0000		11/23/11	04/11/12	188.72	301.69			(112.97)

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
DECKERS OUTDOOR CORP									
Symbol: DECK									
CUSIP: 243537107									
Sell	4.0000		02/23/12	04/11/12	251.62	360.31			(108.69)
Sell	11.0000		02/23/12	04/12/12	694.32	990.86			(296.54)
Sell	1.0000		03/29/12	04/12/12	63.12	102.78			(39.66)
Sell	2.0000		03/29/12	04/12/12	126.24	225.13			(98.89)
Sell	3.0000		03/29/12	04/12/12	189.36	298.92			(109.56)
Sell	4.0000		03/29/12	04/12/12	252.48	393.72			(141.24)
Sub Total	41.0000				2,583.63	4,009.89	395.45		(1,030.81)
DISCOVERY COMMUNICATIONS INC									
Symbol: DISCK									
CUSIP: 25470F302									
Sell	15.0000		01/13/12	04/30/12	748.49	592.98			155.51
Sell	7.0000		01/13/12	06/11/12	335.87	276.73			59.14
Sell	8.0000		01/18/12	06/29/12	399.80	319.45			80.35
Sell	11.0000		01/18/12	07/17/12	501.98	439.24			62.74
Sell	9.0000		01/18/12	07/27/12	436.13	359.38			76.75
Sell	1.0000		01/18/12	07/30/12	48.21	39.93			8.28
Sell	13.0000		01/18/12	10/02/12	730.81	519.10			211.71
Sell	7.0000		01/18/12	10/19/12	394.61	279.52			115.09
Sub Total	71.0000				3,595.90	2,826.33			769.57
DOLLAR GEN CORP NEW									
Symbol: DG									
CUSIP: 256677105									
Sell	16.0000		09/09/11	07/05/12	848.90	556.00			292.90

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
EBAY INC Symbol EBAY CUSIP: 278642103									
Sell	8.0000		12/08/11	10/02/12	384.73	250.53			134.20
EDWARDS LIFESCIENCES CORP Symbol EW CUSIP: 28176E108									
Sell	7.0000		02/09/12	03/27/12	526.60	514.10			12.50
Sell	12.0000		02/09/12	03/27/12	892.02	881.32			10.70
Sell	7.0000		02/10/12	03/27/12	520.34	511.01			9.33
Sell	12.0000		02/10/12	03/28/12	875.96	876.01			(0.05)
Sell	4.0000		02/27/12	03/28/12	291.98	291.53			0.45
Sell	4.0000		03/14/12	03/28/12	291.99	281.66			10.33
Sub Total	46.0000				3,398.89	3,355.63			43.26
EMC CORP MASS Symbol EMC CUSIP: 268648102									
Sell	30.0000		03/29/12	05/14/12	785.68	882.90			(97.22)
ENSCO PLC CL A Symbol ESV CUSIP: G31575106									
Sell	2.0000		09/01/11	06/18/12	86.98	97.64			(10.66)
Sell	13.0000		09/06/11	06/18/12	565.36	600.47			(35.11)
Sell	3.0000		09/06/11	09/06/12	173.01	138.57			34.44
Sell	19.0000		09/07/11	09/06/12	1,095.76	922.09			173.67
Sell	6.0000		10/03/11	09/06/12	346.03	242.68			103.35
Sell	1.0000		10/03/11	09/07/12	57.45	40.45			17.00
Sell	6.0000		10/12/11	09/07/12	344.70	269.95			74.75
Sell	20.0000		03/29/12	09/07/12	1,149.01	1,045.00			104.01
Sub Total	70.0000				3,818.30	3,356.85			461.45

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Your Financial Advisor or Contact
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303-267-3000/800-288-5258

UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
EXXON MOBIL CORP									
Symbol: XOM									
CUSIP: 30231G102									
Sell	7.0000		10/03/11	05/31/12	550.47	505.10			45.37
Sell	5.0000		10/03/11	06/11/12	406.21	360.78			45.43
Sell	9.0000		10/03/11	06/12/12	728.71	649.41			79.30
Sell	7.0000		10/03/11	06/18/12	581.09	505.10			75.99
Sell	3.0000		10/03/11	06/19/12	253.26	216.47			36.79
Sell	8.0000		10/12/11	06/19/12	675.36	620.23			55.13
Sell	1.0000		10/12/11	06/22/12	82.55	77.53			5.02
Sell	8.0000		10/13/11	06/22/12	660.39	611.27			49.12
Sell	5.0000		10/19/11	06/22/12	412.74	391.73			21.01
Sell	3.0000		03/29/12	06/22/12	247.65	256.95			(9.30)
Sell	17.0000		03/29/12	06/22/12	1,404.86	1,456.05			(51.19)
Sub Total	73.0000				6,003.29	5,650.62			352.67
FACEBOOK INC CL A									
Symbol: FB									
CUSIP: 30303M102									
Sell	26.0000		05/21/12	06/25/12	842.64	899.21			(56.57)
Sell	23.0000		05/21/12	06/25/12	745.41	775.74			(30.33)
Sub Total	49.0000				1,588.05	1,674.95			(86.90)
FS NETWORKS INC									
Symbol: FFIV									
CUSIP: 315616102									
Sell	10.0000		10/05/11	09/04/12	940.21	818.82			121.39
Sell	2.0000		12/22/11	09/04/12	188.05	209.55			(21.50)
Sell	2.0000		12/23/11	09/04/12	188.04	210.31			(22.27)
Sell	9.0000		03/29/12	09/04/12	846.20	1,212.93			(366.73)
Sell	2.0000		06/11/12	09/04/12	188.04	205.66			(17.62)
Sub Total	25.0000				2,350.54	2,657.27			(306.73)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1f)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Symbol (Line 1d)	Activity type									
GARDNER DENVER INC										
Symbol GDI										
CUSIP: 365558105										
Sell		6.0000		11/22/11	03/13/12	419.17	462.87			(43.70)
Sell		10.0000		11/22/11	03/21/12	656.97	771.46			(114.49)
Sell		9.0000		11/22/11	03/22/12	568.63	694.31			(125.68)
Sell		1.0000		11/23/11	03/22/12	63.18	75.86			(12.68)
Sell		7.0000		11/23/11	04/09/12	428.63	531.05			(102.42)
Sell		16.0000		11/23/11	04/10/12	976.90	1,213.82			(236.92)
Sub Total		49.0000				3,113.48	3,749.37			(635.89)
GARTNER INC										
Symbol IT										
CUSIP: 366651107										
Sell		8.0000		03/29/12	10/19/12	365.59	341.92			23.67
Sell		8.0000		03/29/12	10/24/12	363.61	341.92			21.69
Sell		8.0000		03/29/12	11/08/12	368.85	341.92			26.93
Sell		8.0000		03/29/12	11/08/12	365.62	341.92			23.70
Sell		8.0000		03/29/12	11/09/12	362.42	341.92			20.50
Sub Total		40.0000				1,826.09	1,709.60			116.49
GILEAD SCIENCES INC										
Symbol GILD										
CUSIP: 375558103										
Sell		6.0000		12/30/11	10/23/12	389.79	244.80			144.99
Sell		6.0000		12/30/11	10/23/12	392.59	244.79			147.80
Sell		4.0000		01/03/12	11/13/12	293.38	165.67			127.71
Sub Total		16.0000				1,075.76	655.26			420.50
GNC HOLDINGS INC										
Symbol GNC										
CUSIP: 36191G107										
Sell		10.0000		06/18/12	10/26/12	382.53	344.93			37.60

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303-267-3000/800-288-5258

UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
GOOGLE INC CL A Symbol: GOOG CUSIP: 38259P508									
Sell	6.0000		05/14/12	07/11/12	3,413.87	3,617.65			(203.78)
GRAINGER W W INC Symbol: GWW CUSIP: 384802104									
Sell	1.0000		03/27/12	11/28/12	191.14	221.59			(30.45)
Sell	3.0000		03/29/12	12/12/12	581.81	649.26			(67.45)
Sell	4.0000		04/17/12	12/12/12	775.75	844.25			(68.50)
Sell	2.0000		04/27/12	12/12/12	387.87	420.24			(32.37)
Sell	2.0000		05/23/12	12/12/12	387.88	385.83			2.05
Sell	2.0000		08/17/12	12/12/12	387.87	414.94			(27.07)
Sub Total	14.0000				2,712.32	2,936.11			(223.79)
HERSHEY CO Symbol: HSY CUSIP: 427866108									
Sell	6.0000		05/29/12	11/14/12	430.41	428.74			1.67
HUMANA INC Symbol: HUM CUSIP: 444859102									
Sell	2.0000		10/31/11	01/06/12	184.91	172.17			12.74
Sell	3.0000		10/31/11	01/09/12	275.94	258.24			17.70
Sell	7.0000		10/31/11	07/31/12	448.16	602.58			(154.42)
Sell	21.0000		11/01/11	07/31/12	1,344.49	1,767.84			(423.35)
Sell	10.0000		02/14/12	07/31/12	640.23	880.20			(239.97)
Sell	3.0000		03/21/12	07/31/12	192.07	256.03			(63.96)
Sell	10.0000		03/29/12	07/31/12	640.23	929.70			(289.47)
Sub Total	56.0000				3,726.03	4,866.76			(1,140.73)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (5)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (5)	Cost or other basis (Line 3) (5)	Wash sale loss disallowed (Line 5) (5)	Federal income tax withheld (Line 4) (5)	Gain/Loss (5)
Symbol (Line 1d) CUSIP	Activity type									
KIRBY CORPORATION										
Symbol KEX										
CUSIP: 497266106										
	Sell	12 0000		01/18/12	05/14/12	731.75	819.65			(87.90)
	Sell	4 0000		01/19/12	05/21/12	220.57	277.39			(56.82)
	Sell	3 0000		01/20/12	05/21/12	165.43	209.34			(43.91)
	Sell	4 0000		01/23/12	05/21/12	220.57	278.80			(58.23)
	Sell	5 0000		01/24/12	05/21/12	275.71	343.26			(67.55)
	Sell	3 0000		01/24/12	05/23/12	165.82	205.96			(40.14)
	Sell	7 0000		01/25/12	05/23/12	386.91	473.66			(86.75)
	Sell	8 0000		01/31/12	05/23/12	442.18	534.88			(92.70)
	Sell	5 0000		02/03/12	05/23/12	276.37	313.95			(37.58)
	Sell	2 0000		02/03/12	05/24/12	112.30	125.58			(13.28)
	Sell	20 0000		03/29/12	05/24/12	1,122.99	1,319.40			(196.41)
	Sub Total	73 0000				4,120.60	4,901.87			(781.27)
LAUDER ESTEE COS CL A										
Symbol EL										
CUSIP: 518439104										
	Sell	12 0000		02/03/12	05/10/12	706.74	680.60			26.14
	Sell	18 0000		02/06/12	05/10/12	1,060.12	1,032.29			27.83
	Sell	9 0000		02/06/12	05/10/12	530.06	519.68			10.38
	Sell	9 0000		02/06/12	05/11/12	532.37	519.68			12.69
	Sell	10 0000		02/08/12	05/11/12	591.52	574.58			16.94
	Sell	20 0000		03/29/12	05/11/12	1,183.05	1,239.60			(56.55)
	Sub Total	78 0000				4,603.86	4,566.43			37.43

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
LIBERTY MEDIA CORP COM **NAME CHANGE. 01/2013** CUSIP: 530322106	5.0000		09/28/12	12/27/12	573.63	518.67			54.96
Sub Total	10.0000				1,143.92	1,038.10			105.82
LULULEMON ATHLETICA INC Symbol: LULU CUSIP: 550021109	11 0000		09/15/11	01/10/12	661.98	650.06			11.92
Sell	3 0000		09/15/11	02/01/12	194.17	177.29			16.88
Sell	6 0000		09/15/11	03/05/12	414.16	354.57			59.59
Sell	1 0000		09/15/11	03/13/12	72.50	59.10			13.40
Sell	2 0000		09/20/11	03/13/12	144.99	122.09			22.90
Sell	4 0000		09/20/11	09/13/12	309.87	244.18			65.69
Sub Total	27.0000				1,797.67	1,607.29			190.38
MASTERCARD INC CL A Symbol: MA CUSIP: 57636Q104	1 0000		01/12/12	05/22/12	415.71	344.16			71.55
Sell	4 0000		03/29/12	05/22/12	1,662.82	1,700.80			(37.98)
Sub Total	5.0000				2,078.53	2,044.96			33.57
MEAD JOHNSON NUTRITION CO COM STOCK Symbol: MJN CUSIP: 582839106	14.0000		02/01/12	08/07/12	1,023.56	1,030.07		2.79	(3.72)
Sell	13 0000		02/01/12	08/08/12	950.31	956.49			(6.18)
Sell	11 0000		02/01/12	08/15/12	799.50	809.34			(9.84)
Sell	10 0000		03/29/12	08/15/12	726.82	831.60			(104.78)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
MEAD JOHNSON NUTRITION CO COM								
STOCK								
Symbol MJN								
CUSIP 582839106								
Sell	8 0000	06/11/12	08/15/12	581.45	652.76			(71.31)
Sell	6 0000	07/19/12	08/15/12	436.09	445.59			(9.50)
Sub Total	62.0000			4,517.73	4,725.85	2.79		(205.33)
MELLANOX TECHNOLOGIES LTD ILS								
STOCK								
Symbol MLNX								
CUSIP M51363113								
Sell	4 0000	10/18/12	12/14/12	261.09	314.70			(53.61)
Sell	2 0000	10/18/12	12/14/12	130.55	156.41			(25.86)
Sell	4 0000	10/18/12	12/17/12	253.84	312.82			(58.98)
Sell	1 0000	10/19/12	12/17/12	63.46	76.94			(13.48)
Sell	8 0000	10/19/12	12/20/12	466.26	615.55			(149.29)
Sell	8 0000	10/22/12	12/21/12	490.95	613.64			(122.69)
Sell	5 0000	11/05/12	12/21/12	306.84	403.47			(96.63)
Sub Total	32.0000			1,972.99	2,493.53			(520.54)
MICROCHIP TECHNOLOGY INC								
STOCK								
Symbol MCHP								
CUSIP 595017104								
Sell	39 0000	08/22/11	02/07/12	1,457.61	1,163.09			294.52
Sell	35 0000	10/14/11	02/07/12	1,308.12	1,180.25			127.87
Sub Total	74.0000			2,765.73	2,343.34			422.39
MONSANTO CO NEW								
STOCK								
Symbol MON								
CUSIP 61166W101								
Sell	4.0000	08/03/11	07/18/12	345.80	285.69			60.11

