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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No. 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning January 1, 2012, and ending December 31, 2012

Name of foundation NCMIC Foundation, Inc.		A Employer identification number 68-0570762
Number and street (or P O box number if mail is not delivered to street address) 14001 University Avenue		B Telephone number (see instructions) 515-313-4594
City or town, state, and ZIP code Clive, IA 50325		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 10,511,210		J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	80,956			
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	1,342	1,342		
	4 Dividends and interest from securities	282,606	282,606		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	388,703			
	b Gross sales price for all assets on line 6a 11,690,626				
	7 Capital gain net income (from Part IV, line 2)		388,703		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less. Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	753,607	672,651			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	5,000			5,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,000			2,000
	c Other professional fees (attach schedule)	86,448	68,448		18,000
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	6,662			6,662
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,200			1,200
	22 Printing and publications	581			581
	23 Other expenses (attach schedule)	1,216	1,216		
	24 Total operating and administrative expenses. Add lines 13 through 23	103,107	69,664		33,443
	25 Contributions, gifts, grants paid	474,625			474,625
26 Total expenses and disbursements. Add lines 24 and 25	577,732	69,664		508,068	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	175,875				
b Net investment income (if negative, enter -0-)		602,987			
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form **990-PF** (2012)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	33,104	54,775	54,775
	2	Savings and temporary cash investments	68,455	713,281	713,281
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	10,139,273	577,701	577,701
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ <u>Accrued Interest/Rec for Securities</u>)	1,516	9,165,453	9,165,453
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,242,348	10,511,210	10,511,210
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	10,175,102	10,442,530	
	25	Temporarily restricted	67,246	68,680	
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	10,242,348	10,511,210	
	31	Total liabilities and net assets/fund balances (see instructions)	10,242,348	10,511,210	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,242,348
2	Enter amount from Part I, line 27a	2	175,875
3	Other increases not included in line 2 (itemize) ▶ <u>Unrealized Gain(Loss)</u>	3	92,987
4	Add lines 1, 2, and 3	4	10,511,210
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,511,210

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED SCHEDULE			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	388,703
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	388,703

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	214,153	9,842,048	.02176
2010	112,195	4,986,348	.02250
2009	157,198	3,678,101	.04274
2008	369,106	3,506,996	.10525
2007	330,501	4,206,271	.07857

2 Total of line 1, column (d)	2	.27082
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054164
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	10,407,852
5 Multiply line 4 by line 3	5	563,731
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,030
7 Add lines 5 and 6	7	569,761
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	508,068

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	12,059	74
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0	00
3 Add lines 1 and 2		3	12,059	74
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0	00
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	12,059	74
6 Credits/Payments:				
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a			
b Exempt foreign organizations—tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	12,250	00	
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d	7	12,250	00	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10			
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ Refunded ☐	11	190	26	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	✓
c Did the foundation file Form 1120-POL for this year?	1c	✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	3	✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	✓
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	7	✓
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IOWA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation.</i>	8b	✓
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i>	9	✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	✓

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.NCMICFOUNDATION.COM</u>	13	✓	
14	The books are in care of ► <u>MATT GUSTAFSON</u> Telephone no. ► <u>515-313-4594</u> Located at ► <u>14001 UNIVERSITY AVENUE, CLIVE, IA</u> ZIP+4 ► <u>50325-8258</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year	15		☐
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No ✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	✓
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** ☒Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒
If "Yes" to 6b, file Form 8870.**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2 NONE	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	9,083,217
b	Average of monthly cash balances	1b	719,343
c	Fair market value of all other assets (see instructions)	1c	763,788
d	Total (add lines 1a, b, and c)	1d	10,566,348
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	10,566,348
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	158,495
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,407,853
6	Minimum investment return. Enter 5% of line 5	6	520,393

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	520,393
2a	Tax on investment income for 2012 from Part VI, line 5	2a	12,060
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	0
c	Add lines 2a and 2b	2c	12,060
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	508,333
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	508,333
6	Deduction from distributable amount (see instructions)	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	508,333

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	508,068
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	508,068
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	508,068

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				508,333
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012:				
a From 2007	0			
b From 2008	83,834			
c From 2009	0			
d From 2010	0			
e From 2011	0			
f Total of lines 3a through e	83,834			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 508,068				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				508,068
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	265			265
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	83,569			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	83,569			
10 Analysis of line 9:				
a Excess from 2008	0			
b Excess from 2009	83,569			
c Excess from 2010	0			
d Excess from 2011	0			
e Excess from 2012	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling **N/A**

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

MATT GUSTAFSON, NCMIC FOUNDATION, INC., MAIL STOP A3E, 14001 UNIVERSITY AVENUE, CLIVE, IA 50325, (515)313-4594

b The form in which applications should be submitted and information and materials they should include:

Those applying should submit the intended purpose/objective, an itemized budget and periodic milestones to measure progress.

c Any submission deadlines:

No deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Qualifying research projects, education fellowships and other projects related to chiro care and/or alternative approaches to healthcare.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Academic Consortium for Complementary and Alternative Health Care, Seattle, WA 98116	NONE	501(c)3	Research Grant	\$10,000
Dr. Charles W. Gay, Gainesville, FL 32608	NONE	Individual	Fellowship	\$5,000
Dartmouth College, Hanover, NH 03755-1404	NONE	501(c)3	Research Grant	\$232,437
Health Sciences Centre - John Riva, West Hamilton, Ontario, Canada L8S 4K1	NONE	Individual	Fellowship	\$7,000
Institute for Alternative Futures, Alexandria, VA 22314	NONE	501(c)3	Research Grant	\$90,219
James W. Brantingham, DC, PhD, Thousand Oaks, CA 91362	NONE	Individual	Research Grant	\$2,500
Katherine Pohlman, DC, MS, Davenport, IA 52803	NONE	Individual	Fellowship	\$5,000
Kurt Spurgin, DC, Indio, CA 92201	NONE	Individual	Fellowship	\$1,250
Monica Smith, PhD, San Rafael, CA 94901	NONE	Individual	Research Grant	\$2,394
Northwestern Health Sciences University, Bloomington, MN 55431	NONE	501(c)3	Research Grant	\$25,000
Stephanie G.B. Sullivan, Woodstock, GA 30188	NONE	Individual	Fellowship	\$1,000
Seton Hall University - Shawn Williams, South Orange, NJ 07079	NONE	Individual	Fellowship	\$2,500
Texas Chiropractic College Research Department, Pasadena, TX 77505	NONE	501(c)3	Research Grant	\$10,350
VA Connecticut Healthcare System, West Haven, CT 06516	NONE	501(c)3	Research Grant	\$59,975
Wake Forest Chiropractic - Shawn Phelan, DC, Wake Forest, NC 27587	NONE	Individual	Research Grant	\$15,000
World Spine Care - Dr. Scott Haldeman, Santa Ana, CA 92705	NONE	Individual	Research Grant	\$5,000
Total			3a	474,625
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	1,342	
4	Dividends and interest from securities			14	282,606	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			18	388,703	
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				672,651	
13	Total. Add line 12, columns (b), (d), and (e)				13	672,651

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1		Yes	No
Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1)	Cash		✓
(2)	Other assets		✓
b	Other transactions:		
(1)	Sales of assets to a noncharitable exempt organization		✓
(2)	Purchases of assets from a noncharitable exempt organization		✓
(3)	Rental of facilities, equipment, or other assets		✓
(4)	Reimbursement arrangements		✓
(5)	Loans or loan guarantees		✓
(6)	Performance of services or membership or fundraising solicitations		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	✓
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.					May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No
	<i>Stanley J. Buschner</i> Signature of officer or trustee	7/16/13 Date	TREASURER Title			
Paid Preparer Use Only	Print/type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN	
	Firm's name ▶			Firm's EIN ▶		
	Firm's address ▶			Phone no		

NCMIC Foundation, Inc
FEIN: 68-0570762
2012 Form 990-PF Additional Detail

Part I, Line 16b: Schedule of Accounting Fees

Description	Vendor	
AUP Engagement	McGladrey & Pullen	<u>2,000</u>
Total to Part I, Line 16b		<u><u>2,000</u></u>

NCMIC Foundation, Inc
FEIN: 68-0570762
2012 Form 990-PF Additional Detail

Part I, Line 16c: Schedule of Other Professional Fees

Description	Vendor	
Investment Management Fees	Merrill Lynch Wealth Management	68,448
Advisor Fee	Dr D.R. Phillips	<u>18,000</u>
Total to Part I, Line 16c		<u><u>86,448</u></u>

NCMIC Foundation, Inc
FEIN: 68-0570762
2012 Form 990-PF Additional Detail

Part I, Line 18: Schedule of Taxes

US Federal Income Taxes	6,662
Foreign Taxes Withheld	-
Total to Part I, Line 18	<u>6,662</u>

