Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2012**

Open to Public Inspection

**For calendar year 2012 or tax year beginning , and ending**

Name of foundation: ABNEY FOUNDATION

A Employer identification number: 57-6019445

Number and street (or P O box number if mail is not delivered to street address): 100 VINE ST

Room/suite:

B Telephone number (see instructions): 864-964-9201

City or town, state, and ZIP code: ANDERSON SC 29621

C If exemption application is pending, check here ▶ ☐

G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 41,269,895

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis )

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 | Check ▶ ☒ if the foundation is **not** required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 1,163,097 | 1,163,097 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 1,698,032 | | | |
| | b | Gross sales price for all assets on line 6a 40,335,216 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 1,698,032 | | |
| | 8 | Net short-term capital gain | | | 0 | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less: Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) STMT 1 | 21,831 | 21,831 | | |
| | 12 | **Total.** Add lines 1 through 11 | 2,882,960 | 2,882,960 | 0 | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc. | 219,771 | 117,512 | | 102,259 |
| | 14 | Other employee salaries and wages | 63,059 | 47,294 | | 15,765 |
| | 15 | Pension plans, employee benefits | 93,113 | 55,869 | | 37,245 |
| | 16a | Legal fees (attach schedule) SEE STMT 2 | 17,425 | 8,713 | | 8,712 |
| | b | Accounting fees (attach schedule) STMT 3 | 8,300 | 6,225 | | 2,075 |
| | c | Other professional fees (attach schedule) STMT 4 | 1,775 | 888 | | 887 |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) STMT 5 | 23,603 | 612 | | 612 |
| | 19 | Depreciation (attach schedule) and depletion STMT 6 | 16,995 | | | |
| | 20 | Occupancy | 5,937 | 4,453 | | 1,484 |
| | 21 | Travel, conferences, and meetings | 1,629 | 815 | | 814 |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (att. sch ) STMT 7 | 210,547 | 199,956 | | 10,591 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 662,154 | 442,337 | 0 | 180,444 |
| | 25 | Contributions, gifts, grants paid | 1,930,000 | | | 1,930,000 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 2,592,154 | 442,337 | 0 | 2,110,444 |
| | 27 | Subtract line 26 from line 12: | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 290,806 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 2,440,623 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | 0 | |

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**For Paperwork Reduction Act Notice, see instructions.**

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Form **990-PF** (2012)

| Part II | | Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | Beginning of year | End of year | |
|---|---|---|---|---|---|---|
| | | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash – non-interest-bearing | | 200 | 198 | 198 |
| | 2 | Savings and temporary cash investments | | 7,788,311 | 971,589 | 971,589 |
| | 3 | Accounts receivable ▶ | | | | |
| | | Less allowance for doubtful accounts ▶ | | | | |
| | 4 | Pledges receivable ▶ | | | | |
| | | Less allowance for doubtful accounts ▶ | | | | |
| | 5 | Grants receivable | | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 | Other notes and loans receivable (att schedule) ▶ | | | | |
| | | Less allowance for doubtful accounts ▶ | 0 | | | |
| | 8 | Inventories for sale or use | | | | |
| | 9 | Prepaid expenses and deferred charges | | | | |
| | 10a | Investments – U S and state government obligations (attach schedule) | SEE STMT | 2,012,031 | 3,311,797 | 3,311,797 |
| | b | Investments – corporate stock (attach schedule) | SEE STMT | 20,236,463 | 28,850,015 | 28,850,015 |
| | c | Investments – corporate bonds (attach schedule) | SEE STMT | 9,879,750 | 7,954,601 | 7,954,601 |
| | 11 | Investments – land, buildings, and equipment basis ▶ | | | | |
| | | Less accumulated depreciation (attach sch ) ▶ | | | | |
| | 12 | Investments – mortgage loans | | | | |
| | 13 | Investments – other (attach schedule) | | | | |
| | 14 | Land, buildings, and equipment basis ▶ | 434,127 | | | |
| | | Less accumulated depreciation (attach sch ) ▶ SEE STMT | 253,619 | 196,303 | 180,508 | 180,508 |
| | 15 | Other assets (describe ▶ ) | | | | |
| | 16 | **Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I) | | 40,113,058 | 41,268,708 | 41,268,708 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | | |
| | 18 | Grants payable | | | | |
| | 19 | Deferred revenue | | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | | |
| | 22 | Other liabilities (describe ▶ ) | | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | | 0 | 0 | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.** | ▶ ☒ | | | |
| | 24 | Unrestricted | | 40,113,058 | 41,268,708 | |
| | 25 | Temporarily restricted | | | | |
| | 26 | Permanently restricted | | | | |
| | | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.** | ▶ ☐ | | | |
| | 27 | Capital stock, trust principal, or current funds | | | | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | | |
| | 30 | **Total net assets or fund balances** (see instructions) | | 40,113,058 | 41,268,708 | |
| | 31 | **Total liabilities and net assets/fund balances** (see instructions) | | 40,113,058 | 41,268,708 | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 40,113,058 |
| 2 | Enter amount from Part I, line 27a | 2 | 290,806 |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT | 3 | 864,844 |
| 4 | Add lines 1, 2, and 3 | 4 | 41,268,708 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 41,268,708 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P – Purchase D – Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | PUBLICLY TRADED SECURITIES | P | VARIOUS | VARIOUS |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 40,335,216 | | 38,637,184 | 1,698,032 |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-)or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | 1,698,032 |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } | 2 | 1,698,032 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | 3 | 1,698,032 |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2011 | 1,969,031 | 43,468,473 | 0.045298 |
| 2010 | 1,887,025 | 41,914,410 | 0.045021 |
| 2009 | 2,132,499 | 37,708,583 | 0.056552 |
| 2008 | 2,577,526 | 43,774,162 | 0.058882 |
| 2007 | 2,415,817 | 52,296,931 | 0.046194 |

| | | | |
|---|---|---|---|
| 2 | Total of line 1, column (d) | 2 | 0.251947 |
| 3 | Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.050389 |
| 4 | Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 | 4 | 41,350,459 |
| 5 | Multiply line 4 by line 3 | 5 | 2,083,608 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 24,406 |
| 7 | Add lines 5 and 6 | 7 | 2,108,014 |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 2,110,444 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1.<br>Date of ruling or determination letter: (attach copy of letter if necessary—see instructions) | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b | | | 1 | 24,406 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 2 | 0 |
| 3 | Add lines 1 and 2 | | | 3 | 24,406 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 24,406 |
| 6 | Credits/Payments: | | | | |
| a | 2012 estimated tax payments and 2011 overpayment credited to 2012 | 6a | 53,367 | | |
| b | Exempt foreign organizations – tax withheld at source | 6b | | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| d | Backup withholding erroneously withheld | 6d | | | |
| 7 | Total credits and payments. Add lines 6a through 6d | | | 7 | 53,367 |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 28,961 |
| 11 | Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 0 Refunded ▶ | | | 11 | 28,961 |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1b | | X |
| | If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>**(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities. | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T. | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶<br>SC | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

## Part VII-A Statements Regarding Activities (continued)

| | | | | |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ **WWW.ABNEYFOUNDATION.ORG**

14 The books are in care of ▶ **TRUSTEES** Telephone no. ▶ **864-964-9201**

**100 VINE STREET**

Located at ▶ **ANDERSON** **SC** ZIP+4 ▶ **29621**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** Yes No

1a During the year did the foundation (either directly or indirectly):

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No
- (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No

| | | | Yes | No |
|---|---|---|---|---|
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? **N/A** | 1c | | |

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No

If "Yes," list the years ▶ 20 , 20 , 20 , 20

| | | | | |
|---|---|---|---|---|
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions.) **N/A** | 2b | | |

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.

▶ 20 , 20 , 20 , 20

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

| | | | | |
|---|---|---|---|---|
| b | If "Yes," did it have excess business holdings in 2012 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) **N/A** | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

**5a** During the year did the foundation pay or incur any amount to:

**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

**(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

**(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 13 | | | | |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| BONNIE NASH ANDERSON<br>100 VINE STREET SC 29621 | ADMINISTRATO<br>40.00 | 63,059 | 21,022 | 0 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over $50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | | (b) Type of service | (c) Compensation |
|---|---|---|---|
| BRANCH BANKING & TRUST<br>223 W. NASH STREET | WILSON<br>NC 27893 | INVESTMENT SERV | 109,783 |
| BANK OF AMERICA, N.A.<br>114 WEST 47TH ST | NEW YORK<br>NY 10036 | INVESTMENT SERV | 68,553 |
| | | | |
| | | | |
| | | | |

Total number of others receiving over $50,000 for professional services ▶ 0

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | | |
| a | Average monthly fair market value of securities | | | 1a | 41,748,951 |
| b | Average of monthly cash balances | | | 1b | 49,517 |
| c | Fair market value of all other assets (see instructions) | | | 1c | 181,693 |
| d | **Total** (add lines 1a, b, and c) | | | 1d | 41,980,161 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0 | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | | 2 | 0 |
| 3 | Subtract line 2 from line 1d | | | 3 | 41,980,161 |
| 4 | Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) | | | 4 | 629,702 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | | 5 | 41,350,459 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | | 6 | 2,067,523 |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 2,067,523 |
| 2a | Tax on investment income for 2012 from Part VI, line 5 | 2a | 24,406 | | |
| b | Income tax for 2012. (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 24,406 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 2,043,117 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 2,043,117 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 2,043,117 |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26 | 1a | 2,110,444 |
| b | Program-related investments – total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 2,110,444 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | 24,406 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 2,086,038 |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | | | (a)<br>Corpus | (b)<br>Years prior to 2011 | (c)<br>2011 | (d)<br>2012 |
|---|---|---|---|---|---|---|
| 1 | Distributable amount for 2012 from Part XI, line 7 | | | | | 2,043,117 |
| 2 | Undistributed income, if any, as of the end of 2012: | | | | | |
| a | Enter amount for 2011 only | | | | 1,867,710 | |
| b | Total for prior years: 20_____ , 20_____ , 20_____ | | | | | |
| 3 | Excess distributions carryover, if any, to 2012: | | | | | |
| a | From 2007 | | | | | |
| b | From 2008 | | | | | |
| c | From 2009 | | | | | |
| d | From 2010 | | | | | |
| e | From 2011 | | | | | |
| f | **Total** of lines 3a through e | | | | | |
| 4 | Qualifying distributions for 2012 from Part XII, line 4. ▶ $ 2,110,444 | | | | | |
| a | Applied to 2011, but not more than line 2a | | | | 1,867,710 | |
| b | Applied to undistributed income of prior years (Election required – see instructions) | | | | | |
| c | Treated as distributions out of corpus (Election required – see instructions) | | | | | |
| d | Applied to 2012 distributable amount | | | | | 242,734 |
| e | Remaining amount distributed out of corpus | | | | | |
| 5 | Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).) | | | | | |
| 6 | **Enter the net total of each column as indicated below:** | | | | | |
| a | Corpus Add lines 3f, 4c, and 4e. Subtract line 5 | | | | | |
| b | Prior years' undistributed income. Subtract line 4b from line 2b | | | | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | | |
| d | Subtract line 6c from line 6b. Taxable amount – see instructions | | | | | |
| e | Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount – see instructions | | | | | |
| f | Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013 | | | | | 1,800,383 |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | | | | |
| 8 | Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) | | | | | |
| 9 | **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a | | | | | |
| 10 | Analysis of line 9: | | | | | |
| a | Excess from 2008 | | | | | |
| b | Excess from 2009 | | | | | |
| c | Excess from 2010 | | | | | |
| d | Excess from 2011 | | | | | |
| e | Excess from 2012 | | | | | |

## Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2012 | (b) 2011 | (c) 2010 | (d) 2009 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test – enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test – enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

DAVID KING
100 VINE STREET ANDERSON SC 29621

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 14

c Any submission deadlines:

NOVEMBER 15

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 15

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a Paid during the year<br>SEE ATTACHED STATEMENT | | | | 1,930,000 |
| **Total** | | | ▶ 3a | 1,930,000 |
| b Approved for future payment<br>N/A | | | | |
| **Total** | | | ▶ 3b | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 1,163,097 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 14 | 21,831 | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 1,698,032 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0 | | 2,882,960 | 0 |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 2,882,960

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| N/A | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of. | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions. | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| N/A | | | |
| | | | |
| | | | |
| | | | |
| | | | |
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| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Signature of officer or trustee

Date: 10-25-13

Title: EXECUTIVE DIRECTOR

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed |
|---|---|---|---|
| MONICA ROCKWELL | MB Rockwell | 10/15/13 | |

Firm's name ▶ COX, CAULEY & ROCKWELL, LLC

PTIN P01302407

Firm's address ▶ 2006 N MAIN ST
ANDERSON, SC 29621-3869

Firm's EIN ▶ 26-4298735

Phone no 864-225-8713

# Federal Statements

## Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

| Description | Revenue per Books | Net Investment Income | Adjusted Net Income |
|---|---|---|---|
| OTHER INVESTMENT INCOME | $ 21,831 | $ 21,831 | $ |
| TOTAL | $ 21,831 | $ 21,831 | $ 0 |

## Statement 2 - Form 990-PF, Part I, Line 16a - Legal Fees

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|---|---|---|---|---|
| LEGAL EXPENSE | $ 17,425 | $ 8,713 | $ | $ 8,712 |
| TOTAL | $ 17,425 | $ 8,713 | $ 0 | $ 8,712 |

## Statement 3 - Form 990-PF, Part I, Line 16b - Accounting Fees

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|---|---|---|---|---|
| AUDIT AND TAX PREP FEES | $ 8,300 | $ 6,225 | $ | $ 2,075 |
| TOTAL | $ 8,300 | $ 6,225 | $ 0 | $ 2,075 |

## Statement 4 - Form 990-PF, Part I, Line 16c - Other Professional Fees

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|---|---|---|---|---|
| PENSION PLAN FEES | $ 1,775 | $ 888 | $ | $ 887 |
| TOTAL | $ 1,775 | $ 888 | $ 0 | $ 887 |

## Statement 5 - Form 990-PF, Part I, Line 18 - Taxes

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|---|---|---|---|---|
| PROPERTY TAXES | $ 1,224 | $ 612 | $ | $ 612 |
| EXCISE TAXES | 22,379 | | | |
| TOTAL | $ 23,603 | $ 612 | $ 0 | $ 612 |

## Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation

| Description | Date Acquired | Cost Basis | Prior Year Depreciation | Method | Life | Current Year Depreciation | Net Investment Income | Adjusted Net Income |
|---|---|---|---|---|---|---|---|---|
| BUILDING AT 100 VINE ST | 4/30/99 | $ 235,000 | $ 76,581 | S/L | 39 | $ 6,026 | $ | $ |
| BUILDING IMPROVEMENT | 5/15/99 | 2,962 | 2,962 | S/L | 7 | | | |
| STAINED CABINETS IN | 7/17/01 | 2,500 | 1,936 | S/L | 15 | 166 | | |
| PAINTING BY MR. LANI | 5/04/01 | 1,125 | 1,125 | S/L | 7 | | | |
| ROOF | 12/02/02 | 6,375 | 1,478 | S/L | 39 | 163 | | |
| PAINT OFFICE | 1/23/03 | 6,460 | 6,460 | S/L | 7 | | | |
| WALLPAPER OFFICE | 2/03/03 | 11,131 | 11,131 | S/L | 7 | | | |
| CARPET OFFICE | 2/20/03 | 6,612 | 6,612 | S/L | 7 | | | |
| C&W ELECTRIC-UPGRADE ALARM SYSTEM | 5/12/04 | 1,440 | 1,440 | S/L | 7 | | | |
| A-1 HEATING & AIR - NEW HEAT/AIR | 2/21/06 | 12,748 | 10,622 | S/L | 7 | 1,821 | | |
| PAINT TRIM ON O/S BLDG | 7/10/07 | 1,275 | 838 | S/L | 7 | 182 | | |
| PAVING DONE BY SMITHCO ASPHALT | 2/11/10 | 6,260 | 1,714 | S/L | 7 | 894 | | |

## Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

| Description | | | | | | | |
|---|---|---|---|---|---|---|---|
| Date Acquired | Cost Basis | Prior Year Depreciation | Method | Life | Current Year Depreciation | Net Investment Income | Adjusted Net Income |
| ELECTRICAL WIRING | | | | | | | |
| 4/19/11 | $ 3,105 | $ 53 | S/L | 39 | $ 80 | $ | $ |
| RENOVATIONS - OFFICE TRIM | | | | | | | |
| 8/17/11 | 4,910 | 136 | S/L | 15 | 328 | | |
| PORTRAITS-MRS. ROSE | | | | | | | |
| 12/21/98 | 453 | 453 | S/L | 7 | | | |
| REFRIGERATOR | | | | | | | |
| 5/06/99 | 766 | 766 | S/L | 7 | | | |
| DESK CHAIR-MR. EDW | | | | | | | |
| 5/24/99 | 1,049 | 1,049 | S/L | 7 | | | |
| SIGN | | | | | | | |
| 6/15/99 | 1,969 | 1,969 | S/L | 7 | | | |
| CARLETTE'S DESK | | | | | | | |
| 7/15/99 | 2,414 | 2,414 | S/L | 7 | | | |
| MR. FULP'S DESK | | | | | | | |
| 7/21/99 | 2,448 | 2,448 | S/L | 7 | | | |
| MR. FULP'S CHAIR | | | | | | | |
| 7/21/99 | 1,245 | 1,245 | S/L | 7 | | | |
| MR. FULP'S SWIVEL CHAIR | | | | | | | |
| 7/21/99 | 1,107 | 1,107 | S/L | 7 | | | |
| 2 SIDE CHAIRS FOR MR | | | | | | | |
| 7/21/99 | 1,284 | 1,284 | S/L | 7 | | | |
| MRS. ROSE LEATHER SOFA | | | | | | | |
| 8/20/99 | 3,274 | 3,274 | S/L | 7 | | | |
| BRASS DESK ACCESSORIES | | | | | | | |
| 9/03/99 | 522 | 522 | S/L | 7 | | | |
| CONSOLE | | | | | | | |
| 9/09/99 | 875 | 875 | S/L | 7 | | | |
| MRS. ROSE'S DESK | | | | | | | |
| 9/09/99 | 1,569 | 1,569 | S/L | 7 | | | |
| CARLETTE'S DRAPERIES | | | | | | | |
| 10/01/99 | 642 | 642 | S/L | 7 | | | |
| DESK - LEBRENA | | | | | | | |
| 10/08/99 | 1,049 | 1,049 | S/L | 7 | | | |

# Federal Statements

## Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

| Description | | | | | | | |
|---|---|---|---|---|---|---|---|
| Date Acquired | Cost Basis | Prior Year Depreciation | Method | Life | Current Year Depreciation | Net Investment Income | Adjusted Net Income |
| 2 SIDE CHAIRS FOR CA | | | | | | | |
| 10/19/99 $ | 754 $ | 754 | S/L | 7 $ | | $ | $ |
| 2 SIDE CHAIRS FOR LE | | | | | | | |
| 11/01/99 | 1,048 | 1,048 | S/L | 7 | | | |
| LIGHTED CABINET | | | | | | | |
| 4/11/00 | 2,998 | 2,998 | S/L | 7 | | | |
| CURIO FOR LEBRENA'S | | | | | | | |
| 5/12/00 | 1,259 | 1,259 | S/L | 7 | | | |
| CONFERENCE TABLE & CHAIRS | | | | | | | |
| 11/04/00 | 10,425 | 10,425 | S/L | 7 | | | |
| LUXURY CRYSTAL | | | | | | | |
| 2/01/00 | 1,356 | 1,356 | S/L | 7 | | | |
| PICTURE OF TRUSTEES | | | | | | | |
| 1/15/01 | 609 | 609 | S/L | 7 | | | |
| DESK UNIT DAVID | | | | | | | |
| 4/16/08 | 2,532 | 1,326 | S/L | 7 | 362 | | |
| DESK TOP AND RENOV TO DAVID'S OFFICE | | | | | | | |
| 7/31/11 | 11,650 | 693 | S/L | 7 | 1,665 | | |
| XEROX CORP - NEW COPIER | | | | | | | |
| 6/01/06 | 1,678 | 1,678 | S/L | 5 | | | |
| DESKTOP COMPUTER - DCK | | | | | | | |
| 7/09/07 | 1,440 | 1,296 | S/L | 5 | 144 | | |
| LAPTOP-CONFERENCE RM | | | | | | | |
| 3/13/08 | 1,033 | 775 | S/L | 5 | 207 | | |
| LAPTOP EDD SHERIFF | | | | | | | |
| 3/13/08 | 741 | 556 | S/L | 5 | 148 | | |
| STORAGE BLDG | | | | | | | |
| 11/07/02 | 4,997 | 4,997 | S/L | 7 | | | |
| 2005 HONDA VAN | | | | | | | |
| 11/08/05 | 31,764 | 31,764 | S/L | 5 | | | |
| 2007 TOYOTA SEQUOIA-DCK | | | | | | | |
| 8/21/07 | 35,538 | 30,800 | S/L | 5 | 4,738 | | |
| CHAIR - LEBRENA | | | | | | | |
| 10/08/99 | 536 | 536 | S/L | 7 | | | |

# Federal Statements

## Statement 6 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

| Date Acquired | Cost Basis | Prior Year Depreciation | Method | Life | Current Year Depreciation | Net Investment Income | Adjusted Net Income |
|---|---|---|---|---|---|---|---|
| EXTERIOR TRIM PAINT | | | | | | | |
| 7/11/12 | $ 1,200 | $ | S/L | 7 | $ 71 | $ | $ |
| TOTAL | $ 434,127 | $ 236,624 | | | $ 16,995 | $ 0 | $ 0 |

## Statement 7 - Form 990-PF, Part I, Line 23 - Other Expenses

| Description | Total | Net Investment | Adjusted Net | Charitable Purpose |
|---|---|---|---|---|
| | $ | $ | $ | $ |
| EXPENSES | | | | |
| OFFICE EXPENSE | 9,010 | 6,758 | | 2,252 |
| INVESTMENT EXPENSES AND FEES | 178,337 | 178,337 | | |
| GENERAL INSURANCE | 7,071 | 5,303 | | 1,768 |
| DUES & SUBSCRIPTIONS | 1,543 | 772 | | 771 |
| MISCELLANEOUS | 2,985 | 2,985 | | |
| AUTO EXPENSE | 7,097 | 3,549 | | 3,548 |
| REPAIRS & MAINTENANCE | 4,504 | 2,252 | | 2,252 |
| TOTAL | $ 210,547 | $ 199,956 | $ 0 | $ 10,591 |

# Federal Statements

## Statement 8 - Form 990-PF, Part II, Line 10a - US and State Government Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|---|---|---|---|---|
| U.S. GOV'T OBLIGATIONS | $ 2,012,031 | $ 2,534,625 | MARKET | $ 2,534,625 |
| MUNICIPAL BONDS | | 777,172 | MARKET | 777,172 |
| TOTAL | $ 2,012,031 | $ 3,311,797 | | $ 3,311,797 |

## Statement 9 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|---|---|---|---|---|
| VARIOUS PUBLICLY TRADED SECURITIES | $ 17,911,838 | $ 25,336,730 | MARKET | $ 25,336,730 |
| REITS, COMMODITIES AND OTHER | 2,324,625 | 3,513,285 | MARKET | 3,513,285 |
| TOTAL | $ 20,236,463 | $ 28,850,015 | | $ 28,850,015 |

## Statement 10 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

| Description | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|---|---|---|---|---|
| CORPORATE BONDS - SEE ATTACHED SCHED | $ 9,879,750 | $ 7,954,601 | MARKET | $ 7,954,601 |
| TOTAL | $ 9,879,750 | $ 7,954,601 | | $ 7,954,601 |

## Statement 11 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

| Description | Beginning Net Book | End Cost / Basis | End Accumulated Depreciation | Net FMV |
|---|---|---|---|---|
| BUILDING | $ 158,419 | $ 434,127 | $ 253,619 | $ 152,393 |
| BUILDING IMPROVEMENTS | 20,397 | | | 17,891 |
| FURNITURE & FIXTURES | 12,162 | | | 10,136 |
| OFFICE EQUIPMENT | 587 | | | 88 |
| STORAGE BUILDING | | | | |
| VEHICLES | 4,738 | | | 1,185 |
| TOTAL | $ 196,303 | $ 434,127 | $ 253,619 | $ 181,693 |

## Statement 12 - Form 990-PF, Part III, Line 3 - Other Increases

| Description | Amount |
|---|---|
| UNREALIZED GAIN ON INVESTMENTS | $ 864,844 |
| TOTAL | $ 864,844 |

## Statement 13 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

| Name and Address | Title | Average Hours | Compensation | Benefits | Expenses |
|---|---|---|---|---|---|
| J.R. FULP, JR.<br>P.O. BOX 509<br>SANDY SPRINGS SC 29677 | CHAIRMAN/TRU<br>Trustee | 0.00 | 0 | 0 | 0 |
| EDD SHERIFF<br>137 W MOUNTAINVIEW AVENUE<br>GREENVILLE SC 29609 | TRUSTEE | 1.00 | 7,500 | 0 | 0 |
| J.R. FULP, III<br>295 SEVEN FARMS DRIVE<br>CHARLESTON SC 29492 | TRUSTEE | 0.00 | 0 | 0 | 0 |
| LEBRENA F. CAMPBELL<br>4210 HIGHWAY 81 SOUTH<br>ANDERSON SC 29624 | TRUSTEE | 0.00 | 0 | 0 | 0 |
| CARL T. EDWARDS<br>100 VINE ST<br>ANDERSON SC 29621 | EXEC. DIRECTOR<br>EMERITUS/TRUSTEE | 30.00 | 63,753 | 18,835 | 0 |
| DAVID C KING<br>100 VINE STREET<br>ANDERSON SC 29621 | VICE CHAIRMAN/<br>EXEC. DIRECTOR/<br>TRUSTEE | 40.00 | 140,018 | 31,959 | 0 |
| JOHNNYE K. PALMER<br>P.O. BOX 509<br>SANDY SPRINGS SC 29677 | SECRETARY/TR<br>TREASURER | 1.00 | 8,500 | 1,700 | 0 |

## Statement 14 - Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

| Description |
|---|
| A WRITTEN APPLICATION SHOULD INCLUDE A DESCRIPTION OF PROGRAMS FOR WHICH THE CONTRIBUTION IS REQUESTED, THE ORGANIZATION REQUESTING THE CONTRIBUTION, AND THE TAX STATUS OF THE ORGANIZATION. |

## Form 990-PF, Part XV, Line 2c - Submission Deadlines

| Description |
|---|
| NOVEMBER 15 |

## Statement 15 - Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

| Description |
|---|
| NO INDIVIDUAL GRANTS OR LOANS. THERE ARE NO GEOGRAPHIC RESTRICTIONS, BUT PREFERENCE GOES TO LOCAL AND SOUTH CAROLINA ORGANIZATIONS. |

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

# Depreciation and Amortization

(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

**2012**

Attachment Sequence No **179**

| Name(s) shown on return | Identifying number |
|---|---|
| ABNEY FOUNDATION | 57-6019445 |

Business or activity to which this form relates

INDIRECT DEPRECIATION

## Part I Election To Expense Certain Property Under Section 179

**Note:** If you have any listed property, complete Part V before you complete Part I.

| | | | |
|---|---|---|---|
| 1 | Maximum amount (see instructions) | 1 | 500,000 |
| 2 | Total cost of section 179 property placed in service (see instructions) | 2 | |
| 3 | Threshold cost of section 179 property before reduction in limitation (see instructions) | 3 | 2,000,000 |
| 4 | Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0- | 4 | |
| 5 | Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions | 5 | |

| 6 (a) Description of property | (b) Cost (business use only) | (c) Elected cost |
|---|---|---|
| | | |
| | | |

| | | | |
|---|---|---|---|
| 7 | Listed property. Enter the amount from line 29 | 7 | |
| 8 | Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7 | 8 | |
| 9 | Tentative deduction. Enter the **smaller** of line 5 or line 8 | 9 | |
| 10 | Carryover of disallowed deduction from line 13 of your 2011 Form 4562 | 10 | |
| 11 | Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions) | 11 | |
| 12 | Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11 | 12 | |
| 13 | Carryover of disallowed deduction to 2013. Add lines 9 and 10, less line 12 ▶ | 13 | |

**Note:** Do not use Part II or Part III below for listed property. Instead, use Part V.

## Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions)

| | | | |
|---|---|---|---|
| 14 | Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions) | 14 | |
| 15 | Property subject to section 168(f)(1) election | 15 | |
| 16 | Other depreciation (including ACRS) | 16 | |

## Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

### Section A

| | | | |
|---|---|---|---|
| 17 | MACRS deductions for assets placed in service in tax years beginning before 2012 | 17 | 12,186 |
| 18 | If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐ | | |

### Section B—Assets Placed in Service During 2012 Tax Year Using the General Depreciation System

| (a) Classification of property | (b) Month and year placed in service | (c) Basis for depreciation (business/investment use only–see instructions) | (d) Recovery period | (e) Convention | (f) Method | (g) Depreciation deduction |
|---|---|---|---|---|---|---|
| 19a 3-year property | | | | | | |
| b 5-year property | | | | | | |
| c 7-year property | | 1,200 | 7.0 | HY | S/L | 71 |
| d 10-year property | | | | | | |
| e 15-year property | | | | | | |
| f 20-year property | | | | | | |
| g 25-year property | | | 25 yrs. | | S/L | |
| h Residential rental property | | | 27.5 yrs | MM | S/L | |
| | | | 27.5 yrs | MM | S/L | |
| i Nonresidential real property | | | 39 yrs | MM | S/L | |
| | | | | MM | S/L | |

### Section C—Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System

| | | | | | | |
|---|---|---|---|---|---|---|
| 20a Class life | | | | | S/L | |
| b 12-year | | | 12 yrs. | | S/L | |
| c 40-year | | | 40 yrs. | MM | S/L | |

## Part IV Summary (See instructions.)

| | | | |
|---|---|---|---|
| 21 | Listed property. Enter amount from line 28 | 21 | 4,738 |
| 22 | **Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations—see instructions | 22 | 16,995 |
| 23 | For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs | 23 | |

**For Paperwork Reduction Act Notice, see separate instructions.**

Form **4562** (2012)

DAA

. ABNEY ·FOUNDATION 57-6019445

## Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

**Note:** For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

### Section A—Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

**24a** Do you have evidence to support the business/investment use claimed? ☐ Yes ☒ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

| (a) Type of property (list vehicles first) | (b) Date placed in service | (c) Business/ investment use percentage | (d) Cost or other basis | (e) Basis for depreciation (business/investment use only) | (f) Recovery period | (g) Method/ Convention | (h) Depreciation deduction | (i) Elected section 179 cost |
|---|---|---|---|---|---|---|---|---|
| **25** Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions) | | | | | | 25 | | |
| **26** Property used more than 50% in a qualified business use: | | | | | | | | |
| 2005 HONDA VAN | 11/08/05 | 100.00% | 31,764 | 31,764 | 5.0 | S/L-HY | | |
| 2007 TOYOTA SEQUOIA-DCK | 08/21/07 | 100.00% | 35,538 | 35,538 | 5.0 | S/L-HY | 4,738 | |
| **27** Property used 50% or less in a qualified business use: | | | | | | | | |
| | | % | | | | S/L- | | |
| | | % | | | | S/L- | | |
| **28** Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1 | | | | | | 28 | 4,738 | |
| **29** Add amounts in column (i), line 26. Enter here and on line 7, page 1 | | | | | | | 29 | |

### Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

| | (a) Vehicle 1 | | (b) Vehicle 2 | | (c) Vehicle 3 | | (d) Vehicle 4 | | (e) Vehicle 5 | | (f) Vehicle 6 | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **30** Total business/investment miles driven during the year (**do not** include commuting miles) | | | | | | | | | | | | |
| **31** Total commuting miles driven during the year | | | | | | | | | | | | |
| **32** Total other personal (noncommuting) miles driven | | | | | | | | | | | | |
| **33** Total miles driven during the year. Add lines 30 through 32 | | | | | | | | | | | | |
| | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No |
| **34** Was the vehicle available for personal use during off-duty hours? | | | | | | | | | | | | |
| **35** Was the vehicle used primarily by a more than 5% owner or related person? | | | | | | | | | | | | |
| **36** Is another vehicle available for personal use? | | | | | | | | | | | | |

### Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions).

| | Yes | No |
|---|---|---|
| **37** Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees? | X | |
| **38** Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners | | X |
| **39** Do you treat all use of vehicles by employees as personal use? | | X |
| **40** Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? | | X |
| **41** Do you meet the requirements concerning qualified automobile demonstration use? (See instructions.) | | X |

**Note:** If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.

## Part VI Amortization

| (a) Description of costs | (b) Date amortization begins | (c) Amortizable amount | (d) Code section | (e) Amortization period or percentage | (f) Amortization for this year |
|---|---|---|---|---|---|
| **42** Amortization of costs that begins during your 2012 tax year (see instructions): | | | | | |
| | | | | | |
| **43** Amortization of costs that began before your 2012 tax year | | | | 43 | |
| **44** **Total.** Add amounts in column (f). See the instructions for where to report | | | | 44 | |

Abney Foundation
100 Vine St
Anderson, SC 29621

# Electing out of Bonus Depreciation Allowance for All Eligible Depreciable Property

The taxpayer elects out of first-year bonus depreciation allowance under IRC Section 168(k) for all eligible asset classes of depreciable property acquired after December 31, 2007. This election applies to all eligible depreciable property placed in service during the tax year.

# *The Abney Foundation*

*Grants Awarded*

*2012*

*as of 12/10/2012*

| DATE | NAME, CONTACT, ADDRESS | FOUNDATION STATUS | PURPOSE | AMOUNT |
|---|---|---|---|---|
| 12/10/2012 | Ms. Denise A. Barrett, DCEC Board Member<br>Developmental Center for Exceptional Children<br>2000 North Main Street<br>Anderson, SC 29621 | 170(b)(1)(A)(vi) | Assist with expenses. | $10,000 |
| 12/10/2012 | Dr. Daniel W. Ball, President<br>Lander University<br>320 Stanley Avenue<br>Greenwood, SC 29649 | 509(a)(3) Type III Functionally Integrated Supporting Organization | Addition to scholarship fund. | $50,000 |
| 12/10/2012 | Mrs. Stacey S. Carroll, Executive Director<br>Shalom House Ministries, Inc.<br>349 Blake Dairy Road<br>Belton, SC 29627 | 170(b)(1)(A)(vi) | General support. | $5,000 |
| 12/10/2012 | Ms. Libby Winkler, Executive Director<br>Habitat for Humanity<br>210 South Murray Avenue<br>Anderson, SC 29624 | 170(b)(1)(A)(vi) | Assist with the construction of a Habitat home. | $10,000 |
| 12/10/2012 | Dr. Raymond S. Greenberg, MD, PhD, President<br>MUSC/Hollings Cancer Center<br>179 Ashley Avenue, MSC 001<br>P.O. Box 250001<br>Charleston, SC 29425 | 509(a)(3) Type III Functionally Integrated Supporting Organization | Addition to scholarship fund. | $400,000 |
| 12/10/2012 | Ms. Coby C. Hennecy, CPA, Executive Director<br>The ETV Endowment of South Carolina<br>401 East Kennedy Street, Suite B-1<br>Spartanburg, SC 29302 | 170(b)(1)(A)(vi) | General support. | $5,000 |
| 12/10/2012 | Ms. Lisa Hall, Liason<br>Anderson School District 5 Homeless Program<br>Post Office Box 439<br>Anderson, SC 29622 | 509(a)(3) Type I Supporting Organization | Provide assistance to homeless students in Anderson County. | $10,000 |

# *The Abney Foundation*

*Grants Awarded*

*2012*

*as of 12/10/2012*

| *DATE* | *NAME, CONTACT, ADDRESS* | *FOUNDATION STATUS* | *PURPOSE* | *AMOUNT* |
|---|---|---|---|---|
| 12/10/2012 | Dr. Ronnie L. Booth, President<br>Tri-County Technical College<br>Post Office Box 587<br>Pendleton, SC 29670 | 170(b)(1)(A)(iv) | Addition to scholarship fund. | $100,000 |
| 12/10/2012 | Mr. Joe Drennon, CEO<br>Anderson Area YMCA<br>201 East Reed Road<br>Anderson, SC 29621 | 509(a)(2) | Scholarships for swim lessons. | $10,000 |
| 12/10/2012 | Mrs. Kristi King-Brock, Executive Director<br>Anderson Interfaith Ministries<br>Post Office Box 1136<br>Anderson, SC 29622 | 170(b)(1)(A)(vi) | General support. | $20,000 |
| 12/10/2012 | Dr. Evans P. Whitaker, President<br>Anderson University<br>316 Boulevard<br>Anderson, SC 29621 | 170(b)(1)(A)(ii) | $100,000 to the School of Nursing; and $150,000 to scholarship fund. | $250,000 |
| 12/10/2012 | Ms. Megan Riegel, President<br>The Peace Center for the Performing Arts<br>101 West Broad Street<br>Greenville, SC 29601 | 509(a)(1) 170(b)(1)(A)(vi) | Provide scholarships for Anderson County students to attend POP! Performances. | $5,000 |
| 12/10/2012 | LTG John W. Rosa, President<br>The Citadel Foundation<br>171 Moultrie Street<br>Charleston, SC 29409-6230 | 170(b)(1)(A)(iv) | Addition to scholarship fund. | $100,000 |
| 12/10/2012 | Mr. Jonathan Runge, District Director<br>Blue Ridge Council, BSA<br>1 Park Plaza<br>Greenville, SC 29607 | 170(b)(1)(a)(vi) | General support. | $5,000 |

# *The Abney Foundation*

*Grants Awarded*

*2012*

*as of 12/10/2012*

| *DATE* | *NAME, CONTACT, ADDRESS* | *FOUNDATION STATUS* | *PURPOSE* | *AMOUNT* |
|---|---|---|---|---|
| 12/10/2012 | Ms. Barb Baptista, Executive Director<br>Anderson Free Clinic<br>Post Office Box 728<br>Anderson, SC 29622 | 170(b)(1)(A)(iii) | General support. | $25,000 |
| 12/10/2012 | Mrs. Carol Burdette, President and CPO<br>United Way of Anderson County<br>PO Box 2067<br>Anderson, SC 29622 | 170(b)(1)(A)(vi) | Assist with the Weekend BackPak SnackPak Food Program. | $10,000 |
| 12/10/2012 | Ms. Karen Mitchell, Board Chair<br>Girl Scouts of SC/Mountains to Midlands<br>5 Independence Pointe, Suite 120<br>Greenville, SC 29615 | 170(b)(1)(A)(vi) | Support the Girl Scout program in Anderson County. | $5,000 |
| 12/10/2012 | Ms. Tracy Whitten Bowie, Executive Director<br>Foothills Alliance<br>216 East Calhoun Street<br>Anderson, SC 29621 | 170(b)(1)(A)(vi) | General support. | $10,000 |
| 12/10/2012 | Mrs. Pamela S. Melbourne, CEO<br>Hospice of the Upstate<br>1835 Rogers Road<br>Anderson, SC 29621 | 509(a)(2) | Assist with implementation of "A Prescription to End Confusion" program. | $10,000 |
| 12/10/2012 | Mr. Travis McNeal, Executive Director<br>Golden Harvest Food Bank<br>3310 Commerce Drive<br>Augusta, GA 30909 | 170(b)(1)(A)(vi) | to assist with the purchase of a fleet vehicle, as outlined in your proposal. | $10,000 |
| 12/10/2012 | Dr. James F. Barker, President<br>Clemson University<br>201 Sikes Hall<br>Clemson, SC 29634-5002 | 170(b)(1)(A)(iv) | Addition to scholarship fund. | $250,000 |

# *The Abney Foundation*

*Grants Awarded*

*2012*

*as of 12/10/2012*

| *DATE* | *NAME, CONTACT, ADDRESS* | *FOUNDATION STATUS* | *PURPOSE* | *AMOUNT* |
|---|---|---|---|---|
| 12/10/2012 | Ms. Julie Dixon, Executive Director<br>Anderson Crisis Pregnancy Clinic<br>PO Box 6161<br>Anderson, SC 29623 | 170(b)(1)(A)(vi) | | $10,000 |
| 12/10/2012 | Ms. Nancy Halverson, President/CEO<br>The Children's Museum<br>300 College Street<br>Greenville, SC 29601 | 170(b)(1)(A)(vi) | Provide ticket scholarships to Anderson Co. students. | $5,000 |
| 12/10/2012 | Dr. Claude C. Lilly, President<br>Presbyterian College<br>503 South Broad Street<br>Clinton, SC 29325 | 170(b)(1)(A)(ii) | Addition to scholarship fund. | $100,000 |
| 12/10/2012 | Mrs. Kristin Palmer Williams, Ex. Director<br>Cancer Association of Anderson<br>215 East Calhoun Street<br>Anderson, SC 29621 | 170(b)(1)(A)(vi) | Assist with travel expenses to medical appointments for cancer patients. | $15,000 |
| 12/10/2012 | Ms. Laurie H. Ashley, Executive Director<br>Meals on Wheels<br>Post Office Box 285<br>Anderson, SC 29622 | 170(b)(1)(A)(vi) | General support. | $20,000 |
| 12/10/2012 | Dr. David H. Swinton, President<br>Benedict College<br>Administrative Building, Suite 306<br>1600 Harden Street<br>Columbia, SC 29204 | 170(b)(1)(A)(ii) | Addition to scholarship fund. | $100,000 |
| 12/10/2012 | Dr. Henry N. Tisdale, President<br>Claflin University<br>400 Magnolia Street<br>Orangeburg, SC 29115 | 170(b)(1)(A)(ii) | Addition to scholarship fund. | $100,000 |

# *The Abney Foundation*

*Grants Awarded*

*2012*

*as of 12/10/2012*

| *DATE* | *NAME, CONTACT, ADDRESS* | *FOUNDATION STATUS* | *PURPOSE* | *AMOUNT* |
|---|---|---|---|---|
| 12/10/2012 | Mr. McLester McDowell, Chairman<br>Anderson Emergency Kitchen, Inc<br>P.O. Box 515<br>Anderson, SC 29622 | 509(a)(2) | Credit at Golden Harvest Food Bank. | $5,000 |
| 12/10/2012 | Ms. Suzanne McMahan & Ms. Gloria Byrd<br>Area 14 Special Olympics<br>515 North McDuffie Street<br>Anderson, SC 29621 | 170(b)(1)(A)(vi) | General support. | $5,000 |
| 12/10/2012 | Dr. Michael D. Chesser, Executive Director<br>Anderson Sunshine House<br>c/o Upstate Homeless Coalition of SC<br>50 Executive Center Drive, #B211<br>Greenville, SC 29615 | 170(b)(1)(A)(vi) | General support. | $5,000 |
| 12/10/2012 | Mr. Vernon R. Hayes, MA, Executive Director<br>Boys Home of the South<br>10612 Augusta Road<br>Belton, SC 29627 | 509(a)(2) | General support. | $5,000 |
| 12/10/2012 | Mr. Greg Skipper, Executive Director<br>Calvary Home for Children<br>110 Calvary Home Circle<br>Anderson, SC 29621 | 170(b)(1)(A)(vi) | General support. | $5,000 |
| 12/10/2012 | Ms. Pati Brosche<br>Clean Start<br>219 Townsend Street<br>Anderson, SC 29625 | 170(b)(1)(A)(vi) | General support. | $5,000 |
| 12/10/2012 | Mr. James A. Reed, President<br>Good Neighbor Cupboard<br>313 Tower Street, Suite 113<br>Anderson, SC 29624 | 170(b)(1)(A)(vi) | Credit at Golden Harvest Food Bank. | $5,000 |

# *The Abney Foundation*

*Grants Awarded*

*2012*

*as of 12/10/2012*

| *DATE* | *NAME, CONTACT, ADDRESS* | *FOUNDATION STATUS* | *PURPOSE* | *AMOUNT* |
|---|---|---|---|---|
| 12/10/2012 | The Reverend Jack Hardaway, Rector<br>Grace Episcopal Church<br>711 South McDuffie Street<br>Anderson, SC 29624 | 170(b)(1)(A)(i) | General support. | $100,000 |
| 12/10/2012 | Mr. Sidney Stewart, Executive Director<br>Haven of Rest Ministries, Inc.<br>Post Office Box 466<br>Anderson, SC 29622 | 170(b)(1)(A)(vi) | General support. | $15,000 |
| 12/10/2012 | Mr. Alex Richey, Director<br>Home with a Heart<br>220 James Mattison Road<br>Liberty, SC 29657 | 170(b)(1)(A)(vi) | General support. | $5,000 |
| 12/10/2012 | The Reverend Perry Noble, Senior Pastor<br>NewSpring Church<br>Post Office Box 1407<br>Anderson, SC 29622 | 170(b)(1)(A)(i) | General support. | $100,000 |
| 12/10/2012 | Major Bryan K. Tatterson, Commanding Officer<br>The Salvation Army<br>Post Office Box 43<br>Anderson, SC 29622-0043 | 170(b)(1)(a)(i) | General support. | $10,000 |
| 12/10/2012 | Mr. John W. Crosby, Executive Director<br>The Salvation Army Boys & Girls Club<br>Post Office Box 43<br>Anderson, SC 29622-0043 | 170(b)(1)(a)(i) | General support. | $10,000 |
| 12/10/2012 | Ms. Madeleine McGee , President<br>SCANPO<br>2711 Middleburg Drive, Suite 201<br>Columbia, SC 29204 | 509(a)(2) | General support. | $5,000 |
| | | | **42 Grants** | **$1,930,000** |

U.S. TRUST
Bank of America Private Wealth Management

# 2012 Tax Information Letter

Account Number 020020038976

114 WEST 47TH STREET, NY8-114-07-07
NEW YORK, NY 10036-1510

THE ABNEY FOUNDATION
C/O DAVID KING EXEC DIRECTOR
100 VINE ST
ANDERSON SC 29621-3265

## 2012 Tax Information Letter

IM THE ABNEY FOUNDATION
Account Number 020020038976
Tax ID Number XX-XX19445

## Contents

## Questions?

If you have any questions regarding this tax information letter, please call Lantz Braham at (412) 316-2357.