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
MONSTER BEVERAGE CORP COM									
Symbol MNST CUSIP: 611740101									
Sell	7 0000		02/01/12	04/12/12	442.26	366.18			76 08
Sell	5 0000		02/01/12	06/21/12	377.19	261.56			115.63
Sell	14 0000		02/01/12	10/22/12	635.55	732.36			(96 81)
Sell	8 0000		02/06/12	10/22/12	363.17	422.64			(59 47)
Sell	3 0000		02/07/12	10/22/12	136.19	158.31			(22 12)
Sell	1 0000		02/07/12	10/23/12	42.85	52.77			(9 92)
Sell	6 0000		02/08/12	10/23/12	257.11	329.16			(72 05)
Sell	13 0000		03/15/12	10/23/12	557.08	773.95			(216 87)
Sell	2 0000		03/29/12	10/23/12	85.70	124.38			(38 68)
Sell	9 0000		03/29/12	10/23/12	368.49	559.71			(191 22)
Sell	9 0000		03/29/12	10/24/12	420.57	559.71			(139 14)
Sell	5 0000		08/09/12	10/24/12	233.65	315.09			(81 44)
Sell	1 0000		08/09/12	10/31/12	44.51	63.02			(18 51)
Sell	13 0000		08/09/12	10/31/12	578.67	814.08			(235 41)
Sell	3 0000		08/09/12	11/05/12	134.44	187.86			(53 42)
Sell	10 0000		08/14/12	11/05/12	448.13	574.67			(126 54)
Sub Total	109 0000				5,125.56	6,295.45			(1,169.89)
NETAPP INC									
Symbol NTAP CUSIP: 64110D104									
Sell	44 0000		04/05/12	05/10/12	1,569.46	1,938.14			(368.68)
NOVO NORDISK ADR DENMARK ADR									
Symbol NVO CUSIP: 670100205									
Sell	2 0000		04/11/12	11/15/12	305.59	288.89			16 70
Sell	2 0000		04/11/12	11/16/12	303.30	288.88			14 42

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8)									
Symbol (Line 1d)	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
NOVO NORDISK ADR DENMARK ADR									
Symbol: NVO									
CUSIP: 670100205									
Sell	1 0000		04/12/12	11/16/12	151.65	145.86			5.79
Sell	3 0000		04/12/12	11/21/12	469.30	437.56			31.74
Sell	3 0000		04/13/12	11/21/12	469.29	436.36			32.93
Sell	1 0000		04/13/12	11/21/12	155.09	145.45			9.64
Sell	2 0000		04/16/12	11/21/12	310.18	294.42			15.76
Sell	2 0000		04/16/12	11/23/12	318.15	294.41			23.74
Sell	4 0000		04/17/12	11/23/12	636.31	601.75			34.56
Sell	2 0000		04/27/12	11/23/12	318.15	289.13			29.02
Sell	2 0000		04/27/12	11/26/12	317.89	289.12			28.77
Sell	4 0000		06/05/12	11/26/12	635.78	520.13			115.65
Sub Total	28.0000				4,390.68	4,031.96			358.72
OIL STATES INTL INC									
Symbol: OIS									
CUSIP: 678026105									
Sell	7 0000		04/15/11	01/09/12	542.72	549.22			(6.50)
Sell	5 0000		04/15/11	01/12/12	389.40	392.30			(2.90)
Sell	5 0000		04/15/11	02/01/12	403.26	392.30			10.96
Sell	10 0000		03/29/12	06/05/12	622.24	773.00			(150.76)
Sub Total	27.0000				1,957.62	2,106.82			(149.20)
ORACLE CORP									
Symbol: ORCL									
CUSIP: 68389X105									
Sell	12.0000		10/05/11	01/10/12	322.90	343.88			(20.98)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Date 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
PENNEY J C CO INC (HLDG CO)									
Symbol: JCP CUSIP: 708160106									
Sell	20 0000		01/25/12	04/05/12	698.98	770.16	71.18		0.00
Sell	14 0000		01/27/12	04/05/12	489.29	564.32			(75.03)
Sell	2 0000		02/08/12	04/05/12	69.90	84.80			(14.90)
Sell	15 0000		02/08/12	04/09/12	511.76	635.97			(124.21)
Sell	20 0000		03/29/12	04/09/12	682.34	783.38			(101.04)
Sub Total	71.0000				2,452.27	2,838.63	71.18		(315.18)
PLAINS EXPLORATION & PRODUCTION									
CO									
Symbol: PXP CUSIP: 726505100									
Sell	16 0000		03/08/12	06/18/12	569.04	729.34			(160.30)
Sell	11 0000		03/09/12	11/26/12	379.19	510.44			(131.25)
Sell	4 0000		03/09/12	12/06/12	174.70	185.62			(10.92)
Sell	16 0000		03/09/12	12/06/12	698.81	733.88			(35.07)
Sell	7 0000		03/22/12	12/06/12	305.72	305.44			0.28
Sell	14 0000		03/29/12	12/06/12	611.46	586.60			24.86
Sell	6 0000		03/29/12	12/06/12	259.19	251.40			7.79
Sell	16 0000		08/17/12	12/06/12	691.18	670.81			20.37
Sell	3 0000		08/17/12	12/07/12	131.71	125.78			5.93
Sell	9 0000		09/06/12	12/07/12	395.12	361.88			33.24
Sell	10 0000		09/07/12	12/07/12	439.03	403.50			35.53
Sub Total	112.0000				4,655.15	4,864.69			(209.54)
QUIK TECHNOLOGIES INC COM									
Symbol: QUIK CUSIP: 74733T105									
Sell	12 0000		03/24/11	01/09/12	280.63	287.98			(7.35)
Sell	7 0000		03/24/11	01/11/12	166.88	167.98			(1.10)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
QLIK TECHNOLOGIES INC COM								
Symbol: QLIK CUSIP: 74733T105								
Sell	4 0000	03/28/11	01/11/12	95 36	99 21			(3.85)
Sell	30.0000	03/29/12	05/11/12	734 15	964 20			(230.05)
Sub Total	53.0000			1,277.02	1,519.37			(242.35)
QUALCOMM INC								
Symbol: QCOM CUSIP: 747525103								
Sell	9.0000	02/07/12	10/09/12	543.79	553.45			(9.66)
RALPH LAUREN CORP CL A								
Symbol: RL CUSIP: 751212101								
Sell	3 0000	01/09/12	05/15/12	457 19	439.32			17 87
Sell	3 0000	01/09/12	05/16/12	441 30	439.32			1 98
Sell	15.0000	01/09/12	05/22/12	2,231 06	2,196 60			34 46
Sell	2 0000	01/19/12	05/22/12	297 47	293 29			4 18
Sell	7.0000	03/29/12	05/22/12	1,041 16	1,217 80			(176 64)
Sub Total	30.0000			4,468.18	4,586.33			(118.15)
REALOGY HLDGS CORP								
Symbol: RLGY CUSIP: 75605Y106								
Sell	15 0000	10/11/12	12/07/12	557 40	501 48			55 92
Sell	24 0000	10/17/12	12/07/12	891 83	887 81			4 02
Sell	20 0000	10/17/12	12/07/12	743 20	753 11			(9 91)
Sub Total	59.0000			2,192.43	2,142.40			50.03

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
RED HAT INC Symbol: RHT CUSIP: 756577102									
Sell	3.0000		02/24/11	02/07/12	143.74	121.20			22.54
Sell	4.0000		02/24/11	02/08/12	191.62	161.60			30.02
Sell	16.0000		04/18/11	02/08/12	766.46	715.94			50.52
Sell	10.0000		12/22/11	02/08/12	479.04	402.23			76.81
Sell	5.0000		12/23/11	02/08/12	239.52	205.54			33.98
Sell	22.0000		06/21/12	09/11/12	1,272.97	1,180.20			92.77
Sell	11.0000		06/21/12	09/12/12	626.79	590.10			36.69
Sell	11.0000		08/06/12	09/12/12	626.79	616.63			10.16
Sub Total	82.0000				4,346.93	3,993.44			353.49
ROCKWELL AUTOMATION INC NEW Symbol: ROK CUSIP: 773903109									
Sell	8.0000		01/10/12	03/20/12	651.96	597.51			54.45
Sell	1.0000		01/10/12	07/25/12	63.10	74.69			(11.59)
Sell	31.0000		01/11/12	07/25/12	1,956.18	2,382.19			(426.01)
Sell	10.0000		03/29/12	07/25/12	631.02	791.30			(160.28)
Sub Total	50.0000				3,302.26	3,845.69			(543.43)
ROSS STORES INC Symbol: ROST CUSIP: 778296103									
Sell	50.0000		08/18/11	01/18/12	2,588.74	1,763.00			825.74
Sell	8.0000		10/06/11	01/18/12	414.20	325.36			88.84
Sub Total	58.0000				3,002.94	2,088.36			914.58
SALESFORCE COM INC Symbol: CRM CUSIP: 794661302									
Sell	3.0000		07/14/11	02/23/12	389.69	459.58			(69.89)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1c)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
SANDRIDGE ENERGY INC									
Symbol: SD CUSIP: 80007P307									
Sell	31.0000		03/30/11	01/20/12	232.49	381.61			(149.12)
Sell	12.0000		03/30/11	01/23/12	95.24	147.72			(52.48)
Sell	27.0000		04/07/11	01/23/12	214.29	341.15			(126.86)
Sell	20.0000		04/11/11	01/23/12	158.73	244.10			(85.37)
Sell	18.0000		04/14/11	01/23/12	142.86	203.20			(60.34)
Sell	11.0000		05/03/11	01/23/12	87.30	131.03			(43.73)
Sell	55.0000		05/03/11	01/27/12	447.70	655.13			(207.43)
Sell	67.0000		05/06/11	01/27/12	545.37	704.00			(158.63)
Sell	52.0000		05/26/11	01/27/12	423.28	605.63			(182.35)
Sub Total	293.0000				2,347.26	3,413.57			(1,066.31)
SBA COMMUNICATIONS CORP CL A									
Symbol: SBAC CUSIP: 78388J106									
Sell	15.0000		02/27/12	05/21/12	757.37	705.52			51.85
Sell	9.0000		02/27/12	06/29/12	510.16	423.31			86.85
Sell	7.0000		02/27/12	08/06/12	416.03	329.24			86.79
Sub Total	31.0000				1,683.56	1,458.07			225.49
SCHLUMBERGER LTD NETHERLANDS									
Symbol: SLB CUSIP: 806857108									
Sell	6.0000		10/19/11	03/14/12	442.79	423.16			19.63
Sell	14.0000		10/19/11	05/14/12	943.36	987.38			(44.02)
Sub Total	20.0000				1,386.15	1,410.54			(24.39)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
SHIRE PLC SPON ADR Symbol SHPG CUSIP 82481R106									
Sell	5 0000		11/21/11	06/05/12	417.89	472.66			(54.77)
Sell	1.0000		11/23/11	06/05/12	83.58	94.45			(10.87)
Sell	4 0000		11/23/11	06/20/12	365.95	377.79			(11.84)
Sell	2.0000		11/25/11	06/20/12	182.97	185.16			(2.19)
Sell	3 0000		11/25/11	07/25/12	262.31	277.75			(15.44)
Sell	3 0000		12/13/11	07/25/12	262.31	298.32			(36.01)
Sell	5 0000		12/13/11	07/27/12	450.98	497.20			(46.22)
Sell	4 0000		12/13/11	07/31/12	345.47	397.76			(52.29)
Sell	2.0000		01/06/12	07/31/12	172.74	198.00			(25.26)
Sell	3.0000		01/10/12	10/08/12	263.10	304.40			(41.30)
Sell	4 0000		01/11/12	10/08/12	350.79	402.52			(51.73)
Sell	1 0000		01/12/12	10/08/12	87.70	100.40			(12.70)
Sell	2.0000		01/12/12	10/09/12	174.19	200.80			(26.61)
Sell	3 0000		03/20/12	10/09/12	261.28	307.14			(45.86)
Sell	9 0000		03/29/12	10/10/12	782.59	914.72			(132.13)
Sell	4 0000		03/29/12	10/11/12	348.84	406.54			(57.70)
Sell	1 0000		03/29/12	10/11/12	87.48	101.64			(14.16)
Sell	4 0000		04/10/12	10/11/12	349.91	383.10			(33.19)
Sub Total	60.0000				5,250.08	5,920.35			(670.27)
SHUTTERFLY INC Symbol SFLY CUSIP: 82568P304									
Sell	23 0000		09/15/11	03/02/12	735.24	1,241.08			(505.84)
Sell	5 0000		10/05/11	03/02/12	159.84	192.46			(32.62)
Sell	2 0000		10/31/11	03/02/12	63.93	84.99			(21.06)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
SHUTTERFLY INC									
Symbol: SFLY									
CUSIP: 82568P304									
Sell	10 0000		10/31/11	03/06/12	292 08	424.97			(132.89)
Sell	11 0000		11/10/11	03/06/12	321 28	424 25			(102 97)
Sub Total	51.0000				1,572.37	2,367.75			(795.38)
STARBUCKS CORP									
Symbol: SBUX									
CUSIP: 855244109									
Sell	7.0000		03/10/11	01/04/12	324.59	260.47			64.12
STRATASYS INC *MERGER EFF: 12/12*									
CUSIP: 862685104									
Exchange	17 0000		09/24/12	12/03/12	1,241 17	961.91			279 26
Exchange	19 0000		10/02/12	12/03/12	1,387 19	1,041 20			345 99
Sub Total	36.0000				2,628.36	2,003.11			625.25
TD AMERITRADE HOLDING CORP									
Symbol: AMTD									
CUSIP: 87236Y108									
Sell	13.0000		02/24/11	01/24/12	223 36	273 77			(50 41)
Sell	13 0000		02/25/11	01/24/12	223 36	279 24			(55 88)
Sell	13.0000		02/25/11	01/25/12	222 97	280.42			(57 45)
Sell	4 0000		02/28/11	01/25/12	68 60	87.19			(18 59)
Sell	9 0000		02/28/11	02/01/12	147 38	196 18			(48 80)
Sell	12.0000		03/01/11	02/01/12	196 52	262 89			(66 37)
Sell	13 0000		03/02/11	02/01/12	212 89	279 58			(66 69)
Sell	7.0000		03/03/11	02/01/12	114 63	154.13			(39 50)
Sell	6 0000		03/03/11	02/07/12	103 89	132 12			(28 23)
Sell	11 0000		03/04/11	02/07/12	190 47	248 00			(57 53)
Sell	12.0000		03/07/11	02/07/12	207 78	273.60			(65 82)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$) (Line 2a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
TD AMERITRADE HOLDING CORP									
Symbol: AMTD									
CUSIP: 87236Y108									
Sell	18 0000	03/08/11	02/07/12		311.67	405 17			(93 50)
Sell	35 0000	03/31/11	02/07/12		606 03	729 72			(123 69)
Sell	13 0000	06/21/11	02/07/12		225 10	244 62			(19 52)
Sub Total	179.0000				3,054.65	3,846.63			(791.98)
TEMPUR-PEDIC INTL INC									
Symbol: TPX									
CUSIP: 88023U101									
Sell	6 0000	04/18/11	01/25/12		409 09	345 43			63 66
Sell	1 0000	04/20/11	01/25/12		68 18	59 91			8 27
Sell	9 0000	04/20/11	02/01/12		610 87	539 18			71 69
Sell	10 0000	04/26/11	02/01/12		678 75	618 24			60 51
Sell	10 0000	04/27/11	02/01/12		678 74	613 90			64 84
Sell	11 0000	04/28/11	02/01/12		746 62	686 19			60 43
Sub Total	47.0000				3,192.25	2,862.85			329.40
TERADATA CORP NEW (DELA)									
Symbol: TDC									
CUSIP: 88076W103									
Sell	11.0000	05/10/12	09/13/12		827.12	802.51			24 61
Sell	15 0000	05/10/12	12/19/12		939 06	1,094.32			(155 26)
Sell	11 0000	05/11/12	12/19/12		688 64	789 69			(101 05)
Sub Total	37.0000				2,454.82	2,686.52			(231.70)
TESLA MTRS INC COM									
Symbol: TSLA									
CUSIP: 88160R101									
Sell	14.0000	07/02/12	12/31/12		466.01	433.47			32.54