NCMIC Foundation, Inc
FEIN: 68-0570762
2012 Form 990-PF Additional Detail

Part I, Line 23: Schedule of Other Expenses

Bank Fees	<u>1,216</u>
Total to Part I, Line 23	<u><u>1,216</u></u>

NCMIC FOUNDATION, INC.
FEIN #68-0570762
2012 Form 990-PF Additional Detail

PART IV Capital Gains and Losses for Tax on Investment Income

Securities Held with Merrill Lynch

Description of Property	(a)	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g) Cost or Other Basis	Gain/Loss
2,078 shares of Vanguard MSCI Emerging		P	10/30/2009	1/6/2012	80,027.85	-	78,234.21	1,793.64
5,880 shares of Vanguard MSCI Europe		P	4/27/2009	1/6/2012	179,055.50	-	148,216.57	30,838.93
305 shares of Vanguard MSCI Europe		P	1/5/2010	1/6/2012	9,287.74	-	10,703.19	(1,415.45)
4 shares of Vanguard MSCI Europe		P	3/30/2010	1/6/2012	121.80	-	138.60	(16.80)
204 shares of Vanguard MSCI Europe		P	12/30/2010	1/6/2012	6,212.13	-	7,352.76	(1,140.63)
849 shares of SPDR S&P Int Small Cap		P	10/30/2009	1/6/2012	21,420.37	-	21,110.47	309.90
39 shares of Vanguard Mega Cap 300		P	10/30/2009	1/6/2012	1,909.79	-	1,509.07	400.72
1,581 shares of Vanguard Mega Cap 300		P	10/30/2009	1/6/2012	61,282.17	-	52,710.54	8,571.63
9,659 shares of Aberdeen Equity Long		P	7/14/2010	1/6/2012	108,373.97	-	106,442.18	1,931.79
361 shares of Vanguard Small Cap		P	1/6/2011	1/6/2012	25,509.10	-	26,576.10	(1,067.00)
121 shares of Vanguard Small Cap		P	3/1/2011	1/6/2012	8,550.14	-	9,119.67	(569.53)
507 shares of Vanguard Small Cap		P	3/31/2011	1/6/2012	35,825.82	-	40,063.14	(4,237.32)
2,143 shares of Vanguard MSCI Europe		P	1/6/2011	1/6/2012	65,257.82	-	76,655.11	(11,397.29)
912 shares of Vanguard MSCI Europe		P	3/11/2011	1/6/2012	27,771.88	-	33,543.36	(5,771.48)
6,426 shares of Aberdeen Equity Long		P	3/31/2011	1/6/2012	72,099.72	-	75,119.94	(3,020.22)
10 shares of Aberdeen Equity Long		P	4/6/2011	1/6/2012	112.19	-	118.10	(5.91)
1 share of Aberdeen Equity Long		P	4/27/2011	1/6/2012	11.22	-	11.39	(0.17)
5,216 shares of Aberdeen Equity Long		P	4/27/2011	1/6/2012	58,523.53	-	61,600.95	(3,077.42)
1 share of Aberdeen Equity Long		P	6/21/2011	1/6/2012	11.21	-	11.63	(0.42)
768 shares of Aberdeen Equity Long		P	6/21/2011	1/6/2012	8,616.97	-	8,801.28	(184.31)
0.91 shares of Aberdeen Equity Long		P	12/21/2011	1/6/2012	10.21	-	10.21	-
221 shares of Aberdeen Equity Long		P	12/21/2011	1/6/2012	2,479.63	-	2,459.72	19.91
217 shares of iShares Barclays Aggregate		P	10/30/2009	1/6/2012	23,895.47	-	22,748.02	1,147.45
137 shares of iShares Barclays 3-7 Yr		P	7/15/2010	1/6/2012	16,698.61	-	15,820.76	877.85
292 shares of Vanguard Total Bond Mkt		P	10/30/2009	1/6/2012	24,349.12	-	23,196.30	1,152.82
79 shares of iShares JP Morgan		P	10/30/2009	1/6/2012	8,603.30	-	8,051.04	552.26
26,023 shares of Hartford Floating Rate		P	10/30/2009	1/6/2012	225,098.95	-	216,251.14	8,847.81
653 shares of Hartford Floating Rate		P	11/3/2009	1/6/2012	5,648.44	-	5,406.83	241.61
128 shares of Hartford Floating Rate		P	11/30/2009	1/6/2012	1,107.19	-	1,061.12	46.07
1 share of Hartford Floating Rate		P	12/1/2009	1/6/2012	8.65	-	8.30	0.35
127 shares of Hartford Floating Rate		P	12/31/2009	1/6/2012	1,098.54	-	1,075.69	22.85
126 shares of Hartford Floating Rate		P	1/29/2010	1/6/2012	1,089.89	-	1,081.08	8.81
1 share of Hartford Floating Rate		P	2/1/2010	1/6/2012	8.65	-	8.58	0.07
122 shares of Hartford Floating Rate		P	2/26/2010	1/6/2012	1,055.29	-	1,045.54	9.75
6,979 shares of Hartford Floating Rate		P	3/25/2010	1/6/2012	60,368.35	-	60,787.08	(418.73)
1 share of Hartford Floating Rate		P	3/26/2010	1/6/2012	8.64	-	8.72	(0.08)

Description of Property	(a)	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g) Cost or Other Basis	Gain/Loss
128 shares of Hartford Floating Rate		P	3/31/2010	1/6/2012	1,107.20	-	1,118.72	(11.52)
33,189 shares of Hartford Floating Rate		P	4/7/2010	1/6/2012	287,084.89	-	290,735.64	(3,650.75)
1 share of Hartford Floating Rate		P	4/8/2010	1/6/2012	8.64	-	8.77	(0.13)
272 shares of Hartford Floating Rate		P	4/30/2010	1/6/2012	2,352.80	-	2,401.76	(48.96)
323 shares of Hartford Floating Rate		P	5/28/2010	1/6/2012	2,793.95	-	2,764.88	29.07
1 share of Hartford Floating Rate		P	6/1/2010	1/6/2012	8.65	-	8.55	0.10
1 share of Hartford Floating Rate		P	6/30/2010	1/6/2012	8.65	-	8.51	0.14
322 shares of Hartford Floating Rate		P	6/30/2010	1/6/2012	2,785.30	-	2,737.00	48.30
2,643 shares of Hartford Floating Rate		P	7/15/2010	1/6/2012	22,861.95	-	22,597.65	264.30
322 shares of Hartford Floating Rate		P	7/30/2010	1/6/2012	2,785.30	-	2,778.85	6.45
348 shares of Hartford Floating Rate		P	8/31/2010	1/6/2012	3,010.20	-	3,006.72	3.48
1 share of Hartford Floating Rate		P	9/30/2010	1/6/2012	8.65	-	8.57	0.08
324 shares of Hartford Floating Rate		P	10/29/2010	1/6/2012	2,802.60	-	2,825.28	(22.68)
315 shares of Hartford Floating Rate		P	10/29/2010	1/6/2012	2,724.75	-	2,778.30	(53.55)
1 share of Hartford Floating Rate		P	11/30/2010	1/6/2012	8.65	-	8.75	(0.10)
317 shares of Hartford Floating Rate		P	11/30/2010	1/6/2012	2,742.05	-	2,792.76	(50.71)
1 share of Hartford Floating Rate		P	12/31/2010	1/6/2012	8.65	-	8.84	(0.19)
334 shares of Hartford Floating Rate		P	12/31/2010	1/6/2012	2,889.10	-	2,965.92	(76.82)
314 shares of Hartford Floating Rate		P	1/31/2011	1/6/2012	2,716.10	-	2,826.00	(109.90)
1 share of Hartford Floating Rate		P	2/28/2011	1/6/2012	8.64	-	8.99	(0.35)
286 shares of Hartford Floating Rate		P	3/31/2011	1/6/2012	2,473.90	-	2,571.14	(97.24)
1 share of Hartford Floating Rate		P	3/31/2011	1/6/2012	8.64	-	8.97	(0.33)
315 shares of Hartford Floating Rate		P	3/31/2011	1/6/2012	2,724.75	-	2,822.40	(97.65)
6,145 shares of Hartford Floating Rate		P	3/31/2011	1/6/2012	53,154.30	-	55,059.20	(1,904.90)
0.620 shares of Hartford Floating Rate		P	3/31/2011	1/6/2012	0.54	-	0.56	(0.02)
0.111 shares of Vanguard MSCI Europe		P	12/30/2011	1/6/2012	0.96	-	0.95	0.01
103 shares of Hartford Floating Rate		P	3/11/2011	3/26/2012	3,532.25	-	3,788.34	(256.09)
249 shares of Hartford Floating Rate		P	3/31/2011	3/26/2012	2,198.67	-	2,231.04	(32.37)
0.151 shares of Hartford Floating Rate		P	2/29/2012	3/26/2012	1.33	-	1.33	-
3 shares of Hartford Floating Rate		P	3/31/2011	4/13/2012	26.52	-	26.88	(0.36)
0.278 shares of Hartford Floating Rate		P	3/31/2011	4/13/2012	2.46	-	2.50	(0.04)
0.116 shares of Hartford Floating Rate		P	3/30/2012	4/13/2012	1.02	-	1.03	(0.01)
1,241 shares of iShares IBOXXS High Yield		P	10/30/2009	5/14/2012	112,040.29	-	105,345.89	6,694.40
26 shares of iShares IBOXXS High Yield		P	11/3/2009	5/14/2012	2,347.33	-	2,203.50	143.83
10 shares of iShares IBOXXS High Yield		P	11/9/2009	5/14/2012	902.82	-	857.90	44.92
10 shares of iShares IBOXXS High Yield		P	12/8/2009	5/14/2012	902.82	-	863.50	39.32
9 shares of iShares IBOXXS High Yield		P	1/6/2010	5/14/2012	812.53	-	801.36	11.17
10 shares of iShares IBOXXS High Yield		P	2/8/2010	5/14/2012	902.82	-	848.00	54.82
10 shares of iShares IBOXXS High Yield		P	3/8/2010	5/14/2012	902.82	-	879.70	23.12
361 shares of iShares IBOXXS High Yield		P	3/25/2010	5/14/2012	32,591.90	-	32,020.06	571.84
12 shares of iShares IBOXXS High Yield		P	4/9/2010	5/14/2012	1,083.38	-	1,061.23	22.15
13 shares of iShares IBOXXS High Yield		P	5/10/2010	5/14/2012	1,173.67	-	1,119.71	53.96
13 shares of iShares IBOXXS High Yield		P	6/8/2010	5/14/2012	1,173.66	-	1,079.96	93.70
12 shares of iShares IBOXXS High Yield		P	7/9/2010	5/14/2012	1,083.38	-	1,039.55	43.83
15 shares of iShares IBOXXS High Yield		P	7/15/2010	5/14/2012	1,354.23	-	1,306.05	48.18

