Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation SBMT Charitable Trust		A Employer identification number 65-6312009
Number and street (or P.O. box number if mail is not delivered to street address) 201 SE 24 Avenue	Room/suite	B Telephone number (see instructions) 954-941-5533
City or town, state, and ZIP code Pompano Beach, FL 33062		C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,394,986

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	5	5		
	4	Dividends and interest from securities	38,501	38,501		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	47,345			
	b	Gross sales price for all assets on line 6a 579,400				
	7	Capital gain net income (from Part IV, line 2)		47,345		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	9	9		
	12	**Total.** Add lines 1 through 11	85,860	85,860		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	2,809	2,809		
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	3,055	3,055		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	467	467		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	2	2		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	6,333	6,333		
	25	Contributions, gifts, grants paid	105,000			105,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	111,333	6,333		105,000
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(25,473)			
	b	**Net investment income** (if negative, enter -0-)		79,527		
	c	**Adjusted net income** (if negative, enter -0-)				

RECEIVED
824
AUG 1 6 2013
IRS-OSC
OGDEN, UT

SCANNED AUG 2 0 2013

For Paperwork Reduction Act Notice, see instructions. Cat No 11289X Form **990-PF** (2012)

P19

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	757	757	757
2	Savings and temporary cash investments	13,651	9,185	9,185
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)	25,026	25,026	26,543
b	Investments—corporate stock (attach schedule)	533,943	498,376	664,959
c	Investments—corporate bonds (attach schedule)	501,308	526,627	562,938
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	115,759	105,000	101,281
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,190,444	1,164,971	1,365,663
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	1,190,444	1,664,971	
31	**Total liabilities and net assets/fund balances** (see instructions)	1,190,444	1,164,971	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,190,444
2	Enter amount from Part I, line 27a	2	(25,473)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,164,971
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,164,971

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	Publicly Traded Securities - See statement of Capital Gains and Losses			
b	attached	P	Various	Various
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b	579,400		532,055	47,345
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	47,345
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . .	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	60,000	1,417,873	0.0423
2010	70,593	1,373,554	0.0514
2009	69,674	1,255,042	0.0555
2008	68,462	1,446,912	0.0473
2007	73,974	1,599,347	0.0463

2	**Total** of line 1, column (d) . . .	2	0.2428
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.0486
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 . . .	4	1,379,625
5	Multiply line 4 by line 3 . . .	5	67,050
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	795
7	Add lines 5 and 6 . . .	7	67,845
8	Enter qualifying distributions from Part XII, line 4 . . .	8	105,000

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	795
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	795
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	795
6	Credits/Payments:		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 4,098		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	4,098
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	3,303
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 3,303 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		✓
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ Florida			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶ NONE

14 The books are in care of ▶ Daniel J. Trunk, Administrative Trustee Telephone no. ▶ 954-785-4732
Located at ▶ 1390 S. Ocean Blvd. Apt. 5-D, Pompano Beach, FL ZIP+4 ▶ 33062

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		✓
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
James J. Trunk 1390 S. Ocean Blvd. Unit 5-D Pompano Beach, FL 33062	Trustee and Advisory Board - 1 hr.	0	0	0
Michelle T. Raia 1250 SW 21 Lane, Boca Raton, FL 33486	Trustee and Advisory Board - 1 hr.	0	0	0
Ross Raia 1250 SW 21 Lane, Boca Raton, FL 33486	Trustee and Advisory Board - 1 hr.	0	0	0
Daniel J. Trunk 2115 S Ocean Blvd Unit 9, Delray Beach, FL 33483	Trustee and Advisory Board - 1 hr.	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,399,878
b	Average of monthly cash balances	1b	757
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,400,635
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,400,635
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	21,010
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,379,625
6	**Minimum investment return.** Enter 5% of line 5	6	68,981

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	68,981
2a	Tax on investment income for 2012 from Part VI, line 5	2a	795		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	795
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	68,186
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	68,186
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	68,186

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	105,000
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	105,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	795
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	104,205

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				68,186
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			50,996	
b Total for prior years: 20___,20___,20___		0		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f **Total** of lines 3a through e	0			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 105,000				
a Applied to 2011, but not more than line 2a			50,996	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2012 distributable amount				17,190
e Remaining amount distributed out of corpus	36,814			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	36,814			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				50,996
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	36,814			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012 36,814				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . . . ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attached list				$105,000
Total ▶ 3a				$105,000
b *Approved for future payment*				
Total ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	5	
4 Dividends and interest from securities			14	38,501	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	47,345	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a Civil Action Proceeds			14	9	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				85,860	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 85,860

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NONE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 8 8 13 Title: Co-Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Larry F. Witte	[signature]	8/8/2013		P01477979
Firm's name ▶ Witte & Craig, P.A.			Firm's EIN ▶	59-2098061
Firm's address ▶ 201 SE 24 Avenue, Pompano Beach, FL 33062			Phone no	954-941-5533

SBMT Charitable Trust
EIN: 65-6312009
Schedule of Information for Form 990-PF
Calendar Year 2012

Part XV

3a. Grants and Contributions Paid During the Year

Name and address	Amount
Surfaid International USA 191 Calle Magdalena, Suite 290 Encinitas, CA 92024	$ 20,000.
El Porvenir 1420 Ogden Street, Suite 204 Denver, CO 80218	15,000.
The Piarist School Highway 80. Box 870 Martin, KY 41649	10,000.
Daily Bread Food Bank 2970 NW 1st Street Fort Lauderdale, FL 33311	30,000.
Wounded Warrior Project, Inc. 4899 Belfort Road Suite 300 Jacksonville, FL 32256	30,000.

Note: All of the above contributions have been made to tax exempt qualified charitable organizations for their unrestricted use.

The SBMT Charitable Trust
EIN: 65-6312009
Schedule of Information for Form 990-PF
Calendar Year 2012

Part I, Line 11
Other Income

Civil Class Action Proceeds	$ 9.

Part I, Line 16
Legal Fees

Witte & Craig, P.A. for legal services and preparation of Form 990-PF	$ 2,809.

Other Professional Fees

BNY, Mellon, N.A. - Investment, custodial and accounting services	$ 2,860.
BNY, Mellon, N.A. – Tax Preparation fee	195.
	$ 3,055.

Part I, Line 18
Taxes

Foreign dividend taxes withheld	$ 467.

Part I, Line 23
Other Expenses

Investment Expense	$ 2.

