Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

For calendar year 2012, or tax year beginning , 2012, and ending ,

THE OCHSMAN FOUNDATION, INC.
1650 TYSONS BLVD #820
MCLEAN, VA 22102

A Employer identification number
54-1893317

B Telephone number (see the instructions)
703-905-9500

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 10,059,751.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))* STMT 9

			(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
REVENUE	1	Contributions, gifts, grants, etc, received (att sch)	2,507,887.			
	2	Ck ► ☐ if the foundn is **not** req to att Sch B				
	3	Interest on savings and temporary cash investments	5,185.	5,185.		
	4	Dividends and interest from securities	166,255.	166,255.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10	310,498.			
	b	Gross sales price for all assets on line 6a 4,575,818.				
	7	Capital gain net income (from Part IV, line 2)		310,498.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit/(loss) (att sch)				
	11	Other income (attach schedule) SEE STATEMENT 1	-1,152.	-9,284.		
	12	**Total.** Add lines 1 through 11	2,988,673.	472,654.	0.	
OPERATING AND ADMINISTRATIVE EXPENSES	13	Compensation of officers, directors, trustees, etc	0.			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach sch) SEE ST 2	7,900.	7,900.		
	c	Other prof fees (attach sch) SEE ST 3	63,658.	63,658.		
	17	Interest	7,223.	7,223.		
	18	Taxes (attach schedule)(see instrs) SEE STM 4	18,918.	341.		
	19	Depreciation (attach sch) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) SEE STATEMENT 5	117,064.	28,875.		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	214,763.	107,997.		
	25	Contributions, gifts, grants paid PART XV	220,740.			220,740.
	26	**Total expenses and disbursements.** Add lines 24 and 25	435,503.	107,997.	0.	220,740.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	2,553,170.			
	b	**Net investment income** (if negative, enter 0-)		364,657.		
	c	**Adjusted net income** (if negative, enter -0-)			0.	

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13 P

Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	284,856.	2,714,232.	2,714,232.
	3	Accounts receivable ►	7,973.		
		Less· allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less. allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U.S. and state government obligations (attach schedule)			
	b	Investments — corporate stock (attach schedule) STATEMENT 6	6,036,132.	6,201,501.	6,288,040.
	c	Investments — corporate bonds (attach schedule)			
	11	Investments — land, buildings, and equipment basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule) STATEMENT 7	1,168,731.	1,135,129.	1,057,479.
	14	Land, buildings, and equipment basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers — see the instructions. Also, see page 1, item I)	7,497,692.	10,050,862.	10,059,751.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	7,497,692.	10,050,862.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	7,497,692.	10,050,862.	
	31	***Total liabilities and net assets/fund balances*** (see instructions)	7,497,692.	10,050,862.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,497,692.
2	Enter amount from Part I, line 27a	2	2,553,170.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	10,050,862.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	10,050,862.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	**(b)** How acquired P — Purchase D — Donation	**(c)** Date acquired (month, day, year)	**(d)** Date sold (month, day, year)
1a	SEE STATEMENT 8			
b				
c				
d				
e				

	(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
	(i) Fair Market Value as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of column (i) over column (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	310,498.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	**(b)** Adjusted qualifying distributions	**(c)** Net value of noncharitable-use assets	**(d)** Distribution ratio (column (b) divided by column (c))
2011	278,365.	7,670,980.	0.036288
2010	276,050.	7,315,404.	0.037735
2009	287,710.	8,359,201.	0.034418
2008	477,600.	7,756,182.	0.061577
2007	484,930.	8,503,262.	0.057029

2	**Total** of line 1, column (d)	2	0.227047
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.045409
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	8,586,022.
5	Multiply line 4 by line 3	5	389,883.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,647.
7	Add lines 5 and 6	7	393,530.
8	Enter qualifying distributions from Part XII, line 4	8	220,740.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary – see instrs)** **b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b **c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			1	7,293.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	7,293.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	7,293.
6 Credits/Payments:				
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	6,859.		
b Exempt foreign organizations – tax withheld at source	6 b			
c Tax paid with application for extension of time to file (Form 8868)	6 c	10,000.		
d Backup withholding erroneously withheld	6 d			
7 Total credits and payments. Add lines 6a through 6d			7	16,859.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►			9	0.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►			10	9,566.
11 Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ► 9,566. **Refunded** ►			11	0.

Part VII-A | Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c Did the foundation file **Form 1120-POL** for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. **(1)** On the foundation. ► $ 0. **(2)** On foundation managers. ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a	X	
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV.*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► MD			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► TERESA WEISENBURGER Telephone no ► 703-905-9500

Located at ► 1650 TYSONS BLVD, STE 820 MCLEAN VA ZIP + 4 ► 22102

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	*At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a* bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.				Yes	No
1 a During the year did the foundation (either directly or indirectly):					
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No			
b If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?			1 b	N/A	
Organizations relying on a current notice regarding disaster assistance check here ► ☐					
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?			1 c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))					
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes	☒ No			
If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__					
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions.)			2 b	N/A	
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__					
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No			
b If 'Yes,' did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*			3 b	N/A	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?			4 a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?			4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**	N/A	
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No *If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)*			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If 'Yes' to 6b, file Form 8870*	**6b**		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RALPH OCHSMAN 1650 TYSONS BLVD, STE 820 MCLEAN, VA 22102	PRESIDENT 0	0.	0.	0.
BRUCE DAVID OCHSMAN 1620 TYSONS BLVD, STE 820 MCLEAN, VA 22102	SECRETARY 0	0.	0.	0.
MICHAEL PAUL OCHSMAN 16650 TYSONS BLVD, STE 820 MCLEAN, VA 22102	VICE PRESIDE 0	0.	0.	0.
JEFFREY WAYNE OCHSMAN 1650 TYSONS BLVD, STE 820 MCLEAN, VA 22102	VICE PRESIDE 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services	►	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:			
a	Average monthly fair market value of securities		1a	7,202,543.
b	Average of monthly cash balances		1b	542,478.
c	Fair market value of all other assets (see instructions)		1c	971,753.
d	**Total** (add lines 1a, b, and c)		1d	8,716,774.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	8,716,774.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	130,752.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	8,586,022.
6	**Minimum investment return.** Enter 5% of line 5		6	429,301.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	429,301.
2a	Tax on investment income for 2012 from Part VI, line 5	2a 7,293.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	7,293.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	422,008.
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	422,008.
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	422,008.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes.		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	220,740.
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	220,740.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	220,740.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					422,008.
2 Undistributed income, if any, as of the end of 2012.					
a Enter amount for 2011 only				31,526.	
b Total for prior years. 20 ___, 20 ___, 20 ___			0.		
3 Excess distributions carryover, if any, to 2012.					
a From 2007					
b From 2008					
c From 2009					
d From 2010					
e From 2011					
f **Total** of lines 3a through e		0.			
4 Qualifying distributions for 2012 from Part XII, line 4 ► $ 220,740.					
a Applied to 2011, but not more than line 2a				31,526.	
b Applied to undistributed income of prior years (Election required — see instructions)			0.		
c Treated as distributions out of corpus (Election required — see instructions)		0.			
d Applied to 2012 distributable amount					189,214.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5.		0.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions			0.		
e Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount — see instructions				0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					232,794.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		0.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		0.			
10 Analysis of line 9					
a Excess from 2008					
b Excess from 2009					
c Excess from 2010					
d Excess from 2011					
e Excess from 2012					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			**(e) Total**
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** 'Assets' alternative test – enter. **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

RALPH OCHSMAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE SCHEDULE	NONE	PRIV	CHARITABLE	220,740.
Total			► **3a**	220,740.
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	5,052.	STMT-10 133.
4 Dividends and interest from securities			14	166,255.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	-9,284.	
8 Gain or (loss) from sales of assets other than inventory			18	310,498.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a O'CONNOR FUND OF FUNDS	999999	8,132.			
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		8,132.		472,521.	133.
13 Total. Add line 12, columns (b), (d), and (e)				13	480,786.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	**1 a (1)**		X
(2) Other assets	**1 a (2)**		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	**1 b (1)**		X
(2) Purchases of assets from a noncharitable exempt organization	**1 b (2)**		X
(3) Rental of facilities, equipment, or other assets	**1 b (3)**		X
(4) Reimbursement arrangements	**1 b (4)**		X
(5) Loans or loan guarantees	**1 b (5)**		X
(6) Performance of services or membership or fundraising solicitations	**1 b (6)**		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1 c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date: 11/15/13

Title: PRES.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JOHN J. SCHOFIELD		11/14/13		P01431999

Firm's name ▶ HARITON, MANCUSO & JONES, P.C.

