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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation

LIPTON FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

655 OCEAN BOULEVARD

Room/suite

A Employer identification number

65-0098730

B Telephone number

(305) 935-3535

City or town, state, and ZIP code

GOLDEN BEACH, FL 33160

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 5,944,848. (Part I, column (d) must be on cash basis)

J Accounting method:

☒ Cash ☐ Accrual☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received			N/A	
2	Check ☒ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	12,283.	12,283.		STATEMENT 1
4	Dividends and interest from securities	119,672.	119,672.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	<9,219.>			
b	Gross sales price for all assets on line 6a	1,605,397.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: cost of goods sold				
c	Gross profit or (loss)				
11	Other income	1,078.	1,078.		STATEMENT 3
12	Total. Add lines 1 through 11	123,814.	133,033.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	29,000.	14,500.		14,500.
c	Other professional fees	43,320.	21,660.		21,660.
17	Interest				
18	Taxes	2,017.	1,009.		1,008.
19	Depreciation and depletion	707.	0.		
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	27,919.	13,959.		13,960.
24	Total operating and administrative expenses. Add lines 13 through 23	102,963.	51,128.		51,128.
25	Contributions, gifts, grants paid	257,511.			257,511.
26	Total expenses and disbursements. Add lines 24 and 25	360,474.	51,128.		308,639.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<236,660.>			
b	Net investment income (if negative, enter -0-)		81,905.		
c	Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	955,801.	837,778.	837,778.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	4,541,023.	3,791,860.	4,643,122.
	c Investments - corporate bonds STMT 9	145,813.	691,755.	449,437.
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other	150,428.			
14 Land, buildings, and equipment: basis ▶ 101,508.				
Less: accumulated depreciation STMT 10 ▶ 86,997.	15,218.	14,511.	14,511.	
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	5,808,283.	5,335,904.	5,944,848.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	7,606,497.	7,606,497.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	<1,798,214.>	<2,270,593.>		
30 Total net assets or fund balances	5,808,283.	5,335,904.		
31 Total liabilities and net assets/fund balances	5,808,283.	5,335,904.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,808,283.
2 Enter amount from Part I, line 27a	2	<236,660.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	5,571,623.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED GAINS (LOSSES)	5	235,719.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,335,904.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,605,397.		1,614,616.	<9,219.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			<9,219.>

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<9,219.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	333,276.	6,595,464.	.050531
2010	384,424.	6,599,869.	.058247
2009	318,944.	6,414,575.	.049722
2008	308,954.	7,780,367.	.039709
2007	515,395.	8,923,439.	.057757

2 Total of line 1, column (d)	2	.255966
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051193
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	5,877,838.
5 Multiply line 4 by line 3	5	300,904.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	819.
7 Add lines 5 and 6	7	301,723.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	308,639.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	819.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	819.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	819.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,687.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,687.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	868.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ 868. Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ FL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ <u>N/A</u>				
14	The books are in care of ▶ <u>JANICE LIPTON</u> Telephone no. ▶ <u>305-935-3338</u>			
Located at ▶ <u>655 OCEAN BOULEVARD, GOLDEN BEACH, FL</u>		ZIP+4 ▶ <u>33160</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No		
	If "Yes," list the years ▶ _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JANICE LIPTON 655 OCEAN BOULEVARD GOLDEN BEACH, FL 33160	TRUSTEE 25.00	0.	0.	0.
ALAN LIPTON 655 OCEAN BOULEVARD GOLDEN BEACH, FL 33160	TRUSTEE 15.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,890,045.
b	Average of monthly cash balances	1b	1,002,089.
c	Fair market value of all other assets	1c	75,214.
d	Total (add lines 1a, b, and c)	1d	5,967,348.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,967,348.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	89,510.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,877,838.
6	Minimum investment return. Enter 5% of line 5	6	293,892.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	293,892.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	819.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	819.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	293,073.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	293,073.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	293,073.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	308,639.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	308,639.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	819.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	307,820.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				293,073.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	67,840.			
b From 2008				
c From 2009				
d From 2010	55,405.			
e From 2011	5,171.			
f Total of lines 3a through e	128,416.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$	308,639.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				293,073.
e Remaining amount distributed out of corpus	15,566.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	143,982.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	67,840.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	76,142.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010	55,405.			
d Excess from 2011	5,171.			
e Excess from 2012	15,566.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE			UNRESTRICTED	257,511.
Total			3a	257,511.
b Approved for future payment				
NONE				
Total			3b	0.

Contributions 2012

JCS 735 NE 125th Street North Miami, FL 33161	101,800 00
Pan Mass Challenge 77 Fourth Ave Needham, MA 02494	180 00
University Of Miami 6200 San Amaro Drive Coral Gables, Miami 33146	45,000.00
Aleph Institute, Inc 9540 Collins Ave Surfside, FL 33154	1,000.00
Brooklyn College 2900 Bedford Ave New York, NY 11210	5,000.00
Greater Miami Federation 4200 Biscayne Blvd. Miami, FL 33137-3279	10,430 00
Brain Tumor 1350 Avenue of the Americas Suite 1200 New York, NY 10019	500.00
Moca 770 NE 125th Street North Miami, FL 33161	30,001.23
Shul of Bal Harbour 9540 Collins Ave Surfside, FL 33154	39,000.00
New World Symphony 500 17th Street Miami Beach, FL 33139	500 00
Razi School 55-11 Queens Blvd. Woodside, NY 11377	1,000.00
Simcha Layeladim 10140 NW 47th Street Sunrise, FL 33351	10,500 00

Frienship Circle 8700 SW 112Street Miami, Florida 33176	1,000.00
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Aspen Chabad 435 West Main Street Aspen, CO 81611	3,600.00
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The Buoniconti Fund 1095 NW 14th Terrace Lois Pope Life Center Miami, FL 33136	7,000.00
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American Jewish Committee 1900 NW Corporate Blvd Suite 210W Boca Raton, FL 33496	1,000.00
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Total Donations 2012	257,511.23
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LIPTON FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	EATON VANCE GLOBAL	P	03/30/10	01/03/12
b	EATON VANCE GLOBAL	P	03/30/10	03/22/12
c	EATON VANCE GLOBAL	P	03/30/10	04/02/12
d	FEDL HOME LOAN-RETURN OF PRIN	P	01/17/12	04/16/12
e	MORGAN STANLEY(SEE ATTACHED)	P	01/30/12	03/14/12
f	MORGAN STANLEY(SEE ATTACHED)	P	08/12/11	03/23/12
g	MORGAN STANLEY(SEE ATTACHED)	P	11/08/10	03/22/12
h	FAIRHOLME FDS	P	12/19/11	02/09/12
i	FAIRHOLME FDS	P	04/14/10	02/09/12
j	POWERSHARES			12/31/12
k	MERRILL LYNCH(SEE ATTACHED)	P	08/19/11	05/09/12
l	MERRILL LYNCH(SEE ATTACHED)	P	07/05/11	07/13/12
m	MERRILL LYNCH(SEE ATTACHED)	P	10/21/11	08/24/12
n	MERRILL LYNCH(SEE ATTACHED)	P	02/04/11	06/04/11
o	AMAZON. COM		02/24/11	08/24/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 100,000.		104,602.	<4,602.>
b 30,000.		31,066.	<1,066.>
c 30,000.		31,066.	<1,066.>
d 1,186.		1,186.	0.
e 172,171.		174,953.	<2,782.>
f 10,224.		11,889.	<1,665.>
g 34,367.		33,016.	1,351.
h 1,317.		1,127.	190.
i 34,046.		42,908.	<8,862.>
j		18.	<18.>
k 137,977.		143,905.	<5,928.>
l 32,125.		31,578.	547.
m 460,612.		450,618.	9,994.
n 226,027.		216,532.	9,495.
o 3,600.		2,847.	753.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<4,602.>
b			<1,066.>
c			<1,066.>
d			0.
e			<2,782.>
f			<1,665.>
g			1,351.
h			190.
i			<8,862.>
j			<18.>
k			<5,928.>
l			547.
m			9,994.
n			9,495.
o			753.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	APPLE, INC.		02/24/11	08/24/12
b	BROADCOM CORP	P	02/28/11	08/24/12
c	CENTURYLINK INC	P	02/28/11	08/24/12
d	CONOCOPHILIPS	P	02/04/11	08/24/12
e	DANAHER CORP	P	02/04/11	08/24/12
f	DOW CHEMICAL CO	P	02/01/11	04/11/12
g	GENERAL ELECTRIC	P	02/04/11	08/24/12
h	GENERAL MILLS	P	02/04/11	08/24/12
i	GOOGLE INC	P	02/04/11	08/24/12
j	JPMORGAN CHASE	P	02/04/11	08/24/12
k	JOHNSON & JOHNSON	P	02/28/11	08/24/12
l	LIMITED BRANDS	P	02/14/11	08/24/12
m	MARATHON OIL	P	02/04/11	08/24/12
n	NORTHOP GRUMMAN	P	02/18/11	08/24/12
o	OCCIDENTAL PETE	P	02/04/11	08/24/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,793.		9,782.	7,011.
b 1,981.		2,267.	<286.>
c 1,482.		1,524.	<42.>
d 1,786.		1,797.	<11.>
e 4,763.		4,598.	165.
f 509.		604.	<95.>
g 2,281.		2,336.	<55.>
h 855.		785.	70.
i 3,848.		3,676.	172.
j 4,808.		5,285.	<477.>
k 1,325.		2,548.	<1,223.>
l 1,661.		1,190.	471.
m 1,175.		1,996.	<821.>
n 1,389.		1,375.	14.
o 1,859.		2,125.	<266.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			7,011.
b			<286.>
c			<42.>
d			<11.>
e			165.
f			<95.>
g			<55.>
h			70.
i			172.
j			<477.>
k			<1,223.>
l			471.
m			<821.>
n			14.
o			<266.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

LIPTON FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ORACLE CORP		P	02/04/11	08/24/12
b PHILLIPS 66		P	02/04/11	08/24/12
c PFIZER INC		P	02/04/11	08/24/12
d PROCTOR & GAMBLE		P	02/04/11	08/24/12
e QUALCOMM INC		P	02/10/11	08/24/12
f RAYTHEON CO		P	02/04/11	08/24/12
g SCHLUMBERGER		P	03/31/11	08/24/12
h STANLEY BLACK & DECKER		P	03/31/11	08/24/12
i STARBUCKS CORP		P	03/31/11	08/24/12
j TRAVELERS COS		P	02/18/11	08/24/12
k WELLS FARGO & CO		P	02/04/11	08/24/12
l MARATHON PETROLEUM		P	03/25/11	08/24/12
m AMAZON. COM		P	02/04/11	08/24/12
n ALLERGAN INC		P	02/04/11	08/24/12
o AT&T		P	02/04/01	08/24/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 878.		1,020.	<142.>
b 289.		407.	<118.>
c 264.		209.	55.
d 2,063.		1,961.	102.
e 4,704.		4,336.	368.
f 1,939.		1,837.	102.
g 2,604.		3,543.	<939.>
h 1,575.		1,599.	<24.>
i 2,823.		1,921.	902.
j 3,132.		3,037.	95.
k 2,024.		2,527.	<503.>
l 894.		1,019.	<125.>
m 211.		176.	35.
n 1,671.		1,671.	0.
o 237.		237.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<142.>
b			<118.>
c			55.
d			102.
e			368.
f			102.
g			<939.>
h			<24.>
i			902.
j			95.
k			<503.>
l			<125.>
m			35.
n			0.
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	APPLE INC	P	02/04/11	08/24/12
b	BROADCOM CORP	P	02/28/11	08/24/12
c	CENTURYLINK INC	P	02/08/11	08/24/12
d	CONOCOPHILIPS	P	02/04/11	08/24/12
e	DANAHER CORP	P	02/28/11	08/24/12
f	GENERAL ELECTRIC	P	02/28/11	08/24/12
g	GENERAL MILLS	P	02/04/11	08/24/12
h	JPMORGAN CHASE	P	02/04/11	08/24/12
i	JOHNSON & JOHNSON	P	02/28/11	08/24/12
j	LIMITED BRANDS	P	02/14/11	08/24/12
k	JOHNSON CONTROLS	P	02/04/11	08/24/12
l	MARATHON OIL	P	02/18/11	08/24/12
m	MARATHON PETROLEUM	P	03/25/11	08/24/12
n	NORTHOP GRUMMAN	P	02/18/11	08/24/12
o	OCCIDENTAL PETE CORP	P	02/04/11	08/24/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,182.	691.	491.
b	96.	124.	<28.>
c	119.	124.	<5.>
d	104.	144.	<40.>
e	315.	311.	4.
f	210.	221.	<11.>
g	117.	105.	12.
h	298.	397.	<99.>
i	1,759.	1,600.	159.
j	139.	99.	40.
k	385.	385.	0.
l	74.	152.	<78.>
m	74.	102.	<28.>
n	118.	120.	<2.>
o	160.	195.	<35.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			491.
b			<28.>
c			<5.>
d			<40.>
e			4.
f			<11.>
g			12.
h			<99.>
i			159.
j			40.
k			0.
l			<78.>
m			<28.>
n			<2.>
o			<35.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

LIPTON FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ORACLE CORP	P	02/04/11	08/24/12
b	PROCTOR & GAMBLE	P	02/04/11	08/24/12
c	QUALCOMM INC	P	02/10/11	08/24/12
d	RAYTHEON CO	P	02/04/11	08/24/12
e	SCHLUMBERGER	P	03/31/11	08/24/12
f	STANLEY BLACK & DECKER	P	05/20/11	08/24/12
g	STARBUCKS CORP	P	03/31/11	08/24/12
h	TRAVELERS COS	P	02/18/11	08/24/12
i	WELLS FARGO & CO	P	02/04/11	08/24/12
j	MORGAN STANLEY (SEE ATTACHED)	P	02/28/12	11/29/12
k	MORGAN STANLEY (SEE ATTACHED)	P	11/18/08	12/06/12
l	MORGAN STANLEY (SEE ATTACHED)	P	04/17/12	12/11/12
m	MORGAN STANLEY (SEE ATTACHED)	P	08/17/11	09/13/12
n	E V GLOBAL MACRO	P	01/03/12	06/01/12
o	FHR 1394	P	05/15/12	12/15/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 765.		853.	<88.>
b 576.		569.	7.
c 346.		342.	4.
d 201.		201.	0.
e 1,557.		2,332.	<775.>
f 133.		151.	<18.>
g 1,183.		9,238.	<8,055.>
h 249.		243.	6.
i 476.		489.	<13.>
j 4,468.		4,210.	258.
k 34,508.		35,093.	<585.>
l 155,725.		161,542.	<5,817.>
m 2,433.		2,075.	358.
n 4,778.		4,907.	<129.>
o 2,143.		2,143.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<88.>
b			7.
c			4.
d			0.
e			<775.>
f			<18.>
g			<8,055.>
h			6.
i			<13.>
j			258.
k			<585.>
l			<5,817.>
m			358.
n			<129.>
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a E V GLOBAL MACRO		P	03/30/10	06/01/12
b CAPITAL GAINS DIVIDENDS				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 38,483.		40,759.	<2,276.>
b 6,748.			6,748.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<2,276.>
b			6,748.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	<9,219.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }		3	N/A

Morgan Stanley

Details of Short Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	98	BARCLAYS BANK PLC IPATH JPY/USD EXCH RT ETN MorganStanley SmithBarney LLC acted as your agent	02/02/12	02/16/12	\$ 7,295 03	\$ 7,580 28	(\$ 285 25)	
125000110	164	GREENHAVEN CONTINUOUS	09/26/11	04/17/12	\$ 4,807.47	\$ 5,060 93	(\$ 253.46)	
	21	COMMODITY INDEX FD	10/21/11		615 59	666.24	(50 65)	
	25	UNIT BEN INT	01/11/12		732 85	771.57	(38.72)	
	251	MorganStanley SmithBarney LLC	02/24/12		7,357 77	7,984 11	(626 34)	
	11		03/16/12		322 45	342 03	(19 58)	
125000120	12	ISHARES BARCLAYS US AGGR BOND FD MorganStanley SmithBarney LLC acted as your agent	08/17/11	01/11/12	1,320 73	1,314 64		6 09
125000130	3	ISHARES BARCLAYS US AGGR BOND FD MorganStanley SmithBarney LLC acted as your agent	08/17/11	01/30/12	332.13	328 66		3 47
125000140	4	ISHARES BARCLAYS US AGGR BOND FD MorganStanley SmithBarney LLC acted as your agent	08/17/11	02/24/12	442 45	438 21		4 24
125000150	46 41	ISHARES BARCLAYS US AGGR BOND FD MorganStanley SmithBarney LLC acted as your agent	08/17/11 10/21/11	03/14/12	5,045 34 4,496 93	5,039.43 4,486 06		5.91 10.87
125000160	64	ISHARES BARCLAYS 1-3 YEAR TREAS BOND FUND MorganStanley SmithBarney LLC acted as your agent	06/10/11	01/11/12	5,408 53	5,400 75		7.78
125000170	40	ISHARES BARCLAYS 1-3 YEAR TREAS BOND FUND MorganStanley SmithBarney LLC acted as your agent	06/10/11	01/30/12	3,382 81	3,375 47		7 34
125000180	150	ISHARES BARCLAYS 1-3 YEAR TREAS BOND FUND MorganStanley SmithBarney LLC acted as your agent	06/10/11	02/24/12	12,659 82	12,658 00		1.82
125000190	2	ISHARES BARCLAYS SHORT TREAS BD FD MorganStanley SmithBarney LLC acted as your agent	12/22/11	01/11/12	220 43	220.46	(.03)	

2 0 1 2 Y E A R E N D S U M M A R Y

2012 Year End Summary

Ref 00007894 00055228

ALAN LIPTON & JANICE L. LIPTON
Account Number 416-1198A-17 707

Details of Short Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000200	2	ISHARES BARCLAYS SHORT TREAS BD FD MorganStanley SmithBarney LLC acted as your agent	12/22/11	01/30/12	\$ 220.40	\$ 220.46	(\$.06)	
125000210	69	ISHARES BARCLAYS SHORT TREAS BD FD MorganStanley SmithBarney LLC acted as your agent	12/22/11	02/02/12	7,603.04	7,605.80	(2.76)	
125000220	1	MARKET VECTORS INDONESIA INDEX ETF MorganStanley SmithBarney LLC acted as your agent	12/22/11	01/11/12	29.22	29.15		07
125000230	58 12	MARKET VECTORS INDONESIA INDEX ETF MorganStanley SmithBarney LLC acted as your agent	12/22/11 01/30/12	02/24/12	1,661.58 343.78	1,690.51 354.74	(28.93) (10.96)	
125000240	34	PIMCO ENHANCED SHORT MATURITY STRATEGY FUND MorganStanley SmithBarney LLC acted as your agent	08/03/11	01/11/12	3,406.71	3,438.42	(32.71)	
125000250	35	PIMCO ENHANCED SHORT MATURITY STRATEGY FUND MorganStanley SmithBarney LLC acted as your agent	08/03/11	01/30/12	3,519.02	3,539.55	(20.53)	
125000260	126	PIMCO ENHANCED SHORT MATURITY STRATEGY FUND MorganStanley SmithBarney LLC acted as your agent	08/03/11	02/24/12	12,717.58	12,742.38	(24.80)	
125000270	5	POWERSHARES EXCHANGE-TRADED FD TR DWA TECHNICAL LEADERS PORTFOLIO MorganStanley SmithBarney LLC	01/30/12	02/24/12	131.80	124.47		7.33
125000280	77	POWERSHARES DB G10 CURRENCY HARVEST FD MorganStanley SmithBarney LLC acted as your agent	10/20/11	01/11/12	1,852.23	1,847.87		4.36

2 0 1 2 Y E A R E N D S U M M A R Y

2012 Year End Summary

ALAN LIPTON & JANICE L. LIPTON
Account Number 416-1198A-17 707

Details of Short Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000290	17	POWERSHARES DB G10 CURRENCY HARVEST FD MorganStanley SmithBarney LLC acted as your agent	10/20/11	01/30/12	\$ 416.38	\$ 407.97		\$ 8.41
125000300	81	POWERSHARES DB G10 CURRENCY HARVEST FD MorganStanley SmithBarney LLC acted as your agent	10/20/11	02/24/12	2,059.14	1,943.86		115.28
125000310	69 158 1	POWERSHARES DB G10 CURRENCY HARVEST FD MorganStanley SmithBarney LLC acted as your agent	10/20/11 11/30/11 03/16/12	04/27/12	1,716.00 3,929.38 24.87	1,655.88 3,773.04 25.41	(.54)	60.12 156.34
125000320	27	POWERSHARES DB ENERGY FUND MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/27/11	01/11/12	776.69	758.43		18.26
125000330	226	POWERSHARES DB ENERGY FUND MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/27/11	01/30/12	6,450.14	6,348.34		101.80
125000340	103	POWERSHARES DB PRECIOUS METALS FUND MorganStanley SmithBarney LLC acted as your agent	08/17/11	01/09/12	5,740.27	6,725.74	(985.47)	
125000350	236	POWERSHARES DWA DEVELOPED MKTS TECHNICAL LEADERS PORT MorganStanley SmithBarney LLC acted as your agent	08/17/11	01/11/12	4,160.74	4,731.83	(571.09)	
125000360	276	POWERSHARES DWA EMERGING MKTS MorganStanley SmithBarney LLC acted as your agent in this transaction	08/17/11	01/11/12	4,468.90	4,989.48	(520.58)	
125000370	66	VANGUARD BOND INDEX FUNDS SHORT-TERM BOND ETF MorganStanley SmithBarney LLC acted as your agent	06/10/11	01/11/12	5,346.79	5,360.01	(13.22)	

2 0 1 2 Y E A R E N D S U M M A R Y

Morgan Stanley

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2012 Year End Summary

Ref: 00007894 00055230

ALAN LIPTON & JANICE L. LIPTON
Account Number 416-1198A-17 707

Details of Short Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000380	42	VANGUARD BOND INDEX FUNDS SHORT-TERM BOND ETF MorganStanley SmithBarney LLC acted as your agent	06/10/11	01/30/12	\$ 3,414.69	\$ 3,410.91		\$ 3.78
125000390	156	VANGUARD BOND INDEX FUNDS SHORT-TERM BOND ETF MorganStanley SmithBarney LLC acted as your agent	06/10/11	02/24/12	12,655.35	12,669.10	(13.75)	
125000400	3	VANGUARD BD INDEX FD INC TOTAL BD MARKET ETF MorganStanley SmithBarney LLC acted as your agent	01/11/12	01/30/12	252.06	250.50		1.56
125000410	4	VANGUARD BD INDEX FD INC TOTAL BD MARKET ETF MorganStanley SmithBarney LLC acted as your agent	01/11/12	02/24/12	335.20	334.00		1.20
125000420	115	VANGUARD BD INDEX FD INC TOTAL BD MARKET ETF MorganStanley SmithBarney LLC acted as your agent	01/11/12	03/14/12	9,558.45	9,602.54	(44.09)	
Total					\$ 172,171.45	\$ 174,953.29	(\$ 3,586.10)	\$ 804.26

2 0 1 2 Y E A R E N D S U M M A R Y

2012 Year End Summary

Ref: 00010004 00073317

2 0 1 2 Y E A R E N D S U M M A R Y

Details of Short Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000160	112	ING GROEP NV SPONS ADR	08/12/11	04/26/12	\$ 792.70	\$ 963.72	(\$ 171.02)	
125000170	59	ING GROEP NV SPONS ADR	08/12/11	04/27/12	412.71	507.67	(94.96)	
	82		08/16/11		573.60	728.30	(154.70)	
	108		08/19/11		755.47	863.85	(108.38)	
	208		09/16/11		1,461.99	1,546.47	(84.48)	
125000210	169	LI & FUNG LTD-HKD	08/31/11	03/27/12	837.12	595.69		241.43
	117		09/01/11		579.55	438.46		141.09
125000250	248	NOKIA CORP SPONSORED ADR	01/17/12	04/11/12	1,069.02	1,388.63	(319.61)	
125000340	57	SBERBANK SPONSORED ADR SBX = H1 SBX CONNECTIVITY BUSINESS CGMI AND/OR ITS AFFILIATES	09/09/11	03/22/12	739.27	648.09		91.18
125000370	214	SOUTHERN COPPER CORP DEL	12/09/11	02/28/12	6.99	6.74		25
	2568	CASH IN LIEU OF 47080 RECORD 02/15/12 PAY 02/28/12	12/12/11		8.39	7.93		.46

Ref: 00010004 00073318

2012 Year End Summary

ALAN LIPTON & JANICE LIPTON

Account Number 416-23040-10 707

Details of Short Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000380	14	TECK RESOURCES LTD CL B SUB VTG	07/21/11	03/22/12	\$ 489.42	\$ 749.47	(\$ 260.05)	
125000390	17	TECK RESOURCES LTD CL B	07/21/11	03/23/12	598.12	910.06	(311.94)	
	32	SUB VTG	07/25/11		1,125.88	1,707.88	(582.00)	
	14		09/19/11		492.57	529.79	(37.22)	
	8		12/01/11		281.47	296.60	(15.13)	
Total					\$ 10,224.27	\$ 11,889.35	(\$ 2,138.49)	\$ 474.41

Details of Long Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	26	ASSA ABLOY AB-SEK	11/08/10	01/24/12	\$ 346.69	\$ 358.10	(\$ 11.41)	
125000020	14	ASSA ABLOY AB-SEK	11/08/10	01/25/12	185.89	192.82	(6.93)	
	36		11/09/10		478.00	495.46	(17.46)	
125000030	42	ASSA ABLOY AB-SEK	11/09/10	03/22/12	613.18	578.03		35.15
	2	SBX = H1	11/22/10		29.20	27.06		2.15
		SBX CONNECTIVITY BUSINESS						
125000040	35	BG GROUP PLC SPON ADR SBX = H1	07/02/09	03/22/12	821.43	598.96		222.47
		SBX CONNECTIVITY BUSINESS						
		CGMI AND/OR ITS AFFILIATES						
125000050	14	BG GROUP PLC SPON ADR CGMI AND/OR ITS AFFILIATES	07/02/09	03/28/12	322.41	239.58		82.83
125000060	2	CNOOC LTD SPONS ADR	10/06/09	01/10/12	396.14	269.40		126.74
125000070	2	CNOOC LTD SPONS ADR	10/06/09	01/11/12	390.31	269.40		120.91
125000080	1	CNOOC LTD SPONS ADR	10/06/09	01/12/12	193.99	134.70		59.29
125000090	17	CANON INC ADR SBX = H1	06/30/10	03/22/12	790.99	635.35		155.64
		SBX CONNECTIVITY BUSINESS						

