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MAY 15

Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No. 1545-0052

2012

Department of the Treasury Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

Open to Public Inspection

For calendar year 2012 or tax year beginning 2012, and ending 20

Name of foundation ALLEN FOUNDATION INC, A Employer identification number 65-0512905, B Telephone number 951 561 4450, G Check all that apply: Initial return, H Check type of organization: Section 501(c)(3) exempt private foundation, J Accounting method: Cash

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26).

SCANNED JUN 26 2013 Operating and Administrative Expenses

RECEIVED JUN 27 2013

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2012)

15P

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	12,225	6,560.13	
	2	Savings and temporary cash investments			
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	904,879	1,531,262.29	
	c	Investments—corporate bonds (attach schedule)	1,293,360	1,546,713.95	
	11	Investments—land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)				
14	Land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,186,014	3,070,420.11		
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see instructions)	2,186,123	3,070,420.11		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	2,186,123	3,070,420.11		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,186,123
2	Enter amount from Part I, line 27a	2	557,316
3	Other increases not included in line 2 (itemize) <b>MARKET GAINS</b>	3	326,982
4	Add lines 1, 2, and 3	4	3,070,421
5	Decreases not included in line 2 (itemize)	5	0
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30	6	3,070,421

65-0512905

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED LIST			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))	
(j) F.M.V. as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (j) over col. (k), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	24766.82
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))	
2011	72,850	2043,530	.04	
2010	47,575	1,452,523	.033	
2009	33,900	968,713	.035	
2008	88,420	981,075	.039	
2007	54,225	1,171,680	.040	
2	Total of line 1, column (d)		2	.187
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	.0374
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5		4	25,696,34
5	Multiply line 4 by line 3		5	96104
6	Enter 1% of net investment income (1% of Part I, line 27b)		6	2087
7	Add lines 5 and 6		7	98191
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		8	105850

EXERCISE TAX BASED ON INVESTMENT INCOME (SECTION 4940(d)(2), 4940(j), 4940(k), or 4940(l) - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	2087	-
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	-0-	
3	Add lines 1 and 2	3	2087	-
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	-0-	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2087	
6	Credits/Payments:			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	-0-	
b	Exempt foreign organizations—tax withheld at source	6b	-0-	
c	Tax paid with application for extension of time to file (Form 8868)	6c	-0-	
d	Backup withholding erroneously withheld	6d	-0-	
7	Total credits and payments. Add lines 6a through 6d	7	-0-	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	-0-	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2087	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	-0-	
11	Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	-0-	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
b		X
c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	X	
14	The books are in care of ▶ _____ Telephone no. ▶ _____ Located at ▶ _____ ZIP+4 ▶ _____			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? . . . . .	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) . . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**  Yes  No  
Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**  Yes  No  
If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
K L ALLEN 3300 NE 365 FT LAUDERDALE FL 33308	MGR.	-0-	-0-	-0-

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,568,392
b	Average of monthly cash balances	1b	40,373
c	Fair market value of all other assets (see instructions)	1c	-0-
d	<b>Total</b> (add lines 1a, b, and c)	1d	2,608,765
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	-0-
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,608,765
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	39,131.48
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,569,634
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	128,481-

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	128,481-
2a	Tax on investment income for 2012 from Part VI, line 5	2a	2087
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2004
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	126,477
4	Recoveries of amounts treated as qualifying distributions	4	-0-
5	Add lines 3 and 4	5	126,477
6	Deduction from distributable amount (see instructions)	6	-0-
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	126,477

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,058,450
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	-0-
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,058,450
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2087
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	1,037,53

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7 . . . . .				126,477
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only . . . . .				
b Total for prior years: 20__ , 20__ , 20__				
3 Excess distributions carryover, if any, to 2012:				
a From 2007 . . . . .				
b From 2008 . . . . .				
c From 2009 . . . . .				
d From 2010 . . . . .				
e From 2011 . . . . .				
f Total of lines 3a through e . . . . .				
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ _____				
a Applied to 2011, but not more than line 2a . . . . .				
b Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
c Treated as distributions out of corpus (Election required—see instructions) . . . . .				
d Applied to 2012 distributable amount . . . . .				
e Remaining amount distributed out of corpus . . . . .				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .				
b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .				
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .				
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013 . . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . . . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a . . . . .				
10 Analysis of line 9:				
a Excess from 2008 . . . . .				
b Excess from 2009 . . . . .				
c Excess from 2010 . . . . .				
d Excess from 2011 . . . . .				
e Excess from 2012 . . . . .				

