

### See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

Depa	rtmen al Re	t of the Treasury venue Service	N	lote. The fo	oundation may	i <b>rea</b> te be able t	o use a copy of this	s return to sat	sfy state repo	rting requirements.	Open to public Inspection
For	calen	ndar year 2012	or tax year	beginning	<u> </u>			, and e	nding (		<del></del>
Nar	ne of	f foundation								A Employer identification	n number
		NGENSTE				_	ATION TRU	ST	1	65-6266949	)
		and street (or PO WAUWINE			delivered to street	address)			Room/suite	B Telephone number 585-248-27	68
-		own, state, and TON, MA		165						C If exemption application is	pending, check here
		all that apply		Initial retu	ırn		Initial return of a f	ormer public	charity	D 1. Foreign organization	s, check here
				Final retui			Amended return Name change			Foreign organizations m check here and attach c	eeting the 85% test, pomputation
H C		type of organi ection 4947(a)(					rivate foundation exable private found	ation		E If private foundation sta under section 507(b)(1	
I Fa		arket value of a			J Account	ng meth	od X Cash	Acc	rual	F If the foundation is in a	
	rom l •\$	Part II, col. (c)		7,910		ther (spe mn (d)	ecify) must be on cash	basis.)		under section 507(b)(1	)(B), check here ► L
Pa	irt l	Analysis of am (The total of am necessarily equ	Revenue an	d Expense		(a	) Revenue and benses per books	(b) Net ir	vestment ome	(c) Adjusted net income	(d) Disbursements for chantable purposes (cash basis only)
	1	Contributions	gifts, grant	ts, etc , rec	eived					N/A	
	2	Check ► X			d to attach Sch 8						
	3	Interest on savir cash investment	gs and tempo s	orary			14,622.		4,622.		STATEMENT 1
	4	Dividends and	interest fro	om securiti	es	<u> </u>	35,683.	3	5,683.	<u> </u>	STATEMENT 2
		Gross rents	•						<del></del>		
	b Net rental income or (loss)  6a Net gain or (loss) from sale of assets not on line 10  b Gross sales price for all assets on line 6a  7 Capital gain net income (from Part IV, line 2)			ļ	<1,381.	<del> </del>	**** **********************************	·,, .·,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	<del></del>		
ě					<u> </u>						
Revenue				<b></b>			0.	11.7.1111111111111111111111111111111111	<del></del>		
Ŗ	8	Net short-tern			2)		·····				
	9	Income modif	. •	""							1,
	10a	Gross sales less					······································		······		
		Less Cost of go	ods sold					· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·		
	l	Gross profit o	'			<u> </u>					
	11		, ,								
	12	Total. Add lin	es 1 throug	ıh 11			48,924.		0,305.		
	13	Compensation of	of officers, dire	ectors, truste	es, etc		0.		0.		0.
	14	Other employ		-				ļ		<u> </u>	<u> </u>
ø	15	Pension plans	, employee	benefits				<u> </u>			
Se	16a	Legal fees			m)(m )		2 100		1 550		1 550
De l	b	Accounting fe			TMT 3	-	3,100. 9,919.		1,550. 9,919.		1,550.
Û	C	Other profess	ional fees	5	TMT 4	-	9,919.		9,919.		0.
@?; <b>≧</b>	17	Interest			4			<del></del>			
Str	18 19	Taxes	nd danistis	257	\		·				<del>                                     </del>
©.⊑	20	Depreciation a	ing depiction		ري <sup>ز</sup>	-		<b>†</b>			
€.₽	21			meetings	/ç,						<del>                                     </del>
>≥2	22	Travel, conter Printing and p	ublications	2013	\&\						
	23	Other expense	EN B	عر ملك لا	<b>₽</b> ₩T \5		144.	,	144.		0.
ating a	24	Total operation		<b>Malstrative</b>							
		expenses. Ag				L	13,163. 173,318.	.  1	1,613.		1,550. 173,318.
<u></u>	25	Contributions					173,318.	,			173,318.
SCANINED NOW 2.2. 2013. SCANINED and Administrative Expenses	26	Total expens			s.						
35		Add lines 24 a	nd 25			<u> </u>	186,481.	1	1,613.		174,868.
Ø	27	Subtract line	26 from line	12							
	a	Excess of reven	ue over exper	nses and dis	bursements		<137 <b>,</b> 557.	· P		<u></u>	
	t	Net investme	nt income (	(if negative, e	enter -0-)			43	8,692.		<u> </u>
_	0	Adjusted net	income (if n	negative, ente	er -0-)	1		<u> </u>		N/A	<u> </u>

Forn	n 99	0-PF(2012) KLINGENSTEIN CHARITABLE	FOUNDATION TR		6266949 Page 2
P	ert	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year		f year
	<u> </u>	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	· · · · · ·	72,460.	37,597.	37,597.
		, ,		<del></del>	
ĺ	3	Accounts receivable			
ļ		Less allowance for doubtful accounts	<del></del>		
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable .			
	6	Receivables due from officers, directors, trustees, and other			
İ		disqualified persons			
	7	Other notes and loans receivable			
}		Less allowance for doubtful accounts			
इं	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges	00 045		5 A . C E O
•		Investments - U.S. and state government obligations STMT 7	99,345.	50,793.	54,650.
	b	Investments - corporate stock STMT 8	1,293,327.	646,678.	1,338,958.
	C	Investments - corporate bonds STMT 9	640,984.	193,968.	206,705.
	11	Investments - land, buildings, and equipment basis			
		Less: accumulated depreciation			
İ	12	Investments - mortgage loans			
	13	Investments - other			
j	14	Land, buildings, and equipment basis			
		Less accumulated depreciation			
	15	Other assets (describe ►)			
				000 006	1 607 010
$\rightarrow$	16	Total assets (to be completed by all filers)	2,106,116.	929,036.	1,637,910.
	17	Accounts payable and accrued expenses			
l	18	Grants payable			
<u>s</u>	19	Deferred revenue			
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
ia	21	Mortgages and other notes payable			
-	22	Other liabilities (describe )			
				•	
	23_		0.	0.	
		Foundations that follow SFAS 117, check here			
ဖွ		and complete lines 24 through 26 and lines 30 and 31.			,
ž	24	Unrestricted			
ala	25	Temporarily restricted			
8	26	Permanently restricted			
ş		Foundations that do not follow SFAS 117, check here			
2		and complete lines 27 through 31.	0.	^	
ş	27	Capital stock, trust principal, or current funds	0.	0.	
Üή	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
ا <u>ک</u> ا	29	Retained earnings, accumulated income, endowment, or other funds	2,106,116.	929,036.	
ž	30	Total net assets or fund balances	2,106,116.	929,036.	
	•	The Authoritation of the Authoritation of the Authoritation	2 106 116	929,036.	
	31	Total liabilities and net assets/fund balances	2,106,116.	929,030.	
Pa	rt	Analysis of Changes in Net Assets or Fund B	alances		
		I net assets or fund balances at beginning of year - Part II, column (a), line	30		
		t net assets or fund balances at beginning of year - Part 11, column (a), line st agree with end-of-year figure reported on prior year's return)	<b>U</b> U	1	2,106,116.
		r amount from Part I, line 27a		2	<137,557.
		r increases not included in line 2 (itemize)		3	0.
				4	1,968,559.
		lines 1, 2, and 3 eases not included in line 2 (itemize)	SEE STA	TEMENT 6 5	1,039,523.
		I net assets or fund balances at end of year (line 4 minus line 5) - Part II, co		6	929,036.
<u>.                                    </u>	ULd	THE ASSECT OF TORIG DESCRICES AT THE OF YEAR (1880 4 HIRIDS HIRE S) - FAIL II, U	Action (O), into OO		Form <b>990-PF</b> (2012)

