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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning

, 2012, and ending

, 20 12

Name of foundation The Blumenthal Foundation		A Employer identification number 56-0793667
Number and street (or P.O. box number if mail is not delivered to street address) P.O. Box 34689	Room/suite	B Telephone number (see instructions) 704-688-2305
City or town, state, and ZIP code Charlotte, NC 28234-4689		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 19,395,254	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	11,835	11,835		
	4 Dividends and interest from securities	348,629	348,629		
	5a Gross rents	32,004			
	b Net rental income or (loss) 4,181				
	6a Net gain or (loss) from sale of assets not on line 10	521,758			
	b Gross sales price for all assets on line 6a 521,758				
	7 Capital gain net income (from Part IV, line 2)		521,758		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	4,450	4,450			
12 Total. Add lines 1 through 11	918,676	886,672			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages	69,914	20,974	48,940	
	15 Pension plans, employee benefits	12,221	3,666	8,555	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	6,000		6,000	
	c Other professional fees (attach schedule)	115,483		115,483	
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	11,059	11,059		
	19 Depreciation (attach schedule) and depletion	4,951	4,951		
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	52,618	15,785	36,833	
	24 Total operating and administrative expenses. Add lines 13 through 23	272,246	56,435	215,811	
	25 Contributions, gifts, grants paid	708,891		708,891	
26 Total expenses and disbursements. Add lines 24 and 25	981,137	56,435	924,702		
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-62,461				
b Net investment income (if negative, enter -0-)		830,237			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

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Form 990-PF (2012)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash—non-interest-bearing	321,240	123,811	123,811		
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7 Other notes and loans receivable (attach schedule) ▶ 236,700 Less: allowance for doubtful accounts ▶	236,700	236,700	236,700		
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges	12,610	27,539	27,539		
	10a Investments—U.S. and state government obligations (attach schedule)	17,633,266	18,792,122	18,792,122		
	b Investments—corporate stock (attach schedule)					
	c Investments—corporate bonds (attach schedule)					
	11 Investments—land, buildings, and equipment: basis ▶ 432,242 Less: accumulated depreciation (attach schedule) ▶ 217,160	220,033	215,082	215,082		
	12 Investments—mortgage loans					
	13 Investments—other (attach schedule)					
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶					
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	18,423,849	19,395,254	19,395,254			
Liabilities	17 Accounts payable and accrued expenses	19,533	66,620			
	18 Grants payable	1,126,979	726,486			
	19 Deferred revenue	42,833	38,383			
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)	1,189,345	831,489			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	10,953,155	10,953,155			
	29 Retained earnings, accumulated income, endowment, or other funds	6,281,349	7,610,610			
	30 Total net assets or fund balances (see instructions)	17,234,504	18,563,765			
31 Total liabilities and net assets/fund balances (see instructions)	18,423,849	19,395,254				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,234,504
2 Enter amount from Part I, line 27a	2	-62,461
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	17,172,043
5 Decreases not included in line 2 (itemize) ▶ Unrealized Gain on Net Investments	5	1,391,722
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	18,563,765

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	521,758
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	1,202,135	19,052,155	6.3097
2010	1,376,700	18,734,510	7.3485
2009	1,228,546	18,136,175	6.7740
2008	1,136,179	20,400,172	5.5695
2007	2,266,131	18,125,175	12.5027
2 Total of line 1, column (d)			2 38.5044
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 7.701
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 18,917,831
5 Multiply line 4 by line 3			5 1,456,862
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 8,302
7 Add lines 5 and 6			7 1,465,164
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 924,702

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	16,605	00
3	Add lines 1 and 2	3	16,605	00
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	16,605	00
6	Credits/Payments:			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	20,000	00
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d	20,000	00
7	Total credits and payments. Add lines 6a through 6d	7	20,000	00
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	00
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,395	00
11	Enter the amount of line 10 to be: Credited to 2013 estimated tax 3,395.00 Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
c Did the foundation file Form 1120-POL for this year?		✓
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		✓
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		✓
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	✓	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
Website address ► blumenthalfoundation.org				
14	The books are in care of ► Peggy Gartner Telephone no. ► 704-688-2305			
Located at ► 1355 Greenwood Cliff #200 Charlotte, NC ZIP+4 ► 28204				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. and enter the amount of tax-exempt interest received or accrued during the year	15		0
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	✓
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☐ No		
If "Yes," list the years ► 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	✓
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Alan Blumenthal				
1355 Greenwood Cliff #200 Charlotte, NC 28204	Trustee, Part-time	0	0	0
Philip Blumenthal				
1355 Greenwood Cliff #200 Charlotte, NC 28204	Trustee, Part-time	0	0	0
Samuel Blumenthal				
1355 Greenwood Cliff #200 Charlotte, NC 28204	Trustee, Part-time	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Peggy Gartner				
1355 Greenwood Cliff #200 Charlotte, NC 28204	Program Admin 40	69,914	0	0

Total number of other employees paid over \$50,000 ☐ 1

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	18,682,831
b	Average of monthly cash balances	1b	266,389
c	Fair market value of all other assets (see instructions)	1c	256,700
d	Total (add lines 1a, b, and c)	1d	19,205,920
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	19,205,920
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	288,089
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,917,831
6	Minimum investment return. Enter 5% of line 5	6	945,892

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	945,892
2a	Tax on investment income for 2012 from Part VI, line 5 2a	16,605	
b	Income tax for 2012. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	16,605
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	929,287
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	929,287
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	929,287

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	924,702
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	924,702
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	924,702

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				929,287
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012:				
a From 2007				1,364,098
b From 2008				119,738
c From 2009				323,249
d From 2010				457,279
e From 2011				262,637
f Total of lines 3a through e	2,527,001			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 924,702				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)	0			924,702
d Applied to 2012 distributable amount				
e Remaining amount distributed out of corpus	4,585			4,585
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	2,522,416			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	1,359,513			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	1,162,903			
10 Analysis of line 9:				
a Excess from 2008				119,738
b Excess from 2009				323,249
c Excess from 2010				457,279
d Excess from 2011				262,637
e Excess from 2012	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Prior 3 years				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

See Attached

- b** The form in which applications should be submitted and information and materials they should include:

See Attached

- c** Any submission deadlines:

See Attached

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Attached

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Attached				
Total				3a
b Approved for future payment See Attached				
Total				3b

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|----------|--|--------------|------------|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | ✓ |
| | (2) Other assets | 1a(2) | | ✓ |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | ✓ |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | ✓ |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | ✓ |
| | (4) Reimbursement arrangements | 1b(4) | | ✓ |
| | (5) Loans or loan guarantees | 1b(5) | | ✓ |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | ✓ |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | ✓ |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

