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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation PAUL & ANNETTA HIMMELFARB FOUNDATIONINC		A Employer identification number 52-0784206	
Number and street (or P O box number if mail is not delivered to street address) 1240-A UPSHUR STREET NW		B Telephone number (see instructions) (202) 829-8888	
City or town, state, and ZIP code WASHINGTON, DC 20011		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,573,111		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	101	101		
	4 Dividends and interest from securities.	106,047	106,047		
	5a Gross rents	81,044	81,044		
	b Net rental income or (loss) 63,755				
	6a Net gain or (loss) from sale of assets not on line 10	154,563			
	b Gross sales price for all assets on line 6a 2,302,245				
	7 Capital gain net income (from Part IV , line 2)		154,563		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	2,395	2,395		
	12 Total. Add lines 1 through 11	344,150	344,150		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	5,775			5,775
	c Other professional fees (attach schedule)	38,981	38,603		378
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,544	1,369		
	19 Depreciation (attach schedule) and depletion	17,289	17,289		
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	17,518	14,908		2,610
	24 Total operating and administrative expenses. Add lines 13 through 23	81,107	72,169		8,763
	25 Contributions, gifts, grants paid	215,000			215,000
	26 Total expenses and disbursements. Add lines 24 and 25	296,107	72,169		223,763
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	48,043			
	b Net investment income (if negative, enter -0-)		271,981		
	c Adjusted net income (if negative, enter -0-)				

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	1,459	4,641	4,641
	2	Savings and temporary cash investments	110,012	16,856	16,856
	3	Accounts receivable ▶ <u>1,300</u>			
		Less allowance for doubtful accounts ▶ _____	1,832	1,300	1,300
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	534,358	691,147	691,644
	b	Investments—corporate stock (attach schedule)	1,600,930	1,694,941	2,046,589
	c	Investments—corporate bonds (attach schedule).	517,307	506,185	530,032
	11	Investments—land, buildings, and equipment basis ▶ <u>1,053,522</u>			
	Less accumulated depreciation (attach schedule) ▶ <u>87,165</u>	983,646	966,357	966,357	
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	1,329,813	1,246,636	1,315,692	
14	Land, buildings, and equipment basis ▶ <u>2,811</u>				
	Less accumulated depreciation (attach schedule) ▶ <u>2,811</u>				
15	Other assets (describe ▶ _____)	664	1		
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,080,021	5,128,064	5,573,111	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	5,080,021	5,128,064	
	30	Total net assets or fund balances (see page 17 of the instructions)	5,080,021	5,128,064	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	5,080,021	5,128,064	

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	5,080,021
2	Enter amount from Part I, line 27a	2	48,043
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	5,128,064
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,128,064

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a				
b Capital Gain Dividends				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			14,633
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	154,563
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-11,184

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	197,138	4,474,988	0 04405
2010	185,686	4,199,292	0 04422
2009	240,282	3,788,883	0 06342
2008	137,869	5,250,804	0 02626
2007	191,195	5,835,735	0 03276

2 Total of line 1, column (d).	2	0 21071
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 04214
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	4,330,893
5 Multiply line 4 by line 3.	5	182,512
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	2,720
7 Add lines 5 and 6.	7	185,232
8 Enter qualifying distributions from Part XII, line 4.	8	223,763

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	2,720
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	2,720
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2,720
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	508	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	508
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	2,212
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☒	Refunded ☐	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ DE _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ►WWW HIMMELFARBFOUNDATION ORG				
14	The books are in care of ►P & A HIMMELFARB FOUNDATION Telephone no ►(202) 829-8888			
	Located at ►1240-A UPSHUR STREET NW WASHINGTON DC ZIP +4 ►200115626			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ► ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ►	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ►				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>)	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

No

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Form 990-PF (2012)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

Total number of others receiving over \$50,000 for professional services.	1
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Part IX-B Summary of Program-Related Investments (see instructions)

Total. Add lines 1 through 3.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	4,303,028
b	Average of monthly cash balances.	1b	91,728
c	Fair market value of all other assets (see instructions).	1c	2,090
d	Total (add lines 1a, b, and c).	1d	4,396,846
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	4,396,846
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	65,953
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,330,893
6	Minimum investment return. Enter 5% of line 5.	6	216,545

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	216,545
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	2,720
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,720
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	213,825
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	213,825
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	213,825

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	223,763
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	223,763
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	2,720
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	221,043
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				213,825
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			220,836	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 223,763				
a Applied to 2011, but not more than line 2a			220,836	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				2,927
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				210,898
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.				
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

PAUL ANNETTA HIMMELFARB FOUNDATION
1240-A UPSHUR STREET NW
WASHINGTON,DC 200115626

b

The form in which applications should be submitted and information and materials they should include

LETTER, ANNUAL REPORT, PROOF OF NONPROFIT STATUS, AMOUNT REQUESTED

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO GRANTS TO INDIVIDUALS

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	215,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2012)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

* * * * *

2013-05-09

* * * * *

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ **Yes** ☐ **No**

**Paid
Preparer
Use
Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☒	PTIN P00354044
	CARLA MCGARRY	CARLA MCGARRY			
	Firm's name ☐	Carla McGarry CPA & Associates PO Box 15266		Firm's EIN ☐	
	Firm's address ☐	Chevy Chase, MD 20825		Phone no (301) 840-8470	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LISA ULANOW	Director 1 00	0		
1240-A UPSHUR STREET WASHINGTON,DC 200115626				
LAUREN K HESTER	SEC/DIRECTOR 1 00	0		
1240-A UPSHUR STREET NW WASHINGTON,DC 200115626				
MICHAEL E PRESTON	TREAS/EXEC DIRE 6 00	0		
1240-A UPSHUR STREET NW WASHINGTON,DC 200115626				
NORMA LEE NAIMAN	V-PRES/DIRECTOR 1 00	0		
1240-A UPSHUR STREET NW WASHINGTON,DC 200115626				
PAUL HIMMELFARB	PRESIDENT/DIREC 1 00	0		
1240-A UPSHUR STREET NW WASHINGTON,DC 200115626				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREEN DOOR 1221 TAYLOR STREET NW WASHINGTON,DC 20011	NONE	PUBLIC CH	GENERAL OPERATING SUPPORT	25,000
FRIENDS OF YEMIN ORDE 12230 WILKINS AVENUE ROCKVILLE,MD 20852	NONE	PUBLIC CH	SPECIFIC PROGRAM AWARD	10,000
CAMP HOLIDAY TRAILS 400 HOLIDAY TRAILS LANE CHARLOTTESVILLE,VA 22903	NONE	PUBLIC CH	GENERAL OPERATING SUPPORT	10,000
JEWISH SOCIAL SERVICE AGENCY 6123 MONTROSE ROAD ROCKVILLE,MD 20852	NONE	PUBLIC CH	SPECIFIC PROGRAM AWARD	140,000
THE GEORGE WASHINGTON UNIVERSITY 2030 M STREET NW SUITE 4054 WASHINGTON,DC 20036	NONE	PUBLIC CH	GENERAL OPERATING SUPPORT	30,000
Total  3a				215,000

TY 2012 Accounting Fees Schedule

Name: PAUL & ANNETTA HIMMELFARB FOUNDATIONINC

EIN: 52-0784206

Software ID: 12000229

Software Version: 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	5,775	0	0	5,775

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: PAUL & ANNETTA HIMMELFARB FOUNDATIONINC

EIN: 52-0784206

Software ID: 12000229

Software Version: 2012v2.0

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
BUILDING	2006-12-27	674,254	87,165	91	39 0000	17,289	17,289		

TY 2012 Investments - Land Schedule**Name:** PAUL & ANNETTA HIMMELFARB FOUNDATIONINC**EIN:** 52-0784206**Software ID:** 12000229**Software Version:** 2012v2.0

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	379,268		379,268	379,268
Buildings	674,254	87,165	587,089	587,089

TY 2012 Land, Etc. Schedule

Name: PAUL & ANNETTA HIMMELFARB FOUNDATIONINC

EIN: 52-0784206

Software ID: 12000229

Software Version: 2012v2.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Miscellaneous	2,811	2,811		

TY 2012 Other Expenses Schedule**Name:** PAUL & ANNETTA HIMMELFARB FOUNDATIONINC**EIN:** 52-0784206**Software ID:** 12000229**Software Version:** 2012v2.0

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OFFICE AND BOOKKEEPING EXPENSES	17,400	14,790		2,610
MISCELLANEOUS	118	118		

TY 2012 Other Income Schedule**Name:** PAUL & ANNETTA HIMMELFARB FOUNDATIONINC**EIN:** 52-0784206**Software ID:** 12000229**Software Version:** 2012v2.0

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Other Investment Income	2,395	2,395	

TY 2012 Other Professional Fees Schedule**Name:** PAUL & ANNETTA HIMMELFARB FOUNDATIONINC**EIN:** 52-0784206**Software ID:** 12000229**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MORGAN STANLEY INVESTMENT FEES	38,603	38,603	0	0
ADMINISTRATIVE	378	0	0	378

TY 2012 Taxes Schedule**Name:** PAUL & ANNETTA HIMMELFARB FOUNDATIONINC**EIN:** 52-0784206**Software ID:** 12000229**Software Version:** 2012v2.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES NOT ON 1099	602	602		
FOREIGN TAXES 157995	132	132		
FOREIGN TAXES 13394	527	527		
FOREIGN TAXES 13391	108	108		
EXCISE TAX	175			

Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorPAUL & ANNETTA HIMMELFARB
FOUNDATION INC
VOYAGER MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011Jersey City, NJ 07311
Identification Number 26-4310632Taxpayer ID Number 52-0784206
Account Number 067 160231 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ARUBA NETWORKS INC	19 000	06/07/12	10/31/12	\$342 75	\$265 47	\$0 00	\$77 28	\$0 00
CANTEL MEDICAL CORP	6 000	07/12/12	10/31/12	\$154 79	\$167 11	\$0 00	(\$12 32)	\$0 00
	1 000	07/12/12	10/31/12	\$25 80	\$27 85	\$2 05	(\$2 05)	\$0 00
Security Subtotal	7.000			\$180.59	\$194.96	\$2.05	(\$14.37)	\$0.00
FORUM ENERGY TECHNOLOGIES	13 000	08/30/12	10/31/12	\$283 78	\$302 73	\$0 00	(\$18 95)	\$0 00
HLTH CARE SVC GRP	8 000	10/02/12	10/31/12	\$188 71	\$185 94	\$0 00	\$2 77	\$0 00
MISTRAS GROUP INC COM	6 000	10/09/12	10/31/12	\$131 03	\$137 00	\$5 97	(\$5 97)	\$0 00
PORTFOLIO RECOVERY ASSOC INC	2 000	06/21/12	10/31/12	\$205 33	\$168 32	\$0 00	\$37 01	\$0 00
SCIQUEST INC NEW COM	1 000	10/11/12	10/31/12	\$15 13	\$18 14	\$3 01	(\$3 01)	\$0 00
	6 000	10/11/12	10/31/12	\$90 77	\$108 88	\$0 00	(\$18 11)	\$0 00
Security Subtotal	7.000			\$105.90	\$127.02	\$3.01	(\$21.12)	\$0.00
SPS COMMERCE INC COM	1 000	10/03/12	11/02/12	\$36 39	\$39 87	\$3 48	(\$3 48)	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
VOYAGER MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 52-0784206
Account Number: 067 160231 101
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TREEHOUSE FOODS INC	6 000	08/17/12	10/31/12	\$318 17	\$299 52	\$0 00	\$18 65	\$0 00
Total Short Term Covered Securities				\$1,792.65	\$1,720.83	\$14.51	\$71.82	\$0.00

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
FIRST CASH FINL SVCS INC	6,000	12/27/11	10/31/12	\$265 07	\$220 71	\$0 00	\$44 36	\$0 00
INTERACTIVE INTELLIGENCE GRP	8 000	04/16/12	10/31/12	\$253 59	\$253 72	\$0 00	(\$0 13)	\$0 00
MEDIDATA SOLUTIONS INC COM	3 000	12/29/11	10/31/12	\$121 04	\$66 49	\$0 00	\$54 55	\$0 00
SOURCEFIRE INC	5,000	11/16/11	10/31/12	\$210.59	\$170 22	\$0 00	\$40.37	\$0 00

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Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
VOYAGER MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Identification Number* 26-4310632

Taxpayer ID Number* 52-0784206
Account Number* 067 160231 101

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VITAMIN SHOPPE INC COM		CUSIP: 92849E101	Symbol (Box 1d): VSI					
	6.000	03/23/12	10/31/12	\$344.57	\$263.23	\$0.00	\$81.34	\$0.00
Total Short Term Noncovered Securities				\$1,194.86	\$974.37	\$0.00	\$220.49	\$0.00
Total Short Term Covered and Noncovered Securities				\$2,987.51	\$2,695.20	\$14.51	\$292.31	\$0.00

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACTUANT CORP CL A NEW		CUSIP: 00508X203	Symbol (Box 1d): ATU					
	12.000	12/08/05	10/31/12	\$337.07	\$320.10	\$0.00	\$16.97	\$0.00
ALLSCRIPTS HEALTHCARE SOLU INC		CUSIP: 01988P108	Symbol (Box 1d): MDRX					
	10.000	07/20/07	10/31/12	\$130.79	\$189.89	\$0.00	(\$59.10)	\$0.00
BALCHEM CP		CUSIP: 057665200	Symbol (Box 1d): BCPC					
	9.000	10/27/08	10/31/12	\$307.97	\$136.19	\$0.00	\$171.78	\$0.00
BERRY PETE CO A		CUSIP: 085789105	Symbol (Box 1d): BRY					
	7.000	06/06/05	10/31/12	\$265.29	\$177.58	\$0.00	\$87.71	\$0.00
BIO-REFERENCE LABS INC NEW		CUSIP: 09057G602	Symbol (Box 1d): BRLI					
	8.000	08/02/10	10/31/12	\$221.27	\$167.70	\$0.00	\$53.57	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2012PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
VOYAGER MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632Taxpayer ID Number 52-0784206
Account Number 067 160231 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BOTTOMLINE TECH DE INC	3 000	03/25/11	10/31/12	\$69.50	\$70 23	\$0 00	(\$0 73)	\$0 00
BUFFALO WILD WINGS INC	5 000	03/21/07	10/31/12	\$372 69	\$145 48	\$0 00	\$227 21	\$0 00
CATALYST HEALTH SOLUTIONS INC	34 000	02/04/04	06/20/12	\$3,126 79	\$357 00	\$0 00	\$2,769 79	\$0 00
	56 000	02/04/04	06/28/12	\$5,023 90	\$588 00	\$0 00	\$4,435 90	\$0 00
	35 000	01/30/06	06/28/12	\$3,139 94	\$1,162 70	\$0 00	\$1,977 24	\$0 00
Security Subtotal	125,000			\$11,290.63	\$2,107.70	\$0.00	\$9,182.93	\$0.00
CAVIUM INC COM	9 000	09/29/11	10/31/12	\$295 64	\$248 61	\$0 00	\$47 03	\$0 00
COMMVAULT SYSTEMS, INC	12 000	11/26/07	10/31/12	\$759 82	\$243 32	\$0 00	\$516 50	\$0 00
	14 000	11/26/07	12/20/12	\$1,004 37	\$283 87	\$0 00	\$720.50	\$0 00
Security Subtotal	26,000			\$1,764 19	\$527 19	\$0.00	\$1,237.00	\$0 00
COMTECH TELECOMMUNICATIONS NEW	3 000	05/29/08	10/31/12	\$74 78	\$138 84	\$0 00	(\$64 06)	\$0 00
COOPER CO INC NEW	5 000	01/20/04	10/31/12	\$474 73	\$241 55	\$0 00	\$233 18	\$0 00

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Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 232572107 Symbol (Box 1d): CYMI								
CYMER INC	25 000	01/20/04	10/17/12	\$1,847.35	\$1,256.50	\$0.00	\$590.85	\$0.00
	1,000	07/16/04	10/17/12	\$73.89	\$29.54	\$0.00	\$44.35	\$0.00
	3,000	07/16/04	10/31/12	\$237.56	\$88.62	\$0.00	\$148.94	\$0.00
Security Subtotal	29,000			\$2,158.80	\$1,374.66	\$0.00	\$784.14	\$0.00
CUSIP: 25400B108 Symbol (Box 1d): DGIT								
DIGITAL GENERATION INC	14 000	01/23/08	10/31/12	\$130.61	\$283.44	\$0.00	(\$152.83)	\$0.00
CUSIP: 25388B104 Symbol (Box 1d): DRIV								
DIGITAL RIVER	9 000	03/29/05	10/31/12	\$127.70	\$273.24	\$0.00	(\$145.54)	\$0.00
CUSIP: 254543101 Symbol (Box 1d): DIOD								
DIODES INC	8 000	03/29/07	10/31/12	\$119.99	\$187.74	\$0.00	(\$67.75)	\$0.00
CUSIP: 23335C101 Symbol (Box 1d): DTSI								
DTS INC	4,000	08/12/10	10/31/12	\$83.51	\$137.67	\$0.00	(\$54.16)	\$0.00
CUSIP: 371901109 Symbol (Box 1d): GNTX								
GENTEX CORP	5 000	01/20/04	10/31/12	\$85.49	\$108.13	\$0.00	(\$22.64)	\$0.00
CUSIP: 37940X102 Symbol (Box 1d): GPN								
GLOBAL PAYMENT INC	6 000	01/20/04	10/31/12	\$255.17	\$144.66	\$0.00	\$110.51	\$0.00
CUSIP: 402635304 Symbol (Box 1d): GPOR								
GULFPORT ENERGY CORP NEW	12 000	08/18/11	10/31/12	\$394.79	\$306.93	\$0.00	\$87.86	\$0.00
CUSIP: 404132102 Symbol (Box 1d): HCC								
HCC INSURANCE HLDGS INC	7 000	01/20/04	10/31/12	\$246.46	\$147.14	\$0.00	\$99.32	\$0.00

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Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
VOYAGER MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632