Part II, Line 10, Investments, Securities
SEE ATTACHED STATEMENT

Part IV, Line 1a, Capital Gains and Losses
SEE ATTACHED STATEMENTS

Fixed income

Taxable

Individual securities

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
25,000	United States Treasury Note DTD 5/15/2004 4.75% 5/15/2014 Moody's: AAA S&P: AA+	$ 106.1720	$ 26,543.00	$ 25,026.37	$ 1,516.63	$ 1,187.50	4.5%	1.9%
Total taxable individual securities			$ 26,543.00	$ 25,026.37	$ 1,516.63	$ 1,187.50		1.9%

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
6,019.262	BNY Mellon Corporate Bond Fund	$ 13.0300	$ 78,430.98	$ 75,000.00	$ 3,430.98	$ 1,980.34	2.5%	5.6%
22,357.802	BNY Mellon Intermediate Bond Fund	13.2000	295,122.99	271,626.25	23,496.74	7,735.80	2.6	21.2
7,412.898	BNY Mellon Bond Fund	13.5800	100,667.15	100,000.00	667.15	3,135.66	3.1	7.2
Total taxable pooled vehicle			$ 474,221.12	$ 446,626.25	$ 27,594.87	$ 12,851.80		34.0%
Total taxable fixed income			**$ 500,764.12**	**$ 471,652.62**	**$ 29,111.50**	**$ 14,039.30**		**35.9%**

High yield

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,777.07	Dreyfus High Yield Fund	$ 6.6700	$ 31,863.06	$ 30,000.00	$ 1,863.06	$ 2,135.35	6.7%	2.3%
Total high yield pooled vehicle			$ 31,863.06	$ 30,000.00	$ 1,863.06	$ 2,135.35		2.3%
Total high yield fixed income			**$ 31,863.06**	**$ 30,000.00**	**$ 1,863.06**	**$ 2,135.35**		**2.3%**

Emerging markets

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,874.063	Dreyfus Emerging Markets Debt Local Currency Fund	$ 15.3400	$ 28,748.13	$ 25,000.00	$ 3,748.13	$ 170.54	0.6%	2.1%
3,015.682	Tcw Emerging Markets Income Fund-I	9.3200	28,106.16	25,000.00	3,106.16	1,568.15	5.6	2.0
Total emerging markets pooled vehicle			$ 56,854.29	$ 50,000.00	$ 6,854.29	$ 1,738.69		4.1%
Total emerging markets fixed income			**$ 56,854.29**	**$ 50,000.00**	**$ 6,854.29**	**$ 1,738.69**		**4.1%**
Total fixed income			**$ 589,481.47**	**$ 551,652.62**	**$ 37,828.85**	**$ 17,913.34**		**42.3%**

Equities

U.S. large cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
60	Covidien PLC (COV)	$ 57.7400	$ 3,464.40	$ 2,832.40	$ 632.00	$ 62.40	1.8%	0.3%
60	Eaton Corp PLC (ETN)	54.1800	3,250.80	3,099.34	151.46	91.20	2.8	0.2
60	Ensco PLC (ESV)	59.2800	3,556.80	3,449.93	106.87	90.00	2.5	0.3
50	Tyco International Ltd (TYC)	29.2500	1,462.50	671.43	791.07	30.00	2.1	0.1
60	Lyondellbasell Industries NV (LYB)	57.0900	3,425.40	2,703.37	722.03	96.00	2.8	0.3
24	The A D T Corporation (ADT)	46.4900	1,115.76	431.45	684.31	12.00	1.1	0.1
80	AT&T Inc (T)	33.7100	2,696.80	2,708.78	-11.98	144.00	5.3	0.2

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
31	Alliance Data Sys Corp (ADS)	144.7600	4,487.56	1,851.39	2,636.17	0.00	0.0	0.3
80	Allscripts Healthcare Solutions Inc (MDRX)	9.4200	753.60	1,353.63	-600.03	0.00	0.0	0.1
12	Amazon.Com Inc (AMZN)	250.8700	3,010.44	2,412.27	598.17	0.00	0.0	0.2
60	American Express Company (AXP)	57.4800	3,448.80	2,495.80	953.00	48.00	1.4	0.3
70	American International Group Inc (AIG)	35.3000	2,471.00	2,366.09	104.91	0.00	0.0	0.2
10	American Tower Corporation (AMT)	77.2700	772.70	711.54	61.16	9.60	1.2	0.1
50	Ameriprise Financial Inc (AMP)	62.6300	3,131.50	1,133.99	1,997.51	90.00	2.9	0.2
40	Anadarko Petroleum Corp (APC)	74.3100	2,972.40	2,439.55	532.85	14.40	0.5	0.2
37	Apache Corp (APA)	78.5000	2,904.50	1,080.91	1,823.59	25.16	0.9	0.2
24	Apple Inc (AAPL)	532.1730	12,772.15	3,679.35	9,092.80	254.40	2.0	0.9
30	Autoliv Inc (ALV)	67.3900	2,021.70	1,152.94	868.76	60.00	3.0	0.1
320	Bank Of America Corporation (BAC)	11.6100	3,715.20	3,718.01	-2.81	12.80	0.3	0.3
40	Beam Inc (BEAM)	61.0900	2,443.60	2,354.62	88.98	32.80	1.3	0.2
80	C B S Corp (CBS) Class B	38.0500	3,044.00	2,165.98	878.02	38.40	1.3	0.2
120	C B R E Group,Inc. (CBG)	19.9000	2,388.00	2,217.78	170.22	0.00	0.0	0.2
40	CIGNA Corp (CI)	53.4600	2,138.40	959.33	1,179.07	1.60	0.1	0.2
40	Capital One Financial Corporation (COF)	57.9300	2,317.20	1,747.31	569.89	8.00	0.3	0.2
40	Carnival Corp (CCL)	36.7700	1,470.80	1,249.19	221.61	40.00	2.7	0.1
30	Chubb Corp (CB)	75.3200	2,259.60	1,562.86	696.74	49.20	2.2	0.2
70	Coca-Cola Enterprises Inc (CCE)	31.7300	2,221.10	2,014.38	206.72	44.80	2.0	0.2
40	Cognizant Technology Solutions Corp (CTSH) Cl A	73.8823	2,955.29	2,795.37	159.92	0.00	0.0	0.2
50	Conagra Inc (CAG)	29.5000	1,475.00	1,482.71	-7.71	50.00	3.4	0.1
40	Conocophillips (COP)	57.9900	2,319.60	870.08	1,449.52	105.60	4.6	0.2
40	Danaher Corp (DHR)	55.9000	2,236.00	1,128.98	1,107.02	4.00	0.2	0.2
80	The Walt Disney Company (DIS)	49.7900	3,983.20	3,672.50	310.70	60.00	1.5	0.3

