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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation THE ASLAN FOUNDATION		A Employer identification number 62-1520208	
Number and street (or P O box number if mail is not delivered to street address) 4800 OLD KINGSTON PIKE NO 100		B Telephone number (see instructions) (865) 524-6360	
City or town, state, and ZIP code KNOXVILLE, TN 37919		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 95,687,327		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	563,640		
	2	Check ☐ if the foundation is not required to attach Sch B			
	3	Interest on savings and temporary cash investments	102,587	102,587	
	4	Dividends and interest from securities.	1,951,994	1,951,994	
	5a	Gross rents			
	b	Net rental income or (loss) _____ -86			
	6a	Net gain or (loss) from sale of assets not on line 10	1,023,687		
	b	Gross sales price for all assets on line 6a _____ 23,910,721			
	7	Capital gain net income (from Part IV , line 2)		1,023,687	
	8	Net short-term capital gain			
	9	Income modifications			
	10a	Gross sales less returns and allowances			
Operating and Administrative Expenses	b	Less Cost of goods sold			
	c	Gross profit or (loss) (attach schedule)			
	11	Other income (attach schedule)	 395,767	388,401	
	12	Total. Add lines 1 through 11	4,037,675	3,466,669	
	13	Compensation of officers, directors, trustees, etc	92,346	23,086	69,260
	14	Other employee salaries and wages			
	15	Pension plans, employee benefits	5,745	1,436	4,309
	16a	Legal fees (attach schedule)			
	b	Accounting fees (attach schedule)	 15,800	11,850	3,950
	c	Other professional fees (attach schedule)	 240,241	238,514	1,728
	17	Interest	9,800	9,800	0
	18	Taxes (attach schedule) (see instructions)	 19,084	13,611	5,473
	19	Depreciation (attach schedule) and depletion . . .	1,593	1,593	
	20	Occupancy	16,967	4,242	12,725
	21	Travel, conferences, and meetings	24,740	6,185	18,555
	22	Printing and publications			
	23	Other expenses (attach schedule)	 749,714	109,087	640,627
	24	Total operating and administrative expenses. Add lines 13 through 23	1,176,030	419,404	756,627
	25	Contributions, gifts, grants paid	2,407,357		2,407,357
	26	Total expenses and disbursements. Add lines 24 and 25	3,583,387	419,404	3,163,984
	27	Subtract line 26 from line 12			
	a	Excess of revenue over expenses and disbursements	454,288		
	b	Net investment income (if negative, enter -0-)		3,047,265	
	c	Adjusted net income (if negative, enter -0-)			

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Assets	1	Cash—non-interest-bearing	21,349	83,542	83,542
	2	Savings and temporary cash investments	1,414,387	3,831,004	3,831,004
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	4,218,838	1,841,092	1,954,269
	b	Investments—corporate stock (attach schedule)	71,583,118	69,672,094	72,371,921
	c	Investments—corporate bonds (attach schedule).	9,749,992	10,654,611	11,092,666
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ 6,359,952 Less accumulated depreciation (attach schedule) ▶ 6,027	4,994,296	6,353,925	6,353,925
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	91,981,980	92,436,268	95,687,327	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	91,981,980	92,436,268	
	30	Total net assets or fund balances (see page 17 of the instructions)	91,981,980	92,436,268	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	91,981,980	92,436,268	

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	91,981,980
2	Enter amount from Part I, line 27a	2	454,288
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	92,436,268
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	92,436,268

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,023,687
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	3,834,286	87,427,065	0 043857
2010	3,000,197	80,655,888	0 037197
2009	2,660,271	70,389,694	0 037793
2008	6,949,575	87,700,011	0 079243
2007	862,128	98,643,694	0 008740

2	Total of line 1, column (d).	2	0 206830
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 041366
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	85,840,085
5	Multiply line 4 by line 3.	5	3,550,861
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	30,473
7	Add lines 5 and 6.	7	3,581,334
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	4,518,283

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	30,473
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	30,473
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	30,473
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	56,515	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	56,515
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	26,042
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☒ 26,042	Refunded ☐	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ TN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of DEBBIE BLACK ADMINISTRATIVE DIRECT Telephone no (865) 524-6360 Located at 4800 OLD KINGSTON PIKE SUITE 100 KNOXVILLE TN ZIP +4 37919			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 ADDITIONAL PURCHASES OF CONTIGUOUS PARCELS TO THE LOGHAVEN CONSERVATION PROPERTY FOR PRESERVATION AS A HISTORIC CIVIL WAR SITE AND CREATION OF A HISTORIC CORRIDOR CONSERVANCY	1,354,299
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	85,382,066
b	Average of monthly cash balances.	1b	1,765,228
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	87,147,294
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	87,147,294
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,307,209
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	85,840,085
6	Minimum investment return. Enter 5% of line 5.	6	4,292,004

