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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation
The James I Harrison Family Foundation

A Employer identification number
58-2327810

Number and street (or P O box number if mail is not delivered to street address)
3925 Rice Mine Road NE

Room/suite

B Telephone number (see instructions)
(205) 345-2400

City or town, state, and ZIP code
Tuscaloosa, AL 35406

C If exemption application is pending, check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 14,262,564

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities.	511,080	511,080		
	5a	Gross rents				
	b	Net rental income or (loss) ________				
	6a	Net gain or (loss) from sale of assets not on line 10	272,642			
	b	Gross sales price for all assets on line 6a 9,375,034				
	7	Capital gain net income (from Part IV, line 2) . . .		272,642		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,010	1,010		
	12	**Total.** Add lines 1 through 11	784,732	784,732		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	50,184	50,184		
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule).				
	b	Accounting fees (attach schedule).	5,000	4,000		1,000
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	10,467	1,701		
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	14,255	14,255		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	79,906	70,140		1,000
	25	Contributions, gifts, grants paid	2,319,219			2,319,219
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,399,125	70,140		2,320,219
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-1,614,393			
	b	**Net investment income** (if negative, enter -0-)		714,592		
	c	**Adjusted net income** (if negative, enter -0-) . . .				

Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets			
1 Cash—non-interest-bearing	4,244	15,505	15,505
2 Savings and temporary cash investments	367,366	309,129	309,129
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments—U S and state government obligations (attach schedule)	8,227,272	4,944,216	5,154,771
b Investments—corporate stock (attach schedule)	5,202,667	5,535,647	5,861,233
c Investments—corporate bonds (attach schedule)	836,585	2,207,116	2,333,749
11 Investments—land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
12 Investments—mortgage loans			
13 Investments—other (attach schedule)	382,591	394,719	588,177
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	15,020,725	13,406,332	14,262,564
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	15,020,725	13,406,332	
28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see page 17 of the instructions)	15,020,725	13,406,332	
31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	15,020,725	13,406,332	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,020,725
2	Enter amount from Part I, line 27a	2	-1,614,393
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	13,406,332
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	13,406,332

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7	2	272,642
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	13,967

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	1,623,572	16,321,297	0 09948
2010	1,741,332	17,031,389	0 10224
2009	1,135,609	16,633,066	0 06827
2008	763,439	17,349,271	0 04400
2007	1,041,045	17,985,365	0 05788

2	**Total** of line 1, column (d).	2	0 37188
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 07438
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	14,676,303
5	Multiply line 4 by line 3.	5	1,091,565
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	7,146
7	Add lines 5 and 6.	7	1,098,711
8	Enter qualifying distributions from Part XII, line 4.	8	2,320,219

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ___________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	7,146
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	7,146
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	7,146
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	8,000		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	8,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	854
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 854	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ GA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶James I Harrison Jr Telephone no ▶(205) 345-2400

Located at ▶3925 Rice Mine Road NE Tuscaloosa AL ZIP +4 ▶35406

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		No
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		**No**
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☑ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		**No**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Lazard Freres Co LLC 10 Rockefeller Plaza New York, NY 10020	Trustee 15 00	50,184		
Peggy T Harrison 6745 Emerald Lane Tuscaloosa, AL 35406	Director 1 00	0		
James I Harrison Jr 6745 Emerald Lane Tuscaloosa, AL 35406	Pres/Sec/Treas 1 00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Charitable Program (Providing support to a total of 23 charitable organizations in the total amount of $2,319,219)	2,319,219
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	12,939,917
b	Average of monthly cash balances.	1b	298,468
c	Fair market value of all other assets (see instructions).	1c	1,661,415
d	**Total** (add lines 1a, b, and c).	1d	14,899,800
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	14,899,800
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	223,497
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,676,303
6	**Minimum investment return.** Enter 5% of line 5.	6	733,815

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	733,815
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	7,146		
b	Income tax for 2012 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	7,146
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	726,669
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	726,669
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	726,669

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,320,219
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,320,219
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	7,146
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	2,313,073

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				726,669
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.				
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2012				
a From 2007. 141,231				
b From 2008.				
c From 2009. 315,496				
d From 2010. 903,791				
e From 2011. 823,411				
f Total of lines 3a through e.	2,183,929			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 2,320,219				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				726,669
e Remaining amount distributed out of corpus	1,593,550			
5 Excess distributions carryover applied to 2012				
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,777,479			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . .	141,231			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	3,636,248			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . . 315,496				
c Excess from 2010. . . . 903,791				
d Excess from 2011. . . . 823,411				
e Excess from 2012. . . . 1,593,550				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

James I Harrison Jr

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

The James I Harrison Family Foundat
3925 Rice Mine Road NE
Tuscaloosa, AL 35406
(205) 345-2400

b The form in which applications should be submitted and information and materials they should include

By either telephone or written request Applicants must provide the Foundation with a copy of their IRS exemption letter Most grants are awarded based on the proactive efforts of the Foundation President

c Any submission deadlines

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Generally limited to organizations located in Tuscaloosa County, Alabama

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				2,319,219
b *Approved for future payment* See Additional Data Table				
Total . . . . ▶ **3b**				247,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					511,080
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					272,642
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a Other Income					1,010
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					784,732

13 **Total.** Add line 12, columns (b), (d), and (e). 13 784,732

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		No
	(2) Other assets	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
	(3) Rental of facilities, equipment, or other assets	1b(3)		No
	(4) Reimbursement arrangements	1b(4)		No
	(5) Loans or loan guarantees	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2013-05-08	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Darren L Neuschwander CPA	Darren L Neuschwander CPA			P00291621

Firm's name	Neuschwander Faircloth & Hardy PC	Firm's EIN
Firm's address	PO Box 1198 Robertsdale, AL 365671198	Phone no (251) 947-2470

TY 2012 Accounting Fees Schedule

Name: The James I Harrison Family Foundation

EIN: 58-2327810

Software ID: 12000229

Software Version: 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Neuschwander, Faircloth & Hardy, P C	5,000	4,000	0	1,000

TY 2012 Investments Corporate Bonds Schedule

Name: The James I Harrison Family Foundation

EIN: 58-2327810

Software ID: 12000229

Software Version: 2012v2.0

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Corporate Bonds	2,207,116	2,333,749

TY 2012 Investments Corporate Stock Schedule

Name: The James I Harrison Family Foundation

EIN: 58-2327810

Software ID: 12000229

Software Version: 2012v2.0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Corporate Stock	5,535,647	5,861,233

TY 2012 Investments Government Obligations Schedule

Name:	The James I Harrison Family Foundation
EIN:	58-2327810
Software ID:	12000229
Software Version:	2012v2.0
US Government Securities - End of Year Book Value:	4,944,216
US Government Securities - End of Year Fair Market Value:	5,154,771
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2012 Investments - Other Schedule

Name: The James I Harrison Family Foundation

EIN: 58-2327810

Software ID: 12000229

Software Version: 2012v2.0

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Other Securities	AT COST	394,719	588,177

TY 2012 Other Expenses Schedule

Name: The James I Harrison Family Foundation

EIN: 58-2327810

Software ID: 12000229

Software Version: 2012v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Expenses	14,016	14,016		
Filing Fees	239	239		

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TY 2012 Other Income Schedule

Name: The James I Harrison Family Foundation

EIN: 58-2327810

Software ID: 12000229

Software Version: 2012v2.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other Income	1,010	1,010	

TY 2012 Taxes Schedule

Name: The James I Harrison Family Foundation

EIN: 58-2327810

Software ID: 12000229

Software Version: 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign Tax Withheld	1,701	1,701		
Federal Estimated Taxes Paid	8,766			