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
140	E M C Corp Mass (EMC)	25.3000	3,542.00	2,419.78	1,122.22	0.00	0.0	0.3
15	E O G Res Inc (EOG)	120.7900	1,811.85	1,545.99	265.86	10.20	0.6	0.1
70	Exxon Mobil Corp (XOM)	86.5500	6,058.50	2,693.32	3,365.18	159.60	2.6	0.4
30	Fedex Corp (FDX)	91.7200	2,751.60	2,767.23	-15.63	16.80	0.6	0.2
30	Fluor Corp New (FLR)	58.7400	1,762.20	1,710.11	52.09	19.20	1.1	0.1
180	Ford Motor Company (F)	12.9500	2,331.00	2,961.24	-630.24	36.00	1.5	0.2
220	General Electric Company (GE)	20.9900	4,617.80	4,792.67	-174.87	167.20	3.6	0.3
40	Informatica Corp (INFA)	30.3200	1,212.80	1,186.89	25.91	0.00	0.0	0.1
13	Intercontinentalexchange Inc (ICE)	123.8100	1,609.53	1,610.00	-0.47	0.00	0.0	0.1
18	International Business Machines (IBM) Corporation	191.5500	3,447.90	1,870.56	1,577.34	61.20	1.8	0.3
20	Intuit (INTU)	59.4754	1,189.51	1,031.77	157.74	13.60	1.1	0.1
109	JP Morgan Chase & Co (JPM)	43.9691	4,792.63	2,573.34	2,219.29	130.80	2.7	0.3
50	Johnson & Johnson (JNJ)	70.1000	3,505.00	3,285.29	219.71	122.00	3.5	0.3
70	Lilly Eli & Co (LLY)	49.3200	3,452.40	3,459.78	-7.38	137.20	4.0	0.3
20	McKesson Corporation (MCK)	96.9600	1,939.20	893.36	1,045.84	16.00	0.8	0.1
68	Merck & Co Inc (MRK)	40.9400	2,783.92	1,667.78	1,116.14	116.96	4.2	0.2
80	Moodys Corp (MCO)	50.3200	4,025.60	3,651.72	373.88	64.00	1.6	0.3
60	National Oilwell Varco Inc. (NOV)	68.3500	4,101.00	4,232.07	-131.07	31.20	0.8	0.3
180	News Corp Inc (NWS) Class B	26.2400	4,723.20	3,038.84	1,684.36	30.60	0.7	0.3
70	Nextera Energy Inc (NEE)	69.1900	4,843.30	3,887.72	955.58	168.00	3.5	0.4
37	Occidental Petroleum Corp (OXY)	76.6100	2,834.57	2,054.18	780.39	79.92	2.8	0.2
110	Oracle Corp (ORCL)	33.3200	3,665.20	1,846.15	1,819.05	26.40	0.7	0.3
30	P V H Corp (PVH)	111.0100	3,330.30	2,221.88	1,108.42	4.50	0.1	0.2
75	Pepsico Inc (PEP)	68.4300	5,132.25	3,793.63	1,338.62	161.25	3.1	0.4
330	Pfizer Inc (PFE)	25.0793	8,276.17	5,570.02	2,706.15	316.80	3.8	0.6

Equities

U.S. large cap
continued

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
60	Philip Morris International Inc (PM)	83.6400	5,018.40	2,894.91	2,123.49	204.00	4.1	0.4
22	Praxair Inc (PX)	109.4500	2,407.90	856.27	1,551.63	48.40	2.0	0.2
30	T Rowe Price Group Inc (TROW)	65.1171	1,953.51	1,801.46	152.05	70.80	3.6	0.1
80	Qualcomm Inc (QCOM)	61.8596	4,948.77	3,123.06	1,825.71	80.00	1.6	0.4
20	Ralcorp Hldgs Inc New (RAH)	89.6500	1,793.00	1,471.01	321.99	0.00	0.0	0.1
50	Robert Half Intl Inc (RHI)	31.8200	1,591.00	1,356.13	234.87	30.00	1.9	0.1
130	Sanofi-Aventis ADR (SNY)	47.3800	6,159.40	4,855.90	1,303.50	186.03	3.0	0.4
70	Skyworks Solutions Inc (SWKS)	20.3000	1,421.00	1,639.13	-218.13	0.00	0.0	0.1
40	Target Corp (TGT)	59.1700	2,366.80	2,120.95	245.85	57.60	2.4	0.2
20	Teradata Corporation (TDC)	61.8900	1,237.80	949.09	288.71	0.00	0.0	0.1
40	Transcanada Corp (TRP)	47.3200	1,892.80	1,707.50	185.30	70.64	3.7	0.1
2,500	US Bancorp (USB)	31.9400	79,850.00	0.03	79,849.97	1,950.00	2.4	5.7
140	Unilever PLC (UL) Spnsrd ADR New	38.7200	5,420.80	3,957.46	1,463.34	171.64	3.2	0.4
30	Vmware Inc (VMW)	94.1400	2,824.20	2,682.34	141.86	0.00	0.0	0.2
190	Wells Fargo & Company (WFC)	34.1800	6,494.20	4,460.75	2,033.45	167.20	2.6	0.5
7,288.63	BNY Mellon Income Stock Fund (MPISX)	7.2400	52,769.68	50,000.00	2,769.68	1,844.02	3.5	3.8
Total U.S. large cap			**$ 358,574.49**	**$ 215,154.57**	**$ 143,419.92**	**$ 8,244.12**		**25.7%**

U.S. mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
2,297.298	The BNY Mellon Mid Cap (MPMCX) Multi-Strategy Fund	$ 11.2000	$ 25,729.74	$ 17,711.14	$ 8,018.60	$ 0.00	0.0%	1.8%
833.431	Dreyfus Midcap Index Fund (PESPX)	28.9300	24,111.16	14,369.68	9,741.48	280.87	1.2	1.7
Total U.S. mid cap			**$ 49,840.90**	**$ 32,080.82**	**$ 17,760.08**	**$ 280.87**		**3.6%**

U.S. small cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
539.957	Dreyfus Select Managers Small Cap (DSGIX) Growth Fund	$ 18.9300	$ 10,221.39	$ 10,000.00	$ 221.39	$ 0.00	0.0%	0.7%
781.25	Dreyfus Select Managers Small Cap (DMVIX) Value Fund	19.4400	15,187.50	15,000.00	187.50	32.03	0.2	1.1
Total U.S. small cap			**$ 25,408.89**	**$ 25,000.00**	**$ 408.89**	**$ 32.03**		**1.8%**

U.S. small-mid cap

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
3,886.133	BNY Mellon Small/Mid Cap Fund (MMCMX)	$ 12.9000	$ 50,131.12	$ 55,000.00	$ -4,868 88	$ 823.86	1.6%	3.6%
Total U.S. small-mid cap			**$ 50,131.12**	**$ 55,000.00**	**$ -4,868.88**	**$ 823.86**		**3.6%**

Developed international

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
5,512.678	Dreyfus Diversified International (DFPIX) Fund	$ 10.2300	$ 56,394.70	$ 50,000 00	$ 6,394.70	$ 1,074.97	1.9%	4.0%
Total developed international			**$ 56,394.70**	**$ 50,000.00**	**$ 6,394.70**	**$ 1,074.97**		**4.0%**

Emerging markets

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
9,509.562	BNY Mellon Emerging Markets Fund (MEMKX)	$ 10.2500	$ 97,473.01	$ 96,140.49	$ 1,332.52	$ 931.94	1.0%	7.0%
Total emerging markets			**$ 97,473.01**	**$ 96,140.49**	**$ 1,332.52**	**$ 931.94**		**7.0%**