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Symbol (Line 1d) CUSIP	Activity type									
THE DIRECTV GROUP **RECLASSIFICATION EFF-08/2012** CL A CUSIP: 25490A101										
	Sell	27 0000		08/04/11	01/18/12	1,141.32	1,265.13			(123.81)
	Sell	4 0000		08/05/11	01/18/12	169.09	182.92			(13.83)
	Sell	20 0000		08/05/11	01/19/12	850.22	914.60			(64.38)
	Sell	6 0000		08/05/11	01/19/12	255.83	274.38			(18.55)
	Sell	15 0000		11/08/11	01/19/12	639.58	698.24			(58.66)
	Sub Total	72.0000				3,056.04	3,335.27			(279.23)
TIBCO SOFTWARE INC Symbol TIBX CUSIP 88632Q103										
	Sell	3 0000		03/29/12	10/18/12	81.52	96.72			(15.20)
	Sell	12 0000		03/29/12	10/19/12	318.25	386.88			(68.63)
	Sell	25 0000		03/29/12	11/05/12	640.77	806.00			(165.23)
	Sell	17 0000		09/06/12	11/05/12	435.73	547.83			(112.10)
	Sell	19 0000		09/07/12	11/05/12	486.99	611.67			(124.68)
	Sub Total	76.0000				1,963.26	2,449.10			(485.84)
TUMI HLDGS INC Symbol TUMI CUSIP 89969Q104										
	Sell	42.0000		04/19/12	05/10/12	917.67	1,092.00			(174.33)
ULTA SALON COSMETICS & FRAGRANCE INC Symbol ULTA CUSIP 90384S303										
	Sell	12.0000		05/18/12	06/29/12	1,106.62	1,019.14			87.48
	Sell	6 0000		05/18/12	07/05/12	566.38	509.58			56.80

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ULTA SALON COSMETICS & FRAGRANCE INC Symbol: ULTA CUSIP: 903845303									
Sell	6 0000		05/18/12	07/23/12	506 87	509 57			(2 70)
Sell	6 0000		05/18/12	08/27/12	562 81	509 57			53 24
Sub Total	30.0000				2,742.68	2,547.86			194.82
UNDER ARMOUR INC CL A Symbol: UA CUSIP: 904311107									
Sell	25.0000		06/29/12	07/26/12	1,383.96	1,170.56			213.40
UNITEDHEALTH GROUP INC Symbol: UNH CUSIP: 91324P102									
Sell	17 0000		11/30/11	03/21/12	920 65	814 25			106.40
Sell	10 0000		11/30/11	03/22/12	539 99	478 97			61 02
Sell	8.0000		12/01/11	03/22/12	431 99	389 18			42 81
Sell	18.0000		12/01/11	03/23/12	967 48	875 64			91 84
Sub Total	53.0000				2,860.11	2,558.04			302.07
VISA INC CL A Symbol: V CUSIP: 92826C839									
Sell	5 0000		05/31/11	01/12/12	507.64	404 98			102.66
Sell	6 0000		05/31/11	02/16/12	683 14	485 98			197 16
Sub Total	11.0000				1,190.78	890.96			299.82
WEIGHT WATCHERS INTL INC NEW Symbol: WTW CUSIP: 948626106									
Sell	5 0000		11/10/11	02/02/12	361 19	331.49			29 70
Sell	15.0000		11/10/11	02/15/12	1,154 98	994 46			160.52

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
WEIGHT WATCHERS INTL INC NEW									
Symbol: WTW									
CUSIP: 948626106									
Sell	6 0000		11/23/11	02/15/12	461.99	348.61			113.38
Sell	15 0000		11/23/11	02/15/12	1,145.83	871.53			274.30
Sell	5 0000		12/20/11	02/15/12	381.94	287.61			94.33
Sub Total	46.0000				3,505.93	2,833.70			672.23
WESCO INTL INC									
Symbol: WCC									
CUSIP: 95082P105									
Sell	20.0000		03/29/12	10/16/12	1,126.18	1,298.20			(172.02)
WHOLE FOODS MARKET INC									
Symbol: WFM									
CUSIP: 966837106									
Sell	5.0000		11/17/11	02/10/12	407.28	328.14			79.14
WILLIAMS COS INC (DEL)									
Symbol: WMB									
CUSIP: 969457100									
Sell	12 0000		04/18/12	08/17/12	385.69	380.89			4.80
Sell	11 0000		04/18/12	10/10/12	392.07	349.14			42.93
Sell	10.0000		04/18/12	10/15/12	356.02	317.41			38.61
Sub Total	33.0000				1,133.78	1,047.44			86.34
WILLIAMS SONOMA INC									
Symbol: WSM									
CUSIP: 969904101									
Sell	1 0000		02/10/11	01/18/12	34.78	37.14			(2.36)
Sell	7 0000		02/11/11	01/18/12	243.46	262.17			(18.71)
Sell	12 0000		02/14/11	01/18/12	417.36	456.60			(39.24)
Sell	3 0000		02/14/11	01/19/12	104.97	114.15			(9.18)
Sell	8 0000		02/16/11	01/19/12	279.92	305.28			(25.36)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
WILLIAMS SONOMA INC Symbol: WSM CUSIP: 969904101									
Sell	5.0000	02/17/11	01/19/12		174.95	190.64			(15.69)
Sell	3.0000	02/17/11	01/26/12		103.45	114.39			(10.94)
Sell	6.0000	02/18/11	01/26/12		206.89	230.70			(23.81)
Sell	23.0000	02/22/11	01/26/12		793.09	874.92			(81.83)
Sell	6.0000	11/23/11	01/26/12		206.89	213.21			(6.32)
Sub Total	74.0000				2,565.76	2,799.20			(233.44)

Short-term total

Box A, Part I 4,521.0000 \$218,322.64 \$228,267.01 \$489.79 \$(9,454.58)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
AIRGAS INC Symbol: ARG CUSIP: 009363102									
Sell	2.0000	03/23/11	08/30/12		162.32	126.42			35.90
Sell	6.0000	03/23/11	08/31/12		493.93	379.24			114.69
Sell	3.0000	03/23/11	09/24/12		251.03	189.62			61.41
Sell	1.0000	03/28/11	09/24/12		83.68	66.50			17.18
Sell	8.0000	03/28/11	11/12/12		699.05	531.99			167.06
Sub Total	20.0000				1,690.01	1,293.77			396.24

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8)		Quantity / face value (Line 1c)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Symbol (Line 1d) CUSIP	Security type									
ALERE INC COM										
Symbol: ALR		8.0000		01/12/11	05/14/12	150.73	306.80			(156.07)
		10.0000		01/12/11	05/15/12	182.82	383.51			(200.69)
Sub Total		18.0000				333.55	690.31			(356.76)
ARM HOLDINGS PLC SPON ADR										
Symbol: ARMH										
CUSIP: 042068106										
Sell		25.0000		06/03/11	09/11/12	661.77	703.97			(42.20)
Sell		39.0000		06/06/11	09/11/12	1,032.37	1,112.60			(80.23)
Sell		11.0000		06/15/11	09/11/12	291.18	306.14			(14.96)
Sell		24.0000		06/15/11	09/12/12	637.63	667.95			(30.32)
Sub Total		99.0000				2,622.95	2,790.66			(167.71)
ATMEL CORP										
Symbol: ATML										
CUSIP: 049513104										
Sell		12.0000		01/03/11	02/07/12	120.40	151.32			(30.92)
Sell		116.0000		01/04/11	02/07/12	1,163.87	1,461.97			(298.10)
Sub Total		128.0000				1,284.27	1,613.29			(329.02)
BIOMARIN PHARMACEUTICAL INC										
Symbol: BMRN										
CUSIP: 09061G101										
Sell		26.0000		09/29/11	10/02/12	1,060.07	801.78			258.29
BORG WARNER INC										
Symbol: BWA										
CUSIP: 099724106										
Sell		10.0000		02/07/11	07/03/12	655.81	704.71			(48.90)
Sell		4.0000		06/16/11	07/05/12	266.77	282.78			(16.01)
Sub Total		14.0000				922.58	987.49			(64.91)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CBS CORP NEW CL B Symbol CBS CUSIP 124857202									
Sell	8.0000		05/12/11	05/14/12	255.70	212.77			42.93
Sell	12.0000		05/12/11	06/29/12	387.99	319.17			68.82
Sell	16.0000		05/13/11	10/04/12	573.92	423.11			150.81
Sell	12.0000		05/13/11	11/26/12	424.23	317.32			106.91
Sub Total	48.0000				1,641.84	1,272.37			369.47
CHART INDUSTRIES INC Symbol GTLS CUSIP: 16115Q308									
Sell	7.0000		09/15/11	11/14/12	412.43	370.04			42.39
Sell	7.0000		09/15/11	11/15/12	404.36	370.04			34.32
Sell	5.0000		09/15/11	11/16/12	284.90	264.31			20.59
Sell	7.0000		10/27/11	11/16/12	398.87	394.42			4.45
Sell	5.0000		10/27/11	11/26/12	294.23	281.72			12.51
Sell	1.0000		11/14/11	11/26/12	58.85	59.06			(0.21)
Sell	5.0000		11/14/11	11/28/12	296.58	295.28			1.30
Sub Total	37.0000				2,150.22	2,034.87			115.35
CITRIX SYSTEMS INC Symbol CTXS CUSIP: 177376100									
Sell	6.0000		02/14/11	10/17/12	400.20	436.43			(36.23)
Sell	3.0000		02/14/11	10/18/12	193.15	218.21			(25.06)
Sell	2.0000		05/27/11	10/18/12	128.76	174.52			(45.76)
Sell	8.0000		07/13/11	10/18/12	515.06	612.54			(97.48)
Sub Total	19.0000				1,237.17	1,441.70			(204.53)

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Account Number 8R 08817 75
Your Financial Advisor or Contact
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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
COGNIZANT TECH SOLUTIONS CRP									
Symbol: CTSB									
CUSIP: 192446102									
Sell	27.0000		06/23/11	07/30/12	1,567.10	1,925.52			(358.42)
Sell	4.0000		06/23/11	07/30/12	232.16	284.11			(51.95)
Sell	5.0000		06/23/11	07/31/12	284.23	355.13			(70.90)
Sell	11.0000		07/01/11	07/31/12	625.31	820.79			(195.48)
Sell	2.0000		07/01/11	07/31/12	114.27	149.23			(34.96)
Sell	10.0000		07/08/11	07/31/12	571.33	757.09			(185.76)
Sub Total	59.0000				3,394.40	4,291.87			(897.47)
CONCHO RESOURCES INC									
Symbol: CXO									
CUSIP: 20605P101									
Sell	5.0000		05/06/11	06/01/12	419.90	481.03			(61.13)
F5 NETWORKS INC									
Symbol: FFIV									
CUSIP: 315616102									
Sell	2.0000		07/19/11	08/23/12	198.30	230.56			(32.26)
Sell	6.0000		07/20/11	08/23/12	594.90	668.93			(74.03)
Sell	4.0000		07/20/11	09/04/12	376.09	445.95			(69.86)
Sub Total	12.0000				1,169.29	1,345.44			(176.15)
GARTNER INC									
Symbol: IT									
CUSIP: 366651107									
Sell	5.0000		05/10/11	10/11/12	234.14	193.83			40.31
Sell	8.0000		05/10/11	10/17/12	376.10	310.12			65.98
Sell	1.0000		05/10/11	10/19/12	45.70	38.77			6.93
Sub Total	14.0000				655.94	542.72			113.22

