



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2012

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning

, 2012, and ending

THE PETER AND PATRICIA GRUBER FOUNDATION
PO BOX 208958
NEW HAVEN, CT 06520-8958

G Check all that apply. Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) **► \$ 10,679,843.** J Accounting method. Cash Accrual
 Other (specify) (Part I, column (d) must be on cash basis)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
R E V E N U E	1 Contributions, gifts, grants, etc, received (att sch)	6,876,253.			
	2 Ck ► <input type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	388.	388.	N/A	
	4 Dividends and interest from securities	69,185.	69,185.		
	5 a Gross rents b Net rental income or (loss)	1,536.			
	6 a Net gain/(loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a	1,536.	1,536.		
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)	281,763.	167,211.		
	SEE STATEMENT 1	7,229,125.	238,320.		
	12 Total. Add lines 1 through 11				
	13 Compensation of officers, directors, trustees, etc	52,308.		52,308.	
	14 Other employee salaries and wages	52,325.		52,325.	
	15 Pension plans, employee benefits	6,341.		6,341.	
	16 a Legal fees (attach schedule) SEE ST 2 b Accounting fees (attach sch) SEE ST 3 c Other prof fees (attach sch)	19,647.		24,512.	
	17 Interest	10,974.		10,244.	
	18 Taxes (attach schedule)(see instrs) SEE STM 4	2,383.			
	19 Depreciation (attach sch) and depletion	1,175.			
	20 Occupancy	36,744.		36,744.	
	21 Travel, conferences, and meetings	5,611.		5,611.	
	22 Printing and publications	992.		992.	
	23 Other expenses (attach schedule) SEE STATEMENT 5	23,950.		23,950.	
	24 Total operating and administrative expenses. Add lines 13 through 23	212,450.		213,027.	
	25 Contributions, gifts, grants paid PART XV	4,325,200.		15,575,200.	
	26 Total expenses and disbursements. Add lines 24 and 25	4,537,650.	0.	15,788,227.	
	27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) c Adjusted net income (if negative, enter -0-)	2,691,475.	238,320.		

Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing		600.	600.	600.
	2 Savings and temporary cash investments		5,224,939.	1,197,823.	1,197,823.
	3 Accounts receivable	►			
	Less: allowance for doubtful accounts	►			
	4 Pledges receivable	►			
	Less: allowance for doubtful accounts	►			
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach sch.)	►			
	Less: allowance for doubtful accounts	►			
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges		121,114.	116,653.	119,036.
	10a Investments – U.S. and state government obligations (attach schedule)				
	b Investments – corporate stock (attach schedule)				
	c Investments – corporate bonds (attach schedule)				
	11 Investments – land, buildings, and equipment: basis	►			
	Less: accumulated depreciation (attach schedule)	►			
	12 Investments – mortgage loans				
	13 Investments – other (attach schedule)	STATEMENT 6	11,551,917.	8,904,190.	9,337,837.
	14 Land, buildings, and equipment: basis	► 10,842.			
	Less: accumulated depreciation (attach schedule)	SEE STMT 7 ► 9,899.	2,642.	943.	943.
	15 Other assets (describe ► SEE STATEMENT 8)		578,762.	23,604.	23,604.
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)		17,479,974.	10,243,813.	10,679,843.
LIABILITIES	17 Accounts payable and accrued expenses		9,573.	5,115.	
	18 Grants payable		14,050,000.	2,800,000.	
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, & other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ►)		743.		
	23 Total liabilities (add lines 17 through 22)		14,060,316.	2,805,115.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.	► <input type="checkbox"/>			
	24 Unrestricted				
	25 Temporarily restricted				
NET ASSETS/DEFICIENCIES	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	► <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, building, and equipment fund		22,404,978.	22,404,979.	
	29 Retained earnings, accumulated income, endowment, or other funds		-18,985,320.	-14,966,281.	
	30 Total net assets or fund balances (see instructions)		3,419,658.	7,438,698.	
	31 Total liabilities and net assets/fund balances (see instructions)		17,479,974.	10,243,813.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,419,658.
2 Enter amount from Part I, line 27a	2	2,691,475.
3 Other increases not included in line 2 (itemize) ► SEE STATEMENT 9	3	1,327,565.
4 Add lines 1, 2, and 3	4	7,438,698.
5 Decreases not included in line 2 (itemize) ►	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	7,438,698.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)			(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))		
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			2	1,536.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	1,536.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	72,700,758.	54,997,189.	1.321900
2010	4,047,143.	84,461,049.	0.047917
2009	4,101,565.	79,487,772.	0.051600
2008	4,128,189.	92,234,969.	0.044757
2007	3,988,418.	91,622,371.	0.043531