Firm's EIN ▶ 52-1706411

Firm's address ▶ 11140 ROCKVILLE PIKE
ROCKVILLE, MD 20852

Phone no (301) 984-6400

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545 0047

2012

Name of the organization
THE OCHSMAN FOUNDATION, INC.

Employer identification number
54-1893317

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)(____) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II.)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (**1**) $5,000 or (**2**) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year ► $ ____________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, or 990-PF.

Schedule **B** (Form 990, 990-EZ, or 990-PF) (2012) Page 1 of 1 of **Part 1**

Name of organization	Employer identification number
THE OCHSMAN FOUNDATION, INC.	54-1893317

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RALPH OCHSMAN	$ 2,526,557.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
THE OCHSMAN FOUNDATION, INC.	54-1893317

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	9030 CONGRESSIONAL PKWY ROCKVILLE, MD 20854 REAL ESTATE - RESIDENTIAL	$ 2,526,557.	7/03/12

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE OCHSMAN FOUNDATION, INC.

Employer identification number
54-1893317

Part III ***Exclusively*** **religious, charitable, etc, individual contributions to section 501(c)(7), (8) or (10) organizations that total more than $1,000 for the year.** Complete columns (a) through (e) and the following line entry.
For organizations completing Part III, enter total of *exclusively* religious, charitable, etc, contributions of **$1,000 or less** for the year (Enter this information once. See instructions.) ► $ N/A
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Ochsman Foundation, Inc.
Charitable Contributions
2012

Date	Check #	Charity	Amount
10/11/12	1611	ACLU	500 00
8/2/12	1553	Advocates	1,000 00
10/11/12	1606	Advocates	1,000.00
10/25/12	1622	American Cancer Society	7,500 00
2/23/12	1541	American Conservative Union	100 00
8/2/12	1555	Animal Shelter of Wood River Valley	250 00
10/9/12	1601	Animal Shelter of Wood River Valley	500 00
3/27/12	1543	Barker Foundation	1,250 00
12/24/12	1655	Birthright Israel Foundation	500 00
8/2/12	1554	Blaine County Trails	250 00
10/11/12	1605	Camp Rainbow Gold	1,000 00
8/16/12	1567	Caron Foundation	2,500 00
2/29/12	1542	Caron Foundation	1,000 00
9/20/12	1584	CCFA	500 00
4/27/12	1546	Charity Watch	40 00
10/9/12	1598	Coalition to Unchain Dogs	1,000 00
8/27/12	1568	Columbia Lighthouse for the Blind	500 00
10/11/12	1607	Environmental Resource Center	1,000 00
8/2/12	1551	Fred Hutchinson Cancer Research Foundation	1,000 00
1/17/12	1538	Habitat for Humanity	1,000 00
11/14/12	1628	Hebrew Home of Gr Washington	2,000 00
8/2/12	1552	Hospice of the Wood River Valley	1,000 00
10/11/12	1608	Hospice of the Wood River Valley	2,500.00
8/2/12	1549	Hunger Coalition	2,500 00
10/11/12	1604	Hunger Coalition	10,000 00
10/9/12	1597	Independent Animal Rescue	1,000 00
4/30/12	1474V	Intl Fellowship of Christians (voided check from 2011)	-1,000 00
10/9/12	1599	Jewish Federation	2,000 00
1/9/12	1537	Jewish Foundation for Group Homes	5,000 00
12/5/12	1640	Jewish Foundation for Group Homes	5,000 00
4/4/12	1545	Kristol Center for Jewish Life	500 00
10/11/12	1615	NAMI	1,925 00
10/11/12	1613	NARAL	500 00
10/9/12	1596	Orange County Animal Shelter	1,500 00
10/9/12	1594	Orange County Rape Crisis Center	1,500 00
10/11/12	1610	Planned Parenthood Federation - Greater Northwest	2,000 00
10/9/12	1595	Planned Parenthood Federation - Orange County	1,500 00
10/9/12	1600	Ronald McDonald House	1,500 00
12/24/12	1654	Second Genesis	500 00
2/15/12	1540	Southeastern Legal Foundation	100 00
10/11/12	1614	St Lukes Medical Center	2,000 00
10/11/12	1609	Sun Valley Center for the Arts	1,000 00
8/2/12	1548	Swiftsure Ranch Therapeutic Equine Center	16,000 00
10/11/12	1612	Swiftsure Ranch Therapeutic Equine Center	10,000 00
12/10/12	1648	The Delray Club	1,500 00
3/28/12	1544	Tourette Syndrome Assn, Inc	10,000 00
10/16/12	1619	Tourette Syndrome Assn, Inc	2,000 00
12/5/12	1639	Tourette Syndrome Assn, Inc	100,000 00
12/10/12	1649	Washington College of Law	250 00
8/27/12	1569	Washington Hebrew Congregation	5,000 00
10/9/12	1602	Wood River Jewish Community Foundation	2,000 00
8/2/12	1550	Wood River Land Trust	3,000 00
10/11/12	1603	Wood River Land Trust	3,000 00
10/11/12	1616	Wood River Womens Char Foundation	1,075 00
		Total	220,740 00

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
O'CONNOR FUND OF FUNDS	$ 8,132.		
OTHER INVESTMENT INCOME	-9,284.	$ -9,284.	
TOTAL	$ -1,152.	$ -9,284.	$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	$ 7,900.	$ 7,900.		
TOTAL	$ 7,900.	$ 7,900.	$ 0.	$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING	$ 10,000.	$ 10,000.		
UBS MANAGEMENT FEES	53,658.	53,658.		
TOTAL	$ 63,658.	$ 63,658.	$ 0.	$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	$ 341.	$ 341.		
REAL ESTATE TAXES	18,027.			
TAX PAYMENT	550.			
TOTAL	$ 18,918.	$ 341.	$ 0.	$ 0.

STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PORTFOLIO DEDUCTIONS	$ 28,875.	$ 28,875.		
REPARIS AND MAINTENANCE	68,791.			
UTILITIES	19,398.			
TOTAL	$ 117,064.	$ 28,875.	$ 0.	$ 0.

STATEMENT 6
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SECURITIES HELD BY UBS	MKT VAL	$ 6,201,501.	$ 6,288,040.
	TOTAL	$ 6,201,501.	$ 6,288,040.

STATEMENT 7
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

OTHER INVESTMENTS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
O'CONNOR FUND OF FUNDS: EVENT LLC	COST	$ 683,524.	$ 639,472.
ABBEY CAPITAL MULTI-MANAGER FUND, LTD	COST	451,605.	418,007.
	TOTAL	$ 1,135,129.	$ 1,057,479.