Taxpayer ID Number 52-0784206
Account Number 067 160231 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HILL INTL INC								
		CUSIP: 431466101		Symbol (Box 1d): HIL				
	24 000	05/07/08	05/17/12	\$67 70	\$331 25	\$0 00	(\$263 55)	\$0 00
	43 000	05/08/08	05/17/12	\$121 30	\$603 64	\$0 00	(\$482 34)	\$0 00
	36 000	09/19/08	05/17/12	\$101 55	\$566 41	\$0 00	(\$464 86)	\$0 00
	42 000	12/15/08	05/17/12	\$118 49	\$263 40	\$0 00	(\$144 91)	\$0 00
	39 000	12/15/08	05/18/12	\$112 57	\$244 59	\$0 00	(\$132 02)	\$0 00
	11 000	09/21/10	05/18/12	\$31 75	\$52 02	\$0 00	(\$20 27)	\$0 00
	75 000	01/14/11	05/18/12	\$216 48	\$510 58	\$0 00	(\$294 10)	\$0 00
Security Subtotal	270 000			\$769 84	\$2,571.89	\$0.00	(\$1,802.05)	\$0.00
HURON CONSULTING GRP INC								
	6 000	08/02/06	10/31/12	\$171 23	\$205 93	\$0 00	(\$34 70)	\$0 00
IDEXX LABS								
	27 000	01/20/04	09/18/12	\$2,638 52	\$630 30	\$0 00	\$2,008 22	\$0 00
	17 000	01/20/04	09/21/12	\$1,683 07	\$396 85	\$0 00	\$1,286 22	\$0 00
	12 000	01/20/04	09/26/12	\$1,187 41	\$280 13	\$0 00	\$907 28	\$0 00
	22 000	01/20/04	09/26/12	\$2,180 59	\$513 58	\$0 00	\$1,667 01	\$0 00
	5 000	12/30/08	09/26/12	\$494 75	\$172 45	\$0 00	\$322 30	\$0 00
	5 000	12/30/08	09/26/12	\$494 75	\$172 45	\$0 00	\$322 30	\$0 00
Security Subtotal	88 000			\$8,679.09	\$2,165.76	\$0.00	\$6,513.33	\$0.00
INTEGRA LIFESCIENCES CRP NEW								
	5 000	12/29/06	10/31/12	\$189 99	\$213 92	\$0 00	(\$23 93)	\$0 00

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Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
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WASHINGTON DC 20011

Morgan Stanley Smith Barney Holdings LLC
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Account Number: 067 160231 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
IRIS INT'L INC								
	68 000	07/23/09	10/01/12	\$1,325 85	\$723 65	\$0 00	\$602 20	\$0 00
	97 000	09/22/10	10/01/12	\$1,891 29	\$956 55	\$0 00	\$934 74	\$0 00
Security Subtotal	165 000			\$3,217.14	\$1,680.20	\$0.00	\$1,536.94	\$0.00
KENSEY NASH CORP								
	6 000	03/24/05	05/30/12	\$230 82	\$168 90	\$0 00	\$61 92	\$0 00
	38 000	02/24/06	05/30/12	\$1,461 84	\$943 13	\$0 00	\$518 71	\$0 00
Security Subtotal	44 000			\$1,692.66	\$1,112.03	\$0.00	\$580.63	\$0.00
KNIGHT TRANSPORTATION								
	16 000	01/20/04	10/31/12	\$238 87	\$181 35	\$0 00	\$57 52	\$0 00
K12 INC								
	4 000	10/19/11	10/31/12	\$79 79	\$127 08	\$0 00	(\$47 29)	\$0 00
LANDSTAR SYSTEMS INC								
	5 000	03/12/09	10/31/12	\$252 54	\$148 46	\$0 00	\$104 08	\$0 00
LKQ CORPORATION								
	36 000	05/24/07	10/31/12	\$751 66	\$229 55	\$0 00	\$522 11	\$0 00
	77 000	05/24/07	12/13/12	\$1,636 42	\$490 99	\$0 00	\$1,145.43	\$0 00
	70 000	05/24/07	12/14/12	\$1,447 05	\$446 35	\$0 00	\$1,000 70	\$0 00
	76 000	05/24/07	12/20/12	\$1,593 66	\$484 61	\$0 00	\$1,109 05	\$0 00
	81 000	05/24/07	12/27/12	\$1,685 34	\$516 49	\$0 00	\$1,168 85	\$0 00
	38 000	06/25/07	12/27/12	\$790 66	\$232 77	\$0 00	\$557 89	\$0 00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2012

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FOUNDATION INC
VOYAGER MANAGED ACCOUNT
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WASHINGTON DC 20011

Morgan Stanley Smith Barney Holdings LLC
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Identification Number: 26-4310632

Taxpayer ID Number: 52-0784206
Account Number: 067 160231 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LKQ CORPORATION	54 000	06/25/07	12/28/12	\$1,119.44	\$330.78	\$0.00	\$788.66	\$0.00
	102,000	10/23/08	12/28/12	\$2,114.50	\$662.46	\$0.00	\$1,452.04	\$0.00
Security Subtotal	534,000			\$11,138.73	\$3,394.00	\$0.00	\$7,744.73	\$0.00
MANHATTAN ASSOC INC		CUSIP: 562750109	Symbol (Box 1d): MANH					
	2 000	12/28/07	10/31/12	\$120.45	\$52.27	\$0.00	\$68.18	\$0.00
MERIDIAN BIOSCIENCE INC		CUSIP: 589584101	Symbol (Box 1d): VIVO					
	7 000	10/24/08	10/31/12	\$138.38	\$145.24	\$0.00	(\$6.86)	\$0.00
MOBILE MINI INC		CUSIP: 60740F105	Symbol (Box 1d): MINI					
	9 000	03/26/07	10/31/12	\$155.78	\$246.19	\$0.00	(\$90.41)	\$0.00
MONRO MUFFLER & BRAKE		CUSIP: 610236101	Symbol (Box 1d): MNRO					
	8 000	10/19/11	10/31/12	\$272.79	\$272.80	\$0.00	(\$0.01)	\$0.00
MWI VETERINARY SUPPLY INC		CUSIP: 55402X105	Symbol (Box 1d): MWIV					
	6 000	03/30/07	10/31/12	\$622.90	\$196.22	\$0.00	\$426.68	\$0.00
NEOGEN CP		CUSIP: 640491106	Symbol (Box 1d): NEOG					
	5 000	09/23/10	10/31/12	\$208.84	\$165.00	\$0.00	\$43.84	\$0.00
NIC INC		CUSIP: 62914B100	Symbol (Box 1d): EGOV					
	11 000	10/04/11	10/31/12	\$154.54	\$125.29	\$0.00	\$29.25	\$0.00
NUVASIVE INC		CUSIP: 670704105	Symbol (Box 1d): NUVA					
	1 000	07/31/09	10/31/12	\$14.05	\$41.40	\$0.00	(\$27.35)	\$0.00
	10,000	12/16/09	10/31/12	\$140.49	\$304.14	\$0.00	(\$163.65)	\$0.00
Security Subtotal	11,000			\$154.54	\$345.54	\$0.00	(\$191.00)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
 FOUNDATION INC
 VOYAGER MANAGED ACCOUNT
 1240-A UPSHUR STREET, NW
 WASHINGTON DC 20011

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 675232102 Symbol (Box 1d): OIL								
OCEANEERING INTL INC	45 000	12/22/04	09/19/12	\$2,485.01	\$419.37	\$0.00	\$2,065.64	\$0.00
	10 000	05/11/05	09/19/12	\$552.22	\$85.55	\$0.00	\$466.67	\$0.00
	23 000	05/11/05	09/21/12	\$1,296.23	\$196.77	\$0.00	\$1,099.46	\$0.00
	17 000	05/11/05	09/25/12	\$949.86	\$145.44	\$0.00	\$804.42	\$0.00
	34 000	05/11/05	09/27/12	\$1,888.95	\$290.88	\$0.00	\$1,598.07	\$0.00
	5 000	06/30/11	09/27/12	\$277.79	\$202.54	\$0.00	\$75.25	\$0.00
Security Subtotal	134 000			\$7,450.06	\$1,340.55	\$0.00	\$6,109.51	\$0.00
CUSIP: 683715106 Symbol (Box 1d): OTEX								
OPEN TEXT CORP	5 000	01/20/04	10/31/12	\$266.24	\$126.50	\$0.00	\$139.74	\$0.00
CUSIP: 699462107 Symbol (Box 1d): PRXL								
PAREXEL INTL CORP	9 000	11/26/07	10/31/12	\$271.88	\$196.59	\$0.00	\$75.29	\$0.00
CUSIP: 705560100 Symbol (Box 1d):								
PEET'S COFFEE & TEA	3 000	09/29/05	10/15/12	\$220.38	\$89.67	\$0.00	\$130.71	\$0.00
	10 000	11/03/05	10/15/12	\$734.59	\$296.97	\$0.00	\$437.62	\$0.00
	15 000	11/15/05	10/15/12	\$1,101.89	\$431.71	\$0.00	\$670.18	\$0.00
	13 000	11/30/05	10/15/12	\$954.97	\$405.47	\$0.00	\$549.50	\$0.00
	8 000	12/30/05	10/15/12	\$587.67	\$247.68	\$0.00	\$339.99	\$0.00
Security Subtotal	49 000			\$3,599.50	\$1,471.50	\$0.00	\$2,128.00	\$0.00
CUSIP: 705573103 Symbol (Box 1d): PEGA								
PEGASYSTEMS INC	7 000	09/29/10	10/31/12	\$163.65	\$210.34	\$0.00	(\$46.69)	\$0.00
CUSIP: 71375U101 Symbol (Box 1d): PRFT								
PERFICANT INC	17 000	07/25/07	10/31/12	\$188.35	\$365.78	\$0.00	(\$177.43)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
VOYAGER MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Morgan Stanley Smith Barney Holdings LLC
HARBORSIDE Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 52-0784206
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

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Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

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PLEXUS CORP	13 000	CUSIP: 729132100	Symbol (Box 1d): PLXS	10/31/12	\$349.43	\$254.41	\$95.02	\$0.00
PRICESMART INC	5 000	CUSIP: 741511109	Symbol (Box 1d): PSMT	10/31/12	\$408.69	\$163.45	\$245.24	\$0.00
PSS WORLD MEDICAL INC	6 000	CUSIP: 69366A100	Symbol (Box 1d): PSSI	10/31/12	\$172.07	\$116.84	\$55.23	\$0.00
RAYMOND JAMES FINCL INC	12 000	CUSIP: 754730109	Symbol (Box 1d): RJF	10/31/12	\$452.62	\$206.88	\$245.74	\$0.00
	15 000	01/20/04	12/26/12	\$577.19	\$258.60	\$0.00	\$318.59	\$0.00
	22 000	01/20/04	12/31/12	\$837.18	\$379.28	\$0.00	\$457.90	\$0.00
Security Subtotal	49 000			\$1,866.99	\$844.76	\$0.00	\$1,022.23	\$0.00
ROFIN-SINAR TECH INC OLD	10 000	CUSIP: 775043102	Symbol (Box 1d): RSTI	10/31/12	\$181.49	\$259.29	(\$77.80)	\$0.00
SCANSOURCE INC	8 000	CUSIP: 806037107	Symbol (Box 1d): SCSC	10/31/12	\$232.71	\$218.96	\$13.75	\$0.00
SHFL ENTERTAINMENT INC	12 000	CUSIP: 78423R105	Symbol (Box 1d): SHFL	10/31/12	\$167.15	\$196.16	(\$29.01)	\$0.00
SIMPSON MANUFACTURING CO INC	5 000	CUSIP: 829073105	Symbol (Box 1d): SSD	10/31/12	\$151.04	\$125.08	\$25.96	\$0.00
SWIFT ENERGY CO (HOLDING CO)	7 000	CUSIP: 870738101	Symbol (Box 1d): SFY	10/31/12	\$115.56	\$309.92	(\$194.36)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorJersey City, NJ 07311
Identification Number

26-4310632

PAUL & ANNETTA HIMMELFARB
FOUNDATION INCVOYAGER MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
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52-0784206

067 160231 101

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
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TELEDYNE TECH INC	5 000	10/22/08	10/31/12	\$316.29	\$221.80	\$0.00	\$94.49	\$0.00
TELEFLEX INC	3 000	01/20/04	10/31/12	\$202.22	\$148.80	\$0.00	\$53.42	\$0.00
TETRA TECH INC	6 000	01/20/04	10/31/12	\$154.49	\$154.44	\$0.00	\$0.05	\$0.00
TETRA TECHNOLOGIES INC DEL	72 000	09/25/07	09/28/12	\$437.15	\$1,555.31	\$0.00	(\$1,118.16)	\$0.00
	57 000	10/11/07	09/28/12	\$346.07	\$1,270.76	\$0.00	(\$924.69)	\$0.00
Security Subtotal	129 000			\$783.22	\$2,826.07	\$0.00	(\$2,042.85)	\$0.00
THE ADVISORY BOARD CO	11 000	06/03/08	10/31/12	\$511.81	\$251.82	\$0.00	\$259.99	\$0.00
TOWER GRP INC	7 000	09/30/08	10/31/12	\$125.71	\$164.22	\$0.00	(\$38.51)	\$0.00
TRACTOR SUPPLY CO	17 000	03/16/05	06/18/12	\$1,487.64	\$357.40	\$0.00	\$1,130.24	\$0.00
	19 000	03/28/05	06/18/12	\$1,662.66	\$417.62	\$0.00	\$1,245.04	\$0.00
	11 000	03/28/05	06/20/12	\$993.37	\$241.78	\$0.00	\$751.59	\$0.00
	22 000	02/27/07	06/20/12	\$1,986.74	\$556.01	\$0.00	\$1,430.73	\$0.00
	32 000	02/27/07	06/28/12	\$2,606.88	\$808.75	\$0.00	\$1,798.13	\$0.00

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Corporate Tax Statement Tax Year 2012

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TRACTOR SUPPLY CO	6 000	06/22/07	06/28/12	\$488.79	\$159.33	\$0.00	\$329.46	\$0.00
	10 000	06/22/07	06/28/12	\$814.65	\$265.55	\$0.00	\$549.10	\$0.00
Security Subtotal	117,000			\$10,040.73	\$2,806.44	\$0.00	\$7,234.29	\$0.00
TRUE RELIGION APPAREL INC		CUSIP: 89784N104	Symbol (Box 1d): TRLG					
	8 000	12/17/09	10/31/12	\$204.63	\$147.87	\$0.00	\$56.76	\$0.00
ULTIMATE SOFTWARE GP INC		CUSIP: 90385D107	Symbol (Box 1d): ULTI					
	5 000	03/08/11	10/31/12	\$492.13	\$288.35	\$0.00	\$203.78	\$0.00
UNITED NATURAL FOODS INC		CUSIP: 911163103	Symbol (Box 1d): UNFI					
	11 000	01/20/04	10/31/12	\$588.59	\$219.56	\$0.00	\$369.03	\$0.00
VALSPAR CORP		CUSIP: 920355104	Symbol (Box 1d): VAL					
	6 000	01/20/04	10/31/12	\$333.95	\$141.75	\$0.00	\$192.20	\$0.00
VOLCANO CORP		CUSIP: 928645100	Symbol (Box 1d): VOLC					
	8 000	08/25/10	10/31/12	\$226.15	\$178.96	\$0.00	\$47.19	\$0.00
WEST PHARMACEUTICAL SVCS INC		CUSIP: 955306105	Symbol (Box 1d): WST					
	4 000	06/22/06	10/31/12	\$212.19	\$136.66	\$0.00	\$75.53	\$0.00
WOODWARD INC COM		CUSIP: 980745103	Symbol (Box 1d): WWD					
	5 000	12/04/08	10/31/12	\$166.25	\$97.23	\$0.00	\$69.02	\$0.00
	5 000	12/05/08	10/31/12	\$166.24	\$96.72	\$0.00	\$69.52	\$0.00
Security Subtotal	10 000			\$332.49	\$193.95	\$0.00	\$138.54	\$0.00

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Corporate Tax Statement Tax Year 2012

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DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ZEBRA TECH CL-A	7 000	01/20/04	10/31/12	\$249.33	\$316.91	\$0.00	(\$67.58)	\$0.00
Total Long Term Noncovered Securities				\$77,823.91	\$35,181.57	\$0.00	\$42,642.34	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$80,811.42	\$37,876.77	\$14.51	\$42,934.65	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2)				\$80,811.42				
Total Cost or Other Basis (Box 3)					\$1,720.83			
Total Wash Sale Loss Disallowed (Box 5)						\$14.51		
Total Fed Tax Withheld (Box 4)								\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
PENN CAPITAL MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Taxpayer ID Number. 52-0784206
Account Number 067 160235 101

Customer Service: 866-324-6088

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACME PACKET INC	38 000	06/04/12	08/10/12	\$651 34	\$838 66	\$0 00	(\$187 32)	\$0 00
AES CORP								
		CUSIP: 00130H105	Symbol (Box 1d): AES					
	76 000	06/01/12	11/08/12	\$764.79	\$904 35	\$0 00	(\$139 56)	\$0 00
	72 000	06/21/12	11/08/12	\$724 53	\$895 74	\$0 00	(\$171 21)	\$0 00
	75 000	06/25/12	11/08/12	\$754 72	\$902 21	\$0 00	(\$147 49)	\$0 00
	63 000	07/24/12	11/08/12	\$633 97	\$769 89	\$0 00	(\$135 92)	\$0 00
Security Subtotal	286 000			\$2,878.01	\$3,472.19	\$0.00	(\$594.18)	\$0.00
ALLEGHENY TECH INC								
		CUSIP: 01741R102	Symbol (Box 1d): ATI					
	25 000	05/23/12	10/25/12	\$676 94	\$864 21	\$0 00	(\$187 27)	\$0 00
	32 000	08/31/12	10/25/12	\$866 48	\$946 65	\$0 00	(\$80 17)	\$0 00
	61 000	09/07/12	10/25/12	\$1,651.74	\$1,963 66	\$0 00	(\$311 92)	\$0 00
Security Subtotal	118 000			\$3,195.16	\$3,774.52	\$0.00	(\$579.36)	\$0.00
CITRIX SYSTEMS INC								
		CUSIP: 177376100	Symbol (Box 1d): CTXS					
	25 000	08/07/12	08/22/12	\$1,939.53	\$1,849 91	\$0 00	\$89 62	\$0 00
CLIFFS NATURAL RESOURCES INC								
		CUSIP: 18683K101	Symbol (Box 1d): CLF					
	17 000	05/11/12	09/05/12	\$572 65	\$945 91	\$0 00	(\$373 26)	\$0 00
COACH INC								
		CUSIP: 189754104	Symbol (Box 1d): COH					
	16 000	08/10/12	12/03/12	\$929 18	\$873 36	\$0 00	\$55 82	\$0 00

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Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
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Taxpayer ID Number 52-0784206
Account Number 067 160235 101