Part IV Capital Gains	and Losses for Tax o	n Investmen	t Income					
	ribe the kind(s) of property sold arehouse, or common stock, 20			(b) How a P - Pur D - Dor	chase	(c) Date ac (mo , day		(d) Date sold (mo , day, yr )
1a GOLDMAN SACHS						05/01		09/01/12
b MORGAN STANLEY	FLT 2012				P	04/23	/09	03/13/12
<u>C</u>								
d								
<u>e</u>								
(e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)			
a 25,000.			25,61					<616.
b 100,000.			100,76	<del>3 •</del>	<del>-</del>			65.</td
<u>C</u>					·			
e								
Complete only for assets showing	ng gain in column (h) and owner	d by the foundation	n on 12/31/69		(1)	Gains (Col	(h) gain	minus
	(j) Adjusted basis	1	cess of col. (I)			(k), but not	t less that	n -0-) <b>or</b>
(i) F M V as of 12/31/69	as of 12/31/69		col (j), if any			Losses (fi	rom coi (	•
a						<u>—</u>		<616.
b							_	<765.
C							-	
d								
е		<u></u> _		_	<u>r</u>			
0 0	∫ If gain, also	enter in Part I, line er -0- in Part I, line	7	<b>}</b>   _				<1,381.
2 Capital gain net income or (net ca	`		7	1 2	+			<u> </u>
3 Net short-term capital gain or (los		(5) and (6)		1				
If gain, also enter in Part I, line 8, If (loss), enter -0- in Part I, line 8	columni (c)			) 3			N/A	
Part V Qualification U	Inder Section 4940(e)	for Reduced	Tax on Net	Investr	ment Inc	ome		· ·
(For optional use by domestic private	e foundations subject to the sec	tion 4940(a) tax on	net investment in	come )				
If conting 4040/d\/2\ applies leave the	hie nart blank							
If section 4940(d)(2) applies, leave ti	nis part biank							
Was the foundation liable for the sec			-	iod?				Yes X No
If "Yes," the foundation does not qual  Enter the appropriate amount in (				atrice				
1 Enter the appropriate amount in (a)	T		Tote making any er		<del> </del>	1		(d)
Base period years Calendar year (or tax year beginni	(b) Adjusted qualifyin		Net value of no	(c) ncharitable	-use assets	(c)		putión ratio rided by col. (c))
2011	ng m/	76,665.			73,758		o. (b) a	.043222
2010		51,809.		1,55	6,505	•		.033285
2009		98,825.			19,325			.069628
2008		114,349.			9,951			.058343
2007		9,525.		2,02	22,951	•		.004708
2 Total of line 1, column (d)						2		.209186
3 Average distribution ratio for the		total on line 2 by 5,	or by the number	of years				041027
the foundation has been in existe	nce if less than 5 years					3		.041837
# Fakaakha aakaalaa af aa aabaaaka	-l	AV lose F						1,840,661.
4 Enter the net value of noncharitat	Die-use assets for 2012 from Pa	irt X, iinė 5				4		1,040,001.
5 Multiply line 4 by line 3						5		77,008.
9 Manuply line 4 by line 5						-		777000
6 Enter 1% of net investment incon	ne (1% of Part I line 27h)					6		387.
Suren i /o oi net mixestilient illeni	110 (170 OLT AIL I, 11110 27 U)							
7 Add lines 5 and 6						7		77,395.
8 Enter qualifying distributions from	n Part XII, line 4					8		174,868.
If line 8 is equal to or greater than	n line 7, check the box in Part Vi	l, line 1b, and comp	plete that part using	g a 1% tax	rate			
See the Part VI instructions	<u> </u>							

Form 990-PF (2012)

	990-PF (2012) KLINGENSTEIN CHARITABLE FOUNDA				<u>62669</u>			Page 4
	rt VI Excise Tax Based on Investment Income (Section 4940		0(e), or 4	948 -	see in	stru	ictio	ns)
1a	Exempt operating foundations described in section 4940(d)(2), check here   and er		)					
	Date of ruling or determination letter: (attach copy of letter if nec		) [				_	^-
þ	Domestic foundations that meet the section 4940(e) requirements in Part V, check here	► X and enter 1%	<b> </b>	1			3	87.
	of Part I, line 27b							
C	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4%	of Part I, line 12, col. (b	). ノ					_
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Ot	thers enter -0-)		2				<u>0.</u>
3	Add lines 1 and 2			3			3	87.
	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Of	thers enter -0-)		4				0.
	Tax based on Investment income. Subtract line 4 from line 3. If zero or less, enter -0-			5		<del></del>		87.
	Credits/Payments	1 1						
а	2012 estimated tax payments and 2011 overpayment credited to 2012		2,920.					
	Exempt foreign organizations - tax withheld at source	6b						
C	Tax paid with application for extension of time to file (Form 8868)	6c						
	Backup withholding erroneously withheld	_6d					2 0	20
	Total credits and payments Add lines 6a through 6d			7			2,9	<u> 20.</u>
	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attack.	ched		8				
	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			9	-		<u> </u>	22
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	2 F22 l-		10			2,5	33.
	Enter the amount of line 10 to be Credited to 2013 estimated tax	2,533. <sub>R</sub>	efunded 🔼	11				0.
	rt VII-A Statements Regarding Activities					<del></del>	Vaa	Na
1a	During the tax year, did the foundation attempt to influence any national, state, or local legisl	lation or did it participate	or intervene	ın	-		Yes	X
	any political campaign?	/	d - 6		-	<u>1a</u>		X
	Did it spend more than \$100 during the year (either directly or indirectly) for political purpos				-	1b		
	If the answer is "Yes" to 1aor 1b, attach a detailed description of the activities and	a copies of any mater	ais publishe	a or				
	distributed by the foundation in connection with the activities.					4.	1	х
	Did the foundation file Form 1120-POL for this year?	waar	•	•	<u> </u>	10		
a	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the  (1) On the foundation   \$ 0 \[ \] (2) On foundation managers		0.					
_	• • • • • • • • • • • • • • • • • • • •							
е	Enter the reimbursement (if any) paid by the foundation during the year for political expendit managers   \$ 0 \[ \]	iture tax imposed on roui	ioation					
2	managers  \$ U .  Has the foundation engaged in any activities that have not previously been reported to the IF	002				2		х
2	If "Yes," attach a detailed description of the activities.	10,			-	<del></del>		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing in	netrument articles of inc	ornoration o	r				
٠	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the change		o.po.u, o	•		3		Х
42	Did the foundation have unrelated business gross income of \$1,000 or more during the year			•		4a		Х
	If "Yes," has it filed a tax return on Form 990-T for this year?	••		N	/A	4b		
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	)			`	5		Х
•	If "Yes," attach the statement required by General Instruction T.							
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied ell	ther						
	By language in the governing instrument, or							
	By state legislation that effectively amends the governing instrument so that no mandatory	y directions that conflict	with the state	law				
	remain in the governing instrument?					6		X
7	Did the foundation have at least \$5,000 in assets at any time during the year?					7	X	
	If "Yes," complete Part II, col. (c), and Part XV.					.,,		
8a	Enter the states to which the foundation reports or with which it is registered (see instruction	ns) ► NONE						
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Al	ttorney General (or desig	nate)					
	of each state as required by General Instruction G? If "No," attach explanation					8b	X	
g	Is the foundation claiming status as a private operating foundation within the meaning of sec	ction 4942(j)(3) or 4942	(j)(5) for cale	ndar	ļ			
	year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," c	omplete Part XIV			L	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedu	ile listing their names and ad-	resses			10		X

orm	1990-PF (2012) KLINGENSTEIN CHARITABLE FOUNDATION TRUST 65-6266	949		Page 5
	art VII-A Statements Regarding Activities (continued)			
	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		Х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement (see instructions)	12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► N/A			
14	The books are in care of ▶ DR R JAMES KLINGENSTEIN  Telephone no ▶585-24	8-2	768	
	Located at ► 2000 WASHINGTON STREET SUITE 543, NEWTON, MA ZIP+4 ►02	162		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		<b>&gt;</b>	· 🔲
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A_	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16		_X_
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign			
	country •			
Pa	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No"			
	if the foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days ) Yes X No			
b	If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations			
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here			
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			
	before the first day of the tax year beginning in 2012?	10		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5))			
а	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
	before 2012? Yes X No			
	If "Yes," list the years $\blacktriangleright$			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			
	statement - see instructions ) N/A	2b		
C	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here			
	<b>-</b>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			
	during the year?			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			
	Form 4720, to determine if the foundation had excess business holdings in 2012.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	,	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that			
	had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		<u> X</u>

