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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation KATHRYN & W H SCHWARZSCHILD FUND		A Employer identification number 54-6035733
Number and street (or P.O. box number if mail is not delivered to street address) C/O WILLIAMS MULLEN, P.O. BOX 1320	Room/suite	B Telephone number 804-420-6489
City or town, state, and ZIP code RICHMOND, VA 23218-1320		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,709,256.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		53,329.	53,329.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		22,045.			
b Gross sales price for all assets on line 6a 841,642.					
7 Capital gain net income (from Part IV, line 2)			22,045.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total Add lines 1 through 11		75,374.	75,374.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2		3,535.	3,535.		0.
c Other professional fees STMT 3		20,142.	20,142.		0.
17 Interest					
18 Taxes STMT 24		1,491.	1,491.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		515.	515.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		25,683.	25,683.		0.
25 Contributions, gifts, grants paid		72,720.			72,720.
26 Total expenses and disbursements Add lines 24 and 25		98,403.	25,683.		72,720.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<23,029.>			
b Net investment income (if negative, enter -0-)			49,691.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		113.	10,517.	10,517.
	2	Savings and temporary cash investments		129,467.	240,743.	240,743.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 6		126,722.	52,403.	53,904.
	b	Investments - corporate stock STMT 7		754,731.	631,388.	686,087.
	c	Investments - corporate bonds STMT 8		114,061.	238,985.	246,822.
11	Investments - land, buildings, and equipment, basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 9		517,618.	432,417.	471,183.	
14	Land, buildings, and equipment; basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers)		1,642,712.	1,606,453.	1,709,256.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31					
	27	Capital stock, trust principal, or current funds		1,642,712.	1,606,453.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		0.	0.		
30	Total net assets or fund balances		1,642,712.	1,606,453.		
31	Total liabilities and net assets/fund balances		1,642,712.	1,606,453.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,642,712.
2	Enter amount from Part I, line 27a	2	<23,029.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,619,683.
5	Decreases not included in line 2 (itemize) ▶ <u>BOOK/TAX ADJUSTMENT ON INVESTMENTS</u>	5	13,230.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,606,453.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 841,642.		819,597.	22,045.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			22,045.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	22,045.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	80,220.	1,698,485.	.047230
2010	79,485.	1,187,522.	.066933
2009	96,839.	1,393,164.	.069510
2008	147,395.	1,789,966.	.082345
2007	110,435.	3,465,014.	.031871

2 Total of line 1, column (d)	2	.297889
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059578
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	1,664,556.
5 Multiply line 4 by line 3	5	99,171.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	497.
7 Add lines 5 and 6	7	99,668.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	72,720.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	994.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	994.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	994.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,400.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,400.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	12.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,394.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ 1,394. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2012)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► THE VERITY GROUP OF OPPENHEIMER AND Telephone no. ► 202-261-0772 Located at ► 2000 K STREET, NW, WASHINGTON, DC ZIP+4 ► 20006			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐ N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ► _____, _____, _____ ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM H. SCHWARZSCHILD, III P.O. BOX 1320 RICHMOND, VA 23218	PRES/SEC/DIRECTOR 1.00	0.	0.	0.
KATHRIN S. BROWN 399 RIVERSVILLE ROAD GREENWICH, CT 06831	VP/TREASURER/DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2012)

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,532,641.
b	Average of monthly cash balances	1b	157,264.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,689,905.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,689,905.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	25,349.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,664,556.
6	Minimum investment return. Enter 5% of line 5	6	83,228.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	83,228.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	994.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	994.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	82,234.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	82,234.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	82,234.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	72,720.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	72,720.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	72,720.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2012)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				82,234.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			61,478.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 72,720.				
a Applied to 2011, but not more than line 2a			61,478.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				11,242.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				70,992.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALZHEIMER'S ASSOCIATION - GREATER RICHMOND CHAPTER 4600 COX ROAD, SUITE 130 RICHMOND, VA 23060	NONE	501(C)3	GENERAL	500.
AMERICAN HEART ASSOCIATION 4217 PARK PLACE COURT RICHMOND, VA 23060	NONE	501(C)3	GENERAL	500.
AMERICAN RED CROSS RICHMOND CHAPTER 420 EAST CARY ST RICHMOND, VA 23205	NONE	501(C)3	GENERAL	2,500.
ANTI-DEFAMATION LEAGUE 605 THIRD AVE. NEW YORK, NY 10158	NONE	501(C)3	GENERAL	200.
BARKSDALE THEATRE 1601 WILLOW LAWN DRIVE RICHMOND, VA 23230	NONE	501(C)3	GENERAL	500.
Total			SEE CONTINUATION SHEET(S)	72,720.
b Approved for future payment				
NONE				
Total				0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

11-14-2013
Date

► President
Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name VIRGINIA R. BELCHER	Preparer's signature <i>Virginia R. Belcher</i>	Date 11-13-13	Check ☐ if self-employed	PTIN P00421964
Firm's name ▶ KEITER, STEPHENS, HURST, GARY & SHREAVES, P			Firm's EIN ▶ 54-1631262	
Firm's address ▶ P.O. BOX 32066 RICHMOND, VA 23294-2066			Phone no. (804)747-0000	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a OPPENHEIMER - SEE ATTACHMENT #2		VARIOUS	VARIOUS
b 16 SHARES ALLERGAN		VARIOUS	VARIOUS
c 53 SHARES BAXTER INTL		VARIOUS	VARIOUS
d 221 SHARES INTEL CORP		VARIOUS	VARIOUS
e 156 SHARES MARSH & MCLENNAN		VARIOUS	VARIOUS
f 47 SHARES NATIONAL OILWELL		VARIOUS	VARIOUS
g 25 SHARES OCCIDENTAL PETE		VARIOUS	VARIOUS
h 93 SHARES TJX COS		VARIOUS	VARIOUS
i CAPITAL GAINS DIVIDENDS			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 812,535.		801,521.	11,014.
b 1,471.		963.	508.
c 3,157.		2,248.	909.
d 5,876.		5,682.	194.
e 5,041.		3,645.	1,396.
f 3,237.		1,981.	1,256.
g 2,016.		1,924.	92.
h 3,779.		1,633.	2,146.
i 4,530.			4,530.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			11,014.
b			508.
c			909.
d			194.
e			1,396.
f			1,256.
g			92.
h			2,146.
i			4,530.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	22,045.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BETH AHABAH MUSEUM AND ARCHIVE TRUST 1109 WEST FRANKLIN ST RICHMOND, VA 23220	NONE	501(C)3	GENERAL	250.
BETH SHOLOM HOME OF VIRGINIA 1600 JOHN ROLFE PARKWAY RICHMOND, VA 23238	NONE	501(C)3	GENERAL	500.
BON SECOURS RICHMOND HEALTH CARE FOUNDATION 5857 BREMO RD RICHMOND, VA 23226	NONE	501(C)3	GENERAL	2,000.
BOYS AND GIRLS CLUB OF RICHMOND 5512 STAPLES MILL ROAD RICHMOND, VA 23228	NONE	501(C)3	GENERAL	500.
BRUCE MUSEUM OF ARTS AND SCIENCE 1 MUSEUM DRIVE GREENWICH, CT 06830	NONE	501(C)3	GENERAL	1,920.
CENTRAL VIRGINIA FOODBANK 1415 RHOADMILLER ST RICHMOND, VA 23220	NONE	501(C)3	GENERAL	1,250.
CENTRAL VIRGINIA LEGAL AID SOCIETY 101 WEST BROAD STREET, SUITE 101 RICHMOND, VA 23220	NONE	501(C)3	GENERAL	250.
CHILDREN'S HOME SOCIETY OF VA 4200 FITZHUGH AVE RICHMOND, VA 23230	NONE	501(C)3	GENERAL	500.
CHILDREN'S HOSPITAL 2924 BROOK RD RICHMOND, VA 23220	NONE	501(C)3	GENERAL	500.
CHILDREN'S MUSEUM OF RICHMOND 2626 WEST BROAD ST RICHMOND, VA 23220	NONE	501(C)3	GENERAL	1,000.
Total from continuation sheets				68,520.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILDSAVERS OF RICHMOND 200 NORTH 22ND ST. RICHMOND, VA 23223	NONE	501(C)3	GENERAL	1,000.
COLLEGIATE SCHOOLS 103 NORTH MOORELAND RD HENRICO, VA 23229	NONE	501(C)3	GENERAL	2,000.
COMMUNITY IDEA STATIONS 23 SESAME ST. NORTH CHESTERFIELD, VA 23235	NONE	501(C)3	GENERAL	250.
CONGREGATION BETH AHABAH 1111 WEST FRANKLIN ST RICHMOND, VA 23220	NONE	501(C)3	GENERAL	1,500.
COVENANT HOUSE 460 WEST 41ST ST NEW YORK, NY 10036	NONE	501(C)3	GENERAL	200.
CYSTIC FIBROSIS FOUNDATION 1500 FOREST AVE RICHMOND, VA 23229	NONE	501(C)3	GENERAL	1,000.
DAILY PLANET 517 WEST GRACE ST RICHMOND, VA 23220	NONE	501(C)3	GENERAL	500.
FAN FREE CLINIC 1010 NORTH THOMPSON ST. RICHMOND, VA 23230	NONE	501(C)3	GENERAL	500.
FRIENDS OF THE RICHMOND PUBLIC LIBRARY 101 EAST FRANKLIN ST RICHMOND, VA 23219	NONE	501(C)3	GENERAL	250.
GLAUCOMA FOUNDATION 80 MAIDEN LANE NEW YORK, NY 10038	NONE	501(C)3	GENERAL	500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GREATER RICHMOND SCAN 103 EAST GRACE ST RICHMOND, VA 23219	NONE	501(C)3	GENERAL	500.
GUIDING EYES FOR BLIND 611 GRANITE SPRINGS RD YORKTOWN HEIGHTS, NY 10598	NONE	501(C)3	GENERAL	250.
HAND WORKSHOP 1812 WEST MAIN ST RICHMOND, VA 23220	NONE	501(C)3	GENERAL	250.
HEART OF VIRGINIA COUNCIL 4015 FITZHUGH AVE RICHMOND, VA 23230	NONE	501(C)3	GENERAL	500.
HISTORIC RICHMOND FOUNDATION 4 EAST MAIN ST RICHMOND, VA 23219	NONE	501(C)3	GENERAL	250.
HOSPITAL HOSPITALITY HOUSE 612 EAST MARSHALL ST RICHMOND, VA 23219	NONE	501(C)3	GENERAL	1,000.
JEFFERSON SCHOLARS PROGRAM P.O. BOX 400891 CHARLOTTESVILLE, VA 22904	NONE	501(C)3	GENERAL	1,000.
JEWISH COMMUNITY CENTER 5403 MAONUMENT AVE RICHMOND, VA 23226	NONE	501(C)3	GENERAL	2,500.
JEWISH COMMUNITY FEDERATION 5403 MAONUMENT AVE RICHMOND, VA 23226	NONE	501(C)3	GENERAL	3,000.
JEWISH FAMILY SERVICES 6718 PATTERSON AVE RICHMOND, VA 23226	NONE	501(C)3	GENERAL	1,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JUNIOR ACHIEVEMENT 7217 WEST BROAD ST RICHMOND, VA 23294	NONE	501(C)3	GENERAL	750.
LAWRENCEVILLE SCHOOL 2500 MAIN ST LAWRENCEVILLE, NJ 08648	NONE	501(C)3	GENERAL	750.
LEWIS GINTER BOTANICAL GARDEN 1800 LAKESIDE AVE RICHMOND, VA 23228	NONE	501(C)3	GENERAL	500.
MACULAR DEGENERATION RESEARCH 22512 GATEWAY CENTER DR CLARKSBURG, MD 20871	NONE	501(C)3	GENERAL	250.
MASSEY CANCER CENTER P.O. BOX 980214 RICHMOND, VA 23298	NONE	501(C)3	GENERAL	2,500.
MAYMONT FOUNDATION 1700 HAMPTON ST RICHMOND, VA 23220	NONE	501(C)3	GENERAL	1,000.
MCV FOUNDATION P.O. BOX 980234 RICHMOND, VA 23298	NONE	501(C)3	GENERAL	2,500.
MEALS ON WHEELS 1415 RHOADMILLER ST RICHMOND, VA 23220	NONE	501(C)3	GENERAL	500.
NATIONAL MULTIPLE SCLEROSIS SOCIETY 4200 INNSLAKE DRIVE RICHMOND, VA 23060	NONE	501(C)3	GENERAL	250.
NCCJ 5511 STAPLES MILL ROAD, #202 RICHMOND, VA 23228	NONE	501(C)3	GENERAL	1,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NY HOSPITAL - CORNELL MEDICAL 1300 YORK AVE NEW YORK, NY 10065	NONE	501(C)3	GENERAL	3,500.
RICHMOND BALLET 407 EAST CANAL ST RICHMOND, VA 23219	NONE	501(C)3	GENERAL	750.
RICHMOND SPCA 2519 HERMITAGE RD RICHMOND, VA 23220	NONE	501(C)3	GENERAL	1,000.
RICHMOND SYMPHONY 612 EAST GRACE ST RICHMOND, VA 23219	NONE	501(C)3	GENERAL	5,250.
SALVATION ARMY 2 WEST GRACE ST RICHMOND, VA 23241	NONE	501(C)3	GENERAL	500.
SCIENCE MUSEUM OF VA FOUNDATION 2500 WEST BROAD ST RICHMOND, VA 23220	NONE	501(C)3	GENERAL	1,000.
SHELTERING ARMS REHABILITATION 8254 ATLEE RD MECHANICSVILLE, VA 23116	NONE	501(C)3	GENERAL	250.
SMITH COLLEGE PARADISE RD NORTHAMPTON, MA 01063	NONE	501(C)3	GENERAL	1,000.
ST JOSEPH'S VILLA 8000 BROOK RD RICHMOND, VA 23227	NONE	501(C)3	GENERAL	500.
THE NEW YORK BOTANICAL GARDEN 2900 SOUTHERN BOULEVARD BRONX, NY 10458	NONE	501(C)3	GENERAL	1,400.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE VIRGINIA HOME 1101 HAMPTON ST RICHMOND, VA 23220	NONE	501(C)3	GENERAL	500.
THEATRE IV 114 WEST BROAD ST RICHMOND, VA 23220	NONE	501(C)3	GENERAL	2,000.
TUCKAHOE VOLUNTEER RESCUE SQUAD 1101 HORSEPEN RD RICHMOND, VA 23229	NONE	501(C)3	GENERAL	500.
UNITED WAY SERVICES 2001 MAYWILL ST RICHMOND, VA 23230	NONE	501(C)3	GENERAL	3,000.
UNIVERSITY OF RICHMOND 28 WESTHAMPTON WAY RICHMOND, VA 23173	NONE	501(C)3	GENERAL	1,500.
UNIVERSITY OF VIRGINIA P.O. BOX 4002210 CHARLOTTESVILLE, VA 22904	NONE	501(C)3	GENERAL	1,500.
VALENTINE MUSEUM 1015 EAST CLAY ST RICHMOND, VA 23219	NONE	501(C)3	GENERAL	500.
VANDERBILT LAW SCHOOL 131 21ST AVE SOUTH NASHVILLE, TN 37203	NONE	501(C)3	GENERAL	1,000.
VIRGINIA COMMONWEALTH UNIVERSITY 1111 WEST BROAD ST. RICHMOND, VA 23220	NONE	501(C)3	GENERAL	1,500.
VIRGINIA HISTORICAL SOCIETY P.O. BOX 7311 RICHMOND, VA 23221	NONE	501(C)3	GENERAL	1,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
VIRGINIA LEAGUE FOR PLANNED PARENTHOOD 201 NORTH HAMILTON ST. RICHMOND, VA 23221	NONE	501(C)3	GENERAL	500.
VIRGINIA MUSEUM OF FINE ARTS 200 NORTH BOULEVARD RICHMOND, VA 23220	NONE	501(C)3	GENERAL	1,000.
VIRGINIA VOICE P.O. BOX 15546 RICHMOND, VA 23227	NONE	501(C)3	GENERAL	250.
WASHINGTON AND LEE UNIVERSITY 204 WEST WASHINGTON ST. LEXINGTON, VA 24450	NONE	501(C)3	GENERAL	500.
YMCA OF NORTH RICHMOND 4207 OLD BROOK RD RICHMOND, VA 23227	NONE	501(C)3	GENERAL	1,500.
Total from continuation sheets				

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200 S 10TH ST, 6TH FL

Portfolio Holdings

Some prices, current values and income estimates may be approximations, resulting in gains and losses not being accurately reflected. Unrealized gains and/or losses are computed from the supplied cost basis data and may not be accurate for tax reporting purposes. Items for which a cost basis was not available as of the statement period ending date are indicated by the symbol N/A. The total gains and/or losses do not reflect positions which we do not have cost information. Please contact your Financial Advisor if you believe any cost basis related data is inaccurate or if you require additional information.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") are estimates only and do not indicate actual income or performance of investments. EAI and EY for certain types of securities could include a return of principal or capital gains, in which case the EAI and EY would be overstated. As EAI and EY are estimates, the actual income and yield might be lower or higher than the estimated amounts. EY reflects only the income generated by an investment and it does not reflect changes in its price, which may fluctuate.

STATEMENT OF ACCOUNT



Account Number: G78-1366468
Financial Advisor: VERITY, AUSTIN W - PA2
Page: 3 of 53
Period Ending: 12/31/12

Money Market Funds

(NOT FDIC INSURED)

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	EY	EAI	Portfolio Percent
ADVANTAGE PRIMARY LIQ FD	CASH	240,743.0700	ADLXX	1.00000	1.00000	240,743.07	240,743.07	0.020%	48	14.17
							240,743.07		48	14.17

Equities

Common Stock

Please note the following icon (i) appears to the right of the stock symbol of those securities which Oppenheimer has provided research coverage. If you wish to access such research you may visit the Client Access web site (www.opco.com) or speak with your Financial Advisor.

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ACCENTURE PLC IRELAND SHS CLASS A	(P) CASH	31	ACN	51.76840	66.50000	1,604.82	2,061.50	457	2.436%	50	0.12
EATON CORP PLC	(B) CASH	49	ETN	44.52900	54.18000	2,181.92	2,654.82	473	2.805%	74	0.16
PARTNERRE LTD	(I) CASH	22	PRE	64.66000	80.49000	1,422.52	1,770.78	348	3.081%	54	0.10
WILLIS GROUP HOLDINGS PUBLIC L	(I) CASH	43	WSH	34.72860	33.53000	1,493.33	1,441.79	(52)	3.220%	46	0.08
ACE LTD	(I) CASH	24	ACE	80.65000	78.80000	1,455.60	1,915.20	460	2.456%	47	0.11
NOBLE CORPORATION BAAR NAMEN -AKT	(G) CASH	37	NE	33.97410	34.82000	1,257.04	1,288.34	31	1.556%	20	0.08
CORE LABORATORIES N V	(G) CASH	15	CLB	90.03000	108.31000	1,350.45	1,639.65	289	1.024%	16	0.10
AVAGO TECHNOLOGIES LTD	(Q) CASH	18	AVGO	34.04000	31.65080	612.72	569.71	(43)	2.148%	12	0.03
FLEXTRONICS INTL LTD ORD	(Q) CASH	393	FLEX	8.14820	6.21000	3,202.25	2,440.53	(762)			0.14
ABB LTD SPONSORED ADR	(G) CASH	153	ABB	20.77320	20.79000	3,178.30	3,180.87	3	3.333%	106	0.19
AFLAC INC	(I) CASH	83	AFL	46.81350	53.12000	3,886.52	4,408.98	523	2.635%	116	0.26
AGL RES INC	(G) CASH	49	GAS	37.53510	39.97000	1,839.22	1,958.53	119	4.603%	90	0.12
AT&T INC	(R) CASH	188	T	28.43860	33.71000	5,534.45	6,337.48	803	5.339%	338	0.37



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STATEMENT OF ACCOUNT



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200 S 10TH ST, 6TH FL

Account Number
G78-1366468

Page
4 of 53

Financial Advisor
VERITY, AUSTIN W - PA2

Period Ending
12/31/12

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
ABBOTT LABS	(L) CASH	112	ABT	48.33810	65.50000	5,413.87	7,336.00	1,922	0.854%	62	0.43
ADIDAS AG ADR	(B) CASH	33	ADDYY	31.80000	44.38400	1,049.40	1,464.87	415	1.027%	15	0.09
SUBJECT TO CUSTODY FEE											
AETNA INC NEW	(L) CASH	39	AET	42.03310	46.31000	1,639.29	1,806.09	167	1.727%	31	0.11
AKZO NOBEL NV SPONSORED ADR	(C) CASH	122	AKZOY	20.04670	21.86100	2,445.70	2,667.04	221	2.284%	60	0.16
ALLERGAN INC	(L) CASH	48	AGN	87.84140	91.73000	644.99	4,403.04	3,788	0.218%	9	0.26
ALLIANZ SE SP ADR 1/10 SH	(I) CASH	134	AZSEY	12.66000	13.81700	1,696.44	1,851.47	155	3.105%	57	0.11
ALTRIA GROUP INC	(C) CASH	182	MO	25.22130	31.44000	4,590.28	5,722.08	1,132	5.597%	320	0.34
AMADEUS IT HLDG S A ADR	(Q) CASH	41	AMADY	18.08710	25.11500	741.57	1,029.71	288	0.646%	6	0.06
AMERICA MOVIL SAB DE CV SPON ADR L SHS	(M) CASH	53	AMX	26.97260	23.14000	1,429.55	1,226.42	(203)	1.302%	15	0.07
AMERICAN FINL GROUP INC OHIO	(I) CASH	37	AFG	32.59920	39.52000	1,206.17	1,462.24	256	1.973%	28	0.09
AMERICAN RLTY CAP TR INC REIT	(I) CASH	128	ARCT	10.41000	11.54000	1,332.48	1,477.12	145	6.195%	91	0.09
AMERISOURCEBERGEN CORP	(L) CASH	110	ABC	38.08240	43.18000	4,189.06	4,749.80	561	1.945%	92	0.28
ANSYS INC	(Q) CASH	26	ANSS	53.76230	67.34000	1,387.92	1,750.84	353			0.10
APPLE INC	(Q) CASH	9	AAPL	274.11560	532.17290	2,469.04	4,789.55	2,323	1.891%	95	0.28
APPLIED MATLS INC	(Q) CASH	330	AMAT	14.25820	11.44000	4,705.21	3,775.20	(930)	3.146%	118	0.22
APTARGROUP INC	(F) CASH	30	ATR	48.33970	47.72000	1,450.19	1,431.60	(19)	1.844%	26	0.08
ARCHER DANIELS MIDLAND CO	(J) CASH	183	ADM	27.00570	27.39000	4,942.04	5,012.37	70	2.555%	128	0.30
ASHLAND INC NEW	(C) CASH	18	ASH	59.68830	80.41000	1,074.39	1,447.38	373	1.119%	16	0.09
AXA SA SPONSORED ADR	(I) CASH	83	AXAHY	18.56170	17.60100	1,540.62	1,460.88	(80)	4.161%	60	0.09
BG GROUP PLC ADR FIN INST N	(G) CASH	81	BRGY	20.62520	16.45800	1,670.64	1,333.09	(338)	1.509%	20	0.08
BOK FINL CORP COM NEW	(I) CASH	23	BOKF	53.14430	54.46000	1,222.32	1,252.58	30	2.791%	34	0.07

Ⓐ Allergan 41 @ 60.81 = 2467.20
4 @ 91.66 = 366.64
3 @ 82.74 = 248.22

Ⓑ Apple 4 @ 119.2325 = 476.93
4 @ 193.7527 = 775.01
1 @ 608.48 = 608.48



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85 Broad Street
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(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



KATHRYN & W H SCHWARZSCHILD FND
IAS/PLACEMARK
200 S 10TH ST, 6TH FL

Account Number
G78-1368468

Financial Advisor
VERITY, AUSTIN W - PA2

Period Ending
12/31/12

Page
5 of 53

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
Baidu Inc SPON ADR REP A SUBJECT TO CUSTODY FEE	(N) CASH	15	BIDU	114.15400	100.29000	1,712.31	1,504.35	(208)			0.09
Baxter Intl Inc	(L) CASH	27	BAX	54.62930	66.66000	1,474.99	1,799.82	325	2.700%	48	0.11
Bed Bath & Beyond Inc	(F) CASH	58	BBBY	57.04140	55.91000	3,194.32	3,130.96	(63)			0.18
BHP Billiton Ltd SPONSORED ADR	(C) CASH	39	BHP	82.73460	78.42000	3,226.65	3,058.38	(168)	2.856%	87	0.18
Biogen IDEC Inc	(L) CASH	9	BIIB	141.78780	146.37000	1,276.08	1,317.33	41			0.08
Blackrock Inc	(I) CASH	34	BLK	169.98820	206.71000	5,779.94	7,028.14	1,248	2.802%	204	0.41
Brambles Ltd ADR	(I) CASH	100	BMBLY	14.62140	15.59400	1,462.14	1,559.40	97	3.111%	48	0.09
British Amern Tob Plc SPONSORED ADR	(C) CASH	13	BTI	75.37310	101.25000	979.65	1,316.25	336	4.160%	54	0.08
British Sky Broadcasting Group SPONSORED ADR	(M) CASH	18	BSYBY	44.80220	49.87000	806.44	897.66	91	3.250%	29	0.05
Buckle Inc	(B) CASH	35	BKE	38.90340	44.64000	1,361.62	1,562.40	201	1.792%	28	0.09
Bunzl Pub Ltd Co SPON ADR NEW	(J) CASH	16	BZLFY	62.19190	82.00600	995.07	1,312.08	317	2.563%	33	0.08
CNOOC Ltd SPONSORED ADR	(G) CASH	7	CEO	247.02000	220.00000	1,729.14	1,540.00	(189)	2.267%	34	0.09
CRH Plc ADR	(C) CASH	96	CRH	15.90670	20.34000	1,527.04	1,952.64	426	3.899%	75	0.11
CVS Caremark Corporation	(L) CASH	34	CVS	33.38970	48.35000	1,135.25	1,643.80	509	1.861%	30	0.10
CACI Intl Inc CL A	(Q) CASH	30	CACI	59.23430	55.03000	1,777.03	1,650.90	(126)			0.10
Camden Ppty Tr SH BEN INT REIT	(I) CASH	21	CPT	66.16620	68.21000	1,389.48	1,432.41	43	3.283%	47	0.08
Cameron International Corp	(G) CASH	65	CAM	46.66720	56.46000	3,033.37	3,669.90	637			0.22
Canadian Natl Ry Co	(S) CASH	10	CNI	73.54600	91.01000	735.46	910.10	175	1.658%	15	0.05
Canon Inc ADR	(F) CASH	67	CAJ	49.69360	39.21000	3,329.47	2,627.07	(702)	3.596%	94	0.15
Cap Gemini SA ADR	(Q) CASH	35	CGEMY	22.96940	21.66100	803.93	758.13	(46)	2.197%	16	0.04



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Page 6 of 53
Account Number G78-1366468
Financial Advisor VERITY, AUSTIN W - PA2
Period Ending 12/31/12

Common Stock

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CARLISLE COS INC	(C) CASH	20	CSL	39.34250	58.76000	786.85	1,175.20	388	1.361%	16	0.07
CARMAX INC	(S) CASH	41	KMX	25.62220	37.54000	1,050.51	1,539.14	489			0.09
CARNIVAL CORP PAIRED CTF	(S) CASH	45	CCL	32.96910	36.77000	1,483.61	1,654.65	171	2.719%	45	0.10
CARREFOUR SA SPONSORED ADR	(J) CASH	220	CRRFY	7.89260	5.13000	1,692.38	1,128.60	(564)	1.581%	17	0.07
CENOVUS ENERGY INC	(G) CASH	13	CVE	33.19850	33.54000	431.38	436.02	4	2.640%	11	0.03
CENTRICA PLC SPON ADR NEW	(G) CASH	46	CPYYY	20.79070	21.69100	956.37	997.78	41	4.407%	43	0.06
CENTURYLINK INC	(R) CASH	190	CTL	43.02420	39.12000	8,174.59	7,432.80	(742)	7.413%	551	0.44
CHEUNG KONG HLDGS LTD ADR	(I) CASH	79	CHEUY	16.79000	15.35300	1,326.41	1,212.88	(114)	2.344%	28	0.07
CHEVRON CORP NEW	(G) CASH	54	CVX	94.18370	108.14000	6,085.92	5,839.56	754	3.329%	194	0.34
CHINA MOBILE LIMITED SPONSORED ADR	(R) CASH	12	CHL	49.74580	58.72000	596.95	704.64	108	3.339%	23	0.04
CHURCH & DWIGHT INC	(F) CASH	32	CHD	34.88000	53.57000	1,116.16	1,714.24	598	1.792%	30	0.10
CIELO S A SPONSORED ADR	(I) CASH	23	CIOXY	19.22480	27.83400	442.17	640.18	198	3.751%	24	0.04
COCA COLA AMATIL LTD SPONSORED ADR	(J) CASH	40	CCLAY	23.32400	27.92800	932.96	1,117.12	184	3.993%	44	0.07
COCA COLA HELLENIC BTLTG CO SA SPONSORED ADR SUBJECT TO CUSTODY FEES	(J) CASH	26	CCH	26.40120	23.57000	686.43	612.82	(74)			0.04
COCA COLA CO	(J) CASH	158	KO	31.84990	36.25000	5,000.88	5,727.50	727	2.813%	161	0.34
COLGATE PALMOLIVE CO	(F) CASH	42	CL	84.61430	104.54000	3,553.80	4,390.68	837	2.372%	104	0.26
COMPASS GROUP PLC SPON ADR NEW	(J) CASH	312	CMPGY	9.48400	11.78500	2,959.01	3,676.92	718	2.386%	87	0.22
CONOCOPHILLIPS	(G) CASH	77	COP	48.84140	57.99000	3,760.78	4,465.23	704	4.552%	203	0.26
COSTCO WHSL CORP NEW	(P) CASH	41	COST	80.86150	98.73000	3,315.32	4,047.93	733	1.114%	45	0.24
CREDIT SUISSE GROUP SPONSORED ADR	(I) CASH	71	CS	22.60890	24.56000	1,605.23	1,743.76	139	3.192%	55	0.10
DST SYS INC DEL	(Q) CASH	23	DST	50.14610	60.60000	1,153.36	1,393.80	240	1.320%	18	0.08

© Chevron 17 @ 94.12 = 1600.04
10 @ 105.61 = 1056.19



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200 S 10TH ST, 6TH FL

Account Number
G78-1366468

Page
7 of 53

Financial Advisor
VERITY, AUSTIN W - PA2

Period Ending
12/31/12

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
DENBURY RES INC COM NEW	(G) CASH	110	DNR	14.90490	16.20000	1,639.54	1,782.00	142			0.10
DENSO CORP ADR	(S) CASH	56	DNZOY	17.23110	17.19200	964.94	962.75	(2)	1.408%	13	0.06
DEUTSCHE BOERSE ADR	(I) CASH	120	DBOEY	5.62630	6.09200	675.16	731.04	56	4.585%	33	0.04
DEVON ENERGY CORP NEW	(G) CASH	41	DVN	66.75660	52.04000	2,737.02	2,133.64	(603)	1.537%	32	0.13
DIAGEO P L C SPON ADR NEW	(J) CASH	22	DEO	79.68860	118.58000	1,753.37	2,564.76	811	2.375%	61	0.15
DONALDSON INC	(F) CASH	52	DCI	29.80880	32.84000	1,550.11	1,707.68	158	1.086%	18	0.10
DOW CHEM CO	(C) CASH	90	DOW	33.58120	32.32940	3,022.31	2,908.64	(113)	3.959%	115	0.17
E M C CORP MASS	(Q) CASH	48	EMC	28.20170	25.30000	1,257.68	1,214.40	(43)			0.07
ENI S P A SPONSORED ADR	(G) CASH	53	E	46.68770	49.14000	2,474.45	2,604.42	130	4.365%	113	0.15
EATON VANCE CORP COM NON VTG	(I) CASH	54	EV	29.27370	31.85000	1,580.78	1,719.90	139	2.511%	43	0.10
EBAY INC	(F) CASH	57	EBAY	38.30860	50.99770	2,183.59	2,906.86	723			0.17
ENTERGY CORP NEW	(T) CASH	18	ETR	66.75610	63.75000	1,201.61	1,147.50	(54)	5.207%	59	0.07
ERICSSON ADR B SEK 10	(M) CASH	259	ERIC	11.07670	10.10000	2,868.86	2,615.90	(253)	2.405%	62	0.15
EXELIS INC	(Q) CASH	145	XLS	10.29220	11.27000	1,492.37	1,634.15	142	3.666%	59	0.10
EXPRESS SCRIPTS HLDG CO	(L) CASH	73	ESRX	57.03450	54.00000	4,163.52	3,942.00	(222)			0.23
FEI CO	(Q) CASH	32	FEIC	50.16880	55.47040	1,605.40	1,775.05	170	0.576%	10	0.10
F M C CORP COM NEW	(G) CASH	35	FMC	38.79000	58.52000	1,357.65	2,048.20	691	0.922%	18	0.12
FAMILY DL R STORES INC	(P) CASH	27	FDX	69.07890	63.41000	1,865.13	1,712.07	(153)	1.324%	22	0.10
FANUC CORPORATION ADR	(E) CASH	35	FANUY	27.17510	30.68700	951.13	1,074.04	123	1.114%	11	0.06
FIFTH THIRD BANCORP	(I) CASH	100	FITB	13.01000	15.20000	1,301.00	1,520.00	219	2.631%	40	0.09
FOMENTO ECONOMICO MEXICANO SAB SPON ADR UNITS	(J) CASH	9	FMX	75.58440	100.70000	680.26	908.30	226	1.386%	12	0.05
FORD MTR CO DEL COM PAR \$0.01	(S) CASH	230	F	11.16000	12.95000	2,566.80	2,976.50	412	1.544%	46	0.18



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Page 8 of 53
Account Number G78-1366468
Financial Advisor VERITY, AUSTIN W. - PA2
Period Ending 12/31/12

