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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation THE MICK FOUNDATION		A Employer identification number 62-1491417
Number and street (or P O box number if mail is not delivered to street address) 9230 OLD SMYRNA ROAD	Room/suite	B Telephone number 615-373-2470
City or town, state, and ZIP code BRENTWOOD, TN 37027		C If exemption application is pending check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,800,886.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		82.	82.		STATEMENT 1
4 Dividends and interest from securities		74,172.	74,172.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		101,889.			
b Gross sales price for all assets on line 6a		999,902.			
7 Capital gain net income (from Part IV, line 2)			101,889.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		176,143.	176,143.		
13 Compensation of officers, directors, trustees, etc		70,000.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		2,200.	0.		0.
c Other professional fees STMT 4		11,801.	11,801.		0.
17 Interest		773.	0.		0.
18 Taxes STMT 5		6,543.	1,174.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		911.	0.		0.
24 Total operating and administrative expenses Add lines 13 through 23		92,228.	12,975.		0.
25 Contributions, gifts, grants paid		246,000.			246,000.
26 Total expenses and disbursements Add lines 24 and 25		338,228.	12,975.		246,000.
27 Subtract line 26 from line 12		-162,085.			
a Excess of revenue over expenses and disbursements			163,168.		
b Net investment income (if negative enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end of year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	188,525.	184,661.	184,661.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	1,613,326.	1,625,301.	1,924,902.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	1,764,158.	1,559,838.	1,691,323.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	3,566,009.	3,369,800.	3,800,886.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 10)	26,374.	0.	
	23 Total liabilities (add lines 17 through 22)	26,374.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	3,539,635.	3,369,800.		
30 Total net assets or fund balances	3,539,635.	3,369,800.		
31 Total liabilities and net assets/fund balances	3,566,009.	3,369,800.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,539,635.
2 Enter amount from Part I, line 27a	2	-162,085.
3 Other increases not included in line 2 (itemize) ▶ ACCRUED INCOME TIMING DIFFERENCE	3	937.
4 Add lines 1, 2, and 3	4	3,378,487.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	8,687.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,369,800.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	999,902.	898,013.	101,889.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			101,889.

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	101,889.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	192,243.	4,023,645.	.047778
2010	167,922.	3,923,575.	.042798
2009	74,800.	3,602,288.	.020765
2008	97,280.	4,290,677.	.022672
2007	254,293.	5,215,249.	.048760

2	Total of line 1, column (d)	2	.182773
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.036555
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	3,884,720.
5	Multiply line 4 by line 3	5	142,006.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,632.
7	Add lines 5 and 6	7	143,638.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	246,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,632.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,632.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	1,632.
6 Credits/Payments.			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,303.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,303.	
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	671.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax	11	0.	

Part VII A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) TN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.MICKFOUNDATION.COM</u>	13	X	
14	The books are in care of ► <u>JOHN MICK</u> Located at ► <u>9230 OLD SMYRNA RD., BRENTWOOD, TN</u> Telephone no ► <u>(615) 373-2470</u> ZIP+4 ► <u>37027</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROGER E MICK 9230 OLD SMYRNA ROAD BRENTWOOD, TN 37027	DIR/PRESIDENT 1.00	0.	0.	0.
BARBARA D MICK 9230 OLD SMYRNA ROAD BRENTWOOD, TN 37027	DIR/SECRETARY 1.00	0.	0.	0.
JOHN R MICK 9230 OLD SMYRNA ROAD BRENTWOOD, TN 37027	DIR/VICE PRESIDENT 40.00	70,000.	0.	0.
JENNIFER MICK MCKENZIE 9230 OLD SMYRNA ROAD BRENTWOOD, TN 37027	DIR/VICE PRESIDENT 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
	0.
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,906,008.
b	Average of monthly cash balances	1b	37,870.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,943,878.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,943,878.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	59,158.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,884,720.
6	Minimum investment return. Enter 5% of line 5	6	194,236.

Part XII Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	194,236.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,632.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,632.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	192,604.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	192,604.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	192,604.

Part XIII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	246,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	246,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,632.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	244,368.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				192,604.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			180,857.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 246,000.				
a Applied to 2011, but not more than line 2a			180,857.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				65,143.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				127,461.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ROGER E MICK

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

JOHN MICK, 615-373-2470
9230 OLD SMYRNA RD., BRENTWOOD, TN 37027

- b The form in which applications should be submitted and information and materials they should include:

N/A

- c Any submission deadlines.

N/A

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

THE FOUNDATION ONLY MAKES CONTRIBUTIONS TO CHARITABLE ORGANIZATIONS THAT QUALIFY UNDER IRC SECTION 501(C)(3) OR AS PUBLIC CHARITIES.

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT NASHVILLE, TN	NO RELATIONSHIP	PUBLIC CHARITY	CHARITABLE	246,000.
Total			3a	246,000.
b Approved for future payment				
NONE				
Total			3b	0.

THE MICK FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
a	JP MORGAN - SEE ATTACHED STATEMENT	P		
b	JP MORGAN - SEE ATTACHED STATEMENT	P		
c	JP MORGAN - CAPITAL GAIN DISTRIBUTION	P		
d	DB POWERSHARES	P		
e	DB POWERSHARES	P		
f	DB POWERSHARES	P		
g	SPDR GOLD TRUST - RETURN OF CAPITAL	P		
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	172,693.	160,075.	12,618.
b	802,530.	735,518.	67,012.
c	21,052.		21,052.
d	156.		156.
e		2,103.	-2,103.
f	3,154.		3,154.
g	317.	317.	0.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			12,618.
b			67,012.
c			21,052.
d			156.
e			-2,103.
f			3,154.
g			0.
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	101,889.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

The Mick Foundation

EIN: 62-1491417

12/31/2012

ATTACHMENT: Foundation Grants Paid

Name	Purpose	Amount	City and State
Brentwood Library Foundation	CHARITABLE	5,000	Brentwood, TN
Concert for a Cure	CHARITABLE	5,000	Nashville, TN
Crieve Hall	CHARITABLE	2,500	Nashville, TN
East Kentucky Tornado Fund	CHARITABLE	5,000	Lexington, KY
Franklin Road Academy	CHARITABLE	135,000	Nashville, TN
Harding Academy	CHARITABLE	10,000	Nashville, TN
Hope Clinic	CHARITABLE	5,000	Nashville, TN
Interfaith Dental Clinic	CHARITABLE	5,000	Nashville, TN
Junior Achievement	CHARITABLE	10,000	Nashville, TN
Lighthouse Christian School	CHARITABLE	10,000	Nashville, TN
Lipscomb University	CHARITABLE	1,500	Nashville, TN
March of Dimes	CHARITABLE	10,000	Nashville, TN
St. George's Episcopal Church	CHARITABLE	2,000	Nashville, TN
STARS	CHARITABLE	2,500	Nashville, TN
Tennessee Gold Foundation	CHARITABLE	10,000	Nashville, TN
The Kyle Hutchison Foundation	CHARITABLE	2,500	Nashville, TN
University of Kentucky	CHARITABLE	25,000	Lexington, KY

Total Grants paid for 2012

246,000



THE MICK FOUNDATION ACCT. A22677008
For the Period 12/1/12 to 12/31/12

Note P indicates position adjusted for Pending Trade Activity.

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div	Yield
US Large Cap Equity							
ACE LTD NEW H0023R-10-5 ACE	79.80	77,000	6,144.60	4,535.25	1,609.35	150.92	2.46 %
ADOBE SYSTEMS INC 00724F-10-1 ADBE	37.68	51,000	1,921.68	1,670.98	250.70		
ALLERGAN INC 018490-10-2 AGN	91.73	35,000	3,210.55	3,026.60	183.95	7.00	0.22 %
AMAZON COM INC 023135-10-6 AMZN	250.87	28,000	7,024.36	3,213.91	3,810.45		
AMERICAN EXPRESS CO 025816-10-9 AXP	57.48	34,000	1,954.32	1,903.17	51.15	27.20	1.39 %
ANADARKO PETROLEUM CORP 032511-10-7 APC	74.31	54,000	4,012.74	3,984.67	28.07	19.44	0.48 %
APPLE INC 037833-10-0 AAPL	532.17	42,000	22,351.27	5,773.34	16,577.93	445.20	1.99 %
ASML HOLDING N.V. N07059-21-0 ASML	64.39	47,000	3,026.33	3,278.93	(252.60)	31.63	1.05 %
AUTOZONE INC 053332-10-2 AZO	354.43	9,000	3,189.87	2,838.29	351.58		
BAIDU INC SPONS ADR 056752-10-8 BIDU	100.29	21,000	2,106.09	2,079.60	26.49		
BANK OF AMERICA CORP 060505-10-4 BAC	11.61	557,000	6,466.77	8,184.97	(1,718.20)	22.28	0.34 %

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THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
BARC BREN SPX 03/13/13 10%BUFFER-2 XLEV- 6 25%CAP 12.5%MAXRTRN INITIAL LEVEL-02/24/12 SPX 1365.74 06738K-S9-3	106.70	50,000.000	53,350.00	50,000.00	3,350.00		
BIOGEN IDEC INC 09062X-10-3 B1IB	146.37	39,000	5,708.43	2,361.63	3,346.80		
BROADCOM CORP CL A 111320-10-7 BRCM	33.21	97,000	3,221.37	3,236.41	(15.04)	38.80	1.20%
CAMERON INTERNATIONAL CORPORATION 13342B-10-5 CAM	56.46	52,000	2,935.92	2,822.07	113.85		
CARDINAL HEALTH INC 14149Y-10-8 CAH	41.18	100,000	4,118.00	3,291.24	826.76	110.00	2.67%
CAREFUSION CORPORATION 14170T-10-1 CFN	28.58	110,000	3,143.80	2,896.57	247.23	27.50	
CBS CORP CLASS B W/I 124857-20-2 CBS	38.05	90,000	3,424.50	3,223.04	201.46	43.20	1.26%
CELGENE CORPORATION 151020-10-4 CELG	78.47	55,000	4,315.85	2,738.62	1,577.23	10.80	
CERNER CORP 156782-10-4 CERN	77.51	21,000	1,627.71	1,663.55	(35.84)		
CHENIERE ENERGY INC 16411R-20-8 LNG	18.78	117,000	2,197.26	1,890.23	307.03		
CISCO SYSTEMS INC 17275R-10-2 CSCO	19.65	348,000	6,837.85	4,024.40	2,813.45	194.88	2.85%

