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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation RATHMANN FAMILY FOUNDATION		A Employer identification number 52-1757445
Number and street (or P O box number if mail is not delivered to street address) PMB #352, 1290 BAY DALE DRIVE	Room/suite	B Telephone number 410-349-2376
City or town, state, and ZIP code ARNOLD, MD 21012		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 40,791,660. (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	241,363.	241,363.		STATEMENT 1
	4 Dividends and interest from securities	622,655.	622,655.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,649,257.			
	b Gross sales price for all assets on line 6a	9,230,136.			
	7 Capital gain net income (from Part IV, line 2)		1,649,257.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	116,578.	116,578.		STATEMENT 3	
12 Total. Add lines 1 through 11	2,629,853.	2,629,853.			
13 Compensation of officers, directors, trustees, etc	150,000.	15,000.		135,000.	
14 Other employee salaries and wages					
15 Pension plans, employee benefits	19,008.	1,858.		17,150.	
16a Equity fees	1,596.	0.		1,596.	
b Accounting fees	12,168.	3,042.		9,126.	
c Other professional fees	135,322.	99,900.		35,422.	
17 Interest					
18 Taxes	12,500.	0.		0.	
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings	2,495.	0.		2,495.	
22 Printing and publications					
23 Other expenses	150,094.	34,981.		115,113.	
24 Total operating and administrative expenses. Add lines 13 through 23	483,183.	154,781.		315,902.	
25 Contributions, gifts, grants paid	1,639,889.			1,639,889.	
26 Total expenses and disbursements. Add lines 24 and 25	2,123,072.	154,781.		1,955,791.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	506,781.				
b Net investment income (if negative, enter -0-)		2,475,072.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		3,736,009.	1,588,994.	1,588,994.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 9		13,814,872.	16,808,447.	20,113,032.
	b	Investments - corporate stock STMT 10		11,944,198.	12,030,153.	14,740,957.
	c	Investments - corporate bonds				
11	Investments - land, buildings, and equipment basis ▶ 2,328.					
	Less: accumulated depreciation ▶ 2,328.					
12	Investments - mortgage loans					
13	Investments - other STMT 11		6,600,557.	6,174,823.	4,348,677.	
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers)		36,095,636.	36,602,417.	40,791,660.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		36,095,636.	36,602,417.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		36,095,636.	36,602,417.		
31	Total liabilities and net assets/fund balances		36,095,636.	36,602,417.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	36,095,636.
2	Enter amount from Part I, line 27a	2	506,781.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	36,602,417.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	36,602,417.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P		
b PARTNERSHIP INVESTMENT K-1			
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,141,707.		8,257,694.	884,013.
b			676,815.
c 88,429.			88,429.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			884,013.
b			676,815.
c			88,429.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,649,257.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ . }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	1,890,027.	39,833,768.	.047448
2010	1,460,749.	37,137,898.	.039333
2009	1,387,853.	34,450,100.	.040286
2008	1,920,027.	36,770,678.	.052216
2007	2,315,882.	42,285,218.	.054768

2 Total of line 1, column (d)	2	.234051
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046810
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	40,177,881.
5 Multiply line 4 by line 3	5	1,880,727.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	24,751.
7 Add lines 5 and 6	7	1,905,478.
8 Enter qualifying distributions from Part XII, line 4	8	1,955,791.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	24,751.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	24,751.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	24,751.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	34,998.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	34,998.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,247.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input checked="" type="checkbox"/> Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> MD, WA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► THE FOUNDATION Telephone no. ► 410-349-2376 Located at ► PMB #352, 1290 BAY DALE DRIVE, ARNOLD, MD ZIP+4 ► 21012			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		150,000.	10,371.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE ATTACHED STATEMENT	
	110,415.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	37,387,199.
b	Average of monthly cash balances	1b	3,402,528.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	40,789,727.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	40,789,727.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	611,846.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	40,177,881.
6	Minimum investment return. Enter 5% of line 5	6	2,008,894.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,008,894.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	24,751.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	24,751.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,984,143.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,984,143.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,984,143.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,955,791.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,955,791.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	24,751.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,931,040.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,984,143.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			1,800,811.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 1,955,791.				
a Applied to 2011, but not more than line 2a			1,800,811.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				154,980.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				1,829,163.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Form 990-PF (2012)