Sell Ledger

Trade Date	Activity	Security	Quantity	Net Unit Price	Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Equity									
02 Apr 12	Sell	iShares Core S&P 500 ETF	1,295 00	142 49	184,495 03	06 Jul 10	133,074 20	51,420 83	
02 Apr 12	Sell	iShares CORE S&P MIDCAP ETF	90 00	100 05	9,002 49	06 Jan 09	5,015 28	3,987 21	
02 Apr 12	Sell	iShares CORE S&P MIDCAP ETF	160 00	100 05	16,004 42	17 Apr 08	13,103 68	2,900 74	
02 Apr 12	Sell	iShares CORE S&P MIDCAP ETF	250 00	100 05	25,006 91	03 Jul 08	19,638 10	5,368 81	
02 Apr 12	Sell	iShares Core S&P SmallCap ETF	355 00	77 00	27,327 82	01 Jun 09	16,252 72	11,075 10	
02 Apr 12	Sell	iShares Core S&P SmallCap ETF	725 00	77 00	55,810 33	07 Jul 09	31,180 51	24,629 82	
02 Oct 12	Sell	iShares Core S&P 500 ETF	1,015 00	144 62	146,765 71	06 Jul 10	104,301 40	42,464 31	
02 Oct 12	Sell	iShares CORE S&P MIDCAP ETF	285 00	98 38	28,032 00	01 Jun 09	17,075 43	10,956 57	
02 Oct 12	Sell	iShares CORE S&P MIDCAP ETF	485 00	98 38	47,703 58	06 Jan 09	27,026 77	20,676 81	
02 Oct 12	Sell	iShares Core S&P SmallCap ETF	265 00	77 15	20,439 01	07 Jul 11	20,179 51	259 51	
02 Oct 12	Sell	Vanguard FTSE Emerging Markets ETF	275 00	42 01	11,547 13	05 Apr 11	13,817 60	(2,270 47)	
02 Oct 12	Sell	Vanguard FTSE Emerging Markets ETF	620 00	42 01	26,033 52	04 Oct 10	28,494 58	(2,461 06)	
02 Oct 12	Sell	iShares Core S&P SmallCap ETF	130 00	77 15	10,026 69	10 Jan 12	9,157 38		869 30
04 Jan 12	Sell	ISHARES S&P 500 GROWTH INDEX FUND	3,100 00	68 24	211,488 16	07 Jul 11	222,629 91		(11,141 75)
16 Jul 12	Sell	iShares Core S&P SmallCap ETF	75 00	73 36	5,500 68	07 Jul 09	3,225 57	2,275 12	
16 Jul 12	Sell	iShares Core S&P SmallCap ETF	435 00	73 36	31,903 97	07 Jul 11	33,124 86	(1,220 90)	
16 Jul 12	Sell	iShares Core S&P SmallCap ETF	450 00	73 36	33,004 11	19 Apr 10	28,053 68	4,950 43	
16 Jul 12	Sell	SPDR EURO STOXX 50 ETF	415 00	27 17	11,266 99	04 Jan 12	12,429 25		(1,162 26)
16 Jul 12	Sell	WisdomTree EM Equity Income Fund ETF	560 00	50 61	28,332 17	10 Jan 12	29,575 90		(1,243 73)
16 Jul 12	Sell	WisdomTree EM Equity Income Fund ETF	1,360 00	50 61	68,806 71	04 Oct 11	63,404 29		5,402 42
17 Apr 12	Sell	iShares MSCI Japan Index Fund	200 00	9 81	1,958 00	07 Jul 09	1,868 00	90 00	
17 Apr 12	Sell	iShares MSCI Japan Index Fund	200 00	9 81	1,958 00	04 Jan 10	1,999 10	(41 10)	
17 Apr 12	Sell	iShares MSCI Japan Index Fund	600 00	9 81	5,873 98	04 Jan 10	5,994 30	(120 31)	
17 Apr 12	Sell	iShares MSCI Japan Index Fund	975 00	9 81	9,545 23	19 Apr 10	10,217 42	(672 19)	
17 Apr 12	Sell	iShares MSCI Japan Index Fund	2,925 00	9 81	28,635 69	04 Oct 10	28,460 25	175 44	
17 Apr 12	Sell	Vanguard FTSE Emerging Markets ETF	225 00	42 88	9,640 78	04 Oct 10	10,340 78	(700 00)	

Sell Ledger

Trade Date	Activity	Security	Quantity	Net Unit Price	Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Equity									
17 Apr 12	Sell	iShares MSCI Pacific ex-Japan Index Fd	100 00	43 63	4,360 98	19 Apr 10	4,364 76	(3 78)	
17 Apr 12	Sell	iShares MSCI Pacific ex-Japan Index Fd	550 00	43 63	23,985 40	05 Apr 11	27,014 08	(3,028 68)	
17 Apr 12	Sell	iShares MSCI Pacific ex-Japan Index Fd	810 00	43 63	35,323 96	07 Jul 09	24,365 85	10,958 11	
17 Apr 12	Sell	iShares MSCI Pacific ex-Japan Index Fd	950 00	43 63	41,429 33	04 Oct 10	42,301 41	(872 08)	
17 Apr 12	Sell	iShares MSCI Japan Index Fund	4,375 00	9 81	42,831 17	10 Jan 12	40,380 81		2,450 35
17 Apr 12	Sell	WisdomTree EM Equity Income Fund ETF	150 00	56 57	8,477 58	04 Oct 11	6,993 12		1,484 46
17 Apr 12	Sell	iShares MSCI Pacific ex-Japan Index Fd	565 00	43 63	24,639 55	10 Jan 12	22,853 01		1,786 54
26 Jul 12	Sell	SPDR EURO STOXX 50 ETF	265 00	27 05	7,163 45	17 Apr 12	8,116 47		(953 02)
26 Jul 12	Sell	SPDR EURO STOXX 50 ETF	1,475 00	27 05	39,872 04	04 Jan 12	44,176 25		(4,304 21)
27 Feb 12	Sell	iShares DJ Select Dividend Index Fund	525 00	55 70	29,231 79	04 Jan 12	28,224 00		1,007 78
27 Feb 12	Sell	iShares DJ Select Dividend Index Fund	3,845 00	55 70	214,088 04	04 Oct 11	179,076 65		35,011 40
Total Equity Sales					**1,527,512 40**		**1,317,506 88**	**180,798 24**	**29,207 28**

Sell Ledger

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Non Equity													
02 Oct 12	Sell	Vanguard Total Bond Market ETF			1,115 00	85 03	94,784 13	0 00	94,784 13	01 Jun 11	91,223 72	3,560 41	
02 Oct 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			2,279 75	10 14	23,116 66	0 00	23,116 66	03 Mar 08	22,621 99	494 67	
10 Jan 12	Sell	VANGUARD SHORT-TERM CORP BOND ETF			25 00	78 00	1,949 53	0 00	1,949 53	04 Oct 10	1,961 48	(11 95)	
10 Jan 12	Sell	VANGUARD SHORT-TERM CORP BOND ETF			5,135 00	78 00	400,432 96	0 00	400,432 96	19 Apr 10	393,121 22	7,311 74	
10 Jan 12	Sell	ISHARES BARCLAYS 7-10YR TREAS BOND F			1,650 00	104 81	172,900 50	0 00	172,900 50	02 Mar 10	149,476 47	23,424 03	
16 Jul 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			295 18	9 58	2,827 81	0 00	2,827 81	03 Mar 08	2,929 05	(101 25)	
16 Jul 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			819 62	9 58	7,851 95	0 00	7,851 95	30 Jan 08	8,992 40	(1,140 44)	
16 Jul 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			887 01	9 58	8,497 53	0 00	8,497 53	13 Nov 07	10,256 38	(1,758 85)	
16 Jul 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			1,312 50	9 58	12,573 75	0 00	12,573 75	13 Nov 07	12,824 00	(250 25)	
16 Jul 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			2,010 95	9 58	19,264 90	0 00	19,264 90	13 Nov 07	23,328 50	(4,063 60)	
16 Jul 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			2,060 25	9 58	19,737 19	0 00	19,737 19	13 Nov 07	23,995 25	(4,258 06)	
16 Jul 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			2,076 38	9 58	19,891 67	0 00	19,891 67	13 Nov 07	23,980 51	(4,088 84)	
16 Jul 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			2,317 50	9 58	22,201 65	0 00	22,201 65	04 Jan 08	23,092 25	(890 60)	
16 Jul 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			4,410 00	9 58	42,247 80	0 00	42,247 80	29 Jan 08	46,942 44	(4,694 64)	
16 Jul 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			4,689 50	9 58	44,925 41	0 00	44,925 41	29 Jan 08	45,617 95	(692 54)	
16 Jul 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			7,023 00	9 58	67,280 34	0 00	67,280 34	04 Jan 08	67,905 00	(624 66)	
17 Apr 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			1,604 07	9 89	15,864 22	0 00	15,864 22	13 Nov 07	21,199 05	(5,334 83)	
17 Apr 12	Sell	Lazard Cap Allocator Opp Strat Inst Fd			1,692 19	9 89	16,735 79	0 00	16,735 79	13 Nov 07	19,566 66	(2,830 87)	
17 Apr 12	Sell	Vanguard Total Bond Market ETF			365 00	83 66	30,527 71	0 00	30,527 71	01 Jun 11	29,862 48		665 23
Total Non Equity Sales							**1,023,611 50**	**0 00**	**1,023,611 50**		**1,018,896 80**	**4,049 47**	**665 23**
Total Sales							**2,551,123 90**	**0 00**	**2,551,123 90**		**2,336,403 68**	**184,847 71**	**29,872 51**