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THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
US Large Cap Equity							
CITIGROUP INC NEW 172967-42-4 C	39.56	176.000	6,962.56	6,278.22	684.34	7.04	0.10%
CITRIX SYSTEMS INC 177376-10-0 CTXS	65.62	36.000	2,362.32	2,154.51	207.81		
CME GROUP INC CLASS A COMMON STOCK 12572Q-10-5 CME	50.67	87.000	4,408.29	4,877.96	(469.67)	156.60	3.55%
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A 192446-10-2 CTSH	73.88	61.000	4,506.80	3,183.85	1,322.95		
COMCAST CORP CL A 20030N-10-1 CMCS A	37.36	191.000	7,135.76	4,162.88	2,972.88	124.15 31.04	1.74%
COSTCO WHOLESALE CORP 22160K-10-5 COST	98.73	20.000	1,974.60	1,821.78	152.82	22.00	1.11%
COVIDIEN PLC NEW G2554F-11-3 COV	57.74	72.000	4,157.28	2,999.52	1,157.76	74.88	1.80%
CSX CORP 126408-10-3 CSX	19.73	138.000	2,722.74	2,946.87	(224.13)	77.28	2.84%
DAVITA HEALTHCARE PARTNERS, INC 23918K-10-8 DVA	110.53	25.000	2,763.25	2,769.27	(6.02)		
DISH NETWORK CORP CL A 25470M-10-9 DISH	36.40	35.000	1,274.00	1,231.65	42.35		
DOW CHEMICAL CO 260543-10-3 DOW	32.33	77.000	2,489.33	2,347.41	141.92	98.56	3.96%

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THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
US Large Cap Equity							
EMERSON ELECTRIC CO 291011-10-4 EMR	52.96	106,000	5,613.76	5,448.75	165.01	173.84	3.10%
ENSCO PLC G3157S-10-6 ESV	59.28	63,000	3,734.64	3,055.39	679.25	94.50	2.53%
EOG RESOURCES INC 26875P-10-1 EOG	120.79	34,000	4,106.86	2,961.79	1,145.07	23.12	0.56%
EXXON MOBIL CORP 30231G-10-2 XOM	86.55	105,000	9,087.75	2,900.61	6,187.14	239.40	2.63%
FLUOR CORP 343412-10-2 FLR	58.74	79,000	4,640.46	4,035.50	604.96	50.56	1.09%
FREEPORT-MCMORAN COPPER & GOLD INC CL B 35671D-85-7 FCX	34.20	65,000	2,223.00	1,861.30	361.70	81.25	3.65%
GENERAL MILLS INC 370334-10-4 GIS	40.42	122,000	4,931.24	4,074.71	856.53	161.04	3.27%
GENERAL MOTORS CO 37045V-10-0 GM	28.83	201,000	5,794.83	6,045.24	(250.41)		
GOLDMAN SACHS GROUP INC 38141G-10-4 GS	127.56	39,000	4,974.84	3,727.52	1,247.32	78.00	1.57%
GOOGLE INC CL A 38259P-50-8 GOOG	707.38	10,000	7,073.80	6,153.44	920.36		
GS BREN SPX 05/08/13 10% BUFFER-2 XLEV-6.1% CAP 12 2% MAXRTRN INITIAL LEVEL-04/20/12 SPX.1378.53 38143U-2J-9	105.54	30,000,000	31,660.50	30,000.00	1,660.50		

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THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
GS CONT BUFF EQ SPX 12/14 80% CONTIN BARRIER- 3.65%CPN 15% CAP INITIAL LEVEL-12/14/12 SPX 1413.58 38141G-KW-3	100.27	75,000.000	75,205.50	75,000.00	205.50		
HOME DEPOT INC 437076-10-2 HD	61.85	87,000	5,380.95	3,517.77	1,863.18	100.92	1.88%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	63.47	95,000	6,029.65	4,198.76	1,830.89	155.80	2.58%
HUMANA INC 444859-10-2 HUM	68.63	40,000	2,745.20	3,019.09	(273.89)	41.60 10.40	1.52%
INVESCO LTD G491BT-10-8 IVZ	26.09	159,000	4,148.31	3,269.72	878.59	109.71	2.64%
JOHNSON & JOHNSON 478160-10-4 JNJ	70.10	61,000	4,276.10	4,403.75	(127.65)	148.84	3.48%
JOHNSON CONTROLS INC 478366-10-7 JCI	30.67	156,000	4,784.52	2,046.64	2,737.88	118.56	2.48%
JPM INTREPID AMERICA FD - SEL FUND 1206 4812A2-10-8 JPIA X	26.09	6,004.439	156,655.81	145,973.60	10,682.21	2,972.19	1.90%
JPM INTREPID VALUE FD - SEL FUND 1136 4812A2-30-6 JPIV X	25.97	3,454.901	89,723.78	84,000.00	5,723.78	1,554.70	1.73%
JPM LARGE CAP GROWTH FD - SEL FUND 3118 4812CO-53-0 SEEG X	23.95	3,708.855	88,827.08	80,000.00	8,827.08	389.42 19.95	0.44%
JUNIPER NETWORKS INC 48203R-10-4 JNPR	19.67	116,000	2,281.72	2,158.36	123.36		

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THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div.	Yield
US Large Cap Equity							
KINDER MORGAN INC 49456B-10-1 KMI	35.33	60.000	2,119.80	1,868.18	251.62	86.40	4.08%
LENNAR CORP 526057-10-4 LEN	38.67	90.000	3,480.30	1,354.28	2,126.02	14.40	0.41%
LINKEDIN CORP - A 53578A-10-8 LNKD	114.82	19.000	2,181.58	1,881.31	300.27		
LOWES COMPANIES INC 548661-10-7 LOW	35.52	171.000	6,073.92	3,569.79	2,504.13	109.44	1.80%
MASCO CORP 574599-10-6 MAS	16.66	210.000	3,498.60	2,877.80	620.80	63.00	1.80%
MASTERCARD INC - CLASS A 57636Q-10-4 MA	491.28	9.000	4,421.52	2,528.77	1,892.75	10.80	0.24%
MERCK AND CO INC 58933Y-10-5 MRK	40.94	200.000	8,188.00	6,376.23	1,811.77	344.00 86.00	4.20%
METLIFE INC 59156R-10-8 MET	32.94	170.000	5,599.80	6,041.58	(441.78)	125.80	2.25%
MICROSOFT CORP 594918-10-4 MSFT	26.71	498.000	13,301.58	11,856.09	1,445.49	458.16	3.44%
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	25.45	175.000	4,454.28	4,100.28	354.00	91.00 22.75	2.04%
NETAPP INC 64110D-10-4 NTAP	33.55	82.000	2,751.10	3,037.21	(286.11)		
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	76.61	96.000	7,354.56	6,926.18	428.38	207.36	2.82%
ORACLE CORP 68389X-10-5 ORCL	33.32	258.000	8,596.56	6,216.62	2,379.94	61.92	0.72%

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THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
US Large Cap Equity							
PACCAR INC 693718-10-8 PCAR	45.21	121.000	5,470.41	4,533.02	937.39	96.80	1.77%
PENTAIR LTD SHS H6169Q-10-8 PNR	49.15	22.000	1,081.30	695.91	385.39	19.36	1.79%
PEPSICO INC 713448-10-8 PEP	68.43	52.000	3,558.36	3,758.01	(199.65)	111.80 27.95	3.14%
P FIZER INC 717081-10-3 PFE	25.08	387.000	9,705.57	5,888.20	3,817.37	371.52	3.83%
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	83.64	60.000	5,018.40	4,978.00	40.40	204.00 51.00	4.07%
PHILLIPS 66 718546-10-4 PSX	53.10	72.000	3,823.20	3,504.97	318.23	72.00	1.88%
PIONEER NATURAL RESOURCES CO 723787-10-7 PXD	106.59	25.000	2,664.75	2,181.40	483.35	2.00	0.08%
PROCTER & GAMBLE CO 742718-10-9 PG	67.89	105.000	7,128.45	2,266.07	4,862.38	236.04	3.31%
PRUDENTIAL FINANCIAL INC 744320-10-2 PRU	53.33	81.000	4,319.73	3,336.05	983.68	129.60	3.00%
QUALCOMM INC 747525-10-3 QCOM	61.86	138.000	8,536.68	4,566.85	3,969.83	138.00	1.62%
SCHLUMBERGER LTD 806857-10-8 SLB	69.30	108.000	7,484.29	8,901.94	(1,417.65)	118.80 29.70	1.59%
TARGET CORP 87612E-10-6 TGT	59.17	65.000	3,846.05	4,167.14	(321.09)	93.60	2.43%
TD AMERITRADE HOLDING CORPORATION 87236Y-10-8 AMTD	16.81	91.000	1,529.71	1,411.54	118.17	32.76	2.14%