**Please note that if your account is invested in a partnership the income from the partnership is not included in this tax information letter. If we receive a partnership K-1, we will forward it to you. Most publicly traded partnership K-1's are available online at www.taxpackagesupport.com.**

Copies also sent to:
ELLIOTT DAVIS LLC

U.S. TRUST
Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**
**Account Number 020020038976**

## Important tax information for Illinois Taxpayers

This account contains dividends earned from Abbott Laboratories. You may be permitted to subtract these dividends from your base income for Illinois tax purposes if you file Form IL - 1040.

Under Illinois law, dividends you receive from a corporation that conducts business in a foreign trade zone and is designated a "High Impact Business" are eligible for the subtraction modification from Illinois base income. The Illinois Department of Commerce and Community Affairs has designated Abbott Laboratories an Illinois High Impact Business ("HIB"). Additionally, Abbott Laboratories is located in a federally designated foreign trade Sub Zone. (Chicago/Sub Zone 22F)

The dividends that are reported as the subtraction must have been included in your Federal Taxable Income. Schedule 1299-C must be attached to your IL-1040 to support this subtraction.

***As each individual situation can vary, you should consult your tax advisor with any questions you may have.***

## Important tax information for Illinois Taxpayers

This account contains dividends earned from Caterpillar Inc. You may be permitted to subtract these dividends from your base income for Illinois tax purposes if you file Form IL - 1040.

Under Illinois law, dividends you receive from a corporation that conducts business in a foreign trade zone and is designated a "High Impact Business" are eligible for the subtraction modification from Illinois base income. The Illinois Department of Commerce and Community Affairs has designated Caterpillar Inc. an Illinois High Impact Business ("HIB"). Additionally, Caterpillar Inc. is located in a federally designated foreign trade Sub Zone. (Chicago/Sub Zone 22F)

The dividends that are reported as the subtraction must have been included in your Federal Taxable Income. Schedule 1299-C must be attached to your IL-1040 to support this subtraction.

***As each individual situation can vary, you should consult your tax advisor with any questions you may have.***

## Corporate Actions subject to Internal Revenue Code Section 302

Your account received cash, stock or other property in exchange for the below-noted asset and CUSIP as part of a corporate action. This exchange was posted on the below-noted trade date. The proceeds from this transaction are being reported as part of your Tax Letter, which typically would result in capital gain or loss depending on your tax cost associated with this exchange. However, we have been alerted that this corporate action is subject to Internal Revenue Code Section 302. Under Section 302 of the Code, you may be required to treat these proceeds as ordinary dividend income for U.S. federal income tax purposes. Determining the actual tax consequences of the transaction to you is complex and will depend on your specific circumstances. This determination can only be made at the taxpayer level. Therefore, we urge you to consult your tax advisor (who may wish to consult any tax disclosures provided by the corporation) to determine the particular tax consequences to you as a result of this transaction.

| Cusip: | Description: | Trade Date: |
|---|---|---|
| 74460D232 | PUBLIC STORAGE INC PFD | 04/11/12 |
| 74460D232 | PUBLIC STORAGE INC PFD | 04/11/12 |

U.S. TRUST

Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**

**Account Number 020020038976**

## Income for 2012

**Our records indicate that the owner of this account is a corporation or is otherwise exempt from Form 1099 reporting. Accordingly, U.S. Trust is not required to, nor will we file this information with the Internal Revenue Service. We are providing this Tax Information Letter to you for informational purposes only to assist you in preparing your tax returns.**

**Payer:** BANK OF AMERICA, N.A. (as agent), Tax ID Number 94-1687665.

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### Dividends

This section reports dividends and distributions earned on investments, and any taxes withheld or paid.

| *Description* | *Amount* |
|---|---|
| Total ordinary dividends | $328,050.28 |
| Qualified dividends (included in total ordinary dividends) | $204,326.66 |
| Total capital gain distributions | $193,762 04 |
| Unrecaptured Section 1250 Gain (included in total capital gain distributions) | $63.60 |
| Section 1202 gain (included in total capital gain distributions) | $0.00 |
| Collectibles (28%) gain (included in total capital gain distributions) | $144.19 |
| Nondividend distributions | $4,342.96 |
| Federal Income tax withheld | $0.00 |
| Investment expenses | $2.03 |
| Foreign tax paid | $6.616.28 |
| Cash liquidation distributions | $0.00 |
| Non-cash liquidation distributions | $0.00 |
| Exempt-interest dividends | $0.00 |
| Specified private activity bond interest dividends (included in total exempt-interest dividends) | $0 00 |
| State tax withheld | $0.00 |

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**2012 Tax Information Letter**
**Account Number 020020038976**

## Interest Income

This section reports interest earned on government and corporate bonds and other interest income, and any taxes withheld or paid.

| *Description* | *Amount* |
|---|---|
| Interest income on other than U.S Obligations | $123,346.56 |
| Early withdrawal penalty | $0.00 |
| Interest on U.S. Savings Bonds and Treasury Obligations | $0.00 |
| Federal Income tax withheld | $0.00 |
| Investment expenses | $0.00 |
| Foreign tax paid | $2,800.47 |
| Tax-exempt interest | $0 00 |
| Specified private activity bond interest (included in total tax-exempt interest) | $0.00 |
| State tax withheld | $0 00 |

## Proceeds From Broker Transactions

We have adjusted tax costs for amortization of premium paid for the acquisition of municipal bonds through date of sale and for non-taxable dividends. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. However, we have not made adjustments to the cost basis of all partnerships.

If applicable, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

### Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| COLUMBIA FDS SER TR I MID CAP | CLSP X | 19765P232 | 2606.35 | 09/28/11 | 01/03/12 | $65,132.79 | $63,464.73 | $1,668.06 |
| COLUMBIA FDS SER TR I MID CAP | CLSP X | 19765P232 | 5173.37 | 09/23/11 | 01/03/12 | $129,282.41 | $125,557.60 | $3,724.81 |
| GOLDCORP INC NEW | GG | 380956409 | 187 | 03/23/11 | 01/04/12 | $8,413.72 | $9,243.54 | $-829.82 |
| GOLDCORP INC NEW | GG | 380956409 | 300 | 07/06/11 | 01/04/12 | $13,497.94 | $14,857.44 | $-1,359.50 |
| GOLDCORP INC NEW | GG | 380956409 | 400 | 09/08/11 | 01/04/12 | $17,997.25 | $22,350.44 | $-4,353.19 |
| LINEAR TECHNOLOGY CORP | LLTC | 535678106 | 222 | 01/04/12 | 01/05/12 | $6,694.26 | $6,701.25 | $-6.99 |
| KEY ENERGY GROUP INC | KEG | 492914106 | 65 | 09/27/11 | 01/09/12 | $1,015.47 | $695.50 | $319.97 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 87 | 09/27/11 | 01/09/12 | $1,112.62 | $734.28 | $378.34 |
| APPLE COMPUTER INC | AAPL | 037833100 | 3 | 06/01/11 | 01/10/12 | $1,270.97 | $1,054.44 | $216.53 |
| APPLE COMPUTER INC | AAPL | 037833100 | 20 | 01/04/12 | 01/10/12 | $8,473.16 | $8,268.00 | $205.16 |
| COLUMBIA FDS SER TR SHORT TERM | NSTM X | 19765H362 | 20913.74 | 11/09/11 | 01/17/12 | $206,627.75 | $206,836.89 | $-209.14 |
| GOLDCORP INC NEW | GG | 380956409 | 613 | 03/23/11 | 01/19/12 | $27,597.96 | $30,301.02 | $-2,703.06 |
| JDS UNIPHASE CORP | JDSU | 46612J507 | 30 | 12/13/11 | 01/19/12 | $390.31 | $311.05 | $79.26 |
| BRISTOL MYERS SQUIBB COMPANY | BMY | 110122108 | 100 | 03/23/11 | 01/19/12 | $3,317.14 | $2,604.69 | $712.45 |
| COLUMBIA FDS SER TR SHORT TERM | NSTM X | 19765H362 | 42275.34 | 11/09/11 | 01/19/12 | $417,680.40 | $418,103.15 | $-422.75 |
| SCIQUEST INC | SQI | 80908T101 | 143 | 12/29/11 | 01/24/12 | $2,041.14 | $2,037.25 | $3.89 |
| SPS COMM INC | SPSC | 78463M107 | 78 | 08/10/11 | 01/24/12 | $1,896.92 | $1,370.82 | $526.10 |
| SPS COMM INC | SPSC | 78463M107 | 72 | 08/11/11 | 01/24/12 | $1,751.01 | $1,277.34 | $473.67 |
| GRAND CANYON EDUCATION INC | LOPE | 38526M106 | 237 | 12/29/11 | 01/24/12 | $4,041.22 | $3,746.45 | $294.77 |

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Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**

**Account Number 020020038976**

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| PEGASYSTEMS INC | PEGA | 705573103 | 96 | 12/29/11 | 01/24/12 | $2,681.13 | $2,813.55 | $-132.42 |
| RESOURCES CONNECTION INC | RECN | 76122Q105 | 202 | 12/29/11 | 01/24/12 | $2,452.84 | $2,098.21 | $354.63 |
| FRESH MKT INC | TFM | 35804H106 | 96 | 12/29/11 | 01/24/12 | $4,250.75 | $3,855.12 | $395.63 |
| FINANCIAL ENGINES INC | FNGN | 317485100 | 139 | 12/29/11 | 01/24/12 | $3,332.02 | $3,135.84 | $196.18 |
| ENERNOC INC | ENOC | 292764107 | 97 | 12/29/11 | 01/24/12 | $904.46 | $1,058.59 | $-154.13 |
| ECHO GLOBAL LOGISTICS INC | ECHO | 27875T101 | 10 | 05/20/11 | 01/24/12 | $174.50 | $144.69 | $29.81 |
| ECHO GLOBAL LOGISTICS INC | ECHO | 27875T101 | 53 | 05/23/11 | 01/24/12 | $924.84 | $767.39 | $157.45 |
| ATHENAHEALTH INC | ATHN | 04685W103 | 79 | 04/05/11 | 01/24/12 | $4,495.05 | $3,525.99 | $969.06 |
| BIO-REFERENCE LABS INC PAR $0 | BRLI | 09057G602 | 209 | 12/29/11 | 01/24/12 | $3,869.04 | $3,306.27 | $562 77 |
| CAPELLA ED CO | CPLA | 139594105 | 43 | 12/29/11 | 01/24/12 | $1,827.03 | $1,540.78 | $286.25 |
| CONCUR TECHNOLOGIES INC | CNQR | 206708109 | 83 | 09/02/11 | 01/24/12 | $4,393.93 | $3,235.36 | $1,158.57 |
| ECHELON CORP OC | ELON | 27874N105 | 306 | 12/29/11 | 01/24/12 | $1,704.84 | $1,556.62 | $148.22 |
| CONSTANT CONTACT INC | CTCT | 210313102 | 79 | 12/29/11 | 01/24/12 | $2,053.41 | $1,878.63 | $174.78 |
| EBIX COM INC NEW | EBIX | 278715206 | 192 | 12/29/11 | 01/24/12 | $4,587.17 | $4,336.22 | $250.95 |
| ECHO GLOBAL LOGISTICS INC | ECHO | 27875T101 | 105 | 05/16/11 | 01/24/12 | $1,832.22 | $1,563.96 | $268.26 |
| ECHO GLOBAL LOGISTICS INC | ECHO | 27875T101 | 23 | 05/17/11 | 01/24/12 | $401.34 | $335.74 | $65.60 |
| KEY ENERGY GROUP INC | KEG | 492914106 | 78 | 09/27/11 | 01/25/12 | $1,156 07 | $834.60 | $321.47 |
| POTASH CORP SASK INC | POT | 73755L107 | 350 | 03/16/11 | 01/25/12 | $15,488.01 | $19,454.12 | $-3,966.11 |
| POTASH CORP SASK INC | POT | 73755L107 | 627 | 01/04/12 | 01/25/12 | $27,745 66 | $26,662.74 | $1,082.92 |
| KEY ENERGY GROUP INC | KEG | 492914106 | 103 | 09/27/11 | 01/26/12 | $1,511.30 | $1,102.10 | $409.20 |
| JABIL CIRCUIT INC COM | JBL | 466313103 | 120 | 09/27/11 | 01/26/12 | $2,720 16 | $2,118.99 | $601.17 |
| HEALTH NET INC | HNT | 42222G108 | 20 | 09/27/11 | 01/31/12 | $746.73 | $512.80 | $233.93 |
| LIMITED INC | LTD | 532716107 | 252 | 01/04/12 | 02/01/12 | $10,615.30 | $9,990.44 | $624.86 |
| MCDONALDS CORP | MCD | 580135101 | 30 | 10/26/11 | 02/01/12 | $2,957.34 | $2,747 25 | $210.09 |
| MERCK & CO INC | MRK | 58933Y105 | 885 | 01/04/12 | 02/01/12 | $34,291.32 | $33,704.60 | $586.72 |
| MEREDITH CORP | MDP | 589433101 | 281 | 01/04/12 | 02/01/12 | $9,151.99 | $8,996.10 | $155.89 |
| METLIFE INC | MET | 59156R108 | 178 | 01/04/12 | 02/01/12 | $6,442.07 | $5,731.96 | $710.11 |
| MICROSOFT CORP | MSFT | 594918104 | 169 | 01/05/12 | 02/01/12 | $5,064.36 | $4,648.40 | $415 96 |

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**Account Number 020020038976**

## Short term transactions

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| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| MICROSOFT CORP | MSFT | 594918104 | 1381 | 01/04/12 | 02/01/12 | $41,383.90 | $37,836 92 | $3,546.98 |
| NORFOLK SOUTHERN CORP | NSC | 655844108 | 147 | 01/04/12 | 02/01/12 | $10,708 74 | $11,002 95 | $-294.21 |
| NUCOR CORP | NUE | 670346105 | 338 | 01/04/12 | 02/01/12 | $15,204.36 | $13,863 00 | $1,341.36 |
| PG & E CORP | PCG | 69331C108 | 191 | 01/04/12 | 02/01/12 | $7,839.44 | $7,816.94 | $22.50 |
| PNC BANK CORP | PNC | 693475105 | 199 | 01/04/12 | 02/01/12 | $11,940 92 | $11,848.46 | $92.46 |
| PENN WEST PETE LTD NEW | PWE | 707887105 | 441 | 01/04/12 | 02/01/12 | $9,582.03 | $9,329.88 | $252.15 |
| PFIZER INC | PFE | 717081103 | 48 | 01/04/12 | 02/01/12 | $1,031.76 | $1,045 67 | $-13.91 |
| PFIZER INC | PFE | 717081103 | 310 | 08/30/11 | 02/01/12 | $6,663.48 | $5,880.64 | $782.84 |
| PFIZER INC | PFE | 717081103 | 308 | 08/15/11 | 02/01/12 | $6,620.49 | $5,604.77 | $1,015 72 |
| PHILIP MORRIS INTL INC | PM | 718172109 | 29 | 11/23/11 | 02/01/12 | $2,201.48 | $2,067.49 | $133.99 |
| PHILIP MORRIS INTL INC | PM | 718172109 | 79 | 10/12/11 | 02/01/12 | $5,997.15 | $5,232 12 | $765.03 |
| PRICE T ROWE GROUP INC | TROW | 74144T108 | 17 | 10/21/11 | 02/01/12 | $995.30 | $923 63 | $71.67 |
| MCDONALDS CORP | MCD | 580135101 | 107 | 11/18/11 | 02/01/12 | $10,547.86 | $9,940.45 | $607.41 |
| PFIZER INC | PFE | 717081103 | 235 | 01/02/12 | 02/01/12 | $5,051.35 | $5,144 63 | $-93 28 |
| PHILIP MORRIS INTL INC | PM | 718172109 | 88 | 11/09/11 | 02/01/12 | $6,680.37 | $6,123.90 | $556.47 |
| RPM INC OHIO | RPM | 749685103 | 156 | 11/22/11 | 02/01/12 | $3,965.44 | $3,514.85 | $450.59 |
| PUBLIC SERVICE ENTERPRISE GROU | PEG | 744573106 | 464 | 01/04/12 | 02/01/12 | $14,102 63 | $14,711.96 | $-609.33 |
| PUBLIC STORAGE INC | PSA | 74460D109 | 83 | 12/09/11 | 02/01/12 | $11,491 12 | $10,791 98 | $699.14 |
| RPM INC OHIO | RPM | 749685103 | 362 | 11/21/11 | 02/01/12 | $9,201.86 | $8,021.23 | $1,180.63 |
| RAYTHEON CO NEW | RTN | 755111507 | 310 | 01/04/12 | 02/01/12 | $15,140 10 | $15,051 43 | $88.67 |
| ROYAL DUTCH SHELL PLC SPONSORE | RDS A | 780259206 | 228 | 01/04/12 | 02/01/12 | $16,477.63 | $16,924.44 | $-446.81 |
| ROYAL DUTCH SHELL PLC SPONSORE | RDS A | 780259206 | 182 | 09/13/11 | 02/01/12 | $13,153.20 | $11,721.49 | $1,431.71 |
| ROYAL DUTCH SHELL PLC SPONSORE | RDS A | 780259206 | 154 | 09/13/11 | 02/01/12 | $11,129.63 | $9,820 56 | $1,309.07 |
| SHERWIN WILLIAMS CO | SHW | 824348106 | 151 | 11/21/11 | 02/01/12 | $14,647.59 | $12,692.59 | $1,955.00 |
| SHERWIN WILLIAMS CO | SHW | 824348106 | 27 | 11/23/11 | 02/01/12 | $2,619 10 | $2,259.23 | $359.87 |
| TJX COS INC NEW | TJX | 872540109 | 155 | 01/04/12 | 02/01/12 | $10,663 33 | $9,978 06 | $685.27 |
| TIME WARNER INC | TWX | 887317303 | 554 | 01/04/12 | 02/01/12 | $20,982.62 | $20,218.89 | $763.73 |
| WAL MART STORES INC | WMT | 931142103 | 221 | 01/04/12 | 02/01/12 | $13,754.11 | $13,204 73 | $549.38 |

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## Short term transactions

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| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| WASTE MGMT INC DEL COM | WM | 94106L109 | 296 | 01/04/12 | 02/01/12 | $10,393.84 | $9,580 28 | $813.56 |
| SEMPRA ENERGY | SRE | 816851109 | 302 | 01/04/12 | 02/01/12 | $17,447.47 | $16,692.08 | $755.39 |
| TARGET CORP | TGT | 87612E106 | 380 | 01/04/12 | 02/01/12 | $19,510.72 | $18,856 74 | $653.98 |
| TEXAS INSTRUMENTS INC | TXN | 882508104 | 418 | 01/04/12 | 02/01/12 | $13,833.23 | $12,410.42 | $1,422.81 |
| VERIZON COMMUNICATIONS | VZ | 92343V104 | 272 | 01/04/12 | 02/01/12 | $10,315.54 | $10,781.45 | $-465.91 |
| JANUS INVT FUND | JMGR X | 47103C795 | 1905.07 | 01/09/12 | 02/01/12 | $120,914.72 | $113,370.67 | $7,544.05 |
| ACCENTURE PLC | ACN | G1151C101 | 353 | 09/15/11 | 02/01/12 | $20,561.88 | $18,303.30 | $2,258.58 |
| TRANSOCEAN LTD | RIG | H8817H100 | 189 | 01/04/12 | 02/01/12 | $9,118.13 | $7,639.38 | $1,478.75 |
| ACCENTURE PLC | ACN | G1151C101 | 60 | 11/23/11 | 02/01/12 | $3,494.94 | $3,243.69 | $251.25 |
| AMGEN INC | AMGN | 031162100 | 195 | 01/04/12 | 02/01/12 | $13,624.39 | $12,404.38 | $1,220.01 |
| AMGEN INC | AMGN | 031162100 | 6 | 11/05/11 | 02/01/12 | $419.21 | $357.51 | $61.70 |
| BHP LTD SPONSORED ADR | BHP | 088606108 | 160 | 01/04/12 | 02/01/12 | $12,932.50 | $11,984.00 | $948.50 |
| BLACKROCK INC CL A | BLK | 09247X101 | 85 | 01/04/12 | 02/01/12 | $15,596.35 | $15,332.30 | $264.05 |
| BOEING CO | BA | 097023105 | 173 | 01/04/12 | 02/01/12 | $13,080.27 | $12,817.57 | $262.70 |
| BRISTOL MYERS SQUIBB COMPANY | BMY | 110122108 | 199 | 09/06/11 | 02/01/12 | $6,460.35 | $5,797.90 | $662.45 |
| BRISTOL MYERS SQUIBB COMPANY | BMY | 110122108 | 248 | 08/31/11 | 02/01/12 | $8,051.09 | $7,401.14 | $649.95 |
| BRISTOL MYERS SQUIBB COMPANY | BMY | 110122108 | 49 | 09/06/11 | 02/01/12 | $1,590.74 | $1,432.64 | $158.10 |
| CME GROUP INC | CME | 12572Q105 | 13 | 11/14/11 | 02/01/12 | $3,182.73 | $3,209.61 | $-26.88 |
| CME GROUP INC | CME | 12572Q105 | 35 | 01/04/12 | 02/01/12 | $8,568.88 | $8,445.15 | $123.73 |
| CHEVRONTEXACO CORP | CVX | 166764100 | 64 | 01/04/12 | 02/01/12 | $6,584.87 | $7,058.75 | $-473.88 |
| CHUBB CORP | CB | 171232101 | 284 | 01/04/12 | 02/01/12 | $19,282.37 | $19,602.54 | $-320.17 |
| DIGITAL RLTY TR INC | DLR | 253868103 | 212 | 12/09/11 | 02/01/12 | $15,221.30 | $13,729 48 | $1,491.82 |
| DOVER CORP | DOV | 260003108 | 189 | 11/21/11 | 02/01/12 | $12,222.70 | $9,616.23 | $2,606.47 |
| DU PONT (E I) DE NEMOURS & CO | DD | 263534109 | 323 | 01/04/12 | 02/01/12 | $16,668.09 | $15,126.09 | $1,542.00 |
| EMERSON ELECTRIC CO | EMR | 291011104 | 242 | 01/04/12 | 02/01/12 | $12,563.37 | $11,613.58 | $949.79 |
| EXXON MOBIL CORP | XOM | 30231G102 | 110 | 01/04/12 | 02/01/12 | $9,265.14 | $9,442.86 | $-177.72 |
| GALLAGHER ARTHUR J & CO | AJG | 363576109 | 414 | 01/04/12 | 02/01/12 | $14,135.42 | $13,584 26 | $551.16 |
| GALLAGHER ARTHUR J & CO | AJG | 363576109 | 20 | 11/10/11 | 02/01/12 | $682.87 | $650 80 | $32.07 |

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|---|---|---|---|---|---|---|---|---|
| GLAXO WELLCOME PLC SPONSORED | GSK | 37733W105 | 352 | 11/22/11 | 02/01/12 | $15,962 54 | $14,941 70 | $1,020 84 |
| HONEYWELL INTL INC | HON | 438516106 | 88 | 01/04/12 | 02/01/12 | $5,194.17 | $4,889.54 | $304 63 |
| HONEYWELL INTL INC | HON | 438516106 | 321 | 09/06/11 | 02/01/12 | $18,946 91 | $14,369.28 | $4,577.63 |
| INTEL CORP | INTC | 458140100 | 290 | 01/05/12 | 02/01/12 | $7,746.82 | $7,321.46 | $425.36 |
| INTEL CORP | INTC | 458140100 | 656 | 01/04/12 | 02/01/12 | $17,523 85 | $16,360 57 | $1,163.28 |
| JP MORGAN CHASE & CO | JPM | 46625H100 | 397 | 01/04/12 | 02/01/12 | $14,984.68 | $13,930 69 | $1,053.99 |
| JOHNSON AND JOHNSON | JNJ | 478160104 | 68 | 01/04/12 | 02/01/12 | $4,479.47 | $4,441 76 | $37 71 |
| KINDER MORGAN INC DEL | KMI | 49456B101 | 98 | 05/05/11 | 02/01/12 | $3,177 10 | $2,754 85 | $422.25 |
| KINDER MORGAN INC DEL | KMI | 49456B101 | 30 | 05/05/11 | 02/01/12 | $972 58 | $836 12 | $136.46 |
| KINDER MORGAN INC DEL | KMI | 49456B101 | 48 | 05/04/11 | 02/01/12 | $1,556.13 | $1,341.61 | $214.52 |
| KINDER MORGAN INC DEL | KMI | 49456B101 | 413 | 05/04/11 | 02/01/12 | $13,389.20 | $11,473.72 | $1,915.48 |
| LIMITED INC | LTD | 532716107 | 1 | 10/12/11 | 02/01/12 | $42.12 | $42.40 | $-0.28 |
| AGRIUM INC | AGU | 008916108 | 25 | 04/19/11 | 02/03/12 | $2,081.84 | $2,245 91 | $-164.07 |
| PEABODY ENERGY CORP | BTU | 704549104 | 36 | 10/12/11 | 02/03/12 | $1,366.97 | $1,385.94 | $-18.97 |
| PEABODY ENERGY CORP | BTU | 704549104 | 25 | 08/05/11 | 02/03/12 | $949 28 | $1,204 36 | $-255.08 |
| NEWMONT MINING CORP | NEM | 651639106 | 74 | 09/20/11 | 02/03/12 | $4,495.78 | $5,174.83 | $-679.05 |
| MOLYCORP INC DEL | MCP | 608753109 | 11 | 02/04/11 | 02/03/12 | $327.79 | $567.07 | $-239.28 |
| HESS CORP | HES | 42809H107 | 17 | 01/19/12 | 02/03/12 | $1,024.35 | $1,018.67 | $5.68 |
| GENERAL ELECTRIC CO | GE | 369604103 | 4 | 12/15/11 | 02/03/12 | $76.04 | $67.26 | $8.78 |
| EATON CORP | ETN | 278058102 | 11 | 01/10/12 | 02/03/12 | $560.38 | $515.05 | $45.33 |
| CUMMINS ENGINE INC | CMI | 231021106 | 7 | 07/26/11 | 02/03/12 | $837.84 | $779 83 | $58.01 |
| ALLEGHENY TECHNOLOGIES INC | ATI | 01741R102 | 10 | 01/19/12 | 02/03/12 | $482.49 | $523.88 | $-41.39 |
| AGRIUM INC | AGU | 008916108 | 50 | 10/10/11 | 02/03/12 | $4,163.68 | $3,612.55 | $551.13 |
| COLUMBIA FDS SER TR SHORT TERM | NSTM X | 19765H362 | 32784.19 | 11/09/11 | 02/08/12 | $325,219.20 | $324,235 68 | $983 52 |
| ISHARES TR RUSSELL 2000 VALUE | IWN | 464287630 | 250 | 12/01/11 | 02/08/12 | $18,162 40 | $16,227 50 | $1,934.90 |
| DIEBOLD INC | DBD | 253651103 | 46 | 09/27/11 | 02/15/12 | $1,755 12 | $1,367 58 | $387.54 |
| DIEBOLD INC | DBD | 253651103 | 42 | 09/27/11 | 02/16/12 | $1,600.26 | $1,248 66 | $351.60 |
| APPLE COMPUTER INC | AAPL | 037833100 | 22 | 06/01/11 | 02/16/12 | $10,889.19 | $7,732.53 | $3,156.66 |

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**Account Number 020020038976**

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| JDS UNIPHASE CORP | JDSU | 46612J507 | 11 | 12/13/11 | 02/16/12 | $159.25 | $114.05 | $45.20 |
| JDS UNIPHASE CORP | JDSU | 46612J507 | 22 | 02/02/12 | 02/16/12 | $318.51 | $269.33 | $49.18 |
| OIL STS INTL INC | OIS | 678026105 | 35 | 05/12/11 | 02/22/12 | $3,018.61 | $2,738.11 | $280.50 |
| OIL STS INTL INC | OIS | 678026105 | 10 | 04/14/11 | 02/22/12 | $862.46 | $770.45 | $92.01 |
| AMERICAN EQUITY INVT LIFE HLDG | AEL | 025676206 | 33 | 09/27/11 | 02/23/12 | $406.87 | $302.28 | $104.59 |
| SUPERIOR ENERGY SVCS INC | SPN | 868157108 | 101 | 02/08/12 | 02/24/12 | $3,038.96 | $3,115.39 | $-76.43 |
| AMERICAN EQUITY INVT LIFE HLDG | AEL | 025676206 | 51 | 09/27/11 | 02/24/12 | $623.10 | $467.16 | $155.94 |
| XYLEM INC | XYL | 98419M100 | 1045 | 01/04/12 | 02/28/12 | $27,806.53 | $27,111 69 | $694.84 |
| XYLEM INC | XYL | 98419M100 | 150 | 08/10/11 | 02/28/12 | $3,991.37 | $3,981.17 | $10.20 |
| XYLEM INC | XYL | 98419M100 | 100 | 07/13/11 | 02/28/12 | $2,660.91 | $3,348.20 | $-687.29 |
| BERRY PETROLEUM CO CL A | BRY | 085789105 | 10 | 12/30/11 | 02/28/12 | $565.57 | $421.15 | $144.42 |
| XYLEM INC | XYL | 98419M100 | 154 | 01/04/12 | 02/29/12 | $4,097.19 | $3,995 41 | $101.78 |
| XYLEM INC | XYL | 98419M100 | 250 | 10/06/11 | 02/29/12 | $6,651.28 | $6,306.72 | $344.56 |
| GUESS INC | GES | 401617105 | 13 | 01/31/12 | 03/01/12 | $462.15 | $387.44 | $74.71 |
| CHICAGO BRIDGE & IRON-NY SHR | CBI | 167250109 | 31 | 09/27/11 | 03/02/12 | $1,430.44 | $990.76 | $439.68 |
| EQUINIX INC NEW | EQIX | 29444U502 | 161 | 01/25/12 | 03/02/12 | $22,280.86 | $18,847.13 | $3,433.73 |
| CHICAGO BRIDGE & IRON-NY SHR | CBI | 167250109 | 34 | 09/27/11 | 03/06/12 | $1,467.91 | $1,086.64 | $381.27 |
| BALLY TECHNOLOGIES INC | BYI | 05874B107 | 22 | 09/27/11 | 03/14/12 | $1,024.83 | $640.59 | $384.24 |
| KIRBY CORP | KEX | 497266106 | 27 | 09/27/11 | 03/14/12 | $1,885.37 | $1,503.09 | $382.28 |
| SPIRIT AEROSYSTEMS HLDGS INC C | SPR | 848574109 | 18 | 09/27/11 | 03/14/12 | $458.15 | $300.60 | $157.55 |
| ENERNOC INC | ENOC | 292764107 | 50 | 12/29/11 | 03/14/12 | $359.68 | $545.66 | $-185.98 |
| INTEGRA LIFESCIENCES CORP NEW | IART | 457985208 | 6 | 02/08/12 | 03/14/12 | $208.32 | $182 35 | $25.97 |
| ENERNOC INC | ENOC | 292764107 | 95 | 12/29/11 | 03/15/12 | $683.37 | $1,036.76 | $-353.39 |
| KIRBY CORP | KEX | 497266106 | 18 | 09/27/11 | 03/15/12 | $1,253 89 | $1,002.06 | $251.83 |
| INTEGRA LIFESCIENCES CORP NEW | IART | 457985208 | 3 | 02/08/12 | 03/15/12 | $104.10 | $91.17 | $12.93 |
| RPM INC OHIO | RPM | 749685103 | 71 | 02/08/12 | 03/19/12 | $1,792.09 | $1,814.04 | $-21.95 |
| UNITED TECHNOLOGIES CORP | UTX | 913017109 | 54 | 02/08/12 | 03/19/12 | $4,592.03 | $4,401.43 | $190 60 |
| KINDER MORGAN INC DEL | KMI | 49456B101 | 24 | 02/08/12 | 03/19/12 | $887.68 | $768.07 | $119.61 |

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Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

# 2012 Tax Information Letter

**Account Number 020020038976**

## Short term transactions

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| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| DARDEN RESTAURANTS INC | DRI | 237194105 | 38 | 02/08/12 | 03/19/12 | $1,987.97 | $1,851.17 | $136.80 |
| ABBOTT LABS | ABT | 002824100 | 31 | 02/08/12 | 03/19/12 | $1,874.26 | $1,722.98 | $151.28 |
| AT&T INC | T | 00206R102 | 405 | 01/03/12 | 03/19/12 | $12,788.78 | $12,431.10 | $357.68 |
| SOUTHERN CO | SO | 842587107 | 17 | 02/08/12 | 03/19/12 | $752.40 | $759.22 | $-6.82 |
| PEABODY ENERGY CORP | BTU | 704549104 | 356 | 10/12/11 | 03/26/12 | $10,675.78 | $13,705.39 | $-3,029.61 |
| PEABODY ENERGY CORP | BTU | 704549104 | 83 | 10/12/11 | 03/26/12 | $2,489.02 | $3,195.36 | $-706.34 |
| SCOTTS CO CL A | SMG | 810186106 | 7 | 03/02/12 | 03/26/12 | $375.59 | $329.96 | $45.63 |
| HANESBRANDS INC | HBI | 410345102 | 11 | 02/08/12 | 03/26/12 | $323.48 | $288.14 | $35.34 |
| DARLING INTL INC | DAR | 237266101 | 17 | 09/27/11 | 03/26/12 | $302.03 | $236.81 | $65.22 |
| PEABODY ENERGY CORP | BTU | 704549104 | 430 | 01/03/12 | 03/26/12 | $12,894.91 | $15,281.26 | $-2,386.35 |
| NEWMONT MINING CORP | NEM | 651639106 | 400 | 09/27/11 | 03/26/12 | $21,312.18 | $26,146.48 | $-4,834.30 |
| NEWMONT MINING CORP | NEM | 651639106 | 376 | 09/20/11 | 03/26/12 | $20,033.44 | $26,293.72 | $-6,260.28 |
| PAR PHARMACEUTICAL COS INC | PRX | 69888P106 | 4 | 11/17/11 | 04/02/12 | $155.15 | $117.04 | $38.11 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 36 | 09/27/11 | 04/02/12 | $538.47 | $303.84 | $234.63 |
| PAR PHARMACEUTICAL COS INC | PRX | 69888P106 | 30 | 09/27/11 | 04/02/12 | $1,163.65 | $814.64 | $349.01 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 21 | 09/27/11 | 04/03/12 | $314.36 | $177.24 | $137.12 |
| APPLE COMPUTER INC | AAPL | 037833100 | 150 | 11/22/11 | 04/09/12 | $95,260.77 | $56,458.09 | $38,802.68 |
| UNITED STS STL CORP NEW | X | 912909108 | 1299 | 02/03/12 | 04/10/12 | $35,636.36 | $41,994.46 | $-6,358.10 |
| PUBLIC STORAGE INC PFD |  | 74460D232 | 1115 | 02/09/12 | 04/11/12 | $27,875.00 | $28,208.61 | $-333.61 |
| PUBLIC STORAGE INC PFD |  | 74460D232 | 2000 | 12/07/11 | 04/11/12 | $50,000.00 | $51,265.60 | $-1,265.60 |
| REINSURANCE GROUP AMER INC | RGA | 759351604 | 52 | 09/27/11 | 04/13/12 | $2,953.73 | $2,533.46 | $420.27 |
| DUKE ENERGY HLDG CORP |  | 26441C105 | 1016 | 06/07/11 | 04/13/12 | $20,870.61 | $18,790.11 | $2,080.50 |
| DUKE ENERGY HLDG CORP |  | 26441C105 | 265 | 01/04/12 | 04/13/12 | $5,443.61 | $5,699.25 | $-255.64 |
| REINSURANCE GROUP AMER INC | RGA | 759351604 | 3 | 01/31/12 | 04/13/12 | $170.41 | $164.33 | $6.08 |
| NCR CORP NEW | NCR | 62886E108 | 30 | 10/03/11 | 04/20/12 | $701.69 | $535.23 | $166.46 |
| WABTEC CORP | WAB | 929740108 | 44 | 09/27/11 | 04/23/12 | $3,439.18 | $2,567.40 | $871.78 |
| BARRETT BILL CORP COM | BBG | 06846N104 | 1 | 02/28/12 | 04/24/12 | $21.25 | $33.84 | $-12.59 |
| BARRETT BILL CORP COM | BBG | 06846N104 | 16 | 04/16/12 | 04/24/12 | $340.01 | $350.66 | $-10.65 |

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|---|---|---|---|---|---|---|---|---|
| BARRETT BILL CORP COM | BBG | 06846N104 | 18 | 03/27/12 | 04/24/12 | $382.52 | $484.10 | $-101.58 |
| BARRETT BILL CORP COM | BBG | 06846N104 | 30 | 02/28/12 | 04/24/12 | $628.01 | $1,015.11 | $-387.10 |
| BARRETT BILL CORP COM | BBG | 06846N104 | 39 | 03/26/12 | 04/24/12 | $828.79 | $1,085.84 | $-257.05 |
| LENNOX INTL INC | LII | 526107107 | 13 | 09/27/11 | 04/25/12 | $549.53 | $393.17 | $156.36 |
| EQUINIX INC NEW | EQIX | 29444U502 | 8 | 01/25/12 | 04/26/12 | $1,318.42 | $936.50 | $381.92 |
| EQUINIX INC NEW | EQIX | 29444U502 | 177 | 01/18/12 | 04/26/12 | $29,169.95 | $20,154 88 | $9,015.07 |
| TERADYNE INC | TER | 880770102 | 33 | 09/27/11 | 05/01/12 | $571.43 | $397.32 | $174.11 |
| BRUKER BIOSCIENCES CORP | BRKR | 116794108 | 8 | 02/28/12 | 05/01/12 | $129.41 | $129.39 | $0.02 |
| COLUMBIA FDS SER TR SHORT TERM | NSTM X | 19765H362 | 9683.64 | 11/09/11 | 05/08/12 | $96,352.25 | $95,771.23 | $581.02 |
| CONOCOPHILLIPS | COP | 20825C104 | 274 | 02/08/12 | 05/09/12 | $14,477.59 | $15,239.24 | $-761.65 |
| PHILLIPS 66 | PSX | 718546104 | 137 | 02/08/12 | 05/09/12 | $4,294.61 | $4,528.87 | $-234.26 |
| HEALTHCARE SERVICES GROUP INC | HCSG | 421906108 | 2 | 02/08/12 | 05/09/12 | $40.62 | $40.80 | $-0.18 |
| HEALTHCARE SERVICES GROUP INC | HCSG | 421906108 | 102 | 02/08/12 | 05/10/12 | $2,070.85 | $2,080.80 | $-9.95 |
| ALLEGHENY TECHNOLOGIES INC | ATI | 01741R102 | 46 | 01/19/12 | 05/16/12 | $1,667.18 | $2,409.84 | $-742.66 |
| ALLEGHENY TECHNOLOGIES INC | ATI | 01741R102 | 500 | 10/27/11 | 05/16/12 | $18,121.55 | $23,379.95 | $-5,258.40 |
| ALLEGHENY TECHNOLOGIES INC | ATI | 01741R102 | 500 | 10/24/11 | 05/16/12 | $18,121.54 | $21,938.75 | $-3,817.21 |
| CATERPILLAR INC | CAT | 149123101 | 73 | 01/04/12 | 05/17/12 | $6,490.10 | $6,960.73 | $-470.63 |
| GUESS INC | GES | 401617105 | 11 | 04/30/12 | 05/17/12 | $278.36 | $322.22 | $-43.86 |
| GUESS INC | GES | 401617105 | 88 | 01/31/12 | 05/17/12 | $2,226.86 | $2,622.66 | $-395.80 |
| GUESS INC | GES | 401617105 | 8 | 03/15/12 | 05/17/12 | $202.44 | $261.61 | $-59.17 |
| FRESH MKT INC | TFM | 35804H106 | 7 | 12/29/11 | 05/18/12 | $338.17 | $281.10 | $57.07 |
| COLUMBIA FDS SER TR SHORT TERM | NSTM X | 19765H362 | 50403.23 | 11/09/11 | 05/22/12 | $500,000.00 | $498,487.90 | $1,512.10 |
| ECHELON CORP OC | ELON | 27874N105 | 109 | 12/29/11 | 05/22/12 | $379.63 | $554 48 | $-174 85 |
| ECHELON CORP OC | ELON | 27874N105 | 145 | 12/29/11 | 05/23/12 | $504.55 | $737.62 | $-233.07 |
| ABBOTT LABS | ABT | 002824100 | 1074 | 12/09/11 | 05/24/12 | $66,983.24 | $58,668.43 | $8,314 81 |
| CATHAY BANCORP INC | CATY | 149150104 | 36 | 09/27/11 | 05/24/12 | $595.90 | $440.64 | $155.26 |
| ECHELON CORP OC | ELON | 27874N105 | 141 | 12/29/11 | 05/24/12 | $489.53 | $717.26 | $-227 73 |
| DECKERS OUTDOOR CORP | DECK | 243537107 | 8 | 05/03/12 | 05/25/12 | $467 40 | $433.12 | $34.28 |

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|---|---|---|---|---|---|---|---|---|
| ECHELON CORP OC | ELON | 27874N105 | 121 | 12/29/11 | 05/25/12 | $417.31 | $615.53 | $-198.22 |
| PHILLIPS 66 | PSX | 718546104 | 0.5 | 02/28/12 | 05/31/12 | $15.56 | $17.63 | $-2.07 |
| COLUMBIA FDS SER TR SHORT TERM | NSTM X | 19765H362 | 11380.49 | 11/09/11 | 06/01/12 | $113,008.27 | $112,553.05 | $455.22 |
| COLUMBIA FDS SER TR SHORT TERM | NSTM X | 19765H362 | 4337.64 | 11/09/11 | 06/14/12 | $43,029.43 | $42,899.30 | $130.13 |
| JDA SOFTWARE GROUP INC | JDAS | 46612K108 | 16 | 04/03/12 | 06/14/12 | $446.94 | $441.38 | $5.56 |
| HANESBRANDS INC | HBI | 410345102 | 11 | 02/08/12 | 06/19/12 | $318.78 | $288.14 | $30.64 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 15 | 09/27/11 | 06/19/12 | $221.72 | $126.60 | $95.12 |
| INTEGRA LIFESCIENCES CORP NEW | IART | 457985208 | 11 | 02/08/12 | 06/19/12 | $400.34 | $334.30 | $66.04 |
| ITT CORP | ITT | 450911201 | 490 | 01/12/12 | 06/25/12 | $8,586.42 | $10,825.81 | $-2,239.39 |
| ANADARKO PETROLEUM | APC | 032511107 | 98 | 10/12/11 | 06/25/12 | $5,876.55 | $6,606.55 | $-730.00 |
| ITT CORP | ITT | 450911201 | 75 | 08/10/11 | 06/25/12 | $1,314.25 | $1,323.37 | $-9.12 |
| ITT CORP | ITT | 450911201 | 125 | 10/06/11 | 06/25/12 | $2,190.41 | $2,096.40 | $94.01 |
| ANADARKO PETROLEUM | APC | 032511107 | 100 | 10/17/11 | 06/25/12 | $5,996.47 | $7,323.02 | $-1,326.55 |
| CRESCENT PT ENERGY CORP | CSCTF | 22576C101 | 80 | 02/08/12 | 06/25/12 | $2,792.98 | $3,705.60 | $-912.62 |
| ALTRIA GROUP INC | MO | 02209S103 | 45 | 05/23/12 | 06/25/12 | $1,515.34 | $1,424.07 | $91.27 |
| ANALOG DEVICES INC | ADI | 032654105 | 75 | 03/19/12 | 06/25/12 | $2,769.75 | $3,008.81 | $-239.06 |
| VERMILION ENERGY INC | VEMTF | 923725105 | 117 | 02/08/12 | 06/25/12 | $4,731.67 | $5,498.46 | $-766.79 |
| PHILIP MORRIS INTL INC | PM | 718172109 | 47 | 02/08/12 | 06/25/12 | $3,949.44 | $3,649.60 | $299.84 |
| PHILIP MORRIS INTL INC | PM | 718172109 | 125 | 05/23/12 | 06/25/12 | $10,503.83 | $10,530.25 | $-26.42 |
| NEXTERA ENERGY INC | NEE | 65339F101 | 29 | 05/23/12 | 06/25/12 | $1,931.20 | $1,883.55 | $47.65 |
| KIMBERLY CLARK CORP | KMB | 494368103 | 23 | 05/23/12 | 06/25/12 | $1,859.60 | $1,800.67 | $58.93 |
| INTEL CORP | INTC | 458140100 | 114 | 02/08/12 | 06/25/12 | $2,985.96 | $3,066.31 | $-80.35 |
| HEALTHCARE SERVICES GROUP INC | HCSG | 421906108 | 728 | 02/08/12 | 06/25/12 | $13,119.72 | $14,851.20 | $-1,731.48 |
| ITT CORP | ITT | 450911201 | 275 | 07/13/11 | 06/25/12 | $4,818.91 | $6,121.32 | $-1,302.41 |
| ITT CORP | ITT | 450911201 | 100 | 06/30/11 | 06/25/12 | $1,752.33 | $2,259.53 | $-507.20 |
| ITT CORP | ITT | 450911201 | 1300 | 11/02/11 | 06/25/12 | $22,780.30 | $25,858.04 | $-3,077.74 |
| HEALTHCARE SERVICES GROUP INC | HCSG | 421906108 | 4 | 02/08/12 | 06/26/12 | $71.03 | $81.60 | $-10.57 |
| HEALTHCARE SERVICES GROUP INC | HCSG | 421906108 | 114 | 02/22/12 | 06/26/12 | $2,024.38 | $2,229.03 | $-204.65 |

