Form 990-PF

CHANGE IN ACCOUNTING PERIOD

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545 0052

2012

For calendar year 2012, or tax year beginning 6/01 , 2012, and ending 12/31 , 2012

HENRY & ANNE REICH FAMILY FOUNDATION, IN
C/O LEE G RUBENSTEIN
4626 WISCONSIN AVENUE, NW, #300
WASHINGTON, DC 20016-4625

A Employer identification number 52-1308578

B Telephone number (see the instructions) 202-337-3700

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60 month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 1,080,226.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc received (att sch)				
	2 Ck ► [X] if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	17.	17.		
	4 Dividends and interest from securities	28,261.	28,261.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	19,300.			
	b Gross sales price for all assets on line 6a 99,721.				
	7 Capital gain net income (from Part IV, line 2)		19,300.		
	8 Net short term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	47,578.	47,578.	0.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 1	3,320.	3,320.		
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule)(see instrs)				
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 2	3,404.	3,404.		
	24 Total operating and administrative expenses. Add lines 13 through 23	6,724.	6,724.		
	25 Contributions, gifts, grants paid PART XV	29,120.			29,120.
	26 Total expenses and disbursements. Add lines 24 and 25	35,844.	6,724.	0.	29,120.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	11,734.			
	b Net investment income (if negative, enter 0)		40,854.		
	c Adjusted net income (if negative, enter 0)			0.	

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end of year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	73,952.	59,569.	59,569.
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) STMT 10	868,432.	900,568.	876,052.
	c Investments – corporate bonds (attach schedule) STMT 10	141,328.	135,582.	144,605.
	11 Investments – land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	1,083,712.	1,095,719.	1,080,226.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here ► [ ] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,083,712.	1,095,719.	
	30 Total net assets or fund balances (see instructions)	1,083,712.	1,095,719.	
	31 Total liabilities and net assets/fund balances (see instructions)	1,083,712.	1,095,719.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,083,712.
2	Enter amount from Part I, line 27a	2	11,734.
3	Other increases not included in line 2 (itemize) ► SEE STATEMENT 3	3	368.
4	Add lines 1, 2, and 3	4	1,095,814.
5	Decreases not included in line 2 (itemize) ► SEE STATEMENT 4	5	95.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,095,719.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	CAPITAL GAIN DISTRIBUTIONS	P	VARIOUS	VARIOUS
b	PAYMENT RECEIVED ON NOTE WRITTEN OFF	P	VARIOUS	10/05/12
c	GAINS FROM BROKER ACCOUNTS-ST, STMT 9	P	VARIOUS	VARIOUS
d	GAINS FROM BROKER ACCOUNTS-LT, STMT 9	P	VARIOUS	VARIOUS
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,044.			1,044.
b	19,813.			19,813.
c	42,704.		37,724.	4,980.
d	36,160.		42,697.	-6,537.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				1,044.
b				19,813.
c				4,980.
d				-6,537.
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter 0 in Part I, line 7	2	19,300.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	45,601.	1,023,838.	0.044539
2010	37,262.	1,132,008.	0.032917
2009	9,452.	952,977.	0.009918
2008	38,437.	2,477,283.	0.015516
2007	140,023.	3,012,405.	0.046482

2	**Total** of line 1, column (d)	2	0.149372
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.029874
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	1,136,895.
5	Multiply line 4 by line 3	5	33,964.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	409.
7	Add lines 5 and 6	7	34,373.
8	Enter qualifying distributions from Part XII, line 4	8	29,120.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [ ] and enter 1% of Part I, line 27b		1	817.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	817.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	817.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	0.
8 Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached		8	6.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ►		9	823.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►		10	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ►	Refunded ►	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► $ 0. (2) On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► DC			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation* SEE STATEMENT 5	8b		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► LEE G RUBENSTEIN Telephone no ► 202 337-3700
Located at ► 4626 WISCONSIN AVE, NW, SUITE 300 WASHINGTON ZIP + 4 ► 20016-4625

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here N/A ► ☐
and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions fo exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			
1 a	During the year did the foundation (either directly or indirectly).			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)	2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes				
a Average monthly fair market value of securities			1a	
b Average of monthly cash balances			1b	68,608.
c Fair market value of all other assets (see instructions)			1c	1,085,600.
d **Total** (add lines 1a, b, and c)			1d	1,154,208.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2 Acquisition indebtedness applicable to line 1 assets			2	0.
3 Subtract line 2 from line 1d			3	1,154,208.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)			4	17,313.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	1,136,895.
6 **Minimum investment return.** Enter 5% of line 5 SHORT YEAR MODIFIED PERCENTAGE 2.9235 %			6	33,237.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6			1	33,237.
2a Tax on investment income for 2012 from Part VI, line 5	2a	817.		
b Income tax for 2012 (This does not include the tax from Part VI)	2b			
c Add lines 2a and 2b			2c	817.
3 Distributable amount before adjustments Subtract line 2c from line 1			3	32,420.
4 Recoveries of amounts treated as qualifying distributions			4	
5 Add lines 3 and 4			5	32,420.
6 Deduction from distributable amount (see instructions)			6	
7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	32,420.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	29,120.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	29,120.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	29,120.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				32,420.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 29,120.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2012 distributable amount				29,120.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				3,300.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012 enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

SEE STATEMENT 7

b The form in which applications should be submitted and information and materials they should include.

SEE STATEMENT FOR LINE 2A

c Any submission deadlines

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 8	NONE		PROVIDE ASSISTANCE TO 501 (C)(3) ORGANIZATIONS	29,120.
Total			► 3a	29,120.
b Approved for future payment				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	17.	
4 Dividends and interest from securities			14	28,261.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			1	19,813.	-513.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				48,091.	-513.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 47,578.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishmen of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
	a Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1 a (1)		X
	(2) Other assets	1 a (2)		X
	b Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
	(3) Rental of facilities, equipment, or other assets	1 b (3)		X
	(4) Reimbursement arrangements	1 b (4)		X
	(5) Loans or loan guarantees	1 b (5)		X
	(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
	c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

by: [signature] 09/04/13 ▶ CO PRESIDENT

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self employed	PTIN
PAULINE HOHAUSER	PAULINE HOHAUSER [signature]	4-3-13	X	P00075160

Firm's name ▶ PAULINE M. HOHAUSER, C.P.A. Firm's EIN ▶

Firm's address ▶ 6212 BERLEE DRIVE ALEXANDRIA, VA. 22312 Phone no 703-354-7355

TEEA0503L 03/14/13

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DELOITTE & TOUCHE	$ 2,500.	$ 2,500.		
WENDY HARRIS	820.	820.		
TOTAL	$ 3,320.	$ 3,320.	$ 0.	$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKER FEES	$ 3,404.	$ 3,404.		
TOTAL	$ 3,404.	$ 3,404.	$ 0.	$ 0.

STATEMENT 3
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

NONTAXABLE INCOME	$ 368.
TOTAL	$ 368.

STATEMENT 4
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

FEDERAL TAX PENALTY	$ 95.
TOTAL	$ 95.

STATEMENT 5
FORM 990-PF, PART VII-A, LINE 8B
COPIES OF FORM 990-PF TO STATE OFFICIALS

NOT REQUIRED

STATEMENT 6
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN-SATION	CONTRI-BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
LEE G RUBENSTEIN 4626 WISCONSIN AVE, NW STE 300 WASHINGTON, DC 20016-4625	CO-PRESIDENT 0	$ 0.	$ 0.	$ 0.
BETH DANA RUBENSTEIN 4626 WISCONSIN AVE, NW STE 300 WASHINGTON, DC 20016-4625	VICE PRESIDENT 0	0.	0.	0.
LISA REICH 4626 WISCONSIN AVE, NW STE 300 WASHINGTON, DC 20016-4625	VICE PRESIDENT 0	0.	0.	0.
HILARY REICH 4626 WISCONSIN AVE, NW STE 300 WASHINGTON, DC 20016-4625	CO-PRESIDENT 0	0.	0.	0.
BARTON S. RUBENSTEIN 4626 WISCONSIN AVE, NW STE 300 WASHINGTON, DC 20016-4625	VICE PRES, TREA 0	0.	0.	0.
AMY SARA RUBENSTEIN 4626 WISCONSIN AVE, NW STE 300 WASHINGTON, DC 20016-4625	VICE PRES, SEC 0	0.	0.	0.
	TOTAL	$ 0.	$ 0.	$ 0.

STATEMENT 7
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	
NAME:	HENRY & ANNE REICH FAMILY FOUNDATION, IN
CARE OF:	LEE G RUBENSTEIN
STREET ADDRESS:	4626 WISCONSIN AVE, NW, SUITE 300
CITY, STATE, ZIP CODE:	WASHINGTON, DC 20016-4625
TELEPHONE:	(202) 337-3700
E-MAIL ADDRESS:	
FORM AND CONTENT:	LETTER STATING THE PURPOSE FOR WHICH GRANT, CONTRIBUTION IS SOUGHT
SUBMISSION DEADLINES:	NO DEADLINE
RESTRICTIONS ON AWARDS:	NO RESTRICTIONS

HENRY S AND ANNE S REICH FAMILY FOUNDATION, INC
JUNE 1 THROUGH DECEMBER 31, 2012
STATEMENT 8

CHARITABLE CONTRIBUTIONS

EXHIBIT A	RUBENSTEIN FUND	$ 20,120
EXHIBIT B	REICH FUND	9,000
TOTAL CHARITABLE CONTRIBUTIONS		$ 29,120

EXHIBIT B

REICH FUND

HENRY AND ANNE REICH FAMILY FOUNDATION, INC.