STATEMENT 8
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	CAPITAL GAIN DISTRIBUTION	PURCHASED	VARIOUS	VARIOUS
2	FROM PASS THROUGH K-1S	PURCHASED	VARIOUS	VARIOUS
3	UBS ACCT 90505 - SEE ATTACHMENT (S/T)	PURCHASED	VARIOUS	VARIOUS
4	UBS ACCT 90505 - SEE ATTACHMENT (L/T)	PURCHASED	VARIOUS	VARIOUS
5	UBS ACCT 90713 - SEE ATTACHMENT (S/T)	PURCHASED	VARIOUS	VARIOUS
6	UBS ACCT 90713 - SEE ATTACHMENT (L/T)	PURCHASED	VARIOUS	VARIOUS
7	UBS ACCT 90505 - ADJUSTMENT (S/T)	PURCHASED	VARIOUS	VARIOUS
8	UBS ACCT 90713 - ADJUSTMENT (L/T)	PURCHASED	VARIOUS	VARIOUS

STATEMENT 8 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
1	53,395.		0.	53,395.				$ 53,395.
2	0.		5,989.	-5,989.				-5,989.
3	932,042.		945,445.	-13,403.				-13,403.
4	400,685.		381,904.	18,781.				18,781.
5	168,342.		160,903.	7,439.				7,439.
6	3018545.		2771079.	247,466.				247,466.
7	2,693.		0.	2,693.				2,693.
8	116.		0.	116.				116.
							TOTAL	$ 310,498.

CONTRIBUTIONS, GIFTS, AND GRANTS STMT - 9
OTHER CONTRIBUTIONS, GIFTS, GRANTS, ETC.

PROCEEDS FROM SALE OF 9030 CONGRESSIONAL PKWY		$ 2,507,887.
	TOTAL	$ 2,507,887.

OTHER INCOME PRODUCING ACTIVITIES STMT — 10
INTEREST ON SAVINGS & CASH INVESTMENTS

TAX-EXEMPT INTEREST INCOME		$ 133.
	TOTAL	$ 133.

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order.

See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CALAMOS STRATEGIC TOTAL RETURN FUND	VSP	3,751 000	Feb 23, 11	Feb 21, 12	35,842.84	35,956.52		-113 68	
	VSP	224.000	Mar 15, 11	Feb 21, 12	2,140 44	2,106.51			33.93
	VSP	2,805.000	Mar 16, 11	Feb 23, 12	26,969 48	26,189.31			780.17
	VSP	235 000	Apr 15, 11	Feb 21, 12	2,245 55	2,262.04		-16 49	
	VSP	234.000	May 16, 11	Feb 21, 12	2,236 00	2,275.08		-39 08	
	VSP	277 000	Jul 15, 11	Feb 21, 12	2,646.88	2,635.22			11.66
	VSP	37 000	Oct 14, 11	Feb 23, 12	355 75	309.28			46.47
	Adjustment	368 000	Feb 15, 12	Feb 21, 12	3,516 44	3,523 36	-13.12	-6.92	
CLIFFS NAT RESOURCES INC	FIFO	2,500.000	Aug 23, 12	Aug 30, 12	89,390.05	99,253.94		-9,863 89	
FORD MOTOR CO COM NEW	FIFO	3,500 000	Oct 31, 11	Jan 10, 12	41,520.71	41,359.56			161 15
HOME PROPERTIES INC	FIFO	2,000 000	Oct 15, 12	Nov 07, 12	121,434 92	117,313.62			4,121.30
MICROSOFT CORP	FIFO	3,300.000	Oct 22, 12	Nov 07, 12	97,378 93	93,036.49			4,342 44
PUTNAM MASTER INTERMED INCOME TRUST	FIFO	268.000	May 02, 11	Apr 19, 12	1,349 22	1,608 47		-259.25	
	FIFO	170 000	Jun 01, 11	Apr 19, 12	855.85	1,011 50		-155.65	
	FIFO	3,783 000	Jun 16, 11	Apr 19, 12	19,045 23	21,713 32		-2,668.09	
	FIFO	6,047.000	Jun 16, 11	Jun 01, 12	29,584 77	34,708 01		-5,123.24	
	FIFO	89 000	Jul 01, 11	Jun 01, 12	435.43	517.09		-81.66	
	FIFO	94 000	Aug 01, 11	Jun 01, 12	459.90	520.15		-60.25	
	FIFO	95 000	Sep 01, 11	Jun 01, 12	464.78	526.30		-61 52	
	FIFO	2,817 000	Sep 12, 11	Jun 01, 12	13,782.09	15,359 17		-1,577 08	
	FIFO	124.000	Oct 03, 11	Jun 01, 12	606 67	611.64		-4 97	
	FIFO	121 000	Nov 01, 11	Jun 01, 12	591.99	611.56		-19 57	
	FIFO	125.000	Dec 01, 11	Jun 01, 12	611 56	614 68		-3 12	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	121.000	Jan 03, 12	Jun 01, 12	591.98	606 14	-13.03	-14 16	
	FIFO	123 000	Feb 01, 12	Jun 01, 12	601.78	625.87		-24 09	
	FIFO	121.000	Mar 01, 12	Jun 01, 12	591 99	627 60		-35.61	
	FIFO	123 000	Apr 02, 12	Jun 01, 12	601.77	632 96		-31.19	
	FIFO	56.000	May 01, 12	Jun 01, 12	273.98	285 49		-11.51	
	FIFO	58 000	Jun 01, 12	Jun 14, 12	262 93	301.23	13 03	-38 30	
PUTNAM PREMIER INCOME TRUST SBI	FIFO	300.000	Jul 28, 11	Apr 19, 12	1,610.33	1,885.25		-274.92	
	FIFO	5,027.000	Jul 29, 11	Apr 19, 12	26,983.69	30,971.02		-3,987.33	
	FIFO	4,673.000	Jul 29, 11	Apr 19, 12	25,214.44	28,790.04		-3,575.60	
	FIFO	327.000	Jul 29, 11	Apr 19, 12	1,764.42	2,009.73		-245.31	
	FIFO	4,673.000	Jul 29, 11	May 14, 12	25,005.75	28,720.09		-3,714.34	
	FIFO	5,000.000	Sep 12, 11	May 14, 12	26,755.57	29,424.99		-2,669.42	
	FIFO	109.000	Feb 01, 12	May 14, 12	583.27	598.93		-15 66	
	FIFO	108 000	Mar 01, 12	May 14, 12	577.92	600.45		-22.53	
	FIFO	110.000	Apr 02, 12	May 14, 12	588.62	603.90		-15 28	
	FIFO	53.000	May 01, 12	May 14, 12	283 61	297.30		-13 69	
SANDRIDGE ENERGY INC	FIFO	1,500.000	Jun 06, 11	Jan 03, 12	12,470.32	16,248.59		-3,778 27	
	FIFO	4,500.000	Sep 02, 11	Jan 03, 12	37,410.95	31,251.68			6,159.27
	FIFO	1,000.000	Sep 02, 11	Jan 05, 12	8,628.86	6,944 82			1,684 04
	FIFO	3,000.000	Oct 27, 11	Jan 05, 12	25,886.56	24,030.71			1,855 85
	FIFO	1,000.000	Oct 27, 11	Jan 10, 12	8,716.20	8,010.24			705.96
	FIFO	6,000.000	Nov 01, 11	Jan 10, 12	52,297.18	42,899.37			9,397.81
	FIFO	6,000.000	Apr 09, 12	Oct 11, 12	43,653 65	45,631 01		-1,977 36	
	FIFO	4,000.000	Apr 09, 12	Oct 11, 12	29,216 50	30,420 67		-1,204.17	
	FIFO	4,000.000	Apr 09, 12	Oct 16, 12	28,801.27	30,473.33		-1,672 06	
	FIFO	3,000 000	Apr 10, 12	Oct 16, 12	21,600.95	22,057.76		-456 81	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	4,000.000	Apr 16, 12	Oct 16, 12	28,801.26	28,254.62			546.64
	FIFO	4,000 000	May 03, 12	Oct 16, 12	28,801.27	28,218.44			582.83
Total					$932,042.50	$945,445.05		-$43,832.07	$30,429.52
Net short-term capital gains and losses								-$13,402.55	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BMW BANK NA UT US RT 03.1500% MAT 06/18/12 FIXED RATE CD	FIFO	200,000.000	Jun 10, 09	Jun 18, 12	200,000.00	200,000 00			
CALAMOS STRATEGIC TOTAL RETURN FUND	FIFO	4,945.000	May 05, 10	Feb 22, 12	47,361.65	44,237.32			3,124.33
	FIFO	169.000	May 17, 10	Feb 22, 12	1,618.63	1,413.34			205 29
	FIFO	560.000	May 19, 10	Feb 22, 12	5,363.50	4,702.47			661 03
	FIFO	2,440.000	May 19, 10	Sep 21, 12	24,848.17	20,489.31			4,358 86
	FIFO	191.000	Jun 15, 10	Sep 21, 12	1,945 09	1,576.95			368.14
	FIFO	1,456.000	Jul 02, 10	Sep 21, 12	14,827 43	11,159.84			3,667.59
	FIFO	4,000.000	Jul 02, 10	Sep 21, 12	40,855 55	30,658.91			10,196 64
	VSP	201.000	Jan 05, 11	Feb 23, 12	1,932.57	1,891.16			41.41
	VSP	195.000	Feb 15, 11	Feb 21, 12	1,863.33	1,897.64		-34.31	
	VSP	144.000	Sep 28, 11	Feb 23, 12	1,384.53	1,246.52	68.67		138.01
	VSP	143 000	Sep 28, 11	Feb 23, 12	1,374.91	1,250.96	81 29		123.95
	VSP	1,617.000	Sep 28, 11	Feb 23, 12	15,547.11	13,675.59	449.29		1,871.52
	VSP	295.000	Oct 14, 11	Feb 23, 12	2,836.36	2,547.85	81 97		288.51
PUTNAM MASTER INTERMED INCOME TRUST	FIFO	6,579.000	Dec 09, 10	Apr 19, 12	33,121.48	38,339 11		-5,217.63	
	FIFO	294.000	Jan 03, 11	Apr 19, 12	1,480 12	1,724.86		-244.74	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	294 000	Feb 01, 11	Apr 19, 12	1,480.12	1,737 54		-257 42	
	FIFO	294 000	Mar 01, 11	Apr 19, 12	1,480.12	1,755 18		-275.06	
	FIFO	271.000	Apr 01, 11	Apr 19, 12	1,364.33	1,599 89		-235.56	
Total					$400,685.00	$381,904.44		-$6,264.72	$25,045.28
Net long-term capital gains or losses									$18,780.56
Net capital gains/losses:									$5,378.01