orm 990-PF (20					<u>65–6266</u>	<u>949</u>		Page 6
Part VII-B	Statements Regarding Activities for Wh	hich F	orm 4720 May Be F	Required (con	tinued)			
5a During the	year did the foundation pay or incur any amount to		<del></del>					
	on propaganda, or otherwise attempt to influence legislation	(section	4945(e))?		Yes X No			
	ce the outcome of any specific public election (see section 4			ectly,				
	ter registration drive?	•			Yes X No			
	e a grant to an individual for travel, study, or other similar pu	urposes?	•		Yes X No			
	e a grant to an organization other than a charitable, etc., orga							
	(1), (2), or (3), or section 4940(d)(2)?				Yes X No			
	e for any purpose other than religious, charitable, scientific, l	literary (	or educational numoses, or f					
	e for any purpose differ than rengious; chantage, solution, evention of cruelty to children or animals?	,,		<u> </u>	Yes X No			
-	er is "Yes" to 5a(1)-(5), did <b>any</b> of the transactions fail to qui	alıfı undı	ar the eveentions described i		100 (22) 110			
•	4945 or in a current notice regarding disaster assistance (se	•	•		N/A	5b		Ì
	ns relying on a current notice regarding disaster assistance (se		•		. ▶			
•	• •			 unad				
	er is "Yes" to question 5a(4), does the foundation claim exem	прион по		I/A 🔲	Yes 🔲 No			
	e responsibility for the grant?	50 40 <i>4</i> 5			TES LINU			
	ttach the statement required by Regulations section 5							
	ndation, during the year, receive any funds, directly or indire	ectly, to p	ay premiums on		Yes X No			
•	benefit contract?				Tes LA No	Ch.		Х
	ndation, during the year, pay premiums, directly or indirectly	y, on a pe	ersonal benefit contract?			6b		Α
	6b, file Form 8870.				<del>.</del>			
-	during the tax year, was the foundation a party to a prohibit				Yes X No	7b		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A								<u> </u>
Part VIII	Information About Officers, Directors, Paid Employees, and Contractors			nagers, Higi	าly 			
List all office	cers, directors, trustees, foundation managers and	d their c	ompensation.	1	17.6			
	(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	d) Contributions to employee benefit plan and deterred compensation	s ac	( <b>e)</b> Exp count, allowai	ense , other nces
OR R JAI	MES KLINGENSTEIN		TRUSTEE	•				
	SHINGTON STREET SUITE 543	-						
	MA 02162		2.00	0	. 0			0.
						-		
				]		İ		
						T		
	<del></del>							
						+		
<u> </u>				Ì				
· <del></del>								
2 Compensa	tion of five highest-paid employees (other than the	ose incl	uded on line 1). If none.	enter "NONE."				
				1	(d) Contributions to	)	(e) Exp	ense
( <b>a)</b> Nar	ne and address of each employee paid more than \$50,000		(b) Title, and average hours per week devoted to position	(c) Compensatio		s a	count, allowa	, other
NO	ONE		devoted to position	1	compensation	+	01101101	
140	JNE							
						-		
<del></del>			<del></del>			+		
					-			
					1			
			<del> </del>					
T-4-1 number o	f other employees hald over \$50,000							0

Form 990-PF (2012) KLINGENSTEIN CHARITABLE FOUNDATION		6266949 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation I Paid Employees, and Contractors (continued)		
3 Five highest-paid independent contractors for professional services. If none, enter "NON	E."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		<b>▶</b> 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical info number of organizations and other beneficiaries served, conferences convened, research papers produced, e	rmation such as the tc	Expenses
1 N/A		
2		
		<u> </u>
3		
4		
Part IX-B Summary of Program-Related Investments		A A
Describe the two largest program-related investments made by the foundation during the tax year on lines 1	100 2	Amount
1 N/A		
2		
All other program-related investments See instructions		
3		
Table Addition of Abranch C	<b>•</b>	0.
Total. Add lines 1 through 3		Form <b>990-PF</b> (2012)

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations,	see instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		-
а	Average monthly fair market value of securities	1a	1,721,814.
	Average of monthly cash balances	1b	146,877.
	Fair market value of all other assets	1c	
	Total (add lines 1a, b, and c)	1d	1,868,691.
	Reduction claimed for blockage or other factors reported on lines 1a and		
_	1c (attach detailed explanation) 1e 0 •		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,868,691.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	28,030.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	1,840,661.
6	Minimum investment return. Enter 5% of line 5	6	92,033.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here  and do not complete this part )	d certain	
1	Minimum investment return from Part X, line 6	1	92,033.
	Tax on investment income for 2012 from Part VI, line 5 2a 387.		
b	Income tax for 2012 (This does not include the tax from Part VI )		
C	Add lines 2a and 2b	2c	387.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	91,646.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	91,646.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	91,646.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
а	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	174,868.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	·
3	Amounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	174,868.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	387.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	174,481.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of	jualifies fo	r the section

Form **990-PF** (2012)

