Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation: RICHARD H SIMONS CHARITABLE TRUST

A Employer identification number: 59-7034786

Number and street (or P O box number if mail is not delivered to street address): 4000 HOLLYWOOD BLVD

Room/suite: 485 SOUTH

B Telephone number (see instructions): 954-966-2112

City or town, state, and ZIP code: HOLLYWOOD FL 33021

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 3,979,630

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	23,123	23,123		
4	Dividends and interest from securities	29,040	29,040		
5 a	Gross rents				
b	Net rental income or (loss)				
6 a	Net gain or (loss) from sale of assets not on line 10	3,555			
b	Gross sales price for all assets on line 6a 53,421				
7	Capital gain net income (from Part IV, line 2)		3,555		
8	Net short-term capital gain				
9	Income modifications				
10 a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	90,000	90,000		
12	**Total.** Add lines 1 through 11	145,718	145,718	0	
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc	204,000	40,800		163,200
14	Other employee salaries and wages	3,600	720		2,880
15	Pension plans, employee benefits	36,676	7,335		29,341
16 a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	10,500	2,100		8,400
c	Other professional fees (attach schedule)	18,953	3,791		15,162
17	Interest				
18	Taxes (attach schedule) (see instructions)	10,060	2,012		8,048
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	**Total operating and administrative expenses.** Add lines 13 through 23	283,789	56,758	0	227,031
25	Contributions, gifts, grants paid	93,100			93,100
26	**Total expenses and disbursements.** Add lines 24 and 25	376,889	56,758	0	320,131
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-231,171			
b	**Net investment income** (if negative, enter -0-)		88,960		
c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,591,419	516,378	516,378
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)		604,879	651,010
	c	Investments—corporate bonds (attach schedule)	210,263	209,622	384,678
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,177,638	2,412,609	2,424,444
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ PREPAID TAXES)	1,579	3,120	3,120
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,980,899	3,746,608	3,979,630
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	3,980,899	3,746,608	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	3,980,899	3,746,608	
	31	**Total liabilities and net assets/fund balances** (see instructions)	3,980,899	3,746,608	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,980,899
2	Enter amount from Part I, line 27a	2	-231,171
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,749,728
5	Decreases not included in line 2 (itemize) ▶ TAX PAYMENTS	5	3,120
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,746,608

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SCHEDULE ATTACHED			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	53,421		49,866	3,555
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				3,555
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	3,555
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	355,674	3,997,138	0 088982
2010	332,941	4,042,239	0 082365
2009	318,339	4,247,999	0 074939
2008	367,126	4,970,815	0 073856
2007	383,777	4,501,309	0 085259

2	**Total** of line 1, column (d)	2	0 405401
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 081080
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	3,815,928
5	Multiply line 4 by line 3	5	309,395
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	890
7	Add lines 5 and 6	7	310,285
8	Enter qualifying distributions from Part XII, line 4	8	320,131

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter. ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	890
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	890
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	890
6	Credits/Payments.		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 3,120		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	3,120
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,230
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 2,230 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT M KRAMER, ESQ Telephone no ▶ 954-966-2112

Located at ▶ 4000 HOLLYWOOD BOULEVARD 485 S HOLLYWOOD FL ZIP+4 ▶ 33021

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 ____ , 20 ____ , 20 ____ , 20 ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 ____ , 20 ____ , 20 ____ , 20 ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT M KRAMER 4000 HOLLYWOOD BLVD #485 SOUTH HOLLY	TRUSTEE 15 00	204,000	34,001	

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2 NOT APPLICABLE	
All other program-related investments See instructions 3 NOT APPLICABLE	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,826,233
b	Average of monthly cash balances	1b	1,047,806
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	3,874,039
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,874,039
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	58,111
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,815,928
6	**Minimum investment return.** Enter 5% of line 5	6	190,796

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	190,796
2a	Tax on investment income for 2012 from Part VI, line 5	2a	890		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	890
3	Distributable amount before adjustments Subtract line 2c from line 1			3	189,906
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	189,906
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	189,906

