Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation**

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

**2012**

Open to public inspection

**For calendar year 2012 or tax year beginning , and ending**

Name of foundation
JOCKERS FAMILY FOUNDATION
GOLDMAN SACHS TRUST COMPANY,N.A.,TRUSTEE

**A Employer identification number**
51-6497412

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
601 DELAWARE AVENUE, 2ND FLOOR

**B** Telephone number
3027785412

City or town, state, and ZIP code
WILMINGTON, DE 19801

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D** 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 6,381,098.

**J** Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | Line | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc., received | | | | |
| | 2 | Check ▶ ☒ if the foundation is not required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 135,967. | 135,967. | | STATEMENT 1 |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 180,152. | | | |
| | b | Gross sales price for all assets on line 6a 671,439. | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 180,152. | | |
| | 8 | Net short-term capital gain | | | N/A | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) | | | | |
| | 11 | Other income | 201. | 0. | 0. | STATEMENT 2 |
| | 12 | **Total.** Add lines 1 through 11 | 316,320. | 316,119. | 0. | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 36,710. | 18,355. | 0. | 18,355. |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees | | | | |
| | b | Accounting fees | | | | |
| | c | Other professional fees STMT 3 | 13,817. | 13,817. | 0. | 0. |
| | 17 | Interest | | | | |
| | 18 | Taxes STMT 4 | 1,004. | 1,004. | 0. | 0. |
| | 19 | Depreciation and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | | | |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses STMT 5 | 14,856. | 14,856. | 0. | 0. |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 66,387. | 48,032. | 0. | 18,355. |
| | 25 | Contributions, gifts, grants paid | 304,000. | | | 304,000. |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 370,387. | 48,032. | 0. | 322,355. |
| | 27 | Subtract line 26 from line 12: | | | | |
| | a | Excess of revenue over expenses and disbursements | -54,067. | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 268,087. | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | 0. | |

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| Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only) | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|
| **Assets** | | | |
| 1 Cash - non-interest-bearing | | | |
| 2 Savings and temporary cash investments | 182,185. | 533,437. | 533,437. |
| 3 Accounts receivable ▶ | | | |
| Less: allowance for doubtful accounts ▶ | | | |
| 4 Pledges receivable ▶ | | | |
| Less: allowance for doubtful accounts ▶ | | | |
| 5 Grants receivable | | | |
| 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| 7 Other notes and loans receivable ▶ | | | |
| Less: allowance for doubtful accounts ▶ | | | |
| 8 Inventories for sale or use | | | |
| 9 Prepaid expenses and deferred charges | | | |
| 10a Investments - U.S. and state government obligations | | | |
| b Investments - corporate stock STMT 6 | 1,017,918. | 1,002,974. | 1,431,181. |
| c Investments - corporate bonds | | | |
| 11 Investments - land, buildings, and equipment basis ▶ | | | |
| Less accumulated depreciation ▶ | | | |
| 12 Investments - mortgage loans | | | |
| 13 Investments - other STMT 7 | 4,524,361. | 4,231,171. | 4,416,480. |
| 14 Land, buildings, and equipment: basis ▶ | | | |
| Less accumulated depreciation ▶ | | | |
| 15 Other assets (describe ▶ ) | | | |
| 16 **Total assets** (to be completed by all filers) | 5,724,464. | 5,767,582. | 6,381,098. |
| **Liabilities** | | | |
| 17 Accounts payable and accrued expenses | | | |
| 18 Grants payable | | | |
| 19 Deferred revenue | | | |
| 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 Mortgages and other notes payable | | | |
| 22 Other liabilities (describe ▶ ) | | | |
| 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| **Net Assets or Fund Balances** | | | |
| **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 Unrestricted | | | |
| 25 Temporarily restricted | | | |
| 26 Permanently restricted | | | |
| **Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.** | | | |
| 27 Capital stock, trust principal, or current funds | 5,724,464. | 5,767,582. | |
| 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| 29 Retained earnings, accumulated income, endowment, or other funds | 0. | 0. | |
| 30 **Total net assets or fund balances** | 5,724,464. | 5,767,582. | |
| 31 **Total liabilities and net assets/fund balances** | 5,724,464. | 5,767,582. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|---|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 5,724,464. |
| 2 Enter amount from Part I, line 27a | 2 | -54,067. |
| 3 Other increases not included in line 2 (itemize) ▶ OTHER INCREASES | 3 | 97,185. |
| 4 Add lines 1, 2, and 3 | 4 | 5,767,582. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 5,767,582. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENT | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 671,439. | | 576,377. | 180,152. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 180,152. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 180,152.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 4,299.

