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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation







**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2012**  
Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012










Name of foundation LEO M SHORTINO FAMILY FOUNDATION		<b>A Employer identification number</b>  68-0237238	
Number and street (or P O box number if mail is not delivered to street address) 1760 THE ALAMEDA		<b>B Telephone number</b> (see instructions)  (408) 275-6306	
City or town, state, and ZIP code SAN JOSE, CA 95126		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$</b> 46,989,173		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	<b>1</b> Contributions, gifts, grants, etc , received (attach schedule)	3,500			
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities. . . . .	898,252	898,252		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	133,593			
	<b>b</b> Gross sales price for all assets on line 6a <u>22,604,242</u>				
	<b>7</b> Capital gain net income (from Part IV , line 2 ) . . . .		133,593		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances				
Operating and Administrative Expenses	<b>b</b> Less Cost of goods sold . . . . .				
	<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
	<b>11</b> Other income (attach schedule) . . . . . 	526,618	0		
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	1,561,963	1,031,845		
	<b>13</b> Compensation of officers, directors, trustees, etc	30,400	2,269		19,074
	<b>14</b> Other employee salaries and wages . . . . .	135,034	8,102		101,275
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule) . . . . .				
	<b>b</b> Accounting fees (attach schedule) . . . . . 	32,647	0		1,695
	<b>c</b> Other professional fees (attach schedule) . . . . . 	143,247	143,247		0
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) 	7,578	0		0
	<b>19</b> Depreciation (attach schedule) and depletion 	1,509	0		
	<b>20</b> Occupancy . . . . .	6,000	360		4,500
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule) . . . . . 	197,718	177,034		19,656
	<b>24 Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	554,133	331,012		146,200
	<b>25</b> Contributions, gifts, grants paid . . . . .	2,202,823			2,160,375
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	2,756,956	331,012		2,306,575
	<b>27</b> Subtract line 26 from line 12				
	<b>a Excess of revenue over expenses and disbursements</b>	-1,194,993			
	<b>b Net investment income</b> (if negative, enter -0-)		700,833		
	<b>c Adjusted net income</b> (if negative, enter -0-)				

Part II



Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	83,408	253,996	253,996
	2	Savings and temporary cash investments . . . . .	2,640,110	1,977,163	1,977,163
	3	Accounts receivable ▶ <u>93,193</u>			
		Less allowance for doubtful accounts ▶ _____		93,193	93,193
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____	900,000	0	0
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	25,261		
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	23,634,290 	15,346,450	15,346,450
	c	Investments—corporate bonds (attach schedule). . . . .	18,846,842 	14,548,875	14,548,875
	11	Investments—land, buildings, and equipment basis ▶ _____			
Liabilities		Less accumulated depreciation (attach schedule) ▶ _____	305,141		
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	0 	14,755,188	14,755,188
	14	Land, buildings, and equipment basis ▶ <u>5,462</u>			
		Less accumulated depreciation (attach schedule) ▶ <u>2,462</u>	3,270 	3,000	3,000
	15	Other assets (describe ▶ _____)	 60,000	 11,308	 11,308
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	46,498,322	46,989,173	46,989,173
	17	Accounts payable and accrued expenses . . . . .	3,118	93,505	
	18	Grants payable . . . . .		478,845	
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)	 0	 22,000	
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	3,118	594,350	
Net Assets or Fund Balances		<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24	Unrestricted . . . . .	46,495,204	46,394,823	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	46,495,204	46,394,823	
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	46,498,322	46,989,173	

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	46,495,204
2	Enter amount from Part I, line 27a . . . . .	2	-1,194,993
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	2,209,334
4	Add lines 1, 2, and 3 . . . . .	4	47,509,545
5	Decreases not included in line 2 (itemize) ▶ _____ 	5	1,114,722
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	46,394,823

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	MORGAN STANLEY INVESTMENTS	P	2012-12-31	2012-12-31
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 22,604,242		22,470,649	133,593
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			133,593
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	133,593
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	2,301,441	46,678,912	0 049304
2010	1,860,640	48,101,850	0 038681
2009	1,045,051	41,510,961	0 025175
2008	597,017	24,435,771	0 024432
2007	405,910	13,597,959	0 029851

