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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation JOHN E MORGAN FOUNDATION, INC		A Employer identification number 56-2290010
Number and street (or P O box number if mail is not delivered to street address) C/O BESSEMER TRUST, 630 FIFTH AVE	Room/suite	B Telephone number (212) 708-9216
City or town, state, and ZIP code NEW YORK, NY 10111		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 63,315,197. (Part I, column (d) must be on cash basis.)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	293,132.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,305,034.	1,305,034.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	398,315.			
	b Gross sales price for all assets on line 6a	32,825,606.			
	7 Capital gain net income (from Part IV, line 2)		398,315.		
	8 Net short-term capital gain				
	9 Income modifications Gross sales less returns and allowances				
	b Less Cost of goods sold				
c Gross profit or (loss)					
11 Other income	<49,528.>	<49,528.>		STATEMENT 2	
12 Total. Add lines 1 through 11	1,946,953.	1,653,821.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	95,692.	0.		95,692.
	14 Other employee salaries and wages	1,487.	0.		1,487.
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	25,355.	0.		25,355.
	b Accounting fees STMT 4	6,800.	0.		6,800.
	c Other professional fees STMT 5	17,388.	9,492.		7,896.
	17 Interest				
	18 Taxes STMT 6	30,010.	9,483.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	576.			576.
	22 Printing and publications				
	23 Other expenses STMT 7	23,063.	14,391.		8,672.
	24 Total operating and administrative expenses. Add lines 13 through 23	200,371.	33,366.		146,478.
	25 Contributions, gifts, grants paid	2,941,460.			2,941,460.
26 Total expenses and disbursements. Add lines 24 and 25	3,141,831.	33,366.		3,087,938.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<1,194,878.>				
b Net investment income (if negative, enter -0-)		1,620,455.			
c Adjusted net income (if negative, enter -0-)			N/A		

618
9

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		8,117,094.	1,403,906.	1,403,906.
	3	Accounts receivable ▶ 68,064.				
		Less: allowance for doubtful accounts ▶		62,373.	68,064.	68,064.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 8		8,476,274.	6,938,956.	6,986,675.
	b	Investments - corporate stock STMT 9		26,313,271.	41,866,496.	48,018,945.
	c	Investments - corporate bonds STMT 10		5,435,747.	6,625,222.	6,741,826.
11	Investments - land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other		3,360,607.			
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶ STATEMENT 11)		6,675,178.	342,993.	95,781.	
16	Total assets (to be completed by all filers)		58,440,544.	57,245,637.	63,315,197.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		58,440,544.	57,245,637.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		0.	0.	
30	Total net assets or fund balances		58,440,544.	57,245,637.		
31	Total liabilities and net assets/fund balances		58,440,544.	57,245,637.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	58,440,544.
2	Enter amount from Part I, line 27a	2	<1,194,878.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	57,245,666.
5	Decreases not included in line 2 (itemize) ▶ TRUNCATION	5	29.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	57,245,637.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P		
b OLD WESTBURY VENTURE CAPITAL FUND, LLC	P		
c OLD WESTBURY PRIVATE CAPITAL FUND, LLC	P		
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 32,205,628.		32,443,609.	<237,981.>
b			<2,865.>
c			19,183.
d 619,978.			619,978.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<237,981.>
b			<2,865.>
c			19,183.
d			619,978.
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2 398,315.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	3,415,131.	65,892,079.	.051829
2010	3,030,470.	63,157,410.	.047983
2009	3,033,323.	58,359,609.	.051976
2008	2,923,323.	66,048,221.	.044260
2007	3,082,609.	73,687,505.	.041834

2 Total of line 1, column (d)	2	.237882
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047576
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	62,105,843.
5 Multiply line 4 by line 3	5	2,954,748.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,205.
7 Add lines 5 and 6	7	2,970,953.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	3,087,938.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	16,205.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	16,205.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	16,205.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	13,667.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	7,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	20,667.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,462.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ 4,462. Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of BESSEMER TRUST COMPANY Telephone no. (212) 651-1048 Located at 630 FIFTH AVENUE 34TH FLOOR, NEW YORK, NY ZIP+4 10111			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years	☐ Yes	☒ No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.	N/A	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARRY LODER DEC'D 5/16/12 C/O BESSEMER TRUST CO N/A ; 630 FIFTH NEW YORK, NY 10111	PRESIDENT/CHAIRMAN/DIRECTOR 5.00	23,923.	0.	0.
JAMES ZIGMANT C/O BESSEMER TRUST CO N/A ; 630 FIFTH NEW YORK, NY 10111	TREASURER/VICE CHAIRMAN/DI 5.00	23,923.	0.	0.
JOHN EDDY C/O BESSEMER TRUST CO N/A ; 630 FIFTH NEW YORK, NY 10111	VICE PRESIDENT/DIRECTOR 5.00	23,923.	0.	0.
JAY WAGNER (PAID TO STEVENS AND LEE) C/O BESSEMER TRUST CO N/A ; 630 FIFTH NEW YORK, NY 10111	SECRETARY/DIRECTOR 5.00	23,923.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	58,795,560.
b	Average of monthly cash balances	1b	4,256,057.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	63,051,617.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	63,051,617.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	945,774.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	62,105,843.
6	Minimum investment return. Enter 5% of line 5	6	3,105,292.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,105,292.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	16,205.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	16,205.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,089,087.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,089,087.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,089,087.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,087,938.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,087,938.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	16,205.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,071,733.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				3,089,087.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				73,157.
f Total of lines 3a through e	73,157.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 3,087,938.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				3,087,938.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	1,149.			1,149.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	72,008.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	72,008.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011	72,008.			
e Excess from 2012				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALYSSA KEICH-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	4,000.
AMANDA WATSULA-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	3,000.
ANDREA FEATHERS- SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	2,000.
ANTHONY MAFF-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	2,500.
BOROUGH OF TAMAQUA TAMAQUA, PA 18252	N/A	PUBLIC CHARITY	OPERATION AND MAINT. OF PUBLIC FACILITIES	60,000.
Total			SEE CONTINUATION SHEET(S)	2,941,460.
b Approved for future payment				
NONE				
Total				0.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

JOHN E MORGAN FOUNDATION, INC

Employer identification number

56-2290010

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

Employer identification number

JOHN E MORGAN FOUNDATION, INC**56-2290010****Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>HB LODER CRUT UA DTD 12/4/91 MB JEM</u> <u>C/O BESSEMER TRUST-630 FIFTH AVE</u> <u>NEW YORK, NY 10111</u>	\$ <u>65,903.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
<u>2</u>	<u>HB LODER CRUT UA DTD 12/4/91 MB JEM</u> <u>C/O BESSEMER TRUST-630 FIFTH AVE</u> <u>NEW YORK, NY 10111</u>	\$ <u>227,229.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Employer identification number

56-2290010

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

[illegible]

Employer identification number

56-2290010

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BRANDON DOPIRA-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	4,000.
CHELSEA SHEEHAN - SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	3,000.
CHILDREN'S HOME OF READING READING, PA 19601	N/A	PUBLIC CHARITY	CAPITAL IMPROVEMENTS	70,000.
DANE DE WIRE-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	4,000.
ELISA STREISEL-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	4,000.
EMILY ZANCOFSKY-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	5,000.
GABRIELLE MINEHAN -SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	5,000.
GABRIELLE SMARR-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	5,500.
JAKE FEGLEY-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	5,000.
JEANNE CANNON-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	5,000.
Total from continuation sheets				2,869,960.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JESSE KABANA-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	3,000.
JESSICA LEIBY-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	4,000.
KALI CHRUSH-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	5,000.
KATELIN HUMMEL - SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	3,000.
KAYLA HOPE-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	5,000.
KRESSA DOWLING-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	3,000.
LAURA CINICOLA-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	4,000.
LEHIGH CARBON COMMUNITY COLLEGE (FALL 2012 SEMESTER) LEHIGH, PA	N/A	INDIVIDUAL	SCHOLARSHIPS - LIST ATTACHED	86,220.
LEHIGH CARBON COMMUNITY COLLEGE (SPRING SEMESTER) LEHIGH, PA	N/A	INDIVIDUAL	SCHOLARSHIPS - LIST ATTACHED	83,413.
LEHIGH CARBON COMMUNITY COLLEGE (SUMMER SEMESTER) LEHIGH, PA	N/A	INDIVIDUAL	SCHOLARSHIPS - LIST ATTACHED	6,826.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MEGAN AMEY - SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	3,000.
MEGAN INAMA- SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	5,000.
MICHAEL MULLIGAN - SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	3,000.
NICOLE BECHTEL-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	5,000.
OLD WESTBURY PRIVATE CAPITAL FUND LLC	N/A	PUBLIC CHARITY	GENERAL OPERATIONS	1.
PAIGE BADDICK-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	4,000.
PENN STATE MILTON S HERSHEY MEDICAL CENTER HERHSEY, PA	N/A	PUBLIC CHARITY	MEDICAL	1,200,000.
RUTGERS UNIVERSITY FOUNDATION NEW BRUNSWICK, NJ 08901	N/A	PUBLIC CHARITY	EDUCATION	100,000.
SAMANTHA GUTH-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	4,000.
SAMANTHA MILLER SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	4,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SCHUYLKILL UNITED WAY SCHUYLKILL, PA	N/A	PUBLIC CHARITY	GENERAL OPERATIONS	60,000.
TAMAQUA AREA ADULT DAY CARE CENTER TAMAQUA, PA	N/A	PUBLIC CHARITY	GENERAL OPERATIONS	25,000.
TAMAQUA AREA PUBLIC LIBRARY TAMAQUA, PA	N/A	PUBLIC CHARITY	GENERAL OPERATIONS	25,000.
TAMAQUA AREA SCHOOL DISTRICT TAMAQUA, PA	N/A	PUBLIC CHARITY	EDUCATION	15,000.
TAMAQUA HISTORIC SOCIETY TAMAQUA, PA	N/A	PUBLIC CHARITY	CAPITAL IMPROVEMENTS	150,000.
THE PENNSYLVANIA STATE UNIVERSITY PHILANTHROPIC FUND UNIVERSITY PARK, PA	N/A	PUBLIC CHARITY	SCHOLARSHIPS	500,000.
THE PENNSYLVANIA STATE UNIVERSITY PHILANTHROPIC FUND UNIVERSITY PARK, PA		PUBLIC CHARITY	CAPITAL IMPROVEMENTS	250,000.
WAYDE WILLIAMS-SCHOLARSHIP	N/A	INDIVIDUAL	EDUCATION	3,000.
YMCA OF READING & BERKS COUNTY READING, PA	N/A	PUBLIC CHARITY	CAPITAL IMPROVEMENTS	200,000.
Total from continuation sheets				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BESSEMER TRUST	132,656.	42,739.	89,917.
BESSEMER TRUST	1,782,101.	577,239.	1,204,862.
OLD WESTBURY PRIVATE CAPITAL FUND LLC	9,585.	0.	9,585.
OLD WESTBURY VENTURE CAPITAL FUND LLC	670.	0.	670.
TOTAL TO FM 990-PF, PART I, LN 4	1,925,012.	619,978.	1,305,034.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BESSEMER TRUST	<49,528.>	<49,528.>	
TOTAL TO FORM 990-PF, PART I, LINE 11	<49,528.>	<49,528.>	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEE	23,625.	0.		23,625.
LEGAL EXPENSES	1,730.	0.		1,730.
TO FM 990-PF, PG 1, LN 16A	25,355.	0.		25,355.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FINANCIAL SERVICES FEE	5,000.	0.		5,000.	
TAX SERVICES	1,800.	0.		1,800.	
TO FORM 990-PF, PG 1, LN 16B	6,800.	0.		6,800.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT SERVICES FEE	14,238.	9,492.		4,746.	
ADVISORY FEE	3,150.	0.		3,150.	
TO FORM 990-PF, PG 1, LN 16C	17,388.	9,492.		7,896.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX PAID	14,872.	9,483.		0.	
FOREIGN TAX ACCRUED	138.	0.		0.	
EXCISE TAX	15,000.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	30,010.	9,483.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OLD WESTBURY PRIVATE CAPITAL FUND LLC	2,609.	2,609.			0.
OLD WESTBURY VENTURE CAPITAL FUND LLC	11,782.	11,782.			0.
MISCELLANEOUS EXPENSES	1,952.	0.			1,952.
INSURANCE POLICY	6,695.	0.			6,695.
WIRE FEE	25.	0.			25.
TO FORM 990-PF, PG 1, LN 23	23,063.	14,391.			8,672.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	8
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
SEE SCHEDULE ATTACHED	X		4,508,119.	4,476,256.	
SEE SCHEDULE ATTACHED		X	1,849,916.	1,927,719.	
SEE SCHEDULE ATTACHED	X		485,570.	485,295.	
SEE SCHEDULE ATTACHED		X	95,351.	97,405.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			4,993,689.	4,961,551.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			1,945,267.	2,025,124.	
TOTAL TO FORM 990-PF, PART II, LINE 10A			6,938,956.	6,986,675.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
SEE SCHEDULE ATTACHED	39,001,048.	44,751,371.		
SEE SCHEDULE ATTACHED	2,865,448.	3,267,574.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	41,866,496.	48,018,945.		

