Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

For calendar year 2012, or tax year beginning , 2012, and ending ,

Name of foundation
THE FINDLAY FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
C/O D.B. FINDLAY, JR. 10 FATHER PETER'S LANE

City or town State ZIP code
NEW CANAAN CT 06840

A Employer identification number 52-2388373

B Telephone number (see the instructions) (203) 966-4617

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ▶ $ 1,236,333.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ▶ ☒ if the foundn is **not** req to att Sch B				
	3 Interest on savings and temporary cash investments	11.	11.	11.	
	4 Dividends and interest from securities	25,809.	25,809.	25,809.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		34,308.		
	8 Net short-term capital gain			1,037.	
	9 Income modifications			112.	
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	25,820.	60,128.	26,969.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) L-16a Stmt	4,810.			4,810.
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) L-16c Stmt	12,123.	12,123.	12,123.	
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	2,273.	2,273.	2,273.	
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	228.	228.	228.	
	24 **Total operating and administrative expenses.** Add lines 13 through 23	19,434.	14,624.	14,624.	4,810.
	25 Contributions, gifts, grants paid	53,500.			53,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	72,934.	14,624.	14,624.	58,310.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-47,114.			
	b **Net investment income** (if negative, enter -0-)		45,504.		
	c **Adjusted net income** (if negative, enter -0-)			12,345.	

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	36,317.	51,522.	51,522.
	2 Savings and temporary cash investments			
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less: allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	1,334,794.	1,294,460.	1,184,811.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment. basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	1,371,111.	1,345,982.	1,236,333.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,371,111.	1,345,982.	
	30 **Total net assets or fund balances** (see instructions)	1,371,111.	1,345,982.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	1,371,111.	1,345,982.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,371,111.
2	Enter amount from Part I, line 27a	2	-47,114.
3	Other increases not included in line 2 (itemize) ► See Other Increases Stmt	3	34,420.
4	Add lines 1, 2, and 3	4	1,358,417.
5	Decreases not included in line 2 (itemize) ► Basis Adjustment	5	12,435.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,345,982.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	See Attached Bernstein statement Short-Term Non-Covered	P	Various	Various
b	See Attached Bernstein statement Long-Term Non-Covered	P	Various	Various
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	223,749.		222,712.	1,037.
b	348,853.		315,582.	33,271.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a	0.	0.	0.	1,037.
b	0.	0.	0.	33,271.
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	34,308.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)· If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	1,037.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	68,965.	1,300,163.	0.053043
2010	75,829.	1,245,062.	0.060904
2009	67,816.	1,190,092.	0.056984
2008	86,088.	1,564,571.	0.055023
2007	122,098.	2,015,015.	0.060594

2	**Total** of line 1, column (d)	2	0.286548
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.057310
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	1,202,895.
5	Multiply line 4 by line 3	5	68,938.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	455.
7	Add lines 5 and 6	7	69,393.
8	Enter qualifying distributions from Part XII, line 4	8	58,310.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary – see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b	1	910.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	910.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	910.
6	Credits/Payments		
a	2012 estimated tax pmts and 2011 overpayment credited to 2012 — 6a		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	23.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	933.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	0.
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ► **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ ______ **(2)** On foundation managers ► $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► ______			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► David B. Findlay, Jr. Telephone no ► (203) 966-4617

Located at ► 10 Father Peter's Lane New Canaan CT ZIP + 4 ► 06840

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b		
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)		2 b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*		3 b		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
David B. Findlay, Jr. 10 Father Peter's Lane New Canaan CT 06840	Director & President 0.25	0.	0.	0.
Harry Peter Findlay 79 Prospect Mountain Road Salisbury CT 06068	Director & Secy/Treas 0.25	0.	0.	0.
Kimberly Findlay Davis 5481 Greenbush Road Charlotte VT 05445	Director 0.25	0.	0.	0.
See Information about Officers, Directors, Trustees, Etc		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1- see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.			
a	Average monthly fair market value of securities		1a	1,193,564.
b	Average of monthly cash balances		1b	27,649.
c	Fair market value of all other assets (see instructions)		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,221,213.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	1,221,213.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	18,318.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,202,895.
6	**Minimum investment return.** Enter 5% of line 5		6	60,145.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	60,145.
2a	Tax on investment income for 2012 from Part VI, line 5	2a 910.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	910.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	59,235.
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	59,235.
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	59,235.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc– total from Part I, column (d), line 26	1a	58,310.
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	58,310.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	58,310.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					59,235.
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only				0.	
b Total for prior years: 20 ___, 20 ___, 20 ___					
3 Excess distributions carryover, if any, to 2012:					
a From 2007	25,951.				
b From 2008	8,099.				
c From 2009	8,681.				
d From 2010	14,362.				
e From 2011	5,190.				
f **Total** of lines 3a through e		62,283.			
4 Qualifying distributions for 2012 from Part XII, line 4 ► $ 58,310.					
a Applied to 2011, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required — see instructions)					
c Treated as distributions out of corpus (Election required — see instructions)					
d Applied to 2012 distributable amount					58,310.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a))*		925.			925.
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		61,358.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount — see instructions			0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount — see instructions				0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		25,026.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		36,332.			
10 Analysis of line 9					
a Excess from 2008	8,099.				
b Excess from 2009	8,681.				
c Excess from 2010	14,362.				
d Excess from 2011	5,190.				
e Excess from 2012	0.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a.					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a 'Assets' alternative test – enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines·

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CAF America 1800 Diagonal Road Alexandria VA 22314		Paid	Community Support Grant	500.
Robin Hood Foundation 826 Broadway, 7th Floor New York NY 10003		Paid	Fighting Poverty Support Grant	3,000.
Salisbury Visiting Nurse Association 30A Salmon Kill Road Salisbury CT 06068		Paid	Medical Support Grant	200.
Tri-State Public Communications Main Street Sharon CT 81612		Paid	Medical Support Grant	50.
NYC Ballet 20 Lincoln Center New York NY 10023		Paid	Cultural Support Grant	100.
Town of Salisbury Campaign for New Firehouse Salisbury CT 06068		Paid	Community Support Grant	500.
Connecticut Farmland Trust 77 Buckingham Street Harford CT 06106		Paid	Presevation of Farm Land Support Grant	250.
American Farmland Trust 1200 18th Street NW, Ste 800 Washington DC 20036		Paid	Presevation of Farm Land Support Grant	200.
Calvin Community 4210 Hickman Road Des Moines IA 50310		Paid	Civic Support Grant	250.
See Line 3a statement				48,450.
Total			► 3a	53,500.
b *Approved for future payment*				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	11.	
4 Dividends and interest from securities			14	25,809.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				25,820.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 25,820.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of.			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5-10-13 Title: President

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
B. John Readey III	[signature]	5/8/13		P00448899

Firm's name ► Bryan Cave LLP | Firm's EIN ► 43-0602162

Firm's address ► 211 N Broadway Ste 3600, Saint Louis MO 63102 | Phone no (314) 259-2000

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
US Treasury	1,242.	1,242.	1,242.	
Foreign Taxes Withheld	951.	951.	951.	
CT Department of Revenue	30.	30.	30.	
CT Secretary of State	50.	50.	50.	
Total	2,273.	2,273.	2,273.	

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Bank Charges	28.	28.	28.	
Secretarial Services	200.	200.	200.	
Total	228.	228.	228.	

Form 990-PF, Page 2, Part III, Line 3
Other Increases Stmt

Net Capital Gains on Sale of Assets	34,308.
Bernstein Non-Dividend Distributions	112.
Total	34,420.

Form 990-PF, Page 6, Part VIII, Line 1
Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person [X] Business [ ] Joshua Findlay 5 Tulip Tree Mamaroneck NY 10543	Director 0.25	0.	0.	0.
Person [X] Business [ ] Patricia Findlay 79 Prospect Mountain Road Salisbury CT 06068	Director 0.25	0.	0.	0.
Person [X] Business [ ] Lee F. Potter 530 East 86th Street #12A New York NY 10028	Director 0.25	0.	0.	0.
Total		0.	0.	0.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
Aspen Community Foundation 110 E. Hallam St. Ste 128 Aspen CO 81611		Paid	Community Improvements Support Grant	Person or Business 3,000.
The Little Guild of St. Francis St. Francis West Cornwall CT 06796		Paid	Animal Protection Support Grant	Person or Business 100.
Wellington P T A 121 Orchard St Belmont MA 02478		Paid Paid	Educational Support Grant	Person or Business 1,000.
Lakeview Hose Company P.O. Box 1969 Lakeville CT 06039		Paid	Public Safety Support Grant	Person or Business 500.
Salisbury Association 24 Main Street Salisbury CT 06068		Paid	Arts and Culture Support Grant	Person or Business 200.
Silver Hill Hospital 208 Valley Road New Canaan CT 06840		Paid	Medical Research Support Grant	Person or Business 2,500.
Berkshire Taconic Foundation 271 Main St Ste 3 Great Barrington MA 01230		Paid	Voluntarism Support Grant	Person or Business 3,000.
Atop 135 Cedar St Clifton Park NJ 07010		Paid	Human Services Support Grant	Person or Business 500.
Fresh Air Fund 633 Third Avenue, 14th Floor New York NY 10017		Paid	Youth Development Support Grant	Person or Business 200.
Salisbury Volunteer Ambulance P.O. Box 582 Salisbury CT 06068		Paid	Health; Ambulance Support Grant	Person or Business 300.
Touch the World 1 Maple Street Allendale NJ 10018		Paid	Educational Support Grant	Person or Business 200.
Northwest CT Owl P.O. Box 153 Lakeville CT 06039		Paid	Educational Support Grant	Person or Business 200.
Jazz Aspen 110 East Hallam Street Aspen CO 81611		Paid	Cultural Support Grant	Person or Business 550.
				Person or Business
James Beard Foundation 167 West West 12 Street New York NY 10011		Paid	Foundation Support Grant	Person or Business 500.

Form 990-PF, Page 11, Part XV, line 3a Continued
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
Connecticut Junior Republic 550 Goshen Road Litchfield CT 06759		Paid	Youth Development Support Grant	Person or Business 200.
Gabriella Foundation 922 E. LaVerne Way Palm Springs CA 92264		Paid	Voluntarism Support Grant	Person or Business 3,000.
WHDD Robin Hood Radio 67 Main Street Sharon CT 06069		Paid	Public Service Support Grant	Person or Business 100.
ASPCA 424 East 92 Street New York NY 10128		Paid	Civic Support Grant	Person or Business 200.
Salisbury School Rte 44 Salisbury CT 06068		Paid	Educational Support Grant	Person or Business 2,000.
Scoville Memorial Library 38 Main Street Salisbury CT 06068		Paid	Educational Support Grant	Person or Business 200.
The Aspen Institute 1000 North Third Street Aspen CO 81611		Paid	Arts and Culture Support Grant	Person or Business 500.
Innocence Project, Inc. 100 Fifth Ave. Third Floor New York NY 10011		Paid	Legal Services for individuals Support Grant	Person or Business 2,000.
The Doe Fund 232 East 84 Street New York NY 10028		Paid	Human Services Support Grant	Person or Business 100.
Housatonic Valley Association P.O. Box 28 Cornwall Bridge CT 06754		Paid	Educational Support Grant	Person or Business 100.
Museum of the the Moving Image 35 Avenue Astoria NY 11106		Paid	Historical Support Grant	Person or Business 750.
Salibury Family Services P.O. Box 1868 Lakeville CT 06039		Paid	Human Services Support Grant	Person or Business 200.
City Meals on Wheels 355 Lexington Ave. New York City NY 81611		Paid	Human Services Support Grant	Person or Business 50.
Sloan Kettering Cancer 1275 York Avenue New York NY 10021		Paid	Medical Research Support Grant	Person or Business 1,000.
Housatonic Child Care Center Inc 30 Salmonkill Road Salisbury CT 06068		Paid	Child Care Support Grant	Person or Business 200.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
Charity Water 200 Varrick Street New York City NY 10014		Paid	Human Services Support Grant	Person or Business 500.
New Orleans Pres Center 923 Tchoupitoulas Street New Orleans LA 70130		Paid	Support Grant	Person or Business 1,000.
Metropolitan Museum 1000 Fifth Avenue New York NY 06840		Paid	Educational Support Grant	Person or Business 1,000.
Cornell University- Johnson Museum 377 Pine Tree Road Ithaca NY 14850		Paid	Educational Support Grant	Person or Business 2,000.
Deerfield Academy P.O. Box 87 Deerfield MA 01342		Paid	Educational Support Grant	Person or Business 5,000.
				Person or Business
Lockwood-Mathews Mansion Museum 45 Holly Road New Canaan CT 06840		Paid	Arts, Culture Support Grant	Person or Business 5,000.
New Canaan Preservation Alliance Inc P.O. Box 924 New Canaan CT 06840		Paid	Arts, Culture Support Grant	Person or Business 500.
Hunger Free Vermont 180 Flynn Avenue Burlington VT 05401		Paid	Nutrition Support Grant	Person or Business 1,000.
				Person or Business
				Person or Business
				Person or Business
Met Museum 1000 Fifth Avenue New York NY 10028		Paid	Arts, Culture Support Grant	Person or Business 9,100.
Total				48,450.