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2011 | 292,690. | 6,261,152. | .046747 |
| 2010 | 269,932. | 6,012,908. | .044892 |
| 2009 | 297,640. | 5,351,581. | .055617 |
| 2008 | 420,002. | 7,075,637. | .059359 |
| 2007 | 326,660. | 8,083,560. | .040410 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .247025 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .049405 |
| 4 | Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 | 4 | 6,220,693. |
| 5 | Multiply line 4 by line 3 | 5 | 307,333. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 2,681. |
| 7 | Add lines 5 and 6 | 7 | 310,014. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 322,355. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b | | 1 | 2,681. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 | Add lines 1 and 2 | | 3 | 2,681. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 2,681. |
| 6 | Credits/Payments: | | | |
| a | 2012 estimated tax payments and 2011 overpayment credited to 2012 | 6a 3,360. | | |
| b | Exempt foreign organizations - tax withheld at source | 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c 8,200. | | |
| d | Backup withholding erroneously withheld | 6d | | |
| 7 | Total credits and payments. Add lines 6a through 6d | | 7 | 11,560. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | 8,879. |
| 11 | Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ | 2,720. **Refunded** ▶ | 11 | 6,159. |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | | X |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ KATHLEEN KINNE Telephone no. ▶ 302-778-5412
Located at ▶ 601 DELAWARE AVENUE 2ND FLOOR, WILMINGTON, DE ZIP+4 ▶ 19801

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

| | | Line | Yes | No |
|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | |
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | Yes | No |
|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to: | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No | | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No | | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No | | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No | | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | | |
| Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No | | | |
| *If "Yes," attach the statement required by Regulations section 53 4945-5(d).* | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |
| *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No | | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| GOLDMAN SACHS TRUST CO.<br>601 DELAWARE AVE 2ND FLOOR<br>WILMINGTON, DE 19890 | TRUSTEE<br>40.00 | 36,710. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

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**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | 0. |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 NONE | 0. |
| 2 | |
| All other program-related investments. See instructions.<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 1,648,080. |
| b | Average of monthly cash balances | 1b | 194,515. |
| c | Fair market value of all other assets | 1c | 4,472,829. |
| d | **Total** (add lines 1a, b, and c) | 1d | 6,315,424. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 6,315,424. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 94,731. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 6,220,693. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 311,035. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 311,035. |
| 2a | Tax on investment income for 2012 from Part VI, line 5 2a 2,681. | | |
| b | Income tax for 2012. (This does not include the tax from Part VI.) 2b | | |
| c | Add lines 2a and 2b | 2c | 2,681. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 308,354. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 308,354. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 308,354. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 322,355. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 322,355. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 2,681. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 319,674. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2011 | (c) 2011 | (d) 2012 |
|---|---|---|---|---|
| 1 Distributable amount for 2012 from Part XI, line 7 | | | | 308,354. |
| 2 Undistributed income, if any, as of the end of 2012 | | | | |
| a Enter amount for 2011 only | | | 0. | |
| b Total for prior years: ___ , ___ , ___ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2012: | | | | |
| a From 2007 | | | | |
| b From 2008 | | | | |
| c From 2009 | | | | |
| d From 2010 | | | | |
| e From 2011 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 322,355. | | | | |
| a Applied to 2011, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2012 distributable amount | | | | 308,354. |
| e Remaining amount distributed out of corpus | 14,001. | | | |
| 5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 14,001. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a | 14,001. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2008 | | | | |
| b Excess from 2009 | | | | |
| c Excess from 2010 | | | | |
| d Excess from 2011 | | | | |
| e Excess from 2012 14,001. | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2012 | (b) 2011 | (c) 2010 | (d) 2009 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