Page 9

1 Distributable amount for 2012 from Part XI, Inn 9 7  2 Understooder Income, if any, as of the end of 2012 a Enter amount for 2011 only b Total for prory years:  5 Excess distributions carryover, if any, to 2012 a From 2007  6 From 2009  6 From 2019  6 From 2019  9 From 2019  9 From 2019  9 From 2019  9 From 2011  9 From 2010  9 From 2010  9 From 2010  9 From 2010  9 From 2010  9 From 2010  9 From 2010  9 From 2010  9 From 2010  9 From 2010  9 From 2010  9 From 2010  9 From 2010  9 From 2010  9 From 2011  9 From 2010		(a)	(b)	(c)	(d)
1		Corpus	Years prior to 2011	2011	2012
a Enter amount for 2011 only b Total for pror years  0. 3  Excess distributions carryover, if any, to 2012 a From 2007 b From 2008 c From 2010 e From 2010 9 From 2010 9 From 2010 9 From 2011 9 From 2010 Part XI, line 4 ▶ \$ 174,868 a Applied to 2011, but not more than line 2 b Appleted to undistributions for 2012 from Part XII, line 4 ▶ \$ 174,868 a Applied to 2011, but not more than line 2 b Appleted to undistributed income of prior years (Rection required -see instructions) c Treated as distributions out of corpus (Election required -see instructions) c Treated as distributions out of corpus (Election required -see instructions) c Treated as distributions out of corpus (Election required -see instructions) c Treated as distributions out of corpus (Election required -see instructions) c Treated as distributions out of corpus (Election required -see instructions) c Treated as distributions out of corpus (Election required -see instructions) c Eccess ceribiditions out of corpus (Election required -see instructions) c Eccess ceribidition of see of corpus (Election required -see instructions) c Eccess ceribidition of see of corpus (Election required -see instructions) c Eccess ceribidition of the corpus (Election required -see instructions) c Eccess (as a see instructions) c Eccess (as a see instructions) c Eccess (as a see instructions) c Eccess (as the corpus of the c	<b>I</b>				91,646
\$ Excess distributions carryover, if any, to 2012 a From 2008	2 Undistributed income, if any, as of the end of 2012				
S Excess distributions carryover, if any, to 2012 a From 2007 b From 2008 d From 2010 e From 2011 968. 1 Total of lines 3 at broughe Qualifying distributions for 2012 from Part XII, Invel 4 ► \$ 174, 868. a Applied to 2011, but not more than line 2a Applied to 2012 distributions for 6 pror years (Election required - see instructions) d Applied to 2012 distributions out of cropus (Election required - see instructions) d Applied to 2012 distributions out of cropus (Election required - see instructions) d Applied to 2012 distributions out of cropus (Election required - see instructions) d Applied to 2012 distributions out of cropus (Election required - see instructions) d Applied to 2012 distributions out of cropus (Election required - see instructions) d Applied to 2012 distributions out of cropus (Election required - see instructions) d Applied to 2012 distributions out of cropus d Excess reductions earnews explaint to 2012 g Excess reductions earnews explaint to 2012 d Extert the ent total of each following as indicated below:  0 Department of proving the complete to 2012 g Excess from 2008 b Excess from 2011  1 Analysis of line 9 Excess from 2011  2 Excess from 2018 Excess from 2019 Excess from 2011 Excess fro	a Enter amount for 2011 only .			86,659.	
3 Excess distributions carryover, if any, to 2012 a From 2007 b From 2008 c From 2010 e From 2011 968 1 Total of lines 3a through 9 4 Cualifying distributions for 2012 from Part XII, line 4 → \$ \$ 174, 868 . 3 Applied to undistributed income of prior years (Election required - see instructions) c Treated as distributions out of corpus (Election required - see instructions) c Treated as distributions out of corpus (Election required - see instructions) c Treated as distributions out of corpus (Election required - see instructions) c Treated as distributions out of corpus (Election required - see instructions) c Treated as distributions out of corpus (Election required - see instructions) c Treated as distributed out of or pus a Remaining amount distributed out of orpus a Remaining amount of orpus a Remaining amount distributed out of orpus a Remaining amount distributed out of orpus a Remaining amount of orpus a Remaining amo	b Total for prior years		0		
Defroit 2008   Center 2011   968	3 Excess distributions carryover, if any, to 2012				***************************************
## From 2009 ## From 2010 ## From 2010 ## From 2011 ## Part XII, line 4 ★ ▶ \$ 174,868. ## Applied to undistributed incorne of prior years (Election required - see instructions) ## Applied to undistributed incorne of prior years (Election required - see instructions) ## Applied to undistributed and to cropus (Election required - see instructions) ## Applied to 2012 distributable amount ## Remaining amount distributed out of corpus (Election required - see instructions) ## Applied to 2012 distributable amount ## Remaining amount distributed out of corpus  ## Element Incorne of prior years (Incorne of prior years (Incorne of prior years (Incorne of prior) ## Applied to 2012 distributable amount ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed incorne for publication of deficiency has been issued, or on which incorne for 2011 Subtract line  ## Bemaining amount of prior years'  ## Undistributed incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract l	a From 2007				
## From 2009 ## From 2010 ## From 2010 ## From 2011 ## Part XII, line 4 ★ ▶ \$ 174,868. ## Applied to undistributed incorne of prior years (Election required - see instructions) ## Applied to undistributed incorne of prior years (Election required - see instructions) ## Applied to undistributed and to cropus (Election required - see instructions) ## Applied to 2012 distributable amount ## Remaining amount distributed out of corpus (Election required - see instructions) ## Applied to 2012 distributable amount ## Remaining amount distributed out of corpus  ## Element Incorne of prior years (Incorne of prior years (Incorne of prior years (Incorne of prior) ## Applied to 2012 distributable amount ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed out of corpus  ## Bemaining amount distributed incorne for publication of deficiency has been issued, or on which incorne for 2011 Subtract line  ## Bemaining amount of prior years'  ## Undistributed incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract line  ## Brown incorne for 2011 Subtract l	b From 2008				
From 2011   968   1 Total of lines 3s through e   968   1 Total of lines 3s through e   968   4 Cualifying distributions for 2012 from Part XII, line 4 ► \$ 174   868   2 Applied to 2011, but not more than line 2a b Applied to undistributed income of prior years (Election required - see instructions) c 1 Treated as distributions out of corpus (Election required - see instructions) d Applied to 2012 distributable amount e Remaining amount distributed out of corpus (Election required - see instructions) d Applied to 2012 distributable amount e Remaining amount distributed out of corpus   968					
1 Total of lines 3a through s 4 Qualifying distributions for 2012 from Part XII, line 4 ₱ \$ 174, 868.  3 Appiled to 2011, but not more than line 2a b Appiled to undistributed income of prior years (Election required - see instructions) C Treated as distributions out of corpus (Election required - see instructions) d Appiled to 2012 distributable amount e Remaining amount distributed out of corpus 5 Excess distributions curryowr appiled to 2012 (if an immonit appears in column) to 10 - 10 - 10 - 10 - 10 - 10 - 10 - 10	d From 2010				
1 Total of lines 3a through e  4 Qualifying distributions for 2012 from Part XII, line 4 ₱ \$ 174, 868.  3 Applied to 2011, but not more than line 2a b Applied to undistributed income of prior years (Flection required - see instructions)  C Treated as distributions out of corpus (Flection required - see instructions)  4 Applied to 2012 distributions out of corpus  6 Remaining amount distributed out of corpus  5 Excess distributions curyover applied to 2012  6 first minimum supplied to 2012  6 first minimum supplied to 2012  6 first the net total of each column as indicated below:  1 Center the net out of subtract line 6  1 Prior years' undistributed income Subtract line 6  2 Priory sars' undistributed income Subtract line 6  2 Priory sars' undistributed income Subtract line 6  3 Center the amount of prior years' undistributed income Subtract line 4 form line 22  6 Center the amount of prior years' undistributed income Subtract line 4 form line 2 and 5 from line 2 because of the seed on 4942(a) tax has been previously assessed  4 Subtract line 6c from line 6b Taxable amount - see instructions  9 Undistributed income for 2011 Subtract line 4 from line 2 a Taxable amount - see instructions  9 Undistributed income for 2011 Subtract line 4 from line 2 a Taxable amount - see instructions  9 Undistributed income for 2011 Subtract line 4 and 5 from line 1 This amount must be distributed in 2013  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions curryover from 2007  not applied on line 7 or ine 7  9 Excess distributions curryover from 2008  10 Analysis of line 9  12 Excess from 2009  12 Excess from 2001  13 Excess from 2001  14 Excess from 2001  15 Excess from 2001  16 Excess from 2001	e From 2011 968.				
4 Qualifying distributions for 2012 from Part XII, line 4 \( \mathbb{P} \) \( \frac{1}{4} \) A 688. \( \frac{1}{4} \) Applied to undistributed microre of prior years (Election required - see instructions) \( \frac{1}{4} \) Cape of the prior of corpus (Election required - see instructions) \( \frac{1}{4} \) Applied to 2012 distributible amount \( \frac{1}{4} \) See an earmount \( \frac{1}{4} \) See and \( \frac{1}{4} \) See an earmount \( \frac{1}{4} \) See and \( \frac{1}{4} \)	·	968.			
Part XII, line 4: № \$ 174 , 868 .  a Applied to 2011, but not more than line 2a b Applied to undistributed income of prior years (Election required - see instructions) (Flection required - see instructions) (A Applied to 2012 distributable amount Remaining amount distributed out of corpus (Election required - see instructions)  4 Applied to 2012 distributable amount Remaining amount distributed out of corpus  5 Excess distributions carryowr applied to 2012 (firsh amount appears in column's (b)  5 Excess distributions carryowr applied to 2012 (firsh amount appears in column's (c))  6 Enter the related at old and see abouting as indicated below:  a Corpus Aud time 91, 4c, and 4e Subtract line 5 De Prior years' undistributed income Subtract line 4b from line 2  C Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  4 Subtract line 6c from line 6b Taxable amount - see instructions  amount - see instructions  be Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions  c Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2 Taxable amount - see instructions  4 a from line 2 Taxable amount - see instructions  5 Corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(a)(3)  6 Excess distributions carryover form 2007 or of applied on line 5 or line 7  9 Excess distributions carryover form 2007 or played on line 5 or line 7  9 Excess distributions carryover form 2007 or played on line 5 or line 7  9 Excess distributions carryover form 2007 or played on line 5 or line 7  9 Excess distributions carryover form 2007 or played on line 5 or line 7  9 Excess distributions carryover form 2007 or played on line 5 or line 7  9 Excess distributions carryover form 2007 or played on line 5 or line 7  9 Excess distributions carryover form 2007 or line 4 Excess from 2009 or line 5 or line 7  9 Excess distributions carry			***************************************		······································
a Applied to 2011, but not more than line 2a D Applied to undistributed income of prior years (Election required - see instructions) C Treated as distributions out of corpus (Election required - see instructions) d Applied to 2012 distributable amount R Remaining amount distributed out of corpus  5 Cacces distributions carryover applied to 2012 (first amount appear in column (a)) 6 Enter the net total of seach column as indicated below: 8 Corpus Add lines 3f, 4c, and 4e Subtract line 5 D Pron years' undistributed income Subtract line 4b from filine 2b E Enter the amount of pron years' undistributed income Subtract line 4b from filine 20 E Enter the amount of pron years' undistributed income for which a notice of deficiency has been issued, or on which the section 494(2) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount - see instructions e Undistributed income for 2011 Subtract line 4a from line 2 a Taxable amount - see instructions e Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed and 2013 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(f)(f)(f) of 494(g)(g)(3) 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess from 2008 b Excess from 2009 c Excess from 2001 c Excess from 2011 d Excess from 2011					
b Applied to undistributed income of prior years (Election required - see instructions) to Create a distributions out of corpus (Election required - see instructions) do				86,659.	
years (Election required - see instructions)  c Treated as distributions out of corpus (Election required - see instructions)  d Applied to 2012 distributiable amount  a Remaining amount distributed out of corpus (Election required - see instructions)  d Applied to 2012 distributiable amount  a Remaining amount distributed out of corpus  Excess distributions carryover applied to 2012 (frain amount appears in column (g), the same amount must be abrown in column (g), the same amount must be abrown in column (g)  B Corpus And lines 31, 4a, and 4e Subfract line 4  B Prior years' undistributed income for Which a notice of deficiency has been issued, or on which the section 494(2g) alx has been previously assessed  d Subtract line 6b from line 6b Taxable amount - see instructions  e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr  f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed m 2013  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 494(2)(3)  8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess fistributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9  2 Excess from 2009  E Excess from 2009  E Excess from 2001  d Excess from 2011	parties and the second	· ·			*** ***********************************
c Treated as distributions out of corpus (Election required - see instructions) d Appleet to 2012 distributials amount e Remaining amount distributed out of corpus 5 Excess distributions carryover applied to 2012 (first amount appears in column (in)) 6 Excess distributions carryover applied to 2012 (first amount appears in column (in)) 7 Extension in column (in) 888, 209 968 968 968 968 968 968 968 968 968 96			0.		
(Electron required - see instructions) d Applied to 2012 distributable amount e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2012 fress control of the same amount must be shown in column (c), the same amount must be shown in column (c), the same amount must be shown in column as indicated below:  8 Corpus Add lines 31, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b  5 Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  4 Subtract line 6c from line 6b Taxable amount - see instructions e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(f) or 4942(0)(3) 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess distributions carryover from 2007 Analysis of line 9 a Excess from 2008 b Excess from 2008 b Excess from 2009 c Excess from 2001 d Excess from 2011					
d Applied to 2012 distributed amount e Remaining amount distributed out of corpus (first amount appears in column (s), the same amount must be shown in column (s) (first amount appears in column (s), the same amount must be shown in column (s) (first amount appears in column (s), the same amount must be shown in column (s) (first amount appears in column (s), the same amount indicated below:  8 Corpus And lines 31, 4c, and 4e Subtract line 5 b Prory years' undistributed income Subtract line 4b from line 2b c Enter the amount of pror years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(s) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount - see instructions e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr 1 Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess distributions carryover from 2007 10 Analysis of line 9 a Excess from 2008 b Excess from 2009 c Excess from 2009 c Excess from 2001 d Excess from 2010 d Excess from 2010		0.			
8 Remaining amount distributed out of corpus 5 Excess distributions carryover applied to 2012 (first amount appears in column (a)) 5 Excess distributions carryover applied to 2012 (first amount appears) in column (a)) 6 Entert then ritio (a) the same amount indicated bellow:  8 Corpus Add lines 31, 40, and 4e Subtract line 5 b Priory years' undistributed income Subtract line 4b from line 2b c Entert the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 494(2) at xhas been previously assessed d Subtract line 6b Taxable amount - see instructions e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions e Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(a)(3) 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess distributions carryover from 2007 at Analysis of line 9 a Excess from 2008 b Excess from 2008 b Excess from 2008 c Excess from 2001 d Excess from 2011	· · · · · · · · · · · · · · · · · · ·				88,209,
5 Excess distributions carryover applied to 2012 (files amount appears in column (d), the same amount must be altowin in column (e))  6 Enter the net total of each column as indicated below:  a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount - see instructions e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess from 2008 b Excess from 2008 b Excess from 2008 b Excess from 2009 c Excess from 2001 d Excess from 2011		0 -			00,20_
If na amount appears in column (d), the same amount must be shown in column (a).  Enter the net total of each column as indicated below:  8 Corpus Add innes 31, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b  Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  4 Subtract line 6c from line 6b Taxable amount - see instructions  e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions  e Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(a)(3)  8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9  a Excess from 2008  b Excess from 2008  b Excess from 2009  c Excess from 2011			······································	······································	968.
Indicated below:  a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 494(2a) tax has been previously assessed d Subtract line 6b Taxable amount - see instructions e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9 a Excess from 2008 b Excess from 2008 b Excess from 2010 d Excess from 2011	(If an amount appears in column (d), the same amount	700.			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
b Prior years' undistributed income Subtract line 4b from line 2b  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b Taxable amount - see instructions  e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions  f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013  A Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover for 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9  a Excess from 2008 b Excess from 2008 b Excess from 2010 d Excess from 2011	· · · · · · · · · · · · · · · · · · ·				
line 4b from line 2b  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 494(2) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount - see instructions e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9 a Excess from 2008 b Excess from 2008 c Excess from 2010 d Excess from 2011	a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			·····
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount - see instructions e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(a)(3) 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9 a Excess from 2008 b Excess from 2009 c Excess from 2010 d Excess from 2011	b Prior years' undistributed income. Subtract				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b Taxable amount - see instructions e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9  a Excess from 2008 b Excess from 2009 c Excess from 2010 d Excess from 2011	line 4b from line 2b		0.		
amount - see instructions e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9 a Excess from 2008 b Excess from 2009 c Excess from 2010 d Excess from 2011	undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9 a Excess from 2008 b Excess from 2009 c Excess from 2010 d Excess from 2011	d Subtract line 6c from line 6b Taxable				
4a from line 2a Taxable amount - see instr f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9 a Excess from 2008 b Excess from 2009 c Excess from 2010 d Excess from 2011	amount - see instructions		0.		
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9 a Excess from 2008 b Excess from 2009 c Excess from 2010 d Excess from 2011	e Undistributed income for 2011 Subtract line				
lines 4d and 5 from line 1 This amount must be distributed in 2013  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9 a Excess from 2008 b Excess from 2009 c Excess from 2010 d Excess from 2011	4a from line 2a Taxable amount - see instr			0.	
be distributed in 2013  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9  a Excess from 2008 b Excess from 2009 c Excess from 2010 d Excess from 2011	f Undistributed income for 2012 Subtract				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9  a Excess from 2008 b Excess from 2009 c Excess from 2010 d Excess from 2011	lines 4d and 5 from line 1. This amount must				
corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9  a Excess from 2008	be distributed in 2013				2,469.
section 170(b)(1)(F) or 4942(g)(3)  8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9 a Excess from 2008 b Excess from 2009 c Excess from 2010 d Excess from 2011	7 Amounts treated as distributions out of				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9 a Excess from 2008 b Excess from 2009 c Excess from 2010 d Excess from 2011	corpus to satisfy requirements imposed by				
not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9 a Excess from 2008 b Excess from 2010 d Excess from 2011	section 170(b)(1)(F) or 4942(g)(3)	_0.			
not applied on line 5 or line 7  9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9 a Excess from 2008 b Excess from 2010 d Excess from 2011	8 Excess distributions carryover from 2007				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9 a Excess from 2008 b Excess from 2010 d Excess from 2011		0.			
Subtract lines 7 and 8 from line 6a	• • • • • • • • • • • • • • • • • • • •				
10 Analysis of line 9  a Excess from 2008 b Excess from 2010 d Excess from 2011	•	0.			
a Excess from 2008 b Excess from 2010 c Excess from 2011 d Excess from 2011			······································		
b Excess from 2009 c Excess from 2010 d Excess from 2011	· .		į.		
c Excess from 2010 d Excess from 2011					
d Excess from 2011					
	<del> </del>				