Sell Ledger

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Non Equity													
30 Apr 12	Mature	US TREASURY BOND	4 50	30 Apr 12	550,000 00	100 00	550,000 00	0 00	550,000 00	11 Jan 12	557,042 23		(7,042 23)
14 Apr 12	Principal Pay	FHLMC POOL #J02774	5 00	01 May 20	11,587 84	100 00	11,587 84	0 00	11,587 84	06 May 09	12,096 80	(508 96)	
14 Aug 12	Principal Pay	FHLMC POOL #J02774	5 00		15,699 81	100 00	15,699 81	0 00	15,699 81	06 May 09	16,389 38	(689 57)	
14 Dec 12	Principal Pay	FHLMC POOL #J02774	5 00		8,080 80	100 00	8,080 80	0 00	8,080 80	06 May 09	8,435 73	(354 92)	
14 Feb 12	Principal Pay	FHLMC POOL #J02774	5 00		9,840 91	100 00	9,840 91	0 00	9,840 91	06 May 09	10,273 14	(432 23)	
14 Jan 12	Principal Pay	FHLMC POOL #J02774	5 00		11,770 96	100 00	11,770 96	0 00	11,770 96	06 May 09	12,287 97	(517 00)	
14 Jul 12	Principal Pay	FHLMC POOL #J02774	5 00		15,503 06	100 00	15,503 06	0 00	15,503 06	06 May 09	16,183 99	(680 93)	
14 Jun 12	Principal Pay	FHLMC POOL #J02774	5 00		10,959 79	100 00	10,959 79	0 00	10,959 79	06 May 09	11,441 16	(481 38)	
14 Mar 12	Principal Pay	FHLMC POOL #J02774	5 00		12,267 05	100 00	12,267 05	0 00	12,267 05	06 May 09	12,805 85	(538 79)	
14 May 12	Principal Pay	FHLMC POOL #J02774	5 00		11,595 05	100 00	11,595 05	0 00	11,595 05	06 May 09	12,104 33	(509 28)	
14 Nov 12	Principal Pay	FHLMC POOL #J02774	5 00		13,761 40	100 00	13,761 40	0 00	13,761 40	06 May 09	14,365 83	(604 43)	
14 Oct 12	Principal Pay	FHLMC POOL #J02774	5 00		8,987 76	100 00	8,987 76	0 00	8,987 76	06 May 09	9,382 52	(394 76)	
14 Sep 12	Principal Pay	FHLMC POOL #J02774	5 00		9,015 88	100 00	9,015 88	0 00	9,015 88	06 May 09	9,411 88	(396 00)	
15 Apr 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	7,029 79	100 00	7,029 79	0 00	7,029 79	28 Jul 10	7,520 07	(490 27)	
15 Apr 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	10,264 11	100 00	10,264 11	0 00	10,264 11	23 Jun 10	10,833 67	(569 56)	
15 Apr 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	5,901 35	100 00	5,901 35	0 00	5,901 35	07 Oct 10	6,375 57	(474 22)	
15 Apr 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	5,838 94	100 00	5,838 94	0 00	5,838 94	11 Aug 11	6,335 50		(496 57)
15 Apr 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	10,236 06	100 00	10,236 06	0 00	10,236 06	19 Jul 11	11,240 96		(1,004 90)
15 Aug 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	5,828 09	100 00	5,828 09	0 00	5,828 09	11 Aug 11	6,323 73	(495 64)	
15 Aug 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	4,489 70	100 00	4,489 70	0 00	4,489 70	28 Jul 10	4,802 82	(313 12)	
15 Aug 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	10,265 86	100 00	10,265 86	0 00	10,265 86	23 Jun 10	10,835 52	(569 66)	
15 Aug 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	11,486 88	100 00	11,486 88	0 00	11,486 88	19 Jul 11	12,614 58	(1,127 70)	
15 Aug 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	6,624 24	100 00	6,624 24	0 00	6,624 24	07 Oct 10	7,156 55	(532 31)	
15 Dec 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	5,375 21	100 00	5,375 21	0 00	5,375 21	11 Aug 11	5,832 34	(457 13)	
15 Dec 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	5,055 99	100 00	5,055 99	0 00	5,055 99	28 Jul 10	5,408 60	(352 61)	
15 Dec 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	10,639 19	100 00	10,639 19	0 00	10,639 19	23 Jun 10	11,229 56	(590 37)	

Sell Ledger

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Non Equity													
15 Dec 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	10,039 57	100 00	10,039 57	0 00	10,039 57	19 Jul 11	11,025 18	(985 61)	
15 Dec 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	6,607 91	100 00	6,607 91	0 00	6,607 91	07 Oct 10	7,138 91	(531 00)	
15 Feb 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	5,051 28	100 00	5,051 28	0 00	5,051 28	28 Jul 10	5,403 57	(352 29)	
15 Feb 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	10,052 14	100 00	10,052 14	0 00	10,052 14	23 Jun 10	10,609 94	(557 80)	
15 Feb 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	5,301 96	100 00	5,301 96	0 00	5,301 96	07 Oct 10	5,728 01	(426 06)	
15 Feb 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	6,098 35	100 00	6,098 35	0 00	6,098 35	11 Aug 11	6,616 97		(518 63)
15 Feb 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	7,908 71	100 00	7,908 71	0 00	7,908 71	19 Jul 11	8,685 13		(776 42)
15 Jan 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	4,712 94	100 00	4,712 94	0 00	4,712 94	28 Jul 10	5,041 63	(328 69)	
15 Jan 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	12,724 01	100 00	12,724 01	0 00	12,724 01	23 Jun 10	13,430 08	(706 06)	
15 Jan 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	6,708 81	100 00	6,708 81	0 00	6,708 81	07 Oct 10	7,247 91	(539 11)	
15 Jan 12	Principal Pay	FHLMC POOL FG #G13085	4 50	01 Apr 23	12,943 92	100 00	12,943 92	0 00	12,943 92	04 Jun 08	12,620 33	323 60	
15 Jan 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	5,739 54	100 00	5,739 54	0 00	5,739 54	11 Aug 11	6,227 65		(488 11)
15 Jan 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	8,968 64	100 00	8,968 64	0 00	8,968 64	19 Jul 11	9,849 12		(880 48)
15 Jul 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	5,721 58	100 00	5,721 58	0 00	5,721 58	28 Jul 10	6,120 62	(399 03)	
15 Jul 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	10,427 32	100 00	10,427 32	0 00	10,427 32	23 Jun 10	11,005 94	(578 62)	
15 Jul 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	5,848 28	100 00	5,848 28	0 00	5,848 28	07 Oct 10	6,318 24	(469 96)	
15 Jul 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	4,042 55	100 00	4,042 55	0 00	4,042 55	11 Aug 11	4,386 34		(343 79)
15 Jul 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	6,358 17	100 00	6,358 17	0 00	6,358 17	19 Jul 11	6,982 37		(624 20)
15 Jun 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	4,233 21	100 00	4,233 21	0 00	4,233 21	28 Jul 10	4,528 44	(295 23)	
15 Jun 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	6,615 49	100 00	6,615 49	0 00	6,615 49	23 Jun 10	6,982 59	(367 10)	
15 Jun 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	5,987 36	100 00	5,987 36	0 00	5,987 36	07 Oct 10	6,468 50	(481 13)	
15 Jun 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	5,190 98	100 00	5,190 98	0 00	5,190 98	11 Aug 11	5,632 44		(441 46)
15 Jun 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	8,459 28	100 00	8,459 28	0 00	8,459 28	19 Jul 11	9,289 75		(830 47)
15 Mar 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	6,600 67	100 00	6,600 67	0 00	6,600 67	28 Jul 10	7,061 01	(460 34)	
15 Mar 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	10,714 35	100 00	10,714 35	0 00	10,714 35	23 Jun 10	11,308 90	(594 55)	
15 Mar 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	7,587 16	100 00	7,587 16	0 00	7,587 16	07 Oct 10	8,196 85	(609 69)	