2 Total of line 1, column (d) 2 1.509705

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years. 3 0.301941

4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 4 7,950,001.

5 Multiply line 4 by line 3 5 2,400,431.

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 2,383.

7 Add lines 5 and 6 7 2,402,814.

8 Enter qualifying distributions from Part XII, line 4 8 15,788,227.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter 'N/A' on line 1.	1	2,383.
Date of ruling or determination letter. (attach copy of letter if necessary – see instrs)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	2	0.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)	3	2,383.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
3 Add lines 1 and 2	5	2,383.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		
6 Credits/Payments:		
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	9,036.
b Exempt foreign organizations – tax withheld at source	6 b	
c Tax paid with application for extension of time to file (Form 8868)	6 c	
d Backup withholding erroneously withheld	6 d	
7 Total credits and payments. Add lines 6a through 6d	7	9,036.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,653.
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ► 6,653. Refunded ► 11		0.

Part VII-A Statements Regarding Activities

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? Yes No

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? Yes No

If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year? Yes No

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS? Yes No

If 'Yes,' attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes Yes No

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? Yes No

b If 'Yes,' has it filed a tax return on Form 990-T for this year? Yes No

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T Yes No

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Yes No

7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV Yes No

8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► DE NY Yes No

b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation Yes No

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If 'Yes,' complete Part XIV Yes No

10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses Yes No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11	<input type="checkbox"/>	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12	<input type="checkbox"/>	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	<input checked="" type="checkbox"/>	X
Website address		> WWW.PPGRUBERFOUNDATION.ORG		
14	The books are in care of ► A. SARAH HREHA	Telephone no.	► 203-432-6231	
	Located at ► PO BOX 208958 NEW HAVEN CT	ZIP + 4	► 06520-8958	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A ► 15 N/A		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	<input type="checkbox"/>	Yes
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ►		<input checked="" type="checkbox"/>	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No		
1 a	During the year did the foundation (either directly or indirectly):				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	N/A		
	Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
	If 'Yes,' list the years ► 20 __ , 20 __ , 20 __ , 20 __				
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see instructions.)	2 b	N/A		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 __ , 20 __ , 20 __ , 20 __				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/>	No
b	If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3 b	N/A		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X		
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X		

BAA

Form 990-PF (2012)

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

(3) Provide a grant to an individual for travel, study, or other similar purposes?

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes No

Yes No

Yes No

Yes No

Yes No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A Yes No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PETER J. GRUBER PO BOX 208958 NEW HAVEN, CT 06520-8958	CHM EMERITUS 0	0.	0.	0.
PATRICIA M. GRUBER PO BOX 208958 NEW HAVEN, CT 06520-8958	PRESIDENT 3.00	0.	0.	0.
AMY SARAH HREHA PO BOX 208958 NEW HAVEN, CT 06520-8958	VP, TREAS, SEC 22.00	52,308.	0.	0.
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE-----	-----	-----	-----	-----
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----

Total number of other employees paid over \$50,000

0

BAA

TEEA0306L 12/25/12

Form 990-PF (2012)

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services.

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

organizations and other beneficiaries served, conferences convened, research papers produced, etc.	
1	N/A
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	<u>N/A</u>
2	

All other program-related investments. See instructions.