Part XIV Private Operating F			DATION IRUS:	N/A	00949 Page I
				N/A	
1 a If the foundation has received a ruling of					
foundation, and the ruling is effective fo		•	. Lun continu	4942(j)(3) or 49	42(j)(5)
b Check box to indicate whether the found		III TOURIDATION DESCRIBED	Prior 3 years	1 4942()/(3) 01 49	42()(0)
2 a Enter the lesser of the adjusted net	Tax year (a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
income from Part I or the minimum	(4) 2012	(8) 2011	(0) 2010	(4) 2000	(0) 11111
investment return from Part X for					
each year listed			<del> </del>		
b 85% of line 2a	<u> </u>		<del> </del>		
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the					
alternative test relied upon  a "Assets" alternative test - enter.					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(i)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return shown in Part X, line 6 for each year listed			i		
c "Support" alternative test - enter					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt organizations as provided in	İ				
section 4942(j)(3)(B)(III)					
(3) Largest amount of support from					
an exempt organization			<del></del>		
(4) Gross investment income  Part XV Supplementary Info	restion (Comple	to this part only	if the foundation	had \$5 000 ar ma	ro in accets
Part XV Supplementary Info	•	• • • • • • • • • • • • • • • • • • • •	ir the foundation	rnau \$5,000 or mo	11 assets
<ol> <li>Information Regarding Foundation</li> <li>List any managers of the foundation wh</li> </ol>	_	than 2% of the total cor	stributions received by the	foundation before the clos	e of any tay
year (but only if they have contributed r			ithibutions received by the	Touridation before the clos	o or any tax
NONE					
b List any managers of the foundation wh	o own 10% or more of th	ne stock of a corporation	(or an equally large porti	on of the ownership of a pa	rtnership or
other entity) of which the foundation ha					·
NONE					
2 Information Regarding Contribut	ion, Grant, Gift, Loan	, Scholarship, etc., F	Programs:		
Check here 🕨 🗓 if the foundation of	only makes contributions	to preselected charitable	organizations and does i	not accept unsolicited requi	ests for funds If
the foundation makes gifts, grants, etc	(see instructions) to indi	viduals or organizations	under other conditions, c	omplete items 2a, b, c, and	<u>d</u>
a The name, address, and telephone num	ber or e-mail of the perso	on to whom applications	should be addressed		
b The form in which applications should l	pe submitted and informa	tion and materials they	should include		
c Any submission deadlines	<del></del>				
d Any restrictions or limitations on award	s, such as by geographic	al areas, charitable field	s, kinds of institutions or	other factors	
a rang restrictions of anniations on amure	-,,g-og-opino	,	-, 07		

Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient show any relationship to any foundation manager Foundation Purpose of grant or Amount status of contribution Name and address (home or business) recipient or substantial contributor a Paid during the year NONE GENERAL GIFTS EXEMPT SCHOOL BUCKINGHAM BROWNE & NICHOLS SCHOOL 80 GERRY'S LANDING ROAD 10,000. CAMBRIDGE, MA 02138 GENERAL FUND BRIMMER & MAY SCHOOL NONE EXEMPT SCHOOL 69 MIDDLESEX ROAD 10,000. CHESTNUT HILL \_ MA 02467 BRANDEIS UNIVERSITY NONE EXEMPT GENERAL FUND UNIVERSITY 415 SOUTH STREET WALTHAM, MA 02453 140,000. EXEMPT SCHOOL GENERAL FUND SOLOMON SCHECHTER DAY SCHOOL OF NONE GREATER BOSTON 60 STEIN CIRCLE 2,500. NEWTON, MA 02459 NONE EXEMPT CANTORS FUND, GENERAL TEMPLE EMMANUEL RELIGIOUS GIFT 385 WARD STREET 10,000. NEWTON, MA 02459 SEE CONTINUATION SHEET(S) ▶ 3a 173,318. Total b Approved for future payment NONE **▶** 3b 0. Total

	Unrelated t	usiness income		section 512, 513, or 514	(8)
inter gross amounts unless otherwise indicated  1 Program service revenue	(a) Business code	(b) Amount	(C) Exclu- sion code	(d) Amount	Related or exempt function income
*			<del>                                     </del>	- "	
				i	
	1				
d	l i				
8					
f					
g Fees and contracts from government age	ncies				
Membership dues and assessments					
Interest on savings and temporary cash		-			
investments			14	14,622.	_
Dividends and interest from securities			14	14,622. 35,683.	
Net rental income or (loss) from real estate		,			
a Debt-financed property					
b Not debt-financed property					
5 Net rental income or (loss) from personal					
property					
7 Other investment income					<u> </u>
B Gain or (loss) from sales of assets other					
than inventory			18	<1,381.	•
Net income or (loss) from special events					
Gross profit or (loss) from sales of inventory					
1 Other revenue					
a					· · · · · · · · · · · · · · · · · · ·
b	1				
C	1	<del> </del>			
d					
e			<u> </u>		
0.00-6-6-1.8-24-6-6-0-6-6-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1		0.		48,924.	4000
2 Subtotal Add columns (b), (d), and (e)				13	48,92
2 Subtotal Add columns (b), (d), and (e) 3 <b>Total</b> . Add line 12, columns (b), (d), and (e)					
3 Total. Add line 12, columns (b), (d), and (e)					<del></del>
3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify o		plishment of E	xempt P	ırposes	
3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify of Part XVI-B Relationship of A Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			olishment of
3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify of Part XVI-B Relationship of A Line No. Explain below how each activity	alculations) Activities to the Accom	olumn (e) of Part XVI-A			olishment of
3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify of Part XVI-B Relationship of A Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			olishment of
3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify of Part XVI-B Relationship of A Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			Dishment of
3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify of Part XVI-B Relationship of A Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			plishment of
B Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify of Part XVI-B Relationship of A Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			olishment of
Total. Add line 12, columns (b), (d), and (e) see worksheet in line 13 instructions to verify compart XVI-B Relationship of Augustian Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			blishment of
Total. Add line 12, columns (b), (d), and (e) see worksheet in line 13 instructions to verify compart XVI-B Relationship of Augustian Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			plishment of
Total. Add line 12, columns (b), (d), and (e) see worksheet in line 13 instructions to verify compart XVI-B Relationship of Augustian Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			Dishment of
Total. Add line 12, columns (b), (d), and (e) see worksheet in line 13 instructions to verify compart XVI-B Relationship of Augustian Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			Dishment of
Total. Add line 12, columns (b), (d), and (e) see worksheet in line 13 instructions to verify compart XVI-B Relationship of Augustian Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			plishment of
Total. Add line 12, columns (b), (d), and (e) see worksheet in line 13 instructions to verify compart XVI-B Relationship of Augustian Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			Dishment of
Total. Add line 12, columns (b), (d), and (e) see worksheet in line 13 instructions to verify compart XVI-B Relationship of Augustian Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			Dishment of
B Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify of Part XVI-B Relationship of A Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			Dishment of
B Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify of Part XVI-B Relationship of A Line No. Explain below how each activity	Activities to the Accom	olumn (e) of Part XVI-A			Dishment of

Form 990-PF (2		ENSTEIN CHARITA					Page 13
Part XVII	Information Re Exempt Organ	egarding Transfers To	and Transactions a	nd Relations	ships With Noncha	iritable	
1 Did the or		rectly engage in any of the following	ng with any other organization	n described in sec	tion 501/c) of	Y.	es No
	· ·	)(3) organizations) or in section 5			7.1011 00 1(0) 01		75 110
		ation to a noncharitable exempt or					
(1) Cash	• •					1a(1)	Х
(2) Other			•	• ••		1a(2)	X
b Other tran		·	•	•	·		
(1) Sales	of assets to a noncharita	ble exempt organization				1b(1)	x
(2) Purcl	hases of assets from a no	ncharitable exempt organization				1b(2)	X
(3) Renta	al of facilities, equipment,	or other assets				1b(3)	X
	bursement arrangements		•			1b(4)	X
(5) Loan	s or loan guarantees					1b(5)	X
(6) Perfo	ormance of services or me	mbership or fundraising solicitation	ons			1b(6)	<u> </u>
-		iling lists, other assets, or paid en				10	<u> </u>
	-	"Yes," complete the following scho		-			,
		oundation. If the foundation receiv		e in any transactio	n or sharing arrangement,	show in	
	<del>· · · · · · · · · · · · · · · · · · · </del>	other assets, or services received		1 (4)			
(a) Line no	(b) Amount involved	(c) Name of noncharitabl	e exempt organization	(0) Description	on of transfers, transactions, and	shanng arrange	ements
	· · · · · · · · · · · · · · · · · · ·	N/A		-			
					<del></del>		
				·			
	······································		,				
				·			
-							
					·-		
2a is the four	ndation directly or indirect	tly affiliated with, or related to, one	or more tax-exempt organiz	ations described	_		
ın section	501(c) of the Code (other	r than section 501(c)(3)) or in sec	tion 527?			Yes [	X No
b If "Yes," co	omplete the following sch						
	(a) Name of org	anization	(b) Type of organization		(c) Description of relations	hip	
	N/A						
		<u></u>					
			<u> </u>		<del>.</del>		
-							
Under	nenalties of penury. I declare t	that I have examined this return, including	ng accompanying schedules and	statements and to the	e best of my knowledge		
		nplete Declaration of preparer (other tha			Ma	ay the IRS discu urn with the pre own below (see	ss this parer
Here	James Klugh	uston	111/11/12	EXECU	TIVE DIR	own below (see X Yes [	instr)?
	ature of officer or trustee		Date	Title		A res	NO
	Print/Type preparer's na			Date	Check If PTIN		
	" ' '	10.1.		د ا درا بر	self- employed		
Paid	JOHN UNTER	REINER II	- Leph	11   13   1, 3	P0	058548	37
Preparer	Firm's name ► MAR		ON LLP		Firm's EIN ► 11-3		
Use Only		· · · · · · · · · · · · · · · · · · ·					
	Firm's address ▶ 66	0 WHITE PLAINS	ROAD				
	TA	RRYTOWN, NY 105	91-5104		<del></del>	524-90	
					Fo	orm <b>990-P</b>	F (2012)