FORM 990-PF	CORPORATE BONDS	STATEMENT 10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE SCHEDULE ATTACHED	6,261,148.	6,369,620.
SEE SCHEDULE ATTACHED	364,074.	372,206.
TOTAL TO FORM 990-PF, PART II, LINE 10C	6,625,222.	6,741,826.

FORM 990-PF		OTHER ASSETS		STATEMENT	11
DESCRIPTION		BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE	
OLD WESTBURY PRIVATE CAPITAL		123,967.	107,782.	71,328.	
OLD WESTBURY VENTURE CAPITAL		249,188.	235,211.	24,453.	
SEE SCHEDULE ATTACHED		5,863,846.	0.	0.	
SEE SCHEDULE ATTACHED		438,177.	0.	0.	
TO FORM 990-PF, PART II, LINE 15		6,675,178.	342,993.	95,781.	

Lehigh Carbon



COMMUNITY COLLEGE

4525 Education Park Drive
Schnecksville, PA 18078-2698

Invoice No. DT022401

INVOICE

Customer

Name John E. Morgan Foundation Inc Trustees
Address P.O. Box 349
City Tamaqua State Pa ZIP 18252
Phone _____

Date 2/24/2012
Order No. _____
Rep _____

Qty	Description	Unit Price	TOTAL
	MORGAN SCHOLARSHIP		
	Spring 2012		
1	CLASS OF 2010 SCHOLARSHIPS	\$32,638.00	\$32,638.00
1	CLASS OF 2011 SCHOLARSHIPS	\$50,775.00	\$50,775.00

SubTotal **\$83,413.00**

TOTAL

\$83,413.00

Please make checks payable to Lehigh Carbon Community College Federal ID
#23-1670163

Thank you!

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	02/27/2012	Tamaqua	HS	Class	of	2012		Spring 2012		152 grads							
2	Marian	Snyder	Jr.	Cur	Cl/sem	Tot							Total			Acad	
3	ID#	Last	Sr.	Or	Suc	Cr.	Deg.	FAFSA	LCCC	Morgan	Pell	Slate	Tuit &	Morgan	Cum.	no	De
4	Name	Name	Yr.	SP	12	Comp.	Comp.	Submt'd	app.	app.	Grant	Grant	Fees	AmL	GPA	9cr?	obligate

11 Halley Arnold J Y 14 15 24 EDJA 3/29/2011 11/30/2010 10/29/2010 \$1,755 \$1,755 3.88

16 Kayla Begley Y 12 12 12 12 Pre-ADN 3/31/2011 11/8/2010 10/29/2010 \$1,755 \$1,755 3.33

19 Kimberly Betz Y 13 10 10 10 PRE-ADN 2/20/2011 3/28/2011 11/30/2010 \$600 \$393 \$1,170 \$762 2.00

22 Kelsey Burkett Y 10 14 31 LIBA 3/20/2011 11/30/2010 10/29/2010 \$1,170 \$1,170 3.87

34 Sarah DeBenedict Y 13 9.5 17.5 ADN 2/23/2011 11/30/2010 10/29/2010 \$1,755 \$1,755 2.35

35 Brianne Derr Y 13 13.5 17.5 Pre-ADN 2/16/2011 11/12/2010 10/29/2010 \$1,755 \$1,755 2.93

37 Deana Devire Y 13 12 12 LIBA 2/27/2011 11/30/2010 10/29/2010 \$1,755 \$1,755 3.25

42 Zachary Evans Y 10 6 6 BUAA 3/7/2011 12/21/2010 10/29/2010 \$1,300 \$1,300 3.00 Y

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	02/27/2012	Tamaqua	HS	Class	of	2011		Spring 2012		152 grads							
2	Marian	Snyder	Jr.	Cur	Cr/sem	Tot		FAFSA	LOCC	Morgan	Pell	State	Total			Acad	
3	First	Last	Sr.	Cr	Suc	Cr.	Deg.	Submid	app.	app.	Grant	Grant	Fees	Morgan	Cum.	no	De
4	Name	Name	Yr.	SP 12	Comp.	Comp.	Prgm.							Amt.	GPA	gr7	obligate

50	Chelsev	Fritz	Y	10	12.5	23.5	LIBA	2/14/2011	11/30/2010	10/29/2010			\$1,170	\$1,170	3.57		
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53	Joshua	Guscoli	Y	13	0	0	UNDC	3/10/2011	1/25/2011	10/29/2010	\$450	\$300	\$1,755		10.00	Y	
55	Matthew	Hadesty	Y	15	12	16	EDUA	2/28/2011	11/30/2010	10/29/2010			\$1,755	\$1,755	2.50		
56	Chloe	Hartung	Y	17	15	24	EDUA	3/21/2011	11/30/2010	10/29/2010			\$1,755	\$1,755	3.63		

59	Travis S.	Hill	Y	12	9	9	LIBA	3/10/2011	4/5/2011	10/29/2010	\$900		\$1,755	\$855	3.00		
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66	Colin	Johnson	Y	12	12	20	SPMS	NR	12/10/2010	10/29/2010			\$1,755	\$1,755	3.00		
67	Nicolai	Kabana	Y	12	12	12	LIBA	3/13/2011	11/30/2010	10/29/2010			\$1,755	\$1,755	2.00		

73	Palge	Kosica	Y	13	12	23	Pre-ADN	NR	11/30/2010	10/29/2010			\$1,755	\$1,755	3.53		
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79	Caleb	Leibenguth	Y	12	10	18	Pre-PTA	2/8/2011	11/30/2010	10/29/2010		\$347	\$1,755	\$1,408	2.44		
80	Eushina	Leibenguth	Y	13	8	13	Pre-PTA	NR	12/10/2010	10/29/2010			\$1,755	\$1,755	1.86		

86	Derek	Unkhorst	Y	12	12	26	Pre-PTA	3/20/2011	11/30/2010	10/29/2010			\$1,755	\$1,755	3.19		
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A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	02/27/2012	Tamaqua	HS	Class	of	2011		Spring 2012		152 grads							
2	Marfan	Snyder	Jr.	Cur.	Cr/sem	Tot.							Total			Acad	
3	ID#	First	Sr.	Cr	Suc	Cr.	Deg.	FAFSA	LCCC	Morgan	Pell	State	Tuit &	Morgan	Cum.	no	De
4		Name	Yr.	SP 12	Comp.	Comp.	Prgm.	Submid	app.	app.	Grant	Grant	Fees	Amt.	GPA	9cr?	obligate

97	Matthew	Miller	Y	12	12	12	12	LIBA	3/30/2011	4/8/2011	3/30/2011		\$1,755	\$1,755	3.25		
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101	Brittnev	Oswald	Y	13	10	10	10	Pre-AND	3/30/2011	11/30/2010	10/29/2010		\$1,755	\$1,755	1.60		
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103	Ryan	Palsgrove	Y	12	11	19	19	LIBA	2/24/2011	3/7/2011	10/29/2010		\$1,755	\$1,755	3.50		
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105	Savannah	Redcliff	Y	14	9	13	13	LIBA	2/14/2011	11/30/2010	10/29/2010		\$1,755	\$1,755	2.25		
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108	John	Ritzko	Y	12	12.5	12.5	12.5	LIBA	3/30/2011	2/9/2011	10/29/2010		\$1,755	\$1,755	2.28		
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112	Alex	Sanchez	Y	12	9.5	9.5	9.5	LIBA	3/13/2011	11/30/2010	10/29/2010		\$1,755	\$1,755	2.00		
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119	Devlin	Smith	Y	13	12	20	20	LIBA	1/18/2011	11/30/2010	10/29/2010		\$1,755	\$1,755	3.40		
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121	Alexandra	Solak	Y	12	0	0	0	LIBA	3/28/2011	2/9/2011	10/29/2010		\$1,755	\$1,755			
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124	Celena	Staffy	Y	10	12	16	16	LIBA	3/17/2011	2/9/2011	10/29/2010	\$713	\$196	\$1,391	\$482	3.19	
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129	Sarah	Trotman	Y	15	9	9	9	EDSA	3/29/2011	11/30/2010	10/29/2010	\$278	\$383	\$1,755	\$1,085	3.67	
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Updated 4/8/11

