Form **990-PF** | Department of the Treasury Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2012**

Open to public Inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation
SCAN DESIGN FOUNDATION
BY INGER & JENS BRUUN

A Employer identification number
68-0537904

Number and street (or P O box number if mail is not delivered to street address) 1001 FOURTH AVENUE — Room/suite 4400

B Telephone number 206-622-8880

City or town, state, and ZIP code SEATTLE, WA 98154

C If exemption application is pending, check here ▶ [ ]

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 39,581,633.

J Accounting method [ ] Cash [ ] Accrual [X] Other (specify) HYBRID (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

**Part I** Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received | | | N/A | |
| | 2 | Check ▶ [X] if the foundation is not required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | 19,579. | 19,579. | | STATEMENT 1 |
| | 4 | Dividends and interest from securities | 515,493. | 515,493. | | STATEMENT 2 |
| | 5a | Gross rents | 535,026. | 535,026. | | STATEMENT 3 |
| | b | Net rental income or (loss) 43,866. | | | | STATEMENT 4 |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 113,527. | | | |
| | b | Gross sales price for all assets on line 6a 8,323,815. | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 113,527. | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) | | | | |
| | 11 | Other income | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 1,183,625. | 1,183,625. | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 330,750. | 158,393. | | 172,358. |
| | 14 | Other employee salaries and wages | 101,232. | 15,084. | | 86,148. |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees STMT 5 | 21,332. | 0. | | 21,332. |
| | b | Accounting fees STMT 6 | 19,076. | 15,585. | | 3,491. |
| | c | Other professional fees STMT 7 | 91,623. | 81,327. | | 10,296. |
| | 17 | Interest | 138,797. | 138,797. | | 0. |
| | 18 | Taxes STMT 8 | 73,201. | 61,484. | | 10,946. |
| | 19 | Depreciation and depletion | 256,585. | 256,585. | | |
| | 20 | Occupancy | 3,353. | 3,353. | | 0. |
| | 21 | Travel, conferences, and meetings | 3,090. | 442. | | 2,648. |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses STMT 9 | 95,210. | 51,119. | | 44,092. |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 1,134,249. | 782,169. | | 351,311. |
| | 25 | Contributions, gifts, grants paid | 1,669,768. | | | 1,669,768. |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 2,804,017. | 782,169. | | 2,021,079. |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | Excess of revenue over expenses and disbursements | -1,620,392. | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 401,456. | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | 5,297. | | |
| | 2 Savings and temporary cash investments | 187,433. | 113,857. | 113,857. |
| | 3 Accounts receivable ▶ 4,406. | | | |
| | Less allowance for doubtful accounts ▶ | 13,962. | 4,406. | 4,406. |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ 600,000. | | | |
| | Less allowance for doubtful accounts ▶ 0. | 600,000. | 600,000. | 600,000. |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | 10,362. | 10,362. | 10,362. |
| | 10a Investments - U S and state government obligations | | | |
| | b Investments - corporate stock STMT 10 | 22,242,145. | 22,953,008. | 22,953,008. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ 13,376,101. | | | |
| | Less accumulated depreciation STMT 11 ▶ 2,756,885. | 10,888,221. | 10,619,216. | 15,900,000. |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 Total assets (to be completed by all filers) | 33,947,420. | 34,300,849. | 39,581,633. |
| Liabilities | 17 Accounts payable and accrued expenses | 1,092. | 1,176. | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | 2,219,135. | 2,085,582. | |
| | 22 Other liabilities (describe ▶ SECURITY DEPOSITS ) | 10,000. | 10,000. | |
| | 23 Total liabilities (add lines 17 through 22) | 2,230,227. | 2,096,758. | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | 0. | 0. | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 31,717,193. | 32,204,091. | |
| | 30 Total net assets or fund balances | 31,717,193. | 32,204,091. | |
| | 31 Total liabilities and net assets/fund balances | 33,947,420. | 34,300,849. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 31,717,193. |
| 2 | Enter amount from Part I, line 27a | 2 | -1,620,392. |
| 3 | Other increases not included in line 2 (itemize) ▶ CHANGE IN UNREALIZED GAIN/LOSS | 3 | 2,107,290. |
| 4 | Add lines 1, 2, and 3 | 4 | 32,204,091. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 32,204,091. |

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## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENTS | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 8,323,815. | | 8,210,288. | 113,527. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 113,527. |

| | | | |
|---|---|---|---|
| 2 Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | 113,527. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter -0- in Part I, line 8 | | 3 | N/A |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2011 | 1,916,427. | 40,390,986. | .047447 |
| 2010 | 1,982,051. | 38,585,474. | .051368 |
| 2009 | 1,967,102. | 40,033,036. | .049137 |
| 2008 | 2,400,931. | 45,065,887. | .053276 |
| 2007 | 596,938. | 48,887,175. | .012211 |

| | | |
|---|---|---|
| 2 Total of line 1, column (d) | 2 | .213439 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .042688 |
| 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 | 4 | 38,078,922. |
| 5 Multiply line 4 by line 3 | 5 | 1,625,513. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 4,015. |
| 7 Add lines 5 and 6 | 7 | 1,629,528. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 2,021,079. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 | | | | |
| Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions) | | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | | | 1 | 4,015. |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b). | | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 2 | 0. |
| 3 Add lines 1 and 2 | | | 3 | 4,015. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 4 | 0. |
| 5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | | 5 | 4,015. |
| 6 Credits/Payments | | | | |
| a 2012 estimated tax payments and 2011 overpayment credited to 2012 | 6a | 5,560. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| d Backup withholding erroneously withheld | 6d | | | |
| 7 Total credits and payments. Add lines 6a through 6d | | | 7 | 5,560. |
| 8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 1,545. |
| 11 Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ | 1,545. | Refunded ▶ | 11 | 0. |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? | 1b | | X |
| *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ WA | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) STATEMENT 12 STMT 13 | 11 | X | |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ SCANDESIGNFOUNDATION.ORG

14 The books are in care of ▶ MARK T. SCHLECK Telephone no ▶206.892.2092
Located at ▶800 FIFTH AVENUE, SUITE 4000, SEATTLE, WA ZIP+4 ▶98104-3180

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

| | | | Yes | No |
|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | |
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No | | | |
| b | If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* | 3b | | X |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A — 5b
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b — No: X
*If "Yes" to 6b, file Form 8870.*
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A — 7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| MARK T. SCHLECK<br>1001 - 4TH AVENUE, SUITE 4400<br>SEATTLE, WA 98154 | PRESIDENT<br>40.00 | 250,000. | 20,750. | 0. |
| ROBERT THOMPSON<br>1001 - 4TH AVENUE, SUITE 4400<br>SEATTLE, WA 98154 | SEC/TREAS/DIRECTOR<br>2.00 | 30,000. | 0. | 0. |
| TAGE KRISTENSEN<br>1001 - 4TH AVENUE, SUITE 4400<br>SEATTLE, WA 98154 | DIRECTOR<br>1.00 | 15,000. | 0. | 0. |
| ROB HARRIS<br>1001 - 4TH AVENUE, SUITE 4400<br>SEATTLE, WA 98154 | DIRECTOR<br>1.00 | 15,000. | 0. | 0. |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| MARY DELORME (SPOUSE M. SCHLECK-PRES)<br>1001 - 4TH AVENUE, SUITE 4400, SEATTL | OFF MGR/ACCT<br>40.00 | 87,840. | 13,392. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ 0

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

Form **990-PF** (2012)

223561
12-05-12

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 22,597,622. |
| b | Average of monthly cash balances | 1b | 161,182. |
| c | Fair market value of all other assets | 1c | 15,900,000. |
| d | **Total** (add lines 1a, b, and c) | 1d | 38,658,804. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 38,658,804. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 579,882. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 38,078,922. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 1,903,946. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 1,903,946. |
| 2a | Tax on investment income for 2012 from Part VI, line 5 — 2a: 4,015. | | |
| b | Income tax for 2012 (This does not include the tax from Part VI ) — 2b: | | |
| c | Add lines 2a and 2b | 2c | 4,015. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 1,899,931. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 1,899,931. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 1,899,931. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 2,021,079. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 2,021,079. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b | 5 | 4,015. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 2,017,064. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2011 | (c) 2011 | (d) 2012 |
|---|---|---|---|---|
| 1 Distributable amount for 2012 from Part XI, line 7 | | | | 1,899,931. |
| 2 Undistributed income, if any, as of the end of 2012 | | | | |
| a Enter amount for 2011 only | | | 1,719,418. | |
| b Total for prior years ___ , ___ , ___ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2012: | | | | |
| a From 2007 | | | | |
| b From 2008 | | | | |
| c From 2009 | | | | |
| d From 2010 | | | | |
| e From 2011 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 2,021,079. | | | | |
| a Applied to 2011, but not more than line 2a | | | 1,719,418. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2012 distributable amount | | | | 301,661. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 | | | | 1,598,270. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2008 | | | | |
| b Excess from 2009 | | | | |
| c Excess from 2010 | | | | |
| d Excess from 2011 | | | | |
| e Excess from 2012 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2012 | (b) 2011 | (c) 2010 | (d) 2009 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| AMERICAN FRIENDS OF ROYAL DANISH BALLET<br>316 PROSPECT AVENUE, SUITE 8K<br>HACKENSACK, NJ 07601 | | PUBLIC CHARITY | OPERATING SUPPORT | 25,000. |
| AMERICAN-SCANDINAVIAN FOUNDATION<br>58 PARK AVENUE<br>NEW YORK, NY 10016 | | PUBLIC CHARITY | 2012-2013 FELLOWSHIP PROGRAM | 16,500. |
| AMERICAN-SCANDINAVIAN FOUNDATION<br>58 PARK AVENUE<br>NEW YORK, NY 10016 | | PUBLIC CHARITY | INTERNEE/TRAINEE PROGRAM | 15,000. |
| AMERICAN-SCANDINAVIAN FOUNDATION<br>58 PARK AVENUE<br>NEW YORK, NY 10016 | | PUBLIC CHARITY | 2013 FELLOWSHIP PROGRAM | 25,000. |
| INTERNATIONAL ASSOCIATION FOR THE STUDY OF PAIN<br>111 QUEEN ANNE AVENUE N SUITE 501<br>SEATTLE, WA 98109 | | PUBLIC CHARITY | SUPPORT 3 PROGRAMS | 155,000. |
| **Total** SEE CONTINUATION SHEET(S) | | | ▶ 3a | 1,669,768. |
| *b Approved for future payment*<br><br>NONE | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income (a) Business code | (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 19,579. | |
| 4 Dividends and interest from securities | | | 14 | 515,493. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | 34 | -37,752. | |
| b Not debt-financed property | | | 16 | 81,618. | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 113,527. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | 0. | | 692,465. | 0. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | 13 | 692,465. |

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 11/14/2013 — Title PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| HOWARD DONKIN,CPA | HOWARD DONKIN,CPA | 10/29/13 | | P00147726 |

Firm's name ▶ JACOBSON JARVIS & CO, PLLC — Firm's EIN ▶ 91-2011386

Firm's address ▶ 600 STEWART STREET, SUITE 1900 SEATTLE, WA 98101-1219 — Phone no (206)-628-8990

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | IVY PACIFIC OPPORTUNITIES A | P | | 12/20/12 |
| b | IVY PACIFIC OPPORTUNITIES A | P | | 01/10/12 |
| c | WADDELL & REED ADVISORS CORE INVMNT A | P | | 12/20/12 |
| d | WADDELL & REED ADVISORS CORE INVMNT A | P | | 07/25/12 |
| e | WADDELL & REED ADVISORS CORE INVMNT A | P | | 01/10/12 |
| f | WADDELL & REED ADVISORS SCI & TECH A | P | | 12/20/12 |
| g | WADDELL & REED ADVISORS SCI & TECH A | P | | 01/10/12 |
| h | WADDELL & REED ADVISORS CONT INCOME A | P | | 12/20/12 |
| i | WADDELL & REED ADVISORS CONT INCOME A | P | | 01/10/12 |
| j | WADDELL & REED ADVISORS HIGH INCOME A | P | | 12/20/12 |
| k | WADDELL & REED ADVISORS HIGH INCOME A | P | | 01/10/12 |
| l | WADDELL & REED ADVISORS GLOBAL BOND A | P | | 12/20/12 |
| m | WADDELL & REED ADVISORS GLOBAL BOND A | P | | 07/25/12 |
| n | WADDELL & REED ADVISORS GLOBAL BOND A | P | | 01/10/12 |
| o | IVY REAL ESTATE SECURITIES A | P | | 01/10/12 |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 50,000. | | 46,932. | 3,068. |
| b | 480,000. | | 499,975. | -19,975. |
| c | 50,000. | | 49,481. | 519. |
| d | 25,000. | | 25,381. | -381. |
| e | 288,000. | | 307,307. | -19,307. |
| f | 50,000. | | 46,217. | 3,783. |
| g | 288,000. | | 325,982. | -37,982. |
| h | 50,000. | | 38,082. | 11,918. |
| i | 320,000. | | 252,786. | 67,214. |
| j | 100,000. | | 92,159. | 7,841. |
| k | 320,000. | | 321,294. | -1,294. |
| l | 100,000. | | 95,831. | 4,169. |
| m | 50,000. | | 47,916. | 2,084. |
| n | 160,000. | | 155,286. | 4,714. |
| o | 576,000. | | 575,912. | 88. |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | 3,068. |
| b | | | | -19,975. |
| c | | | | 519. |
| d | | | | -381. |
| e | | | | -19,307. |
| f | | | | 3,783. |
| g | | | | -37,982. |
| h | | | | 11,918. |
| i | | | | 67,214. |
| j | | | | 7,841. |
| k | | | | -1,294. |
| l | | | | 4,169. |
| m | | | | 2,084. |
| n | | | | 4,714. |
| o | | | | 88. |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 | 3 | |

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**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr.) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | WR ADVISORS DIVIDEND OPPORTUNITIES | P | | 12/20/12 |
| b | WR ADVISORS DIVIDEND OPPORTUNITIES | P | | 07/25/12 |
| c | WR ADVISORS DIVIDEND OPPORTUNITIES | P | | 01/10/12 |
| d | WADDELL & REED ADVISORS ASSET STRAT | P | | 12/20/12 |
| e | WADDELL & REED ADVISORS ASSET STRAT | P | | 01/10/12 |
| f | WADDELL & REED ADVISORS ENERGY FUND | P | | 01/10/12 |
| g | BERNSTEIN -SEE ATTACHMENT | P | | |
| h | JUPITER NETWORKS | P | | 03/05/12 |
| i | APPLE | P | | 11/05/12 |
| j | BANK OF AMERICA FAIR FUND | P | | 12/11/12 |
| k | SCHWAB- SEE ATTACHMENT | P | | |
| l | CAPITAL GAINS DIVIDENDS | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 50,000. | | 34,291. | 15,709. |
| b | 75,000. | | 54,120. | 20,880. |
| c | 192,000. | | 143,206. | 48,794. |
| d | 50,000. | | 43,947. | 6,053. |
| e | 416,000. | | 408,166. | 7,834. |
| f | 160,000. | | 99,331. | 60,669. |
| g | 2,020,436. | | 1,966,537. | 53,899. |
| h | 935. | | | 935. |
| i | 26. | | | 26. |
| j | 23. | | | 23. |
| k | 2,340,222. | | 2,580,149. | -239,927. |
| l | 112,173. | | | 112,173. |
| m | | | | |
| n | | | | |
| o | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F M.V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | 15,709. |
| b | | | | 20,880. |
| c | | | | 48,794. |
| d | | | | 6,053. |
| e | | | | 7,834. |
| f | | | | 60,669. |
| g | | | | 53,899. |
| h | | | | 935. |
| i | | | | 26. |
| j | | | | 23. |
| k | | | | -239,927. |
| l | | | | 112,173. |
| m | | | | |
| n | | | | |
| o | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | 113,527. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 | 3 | N/A |

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**Part XV Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| NATIONAL FILM FESTIVAL FOR TALENTED YOUTH<br>1319 DEXTER AVENUE N SUITE 250<br>SEATTLE, WA 98109 | | PUBLIC CHARITY | FESTIVAL SUPPORT | 14,300. |
| NORDIC HERITAGE MUSEUM<br>3014 NW 67TH ST<br>SEATTLE, WA 98117 | | PUBLIC CHARITY | PROGRAM SUPPORT | 19,500. |
| NORDIC HERITAGE MUSEUM<br>3014 NW 67TH ST<br>SEATTLE, WA 98117 | | PUBLIC CHARITY | 2013 PROGRAM SUPPORT | 13,500. |
| NORDIC HERITAGE MUSEUM<br>3014 NW 67TH ST<br>SEATTLE, WA 98117 | | PUBLIC CHARITY | 2013 PROGRAM SUPPORT | 17,000. |
| NORDIC HERITAGE MUSEUM<br>3014 NW 67TH ST<br>SEATTLE, WA 98117 | | PUBLIC CHARITY | CAPITAL CAMPAIGN | 300,000. |
| PACIFIC NORTHWEST BALLET<br>301 MERCER STREET<br>SEATTLE, WA 98109 | | PUBLIC CHARITY | 2013 STUDENT EXCHANGE PROGRAM | 9,820. |
| PORTLAND STATE UNIVERISTY FOUNDATION<br>2125 SW 4TH AVENUE #510<br>PORTLAND, OR 97201 | | PUBLIC CHARITY | SCHOLARSHIPS FOR STUDY IN DENMARK | 12,000. |
| REBILD NATIONAL PARK SOCIETY, INC.<br>1788 NORTH FERN STREET<br>ORANGE, CA 92667 | | PUBLIC CHARITY | 100 YEARS OF REBILD BOOK/DVD SUPPORT | 25,000. |
| SCANDINAVIAN HERITAGE FOUNDATION<br>8800 SOUTHWEST OLESON ROAD<br>PORTLAND, OR 97223 | | PUBLIC CHARITY | 2013 PROGRAM SUPPORT | 25,000. |
| ST.JUDES CHILDRENS HOSPITAL<br>262 DANNY THOMAS PLACE<br>MEMPHIS, TN 38105 | | PUBLIC CHARITY | OPERATIONS | 125,000. |
| Total from continuation sheets | | | | 1,433,268. |

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| SUSTAINABLE BUILDING ADVISORS INSTITUTE<br>811 FIRST AVENUE, SUITE 380<br>SEATTLE, WA 98104 | | PUBLIC CHARITY | TRAVEL SCHOLARSHIPS | 41,300. |
| THE DANISH IMMIGRANT MUSEUM<br>PO BOX 470<br>ELK HORN, IA 51531 | | PUBLIC CHARITY | 2012-2013 INTERN EXCHANGE PROGRAM | 10,000. |
| THE DANISH IMMIGRANT MUSEUM<br>PO BOX 470<br>ELK HORN, IA 51531 | | PUBLIC CHARITY | 2012-2013 INTERN PROGRAM | 13,300. |
| UNIVERSITY OF OREGON FOUNDATION<br>1720 E 13TH AVENUE SUITE 410<br>EUGENE, OR 97403 | | PUBLIC CHARITY | SUSTAINABLE BICYCLE TRANSPOTATION 2012 TRIP | 15,900. |
| UNIVERSITY OF WASHINGTON FOUNDATION<br>BOX 359504<br>SEATTLE, WA 98195 | | PUBLIC CHARITY | STORMWATER STUDY TOUR | 11,700. |
| UNIVERSITY OF WASHINGTON FOUNDATION<br>BOX 359504<br>SEATTLE, WA 98195 | | PUBLIC CHARITY | PROFESSIONAL STUDY PROGRAM | 10,000. |
| UNIVERSITY OF WASHINGTON FOUNDATION<br>BOX 359504<br>SEATTLE, WA 98195 | | PUBLIC CHARITY | 2013 MASTER OF ARCHITECTURE INTERN PROGRAM | 33,000. |
| UNIVERSITY OF WASHINGTON FOUNDATION<br>BOX 359504<br>SEATTLE, WA 98195 | | PUBLIC CHARITY | 2013-2014 FELLOWSHIP PROGRAM | 166,875. |
| UNIVERSITY OF WASHINGTON FOUNDATION<br>BOX 359504<br>SEATTLE, WA 98195 | | PUBLIC CHARITY | 2013 GEHL INTERN PROGRAM | 54,820. |
| UNIVERSITY OF WASHINGTON FOUNDATION<br>BOX 359504<br>SEATTLE, WA 98195 | | PUBLIC CHARITY | 2013 TRAVEL STUDY/ MASTER STUDIO | 165,503. |
| Total from continuation sheets | | | | |

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**Part XV Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| UNIVERSITY OF WASHINGTON FOUNDATION<br>BOX 359504<br>SEATTLE, WA 98195 | | PUBLIC CHARITY | 2014 MA INTERN PROGRAM | 66,000. |
| UINIVERSITY OF WASHINGTON FOUNDATION<br>BOX 359504<br>SEATTLE, WA 98195 | | PUBLIC CHARITY | 2014 MA STUDIO | 27,000. |
| UNIVERSITY OF WISCONSIN FOUNDATION<br>1848 UNIVERSITY AVENUE<br>MADISON, WI 53726 | | PUBLIC CHARITY | 2012-2013 DANISH SCHOLARS FUND | 32,000. |
| UNIVERSITY OF WISCONSIN FOUNDATION<br>1848 UNIVERSITY AVENUE<br>MADISON, WI 53726 | | PUBLIC CHARITY | 2013 MADISON STUDENT EXCURSION FUNDS | 4,500. |
| UNIVERSITY OF WISCONSIN FOUNDATION<br>1848 UNIVERSITY AVENUE<br>MADISON, WI 53726 | | PUBLIC CHARITY | DANISH SCHOLARS FUND | 24,000. |
| UNIVERSITY OF WISCONSIN FOUNDATION<br>1848 UNIVERSITY AVENUE<br>MADISON, WI 53726 | | PUBLIC CHARITY | 2013-2014 FELLOWSHIP PROGRAM | 179,000. |
| UNIVERSITY OF WISCONSIN FOUNDATION<br>1848 UNIVERSITY AVENUE<br>MADISON, WI 53726 | | PUBLIC CHARITY | 2013 SUMMER SCHOLARSHIPS | 17,250. |
| | | | | |
| | | | | |
| | | | | |
| Total from continuation sheets | | | | |

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | AMOUNT |
|---|---|
| SCAN DESIGN SHAREHOLDER NOTES | 19,579. |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 19,579. |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
|---|---|---|---|
| BERNSTEIN GLOBAL WEALTH MANAGEMENT | 137,941. | 5,927. | 132,014. |
| RAGEN MACKENZIE | 51,592. | 3,341. | 48,251. |
| SCHWAB | 199,779. | 14,317. | 185,462. |
| WADDELL & REED | 238,354. | 88,588. | 149,766. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 627,666. | 112,173. | 515,493. |