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
DARDEN RESTAURANTS		CUSIP: 237194105	Symbol (Box 1d): DRI					
	42 000	06/12/12	12/20/12	\$1,932.01	\$2,074.10	\$0.00	(\$142.09)	\$0.00
	18 000	08/08/12	12/20/12	\$828.00	\$959.94	\$0.00	(\$131.94)	\$0.00
Security Subtotal	60.000			\$2,760.01	\$3,034.04	\$0.00	(\$274.03)	\$0.00
ENTERGY CORP NEW		CUSIP: 29364G103	Symbol (Box 1d): ETR					
	27 000	06/06/12	09/07/12	\$1,841.20	\$1,755.53	\$0.00	\$85.67	\$0.00
	13 000	06/06/12	09/11/12	\$882.54	\$845.26	\$0.00	\$37.28	\$0.00
	13 000	06/14/12	09/11/12	\$882.54	\$860.46	\$0.00	\$22.08	\$0.00
	13 000	06/26/12	09/11/12	\$882.55	\$866.58	\$0.00	\$15.97	\$0.00
Security Subtotal	66.000			\$4,488.83	\$4,327.83	\$0.00	\$161.00	\$0.00
F5 NETWORKS INC		CUSIP: 315616102	Symbol (Box 1d): FFIV					
	28 000	09/18/12	11/02/12	\$2,384.90	\$3,064.42	\$0.00	(\$679.52)	\$0.00
	9 000	09/19/12	11/02/12	\$766.58	\$990.43	\$0.00	(\$223.85)	\$0.00
Security Subtotal	37.000			\$3,151.48	\$4,054.85	\$0.00	(\$903.37)	\$0.00
GRAFTECH INTL LTD HLDG CO		CUSIP: 384313102	Symbol (Box 1d): GTI					
	179 000	06/01/12	09/07/12	\$1,755.77	\$1,781.95	\$0.00	(\$26.18)	\$0.00
INFORMATICA CORP		CUSIP: 45666Q102	Symbol (Box 1d): INFA					
	26 000	09/07/12	12/03/12	\$696.90	\$941.82	\$0.00	(\$244.92)	\$0.00
	28 000	09/19/12	12/03/12	\$750.51	\$1,037.27	\$0.00	(\$286.76)	\$0.00
	56 000	09/26/12	12/03/12	\$1,501.01	\$1,921.41	\$0.00	(\$420.40)	\$0.00
Security Subtotal	110.000			\$2,948.42	\$3,900.50	\$0.00	(\$952.08)	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement
Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NETAPP INC COM								
		CUSIP: 64110D104		Symbol (Box 1d): NTAP				
	29 000	07/25/12	10/24/12	\$815 17	\$914 45	\$0 00	(\$99 28)	\$0 00
	29 000	08/17/12	10/24/12	\$815 17	\$968 59	\$0 00	(\$153 42)	\$0 00
Security Subtotal	58 000			\$1,630.34	\$1,883.04	\$0.00	(\$252.70)	\$0.00
PLAINS EXPL & PRDTN CO								
	24 000	CUSIP: 726505100		Symbol (Box 1d): PXP				
		07/03/12	09/12/12	\$894 14	\$909 09	\$0 00	(\$14 95)	\$0 00
PLUM CREEK TIMBER CO INC REI								
		CUSIP: 729251108		Symbol (Box 1d): PCL				
	22 000	05/18/12	09/14/12	\$960 83	\$807 80	\$0 00	\$153 03	\$0 00
	24 000	05/18/12	10/01/12	\$1,031 47	\$881 24	\$0 00	\$150 23	\$0 00
	27 000	05/18/12	12/13/12	\$1,155 93	\$991 40	\$0 00	\$164 53	\$0 00
	29 000	05/23/12	12/13/12	\$1,241 56	\$1,065 66	\$0 00	\$175 90	\$0 00
	25 000	05/31/12	12/13/12	\$1,070 31	\$907 29	\$0 00	\$163 02	\$0 00
	24 000	06/05/12	12/13/12	\$1,027 50	\$868 28	\$0 00	\$159 22	\$0 00
Security Subtotal	151 000			\$6,487.60	\$5,521.67	\$0.00	\$965.93	\$0.00
SEAGATE TECHNOLOGY PLC								
		CUSIP: G7945M107		Symbol (Box 1d): STX				
	45 000	06/25/12	06/26/12	\$1,083 53	\$1,048 50	\$0 00	\$35 03	\$0 00
SKYWORKS SOLUTIONS INC								
		CUSIP: 83088M102		Symbol (Box 1d): SWKS				
	134 000	09/19/12	12/11/12	\$3,038 90	\$3,957 05	\$0 00	(\$918 15)	\$0 00
	32 000	10/01/12	12/11/12	\$725 71	\$738 05	\$0 00	(\$12 34)	\$0 00
Security Subtotal	166 000			\$3,764.61	\$4,695.10	\$0.00	(\$930.49)	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 845467109 Symbol (Box 1d): SWN								
SOUTHWESTERN ENERGY COMPANY	78 000	09/12/12	12/20/12	\$2,635.34	\$2,722.82	\$0.00	(\$87.48)	\$0.00
	28 000	09/13/12	12/20/12	\$946.02	\$1,002.06	\$0.00	(\$56.04)	\$0.00
	28 000	10/01/12	12/20/12	\$946.02	\$997.11	\$0.00	(\$51.09)	\$0.00
	25 000	11/23/12	12/20/12	\$844.65	\$908.15	\$0.00	(\$63.50)	\$0.00
Security Subtotal	159 000			\$5,372.03	\$5,630.14	\$0.00	(\$258.11)	\$0.00
CUSIP: 854502101 Symbol (Box 1d): SWK								
STANLEY BLACK & DECKER INC	26 000	09/06/12	11/27/12	\$1,854.95	\$1,844.75	\$0.00	\$10.20	\$0.00
CUSIP: 903293405 Symbol (Box 1d): USG								
USG CORPORATION NEW 5/93	35 000	10/11/12	11/02/12	\$957.56	\$752.13	\$0.00	\$205.43	\$0.00
	35 000	10/11/12	11/20/12	\$915.62	\$752.13	\$0.00	\$163.49	\$0.00
Security Subtotal	70 000			\$1,873.18	\$1,504.26	\$0.00	\$368.92	\$0.00
Total Short Term Covered Securities				\$48,230.76	\$51,890.27	\$0.00	(\$3,659.51)	\$0.00

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part I with box B checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 004764106 Symbol (Box 1d): APKT								
ACME PACKET INC	31 000	01/24/12	05/15/12	\$776.24	\$966.66	\$0.00	(\$190.42)	\$0.00
	30 000	01/24/12	08/10/12	\$514.22	\$935.48	\$0.00	(\$421.26)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
 Harborside Financial Center
 Plaza 2 2nd Floor
 Jersey City, NJ 07311
 Identification Number: 26-4310632
 Taxpayer ID Number: 52-0784206
 Account Number: 067 160235 101
 Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACME PACKET INC	44,000	01/26/12	08/10/12	\$754.19	\$1,341.01	\$0.00	(\$586.82)	\$0.00
Security Subtotal	105,000			\$2,044.65	\$3,243.15	\$0.00	(\$1,198.50)	\$0.00
AES CORP		CUSIP: 00130H105	Symbol (Box 1d): AES					
	156,000	03/29/12	10/12/12	\$1,651.91	\$2,011.76	\$0.00	(\$359.85)	\$0.00
	15,000	05/02/12	10/12/12	\$158.84	\$184.95	\$0.00	(\$26.11)	\$0.00
	63,000	05/02/12	11/08/12	\$633.97	\$776.79	\$0.00	(\$142.82)	\$0.00
Security Subtotal	234,000			\$2,444.72	\$2,973.50	\$0.00	(\$528.78)	\$0.00
ALLEGHENY TECH INC		CUSIP: 01741R102	Symbol (Box 1d): ATI					
	18,000	11/03/11	10/24/12	\$514.11	\$861.20	\$0.00	(\$347.09)	\$0.00
	19,000	01/25/12	10/24/12	\$542.68	\$941.49	\$0.00	(\$398.81)	\$0.00
	1,000	01/25/12	10/25/12	\$27.08	\$49.55	\$0.00	(\$22.47)	\$0.00
Security Subtotal	38,000			\$1,083.87	\$1,852.24	\$0.00	(\$768.37)	\$0.00
ARCH CAPITAL GROUP LTD		CUSIP: G0450A105	Symbol (Box 1d): ACGI					
	16,000	04/04/12	12/18/12	\$703.82	\$594.46	\$0.00	\$109.36	\$0.00
	8,000	04/05/12	12/18/12	\$351.91	\$298.30	\$0.00	\$53.61	\$0.00
Security Subtotal	24,000			\$1,055.73	\$892.76	\$0.00	\$162.97	\$0.00
ATMEL CORPORATION		CUSIP: 049513104	Symbol (Box 1d): ATML					
	296,000	11/02/11	07/17/12	\$1,764.27	\$3,054.84	\$0.00	(\$1,290.57)	\$0.00
	61,000	11/02/11	07/19/12	\$388.92	\$629.54	\$0.00	(\$240.62)	\$0.00
	75,000	11/04/11	07/19/12	\$478.17	\$790.09	\$0.00	(\$311.92)	\$0.00
	12,000	11/04/11	08/10/12	\$70.80	\$126.41	\$0.00	(\$55.61)	\$0.00
	89,000	01/23/12	08/10/12	\$525.11	\$900.14	\$0.00	(\$375.03)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ATMEL CORPORATION	96 000	02/06/12	08/10/12	\$566.41	\$981.91	\$0.00	(\$415.50)	\$0.00
	99 000	02/15/12	08/10/12	\$584.10	\$1,005.95	\$0.00	(\$421.85)	\$0.00
Security Subtotal	728.000			\$4,377.78	\$7,488.88	\$0.00	(\$3,111.10)	\$0.00
BOSTON PROPERTIES INC		CUSIP: 101121101 Symbol (Box 1d): BXP						
	15 000	08/18/11	05/07/12	\$1,622.92	\$1,518.93	\$0.00	\$103.99	\$0.00
	8 000	09/14/11	09/12/12	\$903.94	\$809.14	\$0.00	\$94.80	\$0.00
Security Subtotal	23.000			\$2,526.86	\$2,328.07	\$0.00	\$198.79	\$0.00
BROCADE COMMUN SYSTEMS INC		CUSIP: 111621306 Symbol (Box 1d): BRCD						
	203 000	10/26/11	06/06/12	\$946.44	\$861.63	\$0.00	\$84.81	\$0.00
CABOT OIL & GAS CORP A		CUSIP: 127097103 Symbol (Box 1d): COG						
	21 000	01/31/12	10/01/12	\$958.82	\$671.68	\$0.00	\$287.14	\$0.00
	27 000	01/31/12	11/23/12	\$1,328.62	\$863.59	\$0.00	\$465.03	\$0.00
Security Subtotal	48.000			\$2,287.44	\$1,535.27	\$0.00	\$752.17	\$0.00
CAMDEN PROPERTY TRUST		CUSIP: 133131102 Symbol (Box 1d): CPT						
	15 000	07/26/11	05/08/12	\$1,025.63	\$1,032.87	\$0.00	(\$7.24)	\$0.00
	17 000	07/26/11	05/23/12	\$1,093.77	\$1,170.59	\$0.00	(\$76.82)	\$0.00
	14 000	07/26/11	07/03/12	\$965.47	\$964.01	\$0.00	\$1.46	\$0.00
	12 000	08/10/11	07/27/12	\$854.42	\$744.27	\$0.00	\$110.15	\$0.00
	12 000	08/24/11	08/23/12	\$836.68	\$764.65	\$0.00	\$72.03	\$0.00
	16 000	01/12/12	08/23/12	\$1,115.56	\$953.20	\$0.00	\$162.36	\$0.00
Security Subtotal	86.000			\$5,891.53	\$5,629.59	\$0.00	\$261.94	\$0.00

CONTINUED ON NEXT PAGE

Morgan Stanley

Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

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Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

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CF INDUSTRIES HOLDINGS, INC								
		CUSIP: 125269100		Symbol (Box 1d): CF				
	6 000	07/18/11	06/26/12	\$1,135.73	\$911.97	\$0.00	\$223.76	\$0.00
	6 000	09/22/11	08/03/12	\$1,229.79	\$877.94	\$0.00	\$351.85	\$0.00
	5 000	10/06/11	08/03/12	\$1,024.83	\$706.09	\$0.00	\$318.74	\$0.00
	2 000	10/06/11	08/07/12	\$410.91	\$282.43	\$0.00	\$128.48	\$0.00
Security Subtotal	19 000			\$3,801.26	\$2,778.43	\$0.00	\$1,022.83	\$0.00
CLIFFS NATURAL RESOURCES INC								
		CUSIP: 18683K101		Symbol (Box 1d): CLF				
	39 000	01/19/12	09/05/12	\$1,313.71	\$2,815.54	\$0.00	(\$1,501.83)	\$0.00
	15 000	02/17/12	09/05/12	\$505.27	\$991.92	\$0.00	(\$486.65)	\$0.00
	16 000	02/29/12	09/05/12	\$538.96	\$1,024.01	\$0.00	(\$485.05)	\$0.00
	16 000	03/06/12	09/05/12	\$538.96	\$963.72	\$0.00	(\$424.76)	\$0.00
Security Subtotal	86 000			\$2,896.90	\$5,795.19	\$0.00	(\$2,898.29)	\$0.00
DISCOVERY COMMUNICATIONS SER A								
		CUSIP: 25470F104		Symbol (Box 1d): DISCA				
	36 000	08/10/11	08/08/12	\$1,938.42	\$1,316.46	\$0.00	\$621.96	\$0.00
EDWARD LIFESCIENCES CORP								
		CUSIP: 28176E108		Symbol (Box 1d): EW				
	9 000	04/18/12	06/28/12	\$904.24	\$652.49	\$0.00	\$251.75	\$0.00
	8 000	04/18/12	09/28/12	\$841.24	\$579.99	\$0.00	\$261.25	\$0.00
Security Subtotal	17 000			\$1,745.48	\$1,232.48	\$0.00	\$513.00	\$0.00
H J HEINZ CO								
		CUSIP: 423074103		Symbol (Box 1d): HNZ				
	17 000	01/06/12	11/19/12	\$994.10	\$902.08	\$0.00	\$92.02	\$0.00
	18 000	01/11/12	11/19/12	\$1,052.57	\$951.65	\$0.00	\$100.92	\$0.00
	19 000	05/02/12	11/19/12	\$1,111.05	\$1,015.55	\$0.00	\$95.50	\$0.00
Security Subtotal	54 000			\$3,157.72	\$2,869.28	\$0.00	\$288.44	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
PENN CAPITAL MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Taxpayer ID Number: 52-0784206
Account Number: 067 160235 101

Customer Service: 866-324-6088

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HERTZ GLOBAL HOLDINGS, INC	90 000	09/22/11	09/13/12	\$1,306.18	\$804.31	\$0.00	\$501.87	\$0.00
CUSIP: 42805T105 Symbol (Box 1d): HTZ								
INFORMATICA CORP	21 000	01/18/12	05/11/12	\$959.26	\$776.44	\$0.00	\$182.82	\$0.00
	56 000	01/18/12	10/05/12	\$1,530.55	\$2,070.51	\$0.00	(\$539.96)	\$0.00
	10 000	01/20/12	10/05/12	\$273.31	\$397.35	\$0.00	(\$124.04)	\$0.00
	14 000	01/20/12	12/03/12	\$375.25	\$556.29	\$0.00	(\$181.04)	\$0.00
	23 000	01/23/12	12/03/12	\$616.49	\$907.60	\$0.00	(\$291.11)	\$0.00
Security Subtotal	124,000			\$3,754.86	\$4,708.19	\$0.00	(\$953.33)	\$0.00
CUSIP: 460146103 Symbol (Box 1d): IP								
INTERNATIONAL PAPER CO	27 000	03/07/12	09/06/12	\$956.88	\$929.03	\$0.00	\$27.85	\$0.00
	27 000	03/07/12	09/19/12	\$921.53	\$929.03	\$0.00	(\$7.50)	\$0.00
	2 000	03/07/12	09/24/12	\$73.36	\$68.82	\$0.00	\$4.54	\$0.00
	20 000	04/09/12	09/24/12	\$733.60	\$682.04	\$0.00	\$51.56	\$0.00
	9 000	04/09/12	10/12/12	\$334.07	\$306.92	\$0.00	\$27.15	\$0.00
	23 000	04/20/12	10/12/12	\$853.72	\$764.71	\$0.00	\$89.01	\$0.00
	7 000	04/20/12	11/26/12	\$251.85	\$232.74	\$0.00	\$19.11	\$0.00
	20 000	05/02/12	11/26/12	\$719.56	\$667.04	\$0.00	\$52.52	\$0.00
Security Subtotal	135,000			\$4,844.57	\$4,580.33	\$0.00	\$264.24	\$0.00
CUSIP: 460690100 Symbol (Box 1d): IPG								
INTERPUBLIC GROUP OF COS INC	94 000	01/03/12	12/12/12	\$1,030.70	\$957.29	\$0.00	\$73.41	\$0.00
	92 000	01/26/12	12/12/12	\$1,008.79	\$956.01	\$0.00	\$52.78	\$0.00
Security Subtotal	186,000			\$2,039.49	\$1,913.30	\$0.00	\$126.19	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
PENN CAPITAL MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Identification Number: 26-4310632

Taxpayer ID Number: 52-0784206

Account Number: 067 160235 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 461202103 Symbol (Box 1d): INTU								
INTUIT INC	69.000	11/21/11	06/04/12	\$3,785.92	\$3,485.62	\$0.00	\$300.30	\$0.00
	17.000	02/23/12	06/04/12	\$932.76	\$998.36	\$0.00	(\$65.60)	\$0.00
Security Subtotal	86.000			\$4,718.68	\$4,483.98	\$0.00	\$234.70	\$0.00
CUSIP: 46612J507 Symbol (Box 1d): JDSU								
JDS UNIPHASE CP NEW	86.000	10/18/11	08/13/12	\$948.40	\$906.95	\$0.00	\$41.45	\$0.00
CUSIP: 48203R104 Symbol (Box 1d): JNPR								
JUNIPER NETWORKS	124.000	10/20/11	06/15/12	\$2,025.30	\$2,495.88	\$0.00	(\$470.58)	\$0.00
	45.000	01/31/12	06/15/12	\$734.99	\$946.98	\$0.00	(\$211.99)	\$0.00
Security Subtotal	169.000			\$2,760.29	\$3,442.86	\$0.00	(\$682.57)	\$0.00
CUSIP: 64110D104 Symbol (Box 1d): NTAP								
NETAPP INC COM	28.000	01/24/12	05/09/12	\$1,057.67	\$1,051.55	\$0.00	\$6.12	\$0.00
	48.000	01/24/12	09/19/12	\$1,712.16	\$1,802.67	\$0.00	(\$90.51)	\$0.00
	8.000	02/08/12	09/19/12	\$285.36	\$318.83	\$0.00	(\$33.47)	\$0.00
	17.000	02/08/12	10/11/12	\$503.68	\$677.50	\$0.00	(\$173.82)	\$0.00
	25.000	02/13/12	10/11/12	\$740.70	\$980.24	\$0.00	(\$239.54)	\$0.00
	25.000	02/15/12	10/11/12	\$740.70	\$996.64	\$0.00	(\$255.94)	\$0.00
	27.000	04/12/12	10/11/12	\$799.96	\$1,106.78	\$0.00	(\$306.82)	\$0.00
	16.000	04/19/12	10/11/12	\$474.06	\$638.60	\$0.00	(\$164.54)	\$0.00
	6.000	04/19/12	10/24/12	\$188.66	\$239.47	\$0.00	(\$70.81)	\$0.00
Security Subtotal	200.000			\$6,482.95	\$7,812.28	\$0.00	(\$1,329.33)	\$0.00