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1f)	Date acquired (Line 1b)	Date / sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
GRAINGER W W INC Symbol: GWW CUSIP 384802104									
Sell	6 0000		10/18/11	11/26/12	1,142.43	983.55			158.88
Sell	2 0000		10/18/11	11/28/12	382.28	327.85			54.43
Sub Total	8.0000				1,524.71	1,311.40			213.31
HERTZ GLOBAL HOLDINGS INC Symbol: HTZ CUSIP 42805T105									
Sell	21.0000		01/05/11	01/18/12	270.71	308.77			(38.06)
Sell	29 0000		01/06/11	01/18/12	373.83	429.69			(55.86)
Sell	10.0000		01/06/11	01/27/12	138.21	148.17			(9.96)
Sell	44 0000		01/13/11	01/27/12	608.11	603.24			4.87
Sell	9.0000		01/13/11	05/08/12	134.21	123.39			10.82
Sell	11 0000		02/02/11	05/08/12	164.04	166.89	2.85		0.00
Sub Total	124.0000				1,689.11	1,780.15	2.85		(88.19)
MASTERCARD INC CL A Symbol: MA CUSIP 57636Q104									
Sell	1 0000		04/06/11	05/21/12	406.77	264.97			141.80
Sell	6.0000		04/08/11	05/21/12	2,440.62	1,593.91			846.71
Sell	3 0000		04/08/11	05/22/12	1,247.12	796.96			450.16
Sub Total	10.0000				4,094.51	2,655.84			1,438.67
OIL STATES INTL INC Symbol: OIS CUSIP 678026105									
Sell	1 0000		04/15/11	05/14/12	72.66	78.46			(5.80)
Sell	18 0000		04/15/11	06/01/12	1,127.05	1,412.29			(285.24)
Sell	1 0000		04/15/11	06/04/12	62.30	78.46			(16.16)
Sell	4 0000		04/27/11	06/04/12	249.19	310.68			(61.49)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
OIL STATES INTL INC									
Symbol OIS									
CUSIP 678026105									
Sell	7 0000		04/29/11	06/04/12	436 09	576 62			(140 53)
Sell	4 0000		05/03/11	06/04/12	249 19	318 51			(69 32)
Sell	1 0000		05/03/11	06/05/12	62.22	79 63			(17 41)
Sell	6 0000		05/06/11	06/05/12	373.35	462 08			(88 73)
Sub Total	42.0000				2,632.05	3,316.73			(684.68)
QUIK TECHNOLOGIES INC COM									
Symbol QLIK									
CUSIP: 74733T105									
Sell	14 0000		03/28/11	04/05/12	438.00	347 24			90 76
Sell	4 0000		03/28/11	04/09/12	122 26	99 21			23 05
Sell	22 0000		03/29/11	04/09/12	672.40	548 85			123 55
Sell	12 0000		04/04/11	04/09/12	366 77	326 91			39 86
Sell	19 0000		04/04/11	05/10/12	479 73	517 61			(37 88)
Sell	3 0000		04/13/11	05/10/12	75 75	80 43			(4 68)
Sell	12 0000		04/13/11	05/11/12	293 66	321.71			(28 05)
Sub Total	86.0000				2,448.57	2,241.96			206.61
RED HAT INC									
Symbol RHT									
CUSIP 756577102									
Sell	16.0000		01/28/11	02/07/12	766.61	653.92			112.69
SALESFORCE COM INC									
Symbol CRM									
CUSIP: 79466L302									
Sell	5.0000		01/03/11	02/23/12	649.49	689.53			(40.04)

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b) (Date 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
STARBUCKS CORP Symbol: SBUX CUSIP: 855244109									
Sell	11 0000		03/11/11	04/12/12	662 21	403 31			258.90
Sell	9 0000		03/11/11	10/19/12	410 79	329 97			80.82
Sub Total	20.0000				1,073.00	733.28			339.72
TIBCO SOFTWARE INC Symbol: TIBX CUSIP: 88632Q103									
Sell	1 0000		06/20/11	10/18/12	27 17	24 63			2.54
Sell	13 0000		06/21/11	10/18/12	353.24	336 68			16.56
Sell	10 0000		07/07/11	10/18/12	271 72	310.07			(38.35)
Sub Total	24.0000				652.13	671.38			(19.25)
TRANSDIGM GROUP INC Symbol: TDG CUSIP: 893641100									
Sell	4 0000		01/04/11	11/12/12	542 01	296 34			245.67
Sell	1 0000		01/04/11	11/16/12	125 42	74.09			51.33
Sell	2 0000		01/05/11	11/16/12	250 85	148.84			102.01
Sub Total	7.0000				918.28	519.27			399.01
VISA INC CL A Symbol: V CUSIP: 92826C839									
Sell	3.0000		05/31/11	11/14/12	424.80	242.99			181.81
WESCO INTL INC Symbol: WCC CUSIP: 95082P105									
Sell	4 0000		04/14/11	10/16/12	225.23	236 28			(11.05)
Sell	10.0000		05/26/11	10/16/12	563 10	535 56			27.54
Sub Total	14.0000				788.33	771.84			16.49
Long-term total Box A, Part II	858.0000				\$36,243.78	\$35,175.59	\$2.85		\$1,071.04

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box B** checked.

In the sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
Symbol (Line 1d) CUSIP	Activity type									
ALERE INC COM										
Symbol ALR										
CUSIP 014491105										
Sell		7 0000		12/17/10	04/10/12	161 13	245 18			(84 05)
Sell		5 0000		12/20/10	04/10/12	115 10	174 34			(59 24)
Sell		3 0000		12/20/10	05/02/12	67 56	104 60			(37 04)
Sell		8 0000		12/21/10	05/02/12	180 17	282 94			(102 77)
Sell		6 0000		12/22/10	05/02/12	135 13	215 27			(80 14)
Sell		1 0000		12/22/10	05/08/12	21 67	35 88			(14 21)
Sell		7 0000		12/23/10	05/08/12	151 71	252 26			(100 55)
Sell		2 0000		12/27/10	05/08/12	43 35	71 99			(28 64)
Sell		5 0000		12/27/10	05/10/12	110 94	179 96			(69 02)
Sell		4 0000		12/28/10	05/10/12	88 76	144 23			(55 47)
Sell		3 0000		12/28/10	05/14/12	56 52	108 17			(51 65)
Sell		8 0000		12/29/10	05/14/12	150 73	289 48			(138 75)
Sell		7 0000		12/30/10	05/14/12	131 89	255 82			(123 93)
Sell		10 0000		12/31/10	05/14/12	188 40	367 10			(178 70)
Sub Total		76 0000				1 603 06	2 727 22			(1 124 16)
ALEXION PHARMACEUTICALS INC										
Symbol ALXN										
CUSIP 015351109										
Sell		11 0000		07/01/10	01/12/12	814 27	273 64			540 63
Sell		6 0000		07/01/10	02/06/12	479 16	149 26			329 90
Sell		3 0000		07/01/10	03/05/12	254 18	74 63			179 55
Sell		5 0000		07/01/10	04/02/12	463 97	124 38			339 59
Sell		4 0000		07/01/10	04/10/12	367 60	99 51			268 09
Sell		4 0000		07/01/10	06/20/12	391 46	99 51			291 95
Sell		4 0000		07/01/10	08/06/12	417 85	99 50			318 35

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Account Number 8R 08817 75
Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184100069602-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ALEXION PHARMACEUTICALS INC								
Symbol: ALXN CUSIP: 015351109								
Sell	3 0000	07/01/10	08/17/12	305.31	74.63			230.68
Sell	4 0000	07/01/10	09/18/12	448.68	99.51			349.17
Sell	4 0000	07/01/10	10/02/12	463.13	99.50			363.63
Sub Total	48.0000			4,405.61	1,194.07			3,211.54
AMAZON COM INC								
Symbol: AMZN CUSIP: 023135106								
Sell	4.0000	07/27/10	03/27/12	822.28	467.00			355.28
Sell	3 0000	07/27/10	04/10/12	578.03	350.25			227.78
Sell	5 0000	07/27/10	04/25/12	967.61	583.75			383.86
Sell	3 0000	07/27/10	05/18/12	641.37	350.25			291.12
Sell	1 0000	08/11/10	05/18/12	213.79	126.66			87.13
Sell	8 0000	08/24/10	05/21/12	1,745.36	993.66			751.70
Sell	1 0000	09/24/10	05/21/12	218.17	157.85			60.32
Sub Total	25.0000			5,186.61	3,029.42			2,157.19
AMERICAN TOWER CORP REIT								
Symbol: AMT CUSIP: 03027X100								
Sell	12 0000	07/01/10	01/06/12	723.34	525.31			198.03
Sell	11.0000	07/01/10	01/09/12	663.94	481.54			182.40
Sell	10 0000	07/01/10	01/18/12	620.48	437.76			182.72
Sell	25 0000	07/01/10	02/27/12	1,575.05	1,094.40			480.65
Sell	5.0000	09/24/10	02/27/12	315.01	256.28			58.73
Sub Total	63.0000			3,897.82	2,795.29			1,102.53

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
APPLE INC									
Symbol AAPL CUSIP 037833100									
Sell	1.0000		07/01/10	02/14/12	502.62	246.88			255.74
Sell	2.0000		07/01/10	02/16/12	989.53	493.76			495.77
Sell	1.0000		07/01/10	03/16/12	584.90	246.89			338.01
Sell	1.0000		07/01/10	04/05/12	633.69	246.88			386.81
Sell	2.0000		07/01/10	04/12/12	1,256.72	493.76			762.96
Sell	2.0000		07/01/10	10/03/12	1,331.53	493.77			837.76
Sell	3.0000		07/01/10	10/05/12	1,993.03	740.64			1,252.39
Sell	1.0000		07/01/10	12/28/12	511.29	246.89			264.40
Sub Total	13.0000				7,803.31	3,209.47			4,593.84
BORG WARNER INC									
Symbol BWA CUSIP 099724106									
Sell	11.0000		07/01/10	05/14/12	840.63	416.71			423.92
Sell	4.0000		07/30/10	05/14/12	305.69	175.88			129.81
Sell	5.0000		07/30/10	06/06/12	335.87	219.85			116.02
Sell	14.0000		07/30/10	07/02/12	906.11	615.58			290.53
Sell	1.0000		09/24/10	07/02/12	64.72	48.71			16.01
Sell	4.0000		09/24/10	07/03/12	262.33	194.86			67.47
Sub Total	39.0000				2,715.35	1,671.59			1,043.76
BRUKER CORP									
Symbol BRKR CUSIP 116794108									
Sell	42.0000		07/01/10	04/25/12	612.85	491.67			121.18
Sell	24.0000		07/01/10	05/14/12	364.44	280.95			83.49
Sell	10.0000		09/24/10	05/14/12	151.85	139.70			12.15
Sub Total	76.0000				1,129.14	912.32			216.82

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Account Number 88 08817 75
Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
CERNER CORP									
Symbol: CERN									
CUSIP: 156782104									
Sell	5.0000		10/01/10	03/05/12	376.05	210.95			165.10
Sell	1.0000		10/04/10	03/05/12	75.21	42.22			32.99
Sell	8.0000		10/04/10	08/06/12	587.98	337.75			250.23
Sell	5.0000		10/04/10	10/03/12	398.10	211.10			187.00
Sell	5.0000		10/05/10	10/03/12	398.10	214.15			183.95
Sell	6.0000		10/05/10	11/26/12	461.46	256.98			204.48
Sub Total	30.0000				2,296.90	1,273.15			1,023.75
CITRIX SYSTEMS INC									
Symbol: CTXS									
CUSIP: 177376100									
Sell	2.0000		08/24/10	03/20/12	154.07	116.94			37.13
Sell	2.0000		09/08/10	03/20/12	154.06	126.47			27.59
Sell	6.0000		09/08/10	06/29/12	494.72	379.40			115.32
Sell	3.0000		09/09/10	06/29/12	247.36	189.58			57.78
Sell	4.0000		09/09/10	10/17/12	266.80	252.78			14.02
Sell	5.0000		09/24/10	10/17/12	333.51	351.70			(18.19)
Sub Total	22.0000				1,650.52	1,416.87			233.65
CONCHO RESOURCES INC									
Symbol: CXO									
CUSIP: 20605P101									
Sell	6.0000		07/01/10	04/30/12	635.76	328.98			306.78
Sell	5.0000		07/01/10	05/10/12	455.48	274.15			181.33
Sell	11.0000		07/01/10	05/14/12	989.28	603.13			386.15
Sell	4.0000		07/01/10	06/01/12	335.93	219.32			116.61
Sub Total	26.0000				2,416.45	1,425.58			990.87

continued next page



Account Number 8R 08817 75
Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184100069605-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 8a)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$)	Date of sale / exchange		Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
Symbol (Line 1d)	CUSIP			Date acquired (Line 1b)	Date / (Line 1a)					
CUMMINS INC										
Symbol CMI										
CUSIP: 231021106										
Sell		4 0000		07/12/10	05/14/12	418 24	281 71			136 53
Sell		1 0000		07/13/10	05/14/12	104 56	72 48			32 08
Sub Total		5,0000				522.80	354.19			168.61
EMC CORP MASS										
Symbol EMC										
CUSIP: 268648102										
Sell		12 0000		06/30/10	03/15/12	347 99	223.44			124 55
Sell		20,0000		07/01/10	03/15/12	579 99	362 00			217.99
Sell		33 0000		07/01/10	03/16/12	956 98	597.30			359 68
Sell		34 0000		07/01/10	03/19/12	985 98	615 40			370 58
Sell		52 0000		07/01/10	05/14/12	1,359 10	941 20			417 90
Sell		11 0000		07/01/10	05/14/12	288 08	199 10			88 98
Sell		10 0000		09/24/10	05/14/12	261 90	214 58			47 32
Sub Total		172,0000				4,780.02	3,153.02			1,627.00
GARTNER INC										
Symbol IT										
CUSIP: 366651107										
Sell		14 0000		07/01/10	04/05/12	605 78	325 16			280 62
Sell		9 0000		07/01/10	06/29/12	387 62	209 04			178 58
Sell		12,0000		07/01/10	07/17/12	548.31	278 71			269 60
Sell		9 0000		07/01/10	08/02/12	397 50	209 03			188 47
Sell		27 0000		07/01/10	09/13/12	1,336 69	627 11			709 58
Sell		8 0000		07/01/10	09/19/12	390 96	185 80			205 16
Sell		9,0000		07/01/10	10/04/12	413 63	209 04			204 59
Sell		7 0000		07/01/10	10/10/12	327 20	162 58			164.62

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Account Number 8R 0881775
Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184100069606-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS, Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
GARTNER INC									
Symbol: IT									
CUSIP: 366651107									
Sell	2 0000		09/24/10	10/10/12	93.48	56.79			36.69
Sell	3 0000		09/24/10	10/11/12	140.48	85.18			55.30
Sub Total	100.0000				4,641.65	2,348.44			2,293.21
ORACLE CORP									
Symbol: ORCL									
CUSIP: 68389X105									
Sell	37.0000		09/16/10	01/10/12	995.59	943.61			51.98
Sell	76.0000		09/17/10	01/10/12	2,045.01	2,050.61			(5.60)
Sell	17.0000		10/25/10	01/10/12	457.43	494.51			(37.08)
Sub Total	130.0000				3,498.03	3,488.73			9.30
PIONEER NAT RES CO									
Symbol: PXD									
CUSIP: 723787107									
Sell	3 0000		11/09/10	02/01/12	296.92	232.64			64.28
Sell	3 0000		11/09/10	02/07/12	312.93	232.64			80.29
Sell	2.0000		11/09/10	02/16/12	228.78	155.09			73.69
Sell	3.0000		11/09/10	06/18/12	262.18	232.64			29.54
Sell	3 0000		11/09/10	08/17/12	298.81	232.64			66.17
Sell	1 0000		11/11/10	08/17/12	99.60	77.61			21.99
Sub Total	15.0000				1,499.22	1,163.26			335.96
PRECISION CASTPARTS CORP									
Symbol: PCP									
CUSIP: 740189105									
Sell	3.0000		07/01/10	01/12/12	516.83	305.67			211.16