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Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order.

See Important information about your statement on the last page of this statement for more information. We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALGER SPECTRA FUND CLASS A	FIFO	94.624	Mar 09, 12	Aug 06, 12	1,289 73	1,277.42			12 31
	FIFO	585.041	Mar 09, 12	Oct 04, 12	8,430 44	7,898 06			532 38
AMERICAN FUNDS EUROPACIFIC GR F-1	FIFO	68.470	Dec 28, 11	Mar 09, 12	2,672.38	2,395 08			277.30
AMERICAN FUNDS CAPITAL WORLD G/I F-1	FIFO	19.762	Mar 21, 11	Mar 09, 12	695 03	702.14		-7.11	
	FIFO	52.757	Jun 17, 11	Mar 09, 12	1,855.46	1,891.87		-36 41	
	FIFO	22.212	Sep 16, 11	Mar 09, 12	781 20	714.34			66 86
	FIFO	38.850	Dec 19, 11	Mar 09, 12	1,366 35	1,209 40			156 95
BLACKROCK U.S OPPORTUNITIES A	FIFO	43.813	Dec 13, 11	Mar 09, 12	1,531.26	1,365.65			165.61
	FIFO	399.919	Dec 13, 11	Mar 09, 12	13,977 17	12,465 46			1,511 71
COLUMBIA DIVIDEND OPPORTUNITY FUND A	FIFO	151 597	Mar 09, 12	Aug 06, 12	1,314 35	1,300.70			13.65
	FIFO	940 925	Mar 09, 12	Oct 04, 12	8,383 64	8,073 14			310 50
DELAWARE INFLATION PROTECTED BOND FUND CLASS A	FIFO	83.726	Mar 25, 11	Mar 09, 12	916 80	879.96			36 84
	FIFO	83 544	Apr 27, 11	Mar 09, 12	914 81	883.90			30.91
	FIFO	178.140	Jun 22, 11	Mar 09, 12	1,950 63	1,907 88			42 75
	FIFO	54.280	Jul 22, 11	Mar 09, 12	594 37	591 11			3 26
	FIFO	13 574	Sep 22, 11	Mar 09, 12	148 63	152 98		-4 35	
	FIFO	46 673	Oct 24, 11	Mar 09, 12	511 07	516 67		-5 60	
	FIFO	517 143	Nov 22, 11	Mar 09, 12	5,662 72	5,543 77			118.95
	FIFO	35 788	Nov 22, 11	Mar 09, 12	391.88	383.65			8 23
	FIFO	203 994	Nov 22, 11	Mar 09, 12	2,233 73	2,186.82			46 91

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DREYFUS PREMIER INTERNATIONAL STOCK FUND CL A	FIFO	101.878	Mar 09, 12	Aug 06, 12	1,368.22	1,367.20			1.02
	FIFO	630 244	Mar 09, 12	Oct 04, 12	8,716 27	8,457 88			258.39
DWS LARGE CAP VALUE FUND CLASS A	FIFO	30 509	Mar 25, 11	Mar 09, 12	560.76	552 52			8.24
	FIFO	45 801	Jun 23, 11	Mar 09, 12	841.82	824 42			17 40
	FIFO	68 799	Sep 23, 11	Mar 09, 12	1,264.53	1,076 70			187 83
	FIFO	67.856	Dec 16, 11	Mar 09, 12	1,247.19	1,126 41			120 78
EATON VANCE FLOATING RATE HIGH INCOME FUND CLASS A	FIFO	99 762	Mar 31, 11	Mar 09, 12	931.77	943 75		-11 98	
	FIFO	100.852	Apr 29, 11	Mar 09, 12	941.96	957 09		-15.13	
	FIFO	104 242	May 31, 11	Mar 09, 12	973 62	988 21		-14 59	
	FIFO	104 541	Jun 30, 11	Mar 09, 12	976 41	982 69		-6.28	
	FIFO	106.547	Jul 31, 11	Mar 09, 12	995.15	1,001 54		-6.39	
	FIFO	113.403	Aug 31, 11	Mar 09, 12	1,059.19	1,020 63			38.56
	FIFO	110.963	Sep 30, 11	Mar 09, 12	1,036.39	994 23			42.16
	FIFO	113 216	Oct 31, 11	Mar 09, 12	1,057.44	1,041 59			15.85
	FIFO	107.431	Nov 30, 11	Mar 09, 12	1,003.40	977.62			25.78
	FIFO	111 768	Dec 30, 11	Mar 09, 12	1,043.92	1,021.56			22 36
	FIFO	110 121	Jan 31, 12	Mar 09, 12	1,028 53	1,023.02			5.51
	FIFO	120 845	Feb 29, 12	Mar 09, 12	1,128.69	1,128.69			
FIRST EAGLE GLOBAL FUND CLASS A	FIFO	28 199	Mar 09, 12	Aug 06, 12	1,353 26	1,373 57		-20.31	
	FIFO	171 898	Mar 09, 12	Oct 04, 12	8,574 27	8,373 16			201.11
FT-FRANKLIN RISING DIVIDENDS A	FIFO	30 206	Mar 09, 12	Aug 06, 12	1,110 36	1,098 89			11 47
	FIFO	186 013	Mar 09, 12	Oct 04, 12	7,111 29	6,767.16			344 13
HENDERSON GLOBAL INVESTORS INTL OPPORTUNITIES FUND A	FIFO	49 179	Dec 29, 11	Mar 09, 12	1,004.24	864 57			139.67