2	Total of line 1, column (d). . . . .	2	0 167443
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	0 033489
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5. . . . .	4	48,364,022
5	Multiply line 4 by line 3. . . . .	5	1,619,663
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	7,008
7	Add lines 5 and 6. . . . .	7	1,626,671
8	Enter qualifying distributions from Part XII, line 4. . . . .  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	2,306,575

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	7,008
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2. . . . .		3	7,008
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	7,008
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	10,000	
b		Exempt foreign organizations—tax withheld at source . . . . .	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld . . . . .	6d		
7		Total credits and payments Add lines 6a through 6d. . . . .		7	10,000
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	2,992
11		Enter the amount of line 10 to be Credited to 2013 estimated tax <input checked="" type="checkbox"/> 2,992	Refunded <input type="checkbox"/>	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?. . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0 (2) On foundation managers <input checked="" type="checkbox"/> \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6		No
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶WWW LEOMSHORTINO ORG				
14	The books are in care of ▶THE FOUNDATION	Telephone no ▶(408) 275-6306		
	Located at ▶1760 THE ALAMEDA SAN JOSE CA	ZIP +4 ▶95126		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶	┐		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ┐ Yes ┑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . .			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ┐ Yes ┑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ┐ Yes ┑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . .			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . .			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?. . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. . . . .			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . .			
	┐ Yes ┑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELAINE CURRAN	EXECUTIVE	103,757	0	0
1760 THE ALAMEDA	DIRECTOR			
SAN JOSE,CA 95126	40 00			
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3. . . . .	0



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	39,199,538
b	Average of monthly cash balances. . . . .	1b	1,478,368
c	Fair market value of all other assets (see instructions). . . . .	1c	8,422,624
d	Total (add lines 1a, b, and c). . . . .	1d	49,100,530
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	49,100,530
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	736,508
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	48,364,022
6	Minimum investment return. Enter 5% of line 5. . . . .	6	2,418,201

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	2,418,201
2a	Tax on investment income for 2012 from Part VI, line 5. . . . .	2a	7,008
b	Income tax for 2012 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	7,008
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	2,411,193
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	2,411,193
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	2,411,193


Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	2,306,575
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,306,575
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	7,008
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	2,299,567
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				2,411,193
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only. . . . .			2,136,340	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007. . . . .				
b From 2008. . . . .				
c From 2009. . . . .				
d From 2010. . . . .				
e From 2011. . . . .				
f Total of lines 3a through e. . . . .	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 2,306,575				
a Applied to 2011, but not more than line 2a			2,136,340	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .		 0		
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2012 distributable amount. . . . .				170,235
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013. . . . .				2,240,958
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a. . . . .	0			
10 Analysis of line 9				
a Excess from 2008. . . . .				
b Excess from 2009. . . . .				
c Excess from 2010. . . . .				
d Excess from 2011. . . . .				
e Excess from 2012. . . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a . . . . .					
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . .					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

ELAINE CURRAN  
1760 THE ALAMEDA  
SAN JOSE, CA 95126  
(408) 275-6303

b

The form in which applications should be submitted and information and materials they should include

THE APPLICANT ORGANIZATION PROVIDES A LETTER OF INTENT ONLINE 30 DAYS BEFORE THE PROPOSAL GRANT DATE THE FOUNDATION WILL DETERMINE IF THE APPLICATION IS IN THE FOUNDATION'S AREA OF INTEREST AND WILL REQUEST AN ONLINE PROPOSAL THE APPLICANT CAN ALSO PROVIDE A WRITTEN GRANT PROPOSAL TO THE EXECUTIVE DIRECTOR

c

Any submission deadlines

A LETTER OF INTENT IS REQUIRED 60 DAYS AND A GRANT PROPOSAL 30 DAYS PRIOR TO ANY BOARD MEETING

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE FOUNDATION PRIMARILY MAKES GRANTS TO ORGANIZATIONS RECOGNIZED BY THE IRS UNDER SECTION 501(C)(3) THE FOUNDATION MOST OFTEN MAKES GRANTS TO THE SUPPORT OF DIRECT SERVICES AND CAPITAL PROJECTS, ORGANIZATIONS THAT PRIMARILY PROVIDE SERVICES IN SANTA CLARA COUNTY, ORGANIZATIONS THAT HAVE CLEARLY STATED STRATEGIC PLANS, INCORPORATED MEASURABLE OBJECTIVES, AND HAVE A TRACK RECORD OF MATCHING AND EXCEEDING PERFORMANCE GOALS

### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2012)

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b>	Program service revenue					
<b>a</b>	_____					
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>f</b>	_____					
<b>g</b>	Fees and contracts from government agencies					
<b>2</b>	Membership dues and assessments. . . .					
<b>3</b>	Interest on savings and temporary cash investments					
<b>4</b>	Dividends and interest from securities. . . .			14	898,252	
<b>5</b>	Net rental income or (loss) from real estate					
<b>a</b>	Debt-financed property. . . . .					
<b>b</b>	Not debt-financed property. . . . .					
<b>6</b>	Net rental income or (loss) from personal property					
<b>7</b>	Other investment income. . . . .			16	526,618	
<b>8</b>	Gain or (loss) from sales of assets other than inventory			18	133,593	
<b>9</b>	Net income or (loss) from special events					
<b>10</b>	Gross profit or (loss) from sales of inventory. .					
<b>11</b>	Other revenue <b>a</b> _____					
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>12</b>	Subtotal Add columns (b), (d), and (e). .		0		1,558,463	0
<b>13</b>	<b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		1,558,463

[illegible]

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
<b>(1)</b> Cash.	<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets.	<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions			
<b>(1)</b> Sales of assets to a noncharitable exempt organization.	<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization.	<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets.	<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements.	<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees.	<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations.	<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received			

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2013-06-28	*****	May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Signature of officer or trustee	Date	Title	

May the IRS discuss this return with the preparer shown below (see instr )? ☒ **Yes** ☐ **No**

**Paid  
Preparer  
Use  
Only**

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN P01023106
	NASI RAISSIAN	NASI RAISSIAN			
	Firm's name <input type="checkbox"/>	ROBERT LEE & ASSOCIATES LLP		Firm's EIN <input type="checkbox"/> 27-1155496	
		226 AIRPORT PARKWAY SUITE 350			
	Firm's address <input type="checkbox"/>	SAN JOSE, CA 95110		Phone no (408) 855-6770	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GARY SHARA	CHAIRMAN 2 00	5,200	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				
CHRISTINE BURROUGHS	VICE CHAIRMAN 2 00	5,200	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				
LINDA MURRAY	SECRETARY 2 00	5,200	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				
FRANK BOITANO	TREASURER 2 00	4,800	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				
MARTIN BACCAGLIO	BOARD MEMBER 2 00	0	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				
DAVID HEIMAN	BOARD MEMBER 2 00	4,800	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				
GERALD T WADE JR	BOARD MEMBER 2 00	5,200	0	0
1760 THE ALAMEDA SAN JOSE, CA 95126				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ACE CHARTER SCHOOLS 625 S SUNSET AVE SAN JOSE,CA 95116	NONE	501(C)(3)	SCHOOLWIDE LITERACY PROGRAM FOR STRUGGLING READERS	25,000
ACHIEVEKIDS 1212 MCGINNESS AVENUE SAN JOSE,CA 95127	NONE	501(C)(3)	WORKFORCE DEVELOPMENT PROGRAMS FOR DISABLED YOUTH	15,000
ALEARN 3777 STEVENS CREEK BLVD SOUTH 330 SANTA CLARA,CA 95051	NONE	501(C)(3)	MATH ASSISTANCE FOR UNDERREPRESENTED STUDENTS IN SANTA CLARA COUNTY SCHOOLS	30,000
ALUM ROCK COUNSELING CENTER 777 NORTH FIRST STREET SAN JOSE,CA 95112	NONE	501(C)(3)	GRANT OCALA MIDDLE SCHOOL MENTORING AND SUPPORT SERVICES PROGRAM	35,000
ARCHBISHOP MITTY HIGH SCHOOL 5000 MITTY WAY SAN JOSE,CA 95129	NONE	501(C)(3)	GRANT TWO ONE YEAR SCHOLARSHIPS	30,000
ART COUNCIL SILICON VALLEY 4 N 2ND ST 500 SAN JOSE,CA 95113	NONE	501(C)(3)	SILICON VALLEY ARTS EDUCATION DIRECTORY	20,000
BAY AREA WOMEN'S SPORTS INITIATIVE 1922 THE ALAMEDA SUITE 100 SAN JOSE,CA 95126	NONE	501(C)(3)	AFTERSCHOOL FITNESS PROGRAM FOR AT-RISK, 3RD -5TH GRADE GIRLS	17,360
BELLARMINE COLLEGE PREP 960 WEST HEDDING STREET SAN JOSE,CA 95126	NONE	501(C)(3)	GRANT SCHOLARSHIPS FOR LOW-INCOME STUDENTS	408,397
BOYS AND GIRLS CLUB OF SILICON VALLEY 518 VALLEY WAY MILPITAS,CA 95035	NONE	501(C)(3)	GRANT SUMMER CAMP	80,000
BREAKTHROUGH SILICON VALLEY 1635 PARK AVE SAN JOSE,CA 95126	NONE	501(C)(3)	MIDDLE AND HIGH ACADEMIC SUPPORT PROGRAM	25,000
BRING ME A BOOK FOUNDATION 1045 TERRA BELLA AVE MOUNTAIN VIEW,CA 94043	NONE	501(C)(3)	BOOKCASE LIBRARY PROGRAM FOR TRANSITIONAL KINDERGARTEN	15,000
CALIFORNIA COMMUNITY PARTNERS FOR YOUTH PO BOX 578 SAN JOSE,CA 95016	NONE	501(C)(3)	GRANT JUMP START SUMMER TRANSITION PROGRAM AND STEP UP AND STEP AHEAD PROGRAMS	72,048
CHILD ADVOCATES 509 VALLEY WAY MILPITAS,CA 95035	NONE	501(C)(3)	GRANT VOLUNTEER ADVOCATES FOR FOSTER YOUTH	30,000
CHILDREN'S MUSICAL THEATER OF SAN JOSE 1401 PARKMOOR AVENUE SUITE 100 SAN JOSE,CA 95126	NONE	501(C)(3)	GRANT COMMUNITY ACCESS PROGRAMS FOR 2012/2013 PERFORMANCE SEASON	35,000
CITY YEAR 90 NORTH 1ST STREET SAN JOSE,CA 95113	NONE	501(C)(3)	GRANT MIDDLE SCHOOL EXTENDED LEARNING PROGRAM IN ALUM ROCK	50,000