Form 990-PF, Page 1, Part I
Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Bryan Cave LLP	Legal/Tax preparation	4,810.			4,810.
Total		4,810.			4,810.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Sanford C. Berstein	Investment Expenses	12,123.	12,123.	12,123.	
Total		12,123.	12,123.	12,123.	

Supporting Statement of:

Form 990-PF, p1/Line 9(c)

Description	Amount
Bernstein Non-Dividend Distributions	112.
Total	112.

Supporting Statement of:

Form 990-PF, p2/Line 1(b)

Description	Amount
Berstein account	15,438.
Bank of America	36,084.
Total	51,522.

Supporting Statement of:

Form 990-PF, p8/Part X, Line 1b

Description	Amount
Berstein account	9,354.
Bank of America	18,295.
Total	27,649.

Supporting Statement of:

Form 990-PF,p12/Line 4 Column (d)

Description	Amount
Taxable Dividend	25,809.
Total	25,809.

Reporting Currency: US dollars

Quantity	Average Unit Cost	Market Price	Total Cost	Market Value	Estimated. Annual Income	Yield	% of Portfolio	% of Asse Class
CASH								
15,438 CASH	$1.00	$1 00	$15,437 81	$15,437 81	$14	0 09%	1 3%	100 0%
EQUITIES								
DOMESTIC								
NON-FINANCIAL								
HOME BUILDING								
250 PULTE GROUP INC	$13 86	$18 16	$3,465 56	$4,540 00	- - -	- - -	0 4%	0 4%
FINANCIAL								
PROPERTY - CASUALTY INSURANCE								
45 PARTNERRE LTD	$80 90	$80 49	$3,640 56	$3,622 05	$108	2 98%	0 3%	0.3%
MISC FINANCIAL								
40 AFFILIATED MANAGERS GROUP INC	$108 58	$130 15	$4,343 22	$5,206 00	- - -	- - -	0 4%	0 4%
110 MCGRAW HILL FINANCIAL INC	$44 12	$54 67	$4,853 14	$6,013 70	$387	6 44%	0 5%	0 5%
40 VISA INC - CLASS A SHRS	$124 38	$151 58	$4,975 02	$6,063 20	$53	0 87%	0 5%	0 5%
Total			$14,171 38	$17,282.90	$440	- - -	1 4%	1 5%
FINANCE - PERSONAL LOANS								
225 DISCOVER FINANCIAL SERVICES	$39 32	$38 55	$8,846 53	$8,673 75	$126	1 45%	0 7%	0 7%
Total			$8,846 53	$8,673 75	$126	1 45%	0 7%	0 7%
MULTI-LINE INSURANCE								
95 FIDELITY NATIONAL FINANCIAL IN	$19 89	$23 55	$1,889 90	$2,237 25	$61	2 72%	0 2%	0 2%
110 HEALTH NET INC	$26 18	$24 30	$2,879 31	$2,673 00	- - -	- - -	0 2%	0 2%
240 UNITEDHEALTH GROUP INC	$50 58	$54 24	$12,138 09	$13,017 60	$204	1 57%	1 1%	1.1%
55 VALIDUS HOLDINGS LTD	$33 20	$34 58	$1,826 25	$1,901 90	$55	2 89%	0 2%	0 2%
190 WELLPOINT INC	$63 73	$60.92	$12,109 05	$11,574 80	$219	1 89%	1 0%	1 0%
Total			$30,842 60	$31,404 55	$538	1 71%	2 6%	2 7%
BANKS - NYC								
250 CIT GROUP INC	$36 63	$38 64	$9,158 10	$9,660 00	- - -	- - -	0 8%	0 8%
375 CITIGROUP INC	$38 18	$39 56	$14,316 22	$14,835 00	$15	0 10%	1 2%	1 3%
90 JPMORGAN CHASE & CO	$44 10	$43 97	$3,968 82	$3,957 21	$108	2 73%	0 3%	0 3%
Total			$27,443 14	$28,452 21	$123	- - -	2 4%	2 4%
MAJOR REGIONAL BANKS								
675 BANK OF AMERICA CORP	$9 97	$11 61	$6,729 15	$7,836 75	$27	0 34%	0 7%	0 7%

Reporting Currency: US dollars

Quantity	Average Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asse Class
140 BB&T CORP	$33 59	$29 11	$4,702 52	$4,075 40	$112	2 75%	0 3%	0 3%
85 STATE STREET CORP	$43 05	$47 01	$3,659 10	$3,995 85	$82	2 04%	0 3%	0 3%
160 US BANCORP	$34 68	$31 94	$5,548 08	$5,110 40	$125	2.44%	0 4%	0 4%
425 WELLS FARGO & COMPANY	$32 73	$34 18	$13,911 76	$14,526 50	$374	2 57%	1 2%	1 2%
Total			$34,550 61	$35,544 90	$719	2 02%	3 0%	3 0%
TOTAL FINANCIAL			$119,494 82	$124,980.36	$2,055	1 64%	10 4%	10 5%
UTILITIES								
TELECOM								
115 FACEBOOK INC-A	$19 88	$26 63	$2,286 57	$3,062 45	---	---	0 3%	0 3%
UTILITIES								
TELEPHONE								
105 AT&T INC	$32 01	$33 71	$3,361 10	$3,539 55	$189	5 34%	0 3%	0 3%
ELECTRIC COMPANIES								
40 EDISON INTERNATIONAL	$42 62	$45 19	$1,704 77	$1,807 60	$54	2 99%	0 2%	0 2%
250 NV ENERGY INC	$15 28	$18 14	$3,820 61	$4,535 00	$170	3 75%	0 4%	0.4%
Total			$5,525 38	$6,342 60	$224	3 53%	0 5%	0 5%
TOTAL UTILITIES			$8,886 48	$9,882 15	$413	4 18%	0 8%	0 8%
CONSUMER GROWTH								
ENTERTAINMENT								
750 MGM RESORTS INTERNATIONAL	$11 91	$11 64	$8,936 09	$8,730 00	---	---	0 7%	0 7%
225 THE WALT DISNEY CO	$35 02	$49 79	$7,880 36	$11,202 75	$169	1 51%	0 9%	0 9%
160 VIACOM INC-CLASS B	$42 19	$52 74	$6,751 15	$8,438 40	$176	2 09%	0 7%	0 7%
Total			$23,567 60	$28,371 15	$345	---	2 4%	2.4%
RADIO - TV BROADCASTING								
347 COMCAST CORP-CLASS A	$27 24	$37 38	$9,453 75	$12,970 86	$226	1 74%	1 1%	1 1%
70 DIRECTV	$48.48	$50.16	$3,393 50	$3,511 20	---	---	0 3%	0 3%
60 TIME WARNER CABLE	$47 04	$97 19	$2,822 66	$5,831 40	---	---	0 5%	0.5%
Total			$15,669 91	$22,313 46	$226	1 01%	1 9%	1 9%
PUBLISHING - NEWSPAPERS								
165 GANNETT CO	$10 56	$18 01	$1,742 77	$2,971 65	$132	4 44%	0 2%	0 3%
Total			$1,742 77	$2,971 65	$132	4 44%	0 2%	0 3%
PUBLISHING								
21 GOOGLE INC-CL A	$489 88	$709 37	$10,287 57	$14,896 77	---	---	1 2%	1 3%

Reporting Currency: US dollars

Quantity	Average Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asse Class
Total			$10,287 57	$14,896 77	- - -	- - -	1 2%	1 3%
DRUGS								
300 ASTRAZENECA PLC-SPONS ADR	$43 82	$47 27	$13,145 25	$14,181 00	$540	3 81%	1 2%	1 2%
40 BIOGEN IDEC INC	$144 50	$146 67	$5,779 93	$5,866 80	- - -	- - -	0 5%	0 5%
80 CELGENE CORP	$73 99	$78 72	$5,919 24	$6,297 60	- - -	- - -	0 5%	0 5%
45 GILEAD SCIENCES INC	$43 89	$73 45	$1,975 08	$3,305 25	- - -	- - -	0 3%	0 3%
850 PFIZER INC	$22 57	$25 08	$19,187 33	$21,317 15	$816	3 83%	1 8%	1 8%
60 VERTEX PHARMACEUTICALS INC	$46 48	$41 94	$2,788 86	$2,516 40	- - -	- - -	0 2%	0 2%
Total			$48,795 69	$53,484 20	$1,356	2 54%	4 5%	4.5%
HOSPITAL SUPPLIES								
75 ALLERGAN INC	$72 75	$91 73	$5,455 95	$6,879 75	$15	0 22%	0 6%	0 6%
115 MEDTRONIC INC	$43 18	$41 02	$4,965 93	$4,717 30	$120	2 54%	0 4%	0 4%
Total			$10,421 88	$11,597 05	$135	1 16%	1 0%	1 0%
MEDICAL PRODUCTS								
85 IDEXX LABS CORP	$91 68	$92 80	$7,792 92	$7,888 00	- - -	- - -	0 7%	0 7%
16 INTUITIVE SURGICAL INC	$517 96	$490 37	$8,287 37	$7,845 92	- - -	- - -	0 7%	0 7%
Total			$16,080 29	$15,733 92	- - -	- - -	1 3%	1 3%
OTHER MEDICAL								
55 MCKESSON CORP	$88 03	$96 96	$4,841 89	$5,332 80	$44	0 83%	0 4%	0.5%
Total			$4,841 89	$5,332 80	$44	0 83%	0 4%	0 5%
TOTAL CONSUMER GROWTH			$131,407 60	$154,701 00	$2,237	- - -	12 9%	13 1%
CONSUMER STAPLES								
TOBACCO								
195 ALTRIA GROUP INC	$25 48	$31 44	$4,968 96	$6,130 80	$343	5 60%	0 5%	0 5%
160 PHILIP MORRIS INTERNATIONAL	$89 81	$83 64	$14,369 30	$13,382 40	$544	4 07%	1.1%	1 1%
Total			$19,338 26	$19,513 20	$887	4 55%	1 6%	1 6%
FOODS								
30 BUNGE LTD	$66 09	$72 69	$1,982 79	$2,180 70	$28	1 27%	0 2%	0 2%
75 HERSHEY CO/THE	$60 59	$72 22	$4,544 51	$5,416 50	$126	2 33%	0 5%	0 5%
300 TYSON FOODS INC-CL A	$18 58	$19 40	$5,573 74	$5,820 00	$60	1 03%	0 5%	0 5%
Total			$12,101 04	$13,417 20	$214	1 59%	1 1%	1 1%
COSMETICS								
85 ESTEE LAUDER COMPANIES-CL A	$57 76	$59 86	$4,909 63	$5,088 10	$61	1 20%	0 4%	0 4%