N/A - NO FORMAL POLICY ESTABLISHED

b The form in which applications should be submitted and information and materials they should include:

N/A - NO FORMAL POLICY ESTABLISHED

c Any submission deadlines:

N/A - NO FORMAL POLICY ESTABLISHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A - NO FORMAL POLICY ESTABLISHED

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient: Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| CASTLE IN THE CLOUDS<br>MOULTONBOROUGH, NH 03254 | | 170(B)(1)(A)(II | PUBLIC INTEREST - CH | 5,000. |
| HESTER J HODGDON LIBRARIES FOR ALL PROGRAM<br>LOVELAND, CO 80538 | | 170(B)(1)(A)(II | EDUCATION - CHARITAB | 5,000. |
| BROOKLINE TEEN CENTER INC<br>BROOKLINE, MA 02445 | | 170(B)(1)(A)(II | PUBLIC INTEREST - CH | 25,000. |
| ST. MARYS CENTER FOR WOMEN AND CHILDREN<br>DORCHESTER 02125 | | 170(B)(1)(A)(II | PUBLIC INTEREST - CH | 2,000. |
| ST ANDREWS EPISCOPAL SCHOOL INC<br>POTOMAC, MD 20854 | | 170(B)(1)(A)(II | EDUCATION - CHARITAB | 5,000. |
| **Total** SEE CONTINUATION SHEET(S) | | | ▶ 3a | 304,000. |
| *b Approved for future payment* | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 135,967. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 18 | 201. | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 180,152. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a NONDIVIDEND | | | | | |
| b DISTRIBUTIONS | | | 14 | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 316,320. | 0. |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 316,320.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Line | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Kathleen S Kinse 11/15/13 Trust Officer

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

| Paid Preparer Use Only | | | | |
|---|---|---|---|---|
| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
| KELLY NEUGEBAUER | | 11/15/13 | | P01285591 |
| Firm's name ▶ DELOITTE TAX LLP | | | Firm's EIN ▶ 86-1065772 | |
| Firm's address ▶ 127 PUBLIC SQUARE CLEVELAND, OH 44114 | | | Phone no. 216-589-1300 | |

Form **8868**
(Rev. January 2013)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

*Do not complete Part II unless* you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing** *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

| Type or print | | |
|---|---|---|
| File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions.<br>JOCKERS FAMILY FOUNDATION<br>GOLDMAN SACHS TRUST COMPANY,N.A.,TRUSTEE | Employer identification number (EIN) or<br>51-6497412 |
| | Number, street, and room or suite no. If a P.O. box, see instructions.<br>601 DELAWARE AVENUE, 2ND FLOOR | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>WILMINGTON, DE 19801 | |

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ KATHLEEN KINNE
  601 DELAWARE AVENUE 2ND FLOOR - WILMINGTON, DE 19801
  Telephone No. ▶ 302-778-5412 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2013 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [X] calendar year 2012 or
▶ [ ] tax year beginning ______ , and ending ______ .