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	02/27/2012	Tamaqua	HS	Class	of	2011		Spring 2012		152 grads							
2	Marian	Snyder	Jr.	Cur.	Cr/sem	Tot							Total			Acad	
3	ID#	First	Str	Cr	Suc	Cr	Deg.	FAFSA	LCCC	Morgan	Pell	State	Tuit &	Morgan	Cum.	no	De
4	Name	Name	Yr	SP	12	Comp	Comp	Submt	app.	app.	Grant	Grant	Fees	Amt.	GPA	9gr?	obligate

133] Joshua Turner Y 13 15 15 C/JAA 2/27/2011 11/30/2010 10/29/2010 \$1,755 \$1,755 3.20

135] Joshua Vacula Y 13 12.5 20.5 Pre-ADN 2/12/2011 11/30/2010 10/29/2010 \$1,755 \$1,755 2.94

136] Aelda Vanburen Y 9 9.5 9.5 EDJA 3/28/2011 5/31/2011 10/29/2010 \$1,053 \$1,053 2.00

142] Rachael Wehr Y 13 16 24 LIBA 3/30/2011 11/30/2010 10/29/2010 \$1,755 \$1,755 3.00

148] Khayla Zaprazny Y 12 12 15 UNDC 3/6/11 12/2/2010 10/29/2010 \$1,755 \$1,755 3.80

149] Amy Zehner Y 13 4 DUAL NR 10/7/2010 10/29/2010 \$1,550 \$205 3.00

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	2/14/2012	Tanaqua	HS	10.5	10	26	LIBA	03/23/11	11/04/08	10/30/09	\$900	\$208	\$1,228.50	\$121	3.00		
2	Marlan	Snyder	Jr.	Cur. Crsem	Tot.			Spring	2012				Total			Acad	
3	ID#	First	Sr	Cr	Suc	Cr.	Deg.	FAFSA	LCCC	Morgan	Pell	State	Tull &	Morgan	Cum	no	De
4	Name	Name	Yr.	SP'12	Comp.	Comp.	Prgrm.	Submt	app.	app.	Grant	Grant	Fees	Amt.	GPA	9cr?	obligate

8	Megan	Berezwick	Yes	10.5	10	26	LIBA	03/23/11	11/04/08	10/30/09	\$900	\$208	\$1,228.50	\$121	3.00		
9	Tiffany	Bluman	Yes	12	15	59.5	LIBA	03/31/11	10/09/08	10/30/09			\$1,346	\$1,348	3.66		

16	Kali	Chrush	Yes	18	17	48.5	PSYS	04/01/11	11/25/08	11/07/09			\$1,755	\$1,755	3.07		
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20	Brittany	Clouser	Yes	12	6	24.5	CRJUS	3/22/11	2/3/2010	11/5/09	\$1,300	\$150	\$1,755	\$305	2.03		
21	Dennis	Confer	Yes	12	15	45	LIBA	04/06/11	1/6/2010	11/25/09			\$1,755	\$1,755	3.43		

25	James	Deem	Yes	12	9	8	EGRS	7/4/11	1/6/2010	10/30/09			\$1,755	\$1,755	1.25		
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38	Keith	Gensure	Yes	15.5	13	40	COMPG	2/27/11	1/6/2010	10/30/09			\$1,755	\$1,755	3.10		
41	Zoe	Gray	Yes	13	13	37.5	LIBA	4/17/11	11/24/2009	10/30/09	\$350		\$1,755	\$1,405	3.52		

50	Daniel	Hertzog	Yes	12	12	40	LIBA	3/13/11	1/27/2010	10/30/09	\$1,050	\$418	\$1,755	\$288	3.40		
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A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	2/14/2012	Tamara	HS	Class	of	2010		Spring	2012								
2	Marian	Snyder	Jr.	Cur. Crsem	Tot								Total			Acad	
3	ID#	Last	Sr.	Cr	Suc	Cr.	Deg.	FAFSA	LCCC	Morgan	Pell	State	Tull &	Morgan	Cum.	no	De
4	Name	Name	Yr.	SP'12	Comp.	Comp.	Pgm.	Submtd	app.	app.	Grant	Grant	Fees	AmL	GPA	9cr?	obligate

56	Randi	Hollenbach	Yes	12	6	9	PSYS	4/4/11	5/21/2010	4/1/10	\$1,300	\$416	\$1,755	\$39	3.00		
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65	Kathryn	Kench	Yes	9	21	63	EDUA	3/12/11	11/4/2009	10/30/09			\$1,053	\$1,053	4.00		
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74	Kara	Kunkel	Yes	12.5	13	37	LIBA	3/23/11	11/4/2009	10/30/09			\$1,755	\$1,755	3.51		
75	Stephen	Kupat	Yes	13	16	31	EDUA	3/24/11	1/6/2010	10/30/09			\$1,755	\$1,755	2.43		
76	file after school 2011 but is now back in good academic standing after fall 2011 and is therefore eligible for reimbursement																

78	Alyssa	Lewis	Yes	12	13.5	39.5	PSYS	2/24/11	4/1/2010	3/24/10			\$1,755	\$1,755	12.95		
80	Brittany	Love	Yes	10	12.5	44.5	NLSL	1/31/11	1/6/2010	10/30/09	\$2,063	\$198	\$1,170		13.83		

83	Cassandra	Martin	Yes	15	0	8	LIBA	2/27/10	11/4/2009	10/30/09			\$1,755	\$1,755	4.00		
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86	Nicole	Mellor	Yes	12	13	25	SPEEDU	3/13/11	2/3/2010	1/22/10	\$900	\$113	\$1,755	\$743	3.73		
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89	Sharon	Moll	Yes	12	12	42.5	LIBA	4/18/11	1/6/2010	10/30/09			\$1,755	\$1,755	3.29		
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92	Brianne	Moyer	Yes	10	13	52	LIBA	3/18/11	11/4/2009	10/30/09			\$1,170	\$1,170	3.69		
93	Micah	Navitsky	Yes	10	11	33	ARTS	9/8/11	1/6/2010	10/30/09			\$1,170	\$1,170	2.67		
94	Kyle	Oliver	Yes	18	18	42	CRJUS	3/28/11	1/6/2010	10/30/09	\$1,750		\$1,755	\$5	2.57		

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	2/14/2012	Tamaqua	HS	Class	of	2010		Spring	2012								
2	Marian	Snyder	Jr.	Cur. Cr/sem		Tot							Total			Acad	
3	ID#	Last	Sr.	Cr	Suc	Cr.	Deg	FAFSA	LCCC	Morgan	Pell	State	Tuit &	Morgan	Cum.	no	De
4	Name	Name	Yr.	SP'12	Comp.	Comp.	Prgrm.	Submid	app.	app.	Grant	Grant	Fees	AmL	GPA	9cr?	obligate

102	Tyler	Rynkiewicz	Yes	8	12	27.5	LIBA	3/11/11	1/8/2010	10/30/09				\$1,346	\$1,346	2.55	
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114	Adam	Smarr	Yes	9	8	31	CM	3/23/11	11/24/2009	10/30/08	\$525	\$196		\$1,053	\$332	2.76	
115	Megan	Smith	Yes	9	9	39.5	LIBA	3/28/11	1/8/2010	3/11/10				\$1,053	\$1,053	3.90	
116	Catie	Starnes	Yes	10	12.5	44.5	LIBA	3/29/11	1/8/2010	10/30/09				\$1,170	\$1,170	3.45	

125	Nicola	Thomas	Yes	13	13	55	ECE	3/31/11	1/8/2010	10/30/08				\$1,755	\$1,755	4.00	
127	Benjamin	Tucket	Yes	13	13	38	EGRS	3/23/10	1/8/2010	10/30/09				\$1,755	\$1,755	2.58	

135	Steve	Westwood	Yes	9	9	24	LIBA	4/2/11	12/2/2009	11/8/09	\$750	\$208		\$1,053	\$95	2.44	
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[illegible]

Lehigh Carbon



COMMUNITY COLLEGE

4626 Education Park Drive
Schnecksville, PA 18078-2688

Invoice No. SB111212SUM

INVOICE

Customer

Name John E. Morgan Foundation Inc Trustees
Address P.O. Box 349
City Tamaqua State Pa ZIP 18252
Phone _____

Date 8/15/2012
Order No. _____
Rep _____

Qty	Description	Unit Price	TOTAL
	MORGAN SCHOLARSHIP		
	Summer 2012		
1	CLASS OF 2010 SCHOLARSHIPS	\$2,913.00	\$2,913.00
1	CLASS OF 2011 SCHOLARSHIPS	\$3,913.00	\$3,913.00
SubTotal			\$6,826.00
TOTAL			\$6,826.00

Please make checks payable to Lehigh Carbon Community College! Federal ID
#23-1670163

Thank you!

[illegible]

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	18/15/2012	Tamaqua	HS	Class	of	2010		Summer	2012							Acad	
2	Marian	Snyder	Jr.	Cur.	Cr/sem	Tot.							Total			Prob?	
3	ID#	First	Sr.	Cr	Suc	Cr	Deg.	FAFSA	LCCC	Morgan	Pell	State	Tult &	Morgan	Cum	no	De
4		Name	Yr.	SU 12	Comp.	Comp.	Prgm.	Submid	app.	app.	Grant	Grant	Fees	Amt	GPA	9cr?	obligate

114	Megan	Smith	Yes	3	9	39.5	LIBA	3/28/11	1/6/2010	3/11/10			\$351	\$351	3.90		
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[illegible]

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	8/15/2012	Tamaqua	HS	Class	of	2011		Summer	7/4/1905	192 grads			Total			Acad	
2	Marian	Snyder	Jr.	Cur	Cr/sem	Tot		FAFSA	LCCC	Morgan	Pell	State	Tuit & Fees	Morgan	Cum.	Prob?	
3	ID#	Last	Sr.	Cr	Suc	Cr	Deg	Submtd	app	app	Grant	Grant		Amt.	GPA	no	De
4		Name	Yr.	SU12	Comp	Comp	Prgm.									9cr?	obligate
5																	

11	Hailey	Arnold	Y	6	15	24	EDUA	3/29/2011	11/30/2010	10/29/2010			\$780	\$780	3.88		
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23	Alesia	Casler	Y	4	16	24	Pre-ADN	3/16/2011	11/30/2010	10/29/2010			\$286	\$286	3.19	w/d	
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Updated 4/6/11

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	8/15/2012		Tamaqua	HS	Class	of	2011		Summer	7/4/1905	152 grads						Acad	
2	Marian	L	Snyder	Jr.	Cur. Cr/sem	Tot.			FAFSA	LCCC	Morgan	Pell	State	Total	Morgan	Cum.	Prob?	
3	ID#	First	Last	Sr.	Cr	Suc	Cr.	Deg.	Submtd	app.	app.	Grant	Grant	Tuit & Fees	Amt.	GPA	no	De
4		Name	Name	Yr.	SU12 Comp.	Comp.		Prgm									9c?	obligate