Sell Ledger

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Non Equity													
15 Mar 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	7,795 78	100 00	7,795 78	0 00	7,795 78	11 Aug 11	8,458 76		(662 98)
15 Mar 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	5,226 49	100 00	5,226 49	0 00	5,226 49	19 Jul 11	5,739 58		(513 10)
15 May 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	4,799 49	100 00	4,799 49	0 00	4,799 49	28 Jul 10	5,134 22	(334 73)	
15 May 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	7,696 74	100 00	7,696 74	0 00	7,696 74	23 Jun 10	8,123 83	(427 10)	
15 May 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	5,625 41	100 00	5,625 41	0 00	5,625 41	07 Oct 10	6,077 46	(452 05)	
15 May 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	5,578 79	100 00	5,578 79	0 00	5,578 79	11 Aug 11	6,053 23		(474 44)
15 May 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	12,343 35	100 00	12,343 35	0 00	12,343 35	19 Jul 11	13,555 13		(1,211 78)
15 Nov 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	5,991 75	100 00	5,991 75	0 00	5,991 75	11 Aug 11	6,501 31	(509 56)	
15 Nov 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	4,267 53	100 00	4,267 53	0 00	4,267 53	28 Jul 10	4,565 16	(297 63)	
15 Nov 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	13,723 29	100 00	13,723 29	0 00	13,723 29	23 Jun 10	14,484 80	(761 51)	
15 Nov 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	9,528 08	100 00	9,528 08	0 00	9,528 08	19 Jul 11	10,463 47	(935 40)	
15 Nov 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	7,456 47	100 00	7,456 47	0 00	7,456 47	07 Oct 10	8,055 65	(599 19)	
15 Oct 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	4,463 13	100 00	4,463 13	0 00	4,463 13	11 Aug 11	4,842 69	(379 56)	
15 Oct 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	3,545 03	100 00	3,545 03	0 00	3,545 03	28 Jul 10	3,792 27	(247 24)	
15 Oct 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	12,890 28	100 00	12,890 28	0 00	12,890 28	23 Jun 10	13,605 57	(715 29)	
15 Oct 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	8,864 67	100 00	8,864 67	0 00	8,864 67	19 Jul 11	9,734 93	(870 27)	
15 Oct 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	5,784 24	100 00	5,784 24	0 00	5,784 24	07 Oct 10	6,249 05	(464 81)	
15 Sep 12	Principal Pay	FHLMC POOL #A91541	5 00	01 Mar 40	6,584 98	100 00	6,584 98	0 00	6,584 98	11 Aug 11	7,144 99	(560 01)	
15 Sep 12	Principal Pay	FHLMC POOL #G13298	5 00	01 Oct 23	3,637 31	100 00	3,637 31	0 00	3,637 31	28 Jul 10	3,890 98	(253 67)	
15 Sep 12	Principal Pay	FHLMC POOL #G13844	4 50	01 Jul 25	12,470 64	100 00	12,470 64	0 00	12,470 64	23 Jun 10	13,162 64	(692 00)	
15 Sep 12	Principal Pay	FHLMC POOL FG #G03941	6 00	01 Feb 38	8,878 41	100 00	8,878 41	0 00	8,878 41	19 Jul 11	9,750 03	(871 62)	
15 Sep 12	Principal Pay	FHLMC POOL FG #G08232	6 00	01 Nov 37	7,913 82	100 00	7,913 82	0 00	7,913 82	07 Oct 10	8,549 76	(635 94)	
24 Apr 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	1,976 20	100 00	1,976 20	0 00	1,976 20	11 Sep 07	1,949 42	26 78	
24 Apr 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	14,611 65	100 00	14,611 65	0 00	14,611 65	11 Sep 07	14,438 35	173 30	
24 Aug 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	950 47	100 00	950 47	0 00	950 47	11 Sep 07	937 59	12 88	
24 Aug 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	4,854 53	100 00	4,854 53	0 00	4,854 53	11 Sep 07	4,796 95	57 58	

Sell Ledger

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)

Non Equity

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
24 Dec 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	921 78	100 00	921 78	0 00	921 78	11 Sep 07	909 29	12 49	
24 Dec 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	13,524 47	100 00	13,524 47	0 00	13,524 47	11 Sep 07	13,364 07	160 41	
24 Feb 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	745 94	100 00	745 94	0 00	745 94	11 Sep 07	735 83	10 11	
24 Feb 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	14,967 09	100 00	14,967 09	0 00	14,967 09	11 Sep 07	14,789 58	177 52	
24 Jan 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	4,726 70	100 00	4,726 70	0 00	4,726 70	11 Sep 07	4,662 65	64 05	
24 Jan 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	929 78	100 00	929 78	0 00	929 78	11 Sep 07	918 75	11 03	
24 Jul 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	3,824 22	100 00	3,824 22	0 00	3,824 22	11 Sep 07	3,772 40	51 82	
24 Jul 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	15,534 32	100 00	15,534 32	0 00	15,534 32	11 Sep 07	15,350 08	184 24	
24 Jun 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	8,085 73	100 00	8,085 73	0 00	8,085 73	11 Sep 07	7,976 16	109 57	
24 Jun 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	4,981 74	100 00	4,981 74	0 00	4,981 74	11 Sep 07	4,922 66	59 09	
24 Mar 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	1,968 32	100 00	1,968 32	0 00	1,968 32	11 Sep 07	1,941 64	26 67	
24 Mar 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	14,498 83	100 00	14,498 83	0 00	14,498 83	11 Sep 07	14,326 87	171 96	
24 May 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	4,812 74	100 00	4,812 74	0 00	4,812 74	11 Sep 07	4,747 52	65 22	
24 May 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	880 78	100 00	880 78	0 00	880 78	11 Sep 07	870 33	10 45	
24 Nov 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	1,744 95	100 00	1,744 95	0 00	1,744 95	11 Sep 07	1,721 30	23 65	
24 Nov 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	9,742 58	100 00	9,742 58	0 00	9,742 58	11 Sep 07	9,627 03	115 55	
24 Oct 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	1,472 49	100 00	1,472 49	0 00	1,472 49	11 Sep 07	1,452 53	19 95	
24 Oct 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	5,085 84	100 00	5,085 84	0 00	5,085 84	11 Sep 07	5,025 52	60 32	
24 Sep 12	Principal Pay	FNMA POOL #256543	5 00	01 Nov 21	1,821 76	100 00	1,821 76	0 00	1,821 76	11 Sep 07	1,797 07	24 69	
24 Sep 12	Principal Pay	FNMA POOL #836178	5 50	01 Oct 35	14,275 19	100 00	14,275 19	0 00	14,275 19	11 Sep 07	14,105 88	169 31	
25 Apr 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	8,579 55	100 00	8,579 55	0 00	8,579 55	08 Jan 09	8,906 86	(327 31)	
25 Apr 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	5,533 34	100 00	5,533 34	0 00	5,533 34	15 May 09	5,783 40	(250 07)	
25 Apr 12	Principal Pay	FNMA POOL #911568	6 00	01 Apr 37	6,924 70	100 00	6,924 70	0 00	6,924 70	29 Apr 10	7,428 22	(503 52)	
25 Apr 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	391 43	100 00	391 43	0 00	391 43	11 Sep 07	394 62	(3 19)	
25 Apr 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	6,709 91	100 00	6,709 91	0 00	6,709 91	05 Jan 11	6,718 00	(8 08)	
25 Apr 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	1,607 60	100 00	1,607 60	0 00	1,607 60	25 Aug 11	1,689 32		(81 72)