65-0512905

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . . ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities, loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:  
 K L ALLEN 3300 NE 36th #1001 FT LAUDERDALE FL 33308  
 954 561 4450 KAYALLEN2682@AOLMAIL.COM
- b The form in which applications should be submitted and information and materials they should include:  
 LETTER FORM ALSO FORM 990 PF
- c Any submission deadlines:  
 NONE
- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
 NONE

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p><b>a</b> Paid during the year</p> <p>SEE ATTACHED LIST</p>				
<b>Total</b> . . . . .				<b>3a</b>
<p><b>b</b> Approved for future payment</p>				
<b>Total</b> . . . . .				<b>3b</b>

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Rows include categories like Program service revenue, Fees and contracts from government agencies, Membership dues and assessments, etc.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)



ALLEN FOUNDATION INC

CAPITAL TRANSACTIONS 2012

Prepared By	DATE	DATE
Approved By		

		1	2	3	4
		Br	Solo	A	C
1	CALPINE INC 2-21-12		892194	892194	
2	AAC HOLDING 7-13-12	1014790	1002708		14790
3	B OF A COOP 6-15-12	2251323	25000-	248677	
4	AAC HOLDING 7-13-12	2000799	25000-	497202	
5	AMERICAN FIN, 9-28-12	4950975	50000-	49025	
6	NEXTEL 7,375 11-19-12	15533750	162000-	666300	
7	DN 1 9-12-12		136074	136074	
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ALLEN FOUNDATION INC  
Form 990 PF 2012  
PART I LINE 18

Tax Schedule

#408	State of Florida	\$61.25
#436	IRS	2076.02
#439	IRS	<u>275.00</u>
		\$2412.27

THE ALLEN FOUNDATION INC  
PART I, LINE 1

Form 990-AF

Gifts Received

9-27-12 200 SHSW BAC PRL  
12 03-12 2550m SHS PBA  
Real Property 2682 C. Club Blvd

\$217,800.  
71,400.  
190,000.

\$479,200.

ALLEN FOUNDATION INC Form 990-PF 2012

PART II LINE 106

	Initials	Date
Prepared By		
Approved By		

	1	2	3	4
1	1000	ALLY PRB	26270-	
2	6000	BSP	59420-	
3	1000	MTGE	23570-	
4	2000	AFF	49960-	
5	1000	NLY	24870-	
6	1290	AMTG	26045-	
7	3000	ARY	79590-	
8	2000	ARA	12940-	
9	1000	AGO PRB	25060-	
10	100	AGO PRF	2425-	
11	200	BAC PRL	227000	
12	2000	HYT	24780	
13	1000	BQY	12240	
14	1500	CGO	24610	
15	3000	CMO	34410	
16	780	CWHN	16168-	
17	1700	DHG	25772	
18	2700	EVV	44982	
19	2400	GMA	59976	
20	4000	JHS	65366-	
21	1000	HTS	24810-	
22	2000	HAV	17300-	
23	3000	HIH	25080	
24	2000	HMH	12080	
25	532	HTR	12565	
26	500	ISL	11955	
27	1119	VLT	19302	
28	1000	UTA	12480	
29	2350	LYL PRA	64432	
30	3700	PRK	38776	
31	1000	CTQ	20810	
32	1000	CTX	26400	
33	3300	JSM	79167	
34	13500	EAD	135945	
35	1000	ERC	16170-	
36	4000	EHI	55120	
37	1650	HJX	15939	
38	2000	WEA	31080	
39	2000	WRB-PRA	50400-	
40			152126629	

# ALLEN FOUNDATION Form 990 PF 2012

PART II LINE 10 c

	Initials	Date
Prepared By		
Approved By		

		%			
1	50000 ALCOA	595	482999-		
2	50000 TELEFONICA	704	540000		
3	5000 ALBERTSONS	773	28000		
4	100000 AMR	100	70000		
5	13000 BOSTON SCIENTIFIC	625	16364		
6	50000 CHIAJITA	962	-0-		
7	7000 DALE	825	7245		
8	52000 DVI	967	-0-		
9	35000 EL PASO	78	40508		
10	125000 EL PASO	72	146272		
11	100000 FORD	742	127000		
12	100000 GENERAL MILWAU	8-	124250		
13	50000 GEN WORTH	65	50437		
14	100000 GEN WORTH	72	108000		
15	135000 GEN WORTH	767	148901		
16	43000 GOOD YEAR	7	43322		
17	25000 KEY BANK	542	27946		
18	23000 LUCENT	65	17307		
19	30000 LUCENT	645	22800		
20	150000 MARY	7	175498		
21	22000 MIRANT	912	24200		
22	100000 MIRANT	85	114000		
23	10000 PIONEER	72	12472-		
24	115000 SOUTHERN COPPER	75	128379		
25			154871395		
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**ALLEN FOUNDATION**