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
FOSSIL INC	(C) CASH	17	FOSL	99.79880	93.10000	1,696.58	1,582.70	(114)			0.09
FRANCE TELECOM SPONSORED ADR	(R) CASH	119	FTE	20.24690	11.05000	2,409.38	1,314.95	(1,094)	13.004%	171	0.08
FRESENIUS MED CARE AG & CO KGAA SPONSORED ADR	(L) CASH	24	FMS	29.51080	34.30000	708.26	823.20	115	0.913%	7	0.05
FULTON FINL CORP PA	(I) CASH	144	FULT	9.45260	9.61000	1,361.17	1,383.84	23	3.329%	46	0.08
GALAXY ENTMT GROUP LTD SPONSORED ADR	CASH	20	GXYEY	30.73000	39.15700	614.60	783.14	169			0.05
SUBJECT TO CUSTODY FEES											
GAZPROM O A O SPON ADR	(I) CASH	66	OGZPY	13.17620	9.45500	869.63	624.03	(246)	4.815%	30	0.04
GENERAL ELECTRIC CO	(T) CASH	557	GE	17.60910	20.99000	9,808.25	11,681.43	1,883	3.620%	423	0.69
GIVALUDAN SA ADR	(J) CASH	100	GVDNY	18.62850	21.04100	1,962.95	2,104.10	141	2.074%	43	0.12
GLAXOSMITHKLINE PLC SPONSORED ADR	(L) CASH	57	GSK	39.36040	43.47000	2,243.64	2,477.79	234	5.335%	132	0.15
GOOGLE INC CLA	(Q) CASH	8	GOOG	684.80880	707.38000	5,478.47	5,659.04	181			0.33
GRAINGER W W INC	(E) CASH	11	GWV	134.71000	202.37000	1,481.81	2,228.07	744	1.581%	35	0.13
GREAT PLAINS ENERGY INC	(T) CASH	47	GXP	20.14400	20.31000	946.77	954.57	8	4.283%	40	0.06
GREIF INC CLA	(F) CASH	38	GEF	45.11680	44.60000	1,714.44	1,691.00	(23)	3.775%	63	0.10
GRUPE CGI INC CLA SUB VTG	(N) CASH	33	GIB	20.95640	23.13000	691.56	763.29	72			0.04
GRUPO TELEVISIA SA SPON ADR REP ORD	(M) CASH	68	TV	23.48930	26.58000	1,597.95	1,807.44	209	0.421%	7	0.11
HMS HLDGS CORP	(P) CASH	55	HMSY	21.61330	25.92000	1,188.73	1,425.60	237			0.08
HSBC HLDGS PLC SPON ADR NEW	(I) CASH	48	HBC	54.68130	53.07000	2,624.70	2,547.36	(77)	4.710%	120	0.15
HAIN CELESTIAL GROUP INC	(J) CASH	35	HAIN	41.98690	54.22000	1,469.64	1,897.70	428			0.11
HALLIBURTON CO	(G) CASH	97	HAL	37.67530	34.69000	3,654.50	3,364.93	(290)	1.037%	34	0.20
HENRY JACK & ASSOC INC	(Q) CASH	46	JKHY	36.98020	39.26000	1,701.09	1,805.96	105	1.171%	21	0.11
HEXCEL CORP NEW	(C) CASH	73	HXL	22.48970	26.96000	1,641.75	1,968.08	326			0.12



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Page 9 of 53
Account Number G78-1366488
Financial Advisor VERITY, AUSTIN W - PA2
Period Ending 12/31/12

Common Stock

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HONEYWELL INTL INC	(S) CASH	44	HON	54.65980	63.47000	2,405.03	2,792.68	388	2.583%	72	0.16
HOSPIRA INC	(L) CASH	47	HSP	35.29430	31.24000	1,658.83	1,468.28	(191)			0.09
HUBBELL INC CLB	(T) CASH	21	HUBB	83.72670	84.63000	1,756.26	1,777.23	19	2.126%	37	0.10
HUNT J B TRANS SVCS INC	(S) CASH	31	JBHT	54.28000	59.71000	1,682.99	1,851.01	168	1.004%	18	0.11
HUTCHISON WHAMPOA LTD ADR	(S) CASH	48	HUWHY	21.42080	20.87500	1,028.20	1,002.00	(26)	2.285%	22	0.06
IDEXX LABS INC	(L) CASH	16	IDXX	68.65000	92.80000	1,088.40	1,484.80	386			0.09
IMPERIAL TOBACCO GROUP PLC SPONSORED ADR	(C) CASH	48	ITYBY	60.57000	77.14600	2,907.36	3,703.00	796	4.373%	161	0.22
INDUSTRIAL & COML BK CHINA ADR	(I) CASH	98	IDCBY	14.48940	14.19200	1,418.98	1,380.81	(29)	3.715%	51	0.08
INFOSYS LTD SPONSORED ADR	(Q) CASH	35	INFY	44.12510	42.30000	1,544.38	1,480.50	(64)	1.489%	22	0.09
INTEL CORP	(O) CASH	267	INTC	22.60130	20.62000	6,034.55	5,505.54	(529)	4.364%	240	0.32
INTERNATIONAL FLAVORS&FRAGRANC	(G) CASH	30	IFF	53.26700	66.54000	1,598.01	1,996.20	398	2.043%	40	0.12
JPMORGAN CHASE & CO COM	(I) CASH	155	JPM	40.05210	43.96910	6,208.08	6,815.21	607	2.728%	186	0.40
JARDEN CORP	(P) CASH	31	JAH	53.65190	51.70000	1,663.21	1,602.70	(61)			0.09
JOHNSON & JOHNSON	(L) CASH	113	JNJ	68.75420	70.10000	7,766.22	7,921.30	152	3.480%	275	0.47
JOHNSON CTLS INC	(F) CASH	37	JCI	27.45320	30.87000	1,015.77	1,134.78	119	2.477%	28	0.07
JULIUS BAER GROUP LTD ADR	(I) CASH	134	JBAXY	9.03810	7.06400	1,211.11	948.57	(265)	1.543%	14	0.06
JUNIPER NETWORKS INC	(R) CASH	160	JNPR	19.18250	19.67000	3,069.20	3,147.20	78			0.19
KBR INC	(E) CASH	85	KBR	30.42490	29.92000	2,586.12	2,543.20	(43)	1.069%	27	0.15
KAO CORP SPONSORED ADR	(F) CASH	85	KCRPY	26.59940	26.01100	2,260.95	2,210.83	(50)	2.552%	56	0.13
KEPPEL LTD SPONSORED ADR	(O) CASH	68	KPELY	16.61070	18.01100	1,129.53	1,224.74	95	3.908%	47	0.07
KINGFISHER PLC SPON ADR PAR	(P) CASH	116	KGFHY	8.36050	9.23600	989.82	1,071.37	102	2.870%	30	0.06
KOHL'S CORP	(P) CASH	28	KSS	48.38930	42.98000	1,354.90	1,203.44	(151)	2.978%	35	0.07

② Johnson & Johnson
10@67.3719 = 1077.95
32@67.0849 = 2146.72
1@70.74 = 70.74

1@62.6398 = 62.6398
35@71.51 = 2503.15



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Page
10 of 53

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KOMATSU LTD SPON ADR NEW	(E) CASH	34	KMTUY	30.85350	25.25900	1,048.02	858.80	(190)	1.842%	15	0.05
KROGER CO	(J) CASH	76	KR	21.96320	26.02000	1,669.20	1,977.52	308	2.305%	45	0.12
LOREAL CO ADR	(F) CASH	28	LRLCY	21.66140	27.66000	606.52	774.48	168	1.475%	11	0.05
LAS VEGAS SANDS CORP	(K) CASH	26	LVS	53.03310	46.16000	1,378.86	1,200.16	(179)	2.166%	26	0.07
LENDER PROCESSING SVCS INC	(P) CASH	70	LPS	25.11530	24.62000	1,758.07	1,723.40	(35)	1.624%	28	0.10
LIFE TECHNOLOGIES CORP	(L) CASH	69	LIFE	47.02640	49.03000	3,244.82	3,383.07	138			0.20
LINCOLN ELEC HLDGS INC	(E) CASH	36	LECO	49.62110	48.68000	1,736.74	1,703.80	(33)	1.643%	28	0.10
LOWES COS INC	(E) CASH	174	LOW	25.09210	35.52000	4,366.02	6,180.48	1,814	1.801%	111	0.36
MACK CALI RLTY CORP REIT	(I) CASH	46	CLI	28.05000	26.11000	1,336.30	1,201.06	(135)	6.893%	82	0.07
MARKEL CORP	(I) CASH	3	MKL	481.00670	433.42000	1,383.02	1,300.26	(83)			0.08
MCDONALDS CORP	(J) CASH	88	MCD	87.51150	88.21000	7,701.01	7,762.48	61	3.491%	271	0.46
MERCK & CO INC NEW	(L) CASH	206	MRK	36.78170	40.94000	7,577.03	8,433.64	857	4.201%	354	0.50
METLIFE INC	(I) CASH	272	MET	30.50390	32.94000	8,297.05	8,959.68	663	2.246%	201	0.53
MICROS SVS INC	(Q) CASH	41	MCRS	44.36800	42.44000	1,819.09	1,740.04	(79)			0.10
MICROSOFT CORP	(Q) CASH	88	MSFT	28.80080	28.70970	2,476.88	2,287.03	(180)	3.444%	79	0.14
MID-AMER APT CMNTYS INC REIT	(I) CASH	18	MAA	58.78780	64.75000	1,058.18	1,165.50	107	4.293%	50	0.07
MITSUBISHI UFJ FINL GROUP INC SPONSORED ADR	(I) CASH	390	MTU	5.24990	5.42000	2,047.48	2,113.80	66	2.472%	52	0.12
MOLEX INC CLA	(Q) CASH	68	MOLXA	20.67400	22.32000	1,405.83	1,517.76	112	3.942%	59	0.09
MOLSON COORS BREWING CO CLB	(J) CASH	144	TAP	45.04530	42.79000	6,486.53	6,161.76	(325)	2.891%	184	0.36
MONDELEZ INTL INC CLA	(J) CASH	177	MDLZ	23.24560	25.45320	4,444.48	4,505.21	391	2.042%	92	0.27
MONSANTO CO NEW	(A) CASH	55	MON	68.27560	94.65000	3,810.16	5,205.75	1,396	1.584%	82	0.31
MOSAIC CO NEW	(A) CASH	47	MOS	65.65980	56.63000	3,086.01	2,661.61	(424)	1.765%	47	0.16
MYLAN INC	(L) CASH	55	MYL	22.63980	27.45000	1,245.19	1,509.75	265			0.09

87@ 33.0152 = 2872.32
41@ 32.817 = 1346.15
1@ 39.52 = 39.52
49@ 27.41 = 1345.78

Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges



STATEMENT OF ACCOUNT



KATHRYN & W H SCHWARZSCHILD FND
IAS/PLACEMARK
200 S 10TH ST, 6TH FL

Account Number
G78-1366488

Financial Advisor
VERITY, AUSTIN W. - PA2

Period Ending
12/31/12

Page
11 of 53

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
NII HLDGS INC CL B NEW	(R) CASH	115	NIHD	24.06700	7.13000	2,767.70	819.95	(1,948)			0.05
NTT DOCOMO INC SPONS ADR	(M) CASH	106	DCM	17.45080	14.41000	1,849.78	1,527.46	(322)	4.588%	70	0.09
NESTLE S A SPONSORED ADR	(J) CASH	66	NSRGY	53.92000	65.11200	3,558.72	4,297.39	739	2.721%	116	0.25
NETGEAR INC	(R) CASH	38	NTGR	41.98260	39.43000	1,595.72	1,498.34	(97)			0.09
NIKE INC CL B	(F) CASH	36	NKE	38.51500	51.60000	1,386.54	1,857.60	471	0.813%	15	0.11
NORFOLK SOUTHERN CORP	(S) CASH	38	NSC	66.42920	61.84000	2,524.31	2,349.92	(174)	3.234%	76	0.14
NOVARTIS A G SPONSORED ADR	(L) CASH	76	NVS	60.68050	63.30000	4,611.72	4,810.80	199	3.327%	160	0.28
NOVO-NORDISK A S ADR	(L) CASH	5	NVO	112.40000	163.21000	562.00	816.05	254	1.122%	9	0.05
NUCOR CORP	(C) CASH	119	NUE	43.34570	43.16000	5,158.14	5,136.04	(22)	3.405%	174	0.30
O REILLY AUTOMOTIVE INC NEW	(P) CASH	19	ORLY	56.79000	89.42000	1,079.01	1,698.98	620			0.10
OCCIDENTAL PETE CORP DEL	(G) CASH	63	OXY	85.58540	76.61000	5,391.88	4,826.43	(565)	2.819%	136	0.28
OCEANEERING INTL INC	(G) CASH	31	OII	54.94520	53.79000	1,703.30	1,667.49	(36)	1.338%	22	0.10
OIL STS INTL INC	(G) CASH	20	OIS	82.90750	71.54000	1,658.15	1,430.80	(227)			0.08
OMNICOM GROUP INC	(M) CASH	36	OMC	46.42830	49.96000	1,671.42	1,798.56	127	2.401%	43	0.11
ON SEMICONDUCTOR CORP	(Q) CASH	262	ONNN	9.73850	7.05000	2,551.49	1,847.10	(704)			0.11
ORACLE CORP	(Q) CASH	125	ORCL	29.36270	33.32000	3,670.34	4,165.00	495	0.720%	30	0.25
PNC FINL SVCS GROUP INC	(I) CASH	98	PNC	53.59480	58.31000	5,249.36	5,714.38	465	2.743%	156	0.34
PPL CORP	(T) CASH	234	PPL	28.37060	28.63000	6,638.72	6,699.42	61	5.029%	336	0.39
PANERA BREAD CO CL A	(J) CASH	10	PNRA	101.64000	158.83000	1,016.40	1,588.30	572			0.08
PATTERSON COMPANIES INC	(L) CASH	37	PDCO	31.54840	34.23000	1,167.29	1,266.51	99	1.635%	20	0.07
PEARSON PLC SPONSORED ADR	(M) CASH	32	PSO	18.40940	19.64000	589.10	625.28	36	3.556%	22	0.04
PEOPLES UNITED FINANCIAL INC	(I) CASH	142	PBCT	12.66410	12.09000	1,798.30	1,716.78	(82)	5.283%	80	0.10
PEPSICO INC	(J) CASH	121	PEP	67.30370	68.43000	8,143.75	8,280.03	136	3.141%	260	0.49



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STATEMENT OF ACCOUNT



KATHRYN & W H SCHWARZSCHILD FND
IAS/PLACEMENT
200 S 10TH ST, 6TH FL

Page 12 of 53
Account Number G7B-1366468
Financial Advisor VERITY, AUSTIN W. - PA2
Period Ending 12/31/12

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
PERKINELMER INC	(Q) CASH	42	PKI	24 00520	31 74000	1,008.22	1,333.08	325	0 882%	11	0 08
PETROLEO BRASILEIRO SA PETROBR SPONSORED ADR	(G) CASH	94	PBR	31 07160	19 47000	2,920.73	1,830.18	(1,091)	0 567%	10	0 11
PETSMART INC	(P) CASH	24	PETM	66 08540	68 34000	1,586.05	1,640.16	54	0 865%	15	0 10
PFIZER INC	(L) CASH	301	PFE	22 25030	25 07830	6,697.33	7,548.86	852	3 827%	288	0 44
PHILIP MORRIS INTL INC	(C) CASH	95	PM	80.27530	83.64000	7,826.15	7,945.80	320	4 065%	323	0 47
PLUM CREEK TIMBER CO INC REIT	(I) CASH	35	PCL	39.00200	44.37000	1,365.07	1,552.95	188	3 786%	58	0 09
POTASH CORP SASK INC	(C) CASH	27	POT	40.72150	40.69000	1,099.48	1,098.63	(1)	2 064%	22	0 06
PRICE T ROWE GROUP INC	(I) CASH	21	TROW	67.18000	65.11710	1,410.78	1,367.45	(43)	2 088%	28	0 08
PROCTER & GAMBLE CO	(F) CASH	92	PG	63 47530	67.89000	5,839.73	6,245.88	406	3 311%	206	0 37
PRUDENTIAL FINL INC	(I) CASH	29	PRU	47.05240	53.33000	1,364.52	1,546.57	182	3 000%	46	0 09
PUBLICIS SA NEW SPONSORED ADR	(M) CASH	88	PUBGY	12.80050	14.91400	1,126.44	1,312.43	186	1 153%	15	0 08
QUALCOMM INC	(Q) CASH	94	QCOM	52.10780	61 85960	4,898.13	5,814.80	917	1 616%	94	0 34
REED ELSEVIER P L C SPONS ADR NEW	(M) CASH	97	RUUK	34.28710	42 04000	3,326.82	4,077.88	751	3 289%	134	0 24
REGIONS FINL CORP NEW	(I) CASH	258	RF	8.65000	7 13000	1,715.70	1,839.54	124	0 581%	10	0 11
ROCHE HLDG LTD SPONSORED ADR SUBJECT TO CUSTODY FEE	(L) CASH	95	RHHBY	38 82330	50 25400	3,507.71	4,774.13	1,266	3 069%	146	0 28
ROCKWELL AUTOMATION INC	(D) CASH	24	ROK	69 07330	83.96000	1,657.76	2,015.78	358	2 238%	45	0 12
ROSS STORES INC	(B) CASH	24	ROST	31 90500	54.09000	765.72	1,298.16	532	1 035%	13	0 08
ROYAL DUTCH SHELL PLC SPON ADR B	(G) CASH	18	RDSB	67 86560	70.88000	1,221.58	1,276.02	54	4 852%	61	0 08
ROYAL DUTCH SHELL PLC SPONS ADR A	(G) CASH	71	RDSA	69 17450	68.95000	4,911.39	4,895.45	(16)	4 240%	207	0 29
SANOFI SPONSORED ADR	(L) CASH	56	SNY	34 35000	47 38000	1,923.60	2,653.28	730	3 020%	80	0 16
SAP AG SPON ADR	(Q) CASH	67	SAP	55 79820	80.38000	3,738.48	5,385.46	1,647	0 839%	45	0 32
SCHLUMBERGER LTD	(G) CASH	30	SLB	83 08570	69 29860	2,492.57	2,076.95	(414)	1 587%	33	0 12



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STATEMENT OF ACCOUNT



KATHRYN & W H SCHWARZSCHILD FND
IAS/PLACEMARK
200 S 10TH ST, 6TH FL

Account Number
G78-1366468

Financial Advisor
VERITY, AUSTIN W - PA2

Period Ending
12/31/12

Page
13 of 53

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
SCHNEIDER ELECTRIC SA ADR	(G) CASH	236	SEGSY	12.10600	14.45800	2,857.01	3,412.08	555	2.282%	77	0.20
SECOM LTD ADR	(P) CASH	154	SOMLY	11.82440	12.53400	1,820.86	1,930.23	109	1.660%	32	0.11
SHIRE PLC SPONSORED ADR	(L) CASH	8	SHPG	78.21000	92.18000	625.68	737.44	112	0.499%	3	0.04
SIGMA ALDRICH CORP	(C) CASH	40	SIAL	65.63650	73.58000	2,625.46	2,943.20	318	1.087%	32	0.17
SIGNATURE BK NEW YORK NY	(I) CASH	32	SBNY	48.60000	71.34000	1,555.20	2,282.88	728			0.13
SKYWORKS SOLUTIONS INC	(Q) CASH	46	SWKS	32.15930	20.30000	1,479.33	933.80	(546)			0.05
SMITH & NEPHEW PLC SPON ADR NEW	(L) CASH	19	SNN	54.53110	55.40000	1,036.09	1,052.60	17	1.814%	19	0.06
STANLEY BLACK & DECKER INC	(F) CASH	21	SWK	72.06000	73.97000	1,513.26	1,553.37	40	2.649%	41	0.09
STARBUCKS CORP	(J) CASH	60	SBUX	50.64780	53.63000	3,038.87	3,217.80	179	1.566%	50	0.19
STRYKER CORP	(L) CASH	55	SYK	55.28440	54.82000	3,040.64	3,015.10	(26)	1.933%	58	0.18
SUNCOR ENERGY INC NEW	(G) CASH	39	SU	36.97100	32.98000	1,441.87	1,286.22	(156)	1.588%	20	0.08
SUPERIOR ENERGY SVCS INC	(G) CASH	84	SPN	28.34000	20.72000	2,464.56	1,740.48	(724)			0.10
SWEDBANK A B SPD ADR	(I) CASH	49	SWDBY	18.55020	19.52200	810.96	956.57	146	3.375%	32	0.06
SYMANTEC CORP	(Q) CASH	154	SYMC	16.35050	18.82000	2,517.97	2,898.28	380			0.17
SYNGENTA AG SPONSORED ADR	(A) CASH	54	SYT	61.62220	80.80000	3,327.60	4,363.20	1,036	1.788%	78	0.28
SYNOPSYS INC	(Q) CASH	45	SNPS	28.02160	31.83540	1,260.97	1,432.59	172			0.08
TO AMERITRADE HLDG CORP	(I) CASH	92	AMTD	20.01770	16.81000	1,841.63	1,546.52	(295)	2.141%	33	0.09
TJX COS INC NEW	(B) CASH	41	TJX	25.38590	42.45000	865.12	1,740.45	877	1.083%	18	0.10
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	(Q) CASH	71	TSM	13.02550	17.16000	924.81	1,218.36	294	2.319%	28	0.07
TARGET CORP	(P) CASH	162	TGT	48.88360	59.17000	7,919.15	9,585.54	1,666	2.433%	233	0.56
TERADATA CORP DEL	(Q) CASH	28	TDC	53.59570	61.89000	1,500.68	1,732.92	232			0.10
TESCO PLC SPONSORED ADR	(J) CASH	154	TSCDY	18.68280	16.38500	2,874.07	2,523.29	(351)	4.023%	101	0.15
TEVA PHARMACEUTICAL INDS LTD ADR	(L) CASH	85	TEVA	39.22240	37.34000	3,333.90	3,173.90	(160)	2.153%	68	0.19

7@ 17.550 = 122.89
7@ 17.550 = 122.89



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STATEMENT OF ACCOUNT



KATHRYN & W H SCHWARZSCHILD FND
IAS/PLACEMARK
200 S 10TH ST, 6TH FL

Account Number
G78-1366468

Financial Advisor
VERITY, AUSTIN W - PA2

Period Ending
12/31/12

Page
14 of 53

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
TIBCO SOFTWARE INC	(Q) CASH	82	TIBX	20.21240	21.98000	1,857.42	1,802.36	145			0.11
TIME WARNER CABLE INC	(M) CASH	72	TWC	81.95070	97.19000	5,900.45	6,987.68	1,087	2.304%	161	0.41
TOTAL S A SPONSORED ADR	(G) CASH	231	TOT	53.87190	52.01000	12,398.20	12,014.31	(384)	4.820%	578	0.71
TOWERS WATSON & CO CLA	(N) CASH	33	TW	61.20580	56.21000	2,019.79	1,854.93	(165)	0.818%	15	0.11
TOYOTA MOTOR CORP SP ADR REP2COM	(S) CASH	8	TM	82.15500	83.25000	657.24	746.00	89	1.474%	11	0.04
TRANSCANADA CORP	(G) CASH	27	TRP	38.12700	47.32000	1,029.43	1,277.64	248	3.732%	47	0.08
TRAVELERS COMPANIES INC	(I) CASH	79	TRV	47.41750	71.82000	5,545.98 5,673.78	5,673.78	1,928	2.561%	145	0.33
UNILEVER PLC SPON ADR NEW	(J) CASH	76	UL	30.83140	38.72000	2,343.19	2,942.72	600	3.166%	83	0.17
UNILEVER N V NY SHS NEW	(J) CASH	116	UN	31.33540	38.30000	3,634.91	4,442.80	808	2.720%	120	0.26
UNION PAC CORP	(S) CASH	13	UNP	99.06000	125.72000	1,287.78	1,634.36	347	2.195%	35	0.10
UNITED PARCEL SERVICE INC CL B	(P) CASH	119	UPS	72.67550	73.73000	8,648.39	8,773.87	125	3.092%	271	0.52
UNITED THERAPEUTICS CORP DEL CL B	(L) CASH	31	UTHR	50.76550	53.42000	1,573.73	1,656.02	82			0.10
UNIVERSAL HLTH SVCS INC CL B	(L) CASH	37	UHS	42.88110	48.35000	1,586.60	1,788.95	202	0.413%	7	0.11
V F CORP	(B) CASH	13	VFC	82.33620	150.87000	1,070.37	1,962.61	892	2.305%	45	0.12
VALASSIS COMMUNICATIONS INC	(M) CASH	53	VCI	25.78830	25.78000	1,366.78	1,366.34	0	4.809%	65	0.08
VALERO ENERGY CORP NEW	(G) CASH	85	VLO	28.85850	34.12000	2,452.87	2,900.20	447	2.051%	59	0.17
VECTREN CORP	(T) CASH	44	VVC	28.24660	29.40000	1,242.65	1,293.60	51	4.829%	62	0.08
VISA INC COM CL A	(P) CASH	25	V	87.96720	151.58000	2,199.18	3,789.50	1,590	0.870%	33	0.22
VODAFONE GROUP PLC NEW SPONS ADR NEW	(R) CASH	155	VOD	26.71530	25.18000	4,140.87	3,904.45	(236)	5.855%	232	0.23
VOLKSWAGEN AG SPON ADR PREF	(S) CASH	37	VLKY	38.89240	45.39200	1,439.02	1,679.50	240	1.308%	21	0.10
VOLVO AKTIEBOLAGET ADR B	(S) CASH	61	VOLVY	14.73110	13.65000	898.60	832.65	(66)	2.739%	22	0.05

⑤ Travelers 35 @ 31.141 = 1089.94
4 @ 62.51 = 250.04
UNO 43 7535 = 1705.14



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STATEMENT OF ACCOUNT



KATHRYN & W H SCHWARZSCHILD FND
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Page 15 of 53
Account Number G78-1366468
Financial Advisor VERITY, AUSTIN W - PA2
Period Ending 12/31/12

Common Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
WPP PLC ADR	(M) CASH	49	WPPGY	56.10630	72.80000	2,749.21	3,572.10	823	2.776%	99	0.21
WABTEC CORP	(S) CASH	23	WAB	73.98910	87.54000	1,701.75	2,013.42	312	0.228%	4	0.12
WELLS FARGO & CO NEW	(I) CASH	376	WFC	34.19800	34.18000	12,857.71	12,851.68	(6)	2.574%	330	0.76
WHITING PETE CORP NEW	(G) CASH	31	WLL	41.82180	43.37000	1,296.47	1,344.47	48			0.08
WORLEYPARSONS LTD ADR	(P) CASH	39	WYGPY	30.48280	24.25200	1,188.04	945.82	(242)	3.533%	33	0.06
ZEBRA TECHNOLOGIES CORP CL A	(Q) CASH	40	ZBRA	33.95930	39.31000	1,358.37	1,572.40	214			0.09
SUB-TOTAL COMMON STOCK						629,129.70	684,419.18	55,289		17,038	40.35

COMMON STOCK HOLDINGS SUMMARY BY INDUSTRY CODE

	(P) 6% RETAIL SERVICES	(B) 1% APPAREL & ACCESSORIES	(I) 14% FINANCIAL	(G) 12% ENERGY	(Q) 10% TECHNOLOGY
(R) 3% TELECOMMUNICATIONS	(L) 12% HEALTHCARE	(C) 8% BASIC INDUSTRY	(M) 4% MEDIA & COMMUNICATION	(F) 4% CONSUMER GOODS	
(J) 10% FOOD & BEVERAGES	(N) 60% PUBLIC SERVICES	(S) 3% TRANSPORTATION	(T) 3% UTILITIES	(E) 2% CONSTRUCTION & BLDG	
(O) 18% REAL ESTATE	(K) 18% GAMING/LODGING	(A) 1% AGRICULTURE	(D) 38% CAPITAL GOODS		

Preferred or Other Stock

Description	Account Type	Quantity	Symbol	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
BANCO BRADESCO S A SP ADR PFD NEW MOODYS NR	CASH	96	BBD	19.18050	17.37000	1,839.41	1,667.52	(172)	0.584%	9	0.10

SUB-TOTAL PREFERRED OR OTHER STOCK

TOTAL EQUITIES 1,839.41 1,667.52 (172) 9 0.10
~~686,968.41~~ 686,086.70 55,117 17,048 40.45
631,388.37

Mutual Funds

Open End Funds

Description	Open Tran	Quantity	Average Price	Net Cost	Purchase Cost	Current Price	Market Value	Unrealized Gain/(Loss)	Net Value Increase/Decr	EY	EAI	Est Yield to Portfolio Net Cost	Portfolio Percent
AQR FDS DIVERSIFIED ARBITRAGE FD CL N		6.033 0650	11 15209	67,281.21	65,256.45	11.01000	66,423.93	(857)	1,167	0.853%	567	0.84	3.91
OPEN END SYMBOL ADANX	Purchases Reinvestment	5.845 7870	11 16107	65,256.45	65,256.45		64,373.12	(883)					
		186 2680	10.87014	2,024.76			2,050.81	26					



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200 S 10TH ST, 6TH FL

Page 16 of 53
Account Number G78-1368468
Financial Advisor VERITY, AUSTIN W - PA2
Period Ending 12/31/12

Open End Funds

Description	Open Tran	Quantity	Average Price	Net Cost	Purchase Cost	Current Price	Market Value	Unrealized Gain/(Loss)	Net Value Increase/Decr	EY	EAI	Est Yield to Portfolio Net Cost	Percent
EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FD CLA OPEN END SYMBOL: EAGMX	Purchases	6,804.3250	10.26779	69,865.39	69,865.39	9.84000	66,954.55	(2,911)	(2,910)	3.638%	2,435	3.48	3.94
IVA WORLDWIDE FD CLA OPEN END SYMBOL: IWVAX	Purchases Purchases Reinvestment	4,136.7720 3,870.3790 266.3930	16.69745 16.80038 15.20205	69,073.57 65,023.85 4,049.72	65,023.85 65,023.85	15.90000	65,774.87	(3,299)	750	1.914%	1,259	1.82	3.87
LAZARD EMERGING MKTS INSTL OPEN END SYMBOL: LZEMX	Purchases Purchases Reinvestment	5,463.9080 4,822.2040 641.7040	12.59452 11.85224 18.17249	68,946.20 57,153.94 11,692.36	57,153.94	19.54000	106,764.76	37,949	49,610	1.823%	1,946	2.82	6.28
MERGER FUND-SBI OPEN END SBI/CBI SYMBOL: MERFX	Purchases Purchases Reinvestment	4,179.2960 4,079.2630 100.0330	15.86798 15.87505 15.57995	66,317.02 64,758.51 1,558.51	64,758.51	15.83000	66,158.25	(159)	1,399	1.637%	1,083	1.63	3.89
TEMPLETON INCOME TR GLOBAL TOTAL RETURN FD CLA OPEN END SYMBOL: TGTRX	Purchases Purchases Reinvestment	7,276.5310 7,214.9130 61.6180	13.18945 13.18581 12.44522	95,973.48 95,208.63 766.85	95,208.63	13.62000	98,106.35	3,133	3,899	4.172%	4,135	4.30	5.83

SUB-TOTAL OPEN END FUNDS.....
TOTAL MUTUAL FUNDS.....

432,416.54

Fixed Income

Government Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP #	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
UNITED STATES TREAS NTS B/E 2.625% DUE 04/30/18 NOTE	CASH	8,000	912828KR0	101.04730	107.25000	8,083.78	8,580.00	496	2.625%	210	0.51
UNITED STATES TREAS NTS B/E 3.125% DUE 05/15/19 NOTE	CASH	7,000	912828KQ2	109.24260	113.25000	7,646.98	7,927.50	281	3.125%	218	0.47
UNITED STATES TREAS NTS B/E 2.625% DUE 11/15/20 NOTE	CASH	2,000	912828PC8	91.64900	108.75000	1,832.98	2,195.00	362	2.625%	52	0.13

Ⓢ Lazard 4203.24 @ 10.58 = 44,470.23
183.431 @ 19.58 = 3,591.58
164.779 @ 19.24 = 3,170.34
270.218 @ 19.13 = 5,169.28
642.245 @ 11.68 = 7,504.44



Oppenheimer & Co. Inc.
85 Broad Street
New York, NY 10004
(212) 668-8000
Transacts Business on All Principal Exchanges

STATEMENT OF ACCOUNT



KATHRYN & W H SCHWARZSCHILD FND
IAS/PLACEMARK
200 S 10TH ST, 6TH FL

Page 17 of 53
Account Number G78-1366468
Financial Advisor VERITY, AUSTIN W. - PA2
Period Ending 12/31/12

Government Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP #	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
UNITED STATES TREAS NTS B/E 2.125% DUE 08/15/21 NOTE	CASH	8,000	912828RC6	102.46490	105.12500	8,197.19	8,410.00	213	2.125%	170	0.50

SUB-TOTAL GOVERNMENT BONDS 25,000 25,760.93 27,112.50 1,352 651 1.61

Due to credit market volatility this month, there may be some unreliable pricing of fixed income securities compared to prior months. Call your Financial Advisor if you have any questions.

Government Agency Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP #	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
FEDERAL NATL MTG ASSN B/E 4.625% DUE 10/15/14 NOTE	CASH	5,000	31359MWJ8	110.30460	107.73800	5,515.23	5,386.90	(128)	4.625%	231	0.32
FEDERAL HOME LN MTG CORP B/E 4.75% DUE 11/17/15 NOTE	CASH	9,000	31344AVG6	111.08510	112.42900	9,997.86	10,118.61	121	4.750%	427	0.80
FEDERAL NATL MTG ASSN B/E 1.25% DUE 09/28/16 NOTE	CASH	11,000	3135G0CM3	101.17190	102.59400	11,128.91	11,285.34	156	1.250%	137	0.66

SUB-TOTAL GOVERNMENT AGENCY BONDS 25,000 26,641.80 26,790.85 149 796 1.58

Due to credit market volatility this month, there may be some unreliable pricing of fixed income securities compared to prior months. Call your Financial Advisor if you have any questions.