 **8/12/13** **President**

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	

The Blumenthal Foundation
Part I Line 11
Other Income

Name	Description	Amount
Jefferson Pilot Communications	Earned Easement	\$ 4,450.12
	Total	\$ 4,450.12

The Blumenthal Foundation
Part I Line 16b
Accounting Fees

Name	Description	Amount
C. DeWitt Foard & Company, P A. 1001 Morehead Square Drive Suite 450 Charlotte, NC 28203	Audit	\$ 6,000 00

The Blumenthal Foundation
Part I Line 16c
Other Professional Fees

Name	Description	Amount
Ashdon Investments	Consultant on investments	115,483 00
	\$	115,483 00

The Blumenthal Foundation
Part I Line 18
Taxes

Name	Description	Amount	
Mecklenburg City-County Tax	Property Tax	\$	11,059 12
		\$	11,059 12

THE BLUMENTHAL FOUNDATION DEPRECIATION SCHEDULE
December 31, 2012

		ASSETS					
EXPENSE CODE	DATE ACQD	COST 12/31/2011	ADDITIONS DEDUCT	COST 12/31/2012	LIFE IN YEARS	BALANCE 11/30/2012	BALANCE 12/31/2012
14050 BUILDINGS							
28 MATTHEW 81-62	1971	72,080 00		72,080 00	35	72,251 50	72,251 50
28 MATTHEW 81-62	1983	22,584 00		22,584 00	15	22,584 00	22,584 00
28 HOGAN C 79-62	2000	31,600 00		31,600 00	6	34,776 81	34,776 81
30 VICTORY 87-62	1971	39,955 00		39,955 00	35	40,050 02	40,050 02
30 VICTORY 87-62	1979	2,100 00		2,100 00	24	2,100 00	2,100 00
30 VICTORY 87-62	1995	8,725 00		8,725 00	10	8,797 65	8,797 65
30 IC PRINTI 78-62	1999	11,840 00		11,840 00	5	12,037 18	12,037 18
34 REYNOLD 88-62	1971	21,087 00		21,087 00	40	21,130 87	21,130 87
34 REYNOLD 88-62	1988	1,525 31		1,525 31	22	1,525 31	1,525 31
35 REYNOLD 88-62	1992	376,251 40		376,251 40	30	261,682 46	266,155 67
58 WELLS-FA 76-62	1972	95,180 00		95,180 00	45	84,779 57	84,955 83
58 WELLS-FA 76-62	1973	(48 39)		(48 39)	45	-48 39	-48 39
58 WELLS-FA 76-62	1974	24,903 00		24,903 00	45	21,075 28	21,121 40
58 WELLS-FA 76-62	19774	17,237 00		17,237 00	25	14,536 49	14,593 95
62 SUNBELT 89-62	1986	21,317 62		21,317 62	20	21,406 36	21,406 36
62 SUNBELT 89-62	1989	1,433 88		1,433 88	17	1,433 91	1,433 91
62 SUNBELT 89-62	1990	14,569 41		14,569 41	17	14,640 72	14,640 72
62 SUNBELT 89-62	1993	12,874 00		12,874 00	14	12,950 56	12,950 56
62 SUNBELT 89-62	1994	56,268 00		56,268 00	13	56,628 35	56,628 35
62 STATE EC 97-62	1996	9,238 00		9,238 00	10	9,314 93	9,314 93
62 STATE EC 97-62	1997	53,908 38		53,908 38	10	54,357 25	54,357 25

TOTAL BUILDINGS 894,628.61 0.00 0 894,628.61 768,010.86 4,753.05 0.00 772,763.90

16050 LAND IMPROVEMENTS

01 PAVING-V 87-62	1997	4,500 00		4,500 00	10	4,537 47	4,537 47
01 PAVING-V 87-62	1971	1,116 00		1,116 00	10	1,116 00	1,116 00
02 PAVING-R 88-62	1971	7,191 00		7,191 00	15	7,191 00	7,191 00
02 PAVING-R 88-62	1991	4,034 00		4,034 00	15	4,034 05	4,034 05
02 PAVING-R 88-62	1992	21,306 00		21,306 00	15	21,424 27	21,424 27
03 PAVING-W 76-62	1995	35,490 00		35,490 00	10	35,785 51	35,785 51
03 PAVING LI 76-62	2000	12,880 00		12,880 00	5	13,094 49	13,094 49
07 CURBS-GI 76-62	1972	8,658 13		8,658 13	20	8,658 13	8,658 13
08 CURBS S 97-62	1996	2,133 38		2,133 38	20	1,822 27	1,831 16
08 CURBS S 97-62	1997	15,651 00		15,651 00	20	12,585 96	12,651 17
09 SEWER LI 86-62	1972	2,018 30		2,018 30	20	2,018 30	2,018 30

Blumenthal Foundation
Part I Line 19

50	FENCE-LI	76-62	1972	4,187 00	4,187 00	20	4,187 00	0 00	4,187 00
51	FENCE-S	97-62	1995	5,761 00	5,761 00	20	4,920 81	24 00	4,944 81
51	FENCE-S	97-62	1997	2,900 00	2,900 00	20	2,332 08	12 08	2,344 16
51	FENCE-R	77-62	1999	16,931 00	16,931 00	20	11,922 19	70 55	11,992 74
52	LANDSCA	97-62	1997	5,506 00	5,506 00	5	5,506 00	0 00	5,506 00
53	FENCE G.	87-62	1998	4,133 00	4,133 00	20	3,116 96	17 22	3,134 18
TOTAL-LAND IMPROVEMENTS				154,395.81	0.00	0.00	144,252.49	197.96	0.00
TOTAL				154,395.81	0.00	0.00	144,252.49	197.96	144,450.44
15050	EQUIPMENT								
28	COMF -HT	81-62	1986	5,986 00	5,986 00	10	5,986 00	0 00	5,986 00
	MATTHEWS-MORSE BLDG								
28	COMPRES	81-62	1992	1,752 30	1,752 30	5	1,752 30	0 00	1,752 30
	MATTHEWS-MORSE BLDG								
29	CONDENS	88-62	1988	2,000 00	2,000 00	10	2,000 00	0 00	2,000 00
	REYNOLDS ALUM BLDG								
29	NEW FUR	88-62	1991	2,095 00	2,095 00	10	2,095 00	0 00	2,095 00
	REYNOLDS OLD BLDG								
29	HTG/AIR C	77-62	1999	1,186 50	1,186 50	10	1,186 50	0 00	1,186 50
	REYNOLDS BLDG								
30	UNIT HEA	89-62	1994	2,295 00	2,295 00	10	2,295 00	0 00	2,295 00
	SUN BELT EQUIP								
31	HEAT PUL	97-62	1995	5,128 00	5,128 00	10	5,128 00	0 00	5,128 00
	3-UNIT HEATERS/STATE EQ								
31	(1)CARRIE	97-62	1997	4,466 00	4,466 00	10	4,466 00	0 00	4,466 00
	5-TONS /STATE EQ								
32	(2)GAS UN	76-62	1995	4,796 00	4,796 00	10	4,796 00	0 00	4,796 00
	LOOMIS-FARGO								
33	NEW FUR	78-62	1999	3,160 00	3,160 00	10	3,160 00	0 00	3,160 00
1000	DATA PROC SOFTWARE								
75	BF-OFFICI	83-62	1997	4,404 00	4,404 00	5	4,404 00	0 00	4,404 00
	SOFTWARE								
75	BF-OFFICI	83-62	1998	2,303 98	2,303 98	5	2,303 98	0 00	2,303 98
	SYSTEMS(BLACKBAUD)								
	BF-OFFICE/COMPUT	2002		333 65	333 65	5	333 65	0 00	333 65
	BF- COMPUTER/SER	2002		1,739 70	1,739 70	5	1,739 70	0 00	1,739 70
	BF-OFFICE/COMPUT	2004		1,566 58	1,566 58	5	1,566 58	0 00	1,566 58
	TOTAL EQUIPMENT								
				43,212.71	0.00	0.00	43,212.71	0.00	43,212.71