Equity reits

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
3,337.784	Dreyfus Global Real Estate (DRLIX) Securities Fund	$ 8.1300	$ 27,136.18	$ 25,000.00	$ 2,136.18	$ 1,448.60	5.3%	2.0%
Total equity reits			**$ 27,136.18**	**$ 25,000.00**	**$ 2,136.18**	**$ 1,448.60**		**2.0%**
Total equities			**$ 664,959.29**	**$ 498,375.88**	**$ 166,583.41**	**$ 12,836.39**		**47.7%**

Alternative investments

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
1,556.42	Advantage Global Alpha Fund	$ 12.8000	$ 19,922.18	$ 20,000.00	$ -77.82	$ 10.89	0.1%	1.4%
923.077	Highbridge Dynamic Commodities Strategy Fund - E	13.9700	12,895.39	15,000.00	-2,104.61	759.69	5.9	0.9
2,109.705	ASG Managed Futures Strategy Fund	9.1000	19,198.32	20,000.00	-801.68	116.03	0.6	1.4
4,595.588	ASG Global Alternatives Fund-Y	10.7200	49,264.70	50,000.00	-735.30	0.00	0.0	3.5
Total alternative investments			**$ 101,280.59**	**$ 105,000.00**	**$ -3,719.41**	**$ 886.61**		**7.3%**
Total assets			**$ 1,394,986.49**	**$ 1,194,293.64**	**$ 200,692.85**	**$ 31,640.27**		**100.0%**

Asset detail

Cash and cash equivalents

	Description	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
4,155.21	CRA (BNY Mellon, N.A., Member FDIC) (Principal Holding)	$ 4,155.21	$ 4,155.21		$ 0.42	0.0%	0.3%
35,109.93	CRA (BNY Mellon, N.A., Member FDIC) (Income Holding)	35,109.93	35,109.93		3.51	0.0	2.5
	Principal Cash						
	Income Cash						
Total cash and cash equivalents		**$ 39,265.14**	**$ 39,265.14**	**$ 0.00**	**$ 3.93**		**2.6%**

Fixed income

Taxable

Individual securities

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
25,000	United States Treasury Note DTD 5/15/2004 4.75% 5/15/2014 Moody's: AAA S&P: AA+	$ 106.1720	$ 26,543.00	$ 25,026.37	$ 1,516.63	$ 1,187.50	4.5%	1.9%
Total taxable individual securities			$ 26,543.00	$ 25,026.37	$ 1,516.63	$ 1,187.50		1.9%

Pooled vehicle

Shares/par value	Description	Market price	Market value	Tax cost	Unrealized gain (or loss)	Estimated annual income	Current yield	Pct of holdings
6,019.262	BNY Mellon Corporate Bond Fund	$ 13.0300	$ 78,430.98	$ 75,000.00	$ 3,430.98	$ 1,980.34	2.5%	5.6%
22,357.802	BNY Mellon Intermediate Bond Fund	13.2000	295,122.99	271,626.25	23,496.74	7,735.80	2.6	21.2
7,412.898	BNY Mellon Bond Fund	13.5800	100,667.15	100,000.00	667.15	3,135.66	3.1	7.2
Total taxable pooled vehicle			$ 474,221.12	$ 446,626.25	$ 27,594.87	$ 12,851.80		34.0%
Total taxable fixed income			**$ 500,764.12**	**$ 471,652.62**	**$ 29,111.50**	**$ 14,039.30**		**35.9%**

Income for 2012

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
EMC CORP(MASS) USD 0.01	EMC	268648102	20	05/02/11	01/05/12	$435 45	$567 86	$-132 41
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	10	05/13/11	01/05/12	$335.92	$322 53	$13 39
ROBERT HALF INTL INC	RHI	770323103	10	12/05/11	01/05/12	$285 02	$279 54	$5.48
CITIGROUP INC	C	172967424	10	01/31/11	01/05/12	$284 63	$483.80	$-199 17
TERADATA CORP DEL	TDC	88076W103	6	03/03/11	01/05/12	$292 39	$299.67	$-7 28
TERADATA CORP DEL	TDC	88076W103	4	03/03/11	01/05/12	$194.93	$200.04	$-5.11
UNILEVER PLC AMER SHS ADR NEW	UL	904767704	10	05/16/11	01/05/12	$335 92	$321 22	$14 70
ELECTRONIC ARTS	EA	285512109	30	08/12/11	01/05/12	$618.93	$592 13	$26 80
PEPSICO INC	PEP	713448108	20	05/13/11	01/05/12	$1,324.62	$1,411 03	$-86.41
LINCOLN NATIONAL CORP	LNC	534187109	20	08/12/11	01/05/12	$397 84	$450 05	$-52.21
CBS CORP NEW	CBS	124857202	20	05/05/11	01/05/12	$555 63	$536 78	$18.85
PPL CORP	PPL	69351T106	10	04/04/11	01/05/12	$286 23	$258.63	$27 60
TRANSCANADA CORP	TRP	89353D107	10	10/18/11	01/05/12	$431 32	$429.34	$1 98
TRANSCANADA CORP	TRP	89353D107	10	10/19/11	01/05/12	$431 32	$426.88	$4.44