56	Chloe	Hartung	Y	4	15	24	EDUA	3/21/2011	11/30/2010	10/29/2010				\$468	\$468	3.63		
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85	Justin	Imbody	Y	3	9.5	9.5	DAPA	2/21/2011	11/30/2010	10/29/2010				\$351	\$351	3.00		
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Updated 4/6/11

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	8/15/2012	Tamaqua	HS	Class	of	2011		Summer	7/4/1905	152 grads						Acad	
2	Marian	Snyder	Jr.	Cur.	Cr/semi	Tot		FAFSA	LCCC	Morgan	Pell	State	Tuit &	Morgan	Cum.	Prob?	
3	ID#	First	Sr.	Cr	Suc.	Cr.	Deg.	Submitd	app.	app.	Grant	Grant	Fees	Amt	GPA	no	De
4		Name	Yr.	SU12	Comp.	Comp.	Pgm.									scr?	loblocate

95	Matthew	McAlouse	Y	6	12	20	PSYS	3/20/2011	11/30/2010	10/29/2010			\$156	\$156	3.85	w/d	
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112	Alex	Sanchez	Y	7	9.5	9.5	LIBA	3/13/2011	11/30/2010	10/29/2010			\$819	\$819	2.00		
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A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	8/15/2012	Tamaqua	HS	Class	of	2011		Summer	7/14/1905	152 grads						Acad	
2	Marian	Snyder	Jr.	Cur.	Crlsem	Tot.							Total			Prob?	
3	ID#	Last	St.	Cr	Suc	Cr.	Deg.	FAFSA	LCCC	Morgan	Pell	State	Tuit &	Morgan	Cum.	no	De
4	Name	Name	Yr.	SU12	Comp	Comp.	Pgm.	Submtd	app.	app.	Grant	Grant	Fees	Amt	GPA	9cr?	obligate

139	Emily	Vitale	Y	6.	12.5	23.5	HCCD	11/2/2011	11/30/2010	10/29/2010			\$702	\$702	3.47		
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148	Khayla	Zaprazny	Y	3	12	15	UNDC	3/6/11	12/2/2010	10/29/2010			\$351	\$351	3.80		
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152	TOTAL													\$3,913	\$3,913		
153	w/d	=	withdrawn	from	class												

Lehigh Carbon



COMMUNITY COLLEGE

4525 Education Park Drive
Schnecksville, PA 18078-2588

Invoice No. SB111212FALL

INVOICE

Customer

Name John E. Morgan Foundation Inc Trustees
Address P.O. Box 349
City Tamaqua State Pa ZIP 18252
Phone _____

Date 11/12/2012
Order No. _____
Rep _____

Qty	Description	Unit Price	TOTAL
	MORGAN SCHOLARSHIP		
	Fall 2012		
1	CLASS OF 2011 SCHOLARSHIPS	\$35,976.00	\$35,976.00
1	CLASS OF 2012 SCHOLARSHIPS	\$50,244.00	\$50,244.00
SubTotal			\$86,220.00
TOTAL			\$86,220.00

Please make checks payable to Lehigh Carbon Community College! Federal ID
#23-1670163

Thank you!

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	10/26/2012		HS	Class	of	2011		Fall		152 grads							
2	Marian	L	Jr.	Cur.	Cr/sem	Tot.										Acad	
3	ID#	First	Last	Sr.	Cr/	Suc/	Dep.	FAFSA	LCCC	Morgan	Pell	State	Total	Morgan	Cum.	no	De

11	Halley	Arnold	Y	17	14	38	EDUA	3/31/2012	11/30/2010	10/29/2010			\$1,830	\$1,830	3.84		
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18	Jerome	Betz	Y	10	0	14	LIBA	4/4/2012	11/10/2010	10/29/2010			\$1,220	\$1,220	4.00		
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32	Brianne	Curvey	Y	12	16	40.5	BUS	3/28/2012	2/9/2011	10/29/2010	\$1,250	\$555	\$1,830	\$25	3.85		
34	Sarah	DeBenedict	Y	13	10	27.5	ADN	1/4/2012	11/30/2010	10/29/2010			\$1,830	\$1,830	2.19		
35	Brianne	Derr	Y	12	13	30.5	Pre-ADN	3/8/2012	11/12/2010	10/29/2010			\$1,830	\$1,830	2.96		
37	Deana	Dewire	Y	13	13	25	LIBA	3/18/2012	11/30/2010	10/29/2010			\$1,830	\$1,830	3.28		

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	10/26/2012	Tamaqua	HS	Class	of	2011		Fall		152 grads							
2	Marian	Snyder	Jr.	Cur. Crsem	Tot								Total			Acad	
3	ID#	Last	Sr.	Cr	Suc	Cr.	Deg.	FAFSA	LCCC	Morgan	Pell	State	Tuit &	Morgan	Cum.	no	De
4	Name	Name	Yr.	Fall12	Comp.	Comp.	Prgm.	Submt	app.	app.	Grant	Grant	Fees	Amt	GPA	9cr?	obligate

50	Chelsey	Fritz	Y	14	10	33.5	LIBA	3/29/2012	11/30/2010	10/29/2010			\$1,830	\$1,830	3.40		
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55	Mathew	Hadesy	Y	12	12	28	EDUA	4/1/2012	11/30/2010	10/29/2010			\$1,830	\$1,830	2.93		
56	Chloe	Hartung	Y	20	17	41	EDUA	3/27/2012	11/30/2010	10/29/2010			\$2,074	\$2,074	3.56		

66	Nicolai	Kabana	Y	13	12	24	LIBA	2/10/2012	11/30/2010	10/29/2010			\$1,830	\$1,830	3.00		
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72	Paige	Kosica	Y	12	13	30	Pre-ADN	2/26/2012	11/30/2010	10/29/2010			\$1,830	\$1,830	3.33		
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84	Damien	Levy	Y	15	0	0	CSP	4/25/2012	11/30/2010	10/29/2010			\$1,830	\$1,830	2.97	New	
85	Derek	Linkhorst	Y	12	12	38	Pre-PTA	3/15/2012	11/30/2010	10/29/2010			\$1,830	\$1,830	2.97		

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	10/26/2012	Tamaqua	HS	Class	of	2011		Fall		152 grads						Acad	
2	Marian	Snyder	Jr.	Cur.	Cr/sem	Tot.		FAFSA	LCCC	Morgan	Pell	State	Total			Prob?	
3	ID#	First	Sr.	Cr	Suc	Cr.	Deg.	Submtld	app.	app.	Grant	Grant	Fees	Amt.	Cum.	no	De
4		Name	Yr.	Fall	Comp.	Comp.	Prpmt.								GPA	9cr?	obligate

96	Matthew	Miller	Y	11	9	21	LIBA	3/31/2012	4/8/2011	3/30/2011				\$1,342	\$1,342	2.75	
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102	Ryan	Palsgrove	Y	14	12	31	LIBA	2/23/2012	3/7/2011	10/29/2010				\$1,830	\$1,830	2.60	
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111	Alex	Sanchez	Y	12	12	21.5	LIBA	2/26/2012	11/30/2010	10/29/2010				\$1,830	\$1,830	2.73	
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118	Devin	Smith	Y	9	10	30	LIBA	2/26/2012	11/30/2010	10/29/2010				\$1,098	\$1,098	3.37	
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120	Alexandra	Sotak	Y	9	3	9	LIBA	5/2/2012	2/9/2011	10/29/2010	\$600			\$1,398	\$798	2.50	
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123	Celena	Steffy	Y	13.5	10	26	LIBA	3/11/2012	2/9/2011	10/29/2010				\$1,281	\$1,281	3.38	
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128	Sarah	Trotman	Y	13	15	24	EDSA	3/28/2012	11/30/2010	10/29/2010		\$532		\$1,830	\$1,298	3.63	
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	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	10/26/2012		Tamaqua	HS	Class	of	2011		Fall		152 grads						Acad	
2	Marlan		Snyder	Jr.	Cur.	Cr/sem	Tot.							Total			Prob?	
3	ID#	First	Last	Sc.	Cr	Suc	Cr.	Deg.	FAFSA	LCCC	Morgan	Pell	State	Tuit &	Morgan	Cum.	no	De
4		Name	Name	Yr.	Fall/12	Comp.	Comp.	Prgm.	Submtd	app.	app.	Grant	Grant	Fees	Amt	GPA	scr?	obligate
132	Joshua	Turner		Y	16.5	13	28	CJAA	3/11/2012	11/30/2010	10/29/2010			\$1,830	\$1,830	3.25		
134	Joshua	Vacula		Y	10	13	33.5	Pre-ADN	2/29/2012	11/30/2010	10/29/2010			\$1,220	\$1,220	2.51		

147	Khayla	Zaprazny		Y	16	12	27	PSYS	2/10/2012	12/2/2010	10/29/2010			\$1,830	\$1,830	3.44		
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A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
1	M. Snyder	10/26/2012	Tamaqua	HS	Class	2012		Fall	145 grads.								
2				Jr.	Cur.	Cur/Sem	Total						Total			Acad	
3				Sr.	Cr.	Suc.	Cr.	FAFSA	LCCC	Morgan	Pell	State	Tuit &	Morgan	Cum.	no	De
4	ID#	First Name	Last Name	Yr.	Fall 2012	Comp.	Comp.	Submitted	app.	app.	Grant	Grant	Fees	Armt.	GPA	9 Cr?	obligate

10	Amanda	Bieniek	Y	13				3	LIB. ARTS	2/25/2012	1/5/2012	3/5/2012		\$1,830	\$1,830	4.00	
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18	Samuel	DePue	Y	17					LIFE SCNC	2/12/2012	4/2/2012	2/26/2012		\$1,830	\$1,830		
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20	Alan	Duffy-Guy	Y	15.5				6	COMP. GM	3/28/2012	11/22/2011	3/10/2012		\$1,830	\$1,830	2.50	
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25	Jessi	Ferrey	Y	13					LIB. ARTS	3/26/2012	2/29/2012	3/8/2012		\$1,830	\$1,830		
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27	Kendall	Foulk	Y	12.5					FINEARTS	3/21/2012	12/22/2011	12/13/2011		\$1,830	\$1,830		
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29	Erin	Frantz	Y	15.5					PSYCOLG.	3/28/2012	11/4/2011	11/4/2011		\$1,830	\$1,830		
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33	Tawmie	Gerber	Y	12				3	LIB. ARTS	3/29/2012	4/30/2012	12/12/2011		\$1,830	\$1,830	4.00	
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35	Marissa	Guardsclone	Y	12					LIB. ARTS	2/16/2012	11/30/2011	11/10/2011		\$1,830	\$1,830		
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36	Sarah	Hankey	Y	15				12	LIB. ARTS	2/20/2012	11/20/2011	10/19/2012	1300	\$1,830	\$530	2.25	
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37	Emily	Hansler	Y	15				3	EARL CHD.	2/27/2012	11/14/2011	11/22/2011		\$1,830	\$1,830	4.00	
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42	Jennifer	Kabana	Y	15				9	COMUNC.	3/21/2012	12/21/2011	2/28/2012	\$1,800	\$1,830	\$30	4.00	
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Don Wilson Rd
SF
N. 10/26/12