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	320,131
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	320,131
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	890
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	319,241

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				189,906
2	Undistributed income, if any, as of the end of 2012:				
a	Enter amount for 2011 only			0	
b	Total for prior years: 20 ____, 20 ____, 20 ____				
3	Excess distributions carryover, if any, to 2012:				
a	From 2007 170,448				
b	From 2008 121,407				
c	From 2009 107,848				
d	From 2010 133,987				
e	From 2011 162,057				
f	Total of lines 3a through e	695,747			
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 320,131				
a	Applied to 2011, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2012 distributable amount				189,906
e	Remaining amount distributed out of corpus	130,225			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	825,972			
b	Prior years' undistributed income Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	170,448			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	655,524			
10	Analysis of line 9.				
a	Excess from 2008 121,407				
b	Excess from 2009 107,848				
c	Excess from 2010 133,987				
d	Excess from 2011 162,057				
e	Excess from 2012 130,225				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PER SCHEDULE ATTACHED			CHARITABLE USE	93,100
Total			▶ 3a	93,100
b *Approved for future payment*				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				23,123	
4 Dividends and interest from securities				29,040	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				3,555	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a ANNUITY INCOME				90,000	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		145,718	0

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 145,718

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		NOT APPLICABLE	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] 05.09.2013 TRUSTEE

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
ROBERT WEINER	ROBERT WEINER	5/9/2013		P00547298
Firm's name ▶ ROBERT L WEINER CPA			Firm's EIN ▶ 59-2731051	
Firm's address ▶ P.O. BOX 39756, FORT LAUDERDALE, FL 33339-9756			Phone no 954-561-2040	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	
Short Term CG Distributions	

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Capital Gains/Losses	53,421	49,866	3,555
Other sales	0	0	0

	Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improve-ments	Depreciation	Adjustments	Net Gain or Loss
1	X	SCHEDULE ATTACHED							53,421	49,866					3,555

Part I, Line 10 (990-PF) - Gross Profit

	Inventory Type	Gross Sales Less Returns and Allowances	Cost of Goods Sold	Gross Profit or Loss
		0	0	0
1				0

Part I, Line 11 (990-PF) - Other Income

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
		90,000	90,000	0
1	ANNUITY INCOME	90,000	90,000	

Part I, Line 16a (990-PF) - Legal Fees

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		0	0	0	0
1					

Part I, Line 16b (990-PF) - Accounting Fees

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		10,500	2,100	0	8,400
1	ROBERT L WEINER CPA	10,500	2,100		8,400

Part I, Line 16c (990-PF) - Other Professional Fees

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		18,953	3,791	0	15,162
1	NFP	18,953	3,791		15,162

Part I, Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		10,060	2,012	0	8,048
1	Real estate tax not included in line 20				
2	Tax on investment income				
3	Income tax				
4	Payroll taxes	10,060	2,012		8,048

Part II, Line 15 (990-PF) - Other Assets

		Book Value Beg of Year	Book Value End of Year	FMV End of Year
		1,579	3,120	3,120
	Asset Description			
1	PREPAID TAXES	1,579	3,120	3,120

Part II, Line 22 (990-PF) - Other Liabilities

	Description	Beginning Balance	Ending Balance
		0	0
1			

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	TAX PAYMENTS	1	3,120
2	Total	2	3,120

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
						53,421	0	0	49,866	3,555	0	0	0	3,555
1	SCHEDULE ATTACHED					53,421			49,866	3,555	0	0	0	3,555

Part XVI-A, Lines 11a-11e (990-PF) - Other Revenue

		Unrelated Business Income		Excluded by Section 512, 513, or 514		
	Program Service Revenue	Business Code	Amount	Exclusion Code	Amount	Related or Exempt Function Income
1	ANNUITY INCOME				90,000	
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

Part XVI-B (990-PF) - Relationship of Activities

Line Number	
1	

Part XVI-B (990-PF) - Relationship of Activities

	Explanation
1	

Part XVII, Line 1d (990-PF) - Relationships With Noncharitable Exempt Organizations