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THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div	Yield
US Large Cap Equity							
TIME WARNER INC NEW 887317-30-3 TWX	47.83	251,000	12,005.33	6,804.15	5,201.18	261.04	2.17%
TIME WARNER CABLE INC 88732J-20-7 TWC	97.19	23,000	2,235.37	1,945.00	290.37	51.52	2.30%
TYCO INTERNATIONAL LTD H89128-10-4 TYC	29.25	94,000	2,749.50	1,945.65	803.85	56.40	2.05%
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	54.24	85,000	4,610.40	3,718.98	891.42	72.25	1.57%
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	82.01	123,000	10,087.23	7,587.44	2,499.79	263.22	2.61%
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	43.27	130,000	5,625.10	4,147.71	1,477.39	267.80	4.76%
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	41.90	45,000	1,885.50	1,411.27	474.23		
WALTER ENERGY INC 93317Q-10-5 WLT	35.88	59,000	2,116.92	3,313.03	(1,196.11)	29.50	1.39%
WELLS FARGO & CO 949746-10-1 WFC	34.18	385,000	13,159.30	10,220.92	2,938.38	338.80	2.57%
WILLIAMS COMPANIES INC 969457-10-0 WMB	32.74	79,000	2,586.46	2,030.53	555.93	102.70	3.97%
YUM BRANDS INC 988498-10-1 YUM	66.40	86,000	5,710.40	3,056.50	2,653.90	115.24	2.02%
Total US Large Cap Equity			\$915,906.51	\$786,267.93	\$129,638.58	\$12,901.54 \$317.09	1.41%

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THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div.	Yield
US Mid Cap Equity							
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	101.70	1,085,000	110,344.50	94,740.44	15,604.06	1,574.33	1.43%
JPM MARKET EXPANSION INDEX FD - SEL FUND 3708 4812C1-63-7 PGMI X	10.61	10,124.611	107,422.12	65,000.00	42,422.12	1,184.57	1.10%
Total US Mid Cap Equity			\$217,766.62	\$159,740.44	\$58,026.18	\$2,758.90	1.27%
EAFE Equity							
ARTISAN INTL VALUE FUND - INV 04314H-88-1 ARTK X	30.38	1,364.256	41,446.10	40,000.00	1,446.10		
HSBC BREN EAFE 07/03/13 10%BUFFER- 11 4%CAP 22.8%MAXRTRN INITIAL LEVEL-06/15/12 SX5E-2181.23 UKX 5478 81 TPX.726 57 4042K1-T4-5	113.14	40,000.000	45,256.00	40,000.00	5,256.00		
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	56.86	537.000	30,533.82	25,221.76	5,312.06	944.58	3.09%
JPM BREN EAFE 05/15/13 10%BUFFER-2 XLEV- 10.10%CAP 20 20%MAXRTRN INITIAL LEVEL-04/27/12 SX5E 2344.02 UKX-5777 11 TPX 59.64 48125V-WK-2	108.66	50,000.000	54,330.00	50,000.00	4,330.00		
JPM INTL VALUE FD - SEL FUND 1296 4812A0-56-5 JIES X	12.86	12,933.408	166,323.63	118,728.51	47,595.12	4,099.89	2.47%

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THE MICK FOUNDATION ACCT. A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
EAFE Equity							
MANNING & NAPIER FUND INC WORLD OPPORTUNITIES SERIES FUND 563821-54-5 EXWA X	7.75	18,499.499	143,371.04	145,000.00	(1,628.96)	2,238.43	1.56%
Total EAFE Equity			\$481,260.59	\$418,950.27	\$62,310.32	\$7,282.90	1.51%
European Large Cap Equity							
DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7.6%CPN , UNCAPPED INITIAL LEVEL-09/14/12 SX5E-2594 56 2515A1-LR-0	101.74	40,000.000	40,696.40	40,000.00	696.40		
Asia ex-Japan Equity							
MATTHEWS PACIFIC TIGER INSTL FUND 577130-83-4 MIPT X	24.41	4,801.157	117,196.24	74,287.08	42,909.16	969.83	0.83%
T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND 77956H-50-0 PRAS X	16.81	4,930.163	82,876.04	85,000.00	(2,123.96)	788.82	0.95%
Total Asia ex-Japan Equity			\$200,072.28	\$159,287.08	\$40,785.20	\$1,758.65	0.88%

J.P.Morgan



THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/12 to 12/31/12

		Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
Emerging Market Equity								
VANGUARD MSCI EMERGING MARKETS ETF		44.53	1,554,000	69,199.62	61,055.42	8,144.20	1,515.15	2.19%
922042-85-8 VWO								



Alternative Assets as a percentage of your portfolio - 9 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
EATON VANCE MUT FDS TR GB MACRO ABSOLUTE RTN I 277923-72-8 EIGM X	9.83	2,403 846	23,629 81	24,485 99

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THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
JPM RESEARCH MARKET NEUTRAL FD - SEL 48121A-71-2 JMNS X	14.93	5,508 749	82,245 62	85,000.00
Total Hedge Funds			\$105,875.43	\$109,485.99

	Quantity/Original Commitment Amount	Cost/Net Capital Called Since Inception	Net Distributions Since Inception	Estimated Value	Est. Annual Inc Accrued Div.	Yield
Real Estate & Infrastructure						
JPM US REAL ESTATE FD - SEL FUND 3037 4812C0-61-3 SUJE X	4,694.84	80,000.00		74,741 79	1,812.20	2 42%

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THE MICK FOUNDATION ACCT A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Estimated Value	Cost
Hard Assets				
POWERSHARES DB COMMODITY INDEX TRACKING FUND 73935S-10-5 DBC	27.78	3,071,000	85,312.38	85,635
SPDR GOLD TRUST 78463V-10-7 GLD	162.02	495,000	80,199.90	44,828.44
Total Hard Assets			\$165,512.28	130,463.44



THE MICK FOUNDATION ACCT. A22677008
For the Period 12/1/12 to 12/31/12

US Fixed Income

ISHARES BARCLAYS TIPS BOND FUND 464287-17-6	121.41	1,133.00	137,557.53	113,725.61	23,831.92	3,051.16	2.22%
JPM STR INC OPP FD FUND 3844 481244-35-1	11.83	3,903.77	46,181.65	39,271.97	6,909.68	1,631.77 160.05	3.53%
DOUBLELINE FDS TR TTL RTN BD I 258620-10-3	11.33	6,690.46	75,802.86	75,000.00	802.86	4,810.43 377.06	6.35%
EATON VANCE FLOATING RATE I 277911-49-1	9.12	20,672.78	188,535.71	159,800.68	28,735.03	8,372.47 690.92	4.44%

J.P.Morgan



THE MICK FOUNDATION ACCT. A22677008
For the Period 12/1/12 to 12/31/12

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Income Accrued Interest	Yield
US Fixed Income							
JPM HIGH YIELD FD - SEL FUND 3580 4812C0-80-3	8.14	22,752.15	185,202.47	176,267.48	8,934.99	11,853.86 1,183.11	6.40%
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10.99	47,225.23	519,005.26	507,198.95	11,806.31	6,611.53 613.93	1.27%
VANGUARD FI SECS F INTR TRM CP PT FUND 71 922031-88-5	10.32	11,655.01	120,279.72	100,000.00	20,279.72	4,312.35	3.59%
Total US Fixed Income			\$1,272,565.20	\$1,171,264.69	\$101,300.51	\$40,643.57 \$3,025.07	3.19%

Foreign Exchange & Non-USD Fixed Income

JPM INTL CURRENCY INCOME FD 4812A3-29-6	11.27	2,181.99	24,591.04	23,623.25	967.79	569.49	2.32%
DREYFUS LAUREL EMG MKT DEBT LOC C-1 261980-49-4	15.34	3,131.52	48,037.58	45,000.00	3,037.58	670.14	1.40%
Total Foreign Exchange & Non-USD Fixed Income			\$72,628.62	\$68,623.25	\$4,005.37	\$1,239.63	1.71%



THE MICK FOUNDATION

Account Number A 22677-00 8

* Code W indicates Wash Sale

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Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Cusip	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income *
ABBOTT LABORATORIES	ABT 002824100	Various 10/18/12	6 000	395 80	415 91		-20 11
ABBOTT LABORATORIES	ABT 002824100	Various 10/18/12	24 000	1,570 48	1,567 79		2 69
ABBOTT LABORATORIES	ABT 002824100	04/26/12 10/18/12	4 000	262 16	247 63		14 53
ABBOTT LABORATORIES	ABT 002824100	Various 10/18/12	25 000	1,641 61	1,526 28		115 33
ADT CORPORATION	ADT- 00101J106	06/15/12 10/04/12	6 000	231 02	208 63		22 39
ADT CORPORATION	ADT- 00101J106	06/15/12 10/05/12	1 000	38 55	34 77		3 78
ALTERA CORP	ALTR 021441100	Various 10/26/12	52 000	1,577 62	1,992 67		-415 05
ALTERA CORP	ALTR 021441100	Various 12/14/12	33 000	1,085 44	1,250 38		-164 94
ALTERA CORP	ALTR 021441100	Various 12/14/12	21 000	694 87	792 73		-97 86
AMAZON COM INC	AMZN 023135106	Various 04/09/12	8 000	1,536 94	1,613 81		-76 87

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THE MICK FOUNDATION

Account Number: A 22677-00-8

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Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
AMERIPRISE FINANCIAL INC	AMP		02/09/12	22.000	1,012.53	1,191.85		-179.32
	03076C106		06/01/12					
AMERIPRISE FINANCIAL INC	AMP		02/09/12	9.000	410.22	487.58		-77.36
	03076C106		06/04/12					
AMERIPRISE FINANCIAL INC	AMP		02/09/12	9.000	412.00	487.57		-75.57
	03076C106		06/04/12					
ASML HOLDINGS NV			Various	45.000	534.14	605.27		-71.14
	N07ESC895		12/03/12					
AUTOZONE INC	AZO		08/19/11	1.000	367.51	290.30		77.21
	053332102		08/03/12					
BAKER HUGHES INC	BHI		11/10/11	30.000	1,454.07	1,715.53		-261.46
	057224107		02/10/12					
BROADCOM CORP	BRCM		06/14/11	35.000	1,025.68	1,157.05		-131.37
CL A	111320107		01/06/12					
CAMERON INTERNATIONAL CORPORATION	CAM		02/10/12	8.000	360.24	454.55		-94.31
	13342B105		07/18/12					
CAMERON INTERNATIONAL CORPORATION	CAM		02/10/12	7.000	315.09	397.73		-82.64
	13342B105		07/18/12					
CAMPBELL SOUP COMPANY	CPB		07/12/11	10.000	331.13	347.83		-16.70
	134429109		04/12/12					