OFFICE FURN. & FIXTURES																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
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The Blumenthal Foundation
Part I Line 23
Other Expenses

Description		Amount
Office Supplies	\$	774
Contractor Labor	\$	15,490
Postage	\$	797
Dues and Subscriptions	\$	7,052
Software and Software Maint	\$	289
Insurance	\$	976
Education and Seminars	\$	1,643
Property Insurance	\$	7,221
Bank Charges	\$	614
Storm Water	\$	2,331
Excise Tax	\$	13,110
Foundation Meeting	\$	60
Landscaping	\$	2,260
	\$	52,618

The Blumenthal Foundation
Part II Line 7
Notes Receivable

Name	Amount
Bershire Business Center Interest Receivable	<u>236,700</u>
	236,700

The Blumenthal Foundation
Part II Line 10a
Investments

Name	Amount
Angelo Gordon	1,660,070.00
Ashdon Select Investment Manage	10,169,832.33
Horseman Global Fund	653,068.37
PIMCO	5,500,518.49
US Bancorp Fund Services LLC	<u>808,632.59</u>
	18,792,122

THE BLUMENTHAL FOUNDATION DEPRECIATION SCHEDULE
December 31, 2012

EXPENSE CODE	DATE ACQD	ASSETS				LIFE IN YEARS	BALANCE 11/30/2011	PROV	DEDUCT	BALANCE 12/31/2011
		COST 12/31/2010	ADDITNS	DEDUCT	COST 12/31/2011					
14050 BUILDINGS										
28 MATTHEWS-MORSE	1971	72,080 00			72,080 00	35	72,251 50	0 00		72,251 50
28 MATTHEWS-MORSE	1983	22,584 00			22,584 00	15	22,584 00	0 00		22,584 00
28 HOGAN COMPANY	2000	31,600 00			31,600 00	6	34,776 81	0 00	0 00	34,776 81
30 VICTORY TROPHY SHOP	1971	39,955 00			39,955 00	35	40,050 02	0 00		40,050 02
30 VICTORY TROPHY SHOP	1979	2,100 00			2,100 00	24	2,100 00	0 00		2,100 00
30 VICTORY TROPHY SHOP	1995	8,725 00			8,725 00	10	8,797 65	0 00		8,797 65
30 CI PRINTING	1999	11,840 00			11,840 00	5	12,037 18	0 00		12,037 18
34 REYNOLDS ALUMINUM	1971	21,087 00			21,087 00	40	21,130 87	483 24		21,614 12
34 REYNOLDS ALUMINUM	1988	1,525 31			1,525 31	22	1,525 31	0 00		1,525 31
35 REYNOLDS ALUMINUM,	1992	376,251 40			376,251 40	30	249,140 74	12,541 71		261,682 46
58 WELLS-FARGO	1972	95,180 00			95,180 00	45	82,664 46	2,115 11		84,779 57
58 WELLS-FARGO	1973	(48 39)			(48 39)	45	-48 39	0 00		-48 39
58 WELLS-FARGO	1974	24,903 00			24,903 00	45	20,521 88	553 40		21,075 28
58 WELLS-FARGO	19774	17,237 00			17,237 00	25	13,847 01	689 48		14,536 49
62 SUNBELT-BUTLER METL BLD	1986	21,317 62			21,317 62	20	21,406 36	0 00		21,406 36
62 SUNBELT-BUTLER METL BLD	1989	1,433 88			1,433 88	17	1,433 91	0 00		1,433 91
62 SUNBELT-ADDITION	1990	14,569 41			14,569 41	17	14,640 72	0 00		14,640 72
62 SUNBELT-MAIN BLDG-ROOF	1993	12,874 00			12,874 00	14	12,950 56	0 00		12,950 56
62 SUNBELT-ADDITION	1994	56,268 00			56,268 00	13	56,628 35	0 00		56,628 35
62 STATE EQUIP -ADDITION	1996	9,238 00			9,238 00	10	9,314 93	0 00		9,314 93
62 STATE EQUIP -ADDITION	1997	53,908 38			53,908 38	10	54,357 25	0 00		54,357 25
TOTAL BUILDINGS		894,628.61	0.00	725,757.00	137,271.61		752,111.15	16,382.95	651,229.30	117,264.80