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
JOHN HANCOCK DISCIPLINED VALUE MID CAP FUND CLASS A	FIFO	60 078	Mar 09, 12	Aug 06, 12	721.54	729 95		-8.41	
	FIFO	370.078	Mar 09, 12	Oct 04, 12	4,651 88	4,496.45			155.43
JOHN HANCOCK LARGE CAP EQUITY FUND CLASS A	FIFO	4 620	Dec 16, 11	Mar 09, 12	120 17	105.89			14.28
MFS VALUE FUND CLASS A	FIFO	29.180	Mar 24, 11	Mar 09, 12	714.62	693.61			21.01
	FIFO	43.778	Jun 23, 11	Mar 09, 12	1,072.12	1,020.47			51.65
	FIFO	42.828	Sep 27, 11	Mar 09, 12	1,048.86	889.54			159.32
	FIFO	62.971	Dec 08, 11	Mar 09, 12	1,542.16	1,385 99			156.17
NATIXIS CGM ADVISOR TARGETED EQUITY FUND A	FIFO	107.898	Dec 28, 11	Mar 09, 12	1,136.17	1,005 61			130 56
OPPENHEIMER DEVELOPING MARKETS FUND CL A	FIFO	38.095	Mar 09, 12	Aug 06, 12	1,235 04	1,276.18		-41.14	
	FIFO	235.385	Mar 09, 12	Oct 04, 12	8,104.32	7,885 40			218 92
PIMCO REAL RETURN FUND CLASS A	FIFO	63.468	Mar 31, 11	Mar 09, 12	765.43	729.25			36.18
	FIFO	67.548	Apr 30, 11	Mar 09, 12	814.63	793.01			21 62
	FIFO	119 680	May 31, 11	Mar 09, 12	1,443 34	1,397 86			45.48
	FIFO	87.718	Jun 30, 11	Mar 09, 12	1,057.88	1,023.67			34 21
	FIFO	64 673	Jul 31, 11	Mar 09, 12	779.95	777 37			2 58
	FIFO	13.145	Aug 31, 11	Mar 09, 12	158.53	158.40			0 13
	FIFO	11.710	Sep 30, 11	Mar 09, 12	141 23	140.29			0.94
	FIFO	39 682	Oct 31, 11	Mar 09, 12	478.56	484 12		-5.56	
	FIFO	26.530	Nov 30, 11	Mar 09, 12	319.95	323.14		-3 19	
	FIFO	348.722	Dec 07, 11	Mar 09, 12	4,205 59	4,118.41			87 18
	FIFO	233 603	Dec 07, 11	Mar 09, 12	2,817.25	2,758 85			58 40
	FIFO	75.860	Dec 28, 11	Mar 09, 12	914 87	895 91			18 96
	FIFO	13.039	Dec 30, 11	Mar 09, 12	157 25	153 73			3 52
	FIFO	11 035	Jan 31, 12	Mar 09, 12	133 09	133 41		-0 32	
	FIFO	10.939	Feb 29, 12	Mar 09, 12	131 92	131 92			

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PIONEER OAK RIDGE SMALL CAP GROWTH FUND CLASS A	FIFO	24.893	Mar 09, 12	Aug 06, 12	713.69	725.13		-11.44	
	FIFO	155.420	Mar 09, 12	Oct 04, 12	4,724.76	4,527.39			197 37
R S GLOBAL NATURAL RESOURCES FUND CLASS A	FIFO	49 751	Mar 09, 12	Aug 06, 12	1,743 79	1,837 30		-93 51	
	FIFO	300 565	Mar 09, 12	Oct 04, 12	11,421.47	11,099 87			321 60
SENTINEL SMALL COMPANY FD CL A	FIFO	1,767.385	Dec 29, 11	Mar 09, 12	14,121.41	12,901 91			1,219.50
Total					**$168,341.80**	**$160,903.13**		**-$291.72**	**$7,730.39**
Net short-term capital gains and losses									**$7,438.67**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMERICAN FUNDS EUROPACIFIC GR F-1	FIFO	502.436	Mar 05, 04	Mar 09, 12	19,610.08	16,198.54			3,411.54
	FIFO	312.402	Oct 12, 04	Mar 09, 12	12,193.05	10,000.00			2,193 05
	FIFO	27.590	Earnings	Mar 09, 12	1,076.83	945.23			131.60
	FIFO	61.451	Dec 28, 05	Mar 09, 12	2,398.44	2,503.50		-105 06	
	FIFO	33.171	Earnings	Mar 09, 12	1,294.66	1,351.39		-56 73	
	FIFO	203.324	Nov 10, 06	Mar 09, 12	7,935.74	9,684.32		-1,748 58	
	FIFO	88 632	Dec 27, 06	Mar 09, 12	3,459.30	4,057 56		-598 26	
	FIFO	32.110	Dec 27, 06	Mar 09, 12	1,253 26	1,470.00		-216 74	
	FIFO	24 495	Dec 27, 06	Mar 09, 12	956.04	1,121 37		-165 33	
	FIFO	322 858	Jan 04, 07	Mar 09, 12	12,601 15	15,000.00		-2,398 85	
	FIFO	163 541	Dec 13, 07	Mar 09, 12	6,383 00	8,507.38		-2,124 38	
	FIFO	45 154	Dec 13, 07	Mar 09, 12	1,762 36	2,348.91		-586 55	
	FIFO	158.303	Sep 30, 08	Mar 09, 12	6,178 57	5,906.28			272.29
	FIFO	120.058	Dec 24, 08	Mar 09, 12	4,685 86	3,228.35			1,457 51
	FIFO	66.270	Dec 24, 08	Mar 09, 12	2,586.52	1,782 00			804 52