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Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
PENN CAPITAL MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
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Account Number 067 160235 101
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 62913F201 Symbol (Box 1d): NIHD								
NII HOLDINGS INC CL B	38 000	11/01/11	06/21/12	\$399.93	\$889.91	\$0.00	(\$489.98)	\$0.00
	48 000	02/23/12	06/21/12	\$505.17	\$1,021.19	\$0.00	(\$516.02)	\$0.00
	51.000	04/19/12	06/21/12	\$536.75	\$989.00	\$0.00	(\$452.25)	\$0.00
Security Subtotal	137.000			\$1,441.85	\$2,900.10	\$0.00	(\$1,458.25)	\$0.00
CUSIP: 701094104 Symbol (Box 1d): PH								
PARKER HANNIFIN CORP	11.000	01/05/12	09/19/12	\$942.76	\$849.33	\$0.00	\$93.43	\$0.00
CUSIP: 726505100 Symbol (Box 1d): PXP								
PLAINS EXPL & PRDTN CO	72 000	05/03/12	09/12/12	\$2,682.42	\$3,003.44	\$0.00	(\$321.02)	\$0.00
CUSIP: 751028101 Symbol (Box 1d): RAH								
RALCORP HOLDINGS NEW	12 000	09/21/11	07/11/12	\$792.15	\$773.58	\$0.00	\$18.57	\$0.00
	10 000	09/21/11	07/13/12	\$622.52	\$644.65	\$0.00	(\$22.13)	\$0.00
	11 000	09/21/11	08/13/12	\$705.35	\$709.12	\$0.00	(\$3.77)	\$0.00
	11 000	10/03/11	08/13/12	\$705.35	\$708.48	\$0.00	(\$3.13)	\$0.00
	11 000	10/06/11	08/13/12	\$705.35	\$715.82	\$0.00	(\$10.47)	\$0.00
	9 000	01/23/12	08/13/12	\$577.12	\$644.74	\$0.00	(\$67.62)	\$0.00
Security Subtotal	64.000			\$4,107.84	\$4,196.39	\$0.00	(\$88.55)	\$0.00
CUSIP: 774341101 Symbol (Box 1d): COL								
ROCKWELL COLLINS INC	21 000	02/27/12	09/28/12	\$1,113.21	\$1,252.53	\$0.00	(\$139.32)	\$0.00
CUSIP: 832698405 Symbol (Box 1d): SJM								
SMUCKER JM CO COM NEW	10 000	08/11/11	06/05/12	\$746.95	\$732.24	\$0.00	\$14.71	\$0.00
	3 000	08/18/11	06/05/12	\$224.08	\$211.54	\$0.00	\$12.54	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
PENN CAPITAL MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Taxpayer ID Number: 52-0784206
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8849 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SMUCKER JM CO COM NEW	11 000	09/13/11	08/28/12	\$933.29	\$783.76	\$0.00	\$149.53	\$0.00
	2 000	09/22/11	08/28/12	\$169.68	\$144.71	\$0.00	\$24.97	\$0.00
	9 000	09/22/11	09/19/12	\$780.32	\$651.20	\$0.00	\$129.12	\$0.00
	2 000	02/16/12	09/19/12	\$173.41	\$141.53	\$0.00	\$31.88	\$0.00
Security Subtotal	37.000			\$3,027.73	\$2,664.98	\$0.00	\$362.75	\$0.00
CUSIP: 886547108 Symbol (Box 1d): TIF								
TIFFANY & COMPANY NEW	19 000	07/07/11	05/11/12	\$1,200.10	\$1,584.65	\$0.00	(\$384.55)	\$0.00
	19 000	07/07/11	05/24/12	\$1,070.02	\$1,584.65	\$0.00	(\$514.63)	\$0.00
	2 000	07/15/11	05/24/12	\$112.63	\$162.85	\$0.00	(\$50.22)	\$0.00
	6 000	08/26/11	07/27/12	\$342.95	\$400.29	\$0.00	(\$57.34)	\$0.00
	6 000	08/26/11	08/01/12	\$333.01	\$400.29	\$0.00	(\$67.28)	\$0.00
	10 000	11/29/11	08/01/12	\$555.02	\$669.16	\$0.00	(\$114.14)	\$0.00
	2 000	11/29/11	08/08/12	\$114.77	\$133.83	\$0.00	(\$19.06)	\$0.00
	16 000	01/11/12	08/08/12	\$918.20	\$960.43	\$0.00	(\$42.23)	\$0.00
	19 000	02/08/12	08/08/12	\$1,090.36	\$1,213.93	\$0.00	(\$123.57)	\$0.00
Security Subtotal	99.000			\$5,737.06	\$7,110.08	\$0.00	(\$1,373.02)	\$0.00
CUSIP: 902494103 Symbol (Box 1d): TSN								
TYSON FOODS INC CL A	68 000	10/11/11	05/23/12	\$1,303.67	\$1,226.98	\$0.00	\$76.69	\$0.00
	69 000	10/11/11	06/21/12	\$1,321.03	\$1,245.03	\$0.00	\$76.00	\$0.00
	25 000	01/06/12	06/21/12	\$478.63	\$510.87	\$0.00	(\$32.24)	\$0.00
	18 000	01/06/12	07/10/12	\$305.45	\$367.82	\$0.00	(\$62.37)	\$0.00
	51 000	02/01/12	07/10/12	\$865.46	\$953.71	\$0.00	(\$88.25)	\$0.00
Security Subtotal	231.000			\$4,274.24	\$4,304.41	\$0.00	(\$30.17)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632

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FOUNDATION INC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: G94368100 Symbol (Box 1d): WCRX								
WARNER CHILCOTT PLC IRELAND A	78 000	01/03/12	11/26/12	\$918 06	\$1,258 36	\$0 00	(\$340.30)	\$0 00
	89 000	01/03/12	12/18/12	\$1,030 69	\$1,435 83	\$0 00	(\$405 14)	\$0 00
	57 000	01/09/12	12/18/12	\$680 11	\$926 90	\$0 00	(\$266 79)	\$0 00
	55 000	01/17/12	12/18/12	\$636 95	\$922 43	\$0 00	(\$285 48)	\$0 00
	53 000	02/01/12	12/18/12	\$613.78	\$898 46	\$0 00	(\$284 68)	\$0 00
Security Subtotal	332.000			\$3,859.59	\$5,441.98	\$0.00	(\$1,582.39)	\$0.00
CUSIP: H27013103 Symbol (Box 1d): WFT								
WEATHERFORD INTERNATIONAL LTD	113 000	01/30/12	06/14/12	\$1,399.01	\$1,863 95	\$0 00	(\$464 94)	\$0 00
	55 000	02/13/12	06/14/12	\$680.93	\$983.02	\$0 00	(\$302.09)	\$0 00
Security Subtotal	168.000			\$2,079.94	\$2,846.97	\$0.00	(\$767 03)	\$0.00
CUSIP: 966387102 Symbol (Box 1d): WLL								
WHITING PETROLEUM CORP	17 000	01/03/12	12/03/12	\$718.23	\$827 06	\$0 00	(\$108 83)	\$0 00
CUSIP: 98389B100 Symbol (Box 1d): XEL								
XCEL ENERGY INC	36 000	08/23/11	05/08/12	\$982 13	\$859 08	\$0 00	\$123 05	\$0 00
	20 000	08/23/11	05/21/12	\$541 13	\$477 26	\$0 00	\$63 87	\$0 00

CONTINUED ON NEXT PAGE

Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
XCEL ENERGY INC	33 000	09/12/11	05/21/12	\$892.86	\$781.52	\$0.00	\$111.34	\$0.00
	37,000	09/22/11	05/21/12	\$1,001.08	\$903.35	\$0.00	\$97.73	\$0.00
Security Subtotal	126,000			\$3,417.20	\$3,021.21	\$0.00	\$395.99	\$0.00
Total Short Term Noncovered Securities				\$96,456.29	\$107,867.61	\$0.00	(\$11,411.32)	\$0.00
Total Short Term Covered and Noncovered Securities				\$144,687.05	\$159,757.88	\$0.00	(\$15,070.83)	\$0.00

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AIRGAS INC	15 000	02/11/11	05/24/12	\$1,331.65	\$958.92	\$0.00	\$372.73	\$0.00
	3 000	02/11/11	06/11/12	\$259.94	\$191.78	\$0.00	\$68.16	\$0.00
	6 000	02/16/11	06/11/12	\$519.87	\$376.49	\$0.00	\$143.38	\$0.00
	11 000	02/16/11	09/19/12	\$911.30	\$690.22	\$0.00	\$221.08	\$0.00
	6 000	04/01/11	09/19/12	\$497.08	\$401.01	\$0.00	\$96.07	\$0.00
	10 000	04/01/11	09/28/12	\$818.87	\$688.35	\$0.00	\$150.52	\$0.00
	14 000	08/05/11	09/28/12	\$1,146.42	\$872.19	\$0.00	\$274.23	\$0.00
Security Subtotal	65,000			\$5,485.13	\$4,158.96	\$0.00	\$1,326.17	\$0.00
ALLEGHENY TECH INC	53 000	06/20/11	10/24/12	\$1,513.77	\$3,165.32	\$0.00	(\$1,651.55)	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
PENN CAPITAL MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number: 52-0784206
Account Number: 067 160235 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 03027X100 Symbol (Box 1d): AMT								
AMERICAN TOWER REIT COM	11 000	05/19/06	05/18/12	\$710.92	\$321.75	\$0.00	\$389.17	\$0.00
	21 000	03/25/11	05/18/12	\$1,357.20	\$1,041.75	\$0.00	\$315.45	\$0.00
Security Subtotal	32.000			\$2,068.12	\$1,363.50	\$0.00	\$704.62	\$0.00
CUSIP: 05874B107 Symbol (Box 1d): BYI								
BALLY TECHNOLOGIES INC	20.000	05/10/11	06/14/12	\$927.45	\$819.05	\$0.00	\$108.40	\$0.00
	28.000	05/10/11	08/16/12	\$1,222.65	\$1,146.67	\$0.00	\$75.98	\$0.00
Security Subtotal	48.000			\$2,150.10	\$1,965.72	\$0.00	\$184.38	\$0.00
CUSIP: 101121101 Symbol (Box 1d): BXP								
BOSTON PROPERTIES INC	17 000	08/18/11	08/31/12	\$1,904.77	\$1,721.45	\$0.00	\$183.32	\$0.00
	2 000	08/18/11	09/12/12	\$225.98	\$202.52	\$0.00	\$23.46	\$0.00
	8.000	08/23/11	09/12/12	\$903.94	\$795.76	\$0.00	\$108.18	\$0.00
Security Subtotal	27.000			\$3,034.69	\$2,719.73	\$0.00	\$314.96	\$0.00
CUSIP: 111621306 Symbol (Box 1d): BRCD								
BROCADE COMMUN SYSTEMS INC	105 000	10/25/10	06/05/12	\$453.06	\$620.74	\$0.00	(\$167.68)	\$0.00
	97 000	11/08/10	06/05/12	\$418.55	\$592.27	\$0.00	(\$173.72)	\$0.00
	57 000	11/08/10	06/06/12	\$265.75	\$348.04	\$0.00	(\$82.29)	\$0.00
	155 000	11/23/10	06/06/12	\$722.66	\$823.11	\$0.00	(\$100.45)	\$0.00
	200.000	12/31/10	06/06/12	\$932.46	\$1,058.78	\$0.00	(\$126.32)	\$0.00
Security Subtotal	614.000			\$2,792.48	\$3,442.94	\$0.00	(\$650.46)	\$0.00
CUSIP: 133131102 Symbol (Box 1d): CPT								
CAMDEN PROPERTY TRUST	2 000	08/10/11	08/23/12	\$139.45	\$124.04	\$0.00	\$15.41	\$0.00

CONTINUED ON NEXT PAGE

Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

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Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

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CAMERON INTNL CORP								
		CUSIP: 13342B105		Symbol (Box 1d): CAM				
	22.000	04/28/11	10/11/12	\$1,183.66	\$1,138.22	\$0.00	\$45.44	\$0.00
	17.000	04/28/11	11/20/12	\$904.06	\$879.54	\$0.00	\$24.52	\$0.00
Security Subtotal	39.000			\$2,087.72	\$2,017.76	\$0.00	\$69.96	\$0.00
CAREFUSION CORP								
		CUSIP: 14170T101		Symbol (Box 1d): CFN				
	35.000	12/13/10	05/23/12	\$847.42	\$844.93	\$0.00	\$2.49	\$0.00
	6.000	12/14/10	05/23/12	\$145.27	\$147.03	\$0.00	(\$1.76)	\$0.00
	59.000	12/14/10	09/04/12	\$1,548.76	\$1,445.80	\$0.00	\$102.96	\$0.00
	9.000	12/14/10	09/07/12	\$247.77	\$220.55	\$0.00	\$27.22	\$0.00
	40.000	12/22/10	09/07/12	\$1,101.20	\$1,005.52	\$0.00	\$95.68	\$0.00
	60.000	01/14/11	09/07/12	\$1,651.81	\$1,607.02	\$0.00	\$44.79	\$0.00
Security Subtotal	209.000			\$5,542.23	\$5,270.85	\$0.00	\$271.38	\$0.00
CBS CORP NEW CL B SHRS								
		CUSIP: 124857202		Symbol (Box 1d): CBS				
	38.000	10/14/09	06/14/12	\$1,196.07	\$453.86	\$0.00	\$742.21	\$0.00
	26.000	10/14/09	08/15/12	\$935.31	\$310.54	\$0.00	\$624.77	\$0.00
Security Subtotal	64.000			\$2,131.38	\$764.40	\$0.00	\$1,366.98	\$0.00
CIT GROUP INC NEW								
		CUSIP: 125581801		Symbol (Box 1d): CIT				
	28.000	12/02/10	07/24/12	\$965.07	\$1,157.33	\$0.00	(\$192.26)	\$0.00
	26.000	12/02/10	11/26/12	\$951.00	\$1,074.66	\$0.00	(\$123.66)	\$0.00
Security Subtotal	54.000			\$1,916.07	\$2,231.99	\$0.00	(\$315.92)	\$0.00
CROWN CASTLE INTL								
		CUSIP: 228227104		Symbol (Box 1d): CCI				
	10.000	09/18/08	05/08/12	\$552.84	\$288.23	\$0.00	\$264.61	\$0.00
	7.000	10/02/08	05/08/12	\$386.99	\$179.29	\$0.00	\$207.70	\$0.00
	19.000	10/02/08	07/25/12	\$1,096.87	\$486.65	\$0.00	\$610.22	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

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CROWN CASTLE INTL	6 000	10/02/08	11/14/12	\$397.86	\$153.68	\$0.00	\$244.18	\$0.00
	9 000	10/10/08	11/14/12	\$596.78	\$160.71	\$0.00	\$436.07	\$0.00
	29 000	10/10/08	12/04/12	\$1,956.90	\$517.85	\$0.00	\$1,439.05	\$0.00
	36 000	11/12/08	12/04/12	\$2,429.25	\$475.27	\$0.00	\$1,953.98	\$0.00
	33 000	11/24/08	12/04/12	\$2,226.82	\$399.28	\$0.00	\$1,827.54	\$0.00
Security Subtotal	149,000			\$9,644.31	\$2,660.96	\$0.00	\$6,983.35	\$0.00
DISCOVERY COMMUNICATIONS SER A		CUSIP: 25470F104	Symbol (Box 1d): DISCA					
	54 000	08/10/11	08/16/12	\$2,861.97	\$1,974.69	\$0.00	\$887.28	\$0.00
DOVER CORP		CUSIP: 260003108	Symbol (Box 1d): DOV					
	16 000	09/24/10	10/02/12	\$933.78	\$826.52	\$0.00	\$107.26	\$0.00
	17 000	09/24/10	10/12/12	\$939.22	\$878.18	\$0.00	\$61.04	\$0.00
	16 000	09/24/10	11/02/12	\$953.28	\$826.52	\$0.00	\$126.76	\$0.00
	1 000	09/24/10	12/03/12	\$63.65	\$51.66	\$0.00	\$11.99	\$0.00
	16 000	10/22/10	12/03/12	\$1,018.41	\$835.51	\$0.00	\$182.90	\$0.00
	16 000	06/22/11	12/03/12	\$1,018.41	\$1,037.08	\$0.00	(\$18.67)	\$0.00
	16 000	10/21/11	12/03/12	\$1,018.42	\$830.28	\$0.00	\$188.14	\$0.00
Security Subtotal	98,000			\$5,945.17	\$5,285.75	\$0.00	\$659.42	\$0.00
DRESSER-RAND GROUP INC		CUSIP: 261608103	Symbol (Box 1d): DRC					
	24 000	08/30/11	10/22/12	\$1,340.39	\$1,023.35	\$0.00	\$317.04	\$0.00