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CLEO EULAU CENTER 2483 OLD MIDDLEFIELD WAY MOUNTAIN VIEW,CA 94043	NONE	501(C)(3)	SOCIAL EMOTIONAL WELLNESS AND LEARNING IN EDUCATION	20,000
COMMUNITY HEALTH AWARENESS COUNCIL 711 CHURCH ST MOUNTAIN VIEW,CA 94041	NONE	501(C)(3)	COUNSELING SERVICES FOR CHILDREN IN SUNNYVALE SCHOOLS	25,000
COMMUNITY SCHOOL OF MUSIC ART 230 SAN ANTONIO CIR MOUNTAIN VIEW,CA 94040	NONE	501(C)(3)	ART4SCHOOLS AT LYNDALE ELEMENTARY SCHOOL	25,000
DOWNTOWN COLLEGE PREP 1460 THE ALAMEDA SAN JOSE,CA 95126	NONE	501(C)(3)	GRANT SCHOLARSHIPS FOR DCP GRADUATES	25,000
EMPLOYER SCHOOL COUNCIL 17508 HIGH ST LOS GATOS,CA 95030	NONE	501(C)(3)	COLLEGE SCHOLARSHIPS FOR AT-RISK YOUTH	10,000
EMQ FAMILIES FIRST 215 LLEWALLYN AVENUE CAMPBELL,CA 95008	NONE	501(C)(3)	GRANT ADDICTION PREVENTION SERVICES IN ALUM ROCK SCHOOLS	35,000
FAMILY SUPPORTIVE HOUSING 692 N KING RD SAN JOSE,CA 95133	NONE	501(C)(3)	SAN JOSE FAMILY SHELTER AFTER-SCHOOL PROGRAM	20,000
FOOTHILL DEANZA 12345 EL MONTE RD LOS ALTOS HILLS,CA 94022	NONE	501(C)(3)	SPACE SCIENCE EDUCATION PARTNERSHIP FUJITSU PLANETARIUM & RESOURCE AREA FOR TEACHING	12,000
FRESH LIFELINES FOR YOUTH 568 VALLEY WAY MILPITAS,CA 95035	NONE	501(C)(3)	GRANT LEGAL EAGLE PROGRAM IN SANTA CLARA COUNTY	35,000
GIRL SCOUTS OF NORTHERN CALIFORNIA 1310 S BASCOM AVE SAN JOSE,CA 95128	NONE	501(C)(3)	DECISION-MAKING/WELLNESS/HEALTHY LIFESTYLES PROGRAMS	25,000
GRAIL FAMILY SERVICES 2003 E SAN ANTONIO STREET SAN JOSE,CA 95116	NONE	501(C)(3)	YES WE CAN READ! CHILDREN'S INITIATIVE	33,000
GUADALUPE RIVER PARK 438 COLEMAN AVE SAN JOSE,CA 95110	NONE	501(C)(3)	SCIENCE-BASED NATURE EDUCATION	20,000
HAMMER MONTESSORI FOUNDATION 1610 BIRD AVE SAN JOSE,CA 95125	NONE	501(C)(3)	MUSIC EDUCATION AT GALARZA AND HAMMER MONTESSORI ELEMENTARY SCHOOLS	21,750
HAPPY HOLLOW FOUNDATION 1300 SENTER ROAD SAN JOSE,CA 95112	NONE	501(C)(3)	EDUCATIONAL OUTREACH PROGRAMS FOR UNDERSERVED CHILDREN	10,000
IND INITIATIVES FOR SCIENCE & MATH ED 5301 STEVENS CREEK BLVD SANTA CLARA,CA 95052	NONE	501(C)(3)	COLLABORATIVE FOSTERING SCIENTIFIC RESEARCH IN SANTA CLARA CO HIGH NEED SCHOOLS	15,000