**GIFTS FROM JANUARY 1, 2012 THRU DECEMBER 31, 2012**

<b>\$3,000.00</b> <b>#401</b>	<b>ABCD MIAMI</b> Archbishop Thomas Wenski 9401 Biscayne Blvd. Miami Shores, FL 33138	Catholic Charities Social services to those in need in Archdiocese of Miami
<b>\$3,000.00</b> <b>#402</b>	<b>West Side Catholic Center</b> 3135 Lorain Avenue Cleveland, Oh 44113 216-631-4741 www:helpCleveland.org	Assist all who come in need of food, clothing, shelter and advocacy.
<b>\$ 200.00</b> <b>#403</b>	<b>CHIEF PETTY OFFICERS ASSOCIATION</b> c/o Dr. Joseph Verderber Coral Ridge Yacht Club 2800 Yacht Club Blvd. Fort Lauderdale, FL 3304 954-566-7886	Honors CPOS during Fleet Week
<b>\$ 250.00</b> <b>#404</b>	<b>1000 PLUS CLUB TO BENEFIT CANCER, INC.</b> 6278 North Federal Highway, #150 Fort Lauderdale, FL 33308 954-494-3499 <a href="http://www.Thousandplusclubtobenefitcancer.org">www.Thousandplusclubtobenefitcancer.org</a> E-Mail: <a href="mailto:info@thousandplusclubtobenefitcancer.org">info@thousandplusclubtobenefitcancer.org</a>	
<b>\$ 100.00</b> <b>#405</b>	<b>HOPE SOUTH FLORIDA</b> P. O. Box 14166 Fort Lauderdale, FL 33302	Homeless outreach services
<b>\$ 100.00</b> <b>#406</b>	<b>SPECIAL OLYMPICS FLORIDA</b> P. O. Box 120128 Clermont, FL 34712-0128	Sports activities for developmentally disabled

<b>\$500.00</b> <b>#407</b>	<b>HANDY</b> Lillian S. Wells Center 501 NE 8 <sup>th</sup> Street Fort Lauderdale, FL 33304 <a href="http://www.handyinc.org">www.handyinc.org</a> handykidz@handyinc.org	Services to Broward County foster children
<b>#408</b>	<b><i>Florida Department of State</i></b>	
<b>\$ 1,000.00</b> <b>#409</b>	<b>URSULINE SISTERS OF CLEVELAND</b> Sister Susan Durkin, OSU 2600 Lander Road Pepper Pike, OH 44124	Support for retired nuns
<b>\$ 300.00</b> <b>#410</b>	<b>REVEREND BERNARD E. ZAJDEL</b> 700 w. Maumee Street Angola, IN 46703 260-665-2259 <a href="mailto:zajdelbern@gmail.com">zajdelbern@gmail.com</a>	Support for maritime missions
<b>\$ 1,000.00</b> <b>#411</b>	<b>SALVATION ARMY FOOD PANTRY</b> P. O. Box 230 Fort Lauderdale, FL 33302-0230	
<b>\$ 125.00</b> <b>#412</b>	<b>FRIENDS OF EAST MANUAL LACROSSE</b> East High School 1600 City Park Esplanade Denver, CO 80206	
<b>\$2,500.00</b> <b>#413</b>	<b>EAST HIGH SCHOOL CONSTITUTIONAL SCHOLARS</b> East High School 1600 City Park Esplanade Denver, CO 80206	
<b>\$10,000.00</b> <b>#414</b>	<b>ST. PATRICK'S CATHEDRAL LANDMARK FOUNDATION</b> 1011 First Avenue, Suite 1400 New York, NY 10022	