CONTINUED ON NEXT PAGE

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Tax Year 2012

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ENSICO PLC CLASS A								
		CUSIP: G3157S106		Symbol (Box 1d): ESV				
	11 000	04/15/11	07/30/12	\$608.06	\$615.76	\$0.00	(\$7.70)	\$0.00
	19 000	04/15/11	08/16/12	\$1,062.36	\$1,063.59	\$0.00	(\$1.23)	\$0.00
	26 000	04/15/11	10/19/12	\$1,547.31	\$1,455.44	\$0.00	\$91.87	\$0.00
Security Subtotal	56,000			\$3,217.73	\$3,134.79	\$0.00	\$82.94	\$0.00
FMC CORP NEW								
	14 000	10/29/09	06/27/12	\$717.00	\$365.97	\$0.00	\$351.03	\$0.00
	3 000	11/13/09	06/27/12	\$153.64	\$82.37	\$0.00	\$71.27	\$0.00
	23 000	11/13/09	07/09/12	\$1,222.19	\$631.54	\$0.00	\$590.65	\$0.00
Security Subtotal	40,000			\$2,092.83	\$1,079.88	\$0.00	\$1,012.95	\$0.00
H J HEINZ CO								
	24 000	10/03/11	10/11/12	\$1,355.71	\$1,207.62	\$0.00	\$148.09	\$0.00
	17 000	10/03/11	11/19/12	\$994.10	\$855.39	\$0.00	\$138.71	\$0.00
Security Subtotal	41,000			\$2,349.81	\$2,063.01	\$0.00	\$286.80	\$0.00
HERTZ GLOBAL HOLDINGS, INC								
	70 000	10/07/10	07/31/12	\$812.13	\$679.47	\$0.00	\$132.66	\$0.00
	4 000	10/13/10	07/31/12	\$46.41	\$42.70	\$0.00	\$3.71	\$0.00
	80 000	10/13/10	08/27/12	\$1,174.15	\$853.91	\$0.00	\$320.24	\$0.00
	66 000	10/22/10	08/27/12	\$968.67	\$702.59	\$0.00	\$266.08	\$0.00
	61 000	10/22/10	09/13/12	\$885.30	\$649.36	\$0.00	\$235.94	\$0.00
	63 000	02/15/11	09/13/12	\$914.32	\$1,021.65	\$0.00	(\$107.33)	\$0.00
Security Subtotal	344,000			\$4,800.98	\$3,949.68	\$0.00	\$851.30	\$0.00

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CUSIP: 436440101 Symbol (Box 1d): HOLX								
HOLOGIC INC	43 000	05/07/09	09/13/12	\$865.88	\$523.85	\$0.00	\$342.03	\$0.00
	21.000	11/09/09	09/13/12	\$422.87	\$323.25	\$0.00	\$99.62	\$0.00
	24 000	11/10/09	09/13/12	\$483.28	\$372.42	\$0.00	\$110.86	\$0.00
	9 000	04/08/10	09/13/12	\$181.24	\$157.75	\$0.00	\$23.49	\$0.00
	43 000	04/08/10	10/11/12	\$923.93	\$753.72	\$0.00	\$170.21	\$0.00
	12 000	05/05/10	10/11/12	\$257.84	\$195.51	\$0.00	\$62.33	\$0.00
Security Subtotal	152.000			\$3,135.04	\$2,326.50	\$0.00	\$808.54	\$0.00
CUSIP: 460690100 Symbol (Box 1d): IPG								
INTERPUBLIC GROUP OF COS INC	206 000	06/28/11	09/19/12	\$2,379.59	\$2,487.04	\$0.00	(\$107.45)	\$0.00
	86 000	06/28/11	10/31/12	\$877.54	\$1,038.28	\$0.00	(\$160.74)	\$0.00
	47 000	06/28/11	12/12/12	\$515.35	\$567.43	\$0.00	(\$52.08)	\$0.00
	88 000	07/18/11	12/12/12	\$964.91	\$1,048.60	\$0.00	(\$83.69)	\$0.00
	106 000	09/15/11	12/12/12	\$1,162.28	\$850.16	\$0.00	\$312.12	\$0.00
Security Subtotal	533.000			\$5,899.67	\$5,991.51	\$0.00	(\$91.84)	\$0.00
CUSIP: G491BT108 Symbol (Box 1d): IVZ								
INVESCO LTD	40 000	02/11/10	08/31/12	\$945.22	\$740.82	\$0.00	\$204.40	\$0.00
CUSIP: 46612J507 Symbol (Box 1d): JDSU								
JDS UNIPHASE CP NEW	75.000	10/18/11	12/26/12	\$998.98	\$790.95	\$0.00	\$208.03	\$0.00
CUSIP: 485170302 Symbol (Box 1d): KSU								
KANSAS CY SOUTHN IND NEW	13 000	04/27/09	10/16/12	\$1,005.45	\$199.24	\$0.00	\$806.21	\$0.00

CONTINUED ON NEXT PAGE

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MARVELL TECH GROUP LTD		CUSIP: G5876H105								
	71 000	12/20/10	06/01/12		\$865.69		\$1,357.37	\$0.00	(\$491.68)	\$0.00
	86.000	12/20/10	09/19/12		\$869.09		\$1,644.14	\$0.00	(\$775.05)	\$0.00
	55 000	12/28/10	09/19/12		\$555.81		\$1,020.41	\$0.00	(\$464.60)	\$0.00
	52 000	02/01/11	09/19/12		\$525.49		\$1,016.33	\$0.00	(\$490.84)	\$0.00
	64 000	03/23/11	09/19/12		\$646.76		\$1,023.33	\$0.00	(\$376.57)	\$0.00
	68.000	06/15/11	09/19/12		\$687.19		\$976.07	\$0.00	(\$288.88)	\$0.00
	65.000	08/03/11	09/19/12		\$656.87		\$931.29	\$0.00	(\$274.42)	\$0.00
Security Subtotal	461.000				\$4,806.90		\$7,968.94	\$0.00	(\$3,162.04)	\$0.00
MYLAN INC		CUSIP: 628530107								
	36 000	03/30/11	10/31/12		\$906.59		\$816.07	\$0.00	\$90.52	\$0.00
NII HOLDINGS INC CL B		CUSIP: 62913F201								
	24.000	10/14/10	06/20/12		\$268.83		\$938.26	\$0.00	(\$669.43)	\$0.00
	24 000	04/05/11	06/20/12		\$268.83		\$1,018.21	\$0.00	(\$749.38)	\$0.00
	33 000	04/15/11	06/20/12		\$369.63		\$1,297.48	\$0.00	(\$927.85)	\$0.00
	22.000	04/15/11	06/21/12		\$231.54		\$864.98	\$0.00	(\$633.44)	\$0.00
Security Subtotal	103.000				\$1,138.83		\$4,118.93	\$0.00	(\$2,980.10)	\$0.00
PARKER HANNIFIN CORP		CUSIP: 701094104								
	12 000	07/15/11	07/27/12		\$976.35		\$1,037.87	\$0.00	(\$61.52)	\$0.00
	24 000	07/15/11	09/19/12		\$2,056.93		\$2,075.74	\$0.00	(\$18.81)	\$0.00
	14.000	08/05/11	09/19/12		\$1,199.87		\$942.53	\$0.00	\$257.34	\$0.00
Security Subtotal	50.000				\$4,233.15		\$4,056.14	\$0.00	\$177.01	\$0.00

CONTINUED ON NEXT PAGE

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PENN NATIONAL GAMING								
		CUSIP: 707569109		Symbol (Box 1d): PENN				
	9 000	12/22/10	07/10/12	\$382.75	\$311.46	\$0.00	\$71.29	\$0.00
	14 000	12/28/10	07/10/12	\$595.38	\$484.92	\$0.00	\$110.46	\$0.00
	16 000	12/28/10	07/30/12	\$629.08	\$554.19	\$0.00	\$74.89	\$0.00
	7 000	01/04/11	07/30/12	\$275.22	\$236.70	\$0.00	\$38.52	\$0.00
	18 000	01/04/11	10/12/12	\$737.35	\$608.65	\$0.00	\$128.70	\$0.00
	5 000	01/04/11	11/16/12	\$248.00	\$169.07	\$0.00	\$78.93	\$0.00
	18 000	01/05/11	11/16/12	\$892.80	\$612.75	\$0.00	\$280.05	\$0.00
Security Subtotal	87 000			\$3,760.58	\$2,977.74	\$0.00	\$782.84	\$0.00
PVH CORPORATION								
		CUSIP: 693656100		Symbol (Box 1d): PVH				
	8 000	07/18/08	07/19/12	\$645.06	\$289.40	\$0.00	\$355.66	\$0.00
	4 000	08/08/08	07/19/12	\$322.53	\$157.57	\$0.00	\$164.96	\$0.00
	11 000	08/08/08	08/28/12	\$1,034.30	\$433.32	\$0.00	\$600.98	\$0.00
	6 000	08/08/08	09/19/12	\$555.97	\$236.35	\$0.00	\$319.62	\$0.00
	17 000	01/07/11	09/19/12	\$1,575.25	\$1,000.46	\$0.00	\$574.79	\$0.00
	13 000	08/05/11	09/19/12	\$1,204.60	\$835.73	\$0.00	\$368.87	\$0.00
Security Subtotal	59 000			\$5,337.71	\$2,952.83	\$0.00	\$2,384.88	\$0.00
REGAL ENTERTAINMENT GRP CL A								
		CUSIP: 758766109		Symbol (Box 1d): RGC				
	71 000	01/05/11	07/10/12	\$994.72	\$864.01	\$0.00	\$130.71	\$0.00
	5 000	03/25/11	07/10/12	\$70.05	\$68.33	\$0.00	\$1.72	\$0.00
Security Subtotal	76 000			\$1,064.77	\$932.34	\$0.00	\$132.43	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
PENN CAPITAL MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Taxpayer ID Number: 52-0784206
Account Number: 067 160235 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 768573107 Symbol (Box 1d): RVBD								
RIVERBED TECH INC	51 000	10/17/11	11/07/12	\$923.22	\$1,157.10	\$0.00	(\$233.88)	\$0.00
	26.000	10/17/11	12/14/12	\$484.29	\$589.89	\$0.00	(\$125.60)	\$0.00
	20.000	11/18/11	12/14/12	\$357.14	\$532.44	\$0.00	(\$175.30)	\$0.00
Security Subtotal	97.000			\$1,744.65	\$2,279.43	\$0.00	(\$534.78)	\$0.00
CUSIP: G7945M107 Symbol (Box 1d): STX								
SEAGATE TECHNOLOGY PLC	56 000	01/12/11	06/26/12	\$1,348.40	\$799.27	\$0.00	\$549.13	\$0.00
CUSIP: 832698405 Symbol (Box 1d): SJM								
SMUCKER JM CO COM NEW	6 000	08/18/11	08/27/12	\$509.81	\$423.08	\$0.00	\$86.73	\$0.00
	4 000	08/18/11	08/28/12	\$339.38	\$282.05	\$0.00	\$57.33	\$0.00
Security Subtotal	10.000			\$849.19	\$705.13	\$0.00	\$144.06	\$0.00
CUSIP: 848574109 Symbol (Box 1d): SPR								
SPIRIT AEROSYSTEMS HLD CLA A	37 000	12/22/10	08/07/12	\$922.59	\$769.77	\$0.00	\$152.82	\$0.00
	3 000	02/10/11	08/07/12	\$74.80	\$72.81	\$0.00	\$1.99	\$0.00
	38 000	02/10/11	09/07/12	\$960.44	\$922.30	\$0.00	\$38.14	\$0.00
	5 000	02/10/11	10/26/12	\$74.13	\$121.36	\$0.00	(\$47.23)	\$0.00
	60 000	04/08/11	10/26/12	\$889.61	\$1,446.33	\$0.00	(\$556.72)	\$0.00
	43 000	04/26/11	10/26/12	\$637.55	\$1,039.42	\$0.00	(\$401.87)	\$0.00
	47 000	05/02/11	10/26/12	\$696.87	\$1,159.39	\$0.00	(\$462.52)	\$0.00
Security Subtotal	233.000			\$4,255.99	\$5,531.38	\$0.00	(\$1,275.39)	\$0.00
CUSIP: 867914103 Symbol (Box 1d): STI								
SUNTRUST BKS	44 000	06/01/09	05/23/12	\$981.03	\$582.85	\$0.00	\$398.18	\$0.00
	1 000	06/01/09	05/31/12	\$22.17	\$13.25	\$0.00	\$8.92	\$0.00

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
PENN CAPITAL MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

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Plaza 2 2nd Floor
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Identification Number 26-4310632

Taxpayer ID Number 52-0784206
Account Number 067 160235 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SUNTRUST BKS	5 000	06/02/09	05/31/12	\$110.83	\$71.80	\$0.00	\$39.03	\$0.00
	34.000	06/05/09	05/31/12	\$753.62	\$573.33	\$0.00	\$180.29	\$0.00
	29.000	06/19/09	09/07/12	\$803.44	\$471.11	\$0.00	\$332.33	\$0.00
	39.000	11/16/10	09/07/12	\$1,080.48	\$958.18	\$0.00	\$122.30	\$0.00
	30.000	03/21/11	09/07/12	\$831.14	\$886.47	\$0.00	(\$55.33)	\$0.00
Security Subtotal	182.000			\$4,582.71	\$3,556.99	\$0.00	\$1,025.72	\$0.00
TIFFANY & COMPANY NEW	10 000	07/15/11	07/27/12	\$571.59	\$814.23	\$0.00	(\$242.64)	\$0.00
TRIUMPH GROUP INC	14 000	04/21/11	09/28/12	\$864.19	\$589.79	\$0.00	\$274.40	\$0.00
UNIVERSAL HEALTH SERVICES B	24 000	08/03/10	10/04/12	\$1,077.05	\$864.48	\$0.00	\$212.57	\$0.00
	20.000	08/03/10	10/11/12	\$913.10	\$720.40	\$0.00	\$192.70	\$0.00
Security Subtotal	44.000			\$1,990.15	\$1,584.88	\$0.00	\$405.27	\$0.00
VARIAN MEDICAL SYS INC	22.000	10/09/09	11/15/12	\$1,479.75	\$881.82	\$0.00	\$597.93	\$0.00
WEATHERFORD INTERNATIONAL LTD	25 000	08/18/10	06/14/12	\$309.51	\$393.96	\$0.00	(\$84.45)	\$0.00
	49 000	03/16/11	06/14/12	\$606.65	\$994.89	\$0.00	(\$388.24)	\$0.00
	96.000	03/29/11	06/14/12	\$1,188.54	\$2,097.70	\$0.00	(\$909.16)	\$0.00
Security Subtotal	170 000			\$2,104.70	\$3,486.55	\$0.00	(\$1,381.85)	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WHITING PETROLEUM CORP CUSIP: 966387102 Symbol (Box 1d): WLL								
	19 000	12/13/10	11/08/12	\$890 15	\$1,083 48	\$0 00	(\$193 33)	\$0 00
	4 000	12/21/10	11/08/12	\$187 40	\$232 93	\$0 00	(\$45 53)	\$0 00
	14 000	12/21/10	12/03/12	\$591 49	\$815 26	\$0 00	(\$223 77)	\$0 00
	14 000	03/04/11	12/03/12	\$591 49	\$952 19	\$0 00	(\$360 70)	\$0 00
	15 000	03/28/11	12/03/12	\$633 74	\$1,080 25	\$0 00	(\$446 51)	\$0 00
	16 000	07/19/11	12/03/12	\$675 99	\$965 36	\$0 00	(\$289 37)	\$0 00
	19 000	09/16/11	12/03/12	\$802 74	\$885 21	\$0 00	(\$82 47)	\$0 00
	21 000	10/14/11	12/03/12	\$887 23	\$831 36	\$0 00	\$55 87	\$0 00
Security Subtotal	122 000			\$5,260.23	\$6,846.04	\$0 00	(\$1,585.81)	\$0 00
WYNDHAM WORLDWIDE CORP CUSIP: 98310W108 Symbol (Box 1d): WYN								
	7 000	01/14/10	06/06/12	\$351 46	\$160 51	\$0 00	\$190 95	\$0 00
	12 000	01/14/10	07/25/12	\$599 32	\$275 15	\$0 00	\$324 17	\$0 00
	12 000	04/29/10	07/25/12	\$599 32	\$326 19	\$0 00	\$273 13	\$0 00
	24 000	04/29/10	10/11/12	\$1,271 41	\$652 38	\$0 00	\$619 03	\$0 00
Security Subtotal	55 000			\$2,821.51	\$1,414.23	\$0 00	\$1,407.28	\$0 00
WYNN RESORTS LTD CUSIP: 983134107 Symbol (Box 1d): WYNN								
	6 000	08/10/11	10/25/12	\$715 63	\$820 64	\$0 00	(\$105 01)	\$0 00
	4 000	08/10/11	11/26/12	\$432 31	\$521 97	\$0 00	(\$89 66)	\$0 00
	5 000	09/29/11	11/26/12	\$540 39	\$587 67	\$0 00	(\$47 28)	\$0 00
	1 000	09/29/11	12/18/12	\$114 12	\$117 54	\$0 00	(\$3 42)	\$0 00
	7 000	10/04/11	12/18/12	\$798 81	\$764 67	\$0 00	\$34 14	\$0 00
Security Subtotal	23 000			\$2,601.26	\$2,812.49	\$0 00	(\$211.23)	\$0 00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2012

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Harborside Financial Center
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d): ZION	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ZIONS BANCORP	15,000	09/02/09	08/03/12	\$283.18	\$4,247.70	\$4,247.70	\$0.00	\$0.00	\$0.00
	36,000	09/14/09	08/03/12	\$679.64	\$24,327.04	\$24,327.04	\$0.00	\$0.00	\$0.00
Security Subtotal	51,000			\$962.82	\$820.10	\$820.10	\$0.00	\$142.72	\$0.00
Total Long Term Noncovered Securities				\$125,784.36	\$114,391.66	\$0.00	\$0.00	\$11,392.70	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$270,471.41	\$274,149.54	\$0.00	\$0.00	(\$3,678.13)	\$0.00
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2)					\$270,471.41				
Total Cost or Other Basis (Box 3)						\$51,890.27			
Total Wash Sale Loss Disallowed (Box 5)							\$0.00		
Total Fed Tax Withheld (Box 4)									\$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

Corporate Tax Statement
Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HELMERICH & PAYNE		CUSIP: 423452101	Symbol (Box 1d): HP					
	75,000	05/23/12	09/18/12	\$3,660.87	\$3,429.08	\$0.00	\$231.79	\$0.00
MONSANTO CO/NEW		CUSIP: 61166W101	Symbol (Box 1d): MON					
	120,000	06/21/12	10/02/12	\$10,878.52	\$9,415.96	\$0.00	\$1,462.56	\$0.00
Total Short Term Covered Securities				\$14,539.39	\$12,845.04	\$0.00	\$1,694.35	\$0.00