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	429.046	Aug 20, 09	Mar 09, 12	16,745.66	14,982.29			1,763 37
	FIFO	45 086	Dec 28, 09	Mar 09, 12	1,759.71	1,723.64			36 07
	FIFO	356 547	Mar 09, 10	Mar 09, 12	13,916.03	13,381 20			534 83
	FIFO	126.958	Apr 23, 10	Mar 09, 12	4,955.17	4,925 97			29.20
	FIFO	108 204	Aug 31, 10	Mar 09, 12	4,223.20	3,873.70			349 50
	FIFO	474.722	Dec 03, 10	Mar 09, 12	18,528.40	19,539.56		-1,011.16	
	FIFO	51 188	Dec 28, 10	Mar 09, 12	1,997.87	2,085.91		-88 04	
	FIFO	351 108	Jan 06, 11	Mar 09, 12	13,703.75	14,434.06		-730.31	
AMERICAN FUNDS CAPITAL WORLD G/I F-1	FIFO	2,124 266	May 30, 08	Mar 09, 12	74,710 44	92,171 90		-17,461 46	
	FIFO	26 828	Jun 26, 08	Mar 09, 12	943.54	1,077.95		-134 41	
	FIFO	18.316	Sep 25, 08	Mar 09, 12	644.17	630 44			13 73
	FIFO	65.729	Sep 30, 08	Mar 09, 12	2,311 69	2,184 17			127 52
	FIFO	29.847	Dec 18, 08	Mar 09, 12	1,049 72	793.93			255.79
	FIFO	17.233	Mar 23, 09	Mar 09, 12	606.08	400.67			205 41
	FIFO	38 799	Jun 22, 09	Mar 09, 12	1,364 56	1,073 96			290 60
	FIFO	620.130	Aug 20, 09	Mar 09, 12	21,809.98	19,087.60			2,722 38
	FIFO	15.612	Sep 28, 09	Mar 09, 12	549 07	506.30			42 77
	FIFO	16.408	Dec 17, 09	Mar 09, 12	577 07	557.55			19.52
	FIFO	387 237	Mar 09, 10	Mar 09, 12	13,619 13	12,960.82			658 31
	FIFO	15 795	Mar 22, 10	Mar 09, 12	555 51	532 77			22.74
	FIFO	124.207	Apr 23, 10	Mar 09, 12	4,368.36	4,292 59			75 77
	FIFO	46 637	Jun 21, 10	Mar 09, 12	1,640 22	1,458.81			181.41
	FIFO	152 625	Aug 31, 10	Mar 09, 12	5,367.82	4,774.11			593 71
	FIFO	16.618	Sep 20, 10	Mar 09, 12	584.46	552 71			31 75
	FIFO	594.357	Dec 03, 10	Mar 09, 12	20,903 53	20,998 64		-95 11	
	FIFO	24.137	Dec 20, 10	Mar 09, 12	848 90	849 62		-0 72	
	FIFO	396.531	Jan 06, 11	Mar 09, 12	13,946 00	14,140 31		-194 31	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AMERICAN FUNDS GROWTH FUND OF AMER F-1	FIFO	192.884	Oct 12, 04	Mar 09, 12	6,174 22	4,824 03			1,350.19
	FIFO	22 427	Earnings	Mar 09, 12	717.89	593.64			124 25
	FIFO	42 918	Dec 20, 05	Mar 09, 12	1,373 81	1,315 86			57.95
	FIFO	37 520	Earnings	Mar 09, 12	1,201.01	1,150.36			50.65
	FIFO	243.916	Nov 10, 06	Mar 09, 12	7,807 76	8,141.92		-334 16	
	FIFO	40.026	Nov 10, 06	Aug 06, 12	1,285 23	1,336.06		-50 83	
	FIFO	247 371	Nov 10, 06	Oct 04, 12	8,462.55	8,257.25			205.30
BLACKROCK U.S. OPPORTUNITIES A	FIFO	622.217	May 14, 08	Mar 09, 12	21,746 48	22,393.59		-647 11	
	FIFO	1,841 768	Aug 20, 09	Mar 09, 12	64,369.79	52,656.15			11,713 64
	FIFO	39.568	Mar 09, 10	Mar 09, 12	1,382 90	1,326.32			56.58
	FIFO	219 538	Aug 31, 10	Mar 09, 12	7,672 86	6,913.26			759 60
	FIFO	402 516	Sep 16, 10	Mar 09, 12	14,067 93	13,709 71			358.22
	FIFO	31 986	Dec 02, 10	Mar 09, 12	1,117.91	1,215.79		-97.88	
	FIFO	9 087	Dec 02, 10	Mar 09, 12	317 59	345 39		-27.80	
	FIFO	284.220	Dec 03, 10	Mar 09, 12	9,933 49	10,874.26		-940.77	
	FIFO	226.470	Jan 06, 11	Mar 09, 12	7,915.13	8,902 54		-987.41	
COHEN & STEERS REALTY SHARES	FIFO	5 825	Dec 03, 09	Mar 09, 12	373.97	260.90			113 07
	FIFO	11 586	Dec 03, 09	Aug 06, 12	806 61	518 94			287 67
	FIFO	72 206	Dec 03, 09	Oct 04, 12	4,885.46	3,234 11			1,651.35
DELAWARE DIVERSIFIED INCOME FUND CLASS A	FIFO	159.280	Dec 03, 09	Aug 06, 12	1,505 20	1,490.86			14 34
	FIFO	1,010 116	Dec 03, 09	Oct 04, 12	9,565.80	9,454 69			111.11
DELAWARE INFLATION PROTECTED BOND FUND CLASS A	FIFO	6,474 195	Aug 20, 09	Mar 09, 12	70,892 43	65,972.05			4,920.38
	FIFO	75.662	Aug 26, 09	Mar 09, 12	828 50	761 16			67 34
	FIFO	7 763	Sep 25, 09	Mar 09, 12	85 01	79.42			5 59
	FIFO	34 268	Oct 27, 09	Mar 09, 12	375 23	353.30			21 93

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	22.800	Nov 25, 09	Mar 09, 12	249 66	239.40			10.26
	FIFO	4,167.565	Dec 03, 09	Mar 09, 12	45,634 84	43,926 14			1,708 70
	FIFO	33 898	Dec 28, 09	Mar 09, 12	371 18	352.54			18 64
	FIFO	24.888	Jan 27, 10	Mar 09, 12	272.52	261.82			10.70
	FIFO	8.786	Feb 24, 10	Mar 09, 12	96.21	91 81			4.40
	FIFO	1,225 579	Mar 09, 10	Mar 09, 12	13,420.09	12,893 09			527.00
	FIFO	60 192	Mar 25, 10	Mar 09, 12	659 10	631.41			27 69
	FIFO	913.790	Apr 23, 10	Mar 09, 12	10,006 00	9,594.80			411.20
	FIFO	32 938	Apr 27, 10	Mar 09, 12	360.68	345 85			14.83
	FIFO	76.199	May 26, 10	Mar 09, 12	834 37	798.57			35 80
	FIFO	43.928	Jun 25, 10	Mar 09, 12	481.02	463.00			18 02
	FIFO	9.735	Sep 27, 10	Mar 09, 12	106.59	105.62			0.97
	FIFO	26.497	Oct 27, 10	Mar 09, 12	290 15	290.67		-0.52	
	FIFO	151.981	Nov 26, 10	Mar 09, 12	1,664.19	1,601.88			62.31
	FIFO	23.864	Nov 26, 10	Mar 09, 12	261.31	251.53			9.78
	FIFO	278 842	Nov 26, 10	Mar 09, 12	3,053.32	2,938.99			114.33
	FIFO	2,836.721	Dec 03, 10	Mar 09, 12	31,062 09	29,672.10			1,389.99
	FIFO	28 665	Dec 28, 10	Mar 09, 12	313 88	297.54			16.34
	FIFO	2,106.443	Jan 06, 11	Mar 09, 12	23,065.56	21,949.14			1,116.42
	FIFO	16.184	Jan 26, 11	Mar 09, 12	177 21	167.99			9 22
	FIFO	41.275	Feb 25, 11	Mar 09, 12	451.96	429.67			22.29
DWS FLOATING RATE FUND CLASS A	FIFO	266 770	Sep 16, 10	Aug 06, 12	2,475.63	2,446 28			29.35
	FIFO	1,693.087	Sep 16, 10	Oct 04, 12	15,881 16	15,525 61			355.55
DWS LARGE CAP VALUE FUND CLASS A	FIFO	11,011.274	Sep 16, 10	Mar 09, 12	202,387.22	177,611.85			24,775.37
	FIFO	53 581	Sep 24, 10	Mar 09, 12	984 81	859.98			124 83
	FIFO	1,655 238	Dec 03, 10	Mar 09, 12	30,423 28	28,139.05			2,284.23
	FIFO	49 430	Dec 16, 10	Mar 09, 12	908.52	849.70			58.82
	FIFO	940 382	Jan 06, 11	Mar 09, 12	17,284 22	16,569.53			714.69