SUMMARY OF GRANTS

FROM JUNE 1, 2012 THROUGH DECEMBER 31, 2012

Date	Name of Organization	Amount
09/23/2012	New York Foundation for the Arts (NTFA) fiscal sponsor of Artsprire for Sister Cities Girlchoir 20 Jay Street, Suite 740 Brooklyn, NY 11201	$2,.500.00
12/12/2012	Innovation Africa 820 – 8th Avenue, 15th Floor New York, NY 10018	5,000.00
12/31/2012	The Ciesla Foundation for making the Rosenwald Schools 5005 Linnean Avenue, NW Washington, DC 20008	1,500.00

TOTAL FOR FISCAL YEAR ENDING DECEMBER 31, 2012 $9,000.00

Date	Name of Organization	Amount
11/16/2012	MAYOR'S FUND To Advance New York City 253 Broadway, 8th Floor New York, NY 10007	500.00
11/26/2012	McGill University 1430 Peel Street Montreal, Quebec, Canada H3A 3T3	500.00
11/26/2012	FIELD SCHOOL 2301 Foxhall Road, NW Washington, DC 20007	300.00
12/04/2012	OLLI FUND Osher Lifelong Learning Institute 4400Massachusdetts Avenue, NW Washington, DC 20016	300.00
12/13/2012	WASHINGTON AREA WOMEN'S FOUNDATION 1331 H Street, NW, Suite 1000 Washington, DC 20005	2,500.00

Total for the period from June 1st to December 31, 2012 $20,120.00

Date	Name of Organization	Amount
08/15/2012	SIBLEY MEMORIAL HOSPITAL FOUNDATION 5255 Loughboro Road, NW Washington, DC 20016	300.00
08/15/2012	MEMORIAL HOSPITAL FOUNDATION P.O. Box 1846 Easton. MD 21601	300.00
09/14/2012	NEW ISRAEL FUND 330 Seventh Avenue 11th Floor New York, NY 10001-4400	300.00
09/14/2012	DEVELOPING FAMILY CENTER 801 – 17th Street, NE Washington, DC 20002-7200	500.00
09/14/2012	WAMU Brandywine Building 440 Massachusetts Avenue, NW Washington, DC 20016-8082	300.00
10/17/2012	DOCTORS WITHOUT BORDERS 333 Seventh Avenue, 2nd Floor New York, NY 10001	300.00
10/17/2012	PLANNED PARENTHOOD of METROPOLITAN WASHINGTON 1108 – 16th Street, NW Washington DC 20036	300.00
11/01/2012	CAPITAL AREA FOOD BANK 645 Taylor Street, NE Washington, DC 20017	500.00
11/01/2012	AVALON THEATRE 5505 Connecticut Avenue, NW #226 Washington, DC 20015	300.00
11/01/2012	SEED FOUNDATION 1776 Massachusetts Avenue, NW Suite 600 Washington, DC 20036	500.00

EXHIBIT A

RUBENSTEIN FUND

HENRY AND ANNE REICH FAMILY FOUNDATION, INC.

SUMMARY OF GRANTS

FROM JUNE 1, 2012 THROUGH DECEMBER 31, 2012

Date	Name of Organization	Amount
06/01/2012	CONTEMPORARY JEWISH MUSEUM 736 Mission Street San Francisco, CA 94103	$1,000.00
06/11/2012	STUDIO THEATRE 1501 – 14th Street, NW Washington, DC 20005	1,500.00
06/11/2012	ROUND HOUSE THEATRE 4545 East-West Highway Bethesda, MD 20814	1,000.00
06/26/2012	WOOLLEY MOMMOTH THEATRE 641 D Street, NW Washington, DC 20004	1,500.00
06/26/2012	NATIONAL SYMPHONY ORCHESTRA 2700 F Street, NW Washington, DC 20566	1,200.00
07/10/2012	ADAS ISRAEL CONGREGATION 2850 Quebec Street, NW Washington, DC 20008	3,220.00
7/10/2012	WASHINGTON DCJCC-THEATER J 1529 – 16th Street, NW Washington, DC 20036	1,500.00
08/15/2012	WASHINGTON DCJCC 1529 – 16th Street, NW Washington, DC 20036	1,500.00

HENRY AND ANNE REICH FAMILY FOUNDATION, INC
JUNE 1 THROUGH DECEMBER 31, 2012

STATEMENT 9

SUMMARY OF CAPITAL GAINS & LOSSES FROM SECURITY SALES

ACCOUNT	COST	PROCEEDS	GAIN/(LOSS)
5260	$ 9,595.07	$ 11,899.52	$ 2,304.45
5294	12,767.56	9,098.11	(3,669.45)
5295 (NONE)			
5511	17,968.28	18,299.35	331.07
5296	9,595.07	12,042.48	2,447.41
5305	12,561.92	9,190.41	(3,371.51)
5306 (NONE)			
5512	17,933.34	18,334.30	400.96
TOTAL BROKER GAIN/LOSS PERIOD ENDING 12/31/12	$ 80,421.24	$ 78,864.17	($ 1,557.07)
CAPITAL GAIN DIST		1,044.00	1,044.00
NOTE RECEIVABLE EQUITY VENTURES		19,813.00	19,813.00
TOTAL GAIN/LOSS PER END 12/31/12	$ 80,421.24	$ 99,721.17	($19,299.93)

ACCOUNT 5260

Realized Gain/Loss for Account(s) 731 015260 as of 4/2/2013 5:03.34 PM

Symbol	Name	Quantity	Acquired Date	Period	Notation	Sale Date	Sale Price	Unit Cost	Total Cost	Adjusted Cost	Proceeds	Gain / Loss
CBS	CBS CORP NEW CL B SHRS	379 000	11/25/2011	Short		6/19/2012	31.40	25.32	9,595.07	9,595 07	11899.52	2,304 45
Totals:										**9,595.07**	**11899.52**	**2,304.45**
Short-term Gain Loss Total:	**2304.45**											
Long-term Gain Loss Total:	**0.00**											

ACCOUNT 5294

Realized Gain/Loss for Account(s) 731 015294 as of 4/2/2013 5:05:10 PM

Symbol	Name	Quantity	Acquired Date	Period	Sale Date	Sale Price	Unit Cost	Total Cost	Adjusted Cost	Proceeds	Gain / Loss	Covered Indicator
ERF	ENERPLUS CORP COM	67.000	4/28/2011	Long	6/8/2012	13.19	31 73	2,125 91	2,125 91	883 50	-1,242 41	C
ERF	ENERPLUS CORP COM	45.000	4/28/2011	Long	6/14/2012	12 74	31.73	1,427.85	1,427.85	573 24	-854 61	C
ERF	ENERPLUS CORP COM	53 000	4/28/2011	Long	6/15/2012	12 46	31.73	1,681 69	1,681.69	660 23	-1,021 46	C
KMR	KINDER MORGAN MGMT LLC	0.974	4/28/2011	Long	8/14/2012	74 47	63 41	60.82	60 82	71 42	10.60	C
KMR	KINDER MORGAN MGMT LLC	0.081	4/28/2011	Long	11/14/2012	71 35	62 44	4.98	4.98	5 69	0.71	C
MWV	MEADWESTVACO CORP	19.000	4/28/2011	Long	10/18/2012	30.93	30.23	574.36	574.36	587 60	13 24	C
MWV	MEADWESTVACO CORP	13.000	4/28/2011	Long	10/19/2012	30 44	30 23	392 98	392 98	395 69	2 71	C
MWV	MEADWESTVACO CORP	24.000	4/28/2011	Long	11/19/2012	29 19	30 23	725.51	725.51	700.59	-24.92	C
MWV	MEADWESTVACO CORP	24 000	4/28/2011	Long	11/20/2012	28.85	30.23	725.51	725.51	692.48	-33.03	C
MRK	MERCK & CO INC NEW COM	25.000	4/28/2011	Long	7/19/2012	43.86	35.69	892.25	892 25	1096 49	204 24	C
NU	NORTHEAST UTILITIES	40.000	4/28/2011	Long	7/27/2012	39.90	35 20	1,408.00	1,408.00	1596.14	188.14	C
WIN	WINDSTREAM CORP	88.000	4/28/2011	Long	12/7/2012	8.34	12.78	1,124.64	1,124 64	733.62	-391.02	C
WIN	WINDSTREAM CORP	67 000	4/28/2011	Long	12/12/2012	8 56	12 78	856.26	856.26	573.39	-282.87	C
WIN	WINDSTREAM CORP	60.000	4/28/2011	Long	12/14/2012	8.80	12.78	766.80	766.80	528.03	-238.77	C
Totals:									**12767.56**	**9098.11**	**-3669.45**	