3 _____

Total. Add lines 1 through 3

0.

BAA

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:	
a Average monthly fair market value of securities	1a 5,783,879.
b Average of monthly cash balances	1b 2,287,188.
c Fair market value of all other assets (see instructions)	1c
d Total (add lines 1a, b, and c)	1d 8,071,067.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2 Acquisition indebtedness applicable to line 1 assets	2 0.
3 Subtract line 2 from line 1d	3 8,071,067.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4 121,066.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 7,950,001.
6 Minimum investment return. Enter 5% of line 5	6 397,500.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6	1 397,500.
2a Tax on investment income for 2012 from Part VI, line 5	2a 2,383.
b Income tax for 2012. (This does not include the tax from Part VI.)	2b
c Add lines 2a and 2b	2c 2,383.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3 395,117.
4 Recoveries of amounts treated as qualifying distributions	4
5 Add lines 3 and 4	5 395,117.
6 Deduction from distributable amount (see instructions)	6
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 395,117.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:	
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a 15,788,227.
b Program-related investments – total from Part IX-B	1b
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2
3 Amounts set aside for specific charitable projects that satisfy the:	
a Suitability test (prior IRS approval required)	3a
b Cash distribution test (attach the required schedule)	3b
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4 15,788,227.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5 2,383.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6 15,785,844.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				395,117.
2 Undistributed income, if any, as of the end of 2012.			0.	
a Enter amount for 2011 only		0.		
b Total for prior years 20 ___, 20 ___, 20 ___,				
3 Excess distributions carryover, if any, to 2012.				
a From 2007				
b From 2008				
c From 2009	132,731.			
d From 2010				
e From 2011	69,998,851.			
f Total of lines 3a through e	70,131,582.			
4 Qualifying distributions for 2012 from Part XII, line 4 ► \$ 15,788,227.			0.	
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2012 distributable amount				395,117.
e Remaining amount distributed out of corpus	15,393,110.			
5 Excess distributions carryover applied to 2012. (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	85,524,692.			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	85,524,692.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009	132,731.			
c Excess from 2010				
d Excess from 2011	69,998,851.			
e Excess from 2012	15,393,110.			

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling				►	
1 b	Check box to indicate whether the foundation is a private operating foundation described in section				<input type="checkbox"/> 4942(j)(3) or	4942(j)(5)
2 a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.					
2 b	85% of line 2a					
2 c	Qualifying distributions from Part XII, line 4 for each year listed					
2 d	Amounts included in line 2c not used directly for active conduct of exempt activities					
2 e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon:					
3 a	'Assets' alternative test – enter:					
3 a (1)	Value of all assets					
3 a (2)	Value of assets qualifying under section 4942(j)(3)(B)(i)					
3 b	'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
3 c	'Support' alternative test – enter:					
3 c (1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
3 c (2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
3 c (3)	Largest amount of support from an exempt organization					
3 c (4)	Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV: **Supplementary Information (continued)**

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SEE STATEMENT 10				
Total				► 3a 15,575,200.
<i>b Approved for future payment</i>				
BRANDEIS UNIVERSITY DEVELOPMENT SERVICES 415 SOUTH ST WALTHAM, MA 02453	N/A	PUBLIC	PETER GRUBER ENDOWED CHAIR IN NEUROSCIENCE	2,000,000.
TIBETAN BUDDHIST RESOURCE CENTER 115 FIFTH AVENUE, 7TH FLOOR NEW YORK, NY 10003	N/A	PUBLIC	SUPPORT FOR PRESERVATION OF TIBETAN CULTURE	800,000.
Total				► 3b 2,800,000.
BAA	TEEA0501L 12/23/12			Form 990-PF (2012)

Part XVI-A Analysis of Income-Producing Activities

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	388.	
4 Dividends and interest from securities			14	69,185.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	165,674.	
8 Gain or (loss) from sales of assets other than inventory					1,536.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a <u>SERVICE EXPENSE REIMBURSE</u>			1	116,089.	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				351,336.	1,536.
13 Total. Add line 12, columns (b), (d), and (e)				13	352,872.
(See worksheet in line 13 instructions to verify calculations.)					