THE MICK FOUNDATION

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Capital Gain and Loss Schedule

Short-Term Covered

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CAMPBELL SOUP COMPANY	CPB		07/12/11	15.000	496.13	521.74		-25.61
	134429109		04/13/12					
CAPITAL ONE FINANCIAL CORP	COF		12/05/11	5.000	299.94	235.06		64.88
	14040H105		10/22/12					
CAPITAL ONE FINANCIAL CORP	COF		12/05/11	13.000	779.23	611.14		168.09
	14040H105		10/22/12					
CAPITAL ONE FINANCIAL CORP	COF		12/05/11	2.000	119.23	94.02		25.21
	14040H105		10/23/12					
CAPITAL ONE FINANCIAL CORP	COF		12/05/11	3.000	178.68	141.03		37.65
	14040H105		10/23/12					
CAPITAL ONE FINANCIAL CORP	COF		12/05/11	4.000	236.39	188.05		48.34
	14040H105		10/23/12					
CAPITAL ONE FINANCIAL CORP	COF		12/05/11	3.000	178.59	141.03		37.56
	14040H105		10/23/12					
CAPITAL ONE FINANCIAL CORP	COF		12/05/11	1.000	59.43	47.01		12.42
	14040H105		10/23/12					
CAPITAL ONE FINANCIAL CORP	COF		12/05/11	4.000	237.85	188.05		49.80
	14040H105		10/23/12					
CARNIVAL CORPORATION	CCL		08/09/11	5.000	149.02	154.52		-5.50
	143658300		02/28/12					

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THE MICK FOUNDATION

Account Number: A 22677-00-8

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Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
CARNIVAL CORPORATION	CCL 143658300		08/09/11 02/28/12	4 000	119.84	123.62		-3.78
CARNIVAL CORPORATION	CCL 143658300		08/09/11 02/28/12	6 000	179.12	185.42		-6.30
CARNIVAL CORPORATION	CCL 143658300		08/10/11 02/29/12	15.000	453.20	450.62		2.58
CARNIVAL CORPORATION	CCL 143658300		09/07/12 12/12/12	4.000	151.86	147.79		4.07
CARNIVAL CORPORATION	CCL 143658300		09/07/12 12/13/12	4.000	151.48	147.79		3.69
CARNIVAL CORPORATION	CCL 143658300		09/07/12 12/14/12	2 000	75.58	73.89		1.69
CARNIVAL CORPORATION	CCL 143658300		09/07/12 12/17/12	2 000	76.04	73.89		2.15
CARNIVAL CORPORATION	CCL 143658300		09/07/12 12/17/12	3 000	115.63	110.84		4.79
CARNIVAL CORPORATION	CCL 143658300		Various 12/17/12	7 000	266.59	258.53		8.06
CARNIVAL CORPORATION	CCL 143658300		09/26/12 12/17/12	8 000	307.83	295.18		12.65



THE MICK FOUNDATION

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Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
CARNIVAL CORPORATION	CCL 143658300		Various 12/18/12	15 000	583.82	553.03		30.79
CARNIVAL CORPORATION	CCL 143658300		09/10/12 12/18/12	4.000	156.76	147.24		9.52
CARNIVAL CORPORATION	CCL 143658300		09/10/12 12/18/12	3 000	117.25	110.43		6.82
CARNIVAL CORPORATION	CCL 143658300		09/10/12 12/18/12	18.000	706.27	662.59		43.68
CBS CORP	CBS 124857202		10/06/11 09/26/12	25.000	891.14	539.76		351.38
CISCO SYSTEMS INC	CSCO 17275R102		Various 07/11/12	38 000	623.91	681.13		-57.22
CISCO SYSTEMS INC	CSCO 17275R102		09/28/11 07/11/12	1 000	16.35	16.28		07
COCA-COLA CO	KO 191216100		11/08/11 02/02/12	40 000	2,712.04	2,735.50		-23.46
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH 192446102		Various 08/03/12	13 000	747.73	798.19		-50.46
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A	CTSH 192446102		05/07/12 08/03/12	4 000	228.94	233.36		-4.42

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THE MICK FOUNDATION

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Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
COVIDIEN PLC NEW	COV G2554F113		07/27/12 10/26/12	4,000	219.52	219.29		23
COVIDIEN PLC NEW	COV G2554F113		07/27/12 10/31/12	3,000	164.76	164.46		30
COVIDIEN PLC NEW	COV G2554F113		07/27/12 11/01/12	2,000	110.63	109.64		.99
COVIDIEN PLC NEW	COV G2554F113		07/27/12 11/01/12	1,000	55.49	54.82		.67
COVIDIEN PLC NEW	COV G2554F113		07/27/12 11/02/12	4,000	223.69	219.29		4.40
CSX CORP	CSX 126408103		05/29/12 12/04/12	6,000	117.26	129.53		-12.27
CSX CORP	CSX 126408103		05/29/12 12/04/12	26,000	510.37	560.00		-49.63
CSX CORP	CSX 126408103		05/25/12 12/05/12	9,000	178.30	193.25		-14.95
CVS CAREMARK CORPORATION	CVS 126650100		01/09/12 06/22/12	26,000	1,188.18	1,080.90		107.28
CVS CAREMARK CORPORATION	CVS 126650100		01/09/12 08/22/12	6,000	274.50	249.44		25.06



THE MICK FOUNDATION
Account Number, A 22677-00-8

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Capital Gain and Loss Schedule

Short-Term Covered

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CVS CAREMARK CORPORATION	CVS 126650100		01/09/12 06/22/12	8 000	365.83	332.58		33.25
CARDEN RESTAURANTS INC	DRI 237194105		10/05/11 02/21/12	30 000	1,528.93	1,274.09		254.84
DOMINION RESOURCES INC VA	D 25746U109		10/14/11 02/21/12	7 000	351.07	352.77		-1.70
DOMINION RESOURCES INC VA	D 25746U109		10/14/11 02/21/12	33 000	1,655.75	1,663.07		-7.32
EOG RESOURCES INC	EOG 26875P101		Various 11/05/12	3 000	348.74	340.32		8.42
EOG RESOURCES INC	EOG 26875P101		09/07/12 11/05/12	2 000	232.34	225.24		7.10
EQT CORPORATION	EQT 26884L109		Various 07/02/12	13 000	697.61	683.05		14.56
EQT CORPORATION	EQT 26884L109		03/05/12 07/03/12	4 000	218.03	209.82		8.21
EQT CORPORATION	EQT 26884L109		Various 07/03/12	6 000	327.24	313.76		13.48
EQT CORPORATION	EQT 26884L109		02/22/12 07/03/12	3 000	164.32	156.79		7.53

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THE MICK FOUNDATION

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Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
EQT CORPORATION	EQT		Various	2,000	109.53	104.34		5.19
	26884L109		07/05/12					
EQT CORPORATION	EQT		03/05/12	4,000	219.75	208.33		11.42
	26884L109		07/05/12					
EQT CORPORATION	EQT		03/05/12	3,000	161.94	156.24		5.70
	26884L109		07/06/12					
EXXON MOBIL CORP	XOM		01/19/12	11,000	906.87	953.97		-47.10
	30231G102		06/21/12					
EXXON MOBIL CORP	XOM		01/19/12	14,000	1,207.01	1,214.14		-7.13
	30231G102		07/27/12					
EXXON MOBIL CORP	XOM		01/19/12	15,000	1,346.22	1,300.87		45.35
	30231G102		09/07/12					
EXXON MOBIL CORP	XOM		01/19/12	10,000	912.81	867.25		45.56
	30231G102		09/13/12					
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX 35671D857		Various 12/05/12	45,000	1,475.96	2,078.34		-602.38
GENERAL ELECTRIC CO	GE 369604103		09/16/11 06/15/12	170,000	3,366.84	2,765.20		601.64
GLOBAL PAYMENTS INC	GPN 37940X102		02/07/11 01/23/12	30,000	1,441.44	1,464.90		-23.46



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Capital Gain and Loss Schedule

Short-Term Covered

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HUMANA INC	HUM 444859102		10/31/11 07/11/12	2 000	149 11	171 13		-22 02
HUMANA INC	HUM 444859102		10/31/11 07/11/12	1 000	74 53	85 57		-11 04
HUMANA INC	HUM 444859102		10/31/11 07/12/12	4 000	294 73	342 26		-47 53
HUMANA INC	HUM 444859102		10/31/11 07/16/12	1 000	74 61	85 57		-10 96
HUMANA INC	HUM 444859102		10/31/11 07/17/12	1 000	73 62	85 57		-11 95
HUMANA INC	HUM 444859102		10/31/11 07/18/12	1 000	73 26	85 56		-12 30
HUMANA INC	HUM 444859102		10/31/11 07/18/12	1 000	73 27	85 57		-12 30
HUMANA INC	HUM 444859102		10/31/11 07/18/12	1 000	73 20	85 56		-12 36
HUMANA INC	HUM 444859102		10/31/11 07/19/12	1 000	73 86	85 56		-11 70
INTERCONTINENTAL EXCHANGE INC	ICE 45865V100		01/23/12 12/14/12	4 000	512 36	474 04		38 32

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THE MICK FOUNDATION

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Capital Gain and Loss Schedule