Long-term Gain Loss Total:	**-3669.45**

#511 1 of 4

Realized Gain/Loss for Account(s) 731 015511 as of 4/2/2013 5.06.32 PM

Symbol	Name	Quantity	Acquired Date	Period	Sale Date	Sale Price	Unit Cost	Total Cost	Adjusted Cost	Proceeds	Gain / Loss	Adjusted Gain / Loss	Covered Indicator
	BAC CAP TR X 6 1/4 3-29-55	9.000	12/5/2011	Short	7/25/2012	25.00	19.58	176.22	176.22	225.00	48.78	48.78	-
BAC.Y	BAC CAP TRUST V 6.000 11-03-34	10.000	12/5/2011	Short	10/12/2012	25.06	19.25	192.54	192.54	250.59	58.05	58.05	-
	BAC CAPITAL TRUST 6 7/8 8-02-55	12.000	12/5/2011	Short	10/9/2012	25.09	20.51	246.16	246.16	301.14	54.98	54.98	-
WRB.A	BERKLEY WR CP 6 3/4 7-26-45	3.000	12/5/2011	Long	12/12/2012	25.03	25.10	74.09	75.29	75.10	1.01	-0.19	-
WRB.A	BERKLEY WR CP 6 3/4 7-26-45	1.000	12/5/2011	Long	12/18/2012	25.10	25.10	23.49	25.10	25.10	1.61	0.00	-
WRB A	BERKLEY WR CP 6 3/4 7-26-45	1.000	12/5/2011	Short	10/12/2012	25.15	25.10	24.14	25.10	25.15	1.01	0.05	-
WRB.A	BERKLEY WR CP 6 3/4 7-26-45	1.000	12/5/2011	Short	11/1/2012	25.12	25.10	23.82	25.10	25.12	1.30	0.02	-
	COMCAST CO 6.625% 6 5/8 5-15-56	56.000	12/5/2011	Short	7/23/2012	25.00	25.83	1,446.23	1,446.23	1400.00	-46.23	-46.23	-
CWHN	COMMONWEALTH REIT 7 1/2 11-15-19	6.000	12/5/2011	Short	10/12/2012	21.52	21.01	126.04	126.04	129.11	3.07	3.07	-
	COMMONWEALTH REIT 7.125% CUM C	40.000	12/5/2011	Short	8/24/2012	25.00	24.00	960.00	960.00	1000.00	40.00	40.00	-
	CREDIT SUISSE GU 7 9%	1.000	12/5/2011	Short	9/14/2012	25.97	25.80	25.80	25.80	25.97	0.17	0.17	C
	CREDIT SUISSE GU 7.9%	1.000	12/5/2011	Short	9/17/2012	25.93	25.80	25.80	25.80	25.93	0.13	0.13	C
	CREDIT SUISSE GU 7.9%	7.000	12/5/2011	Short	9/18/2012	25.94	25.80	180.61	180.61	181.58	0.97	0.97	C
DCE	DEUTSCHE BK CAP FD TR X 7.35%	4.000	12/5/2011	Long	12/19/2012	25.07	22.63	90.52	90.52	100.26	9.74	9.74	C
DCE	DEUTSCHE BK CAP FD TR X 7.35%	3.000	12/5/2011	Long	12/26/2012	24.99	22.63	67.89	67.89	74.97	7.08	7.08	C
DCE	DEUTSCHE BK CAP FD TR X 7 35%	1.000	12/5/2011	Short	11/6/2012	25.45	22.63	22.63	22.63	25.45	2.82	2.82	C
DCE	DEUTSCHE BK CAP FD TR X 7 35%	5.000	12/5/2011	Short	12/3/2012	25.49	22.63	113.15	113.15	127.43	14.28	14.28	C
RE.B	EVEREST RE CP II 6 200 3-29-34	1.000	12/5/2011	Short	10/11/2012	25.19	24.91	23.61	24.91	25.19	1.58	0.28	-
RE B	EVEREST RE CP II 6.200 3-29-34	1.000	12/5/2011	Short	10/12/2012	25.21	24.91	23.61	24.91	25.21	1.60	0.30	-
RE.B	EVEREST RE CP II 6 200 3-29-34	6.000	12/5/2011	Short	12/5/2012	24.92	24.91	147.69	149.46	149.52	1.83	0.06	-
FTB B	FIFTH THIRD CAP 7 1/4 11-15-67	20.000	12/5/2011	Short	8/8/2012	25.00	25.08	501.58	501.58	500.00	-1.58	-1.58	-
	FIFTH THIRD CAP 7 1/4 8-15-67	15.000	12/5/2011	Short	8/15/2012	25.00	25.00	374.99	374.99	375.00	0.01	0.01	-
GEJ	GE CAP CORP 6.00% 6 000 4-24-47	5.000	12/5/2011	Short	7/3/2012	25.62	25.49	127.39	127.44	128.11	0.72	0.67	-
GEJ	GE CAP CORP 6.00% 6 000 4-24-47	1.000	12/5/2011	Short	7/26/2012	25.58	25.49	25.13	25.49	25.58	0.45	0.09	-
GEJ	GE CAP CORP 6.00% 6.000 4-24-47	4.000	12/5/2011	Short	7/27/2012	25.43	25.49	101.83	101.95	101.73	-0.10	-0.22	-
GEJ	GE CAP CORP 6 00% 6 000 4-24-47	1.000	12/5/2011	Short	10/12/2012	25.24	25.49	25.34	25.49	25.24	-0.10	-0.25	-
GEJ	GE CAP CORP 6.00% 6.000 4-24-47	2.000	12/5/2011	Short	10/16/2012	25.22	25.49	50.85	50.98	50.44	-0.41	-0.54	-
GEJ	GE CAP CORP 6.00% 6.000 4-24-47	4.000	12/5/2011	Short	10/25/2012	25.31	25.49	101.88	101.95	101.23	-0.65	-0.72	-
GEG	GEN ELEC CAP CORP 6 050 2-06-47	1.000	12/5/2011	Short	6/26/2012	25.31	25.44	25.14	25.44	25.31	0.17	-0.13	-
GEG	GEN ELEC CAP CORP 6 050 2-06-47	4.000	12/5/2011	Short	6/27/2012	25.33	25.44	101.51	101.77	101.31	-0.20	-0.46	-
GEG	GEN ELEC CAP CORP 6 050 2-06-47	5.000	12/5/2011	Short	7/3/2012	25.52	25.44	127.16	127.21	127.60	0.44	0.39	-
GEG	GEN ELEC CAP CORP 6.050 2-06-47	4.000	12/5/2011	Short	8/23/2012	25.46	25.44	101.66	101.77	101.83	0.17	0.06	-
GEG	GEN ELEC CAP CORP 6 050 2-06-47	1.000	12/5/2011	Short	10/8/2012	25.17	25.44	25.44	25.44	25.17	-0.27	-0.27	-
GEG	GEN ELEC CAP CORP 6.050 2-06-47	4.000	12/5/2011	Short	10/9/2012	25.19	25.44	101.77	101.77	100.77	-1.00	-1.00	-
HBC.A	HSBC HLDGS PLC 6 2000% SER A	7.000	12/5/2011	Short	11/29/2012	25.12	23.43	164.00	164.00	175.83	11.83	11.83	C
HCS	HSBC HOLDINGS PLC 8 125%	10.000	12/5/2011	Short	10/10/2012	25.86	26.02	260.20	260.20	258.59	-1.61	-1.61	C
JPM K	JP CHASE CAP XI 5 7/8 6-15-33	2.000	12/5/2011	Short	7/2/2012	25.18	24.70	48.75	49.39	50.35	1.60	0.96	-
JPM K	JP CHASE CAP XI 5 7/8 6-15-33	10.000	12/5/2011	Short	7/6/2012	25.21	24.70	246.85	246.95	252.06	5.21	5.11	-
JPM K	JP CHASE CAP XI 5 7/8 6-15-33	9.000	12/5/2011	Short	7/31/2012	25.14	24.70	221.96	222.26	226.28	4.32	4.02	-
JPM.K	JP CHASE CAP XI 5 7/8 6-15-33	2.000	12/5/2011	Short	9/12/2012	25.17	24.70	49.27	49.39	50.33	1.06	0.94	-
JPM K	JP CHASE CAP XI 5 7/8 6-15-33	1.000	12/5/2011	Short	9/17/2012	25.16	24.70	24.37	24.70	25.16	0.79	0.46	-
JPM.K	JP CHASE CAP XI 5 7/8 6-15-33	5.000	12/5/2011	Short	9/19/2012	25.14	24.70	123.43	123.48	125.71	2.28	2.23	-
JPM.C	JPM CAP XXIX 6.700 4-02-40	7.000	12/5/2011	Short	6/22/2012	25.68	25.18	176.07	176.25	179.75	3.68	3.50	-