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ST JUDE MEDICAL INC	STJ	790849103	10	04/27/11	01/05/12	$347.10	$529 05	$-181.95
PHILIP MORRIS INTERNATIONAL	PM	718172109	10	10/31/11	01/05/12	$783 82	$710 98	$72 84
BAXTER INTL INC COM	BAX	071813109	10	11/02/11	01/05/12	$497.12	$545 73	$-48 61
NETAPP INC	NTAP	64110D104	10	10/18/11	01/05/12	$345 63	$395.55	$-49.92
ENSCO INTERNATIONAL PLC		29358Q109	10	03/24/11	01/05/12	$483 32	$576 15	$-92.83
CHUBB CORPORATION COM	CB	171232101	10	10/18/11	01/05/12	$694 51	$636 63	$57.88
INTERCONTINENTALEXCHANGE INC	ICE	45865V100	3	10/18/11	01/05/12	$347.09	$372 90	$-25.81
INTERCONTINENTALEXCHANGE INC	ICE	45865V100	1	10/19/11	01/05/12	$115 70	$124 02	$-8.32
EOG RES INC	EOG	26875P101	4	11/11/11	01/05/12	$404 44	$415 03	$-10 59
LORILLARD INC	LO	544147101	1	08/22/11	01/05/12	$113 65	$108.86	$4.79
AMAZON COM INC	AMZN	023135106	2	05/02/11	01/05/12	$351.05	$402 04	$-50 99
COGNIZANT TECHNOLOGY SOLUTIO	CTSH	192446102	10	10/12/11	01/05/12	$660 52	$718.43	$-57 91
ZIMMER HLDGS INC	ZMH	98956P102	10	05/11/11	01/05/12	$528 10	$691 83	$-163 73
PPL CORP	PPL	69351T106	20	04/05/11	01/20/12	$549 95	$515 47	$34.48
PPL CORP	PPL	69351T106	50	04/04/11	01/20/12	$1,374.88	$1,293.16	$81.72
LINCOLN NATIONAL CORP	LNC	534187109	12	08/12/11	01/26/12	$247.96	$270 03	$-22 07
LINCOLN NATIONAL CORP	LNC	534187109	47	08/12/11	01/27/12	$983 29	$1,057.63	$-74 34
LINCOLN NATIONAL CORP	LNC	534187109	31	08/12/11	01/30/12	$648 20	$697 59	$-49.39
PEOPLES DREYFUS S&P MIDCAP INI	PESPX	712223106	150.53	12/28/11	04/12/12	$4,345 89	$3,865.69	$480 20
PEOPLES DREYFUS S&P MIDCAP INI	PESPX	712223106	1.68	03/27/12	04/12/12	$48.50	$49.66	$-1.16
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	2 31	12/15/11	04/12/12	$30 33	$30.03	$0 30
CITIGROUP INC	C	172967424	30	03/22/12	05/14/12	$851 38	$1,109 75	$-258 37
ELECTRONIC ARTS	EA	285512109	22	08/12/11	06/14/12	$270 61	$434 23	$-163 62
BAXTER INTL INC COM	BAX	071813109	10	11/02/11	06/14/12	$491.39	$545.73	$-54 34
BAXTER INTL INC COM	BAX	071813109	20	08/17/11	06/15/12	$983 60	$1,070 94	$-87.34
BAXTER INTL INC COM	BAX	071813109	20	08/10/11	06/15/12	$983.60	$1,026.67	$-43.07
ELECTRONIC ARTS	EA	285512109	68	08/12/11	06/15/12	$840 43	$1,342 16	$-501 73
ELECTRONIC ARTS	EA	285512109	1	08/12/11	06/15/12	$12 24	$19 74	$-7 50

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BAXTER INTL INC COM	BAX	071813109	20	08/18/11	06/15/12	$983 60	$1,049 36	$-65.76
ELECTRONIC ARTS	EA	285512109	4	08/12/11	06/15/12	$49 31	$78 95	$-29 64
ELECTRONIC ARTS	EA	285512109	5	08/12/11	06/15/12	$61 77	$98 69	$-36 92
DREYFUS/NEWTON INTERN EQTY-I	SNIEX	26203E604	25 32	12/14/11	06/20/12	$392 65	$356 71	$35 94
NETAPP INC	NTAP	64110D104	3	08/19/11	06/20/12	$92 65	$108 18	$-15 53
NETAPP INC	NTAP	64110D104	10	10/18/11	06/20/12	$308 83	$395.55	$-86 72
NETAPP INC	NTAP	64110D104	10	08/23/11	06/20/12	$308 83	$368 92	$-60 09
NETAPP INC	NTAP	64110D104	8	08/22/11	06/20/12	$247 50	$285 00	$-37 50
NETAPP INC	NTAP	64110D104	2	08/22/11	06/21/12	$60 56	$71 25	$-10 69
NETAPP INC	NTAP	64110D104	20	08/22/11	06/21/12	$598 62	$712.50	$-113 88
DIRECTV - CLASS A	DTV	25490A101	1	01/20/12	07/20/12	$48 39	$43.08	$5 31
DIRECTV - CLASS A	DTV	25490A101	28	01/20/12	07/23/12	$1,324 87	$1,206 16	$118 71
DIRECTV - CLASS A	DTV	25490A101	19	01/20/12	07/24/12	$891 35	$818.46	$72 89
DIRECTV - CLASS A	DTV	25490A101	12	01/20/12	07/24/12	$561 38	$516 93	$44 45
LORILLARD INC	LO	544147101	4	02/16/12	09/12/12	$463.87	$505 30	$-41.43
RALCORP HLDGS INC NEW	RAH	751028101	10	03/29/12	11/27/12	$887 89	$736 14	$151 75
COOPER INDUSTRIES PLC-CL A	CBE	G24140108	40	01/01/12	12/03/12	$3,166 87	$2,550 82	$616 05
EATON CORPORATION COMMON	ETN	278058102	30	01/01/12	12/05/12	$1,549 65	$1,470.26	$79 39
DR PEPPER SNAPPLE GROUP INC	DPS	26138E109	7	06/15/12	12/06/12	$317 85	$301 25	$16 60
DR PEPPER SNAPPLE GROUP INC	DPS	26138E109	3	06/15/12	12/07/12	$136 36	$129 11	$7 25
DR PEPPER SNAPPLE GROUP INC	DPS	26138E109	8	06/15/12	12/07/12	$363 63	$343.70	$19 93
DR PEPPER SNAPPLE GROUP INC	DPS	26138E109	3	06/15/12	12/07/12	$136 36	$128.89	$7 47
DR PEPPER SNAPPLE GROUP INC	DPS	26138E109	1	06/14/12	12/07/12	$45 45	$42.84	$2 61
DR PEPPER SNAPPLE GROUP INC	DPS	26138E109	5	06/14/12	12/07/12	$227 27	$214.48	$12.79
DR PEPPER SNAPPLE GROUP INC	DPS	26138E109	3	06/14/12	12/10/12	$137 45	$128 50	$8 95
MERCK & CO INC	MRK	58933Y105	47	05/14/12	12/21/12	$1,952 44	$1,803 48	$148 96
MERCK & CO INC	MRK	58933Y105	3	05/14/12	12/21/12	$124 98	$115 12	$9 86
Total short term gain/loss from sales:						$39,984 83	$40,714 81	$-729 98

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss / Wash sale loss disallowed
NATIONAL-OILWELL INC	NOV	637071101	10	12/09/11	01/05/12	$697 40	$725 62		$-28 22
								$0 00	$28 22
EATON CORP PLC	ETN	G29183103	0 99	11/30/12	12/14/12	$51 18	$51.24		$-0 06
								$0 00	$0 06
Total short term gain/loss from sales:						$748 58	$776.86		$-28 28
Total short term loss disallowed from wash sales:									$28.28
Total short term Section 988 translation gain/loss from securities subject to wash sale:								$0 00	