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACE LTD		CUSIP: H0023R105	Symbol (Box 1d): ACE					
	60,000	11/21/11	10/25/12	\$4,766.84	\$3,992.55	\$0.00	\$774.29	\$0.00
DARDEN RESTAURANTS		CUSIP: 237194105	Symbol (Box 1d): DRI					
	400,000	01/13/12	12/10/12	\$18,777.65	\$17,824.16	\$0.00	\$953.49	\$0.00
INVESCO LTD		CUSIP: G491BT108	Symbol (Box 1d): IVZ					
	190,000	01/30/12	10/15/12	\$4,685.69	\$4,317.50	\$0.00	\$368.19	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PHILLIPS 66 COM		CUSIP: 718546104	Symbol (Box 1d): PSX					
	65 000	02/09/12	05/17/12	\$2,046.12	\$2,136.81	\$0.00	(\$90.69)	\$0.00
Total Short Term Noncovered Securities				\$30,276.30	\$28,271.02	\$0.00	\$2,005.28	\$0.00
Total Short Term Covered and Noncovered Securities				\$44,815.69	\$41,116.06	\$0.00	\$3,699.63	\$0.00

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AFLAC INCORPORATED		CUSIP: 001055102	Symbol (Box 1d): AFL					
	90 000	09/24/10	07/31/12	\$3,931.18	\$4,891.27	\$0.00	(\$760.09)	\$0.00
AMERIPRISE FINCL INC		CUSIP: 03076C106	Symbol (Box 1d): AMP					
	145 000	11/08/10	12/11/12	\$8,924.43	\$7,904.90	\$0.00	\$1,019.53	\$0.00
ANALOG DEVICES INC		CUSIP: 032654105	Symbol (Box 1d): ADI					
	500 000	09/11/07	09/11/12	\$20,101.74	\$18,511.75	\$0.00	\$1,589.99	\$0.00
COMMERCE BANCSHARES		CUSIP: 200525103	Symbol (Box 1d): CBSH					
	0 850	03/16/11	12/17/12	\$30.25	\$30.75	\$0.00	(\$0.50)	\$0.00
CULLEN FROST BANKERS INC		CUSIP: 229899109	Symbol (Box 1d): CFR					
	185 000	12/14/09	05/10/12	\$10,770.08	\$9,115.06	\$0.00	\$1,655.02	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EMERSON ELECTRIC CO	140 000	04/21/04	11/13/12	\$7,055.80	\$4,322.22	\$0.00	\$2,733.58	\$0.00
GLAXOSMITHKLINE PLC ADS	410 000	11/24/10	11/13/12	\$17,612.63	\$16,056.63	\$0.00	\$1,556.00	\$0.00
	230 000	03/16/11	11/13/12	\$9,880.25	\$8,455.81	\$0.00	\$1,424.44	\$0.00
Security Subtotal	640 000			\$27,492.88	\$24,512.44	\$0.00	\$2,980.44	\$0.00
HELMERICH & PAYNE	95 000	06/18/10	07/31/12	\$4,463.11	\$4,048.82	\$0.00	\$414.29	\$0.00
	265 000	06/18/10	09/18/12	\$12,935.07	\$11,294.09	\$0.00	\$1,640.98	\$0.00
Security Subtotal	360 000			\$17,398.18	\$15,342.91	\$0.00	\$2,055.27	\$0.00
JOHNSON & JOHNSON	75 000	01/21/04	06/21/12	\$4,978.69	\$3,930.00	\$0.00	\$1,048.69	\$0.00
NORFOLK SOUTHERN CORP	270 000	11/24/10	09/27/12	\$17,463.55	\$16,481.23	\$0.00	\$982.32	\$0.00
PEPSICO INC NC	140 000	01/21/04	11/05/12	\$9,638.22	\$6,435.80	\$0.00	\$3,202.42	\$0.00
PHILLIPS 66 COM	110 000	04/08/11	05/17/12	\$3,462.66	\$4,077.87	\$0.00	(\$615.21)	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
SOVERIGN MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Taxpayer ID Number: 52-0784206
Account Number 067 160232 101

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CUSIP: 742718109 Symbol (Box 1d): PG								
PROCTER & GAMBLE	135 000	01/29/10	05/15/12	\$8,654.69	\$8,372.42	\$0.00	\$282.27	\$0.00
	115,000	01/29/10	05/17/12	\$7,371.38	\$7,132.06	\$0.00	\$239.32	\$0.00
	35 000	06/02/10	05/17/12	\$2,243.46	\$2,148.07	\$0.00	\$95.39	\$0.00
Security Subtotal	285,000			\$18,269.53	\$17,652.55	\$0.00	\$616.98	\$0.00
CUSIP: 872540109 Symbol (Box 1d): TJX								
TJX COS INC NEW	195 000	11/28/08	07/31/12	\$8,618.08	\$2,231.23	\$0.00	\$6,386.85	\$0.00
Total Long Term Noncovered Securities				\$158,135.27	\$135,239.98	\$0.00	\$22,895.29	\$0.00
Total Short and Long Term, Covered and Noncovered Securities				\$202,950.96	\$176,356.04	\$0.00	\$26,594.92	\$0.00
Form 1099-B Total Reportable Amounts								
Total Sales Price (Box 2)				\$202,950.96				
Total Cost or Other Basis (Box 3)					\$12,845.04			
Total Wash Sale Loss Disallowed (Box 5)					\$0.00			
Total Fed Tax Withheld (Box 4)							\$0.00	

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ASML HOLDING N V		CUSIP: N07059186	Symbol (Box 1d):					
	40 000	10/12/12	12/03/12	\$474.48	\$404.51	\$0.00	\$69.97	\$0.00
	21 000	10/19/12	12/03/12	\$249.10	\$206.95	\$0.00	\$42.15	\$0.00
	61 000			\$723.58	\$611.46	\$0.00	\$112.12	\$0.00
Security Subtotal								
TAIWAN SMCNDCR MFG CO LTD ADR		CUSIP: 874039100	Symbol (Box 1d): TSM					
	70 000	07/26/12	10/19/12	\$1,067.71	\$932.27	\$0.00	\$135.44	\$0.00
	69 000	07/26/12	10/22/12	\$1,056.05	\$918.95	\$0.00	\$137.10	\$0.00
	139 000			\$2,123.76	\$1,851.22	\$0.00	\$272.54	\$0.00
Security Subtotal								
Total Short Term Covered Securities				\$2,847.34	\$2,462.68	\$0.00	\$384.66	\$0.00

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ASML HOLDING NV NY REG NEW		CUSIP: N07059210	Symbol (Box 1d): ASML					
	0 970	10/12/12	11/29/12	\$58.47	\$54.26	\$0.00	\$4.21	\$0.00
CANADIAN NATURAL RESOURCES LTD		CUSIP: 136385101	Symbol (Box 1d): CNQ					
	25 000	04/11/12	07/27/12	\$691.05	\$790.72	\$0.00	(\$99.67)	\$0.00
CREDIT SUISSE GROUP		CUSIP: 225401108	Symbol (Box 1d): CS					
	0 000	08/14/12	08/14/12	\$8.96	\$0.00	\$0.00	\$8.96	\$0.00

CONTINUED ON NEXT PAGE

Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HSBC HOLDINGS PLC SPON ADR NEW		CUSIP: 404280406	Symbol (Box 1d): HBC					
	25.000	02/28/12	07/20/12	\$1,041.92	\$1,117.07	\$0.00	(\$75.15)	\$0.00
	2.000	02/29/12	07/20/12	\$83.35	\$89.25	\$0.00	(\$5.90)	\$0.00
	17.000	02/29/12	07/24/12	\$671.87	\$758.60	\$0.00	(\$86.73)	\$0.00
Security Subtotal	44.000			\$1,797.14	\$1,964.92	\$0.00	(\$167.78)	\$0.00
MICHELIN COMPAGNIE GENERALE DE		CUSIP: 59410T106	Symbol (Box 1d): MGDDY					
	29.000	03/12/12	12/07/12	\$528.00	\$404.74	\$0.00	\$123.26	\$0.00
	45.000	03/12/12	12/07/12	\$823.15	\$628.04	\$0.00	\$195.11	\$0.00
Security Subtotal	74.000			\$1,351.15	\$1,032.78	\$0.00	\$318.37	\$0.00
Total Short Term Noncovered Securities				\$3,906.77	\$3,842.68	\$0.00	\$64.09	\$0.00
Total Short Term Covered and Noncovered Securities				\$6,754.11	\$6,305.36	\$0.00	\$448.75	\$0.00

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ADIDAS-SALOMON AG SPONS ADR		CUSIP: 00887A107	Symbol (Box 1d): ADDYY					
	27.000	05/03/11	12/07/12	\$1,175.81	\$1,007.56	\$0.00	\$168.25	\$0.00
ARM HOLDINGS PLC ADS		CUSIP: 042068106	Symbol (Box 1d): ARMH					
	26.000	05/02/08	10/25/12	\$829.38	\$166.56	\$0.00	\$662.82	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ASSA ABLOY AB UNSP ADR CUSIP: 045387107 Symbol (Box 1d): ASAZY								
	12 000	11/09/10	09/07/12	\$184.97	\$165.15	\$0.00	\$19.82	\$0.00
	59,000	11/22/10	09/07/12	\$909.44	\$797.97	\$0.00	\$111.47	\$0.00
	11 000	11/22/10	10/25/12	\$179.41	\$148.77	\$0.00	\$30.64	\$0.00
	43,000	11/23/10	10/25/12	\$701.31	\$570.04	\$0.00	\$131.27	\$0.00
	24,000	11/23/10	11/29/12	\$424.63	\$318.16	\$0.00	\$106.47	\$0.00
Security Subtotal	149,000			\$2,399.76	\$2,000.09	\$0.00	\$399.67	\$0.00
BG GROUP PLC CUSIP: 055434203 Symbol (Box 1d): BRGY								
	51 000	07/02/09	10/25/12	\$1,080.15	\$872.76	\$0.00	\$207.39	\$0.00
	19,000	07/02/09	11/01/12	\$336.84	\$325.15	\$0.00	\$11.69	\$0.00
	17 000	07/13/09	11/01/12	\$301.39	\$273.56	\$0.00	\$27.83	\$0.00
Security Subtotal	87,000			\$1,718.38	\$1,471.47	\$0.00	\$246.91	\$0.00
CANADIAN NATL RAILWAY CO CUSIP: 136375102 Symbol (Box 1d): CNI								
	6 000	09/14/07	06/06/12	\$485.14	\$335.86	\$0.00	\$149.28	\$0.00
	14 000	09/27/07	06/06/12	\$1,132.00	\$800.83	\$0.00	\$331.17	\$0.00
	10 000	09/27/07	09/07/12	\$918.10	\$572.02	\$0.00	\$346.08	\$0.00
Security Subtotal	30,000			\$2,535.24	\$1,708.71	\$0.00	\$826.53	\$0.00
CANADIAN NATURAL RESOURCES LTD CUSIP: 136385101 Symbol (Box 1d): CNQ								
	25 000	08/20/09	07/24/12	\$674.21	\$730.71	\$0.00	(\$56.50)	\$0.00
	36 000	08/20/09	07/26/12	\$983.64	\$1,052.22	\$0.00	(\$68.58)	\$0.00
	29 000	09/01/09	07/26/12	\$792.37	\$809.21	\$0.00	(\$16.84)	\$0.00
	27 000	09/01/09	07/27/12	\$746.34	\$753.41	\$0.00	(\$7.07)	\$0.00
	27 000	09/30/10	07/27/12	\$746.34	\$930.76	\$0.00	(\$184.42)	\$0.00
Security Subtotal	144,000			\$3,942.90	\$4,276.31	\$0.00	(\$333.41)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CANON INC ADR NEW								
		CUSIP: 138006309		Symbol (Box 1d): CAJ				
	39 000	06/30/10	07/25/12	\$1,323.03	\$1,457.56	\$0.00	(\$134.53)	\$0.00
	26 000	06/30/10	07/27/12	\$830.15	\$971.71	\$0.00	(\$141.56)	\$0.00
	30 000	07/02/10	07/27/12	\$957.86	\$1,119.52	\$0.00	(\$161.66)	\$0.00
	30 000	07/09/10	07/27/12	\$957.86	\$1,183.46	\$0.00	(\$225.60)	\$0.00
	29 000	08/31/10	07/27/12	\$925.94	\$1,186.59	\$0.00	(\$260.65)	\$0.00
	28 000	02/03/11	07/27/12	\$894.01	\$1,366.01	\$0.00	(\$472.00)	\$0.00
	28 000	03/16/11	07/27/12	\$894.01	\$1,257.38	\$0.00	(\$363.37)	\$0.00
Security Subtotal	210 000			\$6,782.86	\$8,542.23	\$0.00	(\$1,759.37)	\$0.00
CARNIVAL CP NEW PAIRED COM								
		CUSIP: 143658300		Symbol (Box 1d): CCL				
	17 000	10/27/06	10/25/12	\$657.88	\$827.90	\$0.00	(\$170.02)	\$0.00
	8 000	05/31/07	10/25/12	\$309.59	\$401.74	\$0.00	(\$92.15)	\$0.00
Security Subtotal	25 000			\$967.47	\$1,229.64	\$0.00	(\$262.17)	\$0.00
CNOOC LTD ADS								
		CUSIP: 126132109		Symbol (Box 1d): CEO				
	4 000	10/05/09	12/17/12	\$854.14	\$538.81	\$0.00	\$315.33	\$0.00
	2 000	10/05/09	12/18/12	\$429.17	\$269.40	\$0.00	\$159.77	\$0.00
Security Subtotal	6 000			\$1,283.31	\$808.21	\$0.00	\$475.10	\$0.00
CREDIT SUISSE GROUP								
		CUSIP: 225401108		Symbol (Box 1d): CS				
	59 000	02/18/11	12/14/12	\$1,450.52	\$2,783.97	\$0.00	(\$1,333.45)	\$0.00
	11 000	03/15/11	12/14/12	\$270.43	\$461.70	\$0.00	(\$191.27)	\$0.00
	18 000	03/15/11	12/17/12	\$440.63	\$755.52	\$0.00	(\$314.89)	\$0.00
	16 000	05/25/11	12/17/12	\$391.67	\$668.76	\$0.00	(\$277.09)	\$0.00
	15 000	05/26/11	12/17/12	\$367.19	\$638.04	\$0.00	(\$270.85)	\$0.00
	14 000	07/12/11	12/17/12	\$342.72	\$515.77	\$0.00	(\$173.05)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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FOUNDATION INC
THORNBURG MANAGED ACCOUNT
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WASHINGTON DC 20011TAXPAYER ID NUMBER: 52-0784206
ACCOUNT NUMBER: 067 160233 101

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CREDIT SUISSE GROUP	22 000	07/12/11	12/18/12	\$546.47	\$810.50	\$0.00	(\$264.03)	\$0.00
	33 000	07/20/11	12/18/12	\$819.70	\$1,180.35	\$0.00	(\$360.65)	\$0.00
	12 000	09/16/11	12/18/12	\$298.07	\$314.09	\$0.00	(\$16.02)	\$0.00
	31 000	09/16/11	12/19/12	\$781.25	\$811.39	\$0.00	(\$30.14)	\$0.00
Security Subtotal	231 000			\$5,708.65	\$8,940.09	\$0.00	(\$3,231.44)	\$0.00
EMBRAER S A ADR		CUSIP: 29082A107	Symbol (Box 1d): ERJ					
	32 000	04/07/06	10/25/12	\$886.70	\$1,175.04	\$0.00	(\$288.34)	\$0.00
FRESENIUS MEDICAL CARE AG&CO		CUSIP: 358029106	Symbol (Box 1d): FMS					
	3 000	03/13/09	10/25/12	\$223.73	\$115.84	\$0.00	\$107.89	\$0.00
	21 000	04/22/09	10/25/12	\$1,566.14	\$790.62	\$0.00	\$775.52	\$0.00
Security Subtotal	24 000			\$1,789.87	\$906.46	\$0.00	\$883.41	\$0.00
HENNES & MAURITZ		CUSIP: 425883105	Symbol (Box 1d): HNNMY					
	178 000	06/10/08	10/25/12	\$1,196.13	\$985.57	\$0.00	\$210.56	\$0.00
INDUSTRIAL & COML BK CHINA ADR		CUSIP: 455807107	Symbol (Box 1d): IDCBY					
	47 500	04/08/09	10/25/12	\$630.78	\$517.87	\$0.00	\$112.91	\$0.00
	44 500	05/20/09	10/25/12	\$590.95	\$556.20	\$0.00	\$34.75	\$0.00
Security Subtotal	92 000			\$1,221.73	\$1,074.07	\$0.00	\$147.66	\$0.00
ITAU UNIBANCO MULTIPLE ADR		CUSIP: 465562106	Symbol (Box 1d): ITUB					
	58 000	05/27/09	10/25/12	\$856.06	\$842.52	\$0.00	\$13.54	\$0.00
KDDI CORP UNSPON ADR		CUSIP: 48667L106	Symbol (Box 1d): KDDIY					
	50 000	05/10/11	10/25/12	\$990.47	\$856.85	\$0.00	\$133.62	\$0.00

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(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LVMH MOET HENNESSY LOUIS VUITT		CUSIP: 502441306	Symbol (Box 1d): LVMUY					
	13 000	11/20/08	09/04/12	\$422.10	\$128.18	\$0.00	\$293.92	\$0.00
	40 000	11/20/08	12/07/12	\$1,425.11	\$394.41	\$0.00	\$1,030.70	\$0.00
Security Subtotal	53 000			\$1,847.21	\$522.59	\$0.00	\$1,324.62	\$0.00
MERCADOLIBRE INC		CUSIP: 58733R102	Symbol (Box 1d): MELI					
	6 000	09/15/11	10/25/12	\$505.91	\$411.52	\$0.00	\$94.39	\$0.00
	4 000	09/16/11	10/25/12	\$337.27	\$272.72	\$0.00	\$64.55	\$0.00
Security Subtotal	10 000			\$843.18	\$684.24	\$0.00	\$158.94	\$0.00
NOVARTIS AG ADR		CUSIP: 66987V109	Symbol (Box 1d): NVS					
	18 000	07/17/07	10/25/12	\$1,100.31	\$974.16	\$0.00	\$126.15	\$0.00
NOVO NORDISK A/S ADR		CUSIP: 670100205	Symbol (Box 1d): NVO					
	9 000	04/10/06	07/13/12	\$1,305.89	\$289.04	\$0.00	\$1,016.85	\$0.00
	7 000	04/10/06	07/13/12	\$1,016.90	\$224.81	\$0.00	\$792.09	\$0.00
	4 000	02/28/07	07/13/12	\$580.40	\$169.63	\$0.00	\$410.77	\$0.00
	7 000	02/28/07	09/19/12	\$1,091.28	\$296.86	\$0.00	\$794.42	\$0.00
	6 000	02/28/07	10/25/12	\$945.15	\$254.45	\$0.00	\$690.70	\$0.00
Security Subtotal	33 000			\$4,939.62	\$1,234.79	\$0.00	\$3,704.83	\$0.00
RECKITT BENCKISER GROUP PLC		CUSIP: 756255105	Symbol (Box 1d):					
	113 000	12/17/08	10/24/12	\$1,377.57	\$881.94	\$0.00	\$495.63	\$0.00
	95 000	12/17/08	11/28/12	\$1,181.90	\$741.45	\$0.00	\$440.45	\$0.00
	1 000	12/17/08	12/07/12	\$12.65	\$7.80	\$0.00	\$4.85	\$0.00
	76 000	02/23/09	12/07/12	\$961.55	\$610.82	\$0.00	\$350.73	\$0.00
Security Subtotal	285 000			\$3,533.67	\$2,242.01	\$0.00	\$1,291.66	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2012