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|---|---|---|---|---|---|---|---|---|---|
| LENNOX INTL INC | LII | 526107107 | 9 | 09/27/11 | 06/27/12 | $394.57 | $272.20 | | $122.37 |
| INTREPID POTASH INC | IPI | 46121Y102 | 9 | 01/19/12 | 06/29/12 | $205.80 | $226.66 | | $-20.86 |
| INTERSIL HLDG CORP CL A | ISIL | 46069S109 | 9 | 03/08/12 | 06/29/12 | $95.92 | $97.97 | | $-2.05 |
| DARLING INTL INC | DAR | 237266101 | 6 | 09/27/11 | 06/29/12 | $98.73 | $83.58 | | $15.15 |
| DST SYS INC DE | DST | 233326107 | 3 | 11/10/11 | 06/29/12 | $162.54 | $145.31 | | $17.23 |
| UMPQUA HLDGS CORP | UMPQ | 904214103 | 26 | 02/17/12 | 06/29/12 | $341.12 | $336.18 | | $4.94 |
| UMPQUA HLDGS CORP | UMPQ | 904214103 | 3 | 09/27/11 | 06/29/12 | $39.36 | $26.44 | | $12.92 |
| COLUMBIA FDS SER TR I SELECT L | UMLG X | 19765Y688 | 19065.21 | 02/09/12 | 07/05/12 | $246,703.80 | $257,761.63 | | $-11,057.83 |
| COLUMBIA FDS SER TR I SELECT L | UMLG X | 19765Y688 | 19319.94 | 05/22/12 | 07/05/12 | $250,000.00 | $250,000.00 | | $0.00 |
| COLUMBIA FDS SER TR I SELECT L | UMLG X | 19765Y688 | 8257.64 | 06/01/12 | 07/05/12 | $106,853.84 | $100,000.00 | | $6,853.84 |
| REALTY INCOME CORP | O | 756109104 | 107 | 05/23/12 | 07/09/12 | $4,448.84 | $4,095.84 | * | $353.00 |
| REALTY INCOME CORP | O | 756109104 | 197 | 02/08/12 | 07/09/12 | $8,190.86 | $7,285.46 | * | $905.40 |
| NEXTERA ENERGY INC | NEE | 65339F101 | 156 | 02/08/12 | 07/09/12 | $10,561.32 | $9,401.26 | | $1,160.06 |
| NEXTERA ENERGY INC | NEE | 65339F101 | 46 | 05/23/12 | 07/09/12 | $3,114.24 | $2,987.70 | | $126.54 |
| CISCO SYSTEM INC | CSCO | 17275R102 | 458 | 01/04/12 | 07/11/12 | $7,562.14 | $8,696.60 | | $-1,134.46 |
| CISCO SYSTEM INC | CSCO | 17275R102 | 583 | 01/25/12 | 07/11/12 | $9,626.05 | $11,413.27 | | $-1,787.22 |
| PEABODY ENERGY CORP | BTU | 704549104 | 513 | 01/03/12 | 07/11/12 | $11,469.96 | $18,230.89 | | $-6,760.93 |
| CISCO SYSTEM INC | CSCO | 17275R102 | 1100 | 11/10/11 | 07/11/12 | $18,162.35 | $20,662.73 | | $-2,500.38 |
| CISCO SYSTEM INC | CSCO | 17275R102 | 622 | 01/06/12 | 07/11/12 | $10,269.99 | $11,773.96 | | $-1,503.97 |
| ALTERRA CAPITAL HLDGS LTD | ALTE | G0229R108 | 9 | 09/27/11 | 07/16/12 | $213.92 | $177.75 | | $36.17 |
| PAR PHARMACEUTICAL COS INC | PRX | 69888P106 | 19 | 09/27/11 | 07/16/12 | $952.59 | $515.94 | | $436.65 |
| NCR CORP NEW | NCR | 62886E108 | 13 | 10/03/11 | 07/17/12 | $311.03 | $231.93 | | $79.10 |
| PSS WORLD MED INC | PSSI | 69366A100 | 2 | 09/27/11 | 07/18/12 | $44 75 | $42.46 | | $2.29 |
| PSS WORLD MED INC | PSSI | 69366A100 | 11 | 02/16/12 | 07/18/12 | $246.15 | $264.54 | | $-18.39 |
| GATX CORP | GMT | 361448103 | 6 | 01/20/12 | 07/19/12 | $247.85 | $256.03 | | $-8.18 |
| GATX CORP | GMT | 361448103 | 1 | 03/28/12 | 07/19/12 | $41 31 | $41 03 | | $0.28 |
| MAGELLAN HEALTH SVCS INC NEW | MGLN | 559079207 | 8 | 12/07/11 | 07/19/12 | $424.47 | $397.19 | | $27.28 |
| MAGELLAN HEALTH SVCS INC NEW | MGLN | 559079207 | 2 | 09/27/11 | 07/19/12 | $106.12 | $97.98 | | $8.14 |

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|---|---|---|---|---|---|---|---|---|
| PAR PHARMACEUTICAL COS INC | PRX | 69888P106 | 43 | 09/27/11 | 07/25/12 | $2.151.28 | $1,167.65 | $983.63 |
| ABBOTT LABS | ABT | 002824100 | 93 | 02/08/12 | 07/26/12 | $6,002.55 | $5,168.94 | $833.61 |
| RPM INC OHIO | RPM | 749685103 | 271 | 02/08/12 | 07/26/12 | $7,144.73 | $6,924.02 | $220.71 |
| CANADIAN IMPERIAL BANK OF COMM | CM | 136069101 | 160 | 02/08/12 | 07/26/12 | $11,413.97 | $12,335.42 | $-921.45 |
| ABBOTT LABS | ABT | 002824100 | 153 | 05/23/12 | 07/26/12 | $9,875.16 | $9,367.58 | $507.58 |
| RPM INC OHIO | RPM | 749685103 | 367 | 02/08/12 | 07/27/12 | $9,717.58 | $9,376.82 | $340.76 |
| RPM INC OHIO | RPM | 749685103 | 52 | 02/08/12 | 07/30/12 | $1,381.12 | $1,328.59 | $52.53 |
| JANUS INVT FUND | JMGR X | 47103C795 | 3976.3 | 01/09/12 | 07/30/12 | $253,091.18 | $236,629.33 | $16,461.85 |
| INTERSIL HLDG CORP CL A | ISIL | 46069S109 | 29 | 03/08/12 | 08/02/12 | $257.93 | $315.68 | $-57.75 |
| PARAMETRIC TECHNOLOGY CORP NE | PMTC | 699173209 | 11 | 05/04/12 | 08/03/12 | $239.62 | $232.58 | $7.04 |
| HANESBRANDS INC | HBI | 410345102 | 8 | 02/08/12 | 08/03/12 | $253.72 | $209.56 | $44.16 |
| INTERSIL HLDG CORP CL A | ISIL | 46069S109 | 32 | 03/08/12 | 08/03/12 | $282.58 | $348.34 | $-65.76 |
| KIRBY CORP | KEX | 497266106 | 3 | 07/10/12 | 08/03/12 | $163.24 | $140.84 | $22.40 |
| KIRBY CORP | KEX | 497266106 | 4 | 07/09/12 | 08/03/12 | $217.66 | $187.62 | $30.04 |
| INTERSIL HLDG CORP CL A | ISIL | 46069S109 | 42 | 03/08/12 | 08/06/12 | $376.90 | $457.19 | $-80.29 |
| INTERSIL HLDG CORP CL A | ISIL | 46069S109 | 40 | 03/08/12 | 08/07/12 | $362.05 | $435.42 | $-73.37 |
| WARNACO GROUP INC NEW | WRC | 934390402 | 2 | 12/21/11 | 08/07/12 | $95.71 | $100.32 | $-4.61 |
| INTERSIL HLDG CORP CL A | ISIL | 46069S109 | 123 | 03/08/12 | 08/08/12 | $1,113.38 | $1,338.91 | $-225.53 |
| AMC NETWORKS INC | AMCX | 00164V103 | 773 | 07/02/12 | 08/09/12 | $33,629.23 | $29,386.91 | $4,242.32 |
| CAPELLA ED CO | CPLA | 139594105 | 4 | 12/29/11 | 08/10/12 | $124.88 | $143.33 | $-18.45 |
| CAPELLA ED CO | CPLA | 139594105 | 42 | 12/29/11 | 08/13/12 | $1,304.76 | $1,504.94 | $-200.18 |
| HARMAN INTL INDS INC NEW | HAR | 413086109 | 7 | 12/07/11 | 08/14/12 | $312.99 | $284.24 | $28.75 |
| APPLE COMPUTER INC | AAPL | 037833100 | 50 | 11/22/11 | 08/14/12 | $31,736.58 | $18,819.37 | $12,917.21 |
| HARMAN INTL INDS INC NEW | HAR | 413086109 | 6 | 06/14/12 | 08/14/12 | $268.27 | $213.17 | $55.10 |
| CAPELLA ED CO | CPLA | 139594105 | 25 | 12/29/11 | 08/14/12 | $775.64 | $895.80 | $-120.16 |
| ENBRIDGE INC | ENB | 29250N105 | 124 | 05/23/12 | 08/15/12 | $4,940.36 | $4,903.44 | $36.92 |
| BANK OF NOVA SCOTIA CAD COM NP | BNS | 064149107 | 341 | 02/08/12 | 08/15/12 | $17,942.20 | $17,786.56 | $155.64 |
| CURTISS WRIGHT | CW | 231561101 | 10 | 09/27/11 | 08/15/12 | $298.49 | $291.30 | $7.19 |

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Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**

**Account Number 020020038976**

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ENBRIDGE INC | ENB | 29250N105 | 316 | 02/08/12 | 08/15/12 | $12,589.95 | $12,243.54 | $346.41 |
| RESOURCES CONNECTION INC | RECN | 76122Q105 | 146 | 12/29/11 | 08/15/12 | $1,718.76 | $1,516.53 | $202.23 |
| CLIFFS NAT RES INC | CLF | 18683K101 | 170 | 09/23/11 | 08/15/12 | $6,995.82 | $9,728.25 | $-2,732.43 |
| RESOURCES CONNECTION INC | RECN | 76122Q105 | 84 | 12/29/11 | 08/16/12 | $996.50 | $872.53 | $123.97 |
| ENBRIDGE INC | ENB | 29250N105 | 307 | 02/08/12 | 08/16/12 | $12,224.25 | $11,894.84 | $329.41 |
| BANK OF NOVA SCOTIA CAD COM NP | BNS | 064149107 | 215 | 02/08/12 | 08/16/12 | $11,451.25 | $11,214.40 | $236.85 |
| CURTISS WRIGHT | CW | 231561101 | 29 | 09/27/11 | 08/16/12 | $867.70 | $844.77 | $22.93 |
| ENBRIDGE INC | ENB | 29250N105 | 63 | 02/08/12 | 08/17/12 | $2,506.57 | $2,440.96 | $65.61 |
| RESOURCES CONNECTION INC | RECN | 76122Q105 | 79 | 12/29/11 | 08/17/12 | $954.55 | $820.59 | $133.96 |
| FINISAR CORP | FNSR | 31787A507 | 13 | 02/08/12 | 08/17/12 | $198.76 | $292 91 | $-94.15 |
| QUALCOMM INC | QCOM | 747525103 | 580 | 11/22/11 | 08/20/12 | $36,613.36 | $31,791.95 | $4,821.41 |
| QUALCOMM INC | QCOM | 747525103 | 450 | 06/14/12 | 08/20/12 | $28,406.92 | $25,256.70 | $3,150.22 |
| INTEL CORP | INTC | 458140100 | 824 | 12/28/11 | 08/20/12 | $21,581.80 | $20,089.45 | $1,492.35 |
| INTEL CORP | INTC | 458140100 | 726 | 11/17/11 | 08/20/12 | $19,015.04 | $18,065.67 | $949.37 |
| ENCANA CORP | ECA | 292505104 | 950 | 05/08/12 | 08/20/12 | $21,151.75 | $20,307.96 | $843.79 |
| APPLE COMPUTER INC | AAPL | 037833100 | 50 | 11/22/11 | 08/20/12 | $32,677.51 | $18,819.36 | $13,858.15 |
| RESOURCES CONNECTION INC | RECN | 76122Q105 | 57 | 12/29/11 | 08/20/12 | $685.92 | $592.07 | $93.85 |
| COLUMBIA DIVIDEND INCOME CL Z | GSFT X | 19765N245 | 15133.33 | 01/19/12 | 08/20/12 | $226,545.98 | $210,807.32 | $15,738.66 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 100 | 05/14/12 | 08/20/12 | $13,751.11 | $13,189.86 | $561.25 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 150 | 05/04/12 | 08/20/12 | $20,626.67 | $19,699.00 | $927.67 |
| AVAGO TECHNOLOGIES LTD | AVGO | Y0486S104 | 1270 | 11/22/11 | 08/20/12 | $46,653.80 | $37,469 83 | $9,183.97 |
| COLUMBIA DIVIDEND INCOME CL Z | GSFT X | 19765N245 | 10239 44 | 02/09/12 | 08/20/12 | $153,284.42 | $144,990 47 | $8,293.95 |
| C&J ENERGY SVCS INC | CJES | 12467B304 | 27 | 02/22/12 | 08/21/12 | $559.07 | $611.82 | $-52.75 |
| COLUMBIA DIVIDEND INCOME CL Z | GSFT X | 19765N245 | 8966.72 | 01/03/12 | 08/23/12 | $132,528.12 | $123,292.40 | $9,235.72 |
| COLUMBIA DIVIDEND INCOME CL Z | GSFT X | 19765N245 | 2813.55 | 01/19/12 | 08/23/12 | $41,584 20 | $39,192.68 | $2,391.52 |
| CURTISS WRIGHT | CW | 231561101 | 12 | 09/27/11 | 08/24/12 | $351.02 | $349.56 | $1.46 |
| AERCAP HOLDINGS N V SHS | AER | N00985106 | 29 | 03/29/12 | 08/28/12 | $366.49 | $326 14 | $40.35 |
| AERCAP HOLDINGS N V SHS | AER | N00985106 | 16 | 09/27/11 | 08/28/12 | $202.20 | $167 52 | $34.68 |

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**2012 Tax Information Letter**

**Account Number 020020038976**

## Short term transactions

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| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| CAMBIAR SMALL CAP FUND | CAMS X | 0075W0817 | 4874.21 | 02/09/12 | 08/28/12 | $86,322.21 | $92,804 90 | $-6,482.69 |
| CURTISS WRIGHT | CW | 231561101 | 21 | 09/27/11 | 08/29/12 | $641.22 | $611 73 | $29.49 |
| ALTERRA CAPITAL HLDGS LTD | ALTE | G0229R108 | 6 | 09/27/11 | 08/30/12 | $137.89 | $118 50 | $19.39 |
| CURTISS WRIGHT | CW | 231561101 | 17 | 09/27/11 | 08/30/12 | $511.85 | $495 21 | $16 64 |
| ALTERRA CAPITAL HLDGS LTD | ALTE | G0229R108 | 43 | 09/27/11 | 09/04/12 | $1,003.72 | $849.25 | $154 47 |
| ALTERRA CAPITAL HLDGS LTD | ALTE | G0229R108 | 6 | 09/27/11 | 09/05/12 | $140.94 | $118.50 | $22.44 |
| ALTERRA CAPITAL HLDGS LTD | ALTE | G0229R108 | 18 | 09/27/11 | 09/06/12 | $423.66 | $355.50 | $68.16 |
| ALTERRA CAPITAL HLDGS LTD | ALTE | G0229R108 | 32 | 09/27/11 | 09/06/12 | $752.48 | $632 00 | $120.48 |
| ALTERRA CAPITAL HLDGS LTD | ALTE | G0229R108 | 14 | 09/27/11 | 09/07/12 | $331.33 | $276.50 | $54.83 |
| INTEL CORP | INTC | 458140100 | 87 | 01/12/12 | 09/10/12 | $2,040.78 | $2,437.86 | $-397 08 |
| INTEL CORP | INTC | 458140100 | 101 | 04/12/12 | 09/10/12 | $2,369 19 | $2,826.40 | $-457.21 |
| ENCANA CORP | ECA | 292505104 | 700 | 05/14/12 | 09/12/12 | $15,756 16 | $14,481.11 | $1,275.05 |
| ENCANA CORP | ECA | 292505104 | 1550 | 05/08/12 | 09/12/12 | $34,888.63 | $33,134.04 | $1,754.59 |
| ENCANA CORP | ECA | 292505104 | 250 | 06/01/12 | 09/12/12 | $5,627.20 | $4,836.88 | $790 32 |
| COLUMBIA INCOME OPPORTUNITIES | CIOZ X | 19763T889 | 15133.97 | 02/08/12 | 09/13/12 | $150,734.36 | $145,286.13 | $5,448.23 |
| NORTHROP GRUMMAN CORP | NOC | 666807102 | 15 | 01/04/12 | 09/13/12 | $1,004.78 | $877 59 | $127.19 |
| NORTHROP GRUMMAN CORP | NOC | 666807102 | 235 | 01/04/12 | 09/14/12 | $15,732.78 | $13,748.99 | $1,983.79 |
| COLUMBIA INCOME OPPORTUNITIES | CIOZ X | 19763T889 | 59459 46 | 12/07/11 | 09/17/12 | $594,594 59 | $550,000.00 | $44,594.59 |
| COLUMBIA INCOME OPPORTUNITIES | CIOZ X | 19763T889 | 491 03 | 02/08/12 | 09/17/12 | $4,910.28 | $4,713.87 | $196.41 |
| COLUMBIA FDS SER TR SHORT TERM | NSTM X | 19765H362 | 64524 99 | 11/09/11 | 09/17/12 | $644,604 69 | $638,152.19 | $6,452.50 |
| LUFKIN INDS INC | LUFK | 549764108 | 4 | 06/14/12 | 09/17/12 | $246.30 | $221.89 | $24.41 |
| COLUMBIA FDS SER TR SHORT TERM | NSTM X | 19765H362 | 72984.56 | 08/23/12 | 09/17/12 | $729,115 75 | $727,656 06 | $1,459.69 |
| JDS UNIPHASE CORP | JDSU | 46612J507 | 33 | 05/08/12 | 09/17/12 | $437.17 | $361 56 | $75.61 |
| FRESH DEL MONTE PRODUCE INC | FDP | G36738105 | 35 | 04/17/12 | 09/19/12 | $886.75 | $795.37 | $91.38 |
| FRESH DEL MONTE PRODUCE INC | FDP | G36738105 | 8 | 04/18/12 | 09/20/12 | $206 99 | $179 86 | $27.13 |
| FRESH DEL MONTE PRODUCE INC | FDP | G36738105 | 1 | 04/17/12 | 09/20/12 | $25 87 | $22 72 | $3.15 |
| NEW GOLD INC CDA | NGD | 644535106 | 19 | 03/26/12 | 09/21/12 | $238 87 | $181.97 | $56.90 |
| NEW GOLD INC CDA | NGD | 644535106 | 1100 | 10/03/11 | 09/21/12 | $13,829.22 | $11,663.19 | $2,166 03 |

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Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**

**Account Number 020020038976**

## Short term transactions

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| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| WELLS FARGO & CO NEW | WFC PJ | 949746879 | 700 | 02/09/12 | 09/24/12 | $20,614 26 | $20,478.01 | $136.25 |
| JPMORGAN CHASE & CO | JPM PI | 46625H621 | 2000 | 12/07/11 | 09/24/12 | $52,780.41 | $54,435.00 | $-1,654.59 |
| ENTERGY MISS INC | EMZ | 29364N835 | 3 | 02/22/12 | 09/24/12 | $84.51 | $87.24 | $-2.73 |
| ENTERGY MISS INC | EMZ | 29364N835 | 15 | 02/22/12 | 09/24/12 | $422.53 | $436.20 | $-13.67 |
| DOMINION RES INC VA | DRU | 25746U604 | 53 | 12/16/11 | 09/24/12 | $1,490.86 | $1,528 57 | $-37.71 |
| DOMINION RES INC VA | DRU | 25746U604 | 2000 | 12/07/11 | 09/24/12 | $56,258.73 | $58,955.60 | $-2,696.87 |
| COLUMBIA FDS SER TR I STRATEGI | LSIZ X | 19765L694 | 32128 81 | 03/28/12 | 09/24/12 | $203,375.35 | $196,628.30 | $6,747.05 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 200 | 06/14/12 | 09/24/12 | $28,801.13 | $21,953.36 | $6,847.77 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 100 | 06/01/12 | 09/24/12 | $14,400 56 | $12,327.94 | $2,072.62 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 100 | 05/24/12 | 09/24/12 | $14,400.57 | $12,827.39 | $1,573.18 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 100 | 05/04/12 | 09/24/12 | $14,400.57 | $13,132.66 | $1,267.91 |
| QUALCOMM INC | QCOM | 747525103 | 2170 | 11/22/11 | 09/24/12 | $138,304.89 | $118,945 73 | $19,359.16 |
| INTEL CORP | INTC | 458140100 | 4774 | 11/22/11 | 09/24/12 | $108,706.79 | $111,496.77 | $-2,789.98 |
| INTEL CORP | INTC | 458140100 | 476 | 12/28/11 | 09/24/12 | $10,838.80 | $11,605.07 | $-766.27 |
| APPLE COMPUTER INC | AAPL | 037833100 | 200 | 11/22/11 | 09/24/12 | $138,192.96 | $75,277.46 | $62,915.50 |
| AVAGO TECHNOLOGIES LTD | AVGO | Y0486S104 | 3730 | 11/22/11 | 09/24/12 | $128,988.33 | $110,049.17 | $18,939.16 |
| JPMORGAN CHASE & CO | JPM PI | 46625H621 | 915 | 02/09/12 | 09/24/12 | $24,147.04 | $24,874.73 | $-727.69 |
| WELLS FARGO & CO NEW | WFC PJ | 949746879 | 2000 | 12/07/11 | 09/24/12 | $58,897.87 | $56,280.00 | $2,617.87 |
| ENTERGY MISS INC | EMZ | 29364N835 | 7 | 02/23/12 | 09/25/12 | $196.48 | $203.56 | $-7.08 |
| ENTERGY MISS INC | EMZ | 29364N835 | 12 | 02/22/12 | 09/25/12 | $336.83 | $348.96 | $-12.13 |
| DOMINION RES INC VA | DRU | 25746U604 | 1100 | 12/16/11 | 09/25/12 | $30,873.33 | $31,725.10 | $-851.77 |
| DOMINION RES INC VA | DRU | 25746U604 | 847 | 12/16/11 | 09/25/12 | $23,688.19 | $24,428.33 | $-740 14 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 26 | 09/27/11 | 09/25/12 | $427.27 | $219.44 | $207.83 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 32 | 09/27/11 | 09/26/12 | $516.71 | $270.08 | $246.63 |
| ENTERGY MISS INC | EMZ | 29364N835 | 16 | 02/24/12 | 09/27/12 | $447.51 | $465.28 | $-17.77 |
| ENTERGY MISS INC | EMZ | 29364N835 | 13 | 02/23/12 | 09/27/12 | $363.60 | $378.04 | $-14.44 |
| ENTERGY MISS INC | EMZ | 29364N835 | 6 | 02/23/12 | 09/27/12 | $167.82 | $174.48 | $-6.66 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 19 | 09/27/11 | 09/27/12 | $305.38 | $160.36 | $145 02 |

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**Account Number 020020038976**

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| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ENTERGY MISS INC | EMZ | 29364N835 | 15 | 02/24/12 | 09/27/12 | $419.54 | $436.20 | $-16.66 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 8 | 09/27/11 | 09/27/12 | $129.68 | $67.52 | $62.16 |
| ENTERGY MISS INC | EMZ | 29364N835 | 42 | 02/08/12 | 09/27/12 | $1,174.71 | $1,219.32 | $-44.61 |
| ENTERGY MISS INC | EMZ | 29364N835 | 76 | 02/08/12 | 09/28/12 | $2,121.87 | $2,206.39 | $-84.52 |
| ENTERGY MISS INC | EMZ | 29364N835 | 98 | 02/08/12 | 10/01/12 | $2,741 97 | $2,845.08 | $-103.11 |
| ENTERGY MISS INC | EMZ | 29364N835 | 8 | 02/08/12 | 10/01/12 | $224 55 | $232.25 | $-7.70 |
| BCE INC NEW | BCE | 05534B760 | 318 | 02/08/12 | 10/02/12 | $14,016.52 | $13,022.99 | $993.53 |
| RPM INC OHIO | RPM | 749685103 | 385 | 02/08/12 | 10/02/12 | $10,442.78 | $9,836 71 | $606.07 |
| ENTERGY MISS INC | EMZ | 29364N835 | 23 | 02/08/12 | 10/04/12 | $640.07 | $667.72 | $-27.65 |
| ENTERGY MISS INC | EMZ | 29364N835 | 9 | 02/08/12 | 10/04/12 | $250.46 | $261.28 | $-10.82 |
| ENTERGY MISS INC | EMZ | 29364N835 | 34 | 02/16/12 | 10/04/12 | $946.20 | $987.02 | $-40.82 |
| ENTERGY MISS INC | EMZ | 29364N835 | 18 | 02/16/12 | 10/04/12 | $500.93 | $522 54 | $-21 61 |
| EATON CORP | ETN | 278058102 | 429 | 01/10/12 | 10/08/12 | $20,023.77 | $20,086 89 | $-63 12 |
| MICROSOFT CORP | MSFT | 594918104 | 750 | 01/04/12 | 10/09/12 | $21,956.58 | $20,542 57 | $1,414.01 |
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 02/28/12 | 10/10/12 | $27.64 | $29 03 | $-1.39 |
| ENTERGY MISS INC | EMZ | 29364N835 | 5 | 02/29/12 | 10/10/12 | $138.21 | $145 15 | $-6.94 |
| ENTERGY MISS INC | EMZ | 29364N835 | 4 | 02/29/12 | 10/10/12 | $110.57 | $116 12 | $-5.55 |
| ENTERGY MISS INC | EMZ | 29364N835 | 31 | 02/01/12 | 10/10/12 | $856 89 | $899 92 | $-43 03 |
| ENTERGY MISS INC | EMZ | 29364N835 | 15 | 02/16/12 | 10/10/12 | $414.63 | $435.45 | $-20.82 |
| INTEL CORP | INTC | 458140100 | 286 | 01/04/12 | 10/10/12 | $6,235.63 | $7,143.22 | $-907.59 |
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 02/28/12 | 10/10/12 | $27 64 | $29.03 | $-1.39 |
| NCR CORP NEW | NCR | 62886E108 | 21 | 12/07/11 | 10/11/12 | $463 91 | $356.59 | $107.32 |
| ENTERGY MISS INC | EMZ | 29364N835 | 156 | 02/01/12 | 10/11/12 | $4,319 18 | $4,528 65 | $-209.47 |
| NCR CORP NEW | NCR | 62886E108 | 15 | 08/22/12 | 10/11/12 | $331 37 | $331 29 | $0.08 |
| ENTERGY MISS INC | EMZ | 29364N835 | 42 | 02/01/12 | 10/15/12 | $1,165 05 | $1,219.25 | $-54.20 |
| CUMMINS ENGINE INC | CMI | 231021106 | 92 | 01/04/12 | 10/15/12 | $8,090 37 | $8,494 76 | $-404 39 |
| JDA SOFTWARE GROUP INC | JDAS | 46612K108 | 4 | 02/22/12 | 10/16/12 | $136 17 | $108 08 | $28.09 |
| BRINKS CO | BCO | 109696104 | 13 | 03/02/12 | 10/16/12 | $358 55 | $328.07 | $30.48 |

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|---|---|---|---|---|---|---|---|---|
| JDA SOFTWARE GROUP INC | JDAS | 46612K108 | 4 | 04/03/12 | 10/16/12 | $136.18 | $110 34 | $25.84 |
| ENTERGY MISS INC | EMZ | 29364N835 | 20 | 02/01/12 | 10/16/12 | $554.39 | $580 60 | $-26.21 |
| ENTERGY MISS INC | EMZ | 29364N835 | 37 | 02/01/12 | 10/16/12 | $1,025.61 | $1,074.11 | $-48.50 |
| ENTERGY MISS INC | EMZ | 29364N835 | 126 | 02/01/12 | 10/17/12 | $3,492.64 | $3,657.75 | $-165.11 |
| BRINKS CO | BCO | 109696104 | 9 | 03/02/12 | 10/17/12 | $248.04 | $227.13 | $20.91 |
| JDA SOFTWARE GROUP INC | JDAS | 46612K108 | 3 | 02/22/12 | 10/17/12 | $101.87 | $81.06 | $20.81 |
| ENTERGY MISS INC | EMZ | 29364N835 | 36 | 02/01/12 | 10/17/12 | $997.89 | $1,045.07 | $-47.18 |
| ENTERGY MISS INC | EMZ | 29364N835 | 43 | 02/02/12 | 10/18/12 | $1,190.67 | $1,241.82 | $-51.15 |
| ENTERGY MISS INC | EMZ | 29364N835 | 160 | 02/02/12 | 10/18/12 | $4,430.41 | $4,620.72 | $-190.31 |
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 03/06/12 | 10/18/12 | $27.69 | $28.93 | $-1.24 |
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 03/06/12 | 10/18/12 | $27.69 | $28.93 | $-1.24 |
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 03/07/12 | 10/18/12 | $27.69 | $28 96 | $-1.27 |
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 03/07/12 | 10/18/12 | $27.69 | $28.96 | $-1.27 |
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 03/02/12 | 10/18/12 | $27.69 | $28.97 | $-1.28 |
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 03/02/12 | 10/18/12 | $27.69 | $28.97 | $-1.28 |
| ENTERGY MISS INC | EMZ | 29364N835 | 2 | 03/05/12 | 10/18/12 | $55.38 | $58.01 | $-2.63 |
| ENTERGY MISS INC | EMZ | 29364N835 | 2 | 03/05/12 | 10/18/12 | $55.38 | $58.01 | $-2.63 |
| ENTERGY MISS INC | EMZ | 29364N835 | 2 | 03/15/12 | 10/18/12 | $55.38 | $58.02 | $-2.64 |
| ENTERGY MISS INC | EMZ | 29364N835 | 2 | 03/15/12 | 10/18/12 | $55.38 | $58.02 | $-2.64 |
| ENTERGY MISS INC | EMZ | 29364N835 | 3 | 03/12/12 | 10/18/12 | $83.07 | $87.08 | $-4.01 |
| ENTERGY MISS INC | EMZ | 29364N835 | 3 | 03/12/12 | 10/18/12 | $83 07 | $87.08 | $-4.01 |
| ENTERGY MISS INC | EMZ | 29364N835 | 79 | 02/01/12 | 10/18/12 | $2,187.52 | $2,293.36 | $-105.84 |
| ENTERGY MISS INC | EMZ | 29364N835 | 114 | 02/02/12 | 10/19/12 | $3,167.99 | $3,292.26 | $-124.27 |
| ENTERGY MISS INC | EMZ | 29364N835 | 3 | 02/27/12 | 10/19/12 | $83.37 | $86.61 | $-3.24 |
| ENTERGY MISS INC | EMZ | 29364N835 | 24 | 02/09/12 | 10/19/12 | $666.94 | $691.75 | $-24.81 |
| ENTERGY MISS INC | EMZ | 29364N835 | 3 | 02/27/12 | 10/19/12 | $83.37 | $86.61 | $-3.24 |
| ENTERGY MISS INC | EMZ | 29364N835 | 23 | 01/31/12 | 10/19/12 | $639.16 | $664 01 | $-24.85 |
| ENTERGY MISS INC | EMZ | 29364N835 | 23 | 01/31/12 | 10/19/12 | $639.15 | $664.01 | $-24 86 |

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**

**Account Number 020020038976**

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ENTERGY MISS INC | EMZ | 29364N835 | 28 | 02/06/12 | 10/22/12 | $778.38 | $803.01 | $-24.63 |
| ENTERGY MISS INC | EMZ | 29364N835 | 8 | 02/27/12 | 10/22/12 | $222.40 | $229.58 | $-7.18 |
| ENTERGY MISS INC | EMZ | 29364N835 | 8 | 02/27/12 | 10/22/12 | $222.40 | $229.58 | $-7 18 |
| ENTERGY MISS INC | EMZ | 29364N835 | 97 | 02/03/12 | 10/22/12 | $2,696.57 | $2,786.79 | $-90.22 |
| ENTERGY MISS INC | EMZ | 29364N835 | 99 | 02/03/12 | 10/22/12 | $2,752.16 | $2,844.25 | $-92.09 |
| ENTERGY MISS INC | EMZ | 29364N835 | 2 | 03/14/12 | 10/22/12 | $55.60 | $57 52 | $-1.92 |
| ENTERGY MISS INC | EMZ | 29364N835 | 2 | 03/14/12 | 10/22/12 | $55.60 | $57.52 | $-1.92 |
| ENTERGY MISS INC | EMZ | 29364N835 | 3 | 03/13/12 | 10/22/12 | $83.40 | $86.29 | $-2.89 |
| ENTERGY MISS INC | EMZ | 29364N835 | 3 | 03/13/12 | 10/22/12 | $83.40 | $86.29 | $-2.89 |
| ENTERGY MISS INC | EMZ | 29364N835 | 28 | 02/06/12 | 10/22/12 | $779.22 | $803.02 | $-23.80 |
| ENTERGY MISS INC | EMZ | 29364N835 | 102 | 02/09/12 | 10/22/12 | $2,835.57 | $2,939.94 | $-104.37 |
| ENTERGY MISS INC | EMZ | 29364N835 | 128 | 02/09/12 | 10/22/12 | $3,558.36 | $3,689.33 | $-130.97 |
| ENTERGY MISS INC | EMZ | 29364N835 | 9 | 02/06/12 | 10/23/12 | $250 91 | $258.11 | $-7 20 |
| ENTERGY MISS INC | EMZ | 29364N835 | 64 | 02/06/12 | 10/23/12 | $1,784.28 | $1,835.46 | $-51.18 |
| ENTERGY MISS INC | EMZ | 29364N835 | 7 | 02/14/12 | 10/24/12 | $195.15 | $199.70 | $-4.55 |
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 01/30/12 | 10/24/12 | $27.88 | $28 53 | $-0.65 |
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 01/30/12 | 10/24/12 | $27.88 | $28.53 | $-0.65 |
| APACHE CORP | APA | 037411105 | 102 | 02/09/12 | 10/24/12 | $8,420.59 | $10,855.99 | $-2,435.40 |
| ENTERGY MISS INC | EMZ | 29364N835 | 3 | 02/14/12 | 10/24/12 | $83.64 | $85.59 | $-1 95 |
| DECKERS OUTDOOR CORP | DECK | 243537107 | 10 | 05/03/12 | 10/26/12 | $291 37 | $541 40 | $-250.03 |
| ISHARES COMEX GOLD TR ISHARES | IAU | 464285105 | 1 | 09/27/12 | 10/31/12 | $20.55 | $21.30 | $-0 75 |
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 02/14/12 | 11/01/12 | $27.66 | $28 53 | $-0.87 |
| DECKERS OUTDOOR CORP | DECK | 243537107 | 25 | 05/03/12 | 11/02/12 | $759.06 | $1,353.51 | $-594.45 |
| DECKERS OUTDOOR CORP | DECK | 243537107 | 13 | 05/03/12 | 11/02/12 | $403.72 | $703.82 | $-300.10 |
| DECKERS OUTDOOR CORP | DECK | 243537107 | 12 | 06/21/12 | 11/02/12 | $364 35 | $554 61 | $-190 26 |
| ENTERGY MISS INC | EMZ | 29364N835 | 7 | 02/13/12 | 11/05/12 | $192 99 | $199.68 | $-6 69 |
| ENTERGY MISS INC | EMZ | 29364N835 | 4 | 02/13/12 | 11/05/12 | $110 31 | $114 10 | $-3 79 |
| ENTERGY MISS INC | EMZ | 29364N835 | 48 | 02/13/12 | 11/05/12 | $1.323 36 | $1,369 24 | $-45 88 |

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Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

# 2012 Tax Information Letter

**Account Number 020020038976**

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ENTERGY MISS INC | EMZ | 29364N835 | 3 | 02/14/12 | 11/05/12 | $82.71 | $85.58 | $-2.87 |
| ENTERGY MISS INC | EMZ | 29364N835 | 26 | 02/10/12 | 11/06/12 | $716.04 | $739.18 | $-23.14 |
| ENTERGY MISS INC | EMZ | 29364N835 | 38 | 02/13/12 | 11/06/12 | $1,046.52 | $1,083.98 | $-37.46 |
| ENTERGY MISS INC | EMZ | 29364N835 | 11 | 01/27/12 | 11/08/12 | $296.94 | $311.01 | $-14.07 |
| ENTERGY MISS INC | EMZ | 29364N835 | 31 | 01/26/12 | 11/08/12 | $836.84 | $876.50 | $-39.66 |
| ENTERGY MISS INC | EMZ | 29364N835 | 31 | 01/26/12 | 11/08/12 | $836.84 | $876.50 | $-39.66 |
| ENTERGY MISS INC | EMZ | 29364N835 | 50 | 02/10/12 | 11/08/12 | $1,349.74 | $1,421.50 | $-71.76 |
| ENTERGY MISS INC | EMZ | 29364N835 | 21 | 02/10/12 | 11/08/12 | $566.89 | $597.03 | $-30.14 |
| ENTERGY MISS INC | EMZ | 29364N835 | 4 | 02/10/12 | 11/08/12 | $108.43 | $113.72 | $-5.29 |
| ENTERGY MISS INC | EMZ | 29364N835 | 10 | 01/27/12 | 11/08/12 | $269.95 | $282.73 | $-12.78 |
| CITIGROUP INC | C | 172967424 | 619 | 03/20/12 | 11/08/12 | $22,411.63 | $23,479.78 | $-1,068.15 |
| ENTERGY MISS INC | EMZ | 29364N835 | 35 | 01/03/12 | 11/08/12 | $944.81 | $982.33 | $-37.52 |
| ENTERGY MISS INC | EMZ | 29364N835 | 42 | 01/03/12 | 11/08/12 | $1,133.78 | $1,178.80 | $-45.02 |
| ENTERGY MISS INC | EMZ | 29364N835 | 8 | 01/24/12 | 11/08/12 | $215.96 | $224.60 | $-8.64 |
| ENTERGY MISS INC | EMZ | 29364N835 | 8 | 01/24/12 | 11/08/12 | $215.96 | $224.60 | $-8.64 |
| ENTERGY MISS INC | EMZ | 29364N835 | 30 | 01/26/12 | 11/08/12 | $809.84 | $847.93 | $-38.09 |
| ENTERGY MISS INC | EMZ | 29364N835 | 30 | 01/26/12 | 11/08/12 | $809.84 | $847.93 | $-38.09 |
| ENTERGY MISS INC | EMZ | 29364N835 | 19 | 01/23/12 | 11/08/12 | $512.90 | $533.93 | $-21.03 |
| ENTERGY MISS INC | EMZ | 29364N835 | 19 | 01/23/12 | 11/08/12 | $512.90 | $533.93 | $-21.03 |
| ENTERGY MISS INC | EMZ | 29364N835 | 14 | 01/25/12 | 11/08/12 | $377.93 | $393.29 | $-15.36 |
| ENTERGY MISS INC | EMZ | 29364N835 | 14 | 01/25/12 | 11/08/12 | $377.93 | $393.29 | $-15.36 |
| MOLYCORP INC DEL | MCP | 608753109 | 254 | 03/29/12 | 11/09/12 | $1,905.03 | $8,666.58 | $-6,761.55 |
| MOLYCORP INC DEL | MCP | 608753109 | 741 | 01/03/12 | 11/09/12 | $5,557.60 | $18,375.76 | $-12,818.16 |
| ENTERGY MISS INC | EMZ | 29364N835 | 3 | 01/18/12 | 11/09/12 | $81.06 | $84.08 | $-3.02 |
| ENTERGY MISS INC | EMZ | 29364N835 | 9 | 01/18/12 | 11/09/12 | $243.98 | $252.23 | $-8.25 |
| ENTERGY MISS INC | EMZ | 29364N835 | 7 | 01/03/12 | 11/09/12 | $189.77 | $196.47 | $-6.70 |
| MOLYCORP INC DEL | MCP | 608753109 | 279 | 05/21/12 | 11/09/12 | $2,092.54 | $6,002.43 | $-3,909.89 |
| EATON CORP | ETN | 278058102 | 156 | 01/10/12 | 11/14/12 | $7,704.67 | $7,304.33 | $400.34 |

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Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**

**Account Number 020020038976**

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| GENERAL ELECTRIC CO | GE | 369604103 | 486 | 05/16/12 | 11/14/12 | $9,955.24 | $9,305.15 | $650.09 |
| GOOGLE INC CL A | GOOG | 38259P508 | 31 | 04/13/12 | 11/14/12 | $20,366.24 | $19,564.06 | $802.18 |
| GOOGLE INC CL A | GOOG | 38259P508 | 8 | 01/20/12 | 11/14/12 | $5,255.81 | $4,705.25 | $550.56 |
| HEINZ H J CO | HNZ | 423074103 | 73 | 12/07/11 | 11/14/12 | $4,235.57 | $3,992.26 | $243.31 |
| HEINZ H J CO | HNZ | 423074103 | 72 | 01/04/12 | 11/14/12 | $4,177.55 | $3,843.24 | $334.31 |
| HESS CORP | HES | 42809H107 | 178 | 01/19/12 | 11/14/12 | $8,830.38 | $10,666.10 | $-1,835.72 |
| HESS CORP | HES | 42809H107 | 421 | 01/04/12 | 11/14/12 | $20,885 34 | $24,754 80 | $-3,869.46 |
| JP MORGAN CHASE & CO | JPM | 46625H100 | 722 | 09/06/12 | 11/14/12 | $28,698.93 | $27,906.89 | $792 04 |
| JP MORGAN CHASE & CO | JPM | 46625H100 | 113 | 06/20/12 | 11/14/12 | $4,491.66 | $4,102.28 | $389.38 |
| KINDER MORGAN INC DEL | KMI | 49456B101 | 268 | 05/18/12 | 11/14/12 | $8,672 72 | $8,998.10 | $-325.38 |
| KINDER MORGAN INC DEL | KMI | 49456B101 | 495 | 01/04/12 | 11/14/12 | $16,018.63 | $16,105 42 | $-86.79 |
| NEW GOLD INC CDA | NGD | 644535106 | 56 | 03/26/12 | 11/14/12 | $584.74 | $536 33 | $48.41 |
| NEW GOLD INC CDA | NGD | 644535106 | 898 | 03/26/12 | 11/14/12 | $9,376 70 | $8,600 42 | $776.28 |
| NEWMONT MINING CORP | NEM | 651639106 | 265 | 09/13/12 | 11/14/12 | $12,343.42 | $14,580.91 | $-2,237.49 |
| PHILLIPS 66 | PSX | 718546104 | 272 | 09/12/12 | 11/14/12 | $12,702.14 | $12,814.14 | $-112 00 |
| PHILLIPS 66 | PSX | 718546104 | 432 | 08/15/12 | 11/14/12 | $20,173.99 | $17,367.09 | $2,806.90 |
| PHILLIPS 66 | PSX | 718546104 | 112 | 07/31/12 | 11/14/12 | $5,230.29 | $4,277.86 | $952 43 |
| QUALCOMM INC | QCOM | 747525103 | 300 | 04/10/12 | 11/14/12 | $18,629.61 | $20,018.10 | $-1,388 49 |
| TARGET CORP | TGT | 87612E106 | 295 | 07/11/12 | 11/14/12 | $18,291.27 | $17,623.92 | $667.35 |
| TARGET CORP | TGT | 87612E106 | 66 | 06/14/12 | 11/14/12 | $4,092 28 | $3,854.76 | $237.52 |
| TEVA PHARMACEUTICAL INDS LTD | TEVA | 881624209 | 432 | 01/03/12 | 11/14/12 | $16,866 85 | $18,350 97 | $-1,484 12 |
| GENERAL ELECTRIC CO | GE | 369604103 | 64 | 09/06/12 | 11/14/12 | $1,310.98 | $1,361.07 | $-50.09 |
| AMERICAN INTL GROUP INC | AIG | 026874784 | 83 | 10/09/12 | 11/14/12 | $2,586.49 | $2,945.30 | $-358.81 |
| BERRY PETROLEUM CO CL A | BRY | 085789105 | 5 | 03/22/12 | 11/14/12 | $159.01 | $244.39 | $-85 38 |
| INTERXION HLDG NV | INXN | N47279109 | 399 | 06/22/12 | 11/14/12 | $8,321.96 | $6,900 35 | $1,421.61 |
| INTERXION HLDG NV | INXN | N47279109 | 113 | 06/25/12 | 11/14/12 | $2,356.84 | $1,950 69 | $406 15 |
| ALLIED NEV GOLD CORP | ANV | 019344100 | 374 | 03/26/12 | 11/14/12 | $12,692 94 | $11,951 17 | $741 77 |
| ALLIED NEV GOLD CORP | ANV | 019344100 | 317 | 08/23/12 | 11/14/12 | $10,758 45 | $9,901 78 | $856 67 |