Reporting Currency: US dollars

Quantity	Average Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asse Class
40 ULTA SALON COSMETICS & FRAGRAN	$90 66	$98 26	$3,626.36	$3,930 40	$40	1 02%	0 3%	0 3%
Total			$8,535 99	$9,018 50	$101	1 12%	0 8%	0 8%
BEVERAGES - SOFT, LITE & HARD								
55 LORILLARD INC	$117 53	$116 67	$6,464 00	$6,416 85	$341	5 31%	0 5%	0 5%
Total			$6,464 00	$6,416 85	$341	5 31%	0.5%	0 5%
RESTAURANTS								
15 CHIPOTLE MEXICAN GRILL-CL A	$324 07	$297 46	$4,861 09	$4,461 90	---	---	0 4%	0 4%
165 STARBUCKS CORP	$37 87	$53 62	$6,249 03	$8,847 30	$139	1 57%	0 7%	0 7%
Total			$11,110 12	$13,309 20	$139	---	1 1%	1.1%
TOTAL CONSUMER STAPLES			$57,549 41	$61,674 95	$1,682	2 73%	5 1%	5 2%
CONSUMER CYCLICALS								
TEXTILES/SHOES - APPAREL MFG								
60 COACH INC	$58 73	$55 51	$3,523 87	$3,330 60	$72	2 16%	0 3%	0 3%
35 VF CORP	$141 62	$150 97	$4,956 73	$5,283 95	$122	2 31%	0 4%	0 4%
Total			$8,480 60	$8,614 55	$194	2 25%	0.7%	0 7%
AUTOS & AUTO PARTS OEMS								
450 FORD MOTOR CO	$10 73	$12 95	$4,829 83	$5,827 50	$90	1 54%	0 5%	0 5%
45 HARLEY DAVIDSON INC	$38 78	$48 83	$1,745 31	$2,197 35	$28	1 27%	0 2%	0.2%
40 TRW AUTOMOTIVE HOLDINGS CORP	$39 08	$53 61	$1,563 29	$2,144 40	---	---	0 2%	0 2%
Total			$8,138 43	$10,169.25	$118	1 16%	0 8%	0 9%
AUTO PARTS - AFTERMARKET								
35 O'REILLY AUTOMOTIVE INC	$84 21	$89 42	$2,947.40	$3,129 70	---	---	0 3%	0 3%
Total			$2,947 40	$3,129 70	---	---	0 3%	0 3%
RETAILERS								
25 AMAZON COM INC	$225 12	$251.14	$5,628 01	$6,278 50	---	---	0 5%	0 5%
110 DOLLAR GENERAL CORP	$45 58	$44 09	$5,014 08	$4,849 90	---	---	0 4%	0 4%
130 EBAY INC	$47 19	$51 02	$6,134.81	$6,632 60	---	---	0 6%	0 6%
125 GAMESTOP CORP	$26 04	$25 09	$3,254 81	$3,136 25	$125	3 99%	0 3%	0 3%
425 KROGER CO	$22 88	$26 02	$9,722 67	$11,058 50	$255	2 31%	0 9%	0 9%
185 MACY'S INC	$37 09	$39 02	$6,861 29	$7,218 70	$148	2 05%	0 6%	0 6%
170 TJX COMPANIES INC	$45 79	$42 45	$7,784 44	$7,216 50	$78	1 08%	0 6%	0 6%
Total			$44,400 11	$46,390 95	$606	---	3 9%	3 9%

Reporting Currency: US dollars

Quantity	Average Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asse Class
TOTAL CONSUMER CYCLICALS			$63,966 54	$68,304 45	$918	1 34%	5 7%	5 8%
INDUSTRIAL RESOURCES								
CHEMICALS								
130 LYONDELLBASELL INDU-CL A	$34 33	$57 09	$4,462 36	$7,421 70	$13	0 18%	0 6%	0 6%
MISC INDUSTRIAL COMMODITIES								
85 INTERCONTINENTALEXCHANGE INC	$133 83	$123 81	$11,375 96	$10,523 85	---	---	0 9%	0 9%
Total			$11,375 96	$10,523 85	---	---	0 9%	0 9%
FERTILIZERS								
45 MONSANTO CO	$67 56	$94 65	$3,040 07	$4,259 25	$68	1 58%	0 4%	0 4%
Total			$3,040 07	$4,259 25	$68	1 58%	0 4%	0 4%
TOTAL INDUSTRIAL RESOURCES			$18,878 39	$22,204 80	$80	0 36%	1.9%	1.9%
CAPITAL EQUIPMENT								
MACHINERY								
26 FLOWSERVE CORPORATION	$126 39	$146 80	$3,286 24	$3,816 80	$37	0 98%	0 3%	0 3%
25 ROPER INDUSTRIES INC	$105 97	$111 48	$2,649 22	$2,787 00	$16	0 59%	0 2%	0.2%
40 TIMKEN CO	$45 50	$47 83	$1,820 03	$1,913 20	$37	1 92%	0 2%	0 2%
Total			$7,755 49	$8,517 00	$91	1 07%	0 7%	0.7%
MISC. CAPITAL GOODS								
150 DANAHER CORP	$47 93	$55 90	$7,190 17	$8,385 00	$15	0 18%	0 7%	0 7%
Total			$7,190 17	$8,385 00	$15	0 18%	0 7%	0 7%
DEFENSE								
45 TRIMBLE NAVIGATION LTD	$57 05	$59 78	$2,567 06	$2,690 10	---	---	0 2%	0 2%
Total			$2,567 06	$2,690 10	---	---	0 2%	0 2%
AEROSPACE-DEFENSE								
90 BOEING CO/THE	$69 59	$75 36	$6,263 46	$6,782 40	$175	2 57%	0 6%	0 6%
45 PRECISION CASTPARTS CORP	$167 90	$189 42	$7,555 58	$8,523 90	$5	0 06%	0 7%	0 7%
Total			$13,819 04	$15,306 30	$180	1 18%	1 3%	1 3%
AUTO TRUCKS - PARTS								
35 CUMMINS INC	$94 15	$108 35	$3,295 22	$3,792 25	$70	1 85%	0 3%	0 3%
120 LEAR CORP	$43 97	$46 84	$5,276 61	$5,620 80	$67	1 20%	0 5%	0 5%
Total			$8,571 83	$9,413 05	$137	1 46%	0 8%	0 8%
TOTAL CAPITAL EQUIPMENT			$39,903 59	$44,311 45	$423	0 95%	3.7%	3 7%

Reporting Currency: US dollars

Quantity	Average Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asse Class
TECHNOLOGY								
COMMUNICATION - EQUIP MFRS								
70 BROADCOM CORP-CL A	$34 48	$33 21	$2,413 35	$2,324 70	$28	1 20%	0 2%	0 2%
425 CISCO SYSTEMS INC	$19 14	$19.65	$8,133 15	$8,351 25	$238	2 85%	0 7%	0 7%
65 HARRIS CORP	$48 33	$48 96	$3,141 44	$3,182 40	$96	3 02%	0 3%	0 3%
130 QUALCOMM INC	$54 16	$62 02	$7,041 18	$8,062 60	$130	1 61%	0 7%	0 7%
Total			$20,729 12	$21,920 95	$492	2 25%	1 8%	1 9%
SEMICONDUCTORS								
775 APPLIED MATERIALS INC	$11 45	$11 44	$8,874 18	$8,866 00	$279	3 15%	0 7%	0 7%
850 MICRON TECHNOLOGY INC	$5 49	$6 35	$4,665 12	$5,397 50	---	---	0 4%	0 5%
Total			$13,539 30	$14,263 50	$279	1 96%	1.2%	1.2%
COMPUTERS								
45 APPLE INC	$284 37	$533 03	$12,796 64	$23,986 35	$477	1 99%	2 0%	2 0%
85 EMC CORP/MASS	$22 46	$25 30	$1,908 75	$2,150 50	---	---	0 2%	0 2%
650 HEWLETT-PACKARD CO	$32 40	$14 25	$21,060 03	$9,262 50	$343	3 71%	0 8%	0 8%
Total			$35,765 42	$35,399 35	$820	2 32%	2 9%	3 0%
COMPUTER SERVICES/SOFTWARE								
80 ANSYS INC	$58 76	$67 34	$4,701 04	$5,387 20	---	---	0 4%	0 5%
150 CITRIX SYSTEMS INC	$69.50	$65.75	$10,425 47	$9,862 50	---	---	0 8%	0 8%
175 COGNIZANT TECH SOLUTIONS-A	$69 83	$74 05	$12,221 08	$12,958 75	---	---	1 1%	1 1%
45 F5 NETWORKS INC	$108 38	$97 15	$4,877 14	$4,371 75	---	---	0 4%	0 4%
55 INTUIT INC	$50 60	$59 50	$2,782 79	$3,272 50	$37	1 14%	0 3%	0 3%
45 LINKEDIN CORP - A	$97 08	$114 82	$4,368 78	$5,166 90	---	---	0 4%	0 4%
5 PRICELINE COM INC	$577 15	$621 20	$2,885 76	$3,106 00	---	---	0 3%	0 3%
30 RACKSPACE HOSTING INC	$43 44	$74 27	$1,303 34	$2,228 10	---	---	0 2%	0 2%
95 RED HAT INC	$51 59	$52 96	$4,901 41	$5,031 20	---	---	0 4%	0 4%
190 TIBCO SOFTWARE INC	$29 94	$22 01	$5,687 65	$4,181 90	---	---	0 3%	0 4%
Total			$54,154 46	$55,566 80	$37	---	4 6%	4 7%
MISC INDUSTRIAL TECHNOLOGY								
25 ILLUMINA INC	$30 09	$55 59	$752 26	$1,389 75	---	---	0 1%	0 1%
Total			$752 26	$1,389 75	---	---	0 1%	0.1%
TOTAL TECHNOLOGY			$124,940 56	$128,540 35	$1,629	1 27%	10 7%	10.8%
SERVICES								
AIR TRANSPORT								

Quantity	Average Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asse Class
475 DELTA AIR LINES INC	$10 46	$11 87	$4,969 25	$5,638 25	- - -	- - -	0 5%	0 5%
ENERGY								
OFFSHORE DRILLING								
55 DIAMOND OFFSHORE DRILLING	$69 30	$67 96	$3,811 74	$3,737 80	$28	0 74%	0 3%	0 3%
OIL WELL EQUIPMENT & SERVICES								
75 HELMERICH & PAYNE	$45.65	$56 01	$3,423 43	$4,200 75	$45	1 07%	0.3%	0 4%
75 NATIONAL - OILWELL INC	$79 28	$68 35	$5,945 93	$5,126 25	$39	0 76%	0 4%	0 4%
60 OCEANEERING INTL INC	$46 51	$53 79	$2,790 57	$3,227 40	$43	1 34%	0 3%	0 3%
145 SCHLUMBERGER LTD	$69 71	$69 30	$10,107 63	$10,048 36	$160	1 59%	0 8%	0 8%
Total			$22,267 56	$22,602 76	$287	1 27%	1 9%	1 9%
OIL - CRUDE PRODUCTS								
25 EOG RESOURCES INC	$103 59	$120 79	$2,589 72	$3,019 75	$17	0.56%	0 3%	0 3%
60 NOBLE ENERGY INC	$88 59	$101 74	$5,315 66	$6,104 40	$60	0 98%	0 5%	0 5%
Total			$7,905 38	$9,124 15	$77	0 84%	0 8%	0 8%
OILS - INTEGRATED INTERNATIONAL								
300 BP PLC-SPONS ADR	$39 87	$41 64	$11,961 95	$12,492 00	$648	5 19%	1 0%	1 1%
180 EXXON MOBIL CORP	$89 56	$86 55	$16,120 95	$15,579 00	$410	2 63%	1 3%	1 3%
50 ROYAL DUTCH SHELL PLC-ADR	$73 24	$68 95	$3,661 93	$3,447 50	$172	4 99%	0 3%	0 3%
Total			$31,744 83	$31,518 50	$1,230	3 90%	2 6%	2 7%
TOTAL ENERGY			$65,729 51	$66,983 21	$1,622	2 42%	5 6%	5 7%
MUTUAL FUNDS								
6,265 128 ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	$8 52	$9 76	$53,368 94	$61,147 65	$3,947	6 45%	5.1%	5 2%
				$601 83 AI				
TOTAL DOMESTIC EQUITIES			**$694,847.22**	**$756,572.89**	**$15,005**	**1.98%**	**63.0%**	**63.9%**
INTERNATIONAL								
INTERNATIONAL EQUITY MUTUAL FUNDS								
26,339 350 BERNSTEIN INTERNATIONAL PORTFOLIO*	$19 95	$13 91	$525,581 71	$366,380 36	$6,954	1 90%*	30 5%	30 9%
EMERGING MARKETS								
EMERGING MARKETS MUTUAL FUNDS								

Reporting Currency: US dollars

Quantity		Average Unit Cost	Market Price	Total Cost	Market Value	Estimated Annual Income	Yield	% of Portfolio	% of Asse Class
2,188 093	BERNSTEIN EMERGING MARKETS PORTFOLIO*	$33 83	$28 27	$74,031 12	$61,857 39	$786	1 27%*	5 2%	5 2%
TOTAL EQUITIES				**$1,294,460.05**	**$1,184,810.64**	**$22,744.08**	**1.92%**	**98.7%**	**100%**
NET PORTFOLIO VALUE				**$1,309,897.86**	**$1,200,248.45**	**$22,758**	**1.90%**	**100.0%**	

AI = Accrued Income (interest and dividends)

Total portfolio cost includes the reinvestment of interest, dividends and capital gains distributions as well as sale proceeds and therefore is not equivalent to the capital you have invested in this account.