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JPM.C	JPM CAP XXIX 6.700 4-02-40	1.000	12/5/2011	Short	7/2/2012	25.69	25.18	23.97	25.18	25.69	1.72	0.51		-
JPM.C	JPM CAP XXIX 6.700 4-02-40	8.000	12/5/2011	Short	7/6/2012	25.87	25.18	200.21	201.42	206.99	6.78	5.57		-
JPM.C	JPM CAP XXIX 6.700 4-02-40	1.000	12/5/2011	Short	7/30/2012	25.92	25.18	23.97	25.18	25.92	1.95	0.74		-
JPM.C	JPM CAP XXIX 6.700 4-02-40	2.000	12/5/2011	Short	7/31/2012	25.93	25.18	49.35	50.36	51.87	2.52	1.51		-
JPM.C	JPM CAP XXIX 6.700 4-02-40	4.000	12/5/2011	Short	8/21/2012	25.97	25.18	99.70	100.71	103.86	4.16	3.15		-
JPM.C	JPM CAP XXIX 6.700 4-02-40	2.000	12/5/2011	Short	10/5/2012	26.52	25.18	50.36	50.36	53.05	2.69	2.69		-
JPM.C	JPM CAP XXIX 6.700 4-02-40	3.000	12/5/2011	Short	10/9/2012	26.39	25.18	75.53	75.53	79.17	3.64	3.64		-
JPM.C	JPM CAP XXIX 6.700 4-02-40	1.000	12/5/2011	Short	10/10/2012	26.47	25.18	25.18	25.18	26.47	1.29	1.29		-
JPM.C	JPM CAP XXIX 6.700 4-02-40	3.000	12/5/2011	Short	10/11/2012	26.44	25.18	75.53	75.53	79.33	3.80	3.80		-
JPM.C	JPM CAP XXIX 6.700 4-02-40	2.000	12/5/2011	Short	10/15/2012	26.50	25.18	50.36	50.36	53.01	2.65	2.65		-
	KIMCO REALTY 6.65 SERS F	6.000	12/5/2011	Short	8/16/2012	25.00	25.29	151.74	151.74	150.00	-1.74	-1.74		-
	KIMCO REALTY 7.7500% SER G	44.000	12/5/2011	Short	9/27/2012	25.44	25.75	1,132.96	1,132.96	1119.40	-13.56	-13.56		-
	MARKEL CORP 7 50% 7 1/2 8-22-46	29.000	12/5/2011	Short	8/1/2012	25.00	25.23	731.80	731.80	725.00	-6.80	-6.80		-
MET.B	METLIFE INC 6.5000% SER B	4.000	12/5/2011	Short	6/13/2012	25.39	25.24	100.97	100.97	101.57	0.60	0.60		C
MET.B	METLIFE INC 6.5000% SER B	9.000	12/5/2011	Short	6/14/2012	25.36	25.24	227.19	227.19	228.24	1.05	1.05		C
MET.B	METLIFE INC 6.5000% SER B	1.000	12/5/2011	Short	7/25/2012	25.79	25.24	25.24	25.24	25.79	0.55	0.55		C
MET.B	METLIFE INC 6.5000% SER B	2.000	12/5/2011	Short	7/27/2012	25.82	25.24	50.49	50.49	51.65	1.16	1.16		C
MET.B	METLIFE INC 6.5000% SER B	2.000	12/5/2011	Short	7/30/2012	25.80	25.24	50.49	50.49	51.61	1.12	1.12		C
MET.B	METLIFE INC 6.5000% SER B	1.000	12/5/2011	Short	7/31/2012	25.73	25.24	25.24	25.24	25.73	0.49	0.49		C
	NEXEN INC SER 7.350 11-01-43	1.000	2/29/2012	Short	12/4/2012	25.06	25.03	25.01	25.03	25.06	0.05	0.03		-
	NEXEN INC SER 7.350 11-01-43	1.000	2/29/2012	Short	12/28/2012	25.01	25.03	25.01	25.03	25.01	0.00	-0.02		-
	NEXEN INC SER 7.350 11-01-43	1.000	2/29/2012	Short	12/31/2012	25.02	25.03	25.01	25.03	25.02	0.01	-0.01		-
NEE.F	NEXTERA 8 3/4 3-01-69	1.000	12/5/2011	Short	7/30/2012	28.46	28.87	28.87	28.87	28.46	-0.41	-0.41		-
NEE.F	NEXTERA 8 3/4 3-01-69	4.000	12/5/2011	Short	7/31/2012	28.51	28.87	115.47	115.47	114.03	-1.44	-1.44		-
PRE.D	PARTNERRE LTD 6.5000 SER D	1.000	12/5/2011	Short	9/19/2012	25.29	24.96	24.96	24.96	25.29	0.33	0.33		C
PRE.D	PARTNERRE LTD 6.5000 SER D	1.000	12/5/2011	Short	10/5/2012	25.29	24.96	24.96	24.96	25.29	0.33	0.33		C
PRE.D	PARTNERRE LTD 6.5000 SER D	1.000	12/5/2011	Short	10/8/2012	25.30	24.96	24.96	24.96	25.30	0.34	0.34		C
PRE.D	PARTNERRE LTD 6.5000 SER D	1.000	12/5/2011	Short	10/10/2012	25.29	24.96	24.96	24.96	25.29	0.33	0.33		C
PRE.D	PARTNERRE LTD 6.5000 SER D	3.000	12/5/2011	Short	10/15/2012	25.38	24.96	74.88	74.88	76.13	1.25	1.25		C
	PNC CAPITAL 7.75 7 3/4 3-15-68	3.000	12/5/2011	Short	6/5/2012	26.13	25.82	77.47	77.47	78.39	0.92	0.92		-
	PNC CAPITAL 7.75 7 3/4 3-15-68	2.000	12/5/2011	Short	6/13/2012	25.68	25.82	51.60	51.65	51.37	-0.23	-0.28		-
	PNC CAPITAL 7.75 7 3/4 3-15-68	1.000	12/5/2011	Short	6/28/2012	25.83	25.82	25.72	25.82	25.83	0.11	0.01		-
	PPL CAP FDG 6.850 7-01-47	9.000	12/5/2011	Short	8/14/2012	25.00	26.38	237.42	237.42	225.00	-12.42	-12.42		-
	PROTECTIVE LIFE 7 1/4 6-30-66	4.000	12/5/2011	Short	6/5/2012	25.00	24.95	99.79	99.79	100.00	0.21	0.21		-
	PROTECTIVE LIFE 7 1/4 6-30-66	1.000	12/5/2011	Short	7/5/2012	25.11	24.95	24.85	24.95	25.11	0.26	0.16		-
	PROTECTIVE LIFE 7 1/4 6-30-66	5.000	12/5/2011	Short	9/4/2012	25.00	24.95	124.74	124.74	125.00	0.26	0.26		-
PHR	PRUDENTIAL FINL 9.000 6-15-38	10.000	12/5/2011	Long	12/12/2012	25.67	26.97	268.51	269.72	256.71	-11.80	-13.01		-
PHR	PRUDENTIAL FINL 9.000 6-15-38	1.000	12/5/2011	Short	10/9/2012	26.26	26.97	26.14	26.97	26.26	0.12	-0.71		-
PHR	PRUDENTIAL FINL 9.000 6-15-38	1.000	12/5/2011	Short	11/5/2012	25.99	26.97	25.68	26.97	25.99	0.31	-0.98		-
PHR	PRUDENTIAL FINL 9.000 6-15-38	5.000	12/5/2011	Short	12/3/2012	25.67	26.97	133.51	134.86	128.34	-5.17	-6.52		-
PUK.A	PRUDENTIAL PLC 6.50 PFD	3.000	12/5/2011	Short	8/16/2012	25.47	25.68	77.04	77.04	76.40	-0.64	-0.64		C
PUK.A	PRUDENTIAL PLC 6.50 PFD	8.000	12/5/2011	Short	8/17/2012	25.48	25.68	205.44	205.44	203.83	-1.61	-1.61		C
PUK	PRUDENTIAL PLC 6.75 SERIES	1.000	12/5/2011	Long	12/27/2012	25.05	25.45	25.45	25.45	25.05	-0.40	-0.40		C
PUK.	PRUDENTIAL PLC 6.75 SERIES	11.000	12/5/2011	Long	12/28/2012	25.01	25.45	279.95	279.95	275.16	-4.79	-4.79		C
	PS BUSINESS PARK 7.0000% SER	10.000	12/5/2011	Short	6/15/2012	25.00	25.19	251.90	251.90	250.00	-1.90	-1.90		-
	PS BUSINESS PARKS INC 6.70%	6.000	12/5/2011	Short	10/9/2012	25.00	25.20	151.20	151.20	150.00	-1.20	-1.20		-
	PUBLIC STORAGE 6.6000 SER C	3.000	12/5/2011	Short	6/6/2012	25.47	25.52	76.56	76.56	76.42	-0.14	-0.14		-
	PUBLIC STORAGE 6.6000 SER C	2.000	12/5/2011	Short	6/8/2012	25.48	25.52	51.04	51.04	50.95	-0.09	-0.09		-

