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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2012Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

Open to Public Inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation THE SUNSHINE LADY FOUNDATION, INC.		A Employer identification number 56-1977987
Number and street (or P O box number if mail is not delivered to street address) P.O. BOX 1074	Room/suite	B Telephone number (see instructions) 252-240-2788
City or town, state, and ZIP code MOREHEAD CITY NC 28557		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 22,017,182	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	20,023,350			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	47	47	47	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,281,532			
	b Gross sales price for all assets on line 6a 11,744,436				
	7 Capital gain net income (from Part IV, line 2)		6,033,222		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	21,304,929	6,033,269	47	
	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages	130,618	6,531		124,087
	15 Pension plans, employee benefits	68,885	3,444		65,441
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) Stmt 1	4,500	225		4,275
	c Other professional fees (attach schedule) Stmt 2	1,319			1,319
	17 Interest				
	18 Taxes (attach schedule) (see instructions) Stmt 3	105,888	123		2,330
	19 Depreciation (attach schedule) and depletion Stmt 4	172	9		
	20 Occupancy	6,255	313		5,942
	21 Travel, conferences, and meetings	59,986			59,986
	22 Printing and publications	2,676			2,676
	23 Other expenses (attach schedule) Stmt 5	193,526			193,526
	24 Total operating and administrative expenses. Add lines 13 through 23	573,825	10,645	0	459,582
	25 Contributions, gifts, grants paid	10,818,608			10,818,608
	26 Total expenses and disbursements. Add lines 24 and 25	11,392,433	10,645	0	11,278,190
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	9,912,496			
	b Net investment income (if negative, enter -0-)		6,022,624		
	c Adjusted net income (if negative, enter -0-)			47	

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2012)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash – non-interest-bearing		709,353	1,068,332	1,068,332
	2	Savings and temporary cash investments				
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶	0			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments – U S and state government obligations (attach schedule)				
	b	Investments – corporate stock (attach schedule) See Stmt 6	10,908,342	20,395,431	20,595,050	
	c	Investments – corporate bonds (attach schedule)				
	11	Investments – land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach sch) ▶					
12	Investments – mortgage loans					
13	Investments – other (attach schedule)					
14	Land, buildings, and equipment basis ▶	38,984				
	Less: accumulated depreciation (attach sch) ▶ Stmt 7	38,834				
15	Other assets (describe ▶ See Statement 8)	408,734	475,334	353,800		
16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	12,026,751	21,939,247	22,017,182		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	12,026,751	21,939,247		
	30	Total net assets or fund balances (see instructions)	12,026,751	21,939,247		
31	Total liabilities and net assets/fund balances (see instructions)	12,026,751	21,939,247			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,026,751
2	Enter amount from Part I, line 27a	2	9,912,496
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	21,939,247
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	21,939,247

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES:		P		
b 48 SHARES CL A BERKSHIRE HATHAWAY		D		
c 38 SHARES CL A BERKSHIRE HATHAWAY		P		
d 3865 SHARES CL B BERKSHIRE HATHAWAY		P		
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b 6,034,254		1,032	6,033,222
c 5,390,427		5,390,427	
d 319,755		319,755	
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))
a			
b			6,033,222
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	6,033,222
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	10,305,536	10,293,339	1.001185
2010	9,161,305	9,896,204	0.925739
2009	9,598,908	2,545,862	3.770396
2008	11,140,801	5,356,721	2.079780
2007	14,509,170	7,397,416	1.961384

2 Total of line 1, column (d)	2	9.738484
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	1.947697
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	11,132,092
5 Multiply line 4 by line 3	5	21,681,942
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	60,226
7 Add lines 5 and 6	7	21,742,168
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	11,278,190

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	120,452
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	120,452
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	120,452
6	Credits/Payments.		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	108,186
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	13,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	121,186
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	105
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	629
11	Enter the amount of line 10 to be Credited to 2013 estimated tax 0 Refunded	11	629

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? - If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.SUNSHINELADY.ORG	13	X	
14	The books are in care of ► JUDY WOOTEN P.O. BOX 1074 Located at ► MOREHEAD CITY NC ZIP+4 ► 28557 Telephone no ► 252-240-2788			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ► 20 , 20 , 20 , 20	☐ Yes	☒ No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions.)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20	2b	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 9				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNE EWING P.O. BOX 1074 MOREHEAD CITY NC 28557	EXEC DIRECTO 40.00	65,000	19,429	0

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 LEARNING BY GIVING ENDOWMENT: PROMOTES THE STUDY OF PHILANTHROPY; \$10,000 GRANTS FOR STUDENTS TO DISTRIBUTE IN LOCAL NONPROFITS. GRANT AMOUNT: \$4,000,000	123,422
2 PRISON EDUCATION. GRANT AMOUNT: \$1,776,235	54,806
3 SHELTERS AND RESPITE ORGANIZATIONS. GRANT AMOUNT: \$907,047	27,987
4 HUMANITARIAN GRANTS - FAMILIES IN CRISIS. GRANT AMOUNT: \$707,568	132,123

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,659,608
b	Average of monthly cash balances	1b	510,304
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	12,169,912
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	12,169,912
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions) See Statement 10	4	1,037,820
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,132,092
6	Minimum investment return. Enter 5% of line 5	6	556,605

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2012 from Part VI, line 5	2a	
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	11,278,190
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,278,190
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	11,278,190

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e				
4 Qualifying distributions for 2012 from Part XII, line 4. ► \$ <u>11,278,190</u>				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2012 distributable amount				
e Remaining amount distributed out of corpus	11,278,190			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	11,278,190			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions				
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount – see instructions				
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling **N/A**

b Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	47	3	14	12	76
b 85% of line 2a	40	3	12	10	65
c Qualifying distributions from Part XII, line 4 for each year listed	11,278,190	10,305,536	9,161,305	9,642,266	40,387,297
d Amounts included in line 2c not used directly for active conduct of exempt activities	9,738,237	7,839,624	6,504,252	8,083,003	32,165,116
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	1,539,953	2,465,912	2,657,053	1,559,263	8,222,181
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	371,070	343,111	329,873	84,862	1,128,916
c "Support" alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

DORIS BUFFETT **\$20,016,600**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

THE SUNSHINE LADY FOUNDATION, INC. 252-240-2788
P.O. BOX 1074 MOREHEAD CITY NC 28557

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED APPLICATIONS

c Any submission deadlines:

SEE ATTACHED APPLICATIONS

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED APPLICATIONS

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

DAA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1)** Cash
- (2)** Other assets
- b** Other transactions.
- (1)** Sales of assets to a noncharitable exempt organization
- (2)** Purchases of assets from a noncharitable exempt organization
- (3)** Rental of facilities, equipment, or other assets
- (4)** Reimbursement arrangements
- (5)** Loans or loan guarantees
- (6)** Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Alane Grimsley
Signature of officer or trustee

10-23-13
Date

V-PRES/TREAS

Print/Type preparer's name

Preparer's signature

Date _____

Check ☒ if self-employed

**Paid
Preparer
Use Only**

DEBRA F. HARVELL

Firm's name ► **Harvell & Company**

Firm's address ► **407 N 35th St**

Morehead City, NC 28557-3107

PTIN	P00428207
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Firm's EIN ▶ 56-1638995

Phone no	252-726-1040
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Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2012**Name of the organization****Employer identification number****THE SUNSHINE LADY FOUNDATION, INC.****56-1977987****Organization type**(check one).**Filers of:****Section:**

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐

4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year

▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

THE SUNSHINE LADY FOUNDATION, INC.

Employer identification number

56-1977987

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DORIS BUFFET P.O. BOX 1074 MOREHEAD CITY NC 28557	\$ 20,016,600	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
2	CHRISTOPHER STAVROU 36 CEDAR CLIFF RD. RIVERSIDE CT 06878-2604	\$ 5,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

THE SUNSHINE LADY FOUNDATION, INC.

Employer identification number

56-1977987

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	150 SHS BERKSHIRE HATHAWAY CL A	\$ 19,950,000	10/01/12
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	2300 PARTLOW RD, VA	\$ 66,600	09/22/12
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Form **4562**

Depreciation and Amortization (Including Information on Listed Property)

Department of the Treasury
Internal Revenue Service (99)

▶ See separate instructions.

▶ Attach to your tax return.

OMB No 1545-0172

2012Attachment
Sequence No **179**

Name(s) shown on return

THE SUNSHINE LADY FOUNDATION, INC.