Sell Ledger

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Non Equity													
25 Aug 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	5,307 04	100 00	5,307 04	0 00	5,307 04	08 Jan 09	5,509 50	(202 46)	
25 Aug 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	6,493 22	100 00	6,493 22	0 00	6,493 22	15 May 09	6,786 67	(293 45)	
25 Aug 12	Principal Pay	FNMA POOL #911568	6 00	01 Apr 37	4,571 43	100 00	4,571 43	0 00	4,571 43	29 Apr 10	4,903 83	(332 40)	
25 Aug 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	1,604 37	100 00	1,604 37	0 00	1,604 37	11 Sep 07	1,617 45	(13 07)	
25 Aug 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	8,684 21	100 00	8,684 21	0 00	8,684 21	05 Jan 11	8,694 67	(10 46)	
25 Aug 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	10,151 32	100 00	10,151 32	0 00	10,151 32	25 Aug 11	10,667 35		(516 03)
25 Dec 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	6,232 21	100 00	6,232 21	0 00	6,232 21	08 Jan 09	6,469 97	(237 76)	
25 Dec 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	3,583 34	100 00	3,583 34	0 00	3,583 34	15 May 09	3,745 28	(161 94)	
25 Dec 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	2,918 62	100 00	2,918 62	0 00	2,918 62	11 Sep 07	2,942 40	(23 78)	
25 Dec 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	8,661 20	100 00	8,661 20	0 00	8,661 20	05 Jan 11	8,671 64	(10 43)	
25 Dec 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	326 16	100 00	326 16	0 00	326 16	25 Aug 11	342 74	(16 58)	
25 Feb 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	4,030 59	100 00	4,030 59	0 00	4,030 59	08 Jan 09	4,184 36	(153 77)	
25 Feb 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	4,845 54	100 00	4,845 54	0 00	4,845 54	15 May 09	5,064 52	(218 98)	
25 Feb 12	Principal Pay	FNMA POOL #911568	6 00	01 Apr 37	6,382 11	100 00	6,382 11	0 00	6,382 11	29 Apr 10	6,846 18	(464 06)	
25 Feb 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	1,512 37	100 00	1,512 37	0 00	1,512 37	11 Sep 07	1,524 70	(12 32)	
25 Feb 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	2,705 38	100 00	2,705 38	0 00	2,705 38	05 Jan 11	2,708 63	(3 26)	
25 Feb 12	Principal Pay	FNMA POOL #AE0276	4 00	01 Aug 25	4,957 03	100 00	4,957 03	0 00	4,957 03	01 Dec 10	5,142 91	(185 89)	
25 Feb 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	590 82	100 00	590 82	0 00	590 82	25 Aug 11	620 85		(30 03)
25 Feb 12	Principal Pay	FNMA POOL #AI2495	4 00	01 May 26	6,673 96	100 00	6,673 96	0 00	6,673 96	19 May 11	6,926 59		(252 63)
25 Jan 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	8,774 87	100 00	8,774 87	0 00	8,774 87	08 Jan 09	9,109 63	(334 76)	
25 Jan 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	4,781 52	100 00	4,781 52	0 00	4,781 52	15 May 09	4,997 61	(216 09)	
25 Jan 12	Principal Pay	FNMA POOL #888700	4 00	01 Dec 20	9,724 17	100 00	9,724 17	0 00	9,724 17	11 Sep 07	9,215 40	508 77	
25 Jan 12	Principal Pay	FNMA POOL #911568	6 00	01 Apr 37	10,877 92	100 00	10,877 92	0 00	10,877 92	29 Apr 10	11,668 89	(790 97)	
25 Jan 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	4,797 95	100 00	4,797 95	0 00	4,797 95	11 Sep 07	4,837 05	(39 10)	
25 Jan 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	3,138 57	100 00	3,138 57	0 00	3,138 57	05 Jan 11	3,142 35	(3 78)	
25 Jan 12	Principal Pay	FNMA POOL #AE0276	4 00	01 Aug 25	8,729 14	100 00	8,729 14	0 00	8,729 14	01 Dec 10	9,056 49	(327 34)	

Sell Ledger

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Non Equity													
25 Jan 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	10,193 10	100 00	10,193 10	0 00	10,193 10	25 Aug 11	10,711 25		(518 15)
25 Jan 12	Principal Pay	FNMA POOL #AI2495	4 00	01 May 26	5,057 13	100 00	5,057 13	0 00	5,057 13	19 May 11	5,248 57		(191 43)
25 Jul 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	6,166 71	100 00	6,166 71	0 00	6,166 71	08 Jan 09	6,401 97	(235 26)	
25 Jul 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	4,856 59	100 00	4,856 59	0 00	4,856 59	15 May 09	5,076 08	(219 48)	
25 Jul 12	Principal Pay	FNMA POOL #911568	6 00	01 Apr 37	6,662 99	100 00	6,662 99	0 00	6,662 99	29 Apr 10	7,147 48	(484 49)	
25 Jul 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	1,889 49	100 00	1,889 49	0 00	1,889 49	11 Sep 07	1,904 88	(15 40)	
25 Jul 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	5,275 03	100 00	5,275 03	0 00	5,275 03	05 Jan 11	5,281 39	(6 35)	
25 Jul 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	106 83	100 00	106 83	0 00	106 83	25 Aug 11	112 26		(5 43)
25 Jun 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	4,782 76	100 00	4,782 76	0 00	4,782 76	08 Jan 09	4,965 22	(182 46)	
25 Jun 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	4,790 17	100 00	4,790 17	0 00	4,790 17	15 May 09	5,006 65	(216 48)	
25 Jun 12	Principal Pay	FNMA POOL #911568	6 00	01 Apr 37	13,164 70	100 00	13,164 70	0 00	13,164 70	29 Apr 10	14,121 94	(957 25)	
25 Jun 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	140 56	100 00	140 56	0 00	140 56	11 Sep 07	141 70	(1 15)	
25 Jun 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	6,017 92	100 00	6,017 92	0 00	6,017 92	05 Jan 11	6,025 17	(7 25)	
25 Jun 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	2,117 19	100 00	2,117 19	0 00	2,117 19	25 Aug 11	2,224 81		(107 62)
25 Mar 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	3,987 49	100 00	3,987 49	0 00	3,987 49	08 Jan 09	4,139 61	(152 12)	
25 Mar 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	5,407 96	100 00	5,407 96	0 00	5,407 96	15 May 09	5,652 37	(244 40)	
25 Mar 12	Principal Pay	FNMA POOL #911568	6 00	01 Apr 37	6,618 20	100 00	6,618 20	0 00	6,618 20	29 Apr 10	7,099 43	(481 23)	
25 Mar 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	1,481 89	100 00	1,481 89	0 00	1,481 89	11 Sep 07	1,493 97	(12 08)	
25 Mar 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	6,889 75	100 00	6,889 75	0 00	6,889 75	05 Jan 11	6,898 05	(8 30)	
25 Mar 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	9,486 32	100 00	9,486 32	0 00	9,486 32	25 Aug 11	9,968 55		(482 23)
25 May 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	1,654 45	100 00	1,654 45	0 00	1,654 45	08 Jan 09	1,717 57	(63 12)	
25 May 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	3,874 25	100 00	3,874 25	0 00	3,874 25	15 May 09	4,049 34	(175 09)	
25 May 12	Principal Pay	FNMA POOL #911568	6 00	01 Apr 37	9,080 97	100 00	9,080 97	0 00	9,080 97	29 Apr 10	9,741 28	(660 31)	
25 May 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	197 65	100 00	197 65	0 00	197 65	11 Sep 07	199 26	(1 61)	
25 May 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	7,478 76	100 00	7,478 76	0 00	7,478 76	05 Jan 11	7,487 77	(9 01)	
25 May 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	3,553 36	100 00	3,553 36	0 00	3,553 36	25 Aug 11	3,734 00		(180 63)