<b>\$6,000.00</b> <b>#415</b>	<b>ST. JOSEPH ACADEMY</b> 3430 Rocky River Drive Cleveland, OH 44111	scholarships for needy students
<b>\$ 300.00</b> <b>#416</b>	<b>PACE CENTER for GIRLS</b> <b>Leo Goodwin Campus</b> 2225 N. Andrews Avenue Wilton Manors, FL 33311	Alternative High School
<b>\$1,000.00</b> <b>#417</b>	<b>AMERICARES</b> 88 Hamilton Avenue P. O. Box 4921 Stamford, CT 06907-0921	International emergency medical aid
<b>\$5,000.00</b> <b>#418</b>	<b>THE SALVATION ARMY</b> P. O. Box 230 Fort Lauderdale, FL 33302-0230	Camperships
<b>\$1,000.00</b> <b>#419</b>	<b>FOOD FOR THE POOR</b> 6401 Lyons Road PO Box 979004 Coconut Creek, FL 33097-9004	Water projects/Carribbean
<b>\$1,000.00</b> <b>#420</b>	<b>LIFECARE ALLIANCE</b> Ms. Andrea Albanese Denning, Vice President for Advancement 1699 West Mound Street Columbus, OH 43223	Food Bank
<b>\$ 500.00</b> <b>#421</b>	<b>POOR CLARE NUNS</b> 3501 Rocky River Drive Cleveland, OH 44111	Cloistered order of nuns
<b>\$2,000.00</b> <b>#422</b>	<b>LOVE THY NEIGHBOR FUND, INC</b> 920 NW 7 <sup>th</sup> Avenue Fort Lauderdale, FL 33311	Culinary skills training program

<b>\$ 500.00</b> <b>#423</b>	<b>PACE CENTER FOR GIRLS BROWARD</b> 2225 N. Andrews Avenue Wilton Manors, FL 33311	Alternative high school for girls
<b>\$ 500.00</b> <b>#425</b>	<b>CHRIST CHILD SOCIETY OF CLEVELAND</b> C/O Parmadale 6753 State Road Parma, OH 44134	Serving impoverished children
<b>\$ 200.00</b> <b>#426</b>	<b>PILGRIM EVANGICAL CHURCH</b> 1293 N. W. 119 <sup>th</sup> Street North Miami, FL 33168	Rev. James N. Pasteurin, Pastor Rebuilding church after arson fire
<b>\$ 90.00</b> <b>#427</b>	<b>THE OPERA SOCIETY</b>	simulates musical education and enrichment in Broward County community
<b>\$3,000.00</b> <b>#429</b>	<b>H. O. P. E. (Homeless Outreach Programs &amp; Events)</b> c/o Peace Lutheran Church 455 Clark State Road Gahanna, OH 43230 614-337-1000	Provides social services to Homeless Columbus, OH
<b>\$1,000.00</b> <b>\$430</b>	<b>O. R. T. (Organization for Rehabilitation through Training)</b> 75 Maiden Lane, 10 <sup>th</sup> Floor New York, NY 10038	Provides innovative educational initiatives & cutting edge training for Jewish students world wide
<b>\$1,000.00</b> <b>#431</b>	<b>GODMAN GUILD ASSOCIATION</b> 303 East Sixth Avenue Columbus, OH 43201	Services to strengthen families and communities in Columbus, OH
<b>\$ 100.00</b> <b>#432</b>	<b>THE CHRIST CHILD SOCIETY</b> Parmadale 6753 State Road Parma, OH 44134	

<b>\$ 1,000.00</b> <b>#433</b>	<b>THE SALVATION ARMY</b> P. O. Box 230 Fort Lauderdale, FL 33302-0230	
<b>\$ 100.00</b> <b>#435</b>	<b>THE 1,000+ CLUB TO BENEFIT CANCER, INC</b>	Cancer research
<b>\$ 100.00</b> <b>#434</b>	<b>URSULINE SISTERS</b> Lander Road Pepper Pike, OH 44124	
<b>\$5,000.00</b> <b>#437</b>	<b>OPERATION WALK DENVER</b> C/O Rocky Mountain Adventist Healthcare Foundation 7995 E. Prentice Avenue, Ste. 204 Greenwood Village, CO 80111	Hip & knee replacements Panama
<b>\$1,000.00</b> <b>#438</b>	<b>FOREIGN MISSIONS OF THE OBLATES OF ST. FRANCIS DE SALES</b> Rev. Fr. Charles Goliath – Foreign Missions of the Oblates of St. Francis of de Sales <i>c/o The Archdiocese of Miami</i>	
<b>\$ 275.00</b> <b>#439</b>	<b>ROYAL DAMES OF CANCER RESEARCH, INC</b>	Cancer research
<b>\$ 100.00</b> <b>#440</b>	<b>HOSPICE OF GOLD COAST</b> <b>BROWARD HEALTH</b> Fort Lauderdale, FL 33309	Care of terminally ill
<b>\$1,000.00</b> <b>#441</b>	<b>AMERICARES</b> 88 Hamilton Avenue P. O. Box 4921 Stamford, CT 06907-0921	Emergency medical aid in crisis
<b>\$ 500.00</b> <b>#442</b>	<b>CORNERSTONE AMONG WOMEN</b> 364 Griswold Road Elyria, OH 44035	Pregnancy counseling