Identifying number

56-1977987

Business or activity to which this form relates

Indirect Depreciation**Part I Election To Expense Certain Property Under Section 179****Note:** If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2011 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2013. Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V**Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions)**

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	172

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2012	17	0
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B—Assets Placed in Service During 2012 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27.5 yrs	MM	S/L	
			27.5 yrs.	MM	S/L	
i Nonresidential real property			39 yrs.	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations—see instructions	22	172
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form **4562** (2012)

DAA

There are no amounts for Page 2

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Indirect Accounting Fees	\$ 4,500	\$ 225	\$	\$ 4,275
Total	\$ 4,500	\$ 225	\$ 0	\$ 4,275

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Indirect Other Professional Fees	\$ 1,319	\$	\$	\$ 1,319
Total	\$ 1,319	\$ 0	\$ 0	\$ 1,319

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
EXCISE TAXES	\$ 103,435	\$	\$	\$
PROPERTY TAXES	2,453	123		2,330
Total	\$ 105,888	\$ 123	\$ 0	\$ 2,330

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 19 - Depreciation

Description		Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
Date Acquired	Depreciation							
		\$	\$			172	\$	\$
Total		\$ 0	\$ 0			172	\$ 0	\$ 0

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	
REFERENCE MATERIALS	4,422			4,422
OFFICE SUPPLIES	6,961			6,961
TELEPHONE	9,217			9,217
POSTAGE & SHIPPING	4,160			4,160
REPAIRS & MAINTENANCE	205			205
INSURANCE	18,823			18,823
DUES & SUBSCRIPTIONS	6,435			6,435
WEBSITE EXPENSE	83			83
UTILITIES	1,446			1,446
CONSULTING FEES	135,385			135,385
FEES	2,411			2,411
MEALS	931			931
GIFTS	2,146			2,146
MISCELLANEOUS	901			901
Total	\$ 193,526	\$ 0	\$ 0	\$ 193,526

Federal Statements

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
BERKSHIRE HATHAWAY CLASS A	\$ 10,542,150	\$ 20,324,100	Cost	\$ 20,511,180
BERKSHIRE HATHAWAY CLASS B	366,192	71,331	Cost	83,870
Total	\$ 10,908,342	\$ 20,395,431		\$ 20,595,050

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
COMPUTER	\$ 322	\$ 38,984	\$ 38,834	\$
PHONES & PRINTER				
2 FILING CABINETS				
SLF COMPUTER				
ASB COMPUTER				
CHAIR, MAT, BOOKCASES				
COPIER				
COMPUTER				
PHONES & TRANSCRIBER				
FILING CABINETS				
COPIER/SHREDDER				
2 COMPUTERS				
COPIER				
OKIDATA PRINTER				
COMPUTER (MHC OFFICE)				
COMPUTER, MONITOR, PRINTER				
FURNITURE FOR WISP OFFICE				
TELEPHONES				
COMPUTER, DESK, CHAIR				
LAPTOP COMPUTER				
LAPTOP COMPUTER				
COMPUTER (2)				
PHONE EQUIPMENT				
LAPTOP COMPUTER				
PRINTER				
Total	\$ 322	\$ 38,984	\$ 38,834	\$ 0

Federal Statements**Statement 8 - Form 990-PF, Part II, Line 15 - Other Assets**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>	<u>Fair Market Value</u>
1705 WILLIAM STREET PROPERTY	\$ 408,734	\$ 408,734	\$ 287,200
2300 PARTLOW ROAD PROPERTY		66,600	66,600
Total	<u>\$ 408,734</u>	<u>\$ 475,334</u>	<u>\$ 353,800</u>

Federal Statements

Statement 9 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
DORIS BUFFETT P.O. BOX 1074 MOREHEAD CITY NC 28557	PRESIDENT	15.00	0	0	0
DIANE GRIMSLEY P.O. BOX 1074 MOREHEAD CITY NC 28557	V-PRES/TREAS	15.00	0	0	0
MITTY BEAL P.O. BOX 1074 MOREHEAD CITY NC 28557	SECRETARY	15.00	0	0	0
REBECCA CURRIE P.O. BOX 1074 MOREHEAD CITY NC 28557	MEMBER	15.00	0	0	0
ALEX BUFFETT ROZEK P.O. BOX 1074 MOREHEAD CITY NC 28557	MEMBER	15.00	0	0	0

Federal Statements**Statement 10 - Form 990-PF, Part X, Line 4 - Cash Deemed Held - Greater Amount Explanation**

<u>Description</u>	<u>Amount</u>
The Sunshine Lady Foundation, Inc. required a minimum cash balance of \$1,037,820 in order to cover current administrative expenses and normal charitable disbursements during the month of January 2013. Of this total amount, \$30,695 was needed for administrative expenses and \$1,007,125 was disbursed for charitable and educational purposes.	<u>1,037,820</u>
Total	<u><u>1,037,820</u></u>

Federal Statements**Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or \$5,000**

<u>Name of Manager</u>	<u>Amount</u>
DORIS BUFFETT	\$ 20,016,600
Total	\$ 20,016,600

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

<u>Description</u>
SEE ATTACHED APPLICATIONS

Form 990-PF, Part XV, Line 2c - Submission Deadlines

<u>Description</u>
SEE ATTACHED APPLICATIONS

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

<u>Description</u>
SEE ATTACHED APPLICATIONS



2012 SUNBEAM GRANT APPLICATION FORM

The Sunshine Lady Foundation mission is to invest in organizations and programs dedicated to providing opportunities for the advancement of education, well being and new life choices for disadvantaged people, with special empathy for the working poor and families in crisis.

DATE SUBMITTED _____

RECIPIENT ORGANIZATION (attach IRS letter of determination of tax exemption)

Address _____

Phone / email _____

Contact person _____

PROJECT OR FUND NAME

DESCRIBE BRIEFLY HOW THE FUNDS WILL BE USED

(Please include all requested supporting materials with this application)

AMOUNT REQUESTED _____

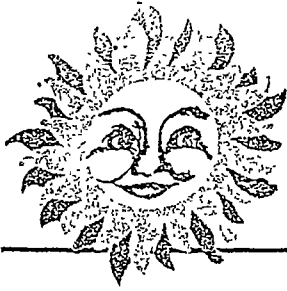
WHEN WILL FUNDS BE NEEDED _____

SUNBEAM'S NAME _____

Phone / email _____

APPROVED or REJECTED by review committee

AUTHORIZED BY: _____, on _____



THE SUNSHINE LADY Foundation, Inc.

Scholarship Application

SLF Scholarships – PO Box 454 • Morehead City, NC 28557
252-393-7146 • slfscholarships@usa.net

The SUNSHINE LADY Foundation is a private foundation working primarily with students from North Carolina.

Education is the key to success. Every student who is capable, motivated and willing to work hard should have the opportunity to earn a college degree. Our scholarships are for young people who show the greatest promise of success, have financial need, and have made education a number one priority. We expect each student's family to do all that they can to ensure a better future for their children through education. We view each scholarship as an investment in an individual's future as well as an investment in the future of our country.

1. Academic Ability:

Unweighted GPA of 3.0 or better; NOT NEGOTIABLE;
Standardized test scores: SAT 1500/2400 preferred; ACT: 25.

2. Proper Use of English:

Ability to write and speak grammatically correct English;
SAT writing score of 500 is preferred.

3. Financial Need:

Proven need (FAFSA application AND SAR required + tax returns)

4. Family/Friend Support:

Willingness to make financial sacrifices and offer advice, support, and encouragement.

We expect all Sunshine Lady scholarship recipients to abstain from self-destructive behaviors that sabotage efforts to be successful. As you become a Sunshine Lady Scholar you will sign a contract pledging to refrain from:

Body piercing (except ears)

Tattooing

Using illegal substances, alcohol or tobacco

Being involved in criminal activity

Having a credit card

Sustaining unhealthy body weight

A healthy lifestyle in terms of nutrition, exercise and general daily activities is necessary to attain your objectives and live life to the fullest. Good citizenship is mandatory.

You are required to maintain a 3.0 GPA for each term of funding

(attach additional pages if necessary – put your name on each page)

Biographical Information

Full name _____ Birthdate _____ SS# _____
Home address _____ Mailing address _____
Email address _____ County _____ Driver's lic. # _____
Home phone _____ Cell phone _____
Parent/Guardian/Spouse's Name _____
Everyone who lives in your home (give name, age, relationship to you) _____

Name, address, phone number of parent if not living in the home _____

Grandparent's names and professions (before retirement, if retired now) _____

Family members' occupations (for everyone living in your home, list name, occupation and work phone, even if part-time occupation) _____

Who is the one person from whom you seek guidance and advice? _____
What is his/her relationship to you? _____
How will he/she be supportive of your educational endeavors? _____
Describe your community service history. _____
How did you find out about this scholarship? _____
Do you plan to live and work in your home county when you graduate from college? _____
Explain. _____

Academic Information

(provide supporting documentation; leave NO blank spaces)

School you currently attend (name, address, phone number) _____

Your cumulative GPA (unweighted) _____ Your combined SAT score _____
The college you plan to attend _____ Major _____
*SID or PID # from school _____ Date Classes Begin _____
*(this is your student identification or personal identification number assigned by the school)
Reason you chose this college _____
Expected month and year of graduation from this placement (EGD) _____

Financial Information

(provide supporting documentation; leave NO blank spaces)

Total annual income of all family members in your home (including part-time work) _____
(provide federal tax returns plus W2's or 1099's for the last three years)
Annual income of 2nd parent if he/she is not living in the home _____
What impact does this income have on your potential funding for school? Explain _____
If your family owns their home, how much is still owed? _____
The monthly mortgage payment _____ The home's tax value is _____
Would your family be willing to re-finance/take out a 2nd mortgage or to take out loans for your education?
If not, why not? _____
If your family rents, what is the monthly rent? _____
COMPLETE list of family's major debts (cars, other property, credit card, etc.) _____

COMPLETE list of family's major assets (savings, stocks, property, investments, inheritance, etc.) _____

Amount of money your family has put aside for your education _____
If none (or very little) has been put aside why? Explain in detail _____

Name: _____

THE SUNSHINE LADY FOUNDATION Scholarship Application Process:

Here's the deal:

First and foremost, honor all deadlines!