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INTERCONTINENTAL EXCHANGE INC	ICE		01/23/12 12/17/12	2,000	255.73	237.02		18.71
	45865V100							
JOHNSON & JOHNSON	JNJ		04/26/11 01/19/12	45,000	2,922.97	2,928.07		-5.10
	478160104							
JOHNSON & JOHNSON	JNJ		Various 01/26/12	40,000	2,627.46	2,419.92		207.54
	478160104							
JOHNSON & JOHNSON	JNJ		07/09/12 07/27/12	34,000	2,354.73	2,308.22		46.51
	478160104							
JUNIPER NETWORKS INC	JNPR		Various 04/23/12	45,000	910.96	1,484.38		-573.42
	48203R104							
LAM RESEARCH CORP	LRCX		01/06/12 11/16/12	7,000	239.88	262.69		-22.81
	512807108							
LAM RESEARCH CORP	LRCX		Various 11/19/12	13,000	451.21	476.78		-25.57
	512807108							
LAM RESEARCH CORP	LRCX		07/06/12 11/19/12	12,000	415.55	423.78		-8.23
	512807108							
LAM RESEARCH CORP	LRCX		07/06/12 11/20/12	6,000	205.32	211.89		-6.57
	512807108							
MARATHON PETROLEUM CORPORATION	MPC-		Various 10/17/12	11,000	611.98	515.70		96.28
	56585A102							



THE MICK FOUNDATION

Account Number: A 22677-00 8

* Code W indicates Wash Sale

L indicates a Nondeductible Loss other than a Wash Sale

-- Ordinary Income O indicates Ordinary Income gain or loss

F indicates Foreign Exchange gain or loss on Capital transactions

Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
MARATHON PETROLEUM CORPORATION	MPC- 56585A102		07/31/12 10/16/12	3.000	165.56	143.44		22.12
MARATHON PETROLEUM CORPORATION	MPC- 56585A102		07/31/12 10/16/12	4.000	220.25	190.91		29.34
MARATHON PETROLEUM CORPORATION	MPC- 56585A102		Various 10/16/12	4.000	221.06	189.58		31.48
MARATHON PETROLEUM CORPORATION	MPC- 56585A102		Various 10/16/12	18.000	985.62	846.91		138.71
MARATHON PETROLEUM CORPORATION	MPC- 56585A102		07/18/12 10/17/12	13.000	723.54	608.78		114.76
MARATHON PETROLEUM CORPORATION	MPC- 56585A102		07/18/12 10/17/12	2.000	111.81	93.66		18.15
MARATHON PETROLEUM CORPORATION	MPC- 56585A102		07/18/12 10/17/12	4.000	220.55	187.32		33.23
MARRIOTT INTERNATIONAL INC CL A	MAR 571903202		02/28/12 07/11/12	25.000	948.42	884.41		64.01
MARRIOTT INTERNATIONAL INC CL A	MAR 571903202		Various 08/13/12	14.000	516.17	492.31		23.86
MARRIOTT INTERNATIONAL INC CL A	MAR 571903202		01/26/12 08/14/12	39.000	1,440.54	1,360.44		80.10

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THE MICK FOUNDATION
Account Number, A 22877-00-8

* Code W indicates Wash Sale
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Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
MERCK AND CO INC	MRK 58933Y105		01/09/12 04/13/12	2 000	75.84	76.46		- 62
MERCK AND CO INC	MRK 58933Y105		01/09/12 04/13/12	2 000	75.70	76.46		- 76
MERCK AND CO INC	MRK 58933Y105		01/09/12 04/13/12	2 000	75.90	76.46		- 56
MERCK AND CO INC	MRK 58933Y105		01/09/12 04/13/12	1,000	37.95	38.23		- 28
MERCK AND CO INC	MRK 58933Y105		01/09/12 04/13/12	3 000	113.84	114.69		- 85
MERCK AND CO INC	MRK 58933Y105		01/09/12 04/16/12	1,000	37.95	38.23		- 28
MERCK AND CO INC	MRK 58933Y105		01/09/12 04/16/12	1,000	37.97	38.23		- 26
MERCK AND CO INC	MRK 58933Y105		01/09/12 04/16/12	3,000	113.90	114.69		- 79
MERCK AND CO INC	MRK 58933Y105		01/09/12 04/16/12	6 000	227.81	229.37		-1.56
MERCK AND CO INC	MRK 58933Y105		01/09/12 04/16/12	2,000	75.97	76.46		-49



THE MICK FOUNDATION
Account Number A 28677-00-8

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- Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Cusip	Code	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
MERCK AND CO INC	MRK 589333Y105		05/17/11 04/16/12	2 000	75 93	74 52		1 41
MERCK AND CO INC	MRK 589333Y105		Various 04/16/12	5 000	189.84	188 23		1 61
MERCK AND CO INC	MRK 589333Y105		05/17/11 04/16/12	4 000	151 87	149 03		2 84
MERCK AND CO INC	MRK 589333Y105		05/17/11 04/16/12	1 000	37 89	37 26		63
MERCK AND CO INC	MRK 589333Y105		05/17/11 04/17/12	4 000	152 21	149 03		3 18
MERCK AND CO INC	MRK 589333Y105		05/17/11 04/17/12	1 000	38 07	37 26		81
MERCK AND CO INC	MRK 589333Y105		05/17/11 04/17/12	11 000	420 57	409 84		10 73
MERCK AND CO INC	MRK 589333Y105		05/17/11 04/17/12	2 000	76 39	74 52		1 87
MERCK AND CO INC	MRK 589333Y105		05/17/11 04/23/12	3 000	114 72	111 77		2 95
MERCK AND CO INC	MRK 589333Y105		05/17/11 04/23/12	1 000	38 21	37 26		95

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THE MICK FOUNDATION

Account Number: A 22677-00-8

* Code W Indicates Wash Sale

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** Ordinary Income O Indicates Ordinary Income gain or loss

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Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
MERCK AND CO INC	MRK 58933Y105		05/17/11 04/24/12	3 000	114.74	111.77		2.97
MERCK AND CO INC	MRK 58933Y105		05/17/11 04/25/12	7 000	267.78	260.81		6.97
MERCK AND CO INC	MRK 58933Y105		05/17/11 04/26/12	1.000	38.30	37.26		1.04
MERCK AND CO INC	MRK 58933Y105		05/17/11 04/26/12	5.000	191.97	186.29		5.68
MERCK AND CO INC	MRK 58933Y105		05/17/11 04/27/12	2.000	77.32	74.52		2.80
MICROS SYSTEMS INC	MCRS 594901100		10/25/11 02/21/12	4 000	205.15	200.72		4.43
MICROS SYSTEMS INC	MCRS 594901100		10/25/11 02/22/12	2.000	101.78	100.36		1.42
MICROS SYSTEMS INC	MCRS 594901100		10/25/11 02/22/12	7.000	357.90	351.25		6.65
MICROS SYSTEMS INC	MCRS 594901100		10/25/11 02/23/12	3 000	154.33	150.54		3.79
MICROS SYSTEMS INC	MCRS 594901100		10/25/11 02/23/12	3.000	153.79	150.54		3.25



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THE MICK FOUNDATION

Account Number A 22877-00-8

Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Custid	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
MONSANTO CO	MON		Various	23.000	1,834.67	1,603.87		230.80
	61166W101		02/07/12					
NEXTERA ENERGY INC	NEE		12/12/11	45 000	2,704.00	2,570.37		133.63
	65339F101		03/16/12					
OCCIDENTAL PETROLEUM CORP	OXY		Various	13 000	1,290.04	1,118.52		171.52
	674599105		02/01/12					
PIONEER NATURAL RESOURCES CO	PXD		04/19/12	6 000	639.52	635.19		4.33
	723787107		11/05/12					
PROCTER & GAMBLE CO	PG		02/01/12	20.000	1,197.93	1,269.51		-71.58
	742718109		06/21/12					
SOUTHWESTERN ENERGY CO	SWN		08/16/11	27.000	878.82	1,065.38		-186.56
	845467109		03/05/12					
SPDR S&P 500 ETF TRUST	SPY		06/01/12	380 000	54,791.01	48,781.93		6,009.08
	78462F103		09/10/12					
TARGET CORP	TGT		Various	24.000	1,392.76	1,140.15		252.61
	87612E106		03/20/12					
TARGET CORP	TGT		06/14/11	16 000	929.79	757.42		172.37
	87612E106		03/21/12					
TARGET CORP	TGT		06/14/11	5.000	288.55	236.70		51.85
	87612E106		03/21/12					

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THE MICK FOUNDATION

Account Number A 22677-00-8

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Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol Custp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
TARGET CORP	TGT		06/14/11	3,000	173.18	142.02		31.16
	87612E106		03/21/12					
TARGET CORP	TGT		06/14/11	7,000	403.43	331.37		72.06
	87612E106		03/22/12					
TEXAS INSTRUMENTS INC	TXN		Various	97,000	2,981.39	3,209.50		-228.11
	882508104		05/07/12					
WALGREEN CO	WAG		06/22/12	67,000	2,336.40	1,998.79		337.61
	931422109		09/07/12					
WILLIAMS COMPANIES INC	WMB		01/04/12	1,000	28.35	26.76		1.59
	969457100		06/22/12					
WILLIAMS COMPANIES INC	WMB		01/04/12	6,000	170.26	160.54		9.72
	969457100		06/22/12					
WILLIAMS COMPANIES INC	WMB		01/04/12	1,000	28.16	26.76		1.40
	969457100		06/22/12					
WILLIAMS COMPANIES INC	WMB		01/04/12	2,000	56.39	53.51		2.88
	969457100		06/22/12					
WILLIAMS COMPANIES INC	WMB		01/04/12	2,000	56.59	53.51		3.08
	969457100		06/22/12					
WILLIAMS COMPANIES INC	WMB		01/04/12	1,000	27.84	26.76		1.08
	969457100		06/25/12					



THE MICK FOUNDATION

Account Number A 22677 00 8

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Capital Gain and Loss Schedule

Short-Term Covered

Description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
WILLIAMS COMPANIES INC	WMB 969457100		01/04/12 06/25/12	1 000	27 41	26 76		65
WILLIAMS COMPANIES INC	WMB 969457100		01/04/12 06/25/12	1 000	27 47	26 75		72
WILLIAMS COMPANIES INC	WMB 969457100		01/04/12 06/25/12	3 000	81 96	80 27		1 69
WILLIAMS COMPANIES INC	WMB 969457100		01/04/12 06/25/12	2,000	54 74	53 51		1 23
XLINX CORP	XLNX 983919101		11/01/11 04/13/12	30 000	1,062 09	989 20		72 89
Total Short-Term Covered					142,070.10	135,107.99		6,962.10

