Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation
## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

**2012**

Open to public Inspection

**For calendar year 2012 or tax year beginning , and ending**

Name of foundation: T/U/W Jane Decker Asmis

A Employer identification number: 52-6956677

Number and street (or P O box number if mail is not delivered to street address): c/o Sloan, 7 St Paul St- Ste 1500

Room/suite:

B Telephone number: 4103478787

City or town, state, and ZIP code: Baltimore , MD 21202

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 1,063,814.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 Check ▶ [X] if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 42,518. | 42,518. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -380. | | | |
| | b Gross sales price for all assets on line 6a 144,679. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 0. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 42,138. | 42,518. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 4,307. | 2,154. | | 2,153. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees Stmt 1 | 950. | 950. | | 0. |
| | b Accounting fees | | | | |
| | c Other professional fees | | | | |
| | 17 Interest | | | | |
| | 18 Taxes Stmt 2 | 771. | 0. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses Stmt 3 | 504. | 504. | | 0. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 6,532. | 3,608. | | 2,153. |
| | 25 Contributions, gifts, grants paid | 50,500. | | | 50,500. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 57,032. | 3,608. | | 52,653. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | -14,894. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 38,910. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| **Assets** | 1 Cash - non-interest-bearing | -7,369. | -12,626. | -12,626. |
| | 2 Savings and temporary cash investments | 111,741. | 108,434. | 108,434. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock Stmt 4 | 472,899. | 696,877. | 892,282. |
| | c Investments - corporate bonds Stmt 5 | 305,180. | 75,643. | 75,695. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other | | | |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ Tax credit ) | 800. | 29. | 29. |
| | 16 **Total assets** (to be completed by all filers) | 883,251. | 868,357. | 1,063,814. |
| **Liabilities** | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| **Net Assets or Fund Balances** | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | 832,751. | 817,237. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 50,500. | 51,120. | |
| | 30 **Total net assets or fund balances** | 883,251. | 868,357. | |
| | 31 **Total liabilities and net assets/fund balances** | 883,251. | 868,357. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 883,251. |
| 2 | Enter amount from Part I, line 27a | 2 | -14,894. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 868,357. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 868,357. |

**Part IV | Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | See attached schedule | P | | |
| b | See attached schedule | P | | |
| c | GNMA | P | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 52,751. | | 62,648. | -9,897. |
| b | 91,745. | | 82,228. | 9,517. |
| c | 183. | | 183. | 0. |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | -9,897. |
| b | | | | 9,517. |
| c | | | | 0. |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } | 2 | -380. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | 3 | N/A |

**Part V | Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2011 | 52,114. | 1,065,319. | .048919 |
| 2010 | 43,052. | 1,018,151. | .042284 |
| 2009 | 49,688. | 880,357. | .056441 |
| 2008 | 55,910. | 1,003,714. | .055703 |
| 2007 | 53,254. | 1,147,383. | .046413 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .249760 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .049952 |
| 4 | Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 | 4 | 1,081,029. |
| 5 | Multiply line 4 by line 3 | 5 | 54,000. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 389. |
| 7 | Add lines 5 and 6 | 7 | 54,389. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 52,653. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

| | | | |
|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. | | | |
| Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)** | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | 1 | 778. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 778. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 778. |
| 6 Credits/Payments: | | | |
| a 2012 estimated tax payments and 2011 overpayment credited to 2012 | 6a 29. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | | 7 | 29. |
| 8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | 8 | 16. |
| 9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | 765. |
| 10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | |
| 11 Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ | **Refunded** ▶ | 11 | |

**Part VII-A Statements Regarding Activities**

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? | 1b | | X |
| *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MD | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ Robert Sloan Telephone no. ▶ 4103478787

Located at ▶ 7 St Paul St-Ste 1500, Baltimore, MD ZIP+4 ▶ 21202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | | | Yes | No |
|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | |
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2012 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A — 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b: No X

*If "Yes" to 6b, file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A — 7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| John H Somerville<br>7 St Paul St- Ste 1500<br>Baltimore, MD 21202 | Trustee<br>0.30 | 3,088. | 0. | 0. |
| Helene V Asmis<br>145 Country Road 55-A<br>Cerrillos, NM 87010 | Trustee<br>0.30 | 1,219. | 0. | 0. |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |



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## Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | |
| a | Average monthly fair market value of securities | | 1a | 1,003,315. |
| b | Average of monthly cash balances | | 1b | 93,890. |
| c | Fair market value of all other assets | | 1c | 286. |
| d | **Total** (add lines 1a, b, and c) | | 1d | 1,097,491. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | 3 | 1,097,491. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | | 4 | 16,462. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | 5 | 1,081,029. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | 6 | 54,051. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | 1 | 54,051. |
| 2a | Tax on investment income for 2012 from Part VI, line 5 | 2a 778. | | |
| b | Income tax for 2012. (This does not include the tax from Part VI.) | 2b | | |
| c | Add lines 2a and 2b | | 2c | 778. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | 3 | 53,273. |
| 4 | Recoveries of amounts treated as qualifying distributions | | 4 | 0. |
| 5 | Add lines 3 and 4 | | 5 | 53,273. |
| 6 | Deduction from distributable amount (see instructions) | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | 7 | 53,273. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 52,653. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 52,653. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 52,653. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