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2012 Year End Summary

Ref 00010004 00073319

ALAN LIPTON & JANICE LIPTON

Account Number 416-23040-10 707

Details of Long Term Gain (Loss) 2012 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000100	32	CARNIVAL CORP (PAIRED STOCK)	05/16/08	01/17/12	\$ 945.40	\$ 1,265.65	(\$ 320.25)	
125000110	13	CREDIT SUISSE GROUP ADR	02/18/11	02/28/12	357.34	613.42	(256.08)	
125000120	43	CREDIT SUISSE GROUP ADR	02/18/11	02/29/12	1,160.24	2,029.00	(868.76)	
125000130	22	EMBRAR S A-BRL SBX = H1	05/16/08	03/22/12	676.04	922.90	(246.86)	
		SBX CONNECTIVITY BUSINESS						
125000140	126	HENNES & MAURITZ AB ADR	06/10/08	03/07/12	853.20	697.65		155.55
		CGMI AND/OR ITS AFFILIATES						
125000150	93	HENNES & MAURITZ AB ADR SBX = H1	06/10/08	03/22/12	660.28	514.93		145.35
		SBX CONNECTIVITY BUSINESS						
		CGMI AND/OR ITS AFFILIATES						
125000180	5 387 27 613	ITAU UNIBANCO BANCO HLDG S A ADR SBX = H1	05/26/09 05/27/09	03/22/12	108.92 558.32	76.04 401.11		32.88 157.21
		SBX CONNECTIVITY BUSINESS						
125000190	74	KINGFISHER PLC SPONSORED ADR NEW SBX = H1	05/16/08	03/22/12	716.30	421.80		294.50
		SBX CONNECTIVITY BUSINESS						
125000200	29	KOMATSU LTD ADR NEW CGMI AND/OR ITS AFFILIATES	05/16/08	03/01/12	849.67	920.75	(71.08)	
125000220	20 2	LVMH MOET HENNESSY LOUIS VUITTON, PARIS-EUR ADR SBX = H1	11/12/08 11/18/08	03/22/12	679.98 68.00	224.78 20.45		455.20 47.55
		SBX CONNECTIVITY BUSINESS						
125000230	161	MITSUBISHI UFJ FINANCIAL GROUP INC ADR THE ISSUER MAY BE DEEMED TO CONTROL, BE CONTROLLED BY, OR	05/08/09	03/01/12	820.85	1,030.52	(209.67)	
125000240	13	NESTLE S A SPONSORED ADR CGMI AND/OR ITS AFFILIATES	05/16/08	02/02/12	750.35	641.68		108.67
125000260	18	NOVARTIS AG ADR CGMI AND/OR ITS AFFILIATES	05/16/08	01/10/12	1,024.06	923.90		100.16
125000270	12	NOVARTIS AG ADR CGMI AND/OR ITS AFFILIATES	05/16/08	02/01/12	665.74	615.93		49.81

2 0 1 2 Y E A R E N D S U M M A R Y

Ref: 00010004 00073320

2012 Year End Summary

ALAN LIPTON & JANICE LIPTON

Account Number 416-23040-10 707

Details of Long Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000280	12	NOVARTIS AG ADR SBX = H1 SBX CONNECTIVITY BUSINESS CGMI AND/OR ITS AFFILIATES	05/16/08	03/22/12	\$ 663.22	\$ 615.93		\$ 47.29
125000290	6	NOVO-NORDISK A S ADR SBX = H1 SBX CONNECTIVITY BUSINESS	05/16/08	03/22/12	845.98	414.39		431.59
125000300	12	POTASH CORP SASK INC- 1 SBX = H1 SBX CONNECTIVITY BUSINESS	05/16/08 09/16/08	03/22/12	545.50 45.46	824.92 52.42	(279.42) (6.96)	
125000310	73	RECKITT BENCKISER GP ADR SBX = H1 SBX CONNECTIVITY BUSINESS CGMI AND/OR ITS AFFILIATES	10/13/08	03/22/12	816.12	634.92		181.20
125000320	12	SAP AG SPONS ADR-USD 6 SBX = H1 7 SBX = H1 SBX CONNECTIVITY BUSINESS	05/29/08 05/29/08 10/07/08	03/05/12 03/22/12	815.29 423.95 494.61	662.04 331.02 261.98		153.25 92.93 232.63
125000350	10	SCHLUMBERGER LTD SBX = H1 SBX CONNECTIVITY BUSINESS	05/16/08	03/22/12	717.88	1,044.68	(326.80)	
125000360	6	SIEMENS A G SPONS ADR SBX = H1 SBX CONNECTIVITY BUSINESS	01/10/11	03/22/12	615.46	689.95	(74.49)	
125000400	5 120 57 27	TELEFONICA S.A. SPON ADR LTD ADR SBX = H1 SBX CONNECTIVITY BUSINESS	06/16/08 08/01/08 06/23/09 05/21/10	01/25/12	84.58 2,029.92 964.21 456.73	136.95 3,109.56 1,243.90 527.82	(52.37) (1,079.64) (279.69) (71.09)	
125000410	30	TENCENT HLDGS LTD-HKD	04/26/10	03/20/12	836.26	636.26		200.00
125000420	18	TEVA PHARMACEUTICAL INDS LTD ADR SBX = H1 SBX CONNECTIVITY BUSINESS	05/16/08	03/22/12	772.36	833.55	(61.19)	
125000430	11	TOYOTA MOTOR CORP ADR NEW	05/16/08	02/16/12	918.41	1,117.24	(198.83)	
125000440	214	TURKIYE GARANTI BANKASI A S SPONSORED ADR CGMI AND/OR ITS AFFILIATES	02/04/10	02/03/12	828.97	927.58	(98.61)	

2 0 1 2 Y E A R E N D S U M M A R Y

Ref: 00010004 00073321

2012 Year End Summary

ALAN LIPTON & JANICE LIPTON

Account Number 416-23040-10 707

Details of Long Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000450	187	TURKIYE GARANTI BANKASI A S SPONSORED ADR CGMI AND/OR ITS AFFILIATES	02/04/10	02/10/12	\$ 686.33	\$ 810.55	(\$ 124.22)	
125000460	41	VOLKSWAGEN A G SPONSORED ADR REPSTG PREF SH	08/02/10	03/12/12	1,506 54	890 99		615 55
125000470	24	VOLKSWAGEN A G SPONSORED ADR REPSTG PREF SH	08/02/10	03/13/12	868.96	521.55		347 41
	27		08/25/10		977 58	531 63		445.95
	34		10/04/10		1,231 04	789 30		441 74
125000480	23	WAL-MART DE MEXICO SA DE CV SPON ADR CGMI AND/OR ITS AFFILIATES	11/18/08	03/20/12	759.11	287 60		471.51
Total					\$ 34,367.39	\$ 33,016.09	(\$ 4,661.81)	\$ 6,013.11

2 0 1 2 Y E A R E N D S U M M A R Y

2012 TAX REPORTING STATEMENT

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ABBOTT LABS		CUSIP Number	002824100					
3.0000	Sale	07/05/11	05/30/12	186.63	159.51	0.00	27.12	
APOLLO GROUP INC CL A		CUSIP Number	037604105					
34.0000	Sale	07/13/11	02/16/12	1,773.44	1,700.68	0.00	72.76	
11.0000	Sale	08/04/11	02/16/12	573.77	552.62	0.00	21.15	
	Security Subtotal			2,347.21	2,253.30	0.00	93.91	
AMERISOURCEBERGEN CORP		CUSIP Number	03073E105					
3.0000	Sale	07/06/11	05/30/12	110.03	129.38	0.00	(19.35)	
ANHEUSER-BUSCH INBEV ADR		CUSIP Number	03524A108					
4.0000	Sale	01/10/12	03/16/12	288.72	245.41	0.00	43.31	
5.0000	Sale	11/22/11	05/18/12	340.91	290.13	0.00	50.78	
2.0000	Sale	01/31/12	05/18/12	136.36	121.79	0.00	14.57	
1.0000	Sale	11/22/11	07/03/12	78.92	58.02	0.00	20.90	
	Security Subtotal			844.91	715.35	0.00	129.56	
ACCENTURE PLC SHS		CUSIP Number	G1151C101					
37.0000	Sale	09/28/11	01/05/12	1,921.19	2,044.50	0.00	(123.31)	





Bank of America Corporation

Account No. 760-12583
Taxpayer No. XX-XXX8730

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JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-07-

1e. Quantity	Transaction Description	1b. Date of Acquisition	CUSIP Number	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
26,0000	ALPHA NATURAL RESOURCES Sale	07/05/11	02076X102	06/29/12	224.84	1,199.90	0.00	(975.06)	
	ACCO BRANDS CORP Cash/Lieu	07/05/11	00081T108	05/17/12	2.56	2.73	0.00	(0.17)	
1,0000	AMER EXPRESS COMPANY Sale	07/05/11	025816109	05/30/12	55.67	52.47	0.00	3.20	
15,0000	ACTIVISION BLIZZARD INC Sale	07/05/11	00507V109	05/04/12	184.99	176.45	0.00	8.54	
13,0000		07/05/11		05/30/12	154.48	152.93	0.00	1.55	
	Security Subtotal				339.47	329.38	0.00	10.09	
	ANADARKO PETE CORP	02/18/11	032511107	01/25/12	405.53	410.85	0.00	(5.32)	
5,0000	Sale	07/05/11		01/25/12	405.53	394.25	0.00	11.28	
1,0000	Sale	07/05/11		05/30/12	62.35	78.85	0.00	(16.50)	
	Security Subtotal				873.41	883.95	0.00	(10.54)	
	BIOGEN IDEC INC	08/31/11	09062X103	05/30/12	263.18	187.67	0.00	75.51	
2,0000	Sale								
	BANK OF NOVA SCOTIA	07/05/11	064149107	05/30/12	100.96	120.74	0.00	(19.78)	
2,0000	Sale								
	BHP BILLITON LTD ADR	07/05/11	088606108	03/14/12	958.31	1,237.34	0.00	(279.03)	
13,0000	Sale								
	BOEING COMPANY	07/05/11	097023105	05/30/12	347.47	371.30	0.00	(23.83)	
5,0000	Sale								
	BRISTOL-MYERS SQUIBB CO	07/05/11	110122108	05/30/12	200.80	174.72	0.00	26.08	
6,0000	Sale								
	COMCAST CORP NEW CL A	01/05/12	20030N101	05/30/12	145.45	124.71	0.00	20.74	
5,0000	Sale								
	COMCAST CRP NEW CL A SPL	07/05/11	20030N200	05/30/12	86.59	74.82	0.00	11.77	
3,0000	Sale								

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	CUSIP Number	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
2.0000	CANADIAN NATL RAILWAY CO Sale	07/05/11	136375102	05/30/12	161.79	160.90	0.00	0.89	
1.0000	CONSOL ENERGY INC COM Sale	07/05/11	20854P109	05/30/12	29.08	48.39	0.00	(19.31)	
12.0000	CHECK POINT SOFTWARE TECH Sale	07/05/11	M22465104	04/27/12	709.07	689.64	0.00	19.43	
2.0000	COSTCO WHOLESALE CRP DEL Sale	03/15/12	22160K105	05/30/12	172.54	182.86	10.32 (W)	0.00	
1.0000	COACH INC Sale	10/12/11	189754104	05/30/12	68.27	62.24	0.00	6.03	
2.0000	CHEVRON CORP Sale	07/05/11	166764100	05/30/12	195.87	210.60	0.00	(14.73)	
3.0000	CATERPILLAR INC DEL Sale	07/05/11	149123101	05/30/12	270.34	324.99	0.00	(54.65)	
2.0000	CELGENE CORP COM Sale	03/15/12	151020104	05/30/12	138.14	151.92	13.78 (W)	0.00	
9.0000	2.0000 Sale	03/15/12		07/26/12	604.50	683.63	0.00	(79.13)	
2.0000	2.0000 Sale	03/31/12		07/26/12	134.34	146.36	0.00 (Y)	(12.02)	
6.0000	6.0000 Sale	06/15/12		07/26/12	402.99	397.73	0.00	5.26	
Security Subtotal					1,279.97	1,379.64	13.78	(85.89)	
2.0000	CELANESE CORP DEL SER A Sale	10/28/11	150870103	05/30/12	80.76	91.82	0.00	(11.06)	
3.0000	CERNER CORP COM Sale	07/05/11	156782104	05/30/12	237.95	187.14	0.00	50.81	
2.0000	CHUBB CORP Sale	07/05/11	171232101	05/30/12	143.00	124.82	0.00	18.18	
4.0000	COCA COLA COM Sale	07/05/11	191216100	05/30/12	299.61	274.00	0.00	25.61	



JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-07-

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DIAGEO PLC SPSPD ADR NEW 2.0000 Sale		CUSIP Number 07/05/11	25243Q205 05/30/12	190.01	167.92	0.00	22.09	
DAIMLER A 6 0000 Sale		CUSIP Number 07/05/11	D1668R123 02/06/12	356.89	459.42	0.00	(102.53)	
DOMINION RES INC NEW VA 3 0000 Sale		CUSIP Number 07/05/11	25746U109 05/30/12	156.82	146.76	0.00	10.06	
DONGFENG MOTOR GRP H UNS 2.0000 Sale 1.0000 Sale 1.0000 Sale 1.0000 Sale 1.0000 Sale		CUSIP Number 04/25/12 04/26/12 04/27/12 05/31/12 06/27/12	257738203 08/09/12 08/10/12 08/13/12 08/14/12 08/15/12	148.78 73.20 72.64 71.31 68.34	193.38 97.97 97.81 85.89 78.43	0.00 0.00 0.00 0.00 0.00	(44.60) (24.77) (25.17) (14.58) (10.09)	
Security Subtotal				434.27	553.48	0.00	(119.21)	
DEERE CO 2.0000 Sale		CUSIP Number 07/05/11	244199105 05/30/12	151.61	170.38	0.00	(18.77)	
DOW CHEMICAL CO 2 0000 Sale		CUSIP Number 06/06/11	260543103 05/30/12	62.76	70.14	0.00	(7.38)	
DU PONT E I DE NEMOURS 4 0000 Sale		CUSIP Number 07/05/11	263534109 05/30/12	193.96	219.08	0.00	(25.12)	
EBAY INC 3.0000 Sale		CUSIP Number 09/23/11	278642103 05/30/12	121.76	94.85	0.00	26.91	
ENBRIDGE INC 2.0000 Sale		CUSIP Number 07/05/11	29250N105 05/30/12	79.52	66.30	0.00	13.22	
EATON CORP 4.0000 Sale		CUSIP Number 12/16/11	278058102 05/30/12	173.02	169.65	0.00	3.37	
EXXON MOBIL CORP COM 7.0000 Sale 16.0000 Sale 1.0000 Sale		CUSIP Number 07/05/11 08/04/11 03/28/12	30231G102 05/30/12 07/26/12 07/26/12	562.75 1,382.30 86.39	571.34 1,229.28 85.90	0.00 0.00 0.00	(8.59) 153.02 0.49	
Security Subtotal				2,031.44	1,886.52	0.00	144.92	

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EXPRESS SCRIPTS HLDG CO								
	Cash/Lieu	02/16/12	04/25/12	33.58	32.60	0.00	0.98	
3.0000	Sale	02/16/12	05/30/12	157.95	168.61	0.00	(10.66)	
	Security Subtotal			191.53	201.21	0.00	(9.68)	
FIRSTENERGY CORP								
2.0000	Sale	07/05/11	05/30/12	93.22	88.14	0.00	5.08	
FREEMT-MCMRAN CPR & GLD								
2.0000	Sale	01/25/12	05/30/12	65.11	92.49	0.00	(27.38)	
14.0000	Sale	01/25/12	07/12/12	444.46	647.46	0.00	(203.00)	
	Security Subtotal			509.57	739.95	0.00	(230.38)	
FORTUM CORP SHS								
52.0000	Sale	08/23/11	01/19/12	216.33	271.60	55.27 (W)	0.00	
35.0000	Sale	08/23/11	01/19/12	145.61	182.80	0.00	(37.19)	
74.0000	Sale	08/23/11	01/20/12	307.97	386.50	0.00	(78.53)	
52.0000	Sale	08/23/11	01/20/12	216.42	267.95	0.00 (Y)	(51.53)	
	Security Subtotal			886.33	1,108.85	55.27	(167.25)	
ISHARES MSCI JAPAN INDEX								
604.0000	Sale	03/07/12	05/30/12	5,324.86	5,969.69	0.00	(644.83)	
GREEN MOUNTN COFFEE ROS								
6.0000	Sale	09/28/11	05/03/12	169.19	640.03	0.00	(470.84)	
GENL DYNAMICS CORP COM								
2.0000	Sale	07/05/11	05/30/12	127.46	149.74	0.00	(22.28)	
GOLDMAN SACHS GROUP INC								
1.0000	Sale	03/15/12	05/30/12	95.56	123.36	0.00	(27.80)	
GOOGLE INC CL A								
2.0000	Sale	02/29/12	05/02/12	1,208.40	1,233.57	0.00	(25.17)	



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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENTING SINGAPORE-UNSPON								
3.0000	Sale	02/04/11	02/01/12	190.53	251.70	0.00	(61.17)	
1.0000	Sale	02/04/11	02/01/12	63.51	84.11	0.00	(20.60)	(Y)
1.0000	Sale	02/18/11	02/01/12	63.51	79.65	0.00	(16.14)	
3.0000	Sale	07/05/11	02/01/12	190.54	232.50	0.00	(41.96)	
Security Subtotal				508.09	647.96	0.00	(139.87)	
HALLIBURTON COMPANY								
20.0000	Sale	07/05/11	03/15/12	682.56	1,044.20	0.00	(361.64)	
HONEYWELL INTL INC DEL								
1.0000	Sale	07/05/11	05/30/12	56.35	59.83	0.00	(3.48)	
HENNES AND MAURITZ AB-								
18.0000	Sale	03/14/12	07/03/12	126.82	127.89	0.00	(1.07)	
HOME DEPOT INC								
8.0000	Sale	07/05/11	05/30/12	393.97	293.36	0.00	100.61	
12.0000	Sale	07/05/11	06/15/12	620.23	440.04	0.00	180.19	
Security Subtotal				1,014.20	733.40	0.00	280.80	
IMPERIAL TOB GRP SPS ADR								
2.0000	Sale	07/05/11	01/09/12	148.34	138.28	0.00	10.06	
3.0000	Sale	08/08/11	05/31/12	216.05	200.60	0.00	15.45	
Security Subtotal				364.39	338.88	0.00	25.51	
INFINEON TECHS AG SPDADR								
6.0000	Sale	11/09/11	04/25/12	58.00	53.85	0.00	4.15	
9.0000	Sale	03/29/12	04/25/12	87.00	90.76	0.00	(3.76)	
8.0000	Sale	11/09/11	07/09/12	50.27	71.80	0.00	(21.53)	
27.0000	Sale	11/16/11	07/09/12	169.66	227.42	0.00	(57.76)	
15.0000	Sale	01/10/12	07/09/12	94.26	120.47	0.00	(26.21)	
Security Subtotal				459.19	564.30	0.00	(105.11)	
ICICI BANK LTD SPD ADR								
3.0000	Sale	09/21/11	01/24/12	106.15	111.17	5.02	0.00	(W)
1.0000	Sale	09/21/11	01/24/12	35.39	37.06	1.67	0.00	(W)
4.0000	Sale	09/21/11	02/16/12	155.05	148.23	0.00	6.82	
Security Subtotal				296.59	296.46	6.69	6.82	

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ISHARES S&P 500 INDEX								
44,000	Sale	03/07/12	08/07/12	6,210.47	5,988.73	0.00	221.74	
24,000	Sale	05/30/12	08/07/12	3,387.53	3,181.16	0.00	206.37	
	Security Subtotal			9,598.00	9,169.89	0.00	428.11	
ISHARES TR DOW JONES US								
15,000	Sale	01/13/12	05/30/12	1,050.52	985.59	0.00	64.93	
45,000	Sale	01/17/12	05/30/12	3,151.57	2,986.53	0.00	165.04	
	Security Subtotal			4,202.09	3,972.12	0.00	229.97	
ISHARES DOW JONES US								
103,000	Sale	01/09/12	05/30/12	3,806.85	4,188.97	0.00	(382.12)	
43,000	Sale	01/10/12	05/30/12	1,589.28	1,771.22	0.00	(181.94)	
44,000	Sale	01/10/12	05/31/12	1,602.72	1,812.41	0.00	(209.69)	
	Security Subtotal			6,998.85	7,772.60	0.00	(773.75)	
INTEL CORP								
4,000	Sale	07/05/11	05/30/12	104.35	89.84	0.00	14.51	
INTL BUSINESS MACHINES								
1,000	Sale	07/05/11	05/30/12	194.81	174.92	0.00	19.89	
ITAU UNIBANCO BANCO HOLD								
6,000	Sale	10/06/11	01/18/12	122.63	98.52	0.00	24.11	
24,000	Sale	10/06/11	02/09/12	513.67	394.08	0.00	119.59	
7,000	Sale	01/31/12	02/09/12	149.83	140.46	0.00	9.37	
	Security Subtotal			786.13	633.06	0.00	153.07	
JEFFERIES GROUP INC NEW								
7,000	Sale	07/05/11	05/30/12	92.63	145.60	0.00	(52.97)	
JOHNSON AND JOHNSON COM								
26,000	Sale	07/27/11	01/25/12	1,696.35	1,696.85	0.00	(0.50)	
14,000	Sale	08/17/11	03/15/12	911.03	897.67	0.00	13.36	
2,000	Sale	12/07/11	05/30/12	124.49	129.32	0.00	(4.83)	
	Security Subtotal			2,731.87	2,723.84	0.00	8.03	
JOHNSON CONTROLS INC								
15,000	Sale	06/29/11	03/28/12	481.79	619.33	0.00	(137.54)	



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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-07-

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
KIMBERLY CLARK								
2,0000	Sale	CUSIP Number 07/05/11	494368103 05/30/12	159.21	134.04	0.00	25.17	
LAM RESEARCH CORP COM								
4,0000	Sale	CUSIP Number 12/01/11	512807108 05/30/12	151.30	166.14	0.00	(14.84)	
1,0000	Sale	12/01/11	06/26/12	36.30	41.54	0.00	(5.24)	
4,0000	Sale	01/09/12	06/26/12	145.24	154.91	0.00	(9.67)	
4,0000	Sale	03/13/12	06/26/12	145.24	171.34	0.00	(26.10)	
Security Subtotal				478.08	533.93	0.00	(55.85)	
LAS VEGAS SANDS CORP								
3,0000	Sale	CUSIP Number 07/05/11	517834107 05/30/12	142.80	131.19	0.00	11.61	
LIBERTY GLOBAL INC SER A								
1,0000	Sale	CUSIP Number 07/05/11	530555101 01/31/12	45.74	46.96	1.22 (W)	0.00	
2,0000	Sale	07/05/11	01/31/12	91.48	93.92	0.00	(2.44)	
1,0000	Sale	07/05/11	02/01/12	46.78	46.96	0.00	(0.18)	
1,0000	Sale	07/05/11	05/18/12	47.26	43.02	0.00 (Y)	4.24	
1,0000	Sale	07/05/11	05/18/12	47.27	46.96	0.00	0.31	
Security Subtotal				278.53	277.82	1.22	1.93	
LINDE AG SHS SP ADR								
7,0000	Sale	CUSIP Number 03/14/12	535223200 04/11/12	118.14	122.58	0.00	(4.44)	
9,0000	Sale	02/01/12	05/17/12	138.79	145.65	0.00	(6.86)	
3,0000	Sale	03/14/12	05/17/12	46.26	52.53	0.00	(6.27)	
Security Subtotal				303.19	320.76	0.00	(17.57)	
L OREAL CO ADR								
37,0000	Sale	CUSIP Number 09/13/11	502117203 01/11/12	782.07	719.83	0.00	62.24	
4,0000	Sale	09/13/11	01/12/12	82.81	77.82	0.00	4.99	
8,0000	Sale	09/29/11	01/12/12	165.64	159.79	0.00	5.85	
Security Subtotal				1,030.52	957.44	0.00	73.08	
MORGAN STANLEY								
93,0000	Sale	CUSIP Number 01/05/12	617446448 03/15/12	1,806.03	1,510.20	0.00	295.83	

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(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MARVELL TECHNOLOGY GROUP								
7,000	Sale	10/06/11	05/30/12	89.42	107.26	0.00	(17.84)	
26,000	Sale	10/06/11	06/15/12	300.13	398.38	0.00	(98.25)	
29,000	Sale	01/25/12	06/15/12	334.77	465.94	0.00	(131.17)	
Security Subtotal				724.32	971.58	0.00	(247.26)	
MONSANTO CO NEW DEL COM								
1,000	Sale	09/28/11	02/29/12	77.43	64.72	0.00	12.71	
7,000	Sale	10/12/11	02/29/12	542.06	522.18	0.00	19.88	
2,000	Sale	09/28/11	05/30/12	153.57	129.44	0.00	24.13	
Security Subtotal				773.06	716.34	0.00	56.72	
MEADWESTVACO CORP								
4,000	Sale	07/05/11	05/30/12	110.91	118.50	0.00	(7.59)	
MEDCO HEALTH SOLUTIONS I								
18,000	Sale	02/16/12	04/03/12	518.40	328.03	0.00	190.37	
MTN GROUP LTD-SPONS ADR								
5,000	Sale	02/18/11	02/15/12	87.33	88.40	0.00	(1.07)	
3,000	Sale	02/18/11	02/16/12	50.78	53.04	0.00	(2.26)	
9,000	Sale	05/19/11	02/16/12	152.37	185.06	0.00	(32.69)	
1,000	Sale	07/05/11	02/16/12	16.94	21.95	0.00	(5.01)	
13,000	Sale	07/05/11	02/17/12	223.68	285.35	0.00	(61.67)	
8,000	Sale	07/05/11	02/21/12	139.85	175.60	0.00	(35.75)	
2,000	Sale	01/10/12	02/21/12	34.97	33.93	0.00	1.04	
8,000	Sale	01/10/12	02/21/12	138.25	135.73	0.00	2.52	
Security Subtotal				844.17	979.06	0.00	(134.89)	
MERCK AND CO INC SHS								
4,000	Sale	07/05/11	05/30/12	149.76	142.24	0.00	7.52	
MICHAEL KORS HLDGS LTD								
2,000	Sale	04/05/12	05/30/12	80.11	95.21	0.00	(15.10)	
MAKITA CORP SPOND ADR								
1,000	Sale	07/05/11	03/19/12	42.50	46.18	0.00	(3.68)	
4,000	Sale	07/05/11	03/19/12	170.90	184.72	0.00	(13.82)	
1,000	Sale	07/05/11	05/18/12	34.15	46.18	0.00	(12.03)	
3,000	Sale	10/06/11	05/18/12	102.48	108.21	0.00	(5.73)	





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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-07-

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MAKITA CORP	SPOND ADR	CUSIP Number	560877300					
2.0000	Sale	02/01/12	05/18/12	68.31	74.74	0.00	(6.43)	
3.0000	Sale	10/06/11	05/21/12	103.62	108.21	0.00	(4.59)	
1.0000	Sale	02/01/12	05/21/12	34.53	37.37	0.00	(2.84)	
Security Subtotal				556.49	605.61	0.00	(49.12)	
MCDONALDS CORP	COM	CUSIP Number	580135101					
3.0000	Sale	07/05/11	05/30/12	270.77	257.16	0.00	13.61	
MICROSOFT CORP		CUSIP Number	594918104					
12.0000	Sale	12/22/11	05/30/12	350.07	309.36	0.00	40.71	
NIDEC CORPORATION	ADR	CUSIP Number	654090109					
7.0000	Sale	07/05/11	02/01/12	165.05	163.24	0.00	1.81	
1.0000	Sale	07/27/11	02/01/12	23.57	25.30	0.00	(1.73)	
7.0000	Sale	07/05/11	02/02/12	167.11	163.24	0.00	3.87	
7.0000	Sale	07/05/11	06/25/12	135.03	163.24	28.21 (W)	0.00	
1.0000	Sale	08/10/11	06/25/12	19.29	22.70	0.00	(3.41)	
7.0000	Sale	07/05/11	06/26/12	133.33	172.25	0.00	(38.92)	
1.0000	Sale	08/10/11	06/26/12	19.05	22.70	0.00	(3.65)	
6.0000	Sale	08/10/11	06/27/12	115.03	136.17	0.00	(21.14)	
1.0000	Sale	08/10/11	06/28/12	18.91	22.69	0.00	(3.78)	
7.0000	Sale	09/21/11	06/28/12	132.40	146.25	0.00	(13.85)	
Security Subtotal				928.77	1,037.78	28.21	(80.80)	
NATIONAL-OILWELL VARCO		CUSIP Number	637071101					
1.0000	Sale	11/30/11	05/30/12	67.78	71.11	0.00	(3.33)	
NOVARTIS ADR		CUSIP Number	66987V109					
5.0000	Sale	07/05/11	01/09/12	283.78	307.05	0.00	(23.27)	
5.0000	Sale	07/05/11	02/16/12	280.64	307.05	0.00	(26.41)	
2.0000	Sale	07/05/11	05/16/12	104.18	122.82	0.00	(18.64)	
1.0000	Sale	10/20/11	07/09/12	55.46	57.63	0.00	(2.17)	
5.0000	Sale	09/20/11	07/30/12	290.86	278.25	0.00	12.61	
4.0000	Sale	10/20/11	07/30/12	232.70	230.52	0.00	2.18	
Security Subtotal				1,247.62	1,303.32	0.00	(55.70)	