## FORM 990-PF RENTAL INCOME STATEMENT 3

| KIND AND LOCATION OF PROPERTY | ACTIVITY NUMBER | GROSS RENTAL INCOME |
|---|---|---|
| COMMERCIAL STORE BUILDING, BEAVERTON, OR | 1 | 149,778. |
| COMMERCIAL WAREHOUSE, BELLEVUE, WA | 2 | 95,250. |
| COMMERCIAL STORE BUILDING, EUGENE, OR | 3 | 53,100. |
| COMMERCIAL WAREHOUSE, EUGENE, OR | 4 | |
| COMMERCIAL STORE BUILDING, GRESHAM, OR | 5 | 48,300. |
| COMMERCIAL STORE BUILDING, LYNNWOOD, WA | 6 | 188,598. |
| COMMERCIAL STORE BUILDING, MEDFORD, OR | 7 | |
| TOTAL TO FORM 990-PF, PART I, LINE 5A | | 535,026. |

| FORM 990-PF | RENTAL EXPENSES | STATEMENT 4 |
|---|---|---|

| DESCRIPTION | | ACTIVITY NUMBER | AMOUNT | TOTAL |
|---|---|---|---|---|
| DEPRECIATION | | | 40,337. | |
| AMORTIZATION | | | 12,420. | |
| INSURANCE | | | 20,051. | |
| TAXES | | | 33,993. | |
| MAINTENANCE | | | 10,092. | |
| | - SUBTOTAL - | 1 | | 116,893. |
| DEPRECIATION | | | 45,410. | |
| | - SUBTOTAL - | 2 | | 45,410. |
| DEPRECIATION | | | 21,322. | |
| MAINTENANCE | | | 0. | |
| PROPERTY TAXES | | | 0. | |
| MISCELLANEOUS | | | 0. | |
| | - SUBTOTAL - | 3 | | 21,322. |
| MAINTENANCE | | | 0. | |
| PROPERTY TAXES | | | 0. | |
| DEPRECIATION | | | 21,213. | |
| INTEREST | | | 9,649. | |
| LOAN EXTENSION FEE | | | 0. | |
| | - SUBTOTAL - | 5 | | 30,862. |
| DEPRECIATION | | | 104,341. | |
| INTEREST | | | 129,148. | |
| | - SUBTOTAL - | 6 | | 233,489. |
| DEPRECIATION | | | 23,962. | |
| TAXES | | | 14,370. | |
| MAINTENANCE | | | 1,499. | |
| UTILITIES | | | 3,353. | |
| | - SUBTOTAL - | 7 | | 43,184. |
| TOTAL RENTAL EXPENSES | | | | 491,160. |
| NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B | | | | 43,866. |

| FORM 990-PF | LEGAL FEES | STATEMENT 5 |
|---|---|---|

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| LEGAL | 21,332. | 0. | | 21,332. |
| TO FM 990-PF, PG 1, LN 16A | 21,332. | 0. | | 21,332. |

FORM 990-PF ACCOUNTING FEES STATEMENT 6

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING FEES | 19,076. | 15,585. | | 3,491. |
| TO FORM 990-PF, PG 1, LN 16B | 19,076. | 15,585. | | 3,491. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 7

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| CONSULTING | 1,350. | 675. | | 675. |
| INVESTMENT MANAGEMENT FEES | 77,890. | 77,890. | | 0. |
| BOARD D & O INSURANCE | 11,048. | 2,762. | | 8,286. |
| PUBLIC RELATIONS | 1,335. | 0. | | 1,335. |
| TO FORM 990-PF, PG 1, LN 16C | 91,623. | 81,327. | | 10,296. |

FORM 990-PF TAXES STATEMENT 8

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| PAYROLL TAXES | 17,915. | 6,969. | | 10,946. |
| EXCISE TAX | 771. | 0. | | 0. |
| FOREIGN TAX WITHHELD | 6,152. | 6,152. | | 0. |
| TAXES | 33,993. | 33,993. | | 0. |
| PROPERTY TAXES | 0. | 0. | | 0. |
| PROPERTY TAXES | 0. | 0. | | 0. |
| TAXES | 14,370. | 14,370. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 73,201. | 61,484. | | 10,946. |

FORM 990-PF OTHER EXPENSES STATEMENT 9

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| OFFICE EXPENSE | 32,054. | 6,699. | | 25,355. |
| ORGANIZATION DEVELOPMENT | 890. | 0. | | 890. |
| GRANT DEVELOPMENT/MANAGEMENT | 6,200. | 0. | | 6,200. |
| BOARD MEETING EXPENSES | 1,385. | 139. | | 1,247. |
| HOSTED EVENTS | 400. | 0. | | 400. |
| PRIVATE LETTER RULING | 10,000. | 0. | | 10,000. |
| MISCELLANEOUS | 219. | 219. | | 0. |
| INSURANCE | 20,051. | 20,051. | | 0. |
| MAINTENANCE | 10,092. | 10,092. | | 0. |
| MAINTENANCE | 0. | 0. | | 0. |
| MISCELLANEOUS | 0. | 0. | | 0. |
| MAINTENANCE | 0. | 0. | | 0. |
| MAINTENANCE | 1,499. | 1,499. | | 0. |
| AMORTIZATION | 12,420. | 12,420. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 95,210. | 51,119. | | 44,092. |

FORM 990-PF CORPORATE STOCK STATEMENT 10

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| WADDELL & REED SEE ATTACHMENT A | 6,458,642. | 6,458,642. |
| WF IVY GLOBAL NATURAL RESOURCES | 707,050. | 707,050. |
| BERNSTEIN SEE ATTACHMENT A | 6,230,329. | 6,230,329. |
| SCHWAB GREEN SEE ATTACHMENT A | 7,083,110. | 7,083,110. |
| WF - ISHARES TRUST MSCI EAFE INDEX FUND | 497,228. | 497,228. |
| RAGEN INVESTMENT CASH | 799. | 799. |
| WF IVY FD CUNDILL GLOBAL VALUE FD A | 175,054. | 175,054. |
| WF IVY FD CORE EQUITY FD CLASS A | 461,554. | 461,554. |
| SPDR S&P 500 TRUST | 1,339,242. | 1,339,242. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 22,953,008. | 22,953,008. |

FORM 990-PF DEPRECIATION OF ASSETS HELD FOR INVESTMENT STATEMENT 11

| DESCRIPTION | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE |
|---|---|---|---|
| BEAVERTON BUILDINGS | 1,573,156. | 431,996. | 1,141,160. |
| BEAVERTON LAND | 596,844. | 0. | 596,844. |
| BELLEVUE WAREHOUSE | 1,770,988. | 486,323. | 1,284,665. |
| BELLEVUE LAND | 619,012. | 0. | 619,012. |
| EUGENE STORE BUILDING | 815,593. | 223,970. | 591,623. |
| EUGENE LAND | 154,407. | 0. | 154,407. |
| EUGENE HEATING & AC SYSTEM | 15,965. | 2,540. | 13,425. |
| GRESHAM STORE BUILDING | 827,307. | 227,183. | 600,124. |
| GRESHAM LAND | 182,693. | 0. | 182,693. |
| LYNNWOOD STORE BUILDING | 4,069,291. | 1,117,454. | 2,951,837. |
| LYNNWOOD LAND | 1,230,709. | 0. | 1,230,709. |
| MEDFORD STORE BUILDING | 911,447. | 250,289. | 661,158. |
| MEDFORD LAND | 508,553. | 0. | 508,553. |
| AIR CONDITIONING | 23,100. | 3,675. | 19,425. |
| LOAN FEES CAPITALIZED | 10,796. | 0. | 10,796. |
| LEASE COMMISSION | 66,240. | 13,455. | 52,785. |
| TOTAL TO FM 990-PF, PART II, LN 11 | 13,376,101. | 2,756,885. | 10,619,216. |

FORM 990-PF TRANSFERS FROM CONTROLLED ENTITIES STATEMENT 12
PART VII-A, LINE 11

| NAME OF CONTROLLED ENTITY | EMPLOYER ID NO |
|---|---|
| SCAN DESIGN FURNITURE | 91-0844884 |

ADDRESS

19320 33RD AVENUE WEST
LYNNWOOD, WA 98036

DESCRIPTION OF TRANSFER

SCAN DESIGN FURNITURE WHICH IS WHOLLY OWNED BY THE FOUNDATION RENTS REAL ESTATE FROM THE FOUNDATION.

| | AMOUNT OF TRANSFER |
|---|---|
| | 385,248. |
| TOTAL AMOUNT OF TRANSFERS FROM CONTROLLED ENTITIES | 385,248. |

FORM 990-PF | LIST OF CONTROLLED ENTITIES PART VII-A, LINE 11 | STATEMENT 13

| NAME OF CONTROLLED ENTITY | EMPLOYER ID NO |
|---|---|
| SCAN DESIGN FURNITURE | 91-0844884 |

ADDRESS

19320 33RD AVENUE WEST
LYNNWOOD, WA 98036

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

# Depreciation and Amortization

RENT 1

**(Including Information on Listed Property)**

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

**2012**

Attachment Sequence No **179**

| Name(s) shown on return | Business or activity to which this form relates | Identifying number |
|---|---|---|
| SCAN DESIGN FOUNDATION BY INGER & JENS BRUUN | COMMERCIAL STORE BUILDING, BEAVERTON, OR | 68-0537904 |

**Part I** **Election To Expense Certain Property Under Section 179** **Note:** *If you have any listed property, complete Part V before you complete Part I.*

| | | | |
|---|---|---|---|
| 1 | Maximum amount (see instructions) | 1 | 500,000. |
| 2 | Total cost of section 179 property placed in service (see instructions) | 2 | |
| 3 | Threshold cost of section 179 property before reduction in limitation | 3 | 2,000,000. |
| 4 | Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0- | 4 | |
| 5 | Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions | 5 | |

| 6 (a) Description of property | (b) Cost (business use only) | (c) Elected cost |
|---|---|---|
| | | |
| | | |
| | | |
| | | |

| | | | |
|---|---|---|---|
| 7 | Listed property. Enter the amount from line 29 | 7 | |
| 8 | Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7 | 8 | |
| 9 | Tentative deduction. Enter the **smaller** of line 5 or line 8 | 9 | |
| 10 | Carryover of disallowed deduction from line 13 of your 2011 Form 4562 | 10 | |
| 11 | Business income limitation. Enter the smaller of business income (not less than zero) or line 5 | 11 | |
| 12 | Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11 | 12 | |
| 13 | Carryover of disallowed deduction to 2013. Add lines 9 and 10, less line 12 ▶ | 13 | |

**Note:** *Do not use Part II or Part III below for listed property. Instead, use Part V*

**Part II** **Special Depreciation Allowance and Other Depreciation (Do not** include listed property.)

| | | | |
|---|---|---|---|
| 14 | Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year | 14 | |
| 15 | Property subject to section 168(f)(1) election | 15 | |
| 16 | Other depreciation (including ACRS) | 16 | |

**Part III** **MACRS Depreciation (Do not** include listed property.) (See instructions.)

**Section A**

| | | | |
|---|---|---|---|
| 17 | MACRS deductions for assets placed in service in tax years beginning before 2012 | 17 | 40,337. |
| 18 | If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐ | | |

**Section B - Assets Placed in Service During 2012 Tax Year Using the General Depreciation System**

| (a) Classification of property | (b) Month and year placed in service | (c) Basis for depreciation (business/investment use only - see instructions) | (d) Recovery period | (e) Convention | (f) Method | (g) Depreciation deduction |
|---|---|---|---|---|---|---|
| 19a 3-year property | | | | | | |
| b 5-year property | | | | | | |
| c 7-year property | | | | | | |
| d 10-year property | | | | | | |
| e 15-year property | | | | | | |
| f 20-year property | | | | | | |
| g 25-year property | | | 25 yrs. | | S/L | |
| h Residential rental property | / | | 27.5 yrs. | MM | S/L | |
| | / | | 27.5 yrs. | MM | S/L | |
| i Nonresidential real property | / | | 39 yrs. | MM | S/L | |
| | / | | | MM | S/L | |

**Section C - Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System**

| (a) Classification of property | (b) | (c) | (d) | (e) | (f) | (g) |
|---|---|---|---|---|---|---|
| 20a Class life | | | | | S/L | |
| b 12-year | | | 12 yrs. | | S/L | |
| c 40-year | / | | 40 yrs. | MM | S/L | |

**Part IV** **Summary** (See instructions.)

| | | | |
|---|---|---|---|
| 21 | Listed property. Enter amount from line 28 | 21 | |
| 22 | **Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr. | 22 | 40,337. |
| 23 | For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs | 23 | |

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12-28-12 LHA **For Paperwork Reduction Act Notice, see separate instructions.** Form **4562** (2012)

**Part V** **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

**Note:** *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* **only** *24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

**Section A - Depreciation and Other Information (Caution:** *See the instructions for limits for passenger automobiles.***)**

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No 24b If "Yes," is the evidence written? ☐ Yes ☐ No

| (a) Type of property (list vehicles first) | (b) Date placed in service | (c) Business/ investment use percentage | (d) Cost or other basis | (e) Basis for depreciation (business/investment use only) | (f) Recovery period | (g) Method/ Convention | (h) Depreciation deduction | (i) Elected section 179 cost |
|---|---|---|---|---|---|---|---|---|
| 25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use | | | | | | 25 | | |
| 26 Property used more than 50% in a qualified business use: | | | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| 27 Property used 50% or less in a qualified business use: | | | | | | | | |
| | | % | | | | S/L - | | |
| | | % | | | | S/L - | | |
| | | % | | | | S/L - | | |
| 28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1 | | | | | | 28 | | |
| 29 Add amounts in column (i), line 26. Enter here and on line 7, page 1 | | | | | | | 29 | |

**Section B - Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person.
If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

| | (a) Vehicle | | (b) Vehicle | | (c) Vehicle | | (d) Vehicle | | (e) Vehicle | | (f) Vehicle | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 30 Total business/investment miles driven during the year (**do not** include commuting miles) | | | | | | | | | | | | |
| 31 Total commuting miles driven during the year | | | | | | | | | | | | |
| 32 Total other personal (noncommuting) miles driven | | | | | | | | | | | | |
| 33 Total miles driven during the year. Add lines 30 through 32 | | | | | | | | | | | | |
| | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No |
| 34 Was the vehicle available for personal use during off-duty hours? | | | | | | | | | | | | |
| 35 Was the vehicle used primarily by a more than 5% owner or related person? | | | | | | | | | | | | |
| 36 Is another vehicle available for personal use? | | | | | | | | | | | | |

**Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees**

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons.

| | Yes | No |
|---|---|---|
| 37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees? | | |
| 38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners | | |
| 39 Do you treat all use of vehicles by employees as personal use? | | |
| 40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? | | |
| 41 Do you meet the requirements concerning qualified automobile demonstration use? | | |

**Note:** *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.*

**Part VI** **Amortization**

| (a) Description of costs | (b) Date amortization begins | (c) Amortizable amount | (d) Code section | (e) Amortization period or percentage | (f) Amortization for this year |
|---|---|---|---|---|---|
| 42 Amortization of costs that begins during your 2012 tax year: | | | | | |
| | | | | | |
| | | | | | |
| 43 Amortization of costs that began before your 2012 tax year | | | | 43 | 12,420. |
| 44 **Total.** Add amounts in column (f). See the instructions for where to report | | | | 44 | 12,420. |

## Income Summary

| Income Summary | This Period Federally Tax-Exempt | This Period Federally Taxable | Year to Date Federally Tax-Exempt | Year to Date Federally Taxable |
|---|---|---|---|---|
| Money Funds Dividends | 0.00 | 0.98 | 0 00 | 7.81 |
| Cash Dividends | 0 00 | 109,107 96 | 0.00 | 182,076 54 |
| Total Capital Gains | 0 00 | 14,317 26 | 0 00 | 14,317.26 |
| Total Income | 0.00 | 123,426.20 | 0.00 | 196,401.61 |

## Investment Detail - Cash and Money Market Funds [Sweep]

| Cash | Market Value | % of Account Assets |
|---|---|---|
| Cash | 15,446.09 | <1% |
| Total Cash | 15,446.09 | <1% |

| Money Market Funds [Sweep] | Quantity | Market Price | Market Value | Current Yield | % of Account Assets |
|---|---|---|---|---|---|
| SCH ADV CASH RESRV PREM. SWZXX | 123,152.3200 | 1.0000 | 123,152.32 | 0 01% | 2% |
| Total Money Market Funds [Sweep] | | | 123,152.32 | | 2% |
| Total Cash & Money Market [Sweep] | | | 138,598.41 | | 2% |

## Investment Detail - Mutual Funds

| Bond Funds | Quantity | Market Price | Market Value | % of Account Assets | Average Cost Basis | Cost Basis | Unrealized Gain or (Loss) |
|---|---|---|---|---|---|---|---|
| **RUSSELL GLOBAL** OPPORTUNISTIC CREDIT S SYMBOL: RGCSX | 23,677.0280 | 10.4800 | 248,135.25 | 4% | 10.07 | 238,279 99 | 9,855.26 |

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

## Investment Detail - Mutual Funds (continued)

| Bond Funds (continued) | Quantity | Market Price | Market Value | % of Account Assets | Average Cost Basis | Cost Basis | Unrealized Gain or (Loss) |
|---|---|---|---|---|---|---|---|
| **RUSSELL INVT GRADE BD FD** ◊<br>CL I<br>SYMBOL: RFASX | 38,072.1500 | 22.3800 | 852,054.72 | 12% | 22 11 | 839,584.19 | 12,470.53 |
| **RUSSELL STRATEGIC BOND**<br>CL I<br>SYMBOL: RFCSX | 76,442.7450 | 11.1500 | 852,336.61 | 12% | 10.64 | 793,510 16 [a] | 58,826 45 |
| Total Bond Funds | [illegible] | | 1,952,526.58 | 28% | | 1,871,374.34 | 81,152.24 |

| Equity Funds | Quantity | Market Price | Market Value | % of Account Assets | Average Cost Basis | Cost Basis | Unrealized Gain or (Loss) |
|---|---|---|---|---|---|---|---|
| **RUSSELL COMMODITY**<br>STRATEGIES CL S<br>SYMBOL: RCCSX | 36,734.5820 | 9.3100 | 341,998.96 | 5% | 10.42 | 376,613 89 | (34,614.93) |
| **RUSSELL EMERGING MARKETS**<br>FD CL S<br>SYMBOL: REMSX | 16,875.1000 | 18.9400 | 319,614.39 | 5% | 17.63 | 239,397.15 [a] | 80,217.24 |
| **RUSSELL GBL REAL ESTATE**<br>SECURITIES CL S<br>SYMBOL: RRESX | 6,171 5590 | 39 3500 | 242,850 85 | 3% | 35.93 | 184,300.42 | 58,550.43 |
| **RUSSELL GLOBAL**<br>INFRASTRUCTURE S<br>SYMBOL: RGISX | 22,392.4440 | 10.9700 | 245,645.11 | 3% | 10.46 | 233,381.33 | 12,263.78 |
| **RUSSELL GLOBAL EQTY FD**<br>CL S<br>SYMBOL: RGESX | 91,725.0300 | 9.1500 | 839,284.02 | 12% | 8.84 | 751,299 00 | 87,985 02 |

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

## Investment Detail - Mutual Funds (continued)

| Equity Funds (continued) | Quantity | Market Price | Market Value | % of Account Assets | Average Cost Basis | Cost Basis | Unrealized Gain or (Loss) |
|---|---|---|---|---|---|---|---|
| **RUSSELL INTL DEVELOPED**<br>MKTS CL I<br>SYMBOL· RINSX | 26,896 0850 | 30.9700 | 832,971.75 | 12% | 38.68 | 817,188 14 [a] | 15,783 61 |
| **RUSSELL US CORE EQTY CL**<br>I<br>SYMBOL: REASX | 8,890.3040 | 30.6900 | 272,843.43 | 4% | 31.74 | 222,927.20 [a] | 49,916.23 |
| **RUSSELL US SMALL CAP**<br>EQTY FD CL I<br>SYMBOL: REBSX | 14,226.1200 | 24.7600 | 352,238 73 | 5% | 26 31 | 305,619.84 | 46,618 89 |
| Total Equity Funds | [illegible] | | [illegible] | 49% | | 3,130,726.97 | 316,720.27 |
| Total Mutual Funds | [illegible] | | [illegible] | [illegible] | | 5,002,101.31 | 397,872.51 |

## Investment Detail - Other Assets

| Other Assets | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Holding Days | Holding Period |
|---|---|---|---|---|---|---|---|
| **SCHW INTL EQ ETF** | **13,142.0000** | **27.1100** | **356,279.62** | **5%** | **5,390.85** | | |
| SYMBOL: SCHF | 13,142.0000 | 26.6997 | 350,888.77 | 09/19/12 | 5,390.85 | 103 | Short-Term |
| **SCHW US LCAP ETF** | **13,279.0000** | **33.9000** | **450,158.10** | **6%** | **16,864.33** | | |
| SYMBOL: SCHX | 4,612.0000 | 32.6300 | 150,489.56 | 04/11/12 | 5,857 24 | 264 | Short-Term |
| | 8,667.0000 | 32.6300 | 282,804.21 | 04/11/12 | 11,007 09 | 264 | Short-Term |
| *Cost Basis* | | | *433,293.77* | | | | |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

## Investment Detail - Other Assets (continued)

| Other Assets (continued) | Quantity / Units Purchased | Market Price / Cost Per Share | Market Value / Cost Basis | % of Account Assets / Acquired | Unrealized Gain or (Loss) | Holding Days | Holding Period |
|---|---|---|---|---|---|---|---|
| **VANGUARD DIV APPRCIATION** | **6,242.0000** | **59.5700** | **371,835.94** | **5%** | **40,386.44** | | |
| SYMBOL: VIG | 440.0000 | 53.0998 | 23,363.95 | 11/02/11 | 2,846.85 | 425 | Long-Term |
| | 745 0000 | 53.0998 | 39,559 42 | 11/02/11 | 4,820.23 | 425 | Long-Term |
| | 1,700 0000 | 53.0998 | 90,269 80 | 11/02/11 | 10,999.20 | 425 | Long-Term |
| | 3,357.0000 | 53.0998 | 178,256 33 | 11/02/11 | 21,720 16 | 425 | Long-Term |
| *Cost Basis* | | | *331,449 50* | | | | |
| **VANGUARD LARGE CAP** | **5,621.0000** | **65.1600** | **366,264.36** | **5%** | **(1,461.42)** | | |
| SYMBOL: VV | 5,621.0000 | 65.4199 | 367,725.78 | 10/10/12 | (1,461 42) | 82 | Short-Term |
| Total Other Assets | [illegible] | | [illegible] | 22% | 61,180.20 | | |
| | | Total Cost Basis | [illegible] | | | | |

| | |
|---|---|
| Total Investment Detail | 7,083,110.25 |
| Total Account Value | 7,083,110.25 |
| Total Cost Basis | 6,485,459.13 |