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
INNVISION 1900 THE ALAMEDA SUITE 400 SAN JOSE,CA 95126	NONE	501(C)(3)	AFTER-SCHOOL TUTORING, SUMMER DAY CAMP, MENTORING FOR HOMELESS YOUTH	35,000
KIWANIS CLUB OF SAN JOSE FOUNDATION 15030 MONTEBELLO ROAD CUPERTINO,CA 95014	NONE	501(C)(3)	GRANT TURN AROUND SCHOLARSHIPS FOR AT RISK YOUTH	53,437
MOUSE SQUAD OF CALIFORNIA 400 OYSTER POINT BLVD SUITE 501 SAN FRANSISCO,CA 94080	NONE	501(C)(3)	STUDENT TECH LEADERSHIP PROGRAM AT SANTA CLARA COUNTY SCHOOLS	25,000
MOVIMIENTO DE ARTE Y CULTURA LATINO AMERICAN 510 SOUTH FIRST STREET SAN JOSE,CA 95113	NONE	501(C)(3)	PEAPOD ADOBE YOUTH VOICES ACADEMY	25,000
MT VIEW-LOS ALTOS COMMUNITY SCHOLARS 183 HILLVIEW AVENUE LOS ALTOS,CA 94022	NONE	501(C)(3)	GRANT COLLEGE SCHOLARSHIPS FOR AT RISK YOUTH	25,000
MUSIC FOR MINORS 883 NORTH SHORELINE BLVD MOUNTAIN VIEW,CA 94043	NONE	501(C)(3)	GRANT VOLUNTEER LED MUSIC EDUCATION	10,000
NORTE DAME HIGH SCHOOL 596 S SECOND ST SAN JOSE,CA 95112	NONE	501(C)(3)	TUITION ASSISTANCE FOR LOW-INCOME STUDENTS	25,000
OPERA SAN JOSE 2149 PARAGON DRIVE SAN JOSE,CA 95131	NONE	501(C)(3)	BULLYING PREVENTION ASSEMBLIES BILLY GOATS GRUFF	5,000
PARTNERS IN SCHOOL INNOVATION 1060 TENNESSEE ST 2ND FLOOR SAN FRANCISCO,CA 94107	NONE	501(C)(3)	GRANT ALUM ROCK SCHOOL AND DISTRICT INITIATIVE	107,000
PENINSULA COLLEGE FUND 330 TWIN DOLPHIN DRIVE SUITE 131 REDWOOD CITY,CA 94065	NONE	501(C)(3)	COLLEGE SCHOLARSHIPS FOR LOW-INCOME STUDENTS	36,000
PRESENTATION HIGH SCHOOL 2281 PLUMMER AVENUE SAN JOSE,CA 95125	NONE	501(C)(3)	GRANT SCHOLARSHIPS FOR LOW-INCOME STUDENTS	44,000
SACRED HEART NATIVITY SCHOOL 310 EDWARDS AVE SAN JOSE,CA 95110	NONE	501(C)(3)	GRANT TUITION ASSISTANCE FOR LOW-INCOME STUDENTS	30,000
SAN FRANCISCO SHAKESPEARE FESTIVAL 1560 DAVIDSON AVE SAN FRANSISCO,CA 94124	NONE	501(C)(3)	AFTER-SCHOOL ACTING AND SHAKESPEARE STUDY FOR AT-RISK YOUTH	20,000
SAN JOSE DOWNTOWN FOUNDATION 28 NORTH FIRST STREET 1000 SAN JOSE,CA 95113	NONE	501(C)(3)	HIGH SCHOOL ART EXHIBIT/COMPETITION FOR DISPLAY ON UTILITY DOORS	5,000
SAN JOSE JAZZ 145 W SAN CARLOS ST SAN JOSE,CA 95113	NONE	501(C)(3)	PROGRESSIONS MUSIC PROGRAM AT FRANKLIN-MCKINLEY SCHOOL DISTRICT	25,000