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NETAPP INC								
3.0000	Sale	03/28/12	05/30/12	88.67	137.34	0.00	(48.67)	
21.0000	Sale	03/28/12	07/12/12	593.78	961.38	0.00	(367.60)	
Security Subtotal				682.45	1,098.72	0.00	(416.27)	
NEXTERA ENERGY INC SHS								
2.0000	Sale	07/05/11	05/30/12	131.27	115.32	0.00	15.95	
NXP SEMICONDUCTORS N.V.								
4.0000	Sale	12/08/11	05/30/12	86.58	73.03	0.00	13.55	
25.0000	Sale	12/08/11	06/15/12	509.60	456.43	0.00	53.17	
10.0000	Sale	12/08/11	06/18/12	204.90	182.57	0.00	22.33	
Security Subtotal				801.08	712.03	0.00	89.05	
NITTO DENKO CORP ADR								
5.0000	Sale	02/04/11	01/31/12	176.36	281.20	104.84 (W)	0.00	
1.0000	Sale	02/04/11	01/31/12	35.27	56.24	0.00	(20.97)	
3.0000	Sale	02/18/11	01/31/12	105.81	186.42	0.00	(80.61)	
1.0000	Sale	07/05/11	03/19/12	41.40	51.10	0.00	(9.70)	
1.0000	Sale	07/05/11	03/19/12	41.40	51.10	0.00	(9.70)	
1.0000	Sale	07/05/11	03/19/12	41.40	51.10	0.00	(9.70)	
1.0000	Sale	07/05/11	03/21/12	40.58	51.10	0.00	(10.52)	
1.0000	Sale	07/05/11	03/21/12	40.58	51.10	0.00	(10.52)	
3.0000	Sale	08/10/11	05/02/12	127.89	130.41	0.00	(2.52)	
3.0000	Sale	07/05/11	05/18/12	116.08	153.30	0.00	(37.22)	
1.0000	Sale	08/10/11	05/18/12	38.69	43.47	0.00	(4.78)	
2.0000	Sale	09/21/11	05/18/12	77.38	86.23	0.00	(8.85)	
2.0000	Sale	07/05/11	05/21/12	76.65	102.20	0.00	(25.55)	
1.0000	Sale	09/21/11	05/21/12	38.32	43.11	0.00	(4.79)	
Security Subtotal				997.81	1,338.08	104.84	(235.43)	
NORTHEAST UTILITIES COM								
2.0000	Sale	07/05/11	05/30/12	72.47	71.44	0.00	1.03	
OGX PETROLEO E-SPON ADR								
7.0000	Sale	07/05/11	06/11/12	32.07	69.65	37.58 (W)	0.00	
1.0000	Sale	07/05/11	06/11/12	4.58	9.95	0.00	(5.37)	
32.0000	Sale	07/05/11	06/12/12	142.02	318.40	0.00	(176.38)	



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JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-07)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	OGX PETROLEO E-SPON ADR	CUSIP Number 07/05/11	670849108 06/12/12	31.07	75.44	0.00 (Y)	(44.37)	
	7.0000 Sale	03/29/12	06/12/12	48.83	93.94	0.00	(45.11)	
	11.0000 Sale			258.57	567.38	37.58	(271.23)	
	Security Subtotal							
	PRUDENTIAL FINANCIAL INC	CUSIP Number 07/05/11	744320102 05/30/12	46.77	63.92	0.00	(17.15)	
	1.0000 Sale							
	PEABODY ENERGY CORP COM	CUSIP Number 07/05/11	704549104 05/30/12	24.14	60.86	0.00	(36.72)	
	1.0000 Sale							
	PHILIP MORRIS INTL INC	CUSIP Number 07/05/11	718172109 05/30/12	170.63	136.20	0.00	34.43	
	2.0000 Sale							
	PFIZER INC	CUSIP Number 12/16/11	717081103 05/30/12	353.49	337.93	0.00	15.56	
	16.0000 Sale							
	PRAXAIR INC	CUSIP Number 07/05/11	74005P104 05/30/12	213.74	217.80	0.00	(4.06)	
	2.0000 Sale							
	PRECISION CASTPARTS	CUSIP Number 09/14/11	740189105 05/30/12	165.90	164.99	0.00	0.91	
	1.0000 Sale							
	PROCTER & GAMBLE CO	CUSIP Number 02/18/11	742718109 02/16/12	130.21	128.66	0.00	1.55	
	2.0000 Sale	07/05/11	04/27/12	640.14	643.80	0.00	(3.66)	
	10.0000 Sale	07/05/11	05/30/12	250.56	257.52	0.00	(6.96)	
	4.0000 Sale			1,020.91	1,029.98	0.00	(9.07)	
	Security Subtotal							
	PUB SVC ENTERPRISE GRP	CUSIP Number 07/05/11	744573106 05/30/12	92.34	98.28	0.00	(5.94)	
	3.0000 Sale							
	RIO TINTO PLC SPNSRD ADR	CUSIP Number 02/04/11	767204100 01/19/12	171.71	218.22	46.51 (W)	0.00	
	3.0000 Sale	07/05/11	05/30/12	87.35	144.64	57.29 (W)	0.00	
	2.0000 Sale			259.06	362.86	103.80	0.00	
	Security Subtotal							

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
RANGE RESOURCES CORP DEL								
1.0000	Sale	09/23/11	05/30/12	58.73	64.95	0.00	(6.22)	
5.0000	Sale	09/23/11	06/15/12	285.39	324.75	0.00	(39.36)	
9.0000	Sale	01/25/12	06/15/12	513.72	539.20	0.00	(25.48)	
				857.84	928.90	0.00	(71.06)	
Security Subtotal								
RACKSPACE HOSTING INC								
1.0000	Sale	03/15/12	05/30/12	50.70	56.41	0.00	(5.71)	
SANDISK CORP INC								
30.0000	Sale	10/28/11	02/16/12	1,430.23	1,595.31	0.00	(165.08)	
SEMPRA ENERGY								
1.0000	Sale	07/05/11	05/30/12	65.01	53.32	0.00	11.69	
SANOFI ADR								
4.0000	Sale	07/05/11	01/09/12	141.81	160.72	18.91 (W)	0.00	
11.0000	Sale	07/05/11	01/19/12	395.94	441.98	0.00	(46.04)	
				537.75	602.70	18.91	(46.04)	
Security Subtotal								
SWATCH GROUP AG UNSPOND								
1.0000	Sale	07/05/11	04/30/12	22.95	26.20	0.00	(3.25)	
3.0000	Sale	02/01/12	04/30/12	68.86	65.94	0.00	2.92	
2.0000	Sale	07/05/11	05/02/12	46.27	52.40	0.00	(6.13)	
4.0000	Sale	02/01/12	05/02/12	92.55	87.91	0.00	4.64	
6.0000	Sale	08/19/11	07/12/12	105.36	126.51	0.00	(21.15)	
				335.99	358.96	0.00	(22.97)	
Security Subtotal								
SCHNEIDER ELEC SA ADR								
9.0000	Sale	07/05/11	01/24/12	112.20	150.21	38.01 (W)	0.00	
2.0000	Sale	07/05/11	01/24/12	24.94	33.38	8.44 (W)	0.00	
3.0000	Sale	07/05/11	01/24/12	37.40	50.07	12.67 (W)	0.00	
1.0000	Sale	06/01/11	02/16/12	12.00	16.52	4.52 (W)	0.00	
7.0000	Sale	06/01/11	02/16/12	84.03	115.66	0.00	(31.63)	
33.0000	Sale	07/05/11	02/16/12	396.16	550.77	0.00	(154.61)	
4.0000	Sale	07/05/11	02/16/12	48.02	66.76	0.00	(18.74)	
9.0000	Sale	07/05/11	07/03/12	101.30	139.69	0.00 (Y)	(38.39)	
2.0000	Sale	07/05/11	07/03/12	22.51	31.04	0.00 (Y)	(8.53)	
3.0000	Sale	07/13/11	07/03/12	33.77	50.70	0.00 (Y)	(16.93)	
6.0000	Sale	08/10/11	07/03/12	67.54	74.76	0.00	(7.22)	



JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-07)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	SCHNEIDER ELEC SA ADR							
12.0000	Sale	08/10/11	08/08/12	144.59	149.51	0.00	(4.92)	
13.0000	Sale	09/21/11	08/08/12	156.63	146.52	0.00	10.11	
12.0000	Sale	05/31/12	08/08/12	144.60	127.88	0.00	16.72	
	Security Subtotal			1,385.69	1,703.47	63.64	(254.14)	
	SOUTHERN COMPANY							
3.0000	Sale	07/05/11	05/30/12	138.11	121.83	0.00	16.28	
	TECK RESOURCES LTD CLS B							
5.0000	Sale	04/21/11	01/09/12	183.62	281.24	0.00 (Y)	(97.62)	
1.0000	Sale	05/18/11	01/09/12	36.72	50.18	0.00	(13.46)	
4.0000	Sale	05/27/11	01/09/12	146.91	207.17	0.00	(60.26)	
2.0000	Sale	07/05/11	01/09/12	73.46	105.38	0.00	(31.92)	
4.0000	Sale	07/05/11	01/10/12	151.06	210.76	0.00	(59.70)	
	Security Subtotal			591.77	854.73	0.00	(262.96)	
	TOTAL S.A SP ADR							
3.0000	Sale	07/05/11	05/30/12	128.61	172.35	0.00	(43.74)	
	TEXAS INSTRUMENTS							
30.0000	Sale	02/16/12	03/28/12	1,008.88	1,017.31	0.00	(8.43)	
	3M COMPANY							
2.0000	Sale	07/05/11	05/30/12	169.12	193.52	0.00	(24.40)	
	TOKYO ELECTRON LTD SHS							
3.0000	Sale	10/25/11	06/08/12	269.09	330.19	0.00	(61.10)	
2.0000	Sale	02/01/12	06/08/12	179.39	229.53	0.00	(50.14)	
1.0000	Sale	05/31/12	06/08/12	89.70	89.38	0.00	0.32	
	Security Subtotal			538.18	649.10	0.00	(110.92)	
	TULLOW OIL PLC SHS							
15.0000	Sale	07/05/11	06/27/12	163.16	156.15	0.00	7.01	
	TESLA MTRS INC							
2.0000	Sale	11/11/11	05/30/12	61.63	67.44	0.00	(5.81)	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TIFFANY & CO NEW								
12.0000	Sale	06/16/11	886547108 01/25/12	765.49	871.72	0.00	(106.23)	
10.0000	Sale	07/05/11	01/25/12	637.91	802.30	0.00	(164.39)	
	Security Subtotal			1,403.40	1,674.02	0.00	(270.62)	
TORONTO DOMINION BANK								
2.0000	Sale	07/27/11	891160509 05/30/12	151.75	163.02	0.00	(11.27)	
UNIVERSAL DISPLAY CORP								
2.0000	Sale	04/27/12	91347P105 05/18/12	56.57	89.08	32.49 (W)	0.00	
3.0000	Sale	04/27/12	05/18/12	84.85	133.60	0.00	(48.75)	
2.0000	Sale	04/27/12	05/18/12	56.56	122.86	0.00 (Y)	(66.30)	
	Security Subtotal			197.98	345.52	32.49	(115.05)	
UNILEVER NV NY REG SHS								
4.0000	Sale	07/05/11	904784709 05/30/12	125.29	133.32	0.00	(8.03)	
US BANCORP (NEW)								
5.0000	Sale	07/05/11	902973304 05/30/12	154.33	127.60	0.00	26.73	
UNDER ARMOUR INC								
1.0000	Sale	05/02/12	904311107 05/30/12	99.54	100.95	0.00	(1.41)	
UBS AG REG								
5.0000	Sale	02/04/11	H89231338 01/18/12	61.70	92.61	0.00 (Y)	(30.91)	
2.0000	Sale	02/18/11	01/18/12	24.68	39.92	0.00	(15.24)	
5.0000	Sale	02/18/11	01/18/12	61.70	99.80	38.10 (W)	0.00	
3.0000	Sale	02/18/11	01/18/12	37.02	59.88	0.00	(22.86)	
5.0000	Sale	05/27/11	01/18/12	61.70	95.26	0.00	(33.56)	
18.0000	Sale	02/04/11	01/23/12	247.77	328.50	0.00	(80.73)	
3.0000	Sale	02/04/11	01/23/12	41.29	54.75	0.00	(13.46)	
10.0000	Sale	02/04/11	01/23/12	137.65	185.22	0.00 (Y)	(47.57)	
9.0000	Sale	03/13/12	04/24/12	111.38	125.34	0.00	(13.96)	
4.0000	Sale	03/13/12	05/16/12	46.02	55.71	0.00	(9.69)	
16.0000	Sale	09/20/11	06/26/12	181.54	184.00	0.00	(2.46)	
8.0000	Sale	09/20/11	06/27/12	91.81	91.99	0.00	(0.18)	
	Security Subtotal			1,104.26	1,412.98	38.10	(270.62)	
UNITED TECHS CORP COM								
2.0000	Sale	07/05/11	913017109 05/30/12	148.12	179.26	0.00	(31.14)	



JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
V F CORPORATION								
2.0000	Sale	CUSIP Number 07/05/11	918204108 05/30/12	282.26	221.44	0.00	60.82	
VEECO INSTRUMENTS INC								
2.0000	Sale	CUSIP Number 04/14/11	922417100 03/06/12	53.39	94.32	0.00	(40.93)	
3.0000	Sale	04/15/11	03/06/12	80.09	142.70	0.00	(62.61)	
3.0000	Sale	07/05/11	03/06/12	80.09	139.56	0.00	(59.47)	
4.0000	Sale	11/15/11	05/30/12	139.51	106.22	0.00	33.29	
Security Subtotal				353.08	482.80	0.00	(129.72)	
VERIZON COMMUNICATIONS COM								
15.0000	Sale	CUSIP Number 07/05/11	92343V104 05/30/12	619.93	567.30	0.00	52.63	
VERIFONE SYSTEMS INC								
3.0000	Sale	CUSIP Number 07/05/11	92342Y109 05/30/12	108.74	133.95	0.00	(25.21)	
19.0000	Sale	10/28/11	08/14/12	640.88	815.04	0.00	(174.16)	
Security Subtotal				749.62	948.99	0.00	(199.37)	
VODAFONE GROU PLC SP ADR								
5.0000	Sale	CUSIP Number 11/29/11	92857W209 05/30/12	133.91	132.91	0.00	1.00	
VISA INC CL A SHRS								
1.0000	Sale	CUSIP Number 04/05/12	92826C839 05/30/12	119.08	120.92	0.00	(1.84)	
VALEANT PHARMACEUTICALS								
2.0000	Sale	CUSIP Number 02/29/12	91911K102 05/30/12	96.18	106.01	0.00	(9.83)	
VALLOUREC SA								
14.0000	Sale	CUSIP Number 10/19/11	92023R308 05/11/12	120.29	174.13	0.00	(53.84)	
12.0000	Sale	02/01/12	05/11/12	103.12	166.34	0.00	(63.22)	
Security Subtotal				223.41	340.47	0.00	(117.06)	
ZOOMLION HEAVY INDUSTRY								
6.0000	Sale	CUSIP Number 06/27/12	98978W101 07/27/12	65.69	87.53	0.00	(21.84)	
1.0000	Sale	06/28/12	07/27/12	10.95	14.48	0.00	(3.53)	
3.0000	Sale	06/28/12	07/27/12	32.85	42.98	0.00	(10.13)	
1.0000	Sale	06/29/12	07/27/12	10.95	12.72	0.00	(1.77)	
6.0000	Sale	06/27/12	07/30/12	67.71	77.87	10.16	(W)	
1.0000	Sale	06/28/12	07/30/12	11.28	12.87	1.59	(W)	
3.0000	Sale	06/28/12	07/30/12	33.86	38.61	4.75	(W)	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ZOOMLION HEAVY INDUSTRY								
1.0000	Sale	06/29/12	07/30/12	11.29	12.71	0.00	(1.42)	
7.0000	Sale	06/29/12	07/31/12	78.18	89.00	0.00	(10.82)	
	Security Subtotal			322.76	388.77	16.50	(49.51)	
WM MORRISON SUPERMARKETS								
11.0000	Sale	03/22/11	01/10/12	264.01	251.02	0.00	12.99	
5.0000	Sale	03/23/11	01/10/12	120.00	111.65	0.00	8.35	
7.0000	Sale	07/26/11	01/10/12	168.00	168.91	0.00	(0.91)	
8.0000	Sale	08/10/11	01/10/12	192.02	181.78	0.00	10.24	
	Security Subtotal			744.03	713.36	0.00	30.67	
ACE LIMITED								
1.0000	Sale	10/06/11	05/30/12	72.58	60.88	0.00	11.70	
WAL-MART STORES INC								
14.0000	Sale	07/05/11	03/15/12	854.70	747.46	0.00	107.24	
23.0000	Sale	08/24/11	03/15/12	1,404.15	1,223.44	0.00	180.71	
3.0000	Sale	08/24/11	05/30/12	197.10	159.58	0.00	37.52	
	Security Subtotal			2,455.95	2,130.48	0.00	325.47	
WEYERHAEUSER CO								
5.0000	Sale	07/05/11	05/30/12	99.37	110.85	0.00	(11.48)	
WHOLE FOODS MKT INC COM								
2.0000	Sale	07/05/11	05/30/12	177.34	126.76	0.00	50.58	
STANDARD CHARTERED .5USD								
10.0000	Sale	08/19/11	03/09/12	244.59	223.82	0.00	20.77	
14.0000	Sale	08/19/11	04/25/12	342.81	313.35	0.00	29.46	
3.0000	Sale	01/10/12	04/25/12	73.46	66.57	0.00	6.89	
	Security Subtotal			660.86	603.74	0.00	57.12	
KASIKORNBANK PCL (NVDR)								
27.0000	Sale	02/10/12	05/08/12	138.06	116.28	0.00	21.78	
5.0000	Sale	02/10/12	05/09/12	25.06	21.53	0.00	3.53	
31.0000	Sale	02/13/12	05/09/12	155.40	135.18	0.00	20.22	
	Security Subtotal			318.52	272.99	0.00	45.53	



JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SKANDINAVIA ENSK BK								
16.0000	Sale	02/04/11	01/10/12	93.64	150.07	0.00	(56.43)	
12.0000	Sale	02/18/11	01/10/12	70.23	110.49	0.00	(40.26)	
10.0000	Sale	02/18/11	01/10/12	58.52	94.45	0.00	(35.93)	
49.0000	Sale	07/05/11	01/10/12	286.78	411.60	0.00	(124.82)	
Security Subtotal				509.17	766.61	0.00	(257.44)	
SUMITOMO HEAVY INDS 6302								
46.0000	Sale	07/05/11	02/27/12	256.90	322.00	0.00	(65.10)	
BLCKRCK EMRGN MKTS FD I								
546.0000	Sale	01/09/12	03/28/12	10,832.64	9,538.62	0.00	1,294.02	
Covered Short Term Capital Gains and Losses Subtotal				99,899.97	104,972.51	531.35	(4,541.19)	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

FEDERAL HOME LN MTG CORP								
1000.0000	Sale	07/06/11	02/27/12	1,001.37	1,001.28	0.00	0.09	
1000.0000	Sale	07/06/11	02/27/12	1,001.37	1,001.28	0.00	0.09	
Security Subtotal				2,002.74	2,002.56	0.00	0.18	
US TSY 3.625% FEB 15 2020								
2000.0000	Sale	07/05/11	02/27/12	2,318.43	2,109.81	0.00	208.62	
US TSY 4.750% FEB 15 2037								
1000.0000	Sale	07/05/11	02/27/12	1,325.66	1,068.64	0.00	257.02	
US TSY 3.375% NOV 30 2012								
1000.0000	Sale	07/05/11	02/27/12	1,024.06	1,023.02	0.00	1.04	
1000.0000	Sale	07/05/11	02/27/12	1,024.07	1,023.02	0.00	1.05	
Security Subtotal				2,048.13	2,046.04	0.00	2.09	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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ISHARES MSCI SWEDEN

45.0000	Sale	07/07/11	02/01/12	1,249.32	1,456.69	0.00	(207.37)	
139.0000	Sale	07/08/11	02/01/12	3,859.04	4,382.03	0.00	(522.99)	
4.0000	Sale	07/11/11	02/01/12	111.06	121.89	0.00	(10.83)	
72.0000	Sale	07/11/11	02/02/12	2,002.50	2,193.94	0.00	(191.44)	
Security Subtotal				7,221.92	8,154.55	0.00	(932.63)	

BLACKROCK EMRGN MKTS FD I

519.0000	Sale	07/05/11	03/07/12	10,187.96	11,028.75	0.00	(840.79)	
355.0000	Sale	08/05/11	03/07/12	8,988.86	6,716.80	0.00	252.08	
56.0000	Sale	08/12/11	03/28/12	1,111.04	1,024.80	0.00	86.24	
Security Subtotal				18,267.66	18,770.15	0.00	(502.49)	

BLACKROCK TTL RTN INSTL CL

428.0000	Sale	11/16/11	05/30/12	4,892.04	4,780.76	0.00	111.28	
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Noncovered Short Term Capital Gains and Losses Subtotal

				38,076.58	38,932.51	0.00	(855.93)	
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NET SHORT TERM CAPITAL GAINS AND LOSSES

				137,976.55	143,905.02	531.35	(5,397.12)	
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LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ACCO BRANDS CORP	8.0000	Sale	07/05/11	07/30/12	67.96	88.66	0.00	(20.70)	
AMER EXPRESS COMPANY	1.0000	Sale	02/18/11	05/30/12	55.66	45.53	0.00	10.13	
ACTIVISION BLIZZARD INC	4.0000	Sale	05/27/11	05/30/12	47.53	46.16	0.00	1.37	
ANADARKO PETE CORP	6.0000	Sale	07/05/11	08/14/12	412.51	473.10	0.00	(60.59)	
BANK OF NOVA SCOTIA	1.0000	Sale	02/18/11	05/30/12	50.47	61.93	0.00	(11.46)	



JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-07-

1e. Quantity	Transaction Description	1b. Date of Acquisition	CUSIP Number	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BHP BILLITON LTD 3.0000 Sale	ADR	02/18/11	088606108	05/30/12	185.87	278.46	0.00	(92.59)	
BOEING COMPANY 1 10000 Sale		04/20/11	097023105	05/30/12	69.49	75.03	0.00	(5.54)	
BRISTOL-MYERS SQUIBB CO 2 0000 Sale		02/18/11	110122108	05/30/12	66.93	50.90	0.00	16.03	
COMCAST CRP NEW CL A SPL 1.0000 Sale		02/18/11	20030N200	05/30/12	28.86	24.24	0.00	4.62	
CONSOL ENERGY INC COM 1.0000 Sale		02/18/11	20854P109	05/30/12	29.08	46.50	0.00	(17.42)	
CHECK POINT SOFTWARE TECH 5.0000 Sale 7 0000 Sale		02/04/11 02/18/11	M22465104	04/27/12 04/27/12	295.44 413.62	226.20 359.87	0.00 0.00	69.24 53.75	
Security Subtotal					709.06	586.07	0.00	122.99	
CHEVRON CORP 1.0000 Sale		02/18/11	166764100	05/30/12	97.93	98.11	0.00	(0.18)	
COCA COLA COM 2.0000 Sale		02/18/11	191216100	05/30/12	149.79	128.76	0.00	21.03	
DIAGEO PLC SPSP ADR NEW 1 0000 Sale		02/18/11	25243Q205	05/30/12	95.00	77.88	0.00	17.12	
DOMINION RES INC NEW VA 1.0000 Sale		02/18/11	25746U109	05/30/12	52.27	44.36	0.00	7.91	
DEERE CO 1 0000 Sale		02/18/11	244199105	05/30/12	75.80	94.65	0.00	(18.85)	
DU PONT E I DE NEMOURS 1.0000 Sale		02/18/11	263534109	05/30/12	48.48	56.05	0.00	(7.57)	
ENBRIDGE INC COM 4.0000 Sale		02/18/11	29250N105	05/30/12	159.04	116.56	0.00	42.48	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EQT CORP	2.0000 Sale	CUSIP Number 02/18/11	26884L109 05/30/12	91.57	95.14	0.00	(3.57)	
HALLIBURTON COMPANY	24.0000 Sale	CUSIP Number 02/04/11	406216101 03/15/12	819.06	1,094.88	0.00	(275.82)	
HONEYWELL INTL INC DEL	1.0000 Sale	CUSIP Number 04/06/11	438516106 05/30/12	56.34	58.91	0.00	(2.57)	
INTEL CORP	1.0000 Sale	CUSIP Number 02/18/11	458140100 05/30/12	26.08	22.16	0.00	3.92	
KIMBERLY CLARK	3.0000 Sale	CUSIP Number 02/04/11	494368103 03/14/12	217.91	194.07	0.00	23.84	
	3.0000 Sale	02/18/11	03/14/12	217.91	196.92	0.00	20.99	
	Security Subtotal			435.82	390.99	0.00	44.83	
LIBERTY GLOBAL INC SER A	4.0000 Sale	CUSIP Number 02/04/11	530555101 02/16/12	195.75	163.76	0.00	31.99	
LORILLARD INC	1.0000 Sale	CUSIP Number 02/18/11	544147101 05/30/12	123.35	79.44	0.00	43.91	
MTN GROUP LTD-SPONS ADR	6.0000 Sale	CUSIP Number 02/04/11	62474M108 02/14/12	104.37	108.78	0.00	(4.41)	
	12.0000 Sale	02/04/11	02/15/12	209.57	217.56	0.00	(7.99)	
	Security Subtotal			313.94	326.34	0.00	(12.40)	
MERCADOLIBRE INC	2.0000 Sale	CUSIP Number 02/18/11	58733R102 02/24/12	198.07	147.12	0.00	50.95	
MERCK AND CO INC SHS	1.0000 Sale	CUSIP Number 02/18/11	58933Y105 05/30/12	37.44	33.07	0.00	4.37	
NOVARTIS ADR	7.0000 Sale	CUSIP Number 07/05/11	66987V109 07/09/12	388.23	429.87	0.00	(41.64)	
NEXTERA ENERGY INC SHS	1.0000 Sale	CUSIP Number 02/18/11	65339F101 05/30/12	65.62	54.42	0.00	11.20	



JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-07)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	NORTHEAST UTILITIES COM							
1.0000	Sale	CUSIP Number 02/18/11	664397106 05/30/12	36.22	33.44	0.00	2.78	
	OGX PETROLEO E-SPON ADR							
6.0000	Sale	CUSIP Number 02/18/11	670849108 06/11/12	27.48	67.50	40.02 (W)	0.00	
6.0000	Sale	CUSIP Number 02/18/11	06/12/12	26.62	72.47	0.00 (Y)	(45.85)	
	Security Subtotal			54.10	139.97	40.02	(45.85)	
	PEABODY ENERGY CORP COM							
1.0000	Sale	CUSIP Number 02/18/11	704549104 05/30/12	24.13	65.61	0.00	(41.48)	
	PHILIP MORRIS INTL INC							
2.0000	Sale	CUSIP Number 02/18/11	718172109 05/30/12	170.63	122.56	0.00	48.07	
	PRAXAIR INC							
2.0000	Sale	CUSIP Number 02/04/11	74005P104 03/15/12	220.70	189.18	0.00	31.52	
3.0000	Sale	CUSIP Number 02/18/11	03/15/12	331.06	295.11	0.00	35.95	
	Security Subtotal			551.76	484.29	0.00	67.47	
	PROCTER & GAMBLE CO							
35.0000	Sale	CUSIP Number 02/04/11	742718109 02/16/12	2,278.57	2,214.10	0.00	64.47	
	QUALCOMM INC							
9.0000	Sale	CUSIP Number 02/10/11	747525103 02/29/12	559.67	513.75	0.00	45.92	
15.0000	Sale	CUSIP Number 02/18/11	02/29/12	932.78	887.10	0.00	45.68	
	Security Subtotal			1,492.45	1,400.85	0.00	91.60	
	RED HAT INC							
15.0000	Sale	CUSIP Number 02/10/11	756577102 03/15/12	757.44	672.22	0.00	85.22	
11.0000	Sale	CUSIP Number 02/18/11	03/15/12	555.46	500.50	0.00	54.96	
2.0000	Sale	CUSIP Number 02/10/11	05/30/12	102.74	89.63	0.00	13.11	
	Security Subtotal			1,415.64	1,262.35	0.00	153.29	
	RECKITT BENCKISER GR ADR							
5.0000	Sale	CUSIP Number 02/18/11	756255105 04/27/12	57.90	54.45	0.00	3.45	
7.0000	Sale	CUSIP Number 02/18/11	05/31/12	74.08	76.23	0.00	(2.15)	
7.0000	Sale	CUSIP Number 05/19/11	05/31/12	74.08	77.67	0.00	(3.59)	
5.0000	Sale	CUSIP Number 05/19/11	08/15/12	55.99	55.48	0.00	0.51	
9.0000	Sale	CUSIP Number 08/10/11	08/15/12	100.79	93.77	0.00	7.02	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	RECKITT BENCKISER GR ADR	CUSIP Number 05/19/11	756255105 08/16/12	44.51	44.39	0.00	0.12	
	4.0000 Sale	08/10/11	08/16/12	89.03	83.35	0.00	5.68	
	8.0000 Sale			496.38	485.34	0.00	11.04	
	Security Subtotal							
	ROGERS COMMUNICATIONS B	CUSIP Number 03/09/11	775109200 05/30/12	34.87	35.19	0.00	(0.32)	
	1.0000 Sale	05/18/11	05/30/12	34.88	37.85	0.00	(2.97)	
	1.0000 Sale			69.75	73.04	0.00	(3.29)	
	Security Subtotal							
	SEMPRA ENERGY	CUSIP Number 02/18/11	816851109 05/30/12	65.00	53.15	0.00	11.85	
	1.0000 Sale							
	SANOFI ADR	CUSIP Number 07/05/11	80105N105 08/08/12	82.71	80.36	0.00	2.35	
	2.0000 Sale							
	SALESFORCE COM INC	CUSIP Number 02/18/11	79466L302 05/30/12	142.78	142.71	0.00	0.07	
	1.0000 Sale							
	SCHNEIDER ELEC SA ADR	CUSIP Number 06/01/11	80687P106 07/03/12	11.25	17.20	0.00 (Y)	(5.95)	
	1.0000 Sale							
	SOUTHERN COMPANY	CUSIP Number 02/18/11	842587107 05/30/12	46.03	37.82	0.00	8.21	
	1.0000 Sale							
	TOTAL S.A. SP ADR	CUSIP Number 02/18/11	89151E109 05/30/12	42.87	59.68	0.00	(16.81)	
	1.0000 Sale							
	UNILEVER NV NY REG SHS	CUSIP Number 02/18/11	904784709 05/30/12	62.64	60.00	0.00	2.64	
	2.0000 Sale							
	UBS AG REG	CUSIP Number 02/18/11	H89231338 05/16/12	57.52	96.51	0.00 (Y)	(38.99)	
	5.0000 Sale	02/04/11	06/26/12	22.69	37.03	0.00 (Y)	(14.34)	
	2.0000 Sale			80.21	133.54	0.00	(53.33)	
	Security Subtotal							
	UNITED TECHS CORP COM	CUSIP Number 02/18/11	913017109 05/30/12	74.05	84.90	0.00	(10.85)	
	1.0000 Sale							



JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-07-

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	VERIZON COMMUNICATNS COM	CUSIP Number	92343V104					
11,000	Sale	02/18/11	03/14/12	435.05	402.60	0.00	32.45	
14,000	Sale	07/05/11	07/26/12	623.69	529.48	0.00	94.21	
	Security Subtotal			1,058.74	932.08	0.00	126.66	
	VERIFONE SYSTEMS INC	CUSIP Number	92342Y109					
1	0000 Sale	07/05/11	08/14/12	33.73	44.65	0.00	(10.92)	
	VMWARE, INC.	CUSIP Number	928563402					
1	0000 Sale	02/04/11	05/30/12	94.44	87.75	0.00	6.69	
	WAL-MART STORES INC	CUSIP Number	931142103					
13	0000 Sale	02/04/11	03/15/12	793.64	726.70	0.00	66.94	
4	0000 Sale	02/18/11	03/15/12	244.20	219.32	0.00	24.88	
	Security Subtotal			1,037.84	946.02	0.00	91.82	
	WEYERHAEUSER CO	CUSIP Number	962166104					
1	0000 Sale	02/18/11	05/30/12	19.87	25.06	0.00	(5.19)	
	WHOLE FOODS MKT INC COM	CUSIP Number	966837106					
5	0000 Sale	07/05/11	07/12/12	466.32	316.90	0.00	149.42	
	SUMITOMO HEAVY INDS 6302	CUSIP Number	J77497113					
18	0000 Sale	02/04/11	02/27/12	100.51	139.50	0.00	(38.99)	
14	0000 Sale	02/04/11	02/27/12	78.18	113.05	0.00	(34.87)	
24	0000 Sale	02/04/11	02/27/12	134.02	193.21	0.00	(59.19)	
26	0000 Sale	02/18/11	02/27/12	145.19	192.40	0.00	(47.21)	
	Security Subtotal			457.90	638.16	0.00	(180.26)	
	Covered Long Term Capital Gains and Losses Subtotal			15,519.01	15,230.58	40.02	328.45	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

GOLDMAN SACHS GRO 5.35% 16	CUSIP Number	38141GEE0						
3000,0000 Sale	07/05/11	08/01/12	3,233.43	3,199.51	0.00		33.92	
US TSY 3.625% FEB 15 2020	CUSIP Number	912828MP2						
1000,0000 Sale	02/04/11	02/27/12	1,159.21	1,012.50	0.00		146.71	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
US TSY 4.750% FEB 15 2037 1000.0000 Sale		CUSIP Number 02/04/11	912810PT9 02/27/12	1,325.66	1,014.49	0.00	311.17	
US TSY 3.375% NOV 30 2012 1000.0000 Sale 1000.0000 Sale		CUSIP Number 02/04/11 02/18/11	912828HK9 02/27/12 02/27/12	1,024.06 1,024.05 2,048.11	1,020.41 1,020.45 2,040.86	0.00 0.00 0.00	3.65 3.60 7.25	
	Security Subtotal							
US TSY 2.625% JUN 30 2014 1000.0000 Sale		CUSIP Number 07/05/11	912828KY5 07/13/12	1,046.21	1,035.32	0.00	10.89	
BLACKRCK EMRGN MKTS FD I 261.0000 Sale 136.0000 Sale		CUSIP Number 02/04/11 02/18/11	09251J402 03/07/12 03/07/12	5,123.43 2,669.68 7,793.11	5,311.35 2,733.60 8,044.95	0.00 0.00 0.00	(187.92) (63.92) (251.84)	
	Security Subtotal							
Noncovered Long Term Capital Gains and Losses Subtotal				16,605.73	16,347.63	0.00	258.10	
NET LONG TERM CAPITAL GAINS AND LOSSES				32,124.74	31,578.21	40.02	586.55	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ALTRIA GROUP INC 39.0000 Sale	CUSIP Number	02209S103				
	06/05/12	07/12/12	1,367.02	1,236.91	0.00	130.11
AMERISOURCEBERGEN CORP 32.0000 Sale	CUSIP Number	03073E105				
	07/06/11	04/11/12	1,232.93	1,380.09	0.00	(147.16)
AGILENT TECHNOLOGIES INC 2.0000 Sale 3.0000 Sale 1.0000 Sale 2.0000 Sale 1.0000 Sale 4.0000 Sale	CUSIP Number	00846U101				
	04/27/12	08/24/12	75.57	85.14	0.00	(9.57)
	04/30/12	08/24/12	113.36	126.52	0.00	(13.16)
	05/01/12	08/24/12	37.79	42.66	0.00	(4.87)
	05/30/12	08/24/12	75.58	82.73	0.00	(7.15)
	06/07/12	08/24/12	37.79	40.28	0.00	(2.49)
	07/30/12	08/24/12	151.17	152.79	0.00	(1.62)
Security Subtotal			491.26	530.12	0.00	(38.86)
ACTIVISION BLIZZARD INC 5.0000 Sale	CUSIP Number	00507V109				
	05/27/11	04/11/12	61.90	57.70	0.00	4.20





Account No.
760-13472

Taxpayer No.
XX-XXX8730

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JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AVON PROD INC								
13 0000	Sale	05/14/12	054303102	223.99	274.96	0.00	(50.97)	
7.0000	Sale	07/06/12	10/17/12	120.62	115.00	0.00	5.62	
Security Subtotal				344.61	389.96	0.00	(45.35)	
BRITISH SKY BDCT SPD ADR								
3.0000	Sale	05/17/12	111013108	145.28	132.27	0.00	13.01	
3.0000	Sale	08/24/12	08/24/12	145.28	130.99	0.00	14.29	
3.0000	Sale	05/18/12	08/24/12	145.28	132.44	0.00	12.84	
2.0000	Sale	05/21/12	08/24/12	96.86	85.72	0.00	11.14	
2.0000	Sale	05/31/12	08/24/12	96.86	85.29	0.00	11.57	
4.0000	Sale	06/27/12	08/24/12	193.73	171.36	0.00	22.37	
Security Subtotal				823.29	738.07	0.00	85.22	
BIOGEN IDEC INC								
11.0000	Sale	08/31/11	09062X103	1,387.84	1,032.21	0.00	355.63	
9.0000	Sale	08/31/11	04/11/12	1,321.62	844.54	0.00	477.08	
Security Subtotal				2,709.46	1,876.75	0.00	832.71	
BG GROUP PLC SPON ADR								
5.0000	Sale	05/12/11	055434203	110.34	109.93	0.00	0.41	
20.0000	Sale	07/05/11	04/11/12	441.40	469.60	0.00	(28.20)	
5.0000	Sale	10/20/11	08/24/12	102.19	104.95	0.00	(2.76)	
10.0000	Sale	02/01/12	08/24/12	204.40	228.89	0.00	(24.49)	
4.0000	Sale	03/29/12	08/24/12	81.76	91.74	0.00	(9.98)	
7.0000	Sale	05/31/12	08/24/12	143.09	135.01	0.00	8.08	
Security Subtotal				1,083.18	1,140.12	0.00	(56.94)	
BAIDU INC SPON ADR								
2.0000	Sale	08/08/12	056752108	230.97	260.37	0.00	(29.40)	
BNP PARIBAS SPONSORD ADR								
16.0000	Sale	12/08/11	05565A202	325.91	334.18	0.00	(8.27)	
7.0000	Sale	12/08/11	08/24/12	149.09	146.20	0.00	2.89	
8.0000	Sale	02/01/12	08/24/12	170.40	176.98	0.00	(6.58)	
4.0000	Sale	05/31/12	08/24/12	85.20	63.09	0.00	22.11	
Security Subtotal				730.60	720.45	0.00	10.15	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BEZEQ THE ISRAEL TELECOM								
		CUSIP Number	08861Q103					
70 0000	Sale	04/11/12	05/21/12	436.69	568.40	131.71 (W)	0.00	
145 0000	Sale	04/11/12	05/21/12	904.58	1,177.40	0.00	(272.82)	
197 0000	Sale	04/11/12	06/20/12	1,081.23	1,599.64	0.00	(518.41)	
70 0000	Sale	04/26/12	06/20/12	384.20	593.01	0.00 (Y)	(208.81)	
	Security Subtotal			2,806.70	3,938.45	131.71	(1,000.04)	
BOEING COMPANY								
		CUSIP Number	097023105					
4 0000	Sale	04/20/11	04/11/12	289.28	300.11	0.00	(10.83)	
5 0000	Sale	04/27/12	08/24/12	355.00	387.28	0.00	(32.28)	
	Security Subtotal			644.28	687.37	0.00	(43.09)	
COMCAST CORP NEW CL A								
		CUSIP Number	20030N101					
48 0000	Sale	01/05/12	04/11/12	1,384.29	1,197.23	0.00	187.06	
38 0000	Sale	01/05/12	08/24/12	1,292.73	947.81	0.00	344.92	
	Security Subtotal			2,677.02	2,145.04	0.00	531.98	
COSTCO WHOLESALE CRP DEL								
		CUSIP Number	22160K105					
19 0000	Sale	03/15/12	04/11/12	1,656.38	1,737.14	0.00	(80.76)	
16 0000	Sale	03/15/12	08/24/12	1,535.00	1,462.85	0.00	72.15	
2 0000	Sale	04/13/12	08/24/12	191.87	195.87	0.00 (Y)	(4.00)	
4 0000	Sale	06/28/12	08/24/12	383.76	371.09	0.00	12.67	
	Security Subtotal			3,767.01	3,766.95	0.00	0.06	
COACH INC								
		CUSIP Number	189764104					
13 0000	Sale	10/12/11	04/11/12	957.56	809.11	0.00	148.45	
10 0000	Sale	10/12/11	08/24/12	558.59	622.40	0.00	(63.81)	
	Security Subtotal			1,516.15	1,431.51	0.00	84.64	
CHINA LIFE INS CO SP ADR								
		CUSIP Number	16939P106					
9 0000	Sale	09/01/11	04/11/12	346.22	333.48	0.00	12.74	
3 0000	Sale	09/02/11	04/11/12	115.41	108.27	0.00	7.14	
3 0000	Sale	09/02/11	08/24/12	123.62	108.26	0.00	15.36	
6 0000	Sale	10/18/11	08/24/12	247.26	226.68	0.00	20.58	
2 0000	Sale	01/10/12	08/24/12	82.42	76.99	0.00	5.43	
	Security Subtotal			914.93	853.68	0.00	61.25	
CELGENE CORP COM								
		CUSIP Number	151020104					
11 0000	Sale	03/15/12	04/11/12	871.29	835.55	0.00	35.74	





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2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CELANESE CORP DEL SER A								
27.0000	Sale	10/28/11	04/11/12	1,200.39	1,239.63	0.00	(39.24)	
5.0000	Sale	10/28/11	08/24/12	200.99	229.56	0.00	(28.57)	
17.0000	Sale	02/16/12	08/24/12	683.39	885.49	0.00	(202.10)	
Security Subtotal				2,084.77	2,354.68	0.00	(269.91)	
CHINA CONSTRUCT UNSPN AD								
30.0000	Sale	03/28/12	08/24/12	403.19	467.10	0.00	(63.91)	
CERNER CORP COM								
2.0000	Sale	07/05/11	04/11/12	147.68	124.76	0.00	22.92	
7.0000	Sale	11/30/11	08/24/12	497.88	423.32	0.00	74.56	
Security Subtotal				645.56	548.08	0.00	97.48	
DBS GROUP HLDGS SPN ADR								
38.0000	Sale	04/11/12	07/24/12	1,734.64	1,601.70	0.00	132.94	
DAIMLER A								
1.0000	Sale	05/27/11	04/11/12	53.95	68.64	0.00	(14.69)	
2.0000	Sale	05/31/12	08/24/12	102.34	93.83	0.00	8.51	
Security Subtotal				156.29	162.47	0.00	(6.18)	
DOMINION RES INC NEW VA								
30.0000	Sale	04/11/12	08/08/12	1,608.27	1,505.70	0.00	102.57	
23.0000	Sale	04/11/12	08/09/12	1,232.62	1,154.37	0.00	78.25	
29.0000	Sale	06/05/12	08/09/12	1,554.19	1,492.05	0.00	62.14	
Security Subtotal				4,395.08	4,152.12	0.00	242.96	
DOW CHEMICAL CO								
2.0000	Sale	06/06/11	04/11/12	63.60	70.14	0.00	(6.54)	
E M C CORPORATION MASS								
37.0000	Sale	06/15/12	08/24/12	984.18	923.93	0.00	60.25	
ENI S P A SPONSORED ADR								
17.0000	Sale	04/11/12	05/17/12	686.96	734.23	47.27 (W)	0.00	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EBAY INC								
	COM	CUSIP Number	278642103					
34.0000	Sale	09/23/11	04/11/12	1,221.93	1,075.00	0.00	146.93	
8.0000	Sale	09/23/11	08/24/12	377.99	252.94	0.00	125.05	
19.0000	Sale	12/07/11	08/24/12	897.73	589.50	0.00	308.23	
	Security Subtotal			2,497.65	1,917.44	0.00	580.21	
EATON CORP								
	COM	CUSIP Number	278058102					
33.0000	Sale	12/16/11	04/11/12	1,520.94	1,399.63	0.00	121.31	
14.0000	Sale	12/16/11	08/24/12	650.14	593.79	0.00	56.35	
13.0000	Sale	02/16/12	08/24/12	603.71	657.75	0.00	(54.04)	
	Security Subtotal			2,774.79	2,651.17	0.00	123.62	
EXXON MOBIL CORP								
	COM	CUSIP Number	30231G102					
20.0000	Sale	07/05/11	04/11/12	1,647.77	1,632.40	0.00	15.37	
EXPRESS SCRIPTS HLDG CO								
	COM	CUSIP Number	30219G108					
17.0000	Sale	02/16/12	04/11/12	959.46	955.49	0.00	3.97	
	Cash/Lieu	02/16/12	04/25/12	0.58	0.56	0.00	0.02	
11.0000	Sale	02/16/12	08/24/12	677.03	618.26	0.00	58.77	
16.0000	Sale	04/05/12	08/24/12	984.78	912.79	0.00	71.99	
5.0000	Sale	07/26/12	08/24/12	307.75	284.29	0.00	23.46	
	Security Subtotal			2,929.60	2,771.39	0.00	158.21	
FREEMPT-MCMRAN CPR & GLD								
	COM	CUSIP Number	35671D857					
18.0000	Sale	01/25/12	04/11/12	649.07	832.45	0.00	(183.38)	
F5 NETWORKS INC								
	COM	CUSIP Number	315616102					
4.0000	Sale	07/26/12	08/24/12	394.43	378.57	0.00	15.86	
5.0000	Sale	07/27/12	08/24/12	493.04	472.30	0.00	20.74	
	Security Subtotal			887.47	850.87	0.00	36.60	
ISHARES MSCI GERMANY								
	COM	CUSIP Number	464286806					
9.0000	Sale	02/01/12	04/11/12	197.23	197.41	0.00	(0.18)	
302.0000	Sale	02/01/12	08/24/12	6,388.18	6,624.22	0.00	(236.04)	
190.0000	Sale	05/30/12	08/24/12	4,019.06	3,721.45	0.00	297.61	
	Security Subtotal			10,604.47	10,543.08	0.00	61.39	
ISHARES MSCI JAPAN INDEX								
	COM	CUSIP Number	464286848					
650.0000	Sale	03/07/12	04/11/12	6,333.72	6,424.34	0.00	(90.62)	





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2012 TAX REPORTING STATEMENT

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-077)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ISHARES MSCI EMERGING 465.0000 Sale 155.0000 Sale		CUSIP Number 05/30/12 05/31/12	464287234 08/24/12 08/24/12	18,629.57 6,209.86 24,839.43	17,451.73 5,833.61 23,285.34	0.00 0.00 0.00	1,177.84 376.25 1,554.09	
Security Subtotal								
GREEN MOUNTN COFFEE ROS 8.0000 Sale		CUSIP Number 09/28/11	393122106 04/11/12	338.95	853.37	0.00	(514.42)	
GENL DYNAMICS CORP COM 13.0000 Sale		CUSIP Number 07/05/11	369550108 04/11/12	904.39	973.31	0.00	(68.92)	
GOLDMAN SACHS GROUP INC 9.0000 Sale 7.0000 Sale		CUSIP Number 03/15/12 03/15/12	38141G104 04/11/12 08/24/12	1,050.82 733.54 1,784.36	1,110.24 863.52 1,973.76	0.00 0.00 0.00	(59.42) (129.98) (189.40)	
Security Subtotal								
GOOGLE INC CL A 1.0000 Sale 1.0000 Sale 1.0000 Sale		CUSIP Number 08/24/11 02/24/12 08/14/12	38259P508 08/24/12 08/24/12 08/24/12	678.52 678.53 678.53 2,035.58	524.98 606.56 669.21 1,800.75	0.00 0.00 0.00 0.00	153.54 71.97 9.32 234.83	
Security Subtotal								
GILEAD SCIENCES INC COM 18.0000 Sale		CUSIP Number 08/14/12	375558103 08/24/12	1,030.84	1,036.61	0.00	(5.77)	
HSBC HLDG PLC SP ADR 26.0000 Sale		CUSIP Number 04/11/12	404280406 07/24/12	1,027.62	1,119.56	0.00	(91.94)	
HOME DEPOT INC 6.0000 Sale		CUSIP Number 07/05/11	437076102 04/11/12	298.02	220.02	0.00	78.00	
INFINEON TECHS AG SPDADR 47.0000 Sale 14.0000 Sale		CUSIP Number 11/08/11 11/09/11	45662N103 04/11/12 04/11/12	436.62 130.06 566.68	433.92 125.66 559.58	0.00 0.00 0.00	2.70 4.40 7.10	
Security Subtotal								
ICICI BANK LTD SPD ADR 3.0000 Sale 2.0000 Sale 3.0000 Sale		CUSIP Number 09/20/11 09/21/11 09/21/11	45104G104 04/11/12 04/11/12 04/11/12	102.35 68.23 102.36	110.57 74.11 89.33	0.00 0.00 0.00	(8.22) (5.88) 13.03	(Y)

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ICICI BANK LTD SPD ADR								
1.0000	Sale	09/21/11	04/11/12	34.12	31.60	0.00	2.52	
3.0000	Sale	09/22/11	04/11/12	102.37	102.32	0.00	0.05	
8.0000	Sale	09/22/11	08/24/12	275.91	272.85	0.00	3.06	
3.0000	Sale	01/10/12	08/24/12	103.47	89.78	0.00	13.69	
2.0000	Sale	03/29/12	08/24/12	68.98	67.24	0.00	1.74	
3.0000	Sale	05/30/12	08/24/12	103.47	85.08	0.00	18.39	
				961.26	922.88	0.00	38.38	
Security Subtotal								
INTUITIVE SURGICAL INC								
1.0000	Sale	06/28/12	08/24/12	505.94	533.11	0.00	(27.17)	
ISHARES S&P 500 INDEX								
47.0000	Sale	03/07/12	04/11/12	6,467.53	6,397.06	0.00	70.47	
136.0000	Sale	05/30/12	08/24/12	19,309.94	18,028.55	0.00	1,283.39	
				25,777.47	24,423.61	0.00	1,353.86	
Security Subtotal								
ISHARES TR DOW JONES US								
57.0000	Sale	01/12/12	04/11/12	4,363.77	3,754.97	0.00	608.80	
57.0000	Sale	01/13/12	04/11/12	4,363.78	3,745.25	0.00	618.53	
42.0000	Sale	01/17/12	08/24/12	3,176.38	2,787.43	0.00	388.95	
154.0000	Sale	03/28/12	08/24/12	11,646.77	12,085.30	0.00	(438.53)	
				23,550.70	22,372.95	0.00	1,177.75	
Security Subtotal								
ISHARES DOW JONES US								
3.0000	Sale	01/09/12	04/11/12	118.90	122.01	0.00	(3.11)	
ITAU UNIBANCO BANCO HOLD								
19.0000	Sale	06/26/12	08/24/12	319.85	250.22	0.00	69.63	
ING GP NV SP5D ADR								
36.0000	Sale	06/09/11	04/11/12	261.71	426.70	0.00	(164.99)	
3.0000	Sale	07/05/11	04/11/12	21.81	37.32	0.00	(15.51)	
12.0000	Sale	05/30/12	08/24/12	85.81	69.87	0.00	15.94	
				369.33	533.89	0.00	(164.56)	
Security Subtotal								





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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
24,0000	SALE	07/05/11	04/11/12	416.16	499.20	0.00	(83.04)	
50,0000	SALE	10/28/11	08/24/12	714.98	736.74	0.00	(21.76)	
Security Subtotal				1,131.14	1,235.94	0.00	(104.80)	
34,0000	SALE	06/05/12	07/12/12	1,328.84	1,271.20	0.00	57.64	
17,0000	SALE	08/24/12	10/16/12	15.66	14.67	0.00	0.99	
2,0000	SALE	07/05/11	04/11/12	147.36	134.04	0.00	13.32	
15,0000	SALE	12/01/11	04/11/12	637.49	623.04	0.00	14.45	
11,0000	SALE	07/05/11	04/11/12	658.34	481.03	0.00	177.31	
17,0000	SALE	09/14/11	08/24/12	723.51	815.81	0.00	(92.30)	
Security Subtotal				1,381.85	1,296.84	0.00	85.01	
1,0000	SALE	07/05/11	04/11/12	47.93	46.96	0.00	0.97	
24,0000	SALE	10/12/11	04/11/12	405.11	364.80	0.00	40.31	
23,0000	SALE	10/13/11	04/11/12	388.23	348.59	0.00	39.64	
6,0000	SALE	10/14/11	04/11/12	101.28	92.53	0.00	8.75	
3,0000	SALE	10/14/11	08/24/12	46.94	46.26	0.00	0.68	
14,0000	SALE	11/16/11	08/24/12	219.09	206.44	0.00	12.65	
10,0000	SALE	01/26/12	08/24/12	156.50	157.44	0.00	(0.94)	
2,0000	SALE	02/01/12	08/24/12	31.30	32.37	0.00	(1.07)	
13,0000	SALE	07/31/12	08/24/12	203.44	194.59	0.00	8.85	
14,0000	SALE	08/08/12	08/24/12	219.11	217.17	0.00	1.94	
Security Subtotal				1,771.00	1,660.19	0.00	110.81	
68,0000	SALE	10/06/11	04/11/12	1,021.34	1,041.92	0.00	(20.58)	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	MONSANTO CO NEW DEL COM	CUSIP Number	61166W101					
14,000	Sale	09/28/11	04/11/12	1,046.48	906.10	0.00	140.38	
10,000	Sale	09/28/11	08/24/12	849.08	647.22	0.00	201.86	
3,000	Sale	06/28/12	08/24/12	254.73	239.51	0.00	15.22	
	Security Subtotal			2,150.29	1,792.83	0.00	357.46	
	MEDCO HEALTH SOLUTIONS I	CUSIP Number	58405U102					
21,000	Sale	02/16/12	04/03/12	604.80	382.69	0.00	222.11	
	MERCADOLIBRE INC	CUSIP Number	58733R102					
3,000	Sale	05/30/12	08/24/12	241.68	217.91	0.00	23.77	
	MICHAEL KORS HLDGS LTD	CUSIP Number	G60754101					
15,000	Sale	04/05/12	08/24/12	809.23	714.11	0.00	95.12	
	MONDELEZ INTERNATIONAL	CUSIP Number	609207105					
162,000	Sale	04/11/12	10/16/12	4,387.73	3,872.30	0.00	515.43	
23,000	Sale	06/05/12	10/16/12	622.95	558.18	0.00	64.77	
26,000	Sale	08/24/12	10/16/12	704.21	705.27	0.00	(1.06)	
	Security Subtotal			5,714.89	5,135.75	0.00	579.14	
	MAKITA CORP SPOND ADR	CUSIP Number	560877300					
3,000	Sale	07/05/11	04/11/12	115.32	138.54	0.00	(23.22)	
	MICROSOFT CORP	CUSIP Number	594918104					
12,000	Sale	07/05/11	04/11/12	363.95	312.84	0.00	51.11	
7,000	Sale	07/05/11	04/11/12	212.30	182.49	0.00	29.81	
24,000	Sale	12/07/11	04/11/12	727.91	612.14	0.00	115.77	
33,000	Sale	12/22/11	04/11/12	1,000.88	850.72	0.00	150.16	
12,000	Sale	12/22/11	08/24/12	365.63	309.35	0.00	56.28	
46,000	Sale	01/25/12	08/24/12	1,401.59	1,361.53	0.00	40.06	
	Security Subtotal			4,072.26	3,629.07	0.00	443.19	
	NIDEC CORPORATION ADR	CUSIP Number	654090109					
9,000	Sale	06/20/11	04/11/12	198.71	203.82	0.00	(5.11)	
12,000	Sale	06/21/11	04/11/12	264.96	274.52	0.00	(9.56)	
4,000	Sale	07/05/11	04/11/12	88.32	93.28	0.00	(4.96)	
	Security Subtotal			551.99	571.62	0.00	(19.63)	