## Realized Gain or (Loss)

| Long Term | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| RUSSELL EMERGING MARKETS FD CL S: REMSX | 2,485.4570 | 02/16/11 | 12/19/12 | 47,000 00 | 50,106 82 | (3,106.82) |
| RUSSELL GBL REAL ESTATE SECURITIES CL S: RRESX | 496 2780 | multiple | 12/19/12 | 20,000.00 | 16,176.89 [a] | 3,823 11 |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

## Realized Gain or (Loss) (continued)

| Long Term (continued) | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|---|---|---|---|---|---|---|
| RUSSELL GLOBAL INFRASTRUCTURE S : RGISX | 1,497.7970 | 10/14/10 | 12/19/12 | 17,000.00 | 15,592.07 | 1,407.93 |
| RUSSELL GLOBAL EQTY FD CL S : RGESX | 12,284.4830 | 05/04/07 | 12/19/12 | 114,000.00 | 131,075.44 | (17,075 44) |
| RUSSELL INTL DEVELOPED MKTS CL I : RINSX | 4,748.3380 | multiple | 12/19/12 | 150,000.00 | 183,908 87 [a] | (33,908.87) |
| RUSSELL US CORE EQTY CL I : REASX | 3,477.1410 | 06/02/04 | 12/19/12 | 108,000 00 | 95,586.62 [a] | 12,413 38 |
| RUSSELL US SMALL CAP EQTY FD CL I : REBSX | 1,040.4160 | 02/16/11 | 12/19/12 | 26,000.00 | 25,531.80 | 468.20 |
| Total Long Term | | | | 482,000.00 | 517,978.51 | (35,978.51) |
| Total Realized Gain or (Loss) | | | | 482,000.00 | 517,978.51 | (35,978.51) |

*Schwab has provided accurate realized gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. If all data for a given investment is not available, the investment will not be listed here*
*Option Customers· Realized gain/loss of underlying securities is adjusted to reflect the premiums of assigned or exercised options Please consult IRS publication 550, Investment Income and Expenses, for additional information on Options*

## Transaction Detail - Purchases & Sales

### Bond Funds Activity

| Settle Date | Trade Date | Transaction | Description | Quantity | Unit Price | Total Amount |
|---|---|---|---|---|---|---|
| 12/04/12 | 12/04/12 | Reinvested Shares | RUSSELL INVT GRADE BD FD CL I: RFASX | 40.2590 | 23 1400 | (931.59) |
| 12/24/12 | 12/24/12 | Reinvested Shares | RUSSELL GLOBAL OPPORTUNISTIC CREDIT S: RGCSX | 12.3710 | 10 4600 | (129.40) |
| 12/24/12 | 12/24/12 | Reinvested Shares | RUSSELL GLOBAL OPPORTUNISTIC CREDIT S: RGCSX | 136.9830 | 10.4600 | (1,432.84) |
| 12/24/12 | 12/24/12 | Reinvested Shares | RUSSELL INVT GRADE BD FD CL I: RFASX | 53.1080 | 22.3500 | (1,186 96) |

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.

WADDELL & REED
Financial Advisors™

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Mark T Schleck / President
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Seattle WA 98104-3180

# Summary of Accounts

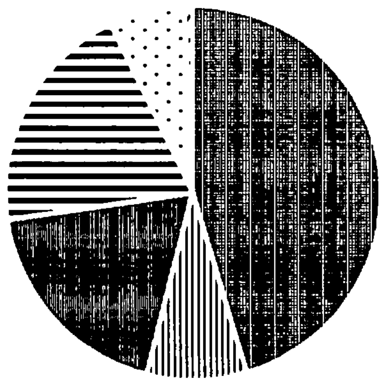
## Year-to-Date Statement Report

## January 1, 2012 - December 31, 2012



## Mutual Fund Allocation by Asset Class

| *Asset Class* | *Percent of Portfolio* | *Pie Chart Legend* |
|---|---|---|
| Domestic Equity | 45 40% | |
| International Equity | 8.63% | |
| Asset Allocation | 18 65% | |
| Domestic Fixed Income | 20 10% | |
| International Fixed Income | 6 49% | |
| Money Market | 0 73% | |



## Year-to-Date Summary of Dollar Allocation by Mutual Fund/Asset Class

### Waddell & Reed Fund Families

| *Asset Class* / *Fund Name (Fund Number)* | *Ticker Symbol* | *Market Value on 12/31/2011* | *Market Value on 12/31/2012* | *Percent of Portfolio* |
|---|---|---|---|---|
| **Domestic Equity** | | | | |
| WR Advisors Core Investment A (621) | UNCMX | $638,388 47 | $680,619 74 | 10.54% |
| WR Advisors Dividend Opportunities A (676) | WDVAX | 586,529 01 | 530,902 22 | 8 22% |
| WR Advisors Energy A (687) | WEGAX | 172,924 04 | 176,833 77 | 2 74% |
| WR Advisors New Concepts A (630) | UNECX | — | 277,686 72 | 4.30% |
| Ivy Real Estate Securities A (648) | IRSAX | 709,306.76 | 530,686 69 | 8.22% |
| WR Advisors Science and Technology A (622) | UNSCX | 617,182 59 | 735,706.33 | 11 39% |
| **Total Domestic Equity** | | **$2,724,330.87** | **$2,932,435.47** | **45.40%** |
| **International Equity** | | | | |
| Ivy Pacific Opportunities A (611) | IPOAX | $678,833.93 | $557,135.90 | 8 63% |
| **Total International Equity** | | **$678,833.93** | **$557,135.90** | **8.63%** |
| **Asset Allocation** | | | | |
| WR Advisors Asset Strategy A (684) | UNASX | $792,200 50 | $818,268.46 | 12.66% |
| WR Advisors Continental Income A (627) | UNCIX | 703,594 90 | 386,333 33 | 5 98% |
| **Total Asset Allocation** | | **$1,495,795.40** | **$1,204,601.79** | **18.65%** |
| **Domestic Fixed Income** | | | | |
| WR Advisors Bond A (624) | UNBDX | — | $335,996 18 | 5 20% |
| WR Advisors High Income A (628) | UNHIX | 755,075 49 | 798,498 01 | 12.36% |
| Ivy Limited-Term Bond A (603) | WLTAX | — | 163,966 25 | 2 54% |
| **Total Domestic Fixed Income** | | **$755,075.49** | **$1,298,460.44** | **20.10%** |

WADDELL & REED
Financial Advisors™

Scan Design By Inge & Jens Bruun
Foundation
Mark T Schleck / President
800 5th Ave Ste 4000
Seattle WA 98104-3180

# Summary of Accounts

## Year-to-Date Statement Report

**January 1, 2012 - December 31, 2012**



N 20130118134452 I-1464428

## Year-to-Date Summary of Dollar Allocation by Mutual Fund/Asset Class (continued)

### Waddell & Reed Fund Families (continued)

| *Asset Class* / *Fund Name (Fund Number)* | *Ticker Symbol* | *Market Value on 12/31/2011* | *Market Value on 12/31/2012* | *Percent of Portfolio* |
|---|---|---|---|---|
| **International Fixed Income** | | | | |
| WR Advisors Global Bond A (634) | UNHHX | $534,739.23 | $419,112.21 | 6 49% |
| **Total International Fixed Income** | | **$534,739.23** | **$419,112.21** | **6.49%** |
| **Money Market** | | | | |
| WR Advisors Cash Management A (750) | UNCXX | $5,283.75 | $46,896 28 | 0 73% |
| WR Advisors Cash Mgmt A-Asset Alloc (751) | | — | — | 0 00% |
| **Total Money Market** | | **$5,283.75** | **$46,896.28** | **0.73%** |
| **Total Portfolio Value of Waddell & Reed Fund Families** | | **$6,194,058.67** | **$6,458,642.09** | **100%** |

SANFORD C. BERNSTEIN & CO., LLC
A subsidiary of AllianceBernstein L.P

www.bernstein.com

| Account No.* | Period Ending | Last Statement |
|---|---|---|
| 888-12256 | 12/31/2012 | 11/30/2012 |

SCAN DESIGN BY INGE AND JENS

*** Comprised of the following sub-accounts:**
037-70295 037-82219 038-35192 039-30593

## PORTFOLIO SUMMARY

| Quantity | Description | Price | Market Value | Estimated Annual Income Rate | Estimated Annual Income Amount |
|---|---|---|---|---|---|
| **U.S. Equity** | | | | | |
| **Strategic Value** | | | | | |
| 550 | ALTRIA GROUP INC | 31.440 | 17,292 | $1.76 | 968 |
| 2,125 | APPLIED MATERIALS INC | 11.440 | 24,310 | $0.36 | 765 |
| 850 | ASTRAZENECA PLC | 47.270 | 40,180 | $2.85 | 2,423 |
| 300 | AT&T INC | 33.710 | 10,113 | $1.80 | 540 |
| 1,800 | BANK OF AMERICA CORP | 11.610 | 20,898 | $0.04 | 72 |
| 400 | BB&T CORP | 29.110 | 11,644 | $0.80 | 320 |
| 825 | BP P L C SPONSORED ADR | 41.640 | 34,353 | $2.16 | 1,782 |
| 75 | BUNGE LTD | 72 690 | 5,452 | $1.08 | 81 |
| 950 | CISCO SYSTEMS INC | 19.650 | 18,668 | $0.56 | 532 |
| 700 | CIT GROUP INC | 38.640 | 27,048 | $0.00 | 0 |
| 975 | CITIGROUP INC | 39.560 | 38,571 | $0.04 | 39 |
| 455 | COMCAST CORP | 37.380 | 17,008 | $0.65 | 296 |
| 115 | CUMMINS INC | 108 350 | 12,460 | $2.00 | 230 |
| 1,400 | DELTA AIR LINES INC DEL | 11.870 | 16,618 | $0.00 | 0 |
| 150 | DIAMOND OFFSHORE DRILLING INC | 67.960 | 10,194 | $0.50 | 75 |
| 200 | DIRECTV | 50.160 | 10,032 | $0.00 | 0 |
| 600 | DISCOVER FINANCIAL SERVICES | 38.550 | 23,130 | $0.56 | 336 |
| 85 | EDISON INTERNATIONAL | 45.190 | 3,841 | $1.35 | 115 |
| 475 | EXXON MOBIL CORP | 86.550 | 41,111 | $2.28 | 1,083 |
| 375 | FIDELITY NATIONAL FINANCIAL | 23.550 | 8,831 | $0.64 | 240 |
| 42 | FLOWSERVE CORP | 146.800 | 6,166 | $1.44 | 60 |
| 1,600 | FORD MOTOR CO | 12.950 | 20,720 | $0.20 | 320 |
| 225 | GAMESTOP CORP | 25.090 | 5,645 | $1.00 | 225 |
| 500 | GANNETT CO INC | 18.010 | 9,005 | $0.80 | 400 |
| 150 | HARRIS CORP-DEL | 48.960 | 7,344 | $1.48 | 222 |
| 275 | HEALTH NET INC | 24.300 | 6,683 | $0.00 | 0 |
| 190 | HELMERICH & PAYNE INC | 56.010 | 10,642 | $0.60 | 114 |
| 1,900 | HEWLETT PACKARD CO | 14.250 | 27,075 | $0.53 | 1,003 |
| 200 | JPMORGAN CHASE & CO | 43.969 | 8,794 | $1.20 | 240 |
| 1,100 | KROGER CO | 26.020 | 28,622 | $0.60 | 660 |
| 300 | LEAR CORPORATION | 46 840 | 14,052 | $0.56 | 168 |
| 150 | LORILLARD INC | 116.670 | 17,501 | $6.20 | 930 |
| 300 | LYONDELLBASELL INDUSTRIES | 57.090 | 17,127 | $1.60 | 480 |
| 525 | MACYS INC | 39.020 | 20,486 | $0.80 | 420 |
| 250 | MCGRAW HILL COMPANIES INC | 54.670 | 13,668 | $1.02 | 255 |
| 225 | MEDTRONIC INC | 41.020 | 9,230 | $1.04 | 234 |
| 1,900 | MGM RESORTS INTERNATIONAL | 11.640 | 22,116 | $0.00 | 0 |
| 2,400 | MICRON TECHNOLOGY INC | 6.350 | 15,240 | $0.00 | 0 |
| 625 | NV ENERGY INC | 18.140 | 11,338 | $0.68 | 425 |
| 125 | PARTNERRE LTD | 80.490 | 10,061 | $2.48 | 310 |
| 2,000 | PFIZER INC | 25.079 | 50,158 | $0.96 | 1,920 |
| 750 | PULTEGROUP INC | 18.160 | 13,620 | $0.00 | 0 |
| 150 | ROYAL DUTCH SHELL PLC | 68.950 | 10,343 | $2.92 | 439 |
| 185 | STATE STREET CORP | 47.010 | 8,697 | $0.96 | 178 |
| 145 | TIME WARNER CABLE INC | 97.190 | 14,093 | $2.24 | 325 |
| 105 | TIMKEN CO | 47.830 | 5,022 | $0.92 | 97 |
| 475 | TJX COMPANIES INC NEW | 42.450 | 20,164 | $0.46 | 219 |
| 125 | TRW AUTOMOTIVE HOLDINGS INC | 53.610 | 6,701 | $0.00 | 0 |
| 875 | TYSON FOODS INC-CL A | 19.400 | 16,975 | $0.20 | 175 |

| Account No.* | Period Ending | Last Statement |
|---|---|---|
| 888-12256 | 12/31/2012 | 11/30/2012 |

SCAN DESIGN BY INGE AND JENS

* Comprised of the following sub-accounts:
037-70295 037-82219 038-35192 039-30593

## PORTFOLIO SUMMARY

| Quantity | Description | Price | Market Value | Estimated Annual Income Rate | Amount |
|---|---|---|---|---|---|
| 450 | US BANCORP DEL | 31.940 | 14,373 | $0.78 | 351 |
| 150 | VALIDUS HOLDINGS LTD | 34.580 | 5,187 | $1.00 | 150 |
| 175 | VERTEX PHARMACEUTICALS INC | 41 940 | 7,340 | $0.00 | 0 |
| 375 | VIACOM INC | 52.740 | 19,778 | $1.10 | 413 |
| 500 | WELLPOINT INC | 60.920 | 30,460 | $1.15 | 575 |
| 1,100 | WELLS FARGO & CO | 34.180 | 37,598 | $0 88 | 968 |
| | | | 1,032 AI | | |
| | **Total Strategic Value** | | **934,810** | **2.37%** | **22,173** |
| **Strategic Growth** | | | | | |
| 100 | AFFILIATED MANAGERS GROUP INC | 130.150 | 13,015 | $0.00 | 0 |
| 200 | ALLERGAN INC | 91.730 | 18,346 | $0 20 | 40 |
| 70 | AMAZON.COM INC | 251.140 | 17,580 | $0 00 | 0 |
| 225 | ANSYS INC | 67.340 | 15,152 | $0.00 | 0 |
| 120 | APPLE INC | 533.030 | 63,964 | $10.60 | 1,272 |
| 110 | BIOGEN IDEC INC | 146.670 | 16,134 | $0.00 | 0 |
| 250 | BOEING CO | 75.360 | 18,840 | $1.94 | 485 |
| 185 | BROADCOM CORP | 33.210 | 6,144 | $0.40 | 74 |
| 225 | CELGENE CORP | 78.720 | 17,712 | $0.00 | 0 |
| 40 | CHIPOTLE MEXICAN GRILL INC | 297.460 | 11,898 | $0.00 | 0 |
| 450 | CITRIX SYSTEMS INC | 65.750 | 29,588 | $0.00 | 0 |
| 160 | COACH INC | 55.510 | 8,882 | $1.20 | 192 |
| 475 | COGNIZANT TECHNOLOGY SOLUTIONS | 74.050 | 35,174 | $0.00 | 0 |
| 470 | COMCAST CORP | 37.380 | 17,569 | $0.65 | 306 |
| 375 | DANAHER CORP | 55.900 | 20,963 | $0.10 | 38 |
| 300 | DOLLAR GENERAL CORPORATION | 44.090 | 13,227 | $0.00 | 0 |
| 350 | EBAY INC | 51.020 | 17,857 | $0.00 | 0 |
| 225 | EMC CORP-MASS | 25.300 | 5,693 | $0.00 | 0 |
| 75 | EOG RES INC | 120.790 | 9,059 | $0.68 | 51 |
| 225 | ESTEE LAUDER COMPANIES INC | 59.860 | 13,469 | $0.72 | 162 |
| 500 | FACEBOOK INC | 26.630 | 13,315 | $0.00 | 0 |
| 33 | FLOWSERVE CORP | 146.800 | 4,844 | $1.44 | 48 |
| 130 | F5 NETWORKS INC | 97.150 | 12,630 | $0.00 | 0 |
| 120 | GILEAD SCIENCES INC | 73.450 | 8,814 | $0.00 | 0 |
| 55 | GOOGLE INC | 709.370 | 39,015 | $0.00 | 0 |
| 115 | HARLEY DAVIDSON INC | 48.830 | 5,615 | $0.62 | 71 |
| 200 | HERSHEY COMPANY (THE) | 72.220 | 14,444 | $1.68 | 336 |
| 225 | IDEXX LABORATORIES CORP | 92.800 | 20,880 | $0.00 | 0 |
| 80 | ILLUMINA INC | 55.590 | 4,447 | $0.00 | 0 |
| 225 | INTERCONTINENTALEXCHANGE INC | 123.810 | 27,857 | $0.00 | 0 |
| 155 | INTUIT INC | 59.500 | 9,223 | $0.68 | 105 |
| 40 | INTUITIVE SURGICAL INC NEW | 490.370 | 19,615 | $0.00 | 0 |
| 125 | LINKEDIN CORPORATION | 114.820 | 14,353 | $0.00 | 0 |
| 155 | MCKESSON CORP | 96.960 | 15,029 | $0.80 | 124 |
| 125 | MONSANTO CO | 94.650 | 11,831 | $1.50 | 188 |
| 200 | NATIONAL-OILWELL VARCO INC | 68.350 | 13,670 | $0.52 | 104 |
| 175 | NOBLE ENERGY INC | 101.740 | 17,805 | $1.00 | 175 |
| 80 | O REILLY AUTOMOTIVE INC | 89.420 | 7,154 | $0.00 | 0 |
| 165 | OCEANEERING INTERNATIONAL INC | 53 790 | 8,875 | $0.72 | 119 |
| 425 | PHILIP MORRIS INTERNATIONAL | 83.640 | 35,547 | $3.40 | 1,445 |
| 115 | PRECISION CASTPARTS CORP | 189.420 | 21,783 | $0.12 | 14 |
| 15 | PRICELINE COM INC COM NEW | 621.200 | 9,318 | $0.00 | 0 |

| Account No.* | Period Ending | Last Statement |
|---|---|---|
| 888-12256 | 12/31/2012 | 11/30/2012 |

SCAN DESIGN BY INGE AND JENS

* Comprised of the following sub-accounts:
037-70295 037-82219 038-35192 039-30593

## PORTFOLIO SUMMARY

| Quantity | Description | Price | Market Value | Estimated Annual Income Rate | Amount |
|---|---|---|---|---|---|
| 325 | QUALCOMM INC | 62.020 | 20,157 | $1.00 | 325 |
| 80 | RACKSPACE HOSTING INC | 74.270 | 5,942 | $0.00 | 0 |
| 250 | RED HAT INC | 52.960 | 13,240 | $0 00 | 0 |
| 55 | ROPER INDUSTRIES INC NEW | 111.480 | 6,131 | $0.66 | 36 |
| 375 | SCHLUMBERGER LTD | 69.299 | 25,987 | $1.10 | 413 |
| 400 | STARBUCKS CORP | 53.620 | 21,448 | $0.84 | 336 |
| 500 | TIBCO SOFTWARE INC | 22.010 | 11,005 | $0.00 | 0 |
| 110 | TRIMBLE NAVIGATION LTD | 59.780 | 6,576 | $0.00 | 0 |
| 105 | ULTA SALON COSMETICS & | 98.260 | 10,317 | $0.00 | 0 |
| 640 | UNITEDHEALTH GROUP INC | 54.240 | 34,714 | $0.85 | 544 |
| 95 | V F CORP | 150.970 | 14,342 | $3.48 | 331 |
| 115 | VISA INC | 151.580 | 17,432 | $1.32 | 152 |
| 550 | WALT DISNEY CO | 49.790 | 27,385 | $0.75 | 413 |
| | | | 629 AI | | |
| | **Total Strategic Growth** | | **921,635** | **0.86%** | **7,899** |
| | **Total U.S. Equity** | | **1,856,445** | **1.62%** | **30,072** |
| **International Equity** | | | | | |
| **International Portfolio** | | | | | |
| 43,938.155 | BERNSTEIN INTERNATIONAL PORTFOLIO | 13.910 | 611,180 | $0.26 | 11,600 |
| **Emerging Market** | | | | | |
| **Emerging Markets Fund** | | | | | |
| 5,024.923 | BERNSTEIN EMERGING MARKETS PORTFOLIO | 28.270 | 142,055 | $0.36 | 1,804 |
| **Real Asset Securities** | | | | | |
| **Real Asset Strategy** | | | | | |
| 39,007.346 | ALLIANCEBERNSTEIN BD FD REAL ASSET STRATEGY CL 1 | 11.140 | 434,542 | $0.27 | 10,493 |
| **Dynamic Asset Allocation Overlay** | | | | | |
| 142,636.827 | OVERLAY A PORTFOLIO CLASS 2 | 10.490 | 1,496,260 | $0.10 | 13,836 |
| 34,624.179 | OVERLAY B PORTFOLIO CLASS 2 | 10.740 | 371,864 | $0.16 | 5,678 |
| | **Total Dynamic Asset Allocation Overlay** | | **1,868,124** | **1.04%** | **19,514** |
| | **Total Portfolio Value** | | **6,230,329** | **1.73%** | **107,833** |