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Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**

**Account Number 020020038976**

## Short term transactions

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| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ASHLAND INC NEW | ASH | 044209104 | 284 | 01/06/12 | 11/14/12 | $20,451 06 | $16,829.87 | $3,621.19 |
| ASHLAND INC NEW | ASH | 044209104 | 118 | 11/16/11 | 11/14/12 | $8,497.27 | $6,639.08 | $1,858.19 |
| CBS CORP NEW CL B | CBS | 124857202 | 269 | 05/02/12 | 11/14/12 | $9,128.17 | $9,265.06 | $-136.89 |
| CBS CORP NEW CL B | CBS | 124857202 | 308 | 10/16/12 | 11/14/12 | $10,451.59 | $10,488.54 | $-36.95 |
| CBS CORP NEW CL B | CBS | 124857202 | 428 | 04/18/12 | 11/14/12 | $14,523.64 | $13,922.71 | $600.93 |
| CITIGROUP INC | C | 172967424 | 471 | 03/20/12 | 11/14/12 | $16,903.85 | $17,865.88 | $-962.03 |
| CITIGROUP INC | C | 172967424 | 287 | 04/11/12 | 11/14/12 | $10,300.23 | $9,634.59 | $665.64 |
| CONOCOPHILLIPS | COP | 20825C104 | 385 | 02/28/12 | 11/14/12 | $21,242.98 | $22,834.40 | $-1,591.42 |
| DEVON ENERGY CORPORATION NEW | DVN | 25179M103 | 145 | 02/09/12 | 11/14/12 | $7,779.54 | $9,619.66 | $-1,840.12 |
| DEVON ENERGY CORPORATION NEW | DVN | 25179M103 | 197 | 01/04/12 | 11/14/12 | $10,569.44 | $12,853.64 | $-2,284.20 |
| DIGITALGLOBE INC | DGI | 25389M877 | 768 | 10/15/12 | 11/14/12 | $18,923.09 | $17,110.43 | $1,812.66 |
| DIGITALGLOBE INC | DGI | 25389M877 | 525 | 10/03/12 | 11/14/12 | $12,935.71 | $11,170.00 | $1,765.71 |
| DISH NETWORK CORP CL A | DISH | 25470M109 | 461 | 07/17/12 | 11/14/12 | $16,028 15 | $13,283.48 | $2,744.67 |
| BERRY PETROLEUM CO CL A | BRY | 085789105 | 22 | 12/30/11 | 11/15/12 | $691.24 | $926.54 | $-235.30 |
| ENTERGY MISS INC | EMZ | 29364N835 | 9 | 01/18/12 | 11/15/12 | $234.44 | $252.23 | $-17.79 |
| BERRY PETROLEUM CO CL A | BRY | 085789105 | 2 | 03/22/12 | 11/15/12 | $62.84 | $97.75 | $-34.91 |
| ENTERGY MISS INC | EMZ | 29364N835 | 9 | 01/18/12 | 11/16/12 | $237.05 | $252.22 | $-15.17 |
| ENTERGY MISS INC | EMZ | 29364N835 | 77 | 01/04/12 | 11/16/12 | $2,028.06 | $2,157.70 | $-129.64 |
| ENTERGY MISS INC | EMZ | 29364N835 | 9 | 01/10/12 | 11/16/12 | $237.05 | $250.87 | $-13.82 |
| ENTERGY MISS INC | EMZ | 29364N835 | 15 | 01/20/12 | 11/16/12 | $395.07 | $418.50 | $-23.43 |
| ENTERGY MISS INC | EMZ | 29364N835 | 15 | 01/20/12 | 11/16/12 | $395.07 | $418.50 | $-23.43 |
| ENTERGY MISS INC | EMZ | 29364N835 | 31 | 01/19/12 | 11/16/12 | $816.49 | $865.71 | $-49.22 |
| ENTERGY MISS INC | EMZ | 29364N835 | 32 | 01/19/12 | 11/16/12 | $842.83 | $893.64 | $-50.81 |
| ENTERGY MISS INC | EMZ | 29364N835 | 46 | 01/17/12 | 11/16/12 | $1,211.57 | $1,288 24 | $-76 67 |
| ENTERGY MISS INC | EMZ | 29364N835 | 45 | 01/17/12 | 11/16/12 | $1,185.23 | $1,260.24 | $-75.01 |
| ENTERGY MISS INC | EMZ | 29364N835 | 12 | 01/18/12 | 11/16/12 | $316.06 | $336.30 | $-20 24 |
| ENTERGY MISS INC | EMZ | 29364N835 | 78 | 01/04/12 | 11/16/12 | $2,054 39 | $2,185.72 | $-131.33 |
| ENTERGY MISS INC | EMZ | 29364N835 | 36 | 01/10/12 | 11/16/12 | $947.52 | $1,003 46 | $-55.94 |

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ENTERGY MISS INC | EMZ | 29364N835 | 1 | 01/10/12 | 11/16/12 | $26.32 | $27 87 | $-1.55 |
| BERRY PETROLEUM CO CL A | BRY | 085789105 | 21 | 12/29/11 | 11/19/12 | $681 64 | $862.45 | $-180.81 |
| BERRY PETROLEUM CO CL A | BRY | 085789105 | 2 | 12/30/11 | 11/19/12 | $64.92 | $84.23 | $-19.31 |
| ENTERGY MISS INC | EMZ | 29364N835 | 23 | 12/28/11 | 11/19/12 | $607.65 | $639 48 | $-31.83 |
| ENTERGY MISS INC | EMZ | 29364N835 | 65 | 12/28/11 | 11/19/12 | $1,717.28 | $1,807.25 | $-89.97 |
| ENTERGY MISS INC | EMZ | 29364N835 | 20 | 01/09/12 | 11/19/12 | $528.39 | $556.17 | $-27.78 |
| ENTERGY MISS INC | EMZ | 29364N835 | 19 | 01/09/12 | 11/19/12 | $501 97 | $528.37 | $-26.40 |
| ENTERGY MISS INC | EMZ | 29364N835 | 43 | 01/10/12 | 11/19/12 | $1,136.04 | $1,198.58 | $-62.54 |
| ENTERGY MISS INC | EMZ | 29364N835 | 21 | 01/13/12 | 11/19/12 | $554.81 | $584 07 | $-29.26 |
| ENTERGY MISS INC | EMZ | 29364N835 | 20 | 01/13/12 | 11/19/12 | $528.39 | $556.25 | $-27.86 |
| AIR LEASE CORP | AL | 00912X302 | 5 | 08/07/12 | 11/20/12 | $111.66 | $107.68 | $3 98 |
| BRUKER BIOSCIENCES CORP | BRKR | 116794108 | 16 | 02/29/12 | 11/20/12 | $227.88 | $257.83 | $-29.95 |
| PSS WORLD MED INC | PSSI | 69366A100 | 12 | 05/25/12 | 11/20/12 | $341.70 | $240.06 | $101 64 |
| BRUKER BIOSCIENCES CORP | BRKR | 116794108 | 13 | 02/28/12 | 11/20/12 | $185.15 | $210 26 | $-25.11 |
| BERRY PETROLEUM CO CL A | BRY | 085789105 | 12 | 05/18/12 | 11/21/12 | $386.41 | $444.20 | $-57.79 |
| BERRY PETROLEUM CO CL A | BRY | 085789105 | 11 | 12/29/11 | 11/21/12 | $354.21 | $451.76 | $-97.55 |
| ENTERGY MISS INC | EMZ | 29364N835 | 31 | 12/28/11 | 11/21/12 | $823 83 | $861.92 | $-38.09 |
| ENTERGY MISS INC | EMZ | 29364N835 | 5 | 01/12/12 | 11/23/12 | $132.84 | $139 01 | $-6.17 |
| ENTERGY MISS INC | EMZ | 29364N835 | 12 | 12/28/11 | 11/23/12 | $318.83 | $333.64 | $-14.81 |
| ENTERGY MISS INC | EMZ | 29364N835 | 5 | 01/12/12 | 11/27/12 | $132 74 | $139.01 | $-6.27 |
| ENTERGY MISS INC | EMZ | 29364N835 | 30 | 01/11/12 | 11/28/12 | $794.12 | $832.37 | $-38.25 |
| ENTERGY MISS INC | EMZ | 29364N835 | 30 | 01/11/12 | 11/28/12 | $794.12 | $832.37 | $-38 25 |
| ENTERGY MISS INC | EMZ | 29364N835 | 20 | 01/05/12 | 11/28/12 | $529.42 | $554 81 | $-25.39 |
| ENTERGY MISS INC | EMZ | 29364N835 | 32 | 01/06/12 | 11/28/12 | $847.06 | $887 99 | $-40.93 |
| ENTERGY MISS INC | EMZ | 29364N835 | 23 | 01/12/12 | 11/28/12 | $608.83 | $639 47 | $-30.64 |
| ENTERGY MISS INC | EMZ | 29364N835 | 32 | 01/12/12 | 11/28/12 | $847.06 | $889 68 | $-42.62 |
| ENTERGY MISS INC | EMZ | 29364N835 | 31 | 01/06/12 | 11/28/12 | $820.59 | $860 25 | $-39.66 |
| ENTERGY MISS INC | EMZ | 29364N835 | 85 | 12/22/11 | 11/29/12 | $2,249 89 | $2,353 56 | $-103.67 |

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Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**
**Account Number 020020038976**

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ENTERGY MISS INC | EMZ | 29364N835 | 3 | 01/05/12 | 11/29/12 | $79.41 | $83.22 | $-3.81 |
| ENTERGY MISS INC | EMZ | 29364N835 | 24 | 01/05/12 | 11/29/12 | $635.27 | $665.76 | $-30.49 |
| ISHARES COMEX GOLD TR ISHARES | IAU | 464285105 | 1 | 09/27/12 | 11/30/12 | $19.53 | $20.17 | $-0.64 |
| ENTERGY MISS INC | EMZ | 29364N835 | 37 | 12/22/11 | 12/03/12 | $979.36 | $1,024.49 | $-45.13 |
| ENTERGY MISS INC | EMZ | 29364N835 | 40 | 12/22/11 | 12/04/12 | $1,058.87 | $1,107.55 | $-48.68 |
| ENTERGY MISS INC | EMZ | 29364N835 | 19 | 12/27/11 | 12/04/12 | $502.97 | $525.95 | $-22.98 |
| ENTERGY MISS INC | EMZ | 29364N835 | 57 | 12/21/11 | 12/04/12 | $1,508.90 | $1,577.03 | $-68.13 |
| ENTERGY MISS INC | EMZ | 29364N835 | 56 | 12/19/11 | 12/04/12 | $1,482.42 | $1,524.60 | $-42.18 |
| TEVA PHARMACEUTICAL INDS LTD | TEVA | 881624209 | 126 | 01/03/12 | 12/10/12 | $5,372.33 | $5,352.37 | $19.96 |
| WASTE MGMT INC DEL COM | WM | 94106L109 | 181 | 05/23/12 | 12/12/12 | $6,076.65 | $5,943.19 | $133.46 |
| WASTE MGMT INC DEL COM | WM | 94106L109 | 1100 | 02/08/12 | 12/12/12 | $36,929.91 | $38,868.50 | $-1,938.59 |
| PRAXAIR INC | PX | 74005P104 | 10 | 02/08/12 | 12/12/12 | $1,077.84 | $1,082.17 | $-4.33 |
| PHILIP MORRIS INTL INC | PM | 718172109 | 14 | 02/08/12 | 12/12/12 | $1,241.15 | $1,087.12 | $154.03 |
| MATTEL INC | MAT | 577081102 | 45 | 02/08/12 | 12/12/12 | $1,681.36 | $1,435.47 | $245.89 |
| HCP INC | HCP | 40414L109 | 23 | 02/08/12 | 12/12/12 | $1,036.14 | $964.38 | * $71.76 |
| DARDEN RESTAURANTS INC | DRI | 237194105 | 455 | 02/08/12 | 12/12/12 | $20,886.76 | $22,165.33 | $-1,278 57 |
| DARDEN RESTAURANTS INC | DRI | 237194105 | 166 | 07/26/12 | 12/12/12 | $7,620.23 | $8,457.32 | $-837.09 |
| CANADIAN IMPERIAL BANK OF COMM | CM | 136069101 | 17 | 02/08/12 | 12/12/12 | $1,401.79 | $1,310.64 | $91.15 |
| CRESCENT PT ENERGY CORP | CSCTF | 22576C101 | 66 | 02/08/12 | 12/12/12 | $2,469.66 | $3,057.12 | $-587.46 |
| DARDEN RESTAURANTS INC | DRI | 237194105 | 101 | 05/23/12 | 12/12/12 | $4,636.40 | $5,289.35 | $-652.95 |
| DARDEN RESTAURANTS INC | DRI | 237194105 | 52 | 07/27/12 | 12/12/12 | $2,387.06 | $2,687.18 | $-300.12 |
| DARDEN RESTAURANTS INC | DRI | 237194105 | 168 | 02/08/12 | 12/13/12 | $7,643.74 | $8,184.12 | $-540.38 |
| LUFKIN INDS INC | LUFK | 549764108 | 4 | 06/14/12 | 12/13/12 | $226.71 | $221.88 | $4.83 |
| TERADYNE INC | TER | 880770102 | 16 | 07/02/12 | 12/18/12 | $263.58 | $222.43 | $41.15 |
| SOTHEBYS HLDGS INC CL A | BID | 835898107 | 10 | 05/24/12 | 12/18/12 | $332.36 | $312.74 | $19.62 |
| JDA SOFTWARE GROUP INC | JDAS | 46612K108 | 34 | 02/28/12 | 12/21/12 | $1,530.00 | $881.80 | $648.20 |
| JDA SOFTWARE GROUP INC | JDAS | 46612K108 | 40 | 02/22/12 | 12/21/12 | $1,800.00 | $1,080.80 | $719.20 |
| JDA SOFTWARE GROUP INC | JDAS | 46612K108 | 13 | 02/21/12 | 12/21/12 | $585.00 | $349.21 | $235.79 |

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IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**
**Account Number 020020038976**

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ISHARES COMEX GOLD TR ISHARES | IAU | 464285105 | 1 | 09/27/12 | 12/31/12 | $19.96 | $20 99 | $-1.03 |
| **Total short term gain/loss from sales:** | | | | | | $9,838,645.30 | $9,518,295.39 | $320,349.91 |

## Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss | |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | Portion subject to 988 | Wash sale loss disallowed |
| PFIZER INC | PFE | 717081103 | 235 | 01/04/12 | 01/06/12 | $5,091.22 | $5,116 42 | $-25.20 | |
| | | | | | | | | $0.00 | $25 20 |
| PROCTER & GAMBLE CO | PG | 742718109 | 346 | 01/04/12 | 02/01/12 | $21,941 16 | $23,005.50 | $-1,064.34 | |
| | | | | | | | | $0.00 | $1,064.34 |
| KIMBERLY CLARK CORP | KMB | 494368103 | 288 | 01/04/12 | 02/01/12 | $20.760.30 | $20.983.68 | $-223.38 | |
| | | | | | | | | $0.00 | $223.38 |
| KIMBERLY CLARK CORP | KMB | 494368103 | 9 | 11/05/11 | 02/01/12 | $648.76 | $660.39 | $-11.63 | |
| | | | | | | | | $0.00 | $11 63 |
| BRISTOL MYERS SQUIBB COMPANY | BMY | 110122108 | 786 | 01/04/12 | 02/01/12 | $25,516 77 | $27,064.65 | $-1,547.88 | |
| | | | | | | | | $0 00 | $1,547.88 |
| HEINZ H J CO | HNZ | 423074103 | 73 | 01/04/12 | 02/01/12 | $3.800 73 | $3,896 38 | $-95 65 | |
| | | | | | | | | $0 00 | $95 65 |
| AT&T INC | T | 00206R102 | 651 | 01/04/12 | 02/09/12 | $19,511.98 | $19,906 67 | $-394 69 | |
| | | | | | | | | $0.00 | $394.69 |
| BARRETT BILL CORP COM | BBG | 06846N104 | 31 | 03/26/12 | 04/23/12 | $647.89 | $863 11 | $-215 22 | |
| | | | | | | | | $0.00 | $215 22 |
| INTEL CORP | INTC | 458140100 | 354 | 02/08/12 | 05/09/12 | $9.508 55 | $9.521 72 | $-13 17 | |
| | | | | | | | | $0 00 | $13 17 |

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Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**
**Account Number 020020038976**

## Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss | Portion subject to 988 | Wash sale loss disallowed |
|---|---|---|---|---|---|---|---|---|---|---|
| HEALTHCARE SERVICES GROUP INC | HCSG | 421906108 | 114 | 02/08/12 | 05/09/12 | $2,315.47 | $2,325.60 | $-10.13 | $0.00 | $10.13 |
| INTEL CORP | INTC | 458140100 | 150 | 01/04/12 | 09/10/12 | $3,518.59 | $3,746.45 | $-227.86 | $0.00 | $227.86 |
| INTEL CORP | INTC | 458140100 | 19 | 01/12/12 | 09/10/12 | $445.69 | $532.41 | $-86.72 | $0.00 | $86.72 |
| INTEL CORP | INTC | 458140100 | 248 | 01/12/12 | 09/10/12 | $5,817.40 | $6,949.31 | $-1,131.91 | $0.00 | $1,131.91 |
| AMERICAN INTL GROUP INC | AIG | 026874784 | 181 | 10/09/12 | 11/14/12 | $5,640.41 | $6,422.88 | $-782.47 | $0.00 | $782.47 |
| BCE INC NEW | BCE | 05534B760 | 633 | 09/10/12 | 11/14/12 | $26,640.92 | $28,706.93 | $-2,066.01 | $0.00 | $2,066.01 |
| BCE INC NEW | BCE | 05534B760 | 21 | 09/11/12 | 11/14/12 | $883.82 | $956.09 | $-72.27 | $0.00 | $72.27 |
| AMERICAN INTL GROUP INC | AIG | 026874784 | 272 | 10/09/12 | 11/14/12 | $8,476.20 | $9,652.05 | $-1,175 85 | $0.00 | $1,175.85 |
| GENERAL ELECTRIC CO | GE | 369604103 | 762 | 09/06/12 | 11/14/12 | $15,608.83 | $16,205.31 | $-596.48 | $0.00 | $596.48 |
| **Total short term gain/loss from sales:** | | | | | | $176,774 69 | $186,515.55 | $-9,740.86 | | |
| **Total short term loss disallowed from wash sales:** | | | | | | | | | | $9,740.86 |
| **Total short term Section 988 translation gain/loss from securities subject to wash sale:** | | | | | | | | | $0.00 | |

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**2012 Tax Information Letter**
**Account Number 020020038976**

## Short Term Transactions with Section 988 translation gain or loss

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Portion subject to 988 | Net gain or loss |
|---|---|---|---|---|---|---|---|---|---|
| AUSTRALIAN GOVT | | B3BXVY9#8 | 150000 | 11/04/11 | 02/08/12 | $171,343 77 | $166,153.75 | $5,251.70 | $5,190.02 |
| **Total short term gain/loss from transactions with Section 988 translation gain/loss:** | | | | | | $171,343.77 | $166,153.75 | | $5,190.02 |
| **Total short term Section 988 translation gain/loss:** | | | | | | | | $5,251 70 | |

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| COLUMBIA FDS SER TR I SELECT L | UMLG X | 19765Y688 | 23676 | 09/15/10 | 01/03/12 | $290.031.04 | $257.121 39 | $32,909 65 |
| COLUMBIA FDS SER TR I SELECT L | UMLG X | 19765Y688 | 6372.03 | 09/16/09 | 01/03/12 | $78.057.39 | $61,171.51 | $16,885.88 |
| COLUMBIA FDS SER TR I SELECT L | UMLG X | 19765Y688 | 14849 92 | 08/03/09 | 01/03/12 | $181,911.57 | $135,282 81 | $46,628.76 |
| ALLIED NEV GOLD CORP | ANV | 019344100 | 100 | 10/26/10 | 01/04/12 | $3,201.85 | $2,463 28 | $738.57 |
| ALLIED NEV GOLD CORP | ANV | 019344100 | 1047 | 06/08/10 | 01/04/12 | $33,523.35 | $20,741.59 | $12,781.76 |
| CONSOLIDATED EDISON INC | ED | 209115104 | 750 | 09/20/10 | 01/04/12 | $44,924.14 | $36,357 68 | $8,566.46 |
| CONSOLIDATED EDISON INC | ED | 209115104 | 250 | 05/06/09 | 01/04/12 | $14,974.71 | $9,337.50 | $5,637 21 |
| PFIZER INC | PFE | 717081103 | 329 | 12/21/09 | 01/06/12 | $7,127.72 | $6,145 29 | $982.43 |
| NAPCO SEC SYS INC | NSSC | 630402105 | 75 | 03/31/08 | 01/11/12 | $176 94 | $372 00 | $-195.06 |
| NAPCO SEC SYS INC | NSSC | 630402105 | 40 | 02/25/08 | 01/11/12 | $94.37 | $215.94 | $-121.57 |
| NAPCO SEC SYS INC | NSSC | 630402105 | 235 | 03/30/09 | 01/12/12 | $548 03 | $263 74 | $284.29 |
| NAPCO SEC SYS INC | NSSC | 630402105 | 5 | 03/31/08 | 01/12/12 | $11 66 | $24.80 | $-13.14 |
| BRISTOL MYERS SQUIBB COMPANY | BMY | 110122108 | 395 | 07/15/09 | 01/19/12 | $13,102.68 | $7,805 20 | $5,297.48 |
| BRISTOL MYERS SQUIBB COMPANY | BMY | 110122108 | 300 | 06/16/10 | 01/19/12 | $9,951.41 | $7,689.66 | $2.261.75 |
| CSX CORP | CSX | 126408103 | 357 | 07/13/10 | 01/20/12 | $8,162.72 | $6,347.22 | $1,815.50 |
| CASS INFORMATION SYS INC | CASS | 14808P109 | 73.5 | 08/29/08 | 01/24/12 | $2.647.41 | $2,405.45 | $241.96 |

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| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| CASS INFORMATION SYS INC | CASS | 14808P109 | 5.5 | 03/30/09 | 01/24/12 | $198.11 | $157.10 | $41.01 |
| CEPHEID | CPHD | 15670R107 | 234 | 03/30/09 | 01/24/12 | $7,627.74 | $1,553.76 | $6,073.98 |
| CHEESECAKE FACTORY INC | CAKE | 163072101 | 46 | 03/31/08 | 01/24/12 | $1,333.72 | $993.60 | $340.12 |
| CHEESECAKE FACTORY INC | CAKE | 163072101 | 100 | 02/25/08 | 01/24/12 | $2,899.39 | $2,147.00 | $752.39 |
| CHEESECAKE FACTORY INC | CAKE | 163072101 | 17 | 03/30/09 | 01/24/12 | $492.90 | $194.82 | $298.08 |
| CHEMED CORP NEW | CHE | 16359R103 | 16 | 02/25/08 | 01/24/12 | $921.53 | $800.32 | $121.21 |
| CHEMED CORP NEW | CHE | 16359R103 | 75 | 03/31/08 | 01/24/12 | $4,319.69 | $3,125 25 | $1,194.44 |
| CHEMED CORP NEW | CHE | 16359R103 | 22 | 03/30/09 | 01/24/12 | $1,267.11 | $838 95 | $428.16 |
| COSTAR GROUP INC | CSGP | 22160N109 | 46 | 09/09/08 | 01/24/12 | $2,763.95 | $2,470.06 | $293.89 |
| COSTAR GROUP INC | CSGP | 22160N109 | 6 | 03/30/09 | 01/24/12 | $360.51 | $177.06 | $183.45 |
| DIGI INTL INC | DGII | 253798102 | 22 | 03/31/08 | 01/24/12 | $247.00 | $258.02 | $-11.02 |
| DIGI INTL INC | DGII | 253798102 | 215 | 02/25/08 | 01/24/12 | $2,413.86 | $2,318.04 | $95.82 |
| DIGI INTL INC | DGII | 253798102 | 97 | 03/30/09 | 01/24/12 | $1,089.05 | $726.80 | $362.25 |
| ABAXIS INC | ABAX | 002567105 | 124 | 08/14/08 | 01/24/12 | $3,480 98 | $2,835.34 | $645.64 |
| ALLSCRIPTS HEALTHCARE SOLUTION | MDRX | 01988P108 | 69 | 08/13/08 | 01/24/12 | $1,283.31 | $1,060.70 | $222.61 |
| CONCUR TECHNOLOGIES INC | CNQR | 206708109 | 1 | 11/26/08 | 01/24/12 | $52.94 | $26.00 | $26.94 |
| DEALERTRACK HLDGS INC | TRAK | 242309102 | 136 | 07/23/08 | 01/24/12 | $3,713.41 | $2,278 00 | $1,435.41 |
| DEALERTRACK HLDGS INC | TRAK | 242309102 | 14 | 03/30/09 | 01/24/12 | $382.26 | $184.17 | $198.09 |
| FARO TECHNOLOGIES INC | FARO | 311642102 | 18 | 03/31/08 | 01/24/12 | $981.36 | $562.14 | $419.22 |
| FARO TECHNOLOGIES INC | FARO | 311642102 | 45 | 12/05/07 | 01/24/12 | $2,453.40 | $1,191.60 | $1,261.80 |
| FARO TECHNOLOGIES INC | FARO | 311642102 | 7 | 03/30/09 | 01/24/12 | $381.64 | $93.67 | $287.97 |
| FORRESTER RESH INC | FORR | 346563109 | 85 | 01/16/08 | 01/24/12 | $2,944.38 | $2,196.86 | $747.52 |
| FORRESTER RESH INC | FORR | 346563109 | 18 | 03/30/09 | 01/24/12 | $623.52 | $362.10 | $261.42 |
| FORWARD AIR CORP | FWRD | 349853101 | 32 | 02/25/08 | 01/24/12 | $1,121.43 | $1,011.52 | $109.91 |
| FORWARD AIR CORP | FWRD | 349853101 | 40 | 10/01/07 | 01/24/12 | $1,401.80 | $1,178.80 | $223.00 |
| GENTEX CORP | GNTX | 371901109 | 205 | 03/30/09 | 01/24/12 | $6,259.25 | $1,974.48 | $4,284.77 |
| IPC THE HOSPITALIST CO | IPCM | 44984A105 | 74 | 07/16/08 | 01/24/12 | $2,421.82 | $1,422.52 | $999.30 |
| IPC THE HOSPITALIST CO | IPCM | 44984A105 | 12 | 03/30/09 | 01/24/12 | $392.73 | $218 88 | $173 85 |

## Long term transactions

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| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| INNERWORKINGS INC | INWK | 45773Y105 | 328 | 10/26/09 | 01/24/12 | $3,361.93 | $1,720.26 | $1,641 67 |
| LKQ CORP | LKQ | 501889208 | 188 | 08/29/07 | 01/24/12 | $6,112.32 | $2,870.76 | $3,241 56 |
| LKQ CORP | LKQ | 501889208 | 38 | 03/30/09 | 01/24/12 | $1,235.47 | $542.26 | $693.21 |
| MAXIMUS INC | MMS | 577933104 | 76 | 12/05/07 | 01/24/12 | $3,420.53 | $1,461.48 | $1,959.05 |
| MEDTOX SCIENTIFIC INC | MTOX | 584977201 | 45 | 03/31/08 | 01/24/12 | $721.58 | $550.80 | $170.78 |
| MEDTOX SCIENTIFIC INC | MTOX | 584977201 | 10 | 03/30/09 | 01/24/12 | $160.35 | $65.76 | $94.59 |
| MEDNAX INC | MD | 58502B106 | 80 | 08/22/07 | 01/24/12 | $5,686.29 | $4,666.40 | $1,019.89 |
| MEDNAX INC | MD | 58502B106 | 10 | 03/30/09 | 01/24/12 | $710.79 | $288.08 | $422.71 |
| MOBILE MINI INC | MINI | 60740F105 | 8 | 03/30/09 | 01/24/12 | $159.28 | $88.66 | $70.62 |
| NATIONAL INSTRS CORP | NATI | 636518102 | 57 | 02/25/08 | 01/24/12 | $1,573.06 | $1,011.94 | $561.12 |
| NATIONAL INSTRS CORP | NATI | 636518102 | 172.5 | 03/31/08 | 01/24/12 | $4,760.58 | $2,992.30 | $1.768 28 |
| FORWARD AIR CORP | FWRD | 349853101 | 5 | 03/30/09 | 01/24/12 | $175 22 | $79 80 | $95.42 |
| MAXIMUS INC | MMS | 577933104 | 67 | 03/31/08 | 01/24/12 | $3,015 46 | $1.235.48 | $1,779.98 |
| MEDTOX SCIENTIFIC INC | MTOX | 584977201 | 21 | 02/25/08 | 01/24/12 | $336 74 | $309 75 | $26.99 |
| MOBILE MINI INC | MINI | 60740F105 | 35 | 12/05/07 | 01/24/12 | $696.84 | $664 30 | $32.54 |
| MOBILE MINI INC | MINI | 60740F105 | 60 | 02/25/08 | 01/24/12 | $1.194.57 | $1,069.20 | $125.37 |
| NATIONAL INSTRS CORP | NATI | 636518102 | 49.5 | 03/30/09 | 01/24/12 | $1,366.08 | $610.94 | $755.14 |
| NEOGEN CORP | NEOG | 640491106 | 83.5 | 08/29/07 | 01/24/12 | $2,632.71 | $1.132.46 | $1,500.25 |
| NEOGEN CORP | NEOG | 640491106 | 67.5 | 08/22/07 | 01/24/12 | $2.128 23 | $911 56 | $1,216 67 |
| PORTFOLIO RECOVERY ASSOCS INC | PRAA | 73640Q105 | 76 | 03/30/09 | 01/24/12 | $5,110.90 | $1.946.91 | $3.163.99 |
| POWER INTEGRATIONS INC | POWI | 739276103 | 101 | 08/22/07 | 01/24/12 | $3,800.18 | $2,590 17 | $1,210.01 |
| POWER INTEGRATIONS INC | POWI | 739276103 | 20 | 03/30/09 | 01/24/12 | $752.51 | $355.36 | $397 15 |
| QUALITY SYS INC | QSII | 747582104 | 85 | 12/10/09 | 01/24/12 | $3,274 98 | $2,530 61 | $744.37 |
| RITCHIE BROS AUCTIONEERS INC | RBA | 767744105 | 8 | 01/14/11 | 01/24/12 | $187.34 | $202 92 | $-15.58 |
| RITCHIE BROS AUCTIONEERS INC | RBA | 767744105 | 11 | 01/13/11 | 01/24/12 | $257.60 | $275 73 | $-18.13 |
| RITCHIE BROS AUCTIONEERS INC | RBA | 767744105 | 90 | 10/19/09 | 01/24/12 | $2,107.62 | $2,066 15 | $41.47 |
| RITCHIE BROS AUCTIONEERS INC | RBA | 767744105 | 146 | 10/16/08 | 01/24/12 | $3,419.04 | $2,828.57 | $590.47 |
| ROLLINS INCORPORATED | ROL | 775711104 | 352 5 | 08/29/07 | 01/24/12 | $7,928.63 | $3,973 85 | $3,954 78 |

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**Account Number 020020038976**

## Long term transactions

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| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ROLLINS INCORPORATED | ROL | 775711104 | 80.5 | 03/30/09 | 01/24/12 | $1,810.65 | $844.48 | $966.17 |
| SEMTECH CORP | SMTC | 816850101 | 82 | 03/31/08 | 01/24/12 | $2,360.70 | $1,176.33 | $1,184.37 |
| SEMTECH CORP | SMTC | 816850101 | 208 | 03/30/09 | 01/24/12 | $5,988.10 | $2,659.57 | $3,328.53 |
| STRATASYS INC | SSYS | 862685104 | 87 | 03/30/09 | 01/24/12 | $3,200.53 | $669.93 | $2,530.60 |
| TECHNE CORP | TECH | 878377100 | 54 | 08/22/07 | 01/24/12 | $3,844.18 | $3,344.22 | $499.96 |
| TECHNE CORP | TECH | 878377100 | 8 | 03/30/09 | 01/24/12 | $569.51 | $428.96 | $140.55 |
| ULTIMATE SOFTWARE GROUP INC | ULTI | 90385D107 | 45 | 01/08/08 | 01/24/12 | $3,049.10 | $1,303.67 | $1,745.43 |
| ULTIMATE SOFTWARE GROUP INC | ULTI | 90385D107 | 90 | 02/25/08 | 01/24/12 | $6,098.20 | $2,411.10 | $3,687 10 |
| ULTIMATE SOFTWARE GROUP INC | ULTI | 90385D107 | 22 | 03/30/09 | 01/24/12 | $1,490.67 | $377.12 | $1,113.55 |
| UNITED NAT FOODS INC | UNFI | 911163103 | 154 | 03/30/09 | 01/24/12 | $6,563.01 | $2,811.79 | $3,751 22 |
| VERINT SYS INC | VRNT | 92343X100 | 39 | 03/31/08 | 01/24/12 | $1,109.34 | $629 85 | $479.49 |
| VERINT SYS INC | VRNT | 92343X100 | 75 | 02/25/08 | 01/24/12 | $2,133.36 | $1,162.50 | $970.86 |
| VERINT SYS INC | VRNT | 92343X100 | 15 | 03/30/09 | 01/24/12 | $426.67 | $49.80 | $376.87 |
| ALLSCRIPTS HEALTHCARE SOLUTION | MDRX | 01988P108 | 65 | 02/25/08 | 01/24/12 | $1,208.91 | $757.25 | $451.66 |
| ALLSCRIPTS HEALTHCARE SOLUTION | MDRX | 01988P108 | 90 | 03/30/09 | 01/24/12 | $1,673.88 | $899.80 | $774.08 |
| ANGIODYNAMICS INC | ANGO | 03475V101 | 66 | 02/25/08 | 01/24/12 | $869.86 | $1,139.63 | $-269.77 |
| ANGIODYNAMICS INC | ANGO | 03475V101 | 155 | 03/31/08 | 01/24/12 | $2,042.86 | $1,822.80 | $220.06 |
| ANGIODYNAMICS INC | ANGO | 03475V101 | 35 | 03/30/09 | 01/24/12 | $461.29 | $392.25 | $69.04 |
| BEACON ROOFING SUPPLY INC | BECN | 073685109 | 172 | 08/25/08 | 01/24/12 | $3,814.97 | $2,671.88 | $1,143 09 |
| BEACON ROOFING SUPPLY INC | BECN | 073685109 | 37 | 03/30/09 | 01/24/12 | $820.66 | $483.48 | $337.18 |
| CABOT MICROELECTRONICS CORP | CCMP | 12709P103 | 107 | 11/03/08 | 01/24/12 | $5,357.38 | $3,124 25 | $2,233.13 |
| AT&T INC | T | 00206R102 | 540 | 08/11/05 | 02/01/12 | $16,062.26 | $13,300.20 | $2,762.06 |
| AT&T INC | T | 00206R102 | 135 | 10/31/05 | 02/01/12 | $4,015.56 | $3,219.75 | $795.81 |
| AT&T INC | T | 00206R102 | 70 | 07/06/06 | 02/01/12 | $2,082.14 | $1,923.96 | $158.18 |
| AT&T INC | T | 00206R102 | 220 | 06/28/06 | 02/01/12 | $6,543.88 | $6,028.00 | $515 88 |
| AT&T INC | T | 00206R102 | 155 | 06/12/06 | 02/01/12 | $4,610.47 | $4,183.45 | $427.02 |
| AT&T INC | T | 00206R102 | 130 | 12/02/05 | 02/01/12 | $3,866.84 | $3,257.80 | $609.04 |
| AT&T INC | T | 00206R102 | 72 | 01/24/06 | 02/01/12 | $2,141 64 | $1,779.12 | $362.52 |

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## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| AT&T INC | T | 00206R102 | 135 | 11/21/05 | 02/01/12 | $4,015 57 | $3.276 45 | $739 12 |
| ABBOTT LABS | ABT | 002824100 | 81 | 10/31/05 | 02/01/12 | $4,404.35 | $3,515.40 | $888 95 |
| ABBOTT LABS | ABT | 002824100 | 80 | 06/12/06 | 02/01/12 | $4,349.98 | $3,437.60 | $912 38 |
| ABBOTT LABS | ABT | 002824100 | 95 | 06/28/06 | 02/01/12 | $5,165 60 | $3,990.00 | $1,175.60 |
| ABBOTT LABS | ABT | 002824100 | 95 | 11/21/05 | 02/01/12 | $5,165.60 | $3,878.85 | $1,286.75 |
| ABBOTT LABS | ABT | 002824100 | 50 | 01/24/06 | 02/01/12 | $2,718.74 | $1,998 00 | $720.74 |
| ABBOTT LABS | ABT | 002824100 | 65 | 12/02/05 | 02/01/12 | $3,534 36 | $2,519.40 | $1,014.96 |
| ALTRIA GROUP INC | MO | 02209S103 | 669 | 01/15/09 | 02/01/12 | $19,119.65 | $10,970.93 | $8,148.72 |
| AMERICAN ELECTRIC POWER INC | AEP | 025537101 | 168 | 11/06/09 | 02/01/12 | $6,640.20 | $5,202.76 | $1,437.44 |
| AMERICAN ELECTRIC POWER INC | AEP | 025537101 | 136 | 11/06/09 | 02/01/12 | $5,375.40 | $4,206.77 | $1,168.63 |
| AMERICAN EXPRESS CO | AXP | 025816109 | 355 | 02/08/10 | 02/01/12 | $18,045.79 | $13,130.78 | $4,915.01 |
| AUTOMATIC DATA PROCESSING INC | ADP | 053015103 | 369 | 06/19/09 | 02/01/12 | $20,374.97 | $13,158.84 | $7,216.13 |
| CHEVRONTEXACO CORP | CVX | 166764100 | 86 | 10/29/08 | 02/01/12 | $8,848.42 | $6,253 14 | $2,595.28 |
| CHEVRONTEXACO CORP | CVX | 166764100 | 212 | 03/17/09 | 02/01/12 | $21,812 38 | $13,368.19 | $8,444.19 |
| CHEVRONTEXACO CORP | CVX | 166764100 | 102 | 10/13/08 | 02/01/12 | $10,494.64 | $6,328.35 | $4,166.29 |
| CHEVRONTEXACO CORP | CVX | 166764100 | 58 | 10/13/08 | 02/01/12 | $5,967.54 | $3,578.84 | $2,388 70 |
| DIAGEO PLC SPONSORED ADR NEW | DEO | 25243Q205 | 74 | 08/11/05 | 02/01/12 | $6,722.66 | $4,218 74 | $2,503.92 |
| DIAGEO PLC SPONSORED ADR NEW | DEO | 25243Q205 | 220 | 03/17/09 | 02/01/12 | $19,986 28 | $9,672 85 | $10,313.43 |
| DOVER CORP | DOV | 260003108 | 91 | 07/03/09 | 02/01/12 | $5,885.00 | $3,096.07 | $2,788 93 |
| DOVER CORP | DOV | 260003108 | 64 | 07/16/09 | 02/01/12 | $4,138 90 | $2,162.96 | $1,975.94 |
| DOVER CORP | DOV | 260003108 | 106 | 07/17/09 | 02/01/12 | $6,855.06 | $3,572.34 | $3,282 72 |
| EXXON MOBIL CORP | XOM | 30231G102 | 27 | 02/23/10 | 02/01/12 | $2,274.17 | $1,765 84 | $508 33 |
| EXXON MOBIL CORP | XOM | 30231G102 | 436 | 02/23/10 | 02/01/12 | $36,723 66 | $28,382 38 | $8,341.28 |
| HEINZ H J CO | HNZ | 423074103 | 165 | 11/13/08 | 02/01/12 | $8,590.69 | $6,769 80 | $1,820.89 |
| HEINZ H J CO | HNZ | 423074103 | 1 | 11/13/08 | 02/01/12 | $52 06 | $40 45 | $11 61 |
| HEINZ H J CO | HNZ | 423074103 | 168 | 03/17/09 | 02/01/12 | $8,746.89 | $5,646 06 | $3,100.83 |
| HOME DEPOT INC | HD | 437076102 | 951 | 10/10/08 | 02/01/12 | $42,390 48 | $18,379.88 | $24,010 60 |
| INTEL CORP | INTC | 458140100 | 623 | 01/28/09 | 02/01/12 | $16,642.31 | $8,764 48 | $7,877 83 |

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Bank of America Private Wealth Management

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**2012 Tax Information Letter**

**Account Number 020020038976**

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| INTEL CORP | INTC | 458140100 | 121 | 01/28/09 | 02/01/12 | $3,232.30 | $1,698 53 | $1,533.77 |
| INTERNATIONAL BUSINESS MACHINE | IBM | 459200101 | 38 | 10/16/08 | 02/01/12 | $7,358.56 | $3,358.56 | $4,000.00 |
| INTERNATIONAL BUSINESS MACHINE | IBM | 459200101 | 185 | 01/15/09 | 02/01/12 | $35,824.56 | $15,402.77 | $20,421.79 |
| INTERNATIONAL BUSINESS MACHINE | IBM | 459200101 | 67 | 12/02/08 | 02/01/12 | $12,974.30 | $5,239.17 | $7,735.13 |
| JP MORGAN CHASE & CO | JPM | 46625H100 | 399 | 03/17/09 | 02/01/12 | $15,060.16 | $9,391.46 | $5,668.70 |
| JOHNSON AND JOHNSON | JNJ | 478160104 | 1 | 04/11/07 | 02/01/12 | $65.87 | $62.09 | $3.78 |
| JOHNSON AND JOHNSON | JNJ | 478160104 | 384 | 09/15/08 | 02/01/12 | $25,295.82 | $23,408.92 | $1,886.90 |
| MCDONALDS CORP | MCD | 580135101 | 73 | 11/13/08 | 02/01/12 | $7,196.20 | $3,931.65 | $3,264.55 |
| MCDONALDS CORP | MCD | 580135101 | 148 | 03/17/09 | 02/01/12 | $14,589 56 | $7,664.55 | $6,925.01 |
| METLIFE INC | MET | 59156R108 | 0 99 | 02/18/09 | 02/01/12 | $35.76 | $49.33 | $-13.57 |
| METLIFE INC | MET | 59156R108 | 157.01 | 03/17/09 | 02/01/12 | $5,682.48 | $3,116.88 | $2,565.60 |
| NEXTERA ENERGY INC | NEE | 65339F101 | 105 | 07/29/10 | 02/01/12 | $6,313.08 | $5,516.88 | $796.20 |
| NEXTERA ENERGY INC | NEE | 65339F101 | 87 | 07/30/10 | 02/01/12 | $5,230.84 | $4,544.28 | $686.56 |
| NORDSTROM INC | JWN | 655664100 | 213 | 04/08/09 | 02/01/12 | $10,614.82 | $3,927.63 | $6,687.19 |
| OCCIDENTAL PETROLEUM CORP | OXY | 674599105 | 71 | 10/31/05 | 02/01/12 | $7,028.15 | $2,751 25 | $4,276.90 |
| OCCIDENTAL PETROLEUM CORP | OXY | 674599105 | 150 | 11/21/05 | 02/01/12 | $14,848.21 | $5,672 25 | $9,175.96 |
| PNC BANK CORP | PNC | 693475105 | 158 | 03/17/09 | 02/01/12 | $9,480.73 | $4,173.97 | $5,306.76 |
| PARKER-HANNIFIN CP | PH | 701094104 | 24 | 05/02/09 | 02/01/12 | $1,984.52 | $1,064.13 | $920.39 |
| PARKER-HANNIFIN CP | PH | 701094104 | 7 | 05/02/09 | 02/01/12 | $578.82 | $309.06 | $269.76 |
| PARKER-HANNIFIN CP | PH | 701094104 | 50 | 05/02/09 | 02/01/12 | $4,134.42 | $2,202.75 | $1,931.67 |
| PARKER-HANNIFIN CP | PH | 701094104 | 54 | 04/22/09 | 02/01/12 | $4,465.17 | $2,245 47 | $2,219.70 |
| PFIZER INC | PFE | 717081103 | 701 | 08/08/02 | 02/01/12 | $15,068.05 | $19,435.70 | $-4,367.65 |
| PFIZER INC | PFE | 717081103 | 177 | 08/08/02 | 02/01/12 | $3,804.63 | $4,905 24 | $-1,100.61 |
| PFIZER INC | PFE | 717081103 | 152 | 08/09/02 | 02/01/12 | $3,267 25 | $4,184 62 | $-917.37 |
| PHILIP MORRIS INTL INC | PM | 718172109 | 82 | 03/17/09 | 02/01/12 | $6,224.89 | $3,109.23 | $3,115.66 |
| PHILIP MORRIS INTL INC | PM | 718172109 | 455 | 08/11/05 | 02/01/12 | $34,540.52 | $16,142.39 | $18,398.13 |
| PRICE T ROWE GROUP INC | TROW | 74144T108 | 207 | 03/04/09 | 02/01/12 | $12,119.28 | $4,549.09 | $7,570.19 |
| US BANCORP DEL NEW | USB | 902973304 | 209 | 08/11/05 | 02/01/12 | $5,990.97 | $6,242.83 | $-251.86 |