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SAN JOSE REPERTORY THEATER 101 PASEO DE SAN ANTONIO WALK SAN JOSE, CA 95113	NONE	501(C)(3)	RED LADDER THEATRE CO SOCIAL OUTREACH PROGRAM	25,000
SCHOOL OF ARTS AND CULTURE AT MEXICAN HERITAGE PLAZA 1700 ALUM ROCK AVENUE SAN JOSE, CA 95116	NONE	501(C)(3)	GRANT ARTS EDUCATION SUMMER CAMPS AND AFTER SCHOOL PROGRAMS	75,000
SILICON VALLEY CHILDREN'S FUND 1871 THE ALAMEDA 335 SAN JOSE, CA 95126	NONE	501(C)(3)	COLLEGE SCHOLARSHIPS AND SUPPORT FOR FOSTER YOUTH	15,000
SILICON VALLEY EDUCATION FOUNDATION 1400 PARKMOOR SUITE 200 SAN JOSE, CA 95126	NONE	501(C)(3)	GRANT ANALYZE AND PREPARE A GUIDEBOOK FOR A-G PLACEMENT	50,000
SILICON VALLEY FACES 777 NORTH FIRST STREET SUITE 220 SAN JOSE, CA 95112	NONE	501(C)(3)	CAMP EVERYTOWN FOR TITLE I HIGH SCHOOLS IN EAST SAN JOSE	35,000
SOMOS MAYFAIR 370 S KING RD SAN JOSE, CA 95116	NONE	501(C)(3)	IN OUR HANDS A COMMUNITY TEACHER CAMPAIGN	25,000
SYMPHONY SILICON VALLEY 325 SOUTH FIRST STREET SUITE 160 SAN JOSE, CA 95113	NONE	501(C)(3)	ARTS FIELD TRIPS FOR 3RD-5TH GRADERS	35,000
TEENFORCE 1080 NORTH 7TH STREET SAN JOSE, CA 95112	NONE	501(C)(3)	FOSTER YOUTH EMPLOYMENT PROGRAM	10,000
THE BEAT WITHIN 209 NINTH STREET SAN FRANCISCO, CA 94103	NONE	501(C)(3)	WRITING WORKSHOPS AND PUBLICATION FOR YOUTH IN JUVENILE HALL	12,000
THE SALVATION ARMY 405 NORT FOURTH STREET SAN JOSE, CA 95112	NONE	501(C)(3)	BACK-TO-SCHOOL CLOTHING FOR DISADVANTAGED CHILDREN	5,000
THE TECH MUSEUM 201 S MARKET ST SAN JOSE, CA 95113	NONE	501(C)(3)	STEM SCHOOL PARTNERSHIP RF KENNEDY ELEMENTARY SCHOOL	25,000
THIRD STREET COMMUNITY CENTER 160 N 3RD ST SAN JOSE, CA 95112	NONE	501(C)(3)	AFTER SCHOOL ACADEMIC PROGRAM	20,000
THIRD STREET COMMUNITY CENTER 160 NORTH THIRD STREET SAN JOSE, CA 95112	NONE	501(C)(3)	SUMMER DAY-CAMP FOR AT-RISK YOUTH	6,780
VIA SERVICES 2851 PARK AVENUE SANTA CLARA, CA 95050	NONE	501(C)(3)	GRANT HEALTHY LIVING INITIATIVE AT VIA WEST	60,000
WILLOW GLEN HIGH SCHOOL 2001 COTTLE AVE SAN JOSE, CA 95125	NONE	501(C)(3)	GRANT COUNSELORS ASSISTING WITH COLLEGE, FINANCIAL AIDE AND CAREER DECISIONS	30,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
YWCA 375 SOUTH THIRD STREET SAN JOSE, CA 95112	NONE	501(C)(3)	GRANT CULTURAL AND EDUCATIONAL OPPORTUNITIES FOR HIGH RISK STUDENTS	18,000
VARIOUS GRANTS 1760 THE ALAMEDA SAN JOSE, CA 95126	NONE	501(C)(3)	PRIOR YEAR GRANTS	23,603
<b>Total . . . . .</b>  <b>3a</b>				2,160,375