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**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2011 | (c) 2011 | (d) 2012 |
|---|---|---|---|---|
| 1 Distributable amount for 2012 from Part XI, line 7 | | | | 53,273. |
| 2 Undistributed income, if any, as of the end of 2012 | | | | |
| a Enter amount for 2011 only | | | 50,500. | |
| b Total for prior years: ____ , ____ , ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2012: | | | | |
| a From 2007 | | | | |
| b From 2008 | | | | |
| c From 2009 | | | | |
| d From 2010 | | | | |
| e From 2011 | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 52,653. | | | | |
| a Applied to 2011, but not more than line 2a | | | 50,500. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2012 distributable amount | | | | 2,153. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013 | | | | 51,120. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2008 | | | | |
| b Excess from 2009 | | | | |
| c Excess from 2010 | | | | |
| d Excess from 2011 | | | | |
| e Excess from 2012 | | | | |

**Part XIV | Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2012 | (b) 2011 | (c) 2010 | (d) 2009 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| See attached schedule | | | unrestricted | 50,500. |
| **Total** | | | ▶ 3a | 50,500. |
| **b** *Approved for future payment* | | | | |
| None | | | | |
| **Total** | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 01 | 42,518. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 01 | -380. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 42,138. | 0. |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 42,138.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

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**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ [signature] 5/2/13 ▶ Trustee

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| Robert Sloan | [signature] 5/1/13 | 5/1/13 | | P01383695 |
| Firm's name ▶ Whiteford, Taylor & Preston LLP | | | Firm's EIN ▶ 52-0619214 | |
| Firm's address ▶ 7 Saint Paul Street Baltimore, MD 21202 | | | Phone no. (410) 347-8700 | |



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12-05-12

| Form 990-PF | Legal Fees | | | Statement 1 |
|---|---|---|---|---|
| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
| WTP | 950. | 950. | | 0. |
| To Fm 990-PF, Pg 1, ln 16a | 950. | 950. | | 0. |

| Form 990-PF | Taxes | | | Statement 2 |
|---|---|---|---|---|
| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
| Excise tax | 771. | 0. | | 0. |
| To Form 990-PF, Pg 1, ln 18 | 771. | 0. | | 0. |

| Form 990-PF | Other Expenses | | | Statement 3 |
|---|---|---|---|---|
| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
| Foreign tax | 463. | 463. | | 0. |
| Investment expense | 41. | 41. | | 0. |
| To Form 990-PF, Pg 1, ln 23 | 504. | 504. | | 0. |

| Form 990-PF | Corporate Stock | Statement 4 |
|---|---|---|
| Description | Book Value | Fair Market Value |
| | 696,877. | 892,282. |
| Total to Form 990-PF, Part II, line 10b | 696,877. | 892,282. |

Form 990-PF Corporate Bonds Statement 5

| Description | Book Value | Fair Market Value |
|---|---|---|
| | 75,643. | 75,695. |
| Total to Form 990-PF, Part II, line 10c | 75,643. | 75,695. |

**T/U/W JANE DECKER ASMIS**

52-6956677

2012 Form 990-PF - Part I

| | | | |
|---|---|---|---|
| 1. | Line 16 -<br>Whiteford, Taylor & Preston L.L.P.,<br>fee for advice and tax preparation | | $950 |
| 2. | Line 18 -<br>2011 Excise Tax | | $771 |
| 3. | Line 23 -<br>Foreign tax<br>Investment expense | <br>$463<br>$ 41 | <br><br>$504 |

*1424252v8*

Account name: TUW JANE DECKER ASMIS DTD
Account type: Personal Trust
Account number: JW 34719 1D

**Your Financial Advisor:**
ROBERT MONTENEGRO/DALE HORN
410-576-3200/800-622-0073

# Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information

## Cash

### Cash and money balances

| Holding | Opening balance on Dec 1 ($) | Closing balance on Dec 31 ($) | Price per share on Dec 31 ($) | Average rate | Dividend/Interest period | Days in period |
|---|---|---|---|---|---|---|
| RMA GOVERNMENT PORTFOLIO | 127,066.65 | 118,434 41 | 1.00 | 0.01% | Nov 26 to Dec 23 | 28 |

## Equities

### Common stock

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| AMER ELECTRIC POWER CO<br>Symbol· AEP Exchange. NYSE<br>EAI· $940 Current yield: 4.40% | Jul 13, 09 | 500 000 | 29.095 | 14,547.52 | 42 680 | 21,340 00 | 6,792.48 | LT |
| AMERICAN WATER WORKS CO INC NEW<br>Symbol· AWK Exchange: NYSE<br>EAI. $1,000 Current yield. 2.69% | Nov 3, 10 | 1,000 000 | 24 524 | 24,524.88 | 37 130 | 37,130 00 | 12,605 12 | LT |
| AT&T INC<br>Symbol. T Exchange· NYSE<br>EAI. $1,800 Current yield: 5 34% | Sep 10, 09 | 1,000 000 | 27 047 | 27,047 77 | 33 710 | 33,710.00 | 6,662.23 | LT |
| CHEVRON CORP<br>Symbol: CVX Exchange: NYSE<br>EAI· $3,600 Current yield. 3.33% | Feb 22, 00 | 462.000 | 41.357 | 19,107.00 | 108 140 | 49,960 68 | 30,853 68 | LT |
| | Jul 13, 09 | 538 000 | 63 033 | 33,912 05 | 108 140 | 58,179.32 | 24,267 27 | LT |
| Security total | | 1,000 000 | 53 019 | 53,019.05 | | 108,140.00 | 55,120.95 | |
| CSX CORPORATION<br>Symbol CSX Exchange: NYSE<br>EAI· $840 Current yield 2.84% | Jul 13, 09 | 1,500.000 | 10.899 | 16,349.63 | 19.730 | 29,595 00 | 13,245.37 | LT |