	Line Number	Amount Involved	Name of Noncharitable Exempt Organization	Description of Transfers, Transactions, and Sharing Arrangements
1			NOT APPLICABLE	

Part XVII, Line 2b (990-PF) - Tax-Exempt Organizations Other than 501(c)(3) or 527

	Name of Organization	Type of Organization	Description of Relationship
1			

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account	Explanation
										204,000	34,001	0	
1	ROBERT M KRAMER		4000 HOLLYWOOD BLVD #485 SOU	HOLLYWOOD	FL	33021		TRUSTEE	15 00	204,000	34,001		

Part II, Line 13 (990-PF) - Investments - Other

	Asset Description	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
			2,177,638	2,412,609	2,424,444
1	MUTUAL FUNDS	AT COST	417,919	652,890	653,589
2	ANNUITIES	AT COST	1,759,719	1,759,719	1,770,855

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

	Description	Interest Rate	Maturity Date	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
				210,263	209,622	412,420	384,678
1	NFP SECURITIES STATEMENT			210,263	209,622	412,420	384,678

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
			0	604,879	0	651,010
1	NFP SECURITIES		0	604,879	0	651,010

RICHARD H SIMONS CHARITABLE TRUST
SCHEDULE OF CAPITAL GAINS AND LOSSES
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 31, 2012
EIN 59-7034786

SHARES	DESCRIPTION	PROCEEDS	BASIS	GAIN/ LOSS
40000.000	MORGAN STANLEY NT 6.600% 04/01/12	$40,000	$36,437	$3,563
843.566	VANGUARD SHORT TERM TAX EXEMPT INVESTOR	$13,421	$13,429	-$8
	TRADES PENDING SETTLEMENT AND OTHER ADJUSTMENT INCLUDING DISALLOWED SHORT TERM LOSSES			
		$53,421	$49,866	$3,555

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

RICHARD H. SIMONS CHARITABLE TRUST
SCHEDULE OF GRANTS PAID
FOR THE YEAR TO DATE PERIOD ENDED DECEMBER 2012
EIN 59-7034786

GRANTEE:	AMOUNT
AMERICAN CANCER SOCIETY	$250
ANTI-DEFAMATION LEAGUE	$6,200
ASCENT SCHOOL	$250
BAPTIST/SOUTH MIAMI HOSPITAL	$5,000
BOYS AND GIRLS CLUBS	$8,860
CARON TREATMENT CENTER	$250
CHILDREN'S BEREAVEMENT	$1,900
DAVID POSNACK JEWISH COMMUNITY CENTER	$5,000
FAIRCHILD TROPICAL GARDENS	$32,145
GREATER MIAMI JEWISH FEDERATION	$3,000
JEWISH MUSEUM OF FLORIDA	$125
SALK INSTITUTE	$2,500
TEMPLE JUDEA	$2,500
UNITED WAY OF MIAMI	$15,020
UNIVERSITY OF FLORIDA	$10,000
ZOOLOGICAL SOCIETY	$100
	$93,100

(SEE ACCOMPANYING ACCOUNTANT'S COMPILATION REPORT)

SIMONS TRUST			
990PF COMPUTATIONS			
2012			
FMV		CASH	INVEST
JAN		$1,558,651	$2,387,901
FEB		$1,539,013	$2,387,901
MAR		$1,579,449	$2,387,901
APR		$1,609,142	$2,351,464
MAY		$1,116,933	$2,811,344
JUN		$1,097,369	$2,811,344
JUL		$1,015,564	$2,861,752
AUG		$853,557	$3,006,751
SEPT		$614,852	$3,227,110
OCT		$543,578	$3,227,110
NOV		$529,190	$3,227,110
DEC		$516,378	$3,227,110
		$12,573,676	$33,914,798
AVERAGE		$1,047,806	$2,826,233

EIN 59-7034786

59- 7034786

NFP Securities, Inc.
FINRA / SIPC / Registered Investment Adviser

Holdings

NFS-provided cost basis, realized gain (loss) and holding period information may not reflect all adjustments necessary for tax purposes Please refer to Footnotes and Cost Basis Information at the end of this statement for more information.