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184100069607-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8)		Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Symbol (Line 1d)	Activity type									
PRICELINE.COM INC NEW										
Symbol: PCLN										
CUSIP: 741503403										
Sell		1.0000		07/01/10	03/21/12	707.36	180.22			527.14
Sell		1.0000		07/01/10	04/12/12	740.04	180.22			559.82
Sell		1.0000		07/01/10	04/16/12	703.52	180.22			523.30
Sell		1.0000		07/01/10	09/28/12	619.00	180.22			438.78
Sell		2.0000		07/01/10	10/18/12	1,167.02	360.44			806.58
Sell		1.0000		07/01/10	10/19/12	559.51	180.22			379.29
Sell		3.0000		07/01/10	10/31/12	1,726.27	540.66			1,185.61
Sub Total		10.0000				6,222.72	1,802.20			4,420.52
RED HAT INC										
Symbol: RHT										
CUSIP: 756577102										
Sell		9.0000		08/04/10	02/01/12	424.34	304.36			119.98
Sell		1.0000		08/04/10	02/07/12	47.91	33.82			14.09
Sell		13.0000		08/05/10	02/07/12	622.87	430.89			191.98
Sub Total		23.0000				1,095.12	769.07			326.05
SALESFORCE.COM INC										
Symbol: CRM										
CUSIP: 79466L302										
Sell		10.0000		07/01/10	02/23/12	1,316.09	851.00			465.09
Sell		3.0000		07/01/10	02/23/12	389.69	255.30			134.39
Sub Total		13.0000				1,705.78	1,106.30			599.48
TIBCO SOFTWARE INC										
Symbol: TIBX										
CUSIP: 88632Q103										
Sell		17.0000		09/23/10	01/27/12	440.79	278.76			162.03
Sell		5.0000		09/23/10	01/27/12	129.65	81.74			47.91
Sell		32.0000		09/23/10	09/20/12	969.74	523.16			446.58

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Account Number 8R 0881775
Your Financial Advisor or Contact
DWIGHT WEST
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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184100069608-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (5)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2b) (5)	Cost or other basis (Line 3) (5)	Wash sale loss disallowed (Line 5) (5)	Federal income tax withheld (Line 4) (5)	Gain/Loss (5)
TIBCO SOFTWARE INC									
Symbol: TIBX									
CUSIP: 88632Q103									
Sell	1.0000		09/23/10	10/09/12	27.47	16.35			11.12
Sell	16.0000		10/21/10	10/09/12	439.56	285.58			153.98
Sell	4.0000		10/22/10	10/09/12	109.89	72.72			37.17
Sell	8.0000		10/22/10	10/18/12	216.81	145.44			71.37
Sell	7.0000		10/25/10	10/18/12	189.72	135.43			54.29
Sell	9.0000		10/26/10	10/18/12	243.91	172.89			71.02
Sell	6.0000		10/27/10	10/18/12	162.61	113.64			48.97
Sell	18.0000		10/27/10	10/18/12	489.10	340.91			148.19
Sub Total	123.0000				3,419.25	2,166.62			1,252.63
TRANSDIGM GROUP INC									
Symbol: TDG									
CUSIP: 893641100									
Sell	2.0000		12/07/10	01/18/12	193.33	140.49			52.84
Sell	4.0000		12/08/10	01/18/12	386.67	282.24			104.43
Sell	4.0000		12/09/10	01/18/12	386.66	282.78			103.88
Sell	3.0000		12/10/10	01/18/12	290.00	210.08			79.92
Sell	1.0000		12/10/10	04/30/12	126.03	70.03			56.00
Sell	3.0000		12/13/10	04/30/12	378.10	211.95			166.15
Sell	4.0000		12/14/10	04/30/12	504.12	282.90			221.22
Sell	2.0000		12/15/10	05/02/12	254.68	143.13			111.55
Sell	1.0000		12/15/10	05/04/12	127.39	71.57			55.82
Sell	3.0000		12/16/10	05/04/12	382.15	214.89			167.26
Sell	1.0000		12/16/10	10/10/12	145.14	71.63			73.51
Sell	1.0000		12/17/10	10/10/12	145.14	71.39			73.75
Sell	3.0000		12/17/10	11/12/12	406.50	214.18			192.32
Sub Total	32.0000				3,725.91	2,267.26			1,458.65

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Account Number 8R 08817 75
Your Financial Advisor or Contact
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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1501G0000184100069609-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
WESCO INTL INC Symbol WCC CUSIP: 95082P105									
Sell	6 0000		11/17/10	02/10/12	390 30	277 47			112 83
Sell	9 0000		11/17/10	04/11/12	548 90	416 21			132 69
Sell	4 0000		11/18/10	04/11/12	243 96	188 38			55 58
Sell	8 0000		11/18/10	09/06/12	472 55	376 77			95 78
Sell	16 0000		11/18/10	10/15/12	900 77	753 54			147 23
Sell	2 0000		11/18/10	10/16/12	112 62	94 19			18 43
Sell	13 0000		12/08/10	10/16/12	732 02	661 86			70 16
Sub Total	58 0000				3,401.12	2,768.42			632.70

Long-term total

Box B, Part II

Total

1,102.0000

\$68,133.22

\$41,348.16

\$26,785.06

\$322,699.64

Account Number 8R 08815 75
Your Financial Advisor or Contact
DWIGHT WEST
303-267-3000/800-288-5258

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1201L0003034000694834-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:
Transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "*" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1a)	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$) (Line 1b)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
COOPER INDUSTRIES PLC NEW *MERGER EFF. 12/12* CL A CUSIP: G24140108	100 0000	03/29/12	12/03/12		7,917 18	6,243.00			1,674 18
Exchange	5 0000	08/06/12	12/03/12		395 86	363 85			32 01
Sub Total	105 0000				8,313.04	6,606.85			1,706.19
EATON CORP PLC Symbol ETN CUSIP: G29183103	0.2017	12/03/12	12/03/12		10.34	10.42	0.08		0.00
Cash in Lieu									
Short-term total	105.2017				\$8,323.38	\$6,617.27	\$0.08		\$1,706.19
Box A, Part I									

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Account Number 8R 08815 75
Your Financial Advisor or Contact
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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1201L0003034000694835-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 2) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date of sale / exchange		Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
			Date acquired (Line 1b)	(Line 1a)					
COOPER INDUSTRIES PLC NEW *MERGER EFF 12/12* CL A CUSIP: G24140108	75.0000		05/28/09	12/03/12	5,937.89	2,384.82			3,553.07
Exchange	50.0000		06/02/10	12/03/12	3,958.58	2,380.00			1,578.58
Sub Total	125.0000				9,896.47	4,764.82			5,131.65
Long-term total	125.0000				\$9,896.47	\$4,764.82			\$5,131.65
Box B, Part II									
Total					\$18,219.85				

Account Number Y5 03279 GH
Your Financial Advisor or Contact
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404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1301L0007040500919330-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes.

Transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate gain/loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, Symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "*" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The Old amount reported on your Form 1099-OLD is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OLD amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 2)	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
Symbol (Line 1d) CUSIP								
Activity type								
AETNA INC Symbol: AET CUSIP: 00817Y108	103.0000	03/16/12	05/17/12	4,106.18	4,774.93			(668.75)
Sell								
AKAMAI TECHNOLOGIES INC Symbol: AKAM CUSIP: 00971T101	129.0000	03/09/12	05/14/12	3,877.59	4,739.43			(861.84)
Sell								

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Account Number Y5 03279 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

PT301L0007040500919331-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - Basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
ALLIANCE DATA SYSTEMS CORP								
Symbol ADS								
CUSIP 018581108								
Sell	10 0000	01/03/12	06/22/12	1,295.19	1,043.19			252.00
Sell	31 0000	01/03/12	08/28/12	4,272.66	3,233.90			1,038.76
Sub Total	41.0000			5,567.85	4,277.09			1,290.76
ALLSTATE CORP								
Symbol ALL								
CUSIP 020002101								
Sell	71 0000	05/29/12	10/18/12	3,025.38	2,411.38			614.00
Sell	63 0000	05/29/12	11/02/12	2,426.88	2,139.68			287.20
Sell	149 0000	07/19/12	11/02/12	5,739.78	5,175.78			564.00
Sub Total	283.0000			11,192.04	9,726.84			1,465.20
APPLE INC								
Symbol AAPL								
CUSIP 037833100								
Sell	7 0000	06/18/12	12/17/12	3,522.60	4,075.26			(552.66)
Sell	8 0000	10/02/12	12/17/12	4,025.83	5,283.74			(1,257.91)
Sub Total	15.0000			7,548.43	9,359.00			(1,810.57)
ARM HOLDINGS PLC SPON ADR								
Symbol ARMH								
CUSIP 042068106								
Sell	146.0000	11/16/11	02/06/12	3,960.46	4,400.59			(440.13)
AUTOZONE INC								
Symbol AZO								
CUSIP 053332102								
Sell	13.0000	11/09/11	05/18/12	4,844.44	4,316.04			528.40

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P130110007040500919332-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR Symbol BIDU CUSIP 056752108	33.0000		03/01/12	05/09/12	4,120.58	4,556.12			(435.54)
Sell									
BIOGEN IDEC INC Symbol BIB CUSIP: 09062X103	11.0000		10/14/11	04/18/12	1,399.41	1,121.62			277.79
Sell									
CATERPILLAR INC Symbol CAT CUSIP: 149123101	42.0000		01/13/12	03/29/12	4,390.69	4,259.52			131.17
Sell									
CBS CORP NEW CL B Symbol CBS CUSIP: 124857202	75.0000		05/29/12	12/20/12	2,775.68	2,420.00			355.68
Sell									
CHIPOTLE MEXICAN GRILL INC CL A Symbol CMG CUSIP: 169656105	3.0000		03/03/11	02/28/12	1,167.10	744.60			422.50
Sell									
CONCHO RESOURCES INC Symbol CXO CUSIP: 20605P101	41.0000		02/01/12	03/14/12	4,117.93	4,388.68			(270.75)
Sell									
CVS CAREMARK CORP Symbol CVS CUSIP 126650100	55.0000		12/30/11	12/17/12	2,699.57	2,253.33			446.24
Sell									

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P1301L0007040500919333-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
D R HORTON INC Symbol DHI CUSIP 23331A109									
Sell	82 0000		02/22/12	05/10/12	1,388.56	1,139.33			249.23
Sell	246 0000		02/22/12	06/12/12	3,734.44	3,418.00			316.44
Sub Total	328.0000				5,123.00	4,557.33			565.67
DIAGEO PLC NEW GB SPON ADR Symbol DEO CUSIP 25243Q205									
Sell	52.0000		10/14/11	03/16/12	5,013.44	4,259.98			753.46
EOG RESOURCES INC Symbol EOG CUSIP 26875P101									
Sell	42.0000		04/03/12	06/04/12	3,779.08	4,739.06			(959.98)
EQUINIX INC NEW Symbol EQX CUSIP 29444U502									
Sell	50.0000		09/21/12	11/08/12	8,693.92	9,976.30			(1,282.38)
EXPEDIA INC Symbol EXPE CUSIP 30212P303									
Sell	24.0000		07/02/12	07/30/12	1,340.55	1,178.62			161.93
EXXON MOBIL CORP Symbol XOM CUSIP 30231G102									
Sell	51.0000		01/17/12	04/11/12	4,219.98	4,358.72			(138.74)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS, Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
FAMILY DOLLAR STORES									
Symbol FDO CUSIP 307000109									
Sell	66.0000		07/06/12	08/27/12	4,072.20	4,573.50			(501.30)
Sell	75.0000		07/19/12	08/27/12	4,627.51	4,930.50			(302.99)
Sub Total	141.0000				8,699.71	9,504.00			(804.29)
GAP INC									
Symbol GPS CUSIP 364760108									
Sell	274.0000		09/11/12	12/10/12	8,397.55	9,692.26			(1,294.71)
GLAXO SMITHKLINE PLC ADR									
Symbol GSK CUSIP 37733W105									
Sell	96.0000		10/21/11	06/20/12	4,421.40	4,301.01			120.39
GNC HOLDINGS INC									
Symbol GNC CUSIP 36191G107									
Sell	266.0000		08/21/12	12/28/12	8,666.49	9,632.34			(965.85)
GRAINGER W W INC									
Symbol GWW CUSIP 384802104									
Sell	23.0000		02/03/12	06/06/12	4,187.87	4,645.61			(457.74)
HERBALIFE LTD									
Symbol HLF CUSIP G4412G101									
Sell	18.0000		11/03/11	02/23/12	1,200.68	1,080.91			119.77
Sell	55.0000		11/03/11	05/03/12	2,605.74	3,302.78			(697.04)
Sub Total	73.0000				3,806.42	4,383.69			(577.27)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b) Original cost basis (\$)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
HOLLYFRONTIER CORP COM Symbol HFC CUSIP 436106108	61.0000	09/11/12	12/17/12	2,807.61	2,439.44			368.17
HOME DEPOT INC Symbol HD CUSIP 437076102	86.0000	06/21/12	10/10/12	5,185.00	4,462.31			722.69
Sell	96.0000	07/19/12	10/10/12	5,787.91	4,877.75			910.16
Sub Total	182.0000			10,972.91	9,340.06			1,632.85
INTEL CORP Symbol INTC CUSIP 458140100	181.0000	11/30/11	07/30/12	4,671.79	4,491.82			179.97
Sell								
INTUITIVE SURGICAL INC NEW Symbol ISRG CUSIP 46120E602	2.0000	04/05/11	03/27/12	1,084.78	713.00			371.78
Sell								
LAUDER ESTEE COS CL A Symbol EL CUSIP 518439104	75.0000	03/15/12	05/15/12	4,250.66	4,773.40			(522.74)
Sell								
LENNAR CORP *RECLASSIFICATION AS OF 4/03* Symbol LEN CUSIP 526057104	319.0000	08/03/12	09/11/12	10,573.14	9,563.46			1,009.68
Sell								