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
43	Travis	Kistler	Y	15			LIB. ARTS	3/29/2012	12/21/2011	11/4/2011			\$1,830	\$1,830		w/d	

48	Collin	Leibensperger	Y	18			8 UNDECLA.	2/20/2012	10/3/2011	11/4/2011		\$532	\$1,830	\$1,298	3.50		
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50	Ashley	Matalavage	Y	13			3 LIFE SCNC	2/29/2012	11/30/2011	11/4/2011	\$1,200	\$439	\$1,830	\$191	2.00		
51	Zack	Matsago	Y	15			CONST. TC	3/11/2012	11/7/2011	11/4/2011			\$1,830	\$1,830			

56	Ivan	Munoz	Y	15			9 LIB. ARTS	2/27/2012	4/13/2012	2/23/2012	\$1,250	\$578	\$1,830	\$2	3.00		
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59	Cady	O'Malley		12			8 LIB. ARTS	3/31/2012	3/26/2012	8/21/2012	\$700		\$1,830	\$1,130	3.00		
60	Carly	O'Malley		15			8 LIB. ARTS	4/29/2012	4/2/2012	7/29/2012	\$750		\$1,830	\$1,080	3.50		

64	Robert	Pratka	Y	12.5			BUS. MGT.	3/18/2012	3/13/2012	3/28/2012			\$1,830	\$1,830			
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68	Ashley	Richmond	Y	6			LIB. ARTS	2/13/2012	12/8/2011	12/5/2011	\$101		\$882	\$781		w/d	
69	Christina	Richmond	Y	12			LIB. ARTS	2/11/2012	12/14/2011	12/5/2011		\$578	1830	\$1,252			
70	Gara	Rosa	Y	12			HUM. SER.	3/26/2012	4/18/2012	11/7/2011	1830		\$1,830	\$30			
71	Kyle	Sabol	Y	12.5			LIB. ARTS	4/23/2012	11/22/2011	11/4/2011			\$1,830	\$1,830			

73	Jenna	Schlosser	Y	13			LIB. ARTS	3/11/2012	11/7/2011	11/6/2011			\$1,830	\$1,830			
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81	Michael	Swelgert	Y	12			8 LIB. ARTS	2/23/2012	2/13/2012	5/15/2012			\$1,830	\$1,830	3.50		
82	Roger	Taras	Y	15.5			6 COMP. SP.	3/20/2012	4/2/2012	3/12/2012			\$1,830	\$1,830	3.00		
83	Blake	Tentinger	Y	13			LIB. ARTS	3/27/2012	12/2/2011	11/14/2011			\$1,830	\$1,830			
84	Emily	Thompson	Y	12			EARL. CHD.	3/5/2012	4/2/2012	1/17/2012			\$1,830	\$1,830			

10/26/12

10/26/12

	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R
87	Ashley	Vanburen		Y	13		3	UB. ARTS	4/1/2012	1/12/2012	4/27/2012			\$1,830	\$1,830	4.00		
89	Paul	Vicek		Y	12			UB. ARTS	2/29/2012	2/1/2012	11/4/2011			\$1,830	\$1,830			
94	Victoria	White		Y	15		3	PSTCOLG.	3/31/2012	11/4/2011	3/28/2012			\$1,830	\$1,830	4.00		
95	Andrea	Whitley		Y	16			UB. ARTS	3/17/2012	11/22/2011	3/29/2012			\$1,830	\$1,830			
97	Tylor	Wolfe		Y	16			UB. ARTS	3/27/2012	2/29/2012	2/12/2012			\$1,830	\$1,830			
99	Zachery	Yachera		Y	14		6	MATHMA	2/21/2012	2/3/2012	1/26/2012			\$1,830	\$1,830	3.00		
101	TOTAL												\$55,078	\$7,194	\$103,002	\$50,244		

Trade date basis

ACCOUNT HOLDINGS

Cash and Short Term

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
BESSEMER SWEEP PROGRAM 0.010 %	169,192.310	\$1.00	\$169,192	\$1,000	\$169,192	96.9%		\$16	0.01%
BESSEMER SWEEP PROGRAM 0.010 %	5,361 450	1.00	5,361	1 000	5,361	3.1			0.01
Total cash and short term			\$174,553		\$174,553	100.0%		\$16	

Fixed Income

Fixed Income - cash and short term

BESSEMER SWEEP PROGRAM 0.010 %	15,897.210	\$1.00	\$15,897	\$1,000	\$15,897	1.6%	\$0	\$1	0.01%
US government bonds									
FEDERAL HOME LOAN BANK 3.400 % Due 06/17/2014	75,000	\$100.38	\$75,288	\$104.531	\$78,398	8.1%	\$3,110	\$2,550	3.25%
US TREASURY NOTE 2.375 % Due 06/30/2018	112,000	109.25	122,355	108.375	121,380	12.5	(975)	2,660	2.19
US TREASURY NOTE 2.125 % Due 08/15/2021	85,000	104.89	89,153	105.125	89,356	9.2	203	1,806	2.02
US TREASURY NOTES 2.000 % Due 11/15/2021	189,000	105.17	198,774	103.789	196,161	20.2	(2,613)	3,780	1.93
Total US government bonds			\$485,570		\$485,295	50.0%	(\$275)	\$10,796	2.22%

Trade date basis

Taxable municipal bonds

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
NJ ECON DEV AUTH TAXABLE SER FF REV Not callable 3.169 % Due 09/01/2014 A1 /A+	25,000	\$100.00	\$25,000	\$101.822	\$25,455	2.6%	\$455	\$792	3.11%
NEW YORK ST URBAN DEV CP TAXABLE-ST PIT Not callable 2.626 % Due 12/15/2014 /AAA	30,000	100.00	30,000	104.092	31,227	3.2	1,227	787	2.52
MAINE ST MUNI BOND BANK TXBL-REF-SER C Not callable 1.427 % Due 11/01/2016 /AA+	20,000	100.00	20,000	100.265	20,053	2.1	53	285	1.42
ENERGY NW WA ELEC REV TAX COLUMBIA GENERATING Not callable 2.147 % Due 07/01/2018 Aa1 /AA-	20,000	101.76	20,351	103.350	20,670	2.1	319	429	2.08
Total taxable municipal bonds			\$95,351		\$97,405	10.0%	\$2,054	\$2,293	2.35%

Corporate bonds

NOVARTIS CAPITAL CORP 4.125 % Due 02/10/2014 Aa2 /AA-	5,000	\$99.88	\$4,994	\$104.003	\$5,200	0.5%	\$206	\$206	3.97%
PROCTER & GAMBLE CO 3.500 % Due 02/15/2015 Aa3 /AA-	30,000	99.58	29,874	106.175	31,852	3.3	1,978	1,050	3.30
BANK OF NEW YORK MELLON 2.950 % Due 06/18/2015 Aa3 /A+	25,000	99.87	24,967	105.472	26,368	2.7	1,401	737	2.80
JP MORGAN CHASE & CO 3.150 % Due 07/05/2016 A2 /A	30,000	105.47	31,640	105.945	31,783	3.3	143	945	2.97
GENERAL ELEC CAP CORP 2.900 % Due 01/09/2017 A1 /AA+	30,000	103.17	30,951	105.734	31,720	3.3	769	870	2.74
BB&T CORPORATION 2.150 % Due 03/22/2017 A2 /A-	20,000	100.36	20,071	103.428	20,685	2.1	614	430	2.08

Trade date basis

Corporate bonds - continued	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
AMERICAN EXPRESS CREDIT 2.375 % Due 03/24/2017 A2 /A-	22,000	99.73	21,940	104.632	23,019	2.4	1,079	522	2.27
BERKSHIRE HATHAWAY FIN 1.600 % Due 05/15/2017 Aa2 /AA+	20,000	99.92	19,984	101.928	20,385	2.1	401	320	1.57
US BANCORP 1.650 % Due 05/15/2017 A1 /A+	20,000	99.81	19,962	101.890	20,378	2.1	416	330	1.62
PEPSICO INC 1.250 % Due 08/13/2017 Aa3 /A-	20,000	99.48	19,896	100.376	20,075	2.1	179	250	1.25
TOYOTA MOTOR CREDIT CORP 1.250 % Due 10/05/2017 Aa3 /AA-	20,000	99.94	19,988	100.711	20,142	2.1	154	250	1.24
COSTCO WHOLESALE CORP 1.125 % Due 12/15/2017 A1	20,000	99.98	19,996	100.670	20,134	2.1	138	225	1.12
Total corporate bonds			\$264,263		\$271,741	28.0%	\$7,478	\$6,135	2.26%
International bonds									
SHELL INTERNATIONAL FIN 4.000 % Due 03/21/2014 Aa1 /AA	30,000	\$99.97	\$29,991	\$104.401	\$31,320	3.2%	\$1,329	\$1,200	3.83%
EKSPOFINANS AVS EXPT 2 15 2.000 % Due 09/15/2015 Ba1 /BB+	30,000	99.74	29,923	95.717	28,715	3.0	(1,208)	600	2.09
KFW 1.250 % Due 02/15/2017 Aaa /AAA	20,000	99.63	19,925	102.041	20,408	2.1	483	250	1.22
ONTARIO (PROVINCE OF) 1.100 % Due 10/25/2017 Aa2 /AA-	20,000	99.86	19,972	100.110	20,022	2.1	50	220	1.10
Total international bonds			\$99,811		\$100,465	10.4%	\$654	\$2,270	2.26%
Total fixed income			\$960,892		\$970,803	100.0%	\$9,911	\$21,495	2.21%

Trade date basis

Large Cap Core - U.S.