*International and Emerging Market fund yields are estimates based on stock dividends and interest income generated from cash and securities held directly in the fund, net of estimated fund expenses These dividends are distributed annually Additionally, the funds may make annual distributions of short and long-term capital gains

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
SHORT-TERM NON-COVERED								
05/10/2011	01/05/2012	15	CELGENE CORP	68.86	59.83	1,032.94	897.39	135.55
03/07/2011	01/05/2012	10	LIMITED BRANDS INC	39 28	31.66	392.80	316.62	76.18
02/28/2011	01/09/2012	20	BORGWARNER INC	64.77	76.85	1,295.39	1,536.95	-241.56
06/02/2011	01/09/2012	40	***LYONDELLBASELL INDU-CL A	34 46	41.87	1,378.22	1,674.72	-296.50
11/22/2011	01/09/2012	40	PEPSICO INC	65.39	63.11	2,615.68	2,524.38	91.30
03/07/2011	01/10/2012	40	LIMITED BRANDS INC	39.00	31.66	1,560.00	1,266.46	293.54
07/20/2011	01/10/2012	40	LIMITED BRANDS INC	39.00	40.20	1,560.00	1,607.98	-47.98
08/04/2011	01/10/2012	10	VISA INC - CLASS A SHRS	99.59	85.68	995.94	856.77	139.17
05/10/2011	01/11/2012	10	CELGENE CORP	70.98	59.83	709.83	598.26	111.57
05/24/2011	01/11/2012	7	CELGENE CORP	70.98	59.26	496.88	414.84	82.04
04/25/2011	01/11/2012	35	METLIFE INC	35.50	44.18	1,242.65	1,546.34	-303.69
07/22/2011	01/12/2012	20	CONOCOPHILLIPS	70.79	75.45	1,415.86	1,509.04	-93.18
07/27/2011	01/12/2012	25	CONOCOPHILLIPS	70.79	73.84	1,769.83	1,846.10	-76.27
02/15/2011	01/13/2012	10	ROCKWELL AUTOMATION INC	79.35	88.61	793.53	886.11	-92.58
03/31/2011	01/13/2012	10	ROCKWELL AUTOMATION INC	79.35	94.49	793.53	944.94	-151.41
02/17/2011	01/19/2012	15	***ACCENTURE PLC-CL A	54.71	54.13	820.72	811.94	8.78
02/18/2011	01/19/2012	5	***ACCENTURE PLC-CL A	54.71	53.85	273.57	269.26	4.31
01/09/2012	01/24/2012	20	WATSON PHARMACEUTICALS INC	56.00	63.31	1,120.02	1,266.15	-146.13
01/10/2012	01/24/2012	15	WATSON PHARMACEUTICALS INC	56.00	64.06	840.02	960.93	-120.91
02/24/2011	01/25/2012	2	MARATHON PETROLEUM CORP	38.50	38.49	77.01	76.98	0.03
05/24/2011	01/27/2012	13	CELGENE CORP	73.05	59.26	949.59	770.43	179.16
02/03/2011	01/31/2012	69	LOWE'S COS INC	26.85	24.52	1,852.65	1,692 19	160.46
03/21/2011	01/31/2012	16	LOWE'S COS INC	26.85	26.32	429.60	421.08	8.52
05/20/2011	01/31/2012	45	LOWE'S COS INC	26.85	24.59	1,208.25	1,106.71	101.54
04/29/2011	01/31/2012	20	PROCTER & GAMBLE CO	62.88	64.96	1,257.68	1,299.12	-41.44
06/02/2011	02/01/2012	25	***LYONDELLBASELL INDU-CL A	43.80	41.87	1,095.05	1,046.70	48.35
05/26/2011	02/03/2012	10	FMC TECHNOLOGIES INC	52.97	43.73	529.70	437.29	92.41
06/28/2011	02/03/2012	10	FMC TECHNOLOGIES INC	52.97	42.21	529.69	422.06	107 63
08/04/2011	02/06/2012	18	VF CORP	132.77	110.65	2,389.89	1,991.73	398.16
05/12/2011	02/07/2012	12	GOODRICH CORP	125.36	91.95	1,504.27	1,103.36	400.91
02/18/2011	02/09/2012	20	***ACCENTURE PLC-CL A	57.43	53.85	1,148.59	1,077.03	71.56
04/19/2011	02/09/2012	3	***ACCENTURE PLC-CL A	57.43	55.27	172.29	165.82	6.47
02/17/2011	02/09/2012	5	MONSANTO CO	77.89	73.85	389.46	369.24	20.22
05/12/2011	02/14/2012	8	GOODRICH CORP	125.51	91.95	1,004.10	735.58	268.52
02/24/2011	02/14/2012	35	MARATHON PETROLEUM CORP	44.04	38.49	1,541.23	1,347.21	194.02
07/29/2011	02/14/2012	25	MARATHON PETROLEUM CORP	44.04	43.82	1,100.87	1,095.62	5.25
05/17/2011	02/15/2012	25	GENERAL MILLS INC	39.60	39.78	990.12	994.58	-4.46
08/04/2011	02/15/2012	7	VF CORP	143.45	110.65	1,004.16	774.56	229.60
04/19/2011	02/16/2012	17	***ACCENTURE PLC-CL A	58.04	55.27	986.62	939.67	46.95
10/06/2011	02/16/2012	34	ESTEE LAUDER COMPANIES-CL A	55.60	44.62	1,890.28	1,517.23	373.05
02/24/2011	02/23/2012	15	MONSANTO CO	77.69	70.90	1,165.30	1,063 49	101.81
08/19/2011	02/27/2012	100	CMS ENERGY CORP	21.63	18.92	2,162.99	1,892.16	270.83
10/10/2011	02/27/2012	70	CMS ENERGY CORP	21 63	20.16	1,514.09	1,411.12	102.97
06/08/2011	02/28/2012	25	GENERAL MOTORS CO	26.24	28.91	655.98	722.71	-66.73
06/08/2011	02/28/2012	45	GENERAL MOTORS CO	26.24	28.91	1,180.76	1,300.88	-120.12
03/28/2011	03/16/2012	25	MOODY'S CORP	41.84	33.51	1,046.11	837.68	208.43

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
06/17/2011	03/20/2012	20	UNITEDHEALTH GROUP INC	55.07	50.09	1,101.34	1,001.79	99.55
06/22/2011	03/20/2012	5	UNITEDHEALTH GROUP INC	55.07	52.26	275.34	261.31	14.03
08/04/2011	03/09/2012	105	CBRE GROUP INC	19.15	19.89	2,010.67	2,088.45	-77.78
09/23/2011	03/09/2012	5	CBRE GROUP INC	19.15	13.11	95.75	65.56	30.19
01/25/2012	03/21/2012	30	LAS VEGAS SANDS CORP	57.72	48.96	1,731.74	1,468.90	262.84
05/27/2011	03/27/2012	25	METLIFE INC	38.14	43.96	953.47	1,098.96	-145.49
08/11/2011	03/27/2012	50	METLIFE INC	38.14	32.56	1,906.94	1,627.76	279.18
10/26/2011	04/03/2012	45	ILLUMINA INC	51.62	30.76	2,323.06	1,384.20	938.86
12/01/2011	04/04/2012	10	SALESFORCE.COM INC	154.72	118.40	1,547.19	1,184.02	363.17
05/17/2011	04/12/2012	25	GENERAL MILLS INC	38.59	39.78	964.65	994.57	-29.92
05/20/2011	04/12/2012	30	GENERAL MILLS INC	38.59	39.84	1,157.58	1,195.23	-37.65
09/15/2011	04/12/2012	5	GENERAL MILLS INC	38.59	37.76	192.93	188.78	4.15
09/15/2011	04/13/2012	35	GENERAL MILLS INC	38.84	37.76	1,359.37	1,321.43	37.94
11/01/2011	04/13/2012	65	GENERAL MILLS INC	38.84	38.37	2,524.53	2,494.28	30.25
11/10/2011	04/20/2012	11	PERRIGO INC	105.99	90.21	1,165.91	992.26	173.65
11/11/2011	04/20/2012	13	PERRIGO INC	105.99	91.70	1,377.90	1,192.09	185.81
06/21/2011	04/24/2012	60	MOODY'S CORP	41.81	37.96	2,508.42	2,277.73	230.69
09/23/2011	04/25/2012	100	***MARVELL TECHNOLOGY GROUP LT	14.83	14.69	1,482.58	1,469.11	13.47
05/09/2011	04/25/2012	30	***POTASH CORP OF SASKATCHEWAN	44.10	54.39	1,323.00	1,631.56	-308.56
05/05/2011	04/26/2012	25	EXPRESS SCRIPTS HOLDING CO	56.31	57.86	1,407.85	1,446.43	-38.58
05/20/2011	04/26/2012	25	EXPRESS SCRIPTS HOLDING CO	56.31	60.02	1,407.86	1,500.44	-92.58
01/17/2012	04/26/2012	20	EXPRESS SCRIPTS HOLDING CO	56.31	50.12	1,126.28	1,002.42	123.86
12/06/2011	05/04/2012	225	MORGAN STANLEY	16.00	16.51	3,599.89	3,714.48	-114.59
12/07/2011	05/04/2012	100	MORGAN STANLEY	16 00	16.71	1,599.95	1,671.00	-71.05
01/10/2012	05/09/2012	15	VERTEX PHARMACEUTICALS INC	60.65	35.99	909.78	539.91	369.87
05/26/2011	05/15/2012	40	TRW AUTOMOTIVE HOLDINGS CORP	41.66	54.81	1,666.45	2,192.35	-525.90
10/12/2011	05/15/2012	5	TRW AUTOMOTIVE HOLDINGS CORP	41.66	39.08	208.31	195.41	12.90
10/12/2011	05/15/2012	5	TRW AUTOMOTIVE HOLDINGS CORP	41.66	39.08	208.31	195.41	12.90
09/21/2011	05/31/2012	35	DOLLAR GENERAL CORP	49.15	37.40	1,720.17	1,308.92	411.25
03/07/2012	06/04/2012	75	LIBERTY INTERACTIVE CORP-A	16.07	18.74	1,205.21	1,405.50	-200.29
10/04/2011	06/04/2012	25	***POTASH CORP OF SASKATCHEWAN	36.94	41.02	923.48	1,025.56	-102.08
01/12/2012	06/04/2012	20	***POTASH CORP OF SASKATCHEWAN	36.94	42.19	738.78	843.74	-104.96
02/07/2012	06/04/2012	20	***POTASH CORP OF SASKATCHEWAN	36.94	46.30	738.78	925.95	-187.17
06/07/2011	06/06/2012	115	CORNING INC	12.80	18.98	1,472.00	2,182.29	-710.29
09/23/2011	06/08/2012	65	CBRE GROUP INC	16.05	13.11	1,043.38	852.30	191.08
09/29/2011	06/08/2012	75	CBRE GROUP INC	16.05	14.21	1,203.90	1,066.05	137.85
02/17/2012	06/08/2012	50	CBRE GROUP INC	16.05	19.10	802.60	954 95	-152.35
05/09/2012	06/11/2012	125	GENERAL ELECTRIC CO	19.35	18.91	2,418.70	2,363.91	54.79
09/27/2011	06/15/2012	15	DEVON ENERGY CORPORATION	57.06	59.59	855.93	893.89	-37.96
08/08/2011	06/29/2012	16	GILEAD SCIENCES INC	50.87	36.86	813.96	589.70	224.26
10/13/2011	07/02/2012	25	GANNETT CO	14.79	10.67	369.65	266.77	102.88
11/22/2011	07/05/2012	5	AMAZON.COM INC	227.98	187.74	1,139.89	938.69	201.20
10/24/2011	07/05/2012	85	CENTERPOINT ENERGY INC	20.48	21.24	1,741.14	1,805.40	-64.26
07/29/2011	07/05/2012	30	MARATHON PETROLEUM CORP	44.42	43.82	1,332.56	1,314.74	17.82
01/30/2012	07/06/2012	21	EMERSON ELECTRIC CO	44.79	51.41	940.60	1,079.63	-139.03
02/01/2012	07/06/2012	24	EMERSON ELECTRIC CO	44.79	52.27	1,074.98	1,254.60	-179.62
07/20/2011	07/10/2012	25	***COVIDIEN PLC	53.71	51.81	1,342.84	1,295.30	47.54
07/29/2011	07/10/2012	20	MARATHON PETROLEUM CORP	44.74	43.82	894.72	876.50	18.22
08/22/2011	07/10/2012	25	MARATHON PETROLEUM CORP	44.74	33.86	1,118.40	846.44	271.96