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
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N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED STATEMENT	NONE	N/A	GENERAL OPERATIONS	1,639,889.
Total			3a	1,639,889.
b Approved for future payment				
SEE ATTACHED STATEMENT	NONE	N/A	GENERAL OPERATIONS	191,000.
Total			3b	191,000.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INVESTMENT ACCOUNTS	241,363.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	241,363.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
INVESTMENT ACCOUNTS	711,084.	88,429.	622,655.
TOTAL TO FM 990-PF, PART I, LN 4	711,084.	88,429.	622,655.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP INCOME	59,590.	59,590.	
MISCELLANEOUS	56,988.	56,988.	
TOTAL TO FORM 990-PF, PART I, LINE 11	116,578.	116,578.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	1,596.	0.		1,596.
TO FM 990-PF, PG 1, LN 16A	1,596.	0.		1,596.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	12,168.	3,042.		9,126.
TO FORM 990-PF, PG 1, LN 16B	12,168.	3,042.		9,126.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANTS MANAGEMENT	6,401.	0.		6,401.
INVESTMENT FEES	99,900.	99,900.		0.
GRANT CONSULTING FEES	25,421.	0.		25,421.
SALARY ANALYSIS FEES	3,600.	0.		3,600.
TO FORM 990-PF, PG 1, LN 16C	135,322.	99,900.		35,422.

FORM 990-PF	TAXES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	12,500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	12,500.	0.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES AND SUBSCRIPTIONS	1,570.	0.		1,570.
INSURANCE	775.	0.		775.
PARTNERSHIP EXPENSES	34,981.	34,981.		0.
LICENSES AND FEES	959.	0.		959.
DIRECT CHARITABLE EXPENSES	110,415.	0.		110,415.
POSTAGE, SUPPLIES, BANK CHARGES	1,394.	0.		1,394.
TO FORM 990-PF, PG 1, LN 23	150,094.	34,981.		115,113.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US BANK - GOVERNMENT	X		16,808,447.	20,113,032.
TOTAL U.S. GOVERNMENT OBLIGATIONS			16,808,447.	20,113,032.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			16,808,447.	20,113,032.

FORM 990-PF

CORPORATE STOCK

STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SCHWAB EQUITIES	11,744,782.	14,277,308.
MSSB- AMGEN AND ALNYLAM PHAR.	285,371.	463,649.
TOTAL TO FORM 990-PF, PART II, LINE 10B	12,030,153.	14,740,957.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
LUCENT MEDICAL	COST	60,000.	8,160.
ARCH VENTURE FUND IV	COST	139,387.	5,098.
ARCH VENTURE FUND IVA	COST	23,020.	1,649.
ARCH VENTURE FUND V	COST	1,144,258.	173,071.
BOULDER VENTURES III	COST	121,260.	11,564.
CISTHERA	COST	25,000.	8,750.
DLJ	COST	350,772.	460,261.
FFC EXECUTIVE PARTNERS II	COST	43,463.	34,272.
FFC PARTNERS II	COST	775,536.	534,599.
IMMUNOMIC THERAPEUTICS	COST	25,000.	110,639.
NUGEN	COST	399,223.	295,630.
OLD WESTBURY VENTURE CAPITAL FUND	COST	444,046.	292,936.
PRESIDO	COST	76,786.	53,750.
SYMPHONY STRATEGIC PARTNERS, LLC	COST	223,793.	119,296.
TEACH TOWN	COST	75,000.	10,080.
TRINITY	COST	50,000.	2,500.
HEALTHCARE DISPARITIES	COST	0.	0.
TIFF	COST	2,198,279.	2,226,422.
TOTAL TO FORM 990-PF, PART II, LINE 13		6,174,823.	4,348,677.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
FRANCES JOY RATHMANN PMB # 352, 1290 BAY DALE DRIVE ARNOLD, MD 21012	CHAIRPERSON 1.00	0.	0.	0.
RICHARD G. RATHMANN PMB # 352, 1290 BAY DALE DRIVE ARNOLD, MD 21012	PRESIDENT 35.00	150,000.	10,371.	0.
JAMES LOUIS RATHMANN PMB # 352, 1290 BAY DALE DRIVE ARNOLD, MD 21012	SECRETARY 1.00	0.	0.	0.
GEORGE B. RATHMANN PMB # 352, 1290 BAY DALE DRIVE ARNOLD, MD 21012	TREASURER 1.00	0.	0.	0.
SALLY RATHMANN KADIFA PMB # 352, 1290 BAY DALE DRIVE ARNOLD, MD 21012	VICE-PRESIDENT 1.00	0.	0.	0.
MARGARET CROSBY RATHMANN PMB # 352, 1290 BAY DALE DRIVE ARNOLD, MD 21012	VICE-PRESIDENT 1.00	0.	0.	0.
LAURA JEAN RATHMANN PMB # 352, 1290 BAY DALE DRIVE ARNOLD, MD 21012	VICE-CHAIR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		150,000.	10,371.	0.