**PAUL & ANNETTA HIMMELFARB
FOUNDATION INC**
THORNBURG MANAGED ACCOUNT
 1240-A UPSHUR STREET, NW
 WASHINGTON DC 20011

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
 Plaza 2 2nd Floor
 Jersey City, NJ 07311
 Identification Number: 26-4310632

Taxpayer ID Number: 52-0784206
 Account Number: 067 160233 101

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SAP AG								
CUSIP: 803054204 Symbol (Box 1d): SAP								
	20 000	10/10/08	07/12/12	\$1,159.88	\$669.90	\$0.00	\$489.98	\$0.00
	3 000	10/10/08	09/19/12	\$217.90	\$100.48	\$0.00	\$117.42	\$0.00
	12 000	10/16/08	09/19/12	\$871.62	\$416.92	\$0.00	\$454.70	\$0.00
	12 000	10/16/08	10/24/12	\$856.85	\$416.92	\$0.00	\$439.93	\$0.00
	4 000	10/29/09	10/24/12	\$285.62	\$187.07	\$0.00	\$98.55	\$0.00
Security Subtotal	51.000			\$3,391.87	\$1,791.29	\$0.00	\$1,600.58	\$0.00
CUSIP: 80585Y308 Symbol (Box 1d): SBRCY								
SBERBANK RUSSIA SPONSORED ADR	31 000	09/09/11	12/14/12	\$375.08	\$352.47	\$0.00	\$22.61	\$0.00
	100.000	09/12/11	12/14/12	\$1,209.94	\$1,062.80	\$0.00	\$147.14	\$0.00
Security Subtotal	131.000			\$1,585.02	\$1,415.27	\$0.00	\$169.75	\$0.00
CUSIP: 826197501 Symbol (Box 1d): SI								
SIEMENS AKTIENGESELLSCHAFT	4 000	01/10/11	08/31/12	\$377.63	\$459.96	\$0.00	(\$82.33)	\$0.00
	8 000	01/10/11	09/04/12	\$751.87	\$919.93	\$0.00	(\$168.06)	\$0.00
	5 000	01/10/11	09/19/12	\$514.98	\$574.96	\$0.00	(\$59.98)	\$0.00
	5 000	01/11/11	09/19/12	\$514.97	\$591.76	\$0.00	(\$76.79)	\$0.00
	13 000	01/11/11	10/11/12	\$1,291.25	\$1,538.58	\$0.00	(\$247.33)	\$0.00
Security Subtotal	35.000			\$3,450.70	\$4,085.19	\$0.00	(\$634.49)	\$0.00
CUSIP: 881575302 Symbol (Box 1d): TSCDY								
TESCO PLC SPONSORED ADR	25 000	10/21/09	06/29/12	\$365.97	\$491.22	\$0.00	(\$125.25)	\$0.00
	20 000	10/21/09	06/29/12	\$291.81	\$392.97	\$0.00	(\$101.16)	\$0.00
	86.000	10/21/09	10/08/12	\$1,308.33	\$1,689.78	\$0.00	(\$381.45)	\$0.00
	66 000	10/21/09	10/09/12	\$992.98	\$1,296.81	\$0.00	(\$303.83)	\$0.00
	20 000	10/21/09	10/09/12	\$300.90	\$392.97	\$0.00	(\$92.07)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
THORNBURG MANAGED ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632
Taxpayer ID Number 52-0784206
Account Number 067 160233 101
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TESCO PLC SPONSORED ADR	57 000	04/21/10	10/10/12	\$860.01	\$1,160.77	\$0.00	(\$300.76)	\$0.00
	80 000	11/22/10	10/10/12	\$1,207.04	\$1,645.88	\$0.00	(\$438.84)	\$0.00
	11 000	12/01/10	10/10/12	\$165.97	\$214.64	\$0.00	(\$48.67)	\$0.00
	48 000	12/01/10	10/11/12	\$721.28	\$936.62	\$0.00	(\$215.34)	\$0.00
	69 000	01/21/11	10/11/12	\$1,036.83	\$1,334.49	\$0.00	(\$297.66)	\$0.00
Security Subtotal	482.000			\$7,251.12	\$9,556.15	\$0.00	(\$2,305.03)	\$0.00
TEVA PHARMACEUTICALS ADR								
	27 000	11/20/06	10/25/12	\$1,098.06	\$852.15	\$0.00	\$245.91	\$0.00
	7 000	11/20/06	12/06/12	\$292.84	\$220.93	\$0.00	\$71.91	\$0.00
	7 000	12/13/06	12/06/12	\$292.83	\$222.78	\$0.00	\$70.05	\$0.00
Security Subtotal	41.000			\$1,683.73	\$1,295.86	\$0.00	\$387.87	\$0.00
TURKIYE GARANTI BANKASI A S								
	179 000	02/04/10	11/28/12	\$816.95	\$775.88	\$0.00	\$41.07	\$0.00

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Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
 Harborside Financial Center
 Plaza 2 2nd Floor
 Jersey City, NJ 07311
 Identification Number: 26-4310632

PAUL & ANNETTA HIMMELFARB
 FOUNDATION INC
 THORNBURG MANAGED ACCOUNT
 1240-A UPSHUR STREET, NW
 WASHINGTON DC 20011

Taxpayer ID Number: 52-0784206
 Account Number: 067 160233 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities [#] (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	Symbol (Box 1d): WMMVY	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WAL-MART DE MEXICO SA SPON ADR	31 000	01/23/09	12/06/12		\$1,024.94	\$335.11	\$0.00	\$689.83	\$0.00
	10 000	01/28/09	12/06/12		\$330.63	\$112.62	\$0.00	\$218.01	\$0.00
Security Subtotal	41,000				\$1,355.57	\$447.73	\$0.00	\$907.84	\$0.00
Total Long Term Noncovered Securities					\$66,083.67	\$61,016.54	\$0.00	\$5,067.13	\$0.00
Total Short and Long Term, Covered and Noncovered Securities					\$72,837.78	\$67,321.90	\$0.00	\$5,515.88	\$0.00
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2)					\$72,837.78				
Total Cost or Other Basis (Box 3)						\$2,462.68			
Total Wash Sale Loss Disallowed (Box 5)							\$0.00		
Total Fed Tax Withheld (Box 4)									\$0.00

[#] Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

Ref 0005787 00033938

2012 Year End Summary

PAUL & ANNETTA HIMMELFARB

Account Number 22J-13394-18 509

Details of Earnings 2012 - continued

Dividends and Distributions, Section 2

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160003000	8816242080001 *** TEVA PHARMACEUTICAL INDS LTD ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		\$ 15.84				
160003100	8923313070001 *** TOYOTA MOTOR CORP ADR NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		6.57				
Totals			\$ 527.06				

Details of Short Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000080	75	ING GROEP NV SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/12/11	04/26/12	\$ 530.82	\$ 645.35	(\$ 114.53)	
125000090	55	ING GROEP NV SPONS ADR	08/12/11	04/27/12	384.73	473.25	(88.52)	
	126	MorganStanley SmithBarney LLC	08/16/11		881.39	1,119.09	(237.70)	
	167	acted as your agent	08/19/11		1,168.19	1,335.77	(167.58)	
	330	in this transaction.	09/16/11		2,308.39	2,441.80	(133.41)	
125000110	229	LI & FUNG LTD-HKD MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/01/11	03/27/12	1,134.32	858.18		276.14
125000140	354	NOKIA CORP SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	01/17/12	04/11/12	1,525.95	1,982.15	(456.20)	

2 0 1 2 Y E A R E N D S U M M A R Y

Ref 00005787 00033939

2012 Year End Summary

PAUL & ANNETTA HIMMELFARB

Account Number 22J-13394-18 509

Details of Short Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000190	.3103 .3638	SOUTHERN COPPER CORP DEL CASH IN LIEU OF .67410 RECORD 02/15/12 PAY 02/28/12	12/09/11 12/12/11	02/28/12	\$ 10.14 11.89	\$ 9.77 11.23		\$.37 .66
125000200	20	TECK RESOURCES LTD CL B SUB VTG MorganStanley SmithBarney LLC acted as your agent	07/21/11	03/22/12	699.17	1,070.67	(371.50)	
125000210	28 50 22 11	TECK RESOURCES LTD CL B SUB VTG MorganStanley SmithBarney LLC acted as your agent	07/21/11 07/25/11 09/19/11 12/01/11	03/23/12	985.14 1,759.18 774.04 387.03	1,498.93 2,669.57 832.53 407.82	(513.79) (909.39) (58.49) (20.79)	
Total					\$ 12,560.38	\$ 15,355.11	(\$ 3,071.90)	\$ 277.17

Details of Long Term Gain (Loss) 2012

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	59	ASSA ABLOY AB-SEK MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/09/10	01/25/12	\$ 783.39	\$ 812.00	(\$ 28.61)	
125000020	2	CNOOC LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/05/09	01/10/12	396.14	269.40		126.74
125000030	3	CNOOC LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/05/09	01/11/12	585.46	404.11		181.35
125000040	7	CNOOC LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/05/09	01/12/12	1,357.98	942.91		415.07

2 0 1 2 Y E A R E N D S U M M A R Y

2012 Year End Summary

PAUL & ANNETTA HIMMELFARB
Account Number 22J-13394-18 509

Details of Long Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000060	25	CARNIVAL CORP (PAIRED STOCK) MorganStanley SmithBarney LLC acted as your agent	10/27/06	01/17/12	\$ 738.59	\$ 1,217.49	(\$ 478.90)	
125000060	47	CREDIT SUISSE GROUP ADR MorganStanley SmithBarney LLC acted as your agent	02/18/11	02/28/12	1,291.92	2,217.74	(925.82)	
125000070	61	CREDIT SUISSE GROUP ADR MorganStanley SmithBarney LLC acted as your agent	02/18/11	02/29/12	1,645.93	2,878.35	(1,232.42)	
125000100	69	KOMATSU LTD ADR NEW MorganStanley SmithBarney LLC acted as your agent	12/03/07	03/01/12	2,021.65	2,101.04	(79.39)	
125000120	129 224	MITSUBISHI UFJ FINANCIAL GROUP INC ADR MorganStanley SmithBarney LLC acted as your agent	05/08/09 05/13/09	03/01/12	657.71 1,142.06	825.70 1,474.21	(167.99) (332.15)	
125000130	32	NESTLE S A SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent	06/22/07	02/02/12	1,847.02	1,176.57		670.45
125000150	14	NOVARTIS AG ADR MorganStanley SmithBarney LLC acted as your agent	09/29/06	01/10/12	796.48	816.20	(19.72)	
125000160	10 8	NOVARTIS AG ADR MorganStanley SmithBarney LLC acted as your agent	09/29/06 07/17/07	02/01/12	554.79 443.84	583.00 432.96	(28.21)	10.88
125000170	17	SAP AG SPONS ADR-USD MorganStanley SmithBarney LLC acted as your agent	10/07/08	03/05/12	1,154.99	636.25		518.74

2 0 1 2 Y E A R E N D S U M M A R Y

2012 Year End Summary

PAUL & ANNETTA HIMMELFARB

Account Number 22J-13394-18 509

Details of Long Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000180	11	SAP AG SPONS ADR-USD	10/07/08	04/13/12	\$ 721.07	\$ 411.69		\$ 309.38
	6	MorganStanley SmithBarney LLC acted as your agent in this transaction	10/10/08		393.31	200.97		192.34
125000220	28	TELEFONICA S.A. SPON ADR	06/16/08	01/25/12	473.65	766.93	(293.28)	
	117	MorganStanley SmithBarney LLC	08/01/08		1,979.17	3,031.82	(1,052.65)	
	102	acted as your agent	06/23/09		1,725.43	2,225.93	(500.50)	
	51	in this transaction	05/21/10		862.71	997.00	(134.29)	
125000230	26	TENCENT HLDGS LTD-HKD	04/26/10	03/20/12	724.75	551.42		173.33
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000240	8	TOYOTA MOTOR CORP ADR NEW	05/03/07	02/16/12	667.93	961.20	(293.27)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000250	170	TURKIYE GARANTI BANKASI A S SPONSORED ADR	02/04/10	02/03/12	658.53	736.86	(78.33)	
		MorganStanley SmithBarney LLC acted as your agent						
125000260	266	TURKIYE GARANTI BANKASI A S SPONSORED ADR	02/04/10	02/10/12	976.28	1,152.98	(176.70)	
		MorganStanley SmithBarney LLC acted as your agent						
125000270	49	VOLKSWAGEN A G SPONSORED ADR REPSTG PREF SH	08/02/10	03/12/12	1,800.49	1,064.84		735.65
		MorganStanley SmithBarney LLC acted as your agent						
125000280	16	VOLKSWAGEN A G	08/02/10	03/13/12	579.31	347.70		231.61
	48	SPONSORED ADR REPSTG PREF SH	08/25/10		1,737.93	945.12		792.81
	52	MorganStanley SmithBarney LLC acted as your agent	10/04/10		1,882.75	1,207.16		675.59
125000290	1	WAL-MART DE MEXICO SA DE	11/18/08	03/20/12	33.01	12.50		20.51
	53	CV SPON ADR	01/23/09		1,749.26	572.93		1,176.33
		MorganStanley SmithBarney LLC acted as your agent						
Total					\$ 32,383.53	\$ 31,974.98	(\$ 5,822.23)	\$ 6,230.78

2 0 1 2 Y E A R E N D S U M M A R Y

2012 Year End Summary

Ref 0005789 00033956

PAUL & ANNETTA HIMMELFARB

Account Number 22J-13396-16 509

Details of Short Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000720	18	UNITED THERAPEUTICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/03/11	01/09/12	\$ 871.35	\$ 988.99	(\$ 117.64)	
125000730	14 22	UNITED THERAPEUTICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/03/11 10/06/11	01/11/12	677.81 1,065.12	769.21 834.48	(91.40)	230.64
125000770	16 18	VISTEON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/10/11 05/16/11	02/06/12	832.91 937.03	1,037.62 1,121.75	(204.71) (184.72)	
125000790	8	WYNN RESORTS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/10/11	04/18/12	1,048.33	1,094.20	(45.87)	
125000800	37	XCEL ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/23/11	02/15/12	973.57	882.94		90.63
125000810	38	XCEL ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/23/11	02/17/12	1,001.12	906.81		94.31
125000820	54 27	XILINX INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/15/11 11/09/11	01/18/12	1,895.55 947.77	1,708.85 883.23		186.70 64.54
Total					\$ 57,976.26	\$ 62,756.72	(\$ 9,681.79)	\$ 4,901.33

2 0 1 2 Y E A R E N D S U M M A R Y

2012 Year End Summary

Ref: 00005789 00033957

PAUL & ANNETTA HIMMELFARB

Account Number 22J-13396-16 509

Details of Long Term Gain (Loss) 2012

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Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	26	SEAGATE TECHNOLOGY PLC	08/27/10	02/01/12	\$ 639.17	\$ 268.83		\$ 370.34
	21	MorganStanley SmithBarney LLC acted as your agent in this transaction	11/30/10		516.26	280.73		235.53
125000030	37	SEAGATE TECHNOLOGY PLC	11/30/10	02/09/12	985.67	494.61		491.06
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000040	38	SEAGATE TECHNOLOGY PLC	11/30/10	04/09/12	997.73	507.98		489.75
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000050	36	SEAGATE TECHNOLOGY PLC	11/30/10	04/13/12	987.40	481.25		506.15
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000060	7	SEAGATE TECHNOLOGY PLC	11/30/10	04/18/12	206.11	93.58		112.53
	32	MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/03/10		942.21	479.75		462.46
125000070	30	SEAGATE TECHNOLOGY PLC	12/03/10	04/27/12	858.20	449.76		408.44
	16	MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/12/11		457.70	228.36		229.34
125000080	34	WEATHERFORD INTERNATIONAL LTD.-CHF	03/05/10	04/09/12	476.64	596.77	(120.13)	
	36	MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/18/10		504.67	567.31	(62.64)	
125000090	5	AGCO CORP	11/13/09	04/02/12	238.23	146.72		91.51
	18	MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/20/10		857.63	521.13		336.50

2 0 1 2 Y E A R E N D S U M M A R Y

2012 Year End Summary

Ref. 00005789 00033958

PAUL & ANNETTA HIMMELFARB

Account Number 22J-13396-16 509

Details of Long Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000100	10	AGCO CORP	05/20/10	04/20/12	\$ 449.01	\$ 289.51		\$ 159.50
	28	MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/14/11		1,257.24	1,412.16	(154.92)	
125000140	12	AIRGAS INC	02/11/11	02/16/12	959.62	767.13		192.49
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000150	12	AIRGAS INC	02/11/11	03/07/12	975.90	767.13		208.77
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000160	11	AIRGAS INC	02/11/11	05/02/12	1,002.22	703.21		299.01
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000170	43	ALERE INC	08/20/10	01/17/12	963.76	1,237.80	(274.04)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000180	21	ALERE INC	08/20/10	01/23/12	470.20	604.51	(134.31)	
	25	MorganStanley SmithBarney LLC	08/25/10		559.76	701.85	(142.09)	
	33	MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/01/10		738.88	932.73	(193.85)	
125000190	20	ALERE INC	08/20/10	01/23/12	447.81	575.72	(127.91)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000220	15	AMERICAN TOWER CORP	04/06/06	01/10/12	917.56	458.52		459.04
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000230	15	AMERICAN TOWER CORP	04/06/06	02/15/12	957.92	458.51		499.41
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						