#### KLINGENSTEIN CHARITABLE FOUNDATION TRUST 65-6266949

Part XV Supplementary Information Grants and Contributions Paid During the	Year (Continuation)			
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	status of recipient	Contribution	
LLIE AND BESSIE COHEN CAMPS	NONE	EXEMPT	PEMBROKE CAMPS	
888 WORCHESTER ST SUITE 350		FOUNDATION		
VELLESLEY, MA 02482				50
HARVARD HILLEL	NONE	EXEMPT ORGANIZATION	schoor	
52 MOUNT ABUBURN STREET CAMBRIDGE, MA 02138		DRGANIZATION		31
ALLEN TOTAL				
		<del> </del>		
Total from continuation sheets		<u> </u>		8:

FORM 990-PF INTEREST ON SAVI	INGS AND TEM	IPORARY CAS	SH II	NVESTMENTS	STATEMENT	1	
SOURCE					AMOUNT		
CORPORATE BONDS US SAVINGS BONDS US SAVINGS BONDS					13,1 3 1,1	37.	
TOTAL TO FORM 990-PF, PART I,	LINE 3, CO	DLUMN A			14,6	22.	
FORM 990-PF DIVIDEND	S AND INTER	REST FROM S	ECUI	RITIES	STATEMENT	2	
SOURCE	GROSS	S AMOUNT		ITAL GAINS IVIDENDS	COLUMN (A AMOUNT	.)	
CHARLES SCHWAB- 4318-3459	35,683.			0.	35,6	,683.	
TOTAL TO FM 990-PF, PART I, I	IN 4	35,683.		0.	35,6	83.	
FORM 990-PF	ACCOUNTI	NG FEES		····	STATEMENT	3	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVES MENT INCO		(C) ADJUSTED NET INCOM			
ACCOUNTING FEES	3,100.	1,5	,550.		1,550.		
TO FORM 990-PF, PG 1, LN 16B	3,100.	1,5			1,550.		
FORM 990-PF C	THER PROFES	SSIONAL FE	es 		STATEMENT	4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVES MENT INCO		(C) ADJUSTED NET INCOM			
OTHER PROFESSIONAL FEES	9,919.	9,9	19.	<u> </u>	-	0.	
TO FORM 990-PF, PG 1, LN 16C	9,919.	9,9	19.			0.	

FORM 990-PF	OTHER E	XPENSES			STATEMENT	5
DESCRIPTION	(A) (B) EXPENSES NET INVEST PER BOOKS MENT INCOM			(C) ADJUSTED NET INCOM		
INVESTMENT EXPENSES	144.		144.			0.
TO FORM 990-PF, PG 1, LN 23	144.		144.			0.
FORM 990-PF OTHER DECREASE	ES IN NET AS	SETS OR F	UND E	BALANCES	STATEMENT	6
DESCRIPTION					AMOUNT	
TRANSFER OF ASSETS TO TRUST -	- SEE STATEM	ENT			1,039,5	23.
TOTAL TO FORM 990-PF, PART II	II, LINE 5				1,039,5	23.
FORM 990-PF U.S. AND S	STATE/CITY G	OVERNMENT	OBLI	GATIONS	STATEMENT	7
DESCRIPTION	U.S GOV'		вос	OK VALUE	FAIR MARKE' VALUE	Г
US INFL .625%-04/15/2013	X			50,793.	54,6	50.
TOTAL U.S. GOVERNMENT OBLIGAT	TIONS			50,793.	54,6	50.
TOTAL STATE AND MUNICIPAL GOV	ERNMENT OBL	IGATIONS				
TOTAL TO FORM 990-PF, PART II	, LINE 10A			50,793.	54,6	50.
FORM 990-PF	CORPORAT	E STOCK			STATEMENT	8
DESCRIPTION			вос	OK VALUE	FAIR MARKE	r
AVON PROD INC BRISTOL-MYERS SQUIBB CO CHEVRON CORP EXXON MOBIL CORP EDWARDS LIFESCIENCES EQUIFAX INC FREEPRT-MCMRAN CPR & GLD				20,003. 45,684. 20,617. 19,676. 5,130. 47,585. 35,070.	10,6 26,0 37,8 28,1 36,0 108,2 136,8	72. 49. 29. 68.

KLINGENSTEIN CHARITABLE FOUNDATION TRUST		65-6266949	
FIDELITY NATL INFO SVCS	14,201.	34,810.	
HONEYWELL INTL	18,821.	47,603.	
HERSHEY CO	18,972.	21,666.	
INTL BUSINESS MACHINES	164,831.	383,100.	
JOHNSON & JOHNSON	19,182.	21,030.	
MOSAIC CO	6,510.	34,261.	
OCCIDEDNTAL PETE CORP CAL	22,058.	115,834.	
PEPSICO INC	64,778.	102,645.	
PROCTOR & GAMBLE	19,401.	23,762.	
3M CO	29,322.	37,140.	
TRANSOCEAN LTD	6,436.	12,103.	
ZIMMER HOLDING INC	40,608.	66,660.	
VANGUARD HIGH YIELD FUND	20,000.	26,706.	
FIRST ENERGY	7,788.	27,854.	
ROUNDING	5.	0.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	646,678.	1,338,958.	
		CONTRACTOR O	
FORM 990-PF CORPORATE BONDS		STATEMENT 9	
FORM 990-PF CORPORATE BONDS  DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
DESCRIPTION		FAIR MARKET VALUE	
DESCRIPTION ————————————————————————————————————	100,000.	FAIR MARKET VALUE	
DESCRIPTION  GENERAL ELECTRIC CAP CORP BERSHIRE HATHAWAY 5.75%	100,000. 17,612.	FAIR MARKET VALUE  108,505. 18,202.	
DESCRIPTION  GENERAL ELECTRIC CAP CORP BERSHIRE HATHAWAY 5.75% GANNETT CO INC. 9.375%	100,000. 17,612. 16,965.	FAIR MARKET VALUE 108,505. 18,202. 16,631.	
DESCRIPTION  GENERAL ELECTRIC CAP CORP BERSHIRE HATHAWAY 5.75%	100,000. 17,612.	FAIR MARKET VALUE  108,505. 18,202.	

#### ,KLINGENSTEIN CHARITABLE FOUNDATION TRUST FED ID # 65-6266949 12/31/2012

**REVISION OF 2011 CARRYFOWARDS** 

DUE TO THIS TRANSFER OF 50% OF ALL INVESTMENTS AT JANUARY 27, 2012, TO THE PAUL KLINGENSTEIN FAMILY FOUNDATION INC , FED ID #45-4288118, THE ORGANIZATION HAS HAD TO PRORATE SEVERAL 2011 CARRYFOWARDS BY 50% TO 2012, TO PROPERLY REFLECT THE REDUCTION OF THESE ASSETS

THE SCHEDULES AFFECTED BY THESE REDUCTIONS OF THE 2011 CARRYFOWARDS WERE AS FOLLOWS.