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	PUBLIC STORAGE 6 6000 SER C	5 000	12/5/2011	Short	7/12/2012	25 00	25 52	127.60	127.60	125.00	-2 60	-2 60	-
	REGENCY CNTRS CORP 6 70% SER 5	5 000	12/5/2011	Short	9/13/2012	25.00	25 06	125 30	125 30	125.00	-0 30	-0 30	-
RNR.D	RENAISSANCERE HLDGS-D 6 60%	29.000	12/5/2011	Long	12/26/2012	25 00	24 69	716 01	716 01	725.00	8 99	8 99	C
RBS L	ROYAL BK SCOT GRP PLC ADS5 75%	7 000	12/5/2011	Short	11/5/2012	23 09	16 03	112 24	112 24	161.65	49 41	49 41	C
RBS.L	ROYAL BK SCOT GRP PLC ADS5.75%	1 000	12/5/2011	Short	11/7/2012	22.97	16 03	16.03	16 03	22.96	6.93	6.93	C
RBS L	ROYAL BK SCOT GRP PLC ADS5.75%	1 000	12/5/2011	Short	11/30/2012	23.40	16 03	16 03	16.03	23.39	7.36	7 36	C
SAN E	SANTANDER FIN 10.50% SER 10	10.000	12/5/2011	Short	7/3/2012	26 11	26 72	267.17	267 17	261 08	-6.09	-6.09	C
SAN E	SANTANDER FIN 10.50% SER 10	5.000	12/5/2011	Short	10/5/2012	27.25	26.72	133 58	133.58	136 25	2.67	2.67	C
SAN.E	SANTANDER FIN 10.50% SER 10	3 000	12/5/2011	Short	11/1/2012	27 32	26 72	80 15	80 15	81 98	1.81	1.81	C
SAN.E	SANTANDER FIN 10.50% SER 10	3 000	12/5/2011	Short	11/2/2012	27 33	26.72	80 15	80 15	82 00	1 85	1 85	C
SAN.E	SANTANDER FIN 10 50% SER 10	3 000	12/5/2011	Short	11/5/2012	27 30	26.72	80.15	80 15	81.90	1 75	1.75	C
VNOD	VORNADO RLTY LP 7 7/8 10-01-39	2.000	12/5/2011	Short	7/26/2012	27.77	27.28	54.02	54.57	55.55	1.53	0 98	-
VNOD	VORNADO RLTY LP 7 7/8 10-01-39	2 000	12/5/2011	Short	7/31/2012	27 88	27.28	54.02	54 57	55.76	1 74	1.19	-
VNOD	VORNADO RLTY LP 7 7/8 10-01-39	1 000	12/5/2011	Short	8/15/2012	27.82	27.28	25.81	27 28	27 82	2.01	0.54	-
VNOD	VORNADO RLTY LP 7 7/8 10-01-39	1.000	12/5/2011	Short	8/23/2012	27 85	27.28	25.81	27.28	27.85	2 04	0.57	-
VNOD	VORNADO RLTY LP 7 7/8 10-01-39	3.000	12/5/2011	Short	8/24/2012	27.87	27.28	79.78	81.85	83.60	3.82	1.75	-
WNA	WACHOVIA PFD FD 7.25 SERS N/CU	1.000	12/5/2011	Long	12/26/2012	26.28	26.09	26.09	26.09	26.28	0.19	0.19	-
WNA.	WACHOVIA PFD FD 7 25 SERS N/CU	5.000	12/5/2011	Short	6/18/2012	26.90	26.09	130.43	130.43	134.51	4.08	4.08	-
WNA	WACHOVIA PFD FD 7 25 SERS N/CU	5.000	12/5/2011	Short	6/19/2012	27 01	26 09	130.43	130 43	135 07	4 64	4 64	-
WNA.	WACHOVIA PFD FD 7.25 SERS N/CU	1.000	12/5/2011	Short	6/29/2012	27 00	26.09	26.09	26 09	27 01	0.92	0.92	-
WNA	WACHOVIA PFD FD 7.25 SERS N/CU	7.000	12/5/2011	Short	7/2/2012	27.01	26.09	182.60	182 60	189.07	6.47	6.47	-
WNA.	WACHOVIA PFD FD 7.25 SERS N/CU	2.000	12/5/2011	Short	7/9/2012	26.98	26.09	52.17	52.17	53.95	1.78	1.78	-
WNA	WACHOVIA PFD FD 7.25 SERS N/CU	3.000	12/5/2011	Short	7/23/2012	27 21	26.09	78 26	78.26	81.64	3.38	3.38	-
WNA	WACHOVIA PFD FD 7.25 SERS N/CU	2.000	12/5/2011	Short	7/25/2012	27.30	26.09	52 17	52.17	54.59	2.42	2.42	-
WNA.	WACHOVIA PFD FD 7.25 SERS N/CU	13 000	12/5/2011	Short	8/29/2012	27.11	26.09	339.11	339 11	352.42	13 31	13.31	-
WRI F	WEINGARTEN REALTY 6.5 SER F	1.000	12/5/2011	Short	6/6/2012	25 06	24.49	24.49	24.49	25 06	0.57	0.57	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	2.000	12/5/2011	Short	6/7/2012	25.07	24.49	48 98	48.98	50.13	1.15	1 15	-
WRI.F	WEINGARTEN REALTY 6.5 SER F	2.000	12/5/2011	Short	6/11/2012	25.19	24.49	48.98	48.98	50.37	1.39	1.39	-
WRI F	WEINGARTEN REALTY 6 5 SER F	1.000	12/5/2011	Short	6/12/2012	25 14	24.49	24 49	24.49	25.14	0.65	0.65	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	2.000	12/5/2011	Short	6/13/2012	25.21	24.49	48 98	48.98	50.41	1.43	1 43	-
WRI F	WEINGARTEN REALTY 6.5 SER F	6.000	12/5/2011	Short	6/27/2012	25 08	24.49	146 95	146 95	150.47	3.52	3.52	-
WRI F	WEINGARTEN REALTY 6.5 SER F	1 000	12/5/2011	Short	8/21/2012	25.48	24.49	24.49	24.49	25.48	0.99	0.99	-
WRI F	WEINGARTEN REALTY 6.5 SER F	1.000	12/5/2011	Short	8/23/2012	25 52	24.49	24.49	24.49	25.52	1.03	1.03	-
WRI.F	WEINGARTEN REALTY 6.5 SER F	1.000	12/5/2011	Short	9/18/2012	25 51	24.49	24 49	24.49	25.51	1.02	1.02	-
WRI.F	WEINGARTEN REALTY 6.5 SER F	1 000	12/5/2011	Short	9/19/2012	25.51	24.49	24.49	24 49	25.51	1.02	1.02	-
WRI F	WEINGARTEN REALTY 6 5 SER F	1.000	12/5/2011	Short	9/20/2012	25 46	24 49	24.49	24.49	25 46	0.97	0.97	-
WRI.F	WEINGARTEN REALTY 6.5 SER F	3 000	12/5/2011	Short	9/28/2012	25.34	24.49	73 47	73.47	76.02	2.55	2.55	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	1 000	12/5/2011	Short	10/11/2012	25 35	24 49	24 49	24.49	25.35	0.86	0.86	-
WRI F	WEINGARTEN REALTY 6.5 SER F	10.000	12/5/2011	Short	10/12/2012	25.30	24 49	244.91	244.91	252.99	8.08	8.08	-
WRI.F	WEINGARTEN REALTY 6.5 SER F	6.000	12/5/2011	Short	11/5/2012	25.40	24.49	146 95	146.95	152 39	5.44	5 44	-
WRI F	WEINGARTEN REALTY 6 5 SER F	5 000	12/5/2011	Short	11/14/2012	25.20	24 49	122.45	122 45	125.99	3 54	3 54	-
	WELLS FARGO CAP 6 1/4 6-15-67	38.000	12/5/2011	Short	6/15/2012	25 00	25.03	951.01	951.01	950.00	-1.01	-1 01	-
Totals:									**17968.28**	**18299.35**	**360.56**	**331.07**	

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Short-term Gain Loss Total:	323.46	
Long-term Gain Loss Total:	7.61	

ACCOUNT 5296

Realized Gain/Loss for Account(s) 731 015296 as of 4/12/2013 4:05:13 PM

Symbol	Name	Quantity	Acquired Date	Period	Notation	Sale Date	Sale Price	Unit Cost	Total Cost	Adjusted Cost	Proceeds
CBS	CBS CORP NEW CL B SHRS	379.000	11/25/2011	Short		6/21/2012	31.77	25.32	9,595.07	9,595 07	12042.48
Totals:											**12042.48**

Short-term Gain Loss Total:	**2447.41**
Long-term Gain Loss Total:	**0.00**

ACCOUNT 5305

eported to the IRS Realized Gain/Loss for Account(s) 731 015305 as of 4/12/2013 4:06:25 PM