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Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**

**Account Number 020020038976**

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| US BANCORP DEL NEW | USB | 902973304 | 213 | 10/31/05 | 02/01/12 | $6,105 63 | $6,302 67 | $-197.04 |
| US BANCORP DEL NEW | USB | 902973304 | 152 | 01/24/06 | 02/01/12 | $4,357.07 | $4,430 80 | $-73.73 |
| US BANCORP DEL NEW | USB | 902973304 | 264 | 03/17/09 | 02/01/12 | $7,567.55 | $3,695.34 | $3,872.21 |
| UNITED TECHNOLOGIES CORP | UTX | 913017109 | 258 | 01/22/05 | 02/01/12 | $20,666.48 | $12,656.26 | $8,010.22 |
| VERIZON COMMUNICATIONS | VZ | 92343V104 | 79 | 10/31/05 | 02/01/12 | $2,996.06 | $2,267.98 | $728.08 |
| VERIZON COMMUNICATIONS | VZ | 92343V104 | 90 | 12/02/05 | 02/01/12 | $3,413.23 | $2,574.88 | $838.35 |
| VERIZON COMMUNICATIONS | VZ | 92343V104 | 91 | 11/21/05 | 02/01/12 | $3,451.15 | $2,592.04 | $859.11 |
| VERIZON COMMUNICATIONS | VZ | 92343V104 | 51 | 01/24/06 | 02/01/12 | $1,934.16 | $1,429.32 | $504.84 |
| WELLS FARGO & CO (NEW) | WFC | 949746101 | 462 | 08/11/05 | 02/01/12 | $13,811.59 | $13,947.99 | $-136.40 |
| VERIZON COMMUNICATIONS | VZ | 92343V104 | 155 | 06/12/06 | 02/01/12 | $5,878.34 | $4,408.05 | $1,470.29 |
| VERIZON COMMUNICATIONS | VZ | 92343V104 | 485 | 03/17/09 | 02/01/12 | $18,393.51 | $13,175.22 | $5,218.29 |
| AGRIUM INC | AGU | 008916108 | 75 | 01/26/10 | 02/03/12 | $6,245.51 | $4,461.07 | $1,784.44 |
| CSX CORP | CSX | 126408103 | 16 | 07/13/10 | 02/03/12 | $370.78 | $284 47 | $86.31 |
| NORFOLK SOUTHERN CORP | NSC | 655844108 | 30 | 11/19/10 | 02/03/12 | $2,212.61 | $1,835.04 | $377.57 |
| AGRIUM INC | AGU | 008916108 | 29 | 11/18/09 | 02/03/12 | $2,414 93 | $1,642 99 | $771.94 |
| DEERE & CO | DE | 244199105 | 27 | 09/13/10 | 02/03/12 | $2,386.33 | $1,883 56 | $502.77 |
| BELLSOUTH CORP NT | T 14 | 079860AG7 | 250000 | 06/18/08 | 02/08/12 | $278,312.50 | $248,837 58 | $29,474.92 |
| APPLE COMPUTER INC | AAPL | 037833100 | 13 | 01/15/10 | 02/16/12 | $6,434.52 | $2,679.68 | $3,754.84 |
| APPLE COMPUTER INC | AAPL | 037833100 | 2 | 01/15/10 | 03/01/12 | $1,088 17 | $412.26 | $675.91 |
| APPLE COMPUTER INC | AAPL | 037833100 | 22 | 12/03/09 | 03/01/12 | $11 969.83 | $4,365 83 | $7,604.00 |
| AT&T INC | T | 00206R102 | 25 | 08/03/06 | 03/19/12 | $789.43 | $838 64 | $-49.21 |
| FORRESTER RESH INC | FORR | 346563109 | 44 | 03/30/09 | 03/22/12 | $1,391.31 | $885 13 | $506.18 |
| FORRESTER RESH INC | FORR | 346563109 | 33 | 03/30/09 | 03/23/12 | $1,049.22 | $663.85 | $385.37 |
| FORRESTER RESH INC | FORR | 346563109 | 12 | 03/30/09 | 03/26/12 | $393 08 | $241.40 | $151.68 |
| SOLUTIA INC NEW | SOA | 834376501 | 10 | 02/27/11 | 04/02/12 | $278.90 | $246.33 | $32.57 |
| SOLUTIA INC NEW | SOA | 834376501 | 170 | 05/31/10 | 04/02/12 | $4,741 28 | $2,493 36 | $2,247.92 |
| QUALITY SYS INC | QSII | 747582104 | 129 | 12/10/09 | 04/05/12 | $5,403 43 | $3,840.57 | $1,562.86 |
| SILVER WHEATON CORP | SLW | 828336107 | 850 | 09/03/09 | 05/08/12 | $22,508 35 | $9,545 50 | $12,962.85 |

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Bank of America Private Wealth Management

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## 2012 Tax Information Letter

**Account Number 020020038976**

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ALERE INC | ALR | 01449J105 | 115 | 01/11/11 | 05/11/12 | $2,118.38 | $4,177.66 | $-2,059.28 |
| CATERPILLAR INC | CAT | 149123101 | 50 | 04/23/11 | 05/17/12 | $4,445.28 | $5,390.41 | $-945.13 |
| CATERPILLAR INC | CAT | 149123101 | 200 | 03/24/11 | 05/17/12 | $17,781.10 | $21,730.24 | $-3,949.14 |
| FARO TECHNOLOGIES INC | FARO | 311642102 | 8 | 03/30/09 | 05/18/12 | $389.49 | $107.05 | $282.44 |
| LKQ CORP | LKQ | 501889208 | 9 | 03/30/09 | 05/18/12 | $307 59 | $128 43 | $179.16 |
| NATIONAL INSTRS CORP | NATI | 636518102 | 20 | 03/30/09 | 05/18/12 | $520.51 | $246.84 | $273.67 |
| CASS INFORMATION SYS INC | CASS | 14808P109 | 17 | 03/30/09 | 05/18/12 | $639.38 | $485.58 | $153.80 |
| ALLSCRIPTS HEALTHCARE SOLUTION | MDRX | 01988P108 | 70 | 03/31/08 | 05/24/12 | $763.27 | $689.50 | $73.77 |
| ALLSCRIPTS HEALTHCARE SOLUTION | MDRX | 01988P108 | 315 | 03/30/09 | 05/24/12 | $3,434.71 | $3,149 31 | $285.40 |
| MEDTOX SCIENTIFIC INC | MTOX | 584977201 | 115 | 03/30/09 | 06/15/12 | $3,075.05 | $756.28 | $2,318.77 |
| ITT CORP | ITT | 450911201 | 175 | 02/03/11 | 06/25/12 | $3,066.58 | $4,020.37 | $-953.79 |
| ULTIMATE SOFTWARE GROUP INC | ULTI | 90385D107 | 33 | 03/30/09 | 06/28/12 | $2,850.48 | $565.69 | $2,284.79 |
| UNITED NAT FOODS INC | UNFI | 911163103 | 8 | 03/30/09 | 06/28/12 | $429.04 | $146.07 | $282.97 |
| HANOVER INS GROUP INC | THG | 410867105 | 9 | 10/16/08 | 06/29/12 | $351.57 | $401.26 | $-49.69 |
| COLUMBIA FDS SER TR I SELECT L | UMLG X | 19765Y688 | 40034 82 | 08/03/09 | 07/05/12 | $518,050.54 | $364,717.19 | $153,333.35 |
| COLUMBIA FDS SER TR I SELECT L | UMLG X | 19765Y688 | 33126.47 | 08/14/09 | 07/05/12 | $428,656.47 | $299,463.26 | $129,193.21 |
| COLUMBIA FDS SER TR I SELECT L | UMLG X | 19765Y688 | 11299.77 | 08/25/09 | 07/05/12 | $146,219.01 | $102,601.90 | $43,617.11 |
| MOLYCORP INC DEL | MCP | 608753109 | 25 | 03/16/11 | 08/03/12 | $292.72 | $1,236.49 | $-943.77 |
| MOLYCORP INC DEL | MCP | 608753109 | 137 | 02/25/11 | 08/03/12 | $1,604.13 | $5,220.10 | $-3,615.97 |
| MOLYCORP INC DEL | MCP | 608753109 | 50 | 02/25/11 | 08/03/12 | $585.45 | $1,905.15 | $-1,319.70 |
| MOLYCORP INC DEL | MCP | 608753109 | 50 | 02/26/11 | 08/03/12 | $585.45 | $1,914.21 | $-1,328.76 |
| MOLYCORP INC DEL | MCP | 608753109 | 75 | 02/04/11 | 08/03/12 | $878.17 | $3,866.37 | $-2,988.20 |
| MOLYCORP INC DEL | MCP | 608753109 | 14 | 02/04/11 | 08/03/12 | $163 93 | $721.72 | $-557.79 |
| MOLYCORP INC DEL | MCP | 608753109 | 25 | 03/16/11 | 08/03/12 | $292.72 | $1,236.51 | $-943.79 |
| MOLYCORP INC DEL | MCP | 608753109 | 100 | 03/16/11 | 08/03/12 | $1,170.89 | $4,945.99 | $-3,775.10 |
| MOLYCORP INC DEL | MCP | 608753109 | 150 | 02/11/11 | 08/03/12 | $1,756.34 | $7,376.68 | $-5,620.34 |
| MOLYCORP INC DEL | MCP | 608753109 | 75 | 02/17/11 | 08/03/12 | $878.17 | $3,658.88 | $-2,780.71 |
| MOLYCORP INC DEL | MCP | 608753109 | 25 | 02/14/11 | 08/03/12 | $292.72 | $1,205 70 | $-912 98 |

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Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**
**Account Number 020020038976**

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ATHENAHEALTH INC | ATHN | 04685W103 | 23 | 04/05/11 | 08/15/12 | $2,094.94 | $1,026 55 | $1,068.39 |
| ANGIODYNAMICS INC | ANGO | 03475V101 | 350 | 03/30/09 | 08/15/12 | $3,685.87 | $3,922 49 | $-236.62 |
| ANGIODYNAMICS INC | ANGO | 03475V101 | 75 | 03/30/09 | 08/16/12 | $784.62 | $840 53 | $-55.91 |
| NORTHROP GRUMMAN CORP | NOC | 666807102 | 198 | 06/21/11 | 08/23/12 | $13,301.14 | $13,167.40 | $133.74 |
| GENERAL MILLS INC | GIS | 370334104 | 1100 | 06/30/11 | 09/12/12 | $43,126 51 | $41,030 00 | $2,096.51 |
| NORTHROP GRUMMAN CORP | NOC | 666807102 | 75 | 07/20/11 | 09/13/12 | $5,023.89 | $4,894.39 | $129.50 |
| NORTHROP GRUMMAN CORP | NOC | 666807102 | 252 | 06/21/11 | 09/13/12 | $16,880 27 | $16,758.50 | $121.77 |
| WESTPAC BKG CORP | WBK 15 | 961214BN2 | 150000 | 03/31/11 | 09/24/12 | $157,648.50 | $149,595 35 | $8,053.15 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 16 | 09/27/11 | 09/28/12 | $256.44 | $135.04 | $121.40 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 15 | 09/27/11 | 10/01/12 | $240.66 | $126.60 | $114.06 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 27 | 09/27/11 | 10/02/12 | $433.83 | $227.88 | $205.95 |
| PRIVATEBANCORP INC | PVTB | 742962103 | 5 | 09/27/11 | 10/03/12 | $80.32 | $42 20 | $38 12 |
| INTEL CORP | INTC | 458140100 | 1400 | 01/26/11 | 10/10/12 | $30,524.08 | $30,494 10 | $29.98 |
| BALLY TECHNOLOGIES INC | BYI | 05874B107 | 67 | 09/27/11 | 10/10/12 | $3,133.31 | $1,950 90 | $1,182 91 |
| NCR CORP NEW | NCR | 62886E108 | 97 | 10/03/11 | 10/11/12 | $2,142.34 | $1,730.59 | $412.25 |
| DUKE ENERGY CORP | DUK | 26441C204 | 328 | 06/07/11 | 10/15/12 | $21,122.23 | $18,198.29 | $2,923.94 |
| CUMMINS ENGINE INC | CMI | 231021106 | 100 | 09/15/11 | 10/15/12 | $8,793.39 | $9,766.31 | $-972.42 |
| CUMMINS ENGINE INC | CMI | 231021106 | 93 | 07/26/11 | 10/15/12 | $8,178.31 | $10,360.63 | $-2,182.32 |
| CSX CORP | CSX | 126408103 | 1727 | 07/13/10 | 10/17/12 | $36,673.58 | $30,704.91 | $5,968.67 |
| APACHE CORP | APA | 037411105 | 100 | 05/06/09 | 10/24/12 | $8,255.49 | $8,129 00 | $126.49 |
| APACHE CORP | APA | 037411105 | 200 | 05/23/09 | 10/24/12 | $16,510 97 | $15,811.91 | $699.06 |
| SPIRIT AEROSYSTEMS HLDGS INC C | SPR | 848574109 | 49 | 09/27/11 | 10/25/12 | $726.63 | $818.30 | $-91.67 |
| ASPEN INSURANCE HOLDINGS LMTD | AHL | G05384105 | 22 | 09/27/11 | 10/25/12 | $723.54 | $507 10 | $216 44 |
| PSS WORLD MED INC | PSSI | 69366A100 | 77 | 09/27/11 | 10/25/12 | $2,206.07 | $1,634 84 | $571 23 |
| LENNOX INTL INC | LII | 526107107 | 33 | 09/27/11 | 11/01/12 | $1,698 17 | $998 06 | $700.11 |
| LENNOX INTL INC | LII | 526107107 | 15 | 09/27/11 | 11/02/12 | $771.27 | $453.66 | $317.61 |
| LENNOX INTL INC | LII | 526107107 | 15 | 09/27/11 | 11/02/12 | $771.67 | $453 66 | $318.01 |
| AGRIUM INC | AGU | 008916108 | 496 | 11/18/09 | 11/07/12 | $47,652.78 | $28,100 78 | $19,552.00 |

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| MOLYCORP INC DEL | MCP | 608753109 | 400 | 10/05/11 | 11/09/12 | $3,000.05 | $12,412.00 | $-9,411.95 |
| MOLYCORP INC DEL | MCP | 608753109 | 250 | 09/23/11 | 11/09/12 | $1,875.03 | $9,095.30 | $-7,220.27 |
| MOLYCORP INC DEL | MCP | 608753109 | 63 | 02/25/11 | 11/09/12 | $472.51 | $2,400.48 | $-1,927.97 |
| CLIFFS NAT RES INC | CLF | 18683K101 | 30 | 09/23/11 | 11/14/12 | $1,076.38 | $1,716.75 | $-640.37 |
| CLIFFS NAT RES INC | CLF | 18683K101 | 125 | 07/26/10 | 11/14/12 | $4,484.91 | $6,990.79 | $-2,505.88 |
| CLIFFS NAT RES INC | CLF | 18683K101 | 75 | 05/28/09 | 11/14/12 | $2,690.95 | $1,954.50 | $736.45 |
| CLIFFS NAT RES INC | CLF | 18683K101 | 200 | 08/17/09 | 11/14/12 | $7,175.86 | $5,212.00 | $1,963.86 |
| CLIFFS NAT RES INC | CLF | 18683K101 | 7 | 05/18/09 | 11/14/12 | $251.15 | $161.56 | $89.59 |
| DEERE & CO | DE | 244199105 | 120 | 09/13/10 | 11/14/12 | $10,287.37 | $8,371.38 | $1,915.99 |
| EATON CORP | ETN | 278058102 | 248 | 10/24/11 | 11/14/12 | $12,248.44 | $10,870.76 | $1,377.68 |
| NEW GOLD INC CDA | NGD | 644535106 | 1850 | 01/04/10 | 11/14/12 | $19,317.26 | $6,955.08 | $12,362.18 |
| NEW GOLD INC CDA | NGD | 644535106 | 448 | 12/15/09 | 11/14/12 | $4,677.91 | $1,621.67 | $3,056.24 |
| PFIZER INC | PFE | 717081103 | 281 | 12/21/09 | 11/14/12 | $6,721.88 | $5,248.72 | $1,473.16 |
| PFIZER INC | PFE | 717081103 | 300 | 08/10/11 | 11/14/12 | $7,176.38 | $5,381.91 | $1,794.47 |
| PFIZER INC | PFE | 717081103 | 1112 | 11/18/09 | 11/14/12 | $26,600.44 | $19,920.92 | $6,679.52 |
| TEVA PHARMACEUTICAL INDS LTD | TEVA | 881624209 | 200 | 07/20/09 | 11/14/12 | $7,808.72 | $9,893.52 | $-2,084.80 |
| TEVA PHARMACEUTICAL INDS LTD | TEVA | 881624209 | 200 | 02/27/08 | 11/14/12 | $7,808.72 | $9,643.53 | $-1,834.81 |
| TEVA PHARMACEUTICAL INDS LTD | TEVA | 881624209 | 50 | 05/06/09 | 11/14/12 | $1,952.18 | $2,219.10 | $-266.92 |
| BRISTOL MYERS SQUIBB COMPANY | BMY | 110122108 | 427 | 07/15/09 | 11/14/12 | $13,537.01 | $8,437.52 | $5,099.49 |
| APPLE COMPUTER INC | AAPL | 037833100 | 72 | 12/03/09 | 11/14/12 | $39,083.89 | $14,288.16 | $24,795.73 |
| ANADARKO PETROLEUM | APC | 032511107 | 275 | 09/03/10 | 11/14/12 | $19,477.84 | $14,142.92 | $5,334.92 |
| ANADARKO PETROLEUM | APC | 032511107 | 52 | 10/12/11 | 11/14/12 | $3,683.08 | $3,505.52 | $177.56 |
| ANADARKO PETROLEUM | APC | 032511107 | 105 | 09/01/10 | 11/14/12 | $7,436.99 | $5,069.67 | $2,367.32 |
| ALLIED NEV GOLD CORP | ANV | 019344100 | 175 | 06/07/10 | 11/14/12 | $5,939.21 | $3,426.92 | $2,512.29 |
| ALLIED NEV GOLD CORP | ANV | 019344100 | 53 | 06/08/10 | 11/14/12 | $1,798.73 | $1,049 96 | $748.77 |
| HEINZ H J CO | HNZ | 423074103 | 214 | 03/16/10 | 11/14/12 | $12,416.60 | $10,057 85 | $2,358.75 |
| FREEPORT-MCMORAN COPPER & GOL | FCX | 35671D857 | 264 | 04/05/11 | 11/14/12 | $10,077.84 | $12,700.61 | $-2,622.77 |
| SPIRIT AEROSYSTEMS HLDGS INC C | SPR | 848574109 | 23 | 09/27/11 | 11/19/12 | $336.41 | $384.10 | $-47 69 |

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| CLIFFS NAT RES INC | CLF | 18683K101 | 400 | 07/15/09 | 11/20/12 | $12,945 11 | $9,228 00 | $3,717.11 |
| CLIFFS NAT RES INC | CLF | 18683K101 | 93 | 05/18/09 | 11/20/12 | $3,009.74 | $2,146 44 | $863.30 |
| SPIRIT AEROSYSTEMS HLDGS INC C | SPR | 848574109 | 51 | 09/27/11 | 11/20/12 | $754.09 | $851.70 | $-97.61 |
| PSS WORLD MED INC | PSSI | 69366A100 | 40 | 09/27/11 | 11/20/12 | $1,138.99 | $849.26 | $289 73 |
| EATON CORP | ETN | 278058102 | 452 | 10/24/11 | 11/30/12 | $23,461.06 | $19,812 83 | $3,648.23 |
| STRATASYS INC | SSYS | 862685104 | 141 | 03/30/09 | 12/03/12 | $10,294 41 | $1,085 74 | $9,208.67 |
| ABBOTT LABS NOTES | ABT 16 | 002824A17 | 300000 | 12/07/11 | 12/10/12 | $354,282.00 | $351,951.00 | $2,331.00 |
| TEVA PHARMACEUTICAL INDS LTD | TEVA | 881624209 | 132 | 11/03/11 | 12/10/12 | $5,628.16 | $5,386 43 | $241.73 |
| CRANE CO | CR | 224399105 | 9 | 09/27/11 | 12/17/12 | $399 33 | $345.06 | $54.27 |
| CASS INFORMATION SYS INC | CASS | 14808P109 | 0 7 | 03/30/09 | 12/21/12 | $28.30 | $18 18 | $10.12 |
| **Total long term gain/loss from sales:** | | | | | | $4,143,791.84 | $3,214,975.31 | $928,816.53 |

## Long term transactions with loss disallowed due to wash sale

This category includes sales of assets held more than 12 months

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Portion subject to 988 | Net gain or loss | Wash sale loss disallowed |
|---|---|---|---|---|---|---|---|---|---|---|
| AT&T INC | T | 00206R102 | 25 | 09/01/06 | 02/01/12 | $743.62 | $814.92 | $0.00 | $-71 30 | $71.30 |
| AT&T INC | T | 00206R102 | 25 | 08/04/06 | 02/09/12 | $749 31 | $835.76 | $0.00 | $-86 45 | $86.45 |
| FREEPORT-MCMORAN COPPER & GOL | FCX | 35671D857 | 228 | 04/05/11 | 11/14/12 | $8,703 59 | $10,968.71 | $0.00 | $-2,265.12 | $2,265.12 |

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**2012 Tax Information Letter**

**Account Number 020020038976**

## Long term transactions with loss disallowed due to wash sale

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Portion subject to 988 | Net gain or loss | Wash sale loss disallowed |
|---|---|---|---|---|---|---|---|---|---|---|
| NORFOLK SOUTHERN CORP | NSC | 655844108 | 324 | 11/19/10 | 11/14/12 | $18,870.72 | $19,818.43 | | $-947.71 | |
| | | | | | | | | $0.00 | | $947.71 |
| **Total long term gain/loss from sales:** | | | | | | $29,067.24 | $32,437.82 | | $-3,370.58 | |
| **Total long term loss disallowed from wash sales:** | | | | | | | | | | $3,370.58 |
| **Total long term Section 988 translation gain/loss from securities subject to wash sale:** | | | | | | | | $0.00 | | |

## Long Term Transactions with Section 988 translation gain or loss

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Portion subject to 988 | Net gain or loss |
|---|---|---|---|---|---|---|---|---|---|
| AUSTRALIAN GOVT | | B3BXVY9#8 | 150000 | 07/16/10 | 02/08/12 | $171,343.77 | $137,971.12 | | $33,372.65 |
| | | | | | | | | $30,822.26 | |
| **Total long term gain/loss from transactions with Section 988 translation gain/loss:** | | | | | | $171,343.77 | $137,971.12 | | $33,372.65 |
| **Total long term Section 988 translation gain/loss:** | | | | | | | | $30,822.26 | |

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**2012 Tax Information Letter**
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## Other Tax Information for 2012

The following summaries of activity within your account may help you when preparing your tax return. The detail for these amounts is shown later in this tax information letter.

### Summary of Tax-Exempt Income

We have shown South Carolina separately below because we have on our records that South Carolina was your residence as of December 31, 2012.

| Description | Interest from state bonds | Income from factored mutual funds | Income from other mutual funds | Amortization/OID | Net Total |
|---|---|---|---|---|---|
| Tax-Exempt for South Carolina residents | $0.00 | $0.00 | Contact the mutual fund directly to determine the state taxability of distributions from these funds | $0.00 | $0.00 |
| Taxable for South Carolina residents | $0.00 | $0.00 | | $0.00 | $0.00 |
| **Total** | $0.00 | $0.00 | $0.00 | $0.00 | $0.00 |
| **Total Tax-Exempt Income** | | $0.00 | | | |
| **Portion Subject to Alternative Minimum Tax** | | $0.00 | | | |
| **Federal Tax Withheld on Tax-Exempt Income** | | $0.00 | | | |

### Other Receipts

| Description | Amount |
|---|---|
| Miscellaneous receipts | $19,167.79 |

### Summary of Payments

**Account Management Fees Paid in 2012**

| Description | Amount | Description | Amount |
|---|---|---|---|
| Total allocable fees | $68,553.30 | Deductible portion | $68,553.30 |

**Other Payments in 2012**

| Description | Amount | Description | Amount |
|---|---|---|---|
| Tax preparation and related fees | $0.00 | U.S. taxes | $0.00 |
| Miscellaneous expense | $11,718.66 | State and local taxes | $0.00 |
| Interest or loans paid | $0.00 | Other investment expenses | $60.04 |

## Summary of Foreign Investments

Some of the foreign taxes that were withheld from your account may exceed the amounts that were required to be withheld pursuant to certain U.S. tax treaties with foreign countries. Please consult your tax advisor to determine the proper amount eligible for deduction or credit.

| Country | Foreign Dividends | | Foreign interest | Total foreign income | Foreign tax paid (refund) |
|---|---|---|---|---|---|
| | Qualified | Nonqualified | | | |
| Australia | $0.00 | $0.00 | $8,475.51 | $8,475.51 | $0.00 |
| Bermuda | $123.08 | $0.00 | $0.00 | $123.08 | $0.00 |
| Brazil | $0.00 | $0.00 | $16,320.15 | $16,320.15 | $0.00 |
| Canada | $9,233.85 | $43.89 | $0.00 | $9,277.74 | $1,391.64 |
| Cayman Islands | $36.70 | $0.00 | $0.00 | $36.70 | $0.00 |
| Israel | $1,909.10 | $0.00 | $0.00 | $1,909.10 | $415.42 |
| New Zealand | $0.00 | $0.00 | $17,962.07 | $17,962.07 | $2,800.47 |
| Norway | $0.00 | $0.00 | $3,701.27 | $3,701.27 | $0.00 |
| Other | $29,113.33 | $14,458.61 | $0.00 | $43,571.94 | $4,593.65 |
| Singapore | $1,996.80 | $0.00 | $5,115.92 | $7,112.72 | $0.00 |
| Switzerland | $1,437.16 | $0.00 | $0.00 | $1,437.16 | $215.57 |
| United Kingdom | $1,271.12 | $0.00 | $0.00 | $1,271.12 | $0.00 |
| **Total** | **$45,121.14** | **$14,502.50** | **$51,574.92** | **$111,198.56** | **$9,416.75** |

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**2012 Tax Information Letter**

**Account Number 020020038976**

## Detail for Income

The following provides specific details about how we derived the amounts that we reported as income.

### Ordinary Dividends

Generally, the stock for an otherwise qualified dividend must be held for more than 60 days during the 121 day period that begins 60 days before the ex-dividend date in order for the dividend to qualify for the reduced tax rate applicable to qualified dividends. This holding period is increased to 91 days during the 181 day period beginning 90 days before the ex-date in the case of certain preferred stock dividends. In either case, the holding period does not include days on which the taxpayer has a diminished risk of loss such as through puts, calls, or short sales. Where practical, we have applied these holding period rules to determine whether any of your otherwise qualified dividends were converted to nonqualified dividends. In certain cases, this will result in a negative amount appearing in the qualified dividend detail and a corresponding positive amount appearing in the non-qualified dividend detail. If applicable, this reclassification is shown below with an asterisk. These rules are complex and should be reviewed with your tax advisor.

### Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/28/2012 | ABM INDS INC | 117 | $17.55 |
| **Total** | | | **$17.55** |
| 02/01/2012 | AT&T INC | 1,507 | $663 09 |
| 05/01/2012 | AT&T INC | 732 | $322.08 |
| 08/01/2012 | AT&T INC | 855 | $376.20 |
| 11/01/2012 | AT&T INC | 855 | $376 20 |
| **Total** | | | **$1,737.57** |
| 12/28/2012 | ABAXIS INC | 215 | $215.00 |
| **Total** | | | **$215.00** |
| 02/15/2012 | ABBOTT LABS | 1,540 | $739 20 |
| 05/15/2012 | ABBOTT LABS | 1,991 | $1,015.41 |
| 08/15/2012 | ABBOTT LABS | 1,070 | $545 70 |
| 11/15/2012 | ABBOTT LABS | 824 | $420.24 |
| **Total** | | | **$2,720.55** |
| 03/28/2012 | ALLEGHENY TECHNOLOGIES INC | 1,046 | $188.28 |
| **Total** | | | **$188.28** |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 01/10/2012 | ALTRIA GROUP INC | 669 | $274.29 |
| 04/10/2012 | ALTRIA GROUP INC | 1,101 | $451.41 |
| 07/10/2012 | ALTRIA GROUP INC | 1,236 | $506.78 |
| 10/10/2012 | ALTRIA GROUP INC | 1,236 | $543 84 |
| **Total** | | | **$1,776.32** |
| 12/17/2012 | AMERICAN EQUITY INVT LIFE HLDG CO | 196 | $29.40 |
| **Total** | | | **$29.40** |
| 02/10/2012 | AMERICAN EXPRESS CO | 355 | $63.90 |
| **Total** | | | **$63.90** |
| 03/28/2012 | ANADARKO PETROLEUM | 1,100 | $99.00 |
| 06/27/2012 | ANADARKO PETROLEUM | 1,100 | $99.00 |
| 09/27/2012 | ANADARKO PETROLEUM | 902 | $81.18 |
| 12/26/2012 | ANADARKO PETROLEUM | 470 | $42.30 |
| **Total** | | | **$321.48** |
| 06/12/2012 | ANALOG DEVICES INC | 1,182 | $354.60 |
| 09/12/2012 | ANALOG DEVICES INC | 1,107 | $332.10 |
| 12/18/2012 | ANALOG DEVICES INC | 1,107 | $332.10 |
| **Total** | | | **$1,018.80** |
| 02/22/2012 | APACHE CORP | 300 | $45.00 |
| 05/22/2012 | APACHE CORP | 402 | $68.34 |
| 08/22/2012 | APACHE CORP | 402 | $68.34 |
| 11/21/2012 | APACHE CORP | 402 | $68.34 |
| **Total** | | | **$250.02** |
| 08/16/2012 | APPLE COMPUTER INC | 653 | $1,730 45 |
| 11/15/2012 | APPLE COMPUTER INC | 353 | $935.45 |
| **Total** | | | **$2,665.90** |
| 03/15/2012 | ASHLAND INC NEW | 839 | $146 83 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 06/15/2012 | ASHLAND INC NEW | 839 | $188 78 |
| 09/17/2012 | ASHLAND INC NEW | 839 | $188.78 |
| 12/17/2012 | ASHLAND INC NEW | 437 | $98.33 |
| **Total** | | | **$622.72** |
| 01/03/2012 | AUTOMATIC DATA PROCESSING INC | 369 | $145 76 |
| 04/02/2012 | AUTOMATIC DATA PROCESSING INC | 533 | $210 54 |
| 07/02/2012 | AUTOMATIC DATA PROCESSING INC | 623 | $246 09 |
| 10/01/2012 | AUTOMATIC DATA PROCESSING INC | 623 | $246.09 |
| **Total** | | | **$848.48** |
| 10/01/2012 | BAXTER INTERNATIONAL INC | 506 | $227.70 |
| **Total** | | | **$227.70** |
| 03/29/2012 | BERRY PETROLEUM CO CL A | 56 | $4.48 |
| 06/29/2012 | BERRY PETROLEUM CO CL A | 75 | $6.00 |
| 09/28/2012 | BERRY PETROLEUM CO CL A | 75 | $6.00 |
| **Total** | | | **$16.48** |
| 03/23/2012 | BLACKROCK INC CL A | 101 | $151.50 |
| 06/25/2012 | BLACKROCK INC CL A | 118 | $177.00 |
| 09/24/2012 | BLACKROCK INC CL A | 202 | $303 00 |
| 12/24/2012 | BLACKROCK INC CL A | 202 | $303 00 |
| **Total** | | | **$934.50** |
| 06/01/2012 | BRINKS CO | 104 | $10.40 |
| 09/04/2012 | BRINKS CO | 104 | $10 40 |
| 12/03/2012 | BRINKS CO | 82 | $8.20 |
| **Total** | | | **$29.00** |
| 02/01/2012 | BRISTOL MYERS SQUIBB COMPANY | 1,700 | $578 00 |
| 05/01/2012 | BRISTOL MYERS SQUIBB COMPANY | 2,209 | $751 06 |
| 08/01/2012 | BRISTOL MYERS SQUIBB COMPANY | 2,432 | $826 88 |

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# 2012 Tax Information Letter

**Account Number 020020038976**

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 11/01/2012 | BRISTOL MYERS SQUIBB COMPANY | 2,432 | $826.88 |
| **Total** | | | **$2,982.82** |
| 07/02/2012 | CBS CORP NEW CL B | 1,816 | $181.60 |
| 10/01/2012 | CBS CORP NEW CL B | 1,816 | $217.92 |
| **Total** | | | **$399.52** |
| 03/15/2012 | CSX CORP | 1,727 | $207.24 |
| 06/15/2012 | CSX CORP | 1,727 | $241.78 |
| 09/14/2012 | CSX CORP | 1,727 | $241.78 |
| **Total** | | | **$690.80** |
| 12/14/2012 | CABOT CORP | 82 | $16.40 |
| **Total** | | | **$16.40** |
| 03/01/2012 | CABOT MICROELECTRONICS CORP | 169 | $2,535.00 |
| **Total** | | | **$2,535.00** |
| 03/15/2012 | CASS INFORMATION SYS INC | 154 | $26.18 |
| 06/15/2012 | CASS INFORMATION SYS INC | 137 | $23.29 |
| 09/14/2012 | CASS INFORMATION SYS INC | 137 | $23.29 |
| 12/14/2012 | CASS INFORMATION SYS INC | 151 | $27 13 |
| **Total** | | | **$99.89** |
| 02/21/2012 | CATERPILLAR INC | 323 | $148.58 |
| 05/21/2012 | CATERPILLAR INC | 323 | $148.58 |
| **Total** | | | **$297.16** |
| 03/12/2012 | CATHAY BANCORP INC | 205 | $2.05 |
| 06/12/2012 | CATHAY BANCORP INC | 169 | $1 69 |
| 09/14/2012 | CATHAY BANCORP INC | 169 | $1.69 |
| 12/13/2012 | CATHAY BANCORP INC | 169 | $1.69 |
| **Total** | | | **$7.12** |
| 08/21/2012 | CHEESECAKE FACTORY INC | 288 | $34 56 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 11/20/2012 | CHEESECAKE FACTORY INC | 288 | $34.56 |
| **Total** | | | **$69.12** |
| 03/19/2012 | CHEMED CORP NEW | 203 | $32.48 |
| 06/20/2012 | CHEMED CORP NEW | 203 | $32.48 |
| 09/04/2012 | CHEMED CORP NEW | 203 | $36 54 |
| 12/10/2012 | CHEMED CORP NEW | 203 | $36.54 |
| **Total** | | | **$138.04** |
| 06/11/2012 | CHEVRONTEXACO CORP | 256 | $230.40 |
| 09/10/2012 | CHEVRONTEXACO CORP | 303 | $272 70 |
| 12/10/2012 | CHEVRONTEXACO CORP | 303 | $272 70 |
| **Total** | | | **$775.80** |
| 01/25/2012 | CISCO SYSTEM INC | 1,100 | $66.00 |
| 04/25/2012 | CISCO SYSTEM INC | 2,763 | $221.04 |
| 07/25/2012 | CISCO SYSTEM INC | 2,763 | $221.04 |
| **Total** | | | **$508.08** |
| 05/25/2012 | CITIGROUP INC | 1,394 | $13.94 |
| 08/24/2012 | CITIGROUP INC | 1,781 | $17.81 |
| 11/21/2012 | CITIGROUP INC | 2,218 | $22.18 |
| **Total** | | | **$53.93** |
| 03/01/2012 | CLIFFS NAT RES INC | 1,100 | $308.00 |
| 06/01/2012 | CLIFFS NAT RES INC | 1,100 | $687 50 |
| 08/31/2012 | CLIFFS NAT RES INC | 1,100 | $687 50 |
| 12/03/2012 | CLIFFS NAT RES INC | 493 | $308.13 |
| **Total** | | | **$1,991.13** |
| 04/02/2012 | COCA COLA | 397 | $202.47 |
| 07/02/2012 | COCA COLA | 461 | $235 11 |
| 10/01/2012 | COCA COLA | 1,130 | $288.15 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/17/2012 | COCA COLA | 1,130 | $288.15 |
| **Total** | | | **$1,013.88** |
| 03/01/2012 | CONOCOPHILLIPS | 699 | $461.34 |
| 06/01/2012 | CONOCOPHILLIPS | 813 | $536.58 |
| 09/04/2012 | CONOCOPHILLIPS | 813 | $536.58 |
| 12/03/2012 | CONOCOPHILLIPS | 813 | $536.58 |
| **Total** | | | **$2,071.08** |
| 03/09/2012 | CRANE CO | 66 | $17.16 |
| 06/08/2012 | CRANE CO | 66 | $17.16 |
| 09/11/2012 | CRANE CO | 78 | $21.84 |
| 12/10/2012 | CRANE CO | 78 | $21.84 |
| **Total** | | | **$78.00** |
| 03/01/2012 | CUMMINS ENGINE INC | 285 | $114 00 |
| 06/01/2012 | CUMMINS ENGINE INC | 285 | $114.00 |
| 09/04/2012 | CUMMINS ENGINE INC | 285 | $142.50 |
| **Total** | | | **$370.50** |
| 04/13/2012 | CURTISS WRIGHT | 89 | $7.12 |
| 07/13/2012 | CURTISS WRIGHT | 89 | $8.01 |
| **Total** | | | **$15.13** |
| 04/10/2012 | DST SYS INC DE | 55 | $22.00 |
| 11/08/2012 | DST SYS INC DE | 52 | $20 80 |
| **Total** | | | **$42.80** |
| 05/01/2012 | DARDEN RESTAURANTS INC | 623 | $267.89 |
| 08/01/2012 | DARDEN RESTAURANTS INC | 724 | $362.00 |
| 11/01/2012 | DARDEN RESTAURANTS INC | 942 | $471 00 |
| **Total** | | | **$1,100.89** |
| 02/01/2012 | DEERE & CO | 275 | $112.75 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 05/01/2012 | DEERE & CO | 248 | $114.08 |
| 08/01/2012 | DEERE & CO | 248 | $114.08 |
| 11/01/2012 | DEERE & CO | 248 | $114.08 |
| **Total** | | | **$454.99** |
| 03/30/2012 | DEVON ENERGY CORPORATION NEW | 717 | $143.40 |
| 06/29/2012 | DEVON ENERGY CORPORATION NEW | 717 | $143.40 |
| 09/28/2012 | DEVON ENERGY CORPORATION NEW | 717 | $143.40 |
| 12/31/2012 | DEVON ENERGY CORPORATION NEW | 375 | $75.00 |
| **Total** | | | **$505.20** |
| 03/05/2012 | DIEBOLD INC | 88 | $25.08 |
| **Total** | | | **$25.08** |
| 12/28/2012 | DISH NETWORK CORP CL A | 519 | $519.00 |
| **Total** | | | **$519.00** |
| 03/16/2012 | DUKE ENERGY HLDG CORP | 2,265 | $566.25 |
| 06/18/2012 | DUKE ENERGY HLDG CORP | 984 | $246.00 |
| **Total** | | | **$812.25** |
| 09/17/2012 | DUKE ENERGY CORP | 328 | $250.92 |
| **Total** | | | **$250.92** |
| 02/24/2012 | EATON CORP | 1,285 | $488.29 |
| 05/25/2012 | EATON CORP | 1,285 | $488.30 |
| 08/24/2012 | EATON CORP | 1,285 | $488.30 |
| 10/19/2012 | EATON CORP | 1,285 | $488.30 |
| **Total** | | | **$1,953.19** |
| 02/29/2012 | EBIX COM INC NEW | 308 | $12.32 |
| 05/31/2012 | EBIX COM INC NEW | 308 | $15.40 |
| 08/31/2012 | EBIX COM INC NEW | 308 | $15.40 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 11/30/2012 | EBIX COM INC NEW | 308 | $15.40 |
| **Total** | | | **$58.52** |
| 03/09/2012 | EMERSON ELECTRIC CO | 774 | $309.60 |
| 06/11/2012 | EMERSON ELECTRIC CO | 774 | $309.60 |
| 09/10/2012 | EMERSON ELECTRIC CO | 909 | $363.60 |
| 12/10/2012 | EMERSON ELECTRIC CO | 909 | $372.69 |
| **Total** | | | **$1,355.49** |
| 03/21/2012 | FORRESTER RESH INC | 177 | $24.78 |
| 06/20/2012 | FORRESTER RESH INC | 88 | $12.32 |
| 09/19/2012 | FORRESTER RESH INC | 88 | $12.32 |
| 12/19/2012 | FORRESTER RESH INC | 88 | $12.32 |
| **Total** | | | **$61.74** |
| 03/23/2012 | FORWARD AIR CORP | 145 | $10.15 |
| 06/07/2012 | FORWARD AIR CORP | 145 | $10.15 |
| 09/07/2012 | FORWARD AIR CORP | 145 | $14.50 |
| 12/10/2012 | FORWARD AIR CORP | 145 | $14.50 |
| **Total** | | | **$49.30** |
| 02/01/2012 | FREEPORT-MCMORAN COPPER & GOLD CL B | 1,100 | $275.00 |
| 05/01/2012 | FREEPORT-MCMORAN COPPER & GOLD CL B | 1,100 | $343.75 |
| 08/01/2012 | FREEPORT-MCMORAN COPPER & GOLD CL B | 1,100 | $343.75 |
| 11/01/2012 | FREEPORT-MCMORAN COPPER & GOLD CL B | 1,100 | $343.75 |
| **Total** | | | **$1,306.25** |
| 01/03/2012 | GATX CORP | 76 | $22.04 |
| 04/02/2012 | GATX CORP | 69 | $20.70 |
| 07/02/2012 | GATX CORP | 76 | $22.80 |
| 10/01/2012 | GATX CORP | 69 | $20.70 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/31/2012 | GATX CORP | 69 | $20.70 |
| **Total** | | | **$106.94** |
| 01/13/2012 | GALLAGHER ARTHUR J & CO | 20 | $6.60 |
| 10/15/2012 | GALLAGHER ARTHUR J & CO | 802 | $272.68 |
| 12/20/2012 | GALLAGHER ARTHUR J & CO | 802 | $272.68 |
| **Total** | | | **$551.96** |
| 01/25/2012 | GENERAL ELECTRIC CO | 1,120 | $190.39 |
| 04/25/2012 | GENERAL ELECTRIC CO | 1,120 | $190.40 |
| 07/25/2012 | GENERAL ELECTRIC CO | 1,939 | $329.63 |
| 10/25/2012 | GENERAL ELECTRIC CO | 3,841 | $652.97 |
| **Total** | | | **$1,363.39** |
| 02/01/2012 | GENERAL MILLS INC | 1,100 | $335.50 |
| 05/01/2012 | GENERAL MILLS INC | 1,100 | $335 50 |
| 08/01/2012 | GENERAL MILLS INC | 1,100 | $363.00 |
| **Total** | | | **$1,034.00** |
| 01/20/2012 | GENTEX CORP | 541 | $64.92 |
| 04/20/2012 | GENTEX CORP | 336 | $43.68 |
| 07/20/2012 | GENTEX CORP | 336 | $43 68 |
| 10/19/2012 | GENTEX CORP | 336 | $43.68 |
| **Total** | | | **$195.96** |
| 04/02/2012 | GENUINE PARTS CO | 465 | $230.18 |
| 07/02/2012 | GENUINE PARTS CO | 545 | $269 78 |
| 10/01/2012 | GENUINE PARTS CO | 545 | $269.78 |
| **Total** | | | **$769.74** |
| 04/13/2012 | GUESS INC | 96 | $19.20 |
| **Total** | | | **$19.20** |
| 03/30/2012 | HANOVER INS GROUP INC | 81 | $24 30 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 06/29/2012 | HANOVER INS GROUP INC | 81 | $24.30 |
| 09/28/2012 | HANOVER INS GROUP INC | 82 | $24.60 |
| 12/28/2012 | HANOVER INS GROUP INC | 82 | $27.06 |
| **Total** | | | **$100.26** |
| 03/05/2012 | HARMAN INTL INDS INC NEW | 67 | $5.03 |
| 05/24/2012 | HARMAN INTL INDS INC NEW | 67 | $5.03 |
| 09/04/2012 | HARMAN INTL INDS INC NEW | 64 | $9.60 |
| 11/28/2012 | HARMAN INTL INDS INC NEW | 64 | $9.60 |
| **Total** | | | **$29.26** |
| 05/15/2012 | HARSCO CORP | 139 | $28.50 |
| 08/15/2012 | HARSCO CORP | 139 | $28.50 |
| 11/15/2012 | HARSCO CORP | 139 | $28.50 |
| **Total** | | | **$85.50** |
| 03/16/2012 | HEALTHCARE SERVICES GROUP INC | 950 | $153.19 |
| 05/18/2012 | HEALTHCARE SERVICES GROUP INC | 950 | $154.38 |
| **Total** | | | **$307.57** |
| 01/10/2012 | HEINZ H J CO | 934 | $448.32 |
| 04/10/2012 | HEINZ H J CO | 1,535 | $736.80 |
| 07/10/2012 | HEINZ H J CO | 1,677 | $863.66 |
| 10/10/2012 | HEINZ H J CO | 1,677 | $863.66 |
| 12/26/2012 | HEINZ H J CO | 1,493 | $768.90 |
| **Total** | | | **$3,681.34** |
| 03/30/2012 | HESS CORP | 865 | $86.50 |
| 06/29/2012 | HESS CORP | 865 | $86.50 |
| 09/28/2012 | HESS CORP | 1,269 | $126.90 |
| 12/31/2012 | HESS CORP | 670 | $67.00 |
| **Total** | | | **$366.90** |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 01/03/2012 | ITT CORP | 2,050 | $186 55 |
| 04/02/2012 | ITT CORP | 2,540 | $231.14 |
| 07/02/2012 | ITT CORP | 2,540 | $231 14 |
| **Total** | | | **$648.83** |
| 03/01/2012 | INTEL CORP | 8,636 | $1,813.56 |
| 06/01/2012 | INTEL CORP | 11,203 | $2,352.63 |
| 09/04/2012 | INTEL CORP | 11,079 | $2,492.78 |
| 12/03/2012 | INTEL CORP | 2,405 | $541.13 |
| **Total** | | | **$7,200.10** |
| 05/25/2012 | INTERSIL HLDG CORP CL A | 275 | $33.00 |
| **Total** | | | **$33.00** |
| 12/27/2012 | INTREPID POTASH INC | 130 | $97.50 |
| **Total** | | | **$97.50** |
| 01/31/2012 | JP MORGAN CHASE & CO | 2 | $0.50 |
| 07/31/2012 | JP MORGAN CHASE & CO | 514 | $154.20 |
| 10/31/2012 | JP MORGAN CHASE & CO | 1,766 | $529.80 |
| **Total** | | | **$684.50** |
| 03/01/2012 | JPMORGAN CHASE & CO | 2,000 | $1,078 13 |
| 06/01/2012 | JPMORGAN CHASE & CO | 2,915 | $1,571 37 |
| 09/04/2012 | JPMORGAN CHASE & CO | 2,915 | $1,571 37 |
| **Total** | | | **$4,220.87** |
| 09/11/2012 | JOHNSON AND JOHNSON | 667 | $406 87 |
| 12/11/2012 | JOHNSON AND JOHNSON | 667 | $406.87 |
| **Total** | | | **$813.74** |
| 01/04/2012 | KIMBERLY CLARK CORP | 9 | $6 30 |
| 04/03/2012 | KIMBERLY CLARK CORP | 546 | $404.04 |
| 07/03/2012 | KIMBERLY CLARK CORP | 616 | $455.84 |