*continued next page*

**Your Financial Advisor:**
ROBERT MONTENEGRO/DALE HORN
410-576-3200/800-622-0073

## Your assets › **Equities** › **Common stock** (continued)

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| DOMINION RESOURCES INC VA (NEW) Symbol D Exchange NYSE EAI $1,055 Current yield. 4 07% | Apr 1, 11 | 500 000 | 45 632 | 22,816 49 | 51 800 | 25,900 00 | 3,083 51 | LT |
| GENL ELECTRIC CO Symbol· GE Exchange· NYSE EAI $912 Current yield 3 62% | Jun 17, 10 | 1,200 000 | 16 230 | 19,476 75 | 20 990 | 25,188 00 | 5,711 25 | LT |
| INTL BUSINESS MACH Symbol IBM Exchange NYSE EAI· $680 Current yield· 1.77% | Mar 3, 00 | 200 000 | 108.068 | 21,613 74 | 191 550 | 38,310 00 | 16,696 26 | LT |
| JOHNSON & JOHNSON COM Symbol· JNJ Exchange. NYSE EAI $1,220 Current yield 3 48% | Oct 6, 09 | 500 000 | 61 346 | 30,673.39 | 70.100 | 35,050 00 | 4,376 61 | LT |
| METLIFE INC Symbol MET Exchange· NYSE EAI. $740 Current yield: 2.25% | Mar 15, 12 | 500 000 | 38 696 | 19,348 05 | 32 940 | 16,470 00 | -2,878.05 | ST |
| | Sep 19, 12 | 500 000 | 35 931 | 17,965 62 | 32 940 | 16,470 00 | -1,495.62 | ST |
| Security total | | 1,000 000 | 37 314 | 37,313 67 | | 32,940 00 | -4,373 67 | |
| MICROSOFT CORP Symbol MSFT Exchange OTC EAI $1,380 Current yield 3 44% | Oct 6, 09 | 500 000 | 25 576 | 12,788 13 | 26 709 | 13,354 50 | 566 37 | LT |
| | Mar 13, 12 | 1,000 000 | 33 044 | 33,044 58 | 26 709 | 26,709 00 | -6,335.58 | ST |
| Security total | | 1,500.000 | 30.555 | 45,832 71 | | 40,063 50 | -5,769 21 | |
| PROCTER & GAMBLE CO Symbol· PG Exchange· NYSE EAI $899 Current yield 3.31% | Feb 22, 00 | 400 000 | 43.588 | 17,435 34 | 67.890 | 27,156 00 | 9,720 66 | LT |
| TECO ENERGY INC Symbol. TE Exchange. NYSE EAI $1,364 Current yield. 5 25% | Nov 17, 04 | 750 000 | 15.641 | 11,731.17 | 16 760 | 12,570.00 | 838 83 | LT |
| | Mar 9, 05 | 800.000 | 16 262 | 13,010 07 | 16.760 | 13,408 00 | 397 93 | LT |
| Security total | | 1,550.000 | 15 962 | 24,741 24 | | 25,978 00 | 1,236 76 | |
| TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Symbol· TEVA Exchange OTC EAI $221 Current yield· 2 15% | Jun 21, 01 | 275.000 | 41.829 | 11,503.17 | 37 340 | 10,268 50 | -1,234 67 | LT |

*continued next page*

**Your Financial Advisor:**
ROBERT MONTENEGRO/DALE HORN
410-576-3200/800-622-0073

## Your assets › Equities › Common stock (continued)

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| UNION PACIFIC CORP | | | | | | | | |
| Symbol: UNP Exchange. NYSE | | | | | | | | |
| EAI $2,208 Current yield. 2 20% | Feb 22, 00 | 200.000 | 29 031 | 5,806 33 | 125.720 | 25,144.00 | 19,337 67 | LT |
| | Aug 5, 09 | 600.000 | 59 925 | 35,955.14 | 125.720 | 75,432 00 | 39,476.86 | LT |
| Security total | | 800 000 | 52.202 | 41,761.47 | | 100,576 00 | 58,814.53 | |
| VERIZON COMMUNICATIONS INC | | | | | | | | |
| Symbol· VZ Exchange. NYSE | | | | | | | | |
| EAI· $1,030 Current yield: 4 76% | Jul 13, 09 | 500.000 | 27 639 | 13,819.80 | 43.270 | 21,635 00 | 7,815.20 | LT |
| **Total** | | | | **$422,476.62** | | **$612,980.00** | **$190,503.38** | |

**Total estimated annual income: $19,889**

### Other equity investments

Cost basis and gains and losses have not been adjusted automatically for return of capital payments Restricted security values are estimated for informational purposes See *Important information about your statement* at the end of this document for additional information