Symbol	Name	Quantity	Acquired Date	Period	Sale Date	Sale Price	Unit Cost	Total Cost	Adjusted Cost	Proceeds	Gain / Loss
ERF	ENERPLUS CORP COM	10.000	9/20/2011	Short	6/15/2012	12.46	26.76	267.61	267.61	124.57	-143.04
ERF	ENERPLUS CORP COM	67.000	5/5/2011	Long	6/8/2012	13.19	30.11	2,017.12	2,017.12	883.50	-1,133.62
ERF	ENERPLUS CORP COM	47.000	5/5/2011	Long	6/14/2012	12.74	30.11	1,415.00	1,415.00	598.72	-816.28
ERF	ENERPLUS CORP COM	46.000	5/5/2011	Long	6/15/2012	12.46	30.11	1,384.89	1,384.89	573.03	-811.86
KMR	KINDER MORGAN MGMT LLC	0.129	5/5/2011	Long	8/14/2012	74.39	61.08	7.76	7.76	9.45	1.69
KMR	KINDER MORGAN MGMT LLC	0.260	5/5/2011	Long	11/14/2012	71.38	60.51	15.48	15.48	18.26	2.78
MWV	MEADWESTVACO CORP	21.000	5/5/2011	Long	10/18/2012	30.93	29.39	617.25	617.25	649.46	32.21
MWV	MEADWESTVACO CORP	13.000	5/5/2011	Long	10/19/2012	30.44	29.39	382.11	382.11	395.69	13.58
MWV	MEADWESTVACO CORP	26.000	5/5/2011	Long	11/19/2012	29.19	29.39	764.21	764.21	758.97	-5.24
MWV	MEADWESTVACO CORP	15.000	5/5/2011	Long	11/20/2012	28.85	29.39	440.89	440.89	432.80	-8.09
MWV	MEADWESTVACO CORP	10.000	11/21/2011	Short	11/20/2012	28.85	25.10	251.02	251.02	288.53	37.51
MRK	MERCK & CO INC NEW COM	26.000	5/5/2011	Long	7/19/2012	43.86	36.43	947.18	947.18	1140.35	193.17
NU	NORTHEAST UTILITIES	35.000	5/5/2011	Long	7/27/2012	39.90	35.39	1,238.65	1,238.65	1396.62	157.97
WIN	WINDSTREAM CORP	92.000	5/5/2011	Long	12/7/2012	8.34	12.63	1,161.96	1,161.96	766.97	-394.99
WIN	WINDSTREAM CORP	70.000	5/5/2011	Long	12/12/2012	8.56	12.63	884.10	884.10	599.06	-285.04
WIN	WINDSTREAM CORP	38.000	5/5/2011	Long	12/14/2012	8.80	12.63	479.94	479.94	334.42	-145.52
WIN	WINDSTREAM CORP	25.000	11/21/2011	Long	12/14/2012	8.80	11.47	286.75	286.75	220.01	-66.74
Totals:									**12,561.92**	**9190.41**	**-3,371.51**

Short-term Gain Loss Total:	**-105.53**
Long-term Gain Loss Total:	**-3265.98**

ACCOUNT 5512 P 1/3

Realized Gain/Loss for Account(s) 731 015512 as of 4/12/2013 4:14.49 PM

Symbol	Name	Quantity	Acquired Date	Period	Sale Date	Sale Price	Unit Cost	Total Cost	Adjusted Cost	Proceeds	Gain / Loss	Adjusted Gain / Loss	Covered Indicator
	BAC CAP TR X 6 1/4 3-29-55	9.000	12/5/2011	Short	7/25/2012	25.00	19.58	176.22	176.22	225.00	48.78	48.78	-
BAC Y	BAC CAP TRUST V 6.000 11-03-34	10.000	12/5/2011	Short	10/12/2012	25.06	19.25	192.54	192.54	250.59	58.05	58.05	-
	BAC CAPITAL TRUST 6 7/8 8-02-55	11.000	12/5/2011	Short	10/9/2012	25.10	20.51	225.65	225.65	276.05	50.40	50.40	-
WRB.A	BERKLEY WR CP 6 3/4 7-26-45	3.000	12/5/2011	Long	12/12/2012	25.03	25.10	74.84	75.29	75.10	0.26	-0.19	-
WRB.A	BERKLEY WR CP 6 3/4 7-26-45	1.000	12/5/2011	Long	12/18/2012	25.10	25.10	24.95	25.10	25.10	0.15	0.00	-
WRB A	BERKLEY WR CP 6 3/4 7-26-45	1.000	12/5/2011	Short	11/1/2012	25.12	25.10	23.49	25.10	25.12	1.63	0.02	-
	COMCAST CO 6 625% 6 5/8 5-15-56	55.000	12/5/2011	Short	7/23/2012	25.00	25.83	1,420.41	1,420.41	1375.00	-45.41	-45.41	-
CWHN	COMMONWEALTH REIT 7 1/2 11-15-19	5.000	12/5/2011	Short	10/12/2012	21.52	21.01	104.97	105.03	107.59	2.62	2.56	-
	COMMONWEALTH REIT 7.125% CUM C	39.000	12/5/2011	Short	8/24/2012	25.00	24.00	936.00	936.00	975.00	39.00	39.00	-
	CREDIT SUISSE GU 7.9%	1.000	12/5/2011	Short	9/14/2012	25.97	25.80	25.80	25.80	25.97	0.17	0.17	C
	CREDIT SUISSE GU 7.9%	1.000	12/5/2011	Short	9/17/2012	25.93	25.80	25.80	25.80	25.93	0.13	0.13	C
	CREDIT SUISSE GU 7.9%	6.000	12/5/2011	Short	9/18/2012	25.94	25.80	154.81	154.81	155.64	0.83	0.83	C
DCE	DEUTSCHE BK CAP FD TR X 7 35%	5.000	12/5/2011	Long	12/19/2012	25.07	22.63	113.15	113.15	125.33	12.18	12.18	C
DCE	DEUTSCHE BK CAP FD TR X 7.35%	3.000	12/5/2011	Long	12/26/2012	24.99	22.63	67.89	67.89	74.97	7.08	7.08	C
DCE	DEUTSCHE BK CAP FD TR X 7 35%	1.000	12/5/2011	Short	11/6/2012	25.45	22.63	22.63	22.63	25.45	2.82	2.82	C
DCE	DEUTSCHE BK CAP FD TR X 7 35%	4.000	12/5/2011	Short	12/3/2012	25.49	22.63	90.52	90.52	101.94	11.42	11.42	C
RE B	EVEREST RE CP II 6.200 3-29-34	1.000	12/5/2011	Short	10/11/2012	25.19	24.91	23.02	24.91	25.19	2.17	0.28	-
RE B	EVEREST RE CP II 6 200 3-29-34	1.000	12/5/2011	Short	10/12/2012	25.21	24.91	23.02	24.91	25.21	2.19	0.30	-
RE B	EVEREST RE CP II 6 200 3-29-34	6.000	12/5/2011	Short	12/5/2012	24.92	24.91	149.46	149.46	149.52	0.06	0.06	-
FTB.B	FIFTH THIRD CAP 7 1/4 11-15-67	20.000	12/5/2011	Short	8/8/2012	25.00	25.08	501.58	501.58	500.00	-1.58	-1.58	-
	FIFTH THIRD CAP 7 1/4 8-15-67	14.000	12/5/2011	Short	8/15/2012	25.00	25.00	349.99	349.99	350.00	0.01	0.01	-
GEJ	GE CAP CORP 6 00% 6.000 4-24-47	6.000	12/5/2011	Short	7/3/2012	25.62	25.49	152.52	152.93	153.73	1.21	0.80	-
GEJ	GE CAP CORP 6.00% 6.000 4-24-47	4.000	12/5/2011	Short	7/27/2012	25.43	25.49	101.83	101.95	101.73	-0.10	-0.22	-
GEJ	GE CAP CORP 6.00% 6.000 4-24-47	1.000	12/5/2011	Short	10/12/2012	25.24	25.49	25.34	25.49	25.24	-0.10	-0.25	-
GEJ	GE CAP CORP 6 00% 6.000 4-24-47	2.000	12/5/2011	Short	10/16/2012	25.22	25.49	50.85	50.98	50.44	-0.41	-0.54	-
GEJ	GE CAP CORP 6.00% 6.000 4-24-47	4.000	12/5/2011	Short	10/25/2012	25.31	25.49	101.88	101.95	101.23	-0.65	-0.72	-
GEG	GEN ELEC CAP CORP 6.050 2-06-47	1.000	12/5/2011	Short	6/26/2012	25.31	25.44	25.14	25.44	25.31	0.17	-0.13	-
GEG	GEN ELEC CAP CORP 6.050 2-06-47	4.000	12/5/2011	Short	6/27/2012	25.33	25.44	101.51	101.77	101.31	-0.20	-0.46	-
GEG	GEN ELEC CAP CORP 6.050 2-06-47	5.000	12/5/2011	Short	7/3/2012	25.52	25.44	127.16	127.21	127.60	0.44	0.39	-
GEG	GEN ELEC CAP CORP 6.050 2-06-47	5.000	12/5/2011	Short	8/23/2012	25.46	25.44	127.08	127.21	127.30	0.22	0.09	-
GEG	GEN ELEC CAP CORP 6.050 2-06-47	1.000	12/5/2011	Short	10/8/2012	25.17	25.44	25.44	25.44	25.17	-0.27	-0.27	-
GEG	GEN ELEC CAP CORP 6 050 2-06-47	3.000	12/5/2011	Short	10/9/2012	25.19	25.44	76.32	76.32	75.57	-0.75	-0.75	-
HBC A	HSBC HLDGS PLC 6.2000% SER A	6.000	12/5/2011	Short	11/29/2012	25.12	23.43	140.57	140.57	150.71	10.14	10.14	C
HBC A	HSBC HLDGS PLC 6.2000% SER A	3.000	12/5/2011	Short	11/30/2012	25.09	23.43	70.28	70.28	75.27	4.99	4.99	C
HCS	HSBC HOLDINGS PLC 8 125%	10.000	12/5/2011	Short	10/10/2012	25.86	26.02	260.20	260.20	258.59	-1.61	-1.61	C
JPM K	JP CHASE CAP XI 5 7/8 6-15-33	2.000	12/5/2011	Short	7/2/2012	25.18	24.70	48.60	49.39	50.35	1.75	0.96	-
JPM K	JP CHASE CAP XI 5 7/8 6-15-33	10.000	12/5/2011	Short	7/3/2012	25.21	24.70	246.04	246.95	252.05	6.01	5.10	-
JPM K	JP CHASE CAP XI 5 7/8 6-15-33	9.000	12/5/2011	Short	7/31/2012	25.14	24.70	221.74	222.26	226.28	4.54	4.02	-
JPM K	JP CHASE CAP XI 5 7/8 6-15-33	2.000	12/5/2011	Short	9/12/2012	25.17	24.70	49.39	49.39	50.33	0.94	0.94	-
JPM K	JP CHASE CAP XI 5 7/8 6-15-33	1.000	12/5/2011	Short	9/17/2012	25.16	24.70	24.70	24.70	25.16	0.46	0.46	-
JPM K	JP CHASE CAP XI 5 7/8 6-15-33	5.000	12/5/2011	Short	9/19/2012	25.14	24.70	123.48	123.48	125.71	2.23	2.23	-
JPM.C	JPM CAP XXIX 6 700 4-02-40	6.000	12/5/2011	Short	6/22/2012	25.68	25.18	150.51	151.07	154.07	3.56	3.00	-
JPM.C	JPM CAP XXIX 6 700 4-02-40	1.000	12/5/2011	Short	7/2/2012	25.69	25.18	24.93	25.18	25.69	0.76	0.51	-
JPM.C	JPM CAP XXIX 6.700 4-02-40	8.000	12/5/2011	Short	7/3/2012	25.80	25.18	200.79	201.42	206.36	5.57	4.94	-