U.S. TRUST

Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**

**Account Number 020020038976**

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 10/02/2012 | KIMBERLY CLARK CORP | 616 | $455.84 |
| **Total** | | | **$1,322.02** |
| 02/15/2012 | KINDER MORGAN INC DEL | 1,927 | $597.37 |
| 05/16/2012 | KINDER MORGAN INC DEL | 2,751 | $880.32 |
| 08/15/2012 | KINDER MORGAN INC DEL | 3,329 | $1,165.15 |
| 11/15/2012 | KINDER MORGAN INC DEL | 3,329 | $1,198.44 |
| **Total** | | | **$3,841.28** |
| 01/13/2012 | LENNOX INTL INC | 85 | $15.30 |
| 04/16/2012 | LENNOX INTL INC | 85 | $15.30 |
| 07/16/2012 | LENNOX INTL INC | 72 | $12.96 |
| 10/15/2012 | LENNOX INTL INC | 63 | $12.60 |
| **Total** | | | **$56.16** |
| 03/09/2012 | LUFKIN INDS INC | 37 | $4.63 |
| 06/08/2012 | LUFKIN INDS INC | 37 | $4.63 |
| 09/10/2012 | LUFKIN INDS INC | 50 | $6.25 |
| 12/11/2012 | LUFKIN INDS INC | 58 | $7.25 |
| **Total** | | | **$22.76** |
| 03/09/2012 | MATTEL INC | 1,080 | $334.80 |
| 06/15/2012 | MATTEL INC | 1,080 | $334 80 |
| 09/21/2012 | MATTEL INC | 1,275 | $395.25 |
| 12/14/2012 | MATTEL INC | 1,275 | $395 25 |
| **Total** | | | **$1,460.10** |
| 02/29/2012 | MAXIMUS INC | 233 | $20.97 |
| 05/31/2012 | MAXIMUS INC | 233 | $20.97 |
| 08/31/2012 | MAXIMUS INC | 233 | $20.97 |
| 11/30/2012 | MAXIMUS INC | 233 | $20.97 |
| **Total** | | | **$83.88** |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 03/15/2012 | MCDONALDS CORP | 740 | $513 00 |
| 06/15/2012 | MCDONALDS CORP | 853 | $597.10 |
| 09/18/2012 | MCDONALDS CORP | 853 | $597.10 |
| 12/17/2012 | MCDONALDS CORP | 853 | $656.81 |
| **Total** | | | **$2,369.01** |
| 04/06/2012 | MERCK & CO INC | 400 | $168.00 |
| 07/09/2012 | MERCK & CO INC | 466 | $195 72 |
| 10/05/2012 | MERCK & CO INC | 466 | $195 72 |
| **Total** | | | **$559.44** |
| 03/08/2012 | MICROSOFT CORP | 750 | $150.00 |
| 06/14/2012 | MICROSOFT CORP | 750 | $150 00 |
| 09/13/2012 | MICROSOFT CORP | 750 | $150.00 |
| **Total** | | | **$450.00** |
| 03/05/2012 | NATIONAL INSTRS CORP | 483 | $67 62 |
| 05/25/2012 | NATIONAL INSTRS CORP | 483 | $67.62 |
| 08/31/2012 | NATIONAL INSTRS CORP | 476 | $66.64 |
| 12/03/2012 | NATIONAL INSTRS CORP | 476 | $66 64 |
| **Total** | | | **$268.52** |
| 05/15/2012 | NATIONAL RETAIL PPTYS INC | 1,504 | $2.48 |
| 08/15/2012 | NATIONAL RETAIL PPTYS INC | 1,750 | $2.96 |
| 11/15/2012 | NATIONAL RETAIL PPTYS INC | 1,750 | $2 96 |
| **Total** | | | **$8.40** |
| 03/29/2012 | NEWMONT MINING CORP | 776 | $271 60 |
| 12/28/2012 | NEWMONT MINING CORP | 294 | $102 90 |
| **Total** | | | **$374.50** |
| 03/15/2012 | NEXTERA ENERGY INC | 422 | $253 20 |
| 06/15/2012 | NEXTERA ENERGY INC | 422 | $253 19 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 09/17/2012 | NEXTERA ENERGY INC | 266 | $159.60 |
| 12/17/2012 | NEXTERA ENERGY INC | 266 | $159 60 |
| **Total** | | | **$825.59** |
| 03/12/2012 | NORFOLK SOUTHERN CORP | 700 | $329.00 |
| 06/11/2012 | NORFOLK SOUTHERN CORP | 670 | $314.90 |
| 09/10/2012 | NORFOLK SOUTHERN CORP | 670 | $335.00 |
| 12/10/2012 | NORFOLK SOUTHERN CORP | 670 | $335.00 |
| **Total** | | | **$1,313.90** |
| 03/12/2012 | NORTHROP GRUMMAN CORP | 775 | $387.50 |
| 06/13/2012 | NORTHROP GRUMMAN CORP | 775 | $426.25 |
| 09/12/2012 | NORTHROP GRUMMAN CORP | 775 | $426.25 |
| **Total** | | | **$1,240.00** |
| 01/17/2012 | OCCIDENTAL PETROLEUM CORP | 221 | $101.66 |
| **Total** | | | **$101.66** |
| 05/15/2012 | ONEOK INC NEW | 532 | $324.52 |
| 08/15/2012 | ONEOK INC NEW | 1,256 | $414.48 |
| 11/14/2012 | ONEOK INC NEW | 1,256 | $414.48 |
| **Total** | | | **$1,153.48** |
| 02/06/2012 | PNC BANK CORP | 158 | $55.30 |
| **Total** | | | **$55.30** |
| 03/01/2012 | PEABODY ENERGY CORP | 1,382 | $117.47 |
| 06/05/2012 | PEABODY ENERGY CORP | 513 | $43 61 |
| **Total** | | | **$161.08** |
| 04/16/2012 | PEGASYSTEMS INC | 156 | $4.68 |
| 07/16/2012 | PEGASYSTEMS INC | 156 | $4 68 |
| 10/15/2012 | PEGASYSTEMS INC | 156 | $4.68 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/17/2012 | PEGASYSTEMS INC | 156 | $4.68 |
| **Total** | | | **$18.72** |
| 03/06/2012 | PFIZER INC | 5,219 | $1,148.16 |
| 06/05/2012 | PFIZER INC | 3,571 | $785.62 |
| 09/05/2012 | PFIZER INC | 3,571 | $785.62 |
| 12/04/2012 | PFIZER INC | 3,571 | $785.62 |
| **Total** | | | **$3,505.02** |
| 01/10/2012 | PHILIP MORRIS INTL INC | 733 | $564.41 |
| 04/12/2012 | PHILIP MORRIS INTL INC | 712 | $548.24 |
| 07/12/2012 | PHILIP MORRIS INTL INC | 712 | $548.27 |
| 10/11/2012 | PHILIP MORRIS INTL INC | 665 | $565.25 |
| **Total** | | | **$2,226.17** |
| 09/04/2012 | PHILLIPS 66 | 406 | $81.20 |
| 12/03/2012 | PHILLIPS 66 | 1,710 | $427.50 |
| **Total** | | | **$508.70** |
| 03/30/2012 | POWER INTEGRATIONS INC | 200 | $10.00 |
| 06/29/2012 | POWER INTEGRATIONS INC | 200 | $10.00 |
| 09/28/2012 | POWER INTEGRATIONS INC | 200 | $10 00 |
| 12/31/2012 | POWER INTEGRATIONS INC | 200 | $10.00 |
| **Total** | | | **$40.00** |
| 03/15/2012 | PRAXAIR INC | 310 | $170 50 |
| 06/15/2012 | PRAXAIR INC | 362 | $199 10 |
| 09/17/2012 | PRAXAIR INC | 362 | $199 10 |
| 12/17/2012 | PRAXAIR INC | 362 | $199 10 |
| **Total** | | | **$767.80** |
| 05/15/2012 | PROCTER & GAMBLE CO | 566 | $318 09 |
| 08/15/2012 | PROCTER & GAMBLE CO | 666 | $374 29 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 11/15/2012 | PROCTER & GAMBLE CO | 666 | $374.29 |
| **Total** | | | **$1,066.67** |
| 03/30/2012 | PRIVATEBANCORP INC | 220 | $2.20 |
| 06/29/2012 | PRIVATEBANCORP INC | 163 | $1.63 |
| 09/28/2012 | PRIVATEBANCORP INC | 148 | $1.48 |
| **Total** | | | **$5.31** |
| 03/23/2012 | QUALCOMM INC | 2,750 | $591.25 |
| 06/20/2012 | QUALCOMM INC | 3,227 | $806.75 |
| 09/26/2012 | QUALCOMM INC | 2,800 | $700.00 |
| 12/21/2012 | QUALCOMM INC | 330 | $82.50 |
| **Total** | | | **$2,180.50** |
| 01/05/2012 | QUALITY SYS INC | 214 | $37.45 |
| 04/05/2012 | QUALITY SYS INC | 129 | $22.58 |
| 12/28/2012 | QUALITY SYS INC | 124 | $21.70 |
| **Total** | | | **$81.73** |
| 01/31/2012 | RPM INC OHIO | 518 | $111.37 |
| 04/30/2012 | RPM INC OHIO | 1,769 | $380.34 |
| 07/31/2012 | RPM INC OHIO | 2,089 | $449.14 |
| 10/31/2012 | RPM INC OHIO | 1,014 | $228.15 |
| 12/28/2012 | RPM INC OHIO | 1,014 | $228.15 |
| **Total** | | | **$1,397.15** |
| 03/02/2012 | REINSURANCE GROUP AMER INC | 55 | $9.90 |
| **Total** | | | **$9.90** |
| 03/15/2012 | RESOURCES CONNECTION INC | 366 | $18.30 |
| 06/21/2012 | RESOURCES CONNECTION INC | 366 | $18.30 |
| **Total** | | | **$36.60** |
| 03/09/2012 | ROLLINS INCORPORATED | 707 | $56.56 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 06/08/2012 | ROLLINS INCORPORATED | 707 | $56.56 |
| 09/10/2012 | ROLLINS INCORPORATED | 707 | $56 56 |
| 12/10/2012 | ROLLINS INCORPORATED | 1,414 | $141.40 |
| **Total** | | | **$311.08** |
| 03/09/2012 | SCOTTS CO CL A | 59 | $17.70 |
| 06/08/2012 | SCOTTS CO CL A | 59 | $17.70 |
| 09/10/2012 | SCOTTS CO CL A | 74 | $24.05 |
| 12/10/2012 | SCOTTS CO CL A | 74 | $24.05 |
| **Total** | | | **$83.50** |
| 03/15/2012 | SOLUTIA INC NEW | 180 | $6.75 |
| **Total** | | | **$6.75** |
| 06/15/2012 | SOTHEBYS HLDGS INC CL A | 90 | $7.20 |
| 09/17/2012 | SOTHEBYS HLDGS INC CL A | 90 | $7 20 |
| 12/17/2012 | SOTHEBYS HLDGS INC CL A | 90 | $7 20 |
| 12/31/2012 | SOTHEBYS HLDGS INC CL A | 92 | $18.40 |
| **Total** | | | **$40.00** |
| 06/06/2012 | SOUTHERN CO | 883 | $432.67 |
| 09/06/2012 | SOUTHERN CO | 1,028 | $503 72 |
| 12/06/2012 | SOUTHERN CO | 1,028 | $503.72 |
| **Total** | | | **$1,440.11** |
| 11/30/2012 | TCF FINL CORP | 261 | $13.05 |
| **Total** | | | **$13.05** |
| 09/10/2012 | TARGET CORP | 764 | $275.04 |
| 12/10/2012 | TARGET CORP | 403 | $145.08 |
| **Total** | | | **$420.12** |
| 02/24/2012 | TECHNE CORP | 97 | $27.16 |
| 05/25/2012 | TECHNE CORP | 97 | $27 16 |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 08/24/2012 | TECHNE CORP | 140 | $39.20 |
| 11/19/2012 | TECHNE CORP | 140 | $42.00 |
| **Total** | | | **$135.52** |
| 01/17/2012 | US BANCORP DEL NEW | 838 | $104.75 |
| **Total** | | | **$104.75** |
| 01/13/2012 | UMPQUA HLDGS CORP | 219 | $15.33 |
| 04/13/2012 | UMPQUA HLDGS CORP | 245 | $17.15 |
| 07/13/2012 | UMPQUA HLDGS CORP | 245 | $22.05 |
| 10/15/2012 | UMPQUA HLDGS CORP | 216 | $19.44 |
| 12/28/2012 | UMPQUA HLDGS CORP | 240 | $21.60 |
| **Total** | | | **$95.57** |
| 03/12/2012 | UNITED STS STL CORP NEW | 1,299 | $64.95 |
| **Total** | | | **$64.95** |
| 03/12/2012 | UNITED TECHNOLOGIES CORP | 452 | $216.96 |
| 06/11/2012 | UNITED TECHNOLOGIES CORP | 452 | $216.96 |
| 09/10/2012 | UNITED TECHNOLOGIES CORP | 642 | $343.47 |
| 12/10/2012 | UNITED TECHNOLOGIES CORP | 642 | $343.47 |
| **Total** | | | **$1,120.86** |
| 02/01/2012 | VERIZON COMMUNICATIONS | 951 | $475.50 |
| **Total** | | | **$475.50** |
| 02/29/2012 | WABTEC CORP | 44 | $1.32 |
| **Total** | | | **$1.32** |
| 03/23/2012 | WASTE MGMT INC DEL COM | 1,100 | $390 50 |
| 06/22/2012 | WASTE MGMT INC DEL COM | 1,281 | $454.76 |
| 09/21/2012 | WASTE MGMT INC DEL COM | 1,281 | $454.76 |
| 12/14/2012 | WASTE MGMT INC DEL COM | 1,281 | $454.76 |
| **Total** | | | **$1,754.78** |

## Qualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 03/01/2012 | WELLS FARGO & CO (NEW) | 462 | $55.44 |
| **Total** | | | **$55.44** |
| 03/15/2012 | WELLS FARGO & CO NEW | 2,700 | $1,350.00 |
| 06/15/2012 | WELLS FARGO & CO NEW | 2,700 | $1,350.00 |
| 09/17/2012 | WELLS FARGO & CO NEW | 2,700 | $1,350.00 |
| **Total** | | | **$4,050.00** |
| 06/15/2012 | WENDYS CO | 634 | $12.68 |
| 09/18/2012 | WENDYS CO | 634 | $12.68 |
| 12/17/2012 | WENDYS CO | 634 | $25.36 |
| **Total** | | | **$50.72** |
| 03/26/2012 | WILLIAMS COMPANIES | 1,185 | $306.62 |
| 06/25/2012 | WILLIAMS COMPANIES | 1,395 | $418 50 |
| 09/10/2012 | WILLIAMS COMPANIES | 1,761 | $550.31 |
| 12/24/2012 | WILLIAMS COMPANIES | 1,761 | $572.33 |
| **Total** | | | **$1,847.76** |
| 01/03/2012 | XYLEM INC | 1,500 | $151.80 |
| **Total** | | | **$151.80** |
| **Total Qualified Domestic Dividends** | | | **$98,338.16** |

## Qualified Dividends from Mutual Funds

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/31/2012 | ABN AMRO FDS MONTAG & CALDWELL | 34,235 | $9,637 15 |
| 12/31/2012 | ABN AMRO FDS MONTAG & CALDWELL | 34,235 | $2,180.77 |
| **Total** | | | **$11,817.92** |
| 12/18/2012 | AQR DIVERSIFIED ARBITRAGE FUND | 4,488 | $137.92 |
| 12/18/2012 | AQR DIVERSIFIED ARBITRAGE FUND | 4,488 | $56.76 |
| **Total** | | | **$194.68** |

## Qualified Dividends from Mutual Funds

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/20/2012 | ARBITRAGE FUND | 30,675 | $611.68 |
| **Total** | | | **$611.68** |
| 12/20/2012 | ARTISAN INTERNATIONAL FUND #661 | 10,093 | $2,772.51 |
| **Total** | | | **$2,772.51** |
| 02/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 59,459 | $0.30 |
| 03/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $0.35 |
| 04/02/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $0.30 |
| 05/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $0.38 |
| 06/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $0.38 |
| 07/02/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $0.38 |
| 08/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $0.38 |
| 09/04/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $0.38 |
| 10/01/2012 | COLUMBIA INCOME OPPORTUNITIES | | $0.20 |
| **Total** | | | **$3.05** |
| 06/20/2012 | COLUMBIA FDS SER TR MID CAP INDEX | 41,700 | $356.67 |
| 12/14/2012 | COLUMBIA FDS SER TR MID CAP INDEX | 41,700 | $6,548.57 |
| 12/14/2012 | COLUMBIA FDS SER TR MID CAP INDEX | 41,700 | $332.27 |
| **Total** | | | **$7,237.51** |
| 06/20/2012 | COLUMBIA FDS SER TR SMALL CAP | 9,025 | $57.33 |
| 12/14/2012 | COLUMBIA FDS SER TR SMALL CAP | 9,025 | $2,627.30 |
| **Total** | | | **$2,684.63** |
| 03/26/2012 | COLUMBIA DIVIDEND INCOME CL Z | 98,497 | $9.069.53 |
| 06/25/2012 | COLUMBIA DIVIDEND INCOME CL Z | 98,497 | $9,583.49 |
| 09/26/2012 | COLUMBIA DIVIDEND INCOME CL Z | 61,344 | $5,660.05 |
| 12/19/2012 | COLUMBIA DIVIDEND INCOME CL Z | 61,344 | $6,009.89 |
| **Total** | | | **$30,322.96** |

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## Qualified Dividends from Mutual Funds

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/26/2012 | DELAWARE EMERGING MKTS FUND | 37,509 | $1,634.05 |
| **Total** | | | **$1,634.05** |
| 06/28/2012 | ISHARES INC MSCI AUSTRALIA INDEX | 4,302 | $259.47 |
| 12/27/2012 | ISHARES INC MSCI AUSTRALIA INDEX | 4,302 | $414.71 |
| **Total** | | | **$674.18** |
| 06/28/2012 | ISHARES TR MSCI EAFE GROWTH INDEX | 648 | $38.47 |
| 12/27/2012 | ISHARES TR MSCI EAFE GROWTH INDEX | 648 | $19.06 |
| **Total** | | | **$57.53** |
| 12/19/2012 | JOHN HANCOCK FDS III | 7,478 | $633.71 |
| **Total** | | | **$633.71** |
| 12/28/2012 | PIMCO FDS PAC INVT MGMT SER | 17,953 | $51 60 |
| 01/02/2013 | PIMCO FDS PAC INVT MGMT SER | 17,953 | $13 38 |
| **Total** | | | **$64.98** |
| 12/14/2012 | PRUDENTIAL JENNISON MID-CAP | 11,163 | $897.27 |
| 12/14/2012 | PRUDENTIAL JENNISON MID-CAP | 11,163 | $503.11 |
| 12/31/2012 | PRUDENTIAL JENNISON MID-CAP | 11,163 | $435.35 |
| **Total** | | | **$1,835.73** |
| 09/28/2012 | VANGUARD INDEX FDS REIT VIPER SHS | 6,840 | $33.11 |
| 12/31/2012 | VANGUARD INDEX FDS REIT VIPER SHS | 6,840 | $50 27 |
| **Total** | | | **$83.38** |
| 03/30/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $25.13 |
| 06/29/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $86.62 |
| 09/28/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $97.35 |
| 12/31/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $25.10 |
| **Total** | | | **$234.20** |
| **Total Qualified Dividends from Mutual Funds** | | | **$60,862.70** |

## Qualified Dividends Attributable to U.S. Government Obligations

As an additional service to you, this section indicates the portion of your dividends that are from Mutual Funds that are invested in U.S. Government obligations. Although taxable as qualified dividends for federal tax purposes, some states exempt them from taxation. You should consult your tax advisor regarding the treatment applicable to you.

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/18/2012 | AQR DIVERSIFIED ARBITRAGE FUND | 4,488 | $0.01 |
| **Total** | | | **$0.01** |
| 12/28/2012 | PIMCO FDS PAC INVT MGMT SER | 17,953 | $3.69 |
| 01/02/2013 | PIMCO FDS PAC INVT MGMT SER | 17,953 | $0.96 |
| **Total** | | | **$4.65** |
| **Total Qualified Dividends Attributable to U.S. Government Obligations** | | | **$4.66** |

## Nonqualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 02/01/2012 | AT&T INC | 651 | $286.43 |
| **Total** | | | **$286.43** |
| 07/10/2012 | ALTRIA GROUP INC | 45 | $18.43 |
| **Total** | | | **$18.43** |
| 02/01/2012 | BRISTOL MYERS SQUIBB COMPANY | 496 | $168 64 |
| **Total** | | | **$168.64** |
| 01/13/2012 | DIGITAL RLTY TR INC | 424 | $123.52 |
| 03/30/2012 | DIGITAL RLTY TR INC | 1,068 | $355.12 |
| 06/29/2012 | DIGITAL RLTY TR INC | 1,230 | $408.99 |
| 09/28/2012 | DIGITAL RLTY TR INC | 1,230 | $408 99 |
| **Total** | | | **$1,296.62** |
| 02/24/2012 | EATON CORP | 11 | $4.19 |
| **Total** | | | **$4.19** |
| 01/25/2012 | GENERAL ELECTRIC CO | 4 | $0 69 |
| **Total** | | | **$0.69** |
| 05/22/2012 | HCP INC | 1,574 | $287 63 |

## Nonqualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 08/21/2012 | HCP INC | 1,846 | $337.32 |
| 11/20/2012 | HCP INC | 1,846 | $337.32 |
| **Total** | | | **$962.27** |
| 05/21/2012 | HEALTH CARE REIT INC | 1,212 | $227.25 |
| 08/20/2012 | HEALTH CARE REIT INC | 1,410 | $264.38 |
| 11/20/2012 | HEALTH CARE REIT INC | 1,410 | $264.38 |
| **Total** | | | **$756.01** |
| 01/31/2012 | JP MORGAN CHASE & CO | 397 | $99.25 |
| **Total** | | | **$99.25** |
| 07/03/2012 | KIMBERLY CLARK CORP | 23 | $17.02 |
| **Total** | | | **$17.02** |
| 05/15/2012 | NATIONAL RETAIL PPTYS INC | 1,504 | $222.52 |
| 08/15/2012 | NATIONAL RETAIL PPTYS INC | 1,750 | $265.64 |
| 11/15/2012 | NATIONAL RETAIL PPTYS INC | 1,750 | $265.64 |
| **Total** | | | **$753.80** |
| 06/15/2012 | NEXTERA ENERGY INC | 75 | $45.01 |
| **Total** | | | **$45.01** |
| 03/12/2012 | NORFOLK SOUTHERN CORP | 147 | $69.09 |
| **Total** | | | **$69.09** |
| 02/06/2012 | PNC BANK CORP | 199 | $69.65 |
| **Total** | | | **$69.65** |
| 03/06/2012 | PFIZER INC | 283 | $62.28 |
| **Total** | | | **$62.28** |
| 07/12/2012 | PHILIP MORRIS INTL INC | 125 | $96.22 |
| **Total** | | | **$96.22** |
| 02/15/2012 | PROCTER & GAMBLE CO | 346 | $181.65 |
| **Total** | | | **$181.65** |

## Nonqualified Domestic Dividends

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 03/29/2012 | PUBLIC STORAGE INC PFD | 6,230 | $1,289.80 |
| 04/11/2012 | PUBLIC STORAGE INC PFD | 3,115 | $143 31 |
| **Total** | | | **$1,433.11** |
| 03/15/2012 | REALTY INCOME CORP | 1,256 | $68.94 |
| 04/16/2012 | REALTY INCOME CORP | 1,256 | $69.09 |
| 05/15/2012 | REALTY INCOME CORP | 1,256 | $69.09 |
| 06/15/2012 | REALTY INCOME CORP | 1,470 | $80.86 |
| 07/16/2012 | REALTY INCOME CORP | 1,470 | $81.04 |
| 08/15/2012 | REALTY INCOME CORP | 862 | $47 52 |
| 09/17/2012 | REALTY INCOME CORP | 862 | $49.14 |
| 10/15/2012 | REALTY INCOME CORP | 862 | $49.24 |
| 11/15/2012 | REALTY INCOME CORP | 862 | $49.24 |
| 12/17/2012 | REALTY INCOME CORP | 862 | $49.24 |
| **Total** | | | **$613.40** |
| 02/13/2012 | TEXAS INSTRUMENTS INC | 418 | $71 06 |
| **Total** | | | **$71.06** |
| 03/12/2012 | UNITED TECHNOLOGIES CORP | 54 | $25.92 |
| **Total** | | | **$25.92** |
| 02/01/2012 | VERIZON COMMUNICATIONS | 272 | $136.00 |
| **Total** | | | **$136.00** |
| **Total Nonqualified Domestic Dividends** | | | **$7,166.74** |

## Nonqualified Dividends from Mutual Funds

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/18/2012 | AQR DIVERSIFIED ARBITRAGE FUND | 4,488 | $436.50 |
| 12/18/2012 | AQR DIVERSIFIED ARBITRAGE FUND | 4,488 | $179 63 |
| **Total** | | | **$616.13** |

## Nonqualified Dividends from Mutual Funds

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/18/2012 | AQR MANAGED FUTURES STRATEGY | 5,308 | $396.82 |
| **Total** | | | **$396.82** |
| 12/20/2012 | ARBITRAGE FUND | 30,675 | $5,298.14 |
| **Total** | | | **$5,298.14** |
| 10/01/2012 | BLACKROCK GLOBAL LONG/SHORT CR | 9,579 | $17.77 |
| 11/01/2012 | BLACKROCK GLOBAL LONG/SHORT CR | 9,579 | $166.57 |
| 12/03/2012 | BLACKROCK GLOBAL LONG/SHORT CR | 9,579 | $140.64 |
| 12/26/2012 | BLACKROCK GLOBAL LONG/SHORT CR | 9,579 | $863.56 |
| 01/02/2013 | BLACKROCK GLOBAL LONG/SHORT CR | 9,579 | $152.38 |
| **Total** | | | **$1,340.92** |
| 02/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 59,459 | $3,025.45 |
| 03/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $3,158.95 |
| 04/02/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $3,326.89 |
| 05/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $3,390.83 |
| 06/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $3,393.15 |
| 07/02/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $3,501.82 |
| 08/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $3,511.78 |
| 09/04/2012 | COLUMBIA INCOME OPPORTUNITIES | 75.084 | $3,513.31 |
| 10/01/2012 | COLUMBIA INCOME OPPORTUNITIES | | $1,853.87 |
| **Total** | | | **$28,676.05** |
| 02/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 173,114 | $2,802.53 |
| 03/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 140,330 | $1,971.81 |
| 04/02/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 140,330 | $2,049.90 |
| 05/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 140,330 | $1,770.86 |
| 06/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 80,243 | $1,551.50 |
| 07/02/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 64,525 | $831.62 |
| 08/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 64,525 | $749.14 |
| 09/04/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 137,510 | $879.89 |
| 10/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | | $852.90 |
| **Total** | | | **$13,460.15** |
| 06/20/2012 | COLUMBIA FDS SER TR MID CAP INDEX | 41,700 | $15.30 |

## Nonqualified Dividends from Mutual Funds

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/14/2012 | COLUMBIA FDS SER TR MID CAP INDEX | 41,700 | $280.68 |
| 12/14/2012 | COLUMBIA FDS SER TR MID CAP INDEX | 41,700 | $14.26 |
| **Total** | | | **$310.24** |
| 06/20/2012 | COLUMBIA FDS SER TR SMALL CAP | 9,025 | $0.70 |
| 12/14/2012 | COLUMBIA FDS SER TR SMALL CAP | 9,025 | $32.18 |
| **Total** | | | **$32.88** |
| 04/26/2012 | COLUMBIA FDS SER TR I STRATEGIC | 32,129 | $739 07 |
| 05/25/2012 | COLUMBIA FDS SER TR I STRATEGIC | 32,129 | $739 07 |
| 06/26/2012 | COLUMBIA FDS SER TR I STRATEGIC | 32,129 | $738.76 |
| 07/27/2012 | COLUMBIA FDS SER TR I STRATEGIC | 32,129 | $739.39 |
| 08/28/2012 | COLUMBIA FDS SER TR I STRATEGIC | 32,129 | $740.02 |
| **Total** | | | **$3,696.31** |
| 03/26/2012 | COLUMBIA DIVIDEND INCOME CL Z | 98,497 | $90.72 |
| 06/25/2012 | COLUMBIA DIVIDEND INCOME CL Z | 98,497 | $95.84 |
| 09/26/2012 | COLUMBIA DIVIDEND INCOME CL Z | 61,344 | $56.62 |
| 12/19/2012 | COLUMBIA DIVIDEND INCOME CL Z | 61,344 | $60.12 |
| **Total** | | | **$303.30** |
| 12/10/2012 | COLUMBIA FDS SER TR I SMALL CAP | 3,539 | $259.73 |
| **Total** | | | **$259.73** |
| 12/03/2012 | COLUMBIA FUNDS SER TR II MASS | 69,565 | $674.56 |
| 12/03/2012 | COLUMBIA FUNDS SER TR II MASS | 69,565 | $6,583.84 |
| 01/02/2013 | COLUMBIA FUNDS SER TR II MASS | 69,565 | $2,537.78 |
| **Total** | | | **$9,796.18** |
| 06/28/2012 | ISHARES INC MSCI AUSTRALIA INDEX | 4,302 | $5.32 |
| 12/27/2012 | ISHARES INC MSCI AUSTRALIA INDEX | 4,302 | $106.33 |
| **Total** | | | **$111.65** |
| 06/28/2012 | ISHARES INC MSCI SINGAPORE INDEX | 7,679 | $330.99 |
| 12/27/2012 | ISHARES INC MSCI SINGAPORE INDEX | 7,679 | $581.18 |
| **Total** | | | **$912.17** |
| 06/28/2012 | ISHARES INC MSCI HONG KONG INDEX | 5,297 | $67.81 |

## Nonqualified Dividends from Mutual Funds

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/27/2012 | ISHARES INC MSCI HONG KONG INDEX | 6,715 | $30.59 |
| **Total** | | | **$98.40** |
| 10/01/2012 | LEGG MASON BW ABSOLUTE RETURN | 11,867 | $52.90 |
| 11/02/2012 | LEGG MASON BW ABSOLUTE RETURN | 11,867 | $435.02 |
| 12/03/2012 | LEGG MASON BW ABSOLUTE RETURN | 11,867 | $474.89 |
| 12/13/2012 | LEGG MASON BW ABSOLUTE RETURN | 11,867 | $706.09 |
| 01/02/2013 | LEGG MASON BW ABSOLUTE RETURN | 11,867 | $419.77 |
| **Total** | | | **$2,088.67** |
| 10/01/2012 | MFS EMERGING MKTS DEBT FUND | 55,140 | $353.11 |
| 11/02/2012 | MFS EMERGING MKTS DEBT FUND | 55,140 | $3,536 69 |
| 12/03/2012 | MFS EMERGING MKTS DEBT FUND | 55,140 | $3,539.45 |
| 12/12/2012 | MFS EMERGING MKTS DEBT FUND | 55,140 | $2,926.05 |
| 01/02/2013 | MFS EMERGING MKTS DEBT FUND | 55,140 | $4,497.31 |
| **Total** | | | **$14,852.61** |
| 12/28/2012 | PIMCO FDS PAC INVT MGMT SER | 17,953 | $5,809.13 |
| 01/02/2013 | PIMCO FDS PAC INVT MGMT SER | 17,953 | $1,507.21 |
| **Total** | | | **$7,316.34** |
| 09/21/2012 | PIMCO COMMODITIESPLUS STRATEGY | 79,225 | $702.96 |
| 12/13/2012 | PIMCO COMMODITIESPLUS STRATEGY | 79,225 | $690.42 |
| 12/28/2012 | PIMCO COMMODITIESPLUS STRATEGY | 79,225 | $2,960.60 |
| **Total** | | | **$4,353.98** |
| 09/28/2012 | VANGUARD INDEX FDS REIT VIPER SHS | 6,840 | $2,438.11 |
| 12/31/2012 | VANGUARD INDEX FDS REIT VIPER SHS | 6,840 | $3,701.27 |
| **Total** | | | **$6,139.38** |
| 03/30/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $21.75 |
| 06/29/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $74 95 |
| 09/28/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $84.24 |
| 12/31/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $21.72 |
| **Total** | | | **$202.66** |
| **Total Nonqualified Dividends from Mutual Funds** | | | **$100,262.71** |

## Nonqualified Dividends Attributable to U.S. Government Obligations

As an additional service to you, this section indicates the portion of your dividends that are from Mutual Funds that are invested in U.S. Government obligations. Although taxable as nonqualifying dividends for federal tax purposes, some states exempt them from taxation. You should consult your tax advisor regarding the treatment applicable to you.

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 12/18/2012 | AQR DIVERSIFIED ARBITRAGE FUND | 4,488 | $0.03 |
| 12/18/2012 | AQR DIVERSIFIED ARBITRAGE FUND | 4,488 | $0.01 |
| **Total** | | | **$0.04** |
| 02/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 59,459 | $25 94 |
| 03/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $27.08 |
| 04/02/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $28.52 |
| 05/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $29.07 |
| 06/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $29.09 |
| 07/02/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $30 02 |
| 08/01/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $30.11 |
| 09/04/2012 | COLUMBIA INCOME OPPORTUNITIES | 75,084 | $30.12 |
| 10/01/2012 | COLUMBIA INCOME OPPORTUNITIES | | $15.89 |
| **Total** | | | **$245.84** |
| 02/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 173,114 | $125 31 |
| 03/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 140,330 | $88.17 |
| 04/02/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 140,330 | $91.66 |
| 05/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 140,330 | $79.18 |
| 06/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 80,243 | $69 37 |
| 07/02/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 64,525 | $37.19 |
| 08/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 64,525 | $33.50 |
| 09/04/2012 | COLUMBIA FDS SER TR SHORT TERM BD | 137,510 | $39.34 |
| 10/01/2012 | COLUMBIA FDS SER TR SHORT TERM BD | | $38.14 |
| **Total** | | | **$601.86** |
| 04/26/2012 | COLUMBIA FDS SER TR I STRATEGIC | 32,129 | $9.20 |
| 05/25/2012 | COLUMBIA FDS SER TR I STRATEGIC | 32,129 | $9.20 |
| 06/26/2012 | COLUMBIA FDS SER TR I STRATEGIC | 32,129 | $9.20 |
| 07/27/2012 | COLUMBIA FDS SER TR I STRATEGIC | 32,129 | $9 21 |

## Nonqualified Dividends Attributable to U.S. Government Obligations

As an additional service to you, this section indicates the portion of your dividends that are from Mutual Funds that are invested in U.S. Government obligations. Although taxable as nonqualifying dividends for federal tax purposes, some states exempt them from taxation. You should consult your tax advisor regarding the treatment applicable to you.

| Date | Description | Units | Amount of dividend |
|---|---|---|---|
| 08/28/2012 | COLUMBIA FDS SER TR I STRATEGIC | 32,129 | $9.22 |
| **Total** | | | **$46.03** |
| 12/03/2012 | COLUMBIA FUNDS SER TR II MASS | 69,565 | $0 34 |
| 12/03/2012 | COLUMBIA FUNDS SER TR II MASS | 69,565 | $3.29 |
| 01/02/2013 | COLUMBIA FUNDS SER TR II MASS | 69,565 | $1.27 |
| **Total** | | | **$4.90** |
| 10/01/2012 | MFS EMERGING MKTS DEBT FUND | 55,140 | $0.50 |
| 11/02/2012 | MFS EMERGING MKTS DEBT FUND | 55,140 | $4.96 |
| 12/03/2012 | MFS EMERGING MKTS DEBT FUND | 55.140 | $4.96 |
| 12/12/2012 | MFS EMERGING MKTS DEBT FUND | 55,140 | $4.10 |
| 01/02/2013 | MFS EMERGING MKTS DEBT FUND | 55,140 | $6.31 |
| **Total** | | | **$20.83** |
| 12/28/2012 | PIMCO FDS PAC INVT MGMT SER | 17,953 | $415.83 |
| 01/02/2013 | PIMCO FDS PAC INVT MGMT SER | 17,953 | $107.89 |
| **Total** | | | **$523.72** |
| 09/21/2012 | PIMCO COMMODITIESPLUS STRATEGY | 79,225 | $56.26 |
| 12/13/2012 | PIMCO COMMODITIESPLUS STRATEGY | 79,225 | $55.25 |
| 12/28/2012 | PIMCO COMMODITIESPLUS STRATEGY | 79,225 | $236.94 |
| **Total** | | | **$348.45** |
| **Total Nonqualified Dividends Attributable to U.S. Government Obligations** | | | **$1,791.67** |

## Qualified Dividends From Foreign Investments

### Bermuda

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 03/07/2012 | ALTERRA CAPITAL HLDGS LTD | 128 | $17.92 | |

## Qualified Dividends From Foreign Investments

### Bermuda

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 06/05/2012 | ALTERRA CAPITAL HLDGS LTD | 128 | $17.92 | |
| 09/04/2012 | ALTERRA CAPITAL HLDGS LTD | 119 | $19.04 | |
| **Total** | | | **$54.88** | |
| 03/06/2012 | ASPEN INSURANCE HOLDINGS LMTD SHS | 109 | $16.35 | |
| 05/25/2012 | ASPEN INSURANCE HOLDINGS LMTD SHS | 109 | $18.53 | |
| 08/28/2012 | ASPEN INSURANCE HOLDINGS LMTD SHS | 109 | $18.53 | |
| 11/26/2012 | ASPEN INSURANCE HOLDINGS LMTD SHS | 87 | $14.79 | |
| **Total** | | | **$68.20** | |
| **Total for Bermuda** | | | **$123.08** | |

### Cayman Islands

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 06/08/2012 | FRESH DEL MONTE PRODUCE INC | 137 | $13.70 | |
| 09/07/2012 | FRESH DEL MONTE PRODUCE INC | 137 | $13.70 | |
| 12/07/2012 | FRESH DEL MONTE PRODUCE INC | 93 | $9.30 | |
| **Total** | | | **$36.70** | |
| **Total for Cayman Islands** | | | **$36.70** | |

### Canada

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 01/19/2012 | AGRIUM INC | 675 | $151.88 | $22.78 |
| 07/12/2012 | AGRIUM INC | 496 | $248.00 | $37.20 |
| **Total** | | | **$399.88** | **$59.98** |
| 04/16/2012 | BCE INC NEW | 1,059 | $573.19 | $85.98 |
| 07/16/2012 | BCE INC NEW | 1,258 | $670.92 | $100.64 |
| 10/15/2012 | BCE INC NEW | 1,915 | $1,108.04 | $166.20 |
| **Total** | | | **$2,352.15** | **$352.82** |

## Qualified Dividends From Foreign Investments

### Canada

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 04/26/2012 | BANK OF NOVA SCOTIA CAD COM NPV | 954 | $533.01 | $79.95 |
| 07/27/2012 | BANK OF NOVA SCOTIA CAD COM NPV | 1,126 | $613.96 | $92.09 |
| 10/29/2012 | BANK OF NOVA SCOTIA CAD COM NPV | 570 | $324.35 | $48.65 |
| **Total** | | | **$1,471.32** | **$220.69** |
| 04/27/2012 | CANADIAN IMPERIAL BANK OF COMM | 330 | $302.63 | $45.39 |
| 07/27/2012 | CANADIAN IMPERIAL BANK OF COMM | 392 | $349.76 | $52.46 |
| 10/29/2012 | CANADIAN IMPERIAL BANK OF COMM | 232 | $217.71 | $32 66 |
| **Total** | | | **$870.10** | **$130.51** |
| 03/15/2012 | CRESCENT PT ENERGY CORP | 487 | $112.66 | $16.90 |
| 04/16/2012 | CRESCENT PT ENERGY CORP | 487 | $111.75 | $16.76 |
| 05/15/2012 | CRESCENT PT ENERGY CORP | 487 | $111.39 | $16.71 |
| 06/15/2012 | CRESCENT PT ENERGY CORP | 576 | $129.20 | $19.38 |
| 07/16/2012 | CRESCENT PT ENERGY CORP | 496 | $112.15 | $16.82 |
| 08/15/2012 | CRESCENT PT ENERGY CORP | 496 | $114.93 | $17.24 |
| 09/18/2012 | CRESCENT PT ENERGY CORP | 496 | $117.15 | $17.57 |
| 10/15/2012 | CRESCENT PT ENERGY CORP | 496 | $116.31 | $17.45 |
| 11/15/2012 | CRESCENT PT ENERGY CORP | 496 | $113.66 | $17.05 |
| 12/17/2012 | CRESCENT PT ENERGY CORP | 496 | $115.72 | $17.36 |
| **Total** | | | **$1,154.92** | **$173.24** |
| 06/29/2012 | ENCANA CORP | 3,450 | $690 00 | $103.50 |
| 09/28/2012 | ENCANA CORP | 2,500 | $500.00 | $75 00 |
| **Total** | | | **$1,190.00** | **$178.50** |
| 03/01/2012 | ENBRIDGE INC | 686 | $196 39 | $29 46 |
| 06/01/2012 | ENBRIDGE INC | 686 | $185.75 | $27.86 |