Corporate Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
MORGAN STANLEY B/E 4.75% DUE 04/01/14 NOTE	CASH	10,000	61748AAE6 BAA2 / BBB+	102.30300	103.54800	10,230.30	10,354.80	125	4.750%	475	0.61
GOLDMAN SACHS GROUP INC B/E 5.125% DUE 01/15/15 NOTE	CASH	10,000	38141GEA8 A3 / A-	107.37700	107.42800	10,737.70	10,742.80	5	5.125%	512	0.63
ARCELORMITTAL SA LUXEMBOURG B/E 4.25% DUE 02/25/15 NOTE	CASH	3,000	03938LAV6 BA1 / BB+	101.98300	101.00800	3,059.49	3,030.18	(29)	4.250%	127	0.18
JPMORGAN CHASE & CO B/E 4.75% DUE 03/01/15 NT, INV CO	CASH	7,000	46825HCE8 A2 / A	107.28100	107.88200	7,509.67	7,551.74	42	4.750%	332	0.44
SELECT MED HLDGS CORP B/E 10.82% DUE 09/15/15 NT, FLT RTE	CASH	5,000	816190AF2 B3 / B-	82.00000	100.00000	4,600.00	5,000.00	400	10.820%	541	0.29



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IAS/PLACEMARK
200 S 10TH ST, 6TH FL

Page 18 of 53
Account Number G78-1366488
Financial Advisor VERITY, AUSTIN W - PA2
Period Ending 12/31/12

Corporate Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
PRUDENTIAL FINL INC MTNS BOOK B/E 3% DUE 05/12/16 MTN	CASH	10,000	74432QBR5 BAA2 / A	103.32800	105.58100	10,332.80	10,558.10	225	3.000%	300	0.62
CITIGROUP INC B/E 3.853% DUE 08/15/16 NOTE	CASH	10,000	172987FS5 BAA2 / A-	105.08200	107.63600	10,508.20	10,763.60	255	3.853%	395	0.63
WELLS FARGO & CO NEW B/E 3.676% DUE 08/15/16 MTN,ADJ	CASH	10,000	949746QU8 A1 / AA-	108.79600	108.11000	10,679.60	10,811.00	131	3.676%	367	0.64
MGM RESORTS INTERNATIONAL B/E 7.625% DUE 01/15/17 NOTE	CASH	5,000	552953886 B3 / B+	101.50000	107.00000	5,075.00	5,350.00	275	7.625%	381	0.31
HARRAHS OPER INC B/E 11.25% DUE 08/01/17 NOTE CALL 06/01/13 @105.625	CASH	5,000	413827BL3 B2 / B	109.25000	107.12500	5,462.50	5,356.25	(106)	11.250%	562	0.32
BANK OF AMERICA CORPORATION B/E 5.75% DUE 12/01/17 NOTE	CASH	10,000	060505DP6 BAA2 / A-	104.84600	118.55900	10,484.60	11,855.90	1,161	5.750%	575	0.69
CINCINNATI BELL INC NEW B/E 8.75% DUE 03/15/18 NOTE CALL 03/15/14 @104.375	CASH	5,000	171871AM8 B3 / CCG+	98.37500	103.25000	4,918.75	5,162.50	244	8.750%	437	0.30
CABLEVISION SYS CORP B/E 7.75% DUE 04/15/18 NOTE	CASH	5,000	12686CAZ2 B1 / B+	109.00000	111.25000	5,450.00	5,562.50	113	7.750%	387	0.33
FRONTIER COMMUNICATIONS CORP B/E 8.125% DUE 10/01/18 NOTE	CASH	5,000	35906AAB4 BAA2 / BB	102.00000	115.00000	5,100.00	5,750.00	650	8.125%	406	0.34
BOSTON PPTY'S LTD PARTNERSHIP B/E 3.7% DUE 11/15/18 NOTE CALL 08/15/18 @100	CASH	10,000	10112RAT1 BAA2 / A-	105.38400	108.62800	10,538.40	10,862.90	325	3.700%	370	0.64
AVIS BUDGET CAR RENT LLC /AVIS B/E 8.25% DUE 01/15/19 NOTE CALL 10/15/14 @104.125	CASH	5,000	053773AN7 B2 / B	104.00000	110.50000	5,200.00	5,525.00	325	8.250%	412	0.33
MARRIOTT INTL INC NEW B/E 3% DUE 03/01/19 NOTE CALL 12/01/18 @100	CASH	5,000	571903AJ2 BAA2 / BBB	101.25700	102.92100	5,062.85	5,146.05	83	3.000%	150	0.30



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Period Ending
12/31/12

Financial Advisor
VERITY, AUSTIN W - PA2

Account Number
G78-1366468

Page
19 of 53

Corporate Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
TASEKO MINES LTD B/E 7 75% DUE 04/15/19 MTN CALL 04/15/15 @103.875	CASH	5,000	876511AB2 B3 / B	93.50000	96.25000	4,675.00	4,812.50	138	7 750%	387	0.28
VALE OVERSEAS LTD FGN 5 625% DUE 09/15/19 NOTE	CASH	10,000	91911TAJ2 BAA2 / A-	112.18900	114.00000	11,218.90	11,400.00	181	5 625%	562	0.67
VALERO ENERGY CORP NEW B/E 6 125% DUE 02/01/20 NOTE	CASH	10,000	91913YAR1 BAA2 / BBB	115.99300	121.59800	11,599.30	12,159.80	561	6 125%	612	0.72
CBS CORP NEW B/E 5 75% DUE 04/15/20 NOTE	CASH	10,000	124857AD5 BAA2 / BBB	115.05300	119.77600	11,505.30	11,977.60	472	5 750%	575	0.71
NEWS AMER INC B/E 5 65% DUE 08/15/20 NOTE	CASH	10,000	652482BX7 BAA1 / BBB+	115.79400	121.36500	11,579.40	12,136.50	557	5 650%	565	0.71
OMNICOM GROUP INC B/E 4 45% DUE 08/15/20 NOTE	CASH	10,000	682134AC5 BAA1 / BBB+	108.40600	111.27400	10,840.60	11,127.40	287	4 450%	445	0.88
UNITED RENTALS NORTH AMER INC B/E 8 375% DUE 09/15/20 NOTE CALL 09/15/15 @104.188	CASH	5,000	911365AW4 CAA1 / B-	104.50000	110.75000	5,225.00	5,537.50	313	8 375%	418	0.33
FORD MOTOR CREDIT CO LLC B/E 5 75% DUE 02/01/21 NOTE	CASH	5,000	345397VR1 BAA3 / BB+	110.25000	115.13300	5,512.50	5,756.65	244	5 750%	287	0.34
DIRECTV HLDGS LLC / DIRECTV B/E 4 6% DUE 02/15/21 NOTE	CASH	10,000	25459HAW5 BAA2 / BBB	107.94000	108.25400	10,794.00	10,825.40	31	4 600%	460	0.64
TIME WARNER CABLE INC B/E 4.125% DUE 02/15/21 NOTE CALL 11/15/20 @100	CASH	10,000	88732JAX6 BAA2 / BBB	106.09200	109.51200	10,609.20	10,851.20	342	4 125%	412	0.64
PARK OHIO INDS INC-OHIO B/E 8.125% DUE 04/01/21 NOTE CALL 04/01/16 @104.063	CASH	5,000	700877AN7 B3 / CCC+	103.25000	106.25000	5,162.50	5,312.50	150	8 125%	406	0.31
KROGER CO B/E 3.4% DUE 04/15/22 NOTE CALL 01/15/22 @100	CASH	10,000	501044CQ2 BAA2 / BBB	102.41400	103.41700	10,241.40	10,341.70	100	3 400%	340	0.61



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Page 20 of 53
Account Number G78-1366468
Financial Advisor VERITY, AUSTIN W - PA2
Period Ending 12/31/12

Corporate Bonds in Maturity Date Sequence

Description	Account Type	Quantity	CUSIP/ Rating	Unit Cost	Current Price	Total Cost Basis	Current Value	Unrealized Gain/(Loss)	EY	EAI	Portfolio Percent
FRONTIER COMMUNICATIONS CORP B/E 7 125% DUE 01/15/23 NOTE	CASH	5,000	35906AAM0 BA2 / BB	101.25000	106.00000	5,062.50	5,300.00	238	7.125%	356	0.31

SUB-TOTAL CORPORATE BONDS

225,000 246,822.07 7,838 12,562 14.47

Due to credit market volatility this month, there may be some unreliable pricing of fixed income securities compared to prior months. Call your Financial Advisor if you have any questions.

TOTAL FIXED INCOME

275,000 300,725.42 9,339 14,010 17.66

Total Portfolio Holdings

Unrealized Gain/(Loss) \$98,312 2,503% 42,534 100%

Transactions/Activity Detail

Date	Type	Quantity	Transaction	Description	Price/Entry	Amount
** BUY AND SELL TRANSACTIONS **						
12-03	CASH	-10	SOLD	YAMADA DENKI CO LTD JAPAN COMMISSION 0.00	34.25	342.48 CREDIT
12-03	CASH	39	BOUGHT	JOHNSON CTLS INC COMMISSION 0.00	27.45	1,070.68 DEBIT
12-03	CASH	-24	SOLD	MCCORMICK & CO INC COMMISSION 0.00	64.48	1,547.83 CREDIT
12-04	CASH	-2,000	SOLD	FEDERAL NATL MTG ASSN INCLUDES ACCRUED INTEREST OF COMMISSION 0.00	108.06	2,173.87 CREDIT
12-04	CASH	-17	SOLD	SAUER-DANFOSS INC COMMISSION 0.00	52.51	892.74 CREDIT
12-04	CASH	40	BOUGHT	WHITING PETE CORP NEW COMMISSION 0.00	41.82	1,672.86 DEBIT
12-05	CASH	28	BOUGHT	BED BATH & BEYOND INC COMMISSION 0.00	58.85	1,642.48 DEBIT
12-05	CASH	164 77900	BOUGHT	LAZARD EMERGING MKTS INSTL UMA TRADE COMMISSION 0.00	19.24	3,170.34 DEBIT
12-05	CASH	-34	SOLD	SAUER-DANFOSS INC COMMISSION 0.00	52.42	1,782.34 CREDIT
12-06	CASH	-2,000	SOLD	ARCELORMITTAL SA LUXEMBOURG INCLUDES ACCRUED INTEREST OF COMMISSION 0.00	100.54	2,034.85 CREDIT
12-07	CASH	-2	SOLD	ACCENTURE PLC IRELAND VSP 01/13/11 COMMISSION 0.00	68.52	137.03 CREDIT

Continuation of Attachment #1

**Kathryn & W. H. Schwarzschild Fund
Adjustment to Cost Basis for Transferred Stock
Year Ending 12/31/2012**

Cost Basis per Portfolio Summary:

Equities:	630,969.11
Allergan Inc.	2,467.17
Apple	(606.62)
Chevron	227.49
Johnson & Johnson	(2,543.52)
Kraft Foods	1,452.71
TJX Cos Inc.	122.89
Travelers	(700.86)
Total Equities Cost Basis	631,388.37
Mutual Funds	437,325.97
Lazard Emerging Mkts	(4,909.43)
Total Mutual Funds Cost Basis	432,416.54

Attachment #2

Page 6 of 140

Oppenheimer & Co. Inc. Account G781366468
Proceeds from Broker and Barter Exchange Transactions
2012

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or Loss	Additional Information	Notes
ABB LTD SPONSORED ADR / CUSIP: 000375204 / Symbol: ABB							
06/14/12	3 000	47.90	03/12/12	60.87	-12.97	Sale	
12/04/12	3 000	59.00	02/08/12	64.95	-5.95	Sale	
	Security total:	106.90		125.82	-18.92		
AFLAC INC / CUSIP: 001055102 / Symbol: AFL							
02/08/12	3 000	147.68	10/28/11	140.44	7.24	Sale	
06/14/12	2 000	82.21	10/28/11	93.63	-11.42	Sale	
06/15/12	2 000	83.75	10/28/11	93.63	-9.88	Sale	
	Security total:	313.64		327.70	-14.06		
ADTRAN INC / CUSIP: 00738A106 / Symbol: ADTN							
02/08/12	3 000	111.32	02/22/11	134.02	-22.70	Sale	
ADVANCE AUTO PARTS INC / CUSIP: 00751Y106 / Symbol: AAP							
2 tax lots for 03/22/12. Total proceeds (and cost when required) reported to the IRS.							
03/22/12	8 000	712.48	06/28/11	465.27	247.21	Sale	
	4 000	356.24	11/22/11	267.64	88.60	Sale	
	12 000	1,068.72	VARIOUS	732.91	335.81	Total of 2 lots	
AECOM TECHNOLOGY CORP DELAWARE / CUSIP: 00766T100 / Symbol: ACM							
02/08/12	5 000	112.24	10/25/11	101.15	11.09	Sale	
05/10/12	21 000	376.58	10/25/11	424.83	-48.25	Sale	
	Security total:	488.82		525.98	-37.16		
AETNA INC NEW / CUSIP: 00817Y108 / Symbol: AET							
01/11/12	54 000	2,384.05	01/13/11	1,769.04	615.01	Sale	
12/04/12	8 000	347.76	01/19/12	351.76	-4.00	Sale	
	Security total:	2,731.81		2,120.80	611.01		
AKZO NOBEL NV SPONSORED ADR / CUSIP: 010199305 / Symbol: AKZOY							
12/04/12	1 000	19.42	06/21/12	15.43	3.99	Sale	
AMADEUS IT HLDG S A ADS / CUSIP: 02263T104 / Symbol: AMADY							
01/13/12	6 000	98.51	12/09/11	102.30	-3.79	Sale	
06/14/12	2 000	39.01	12/09/11	34.10	4.91	Sale	
	Security total:	137.52		136.40	1.12		

Oppenheimer & Co. Inc.

Account G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
AMAZON COM INC / CUSIP: 023135106 / Symbol: AMZN							
02/08/12	1,000	185.89	02/01/12	179.17	6.72	Sale	
08/20/12	4,000	956.09	01/26/12	768.80	187.29	Sale	
3 tax lots for 10/02/12. Total proceeds (and cost when required) reported to the IRS.							
	2,000	499.58	01/26/12	384.40	115.18	Sale	
	2,000	499.58	01/30/12	384.18	115.40	Sale	
	2,000	499.58	02/01/12	358.33	141.25	Sale	
10/02/12	6,000	1,498.74	VARIOUS	1,126.91	371.83	Total of 3 lots	
Security total:				2,074.88	565.84		
AMERICAN FINL GROUP INC OHIO / CUSIP: 025932104 / Symbol: AFG							
12/04/12	2,000	78.61	02/08/12	74.74	3.87	Sale	
AMERICAN RLTY CAP TR INC REIT / CUSIP: 02917L101 / Symbol: ARCT							
12/04/12	43,000	504.37	03/09/12	447.63	56.74	Sale	
AMERISOURCEBERGEN CORP / CUSIP: 03073E105 / Symbol: ABC							
02/08/12	1,000	39.31	06/07/11	40.88	-1.57	Sale	
2 tax lots for 05/09/12. Total proceeds (and cost when required) reported to the IRS							
	24,000	866.64	06/07/11	981.08	-114.44	Sale	
	11,000	397.21	07/05/11	462.87	-65.66	Sale	
05/09/12	35,000	1,263.85	VARIOUS	1,443.95	-180.10	Total of 2 lots	
2 tax lots for 06/14/12. Total proceeds (and cost when required) reported to the IRS.							
	1,000	36.86	07/22/11	40.57	-3.71	Sale	
	1,000	36.86	08/09/11	34.95	1.91	Sale	
06/14/12	2,000	73.72	VARIOUS	75.52	-1.80	Total of 2 lots	
3 tax lots for 08/30/12. Total proceeds (and cost when required) reported to the IRS.							
	18,000	684.22	01/27/12	706.68	-22.46	Sale	
	13,000	494.16	05/10/12	476.97	17.19	Sale	
	32,000	1,216.40	08/28/12	1,208.00	8.40	Sale	
08/30/12	63,000	2,394.78	VARIOUS	2,391.65	3.13	Total of 3 lots	
10/01/12	12,000	467.02	08/28/12	453.00	14.02	Sale	

Oppenheimer & Co., Inc.

Account: G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

Ic - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale

1e - Quantity

2a - Proceeds of
stocks, bonds, etc.

2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.

1b - Date of acquisition

3 - Cost or other basis

Gain or loss

Additional Information

Notes

AMERISOURCEBERGEN CORP / CUSIP: 03073E105 / Symbol: ABC (cont'd)

12/04/12

1,000

42.75

08/28/12

598.61

37.75

14,000

561.54

09/14/12

641.36

523.79

VARIOUS

4,966.54

5.00

74.82

79.82

-86.50

Total of 2 lots

Security total:

4,880.04

ANSYS INC / CUSIP: 03662Q105 / Symbol: ANSS

02/08/12

1,000

63.02

07/22/11

53.29

9.73

Sale

APACHE CORP / CUSIP: 037411105 / Symbol: APA

04/24/12

2,000

182.17

10/13/11

174.51

7.66

Sale

APPLE INC / CUSIP: 037833100 / Symbol: AAPL

06/15/12

1,000

570.00

06/21/11

324.79

245.21

Sale

APPLIED MATLS INC / CUSIP: 038222105 / Symbol: AMAT

2 tax lots for 02/29/12. Total proceeds (and cost when required) reported to the IRS.

02/29/12

56,000

687.10

06/01/11

515.33

740.88

42,000

555.22

06/02/11

1,202.43

1,296.10

-53.78

Sale

98,000

-39.89

Sale

3 tax lots for 03/06/12. Total proceeds (and cost when required) reported to the IRS.

03/06/12

14,000

168.14

06/02/11

792.68

185.07

66,000

960.83

07/22/11

942.40

80,000

1,997.83

01/11/12

1,921.65

18.43

Sale

160,000

-76.19

Total of 3 lots

Security total:

3,124.08

-169.86

APTARGROUP INC / CUSIP: 038336103 / Symbol: ATR

02/08/12

4,000

212.95

10/13/11

193.36

06/14/12

1,000

52.03

48.34

Sale

Security total:

264.98

23.28

ARCHER DANIELS MIDLAND CO / CUSIP: 039483102 / Symbol: ADM

11/13/12

7,000

175.82

02/08/12

211.81

-35.99

Sale

Oppenheimer & Co. Inc.

Account: G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
ASHLAND INC NEW / CUSIP: 044209104 / Symbol: ASH							
06/15/12	1,000	66.12	04/09/12	59.69	6.43	Sale	
08/20/12	6,000	442.97	04/09/12	358.13	84.84	Sale	
12/04/12	4,000	290.83	04/09/12	238.75	52.08	Sale	
	Security total:	799.92		656.57	143.35		
AXA SA SPONSORED ADR / CUSIP: 054538107 / Symbol: AXAHY							
12/04/12	3,000	49.94	02/08/12	50.43	-0.49	Sale	
BG GROUP PLC ADR FIN INST N / CUSIP: 055434203 / Symbol: BRGYY							
02/08/12	1,000	115.98	08/09/11	100.22	15.76	Sale	
BOK FINL CORP COM NEW / CUSIP: 05561Q201 / Symbol: BOKF							
12/04/12	1,000	54.88	02/08/12	53.24	1.64	Sale	
BNP PARIBAS SPONSORED ADR / CUSIP: 05565A202 / Symbol: BNPQY							
02/08/12	1,000	24.19	07/22/11	35.11	-10.92	Sale	
05/18/12	2,000	32.99	07/22/11	70.22	-37.23	Sale	
	Security total:	57.18		105.33	-48.15		
BAIDU INC SPON ADR REP A / CUSIP: 056752108 / Symbol: BIDU							
10/18/12	2,000	223.77	07/11/12	217.00	6.77	Sale	
10/19/12	1,000	112.16	07/11/12	108.50	3.66	Sale	
	Security total:	335.93		325.50	10.43		
BAKER HUGHES INC / CUSIP: 057224107 / Symbol: BHI							
01/19/12	43,000	2,094.38	09/12/11	2,414.45	-320.07	Sale	
BANCO SANTANDER SA ADR / CUSIP: 05964H105 / Symbol: SAN							
2 tax lots for 07/31/12. Total proceeds (and cost when required) reported to the IRS.							
	3,000	18.02	02/08/12	21.54	-3.52	Sale	
	25,000	150.13	02/08/12	218.00	-67.87	Sale	
07/31/12	28,000	168.15	VARIOUS	239.54	-71.39	Total of 2 lots	

Oppenheimer & Co. Inc.		Account G781366468
2012		Proceeds from Broker and Barter Exchange Transactions
		(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
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BANK NEW YORK MELLON CORP / CUSIP: 064058100 / Symbol: BK

3 tax lots for 05/16/12. Total proceeds (and cost when required) reported to the IRS.

	35,000	732.18	07/22/11	901.22	-169.04	Sale	
	14,000	292.87	01/26/12	279.58	13.29	Sale	
	7,000	146.43	02/08/12	152.94	-6.51	Sale	
05/16/12	56,000	1,171.48	VARIOUS	1,333.74	-162.26	Total of 3 lots	

BAXTER INTL INC / CUSIP: 071813109 / Symbol: BAX

2 tax lots for 11/06/12. Total proceeds (and cost when required) reported to the IRS

	3,000	198.97	02/08/12	170.73	28.24	Sale	
	6,000	397.95	04/24/12	327.77	70.18	Sale	
11/06/12	9,000	596.92	VARIOUS	498.50	98.42	Total of 2 lots	
12/04/12	12,000	783.34	04/24/12	655.55	127.79	Sale	
	Security total:	1,380.26		1,154.05	226.21		

BED BATH & BEYOND INC / CUSIP: 075896100 / Symbol: BBBY

2 tax lots for 02/08/12. Total proceeds (and cost when required) reported to the IRS.

	2,000	122.35	04/13/11	108.56	13.79	Sale	
	1,000	61.17	04/18/11	55.71	5.46	Sale	
02/08/12	3,000	183.52	VARIOUS	164.27	19.25	Total of 2 lots	

BHP BILLITON LTD SPONSORED ADR / CUSIP: 088606108 / Symbol: BHP

	1,000	63.04	08/10/11	76.25	-13.21	Sale	
	3,000	190.53	08/10/11	228.75	-38.22	Sale	
06/14/12		253.57		305.00	-51.43		

Security total:

BIOMGEN IDEC INC / CUSIP: 09062X103 / Symbol: BIIB

12/04/12	4,000	608.22	11/16/12	567.15	41.07	Sale	
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BLACKROCK INC / CUSIP: 09247X101 / Symbol: BLK

08/15/12	1,000	177.50	10/28/11	170.00	7.50	Sale	
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BRAMBLES LTD ADR / CUSIP: 105105100 / Symbol: BMBLY

	3,000	37.04	06/16/11	45.45	-8.41	Sale	
07/27/12	0,000	0.82	07/27/12	0.00	0.82	Cash in lieu	

Oppenheimer & Co. Inc. Account G781366468
Proceeds from Broker and Barter Exchange Transactions
 (continued)
 2012

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
	Security total:	37.86		45.45	-7.59		
BRITISH SKY BROADCASTING GROUP SPONSORED ADR / CUSIP: 111013108 / Symbol: BSYBY							
06/14/12	1,000	41.29	02/02/12	43.73	-2.44	Sale	
12/04/12	1,000	49.54	02/02/12	43.73	5.81	Sale	
	Security total:	90.83		87.46	3.37		
BUCKLE INC / CUSIP: 118440106 / Symbol: BKE							
02/08/12	4,000	179.43	09/02/11	155.61	23.82	Sale	
06/14/12	1,000	37.10	09/02/11	38.90	-1.80	Sale	
06/15/12	1,000	37.69	09/02/11	38.90	-1.21	Sale	
	Security total:	254.22		233.41	20.81		
CNOOC LTD SPONSORED ADR / CUSIP: 126132109 / Symbol: CEO							
01/13/12	2,000	382.45	01/14/11	494.04	-111.59	Sale	
CVS CAREMARK CORPORATION / CUSIP: 126650100 / Symbol: CVS							
06/19/12	2,000	92.40	08/12/11	66.78	25.62	Sale	
CACI INTL INC CL A / CUSIP: 127190304 / Symbol: CACI							
06/14/12	1,000	50.02	02/13/12	59.23	-9.21	Sale	
12/04/12	5,000	260.14	02/13/12	296.17	-36.03	Sale	
	Security total:	310.16		355.40	-45.24		
CAMDEN PPTY TR SH BEN INT / CUSIP: 133131102 / Symbol: CPT							
02/08/12	3,000	190.95	08/30/11	198.50	-7.55	Sale	
06/15/12	1,000	66.62	08/30/11	66.17	0.45	Sale	
	Security total:	257.57		264.67	-7.10		
CANON INC ADR / CUSIP: 136006309 / Symbol: CAJ							
11/07/12	5,000	156.99	02/08/12	222.79	-65.80	Sale	
12/28/12	8,000	310.40	02/08/12	356.47	-46.07	Sale	
	Security total:	467.39		579.26	-111.87		
CAP GEMINI SA ADR / CUSIP: 139098107 / Symbol: CGEMY							
	2 tax lots for 01/03/12. Total proceeds (and cost when required) reported to the IRS.	163.69	02/15/11	263.00	-99.31	Sale	
	10,000						

Openheimer & Co. Inc. Account G781368468
Proceeds from Broken and Barter/Exchange Transactions
 2012 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1b - Date of acquisition	1c - Quantity	2a - Proceeds of stocks, bonds, etc.	3 - Cost or other basis	Notes
CAP GEMINI SA ADR / CUSIP: 139098107 / Symbol: CGEMY (cont'd)					
01/03/12	02/23/11	11,000	180.06	314.60	-134.54 Sale
	VARIOUS	21,000	343.75	577.60	-233.85 Total of 2 lots
CARMAX INC / CUSIP: 143130102 / Symbol: KMX					
07/11/12	06/26/12	2,000	52.21	51.24	0.97 Sale
09/20/12	06/26/12	8,000	238.87	204.98	33.89 Sale
10/11/12	06/26/12	4,000	125.07	102.49	22.58 Sale
12/04/12	06/26/12	16,000	576.30	409.95	166.35 Sale
Security total:			992.45	768.66	223.79
CARNIVAL CORP PAIRED CTF / CUSIP: 143658300 / Symbol: CCL					
06/15/12	02/17/12	15,000	521.83	465.75	56.08 Sale
11/07/12	02/17/12	24,000	927.57	745.20	182.37 Sale
12/04/12	02/17/12	33,000	1,249.05	1,024.65	224.40 Sale
2 tax lots for 12/20/12 Total proceeds (and cost when required) reported to the IRS:					
	02/17/12	15,000	557.98	465.75	92.23 Sale
	04/27/12	6,000	223.19	197.81	25.38 Sale
12/20/12	VARIOUS	21,000	781.17	663.56	117.61 Total of 2 lots
Security total:			3,479.62	2,899.16	580.46
CENTRICA PLC SPON ADR NEW / CUSIP: 15639K300 / Symbol: CPYYY					
12/04/12	02/08/12	3,000	64.04	55.95	8.09 Sale
CHEVRON CORP NEW / CUSIP: 166764100 / Symbol: CVX					
11/01/12	05/03/12	10,000	1,111.08	1,056.19	54.89 Sale
CHINA MOBILE LIMITED SPONSORED ADR / CUSIP: 16941M109 / Symbol: CHL					
02/08/12	08/15/11	4,000	202.15	192.68	9.47 Sale
06/14/12	02/08/12	1,000	50.81	50.96	-0.15 Sale
Security total:			252.96	243.64	9.32
CIELO S A SPONSORED ADR / CUSIP: 171778202 / Symbol: CIOXY					
02/08/12	09/26/11	1,000	32.51	23.07	9.44 Sale
06/15/12	09/26/11	1,000	27.37	19.23	8.14 Sale
Security total:			59.88	42.30	17.58

Oppenheimer & Co. Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSC0	6,000	121.19	10/28/11	111.53	9.66	Sale	
02/08/12							
3 tax lots for 05/14/12. Total proceeds (and cost when required) reported to the IRS.							
	78,000	1,311.93	10/28/11	1,449.91	-137.98	Sale	
	34,000	571.86	11/11/11	646.68	-74.82	Sale	
	24,000	403.67	01/13/12	456.24	-52.57	Sale	
05/14/12	136,000	2,287.46	VARIOUS	2,552.83	-265.37	Total of 3 lots	
Security total:		2,408.65		2,664.36	-255.71		
COCA COLA HELLENIC BTTLG CO SA SPONSORED ADR / CUSIP: 1912EP104 / Symbol: CCH							
02/08/12	2,000	39.27	07/22/11	53.16	-13.89	Sale	
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol: COP							
02/08/12	2,000	144.25	09/28/11	128.48	15.77	Sale	
06/14/12	1,000	54.42	09/28/11	48.84	5.58	Sale	
06/15/12	2,000	109.97	09/28/11	97.68	12.29	Sale	
Security total:		308.64		275.00	33.64		
CORNING INC / CUSIP: 219350105 / Symbol: GLW							
2 tax lots for 11/01/12. Total proceeds (and cost when required) reported to the IRS.							
	10,000	117.09	02/08/12	137.89	-20.80	Sale	
	33,000	386.42	08/20/12	395.01	-8.59	Sale	
11/01/12	43,000	503.51	VARIOUS	532.90	-29.39	Total of 2 lots	
COSTCO WHSL CORP NEW / CUSIP: 22160K105 / Symbol: COST							
01/13/12	11,000	884.27	01/13/11	787.13	97.14	Sale	
CULLEN FROST BANKERS INC / CUSIP: 229899109 / Symbol: CFR							
3 tax lots for 01/10/12. Total proceeds (and cost when required) reported to the IRS.							
	13,000	722.65	01/13/11	786.37	-63.72	Sale	
	9,000	500.30	04/04/11	537.30	-37.00	Sale	
	4,000	222.35	07/22/11	223.08	-0.73	Sale	
01/10/12	26,000	1,445.30	VARIOUS	1,546.75	-101.45	Total of 3 lots	

Oppenheimer & Co. Inc. Account: G781366481
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss - Additional information	Notes
DANONE SPONSORED ADR / CUSIP: 23636T100 / Symbol: DANOY	9 000	107.45	02/08/12	116.55	-9.10	Sale
DENBURY RES INC COM NEW / CUSIP: 247916208 / Symbol: DNR	10 000	152.28	02/08/12	193.50	-41.22	Sale
DEUTSCHE BOERSE ADR / CUSIP: 251542106 / Symbol: DBOEY	26 000	147.93	11/26/12	146.28	1.65	Sale
DEVON ENERGY CORP NEW / CUSIP: 25179M103 / Symbol: DVN	9 000	574.18	10/05/11	504.63	69.55	Sale
05/03/12	12 000	794.64	04/30/12	834.84	-40.20	Sale
06/14/12	2 000	112.85	04/30/12	139.14	-26.29	Sale
12/04/12	18 000	934.37	04/30/12	1,252.26	-317.89	Sale
Security total:		2,416.04		2,730.87	-314.83	

DOW CHEM CO / CUSIP: 260543103 / Symbol: DOW

2 tax lots for 06/06/12. Total proceeds (and cost when required) reported to the IRS.

06/06/12	176 000	5,545.82	08/10/11	5,055.83	489.99	Sale
	7 000	220.57	02/08/12	236.24	-15.67	Sale
	183 000	5,766.39	VARIOUS	5,292.07	474.32	Total of 2 lots
06/14/12	1 000	31.65	04/04/12	33.58	-1.93	Sale
06/15/12	1 000	32.97	04/04/12	33.58	-0.61	Sale
12/04/12	27 000	791.65	04/04/12	906.69	-115.04	Sale
Security total:		6,622.66		6,265.92	356.74	

DRESSER-RAND GROUP INC / CUSIP: 261608103 / Symbol: DRC

2 tax lots for 02/08/12. Total proceeds (and cost when required) reported to the IRS

02/08/12	2 000	105.99	05/31/11	104.10	1.89	Sale
	1 000	52.99	09/14/11	44.62	8.37	Sale
	3 000	158.98	VARIOUS	148.72	10.26	Total of 2 lots

E M C CORP MASS / CUSIP: 268648102 / Symbol: EMC

02/08/12	4 000	105.04	02/06/12	104.81	0.23	Sale
06/14/12	1 000	23.75	02/06/12	26.20	-2.45	Sale
06/15/12	2 000	49.77	02/06/12	52.40	-2.63	Sale

Oppenheimer & Co. Inc. Account G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
E M C CORP MASS / CUSIP: 268648102 / Symbol: EMC (cont'd)							
12/04/12	2,000	49.89	02/06/12	52.40	-2.51	Sale	
	Security total:	228.45		235.81	-7.36		
ENI S P A SPONSORED ADR / CUSIP: 26874R108 / Symbol: E							
12/04/12	1,000	47.83	02/08/12	45.68	2.15	Sale	
EATON CORP / CUSIP: 278058102 / Symbol:							
02/17/12	10,000	509.10	06/22/11	486.85	22.25	Sale	
06/14/12	3,000	117.74	06/22/11	146.05	-28.31	Sale	
06/15/12	2,000	79.27	06/22/11	97.37	-18.10	Sale	
2 tax lots for 12/03/12 Total proceeds (and cost when required) reported to the IRS.							
12/03/12	7,000	361.59	02/08/12	360.08	1.51	Tender	53
	37,000	1,911.24	05/22/12	1,578.42	332.82	Tender	53
	44,000	2,272.83	VARIOUS	1,938.50	334.33	Total of 2 lots	
	Security total:	2,978.94		2,668.77	310.17		
EATON VANCE CORP COM NON VTG / CUSIP: 278285103 / Symbol: EV							
11/07/12	14,000	396.47	11/02/12	409.83	-13.36	Sale	
12/04/12	10,000	317.78	11/02/12	292.74	25.04	Sale	
	Security total:	714.25		702.57	11.68		
EBAY INC / CUSIP: 278642103 / Symbol: EBAY							
06/14/12	6,000	239.69	03/13/12	222.12	17.57	Sale	
2 tax lots for 06/15/12. Total proceeds (and cost when required) reported to the IRS.							
06/15/12	8,000	325.35	03/13/12	296.16	29.19	Sale	
	1,000	40.66	04/04/12	35.72	4.94	Sale	
	9,000	366.01	VARIOUS	331.88	34.13	Total of 2 lots	
10/11/12	18,000	853.90	03/13/12	666.36	187.54	Sale	
10/18/12	2,000	100.53	03/13/12	74.04	26.49	Sale	
12/04/12	4,000	207.51	03/13/12	148.08	59.43	Sale	
	Security total:	1,767.64		1,442.48	325.16		
EMERSON ELEC CO / CUSIP: 291011104 / Symbol: EMR							
03/06/12	15,000	731.76	08/12/11	692.17	39.59	Sale	

Oppenheimer & Co.-Inc. Account: G781366468
2012 **Proceeds from Broker and Barter Exchange Transactions** (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 9949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
ENERGEN CORP / CUSIP: 29265N108 / Symbol: EGN							
2 tax lots for 02/08/12. Total proceeds (and cost when required) reported to the IRS.							
	1,000	49.94	07/21/11	62.02	-12.08	Sale	
	2,000	99.89	07/22/11	124.24	-24.35	Sale	
02/08/12	3,000	149.83	VARIOUS	186.26	-36.43	Total of 2 lots	
06/15/12	2,000	89.11	07/21/11	124.05	-34.94	Sale	
	Security total:	238.94		310.31	-71.37		
ENERGY CORP NEW / CUSIP: 29364G103 / Symbol: ETR							
10/04/12	4,000	282.87	06/22/12	267.02	15.85	Sale	
12/04/12	6,000	378.05	06/22/12	400.54	-22.49	Sale	
	Security total:	660.92		667.56	-6.64		
EXELIS INC / CUSIP: 30162A108 / Symbol: XLS							
06/14/12	4,000	39.91	01/20/12	40.16	-0.25	Sale	
06/15/12	2,000	19.97	01/20/12	20.08	-0.11	Sale	
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.							
	29,000	330.30	01/20/12	291.19	39.11	Sale	
	18,000	205.01	02/08/12	180.18	24.83	Sale	
12/04/12	47,000	535.31	VARIOUS	471.37	63.94	Total of 2 lots	
	Security total:	595.19		531.61	63.58		
EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol: ESRX							
04/18/12	0,000	52.52	04/18/12	0.00	52.52	Cash in lieu	
06/14/12	2,000	107.93	04/03/12	112.41	-4.48	Sale	
06/15/12	3,000	162.59	04/03/12	168.62	-6.03	Sale	
3 tax lots for 10/05/12. Total proceeds (and cost when required) reported to the IRS.							
	1,000	65.03	04/03/12	56.20	8.83	Sale	
	2,000	130.07	04/03/12	112.41	17.66	Sale	
	9,000	585.34	04/03/12	505.85	79.49	Sale	
10/05/12	12,000	780.44	VARIOUS	674.46	105.98	Total of 3 lots	
	Security total:	1,103.48		955.49	147.99		
FEI CO / CUSIP: 30241L109 / Symbol: FEIC							
10/18/12	1,000	51.48	10/02/12	50.17	1.31	Sale	

Oppenheimer & Co. Inc. Account G781366468
2012 **Proceeds from Broker and Barter Exchange Transactions**
 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of \$ stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain/loss	Additional information	Notes
FEI CO / CUSIP: 30241L109 / Symbol: FEIC (cont'd)							
12/04/12	7,000	373.09	10/02/12	351.18	21.91	Sale	
	Security total	424.57		401.35	23.22		
F M C CORP COM NEW / CUSIP: 302491303 / Symbol: FMC							
02/08/12	1,000	93.70	07/22/11	91.90	1.80	Sale	
FMC TECHNOLOGIES INC / CUSIP: 30249U101 / Symbol: FTI							
02/08/12	1,000	54.02	07/22/11	45.97	8.05	Sale	
FAMILY DLR STORES INC / CUSIP: 307000109 / Symbol: FDO							
12/04/12	4,000	282.39	10/05/12	276.31	6.08	Sale	
FANUC CORPORATION ADR / CUSIP: 307305102 / Symbol: FANUY							
12/04/12	1,000	28.24	08/20/12	28.10	0.14	Sale	
FIFTH THIRD BANCORP / CUSIP: 316773100 / Symbol: FITB							
09/20/12	5,000	77.79	06/18/12	65.05	12.74	Sale	
10/18/12	6,000	90.35	06/18/12	78.06	12.29	Sale	
12/04/12	31,000	438.33	06/18/12	403.31	35.02	Sale	
	Security total	606.47		546.42	60.05		
FLUOR CORP NEW / CUSIP: 343412102 / Symbol: FLR							
3 tax lots for 02/24/12. Total proceeds (and cost when required) reported to the IRS.							
	4,000	254.51	05/31/11	274.24	-19.73	Sale	
	2,000	127.25	07/22/11	135.26	-8.01	Sale	
	2,000	127.25	02/08/12	118.08	9.17	Sale	
02/24/12	8,000	509.01	VARIOUS	527.58	-18.57	Total of 3 lots	
FORD MTR CO DEL COM PAR \$0.01 / CUSIP: 345370860 / Symbol: F							
12/04/12	120,000	1,357.28	11/07/12	1,334.28	23.00	Sale	
FOSSIL INC / CUSIP: 349882100 / Symbol: FOSL							
06/14/12	1,000	71.08	02/06/12	100.51	-29.43	Sale	
06/15/12	1,000	74.46	02/06/12	100.51	-26.05	Sale	
12/04/12	4,000	342.87	02/06/12	402.05	-59.18	Sale	
	Security total:	488.41		603.07	-114.66		

Oppenheimer & Co. Inc.