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
EATON VANCE FLOATING RATE HIGH INCOME FUND CLASS A	FIFO	17,747.081	Dec 03, 09	Mar 09, 12	165,757.74	155,641 90			10,115.84
	FIFO	317 766	Dec 31, 09	Mar 09, 12	2,967 93	2,818.58			149.35
	FIFO	118.566	Jan 29, 10	Mar 09, 12	1,107.41	1,068.28			39.13
	FIFO	109 796	Feb 26, 10	Mar 09, 12	1,025.49	988.16			37 33
	FIFO	1,216.857	Mar 09, 10	Mar 09, 12	11,365.45	11,012.56			352 89
	FIFO	118.957	Mar 31, 10	Mar 09, 12	1,111.06	1,088.46			22.60
	FIFO	1,218 512	Apr 23, 10	Mar 09, 12	11,380.90	11,259.05			121.85
	FIFO	119.447	Apr 30, 10	Mar 09, 12	1,115.64	1,103 69			11.95
	FIFO	131.118	May 28, 10	Mar 09, 12	1,224.64	1,181.37			43.27
	FIFO	135.453	Jun 30, 10	Mar 09, 12	1,265.13	1,210 95			54.18
	FIFO	139 959	Jul 30, 10	Mar 09, 12	1,307.22	1,266.63			40.59
	FIFO	130.487	Aug 31, 10	Mar 09, 12	1,218.74	1,180.91			37 83
	FIFO	101.775	Sep 30, 10	Mar 09, 12	950.58	929.21			21 37
	FIFO	80.278	Oct 29, 10	Mar 09, 12	749.80	743.37			6.43
	FIFO	80 973	Nov 30, 10	Mar 09, 12	756.29	749 00			7.29
	FIFO	3,879.580	Dec 03, 10	Mar 09, 12	36,235.27	35,963.71			271.56
	FIFO	39 668	Dec 29, 10	Mar 09, 12	370.50	369 31			1.19
	FIFO	95 210	Dec 31, 10	Mar 09, 12	889 27	887.36			1.91
	FIFO	2,695 552	Jan 06, 11	Mar 09, 12	25,176 45	25,230.37		-53.92	
	FIFO	102.086	Jan 31, 11	Mar 09, 12	953.48	963.69		-10 21	
	FIFO	96.089	Feb 28, 11	Mar 09, 12	897.48	909 96		-12.48	
FIDELITY ADVISOR NEW INSIGHTS FUND CLASS A	FIFO	1,118 074	May 14, 08	Mar 09, 12	24,519 37	22,942.88			1,576.49
	FIFO	58 627	May 14, 08	Aug 06, 12	1,300 94	1,203 02			97.92
	FIFO	362 063	May 14, 08	Oct 04, 12	8,522.97	7,429 54			1,093.43
GABELLI EQUITY INCOME FD	FIFO	2,264 189	Dec 03, 09	Mar 09, 12	49,517 82	40,098 79			9,419 03
	FIFO	59 031	Dec 03, 09	Aug 06, 12	1,291.59	1,045 44			246.15
	FIFO	364 690	Dec 03, 09	Oct 04, 12	8,340.46	6,458 66			1,881.80

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HENDERSON GLOBAL INVESTORS INTL OPPORTUNITIES FUND A	FIFO	5,032 821	Aug 20, 09	Mar 09, 12	102,770.20	95,371 96			7,398.24
	FIFO	19.482	Dec 30, 09	Mar 09, 12	397 83	392 17			5 66
	FIFO	603 082	Mar 09, 10	Mar 09, 12	12,314.93	11,910.86			404.07
	FIFO	208 940	Apr 23, 10	Mar 09, 12	4,266.55	4,306 26		-39.71	
	FIFO	494 069	Aug 31, 10	Mar 09, 12	10,088 89	8,937.70			1,151.19
	FIFO	912.161	Dec 03, 10	Mar 09, 12	18,626.33	19,100.65		-474.32	
	FIFO	46.753	Dec 30, 10	Mar 09, 12	954.70	989 29		-34 59	
	FIFO	724.472	Jan 06, 11	Mar 09, 12	14,793.71	15,206.66		-412 95	
ING CORPORATE LEADERS TRUST FUND	FIFO	3,044.081	May 14, 08	Mar 09, 12	71,748 99	71,048 85			700 14
	FIFO	54.892	May 14, 08	Aug 06, 12	1,342 12	1,281 18			60 94
	FIFO	337 441	May 14, 08	Oct 04, 12	8,560.87	7,875.87			685 00
IVY GLOBAL NATURAL RESOURCES FUND CLASS A	FIFO	4,992.821	Aug 20, 09	Mar 09, 12	93,465 61	79,236 07			14,229 54
	FIFO	423.240	Mar 09, 10	Mar 09, 12	7,923.05	7,838.40			84.65
	FIFO	224 253	Apr 23, 10	Mar 09, 12	4,198.02	4,363 96		-165.94	
	FIFO	702.495	Aug 31, 10	Mar 09, 12	13,150.70	11,429 59			1,721.11
	FIFO	6,599 262	Sep 16, 10	Mar 09, 12	123,538.19	113,045.36			10,492.83
	FIFO	221.000	Dec 03, 10	Mar 09, 12	4,137.12	4,592 38		-455.26	
	FIFO	653 302	Jan 06, 11	Mar 09, 12	12,229 81	14,196 25		-1,966.44	
JOHN HANCOCK LARGE CAP EQUITY FUND CLASS A	FIFO	4,035 345	Dec 03, 09	Mar 09, 12	104,959.32	91,925 17			13,034 15
	FIFO	13 761	Dec 15, 09	Mar 09, 12	357.92	311.96			45.96
	FIFO	213 418	Mar 09, 10	Mar 09, 12	5,551 01	5,036 67			514 34
	FIFO	4 598	Apr 23, 10	Mar 09, 12	119.59	116 02			3 57
	FIFO	410.222	Aug 31, 10	Mar 09, 12	10,669 87	8,983 87			1,686 00
	FIFO	2,149 883	Sep 16, 10	Mar 09, 12	55,918 46	50,307.27			5,611 19
	FIFO	827.697	Dec 03, 10	Mar 09, 12	21,528 40	21,106 28			422.12
	FIFO	88 665	Dec 21, 10	Mar 09, 12	2,306 18	2,271.60			34.58