THE BLUMENTHAL FOUNDATION DEPRECIATION SCHEDULE
December 31, 2012

EXPENSE CODE	DATE ACQD	ASSETS				LIFE IN YEARS	BALANCE			PROV	DEDUCT	BALANCE 12/31/2011
		COST 12/31/2010	ADDITNS	DEDUCT	COST 12/31/2011		COST 12/31/2010	ADDITNS	DEDUCT			
16050 LAND IMPROVEMENTS												
01 PAVING-VICTORY TROPHY	1997	4,500 00			4,500 00	10	4,537.47			0 00		4,537 47
01 PAVING-VICTORY TROPHY	1971	1,116 00			1,116 00	10	1,116 00			0 00		1,116 00
02 PAVING-REYNOLDS ALUM	1971	7,191 00			7,191 00	15	7,191 00			0 00		7,191 00
02 PAVING-REYNOLDS ALUM	1991	4,034 00			4,034 00	15	4,034 05			0 00		4,034 05
02 PAVING-REYNOLDS ALUM	1992	21,306 00			21,306 00	15	21,424 27			0 00		21,424 27
03 PAVING-WELLS FARGO	1995	35,490 00			35,490 00	10	35,785 51			0 00		35,785 51
03 PAVING LOOMIS FARGO	2000	12,880 00			12,880 00	5	13,094 49			0 00		13,094 49
07 CURBS-GUTTERS W/FARGO	1972	8,658 13			8,658 13	20	8,658 13			0 00		8,658 13
08 CURBS STATE EQUIPMENT	1996	2,133 38			2,133 38	20	1,715 60			106 67		1,822 27
08 CURBS STATE EQUIPMENT	1997	15,651 00			15,651 00	20	11,803 41			782 55		12,585 96
09 SEWER LINE-SUN BELT EQ	1972	2,018 30			2,018 30	20	2,018 30			0 00		2,018 30
50 FENCE--LOOMIS-FARGO	1972	4,187 00			4,187 00	20	4,187 00			0 00		4,187 00
51 FENCE--STATE EQUIPMENT	1995	5,761 00			5,761 00	20	4,632 76			288 05		4,920 81
51 FENCE--STATE EQ-C/GATE	1997	2,900 00			2,900 00	20	2,187 08			145 00		2,332 08
51 FENCE--RENTAL SER CTR	1999	16,931 00			16,931 00	20	11,075 64			846 55		11,922 19
52 LANDSCAPING--STATE EQ	1997	5,506 00			5,506 00	5	5,506 00			0 00		5,506 00
53 FENCE GATES-VICTORY TR	1998	4,133 00			4,133 00	20	2,910 31			206 65		3,116 96
TOTAL-LAND IMPROVMENTS		154,395.81	0.00	101,838.81	52,557.00		141,877.02			2,375.47	91,029.70	53,222.79

THE BLUMENTHAL FOUNDATION DEPRECIATION SCHEDULE
December 31, 2012

EXPENSE CODE	DATE ACQCD	ASSETS				LIFE IN YEARS	BALANCE 11/30/2011	PROV	DEDUCT	BALANCE 12/31/2011
		COST 12/31/2010	ADDITNS	DEDUCT	COST 12/31/2011					
15050 EQUIPMENT										
28 81-62	1986	5,986 00			5,986 00	10	5,986 00	0 00		5,986 00
COMF.-HTG & AIR COND MATTHEWS-MORSE BLDG										
28 81-62	1992	1,752 30			1,752 30	5	1,752 30	0 00		1,752 30
COMPRESSOR MATTHEWS-MORSE BLDG										
29 88-62	1988	2,000 00			2,000 00	10	2,000 00	0 00		2,000 00
CONDENSING UNIT (ACOSTA) REYNOLDS ALUM BLDG										
29 88-62	1991	2,095 00			2,095 00	10	2,095 00	0 00		2,095 00
NEW FURN NELO HTG UNIT REYNOLDS OLD BLDG										
29 77-62	1999	1,186 50			1,186 50	10	1,186 50	0 00		1,186 50
HTG/AIR COND WALL UNIT REYNOLDS BLDG										
30 89-62	1994	2,295 00			2,295 00	10	2,295 00	19 13		2,314 12
UNIT HEATER -SHOP AREA SUN BELT EQUIP										
31 97-62	1995	5,128 00			5,128 00	10	5,128 00	42 73		5,170 73
HEAT PUMP - 2 TON UNIT 3-UNIT HEATERS/STATE EQ										
31 97-62	1997	4,466 00			4,466 00	10	4,466 00	0 00		4,466 00
(1)CARRIER GAS PAN UNIT 5-TONS /STATE EQ										
32 76-62	1995	4,796 00			4,796 00	10	4,796 00	0 00		4,796 00
(2)GAS UNIT HEATERS LOOMIS-FARGO										
33 78-62	1999	3,160 00			3,160 00	10	3,160 00	26 33		3,186 33
NEW FURNACE iCi										
1000 DATA PROC SOFTWARE										
75 83-62	1997	4,404 00			4,404 00	5	4,404 00	0 00		4,404 00
BF-OFFICE/RIVERSIDE SOFTWARE										
75 83-62	1998	2,303 98			2,303 98	5	2,303 98	0 00		2,303 98
BF-OFFICE/LICENSE & SYSTEMS(BLACKBAUD)										
BF-OFFICE/COMPUTER	2002	333 65			333 65	5	333 65	0 00		333 65
BF- COMPUTER/SERVER	2002	1,739 70			1,739 70	5	1,739 70	0 00		1,739 70
BF-OFFICE/COMPUTER	2004	1,566 58	0 00		1,566 58	5	1,566 58	0 00		1,566 58
TOTAL EQUIPMENT		43,212.71	0.00	28,068.80	15,143.91		43,212.71	88.19	43,300.90	15,143.91
17050 OFFICE FURN. & FIXTURES										
Computers	2007	9,451 94	0 00		9,451 94	5	8,034 15	1,890 39		9,924 54
Furniture-Philip	2007	6,050 00	0 00		6,050 00	5	4,840 00	1,210 00		6,050 00
01 B F OFFICE FURN & FIXTURES	1999	13,285 93			13,285 93	10	13,396 56	0 00	0 00	13,396 56
		28,787 87	0 00	28787 87	28,787 87		26,270 71	3,100 39	2,841 97	26,529 12
GRAND TOTALS		1,121,025.00	0.00	855,714.44	233,808.78		963,471.59	21,947.00	805,523.90	217,160.62