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| BLACKSTONE GROUP LP/THE UNIT REPSTG LTD PARTNERSHIP INT | | | | | | | | |
| Symbol BX Exchange. NYSE | | | | | | | | |
| EAI $400 Current yield: 2 57% | Mar 15, 12 | 1,000 000 | 15 637 | 15,637.61 | 15 590 | 15,590 00 | -47 61 | ST |

**Account name:** TUW JANE DECKER ASMIS DTD
**Account type:** Personal Trust
**Account number:** JW 34719 1D

**Your Financial Advisor:**
ROBERT MONTENEGRO/DALE HORN
410-576-3200/800-622-0073

# Your assets (continued)

## Fixed income

### Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

| Holding | Trade date | Total face value at maturity ($) | Purchase price ($) | Cost basis ($) | Price on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| HOUSEHOLD FIN INTERNOTES<br>ADJ RATE MATURES 09/15/13<br>CUSIP 44181EXR4<br>Moody Baa1 S&P A<br>EAI. $884 Current yield 4 67% | Sep 24, 03 | 25,000 000 | 100 000 | 25,000 00 | 100 821 | 25,205.25 | 205 25 | LT |
| GE CAPITAL INTERNOTES<br>CALLABLE<br>RATE 05 000% MATURES 03/15/18<br>ACCRUED INTEREST $736 11<br>CUSIP 36966RCY0<br>Moody A1 S&P AA+<br>EAI $2,500 Current yield 5 02% | Mar 13, 03 | 50,000 000 | 100 000 | 50,000 00 | 99 556 | 49,778 00 | -222 00 | LT |
| **Total** | | **$75,000.000** | | **$75,000.00** | | **$74,983.25** | **-$16.75** | |

**Total accrued interest: $736.11**

**Total estimated annual income: $3,384**

### Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID)

| Holding | Trade date | Total face value at maturity ($) | Purchase price($) | Adjusted cost basis ($) | Price on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| GNMA PL 003052M<br>RATE 06 0000% MATURES 03/20/31<br>CURRENT PAR VALUE 631<br>ACCRUED INTEREST $3.15<br>CUSIP 36202DL90<br>EAI $38 Current yield. 5 32% | Mar 22, 01 | 25,000 000 | 101 250 | 643 46 | 112 853 | 712 10 | 68.64 | LT |

**Account name:** TUW JANE DECKER ASMIS DTD
**Account type:** Personal Trust
**Account number:** JW 34719 1D

**Your Financial Advisor:**
ROBERT MONTENEGRO/DALE HORN
410-576-3200/800-622-0073

## Your assets › **Fixed income** (continued)

### Preferred securities

| Holding | Trade date | Number of shares | Purchase price per share ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| ALLIANZ SE<br>8 375%<br>PERPETUAL, CALLABLE<br>Exchange: OTC<br>EAI· $4,188 Current yield. 8 17% | Jun 4, 08 | 2,000 000 | 25 000 | 50,000 00 | 25.625 | 51,250 00 | 1,250.00 | LT |
| CONSTELLATION ENERGY GROUP<br>8 625%<br>DUE 06/15/63 CALLABLE<br>Symbol. CEG PRA Exchange NYSE<br>EAI: $2,156 Current yield. 8.44% | Jun 23, 08 | 1,000.000 | 25.000 | 25,000.00 | 25 530 | 25,530 00 | 530.00 | LT |
| HSBC HOLDINGS<br>8.125%<br>PERPETUAL, CALLABLE<br>Symbol: HCS Exchange: NYSE<br>EAI· $2,031 Current yield: 8 01% | Apr 2, 08 | 1,000 000 | 25 000 | 25,000 00 | 25 370 | 25,370.00 | 370 00 | LT |
| METLIFE INC SERIES B<br>CALLABLE 09/15/10<br>RATE 6 500%<br>Symbol. MET.PRB Exchange NYSE<br>EAI $3,250 Current yield 6 44% | Jun 10, 05 | 2,000.000 | 25 000 | 50,000 00 | 25 222 | 50,444 00 | 444.00 | LT |
| PROTECTIVE LIFECORP<br>8 000%<br>DUE 10/15/24 CALLABLE<br>Symbol. PLP Exchange· NYSE<br>EAI· $1,600 Current yield 6.63% | Jul 18, 12 | 1,000 000 | 25 363 | 25,363 44 | 24 132 | 24,132 00 | -1,231 44 | ST |
| XCEL ENERGY INC<br>7 600%<br>DUE 01/01/68 CALLABLE<br>Symbol. XCJ Exchange NYSE<br>EAI. $1,900 Current yield. 7.51% | Jul 18, 12 | 1,000 000 | 27 857 | 27,857 05 | 25 300 | 25,300 00 | -2,557 05 | ST |
| **Total** | | | | **$203,220.49** | | **$202,026.00** | **-$1,194.49** | |

**Total estimated annual income: $15,125**

# Your assets (continued)

## Other

### Mutual funds

*Total reinvested* is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

*Cost basis* is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

*Unrealized (tax) gain or loss* is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