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2012 TAX REPORTING STATEMENT

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NISSAN MTR LTD SPN ADR								
		CUSIP Number	654744408					
31 0000	Sale	07/05/11	04/11/12	637.66	656.27	0.00	(18.61)	
8.0000	Sale	09/21/11	08/24/12	155.91	138.79	0.00	17.12	
12.0000	Sale	11/08/11	08/24/12	233.87	220.95	0.00	12.92	
8.0000	Sale	01/10/12	08/24/12	155.92	141.47	0.00	14.45	
8.0000	Sale	03/14/12	08/24/12	155.92	168.40	0.00	(12.48)	
8.0000	Sale	07/31/12	08/24/12	155.93	153.00	0.00	2.93	
Security Subtotal				1,495.21	1,478.88	0.00	16.33	
NATIONAL BANK CANADA								
		CUSIP Number	633067103					
11 0000	Sale	04/11/12	04/13/12	853.33	855.80	0.00	(2.47)	
23.0000	Sale	04/11/12	07/24/12	1,644.61	1,789.40	0.00	(144.79)	
Security Subtotal				2,497.94	2,645.20	0.00	(147.26)	
NATIONAL-OILWELL VARCO								
		CUSIP Number	637071101					
10 0000	Sale	11/30/11	04/11/12	775.28	711.11	0.00	64.17	
9 0000	Sale	11/30/11	08/24/12	689.20	640.00	0.00	49.20	
6.0000	Sale	07/26/12	08/24/12	459.47	437.55	0.00	21.92	
Security Subtotal				1,923.95	1,788.66	0.00	135.29	
NTT DOCOMO INC SPD ADR								
		CUSIP Number	62942M201					
9.0000	Sale	09/23/11	08/24/12	156.86	170.52	0.00	(13.66)	
4.0000	Sale	09/26/11	08/24/12	69.72	76.27	0.00	(6.55)	
1.0000	Sale	11/16/11	11/12/12	14.33	17.93	0.00	(3.60)	
11.0000	Sale	11/16/11	11/12/12	158.61	197.23	0.00	(38.62)	
8.0000	Sale	01/31/12	11/12/12	115.35	141.95	0.00	(26.60)	
6.0000	Sale	03/29/12	11/12/12	86.51	99.64	0.00	(13.13)	
73 0000	Sale	04/11/12	11/12/12	1,052.63	1,220.20	0.00	(167.57)	
27 0000	Sale	04/11/12	11/13/12	385.82	451.30	0.00	(65.48)	
6.0000	Sale	05/30/12	11/13/12	85.73	94.29	0.00	(8.56)	
2.0000	Sale	05/31/12	11/13/12	28.57	31.97	0.00	(3.40)	
51 0000	Sale	06/05/12	11/13/12	728.79	816.62	0.00	(87.83)	
45.0000	Sale	07/24/12	11/13/12	643.05	743.65	0.00	(100.60)	
Security Subtotal				3,525.97	4,061.57	0.00	(535.60)	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NOBLE GROUP LTD SHS								
19,000	Sale	11/25/11	04/11/12	390.44	323.18	0.00	67.26	
10,000	Sale	11/25/11	08/24/12	198.49	170.10	0.00	28.39	
7,000	Sale	02/01/12	08/24/12	138.95	150.17	0.00	(11.22)	
Security Subtotal				727.88	643.45	0.00	84.43	
NXP SEMICONDUCTORS N.V.								
45,000	Sale	12/07/11	04/11/12	1,085.82	839.43	0.00	246.39	
2,000	Sale	12/08/11	04/11/12	48.26	36.51	0.00	11.75	
Security Subtotal				1,134.08	875.94	0.00	258.14	
PRICELINE COM INC								
1,000	Sale	04/27/12	08/24/12	594.00	763.04	0.00	(169.04)	
1,000	Sale	06/15/12	08/24/12	594.01	651.59	0.00	(57.58)	
Security Subtotal				1,188.01	1,414.63	0.00	(226.62)	
PEPSICO INC								
6,000	Sale	04/11/12	08/24/12	439.01	390.30	0.00	48.71	
PRAXAIR INC								
1,000	Sale	07/05/11	04/11/12	111.25	108.90	0.00	2.35	
PRECISION CASTPARTS								
5,000	Sale	09/14/11	04/11/12	842.43	824.97	0.00	17.46	
3,000	Sale	09/14/11	08/24/12	493.66	494.98	0.00	(1.32)	
3,000	Sale	04/27/12	08/24/12	493.67	532.89	0.00	(39.22)	
Security Subtotal				1,829.76	1,852.84	0.00	(23.08)	
PROCTER & GAMBLE CO								
15,000	Sale	09/23/11	08/24/12	1,004.90	915.03	0.00	89.87	
QUALCOMM INC								
8,000	Sale	04/20/11	04/11/12	532.87	438.04	0.00	94.83	
ROYAL KPN N.V. SP ADR								
185,000	Sale	04/11/12	04/13/12	1,819.60	1,916.60	0.00	(97.00)	





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2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0071)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	RIO TINTO PLC SPNSRD ADR	CUSIP Number	767204100					
2.0000	Sale	10/11/11	08/24/12	92.04	102.04	0.00	(10.00)	
1.0000	Sale	05/30/12	08/24/12	46.01	43.71	0.00	2.30	
2.0000	Sale	07/06/12	08/24/12	92.05	95.19	0.00	(3.14)	
	Security Subtotal			230.10	240.94	0.00	(10.84)	
	RANGE RESOURCES CORP DEL	CUSIP Number	75281A109					
16.0000	Sale	09/23/11	04/11/12	907.18	1,039.21	0.00	(132.03)	
	RACKSPACE HOSTING INC	CUSIP Number	750086100					
17.0000	Sale	03/15/12	04/11/12	941.95	959.04	0.00	(17.09)	
13.0000	Sale	03/15/12	08/24/12	748.91	733.38	0.00	15.53	
	Security Subtotal			1,690.86	1,692.42	0.00	(1.56)	
	ROCHE HLDG LTD SPN ADR	CUSIP Number	771195104					
16.0000	Sale	01/10/12	04/11/12	673.90	691.84	0.00	(17.94)	
3.0000	Sale	01/10/12	08/24/12	137.12	129.72	0.00	7.40	
2.0000	Sale	02/24/12	08/24/12	91.42	88.92	0.00	2.50	
	Security Subtotal			902.44	910.48	0.00	(8.04)	
	SANOFI ADR	CUSIP Number	80105N105					
64.0000	Sale	04/11/12	10/16/12	2,887.22	2,323.55	0.00	563.67	
24.0000	Sale	08/24/12	10/16/12	1,082.71	980.88	0.00	101.83	
	Security Subtotal			3,969.93	3,304.43	0.00	665.50	
	SWATCH GROUP AG UNSPOND	CUSIP Number	870123106					
16.0000	Sale	07/05/11	04/11/12	360.16	419.20	0.00	(59.04)	
8.0000	Sale	10/21/11	08/24/12	167.12	158.93	0.00	8.19	
8.0000	Sale	01/10/12	08/24/12	167.12	159.08	0.00	8.04	
	Security Subtotal			694.40	737.21	0.00	(42.81)	
	SCHNEIDER ELEC SA ADR	CUSIP Number	80687P106					
9.0000	Sale	04/18/11	04/11/12	107.10	138.44	0.00	(31.34)	
6.0000	Sale	06/01/11	04/11/12	71.39	99.14	0.00	(27.75)	
8.0000	Sale	06/01/11	04/11/12	95.20	137.57	0.00 (Y)	(42.37)	
12.0000	Sale	07/05/11	04/11/12	142.81	186.25	0.00 (Y)	(43.44)	
	Security Subtotal			416.50	561.40	0.00	(144.90)	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SVENSKA HANDELSBANKEN AB 47 0000 Sale		CUSIP Number 04/11/12	86959C103 07/24/12	766.33	714.40	0.00	51.93	
STANLEY BLACK & DECKER 13.0000 Sale 6.0000 Sale		CUSIP Number 10/12/11 07/26/12	854502101 08/24/12 08/24/12	876.05 404.34 1,280.39	753.01 395.34 1,148.35	0.00 0.00 0.00	123.04 9.00 132.04	
Security Subtotal								
SBERBANK SPONSORED ADR 21.0000 Sale 6.0000 Sale		CUSIP Number 04/25/12 05/31/12	80585Y308 08/24/12 08/24/12	246.53 70.44 316.97	270.18 59.74 329.92	0.00 0.00 0.00	(23.65) 10.70 (12.95)	
Security Subtotal								
TOTAL S.A. SP ADR 17.0000 Sale		CUSIP Number 04/11/12	89151E109 05/17/12	746.12	828.58	0.00	(82.46)	
TEVA PHARMACTCL INDS ADR 8.0000 Sale 4.0000 Sale 3.0000 Sale 2.0000 Sale		CUSIP Number 12/19/11 12/19/11 01/31/12 05/30/12	881624209 04/11/12 08/24/12 08/24/12 08/24/12	351.83 161.71 121.29 80.86 715.69	335.23 167.62 135.30 78.40 716.55	0.00 0.00 0.00 0.00 0.00	16.60 (5.91) (14.01) 2.46 (0.86)	
Security Subtotal								
TEXAS INSTRUMENTS 33.0000 Sale		CUSIP Number 02/16/12	882508104 04/11/12	1,057.96	1,119.05	0.00	(61.09)	
TAIWAN S MANUFACTURING ADR 14.0000 Sale 22.0000 Sale 8.0000 Sale 265.0000 Sale		CUSIP Number 04/11/12 04/11/12 04/11/12 04/11/12	874039100 04/13/12 04/13/12 04/13/12 07/16/12	209.69 329.50 119.81 3,356.68 4,015.68	207.58 326.19 118.62 3,929.15 4,581.54	0.00 0.00 0.00 0.00 0.00	2.11 3.31 1.19 (572.47) (565.86)	
Security Subtotal								
TOKYO ELECTRON LTD SHS 6.0000 Sale		CUSIP Number 10/25/11	889110102 04/11/12	676.48	660.39	0.00	16.09	





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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
25,000	TESLA MTRS INC Sale	11/11/11	04/11/12	814.73	843.04	0.00	(28.31)	
21,000	21,000 Sale	11/11/11	08/24/12	625.79	708.15	0.00	(82.36)	
	Security Subtotal			1,440.52	1,551.19	0.00	(110.67)	
7,000	TORONTO DOMINION BANK Sale	07/27/11	04/11/12	577.21	570.55	0.00	6.66	
1,000	US BANCORP (NEW) Sale	07/05/11	09/29/3304	30.81	25.52	0.00	5.29	
16,000	UNDER ARMOUR INC Sale	05/02/12	09/4311107	896.62	807.60	0.00	89.02	
5,000	UBS AG REG Sale	05/27/11	H89231338	63.50	91.97	0.00 (Y)	(28.47)	
23,000	23,000 Sale	07/05/11	04/11/12	292.11	420.44	0.00	(128.33)	
	Security Subtotal			355.61	512.41	0.00	(156.80)	
3,000	VEECO INSTRUMENTS INC Sale	04/14/11	922417100	81.14	144.76	0.00 (Y)	(63.62)	
2,000	2,000 Sale	07/05/11	04/11/12	54.10	93.04	0.00	(38.94)	
7,000	7,000 Sale	07/12/11	04/11/12	189.35	300.41	0.00	(111.06)	
1,000	1,000 Sale	11/15/11	04/11/12	27.05	26.55	0.00	0.50	
4,000	4,000 Sale	11/15/11	08/24/12	131.75	106.21	0.00	25.54	
5,000	5,000 Sale	01/31/12	08/24/12	164.70	121.90	0.00	42.80	
	Security Subtotal			648.09	792.87	0.00	(144.78)	
9,000	VERIFONE SYSTEMS INC Sale	07/05/11	92342Y109	475.82	401.85	0.00	73.97	
8,000	VISA INC CL A SHRS Sale	04/05/12	92826C839	1,013.34	967.38	0.00	45.96	
19,000	VALEANT PHARMACEUTICALS Sale	02/29/12	91911K102	1,001.09	1,007.09	0.00	(6.00)	
16,000	16,000 Sale	02/29/12	08/24/12	837.74	848.08	0.00	(10.34)	
	Security Subtotal			1,838.83	1,855.17	0.00	(16.34)	

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0711)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VALLOUREC SA								
28.0000	Sale	10/19/11	04/11/12	335.99	348.25	0.00	(12.26)	
ZURICH INSURANCE GROUP								
17.0000	Sale	05/07/12	08/24/12	410.37	407.14	0.00	3.23	
3.0000	Sale	05/31/12	08/24/12	72.42	62.06	0.00	10.36	
3.0000	Sale	06/08/12	08/24/12	72.42	62.65	0.00	9.77	
Security Subtotal				555.21	531.85	0.00	23.36	
LEGRAND SA								
33.0000	Sale	04/11/12	05/18/12	1,067.23	1,133.55	66.32 (W)	0.00	
1.0000	Sale	04/11/12	05/18/12	32.34	34.35	0.00	(2.01)	
Security Subtotal				1,099.57	1,167.90	66.32	(2.01)	
ACE LIMITED								
9.0000	Sale	10/06/11	04/11/12	645.47	547.92	0.00	97.55	
7.0000	Sale	10/06/11	08/24/12	519.67	426.16	0.00	93.51	
Security Subtotal				1,165.14	974.08	0.00	191.06	
PT BANK RAKYAT INDONESIA								
13.0000	Sale	06/26/12	08/30/12	9.09	8.31	0.00	0.78	
376.0000	Sale	06/27/12	08/30/12	263.20	246.51	0.00	16.69	
148.0000	Sale	07/31/12	08/30/12	103.60	109.45	0.00	(5.85)	
204.0000	Sale	08/09/12	08/30/12	142.80	151.98	0.00	(9.18)	
Security Subtotal				518.69	516.25	0.00	2.44	
WAL-MART STORES INC								
16.0000	Sale	08/24/11	04/11/12	955.34	851.09	0.00	104.25	
12.0000	Sale	08/24/11	08/24/12	864.22	638.31	0.00	225.91	
Security Subtotal				1,819.56	1,489.40	0.00	330.16	
KOMERCNI BANKA AS								
1.0000	Sale	04/26/12	08/24/12	191.99	184.89	0.00	7.10	
1.0000	Sale	04/27/12	08/24/12	192.00	184.62	0.00	7.38	
Security Subtotal				383.99	369.51	0.00	14.48	



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1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0711)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
60.0000	WELLS FARGO & CO NEW DEL Sale	11/11/11	08/24/12	2,042.95	1,543.01	0.00	499.94	
27.0000	Standard Chartered .5USD Sale	02/29/12	08/24/12	919.34	847.13	0.00	72.21	
Security Subtotal				2,962.29	2,390.14	0.00	572.15	
19.0000	STANDARD CHARTERED .5USD Sale	08/19/11	04/11/12	448.58	425.27	0.00	23.31	
70.0000	KASIKORNBANK PCL (NVDR) Sale	02/10/12	04/11/12	334.59	301.46	0.00	33.13	
46.0000	BLCKRCK TTL RTN INSTL CL Sale	03/28/12	08/24/12	534.99	522.10	0.00	12.89	
Covered Short Term Capital Gains and Losses Subtotal				221,976.71	215,150.63	245.30	7,071.38	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

AT&T INC 5.50% FEB01 18	CUSIP Number 00206RAJ1	04/12/12	06/04/12	1,180.58	1,174.67	0.00	5.91	
BHP BILLITON FIN 1.62% 17	CUSIP Number 055451AP3	02/27/12	06/04/12	4,020.28	4,031.62	0.00	(11.34)	
BP CAP MARKETS PL 3.87% 15	CUSIP Number 05565QBH0	07/05/11	06/04/12	1,069.50	1,044.81	0.00	24.69	
1000.0000 Sale		04/12/12	06/04/12	1,069.51	1,070.44	0.00	(0.93)	
Security Subtotal				2,139.01	2,115.25	0.00	23.76	
GENERAL ELEC CO 5.00% 2013	CUSIP Number 369604AY9	07/05/11	06/04/12	1,027.12	1,026.19	0.00	0.93	
1000.0000 Sale		04/12/12	06/04/12	1,027.13	1,029.05	0.00	(1.92)	
Security Subtotal				2,054.25	2,055.24	0.00	(0.99)	
CITIGROUP INC 4.50% 2022	CUSIP Number 172967FT3	11/17/11	06/04/12	1,011.67	957.18	0.00	54.49	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	CUSIP Number	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CITIGROUP FUNDING 2 25% 12									
1000.0000	Sale	07/05/11	17313YAJ0	06/04/12	1,010.39	1,009.50	0.00	0.89	
1000.0000	Sale	04/12/12	06/04/12	06/04/12	1,010.40	1,010.31	0.00	0.09	
2000.0000	Sale	04/12/12	09/07/12	09/07/12	2,010.18	2,009.91	0.00	0.27	
8000.0000	Sale	08/29/12	09/07/12	09/07/12	8,040.72	8,044.24	0.00	(3.52)	
Security Subtotal					12,071.69	12,073.96	0.00	(2.27)	
GENL ELEC CAP COR 2 95% 16									
1000.0000	Sale	09/15/11	36962G5C4	06/04/12	1,028.67	1,009.04	0.00	19.63	
GOLDMAN SACHS GRO 5 35% 16									
1000.0000	Sale	07/05/11	38141GEED	06/04/12	1,037.25	1,069.47	0.00	(32.22)	
1000.0000	Sale	04/12/12	06/04/12	06/04/12	1,037.26	1,057.19	0.00	(19.93)	
3000.0000	Sale	04/12/12	08/01/12	08/01/12	3,233.43	3,164.22	0.00	69.21	
Security Subtotal					5,307.94	5,290.88	0.00	17.06	
JPMORGAN CHASE & 5.12% 14									
1000.0000	Sale	07/05/11	46625HBV1	06/05/12	1,048.37	1,061.34	0.00	(12.97)	
1000.0000	Sale	04/12/12	06/05/12	06/05/12	1,048.38	1,069.96	0.00	(21.58)	
Security Subtotal					2,096.75	2,131.30	0.00	(34.55)	
FED NAT'L MORTGAGE ASSOC									
1000.0000	Sale	07/05/11	31359MPF4	06/04/12	1,011.48	1,011.06	0.00	0.42	
1000.0000	Sale	04/12/12	06/04/12	06/04/12	1,011.48	1,017.25	0.00	(5.77)	
4000.0000	Sale	04/12/12	09/17/12	09/17/12	4,000.00	4,068.99	0.00	(68.99)	
13000.0000	Sale	08/29/12	09/17/12	09/17/12	13,000.00	13,016.71	0.00	(16.71)	
Security Subtotal					19,022.96	19,114.01	0.00	(91.05)	
FEDERAL NATL MTG ASSOC									
1000.0000	Sale	07/05/11	31398ADM1	06/04/12	1,212.86	1,142.86	0.00	70.00	
1000.0000	Sale	04/12/12	06/04/12	06/04/12	1,212.87	1,203.70	0.00	9.17	
Security Subtotal					2,425.73	2,346.56	0.00	79.17	
US TSY 1 000% OCT 31 2016									
4000.0000	Sale	11/17/11	912828RM4	06/04/12	4,072.48	4,023.00	0.00	49.48	
1000.0000	Sale	04/12/12	06/04/12	06/04/12	1,018.13	1,008.42	0.00	9.71	
Security Subtotal					5,090.61	5,031.42	0.00	59.19	





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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
US TSY 2.000% FEB 15 2022 2000.0000 Sale		CUSIP Number 02/27/12	912828SF8 06/04/12	2,096.16	2,014.72	0.00	81.44	
US TSY 3.125% FEB 15 2042 1000.0000 Sale 1000.0000 Sale		CUSIP Number 02/24/12 04/12/12	912810QU5 06/04/12 06/04/12	1,123.39 1,123.40	1,002.96 985.94	0.00 0.00	120.43 137.46	
Security Subtotal				2,246.79	1,988.90	0.00	257.89	
U.S. TRSY INFLATION BOND 2000.0000 Sale		CUSIP Number 07/05/11	912810FS2 06/04/12	3,040.13	2,512.68	0.00	527.45	
US TSY 4.250% MAY 15 2039 1000.0000 Sale		CUSIP Number 04/12/12	912810QB7 06/04/12	1,361.21	1,208.73	0.00	152.48	
US TSY 2.625% JUN 30 2014 1000.0000 Sale 1000.0000 Sale 1000.0000 Sale		CUSIP Number 07/05/11 04/12/12 04/12/12	912828KY5 06/04/12 06/04/12 07/13/12	1,049.10 1,049.10 1,046.21	1,037.32 1,046.95 1,044.40	0.00 0.00 0.00	11.78 2.15 1.81	
Security Subtotal				3,144.41	3,128.67	0.00	15.74	
US TSY 3.500% MAY 15 2020 1000.0000 Sale		CUSIP Number 04/12/12	912828ND8 06/04/12	1,181.29	1,137.39	0.00	43.90	
US TSY 2.625% AUG 15 2020 1000.0000 Sale 1000.0000 Sale		CUSIP Number 07/05/11 04/12/12	912828NT3 06/04/12 06/04/12	1,112.85 1,112.85	969.46 1,068.22	0.00 0.00	143.39 44.63	
Security Subtotal				2,225.70	2,037.68	0.00	188.02	
US TSY 2.000% APR 30 2016 1000.0000 Sale		CUSIP Number 04/12/12	912828QF0 06/04/12	1,057.73	1,050.01	0.00	7.72	
US TSY 1.500% AUG 31 2018 2000.0000 Sale		CUSIP Number 09/15/11	912828RE2 06/04/12	2,077.10	1,999.93	0.00	77.17	
ISHARES MSCI GERMANY 310.0000 Sale		CUSIP Number 07/07/11	464286806 04/11/12	6,793.24	8,395.67	0.00	(1,602.43)	

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(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	CUSIP Number	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
164,000	MSCI EAFE INDEX Sale	10/21/11	464287465	04/11/12	8,592.18	8,507.99	0.00	84.19	
149,000	MSCI EAFE INDEX Sale	10/21/11	464287465	08/24/12	7,766.46	7,729.82	0.00	36.64	
	Security Subtotal				16,358.64	16,237.81	0.00	120.83	
206,000	DOW JONES US Sale	08/05/11	464287796	04/11/12	8,164.17	8,073.37	0.00	90.80	
2228,000	HIGH YLD INST Sale	07/05/11	091929638	04/11/12	17,088.76	17,480.50	0.00	(391.74)	
359,000	EMRGN MKTS FD I Sale	08/05/11	09251J402	04/11/12	7,007.68	6,792.28	0.00	215.40	
311,000	EMRGN MKTS FD I Sale	08/12/11	09251J402	04/11/12	6,070.72	5,691.30	0.00	379.42	
	Security Subtotal				13,078.40	12,483.58	0.00	594.82	
1186,000	TTL RTN INSTL CL Sale	05/05/11	09252M883	04/11/12	13,461.10	13,295.06	0.00	166.04	
1981,000	TTL RTN INSTL CL Sale	07/05/11	09252M883	04/11/12	22,484.35	22,048.53	0.00	435.82	
1120,000	TTL RTN INSTL CL Sale	11/16/11	09252M883	04/11/12	12,712.00	12,510.40	0.00	201.60	
4524,000	TTL RTN INSTL CL Sale	11/16/11	09252M883	08/24/12	52,614.11	50,533.08	0.00	2,081.03	
	Security Subtotal				101,271.56	98,387.07	0.00	2,884.49	
	Noncovered Short Term Capital Gains and Losses Subtotal				238,635.43	235,467.84	0.00	3,167.59	
	NET SHORT TERM CAPITAL GAINS AND LOSSES				460,612.14	450,618.47	245.30	10,238.97	
	LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
	COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
14,000	LABS Sale	02/04/11	002824100	04/11/12	837.60	643.30	0.00	194.30	
4,000	LABS Sale	02/18/11	002824100	04/11/12	239.32	186.60	0.00	52.72	
13,000	LABS Sale	07/05/11	002824100	08/24/12	853.17	691.21	0.00	161.96	
	Security Subtotal				1,930.09	1,521.11	0.00	408.98	





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JANICE LIPTON TTEE

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	AMAZON COM INC COM							
2.0000	Sale	02/04/11	02/31/12	380.55	351.26	0.00	29.29	
3.0000	Sale	02/18/11	04/11/12	570.82	564.21	0.00	6.61	
	Security Subtotal			951.37	915.47	0.00	35.90	
	AMERISOURCEBERGEN CORP							
25.0000	Sale	07/06/11	08/24/12	950.48	1,078.20	0.00	(127.72)	
	ALPHA NATURAL RESOURCES							
23.0000	Sale	02/04/11	02/07/12	346.37	1,248.90	0.00	(902.53)	
7.0000	Sale	02/18/11	04/11/12	105.42	381.07	0.00	(275.65)	
	Security Subtotal			451.79	1,629.97	0.00	(1,178.18)	
	AMER EXPRESS COMPANY							
11.0000	Sale	02/04/11	02/28/12	626.76	477.18	0.00	149.58	
2.0000	Sale	02/18/11	04/11/12	113.96	91.06	0.00	22.90	
10.0000	Sale	07/05/11	08/24/12	573.49	524.70	0.00	48.79	
	Security Subtotal			1,314.21	1,092.94	0.00	221.27	
	ACTIVISION BLIZZARD INC							
37.0000	Sale	02/23/11	04/11/12	458.05	399.60	0.00	58.45	
32.0000	Sale	03/09/11	04/11/12	396.15	355.43	0.00	40.72	
3.0000	Sale	03/10/11	04/11/12	37.14	33.04	0.00	4.10	
38.0000	Sale	07/05/11	08/24/12	446.87	447.02	0.00	(0.15)	
	Security Subtotal			1,338.21	1,235.09	0.00	103.12	
	ANADARKO PETE CORP							
20.0000	Sale	02/04/11	03/25/12	1,491.17	1,562.40	0.00	(71.23)	
10.0000	Sale	07/05/11	08/24/12	691.28	788.50	0.00	(97.22)	
	Security Subtotal			2,182.45	2,350.90	0.00	(168.45)	
	BANK OF NOVA SCOTIA							
17.0000	Sale	02/04/11	06/41/12	926.48	1,003.85	0.00	(77.37)	
3.0000	Sale	02/18/11	04/11/12	163.50	185.79	0.00	(22.29)	
16.0000	Sale	07/05/11	08/24/12	849.58	965.92	0.00	(116.34)	
	Security Subtotal			1,939.56	2,155.56	0.00	(216.00)	