Account: G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
FRANCE TELECOM SPONSORED ADR / CUSIP: 35177Q105 / Symbol: FTE	3 000	32.12	02/08/12	46.44	-14.32	Sale	
12/04/12							
FULTON FINL CORP PA / CUSIP: 360271100 / Symbol: FULT	93 000	970.86	01/13/11	948.45	22.41	Sale	
01/10/12							
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.							
	33.000	318.28	01/19/12	313.15	5.13	Sale	
	4.000	38.57	02/08/12	38.88	-0.31	Sale	
12/04/12	37.000	356.85	VARIOUS	352.03	4.82	Total of 2 lots	
Security total.							
		1,327.71		1,300.48	27.23		
GALAXY ENTMT GROUP LTD SPONSORED ADR / CUSIP: 36318L104 / Symbol: GXVEY	1 000	24.09	04/19/12	30.10	-6.01	Sale	
06/14/12							
GAZPROM O A O SPON ADR / CUSIP: 368287207 / Symbol: OGZPY	1 000	12.65	07/22/11	14.55	-1.90	Sale	
02/08/12							
GENERAL ELECTRIC CO / CUSIP: 369604103 / Symbol: GE	42.000	806.02	10/26/11	688.98	117.04	Sale	
02/08/12							
2 tax lots for 06/14/12. Total proceeds (and cost when required) reported to the IRS.							
	9.000	176.21	10/26/11	147.64	28.57	Sale	
	3.000	58.73	12/20/11	51.78	6.95	Sale	
06/14/12	12.000	234.94	VARIOUS	199.42	35.52	Total of 2 lots	
06/15/12	5.000	99.74	10/26/11	82.02	17.72	Sale	
10/18/12	1.000	22.88	10/26/11	16.40	6.48	Sale	
12/20/12	49 000	1,032.40	12/20/11	845.73	186.67	Sale	
Security total.							
		2,195.98		1,832.55	363.43		
GENESEE & WYO INC CL A / CUSIP: 371559105 / Symbol: GWR							
2 tax lots for 02/08/12. Total proceeds (and cost when required) reported to the IRS.							
	2.000	121.96	04/18/11	116.83	5.13	Sale	
	1.000	60.97	07/22/11	59.52	1.45	Sale	
02/08/12	3 000	182.93	VARIOUS	176.35	6.58	Total of 2 lots	
04/09/12	33.000	1,761.58	04/19/11	1,927.62	-166.04	Sale	
Security total:							
		1,944.51		2,103.97	-159.46		

Oppenheimer & Co. Inc.		Account: G781366468	
2012		(continued)	
Proceeds from Broker and Barter Exchange Transactions			

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss Additional information	Notes
GLAXOSMITHKLINE PLC SPONSORED ADR / CUSIP: 37733W105 / Symbol: GSK	1,000	45.18	11/02/11	43.32	1.86	Sale
06/14/12						
2 tax lots for 07/27/12. Total proceeds (and cost when required) reported to the IRS.						
	8,000	365.27	11/02/11	346.55	18.72	Sale
	5,000	228.29	02/09/12	225.60	2.69	Sale
07/27/12	13,000	593.56	VARIOUS	572.15	21.41	Total of 2 lots
		638.74		615.47	23.27	
Security total:						
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOG						
06/15/12	1,000	559.59	02/03/12	596.40	-36.81	Sale
12/04/12	2,000	1,382.26	11/07/12	1,338.10	44.16	Sale
		1,941.85		1,934.50	7.35	
Security total:						
GREAT PLAINS ENERGY INC / CUSIP: 391164100 / Symbol: GXP						
12/06/12	5,000	101.34	12/13/11	105.70	-4.36	Sale
GREIF INC CL A / CUSIP: 397624107 / Symbol: GEF						
06/14/12	1,000	41.65	08/09/11	49.58	-7.93	Sale
GRUPO TELEVISIA SA SPON ADR REP ORD / CUSIP: 40049J206 / Symbol: TV						
12/04/12	2,000	46.59	02/08/12	40.14	6.45	Sale
GUESS INC / CUSIP: 401617105 / Symbol: GES						
05/24/12	1,000	26.33	02/08/12	33.47	-7.14	Sale
HSBC HLDGS PLC SPON ADR NEW / CUSIP: 404280406 / Symbol: HBC						
12/04/12	1,000	51.24	02/08/12	44.85	6.39	Sale
HAIN CELESTIAL GROUP INC / CUSIP: 405217100 / Symbol: HAIN						
06/05/12	7,000	377.43	02/06/12	293.91	83.52	Sale
06/14/12	1,000	52.95	02/06/12	41.99	10.96	Sale
06/15/12	1,000	54.11	02/06/12	41.99	12.12	Sale
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.						
	5,000	304.14	02/08/12	209.93	94.21	Sale
	3,000	182.48	02/08/12	121.86	60.62	Sale
12/04/12	8,000	486.62	VARIOUS	331.79	154.83	Total of 2 lots

Oppenheimer & Co., Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
Security total:		971.11		709.68	261.43		
HALLIBURTON CO / CUSIP: 406216101 / Symbol: HAL							
3 tax lots for 04/26/12. Total proceeds (and cost when required) reported to the IRS.							
	11,000	368.56	05/24/11	523.05	-154.49	Sale	
	16,000	536.09	06/02/11	809.11	-273.02	Sale	
	10,000	335.06	01/28/12	362.29	-27.23	Sale	
04/26/12	37,000	1,239.71	VARIOUS	1,694.45	-454.74	Total of 3 lots	
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.							
	10,000	331.60	05/24/12	311.60	20.00	Sale	
	22,000	729.52	05/24/12	685.52	44.00	Sale	
12/04/12	32,000	1,061.12	VARIOUS	997.12	64.00	Total of 2 lots	
Security total:		2,300.83		2,691.57	-390.74		
HENRY JACK & ASSOC INC / CUSIP: 426281101 / Symbol: JKHY							
12/04/12	9,000	349.82	08/27/12	332.82	17.00	Sale	
HEXCEL CORP NEW / CUSIP: 428291108 / Symbol: HXL							
02/08/12	4,000	106.19	09/30/11	89.96	16.23	Sale	
06/14/12	1,000	24.32	09/30/11	22.49	1.83	Sale	
06/15/12	3,000	73.44	09/30/11	67.47	5.97	Sale	
Security total:		203.95		179.92	24.03		
HITTITE MICROWAVE CORP / CUSIP: 43365Y104 / Symbol: HITT							
02/08/12	1,000	56.29	07/22/11	58.91	-2.62	Sale	
HOLOGIC INC / CUSIP: 436440101 / Symbol: HOLX							
2 tax lots for 05/01/12. Total proceeds (and cost when required) reported to the IRS.							
	24,000	455.62	08/04/11	407.04	48.58	Sale	
	1,000	18.98	02/08/12	20.59	-1.61	Sale	
05/01/12	25,000	474.60	VARIOUS	427.63	46.97	Total of 2 lots	
HORMEL FOODS CORP / CUSIP: 440452100 / Symbol: HRL							
02/08/12	3,000	87.41	05/20/11	90.68	-3.27	Sale	
03/02/12	67,000	1,905.39	05/20/11	2,025.13	-119.74	Sale	

Oppenheimer & Co. Inc. Account: C781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
	Security total:	1,992.80		2,115.81	-123.01		

HOSPIRA INC / CUSIP: 441060100 / Symbol: HSP
 12/04/12 4,000

-15.65 Sale

HUBBELL INC CL B / CUSIP: 443510201 / Symbol: HUBB

10/18/12 1,000

12/04/12 4,000

Security total:

84.57

328.59

413.16

83.73

334.91

418.64

0.84 Sale

-6.32 Sale

-5.48

HUNT J B TRANS SVCS INC / CUSIP: 445658107 / Symbol: JBHT

12/04/12 6,000

349.37

325.74

23.63 Sale

IDEXX LABS INC / CUSIP: 45168D104 / Symbol: IDXX

02/08/12 1,000

85.54

86.76

-1.22 Sale

ILLINOIS TOOL WKS INC / CUSIP: 452308109 / Symbol: ITW

2 tax lots for 06/06/12. Total proceeds (and cost when required) reported to the IRS.

120,000 6,596.25 08/11/11 5,416.10

1,000 54.96 02/08/12 56.07

121,000 6,651.21 VARIOUS 5,472.17

1,180.15 Sale

-1.11 Sale

1,179.04 Total of 2 lots

INDUSTRIAL & COML BK CHINA ADR / CUSIP: 455807107 / Symbol: IDCBY

12/04/12 2,000

26.67

28.46

-1.79 Sale

INFORMATICA CORP / CUSIP: 45666Q102 / Symbol: INF A

02/08/12 1,000

45.15

54.40

-9.25 Sale

INFOSYS LTD SPONSORED ADR / CUSIP: 456788108 / Symbol: INFY

06/14/12 1,000

44.22

44.52

-0.30 Sale

06/15/12 2,000

90.55

89.05

1.50 Sale

Security total:

134.77

133.57

1.20

INTERNATIONAL FLAVORS & FRAGRANC / CUSIP: 459506101 / Symbol: IFF

06/14/12 1,000

55.46

53.16

2.30 Sale

Oppenheimer & Co. Inc. 2012	Proceeds from Broker and Barter Exchange Transactions (continued)	Account: 6781366468
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1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
INTERNATIONAL PWR PLC SPONSORED ADR / CUSIP: 46018M104 / Symbol: IPRPY							
06/14/12	1,000	64.51	07/22/11	50.95	13.56	Sale	
07/25/12	1,000	65.01	02/08/12	104.58	11.38	Tender	
	Security total:	129.52			24.94		
JPMORGAN CHASE & CO COM / CUSIP: 46625H100 / Symbol: JPM							
02/08/12	14,000	534.65	06/28/11	552.27	-17.62	Sale	
06/14/12	5,000	171.79	06/28/11	197.24	-25.45	Sale	
06/15/12	2,000	69.89	06/28/11	78.90	-9.01	Sale	
	Security total:	776.33		828.41	-52.08		
JARDEN CORP / CUSIP: 471109108 / Symbol: JAH							
12/04/12	7,000	367.98	10/12/12	375.56	-7.58	Sale	
JOHNSON CTLS INC / CUSIP: 478366107 / Symbol: JCI							
12/04/12	2,000	55.25	11/28/12	54.91	0.34	Sale	
JULIUS BAER GROUP LTD ADR / CUSIP: 48137C108 / Symbol: JBAXY							
11/08/12	0,000	24.00	11/08/12	0.00	24.00	Cash in lieu	
JUNIPER NETWORKS INC / CUSIP: 48203R104 / Symbol: JNPR							
06/14/12	7,000	113.18	04/27/12	149.00	-35.82	Sale	
06/15/12	5,000	81.79	04/27/12	106.43	-24.64	Sale	
	Security total:	194.97		255.43	-60.46		
KBR INC / CUSIP: 48242W106 / Symbol: KBR							
12/04/12	5,000	139.65	02/08/12	167.15	-27.50	Sale	
KEPPEL LTD SPONSORED ADR / CUSIP: 492051305 / Symbol: KPELY							
12/04/12	2,000	34.89	02/08/12	34.70	0.19	Sale	
KIRBY CORP / CUSIP: 497266106 / Symbol: KEX							
02/08/12	2,000	132.67	04/05/11	115.12	17.55	Sale	
KOHLS CORP / CUSIP: 500255104 / Symbol: KSS							
06/14/12	1,000	43.87	09/29/11	48.26	-4.39	Sale	
06/15/12	1,000	44.36	09/29/11	48.26	-3.90	Sale	

Oppenheimer & Co. Inc.

Account G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

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KOHLS CORP / CUSIP: 500255104 / Symbol: KSS (cont'd)						
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS						
	1,000	43.81	02/08/12	50.07	-6.26	Sale
	11,000	482.00	08/20/12	569.91	-87.91	Sale
12/04/12	12,000	525.81	VARIOUS	619.98	-94.17	Total of 2 lots
Security total:		614.04		716.50	-102.46	
KONINKLIJKE AHOLD N V SPON ADR 2007 / CUSIP: 500467402 / Symbol: AHONY						
09/04/12	4,000	50.55	02/08/12	55.32	-4.77	Sale
2 tax lots for 10/16/12. Total proceeds (and cost when required) reported to the IRS.						
	1,000	12.48	02/08/12	13.83	-1.35	Sale
	18,000	224.81	04/17/12	248.76	-23.95	Sale
10/16/12	19,000	237.29	VARIOUS	262.59	-25.30	Total of 2 lots
Security total:		287.84		317.91	-30.07	
KRAFT FOODS GROUP INC / CUSIP: 50076Q106 / Symbol: KRFT						
10/02/12	0,000	14.98	10/02/12	0,00	14.98	Cash in lieu
12/11/12	1,000	44.75	07/16/12	13.80	30.95	Sale
Security total:		59.73		13.80	45.93	
L OREAL CO ADR / CUSIP: 502117203 / Symbol: LRLCY						
06/14/12	1,000	23.19	08/24/11	22.71	0.48	Sale
LAS VEGAS SANDS CORP / CUSIP: 517834107 / Symbol: LVS						
02/08/12	4,000	207.31	02/03/12	205.60	1.71	Sale
04/10/12	10,000	573.68	02/03/12	514.00	59.68	Sale
06/14/12	2,000	90.97	02/03/12	102.80	-11.83	Sale
3 tax lots for 08/20/12. Total proceeds (and cost when required) reported to the IRS						
	6,000	257.15	02/03/12	308.40	-51.25	Sale
	9,000	385.73	02/06/12	464.93	-79.20	Sale
	9,000	385.73	03/28/12	516.41	-130.68	Sale
08/20/12	24,000	1,028.61	VARIOUS	1,289.74	-261.13	Total of 3 lots
12/04/12	1,000	45.23	03/28/12	57.38	-12.15	Sale
Security total:		1,945.80		2,169.52	-223.72	

These columns are not reported to the IRS.

Gain or loss

Additional Information

Notes

Oppenheimer & Co. Inc. Account G781366468

2012

Proceeds from Broker and Barter Exchange Transactions (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

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LENDER PROCESSING SVCS INC / CUSIP: 52602E102 / Symbol: LPS							
12/04/12	17,000	415.79	11/16/12	403.58	12.21	Sale	
LIBERTY PPTY TR SH BEN INT / CUSIP: 531172104 / Symbol: LRY							
2 tax lots for 02/09/12. Total proceeds (and cost when required) reported to the IRS							
	15,000	501.37	04/29/11	527.69	-26.32	Sale	
	2,000	66.84	02/08/12	67.34	-0.50	Sale	
02/09/12	17,000	568.21	VARIOUS	595.03	-26.82	Total of 2 lots	
LIFE TECHNOLOGIES CORP / CUSIP: 53217V109 / Symbol: LIFE							
06/15/12	3,000	127.37	05/16/12	128.34	-0.97	Sale	
12/04/12	22,000	1,078.33	05/16/12	941.16	137.17	Sale	
	Security total:	1,205.70		1,069.50	136.20		
LINCOLN ELEC HLDGS INC / CUSIP: 533900106 / Symbol: LECO							
12/04/12	6,000	282.83	05/03/12	297.73	-14.90	Sale	
MACK CALL RLTY CORP REIT / CUSIP: 554489104 / Symbol: CLI							
06/15/12	3,000	82.76	02/09/12	87.15	-4.39	Sale	
12/04/12	10,000	256.79	02/09/12	290.50	-33.71	Sale	
	Security total:	339.55		377.65	-38.10		
MARKEL CORP / CUSIP: 570535104 / Symbol: MKL							
08/20/12	1,000	441.17	11/22/11	389.26	51.91	Sale	
12/04/12	1,000	486.26	02/23/12	407.15	79.11	Sale	
	Security total:	927.43		796.41	131.02		
MARSH & MCLENNAN COS INC / CUSIP: 571748102 / Symbol: MMC							
5 tax lots for 06/06/12. Total proceeds (and cost when required) reported to the IRS.							
	41,000	1,324.26	07/22/11	1,226.68	97.58	Sale	
	1,000	32.29	08/15/11	28.05	4.24	Sale	
	1,000	32.29	11/15/11	30.52	1.77	Sale	
	1,000	32.29	02/15/12	31.79	0.50	Sale	
	1,000	32.29	05/15/12	32.69	-0.40	Sale	
06/06/12	45,000	1,453.42	VARIOUS	1,349.73	103.69	Total of 5 lots	

Oppenheimer & Co. Inc.

Account: G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
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These columns are not reported to the IRS

MCCORMICK & CO INC COM NON VTG / CUSIP 579780206 / Symbol: MKC

2 tax lots for 11/28/12. Total proceeds (and cost when required) reported to the IRS

13.96 Sale
29.07 Sale
43.03 Total of 2 lots

MCDONALDS CORP / CUSIP: 580135101 / Symbol: MCD

320.97 Sale
801.70 Sale
334.48

MEDCO HEALTH SOLUTIONS INC / CUSIP: 58405U102 / Symbol: MHS

33.31 Sale
188.50 Tender
225.79 Tender
290.58 Tender
393.26 Tender
1,098.13 Total of 4 lots
1,131.44

MEDNAX INC / CUSIP: 58502B106 / Symbol: MD

2 tax lots for 02/08/12. Total proceeds (and cost when required) reported to the IRS.

21.78 Sale
8.04 Sale
29.82 Total of 2 lots
2.10 Sale
-2.66 Sale
29.26

MEDTRONIC INC / CUSIP: 585055106 / Symbol: MDT

8.94 Sale

MERCK & CO INC NEW / CUSIP 58933Y105 / Symbol: MRK

26.55 Sale

Oppenheimer & Co. Inc. Account G781368468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

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8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss - Additional information	Notes
METLIFE INC / CUSIP: 59156R108 / Symbol: MET	12,000	357.47	06/14/12	349.46	8.01	Sale
MICROS SYS INC / CUSIP: 594901100 / Symbol: MCRS	1,000	51.85	07/22/11	52.01	-0.16	Sale
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT	34,000	899.96	11/06/12	1,016.60	-116.64	Sale
MICROCHIP TECHNOLOGY INC / CUSIP: 595017104 / Symbol: MCHP						
2 tax lots for 04/17/12. Total proceeds (and cost when required) reported to the IRS:						
04/17/12	25,000	912.01	05/31/11	980.00	-67.99	Sale
	29,000	1,057.93	07/22/11	995.57	62.36	Sale
	54,000	1,969.94	VARIOUS	1,975.57	-5.63	Total of 2 lots
MID-AMER APT CMNTYS INC REIT / CUSIP: 59522J103 / Symbol: MAA						
06/14/12	1,000	67.29	10/03/11	57.56	9.73	Sale
08/20/12	4,000	270.79	10/03/11	230.23	40.56	Sale
	Security total:	338.08		287.79	50.29	
MITSUBISHI UFJ FINL GROUP INC SPONSORED ADR / CUSIP: 606822104 / Symbol: MTU						
12/04/12	2,000	9.07	02/08/12	10.02	-0.95	Sale
MOLEX INC CL A / CUSIP: 608554200 / Symbol: MOLXA						
12/04/12	1,000	21.58	05/16/12	20.36	1.22	Sale
MOLSON COORS BREWING CO CL B / CUSIP: 60871R209 / Symbol: TAP						
02/08/12	12,000	523.90	07/14/11	534.36	-10.46	Sale
06/14/12	5,000	198.13	07/14/11	222.65	-26.52	Sale
06/15/12	1,000	39.40	07/14/11	44.53	-5.13	Sale
	Security total:	759.43		801.54	-42.11	
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON						
2 tax lots for 02/08/12. Total proceeds (and cost when required) reported to the IRS:						
	1,000	79.06	08/18/11	66.52	12.54	Sale

Oppenheimer & Co. Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (Continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc. (cont'd)	1b - Date of acquisition	3 - Cost or other basis	Gain or loss - Additional information	Notes
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON (cont'd)						
	1,000	79.06	09/20/11	68.68	10.38	Sale
02/08/12	2,000	158.12	VARIOUS	135.20	22.92	Total of 2 lots
06/14/12	1,000	78.20	09/20/11	68.68	9.52	Sale
	Security total:	236.32		203.88	32.44	
MOSAIC CO NEW / CUSIP: 61945C103 / Symbol: MOS						
06/14/12	1,000	47.04	06/22/11	64.19	-17.15	Sale
12/04/12	3,000	159.35	02/08/12	169.22	-9.87	Sale
	Security total:	206.39		233.41	-27.02	
MYLAN INC / CUSIP: 628530107 / Symbol: MYL						
02/08/12	3,000	68.00	07/22/11	71.64	-3.64	Sale
NII HLDGS INC CL B NEW / CUSIP: 62913F201 / Symbol: NIHD						
12/04/12	29,000	145.86	02/27/12	554.48	-408.62	Sale
NYSE EURONEXT / CUSIP: 628491101 / Symbol: NYX						
06/14/12	1,000	24.14	08/24/11	26.92	-2.78	Sale
12/04/12	14,000	324.59	02/08/12	393.25	-68.66	Sale
	Security total:	348.73		420.17	-71.44	
NATIONAL OILWELL VARCO INC / CUSIP: 637071101 / Symbol: NOV						
2 tax lots for 05/10/12. Total proceeds (and cost when required) reported to the IRS.						
	3,000	206.60	05/31/11	216.33	-9.73	Sale
	2,000	137.73	02/08/12	163.70	-25.97	Sale
05/10/12	5,000	344.33	VARIOUS	380.03	-35.70	Total of 2 lots
NAVISTAR INTL CORP NEW / CUSIP: 63934E108 / Symbol: NAV						
06/14/12	3,000	84.50	07/29/11	155.25	-70.75	Sale
3 tax lots for 06/19/12. Total proceeds (and cost when required) reported to the IRS.						
	24,000	704.38	07/29/11	1,242.02	-537.64	Sale
	36,000	1,056.57	08/24/11	1,431.22	-374.65	Sale
	3,000	88.04	02/08/12	139.35	-51.31	Sale
06/19/12	63,000	1,848.99	VARIOUS	2,812.59	-963.60	Total of 3 lots

Oppenheimer & Co., Inc. Account: G781366468
2012-2013
Proceeds from Broker and Barter Exchange Transactions
 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
Security total:		1,933.49		2,967.84	-1,034.35		
NETGEAR INC / CUSIP: 64111Q104 / Symbol: NTGR							
02/08/12	1,000	39.13	02/06/12	41.99	-2.86	Sale	
06/14/12	1,000	30.88	02/06/12	41.99	-11.11	Sale	
06/15/12	2,000	62.91	02/06/12	83.99	-21.08	Sale	
12/04/12	9,000	314.00	02/06/12	377.93	-63.93	Sale	
Security total:		446.92		545.90	-98.98		
NIDEC CORP SPONSORED ADR / CUSIP: 654090109 / Symbol: NJ							
02/08/12	3,000	73.13	07/22/11	75.60	-2.47	Sale	
12/20/12	11,000	156.42	08/20/12	233.42	-77.00	Sale	
Security total:		229.55		309.02	-79.47		
NINTENDO LTD ADR / CUSIP: 654445303 / Symbol: NTDOY							
2 tax lots for 05/10/12. Total proceeds (and cost when required) reported to the IRS.							
	16,000	258.31	01/25/12	279.84	-21.53	Sale	
	6,000	96.86	02/08/12	104.28	-7.42	Sale	
05/10/12	22,000	355.17	VARIOUS	384.12	-28.95	Total of 2 lots	
NORDSON CORP / CUSIP: 655663102 / Symbol: NDSN							
02/06/12	1,000	48.22	07/22/11	56.28	-8.06	Sale	
NORFOLK SOUTHERN CORP / CUSIP: 655844108 / Symbol: NSC							
12/04/12	7,000	420.34	02/08/12	506.03	-85.69	Sale	
NORTHEAST UTILS / CUSIP: 664397106 / Symbol: NU							
06/22/12	1,000	37.85	02/08/12	35.44	2.41	Sale	
NOVARTIS A G SPONSORED ADR / CUSIP: 66987V109 / Symbol: NVS							
01/10/12	10,000	569.18	01/13/11	571.20	-2.02	Sale	
02/08/12	1,000	56.14	04/27/11	57.99	-1.85	Sale	
05/02/12	8,000	436.72	07/22/11	494.32	-57.60	Sale	
05/09/12	1,000	53.29	01/13/12	55.75	-2.46	Sale	
Security total:		1,115.33		1,179.26	-63.93		

Oppenheimer & Co. Inc.

Account G781366468

2012

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

1a - Date of Sale or exchange	1b - Date of acquisition	1c - Proceeds of stocks, bonds, etc.	1d - Symbol	1e - Quantity	1f - Description / CUSIP / 1d - Symbol	2a - Proceeds of stocks, bonds, etc.	2b - Date of acquisition	2c - Cost or other basis	2d - Gain or loss	2e - Additional Information	2f - Notes
06/15/12	05/18/12	84.71	OXY	1.000	OCCIDENTAL PETE CORP DEL / CUSIP: 674599105 / Symbol: OXY	84.71	05/18/12	80.46	4.25	Sale	
12/04/12	08/27/12	373.16	OIL	7.000	OCEANEERING INTL INC / CUSIP: 675232102 / Symbol: OIL	373.16	08/27/12	384.62	-11.46	Sale	
10/19/12	03/02/12	74.89	OIS	1.000	OIL STS INTL INC / CUSIP: 678026105 / Symbol: OIS	74.89	03/02/12	82.91	-8.02	Sale	
12/04/12	03/02/12	276.91		4.000		276.91	03/02/12	331.63	-54.72	Sale	
		351.80			Security total:	351.80		414.54	-62.74		
06/14/12	09/28/11	46.79	OMC	1.000	DMNICOM GROUP INC / CUSIP: 681919106 / Symbol: OMC	46.79	09/28/11	38.80	7.99	Sale	
12/04/12	05/22/12	408.09	ONN	61.000	ON SEMICONDUCTOR CORP / CUSIP: 682189105 / Symbol: ONN	408.09	05/22/12	417.84	-9.75	Sale	
01/13/12	02/16/11	189.27	ORCL	7.000	ORACLE CORP / CUSIP: 68389X105 / Symbol: ORCL	189.27	02/16/11	230.86	-41.59	Sale	
02/08/12	02/16/11	28.68		1.000		28.68	02/16/11	32.98	-4.30	Sale	
		217.95			Security total:	217.95		263.84	-45.89		
02/08/12	08/02/11	484.24	PNC	8.000	PNC FINL SVCS GROUP INC / CUSIP: 693475105 / Symbol: PNC	484.24	08/02/11	429.10	55.14	Sale	
06/14/12	08/02/11	172.55		3.000		172.55	08/02/11	160.91	11.64	Sale	
06/15/12	08/02/11	58.67		1.000		58.67	08/02/11	53.64	5.03	Sale	
		715.46			Security total:	715.46		643.65	71.81		
02/08/12	09/07/11	581.49	PPL	21.000	PPL CORP / CUSIP: 69351T106 / Symbol: PPL	581.49	09/07/11	595.78	-14.29	Sale	
06/14/12	09/07/11	83.00		3.000		83.00	09/07/11	85.11	-2.11	Sale	
06/15/12	09/07/11	193.96		7.000		193.96	09/07/11	198.59	-4.63	Sale	
		858.45			Security total:	858.45		879.48	-21.03		
05/22/12	05/17/12	399.51	PSO	22.000	PEARSON PLC SPONSORED ADR / CUSIP: 705015105 / Symbol: PSO	399.51	05/17/12	399.19	0.32	Sale	
12/04/12	05/17/12	116.33		6.000		116.33	05/17/12	108.87	7.46	Sale	
		515.84			Security total:	515.84		508.06	7.78		

Oppenheimer & Co., Inc. Account: G781366468
2012 **Proceeds from Broker and Barter Exchange Transactions** (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
PEOPLES UNITED FINANCIAL INC / CUSIP: 712704105 / Symbol: PBCT							
12/04/12	3,000	36.26	02/08/12	37.53	-1.27	Sale	
PERKINELMER INC / CUSIP: 714046109 / Symbol: PKI							
02/08/12	25,000	653.76	10/18/11	469.50	184.26	Sale	
PETROLEO BRASILEIRO SA PETROBR SPONSORED ADR / CUSIP: 71854V408 / Symbol: PBR							
12/04/12	3,000	53.98	07/27/12	60.54	-6.56	Sale	
PETSMART INC / CUSIP: 716768106 / Symbol: PETM							
06/14/12	1,000	66.28	06/07/12	66.06	0.22	Sale	
06/15/12	2,000	133.21	06/07/12	132.13	1.08	Sale	
06/20/12	2,000	137.91	02/08/12	107.86	30.05	Sale	
12/04/12	6,000	421.67	06/07/12	396.38	25.29	Sale	
	Security total:	759.07		702.43	56.64		
PFIZER INC / CUSIP: 717081103 / Symbol: PFE							
06/14/12	8,000	180.08	05/09/12	177.77	2.31	Sale	
06/15/12	24,000	542.38	05/09/12	533.30	9.08	Sale	
10/18/12	6,000	156.00	05/09/12	133.32	22.68	Sale	
12/04/12	10,000	252.08	05/09/12	222.21	29.87	Sale	
	Security total:	1,130.54		1,066.60	63.94		
PHILIP MORRIS INTL INC / CUSIP: 718172109 / Symbol: PM							
07/11/12	1,000	90.41	07/09/12	90.77	-0.36	Sale	
07/17/12	6,000	539.68	07/09/12	544.61	-4.93	Sale	
	Security total:	630.09		635.38	-5.29		
PHILLIPS 66 / CUSIP: 718546104 / Symbol: PSX							
05/01/12	0,000	16.90	05/01/12	0.00	16.90	Cash in lieu	
06/14/12	2,000	66.69	09/28/11	62.35	4.34	Sale	
07/19/12	39,000	1,397.08	09/28/11	1,215.89	181.19	Sale	
	Security total:	1,480.67		1,278.24	202.43		
PLUM CREEK TIMBER CO INC REIT / CUSIP: 729251108 / Symbol: PCL							
12/04/12	1,000	42.61	02/08/12	39.43	3.18	Sale	

Oppenheimer & Co. Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
POTASH CORP SASK INC / CUSIP: 73755L107 / Symbol: POT							
06/14/12	1 000	37.49	03/16/12	45.63	-8.14	Sale	
09/04/12	6 000	243.53	03/16/12	273.77	-30.24	Sale	
Security total:		281.02		319.40	-38.38		
PROCTER & GAMBLE CO / CUSIP: 742718108 / Symbol: PG							
12/04/12	3 000	208.25	06/22/12	180.03	28.22	Sale	
PRUDENTIAL FINL INC / CUSIP: 744320102 / Symbol: PRU							
06/14/12	3 000	142.16	11/10/11	158.27	-16.11	Sale	
06/15/12	1 000	48.43	11/10/11	52.76	-4.33	Sale	
06/22/12	21 000	990.58	11/10/11	1,107.92	-117.34	Sale	
09/20/12	1 000	57.20	11/10/11	52.76	4.44	Sale	
3 tax lots for 11/06/12. Total proceeds (and cost when required) reported to the IRS.							
	19 000	1,101.69	11/10/11	1,002.40	99.29	Sale	
	3 000	173.95	02/08/12	180.24	-6.29	Sale	
	9 000	521.85	06/21/12	423.47	98.38	Sale	
11/06/12	31 000	1,797.49	VARIOUS	1,606.11	191.38	Total of 3 lots	
12/04/12	15 000	782.98	06/21/12	705.78	77.20	Sale	
Security total:		3,818.84		3,683.60	135.24		
PUBLICIS S A NEW SPONSORED ADR / CUSIP: 74463M106 / Symbol: PUBGY							
3 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.							
	2 000	28.77	04/12/12	27.21	1.56	Sale	
	1 000	14.39	07/17/12	11.60	2.79	Sale	
	1 000	14.39	07/17/12	11.60	2.79	Sale	
12/04/12	4 000	57.55	VARIOUS	50.41	7.14	Total of 3 lots	
QUEST SOFTWARE INC / CUSIP: 74834T103 / Symbol: QSFT							
06/14/12	2 000	52.09	06/30/11	45.87	6.22	Sale	
06/15/12	3 000	78.38	06/30/11	68.80	9.58	Sale	
2 tax lots for 06/26/12. Total proceeds (and cost when required) reported to the IRS.							
	49 000	1,355.30	06/30/11	1,123.79	231.51	Sale	
	3 000	82.97	07/14/11	58.57	24.40	Sale	
06/26/12	52 000	1,438.27	VARIOUS	1,182.36	255.91	Total of 2 lots	

Oppenheimer & Co. Inc. Account: G781366488
2012
Proceeds from Broker and Barter Exchange Transactions
(continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
QUEST SOFTWARE INC / CUSIP: 74834T103 / Symbol: QSFT (cont'd)							
2 tax lots for 07/11/12. Total proceeds (and cost when required) reported to the IRS.							
	16,000	445.59	07/14/11	312.35	133.24	Sale	
	4,000	111.39	09/07/11	56.64	44.75	Sale	
07/11/12	20,000	556.98	VARIOUS	378.99	177.99	Total of 2 lots	
2 tax lots for 07/30/12. Total proceeds (and cost when required) reported to the IRS.							
	12,000	335.03	09/07/11	199.92	135.11	Sale	
	20,000	558.38	03/13/12	476.97	81.41	Sale	
07/30/12	32,000	893.41	VARIOUS	676.89	216.52	Total of 2 lots	
	Security total:	3,019.13		2,352.91	666.22		
QUESTCOR PHARMACEUTICALS INC / CUSIP: 74835Y101 / Symbol: QCOR							
07/12/12	47,000	2,138.92	05/30/12	1,959.53	179.39	Sale	
REGIONS FINL CORP NEW / CUSIP: 7591EP100 / Symbol: RF							
12/04/12	121,000	781.65	11/01/12	804.65	-23.00	Sale	
REINSURANCE GROUP AMER INC COM NEW / CUSIP: 759351604 / Symbol: RGA							
02/08/12	1,000	56.34	08/22/11	48.24	8.10	Sale	
ROCKWELL AUTOMATION INC / CUSIP: 773903109 / Symbol: ROK							
12/04/12	12,000	944.61	10/26/12	828.88	115.73	Sale	
ROCKWOOD HLDGS INC / CUSIP: 774415103 / Symbol: ROC							
06/14/12	1,000	45.34	05/24/12	47.40	-2.06	Sale	
06/15/12	1,000	45.42	05/24/12	47.40	-1.98	Sale	
08/20/12	12,000	566.74	05/24/12	568.75	-2.01	Sale	
3 tax lots for 10/10/12. Total proceeds (and cost when required) reported to the IRS.							
	4,000	189.00	05/24/12	189.58	-0.58	Sale	
	5,000	236.25	06/05/12	223.80	12.45	Sale	
	30,000	1,417.54	07/30/12	1,316.08	101.46	Sale	
10/10/12	39,000	1,842.79	VARIOUS	1,729.46	113.33	Total of 3 lots	
	Security total:	2,500.29		2,393.01	107.28		

Oppenheimer & Co. Inc. Account # 781366468
Proceeds from Broker and Barter Exchange Transactions
 (continued)
 2012

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of* stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
These columns are not reported to the IRS							
ROYAL DUTCH SHELL PLC SPON ADR B / CUSIP: 780259107 / Symbol: RDSB							
06/14/12	1,000	67.28	02/08/12	73.86	-6.58	Sale	
12/04/12	1,000	69.19	02/08/12	73.86	-4.67	Sale	
	Security total:	136.47		147.72	-11.25		
ROYAL DUTCH SHELL PLC SPONS ADR A / CUSIP: 780259206 / Symbol: RDSA							
02/08/12	4,000	290.03	05/18/11	280.60	9.43	Sale	
SAP AG SPON ADR / CUSIP: 803054204 / Symbol: SAP							
06/14/12	1,000	57.35	06/05/12	55.63	1.72	Sale	
09/28/12	1,000	71.23	06/05/12	55.63	15.60	Sale	
	Security total:	128.58		111.26	17.32		
SAUER-DANFOSS INC / CUSIP: 804137107 / Symbol: SHS							
06/14/12	1,000	36.13	05/10/12	43.11	-6.98	Sale	
06/15/12	1,000	36.61	05/10/12	43.11	-6.50	Sale	
11/29/12	17,000	892.74	05/10/12	732.93	159.81	Sale	
3 tax lots for 11/30/12. Total proceeds (and cost when required) reported to the IRS.							
	5,000	262.11	05/10/12	215.57	46.54	Sale	
	16,000	838.75	06/05/12	563.36	275.39	Sale	
	13,000	681.48	06/12/12	480.34	201.14	Sale	
11/30/12	34,000	1,782.34	VARIOUS	1,259.27	523.07	Total of 3 lots	
	Security total:	2,747.82		2,078.42	669.40		
SCHNEIDER ELECTRIC SA ADR / CUSIP: 80687P106 / Symbol: SBGSY							
2 tax lots for 06/14/12. Total proceeds (and cost when required) reported to the IRS.							
	5,000	50.89	06/11/11	64.28	-13.39	Sale	
	3,000	30.53	09/28/11	31.80	-1.27	Sale	
06/14/12	8,000	81.42	VARIOUS	96.08	-14.66	Total of 2 lots	
06/15/12	5,000	52.99	08/11/11	64.28	-11.29	Sale	
	Security total:	134.41		160.36	-25.95		
SECOM LTD ADR / CUSIP: 813113206 / Symbol: SOMLY							
03/21/12	3,000	36.02	06/23/11	35.66	0.36	Sale	
06/14/12	4,000	41.90	06/23/11	47.54	-5.64	Sale	
12/04/12	4,000	51.07	10/11/12	49.80	1.27	Sale	

Oppenheimer & Co., Inc. Account G781366468
Proceeds from Broker and Barter Exchange Transactions
 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or Loss	Additional Information	Notes
	Security total:	128.99		133.00	-4.01		
SHIRE PLC SPONSORED ADR / CUSIP: 82481R106 / Symbol: SHPG	1 000	89.36	02/08/12	103.60	-14.24	Sale	
SIGMA ALDRICH CORP / CUSIP: 826552101 / Symbol: SIAL	1 000	71.08	07/22/11	73.78	-2.70	Sale	
SIGNATURE BK NEW YORK N Y / CUSIP: 82669G104 / Symbol: SBNY	1 000	58.35	07/22/11	60.84	-2.49	Sale	
SOLARWINDS INC / CUSIP: 83416B109 / Symbol: SWI	2 000	87.25	02/16/12	75.49	11.76	Sale	
06/15/12	2 000	89.69	02/16/12	75.49	14.20	Sale	
10/05/12	11 000	628.87	02/16/12	415.18	213.69	Sale	
10/12/12	44 000	2,355.32	02/16/12	1,680.74	694.58	Sale	
	Security total:	3,161.13		2,226.90	934.23		
STANLEY BLACK & DECKER INC / CUSIP: 854502101 / Symbol: SWK	7 000	503.35	12/13/12	504.42	-1.07	Sale	
STARBUCKS CORP / CUSIP: 855244109 / Symbol: SBUX	4 000	205.27	06/15/12	209.28	-4.01	Sale	
STATE STR CORP / CUSIP: 857477103 / Symbol: STT	1 000	43.02	09/26/11	31.43	11.59	Sale	
06/14/12	2 000	87.71	09/26/11	62.85	24.86	Sale	
06/15/12	1 000	43.85	02/08/12	41.43	2.42	Sale	
06/18/12	3 000	131.56	VARIOUS	104.28	27.28	Total of 2 lots	
	41 000	1,789.60	09/26/11	1,288.49	501.11	Sale	
	Security total:	1,964.18		1,424.20	539.98		
SUNCOR ENERGY INC NEW / CUSIP: 867224107 / Symbol: SU	1 000	27.93	07/12/11	39.63	-11.70	Sale	
06/14/12	1 000	32.59	05/23/12	27.46	5.13	Sale	
	Security total:	60.52		67.09	-6.57		

2 tax lots for 06/15/12. Total proceeds (and cost when required) reported to the IRS.