Rathmann Family Foundation
Form 990-PF- Part XV-Grants and Contributions Paid during the Year
Year ended December 31, 2012

52-1757445

Organization Name	Street Address	City Address	State	Zip Code	Rel. Status	AmtPd	Grant Purpose
Abilities United	525 E. Charleston Road	Palo Alto	CA	94306	N/A 501c3	\$5,000	general support
Ada's Café	839 Northampton Drive	Palo Alto	CA	94303	N/A 501c3	\$15,000	opening at Mitchell Park
Aim High	PO Box 410715	San Francisco	CA	94141	N/A 501c3	\$1,000	general operating
Alzheimer's Association	PO Box 464	Timonium	MD	21094-0464	N/A 501c3	\$5,000	education and outreach
American Bird Conservancy	4249 Loudoun Avenue	The Plains	VA	20198	N/A 501c3	\$1,000	general support
American Friends of the Lebanon Mtn Trail	1800 N Kent St. Ste 1260	Arlington	VA	22209	N/A 501c3	\$5,000	general support
American Red Cross	2025 E Street N.W.	Washington	DC	20006	N/A 501c3	\$25,000	Hurricane Sandy relief
American Red Cross	2025 E Street N.W.	Washington	DC	20006	N/A 501c3	\$25,000	Hurricane Sandy relief
American University of Beirut	3 Dag Hammarskjöld Plaza, 8th Floor	New York	NY	10017-2303	N/A 501c3	\$25,000	Stanford Summer Internship
Amherst College	P O Box 5000	Amherst	MA	01002-5000	N/A 501c3	\$10,000	Annual Fund
Amherst College	P.O. Box 5000	Amherst	MA	01002-5000	N/A 501c3	\$10,000	Summer Study at AUB
Anne Arundel Community College	101 College Parkway	Arnold	MD	21012-1895	N/A 501c3	\$3,000	general operations
Anne Arundel Medical Center	2001 Medical Parkway	Annapolis	MD	21401	N/A 501c3	\$50,000	NICU Nurse Navigation Program
Association of Small Foundations	4905 Del Rey Avenue, Suite 200	Bethesda	MD	20814	N/A 501c3	\$1,000	general support
Baltimore Symphony Orchestra	1212 Cathedral Street	Baltimore	MD	21201	N/A 501c3	\$1,000	general support
Broadneck Area Youth Sports Inc (BAYS)	P.O Box 732	Arnold	MD	21012	N/A 501c3	\$3,000	accessible playground equipment
Brown University	Controller's Office Box J	Providence	RI	02912	N/A 501c3	\$15,000	scholarship aid
California Bar Foundation	180 Howard Street	San Francisco	CA	94105	N/A 501c3	\$5,000	Daniel S. Goodman Scholarship Fund
California Family Foundation	3201 Ash Street	Palo Alto	CA	94306	N/A 501c3	\$5,000	Beechwood School