Date	Memo	Amount
01/31/2012	Real Gain Angelo Gordon 0112	39,054 00
01/31/2012	Real Gain Marketfield 0112	3,928 17
01/31/2012	Real Gain Horseman 0112	5,931.09
01/31/2012	Real Gain Ashdon 0112	38,296 67
01/31/2012	Real Gain PIMCO 0212	117,418 85
02/29/2012	Real Gain Angelo Gordon 0212	22,927 00
02/29/2012	Real Loss Marketfield 0212	-29,981 07
02/29/2012	Real Gain Horseman 0212	12,128 06
02/29/2012	Real Gain Ashdon 0212	1,377 00
02/29/2012	Real Gain Ashdon 0212	11,060 01
03/31/2012	Gain Horseman 0412	24,951 99
03/31/2012	Loss PIMCO 0312	-14,163 94
03/31/2012	Gain Marketfield 0312	80,000 00
03/31/2012	Gain AG 0312	8,001 00
03/31/2012	Real Gain Ashdon 0312	164,664 77
04/30/2012	Real Gain Ashdon 0412	104,238 40
04/30/2012	Gain Marketfield 0412	8,638 59
04/30/2012	Gain Horseman 0412	8,591 25
04/30/2012	Gain AG 0412	6,923 00
04/30/2012	Gain PIMCO 0412	64,835 71
05/31/2012	Loss AG 0512	-6,981 00
05/31/2012	Gain Horseman 0512	39,120 66
05/31/2012	Loss Marketfield 0512	-1,016 31
05/31/2012	Real Gain Ashdon 0512	1,658 73
05/31/2012	Real Loss Ashdon 0512	-43,523 34
06/30/2012	Real Gain AG 0612	13,055 00
06/30/2012	Loss Horseman 0612	-5,482 33
06/30/2012	Gain Marketfield 0612	6,605 98
06/30/2012	Real Loss Ashdon 0612	-16,169 84
07/31/2012	Real Gain AG 0712	17,714 00
07/31/2012	Gain Horseman 0712	3,930 53
07/31/2012	Loss Marketfield 0712	-11,179 35
07/31/2012	Real Loss Ashdon 0712	-6,483 74
08/31/2012	Real Gain AG 0812	30,589 00
08/31/2012	Gain Marketfield 0812	26,423 92
08/31/2012	Real Gain Ashdon 0812	1,263 58
08/31/2012	Real Gain Ashdon 0812	128,604 79
09/30/2012	Real Gain AG 0912	28,947 00
09/30/2012	Loss Marketfield 0912	-1,016 31
09/30/2012	Real Gain Ashdon 0912	13,007 17
10/31/2012	Real Gain AG 1012	7,325 00
10/31/2012	Real Gain Ashdon 1012	76,700 62
10/31/2012	Real Loss Ashdon 1012	-2,313 99
11/30/2012	Real Gain AG 1112	6,920 00
11/30/2012	Gain Horseman 8-11/12	12,249 27
11/30/2012	Gain Marketfield 1112	8,130 44
11/30/2012	Real Gain Ashdon 1112	1,423 69
11/30/2012	Real Loss Ashdon 1112	-32,739 12
11/30/2012	Real gain PIMCO 1112	14,394 96
12/31/2012	Real Gain AG 1212	30,450 00

12/31/2012	Loss Horseman 1212	-22,750 79
12/31/2012	Real Loss Ashdon 1212	-9,459 38
12/31/2012	Loss PIMCO 1212	-58,722 97
12/31/2012	Gain Marketfield 1212	11,341 71
12/31/2012	Audit Adjusting JE FYE 12	-419,079 95
		<u>521,758 18</u>
		<u><u>521,758.18</u></u>

Part XV
2a-d



Grant Guidelines

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For Submitting Grant Proposals

HISTORY AND TYPES OF SUPPORT:

The Blumenthal Foundation was established in Charlotte, North Carolina in 1953. The Foundation provides grants for seed money, annual operating budgets, capital campaigns, conferences and seminars, special projects and endowments.

PURPOSES AND ACTIVITIES:

The Foundation considers grant requests from the Charlotte region in the following areas: Arts and Science, Civic and Community, Education, Environment, Foundation Affiliates, Health and Human Services, Jewish Religious, Religious, and Social Sciences.

ELIGIBILITY:

The present policy of the Foundation is to make grants only to non-profit, tax-exempt charitable organizations and institutions that are exempt under Section 501(c)(3) of the Internal Revenue Code or to a governmental agency **No grants are made to individuals for any purpose.**

PROPOSAL CONTENTS:

A proposal should be brief and in letter form and should be signed by an authorized official of the petitioning organization. Only one copy of the proposal should be submitted. The first paragraph of the proposal should state the specific amount and the purpose of the request, and the proposal should contain:

1. A mission statement of the organization
2. A concise description of the program/project, and how it fits with the mission of the organization
3. Total cost of the program/project and duration.
4. Funds on hand or pledged from other sources
5. Other sources being contacted for funding and for what amount.
6. A plan for evaluation stating the desired results and how they will be measured.
7. The phone number where a contact person for the project may be reached during normal business hours.

8. Indicate to whom a check is to be made payable.

ATTACHMENTS:

A line-item budget for the specific program/project for which funding is being requested, if applicable, and a budget for the organization's total operations including expected income and expenditures.

A list of the governing board of the petitioning organization.

A copy of the federal certification letter granting charitable status to the petitioning organization under Code Section 501(c)(3), except in the case of governmental agencies and churches.

DEADLINES:

The Board of Trustees of the Foundation meets quarterly to consider grant applications.

Please address all proposals to:

Mr. Philip Blumenthal
BLUMENTHAL FOUNDATION
P O Box 34689
Charlotte, NC 28234-4689
Telephone: 704-688-2305
Fax: 704-688-2301
email. foundation@rscbrands.com

The Blumenthal Foundation
P.O. Box 34689
Charlotte, NC 28234-4689
704-688-2305 • 704-688-2301 (FAX)
e-mail: foundation@rscbrands.com