Oppenheimer & Co. Inc. Account: 0781366168
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
SUPERIOR ENERGY SVCS INC / CUSIP: 868157108 / Symbol: SPN							
06/14/12	1,000	19.22	02/29/12	29.34	-10.12	Sale	
	2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.						
	5,000	101.35	02/29/12	146.70	-45.35	Sale	
	38,000	770.30	08/22/12	711.36	58.94	Sale	
12/04/12	43,000	871.65	VARIOUS	858.06	13.59	Total of 2 lots	
	Security total:						
		890.87		887.40	3.47		
SWEDBANK A B SPD ADR / CUSIP: 870195104 / Symbol: SWDBY							
02/08/12	5,000	81.19	03/09/11	87.80	-6.61	Sale	
SYMANTEC CORP / CUSIP: 871503108 / Symbol: SYMC							
06/14/12	8,000	115.27	01/26/12	134.89	-19.62	Sale	
08/20/12	85,000	1,518.91	01/26/12	1,433.24	85.67	Sale	
	2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS						
	14,000	260.95	01/26/12	236.06	24.89	Sale	
	82,000	1,528.45	10/01/12	1,466.15	62.30	Sale	
12/04/12	96,000	1,789.40	VARIOUS	1,702.21	87.19	Total of 2 lots	
	2 tax lots for 12/19/12. Total proceeds (and cost when required) reported to the IRS						
	13,000	242.31	01/26/12	219.20	23.11	Sale	
	21,000	391.43	02/08/12	370.65	20.78	Sale	
12/19/12	34,000	633.74	VARIOUS	589.85	43.89	Total of 2 lots	
	Security total:						
		4,057.32		3,860.19	197.13		
SYNOPSYS INC / CUSIP: 871607107 / Symbol: SNPS							
06/14/12	1,000	28.67	01/17/12	28.02	0.65	Sale	
06/15/12	2,000	57.77	01/17/12	56.04	1.73	Sale	
	3 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.						
	5,000	166.24	01/17/12	140.11	26.13	Sale	
	6,000	199.49	02/08/12	179.40	20.09	Sale	
	1,000	33.24	07/17/12	30.42	2.82	Sale	
12/04/12	12,000	398.97	VARIOUS	349.93	49.04	Total of 3 lots	
	Security total:						
		485.41		433.99	51.42		

Oppenheimer & Co., Inc.

Account G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

6 - COVERED tax lot for which cost basis is reported to the IRS**

1c - SHORT-TERM TRANSACTIONS
Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR / CUSIP: 874039100 / Symbol: TSM							
04/09/12	19 000	282.74	07/22/11	245.46	37.28	Sale	
06/05/12	22 000	289.97	07/22/11	284.22	5.75	Sale	
12/04/12	4 000	67.19	09/20/12	59.24	7.95	Sale	
	Security total:	639.90		588.92	50.98		
TARGET CORP / CUSIP: 87612E106 / Symbol: TGT							
06/14/12	4,000	233.39	06/21/11	190.10	43.29	Sale	
06/15/12	5,000	291.49	06/21/11	237.62	53.87	Sale	
	Security total:	524.88		427.72	97.16		
TERADATA CORP DEL / CUSIP: 88076W103 / Symbol: TDC							
02/08/12	2,000	115.61	05/06/11	107.19	8.42	Sale	
TESCO PLC SPONSORED ADR / CUSIP: 881575302 / Symbol: TSCDY							
02/23/12	14 000	208.59	05/04/11	284.65	-76.06	Sale	
3 tax lots for 02/24/12. Total proceeds (and cost when required) reported to the IRS							
	14,000	213.35	05/31/11	290.78	-77.43	Sale	
	3 000	45.71	07/22/11	58.68	-12.97	Sale	
	16,000	243.83	02/08/12	252.96	-9.13	Sale	
02/24/12	33,000	502.89	VARIOUS	602.42	-99.53	Total of 3 lots	
06/14/12	2,000	28.59	02/27/12	30.44	-1.85	Sale	
2 tax lots for 08/08/12. Total proceeds (and cost when required) reported to the IRS.							
	4,000	61.35	02/27/12	60.88	0.47	Sale	
	16 000	245.43	03/28/12	257.60	-12.17	Sale	
08/08/12	20,000	306.78	VARIOUS	318.48	-11.70	Total of 2 lots	
	Security total:	1,046.85		1,235.99	-189.14		
TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA							
10/18/12	1,000	41.41	07/02/12	39.22	2.19	Sale	
2 tax lots for 12/13/12. Total proceeds (and cost when required) reported to the IRS							
	19 000	740.08	02/08/12	855.00	-114.92	Sale	
	28,000	1,090.64	07/02/12	1,098.23	-7.59	Sale	
12/13/12	47,000	1,830.72	VARIOUS	1,953.23	-122.51	Total of 2 lots	
	Security total:	1,872.13		1,992.45	-120.32		

Openheimer & Co. Inc. Account G781366468

2012 **Proceeds from Broker and Barter Exchange Transactions** (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
TIME WARNER INC COM NEW / CUSIP: 887317303 / Symbol: TWX							
2 tax lots for 04/04/12 Total proceeds (and cost when required) reported to the IRS.							
	12,000	443.27	10/13/11	396.96	46.31	Sale	
	10,000	369.39	02/08/12	383.59	-14.20	Sale	
04/04/12	22,000	812.66	VARIOUS	780.55	32.11	Total of 2 lots	
TIME WARNER CABLE INC / CUSIP: 88732J207 / Symbol: TWC							
06/14/12	1,000	77.65	04/17/12	81.95	-4.30	Sale	
12/04/12	1,000	94.93	04/17/12	81.95	12.98	Sale	
	Security total:	172.58		163.90	8.68		
TOTAL S A SPONSORED ADR / CUSIP: 89151E109 / Symbol TOT							
03/21/12	1,000	54.93	07/22/11	56.85	-1.92	Sale	
12/04/12	1,000	50.33	04/17/12	49.19	1.14	Sale	
	Security total	105.26		106.04	-0.78		
TOWERS WATSON & CO CL A / CUSIP: 891894107 / Symbol: TW							
06/14/12	1,000	59.86	01/03/12	59.98	-0.12	Sale	
06/15/12	1,000	60.74	01/03/12	59.98	0.76	Sale	
12/04/12	14,000	746.32	08/20/12	762.86	-16.54	Sale	
	Security total:	866.92		882.82	-15.90		
UNILEVER N V N Y SHS NEW / CUSIP: 904784709 / Symbol: UN							
06/14/12	1,000	32.12	01/13/12	32.22	-0.10	Sale	
2 tax lots for 10/24/12. Total proceeds (and cost when required) reported to the IRS.							
	42,000	1,498.75	11/30/11	1,425.63	73.12	Sale	
	16,000	570.95	01/13/12	515.52	55.43	Sale	
10/24/12	58,000	2,069.70	VARIOUS	1,941.15	128.55	Total of 2 lots	
	Security total:	2,101.82		1,973.37	128.45		
UNITED NAT FOODS INC / CUSIP: 911163103 / Symbol: UNFI							
09/26/12	1,000	58.16	07/17/12	54.44	3.72	Sale	
UNITED THERAPEUTICS CORP DEL / CUSIP: 91307C102 / Symbol: UTHR							
12/04/12	7,000	370.36	07/23/12	355.36	15.00	Sale	

Oppenheimer & Co. Inc. Account: G781366468
2012 Proceeds from Broker and Barter Exchange Transactions
 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
UNIVERSAL HLTH SVCS INC CL B / CUSIP 913903100 / Symbol: UHS							
07/11/12	8,000	333.59	07/02/12	343.05	-9.46	Sale	
12/04/12	5,000	225.39	07/02/12	214.40	10.99	Sale	
	Security total:	558.98		557.45	1.53		
VALERO ENERGY CORP NEW / CUSIP 91913Y100 / Symbol: VLO							
12/04/12	42,000	1,354.92	11/19/12	1,265.88	89.04	Sale	
VALSPAR CORP / CUSIP: 920355104 / Symbol: VAL							
2 tax lots for 02/08/12. Total proceeds (and cost when required) reported to the IRS.							
	3,000	132.26	08/23/11	91.22	41.04	Sale	
02/08/12	5,000	220.45	10/31/11	176.15	44.30	Sale	
	8,000	352.71	VARIOUS	267.37	85.34	Total of 2 lots	
02/16/12	27,000	1,240.72	08/23/11	820.95	419.77	Sale	
	Security total:	1,593.43		1,088.32	505.11		
VIMPELCOM LTD SPONSORED ADR / CUSIP 92719A106 / Symbol: VIP							
08/23/12	4,000	41.27	02/08/12	45.36	-4.09	Sale	
VISA INC COM CL A / CUSIP 92826C839 / Symbol: V							
02/08/12	1,000	107.59	07/01/11	87.34	20.25	Sale	
06/14/12	1,000	116.08	07/08/11	89.72	26.36	Sale	
06/15/12	1,000	118.13	07/08/11	89.72	28.41	Sale	
	Security total:	341.80		266.78	75.02		
VODAFONE GROUP PLC NEW SPONS ADR NEW / CUSIP: 92857W209 / Symbol: VOD							
03/15/12	21,000	551.03	04/04/11	607.50	-56.47	Sale	
03/28/12	22,000	608.77	04/04/11	636.43	-27.66	Sale	
05/18/12	15,000	390.33	04/02/12	425.55	-35.22	Sale	
	Security total:	1,550.13		1,669.48	-119.35		
WPP PLC ADR / CUSIP: 92833H101 / Symbol:							
06/14/12	1,000	57.69	10/28/11	55.33	2.36	Sale	
WABTEC CORP / CUSIP: 929740108 / Symbol: WAB							
12/04/12	4,000	346.23	04/09/12	295.96	50.27	Sale	

Openheimer & Co. Inc. Account G781366468
2012
Proceeds from Broker and Barter Exchange Transactions
 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	These columns are not reported to the IRS Gain or loss - Additional Information	Notes
WATERS CORP / CUSIP: 941848103 / Symbol: WAT	1,000	79.88	03/20/12	92.89	-13.01	Sale
2 tax lots for 07/12/12. Total proceeds (and cost when required) reported to the IRS.						
06/14/12	18,000	1,361.59	03/20/12	1,671.98	-310.39	Sale
07/12/12	5,000	378.22	04/09/12	449.95	-71.73	Sale
	23,000	1,739.81	VARIOUS	2,121.93	-382.12	Total of 2 lots
Security total:		1,819.69		2,214.82	-395.13	
WEINGARTEN RLTY INVS SH BEN INT / CUSIP: 948741103 / Symbol: WRI						
02/08/12	2,000	50.11	08/22/11	44.34	5.77	Sale
03/09/12	17,000	420.97	08/22/11	376.93	44.04	Sale
Security total:		471.08		421.27	49.81	
WELLS FARGO & CO NEW / CUSIP: 949746101 / Symbol: WFC						
2 tax lots for 06/15/12. Total proceeds (and cost when required) reported to the IRS.						
06/15/12	7,000	226.71	03/15/12	237.19	-10.48	Sale
12/04/12	12,000	388.66	05/08/12	395.56	-6.90	Sale
	19,000	615.37	VARIOUS	632.75	-17.38	Total of 2 lots
12/04/12	5,000	163.04	10/04/12	179.49	-16.45	Sale
Security total:		778.41		812.24	-33.83	
WHITING PETE CORP NEW / CUSIP: 966387102 / Symbol: WLL						
12/04/12	9,000	384.39	11/29/12	376.39	8.00	Sale
ZEBRA TECHNOLOGIES CORP CL A / CUSIP: 989207105 / Symbol: ZBRA						
12/04/12	1,000	39.96	02/08/12	39.46	0.50	Sale
COOPER INDUSTRIES PLC / CUSIP: G24140108 / Symbol: CBE						
05/22/12	3,000	211.17	02/08/12	182.94	28.23	Sale
EATON CORP PLC / CUSIP: G29183103 / Symbol: ETN						
12/07/12	35,000	1,830.50	12/03/12	1,807.92	22.58	Sale
INGERSOLL-RAND PLC / CUSIP: G47791101 / Symbol: IR						
02/21/12	18,000	733.12	12/15/11	555.66	177.46	Sale

Oppenheimer & Co., Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
INGERSOLL-RAND PLC / CUSIP: G47791101 / Symbol: IR (cont'd)							
2 tax lots for 05/14/12. Total proceeds (and cost when required) reported to the IRS							
	61,000	2,656.52	12/15/11	1,883.06	773.46	Sale	
	1,000	43.54	02/08/12	38.06	5.48	Sale	
05/14/12	62,000	2,700.06	VARIOUS	1,921.12	778.94	Total of 2 lots	
Security total:		3,433.18		2,476.78	956.40		
PARTNERRE LTD / CUSIP: G6852T105 / Symbol: PRE							
06/15/12	1,000	71.48	01/26/12	64.66	6.82	Sale	
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.							
	5,000	408.74	01/26/12	323.30	85.44	Sale	
	4,000	326.99	02/08/12	272.54	54.45	Sale	
12/04/12	9,000	735.73	VARIOUS	595.84	139.89	Total of 2 lots	
Security total:		807.21		660.50	146.71		
WILLIS GROUP HOLDINGS PUBLIC L / CUSIP: G96666105 / Symbol: WSH							
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS							
	4,000	138.59	02/08/12	157.48	-18.89	Sale	
	21,000	727.63	11/07/12	718.83	8.80	Sale	
12/04/12	25,000	866.22	VARIOUS	876.31	-10.09	Total of 2 lots	
ACE LTD / CUSIP: H0023R105 / Symbol: ACE							
12/04/12	2,000	158.67	02/08/12	147.40	11.27	Sale	
WEATHERFORD INTERNATIONAL LTD REG SHS / CUSIP: H27013103 / Symbol: WFT							
11/14/12	41,000	374.73	08/10/12	525.21	-150.48	Sale	
NOBLE CORPORATION BAAR NAMEN -AKT / CUSIP: H5833N103 / Symbol: NE							
12/04/12	8,000	285.75	11/15/12	271.79	13.96	Sale	
YAMADA DENKI CO LTD JAPAN / CUSIP: J95534103 / Symbol: YMDAF							
06/14/12	1,000	45.74	06/16/11	82.50	-36.76	Sale	
11/28/12	1,000	34.24	02/08/12	66.65	-32.41	Sale	
Security total:		79.98		149.15	-69.17		

Oppenheimer & Co. Inc. Account: G781366488
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - SHORT-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part I, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
CORE LABORATORIES N V / CUSIP: N22717107 / Symbol: CLB	1,000	120.39	07/22/11	110.24	10.15	Sale	
AVAGO TECHNOLOGIES LTD / CUSIP: Y0486S104 / Symbol: AVGO	3,000	104.96	11/02/12	102.12	2.84	Sale	
Totals:		178,300.24		170,158.99	8,141.24		

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
ARCELORMITTAL SA LUXEMBOURG B/E 4.25% DUE 02/25/15 / CUSIP: 03938LAV6 / Symbol: 12/03/12	2,000,000	2,010.80	07/18/12	2,039.66	-28.86	Sale Original basis: \$2,039.66	
AVIS BUDGET CAR RENT LLC /AVIS B/E 7.75% DUE 05/15/16 / CUSIP: 053773AF4 / Symbol: 02/17/12	5,000,000	5,156.25	02/08/12	5,208.90	-52.65	Sale Original basis: \$5,208.90	
DEAN FOODS CO NEW B/E 7% DUE 06/01/16 / CUSIP: 242370AA2 / Symbol: 08/09/12	5,000,000	5,287.50	02/08/12	5,125.00	162.50	Sale Original basis: \$5,125.00	
DOW CHEM CO B/E 4.25% DUE 11/15/20 / CUSIP: 260543CC5 / Symbol: 08/01/12	10,000,000	11,078.80	02/08/12	10,785.60	293.20	Sale Original basis: \$10,785.60	
GENERAL ELEC CAP CORP MTN BE B/E 4.8% DUE 05/01/13 / CUSIP: 36962G3T9 / Symbol: 01/05/12	7,000,000	7,331.17	02/03/11	7,465.57	-134.40	Sale Original basis: \$7,465.57	
GENERAL ELEC CAP CORP MTN BE B/E 2.9% DUE 01/09/17 / CUSIP: 36962G5N0 / Symbol: 03/14/12	5,000,000	5,187.65	01/04/12	4,992.85	194.80	Sale Original basis: \$4,992.85	

Oppenheimer & Co. Inc. Account: G781366468
2012
Proceeds from Broker and Barter Exchange Transactions
 (continued)

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
GENERAL ELEC CAP CORP MTN BE B/E 2.9% DUE 01/09/17 / CUSIP: 36962G5N0 / Symbol: (cont'd)							
2 tax lots for 08/15/12. Total proceeds (and cost when required) reported to the IRS.							
	2,000,000	2,056.12	01/04/12	1,997.14	58.98	Sale	Original basis: \$1,997.14
	10,000,000	10,280.60	02/08/12	10,383.70	-103.10	Sale	Original basis: \$10,383.70
06/15/12	12,000,000	12,336.72	VARIOUS	12,380.84	-44.12	Total of 2 lots	
Security total:		17,524.37		17,373.69	150.68		
MAIN STAY HIGH YIELD CLASS A OPEN END / CUSIP: 56062F772 / Symbol: MHCAX							
3 tax lots for 02/08/12. Total proceeds (and cost when required) reported to the IRS.							
	146,164	866.75	03/03/11	875.52	-8.77	Sale	
	148,832	882.57	05/04/11	894.48	-11.91	Sale	
	195,991	1,162.23	10/27/11	1,148.51	13.72	Sale	
02/08/12	490,987	2,911.55	VARIOUS	2,918.51	-6.96	Total of 3 lots	
UNITED STATES TREAS NTS 4.375% DUE 08/15/12 / CUSIP: 912828AJ8 / Symbol:							
02/08/12	20,000,000	20,439.06	08/15/11	20,844.60	-405.54	Sale	Original basis: \$20,844.60
UNITED STATES TREAS NTS 4% DUE 11/15/12 / CUSIP: 912828AP5 / Symbol:							
02/08/12	8,000,000	8,235.63	02/08/11	8,463.78	-228.15	Sale	Original basis: \$8,463.78
UNITED STATES TREAS NTS B/E 4.25% DUE 08/15/14 / CUSIP: 912828CT5 / Symbol:							
02/08/12	12,000,000	13,187.81	02/08/11	13,119.88	67.93	Sale	Original basis: \$13,119.88
UNITED STATES TREAS NTS B/E 3.125% DUE 05/15/19 / CUSIP: 912828KQ2 / Symbol:							
06/14/12	5,000,000	5,694.53	10/14/11	5,462.13	232.40	Sale	Original basis: \$5,462.13
UNITED STATES TREAS NTS B/E 1% DUE 04/30/12 / CUSIP: 912828NB2 / Symbol:							
02/10/12	6,000,000	6,011.72	08/10/11	6,038.69	-26.97	Sale	Original basis: \$6,038.69

Oppenheimer & Co., Inc. Account: G781366488
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

SHORT-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part I, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	2b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
UNIVERSAL HOSPITAL T/S 8.50% DUE 06/01/15 UNDERLY 91359PAF7 / CUSIP: 91399AFR1 / Symbol:							
2 tax lots for 08/07/12. Total proceeds (and cost when required) reported to the IRS							
	5,000,000	5,075.00	02/08/12	5,200.00	-125.00	Redemption Original basis: \$5,200.00	
	0.000	50.00	08/07/12	0.00	50.00	Cash in lieu	
08/07/12	5,000,000	5,125.00	VARIOUS	5,200.00	-75.00	Total of 2 lots	
Totals:		109,994.19		110,046.01	-51.82		

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
ABB LTD SPONSORED ADR / CUSIP: 000375204 / Symbol: ABB							
06/14/12	2,000	31.93	03/03/11	49.04	-17.11	Sale	
06/15/12	1,000	15.99	03/03/11	24.52	-8.53	Sale	
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.							
	2,000	39.33	03/03/11	49.04	-9.71	Sale	
	5,000	98.34	03/03/11	122.60	-24.26	Sale	
12/04/12	7,000	137.67	VARIOUS	171.84	-33.97	Total of 2 lots	
Security total:		185.59		245.20	-59.61		
AFLAC INC / CUSIP: 001055102 / Symbol: AFL							
12/04/12	2,000	105.31	10/28/11	93.63	11.68	Sale	
AGL RES INC / CUSIP: 001204106 / Symbol: GAS							
06/14/12	1,000	38.18	01/13/11	36.51	1.67	Sale	
06/15/12	1,000	38.74	01/13/11	36.51	2.23	Sale	
12/04/12	6,000	232.67	01/13/11	219.06	13.61	Sale	
Security total:		309.59		292.08	17.51		
AT&T INC / CUSIP: 00206R102 / Symbol: T							
06/13/12	3,000	104.99	02/01/11	81.96	23.03	Sale	

Oppenheimer & Co. Inc. Account # G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
AT&T INC / CUSIP: 00206R102 / Symbol: T (cont'd)							
06/14/12	7,000	248.56	02/03/11	195.08	53.48	Sale	
06/15/12	11,000	392.68	02/03/11	306.55	86.13	Sale	
10/18/12	3,000	108.14	02/03/11	83.61	24.53	Sale	
12/04/12	8,000	271.59	02/03/11	222.95	48.64	Sale	
Security total:		1,125.96		890.15	235.81		

ABBOTT LABS / CUSIP: 002824100 / Symbol: ABT

2 tax lots for 06/14/12. Total proceeds (and cost when required) reported to the IRS.

06/14/12	2,000	123.69	01/13/11	94.60	29.09	Sale	
	2,000	123.69	02/03/11	92.02	31.67	Sale	
	4,000	247.38	VARIOUS	186.62	60.76	Total of 2 lots	
06/15/12	6,000	375.10	01/13/11	283.80	91.30	Sale	
08/06/12	13,000	865.42	02/03/11	598.13	267.29	Sale	
09/20/12	3,000	208.97	01/13/11	141.90	67.07	Sale	
10/18/12	4,000	264.03	01/13/11	189.20	74.83	Sale	
12/04/12	21,000	1,360.21	01/13/11	993.30	366.91	Sale	
Security total		3,321.11		2,392.95	928.16		

ADIDAS AG ADR / CUSIP: 00687A107 / Symbol: ADDYY

06/15/12	1,000	36.66	01/13/11	31.80	4.86	Sale	
12/04/12	1,000	44.06	07/22/11	39.63	4.43	Sale	
Security total:		80.72		71.43	9.29		

ADTRAN INC / CUSIP: 00738A106 / Symbol: ADTN

03/20/12	42,000	1,300.29	02/22/11	1,876.30	-576.01	Sale	
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ADVANCE AUTO PARTS INC / CUSIP: 00751Y106 / Symbol: AAP

02/14/12	7,000	558.43	01/13/11	442.19	116.24	Sale	
03/22/12	4,000	356.24	01/13/11	252.68	103.56	Sale	
Security total:		914.67		694.87	219.80		

AECOM TECHNOLOGY CORP DELAWARE / CUSIP: 00766T100 / Symbol: ACM

05/10/12	62,000	1,111.81	01/13/11	1,722.97	-611.16	Sale	
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AETNA INC NEW / CUSIP: 00817Y108 / Symbol: AET

06/14/12	3,000	124.94	01/13/11	98.28	26.66	Sale	
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Oppenheimer & Co. Inc. Account: G781366468
2012
Proceeds from Broker and Barter-Exchange Transactions
(continued)

Ic - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / Id - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
AETNA INC NEW / CUSIP: 00817Y108 / Symbol: AET (cont'd)							
2 tax lots for 11/07/12. Total proceeds (and cost when required) reported to the IRS.							
	3,000	128.84	01/13/11	98.28	30.56	Sale	
	29,000	1,245.52	10/25/11	1,120.85	124.67	Sale	
11/07/12	32,000	1,374.36	VARIOUS	1,219.13	155.23	Total of 2 lots	
12/04/12	10,000	434.70	10/25/11	386.50	48.20	Sale	
Security total:		1,934.00		1,703.91	230.09		
AKZO NOBEL NV SPONSORED ADR / CUSIP: 010199305 / Symbol: AKZOY							
06/14/12	3,000	46.55	01/13/11	61.43	-14.88	Sale	
06/15/12	4,000	63.15	01/13/11	81.91	-18.76	Sale	
12/04/12	2,000	38.85	01/13/11	40.95	-2.10	Sale	
Security total:		148.55		184.29	-35.74		
ALEXANDER & BALDWIN HLDGS INC / CUSIP: 014481105 / Symbol:							
06/12/12	42,000	2,079.26	01/13/11	1,729.98	349.28	Sale	
ALTRIA GROUP INC / CUSIP: 02209S103 / Symbol: MO							
02/08/12	12,000	345.47	01/13/11	288.96	56.51	Sale	
05/16/12	1,000	31.88	01/13/11	24.08	7.80	Sale	
06/14/12	5,000	167.89	01/13/11	120.40	47.49	Sale	
06/15/12	3,000	101.36	01/13/11	72.24	29.12	Sale	
12/04/12	6,000	200.81	01/13/11	144.48	56.33	Sale	
Security total:		847.41		650.16	197.25		
AMERICA MOVIL SAB DE CV SPON ADR L SHS / CUSIP: 02364W105 / Symbol: AMX							
06/14/12	1,000	23.96	01/13/11	28.97	-5.01	Sale	
06/15/12	1,000	24.09	01/13/11	28.97	-4.88	Sale	
2 tax lots for 08/02/12. Total proceeds (and cost when required) reported to the IRS.							
	1,000	26.23	01/13/11	28.97	-2.74	Sale	
08/02/12	21,000	550.87	01/13/11	608.37	-57.50	Sale	
	22,000	577.10	VARIOUS	637.34	-60.24	Total of 2 lots	
Security total:		625.15		695.28	-70.13		
AMERICAN FINL GROUP INC OHIO / CUSIP: 025932104 / Symbol: AFG							
06/14/12	1,000	39.04	01/13/11	32.60	6.44	Sale	

Oppenheimer & Co. Inc.