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>b</b> <i>Approved for future payment</i>				
ALUM ROCK SCHOOL DISTRICT 2930 GAY AVE SAN JOSE,CA 95127	NONE	501(C)(3)	GRANT SCHOOL AND DISTRICT TRANSFORMATION	117,440
CALIFORNIA PROVINCIAL SOCIETY OF JESUSPO BOX 519 LOS GATOS,CA 95031	NONE	501(C)(3)	START-UP FUNDS FOR CRISTO REY HIGH SCHOOL	100,000
JUST READPO BOX 4459 MOUNTAIN VIEW,CA 94040	NONE	501(C)(3)	LITERACY TUTORING PROGRAM	10,000
ROTARY CLUB OF SAN JOSE1690 SENTER RD SAN JOSE,CA 95112	NONE	501(C)(3)	CAPITAL GRANT FOR ROTARY PLAYGARDEN	20,000
SCHOOL HEALTH CLINICS OF SANTA CLARA CO5671 SANTA TERESA BLVD SAN JOSE,CA 95123	NONE	501(C)(3)	NUTRITION HEALTHY LIFESTYLE EDUCATION FOR KIDS	10,000
VEGGIELUTION647 S KING RD SAN JOSE,CA 95116	NONE	501(C)(3)	HANDS-ON LEARNING AND LEADERSHIP DEVELOPMENT FOSTERING SUSTAINABLE AGRICULTURE	15,000
PARTNERS IN SCHOOL INNOVATION1060 TENNESSEE ST 2ND FLOOR SAN FRANCISCO,CA 95125	NONE	501(C)(3)	GRANT ALUM ROCK SCHOOL AND DISTRICT INITIATIVE	107,000
KIWANIS CLUB OF SAN JOSE FOUNDATION15030 MONTEBELLO ROAD CUPERTINO,CA 95014	NONE	501(C)(3)	GRANT TURN AROUND SCHOLARSHIPS FOR AT RISK YOUTH	24,405
BELLARMINE COLLEGE PREP960 WEST HEDDING STREET SAN JOSE,CA 95126	NONE	501(C)(3)	GRANT SCHOLARSHIPS FOR LOW-INCOME STUDENTS	75,000
<b>Total . . . . .</b>  <b>3b</b>				478,845

## TY 2012 Accounting Fees Schedule

**Name:** LEO M SHORTINO FAMILY FOUNDATION

**EIN:** 68-0237238

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUDIT AND ACCOUNTING	32,647	0		1,695