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Date	Name	Name Address	Memo	Amount
01/12/2012	Wildcres Retreat	P O Box 280 Little Switzerland NC 28749	General Operating Support	10,000.00
01/18/2012	N C Network of Grantmakers	301 West Barbee Chapel Rd Suite 100 Chapel Hill, NC 27517	Scholarships for Annual Meeting	1,500.00
01/31/2012	Levine Museum Of the New South	200 East Seventh Street Charlotte, NC 28202	2nd payment on \$10,000 grant for 20th Anniversary celebration	5,000.00
01/31/2012	N C Dance Theatre	701 N Tryon Street Charlotte, NC 28202	1st payment on \$12,500.00 grant for 2011-12 Annual Fund Drive	4,166.67
01/31/2012	Pathway Fire and Rescue-L Swiss Fund	Little Switzerland Fund P O Box 188 Spruce Pine NC 28777	2nd payment on 3 year grant of \$30,000.00 to assist in helping retire \$180,000 debt for Little S	10,000.00
01/31/2012	UNC Charlotte Foundation	UNC Charlotte 9201 University City Blvd Charlotte, NC 28223-0001	1st payment on \$25,000.00 grant for Violins of Hope	12,500.00
01/31/2012	The Wilderness Society	1615 M Street NW Washington, DC 20036	For support of the efforts to protect wild places in the Southern Blue Ridge	5,000.00
02/29/2012	Jewish Family Services	5007 Providence Road Charlotte, NC 28226	1st payment on \$15,000.00 grant for 2011-2012	5,000.00
02/29/2012	United Way of Central Carolinas	P O Box 601942 Charlotte, NC 28260-1942	1st payment on \$15,000.00 grant for 2011-2012	3,750.00
02/29/2012	Big Brothers Bit Sisters of Greater Char	3801 E Independence Blvd Charlotte, NC 28205	A grant for general operating support	1,000.00
02/29/2012	Fletcher School	8500 Sardis Road Charlotte, NC 28270	8th payment on 5 year commitment of \$50,000.00	5,000.00
02/29/2012	Foundation For The Carolinas	Charlotte, NC 28202	1st payment on a 5 year commitment for the Carolina Thread Trail Fund	25,000.00
02/29/2012	Nevins Foundation	3523 Nevins Road Charlotte, NC 28269	General Operating Support	2,500.00
02/29/2012	N Carolinians Against GunViolence Ed Fund	P O Box 51565 Durham, NC 27717	1st payment on \$5,000.00 grant	2,500.00
02/29/2012	UNC Charlotte	9201 University City Blvd Charlotte, NC 28223-0001	2nd payment on \$25,000.00 grant for Violins of Hope	12,500.00
03/16/2012	Charlotte Symphony	Two Wells Fargo Center 301 S Tryon Street#1700 Charlotte, NC 28282	2nd payment on 5 year grant	20,000.00
03/27/2012	Charlotte County Day School	1440 Carmel Road Charlotte, NC 28226-5096	Annual Fund Drive	1,500.00
03/27/2012	Holy Angels Foundation, Inc	P O Box 710 Belmont, NC 28012-0710	20th Annual Angel Bowl	250.00
03/27/2012	North Carolina Wildlife Federation	2155 McClintock Road Charlotte, NC 28205	For general operating support	3,500.00
03/27/2012	Democracy North Carolina	105 W Main Street Carboro NC 27510	General operating support	1,000.00
03/27/2012	CPOCC Foundation	P O Box 35009 Charlotte, NC 28235-5009	1st payment on \$30,000.00 gmt for support of the renewable energy initiative at the school	15,000.00
03/27/2012	Jewish Family Services	5007 Providence Road Charlotte, NC 28226	2nd payment on one-year \$15,000.00 grant	5,000.00
03/27/2012	Lifespan Foundation	200 Clanton Road Charlotte, NC 28217	1st of 2 payment on \$5,000.00 grant for Residential Campaign	2,500.00
03/27/2012	N Carolinians Against GunViolence Ed Fund	P O Box 51565 Durham, NC 27717	2nd payment on one-year \$5,000.00 grant	2,500.00
03/27/2012	N C Dance Theatre	701 N Tryon Street Charlotte, NC 28202	2nd of 3 payment on one-year \$12,500.00 grant	4,166.67
04/25/2012	The Greater Charlotte Cultural Trust	P O Box 3023 Morganton, NC 28680	Campaign for Cultural Facilities - 1st payment on 5-year grant of \$125,000.00	25,000.00
04/25/2012	Footloos Conservancy of N C, Inc	Two Wachovia Center 301 S Tryon Street - #1550 Charlotte NC 28282	Support of 2012 conservation efforts	5,000.00
04/25/2012	Opera Carolina	10102 Mountain Apple Drive Mint Hill, NC 28227	Education & Outreach Programs for 2011-2012	2,500.00
04/25/2012	Charlotte Amateur Astronomers Club	P O Box 157 Charlotte, SC 27402-0157	2nd payment on one-year \$5,000.00 grant	5,000.00
04/25/2012	Spoleto Festival USA	Carolina Environmental Program Campus Box 1105 Chapel Hill, NC 27599-1120	2nd payment on \$15,000.00 - 3 year grant	5,000.00
04/25/2012	UNC - Chapel Hill	P O Box 882 Bumsville 28714	2nd payment on 3-year \$15,000.00 grant	5,000.00
05/22/2012	Arts and Science Council	725 Providence Road - Suite 326 Charlotte, NC 28207	1st of 5 payments	4,000.00
05/22/2012	Bakersville Creek Walk Arts Festival	2300 W Innes Street Salisbury, NC 28144	For support of Annual Creek Walk Art Festival	500.00
05/22/2012	Bruce Irons Camp Fund	c/o Partners in Out-of-School Time 1609 E Fifth Street Charlotte, NC 28204	Camp scholarship for low income students for overnight camp experience	2,500.00
05/22/2012	Catawba College Ctr for the Environment	301 West Barbee Chapel Rd Suite 100 Chapel Hill, NC 27517	Scholarship for one student to attend the 2012 National Environmental Summit for High School stu	1,300.00
05/22/2012	Charlotte Observer Summer Camp fund	1351 E Morehead Street Suite 105 Charlotte, NC 28204	A grant for sponsorship of the Summer Camp Fund	1,500.00
05/22/2012	Foundation For The Carolinas	11 North Mitchell Avenue Bakersville, NC 28705	Yearly sponsorship of the program	2,500.00
05/22/2012	N C Network of Grantmakers	861 Greenwood Road Spruce Pine, NC 28777	A grant to help with the Tax Modernization Initiative	3,000.00
05/22/2012	N C Outward Bound School	P O Box 601942 Charlotte, NC 28260-1942	For support of the Unity Project in the Charlotte Mecklenburg Schools	3,000.00
05/22/2012	The Mitchell City Historic Courthouse FDN	Fox MPA Endowment UNCC Development Office 9201 University City Blvd	For support of the Toe River Storytelling Festival	3,000.00
05/22/2012	Toe River Health Distnd	P O Box 37 Penland, NC 28765-0037	For a Point of Care computer system to aid in caring for at home patients in Avery, Mitchell and	3,750.00
05/22/2012	United Way of Central Carolinas	711 Iredell Street Suite A Durham NC 27705	2nd payment on \$15,000.00 grant	1,000.00
05/22/2012	UNC - Charlotte	2912 Highwood Blvd Raleigh NC 27604	Grant to help establish the Gerald G Fox Master of Public Administration End Fund	1,000.00
06/12/2012	Wildcres Leadership Initiative	P O Box 31723 Charlotte, NC 28231	1st payment on 5-year grant for the Campaign for Penland's Future (CPT)	20,000.00
06/18/2012	Blueprint North Carolina	2116 Wilkerson Blvd Charlotte NC 28208	General Operating Support	15,000.00
06/18/2012	Charlotte Public Tree Fund, Inc	P O Box 7645 Asheville, NC 28802	General Operating Support	7,500.00
06/18/2012	Classroom Central	1504 Silver Street Sheffield, MD 01257	A grant for an outdoor garden and classroom at the First Ward Creative Arts Academy	1,000.00
06/18/2012	Dogwood Alliance	322 Hawthorne Lane Charlotte NC 28204	A grant for the Youth Organizing Program	3,000.00
06/18/2012	Hands In Outreach	29 North Market Street Suite 610 Asheville NC 28801	A grant for support of the Immigration Law Clinic	1,500.00
06/18/2012	International House	16 Eagle Street - Suite 200 Asheville, NC 28801	A grant to help expand the communications capacity of the Alliance	3,000.00
06/18/2012	Western North Carolina Alliance	School of Music Boone, NC 28608	A grant for the continued support of the Grandfather National Scenic Area	2,500.00
06/18/2012	Wild South	5007 Providence Road Charlotte, NC 28226	2nd payment on 4 year grant for Appal Pie Scholarship	2,000.00
06/18/2012	Appalachian State University	701 N Tryon Street Charlotte, NC 28202	3rd payment on one-year \$15,000.00 grant	2,500.00
06/18/2012	Jewish Family Services		3rd payment on one-year \$12,500.00 grant	5,000.00
06/18/2012	N C Dance Theatre			4,166.66