Camp Hill Village Minnesota Inc.	15136 Celtic Drive	Sauk Centre	MN	56378	N/A	501c3	\$7,000 general support
Carnegie Institute of Washington	3520 San Martin Drive	Baltimore	MD	21218	N/A	501c3	\$7,000 BioEYES
Charities Review Council	2610 University Avenue West, Suite 375	St Paul	MN	55114-2007	N/A	501c3	\$9,000 general operating
Chemical Heritage Foundation	315 Chestnut Street	Philadelphia	PA	19106-2702	N/A	501c3	\$7,000 women in chemistry
Chesapeake Bay Foundation	6 Herndon Avenue	Annapolis	MD	21403	N/A	501c3	\$15,000 general operating
Chesapeake Ctr for Youth Development	301 E Patapsco Ave	Baltimore	MD	21225	N/A	501c3	\$5,000 operating support
Chesapeake Rivers Association	329 Riverview Trail	Annapolis	MD	21401	N/A	501c3	\$50,000 river monitor program
CISAC, Stanford	2700 Sand Hill Road	Menlo Park	CA	94025	N/A	501c3	\$10,000 general support
Coalition for Buzzards Bay	114 Front Street	New Bedford	MA	02740	N/A	501c3	\$50,000 Westport River
Conservation Northwest	1208 Bay Street, Suite 201	Bellingham	WA	98225	N/A	501c3	\$10,000 general operating
Containers 2 Clinics	53 Yorkshire Road	Dover	MA	02030	N/A	501c3	\$2,000 general support
Counseling and Support Services for Youth	555 Bryant Street #126	Palo Alto	CA	94301	N/A	501c3	\$5,000 general operations
Dana Farber Cancer Institute	44 Binney Street, Ste BP600	Boston	MA	02115	N/A	501c3	Claudia Adams Barr Program - Killeen's Campaign
Dana Farber Cancer Institute	44 Binney Street, Ste BP600	Boston	MA	02115	N/A	501c3	brain tumor and multiple myeloma Noonan family memorials
First Congregational Church	1985 Louis Road	Palo Alto	CA	94303-3499	N/A	501c3	\$10,000 sacred grounds café
Franklin Institute	222 North 20th Street	Philadelphia	PA	19103-1194	N/A	501c3	\$60,000 Rathmann Science Hall
Friends of Heketi Inc	423 E 138th Street, 2nd Floor	Bronx	NY	10454	N/A	501c3	summer school intervention program
Friends of the Palo Alto Junior Museum and Zoo	1451 Middlefield Road	Palo Alto	CA	94301	N/A	501c3	\$5,000 general operating
Garlough Elementary Magnet School	1740 Charlton Street	West St. Paul	MN	55118	N/A	govt	\$5,551 canine-assist program
Garlough Elementary Magnet School	1740 Charlton Street	West St. Paul	MN	55118	N/A	govt	\$800 canine-assist program
Garlough Elementary Magnet School	1740 Charlton Street	West St. Paul	MN	55118	N/A	govt	\$1,428 canine-assist program