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,292,004
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	30,473
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	30,473
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	4,261,531
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	4,261,531
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	4,261,531

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	3,163,984
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	1,354,299
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,518,283
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	30,473
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,487,810
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				4,261,531
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			4,272,395	
b Total for prior years 20__, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 4,518,283				
a Applied to 2011, but not more than line 2a			4,272,395	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				245,888
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				4,015,643
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

DEBBIE BLACK ADMINISTRATIVE DIRECTO
4800 OLD KINGSTON PIKE SUITE 100
KNOXVILLE, TN 37919
(865) 524-6360

b

The form in which applications should be submitted and information and materials they should include

FOUNDATION'S GRANT APPLICATION

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Form 990-PF (2012)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	2,407,357
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments.					
3	Interest on savings and temporary cash investments			14	102,587	
4	Dividends and interest from securities.			14	1,951,994	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.			16	-86	
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	1,023,687	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. . .					
11	Other revenue a See Additional Data Table					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). . .		7,366		3,466,583	0
13	Total. Add line 12, columns (b), (d), and (e).			13		3,473,949

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2013-11-13	*****
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☒	PTIN P00439356
	BETH A BREAZEALE	BETH A BREAZEALE			
	Firm's name ☐	PERSHING YOAKLEY & ASSOCIATES P C		Firm's EIN ☐ 62-1517792	
		ONE CHEROKEE MILLS 2220 SUTHERLAND AVE			
	Firm's address ☐	KNOXVILLE, TN 379192363		Phone no (865) 673-0844	

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2012

Name of the organization THE ASLAN FOUNDATION	Employer identification number 62-1520208
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE ASLAN FOUNDATION	Employer identification number 62-1520208
---	---

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	ESTATE OF LINDSAY YOUNG PO BOX 550 KNOXVILLE, TN 379010550	\$ 563,640	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization THE ASLAN FOUNDATION	Employer identification number 62-1520208
---	---

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PUBLICLY TRADED SECURITIES			
FAIRWAY R A FUND LTCG DIVIDENDS			
FAIRWAY R A FUND NET SEC 1231 GAIN			
RCP FUND V LP LTCG DIVIDENDS			
RCP FUND V LP NET SEC 1231			
GT OFFSHORE FUND LTCG			
MAJESTIC OFFSHORE LTCG			
SUNOCO LTCG			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
23,500,247	0	22,883,713	616,534
3,363	0		3,363
140	0		140
187,391	0		187,391
		113	-113
92,653	0		92,653
126,927	0		126,927
		3,208	-3,208

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			616,534
			3,363
			140
			187,391
			-113
			92,653
			126,927
			-3,208