Account: G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Notes
AMERICAN FINL GROUP INC OHIO / CUSIP: 025932104 / Symbol: AFG (cont'd)						
06/15/12	2,000	78.75	01/13/11	65.20	13.55	Sale
12/04/12	6,000	235.85	01/13/11	195.60	40.25	Sale
	Security total:	353.64		293.40	60.24	
AMERISOURCEBERGEN CORP / CUSIP: 03073E105 / Symbol: ABC						
08/30/12	16,000	608.20	08/09/11	559.20	49.00	Sale
ANIXTER INTL INC / CUSIP: 035290105 / Symbol: AXE						
01/20/12	22,000	1,455.38	01/13/11	1,360.26	95.12	Sale
ANSYS INC / CUSIP: 03662Q105 / Symbol: ANSS						
02/08/12	2,000	126.05	01/13/11	107.52	18.53	Sale
06/14/12	1,000	62.44	01/13/11	53.76	8.68	Sale
06/15/12	1,000	64.41	01/13/11	53.76	10.65	Sale
12/04/12	7,000	461.21	01/13/11	376.34	84.87	Sale
	Security total:	714.11		591.38	122.73	
APACHE CORP / CUSIP: 037411105 / Symbol: APA						
2 tax lots for 04/24/12. Total proceeds (and cost when required) reported to the IRS.						
	34,000	3,096.91	01/13/11	4,264.95	-1,168.04	Sale
04/24/12	1,000	91.08	02/16/11	120.04	-28.96	Sale
	35,000	3,187.99	VARIOUS	4,384.99	-1,197.00	Total of 2 lots
APPLIED MATLS INC / CUSIP: 038222105 / Symbol: AMAT						
02/08/12	10,000	129.99	01/13/11	142.58	-12.59	Sale
02/29/12	97,000	1,190.16	01/13/11	1,383.05	-192.89	Sale
06/14/12	15,000	160.64	01/13/11	213.87	-53.23	Sale
12/04/12	11,000	119.23	01/13/11	156.84	-37.61	Sale
	Security total	1,600.02		1,896.34	-296.32	
APTARGROUP INC / CUSIP: 038336103 / Symbol: ATR						
12/04/12	8,000	376.58	10/13/11	386.72	-10.14	Sale
AXA SA SPONSORED ADR / CUSIP: 054536107 / Symbol: AXAHY						
06/14/12	4,000	47.67	01/13/11	75.92	-28.25	Sale

Oppenheimer & Co. Inc. Account: G781366468
2012
Proceeds from Broker and Barter Exchange Transactions
(continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
BG GROUP PLC ADR FIN INST N / CUSIP: 055434203 / Symbol: BRGYY							
06/14/12	2,000	37.89	01/13/11	41.70	-3.81	Sale	
06/15/12	1,000	19.60	01/13/11	20.85	-1.25	Sale	
12/04/12	3,000	52.10	08/09/11	60.13	-8.03	Sale	
	Security total:	109.59		122.68	-13.09		
BOK FINL CORP COM NEW / CUSIP: 055610201 / Symbol: BOKF							
06/14/12	1,000	54.76	01/13/11	54.06	0.70	Sale	
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.							
	3,000	164.66	01/13/11	162.18	2.48	Sale	
	3,000	164.66	07/22/11	165.36	-0.70	Sale	
12/04/12	6,000	329.32	VARIOUS	327.54	1.78	Total of 2 lots	
	Security total:	384.08		381.60	2.48		
BNP PARIBAS SPONSORED ADR / CUSIP: 05565A202 / Symbol: BNPQY							
05/18/12	30,000	494.98	01/13/11	1,031.10	-536.12	Sale	
BANCO BRADESCO S A SP ADR PFD NEW / CUSIP: 059460303 / Symbol: BBD							
06/14/12	2,000	30.05	01/13/11	40.54	-10.49	Sale	
BANCO SANTANDER SA ADR / CUSIP: 05964H105 / Symbol: SAN							
03/21/12	5,000	40.89	01/13/11	57.00	-16.11	Sale	
2 tax lots for 07/31/12. Total proceeds (and cost when required) reported to the IRS.							
	102,000	612.57	01/13/11	1,162.79	-550.22	Sale	
	35,000	210.19	06/22/11	401.45	-191.26	Sale	
07/31/12	137,000	822.76	VARIOUS	1,564.24	-741.48	Total of 2 lots	
	Security total:	863.65		1,621.24	-757.59		
BED BATH & BEYOND INC / CUSIP: 075896100 / Symbol: BBBY							
2 tax lots for 06/11/12. Total proceeds (and cost when required) reported to the IRS							
	10,000	701.44	04/13/11	542.79	158.65	Sale	
	2,000	140.28	04/18/11	111.41	28.87	Sale	
06/11/12	12,000	841.72	VARIOUS	654.20	187.52	Total of 2 lots	
06/14/12	1,000	71.51	04/18/11	55.71	15.80	Sale	
12/04/12	14,000	814.08	04/18/11	779.89	34.19	Sale	

Oppenheimer & Co. Inc. **Account: 6781366468**

2012 **Proceeds from Broker and Barter Exchange Transactions** **(continued)**

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
	Security total:	1,727.31		1,489.80	237.51		

BHP BILLITON LTD SPONSORED ADR / CUSIP: 088606108 / Symbol: BHP

06/15/12	2,000	129.87	01/13/11	182.18	-52.31	Sale	
12/04/12	1,000	71.73	01/13/11	91.09	-19.36	Sale	
	Security total:	201.60		273.27	-71.67		

BLACKROCK INC / CUSIP: 09247X101 / Symbol: BLK

12/04/12	2,000	385.31	10/28/11	340.00	45.31	Sale	
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BRITISH AMERN TOB PLC SPONSORED ADR / CUSIP: 110448107 / Symbol: BTI

06/15/12	1,000	97.65	01/13/11	73.53	24.12	Sale	
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BUCKLE INC / CUSIP: 118440106 / Symbol: BKE

12/04/12	8,000	402.71	09/02/11	311.23	91.48	Sale	
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BUNZL PUB LTD CO SPON ADR NEW / CUSIP: 120738406 / Symbol: BZLFY

06/15/12	1,000	79.76	01/13/11	61.70	18.06	Sale	
07/18/12	3,000	254.99	01/13/11	185.10	69.89	Sale	
	Security total:	334.75		246.80	87.95		

CNOOC LTD SPONSORED ADR / CUSIP: 126132109 / Symbol: CEO

12/04/12	1,000	212.27	01/14/11	247.02	-34.75	Sale	
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CRH PLC ADR / CUSIP: 12626K203 / Symbol: CRH

03/28/12	34,000	689.50	01/13/11	671.50	18.00	Sale	
06/14/12	2,000	34.44	01/13/11	39.50	-5.06	Sale	
06/15/12	1,000	17.97	01/13/11	19.75	-1.78	Sale	
12/04/12	2,000	36.87	01/13/11	39.50	-2.63	Sale	
	Security total:	778.78		770.25	8.53		

CVS CAREMARK CORPORATION / CUSIP: 126650100 / Symbol: CVS

12/04/12	17,000	783.70	08/12/11	567.63	216.07	Sale	
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CAMDEN PPTY TR SH BEN INT / CUSIP: 133131102 / Symbol: CPT

12/04/12	5,000	331.59	08/30/11	330.83	0.76	Sale	
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Oppenheimer & Co. Inc. **Proceeds from Broker and Barter Exchange Transactions** **Account: G781366468**
2012. **(continued)**

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
CAMERON INTERNATIONAL CORP / CUSIP: 13342B105 / Symbol: CAM							
02/08/12	4,000	228.55	01/13/11	207.36	21.19	Sale	
06/14/12	2,000	89.45	01/13/11	103.68	-14.23	Sale	
06/15/12	2,000	89.31	01/13/11	103.68	-14.37	Sale	
07/06/12	19,000	809.07	01/13/11	984.96	-175.89	Sale	
	Security total:	1,216.38		1,399.68	-183.30		
CANADIAN NATL RY CO / CUSIP: 136375102 / Symbol: CN							
06/15/12	1,000	81.49	01/13/11	67.99	13.50	Sale	
CANON INC ADR / CUSIP: 138008309 / Symbol: CAJ							
01/25/12	16,000	718.86	01/13/11	816.80	-97.94	Sale	
06/14/12	1,000	40.25	01/13/11	51.05	-10.80	Sale	
06/15/12	6,000	244.19	01/13/11	306.30	-62.11	Sale	
2 tax lots for 07/18/12. Total proceeds (and cost when required) reported to the IRS.							
07/18/12	1,000	36.83	01/13/11	51.05	-14.22	Sale	
	6,000	221.03	03/17/11	262.56	-41.53	Sale	
	7,000	257.86	VARIOUS	313.61	-55.75	Total of 2 lots	
10/09/12	8,000	244.66	05/02/11	381.83	-137.17	Sale	
2 tax lots for 11/07/12. Total proceeds (and cost when required) reported to the IRS.							
	2,000	62.79	05/02/11	95.46	-32.67	Sale	
11/07/12	1,000	31.39	07/22/11	48.16	-16.77	Sale	
	3,000	94.18	VARIOUS	143.62	-49.44	Total of 2 lots	
12/28/12	2,000	77.59	01/13/11	102.10	-24.51	Sale	
	Security total:	1,677.59		2,115.31	-437.72		
CAP GEMINI SA ADR / CUSIP: 139098107 / Symbol: CGEMY							
06/14/12	2,000	33.85	02/23/11	57.20	-23.35	Sale	
CARLISLE COS INC / CUSIP: 142339100 / Symbol: CSL							
06/14/12	1,000	50.33	01/13/11	40.90	9.43	Sale	
06/15/12	1,000	51.70	01/13/11	40.90	10.80	Sale	
12/04/12	6,000	340.55	01/13/11	245.40	95.15	Sale	
	Security total:	442.58		327.20	115.38		

Openheimer & Co. Inc. Account G781368468
2012
Proceeds from Broker and Barter Exchange Transactions
 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
CARREFOUR SA SPONSORED ADR / CUSIP: 144430204 / Symbol: CRRFY							
03/21/12	6,000	29.27	02/09/11	58.28	-29.01	Sale	
06/14/12	12,000	40.43	02/09/11	116.55	-76.12	Sale	
Security total		69.70		174.83	-105.13		
CENOVUS ENERGY INC / CUSIP: 15135U109 / Symbol: CVE							
06/14/12	1,000	30.69	01/13/11	32.83	-2.14	Sale	
CENTRICA PLC SPON ADR NEW / CUSIP: 15639K300 / Symbol: CPYYY							
06/14/12	2,000	38.83	01/13/11	41.88	-3.05	Sale	
CENTURYLINK INC / CUSIP: 156700106 / Symbol: CTL							
06/14/12	5,000	187.54	01/13/11	224.25	-36.71	Sale	
06/15/12	4,000	153.39	01/13/11	179.40	-26.01	Sale	
12/04/12	6,000	235.23	01/13/11	269.10	-33.87	Sale	
Security total		576.16		672.75	-96.59		
CHEUNG KONG HLDGS LTD ADR / CUSIP: 166744201 / Symbol: CHEUY							
06/14/12	2,000	23.07	01/13/11	33.58	-10.51	Sale	
12/04/12	2,000	30.03	07/22/11	29.40	0.63	Sale	
Security total		53.10		62.98	-9.88		
CHEVRON CORP NEW / CUSIP: 166764100 / Symbol: CVX							
06/14/12	1,000	101.10	05/31/11	103.90	-2.80	Sale	
2 tax lots for 10/15/12. Total proceeds (and cost when required) reported to the IRS.							
	9,000	1,012.74	05/31/11	935.10	77.64	Sale	
10/15/12	5,000	562.63	07/22/11	546.25	16.38	Sale	
	14,000	1,575.37	VARIOUS	1,481.35	94.02	Total of 2 lots	
11/01/12	13,000	1,444.40	07/22/11	1,420.25	24.15	Sale	
Security total		3,120.87		3,005.50	115.37		
CHINA MOBILE LIMITED SPONSORED ADR / CUSIP: 16941M109 / Symbol: CHL							
12/04/12	1,000	57.10	08/15/11	48.17	8.93	Sale	
CHURCH & DWIGHT INC / CUSIP: 171340102 / Symbol: CHD							
02/08/12	3,000	142.64	01/13/11	104.64	38.00	Sale	
06/14/12	1,000	53.54	01/13/11	34.88	18.66	Sale	

Oppenheimer & Co. Inc.	Account G781366468
2012	Proceeds from Broker and Barter Exchange Transactions
(continued)	

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss Additional information	Notes
CHURCH & DWIGHT INC / CUSIP: 171340102 / Symbol: CHD (cont'd)						
12/04/12	4,000	214.31	01/13/11	139.52	74.79	Sale
	Security total:	410.49		279.04	131.45	
COACH INC / CUSIP: 189754104 / Symbol: COH						
02/02/12	38,000	2,685.78	01/13/11	2,043.77	642.01	Sale
Coca Cola AMATIL LTD SPONSORED ADR / CUSIP: 191085208 / Symbol: CCLAY						
06/14/12	1,000	26.18	01/13/11	22.72	3.46	Sale
06/15/12	2,000	52.09	01/13/11	45.44	6.65	Sale
08/06/12	12,000	351.84	01/13/11	272.64	79.20	Sale
	Security total:	430.11		340.80	89.31	
Coca Cola CO / CUSIP: 191216100 / Symbol: KO						
02/08/12	1,000	68.21	01/13/11	63.30	4.91	Sale
06/15/12	2,000	152.09	01/13/11	126.60	25.49	Sale
12/04/12	12,000	448.42	01/13/11	379.80	68.62	Sale
	Security total:	668.72		569.70	99.02	
Coca Cola HELLENIC BTLG CO SA SPONSORED ADR / CUSIP: 1912EP104 / Symbol: CCH						
06/14/12	2,000	33.37	01/13/11	53.66	-20.29	Sale
COLGATE PALMOLIVE CO / CUSIP: 194162103 / Symbol: CL						
06/14/12	1,000	100.71	01/13/11	77.94	22.77	Sale
10/18/12	2,000	220.75	01/13/11	155.88	64.87	Sale
12/04/12	1,000	107.66	01/13/11	77.94	29.72	Sale
	Security total:	429.12		311.76	117.36	
COMPASS GROUP PLC SPON ADR NEW / CUSIP: 20449X203 / Symbol: CMPGY						
06/14/12	3,000	29.69	01/13/11	26.58	3.11	Sale
06/15/12	7,000	69.15	01/13/11	62.02	7.13	Sale
	Security total:	98.84		88.60	10.24	
CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol: COP						
12/04/12	3,000	170.12	09/28/11	146.52	23.60	Sale
CORNING INC / CUSIP: 219350105 / Symbol: GLW						
06/14/12	7,000	90.64	01/13/11	138.74	-48.10	Sale

Oppenheimer & Co. Inc.	Account G781366468
2012	Proceeds from Broker and Barter Exchange Transactions
	(continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
CORNING INC / CUSIP: 219350105 / Symbol: GLW (cont'd)							
11/01/12	176,000	2,060.91	01/13/11	3,488.28	-1,427.37	Sale	
	Security total:	2,151.55		3,627.02	-1,475.47		
COSTCO WHSL CORP NEW / CUSIP: 22160K105 / Symbol: COST							
02/08/12	1,000	84.00	01/13/11	71.56	12.44	Sale	
06/14/12	1,000	89.49	01/13/11	71.56	17.93	Sale	
09/18/12	14,000	1,428.52	01/13/11	1,001.80	426.72	Sale	
12/04/12	2,000	209.00	01/13/11	143.11	65.89	Sale	
	Security total:	1,811.01		1,288.03	522.98		
DST SYS INC DEL / CUSIP: 233326107 / Symbol: DST							
06/14/12	1,000	52.23	01/13/11	46.32	5.91	Sale	
06/15/12	1,000	52.79	01/13/11	46.32	6.47	Sale	
07/19/12	13,000	711.05	01/13/11	602.16	108.89	Sale	
10/19/12	1,000	55.87	01/13/11	46.32	9.55	Sale	
12/04/12	10,000	598.98	01/13/11	463.20	135.78	Sale	
	2 tax lots for 12/11/12. Total proceeds (and cost when required) reported to the IRS.			277.92	97.02	Sale	
	6,000	374.94	01/13/11	96.71	28.26	Sale	
	2,000	124.97	04/26/11	374.63	125.28	Total of 2 lots	
12/11/12	8,000	499.91	VARIOUS	1,578.95	391.88		
	Security total:	1,970.83					
DANONE SPONSORED ADR / CUSIP: 23636T100 / Symbol: DANOY							
06/14/12	2,000	26.27	01/13/11	24.50	1.77	Sale	
06/15/12	3,000	39.56	01/13/11	36.75	2.81	Sale	
06/22/12	30,000	358.49	01/13/11	367.50	-9.01	Sale	
07/11/12	17,000	203.65	01/13/11	208.25	-4.60	Sale	
	2 tax lots for 08/14/12. Total proceeds (and cost when required) reported to the IRS.			183.75	-4.66	Sale	
	15,000	179.09	01/13/11	360.18	-13.93	Sale	
	29,000	346.25	02/17/11	543.93	-18.59	Total of 2 lots	
08/14/12	44,000	525.34	VARIOUS	1,180.93	-27.62		
	Security total:	1,153.31					
DENBURY RES INC COM NEW / CUSIP: 247916208 / Symbol: DNR							
06/14/12	3,000	43.43	01/13/11	57.81	-14.38	Sale	

Oppenheimer & Co. Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss - Additional information	Notes
DENBURY RES INC COM NEW / CUSIP: 247916208 / Symbol: DNR (cont'd)						
06/15/12	3,000	43.61	01/13/11	57.81	-14.20	Sale
09/20/12	2,000	34.47	01/13/11	38.54	-4.07	Sale
09/28/12	2,000	32.39	01/13/11	38.54	-6.15	Sale
10/18/12	1,000	16.55	01/13/11	19.27	-2.72	Sale
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.						
	9,000	137.05	01/13/11	173.43	-36.38	Sale
	13,000	197.97	08/16/11	204.23	-6.26	Sale
12/04/12	22,000	335.02	VARIOUS	377.66	-42.64	Total of 2 lots
Security total:				589.63	-84.16	
DENSO CORP ADR / CUSIP: 24872B100 / Symbol: DNZOY						
06/14/12	2,000	30.45	01/13/11	36.58	-6.13	Sale
06/15/12	2,000	31.05	01/13/11	36.58	-5.53	Sale
11/07/12	16,000	239.51	01/13/11	292.64	-53.13	Sale
2 tax lots for 12/28/12. Total proceeds (and cost when required) reported to the IRS						
	16,000	272.95	01/13/11	292.64	-19.69	Sale
	5,000	85.29	03/17/11	82.95	2.34	Sale
12/28/12	21,000	358.24	VARIOUS	375.59	-17.35	Total of 2 lots
Security total:				741.39	-82.14	
DEVON ENERGY CORP NEW / CUSIP: 25179M103 / Symbol: DVN						
01/19/12	17,000	1,087.97	01/13/11	1,390.26	-302.29	Sale
02/03/12	28,000	1,850.16	01/13/11	2,371.62	-521.46	Sale
Security total:				3,761.88	-823.75	
DIAGEO P L C SPON ADR NEW / CUSIP: 25243Q205 / Symbol: DEO						
06/14/12	1,000	99.08	01/13/11	77.37	21.71	Sale
06/15/12	1,000	99.55	01/13/11	77.37	22.18	Sale
07/27/12	9,000	965.99	01/13/11	696.33	269.66	Sale
Security total:				851.07	313.55	
DONALDSON INC / CUSIP: 257651109 / Symbol: DCI						
02/08/12	2,000	152.55	01/13/11	119.24	33.31	Sale
06/14/12	1,000	35.56	01/13/11	28.81	5.75	Sale
06/15/12	1,000	35.83	01/13/11	29.81	6.02	Sale

Oppenheimer & Co. Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
DONALDSON INC / CUSIP: 257651109 / Symbol: DCI (cont'd)							
12/04/12	8 000	266.07	01/13/11	238.48	27.59	Sale	
Security total:		490.01		417.34	72.67		
DRESSER-RAND GROUP INC / CUSIP: 261608103 / Symbol: DRC							
06/14/12	1 000	42.65	01/31/11	45.65	-3.00	Sale	
06/15/12	1 000	43.01	01/31/11	45.65	-2.64	Sale	
10/05/12	39 000	2,051.79	01/31/11	1,780.35	271.44	Sale	
Security total:		2,137.45		1,871.65	265.80		
ENI S P A SPONSORED ADR / CUSIP: 26874R108 / Symbol: E							
03/21/12	1 000	48.24	01/13/11	46.77	1.47	Sale	
06/14/12	1 000	40.35	01/13/11	46.77	-6.42	Sale	
06/15/12	1 000	41.15	01/13/11	46.77	-5.62	Sale	
Security total:		129.74		140.31	-10.57		
EATON CORP / CUSIP: 278058102 / Symbol:							
12/03/12	40 000	2,066.20	06/22/11	1,947.39	118.81	Tender	53
EMERSON ELEC CO / CUSIP: 291011104 / Symbol: EMR							
02/08/12	14 000	729.81	01/13/11	811.58	-81.77	Sale	
03/06/12	11 000	536.63	01/13/11	637.67	-101.04	Sale	
Security total:		1,266.44		1,449.25	-182.81		
ENERGEN CORP / CUSIP: 29265N108 / Symbol: EGN							
08/27/12	32 000	1,653.65	07/21/11	1,984.73	-331.08	Sale	
ERICSSON ADR B SEK 10 / CUSIP: 294821608 / Symbol: ERIC							
06/14/12	7 000	61.31	01/13/11	80.22	-18.91	Sale	
06/15/12	2 000	17.87	01/13/11	22.92	-5.05	Sale	
Security total:		79.18		103.14	-23.96		
F M C CORP COM NEW / CUSIP: 302491303 / Symbol: FMC							
03/02/12	7 000	699.15	01/13/11	543.06	156.09	Sale	
05/04/12	4 000	422.87	01/13/11	310.32	112.55	Sale	
05/16/12	1 000	101.51	01/13/11	77.58	23.93	Sale	
06/05/12	20 000	983.97	01/13/11	775.80	208.17	Sale	
09/20/12	1 000	57.81	01/13/11	38.79	19.02	Sale	

Account G781366468

Oppenheimer & Co. Inc. **Proceeds from Broker and Barter Exchange Transactions** **2012 (continued)**

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain/loss	Additional Information	Notes
F M C CORP COM NEW / CUSIP: 302491303 / Symbol: FMC (cont'd)							
12/04/12	6,000	323.09	01/13/11	232.74	90.35	Sale	
	Security total:	2,588.40		1,978.29	610.11		
FMC TECHNOLOGIES INC / CUSIP: 30249U101 / Symbol: FTI							
02/08/12	3,000	162.08	01/13/11	133.20	28.88	Sale	
02/16/12	45,000	2,310.70	01/13/11	1,998.00	312.70	Sale	
	Security total:	2,472.78		2,131.20	341.58		
FANUC CORPORATION ADR / CUSIP: 307305102 / Symbol: FANUY							
01/20/12	13,000	365.81	01/13/11	339.69	26.12	Sale	
05/07/12	14,000	397.31	01/13/11	365.82	31.49	Sale	
06/14/12	1,000	28.01	01/13/11	26.13	1.88	Sale	
	Security total:	791.13		731.64	59.49		
FLUOR CORP NEW / CUSIP: 343412102 / Symbol: FLR							
01/26/12	15,000	854.33	01/13/11	1,051.35	-197.02	Sale	
02/24/12	16,000	1,018.07	01/13/11	1,121.44	-103.37	Sale	
	Security total:	1,872.40		2,172.79	-300.39		
FOMENTO ECONOMICO MEXICANO SAB SPON ADR UNITS / CUSIP: 344419106 / Symbol: FMX							
2 tax lots for 04/24/12 Total proceeds (and cost when required) reported to the IRS.							
04/24/12	7,000	567.63	01/13/11	394.62	173.11	Sale	
	1,000	81.08	03/09/11	57.96	23.12	Sale	
	8,000	648.71	VARIOUS	452.48	196.23	Total of 2 lots	
08/20/12	3,000	258.47	03/09/11	173.88	84.59	Sale	
2 tax lots for 12/04/12 Total proceeds (and cost when required) reported to the IRS.							
12/04/12	2,000	198.07	03/09/11	115.92	82.15	Sale	
	1,000	99.03	07/22/11	67.77	31.26	Sale	
	3,000	297.10	VARIOUS	183.69	113.41	Total of 2 lots	
	Security total:	1,204.28		810.05	394.23		
FRANCE TELECOM SPONSORED ADR / CUSIP: 35177Q105 / Symbol: FTE							
06/14/12	4,000	48.91	01/13/11	84.84	-35.93	Sale	
06/15/12	2,000	24.73	01/13/11	42.42	-17.69	Sale	
	Security total:	73.64		127.26	-53.62		

Oppenheimer & Co. Inc. Account: G781366468
2012 **Proceeds from Broker and Barter Exchange Transactions**
 (continued)

Ic - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

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FRESENIUS MED CARE AG&CO KGAA SPONSORED ADR / CUSIP: 358029106 / Symbol: FMS							
06/14/12	1 000	67.07	01/13/11	57.33	9.74	Sale	
FULTON FINL CORP PA / CUSIP: 360271100 / Symbol: FULT							
06/14/12	4 000	38.23	01/13/11	40.79	-2.56	Sale	
06/15/12	7 000	67.54	01/13/11	71.39	-3.85	Sale	
08/20/12	35 000	342.64	01/13/11	356.94	-14.30	Sale	
Security total:		448.41		469.12	-20.71		
GARDNER DENVER INC / CUSIP: 365558105 / Symbol: GDI							
02/08/12	3 000	238.19	01/31/11	216.87	21.32	Sale	
03/22/12	25 000	1,578.22	01/31/11	1,807.25	-229.03	Sale	
Security total:		1,816.41		2,024.12	-207.71		
GAZPROM O A O SPON ADR / CUSIP: 368287207 / Symbol: OGZPY							
06/14/12	3 000	27.71	01/13/11	39.46	-11.75	Sale	
12/04/12	2 000	18.03	07/22/11	29.10	-11.07	Sale	
Security total:		45.74		68.56	-22.82		
GENTEX CORP / CUSIP: 371901109 / Symbol: GNTX							
02/03/12	71 000	1,914.21	01/13/11	2,235.06	-320.85	Sale	
GIVAUDAN SA ADR / CUSIP: 37636P108 / Symbol: GVDNY							
02/08/12	6 000	116.21	01/13/11	123.00	-6.79	Sale	
03/21/12	2 000	37.63	01/13/11	41.00	-3.37	Sale	
06/14/12	2 000	38.11	01/13/11	41.00	-2.89	Sale	
06/15/12	3 000	57.62	01/13/11	61.50	-3.88	Sale	
07/27/12	30 000	584.98	01/13/11	615.00	-30.02	Sale	
Security total:		834.55		881.50	-46.95		
GLAXOSMITHKLINE PLC SPONSORED ADR / CUSIP: 37733W105 / Symbol: GSK							
02/08/12	1 000	44.39	01/13/11	38.98	5.41	Sale	
06/14/12	1 000	45.18	01/13/11	38.98	6.20	Sale	
06/15/12	1 000	44.98	01/13/11	38.98	6.00	Sale	
12/04/12	1 000	43.58	01/13/11	38.98	4.60	Sale	
Security total:		178.13		155.92	22.21		

Openheimer & Co. Inc.

Account G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

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1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
These columns are not reported to the IRS							
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOG	1.000	687.29	05/31/11	526.19	161.10	Sale	
10/18/12							
GRAINGER W W INC / CUSIP: 384802104 / Symbol: GWW	1.000	201.51	01/13/11	134.71	66.80	Sale	
02/08/12							
06/15/12	1.000	179.91	01/13/11	134.71	45.20	Sale	
12/04/12	1.000	193.07	01/13/11	134.71	58.36	Sale	
Security total:		574.49		404.13	170.36		
GREAT PLAINS ENERGY INC / CUSIP: 391164100 / Symbol: GXP	2.000	41.51	01/13/11	39.36	2.15	Sale	
06/14/12							
06/15/12	3.000	62.84	01/13/11	59.04	3.80	Sale	
08/20/12	14.000	303.23	01/13/11	275.52	27.71	Sale	
12/04/12	6.000	121.31	01/13/11	118.08	3.23	Sale	
12/06/12	13.000	263.50	01/13/11	255.84	7.66	Sale	
Security total:		792.39		747.84	44.55		
GREIF INC CL A / CUSIP: 397624107 / Symbol: GEF	9.000	367.01	08/09/11	446.22	-79.21	Sale	
12/04/12							
GROUPE CGI INC CL A SUB VTG / CUSIP: 39945C109 / Symbol: GIB	4.000	88.23	05/02/11	87.39	1.84	Sale	
06/14/12							
06/15/12	15.000	338.99	05/02/11	327.71	11.28	Sale	
Security total:		428.22		415.10	13.12		
GRUPO TELEVISIA SA SPON ADR REP ORD / CUSIP: 40049J206 / Symbol: TV	1.000	19.98	01/13/11	25.91	-5.93	Sale	
06/14/12							
GUESS INC / CUSIP: 401617105 / Symbol: GES	19.000	694.34	01/13/11	827.45	-133.11	Sale	
03/14/12							
2 tax lots for 05/24/12 Total proceeds (and cost when required) reported to the IRS.							
	10.000	263.42	01/13/11	435.50	-172.08	Sale	
	18.000	474.16	03/21/11	686.69	-212.53	Sale	
05/24/12	28.000	737.58	VARIOUS	1,122.19	-384.61	Total of 2 lots	
Security total:		1,431.92		1,949.64	-517.72		

Oppenheimer & Co., Inc. Account: 6781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
HMS HLDGS CORP / CUSIP: 40425J101 / Symbol: HMSY							
02/08/12	6,000	201.17	01/13/11	129.68	71.49	Sale	
06/15/12	3,000	82.25	01/13/11	64.84	17.41	Sale	
09/20/12	1,000	32.69	01/13/11	21.61	11.08	Sale	
12/04/12	13,000	303.67	01/13/11	280.97	22.70	Sale	
Security total:		619.78		497.10	122.68		
HSCB HLDGS PLC SPON ADR NEW / CUSIP: 404280406 / Symbol: HBC							
03/21/12	1,000	44.90	01/13/11	56.36	-11.46	Sale	
06/14/12	1,000	42.33	01/13/11	56.36	-14.03	Sale	
06/15/12	1,000	43.00	01/13/11	56.36	-13.36	Sale	
Security total:		130.23		169.08	-38.85		
HALLIBURTON CO / CUSIP: 406216101 / Symbol: HAL							
04/26/12	19,000	636.61	01/13/11	744.61	-108.00	Sale	
06/14/12	3,000	85.58	01/13/11	117.57	-31.99	Sale	
06/15/12	3,000	87.47	01/13/11	117.57	-30.10	Sale	
12/04/12	25,000	829.01	01/13/11	979.75	-150.74	Sale	
Security total:		1,638.67		1,959.50	-320.83		
HESS CORP / CUSIP: 42809H107 / Symbol: HES							
2 tax lots for 04/30/12 Total proceeds (and cost when required) reported to the IRS.							
49,000		2,564.11	01/13/11	3,904.81	-1,340.70	Sale	
13,000		680.27	03/01/11	1,101.62	-421.35	Sale	
62,000		3,244.38	VARIOUS	5,006.43	-1,762.05	Total of 2 lots	
HEXCEL CORP NEW / CUSIP: 428291108 / Symbol: HXL							
12/04/12	8,000	205.91	09/30/11	179.92	25.99	Sale	
HITTITE MICROWAVE CORP / CUSIP: 43365Y104 / Symbol: HITT							
02/08/12	1,000	56.29	01/13/11	61.56	-5.27	Sale	
06/07/12	31,000	1,527.58	01/13/11	1,908.30	-380.72	Sale	
Security total:		1,583.87		1,969.86	-385.99		
HOLOGIC INC / CUSIP: 438440101 / Symbol: HOLX							
05/01/12	81,000	1,537.76	01/13/11	1,532.50	5.26	Sale	

Oppenheimer & Co. Inc. Account # 678 368468
2012 **Proceeds from Broker and Barter Exchange Transactions**
 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Notes
HONEYWELL INTL INC / CUSIP: 438516106 / Symbol: HON						
02/08/12	1,000	59.77	01/13/11	54.66	5.11	Sale
05/16/12	2,000	114.29	01/13/11	109.32	4.97	Sale
06/14/12	1,000	54.97	01/13/11	54.66	0.31	Sale
12/04/12	23,000	1,392.63	01/13/11	1,257.17	135.46	Sale
Security total:		1,621.66		1,475.81	145.85	
HOSPIRA INC / CUSIP: 441060100 / Symbol: HSP						
05/16/12	11,000	361.34	01/13/11	614.79	-253.45	Sale
06/14/12	2,000	64.43	01/13/11	111.78	-47.35	Sale
06/15/12	1,000	33.37	01/13/11	55.89	-22.52	Sale
12/04/12	20,000	617.80	01/13/11	1,117.80	-500.00	Sale
Security total		1,076.94		1,900.26	-823.32	
HUTCHISON WHAMPOA LTD ADR / CUSIP: 448415208 / Symbol: HUWHY						
2 tax lots for 02/10/12. Total proceeds (and cost when required) reported to the IRS.						
02/10/12	2,000	38.80	01/13/11	47.11	-8.31	Sale
	39,000	756.58	01/13/11	918.68	-162.10	Sale
	41,000	795.38	VARIOUS	965.79	-170.41	Total of 2 lots
06/14/12	2,000	32.55	01/13/11	47.11	-14.56	Sale
Security total:		827.93		1,012.90	-184.97	
IDEXX LABS INC / CUSIP: 45168D104 / Symbol: IDXX						
02/08/12	1,000	85.54	01/13/11	68.65	16.89	Sale
06/15/12	1,000	88.56	01/13/11	68.65	19.91	Sale
10/19/12	1,000	97.36	01/13/11	68.65	28.71	Sale
12/04/12	4,000	369.55	01/13/11	274.60	94.95	Sale
Security total:		641.01		480.55	160.46	
IMPERIAL TOBACCO GROUP PLC SPONSORED ADR / CUSIP: 453142101 / Symbol: ITBY						
02/08/12	1,000	76.04	01/13/11	60.57	15.47	Sale
05/23/12	9,000	664.26	01/13/11	545.13	119.13	Sale
06/15/12	1,000	74.96	01/13/11	60.57	14.39	Sale
Security total:		815.26		666.27	148.99	
INDUSTRIAL & COML BK CHINA ADR / CUSIP: 455807107 / Symbol: IDCBY						
06/14/12	3,000	33.14	01/13/11	46.56	-13.42	Sale

Oppenheimer & Co., Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
INDUSTRIAL & COML BK CHINA ADR / CUSIP: 455807107 / Symbol: IDCBY (cont'd)							
06/15/12	2,000	22.71	01/13/11	31.04	-8.33	Sale	
	Security total:	55.85		77.60	-21.75		
INFORMATICA CORP / CUSIP: 45666Q102 / Symbol: INFA							
02/08/12	2,000	90.31	01/13/11	88.74	1.57	Sale	
06/14/12	1,000	40.76	01/13/11	44.37	-3.61	Sale	
06/15/12	2,000	84.79	01/13/11	88.74	-3.95	Sale	
10/05/12	47,000	1,276.49	01/13/11	2,085.38	-808.89	Sale	
	Security total:	1,492.35		2,307.23	-814.88		
INFOSYS LTD SPONSORED ADR / CUSIP: 456788108 / Symbol: INFY							
01/23/12	15,000	791.38	01/13/11	1,072.50	-281.12	Sale	
INTEL CORP / CUSIP: 458140100 / Symbol: INTC							
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.							
	2,000	39.93	03/01/11	42.92	-2.99	Sale	
	8,000	159.75	04/06/11	158.96	0.79	Sale	
12/04/12	10,000	199.68	VARIOUS	201.88	-2.20	Total of 2 lots	
INTERNATIONAL FLAVORS&FRAGRANC / CUSIP: 459506101 / Symbol: IFF							
12/04/12	1,000	64.67	11/28/11	53.16	11.51	Sale	
INTERNATIONAL PWR PLC SPONSORED ADR / CUSIP: 46018M104 / Symbol: IPRPY							
2 tax lots for 07/25/12. Total proceeds (and cost when required) reported to the IRS.							
	10,000	650.08	01/13/11	655.00	-4.92	Tender	
	1,000	65.01	07/22/11	50.95	14.06	Tender	
07/25/12	11,000	715.09	VARIOUS	705.95	9.14	Total of 2 lots	
JPMORGAN CHASE & CO COM / CUSIP: 46625H100 / Symbol: JPM							
10/18/12	1,000	42.79	06/28/11	39.45	3.34	Sale	
12/04/12	4,000	161.79	06/28/11	157.79	4.00	Sale	
	Security total:	204.58		197.24	7.34		
JULIUS BAER GROUP LTD ADR / CUSIP: 48137C108 / Symbol: JBAXY							
06/14/12	4,000	26.99	01/13/11	36.44	-9.45	Sale	

Oppenheimer & Co. Inc. Account: 6781366468
2012: Proceeds from Broker and Barter Exchange Transactions
 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
JULIUS BAER GROUP LTD ADR / CUSIP: 48137C108 / Symbol: JBAXY (cont'd)							
06/15/12	3 000	20.39	01/13/11	27.33	-6.94	Sale	
	Security total:	47.38		63.77	-16.39		
KBR INC / CUSIP: 48242W106 / Symbol: KBR							
06/14/12	4 000	100.19	01/13/11	123.44	-23.25	Sale	
12/04/12	34 000	949.66	01/13/11	1,049.24	-99.58	Sale	
	Security total:	1,049.85		1,172.68	-122.83		
KAO CORP SPONSORED ADR / CUSIP: 485537302 / Symbol: KCRPY							
03/21/12	3 000	76.67	01/13/11	80.55	-3.88	Sale	
06/14/12	2 000	51.51	01/13/11	53.70	-2.19	Sale	
12/04/12	1 000	27.18	01/13/11	26.85	0.33	Sale	
	Security total:	155.36		161.10	-5.74		
KEPPEL LTD SPONSORED ADR / CUSIP: 492051305 / Symbol: KPELY							
06/14/12	2 000	31.23	01/13/11	32.49	-1.26	Sale	
06/15/12	2 000	31.99	01/13/11	32.49	-0.50	Sale	
	Security total:	63.22		64.98	-1.76		
KINGFISHER PLC SPON ADR PAR / CUSIP: 495724403 / Symbol: KGFHY							
06/14/12	4 000	33.31	01/13/11	33.04	0.27	Sale	
06/15/12	4 000	33.79	01/13/11	33.04	0.75	Sale	
09/04/12	45 000	384.74	01/13/11	371.70	13.04	Sale	
	Security total:	451.84		437.78	14.06		
KIRBY CORP / CUSIP: 497266106 / Symbol: KEX							
06/14/12	1 000	50.17	04/05/11	57.56	-7.39	Sale	
06/15/12	1 000	51.57	04/05/11	57.56	-5.99	Sale	
07/23/12	33 000	1,547.06	04/05/11	1,899.48	-352.42	Sale	
	Security total:	1,648.80		2,014.60	-365.80		
KOMATSU LTD SPON ADR NEW / CUSIP: 500458401 / Symbol: KMTUY							
06/14/12	1 000	23.76	01/13/11	31.01	-7.25	Sale	
12/04/12	1 000	22.32	01/13/11	31.01	-8.69	Sale	
	Security total:	46.08		62.02	-15.94		

Oppenheimer & Co. Inc. Account G781366468
2012
Proceeds from Broker and Barter Exchange Transactions
 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
KONINKLIJKE AHOLD N V SPON ADR 2007 / CUSIP: 500467402 / Symbol: AHONY							
02/27/12	39,000	541.31	01/13/11	500.37	40.94	Sale	
06/14/12	3,000	34.28	01/13/11	38.49	-4.21	Sale	
09/04/12	17,000	214.87	01/13/11	218.11	-3.24	Sale	
	Security total:	790.46		756.97	33.49		
KRAFT FOODS GROUP INC / CUSIP: 50076Q106 / Symbol: KRFT							
12/07/12	5,000	223.90	01/21/11	156.45	67.45	Sale	
5 tax lots for 12/11/12. Total proceeds (and cost when required) reported to the IRS.							
	1,000	44.75	01/21/11	31.29	13.46	Sale	
	2,000	89.49	01/21/11	62.58	26.91	Sale	
	1,000	44.75	04/14/11	11.32	33.43	Sale	
	10,000	447.49	08/09/11	379.20	68.29	Sale	
	1,000	44.75	10/14/11	12.06	32.68	Sale	
12/11/12	15,000	671.23	VARIOUS	496.45	174.77	Total of 5 lots	
	Security total:	895.13		652.90	242.22		
KROGER CO / CUSIP: 501044101 / Symbol: KR							
06/14/12	2,000	44.65	01/13/11	43.14	1.51	Sale	
06/15/12	2,000	45.51	01/13/11	43.14	2.37	Sale	
12/04/12	8,000	214.70	01/13/11	172.56	42.14	Sale	
	Security total:	304.86		258.84	46.02		
L OREAL CO ADR / CUSIP: 502117203 / Symbol: LRLCY							
12/04/12	2,000	54.99	08/24/11	45.42	9.57	Sale	
LENDER PROCESSING SVCS INC / CUSIP: 52602E102 / Symbol: LPS							
05/04/12	21,000	502.89	01/13/11	633.57	-130.68	Sale	
06/14/12	2,000	44.37	01/13/11	60.34	-15.97	Sale	
06/15/12	1,000	22.20	01/13/11	30.17	-7.97	Sale	
	Security total:	569.46		724.08	-154.62		
LIBERTY PPTY TR SH BEN INT / CUSIP: 531172104 / Symbol: LRY							
02/09/12	40,000	1,336.99	01/13/11	1,291.59	45.40	Sale	
MARKEL CORP / CUSIP: 570535104 / Symbol: MKL							
12/04/12	2,000	972.53	11/22/11	778.51	194.02	Sale	

Oppenheimer & Co. Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange **1b - Date of acquisition** **2a - Proceeds of stocks, bonds, etc.** **3 - Cost or other basis** **Gain or loss** **Additional Information** **Notes**

MARSH & MCLENNAN COS INC / CUSIP: 571748102 / Symbol: MMC

3 tax lots for 06/06/12 Total proceeds (and cost when required) reported to the IRS

1,000	02/15/11	32.29	28.93	3.36	Sale
1,000	05/16/11	32.29	30.01	2.28	Sale
35,000	05/31/11	1,130.46	1,070.27	60.19	Sale
37,000	VARIOUS	1,195.04	1,129.21	65.83	Total of 3 lots

MCCORMICK & CO INC COM NON VTG / CUSIP: 579780206 / Symbol: MKC

1,000	01/13/11	58.43	45.90	10.53	Sale
4,000	01/13/11	243.07	183.60	59.47	Sale
16,000	01/13/11	1,031.89	734.40	297.49	Sale
Security total:		1,331.39	963.90	367.49	

MCDONALDS CORP / CUSIP: 580135101 / Symbol: MCD

9,000	01/13/11	886.94	655.02	231.92	Sale
5,000	01/13/11	441.12	363.90	77.22	Sale
2 tax lots for 06/15/12. Total proceeds (and cost when required) reported to the IRS.					
4,000	01/13/11	362.31	291.12	71.19	Sale
1,000	02/14/11	90.57	76.40	14.17	Sale
5,000	VARIOUS	452.88	367.52	85.36	Total of 2 lots

2 tax lots for 07/27/12. Total proceeds (and cost when required) reported to the IRS.