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
10/04/2011	07/10/2012	50	MARATHON PETROLEUM CORP	44.74	27.81	2,236.79	1,390.67	846.12
05/21/2012	07/10/2012	25	MARATHON PETROLEUM CORP	44.74	35.94	1,118 39	898.42	219.97
01/06/2012	07/10/2012	35	STRYKER CORP	53.17	51.76	1,860.89	1,811.56	49.33
08/05/2011	07/17/2012	15	ANADARKO PETROLEUM CORP	71 16	71.42	1,067.41	1,071.30	-3.89
02/02/2012	07/20/2012	25	EMERSON ELECTRIC CO	46.30	51.94	1,157.50	1,298.54	-141.04
03/22/2012	07/20/2012	20	EMERSON ELECTRIC CO	46.30	51.96	926.00	1,039.25	-113.25
03/29/2012	07/20/2012	5	EMERSON ELECTRIC CO	46.30	51.53	231.50	257.63	-26.13
03/29/2012	07/24/2012	35	EMERSON ELECTRIC CO	45.28	51.53	1,584.82	1,803.43	-218.61
04/24/2012	07/24/2012	20	EMERSON ELECTRIC CO	45.28	50.92	905.61	1,018.35	-112.74
04/26/2012	07/24/2012	20	EMERSON ELECTRIC CO	45.28	51.76	905.61	1,035.27	-129.66
02/10/2012	07/25/2012	25	INFORMATICA CORPORATION	27.83	46.44	695 87	1,160.91	-465.04
06/14/2012	07/25/2012	25	INFORMATICA CORPORATION	27.83	41.04	695.87	1,026.00	-330.13
01/10/2012	07/25/2012	25	STRYKER CORP	51.40	52.14	1,284.97	1,303.50	-18.53
01/12/2012	07/25/2012	20	STRYKER CORP	51.40	52.39	1,027.98	1,047.82	-19.84
02/17/2012	07/25/2012	25	STRYKER CORP	51.40	53 68	1,284.97	1,341.88	-56.91
02/17/2012	07/30/2012	15	BORGWARNER INC	67.33	81.21	1,009.89	1,218.08	-208.19
08/12/2011	08/03/2012	35	DTE ENERGY COMPANY	61.67	46.94	2,158.36	1,642.73	515.63
08/08/2011	08/03/2012	40	GILEAD SCIENCES INC	58.06	36.86	2,322.32	1,474.24	848.08
10/21/2011	08/06/2012	25	PVH CORP	78.13	69 82	1,953.14	1,745.44	207.70
10/25/2011	08/06/2012	15	PVH CORP	78 13	72.49	1,171.88	1,087.42	84.46
10/19/2011	08/10/2012	30	***COVIDIEN PLC	56.94	45.39	1,708.07	1,361.81	346.26
11/02/2011	08/10/2012	30	***COVIDIEN PLC	56.94	45 50	1,708.06	1,364.99	343.07
11/25/2011	08/10/2012	25	***COVIDIEN PLC	56.94	43.03	1,423.39	1,075.70	347.69
01/10/2012	08/10/2012	20	***COVIDIEN PLC	56.94	46.08	1,138.71	921.54	217.17
02/06/2012	08/10/2012	16	GOLDMAN SACHS GROUP INC	102.81	117.03	1,645.03	1,872.50	-227.47
02/10/2012	08/10/2012	12	GOLDMAN SACHS GROUP INC	102.81	115.19	1,233.78	1,382.33	-148.55
10/13/2011	08/10/2012	60	GANNETT CO	15.05	10.67	903.20	640.26	262.94
02/15/2012	08/14/2012	65	CENTERPOINT ENERGY INC	20.51	18.81	1,333.27	1,222.96	110.31
01/25/2012	08/22/2012	15	LAS VEGAS SANDS CORP	43.32	48.96	649 86	734.45	-84.59
02/10/2012	08/22/2012	10	LAS VEGAS SANDS CORP	43.32	52.06	433.24	520.59	-87.35
01/13/2012	08/23/2012	50	FREEPORT-MCMORAN COPPER	36.69	41.78	1,834.66	2,088.94	-254.28
01/20/2012	08/23/2012	20	FREEPORT-MCMORAN COPPER	36.69	43.75	733.86	875.05	-141.19
09/09/2011	08/23/2012	28	JPMORGAN CHASE & CO	37.36	32.29	1,045.95	904.00	141.95
01/20/2012	09/06/2012	20	FREEPORT-MCMORAN COPPER	36.21	43.75	724.20	875.05	-150.85
02/02/2012	09/06/2012	20	FREEPORT-MCMORAN COPPER	36.21	45.85	724.20	917.04	-192.84
10/07/2011	09/12/2012	10	APPLIED MATERIALS INC	11.59	10.83	115.93	108.27	7.66
10/25/2011	09/12/2012	120	NEWELL RUBBERMAID INC	18.98	13.31	2,277.85	1,597.08	680.77
05/04/2012	09/12/2012	80	NEWELL RUBBERMAID INC	18.98	18.41	1,518.57	1,473.19	45.38
01/10/2012	09/12/2012	20	VERTEX PHARMACEUTICALS INC	55.63	35.99	1,112.56	719.89	392.67
10/31/2011	09/13/2012	60	LAM RESEARCH CORP	33.70	42.99	2,021.99	2,579.16	-557.17
07/13/2012	09/13/2012	45	MOODY'S CORP	43.63	36.02	1,963.33	1,621.08	342.25
09/21/2011	09/17/2012	5	FMC TECHNOLOGIES INC	48.93	43.05	244.66	215.26	29.40
05/16/2012	09/17/2012	20	OCEANEERING INTL INC	57.19	48.55	1,143.72	971.09	172.63
10/10/2011	09/21/2012	50	CENTURYLINK INC	41.95	33.48	2,097.67	1,673.96	423.71
03/12/2012	09/24/2012	60	WELLS FARGO & COMPANY	34.98	31.31	2,098.86	1,878.74	220.12
10/20/2011	09/26/2012	75	***MARVELL TECHNOLOGY GROUP LT	9.06	13.32	679.64	998.64	-319.00
11/15/2011	09/26/2012	150	***MARVELL TECHNOLOGY GROUP LT	9.06	14.82	1,359.29	2,223.59	-864.30
05/18/2012	09/26/2012	75	***MARVELL TECHNOLOGY GROUP LT	9.06	13.70	679.64	1,027.31	-347.67
05/21/2012	09/26/2012	100	***MARVELL TECHNOLOGY GROUP LT	9.06	13.11	906.19	1,311.14	-404.95

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
11/28/2011	10/02/2012	11	PERRIGO INC	117.35	94.75	1,290.86	1,042.26	248.60
02/29/2012	10/02/2012	15	PERRIGO INC	117.35	102.91	1,760.27	1,543.67	216.60
06/12/2012	10/03/2012	115	INTEL CORP	22.57	26.46	2,595.86	3,043.25	-447.39
05/01/2012	10/17/2012	95	LEUCADIA NATIONAL CO	22.69	25 53	2,155.46	2,425.42	-269.96
02/14/2012	10/24/2012	15	NATIONAL - OILWELL INC	77.33	83.52	1,159.98	1,252.82	-92.84
11/02/2011	10/25/2012	50	GENERAL MOTORS CO	23.67	23.39	1,183.45	1,169.39	14.06
11/15/2011	10/25/2012	25	GENERAL MOTORS CO	23.67	23.06	591.72	576.38	15.34
12/20/2011	10/31/2012	65	APOLLO GROUP INC-CL A	20 03	51.48	1,302.12	3,346.15	-2,044.03
05/09/2012	10/31/2012	45	APOLLO GROUP INC-CL A	20.03	33.28	901.47	1,497.46	-595.99
10/04/2012	10/31/2012	35	APOLLO GROUP INC-CL A	20.03	28 66	701 15	1,002.96	-301.81
03/29/2012	10/31/2012	46	JPMORGAN CHASE & CO	41.40	45.67	1,904.58	2,100.73	-196.15
05/17/2012	10/31/2012	2	PRICELINE.COM INC	571.55	647.13	1,143.11	1,294 25	-151.14
10/05/2012	11/07/2012	20	MEAD JOHNSON NUTRITION CO	66.33	72.89	1,326.69	1,457.89	-131.20
10/09/2012	11/07/2012	10	MEAD JOHNSON NUTRITION CO	66 33	71.80	663.34	717.97	-54.63
06/12/2012	11/08/2012	75	INTEL CORP	21.00	26.46	1,574.91	1,984 72	-409.81
04/26/2012	11/13/2012	30	EMERSON ELECTRIC CO	50.23	51.76	1,506.88	1,552.91	-46.03
05/02/2012	11/13/2012	25	EMERSON ELECTRIC CO	50.23	49.33	1,255.73	1,233.37	22.36
09/28/2012	11/13/2012	15	EMERSON ELECTRIC CO	50.23	48.20	753.44	723.05	30.39
06/15/2012	11/13/2012	35	INTEL CORP	20.55	27.24	719.24	953.57	-234.33
07/06/2012	11/13/2012	50	INTEL CORP	20.55	26.00	1,027.48	1,299.93	-272.45
02/10/2012	11/20/2012	20	LAS VEGAS SANDS CORP	43.50	52.06	869.95	1,041.18	-171.23
07/06/2012	11/23/2012	10	ANSYS INC	67.52	60.65	675.20	606.51	68.69
06/26/2012	11/23/2012	5	AFFILIATED MANAGERS GROUP INC	127.76	102.54	638.78	512.71	126.07
04/13/2012	11/23/2012	10	COACH INC	59 36	74.22	593.60	742.21	-148.61
07/10/2012	11/23/2012	2	CHIPOTLE MEXICAN GRILL-CL A	276.13	382.23	552.26	764.46	-212.20
06/28/2012	11/23/2012	10	CUMMINS INC	99.55	91.01	995.50	910.07	85.43
08/24/2012	11/23/2012	15	EBAY INC	49.05	47.21	735.75	708.21	27.54
04/16/2012	11/23/2012	10	F5 NETWORKS INC	91.88	122.82	918.84	1,228.18	-309.34
12/27/2011	11/23/2012	15	ILLUMINA INC	53.08	30.09	796.20	451.35	344.85
08/08/2012	11/23/2012	1	INTUITIVE SURGICAL INC	536.92	501.16	536.92	501.16	35.76
12/22/2011	11/23/2012	10	HERSHEY CO/THE	72.41	61 02	724.10	610.22	113.88
03/06/2012	11/23/2012	10	MCKESSON CORP	93 85	82.38	938.50	823.83	114.67
05/16/2012	11/23/2012	10	OCEANEERING INTL INC	54.01	48.55	540.10	485.55	54.55
05/17/2012	11/23/2012	1	PRICELINE.COM INC	641.38	647.12	641.38	647.12	-5.74
07/10/2012	11/27/2012	10	RACKSPACE HOSTING INC	65.98	43.44	659.79	434.45	225.34
02/10/2012	12/03/2012	5	LAS VEGAS SANDS CORP	47.03	52.06	235.14	260.29	-25.15
05/16/2012	12/03/2012	20	LAS VEGAS SANDS CORP	47.03	48.88	940.57	977.58	-37.01
06/01/2012	12/03/2012	30	LAS VEGAS SANDS CORP	47.03	43.45	1,410.86	1,303.49	107.37
04/13/2012	12/10/2012	15	COACH INC	56.72	74.22	850.75	1,113.32	-262.57
04/16/2012	12/10/2012	10	COACH INC	56.72	73.51	567.16	735.14	-167.98
07/02/2012	12/12/2012	225	MORGAN STANLEY	17.75	14.68	3,994.25	3,303.02	691.23
05/07/2012	12/13/2012	50	GENERAL MOTORS CO	25.18	22.31	1,259.08	1,115.41	143.67
04/16/2012	12/19/2012	10	COACH INC	59.82	73.51	598.16	735.13	-136.97
04/26/2012	12/19/2012	15	COACH INC	59.82	72.53	897.24	1,087.92	-190.68
05/16/2012	12/20/2012	10	OCEANEERING INTL INC	53.69	48 55	536.88	485.54	51.34
05/22/2012	12/20/2012	10	OCEANEERING INTL INC	53.69	47 82	536.87	478.17	58.70
07/10/2012	12/21/2012	3	CHIPOTLE MEXICAN GRILL-CL A	287.09	382.23	861.27	1,146.69	-285.42
09/18/2012	12/27/2012	60	FORD MOTOR CO	12.57	10.37	754.16	622 44	131.72