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	503 439	Jan 06, 11	Mar 09, 12	13,094 44	13,285 75		-191 31	
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS A	FIFO	228.417	Dec 03, 09	Aug 06, 12	3,407 98	3,129 31			278.67
	FIFO	1,447 945	Dec 03, 09	Oct 04, 12	22,283.87	19,836.85			2,447 02
LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS A	FIFO	199 079	Dec 03, 09	Aug 06, 12	2,484 50	2,343 16			141.34
	FIFO	1,260.918	Dec 03, 09	Oct 04, 12	16,089 31	14,841 00			1,248.31
MFS VALUE FUND CLASS A	FIFO	2,233.362	Dec 03, 09	Mar 09, 12	54,695.03	45,962 59			8,732.44
	FIFO	10.766	Dec 10, 09	Mar 09, 12	263.66	220 49			43.17
	FIFO	124.984	Mar 09, 10	Mar 09, 12	3,060.86	2,657.15			403.71
	FIFO	6 829	Mar 25, 10	Mar 09, 12	167 24	147.03			20.21
	FIFO	7 956	Apr 23, 10	Mar 09, 12	194.85	178.54			16.31
	FIFO	10.195	Jun 24, 10	Mar 09, 12	249 67	201 76			47.91
	FIFO	248 085	Aug 31, 10	Mar 09, 12	6,075.60	4,827 73			1,247.87
	FIFO	5,921.865	Sep 16, 10	Mar 09, 12	145,026.48	122,760 26			22,266 22
	FIFO	24.707	Sep 28, 10	Mar 09, 12	605 07	520.08			84.99
	FIFO	1,188 243	Dec 03, 10	Mar 09, 12	29,100 07	26,319 59			2,780 48
	FIFO	42.308	Dec 09, 10	Mar 09, 12	1,036.12	942.20			93.92
	FIFO	629 750	Jan 06, 11	Mar 09, 12	15,422 58	14,566 12			856 46
NATIXIS CGM ADVISOR TARGETED EQUITY FUND A	FIFO	9,595 529	Dec 03, 09	Mar 09, 12	101,040 92	91,925 17			9,115 75
	FIFO	50 129	Dec 15, 09	Mar 09, 12	527.86	480 74			47.12
	FIFO	602 762	Mar 09, 10	Mar 09, 12	6,347 08	5,931 18			415 90
	FIFO	0 295	Apr 13, 10	Mar 09, 12	3.11	3 07			0 04
	FIFO	1,335 535	Aug 31, 10	Mar 09, 12	14,063 18	11,886 26			2,176 92
	FIFO	5,061 589	Sep 16, 10	Mar 09, 12	53,298 53	48,641 87			4,656 66
	FIFO	1,113 265	Dec 03, 10	Mar 09, 12	11,722 68	12,245 91		-523 23	
	FIFO	87 032	Dec 29, 10	Mar 09, 12	916 45	964.31		-47 86	
	FIFO	1,529 726	Jan 06, 11	Mar 09, 12	16,108 01	17,102 34		-994 33	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PIMCO REAL RETURN FUND CLASS A	FIFO	6,119.635	Aug 20, 09	Mar 09, 12	73,802.80	64,745 74			9,057.06
	FIFO	19 930	Aug 31, 09	Mar 09, 12	240 35	209 27			31 08
	FIFO	6.203	Sep 30, 09	Mar 09, 12	74.81	66 74			8 07
	FIFO	17.676	Oct 30, 09	Mar 09, 12	213.17	192.67			20.50
	FIFO	11 242	Nov 30, 09	Mar 09, 12	135 58	125 69			9 89
	FIFO	3,906 722	Dec 03, 09	Mar 09, 12	47,115 07	43,403 68			3,711 39
	FIFO	157.037	Dec 30, 09	Mar 09, 12	1,893.87	1,696 00			197 87
	FIFO	22.462	Dec 31, 09	Mar 09, 12	270.89	242.36			28 53
	FIFO	16.004	Jan 29, 10	Mar 09, 12	193 01	175 56			17 45
	FIFO	7 383	Feb 26, 10	Mar 09, 12	89.04	80.18			8 86
	FIFO	1,284 924	Mar 09, 10	Mar 09, 12	15,496.18	13,967 12			1,529 06
	FIFO	30.132	Mar 31, 10	Mar 09, 12	363 39	327.53			35 86
	FIFO	721.429	Apr 23, 10	Mar 09, 12	8,700.43	7,935.72			764.71
	FIFO	18.107	Apr 30, 10	Mar 09, 12	218.37	201.89			16.48
	FIFO	50.557	May 28, 10	Mar 09, 12	609.72	558 65			51 07
	FIFO	30.569	Jun 30, 10	Mar 09, 12	368 66	342.37			26.29
	FIFO	21.141	Jul 30, 10	Mar 09, 12	254 96	236.99			17.97
	FIFO	9.092	Aug 31, 10	Mar 09, 12	109.65	103.83			5 82
	FIFO	7.973	Sep 30, 10	Mar 09, 12	96.16	92 09			4.07
	FIFO	18 751	Oct 29, 10	Mar 09, 12	226 14	222.20			3 94
	FIFO	13.714	Nov 30, 10	Mar 09, 12	165 39	158.95			6 44
	FIFO	2,570 706	Dec 03, 10	Mar 09, 12	31,002.71	29,511 71			1,491 00
	FIFO	46.807	Dec 31, 10	Mar 09, 12	564.49	531.72			32 77
	FIFO	2,023.001	Jan 06, 11	Mar 09, 12	24,397.40	22,940 83			1,456 57
	FIFO	15.115	Jan 31, 11	Mar 09, 12	182 28	171 56			10 72
	FIFO	27 511	Feb 28, 11	Mar 09, 12	331 78	314 45			17 33
PIMCO TOTAL RETURN FUND CLASS A	FIFO	220.429	Dec 03, 09	Aug 06, 12	2,526.12	2,424 72			101 40
	FIFO	1,393.998	Dec 03, 09	Oct 04, 12	16,170.38	15,333.98			836 40

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ROYCE PREMIER FUND	FIFO	82 130	Oct 12, 04	Mar 09, 12	1,702 55	1,180 21			522.34
	FIFO	322 847	Dec 02, 04	Mar 09, 12	6,692.62	4,797 51			1,895 11
	FIFO	29 089	Earnings	Mar 09, 12	603.02	432.26			170 76
	FIFO	366.849	Dec 02, 05	Mar 09, 12	7,604.78	6,155.72			1,449 06
	FIFO	17.215	Earnings	Mar 09, 12	356 87	288.87			68.00
	FIFO	1,057.018	Nov 10, 06	Mar 09, 12	21,911.98	19,068 60			2,843.38
	FIFO	35 511	Nov 10, 06	Aug 06, 12	675.07	640.62			34.45
	FIFO	106.200	Nov 10, 06	Oct 04, 12	2,107.01	1,915 85			191 16
	FIFO	113.582	Dec 05, 06	Oct 04, 12	2,253.47	2,047 89			205 58
ROYCE SPECIAL EQUITY FD	FIFO	1,641.747	Dec 03, 09	Mar 09, 12	35,166.22	27,597.77			7,568.45
	FIFO	34.458	Dec 03, 09	Aug 06, 12	729.81	579.24			150 57
	FIFO	213.740	Dec 03, 09	Oct 04, 12	4,740 76	3,592.97			1,147.79
SENTINEL SMALL COMPANY FD CL A	FIFO	12,597 430	Aug 20, 09	Mar 09, 12	100,653.47	71,805.35			28,848 12
	FIFO	176 067	Mar 09, 10	Mar 09, 12	1,406.77	1,156.76			250 01
	FIFO	1,009 932	Aug 31, 10	Mar 09, 12	8,069.36	6,251.48			1,817.88
	FIFO	2,251 860	Sep 16, 10	Mar 09, 12	17,992.36	14,907 31			3,085 05
	FIFO	1,269 794	Dec 03, 10	Mar 09, 12	10,145 65	9,574 25			571.40
	FIFO	1,345 488	Jan 06, 11	Mar 09, 12	10,750.45	10,440.99			309 46
Total					**$3,018,544.59**	**$2,771,078.59**		**-$36,185.03**	**$283,651.03**
Net long-term capital gains or losses									**$247,466.00**
Net capital gains/losses:									**$254,904.67**

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Form **8868**
(Rev January 2013)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extention on a previously filed Form 8868.

Electronic filing *(e-file).* You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ► ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return See instructions

Name of exempt organization or other filer, see instructions.: THE OCHSMAN FOUNDATION, INC.

Employer identification number (EIN) or: 54-1893317

Number, street, and room or suite number If a P O box, see instructions.: 1650 TYSONS BLVD #820

Social security number (SSN):

City, town or post office, state, and ZIP code For a foreign address, see instructions: MCLEAN, VA 22102

Enter the Return code for the return that this application is for (file a separate application for each return) . . . 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► TERESA WEISENBURGER

Telephone No. ► 703-905-9500 FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box . . ► ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____. If this is for the whole group, check this box . ► ☐. If it is for part of the group, check this box ► ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► ☒ calendar year 20 12 or

► ☐ tax year beginning ________, 20 ___, and ending ________, 20 ___

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3 a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3 a	$ 16,859.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3 b	$ 6,859.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3 c	$ 10,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . . ► ☒

Note. *Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print

File by the extended due date for filing your return See instructions.

Name of exempt organization or other filer, see instructions.
THE OCHSMAN FOUNDATION, INC.

Employer identification number (EIN) or
54-1893317

Number, street, and room or suite number If a P.O box, see instructions
1650 TYSONS BLVD #820

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions
MCLEAN, VA 22102

Enter the Return code for the return that this application is for (file a separate application for each return). 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of ► TERESA WEISENBURGER
  Telephone No. ► 703-905-9500 FAX No. ►
- If the organization does not have an office or place of business in the United States, check this box . . . . ► ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . ► ☐ . If it is for part of the group, check this box ► ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15 , 20 13.

5 For calendar year 2012 , or other tax year beginning ______ , 20 __, and ending ______ , 20 __.

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension . TAXPAYER RESPECTFULLY REQUESTS ADDITIONAL TIME TO GATHER INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8 a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any *nonrefundable credits. See instructions.*	**8 a**	$ 8,428.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	**8 b**	$ 10,000.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	**8 c**	$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ► Title ► CPA for taxpayer Date ► 8/14/13