2 0 1 2 Y E A R E N D S U M M A R Y

2012 Year End Summary

PAUL & ANNETTA HIMMELFARB
Account Number 22J-13396-16 509

Details of Long Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000240	2	AMERICAN TOWER CORP	04/06/06	02/29/12	\$ 124.99	\$ 61.14		\$ 63.85
	14	MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/19/06		874.96	409.50		465.46
125000250	16	AMERICAN TOWER CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/19/06	03/09/12	997.65	468.00		529.65
125000260	124 45	BROCADE COMMUNICATIONS SYSTEMS INC-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	08/25/10 10/25/10	03/08/12	700.98 254.39	595.04 266.03	(11.64)	105.94
125000290	39	CAREFUSION CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/13/10	03/27/12	1,000.05	941.50		58.55
125000300	13 22	CELANESE CORPORATION SER A MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/21/09 06/03/09	05/02/12	620.03 1,049.27	256.58 456.35		363.45 592.92
125000310	16 27 24 23	CONSOL ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/25/10 08/20/10 08/25/10 11/23/10	01/23/12	548.64 925.82 822.95 788.67	718.24 912.99 753.04 956.56	(169.60)	12.83 69.91
125000320	47 42	CONSTELLATION BRANDS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/17/09 10/14/09	01/06/12	925.28 826.85	675.23 708.13		250.05 118.72
125000330	4 54	CONSTELLATION BRANDS INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/14/09 03/22/10	01/11/12	83.47 1,126.84	67.44 870.09		16.03 256.75
125000340	24	CROWN CASTLE INTERNATIONAL MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/18/08	02/23/12	1,235.25	691.76		543.49

2 0 1 2 Y E A R E N D S U M M A R Y

Ref. 0005789 00033960

2012 Year End Summary

PAUL & ANNETTA HIMMELFARB

Account Number 22J-13396-16 509

Details of Long Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000350	16	DOVER CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/24/10	02/01/12	\$ 1,028.86	\$ 826.52		\$ 202.34
125000380	5	EQUINIX INC	09/24/10	02/08/12	640.73	511.53		129.20
	11	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/06/10		1,409.62	855.46		554.16
125000390	3	EQUINIX INC	10/06/10	02/15/12	387.48	233.31		154.17
	6	MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/17/10		774.97	485.55		289.42
125000400	6	EQUINIX INC	12/17/10	03/26/12	903.00	485.54		417.46
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000410	13	EQUINIX INC	01/03/11	04/19/12	2,057.21	1,091.33		965.88
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000440	10	FMC CORP-NEW	09/10/09	03/07/12	970.56	532.91		437.65
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000450	6	FMC CORP-NEW	09/10/09	03/09/12	595.16	319.75		275.41
	6	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/29/09		595.16	313.68		281.48
125000470	66	HERTZ GLOBAL HOLDINGS INC	10/07/10	02/13/12	977.72	640.64		337.08
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000480	66	HERTZ GLOBAL HOLDINGS INC	10/07/10	04/19/12	973.86	640.64		333.22
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						

2 0 1 2 Y E A R E N D S U M M A R Y

2012 Year End Summary

PAUL & ANNETTA HIMMELFARB

Account Number 22J-13396-16 509

Details of Long Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000490	50	HOLOGIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/24/09	01/03/12	\$ 888 11	\$ 706 52		\$ 181 59
125000500	37	HOLOGIC INC	04/30/09	01/30/12	706.01	574.02		131 99
	11	COMMON STOCK MorganStanley SmithBarney LLC acted as your agent	05/05/09		209.89	132 99		76 90
125000510	45	HOLOGIC INC	05/05/09	02/01/12	931.52	544.07		387 45
	4	COMMON STOCK MorganStanley SmithBarney LLC acted as your agent	05/07/09		82 80	48 73		34 07
125000530	15	NII HLDGS INC CLASS B NEW	03/14/08	01/25/12	298 47	492.62	(194.15)	
	24	MorganStanley SmithBarney LLC	03/19/08		477 55	765.19	(287.64)	
	39	acted as your agent	04/22/09		776 02	569 57		206 45
	30	in this transaction	05/29/09		596.94	608.66	(11.72)	
	20		10/14/10		397 97	781.88	(383.91)	
125000550	12	PVH CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/18/08	02/13/12	981.43	434.09		547 34
125000650	40	SPIRIT AEROSYSTEMS HLDGS INC	12/06/10	02/29/12	954 46	812 64		141 82
	10	CLASS A MorganStanley SmithBarney LLC acted as your agent	12/22/10		238.62	208.05		30.57
125000660	44	SUNTRUST BANKS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/01/09	03/14/12	1,026 88	582 85		444 03
125000740	21	VISTEON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/09/10	01/09/12	1,095 30	1,380 52	(285 22)	
125000750	19	VISTEON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/09/10	01/26/12	925 23	1,249 03	(323 80)	

2 0 1 2 Y E A R E N D S U M M A R Y

Ref 00005789 00033962

2012 Year End Summary

PAUL & ANNETTA HIMMELFARB

Account Number 22J-13396-16 509

Details of Long Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000760	3	VISTEON CORP	11/09/10	01/30/12	\$ 143.28	\$ 197.22	(\$ 53.94)	
	15	MorganStanley SmithBarney LLC	12/01/10		716.38	927.83	(211.45)	
	2	acted as your agent in this transaction.	01/11/11		95.51	152.01	(56.50)	
125000770	11	VISTEON CORP	01/11/11	02/06/12	572.63	836.06	(263.43)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125000780	17	WHITING PETROLEUM CORP NEW	12/13/10	02/23/12	1,011.01	969.42		41.59
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
Total					\$ 55,713.93	\$ 43,744.01	(\$ 3,630.78)	\$ 15,600.70

R The basis for this tax lot has been adjusted due to a reclassification of income

Details of Deposits and Withdrawals 2012

This section reflects any deposits or withdrawals made to your account during the year.

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	05/04/12	MORGAN STANLEY LIQUID ASSET FUND INC		\$ 8,177.25
		TRANSFER TO MSSB		
220000300	04/20/12	CONSULTING & ADVISORY SERVICES FROM 04/01/12 TO 06/30/12		1,247.69
Total				\$ 10,545.28

Reference number	Date	Description	Referral number	Amount
220000200	01/20/12	CONSULTING & ADVISORY SERVICES FROM 01/01/12 TO 03/31/12		\$ 1,106.34
220000400	05/18/12	TRANSFER TO MSSB		14.00

2 0 1 2 Y E A R E N D S U M M A R Y

Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number* 26-4310632
Taxpayer ID Number 52-0784206
Account Number* 067 160228 101
Customer Service: 866-324-6088

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
SAGE ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FNMA 0375 15MH16		CUSIP: 3135G0HG1	Symbol (Box 1d):						
	130,000 000	04/30/12	09/13/12	\$130,079 43	\$129,581.40	\$0 00	\$498 03	\$0 00	
FNMA POOL AB1149 5000 40JN01		CUSIP: 31416WH39	Symbol (Box 1d):						
	337 450		05/25/12	\$337 45	\$0 00	\$0 00	\$0 00	\$0 00	PP
	438 780		06/25/12	\$438 78	\$0 00	\$0 00	\$0 00	\$0 00	PP
	445 560		07/25/12	\$445 56	\$0 00	\$0 00	\$0 00	\$0 00	PP
	511 850		08/25/12	\$511 85	\$0 00	\$0 00	\$0 00	\$0 00	PP
	511 590		09/25/12	\$511 59	\$0 00	\$0 00	\$0 00	\$0 00	PP
	308 660		10/25/12	\$308 66	\$0 00	\$0 00	\$0 00	\$0 00	PP
	452 170		11/25/12	\$452 17	\$0 00	\$0 00	\$0 00	\$0 00	PP
	281,770		12/25/12	\$281 77	\$0 00	\$0 00	\$0 00	\$0 00	PP
Security Subtotal	3,287,830			\$3,287.83	\$0.00	\$0.00	\$0.00	\$0.00	
FNMA POOL 899717 6000 37SP01		CUSIP: 31410WSE9	Symbol (Box 1d):						
	1,382 200		05/25/12	\$1,382 20	\$0 00	\$0 00	\$0 00	\$0 00	PP
	534,830		06/25/12	\$534.83	\$0 00	\$0 00	\$0 00	\$0 00	PP
	2,473 360		07/25/12	\$2,473 36	\$0 00	\$0 00	\$0 00	\$0 00	PP
	1,588 460		08/25/12	\$1,588 46	\$0 00	\$0 00	\$0 00	\$0 00	PP
	1,136,080		09/25/12	\$1,136.08	\$0 00	\$0 00	\$0 00	\$0 00	PP
Security Subtotal	7,114,930			\$7,114.93	\$0.00	\$0.00	\$0.00	\$0.00	
GNMA POOL 002535 7000 28JA20		CUSIP: 36202CY80	Symbol (Box 1d):						
	31 430		05/20/12	\$31 43	\$0 00	\$0 00	\$0 00	\$0 00	PP
	45 930		06/20/12	\$45 93	\$0 00	\$0 00	\$0 00	\$0 00	PP
	40 790		07/20/12	\$40 79	\$0 00	\$0 00	\$0 00	\$0 00	PP
	11 690		08/20/12	\$11.69	\$0 00	\$0 00	\$0 00	\$0 00	PP

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number	52-0784206
Account Number*	067 160228 101

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 9949 Part 1 with box B checked.)
(Continued)

DESCRIPTION (Box 8)		QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GNMA POOL 002535 7000 28JA20		41 980		09/20/12	\$41.98	\$0.00	\$0.00	\$0.00	\$0.00	PP
		49 130		10/20/12	\$49.13	\$0.00	\$0.00	\$0.00	\$0.00	PP
		11 460		11/20/12	\$11.46	\$0.00	\$0.00	\$0.00	\$0.00	PP
		47 120		12/20/12	\$47.12	\$0.00	\$0.00	\$0.00	\$0.00	PP
Security Subtotal		279,530			\$279.53	\$0.00	\$0.00	\$0.00	\$0.00	
US TSY NOTE 0250 14AP30			CUSIP: 912828SR2		Symbol (Box 1d):					
		5,000,000	07/23/12	08/09/12	\$4,998.81	\$5,003.24	\$0.00	(\$4.43)	\$0.00	
		24,000,000	07/23/12	09/13/12	\$24,006.48	\$24,014.73	\$0.00	(\$8.25)	\$0.00	
		18,000,000	09/10/12	09/13/12	\$18,004.86	\$18,002.18	\$0.00	\$2.68	\$0.00	
Security Subtotal		47,000,000			\$47,010.15	\$47,020.15	\$0.00	(\$10.00)	\$0.00	
US TSY NOTE 0250 15SP15			CUSIP: 912828TP5		Symbol (Box 1d):					
		25,000,000	09/13/12	09/20/12	\$24,928.63	\$24,951.25	\$0.00	(\$22.62)	\$0.00	
US TSY NOTE 0375 15MH15			CUSIP: 912828SK7		Symbol (Box 1d):					
		110,000,000	04/30/12	09/13/12	\$110,270.38	\$110,000.36	\$0.00	\$270.02	\$0.00	
US TSY NOTE 0500 13OC15			CUSIP: 912828PB0		Symbol (Box 1d):					
		30,000,000	03/28/12	07/23/12	\$30,110.07	\$30,078.21	\$0.00	\$31.86	\$0.00	
US TSY NOTE 1000 16OC31			CUSIP: 912828RM4		Symbol (Box 1d):					
		49,000,000	12/07/11	07/17/12	\$49,972.16	\$49,295.77	\$0.00	\$676.39	\$0.00	
		20,000,000	01/11/12	07/17/12	\$20,396.80	\$20,186.49	\$0.00	\$210.31	\$0.00	
		22,000,000	03/06/12	07/17/12	\$22,436.48	\$22,226.94	\$0.00	\$209.54	\$0.00	
Security Subtotal		91,000,000			\$92,805.44	\$91,709.20	\$0.00	\$1,096.24	\$0.00	

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
SAGE ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Taxpayer ID Number 52-0784206
Account Number 067 160228 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
US TSY NOTE 1000 17MH31		CUSIP: 912828SM3	Symbol (Box 1d):						
	25,000 000	07/17/12	12/11/12	\$25,497.98	\$25,430.06	\$0.00	\$67.92	\$0.00	
US TSY NOTE 1375 12NV15		CUSIP: 912828LX6	Symbol (Box 1d):						
	50,000 000	04/30/12	09/13/12	\$50,105.30	\$50,326.34	\$0.00	(\$221.04)	\$0.00	
US TSY NOTE 3125 21MY15		CUSIP: 912828QN3	Symbol (Box 1d):						
	13,000 000	07/16/12	09/19/12	\$14,716.35	\$14,996.22	\$0.00	(\$279.87)	\$0.00	
	12,000 000	07/16/12	09/20/12	\$13,622.29	\$13,842.11	\$0.00	(\$219.82)	\$0.00	
	10,000 000	07/16/12	12/17/12	\$11,325.74	\$11,494.54	\$0.00	(\$168.80)	\$0.00	
Security Subtotal	35,000 000			\$39,664.38	\$40,332.87	\$0.00	(\$668.49)	\$0.00	
US TSY NOTE 3500 20MY15		CUSIP: 912828ND8	Symbol (Box 1d):						
	14,000 000	07/26/11	07/16/12	\$16,569.17	\$14,789.02	\$0.00	\$1,780.15	\$0.00	
	15,000 000	07/26/11	07/16/12	\$17,752.68	\$15,828.55	\$0.00	\$1,924.13	\$0.00	
	15,000 000	08/01/11	07/16/12	\$17,752.68	\$16,083.06	\$0.00	\$1,669.62	\$0.00	
	14,000 000	10/18/11	07/16/12	\$16,569.16	\$15,660.01	\$0.00	\$909.15	\$0.00	
Security Subtotal	58,000 000			\$68,643.69	\$62,360.64	\$0.00	\$6,283.05	\$0.00	
WACHOVIA CORP 5500 13MY01		CUSIP: 92976WBJ4	Symbol (Box 1d):						
	25,000 000	01/11/12	09/20/12	\$25,755.00	\$25,627.16	\$0.00	\$127.84	\$0.00	
Total Short Term Noncovered Securities				\$655,552.74	\$637,417.64	\$0.00	\$7,452.81	\$0.00	

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Corporate Tax Statement Tax Year 2012

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
SAGE ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number* 26-4310632

Taxpayer ID Number 52-0784206
Account Number* 067 160228 101

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ALCOA INC 6150 20AU15	10,000 000	07/08/11	11/05/12	\$10,921 60	\$10,691 16	\$0 00	\$230 44	\$0 00	
	15,000 000	07/08/11	11/06/12	\$16,328 10	\$16,036 45	\$0 00	\$291 65	\$0 00	
Security Subtotal	25,000,000			\$27,249.70	\$26,727.61	\$0.00	\$522.09	\$0.00	
ARCELORMITTAL 10100 19JN01	20,000 000	02/15/11	08/07/12	\$22,850 00	\$24,725 83	\$0 00	(\$1,875 83)	\$0 00	
BOEING CO 1875 12NV20	25,000,000	02/02/11	11/20/12	\$25,000 00	\$25,000 00	\$0 00	\$0 00	\$0 00	
FNMA POOL 899717 6000 37SP01	75,485 000	02/09/10	09/10/12	\$18,183.11	\$17,494 66	\$0 00	\$688 45	\$0 00	
GENERAL ELEC CAP 4375 20SP16	5,000,000	03/24/11	10/12/12	\$5,605 95	\$4,876 55	\$0.00	\$729 40	\$0 00	
METLIFE INC 7717 19FB15	5,000 000	11/04/09	10/12/12	\$6,599 05	\$5,626 77	\$0 00	\$962 28	\$0 00	
US TSY NOTE 2750 19FB15	15,000 000	06/17/11	07/17/12	\$16,775 33	\$15,327 79	\$0 00	\$1,447 54	\$0 00	

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632
Taxpayer ID Number 52-0784206
Account Number 067 160228 101
Customer Service: 866-324-6088

PAUL & ANNETTA HIMMELFARB
FOUNDATION INC
SAGE ACCOUNT
1240-A UPSHUR STREET, NW
WASHINGTON DC 20011

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949, Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
US TSY NOTE 3500 20MY15									
	13,000,000	03/17/11	07/16/12	\$15,385.66	\$13,319.18	\$0.00	\$2,066.48	\$0.00	
	9,000,000	03/18/11	07/16/12	\$10,651.61	\$9,218.09	\$0.00	\$1,433.52	\$0.00	
Security Subtotal	22,000,000			\$26,037.27	\$22,537.27	\$0.00	\$3,500.00	\$0.00	
Total Long Term Noncovered Securities				\$148,290.41	\$142,316.48	\$0.00	\$5,973.93	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$803,843.15	\$779,734.12	\$0.00	\$13,426.74	\$0.00	
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2)				\$803,843.15					
Total Cost or Other Basis (Box 3)					\$0.00				
Total Wash Sale Loss Disallowed (Box 5)						\$0.00			
Total Fed Tax Withheld (Box 4)								\$0.00	

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

PP This represents a return of your investment. Please compare your cost basis for this principal payment with the amount of the payment. You may have a capital loss if your cost basis exceeds the principal received or ordinary income if your cost basis is less than the principal payment. Principal payments are reported on Form 8949, Sales and Other Dispositions of Capital Assets, of the tax return. Please consult your tax advisor.



SUPPLEMENTAL TAX INFORMATION

DESCRIPTION	CUSIP	MUNICIPAL OID	ACCRUED INTEREST PAID	MUNI ACCRUED INTEREST PAID
NOBLE HOLDING INT 3950 22MH15	65504LAJ6	\$0.00	\$135.18	\$0.00
ONEOK PARTNERS 3375 *22OC01	68268NAJ2	\$0.00	\$26.91	\$0.00
US TSY NOTE 0250 14AP30	912828SR2	\$0.00	\$33.38	\$0.00
US TSY NOTE 0250 14AU31	912828TL4	\$0.00	\$52.14	\$0.00
US TSY NOTE 0250 15SP15	912828TP5	\$0.00	\$28.46	\$0.00
US TSY NOTE 1000 17MH31	912828SM3	\$0.00	\$530.57	\$0.00
US TSY NOTE 3125 21MY15	912828QN3	\$0.00	\$524.37	\$0.00
VERIZON WIRELESS 5550 14FB01	92344SAP5	\$0.00	\$10.02	\$0.00
WELLS FARGO & CO 1500 15JL01	94974BFE5	\$0.00	\$91.67	\$0.00
Total		\$0.00	\$1,432.70	\$0.00