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
NEWS CORP	NWS	65248E203	30	01/22/04	01/05/12	$555 35	$576 66	$-21.31
MORGAN STANLEY	MS 13	617446HR3	15000	05/28/04	01/05/12	$15,239 25	$14,843 70	$395 55
ORACLE CORPORATION	ORCL	68389X105	50	02/08/07	01/05/12	$1,329 09	$839 16	$489 93
PFIZER INC COM	PFE	717081103	60	07/09/09	01/05/12	$1,290.10	$859.80	$430 30
J P MORGAN CHASE & CO	JPM	46625H100	30	01/02/03	01/05/12	$1,056 95	$757 80	$299 15
WELLS FARGO & CO NEW	WFC	949746101	10	09/02/10	01/05/12	$288 22	$249.28	$38 94
WELLS FARGO & CO NEW	WFC	949746101	10	09/02/10	01/05/12	$288 22	$249 24	$38.98
WELLS FARGO & CO NEW	WFC	949746101	10	09/02/10	01/05/12	$288 22	$249.74	$38 48
WELLS FARGO & CO NEW	WFC	949746101	10	09/02/10	01/05/12	$288 22	$249 03	$39 19
WELLS FARGO & CO NEW	WFC	949746101	10	09/02/10	01/05/12	$288 22	$248 17	$40 05
GENERAL ELECTRIC CO	GE	369604103	70	11/03/04	01/05/12	$1,288 82	$2,402 83	$-1,114 01
MERCK & CO INC	MRK	58933Y105	20	02/08/07	01/05/12	$775 65	$490 52	$285 13
QUALCOMM INC	QCOM	747525103	10	08/11/10	01/05/12	$557.11	$393 45	$163 66

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
QUALCOMM INC	QCOM	747525103	10	08/11/10	01/05/12	$557 11	$392 55	$164.56
AT&T INC	T	00206R102	30	02/08/07	01/05/12	$908 76	$1,119 29	$-210 53
VALE SA-SP ADR	VALE	91912E105	30	05/10/06	01/05/12	$680 75	$432 31	$248 44
FORD MOTOR CO DEL	F	345370860	11	11/22/10	01/05/12	$125 09	$181 96	$-56 87
FORD MOTOR CO DEL	F	345370860	39	11/22/10	01/05/12	$443 50	$643 10	$-199 60
BANK AMER CORP	BAC	060505104	20	06/15/09	01/05/12	$120 44	$265 65	$-145 21
BANK AMER CORP	BAC	060505104	30	07/09/09	01/05/12	$180.66	$366 30	$-185 64
NEXTERA ENERGY INC	NEE	65339F101	3	09/06/10	01/05/12	$177.96	$171.97	$5 99
NEXTERA ENERGY INC	NEE	65339F101	17	09/05/10	01/05/12	$1,009.65	$970.82	$38 83
ALLSCRIPTS HEALTHCARE SOLU US	MDRX	01988P108	20	08/10/10	01/05/12	$359.83	$341 26	$18 57
OMNICOM GROUP INC COM	OMC	681919106	20	02/08/07	01/05/12	$884 42	$1,062.90	$-178 48
AMERICAN EXPRESS COMPANY	AXP	025816109	20	06/21/10	01/05/12	$972 64	$849.65	$122 99
EXXON MOBIL CORP	XOM	30231G102	20	11/30/99	01/05/12	$1,709 60	$795 03	$914 57
TYCO INTERNATIONAL LTD	TYC	H89128104	20	03/16/09	01/05/12	$956 44	$389 39	$567 05
HONEYWELL INTL INC	HON	438516106	20	01/14/04	01/05/12	$1,109 22	$714.70	$394 52
NORFOLK SOUTHERN CORP	NSC	655844108	10	11/04/09	01/05/12	$757.50	$498 48	$259 02
COVIDIEN PLC	COV	G2554F113	10	01/03/11	01/05/12	$449 92	$472.08	$-22 16
LIMITED INC	LTD	532716107	10	10/06/09	01/05/12	$391 92	$180 58	$211.34
AMERIPRISE FINL INC	AMP	03076C106	10	07/09/09	01/05/12	$502 22	$226.80	$275 42
MCKESSON CORPORATION	MCK	58155Q103	10	10/07/09	01/05/12	$781 22	$591.23	$189.99
PROCTER & GAMBLE CO COM	PG	742718109	20	10/10/03	01/05/12	$1,329 23	$954 95	$374 28
INFORMATICA CORP	INFA	45666Q102	5	08/13/10	01/05/12	$178.51	$152.82	$25 69
INFORMATICA CORP	INFA	45666Q102	5	08/13/10	01/05/12	$178 51	$152.43	$26 08
APACHE CORPORATION COM	APA	037411105	7	05/06/03	01/05/12	$671.24	$205 24	$466 00
APACHE CORPORATION COM	APA	037411105	3	05/05/03	01/05/12	$287 68	$87 64	$200 04
CIGNA CORP COM	CI	125509109	10	06/22/09	01/05/12	$429.11	$244 11	$185 00
ALLIANCE DATA SYS CORP	ADS	018581108	9	05/29/08	01/05/12	$919 18	$546 61	$372 57
HESS CORP	HES	42809H107	10	09/29/04	01/05/12	$581 30	$293 60	$287 70

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DANAHER CORP COMMON	DHR	235851102	10	08/05/05	01/05/12	$479.72	$282 32	$197 40
CARNIVAL CORP	CCL	143658300	10	07/01/10	01/05/12	$328 02	$312 30	$15 72
OCCIDENTAL PETROLEUM CORPOR/	OXY	674599105	10	04/23/09	01/05/12	$956 71	$555 33	$401 38
ENERGIZER HLDGS INC	ENR	29266R108	6	11/15/10	01/05/12	$467.71	$425 64	$42 07
ENERGIZER HLDGS INC	ENR	29266R108	4	11/15/10	01/05/12	$311 81	$282 79	$29 02
AUTOLIV INC COM	ALV	052800109	10	05/28/09	01/05/12	$554 70	$259 23	$295.47
INTERNATIONAL BUSINESS MACHINI	IBM	459200101	4	01/23/08	01/05/12	$735 22	$425 08	$310 14
PRAXAIR INC	PX	74005P104	8	06/25/04	01/05/12	$863 20	$311 37	$551.83
CUMMINS ENGINE INC	CMI	231021106	10	05/01/09	01/05/12	$935 92	$341 32	$594 60
CATERPILLAR INC	CAT	149123101	10	06/16/10	01/05/12	$949 63	$643 48	$306 15
E I DU PONT DE NEMOURS & CO CO	DD	263534109	10	04/14/09	01/05/12	$466.22	$266 01	$200.21
ANADARKO PETE CORP	APC	032511107	10	06/18/10	01/05/12	$798 83	$425 36	$373.47
CAPITAL ONE FINL CORP COM	COF	14040H105	10	09/30/10	01/05/12	$452 42	$395 90	$56 52
APPLE COMPUTER INC COM	AAPL	037833100	7	07/08/08	01/05/12	$2,904 82	$1,238 73	$1,666 09
CONOCOPHILLIPS		20825CAE4	15000	05/21/04	01/05/12	$15,454.35	$14,839 21	$615 14
HOUSEHOLD FIN CORP	HBC 13	441812KD5	15000	04/11/05	01/05/12	$15,339 90	$14,869 57	$470 33
NEWS CORP	NWS	65248E203	10	01/21/04	01/05/12	$185.12	$188 88	$-3 76
OMNICOM GROUP INC COM	OMC	681919106	3	02/08/07	01/20/12	$140 79	$159.44	$-18.65
OMNICOM GROUP INC COM	OMC	681919106	7	02/08/07	01/20/12	$327 76	$372 01	$-44 25
OMNICOM GROUP INC COM	OMC	681919106	5	01/07/11	01/20/12	$234 11	$237 19	$-3 08
OMNICOM GROUP INC COM	OMC	681919106	1	01/06/11	01/20/12	$46 82	$47 37	$-0 55
CHUBB CORPORATION COM	CB	171232101	10	02/08/07	01/20/12	$708 05	$529 86	$178 19
CHUBB CORPORATION COM	CB	171232101	10	11/21/07	01/20/12	$707 66	$521 09	$186 57
OMNICOM GROUP INC COM	OMC	681919106	9	01/06/11	01/23/12	$415 49	$426 33	$-10 84
OMNICOM GROUP INC COM	OMC	681919106	9	01/07/11	01/23/12	$415.49	$422 94	$-7.45
OMNICOM GROUP INC COM	OMC	681919106	22	01/07/11	01/24/12	$1,013 81	$1,033.86	$-20 05
OMNICOM GROUP INC COM	OMC	681919106	10	07/09/09	01/25/12	$461 81	$302 60	$159 21
OMNICOM GROUP INC COM	OMC	681919106	4	01/07/11	01/25/12	$184 73	$187 97	$-3 24