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEFFERY MANSOUR 4800 OLD KINGSTON PIKE SUITE 100 KNOXVILLE,TN 37919	EXECUTIVE DIRECTOR 40 00	52,838	0	0
DEBBIE BLACK 4800 OLD KINGSTON PIKE SUITE 100 KNOXVILLE,TN 37919				
MARK K WILLIAMS PO BOX 550 KNOXVILLE,TN 37901	ADMINISTRATIVE DIRECTOR 40 00	39,508	4,660	0
GREGORY E ERICKSON PO BOX 23530 KNOXVILLE,TN 37933				
LINDSAY Y MCDONOUGH 4800 OLD KINGSTON PIKE SUITE 100 KNOXVILLE,TN 37919	SECRETARY 1 00	0	0	0
ROBERT S YOUNG 4800 OLD KINGSTON PIKE SUITE 100 KNOXVILLE,TN 37919				
RACHAEL P YOUNG 4800 OLD KINGSTON PIKE SUITE 100 KNOXVILLE,TN 37919	TREASURER 1 00	0	0	0
JIM MCDONOUGH 4823 OLD KINGSTON PIKE SUITE 130 KNOXVILLE,TN 37919				
	DIRECTOR 1 00	0	0	0
	DIRECTOR 1 00	0	0	0
	DIRECTOR 1 00	0	0	0
	DIRECTOR 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
APPALACHIA SERVICE PROJECT 216 HILL DR CHAVIES,KY 41727	N/A	501(C)(3)	UNRESTRICTED GRANT	30,000
BLOUNT MANSION ASSOCIATION 200 WEST HILL AVENUE KNOXVILLE,TN 37902	N/A	501(C)(3)	UNRESTRICTED GRANT	200,000
CANCER SUPPORT COMMUNITY EAST TN 2230 SUTHERLAND AVENUE KNOXVILLE,TN 37919	N/A	501(C)(3)	UNRESTRICTED GRANT	150,000
CASH CONTRIBUTIONS FROM FAIRWAY REAL ASSET FUND K-1 600 PEACHTREE STREET NE ATLANTA,GA 30308	N/A	501(C)(3)	UNRESTRICTED GRANT	2
CASH CONTRIBUTIONS FROM RCP FUND V K-1 8235 FORSYTH BOULEVARD SUITE 1101 ST LOUIS,MO 63105	N/A	501(C)(3)	UNRESTRICTED GRANT	131
FRANK H MCCLUNG MUSEUM 1327 CIRCLE PARK DRIVE KNOXVILLE,TN 37966	N/A	501(C)(3)	UNRESTRICTED GRANT	25,000
FRIENDS OF LITERACY 101 EAST FIFTH AVENUE KNOXVILLE,TN 37917	N/A	501(C)(3)	UNRESTRICTED GRANT	6,000
FRIENDS OF YOUNG WILLIAMS ANIMAL CENTER PO BOX 550 KNOXVILLE,TN 37901	N/A	501(C)(3)	DONATION FOR OPERATING EXPENSES	200,000
FRIENDS OF THE GREAT SMOKY MTNS NATIONAL PARK PO BOX 1660 KODAK,TN 37764	N/A	501(C)(3)	TRAILS FOREVER ENDOWMENT MATCHING FUNDS	300,000
HABITAT FOR HUMANITY-KNOXVILLE 1501 WASHINGTON AVENUE KNOXVILLE,TN 37917	N/A	501(C)(3)	UNRESTRICTED GRANT	30,000
HORSE HAVEN OF TENNESSEE 2417 REAGAN ROAD KNOXVILLE,TN 37931	N/A	501(C)(3)	UNRESTRICTED GRANT	56,000
FISH HOSPITALITY PANTRIES INC 600 SOUTH CHESTNUT STREET KNOXVILLE,TN 37914	N/A	501(C)(3)	UNRESTRICTED GRANT	90,000
INTERFAITH HEALTH CLINIC 315 GILL AVENUE KNOXVILLE,TN 37917	N/A	501(C)(3)	UNRESTRICTED GRANT	35,000
KNOXVILLE MUSEUM OF ART 1050 WORLDS FAIR PARK DRIVE KNOXVILLE,TN 37916	N/A	501(C)(3)	UNRESTRICTED GRANT	25,000
KNOXVILLE OPERA COMPANY 612 EAST DEPOT AVE KNOXVILLE,TN 37917	N/A	501(C)(3)	UNRESTRICTED GRANT	25,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KNOXVILLE SYMPHONY SOCIETY INC 100 S GAY STREET 302 KNOXVILLE,TN 37902	N/A	501(C)(3)	UNRESTRICTED GRANT	25,000
KNOXVILLE-KNOX COUNTY OFFICE ON AGING 2247 WESTERN AVENUE KNOXVILLE KNOXVILLE,TN 37921	N/A	501(C)(3)	UNRESTRICTED GRANT	80,000