Consumer discretionary

COACH INC (COH)	95	\$55.02	\$5,227
DOLLAR GENERAL CORP (DG)	100	43.54	4,354
LOWES COS INC (LOW)	175	28.79	5,039
MACY'S INC (M)	160	34.64	5,543
TARGET CORP (TGT)	75	50.65	3,799
Total consumer discretionary			\$23,962

Consumer staples

CVS/CAREMARK CORP (CVS)	100	\$37.82	\$3,782
WALGREEN CO (WAG)	225	36.32	8,171
Total consumer staples			\$11,953

Energy

CONOCOPhillips (COP)	75	\$53.75	\$4,031
MARATHON OIL CORP (MRO)	175	28.63	5,011
Total energy			\$9,042

Financials

ACE LIMITED	95	\$49.64	\$4,716
CITIGROUP INC (C)	100	29.82	2,982
Total financials			\$7,698

Health care

AETNA INC NEW (AET)	105	\$43.71	\$4,590
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Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
\$55.510	\$5,273	3.5%	\$46	\$114	2.16%
44.090	4,409	2.9	55		
35.520	6,216	4.1	1,177	112	1.80
39.020	6,243	4.1	700	128	2.05
59.170	4,437	2.9	638	108	2.43
	\$26,578	17.6%	\$2,616	\$462	1.74%
\$48.350	\$4,835	3.2%	\$1,053	\$90	1.86%
37.010	8,327	5.5	156	247	2.97
	\$13,162	8.7%	\$1,209	\$337	2.56%
\$57.990	\$4,349	2.9%	\$318	\$198	4.55%
30.660	5,365	3.6	354	119	2.22
	\$9,714	6.4%	\$672	\$317	3.26%
\$79.800	\$7,581	5.0%	\$2,865	\$186	2.46%
39.560	3,956	2.6	974	4	0.10
	\$11,537	7.7%	\$3,839	\$190	1.65%
\$46.310	\$4,862	3.2%	\$272	\$84	1.73%

Trade date basis

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
JOHNSON & JOHNSON (JNJ)	100	63.82	6,382	70.100	7,010	4.7	628	244	3.48
THERMO FISHER SCIENTIFIC (TMO)	105	58.00	6,090	63.780	6,696	4.4	606	63	0.94
UNITEDHEALTH GROUP INC (UNH)	115	47.46	5,458	54.240	6,237	4.1	779	97	1.57
ZIMMER HLDGS INC (ZMH)	130	63.57	8,264	66.660	8,665	5.7	401	93	1.08
Total health care			\$30,784		\$33,470	22.2%	\$2,686	\$581	1.74%

Industrials

GENERAL DYNAMICS CORP (GD)	45	\$64.98	\$2,924	\$69.270	\$3,117	2.1%	\$193	\$91	2.94%
WASTE MANAGEMENT INC NEW (WM)	145	33.51	4,859	33.740	4,892	3.2	33	205	4.21
Total industrials			\$7,783		\$8,009	5.3%	\$226	\$296	3.70%

Information technology

ACCENTURE PLC CL A	100	\$56.32	\$5,632	\$66.500	\$6,650	4.4%	\$1,018	\$162	2.44%
AVAGO TECHNOLOGIES	125	35.20	4,400	31.651	3,956	2.6	(444)	85	2.15
INTERNATIONAL BUS MACHINES (IBM)	40	188.78	7,551	191.550	7,662	5.1	111	136	1.77
MICROSOFT CORP (MSFT)	265	25.38	6,725	26.710	7,078	4.7	353	243	3.44
QUALCOMM INC (QCOM)	100	62.40	6,240	61.860	6,185	4.1	(55)	100	1.62
SEAGATE TECHNOLOGY PLC	95	27.51	2,613	30.420	2,889	1.9	276	144	5.00
Total information technology			\$33,161		\$34,420	22.8%	\$1,259	\$870	2.53%

Materials

NEWMONT MINING CORP (NEM)	70	\$59.39	\$4,157	\$46.440	\$3,250	2.2%	(\$907)	\$98	3.01%
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Telecom services

CENTURYLINK INC (CTL)	110	\$37.48	\$4,123	\$39.120	\$4,303	2.9%	\$180	\$319	7.41%
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Trade date basis

Utilities	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
DETROIT ENERGY CO (DTE)	45	\$50.51	\$2,273	\$60,050	\$2,702	1.8%	\$429	\$111	4.13%
EXELON CORP (EXC)	125	41.79	5,224	29,740	3,717	2.5	(1,507)	262	7.06
Total utilities			\$7,497		\$6,419	4.3%	(\$1,078)	\$373	5.81%
Total large cap core - u.s.			\$140,160		\$150,862	100.0%	\$10,702	\$3,843	2.55%

Large Cap Core - Non U.S.

Consumer discretionary

DAIHATSU MOTOR CO. LTD ADR	100	\$37.64	\$3,764	\$39,577	\$3,957	2.4%	\$193	\$113	2.86%
MAGNA INTL INC CL A	95	37.45	3,558	50,020	4,751	2.9	1,193	136	2.88
VOLKSWAGEN AG ADR	70	32.76	2,293	45,392	3,177	1.9	884	41	1.31
Total consumer discretionary			\$9,615		\$11,885	7.2%	\$2,270	\$290	2.44%

Consumer staples

AB FOODS LTD ADR	250	\$17.13	\$4,282	\$25,423	\$6,355	3.8%	\$2,073	\$97	1.54%
IMPERIAL TOBACCO LT ADR	100	73.26	7,326	77,146	7,714	4.7	388	337	4.37
KON AHOLD ADR	550	13.64	7,504	13,362	7,349	4.4	(155)	239	3.26
Total consumer staples			\$19,112		\$21,418	12.9%	\$2,306	\$673	3.14%

Energy

ENI S.P.A ADR	150	\$41.85	\$6,278	\$49,140	\$7,371	4.5%	\$1,093	\$321	4.37%
SINOPEC/CHINA PETE&CHM ADR	60	111.53	6,692	114,920	6,895	4.2	203	254	3.70

Statement dated December 31, 2012

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JOHN E MORGAN FDN-SUB AC

Trade date basis

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
TOTAL SA ADR	150	51.57	7,735	52,010	7,801	4.7	66	376	4.82
Total energy			\$20,705		\$22,067	13.3%	\$1,362	\$951	4.31%
Financials									
ALLIANZ AKTIENGES ADR	275	\$10.40	\$2,861	\$13,817	\$3,799	2.3%	\$938	\$117	3.10%
CHINA CONSTRUCTION ADR	375	14.14	5,301	16,050	6,018	3.6	717	234	3.89
MUNICH RE GROUP ADR	375	12.12	4,545	17,930	6,723	4.1	2,178	205	3.06
NORDEA BK SWEDEN AB ADR	425	7.58	3,222	9,546	4,057	2.5	835	117	2.89
SUMITOMO MITSUI FIN ADR	1,000	6.02	6,016	7,340	7,340	4.4	1,324	227	3.09
SUNCORP GROUP ADR	375	8.92	3,344	10,558	3,959	2.4	615	134	3.40
WHARF HOLDINGS ADR	150	9.49	1,423	15,637	2,345	1.4	922	39	1.70
Total financials			\$26,712		\$34,241	20.7%	\$7,529	\$1,073	3.13%
Health care									
ITOCHU CORP ADR	275	\$20.95	\$5,761	\$20,980	\$5,769	3.5%	\$8	\$269	4.68%
SANOFLI - ADR	150	34.19	5,129	47,380	7,107	4.3	1,978	214	3.02
TEVA PHARM INDS LTD ADR	175	41.58	7,277	37,340	6,534	4.0	(743)	140	2.15
Total health care			\$18,167		\$19,410	11.7%	\$1,243	\$623	3.21%
Industrials									
EAST JAPAN RAIL ADR	650	\$10.09	\$6,559	\$10,756	\$6,991	4.2%	\$432	\$126	1.80%
EMBRAER SA ADR	160	27.97	4,475	28,510	4,561	2.8	86		
NIKON CORP PLC UNSPON-ADR	125	28.43	3,554	29,214	3,651	2.2	97	51	1.40
Total industrials			\$14,588		\$15,203	9.2%	\$615	\$177	1.16%

Trade date basis

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
Materials									
AKZO NOBEL NV ADR	125	\$15.99	\$1,999	\$21.861	\$2,732	1.7%	\$733	\$62	2.28%
BARRICK GOLD CORP	200	46.11	9,222	35.010	7,002	4.2	(2,220)	80	1.14
BHP BILLITON PLC-ADR	65	56.14	3,649	70.370	4,574	2.8	925	145	3.18
Total materials			\$14,870		\$14,308	8.7%	(\$562)	\$287	2.01%
Telecom services									
CHINA TELECOM ADR	110	\$60.45	\$6,649	\$56.850	\$6,253	3.8%	(\$396)	\$108	1.73%
TELE2 AB ADR	375	9.49	3,557	9.000	3,375	2.0	(182)	125	3.71
TIM PARTICIPACOES SA ADR	200	28.08	5,615	19.820	3,964	2.4	(1,651)	57	1.45
VODAFONE GP PLC NEW ADR	225	27.37	6,159	25.190	5,667	3.4	(492)	337	5.95
Total telecom services			\$21,980		\$19,259	11.6%	(\$2,721)	\$627	3.26%
Utilities									
SSE PLC ADR	205	\$20.40	\$4,182	\$23.049	\$4,725	2.9%	\$543	\$256	5.43%
TOKYO GAS LTD ADR	162	17.02	2,758	18.273	2,960	1.8	202	60	2.03
Total utilities			\$6,940		\$7,685	4.6%	\$745	\$316	4.11%
Total large cap core - non u.s.			\$152,689		\$165,476	100.0%	\$12,787	\$5,017	3.03%

Trade date basis

Large Cap Strategies

Large cap strategies funds

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Percent of asset class	Market value	Unrealized gain/loss	Estimated annual income	Current yield
OW LARGE CAP STRATEGIES FD BOOK COST 315,475	34,422,278	\$8.30	\$285,669	\$10.020	100.0%	\$344,911	\$59,242	\$2,512	0.73%
Total large cap strategies			\$285,669		100.0%	\$344,911	\$59,242	\$2,512	0.73%

Large Cap - U.S.