Schwab

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| RUSSELL COMMODITY STRATE | 7/24/2012 | 2/16/2011 | 1701.695 | $16,064.00 | $19,348.27 | | ($3,284.27) | ($3,284.27) |
| RUSSELL COMMODITY STRATE | 7/13/2012 | 2/16/2011 | 103.881 | $975.44 | $1,181.13 | | ($205.69) | ($205.69) |
| RUSSELL EMERGING MARKETS FC | 1/27/2012 | 2/16/2011 | 24.12 | $428.85 | $486.26 | ($57.41) | | ($57.41) |
| RUSSELL EMERGING MARKETS FC | 1/27/2012 | 7/11/2011 | 463.341 | $8,238.21 | $9,614.32 | ($1,376.11) | | ($1,376.11) |
| RUSSELL EMERGING MARKETS FC | 7/24/2012 | 2/16/2011 | 257.84 | $4,177.00 | $5,198.05 | | ($1,021.05) | ($1,021.05) |
| RUSSELL EMERGING MARKETS FC | 4/11/2012 | 2/16/2011 | 35.92 | $646.20 | $724.15 | | ($77.95) | ($77.95) |
| RUSSELL EMERGING MARKETS FC | 12/19/2012 | 2/16/2011 | 2485.457 | $47,000.00 | $50,106.82 | | ($3,106.82) | ($3,106.82) |
| RUSSELL GBL REAL ESTATE SECUR | 12/19/2012 | 10/20/2003 | 126.975 | $5,117.09 | $4,440.44 | | $676.65 | $676.65 |
| RUSSELL GBL REAL ESTATE SECUR | 12/19/2012 | 10/12/2011 | 369.303 | $14,882.91 | $11,736.45 | | $3,146.46 | $3,146.46 |
| RUSSELL GBL REAL ESTATE SECUR | 7/13/2012 | 10/20/2003 | 345.629 | $12,909.25 | $12,086.98 | | $822.27 | $822.27 |
| RUSSELL GBL REAL ESTATE SECUR | 7/13/2012 | 4/11/2012 | 120.923 | $4,516.47 | $4,289.13 | $227.34 | | $227.34 |
| RUSSELL GBL REAL ESTATE SECUR | 7/24/2012 | 10/20/2003 | 149.209 | $5,470.00 | $5,217.99 | | $252.01 | $252 01 |
| RUSSELL GBL REAL ESTATE SECUR | 1/27/2012 | 10/20/2003 | 90.658 | $3,221.98 | $3,170.40 | | $51.58 | $51 58 |
| RUSSELL GBL REAL ESTATE SECUR | 1/27/2012 | 2/16/2011 | 111.658 | $3,968.33 | $4,112.36 | ($144.03) | | ($144 03) |
| RUSSELL GBL REAL ESTATE SECUR | 1/27/2012 | 4/11/2011 | 81.797 | $2,907.07 | $3,007.67 | ($100.60) | | ($100.60) |
| RUSSELL GLOBAL INFRASTRU | 7/13/2012 | 2/16/2011 | 435 914 | $4,603.25 | $4,677.35 | | ($74.10) | ($74.10) |
| RUSSELL GLOBAL INFRASTRU | 7/24/2012 | 10/14/2010 | 185.688 | $1,931.15 | $1,933.01 | | ($1.86) | ($1.86) |
| RUSSELL GLOBAL INFRASTRU | 7/24/2012 | 2/16/2011 | 109.339 | $1,137.13 | $1,173 21 | | ($36.08) | ($36.08) |
| RUSSELL GLOBAL INFRASTRU | 7/24/2012 | 7/11/2011 | 269.311 | $2,800 84 | $2,873.55 | | ($72.71) | ($72.71) |
| RUSSELL GLOBAL INFRASTRU | 7/24/2012 | 4/11/2012 | 54.604 | $567.88 | $574.98 | ($7.10) | | ($7.10) |
| RUSSELL GLOBAL INFRASTRU | 12/19/2012 | 10/14/2010 | 1497.797 | $17,000.00 | $15,592.07 | | $1,407.93 | $1,407.93 |
| RUSSELL GLOBAL OPPORTUN | 4/11/2012 | 10/14/2010 | 442.652 | $4,519.48 | $4,457.51 | | $61.97 | $61.97 |
| RUSSELL GLOBAL OPPORTUN | 7/24/2012 | 10/14/2010 | 572.911 | $5,964.00 | $5,769.21 | | $194.79 | $194.79 |
| RUSSELL GLOBAL OPPORTUN | 7/13/2012 | 10/14/2010 | 870.762 | $9,055.92 | $8,768.57 | | $287.35 | $287.35 |
| RUSSELL GLOBAL EQTY FD CL S | 7/24/2012 | 5/4/2007 | 2356 305 | $18,874.00 | $25,141.77 | | ($6,267.77) | ($6,267.77) |
| RUSSELL GLOBAL EQTY FD CL S | 1/27/2012 | 5/4/2007 | 2288 387 | $19,611.48 | $24,417.09 | | ($4,805 61) | ($4,805.61) |
| RUSSELL GLOBAL EQTY FD CL S | 12/19/2012 | 5/4/2007 | 12284.483 | $114,000.00 | $131,075.44 | | ($17,075.44) | ($17,075.44) |
| RUSSELL INTL DEVELOPED MKTS | 7/24/2012 | 8/17/2005 | 345.534 | $9,091.00 | $13,591.34 | | ($4,500.34) | ($4,500.34) |
| RUSSELL INTL DEVELOPED MKTS | 12/19/2012 | 1/14/2004 | 419.091 | $13,239.09 | $13,978.49 | | ($739.40) | ($739.40) |
| RUSSELL INTL DEVELOPED MKTS | 12/19/2012 | 6/16/2005 | 98.464 | $3,110.48 | $3,647.51 | | ($537.03) | ($537.03) |
| RUSSELL INTL DEVELOPED MKTS | 12/19/2012 | 7/29/2005 | 128.172 | $4,048.95 | $4,909.55 | | ($860.60) | ($860.60) |
| RUSSELL INTL DEVELOPED MKTS | 12/19/2012 | 8/17/2005 | 4102.611 | $129,601.48 | $161,373.32 | | ($31,771.84) | ($31,771.84) |
| RUSSELL INTL DEVELOPED MKTS | 4/11/2012 | 8/17/2005 | 573.084 | $16,418.86 | $22,541 86 | | ($6,123 00) | ($6,123.00) |
| RUSSELL INTL DEVELOPED MKTS | 4/11/2012 | 12/20/2005 | 78.804 | $2,257.73 | $3,317.99 | | ($1,060.26) | ($1,060.26) |
| RUSSELL INTL DEVELOPED MKTS | 4/11/2012 | 1/12/2006 | 921.927 | $26,413.21 | $40,974.40 | | ($14,561.19) | ($14,561.19) |
| RUSSELL INTL DEVELOPED MKTS | 4/11/2012 | 12/13/2006 | 215.871 | $6,184.70 | $9,896 44 | | ($3,711.74) | ($3,711.74) |
| RUSSELL INTL DEVELOPED MKTS | 4/11/2012 | 10/26/2007 | 4269.528 | $122,321.98 | $236,251.33 | | ($113,929.35) | ($113,929.35) |
| RUSSELL INTL DEVELOPED MKTS | 4/11/2012 | 12/14/2007 | 1746.253 | $50,030.15 | $74,555.05 | | ($24,524.90) | ($24,524.90) |
| RUSSELL INTL DEVELOPED MKTS | 4/11/2012 | 12/14/2007 | 3177.035 | $91,022.05 | $135,641.27 | | ($44,619.22) | ($44,619.22) |
| RUSSELL INTL DEVELOPED MKTS | 4/11/2012 | 2/1/2008 | 1288.911 | $36,927.30 | $51,278.42 | | ($14,351.12) | ($14,351.12) |
| RUSSELL INTL DEVELOPED MKTS | 1/27/2012 | 10/26/2007 | 300.306 | $8,564.73 | $16,617.22 | | ($8,052.49) | ($8,052.49) |
| RUSSELL INVT GRADE BD FD CL I | 7/24/2012 | 7/13/2010 | 233 692 | $5,370.24 | $5,169.27 | | $200.97 | $200.97 |
| RUSSELL INVT GRADE BD FD CL I | 7/24/2012 | 1/27/2012 | 545.951 | $12,545.95 | $12,191.08 | $354.87 | | $354.87 |

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| RUSSELL INVT GRADE BD FD CL I | 7/24/2012 | 4/3/2012 | 73.882 | $1,697.81 | $1,640 91 | $56.90 | | $56.90 |
| RUSSELL INVT GRADE BD FD CL I | 7/13/2012 | 1/27/2012 | 1514.646 | $34,594.51 | $33,822.04 | $772.47 | | $772.47 |
| RUSSELL INVT GRADE BD FD CL I | 7/13/2012 | 2/2/2012 | 11.622 | $265.45 | $259.99 | $5.46 | | $5.46 |
| RUSSELL INVT GRADE BD FD CL I | 7/13/2012 | 5/2/2012 | 76.903 | $1,756.46 | $1,728.00 | $28.46 | | $28.46 |
| RUSSELL INVT GRADE BD FD CL I | 7/13/2012 | 6/4/2012 | 49.935 | $1,140.52 | $1,129.52 | $11.00 | | $11.00 |
| RUSSELL INVT GRADE BD FD CL I | 7/13/2012 | 7/3/2012 | 56.275 | $1,285.32 | $1,275.75 | $9.57 | | $9.57 |
| RUSSELL INVT GRADE BD FD CL I | 4/11/2012 | 2/2/2012 | 72.936 | $1,631.58 | $1,631.58 | $0.00 | | $0.00 |
| RUSSELL INVT GRADE BD FD CL I | 4/11/2012 | 3/2/2012 | 63.615 | $1,423.07 | $1,423.71 | ($0.64) | | ($0.64) |
| RUSSELL STRATEGIC BOND CL I | 7/24/2012 | 10/12/2011 | 760.649 | $8,557.30 | $8,154.16 | $403.14 | | $403.14 |
| RUSSELL STRATEGIC BOND CL I | 7/24/2012 | 1/27/2012 | 1025.04 | $11,531.70 | $11,172.93 | $358.77 | | $358.77 |
| RUSSELL STRATEGIC BOND CL I | 4/11/2012 | 1/27/2012 | 232.585 | $2,553.78 | $2,535.18 | $18.60 | | $18 60 |
| RUSSELL STRATEGIC BOND CL I | 7/13/2012 | 1/27/2012 | 2901.002 | $32,404.19 | $31,620.92 | $783.27 | | $783.27 |
| RUSSELL U.S. CORE EQTY CL I | 7/24/2012 | 6/2/2004 | 67.684 | $1,895.83 | $1,860.63 | | $35.20 | $35.20 |
| RUSSELL U.S. CORE EQTY CL I | 7/24/2012 | 7/17/2012 | 306.182 | $8,576.17 | $8,753.75 | ($177.58) | | ($177.58) |
| RUSSELL U.S. CORE EQTY CL I | 12/19/2012 | 6/2/2004 | 599.2 | $18,611.15 | $16,472.02 | | $2,139.13 | $2,139.13 |
| RUSSELL U.S. CORE EQTY CL I | 12/19/2012 | 6/2/2004 | 2877 941 | $89,388.85 | $79,114.60 | | $10,274 25 | $10,274.25 |
| RUSSELL U.S. CORE EQTY CL I | 4/11/2012 | 1/14/2004 | 3406.557 | $100,663.76 | $93,816.58 | | $6,847.18 | $6,847 18 |
| RUSSELL U.S. CORE EQTY CL I | 4/11/2012 | 6/2/2004 | 258.252 | $7,631.35 | $7,099.35 | | $532.00 | $532.00 |
| RUSSELL U.S. CORE EQTY CL I | 4/11/2012 | 7/11/2011 | 1798.11 | $53,134.15 | $52,181.16 | $952.99 | | $952 99 |
| RUSSELL U.S. CORE EQTY CL I | 1/27/2012 | 7/11/2011 | 216.904 | $6,140.55 | $6,294.55 | ($154.00) | | ($154.00) |
| RUSSELL U.S. QUANT EQTY CL I | 7/24/2012 | 7/11/2011 | 217.068 | $6,796.39 | $6,798.57 | | ($2.18) | ($2.18) |
| RUSSELL U.S. QUANT EQTY CL I | 7/24/2012 | 7/17/2012 | 99.796 | $3,124.61 | $3,189.49 | ($64.88) | | ($64.88) |
| RUSSELL U S. QUANT EQTY CL I | 4/11/2012 | 7/11/2011 | 4980.134 | $161,904.16 | $155,977.81 | $5,926.35 | | $5,926 35 |
| RUSSELL U.S. QUANT EQTY CL I | 1/27/2012 | 7/11/2011 | 197.654 | $6,147.04 | $6,190.52 | ($43 48) | | ($43.48) |
| RUSSELL U.S. QUANT EQTY CL I | 10/10/2012 | 8/6/2004 | 306.773 | $10,191.00 | $9,240.00 | | $951.00 | $951.00 |
| RUSSELL U.S. QUANT EQTY CL I | 10/10/2012 | 8/6/2004 | 354.25 | $11,768.18 | $10,670.00 | | $1,098.18 | $1,098.18 |
| RUSSELL U.S. QUANT EQTY CL I | 10/10/2012 | 8/7/2009 | 3447.472 | $114,525.02 | $80,464.00 | | $34,061.02 | $34,061.02 |
| RUSSELL U.S. QUANT EQTY CL I | 10/10/2012 | 10/14/2009 | 551.27 | $18,313.19 | $13,803.81 | | $4,509.38 | $4,509.38 |
| RUSSELL U.S. QUANT EQTY CL I | 10/10/2012 | 2/16/2011 | 1964.912 | $65,274.38 | $60,480.00 | | $4,794.38 | $4,794.38 |
| RUSSELL U.S. QUANT EQTY CL I | 10/10/2012 | 4/11/2011 | 155.5 | $5,165.71 | $4,798.72 | | $366 99 | $366.99 |
| RUSSELL U.S. QUANT EQTY CL I | 10/10/2012 | 7/11/2011 | 4293.051 | $142,615.15 | $134,458.36 | | $8,156.79 | $8,156.79 |
| RUSSELL US SMALL CAP EQTY FI | 1/27/2012 | 12/13/2006 | 216.147 | $5,101.07 | $6,173.16 | | ($1,072.09) | ($1,072 09) |
| RUSSELL US SMALL CAP EQTY FI | 1/27/2012 | 12/13/2006 | 207.766 | $4,903.28 | $5,933.79 | | ($1,030.51) | ($1,030 51) |
| RUSSELL US SMALL CAP EQTY FI | 7/24/2012 | 12/13/2006 | 47.559 | $1,078.64 | $1,358 28 | | ($279.64) | ($279.64) |
| RUSSELL US SMALL CAP EQTY FI | 7/24/2012 | 12/13/2006 | 10.574 | $239.82 | $301.99 | | ($62.17) | ($62.17) |
| RUSSELL US SMALL CAP EQTY FI | 7/24/2012 | 2/16/2011 | 16.779 | $380.54 | $411.76 | | ($31 22) | ($31.22) |
| RUSSELL US SMALL CAP EQTY FI | 12/19/2012 | 2/16/2011 | 1040.416 | $26,000.00 | $25,531.80 | | $468.20 | $468.20 |
| RUSSELL US SMALL CAP EQTY FI | 7/13/2012 | 12/13/2006 | 39.672 | $935.86 | $1,133.03 | | ($197.17) | ($197.17) |
| RUSSELL US SMALL CAP EQTY FI | 4/11/2012 | 12/13/2006 | 48.42 | $1,164.99 | $1,382.88 | | ($217 89) | ($217.89) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 26 | $597.73 | $652.08 | ($54.35) | | ($54.35) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 311 | $7,149.73 | $7,806.10 | ($656.37) | | ($656.37) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 1300 | $29,886.33 | $32,578.00 | ($2,691.67) | | ($2,691.67) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 500 | $11,494.74 | $12,530.00 | ($1,035.26) | | ($1,035.26) |

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 80 | $1,839.16 | $2,006.40 | ($167.24) | | ($167 24) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 100 | $2,298.95 | $2,506.00 | ($207.05) | | ($207.05) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 100 | $2,298.95 | $2,506.00 | ($207.05) | | ($207.05) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 2545 | $58,508.24 | $63,675.90 | ($5,167.66) | | ($5,167.66) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 100 | $2,298.95 | $2,510.00 | ($211.05) | | ($211 05) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 32 | $735.66 | $801.92 | ($66.26) | | ($66.26) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 2300 | $52,875.82 | $57,661.00 | ($4,785.18) | | ($4,785.18) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 100 | $2,298.95 | $2,510.00 | ($211.05) | | ($211.05) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 600 | $13,793.69 | $15,042.00 | ($1,248 31) | | ($1,248 31) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 1100 | $25,288.43 | $27,608.90 | ($2,320.47) | | ($2,320.47) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 1819 | $41,817.87 | $45,584.14 | ($3,766.27) | | ($3,766.27) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 1300 | $29,886.33 | $32,578.00 | ($2,691.67) | | ($2,691.67) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 100 | $2,298 95 | $2,505.95 | ($207.00) | | ($207 00) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 1 | $22.99 | $25.10 | ($2.11) | | ($2.11) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 322 | $7,402.62 | $8,056.44 | ($653.82) | | ($653 82) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 500 | $11,494.74 | $12,520.00 | ($1,025.26) | | ($1,025 26) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 500 | $11,494.74 | $12,530.00 | ($1,035.26) | | ($1,035 26) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 500 | $11,494.74 | $12,530.00 | ($1,035.26) | | ($1,035.26) |
| SCHW INTL EQ ETF | 5/29/2012 | 4/11/2012 | 600 | $13,793.69 | $15,060 00 | ($1,266.31) | | ($1,266.31) |
| SCHW US LCAP ETF | 7/24/2012 | 4/11/2012 | 220 | $6,972.08 | $7,178.60 | ($206.52) | | ($206.52) |
| SCHW US LCAP ETF | 7/13/2012 | 4/11/2012 | 15 | $482.24 | $489.45 | ($7.21) | | ($7 21) |
| VANGUARD DIV APPRCIATION | 4/11/2012 | 11/2/2011 | 200 | $11,393.22 | $10,619.98 | $773.24 | | $773 24 |
| VANGUARD DIV APPRCIATION | 7/13/2012 | 11/2/2011 | 81 | $4,589.32 | $4,301.09 | $288.23 | | $288 23 |
| VANGUARD DIV APPRCIATION | 4/11/2012 | 11/2/2011 | 59 | $3,360.96 | $3,132.89 | $228.07 | | $228.07 |
| VANGUARD DIV APPRCIATION | 4/11/2012 | 1/27/2012 | 41 | $2,335.59 | $2,322 99 | $12 60 | | $12.60 |
| VANGUARD DIV APPRCIATION | 4/11/2012 | 11/2/2011 | 891 | $50,756.82 | $47,312.00 | $3,444.82 | | $3,444.82 |
| VANGUARD DIV APPRCIATION | 7/24/2012 | 11/2/2011 | 124 | $6,956.22 | $6,584.39 | $371.83 | | $371 83 |
| VANGUARD DIV APPRCIATION | 4/11/2012 | 11/2/2011 | 400 | $22,786 21 | $21,239.96 | $1,546.25 | | $1,546.25 |
| VANGUARD DIV APPRCIATION | 4/11/2012 | 11/2/2011 | 100 | $5,696.51 | $5,309.99 | $386 52 | | $386.52 |
| VANGUARD DIV APPRCIATION | 4/11/2012 | 11/2/2011 | 800 | $45,572.91 | $42,479.91 | $3,093 00 | | $3,093.00 |
| | | | | $2,340,222.44 | $2,580,149.20 | ($12,997.74) | ($226,929.02) | ($239,926.76) |