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0544070001001000B131



THE MICK FOUNDATION

Account Number: A 22677-00-8

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Capital Gain and Loss Schedule

Short-Term Non Covered

Description	Stock and other symbol Custid	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
SPDR S&P 500 ETF TRUST	SPY		08/10/11	213 000	29,949.77	24,324.58		5,625.19
	78462F103		03/15/12					
WPX ENERGY INC	WPX-		Various	38 000	672.77	642.44		30.33
	98212B103		01/04/12					
Total Short-Term Non Covered					30,622.54	24,967.02		5,655.52
TOTAL SHORT-TERM SALES					172,692.64	160,075.01		12,617.63



THE MICK FOUNDATION

Account Number A 22677-00-8

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Capital Gain and Loss Schedule

Long-Term Covered

Description	Stock and other symbol	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ADT CORPORATION	ADT-		01/13/11	13.000	490.63	378.28		112.35
	00101J106		10/05/12					
ADT CORPORATION	ADT-		01/13/11	2.000	74.96	58.20		16.76
	00101J106		10/10/12					
APPLE INC	AAPL		Various	3.000	2,103.89	1,081.92		1,021.97
	037833100		09/19/12					
AT&T INC	T		Various	46.000	1,554.23	1,424.71		129.52
	00206R102		12/21/12					
CAMERON INTERNATIONAL CORPORATION	CAM		03/10/11	3.000	135.09	175.10		-40.01
	13342B105		07/18/12					
CAMERON INTERNATIONAL CORPORATION	CAM		Various	17.000	765.23	898.15		-132.92
	13342B105		07/18/12					
CAMERON INTERNATIONAL CORPORATION	CAM		05/03/11	8.000	357.64	414.20		-56.56
	13342B105		07/18/12					
CAPITAL ONE FINANCIAL CORP	COF		Various	14.000	832.49	620.77		211.72
	14040H105		10/23/12					
CAPITAL ONE FINANCIAL CORP	COF		08/11/11	3.000	180.00	130.31		49.69
	14040H105		10/23/12					
CAPITAL ONE FINANCIAL CORP	COF		08/10/11	1.000	60.11	42.46		17.65
	14040H105		10/23/12					

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THE MICK FOUNDATION
Account Number: A 22677-00-8

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Capital Gain and Loss Schedule

Long-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Base	Adjustments to gain or loss	Gain or Loss Ordinary Income**
CAPITAL ONE FINANCIAL CORP	COF		08/10/11	1 000	60 03	42 01		18 02
	14040H105		10/23/12					
CAPITAL ONE FINANCIAL CORP	COF		08/10/11	5 000	300.84	207 05		93 79
	14040H105		10/24/12					
CBS CORP	CBS		Various	119.000	4,241 81	3,363 26		878.55
CLASS B W/I	124857202		09/26/12					
CENTERPOINT ENERGY INC	CNP		07/15/11	5 000	107.00	96 72		10 28
	15189T107		10/19/12					
CENTERPOINT ENERGY INC	CNP		07/15/11	20 000	427 74	386 88		40 86
	15189T107		10/19/12					
CENTERPOINT ENERGY INC	CNP		07/15/11	17.000	360 90	328 85		32 05
	15189T107		10/22/12					
CENTERPOINT ENERGY INC	CNP		Various	54 000	1,145 55	972 45		173 10
	15189T107		10/22/12					
CENTERPOINT ENERGY INC	CNP		03/10/11	1 000	21 23	16 08		5 15
	15189T107		10/22/12					
CENTERPOINT ENERGY INC	CNP		03/10/11	1.000	21 20	16 08		5.12
	15189T107		10/22/12					
CENTERPOINT ENERGY INC	CNP		03/10/11	7.000	145.42	112.53		32 89
	15189T107		11/07/12					



THE MICK FOUNDATION
Account Number A 22677-00-8

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Capital Gain and Loss Schedule

Long-Term Covered

Description	Stock and other symbol Cusip	Code	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income*
CENTERPOINT ENERGY INC	CNP		03/10/11	15 000	313.36	241.14		72.22
	15189T107		11/07/12					
CENTERPOINT ENERGY INC	CNP		03/10/11	59.000	1,222.18	948.50		273.68
	15189T107		11/08/12					
CENTERPOINT ENERGY INC	CNP		Various	10 000	204.69	159.12		45.57
	15189T107		11/08/12					
CENTERPOINT ENERGY INC	CNP		03/14/11	17 000	353.08	269.80		83.28
	15189T107		11/08/12					
COVIDIEN PLC NEW	COV		02/11/11	2 000	111.11	100.69		10.42
	G2554F113		11/05/12					
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX		11/08/11	16 000	524.78	666.33		-141.55
	35671D857		12/05/12					
FREEPORT-MCMORAN COPPER & GOLD INC CL B	FCX		11/08/11	5.000	164.52	208.22		-43.70
	35671D857		12/05/12					
INTERCONTINENTAL EXCHANGE INC	ICE		08/10/11	2 000	255.73	223.92		31.81
	45865V100		12/17/12					
INTERCONTINENTAL EXCHANGE INC	ICE		08/10/11	3 000	383.03	334.55		48.48
	45865V100		12/17/12					
INTERCONTINENTAL EXCHANGE INC	ICE		08/10/11	4 000	512.12	446.07		66.05
	45865V100		12/18/12					

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THE MICK FOUNDATION

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Capital Gain and Loss Schedule

Long-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JOHNSON CONTROLS INC	JCI 478366107		10/28/11 11/15/12	6,000	150.53	200.32		-49.79
JOHNSON CONTROLS INC	JCI 478366107		10/28/11 11/15/12	15 000	376.55	500.79		-124.24
JOHNSON CONTROLS INC	JCI 478366107		10/28/11 11/15/12	6,000	150.62	200.31		-49.69
JOHNSON CONTROLS INC	JCI 478366107		10/28/11 11/16/12	3 000	75.18	100.16		-24.98
KRAFT FOODS GROUP INC COM	KRFT 50076Q106		Various 10/15/12	12 000	566.02	438.95		127.07
KRAFT FOODS GROUP INC COM	KRFT 50076Q106		08/04/11 10/15/12	3,000	141.42	109.38		32.04
KRAFT FOODS GROUP INC COM	KRFT 50076Q106		08/04/11 10/15/12	1 000	47.04	36.45		10.59
KRAFT FOODS GROUP INC COM	KRFT 50076Q106		Various 10/16/12	22 000	1,030.71	798.34		232.37
KRAFT FOODS GROUP INC COM	KRFT 50076Q106		Various 10/15/12	8 000	378.89	288.57		90.32
LAM RESEARCH CORP	LRCX 512807108		10/28/11 11/15/12	5 000	173.65	219.35		-45.70



THE MICK FOUNDATION
Account Number A 22677-00 8

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Capital Gain and Loss Schedule

Long-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
LAM RESEARCH CORP	LRCX		10/28/11	10.000	344.57	438.69		-94.12
	512807108		11/16/12					
LAM RESEARCH CORP	LRCX		10/28/11	5.000	171.34	219.34		-48.00
	512807108		11/16/12					
LOWES COMPANIES INC	LOW		01/28/11	10.000	324.68	255.20		69.48
	548661107		10/24/12					
MERCK AND CO INC	MRK		04/18/11	3.000	115.99	102.08		13.91
	58933Y105		04/27/12					
MERCK AND CO INC	MRK		04/18/11	8.000	307.57	272.22		35.35
	58933Y105		04/27/12					
NIKE INC B	NIKE		03/30/11	3.000	302.63	230.55		72.08
	654106103		06/15/12					
NIKE INC B	NIKE		03/30/11	7.000	709.16	537.95		171.21
	654106103		06/15/12					
THE ESTEE LAUDER COMPANIES INC	EL		Various	36.000	2,138.56	1,823.86		314.70
CL A	518439104		11/05/12					
WILLIAMS COMPANIES INC	WMB		05/05/11	1.000	27.32	25.36		1.96
	969457100		06/25/12					
WILLIAMS COMPANIES INC	WMB		05/05/11	1.000	27.58	25.36		2.22
	969457100		06/26/12					

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THE MICK FOUNDATION

Account Number A 22677-00-8

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Capital Gain and Loss Schedule

Long-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
WILLIAMS COMPANIES INC	WMIB 969457100		05/05/11 06/26/12	1 000	27 56	25 36		2 20
WILLIAMS COMPANIES INC	WMIB 969457100		05/05/11 06/26/12	4 000	110 60	101 44		9 16
WILLIAMS COMPANIES INC	WMIB 969457100		05/05/11 06/26/12	6 000	166 68	152 17		14 51
WILLIAMS COMPANIES INC	WMIB 969457100		05/05/11 06/27/12	1 000	27 91	25 36		2 55
WILLIAMS COMPANIES INC	WMIB 969457100		05/05/11 06/27/12	3 000	84 67	76 08		8 59
WILLIAMS COMPANIES INC	WMIB 969457100		05/05/11 06/27/12	1 000	28 10	25 36		2 74
WILLIAMS COMPANIES INC	WMIB 969457100		05/05/11 06/27/12	5 000	140 67	126 81		13 86
WILLIAMS COMPANIES INC	WMIB 969457100		05/05/11 06/27/12	2 000	55 92	50 72		5 20
WILLIAMS COMPANIES INC	WMIB 969457100		05/05/11 06/27/12	1 000	28 17	25 36		2 81
WILLIAMS COMPANIES INC	WMIB 969457100		05/05/11 06/27/12	1 000	28 14	25 36		2 78



THE MICK FOUNDATION
Account Number A 22677-00 B

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Capital Gain and Loss Schedule