(a)		(b)	(c)	(d)	(e)	(f)	(g)	
Description of Property		How	Date		Gross Sales Price	Depreciation	Cost or Other Basis	Gain/Loss
		Acquired	Acquired	Date Sold		Allowed		
12	shares of	P	8/9/2010	5/14/2012	1,083.38	-	1,059.22	24.16
12	shares of	P	9/9/2010	5/14/2012	1,083.38	-	1,055.73	27.65
12	shares of	P	10/8/2010	5/14/2012	1,083.38	-	1,072.16	11.22
12	shares of	P	11/8/2010	5/14/2012	1,083.38	-	1,097.71	(14.33)
11	shares of	P	12/8/2010	5/14/2012	993.10	-	985.29	7.81
11	shares of	P	1/5/2011	5/14/2012	1,083.38	-	1,090.22	(6.84)
12	shares of	P	2/8/2011	5/14/2012	993.10	-	1,012.56	(19.46)
12	shares of	P	3/8/2011	5/14/2012	1,083.38	-	1,103.95	(20.57)
938	shares of	P	3/31/2011	5/14/2012	84,684.82	-	86,274.80	(1,589.98)
17	shares of	P	4/8/2011	5/14/2012	1,534.79	-	1,565.36	(30.57)
420	shares of	P	4/27/2011	5/14/2012	37,918.58	-	38,879.40	(960.82)
20	shares of	P	5/9/2011	5/14/2012	1,805.64	-	1,847.50	(41.86)
995	shares of	P	10/30/2009	5/14/2012	111,874.05	-	101,402.34	10,471.71
34	shares of	P	11/3/2009	5/14/2012	3,822.83	-	3,428.90	393.93
4	shares of	P	11/9/2009	5/14/2012	449.74	-	406.92	42.82
5	shares of	P	12/8/2009	5/14/2012	562.18	-	509.80	52.38
5	shares of	P	2/8/2010	5/14/2012	562.18	-	513.00	49.18
5	shares of	P	3/8/2010	5/14/2012	562.18	-	496.45	65.73
284	shares of	P	3/25/2010	5/14/2012	562.18	-	514.50	47.68
1,418	shares of	P	4/7/2010	5/14/2012	31,931.89	-	29,583.76	2,348.13
7	shares of	P	4/9/2010	5/14/2012	159,434.60	-	147,425.06	12,009.54
13	shares of	P	5/10/2010	5/14/2012	787.05	-	728.70	58.35
13	shares of	P	6/8/2010	5/14/2012	1,461.67	-	1,334.98	126.69
13	shares of	P	7/9/2010	5/14/2012	1,461.66	-	1,311.96	149.71
11	shares of	P	8/9/2010	5/14/2012	1,236.80	-	1,357.87	103.79
12	shares of	P	9/9/2010	5/14/2012	1,349.23	-	1,207.79	29.01
11	shares of	P	10/8/2010	5/14/2012	1,236.80	-	1,309.56	39.67
11	shares of	P	11/8/2010	5/14/2012	1,236.80	-	1,236.50	0.30
13	shares of	P	12/8/2010	5/14/2012	1,461.67	-	1,246.40	(9.60)
12	shares of	P	1/5/2011	5/14/2012	1,349.24	-	1,411.68	49.99
13	shares of	P	2/8/2011	5/14/2012	1,461.68	-	1,291.79	57.45
3	shares of	P	3/8/2011	5/14/2012	337.32	-	1,371.12	90.56
4,874	shares of	P	4/6/2011	5/14/2012	245,684.21	-	317.57	19.75
684	shares of	P	4/27/2011	5/14/2012	34,478.45	-	257,296.02	(11,611.81)
18	shares of	P	5/2/2011	5/14/2012	907.32	-	36,552.00	(2,073.55)
20	shares of	P	6/8/2011	5/14/2012	1,805.64	-	972.53	(65.21)
21	shares of	P	7/11/2011	5/14/2012	1,895.92	-	1,811.04	(5.40)
22	shares of	P	8/8/2011	5/14/2012	1,986.21	-	1,911.35	(15.43)
21	shares of	P	9/9/2011	5/14/2012	1,895.93	-	1,821.60	164.61
21	shares of	P	10/10/2011	5/14/2012	1,895.93	-	1,813.70	82.23
20	shares of	P	11/8/2011	5/14/2012	1,805.64	-	1,772.90	123.03
21	shares of	P	12/8/2011	5/14/2012	1,895.93	-	1,771.29	34.35
20	shares of	P	1/5/2012	5/14/2012	1,805.65	-	1,834.52	61.41
163	shares of	P	1/6/2012	5/14/2012	14,716.05	-	1,789.74	15.91
							14,497.14	218.91

Description of Property	(a)	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g) Cost or Other Basis	Gain/Loss
22 shares of Wisdomtree Emerging		P	5/31/2011	5/14/2012	1,108 95	-	1,175 89	(66 94)
22 shares of Wisdomtree Emerging		P	6/29/2011	5/14/2012	1,108 94	-	1,168 91	(59 97)
21 shares of Wisdomtree Emerging		P	8/1/2011	5/14/2012	1,058 55	-	1,146 81	(88 26)
23 shares of Wisdomtree Emerging		P	8/29/2011	5/14/2012	1,159 36	-	1,237 17	(77 81)
25 shares of Wisdomtree Emerging		P	10/3/2011	5/14/2012	1,260 17	-	1,204 21	55 96
22 shares of Wisdomtree Emerging		P	10/31/2011	5/14/2012	1,108 95	-	1,127 06	(18 11)
23 shares of Wisdomtree Emerging		P	11/29/2011	5/14/2012	1,159 35	-	1,113 05	46 30
14 shares of Wisdomtree Emerging		P	12/29/2011	5/14/2012	705 70	-	680 11	25 59
6 shares of Wisdomtree Emerging		P	1/3/2012	5/14/2012	302 43	-	293 83	8 60
601 shares of Wisdomtree Emerging		P	1/6/2012	5/14/2012	30,294 74	-	29,275 11	1,019 63
17 shares of Wisdomtree Emerging		P	1/30/2012	5/14/2012	856 92	-	870 05	(13 13)
16 shares of Wisdomtree Emerging		P	2/28/2012	5/14/2012	806 51	-	840 63	(34 12)
16 shares of Wisdomtree Emerging		P	4/2/2012	5/14/2012	806 52	-	828 15	(21 63)
16 2954 shares of Wisdomtree Emerging		P	4/30/2012	5/14/2012	821 33	-	845 86	(24 53)
149 shares of Vanguard Mega Cap 300		P	10/30/2009	7/16/2012	6,048 22	-	4,967 66	1,080 56
228 151 shares of Natus Gateway Fund		P	7/14/2010	10/9/2012	6,287 85	-	5,619 35	668 50
0 441 shares of Natus Gateway Fund		P	9/21/2012	10/9/2012	12 15	-	12 16	(0 01)
34,054 shares of Hartford Floating Rate		P	3/31/2011	12/31/2012	304,783 30	-	305,123 84	(340 54)
17,994 shares of Hartford Floating Rate		P	4/27/2011	12/31/2012	161,046 29	-	161,586 10	(539 81)
439 shares of Hartford Floating Rate		P	4/29/2011	12/31/2012	3,929 05	-	3,946 60	(17 55)
525 shares of Hartford Floating Rate		P	5/31/2011	12/31/2012	4,698 75	-	4,693 54	5 21
550 shares of Hartford Floating Rate		P	6/30/2011	12/31/2012	4,922 50	-	4,873 06	49 44
568 shares of Hartford Floating Rate		P	7/29/2011	12/31/2012	5,083 60	-	5,032 47	51 13
592 shares of Hartford Floating Rate		P	8/31/2011	12/31/2012	5,298 40	-	4,973 13	325 27
558 shares of Hartford Floating Rate		P	9/30/2011	12/31/2012	4,994 10	-	4,687 19	306 91
595 shares of Hartford Floating Rate		P	10/31/2011	12/31/2012	5,325 24	-	5,146 53	178 71
582 shares of Hartford Floating Rate		P	11/30/2011	12/31/2012	5,208 90	-	4,987 80	221 10
608 shares of Hartford Floating Rate		P	12/30/2011	12/31/2012	5,441 60	-	5,228 79	212 81
318 shares of Hartford Floating Rate		P	1/31/2012	12/31/2012	2,846 10	-	2,782 49	63 61
232 shares of Hartford Floating Rate		P	2/29/2012	12/31/2012	2,076 40	-	2,044 05	32 35
249 shares of Hartford Floating Rate		P	3/30/2012	12/31/2012	2,228 55	-	2,201 15	27 40
261 shares of Hartford Floating Rate		P	4/30/2012	12/31/2012	2,174 85	-	2,155 47	19 38
250 shares of Hartford Floating Rate		P	5/31/2012	12/31/2012	2,335 95	-	2,283 74	52 21
246 shares of Hartford Floating Rate		P	6/29/2012	12/31/2012	2,237 50	-	2,197 50	40 00
246 shares of Hartford Floating Rate		P	7/31/2012	12/31/2012	2,201 70	-	2,169 71	31 99
239 shares of Hartford Floating Rate		P	8/31/2012	12/31/2012	2,201 70	-	2,181 95	19 75
251 shares of Hartford Floating Rate		P	9/28/2012	12/31/2012	2,139 05	-	2,136 65	2 40
232 shares of Hartford Floating Rate		P	11/1/2012	12/31/2012	2,246 46	-	2,243 91	2 55
0 2180 shares of Hartford Floating Rate		P	11/30/2012	12/31/2012	2,076 41	-	2,071 76	4 65
2,493 shares of iShares Barclays 3-7 Yr		P	12/31/2012	12/31/2012	1 95	-	1 95	-
4 shares of iShares Barclays 3-7 Yr		P	7/15/2010	12/31/2012	307,629 30	-	287,891 64	19,737 66
4 shares of iShares Barclays 3-7 Yr		P	8/9/2010	12/31/2012	493 58	-	466 48	27 10
4 shares of iShares Barclays 3-7 Yr		P	9/9/2010	12/31/2012	493 58	-	467 12	26 46
4 shares of iShares Barclays 3-7 Yr		P	10/8/2010	12/31/2012	493 58	-	476 66	16 92
4 shares of iShares Barclays 3-7 Yr		P	11/8/2010	12/31/2012	493 58	-	475 99	17 59