CASH AND CASH EQUIVALENTS - 19.22% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/12	Current Market Value	Estimated Annual Income
Bank Deposits					

Bank deposits are not securities and are not covered by the Securities Investor Protection Corporation (SIPC). Funds used to purchase or sweep to a bank deposit are SIPC protected until deposited to a Program Bank at which time funds may be eligible for FDIC insurance. Customers are responsible for monitoring their total deposits at each Program Bank to determine the extent of available FDIC insurance. Refer to the Bank Deposit Detail section which appears later in this statement for information on the banks holding your deposits If your account was established on the last business day of this month, your statement will not include a Bank Deposit Detail section

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/12	Current Market Value	Estimated Annual Income
BANK DEPOSIT SWEEP PROGRAM Estimated Annual Yield 0.01%	QPRMQ CASH	401,855.65	$1.00	$401,855.65	
Total Cash and Cash Equivalents				$401,855.65	

HOLDINGS > EQUITIES - 31.12% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/12	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity							
BP PLC ADR (CNV INTO 6 ORD USD0.25 SHS) Estimated Yield 4.75% Dividend Option Cash Capital Gain Option Cash	BP CASH	794	$41.64	$33,062.16	$1,572.12	$30,191.01	$2,871.15
EXELON CORP Estimated Yield 7.06% Dividend Option Cash Capital Gain Option Cash	EXC CASH	2,159	$29.74	$64,208.66	$4,533.90	$82,552.07	($18,343.41)

Statement for the Period December 1, 2012 to December 31, 2012

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
Account Number: J7M-006742
59-7034786

NFP Securities, Inc.

FINRA / SIPC / Registered Investment Adviser

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/12	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
ISHARES TR MSCI EAFE GROWTH INDEX FD Estimated Yield 2.29% Dividend Option Cash Capital Gain Option Cash	EFG CASH	134	$60.04	$8,045.36	$184.29	$7,127.96	$917.40
ISHARES TR MSCI EAFE SMALL CAP INDEX FD Estimated Yield 3.26% Dividend Option Cash Capital Gain Option Cash	SCZ CASH	198	$40 71	$8,060.58	$263.02	$7,149.40	$911.18
ISHARES TR MSCI EMERGING MKTS INDEX FD Estimated Yield 1.64% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/03/13	EEM CASH	188	$44.35	$8,337.80	$137.37	$7,181.77	$1,156.03
ISHARES TR S&P SMALLCAP 600/ VALUE INDEX FD Estimated Yield 1.86% Dividend Option Cash Capital Gain Option Cash	IJS CASH	99	$80.91	$8,010.09	$149.44	$7,129.98	$880.11
ISHARES TR S&P SMALLCAP 600/ GROWTH INDEX FD Estimated Yield 1.40% Dividend Option Cash Capital Gain Option Cash	IJT CASH	91	$84 04	$7,647.64	$107.49	$7,094.14	$553.50
ISHARES TR S&P 500/ GROWTH INDEX FD ISHARES Estimated Yield 1.82% Dividend Option Cash Capital Gain Option Cash	IVW CASH	298	$75.74	$22,570.52	$410.69	$21,448.98	$1,121.54
ISHARES TRUST HIGH DIVID EQUITY FD Estimated Yield 3.58% Dividend Option Cash Capital Gain Option Cash	HDV CASH	685	$58.76	$40,250.60	$1,433.99	$39,184.33	$1,066.27

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
Account Number: J7M-006742
59-7034786