Long-Term Covered

Description	Stock and other symbol Cusip	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income *
WILLIAMS COMPANIES INC	WMB 969457100	05/05/11 06/28/12	1 000	28 11	25 36		2 75
WILLIAMS COMPANIES INC	WMB 969457100	05/05/11 06/28/12	3 000	84 09	76 08		8 01
WILLIAMS COMPANIES INC	WMB 969457100	05/05/11 06/28/12	2 000	55 74	50 72		5 02
WILLIAMS COMPANIES INC	WMB 969457100	05/05/11 06/28/12	3 000	84 10	76 08		8 02
WILLIAMS COMPANIES INC	WMB 969457100	05/05/11 06/28/12	4 000	112 40	101 45		10 95
WILLIAMS COMPANIES INC	WMB 969457100	05/05/11 06/28/12	1 000	28 11	25 36		2 75
WILLIAMS COMPANIES INC	WMB 969457100	05/05/11 06/29/12	1 000	28 56	25 36		3 20
WILLIAMS COMPANIES INC	WMB 969457100	05/05/11 06/29/12	1 000	28 52	25 36		3 16
WILLIAMS COMPANIES INC	WMB 969457100	05/05/11 06/29/12	2 000	57 00	50 72		6 28
WILLIAMS COMPANIES INC	WMB 969457100	05/05/11 06/29/12	1 000	28 62	25 36		3 26

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THE MICK FOUNDATION
Account Number A 22677-00-8

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Capital Gain and Loss Schedule

Long-Term Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
WILLIAMS COMPANIES INC	WMB 969457100		05/05/11 06/29/12	3 000	85 83	76.09		9 74
Total Long-Term Covered					26,310.10	22,379.54		3,930.56



THE MICK FOUNDATION
Account Number: A 22677-00-8

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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ABBOTT LABORATORIES	ABT 002824100		Various 01/10/12	61 000	3,422.72	2,823.73		598.99
ADT CORPORATION	ADT- 00101J106		06/01/10 10/10/12	12 000	449.78	284.43		165.35
ADT CORPORATION	ADT- 00101J106		06/01/10 10/15/12	5,000	182.42	118.51		63.91
ADT CORPORATION	ADT- 00101J106		06/01/10 10/16/12	8 000	298.59	189.62		108.97
AMAZON COM INC	AMZN 023135106		09/28/10 04/09/12	2 000	384.23	317.85		66.38
APPLE INC REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE APPLE INC CLASS ACTION DUE A22677008 THE MICK FOUNDATION FUTURE	AAPL 037833100		00/00/00 10/25/12		11.91	00		11.91
ASML HOLDING N V CASH IN LIEU OF FRACTIONAL SHARES	ASML N07059210		00/00/00 12/10/12		40.25	00		40.25
AT&T INC	T 00206R102		Various 11/05/12	17,000	587.76	659.52		-71.76
AT&T INC	T 00206R102		12/03/07 12/14/12	11 000	374.77	421.77		-47.00

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Account Number A 22677-00-8

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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
AT&T INC	T 00206R102		Various 12/14/12	32,000	1,091.20	1,225.34		-134.14
AT&T INC	T 00206R102		12/05/07 12/21/12	30,000	1,013.62	1,147.83		-134.21
BANK OF AMERICA CORP REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE BANK OF AMERICA CORP CLASS ACTION, DUE A22677008 THE MICK	BAC 060505104		00/00/00 12/07/12		2.91	00		2.91
CAMPBELL SOUP COMPANY	CPB 134429109		Various 04/12/12	50,000	1,655.66	1,821.67		-166.01
CARNIVAL CORPORATION	OCL 143658300		04/07/10 02/28/12	2,000	59.53	77.79		-18.26
CARNIVAL CORPORATION	OCL 143658300		04/07/10 02/28/12	6,000	179.88	233.36		-53.48
CARNIVAL CORPORATION	OCL 143658300		Various 02/28/12	34,000	1,018.12	1,250.90		-232.78
CARNIVAL CORPORATION	OCL 143658300		01/14/10 02/28/12	7,000	209.81	242.83		-33.02
CARNIVAL CORPORATION	OCL 143658300		01/14/10 02/28/12	6,000	178.83	208.14		-29.31



THE MICK FOUNDATION
Account Number A 22677 00-8

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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to cost or loss	Gain or Loss Ordinary Income**
CASH RECEIPT SECURITIES LITIGATION REPRESENTS PRO RATA SHARE OF THE NET SETTLEMENT FROM THE CASH RECEIPT SECURITIES LITIGATION CLASS ACTION DUE A22677008 THE MICK	999928021		00/00/00 12/13/12		10.00	00		10.00
COLGATE PALMOLIVE CO	CL 194162103		Various 07/27/12	30.000	3,213.08	2,357.06		856.02
CONOCOPHILLIPS	COP 20825C104		Various 01/19/12	77.000	5,486.16	3,675.54		1,810.62
CVS CAREMARK CORPORATION	CVS 126650100		10/11/05 06/22/12	4.000	182.92	101.67		81.25
CVS CAREMARK CORPORATION	CVS 126650100		10/11/05 07/09/12	46.000	2,169.56	1,169.18		1,000.38
DB REN SPX 2/29/12 2XLEV-8 5%CAP-17%MAXPYMT INITIAL LEVEL-2/11/11 SPX 1329 15	2515A13T6		02/11/11 02/29/12	50,000.000	52,475.85	50,000.00		2,475.85
DEVON ENERGY CORP	DVN 25179M103		Various 04/24/12	20.000	1,335.96	1,270.83		65.13
E I DU PONT DE NEMOURS & CO	DD 263534109		Various 07/18/12	40.000	1,944.38	1,604.30		340.08

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THE MICK FOUNDATION

Account Number: A 22877-00-8

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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
E I DU PONT DE NEMOURS & CO	DD 263534109		05/12/10 10/17/12	13 000	646.81	503.25		143.56
E I DU PONT DE NEMOURS & CO	DD 263534109		05/12/10 10/18/12	10 000	502.14	387.11		115.03
E I DU PONT DE NEMOURS & CO	DD 263534109		Various 10/18/12	9 000	448.74	344.07		104.67
E I DU PONT DE NEMOURS & CO	DD 263534109		06/09/10 12/03/12	18 000	770.92	657.85		113.07
E I DU PONT DE NEMOURS & CO	DD 263534109		Various 12/03/12	15 000	640.69	540.81		99.88
E I DU PONT DE NEMOURS & CO	DD 263534109		Various 12/03/12	25.000	1,063.80	894.95		168.85
E I DU PONT DE NEMOURS & CO	DD 263534109		06/01/10 12/04/12	1.000	42.30	35.78		6.52
E I DU PONT DE NEMOURS & CO	DD 263534109		06/01/10 12/04/12	14 000	595.39	500.98		94.41
E M C CORP MASS	EMC 268648102		Various 07/06/12	100.000	2,369.28	2,042.07		327.21
EXXON MOBIL CORP	XOM 30231G102		10/18/10 09/13/12	4.000	365.12	263.58		101.54



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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
GOLDMAN SACHS GROUP INC	GS 38141G104		04/04/08	1,000	116.13	177.72		-61.59
			11/08/12					
GOLDMAN SACHS GROUP INC	GS 38141G104		04/04/08	1,000	116.07	177.71		-61.64
			11/08/12					
GOLDMAN SACHS GROUP INC	GS 38141G104		10/14/08	1,000	116.55	120.98		-4.43
			11/08/12					
GOLDMAN SACHS GROUP INC	GS 38141G104		10/14/08	2,000	232.22	241.96		-9.74
			11/08/12					
GOLDMAN SACHS GROUP INC	GS 38141G104		10/14/08	1,000	115.69	120.98		-5.29
			11/08/12					
GOLDMAN SACHS GROUP INC	GS 38141G104		10/14/08	2,000	230.22	241.97		-11.75
			11/09/12					
GOLDMAN SACHS GROUP INC	GS 38141G104		10/14/08	3,000	348.20	362.95		-14.75
			11/09/12					
GS BREN SPX 10/03/12			09/16/11	50,000,000	59,100.00	50,000.00		9,100.00
10%BUFFER-2 XLEV- 9 1%CAP	38143UE49		10/03/12					
18.2%M/AXRTRN								
INITIAL LEVEL-09/16/11 SPX 1.216 01								

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THE MICK FOUNDATION

Account Number: A 22677-00-8

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Capital Gain and Loss Schedule

Long-Term Non Covered

Descr phon	Stock and other symbol	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
GS CONT BUFF EQ SPX 04/20/12			10/08/10	40,000 000	47,745 96	40,000 00		7,745 96
30%CAP		38143UNJ6	04/20/12					
INITIAL LEVEL-10/08/10 SPX 1,165 15								
HSBC BREN EAFE 06/13/12			05/27/11	40,000 000	33,653.73	40,000 00		-6,346 27
10%BUFFER-2 XLEV- 8 38%CAP		4042K1JM6	06/13/12					
16 76%MAXRTRN								
INITIAL LEVEL-05/27/11 SX5E 2819.40								
UKX 5938 87 TPX 824 9								
HSBC BREN SPX 12/19/12			12/02/11	95,000.000	113,050 00	95,000 00		18,050 00
10%BUFFER-2 XLEV- 9.5%CAP		4042K1TX1	12/19/12					
19%MAXRTRN								
INITIAL LEVEL-12/02/11 SPX.1244 28								
4042K1TX1								
ISHARES RUSSELL MIDCAP INDEX FUND		IWR	08/26/09	128.000	14,342 47	9,554 01		4,788 46
		464287499	09/10/12					
JOHNSON CONTROLS INC		JCI	04/28/10	24.000	601.42	800 46		-199 04
		478366107	11/16/12					
JPM BREN ASIA V EUR DIGIPLUS 3/1/12			02/26/10	30,000.000	35,025 00	30,000 00		00
EUR VS KRW, IDR, SGD		48124AHU4	03/01/12					5,025 00 F
BUFFER 5%, MAX LOSS 100% STRIKE 100%								
CPN 16 75% (AT LEAST 15%)								
DD 02/26/10								