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JPM.C	JPM CAP XXIX 6.700 4-02-40	1 000	12/5/2011	Short	7/30/2012	25 92	25 18	24.93	25.18	25 92	0 99	0 74	–
JPM.C	JPM CAP XXIX 6.700 4-02-40	2 000	12/5/2011	Short	7/31/2012	25.93	25.18	49.85	50 36	51 87	2.02	1 51	–
JPM.C	JPM CAP XXIX 6.700 4-02-40	4 000	12/5/2011	Short	8/21/2012	25 97	25.18	100.69	100 71	103 86	3 17	3 15	–
JPM.C	JPM CAP XXIX 6.700 4-02-40	2 000	12/5/2011	Short	10/5/2012	26 52	25 18	50 08	50 36	53 05	2 97	2 69	–
JPM.C	JPM CAP XXIX 6 700 4-02-40	3 000	12/5/2011	Short	10/9/2012	26.39	25.18	74 63	75.53	79 17	4.54	3.64	–
JPM C	JPM CAP XXIX 6 700 4-02-40	1.000	12/5/2011	Short	10/10/2012	26 47	25.18	24.55	25 18	26 47	1.92	1.29	–
JPM.C	JPM CAP XXIX 6 700 4-02-40	3 000	12/5/2011	Short	10/11/2012	26 44	25.18	74.63	75.53	79.33	4 70	3.80	–
JPM.C	JPM CAP XXIX 6 700 4-02-40	2 000	12/5/2011	Short	10/15/2012	26 50	25.18	50.08	50 36	53 01	2 93	2.65	–
	KIMCO REALTY 6 65 SERS F	6 000	12/5/2011	Short	8/16/2012	25 00	25 29	151.74	151 74	150 00	-1.74	-1 74	–
	KIMCO REALTY 7 7500% SER G	43.000	12/5/2011	Short	9/27/2012	25.44	25.75	1,107.21	1,107 21	1093 95	-13 26	-13.26	–
	MARKEL CORP 7 50% 7 1/2 8-22-46	29 000	12/5/2011	Short	8/1/2012	25 00	25.23	731 80	731 80	725 00	-6 80	-6 80	–
MET.B	METLIFE INC 6 5000% SER B	4 000	12/5/2011	Short	6/13/2012	25.39	25.24	100.97	100.97	101 57	0.60	0 60	C
MET B	METLIFE INC 6 5000% SER B	9 000	12/5/2011	Short	6/14/2012	25.36	25.24	227 19	227 19	228.24	1 05	1.05	C
MET.B	METLIFE INC 6 5000% SER B	1.000	12/5/2011	Short	7/25/2012	25.79	25.24	25 24	25.24	25.79	0 55	0.55	C
MET.B	METLIFE INC 6.5000% SER B	2.000	12/5/2011	Short	7/27/2012	25.82	25.24	50.49	50.49	51.65	1.16	1.16	C
MET.B	METLIFE INC 6.5000% SER B	2 000	12/5/2011	Short	7/30/2012	25 80	25 24	50.49	50.49	51.61	1.12	1.12	C
MET B	METLIFE INC 6 5000% SER B	1.000	12/5/2011	Short	7/31/2012	25.73	25 24	25 24	25.24	25.73	0.49	0.49	C
	NEXEN INC SER 7.350 11-01-43	1.000	2/29/2012	Short	12/4/2012	25.05	25.04	25 04	25.04	25.06	0.02	0.02	–
	NEXEN INC SER 7 350 11-01-43	1 000	3/23/2012	Short	12/28/2012	25.01	24.88	24.88	24.88	25.01	0.13	0.13	–
	NEXEN INC SER 7.350 11-01-43	1.000	3/23/2012	Short	12/31/2012	25.02	24.88	24.88	24.88	25.02	0.14	0.14	–
NEE F	NEXTERA 8 3/4 3-01-69	1 000	12/5/2011	Short	7/30/2012	28 46	28 87	28.87	28 87	28.46	-0 41	-0.41	–
NEE.F	NEXTERA 8 3/4 3-01-69	4.000	12/5/2011	Short	7/31/2012	28 51	28.87	115.47	115.47	114.03	-1.44	-1.44	–
PRE.D	PARTNERRE LTD 6.5000 SER D	1 000	12/5/2011	Short	9/19/2012	25 29	24.96	24 96	24 96	25.29	0 33	0.33	C
PRE D	PARTNERRE LTD 6.5000 SER D	1.000	12/5/2011	Short	10/5/2012	25.29	24.96	24.96	24.96	25.29	0 33	0.33	C
PRE.D	PARTNERRE LTD 6.5000 SER D	1 000	12/5/2011	Short	10/8/2012	25.30	24.96	24.96	24.96	25.30	0.34	0.34	C
PRE.D	PARTNERRE LTD 6.5000 SER D	1 000	12/5/2011	Short	10/10/2012	25.29	24.96	24.96	24.96	25.29	0.33	0.33	C
PRE.D	PARTNERRE LTD 6 5000 SER D	3 000	12/5/2011	Short	10/15/2012	25.38	24.96	74.88	74.88	76.13	1.25	1.25	C
	PNC CAPITAL 7.75 7 3/4 3-15-68	3 000	12/5/2011	Short	6/5/2012	26.13	25.82	77.47	77.47	78.39	0.92	0 92	–
	PNC CAPITAL 7.75 7 3/4 3-15-68	2 000	12/5/2011	Short	6/13/2012	25 68	25 82	51.60	51.65	51.37	-0.23	-0.28	–
	PNC CAPITAL 7.75 7 3/4 3-15-68	1.000	12/5/2011	Short	6/28/2012	25.83	25 82	25.72	25.82	25.83	0.11	0.01	–
	PPL CAP FDG 6.850 7-01-47	9 000	12/5/2011	Short	8/14/2012	25 00	26.38	237.42	237.42	225 00	-12.42	-12.42	–
	PROTECTIVE LIFE 7 1/4 6-30-66	4 000	12/5/2011	Short	6/5/2012	25.00	24 95	99.79	99.79	100.00	0.21	0.21	–
	PROTECTIVE LIFE 7 1/4 6-30-66	2.000	12/5/2011	Short	7/5/2012	25 12	24.95	49.85	49.90	50.24	0.39	0.34	–
	PROTECTIVE LIFE 7 1/4 6-30-66	4.000	12/5/2011	Short	9/4/2012	25 00	24 95	99.79	99 79	100.00	0.21	0.21	–
PHR	PRUDENTIAL FINL 9.000 6-15-38	9.000	12/5/2011	Long	12/12/2012	25 67	26.97	241.78	242 75	231.04	-10.74	-11.71	–
PHR	PRUDENTIAL FINL 9.000 6-15-38	1 000	12/5/2011	Short	10/9/2012	26 26	26.97	25.68	26.97	26 26	0.58	-0.71	–
PHR	PRUDENTIAL FINL 9.000 6-15-38	1 000	12/5/2011	Short	11/5/2012	25.99	26 97	26.70	26.97	25.99	-0 71	-0.98	–
PHR	PRUDENTIAL FINL 9 000 6-15-38	6 000	12/5/2011	Short	12/3/2012	25 67	26 97	161 67	161.84	154.01	-7.66	-7.83	–
PUK A	PRUDENTIAL PLC 6 50 PFD	3 000	12/5/2011	Short	8/16/2012	25 47	25.68	77 04	77 04	76.40	-0.64	-0.64	C
PUK.A	PRUDENTIAL PLC 6.50 PFD	8 000	12/5/2011	Short	8/17/2012	25 48	25 68	205 44	205 44	203.83	-1.61	-1 61	C
PUK	PRUDENTIAL PLC 6 75 SERIES	14.000	12/5/2011	Long	12/26/2012	25 01	25.45	356 30	356.30	350 14	-6.16	-6 16	C
	PS BUSINESS PARK 7.0000% SER	10 000	12/5/2011	Short	6/15/2012	25 00	25 19	251.90	251 90	250.00	-1 90	-1 90	–
	PS BUSINESS PARKS INC 6 70%	6 000	12/5/2011	Short	10/9/2012	25 00	25 20	151.20	151 20	150.00	-1.20	-1.20	–
	PUBLIC STORAGE 6 6000 SER C	3.000	12/5/2011	Short	6/6/2012	25 47	25.52	76 56	76 56	76 42	-0.14	-0 14	–
	PUBLIC STORAGE 6 6000 SER C	2 000	12/5/2011	Short	6/8/2012	25 48	25.52	51 04	51.04	50.95	-0 09	-0.09	–
	PUBLIC STORAGE 6.6000 SER C	5 000	12/5/2011	Short	7/12/2012	25 00	25.52	127.60	127.60	125 00	-2.60	-2.60	–
	REGENCY CNTRS CORP 6.70% SER 5	4 000	12/5/2011	Short	9/13/2012	25 00	25 06	100 24	100 24	100.00	-0 24	-0.24	–
RNR.D	RENAISSANCERE HLDGS-D 6 60%	29 000	12/5/2011	Long	12/26/2012	25 00	24.69	716 01	716.01	725.00	8.99	8.99	C