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

| | | | |
|---|---|---|---|
| **3a** If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | $ | 8,200. |
| **b** If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | $ | 0. |
| **c Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | $ | 8,200. |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

● If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

● If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

**Part II** **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

**Enter filer's identifying number, see instructions**

| | | |
|---|---|---|
| **Type or print** File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions<br>JOCKERS FAMILY FOUNDATION<br>GOLDMAN SACHS TRUST COMPANY,N.A.,TRUSTEE | Employer identification number (EIN) or<br>51-6497412 |
| | Number, street, and room or suite no. If a P.O box, see instructions.<br>601 DELAWARE AVENUE, 2ND FLOOR | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>WILMINGTON, DE 19801 | |

Enter the Return code for the return that this application is for (file a separate application for each return) [0][4]

| **Application Is For** | **Return Code** | **Application Is For** | **Return Code** |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

● The books are in the care of ▶ KATHLEEN KINNE 601 DELAWARE AVENUE 2ND FLOOR - WILMINGTON, DE 19801

Telephone No. ▶ 302-778-5412 FAX No. ▶

● If the organization does not have an office or place of business in the United States, check this box ▶ [ ]

● If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ▶ [ ]. If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2013.

5 For calendar year 2012, or other tax year beginning ______, and ending ______.

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
AN ATTEMPT TO OBTAIN INFORMATION NECESSARY FOR FILING A RETURN WAS REQUESTED IN A TIMELY FASHION, BUT THE INFORMATION WAS NOT FURNISHED IN SUFFICIENT TIME TO PERMIT THE TIMELY FILING OF THE RETURN.

| | | | |
|---|---|---|---|
| **8a** If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 8a | $ | 8,200. |
| **b** If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | $ | 8,200. |
| **c** **Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions. | 8c | $ | 0. |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Date ▶

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | GS - 021944061 COVERED (SEE ATTACHMENTS) | P | VARIOUS | 12/31/12 |
| b | GS - 021944061 COVERED - WASH SALES - DISALLOWED | | VARIOUS | 12/31/12 |
| c | GS - 021944061 COVERED (SEE ATTACHMENTS) | P | VARIOUS | 12/31/12 |
| d | GS - 021944061 NON COVERED (SEE ATTACHMENTS) | P | VARIOUS | 12/31/12 |
| e | STATE STREET BANK - (SEE ATTACHMENTS) | P | VARIOUS | 12/31/12 |
| f | STATE STREET BANK - (SEE ATTACHMENTS) | P | VARIOUS | 12/31/12 |
| g | NET ST CAP GAIN ACADIAN | | VARIOUS | 12/31/12 |
| h | NET LT CAP GAIN ACADIAN | | VARIOUS | 12/31/12 |
| i | NET ST CAP LOSS VONTOBEL | | VARIOUS | 12/31/12 |
| j | NET LT CAP GAIN VONTOBEL | | VARIOUS | 12/31/12 |
| k | CAPITAL GAINS DIVIDENDS | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 103,504. | | 103,501. | 3. |
| b | | | | 112. |
| c | 64,343. | | 65,417. | -1,074. |
| d | 146,431. | | 115,655. | 30,776. |
| e | 89,062. | | 85,602. | 3,460. |
| f | 250,984. | | 206,202. | 44,782. |
| g | | | | 1,514. |
| h | | | | 48,175. |
| i | | | | -790. |
| j | | | | 36,079. |
| k | 17,115. | | | 17,115. |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| a | | | | ** 3. |
| b | | | | ** 112. |
| c | | | | -1,074. |
| d | | | | 30,776. |
| e | | | | ** 3,460. |
| f | | | | 44,782. |
| g | | | | ** 1,514. |
| h | | | | 48,175. |
| i | | | | ** -790. |
| j | | | | 36,079. |
| k | | | | 17,115. |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | 180,152. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 | 3 | 4,299. |