*Investment return* is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

| Holding | Number of shares | Purchase price/ Average price per share ($) | Client investment ($) | Cost basis ($) | Price per share on Dec 31 ($) | Value on Dec 31 ($) | Unrealized (tax) gain or loss ($) | Investment return ($) | Holding period |
|---|---|---|---|---|---|---|---|---|---|
| UBS U S ALLOCATION FUND | | | | | | | | | |
| CLASS A | | | | | | | | | |
| Trade date Feb 8, 01 | 993 323 | 30 201 | 30,000 00 | 30,000 00 | 30 590 | 30,385 75 | 385 75 | | LT |
| Trade date. Mar 25, 08 | 330 624 | 30 245 | 10,000.00 | 10,000.00 | 30 590 | 10,113 79 | 113 79 | | LT |
| Trade date. Jul 13, 09 | 475 964 | 21.021 | 10,005 25 | 10,005 25 | 30 590 | 14,559 74 | 4,554 49 | | LT |
| Total reinvested | 216 628 | 25 564 | | 5,537.97 | 30 590 | 6,626 65 | 1,088 68 | | |
| EAI: $317 Current yield. 0 51% | | | | | | | | | |
| Security total | 2,016 539 | 27 544 | 50,005 25 | 55,543 22 | | 61,685 92 | 6,142 71 | 11,680 68 | |

# Your total assets

| | | Value on Dec 31 ($) | Percentage of your account | Cost basis ($) | Estimated annual income ($) | Unrealized gain or loss ($) |
|---|---|---|---|---|---|---|
| **Cash** | **Cash and money balances** | **118,434.41** | **10.89%** | **118,434.41** | | |
| **Equities** | Common stock | 612,980 00 | | 422,476 62 | 19,889 00 | 190,503 38 |
| | Other equity investments | 15,590 00 | | 15,637 61 | 400 00 | -47 61 |
| | **Total equities** | **628,570.00** | **57.82%** | **438,114.23** | **20,289.00** | **190,455.77** |
| **Fixed income** | Corporate bonds and notes | 74,983 25 | | 75,000 00 | 3,384.00 | -16 75 |
| | Asset backed securities | 712 10 | | 643 46 | 38 00 | 68 64 |
| | Preferred securities | 202,026 00 | | 203,220 49 | 15,125.00 | -1,194.49 |
| | Total accrued interest | 739 26 | | | | |
| | **Total fixed income** | **278,460.61** | **25.62%** | **278,863.95** | **18,547.00** | **-1,142.60** |
| **Other** | **Mutual funds** | **61,685.92** | **5.67%** | **55,543.22** | **317.00** | **6,142.71** |
| **Total** | | **$1,087,150.94** | **100.00%** | **$890,955.81** | **$39,153.00** | **$195,455.88** |

**Account name:** TUW JANE DECKER ASMIS DTD
**Account type:** Personal Trust
**Account number:** JW 34719 1D

**Your Financial Advisor:**
ROBERT MONTENEGRO/DALE HORN
410-576-3200/800-622-0073

# Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

## Cash

### Cash and money balances

| Holding | Opening balance on Dec 1 ($) | Closing balance on Dec 30 ($) | Price per share on Dec 30 ($) | Average rate | Dividend/Interest period | Days in period |
|---|---|---|---|---|---|---|
| RMA GOVERNMENT PORTFOLIO | 35,734.15 | 111,741.46 | 1.00 | 0.01% | Nov 23 to Dec 22 | 30 |

## Equities

### Common stock

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 30 ($) | Value on Dec 30 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| AMER ELECTRIC POWER CO<br>Symbol: AEP Exchange: NYSE<br>EAI: $940 Current yield: 4.55% | Jul 13, 09 | 500.000 | 29.095 | 14,547.52 | 41.310 | 20,655 00 | 6,107 48 | LT |
| AMERICAN WATER WORKS CO INC NEW<br>Symbol: AWK Exchange: NYSE<br>EAI: $920 Current yield: 2.89% | Nov 3, 10 | 1,000.000 | 24 524 | 24,524.88 | 31.860 | 31,860.00 | 7,335.12 | LT |
| AT&T INC<br>Symbol: T Exchange: NYSE<br>EAI: $1,760 Current yield: 5.82% | Sep 10, 09 | 1,000.000 | 27.047 | 27,047.77 | 30.240 | 30,240.00 | 3,192.23 | LT |
| CHEVRON CORP<br>Symbol: CVX Exchange: NYSE<br>EAI: $3,240 Current yield: 3.05% | Feb 22, 00 | 462.000 | 41.357 | 19,107.00 | 106.400 | 49,156 80 | 30,049.80 | LT |
| | Jul 13, 09 | 538.000 | 63 033 | 33,912.05 | 106 400 | 57,243.20 | 23,331.15 | LT |
| Security total | | 1,000 000 | 53.019 | 53,019 05 | | 106,400.00 | 53,380.95 | |
| CSX CORPORATION<br>Symbol: CSX Exchange. NYSE<br>EAI. $720 Current yield: 2.28% | Jul 13, 09 | 1,500.000 | 10.899 | 16,349.63 | 21 060 | 31,590.00 | 15,240.37 | LT |