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

If this sub-section date acquired, cost or other basis and wash sale loss disallowed are reported on Form 706, Form 709, Form 706-A, Form 709-A, Form 706-B, Form 709-B, Form 706-C, Form 709-C, Form 706-D, Form 709-D, Form 706-E, Form 709-E, Form 706-F, Form 709-F, Form 706-G, Form 709-G, Form 706-H, Form 709-H, Form 706-I, Form 709-I, Form 706-J, Form 709-J, Form 706-K, Form 709-K, Form 706-L, Form 709-L, Form 706-M, Form 709-M, Form 706-N, Form 709-N, Form 706-O, Form 709-O, Form 706-P, Form 709-P, Form 706-Q, Form 709-Q, Form 706-R, Form 709-R, Form 706-S, Form 709-S, Form 706-T, Form 709-T, Form 706-U, Form 709-U, Form 706-V, Form 709-V, Form 706-W, Form 709-W, Form 706-X, Form 709-X, Form 706-Y, Form 709-Y, Form 706-Z, Form 709-Z, Form 706-AA, Form 709-AA, Form 706-AB, Form 709-AB, Form 706-AC, Form 709-AC, Form 706-AD, Form 709-AD, Form 706-AE, Form 709-AE, Form 706-AF, Form 709-AF, Form 706-AG, Form 709-AG, Form 706-AH, Form 709-AH, Form 706-AI, Form 709-AI, Form 706-AJ, Form 709-AJ, Form 706-AM, Form 709-AM, Form 706-AN, Form 709-AN, Form 706-AO, Form 709-AO, Form 706-AP, Form 709-AP, Form 706-AQ, Form 709-AQ, Form 706-AR, Form 709-AR, Form 706-AS, Form 709-AS, Form 706-AT, Form 709-AT, Form 706-AU, Form 709-AU, Form 706-AV, Form 709-AV, Form 706-AW, Form 709-AW, Form 706-AX, Form 709-AX, Form 706-AY, Form 709-AY, Form 706-AZ, Form 709-AZ, Form 706-BA, Form 709-BA, Form 706-BB, Form 709-BB, Form 706-BC, Form 709-BC, Form 706-BD, Form 709-BD, Form 706-BE, Form 709-BE, Form 706-BF, Form 709-BF, Form 706-BG, Form 709-BG, Form 706-BH, Form 709-BH, Form 706-BI, Form 709-BI, Form 706-BJ, Form 709-BJ, Form 706-BM, Form 709-BM, Form 706-BN, Form 709-BN, Form 706-BO, Form 709-BO, Form 706-BP, Form 709-BP, Form 706-BQ, Form 709-BQ, Form 706-BR, Form 709-BR, Form 706-BS, Form 709-BS, Form 706-BT, Form 709-BT, Form 706-BU, Form 709-BU, Form 706-BV, Form 709-BV, Form 706-BW, Form 709-BW, Form 706-BX, Form 709-BX, Form 706-BY, Form 709-BY, Form 706-BZ, Form 709-BZ, Form 706-CA, Form 709-CA, Form 706-CB, Form 709-CB, Form 706-CC, Form 709-CC, Form 706-CD, Form 709-CD, Form 706-CE, Form 709-CE, Form 706-CF, Form 709-CF, Form 706-CG, Form 709-CG, Form 706-CH, Form 709-CH, Form 706-CI, Form 709-CI, Form 706-CJ, Form 709-CJ, Form 706-CM, Form 709-CM, Form 706-CN, Form 709-CN, Form 706-CO, Form 709-CO, Form 706-CP, Form 709-CP, Form 706-CQ, Form 709-CQ, Form 706-CR, Form 709-CR, Form 706-CS, Form 709-CS, Form 706-CT, Form 709-CT, Form 706-CU, Form 709-CU, Form 706-CV, Form 709-CV, Form 706-CW, Form 709-CW, Form 706-CX, Form 709-CX, Form 706-CY, Form 709-CY, Form 706-CZ, Form 709-CZ, Form 706-DA, Form 709-DA, Form 706-DB, Form 709-DB, Form 706-DC, Form 709-DC, Form 706-DD, Form 709-DD, Form 706-DE, Form 709-DE, Form 706-DF, Form 709-DF, Form 706-DG, Form 709-DG, Form 706-DH, Form 709-DH, Form 706-DI, Form 709-DI, Form 706-DJ, Form 709-DJ, Form 706-DM, Form 709-DM, Form 706-DN, Form 709-DN, Form 706-DO, Form 709-DO, Form 706-DP, Form 709-DP, Form 706-DQ, Form 709-DQ, Form 706-DR, Form 709-DR, Form 706-DS, Form 709-DS, Form 706-DT, Form 709-DT, Form 706-DU, Form 709-DU, Form 706-DV, Form 709-DV, Form 706-DW, Form 709-DW, Form 706-DX, Form 709-DX, Form 706-DY, Form 709-DY, Form 706-DZ, Form 709-DZ, Form 706-EA, Form 709-EA, Form 706-EB, Form 709-EB, Form 706-EC, Form 709-EC, Form 706-ED, Form 709-ED, Form 706-EE, Form 709-EE, Form 706-EF, Form 709-EF, Form 706-EG, Form 709-EG, Form 706-EH, Form 709-EH, Form 706-EI, Form 709-EI, Form 706-EJ, Form 709-EJ, Form 706-EM, Form 709-EM, Form 706-EN, Form 709-EN, Form 706-EO, Form 709-EO, Form 706-EP, Form 709-EP, Form 706-EQ, Form 709-EQ, Form 706-ER, Form 709-ER, Form 706-ES, Form 709-ES, Form 706-ET, Form 709-ET, Form 706-EU, Form 709-EU, Form 706-EV, Form 709-EV, Form 706-EW, Form 709-EW, Form 706-EX, Form 709-EX, Form 706-EY, Form 709-EY, Form 706-EZ, Form 709-EZ, Form 706-FA, Form 709-FA, Form 706-FB, Form 709-FB, Form 706-FC, Form 709-FC, Form 706-FD, Form 709-FD, Form 706-FE, Form 709-FE, Form 706-FF, Form 709-FF, Form 706-FG, Form 709-FG, Form 706-FH, Form 709-FH, Form 706-FI, Form 709-FI, Form 706-FJ, Form 709-FJ, Form 706-FM, Form 709-FM, Form 706-FN, Form 709-FN, Form 706-FO, Form 709-FO, Form 706-FP, Form 709-FP, Form 706-FQ, Form 709-FQ, Form 706-FR, Form 709-FR, Form 706-FS, Form 709-FS, Form 706-FT, Form 709-FT, Form 706-FU, Form 709-FU, Form 706-FV, Form 709-FV, Form 706-FW, Form 709-FW, Form 706-FX, Form 709-FX, Form 706-FY, Form 709-FY, Form 706-FZ, Form 709-FZ, Form 706-GA, Form 709-GA, Form 706-GB, Form 709-GB, Form 706-GC, Form 709-GC, Form 706-GD, Form 709-GD, Form 706-GE, Form 709-GE, Form 706-GF, Form 709-GF, Form 706-GG, Form 709-GG, Form 706-GH, Form 709-GH, Form 706-GI, Form 709-GI, Form 706-GJ, Form 709-GJ, Form 706-GM, Form 709-GM, Form 706-GN, Form 709-GN, Form 706-GO, Form 709-GO, Form 706-GP, Form 709-GP, Form 706-GQ, Form 709-GQ, Form 706-GR, Form 709-GR, Form 706-GS, Form 709-GS, Form 706-GT, Form 709-GT, Form 706-GU, Form 709-GU, Form 706-GV, Form 709-GV, Form 706-GW, Form 709-GW, Form 706-GX, Form 709-GX, Form 706-GY, Form 709-GY, Form 706-GZ, Form 709-GZ, Form 706-HA, Form 709-HA, Form 706-HB, Form 709-HB, Form 706-HC, Form 709-HC, Form 706-HD, Form 709-HD, Form 706-HE, Form 709-HE, Form 706-HF, Form 709-HF, Form 706-HG, Form 709-HG, Form 706-HH, Form 709-HH, Form 706-HI, Form 709-HI, Form 706-HJ, Form 709-HJ, Form 706-HM, Form 709-HM, Form 706-HN, Form 709-HN, Form 706-HO, Form 709-HO, Form 706-HP, Form 709-HP, Form 706-HQ, Form 709-HQ, Form 706-HR, Form 709-HR, Form 706-HS, Form 709-HS, Form 706-HT, Form 709-HT, Form 706-HU, Form 709-HU, Form 706-HV, Form 709-HV, Form 706-HW, Form 709-HW, Form 706-HX, Form 709-HX, Form 706-HY, Form 709-HY, Form 706-HZ, Form 709-HZ, Form 706-IA, Form 709-IA, Form 706-IB, Form 709-IB, Form 706-IC, Form 709-IC, Form 706-ID, Form 709-ID, Form 706-IE, Form 709-IE, Form 706-IF, Form 709-IF, Form 706-IG, Form 709-IG, Form 706-IH, Form 709-IH, Form 706-II, Form 709-II, Form 706-IJ, Form 709-IJ, Form 706-IM, Form 709-IM, Form 706-IN, Form 709-IN, Form 706-IO, Form 709-IO, Form 706-IP, Form 709-IP, Form 706-IQ, Form 709-IQ, Form 706-IR, Form 709-IR, Form 706-IS, Form 709-IS, Form 706-IT, Form 709-IT, Form 706-IU, Form 709-IU, Form 706-IV, Form 709-IV, Form 706-IW, Form 709-IW, Form 706-IX, Form 709-IX, Form 706-IY, Form 709-IY, Form 706-IZ, Form 709-IZ, Form 706-JA, Form 709-JA, Form 706-JB, Form 709-JB, Form 706-JC, Form 709-JC, Form 706-JD, Form 709-JD, Form 706-JE, Form 709-JE, Form 706-JF, Form 709-JF, Form 706-JG, Form 709-JG, Form 706-JH, Form 709-JH, Form 706-JI, Form 709-JI, Form 706-JJ, Form 709-JJ, Form 706-JM, Form 709-JM, Form 706-JN, Form 709-JN, Form 706-JO, Form 709-JO, Form 706-JP, Form 709-JP, Form 706-JQ, Form 709-JQ, Form 706-JR, Form 709-JR, Form 706-JS, Form 709-JS, Form 706-JT, Form 709-JT, Form 706-JU, Form 709-JU, Form 706-JV, Form 709-JV, Form 706-JW, Form 709-JW, Form 706-JX, Form 709-JX, Form 706-JY, Form 709-JY, Form 706-JZ, Form 709-JZ, Form 706-KA, Form 709-KA, Form 706-KB, Form 709-KB, Form 706-KC, Form 709-KC, Form 706-KD, Form 709-KD, Form 706-KE, Form 709-KE, Form 706-KF, Form 709-KF, Form 706-KG, Form 709-KG, Form 706-KH, Form 709-KH, Form 706-KI, Form 709-KI, Form 706-KJ, Form 709-KJ, Form 706-KM, Form 709-KM, Form 706-KN, Form 709-KN, Form 706-KO, Form 709-KO, Form 706-KP, Form 709-KP, Form 706-KQ, Form 709-KQ, Form 706-KR, Form 709-KR, Form 706-KS, Form 709-KS, Form 706-KT, Form 709-KT, Form 706-KU, Form 709-KU, Form 706-KV, Form 709-KV, Form 706-KW, Form 709-KW, Form 706-KX, Form 709-KX, Form 706-KY, Form 709-KY, Form 706-KZ, Form 709-KZ, Form 706-LA, Form 709-LA, Form 706-LB, Form 709-LB, Form 706-LC, Form 709-LC, Form 706-LD, Form 709-LD, Form 706-LE, Form 709-LE, Form 706-LF, Form 709-LF, Form 706-LG, Form 709-LG, Form 706-LH, Form 709-LH, Form 706-LI, Form 709-LI, Form 706-LJ, Form 709-LJ, Form 706-LM, Form 709-LM, Form 706-LN, Form 709-LN, Form 706-LO, Form 709-LO, Form 706-LP, Form 709-LP, Form 706-LQ, Form 709-LQ, Form 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709-NF, Form 706-NG, Form 709-NG, Form 706-NH, Form 709-NH, Form 706-NI, Form 709-NI, Form 706-NJ, Form 709-NJ, Form 706-NM, Form 709-NM, Form 706-NN, Form 709-NN, Form 706-NO, Form 709-NO, Form 706-NP, Form 709-NP, Form 706-NQ, Form 709-NQ, Form 706-NR, Form 709-NR, Form 706-NS, Form 709-NS, Form 706-NT, Form 709-NT, Form 706-NU, Form 709-NU, Form 706-NV, Form 709-NV, Form 706-NW, Form 709-NW, Form 706-NX, Form 709-NX, Form 706-NY, Form 709-NY, Form 706-NZ, Form 709-NZ, Form 706-OA, Form 709-OA, Form 706-OB, Form 709-OB, Form 706-OC, Form 709-OC, Form 706-OD, Form 709-OD, Form 706-OE, Form 709-OE, Form 706-OF, Form 709-OF, Form 706-OG, Form 709-OG, Form 706-OH, Form 709-OH, Form 706-OI, Form 709-OI, Form 706-OJ, Form 709-OJ, Form 706-OM, Form 709-OM, Form 706-ON, Form 709-ON, Form 706-OO, Form 709-OO, Form 706-OP, Form 709-OP, Form 706-OQ, Form 709-OQ, Form 706-OR, Form 709-OR, Form 706-OS, Form 709-OS, Form 706-OT, Form 709-OT, Form 706-OU, Form 709-OU, Form 706-OV, Form 709-OV, Form 706-OW, Form 709-OW, Form 706-OX, Form 709-OX, Form 706-OY, Form 709-OY, Form 706-OZ, Form 709-OZ, Form 706-PA, Form 709-PA, Form 706-PB, Form 709-PB, Form 706-PC, Form 709-PC, Form 706-PD, Form 709-PD, Form 706-PE, Form 709-PE, Form 706-PF, Form 709-PF, Form 706-PG, Form 709-PG, Form 706-PH, Form 709-PH, Form 706-PI, Form 709-PI, Form 706-PJ, Form 709-PJ, Form 706-PM, Form 709-PM, Form 706-PN, Form 709-PN, Form 706-PO, Form 709-PO, Form 706-PP, Form 709-PP, Form 706-PQ, Form 709-PQ, Form 706-PR, Form 709-PR, Form 706-PS, Form 709-PS, Form 706-PT, Form 709-PT, Form 706-PU, Form 709-PU, Form 706-PV, Form 709-PV, Form 706-PW, Form 709-PW, Form 706-PX, Form 709-PX, Form 706-PY, Form 709-PY, Form 706-PZ, Form 709-PZ, Form 706-QA, Form 709-QA, Form 706-QB, Form 709-QB, Form 706-QC, Form 709-QC, Form 706-QD, Form 709-QD, Form 706-QE, Form 709-QE, Form 706-QF, Form 709-QF, Form 706-QG, Form 709-QG, Form 706-QH, Form 709-QH, Form 706-QI, Form 709-QI, Form 706-QJ, Form 709-QJ, Form 706-QM, Form 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706-SB, Form 709-SB, Form 706-SC, Form 709-SC, Form 706-SD, Form 709-SD, Form 706-SE, Form 709-SE, Form 706-SF, Form 709-SF, Form 706-SG, Form 709-SG, Form 706-SH, Form 709-SH, Form 706-SI, Form 709-SI, Form 706-SJ, Form 709-SJ, Form 706-SM, Form 709-SM, Form 706-SN, Form 709-SN, Form 706-SO, Form 709-SO, Form 706-SP, Form 709-SP, Form 706-SQ, Form 709-SQ, Form 706-SR, Form 709-SR, Form 706-SS, Form 709-SS, Form 706-ST, Form 709-ST, Form 706-SU, Form 709-SU, Form 706-SV, Form 709-SV, Form 706-SW, Form 709-SW, Form 706-SX, Form 709-SX, Form 706-SY, Form 709-SY, Form 706-SZ, Form 709-SZ, Form 706-TA, Form 709-TA, Form 706-TB, Form 709-TB, Form 706-TC, Form 709-TC, Form 706-TD, Form 709-TD, Form 706-TE, Form 709-TE, Form 706-TF, Form 709-TF, Form 706-TG, Form 709-TG, Form 706-TH, Form 709-TH, Form 706-TI, Form 709-TI, Form 706-TJ, Form 709-TJ, Form 706-TM, Form 709-TM, Form 706-TN, Form 709-TN, Form 706-TO, Form 709-TO, Form 706-TP, Form 709-TP, Form 706-TQ, Form 709-TQ, Form 706-TR, Form 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706-VQ, Form 709-VQ, Form 706-VR, Form 709-VR, Form 706-VS, Form 709-VS, Form 706-VT, Form 709-VT, Form 706-VU, Form 709-VU, Form 706-VV, Form 709-VV, Form 706-VW, Form 709-VW, Form 706-VX, Form 709-VX, Form 706-VY, Form 709-VY, Form 706-VZ, Form 709-VZ, Form 706-WA, Form 709-WA, Form 706-WB, Form 709-WB, Form 706-WC, Form 709-WC, Form 706-WD, Form 709-WD, Form 706-WE, Form 709-WE, Form 706-WF, Form 709-WF, Form 706-WG, Form 709-WG, Form 706-WH, Form 709-WH, Form 706-WI, Form 709-WI, Form 706-WJ, Form 709-WJ, Form 706-WM, Form 709-WM, Form 706-WN, Form 709-WN, Form 706-WO, Form 709-WO, Form 706-WP, Form 709-WP, Form 706-WQ, Form 709-WQ, Form 706-WR, Form 709-WR, Form 706-WS, Form 709-WS, Form 706-WT, Form 709-WT, Form 706-WU, Form 709-WU, Form 706-WV, Form 709-WV, Form 706-WX, Form 709-WX, Form 706-WY, Form 709-WY, Form 706-WZ, Form 709-WZ, Form 706-XA, Form 709-XA, Form 706-XB, Form 709-XB, Form 706-XC, Form 709-XC, Form 706-XD, Form 709-XD, Form 706-XE, Form 709-XE, Form 706-XF, Form 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706-YW, Form 709-YW, Form 706-YX, Form 709-YX, Form 706-YZ, Form 709-YZ, Form 706-ZA, Form 709-ZA, Form 706-ZB, Form 709-ZB, Form 706-ZC, Form 709-ZC, Form 706-ZD, Form 709-ZD, Form 706-ZE, Form 709-ZE, Form 706-ZF, Form 709-ZF, Form 706-ZG, Form 709-ZG, Form 706-ZH, Form 709-ZH, Form 706-ZI, Form 709-ZI, Form 706-ZJ, Form 709-ZJ, Form 706-ZM, Form 709-ZM, Form 706-ZN, Form 709-ZN, Form 706-ZO, Form 709-ZO, Form 706-ZP, Form 709-ZP, Form 706-ZQ, Form 709-ZQ, Form 706-ZR, Form 709-ZR, Form 706-ZS, Form 709-ZS, Form 706-ZT, Form 709-ZT, Form 706-ZU, Form 709-ZU, Form 706-ZV, Form 709-ZV, Form 706-ZW, Form 709-ZW, Form 706-ZX, Form 709-ZX, Form 706-ZY, Form 709-ZY, Form 706-ZZ, Form 709-ZZ, Form 706-AA, Form 709-AA, Form 706-AB, Form 709-AB, Form 706-AC, Form 709-AC, Form 706-AD, Form 709-AD, Form 706-AE, Form 709-AE, Form 706-AF, Form 709-AF, Form 706-AG, Form 709-AG, Form 706-AH, Form 709-AH, Form 706-AI, Form 709-AI, Form 706-AJ, Form 709-AJ, Form 706-AM, Form 709-AM, Form 706-AN, Form 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Account Number Y5 03279 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