10,000	01/13/11	890.08	727.80	162.28	Sale
4,000	02/14/11	356.03	305.59	50.44	Sale
14,000	VARIOUS	1,246.11	1,033.39	212.72	Total of 2 lots
13,000	02/14/11	1,133.57	993.16	140.41	Sale
Security total:		4,160.62	3,412.99	747.63	

MEDTRONIC INC / CUSIP: 585055106 / Symbol: MDT

3,000	01/13/11	112.28	111.12	1.16	Sale
1,000	01/13/11	37.77	37.04	0.73	Sale
32,000	01/13/11	1,382.04	1,185.27	196.77	Sale
63,000	01/13/11	2,639.07	2,333.51	305.56	Sale
Security total:		4,171.16	3,666.94	504.22	

Oppenheimer & Co. Inc.

Account: G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
MERCK & CO INC NEW / CUSIP: 58933Y105 / Symbol: MRK							
06/14/12	5 000	193.39	05/19/11	186.32	7.07	Sale	
06/15/12	4,000	155.95	05/19/11	149.06	6.89	Sale	
10/11/12	1,000	45.41	05/19/11	37.26	8.15	Sale	
12/04/12	6,000	267.06	05/19/11	223.58	43.48	Sale	
Security total:		661.81		596.22	65.59		
METLIFE INC / CUSIP: 59156R108 / Symbol: MET							
06/15/12	33,000	983.04	01/13/11	1,507.11	-524.07	Sale	
12/04/12	35,000	1,167.57	01/13/11	1,598.45	-430.88	Sale	
Security total:		2,150.61		3,105.56	-954.95		
MICROS SYS INC / CUSIP: 594901100 / Symbol: MCRS							
02/08/12	2 000	103.71	01/13/11	90.43	13.28	Sale	
06/14/12	1,000	50.63	01/13/11	45.21	5.42	Sale	
06/15/12	1,000	51.29	01/13/11	45.21	6.08	Sale	
12/04/12	8,000	336.39	01/13/11	361.72	-25.33	Sale	
Security total:		542.02		542.57	-0.55		
MICROCHIP TECHNOLOGY INC / CUSIP: 595017104 / Symbol: MCHP							
02/08/12	12,000	447.59	01/13/11	431.49	16.10	Sale	
04/17/12	98,000	3,575.09	01/13/11	3,523.81	51.28	Sale	
Security total:		4,022.68		3,955.30	67.38		
MID-AMER APT CMNTYS INC REIT / CUSIP: 59522J103 / Symbol: MAA							
12/04/12	6,000	377.69	10/03/11	345.35	32.34	Sale	
MITSUBISHI UFJ FINL GROUP INC SPONSORED ADR / CUSIP: 606822104 / Symbol: MTU							
03/21/12	140 000	718.18	01/13/11	786.79	-68.61	Sale	
06/15/12	14 000	62.86	01/13/11	78.68	-15.82	Sale	
12/04/12	9,000	40.86	07/22/11	46.62	-5.76	Sale	
Security total:		821.90		912.09	-90.19		
MOLEX INC CL A / CUSIP: 608554200 / Symbol: MOLXA							
02/08/12	25 000	566.51	01/13/11	516.97	49.54	Sale	
06/14/12	2,000	41.41	01/13/11	41.36	0.05	Sale	
06/15/12	3,000	63.77	01/13/11	62.04	1.73	Sale	
12/04/12	3,000	64.76	01/13/11	62.04	2.72	Sale	

Oppenheimer & Co. Inc. Account: G781366468
2012 **Proceeds from Broker and Barter Exchange Transactions** (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
	Security total:	736.45		682.41	54.04		
MOLSON COORS BREWING CO CL B / CUSIP: 60871R209 / Symbol: TAP 12/04/12	5,000	208.89	07/14/11	222.65	-13.76	Sale	
MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON 12/04/12	2,000	177.77	09/20/11	137.36	40.41	Sale	
MOSAIC CO NEW / CUSIP: 61945C103 / Symbol: MOS 12/04/12	13,000	690.54	06/22/11	834.47	-143.93	Sale	
MYLAN INC / CUSIP: 628530107 / Symbol: MYL 02/08/12	5,000	113.34	01/13/11	113.20	0.14	Sale	
06/14/12	1,000	20.92	01/13/11	22.64	-1.72	Sale	
06/15/12	2,000	42.39	01/13/11	45.28	-2.89	Sale	
12/04/12	12,000	328.51	01/13/11	271.68	57.83	Sale	
Security total:		506.16		452.80	53.36		
NIH HLDGS INC CL B NEW / CUSIP: 62913F201 / Symbol: NIHD 06/14/12	2,000	22.19	06/07/11	82.39	-60.20	Sale	
NTT DOCOMO INC SPONS ADR / CUSIP: 62942M201 / Symbol: DCM 06/14/12	2,000	31.43	01/13/11	35.16	-3.73	Sale	
10/18/12	8,000	127.11	01/13/11	140.64	-13.53	Sale	
12/04/12	6,000	85.68	07/22/11	111.18	-25.50	Sale	
Security total:		244.22		286.98	-42.76		
NYSE EURONEXT / CUSIP: 629491101 / Symbol: NYX 12/04/12	9,000	208.66	08/24/11	242.32	-33.66	Sale	
12/20/12	67,000	2,154.67	08/24/11	1,803.96	350.71	Sale	
Security total:		2,363.33		2,046.28	317.05		
NESTLE S A SPONSORED ADR / CUSIP: 641069406 / Symbol: NSRGY 06/14/12	1,000	58.84	01/13/11	53.92	4.92	Sale	
06/15/12	1,000	58.77	01/13/11	53.92	4.85	Sale	
12/04/12	1,000	65.54	01/13/11	53.92	11.62	Sale	
Security total:		183.15		161.76	21.39		

Oppenheimer & Co. Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss - Additional information	Notes
NIDEC CORP SPONSORED ADR / CUSIP: 654090109 / Symbol: NJ						
06/14/12	2,000	41.91	01/13/11	52.28	-10.37	Sale
2 tax lots for 12/20/12. Total proceeds (and cost when required) reported to the IRS.						
	34,000	483.50	01/13/11	888.76	-405.26	Sale
	4,000	56.88	07/22/11	100.80	-43.92	Sale
12/20/12	38,000	540.38	VARIOUS	989.56	-449.18	Total of 2 lots
Security total:						
		582.29		1,041.84	-459.55	
NIKE INC CL B / CUSIP: 654106103 / Symbol: NKE						
02/08/12	6,000	631.72	01/13/11	496.80	134.92	Sale
2 tax lots for 03/28/12. Total proceeds (and cost when required) reported to the IRS.						
	6,000	639.72	01/13/11	496.80	142.92	Sale
	5,000	533.10	03/24/11	385.15	147.95	Sale
03/28/12	11,000	1,172.82	VARIOUS	881.95	290.87	Total of 2 lots
06/15/12	1,000	101.24	03/24/11	77.03	24.21	Sale
Security total:						
		1,905.78		1,455.78	450.00	
NINTENDO LTD ADR / CUSIP: 654445303 / Symbol: NTDYO						
2 tax lots for 05/10/12. Total proceeds (and cost when required) reported to the IRS.						
	39,000	629.63	01/13/11	1,414.92	-785.29	Sale
	13,000	209.87	03/18/11	438.51	-228.64	Sale
05/10/12	52,000	839.50	VARIOUS	1,853.43	-1,013.93	Total of 2 lots
NORDSON CORP / CUSIP: 655683102 / Symbol: NDSN						
2 tax lots for 02/06/12. Total proceeds (and cost when required) reported to the IRS.						
	21,000	1,012.72	01/13/11	984.79	27.93	Sale
	21,000	1,012.72	01/13/11	984.79	27.93	Sale
02/06/12	42,000	2,025.44	VARIOUS	1,969.58	55.86	Total of 2 lots
NORFOLK SOUTHERN CORP / CUSIP: 655844108 / Symbol: NSC						
06/14/12	2,000	135.78	01/13/11	131.32	4.46	Sale
3 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.						
	2,000	120.09	01/13/11	131.32	-11.23	Sale

Oppenheimer & Co. Inc. Account 2G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
NORFOLK SOUTHERN CORP / CUSIP: 655844108 / Symbol: NSC (cont'd)							
	3,000	180.14	05/31/11	218.76	-38.62	Sale	
	4,000	240.19	07/22/11	305.76	-65.57	Sale	
12/04/12	9,000	540.42	VARIOUS	655.84	-115.42	Total of 3 lots	
	Security total:	676.20		787.16	-110.96		
NORTHEAST UTILS / CUSIP: 664397106 / Symbol: NU							
06/14/12	1,000	37.98	01/13/11	31.67	6.31	Sale	
06/15/12	1,000	38.41	01/13/11	31.67	6.74	Sale	
06/22/12	45,000	1,703.77	01/13/11	1,425.14	278.63	Sale	
	Security total:	1,780.16		1,488.48	291.68		
NOVARTIS A G SPONSORED ADR / CUSIP: 66987V109 / Symbol: NVS							
02/08/12	4,000	224.58	01/13/11	228.48	-3.90	Sale	
3 tax lots for 05/09/12. Total proceeds (and cost when required) reported to the IRS.							
	15,000	799.50	01/13/11	856.80	-57.30	Sale	
	111,000	5,916.33	01/13/11	6,340.31	-423.98	Sale	
	25,000	1,332.51	04/27/11	1,449.65	-117.14	Sale	
05/09/12	151,000	8,048.34	VARIOUS	8,646.76	-598.42	Total of 3 lots	
06/14/12	1,000	53.40	04/27/11	57.99	-4.59	Sale	
06/15/12	1,000	53.63	04/27/11	57.99	-4.36	Sale	
	Security total:	8,379.95		8,991.22	-611.27		
NOVO-NORDISK A S ADR / CUSIP: 670100205 / Symbol: NVO							
02/08/12	1,000	134.74	01/13/11	112.40	22.34	Sale	
06/15/12	1,000	136.16	01/13/11	112.40	23.76	Sale	
08/20/12	2,000	313.35	01/13/11	224.80	88.55	Sale	
	Security total:	584.25		449.60	134.65		
NUCOR CORP / CUSIP: 670346105 / Symbol: NUE							
02/08/12	12,000	542.74	01/13/11	537.84	4.90	Sale	
06/14/12	5,000	181.09	01/13/11	224.10	-43.01	Sale	
12/04/12	4,000	163.15	01/13/11	179.28	-16.13	Sale	
	Security total:	886.98		941.22	-54.24		

Oppenheimer & Co. Inc. Account G781368468
2012 Proceeds from Broker and Barter Exchange Transactions
 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol				These columns are not reported to the IRS			Additional Information - Notes	
1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss			
O REILLY AUTOMOTIVE INC NEW / CUSIP: 67103H107 / Symbol: ORLY								
02/08/12	2 000	165.71	01/13/11	113.58	52.13	Sale		
05/03/12	8 000	845.49	01/13/11	454.32	391.17	Sale		
12/04/12	5 000	464.88	01/13/11	283.95	180.93	Sale		
	Security total:	1,476.08		851.85	624.23			
OCCIDENTAL PETE CORP DEL / CUSIP: 674599105 / Symbol: OXY								
12/04/12	16 000	1,176.79	10/04/11	1,125.43	51.36	Sale		
OMNICOM GROUP INC / CUSIP: 681919106 / Symbol: OMC								
01/19/12	17 000	805.78	01/13/11	764.66	41.12	Sale		
06/14/12	2 000	93.59	01/13/11	89.96	3.63	Sale		
2 tax lots for 10/19/12. Total proceeds (and cost when required) reported to the IRS.								
10/19/12	17 000	828.83	01/13/11	764.66	64.17	Sale		
	10 000	487.54	03/30/11	486.61	0.93	Sale		
	27 000	1,316.37	VARIOUS	1,251.27	65.10	Total of 2 lots		
3 tax lots for 11/14/12. Total proceeds (and cost when required) reported to the IRS.								
11/14/12	12 000	555.01	04/20/11	578.88	-23.87	Sale		
	16 000	740.02	07/29/11	754.40	-14.38	Sale		
	3 000	138.75	09/28/11	116.40	22.35	Sale		
	31 000	1,433.78	VARIOUS	1,449.68	-15.90	Total of 3 lots		
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.								
12/04/12	6 000	297.11	09/28/11	232.80	64.31	Sale		
	2 000	99.03	10/28/11	90.70	8.33	Sale		
	8 000	396.14	VARIOUS	323.50	72.64	Total of 2 lots		
	Security total:	4,045.66		3,879.07	166.59			
ON SEMICONDUCTOR CORP / CUSIP: 682189105 / Symbol: ONNN								
01/23/12	80 000	711.23	01/13/11	911.18	-199.95	Sale		
06/14/12	15 000	98.39	01/13/11	170.85	-72.46	Sale		
12/04/12	24 000	160.55	01/13/11	273.35	-112.80	Sale		
	Security total:	970.17		1,355.38	-385.21			
ORACLE CORP / CUSIP: 68389X105 / Symbol: ORCL								
06/14/12	4 000	107.47	02/16/11	131.92	-24.45	Sale		

Oppenheimer & Co. Inc. Account: 6781368468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
ORACLE CORP / CUSIP 68389X105 / Symbol: ORCL (cont'd)	3,000	82.79	02/16/11	98.94	-16.15	Sale	
06/15/12							
2 tax lots for 06/21/12. Total proceeds (and cost when required) reported to the IRS.							
	4,000	111.47	02/16/11	131.92	-20.45	Sale	
	22,000	613.14	03/18/11	679.14	-66.00	Sale	
06/21/12	26,000	724.61	VARIOUS	811.06	-86.45	Total of 2 lots	
Security total:							
		914.87		1,041.92	-127.05		
PNC FINL SVCS GROUP INC / CUSIP: 693475105 / Symbol: PNC							
12/04/12	3,000	164.99	08/02/11	160.91	4.08	Sale	
PPL CORP / CUSIP: 69351T106 / Symbol: PPL							
12/04/12	9,000	260.82	09/07/11	255.34	5.48	Sale	
PANERA BREAD CO CL A / CUSIP 69840W108 / Symbol: PNRA							
02/08/12	2,000	298.47	01/13/11	203.28	95.19	Sale	
03/22/12	3,000	485.54	01/13/11	304.92	180.62	Sale	
06/15/12	1,000	147.18	01/13/11	101.64	45.54	Sale	
12/04/12	3,000	473.29	01/13/11	304.92	168.37	Sale	
Security total:							
		1,404.48		914.76	489.72		
PATTERSON COMPANIES INC / CUSIP: 703395103 / Symbol: PDCO							
06/14/12	1,000	32.62	01/13/11	31.48	1.14	Sale	
06/15/12	1,000	32.96	01/13/11	31.48	1.48	Sale	
12/04/12	6,000	199.97	01/13/11	188.88	11.09	Sale	
Security total:							
		265.55		251.84	13.71		
PEOPLES UNITED FINANCIAL INC / CUSIP: 712704105 / Symbol: PBCT							
06/14/12	3,000	34.55	04/15/11	38.89	-4.34	Sale	
06/15/12	4,000	46.67	04/15/11	51.85	-5.18	Sale	
06/21/12	3,000	34.88	04/15/11	38.89	-4.01	Sale	
10/26/12	41,000	498.13	04/15/11	531.43	-33.30	Sale	
12/04/12	27,000	326.42	04/15/11	349.97	-23.55	Sale	
Security total:							
		940.65		1,011.03	-70.38		
PEPSICO INC / CUSIP: 713448108 / Symbol: PEP							
02/08/12	13,000	865.91	01/13/11	869.31	-3.40	Sale	

Openheimer & Co. Inc. Account: G781368488
2012 **Proceeds from Broker and Barter Exchange Transactions** (Continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain/loss	Additional information	Notes
PEPSICO INC / CUSIP: 713448108 / Symbol: PEP (cont'd)							
2 tax lots for 05/07/12. Total proceeds (and cost when required) reported to the IRS.							
	13,000	866.95	01/13/11	869.31	-2.36	Sale	
	7,000	466.81	04/29/11	481.38	-14.57	Sale	
05/07/12	20,000	1,333.76	VARIOUS	1,350.69	-16.93	Total of 2 lots	
2 tax lots for 06/14/12. Total proceeds (and cost when required) reported to the IRS.							
	3,000	207.65	01/13/11	200.61	7.04	Sale	
	1,000	69.21	04/29/11	68.77	0.44	Sale	
06/14/12	4,000	276.86	VARIOUS	269.38	7.48	Total of 2 lots	
06/15/12	1,000	69.48	01/13/11	66.87	2.61	Sale	
12/04/12	6,000	419.51	01/13/11	401.22	18.29	Sale	
	Security total:	2,965.52		2,957.47	8.05		
PERKINELMER INC / CUSIP: 714046109 / Symbol: PKI							
02/08/12	11,000	287.65	01/13/11	284.46	3.19	Sale	
06/14/12	1,000	25.29	01/13/11	25.86	-0.57	Sale	
06/15/12	2,000	50.95	01/13/11	51.72	-0.77	Sale	
09/18/12	14,000	422.07	01/13/11	362.04	60.03	Sale	
12/04/12	10,000	313.79	01/13/11	258.60	55.19	Sale	
	Security total:	1,099.75		982.68	117.07		
PERRIGO CO / CUSIP: 714290103 / Symbol: PRGO							
02/08/12	1,000	94.62	01/13/11	65.92	28.70	Sale	
02/09/12	26,000	2,443.98	01/13/11	1,713.92	730.06	Sale	
	Security total:	2,538.60		1,779.84	758.76		
PETROLEO BRASILEIRO SA PETROBR SPONSORED ADR / CUSIP: 71654V408 / Symbol: PBR							
02/08/12	1,000	32.05	01/13/11	37.53	-5.48	Sale	
06/14/12	2,000	36.63	01/13/11	75.06	-38.43	Sale	
06/15/12	2,000	36.51	01/13/11	75.06	-38.55	Sale	
	Security total:	105.19		187.65	-82.46		
PETSMART INC / CUSIP: 716768106 / Symbol: PETM							
06/14/12	1,000	66.28	01/13/11	39.81	26.47	Sale	
06/15/12	1,000	66.60	01/13/11	39.81	26.79	Sale	
06/20/12	19,000	1,310.23	01/13/11	756.48	553.75	Sale	

Oppenheimer & Co. Inc.
Proceeds from Broker and Barter Exchange Transactions at
2012. (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss - Additional information	Notes
	Security total:	1,443.11		836.10	607.01	
PHILIP MORRIS INTL INC / CUSIP: 718172109 / Symbol: PM						
02/08/12	7 000	543.66	01/13/11	393.89	149.77	Sale
04/17/12	46 000	4,017.77	01/13/11	2,588.42	1,429.35	Sale
06/14/12	1,000	86.98	01/13/11	56.27	30.71	Sale
	Security total:	4,648.41		3,038.58	1,609.83	
PITNEY BOWES INC / CUSIP: 724479100 / Symbol: PBI						
02/08/12	21 000	410.12	01/13/11	503.16	-93.04	Sale
06/14/12	10 000	144.68	01/13/11	239.60	-94.92	Sale
	Security total:	554.80		742.76	-187.96	
3 tax lots for 11/06/12. Total proceeds (and cost when required) reported to the IRS.						
	142 000	1,810.28	01/13/11	3,402.30	-1,592.02	Sale
	42 000	535.43	05/31/11	1,003.34	-467.91	Sale
	46 000	586.42	07/22/11	1,034.95	-448.53	Sale
11/06/12	230 000	2,932.13	VARIOUS	5,440.59	-2,508.46	Total of 3 lots
	Security total:	3,486.93		6,183.35	-2,696.42	
PLUM CREEK TIMBER CO INC REIT / CUSIP: 729251108 / Symbol: PCL						
06/15/12	3 000	112.37	01/13/11	119.07	-6.70	Sale
08/20/12	9 000	365.03	01/13/11	357.21	7.82	Sale
12/04/12	9 000	383.57	01/13/11	357.21	26.36	Sale
	Security total:	860.97		833.49	27.48	
PRICE T ROWE GROUP INC / CUSIP: 74144T108 / Symbol: TROW						
02/08/12	3 000	174.17	01/13/11	201.54	-27.37	Sale
12/04/12	6 000	380.69	01/13/11	403.08	-22.39	Sale
	Security total:	554.86		604.62	-49.76	
PROCTER & GAMBLE CO / CUSIP: 742718109 / Symbol: PG						
02/08/12	6 000	381.73	01/13/11	391.92	-10.19	Sale
05/01/12	22 000	1,389.62	01/13/11	1,437.04	-37.42	Sale
06/14/12	1 000	62.97	01/13/11	65.32	-2.35	Sale
06/26/12	15 000	890.85	01/13/11	979.80	-88.95	Sale
11/09/12	7 000	469.46	01/13/11	457.24	12.22	Sale
12/04/12	11 000	763.61	01/13/11	718.52	45.09	Sale
	Security total:	3,968.24		4,049.84	-81.60	

Openheimer & Co. Inc. Account: G781366468
Proceeds from Broker and Barrier Exchange Transactions
 2012 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
PUBLICIS SA NEW SPONSORED ADR / CUSIP: 74463M106 / Symbol: PUBGY							
06/14/12	1,000	23.17	01/13/11	24.49	-1.32	Sale	
06/15/12	1,000	23.63	01/13/11	24.49	-0.86	Sale	
	Security total:	46.80		48.98	-2.18		
QUALCOMM INC / CUSIP: 747525103 / Symbol: QCOM							
02/08/12	7,000	429.09	01/13/11	363.02	66.07	Sale	
06/14/12	1,000	56.11	01/13/11	51.86	4.25	Sale	
06/15/12	2,000	113.57	01/13/11	103.72	9.85	Sale	
	Security total:	598.77		518.60	80.17		
REED ELSEVIER P L C SPONS ADR NEW / CUSIP: 758205207 / Symbol: RUK							
06/14/12	2,000	59.88	01/13/11	68.82	-8.94	Sale	
06/15/12	1,000	29.99	01/13/11	34.41	-4.42	Sale	
09/19/12	3,000	117.98	01/13/11	103.23	14.75	Sale	
09/20/12	2,000	78.35	01/13/11	68.82	9.53	Sale	
	2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS						
	1,000	41.58	01/13/11	34.41	7.17	Sale	
	3,000	124.76	07/22/11	110.16	14.60	Sale	
12/04/12	4,000	166.34	VARIOUS	144.57	21.77	Total of 2 lots	
	Security total:	452.54		419.85	32.69		
REINSURANCE GROUP AMER INC COM NEW / CUSIP: 759351604 / Symbol: RGA							
06/14/12	1,000	50.83	01/13/11	57.88	-7.05	Sale	
	3 tax lots for 10/22/12. Total proceeds (and cost when required) reported to the IRS.						
	23,000	1,224.03	01/13/11	1,331.24	-107.21	Sale	
	2,000	106.43	07/22/11	124.78	-18.35	Sale	
	6,000	319.31	08/22/11	289.43	29.88	Sale	
10/22/12	31,000	1,649.77	VARIOUS	1,745.45	-95.68	Total of 3 lots	
	Security total:	1,700.60		1,803.33	-102.73		
ROCHE HLDG LTD SPONSORED ADR / CUSIP: 771195104 / Symbol: RHHBY							
06/14/12	2,000	82.29	01/13/11	70.88	11.41	Sale	
06/15/12	5,000	205.44	01/13/11	177.20	28.24	Sale	
12/04/12	1,000	48.88	01/13/11	35.44	13.44	Sale	
	Security total:	336.61		283.52	53.09		

Oppenheimer & Co. Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

Ic - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
ROSS STORES INC / CUSIP: 778296103 / Symbol: ROST							
02/08/12	4.000	205.91	01/13/11	127.62	78.29	Sale	
06/14/12	1.000	65.34	01/13/11	31.90	33.44	Sale	
06/15/12	2.000	132.89	01/13/11	63.81	69.08	Sale	
08/27/12	17.000	1,181.80	01/13/11	542.39	639.41	Sale	
12/04/12	6.000	333.59	01/13/11	191.43	142.16	Sale	
	Security total:	1,919.53		957.15	962.38		
ROYAL DUTCH SHELL PLC SPONS ADR A / CUSIP: 780259206 / Symbol: RDSA							
03/21/12	1.000	70.84	01/13/11	67.59	3.25	Sale	
06/14/12	2.000	129.61	05/18/11	140.30	-10.69	Sale	
06/15/12	1.000	66.26	01/13/11	67.59	-1.33	Sale	
12/04/12	21.000	1,406.14	01/13/11	1,419.39	-13.25	Sale	
	Security total:	1,672.85		1,694.87	-22.02		
SANOFI SPONSORED ADR / CUSIP: 80105N105 / Symbol: SNY							
02/08/12	4.000	148.59	01/13/11	137.40	11.19	Sale	
06/15/12	3.000	106.34	01/13/11	103.05	3.29	Sale	
12/04/12	12.000	543.58	01/13/11	412.20	131.38	Sale	
	Security total:	798.51		652.65	145.86		
SAP AG SPON ADR / CUSIP: 803054204 / Symbol: SAP							
06/14/12	1.000	57.35	01/13/11	54.37	2.98	Sale	
06/15/12	2.000	117.39	01/13/11	108.74	8.65	Sale	
	Security total:	174.74		163.11	11.63		
SCHLUMBERGER LTD / CUSIP: 806857108 / Symbol: SLB							
06/14/12	1.000	64.81	01/13/11	84.60	-19.79	Sale	
07/17/12	11.000	739.95	01/13/11	930.60	-190.65	Sale	
12/04/12	1.000	71.46	01/13/11	84.60	-13.14	Sale	
	Security total:	876.22		1,099.80	-223.58		
SCHNEIDER ELECTRIC SA ADR / CUSIP: 80687P106 / Symbol: SBGSY							
12/04/12	5.000	70.39	08/23/11	63.75	6.64	Sale	
SECOM LTD ADR / CUSIP: 813113206 / Symbol: SOMLY							
09/20/12	3.000	37.70	06/23/11	35.66	2.04	Sale	
10/18/12	2.000	25.83	06/23/11	23.77	2.06	Sale	

Oppenheimer & Co. Inc.

Account: 6781368468

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss - Additional Information	Notes
Security total:		63.53		59.43	4.10	
SIGMA ALDRICH CORP / CUSIP: 826552101 / Symbol: SIAL						
02/08/12	1.000	71.08	01/13/11	63.94	7.14	Sale
2 tax lots for 06/14/12. Total proceeds (and cost when required) reported to the IRS						
	1.000	70.82	01/13/11	63.94	6.88	Sale
	1.000	70.82	06/13/11	67.21	3.61	Sale
06/14/12	2.000	141.64	VARIOUS	131.15	10.49	Total of 2 lots
3 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.						
	4.000	290.47	01/13/11	255.76	34.71	Sale
	3.000	217.85	06/13/11	201.63	16.22	Sale
	3.000	217.85	07/22/11	221.34	-3.49	Sale
12/04/12	10.000	726.17	VARIOUS	678.73	47.44	Total of 3 lots
Security total:		938.89		873.82	65.07	
SIGNATURE BK NEW YORK N Y / CUSIP: 82669G104 / Symbol: SBNY						
02/08/12	2.000	116.71	01/13/11	97.20	19.51	Sale
06/14/12	1.000	58.85	01/13/11	48.60	10.25	Sale
06/15/12	1.000	60.36	01/13/11	48.60	11.76	Sale
09/20/12	1.000	66.33	01/13/11	48.60	17.73	Sale
12/04/12	7.000	490.47	01/13/11	340.20	150.27	Sale
Security total:		792.72		583.20	209.52	
SKYWORKS SOLUTIONS INC / CUSIP: 83088M102 / Symbol: SWKS						
02/08/12	3.000	72.65	01/14/11	96.54	-23.89	Sale
06/14/12	2.000	54.11	01/14/11	64.36	-10.25	Sale
06/15/12	1.000	28.06	01/14/11	32.18	-4.12	Sale
12/04/12	8.000	184.87	01/14/11	257.44	-72.57	Sale
Security total:		339.69		450.52	-110.83	
SMITH & NEPHEW PLC SPDN ADR NEW / CUSIP: 83175M205 / Symbol: SNN						
06/14/12	1.000	47.21	01/13/11	54.31	-7.10	Sale
2 tax lots for 10/16/12. Total proceeds (and cost when required) reported to the IRS.						
	7.000	377.78	01/13/11	380.17	-2.39	Sale

Oppenheimer & Co. Inc. Account: G781366468
Proceeds from Broker and Barter Exchange Transactions
 2012 (continued)

Ic - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
SMITH & NEPHEW PLC SPDN ADR NEW / CUSIP: 83175M205 / Symbol: SNN (cont'd)							
	3,000	161.90	05/13/11	168.78	-6.88	Sale	
10/16/12	10,000	539.68	VARIOUS	548.95	-9.27	Total of 2 lots	
Security total:				603.26	-16.37		
STRYKER CORP / CUSIP: 863667101 / Symbol: SYK							
02/03/12	20,000	1,107.01	01/13/11	1,151.00	-43.99	Sale	
02/08/12	1,000	54.62	01/13/11	57.55	-2.93	Sale	
06/14/12	2,000	104.57	01/13/11	115.10	-10.53	Sale	
10/11/12	1,000	52.60	01/13/11	57.55	-4.95	Sale	
10/18/12	7,000	374.00	01/13/11	402.85	-28.85	Sale	
12/04/12	12,000	654.10	01/13/11	690.60	-36.50	Sale	
Security total:				2,474.65	-127.75		
SWEDBANK A B SPD ADR / CUSIP: 870195104 / Symbol: SWDBY							
06/14/12	2,000	30.33	03/09/11	35.12	-4.79	Sale	
09/04/12	12,000	203.39	03/09/11	210.72	-7.33	Sale	
12/04/12	2,000	37.77	03/09/11	35.12	2.65	Sale	
Security total:				280.96	-9.47		
SYNGENTA AG SPONSORED ADR / CUSIP: 87160A100 / Symbol: SYT							
01/25/12	8,000	497.05	01/13/11	495.44	1.61	Sale	
06/14/12	1,000	64.65	01/13/11	61.93	2.72	Sale	
06/15/12	2,000	128.17	01/13/11	123.86	4.31	Sale	
09/20/12	2,000	147.43	01/13/11	123.86	23.57	Sale	
Security total:				805.09	32.21		
TD AMERITRADE HLDG CORP / CUSIP: 87236Y108 / Symbol: AMTD							
02/08/12	2,000	33.97	01/13/11	41.81	-7.84	Sale	
06/14/12	2,000	33.29	01/13/11	41.81	-8.52	Sale	
06/15/12	3,000	51.35	01/13/11	62.72	-11.37	Sale	
12/04/12	11,000	177.64	01/13/11	229.98	-52.34	Sale	
Security total:				376.32	-80.07		
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR / CUSIP: 874039100 / Symbol: TSM							
02/08/12	24,000	340.53	01/13/11	320.88	19.65	Sale	
04/09/12	388,000	5,773.93	01/13/11	5,187.56	586.37	Sale	

Oppenheimer & Co. Inc.
Proceeds from Broker and Barter-Exchange Transactions
 2012 (continued)
 Account: 13781366468

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR / CUSIP: 874039100 / Symbol: TSM (cont'd)							
08/20/12	19,000	274.92	07/22/11	245.46	29.46	Sale	
	Security total:	6,389.38		5,753.90	635.48		
TARGET CORP / CUSIP: 87612E106 / Symbol: TGT							
06/14/12	3,000	175.04	01/13/11	167.11	7.93	Sale	
06/15/12	3,000	174.89	01/13/11	167.11	7.78	Sale	
07/11/12	2,000	119.03	06/21/11	95.05	23.98	Sale	
2 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.							
	30,000	1,866.26	01/13/11	1,671.05	195.21	Sale	
	1,000	62.20	06/21/11	47.52	14.68	Sale	
12/04/12	31,000	1,928.46	VARIOUS	1,718.57	209.89	Total of 2 lots	
	Security total:	2,397.42		2,147.84	249.58		
TERADATA CORP DEL / CUSIP: 88076W103 / Symbol: TDC							
06/14/12	1,000	68.95	05/06/11	53.60	15.35	Sale	
06/15/12	1,000	71.91	05/06/11	53.60	18.31	Sale	
12/04/12	7,000	408.44	05/06/11	375.17	33.27	Sale	
	Security total:	549.30		482.37	66.93		
TESCO PLC SPONSORED ADR / CUSIP: 881575302 / Symbol: TSCDY							
01/30/12	31,000	469.02	01/13/11	602.33	-133.31	Sale	
06/14/12	3,000	42.89	01/13/11	58.29	-15.40	Sale	
06/15/12	6,000	85.31	01/13/11	116.58	-31.27	Sale	
12/04/12	3,000	47.24	01/13/11	58.29	-11.05	Sale	
	Security total:	644.46		835.49	-191.03		
TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA							
3 tax lots for 06/14/12. Total proceeds (and cost when required) reported to the IRS.							
	1,000	38.12	01/13/11	54.57	-16.45	Sale	
	3,000	114.38	01/13/11	163.70	-49.32	Sale	
	1,000	38.12	04/14/11	49.83	-11.71	Sale	
06/14/12	5,000	190.62	VARIOUS	268.10	-77.48	Total of 3 lots	
2 tax lots for 06/15/12. Total proceeds (and cost when required) reported to the IRS.							
	4,000	151.07	01/13/11	218.27	-67.20	Sale	

Oppenheimer & Co. Inc.		Account: G781366468	
2012		Proceeds from Broker and Barter Exchange Transactions	
		(continued)	

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain/loss	Additional Information	Notes
TEVA PHARMACEUTICAL INDS LTD ADR / CUSIP: 881624209 / Symbol: TEVA (cont'd)							
06/15/12	1,000	37.76	04/14/11	49.83	-12.07	Sale	
	5,000	188.83	VARIOUS	268.10	-79.27	Total of 2 lots	
10/24/12	61,000	2,473.49	01/13/11	3,328.61	-855.12	Sale	
12/04/12	1,000	41.47	01/13/11	54.57	-13.10	Sale	
6 tax lots for 12/13/12. Total proceeds (and cost when required) reported to the IRS.							
	33,000	1,285.40	01/13/11	1,800.72	-515.32	Sale	
	1,000	36.94	02/16/11	51.79	-12.85	Sale	
	3,000	116.85	04/14/11	149.49	-32.64	Sale	
	7,000	272.66	04/14/11	348.80	-76.14	Sale	
	6,000	233.71	05/31/11	305.02	-71.31	Sale	
	11,000	428.47	11/18/11	437.14	-8.67	Sale	
12/13/12	61,000	2,376.03	VARIOUS	3,092.96	-716.93	Total of 6 lots	
	Security total:	5,270.44		7,012.34	-1,741.90		
TIME WARNER INC COM NEW / CUSIP: 887317303 / Symbol: TWX							
04/04/12	58,000	2,142.48	01/13/11	1,955.18	187.30	Sale	
TOTAL S A SPONSORED ADR / CUSIP: 89151E109 / Symbol: TOT							
02/08/12	4,000	217.43	01/13/11	224.04	-6.61	Sale	
06/14/12	5,000	216.19	01/13/11	280.05	-63.86	Sale	
06/15/12	3,000	132.71	01/13/11	168.03	-35.32	Sale	
07/31/12	14,000	646.36	07/22/11	795.90	-149.54	Sale	
10/18/12	1,000	51.92	01/13/11	56.01	-4.09	Sale	
12/04/12	6,000	302.04	01/13/11	336.06	-34.02	Sale	
	Security total:	1,566.65		1,860.09	-293.44		
TOYOTA MOTOR CORP SP ADR REP2COM / CUSIP: 892331307 / Symbol: TM							
12/04/12	1,000	85.76	01/13/11	85.52	0.24	Sale	
TRANSCANADA CORP / CUSIP: 89353D107 / Symbol: TRP							
06/14/12	1,000	41.27	01/13/11	37.45	3.82	Sale	
07/18/12	6,000	262.37	01/13/11	224.70	37.67	Sale	
	Security total:	303.64		262.15	41.49		

Oppenheimer & Co. Inc.