- Applications must be postmarked by July 1 for fall assistance and December 15 for spring assistance.
- Read the application in its entirety before beginning. Follow the directions with no exceptions or omissions.
- Make and keep a copy of all information before submitting anything to the Foundation.
- Direct any questions about the application to the e-mail address: slfscholarships@usa.net
- Incomplete applications STILL must arrive on time to be reviewed. Any missing information must be noted, explaining why you cannot provide that particular information and when it will arrive; YOU must do the follow-up.
- Once you have submitted your application, DO NOT CALL to check on its status; you will be notified of all decisions.
- Correct spelling and grammar usage are expected throughout the application. Have someone proof-read the application before it is submitted. This is your first impression with the Foundation and only you can make it positive. Take this process seriously if your need is serious! All awards are based equally on merit and need.

Checklist of Items to Submit as Part of the Application Process

1. ☐ Application, pages 2-3, neatly printed in black ink, with your signature on page 3;
2. ☐ A recent, 2 x 3 photograph of yourself;
3. ☐ A letter of acceptance from your college;
4. ☐ A current invoice or billing statement from the school showing the total cost of annual attendance and all activity on your personal account;
5. ☐ A copy of your FAFSA application form and notice of all financial aid, grants, loans and assistance as listed on the SAR (student aid form) from your current college or the one you plan to attend;
6. ☐ A list showing amounts of all "outside" grants, scholarships and awards, indicating which awards are one-time and which are renewable (if you have none, state that);
7. ☐ Official and complete final high school transcripts showing graduation date and all standardized test scores, along with complete transcripts from all other academic placements since high school.
8. ☐ W-2 or 1099 forms and the first two pages of tax returns for the last three (3) years for everyone living in your household; include the entire tax return if any family member is self-employed. If you don't have copies, contact the IRS to secure them; if you have filed for an extension, provide W-2's as documentation of all income, # of family members and proof of the filed extension;

Reference letters should arrive to you in sealed, signed envelopes and be added to your application.

9. ☐ Three (3) letters of reference from school personnel at your most recent academic placement: (one (1) from a counselor or administrator, two (2) from teachers in your projected area of concentration);
10. ☐ Two (2) letters of reference from non-school adults and non-family members (including your current employer making sure the adult explains his or her relationship);
11. ☐ Applicant's narratives (see page 4);
12. ☐ Parent/guardian/mentor's narratives (see page 4);
13. ☐ Additional questions (if already enrolled in college – see page 4);
14. ☐ List of any of the above information that cannot be provided at the time this package is submitted and what you are doing to secure that information (to include time, call, etc. first and then send it on to the Foundation).

(attach additional pages if necessary – put your name on each page)

—Financial Information, continued—

Do you work? _____ If "no", Explain _____

Name, address and phone number of your current employer _____

If you did not include a letter of reference from your employer, explain _____

Hours you work per week _____ Hourly rate of pay _____

Your job duties _____

What you do with your earnings _____

If you plan to work while at college, the number of hours per week _____

Name of employer _____ Hourly rate _____

Is this a work-study position? _____ Did you request a work-study placement? _____ Explain _____

If you have a car, what is the year, make and model? _____ Year purchased _____

Purchase price _____ Amount owed _____ Monthly payment _____

Will you take the car to college? _____ If so, who would pay expenses? _____

Would you sell the car to improve your financial situation if necessary? _____ Explain _____

Qualifying Details (cost-have=need)

(provide supporting documentation; leave NO blank spaces)

Estimate your TRUE ANNUAL cost of attendance (COA): Total _____ Provide breakdown:

Tuition/Fees _____ Books _____ Computer _____ Room/Board _____

Travel _____ Other (give details) _____

(provide supporting statement from the school showing what THEY estimate the annual COA to be)

Amount you have, or expect to have, toward this COA _____

List sources and amounts included in this estimate: _____

BREAKDOWN: Applicant's savings _____ Loans _____

Family savings _____ Loans _____

Grandparents' contributions _____

Other family member contributions _____

School's Financial Aid Package: grants _____ loans _____

work/study _____ scholarships _____

other outside awards _____

OTHER resources & amounts _____

In addition to completing the FAFSA, list all other forms of financial assistance/grants/scholarships for which you have applied AND the results so far for each one _____

(provide copies of FAFSA application, student aid report SAR and announcement letters for each)

Explain IN DETAIL any unusual family or personal circumstances that have an impact on your financial situation and your ability to pay for college (for example siblings in college, medical crisis expenses, loss of primary income, major moves, etc.) _____

How much are you asking the Sunshine Lady Foundation to award for this year's college expenses? _____

How did you arrive at this figure? _____ What do you need it for? _____

I understand that:

- The foundation reserves the right, at its discretion, to cancel or reduce any awards without liability.
- The foundation may do a criminal record check using my driver's license and/or social security number. I give permission for such a check and release any government agency and/or its personnel or representatives and The Sunshine Lady Foundation from any and all liability for sharing such information
- I am submitting this application for a scholarship award, subject to the terms of The Sunshine Lady Foundation's scholarship program, and I certify that the information in this application is true and correct to the best of my knowledge

Applicant's Narratives

(Your answers will be used to further assess your writing skills. Use a word processor for this section and submit with your application and check list. Spelling, grammar and neatness will be considered and WILL make a difference. Be sure to use spell-check and grammar-check programs and have someone proof your work. This is your chance to show your own creativity and passion. Do your best work. Put your name on each page.)

1. Define what being a "good citizen" means to you and name someone, not in your family, who best fits this definition. Tell why?
 2. Choose any one social issue of today and explain how you feel about this issue and why?
 3. Examine the administration of President _____. What was the most remarkable change that occurred while he served that impacts today's society? How and why?
 4. Who influenced you to go to college? How and when did this occur?
 5. What is the most serious mistake you have made in your life and how did it impact you? How did you change in response to this mistake? What did you learn?
 6. Describe, in 200 words or less, your senior project. Explain why you chose this topic and what you learned. If you are a non-traditional student, or did not have a senior project, choose what you think is the most pressing academic issue of our time and write about how it can be resolved.
 7. Describe how your home and local environment have shaped you and your thinking about life.
 8. Why are you a good candidate to receive this award? Include points speaking equally to both merit and need.
-

Additional Questions for Applicants Already Attending College

1. How has your life changed since you began college?
 2. What have been your biggest surprises? Disappointments? Satisfactions?
 3. Who/what has been the greatest help to you? Greatest discouragement?
 4. What is the most pressing issue on today's college campuses? How do you think this issue should be resolved?
 5. What new time management and study skills have you found most helpful to your success as a college student?
-

Parent/Guardian/Mentor's Narrative

1. What is your name? Your age and relationship to the applicant?
2. What is the highest level of school you completed? Do you wish you had gone further? Why or why not?
3. Why do you want this applicant to go to college? How long have you been planning for it? In what ways did you plan?
4. What are your goals for this applicant?
5. What do you think are the major obstacles to reaching these goals? What will you do to help remove these obstacles?
6. Explain your thoughts on the applicant having a "full college experience", which includes not coming home often. What will you do to support such an experience?
7. Explain your level of commitment (financial, emotional, other) to the applicant's college education and how you are carrying out this commitment.
8. Why should this candidate be chosen for this award? Include points speaking equally to both merit and need.