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
HESS CORP	HES	42809H107	14	09/29/04	01/26/12	$769 36	$411 04	$358 32
HESS CORP	HES	42809H107	15	09/29/04	01/27/12	$827 24	$440 41	$386 83
HESS CORP	HES	42809H107	2	09/29/04	01/27/12	$110 41	$58 72	$51 69
HESS CORP	HES	42809H107	5	09/29/04	01/27/12	$275 18	$146 80	$128 38
NORFOLK SOUTHERN CORP	NSC	655844108	7	11/03/09	02/16/12	$479 49	$344 04	$135 45
NORFOLK SOUTHERN CORP	NSC	655844108	10	11/03/09	02/16/12	$685 50	$491.45	$194.05
NORFOLK SOUTHERN CORP	NSC	655844108	3	11/03/09	02/16/12	$205 49	$147 43	$58 06
NORFOLK SOUTHERN CORP	NSC	655844108	20	11/04/09	02/16/12	$1,369 96	$996 95	$373.01
HONEYWELL INTL INC	HON	438516106	40	01/14/04	03/13/12	$2,408 89	$1,429 40	$979 49
HONEYWELL INTL INC	HON	438516106	10	07/09/09	03/13/12	$602.22	$296 40	$305.82
GOOGLE INC	GOOG	38259P508	2	06/05/09	03/22/12	$1,289 50	$885.08	$404 42
PROCTER & GAMBLE CO COM	PG	742718109	2	10/10/03	03/27/12	$134.30	$95.50	$38.80
PROCTER & GAMBLE CO COM	PG	742718109	21	10/10/03	03/27/12	$1,410 23	$1,002 70	$407.53
PROCTER & GAMBLE CO COM	PG	742718109	15	10/10/03	03/28/12	$1,004 70	$716 21	$288.49
PROCTER & GAMBLE CO COM	PG	742718109	2	10/10/03	03/28/12	$134 17	$95 50	$38 67
E I DU PONT DE NEMOURS & CO CO	DD	263534109	14	04/14/09	03/29/12	$735 41	$372.41	$363 00
E I DU PONT DE NEMOURS & CO CO	DD	263534109	6	04/14/09	03/29/12	$315 07	$159 61	$155 46
E I DU PONT DE NEMOURS & CO CO	DD	263534109	10	07/09/09	03/29/12	$525.11	$246 10	$279 01
TYCO INTERNATIONAL LTD	TYC	H89128104	20	03/16/09	03/29/12	$1,118.64	$389.38	$729 26
ORACLE CORPORATION	ORCL	68389X105	60	02/08/07	03/30/12	$1,749.25	$1,006 99	$742 26
PEOPLES DREYFUS S&P MIDCAP IN[	PESPX	712223106	1949 86	01/02/03	04/12/12	$56,292 48	$35,000 00	$21,292 48
PEOPLES DREYFUS S&P MIDCAP IN[	PESPX	712223106	169 43	09/08/03	04/12/12	$4,891.41	$3,664.75	$1,226.66
PEOPLES DREYFUS S&P MIDCAP IN[	PESPX	712223106	55.04	12/29/10	04/12/12	$1,588 98	$1,543.29	$45 69
PEOPLES DREYFUS S&P MIDCAP IN[	PESPX	712223106	0 95	03/29/11	04/12/12	$27.54	$28 64	$-1 10
PEOPLES DREYFUS S&P MIDCAP IN[	PESPX	712223106	443 55	03/21/03	04/12/12	$12,805 20	$7,646 75	$5,158 45
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	7689 72	10/30/06	04/12/12	$101,042.97	$93,891 54	$7,151 43
BNY MELLON INTERMEDIATE BOND I	MPIBX	05569M814	7528 67	03/19/07	04/12/12	$98,926 71	$92,226 19	$6,700 52
MCKESSON CORPORATION	MCK	58155Q103	10	10/07/09	04/27/12	$912 76	$590 13	$322 63