<b>\$3,000.00</b> <b>#443</b>	<b>HOPE SOUTH FLORIDA</b> P. O. BOX 33302 Ft. Lauderdale, FL 33302	Housing assistance for mothers
<b>\$ 150.00</b> <b>#444</b>	<b>MULTIPLE SCLEROSIS FOUNDATION</b> 6520 North Andrews Avenue Fort Lauderdale, FL 33309-9937	
<b>\$ 150.00</b> <b>#445</b>	<b>THE 1000+ CLUB</b>	Cancer Research
<b>\$3,000.00</b>	<b>MAKE A WISH FOUNDATION</b>	Aid to needy families
<b>#446</b> <b>\$3,000.00</b>	Herald Charities One Herald Plaza Miami, FL 33121	
<b>\$2,500.00</b> <b>#447</b>	<b>URSULINE COLLEGE</b> 2550 Lander Road Pepper Pike, Oh 44124 440-684-6022 Ursuline.edu	Scholarships
<b>\$2,500.00</b> <b>#448</b>	<b>ST. JOSEPH ACADEMY</b>	Scholarships
<b>\$2,000.00</b> <b>#449</b>	<b>THE SALVATION ARMY</b>	
<b>\$2,500.00</b> <b>#450</b>	<b>LIFENET4FAMILIES</b> 1 NW 33 <sup>rd</sup> Terrace Lauderhill, FL 33311 954-792-EAT www: FeedingBroward.org	Services to homeless

<b>\$2,500.00</b> <b>#451</b>	<b>DOCTORS WITHOUT BORDERS</b>
<b>\$2,500.00</b> <b>#452</b>	<b>HOPE CENTRAL PREGNANCY COUNSELING</b>
<b>\$2,500.00</b> <b>#453</b>	<b>HANDY (Independent Living Program)</b>
<b>\$2,500.00</b> <b>#454</b>	<b>EAST ANGEL STUDENT ASSISTANCE FUND</b>
<b>\$2,000.00</b> <b>#455</b>	<b>SIGHTSAVERS INTERNATIONAL</b> P.O. Box 943 Ayer, MA 01432 <a href="http://www:sightsaverusa.org">www:sightsaverusa.org</a>
<b>\$2,000.00</b> <b>#456</b>	<b>LOVE THY NEIGHBOR</b>
<b>\$ 500.00</b> <b>#457</b>	<b>COLUMBAN FATHERS</b>
<b>\$ 500.00</b> <b>#458</b>	<b>BISHOPS OF NORTHERN ALASKA</b>
<b>\$ 200.00</b> <b>#459</b>	<b>TRINITY LUTHERAN CHURCH</b>
<b>\$2,000.00</b> <b>#460</b>	<b>THE LUTHERAN HOME</b>
<b>\$2,000.00</b> <b>#461</b>	<b>AVE MARIA CATHOLIC CHURCH</b>
<b>\$2000.00</b> <b>#462</b>	<b>URSULINE SISTERS</b>

**\$1,000.00 POOR CLARE NUNS**  
**#463**

**\$2,500.00 FLORIDA SHERRIF'S RANCHES**  
**#464**

**\$2,000.00 AMERICAN RED CROSS**  
**#465**

**\$1,000.00 CLOTHES TO KIDS DENVER**  
**#466**

**\$1,000.00 O.R.T.**  
**#467**

**\$1,000.00 WOMEN IN DISTRESS**  
**#468**

**\$ 500.00 WORD AMONG US PARTNERS**  
**#469**

**\$ 500.00 CAMP DUDLEY**  
**#470**

**\$1,000.00 H.O.P.E**  
**#471**

**\$1,000,00 RETIRED FUND FOR RELIGIOUS**  
**#472**

10,840