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| SHORT-TERM NON-COVERED | | | | | | | | |
| 01/07/2011 | 01/05/2012 | 50 | LIMITED BRANDS INC | 39 28 | 28 69 | 1,964.00 | 1,434 64 | 529 36 |
| 01/31/2011 | 01/06/2012 | 50 | MONSANTO CO | 77.37 | 72.88 | 3,868.64 | 3,644.02 | 224 62 |
| 02/14/2011 | 01/09/2012 | 15 | BORGWARNER INC | 64 77 | 79 29 | 971 54 | 1,189 29 | -217.75 |
| 03/07/2011 | 01/09/2012 | 35 | BORGWARNER INC | 64 77 | 76 34 | 2,266 94 | 2,671 73 | -404 79 |
| 05/23/2011 | 01/09/2012 | 75 | ***LYONDELLBASELL INDU-CL A | 34 46 | 38 93 | 2,584.16 | 2,919 71 | -335 55 |
| 05/23/2011 | 01/09/2012 | 25 | ***LYONDELLBASELL INDU-CL A | 34 46 | 38.93 | 861.39 | 973 24 | -111 85 |
| 09/26/2011 | 01/09/2012 | 20 | PEPSICO INC | 65.39 | 60.95 | 1,307 84 | 1,219.07 | 88 77 |
| 09/27/2011 | 01/09/2012 | 40 | PEPSICO INC | 65 39 | 62 58 | 2,615 68 | 2,503 40 | 112.28 |
| 10/25/2011 | 01/09/2012 | 50 | PEPSICO INC | 65 39 | 62.03 | 3,269.59 | 3,101 64 | 167.95 |
| 03/07/2011 | 01/10/2012 | 100 | LIMITED BRANDS INC | 39 00 | 31 66 | 3,900.00 | 3,166 16 | 733 84 |
| 09/13/2011 | 01/10/2012 | 75 | LIMITED BRANDS INC | 39.00 | 37.90 | 2,925.00 | 2,842.31 | 82 69 |
| 08/04/2011 | 01/10/2012 | 25 | VISA INC - CLASS A SHRS | 99.59 | 85 68 | 2,489 85 | 2,141.93 | 347 92 |
| 03/29/2011 | 01/11/2012 | 35 | CELGENE CORP | 70.98 | 55.36 | 2,484 41 | 1,937.44 | 546.97 |
| 05/04/2011 | 01/11/2012 | 10 | CELGENE CORP | 70 98 | 60 82 | 709.83 | 608 22 | 101 61 |
| 01/20/2011 | 01/11/2012 | 40 | ROCKWELL AUTOMATION INC | 77 95 | 74 75 | 3,117.87 | 2,990 00 | 127.87 |
| 07/15/2011 | 01/12/2012 | 40 | CONOCOPHILLIPS | 70.79 | 76.44 | 2,831.72 | 3,057.54 | -225 82 |
| 07/29/2011 | 01/12/2012 | 50 | CONOCOPHILLIPS | 70.79 | 71.92 | 3,539 65 | 3,595 87 | -56 22 |
| 08/05/2011 | 01/12/2012 | 50 | CONOCOPHILLIPS | 70 79 | 68 36 | 3,539 65 | 3,417 80 | 121.85 |
| 05/26/2011 | 01/24/2012 | 50 | FMC TECHNOLOGIES INC | 51 62 | 43 73 | 2,581 08 | 2,186.47 | 394.61 |
| 01/11/2012 | 01/24/2012 | 95 | WATSON PHARMACEUTICALS INC | 56 00 | 63.25 | 5,320.10 | 6,009 16 | -689 06 |
| 05/04/2011 | 01/27/2012 | 40 | CELGENE CORP | 73.05 | 60.82 | 2,921.80 | 2,432 87 | 488 93 |
| 02/02/2011 | 01/31/2012 | 185 | LOWE'S COS INC | 26 85 | 24.60 | 4,967 25 | 4,550.30 | 416.95 |
| 06/01/2011 | 01/31/2012 | 190 | LOWE'S COS INC | 26.85 | 24.00 | 5,101.50 | 4,560.65 | 540 85 |
| 05/10/2011 | 01/31/2012 | 75 | PROCTER & GAMBLE CO | 62.88 | 65.61 | 4,716 31 | 4,920 46 | -204 15 |
| 05/23/2011 | 02/02/2012 | 100 | ***LYONDELLBASELL INDU-CL A | 43 31 | 35 73 | 4,330 84 | 3,573 50 | 757 34 |
| 08/04/2011 | 02/06/2012 | 30 | VF CORP | 132.77 | 110.65 | 3,983.16 | 3,319.55 | 663 61 |
| 05/26/2011 | 02/07/2012 | 25 | FMC TECHNOLOGIES INC | 53.81 | 43.73 | 1,345 35 | 1,093.24 | 252 11 |
| 08/03/2011 | 02/07/2012 | 25 | FMC TECHNOLOGIES INC | 53 81 | 43.23 | 1,345 34 | 1,080 79 | 264.55 |
| 05/16/2011 | 02/07/2012 | 25 | GOODRICH CORP | 125 36 | 90.39 | 3,133.89 | 2,259 84 | 874.05 |
| 02/23/2011 | 02/10/2012 | 75 | ***ACCENTURE PLC-CL A | 56.75 | 51.45 | 4,256.51 | 3,859.04 | 397 47 |
| 02/18/2011 | 02/10/2012 | 75 | JOHNSON CONTROLS INC | 32.97 | 42.13 | 2,472 79 | 3,159 86 | -687.07 |
| 07/29/2011 | 02/10/2012 | 75 | MARATHON PETROLEUM CORP | 44.04 | 43.82 | 3,302.90 | 3,286 86 | 16.04 |
| 05/16/2011 | 02/14/2012 | 25 | GOODRICH CORP | 125 51 | 90.39 | 3,137.81 | 2,259 84 | 877.97 |
| 05/10/2011 | 02/15/2012 | 50 | ***ACCENTURE PLC-CL A | 58.08 | 56.47 | 2,904.17 | 2,823 39 | 80.78 |
| 08/04/2011 | 02/15/2012 | 25 | VF CORP | 143.45 | 110.65 | 3,586.28 | 2,766 30 | 819.98 |
| 08/18/2011 | 02/16/2012 | 275 | CMS ENERGY CORP | 21.60 | 18.85 | 5,940.03 | 5,183 81 | 756 22 |
| 10/10/2011 | 02/16/2012 | 200 | CMS ENERGY CORP | 21 60 | 20.16 | 4,320 02 | 4,031 76 | 288 26 |
| 10/06/2011 | 02/16/2012 | 80 | ESTEE LAUDER COMPANIES-CL A | 55 60 | 44 62 | 4,447 73 | 3,569.95 | 877 78 |
| 06/08/2011 | 02/28/2012 | 100 | GENERAL MOTORS CO | 26 24 | 28 91 | 2,623 92 | 2,890 85 | -266 93 |
| 06/10/2011 | 02/28/2012 | 25 | GENERAL MOTORS CO | 26 24 | 28.90 | 655.98 | 722 48 | -66.50 |
| 08/01/2011 | 03/14/2012 | 175 | CBRE GROUP INC | 20.24 | 21.88 | 3,541.32 | 3,828 77 | -287.45 |
| 08/05/2011 | 03/14/2012 | 150 | CBRE GROUP INC | 20 24 | 18.39 | 3,035.41 | 2,759 25 | 276.16 |
| 09/15/2011 | 03/14/2012 | 75 | CBRE GROUP INC | 20.24 | 15.15 | 1,517.71 | 1,136.06 | 381.65 |
| 10/21/2011 | 03/14/2012 | 100 | HARLEY DAVIDSON INC | 49.79 | 37.13 | 4,978 66 | 3,712.81 | 1,265.85 |
| 04/08/2011 | 03/16/2012 | 75 | MOODY'S CORP | 41 84 | 35 84 | 3,138 32 | 2,688 02 | 450 30 |
| 06/17/2011 | 03/21/2012 | 75 | UNITEDHEALTH GROUP INC | 54 12 | 50.09 | 4,059 16 | 3,756 70 | 302.46 |

# Capital Gains Report

SCAN DESIGN BY INGE AND JENS BRUUN FOUNDATION (Account: 888-12256) January 1, 2012 through December 31, 2012

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 06/20/2011 | 03/21/2012 | 25 | UNITEDHEALTH GROUP INC | 54.12 | 51.24 | 1,353.05 | 1,281.00 | 72.05 |
| 05/20/2011 | 03/22/2012 | 50 | ***TRANSOCEAN LTD | 55.07 | 69.70 | 2,753.27 | 3,485.25 | -731.98 |
| 07/11/2011 | 03/26/2012 | 95 | DTE ENERGY COMPANY | 55.35 | 49.76 | 5,257.98 | 4,727.34 | 530.64 |
| 05/18/2011 | 03/26/2012 | 75 | METLIFE INC | 38.33 | 44.57 | 2,874.65 | 3,343.01 | -468.36 |
| 08/11/2011 | 03/26/2012 | 75 | METLIFE INC | 38.33 | 32.56 | 2,874.64 | 2,441.64 | 433.00 |
| 08/29/2011 | 03/26/2012 | 150 | METLIFE INC | 38.33 | 33.24 | 5,749.29 | 4,986.39 | 762.90 |
| 08/26/2011 | 03/26/2012 | 50 | TRAVELERS COS INC/THE | 58.81 | 48.13 | 2,940.49 | 2,406.71 | 533.78 |
| 01/23/2012 | 04/02/2012 | 75 | LAS VEGAS SANDS CORP | 58.42 | 47.50 | 4,381.79 | 3,562.20 | 819.59 |
| 10/25/2011 | 04/04/2012 | 100 | ILLUMINA INC | 51.96 | 30.75 | 5,196.36 | 3,074.92 | 2,121.44 |
| 02/21/2012 | 04/04/2012 | 25 | SALESFORCE COM INC | 154.72 | 128.78 | 3,867.97 | 3,219.45 | 648.52 |
| 02/23/2012 | 04/04/2012 | 25 | SALESFORCE.COM INC | 154.72 | 130.11 | 3,867.96 | 3,252.84 | 615.12 |
| 05/17/2011 | 04/11/2012 | 150 | GENERAL MILLS INC | 38.56 | 39.78 | 5,784.09 | 5,967.45 | -183.36 |
| 07/14/2011 | 04/11/2012 | 75 | GENERAL MILLS INC | 38.56 | 37.31 | 2,892.05 | 2,798.60 | 93.45 |
| 08/22/2011 | 04/13/2012 | 75 | GENERAL MILLS INC | 38.84 | 36.19 | 2,912.93 | 2,714.09 | 198.84 |
| 10/11/2011 | 04/13/2012 | 75 | GENERAL MILLS INC | 38.84 | 40.07 | 2,912.93 | 3,005.09 | -92.16 |
| 11/01/2011 | 04/13/2012 | 125 | GENERAL MILLS INC | 38.84 | 38.37 | 4,854.87 | 4,796.70 | 58.17 |
| 01/13/2012 | 04/18/2012 | 300 | ***NEXEN INC | 19.06 | 17.71 | 5,718.33 | 5,312.28 | 406.05 |
| 09/28/2011 | 04/25/2012 | 100 | ***MARVELL TECHNOLOGY GROUP LT | 14.83 | 14.99 | 1,482.58 | 1,498.67 | -16.09 |
| 05/16/2011 | 04/25/2012 | 75 | ***POTASH CORP OF SASKATCHEWAN | 44.10 | 52.25 | 3,307.50 | 3,918.42 | -610.92 |
| 05/17/2011 | 04/25/2012 | 50 | ***POTASH CORP OF SASKATCHEWAN | 44.10 | 53.29 | 2,205.00 | 2,664.40 | -459.40 |
| 12/05/2011 | 05/03/2012 | 700 | MORGAN STANLEY | 16.34 | 16.45 | 11,439.96 | 11,516.75 | -76.79 |
| 12/07/2011 | 05/03/2012 | 250 | MORGAN STANLEY | 16.34 | 16.71 | 4,085.70 | 4,177.50 | -91.80 |
| 01/05/2012 | 05/08/2012 | 85 | VERTEX PHARMACEUTICALS INC | 61.11 | 33.11 | 5,194.36 | 2,813.97 | 2,380.39 |
| 05/17/2011 | 05/14/2012 | 50 | EXPRESS SCRIPTS HOLDING CO | 53.98 | 60.06 | 2,699.02 | 3,002.76 | -303.74 |
| 01/11/2012 | 05/14/2012 | 75 | EXPRESS SCRIPTS HOLDING CO | 53.98 | 49.36 | 4,048.53 | 3,702.28 | 346.25 |
| 05/24/2011 | 05/14/2012 | 100 | TRW AUTOMOTIVE HOLDINGS CORP | 41.79 | 53.56 | 4,179.46 | 5,355.61 | -1,176.15 |
| 10/19/2011 | 05/14/2012 | 50 | TRW AUTOMOTIVE HOLDINGS CORP | 41.79 | 39.76 | 2,089.73 | 1,988.05 | 101.68 |
| 08/15/2011 | 06/01/2012 | 175 | CORNING INC | 12.61 | 15.01 | 2,206.61 | 2,626.47 | -419.86 |
| 08/16/2011 | 06/01/2012 | 225 | CORNING INC | 12.61 | 15.18 | 2,837.07 | 3,415.66 | -578.59 |
| 10/05/2011 | 06/01/2012 | 200 | CORNING INC | 12.61 | 12.25 | 2,521.84 | 2,449.28 | 72.56 |
| 09/09/2011 | 06/04/2012 | 65 | DOLLAR GENERAL CORP | 48.28 | 34.65 | 3,137.99 | 2,252.04 | 885.95 |
| 05/04/2012 | 06/04/2012 | 475 | GENERAL ELECTRIC CO | 18.15 | 19.38 | 8,620.72 | 9,205.22 | -584.50 |
| 03/06/2012 | 06/04/2012 | 300 | LIBERTY INTERACTIVE CORP-A | 16.07 | 18.53 | 4,820.85 | 5,558.70 | -737.85 |
| 01/10/2012 | 06/04/2012 | 75 | ***POTASH CORP OF SASKATCHEWAN | 36.94 | 43.31 | 2,770.44 | 3,248.57 | -478.13 |
| 01/23/2012 | 06/04/2012 | 75 | ***POTASH CORP OF SASKATCHEWAN | 36.94 | 45.58 | 2,770.43 | 3,418.76 | -648.33 |
| 09/15/2011 | 06/08/2012 | 125 | CBRE GROUP INC | 16.05 | 15.15 | 2,006.50 | 1,893.42 | 113.08 |
| 11/16/2011 | 06/08/2012 | 175 | CBRE GROUP INC | 16.05 | 16.13 | 2,809.10 | 2,822.30 | -13.20 |
| 02/13/2012 | 06/08/2012 | 150 | CBRE GROUP INC | 16.05 | 17.92 | 2,407.80 | 2,687.57 | -279.77 |
| 10/07/2011 | 06/12/2012 | 50 | DEVON ENERGY CORPORATION | 57.40 | 57.51 | 2,870.20 | 2,875.35 | -5.15 |
| 05/10/2012 | 06/12/2012 | 45 | DEVON ENERGY CORPORATION | 57.40 | 64.82 | 2,583.18 | 2,916.74 | -333.56 |
| 11/23/2011 | 06/12/2012 | 50 | PERRIGO INC | 108.36 | 89.98 | 5,418.09 | 4,498.82 | 919.27 |
| 04/19/2012 | 06/15/2012 | 150 | ***ROYAL CARIBBEAN CRUISES LTD | 24.71 | 28.31 | 3,705.95 | 4,246.02 | -540.07 |
| 09/28/2011 | 06/18/2012 | 125 | ***MARVELL TECHNOLOGY GROUP LT | 11.72 | 14.99 | 1,464.76 | 1,873.34 | -408.58 |
| 10/28/2011 | 06/18/2012 | 375 | ***MARVELL TECHNOLOGY GROUP LT | 11.72 | 14.07 | 4,394.29 | 5,275.16 | -880.87 |
| 11/16/2011 | 06/18/2012 | 200 | ***MARVELL TECHNOLOGY GROUP LT | 11.72 | 14.95 | 2,343.62 | 2,989.04 | -645.42 |
| 05/18/2012 | 06/18/2012 | 400 | ***MARVELL TECHNOLOGY GROUP LT | 11.72 | 13.70 | 4,687.24 | 5,478.96 | -791.72 |
| 07/19/2011 | 06/29/2012 | 66 | GILEAD SCIENCES INC | 50.87 | 41.77 | 3,357.60 | 2,756.74 | 600.86 |
| 10/18/2011 | 07/02/2012 | 175 | CENTERPOINT ENERGY INC | 20.73 | 20.67 | 3,628.17 | 3,616.90 | 11.27 |
| 11/28/2011 | 07/05/2012 | 15 | AMAZON COM INC | 227.98 | 193.26 | 3,419.68 | 2,898.88 | 520.80 |

# Capital Gains Report

SCAN DESIGN BY INGE AND JENS BRUUN FOUNDATION (Account: 888-12256) January 1, 2012 through December 31, 2012

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 10/13/2011 | 07/05/2012 | 75 | GANNETT CO | 14.63 | 10.67 | 1,097.26 | 800.32 | 296.94 |
| 07/29/2011 | 07/05/2012 | 100 | MARATHON PETROLEUM CORP | 44.42 | 43.82 | 4,441.88 | 4,382.48 | 59.40 |
| 01/13/2012 | 07/06/2012 | 75 | FREEPORT-MCMORAN COPPER | 34.84 | 41.78 | 2,613.35 | 3,133.41 | -520.06 |
| 01/06/2012 | 07/10/2012 | 70 | STRYKER CORP | 53.17 | 51.76 | 3,721.78 | 3,623.13 | 98.65 |
| 01/09/2012 | 07/10/2012 | 5 | STRYKER CORP | 53.17 | 51.76 | 265.84 | 258.80 | 7.04 |
| 07/29/2011 | 07/13/2012 | 25 | MARATHON PETROLEUM CORP | 44.72 | 43.82 | 1,118.03 | 1,095.62 | 22.41 |
| 08/24/2011 | 07/13/2012 | 100 | MARATHON PETROLEUM CORP | 44.72 | 35.15 | 4,472.10 | 3,515.25 | 956.85 |
| 10/03/2011 | 07/13/2012 | 125 | MARATHON PETROLEUM CORP | 44.72 | 27.07 | 5,590.13 | 3,383.48 | 2,206.65 |
| 06/05/2012 | 07/13/2012 | 75 | MARATHON PETROLEUM CORP | 44.72 | 35.12 | 3,354.07 | 2,633.68 | 720.39 |
| 08/04/2011 | 07/17/2012 | 40 | ANADARKO PETROLEUM CORP | 71.16 | 74.94 | 2,846.43 | 2,997.58 | -151.15 |
| 01/30/2012 | 07/20/2012 | 125 | EMERSON ELECTRIC CO | 46.30 | 51.41 | 5,787.50 | 6,426.39 | -638.89 |
| 02/02/2012 | 07/20/2012 | 75 | EMERSON ELECTRIC CO | 46.30 | 51.94 | 3,472.50 | 3,895.63 | -423.13 |
| 03/15/2012 | 07/20/2012 | 25 | EMERSON ELECTRIC CO | 46.30 | 51.82 | 1,157.50 | 1,295.39 | -137.89 |
| 03/15/2012 | 07/24/2012 | 100 | EMERSON ELECTRIC CO | 45.28 | 51.82 | 4,528.05 | 5,181.55 | -653.50 |
| 03/28/2012 | 07/24/2012 | 75 | EMERSON ELECTRIC CO | 45.28 | 51.34 | 3,396.04 | 3,850.85 | -454.81 |
| 04/25/2012 | 07/24/2012 | 25 | EMERSON ELECTRIC CO | 45.28 | 51.19 | 1,132.01 | 1,279.83 | -147.82 |
| 04/25/2012 | 07/24/2012 | 30 | EMERSON ELECTRIC CO | 45.03 | 51.19 | 1,350.90 | 1,535.79 | -184.89 |
| 06/26/2012 | 07/25/2012 | 25 | AFFILIATED MANAGERS GROUP INC | 104.36 | 102.54 | 2,609.00 | 2,563.54 | 45.46 |
| 11/02/2011 | 07/25/2012 | 50 | BOEING CO/THE | 74.00 | 64.42 | 3,700.00 | 3,220.98 | 479.02 |
| 01/19/2012 | 07/25/2012 | 10 | GOLDMAN SACHS GROUP INC | 95.01 | 107.33 | 950.10 | 1,073.31 | -123.21 |
| 06/28/2012 | 07/25/2012 | 50 | PHILIP MORRIS INTERNATIONAL | 87.07 | 84.57 | 4,353.67 | 4,228.30 | 125.37 |
| 02/13/2012 | 07/26/2012 | 75 | INFORMATICA CORPORATION | 28.95 | 46.80 | 2,171.14 | 3,510.30 | -1,339.16 |
| 06/20/2012 | 07/26/2012 | 75 | INFORMATICA CORPORATION | 28.95 | 43.36 | 2,171.14 | 3,252.35 | -1,081.21 |
| 01/09/2012 | 07/26/2012 | 45 | STRYKER CORP | 51.53 | 51.76 | 2,318.72 | 2,329.20 | -10.48 |
| 01/11/2012 | 07/26/2012 | 55 | STRYKER CORP | 51.53 | 52.65 | 2,833.99 | 2,895.94 | -61.95 |
| 01/12/2012 | 07/26/2012 | 50 | STRYKER CORP | 51.53 | 52.39 | 2,576.36 | 2,619.55 | -43.19 |
| 01/31/2012 | 07/26/2012 | 50 | STRYKER CORP | 51.53 | 55.35 | 2,576.35 | 2,767.53 | -191.18 |
| 02/15/2012 | 07/30/2012 | 35 | BORGWARNER INC | 67.33 | 79.69 | 2,356.42 | 2,789.16 | -432.74 |
| 08/05/2011 | 07/30/2012 | 50 | GILEAD SCIENCES INC | 55.70 | 37.47 | 2,785.14 | 1,873.44 | 911.70 |
| 08/05/2011 | 08/03/2012 | 5 | GILEAD SCIENCES INC | 58.06 | 37.47 | 290.29 | 187.34 | 102.95 |
| 08/05/2011 | 08/03/2012 | 80 | GILEAD SCIENCES INC | 58.06 | 37.47 | 4,644.64 | 2,997.49 | 1,647.15 |
| 10/17/2011 | 08/06/2012 | 60 | PVH CORP | 78.13 | 65.82 | 4,687.54 | 3,949.31 | 738.23 |
| 10/19/2011 | 08/06/2012 | 40 | PVH CORP | 78.13 | 65.97 | 3,125.02 | 2,638.63 | 486.39 |
| 08/26/2011 | 08/07/2012 | 100 | DTE ENERGY COMPANY | 61.22 | 49.17 | 6,121.89 | 4,917.14 | 1,204.75 |
| 08/18/2011 | 08/09/2012 | 50 | ***COVIDIEN PLC | 56.69 | 48.84 | 2,834.35 | 2,441.83 | 392.52 |
| 08/30/2011 | 08/09/2012 | 50 | ***COVIDIEN PLC | 56.69 | 51.76 | 2,834.35 | 2,587.82 | 246.53 |
| 10/28/2011 | 08/09/2012 | 10 | ***COVIDIEN PLC | 56.69 | 48.26 | 566.87 | 482.64 | 84.23 |
| 10/13/2011 | 08/09/2012 | 175 | GANNETT CO | 15.10 | 10.67 | 2,643.34 | 1,867.41 | 775.93 |
| 10/28/2011 | 08/10/2012 | 90 | ***COVIDIEN PLC | 56.94 | 48.26 | 5,124.20 | 4,343.77 | 780.43 |
| 11/25/2011 | 08/10/2012 | 75 | ***COVIDIEN PLC | 56.94 | 43.03 | 4,270.16 | 3,227.09 | 1,043.07 |
| 01/19/2012 | 08/10/2012 | 20 | GOLDMAN SACHS GROUP INC | 102.81 | 107.33 | 2,056.29 | 2,146.63 | -90.34 |
| 02/08/2012 | 08/10/2012 | 50 | GOLDMAN SACHS GROUP INC | 102.81 | 115.83 | 5,140.73 | 5,791.73 | -651.00 |
| 10/18/2011 | 08/14/2012 | 75 | CENTERPOINT ENERGY INC | 20.51 | 20.67 | 1,538.39 | 1,550.10 | -11.71 |
| 02/17/2012 | 08/14/2012 | 175 | CENTERPOINT ENERGY INC | 20.51 | 18.89 | 3,589.59 | 3,306.52 | 283.07 |
| 10/03/2011 | 08/17/2012 | 55 | ***LYONDELLBASELL INDU-CL A | 48.92 | 24.50 | 2,690.81 | 1,347.68 | 1,343.13 |
| 01/13/2012 | 08/22/2012 | 75 | FREEPORT-MCMORAN COPPER | 36.26 | 41.78 | 2,719.85 | 3,133.40 | -413.55 |
| 01/19/2012 | 08/22/2012 | 70 | FREEPORT-MCMORAN COPPER | 36.26 | 43.93 | 2,538.53 | 3,074.88 | -536.35 |
| 01/23/2012 | 08/22/2012 | 25 | LAS VEGAS SANDS CORP | 43.32 | 47.50 | 1,083.10 | 1,187.40 | -104.30 |
| 01/25/2012 | 08/22/2012 | 75 | LAS VEGAS SANDS CORP | 43.32 | 48.96 | 3,249.29 | 3,672.25 | -422.96 |