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RBS.L	ROYAL BK SCOT GRP PLC ADS5 75%	6.000	12/5/2011	Short	11/5/2012	23.09	16.03	96.21	96.21	138.55	42.34	42.34	C
RBS.L	ROYAL BK SCOT GRP PLC ADS5 75%	1.000	12/5/2011	Short	11/7/2012	22.97	16.03	16.03	16.03	22.96	6.93	6.93	C
RBS.L	ROYAL BK SCOT GRP PLC ADS5 75%	1.000	12/5/2011	Short	11/30/2012	23.40	16.03	16.03	16.03	23.39	7.36	7.36	C
RBS.L	ROYAL BK SCOT GRP PLC ADS5.75%	11.000	12/5/2011	Short	12/3/2012	23.34	16.03	176.38	176.38	256.70	80.32	80.32	C
SAN.E	SANTANDER FIN 10 50% SER 10	9.000	12/5/2011	Short	7/3/2012	26.11	26.72	240.45	240.45	234.97	-5.48	-5.48	C
SAN.E	SANTANDER FIN 10.50% SER 10	5.000	12/5/2011	Short	10/5/2012	27.25	26.72	133.58	133.58	136.25	2.67	2.67	C
SAN.E	SANTANDER FIN 10.50% SER 10	3.000	12/5/2011	Short	11/1/2012	27.32	26.72	80.15	80.15	81.96	1.81	1.81	C
SAN.E	SANTANDER FIN 10 50% SER 10	3.000	12/5/2011	Short	11/2/2012	27.33	26.72	80.15	80.15	82.00	1.85	1.85	C
SAN.E	SANTANDER FIN 10.50% SER 10	4.000	12/5/2011	Short	11/5/2012	27.30	26.72	106.87	106.87	109.20	2.33	2.33	C
VNOD	VORNADO RLTY LP 7 7/8 10-01-39	2.000	12/5/2011	Short	7/26/2012	27.77	27.28	52.82	54.57	55.55	2.73	0.98	-
VNOD	VORNADO RLTY LP 7 7/8 10-01-39	2.000	12/5/2011	Short	7/31/2012	27.88	27.28	52.82	54.57	55.76	2.94	1.19	-
VNOD	VORNADO RLTY LP 7 7/8 10-01-39	1.000	12/5/2011	Short	8/15/2012	27.82	27.28	25.21	27.28	27.82	2.61	0.54	-
VNOD	VORNADO RLTY LP 7 7/8 10-01-39	1.000	12/5/2011	Short	8/23/2012	27.85	27.28	25.21	27.28	27.85	2.64	0.57	-
VNOD	VORNADO RLTY LP 7 7/8 10-01-39	3.000	12/5/2011	Short	8/24/2012	27.87	27.28	80.21	81.85	83.60	3.39	1.75	-
WNA	WACHOVIA PFD FD 7 25 SERS N/CU	1.000	12/5/2011	Long	12/26/2012	26.28	26.09	26.09	26.09	26.28	0.19	0.19	-
WNA	WACHOVIA PFD FD 7.25 SERS N/CU	5.000	12/5/2011	Short	6/18/2012	26.90	26.09	130.43	130.43	134.51	4.08	4.08	-
WNA	WACHOVIA PFD FD 7 25 SERS N/CU	5.000	12/5/2011	Short	6/19/2012	27.01	26.09	130.43	130.43	135.07	4.64	4.64	-
WNA	WACHOVIA PFD FD 7.25 SERS N/CU	1.000	12/5/2011	Short	6/29/2012	27.00	26.09	26.09	26.09	27.01	0.92	0.92	-
WNA	WACHOVIA PFD FD 7.25 SERS N/CU	7.000	12/5/2011	Short	7/2/2012	27.01	26.09	182.60	182.60	189.07	6.47	6.47	-
WNA	WACHOVIA PFD FD 7 25 SERS N/CU	2.000	12/5/2011	Short	7/9/2012	26.98	26.09	52.17	52.17	53.95	1.78	1.78	-
WNA	WACHOVIA PFD FD 7 25 SERS N/CU	2.000	12/5/2011	Short	7/23/2012	27.21	26.09	52.17	52.17	54.42	2.25	2.25	-
WNA	WACHOVIA PFD FD 7 25 SERS N/CU	2.000	12/5/2011	Short	7/25/2012	27.30	26.09	52.17	52.17	54.59	2.42	2.42	-
WNA	WACHOVIA PFD FD 7.25 SERS N/CU	14.000	12/5/2011	Short	8/30/2012	27.10	26.09	365.19	365.19	379.46	14.27	14.27	-
WRI.F	WEINGARTEN REALTY 6.5 SER F	1.000	12/5/2011	Short	6/6/2012	25.06	24.49	24.49	24.49	25.06	0.57	0.57	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	2.000	12/5/2011	Short	6/7/2012	25.07	24.49	48.98	48.98	50.13	1.15	1.15	-
WRI.F	WEINGARTEN REALTY 6.5 SER F	2.000	12/5/2011	Short	6/11/2012	25.19	24.49	48.98	48.98	50.37	1.39	1.39	-
WRI.F	WEINGARTEN REALTY 6.5 SER F	1.000	12/5/2011	Short	6/12/2012	25.14	24.49	24.49	24.49	25.14	0.65	0.65	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	2.000	12/5/2011	Short	6/13/2012	25.21	24.49	48.98	48.98	50.41	1.43	1.43	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	6.000	12/5/2011	Short	6/27/2012	25.08	24.49	146.95	146.95	150.47	3.52	3.52	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	1.000	12/5/2011	Short	8/21/2012	25.48	24.49	24.49	24.49	25.48	0.99	0.99	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	1.000	12/5/2011	Short	9/18/2012	25.51	24.49	24.49	24.49	25.51	1.02	1.02	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	2.000	12/5/2011	Short	9/19/2012	25.52	24.49	48.98	48.98	51.04	2.06	2.06	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	1.000	12/5/2011	Short	9/20/2012	25.46	24.49	24.49	24.49	25.46	0.97	0.97	-
WRI.F	WEINGARTEN REALTY 6.5 SER F	3.000	12/5/2011	Short	9/26/2012	25.34	24.49	73.47	73.47	76.02	2.55	2.55	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	1.000	12/5/2011	Short	10/11/2012	25.35	24.49	24.49	24.49	25.35	0.86	0.86	-
WRI.F	WEINGARTEN REALTY 6.5 SER F	9.000	12/5/2011	Short	10/12/2012	25.30	24.49	220.42	220.42	227.69	7.27	7.27	-
WRI.F	WEINGARTEN REALTY 6.5 SER F	6.000	12/5/2011	Short	11/5/2012	25.40	24.49	146.95	146.95	152.39	5.44	5.44	-
WRI.F	WEINGARTEN REALTY 6 5 SER F	5.000	12/5/2011	Short	11/14/2012	25.20	24.49	122.46	122.46	125.99	3.53	3.53	-
	WELLS FARGO CAP 6 1/4 6-15-67	37.000	12/5/2011	Short	6/15/2012	25.00	25.03	925.98	925.98	925.00	-0.98	-0.98	-
Totals:									17,933.34	18334.30		400.96	

Short-term Gain Loss Total:	10.38
Long-term Gain Loss Total:	390.58

C - Tax lot is covered under cost basis reporting requirements

HENRY S AND ANNE S REICH FAMILY FOUNDATION, INC
DECEMBER 31, 2012
STATEMENT 10

INVESTMENTS IN BROKERAGE ACCOUNT

ACCOUNT	COST	MARKET VALUE
5260		
Stock	$ 78,311	$ 77,781
Corp Bonds	35,441	38,664
5294		
Stock	127,957	138,661
5295		
Stock	60,461	60,929
Mutual Funds	80,630	81,636
5511		
Stock	67,946	75,174
Corp Fixed Rate	35,460	37,012
5296		
Stock	83,312	82,253
Corp Bonds	30,149	32,889
5305		
Stock	132,644	145,988
5306		
Stock	55,152	55,952
Mutual Funds	73,452	75,011
5512		
Stock	65,703	72,666
Corp Fixed Rate	34,532	36,041
Total Morgan Stanley	$ 961,150	$1,010,657
Peoples First Finan	75,000	10,000
Total Securities	$1,036,150	$1,020,657