Garlough Elementary Magnet School	1740 Charlton Street	West St. Paul	MN	55118	N/A	govt	\$5,110 canine-assist program
Great River Greening	35 West Water Street, Suite 201	Saint Paul	MN	55107	N/A	501c3	\$1,000 campaign for forests and freshwaters
Guthrie Theater	Vineland Place	Minneapolis	MN	55403	N/A	501c3	\$8,000 general operating
Helping Paws	P.O. Box 634	Hopkins	MN	55343	N/A	501c3	\$10,000 general operating
Hetrick-Martin Institute (HMI)	2 Astor Place	New York	NY	10003	N/A	501c3	\$5,000 job readiness/career exploration
InnVision Shelter Network	1450 Chapin Avenue, 2nd Floor	Burlingame	CA	94010	N/A	501c3	\$5,000 general operating
Key School, The	534 Hillsmere Drive	Annapolis	MD	21403	N/A	501c3	\$12,000 Annual Fund
Key School, The	534 Hillsmere Drive	Annapolis	MD	21403	N/A	501c3	\$25,000 Endowment
Marin Agricultural Land Trust	520 Mesa Road	Point Reyes Station	CA	94956	N/A	501c3	\$12,000 Marin Carbon Project
Maryland Hall for the Creative Arts	801 Chase Street	Annapolis	MD	21401	N/A	501c3	\$5,000 general support
Math for America	160 Fifth Avenue, 8th Floor	New York	NY	10010	N/A	501c3	\$25,000 new fellowships for NYC Science teachers
Minnesota Opera	620 North First Street	Minneapolis	MN	55401	N/A	501c3	\$2,000 general support
Minnesota Public Radio	45 East Seventh Street	Saint Paul	MN	55101-2274	N/A	501c3	\$8,000 general operating
National Aquarium in Baltimore	Pier 3 / 501 East Pratt Street	Baltimore	MD	21202-3194	N/A	501c3	\$3,000 general support
National Science and Technology Medals Foundation	1818 N St. NW	Washington	DC	20036	N/A	501c3	\$500,000 gala endowment
National Science and Technology Medals Foundation	1818 N St. NW	Washington	DC	20036	N/A	501c3	\$50,000 gala endowment
Northwestern University	633 Clark Street	Evanston	IL	60208-1100	N/A	501c3	\$10,000 Northwestern Parents' Fund
Page Education Foundation	P O Box 581254	Minneapolis	MN	55458-1254	N/A	501c3	\$50,000 10k operating, 60k scholarships
Partners in Education	P.O. Box 1557	Palo Alto	CA	94302	N/A	501c3	\$30,000 matching grant
Partners in Health	P.O. Box 845578	Boston	MA	02284	N/A	501c3	\$25,000 Strengthening Health Systems in Haiti
Peninsula Open Space Trust	222 High Street	Palo Alto	CA	94301	N/A	501c3	\$5,000 operating support

Science Museum of Minnesota	120 W Kellogg Blvd	St. Paul	MN	55102	N/A	501c3	\$15,000	Lee and Rose Warner Nature Ctr
Skidmore College	815 N Broadway	Saratoga Springs	NY	12866	N/A	501c3	\$10,000	Annual Fund
Stanford University	2700 Sand Hill Road	Menlo Park	CA	94025	N/A	501c3	\$17,000	Department of Nephrology
SustainaFest	3285 Christland Drive	Annapolis	MD	21403	N/A	Non-501c3	\$15,000	Organizational launch and initial events
The Advocates for Human Rights	330 South Second Avenue, Suite 800	Minneapolis	MN	55401-2211	N/A	501c3	\$2,000	general operating support
The History Theatre	30 East Tenth Street	Saint Paul	MN	55101	N/A	501c3	\$2,000	general operating
The Mayor's Fund to Advance New York City	253 Broadway, 8th Floor	New York	NY	10007	N/A	501c3	\$30,000	Hurricane Relief and Restoration
The Mayor's Fund to Advance New York City	253 Broadway, 8th Floor	New York	NY	10007	N/A	501c3	\$30,000	Hurricane Relief and Restoration
Trinity College	300 Summit Street	Hartford	CT	06106	N/A	501c3	\$15,000	Annual Fund
Trustees of Reservations	572 Essex Street	Beverly	MA	01915	N/A	501c3	\$50,000	Cold Water Stream Protection Westport area
University of California - Berkeley	2150 Shattuck Avenue, Suite 313	Berkeley	CA	94704	N/A	501c3	\$25,000	Cal Parents Fund
University of Minnesota	200 Oak Street SE	Minneapolis	MN	55455-2010	N/A	501c3	\$50,000	CEHD Global Engagement Scholarship
University of Minnesota	200 Oak Street SE	Minneapolis	MN	55455-2010	N/A	501c3	\$20,000	CEHD Global Discovery Scholarship
University School of Milwaukee	2100 West Farry Chasm Road	Milwaukee	WI	53217	N/A	501c3	\$5,000	Annual Fund
Volunteers of Legal Service	281 Park Avenue South, 5th Floor	New York	NY	10010	N/A	501c3	\$25,000	Dream Not Deferred Project
Youth in Arts	917 C Street	San Rafael	CA	94901	N/A	501c3	\$3,000	technical expertise for photosynthesis performance
Youth in Arts	917 C Street	San Rafael	CA	94901	N/A	501c3	\$30,000	photosynthesis performance
Total Contributions Paid							<u>\$ 1,639,889</u>	