Grants Made Through Morehead City Account
January through December 2012

<u>Awarded to</u>	<u>Purpose</u>	<u>Amount</u>
Grants Through Morehead City Account		
Adjunct Scholarship Expense		
ACS - Perkins Loan	Adjunct Scholarship Grants	2,500.00
Agopian Orthodontics	Adjunct Scholarship Grants	1,220.00
Austin Daniel Clark	Adjunct Scholarship Grants	500.00
Ben Mitchell, Landlord	Adjunct Scholarship Grants	5,000.00
Blue Ridge Preservation	Adjunct Scholarship Grants	2,000.00
Carteret Craven EMC	Adjunct Scholarship Grants	3,000.00
Christina Long	Adjunct Scholarship Grants	500.00
Colonial Parke Apartments	Adjunct Scholarship Grants	2,500.00
Colonial Townhouse	Adjunct Scholarship Grants	1,500.00
Cornerstone Christian Child Dev. Center	Adjunct Scholarship Grants	7,358.00
Corridor Property Management	Adjunct Scholarship Grants	5,450.00
Cynthia Guy - "New To You"	Adjunct Scholarship Grants	1,000.00
Dept of Ed / NELNET	Adjunct Scholarship Grants	5,000.00
Dept of Ed/Great Lakes	Adjunct Scholarship Grants	2,500.00
Dept. of Education Federal Loan Servicing	Adjunct Scholarship Grants	45,345.00
Diamond Equity Group	Adjunct Scholarship Grants	500.00
Direct Stafford Loans	Adjunct Scholarship Grants	3,000.00
Dominika Gergely	Adjunct Scholarship Grants	400.00
Federal Loan Servicing-Dept of Education	Adjunct Scholarship Grants	3,988.00
Gelco Insurance	Adjunct Scholarship Grants	750.00
Heather Kelther	Adjunct Scholarship Grants	300.00
Hillora M. Lang	Adjunct Scholarship Grants	125.00
McMasters Realty Trust Co	Adjunct Scholarship Grants	1,500.00
Nelnet/Dept of Education	Adjunct Scholarship Grants	2,500.00
Putnam Real Estate	Adjunct Scholarship Grants	2,500.00
Sallie Mae - Dept of Ed Loan Servicing	Adjunct Scholarship Grants	7,500.00
Salt Cedars	Adjunct Scholarship Grants	2,000.00
Stafford Loans - US Dept. of Ed.	Adjunct Scholarship Grants	7,738.00
The Landing Student Living	Adjunct Scholarship Grants	2,000.00
The Partnership for Academic Excellence	Adjunct Scholarship Grants	2,000.00
Triton Investments	Adjunct Scholarship Grants	6,000.00
University Manor Apts	Adjunct Scholarship Grants	2,000.00
US Dept of Ed - Great Lakes	Adjunct Scholarship Grants	17,200.00
US Dept of Educ - Parent Plus Loans	Adjunct Scholarship Grants	237.75
US Dept of Educ. - Fed. Loan Servicing	Adjunct Scholarship Grants	2,487.00
Verizon Wireless	Adjunct Scholarship Grants	750.00
Wells Fargo Dealer Services	Adjunct Scholarship Grants	2,500.00
Zachary Taylor Sutton	Adjunct Scholarship Grants	500.00
Total Adjunct Scholarship Expense		153,848.75
Post Secondary		
Abilene Christian University	Scholarship Grant	5,250.00
Andover - Newton Theology School	Scholarship Grant	2,500.00
Appalachian State University	Scholarship Grant	5,000.00

Grant Made Through Morehead City Act
January through December 2012

<u>Awarded to</u>	<u>Purpose</u>	<u>Amount</u>
Asbury University	Scholarship Grant	9,800.00
Auburn University	Scholarship Grant	5,000.00
Ave Maria University	Scholarship Grant	2,500.00
Beloit College	Scholarship Grant	5,000.00
Biola University	Scholarship Grant	2,500.00
Boston University	Scholarship Grant	5,500.00
Bridgewater College	Scholarship Grant	5,000.00
Brigham Young University	Scholarship Grant	5,000.00
California State University- Long Beach	Scholarship Grant	2,500.00
Campbell University	Scholarship Grant	9,500.00
Cartert Community College	Scholarship Grant	9,500.00
Christopher Newport University	Scholarship Grant	2,500.00
Colorado School of Mines	Scholarship Grant	4,500.00
Covenant College	Scholarship Grant	3,100.00
Craven Community College	Scholarship Grant	2,000.00
East Carolina University	Scholarship Grant	44,400.00
Elon University	Scholarship Grant	7,500.00
Emmanuel College	Scholarship Grant	5,000.00
Florida State University	Scholarship Grant	13,900.00
Gardner-Webb University	Scholarship Grant	5,000.00
George Mason University	Scholarship Grant	4,500.00
George Washington University	Scholarship Grant	2,500.00
Georgia Southern University	Scholarship Grant	7,000.00
Hampton-Sydney College	Scholarship Grant	3,000.00
Hendrix College	Scholarship Grant	2,500.00
Kent State University	Scholarship Grant	2,500.00
King College	Scholarship Grant	2,500.00
Massachusetts College of Art & Design	Scholarship Grant	1,500.00
Meredith College	Scholarship Grant	12,500.00
Methodist College	Scholarship Grant	2,000.00
Methodist University	Scholarship Grant	2,500.00
Montclair in Kingston	Scholarship Grant	1,200.00
Montclair State University	Scholarship Grant	5,000.00
NC A & T University	Scholarship Grant	2,500.00
NC Central University	Scholarship Grant	3,500.00
NC State University	Scholarship Grant	41,000.00
Nova Southeastern University	Scholarship Grant	2,500.00
Otis College of Art & Design	Scholarship Grant	2,500.00
Penn State Erie	Scholarship Grant	2,500.00
Penn State University	Scholarship Grant	2,500.00
Philadelphia University	Scholarship Grant	2,500.00
Pima Medical Institute	Scholarship Grant	960.00
Pratt Institute	Scholarship Grant	5,000.00
Radford University	Scholarship Grant	2,500.00
Regis University	Scholarship Grant	2,500.00
Rochester Institute of Technology	Scholarship Grant	9,000.00
Saint Anselm	Scholarship Grant	5,000.00

Grant Made Through Morehead City Account
January through December 2012

<u>Awarded to</u>	<u>Purpose</u>	<u>Amount</u>
Sam Houston State University	Scholarship Grant	2,500.00
Savannah College of Art & Design	Scholarship Grant	2,500.00
St. Francis College	Scholarship Grant	5,000.00
St. Mary's College-Notre Dame-Indiana	Scholarship Grant	3,000.00
The King's College	Scholarship Grant	10,000.00
University of Alabama at Tuscaloosa	Scholarship Grant	3,000.00
University of Arkansas	Scholarship Grant	5,000.00
University of California @ Los Angeles	Scholarship Grant	2,500.00
University of Georgia	Scholarship Grant	1,500.00
University of NC @ Chapel Hill	Scholarship Grant	29,650.00
University of NC @ Greensboro	Scholarship Grant	15,500.00
University of NC @ Wilmington	Scholarship Grant	24,000.00
University of North Dakota	Scholarship Grant	6,200.00
University of South Carolina Upstate	Scholarship Grant	5,000.00
Utah Valley University	Scholarship Grant	4,000.00
Virginia Commonwealth University	Scholarship Grant	5,000.00
Virginia Tech	Scholarship Grant	16,000.00
Wake Forest University	Scholarship Grant	5,000.00
Wingate University	Scholarship Grant	11,000.00
Wofford College	Scholarship Grant	5,000.00
Wright State University	Scholarship Grant	2,500.00
Total Post Secondary		<u>459,960.00</u>
Secondary		
Blue Ridge School	Scholarship Grant	<u>7,000.00</u>
Total Secondary		<u>7,000.00</u>
Total Scholarships		<u>620,808.75</u>

Grants Through Sunbeam and Mercantile Brokerage Accounts

January through December 2012

	Recipient	Account	Amount
Grants			
Afghanistan			
	Initiative to Educate Afghan Women	Mercantile brokerage	90,637 95
	Initiative to Educate Afghan Women	Newest Account First Citizens	9,362.05
Total Afghanistan			100,000 00
Camps			
Camps - Individual scholarships			
Fburg sports camps			
	Kenny Brooks	Newest Account First Citizens	2,800 00
	Fredericksburg Yellow Jackets	Newest Account First Citizens	4,000 00
Total Fburg sports camps			6,800.00
Camps - Individual scholarships - Other			
	Communities in Schools - Brunswick, Inc	Newest Account First Citizens	5,000.00
	All Sports Summer	Newest Account First Citizens	890.00
	Twin Gymnastics	Newest Account First Citizens	950 00
	All Sports Summer	Newest Account First Citizens	-765 00
	Morehead City Parks & Recreation	Newest Account First Citizens	690.00
	Camp Tockwogh	Newest Account First Citizens	1,600.00
Total Camps - Individual scholarships - Other			8,365 00
Total Camps - Individual scholarships			15,165.00
S.O.K.S. / Young Life			
	Fredericksburg Baptist Church	Newest Account First Citizens	8,000.00
Total S.O.K.S. / Young Life			8,000 00
Total Camps			23,165.00
Community Organization -Family			
Challenge Grants			
	DELCO Literacy Council	Newest Account First Citizens	10,000.00
Total Challenge Grants			10,000.00
Community Organization -Family - Other			
	Tyler Arboretum	Newest Account First Citizens	5,200 00
	UVU Women's Resource Center	Newest Account First Citizens	-4,958.00
	East Tennessee Foundation	Newest Account First Citizens	10,000 00
	Cape Fear Habitat for Humanity	Newest Account First Citizens	10,000.00
	Rappahannock Legal Services, Inc.	Newest Account First Citizens	30,000 00
	RDC Counseling & Human Development	Newest Account First Citizens	6,000 00
	Stafford Junction	Newest Account First Citizens	15,000.00
Total Community Organization -Family - Other			71,242 00
Total Community Organization -Family			81,242 00