## 1. 990PF PART V QUALIFICATION UNDER SECTION 4940(E) FOR REDUCED TAX ON NET INVESTMENT INCOME LINES 1B,1C & 1D.

		100%	100%		100%	50%	50%	50%
		PRE-ALLOCATION	PRE-ALLOCATION	PRE-ALLOCATION		POST-ALLOCATION I	POST-ALLOCATION	POST-ALLOCATION
			NET VALUE			1	NET VALUE	
		ADJUSTED	OF			ADJUSTED (	OF	
		QUALIFYING	NONCHARITABLE	DISTRIBUTION		QUALIFYING 1	NONCHARITABLE	DISTRIBUTION
		DISTRIBUTIONS	USE ASSETS	RATIO		DISTRIBUTIONS	USE ASSETS	RATIO
	2011	153,329	3,547,515	0	043222	76,665	1,773,758	0 043222
	2010	103,617	3,113,010	0	033285	51,809	1,556,505	0 033285
	2009	197,649	2,838,649	0	069628	98,825	1,419,325	0 069628
	2008	228,698	3,919,901	0	058343	114,349	1,959,951	0 058343
	2007	19,050	4,045,901	0	004708	9,525	2,022,951	0 004708
_	OCCUPE DART VIII I IA	IDICTOIDICTED INCOM	45		100%	50%		
2		IDISTRIBUTED INCOM		PRE-ALLOCATION	472.242	POST-ALLOCATION		
	TIMES OF UNDISTR	IBUTED INCOME - 20	JII IAX KETUKN		173,318	86,659		
					100%	50%		
	LINES SO ADDITE	TO 2011 DISTRIBUT	ADLE ANADUMIT	PRE-ALLOCATION	<del></del>	POST-ALLOCATION		
		( RETURN	ABLE AIVIOUNT -		1,935	968		

			<del>,</del>
KLINGENSTEIN CHARITABLE FOUNDATION TRUST		ļ	ļ- <u></u>
FED ID # 65-6266949		ļ	<u> </u>
12/31/2012			
TRANSCEED OF ASSETS TO THE DAME WHITE PARTY FAMILY FOUNDATION. INC.	<u> </u>	<del></del>	<del> </del>
TRANSFER OF ASSETS TO THE PAUL KLINGENSTEIN FAMILY FOUNDATION, INC.		-	-
FEDERAL ID # 45-4288118			
January 27, 2012		ļ <u> </u>	
A CONTRACTOR OF THE PROPERTY O			<del> </del>
AS OF JANUARY 27, 2012, THE KLINGENSTEIN CHARITABLE FOUNDATION TRUST			
TRANSFERED 50% OF ALL INVESTMENTS TOTALING \$1,039,523 TO A	TOTAL	TOTAL	TOTAL
SUCCESSOR FOUNDATION FOUNDED BY A FORMER TRUSTEE, IRENE K HESS	# OF	BOOK	FAIR MARKET
THE NAME OF THIS FOUNDATION IS THE PAUL KLINGENSTEIN FAMILY FOUNDATION INC	SHARES OR	VALUE	VALUE
	FACE	OF ASSETS	OF ASSETS
	VALUE OF	TRANSFERRED	TRANSFERRED
THE ASSETS TRANSFERRED TO THE PAUL KLINGENSEIN FAMILY FOUNDATION INC. AT JANUARY 27, 2012 CONSISTED	BOND	01/27/12	01/27/12
OF CASH AND SECURITIES CONSISTING OF	TRANSFERRED		
		ļ	
CASH AND SWEEP MONEY MARKET FUNDS		22,704	22,704
GOVERNMENT BONDS			
UST INFL 625%-04/15/2013 OID year 2012	50,000	49,673	54,813
, , , , , , , , , , , , , , , , , , ,			
<u>CORPORATE STOCK</u>			
Avon Prod Inc	740	20,003	13,586
Bristol-Myers Squibb Co	800	45,684	25,832
Chevron Corp	350	20,616	36,386
Exxon Mobil Corp	325	19,675	27,895
Edwards Lifesciences	400	5,129	31,672
Equifax Inc	2,000	47,585	78,640
Freeprt-Mcmran CPR & Gld	4,000	35,069	184,520
Fidelity Natl Info Svcs	1,000	14,201	28,550
Honeywell Inti	750	18,821	43,703
Hershey Co	300	18,972	18,372
Intl Business Machines	2,000	164,831	380,920
Johnson & Johnson	300	19,182	19,668
Mosaic Co	606	6,520	34,275
Occidental Pete Corp Cal	1,512	22,058	151,578
Pepsico Inc	1,500	64,777	98,715
Proctor & Gamble	350	19,401	22,505
3M Co	400	29,322	34,984
Transocean Ltd	270	6,412	12,995
Zimmer Holding Inc	1,000	40,608	60,500
Vanguard High Yield Fund	4,371	20,000	25,395
First Energy ( Former Allegheny)	667	7,788	28,187
		646,654	1,358,878
CORPORATE BONDS			
General Electric Cap Corp Ser Z	100,000	100,000	106,142
Goldman Sachs 5 7% due 09/01/2012	25,000	25,873	25,641
Morgan Stanley Flt 2012	100,000	100,651	100,069
Berkshire Hathaway 5 75% due 01/15/2040	15,000	17,612	17,700
Gannett Co Inc 9 375% due 11/15/17	15,000	16,965	16,538
Goldman Sachs 5 35% due 01/15/2016	30,000	31,703	31,495
Kraft Food 5 375% due 02/10/20	25,000	27,688	28,619
	,/	320,492	326,204
		,	
TOTAL CASH AND SECURITIES TRANSFERRED TO TRUST		1,039,523	1,762,600

#### Form **8868**

(Rev. January 2013)

Department of the Treasury Internal Revenue Service

## Application for Extension of Time To File an Exempt Organization Return

File a separate application for each return.

OMB No. 1545-1709

Form 8868 (Rev. 1-2013)

ightharpoons If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box • If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form). Do not complete Part || unless you have already been granted an automatic 3-month extension on a previously filed Form 8868. Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filling of this form, visit www irs.gov/efile and click on e-file for Charities & Nonprofits. Automatic 3-Month Extension of Time. Only submit original (no copies needed). A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns. Employer identification number (EIN) or Type or Name of exempt organization or other filer, see instructions. print KLINGENSTEIN CHARITABLE FOUNDATION TRUST 65-6266949 File by the Social security number (SSN) Number, street, and room or suite no. If a P.O. box, see instructions. due date fo filing your 32 WAUWINET ROAD return See City, town or post office, state, and ZIP code. For a foreign address, see instructions. instructions NEWTON, MA 02465 0 4 Enter the Return code for the return that this application is for (file a separate application for each return) **Application** Return **Application** Return Code Is For Code Is For Form 990-T (corporation) 07 Form 990 or Form 990-EZ 80 02 Form 1041-A Form 990-BL 09 Form 4720 Form 4720 (individual) 10 Form 990-PF 04 Form 5227 Form 6069 11 Form 990-T (sec. 401(a) or 408(a) trust) Form 8870 12 Form 990-T (trust other than above) DR R JAMES KLINGENSTEIN The books are in the care of ▶ 2000 WASHINGTON STREET SUITE 543 - NEWTON, MA 02162 Telephone No. ► 585-248-2768 FAX No. ▶ • If the organization does not have an office or place of business in the United States, check this box If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_ . If this is for the whole group, check this box > \_\_\_\_ . If it is for part of the group, check this box > \_\_\_\_ and attach a list with the names and EINs of all members the extension is for. I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2013 , to file the exempt organization return for the organization named above. The extension is for the organization's return for: ► X calendar year 2012 or tax year beginning , and ending \_\_ Initial return If the tax year entered in line 1 is for less than 12 months, check reason: Change in accounting period If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any 2,920. nonrefundable credits. See instructions. 3a If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and 2,920. 3ь estimated tax payments made. Include any prior year overpayment allowed as a credit. Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, 0. by using EFTPS (Electronic Federal Tax Payment System). See instructions. Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.