NFP Securities, Inc.
FINRA / SIPC / Registered Investment Adviser

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/12	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
JOHNSON & JOHNSON Estimated Yield 3.48% Dividend Option Cash Capital Gain Option Cash	JNJ CASH	616	$70.10	$43,181.60	$1,503.04	$39,233.74	$3,947.86
KIMBERLY CLARK CORP Estimated Yield 3.50% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/03/13	KMB CASH	496	$84.43	$41,877.28	$1,468.16	$39,153.46	$2,723.82
LILLY ELI & CO Estimated Yield 3.97% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 03/08/13	LLY CASH	734	$49.32	$36,200.88	$1,438.64	$30,148.59	$6,052.29
NEXTERA ENERGY INC COM Estimated Yield 3.46% Dividend Option Cash Capital Gain Option Cash	NEE CASH	1,128	$69.19	$78,046.32	$2,707.20	$74,993.06	$3,053.26
PHILIP MORRIS INTL INC COM Estimated Yield 4.06% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable. 01/11/13	PM CASH	1,018	$83.64	$85,145.52	$3,461.20	$89,570.12	($4,424.60)
PROCTER & GAMBLE CO Estimated Yield 3.31% Dividend Option Cash Capital Gain Option Cash	PG CASH	1,407	$67.89	$95,521.23	$3,162.94	$90,560.49	$4,960.74
SECTOR SPDR TR SHS BEN INT UTILITIES Estimated Yield 4.14% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 01/03/13	XLU CASH	400	$34.921	$13,968.40	$578.30	$14,326.64	($358.24)

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
Account Number: J7M-006742

59-7034786

NFP Securities, Inc.

FINRA / SIPC / Registered Investment Adviser

HOLDINGS > EQUITIES *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/12	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
SPDR INDEX SHS FDS SPDR S&P INTL CONSUMER STAPLES SECTOR ETF Estimated Yield 2.38% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/04/13	IPS CASH	453	$35.67	$16,158.51	$384 78	$14,379.90	$1,778.61
VERIZON COMMUNICATIONS Estimated Yield 4.76% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 02/01/13	VZ CASH	941	$43.27	$40,717.07	$1,938.46	$39,248.68	$1,468.39
Total Equity				$651,010.22	$25,435.03	$640,674.32	$10,335.90
Total Equities				$651,010.22	$25,435.03	$640,674.32	$10,335.90

HOLDINGS > FIXED INCOME - 18.40% of Total Account Value

For an explanation of fixed income pricing, please see the last page Redemption schedule(s), bond rating(s), and other information are provided where available If information does not appear regarding a particular investment, it is not available. The ratings on this statement are provided by Standard & Poor's and/or Moody's to rate the quality based on the respective rating agency's assessment

Accrued Interest - Represents interest accumulated since the last coupon date on certain fixed income securities which may not yet have been paid by the issuer or received by NFS There is no guarantee that the accrued interest will be paid by the issuer.

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/12	Estimated Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Corporate Bonds							
PRUDENTIAL FINL INC MTNS BOOK 5.15000% 01/15/2013 FR MOODY'S Baa2 /S&P A CPN PMT SEMI-ANNUAL ON MAR 30, SEP 30 Next Interest Payable: 01/15/13 Accrued Interest $520 72	744320BE4 CASH	40,000	$100.112	$40,044.80	$2,060.00	$33,938.80	
Adjusted Cost Basis						$33,938.80 D	$6,106.00
Unrealized Market Discount Income	$1,431.78 D						

Account carried with National Financial Services LLC, Member NYSE, SIPC

MN _CEBBCXGVBBCMMCN_BBBBB 20121231

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
Account Number: J7M-008742
59-7034786