*continued next page*

## Your assets › Equities › Common stock (continued)

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 30 ($) | Value on Dec 30 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| DOMINION RESOURCES INC VA (NEW) | | | | | | | | |
| Symbol. D Exchange. NYSE | | | | | | | | |
| EAI. $985 Current yield 3 71% | Apr 1, 11 | 500 000 | 45 632 | 22,816 49 | 53 080 | 26,540 00 | 3,723 51 | ST |
| GENL ELECTRIC CO | | | | | | | | |
| Symbol GE Exchange. NYSE | | | | | | | | |
| EAI· $816 Current yield: 3 80% | Jun 17, 10 | 1,200 000 | 16 230 | 19,476 75 | 17 910 | 21,492 00 | 2,015 25 | LT |
| INTL BUSINESS MACH | | | | | | | | |
| Symbol IBM Exchange: NYSE | | | | | | | | |
| EAI. $600 Current yield 1.63% | Mar 3, 00 | 200 000 | 108 068 | 21,613 74 | 183 880 | 36,776 00 | 15,162 26 | LT |
| JOHNSON & JOHNSON COM | | | | | | | | |
| Symbol JNJ Exchange NYSE | | | | | | | | |
| EAI· $1,140 Current yield: 3.48% | Oct 6, 09 | 500 000 | 61 346 | 30,673.39 | 65 580 | 32,790 00 | 2,116 61 | LT |
| MICROSOFT CORP | | | | | | | | |
| Symbol. MSFT Exchange· OTC | | | | | | | | |
| EAI· $400 Current yield: 3 08% | Oct 6, 09 | 500 000 | 25 576 | 12,788 13 | 25 960 | 12,980 00 | 191 87 | LT |
| NOVARTIS AG SPON ADR | | | | | | | | |
| Symbol NVS Exchange. NYSE | | | | | | | | |
| EAI $2,005 Current yield 3 51% | Jul 7, 11 | 1,000 000 | 62 648 | 62,648 11 | 57 170 | 57,170 00 | -5,478 11 | ST |
| PROCTER & GAMBLE CO | | | | | | | | |
| Symbol PG Exchange: NYSE | | | | | | | | |
| EAI· $840 Current yield 3.15% | Feb 22, 00 | 400 000 | 43 588 | 17,435 34 | 66 710 | 26,684.00 | 9,248 66 | LT |
| TECO ENERGY INC | | | | | | | | |
| Symbol. TE Exchange. NYSE | | | | | | | | |
| EAI $1,333 Current yield 4 49% | Nov 17, 04 | 750 000 | 15.641 | 11,731.17 | 19 140 | 14,355 00 | 2,623.83 | LT |
| | Mar 9, 05 | 800 000 | 16 262 | 13,010 07 | 19 140 | 15,312 00 | 2,301 93 | LT |
| Security total | | 1,550 000 | 15 962 | 24,741 24 | | 29,667 00 | 4,925 76 | |
| TEVA PHARMACEUTICALS IND LTD ISRAEL ADR | | | | | | | | |
| Symbol TEVA Exchange. OTC | | | | | | | | |
| EAI $216 Current yield· 1.95% | Jun 21, 01 | 275 000 | 41 829 | 11,503 17 | 40 360 | 11,099 00 | -404 17 | LT |
| UNION PACIFIC CORP | | | | | | | | |
| Symbol. UNP Exchange NYSE | | | | | | | | |
| EAI $2,160 Current yield. 2 27% | Feb 22, 00 | 300 000 | 29 031 | 8,709 50 | 105 940 | 31,782 00 | 23,072.50 | LT |
| | Aug 5, 09 | 600.000 | 59 925 | 35,955 14 | 105 940 | 63,564 00 | 27,608.86 | LT |

*continued next page*

## Your assets › Equities › Common stock (continued)

| Holding | Trade date | Number of shares | Purchase price/ Average price per share ($) | Cost basis ($) | Price per share on Dec 30 ($) | Value on Dec 30 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| Security total | | 900.000 | 49.627 | 44,664.64 | | 95,346.00 | 50,681.36 | |
| VERIZON COMMUNICATIONS INC<br>Symbol: VZ Exchange: NYSE<br>EAI: $1,000 Current yield: 4.99% | Jul 13, 09 | 500.000 | 27.639 | 13,819 80 | 40 120 | 20,060.00 | 6,240.20 | LT |
| **Total** | | | | **$417,669.65** | | **$591,349.00** | **$173,679.35** | |

**Total estimated annual income: $19,075**

## Fixed income

### Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

| Holding | Trade date | Total face value at maturity ($) | Purchase price ($) | Cost basis ($) | Price on Dec 30 ($) | Value on Dec 30 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| HOUSEHOLD FIN INTERNOTES<br>ADJ RATE MATURES 09/15/13<br>CUSIP 44181EXR4<br>Moody: A3 S&P: A<br>EAI: $1,519 Current yield: 5.98% | Sep 24, 03 | 25,000.000 | 100.000 | 25,000 00 | 101 665 | 25,416.25 | 416 25 | LT |
| GE CAPITAL INTERNOTES<br>CALLABLE<br>RATE 05 000% MATURES 03/15/18<br>ACCRUED INTEREST $729 16<br>CUSIP 36966RCY0<br>Moody: Aa2 S&P. AA+<br>EAI: $2,500 Current yield· 5.06% | Mar 13, 03 | 50,000.000 | 100.000 | 50,000.00 | 98.752 | 49,376.00 | -624.00 | LT |
| MORGAN STANLEY STEP-UP<br>MED TERM NTS<br>RATE 05.000% MATURES 06/11/25<br>ACCRUED INTEREST $65.97<br>CUSIP 61745EP21<br>Moody: A2 S&P. A-<br>EAI· $1,250 Current yield: 5.12% | Jun 08, 10 | 25,000.000 | 100.000 | 25,000.00 | 97.750 | 24,437 50 | -562.50 | LT |