P130110007040500919337-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
PPG INDUSTRIES INC Symbol PPG CUSIP 693506107								
Sell	86.0000	08/07/12	11/28/12	10,447.51	9,561.38			886.13
RALPH LAUREN CORP CL A Symbol RL CUSIP 751212101								
Sell	29.0000	01/10/12	04/25/12	4,824.40	4,163.17			661.23
RAYTHEON CO NEW Symbol RTN CUSIP 755111507								
Sell	169.0000	08/13/12	11/27/12	9,551.36	9,484.48			66.88
SEAGATE TECHNOLOGY PLC SHS Symbol STX CUSIP G7945M107								
Sell	177.0000	03/22/12	06/01/12	3,880.12	4,888.40			(1,008.28)
Sell	323.0000	09/14/12	11/30/12	8,100.00	9,804.86			(1,704.86)
Sub Total	500.0000			11,980.12	14,693.26			(2,713.14)
TIX COS INC NEW Symbol TIX CUSIP 872540109								
Sell	38.0000	09/20/11	02/28/12	1,399.64	1,122.92			276.72
Sell	29.0000	09/20/11	06/20/12	1,250.60	856.96			393.64
Sell	96.0000	07/19/12	10/26/12	3,908.46	4,310.39			(401.93)
Sub Total	163.0000			6,558.70	6,290.27			268.43

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1301L0007040500919338-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with **Box A** checked (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b) Original cost basis (\$)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ULTA SALON COSMETICS & FRAGRANCE INC								
Symbol ULTA								
CUSIP 903845303								
Sell	16.0000	11/01/11	02/09/12	1,291.28	1,099.97			191.31
Sell	55.0000	07/19/12	12/13/12	5,191.90	5,079.80			112.10
Sub Total	71.0000			6,483.18	6,179.77			303.41
UNDER ARMOUR INC CL A								
Symbol UA								
CUSIP 904311107								
Sell	14.0000	10/26/11	03/02/12	1,286.40	1,139.19			147.21
Sell	40.0000	10/26/11	06/29/12	3,730.60	3,254.83			475.77
Sub Total	54.0000			5,017.00	4,394.02			622.98
UNITEDHEALTH GROUP INC								
Symbol UNH								
CUSIP 91324P102								
Sell	75.0000	06/29/12	09/11/12	3,992.29	4,449.67			(457.38)
Sell	82.0000	08/15/12	09/11/12	4,364.90	4,320.57			44.33
Sub Total	157.0000			8,357.19	8,770.24			(413.05)
US AIRWAYS GROUP INC								
Symbol LCC								
CUSIP 90341W108								
Sell	273.0000	08/21/12	12/18/12	3,509.42	3,087.68			421.74
VERIFONE SYSTEMS INC								
Symbol PAY								
CUSIP 92342Y109								
Sell	97.0000	02/07/12	05/29/12	3,490.44	4,540.47			(1,050.03)
WAL MART STORES INC								
Symbol WMT								
CUSIP 931142103								
Sell	77.0000	10/19/11	03/27/12	4,711.63	4,334.59			377.04

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P130110007040500919339-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
YAMANA GOLD INC CAD Symbol AUU CUSIP: 98462Y100	283.0000		02/15/12	04/19/12	4,082.26	4,611.46			(529.20)
Short-term total	5,907.0000				\$266,765.72	\$270,234.08			\$(3,468.36)
Box A, Part I									

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ALEXION PHARMACEUTICALS INC Symbol ALXN CUSIP: 015351109	14.0000		04/26/11	07/02/12	1,449.12	659.23			789.89
Sell	27.0000		04/26/11	07/26/12	2,866.86	1,271.38			1,595.48
Sub Total	41.0000				4,315.98	1,930.61			2,385.37
BIOMED IDEC INC Symbol BIIB CUSIP: 09062X103	18.0000		10/14/11	12/07/12	2,719.91	1,835.37			884.54
CHIPOTLE MEXICAN GRILL INC CL A Symbol CMG CUSIP: 169656105	9.0000		03/03/11	05/21/12	3,527.20	2,233.80			1,293.40
Sell									

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UBS Financial Services Inc.

2012 Consolidated Form 1099

P130110007040500919340-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with Box A checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Original cost basis (\$)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
INTUITIVE SURGICAL INC NEW Symbol ISRG CUSIP 46120E602	6.0000	04/05/11	07/23/12		2,864.24	2,139.00			725.24
TJX COS INC NEW Symbol TJX CUSIP 872540109	85.0000	09/20/11	10/26/12		3,460.62	2,511.78			948.84
ULTA SALON COSMETICS & FRAGRANCE INC Symbol ULTA CUSIP 90384S303	31.0000	11/01/11	12/07/12		3,117.12	2,131.20			985.92
	16.0000	11/01/11	12/13/12		1,510.37	1,099.97			410.40
Sub Total	47.0000				4,627.49	3,231.17			1,396.32
Long-term total	206.0000				\$21,515.44	\$13,881.73			\$7,633.71
Box A, Part II					\$288,281.16				
Total									

Account Number Y5 03280 GH
Your Financial Advisor or Contact
MENDICINO/POLLARD/GLASGOW
404-479-6000/800-977-2756

UBS Financial Services Inc.

2012 Consolidated Form 1099

P1301L0007040600919354-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes. Transactions are grouped into sections based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "Y5P" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "*" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part I, with Box A checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1a)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
BRISTOL MYERS SQUIBB CO Symbol: BMY CUSIP: 110122108	120.0000		07/19/12	09/11/12	4,026.22	4,332.00			(305.78)
Sell									
Short-term total	120.0000				\$4,026.22	\$4,332.00			\$(305.78)
Box A, Part I									

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P1301L0007040600919355-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
Activity type									
BRISTOL MYERS SQUIBB CO									
Symbol BMY									
CUSIP 110122108									
Sell	125.0000		03/02/11	03/28/12	4,196.43	3,169.90			1,026.53
Sell	355.0000		03/02/11	09/11/12	11,910.91	9,002.52			2,908.39
Sub Total	480.0000				16,107.34	12,172.42			3,934.92
DOMINION RESOURCES INC VA (NEW)									
Symbol D									
CUSIP: 25746U109									
Sell	70.0000		03/02/11	03/28/12	3,541.22	3,135.16			406.06
INTEL CORP									
Symbol INTC									
CUSIP: 458140100									
Sell	50.0000		03/02/11	03/28/12	1,395.57	1,074.96			320.61
KRAFT FOODS GROUP INC									
Symbol KFT									
CUSIP: 50076Q106									
Cash in Lieu	0.3333		03/02/11	10/02/12	15.08	10.97			4.11
PHILIP MORRIS INTL INC									
Symbol PM									
CUSIP 718172109									
Sell	30.0000		03/02/11	03/28/12	2,609.04	1,881.58			727.46
PHILLIPS 66									
Symbol PSX									
CUSIP 718546104									
Sell	80.0000		03/02/11	06/12/12	2,656.11	2,994.43			(338.32)

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UBS Financial Services Inc.

2012 Consolidated Form 1099

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis reported to the IRS; Report on Form 8949, Part II, with **Box A** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are reported to the IRS (Line 6b)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Original cost basis (\$) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
VERIZON COMMUNICATIONS INC Symbol: VZ CUSIP: 92343V104									
Sell	75.0000	03/02/11	03/28/12		2,863.75	2,727.69			136.06
Long-term total									
Box A, Part II	785.3333				\$29,188.11	\$23,997.21			\$5,190.90
Total					\$33,214.33				

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Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:

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The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "verus purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale loss disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "s" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part I, with **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
MLP & STRATEGIC EQUITY FUND *MERGER EFF: 08/2012* CUSIP 55312N106									
Sell	837.0000		04/25/11	04/25/12	14,506.21	15,066.00			(559.79)
Sell	300.0000		04/25/11	04/25/12	5,199.36	5,430.00			(230.64)
Sell	4,385.0000		04/25/11	04/25/12	75,997.33	79,456.20			(3,458.87)
Sell	72.0000		05/16/11	04/25/12	1,247.85	1,223.42			24.43
Sell	153.0000		08/15/11	04/25/12	2,651.67	2,556.95			94.72
Sell	157.0000		10/31/11	04/25/12	2,721.00	2,595.60			125.40
Sub Total	5,904.0000				102,323.42	106,328.17			(4,004.75)

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2012 Consolidated Form 1099

P1301L0001350800217745-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part I, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
PUTNAM DIVERSIFIED INCOME TRUST CLASS A Symbol: PDINX CUSIP: 746704105									
Sell	63.7340		10/14/11	09/20/12	486.93	447.64			39.29

Short-term total

Box B, Part I 5,967.7340 \$102,810.35 \$106,775.81 \$(3,965.46)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
MLP & STRATEGIC EQUITY FUND *MERGER EFF. 08/20/12* CUSIP: 55312N106									
Sell	2,112.0000		03/01/11	04/25/12	36,796.21	40,550.40			(3,754.19)
Sell	3,000.0000		03/01/11	04/25/12	52,265.88	57,600.00			(5,334.12)
Sell	96.0000		03/01/11	04/25/12	1,663.80	1,843.20			(179.40)
Sub Total	5,208.0000				90,725.89	99,993.60			(9,267.71)

PUTNAM DIVERSIFIED INCOME TRUST

CLASS A
Symbol: PDINX
CUSIP: 746704105

Sell	12,165.4500		03/01/11	09/20/12	92,944.04	96,264.28			(3,320.24)
Sell	74.7260		03/21/11	09/20/12	570.90	585.32			(14.42)
Sell	74.5440		04/20/11	09/20/12	569.52	589.15			(19.63)
Sell	75.0900		05/16/11	09/20/12	573.69	592.70			(19.01)
Sell	56.8760		06/14/11	09/20/12	434.53	440.96			(6.43)

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Account Number Y5 03281 GH
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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1301L0001350800217746-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
PUTNAM DIVERSIFIED INCOME TRUST CLASS A Symbol: PDJNX CUSIP: 746704105									
Sell	57.8560		07/14/11	09/20/12	442.02	442.71			(0.69)
Sell	60.2430		08/16/11	09/20/12	460.26	444.22			16.04
Sell	61.3320		09/14/11	09/20/12	468.57	446.00			22.57
Sub Total	12,626.1170				96,463.53	99,805.34			(3,341.81)

Long-term total

17,834.1170

\$187,189.42

\$199,798.94

\$(12,609.52)

Type of Gain/Loss: Undetermined.