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
			SUBTOTAL FOR SHORT-TERM NON-COVERED			223,748.61	222,711.63	1,036 98
LONG-TERM NON-COVERED								
01/04/2011	01/05/2012	25	LIMITED BRANDS INC	39.28	30.06	982.00	751.52	230.48
05/17/2010	01/09/2012	105	MARATHON OIL CORP	30.55	18.89	3,208.07	1,983.70	1,224 37
09/17/2009	01/19/2012	25	JOHNSON CONTROLS INC	32.50	27.45	812.59	686.16	126.43
06/28/2010	01/25/2012	17	MARATHON PETROLEUM CORP	38.50	26.34	654.59	447.80	206.79
06/30/2010	01/25/2012	13	MARATHON PETROLEUM CORP	38.50	23.96	500.56	311.52	189.04
10/14/2010	02/01/2012	20	STARBUCKS CORP	48.21	27.57	964.15	551.43	412.72
08/13/2010	02/01/2012	15	UNITED PARCEL SERVICE-CL B	76.65	64.59	1,149.81	968.79	181.02
03/31/2010	02/02/2012	60	***INGERSOLL-RAND PLC	36.27	33.86	2,176.24	2,031.60	144.64
03/04/2008	02/03/2012	5	APPLE INC	458.48	122.68	2,292.40	613.38	1,679.02
05/12/2009	02/08/2012	15	DANAHER CORP	52.21	29.84	783.08	447.54	335.54
05/27/2009	02/08/2012	10	DANAHER CORP	52.21	30.54	522.05	305.45	216.60
11/18/2010	02/08/2012	55	GENERAL MOTORS CO	25.81	34.00	1,419.56	1,869.97	-450 41
09/17/2009	02/08/2012	50	JOHNSON CONTROLS INC	32.75	27.45	1,637.28	1,372.32	264.96
09/21/2010	02/08/2012	30	ORACLE CORP	28.67	27.03	860.04	811.01	49.03
09/23/2010	02/08/2012	30	ORACLE CORP	28 67	27.35	860.03	820.57	39.46
02/02/2011	02/09/2012	10	MONSANTO CO	77.89	75.38	778.93	753.83	25.10
12/23/2009	02/14/2012	65	JOHNSON CONTROLS INC	33.19	27.86	2,157.12	1,810.71	346 41
10/11/2010	02/17/2012	115	DELL INC	18.13	13.70	2,084.52	1,575.08	509.44
06/09/2010	02/21/2012	16	DOW CHEMICAL	34.68	26 05	554.91	416.79	138.12
08/03/2010	02/21/2012	24	DOW CHEMICAL	34.68	25.74	832.36	617.67	214.69
02/17/2011	02/23/2012	10	MONSANTO CO	77.69	73.85	776.87	738.48	38.39
03/04/2008	02/28/2012	5	APPLE INC	533.76	122.67	2,668.79	613.37	2,055.42
05/18/2009	03/06/2012	23	JPMORGAN CHASE & CO	39.31	35.88	904.22	825.29	78.93
10/05/2010	03/12/2012	3	GOLDMAN SACHS GROUP INC	116 99	147.24	350.98	441.72	-90.74
10/18/2010	03/12/2012	4	GOLDMAN SACHS GROUP INC	116.99	152.85	467.97	611.42	-143.45
01/20/2011	03/12/2012	10	GOLDMAN SACHS GROUP INC	116.99	165.07	1,169.92	1,650.70	-480.78
10/05/2010	03/20/2012	7	GOLDMAN SACHS GROUP INC	126.74	147.24	887.21	1,030.69	-143.48
10/13/2010	03/20/2012	5	GOLDMAN SACHS GROUP INC	126.74	154.84	633.72	774.18	-140.46
10/18/2010	03/20/2012	6	GOLDMAN SACHS GROUP INC	126.74	152.85	760.47	917.12	-156.65
08/03/2010	03/21/2012	46	DOW CHEMICAL	35 04	25.74	1,611.84	1,183.86	427.98
03/14/2011	03/22/2012	40	DTE ENERGY COMPANY	54.12	48.40	2,164.78	1,936.00	228.78
05/04/2010	03/28/2012	45	BROADCOM CORP-CL A	38.51	32.30	1,732.98	1,453.63	279.35
11/16/2007	03/28/2012	45	TRAVELERS COS INC/THE	58.82	52.82	2,646.79	2,376 87	269.92
06/05/2008	03/28/2012	30	TRAVELERS COS INC/THE	58.82	49 74	1,764.53	1,492.10	272.43
11/19/2010	03/28/2012	30	TRAVELERS COS INC/THE	58.82	55.51	1,764.53	1,665 33	99.20
03/07/2011	03/28/2012	35	TRAVELERS COS INC/THE	58.82	59.03	2,058.61	2,066.05	-7.44
09/01/2010	03/29/2012	50	DOW CHEMICAL	33.99	25.59	1,699.50	1,279.49	420.01
01/04/2011	03/29/2012	14	DOW CHEMICAL	33.99	34.77	475.86	486 73	-10.87
12/15/2009	04/04/2012	40	CONSTELLATION BRANDS INC-A	24 66	16.11	986.32	644.60	341.72
08/13/2010	04/12/2012	15	UNITED PARCEL SERVICE-CL B	79.33	64.59	1,189.92	968.78	221.14
09/08/2010	04/12/2012	20	UNITED PARCEL SERVICE-CL B	79.33	67.94	1,586.55	1,358.71	227.84
02/18/2011	04/13/2012	10	GOLDMAN SACHS GROUP INC	115.68	167.97	1,156.82	1,679.70	-522.88
03/29/2011	04/20/2012	20	BORGWARNER INC	80.69	77.80	1,613.77	1,556.03	57.74

Capital Gains Report

FINDLAY FAMILY FOUNDATION (Account: 888-23738)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
11/12/2010	04/20/2012	10	FLOWSERVE CORPORATION	112.00	106.42	1,120.03	1,064.21	55.82
03/28/2011	04/24/2012	35	MOODY'S CORP	41.81	33.51	1,463.25	1,172.76	290.49
10/27/2010	04/25/2012	35	***MARVELL TECHNOLOGY GROUP LT	14 83	18.33	518.90	641 52	-122.62
12/20/2010	04/25/2012	90	***MARVELL TECHNOLOGY GROUP LT	14.83	18.90	1,334.33	1,700.71	-366.38
12/16/2010	04/25/2012	10	***POTASH CORP OF SASKATCHEWAN	44.10	46.35	441.00	463.46	-22.46
01/18/2011	04/25/2012	21	***POTASH CORP OF SASKATCHEWAN	44.10	57.66	926.10	1,210.90	-284.80
04/15/2011	04/25/2012	29	***POTASH CORP OF SASKATCHEWAN	44.10	55.96	1,278.90	1,622.76	-343.86
12/15/2009	04/26/2012	150	CONSTELLATION BRANDS INC-A	21 95	16.12	3,292.58	2,417.27	875.31
12/09/2010	04/26/2012	35	EXPRESS SCRIPTS HOLDING CO	56.31	54.13	1,970.99	1,894.55	76.44
03/04/2011	04/26/2012	20	EXPRESS SCRIPTS HOLDING CO	56 31	55.88	1,126.28	1,117.64	8.64
04/21/2011	04/26/2012	20	EXPRESS SCRIPTS HOLDING CO	56.31	56.21	1,126.28	1,124.11	2.17
05/04/2010	04/27/2012	30	BROADCOM CORP-CL A	36.29	32.30	1,088.65	969.09	119.56
02/17/2011	04/27/2012	35	BROADCOM CORP-CL A	36.29	42 32	1,270.10	1,481.07	-210.97
02/08/2010	05/08/2012	11	GILEAD SCIENCES INC	49.06	46.24	539.61	508.59	31.02
04/27/2010	05/08/2012	11	GILEAD SCIENCES INC	49.06	40.55	539.60	446.03	93.57
10/27/2010	05/08/2012	15	NORTHROP GRUMMAN CORP	61.05	55.65	915.68	834.79	80.89
12/09/2010	05/08/2012	50	NORTHROP GRUMMAN CORP	61.05	58.14	3,052.28	2,907.12	145.16
07/13/2010	05/15/2012	9	AMAZON.COM INC	229.28	122.82	2,063.56	1,105.35	958.21
09/21/2009	05/16/2012	60	EMC CORP/MASS	25.73	16.74	1,543.58	1,004.12	539.46
10/27/2009	05/16/2012	40	EMC CORP/MASS	25.73	16.92	1,029.05	677.00	352.05
10/07/2010	05/17/2012	25	CENTURYLINK INC	38.47	40.05	961.71	1,001.27	-39.56
01/31/2011	05/17/2012	20	QUALCOMM INC	58.96	53.88	1,179.18	1,077.68	101.50
02/11/2011	05/17/2012	25	QUALCOMM INC	58.96	57 28	1,473 97	1,431.99	41.98
09/08/2010	05/18/2012	10	UNITED PARCEL SERVICE-CL B	74.38	67.94	743.76	679.36	64.40
10/19/2010	05/18/2012	10	UNITED PARCEL SERVICE-CL B	74.38	68.97	743 76	689.66	54.10
01/27/2011	05/24/2012	15	DEVON ENERGY CORPORATION	60.36	85.31	905.43	1,279.62	-374.19
02/24/2011	05/24/2012	5	DEVON ENERGY CORPORATION	60.36	88.47	301.81	442.36	-140 55
10/07/2010	05/31/2012	25	CENTURYLINK INC	39.17	40.05	979.19	1,001.26	-22.07
05/17/2010	06/01/2012	15	MARATHON OIL CORP	24.01	18.89	360.08	283.38	76.70
06/24/2010	06/01/2012	45	MARATHON OIL CORP	24.01	20.16	1,080 25	907.04	173.21
01/13/2010	06/04/2012	11	JPMORGAN CHASE & CO	31.03	44.05	341.29	484.54	-143.25
07/19/2010	06/04/2012	33	JPMORGAN CHASE & CO	31.03	38.33	1,023.86	1,264.94	-241.08
11/09/2010	06/04/2012	26	JPMORGAN CHASE & CO	31 03	40.48	806.68	1,052.40	-245.72
03/29/2011	06/04/2012	20	JPMORGAN CHASE & CO	31 03	45.93	620.53	918.57	-298 04
10/05/2009	06/06/2012	105	CORNING INC	12.80	14.70	1,344.00	1,543.58	-199.58
11/19/2010	06/06/2012	55	CORNING INC	12.80	17.75	704.00	976.52	-272.52
05/18/2009	06/07/2012	42	JPMORGAN CHASE & CO	33.33	35.88	1,399.90	1,507.06	-107.16
06/05/2009	06/07/2012	35	JPMORGAN CHASE & CO	33.33	34.88	1,166.59	1,220.93	-54.34
01/13/2010	06/07/2012	14	JPMORGAN CHASE & CO	33.33	44.05	466.63	616.70	-150.07
06/14/2010	06/07/2012	1	JPMORGAN CHASE & CO	33.33	38.14	33.33	38.14	-4.81
06/14/2010	06/08/2012	35	JPMORGAN CHASE & CO	33.58	38.14	1,175.19	1,334.92	-159.73
06/21/2010	06/08/2012	15	JPMORGAN CHASE & CO	33.58	39 48	503.65	592.22	-88.57
12/17/2009	06/11/2012	25	GENERAL ELECTRIC CO	19 35	15.83	483.74	395.72	88.02
02/16/2010	06/11/2012	200	GENERAL ELECTRIC CO	19.35	15 91	3,869 92	3,182.38	687.54
12/10/2010	06/13/2012	10	FLOWSERVE CORPORATION	105 40	115.53	1,054 00	1,155.33	-101.33
06/21/2010	06/14/2012	25	JPMORGAN CHASE & CO	34.31	39.48	857 66	987.02	-129.36
02/24/2011	06/15/2012	20	DEVON ENERGY CORPORATION	57.06	88.47	1,141.25	1,769.42	-628.17
05/05/2011	06/15/2012	20	DEVON ENERGY CORPORATION	57.06	84.32	1,141.25	1,686.40	-545 15
03/04/2008	06/19/2012	4	APPLE INC	587.54	122.67	2,350.16	490.70	1,859.46