*continued next page*

## Your assets › Fixed income › Corporate bonds and notes (continued)

| Holding | Trade date | Total face value at maturity ($) | Purchase price ($) | Cost basis ($) | Price on Dec 30 ($) | Value on Dec 30 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| **Total** | | **$100,000.000** | | **$100,000.00** | | **$99,229.75** | **-$770.25** | |

**Total accrued interest: $795.13**

**Total estimated annual income: $5,269**

### Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID)

| Holding | Trade date | Total face value at maturity ($) | Purchase price($) | Adjusted cost basis ($) | Price on Dec 30 ($) | Value on Dec 30 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| GNMA PL 003052M<br>RATE 06.0000% MATURES 03/20/31<br>CURRENT PAR VALUE 840<br>ACCRUED INTEREST $4.05<br>CUSIP 36202DL90<br>EAI $50 Current yield. 5.28% | Mar 22, 01 | 25,000 000 | 101 250 | 854.56 | 113 550 | 953 82 | 99 26 | LT |

### Preferred securities

| Holding | Trade date | Number of shares | Purchase price per share ($) | Cost basis ($) | Price per share on Dec 30 ($) | Value on Dec 30 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| ALLIANZ SE<br>8.375%<br>PERPETUAL, CALLABLE<br>Exchange: OTC<br>EAI $4,188 Current yield 8.20% | Jun 4, 08 | 2,000 000 | 25 000 | 50,000.00 | 25 531 | 51,062 00 | 1,062.00 | LT |
| CONSTELLATION ENERGY GROUP<br>8.625%<br>DUE 06/15/63 CALLABLE<br>Symbol CEG PRA Exchange NYSE<br>EAI. $2,156 Current yield 7 94% | Jun 23, 08 | 1,000 000 | 25 000 | 25,000 00 | 27 140 | 27,140 00 | 2,140 00 | LT |
| HSBC HOLDINGS<br>8 125%<br>PERPETUAL, CALLABLE<br>Symbol: HCS Exchange NYSE<br>EAI $2,031 Current yield· 7.89% | Apr 2, 08 | 1,000 000 | 25 000 | 25,000 00 | 25 750 | 25,750 00 | 750 00 | LT |

*continued next page*

**Your Financial Advisor:**
ROBERT MONTENEGRO/DALE HORN
410-576-3200/800-622-0073

## Your assets › **Fixed income** › **Preferred securities** (continued)

| Holding | Trade date | Number of shares | Purchase price per share ($) | Cost basis ($) | Price per share on Dec 30 ($) | Value on Dec 30 ($) | Unrealized gain or loss ($) | Holding period |
|---|---|---|---|---|---|---|---|---|
| KEYCORP CAP X<br>8.000%<br>DUE 03/15/68 CALLABLE<br>Symbol: KEY.PRF Exchange: NYSE<br>EAI: $346 Current yield: 7.83% | Feb 21, 08 | 173 000 | 25.000 | 4,325 00 | 25 540 | 4,418.42 | 93.42 | LT |
| METLIFE INC SERIES B<br>CALLABLE 09/15/10<br>RATE 6.500%<br>Symbol: MET.PRB Exchange· NYSE<br>EAI: $3,250 Current yield: 6.38% | Jun 10, 05 | 2,000.000 | 25 000 | 50,000.00 | 25.480 | 50,960 00 | 960 00 | LT |
| SUNTRUST CAPITAL IX<br>7.875%<br>DUE 03/15/68 CALLABLE<br>SER IX<br>Symbol. STI.PRZ Exchange. NYSE<br>EAI. $3,938 Current yield: 7.78% | Feb 26, 08 | 2,000.000 | 25.000 | 50,000.00 | 25.300 | 50,600.00 | 600 00 | LT |
| **Total** | | | | **$204,325.00** | | **$209,930.42** | **$5,605.42** | |

**Total estimated annual income: $15,909**

# Other

## Mutual funds

*Total reinvested* is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot.

*Cost basis* is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

*Unrealized (tax) gain or loss* is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

*Investment return* is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

| Holding | Number of shares | Purchase price/ Average price per share ($) | Client investment ($) | Cost basis ($) | Price per share on Dec 30 ($) | Value on Dec 30 ($) | Unrealized (tax) gain or loss ($) | Investment return ($) | Holding period |
|---|---|---|---|---|---|---|---|---|---|
| UBS U.S. ALLOCATION FUND | | | | | | | | | |
| CLASS A | | | | | | | | | |
| Trade date: Feb 8, 01 | 993.323 | 30.201 | 30,000.00 | 30,000.00 | 27.340 | 27,157.45 | -2,842.55 | | LT |
| Trade date: Mar 25, 08 | 330 624 | 30.230 | 10,000.00 | 10,000.00 | 27.340 | 9,039.26 | -960 74 | | LT |
| Trade date: Jul 13, 09 | 475 964 | 21.010 | 10,005.25 | 10,005.25 | 27.340 | 13,012.85 | 3,007.60 | | LT |