NFP Securities, Inc.
FINRA / SIPC / Registered Investment Adviser

HOLDINGS > FIXED INCOME *continued*

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/12	Estimated Current Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
JPMORGAN CHASE & CO SUB NT	46625HBV1	40,000	$106.354	$42,541.60	$2,050.00	$35,836.00		
5.12500% 09/15/2014	CASH							
MOODY'S A3 /S&P A-								
CPN PMT SEMI-ANNUAL								
ON MAR 15, SEP 15								
Next Interest Payable: 03/15/13								
Accrued Interest $803.61								
Adjusted Cost Basis						$35,836.00	D	$6,705.60
Unrealized Market Discount Income	$705.76 O							
WACHOVIA BK NATL ASSN MTN SUB	92976GAB7	40,000	$107.335	$42,934.00	$1,920.00	$33,661.20		
4.80000% 11/01/2014 FR	CASH							
MOODY'S A1 /S&P A+								
CPN PMT SEMI-ANNUAL								
ON MAY 01, NOV 01								
Next Interest Payable: 05/01/13								
Accrued Interest $320.00								
Adjusted Cost Basis						$33,661.20	D	$9,272.80
Unrealized Market Discount Income	$1,051.60 O							
GOLDMAN SACHS GROUP NOTES 5.50%	38141GCM4	40,000	$107.814	$43,125.60	$2,200.00	$34,036.80		
11/15/2014	CASH							
MOODY'S A3 /S&P A-								
CPN PMT SEMI-ANNUAL								
ON MAY 15, NOV 15								
Next Interest Payable: 05/15/13								
Accrued Interest $281.11								
Adjusted Cost Basis						$34,036.80	D	$9,088.80
Unrealized Market Discount Income	$982.95 O							
CITIGROUP INC GLBL SB NT 4.875%	172967BW0	30,000	$106.3109	$31,893.27	$1,462.50	$24,272.10		
05/07/2015 ISIN #US172967BW09	CASH							
SEDOL #2655118								
MOODY'S Baa3 /S&P BBB+								
CPN PMT SEMI-ANNUAL								
ON NOV 07, MAY 07								
Next Interest Payable: 05/07/13								
Accrued Interest $219.37								
Adjusted Cost Basis						$24,272.10	D	$7,621.17
Unrealized Market Discount Income	$875.23 O							

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
Account Number: J7M-008742
59-7034786

NFP Securities, Inc.
FINRA / SIPC / Registered Investment Adviser

HOLDINGS > FIXED INCOME *continued*

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/12	Estimated Current Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
CREDIT SUISSE USA INC 5.12500%	22541LBK8	40,000	$110.981	$44,392.40	$2,050.00	$34,936.80		
08/15/2015 GLBL SR NT	CASH							
MOODY'S A1 /S&P A+								
CPN PMT SEMI-ANNUAL								
ON FEB 15, AUG 15								
Next Interest Payable: 02/15/13								
Accrued Interest $774.44								
Adjusted Cost Basis						$34,936.80	D	$9,455.60
Unrealized Market Discount Income	$742.77 Q							
SUNTRUST BK ATLANTA MDTMSBNTBE	86787GAG7	40,000	$109.257	$43,702.80	$2,000.00	$32,563.20		
5.00000% 09/01/2015 CALL	CASH							
MOODY'S Baa1 /S&P BBB								
CPN PMT SEMI-ANNUAL								
ON MAR 01, SEP 01								
Next Interest Payable: 03/01/13								
Accrued Interest $666.67								
Adjusted Cost Basis						$32,563.20	D	$11,139.60
Unrealized Market Discount Income	$1,083.91 Q							
KRAFT FOODS INC NT 6.50000%	50075NAS3	40,000	$122.138	$48,855.20	$2,600.00	$35,688.80		
08/11/2017 ISIN #US50075NAS36	CASH							
MOODY'S Baa2 /S&P BBB-								
CPN PMT SEMI-ANNUAL								
ON FEB 11, AUG 11								
Next Interest Payable: 02/11/13								
Accrued Interest $1011.11								
Adjusted Cost Basis						$35,688.80	D	$13,166.40
Unrealized Market Discount Income	$489.60 Q							
GENL ELEC CAP CORP MTN 5.625%	36962G3H5	40,000	$117.972	$47,188.80	$2,250.00	$34,406.80		
09/15/2017 ISIN #US36962G3H54	CASH							
MOODY'S A1 /S&P AA+								
CPN PMT SEMI-ANNUAL								
ON MAR 15, SEP 15								
Next Interest Payable. 03/15/13								
Accrued Interest $662.50								
Adjusted Cost Basis						$34,406.80	D	$12,782.00
Unrealized Market Discount Income	$628.45 Q							