Description of Property	(a)	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g)	
							Cost or Other Basis	Gain/Loss
4 shares of iShares Barclays 3-7 Yr		P	12/8/2010	12/31/2012	493 58	-	459 87	33 71
6 shares of iShares Barclays 3-7 Yr		P	1/5/2011	12/31/2012	616 97	-	573 33	43 64
4 shares of iShares Barclays 3-7 Yr		P	2/8/2011	12/31/2012	493 58	-	452 96	40 62
4 shares of iShares Barclays 3-7 Yr		P	3/8/2011	12/31/2012	493 58	-	456 71	36 87
1 800 shares of iShares Barclays 3-7 Yr		P	3/31/2011	12/31/2012	222,115 08	-	205,717 50	16,397 58
8 shares of iShares Barclays 3-7 Yr		P	4/8/2011	12/31/2012	987 17	-	909 66	77 51
681 shares of iShares Barclays 3-7 Yr		P	4/27/2011	12/31/2012	84,033 54	-	78,411 77	5,621 77
9 shares of iShares Barclays 3-7 Yr		P	5/9/2011	12/31/2012	1,110 56	-	1,044 78	65 78
9 shares of iShares Barclays 3-7 Yr		P	6/8/2011	12/31/2012	1,110 57	-	1,061 13	49 44
8 shares of iShares Barclays 3-7 Yr		P	7/11/2011	12/31/2012	987 18	-	946 06	41 12
8 shares of iShares Barclays 3-7 Yr		P	8/8/2011	12/31/2012	987 18	-	964 10	23 08
7 shares of iShares Barclays 3-7 Yr		P	9/9/2011	12/31/2012	863 77	-	852 96	10 81
6 shares of iShares Barclays 3-7 Yr		P	10/10/2011	12/31/2012	740 38	-	722 47	17 91
6 shares of iShares Barclays 3-7 Yr		P	11/8/2011	12/31/2012	740 38	-	729 42	10 96
6 shares of iShares Barclays 3-7 Yr		P	12/8/2011	12/31/2012	740 38	-	729 90	10 48
5 shares of iShares Barclays 3-7 Yr		P	1/5/2012	12/31/2012	616 99	-	608 46	8 53
5 shares of iShares Barclays 3-7 Yr		P	2/8/2012	12/31/2012	616 99	-	610 35	6 64
5 shares of iShares Barclays 3-7 Yr		P	3/8/2012	12/31/2012	616 98	-	609 50	7 48
5 shares of iShares Barclays 3-7 Yr		P	4/10/2012	12/31/2012	616 98	-	609 46	7 52
5 shares of iShares Barclays 3-7 Yr		P	5/8/2012	12/31/2012	493 59	-	490 34	3 25
5 shares of iShares Barclays 3-7 Yr		P	6/8/2012	12/31/2012	616 98	-	614 70	2 28
4 shares of iShares Barclays 3-7 Yr		P	7/10/2012	12/31/2012	493 60	-	493 48	0 12
3 shares of iShares Barclays 3-7 Yr		P	8/8/2012	12/31/2012	370 20	-	369 14	1 06
3 shares of iShares Barclays 3-7 Yr		P	9/11/2012	12/31/2012	370 20	-	369 73	0 47
3 shares of iShares Barclays 3-7 Yr		P	10/8/2012	12/31/2012	370 20	-	370 42	(0 22)
3 shares of iShares Barclays 3-7 Yr		P	11/8/2012	12/31/2012	370 20	-	370 47	(0 27)
2 shares of iShares Barclays 3-7 Yr		P	12/10/2012	12/31/2012	246 81	-	247 65	(0 84)
0 4079 shares of iShares Lehman 3-7 years		P	12/10/2012	1/2/2013	-	-	50 51	(50 51)
2,180 shares of iShares Barclays Credit		P	10/30/2009	12/31/2012	247,041 36	-	222,070 50	24,970 86
52 shares of iShares Barclays Credit		P	11/3/2009	12/31/2012	5,892 72	-	5,294 12	598 60
9 shares of iShares Barclays Credit		P	11/9/2009	12/31/2012	1,019 89	-	918 45	101 44
8 shares of iShares Barclays Credit		P	12/8/2009	12/31/2012	906 57	-	818 24	88 33
9 shares of iShares Barclays Credit		P	1/6/2010	12/31/2012	1,019 89	-	915 84	104 05
9 shares of iShares Barclays Credit		P	2/8/2010	12/31/2012	1,019 89	-	919 17	100 72
9 shares of iShares Barclays Credit		P	3/8/2010	12/31/2012	1,019 89	-	919 17	100 72
578 shares of iShares Barclays Credit		P	3/25/2010	12/31/2012	65,499 96	-	59,013 80	6,486 16
4 shares of iShares Barclays Credit		P	4/7/2010	12/31/2012	453 28	-	405 80	47 48
12 shares of iShares Barclays Credit		P	4/9/2010	12/31/2012	1,359 86	-	1,219 17	140 69
12 shares of iShares Barclays Credit		P	5/10/2010	12/31/2012	1,359 85	-	1,227 69	132 16
11 shares of iShares Barclays Credit		P	6/8/2010	12/31/2012	1,246 53	-	1,133 00	113 53
11 shares of iShares Barclays Credit		P	7/9/2010	12/31/2012	1,246 54	-	1,139 49	107 05
11 shares of iShares Barclays Credit		P	8/9/2010	12/31/2012	1,246 53	-	1,166 97	79 56
10 shares of iShares Barclays Credit		P	9/9/2010	12/31/2012	1,133 22	-	1,069 44	63 78
11 shares of iShares Barclays Credit		P	10/8/2010	12/31/2012	1,246 53	-	1,194 89	51 64
10 shares of iShares Barclays Credit		P	11/8/2010	12/31/2012	1,133 21	-	1,075 00	58 21