JAMES I HARRISON FAMILY FOUNDATION
Account Number. 30250138
Year Ended: 12/31/2012

Sell Ledger

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Non Equity													
25 Nov 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	8,203 64	100 00	8,203 64	0 00	8,203 64	08 Jan 09	8,516 61	(312 97)	
25 Nov 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	5,596 81	100 00	5,596 81	0 00	5,596 81	15 May 09	5,849 75	(252 94)	
25 Nov 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	133 08	100 00	133 08	0 00	133 08	11 Sep 07	134 16	(1 08)	
25 Nov 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	6,759 83	100 00	6,759 83	0 00	6,759 83	05 Jan 11	6,767 97	(8 14)	
25 Nov 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	2,040 04	100 00	2,040 04	0 00	2,040 04	25 Aug 11	2,143 74	(103 70)	
25 Oct 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	4,163 47	100 00	4,163 47	0 00	4,163 47	08 Jan 09	4,322 31	(158 84)	
25 Oct 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	4,343 71	100 00	4,343 71	0 00	4,343 71	15 May 09	4,540 01	(196 31)	
25 Oct 12	Principal Pay	FNMA POOL #911568	6 00	01 Apr 37	4,233 82	100 00	4,233 82	0 00	4,233 82	29 Apr 10	4,541 67	(307 86)	
25 Oct 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	3,186 92	100 00	3,186 92	0 00	3,186 92	11 Sep 07	3,212 89	(25 97)	
25 Oct 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	8,742 74	100 00	8,742 74	0 00	8,742 74	05 Jan 11	8,753 27	(10 53)	
25 Oct 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	61 23	100 00	61 23	0 00	61 23	25 Aug 11	64 34	(3 11)	
25 Sep 12	Principal Pay	FNMA POOL #555409	5 50	01 Apr 33	7,453 32	100 00	7,453 32	0 00	7,453 32	08 Jan 09	7,737 66	(284 34)	
25 Sep 12	Principal Pay	FNMA POOL #725429	5 00	01 May 19	4,021 47	100 00	4,021 47	0 00	4,021 47	15 May 09	4,203 21	(181 74)	
25 Sep 12	Principal Pay	FNMA POOL #911568	6 00	01 Apr 37	9,023 31	100 00	9,023 31	0 00	9,023 31	29 Apr 10	9,679 42	(656 11)	
25 Sep 12	Principal Pay	FNMA POOL #943010	6 00	01 Sep 37	1,785 03	100 00	1,785 03	0 00	1,785 03	11 Sep 07	1,799 57	(14 55)	
25 Sep 12	Principal Pay	FNMA POOL #AB2128	3 50	01 Jan 26	7,999 04	100 00	7,999 04	0 00	7,999 04	05 Jan 11	8,008 68	(9 64)	
25 Sep 12	Principal Pay	FNMA POOL #AC8887	3 72	01 Jan 40	6,143 92	100 00	6,143 92	0 00	6,143 92	25 Aug 11	6,456 23	(312 32)	
02 Nov 12	Sell	US TREASURY NOTE	4 00	15 Feb 14	203,079 58	104 79	212,804 51	1,810 06	214,614 57	27 Apr 12	216,640 98		(3,836 47)
02 Nov 12	Sell	US TREASURY NOTE	4 00		431,920 42	104 79	452,588 91	3,849 72	456,438 63	01 May 12	460,667 03		(8,078 12)
02 Oct 12	Sell	US TREASURY NOTE	4 00	15 Nov 12	16,430 40	100 43	16,500 17	255 39	16,755 56	29 Sep 11	17,135 47	(635 29)	
02 Oct 12	Sell	US TREASURY NOTE	4 00		22,260 54	100 43	22,355 07	346 01	22,701 08	26 Jan 12	22,953 50		(598 42)
02 Oct 12	Sell	US TREASURY NOTE	4 00		61,481 48	100 43	61,742 58	955 64	62,698 22	16 Dec 11	63,632 73		(1,890 14)
02 Oct 12	Sell	US TREASURY NOTE	4 00		74,827 59	100 43	75,145 37	1,163 08	76,308 45	14 Feb 12	76,963 40		(1,818 03)
04 Oct 12	Sell	US TREASURY NOTE	4 00		8,449 92	100 38	8,480 50	135 93	8,616 43	29 Sep 11	8,812 53	(332 03)	
04 Oct 12	Sell	US TREASURY NOTE	4 00		11,448 28	100 38	11,489 71	184 17	11,673 88	26 Jan 12	11,804 65		(314 95)
04 Oct 12	Sell	US TREASURY NOTE	4 00		31,619 05	100 38	31,733 48	508 66	32,242 13	16 Dec 11	32,725 40		(991 93)

Sell Ledger

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Non Equity													
04 Oct 12	Sell	US TREASURY NOTE	4 00	15 Nov 12	38,482 76	100 38	38,622 03	619 07	39,241 10	14 Feb 12	39,581 18		(959 15)
04 Oct 12	Sell	US TREASURY NOTE	4 00	15 Feb 14	311 42	105 09	326 96	1 90	328 85	29 Jun 12	330 10		(3 14)
04 Oct 12	Sell	US TREASURY NOTE	4 00		519 03	105 09	544 93	3 16	548 09	13 Jun 12	550 88		(5 95)
04 Oct 12	Sell	US TREASURY NOTE	4 00		674 74	105 09	708 41	4 11	712 51	27 Sep 12	709 93		(1 52)
04 Oct 12	Sell	US TREASURY NOTE	4 00		830 45	105 09	871 89	5 05	876 94	28 Aug 12	876 15		(4 27)
04 Oct 12	Sell	US TREASURY NOTE	4 00		1,038 06	105 09	1,090 62	6 32	1,096 94	29 Jun 12	1,100 34		(9 72)
04 Oct 12	Sell	US TREASURY NOTE	4 00		1,245 67	105 09	1,307 83	7 58	1,315 41	20 Sep 12	1,308 51		(0 68)
04 Oct 12	Sell	US TREASURY NOTE	4 00		1,297 58	105 09	1,362 32	7 90	1,370 22	26 Jul 12	1,373 77		(11 45)
04 Oct 12	Sell	US TREASURY NOTE	4 00		1,730 10	105 09	1,817 71	10 53	1,828 24	13 Jun 12	1,836 26		(18 55)
04 Oct 12	Sell	US TREASURY NOTE	4 00		1,920 42	105 09	2,016 24	11 69	2,027 92	27 Aug 12	2,025 75		(9 51)
04 Oct 12	Sell	US TREASURY NOTE	4 00		2,249 13	105 09	2,363 02	13 69	2,376 71	27 Sep 12	2,366 42		(3 40)
04 Oct 12	Sell	US TREASURY NOTE	4 00		2,750 87	105 09	2,888 12	16 74	2,904 86	27 Apr 12	2,934 56		(46 44)
04 Oct 12	Sell	US TREASURY NOTE	4 00		2,768 17	105 09	2,908 33	16 85	2,925 18	28 Aug 12	2,920 51		(12 18)
04 Oct 12	Sell	US TREASURY NOTE	4 00		4,152 25	105 09	4,362 50	25 27	4,387 77	20 Sep 12	4,361 69		0 80
04 Oct 12	Sell	US TREASURY NOTE	4 00		4,325 26	105 09	4,544 27	26 33	4,570 59	26 Jul 12	4,579 23		(34 96)
04 Oct 12	Sell	US TREASURY NOTE	4 00		5,449 83	105 09	5,721 75	33 17	5,754 92	01 May 12	5,812 54		(90 79)
04 Oct 12	Sell	US TREASURY NOTE	4 00		6,401 38	105 09	6,725 51	38 97	6,764 48	27 Aug 12	6,752 50		(26 99)
04 Oct 12	Sell	US TREASURY NOTE	4 00		9,169 55	105 09	9,633 84	55 82	9,689 66	27 Apr 12	9,781 88		(148 04)
04 Oct 12	Sell	US TREASURY NOTE	4 00		18,166 09	105 09	19,085 92	110 58	19,196 49	01 May 12	19,375 14		(289 23)
05 Mar 12	Sell	US TREASURY NOTE	2 63	15 Nov 20	52,149 35	107 03	55,809 24	428 73	56,237 96	07 Dec 10	49,939 84	5,869 39	
05 Mar 12	Sell	US TREASURY NOTE	2 63		1,875 00	107 03	2,006 59	15 41	2,022 00	04 Jan 12	2,003 89		2 70
05 Mar 12	Sell	US TREASURY NOTE	2 63		5,927 69	107 03	6,343 70	48 73	6,392 43	29 Aug 11	6,164 39		179 31
05 Mar 12	Sell	US TREASURY NOTE	2 63		30,047 96	107 03	32,156 75	247 03	32,403 78	19 Apr 11	28,285 85		3,870 90
05 Mar 12	Sell	US TREASURY NOTE	4 00	15 Nov 12	8,449 92	102 62	8,670 29	105 86	8,776 15	29 Sep 11	8,812 53		(142 23)
05 Mar 12	Sell	US TREASURY NOTE	4 00		11,448 28	102 62	11,746 85	143 42	11,890 27	26 Jan 12	11,804 65		(57 81)
05 Mar 12	Sell	US TREASURY NOTE	4 00		31,619 05	102 62	32,443 67	396 11	32,839 78	16 Dec 11	32,725 40		(281 73)