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
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NFP Securities, Inc.
FINRA / SIPC / Registered Investment Adviser

HOLDINGS > FIXED INCOME *continued*

Description	Symbol/Cusip Account Type	Quantity	Estimated Price on 12/31/12	Estimated Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Total Corporate Bonds		350,000		$384,678.47	$18,592.50	$299,340.50	$85,337.97
Total Fixed Income		350,000		$384,678.47	$18,592.50	$299,340.50	$85,337.97

HOLDINGS > MUTUAL FUNDS - 31.26% of Total Account Value

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/12	Current Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Fixed Income							
ARTIO GLOBAL HIGH INCOME FUND CL I Estimated Yield 7.55% Dividend Option Cash Capital Gain Option Cash	JHYIX CASH	4,808.824	$9.94	$47,799.71	$3,610.18	$50,701.80	($2,902.09)
DOUBLELINE CORE FIXED INCOME FD CL N Estimated Yield 3.74% Dividend Option Cash Capital Gain Option Cash	DLFNX CASH	11,841.797	$11.33	$134,167.56	$5,026.97	$131,265.00	$2,902.56
DOUBLELINE TOTAL RT BOND FD CL N Estimated Yield 5.81% Dividend Option Cash Capital Gain Option Cash	DLTNX CASH	2,709.254	$11.33	$30,695.85	$1,784.35	$30,126.91	$568.94
HARBOR REAL RETURN FD INSTL CLASS SHS Estimated Yield 2.66% Dividend Option Cash Capital Gain Option Cash	HARRX CASH	3,110.958	$11.18	$34,780.51	$927.36	$33,801.80	$978.71
TEMPLETON GLOBAL BOND ADVISOR CLASS Estimated Yield 6.04% Dividend Option Cash Capital Gain Option Cash	TGBAX CASH	1,508.082	$13.34	$20,117.81	$1,217.02	$21,073.14	($955.33)

RICHARD H SIMONS CHAR TRUST - Trust: Under Agreemnt
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59-7034786

NFP Securities, Inc.
FINRA / SIPC / Registered Investment Adviser

HOLDINGS > MUTUAL FUNDS *continued*

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/12	Current Market Value	Estimated Annual Income	Total Cost Basis		Unrealized Gain (Loss)
VANGUARD GNMA INVESTOR CL Estimated Yield 2.79% Dividend Option Cash Capital Gain Option Cash	VFIIX CASH	15,827.778	$10.91	$172,681.08	$4,830.37	$174,717.92	I	($2,036.86)
VANGUARD INTERMED TERM INVESTOR CL Estimated Yield 1.35% Dividend Option Cash Capital Gain Option Cash	VFITX CASH	4,955.027	$11.70	$57,973.82	$785.12	$57,339.16	I	$634.66
VANGUARD INTERMED TERM TAX EXEMPT INV Estimated Yield 3.09% Dividend Option Cash Capital Gain Option Cash	VWITX CASH	2,189.373	$14.38	$31,483.18	$973.74	$29,682.80	I	$1,800.38
VANGUARD SHORT TERM TAX EXEMPT INVESTOR Estimated Yield 1.06% Dividend Option Cash Capital Gain Option Cash	VWSTX CASH	4,182.717	$15.91	$66,547.03	$707.31	$66,667.87	I	($120.84)
VANGUARD SHORT TERM TREASURY INVESTOR Estimated Yield 0.45% Dividend Option Cash Capital Gain Option Cash	VFISX CASH	5,339.228	$10.74	$57,343.31	$262.11	$57,513.02	I	($169.71)
Total Fixed Income				$653,589.84	$20,124.53	$652,889.42		$700.42
Total Mutual Funds				$653,589.84	$20,124.53	$652,889.42		$700.42
Total Securities				$1,689,278.53	$64,152.08	$1,592,904.24		$96,374.29
TOTAL PORTFOLIO VALUE				$2,091,134.18	$64,152.06	$1,592,904.24		$96,374.29

Account carried with National Financial Services LLC, Member NYSE, SIPC

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