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Capital Gains Report

FINDLAY FAMILY FOUNDATION (Account: 888-23738) January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
07/02/2008	06/19/2012	1	APPLE INC	587.54	174.71	587.54	174.71	412.83
07/13/2010	06/20/2012	5	AMAZON.COM INC	221.67	122.82	1,108.34	614.09	494.25
01/31/2011	06/20/2012	10	AMAZON.COM INC	221.67	169.43	2,216.68	1,694.28	522.40
07/02/2008	06/20/2012	5	APPLE INC	582.03	174.71	2,910.17	873.54	2,036.63
06/21/2010	06/22/2012	10	JPMORGAN CHASE & CO	36.12	39.48	361.25	394.81	-33.56
07/19/2010	06/22/2012	1	JPMORGAN CHASE & CO	36.12	38.33	36.12	38.33	-2.21
08/30/2010	06/22/2012	75	JPMORGAN CHASE & CO	36.12	36.28	2,709.35	2,720.94	-11.59
09/16/2010	06/22/2012	25	JPMORGAN CHASE & CO	36.12	40.75	903.11	1,018.63	-115.52
10/07/2010	06/29/2012	25	CENTURYLINK INC	39.42	40.05	985.40	1,001.27	-15.87
04/27/2010	06/29/2012	14	GILEAD SCIENCES INC	50.87	40.55	712.22	567.67	144.55
06/13/2006	06/29/2012	85	PFIZER INC	22.89	23.24	1,945.61	1,975.66	-30.05
11/15/2006	06/29/2012	40	PFIZER INC	22.89	26.40	915.58	1,055.99	-140.41
10/15/2010	07/02/2012	150	GANNETT CO	14.79	13.01	2,217.88	1,950.80	267.08
03/17/2011	07/05/2012	5	AMAZON.COM INC	227.98	165.11	1,139.89	825.55	314.34
06/28/2011	07/05/2012	5	AMAZON.COM INC	227.98	202.29	1,139.90	1,011 47	128.43
05/04/2010	07/10/2012	15	COMCAST CORP-CLASS A	31.37	19.85	470.53	297.76	172.77
07/02/2010	07/10/2012	3	COMCAST CORP-CLASS A	31.37	17.44	94.11	52.33	41.78
01/14/2011	07/10/2012	50	COMCAST CORP-CLASS A	31.37	22.56	1,568.44	1,128.00	440.44
02/25/2011	07/10/2012	35	COMCAST CORP-CLASS A	31 37	25.06	1,097.90	877.25	220.65
10/19/2010	07/10/2012	15	UNITED PARCEL SERVICE-CL B	78.69	68.97	1,180.39	1,034.50	145.89
10/22/2010	07/10/2012	10	UNITED PARCEL SERVICE-CL B	78.69	69.74	786.93	697.41	89.52
05/27/2009	07/11/2012	25	DANAHER CORP	50.35	30.55	1,258.76	763.64	495.12
10/22/2010	07/11/2012	10	UNITED PARCEL SERVICE-CL B	78.74	69.74	787.38	697.40	89.98
11/05/2010	07/11/2012	15	UNITED PARCEL SERVICE-CL B	78.74	69.81	1,181.06	1,047.19	133.87
08/14/2003	07/12/2012	953 .437	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	9.02	9.28	8,600.00	8,847.90	-247.90
02/28/2011	07/12/2012	15	UNITED PARCEL SERVICE-CL B	78.48	73.87	1,177 26	1,108.08	69.18
03/07/2011	07/12/2012	15	UNITED PARCEL SERVICE-CL B	78.48	71 62	1,177.26	1,074.35	102.91
06/07/2011	07/13/2012	15	ANADARKO PETROLEUM CORP	68.69	75.46	1,030.37	1,131.97	-101.60
06/24/2011	07/13/2012	5	ANADARKO PETROLEUM CORP	68.69	72.17	343.46	360.84	-17.38
07/01/2011	07/13/2012	10	ANADARKO PETROLEUM CORP	68.69	77 54	686.91	775.36	-88.45
07/14/2011	07/17/2012	25	ANADARKO PETROLEUM CORP	71.16	76.73	1,779.03	1,918.19	-139.16
04/17/2009	07/19/2012	20	TIME WARNER CABLE	85.79	29.11	1,715.75	582.30	1,133.45
05/05/2009	07/19/2012	6	TIME WARNER CABLE	85.79	33.88	514.72	203.28	311.44
11/06/2009	07/19/2012	4	TIME WARNER CABLE	85.79	41.81	343.15	167.25	175.90
05/27/2009	07/25/2012	9	DANAHER CORP	50 66	30.55	455.96	274.91	181.05
09/10/2009	07/25/2012	16	DANAHER CORP	50 66	33.48	810.59	535.75	274.84
06/15/2011	07/25/2012	15	PRECISION CASTPARTS CORP	159.90	151.78	2,398.49	2,276.66	121.83
03/29/2011	07/30/2012	10	BORGWARNER INC	67.33	77.80	673 26	778.02	-104.76
05/27/2011	07/30/2012	15	BORGWARNER INC	67.33	71.66	1,009.90	1,074.92	-65.02
06/02/2011	07/30/2012	20	***LYONDELLBASELL INDU-CL A	44.50	22.72	890.08	454.34	435.74
10/07/2010	08/03/2012	20	CENTURYLINK INC	41.84	40 05	836.72	801.01	35.71
04/26/2011	08/03/2012	5	CENTURYLINK INC	41.84	39.99	209.18	199.97	9.21
09/10/2009	08/07/2012	35	DANAHER CORP	53.63	33.48	1,876.93	1,171.96	704.97
01/11/2011	08/07/2012	20	INTUIT INC	59.97	47.59	1,199.40	951.83	247.57
09/28/2010	08/07/2012	30	ORACLE CORP	31.61	27.04	948.35	811.11	137.24
10/14/2010	08/07/2012	35	ORACLE CORP	31.61	28.42	1,106 40	994 66	111.74
02/15/2011	08/07/2012	20	QUALCOMM INC	61.11	58.71	1,222.30	1,174.25	48.05
03/18/2011	08/07/2012	15	QUALCOMM INC	61.11	51.08	916.72	766.14	150.58

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
05/11/2010	08/08/2012	25	THE WALT DISNEY CO.	50.35	35.71	1,258.77	892.81	365.96
08/13/2010	08/09/2012	12	EOG RESOURCES INC	110.31	94.37	1,323 71	1,132.49	191.22
08/25/2010	08/09/2012	15	EOG RESOURCES INC	110.31	86.02	1,654.64	1,290.37	364.27
07/27/2011	08/10/2012	25	***COVIDIEN PLC	56.94	52.18	1,423.39	1,304.50	118 89
04/26/2011	08/20/2012	30	CENTURYLINK INC	41.67	39.99	1,250.19	1,199.83	50.36
10/07/2010	08/21/2012	10	EOG RESOURCES INC	109 25	98.34	1,092.46	983.39	109.07
10/18/2010	08/23/2012	25	JPMORGAN CHASE & CO	37 36	37 84	933.89	945.95	-12.06
08/04/2011	08/24/2012	15	VISA INC - CLASS A SHRS	126.76	85.68	1,901.45	1,285.16	616.29
09/27/2010	08/28/2012	20	ALTRIA GROUP INC	34.41	24.05	688.15	481.03	207 12
10/01/2010	08/28/2012	10	ALTRIA GROUP INC	34.41	23 82	344.07	238.25	105.82
08/21/2006	08/30/2012	4	GOOGLE INC-CL A	682.58	376.96	2,730.32	1,507.83	1,222.49
01/16/2007	08/30/2012	2	GOOGLE INC-CL A	682.58	507.38	1,365.16	1,014.75	350.41
06/29/2010	09/05/2012	135	JOHNSON & JOHNSON	67.27	59.10	9,081.86	7,978.81	1,103.05
08/30/2010	09/05/2012	5	JOHNSON & JOHNSON	67.27	57.71	336.37	288.54	47.83
07/15/2011	09/12/2012	165	APPLIED MATERIALS INC	11 59	12.42	1,912.83	2,049.18	-136.35
02/18/2011	09/12/2012	43	CITIGROUP INC	32 97	48 61	1,417.61	2,090.36	-672.75
03/01/2011	09/12/2012	57	CITIGROUP INC	32.97	46.67	1,879.15	2,660.12	-780.97
05/13/2011	09/12/2012	30	***TRANSOCEAN LTD	46.22	68.74	1,386.64	2,062.13	-675.49
11/06/2009	09/12/2012	20	TIME WARNER CABLE	91 83	41.81	1,836.58	836 28	1,000.30
01/16/2007	09/13/2012	2	GOOGLE INC-CL A	694.93	507.37	1,389.85	1,014 74	375.11
03/20/2007	09/13/2012	1	GOOGLE INC-CL A	694.93	445.42	694.93	445 42	249.51
05/09/2011	09/13/2012	45	LAM RESEARCH CORP	33.70	48.39	1,516.50	2,177.68	-661.18
06/28/2011	09/17/2012	20	FMC TECHNOLOGIES INC	48.93	42 21	978.63	844.12	134.51
08/04/2011	09/17/2012	30	FMC TECHNOLOGIES INC	48.93	41 25	1,467.94	1,237.58	230.36
08/11/2011	09/17/2012	15	LORILLARD INC	116.92	100.66	1,753.79	1,509.83	243.96
04/12/2010	09/17/2012	15	NOBLE ENERGY INC	95.26	77.03	1,428.87	1,155.46	273.41
09/01/2010	09/17/2012	10	NOBLE ENERGY INC	95.26	71.18	952 58	711.84	240.74
01/13/2011	09/20/2012	30	DIRECTV	52.37	42.19	1,571.21	1,265.60	305.61
08/25/2009	09/20/2012	3	NVR INC	861.00	683.58	2,583.01	2,050.73	532.28
10/14/2010	09/20/2012	25	ORACLE CORP	32.52	28.42	813.08	710.47	102.61
10/29/2010	09/20/2012	50	ORACLE CORP	32 52	29.44	1,626.15	1,471.81	154.34
04/26/2011	09/21/2012	15	CENTURYLINK INC	41.95	39.99	629.30	599.91	29.39
08/11/2011	09/21/2012	55	CENTURYLINK INC	41.95	34.10	2,307.45	1,875.39	432.06
09/14/2011	09/21/2012	50	CENTURYLINK INC	41.95	34.84	2,097.68	1,741.81	355.87
05/08/2009	09/24/2012	5	WELLS FARGO & COMPANY	34.98	22.00	174.90	110.00	64.90
04/19/2010	09/24/2012	5	WELLS FARGO & COMPANY	34.98	32.57	174.90	162.86	12.04
07/19/2010	09/24/2012	50	WELLS FARGO & COMPANY	34.98	25.91	1,749.05	1,295.48	453.57
10/27/2009	09/26/2012	35	EMC CORP/MASS	26.72	16.92	935.19	592.37	342.82
12/23/2009	09/26/2012	50	EMC CORP/MASS	26.72	17.57	1,335.98	878.58	457.40
03/02/2010	09/26/2012	15	EMC CORP/MASS	26 72	17.83	400.79	267.44	133.35
03/01/2011	09/27/2012	30	CITIGROUP INC	33.15	46.67	994.54	1,400.06	-405.52
03/30/2011	09/27/2012	20	CITIGROUP INC	33.15	44.97	663.03	899.42	-236.39
06/08/2011	09/28/2012	15	GENERAL MOTORS CO	22.81	28.91	342.21	433.63	-91.42
06/22/2011	09/28/2012	35	GENERAL MOTORS CO	22.81	30.02	798.49	1,050 82	-252.33
08/24/2011	09/28/2012	50	GENERAL MOTORS CO	22.81	22.16	1,140.69	1,107.82	32.87
08/08/2011	10/03/2012	20	GILEAD SCIENCES INC	70.08	36.86	1,401.66	737.12	664.54
08/15/2011	10/03/2012	25	GILEAD SCIENCES INC	70.08	37.13	1,752.08	928.18	823.90
08/18/2011	10/03/2012	25	GILEAD SCIENCES INC	70.08	37.29	1,752 07	932.17	819.90
08/11/2011	10/09/2012	17	UNITEDHEALTH GROUP INC	57.54	42.81	978.17	727.81	250.36