07/10/2012	Wildacres Leadership Initiative	711 Iredell Street Suite A Durham, NC 27705	For the hosting and management services of a new software program YouMembership.com - July 2012	8,285 00
07/19/2012	Queens University	1900 Selwyn Avenue Charlotte, NC 28207	1st payment on grant for 2011-2012 academic year - McColi Graduate School - Blumenthal Fellowship	4,000 00
07/19/2012	Allegra Foundation	3121 Providence Road Charlotte, NC 28211	Program Support	3,500 00
07/19/2012	The American Chestnut Foundation	160 Zillicoa Street - Suite D Asheville, NC 28801	Help underwrite summit to be held in October 2012	1,000 00
07/19/2012	Arts and Science Council	P O Box 602720 Charlotte, NC 28260-2720	2nd of 5 payment on \$20,000 Annual Campaign pledge	4,000 00
07/19/2012	Crisis Assistance Ministry	500-A Spratt Street Charlotte NC 28206	General Operating Support - 1st payment on \$20,000 00 grant	10,000 00
07/19/2012	Trea Charlotte	c/o Foundation For The Carolinas 220 N Tryon Street Charlotte, NC 28202	A grant for support of Charlotte's sustainability efforts	5,000 00
07/19/2012	Fletcher School	8500 Sardis Road Charlotte, NC 28270	9th payment on 5-year - \$50,000 00 grant	5,000 00
07/19/2012	Lespan Foundation	200 Clanton Road Charlotte, NC 28217	2nd payment on grant of \$5000 00 for Residential Campaign	2,500 00
07/30/2012	N C Conservation Network	19 East Martin Street - Suite 300 Raleigh, NC 27601	Grant for support of phone bank for 2012 election	5,000 00
07/30/2012	N C Zoo Society	4403 Zoo Parkway Asheville, NC 27205-1425	1st payment on three-year \$7,500 00 grant for Project Polar Bear	2,500 00
08/02/2012	Wildacres Leadership Initiative	711 Iredell Street Suite A Durham NC 27705	General Operating Support	25,000 00
08/23/2012	Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226	1st payment on one-year \$210,000 grant for Annual Fund Drive	42,000 00
08/23/2012	Queens University	1900 Selwyn Avenue Charlotte, NC 28207	2nd payment on \$20,000 grant for 2011-2012 academic year	4,000 00
08/23/2012	United Way of Central Carolinas	P O Box 601942 Charlotte, NC 28260-1942	3rd payment on one-year \$15,000 grant	3,750 00
08/23/2012	Partners for Parks	5841 Brookshire Blvd Charlotte, NC 28216	2012 Annual Awards Reception	2,500 00
08/23/2012	Toe River Arts Council	P O Box 882 Burnsville, NC 28714	Annual Giving	1,000 00
08/23/2012	Foundation for Mitchell County	P O Box 1101 Spruce Pine NC 28777-1101	For support of the community endowment	2,500 00
08/23/2012	FDN for the Charlotte Jewish Community	220 North Tryon Street Charlotte NC 28202	For support of the Create Your Jewish Legacy Initiative	1,000 00
08/23/2012	Foundation For The Carolinas	Charlotte, NC 28202	Sponsorship of Leadership Gift School for 2012-2013	2,500 00
08/23/2012	Beth El Congregation	1004 Watts Street Durham, NC 27701	2nd payment on three-year - \$15,000 00 grant	5,000 00
09/19/2012	Arts and Science Council	P O Box 602720 Charlotte, NC 28260-2720	2nd and final payment on two-year grant for bronze relief in Legacy Hall of Herman and I D	10,000 00
09/19/2012	Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226	3rd payment on one-year \$20,000 00 Annual Fund Campaign	4,000 00
09/19/2012	Joined in Education	P O Box 79180 Charlotte, NC 28271-7059	For support of "The Bully Project"	1,800 00
09/19/2012	The Nature Conservancy	4705 University Drive-Suite 290 Durham, NC 27707	A grant for the Annual Fund Drive	2,000 00
09/19/2012	Queens University	1900 Selwyn Avenue Charlotte, NC 28207	3rd payment on one-year \$20,000 00 grant for the Blumenthal Fellowship in the McColi School of B	4,000 00
09/19/2012	South Wings Asheville	35 Haywood Street Suite 201 Asheville, NC 28801	For general operating support of the slight conservation program for 2012-2013	5,000 00
09/19/2012	United Way of Mitchell County, Inc	31 Cross Street - Suite 210 Spruce Pine NC 28777	A grant for support of the Annual Fund Drive	500 00
09/19/2012	Hebrew Cemetery Association	c/o Bill Gorelick 4084 Colony Road - Suite 340 Charlotte NC 28211	2nd payment on three-year \$50,000 00 grant for Building Fund	16,500 00
09/19/2012	The Nature Conservancy	4705 University Drive-Suite 290 Durham NC 27707	1st payment on five-year grant for the "Campaign for Sustainable Planet"	10,000 00
09/24/2012	Wildacres Leadership Initiative	711 Iredell Street Suite A Durham, NC 27705	General Operating Support	25,000 00
10/30/2012	Wildacres Leadership Initiative	c/o Levine JCC 5007 Providence Road Charlotte, NC 28226	General Operating Support	25,000 00
10/31/2012	LJCC Charlotte Jewish Film Festival	P O Box 37 Penland, NC 28765-0037	2013 Patron for the Jewish Film Festival	500 00
10/31/2012	Penland School of Crafts	P O Box 196 Durham, NC 27702	In honor of Jan Peiser's 80th birthday	1,000 00
11/02/2012	Catawba Riverkeeper Foundation	421 Minuet Lane, Suite 205 Charlotte, NC 28217-2784	Workplace giving program	2,500 00
11/07/2012	Wildacres Retreat	P O Box 280 Little Switzerland, NC 28749	A grant for a marketing and education project	5,000 00
11/15/2012	Crisis Assistance Ministry	500-A Spratt Street Charlotte, NC 28206	General Operating Support	25,000 00
11/15/2012	Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226	2nd payment on \$20,000 00 grant	10,000 00
11/15/2012	Queens University	1900 Selwyn Avenue Charlotte NC 28207	3rd and 4th payment on one-year \$210,000 00 grant	84,000 00
11/15/2012	Arts and Science Council	P O Box 602720 Charlotte NC 28260-2720	4th and 5th payment on one-year \$20,000 00 grant	8,000 00
11/15/2012	United Way of Central Carolinas	P O Box 601942 Charlotte, NC 28260-1942	4th payment on one-year \$20,000 00 grant	4,000 00
11/15/2012	Temple Beth El	5101 Providence Rd Charlotte, NC 28226	4th and final payment on one-year \$15,000 00 grant	3,750 00
12/06/2012	Wildacres Retreat	P O Box 280 Little Switzerland, NC 28749	4th payment on 5-year \$1,150,000 00 grant for Capital and Endowment Campaign	230,000 00
12/20/2012	Arts and Science Council	P O Box 602720 Charlotte, NC 28260-2720	Grant	30,000 00
12/20/2012	Clean Air Carolina	P O Box 5311 Charlotte, NC 28299	5th and final payment on one-year \$25,000 00 grant	4,000 00
12/20/2012	Community Building Initiative	220 North Tryon Charlotte, NC 28202	General operating support	2,000 00
12/20/2012	Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226	General Operating support	2,500 00
12/20/2012	Katawba Valley Land Trust	P O Box 1776 Lancaster, SC 29721	5th and final payment on one-year \$210,000 00 grant	42,000 00
12/20/2012	Catawba Lands Conservancy	105 West Morehead Street Charlotte, NC 28202	A grant to help protect and conserve npanan areas in the Charlotte urban region	2,250 00
12/20/2012	The Wilderness Society	1615 M Street NW Washington, DC 20036	First payment on 5 year grant of \$50,000 00 to enable CLC to hire an additional staff person to	10,000 00
12/20/2012	Wildlands Network	P O Box 5284 Titusville, FL 32783	General Operating Support	5,000 00
12/20/2012	Independent College Fund of NC	530 N Blount Street Raleigh, NC 27604	A grant for support of the Network's efforts to continue to map critical habitat connectivity ar	10,000 00
12/20/2012	Temple Beth El	5101 Providence Rd Charlotte NC 28226	A grant for support of the organizations mission to help thousands of students achieve their goa	2,500 00
12/21/2012	Wildacres Retreat	P O Box 280 Little Switzerland, NC 28749	A grant for an ad in the 70th Anniversary Congregation Photo Directory	500 00
			General Operating Support	30,000 00
			Total 3a	1 095,885 00