(a)	Description of Property	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g) Cost or Other Basis	Gain/Loss
12	shares of iShares Barclays Credit	P	12/8/2010	12/31/2012	1,359.85	-	1,246.14	113.71
10	shares of iShares Barclays Credit	P	1/5/2011	12/31/2012	1,133.21	-	1,035.99	97.22
11	shares of iShares Barclays Credit	P	2/8/2011	12/31/2012	1,246.53	-	1,136.74	109.79
12	shares of iShares Barclays Credit	P	3/8/2011	12/31/2012	1,359.86	-	1,247.72	112.14
1,896	shares of iShares Barclays Credit	P	3/31/2011	12/31/2012	214,858.03	-	197,974.25	16,883.78
18	shares of iShares Barclays Credit	P	4/8/2011	12/31/2012	2,039.79	-	1,868.81	170.98
725	shares of iShares Barclays Credit	P	4/27/2011	12/31/2012	82,158.26	-	76,444.00	5,714.26
20	shares of iShares Barclays Credit	P	5/9/2011	12/31/2012	2,266.43	-	2,123.86	142.57
20	shares of iShares Barclays Credit	P	6/8/2011	12/31/2012	2,266.43	-	2,127.57	138.86
21	shares of iShares Barclays Credit	P	7/11/2011	12/31/2012	2,379.75	-	2,237.28	142.47
20	shares of iShares Barclays Credit	P	8/8/2011	12/31/2012	2,266.43	-	2,151.00	115.43
20	shares of iShares Barclays Credit	P	9/9/2011	12/31/2012	2,266.43	-	2,173.30	93.13
21	shares of iShares Barclays Credit	P	10/10/2011	12/31/2012	2,379.75	-	2,242.74	137.01
19	shares of iShares Barclays Credit	P	11/8/2011	12/31/2012	2,153.11	-	2,078.92	74.19
17	shares of iShares Barclays Credit	P	12/8/2011	12/31/2012	1,926.46	-	1,819.11	107.35
18	shares of iShares Barclays Credit	P	1/5/2012	12/31/2012	2,039.79	-	1,949.48	90.31
2,765	shares of iShares Barclays Credit	P	1/6/2012	12/31/2012	313,334.68	-	299,726.00	13,608.68
25	shares of iShares Barclays Credit	P	2/8/2012	12/31/2012	2,833.04	-	2,762.30	70.74
28	shares of iShares Barclays Credit	P	3/8/2012	12/31/2012	3,173.00	-	3,094.67	78.33
26	shares of iShares Barclays Credit	P	4/10/2012	12/31/2012	2,946.36	-	2,862.10	84.26
25	shares of iShares Barclays Credit	P	5/8/2012	12/31/2012	2,946.36	-	2,880.59	65.77
26	shares of iShares Barclays Credit	P	6/8/2012	12/31/2012	2,833.04	-	2,759.77	73.27
25	shares of iShares Barclays Credit	P	7/10/2012	12/31/2012	2,946.37	-	2,905.25	41.12
25	shares of iShares Barclays Credit	P	8/8/2012	12/31/2012	2,833.04	-	2,822.49	10.55
25	shares of iShares Barclays Credit	P	9/11/2012	12/31/2012	2,833.05	-	2,828.67	4.38
25	shares of iShares Barclays Credit	P	10/8/2012	12/31/2012	2,833.05	-	2,843.89	(10.84)
25	shares of iShares Barclays Credit	P	11/8/2012	12/31/2012	2,833.05	-	2,856.31	(23.26)
24	shares of iShares Barclays Credit	P	12/10/2012	12/31/2012	2,719.74	-	2,735.87	(16.13)
0.9765	shares of iShares Barclays Credit	P	12/10/2012	1/2/2013	-	-	111.33	(111.33)
2,148	shares of iShares Core Total U S	P	10/30/2009	12/31/2012	238,877.65	-	225,173.98	13,703.67
148	shares of iShares Core Total U S	P	11/3/2009	12/31/2012	16,458.98	-	15,440.84	1,018.14
16	shares of iShares Core Total U S	P	11/9/2009	12/31/2012	1,779.35	-	1,671.20	108.15
18	shares of iShares Core Total U S	P	12/8/2009	12/31/2012	2,001.76	-	1,892.70	109.06
22	shares of iShares Core Total U S	P	1/5/2010	12/31/2012	2,446.60	-	2,283.38	163.22
16	shares of iShares Core Total U S	P	1/6/2010	12/31/2012	1,779.35	-	1,661.12	118.23
18	shares of iShares Core Total U S	P	2/8/2010	12/31/2012	2,001.76	-	1,881.18	120.58
17	shares of iShares Core Total U S	P	3/8/2010	12/31/2012	1,890.55	-	1,774.63	115.92
1,566	shares of iShares Core Total U S	P	3/25/2010	12/31/2012	174,153.83	-	162,892.66	11,261.17
11	shares of iShares Core Total U S	P	4/7/2010	12/31/2012	1,223.30	-	1,138.28	85.02
22	shares of iShares Core Total U S	P	4/9/2010	12/31/2012	2,446.60	-	2,281.51	165.09
21	shares of iShares Core Total U S	P	5/10/2010	12/31/2012	2,335.39	-	2,194.26	141.13
22	shares of iShares Core Total U S	P	6/8/2010	12/31/2012	2,446.60	-	2,331.29	115.31
21	shares of iShares Core Total U S	P	7/9/2010	12/31/2012	2,335.39	-	2,244.89	90.50
20	shares of iShares Core Total U S	P	8/9/2010	12/31/2012	2,224.18	-	2,151.44	72.74
19	shares of iShares Core Total U S	P	9/9/2010	12/31/2012	2,112.98	-	2,051.75	61.23

	(a)	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g) Cost or Other Basis	Gain/Loss
17	shares of	iShares Core Total U S	10/8/2010	12/31/2012	1,890.56	-	1,851.72	38.84
19	shares of	iShares Core Total U S	11/8/2010	12/31/2012	2,112.98	-	2,062.59	50.39
37	shares of	iShares Core Total U S	12/8/2010	12/31/2012	4,114.74	-	3,900.50	214.24
24	shares of	iShares Core Total U S	1/5/2011	12/31/2012	2,669.01	-	2,519.91	149.10
21	shares of	iShares Core Total U S	2/8/2011	12/31/2012	2,335.39	-	2,189.18	146.21
20	shares of	iShares Core Total U S	3/8/2011	12/31/2012	2,224.18	-	2,098.27	125.91
4,873	shares of	iShares Core Total U S	3/31/2011	12/31/2012	541,923.15	-	512,975.35	28,947.80
36	shares of	iShares Core Total U S	4/8/2011	12/31/2012	4,003.53	-	3,766.07	237.46
1,825	shares of	iShares Core Total U S	4/27/2011	12/31/2012	202,957.07	-	193,463.51	9,493.56
34	shares of	iShares Core Total U S	5/9/2011	12/31/2012	3,781.10	-	3,627.02	154.08
32	shares of	iShares Core Total U S	6/8/2011	12/31/2012	3,558.70	-	3,443.07	115.63
31	shares of	iShares Core Total U S	7/11/2011	12/31/2012	3,558.69	-	3,435.36	123.33
24	shares of	iShares Core Total U S	8/8/2011	12/31/2012	3,447.49	-	3,367.15	80.34
23	shares of	iShares Core Total U S	9/9/2011	12/31/2012	2,669.01	-	2,639.53	29.48
30	shares of	iShares Core Total U S	10/10/2011	12/31/2012	2,557.80	-	2,511.19	46.61
60	shares of	iShares Core Total U S	11/8/2011	12/31/2012	3,336.27	-	3,299.12	37.15
3	shares of	iShares Core Total U S	12/9/2011	12/31/2012	6,672.57	-	6,569.93	102.64
28	shares of	iShares Core Total U S	1/5/2012	12/31/2012	333.61	-	329.66	3.95
28	shares of	iShares Core Total U S	2/8/2012	12/31/2012	3,113.86	-	3,093.50	20.36
25	shares of	iShares Core Total U S	3/8/2012	12/31/2012	3,113.87	-	3,091.87	22.00
23	shares of	iShares Core Total U S	4/10/2012	12/31/2012	2,780.23	-	2,754.63	25.60
25	shares of	iShares Core Total U S	5/8/2012	12/31/2012	2,577.82	-	2,549.32	8.50
22	shares of	iShares Core Total U S	6/8/2012	12/31/2012	2,780.23	-	2,776.28	3.95
23	shares of	iShares Core Total U S	7/10/2012	12/31/2012	2,446.61	-	2,458.80	(12.19)
22	shares of	iShares Core Total U S	8/8/2012	12/31/2012	2,557.81	-	2,572.71	(14.90)
21	shares of	iShares Core Total U S	9/11/2012	12/31/2012	2,446.61	-	2,460.91	(14.30)
25	shares of	iShares Core Total U S	10/8/2012	12/31/2012	2,335.41	-	2,350.84	(15.43)
23	shares of	iShares Core Total U S	11/8/2012	12/31/2012	2,780.25	-	2,799.66	(19.41)
1	shares of	iShares Core Total U S	12/10/2012	12/31/2012	2,557.82	-	2,568.25	(10.43)
45	shares of	iShares Core Total U S	12/11/2012	12/31/2012	111.21	-	111.64	(0.43)
0.3079	shares of	iShares Core Total U S	12/11/2012	12/31/2012	5,004.46	-	5,021.95	(17.49)
3,397	shares of	iShares Core Total U S	12/11/2012	1/2/2013	-	-	34.36	(34.36)
39	shares of	iShares iBOXX\$ High Yield	1/6/2012	12/31/2012	315,981.86	-	302,127.47	13,854.39
42	shares of	iShares iBOXX\$ High Yield	2/8/2012	12/31/2012	3,627.69	-	3,547.86	79.83
43	shares of	iShares iBOXX\$ High Yield	3/8/2012	12/31/2012	3,906.74	-	3,792.39	114.35
39	shares of	iShares iBOXX\$ High Yield	4/10/2012	12/31/2012	3,999.77	-	3,832.97	166.80
22	shares of	iShares iBOXX\$ High Yield	5/8/2012	12/31/2012	3,627.70	-	3,544.47	83.23
21	shares of	iShares iBOXX\$ High Yield	6/8/2012	12/31/2012	2,046.39	-	1,949.91	96.48
19	shares of	iShares iBOXX\$ High Yield	7/10/2012	12/31/2012	1,953.37	-	1,912.60	40.77
20	shares of	iShares iBOXX\$ High Yield	8/8/2012	12/31/2012	1,767.34	-	1,739.98	27.36
19	shares of	iShares iBOXX\$ High Yield	9/11/2012	12/31/2012	1,860.36	-	1,853.02	7.34
21	shares of	iShares iBOXX\$ High Yield	10/8/2012	12/31/2012	1,767.35	-	1,754.23	13.12
19	shares of	iShares iBOXX\$ High Yield	11/8/2012	12/31/2012	1,953.38	-	1,931.89	21.49
0.6391	shares of	iShares iBOXX\$ High Yield	12/10/2012	12/31/2012	1,767.36	-	1,770.73	(3.37)
			12/10/2012	1/2/2013	-	-	59.17	(59.17)