SCAN DESIGN BY INGE AND JENS BRUUN FOUNDATION (Account: 888-12256) January 1, 2012 through December 31, 2012

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 02/29/2012 | 08/22/2012 | 50 | LAS VEGAS SANDS CORP | 43 32 | 55 44 | 2,166.20 | 2,772.01 | -605 81 |
| 01/19/2012 | 09/06/2012 | 30 | FREEPORT-MCMORAN COPPER | 36 21 | 43 93 | 1,086.30 | 1,317 81 | -231 51 |
| 02/07/2012 | 09/06/2012 | 75 | FREEPORT-MCMORAN COPPER | 36 21 | 45 91 | 2,715.75 | 3,443.15 | -727 40 |
| 10/07/2011 | 09/12/2012 | 225 | APPLIED MATERIALS INC | 11.59 | 10.83 | 2,608.40 | 2,436 12 | 172.28 |
| 01/05/2012 | 09/12/2012 | 50 | VERTEX PHARMACEUTICALS INC | 55 63 | 33 11 | 2,781 41 | 1,655.28 | 1,126.13 |
| 10/07/2011 | 09/13/2012 | 60 | LAM RESEARCH CORP | 33 70 | 40 82 | 2,021 99 | 2,449.25 | -427.26 |
| 10/31/2011 | 09/13/2012 | 115 | LAM RESEARCH CORP | 33 70 | 42.99 | 3,875.49 | 4,943 39 | -1,067 90 |
| 07/19/2012 | 09/13/2012 | 75 | LAM RESEARCH CORP | 33.70 | 35.31 | 2,527.49 | 2,648 35 | -120 86 |
| 10/20/2011 | 09/13/2012 | 475 | NEWELL RUBBERMAID INC | 18.98 | 13.08 | 9,017.02 | 6,214 85 | 2,802 17 |
| 05/16/2012 | 09/17/2012 | 100 | OCEANEERING INTL INC | 57.19 | 48 55 | 5,718 58 | 4,855.45 | 863.13 |
| 04/04/2012 | 09/24/2012 | 200 | WELLS FARGO & COMPANY | 34 98 | 33 92 | 6,996.18 | 6,784 66 | 211.52 |
| 10/07/2011 | 09/25/2012 | 175 | CENTURYLINK INC | 42 30 | 32 87 | 7,401 85 | 5,751 64 | 1,650.21 |
| 05/02/2012 | 09/28/2012 | 275 | LEUCADIA NATIONAL CO | 22.93 | 25.17 | 6,306.05 | 6,920 90 | -614 85 |
| 11/23/2011 | 10/02/2012 | 30 | PERRIGO INC | 117.35 | 89.98 | 3,520.53 | 2,699.29 | 821 24 |
| 02/17/2012 | 10/02/2012 | 45 | PERRIGO INC | 117.35 | 95.05 | 5,280.80 | 4,277.09 | 1,003 71 |
| 06/07/2012 | 10/03/2012 | 185 | INTEL CORP | 22 55 | 26 12 | 4,171 75 | 4,831 33 | -659.58 |
| 06/13/2012 | 10/03/2012 | 115 | INTEL CORP | 22 55 | 26 77 | 2,593 25 | 3,078 69 | -485.44 |
| 10/10/2011 | 10/09/2012 | 75 | UNITEDHEALTH GROUP INC | 57 54 | 45 99 | 4,315 47 | 3,448.99 | 866 48 |
| 11/04/2011 | 10/12/2012 | 25 | ***TRANSOCEAN LTD | 45 51 | 49 66 | 1,137 83 | 1,241 50 | -103.67 |
| 02/14/2012 | 10/24/2012 | 25 | NATIONAL - OILWELL INC | 77.33 | 83.52 | 1,933.30 | 2,088 03 | -154 73 |
| 11/03/2011 | 10/26/2012 | 125 | GENERAL MOTORS CO | 23.33 | 23.27 | 2,916.06 | 2,908 75 | 7.31 |
| 05/17/2012 | 10/26/2012 | 6 | PRICELINE.COM INC | 580 81 | 647 12 | 3,484 86 | 3,882.74 | -397 88 |
| 01/06/2012 | 10/31/2012 | 185 | APOLLO GROUP INC-CL A | 20 03 | 56.77 | 3,706 05 | 10,502 67 | -6,796 62 |
| 03/12/2012 | 10/31/2012 | 65 | APOLLO GROUP INC-CL A | 20.03 | 41.93 | 1,302.13 | 2,725 52 | -1,423 39 |
| 10/05/2012 | 11/07/2012 | 50 | MEAD JOHNSON NUTRITION CO | 66.33 | 72.89 | 3,316.71 | 3,644.74 | -328.03 |
| 10/09/2012 | 11/07/2012 | 25 | MEAD JOHNSON NUTRITION CO | 66 33 | 71.80 | 1,658 36 | 1,794 92 | -136.56 |
| 06/13/2012 | 11/08/2012 | 200 | INTEL CORP | 21 00 | 26.77 | 4,199.76 | 5,354 24 | -1,154.48 |
| 01/17/2012 | 11/09/2012 | 300 | FORTUNE BRANDS HOME & SECURI | 27 97 | 18.03 | 8,392.35 | 5,409.99 | 2,982.36 |
| 06/13/2012 | 11/13/2012 | 150 | INTEL CORP | 20 55 | 26.77 | 3,082 44 | 4,015.68 | -933 24 |
| 06/25/2012 | 11/13/2012 | 100 | INTEL CORP | 20 55 | 26 24 | 2,054 96 | 2,623 54 | -568.58 |
| 04/25/2012 | 11/15/2012 | 20 | EMERSON ELECTRIC CO | 48.31 | 51.19 | 966.25 | 1,023 86 | -57.61 |
| 04/27/2012 | 11/15/2012 | 125 | EMERSON ELECTRIC CO | 48.31 | 52.40 | 6,039.06 | 6,549.96 | -510.90 |
| 09/28/2012 | 11/15/2012 | 55 | EMERSON ELECTRIC CO | 48 31 | 48 20 | 2,657 19 | 2,651 17 | 6 02 |
| 06/28/2012 | 12/04/2012 | 25 | ANSYS INC | 65 92 | 61 85 | 1,648 00 | 1,546 25 | 101.75 |
| 12/23/2011 | 12/04/2012 | 150 | CISCO SYSTEMS INC | 19 17 | 18 32 | 2,875 52 | 2,747 52 | 128.00 |
| 04/16/2012 | 12/04/2012 | 25 | F5 NETWORKS INC | 93 21 | 122 82 | 2,330.27 | 3,070.45 | -740.18 |
| 11/09/2012 | 12/04/2012 | 200 | FACEBOOK INC-A | 27 49 | 19 88 | 5,498 00 | 3,976.64 | 1,521.36 |
| 12/28/2011 | 12/04/2012 | 20 | ILLUMINA INC | 52.05 | 29.45 | 1,041.00 | 589.00 | 452.00 |
| 12/15/2011 | 12/04/2012 | 25 | HERSHEY CO/THE | 72 43 | 59 41 | 1,810 75 | 1,485 32 | 325.43 |
| 05/18/2012 | 12/04/2012 | 100 | LAS VEGAS SANDS CORP | 45 32 | 47 28 | 4,531 76 | 4,728 06 | -196.30 |
| 06/05/2012 | 12/04/2012 | 75 | LAS VEGAS SANDS CORP | 45 32 | 44 07 | 3,398 82 | 3,305 32 | 93.50 |
| 02/01/2012 | 12/04/2012 | 300 | MGM RESORTS INTERNATIONAL | 9 91 | 13 41 | 2,973 00 | 4,022 25 | -1,049.25 |
| 03/06/2012 | 12/04/2012 | 20 | MCKESSON CORP | 94 93 | 82 38 | 1,898 61 | 1,647 66 | 250.95 |
| 07/02/2012 | 12/04/2012 | 175 | MORGAN STANLEY | 16 50 | 14.68 | 2,887.19 | 2,569.02 | 318.17 |
| 06/01/2012 | 12/04/2012 | 75 | MACY'S INC | 38.21 | 36.95 | 2,866.12 | 2,771.24 | 94.88 |
| 08/16/2012 | 12/04/2012 | 175 | PULTE GROUP INC | 17 07 | 13 09 | 2,986 99 | 2,290 21 | 696.78 |
| 05/09/2012 | 12/05/2012 | 50 | JOHNSON & JOHNSON | 69 74 | 64 38 | 3,486.85 | 3,219 05 | 267.80 |
| 06/05/2012 | 12/06/2012 | 100 | ***TRANSOCEAN LTD | 46.38 | 40.35 | 4,637.69 | 4,034.65 | 603 04 |
| 04/11/2012 | 12/10/2012 | 25 | COACH INC | 56 35 | 73 62 | 1,408 87 | 1,840.41 | -431.54 |

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 08/24/2012 | 12/10/2012 | 50 | EBAY INC | 50 99 | 47 21 | 2,549.72 | 2,360.69 | 189.03 |
| 04/11/2012 | 12/11/2012 | 50 | COACH INC | 56 37 | 73 62 | 2,818.41 | 3,680.82 | -862.41 |
| 04/13/2012 | 12/11/2012 | 25 | COACH INC | 56 37 | 74.22 | 1,409.21 | 1,855.53 | -446.32 |
| 02/22/2012 | 12/11/2012 | 65 | EDISON INTERNATIONAL | 44 72 | 41.72 | 2,906.80 | 2,711.80 | 195.00 |
| 08/09/2012 | 12/11/2012 | 25 | EXXON MOBIL CORP | 88 41 | 88.22 | 2,210.25 | 2,205.52 | 4.73 |
| 06/11/2012 | 12/11/2012 | 65 | STATE STREET CORP | 45 05 | 42.96 | 2,928.25 | 2,792.16 | 136.09 |
| 02/13/2012 | 12/11/2012 | 100 | WELLS FARGO & COMPANY | 33 10 | 30 66 | 3,310.00 | 3,066 47 | 243.53 |
| 07/02/2012 | 12/12/2012 | 575 | MORGAN STANLEY | 17 75 | 14.68 | 10,207.52 | 8,441 06 | 1,766.46 |
| 06/26/2012 | 12/17/2012 | 15 | AFFILIATED MANAGERS GROUP INC | 129 67 | 102 54 | 1,945.06 | 1,538.12 | 406 94 |
| 07/09/2012 | 12/17/2012 | 5 | CHIPOTLE MEXICAN GRILL-CL A | 284 44 | 386 20 | 1,422.19 | 1,931.01 | -508 82 |
| 05/04/2012 | 12/17/2012 | 150 | GENERAL MOTORS CO | 24 81 | 22.27 | 3,721.50 | 3,340 10 | 381.40 |
| 06/05/2012 | 12/17/2012 | 15 | LINKEDIN CORP - A | 113.84 | 92.32 | 1,707.65 | 1,384 86 | 322.79 |
| 09/25/2012 | 12/17/2012 | 20 | O'REILLY AUTOMOTIVE INC | 89.75 | 84.50 | 1,794 98 | 1,689 97 | 105.01 |
| 07/06/2012 | 12/17/2012 | 20 | RACKSPACE HOSTING INC | 68 43 | 44.51 | 1,368 65 | 890 17 | 478.48 |
| 06/13/2012 | 12/17/2012 | 10 | VF CORP | 150 54 | 137 88 | 1,505 43 | 1,378.76 | 126.67 |
| 04/13/2012 | 12/19/2012 | 25 | COACH INC | 59 82 | 74 22 | 1,495.40 | 1,855.53 | -360.13 |
| 04/26/2012 | 12/19/2012 | 40 | COACH INC | 59 82 | 72.53 | 2,392.65 | 2,901 12 | -508 47 |
| 05/22/2012 | 12/20/2012 | 60 | OCEANEERING INTL INC | 53 69 | 47 82 | 3,221.26 | 2,868 99 | 352 27 |
| 07/09/2012 | 12/21/2012 | 5 | CHIPOTLE MEXICAN GRILL-CL A | 287 09 | 386 20 | 1,435 45 | 1,931.01 | -495 56 |
| 07/10/2012 | 12/21/2012 | 5 | CHIPOTLE MEXICAN GRILL-CL A | 287 09 | 382.23 | 1,435 45 | 1,911 15 | -475 70 |
| 09/14/2012 | 12/27/2012 | 425 | FORD MOTOR CO | 12 57 | 10 52 | 5,341.95 | 4,470.66 | 871 29 |
| | | | SUBTOTAL FOR SHORT-TERM NON-COVERED | | | 693,475 39 | 675,111 85 | 18,363 54 |

**LONG-TERM NON-COVERED**

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 11/23/2010 | 01/05/2012 | 25 | LIMITED BRANDS INC | 39.28 | 33.06 | 982 00 | 826 47 | 155.53 |
| 05/20/2010 | 01/09/2012 | 250 | MARATHON OIL CORP | 30.55 | 19 26 | 7,638 25 | 4,813 99 | 2,824 26 |
| 01/07/2011 | 01/10/2012 | 75 | LIMITED BRANDS INC | 39 00 | 28 69 | 2,925.00 | 2,151.96 | 773 04 |
| 11/05/2010 | 01/18/2012 | 75 | ***ACCENTURE PLC-CL A | 54 76 | 45 59 | 4,106.96 | 3,419 17 | 687 79 |
| 11/19/2010 | 01/18/2012 | 50 | ALLERGAN INC | 86 94 | 68 51 | 4,346 89 | 3,425 57 | 921.32 |
| 11/27/2009 | 01/19/2012 | 150 | JOHNSON CONTROLS INC | 32.50 | 26 93 | 4,875 53 | 4,039 14 | 836.39 |
| 10/07/2010 | 01/27/2012 | 50 | STARBUCKS CORP | 47.07 | 26 00 | 2,353 39 | 1,299 89 | 1,053.50 |
| 11/01/2010 | 01/27/2012 | 25 | STARBUCKS CORP | 47.07 | 28 72 | 1,176 70 | 717.96 | 458.74 |
| 07/13/2010 | 01/30/2012 | 15 | AMAZON COM INC | 192 27 | 122 82 | 2,884 09 | 1,842 25 | 1,041 84 |
| 05/20/2010 | 02/01/2012 | 38 | MARATHON PETROLEUM CORP | 41 13 | 24.87 | 1,563.11 | 945.04 | 618.07 |
| 06/24/2010 | 02/01/2012 | 62 | MARATHON PETROLEUM CORP | 41.13 | 26.19 | 2,550 35 | 1,623 71 | 926.64 |
| 09/07/2010 | 02/01/2012 | 20 | UNITED PARCEL SERVICE-CL B | 76.65 | 66.99 | 1,533.08 | 1,339.79 | 193.29 |
| 10/19/2010 | 02/01/2012 | 30 | UNITED PARCEL SERVICE-CL B | 76.65 | 68.97 | 2,299 62 | 2,068.99 | 230.63 |
| 03/29/2010 | 02/02/2012 | 225 | ***INGERSOLL-RAND PLC | 36 27 | 34 36 | 8,160 89 | 7,731 10 | 429.79 |
| 06/24/2010 | 02/06/2012 | 75 | MARATHON PETROLEUM CORP | 44 79 | 26.19 | 3,359.57 | 1,964 16 | 1,395.41 |
| 11/10/2009 | 02/07/2012 | 50 | BROADCOM CORP-CL A | 37 06 | 21 78 | 1,852.97 | 1,089 01 | 763 96 |
| 04/28/2010 | 02/07/2012 | 50 | BROADCOM CORP-CL A | 37 06 | 35 37 | 1,852 97 | 1,768 35 | 84.62 |
| 12/16/2010 | 02/07/2012 | 75 | INTUIT INC | 57 42 | 48.75 | 4,306 32 | 3,656 25 | 650 07 |
| 05/27/2009 | 02/08/2012 | 75 | DANAHER CORP | 52 21 | 30.55 | 3,915.40 | 2,290 90 | 1,624.50 |
| 09/27/2010 | 02/08/2012 | 125 | ORACLE CORP | 28 67 | 26.85 | 3,583 48 | 3,356 54 | 226 94 |
| 01/06/2010 | 02/10/2012 | 100 | JOHNSON CONTROLS INC | 32 97 | 28.82 | 3,297 05 | 2,881.57 | 415 48 |
| 09/20/2010 | 02/10/2012 | 125 | JOHNSON CONTROLS INC | 32.97 | 29 32 | 4,121.31 | 3,664.84 | 456.47 |

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 06/29/2010 | 02/10/2012 | 50 | MARATHON PETROLEUM CORP | 44.04 | 24.75 | 2,201.93 | 1,237 48 | 964.45 |
| 11/12/2008 | 02/16/2012 | 10 | APPLE INC | 492 61 | 92.45 | 4,926.08 | 924 48 | 4,001.60 |
| 10/11/2010 | 02/17/2012 | 125 | DELL INC | 18 13 | 13.70 | 2,265.79 | 1,712.04 | 553 75 |
| 11/17/2010 | 02/17/2012 | 200 | DELL INC | 18.13 | 13.37 | 3,625.26 | 2,673.14 | 952.12 |
| 11/18/2010 | 02/17/2012 | 100 | GENERAL MOTORS CO | 27 19 | 34.00 | 2,718 88 | 3,399 94 | -681.06 |
| 05/11/2010 | 02/21/2012 | 65 | DOW CHEMICAL | 34 68 | 27.73 | 2,254 32 | 1,802 56 | 451.76 |
| 07/09/2010 | 02/21/2012 | 100 | DOW CHEMICAL | 34.68 | 25 57 | 3,468 18 | 2,557.28 | 910.90 |
| 02/17/2011 | 02/23/2012 | 50 | MONSANTO CO | 77.69 | 73 85 | 3,884 34 | 3,692.40 | 191.94 |
| 12/20/2010 | 02/23/2012 | 75 | ***POTASH CORP OF SASKATCHEWAN | 46.55 | 46 50 | 3,490 89 | 3,487.68 | 3.21 |
| 11/12/2008 | 02/28/2012 | 15 | APPLE INC | 533 76 | 92 45 | 8,006.38 | 1,386 71 | 6,619 67 |
| 11/18/2010 | 02/28/2012 | 75 | GENERAL MOTORS CO | 26 24 | 34 00 | 1,967.94 | 2,549.96 | -582 02 |
| 10/07/2010 | 03/05/2012 | 50 | CENTURYLINK INC | 38 59 | 40.05 | 1,929.46 | 2,002 53 | -73 07 |
| 10/08/2010 | 03/05/2012 | 25 | CENTURYLINK INC | 38.59 | 39 56 | 964.73 | 989 01 | -24.28 |
| 11/12/2008 | 03/07/2012 | 5 | APPLE INC | 527 17 | 92.45 | 2,635 86 | 462 24 | 2,173 62 |
| 10/18/2010 | 03/12/2012 | 21 | GOLDMAN SACHS GROUP INC | 116 99 | 152 85 | 2,456.83 | 3,209 95 | -753 12 |
| 02/28/2011 | 03/12/2012 | 4 | GOLDMAN SACHS GROUP INC | 116.99 | 165.10 | 467 97 | 660 40 | -192.43 |
| 09/16/2010 | 03/20/2012 | 11 | GOLDMAN SACHS GROUP INC | 126 74 | 151 61 | 1,394 19 | 1,667.68 | -273.49 |
| 10/13/2010 | 03/20/2012 | 25 | GOLDMAN SACHS GROUP INC | 126.74 | 154 84 | 3,168 60 | 3,870.89 | -702 29 |
| 10/18/2010 | 03/20/2012 | 4 | GOLDMAN SACHS GROUP INC | 126 74 | 152 85 | 506.98 | 611.41 | -104 43 |
| 07/03/2007 | 03/26/2012 | 50 | TRAVELERS COS INC/THE | 58 81 | 55.09 | 2,940 50 | 2,754 70 | 185 80 |
| 02/15/2008 | 03/26/2012 | 100 | TRAVELERS COS INC/THE | 58 81 | 47.37 | 5,880.99 | 4,736 56 | 1,144 43 |
| 02/03/2011 | 03/26/2012 | 75 | TRAVELERS COS INC/THE | 58 81 | 57 10 | 4,410 74 | 4,282 19 | 128 55 |
| 02/07/2011 | 03/26/2012 | 50 | TRAVELERS COS INC/THE | 58 81 | 57.20 | 2,940 50 | 2,859 95 | 80.55 |
| 03/07/2011 | 03/26/2012 | 100 | TRAVELERS COS INC/THE | 58 81 | 59 03 | 5,880 99 | 5,902 99 | -22 00 |
| 04/28/2010 | 03/27/2012 | 50 | BROADCOM CORP-CL A | 39 19 | 35.37 | 1,959.65 | 1,768 35 | 191 30 |
| 05/04/2010 | 03/27/2012 | 50 | BROADCOM CORP-CL A | 39.19 | 33.41 | 1,959 65 | 1,670 65 | 289.00 |
| 02/28/2011 | 03/27/2012 | 25 | GOLDMAN SACHS GROUP INC | 126 89 | 165 10 | 3,172 25 | 4,127.53 | -955.28 |
| 07/19/2010 | 03/29/2012 | 200 | DOW CHEMICAL | 33.99 | 25 00 | 6,798 00 | 5,000.08 | 1,797 92 |
| 09/01/2010 | 03/29/2012 | 25 | DOW CHEMICAL | 33.99 | 25.59 | 849 75 | 639 74 | 210.01 |
| 01/04/2011 | 03/29/2012 | 85 | DOW CHEMICAL | 33.99 | 34 77 | 2,889 15 | 2,955.17 | -66 02 |
| 10/19/2010 | 04/11/2012 | 25 | UNITED PARCEL SERVICE-CL B | 78.97 | 68.97 | 1,974 36 | 1,724 16 | 250.20 |
| 10/22/2010 | 04/11/2012 | 75 | UNITED PARCEL SERVICE-CL B | 78 97 | 69 74 | 5,923 06 | 5,230 56 | 692 50 |
| 03/07/2011 | 04/20/2012 | 15 | BORGWARNER INC | 80 94 | 76 33 | 1,214 10 | 1,145 02 | 69.08 |
| 04/12/2011 | 04/20/2012 | 35 | BORGWARNER INC | 80.94 | 71 99 | 2,832 90 | 2,519 61 | 313.29 |
| 10/25/2010 | 04/24/2012 | 25 | FLOWSERVE CORPORATION | 111.29 | 116.12 | 2,782 14 | 2,902 97 | -120 83 |
| 05/04/2010 | 04/25/2012 | 100 | BROADCOM CORP-CL A | 36.20 | 33.41 | 3,620 21 | 3,341 29 | 278 92 |
| 09/09/2010 | 04/25/2012 | 75 | BROADCOM CORP-CL A | 36.20 | 34.09 | 2,715 16 | 2,557 07 | 158 09 |
| 10/29/2010 | 04/25/2012 | 350 | ***MARVELL TECHNOLOGY GROUP LT | 14.83 | 19.13 | 5,189.03 | 6,696.55 | -1,507.52 |
| 11/22/2010 | 04/25/2012 | 250 | ***MARVELL TECHNOLOGY GROUP LT | 14.83 | 19.96 | 3,706 45 | 4,990 65 | -1,284 20 |
| 12/13/2010 | 05/01/2012 | 175 | NORTHROP GRUMMAN CORP | 63 62 | 58.03 | 11,133.50 | 10,155 20 | 978.30 |
| 01/28/2011 | 05/02/2012 | 100 | QUALCOMM INC | 64.03 | 54.32 | 6,402.90 | 5,431 98 | 970.92 |
| 02/10/2010 | 05/10/2012 | 5 | GILEAD SCIENCES INC | 50.97 | 46 03 | 254 84 | 230 14 | 24.70 |
| 04/14/2010 | 05/10/2012 | 59 | GILEAD SCIENCES INC | 50 97 | 45 91 | 3,007.13 | 2,708.58 | 298.55 |
| 06/09/2010 | 05/14/2012 | 150 | EXPRESS SCRIPTS HOLDING CO | 53 98 | 51 32 | 8,097.06 | 7,697 93 | 399 13 |
| 03/10/2011 | 05/14/2012 | 75 | EXPRESS SCRIPTS HOLDING CO | 53 98 | 52 65 | 4,048.53 | 3,948.92 | 99.61 |
| 04/21/2011 | 05/14/2012 | 50 | EXPRESS SCRIPTS HOLDING CO | 53 98 | 56 21 | 2,699.02 | 2,810.27 | -111.25 |
| 12/10/2010 | 05/15/2012 | 25 | AMAZON.COM INC | 229.28 | 175.25 | 5,732.10 | 4,381 16 | 1,350 94 |
| 12/16/2009 | 05/15/2012 | 200 | CONSTELLATION BRANDS INC-A | 19.20 | 15.25 | 3,840.04 | 3,050.42 | 789 62 |
| 12/22/2009 | 05/15/2012 | 300 | CONSTELLATION BRANDS INC-A | 19.20 | 15.67 | 5,760 06 | 4,702 17 | 1,057.89 |