THE MICK FOUNDATION

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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income
JPM INTL CURRENCY INCOME FD	JCIS 4812A3296		Various 06/05/12	6,996 269	75,000 00	81,208 09		-6,208 09
JPM SHORT DURATION BOND FD - SEL FUND 3133	HLLV 4812C1330		08/05/09 12/19/12	9,090 909	100,000 00	97,636 36		2,363 64
JPM STR INC OPP FD FUND 3844	JSOS 4812A4351		Various 06/01/12	6,538 797	75,000 00	70,728 03		4,271 97
JUNIPER NETWORKS INC	JNPR 48203R104		09/09/09 04/23/12	14 000	283 42	365 66		-82 24
LOWES COMPANIES INC	LOW 548661107		02/19/10 10/24/12	33,000	1,071 46	760 72		310 74
LOWES COMPANIES INC	LOW 548661107		02/19/10 10/24/12	6,000	193 55	138 31		55 24
LOWES COMPANIES INC	LOW 548661107		02/19/10 10/25/12	4 000	127 31	92 21		35 10
LOWES COMPANIES INC	LOW 548661107		02/19/10 10/25/12	5,000	161 73	115 26		46 47
MATTHEWS PACIFIC TIGER INSTL FUND	MIPT 577130834		06/03/09 12/19/12	1,661 820	40,000 00	25,712 92		14,287 08
NIKE INC B	NKE 654106103		Various 06/15/12	5 000	501 25	303 31		197 94

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THE MICK FOUNDATION

Account Number A 22677-00-8

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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
NIKE INC B	NKE 654106103		01/07/08 06/15/12	1 000	101.31	61.78		39.53
NIKE INC B	NKE 654106103		10/08/08 06/18/12	1 000	100.79	56.19		44.60
NIKE INC B	NKE 654106103		10/08/08 09/18/12	1 000	97.18	56.19		40.99
NIKE INC B	NKE 654106103		10/08/08 09/18/12	8 000	781.87	449.52		332.35
NIKE INC B	NKE 654106103		10/08/08 09/19/12	4 000	399.53	224.76		174.77
NIKE INC B	NKE 654106103		10/08/08 09/19/12	3 000	297.14	168.57		128.57
NIKE INC B	NKE 654106103		10/08/08 09/20/12	4 000	388.70	224.77		163.93
NIKE INC B	NKE 654106103		10/08/08 09/20/12	1 000	97.96	56.19		41.77
NIKE INC B	NKE 654106103		10/08/08 09/25/12	1 000	96.03	56.19		39.84
NIKE INC B	NKE 654106103		10/08/08 09/26/12	1 000	95.84	56.19		39.65



THE MICK FOUNDATION
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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income *
NORFOLK SOUTHERN CORP	NSC 655844108		10/27/05 02/08/12	20 000	1,448 09	790 79		657 30
NORFOLK SOUTHERN CORP	NSC 655844108		10/27/05 03/20/12	4 000	269.27	158 16		111 11
NORFOLK SOUTHERN CORP	NSC 655844108		10/27/05 03/20/12	11 000	737 31	434 93		302 38
NORFOLK SOUTHERN CORP	NSC 655844108		10/27/05 05/24/12	12 000	807 80	474 47		333 33
NORFOLK SOUTHERN CORP	NSC 655844108		10/27/05 05/25/12	3 000	201 69	118.62		83 07
NORFOLK SOUTHERN CORP	NSC 655844108		10/27/05 05/25/12	4 000	267.76	158.16		109 60
NORFOLK SOUTHERN CORP	NSC 655844108		10/27/05 05/25/12	2,000	133 38	79 08		54 30
NORFOLK SOUTHERN CORP	NSC 655844108		10/27/05 05/25/12	1 000	66 59	39 54		27 05
NORFOLK SOUTHERN CORP	NSC 655844108		10/27/05 05/25/12	2 000	132 81	79 08		53 73
NORFOLK SOUTHERN CORP	NSC 655844108		10/27/05 05/29/12	17 000	1,135 80	672 17		463 63

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THE MICK FOUNDATION

Account Number A 22677-00-8

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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
NORFOLK SOUTHERN CORP	NSC 655844108		10/27/05 05/29/12	6 000	403.43	237 24		166 19
NORFOLK SOUTHERN CORP	NSC 655844108		Various 05/30/12	11.000	720 34	434.83		285 51
NORFOLK SOUTHERN CORP	NSC 655844108		01/14/09 05/30/12	3 000	196.59	118 52		78 07
NORFOLK SOUTHERN CORP	NSC 655844108		01/14/09 05/31/12	10.000	653 41	395 06		258 35
NORFOLK SOUTHERN CORP	NSC 655844108		01/14/09 05/31/12	4.000	262 47	158 02		104 45
OCCIDENTAL PETROLEUM CORP	OXY 674599105		03/16/10 02/01/12	2.000	198 46	163 35		35.11
PENTAIR LTD SHS	PNR- H6169Q108		00/00/00 10/11/12		24 96	.00		24 96
CASH IN LIEU OF FRACTIONAL SHARES								
QWEST COMMUNICATIONS INTERNATIONAL INC	Q 749121109		00/00/00 05/15/12		18.96	00		18 96

REPRESENTS PRO RATA SHARE OF THE
NET SETTLEMENT FROM THE QWEST
COMMUNICATIONS INTERNATIONAL CLASS



THE MICK FOUNDATION

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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to Gain or Loss	Gain or Loss Ordinary Income*
SG BREN EAFE 04/18/12	78423AN73		04/01/11 04/18/12	50,000 000	45,152.42	50,000 00		-4,847 58
10%BUFFER-2 XLEV- 8 17%CAP								
16 34%MAXRTRN								
INITIAL LEVEL-4/1/11 SX5E 2962 92								
UKX 6009 92 TPX 862 62								
SG CONT BLUFF EQ SPX 04/18/12	78423AN32		04/01/11 04/18/12	30,000 000	30,900 00	30,000 00		900 00
80% CONTIN BARRIER- 3%CPN								
20% CAP								
INITIAL LEVEL-04/01/11 SPX 1,332 41								



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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
US BANCORP DEL	USB 902973304		12/20/07 06/05/12	37.000	1,077.48	1,169.44		-91.96
US BANCORP DEL	USB 902973304		12/20/07 06/05/12	12.000	350.57	379.28		-28.71
US BANCORP DEL	USB 902973304		Various 06/05/12	36.000	1,050.27	973.61		76.66
US BANCORP DEL	USB 902973304		04/02/09 06/05/12	20.000	584.19	303.69		280.50



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Capital Gain and Loss Schedule

Long-Term Non Covered

Description	Stock and other symbol Cusip	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
US BANCORP DEL	USB 902973304	04/02/09 06/05/12	5.000	146.10	75.93		70.17
US BANCORP DEL	USB 902973304	04/02/09 06/05/12	5.000	145.89	75.92		69.97
WPX ENERGY INC	WPX- 98212B103	00/00/00 01/12/12	0.670	10.95	00		10.95
XLINX CORP	XLNX 983919101	12/22/10 04/18/12	16.000	564.31	457.62		106.69
XLINX CORP	XLNX 983919101	12/22/10 04/17/12	6.000	214.04	171.61		42.43
XLINX CORP	XLNX 983919101	12/22/10 04/18/12	52.000	1,834.57	1,487.27		347.30
YUM BRANDS INC	YUM 988498101	01/09/08 07/11/12	8.000	496.51	296.66		199.85
YUM BRANDS INC	YUM 988498101	01/09/08 07/12/12	16.000	999.84	593.32		406.52

Total Long-Term Non Covered
TOTAL LONG-TERM SALES

776,220.08 713,138.65 58,373.07
802,530.18 735,518.19 67,011.99

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FORM 990-PF ' INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
DB POWERSHARES K-1	56.
JP MORGAN - INTEREST	26.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	82.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
JP MORGAN	74,172.	0.	74,172.
TOTAL TO FM 990-PF, PART I, LN 4	74,172.	0.	74,172.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREP FEES	2,200.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	2,200.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	11,801.	11,801.		0.
TO FORM 990-PF, PG 1, LN 16C	11,801.	11,801.		0.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL & LOCAL TAXES	5,355.	0.		0.	
FOREIGN TAX WITHHELD	1,174.	1,174.		0.	
STATE & LOCAL TAXES	14.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	6,543.	1,174.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK FEES	181.	0.		0.	
MISC DEDUCTIONS - DB POWERSHARES	730.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	911.	0.		0.	

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	7
DESCRIPTION	AMOUNT				
BOOK/TAX EXPENSE DIFFERENCE - PENALTIES	6,112.				
BOOK/TAX EXPENSE DIFFERENCE - PRIOR PERIOD ADJUSTMENT	2,575.				
TOTAL TO FORM 990-PF, PART III, LINE 5	8,687.				

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
JP MORGAN - SEE STATEMENT	1,625,301.	1,924,902.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,625,301.	1,924,902.		

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
JP MORGAN BOND FUNDS - SEE STATEMENT	FMV	1,171,266.	1,272,565.
JP MORGAN STRUCTURED INVESTMENTS - SEE STATEMENT	FMV	0.	0.
JP MORGAN OTHER INVESTMENTS - SEE STATEMENT	FMV	68,623.	72,629.
JP MORGAN - HEDGE FUNDS	FMV	109,486.	105,875.
JP MORGAN - REAL ESTATE & INFRASTRUCTURE	FMV	80,000.	74,742.
JP MORGAN - HARD ASSETS & SPDR GOLD TRUST	FMV	44,828.	80,200.
INVESTMENT IN DB POWERSHARES	FMV	85,635.	85,312.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,559,838.	1,691,323.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	10
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL TAX PAYABLE	26,374.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	26,374.	0.