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
- (2) Other assets

b Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets.
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

	Yes	No
1 a (1)		X
1 a (2)		X
1 b (1)		X
1 b (2)		X
1 b (3)		X
1 b (4)		X
1 b (5)		X
1 b (6)		X
1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

**Sign
Here**

Patricia M. Gmber 10/22/13 ► PRESIDENT

Signature of officer or trustee

Date _____

Tide

May the IRS discuss this return with the preparer shown below (see instructions)?

Paid Preparer Use Only	Print/Type preparer's name MARCELINE H. OBERLY	Preparer's signature <i>Marceline H. Oberly</i>	Date 10/17/13	Check <input checked="" type="checkbox"/> if self-employed	PTIN P00081778
	Firm's name ► MARCELINE H. OBERLY, CPA	Firm's EIN ►			
	Firm's address ► 225 FOREST HILLS DR BRISTOL, TN 37620	Phone no 423-573-4690			

BAA

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

OMB No. 1545-0047

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF

2012

Name of the organization

THE PETER AND PATRICIA GRUBER FOUNDATION

Employer identification number

65-1230485

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

501(c)(____) (enter number) organization
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
 527 political organization

Form 990-PF

501(c)(3) exempt private foundation
 4947(a)(1) nonexempt charitable trust treated as a private foundation
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

THE PETER AND PATRICIA GRUBER FOUNDATION

Employer identification number

65-1230485

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PETER & PATRICIA M. GRUBER	\$ 6,826,253.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/>
	6501 RED HOOK PLAZA, SUITE 201		(Complete Part II if there is a noncash contribution.)
	ST. THOMAS, VI 00802		
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	THE GRUBER FOUNDATION	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
	2 WHITNEY AVENUE, 6TH FLOOR		(Complete Part II if there is a noncash contribution.)
	NEW HAVEN, CT 06510		
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>
			(Complete Part II if there is a noncash contribution.)

Name of organization

THE PETER AND PATRICIA GRUBER FOUNDATION

Employer identification number

65-1230485

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	JPM BRAZIL REAL ZERO NOTE 07/01/13	\$ 6,826,253.	12/21/12

BAA

Name of organization

THE PETER AND PATRICIA GRUBER FOUNDATION

Employer identification number

65-1230485

Part III Exclusively religious, charitable, etc, individual contributions to section 501(c)(7), (8) or (10)**organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter total of *exclusively* religious, charitable, etc, contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.)
Use duplicate copies of Part III if additional space is needed.► \$ **N/A**

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
N/A			
(e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee			

2012

FEDERAL STATEMENTS

PAGE 1

THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	\$ 165,674.	\$ 167,211.	
SERVICE EXPENSE REIMBURSE	116,089.		
TOTAL	\$ 281,763.	\$ 167,211.	0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RESEARCH & COMPLIANCE	\$ 19,647.			\$ 24,512.
TOTAL	\$ 19,647.	\$ 0.	\$ 24,512.	

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND TAX PREPARATION	\$ 10,974.			\$ 10,244.
TOTAL	\$ 10,974.	\$ 0.	\$ 10,244.	

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX BASED ON INVESTMENT INCOME	\$ 2,383.			
TOTAL	\$ 2,383.	\$ 0.	\$ 0.	

STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE EXPENSES	\$ 502.			\$ 502.
ADP FEES - PAYROLL	1,708.			1,708.
ADVERTISING & PROMOTIONAL	684.			684.
ANNUAL & FRANCHISE REPORTS	534.			534.
BANK SERVICE CHARGES	133.			133.
DUES & SUBSCRIPTIONS	1,416.			1,416.
HONORARIUMS	2,000.			2,000.
HOSPITALITY	162.			162.
INSURANCE	7,901.			7,901.
MEALS & ENTERTAINMENT	2,535.			2,535.
MISCELLANEOUS	299.			299.
OFFICE EXPENSE	946.			946.
POSTAGE, SHIPPING & DELIVERY	29.			29.
SUPPLIES	55.			55.
TELEPHONE & INTERNET	4,348.			4,348.
WORKERS COMPENSATION INSURANCE	698.			698.
TOTAL	\$ 23,950.	\$ 0.		\$ 23,950.

STATEMENT 6
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
<u>OTHER INVESTMENTS</u>			
SFC PARK KNOLLS INVESTORS, LP	COST	\$ 9,071.	\$ 9,071.
SFC PHEASANT RUN INVESTORS, LP	COST	5,209.	5,209.
JPM BRAZIL REAL ZERO NOTE 07/01/13	MKT VAL	6,826,253.	7,125,826.
JPM HIGH YIELD FD	MKT VAL	1,063,657.	1,105,791.
GS BREN SPX 02/21/13	MKT VAL	1,000,000.	1,091,940.
TOTAL	\$ 8,904,190.		\$ 9,337,837.

STATEMENT 7
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MACHINERY AND EQUIPMENT	\$ 10,842.	\$ 9,899.	\$ 943.	\$ 943.
TOTAL	\$ 10,842.	\$ 9,899.	\$ 943.	\$ 943.

THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

STATEMENT 8
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
DIVIDENDS RECEIVABLE	\$ 7,064.	\$ 7,064.
DUE FROM PMG	577.	577.
SECURITY DEPOSITS	15,963.	15,963.
TOTAL	\$ 23,604.	\$ 23,604.

STATEMENT 9
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

NET UNREALIZED GAINS ON CONTRIBUTIONS PAID, SEE STMT 11

TOTAL	\$ 1,327,565.
	\$ 1,327,565.

STATEMENT 10
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
TIBETAN BUDDHIST RESOURCE CENTER 115 FIFTH AVENUE, 7TH FLOOR NEW YORK, NY 10003	N/A	PUBLIC	SUPPORT FOR PRESERVATION OF TIBETAN CULTURE	\$ 200,000.
COMMUNITY FOUNDATION OF THE VI P.O. BOX 11790 ST. THOMAS, VI 00801	N/A	PUBLIC	LAWS OF LIFE CHARACTER BUILDING ESSAY CONTEST FOR HIGH SCHOOL STUDENTS	36,200.
ASSOCIATION FOR ASIAN STUDIES 825 VICTORS WAY, SUITE 310 ANN HARBOR, MI 48108	N/A	PUBLIC	E. GENE SMITH INNER ASIA BOOK PRIZE	10,000.
NATIONAL COUNCIL FOR RESEARCH ON WOMEN 11 HANOVER SQUARE, 24TH FLOOR NEW YORK, NY 10005	N/A	PUBLIC	FUNDRAISER FOR MAKING A DIFFERENCE FOR WOMEN GALA	5,000.
TIBETAN BUDDHIST RESOURCE CENTER 115 FIFTH AVENUE, 7TH FLOOR NEW YORK, NY 10003	N/A	PUBLIC	ROBERT HO MATCHING GRANT	50,000.

THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

STATEMENT 10 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
RUBIN MUSEUM OF ART 150 WEST 17TH STREET NEW YORK, NY 10011	N/A	PUBLIC	NINE RIVERS GALA FUNDRAISING EVENT	\$ 13,000.
WNET.ORG 825 EIGHTH AVENUE NEW YORK, NY 10019	N/A	PUBLIC	DONATION FOR WOMEN, WAR AND PEACE OUTREACH	50,000.
BRANDEIS UNIVERSITY DEVELOPMENT SERVICES 415 SOUTH ST WALTHAM, MA 02453	N/A	PUBLIC	PETER GRUBER ENDOWED CHAIR IN NEUROSCIENCE, 1ST OF THREE ANNUAL	1,000,000.
CREATING HOPE INTERNATIONAL PO BOX 1058 DEARBORN, MI 48121	N/A	PUBLIC	TO SUPPORT AFGHAN INSTITUTE OF LEARNING	25,000.
PHILANTHROPY NEW YORK 79 FIFTH AVENUE, FOURTH FLOOR NEW YORK, NY 10003	N/A	PUBLIC	GBOWEE PEACE FOUNDATION USA DONATION FOR GLOBAL PEACE INITIATIVE	5,000.
MY BROTHER'S WORKSHOP PO BOX 503205 ST. THOMAS, VI 00805	N/A	PUBLIC	TO SUPPORT ST. THOMAS REFORM CHURCH OUTREACH PROGRAM	5,000.
NATIONAL COUNCIL FOR RESEARCH ON WOMEN 11 HANOVER SQUARE, 24TH FLOOR NEW YORK, NY 10005	N/A	PUBLIC	ADDITIONAL DINNER TICKET	1,000.
FOUNDATIONAL QUESTIONS INSTITUTE PO BOX 3655 DECATUR, GA 30031	N/A	PUBLIC	FQXI DONATION ESSAY AND VIDEO CONTEST	125,000.
THE GRUBER FOUNDATION 2 WHITNEY AVENUE, 6TH FLOOR NEW HAVEN, CT 06510	N/A	PUBLIC	TO ENSURE THE CONTINUITY OF THE GRUBER INTERNATIONAL PRIZE PROGRAM	14,000,000.
GENETICS SOCIETY OF AMERICA 9650 ROCKVILLE PIKE BETHESDA, MD 20814	N/A	PUBLIC	THE ROSALIND FRANKLIN AWARD	50,000.

TOTAL \$ 15,575,200.

THE PETER AND PATRICIA GRUBER FOUNDATION

65-1230485

STATEMENT 11

FORM 990-PF, PART III, LINE 3

NET UNREALIZED GAINS ON CONTRIBUTIONS PAID TO THE GRUBER FOUNDATION

PARTNERSHIPS	Book Value Tax Basis	JPM Valuation	Unrealized Gain/(Loss)	Date of Gift
Apollo VII Private Investors LLC	2,835,677	3,667,788	832,111	4/4/2012
Caduceus Asia Private Investors LP	630,130	534,591	(95,539)	4/5/2012
J.P. Morgan Secondary Private Equity Investors LP	515,807	634,421	118,614	4/4/2012
Highbridge Mezzanine Partners LP	1,869,956	1,920,453	50,497	4/5/2012
Blackstone Capital Partners VI LP	149,377	133,716	(15,661)	4/4/2012
Altima One World Agriculture Fund Ltd.	1,751,437	1,638,529	(112,908)	4/25/2012
J.P. Morgan Greater China Property Fund LLC	4,168,268	4,718,719	550,451	4/4/2012
TOTALS	11,920,652	13,248,217	1,327,565	