(a)	Description of Property	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g) Cost or Other Basis	Gain/Loss
10	shares of	P	3/5/2012	12/31/2012	1,226 17	-	1,060 19	165 98
1,817	Shares JP Morgan EM Bond	P	3/31/2011	12/31/2012	222,795 45	-	193,710 37	29,085 08
20	shares of	P	4/8/2011	12/31/2012	2,452 34	-	2,133 27	319 07
746	Shares JP Morgan EM Bond	P	4/27/2011	12/31/2012	91,472 44	-	79,753 96	11,718 48
23	shares of	P	5/9/2011	12/31/2012	2,820 19	-	2,481 41	338 78
24	Shares JP Morgan EM Bond	P	6/8/2011	12/31/2012	2,942 80	-	2,610 76	332 04
23	shares of	P	7/11/2011	12/31/2012	2,820 19	-	2,512 63	307 56
21	Shares JP Morgan EM Bond	P	8/8/2011	12/31/2012	2,574 96	-	2,281 59	293 37
22	shares of	P	9/9/2011	12/31/2012	2,697 58	-	2,445 67	251 91
24	Shares JP Morgan EM Bond	P	10/10/2011	12/31/2012	2,942 80	-	2,539 11	403 69
23	shares of	P	11/8/2011	12/31/2012	2,820 19	-	2,550 12	270 07
23	Shares JP Morgan EM Bond	P	12/8/2011	12/31/2012	2,820 20	-	2,513 73	306 47
22	shares of	P	1/5/2012	12/31/2012	2,697 57	-	2,396 50	301 07
22	Shares JP Morgan EM Bond	P	2/8/2012	12/31/2012	2,697 58	-	2,455 70	241 88
23	shares of	P	3/8/2012	12/31/2012	2,820 19	-	2,609 71	210 48
22	Shares JP Morgan EM Bond	P	4/10/2012	12/31/2012	2,697 58	-	2,464 26	233 32
22	shares of	P	5/8/2012	12/31/2012	2,697 58	-	2,515 48	182 10
12	Shares JP Morgan EM Bond	P	6/8/2012	12/31/2012	1,471 40	-	1,341 82	129 58
11	shares of	P	7/10/2012	12/31/2012	1,348 80	-	1,273 17	75 63
10	Shares JP Morgan EM Bond	P	8/8/2012	12/31/2012	1,348 79	-	1,309 43	39 36
10	shares of	P	9/11/2012	12/31/2012	1,226 18	-	1,207 86	18 32
10	Shares JP Morgan EM Bond	P	10/8/2012	12/31/2012	1,226 18	-	1,216 01	10 17
9	shares of	P	11/8/2012	12/31/2012	1,226 18	-	1,217 48	8 70
0 6122	Shares JP Morgan EM Bond	P	12/10/2012	12/31/2012	1 103 58	-	1,105 08	(1 50)
1,686	Shares MSCI CDA Index	P	12/10/2012	1/2/2013	-	-	74 97	(74 97)
991	shares of	P	3/11/2011	12/31/2012	47,240 66	-	54,868 34	(7,627 68)
879	Shares MSCI CDA Index	P	3/31/2011	12/31/2012	27,767 20	-	33,433 66	(5,666 46)
372	shares of	P	4/27/2011	12/31/2012	24,629 03	-	29,285 99	(4,656 96)
27	Shares MSCI CDA Index	P	6/21/2011	12/31/2012	10,423 20	-	11,530 66	(1,107 46)
52	shares of	P	6/29/2011	12/31/2012	756 52	-	837 80	(81 28)
53	Shares MSCI CDA Index	P	12/30/2011	12/31/2012	1,457 01	-	1,378 42	78 59
34	shares of	P	1/6/2012	12/31/2012	1,485 03	-	1,424 64	60 39
55	Shares MSCI CDA Index	P	6/29/2012	12/31/2012	952 65	-	881 55	71 10
0 5753	Shares MSCI CDA Index	P	12/28/2012	12/31/2012	1,541 08	-	1,544 00	(2 92)
3,848	Shares of	P	12/28/2012	1/2/2013	-	-	16 17	(16 17)
50	Natixis Gateway Fund	P	7/14/2010	12/31/2012	104,319 27	-	94,776 24	9,543 03
22	shares of	P	9/23/2010	12/31/2012	1,355 49	-	1,261 52	93 97
2,858	Natixis Gateway Fund	P	3/24/2011	12/31/2012	596 41	-	581 23	15 18
35	shares of	P	3/31/2011	12/31/2012	77,480 38	-	75,992 80	1,487 58
2,249	Natixis Gateway Fund	P	4/6/2011	12/31/2012	948 84	-	936 25	12 59
150	shares of	P	4/27/2011	12/31/2012	60,970 39	-	60,587 87	382 52
48	Natixis Gateway Fund	P	6/21/2011	12/31/2012	4,066 50	-	3,978 00	88 50
51	shares of	P	6/23/2011	12/31/2012	1,301 28	-	1,262 77	38 51
49	Natixis Gateway Fund	P	9/22/2011	12/31/2012	1,382 61	-	1,276 69	105 92
	shares of	P	12/20/2011	12/31/2012	1,328 39	-	1,284 78	43 61

Description of Property	(a)	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g) Cost or Other Basis	Gain/Loss
5 852 shares of	Natvis Gateway Fund	P	1/6/2012	12/31/2012	158,647.75	-	155,252.60	3,395.15
67 shares of	Natvis Gateway Fund	P	1/6/2012	12/31/2012	1,816.37	-	1,803.63	12.74
68 shares of	Natvis Gateway Fund	P	6/22/2012	12/31/2012	1,843.48	-	1,813.56	29.92
67 shares of	Natvis Gateway Fund	P	9/21/2012	12/31/2012	1,816.37	-	1,847.06	(30.69)
102 8570 shares of	Natvis Gateway Fund	P	12/21/2012	12/31/2012	2,788.46	-	2,789.40	(0.94)
0 3575 shares of	Powershares Inter DVD	P	10/1/2012	1/2/2013	-	-	5.28	(5.28)
18,697 shares of	Powershares Inter DVD	P	1/6/2012	12/31/2012	291,859.24	-	273,295.92	18,563.32
86 shares of	Powershares Inter DVD	P	4/2/2012	12/31/2012	1,342.45	-	1,317.52	24.93
269 shares of	Powershares Inter DVD	P	7/2/2012	12/31/2012	4,199.07	-	3,935.95	263.12
94 shares of	Powershares Inter DVD	P	10/1/2012	12/31/2012	1,467.35	-	1,435.38	31.97
15,263 shares of	PYXIS Long/Short Equity	P	10/7/2011	12/31/2012	167,435.10	-	161,482.54	5,952.56
92 shares of	PYXIS Long/Short Equity	P	12/16/2011	12/31/2012	1,009.24	-	977.01	32.23
9,899 shares of	PYXIS Long/Short Equity	P	1/6/2012	12/31/2012	108,592.03	-	106,909.12	1,682.91
694 3090 shares of	PYXIS Long/Short Equity	P	12/14/2012	12/31/2012	7,616.58	-	7,561.00	55.58
551 shares of	Spider S&P Intl Small Cap	P	10/30/2009	12/31/2012	15,416.91	-	13,700.67	1,716.24
487 shares of	Spider S&P Intl Small Cap	P	1/5/2010	12/31/2012	13,626.19	-	12,753.66	872.53
23 shares of	Spider S&P Intl Small Cap	P	7/1/2010	12/31/2012	643.53	-	547.85	95.68
32 shares of	Spider S&P Intl Small Cap	P	12/31/2010	12/31/2012	895.35	-	982.08	(86.73)
168 shares of	Spider S&P Intl Small Cap	P	1/6/2011	12/31/2012	4,700.61	-	5,143.79	(443.18)
239 shares of	Spider S&P Intl Small Cap	P	3/11/2011	12/31/2012	6,687.19	-	7,349.73	(662.54)
1,324 shares of	Spider S&P Intl Small Cap	P	3/31/2011	12/31/2012	37,045.36	-	41,993.84	(4,948.48)
37 shares of	Spider S&P Intl Small Cap	P	4/6/2011	12/31/2012	1,035.25	-	1,173.27	(138.02)
997 shares of	Spider S&P Intl Small Cap	P	4/27/2011	12/31/2012	27,895.95	-	32,272.89	(4,376.94)
307 shares of	Spider S&P Intl Small Cap	P	6/21/2011	12/31/2012	8,589.83	-	9,471.47	(881.64)
21 shares of	Spider S&P Intl Small Cap	P	6/30/2011	12/31/2012	587.56	-	660.74	(73.18)
137 shares of	Spider S&P Intl Small Cap	P	12/30/2011	12/31/2012	3,833.25	-	3,433.21	400.04
48 shares of	Spider S&P Intl Small Cap	P	6/28/2012	12/31/2012	1,343.05	-	1,192.77	150.28
0 0495 shares of	Spider S&P Intl Small Cap	P	6/28/2012	1/2/2013	-	-	1.23	(1.23)
2,480 shares of	Vanguard Dividend	P	1/6/2012	12/31/2012	145,261.01	-	136,573.60	8,687.41
11 shares of	Vanguard Dividend	P	4/2/2012	12/31/2012	644.30	-	643.49	0.81
14 shares of	Vanguard Dividend	P	7/2/2012	12/31/2012	820.02	-	796.03	23.99
13 shares of	Vanguard Dividend	P	10/1/2012	12/31/2012	761.45	-	778.89	(17.44)
22 shares of	Vanguard Dividend	P	12/28/2012	12/31/2012	1,288.61	-	1,298.79	(10.18)
0 1834 shares of	Vanguard Dividend	P	12/28/2012	1/2/2013	-	-	10.83	(10.83)
3,045 shares of	Vanguard Mega Cap 300	P	10/30/2009	12/31/2012	165,647.63	-	117,823.22	47,824.41
20 shares of	Vanguard Mega Cap 300	P	7/1/2010	12/31/2012	1,087.99	-	759.79	328.20
22 shares of	Vanguard Mega Cap 300	P	10/1/2010	12/31/2012	1,196.79	-	946.21	250.58
495 shares of	Vanguard Mega Cap 300	P	3/11/2011	12/31/2012	26,927.94	-	23,950.08	2,977.86
2,920 shares of	Vanguard Mega Cap 300	P	3/31/2011	12/31/2012	158,847.66	-	143,924.46	14,923.20
13 shares of	Vanguard Mega Cap 300	P	4/1/2011	12/31/2012	707.19	-	643.62	63.57
2,123 shares of	Vanguard Mega Cap 300	P	4/27/2011	12/31/2012	115,490.96	-	106,415.38	9,075.58
455 shares of	Vanguard Mega Cap 300	P	6/21/2011	12/31/2012	24,751.95	-	21,985.60	2,766.35
35 shares of	Vanguard Mega Cap 300	P	7/1/2011	12/31/2012	1,903.98	-	1,732.48	171.50
35 shares of	Vanguard Mega Cap 300	P	9/30/2011	12/31/2012	1,903.99	-	1,546.63	357.36
41 shares of	Vanguard Mega Cap 300	P	12/29/2011	12/31/2012	2,230.40	-	1,967.59	262.81