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BG GROUP PLC SPON ADR								
15.0000	Sale	02/04/11	055434203	331.04	343.47	0.00	(12.43)	
10.0000	Sale	07/05/11	08/24/12	204.39	234.80	0.00	(30.41)	
10.0000	Sale	08/18/11	08/24/12	204.39	206.23	0.00	(1.84)	
	Security Subtotal			739.82	784.50	0.00	(44.68)	
BHP BILLITON LTD ADR								
23.0000	Sale	02/04/11	088606108	1,598.69	2,152.57	0.00	(553.88)	
5.0000	Sale	02/18/11	08/24/12	343.79	464.10	0.00	(120.31)	
5.0000	Sale	03/05/11	08/24/12	343.79	487.39	0.00	(143.60)	(Y)
9.0000	Sale	07/05/11	08/24/12	618.83	856.62	0.00	(237.79)	
	Security Subtotal			2,905.10	3,960.68	0.00	(1,055.58)	
BOEING COMPANY								
16.0000	Sale	02/04/11	097023105	1,157.09	1,140.96	0.00	16.13	
3.0000	Sale	02/16/11	04/11/12	216.95	217.74	0.00	(0.79)	
5.0000	Sale	02/18/11	04/11/12	361.59	364.40	0.00	(2.81)	
13.0000	Sale	03/30/11	04/11/12	940.14	961.08	0.00	(20.94)	
32.0000	Sale	07/05/11	08/24/12	2,271.94	2,376.32	0.00	(104.38)	
	Security Subtotal			4,947.71	5,060.50	0.00	(112.79)	
BRISTOL-MYERS SQUIBB CO								
41.0000	Sale	02/04/11	110122108	1,334.92	1,050.01	0.00	284.91	
11.0000	Sale	02/18/11	04/11/12	358.16	279.95	0.00	78.21	
39.0000	Sale	07/05/11	08/24/12	1,274.10	1,135.68	0.00	138.42	
	Security Subtotal			2,967.18	2,465.64	0.00	501.54	
COMCAST CRP NEW CL A SPL								
23.0000	Sale	02/16/11	20030N200	655.25	549.68	0.00	105.57	
5.0000	Sale	02/18/11	04/11/12	142.45	121.20	0.00	21.25	
21.0000	Sale	07/05/11	08/24/12	694.66	523.74	0.00	170.92	
	Security Subtotal			1,492.36	1,194.62	0.00	297.74	
CENTURYLINK INC SHS								
2.0000	Sale	02/18/11	156700106	76.47	81.61	0.00	(5.14)	





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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CAMECO CORP								
	COM	CUSIP Number	13321L108					
11 0000	Sale	02/04/11	04/11/12	217.90	469.37	0.00	(251.47)	
7 0000	Sale	02/18/11	04/11/12	138.67	294.84	0.00	(156.17)	
16 0000	Sale	07/05/11	08/24/12	363.99	422.56	0.00	(58.57)	
	Security Subtotal			720.56	1,186.77	0.00	(466.21)	
CANADIAN NATL RAILWAY CO								
	COM	CUSIP Number	136375102					
12 0000	Sale	02/04/11	04/11/12	925.29	819.60	0.00	105.69	
3 0000	Sale	02/18/11	04/11/12	231.33	216.27	0.00	15.06	
11 0000	Sale	07/05/11	08/24/12	1,009.12	884.95	0.00	124.17	
	Security Subtotal			2,165.74	1,920.82	0.00	244.92	
CONSOL ENERGY INC								
	COM	CUSIP Number	20854P109					
12 0000	Sale	02/04/11	04/11/12	407.87	587.40	0.00	(179.53)	
2 0000	Sale	02/18/11	04/11/12	67.98	93.00	0.00	(25.02)	
11 0000	Sale	07/05/11	08/24/12	362.00	532.29	0.00	(170.29)	
	Security Subtotal			837.85	1,212.69	0.00	(374.84)	
CHECK POINT SOFTWARE TECH								
	COM	CUSIP Number	M22465104					
26 0000	Sale	02/04/11	04/11/12	1,637.70	1,176.24	0.00	461.46	
CHEVRON CORP								
	COM	CUSIP Number	166764100					
6 0000	Sale	02/04/11	08/24/12	670.90	582.90	0.00	88.00	
CATERPILLAR INC								
	COM	CUSIP Number	149123101					
18 0000	Sale	02/04/11	04/11/12	1,828.04	2,190.85	0.00 (Y)	(362.81)	
4 0000	Sale	02/18/11	04/11/12	406.23	419.36	0.00	(13.13)	
1 0000	Sale	02/18/11	04/11/12	101.56	127.65	0.00 (Y)	(26.09)	
17 0000	Sale	07/05/11	08/24/12	1,482.20	1,841.61	0.00	(359.41)	
	Security Subtotal			3,818.03	4,579.47	0.00	(761.44)	
CERNER CORP								
	COM	CUSIP Number	156782104					
24 0000	Sale	02/04/11	04/11/12	1,772.12	1,170.48	0.00	601.64	
14 0000	Sale	07/05/11	08/24/12	995.74	873.32	0.00	122.42	
	Security Subtotal			2,767.86	2,043.80	0.00	724.06	

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CHUBB CORP								
14.0000	Sale	02/04/11	171232101	970.87	821.52	0.00	149.35	
4.0000	Sale	02/18/11	04/11/12	277.40	243.20	0.00	34.20	
14.0000	Sale	07/05/11	08/24/12	1,032.20	873.74	0.00	158.46	
Security Subtotal				2,280.47	1,938.46	0.00	342.01	
COCA COLA COM								
42.0000	Sale	02/04/11	191216100	1,612.34	1,311.87	0.00	300.47	
DANAHER CORP DEL COM								
3.0000	Sale	02/04/11	235851102	161.09	143.04	0.00	18.05	
14.0000	Sale	02/18/11	04/11/12	751.78	722.54	0.00	29.24	
Security Subtotal				912.87	865.58	0.00	47.29	
DAIMLER A								
6.0000	Sale	03/03/11	D1668R123	323.69	415.32	0.00	(91.63)	
1.0000	Sale	05/31/11	08/24/12	51.16	70.97	0.00	(19.81)	
2.0000	Sale	07/05/11	08/24/12	102.34	153.14	0.00	(50.80)	
4.0000	Sale	08/18/11	08/24/12	204.68	205.60	0.00	(0.92)	
Security Subtotal				681.87	845.03	0.00	(163.16)	
DOMINION RES INC NEW VA								
24.0000	Sale	02/04/11	25746U109	1,286.60	1,041.12	0.00	245.48	
6.0000	Sale	02/18/11	08/08/12	321.65	266.16	0.00	55.49	
23.0000	Sale	07/05/11	08/24/12	1,228.40	1,125.16	0.00	103.24	
Security Subtotal				2,836.65	2,432.44	0.00	404.21	
DEERE CO								
16.0000	Sale	02/04/11	244199105	1,243.81	1,490.88	0.00	(247.07)	
4.0000	Sale	02/18/11	04/11/12	310.96	378.60	0.00	(67.64)	
15.0000	Sale	07/05/11	08/24/12	1,150.17	1,277.85	0.00	(127.68)	
Security Subtotal				2,704.94	3,147.33	0.00	(442.39)	
DOW CHEMICAL CO								
14.0000	Sale	06/06/11	260543103	417.39	490.98	0.00	(73.59)	



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2012 TAX REPORTING STATEMENT

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DU PONT E I DE NEMOURS								
30.0000	Sale	02/04/11	04/11/12	1,541.38	1,561.80	0.00	(20.44)	
8.0000	Sale	02/18/11	04/11/12	411.04	448.40	0.00	(37.36)	
29.0000	Sale	07/05/11	08/24/12	1,456.06	1,588.33	0.00	(132.27)	
	Security Subtotal			3,408.46	3,598.53	0.00	(190.07)	
ENBRIDGE INC COM								
26.0000	Sale	02/04/11	04/11/12	1,003.83	757.90	0.00	245.93	
4.0000	Sale	02/18/11	04/11/12	154.44	116.56	0.00	37.88	
24.0000	Sale	07/05/11	08/24/12	959.50	795.60	0.00	163.90	
	Security Subtotal			2,117.77	1,670.06	0.00	447.71	
EXXON MOBIL CORP COM								
25.0000	Sale	02/04/11	04/11/12	2,059.70	2,081.50	0.00	(21.80)	
7.0000	Sale	02/18/11	04/11/12	576.71	589.33	0.00	(12.62)	
9.0000	Sale	08/04/11	08/24/12	790.45	691.47	0.00	98.98	
15.0000	Sale	08/17/11	08/24/12	1,317.42	1,108.80	0.00	208.62	
	Security Subtotal			4,744.28	4,471.10	0.00	273.18	
EQT CORP								
11.0000	Sale	02/04/11	04/11/12	511.71	531.85	0.00	(20.14)	
2.0000	Sale	02/18/11	04/11/12	93.04	95.14	0.00	(2.10)	
11.0000	Sale	07/05/11	08/24/12	593.11	598.31	0.00	(5.20)	
	Security Subtotal			1,197.86	1,223.30	0.00	(25.44)	
FIRSTENERGY CORP								
13.0000	Sale	02/04/11	04/11/12	585.11	513.50	0.00	71.61	
3.0000	Sale	02/18/11	04/11/12	135.03	114.00	0.00	21.03	
12.0000	Sale	07/05/11	08/24/12	536.39	528.84	0.00	7.55	
	Security Subtotal			1,256.53	1,156.34	0.00	100.19	
GENL DYNAMICS CORP COM								
10.0000	Sale	07/05/11	08/24/12	659.29	748.70	0.00	(89.41)	
GENERAL ELECTRIC								
11.0000	Sale	02/04/11	04/11/12	209.87	224.95	0.00	(15.08)	
18.0000	Sale	02/18/11	04/11/12	343.43	385.36	0.00	(41.93)	
	Security Subtotal			553.30	610.31	0.00	(57.01)	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENERAL MILLS								
4.0000	Sale	02/04/11	04/11/12	154.19	140.64	0.00	13.55	
4.0000	Sale	02/18/11	04/11/12	154.20	144.04	0.00	10.16	
Security Subtotal				308.39	284.68	0.00	23.71	
HONEYWELL INTL INC DEL								
11.0000	Sale	04/06/11	04/11/12	626.66	648.03	0.00	(21.37)	
9.0000	Sale	07/05/11	08/24/12	523.88	538.47	0.00	(14.59)	
Security Subtotal				1,150.54	1,186.50	0.00	(35.96)	
HOME DEPOT INC								
29.0000	Sale	02/04/11	04/11/12	1,440.39	1,062.27	0.00	378.12	
4.0000	Sale	03/09/11	04/11/12	198.67	150.55	0.00	48.12	
25.0000	Sale	03/30/11	04/11/12	1,241.73	938.62	0.00	303.11	
37.0000	Sale	07/05/11	08/24/12	2,105.99	1,356.79	0.00	749.20	
Security Subtotal				4,986.78	3,508.23	0.00	1,478.55	
INTEL CORP								
30.0000	Sale	02/04/11	04/11/12	837.58	645.00	0.00	192.58	
7.0000	Sale	02/18/11	04/11/12	195.44	155.12	0.00	40.32	
28.0000	Sale	07/05/11	08/24/12	696.06	628.88	0.00	67.18	
Security Subtotal				1,729.08	1,429.00	0.00	300.08	
INTL BUSINESS MACHINES								
7.0000	Sale	02/04/11	04/11/12	1,424.04	1,144.08	0.00	279.96	
3.0000	Sale	02/18/11	04/11/12	610.31	493.41	0.00	116.90	
7.0000	Sale	07/05/11	08/24/12	1,381.14	1,224.44	0.00	156.70	
Security Subtotal				3,415.49	2,861.93	0.00	553.56	
ING GP NV SPSP ADR								
11.0000	Sale	07/05/11	08/24/12	78.65	136.84	0.00	(58.19)	
27.0000	Sale	07/26/11	08/24/12	193.04	308.64	0.00	(115.60)	
Security Subtotal				271.69	445.48	0.00	(173.79)	
JEFFERIES GROUP INC NEW								
28.0000	Sale	02/04/11	04/11/12	485.51	701.96	0.00	(216.45)	
8.0000	Sale	02/18/11	04/11/12	138.71	203.00	0.00	(64.29)	
Security Subtotal				624.22	904.96	0.00	(280.74)	





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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JOHNSON CONTROLS INC								
12.0000	Sale	06/29/11	08/24/12	322.67	495.47	0.00	(172.80)	
KIMBERLY CLARK								
9.0000	Sale	02/04/11	04/11/12	663.10	582.21	0.00	80.89	
8.0000	Sale	07/05/11	08/24/12	668.95	536.16	0.00	132.79	
Security Subtotal				1,332.05	1,118.37	0.00	213.68	
LAS VEGAS SANDS CORP								
27.0000	Sale	02/04/11	04/11/12	1,615.91	1,276.56	0.00	339.35	
13.0000	Sale	07/05/11	08/24/12	553.26	568.49	0.00	(15.23)	
Security Subtotal				2,169.17	1,845.05	0.00	324.12	
LIBERTY GLOBAL INC SER A								
6.0000	Sale	02/04/11	04/11/12	287.57	245.64	0.00	41.93	
5.0000	Sale	07/05/11	08/24/12	274.74	234.80	0.00	39.94	
Security Subtotal				562.31	480.44	0.00	81.87	
LIMITED BRANDS INC								
11.0000	Sale	08/23/11	08/24/12	537.23	387.85	0.00	149.38	
MEADWESTVACO CORP								
23.0000	Sale	02/04/11	04/11/12	699.87	656.19	0.00	43.68	
6.0000	Sale	02/18/11	04/11/12	182.58	182.10	0.00	0.48	
21.0000	Sale	07/05/11	08/24/12	602.90	622.10	0.00	(19.20)	
Security Subtotal				1,485.35	1,460.39	0.00	24.96	
MERCADOLIBRE INC								
4.0000	Sale	02/04/11	04/11/12	381.99	274.92	0.00	107.07	
3.0000	Sale	07/05/11	08/24/12	241.67	244.38	0.00	(2.71)	
Security Subtotal				623.66	519.30	0.00	104.36	
MERCK AND CO INC SHS								
24.0000	Sale	02/04/11	08/24/12	1,036.05	789.84	0.00	246.21	
5.0000	Sale	02/18/11	08/24/12	215.84	165.35	0.00	50.49	
1.0000	Sale	07/05/11	08/24/12	43.18	35.56	0.00	7.62	
Security Subtotal				1,295.07	990.75	0.00	304.32	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MAKITA CORP SPOND ADR								
2,000	Sale	02/04/11	560877300 04/11/12	76.87	91.90	0.00	(15.03)	
4,000	Sale	02/13/11	04/11/12	153.76	178.94	0.00 (Y)	(25.18)	
1 0000	Sale	02/18/11	04/11/12	38.44	43.56	0.00	(5.12)	
Security Subtotal				269.07	314.40	0.00	(45.33)	
MANPOWERGROUP								
15 0000	Sale	02/04/11	56418H100 04/11/12	654.88	1,019.70	0.00	(364.82)	
5,000	Sale	02/18/11	04/11/12	218.30	336.25	0.00	(117.95)	
17,000	Sale	07/05/11	08/24/12	628.14	940.27	0.00	(312.13)	
Security Subtotal				1,501.32	2,296.22	0.00	(794.90)	
MCDONALDS CORP COM								
13,000	Sale	02/04/11	580135101 08/24/12	1,156.06	961.74	0.00	194.32	
MICROSOFT CORP								
17,000	Sale	02/04/11	594918104 04/11/12	515.59	471.24	0.00	44.35	
5,000	Sale	02/12/11	04/11/12	151.64	137.14	0.00 (Y)	14.50	
Security Subtotal				667.23	608.38	0.00	58.85	
NISSAN MTR LTD SPN ADR								
10 0000	Sale	02/18/11	654744408 04/11/12	205.69	212.30	0.00	(6.61)	
4 0000	Sale	07/05/11	08/24/12	77.95	84.68	0.00	(6.73)	
Security Subtotal				283.64	296.98	0.00	(13.34)	
NTT DOCOMO INC SPD ADR								
28,000	Sale	09/26/11	62942M201 11/12/12	401.02	533.90	0.00	(132.88)	
5,000	Sale	09/29/11	11/12/12	71.61	92.22	0.00	(20.61)	
3,000	Sale	09/30/11	11/12/12	42.96	55.22	0.00	(12.26)	
9,000	Sale	10/20/11	11/12/12	128.91	161.01	0.00	(32.10)	
Security Subtotal				644.50	842.35	0.00	(197.85)	
NEXTERA ENERGY INC SHS								
17,000	Sale	02/04/11	65339F101 04/11/12	1,058.39	927.18	0.00	131.21	
4 0000	Sale	02/18/11	04/11/12	249.04	217.68	0.00	31.36	
16 0000	Sale	07/05/11	08/24/12	1,081.74	922.56	0.00	159.18	
Security Subtotal				2,389.17	2,067.42	0.00	321.75	



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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NITTO DENKO CORP ADR								
3.0000	Sale	02/04/11	04/11/12	123.20	168.72	0.00	(45.52)	
4.0000	Sale	02/04/11	04/11/12	164.28	220.72	0.00 (Y)	(56.44)	
5.0000	Sale	02/04/11	04/11/12	205.34	288.56	0.00 (Y)	(83.22)	
1.0000	Sale	02/18/11	04/11/12	41.08	62.14	0.00	(21.06)	
Security Subtotal				533.90	740.14	0.00	(206.24)	
NORTHEAST UTILITIES COM								
17.0000	Sale	02/04/11	04/11/12	606.20	570.69	0.00	35.51	
3.0000	Sale	02/18/11	04/11/12	106.98	100.32	0.00	6.66	
16.0000	Sale	07/05/11	08/24/12	605.27	571.52	0.00	33.75	
Security Subtotal				1,318.45	1,242.53	0.00	75.92	
OGX PETROLEO E-SPON ADR								
28.0000	Sale	02/04/11	04/11/12	207.75	283.92	0.00	(76.17)	
17.0000	Sale	02/04/11	04/11/12	126.14	172.87	0.00 (Y)	(46.73)	
4.0000	Sale	02/18/11	04/11/12	29.68	45.00	0.00	(15.32)	
Security Subtotal				363.57	501.79	0.00	(138.22)	
OCCIDENTAL PETE CORP CAL								
4.0000	Sale	02/04/11	04/11/12	360.51	389.08	0.00	(28.57)	
4.0000	Sale	02/18/11	04/11/12	360.51	427.92	0.00	(67.41)	
Security Subtotal				721.02	817.00	0.00	(95.98)	
PRUDENTIAL FINANCIAL INC								
8.0000	Sale	02/04/11	04/11/12	483.19	502.08	0.00	(18.89)	
2.0000	Sale	02/18/11	04/11/12	120.80	133.80	0.00	(13.00)	
8.0000	Sale	07/05/11	08/24/12	435.83	511.36	0.00	(75.53)	
Security Subtotal				1,039.82	1,147.24	0.00	(107.42)	
PEABODY ENERGY CORP COM								
12.0000	Sale	02/04/11	04/11/12	336.59	764.76	0.00	(428.17)	
2.0000	Sale	02/18/11	04/11/12	56.10	131.22	0.00	(75.12)	
11.0000	Sale	07/05/11	08/24/12	255.41	669.46	0.00	(414.05)	
Security Subtotal				648.10	1,565.44	0.00	(917.34)	
PHILIP MORRIS INTL INC								
8.0000	Sale	02/04/11	08/24/12	715.21	465.68	0.00	249.53	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PRAXAIR INC								
9,000	Sale	02/04/11	04/11/12	1,001.23	851.31	0.00	149.92	
8,000	Sale	07/05/11	08/24/12	855.02	871.20	0.00	(16.18)	
	Security Subtotal			1,856.25	1,722.51	0.00	133.74	
PROCTER & GAMBLE CO								
12,000	Sale	02/18/11	04/11/12	798.46	771.96	0.00	26.50	
2,000	Sale	07/05/11	08/24/12	133.98	128.76	0.00	5.22	
	Security Subtotal			932.44	900.72	0.00	31.72	
PUB SVC ENTERPRISE GRP								
17,000	Sale	02/04/11	04/11/12	498.60	550.46	0.00	(51.86)	
4,000	Sale	02/18/11	04/11/12	117.32	127.76	0.00	(10.44)	
15,000	Sale	07/05/11	08/24/12	480.74	491.40	0.00	(10.66)	
	Security Subtotal			1,096.66	1,169.62	0.00	(72.96)	
QUALCOMM INC								
22,000	Sale	02/04/11	04/11/12	1,465.38	1,206.26	0.00	259.12	
10,000	Sale	02/10/11	04/11/12	666.08	570.84	0.00	95.24	
	Security Subtotal			2,131.46	1,777.10	0.00	354.36	
RIO TINTO PLC SPNSRD ADR								
14,000	Sale	02/04/11	04/11/12	743.10	1,018.36	0.00	(275.26)	
2,000	Sale	02/04/11	04/11/12	106.15	149.92	0.00	(43.77)	
3,000	Sale	02/16/11	04/11/12	159.23	228.13	0.00	(68.90)	
7,000	Sale	02/18/11	04/11/12	371.56	503.79	0.00	(132.23)	
1,000	Sale	04/06/11	04/11/12	53.09	75.03	0.00	(21.94)	
21,000	Sale	07/05/11	08/24/12	966.39	1,518.72	0.00	(552.33)	
2,000	Sale	07/05/11	08/24/12	92.04	144.70	0.00	(52.66)	
	Security Subtotal			2,491.56	3,638.65	0.00	(1,147.09)	
RAYTHEON CO DELAWARE NEW								
6,000	Sale	02/04/11	04/11/12	309.05	301.86	0.00	7.19	
6,000	Sale	02/18/11	04/11/12	309.05	313.92	0.00	(4.87)	
	Security Subtotal			618.10	615.78	0.00	2.32	



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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
RED HAT INC								
17.0000	Sale	02/04/11	04/11/12	1,012.66	733.89	0.00	278.77	
2.0000	Sale	02/10/11	04/11/12	119.14	89.63	0.00	29.51	
16.0000	Sale	07/05/11	08/24/12	921.90	738.40	0.00	183.50	
Security Subtotal				2,053.70	1,561.92	0.00	491.78	
SEMPRA ENERGY								
8.0000	Sale	02/04/11	04/11/12	498.79	416.64	0.00	82.15	
2.0000	Sale	02/18/11	04/11/12	124.70	106.30	0.00	18.40	
8.0000	Sale	07/05/11	08/24/12	536.39	426.56	0.00	109.83	
Security Subtotal				1,159.88	949.50	0.00	210.38	
SCHLUMBERGER LTD								
9.0000	Sale	05/26/11	08/24/12	669.05	767.62	0.00	(98.57)	
SANOFI ADR								
19.0000	Sale	02/04/11	10/16/12	857.14	648.85	0.00	208.29	
11.0000	Sale	03/21/11	10/16/12	496.24	373.59	0.00	122.65	
2.0000	Sale	03/21/11	10/16/12	90.22	67.92	0.00	22.30	
3.0000	Sale	07/05/11	10/16/12	135.33	120.54	0.00	14.79	
10.0000	Sale	07/05/11	10/16/12	451.13	401.80	0.00	49.33	
4.0000	Sale	07/27/11	10/16/12	180.46	167.37	0.00 (Y)	13.09	
13.0000	Sale	08/09/11	10/16/12	586.47	429.07	0.00	157.40	
3.0000	Sale	08/09/11	10/16/12	135.33	99.02	0.00	36.31	
Security Subtotal				2,932.32	2,308.16	0.00	624.16	
SALESFORCE COM INC								
12.0000	Sale	02/04/11	04/11/12	1,881.44	1,649.40	0.00	232.04	
2.0000	Sale	02/18/11	08/24/12	296.63	285.42	0.00	11.21	
7.0000	Sale	07/05/11	08/24/12	1,038.22	1,056.09	0.00	(17.87)	
Security Subtotal				3,216.29	2,990.91	0.00	225.38	
SWATCH GROUP AG UNSPOND								
19.0000	Sale	02/04/11	04/11/12	427.67	382.85	0.00	44.82	
1.0000	Sale	08/19/11	08/24/12	20.88	21.09	0.00	(0.21)	
Security Subtotal				448.55	403.94	0.00	44.61	
SCHNEIDER ELEC SA ADR								
15.0000	Sale	03/17/11	04/11/12	178.49	229.48	0.00	(50.99)	

JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SOUTHERN COMPANY								
		CUSIP Number	842587107					
22.0000	Sale	02/04/11	04/11/12	975.23	819.06	0.00	156.17	
4.0000	Sale	02/18/11	04/11/12	177.32	151.28	0.00	26.04	
20.0000	Sale	07/05/11	08/24/12	916.78	812.20	0.00	104.58	
	Security Subtotal			2,069.33	1,782.54	0.00	286.79	
TOTAL S.A SP ADR								
17.0000	Sale	CUSIP Number	89151E109					
3.0000	Sale	02/04/11	05/17/12	746.12	1,002.49	256.37 (W)	0.00	
5.0000	Sale	02/18/11	05/17/12	131.67	179.04	47.37 (W)	0.00	
6.0000	Sale	02/23/11	08/24/12	219.45	298.40	0.00	(78.95)	
	Security Subtotal			1,392.07	1,828.17	303.74	(132.36)	
TAIWAN S MANUFACTURING ADR								
10.0000	Sale	CUSIP Number	874039100					
12.0000	Sale	02/04/11	04/13/12	149.77	134.70	0.00	15.07	
8.0000	Sale	02/18/11	04/13/12	179.73	161.65	0.00 (Y)	18.08	
	Security Subtotal			449.32	400.51	0.00	48.81	
3M COMPANY								
9.0000	Sale	CUSIP Number	88579Y101					
2.0000	Sale	02/04/11	04/11/12	767.23	790.83	0.00	(23.60)	
8.0000	Sale	02/18/11	04/11/12	170.50	185.46	0.00	(14.96)	
	Security Subtotal			1,678.59	1,750.37	0.00	(71.78)	
TRAVELERS COS INC								
3.0000	Sale	CUSIP Number	89417E109					
		02/18/11	04/11/12	174.41	181.92	0.00	(7.51)	
TULLOW OIL PLC SHS								
33.0000	Sale	CUSIP Number	899415202					
14.0000	Sale	02/04/11	04/11/12	376.52	375.87	0.00	0.65	
6.0000	Sale	02/18/11	04/11/12	159.74	157.23	0.00 (Y)	2.51	
7.0000	Sale	02/18/11	08/24/12	68.46	67.20	0.00	1.26	
27.0000	Sale	07/05/11	08/24/12	74.82	78.40	0.00	(3.58)	
	Security Subtotal			968.17	959.77	0.00	8.40	