12/31/12

2012 FEDERAL BOOK DEPRECIATION SCHEDULE

THE PETER AND PATRICIA GRUBER FOUNDATION

PAGE 1

65-1230485

FORM 990/990-PF

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS. ECT.	CLR 179 BONUS/ ALLOW.	SPECIAL DEPR. BONUS/ ALLOW.	179/ BONUS/ SP. DEPR.	PRIOR DEC. BAL. /BASIS DEPR.	SALVAG. REDUCT.	DEPR. BASIS	PRIOR	METHOD	LIFE	RATE	CURRENT		
												DEPR.						
FURNITURE AND FIXTURES																		
1	FILE CABINET	11/08/01	3/31/12	520									520	200DB HY	7		0	
2	CABINETS	12/15/02	3/31/12	460									460	200DB MQ	7		0	
3	LATERAL FILE CABINETS	9/08/03	3/31/12	550									550	200DB MQ	7		0	
4	FILE CABINETS	12/31/03	3/31/12	1,480									1,480	200DB MQ	7		0	
5	DESK, TABLE, BOOKSHELVES	2/10/04	3/31/12	4,009									4,009	200DB HY	7		0	
22	STT OFFICE PHONE INSTALL	1/13/10	3/31/12	1,000									1,000	388	200DB HY	7	.17490	.88
	TOTAL FURNITURE AND FIXTURE			8,019									8,019	7,407			88	
MACHINERY AND EQUIPMENT																		
6	HP OFFICE JET	2/08/01	3/31/12	700									700	200DB HY	5		0	
7	COMPUTER	5/22/01	3/31/12	2,139									2,139	200DB HY	5		0	
8	LCD MONITOR	12/31/03	3/31/12	450									450	200DB MQ	5		0	
9	3 COMPUTERS-SCHLESINGER	4/22/04	3/31/12	4,959									4,959	200DB HY	5		0	
10	DELL COMPUTER	9/29/05	3/31/12	1,855									1,855	200DB HY	5		0	
11	HP OFFICEJET 7310 COLOR	3/17/07		400									400	394	200DB MQ	5	.01380	6
12	HP LASERJET P2015DN PRINT	3/17/07		500									500	493	200DB MQ	5	.01380	7
13	WESTERN DIGITAL MY BOOK	3/17/07		420									420	414	200DB MQ	5	.01380	6
14	DELL OPTIPLEX 740 MINITOW	6/06/07		1,664									1,664	1,593	200DB MQ	5	.04260	71
15	DELL E520 INTEL CELERON	7/03/07		1,437									1,437	1,336	200DB MQ	5	.07060	101
16	BLACKBERRY - DH	12/19/07		325									325	294	200DB MQ	5	.09580	31
18	DESKTOP - TOYIA	12/21/07		1,111									1,111	1,031	200DB MQ	5	.09580	80
19	PAT'S COMPUTER	10/31/07		1,468									1,468	1,328	200DB MQ	5	.09580	140
20	VIDEOCAMERA TRIPOD	11/13/08		332									332	264	200DB MQ	5	.10940	36

12/31/12

2012 FEDERAL BOOK DEPRECIATION SCHEDULE

THE PETER AND PATRICIA GRUBER FOUNDATION

PAGE 2

65-1230485

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST / BASIS	BUS. PCT.	QUR BONUS	SPECIAL DEPR. ALLOW.	PRIOR 179/ SP. DEPR.	PRIOR BONUS / DEPR.	DEC BAL / DEPR.	SALVAG BASIS REDUC.	DEPR. BASIS	PRIOR DEPR.	METHOD	LIFE	RATE	CURRENT DEPR.	
21	MICROPHONE	5/05/09		48									48	34	200DB HY	5	11520	6
23	PHONE AND NEW PLAN FOR PF	4/26/10		322									322	167	200DB HY	5	19200	62
24	BLACKBERRY-SH	5/07/10		243									243	127	200DB HY	5	19200	47
25	COMPUTERS	6/17/10		2,572									2,572	1,337	200DB HY	5	19200	494
	TOTAL MACHINERY AND EQUIPMENT			20,945		0	0	0	0	0	0	0	20,945	18,915				1,087
	MISCELLANEOUS																	
17	WEBSITE DEVELOPMENT	8/20/07	3/31/12	43,124									43,124	43,124	S/L	3		0
	TOTAL MISCELLANEOUS			43,124		0	0	0	0	0	0	0	43,124	43,124				0
	TOTAL DEPRECIATION			72,088		0	0	0	0	0	0	0	72,088	69,446				1,175
	GRAND TOTAL DEPRECIATION			72,088		0	0	0	0	0	0	0	72,088	69,446				1,175
	DEPRECIATION ASSETS SOLD			61,246		0	0	0	0	0	0	0	61,246	60,634				88
	DEPR REMAINING ASSETS			10,842		0	0	0	0	0	0	0	10,842	8,812				1,087