Description of Property	(a)	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g) Cost or Other Basis	Gain/Loss
1,749 shares of Vanguard Mega Cap 300		P	10/30/2009	12/31/2012	73,281.45	-	58,311.66	14,969.79
544 shares of Vanguard Mega Cap 300		P	1/5/2010	12/31/2012	22,793.09	-	19,465.68	3,327.41
47 shares of Vanguard Mega Cap 300		P	7/1/2010	12/31/2012	1,969.25	-	1,535.17	434.08
45 shares of Vanguard Mega Cap 300		P	10/1/2010	12/31/2012	1,885.44	-	1,606.20	279.24
381 shares of Vanguard Mega Cap 300		P	3/11/2011	12/31/2012	15,963.54	-	15,525.45	438.09
2,900 shares of Vanguard Mega Cap 300		P	3/31/2011	12/31/2012	121,507.29	-	119,680.10	1,827.19
26 shares of Vanguard Mega Cap 300		P	4/1/2011	12/31/2012	1,089.37	-	1,077.70	11.67
13 shares of Vanguard Mega Cap 300		P	4/6/2011	12/31/2012	544.68	-	539.54	5.14
2,315 shares of Vanguard Mega Cap 300		P	4/27/2011	12/31/2012	96,996.34	-	96,688.98	307.36
393 shares of Vanguard Mega Cap 300		P	6/21/2011	12/31/2012	16,466.33	-	15,873.27	593.06
64 shares of Vanguard Mega Cap 300		P	7/1/2011	12/31/2012	2,681.54	-	2,607.35	74.19
87 shares of Vanguard Mega Cap 300		P	9/30/2011	12/31/2012	3,645.22	-	3,017.16	628.06
88 shares of Vanguard Mega Cap 300		P	12/29/2011	12/31/2012	3,687.11	-	3,352.70	334.41
24 shares of Vanguard Mega Cap 300		P	4/2/2012	12/31/2012	1,305.60	-	1,317.97	(12.37)
32 shares of Vanguard Mega Cap 300		P	7/2/2012	12/31/2012	1,740.80	-	1,708.59	32.21
33 shares of Vanguard Mega Cap 300		P	10/1/2012	12/31/2012	1,795.20	-	1,885.94	(90.74)
1 shares of Vanguard Mega Cap 300		P	12/28/2012	12/31/2012	54.40	-	56.66	(2.26)
65 shares of Vanguard Mega Cap 300		P	12/28/2012	12/31/2012	3,536.01	-	3,556.79	(20.78)
54 shares of Vanguard Mega Cap 300		P	4/2/2012	12/31/2012	2,262.55	-	2,260.98	1.57
62 shares of Vanguard Mega Cap 300		P	7/2/2012	12/31/2012	2,597.74	-	2,530.83	66.91
62 shares of Vanguard Mega Cap 300		P	10/1/2012	12/31/2012	2,597.75	-	2,668.47	(70.72)
73 shares of Vanguard Mega Cap 300		P	12/28/2012	12/31/2012	3,058.65	-	3,083.36	(24.71)
0.8853 shares of Vanguard Mega Cap 300		P	12/28/2012	1/2/2013	-	-	48.44	(48.44)
0.1421 shares of Vanguard Mega Cap 300		P	12/28/2012	1/2/2013	-	-	6.00	(6.00)
997 shares of Vanguard Mid-Cap		P	1/6/2011	12/31/2012	80,448.22	-	74,740.50	5,707.72
81 shares of Vanguard Mid-Cap		P	3/11/2011	12/31/2012	6,535.91	-	6,300.18	235.73
610 shares of Vanguard Mid-Cap		P	3/31/2011	12/31/2012	49,221.07	-	49,156.73	64.34
5 shares of Vanguard Mid-Cap		P	4/6/2011	12/31/2012	403.45	-	405.15	(1.70)
494 shares of Vanguard Mid-Cap		P	4/27/2011	12/31/2012	39,861.01	-	40,399.32	(538.31)
128 shares of Vanguard Mid-Cap		P	6/21/2011	12/31/2012	10,328.35	-	10,055.39	272.96
30 shares of Vanguard Mid-Cap		P	1/4/2012	12/31/2012	2,420.70	-	2,176.50	244.20
632 shares of Vanguard Mid-Cap		P	1/6/2012	12/31/2012	50,996.28	-	46,342.03	4,654.25
1 shares of Vanguard Mid-Cap		P	3/29/2012	12/31/2012	80.70	-	73.86	6.84
0.2677 shares of Vanguard Mid-Cap		P	3/29/2012	1/2/2013	-	-	21.66	(21.66)
774 shares of Vanguard MSCI Emerging		P	10/30/2009	12/31/2012	34,024.27	-	29,140.17	4,884.10
101 shares of Vanguard MSCI Emerging		P	1/5/2010	12/31/2012	4,439.86	-	4,291.49	148.37
491 shares of Vanguard MSCI Emerging		P	7/14/2010	12/31/2012	21,583.87	-	19,820.88	1,762.99
59 shares of Vanguard MSCI Emerging		P	12/30/2010	12/31/2012	2,593.57	-	2,816.18	(222.61)
447 shares of Vanguard MSCI Emerging		P	1/6/2011	12/31/2012	19,649.68	-	21,530.20	(1,880.52)
658 shares of Vanguard MSCI Emerging		P	3/11/2011	12/31/2012	28,925.03	-	30,509.75	(1,584.72)
2,283 shares of Vanguard MSCI Emerging		P	3/31/2011	12/31/2012	100,358.44	-	111,978.18	(11,619.74)
795 shares of Vanguard MSCI Emerging		P	4/6/2011	12/31/2012	34,947.42	-	40,034.13	(5,086.71)
2,217 shares of Vanguard MSCI Emerging		P	4/27/2011	12/31/2012	97,457.14	-	110,916.29	(13,459.15)
796 shares of Vanguard MSCI Emerging		P	6/21/2011	12/31/2012	34,991.38	-	37,569.21	(2,577.83)
330 shares of Vanguard MSCI Emerging		P	10/7/2011	12/31/2012	14,506.47	-	12,357.58	2,148.89