Grants Through Sunbeam and Mercantile Brokerage Accounts

January through December 2012

Recipient	Account	Amount
Community Organizations - Youth		
Cal Ripken		
Cal Ripken Sr. Foundation	Mercantile brokerage	41,488 80
Cal Ripken Sr. Foundation	Newest Account First Citizens	1,262 90
Total Cal Ripken		42,751 70
City Pool		
City of Fredericksburg	Newest Account First Citizens	58,244 00
Total City Pool		58,244 00
Langley B & G		
Langley Park Boys and Girls Club Inc.	Newest Account First Citizens	50,000.00
Langley Park Boys and Girls Club Inc.	Newest Account First Citizens	50,000.00
Total Langley B & G		100,000.00
Community Organizations - Youth - Other		
Michigan Parents of Children with Visual	Newest Account First Citizens	2,000.00
Claflin University - GEMS	Newest Account First Citizens	15,000 00
A Better Chance Strath Haven	Newest Account First Citizens	10,000 00
Global Connection Learning Center	Newest Account First Citizens	60,000.00
Youth Empowered Solutions	Newest Account First Citizens	20,000 00
Opportunities Unlimited for the Blind, In	Newest Account First Citizens	8,000.00
Kappa Foundation of Fredericksburg Inc.	Newest Account First Citizens	6,000 00
Claflin University - GEMS	Newest Account First Citizens	100,000.00
Total Community Organizations - Youth - Other		221,000 00
Total Community Organizations - Youth		421,995 70
Domestic Violence		
D.V. Capital Campaign		
Interact, Inc.	Newest Account First Citizens	50,000.00
Rappahannock Council on Domestic Violence	Newest Account First Citizens	381,398.08
Rappahannock Council on Domestic Violence	Newest Account First Citizens	40,000.00
Total D V Capital Campaign		471,398.08
Domestic Violence - individuals		
WomanCare Aegis	Newest Account First Citizens	5,000 00
Domestic Violence Shelter and Services,	Newest Account First Citizens	5,000.00
Domestic Violence Shelter and Services,	Newest Account First Citizens	5,000 00
Total Domestic Violence - individuals		15,000.00
Domestic violence orgs		
Family Violence Appellate Project	Newest Account First Citizens	5,000 00
Family Violence Appellate Project	Newest Account First Citizens	5,000 00
Total Domestic violence orgs		10,000 00

Grants Through Sunbeam and Mercantile Brokerage Accounts
January through December 2012

<u>Recipient</u>	<u>Account</u>	<u>Amount</u>
PEACE Awards Org Grants		
Maine Coalition to End Domestic Violence	Newest Account First Citizens	20,000 00
Total PEACE Awards Org Grants		20,000 00
PEACE client assistance		
Center for Domestic Peace	Newest Account First Citizens	5,000.00
Schuykill Women in Crisis	Newest Account First Citizens	5,000 00
American Domestic Violence Crisis Line	Newest Account First Citizens	10,000 00
Kene-Me-Wu	Newest Account First Citizens	2,500.00
SafePlace	Newest Account First Citizens	5,000 00
Domestic Abuse Services	Newest Account First Citizens	5,000 00
Womens Resource Center	Newest Account First Citizens	5,000.00
Turning Point	Newest Account First Citizens	5,000 00
New House	Newest Account First Citizens	5,000 00
CASA, Inc.	Newest Account First Citizens	5,000.00
The Latina Center	Newest Account First Citizens	2,500.00
Strengthen our Sisters	Newest Account First Citizens	5,000 00
The Haven of RCS	Newest Account First Citizens	5,000 00
Caring Unlimited, Inc.	Newest Account First Citizens	5,000.00
New House	Newest Account First Citizens	5,000.00
Womens Coalition of St. Croix	Newest Account First Citizens	10,000 00
Project Safe, Inc.	Newest Account First Citizens	5,000 00
Bridges of Williamson City	Newest Account First Citizens	5,000.00
Christians as Family Advocates	Newest Account First Citizens	5,000 00
Domestic Abuse Intervention Services	Newest Account First Citizens	5,000 00
Hope Family Services	Newest Account First Citizens	5,000.00
Asian Women's Shelter	Newest Account First Citizens	5,000 00
Total PEACE client assistance		115,000.00
Total Domestic Violence		631,398.08
Educational Organization/School		
Chester School of the Arts		
The Chester Fund	Mercantile brokerage	80,000 00
The Chester Fund	Newest Account First Citizens	3,253.48
The Chester Fund	Mercantile brokerage	124,934 94
The Chester Fund	Newest Account First Citizens	15,065 06
Total Chester School of the Arts		223,253.48
Fburg Mentoring programs		
James Monroe High School	Newest Account First Citizens	5,750.03
James Monroe High School	Newest Account First Citizens	15,000 00
Total Fburg Mentoring programs		20,750 03
Fburg mentoring scholarships		
James Monroe High School	Newest Account First Citizens	10,000 00

Grants Through Sunbeam and Mercantile Brokerage Accounts
January through December 2012

Recipient	Account	Amount
Massaponax High School	Newest Account First Citizens	852.31
James Monroe High School	Newest Account First Citizens	10,000 00
James Monroe High School	Newest Account First Citizens	4,000 00
Total Fburg mentoring scholarships		24,852.31
Partnership for Academic Excell		
College tour		
Partnership for Academic Excellence	Newest Account First Citizens	15,000 00
Total College tour		15,000.00
Total Partnership for Academic Excell		15,000 00
Educational Organization/School - Other		
Carteret County Schools-	Newest Account First Citizens	5,000 00
Alice Deal Middle School PTA	Newest Account First Citizens	92,815.00
Communities in Schools Carteret County	Newest Account First Citizens	3,000 00
Little Gems	Newest Account First Citizens	10,000 00
Call Me Mister- Longwood University	Newest Account First Citizens	1,000.00
Total Educational Organization/School - Other		111,815.00
Total Educational Organization/School		395,670 82
Fraud		
Capitol One	Newest Account First Citizens	500 00
BB&T	Newest Account First Citizens	-500 00
PSEG	Newest Account First Citizens	894.88
PSEG	Newest Account First Citizens	300 00
Total Fraud		1,194.88
Health Care/Medical Research		
FRAXA		
FRAXA	Mercantile brokerage	119,980 00
FRAXA	Mercantile brokerage	132,000.00
FRAXA	Mercantile brokerage	134,660 01
Total FRAXA		386,640.01
Health Care/Medical Research - Other		
Fredericksburg Counseling Services Inc.	Newest Account First Citizens	81,656.37
Fenway Community Health Center, Inc	Newest Account First Citizens	50,000 00
Total Health Care/Medical Research - Other		131,656.37
Total Health Care/Medical Research		518,296 38
Historic Preservation/Museums		
General Henry Knox		
General Henry Knox Museum	Newest Account First Citizens	25,000 00
Total General Henry Knox		25,000.00

Grants Through Sunbeam and Mercantile Brokerage Accounts

January through December 2012

Recipient	Account	Amount
Total Historic Preservation/Museums		25,000.00
Housing (homeless/respice)		
Micah Ecumenical Ministries		
Micah Ecumenical Ministries	Mercantile brokerage	225,000.00
Micah Ecumenical Ministries	Newest Account First Citizens	5,536.43
Total Micah Ecumenical Ministries		230,536.43
Rappahannock Shelters		
Rappahannock Area Community Services Brd`	Mercantile brokerage	241,481.58
Rappahannock Area Community Services Brd`	Mercantile brokerage	134,264.99
Total Rappahannock Shelters		375,746.57
Housing (homeless/respice) - Other		
Caminar	Newest Account First Citizens	10,000.00
House of Possibilities	Mercantile brokerage	244,980.00
House of Possibilities	Newest Account First Citizens	5,020.00
A S.A.P. Inc.	Newest Account First Citizens	46,300.00
Total Housing (homeless/respice) - Other		306,300.00
Total Housing (homeless/respice)		912,583.00
Humanitarian Grants		
Aundrea Handy		
Jon Sherrod Landlord	Newest Account First Citizens	4,152.80
Rappahannock Electric	Newest Account First Citizens	504.95
Sprint	Newest Account First Citizens	136.29
Hughes Network	Newest Account First Citizens	74.88
Rappahannock Electric	Newest Account First Citizens	504.95
Hughes Network	Newest Account First Citizens	157.36
Sprint	Newest Account First Citizens	191.66
Hughes Network	Newest Account First Citizens	182.48
Jon Sherrod Landlord	Newest Account First Citizens	995.00
Total Aundrea Handy		6,900.37
Car/Van donation		
Mobility Express	Newest Account First Citizens	20,795.00
ATI Insurance Agency	Newest Account First Citizens	707.00
Capital Chevrolet Buick GMC	Newest Account First Citizens	9,995.00
AMS Van	Newest Account First Citizens	18,000.00
Sherrel Smothers	Newest Account First Citizens	20,000.00
Commonwealth of Pennsylvania	Newest Account First Citizens	308.50
Larry H. Miller Toyota	Newest Account First Citizens	9,999.08
Schrader & Murphy Insurance	Newest Account First Citizens	703.00
Matthew's Stop & Look Auto Sales	Newest Account First Citizens	9,800.00
Fredericksburg Baptist Church	Newest Account First Citizens	10,000.00