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
MCKESSON CORPORATION	MCK	58155Q103	20	02/04/09	04/30/12	$1,829 76	$911 39	$918 37
PHILLIPS 66	PSX	718546104	2	10/10/03	05/03/12	$62 85	$27 44	$35.41
PHILLIPS 66	PSX	718546104	18	10/10/03	05/03/12	$568 77	$246 91	$321 86
CITIGROUP INC	C	172967424	2	01/31/11	05/14/12	$56 76	$96 52	$-39 76
CITIGROUP INC	C	172967424	6	01/31/11	05/14/12	$168 53	$289 70	$-121 17
CITIGROUP INC	C	172967424	11	01/31/11	05/14/12	$308 96	$531 18	$-222 22
CITIGROUP INC	C	172967424	5	01/31/11	05/14/12	$140.44	$241.50	$-101 06
CITIGROUP INC	C	172967424	5	01/31/11	05/14/12	$141.90	$239 89	$-97 99
CITIGROUP INC	C	172967424	3	01/31/11	05/14/12	$84 26	$144 89	$-60.63
CITIGROUP INC	C	172967424	2	01/31/11	05/14/12	$56 18	$96 60	$-40 42
CITIGROUP INC	C	172967424	2	01/31/11	05/14/12	$56 18	$96.74	$-40.56
ENERGIZER HLDGS INC	ENR	29266R108	2	11/15/10	06/07/12	$145 62	$141 39	$4 23
CUMMINS ENGINE INC	CMI	231021106	2	05/01/09	06/07/12	$194 40	$68 26	$126 14
CUMMINS ENGINE INC	CMI	231021106	10	07/09/09	06/08/12	$964 24	$329 10	$635 14
CUMMINS ENGINE INC	CMI	231021106	10	05/01/09	06/08/12	$964 24	$340 45	$623.79
CUMMINS ENGINE INC	CMI	231021106	3	05/01/09	06/08/12	$289 27	$102.40	$186 87
ENERGIZER HLDGS INC	ENR	29266R108	7	11/15/10	06/08/12	$513 90	$494 88	$19.02
ENERGIZER HLDGS INC	ENR	29266R108	1	11/12/10	06/08/12	$73 41	$69 08	$4 33
ENERGIZER HLDGS INC	ENR	29266R108	10	11/12/10	06/08/12	$734 14	$694.82	$39 32
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	713 72	10/03/03	06/20/12	$6,330.69	$9,000 00	$-2,669 31
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	247.41	12/18/07	06/20/12	$2,194 54	$3,641 89	$-1,447.35
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	410.51	09/08/03	06/20/12	$3,641.22	$5,000.00	$-1,358.78
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	709 85	07/11/03	06/20/12	$6,296 36	$8,000.00	$-1,703 64
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	464 68	06/02/03	06/20/12	$4,121 75	$5,000 00	$-878 25
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	809 06	03/21/03	06/20/12	$7,176.37	$7,500 00	$-323.63
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	1084 81	01/02/03	06/20/12	$9,622 29	$11,000 00	$-1,377 71
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	1065 89	11/04/02	06/20/12	$9,454 45	$11,000 00	$-1,545 55
BNY MELLON INTL FD CL M SHSS	MPITX	05569M871	213 19	12/16/08	06/20/12	$1,891 00	$1,807.86	$83 14

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DREYFUS/NEWTON INTERN EQTY-I	SNIEX	26203E604	1433 69	07/09/09	06/20/12	$22,236.56	$20,000.00	$2,236 56
DREYFUS/NEWTON INTERN EQTY-I	SNIEX	26203E604	21 67	12/15/10	06/20/12	$336 04	$375 91	$-39 87
TERADATA CORP DEL	TDC	88076W103	10	03/03/11	07/06/12	$651 52	$499 45	$152 07
TERADATA CORP DEL	TDC	88076W103	10	03/03/11	07/09/12	$655 23	$498 54	$156 69
ST JUDE MEDICAL INC	STJ	790849103	10	03/20/11	07/18/12	$375.36	$523 49	$-148 13
ST JUDE MEDICAL INC	STJ	790849103	6	04/26/11	07/18/12	$225 22	$312 48	$-87.26
ST JUDE MEDICAL INC	STJ	790849103	30	03/22/11	07/18/12	$1,126 09	$1,544 11	$-418.02
ST JUDE MEDICAL INC	STJ	790849103	1	04/27/11	07/18/12	$37 22	$52 90	$-15 68
ST JUDE MEDICAL INC	STJ	790849103	13	04/27/11	07/18/12	$487 97	$687 76	$-199 79
LIMITED INC	LTD	532716107	1	10/06/09	07/20/12	$46 30	$18 06	$28 24
LIMITED INC	LTD	532716107	9	10/06/09	07/23/12	$410.00	$162 51	$247 49
LIMITED INC	LTD	532716107	10	10/05/09	07/23/12	$455 56	$173 32	$282 24
LIMITED INC	LTD	532716107	10	10/05/09	07/24/12	$448 79	$173 31	$275 48
LIMITED INC	LTD	532716107	8	10/05/09	07/24/12	$359.04	$137 90	$221 14
LIMITED INC	LTD	532716107	12	10/05/09	07/24/12	$533.72	$206 85	$326 87
LORILLARD INC	LO	544147101	2	08/22/11	09/12/12	$231 93	$217 71	$14.22
LORILLARD INC	LO	544147101	1	08/22/11	09/13/12	$116 54	$108.54	$8 00
LORILLARD INC	LO	544147101	14	08/22/11	09/13/12	$1,631.56	$1,523.98	$107 58
ISHARES GSCI COMMODITY-INDEXEI	GSG	46428R107	400	07/09/09	09/18/12	$13,712 53	$10,759 00	$2,953 53
RYDEX MANAGED FUTURES STRATE	RYYMX	78356A160	2090 46	03/29/11	10/01/12	$45,488 40	$55,000.00	$-9,511 60
ZIMMER HLDGS INC	ZMH	98956P102	7	05/11/11	10/12/12	$435 75	$484.27	$-48 52
ZIMMER HLDGS INC	ZMH	98956P102	3	05/11/11	10/15/12	$188.18	$207.55	$-19 37
ZIMMER HLDGS INC	ZMH	98956P102	8	05/10/11	10/15/12	$501 82	$552 30	$-50.48
ZIMMER HLDGS INC	ZMH	98956P102	10	05/09/11	10/16/12	$638.66	$680.21	$-41 55
ZIMMER HLDGS INC	ZMH	98956P102	2	05/10/11	10/16/12	$127.73	$138.08	$-10 35
PENTAIR LTD	PNR	H6169Q108	0.6	03/16/09	11/02/12	$27.48	$9 16	$18 32
VALE SA-SP ADR	VALE	91912E105	14	05/10/06	12/04/12	$239 96	$201 74	$38 22
CATERPILLAR INC	CAT	149123101	4	06/16/10	12/04/12	$336 74	$257 39	$79 35

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CATERPILLAR INC	CAT	149123101	22	06/16/10	12/05/12	$1,889 44	$1,415 66	$473 78
VALE SA-SP ADR	VALE	91912E105	6	05/10/06	12/05/12	$105 83	$86 46	$19 37
VALE SA-SP ADR	VALE	91912E105	60	10/30/06	12/05/12	$1,058 35	$751 80	$306 55
MERCK & CO INC	MRK	58933Y105	20	02/08/07	12/21/12	$833 19	$490 52	$342 67
AT&T INC	T	00206R102	50	02/08/07	12/21/12	$1,677 40	$1,865 48	$-188 08
PENTAIR LTD	PNR	H6169Q108	9	03/16/09	12/21/12	$434 15	$137.92	$296 23
GENERAL ELECTRIC CO	GE	369604103	20	11/03/04	12/26/12	$414.89	$686 52	$-271 63
GENERAL ELECTRIC CO	GE	369604103	20	09/08/03	12/26/12	$414 89	$629 00	$-214 11
GENERAL ELECTRIC CO	GE	369604103	10	09/08/03	12/27/12	$205 63	$314 50	$-108 87
GENERAL ELECTRIC CO	GE	369604103	30	10/03/03	12/27/12	$616 88	$938.10	$-321 22
GENERAL ELECTRIC CO	GE	369604103	10	11/03/03	12/27/12	$205 63	$288.60	$-82 97
Total long term gain/loss from sales:						$538,666 68	$490,563 39	$48,103 29