Part XV
Line 3b

Grant Commitment Schedule (6 years)

1/1/2013

Organization Grant Amount	Total Paid	Scheduled Payments				Remaining Balance	
		2013	2014	2015	2016	2017	2018
Appalachian State University \$ 10,000.00 <i>A multi-year grant to provide an Appal PIE Scholarship to a student in the Hayes School of Music</i>	\$5,000.00	\$2,500.00	\$0.00	\$2,500.00	\$0.00	\$0.00	\$0.00
Catawba Lands Conservancy \$ 50,000.00 <i>A grant for general operating support to be used to add an additional staff position to help with development efforts for both the Conservancy and Thread Trail.</i>	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$0.00	\$0.00
Central Piedmont Community College \$ 30,000.00 <i>A multi-year grant for support of the College's renew- able energy initiative which will assist in alternative energy instruction and production, and will help prepare students for careers in the region's alternative energy sector.</i>	\$15,000.00	\$15,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments				Remaining Balance	
		2013	2014	2015	2016		2017
Charlotte Symphony \$ 100,000.00 <i>A multi-year grant was awarded for the Bridge Campaign that is designed to offset the Symphony's operating shortfall as it works to rebuild its fiscal base.</i>	\$60,000.00	\$20,000.00	\$20,000.00	\$0.00	\$0.00	\$0.00	\$0.00
Fletcher School \$ 50,000.00 <i>A multi-year grant for support of the Capital Campaign drive and the Jacob Blumenthal Scholarship Fund</i>	\$45,000.00	\$5,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Foundation For The Carolinas \$ 125,000.00 <i>A multi-year grant for the support of the Carolina Thread Trail Fund</i>	\$25,000.00	\$25,000.00	\$25,000.00	\$25,000.00	\$25,000.00	\$0.00	\$0.00
Foundation for the Charlotte Jewish Community \$ 3,000.00 <i>A multi-year grant for support of the "Create Your Jewish Legacy Initiative."</i>	\$1,000.00	\$1,000.00	\$1,000.00	\$0.00	\$0.00	\$0.00	\$0.00
The Greater Charlotte Cultural Trust \$ 125,000.00 <i>A multi-year grant for the Cultural Facilities Campaign</i>	\$25,000.00	\$25,000.00	\$25,000.00	\$25,000.00	\$25,000.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments				Remaining Balance	
		2013	2014	2015	2016	2017	2018
Hebrew Cemetery Association \$ 50,000.00 <i>A grant for help in the construction of the Memorial Building.</i>	\$33,500.00	\$16,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
N. C. Zoological Society \$ 7,500.00 <i>A multi-year grant for support of "Project - Polar Bears."</i>	\$2,500.00	\$2,500.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00
Nature Conservancy-NC Chapter \$ 50,000.00 <i>A grant for support of the "Campaign for Sustainable Planet".</i>	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$0.00	\$0.00
Parkway Fire and Rescue - Little Switzerland Fund \$ 30,000.00 <i>A grant to assist in helping to retire the \$180,000.00 debt on the Little Switzerland Fire Station.</i>	\$20,000.00	\$10,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Penland School of Crafts \$ 100,000.00 <i>A multi-year grant for the capital infrastructure priorities within the Campaign for Penland's Future (CPT</i>	\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00	\$20,000.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments				Remaining Balance	
		2013	2014	2015	2016		2017
Queens University of Charlotte \$ 20,000.00 <i>A grant for the sponsorship of the Blumenthal Fellowship Program in the McColl Graduate School of Business for the 2012-2013 academic year.</i>	\$0.00	\$20,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Spoletto Festival USA \$ 15,000.00 <i>A multi-year grant for support of the Annual Operating Fund.</i>	\$10,000.00	\$5,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Temple Beth El \$ 1,150,000.00 <i>A multi-year grant for support of the Capital and Endowment Campaign for expansion of the facilities</i>	\$920,000.00	\$230,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
United Way of Central Carolinas \$ 15,000.00 <i>A grant for support of the Alexis de Tocqueville Society Fund Drive for 2013.</i>	\$0.00	\$15,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
University of North Carolina - Chapel Hill \$ 15,000.00 <i>A grant to enable the institute to hire a full-time director of development.</i>	\$10,000.00	\$5,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Grand Total (18 items)	\$1,212,000.00	\$437,500.00	\$113,500.00	\$92,500.00	\$90,000.00	\$0.00	\$0.00