Grants Through Sunbeam and Mercantile Brokerage Accounts

January through December 2012

Recipient	Account	Amount
State Farm Insurance	Newest Account First Citizens	1,422.30
Wells Fargo	Newest Account First Citizens	319.75
Don Hewlett Chevrolet Buick	Newest Account First Citizens	11,958.86
State Farm Insurance	Newest Account First Citizens	316.98
Ilderton Conversion Company	Newest Account First Citizens	1,244.00
Dave Arbogast Buick, Pontiac	Newest Account First Citizens	23,000.00
GEICO	Newest Account First Citizens	560.66
Newburgh Park Motors	Newest Account First Citizens	23,000.00
Capital City Nissan	Newest Account First Citizens	10,500.00
True Mobility	Newest Account First Citizens	10,000.00
Advanced Wheels of Technology Inc.	Newest Account First Citizens	5,155.21
Wells Fargo	Newest Account First Citizens	1,938.04
Bank of America	Newest Account First Citizens	2,197.85
Toyota Financial Services	Newest Account First Citizens	6,597.40
American Financial Inc.	Newest Account First Citizens	1,597.00
Ray's Auto Sales	Newest Account First Citizens	4,980.00
Greentree Financial	Newest Account First Citizens	1,398.47
M.I.T.S. of Virginia	Newest Account First Citizens	8,500.00
Hysell's Garage	Newest Account First Citizens	1,502.48
Jim Hudson Hyundai	Newest Account First Citizens	9,700.00
AMS Van	Newest Account First Citizens	20,000.00
Cars for Less	Newest Account First Citizens	9,500.00
GEICO	Newest Account First Citizens	200.41
Ricart Automotive	Newest Account First Citizens	10,000.00
Bank of America	Newest Account First Citizens	1,124.39
Bank of America	Newest Account First Citizens	314.20
Total Car/Van donation		267,335.58

Credit card- loan debt

Funeral Expense

Leak and Sons Funeral Chapels	Newest Account First Citizens	1,248.37
Elmwood Cemetary Memorials	Newest Account First Citizens	1,043.13
I.F.D. Integrity Funeral Trust	Newest Account First Citizens	998.50
Bank of America	Newest Account First Citizens	1,605.00
Total Funeral Expense		4,895.00

Pay Day Loans

Cash Plus LLC	Newest Account First Citizens	1,024.54
Total Pay Day Loans		1,024.54

Credit card- loan debt - Other

Merchant Credit Adjusters Inc.	Newest Account First Citizens	500.00
State Bank & Trust	Newest Account First Citizens	3,600.00
First National Bank	Newest Account First Citizens	1,174.00
First Florida Credit Union	Newest Account First Citizens	4,747.07
Donna Brenner	Newest Account First Citizens	1,909.32
U S Bank	Newest Account First Citizens	550.00

Grants Through Sunbeam and Mercantile Brokerage Accounts

January through December 2012

Recipient	Account	Amount
Total Credit card- loan debt - Other		12,480.39
Total Credit card- loan debt		18,399.93
Discretionary Funds/individuals		
St Katharine Drexel Catholic Church	Newest Account First Citizens	10,000.00
15th District Court Service Unit	Newest Account First Citizens	50,000.00
Pathways to Spirit	Newest Account First Citizens	30,000.00
Penquis	Newest Account First Citizens	10,000.00
Transitional Housing BARN, Inc	Newest Account First Citizens	20,000.00
UVA Children's Hospital	Newest Account First Citizens	10,000.00
Berkleley Elementary School	Newest Account First Citizens	5,000.00
Elkins Middle School	Newest Account First Citizens	10,000.00
St. Katharine Drexel Catholic Church	Newest Account First Citizens	10,000.00
UVA Children's Hospital	Newest Account First Citizens	10,000.00
Faith Connection/Friends for Peace	Newest Account First Citizens	10,000.00
Pathways to Spirit	Newest Account First Citizens	30,000.00
UVA Children's Hospital	Newest Account First Citizens	10,000.00
Faith Connection/Friends for Peace	Newest Account First Citizens	6,010.00
Total Discretionary Funds/individuals		221,010.00
Foresclosure		
USDA - RD	Newest Account First Citizens	1,018.07
Total Foreclosure		1,018.07
Home downpayment		
Clayton Homes	Newest Account First Citizens	11,000.00
Total Home downpayment		11,000.00
House repairs		
Furniture/appliances		
The Merrick-Group	Newest Account First Citizens	-1,099.00
Wells Fargo National Bank	Newest Account First Citizens	1,099.00
Loews	Newest Account First Citizens	1,116.81
Sears	Newest Account First Citizens	400.43
Brockin Appliance & Electronics	Newest Account First Citizens	487.17
Bank of America	Newest Account First Citizens	2,225.02
Bank of America	Newest Account First Citizens	878.66
Bank of America	Newest Account First Citizens	1,214.16
Bank of America	Newest Account First Citizens	3,182.78
Bank of America	Newest Account First Citizens	255.56
Bank of America	Newest Account First Citizens	539.09
Total Furniture/appliances		10,299.68
Roofing		
Lexington Builders	Newest Account First Citizens	6,513.00
Miller Roofing Company	Newest Account First Citizens	7,537.00

Grants Through Sunbeam and Mercantile Brokerage Accounts

January through December 2012

Recipient	Account	Amount
Alan Starr/Starr Remodeling	Newest Account First Citizens	5,000 00
Alan Starr/Starr Remodeling	Newest Account First Citizens	2,450.39
Miller Roofing Company	Newest Account First Citizens	1,350 00
Total Roofing		22,850.39
House repairs - Other		
Discover Card Visa	Newest Account First Citizens	82 38
Rhea's Backhowe & Septic Tank Service	Newest Account First Citizens	1,850.00
Colony Pest Management Inc	Newest Account First Citizens	1,390 00
Ken Miller Construction	Newest Account First Citizens	850.00
Total House repairs - Other		4,172 38
Total House repairs		37,322.45
Legal fees		
Bankruptcy		
Sam Turco Law Offices	Newest Account First Citizens	1,400 00
Gary D. Bollinger	Newest Account First Citizens	950 00
Compton Law Firm	Newest Account First Citizens	1,376.00
Law Offices of Richard T. Baum	Newest Account First Citizens	2,895.00
Total Bankruptcy		6,621 00
Legal fees - Other		
Brittle & Brittle	Newest Account First Citizens	3,000 00
Michael D. Foglia, Esq	Newest Account First Citizens	25,000.00
Maverick Land Services	Newest Account First Citizens	1,207.31
Allison Bridges - Attorney	Newest Account First Citizens	2,000.00
Henry W. McLaughlin, Attorney	Newest Account First Citizens	2,500 00
Brittle & Brittle	Newest Account First Citizens	2,000.00
Allison Bridges - Attorney	Newest Account First Citizens	691 81
Corne & Cilley PPLC	Newest Account First Citizens	525.00
Ellen Arnold Kiernan, Attorney	Newest Account First Citizens	1,336 00
Law Offices Bucknam, Black, Brazil	Newest Account First Citizens	5,000.00
Ben Parris, ATTY, PC	Newest Account First Citizens	9,500 00
Total Legal fees - Other		52,760.12
Total Legal fees		59,381.12
Medical expense		
Dental expense		
Riley Swanson, DDS	Newest Account First Citizens	1,200 00
Kenneth R Collins, DDS, PC	Newest Account First Citizens	2,067.00
Ben Mandel, D.D.S.	Newest Account First Citizens	-3,367 00
Gerald L. McCracken, DDS	Newest Account First Citizens	4,000 00
SC Tolbert, DDS, PA	Newest Account First Citizens	890.00
Hedgecoe Dentistry	Newest Account First Citizens	8,000 00
Byhalia Family Dental Clinic	Newest Account First Citizens	550.00

Grants Through Sunbeam and Mercantile Brokerage Accounts
January through December 2012