*continued next page*

## Your assets › Other › Mutual funds (continued)

| Holding | Number of shares | Purchase price/ Average price per share ($) | Client investment ($) | Cost basis ($) | Price per share on Dec 30 ($) | Value on Dec 30 ($) | Unrealized (tax) gain or loss ($) | Investment return ($) | Holding period |
|---|---|---|---|---|---|---|---|---|---|
| Total reinvested | 206.341 | 25.316 | | 5,223 81 | 27 340 | 5,641 36 | 417 55 | | |
| EAI $816 Current yield 1 49% | | | | | | | | | |
| Security total | 2,006 252 | 27.528 | 50,005.25 | 55,229.06 | | 54,850 92 | -378.14 | 4,845.67 | |

## Your total assets

| | | Value on Dec 30 ($) | Percentage of your account | Cost basis ($) | Estimated annual income ($) | Unrealized gain or loss ($) |
|---|---|---|---|---|---|---|
| **Cash** | **Cash and money balances** | **111,741.46** | **10.45%** | **111,741.46** | | |
| **Equities** | **Common stock** | **591,349.00** | **55.33%** | **417,669.65** | **19,075.00** | **173,679.35** |
| **Fixed income** | Corporate bonds and notes | 99,229 75 | | 100,000 00 | 5,269 00 | -770 25 |
| | Asset backed securities | 953 82 | | 854 56 | 50 00 | 99.26 |
| | Preferred securities | 209,930 42 | | 204,325 00 | 15,909 00 | 5,605 42 |
| | Total accrued interest | 799 18 | | | | |
| | **Total fixed income** | **310,913.17** | **29.09%** | **305,179.56** | **21,228.00** | **4,934.43** |
| **Other** | **Mutual funds** | **54,850.92** | **5.13%** | **55,229.06** | **816.00** | **-378.14** |
| **Total** | | **$1,068,854.55** | **100.00%** | **$889,819.73** | **$41,119.00** | **$178,235.64** |

# Account activity this month

You have qualified for a $150 00 Annual account fee waiver

| | Date | Activity | Description | Amount ($) |
|---|---|---|---|---|
| **Dividend and interest income** | | | | |
| *Taxable dividends* | Dec 1 | Dividend | AMERICAN WATER WORKS CO INC NEW PAID ON 1000 | 230 00 |
| | Dec 7 | Foreign Dividend | TEVA PHARMACEUTICALS IND LTD ISRAEL ADR PAID ON 275 | 59 09 |
| | Dec 8 | Dividend | ARCHER DANIELS MIDLAND CO PAID ON 1000 | 175 00 |
| | Dec 8 | Dividend | MICROSOFT CORP PAID ON 500 | 100 00 |
| | Dec 9 | Dividend | AMER ELECTRIC POWER CO PAID ON 500 | 235 00 |
| | Dec 12 | St Cap Gain | RMA GOVERNMENT PORTFOLIO AS OF 12/09/11 | 0 36 |
| | Dec 12 | Dividend | CHEVRON CORP PAID ON 1000 | 810 00 |
| | Dec 12 | Dividend | INTL BUSINESS MACH PAID ON 200 AS OF 12/10/11 | 150 00 |
| | Dec 13 | Dividend | JOHNSON & JOHNSON COM PAID ON 500 | 285 00 |

*continued next page*

**T/U/W JANE DECKER ASMIS**

52-6956677

2012 Form 990-PF - Part XV - 3.a.

| Recipient | Purpose | Amount |
|---|---|---|
| Doctors Without Borders USA, Inc.<br>333 7th Avenue<br>2nd Floor<br>New York, New York 10001 | Unrestricted | $ 6,000 |
| Wild Earth Guardians<br>516 Alto Street<br>Santa Fe, New Mexico 87501 | Unrestricted | 8,500 |
| Wise Fool New Mexico<br>Unit D<br>2778 Agua Fria Street<br>Santa Fe, New Mexico 87507 | Unrestricted | 3,500 |
| New Mexico Solar Energy Association<br>1009 Bradbury SE #35<br>Albuquerque, New Mexico 87106 | Unrestricted | 5,000 |
| The Elephant Sanctuary<br>P. O. Box 393<br>Hohenwald, Texas 38462 | Unrestricted | 2,000 |
| Turquoise Trail Volunteer<br>Fire Department<br>P. O. Box 102<br>Cerrillos, New Mexico 87010 | Unrestricted | 4,000 |
| Heifer International<br>P. O. Box 8058<br>Little Rock, Arkansas 72203-8058 | Unrestricted | 5,000 |
| Women for Women International<br>4455 Connecticut Avenue, NW<br>Suite 200<br>Washington, DC 20008 | Unrestricted | 7,500 |

**T/U/W JANE DECKER ASMIS**

52-6956677

| | | |
|---|---|---|
| The Horse Shelter<br>100 AB Old Cash Ranch Road<br>Cerrillos, New Mexico 87010 | Unrestricted | 2,000 |
| Cimarron Sky-Dog Reserve<br>P. O. Box 583<br>Cerrillos, New Mexico 87010 | Unrestricted | 1,000 |
| Nonviolent Peaceforce<br>425 Oak Grove Street<br>Minneapolis, Minnesota 55403 | Unrestricted | 1,000 |
| Earth's Birthday Project<br>P. O. Box 1536<br>Santa Fe, New Mexico | Unrestricted | 2,000 |
| Solace Crisis Treatment Center<br>6601 Valentine Way<br>Santa Fe, New Mexico 87507 | Unrestricted | 3,000 |
| | | $50,500 |

*1678418v8*