**TY 2012 Applied to Prior Year Election**

**Name:** LEO M SHORTINO FAMILY FOUNDATION

**EIN:** 68-0237238

**Election:** ANY QUALIFYING DISTRIBUTION MADE DURING A TAXABLE YEAR SHALL BE TREATED AS MADE -- FIRST OUT OF THE UNDISTRIBUTED INCOME OF THE IMMEDIATELY PRECEDING TAXABLE YEAR TO THE EXTENT THEREOF; SECOND OUT OF THE UNDISTRIBUTED INCOME FOR THE TAXABLE YEAR TO THE EXTENT THEREOF; AND THEN OUT OF CORPUS.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: LEO M SHORTINO FAMILY FOUNDATION

EIN: 68-0237238

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER	2010-08-01	1,245	588	SL	3 0000000000000	415	0		
SONY VAIO AND ACCESSORIES	2011-03-01	1,144	318	SL	3 0000000000000	381	0		
DELL TOWER AND ACCESSORIES	2011-12-01	1,675	47	SL	3 0000000000000	557	0		
2 IPADS	2012-09-01	1,398		SL	3 0000000000000	156	0		



**TY 2012 Investments Corporate  
Bonds Schedule**

**Name:** LEO M SHORTINO FAMILY FOUNDATION  
**EIN:** 68-0237238

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIXED INCOME	14,548,875	14,548,875

# TY 2012 Investments Corporate Stock Schedule

**Name:** LEO M SHORTINO FAMILY FOUNDATION

**EIN:** 68-0237238

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES	15,346,450	15,346,450

## TY 2012 Investments - Other Schedule

**Name:** LEO M SHORTINO FAMILY FOUNDATION

**EIN:** 68-0237238

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
REAL ESTATE	FMV	8,422,624	8,422,624
ALTERNATIVE INVESTMENTS	FMV	6,332,564	6,332,564

**TY 2012 Land, Etc. Schedule****Name:** LEO M SHORTINO FAMILY FOUNDATION**EIN:** 68-0237238

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER	1,245	1,003	242	242
SONY VAIO AND ACCESSORIES	1,144	699	445	445
DELL TOWER AND ACCESSORIES	1,675	604	1,071	1,071
2 IPADS	1,398	156	1,242	1,242

## TY 2012 Other Assets Schedule

**Name:** LEO M SHORTINO FAMILY FOUNDATION

**EIN:** 68-0237238

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DEPOSIT	60,000		
RENTS RECEIVABLE		11,308	11,308

**TY 2012 Other Decreases Schedule****Name:** LEO M SHORTINO FAMILY FOUNDATION**EIN:** 68-0237238

Description	Amount
NET CHANGE TO ACCRUAL ACCOUNTING	1,092,722
CHANGE IN DEFERRED EXCISE TAXES	22,000

**TY 2012 Other Expenses Schedule****Name:** LEO M SHORTINO FAMILY FOUNDATION**EIN:** 68-0237238

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	1,774	124		1,177
OFFICE EXPENSE	21,579	4,700		16,879
REAL ESTATE INVESTEMENT EXPENSES	165,980	165,980		0
REAL ESTATE AQUISITION AND APPRAISAL	5,935	5,935		0
MISCELLANEOUS	2,450	295		1,600

## TY 2012 Other Income Schedule

**Name:** LEO M SHORTINO FAMILY FOUNDATION

**EIN:** 68-0237238

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INCOME FROM RENTAL INVESTMENT PROPERTY	526,618	0	526,618



## TY 2012 Other Increases Schedule

**Name:** LEO M SHORTINO FAMILY FOUNDATION

**EIN:** 68-0237238

Description	Amount
UNREALIZED GAINS	2,209,334

## TY 2012 Other Liabilities Schedule

**Name:** LEO M SHORTINO FAMILY FOUNDATION

**EIN:** 68-0237238

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED EXCISE TAX LIABILITY	0	22,000

## TY 2012 Other Professional Fees Schedule

**Name:** LEO M SHORTINO FAMILY FOUNDATION

**EIN:** 68-0237238

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESETMENT MANAGEMENT	143,247	143,247		0

**TY 2012 Taxes Schedule****Name:** LEO M SHORTINO FAMILY FOUNDATION**EIN:** 68-0237238

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT RELATED	578	0		0
EXCISE	7,000	0		0