Miscellaneous

S&P 500 DEP RCPTS	4,690	\$130.09	\$610,117	\$142.410	100.0%	\$667,902	\$57,785	\$14,553	2.18%
Total large cap - u.s.			\$610,117		100.0%	\$667,902	\$57,785	\$14,553	2.18%

Global Small & Mid Cap

Global small & mid cap funds

OW GLOBAL SML & MID CAP FD BOOK COST 520,908	47,096,381	\$10.72	\$504,689	\$14.690	100.0%	\$691,845	\$187,156	\$7,723	1.12%
Total global small & mid cap			\$504,689		100.0%	\$691,845	\$187,156	\$7,723	1.12%

Trade date basis

Global Opportunities

Global opportunities funds

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
OW GLOBAL OPPORTUNITIES FD BOOK COST	129,083.705	\$6.80	\$877,383	\$7.560	\$975,872	100.0%	\$98,489	\$30,980	3.17%
Total global opportunities			\$877,383		\$975,872	100.0%	\$98,489	\$30,980	3.17%

Real Return / Commodities

Real return funds

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
OW REAL RETURN FUND BOOK COST	27,487.585	\$10.14	\$278,844	\$9.270	\$254,809	100.0%	(\$24,035)	\$632	0.25%
Total real return / commodities			\$278,844		\$254,809	100.0%	(\$24,035)	\$632	0.25%

Total value of account			\$3,984,996		\$4,397,033		\$412,037	\$86,771	1.97%
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Total interest and dividends accrued

Total value of account including accruals

Market value
4,626
\$4,401,659

Trade date basis

ACCOUNT HOLDINGS

Cash and Short Term

	Shares/units	Average tax cost per share/unit	Tax cost
CASH IN PROCESS			(\$10,084)
BESSEMER SWEEP PROGRAM 0.010 %	369,981.980	1.00	369,981
BESSEMER SWEEP PROGRAM 0.010 %	50,067.240	1.00	50,067
US TREASURY BILLS 0.150 % Due 05/02/2013	370,000	99.92	369,719
US TREASURY BILLS 0.150 % Due 05/16/2013	450,000	99.93	449,670
Total cash and short term			\$1,229,353

Fixed Income

Fixed Income - cash and short term

BESSEMER SWEEP PROGRAM 0.010 %	158,126.350	\$1.00	\$158,126	\$0	1.2%	\$15	0.01%
US government bonds							
FEDERAL NATL MTG ASSN FR 5.000 % Due 04/15/2015	215,000	\$113.80	\$244,665	(\$6,841)	1.8%	\$10,750	4.52%
US TREASURY NOTE 2.125 % Due 08/15/2021	1,919,000	104.89	2,012,776	4,572	15.6	40,778	2.02
US TREASURY NOTES 2.000 % Due 11/15/2021	2,140,000	105.17	2,250,678	(29,594)	17.2	42,800	1.93
Total US government bonds			\$4,508,119	(\$31,863)	34.6%	\$94,328	2.11%

Trade date basis

Taxable municipal bonds									
	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
NJ ECON DEV AUTH TAXABLE SER FF REV Not callable 3.169 % Due 09/01/2014 A1 /A+	310,000	\$100.00	\$310,000	\$101.822	\$315,648	2.4%	\$5,648	\$9,823	3.11%
NEW YORK ST URBAN DEV CP TAXABLE-ST PIT Not callable 2.626 % Due 12/15/2014 /AAA	300,000	100.00	300,000	104.092	312,276	2.4	12,276	7,878	2.52
NY ST DORM AUTH ST PIP PIT TAXABLE SER G Not callable 2.825 % Due 03/15/2016 /AAA	300,000	98.51	295,524	105.944	317,832	2.5	22,308	8,475	2.67
OHIO ST MAJOR NEW ST INFRA BAB-TAXABLE-SER 2 Not callable 3.643 % Due 06/15/2016 Aa2 /AA	400,000	100.00	400,000	108.205	432,820	3.4	32,820	14,572	3.37
MAINE ST MUNI BOND BANK TXBL-REF-SER C Not callable 1.427 % Due 11/01/2016 /AA+	290,000	100.00	290,000	100.265	290,768	2.3	768	4,138	1.42
ENERGY NW WA ELEC REV TAX COLUMBIA GENERATING Not callable 2.147 % Due 07/01/2018 Aa1 /AA-	250,000	101.76	254,392	103.350	258,375	2.0	3,983	5,367	2.08
Total taxable municipal bonds			\$1,849,916		\$1,927,719	14.9%	\$77,803	\$50,263	2.61%
Corporate bonds									
NOVARTIS CAPITAL CORP 4.125 % Due 02/10/2014 Aa2 /AA-	170,000	\$99.90	\$169,824	\$104.003	\$176,805	1.4%	\$6,981	\$7,012	3.97%
PNC FUNDING CORP 3.625 % Due 02/08/2015 A3 /A-	85,000	102.08	86,770	105.861	89,981	0.7	3,211	3,081	3.42

Trade date basis

Corporate bonds - continued										Average tax cost per share/unit	Shares/units	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
PROCTER & GAMBLE CO 3.500 % Due 02/15/2015 Aa3 /AA-										99.58	350,000	348,530	106.175	371,612	2.9	23,082	12,250	3.30
BANK OF NEW YORK MELLON 2.950 % Due 06/18/2015 Aa3 /A+										99.87	300,000	299,613	105.472	316,416	2.5	16,803	8,850	2.80
FFLP GROUP CAPITAL INC 2.600 % Due 09/01/2015 Baa1 /BBB+										104.78	250,000	261,940	104.188	260,470	2.0	(1,470)	6,500	2.50
BERKSHIRE HATHAWAY FIN 2.450 % Due 12/15/2015 Aa2 /AA+										105.52	454,000	479,078	105.128	477,281	3.7	(1,797)	11,123	2.33
THERMO FISHER SCIENTIFIC 3.200 % Due 03/01/2016 Baa1 /A-										107.53	250,000	268,825	106.197	265,492	2.1	(3,333)	8,000	3.01
JP MORGAN CHASE & CO 3.150 % Due 07/05/2016 A2 /A										105.47	400,000	421,868	105.945	423,780	3.3	1,912	12,600	2.97
US BANCORP 2.200 % Due 11/15/2016 A1 /A+										99.74	200,000	199,488	104.191	208,382	1.6	8,894	4,400	2.11
GENERAL ELEC CAP CORP 2.900 % Due 01/09/2017 A1 /AA+										103.17	325,000	335,312	105.734	343,635	2.7	8,323	9,425	2.74
AMERICAN EXPRESS CREDIT 2.375 % Due 03/24/2017 A2 /A-										99.73	318,000	317,138	104.632	332,729	2.6	15,591	7,552	2.27
UNILEVER CAPITAL CORP 0.850 % Due 08/02/2017 A1 /A+										98.82	250,000	247,040	98.992	247,480	1.9	440	2,125	0.86
PEPSICO INC 1.250 % Due 08/13/2017 Aa3 /A-										99.48	200,000	198,968	100.376	200,752	1.6	1,784	2,500	1.25
BB&T CORPORATION 1.600 % Due 08/15/2017 A2 /A-										100.12	255,000	255,300	101.201	258,062	2.0	2,762	4,080	1.58
AIR PRODUCTS & CHEMICALS 1.200 % Due 10/15/2017 A2 /A										99.90	250,000	249,740	99.786	249,465	1.9	(275)	3,000	1.20
COSTCO WHOLESALE CORP 1.125 % Due 12/15/2017 A1										99.98	260,000	259,948	100.670	261,742	2.0	1,794	2,925	1.12
Total corporate bonds												\$4,399,382		\$4,484,084	34.7%	\$84,702	\$105,423	2.35%

Trade date basis

International bonds	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
CA ONTARIO (PROVINCE OF) 2.700 % Due 06/16/2015 Aa2 /AA-	300,000	\$99.95	\$299,862	\$105.279	\$315,837	2.4%	\$15,975	\$8,100	2.56%
EKSPORTFINANS A/S 2.000 % Due 09/15/2015 Ba1 /BB+	300,000	99.75	299,235	95.717	287,151	2.2	(12,084)	6,000	2.09
ROYAL BANK OF CANADA 2.300 % Due 07/20/2016 Aa3 /AA-	250,000	104.76	261,892	104.213	260,532	2.0	(1,360)	5,750	2.21
AMERICA MOVIL SAB DE CV 2.375 % Due 09/08/2016 A2 /A-	200,000	101.36	202,728	103.938	207,876	1.6	5,148	4,750	2.29
STATOIL ASA 1.800 % Due 11/23/2016 Aa2 /AA-	250,000	99.82	249,547	102.827	257,067	2.0	7,520	4,500	1.75
KFW 1.250 % Due 02/15/2017 Aaa /AAA	300,000	99.63	298,887	102.041	306,123	2.4	7,236	3,750	1.22
BANK OF MONTREAL 1.400 % Due 09/11/2017 Aa2 /A+	250,000	99.85	249,615	100.380	250,950	1.9	1,335	3,500	1.39
Total international bonds			\$1,861,766		\$1,885,536	14.6%	\$23,770	\$36,350	1.93%
Total fixed income			\$12,777,309		\$12,931,721	100.0%	\$154,412	\$286,369	2.21%

* Subject to sinking fund and/or extraordinary calls

Large Cap Core - U.S.

Consumer discretionary

COACH INC (COH)	1,250	\$55.29	\$69,110	\$55.510	\$69,387	3.4%	\$277	\$1,500	2.16%
DOLLAR GENERAL CORP (DG)	1,500	43.34	65,017	44.090	66,135	3.3	1,118		
LOWES COS INC (LOW)	2,315	28.75	66,564	35.520	82,228	4.0	15,664	1,481	1.80
MACY'S INC (M)	2,315	35.22	81,532	39.020	90,331	4.4	8,799	1,852	2.05

Statement dated December 31, 2012

- JOHN E MORGAN FDN-MAIN

Trade date basis

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
TARGET CORP (TGT)	1,045	50.54	52,813	59,170	61,832	3.0	9,019	1,504	2.43
Total consumer discretionary			\$335,036		\$369,913	18.2%	\$34,877	\$6,337	1.71%
Consumer staples									
CVS/CAREMARK CORP (CVS)	1,400	\$38.63	\$54,084	\$48,350	\$67,690	3.3%	\$13,606	\$1,260	1.86%
WALGREEN CO (WAG)	3,100	36.37	112,737	37,010	114,731	5.6	1,994	3,410	2.97
Total consumer staples			\$166,821		\$182,421	9.0%	\$15,600	\$4,670	2.56%
Energy									
CONOCOPHILLIPS (COP)	1,115	\$53.90	\$60,103	\$57,990	\$64,658	3.2%	\$4,555	\$2,943	4.55%
MARATHON OIL CORP (MRO)	2,500	28.62	71,547	30,660	76,650	3.8	5,103	1,700	2.22
Total energy			\$131,650		\$141,308	6.9%	\$9,658	\$4,643	3.29%
Financials									
ACE LIMITED	1,185	\$49.65	\$58,835	\$79,800	\$94,563	4.7%	\$35,728	\$2,322	2.46%
CITIGROUP INC (C)	1,500	29.84	44,754	39,560	59,340	2.9	14,586	60	0.10
Total financials			\$103,589		\$153,903	7.6%	\$50,314	\$2,382	1.55%
Health care									
AETNA INC NEW (AET)	1,550	\$43.52	\$67,449	\$46,310	\$71,780	3.5%	\$4,331	\$1,240	1.73%
JOHNSON & JOHNSON (JNJ)	1,260	63.75	80,324	70,100	88,326	4.3	8,002	3,074	3.48
THERMO FISHER SCIENTIFIC (TMO)	1,450	57.91	83,974	63,780	92,481	4.5	8,507	870	0.94
UNITEDHEALTH GROUP INC (UNH)	1,650	48.72	80,391	54,240	89,496	4.4	9,105	1,402	1.57
ZIMMER HLDGS INC (ZMH)	1,110	63.73	70,743	66,660	73,992	3.6	3,249	799	1.08
Total health care			\$382,881		\$416,075	20.5%	\$33,194	\$7,385	1.77%