Transactions reported on Form 1099-B - basis **Not** reported to the IRS and Short- or Long-Term Determination is Unknown (to Broker); Report on Form 8949, in either Part I or Part II as appropriate, **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ETFS PLATINUM TR Symbol: PPLT CUSIP: 26922V101 Return of Principal				12/31/12	196.13				Not Calculated
ISHARES SILVER TRUST Symbol: SILV CUSIP: 46428Q109 Return of Principal				12/31/12	20.19				Not Calculated

continued next page



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UBS Financial Services Inc.

2012 Consolidated Form 1099

P1301L0001350800217747-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Transactions reported on Form 1099-B - basis **Not** reported to the IRS and Short- or Long-Term Determination is Unknown (to Broker); Report on Form 8949, in either Part I or Part II as appropriate, **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
SPDR GOLD TRUST Symbol GLD CUSIP: 78463V107 Return of Principal			12/31/12	361.05				Not Calculated
Term Unknown total								
Box B				\$577.37				
Total				\$280,577.14				

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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B

In compliance with IRS guidance, the substitute Form 1099-B has been formatted with the following changes:
Transactions are grouped into section(s) based upon whether the security is covered or noncovered, and whether the term is short or long. Transactions for which the term cannot be determined are grouped in a separate section. As a result, the substitute Form 1099-B could have up to five separate sections, each with a heading identifying which securities are included and how to report the transactions on Form 8949. The IRS allows the display of basis information for noncovered securities, even though this information is not reported to them. Therefore, when available, basis information will be displayed in sections where basis is not reported to the IRS. A security is a "covered security" and subject to basis reporting requirements if it is acquired on or after its corresponding effective date. For stock in a corporation, the effective date is January 1, 2011. For stock in a mutual fund the effective date is January 1, 2012. UBS is not required to report basis to the IRS for any securities acquired before 2011. IRS regulations now require that brokers report short sales for the year in which the short sale is closed. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients may wish to discuss the potential application of this provision with their tax advisors.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. 2012 Gain/Loss for transactions with trade dates through 12/31/12 has been incorporated into this statement. The net gain or loss for each transaction is calculated based on the sales amount less cost basis with any wash sale disallowed. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that may result in or negate wash sales. Gain or loss is not calculated on the sale or redemption of certain Structured Products and certain grantor trusts with collectible or foreign currency as it may be ordinary, and not capital, gain or loss. These gains or losses will not be included on the gain or loss subtotal and total amounts. Where applicable, symbol (2) will be printed to the right of the gain or loss subtotal and total amounts. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Net gain or loss is not reported to the IRS.

On Line 2a gross proceeds are reported less commissions and option premiums. Sale amounts with "*" symbol indicate where an option premium adjustment has been made.

Beginning in 2012, basis for both covered and noncovered securities have been adjusted for all capital changes. Prior to 2012, basis may not have been adjusted for noncovered tax lots. The Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The Old amount reported on your Form 1099-OLD is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OLD amounts reported to the IRS because the reporting requirements are different.

Type of Gain/Loss: Short Term (Line 1c)

Short-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part I, with **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Date acquired (Line 1b) Original cost basis (\$) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/loss (\$)
AT&T INC NTS B/E 02 500% 081515 DTD073010 FC021511 CUSIP: 00206RAV4 Sell	12,000.0000	02/09/12	12/05/12	12,541.92	12,613.20			(71.28)
AT&T INC 04 950% 011513 DTD120607 FC071508CALL @T+25BP NTS CUSIP: 00206RAF9 Sell	12,000.0000	03/04/11	02/09/12	12,487.20	12,860.76			(373.56)
BANK OF N Y B/E 02 950% 061815 DTD061810 FC121810 CUSIP: 06406HBQ1 Sell	10,000.0000	08/15/12	12/05/12	10,553.20	10,598.40			(45.20)

continued next page

Corrected 03/12/2013

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2012 Consolidated Form 1099

P1201G0000977400402025-X1

Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part I, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
BARCLAYS BK PLC 03 900% 040715 DTD040710 FC100710 MED TERM NTS CUSIP: 06739GBB4 Sell	10,000.0000		07/31/12	12/10/12	10,638.00	10,428.80			209.20
B&T CORP MED TERM NTS 05 700% 043014 DTD050409 FC103009 B/E CUSIP: 05531FAA1 Sell	11,000.0000		07/23/12	12/05/12	11,757.13	11,948.75			(191.62)
BOTTLING GROUP LLC 06 950% 031514 DTD102408 FC031509 M/W+50 BP CUSIP: 10138MAH8 Sell	10,000.0000		07/23/12	12/05/12	10,782.30	11,030.50			(248.20)
CNP 2009-1 A-2 DUE 08/15/19 03 4600 FACTOR 1 000000000000 CUSIP: 15200NAB1 Sell	10,000.0000		08/14/12	12/12/12	10,900.00	11,043.75			(143.75)
DUKE ENERGY CAROLINAS 03.900% 061521 DTD051911 CALL @ MW +15BP B/E CUSIP: 26442CAK0 Sell	10,000.0000		08/08/12	12/05/12	11,313.60	11,307.30			6.30
FHLMC PL G18439 02 5000 DUE 07/01/27 FACTOR 0 796403800000 CUSIP: 3128MMPZ0 Sell	34,000.0000		08/08/12	12/05/12	33,580.27	34,313.78			(733.51) **
FNMA NTS 0 2107 % DUE 01/2015 DTD 012012 FC 02202012 CUSIP: 3135G0HB2 Sell	15,000.0000		08/16/12	12/05/12	14,985.00	15,000.00			(15.00)

continued next page

**Indicates corrected item.

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Your Financial Advisor or Contact
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UBS Financial Services Inc.

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part I, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
GOLDMAN SACHS GROUP INC 04 750% 071513 DTD071503 FC011504 NTS CUSIP: 38141GDK7 Sell	10,000.0000		09/10/12	12/05/12	10,234.20	10,327.30			(93.10)
HONEYWELL INTL INC NTS 03 875% 021514 DTD022009 FC081509 MW +358P CUSIP: 438516AY2 Sell	10,000.0000		09/11/12	12/05/12	10,388.10	10,483.10			(95.00)
INTL BUSINESS MACH NTS 07 625% 101518 DTD101508 FC041509 MW+508P CUSIP: 459200GM7 Sell	8,000.0000		07/23/12	12/06/12	10,808.00	10,831.60			(23.60)
NTHN STATES PWR CO B/E 05 250% 100118 DTD100203 FC040104 CALL@MW+258P CUSIP: 665789AV5 Sell	9,000.0000		08/15/12	12/10/12	10,747.80	10,811.16			(63.36)
PRUDENTIAL FINANCIAL INC 05 100% 092014 DTD092004 FC032005 NTS CUSIP: 74432QAE5 Sell	10,000.0000		07/23/12	12/05/12	10,723.90	10,852.60			(128.70)
UNITED PARCEL SERVICE 03 125% 011521 DTD111210 FC071511 B/E CUSIP: 911312AM8 Sell	10,000.0000		07/23/12	12/05/12	10,887.50	10,960.70			(73.20)
US TSY NOTE 01.000 % DUE 09/30/19 DTD 09/30/12 FC 03/31/13 CUSIP: 912828TR1 Sell	6,000.0000		11/20/12	12/05/12	6,008.20	5,976.09			32.11

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Short-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part I, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
US TSY NOTE 03 625 % DUE 02/15/20 DTD 02/15/10 FC 08/15/10 CUSIP: 912828MP2 Sell	1,000.0000		07/27/12	12/05/12	1,180.00	1,185.31			(5.31)

Short-term total

Box B, Part I	198,000.0000	\$210,516.32	\$212,573.10						\$(2,056.78)

Type of Gain/Loss: Long Term (Line 1c)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
ALLSTATE LIFE GLOBAL FDG 05.375% 043013 DTD043008 FC103008 SECD MTN CUSIP: 02003MBQ6 Sell	12,000.0000		03/08/11	07/30/12	12,428.04	13,013.16			(585.12)
AMER EXPRESS CO NTS 8.125% 052019 DTD051809 FC1122009 CUSIP: 025816884 Sell	10,000.0000		03/04/11	11/20/12	13,759.30	12,469.70			1,289.60
CNP 2008-3 A-1 DUE 02/01/20 04.1920 FACTOR 0.491305388635 CUSIP: 15200MAA5 Sell	14,000.0000		03/17/11	12/07/12	8,379.65	8,435.99			(56.34)
COMET 2007-7A A DUE 07/15/20 05.7500 FACTOR 1.000000000000 CUSIP: 14041NDX6 Sell	10,000.0000		03/17/11	12/12/12	11,950.00	11,507.81			442.19

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$) (Line 1b)	Date acquired exchange (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
FNMA NTS 0.255 % DUE 04/01/16 DTD 040611 FC 07012011 CUSIP: 3136FRGA5 Sell	13,000.0000		04/01/11	12/05/12	12,987.00	13,000.00			(13.00)
GEORGIA PWR CO NTS B/E 01.300% 091513 DTD092310 FC031511 CUSIP: 373334IT9 Sell	12,000.0000		05/06/11	06/20/12	12,106.92	12,047.76			59.16
KIMBERLY CLARK CORP 06 125% 080117 DTD073007 FC020108* 258P* CUSIP: 494368888 Sell	5,000.0000		03/02/11	12/05/12	6,161.25	5,816.55			344.70
PECO ENERGY CO MW@+258PS 05 350% 030118 DTD030308 FC090108 OID@99.832 B/E CUSIP: 693304AL1 Sell	6,000.0000		03/08/11	12/05/12	7,188.78	6,614.52			574.26
PRINCIPAL LIFE INC FDG 05.300% 042413 DTD042408 FC102408 CUSIP: 74254PYE6 Sell	12,000.0000		03/30/11	12/05/12	12,206.04	12,944.64			(738.60)
SUNTRUST BANKS INC 06 000% 091117 DTD091007 FC031108 SENIOR NTS CUSIP: 867914AZ6 Sell	12,000.0000		03/04/11	12/05/12	14,223.24	13,085.16			1,138.08
UNTD TECHNOLOGIES CORP 04 875% 050115 DTD042905 FC110105 *MW + 158P* NTS CUSIP: 913017BH1 Sell	11,000.0000		03/08/11	12/05/12	12,067.33	12,149.39			(82.06)

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Long-term transactions reported on Form 1099-B - basis **Not** reported to the IRS; Report on Form 8949, Part II, with **Box B** checked. (continued)

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1a) CUSIP Activity type	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
US TSY NOTE 02.125 % DUE 08/15/21 DTD 08/15/11 FC 02/15/12 CUSIP: 912828RC6 Sell	23,000.0000		10/11/11	12/05/12	24,464.45	22,982.03			1,482.42
US TSY NOTE 03.125 % DUE 10/31/16 DTD 10/31/09 FC 04/30/10 CUSIP: 912828LU2 Sell	7,000.0000		03/09/11	12/05/12	7,724.61	7,250.47			474.14
US TSY NOTE 03.625 % DUE 02/15/20 DTD 02/15/10 FC 08/15/10 CUSIP: 912828MP2 Sell	17,000.0000		03/09/11	12/05/12	20,060.00	17,355.94			2,704.06
WALT DISNEY CO NTS 04 500% 121513 DTD122208 FC061509 CALL@MW T+50BP CUSIP: 254687AW6 Sell	12,000.0000		06/01/11	12/05/12	14,160.00	12,826.88			1,333.12
	29,000.0000				34,220.00	30,182.82			4,037.18
WISCONSIN ELEC PWR CO 04.500% 051513 DTD050603 FC111503 MW@+12 5BP CUSIP: 976656BX5 Sell	12,000.0000		03/09/11	07/25/12	12,677.16	13,065.84			(388.68)
Long-term total Box B, Part II	200,000.0000				\$214,694.37	\$207,385.32			\$7,309.05

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Proceeds from Broker Transactions Details Reported on Form 1099-B (continued)

Type of Gain/Loss: Undetermined.

Transactions reported on Form 1099-B - basis **Not** reported to the IRS and Short- or Long-Term Determination is Unknown (to Broker); Report on Form 8949, in either Part I or Part II as appropriate, **Box B** checked.

In this sub-section Date acquired, Cost or Other Basis, and Wash Sale Loss disallowed are not reported to the IRS (Line 6a)

Security description (Line 8) Symbol (Line 1d) CUSIP	Quantity / face value (Line 1e)	Original cost basis (\$)	Date acquired (Line 1b) (Line 1a)	Date of sale / exchange (Line 1a)	Sale amount (Line 2a) (\$)	Cost or other basis (Line 3) (\$)	Wash sale loss disallowed (Line 5) (\$)	Federal income tax withheld (Line 4) (\$)	Gain/Loss (\$)
CNP 2008-3 A-1 DUE 02/01/20 04.1920 FACTOR 0 491303388635 CUSIP: 15200MAA5 Return of Principal				12/31/12	1,668.70				Not Calculated
FHLMC PL G18439 02 5000 DUE 07/01/27 FACTOR 0 796403800000 CUSIP: 3128MMPZ0 Return of Principal				12/31/12	1,501.36				Not Calculated
FNMA PL AH0969 03.5000 DUE 12/01/25 FACTOR 0 552631630000 CUSIP: 3138A2CF4 Return of Principal				12/31/12	7,736.66				Not Calculated **
Term Unknown total									
Box B					\$10,906.72				
Total					\$436,117.41				

**Indicates corrected item.

Corrected 03/12/2013

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