# Capital Gains Report

SCAN DESIGN BY INGE AND JENS BRUUN FOUNDATION (Account: 888-12256) January 1, 2012 through December 31, 2012

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 11/27/2009 | 05/16/2012 | 225 | EMC CORP/MASS | 25.73 | 16.75 | 5,788.42 | 3,768.88 | 2,019.54 |
| 02/11/2011 | 05/16/2012 | 50 | QUALCOMM INC | 59.87 | 57.28 | 2,993.38 | 2,863.98 | 129.40 |
| 10/22/2010 | 05/18/2012 | 75 | UNITED PARCEL SERVICE-CL B | 74.38 | 69.74 | 5,578.19 | 5,230.55 | 347.64 |
| 10/08/2010 | 05/31/2012 | 75 | CENTURYLINK INC | 39.17 | 39.56 | 2,937.56 | 2,967.01 | -29.45 |
| 10/07/2009 | 06/01/2012 | 375 | CORNING INC | 12.61 | 15.49 | 4,728.45 | 5,808.22 | -1,079.77 |
| 05/20/2010 | 06/01/2012 | 175 | MARATHON OIL CORP | 24.01 | 19.26 | 4,200.96 | 3,369.79 | 831.17 |
| 02/22/2010 | 06/04/2012 | 250 | GENERAL ELECTRIC CO | 18.15 | 16.26 | 4,537.23 | 4,065.17 | 472.06 |
| 11/26/2010 | 06/04/2012 | 375 | GENERAL ELECTRIC CO | 18.15 | 15.80 | 6,805.84 | 5,925.64 | 880.20 |
| 08/10/2009 | 06/04/2012 | 59 | JPMORGAN CHASE & CO | 31.03 | 42.50 | 1,830.55 | 2,507.66 | -677.11 |
| 09/20/2010 | 06/04/2012 | 100 | JPMORGAN CHASE & CO | 31.03 | 40.69 | 3,102.62 | 4,068.69 | -966.07 |
| 12/07/2010 | 06/04/2012 | 100 | JPMORGAN CHASE & CO | 31.03 | 39.72 | 3,102.62 | 3,972.01 | -869.39 |
| 03/29/2011 | 06/04/2012 | 75 | JPMORGAN CHASE & CO | 31.03 | 45.93 | 2,326.96 | 3,444.64 | -1,117.68 |
| 05/23/2011 | 06/04/2012 | 75 | ***LYONDELLBASELL INDU-CL A | 36.41 | 11.10 | 2,730.80 | 832.66 | 1,898.14 |
| 05/17/2011 | 06/04/2012 | 75 | ***POTASH CORP OF SASKATCHEWAN | 36.94 | 53.29 | 2,770.43 | 3,996.60 | -1,226.17 |
| 06/05/2009 | 06/06/2012 | 75 | JPMORGAN CHASE & CO | 33.10 | 34.88 | 2,482.62 | 2,616.29 | -133.67 |
| 06/26/2009 | 06/06/2012 | 4 | JPMORGAN CHASE & CO | 33.10 | 34.10 | 132.41 | 136.41 | -4.00 |
| 06/26/2009 | 06/08/2012 | 96 | JPMORGAN CHASE & CO | 33.58 | 34.10 | 3,223.36 | 3,273.96 | -50.60 |
| 08/10/2009 | 06/08/2012 | 41 | JPMORGAN CHASE & CO | 33.58 | 42.50 | 1,376.65 | 1,742.62 | -365.97 |
| 08/30/2010 | 06/08/2012 | 63 | JPMORGAN CHASE & CO | 33.58 | 36.28 | 2,115.33 | 2,285.59 | -170.26 |
| 02/24/2011 | 06/08/2012 | 50 | MONSANTO CO | 79.01 | 70.90 | 3,950.74 | 3,544.98 | 405.76 |
| 02/25/2011 | 06/12/2012 | 55 | DEVON ENERGY CORPORATION | 57.40 | 90.20 | 3,157.22 | 4,960.73 | -1,803.51 |
| 05/24/2011 | 06/12/2012 | 50 | DEVON ENERGY CORPORATION | 57.40 | 81.48 | 2,870.20 | 4,073.89 | -1,203.69 |
| 12/10/2010 | 06/13/2012 | 50 | FLOWSERVE CORPORATION | 105.40 | 115.53 | 5,269.99 | 5,776.65 | -506.66 |
| 11/12/2008 | 06/19/2012 | 15 | APPLE INC | 587.54 | 92.45 | 8,813.09 | 1,386.71 | 7,426.38 |
| 12/10/2010 | 06/20/2012 | 10 | AMAZON.COM INC | 221.67 | 175.25 | 2,216.68 | 1,752.47 | 464.21 |
| 01/31/2011 | 06/20/2012 | 20 | AMAZON COM INC | 221.67 | 169.43 | 4,433.36 | 3,388.57 | 1,044.79 |
| 03/10/2011 | 06/20/2012 | 5 | AMAZON COM INC | 221.67 | 166.12 | 1,108.34 | 830.60 | 277.74 |
| 11/12/2008 | 06/20/2012 | 5 | APPLE INC | 582.03 | 92.45 | 2,910.17 | 462.24 | 2,447.93 |
| 02/10/2011 | 06/21/2012 | 300 | DELTA AIR LINES INC | 11.20 | 11.67 | 3,358.50 | 3,502.23 | -143.73 |
| 08/30/2010 | 06/22/2012 | 262 | JPMORGAN CHASE & CO | 36.12 | 36.28 | 9,464.65 | 9,505.15 | -40.50 |
| 08/31/2010 | 06/22/2012 | 88 | JPMORGAN CHASE & CO | 36.12 | 36.23 | 3,178.96 | 3,188.66 | -9.70 |
| 04/21/2011 | 06/29/2012 | 75 | AT&T INC | 35.63 | 30.66 | 2,672.60 | 2,299.52 | 373.08 |
| 06/16/2006 | 06/29/2012 | 150 | PFIZER INC | 22.89 | 23.40 | 3,433.43 | 3,510.54 | -77.11 |
| 09/15/2006 | 06/29/2012 | 75 | PFIZER INC | 22.89 | 28.18 | 1,716.71 | 2,113.49 | -396.78 |
| 10/08/2010 | 07/02/2012 | 50 | CENTURYLINK INC | 39.47 | 39.56 | 1,973.70 | 1,978.01 | -4.31 |
| 04/21/2011 | 07/02/2012 | 50 | CENTURYLINK INC | 39.47 | 39.65 | 1,973.70 | 1,982.28 | -8.58 |
| 03/10/2011 | 07/05/2012 | 15 | AMAZON.COM INC | 227.98 | 166.12 | 3,419.68 | 2,491.78 | 927.90 |
| 05/31/2011 | 07/05/2012 | 15 | AMAZON.COM INC | 227.98 | 195.88 | 3,419.69 | 2,938.18 | 481.51 |
| 11/22/2010 | 07/05/2012 | 450 | GANNETT CO | 14.63 | 12.62 | 6,583.54 | 5,679.41 | 904.13 |
| 03/03/2010 | 07/10/2012 | 47 | COMCAST CORP-CLASS A | 31.37 | 16.86 | 1,474.33 | 792.63 | 681.70 |
| 04/01/2010 | 07/10/2012 | 171 | COMCAST CORP-CLASS A | 31.37 | 18.91 | 5,364.05 | 3,234.02 | 2,130.03 |
| 05/20/2011 | 07/10/2012 | 50 | ***COVIDIEN PLC | 53.71 | 56.70 | 2,685.68 | 2,835.00 | -149.32 |
| 10/29/2010 | 07/10/2012 | 75 | UNITED PARCEL SERVICE-CL B | 78.69 | 67.29 | 5,901.95 | 5,046.99 | 854.96 |
| 09/10/2009 | 07/11/2012 | 100 | DANAHER CORP | 50.35 | 33.48 | 5,035.02 | 3,348.46 | 1,686.56 |
| 11/09/2010 | 07/11/2012 | 50 | UNITED PARCEL SERVICE-CL B | 78.74 | 69.44 | 3,936.88 | 3,471.87 | 465.01 |
| 11/23/2010 | 07/12/2012 | 50 | UNITED PARCEL SERVICE-CL B | 78.48 | 67.85 | 3,924.20 | 3,392.39 | 531.81 |
| 03/24/2011 | 07/12/2012 | 50 | UNITED PARCEL SERVICE-CL B | 78.48 | 71.69 | 3,924.19 | 3,584.39 | 339.80 |
| 06/07/2011 | 07/13/2012 | 75 | ANADARKO PETROLEUM CORP | 68.69 | 75.46 | 5,151.86 | 5,659.84 | -507.98 |
| 06/10/2011 | 07/13/2012 | 30 | ANADARKO PETROLEUM CORP | 68.69 | 73.24 | 2,060.74 | 2,197.34 | -136.60 |

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 06/10/2011 | 07/17/2012 | 45 | ANADARKO PETROLEUM CORP | 71.16 | 73.24 | 3,202.24 | 3,296.01 | -93.77 |
| 07/05/2011 | 07/17/2012 | 40 | ANADARKO PETROLEUM CORP | 71 16 | 79.11 | 2,846.44 | 3,164 50 | -318.06 |
| 04/20/2009 | 07/20/2012 | 25 | TIME WARNER CABLE | 84 80 | 28.06 | 2,119 95 | 701 45 | 1,418.50 |
| 04/20/2009 | 07/20/2012 | 75 | TIME WARNER CABLE | 84.80 | 28.06 | 6,359.86 | 2,104 33 | 4,255 53 |
| 05/04/2010 | 07/24/2012 | 50 | EOG RESOURCES INC | 94 80 | 109.42 | 4,740 00 | 5,470 99 | -730.99 |
| 09/28/2010 | 07/24/2012 | 25 | EOG RESOURCES INC | 94.80 | 90 93 | 2,370 00 | 2,273.19 | 96 81 |
| 02/17/2011 | 07/25/2012 | 3,560 .578 | AB REAL ASSET STRATEGY CLASS 1 | 10 73 | 11 94 | 38,205.00 | 42,513 30 | -4,308 30 |
| 01/07/2011 | 07/25/2012 | 50 | ALLERGAN INC | 84 10 | 70.16 | 4,205.00 | 3,508 19 | 696 81 |
| 11/12/2008 | 07/25/2012 | 15 | APPLE INC | 574 21 | 92.45 | 8,613 19 | 1,386 71 | 7,226.48 |
| 05/20/2011 | 07/25/2012 | 50 | ***COVIDIEN PLC | 51.05 | 56 70 | 2,552 60 | 2,835.00 | -282 40 |
| 05/07/2010 | 07/25/2012 | 75 | THE WALT DISNEY CO. | 48 37 | 33 58 | 3,627.75 | 2,518 20 | 1,109 55 |
| 02/28/2011 | 07/25/2012 | 20 | GOLDMAN SACHS GROUP INC | 95 01 | 165.10 | 1,900 20 | 3,302 02 | -1,401.82 |
| 02/21/2008 | 07/25/2012 | 5 | GOOGLE INC-CL A | 608.32 | 510 57 | 3,041 60 | 2,552.85 | 488 75 |
| 02/10/2010 | 07/25/2012 | 50 | GILEAD SCIENCES INC | 51 21 | 46 03 | 2,560.50 | 2,301 41 | 259 09 |
| 03/29/2011 | 07/25/2012 | 30 | MONSANTO CO | 85 86 | 69.95 | 2,575.80 | 2,098 42 | 477 38 |
| 02/15/2011 | 07/25/2012 | 75 | QUALCOMM INC | 56 69 | 58.71 | 4,251 75 | 4,403 44 | -151 69 |
| 11/01/2007 | 07/25/2012 | 50 | SCHLUMBERGER LTD | 68 22 | 97 17 | 3,411.00 | 4,858 35 | -1,447 35 |
| 10/09/2008 | 07/25/2012 | 25 | SCHLUMBERGER LTD | 68 22 | 67 95 | 1,705.50 | 1,698 63 | 6 87 |
| 11/01/2010 | 07/25/2012 | 75 | STARBUCKS CORP | 50 50 | 28 72 | 3,787.50 | 2,153.86 | 1,633 64 |
| 09/24/2010 | 07/26/2012 | 100 | ALTRIA GROUP INC | 35.46 | 23.98 | 3,545.83 | 2,398 43 | 1,147.40 |
| 09/10/2009 | 07/26/2012 | 50 | DANAHER CORP | 52 11 | 33.48 | 2,605.44 | 1,674 23 | 931 21 |
| 09/28/2009 | 07/26/2012 | 75 | DANAHER CORP | 52 11 | 33.68 | 3,908.15 | 2,526 29 | 1,381 86 |
| 06/13/2011 | 07/26/2012 | 25 | PRECISION CASTPARTS CORP | 158.92 | 147 95 | 3,973 01 | 3,698 85 | 274.16 |
| 06/23/2011 | 07/26/2012 | 10 | PRECISION CASTPARTS CORP | 158.92 | 154.63 | 1,589 21 | 1,546.29 | 42.92 |
| 04/12/2011 | 07/30/2012 | 40 | BORGWARNER INC | 67.33 | 71 99 | 2,693 05 | 2,879.56 | -186.51 |
| 05/25/2011 | 07/30/2012 | 50 | BORGWARNER INC | 67 33 | 69 58 | 3,366.31 | 3,479 24 | -112 93 |
| 09/28/2009 | 08/07/2012 | 25 | DANAHER CORP | 53 63 | 33.68 | 1,340 67 | 842 09 | 498.58 |
| 05/04/2010 | 08/07/2012 | 50 | DANAHER CORP | 53.63 | 41.51 | 2,681 33 | 2,075 61 | 605 72 |
| 12/16/2010 | 08/07/2012 | 50 | INTUIT INC | 59 97 | 48 75 | 2,998.51 | 2,437 50 | 561 01 |
| 01/11/2011 | 08/07/2012 | 50 | INTUIT INC | 59.97 | 47.59 | 2,998 50 | 2,379 57 | 618.93 |
| 10/05/2010 | 08/07/2012 | 125 | ORACLE CORP | 31.61 | 27 20 | 3,951.44 | 3,399.96 | 551 48 |
| 10/14/2010 | 08/07/2012 | 100 | ORACLE CORP | 31 61 | 28.42 | 3,161.15 | 2,841 88 | 319 27 |
| 02/18/2011 | 08/07/2012 | 50 | QUALCOMM INC | 61 11 | 59.08 | 3,055 74 | 2,954 20 | 101.54 |
| 03/21/2011 | 08/07/2012 | 25 | QUALCOMM INC | 61.11 | 53 74 | 1,527 87 | 1,343.43 | 184.44 |
| 05/07/2010 | 08/08/2012 | 25 | THE WALT DISNEY CO. | 50.35 | 33.58 | 1,258 77 | 839.40 | 419.37 |
| 05/10/2010 | 08/08/2012 | 75 | THE WALT DISNEY CO | 50.35 | 35.26 | 3,776 29 | 2,644 55 | 1,131 74 |
| 07/29/2011 | 08/09/2012 | 300 | APPLIED MATERIALS INC | 11.88 | 12.46 | 3,564.63 | 3,738 81 | -174.18 |
| 07/27/2011 | 08/09/2012 | 75 | ***COVIDIEN PLC | 56.69 | 52.18 | 4,251 53 | 3,913.50 | 338 03 |
| 10/07/2010 | 08/09/2012 | 25 | EOG RESOURCES INC | 110.31 | 98.34 | 2,757 74 | 2,458.47 | 299 27 |
| 01/20/2011 | 08/09/2012 | 15 | EOG RESOURCES INC | 110.31 | 99 82 | 1,654.64 | 1,497.28 | 157 36 |
| 03/21/2011 | 08/09/2012 | 50 | QUALCOMM INC | 61 74 | 53.74 | 3,086.99 | 2,686.85 | 400 14 |
| 06/29/2010 | 08/15/2012 | 75 | JOHNSON & JOHNSON | 68 51 | 59.10 | 5,138.00 | 4,432 68 | 705 32 |
| 04/21/2011 | 08/20/2012 | 75 | CENTURYLINK INC | 41 67 | 39.65 | 3,125.47 | 2,973 41 | 152.06 |
| 04/25/2011 | 08/20/2012 | 75 | CENTURYLINK INC | 41.67 | 39.20 | 3,125 47 | 2,940.26 | 185.21 |
| 01/20/2011 | 08/21/2012 | 35 | EOG RESOURCES INC | 109 25 | 99.82 | 3,823 61 | 3,493.67 | 329 94 |
| 08/31/2010 | 08/23/2012 | 87 | JPMORGAN CHASE & CO | 37 36 | 36.23 | 3,249 92 | 3,152.43 | 97 49 |
| 10/19/2010 | 08/23/2012 | 63 | JPMORGAN CHASE & CO | 37 36 | 38 44 | 2,353.39 | 2,421 99 | -68 60 |
| 08/04/2011 | 08/24/2012 | 40 | VISA INC - CLASS A SHRS | 126 76 | 85.68 | 5,070.54 | 3,427.09 | 1,643 45 |