## Qualified Dividends From Foreign Investments

### Canada

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 09/04/2012 | ENBRIDGE INC | 810 | $231.72 | $34.76 |
| **Total** | | | **$613.86** | **$92.08** |
| 01/27/2012 | GOLDCORP INC NEW | 613 | $27.59 | $4.14 |
| **Total** | | | **$27.59** | **$4.14** |
| 02/09/2012 | POTASH CORP SASK INC | 350 | $24.50 | $10.26 |
| **Total** | | | **$24.50** | **$10.26** |
| 03/09/2012 | RITCHIE BROS AUCTIONEERS INC | 435 | $48.94 | $7.34 |
| 06/08/2012 | RITCHIE BROS AUCTIONEERS INC | 435 | $48.94 | $7.34 |
| 09/07/2012 | RITCHIE BROS AUCTIONEERS INC | 435 | $53.29 | $7.99 |
| 12/07/2012 | RITCHIE BROS AUCTIONEERS INC | 435 | $53.29 | $7.99 |
| **Total** | | | **$204.46** | **$30.66** |
| 04/17/2012 | SILVER WHEATON CORP | 850 | $76.50 | $11 48 |
| **Total** | | | **$76.50** | **$11.48** |
| 03/15/2012 | VERMILION ENERGY INC | 463 | $88.48 | $13.27 |
| 04/16/2012 | VERMILION ENERGY INC | 463 | $87.77 | $13.17 |
| 05/15/2012 | VERMILION ENERGY INC | 463 | $87 48 | $13.12 |
| 06/15/2012 | VERMILION ENERGY INC | 539 | $99.87 | $14.98 |
| 07/16/2012 | VERMILION ENERGY INC | 422 | $78.82 | $11.82 |
| 08/15/2012 | VERMILION ENERGY INC | 422 | $80.78 | $12.12 |
| 09/17/2012 | VERMILION ENERGY INC | 422 | $82.36 | $12.35 |
| 10/15/2012 | VERMILION ENERGY INC | 422 | $81.75 | $12.26 |
| 11/15/2012 | VERMILION ENERGY INC | 422 | $79.88 | $11.98 |
| 12/18/2012 | VERMILION ENERGY INC | 422 | $81.38 | $12 21 |
| **Total** | | | **$848.57** | **$127.28** |
| **Total for Canada** | | | **$9,233.85** | **$1,391.64** |

## Qualified Dividends From Foreign Investments

### Israel

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 03/13/2012 | TEVA PHARMACEUTICAL INDS LTD | 1,858 | $488.56 | $122.14 |
| 06/04/2012 | TEVA PHARMACEUTICAL INDS LTD | 1,858 | $485.62 | $121.41 |
| 09/04/2012 | TEVA PHARMACEUTICAL INDS LTD | 1,858 | $460.70 | $73.71 |
| 12/03/2012 | TEVA PHARMACEUTICAL INDS LTD | 1,858 | $474.22 | $98.16 |
| **Total** | | | **$1,909.10** | **$415.42** |
| **Total for Israel** | | | **$1,909.10** | **$415.42** |

### Singapore

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 03/30/2012 | AVAGO TECHNOLOGIES LTD | 5,000 | $650.00 | |
| 06/29/2012 | AVAGO TECHNOLOGIES LTD | 5,000 | $750.00 | |
| 10/01/2012 | AVAGO TECHNOLOGIES LTD | 3,730 | $596.80 | |
| **Total** | | | **$1,996.80** | |
| **Total for Singapore** | | | **$1,996.80** | |

### Switzerland

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 04/05/2012 | NOVARTIS AG SPONSORED ADR | 579 | $1,437.16 | $215.57 |
| **Total** | | | **$1,437.16** | **$215.57** |
| **Total for Switzerland** | | | **$1,437.16** | **$215.57** |

### United Kingdom

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 04/12/2012 | GLAXO WELLCOME PLC SPONSORED ADR | 1,278 | $524.84 | |
| 07/05/2012 | GLAXO WELLCOME PLC SPONSORED ADR | 639 | $350 87 | |

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**2012 Tax Information Letter**

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## Qualified Dividends From Foreign Investments

### United Kingdom

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 10/04/2012 | GLAXO WELLCOME PLC SPONSORED ADR | 749 | $395.41 | |
| **Total** | | | **$1,271.12** | |
| **Total for United Kingdom** | | | **$1,271.12** | |
| **Total Qualified Dividends from Foreign Investments** | | | **$16,007.81** | |

## Qualified Foreign Dividends From Mutual Funds

### Other

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 12/26/2012 | DELAWARE EMERGING MKTS FUND | 37,509 | $4,375.70 | $683.42 |
| **Total** | | | **$4,375.70** | **$683.42** |
| 06/28/2012 | ISHARES INC MSCI AUSTRALIA INDEX | 4,302 | $1,671.94 | $10 79 |
| 12/27/2012 | ISHARES INC MSCI AUSTRALIA INDEX | 4,302 | $2,672.23 | $20.86 |
| **Total** | | | **$4,344.17** | **$31.65** |
| 06/28/2012 | ISHARES INC MSCI CDA INDEX FD | 3,834 | $1,128.78 | $301 08 |
| 12/27/2012 | ISHARES INC MSCI CDA INDEX FD | 3,834 | $1,605 90 | $161.50 |
| **Total** | | | **$2,734.68** | **$462.58** |
| 12/27/2012 | ISHARES MSCI CHILE INVESTABLE | 824 | $0.01 | |
| **Total** | | | **$0.01** | |
| 12/27/2012 | ISHARES INC MSCI JAPAN INDEX FD | 5,544 | $600 00 | $40.04 |
| **Total** | | | **$600.00** | **$40.04** |
| 06/28/2012 | ISHARES TR MSCI EMERGING MKTS | 19,036 | $8,293.11 | $1,452.92 |
| 12/27/2012 | ISHARES TR MSCI EMERGING MKTS | 19,036 | $4,362.63 | $457.66 |
| 01/03/2013 | ISHARES TR MSCI EMERGING MKTS | 19,036 | $239.02 | $25.07 |
| **Total** | | | **$12,894.76** | **$1,935.65** |
| 06/28/2012 | ISHARES TR MSCI EAFE GROWTH INDEX | 648 | $603 20 | $61.36 |

## Qualified Foreign Dividends From Mutual Funds

### Other

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 12/27/2012 | ISHARES TR MSCI EAFE GROWTH INDEX | 648 | $298.89 | $7.08 |
| **Total** | | | **$902.09** | **$68.44** |
| 03/30/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $350.01 | $24.94 |
| 06/29/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $1,206 46 | $85 97 |
| 09/28/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $1,355.91 | $96.62 |
| 12/31/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $349.54 | $24.91 |
| **Total** | | | **$3,261.92** | **$232.44** |
| **Total for** Other | | | **$29,113.33** | **$3,454.22** |
| **Total Qualified Foreign Dividends from Mutual Funds** | | | **$29,113.33** | |

## Nonqualified Dividends From Foreign Investments

### Canada

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 02/09/2012 | POTASH CORP SASK INC | 627 | $43.89 | |
| **Total** | | | **$43.89** | |
| **Total for** Canada | | | **$43.89** | |
| **Total Nonqualified Dividends from Foreign Investments** | | | **$43.89** | |

## Nonqualified Foreign Dividends From Mutual Funds

### Other

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 06/28/2012 | ISHARES INC MSCI AUSTRALIA INDEX | 4,302 | $34 28 | $0.22 |
| 12/27/2012 | ISHARES INC MSCI AUSTRALIA INDEX | 4,302 | $685.13 | $5.35 |
| **Total** | | | **$719.41** | **$5.57** |
| 06/28/2012 | ISHARES MSCI CHILE INVESTABLE | 824 | $975.14 | $286.72 |

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## Nonqualified Foreign Dividends From Mutual Funds

### Other

| Date | Description | Units | Amount of dividend | Foreign tax paid (refund) |
|---|---|---|---|---|
| 12/27/2012 | ISHARES MSCI CHILE INVESTABLE | 824 | $93.02 | $38.65 |
| 01/03/2013 | ISHARES MSCI CHILE INVESTABLE | 824 | $30.79 | $12.79 |
| **Total** | | | **$1,098.95** | **$338.16** |
| 06/28/2012 | ISHARES INC MSCI SINGAPORE INDEX | 7,679 | $1,187.24 | $12.65 |
| 12/27/2012 | ISHARES INC MSCI SINGAPORE INDEX | 7,679 | $2,084.62 | $6.82 |
| **Total** | | | **$3,271.86** | **$19.47** |
| 06/28/2012 | ISHARES INC MSCI HONG KONG INDEX | 5,297 | $1,894.79 | |
| 12/27/2012 | ISHARES INC MSCI HONG KONG INDEX | 6,715 | $854.73 | |
| **Total** | | | **$2,749.52** | |
| 06/28/2012 | ISHARES TR MSCI EMERGING MKTS | 19,036 | $2,516.29 | $440.84 |
| 12/27/2012 | ISHARES TR MSCI EMERGING MKTS | 19,036 | $1,216.27 | $127.58 |
| 01/03/2013 | ISHARES TR MSCI EMERGING MKTS | 19,036 | $63.69 | $6.68 |
| **Total** | | | **$3,796.25** | **$575.10** |
| 03/30/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $302.87 | $21.58 |
| 06/29/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $1,043.98 | $74.39 |
| 09/28/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $1,173.30 | $83.61 |
| 12/31/2012 | WISDOMTREE TR EMERGING MARKETS | 3,231 | $302.47 | $21.55 |
| **Total** | | | **$2,822.62** | **$201.13** |
| **Total for Other** | | | **$14,458.61** | **$1,139.43** |
| **Total Nonqualified Foreign Dividends from Mutual Funds** | | | **$14,458.61** | |
| **Total Foreign Tax Paid** | | | | **$6,616.28** |
| **Total Ordinary Dividends** | | | **$328,050.28** | |
| **Total Qualified Dividends** | | | **$204,326.66** | |

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**2012 Tax Information Letter**

**Account Number 020020038976**

## Capital Gain Distributions - Subject to 15% Rate

| Date | Description | Units | Amount of gain |
|---|---|---|---|
| 12/31/2012 | ABN AMRO FDS MONTAG & CALDWELL | 34,235 | $56,241.26 |
| **Total** | | | **$56,241.26** |
| 06/20/2012 | COLUMBIA FDS SER TR MID CAP INDEX | 41,700 | $8,609.85 |
| 12/14/2012 | COLUMBIA FDS SER TR MID CAP INDEX | 41,700 | $13,644.73 |
| **Total** | | | **$22,254.58** |
| 06/20/2012 | COLUMBIA FDS SER TR SMALL CAP | 9,025 | $5,125.09 |
| 12/14/2012 | COLUMBIA FDS SER TR SMALL CAP | 9,025 | $2,775 00 |
| **Total** | | | **$7,900.09** |
| 12/10/2012 | COLUMBIA FDS SER TR I SMALL CAP | 3,539 | $10,076.85 |
| **Total** | | | **$10,076.85** |
| 12/03/2012 | COLUMBIA FUNDS SER TR II MASS | 69,565 | $1,114.43 |
| **Total** | | | **$1,114.43** |
| 01/13/2012 | DIGITAL RLTY TR INC | 424 | $0.48 |
| 03/30/2012 | DIGITAL RLTY TR INC | 1,068 | $1.39 |
| 06/29/2012 | DIGITAL RLTY TR INC | 1,230 | $1.60 |
| 09/28/2012 | DIGITAL RLTY TR INC | 1,230 | $1.60 |
| **Total** | | | **$5.07** |
| 05/22/2012 | HCP INC | 1,574 | $2.31 |
| 08/21/2012 | HCP INC | 1,846 | $2.71 |
| 11/20/2012 | HCP INC | 1,846 | $2.71 |
| **Total** | | | **$7.73** |
| 12/31/2012 | HATTERAS LONG/SHORT EQUITY FUND | 19,608 | $4,145.29 |
| **Total** | | | **$4,145.29** |
| 05/21/2012 | HEALTH CARE REIT INC | 1,212 | $17.81 |
| 08/20/2012 | HEALTH CARE REIT INC | 1,410 | $20.73 |
| 11/20/2012 | HEALTH CARE REIT INC | 1,410 | $20.73 |
| **Total** | | | **$59.27** |
| 12/13/2012 | LEGG MASON BW ABSOLUTE RETURN | 11,867 | $2,473.69 |
| **Total** | | | **$2,473.69** |

## Capital Gain Distributions - Subject to 15% Rate

| Date | Description | Units | Amount of gain |
|---|---|---|---|
| 12/12/2012 | MFS EMERGING MKTS DEBT FUND | 55,140 | $2,965.99 |
| **Total** | | | **$2,965.99** |
| 05/15/2012 | NATIONAL RETAIL PPTYS INC | 1,504 | $3.96 |
| 08/15/2012 | NATIONAL RETAIL PPTYS INC | 1,750 | $4.73 |
| 11/15/2012 | NATIONAL RETAIL PPTYS INC | 1,750 | $4.73 |
| **Total** | | | **$13.42** |
| 12/13/2012 | PIMCO COMMODITIESPLUS STRATEGY | 79,225 | $17.27 |
| **Total** | | | **$17.27** |
| 12/14/2012 | PRUDENTIAL JENNISON MID-CAP | 11,163 | $9,788.35 |
| **Total** | | | **$9,788.35** |
| 12/31/2012 | VICTORY LARGE CAP GROWTH FUND | 107,425 | $76,490.96 |
| **Total** | | | **$76,490.96** |
| **Total Capital Gain Distributions - Subject to 15% rate** | | | **$193,554.25** |

## Unrecaptured Section 1250 Gain

| Date | Description | Units | Amount of gain |
|---|---|---|---|
| 01/13/2012 | DIGITAL RLTY TR INC | 424 | $0.53 |
| 03/30/2012 | DIGITAL RLTY TR INC | 1,068 | $1.53 |
| 06/29/2012 | DIGITAL RLTY TR INC | 1,230 | $1.77 |
| 09/28/2012 | DIGITAL RLTY TR INC | 1,230 | $1.77 |
| **Total** | | | **$5.60** |
| 05/22/2012 | HCP INC | 1,574 | $7.43 |
| 08/21/2012 | HCP INC | 1,846 | $8 71 |
| 11/20/2012 | HCP INC | 1,846 | $8.71 |
| **Total** | | | **$24.85** |
| 05/21/2012 | HEALTH CARE REIT INC | 1,212 | $0 73 |
| 08/20/2012 | HEALTH CARE REIT INC | 1,410 | $0 84 |
| 11/20/2012 | HEALTH CARE REIT INC | 1,410 | $0 84 |
| **Total** | | | **$2.41** |

## Unrecaptured Section 1250 Gain

| Date | Description | Units | Amount of gain |
|---|---|---|---|
| 05/15/2012 | NATIONAL RETAIL PPTYS INC | 1,504 | $9.08 |
| 08/15/2012 | NATIONAL RETAIL PPTYS INC | 1,750 | $10.83 |
| 11/15/2012 | NATIONAL RETAIL PPTYS INC | 1,750 | $10.83 |
| **Total** | | | **$30.74** |
| **Total Unrecaptured Section 1250 Gain** | | | **$63.60** |

## Collectibles (28%) Gain

| Date | Description | Units | Amount of gain |
|---|---|---|---|
| 12/13/2012 | PIMCO COMMODITIESPLUS STRATEGY | 79,225 | $144.19 |
| **Total** | | | **$144.19** |
| **Total Collectibles (28%) Gain** | | | **$144.19** |

| | |
|---|---|
| **Total Capital Gain Distributions** | **$193,762.04** |

## Nondividend Distributions

A "Y" in the return of capital adjustment column indicates that the nondividend distribution amount has been applied to reduce the tax basis of stock sold during 2012.

| Date | Description | Units | Amount of distribution | Return of capital Adjustment |
|---|---|---|---|---|
| 1/13/12 | DIGITAL RLTY TR INC | 212 | $2.76 | Y |
| 1/13/12 | DIGITAL RLTY TR INC | 212 | $8.29 | Y |
| 3/30/12 | DIGITAL RLTY TR INC | 534 | $23 83 | |
| 3/30/12 | DIGITAL RLTY TR INC | 534 | $7.94 | |
| 6/29/12 | DIGITAL RLTY TR INC | 615 | $9.15 | |
| 6/29/12 | DIGITAL RLTY TR INC | 615 | $27.45 | |
| 9/28/12 | DIGITAL RLTY TR INC | 615 | $27 45 | |
| 9/28/12 | DIGITAL RLTY TR INC | 615 | $9.15 | |
| **Total** | | | **$116.02** | |

## Nondividend Distributions

A "Y" in the return of capital adjustment column indicates that the nondividend distribution amount has been applied to reduce the tax basis of stock sold during 2012.

| Date | Description | Units | Amount of distribution | Return of capital Adjustment |
|---|---|---|---|---|
| 5/22/12 | HCP INC | 787 | $72.11 | Y |
| 5/22/12 | HCP INC | 787 | $24.04 | Y |
| 8/21/12 | HCP INC | 923 | $84.57 | Y |
| 8/21/12 | HCP INC | 923 | $28.19 | Y |
| 11/20/12 | HCP INC | 923 | $28.19 | Y |
| 11/20/12 | HCP INC | 923 | $84.57 | Y |
| **Total** | | | **$321.67** | |
| 5/21/12 | HEALTH CARE REIT INC | 606 | $50.66 | |
| 5/21/12 | HEALTH CARE REIT INC | 606 | $151.98 | |
| 8/20/12 | HEALTH CARE REIT INC | 705 | $58.94 | |
| 8/20/12 | HEALTH CARE REIT INC | 705 | $176.82 | |
| 11/20/12 | HEALTH CARE REIT INC | 705 | $176.82 | |
| 11/20/12 | HEALTH CARE REIT INC | 705 | $58.94 | |
| **Total** | | | **$674.16** | |
| 6/28/12 | ISHARES MSCI CHILE INVESTABLE | 824 | $37.37 | |
| **Total** | | | **$37.37** | |
| 5/15/12 | NATIONAL RETAIL PPTYS INC | 752 | $38.61 | |
| 5/15/12 | NATIONAL RETAIL PPTYS INC | 752 | $12.87 | |
| 8/15/12 | NATIONAL RETAIL PPTYS INC | 875 | $15.37 | |
| 8/15/12 | NATIONAL RETAIL PPTYS INC | 875 | $46.10 | |
| 11/15/12 | NATIONAL RETAIL PPTYS INC | 875 | $15.37 | |
| 11/15/12 | NATIONAL RETAIL PPTYS INC | 875 | $46.10 | |
| **Total** | | | **$174.42** | |
| 3/15/12 | REALTY INCOME CORP | 628 | $16.82 | Y |
| 3/15/12 | REALTY INCOME CORP | 628 | $5.61 | Y |
| 4/16/12 | REALTY INCOME CORP | 628 | $5.62 | Y |
| 4/16/12 | REALTY INCOME CORP | 628 | $16.86 | Y |
| 5/15/12 | REALTY INCOME CORP | 628 | $16.86 | Y |

## Nondividend Distributions

A "Y" in the return of capital adjustment column indicates that the nondividend distribution amount has been applied to reduce the tax basis of stock sold during 2012.

| Date | Description | Units | Amount of distribution | Return of capital Adjustment |
|---|---|---|---|---|
| 5/15/12 | REALTY INCOME CORP | 628 | $5.62 | Y |
| 6/15/12 | REALTY INCOME CORP | 735 | $19.73 | Y |
| 6/15/12 | REALTY INCOME CORP | 735 | $6.58 | Y |
| 7/16/12 | REALTY INCOME CORP | 735 | $6.59 | |
| 7/16/12 | REALTY INCOME CORP | 735 | $19.77 | |
| 8/15/12 | REALTY INCOME CORP | 431 | $11.60 | |
| 8/15/12 | REALTY INCOME CORP | 431 | $3.87 | |
| 9/17/12 | REALTY INCOME CORP | 431 | $4.00 | |
| 9/17/12 | REALTY INCOME CORP | 431 | $11.99 | |
| 10/15/12 | REALTY INCOME CORP | 431 | $12 02 | |
| 10/15/12 | REALTY INCOME CORP | 431 | $4.01 | |
| 11/15/12 | REALTY INCOME CORP | 431 | $4.01 | |
| 11/15/12 | REALTY INCOME CORP | 431 | $12.02 | |
| 12/17/12 | REALTY INCOME CORP | 431 | $4.01 | |
| 12/17/12 | REALTY INCOME CORP | 431 | $12 02 | |
| **Total** | | | **$199.61** | |
| 9/28/12 | VANGUARD INDEX FDS REIT VIPER SHS | 6,840 | $1,119.78 | |
| 12/31/12 | VANGUARD INDEX FDS REIT VIPER SHS | 6,840 | $1,699.93 | |
| **Total** | | | **$2,819.71** | |
| **Total Nondividend Distributions** | | | **$4,342.96** | |

## Investment Expenses - Dividends

| Date | Description | Units | Fees Paid |
|---|---|---|---|
| 4/5/12 | NOVARTIS AG SPONSORED ADR | 579 | $2 03 |
| **Total** | | | **$2.03** |
| **Total Investment Expenses - Dividends** | | | **$2.03** |

## Interest Income

### Domestic Interest

| Date | Description | Units | Amount of interest | Accrued interest |
|---|---|---|---|---|
| 01/01/2012 | ABBOTT LABS NOTES | 300.000 | | $-1,321.88 |
| 05/15/2012 | ABBOTT LABS NOTES | 300,000 | $8,812.50 | |
| 11/15/2012 | ABBOTT LABS NOTES | 300,000 | $8,812.50 | |
| 12/10/2012 | ABBOTT LABS NOTES | 300,000 | $1,223.96 | |
| **Total** | | | **$18,848.96** | **$-1,321.88** |
| 02/13/2012 | BELLSOUTH CORP NT | 250,000 | $5,344.44 | |
| **Total** | | | **$5,344.44** | |
| 02/13/2012 | BERKSHIRE HATHAWAY INC DEL | 100,000 | $1,600.00 | |
| 08/13/2012 | BERKSHIRE HATHAWAY INC DEL | 100,000 | $1,600.00 | |
| **Total** | | | **$3,200.00** | |
| 05/29/2012 | CITIGROUP INC GLOBAL SR NT | 250,000 | $5,875.00 | |
| 11/29/2012 | CITIGROUP INC GLOBAL SR NT | 250.000 | $5,875.00 | |
| **Total** | | | **$11,750.00** | |
| 03/15/2012 | DOMINION RES INC VA | 4,000 | $2,093.75 | |
| 06/19/2012 | DOMINION RES INC VA | 4,000 | $2,093.75 | |
| 09/17/2012 | DOMINION RES INC VA | 4,000 | $2,093.75 | |
| **Total** | | | **$6,281.25** | |
| 02/01/2012 | ENTERGY MISS INC | 1,498 | $561.75 | |
| 05/01/2012 | ENTERGY MISS INC | 3.675 | $1,378.13 | |
| 08/01/2012 | ENTERGY MISS INC | 3,675 | $1,378.13 | |
| 11/01/2012 | ENTERGY MISS INC | 1.721 | $645 38 | |
| **Total** | | | **$3,963.39** | |
| 06/29/2012 | GENERAL ELEC CAP CORP | 150,000 | $2,625.00 | |
| 12/31/2012 | GENERAL ELEC CAP CORP | 150,000 | $2.625.00 | |
| **Total** | | | **$5,250.00** | |
| 04/02/2012 | GOLDMAN SACHS GROUP INC NT | 250.000 | $6,250.00 | |
| 10/01/2012 | GOLDMAN SACHS GROUP INC NT | 250.000 | $6,250.00 | |
| **Total** | | | **$12,500.00** | |

## Interest Income

### Domestic Interest

| Date | Description | Units | Amount of interest | Accrued interest |
|---|---|---|---|---|
| 06/01/2012 | SCHERING PLOUGH CORP SR NT | 100,000 | $2,650.00 | |
| 12/03/2012 | SCHERING PLOUGH CORP SR NT | 100,000 | $2,650.00 | |
| **Total** | | | **$5,300.00** | |
| 01/03/2012 | BANK OF AMERICA MONEY MARKET SAV | 2,389,690 | $159 70 | |
| 02/01/2012 | BANK OF AMERICA MONEY MARKET SAV | 682,600 | $51.83 | |
| 03/01/2012 | BANK OF AMERICA MONEY MARKET SAV | 411,393 | $40.70 | |
| 04/02/2012 | BANK OF AMERICA MONEY MARKET SAV | 265,962 | $22.23 | |
| 05/01/2012 | BANK OF AMERICA MONEY MARKET SAV | 405,596 | $20.04 | |
| 06/01/2012 | BANK OF AMERICA MONEY MARKET SAV | 490,468 | $30.25 | |
| 07/02/2012 | BANK OF AMERICA MONEY MARKET SAV | 535,984 | $31.84 | |
| 08/01/2012 | BANK OF AMERICA MONEY MARKET SAV | 495,958 | $34.59 | |
| 09/04/2012 | BANK OF AMERICA MONEY MARKET SAV | 719,971 | $40.73 | |
| 10/01/2012 | BANK OF AMERICA MONEY MARKET SAV | 852,023 | $74.60 | |
| 11/01/2012 | BANK OF AMERICA MONEY MARKET SAV | 876,127 | $65.34 | |
| 12/03/2012 | BANK OF AMERICA MONEY MARKET SAV | 1,397,857 | $83.63 | |
| **Total** | | | **$655.48** | |
| **Total Domestic Interest** | | | **$73,093.52** | **$-1,321.88** |

## Foreign Interest

### Australia

| Date | Description | Units | Amount of interest | Accrued Interest | Foreign tax paid (refund) |
|---|---|---|---|---|---|
| 02/06/2012 | WESTPAC BKG CORP | 150,000 | $2,250 00 | | |
| 08/07/2012 | WESTPAC BKG CORP | 150,000 | $2,250 00 | | |
| 09/27/2012 | WESTPAC BKG CORP | 150,000 | $662.50 | | |
| **Total** | | | **$5,162.50** | | |

## Foreign Interest

### Australia

| Date | Description | Units | Amount of interest | Accrued Interest | Foreign tax paid (refund) |
|---|---|---|---|---|---|
| 02/13/2012 | AUSTRALIAN GOVT | 300,000 | $3,313.01 | | |
| **Total** | | | **$3,313.01** | | |
| **Total for** | **Australia** | | **$8,475.51** | | |

### Brazil

| Date | Description | Units | Amount of interest | Accrued Interest | Foreign tax paid (refund) |
|---|---|---|---|---|---|
| 01/05/2012 | BRAZIL FEDERATIVE REP GLOBAL BRL | 250,000 | $8,465.63 | | |
| 07/05/2012 | BRAZIL FEDERATIVE REP GLOBAL BRL | 250,000 | $7,854.52 | | |
| **Total** | | | **$16,320.15** | | |
| **Total for** | **Brazil** | | **$16,320.15** | | |

### Norway

| Date | Description | Units | Amount of interest | Accrued Interest | Foreign tax paid (refund) |
|---|---|---|---|---|---|
| 01/01/2012 | NORWEGIAN GOVT | 600,000 | | $-2,930.34 | |
| 05/18/2012 | NORWEGIAN GOVT | 600,000 | $5,063.29 | | |
| **Total** | | | **$5,063.29** | **$-2,930.34** | |
| 02/14/2012 | NORWEGIAN GOVT | 1,000,000 | | $-5,497 35 | |
| 05/23/2012 | NORWEGIAN GOVT | 1,000,000 | $7,065.67 | | |
| **Total** | | | **$7,065.67** | **$-5,497.35** | |
| **Total for** | **Norway** | | **$12,128.96** | **$-8,427.69** | |

### New Zealand

| Date | Description | Units | Amount of interest | Accrued Interest | Foreign tax paid (refund) |
|---|---|---|---|---|---|
| 01/01/2012 | NEW ZEALAND GOVT SR UNSECD | 200,000 | | $-707 72 | |
| 04/18/2012 | NEW ZEALAND GOVT SR UNSECD | 350,000 | $9,390.06 | | $1,408.51 |
| 10/17/2012 | NEW ZEALAND GOVT SR UNSECD | 350,000 | $9,279 73 | | $1,391.96 |
| **Total** | | | **$18,669.79** | **$-707.72** | **$2,800.47** |
| **Total for** | **New Zealand** | | **$18,669.79** | **$-707.72** | **$2,800.47** |

## Foreign Interest

### Singapore

| Date | Description | Units | Amount of interest | Accrued Interest | Foreign tax paid (refund) |
|---|---|---|---|---|---|
| 01/05/2012 | SINGAPORE GOVT | 150,000 | $1,661.21 | | |
| 07/06/2012 | SINGAPORE GOVT | 150,000 | $1,707.24 | | |
| **Total** | | | **$3,368.45** | | |
| 02/13/2012 | SINGAPORE GOVT SR UNSECD BD | 250,000 | | $-1,018.42 | |
| 04/04/2012 | SINGAPORE GOVT SR UNSECD BD | 250,000 | $1,366.26 | | |
| 10/03/2012 | SINGAPORE GOVT SR UNSECD BD | 250,000 | $1,399.63 | | |
| **Total** | | | **$2,765.89** | **$-1,018.42** | |
| **Total for Singapore** | | | **$6,134.34** | **$-1,018.42** | |
| **Total Foreign Interest** | | | **$61,728.75** | **$-10,153.83** | |
| **Total Foreign Tax Paid** | | | | | **$2,800.47** |
| **Total Interest on other than U.S. Obligations** | | | **$123,346.56** | | |

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## Detail for Other Tax Information

This information is being provided to you by U.S. Trust as a service.

### Accretion of Discount

The amounts set forth below are the accretion of market discount(s) on securities purchased at a discount. This amount is taxable as ordinary income, even if attributable to tax exempt securities. It can either be reported annually as accretion or deferred until the bond is disposed. This is an election that is made by each taxpayer and must be applied to all bonds acquired at a discount.
We have increased the tax cost of the securities held in your account by the amount of the accretion shown on this schedule. Accordingly, if you report accretion annually and later dispose of bonds that were acquired at a discount, accretion has already been taken into account in determining tax basis and no further action is required. If you have elected not to report accretion annually, you may be required to recognize ordinary income relating to market discount on disposition. Because the decision regarding when to report accretion is made on a taxpayer by taxpayer basis, we have not included these amounts in the income. You should consult your tax advisor to assist you in determining the treatment of these amounts on your tax returns.

### Accretion of Discount

| Date | Description | Cusip | Accretion |
|---|---|---|---|
| 02/13/2012 | AUSTRALIAN GOVT | B3BXVY9#8 | $-36.10 |
| **Total** | | | **$-36.10** |
| 02/13/2012 | BELLSOUTH CORP NT | 079860AG7 | $1,382.58 |
| **Total** | | | **$1,382.58** |
| 09/27/2012 | WESTPAC BKG CORP | 961214BN2 | $196.85 |
| **Total** | | | **$196.85** |
| **Total accretion of discount** | | | **$1,543.33** |

## Detail for Miscellaneous Receipts

This section provides details for miscellaneous receipts or reimbursements. These receipts (which are shown as positive amounts) may be taxable to you or may reduce available credits, depending on your individual circumstances. Please consult your tax advisor.

## Class Action Settlements

| Date | Description | Amount |
|---|---|---|
| 02/09/2012 | TYCO INTL LTD<br>NEW COM<br>PROCEEDS FOR SECURITIES LITIGATION CLASS<br>PER 12/13/99-6/7/02<br>TYCO INTL LTD<br>NEW COM<br>BERMUDA | $55.51 |
| 05/18/2012 | AOL TIME WARNER INC<br>COM<br>PROCEEDS SECURITIES LITIGATION CL PER<br>1/27/99-12/6/02<br>AOL TIME WARNER INC<br>COM | $66 44 |
| 07/19/2012 | CLASS ACTIONS SETTLEMENT FOR<br>ENRON VICTIM'S FUND (SEC)<br>PROCEEDS SECURITIES LITIGATION CL PER<br>9/9/97-12/2/01<br>CLASS ACTIONS SETTLEMENT FOR<br>ENRON VICTIM'S FUND (SEC) | $18,996.39 |
| 09/13/2012 | PANERA BREAD CO<br>CL A<br>PANERA BREAD LITIGATION PROCEEDS FOR<br>CLOSED A/C 0702002-1048057<br>PANERA BREAD CO<br>CL A | $12.73 |
| 11/13/2012 | CLASS ACTIONS SETTLEMENT FOR<br>COLBURN FAIR FUND (SEC)<br>PROCEEDS SECURITIES LITIGATION CL PER<br>1/27/99-8/27/02<br>CLASS ACTIONS SETTLEMENT FOR<br>COLBURN FAIR FUND (SEC) | $13.53 |
| **Total Class Action Settlements** | | **$19,144.60** |

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## Refund or Reclaim of Foreign Taxes

| Date | Description | Amount |
|---|---|---|
| 01/09/2012 | CHICAGO BRDG & IRON CO N V<br>N Y REGISTRY SH COM<br>REFUND RECEIVED ON FOREIGN TAX WITHHELD<br>FROM DIVIDEND PAYABLE 06/30/11<br>CHICAGO BRDG & IRON CO N V<br>N Y REGISTRY SH COM<br>NETHERLANDS | $1.00 |
| 04/25/2012 | ROYAL DUTCH SHELL PLC<br>SPONSORED ADR CLA<br>REFUND RECEIVED ON FOREIGN TAX WITHHELD<br>FROM DIVIDEND PAYABLE 12/16/11<br>ROYAL DUTCH SHELL PLC<br>SPONSORED ADR CLA<br>DUTCH LISTING | $20.96 |
| 08/20/2012 | CHICAGO BRDG & IRON CO N V<br>N Y REGISTRY SH COM<br>REFUND RECEIVED ON FOREIGN TAX WITHHELD<br>FROM DIVIDEND PAYABLE 09/30/11<br>CHICAGO BRDG & IRON CO N V<br>N Y REGISTRY SH COM<br>NETHERLANDS | $1.00 |
| 09/26/2012 | CHICAGO BRDG & IRON CO N V<br>N Y REGISTRY SH COM<br>REFUND RECEIVED ON FOREIGN TAX WITHHELD<br>FROM DIVIDEND PAYABLE 12/30/11<br>CHICAGO BRDG & IRON CO N V<br>N Y REGISTRY SH COM<br>NETHERLANDS | $0.23 |
| **Total Refund or Reclaim of Foreign Taxes** | | **$23.19** |

## Detail Supporting Fees and Other Payments

This section provides details for transactions paid from or received into your account that may be tax deductible. Please note that expenses paid from your account are shown as positive amounts and receipts into your account are shown as negative amounts.

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## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 01/12/2012 | COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES | $-932.14 |
| 01/12/2012 | COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES | $-63.61 |
| 01/12/2012 | COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES | $-115.35 |
| 01/12/2012 | COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES | $-139.98 |
| 01/12/2012 | COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES | $-722.32 |
| 01/12/2012 | COLUMBIA INCOME OPPORTUNITIES FUND CL Z<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA INCOME OPPORTUNITIES FUND CL Z | $-76.17 |
| 01/13/2012 | DELAWARE EMERGING MKTS FUND CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND CL INSTL CL | $-1.33 |
| 01/17/2012 | ASSET FEES DUE | $3,625.45 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 01/17/2012 | ASSET FEES DUE | $252.13 |
| 01/17/2012 | ASSET FEES DUE | $1,675.44 |
| 01/17/2012 | ASSET FEES DUE | $671.08 |
| 01/17/2012 | ASSET FEES DUE | $61.32 |
| 01/17/2012 | ASSET FEES DUE | $830.70 |
| 02/10/2012 | COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES | $-556.48 |
| 02/10/2012 | COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES | $-64 44 |
| 02/10/2012 | COLUMBIA INCOME OPPORTUNITIES FUND CL Z<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA INCOME OPPORTUNITIES FUND CL Z | $-109 60 |
| 02/10/2012 | COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA MID CAP GROWTH FUND CLASS Z SHARES | $-10.93 |
| 02/10/2012 | COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES | $-465.92 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 02/10/2012 | COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES | $-9.36 |
| 02/10/2012 | COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES | $-635 90 |
| 02/13/2012 | JANUS INVT FUND<br>ENTERPRISE FD CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JANUS INVT FUND<br>ENTERPRISE FD CL I | $-1.33 |
| 02/13/2012 | DELAWARE EMERGING MKTS FUND<br>CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND<br>CL INSTL CL | $-1.33 |
| 02/13/2012 | ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I | $-49.86 |
| 02/14/2012 | ASSET FEES DUE | $671.82 |
| 02/14/2012 | ASSET FEES DUE | $65.91 |
| 02/14/2012 | ASSET FEES DUE | $3,865.65 |
| 02/14/2012 | ASSET FEES DUE | $1,697.46 |
| 02/14/2012 | ASSET FEES DUE | $164.78 |
| 02/14/2012 | ASSET FEES DUE | $871.47 |

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## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 03/12/2012 | COLUMBIA INCOME OPPORTUNITIES<br>FUND CL Z<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA INCOME OPPORTUNITIES<br>FUND CL Z | $-140 48 |
| 03/12/2012 | COLUMBIA SELECT LARGE CAP GROWTH<br>FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SELECT LARGE CAP GROWTH<br>FUND CLASS Z SHARES | $-623.44 |
| 03/12/2012 | COLUMBIA SMALL CAP GROWTH I FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP GROWTH I FUND<br>CLASS Z SHARES | $-64.87 |
| 03/12/2012 | COLUMBIA DIVIDEND INCOME FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA DIVIDEND INCOME FUND<br>CLASS Z SHARES | $-526.56 |
| 03/12/2012 | COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES | $-11.68 |
| 03/12/2012 | COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES | $-423.87 |
| 03/13/2012 | CAMBIAR SMALL CAP FUND<br>INV CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>CAMBIAR SMALL CAP FUND<br>INV CL | $-18 70 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 03/13/2012 | DELAWARE EMERGING MKTS FUND CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND CL INSTL CL | $-1.33 |
| 03/13/2012 | JANUS INVT FUND ENTERPRISE FD CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JANUS INVT FUND ENTERPRISE FD CL I | $-1.33 |
| 03/13/2012 | JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JOHN HANCOCK FDS III DISCIPLINED VALUE MID CAP FUND | $-1.33 |
| 03/13/2012 | PRUDENTIAL JENNISON MID-CAP GROWTH FUND INC CL Z<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>PRUDENTIAL JENNISON MID-CAP GROWTH FUND INC CL Z | $-10.34 |
| 03/13/2012 | ASTON MONTAG & CALDWELL GROWTH FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>ASTON MONTAG & CALDWELL GROWTH FUND CL I | $-68.85 |
| 03/14/2012 | ASSET FEES DUE | $881.40 |
| 03/14/2012 | ASSET FEES DUE | $645.49 |
| 03/14/2012 | ASSET FEES DUE | $165.43 |
| 03/14/2012 | ASSET FEES DUE | $1,705 14 |
| 03/14/2012 | ASSET FEES DUE | $4,024.70 |
| 03/14/2012 | ASSET FEES DUE | $67.99 |

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## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 04/11/2012 | COLUMBIA INCOME OPPORTUNITIES FUND CL Z<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA INCOME OPPORTUNITIES FUND CL Z | $-368 16 |
| 04/11/2012 | COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES | $-723 54 |
| 04/11/2012 | COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES | $-69 69 |
| 04/11/2012 | COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES | $-558.82 |
| 04/11/2012 | COLUMBIA SMALL CAP INDEX FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA SMALL CAP INDEX FUND CLASS Z SHARES | $-12.47 |
| 04/11/2012 | COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES | $-426.27 |
| 04/11/2012 | COLUMBIA STRATEGIC INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA STRATEGIC INCOME FUND CLASS Z SHARES | $-8.39 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 04/12/2012 | PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z | $-10.91 |
| 04/12/2012 | ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I | $-71.01 |
| 04/12/2012 | JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND | $-1.33 |
| 04/12/2012 | JANUS INVT FUND<br>ENTERPRISE FD CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JANUS INVT FUND<br>ENTERPRISE FD CL I | $-1 33 |
| 04/12/2012 | DELAWARE EMERGING MKTS FUND<br>CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND<br>CL INSTL CL | $-1.33 |
| 04/12/2012 | CAMBIAR SMALL CAP FUND<br>INV CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>CAMBIAR SMALL CAP FUND<br>INV CL | $-26.97 |
| 04/13/2012 | ASSET FEES DUE | $4,078.94 |
| 04/13/2012 | ASSET FEES DUE | $1,685.88 |
| 04/13/2012 | ASSET FEES DUE | $169.76 |
| 04/13/2012 | ASSET FEES DUE | $660.21 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 04/13/2012 | ASSET FEES DUE | $68.60 |
| 04/13/2012 | ASSET FEES DUE | $878.91 |
| 05/10/2012 | COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES | $-704.40 |
| 05/10/2012 | COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES | $-66.93 |
| 05/10/2012 | COLUMBIA INCOME OPPORTUNITIES FUND CL Z<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA INCOME OPPORTUNITIES FUND CL Z | $-366.56 |
| 05/10/2012 | COLUMBIA STRATEGIC INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA STRATEGIC INCOME FUND CLASS Z SHARES | $-85.64 |
| 05/10/2012 | COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES | $-611.84 |
| 05/10/2012 | COLUMBIA SMALL CAP INDEX FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA SMALL CAP INDEX FUND CLASS Z SHARES | $-13.31 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 05/10/2012 | COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES | $-401.19 |
| 05/11/2012 | PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z | $-10.99 |
| 05/11/2012 | JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND | $-1.33 |
| 05/11/2012 | JANUS INVT FUND<br>ENTERPRISE FD CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JANUS INVT FUND<br>ENTERPRISE FD CL I | $-1.33 |
| 05/11/2012 | DELAWARE EMERGING MKTS FUND<br>CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND<br>CL INSTL CL | $-1.33 |
| 05/11/2012 | CAMBIAR SMALL CAP FUND<br>INV CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>CAMBIAR SMALL CAP FUND<br>INV CL | $-26.45 |
| 05/11/2012 | ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I | $-71.87 |
| 05/14/2012 | ASSET FEES DUE | $4,055.84 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 05/14/2012 | ASSET FEES DUE | $67.62 |
| 05/14/2012 | ASSET FEES DUE | $669.22 |
| 05/14/2012 | ASSET FEES DUE | $1,696.78 |
| 05/14/2012 | ASSET FEES DUE | $166.82 |
| 05/14/2012 | ASSET FEES DUE | $854.71 |
| 06/12/2012 | COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES | $-719.33 |
| 06/12/2012 | COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES | $-66.12 |
| 06/12/2012 | COLUMBIA INCOME OPPORTUNITIES FUND CL Z<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA INCOME OPPORTUNITIES FUND CL Z | $-366 48 |
| 06/12/2012 | COLUMBIA STRATEGIC INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA STRATEGIC INCOME FUND CLASS Z SHARES | $-81.59 |
| 06/12/2012 | COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES | $-464.11 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 06/12/2012 | COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES | $-11.95 |
| 06/12/2012 | COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES | $-349.99 |
| 06/13/2012 | PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z | $-10.62 |
| 06/13/2012 | JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND | $-1.33 |
| 06/13/2012 | JANUS INVT FUND<br>ENTERPRISE FD CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JANUS INVT FUND<br>ENTERPRISE FD CL I | $-1.33 |
| 06/13/2012 | DELAWARE EMERGING MKTS FUND<br>CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND<br>CL INSTL CL | $-1.33 |
| 06/13/2012 | CAMBIAR SMALL CAP FUND<br>INV CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>CAMBIAR SMALL CAP FUND<br>INV CL | $-24.69 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 06/13/2012 | ASTON MONTAG & CALDWELL GROWTH FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE ASTON MONTAG & CALDWELL GROWTH FUND CL I | $-69.90 |
| 06/14/2012 | ASSET FEES DUE | $3,709.68 |
| 06/14/2012 | ASSET FEES DUE | $62.02 |
| 06/14/2012 | ASSET FEES DUE | $761.03 |
| 06/14/2012 | ASSET FEES DUE | $1,700.88 |
| 06/14/2012 | ASSET FEES DUE | $164.46 |
| 06/14/2012 | ASSET FEES DUE | $783.85 |
| 07/12/2012 | COLUMBIA INCOME OPPORTUNITIES FUND CL Z<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA INCOME OPPORTUNITIES FUND CL Z | $-334.67 |
| 07/12/2012 | COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA SELECT LARGE CAP GROWTH FUND CLASS Z SHARES | $-791.89 |
| 07/12/2012 | COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES | $-62.43 |
| 07/12/2012 | COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES | $-572.75 |