\$191,000

EXPENDITURE RESPONSIBILITY REPORT [FINAL]

Name and Address of Grantee: SustainaFest, 222 Severn Avenue, Suite 48, Annapolis, MD 21403, www.SustainaFest.org

Date and Amount of Grant: September 22, 2012 for \$15,000

Purpose of the Grant: To assist SustainaFest's launch events on October 6, 2012 with a charitable mission to leverage the power of art and entertainment to educate and engage people of all ages and interests to take action in their everyday lives to strengthen our community, improve our environment, and support our local economy.

Amounts expended by the grantee (based on the most recent report received from the grantee): \$15,000

Whether, to the knowledge of the grantor private foundation, the grantee has diverted any funds from the purpose of the grant: To the Foundation's knowledge, no funds were diverted.

Dates of any reports received from the grantee: November 29, 2012 via email summarizing the event and indicating all funds were expended.

Date and results of any verification of the grantee's reports undertaken by or at the direction of the grantor private foundation: Members of the Foundation attended the launch events and witnessed firsthand the numerous successful activities ~~as reflected in the attached summary~~ of the event.

A COPY OF THE EXPENDITURE RESPONSIBILITY AGREEMENT SIGNED WITH THIS ORGANIZATION IS AVAILABLE FOR REVIEW AS ARE ALL REPORTS FILED BY THIS ORGANIZATION.

Rathmann Family Foundation

Form 990-PF, Part IX-A- Summary of Direct Charitable Activities

Year Ended December 31, 2012

52-1757445

In addition to its grants to various non-profits, the Foundation continued to conduct one charitable activity directly during 2012: the research and studies necessary to establish the basis for and the underlying science behind the creation of a non-profit public charity entitled the Carbon Cycle Institute (CCI). The research and studies have established a number of scientifically validated best management practices (BMP's) for soil carbon management that, if applied globally, could have far-reaching effects on our planet and are beneficial to Earth's climate. The work has spawned, among other things, a local pilot program to enhance soil carbon through application of organic soil amendments as a means to mitigate GHG emissions from new development projects.

The purpose of CCI is to teach and disseminate educational material to the public, including but not limited to, developing and replicating working models and strategies that positively impact the earth's carbon cycles and climate while also providing sound economics for agriculture, which produces our food, fuel, and fiber. It is also to be the standard bearer for these models and provide the stamp of approval via branding for the proper implementation of the CCI protocols.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
- Note. Only complete **Part II** if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

		Enter filer's identifying number, see instructions
Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
File by the due date for filing your return. See instructions.	RATHMANN FAMILY FOUNDATION	52-1757445
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	PMB #352, 1290 BAY DALE DRIVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	ARNOLD, MD 21012	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (Individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**THE FOUNDATION**

- The books are in the care of **PMB #352, 1290 BAY DALE DRIVE - ARNOLD, MD 21012**
- Telephone No. **410-349-2376** FAX No. _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.
- 4 I request an additional 3-month extension of time until **NOVEMBER 15, 2013.**
- 5 For calendar year **2012**, or other tax year beginning _____, and ending _____.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension **ADDITIONAL TIME IS NEEDED TO FILE A COMPLETE AND ACCURATE TAX RETURN.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	17,323.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	34,998.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **P H** Title **CPA** Date **8-12-13**

Form 8868 (Rev. 1-2013)