LESLIE COUNTY HUMANE SOCIETY PO BOX 635 ESSIE,KY 41775	N/A	501(C)(3)	UNRESTRICTED GRANT	5,000
OLD GRAY CEMETERY 543 N BROADWAY KNOXVILLE,TN 37917	N/A	501(C)(3)	UNRESTRICTED GRANT	5,000
PEOPLE PROMOTING ANIMAL WELFARE PO BOX 68 GREENBACK,TN 37742	N/A	501(C)(3)	UNRESTRICTED GRANT	5,000
PLANNED PARENTHOOD OF E TN 710 NORTH CHERRY ST KNOXVILLE,TN 37914	N/A	501(C)(3)	UNRESTRICTED GRANT	25,000
PROGRAM FOR ANIMAL WELFARE SERVICES PO BOX 68 GREENBACK,TN 37742	N/A	501(C)(3)	UNRESTRICTED GRANT	5,000
SHANGRI-LA THERAPEUTIC ACADEMY OF RIDING 11800 HIGHWAY 11E LENOIR CITY,TN 37772	N/A	501(C)(3)	UNRESTRICTED GRANT	20,000
SPAYNEUTER ASSISTANCE FOR PETS INC PO BOX 1277 LOUISA,VA 23093	N/A	501(C)(3)	UNRESTRICTED GRANT	5,000
THE ELEPHANT SANCTUARY 270 EAST MAIN STREET HOHENWALD,TN 38462	N/A	501(C)(3)	UNRESTRICTED GRANT	10,000
THE SALVATION ARMY 409 N BROADWAY KNOXVILLE,TN 37917	N/A	501(C)(3)	UNRESTRICTED GRANT	20,000
TIGER HAVEN INC 237 HARVEY ROAD KINGSTON,TN 37763	N/A	501(C)(3)	UNRESTRICTED GRANT	27,000
UNION COUNTY HUMANE SOCIETY 719 HICKORY STAR ROAD MAYNARDVILLE MAYNARDVILLE,TN 37807	N/A	501(C)(3)	UNRESTRICTED GRANT	5,000
UT GRADUATE SCHOOL OF MEDICINE 1924 ALCOA HIGHWAY KNOXVILLE,TN 37920	N/A	501(C)(3)	UNRESTRICTED GRANT	45,000
VOLUNTEER MINISTRY CENTER 511 N BROADWAY STREET KNOXVILLE,TN 37917	N/A	501(C)(3)	UNRESTRICTED GRANT	15,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOUNG-WILLIAMS ANIMAL CENTER 3201 DIVISION STREET KNOXVILLE,TN 37919	N/A	501(C)(3)	SPAY/NEUTER MOBILE	517,224
FRIENDS OF TENNESSEE'S BABIES PO BOX 544 ALCOA,TN 37701	N/A	501(C)(3)	UNRESTRICTED GRANT	10,000
GOODWILL INDUSTRIES KNOXVILLE INC 5307 KINGSTON PIKE KNOXVILLE,TN 37919	N/A	501(C)(3)	UNRESTRICTED GRANT	10,000
JOHN R HAMIL ANIMAL WELLNESS FDN PO BOX 6379 MARYVILLE,TN 37802	N/A	501(C)(3)	UNRESTRICTED GRANT	3,500
LEARNING ALLY 205 BADGER AVE OAK RIDGE,TN 37830	N/A	501(C)(3)	UNRESTRICTED GRANT	7,500
LEGAL AID OF EAST TENNESSEE 502 S GAY ST SUITE 404 KNOXVILLE,TN 37902	N/A	501(C)(3)	UNRESTRICTED GRANT	10,000
MABRY HAZEN HOUSE 1711 DANDRIDGE AVE KNOXVILLE,TN 37915	N/A	501(C)(3)	UNRESTRICTED GRANT	10,000
MARBLE SPRINGS STATE HISTORIC FARMSTEAD PO BOX 20195 KNOXVILLE,TN 37940	N/A	501(C)(3)	UNRESTRICTED GRANT	10,000
RAMSEY HOUSE PLANTATION 2614 THORNGROVE PIKE KNOXVILLE,TN 37914	N/A	501(C)(3)	UNRESTRICTED GRANT	10,000
SAVING LITTLE HEARTS 5629 BARINEAU LN KNOXVILLE,TN 37920	N/A	501(C)(3)	UNRESTRICTED GRANT	15,000
TENNESSEE CLEAN WATER NETWORK 625 MARKET S KNOXVILLE,TN 37902	N/A	501(C)(3)	UNRESTRICTED GRANT	100,000
UT VETERINARY HOSPITAL 2407 RIVER DR KNOXVILLE,TN 37996	N/A	501(C)(3)	UNRESTRICTED GRANT	25,000
VESTAL BOYS & GIRLS CLUB 522 MARYVILLE PIKE KNOXVILLE,TN 37920	N/A	501(C)(3)	UNRESTRICTED GRANT	10,000
YMCA OF EAST TENNESSEE 136 FOX RD KNOXVILLE,TN 37922	N/A	501(C)(3)	UNRESTRICTED GRANT	200,000
Total  3a				2,407,357