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
07/15/2011	10/12/2012	35	***TRANSOCEAN LTD	45.51	62.38	1,592.96	2,183.33	-590.37
03/07/2011	10/12/2012	30	WELLPOINT INC	61.98	67.84	1,859.52	2,035.20	-175.68
04/12/2011	10/12/2012	30	WELLPOINT INC	61.98	69.27	1,859.51	2,078.09	-218.58
03/30/2011	10/16/2012	30	CITIGROUP INC	37.02	44.97	1,110.56	1,349.13	-238.57
04/15/2011	10/16/2012	20	CITIGROUP INC	37.02	44.27	740.37	885.40	-145 03
05/11/2010	10/23/2012	25	THE WALT DISNEY CO.	50.98	35.71	1,274.50	892.81	381.69
04/08/2011	10/24/2012	45	AT&T INC	34.86	30.55	1,568.87	1,374.58	194.29
03/16/2011	10/24/2012	15	MONSANTO CO	86 89	67.44	1,303.37	1,011.59	291.78
04/08/2011	10/25/2012	30	AT&T INC	34.47	30.55	1,034 25	916 39	117.86
08/24/2011	10/25/2012	25	GENERAL MOTORS CO	23.67	22.16	591.73	553.91	37.82
09/21/2011	11/08/2012	30	FMC TECHNOLOGIES INC	40.00	43.05	1,200.08	1,291.56	-91.48
08/04/2011	11/08/2012	10	PRECISION CASTPARTS CORP	171.04	151.85	1,710.44	1,518.49	191.95
08/15/2011	11/08/2012	14	ROCKWELL AUTOMATION INC	76.54	65.08	1,071.59	911.12	160.47
07/02/2008	11/09/2012	5	APPLE INC	540.69	174.71	2,703.44	873.53	1,829.91
02/08/2010	11/12/2012	14	GILEAD SCIENCES INC	72.49	46.24	1,014.92	647.30	367.62
08/11/2011	11/13/2012	25	***BP PLC-SPONS ADR	40.80	39.65	1,020.07	991.30	28.77
12/03/2010	11/13/2012	50	ORACLE CORP	30.20	28.67	1,509.75	1,433.51	76.24
12/09/2010	11/13/2012	40	ORACLE CORP	30.20	29.24	1,207.80	1,169.46	38.34
12/09/2010	11/15/2012	10	ORACLE CORP	29.73	29.24	297.33	292.37	4 96
01/20/2011	11/15/2012	50	ORACLE CORP	29.73	31.87	1,486.65	1,593.52	-106.87
05/23/2011	11/15/2012	50	ORACLE CORP	29.73	33.35	1,486.64	1,667.40	-180.76
08/30/2010	11/16/2012	35	JOHNSON & JOHNSON	68.82	57.71	2,408.64	2,019.78	388.86
09/10/2009	11/19/2012	15	DANAHER CORP	52.64	33.48	789.56	502.27	287.29
10/25/2011	11/19/2012	35	HARLEY DAVIDSON INC	47.69	38.25	1,669.20	1,338.91	330.29
08/04/2011	11/19/2012	5	PRECISION CASTPARTS CORP	176.10	151.85	880.52	759.24	121.28
03/18/2011	11/19/2012	15	QUALCOMM INC	62.27	51.08	934.07	766.14	167.93
04/28/2011	11/20/2012	20	BROADCOM CORP-CL A	31.01	34.66	620.26	693.17	-72.91
03/02/2010	11/20/2012	35	EMC CORP/MASS	24.09	17.83	843.22	624.04	219.18
06/02/2010	11/20/2012	5	EMC CORP/MASS	24.09	18.50	120.46	92.52	27.94
02/22/2011	11/20/2012	35	INTUIT INC	58.16	52.83	2,035.47	1,849.06	186.41
03/21/2011	11/20/2012	10	INTUIT INC	58.16	50.48	581.56	504.85	76.71
08/14/2003	11/23/2012	506.198	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INVT FD II CL I	9.68	9.28	4,900 00	4,697.52	202.48
02/02/2011	11/23/2012	10	ALLERGAN INC	91.70	71.03	917.02	710.33	206.69
07/02/2008	11/23/2012	5	APPLE INC	567.83	174.71	2,839.14	873.54	1,965.60
08/11/2011	11/23/2012	25	***BP PLC-SPONS ADR	41.90	39.65	1,047.49	991.30	56.19
11/11/2011	11/23/2012	15	BOEING CO/THE	73.36	66 97	1,100.33	1,004.59	95.74
04/15/2011	11/23/2012	10	CITIGROUP INC	35.91	44.27	359.10	442.70	-83.60
04/20/2011	11/23/2012	15	CITIGROUP INC	35.91	45.61	538.65	684.09	-145.44
01/20/2011	11/23/2012	5	EOG RESOURCES INC	117 99	99.82	589.94	499.09	90.85
04/28/2011	11/23/2012	4	FLOWSERVE CORPORATION	139.41	128.70	557.64	514.80	42.84
08/11/2011	11/23/2012	10	LORILLARD INC	122.61	100.65	1,226.08	1,006.55	219.53
06/28/2010	11/23/2012	30	MARATHON OIL CORP	31.81	19.77	954.39	592.99	361.40
09/01/2010	11/23/2012	10	NOBLE ENERGY INC	95.58	71.18	955.80	711.84	243.96
06/12/2007	11/23/2012	15	SCHLUMBERGER LTD	70.67	79.95	1,060.01	1,199.31	-139.30
08/04/2011	11/23/2012	10	VISA INC - CLASS A SHRS	147.37	85.68	1,473.70	856 77	616.93
08/14/2003	11/23/2012	1,932.472	BERNSTEIN INTERNATIONAL PORTFOLIO	13.55	15.83	26,185.00	30,591.04	-4,406.04
04/06/2011	11/27/2012	15	MONSANTO CO	90 96	69.77	1,364.38	1,046.49	317.89

Capital Gains Report

FINDLAY FAMILY FOUNDATION (Account: 888-23738) January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
01/19/2011	11/28/2012	50	KROGER CO	24.89	21.46	1,244.44	1,072.83	171 61
06/28/2010	11/29/2012	5	MARATHON OIL CORP	31.23	19.77	156.17	98.83	57.34
06/30/2010	11/29/2012	25	MARATHON OIL CORP	31.23	19 25	780.87	481.28	299.59
02/24/2011	11/29/2012	75	MARATHON OIL CORP	31.23	29.34	2,342 62	2,200.26	142.36
09/30/2010	12/03/2012	25	JOHNSON & JOHNSON	69.63	61.86	1,740.72	1,546.42	194.30
09/30/2010	12/05/2012	25	JOHNSON & JOHNSON	69.74	61.86	1,743.43	1,546.42	197.01
12/28/2010	12/05/2012	25	JOHNSON & JOHNSON	69.74	62.07	1,743.42	1,551.80	191.62
08/04/2011	12/06/2012	35	***TRANSOCEAN LTD	46.38	55.01	1,623.19	1,925.44	-302.25
11/04/2011	12/06/2012	45	***TRANSOCEAN LTD	46.38	49.66	2,086.96	2,234.70	-147.74
08/15/2011	12/07/2012	1	ROCKWELL AUTOMATION INC	80.33	65.08	80.33	65.08	15.25
12/02/2011	12/07/2012	20	ROCKWELL AUTOMATION INC	80.33	74.61	1,606.60	1,492.27	114.33
11/15/2011	12/13/2012	75	GENERAL MOTORS CO	25 18	23.06	1,888.63	1,729.14	159.49
06/12/2007	12/19/2012	21	SCHLUMBERGER LTD	71.14	79.95	1,493.91	1,679.03	-185.12
10/08/2007	12/19/2012	9	SCHLUMBERGER LTD	71.14	104.12	640.25	937.08	-296.83
10/08/2007	12/20/2012	10	SCHLUMBERGER LTD	71.05	104.12	710.50	1,041 20	-330.70
04/20/2010	12/27/2012	140	FORD MOTOR CO	12.57	13.85	1,759.70	1,939.35	-179.65
			SUBTOTAL FOR LONG-TERM NON-COVERED			348,853.17	315,582.10	33,271 07
TOTAL						572,601.78	538,293.73	34,308.05

** ACCOUNT TOTALS **

CURRENT PERIOD - G/L		34,308.05
SHORT TERM - COVERED (COST BASIS REPORTED ON 1099-B)	0.00	
SHORT TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B)	1,036 98	
SHORT TERM TOTAL		1,036.98
LONG TERM - COVERED (COST BASIS REPORTED ON 1099-B)	0.00	
LONG TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B)	33,271.07	
LONG TERM TOTAL		33,271.07

Capital-Gains Distributions: If you own shares of the Sanford C. Bernstein Fund, Inc., you may have received an annual capital-gains distribution (different from the capital gains or losses on securities sold, which are listed in this report). Long-term capital-gains distributions are a separate item on the I.R.S. forms, and appear on the Summary-of-Key-Items report.

Zero-Cost Transactions: If you transferred securities to Bernstein for which the purchase price was unavailable, the total capital-gains figure that appears on this report needs to be adjusted. Any such transactions are segregated on the Capital-Gains report, with the cost entered as zero. You will need to update the report data with the correct purchase information.