Recipient	Account	Amount
Oral Surgeon Associates	Newest Account First Citizens	203.00
Danville Dental Associates	Newest Account First Citizens	1,750 00
Helen Im, DDS	Newest Account First Citizens	4,530 55
Genatric Dental Group	Newest Account First Citizens	470.00
Central Virginia Oral & Facial	Newest Account First Citizens	1,958 58
Dr. James Hutchens, DDS	Newest Account First Citizens	4,735.75
Dr. Dennis M. Gut, DDS	Newest Account First Citizens	1,100.00
L.E. Harville, Jr DDS	Newest Account First Citizens	1,801 00
HEB Dental	Newest Account First Citizens	3,919.50
Huckabee Dental	Newest Account First Citizens	-3,419 50
East Tennessee Oral Surgery	Newest Account First Citizens	1,195.00
Heights Dental Center	Newest Account First Citizens	5,150 00
G.S. Frankel Dental Group	Newest Account First Citizens	3,198 00
Richard Leiderman DMD	Newest Account First Citizens	7,500.00
Affordable Dentures	Newest Account First Citizens	2,630 00
Kenneth L. Westbrook DDS	Newest Account First Citizens	3,255 00
GE Capital Retail Bank	Newest Account First Citizens	1,154.00
Royal Dental Care	Newest Account First Citizens	4,971.00
Sexton Dental Clinic	Newest Account First Citizens	502 00
Dr. Eugene Mincer	Newest Account First Citizens	3,980.00
Hugh F. Jordan D.D.S.	Newest Account First Citizens	5,000.00
East Tennessee Oral Surgery	Newest Account First Citizens	-91.00
Bank of America	Newest Account First Citizens	100 00
Maher L Barsoum , Inc DDS	Newest Account First Citizens	5,301.00
Dr. Stephen Spencer DDS	Newest Account First Citizens	4,898 20
New Opportunity School for Women	Newest Account First Citizens	10,000 00
Arlington Smith Family Dentistry LTD	Newest Account First Citizens	3,825.00
E. John Riggs DDS	Newest Account First Citizens	3,780.00
Ronald E. Caylor DMD	Newest Account First Citizens	1,532.50
Bank of America	Newest Account First Citizens	866.00
Total Dental expense		99,135 58
Health insurance		
Continuum Affiliates	Newest Account First Citizens	1,448.46
Cover Colorado	Newest Account First Citizens	3,876 00
Total Health insurance		5,324.46
Hearing aids		
Lane Custom Hearing	Newest Account First Citizens	500.00
Lane Custom Hearing	Newest Account First Citizens	500.00
Total Hearing aids		1,000 00
Medical expense - Other		
Carteret County Schools-	Newest Account First Citizens	7,500.00
Marshfield Eye Center	Newest Account First Citizens	385 00
The Styling Station	Newest Account First Citizens	2,080.00
Moss Chiropractic Clinic	Newest Account First Citizens	1,115 00
Dr. Marlene Hunter	Newest Account First Citizens	2,400.00

Grants Through Sunbeam and Mercantile Brokerage Accounts

January through December 2012

Recipient	Account	Amount
Dartmouth-Hitchcock Clinic CP	Newest Account First Citizens	752 01
Mary-Hitchcock Memorial Hospital	Newest Account First Citizens	656 20
Integrative Health Care	Newest Account First Citizens	-417 89
Riverside Health Systems	Newest Account First Citizens	4,000.00
LMC Health Directions	Newest Account First Citizens	375 00
T D. Medical, Inc.	Newest Account First Citizens	3,880.00
Lasher Sport LLC	Newest Account First Citizens	5,561 00
Dr. Peter Lope	Newest Account First Citizens	1,845.00
Healing Touch	Newest Account First Citizens	2,925 00
Total Medical expense - Other		33,056 32

Total Medical expense 138,516 36

Rent/Mortgage payments

BH Management Services Inc.	Newest Account First Citizens	2,240 00
Townsend Square	Newest Account First Citizens	1,760 00
Bank of America	Newest Account First Citizens	5,150.19
PNC Mortgage Services	Newest Account First Citizens	979.70
Ralph McGowen - landlord	Newest Account First Citizens	1,905 00
Larry Baumwald Insurance Agency Inc	Newest Account First Citizens	424.00
Apollo Associates Realty	Newest Account First Citizens	1,900.00
Milagritos Santa Cruz - landlord	Newest Account First Citizens	2,400 00
Wells Fargo	Newest Account First Citizens	711 63
Wells Fargo	Newest Account First Citizens	3,655.47
Bexley Commons at Rosedale	Newest Account First Citizens	1,600 00
PNC	Newest Account First Citizens	2,068 58
Wells Fargo	Newest Account First Citizens	4,796.72
Milagritos Santa Cruz - landlord	Newest Account First Citizens	1,600 00
First Merit Mortgage Corporation	Newest Account First Citizens	640.51
Brunilda Rivera	Newest Account First Citizens	5,400.00
Bexley Commons at Rosedale	Newest Account First Citizens	1,600 00
Richard Moore	Newest Account First Citizens	5,100.00
Frank Rollins - landlord	Newest Account First Citizens	4,875 00
Brenda Piper - landlord	Newest Account First Citizens	4,750 00
PNC	Newest Account First Citizens	2,068 58
Prudential Tropical realty	Newest Account First Citizens	1,300.00
Women Helping Women	Newest Account First Citizens	4,842 00
Gabriel Loukaki-landlord	Newest Account First Citizens	1,128 00
Ridgeview Place Apartment 2011	Newest Account First Citizens	4,849.00
PNC	Newest Account First Citizens	2,068.58
Oak Hill Apartments	Newest Account First Citizens	1,875 00
Community Bank	Newest Account First Citizens	1,500 00
Joseph Warren - landlord	Newest Account First Citizens	1,350 00
Select Portfolio Servicing Inc	Newest Account First Citizens	4,649.41
Tracy Babcock - landlord	Newest Account First Citizens	4,050 00
Hillsborough Pointe Apartments	Newest Account First Citizens	3,103 00
Allstate	Newest Account First Citizens	178 54
Dogwood Acres Mobile Home Park LLC	Newest Account First Citizens	1,000.00

Grants Through Sunbeam and Mercantile Brokerage Accounts

January through December 2012

Recipient	Account	Amount
Bank of America	Newest Account First Citizens	975.00
Bank of America	Newest Account First Citizens	975 00
Henry Williams - landlord	Newest Account First Citizens	4,800.00
Sagebrook Mill	Newest Account First Citizens	500 00
BB&T	Newest Account First Citizens	4,549.92
ECRHA	Newest Account First Citizens	379 00
J & F Management Co.	Newest Account First Citizens	5,400.00
Angelo's Grove Apartments	Newest Account First Citizens	2,145 00
Virginia Housing Authority	Newest Account First Citizens	4,913.28
Bank of America	Newest Account First Citizens	1,004 00
Bank of America	Newest Account First Citizens	1,004.00
Thadeus Bechtle Trust Account	Newest Account First Citizens	645 82
Home National Bank	Newest Account First Citizens	1,548.58
The Marquis at Preston	Newest Account First Citizens	2,588 00
Cedar Creek Apartments	Newest Account First Citizens	500 00
Cedar Creek Apartments	Newest Account First Citizens	2,960.00
Bank of America	Newest Account First Citizens	1,004.00
Chase Home Finance, LLC	Newest Account First Citizens	4,357.68
Indopa, LLC	Newest Account First Citizens	4,800 00
Bank of America	Newest Account First Citizens	508.48
Total Rent/Mortgage payments		133,076.67
Taxes -Property/income		
Pittsylvania County Treasurer	Newest Account First Citizens	195.00
Broward County Tax Collector	Newest Account First Citizens	439.32
Charles L. Korzenborn, Kenton Co. Sheriff	Newest Account First Citizens	1,044.04
IRS	Newest Account First Citizens	1,622.96
Total Taxes -Property/income		3,301 32
Utilities		
Public Service of NH	Newest Account First Citizens	144.17
Vaillancourt, LLC	Newest Account First Citizens	827.47
Verizon	Newest Account First Citizens	166.30
Alliance One	Newest Account First Citizens	4,221.91
Nebraska Public Power District	Newest Account First Citizens	1,500 00
SCG &E	Newest Account First Citizens	406.82
City of Grand Island - Utility Dept.	Newest Account First Citizens	900.00
Bank of America	Newest Account First Citizens	344.20
Entergy	Newest Account First Citizens	450 00
Ridenour Propane Service	Newest Account First Citizens	1,043.28
Bank of America	Newest Account First Citizens	1,049.61
Bank of America	Newest Account First Citizens	616.00
Bank of America	Newest Account First Citizens	233 09
Bank of America	Newest Account First Citizens	195.00
Total Utilities		12,097 85
Humanitarian Grants - Other		
Tyrand Cooperative Ministries	Newest Account First Citizens	5,000.00

Grants Through Sunbeam and Mercantile Brokerage Accounts

January through December 2012

Recipient	Account	Amount
Catholic Charities West Virginia	Newest Account First Citizens	5,000 00
City of Fredericksburg DSS	Newest Account First Citizens	600 00
Total Humanitarian Grants - Other		10,600 00
Total Humanitarian Grants		919,959.72
Learning By Giving		
Learning by Giving Foundation, Inc	Mercantile brokerage	4,000,000.00
Total Learning By Giving		4,000,000.00
Prison education		
Prison - after prison		
Morehead City Noon Rotary Club	Newest Account First Citizens	20,000 00
Career Gear Inc.	Newest Account First Citizens	5,000.00
Fund for the City of New York	Newest Account First Citizens	40,000.00
Central Maine Driving Academy	Newest Account First Citizens	350.00
Hudson Link, Inc.	Newest Account First Citizens	2,500 00
Central Maine Driving Academy	Newest Account First Citizens	210.00
Donovan's Used Cars	Newest Account First Citizens	5,589.00
StepUP Ministry	Newest Account First Citizens	25,000.00
Fund for the City of New York	Newest Account First Citizens	45,000.00
Post Prison Education Project	Newest Account First Citizens	25,000.00
Andy and Judith Hurd - landlord	Newest Account First Citizens	1,700 00
Mercy College	Newest Account First Citizens	2,215 00
Total Prison - after prison		172,564.00
Prison - Maine		
University of Maine at Augusta	Newest Account First Citizens	101.50
Friends of Longcreek Youth	Newest Account First Citizens	10,000 00
University of Maine at Augusta	Newest Account First Citizens	2,537.20
Mid-Coast School of Technology	Newest Account First Citizens	5,000 00
University of Maine at Augusta	Newest Account First Citizens	7,223.95
University of Maine at Augusta	Newest Account First Citizens	54,982.42
Southern Maine Community College	Newest Account First Citizens	5,594.70
University of Maine at Augusta	Newest Account First Citizens	2,390.40
Southern Maine Community College	Newest Account First Citizens	500 00
Hortensia V. Vidal-Cruz	Newest Account First Citizens	288.00
University of Maine at Augusta	Newest Account First Citizens	28,290.80
Mid-Coast School of Technology	Newest Account First Citizens	2,360 00
University of Maine at Augusta	Newest Account First Citizens	1,444 36
Bank of America	Newest Account First Citizens	768.95
Friends of Longcreek Youth	Newest Account First Citizens	10,000.00
Southern Maine Community College	Newest Account First Citizens	4,957.40
University of Maine at Augusta	Newest Account First Citizens	54,442.78
University of Maine at Augusta	Newest Account First Citizens	6,080.75
University of Maine at Augusta	Newest Account First Citizens	814 40
University of Maine at Augusta	Newest Account First Citizens	-897.30