Sell Ledger

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Non Equity													
05 Mar 12	Sell	US TREASURY NOTE	4 00	15 Nov 12	38,482 76	102 62	39,486 39	482 09	39,968 48	14 Feb 12	39,581 18		(94 79)
08 Feb 12	Sell	US TREASURY NOTE	4 00		18,862 43	102 90	19,394 09	186 55	19,580 64	29 Sep 11	19,671 87		(277 78)
08 Feb 12	Sell	US TREASURY NOTE	4 00		25,555 56	102 90	26,296 18	252 75	26,548 93	26 Jan 12	26,351 09		(54 90)
08 Feb 12	Sell	US TREASURY NOTE	4 00		70,582 01	102 90	72,627 55	698 06	73,325 61	16 Dec 11	73,051 69		(424 14)
09 Apr 12	Sell	US TREASURY NOTE	2 63	15 Nov 20	63,738 09	106 74	68,024 00	675 69	68,699 69	07 Dec 10	61,037 59	6,986 41	
09 Apr 12	Sell	US TREASURY NOTE	2 63		2,291 67	106 74	2,445 76	24 29	2,470 06	04 Jan 12	2,449 19		(3 43)
09 Apr 12	Sell	US TREASURY NOTE	2 63		7,244 96	106 74	7,732 12	76 80	7,808 93	29 Aug 11	7,534 25		197 87
09 Apr 12	Sell	US TREASURY NOTE	2 63		36,725 28	106 74	39,194 78	389 32	39,584 11	19 Apr 11	34,571 60		4,623 18
09 Apr 12	Sell	US TREASURY NOTE	4 00	15 Nov 12	84,499 18	102 28	86,425 13	1,364 99	87,790 11	29 Sep 11	88,125 26		(1,700 14)
09 Apr 12	Sell	US TREASURY NOTE	4 00		114,482 76	102 28	117,092 11	1,849 34	118,941 44	26 Jan 12	118,046 55		(954 44)
09 Apr 12	Sell	US TREASURY NOTE	4 00		316,190 48	102 28	323,397 24	5,107 69	328,504 94	16 Dec 11	327,254 03		(3,856 79)
09 Apr 12	Sell	US TREASURY NOTE	4 00		384,827 59	102 28	393,598 77	6,216 45	399,815 21	14 Feb 12	395,811 78		(2,213 02)
09 Apr 12	Sell	US TREASURY NOTE	4 00	15 Feb 14	100,000 00	106 77	106,750 29	604 40	107,354 69	28 Mar 12	106,855 18		(104 89)
11 Jan 12	Sell	FNMA POOL #888700	4 00	01 Dec 20	226,504 36	105 88	239,814 19	402 67	240,216 86	11 Sep 07	214,653 56	25,160 62	
11 Jan 12	Sell	FHLMC POOL FG #G13085	4 50	01 Apr 23	302,788 91	106 21	321,579 94	605 58	322,185 52	04 Jun 08	295,219 19	26,360 75	
11 Oct 12	Sell	US TREASURY NOTE	4 00	15 Feb 14	50,000 00	104 98	52,477 02	336 96	52,813 98	27 Apr 12	53,338 94		(861 92)
12 Sep 12	Sell	US TREASURY NOTE	4 00	15 Nov 12	18,308 16	100 62	18,421 11	248 75	18,669 87	29 Sep 11	19,093 81		(672 69)
12 Sep 12	Sell	US TREASURY NOTE	4 00		24,804 60	100 62	24,957 64	337 02	25,294 66	26 Jan 12	25,576 75		(619 12)
12 Sep 12	Sell	US TREASURY NOTE	4 00		68,507 94	100 62	68,930 61	930 82	69,861 43	16 Dec 11	70,905 04		(1,974 43)
12 Sep 12	Sell	US TREASURY NOTE	4 00		83,379 31	100 62	83,893 74	1,132 87	85,026 61	14 Feb 12	85,759 22		(1,865 48)
13 Feb 12	Sell	US TREASURY NOTE	2 63	15 Nov 20	75,326 84	107 24	80,766 85	505 20	81,272 05	07 Dec 10	72,135 33	8,631 52	
13 Feb 12	Sell	US TREASURY NOTE	2 63		101,401 51	107 27	108,767 80	680 07	109,447 87	07 Dec 10	97,105 25	11,662 54	
13 Feb 12	Sell	US TREASURY NOTE	2 63		2,708 33	107 24	2,904 47	18 16	2,922 63	04 Jan 12	2,894 50		9 96
13 Feb 12	Sell	US TREASURY NOTE	2 63		3,645 83	107 27	3,910 68	24 45	3,935 14	04 Jan 12	3,896 44		14 24
13 Feb 12	Sell	US TREASURY NOTE	2 63		8,562 22	107 24	9,182 28	57 42	9,239 70	29 Aug 11	8,904 11		278 16
13 Feb 12	Sell	US TREASURY NOTE	2 63		11,526 07	107 27	12,363 37	77 30	12,440 68	29 Aug 11	11,986 31		377 07