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**2012 Tax Information Letter**
**Account Number 020020038976**

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 07/12/2012 | COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES | $-12.41 |
| 07/12/2012 | COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES | $-193.28 |
| 07/12/2012 | COLUMBIA STRATEGIC INCOME FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA STRATEGIC INCOME FUND<br>CLASS Z SHARES | $-80.25 |
| 07/13/2012 | PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z | $-10.50 |
| 07/13/2012 | ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I | $-69.34 |
| 07/13/2012 | JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND | $-1.33 |
| 07/13/2012 | JANUS ENTERPRISE FUND<br>CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JANUS ENTERPRISE FUND<br>CL I | $-1.33 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 07/13/2012 | DELAWARE EMERGING MKTS FUND<br>CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND<br>CL INSTL CL | $-1.33 |
| 07/13/2012 | CAMBIAR SMALL CAP FUND<br>INV CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>CAMBIAR SMALL CAP FUND<br>INV CL | $-23.65 |
| 07/16/2012 | ASSET FEES DUE | $3,787.20 |
| 07/16/2012 | ASSET FEES DUE | $1,717.67 |
| 07/16/2012 | ASSET FEES DUE | $175.49 |
| 07/16/2012 | ASSET FEES DUE | $777.08 |
| 07/16/2012 | ASSET FEES DUE | $62.64 |
| 07/16/2012 | ASSET FEES DUE | $803.00 |
| 08/10/2012 | COLUMBIA INCOME OPPORTUNITIES<br>FUND CL Z<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA INCOME OPPORTUNITIES<br>FUND CL Z | $-334.44 |
| 08/10/2012 | COLUMBIA SELECT LARGE CAP GROWTH<br>FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SELECT LARGE CAP GROWTH<br>FUND CLASS Z SHARES | $-136.43 |
| 08/10/2012 | COLUMBIA SMALL CAP GROWTH I FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP GROWTH I FUND<br>CLASS Z SHARES | $-67 46 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 08/10/2012 | COLUMBIA DIVIDEND INCOME FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA DIVIDEND INCOME FUND<br>CLASS Z SHARES | $-596 34 |
| 08/10/2012 | COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES | $-13.09 |
| 08/10/2012 | COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES | $-190.82 |
| 08/10/2012 | COLUMBIA STRATEGIC INCOME FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA STRATEGIC INCOME FUND<br>CLASS Z SHARES | $-84.43 |
| 08/13/2012 | PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z | $-11.25 |
| 08/13/2012 | ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I | $-71.16 |
| 08/13/2012 | JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND | $-1.33 |

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**2012 Tax Information Letter**
**Account Number 020020038976**

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 08/13/2012 | JANUS ENTERPRISE FUND<br>CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JANUS ENTERPRISE FUND<br>CL I | $-1 33 |
| 08/13/2012 | DELAWARE EMERGING MKTS FUND<br>CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND<br>CL INSTL CL | $-1.33 |
| 08/13/2012 | CAMBIAR SMALL CAP FUND<br>INV CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>CAMBIAR SMALL CAP FUND<br>INV CL | $-24.36 |
| 08/14/2012 | ASSET FEES DUE | $3,814.30 |
| 08/14/2012 | ASSET FEES DUE | $1,733 34 |
| 08/14/2012 | ASSET FEES DUE | $169.24 |
| 08/14/2012 | ASSET FEES DUE | $799.75 |
| 08/14/2012 | ASSET FEES DUE | $62.11 |
| 08/14/2012 | ASSET FEES DUE | $811.85 |
| 09/13/2012 | COLUMBIA INCOME OPPORTUNITIES<br>FUND CL Z<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA INCOME OPPORTUNITIES<br>FUND CL Z | $-338.31 |
| 09/13/2012 | COLUMBIA STRATEGIC INCOME FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA STRATEGIC INCOME FUND<br>CLASS Z SHARES | $-86.96 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 09/13/2012 | COLUMBIA SMALL CAP GROWTH I FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP GROWTH I FUND<br>CLASS Z SHARES | S-68 75 |
| 09/13/2012 | COLUMBIA DIVIDEND INCOME FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA DIVIDEND INCOME FUND<br>CLASS Z SHARES | $-557.41 |
| 09/13/2012 | COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SHORT TERM BOND FUND<br>CLASS Z SHARES | $-232.82 |
| 09/13/2012 | COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES | S-13.32 |
| 09/14/2012 | JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND | $-1.33 |
| 09/14/2012 | DELAWARE EMERGING MKTS FUND<br>CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND<br>CL INSTL CL | $-1.33 |
| 09/14/2012 | CAMBIAR SMALL CAP FUND<br>INV CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>CAMBIAR SMALL CAP FUND<br>INV CL | $-22.46 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 09/14/2012 | ASTON MONTAG & CALDWELL GROWTH FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE ASTON MONTAG & CALDWELL GROWTH FUND CL I | $-73 44 |
| 09/14/2012 | PRUDENTIAL JENNISON MID-CAP GROWTH FUND INC CL Z<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE PRUDENTIAL JENNISON MID-CAP GROWTH FUND INC CL Z | $-30.35 |
| 09/17/2012 | ASSET FEES DUE | $3,878.67 |
| 09/17/2012 | ASSET FEES DUE | $794.53 |
| 09/17/2012 | ASSET FEES DUE | $1,733.91 |
| 09/17/2012 | ASSET FEES DUE | $176.52 |
| 09/17/2012 | ASSET FEES DUE | $824.49 |
| 09/17/2012 | ASSET FEES DUE | $63.62 |
| 10/11/2012 | COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA SHORT TERM BOND FUND CLASS Z SHARES | $-204.62 |
| 10/11/2012 | COLUMBIA SMALL CAP INDEX FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA SMALL CAP INDEX FUND CLASS Z SHARES | $-13.49 |
| 10/11/2012 | COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES | $-373.38 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 10/11/2012 | COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP GROWTH I FUND CLASS Z SHARES | $-68.64 |
| 10/11/2012 | COLUMBIA INCOME OPPORTUNITIES FUND CL Z<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA INCOME OPPORTUNITIES FUND CL Z | $-178.18 |
| 10/11/2012 | COLUMBIA STRATEGIC INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA STRATEGIC INCOME FUND CLASS Z SHARES | $-66.57 |
| 10/15/2012 | ASSET FEES DUE | $801.48 |
| 10/15/2012 | ASSET FEES DUE | $64 97 |
| 10/15/2012 | ASSET FEES DUE | $1,585.81 |
| 10/15/2012 | ASSET FEES DUE | $848 44 |
| 10/15/2012 | ASSET FEES DUE | $4,078.14 |
| 10/15/2012 | ASSET FEES DUE | $178.33 |
| 10/17/2012 | LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIES FUND CL I | $-1.67 |
| 10/17/2012 | MFS EMERGING MKTS DEBT FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>MFS EMERGING MKTS DEBT FUND CL I | $-7.39 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 10/17/2012 | JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND | $-1.33 |
| 10/17/2012 | HATTERAS LONG/SHORT EQUITY FUND<br>INSTL CL C<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>HATTERAS LONG/SHORT EQUITY FUND<br>INSTL CL C | $-2.22 |
| 10/17/2012 | DELAWARE EMERGING MKTS FUND<br>CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND<br>CL INSTL CL | $-1.33 |
| 10/17/2012 | BLACKROCK GLOBAL LONG/SHORT CR<br>FUND INSTL SHS<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>BLACKROCK GLOBAL LONG/SHORT CR<br>FUND INSTL SHS | $-1.33 |
| 10/17/2012 | ARBITRAGE FUND<br>CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>ARBITRAGE FUND<br>CL I | $-2.22 |
| 10/17/2012 | HIGHBRIDGE STATISTICAL MKT<br>NEUTRAL SELECT<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>HIGHBRIDGE STATISTICAL MKT<br>NEUTRAL SELECT | $-1.33 |
| 10/17/2012 | AQR DIVERSIFIED ARBITRAGE FUND<br>CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>AQR DIVERSIFIED ARBITRAGE FUND<br>CL I | $-0.55 |

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## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 10/17/2012 | PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z | $-31.23 |
| 10/17/2012 | AQR MANAGED FUTURES STRATEGY<br>FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>AQR MANAGED FUTURES STRATEGY<br>FUND CL I | $-0.56 |
| 10/17/2012 | ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I | $-75.26 |
| 11/14/2012 | COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES | $-13.62 |
| 11/14/2012 | COLUMBIA SMALL CAP GROWTH I FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP GROWTH I FUND<br>CLASS Z SHARES | $-68.91 |
| 11/14/2012 | COLUMBIA DIVIDEND INCOME FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA DIVIDEND INCOME FUND<br>CLASS Z SHARES | $-415.30 |
| 11/15/2012 | PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z | $-30.69 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 11/15/2012 | LEGG MASON BW ABSOLUTE RETURN<br>OPPORTUNITIES FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>LEGG MASON BW ABSOLUTE RETURN<br>OPPORTUNITIES FUND CL I | $-12.62 |
| 11/15/2012 | HIGHBRIDGE STATISTICAL MKT<br>NEUTRAL SELECT<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>HIGHBRIDGE STATISTICAL MKT<br>NEUTRAL SELECT | $-1.33 |
| 11/15/2012 | JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND | $-1.33 |
| 11/15/2012 | HATTERAS LONG/SHORT EQUITY FUND<br>INSTL CL C<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>HATTERAS LONG/SHORT EQUITY FUND<br>INSTL CL C | $-16.44 |
| 11/15/2012 | DELAWARE EMERGING MKTS FUND<br>CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND<br>CL INSTL CL | $-1.33 |
| 11/15/2012 | BLACKROCK GLOBAL LONG/SHORT CR<br>FUND INSTL SHS<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>BLACKROCK GLOBAL LONG/SHORT CR<br>FUND INSTL SHS | $-1.33 |
| 11/15/2012 | ARBITRAGE FUND<br>CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>ARBITRAGE FUND<br>CL I | $-16.53 |

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**
**Account Number 020020038976**

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 11/15/2012 | AQR MANAGED FUTURES STRATEGY FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE AQR MANAGED FUTURES STRATEGY FUND CL I | $-4.17 |
| 11/15/2012 | ASSET FEES DUE | $840.84 |
| 11/15/2012 | AQR DIVERSIFIED ARBITRAGE FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE AQR DIVERSIFIED ARBITRAGE FUND CL I | $-4.17 |
| 11/15/2012 | ASTON MONTAG & CALDWELL GROWTH FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE ASTON MONTAG & CALDWELL GROWTH FUND CL I | $-74.37 |
| 11/15/2012 | ASSET FEES DUE | $3,986.23 |
| 11/15/2012 | ASSET FEES DUE | $795.83 |
| 11/15/2012 | MFS EMERGING MKTS DEBT FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE MFS EMERGING MKTS DEBT FUND CL I | $-74.57 |
| 11/15/2012 | ASSET FEES DUE | $173.72 |
| 11/15/2012 | ASSET FEES DUE | $1,600.19 |
| 11/15/2012 | ASSET FEES DUE | $63.82 |
| 12/12/2012 | COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE COLUMBIA DIVIDEND INCOME FUND CLASS Z SHARES | $-391.33 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 12/12/2012 | COLUMBIA SMALL CAP GROWTH I FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP GROWTH I FUND<br>CLASS Z SHARES | $-65.73 |
| 12/12/2012 | COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA SMALL CAP INDEX FUND<br>CLASS Z SHARES | $-11.59 |
| 12/12/2012 | COLUMBIA US GOVT MTG FUND<br>CL Z<br>ACCT FEE CREDIT-INVEST ADVISORY FEE<br>COLUMBIA US GOVT MTG FUND<br>CL Z | $-68.88 |
| 12/13/2012 | PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>PRUDENTIAL JENNISON MID-CAP<br>GROWTH FUND INC CL Z | $-30.25 |
| 12/13/2012 | MFS EMERGING MKTS DEBT FUND<br>CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>MFS EMERGING MKTS DEBT FUND<br>CL I | $-74.29 |
| 12/13/2012 | ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>ASTON MONTAG & CALDWELL GROWTH<br>FUND CL I | $-72.44 |
| 12/13/2012 | LEGG MASON BW ABSOLUTE RETURN<br>OPPORTUNITIES FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>LEGG MASON BW ABSOLUTE RETURN<br>OPPORTUNITIES FUND CL I | $-12.59 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 12/13/2012 | HIGHBRIDGE STATISTICAL MKT<br>NEUTRAL SELECT<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>HIGHBRIDGE STATISTICAL MKT<br>NEUTRAL SELECT | $-1.33 |
| 12/13/2012 | AQR DIVERSIFIED ARBITRAGE FUND<br>CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>AQR DIVERSIFIED ARBITRAGE FUND<br>CL I | $-4.16 |
| 12/13/2012 | HATTERAS LONG/SHORT EQUITY FUND<br>INSTL CL C<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>HATTERAS LONG/SHORT EQUITY FUND<br>INSTL CL C | $-16.14 |
| 12/13/2012 | JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>JOHN HANCOCK FDS III DISCIPLINED<br>VALUE MID CAP FUND | $-1.33 |
| 12/13/2012 | AQR MANAGED FUTURES STRATEGY<br>FUND CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>AQR MANAGED FUTURES STRATEGY<br>FUND CL I | $-4.16 |
| 12/13/2012 | ARBITRAGE FUND<br>CL I<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>ARBITRAGE FUND<br>CL I | $-16.36 |
| 12/13/2012 | BLACKROCK GLOBAL LONG/SHORT CR<br>FUND INSTL SHS<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>BLACKROCK GLOBAL LONG/SHORT CR<br>FUND INSTL SHS | $-1 33 |

## Allocable Fees

| Date | Description | Fees paid |
|---|---|---|
| 12/13/2012 | DELAWARE EMERGING MKTS FUND<br>CL INSTL CL<br>ACCT FEE CREDIT-MUTUAL FD SUB-ACC FEE<br>DELAWARE EMERGING MKTS FUND<br>CL INSTL CL | $-1.33 |
| 12/14/2012 | ASSET FEES DUE | $4,413.34 |
| 12/14/2012 | ASSET FEES DUE | $796 39 |
| 12/14/2012 | ASSET FEES DUE | $177.39 |
| 12/14/2012 | ASSET FEES DUE | $434 71 |
| 12/14/2012 | ASSET FEES DUE | $65 43 |
| 12/14/2012 | ASSET FEES DUE | $1,601.91 |
| **Total Fees Paid** | | **$68,553.30** |

## Miscellaneous Expense (Not included in Allocable Fees)

| Date | Description | Fees paid |
|---|---|---|
| 01/10/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $169.18 |
| 01/10/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $41.14 |
| 01/10/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $477 77 |
| 02/08/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $507.11 |
| 02/08/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $111 87 |
| 02/08/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $44.75 |
| 03/08/2012 | BAHL & GAYNOR LARGE CAP CORE<br>ADVISORY FEE | $353 26 |

## Miscellaneous Expense (Not included in Allocable Fees)

| Date | Description | Fees paid |
|---|---|---|
| 03/08/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $113.17 |
| 03/08/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $46.51 |
| 03/08/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $516 83 |
| 04/10/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $47.05 |
| 04/10/2012 | BAHL & GAYNOR LARGE CAP CORE<br>ADVISORY FEE | $362.22 |
| 04/10/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $516.65 |
| 04/10/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $116.42 |
| 05/08/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $501.68 |
| 05/08/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $114.24 |
| 05/08/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $46.30 |
| 05/08/2012 | BAHL & GAYNOR LARGE CAP CORE<br>ADVISORY FEE | $366.62 |
| 06/08/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $41.76 |
| 06/08/2012 | BAHL & GAYNOR LARGE CAP CORE<br>ADVISORY FEE | $409.98 |
| 06/08/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $452.43 |
| 06/08/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $110 75 |
| 07/10/2012 | BAHL & GAYNOR LARGE CAP CORE<br>ADVISORY FEE | $421.74 |

IM THE ABNEY FOUNDATION

**2012 Tax Information Letter**

**Account Number 020020038976**

## Miscellaneous Expense (Not included in Allocable Fees)

| Date | Description | Fees paid |
|---|---|---|
| 07/10/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $42.50 |
| 07/10/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $119.05 |
| 07/10/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $466.94 |
| 08/08/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $115.21 |
| 08/08/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $42.28 |
| 08/08/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $473.70 |
| 08/08/2012 | BAHL & GAYNOR LARGE CAP CORE<br>ADVISORY FEE | $435.53 |
| 09/11/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $120 64 |
| 09/11/2012 | BAHL & GAYNOR LARGE CAP CORE<br>ADVISORY FEE | $434.43 |
| 09/11/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $483.02 |
| 09/11/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $43.48 |
| 10/09/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $44.59 |
| 10/09/2012 | BAHL & GAYNOR LARGE CAP CORE<br>ADVISORY FEE | $440.04 |
| 10/09/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $122 39 |
| 10/09/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $499.10 |
| 11/08/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $118.67 |

## Miscellaneous Expense (Not included in Allocable Fees)

| Date | Description | Fees paid |
|---|---|---|
| 11/08/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $43 60 |
| 11/08/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $492.33 |
| 11/08/2012 | BAHL & GAYNOR LARGE CAP CORE<br>ADVISORY FEE | $434 91 |
| 12/10/2012 | CAMBIAR INVESTORS LLC - SCV<br>ADVISORY FEE | $44.76 |
| 12/10/2012 | A R SCHMEIDLER ACC<br>ADVISORY FEE | $254 89 |
| 12/10/2012 | BAHL & GAYNOR LARGE CAP CORE<br>ADVISORY FEE | $435.82 |
| 12/10/2012 | RIVERBRIDGE SMALL CAP GROWTH<br>ADVISORY FEE | $121 35 |
| **Total Other Payments** | | **$11,718.66** |

## Investment Expenses - Proceeds

| Date | Description | Fees paid |
|---|---|---|
| 10/31/2012 | ISHARES COMEX GOLD TR ISHARES<br>UIT<br>PRINCIPAL UIT INVESTMENTS EXPENSE | $20.55 |
| 11/30/2012 | ISHARES COMEX GOLD TR ISHARES<br>UIT<br>PRINCIPAL UIT INVESTMENTS EXPENSE | $19.53 |
| 12/31/2012 | ISHARES COMEX GOLD TR ISHARES<br>UIT<br>PRINCIPAL UIT INVESTMENTS EXPENSE | $19 96 |
| **Total** | | **$60.04** |

U.S. TRUST
Bank of America Private Wealth Management

IM THE ABNEY FOUNDATION

## 2012 Tax Information Letter

**Account Number 020020038976**

## SUB ACCOUNTS

This tax letter contains data from the following sub accounts.

| Account Id | Name |
|---|---|
| 020022172674 | IM THE ABNEY FDN #2 - CMB-SCV |
| 020021281674 | IM THE ABNEY FDN #2 - RVB-SCG |
| 020021003680 | IM THE ABNEY FDN #2-SUB-KEE-SCV |
| 020021048057 | IM THE ABNEY FDN #2-SUB-WEL-MCG |
| 020020868133 | IM ABNEY FOUNDATION #2 FIX INC |
| 020020886077 | IM THE ABNEY FDN #2 - COL-DIS |
| 020022250629 | IM THE ABNEY FDN #2 - BAG-LCC |
| 020021694488 | IM THE ABNEY FDN #2 - ARS-ACC |

2/25/13

BB&T WEALTH

# 2012 Tax Information Statement

THE ABNEY FOUNDATION

**Account Number:** 1752001077
**Tax ID Number:** XX-XXX9445

From:

BRANCH BANKING & TRUST CO.
223 W. NASH STREET
WILSON, NC 27893

ABNEY FOUNDATION AGENCY

## Contents

Mail to:

THE ABNEY FOUNDATION
C/O CARL T EDWARDS
100 VINE STREET
ANDERSON, SC 29621

## Questions?

If you have any questions regarding this tax information statement, please call KAY WHITLOCK at (252)246-4043

**Important Tax Return Documents Enclosed**

# 2012 Tax Information Statement

**Payer's Name and Address:**
BRANCH BANKING & TRUST CO.
223 W. NASH STREET
WILSON, NC 27893

**Account Number:** 1752001077
**Recipient's Tax ID Number:** XX-XXX9445
**Payer's Federal ID Number:** 56-0149200
**Payer's State ID Number:**
**State:** SC
**Questions?** (252)246-4043

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
THE ABNEY FOUNDATION
C/O CARL T EDWARDS
100 VINE STREET
ANDERSON, SC 29621

## 2012 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
**For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.**

| Cusip<br>Quantity Sold (Box 1e) | Description (Box 8)<br>Date of Sale or Exchange (Box 1a) | Date of Acquisition | Stock or Other Symbol (Box 1d)<br>Stocks Bonds, etc. (Box 2a) | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| **Short Term Sales Reported on 1099-B** | | | | | | | | |
| 34984T600 | ABSOLUTE STRATEGIES FUND INST CL CLD TO | | ASFIX | | | | | |
| 89509.4200 | 01/19/2012 | 11/22/2011 | 987,288.90 | 989,974.19 | 0.00 | -2,685.29 | 0.00 | 0.00 |
| 02209SAJ2 | ALTRIA GROUP INC DTD 02/06/09 9.25% 08/06/2019 | | | | | | | |
| 25000 0000 | 09/04/2012 | Various | 34,775.50 | 33,502.40 | 0.00 | 1,273.10 | 0.00 | 0.00 |
| 02209SAJ2 | ALTRIA GROUP INC DTD 02/06/09 9.25% 08/06/2019 | | | | | | | |
| 25000.0000 | 09/06/2012 | Various | 35,194.50 | 33,002.40 | 0.00 | 2,192.10 | 0.00 | 0.00 |
| 03938LAM6 | ARCELORMITTAL DTD 05/20/09 9.85% 06/01/2 | | | | | | | |
| 50000.0000 | 01/24/2012 | Various | 58,113.00 | 61,206.50 | 0.00 | -3,093.50 | 0.00 | 0.00 |
| 00206RAR3 | AT&T INC DTD 02/03/09 5.8% 02/15/2019 | | | | | | | |
| 10000.0000 | 05/17/2012 | 01/20/2012 | 12,077.60 | 11,946.60 | 0.00 | 131.00 | 0.00 | 0.00 |
| 92344SAP5 | CELLCO PART/VERIZON WIRELESS DTD 08/01/0 | | | | | | | |
| 55000.0000 | 09/10/2012 | Various | 58,590.95 | 60,078.60 | 0 00 | -1,487.65 | 0.00 | 0 00 |
| 22546QAD9 | CREDIT SUISSE NEW YORK DTD 01/14/10 5.4% | | | | | | | |
| 25000.0000 | 03/22/2012 | Various | 24,644.25 | 25,105.75 | 0.00 | -461.50 | 0.00 | 0.00 |

**This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.**

# WHFIT PROCEEDS WRITTEN STATEMENT

This Statement is Provided Pursuant to Internal Revenue Regulation 1.671-5

Account Number 1752001077 **ABNEY FOUNDATION AGENCY** PAN F067

Widely Held Fixed Investment Trust (WHFIT) regulations require the cost basis allocation factor be reported to investors where proceeds are distributed by the WHFIT. The schedule below lists by tax lot the proceeds and associated cost basis reallocation factors for all sales of assets sold by the WHFIT. The cost basis of the asset sale is determined by multiplying the cost basis allocation factor by the current adjusted cost basis of the tax lot. The gain(loss) is then determined by subtracting the calculated prorated cost basis from the reported proceeds.

| Date | Activity | Adjusted Basis | Proceeds From Sale of Units | Cost Basis Sale of Units | Proceeds From Commodity Sale | Cost Basis Allocation Factor | Cost Basis From Commodity Sale | Gain(Loss) Short Term | Gain(Loss) Long Term | Adjusted Cost Basis |
|---|---|---|---|---|---|---|---|---|---|---|
| Security: 78463V107 SPDR GOLD TRUST | | Beginning balance: | | 50,766.62 | Units: 420.0000 | Acquisition date: | 6/24/2010 | | | |
| 1/11/2012 | Proceeds | 50,766.62 | | | 21.92 | 0.000328284 | 16.67 | | 5.25 | 50,749.95 |
| 2/14/2012 | Proceeds | 50,749.95 | | | 21.14 | 0.000300711 | 15.26 | | 5.88 | 50,734.69 |
| 3/13/2012 | Proceeds | 50,734.69 | | | 21.74 | 0.000315215 | 15.99 | | 5.75 | 50,718.70 |
| 4/10/2012 | Proceeds | 50,718.70 | | | 23.65 | 0.000352592 | 17.88 | | 5.77 | 50,700.82 |
| 5/16/2012 | Proceeds | 50,700.82 | | | 25.01 | 0.000395985 | 20.08 | | 4.93 | 50,680.74 |
| 6/12/2012 | Proceeds | 50,680.74 | | | 21.26 | 0.000325133 | 16.48 | | 4.78 | 50,664.26 |
| 7/6/2012 | Proceeds | 50,664.26 | | | 21.45 | 0.000331733 | 16.81 | | 4.64 | 50,647.45 |
| 8/10/2012 | Proceeds | 50,647.45 | | | 21.67 | 0.000328543 | 16.64 | | 5.03 | 50,630.81 |
| 9/12/2012 | Proceeds | 50,630.81 | | | 22.18 | 0.000313489 | 15.87 | | 6.31 | 50,614.94 |
| 10/8/2012 | Proceeds | 50,614.94 | | | 24.55 | 0.000340007 | 17.21 | | 7.34 | 50,597.73 |
| 11/19/2012 | Proceeds | 50,597.73 | | | 22.67 | 0.000321853 | 16.29 | | 6.38 | 50,581.44 |
| 12/11/2012 | Proceeds | 50,581.44 | | | 21.43 | 0.000308018 | 15.58 | | 5.85 | 50,565.86 |
| 12/31/2012 | Ending Basis | 50,565.86 | | | | | | | | 50,565.86 |
| | | | | | ---------- | | ---------- | ---------- | ---------- | |
| | | | | | 268.67 | | 200.76 | 0.00 | 67.91 | |
| Security: 78463V107 SPDR GOLD TRUST | | Beginning balance: | | 47,900.46 | Units: 400.0000 | Acquisition date: | 8/19/2010 | | | |
| 1/11/2012 | Proceeds | 47,900.46 | | | 20.87 | 0.000328284 | 15.72 | | 5.15 | 47,884.74 |
| 2/14/2012 | Proceeds | 47,884.74 | | | 20.13 | 0.000300711 | 14.40 | | 5.73 | 47,870.34 |
| 3/13/2012 | Proceeds | 47,870.34 | | | 20.71 | 0.000315215 | 15.09 | | 5.62 | 47,855.25 |
| 4/10/2012 | Proceeds | 47,855.25 | | | 22.52 | 0.000352592 | 16.87 | | 5.65 | 47,838.38 |
| 5/16/2012 | Proceeds | 47,838.38 | | | 23.82 | 0.000395985 | 18.94 | | 4.88 | 47,819.44 |
| 6/12/2012 | Proceeds | 47,819.44 | | | 20.25 | 0.000325133 | 15.55 | | 4.70 | 47,803.89 |
| 7/6/2012 | Proceeds | 47,803.89 | | | 20.43 | 0.000331733 | 15.86 | | 4.57 | 47,788.03 |
| 8/10/2012 | Proceeds | 47,788.03 | | | 20.63 | 0.000328543 | 15.70 | | 4.93 | 47,772.33 |
| 9/12/2012 | Proceeds | 47,772.33 | | | 21.12 | 0.000313489 | 14.98 | | 6.14 | 47,757.35 |
| 10/8/2012 | Proceeds | 47,757.35 | | | 23.38 | 0.000340007 | 16.24 | | 7.14 | 47,741.11 |
| 11/19/2012 | Proceeds | 47,741.11 | | | 21.59 | 0.000321853 | 15.37 | | 6.22 | 47,725.74 |
| 12/11/2012 | Proceeds | 47,725.74 | | | 20.41 | 0.000308018 | 14.70 | | 5.71 | 47,711.04 |
| 12/31/2012 | Ending Basis | 47,711.04 | | | | | | | | 47,711.04 |
| | | | | | ---------- | | ---------- | ---------- | ---------- | |
| | | | | | 255.86 | | 189.42 | 0.00 | 66.44 | |
| Security: 78463V107 SPDR GOLD TRUST | | Beginning balance: | | 25,505.26 | Units: 200.0000 | Acquisition date: | 9/29/2010 | | | |
| 1/11/2012 | Proceeds | 25,505.26 | | | 10.44 | 0.000328284 | 8.37 | | 2.07 | 25,496.89 |

THE ABNEY FOUNDATION
100 VINE STREET
ANDERSON, SC 29621

2/6/2013 5:23 AM

# WHFIT PROCEEDS WRITTEN STATEMENT

This Statement is Provided Pursuant to Internal Revenue Regulation 1 671-5

Account Number 1752001077 **ABNEY FOUNDATION AGENCY**

PAN F067

Widely Held Fixed Investment Trust (WHFIT) regulations require the cost basis allocation factor be reported to investors where proceeds are distributed by the WHFIT The schedule below lists by tax lot the proceeds and associated cost basis reallocation factors for all sales of assets sold by the WHFIT. The cost basis of the asset sale is determined by multiplying the cost basis allocation factor by the current adjusted cost basis of the tax lot The gain(loss) is then determined by subtracting the calculated prorated cost basis from the reported proceeds.

| Date | Activity | Adjusted Basis | Proceeds From Sale of Units | Cost Basis Sale of Units | Proceeds From Commodity Sale | Cost Basis Allocation Factor | Cost Basis From Commodity Sale | Gain(Loss) Short Term | Gain(Loss) Long Term | Adjusted Cost Basis |
|---|---|---|---|---|---|---|---|---|---|---|
| Security: 78463V107 SPDR GOLD TRUST | | | Beginning balance: 25,505.26 | | Units: 200.0000 | Acquisition date: 9/29/2010 | | | | |
| 2/14/2012 | Proceeds | 25,496.89 | | | 10.07 | 0.000300711 | 7.67 | | 2.40 | 25,489.22 |
| 3/13/2012 | Proceeds | 25,489.22 | | | 10.35 | 0.000315215 | 8.03 | | 2.32 | 25,481.19 |
| 4/10/2012 | Proceeds | 25,481.19 | | | 11.26 | 0.000352592 | 8.98 | | 2.28 | 25,472.21 |
| 5/16/2012 | Proceeds | 25,472.21 | | | 11.91 | 0.000395985 | 10.09 | | 1.82 | 25,462.12 |
| 6/12/2012 | Proceeds | 25,462.12 | | | 10.12 | 0.000325133 | 8.28 | | 1.84 | 25,453.84 |
| 7/6/2012 | Proceeds | 25,453.84 | | | 10.22 | 0.000331733 | 8.44 | | 1.78 | 25,445.40 |
| 8/10/2012 | Proceeds | 25,445.40 | | | 10.32 | 0.000328543 | 8.36 | | 1.96 | 25,437.04 |
| 9/12/2012 | Proceeds | 25,437.04 | | | 10.56 | 0.000313489 | 7.97 | | 2.59 | 25,429.07 |
| 10/8/2012 | Proceeds | 25,429.07 | | | 11.69 | 0.000340007 | 8.65 | | 3.04 | 25,420.42 |
| 11/19/2012 | Proceeds | 25,420.42 | | | 10.80 | 0.000321853 | 8.18 | | 2.62 | 25,412.24 |
| 12/11/2012 | Proceeds | 25,412.24 | | | 10.21 | 0.000308018 | 7.83 | | 2.38 | 25,404.41 |
| 12/31/2012 | Ending Basis | 25,404.41 | | | | | | | | 25,404.41 |
| | | | | | ---------- | | ---------- | ---------- | ---------- | |
| | | | | | 127.95 | | 100.85 | 0.00 | 27.10 | |
| Security: 78463V107 SPDR GOLD TRUST | | | Beginning balance: 44,427.35 | | Units: 330.0000 | Acquisition date: 1/4/2011 | | | | |
| 1/11/2012 | Proceeds | 44,427.35 | | | 17.22 | 0.000328284 | 14.58 | | 2.64 | 44,412.77 |
| 2/14/2012 | Proceeds | 44,412.77 | | | 16.61 | 0.000300711 | 13.36 | | 3.25 | 44,399.41 |
| 3/13/2012 | Proceeds | 44,399.41 | | | 17.08 | 0.000315215 | 14.00 | | 3.08 | 44,385.41 |
| 4/10/2012 | Proceeds | 44,385.41 | | | 18.58 | 0.000352592 | 15.65 | | 2.93 | 44,369.76 |
| 5/16/2012 | Proceeds | 44,369.76 | | | 19.65 | 0.000395985 | 17.57 | | 2.08 | 44,352.19 |
| 6/12/2012 | Proceeds | 44,352.19 | | | 16.70 | 0.000325133 | 14.42 | | 2.28 | 44,337.77 |
| 7/6/2012 | Proceeds | 44,337.77 | | | 16.86 | 0.000331733 | 14.71 | | 2.15 | 44,323.06 |
| 8/10/2012 | Proceeds | 44,323.06 | | | 17.02 | 0.000328543 | 14.56 | | 2.46 | 44,308.50 |
| 9/12/2012 | Proceeds | 44,308.50 | | | 17.43 | 0.000313489 | 13.89 | | 3.54 | 44,294.61 |
| 10/8/2012 | Proceeds | 44,294.61 | | | 19.29 | 0.000340007 | 15.06 | | 4.23 | 44,279.55 |
| 11/19/2012 | Proceeds | 44,279.55 | | | 17.81 | 0.000321853 | 14.25 | | 3.56 | 44,265.30 |
| 12/11/2012 | Proceeds | 44,265.30 | | | 16.84 | 0.000308018 | 13.63 | | 3.21 | 44,251.67 |
| 12/31/2012 | Ending Basis | 44,251.67 | | | | | | | | 44,251.67 |
| | | | | | ---------- | | ---------- | ---------- | ---------- | |
| | | | | | 211.09 | | 175.68 | 0.00 | 35.41 | |
| Security: 78463V107 SPDR GOLD TRUST | | | Beginning balance: 35,778.26 | | Units: 270.0000 | Acquisition date: 2/9/2011 | | | | |
| 1/11/2012 | Proceeds | 35,778.26 | | | 14.09 | 0.000328284 | 11.75 | 2.34 | | 35,766.51 |
| 2/14/2012 | Proceeds | 35,766.51 | | | 13.59 | 0.000300711 | 10.76 | | 2.83 | 35,755.75 |
| 3/13/2012 | Proceeds | 35,755.75 | | | 13.98 | 0.000315215 | 11.27 | | 2.71 | 35,744.48 |

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# WHFIT PROCEEDS WRITTEN STATEMENT

This Statement is Provided Pursuant to Internal Revenue Regulation 1 671-5

Account Number 1752001077 **ABNEY FOUNDATION AGENCY** PAN F067

Widely Held Fixed Investment Trust (WHFIT) regulations require the cost basis allocation factor be reported to investors where proceeds are distributed by the WHFIT The schedule below lists by tax lot the proceeds and associated cost basis reallocation factors for all sales of assets sold by the WHFIT. The cost basis of the asset sale is determined by multiplying the cost basis allocation factor by the current adjusted cost basis of the tax lot. The gain(loss) is then determined by subtracting the calculated prorated cost basis from the reported proceeds.

| Date | Activity | Adjusted Basis | Proceeds From Sale of Units | Cost Basis Sale of Units | Proceeds From Commodity Sale | Cost Basis Allocation Factor | Cost Basis From Commodity Sale | Gain(Loss) Short Term | Gain(Loss) Long Term | Adjusted Cost Basis |
|---|---|---|---|---|---|---|---|---|---|---|
| Security: 78463V107 SPDR GOLD TRUST | | Beginning balance: 35,778.26 | | Units: | 270.0000 | Acquisition date: | 2/9/2011 | | | |
| 4/10/2012 | Proceeds | 35,744.48 | | | 15.20 | 0.000352592 | 12.60 | | 2.60 | 35,731.88 |
| 5/16/2012 | Proceeds | 35,731.88 | | | 16.08 | 0.000395985 | 14.15 | | 1.93 | 35,717.73 |
| 6/12/2012 | Proceeds | 35,717.73 | | | 13.67 | 0.000325133 | 11.61 | | 2.06 | 35,706.12 |
| 7/6/2012 | Proceeds | 35,706.12 | | | 13.79 | 0.000331733 | 11.84 | | 1.95 | 35,694.28 |
| 8/10/2012 | Proceeds | 35,694.28 | | | 13.93 | 0.000328543 | 11.73 | | 2.20 | 35,682.55 |
| 9/12/2012 | Proceeds | 35,682.55 | | | 14.26 | 0.000313489 | 11.19 | | 3.07 | 35,671.36 |
| 10/8/2012 | Proceeds | 35,671.36 | | | 15.78 | 0.000340007 | 12.13 | | 3.65 | 35,659.23 |
| 11/19/2012 | Proceeds | 35,659.23 | | | 14.57 | 0.000321853 | 11.48 | | 3.09 | 35,647.75 |
| 12/11/2012 | Proceeds | 35,647.75 | | | 13.78 | 0.000308018 | 10.98 | | 2.80 | 35,636.77 |
| 12/31/2012 | Ending Basis | 35,636.77 | | | | | | | | 35,636.77 |
| | | | | | ---------- | | ---------- | ---------- | ---------- | |
| | | | | | 172.72 | | 141.49 | 2.34 | 28.89 | |
| Security: 78463V107 SPDR GOLD TRUST | | Beginning balance: 14,859.65 | | Units: | 90.0000 | Acquisition date: | 10/25/2011 | | | |
| 1/11/2012 | Proceeds | 14,859.65 | | | 4.70 | 0.000328284 | 4.88 | -0.18 | | 14,854.77 |
| 2/14/2012 | Proceeds | 14,854.77 | | | 4.53 | 0.000300711 | 4.47 | 0.06 | | 14,850.30 |
| 3/13/2012 | Proceeds | 14,850.30 | | | 4.66 | 0.000315215 | 4.68 | -0.02 | | 14,845.62 |
| 4/10/2012 | Proceeds | 14,845.62 | | | 5.07 | 0.000352592 | 5.23 | -0.16 | | 14,840.39 |
| 5/16/2012 | Proceeds | 14,840.39 | | | 5.36 | 0.000395985 | 5.88 | -0.52 | | 14,834.51 |
| 6/12/2012 | Proceeds | 14,834.51 | | | 4.56 | 0.000325133 | 4.82 | -0.26 | | 14,829.69 |
| 7/6/2012 | Proceeds | 14,829.69 | | | 4.60 | 0.000331733 | 4.92 | -0.32 | | 14,824.77 |
| 8/10/2012 | Proceeds | 14,824.77 | | | 4.64 | 0.000328543 | 4.87 | -0.23 | | 14,819.90 |
| 9/12/2012 | Proceeds | 14,819.90 | | | 4.75 | 0.000313489 | 4.65 | 0.10 | | 14,815.25 |
| 10/8/2012 | Proceeds | 14,815.25 | | | 5.26 | 0.000340007 | 5.04 | 0.22 | | 14,810.21 |
| 11/19/2012 | Proceeds | 14,810.21 | | | 4.86 | 0.000321853 | 4.77 | | 0.09 | 14,805.44 |
| 12/11/2012 | Proceeds | 14,805.44 | | | 4.59 | 0.000308018 | 4.56 | | 0.03 | 14,800.88 |
| 12/31/2012 | Ending Basis | 14,800.88 | | | | | | | | 14,800.88 |
| | | | | | ---------- | | ---------- | ---------- | ---------- | |
| | | | | | 57.58 | | 58.77 | -1.31 | 0.12 | |
| Security: 78463V107 SPDR GOLD TRUST | | Beginning balance: 84,025.65 | | Units: | 510.0000 | Acquisition date: | 11/25/2011 | | | |
| 1/11/2012 | Proceeds | 84,025.65 | | | 26.61 | 0.000328284 | 27.58 | -0.97 | | 83,998.07 |
| 2/14/2012 | Proceeds | 83,998.07 | | | 25.67 | 0.000300711 | 25.26 | 0.41 | | 83,972.81 |
| 3/13/2012 | Proceeds | 83,972.81 | | | 26.40 | 0.000315215 | 26.47 | -0.07 | | 83,946.34 |
| 4/10/2012 | Proceeds | 83,946.34 | | | 28.72 | 0.000352592 | 29.60 | -0.88 | | 83,916.74 |
| 5/16/2012 | Proceeds | 83,916.74 | | | 30.37 | 0.000395985 | 33.23 | -2.86 | | 83,883.51 |

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# WHFIT PROCEEDS WRITTEN STATEMENT

This Statement is Provided Pursuant to Internal Revenue Regulation 1.671-5

Account Number 1752001077 **ABNEY FOUNDATION AGENCY** PAN F067

Widely Held Fixed Investment Trust (WHFIT) regulations require the cost basis allocation factor be reported to investors where proceeds are distributed by the WHFIT The schedule below lists by tax lot the proceeds and associated cost basis reallocation factors for all sales of assets sold by the WHFIT. The cost basis of the asset sale is determined by multiplying the cost basis allocation factor by the current adjusted cost basis of the tax lot. The gain(loss) is then determined by subtracting the calculated prorated cost basis from the reported proceeds.

| Date | Activity | Adjusted Basis | Proceeds From Sale of Units | Cost Basis Sale of Units | Proceeds From Commodity Sale | Cost Basis Allocation Factor | Cost Basis From Commodity Sale | Gain(Loss) Short Term | Gain(Loss) Long Term | Adjusted Cost Basis |
|---|---|---|---|---|---|---|---|---|---|---|
| Security: 78463V107 SPDR GOLD TRUST Beginning balance: 84,025.65 Units: 510.0000 Acquisition date: 11/25/2011 | | | | | | | | | | |
| 6/12/2012 | Proceeds | 83,883.51 | | | 25.81 | 0.000325133 | 27.27 | -1.46 | | 83,856.24 |
| 7/6/2012 | Proceeds | 83,856.24 | | | 26.05 | 0.000331733 | 27.82 | -1.77 | | 83,828.42 |
| 8/10/2012 | Proceeds | 83,828.42 | | | 26.31 | 0.000328543 | 27.54 | -1.23 | | 83,800.88 |
| 9/12/2012 | Proceeds | 83,800.88 | | | 26.93 | 0.000313489 | 26.27 | 0.66 | | 83,774.61 |
| 10/8/2012 | Proceeds | 83,774.61 | | | 29.81 | 0.000340007 | 28.48 | 1.33 | | 83,746.13 |
| 11/19/2012 | Proceeds | 83,746.13 | | | 27.53 | 0.000321853 | 26.95 | 0.58 | | 83,719.18 |
| 12/11/2012 | Proceeds | 83,719.18 | | | 26.03 | 0.000308018 | 25.79 | | 0.24 | 83,693.39 |
| 12/31/2012 | Ending Basis | 83,693.39 | | | | | | | | 83,693.39 |
| | | | | | ---------- | | ---------- | ---------- | ---------- | |
| | | | | | 326.24 | | 332.26 | -6.26 | 0.24 | |
| Security: 78463V107 SPDR GOLD TRUST Beginning balance: 154,813.44 Units: 980.0000 Acquisition date: 6/15/2012 | | | | | | | | | | |
| 7/6/2012 | Proceeds | 154,813.44 | | | 50.06 | 0.000331733 | 51.36 | -1.30 | | 154,762.08 |
| 8/10/2012 | Proceeds | 154,762.08 | | | 50.55 | 0.000328543 | 50.85 | -0.30 | | 154,711.23 |
| 9/12/2012 | Proceeds | 154,711.23 | | | 51.75 | 0.000313489 | 48.50 | 3.25 | | 154,662.73 |
| 10/8/2012 | Proceeds | 154,662.73 | | | 57.29 | 0.000340007 | 52.59 | 4.70 | | 154,610.14 |
| 11/19/2012 | Proceeds | 154,610.14 | | | 52.90 | 0.000321853 | 49.76 | 3.14 | | 154,560.38 |
| 12/11/2012 | Proceeds | 154,560.38 | | | 50.01 | 0.000308018 | 47.61 | 2.40 | | 154,512.77 |
| 12/31/2012 | Ending Basis | 154,512.77 | | | | | | | | 154,512.77 |
| | | | | | ---------- | | ---------- | ---------- | ---------- | |
| | | | | | 312.56 | | 300.67 | 11.89 | 0.00 | |

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