Grants Through Sunbeam and Mercantile Brokerage Accounts

January through December 2012

Recipient	Account	Amount
Southern Maine Community College	Newest Account First Citizens	-354.10
Southern Maine Community College	Newest Account First Citizens	-354.10
Total Prison - Maine		196,172.11

Virginia Prison

FHEG Rappahannock Comm Coll Bookstore	Newest Account First Citizens	1,188.00
FHEG Rappahannock Comm Coll Bookstore	Newest Account First Citizens	4,908.00
Piedmont VA Community College	Newest Account First Citizens	-883.00
Superior Global Solutions, Inc	Newest Account First Citizens	15,863.46
FHEG Germanna Bookstore - Fredericksburg	Newest Account First Citizens	3,147.81
Dabney S. Lancaster C C Bookstore	Newest Account First Citizens	2,640.00
Dabney S. Lancaster Community College	Newest Account First Citizens	5,832.00
Superior Global Solutions, Inc	Newest Account First Citizens	15,863.46
Treasurer of Virginia	Newest Account First Citizens	3,057.87
Dabney S. Lancaster C C Bookstore	Newest Account First Citizens	2,385.00
Dabney S. Lancaster Community College	Newest Account First Citizens	5,467.50
Germanna Community College	Newest Account First Citizens	33,915.00
Piedmont VA Community College	Newest Account First Citizens	28,917.00
Rappahannock Community College	Newest Account First Citizens	35,190.72
FHEG Rappahannock Comm Coll Bookstore	Newest Account First Citizens	2,070.00
Piedmont VA Community College	Newest Account First Citizens	6,426.00
FHEG Germanna Bookstore - Fredericksburg	Newest Account First Citizens	3,941.30
Southside VA Community College	Newest Account First Citizens	2,856.00
Southside VA Community College	Newest Account First Citizens	5,355.00
Southside VA Community College	Newest Account First Citizens	18,683.00
Southside VA Community College	Newest Account First Citizens	4,879.00
Dabney S. Lancaster C C Bookstore	Newest Account First Citizens	5,467.50
Germanna Community College	Newest Account First Citizens	-1,075.00
Bank of America	Newest Account First Citizens	6,624.74
Piedmont VA Community College	Newest Account First Citizens	22,253.00
Southside VA Community College	Newest Account First Citizens	28,702.50
Germanna Community College	Newest Account First Citizens	28,231.00
Rappahannock Community College	Newest Account First Citizens	20,719.09
Piedmont VA Community College	Newest Account First Citizens	43,948.50
Treasurer of Virginia-FCCW Div. of Ed	Newest Account First Citizens	20,988.34

Total Virginia Prison		377,562.79
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Prison education - Other

Chaplain Services of the Churches of VA,	Newest Account First Citizens	4,000.00
Cornell University	Newest Account First Citizens	50,000.00
The College of New Jersey Foundation	Newest Account First Citizens	30,000.00
College Guild	Newest Account First Citizens	6,000.00
Northeastern Junior College	Newest Account First Citizens	14,000.00
The College of New Jersey Foundation	Newest Account First Citizens	48,464.00
Cornell University	Newest Account First Citizens	80,545.00
Northeastern Junior College	Newest Account First Citizens	14,000.00
Walla Walla Community College	Newest Account First Citizens	100,000.00
Rhode Island Dept of Corrections	Newest Account First Citizens	9,514.89

Grants Through Sunbeam and Mercantile Brokerage Accounts

January through December 2012

Recipient	Account	Amount
Hudson Link, Inc	Mercantile brokerage	140,000 00
Northeastern Junior College	Newest Account First Citizens	8,406 00
Genesee Community College	Newest Account First Citizens	40,000.00
Rutgers University	Newest Account First Citizens	100,000 00
Walla Walla Community College	Newest Account First Citizens	60,000 00
Walla Walla Community College	Newest Account First Citizens	100,000.00
Prison University Project	Newest Account First Citizens	50,000 00
College Guild	Newest Account First Citizens	6,000 00
Rhode Island Dept of Corrections	Newest Account First Citizens	9,514 89
Northeastern Junior College	Newest Account First Citizens	5,137 00
Cornell University	Newest Account First Citizens	75,000.00
Rutgers University	Newest Account First Citizens	100,000 00
Northeastern Junior College	Newest Account First Citizens	7,000 00
Rhode Island Dept of Corrections	Newest Account First Citizens	8,683.50
Center for Community Transitions	Newest Account First Citizens	1,476 00
Rutgers University	Newest Account First Citizens	100,000 00
Vietnam Veterans of America Chapter #205	Newest Account First Citizens	2,500.00
Northeastern Junior College	Newest Account First Citizens	7,000.00
Total Prison education - Other		<u>1,177,241 28</u>
Total Prison education		1,923,540.18
Research/workshops/Trips		
Arts Enterprise	Newest Account First Citizens	100.00
Total Research/workshops/Trips		<u>100.00</u>
Scholarships		
Adjunct scholarship assistance		
Germanna Community College	Newest Account First Citizens	500 00
A Better Chance Strath Haven	Newest Account First Citizens	1,600.00
Institute of Real Estate Management	Newest Account First Citizens	650 00
Capitol One Bank	Newest Account First Citizens	199.49
Germanna Community College Bookstore	Newest Account First Citizens	500 00
Wake Forest University bookstore	Newest Account First Citizens	500.00
Broadreach Family & Community Services	Newest Account First Citizens	5,000.00
Broadreach Family & Community Services	Newest Account First Citizens	5,000.00
Donors Choose	Newest Account First Citizens	360 79
Bank of America	Newest Account First Citizens	2,421.82
Bank of America	Newest Account First Citizens	1,319.65
Old Dominion University	Newest Account First Citizens	250 00
Bank of America	Newest Account First Citizens	250 00
Walmart	Newest Account First Citizens	417.90
Total Adjunct scholarship assistance		<u>18,969 65</u>
After school/extracurricular		
Mrs. Cynthia's Preschool	Newest Account First Citizens	1,237.00
Total After school/extracurricular		<u>1,237.00</u>

Grants Through Sunbeam and Mercantile Brokerage Accounts
January through December 2012

<u>Recipient</u>	<u>Account</u>	<u>Amount</u>
Bay Chamber Scholarships		
Bay Chamber Concerts	Newest Account First Citizens	50,000.00
Total Bay Chamber Scholarships		<u>50,000.00</u>
Buffett Fellows - UVA		
UVA - Center for Children, Families & the	Newest Account First Citizens	25,000.00
UVA - Center for Children, Families & the	Newest Account First Citizens	25,000.00
Total Buffett Fellows - UVA		<u>50,000.00</u>
Hungarian scholarships		
Hungarian Scholarship Fund	Newest Account First Citizens	25,000.00
Total Hungarian scholarships		<u>25,000.00</u>
Jeanette Rankin Foundation		
Jeanette Rankin Foundation	Newest Account First Citizens	30,000.00
Total Jeanette Rankin Foundation		<u>30,000.00</u>
URock		
University College at Rockland	Newest Account First Citizens	27,000.00
Total URock		<u>27,000.00</u>
Scholarships - Other		
HCR Cares, Inc	Newest Account First Citizens	5,000.00
Commonwealth Criminal Justice Academy LLC	Newest Account First Citizens	700.00
Smith College	Newest Account First Citizens	664.62
Partnership for Academic Excellence	Newest Account First Citizens	5,000.00
St Mary's College Prep	Newest Account First Citizens	2,000.00
Mississippi Gulf Coast Community College	Newest Account First Citizens	646.00
Drexel Medical College - Woman One	Newest Account First Citizens	20,000.00
UNC Charlotte	Newest Account First Citizens	2,435.83
HCR Cares, Inc.	Newest Account First Citizens	5,000.00
Total Scholarships - Other		<u>41,446.45</u>
Total Scholarships		<u>243,653.10</u>
Total Grants		<u>10,197,798.86</u>