Account G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
ULTA SALON COSMETICS & FRAG INC / CUSIP: 90384S303 / Symbol: ULTA							
02/08/12	2,000	159.39	01/31/11	74.34	85.05	Sale	
06/08/12	9,000	847.06	01/14/11	333.19	513.87	Sale	
06/14/12	1,000	92.40	01/14/11	37.02	55.38	Sale	
09/20/12	1,000	98.09	01/14/11	37.02	61.07	Sale	
2 tax lots for 10/12/12. Total proceeds (and cost when required) reported to the IRS.							
	24,000	2,292.28	01/14/11	888.51	1,403.77	Sale	
	2,000	191.02	01/31/11	74.34	116.68	Sale	
10/12/12	26,000	2,483.30	VARIOUS	962.85	1,520.45	Total of 2 lots	
Security total:							
		3,680.24		1,444.42	2,235.82		
UNILEVER PLC SPON ADR NEW / CUSIP: 904767704 / Symbol: UL							
01/25/12	37,000	1,198.40	01/13/11	1,112.22	86.18	Sale	
06/14/12	1,000	32.62	01/13/11	30.06	2.56	Sale	
08/15/12	2,000	65.43	01/13/11	60.12	5.31	Sale	
09/20/12	3,000	111.59	01/13/11	90.18	21.41	Sale	
Security total:							
		1,408.04		1,292.58	115.46		
UNILEVER N V N Y SHS NEW / CUSIP: 904784709 / Symbol: UN							
2 tax lots for 06/14/12. Total proceeds (and cost when required) reported to the IRS.							
	2,000	64.25	01/13/11	60.84	3.41	Sale	
	4,000	128.51	04/18/11	128.16	0.35	Sale	
06/14/12	6,000	192.76	VARIOUS	189.00	3.76	Total of 2 lots	
2 tax lots for 10/24/12. Total proceeds (and cost when required) reported to the IRS.							
	5,000	178.42	04/18/11	160.20	18.22	Sale	
	13,000	463.89	05/31/11	423.15	40.74	Sale	
10/24/12	18,000	642.31	VARIOUS	583.35	58.96	Total of 2 lots	
Security total:							
	3,000	114.47	01/13/11	91.26	23.21	Sale	
12/04/12		949.54		863.61	85.93		
UNION PAC CORP / CUSIP: 907818108 / Symbol: UNP							
02/08/12	2,000	228.03	01/13/11	198.12	29.91	Sale	
06/15/12	1,000	114.78	01/13/11	99.06	15.72	Sale	
06/22/12	4,000	460.26	01/13/11	396.24	64.02	Sale	
12/04/12	8,000	979.73	01/13/11	792.48	187.25	Sale	

Oppenheimer & Co. Inc.
Proceeds from Broker and Barter Exchange Transactions
 (continued)
 2012
 Account: G781366468

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional Information	Notes
Security total:		1,782.80		1,485.90	296.90		
UNITED NAT FOODS INC / CUSIP: 911163103 / Symbol: UNFI							
02/08/12	3,000	142.58	01/13/11	110.10	32.48	Sale	
06/14/12	1,000	50.54	01/13/11	36.70	13.84	Sale	
06/15/12	1,000	51.05	01/13/11	36.70	14.35	Sale	
09/20/12	1,000	59.20	01/13/11	36.70	22.50	Sale	
09/26/12	37,000	2,152.38	01/13/11	1,357.89	794.49	Sale	
Security total:		2,455.75		1,578.09	877.66		
UNITED PARCEL SERVICE INC CL B / CUSIP: 911312106 / Symbol: UPS							
2 tax lots for 06/14/12. Total proceeds (and cost when required) reported to the IRS.							
	2,000	153.73	01/13/11	144.50	9.23	Sale	
06/14/12	1,000	76.86	01/18/11	72.80	4.06	Sale	
	3,000	230.59	VARIOUS	217.30	13.29	Total of 2 lots	
06/15/12	1,000	77.26	01/13/11	72.25	5.01	Sale	
2 tax lots for 07/27/12. Total proceeds (and cost when required) reported to the IRS							
	7,000	532.71	01/18/11	509.60	23.11	Sale	
	7,000	532.71	02/16/11	527.80	4.91	Sale	
07/27/12	14,000	1,065.42	VARIOUS	1,037.40	28.02	Total of 2 lots	
Security total:		1,373.27		1,326.95	46.32		
V F CORP / CUSIP: 918204108 / Symbol: VFC							
02/09/12	1,000	139.20	01/13/11	82.34	56.86	Sale	
06/15/12	1,000	140.53	01/13/11	82.34	58.19	Sale	
12/04/12	3,000	469.72	01/13/11	247.01	222.71	Sale	
Security total:		749.45		411.69	337.76		
VALASSIS COMMUNICATIONS INC / CUSIP: 918866104 / Symbol: VCI							
06/14/12	2,000	38.83	05/02/11	56.54	-17.71	Sale	
08/20/12	14,000	348.14	05/02/11	395.78	-47.64	Sale	
12/04/12	15,000	389.99	05/02/11	424.05	-34.06	Sale	
Security total:		776.96		876.37	-99.41		
VECTREN CORP / CUSIP: 92240G101 / Symbol: VVC							
06/14/12	1,000	29.58	05/25/11	28.08	1.50	Sale	

Oppenheimer & Co. Inc.

Account: 6781368468

Proceeds from Broker and Barter Exchange Transactions

(continued)

Ic - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

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VECTREN CORP / CUSIP: 92240G101 / Symbol: VVC (cont'd)							
06/15/12	1 000	29.77	05/25/11	28.08	1.69	Sale	
12/04/12	1 000	29.25	05/25/11	28.08	1.17	Sale	
12/06/12	15,000	441.74	05/25/11	421.18	20.56	Sale	
	Security total:	530.34		505.42	24.92		
VIMPELCOM LTD SPONSORED ADR / CUSIP: 92719A106 / Symbol: VIP							
06/14/12	3,000	22.94	01/13/11	46.02	-23.08	Sale	
08/23/12	35,000	361.19	01/13/11	536.90	-175.71	Sale	
	Security total:	384.13		582.92	-198.79		
VISA INC COM CL A / CUSIP: 92826C839 / Symbol: V							
10/18/12	4,000	565.70	07/08/11	358.87	206.83	Sale	
12/04/12	6,000	887.86	07/08/11	538.31	349.55	Sale	
	Security total:	1,453.56		897.18	556.38		
VODAFONE GROUP PLC NEW SPONS ADR NEW / CUSIP: 92857W209 / Symbol: VOD							
02/08/12	5,000	138.39	01/13/11	137.20	1.19	Sale	
06/14/12	5,000	136.69	01/13/11	137.20	-0.51	Sale	
3 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.							
	7,000	179.89	01/13/11	192.08	-12.19	Sale	
	11,000	282.69	01/13/11	301.84	-19.15	Sale	
	8,000	205.59	04/04/11	231.43	-25.84	Sale	
12/04/12	26,000	688.17	VARIOUS	725.35	-57.18	Total of 3 lots	
	Security total:	943.25		999.75	-56.50		
VOLVO AKTIEBOLAGET ADR B / CUSIP: 928856400 / Symbol: VOLVY							
06/14/12	2,000	21.69	04/29/11	39.44	-17.75	Sale	
WPP PLC ADR / CUSIP: 92933H101 / Symbol:							
03/21/12	1,000	67.57	01/13/11	61.15	6.42	Sale	
05/23/12	7,000	425.75	01/13/11	428.05	-2.30	Sale	
12/04/12	1,000	68.78	01/13/11	61.15	7.63	Sale	
	Security total:	562.10		550.35	11.75		
WEINGARTEN RLTY INVS SH BEN INT / CUSIP: 948741103 / Symbol: WRI							
03/09/12	58,000	1,436.25	01/13/11	1,376.33	59.92	Sale	

Oppenheimer & Co. Inc.

Account: G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss	Additional information	Notes
WESTERN UN CO / CUSIP: 959802109 / Symbol: WU							
02/08/12	13,000	234.24	01/13/11	248.43	-14.19	Sale	
06/14/12	7,000	111.84	01/13/11	133.77	-21.93	Sale	
11/01/12	125,000	1,536.21	01/13/11	2,388.74	-852.53	Sale	
	78,000	958.59	08/26/11	1,267.45	-308.86	Sale	
	203,000	2,494.80	VARIOUS	3,656.19	-1,161.39	Total of 2 lots	
	Security total:	2,840.88		4,038.39	-1,197.51		
WORLEYPARSONS LTD ADR / CUSIP: 98161Q101 / Symbol: WYGPY							
06/14/12	1,000	25.06	05/24/11	30.59	-5.53	Sale	
06/15/12	1,000	25.49	05/24/11	30.59	-5.10	Sale	
11/07/12	8,000	201.99	05/24/11	244.72	-42.73	Sale	
	Security total:	252.54		305.90	-53.36		
ZEBRA TECHNOLOGIES CORP CL A / CUSIP: 989207105 / Symbol: ZBRA							
06/15/12	3,000	96.65	01/13/11	116.31	-19.66	Sale	
08/20/12	8,000	295.59	01/13/11	310.16	-14.57	Sale	
12/04/12	10,000	399.69	01/13/11	387.69	12.00	Sale	
	Security total:	791.93		814.16	-22.23		
ACCENTURE PLC IRELAND SHS CLASS A / CUSIP: G1151C101 / Symbol: ACN							
02/08/12	1,000	57.04	01/13/11	49.78	7.26	Sale	
05/10/12	14,000	825.87	01/13/11	696.92	128.95	Sale	
06/14/12	1,000	57.59	01/13/11	49.78	7.81	Sale	
06/27/12	12,000	665.22	01/13/11	597.36	67.86	Sale	
12/04/12	2,000	137.03	01/13/11	99.56	37.47	Sale	
	Security total:	1,742.75		1,493.40	249.35		
COOPER INDUSTRIES PLC / CUSIP: G24140108 / Symbol: CBE							
04/04/12	10,000	630.10	01/13/11	612.80	17.30	Sale	
05/22/12	37,000	2,604.50	01/13/11	2,267.36	337.14	Sale	
	Security total:	3,234.60		2,880.16	354.44		
WILLIS GROUP HOLDINGS PUBLIC L / CUSIP: G96666105 / Symbol: WSH							
06/14/12	3,000	105.38	01/13/11	105.09	0.29	Sale	
11/01/12	22,000	747.10	01/13/11	770.66	-23.56	Sale	

Oppenheimer & Co. Inc. **Account # G781366468**
Proceeds from Broker and Barter Exchange Transactions
2012 (continued)

1c - LONG-TERM TRANSACTIONS 6 - COVERED tax lot for which cost basis is reported to the IRS**

Report on Form 8949, Part II, with Box A checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	These columns are not reported to the IRS Gain or loss - Additional information - Notes
WILLIS GROUP HOLDINGS PUBLIC L / CUSIP: G96666105 / Symbol: WSH (cont'd)					
12/04/12	7,000	242.54	01/13/11	245.21	-2.67 Sale
	Security total:	1,095.02		1,120.96	-25.94
ACE LTD / CUSIP: H0023R105 / Symbol: ACE					
06/14/12	1,000	72.14	01/13/11	60.65	11.49 Sale
06/15/12	1,000	72.65	01/13/11	60.65	12.00 Sale
12/04/12	12,000	952.05	01/13/11	727.80	224.25 Sale
	Security total:	1,096.84		849.10	247.74
WEATHERFORD INTERNATIONAL LTD REG SHS / CUSIP: H27013103 / Symbol: WFT					
02/08/12	13,000	230.74	01/13/11	300.56	-69.82 Sale
10/18/12	5,000	61.99	01/13/11	115.60	-53.61 Sale
4 tax lots for 11/14/12 Total proceeds (and cost when required) reported to the IRS.					
	30,000	274.19	01/13/11	693.60	-419.41 Sale
	35,000	319.89	03/23/11	725.20	-405.31 Sale
	18,000	164.51	05/31/11	355.48	-190.97 Sale
	54,000	493.54	10/06/11	709.01	-215.47 Sale
11/14/12	137,000	1,252.13	VARIOUS	2,483.29	-1,231.16 Total of 4 lots
	Security total:	1,544.86		2,899.45	-1,354.59
YAMADA DENKI CO LTD JAPAN / CUSIP: J95534103 / Symbol: YMDAF					
11/28/12	9,000	308.24	06/16/11	742.50	-434.26 Sale
CORE LABORATORIES N V / CUSIP: N22717107 / Symbol: CLB					
02/08/12	1,000	120.39	01/13/11	90.03	30.36 Sale
06/15/12	1,000	118.11	01/13/11	90.03	28.08 Sale
12/04/12	4,000	425.32	01/13/11	360.12	65.20 Sale
	Security total:	663.82		540.18	123.64
FLEXTRONICS INTL LTD ORD / CUSIP: Y2573F102 / Symbol: FLEX					
06/14/12	4,000	25.19	01/13/11	32.59	-7.40 Sale
12/04/12	111,000	646.01	01/13/11	904.45	-258.44 Sale
	Security total:	671.20		937.04	-265.84
	Totals:	249,275.77		252,597.33	-3,321.57

Oppenheimer & Co. Inc.

Account: G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
AQR FDS DIVERSIFIED ARBITRAGE FD CL N / CUSIP: 00203H107 / Symbol: ADANX							
06/14/12	135 720	1,484.78	01/13/11	1,514.64	-29.86	Sale	
06/15/12	162 899	1,787.00	01/13/11	1,817.95	-30.95	Sale	
	Security total:	3,271.78		3,332.59	-60.81		
AT&T INC / CUSIP: 00206R102 / Symbol: T							
02/08/12	37,000	1,112.89	N/A	1,540.68	-427.79	Sale	
05/16/12	2,000	66.45	N/A	83.28	-16.83	Sale	
06/13/12	108,000	3,779.91	N/A	4,497.12	-717.21	Sale	
	Security total:	4,959.25		6,121.08	-1,161.83		
ABBOTT LABORATORIES B/E 5.6% DUE 11/30/17 / CUSIP: 002819AB6 / Symbol							
02/08/12	7,000,000	8,498.77	02/03/11	7,947.03	551.74	Sale	Original basis: \$7,947.03
ALLSTATE CORP B/E 6.2% DUE 05/16/14 / CUSIP: 020002AW1 / Symbol:							
02/13/12	7,000,000	7,791.91	02/04/11	7,881.65	-89.74	Sale	Original basis: \$7,881.65
APPLE INC / CUSIP: 037833100 / Symbol: AAPL							
03/20/12	3,000	1,806.14	N/A	357.69	1,444.67	Sale	
06/14/12	1,000	569.46	N/A	119.23	448.97	Sale	
09/20/12	2,000	1,396.56	N/A	232.46	1,155.58	Sale	
	Security total:	3,772.16		715.38	3,049.22		
ARCHER DANIELS MIDLAND CO / CUSIP: 039483102 / Symbol: ADM							
06/14/12	8,000	249.67	N/A	170.64	79.03	Sale	
06/15/12	8,000	250.87	N/A	170.64	80.23	Sale	
11/07/12	21,000	547.06	N/A	447.93	99.13	Sale	
11/13/12	87,000	2,185.22	N/A	1,855.71	329.51	Sale	
	Security total:	3,232.82		2,644.92	587.90		
BANK NEW YORK MELLON CORP / CUSIP: 064058100 / Symbol: BK							
05/16/12	70,000	1,464.36	N/A	2,204.30	-739.94	Sale	
CVS CAREMARK CORPORATION / CUSIP: 126650100 / Symbol: CVS							
02/08/12	7,000	305.15	N/A	194.95	82.62	Sale	
05/16/12	2,000	90.35	N/A	55.70	26.77	Sale	

Account: 6781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	2b - Cost or other basis	2c - Date of acquisition	2d - Gain or loss	2e - Additional information	2f - Notes
CVS CAREMARK CORPORATION / CUSIP: 126650100 / Symbol: CVS (conf'd)							
05/22/12	13,000	566.29	302.05	N/A	173.02	Sale	
06/14/12	2,000	91.45	55.70	N/A	27.87	Sale	
06/15/12	1,000	45.44	27.35	N/A	13.65	Sale	
06/19/12	27,000	1,247.52	751.95	N/A	389.19	Sale	
Security total:		2,366.20	1448.20		713.12		
CHEVRON CORP NEW / CUSIP: 166764100 / Symbol: CVX							
06/14/12	2,000	202.21	188.24	N/A	13.97	Sale	
06/15/12	1,000	103.62	94.12	N/A	9.50	Sale	
12/04/12	2,000	208.77	188.24	N/A	20.53	Sale	
Security total:		514.60	470.60		44.00		
COCA COLA CO B/E 4.875% DUE 03/15/19 / CUSIP: 191216AM2 / Symbol:							
02/08/12	7,000,000	8,252.93	7,581.91	02/02/11	671.02	Sale	Original basis: \$7,581.91
CONOCOPHILLIPS B/E 4.6% DUE 01/15/15 / CUSIP: 20825CAT1 / Symbol:							
02/09/12	7,000,000	7,803.88	7,641.27	02/02/11	162.61	Sale	Original basis: \$7,641.27
DU PONT E I DE NEMOURS & CO B/E 3.25% DUE 01/15/15 / CUSIP: 263534BY4 / Symbol:							
02/09/12	7,000,000	7,501.41	7,224.14	02/04/11	277.27	Sale	Original basis: \$7,224.14
EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FD CL A / CUSIP: 277923736 / Symbol: EAGMX							
06/14/12	116,324	1,137.65	1,198.14	01/13/11	-60.49	Sale	
06/15/12	177,784	1,738.73	1,831.18	01/13/11	-92.45	Sale	
Security total:		2,876.38	3,029.32		-152.94		
FEDERAL HOME LN MTG CORP B/E 5.125% DUE 07/15/12 / CUSIP: 3134A4QD9 / Symbol:							
02/10/12	9,000,000	9,188.44	9,598.36	02/02/11	-409.92	Sale	Original basis: \$9,598.36
FEDERAL NATL MTG ASSN B/E 4.375% DUE 03/15/13 / CUSIP: 31359MRG0 / Symbol:							
03/14/12	9,000,000	9,370.24	9,667.85	02/02/11	-297.61	Sale	Original basis: \$9,667.85

Oppenheimer & Co. Inc.

Account: G781366468

Proceeds from Broker and Barter Exchange Transactions

(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
FEDERAL NATL MTG ASSN B/E 4.625% DUE 10/15/14 / CUSIP: 31359MMWJ8 / Symbol: 02/02/11							
12/03/12	2,000,000	2,161.28		2,206.09	-44.81	Sale	Original basis: \$2,206.09
12/17/12	2,000,000	2,158.22		2,206.09	-47.87	Sale	Original basis: \$2,206.09
	Security total:	4,319.50		4,412.18	-92.68		
GOLDMAN SACHS GROUP INC B/E 5.125% DUE 01/15/15 / CUSIP: 38141GEA8 / Symbol: 02/03/11							
03/14/12	7,000,000	7,422.94		7,548.52	-125.58	Sale	Original basis: \$7,548.52
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOG							
01/27/12	5,000	2,878.94	N/A	2,919.70	965.24	Sale	
10/19/12	1,000	679.98	N/A	662.74	297.24	Sale	
12/04/12	2,000	1,382.26	N/A	765.48	616.78	Sale	
	Security total	4,941.18		3,061.92	1,879.26		
IVA WORLDWIDE FD CL A OPEN END / CUSIP: 45070A107 / Symbol: IVWAX							
06/14/12	84,333	1,271.74	01/13/11	1,418.48	-146.74	Sale	
06/15/12	107,291	1,634.04	01/13/11	1,804.63	-170.59	Sale	
	Security total:	2,905.78		3,223.11	-317.33		
INTERNATIONAL BUSINESS MACHS B/E 4.75% DUE 11/29/12 / CUSIP: 459200BA8 / Symbol: 02/03/11							
02/08/12	7,000,000	7,238.42		7,486.71	-248.29	Sale	Original basis: \$7,486.71
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol: JNJ							
06/14/12	3,000	196.49	N/A	202.11	-5.62	Sale	
10/04/12	42,000	2,912.21	N/A	2,829.54	82.67	Sale	
12/04/12	17,000	1,189.29	N/A	1,145.29	44.00	Sale	
	Security total:	4,297.99		4,176.94	121.05		
KRAFT FOODS INC CL A / CUSIP: 50075N104 / Symbol: KFT							
06/14/12	2,000	77.39	N/A	66.04	11.35	Sale	
KRAFT FOODS GROUP INC / CUSIP: 50076Q106 / Symbol: KRFT							
12/04/12	20,000	916.01	N/A	700.23	215.78	Sale	
12/07/12	8,000	358.24	N/A	280.09	78.15	Sale	
	Security total:	1,274.25		980.32	293.93		

Oppenheimer & Co. Inc.	Account G781366468
2012	Proceeds from Broker and Dealer Exchange Transactions
	(continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol	1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information	Notes
LAZARD EMERGING MKTS INSTL OPEN END / CUSIP: 52106N889 / Symbol: LZEMX								
	06/14/12	104.506	1,814.22	N/A	1,105.67	708.55	Sale	
	06/15/12	136.245	2,385.65	N/A	1,441.47	944.18	Sale	
Security total:			4,199.87		2,547.14	1,652.73		

MAIN STAY HIGH YIELD CLASS A OPEN END / CUSIP: 56062F772 / Symbol: MHCAX

8 tax lots for 02/08/12. Total proceeds (and cost when required) reported to the IRS:

	135.000	800.55	803.25	01/13/11	-2.70	Sale	
	146.164	866.75	869.68	01/13/11	-2.93	Sale	
	148.832	882.57	885.55	01/13/11	-2.98	Sale	
	195.991	1,162.23	1,166.15	01/13/11	-3.92	Sale	
	890.000	5,277.70	5,295.50	01/13/11	-17.80	Sale	
	1,379.000	8,177.47	8,205.05	01/13/11	-27.58	Sale	
	1,828.013	10,840.12	10,876.68	01/13/11	-36.56	Sale	
	9,136.038	54,176.71	54,359.43	01/13/11	-182.72	Sale	
02/08/12	13,859.038	82,184.10	82,461.29	VARIOUS	-277.19	Total of 8 lots	

MERGER FUND-SBI OPEN END SBI/CBI / CUSIP: 589509108 / Symbol: MERFX

	92.222	1,452.50	1,463.56	01/13/11	-11.06	Sale	
	111.654	1,759.67	1,771.95	01/13/11	-12.28	Sale	
Security total		3,212.17	3,235.51		-23.34		

MONDELEZ INTL INC CL A / CUSIP: 609207105 / Symbol: MDLZ

12/04/12	19.000	489.07	408.25	N/A	80.82	Sale	
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NATIONAL RURAL UTILS COOP FIN B/E 4.75% DUE 03/01/14 / CUSIP: 637432DC6 / Symbol:

02/13/12	7,000.000	7,535.85	7,549.36	02/02/11	-13.51	Sale	Original basis: \$7,549.36
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ORACLE CORP / CUSIP: 68389X105 / Symbol: ORCL

01/13/12	11.000	297.43	2,338.42	N/A	111.53	Sale	
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ORACLE CORP B/E 4.95% DUE 04/15/13 / CUSIP: 68389XAD7 / Symbol:

02/08/12	7,000.000	7,376.39	7,534.87	02/04/11	-158.48	Sale	Original basis: \$7,534.87
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Oppenheimer & Co., Inc. Account # G781366468
2012
Proceeds from Broker and Barter Exchange Transactions
 (continued)

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost of other basis	Gain/loss	Additional information	Notes
PFIZER INC B/E 5.35% DUE 03/15/15 / CUSIP: 717081DA8 / Symbol: 02/08/12	7,000,000	8,005.41	02/02/11	7,866.46	138.95	Sale Original basis: \$7,866.46	
SYSICO CORP B/E 5.25% DUE 02/12/18 / CUSIP: 871829AL1 / Symbol: 02/08/12	7,000,000	8,234.24	02/02/11	7,645.40	588.84	Sale Original basis: \$7,645.40	
TEMPLETON INCOME TR GLOBAL TOTAL RETURN FD CL A / CUSIP: 880208889 / Symbol: TGTRX 06/15/12	285.816	3,561.27	01/13/11	3,775.63	-214.36	Sale	
3 tax lots for 12/04/12. Total proceeds (and cost when required) reported to the IRS.							
	32,024	440.97	01/13/11	423.04	17.93	Sale	
	109,837	1,512.46	01/13/11	1,450.95	61.51	Sale	
	110,901	1,527.11	01/13/11	1,465.00	62.11	Sale	
12/04/12	252,762	3,480.54	VARIOUS	3,338.99	141.55	Total of 3 lots	
Security total:		7,041.81		7,114.62	-72.81		
TRAVELERS COMPANIES INC / CUSIP: 89417E109 / Symbol: TRV 02/08/12	6,000	358.49	N/A	186.84	171.65	Sale	
06/14/12	3,000	188.03	N/A	93.42	94.61	Sale	
06/15/12	1,000	63.34	N/A	31.14	32.20	Sale	
07/17/12	2,000	126.44	N/A	62.28	64.16	Sale	
10/18/12	2,000	148.20	N/A	62.28	85.92	Sale	
12/04/12	3,000	212.33	N/A	93.42	118.91	Sale	
Security total:		1,096.83		529.38	567.45		
U S BANCORP MTNS BK ENT B/E 4.2% DUE 05/15/14 / CUSIP: 91159HGR5 / Symbol: 02/09/12	7,000,000	7,521.08	02/02/11	7,507.36	13.72	Sale Original basis: \$7,507.36	
UNITED STATES TREAS NTS B/E 3.875% DUE 02/15/13 / CUSIP: 912828AU4 / Symbol: 02/08/12	10,000,000	10,375.00	02/04/11	10,633.24	-258.24	Sale Original basis: \$10,633.24	
UNITED STATES TREAS NTS B/E 4.25% DUE 11/15/17 / CUSIP: 912828HH6 / Symbol: 03/14/12	5,000,000	5,816.80	02/08/11	5,404.71	412.09	Sale Original basis: \$5,404.71	

Oppenheimer & Co. Inc.	
Account: G781366468	
2012	
Proceeds from Broker and Barter Exchange Transactions	
(continued)	

LONG-TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Report on Form 8949, Part II, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
WALGREEN CO B/E 5.25% DUE 01/15/19 / CUSIP: 931422AE9 / Symbol: 02/08/12	7,000 000	8,235.99	02/03/11	7,671.02	564.97	Sale Original basis: \$7,671.02	
Totals:		274,964.62	269,718.57	900,974.29	7,993.38	62,246.05	

UNDETERMINED TERM TRANSACTIONS 6 - NONCOVERED tax lot for which cost basis is NOT reported to the IRS**

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long term or short term.

Report on Form 8949, either Part I or Part II as appropriate, with Box B checked

8 - Description / CUSIP / 1d - Symbol

1a - Date of Sale or exchange	1e - Quantity	2a - Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional information	Notes
ALLERGAN INC / CUSIP: 018490102 / Symbol: AGN							
02/08/12	1,000	89.00	N/A	...	...	Sale	
06/14/12	1,000	91.56	N/A	...	...	Sale	
06/15/12	7,000	647.21	N/A	...	...	Sale	
06/29/12	7,000	643.49	N/A	...	...	Sale	
Security total:		1,471.26		0.00	0.00		
BAXTER INTL INC / CUSIP: 071813109 / Symbol: BAX							
04/26/12	27,000	1,477.43	N/A	...	...	Sale	
06/14/12	2,000	98.17	N/A	...	...	Sale	
09/20/12	2,000	122.05	N/A	...	...	Sale	
11/06/12	22,000	1,459.15	N/A	...	...	Sale	
Security total:		3,156.80		0.00	0.00		
INTEL CORP / CUSIP: 458140100 / Symbol: INTC							
01/26/12	201,000	5,359.33	N/A	...	...	Sale	
06/14/12	8,000	214.39	N/A	...	...	Sale	
06/15/12	8,000	218.87	N/A	...	...	Sale	
10/11/12	2,000	43.37	N/A	...	...	Sale	
12/04/12	2,000	39.93	N/A	...	...	Sale	
Security total:		5,875.89		0.00	0.00		
MARSH & MCLENNAN COS INC / CUSIP: 571748102 / Symbol: MMC							
02/08/12	16,000	519.03	N/A	...	...	Sale	

Continuation of Attachment #.

**Kathryn & W. H. Schwarzschild Fund
Adjustment to Gain/Loss Statement for Transferred Stock
Year Ending 12/31/2012**

Cost Basis per Gain/Loss Statement	799,773.62
3 shares Apple Inc	(3.78)
1 share Apple Inc	(1.26)
2 shares Apple Inc	(2.52)
7 shares CVS Caremark	(27.58)
2 shares CVS Caremark	(7.88)
13 shares CVS Caremark	(51.22)
2 shares CVS Caremark	(7.88)
1 share CVS Caremark	(3.94)
27 shares CVS Caremark	(106.38)
5 shares Google	1,160.75
1 share Google	232.15
2 shares Google	464.30
11 shares Oracle Corp	102.52
Total Cost Basis of Investments Sold	801,520.90

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
OPPENHEIMER	57,859.	4,530.	53,329.
TOTAL TO FM 990-PF, PART I, LN 4	57,859.	4,530.	53,329.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,535.	3,535.		0.
TO FORM 990-PF, PG 1, LN 16B	3,535.	3,535.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TRUSTEE FEES	20,142.	20,142.		0.
TO FORM 990-PF, PG 1, LN 16C	20,142.	20,142.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	1,491.	1,491.		0.
TO FORM 990-PF, PG 1, LN 18	1,491.	1,491.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADMINISTRATIVE SERVICES	500.	500.		0.
BANK FEES	15.	15.		0.
TO FORM 990-PF, PG 1, LN 23	515.	515.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	6
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
OPPENHEIMER - GOVERNMENT BONDS - SEE ATTACHMENT #1	X		52,403.	53,904.
TOTAL U.S. GOVERNMENT OBLIGATIONS			52,403.	53,904.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			52,403.	53,904.

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
OPPENHEIMER - EQUITIES - SEE ATTACHMENT #1	631,388.	686,087.
TOTAL TO FORM 990-PF, PART II, LINE 10B	631,388.	686,087.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
OPPENHEIMER - CORPORATE BONDS - SEE ATTACHMENT #1	238,985.	246,822.
TOTAL TO FORM 990-PF, PART II, LINE 10C	238,985.	246,822.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OPPENHEIMER - MUTUAL FUNDS - SEE ATTACHMENT #1	COST	432,417.	471,183.
TOTAL TO FORM 990-PF, PART II, LINE 13		432,417.	471,183.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. KATHRYN & W H SCHWARZSCHILD FUND	Employer identification number (EIN) or 54-6035733
	Number, street, and room or suite no. If a P.O. box, see instructions. C/O WILLIAMS MULLEN, P.O. BOX 1320	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. RICHMOND, VA 23218-1320	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE VERITY GROUP OF OPPENHEIMER AND CO.

- The books are in the care of ► **2000 K STREET, NW - WASHINGTON, DC 20006**
Telephone No. ► **202-261-0772** FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2012** or
► ☐ tax year beginning , and ending .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	KATHRYN & W H SCHWARZSCHILD FUND		54-6035733
	Number, street, and room or suite no. If a P.O. box, see instructions		Social security number (SSN)
	C/O WILLIAMS MULLEN, P.O. BOX 1320		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	RICHMOND, VA 23218-1320		

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE VERITY GROUP OF OPPENHEIMER AND CO.

- The books are in the care of **2000 K STREET, NW - WASHINGTON, DC 20006**

Telephone No **202-261-0772**

FAX No

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2013**

5 For calendar year **2012**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS REQUIRED TO GATHER THE NECESSARY INFORMATION TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete, and that I am authorized to prepare this form.

Signature *James H. Miller* Title *CPA*

Date *8/13/13*