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions)
11 Other revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a FAIRWAY R A F I LP ORDINARY INCOME	525990	-19,713	14	61,968	
b RCP FUND V LP ORDINARY INCOME	525990	27,170	14	-21,376	
c GT OFFSHORE FUND ORDINARY INCOME			14	49,553	
d LAZARD EMERGING I ORDINARY INCOME			14	173,945	
e MAJESTIC OFFSHORE ORDINARY INCOME			14	70,143	
f FAIRWAY R A F I LP ROYALTIES			14	38,169	
g FAIRWAY R A F I LP OTHER INCOME			14	648	
h RCP FUND V LP OTHER INCOME			14	2,861	
i RCP FUND V LP ROYALTIES			14	1	
j ENERGY TR PARTNERS ORDINARY INCOME		-91	14	0	
k LITIGATIONS SETTLEMENTS			01	730	
l OTHER REVENUE			01	11,759	

TY 2012 Accounting Fees Schedule

Name: THE ASLAN FOUNDATION

EIN: 62-1520208

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	15,800	11,850		3,950

**TY 2012 Investments Corporate
Bonds Schedule****Name:** THE ASLAN FOUNDATION**EIN:** 62-1520208

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SCHWAB #5051	10,654,611	11,092,666

**TY 2012 Investments Corporate
Stock Schedule****Name:** THE ASLAN FOUNDATION**EIN:** 62-1520208

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SCHWAB #4350 ALTERNATIVES	6,757,876	7,371,700
SCHWAB #4350 MUTUALS	29,556,155	32,070,147
SCHWAB #5051	31,187,946	30,840,314
SCHWAB #6419	2,168,082	2,087,725
SUNOCO INC	0	0
ENERGY TR. PARTNERS	2,035	2,035

TY 2012 Investments Government Obligations Schedule

Name: THE ASLAN FOUNDATION

EIN: 62-1520208

**US Government Securities - End of
Year Book Value:**

1,841,092

**US Government Securities - End of
Year Fair Market Value:**

1,954,269

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2012 Other Expenses Schedule

Name: THE ASLAN FOUNDATION

EIN: 62-1520208

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE EXPENSE	18,864	0		18,864
INSURANCE	4,579	1,145		3,434
ORDINARY INCOME DEDUCTION	1,129	1,129		0
ROYALTIES DEDUCTION - FAIRWAY	10,988	10,988		0
PORTFOLIO EXPENSE - FAIRWAY	12,971	12,971		0
PORTFOLIO EXPENSE - RCP FUND	41,211	41,211		0
PROPERTY UPKEEP	569,519	0		569,519
SEC 59(E)(2) DEDUCTION - FAIRWAY	26,129	26,129		0
SEC 59(E)(2) DEDUCTION - RCP	105	105		0
INVESTMENT EXPENSE - ENERGY TR P	2	2		0
CONTRACT SERVICES	65,080	16,270		48,810
NON-DEDUCTIBLES	-949	-949		0
OTHER EXPENSE	86	86		0

TY 2012 Other Income Schedule**Name:** THE ASLAN FOUNDATION**EIN:** 62-1520208

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FAIRWAY R A F I LP ORDINARY INCOME	42,255	61,968	42,255
RCP FUND V LP ORDINARY INCOME	5,794	-21,376	5,794
GT OFFSHORE FUND ORDINARY INCOME	49,553	49,553	49,553
LAZARD EMERGING I ORDINARY INCOME	173,945	173,945	173,945
MAJESTIC OFFSHORE ORDINARY INCOME	70,143	70,143	70,143
FAIRWAY R A F I LP ROYALTIES	38,169	38,169	38,169
FAIRWAY R A F I LP OTHER INCOME	648	648	648
RCP FUND V LP OTHER INCOME	2,861	2,861	2,861
RCP FUND V LP ROYALTIES	1	1	1
ENERGY TR PARTNERS ORDINARY INCOME	-91	0	-91
LITIGATIONS SETTLEMENTS	730	730	730
OTHER REVENUE	11,759	11,759	11,759

TY 2012 Other Professional Fees Schedule**Name:** THE ASLAN FOUNDATION**EIN:** 62-1520208

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES	238,371	238,371		0
PAYROLL SERVICES	1,800	90		1,710
BANK FEES	70	53		18

TY 2012 Taxes Schedule**Name:** THE ASLAN FOUNDATION**EIN:** 62-1520208

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TN SECRETARY OF STATE	20	0		20
FOREIGN TAXES	5,247	5,247		0
TN DEPARTMENT OF REVENUE	6,616	6,616		0
PROPERTY TAX - OFFICE	209	0		209
PAYROLL TAX	6,992	1,748		5,244