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 02/21/2008 | 08/30/2012 | 10 | GOOGLE INC-CL A | 682.58 | 510.57 | 6,825.79 | 5,105.71 | 1,720.08 |
| 05/20/2011 | 08/31/2012 | 50 | ***TRANSOCEAN LTD | 48.17 | 69.70 | 2,408.50 | 3,485.24 | -1,076.74 |
| 07/15/2011 | 08/31/2012 | 25 | ***TRANSOCEAN LTD | 48.17 | 62.38 | 1,204.25 | 1,559.52 | -355.27 |
| 06/29/2010 | 09/05/2012 | 225 | JOHNSON & JOHNSON | 67.27 | 59.10 | 15,136.45 | 13,298.02 | 1,838.43 |
| 09/20/2010 | 09/05/2012 | 50 | JOHNSON & JOHNSON | 67.27 | 61.86 | 3,363.65 | 3,092.97 | 270.68 |
| 09/22/2010 | 09/05/2012 | 50 | JOHNSON & JOHNSON | 67.27 | 62.29 | 3,363.66 | 3,114.53 | 249.13 |
| 09/27/2010 | 09/05/2012 | 50 | JOHNSON & JOHNSON | 67.27 | 62.15 | 3,363.65 | 3,107.45 | 256.20 |
| 07/29/2011 | 09/12/2012 | 150 | APPLIED MATERIALS INC | 11.59 | 12.46 | 1,738.94 | 1,869.41 | -130.47 |
| 02/28/2011 | 09/12/2012 | 120 | CITIGROUP INC | 32.97 | 47.16 | 3,956.11 | 5,659.80 | -1,703.69 |
| 03/16/2011 | 09/12/2012 | 50 | CITIGROUP INC | 32.97 | 44.75 | 1,648.38 | 2,237.50 | -589.12 |
| 03/24/2011 | 09/12/2012 | 180 | CITIGROUP INC | 32.97 | 43.98 | 5,934.17 | 7,916.22 | -1,982.05 |
| 04/19/2011 | 09/12/2012 | 50 | CITIGROUP INC | 32.97 | 44.74 | 1,648.38 | 2,237.15 | -588.77 |
| 04/08/2011 | 09/12/2012 | 100 | MOODY'S CORP | 43.55 | 35.84 | 4,354.81 | 3,584.02 | 770.79 |
| 06/16/2011 | 09/12/2012 | 100 | MOODY'S CORP | 43.55 | 38.93 | 4,354.81 | 3,893.44 | 461.37 |
| 07/15/2011 | 09/12/2012 | 75 | MOODY'S CORP | 43.55 | 36.48 | 3,266.11 | 2,736.11 | 530.00 |
| 07/15/2011 | 09/12/2012 | 50 | ***TRANSOCEAN LTD | 46.22 | 62.38 | 2,311.07 | 3,119.04 | -807.97 |
| 08/02/2011 | 09/12/2012 | 25 | ***TRANSOCEAN LTD | 46.22 | 60.31 | 1,155.54 | 1,507.70 | -352.16 |
| 04/20/2009 | 09/12/2012 | 50 | TIME WARNER CABLE | 91.83 | 28.06 | 4,591.46 | 1,402.89 | 3,188.57 |
| 02/21/2008 | 09/13/2012 | 4 | GOOGLE INC-CL A | 694.93 | 510.57 | 2,779.71 | 2,042.28 | 737.43 |
| 10/09/2008 | 09/13/2012 | 1 | GOOGLE INC-CL A | 694.93 | 343.71 | 694.93 | 343.71 | 351.22 |
| 05/09/2011 | 09/13/2012 | 125 | LAM RESEARCH CORP | 33.70 | 48.39 | 4,212.49 | 6,049.10 | -1,836.61 |
| 10/14/2009 | 09/14/2012 | 6 | NVR INC | 871.90 | 669.42 | 5,231.42 | 4,016.55 | 1,214.87 |
| 08/03/2011 | 09/17/2012 | 150 | FMC TECHNOLOGIES INC | 48.93 | 43.23 | 7,339.71 | 6,484.72 | 854.99 |
| 08/22/2011 | 09/17/2012 | 50 | LORILLARD INC | 116.92 | 108.88 | 5,845.97 | 5,444.23 | 401.74 |
| 04/26/2010 | 09/17/2012 | 25 | NOBLE ENERGY INC | 95.26 | 81.08 | 2,381.45 | 2,027.04 | 354.41 |
| 07/14/2010 | 09/17/2012 | 75 | NOBLE ENERGY INC | 95.26 | 66.96 | 7,144.36 | 5,021.72 | 2,122.64 |
| 02/02/2011 | 09/20/2012 | 50 | DIRECTV | 52.37 | 42.81 | 2,618.69 | 2,140.45 | 478.24 |
| 11/09/2010 | 09/20/2012 | 150 | ORACLE CORP | 32.52 | 28.97 | 4,878.47 | 4,346.09 | 532.38 |
| 11/10/2010 | 09/20/2012 | 50 | ORACLE CORP | 32.52 | 28.65 | 1,626.15 | 1,432.75 | 193.40 |
| 04/19/2010 | 09/24/2012 | 10 | WELLS FARGO & COMPANY | 34.98 | 32.57 | 349.81 | 325.71 | 24.10 |
| 05/07/2010 | 09/24/2012 | 140 | WELLS FARGO & COMPANY | 34.98 | 31.06 | 4,897.33 | 4,348.47 | 548.86 |
| 04/25/2011 | 09/25/2012 | 25 | CENTURYLINK INC | 42.30 | 39.20 | 1,057.41 | 980.09 | 77.32 |
| 08/12/2011 | 09/25/2012 | 125 | CENTURYLINK INC | 42.30 | 34.48 | 5,287.04 | 4,310.50 | 976.54 |
| 09/14/2011 | 09/25/2012 | 150 | CENTURYLINK INC | 42.30 | 34.84 | 6,344.44 | 5,225.43 | 1,119.01 |
| 11/27/2009 | 09/26/2012 | 250 | EMC CORP/MASS | 26.72 | 16.75 | 6,679.90 | 4,187.65 | 2,492.25 |
| 04/06/2010 | 09/26/2012 | 75 | EMC CORP/MASS | 26.72 | 18.55 | 2,003.97 | 1,391.57 | 612.40 |
| 06/10/2011 | 09/27/2012 | 75 | GENERAL MOTORS CO | 23.29 | 28.90 | 1,746.52 | 2,167.44 | -420.92 |
| 06/20/2011 | 09/27/2012 | 100 | GENERAL MOTORS CO | 23.29 | 29.25 | 2,328.69 | 2,925.25 | -596.56 |
| 09/02/2011 | 09/27/2012 | 125 | GENERAL MOTORS CO | 23.29 | 22.05 | 2,910.86 | 2,755.70 | 155.16 |
| 08/05/2011 | 09/27/2012 | 65 | GILEAD SCIENCES INC | 66.68 | 37.47 | 4,334.20 | 2,435.47 | 1,898.73 |
| 08/18/2011 | 09/27/2012 | 25 | GILEAD SCIENCES INC | 66.68 | 37.29 | 1,667.00 | 932.17 | 734.83 |
| 04/19/2011 | 09/28/2012 | 200 | CITIGROUP INC | 32.76 | 44.74 | 6,552.92 | 8,948.60 | -2,395.68 |
| 08/18/2011 | 10/04/2012 | 65 | GILEAD SCIENCES INC | 69.65 | 37.29 | 4,526.95 | 2,423.64 | 2,103.31 |
| 08/11/2011 | 10/09/2012 | 3 | UNITEDHEALTH GROUP INC | 57.54 | 42.81 | 172.62 | 128.44 | 44.18 |
| 08/02/2011 | 10/12/2012 | 75 | ***TRANSOCEAN LTD | 45.51 | 60.31 | 3,413.48 | 4,523.10 | -1,109.62 |
| 03/08/2011 | 10/12/2012 | 125 | WELLPOINT INC | 61.98 | 68.56 | 7,747.98 | 8,569.64 | -821.66 |
| 04/07/2011 | 10/12/2012 | 25 | WELLPOINT INC | 61.98 | 69.12 | 1,549.59 | 1,728.00 | -178.41 |
| 04/19/2011 | 10/16/2012 | 80 | CITIGROUP INC | 37.02 | 44.74 | 2,961.49 | 3,579.44 | -617.95 |
| 04/28/2011 | 10/16/2012 | 20 | CITIGROUP INC | 37.02 | 45.57 | 740.37 | 911.32 | -170.95 |

SCAN DESIGN BY INGE AND JENS BRUUN FOUNDATION (Account: 888-12256) January 1, 2012 through December 31, 2012

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 05/10/2010 | 10/23/2012 | 25 | THE WALT DISNEY CO. | 50.86 | 35.26 | 1,271.40 | 881.51 | 389.89 |
| 06/24/2010 | 10/23/2012 | 50 | THE WALT DISNEY CO. | 50.86 | 33.76 | 2,542.81 | 1,688.11 | 854.70 |
| 09/02/2011 | 10/26/2012 | 50 | GENERAL MOTORS CO | 23.33 | 22.05 | 1,166.43 | 1,102.28 | 64.15 |
| 10/10/2011 | 10/26/2012 | 125 | GENERAL MOTORS CO | 23.33 | 22.87 | 2,916.06 | 2,858.21 | 57.85 |
| 10/19/2010 | 10/31/2012 | 37 | JPMORGAN CHASE & CO | 41.40 | 38.44 | 1,531.95 | 1,422.44 | 109.51 |
| 07/25/2011 | 10/31/2012 | 100 | JPMORGAN CHASE & CO | 41.40 | 41.77 | 4,140.40 | 4,177.40 | -37.00 |
| 09/09/2011 | 10/31/2012 | 13 | JPMORGAN CHASE & CO | 41.40 | 32.29 | 538.25 | 419.71 | 118.54 |
| 09/21/2011 | 11/08/2012 | 75 | FMC TECHNOLOGIES INC | 40.00 | 43.05 | 3,000.19 | 3,228.89 | -228.70 |
| 06/23/2011 | 11/08/2012 | 10 | PRECISION CASTPARTS CORP | 171.04 | 154.63 | 1,710.44 | 1,546.29 | 164.15 |
| 08/04/2011 | 11/08/2012 | 20 | PRECISION CASTPARTS CORP | 171.04 | 151.85 | 3,420.87 | 3,036.97 | 383.90 |
| 01/20/2011 | 11/08/2012 | 35 | ROCKWELL AUTOMATION INC | 76.54 | 74.75 | 2,678.97 | 2,616.25 | 62.72 |
| 02/15/2011 | 11/08/2012 | 25 | ROCKWELL AUTOMATION INC | 76.54 | 88.61 | 1,913.55 | 2,215.27 | -301.72 |
| 03/18/2011 | 11/08/2012 | 10 | ROCKWELL AUTOMATION INC | 76.54 | 88.85 | 765.42 | 888.52 | -123.10 |
| 11/12/2008 | 11/12/2012 | 20 | APPLE INC | 540.16 | 92.45 | 10,803.13 | 1,848.95 | 8,954.18 |
| 02/10/2010 | 11/12/2012 | 20 | GILEAD SCIENCES INC | 72.49 | 46.03 | 1,449.89 | 920.56 | 529.33 |
| 04/06/2010 | 11/12/2012 | 15 | GILEAD SCIENCES INC | 72.49 | 45.58 | 1,087.41 | 683.63 | 403.78 |
| 08/11/2011 | 11/13/2012 | 100 | ***BP PLC-SPONS ADR | 40.80 | 39.65 | 4,080.29 | 3,965.21 | 115.08 |
| 11/10/2010 | 11/13/2012 | 50 | ORACLE CORP | 30.20 | 28.65 | 1,509.75 | 1,432.75 | 77.00 |
| 12/03/2010 | 11/13/2012 | 100 | ORACLE CORP | 30.20 | 28.67 | 3,019.50 | 2,867.01 | 152.49 |
| 12/22/2010 | 11/13/2012 | 100 | ORACLE CORP | 30.20 | 31.71 | 3,019.50 | 3,170.91 | -151.41 |
| 01/20/2011 | 11/15/2012 | 100 | ORACLE CORP | 29.73 | 31.87 | 2,973.29 | 3,187.03 | -213.74 |
| 03/29/2011 | 11/15/2012 | 100 | ORACLE CORP | 29.73 | 32.93 | 2,973.29 | 3,292.75 | -319.46 |
| 07/07/2011 | 11/15/2012 | 100 | ORACLE CORP | 29.73 | 33.82 | 2,973.29 | 3,381.98 | -408.69 |
| 09/27/2010 | 11/16/2012 | 100 | JOHNSON & JOHNSON | 68.82 | 62.15 | 6,881.84 | 6,214.89 | 666.95 |
| 05/04/2010 | 11/19/2012 | 50 | DANAHER CORP | 52.64 | 41.51 | 2,631.86 | 2,075.61 | 556.25 |
| 02/18/2011 | 11/20/2012 | 90 | BROADCOM CORP-CL A | 31.01 | 42.18 | 2,791.17 | 3,796.17 | -1,005.00 |
| 04/06/2010 | 11/20/2012 | 100 | EMC CORP/MASS | 24.09 | 18.55 | 2,409.20 | 1,855.42 | 553.78 |
| 02/22/2011 | 11/20/2012 | 95 | INTUIT INC | 58.16 | 52.83 | 5,524.84 | 5,018.89 | 505.95 |
| 06/24/2010 | 11/23/2012 | 275 | MARATHON OIL CORP | 31.86 | 20.16 | 8,762.60 | 5,543.01 | 3,219.59 |
| 06/29/2010 | 11/23/2012 | 100 | MARATHON OIL CORP | 31.86 | 19.12 | 3,186.40 | 1,911.82 | 1,274.58 |
| 03/29/2011 | 11/27/2012 | 20 | MONSANTO CO | 90.96 | 69.95 | 1,819.18 | 1,398.95 | 420.23 |
| 04/15/2011 | 11/27/2012 | 25 | MONSANTO CO | 90.96 | 67.36 | 2,273.97 | 1,683.90 | 590.07 |
| 10/19/2010 | 12/03/2012 | 50 | JOHNSON & JOHNSON | 69.63 | 63.49 | 3,481.44 | 3,174.48 | 306.96 |
| 02/17/2011 | 12/04/2012 | 1,552.538 | AB REAL ASSET STRATEGY CLASS 1 | 11.23 | 11.94 | 17,435.00 | 18,537.30 | -1,102.30 |
| 02/14/2011 | 12/04/2012 | 25 | ALLERGAN INC | 91.81 | 74.01 | 2,295.13 | 1,850.16 | 444.97 |
| 11/09/2011 | 12/04/2012 | 25 | BOEING CO/THE | 74.27 | 65.25 | 1,856.87 | 1,631.28 | 225.59 |
| 10/25/2011 | 12/04/2012 | 25 | ILLUMINA INC | 52.05 | 30.75 | 1,301.25 | 768.73 | 532.52 |
| 11/15/2011 | 12/04/2012 | 35 | HARLEY DAVIDSON INC | 46.60 | 39.60 | 1,630.84 | 1,386.11 | 244.73 |
| 02/18/2011 | 12/04/2012 | 300 | KROGER CO | 26.79 | 23.01 | 8,037.90 | 6,903.69 | 1,134.21 |
| 08/22/2011 | 12/04/2012 | 25 | LORILLARD INC | 120.15 | 108.88 | 3,003.65 | 2,722.12 | 281.53 |
| 10/03/2006 | 12/04/2012 | 125 | PFIZER INC | 25.19 | 28.42 | 3,148.75 | 3,552.18 | -403.43 |
| 11/30/2006 | 12/04/2012 | 50 | PFIZER INC | 25.19 | 27.57 | 1,259.50 | 1,378.45 | -118.95 |
| 08/04/2011 | 12/04/2012 | 10 | PRECISION CASTPARTS CORP | 182.23 | 151.85 | 1,822.32 | 1,518.49 | 303.83 |
| 03/29/2011 | 12/04/2012 | 25 | QUALCOMM INC | 63.42 | 53.97 | 1,585.60 | 1,349.16 | 236.44 |
| 12/23/2010 | 12/04/2012 | 75 | VIACOM INC-CLASS B | 51.22 | 39.18 | 3,841.58 | 2,938.78 | 902.80 |
| 08/04/2011 | 12/04/2012 | 10 | VISA INC - CLASS A SHRS | 147.87 | 85.68 | 1,478.75 | 856.77 | 621.98 |
| 10/31/2005 | 12/04/2012 | 4,910.714 | BERNSTEIN INTERNATIONAL PORTFOLIO | 13.72 | 22.62 | 67,375.00 | 111,080.36 | -43,705.36 |

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 10/07/2011 | 12/05/2012 | 50 | JOHNSON & JOHNSON | 69.74 | 63.44 | 3,486.85 | 3,172.17 | 314.68 |
| 10/20/2011 | 12/05/2012 | 50 | JOHNSON & JOHNSON | 69.74 | 62.53 | 3,486 85 | 3,126 70 | 360.15 |
| 11/04/2011 | 12/06/2012 | 125 | ***TRANSOCEAN LTD | 46.38 | 49 66 | 5,797 11 | 6,207 50 | -410.39 |
| 03/18/2011 | 12/07/2012 | 15 | ROCKWELL AUTOMATION INC | 80 33 | 88.85 | 1,204.95 | 1,332 79 | -127.84 |
| 09/02/2011 | 12/07/2012 | 40 | ROCKWELL AUTOMATION INC | 80 33 | 60 53 | 3,213 19 | 2,421.09 | 792.10 |
| 02/17/2011 | 12/10/2012 | 1,368 700 | AB REAL ASSET STRATEGY CLASS 1 | 11.31 | 11 94 | 15,480 00 | 16,342.28 | -862.28 |
| 11/12/2008 | 12/10/2012 | 5 | APPLE INC | 529 67 | 92 45 | 2,648.34 | 462.24 | 2,186.10 |
| 07/22/2010 | 12/10/2012 | 2,476 .054 | OVERLAY A PORTFOLIO CLASS 2 | 10 44 | 10 46 | 25,850.00 | 25,899.52 | -49 52 |
| 07/22/2010 | 12/10/2012 | 535 .358 | OVERLAY B PORTFOLIO CLASS 2 | 11.03 | 10 47 | 5,905.00 | 5,605.20 | 299.80 |
| 12/09/2005 | 12/10/2012 | 2,476 875 | BERNSTEIN INTERMEDIATE DURATION PORTFOLIO | 14 27 | 13 10 | 35,345.00 | 32,447 06 | 2,897.94 |
| 10/31/2005 | 12/10/2012 | 319 .349 | BERNSTEIN INTERNATIONAL PORTFOLIO | 13.77 | 22.62 | 4,397 44 | 7,223 67 | -2,826.23 |
| 12/07/2005 | 12/10/2012 | 641 .855 | BERNSTEIN INTERNATIONAL PORTFOLIO | 13 77 | 23 55 | 8,838.34 | 15,115 67 | -6,277 33 |
| 12/09/2005 | 12/10/2012 | 1,166 610 | BERNSTEIN INTERNATIONAL PORTFOLIO | 13 77 | 23 70 | 16,064.22 | 27,648 65 | -11,584 43 |
| 08/11/2011 | 12/11/2012 | 75 | ***BP PLC-SPONS ADR | 41.15 | 39.65 | 3,086.25 | 2,973.91 | 112.34 |
| 04/28/2011 | 12/11/2012 | 70 | CITIGROUP INC | 37.40 | 45.57 | 2,618 00 | 3,189 62 | -571 62 |
| 05/13/2011 | 12/11/2012 | 55 | CITIGROUP INC | 37 40 | 42 07 | 2,057 00 | 2,313.66 | -256 66 |
| 04/20/2010 | 12/11/2012 | 300 | FORD MOTOR CO | 11 49 | 13.85 | 3,447 00 | 4,155.75 | -708 75 |
| 11/14/2011 | 12/11/2012 | 75 | GENERAL MOTORS CO | 25.31 | 23.01 | 1,898 25 | 1,726 01 | 172.24 |
| 09/09/2011 | 12/11/2012 | 50 | JPMORGAN CHASE & CO | 42 60 | 32 29 | 2,130.00 | 1,614 28 | 515 72 |
| 05/03/2011 | 12/11/2012 | 50 | MCGRAW-HILL COMPANIES INC | 57.00 | 40.30 | 2,850.00 | 2,015 19 | 834 81 |
| 11/30/2006 | 12/11/2012 | 25 | PFIZER INC | 25.54 | 27.57 | 638 50 | 689 23 | -50.73 |
| 05/08/2007 | 12/11/2012 | 175 | PFIZER INC | 25 54 | 27 33 | 4,469 50 | 4,782 28 | -312.78 |
| 04/20/2009 | 12/11/2012 | 30 | TIME WARNER CABLE | 94 55 | 28.06 | 2,836.50 | 841 73 | 1,994 77 |
| 04/07/2011 | 12/11/2012 | 50 | WELLPOINT INC | 57 90 | 69.12 | 2,895 00 | 3,456 00 | -561 00 |
| 02/17/2011 | 12/17/2012 | 1,482 .867 | AB REAL ASSET STRATEGY CLASS 1 | 11 09 | 11 94 | 16,445.00 | 17,705 43 | -1,260.43 |
| 11/04/2010 | 12/17/2012 | 25 | DANAHER CORP | 55 22 | 44 66 | 1,380 42 | 1,116 54 | 263 88 |
| 10/09/2008 | 12/17/2012 | 5 | GOOGLE INC-CL A | 718 80 | 343.71 | 3,593 98 | 1,718 57 | 1,875 41 |
| 11/14/2011 | 12/17/2012 | 125 | GENERAL MOTORS CO | 24.81 | 23 01 | 3,101 25 | 2,876 69 | 224 56 |
| 11/01/2010 | 12/17/2012 | 50 | STARBUCKS CORP | 54.46 | 28.72 | 2,723 18 | 1,435 91 | 1,287 27 |
| 07/22/2010 | 12/17/2012 | 4,004 785 | OVERLAY A PORTFOLIO CLASS 2 | 10 45 | 10 46 | 41,850.00 | 41,890.05 | -40.05 |
| 07/22/2010 | 12/17/2012 | 901 027 | OVERLAY B PORTFOLIO CLASS 2 | 10 71 | 10.47 | 9,650 00 | 9,433 75 | 216 25 |
| 12/09/2005 | 12/17/2012 | 2,167 733 | BERNSTEIN INTERMEDIATE DURATION PORTFOLIO | 14 07 | 13.10 | 30,500 00 | 28,397 30 | 2,102 70 |
| 12/09/2005 | 12/17/2012 | 1,892 .462 | BERNSTEIN INTERNATIONAL PORTFOLIO | 13 69 | 23.70 | 25,907 80 | 44,851 35 | -18,943 55 |
| 12/12/2006 | 12/17/2012 | 225 873 | BERNSTEIN INTERNATIONAL PORTFOLIO | 13 69 | 25.65 | 3,092 20 | 5,793 64 | -2,701 44 |
| 10/09/2008 | 12/19/2012 | 75 | SCHLUMBERGER LTD | 71.14 | 67 95 | 5,335 40 | 5,095 90 | 239 50 |
| 11/25/2008 | 12/19/2012 | 25 | SCHLUMBERGER LTD | 71 14 | 46 37 | 1,778.46 | 1,159.15 | 619 31 |

| Open Date | Close Date | Quantity | Description | Sale Price | Unit Cost | Total Proceeds | Total Cost | Gain or Loss |
|---|---|---|---|---|---|---|---|---|
| 11/25/2008 | 12/20/2012 | 50 | SCHLUMBERGER LTD | 71 05 | 46 37 | 3,552.49 | 2,318 29 | 1,234.20 |
| 04/20/2010 | 12/27/2012 | 70 | FORD MOTOR CO | 12 57 | 13 85 | 879 85 | 969.67 | -89 82 |
| 04/29/2010 | 12/27/2012 | 5 | FORD MOTOR CO | 12 57 | 13 58 | 62 85 | 67.89 | -5.04 |
| | | | SUBTOTAL FOR LONG-TERM NON-COVERED | | | 1,326,960.15 | 1,291,425 42 | 35,534.73 |
| TOTAL | | | | | | 2,020,435.54 | 1,966,537.27 | 53,898.27 |

** ACCOUNT TOTALS **

| | | |
|---|---|---|
| CURRENT PERIOD - G/L | | 53,898 27 |
| SHORT TERM - COVERED (COST BASIS REPORTED ON 1099-B) | 0 00 | |
| SHORT TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B) | 18,363 54 | |
| SHORT TERM TOTAL | | 18,363 54 |
| LONG TERM - COVERED (COST BASIS REPORTED ON 1099-B) | 0 00 | |
| LONG TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B) | 35,534.73 | |
| LONG TERM TOTAL | | 35,534 73 |

Capital-Gains Distributions. If you own shares of the Sanford C Bernstein Fund, Inc , you may have received an annual capital-gains distribution (different from the capital gains or losses on securities sold, which are listed in this report) Long-term capital-gains distributions are a separate item on the I R S forms, and appear on the Summary-of-Key-Items report

Zero-Cost Transactions· If you transferred securities to Bernstein for which the purchase price was unavailable, the total capital-gains figure that appears on this report needs to be adjusted Any such transactions are segregated on the Capital-Gains report, with the cost entered as zero You will need to update the report data with the correct purchase information

This information should be reviewed by your tax advisor or accountant