Sell Ledger

Trade Date	Activity	Security	Coupon Rate	Maturity Date	Quantity	Net Unit Price	Principal Amount	Sold Interest	Total Sale Proceeds	Purchase Date	Original Cost	Long Term Gain/(Loss)	Short Term Gain/(Loss)
Non Equity													
13 Feb 12	Sell	US TREASURY NOTE	2 63	15 Nov 20	43,402 61	107 24	46,545 73	291 09	46,836 82	19 Apr 11	40,857 35		5,688 39
13 Feb 12	Sell	US TREASURY NOTE	2 63		58,426 59	107 27	62,670 97	391 85	63,062 82	19 Apr 11	55,000 27		7,670 70
13 Jun 12	Sell	MORGAN STANLEY	6 63	01 Apr 18	50,000 00	102 72	51,345 50	708 51	52,054 01	14 Dec 10	53,894 00	(2,548 50)	
14 Feb 12	Sell	US TREASURY NOTE	2 63	15 Nov 20	28,971 86	107 46	31,125 76	196 39	31,322 15	07 Dec 10	27,744 36	3,381 40	
14 Feb 12	Sell	FNMA POOL #AE0276	4 00	01 Aug 25	276,222 66	106 13	293,126 29	460 37	293,586 66	01 Dec 10	286,581 01	6,545 29	
14 Feb 12	Sell	US TREASURY NOTE	2 63	15 Nov 20	1,041 67	107 46	1,119 11	7 06	1,126 17	04 Jan 12	1,113 27		5 84
14 Feb 12	Sell	US TREASURY NOTE	2 63		3,293 16	107 46	3,537 99	22 32	3,560 31	29 Aug 11	3,424 66		113 33
14 Feb 12	Sell	US TREASURY NOTE	2 63		16,693 31	107 46	17,934 36	113 16	18,047 53	19 Apr 11	15,714 36		2,220 00
14 Feb 12	Sell	FNMA POOL #AI2495	4 00	01 May 26	329,946 92	106 33	350,811 38	549 91	351,361 29	19 May 11	342,436 68		8,374 69
15 Feb 12	Sell	US TREASURY NOTE	2 63	15 Nov 20	95,607 14	107 67	102,932 93	675 69	103,608 61	07 Dec 10	91,556 38	11,376 55	
15 Feb 12	Sell	US TREASURY NOTE	2 63		3,437 50	107 67	3,700 89	24 29	3,725 19	04 Jan 12	3,673 79		27 10
15 Feb 12	Sell	US TREASURY NOTE	2 63		10,867 43	107 67	11,700 14	76 80	11,776 94	29 Aug 11	11,301 38		398 76
15 Feb 12	Sell	US TREASURY NOTE	2 63		55,087 92	107 67	59,308 97	389 32	59,698 30	19 Apr 11	51,857 40		7,451 57
16 Nov 12	Sell	US TREASURY NOTE	4 00	15 Feb 14	4,134 95	104 66	4,327 22	44 05	4,371 26	26 Jul 12	4,377 74		(50 52)
16 Nov 12	Sell	US TREASURY NOTE	4 00		28,650 52	104 66	29,982 72	305 19	30,287 91	29 Jun 12	30,369 43		(386 71)
16 Nov 12	Sell	US TREASURY NOTE	4 00		47,750 87	104 66	49,971 19	508 65	50,479 85	13 Jun 12	50,680 76		(709 57)
16 Nov 12	Sell	US TREASURY NOTE	4 00		69,463 67	104 66	72,693 60	739 94	73,433 54	01 May 12	74,086 85		(1,393 24)
20 Dec 12	Sell	US TREASURY NOTE	4 00		34,757 79	104 31	36,256 60	502 48	36,759 08	27 Aug 12	36,664 25		(407 65)
20 Dec 12	Sell	US TREASURY NOTE	4 00		115,242 21	104 31	120,211 65	1,666 00	121,877 65	26 Jul 12	122,008 91		(1,797 25)
20 Sep 12	Sell	FNMA POOL #911568	6 00	01 Apr 37	109,474 23	110 52	120,971 13	182 46	121,153 59	29 Apr 10	117,434 47	3,536 66	
27 Aug 12	Sell	IL STATE G/O	4 96	01 Mar 16	175,000 00	108 47	189,814 50	4,316 76	194,131 26	05 Mar 12	188,439 25		1,375 25
Total Non Equity Sales							**6,815,821 15**	**47,066 67**	**6,862,887 82**		**6,765,987 66**	**65,737 83**	**(15,904 34)**
Total Sales							**6,815,821 15**	**47,066 67**	**6,862,887 82**		**6,765,987 66**	**65,737 83**	**(15,904 34)**

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
Capital Gain Dividends			
Lazard 98102 - LT (See Attached)	P	2011-01-01	2012-12-31
Lazard 98102 - ST (See Attached)	P	2012-01-01	2012-12-31
Lazard 50138 - LT (See Attached)	P	2011-01-01	2012-12-31
Lazard 50138 - ST (See Attached)	P	2012-01-01	2012-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
			8,089
1,824,372		1,639,524	184,848
726,752		696,880	29,872
2,476,300		2,410,562	65,738
4,339,521		4,355,426	-15,905

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			184,848
			29,872
			65,738
			-15,905

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Consolata Missionary Sisters P O Box 538 Eutaw, AL 35462	None	Exempt	Charitable	10,000
Alabama Archives History Foundation PO Box 300100 Montgomery, AL 36130	None	Exempt	Charitable	25,000
College Hill Baptist Church P O Box 40255 Tuscaloosa, AL 35404	None	Exempt	Charitable	1,500
Samford University 800 Lakeshore Drive Birmingham, AL 35229	None	Exempt	Charitable	25,000
Judson College P O Box 120 Marion, AL 36756	None	Exempt	Charitable	50,000
Zelpha's Cultural Development 1524 Twenty-fifth Ave Tuscaloosa, AL 35401	None	Exempt	Charitable	5,000
Winning the Fight 701 Warren Road Lutz, FL 33548	None	Exempt	Charitable	5,000
Tuscaloosa County Preservation Soci P O Box 1665 Tuscaloosa, AL 35401	None	Exempt	Charitable	500
Tuscaloosa Symphony P O Box 20001 Tuscaloosa, AL 35402	None	Exempt	Charitable	5,000
The Arts and Humanities Council of PO Box 1117 Tuscaloosa, AL 35401	None	Exempt	Charitable	40,000
Capstone Foundation PO Box 870136 Tuscaloosa, AL 35487	None	Exempt	Charitable	5,000
Tuscaloosa's One Place PO Box 40764 Tuscaloosa, AL 35404	None	Exempt	Charitable	1,000
Community Foundation of West Alabam PO Box 3033 Tuscaloosa, AL 35403	None	Exempt	Charitable	1,001,000
Tuscaloosa YMCA 2405 Paul Bryant Dr Tuscaloosa, AL 35401	None	Exempt	Charitable	1,000
Global Effect Ministry Inc Haiti PO Box 611635 Rosemary Beach, FL 32461	None	Exempt	Charitable	5,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Impact Alabama 1901 6th Ave N Ste 2400 Birmingham, AL 35203	None	Exempt	Charitable	5,000
Office of Catholic Charities PO Box 12047 Birmingham, AL 35202	None	Exempt	Charitable	10,000
Nick's Kids Fund PO Box 870323 Tuscaloosa, AL 35487	None	Exempt	Charitable	12,000
United Way of West Alabama 2720 6th Street Tuscaloosa, AL 35403	None	Exempt	Charitable	60,000
St Francis Catholic Church 811 5th Avenue Tuscaloosa, AL 35401	None	Exempt	Charitable	26,000
Auburn University School of Pharmacy Auburn, AL 36849	None	Exempt	Charitable	25,000
The University of Alabama PO Box 870101 Tuscaloosa, AL 35487	None	Exempt	Charitable	102,190
Holy Spirit Catholic Church 733 37th Street Tuscaloosa, AL 35405	None	Exempt	Charitable	899,029
Total . . . . ▶ **3a**				2,319,219

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
b *Approved for future payment*				
United Way of West Alabama2720 6th Street Tuscaloosa, AL 35403	None	Exempt	Charitable	60,000
Office of Catholic CharitiesPO Box 12047 Birmingham, AL 35202	None	Exempt	Charitable	10,000
Nick's Kids FundPO Box 870323 Tuscaloosa, AL 35487	None	Exempt	Charitable	12,000
Auburn UniversitySchool of Pharmacy Auburn, AL 36849	None	Exempt	Charitable	25,000
Holy Spirit Catholic Church733 37th Street Tuscaloosa, AL 35405	None	Exempt	Charitable	100,000
The University of AlabamaPO Box 870101 Tuscaloosa, AL 35487	None	Exempt	Charitable	40,000
Total . . . . ▶ **3b**				247,000