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(OMB NO. 1545-071)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	TEREX CORP DEL NEW COM	CUSIP Number 880779103						
24 0000	Sale	03/25/11	04/11/12	528.23	898.14	0.00	(369.91)	
21 0000	Sale	07/05/11	08/24/12	429.86	619.71	0.00	(189.85)	
	Security Subtotal			958.09	1,517.85	0.00	(559.76)	
	TORONTO DOMINION BANK	CUSIP Number 891160509						
6 0000	Sale	07/27/11	08/24/12	489.23	489.04	0.00	0.19	
	UNILEVER NV NY REG SHS	CUSIP Number 904784709						
6 0000	Sale	02/04/11	08/24/12	208.01	177.84	0.00	30.17	
	US BANCORP (NEW)	CUSIP Number 902973304						
32 0000	Sale	02/04/11	04/11/12	985.89	873.92	0.00	111.97	
9 0000	Sale	02/18/11	04/11/12	277.29	254.79	0.00	22.50	
8 0000	Sale	07/05/11	08/24/12	263.67	204.16	0.00	59.51	
	Security Subtotal			1,526.85	1,332.87	0.00	193.98	
	UBS AG REG	CUSIP Number H89231338						
7 0000	Sale	02/04/11	04/11/12	88.89	129.66	0.00 (Y)	(40.77)	
3 0000	Sale	02/04/11	04/11/12	38.09	48.50	0.00 (Y)	(10.41)	
8 0000	Sale	02/18/11	04/11/12	101.60	150.98	0.00 (Y)	(49.38)	
2 0000	Sale	02/18/11	04/11/12	25.40	38.60	0.00 (Y)	(13.20)	
	Security Subtotal			253.98	367.74	0.00	(113.76)	
	UNITED TECHS CORP COM	CUSIP Number 913017109						
5 0000	Sale	02/04/11	08/24/12	400.04	410.40	0.00	(10.36)	
	V F CORPORATION	CUSIP Number 918204108						
9 0000	Sale	02/04/11	04/11/12	1,309.01	768.87	0.00	540.14	
2 0000	Sale	02/18/11	04/11/12	290.90	177.68	0.00	113.22	
8 0000	Sale	07/05/11	08/24/12	1,201.09	885.76	0.00	315.33	
	Security Subtotal			2,801.00	1,832.31	0.00	968.69	
	VERIZON COMMUNICATIONS COM	CUSIP Number 92343V104						
43 0000	Sale	02/04/11	08/24/12	1,853.69	1,554.02	0.00	299.67	
3 0000	Sale	02/04/11	10/16/12	132.48	108.42	0.00	24.06	
2 0000	Sale	02/18/11	10/16/12	88.32	73.20	0.00	15.12	

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VERIZON COMMUNICATIONS COM								
38,000	Sale	04/13/11	10/16/12	1,678.19	1,434.50	0.00	243.69	
2,000	Sale	07/05/11	10/16/12	88.32	75.64	0.00	12.68	
	Security Subtotal			3,841.00	3,245.78	0.00	595.22	
VERIFONE SYSTEMS INC								
16,000	Sale	03/30/11	04/11/12	845.90	914.22	0.00	(68.32)	
VODAFONE GROU PLC SP ADR								
22,000	Sale	02/04/11	08/24/12	643.71	636.24	0.00	7.47	
VMWARE, INC								
11,000	Sale	02/04/11	04/11/12	1,182.47	965.25	0.00	217.22	
2,000	Sale	02/11/11	08/24/12	185.33	193.70	0.00	(8.37)	
4,000	Sale	02/25/11	08/24/12	370.67	394.43	0.00	(23.76)	
1,000	Sale	04/13/11	08/24/12	92.66	87.31	0.00	5.35	
2,000	Sale	07/05/11	08/24/12	185.35	199.90	0.00	(14.55)	
	Security Subtotal			2,016.48	1,840.59	0.00	175.89	
WEYERHAEUSER CO								
35,000	Sale	02/04/11	04/11/12	718.18	855.05	0.00	(136.87)	
10,000	Sale	02/18/11	04/11/12	205.20	250.60	0.00	(45.40)	
34,000	Sale	07/05/11	08/24/12	826.52	753.78	0.00	72.74	
	Security Subtotal			1,749.90	1,859.43	0.00	(109.53)	
WHOLE FOODS MKT INC COM								
16,000	Sale	02/04/11	04/11/12	1,344.13	838.40	0.00	505.73	
7,000	Sale	07/05/11	08/24/12	677.85	443.66	0.00	234.19	
	Security Subtotal			2,021.98	1,282.06	0.00	739.92	
WELLS FARGO & CO NEW DEL								
43,000	Sale	02/04/11	04/11/12	1,450.35	1,402.23	0.00	48.12	
15,000	Sale	02/18/11	04/11/12	505.93	490.35	0.00	15.58	
	Security Subtotal			1,956.28	1,892.58	0.00	63.70	
Covered Long Term Capital Gains and Losses Subtotal				141,483.31	138,263.36	303.74	3,523.69	





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(OMB NO. 1545-071)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

AT&T INC 5.50% FEB01 18 CUSIP Number 00206RAJ1

1000.0000	Sale	02/04/11	06/04/12	1,180.56	1,074.38	0.00	106.18	
1000.0000	Sale	02/18/11	06/04/12	1,180.57	1,078.76	0.00	101.81	

Security Subtotal

207.99

BP CAP MARKETS PL 3.87% 15 CUSIP Number 05565QBH0

1000.0000	Sale	02/18/11	06/04/12	1,069.49	1,031.27	0.00	38.22	
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GENERAL ELEC CO 5.00% 2013

1000.0000	Sale	02/18/11	06/04/12	1,027.11	1,023.97	0.00	3.14	
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CITIGROUP FUNDING 2.25% 12

1000.0000	Sale	02/18/11	06/04/12	1,010.38	1,007.39	0.00	2.99	
2000.0000	Sale	07/05/11	09/07/12	2,010.17	2,009.13	0.00	1.04	

Security Subtotal

4.03

CISCO SYSTEMS INC 4.45% 20

2000.0000	Sale	02/04/11	06/04/12	2,303.70	2,037.82	0.00	265.88	
1000.0000	Sale	02/18/11	06/04/12	1,151.85	1,020.29	0.00	131.56	

Security Subtotal

397.44

GOLDMAN SACHS GRO 5.35% 16

1000.0000	Sale	02/04/11	06/04/12	1,037.25	1,055.69	0.00	(18.44)	
1000.0000	Sale	02/18/11	06/04/12	1,037.24	1,056.20	0.00	(18.96)	

Security Subtotal

(37.40)

JPMORGAN CHASE & 5.12% 14

1000.0000	Sale	02/04/11	06/05/12	1,048.37	1,045.85	0.00	2.52	
1000.0000	Sale	02/18/11	06/05/12	1,048.36	1,049.67	0.00	(1.31)	

Security Subtotal

1.21

PEPSICO INC 5.00% JUN01 18

1000.0000	Sale	02/04/11	06/04/12	1,165.31	1,070.31	0.00	95.00	
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VERIZON COMMUNICATIONS

2000.0000	Sale	02/04/11	06/04/12	2,504.10	2,234.23	0.00	269.87	
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JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WAL-MART STORES 2.87% 2015								
2000.0000	Sale	02/04/11	06/04/12	2,121.04	2,023.69	0.00	97.35	
1000.0000	Sale	02/18/11	06/04/12	1,060.52	1,015.40	0.00	45.12	
	Security Subtotal			3,181.56	3,039.09	0.00	142.47	
FED NAT'L MORTGAGE ASSOC								
2000.0000	Sale	02/04/11	06/04/12	2,022.95	2,019.97	0.00	2.98	
1000.0000	Sale	02/18/11	06/04/12	1,011.47	1,010.20	0.00	1.27	
2000.0000	Sale	07/05/11	09/17/12	2,000.00	2,000.00	0.00	0.00	
2000.0000	Sale	07/05/11	09/17/12	2,000.00	2,000.00	0.00	0.00	
	Security Subtotal			7,034.42	7,030.17	0.00	4.25	
FEDERAL NATL MTG ASSOC								
1000.0000	Sale	02/04/11	06/04/12	1,212.86	1,101.94	0.00	110.92	
1000.0000	Sale	02/18/11	06/04/12	1,212.85	1,107.66	0.00	105.19	
	Security Subtotal			2,425.71	2,209.60	0.00	216.11	
FEDERAL HOME LN MTG CORP								
2000.0000	Sale	02/04/11	06/04/12	2,130.86	2,099.62	0.00	31.24	
1000.0000	Sale	02/18/11	06/04/12	1,065.43	1,050.53	0.00	14.90	
	Security Subtotal			3,196.29	3,150.15	0.00	46.14	
U S TREASURY BOND								
2000.0000	Sale	02/04/11	06/04/12	2,903.04	2,185.25	0.00	717.79	
U.S. TRSY INFLATION BOND								
1000.0000	Sale	02/18/11	06/04/12	1,520.06	1,186.62	0.00	333.44	
US TSY 4.250% NOV 15 2017								
1000.0000	Sale	02/04/11	06/04/12	1,187.61	1,067.27	0.00	120.34	
1000.0000	Sale	02/18/11	06/04/12	1,187.62	1,068.67	0.00	118.95	
	Security Subtotal			2,375.23	2,135.94	0.00	239.29	
US TSY 4.250% MAY 15 2039								
2000.0000	Sale	02/04/11	06/04/12	2,722.41	1,855.63	0.00	866.78	
1000.0000	Sale	02/18/11	06/04/12	1,361.21	928.87	0.00	432.34	
2000.0000	Sale	07/05/11	09/19/12	2,530.62	1,961.57	0.00	569.05	
	Security Subtotal			6,614.24	4,746.07	0.00	1,868.17	





Account No.
760-13472

Taxpayer No.
XX-XXX8730

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JANICE LIPTON TTEE

2012 TAX REPORTING STATEMENT

1099-B

2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-071)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5: Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
US TSY 2.625% JUN 30 2014 1000 0000 Sale		CUSIP Number 02/18/11	912828KY5 06/04/12	1,049.09	1,022.85	0.00	26.24	
US TSY 3.500% MAY 15 2020 1000 0000 Sale 1000 0000 Sale		CUSIP Number 02/04/11 02/18/11	912828ND8 06/04/12 06/04/12	1,181.28 1,181.29	998.79 1,001.36	0.00 0.00	182.49 179.93	
	Security Subtotal			2,362.57	2,000.15	0.00	362.42	
US TSY 2.625% AUG 15 2020 1000 0000 Sale		CUSIP Number 02/04/11	912828NT3 06/04/12	1,112.84	922.66	0.00	190.18	
US TSY 1.250% SEP 30 2015 2000 0000 Sale		CUSIP Number 02/04/11	912828NZ9 06/04/12	2,055.38	1,922.35	0.00	133.03	
US TSY 2.000% APR 30 2016 1000 0000 Sale		CUSIP Number 05/27/11	912828QF0 06/04/12	1,057.73	1,012.07	0.00	45.66	
BLACKROCK HIGH YLD INST 703 0000 Sale 1325 0000 Sale 2580 Sale		CUSIP Number 07/05/11 08/12/11 08/12/11	091929638 08/24/12 08/24/12 09/21/12	5,525.57 10,414.51 2.07	5,515.62 9,798.99 1.97	0.00 0.00 0.00	9.95 615.52 0.10	
	Security Subtotal			15,942.15	15,316.58	0.00	625.57	
BLACKROCK TTL RTN INSTL CL 80 0000 Sale 644 0000 Sale 416 0000 Sale		CUSIP Number 02/04/11 02/16/11 02/18/11	09252M883 04/11/12 04/11/12 04/11/12	908.00 7,309.40 4,721.60	881.60 7,116.20 4,596.80	0.00 0.00 0.00	26.40 193.20 124.80	
	Security Subtotal			12,939.00	12,594.60	0.00	344.40	
Noncovered Long Term Capital Gains and Losses Subtotal				84,543.77	78,269.11	0.00	6,274.66	
NET LONG TERM CAPITAL GAINS AND LOSSES				226,027.08	216,532.47	303.74	9,798.35	

1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632
Taxpayer ID Number 65-0098730
Account Number 442 133264 196
Customer Service: 866-324-6088

ALAN LIPTON & JANICE LIPTON
TTEES FBO THE LIPTON FNDTN
U/A/D 12/19/88 THORNBURG
655 OCEAN BLVD
GOLDEN BEACH FL 33160-2217

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ASML HOLDING N V		CUSIP: N07059186	Symbol (Box 1d):						
	27 000	10/12/12	12/03/12	\$320.27	\$273.90	\$0.00	\$46.37	\$0.00	GL
	14 000	10/19/12	12/03/12	\$166.07	\$138.40	\$0.00	\$27.67	\$0.00	GL
Security Subtotal	41 000			\$486.34	\$412.30	\$0.00	\$74.04	\$0.00	
CANADIAN NATURAL RESOURCES LTD		CUSIP: 136385101	Symbol (Box 1d): CNQ						
	20 000	04/11/12	07/27/12	\$552.84	\$632.57	\$0.00	(\$79.73)	\$0.00	
HSBC HOLDINGS PLC SPON ADR NEW		CUSIP: 404280406	Symbol (Box 1d): HBC						
	17 000	02/28/12	07/20/12	\$708.50	\$759.61	\$0.00	(\$51.11)	\$0.00	
	1 000	02/29/12	07/20/12	\$41.68	\$44.62	\$0.00	(\$2.94)	\$0.00	
	11 000	02/29/12	07/24/12	\$434.75	\$490.86	\$0.00	(\$56.11)	\$0.00	
Security Subtotal	29 000			\$1,184.93	\$1,295.09	\$0.00	(\$110.16)	\$0.00	
MICHELIN COMPAGNIE GENERALE DE		CUSIP: 59410T106	Symbol (Box 1d): MGDDY						
	18 000	03/12/12	12/07/12	\$327.73	\$251.21	\$0.00	\$76.52	\$0.00	
	24 000	03/12/12	12/07/12	\$439.01	\$334.95	\$0.00	\$104.06	\$0.00	
Security Subtotal	42 000			\$766.74	\$586.16	\$0.00	\$180.58	\$0.00	
TAIWAN SMCNDCR MFG CO LTD ADR		CUSIP: 874039100	Symbol (Box 1d): TSM						
	48 000	07/26/12	10/19/12	\$732.14	\$639.27	\$0.00	\$92.87	\$0.00	
	46 000	07/26/12	10/22/12	\$704.03	\$612.63	\$0.00	\$91.40	\$0.00	
Security Subtotal	94 000			\$1,436.17	\$1,251.90	\$0.00	\$184.27	\$0.00	
Total Short Term Covered Securities				\$4,427.02	\$4,178.02	\$0.00	\$249.00	\$0.00	

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

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GOLDEN BEACH FL 33160-2217

Taxpayer ID Number 65-0098730
Account Number 442 133264 196

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ASML HOLDING NV NY REG NEW	0.570	10/12/12	11/29/12	\$34.36	\$32.38	\$0.00	\$1.98	\$0.00	GL
CREDIT SUISSE GROUP		CUSIP: 225401108	Symbol (Box 1d): CS						
	0.000	08/14/12	08/14/12	\$6.29	\$0.00	\$0.00	\$6.29	\$0.00	
Total Short Term Noncovered Securities				\$40.65	\$32.38	\$0.00	\$8.27	\$0.00	
Total Short Term Covered and Noncovered Securities				\$4,467.67	\$4,210.40	\$0.00	\$257.27	\$0.00	

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box A checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ADIDAS-SALOMON AG SPONS ADR	13.000	05/03/11	12/07/12	\$566.13	\$485.12	\$0.00	\$81.01	\$0.00	
CANON INC ADR NEW		CUSIP: 138006309	Symbol (Box 1d): CAJ						
	18.000	02/03/11	07/27/12	\$574.72	\$878.15	\$0.00	(\$303.43)	\$0.00	
	18.000	03/16/11	07/27/12	\$574.72	\$808.32	\$0.00	(\$233.60)	\$0.00	
Security Subtotal	36.000			\$1,149.44	\$1,686.47	\$0.00	(\$537.03)	\$0.00	
CREDIT SUISSE GROUP		CUSIP: 225401108	Symbol (Box 1d): CS						
	49.000	02/18/11	12/14/12	\$1,204.66	\$2,312.11	\$0.00	(\$1,107.45)	\$0.00	
	3.000	02/18/11	12/17/12	\$73.44	\$141.56	\$0.00	(\$68.12)	\$0.00	
	19.000	03/15/11	12/17/12	\$465.11	\$797.49	\$0.00	(\$332.38)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box A checked)
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CREDIT SUISSE GROUP	10 000	05/25/11	12/17/12	\$244.80	\$417.98	\$0.00	(\$173.18)	\$0.00	
	10.000	05/26/11	12/17/12	\$244.80	\$425.36	\$0.00	(\$180.56)	\$0.00	
	2.000	07/12/11	12/17/12	\$48.95	\$73.68	\$0.00	(\$24.73)	\$0.00	
	21 000	07/12/11	12/18/12	\$521.63	\$773.66	\$0.00	(\$252.03)	\$0.00	
	22 000	07/20/11	12/18/12	\$546.47	\$786.90	\$0.00	(\$240.43)	\$0.00	
	4 000	09/16/11	12/18/12	\$99.35	\$104.70	\$0.00	(\$5.35)	\$0.00	
	22.000	09/16/11	12/19/12	\$554.43	\$575.82	\$0.00	(\$21.39)	\$0.00	
Security Subtotal	162.000			\$4,003.64	\$6,409.26	\$0.00	(\$2,405.62)	\$0.00	
SBERBANK RUSSIA SPONSORED ADR		CUSIP: 80585Y308	Symbol (Box 1d): SBRXY						
	38 000	09/09/11	12/14/12	\$459.78	\$432.06	\$0.00	\$27.72	\$0.00	
	10 000	09/12/11	12/14/12	\$120.99	\$106.28	\$0.00	\$14.71	\$0.00	
	34.000	09/12/11	12/14/12	\$411.38	\$361.35	\$0.00	\$50.03	\$0.00	
Security Subtotal	82.000			\$992.15	\$899.69	\$0.00	\$92.46	\$0.00	
SIEMENS AKTIENGESELLSCHAFT		CUSIP: 826197501	Symbol (Box 1d): SI						
	2 000	01/10/11	08/31/12	\$188.81	\$229.98	\$0.00	(\$41.17)	\$0.00	
	3 000	01/10/11	09/04/12	\$281.95	\$344.97	\$0.00	(\$63.02)	\$0.00	
	2.000	01/11/11	09/04/12	\$187.96	\$236.70	\$0.00	(\$48.74)	\$0.00	
	7.000	01/11/11	09/19/12	\$720.97	\$828.47	\$0.00	(\$107.50)	\$0.00	
	8 000	01/11/11	10/11/12	\$794.60	\$946.82	\$0.00	(\$152.22)	\$0.00	
	1.000	02/03/11	10/11/12	\$99.33	\$127.52	\$0.00	(\$28.19)	\$0.00	
Security Subtotal	23.000			\$2,273.62	\$2,714.46	\$0.00	(\$440.84)	\$0.00	

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Morgan Stanley

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
TESCO PLC SPONSORED ADR		CUSIP: 881575302	Symbol (Box 1d): TSCDY						
	44 000	01/21/11	10/11/12	\$661 17	\$850 98	\$0.00	(\$189 81)	\$0.00	
Total Long Term Covered Securities				\$9,646.15	\$13,045.98	\$0.00	(\$3,399.83)	\$0.00	

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ASSA ABLOY AB UNSP ADR		CUSIP: 045387107	Symbol (Box 1d): ASAZY						
	21 000	11/22/10	09/07/12	\$323 70	\$284 02	\$0.00	\$39 68	\$0.00	
	22 000	11/22/10	11/28/12	\$385 79	\$297 55	\$0.00	\$88 24	\$0.00	
	19 000	11/23/10	11/29/12	\$336 16	\$251 88	\$0.00	\$84 28	\$0.00	
Security Subtotal	62 000			\$1,045.65	\$833.45	\$0.00	\$212.20	\$0.00	
BG GROUP PLC		CUSIP: 055434203	Symbol (Box 1d): BRGYY						
	40 000	07/02/09	11/01/12	\$709 15	\$684 52	\$0.00	\$24 63	\$0.00	
CANADIAN NATL RAILWAY CO		CUSIP: 136375102	Symbol (Box 1d): CNI						
	10 000	05/16/08	06/06/12	\$808 57	\$564 58	\$0.00	\$243 99	\$0.00	
	7 000	05/16/08	09/07/12	\$642 67	\$395 20	\$0.00	\$247 47	\$0.00	
Security Subtotal	17 000			\$1,451.24	\$959.78	\$0.00	\$491.46	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

ALAN LIPTON & JANICE LIPTON
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CANADIAN NATURAL RESOURCES LTD CUSIP: 136385101 Symbol (Box 1d): CNQ									
	17 000	08/20/09	07/24/12	\$458 45	\$496 88	\$0 00	(\$38 43)	\$0 00	\$0 00
	32 000	08/20/09	07/26/12	\$874 34	\$935 30	\$0 00	(\$60 96)	\$0 00	\$0 00
	12 000	09/01/09	07/26/12	\$327 88	\$334 85	\$0 00	(\$6 97)	\$0 00	\$0 00
	18 000	09/01/09	07/27/12	\$497 56	\$502 27	\$0 00	(\$4 71)	\$0 00	\$0 00
	15 000	09/30/10	07/27/12	\$414 63	\$517 09	\$0 00	(\$102 46)	\$0 00	\$0 00
Security Subtotal	94 000			\$2,572.86	\$2,786.39	\$0 00	(\$213.53)	\$0 00	\$0 00
CANON INC ADR NEW CUSIP: 138006309 Symbol (Box 1d): CAJ									
	24 000	06/30/10	07/25/12	\$814 17	\$896 96	\$0 00	(\$82 79)	\$0 00	\$0 00
	30 000	06/30/10	07/27/12	\$957 86	\$1,121 20	\$0 00	(\$163 34)	\$0 00	\$0 00
	17 000	07/02/10	07/27/12	\$542 79	\$634 39	\$0 00	(\$91 60)	\$0 00	\$0 00
	17 000	07/09/10	07/27/12	\$542 79	\$670 63	\$0 00	(\$127 84)	\$0 00	\$0 00
	16 000	08/31/10	07/27/12	\$510 86	\$654 67	\$0 00	(\$143 81)	\$0 00	\$0 00
Security Subtotal	104 000			\$3,368.47	\$3,977.85	\$0 00	(\$609.38)	\$0 00	\$0 00
CNOOC LTD ADS CUSIP: 126132109 Symbol (Box 1d): CEO									
	3 000	10/05/09	12/17/12	\$640 60	\$404 11	\$0 00	\$236 49	\$0 00	\$0 00
	2 000	10/05/09	12/18/12	\$429 17	\$269 40	\$0 00	\$159 77	\$0 00	\$0 00
Security Subtotal	5 000			\$1,069.77	\$673.51	\$0 00	\$396.26	\$0 00	\$0 00
LVMH MOET HENNESSY LOUIS VUITT CUSIP: 502441306 Symbol (Box 1d): LVMUY									
	14 000	11/18/08	09/04/12	\$454 56	\$143 15	\$0 00	\$311 41	\$0 00	\$0 00
	19 000	11/18/08	12/07/12	\$676 93	\$194 28	\$0 00	\$482 65	\$0 00	\$0 00
Security Subtotal	33 000			\$1,131.49	\$337.43	\$0 00	\$794.06	\$0 00	\$0 00

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Morgan Stanley

1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

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Harborside Financial Center
Plaza 2 2nd Floor
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Identification Number 26-4310632
Taxpayer ID Number 65-0098730
Account Number 442 133264 196
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: 670100205 Symbol (Box 1d): NVO									
NOVO NORDISK A/S ADR	3,000	05/16/08	07/13/12	\$435.30	\$207.19	\$0.00	\$228.11	\$0.00	
	2,000	05/16/08	07/13/12	\$290.54	\$138.13	\$0.00	\$152.41	\$0.00	
	5,000	05/16/08	09/19/12	\$779.49	\$345.32	\$0.00	\$434.17	\$0.00	
Security Subtotal	10,000			\$1,505.33	\$690.64	\$0.00	\$814.69	\$0.00	
CUSIP: 756255105 Symbol (Box 1d): RECKITT BENCKISER GROUP PLC									
RECKITT BENCKISER GROUP PLC	31,000	10/13/08	09/19/12	\$365.18	\$269.62	\$0.00	\$95.56	\$0.00	
	61,000	10/13/08	10/24/12	\$743.64	\$530.55	\$0.00	\$213.09	\$0.00	
	45,000	10/13/08	11/28/12	\$559.84	\$391.39	\$0.00	\$168.45	\$0.00	
	25,000	10/13/08	12/07/12	\$316.30	\$217.44	\$0.00	\$98.86	\$0.00	
	29,000	12/17/08	12/07/12	\$366.91	\$226.34	\$0.00	\$140.57	\$0.00	
Security Subtotal	191,000			\$2,351.87	\$1,635.34	\$0.00	\$716.53	\$0.00	
CUSIP: 803054204 Symbol (Box 1d): SAP AG									
SAP AG	17,000	10/07/08	07/12/12	\$985.89	\$636.25	\$0.00	\$349.64	\$0.00	
	1,000	10/07/08	09/19/12	\$72.64	\$37.43	\$0.00	\$35.21	\$0.00	
	9,000	10/10/08	09/19/12	\$653.71	\$301.45	\$0.00	\$352.26	\$0.00	
	6,000	10/10/08	10/24/12	\$428.43	\$200.97	\$0.00	\$227.46	\$0.00	
	5,000	10/16/08	10/24/12	\$357.02	\$173.72	\$0.00	\$183.30	\$0.00	
Security Subtotal	38,000			\$2,497.69	\$1,349.82	\$0.00	\$1,147.87	\$0.00	
CUSIP: 881575302 Symbol (Box 1d): TSCDY									
TESCO PLC SPONSORED ADR	17,000	10/21/09	06/29/12	\$248.86	\$334.03	\$0.00	(\$85.17)	\$0.00	
	45,000	10/21/09	06/29/12	\$656.58	\$884.19	\$0.00	(\$227.61)	\$0.00	
	57,000	10/21/09	10/08/12	\$867.15	\$1,119.98	\$0.00	(\$252.83)	\$0.00	
	35,000	10/21/09	10/09/12	\$526.58	\$687.71	\$0.00	(\$161.13)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

1099 Consolidated Tax Statement
Tax Year 2012 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC
 Harborside Financial Center
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 Jersey City, NJ 07311
 Identification Number 26-4310632

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 U/A/D 12/19/88 THORNBURG
 655 OCEAN BLVD
 GOLDEN BEACH FL 33160-2217

Taxpayer ID Number 65-0098730
 Account Number 442 133264 196

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
TESCO PLC SPONSORED ADR	24 000	10/21/09	10/09/12	\$361 08	\$471 57	\$0 00	(\$110 49)	\$0 00	
	10 000	10/21/09	10/10/12	\$150 88	\$196 49	\$0 00	(\$45 61)	\$0 00	
	31 000	04/21/10	10/10/12	\$467 73	\$631 29	\$0 00	(\$163 56)	\$0 00	
	55 000	11/22/10	10/10/12	\$829 84	\$1,131 54	\$0 00	(\$301 70)	\$0 00	
	4 000	12/01/10	10/10/12	\$60 35	\$78 05	\$0 00	(\$17 70)	\$0 00	
	35 000	12/01/10	10/11/12	\$525 93	\$682 95	\$0 00	(\$157 02)	\$0 00	
Security Subtotal	313 000			\$4,694 98	\$6,217 80	\$0 00	(\$1,522 82)	\$0 00	
TEVA PHARMACEUTICALS ADR		CUSIP: 881624209	Symbol (Box 1d): TEVA						
	22 000	05/16/08	12/06/12	\$920 34	\$1,018 78	\$0 00	(\$98 44)	\$0 00	
TURKIYE GARANTI BANKASI A S		CUSIP: 900148701	Symbol (Box 1d): TKGBY						
	128 000	02/04/10	11/28/12	\$584 18	\$554 82	\$0 00	\$29 36	\$0 00	