(a)	(b)	(c)	(d)	(e)	(f)	(g)	
	How	Date	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis	Gain/Loss
Description of Property	Acquired	Acquired					
263 shares of Vanguard MSCI Emerging	P	12/29/2011	12/31/2012	11,561.23	-	9,978.74	1,582.49
115 shares of Vanguard MSCI Emerging	P	10/1/2012	12/31/2012	5,055.38	-	4,841.31	213.97
96 shares of Vanguard MSCI Emerging	P	12/28/2012	12/31/2012	4,220.08	-	4,194.53	25.55
0.6575 shares of Vanguard MSCI Emerging	P	12/28/2012	1/2/2013	-	-	27.81	(27.81)
1.938 shares of Vanguard MSCI Europe	P	3/11/2011	12/31/2012	67,589.14	-	71,279.64	(3,690.50)
5 shares of Vanguard MSCI Europe	P	3/30/2011	12/31/2012	174.37	-	180.87	(6.50)
7.534 shares of Vanguard MSCI Europe	P	3/31/2011	12/31/2012	262,753.67	-	281,618.66	(18,864.99)
5.097 shares of Vanguard MSCI Europe	P	4/27/2011	12/31/2012	177,761.53	-	197,789.59	(20,028.06)
1.474 shares of Vanguard MSCI Europe	P	6/21/2011	12/31/2012	51,406.81	-	54,700.14	(3,293.33)
890 shares of Vanguard MSCI Europe	P	12/29/2011	12/31/2012	31,039.39	-	26,779.81	4,259.58
5 shares of Vanguard MSCI Europe	P	3/29/2012	12/31/2012	174.37	-	168.35	6.02
351 shares of Vanguard MSCI Europe	P	10/1/2012	12/31/2012	12,241.38	-	11,677.70	563.68
1 shares of Vanguard MSCI Europe	P	12/28/2012	12/31/2012	34.87	-	33.66	1.21
171 shares of Vanguard MSCI Europe	P	12/28/2012	12/31/2012	5,963.77	-	5,958.14	5.63
0.4949 shares of Vanguard MSCI Europe	P	12/28/2012	1/2/2013	-	-	17.24	(17.24)
210 shares of Vanguard Small Cap	P	3/31/2011	12/31/2012	16,648.90	-	16,594.20	54.70
1.152 shares of Vanguard Small Cap	P	4/6/2011	12/31/2012	91,331.16	-	91,929.60	(598.44)
694 shares of Vanguard Small Cap	P	4/27/2011	12/31/2012	55,020.69	-	55,613.48	(592.79)
215 shares of Vanguard Small Cap	P	6/21/2011	12/31/2012	17,045.31	-	16,324.76	720.55
43 shares of Vanguard Small Cap	P	1/4/2012	12/31/2012	3,409.06	-	3,021.60	387.46
1 shares of Vanguard Small Cap	P	3/29/2012	12/31/2012	79.29	-	73.45	5.84
0.2124 shares of Vanguard Small Cap	P	3/29/2012	1/2/2013	-	-	16.67	(16.67)
2.802 shares of Vanguard Total Bond Mkt	P	10/30/2009	12/31/2012	235,577.79	-	222,589.21	12,988.58
188 shares of Vanguard Total Bond Mkt	P	11/3/2009	12/31/2012	15,806.07	-	14,865.16	940.91
22 shares of Vanguard Total Bond Mkt	P	11/9/2009	12/31/2012	1,849.65	-	1,742.18	107.47
22 shares of Vanguard Total Bond Mkt	P	12/8/2009	12/31/2012	1,849.64	-	1,754.28	95.36
1.475 shares of Vanguard Total Bond Mkt	P	1/5/2010	12/31/2012	124,010.42	-	116,521.31	7,489.11
28 shares of Vanguard Total Bond Mkt	P	2/8/2010	12/31/2012	2,354.09	-	2,229.64	124.45
25 shares of Vanguard Total Bond Mkt	P	3/8/2010	12/31/2012	2,101.87	-	1,983.50	118.37
2,500 shares of Vanguard Total Bond Mkt	P	3/25/2010	12/31/2012	210,187.19	-	197,549.50	12,637.69
35 shares of Vanguard Total Bond Mkt	P	4/9/2010	12/31/2012	2,942.61	-	2,760.10	182.51
23 shares of Vanguard Total Bond Mkt	P	5/10/2010	12/31/2012	1,933.71	-	1,833.56	100.15
23 shares of Vanguard Total Bond Mkt	P	6/8/2010	12/31/2012	1,933.71	-	1,851.50	82.21
21 shares of Vanguard Total Bond Mkt	P	7/9/2010	12/31/2012	1,765.57	-	1,703.31	62.26
21 shares of Vanguard Total Bond Mkt	P	8/9/2010	12/31/2012	1,765.57	-	1,721.58	43.99
21 shares of Vanguard Total Bond Mkt	P	9/9/2010	12/31/2012	1,765.57	-	1,723.01	42.56
20 shares of Vanguard Total Bond Mkt	P	10/8/2010	12/31/2012	1,681.49	-	1,660.00	21.49
21 shares of Vanguard Total Bond Mkt	P	11/8/2010	12/31/2012	1,765.56	-	1,735.09	30.47
20 shares of Vanguard Total Bond Mkt	P	12/8/2010	12/31/2012	1,681.49	-	1,612.60	68.89
55 shares of Vanguard Total Bond Mkt	P	1/4/2011	12/31/2012	4,624.11	-	4,412.91	211.20
22 shares of Vanguard Total Bond Mkt	P	2/8/2011	12/31/2012	1,849.63	-	1,746.12	103.51
20 shares of Vanguard Total Bond Mkt	P	3/8/2011	12/31/2012	1,681.49	-	1,597.00	84.49
5,091 shares of Vanguard Total Bond Mkt	P	3/31/2011	12/31/2012	428,025.26	-	407,834.92	20,190.34
37 shares of Vanguard Total Bond Mkt	P	4/8/2011	12/31/2012	3,110.76	-	2,943.70	167.06
1.941 shares of Vanguard Total Bond Mkt	P	4/27/2011	12/31/2012	163,189.36	-	156,444.41	6,744.95

	(a)	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation		(g) Cost or Other Basis	Gain/Loss
						Allowed			
40	shares of Vanguard Total Bond Mkt	P	5/9/2011	12/31/2012	3,362.99	-		3,249.59	113.40
40	shares of Vanguard Total Bond Mkt	P	6/8/2011	12/31/2012	3,362.99	-		3,270.79	92.20
40	shares of Vanguard Total Bond Mkt	P	7/11/2011	12/31/2012	3,362.99	-		3,268.15	94.84
39	shares of Vanguard Total Bond Mkt	P	8/8/2011	12/31/2012	3,278.92	-		3,222.17	56.75
38	shares of Vanguard Total Bond Mkt	P	9/9/2011	12/31/2012	3,194.84	-		3,182.08	12.76
39	shares of Vanguard Total Bond Mkt	P	10/10/2011	12/31/2012	3,278.91	-		3,239.47	39.44
37	shares of Vanguard Total Bond Mkt	P	11/8/2011	12/31/2012	3,110.77	-		3,103.55	7.22
37	shares of Vanguard Total Bond Mkt	P	12/8/2011	12/31/2012	3,110.76	-		3,085.98	24.78
107	shares of Vanguard Total Bond Mkt	P	1/4/2012	12/31/2012	8,996.02	-		8,915.24	80.78
36	shares of Vanguard Total Bond Mkt	P	2/8/2012	12/31/2012	3,026.70	-		3,013.92	12.78
35	shares of Vanguard Total Bond Mkt	P	3/8/2012	12/31/2012	2,942.62	-		2,929.57	13.05
49	shares of Vanguard Total Bond Mkt	P	4/10/2012	12/31/2012	4,119.67	-		4,092.47	27.20
34	shares of Vanguard Total Bond Mkt	P	5/8/2012	12/31/2012	2,858.54	-		2,854.35	4.19
34	shares of Vanguard Total Bond Mkt	P	6/8/2012	12/31/2012	2,858.55	-		2,864.24	(5.69)
33	shares of Vanguard Total Bond Mkt	P	7/10/2012	12/31/2012	2,774.48	-		2,796.74	(22.26)
33	shares of Vanguard Total Bond Mkt	P	8/8/2012	12/31/2012	2,774.48	-		2,794.76	(20.28)
33	shares of Vanguard Total Bond Mkt	P	9/12/2012	12/31/2012	2,774.47	-		2,790.24	(15.77)
31	shares of Vanguard Total Bond Mkt	P	10/8/2012	12/31/2012	2,606.33	-		2,630.03	(23.70)
32	shares of Vanguard Total Bond Mkt	P	11/8/2012	12/31/2012	2,690.40	-		2,715.08	(24.68)
30	shares of Vanguard Total Bond Mkt	P	12/10/2012	12/31/2012	2,522.27	-		2,545.79	(23.52)
0.3809	shares of Vanguard Total Bond Mkt	P	12/10/2012	1/2/2013	-	-		32.32	(32.32)
	COST ADJUSTMENT				-	-		24,245.04	(24,245.04)
					11,690,625.66	-		11,301,922.63	388,703.03

NCMIC Foundation, Inc.
FEIN # 68-0570762
2012 Form 990 - PF Additional Detail

Part VIII - Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

List of Officers, Directors, Trustees, and Foundation Managers

<u>Name and Address</u>	<u>Title and Avg Hrs/Week</u>	<u>Compensation</u>	<u>Employee Benefit Plan and Deferred Comp</u>	<u>Expense Account</u>
Stanley Bushner 2251 W. Greenleaf St Allentown, PA 18104	Director 1.00	1,000.00	0.00	0.00
Gary Tarola, DC 1243 S. Cedar Crest Blvd , Suite 2400 Allentown, PA 18103	Director 1.00	1,000.00	0.00	0.00
Thomas G. Schmidt 569 Columbia Avenue Palmerton, PA 18071	Director 1.00	1,000.00	0.00	0.00
Gerald F. Strubinger, Jr 164 Manor Lane Lehighton, PA 18235	Director 1.00	1,000.00	0.00	0.00
Ronald A. Segel 3728 Highland Street Allentown, PA 18104	Director 1.00	1,000.00	0.00	0.00