Trade date basis

		Average tax cost per share/unit	Shares/units	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
Industrials										
GENERAL DYNAMICS CORP (GD)		\$65.64	665	\$43,652	\$69,270	\$46,064	2.3%	\$2,412	\$1,356	2.94%
WASTE MANAGEMENT INC NEW (WM)		33.47	1,850	61,920	33,740	62,419	3.1	499	2,627	4.21
Total Industrials				\$105,572		\$108,483	5.3%	\$2,911	\$3,983	3.67%
Information technology										
ACCENTURE PLC CL A		\$57.01	1,270	\$72,404	\$66,500	\$84,455	4.2%	\$12,051	\$2,057	2.44%
AVAGO TECHNOLOGIES		35.08	1,600	56,131	31,651	50,641	2.5	(5,490)	1,088	2.15
INTERNATIONAL BUS MACHINES (IBM)		189.34	590	111,708	191,550	113,014	5.6	1,306	2,006	1.77
MICROSOFT CORP (MSFT)		25.67	3,850	98,822	26,710	102,832	5.1	4,010	3,542	3.44
QUALCOMM INC (QCOM)		62.25	1,400	87,153	61,860	86,603	4.3	(550)	1,400	1.62
SEAGATE TECHNOLOGY PLC		27.63	1,305	36,062	30,420	39,698	2.0	3,636	1,983	5.00
Total information technology				\$462,280		\$477,243	23.5%	\$14,963	\$12,076	2.53%
Materials										
NEWMONT MINING CORP (NEM)		\$57.44	895	\$51,407	\$46,440	\$41,563	2.0%	(\$9,844)	\$1,253	3.01%
Telecom services										
CENTURYLINK INC (CTL)		\$37.60	1,410	\$53,010	\$39,120	\$55,159	2.7%	\$2,149	\$4,089	7.41%
Utilities										
DETROIT ENERGY CO (DTE)		\$51.04	625	\$31,901	\$60,050	\$37,531	1.8%	\$5,630	\$1,550	4.13%

Statement dated December 31, 2012

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JOHN E MORGAN FDN-MAIN

Trade date basis

	Shares/units	Average tax cost per share/unit	Market value per share/unit	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
EXELON CORP (EXC)	1,730	41.39	51,450	2.5	(20,150)	3,633	7.06
Total utilities			\$88,981	4.4%	(\$14,520)	\$5,183	5.82%
Total large cap core - U.S.			\$2,035,049	100.0%	\$139,302	\$52,001	2.56%

Large Cap Core - Non U.S.

Consumer discretionary

DAIHATSU MOTOR CO LTD ADR	1,450	\$38.98	\$57,386	2.6%	\$860	\$1,641	2.86%
MAGNA INTL INC CL A	1,280	38.47	64,025	2.9	14,784	1,843	2.88
VOLKSWAGEN AG ADR	950	32.66	43,122	1.9	12,091	564	1.31
Total consumer discretionary			\$164,533	7.3%	\$27,735	\$4,048	2.46%

Consumer staples

AB FOODS LTD ADR	3,575	\$17.12	\$90,887	4.1%	\$29,679	\$1,397	1.54%
IMPERIAL TOBACCO LT ADR	1,410	73.40	108,775	4.9	5,279	4,757	4.37
KON A HOLD ADR	7,520	13.44	100,482	4.5	(581)	3,278	3.26
Total consumer staples			\$300,144	13.4%	\$34,377	\$9,432	3.14%

Energy

ENI S P A ADR	1,940	\$41.60	\$95,331	4.3%	\$14,621	\$4,161	4.37%
SINOPEC/CHINA PETE&CHM ADR	830	109.34	95,383	4.3	4,634	3,526	3.70
TOTAL SA ADR	2,000	51.04	104,020	4.6	1,937	5,014	4.82
Total energy			\$294,734	13.1%	\$21,192	\$12,701	4.31%

Trade date basis

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
Financials									
ALLIANZ AKTIENGES ADR	3,790	\$10.48	\$39,706	\$13,817	\$52,366	2.3%	\$12,660	\$1,625	3.10%
CHINA CONSTRUCTION ADR	5,110	14.04	71,743	16,050	82,015	3.7	10,272	3,188	3.89
MUNICH RE GROUP ADR	5,230	12.16	63,592	17,930	93,773	4.2	30,181	2,866	3.06
NORDEA BK SWEDEN AB ADR	6,000	7.58	45,457	9,546	57,276	2.6	11,819	1,656	2.89
SUMITOMO MITSUI FIN ADR	13,500	6.01	81,154	7,340	99,090	4.4	17,936	3,064	3.09
SUNCORP GROUP ADR	5,270	8.86	46,710	10,558	55,640	2.5	8,930	1,891	3.40
WHARF HOLDINGS ADR	2,200	9.57	21,051	15,637	34,401	1.5	13,350	585	1.70
Total financials			\$369,413		\$474,561	21.2%	\$105,148	\$14,875	3.13%
Health care									
ITOCHU CORP ADR	3,790	\$20.79	\$78,810	\$20,980	\$79,514	3.5%	\$704	\$3,717	4.68%
SANOI - ADR	2,170	34.45	74,754	47,380	102,814	4.6	28,060	3,105	3.02
TEVA PHARM INDS LTD ADR	2,290	41.22	94,385	37,340	85,508	3.8	(8,877)	1,841	2.15
Total health care			\$247,949		\$267,836	11.9%	\$19,887	\$8,663	3.23%
Industrials									
EAST JAPAN RAIL ADR	9,140	\$10.07	\$92,032	\$10,756	\$98,309	4.4%	\$6,277	\$1,773	1.80%
EMBRAER SA ADR	2,225	28.05	62,420	28,510	63,434	2.8	1,014		
NIKON CORP PLC UNSPON-ADR	1,650	28.44	46,928	29,214	48,203	2.2	1,275	676	1.40
Total industrials			\$201,380		\$209,946	9.4%	\$8,566	\$2,449	1.17%
Materials									
AKZO NOBEL NV ADR	1,850	\$17.00	\$31,452	\$21,861	\$40,442	1.8%	\$8,990	\$923	2.28%
BARRICK GOLD CORP	2,150	47.88	102,943	35,010	75,271	3.4	(27,672)	860	1.14

Trade date basis

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
BHP BILLITON PLC-ADR	875	56.03	49,026	70.370	61,573	2.7	12,547	1,960	3.18
Total materials			\$183,421		\$177,286	7.9%	(\$6,135)	\$3,743	2.11%
Telecom services									
CHINA TELECOM ADR	1,510	\$58.15	\$87,805	\$56.850	\$85,843	3.8%	(\$1,962)	\$1,488	1.73%
TELE2 AB ADR	4,780	9.36	44,718	9.000	43,020	1.9	(1,698)	1,596	3.71
TIM PARTICIPACOS SA ADR	2,500	27.97	69,936	19.820	49,550	2.2	(20,386)	717	1.45
VODAFONE GP PLC NEW ADR	3,100	27.33	84,733	25.190	78,089	3.5	(6,644)	4,650	5.95
Total telecom services			\$287,192		\$256,502	11.4%	(\$30,690)	\$8,451	3.29%
Utilities									
SSE PLC ADR	2,700	\$20.39	\$55,041	\$23.049	\$62,232	2.8%	\$7,191	\$3,380	5.43%
TOKYO GAS LTD ADR	1,987	16.88	33,533	18.273	36,308	1.6	2,775	737	2.03
Total utilities			\$88,574		\$98,540	4.4%	\$9,966	\$4,117	4.18%
Total large cap core - non u.s.			\$2,054,036		\$2,244,082	100.0%	\$190,046	\$68,479	3.05%

Large Cap Strategies											
Large cap strategies funds											
OW LARGE CAP STRATEGIES FD BOOK COST	3,968,273	Average tax cost per share/unit	Shares/units	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield	
	453,119,573	\$8.19		\$3,709,173	\$10.020	\$4,540,258	100.0%	\$831,085	\$33,077	0.73%	
Total large cap strategies											
Large Cap - U.S.											
Miscellaneous											
S&P 500 DEP RCPTS	61,920	\$129.97		\$8,047,989	\$142.410	\$8,818,027	100.0%	\$770,038	\$192,137	2.18%	
Total large cap - u.s.											
Global Small & Mid Cap											
Global small & mid cap funds											
OW GLOBAL SML & MID CAP FD BOOK COST	7,476,517	\$10.67	676,270,331	\$7,213,710	\$14.690	\$9,934,411	100.0%	\$2,720,701	\$110,908	1.12%	
Total global small & mid cap											

JOHN E MORGAN FDN-MAIN

Trade date basis

Global Opportunities

Global opportunities funds

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
OW GLOBAL OPPORTUNITIES FD BOOK COST 12,558,468	1,784,054.421	\$6.74	\$12,020,570	\$7.560	100.0%	\$1,466,881	\$428,173	3.17%
Total global opportunities			\$12,020,570	\$13,487,451	100.0%	\$1,466,881	\$428,173	3.17%

Real Return / Commodities

Real return funds

	Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
OW REAL RETURN FUND BOOK COST 4,367,219	381,226.295	\$10.23	\$3,901,697	\$9.270	100.0%	(\$367,730)	\$8,768	0.25%
Total real return / commodities			\$3,901,697	\$3,533,967	100.0%	(\$367,730)	\$8,768	0.25%

Trade date basis

Shares/units	Average tax cost per share/unit	Tax cost	Market value per share/unit	Market value	Percent of asset class	Unrealized gain/loss	Estimated annual income	Current yield
Total value of account without alternative investments		\$52,849,584		\$58,754,319		\$5,904,735	\$1,181,160	2.01%

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	JOHN E MORGAN FOUNDATION, INC	56-2290010
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	C/O BESSEMER TRUST, 630 FIFTH AVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10111	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

BESSEMER TRUST COMPANY

- The books are in the care of **630 FIFTH AVENUE 34TH FLOOR - NEW YORK, NY 10111**

Telephone No. **(212) 651-1048**

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2013.**

5 For calendar year **2012**, or other tax year beginning ☐, and ending ☐.

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

INFORMATION NEEDED TO FILE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	20,667.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	20,667.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title ☐

Date ☐

Form 8868 (Rev 1-2013)

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	JOHN E MORGAN FOUNDATION, INC	56-2290010
	Number, street, and room or suite no. If a P O box, see instructions	Social security number (SSN)
	C/O BESSEMER TRUST, 630 FIFTH AVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	NEW YORK, NY 10111	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

BESSEMER TRUST COMPANY

- The books are in the care of ► **630 FIFTH AVENUE 34TH FLOOR - NEW YORK, NY 10111**
Telephone No. ► **(212) 651-1048** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2012** or
► ☐ tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	20,667.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	13,667.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	7,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Form **8868** (Rev. 1-2013)