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

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WAL-MART DE MEXICO SA SPON ADR CUSIP: 93114W107 Symbol (Box 1d): WMMVY									
	8.000	11/18/08	12/06/12	\$264.50	\$100.04	\$0.00	\$164.46	\$0.00	
	21.000	01/23/09	12/06/12	\$694.31	\$227.01	\$0.00	\$467.30	\$0.00	
Security Subtotal	29.000			\$958.81	\$327.05	\$0.00	\$631.76	\$0.00	
Total Long Term Noncovered Securities				\$24,861.83	\$22,047.18	\$0.00	\$2,814.65	\$0.00	
Total Long Term Covered and Noncovered Securities				\$34,507.98	\$35,093.16	\$0.00	(\$585.18)	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$38,975.65	\$39,303.56	\$0.00	(\$327.91)	\$0.00	
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2)				\$38,975.65					
Total Cost or Other Basis (Box 3)					\$17,224.00				
Total Wash Sale Loss Disallowed (Box 5)						\$0.00			
Total Fed Tax Withheld (Box 4)								\$0.00	

Morgan Stanley

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OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

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ALERIAN MLP ETF		CUSIP: 00162Q866	Symbol (Box 1d): AMLP					
	232.000	05/14/12	06/29/12	\$3,688.85	\$3,752.25	\$0.00	(\$63.40)	\$0.00
	171.000	05/24/12	06/29/12	\$2,718.94	\$2,725.04	\$0.00	(\$6.10)	\$0.00
Security Subtotal	403.000			\$6,407.79	\$6,477.29	\$0.00	(\$69.50)	\$0.00
EGSHARES LOW VOLA EMERG ETF		CUSIP: 268461654	Symbol (Box 1d): HILO					
	1.000	03/16/12	05/24/12	\$17.78	\$20.96	\$3.18	(\$3.18)	\$0.00
	21.000	03/16/12	05/24/12	\$373.37	\$440.14	\$66.77	(\$66.77)	\$0.00
	38.000	02/24/12	06/29/12	\$694.28	\$793.41	\$0.00	(\$99.13)	\$0.00
	5.000	03/16/12	06/29/12	\$91.35	\$104.80	\$0.00	(\$13.45)	\$0.00
Security Subtotal	65.000			\$1,176.78	\$1,359.31	\$69.95	(\$182.53)	\$0.00
ELEMENTS ROGERS 22OC24		CUSIP: 870297801	Symbol (Box 1d): RJ1					
	68.000	02/24/12	05/24/12	\$553.66	\$626.89	\$0.00	(\$73.23)	\$0.00
	206.000	02/24/12	06/29/12	\$1,627.88	\$1,899.10	\$0.00	(\$271.22)	\$0.00
	104.000	02/24/12	09/13/12	\$945.82	\$958.77	\$0.00	(\$12.95)	\$0.00
Security Subtotal	378.000			\$3,127.36	\$3,484.76	\$0.00	(\$357.40)	\$0.00
FIRST TRST HLTH CARE ALPHA ETF		CUSIP: 33734X143	Symbol (Box 1d): FXH					
	2.000	04/30/12	05/14/12	\$60.21	\$62.06	\$0.00	(\$1.85)	\$0.00
	38.000	03/16/12	05/24/12	\$1,125.71	\$1,153.59	\$0.00	(\$27.88)	\$0.00
	17.000	04/30/12	05/24/12	\$503.61	\$527.50	\$0.00	(\$23.89)	\$0.00
	8.000	01/11/12	06/29/12	\$247.07	\$222.69	\$0.00	\$24.38	\$0.00
	7.000	01/30/12	06/29/12	\$216.18	\$199.70	\$0.00	\$16.48	\$0.00
	17.000	03/16/12	06/29/12	\$525.01	\$516.08	\$0.00	\$8.93	\$0.00
Security Subtotal	89.000			\$2,677.79	\$2,681.62	\$0.00	(\$3.83)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
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FIRST TRUST CONSMR STAPLES ETF CUSIP: 33734X119 Symbol (Box 1d): FXG								
	1 000	03/16/12	05/24/12	\$23 61	\$24 95	\$1 34	(\$1 34)	\$0 00
	31 000	03/16/12	05/24/12	\$731 96	\$773 41	\$0 00	(\$41 45)	\$0 00
	32 000	04/30/12	05/24/12	\$755 56	\$801 56	\$0 00	(\$46 00)	\$0 00
	35 000	03/16/12	06/29/12	\$845 61	\$873 20	\$0 00	(\$27 59)	\$0 00
Security Subtotal	99 000			\$2 356.74	\$2 473.12	\$1.34	(\$116.38)	\$0.00
FIRST TRUST CONSUMER DISCRET CUSIP: 33734X101 Symbol (Box 1d): FXD								
	12 000	03/16/12	05/24/12	\$251 78	\$275 50	\$23 72	(\$23 72)	\$0 00
	13 000	03/16/12	05/24/12	\$272 75	\$298 45	\$25 70	(\$25 70)	\$0 00
	39 000	03/16/12	05/24/12	\$818 29	\$895 38	\$0 00	(\$77 09)	\$0 00
	10 000	04/30/12	05/24/12	\$209 81	\$226 17	\$0 00	(\$16 36)	\$0 00
	13 000	03/16/12	06/29/12	\$263 88	\$319 72	\$0 00	(\$55 84)	\$0 00
	7 000	04/30/12	09/13/12	\$155 68	\$172 16	\$0 00	(\$16 48)	\$0 00
Security Subtotal	94 000			\$1 972.19	\$2 187.38	\$49.42	(\$215.19)	\$0.00
FIRST TRUST DJ INTERNET IDX CUSIP: 33733E302 Symbol (Box 1d): FDN								
	1 000	03/16/12	05/24/12	\$34 94	\$36 44	\$1 50	(\$1 50)	\$0 00
	3 000	03/16/12	05/24/12	\$104 82	\$109 30	\$4 48	(\$4 48)	\$0 00
	25 000	03/16/12	05/24/12	\$873 59	\$910 92	\$0 00	(\$37 33)	\$0 00
	18 000	04/30/12	05/24/12	\$628 98	\$665 18	\$0 00	(\$36 20)	\$0 00
	1 000	03/16/12	06/29/12	\$34 78	\$36 70	\$0 00	(\$1 92)	\$0 00
	15 000	03/16/12	06/29/12	\$521.64	\$546 55	\$0 00	(\$24 91)	\$0 00

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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FIRST TRUST DJ INTERNET IDX	1 000	01/30/12	09/13/12	\$37.94	\$33.74	\$0.00	\$4.20	\$0.00
	3 000	05/14/12	09/13/12	\$113.83	\$110.09	\$0.00	\$3.74	\$0.00
Security Subtotal	67.000			\$2,350.52	\$2,448.92	\$5.98	(\$98.40)	\$0.00
FIRST TRUST HIGH INC LONG/SHOR								
	12.000	03/14/12	05/14/12	\$214.90	\$215.74	\$0.00	(\$0.84)	\$0.00
	56 180	03/14/12	05/24/12	\$971.90	\$1,010.04	\$0.00	(\$38.14)	\$0.00
	3 820	03/14/12	05/24/12	\$68.08	\$68.67	\$0.00	(\$2.59)	\$0.00
	0.771	03/14/12	06/21/12	\$13.68	\$13.86	\$0.00	(\$0.18)	\$0.00
Security Subtotal	72.771			\$1,266.56	\$1,308.31	\$2.59	(\$41.75)	\$0.00
FIRST TRUST NORTH AMERICAN E								
	469 000	06/29/12	09/13/12	\$9.897 17	\$9,530.08	\$0.00	\$367.09	\$0.00
FIRST TRUST S&P REIT INDEX								
	6 000	03/16/12	05/14/12	\$105.00	\$101.01	\$0.00	\$3.99	\$0.00
	20 000	04/30/12	05/14/12	\$350.02	\$350.78	\$0.00	(\$0.76)	\$0.00
	81.000	03/16/12	05/24/12	\$1,371.70	\$1,363.65	\$0.00	\$8.05	\$0.00
	16 000	01/11/12	06/29/12	\$277.61	\$248.39	\$0.00	\$29.22	\$0.00
	24 000	02/24/12	06/29/12	\$416.42	\$393.28	\$0.00	\$23.14	\$0.00
	8.000	03/16/12	06/29/12	\$138.80	\$134.68	\$0.00	\$4.12	\$0.00
Security Subtotal	155.000			\$2,659.55	\$2,591.79	\$0.00	\$67.76	\$0.00
GUGGENHEIM S&P 500 EQU WEIGHT								
	1 000	03/16/12	05/24/12	\$48.34	\$52.09	\$3.75	(\$3.75)	\$0.00
	10 000	03/16/12	05/24/12	\$483.43	\$520.97	\$0.00	(\$37.54)	\$0.00
	20 000	03/16/12	05/24/12	\$966.85	\$1,041.92	\$75.07	(\$75.07)	\$0.00

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GUGGENHEIM S&P 500 EQU	40 000	01/11/12	06/29/12	\$1,965.23	\$1,907.60	\$0.00	\$57.63	\$0.00
	5 000	01/30/12	06/29/12	\$245.65	\$243.59	\$0.00	\$2.06	\$0.00
	20 000	03/16/12	06/29/12	\$982.62	\$1,104.23	\$0.00	(\$121.61)	\$0.00
	1 000	03/16/12	06/29/12	\$49.14	\$53.07	\$0.00	(\$3.93)	\$0.00
Security Subtotal	97 000			\$4,741.26	\$4,923.47	\$78.82	(\$182.21)	\$0.00
CUSIP: 464287168 Symbol (Box 1d): DVY								
ISHARES TRUST DJ SELECT	67 000	05/14/12	09/13/12	\$3,884.11	\$3,717.80	\$0.00	\$166.31	\$0.00
	50 000	05/24/12	09/13/12	\$2,898.59	\$2,740.31	\$0.00	\$158.28	\$0.00
	53 000	06/29/12	09/13/12	\$3,072.50	\$2,969.44	\$0.00	\$103.06	\$0.00
Security Subtotal	170 000			\$9,855.20	\$9,427.55	\$0.00	\$427.65	\$0.00
CUSIP: 73935X716 Symbol (Box 1d): PID								
POWER SHARES INTL DIV ACH	20 000	02/24/12	05/24/12	\$280.99	\$310.60	\$29.61	(\$29.61)	\$0.00
	10 000	02/24/12	05/24/12	\$140.49	\$155.30	\$0.00	(\$14.81)	\$0.00
	3 000	02/24/12	05/24/12	\$42.15	\$46.59	\$4.44	(\$4.44)	\$0.00
	55 000	02/24/12	06/29/12	\$798.78	\$854.14	\$0.00	(\$55.36)	\$0.00
Security Subtotal	88 000			\$1,262.41	\$1,366.63	\$34.05	(\$104.22)	\$0.00
CUSIP: 73935X153 Symbol (Box 1d): PDP								
POWERSH DWA TECH LEAD PTF ETF	44 000	03/16/12	05/24/12	\$1,171.16	\$1,193.56	\$0.00	(\$22.40)	\$0.00
	19 000	04/30/12	05/24/12	\$505.73	\$530.05	\$0.00	(\$24.32)	\$0.00
	1 000	01/30/12	06/29/12	\$26.14	\$24.89	\$0.00	\$1.25	\$0.00
	12 000	03/16/12	06/29/12	\$313.67	\$325.52	\$0.00	(\$11.85)	\$0.00
Security Subtotal	76 000			\$2,016.70	\$2,074.02	\$0.00	(\$57.32)	\$0.00

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TTES FBO THE LIPTON
FND UAD 12-19-88 PM DALI
655 OCEAN BLVD
GOLDEN BEACH FL 33160-2217

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632
Taxpayer ID Number 65-0098730
Account Number 442 130243 196
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 73936G308 Symbol (Box 1d): PBP								
POWERSHARES S&P 500 BUYWRITE	187.000	05/14/12	09/13/12	\$3,926.93	\$3,770.72	\$0.00	\$156.21	\$0.00
	138.000	05/24/12	09/13/12	\$2,897.95	\$2,730.76	\$0.00	\$167.19	\$0.00
	144.000	06/29/12	09/13/12	\$3,023.94	\$2,927.89	\$0.00	\$96.05	\$0.00
Security Subtotal	469.000			\$9,848.82	\$9,429.37	\$0.00	\$419.45	\$0.00
CUSIP: 73937B779 Symbol (Box 1d): SPLV								
POWERSHARES S&P 500 LOW VOLA	141.000	05/14/12	09/13/12	\$3,946.08	\$3,760.05	\$0.00	\$186.03	\$0.00
	102.000	05/24/12	09/13/12	\$2,854.61	\$2,701.48	\$0.00	\$153.13	\$0.00
	104.000	06/29/12	09/13/12	\$2,910.58	\$2,853.39	\$0.00	\$57.19	\$0.00
Security Subtotal	347.000			\$9,711.27	\$9,314.92	\$0.00	\$396.35	\$0.00
CUSIP: 74347X849 Symbol (Box 1d): TBF								
PROSHARES SHORT 20+ TREASURY	33.000	03/14/12	05/24/12	\$987.07	\$1,095.54	\$0.00	(\$108.47)	\$0.00
	4.000	03/14/12	05/24/12	\$119.64	\$132.78	\$13.14	(\$13.14)	\$0.00
	250.000	03/14/12	06/11/12	\$7,294.48	\$8,299.45	\$0.00	(\$1,004.97)	\$0.00
	4.000	03/14/12	06/11/12	\$116.71	\$139.40	\$0.00	(\$22.69)	\$0.00
Security Subtotal	291.000			\$8,517.90	\$9,667.17	\$13.14	(\$1,149.27)	\$0.00

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1099 Consolidated Tax Statement Tax Year 2012 Copy B For Recipient

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JERSEY CITY, NJ 07311
IDENTIFICATION NUMBER 26-4310632
TAXPAYER ID NUMBER 65-0098730
ACCOUNT NUMBER 442 130243 196
CUSTOMER SERVICE: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WISDOMTREE EQUITY INCOME FUND		CUSIP: 97717W208	Symbol (Box 1d): DHS					
	84 000	05/14/12	09/13/12	\$3,987.46	\$3,727.08	\$0.00	\$260.38	\$0.00
	62 000	05/24/12	09/13/12	\$2,943.12	\$2,726.57	\$0.00	\$216.55	\$0.00
	62 000	06/29/12	09/13/12	\$2,943.12	\$2,838.42	\$0.00	\$104.70	\$0.00
Security Subtotal	208 000			\$9,873.70	\$9,292.07	\$0.00	\$581.63	\$0.00
Total Short Term Covered Securities				\$89,719.71	\$90,037.78	\$255.29	(\$318.07)	\$0.00

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ELEMENTS ROGERS 22OC24		CUSIP: 870297801	Symbol (Box 1d): RJJ						
	1,044.000	02/24/12	05/14/12	\$8,590.08	\$9,624.62	\$0.00	(\$1,034.54)	\$0.00	
	73.000	02/24/12	05/14/12	\$600.61	\$672.95	\$0.00	(\$72.34)	\$0.00	
Security Subtotal	1,117.000			\$9,190.69	\$10,297.57	\$0.00	(\$1,106.88)	\$0.00	
GUGGENHEIM S&P 500 EQU WEIGHT		CUSIP: 78355W106	Symbol (Box 1d): RSP						
	109 000	10/21/11	06/29/12	\$5,355.26	\$4,953.41	\$0.00	\$401.85	\$0.00	
IPATH DJ AIG 37OC22		CUSIP: 06739H305	Symbol (Box 1d): JJG						
	27 000	09/13/12	12/14/12	\$1,496.89	\$1,688.77	\$0.00	(\$191.88)	\$0.00	

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Morgan Stanley

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
IPATH DJ AIG AGG 37OC22	26 000	09/13/12	12/20/12	\$1,432.25	\$1,672.40	\$0.00	(\$240.15)	\$0.00	
IPATH GSCTTL 36JN12	251 000	04/17/12	05/14/12	\$8,139.64	\$8,805.08	\$0.00	(\$665.44)	\$0.00	
	27 000	04/30/12	05/14/12	\$875.58	\$951.19	\$0.00	(\$75.61)	\$0.00	
	16 000	04/17/12	05/24/12	\$507.34	\$561.28	\$0.00	(\$53.94)	\$0.00	
	51 000	04/17/12	06/29/12	\$1,526.93	\$1,789.08	\$0.00	(\$262.15)	\$0.00	
	77 000	04/17/12	09/13/12	\$2,740.36	\$2,701.16	\$0.00	\$39.20	\$0.00	
Security Subtotal	422 000			\$13,789.85	\$14,807.79	\$0.00	(\$1,017.94)	\$0.00	
POWER SHARES EMRGING MKTS TECH	28 000	08/17/11	05/24/12	\$452.74	\$506.18	\$0.00	(\$53.44)	\$0.00	
	50 000	08/17/11	06/29/12	\$846.40	\$903.89	\$0.00	(\$57.49)	\$0.00	
Security Subtotal	78 000			\$1,299.14	\$1,410.07	\$0.00	(\$110.93)	\$0.00	
POWERSHARES DB COMM TRK INC	30 000	01/09/12	05/14/12	\$792.51	\$825.90	\$0.00	(\$33.39)	\$0.00	
	26 000	01/11/12	05/14/12	\$686.84	\$719.64	\$0.00	(\$32.80)	\$0.00	
	260 000	02/24/12	05/14/12	\$6,868.45	\$7,676.71	\$0.00	(\$808.26)	\$0.00	
	5 000	03/16/12	05/14/12	\$132.09	\$146.99	\$0.00	(\$14.90)	\$0.00	
	24 000	04/30/12	05/14/12	\$634.01	\$680.12	\$0.00	(\$46.11)	\$0.00	
	24 000	01/09/12	05/24/12	\$625.48	\$660.72	\$0.00	(\$35.24)	\$0.00	
	155 000	01/09/12	06/05/12	\$3,842.93	\$4,267.13	\$0.00	(\$424.20)	\$0.00	
Security Subtotal	524 000			\$13,582.31	\$14,977.21	\$0.00	(\$1,394.90)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
POWERSHARES DWA DEVELOP MKTS CUSIP: 73936Q108 Symbol (Box 1d): PIZ									
	26.000	08/17/11	05/24/12	\$445.37	\$521.30	\$0.00	(\$75.93)	\$0.00	\$0.00
	41.000	08/17/11	06/29/12	\$711.37	\$822.05	\$0.00	(\$110.68)	\$0.00	\$0.00
Security Subtotal	67.000			\$1,156.74	\$1,343.35	\$0.00	(\$186.61)	\$0.00	\$0.00
PWRSHS DB MULTI-SEC OIL FD CUSIP: 73936B507 Symbol (Box 1d): DBO									
	53.000	01/30/12	05/14/12	\$1,437.74	\$1,535.37	\$0.00	(\$97.63)	\$0.00	\$0.00
	238.000	02/24/12	05/14/12	\$6,456.27	\$7,483.32	\$0.00	(\$1,027.05)	\$0.00	\$0.00
	10.000	03/16/12	05/14/12	\$271.27	\$308.98	\$0.00	(\$37.71)	\$0.00	\$0.00
	19.000	04/30/12	05/14/12	\$515.42	\$571.61	\$0.00	(\$56.19)	\$0.00	\$0.00
	18.000	01/30/12	05/24/12	\$467.62	\$521.45	\$0.00	(\$53.83)	\$0.00	\$0.00
	156.000	01/30/12	06/05/12	\$3,732.23	\$4,519.21	\$0.00	(\$786.98)	\$0.00	\$0.00
Security Subtotal	494.000			\$12,880.55	\$14,939.94	\$0.00	(\$2,059.39)	\$0.00	\$0.00
SPDR GOLD TR GOLD SHS CUSIP: 78463V107 Symbol (Box 1d):									
	0.000		06/12/12	\$2.38	\$0.00	\$0.00	\$0.00	\$0.00	CT
	18.000	06/05/12	06/29/12	\$2,788.36	\$2,827.69	\$0.00	(\$39.33)	\$0.00	\$0.00
	0.000		07/06/12	\$1.48	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		08/10/12	\$1.50	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		09/12/12	\$1.53	\$0.00	\$0.00	\$0.00	\$0.00	CT
	6.000	06/05/12	09/13/12	\$1,008.23	\$942.56	\$0.00	\$65.67	\$0.00	\$0.00
	5.000	06/05/12	09/13/12	\$840.19	\$784.97	\$0.00	\$55.22	\$0.00	\$0.00
	7.000	06/05/12	09/13/12	\$1,176.28	\$1,114.26	\$0.00	\$62.02	\$0.00	\$0.00
	0.000		10/08/12	\$0.64	\$0.00	\$0.00	\$0.00	\$0.00	CT

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Tax Year 2012 Copy B For Recipient

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
 (Continued)

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SPDR GOLD TR GOLD SHS	0.000		11/19/12	\$0.59	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		12/11/12	\$0.56	\$0.00	\$0.00	\$0.00	\$0.00	CT
Security Subtotal	36.000			\$5,821.74	\$5,669.48	\$0.00	\$143.58	\$0.00	
Total Short Term Noncovered Securities				\$66,005.42	\$71,759.99	\$0.00	(\$5,763.25)	\$0.00	
Total Short Term Covered and Noncovered Securities				\$155,725.13	\$161,797.77	\$255.29	(\$6,081.32)	\$0.00	

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GUGGENHEIM S&P 500 EQU WEIGHT	46.000	08/03/10	06/29/12	\$2,260.02	\$1,894.58	\$0.00	\$365.44	\$0.00	
POWERSHARES DWA DEVELOP MKTS	9.000	08/17/11	09/13/12	\$173.47	\$180.45	\$0.00	(\$6.98)	\$0.00	

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
Total Long Term Noncovered Securities				\$2,433.49	\$2,075.03	\$0.00	\$358.46	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$158,158.62	\$163,872.80	\$255.29	(\$5,722.86)	\$0.00	
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2)				\$158,158.62					
Total Cost or Other Basis (Box 3)					\$90,037.78				
Total Wash Sale Loss Disallowed (Box 5)						\$255.29			
Total Fed Tax Withheld (Box 4)								\$0.00	

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 17 of 22

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MERRILL LYNCH-#760-12579	930.
MERRILL LYNCH-#760-12583	2,523.
MERRILL LYNCH-#760-12583-OID	32.
MERRILL LYNCH-#760-13472	6,753.
MERRILL LYNCH-#760-143472-OID	192.
MORGAN STANLEY-#442-131464	1,071.
MORGAN STANLEY-#442-131464-OID	97.
MORGAN STANLEY-#442-131874	8.
MORGAN STANLEY-#442-133264	4.
MORGAN STANLEY-416-16241	604.
MORGAN STANLEY-416-16241-OID	69.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	12,283.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CITIGROUP GLOBAL MARKETS	9,534.	563.	8,971.
MERRILL LYNCH-#760-12583	8,114.	19.	8,095.
MERRILL LYNCH-#760-13472	9,895.	0.	9,895.
MORGAN STANLEY #416-1198A	302.	0.	302.
MORGAN STANLEY-#442-130243	2,820.	20.	2,800.
MORGAN STANLEY-#442-131464	59,078.	6,146.	52,932.
MORGAN STANLEY-#442-133264	4,508.	0.	4,508.
MORGAN STANLEY-416-16241	29,457.	0.	29,457.
MORGAN STANLEY-416-23040	2,662.	0.	2,662.
MORGAN STANLEY-416-25861	50.	0.	50.
TOTAL TO FM 990-PF, PART I, LN 4	126,420.	6,748.	119,672.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ACL ALTERNATIVE FUND SAC LIMITED	1,363.	1,363.	
REBATE PAYMENTS	92.	92.	
POWERSHARES	<253.>	<253.>	
GREENHAVEN CONTINUOUS COMMODITY FD	<124.>	<124.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,078.	1,078.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	29,000.	14,500.		14,500.
TO FORM 990-PF, PG 1, LN 16B	29,000.	14,500.		14,500.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	43,320.	21,660.		21,660.
TO FORM 990-PF, PG 1, LN 16C	43,320.	21,660.		21,660.

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER TAXES	2,017.	1,009.		1,008.	
TO FORM 990-PF, PG 1, LN 18	2,017.	1,009.		1,008.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSE	9,864.	4,932.		4,932.	
INSURANCE	1,951.	975.		976.	
INVESTMENT MANAGEMENT FEES	12,387.	6,194.		6,193.	
INVESTMENT EXPENSES	3,717.	1,858.		1,859.	
TO FORM 990-PF, PG 1, LN 23	27,919.	13,959.		13,960.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CORPORATE STOCKS	3,791,860.	4,643,122.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,791,860.	4,643,122.		

FORM 990-PF	CORPORATE BONDS		STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
CORPORATE BONDS	691,755.	449,437.		
TOTAL TO FORM 990-PF, PART II, LINE 10C	691,755.	449,437.		

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 10

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	25,579.	12,163.	13,416.
OFFICE EQUIPMENT	10,531.	10,531.	0.
LEASEHOLD IMPROVEMENTS	1,985.	890.	1,095.
FURNITURE & FIXTURES	5,827.	5,827.	0.
COMPUTER EQUIPMENT	3,069.	3,069.	0.
FURNITURE & FIXTURES	1,605.	1,605.	0.
OFFICE EQUIPMENT	1,612.	1,612.	0.
OFFICE EQUIPMENT	7,000.	7,000.	0.
IMPROVEMENTS	44,300.	44,300.	0.
TOTAL TO FM 990-PF, PART II, LN 14	101,508.	86,997.	14,511.

FORM 990-PF PART XV - LINE 1A STATEMENT 11
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

JANICE LIPTON
ALAN LIPTON

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	LEASEHOLD IMPROVEMENTS	061594	SL	39.00	17	25,579.			25,579.	11,507.		656.
2	OFFICE EQUIPMENT LEASEHOLD	061594	200DB	7.00	17	10,531.			10,531.	10,531.		0.
3	IMPROVEMENTS FURNITURE &	070195	SL	39.00	17	1,985.			1,985.	839.		51.
4	FIXTURES	070195	200DB	7.00	17	5,827.			5,827.	5,827.		0.
5	COMPUTER EQUIPMENT FURNITURE &	070196	200DB	3.00	17	3,069.			3,069.	3,069.		0.
6	FIXTURES	070196	200DB	7.00	17	1,605.			1,605.	1,605.		0.
7	OFFICE EQUIPMENT	080102	200DB	5.00	17	1,612.			1,612.	1,612.		0.
8	OFFICE EQUIPMENT	040106	200DB	5.00	17	7,000.			7,000.	7,000.		0.
9	IMPROVEMENTS	040106	200DB	5.00	17	44,300.			44,300.	44,300.		0.
	* TOTAL 990-PF PG 1 DEPR					101,508.		0.	101,508.	86,290.	0.	707.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions LIPTON FOUNDATION	Employer identification number (EIN) or 65-0098730
	Number, street, and room or suite no. If a P.O. box, see instructions. C/O SUSAN R HANTMAN CPA - 11900 BISCAYNE BLVD, 501	Social security number (SSN)
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions MIAMI, FL 33181	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

JANICE LIPTON

- The books are in the care of ► **655 OCEAN BOULEVARD - GOLDEN BEACH, FL 33160**

Telephone No. ► **305-935-3338**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2012** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1,687.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2013)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
Type or print Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
LIPTON FOUNDATION	65-0098730
File by the due date for filing your return See instructions Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
C/O SUSAN R HANTMAN CPA - 11900 BISCAYNE BLVD, 501	
City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
MIAMI, FL 33181	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

JANICE LIPTON

- The books are in the care of ☒ 655 OCEAN BOULEVARD - GOLDEN BEACH, FL 33160

Telephone No. ☒ 305-935-3338

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2013.

5 For calendar year 2012, or other tax year beginning _____, and ending _____.

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS REQUIRED TO OBTAIN THE NECESSARY INFORMATION TO FILE AN ACCURATE AND COMPLETE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0.
8b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	1,687.
8c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒ Susan R. Hantman Title ☒ C.P.A.

Date ☒ 8/12/13

Form 8868 (Rev 1-2013)