223591
05-01-12

** (SHORT-TERM)

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | 116 34 | Net Covered Long-Term Gains (Losses) | (1,073 50) | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | 0 00 | Net NonCovered Long-Term Gains (Losses) | 30,776 67 | | |
| Net 1099B Non Reportable Short-Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long-Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short-Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long-Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **116 34** | **Total Long-Term Gains (Losses)** | **29,703 17** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| VERTEX PHARMACEUTICALS INC CMN (92532F100) | 01/31/2012 | 05/10/2012 | 33 00 | 1,993 65 | 0 00 | 1,227 45 | 766 20 |
| VERTEX PHARMACEUTICALS INC CMN (92532F100) | 01/31/2012 | 05/10/2012 | 33 00 | 1,993 65 | 0 00 | 1,235 88 | 757 77 |
| NVIDIA CORP CMN (67066G104) | 08/02/2011 | 05/18/2012 | 2 00 | 24 56 | 0 00 | 29 57 | (5 01) |
| NVIDIA CORP CMN (67066G104) | 08/01/2011 | 05/18/2012 | 7 00 | 85 98 | 0 00 | 101 40 | (15 42) |
| NVIDIA CORP CMN (67066G104) | 08/01/2011 | 05/18/2012 | 10 00 | 122 82 | 0 00 | 146 00 | (23 18) |
| NVIDIA CORP CMN (67066G104) | 08/01/2011 | 05/18/2012 | 11 00 | 135 11 | 0 00 | 160 05 | (24 94) |
| NVIDIA CORP CMN (67066G104) | 08/02/2011 | 05/18/2012 | 28 00 | 343 91 | 0 00 | 412 05 | (68 14) |
| NVIDIA CORP CMN (67066G104) | 08/02/2011 | 05/18/2012 | 49 00 | 601 84 | 0 00 | 727 99 | (126 15) |
| NVIDIA CORP CMN (67066G104) | 08/02/2011 | 05/18/2012 | 66 00 | 810 64 | 0 00 | 985 18 | (174 54) |
| NVIDIA CORP CMN (67066G104) | 08/01/2011 | 05/18/2012 | 77 00 | 945 75 | 0 00 | 1,117 53 | (171 78) |
| NVIDIA CORP CMN (67066G104) | 08/15/2011 | 05/21/2012 | 2 00 | 24 38 | 0 00 | 26 51 | (2 13) |
| NVIDIA CORP CMN (67066G104) | 08/15/2011 | 05/21/2012 | 6 00 | 73 13 | 0 00 | 79 74 | (6 61) |
| NVIDIA CORP CMN (67066G104) | 08/02/2011 | 05/21/2012 | 9 00 | 109 69 | 0 00 | 132 44 | (22 75) |
| NVIDIA CORP CMN (67066G104) | 08/15/2011 | 05/21/2012 | 193 00 | 2,352 30 | 0 00 | 2,579 66 | (227 36) |
| EMERSON ELECTRIC CO CMN (291011104) | 06/14/2011 | 05/30/2012 | 27 00 | 1,272 04 | 0 00 | 1,425 57 | (153 53) |
| EMERSON ELECTRIC CO CMN (291011104) | 06/14/2011 | 05/30/2012 | 28 00 | 1,318 27 | 0 00 | 1,478 37 | (160 10) |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| EMERSON ELECTRIC CO CMN (291011104) | 08/11/2011 | 05/30/2012 | 33 00 | 1,554 71 | 0 00 | 1,480 86 | 73 85 |
| EMERSON ELECTRIC CO CMN (291011104) | 06/14/2011 | 05/30/2012 | 44 00 | 2,072 95 | 0 00 | 2,312 75 | (239 80) |
| EMERSON ELECTRIC CO CMN (291011104) | 08/05/2011 | 05/30/2012 | 85 00 | 4,004 57 | 0 00 | 3,942 60 | 61 97 |
| EMERSON ELECTRIC CO CMN (291011104) | 08/11/2011 | 05/31/2012 | 6 00 | 280 78 | 0 00 | 269 25 | 11 53 |
| EMERSON ELECTRIC CO CMN (291011104) | 08/11/2011 | 05/31/2012 | 24 00 | 1,123 11 | 0 00 | 1,057 63 | 65 48 |
| AGILENT TECHNOLOGIES, INC CMN (00846U101) | 03/28/2012 | 06/14/2012 | 11 00 | 431 21 | 0 00 | 491 99 | (60 78) |
| AGILENT TECHNOLOGIES, INC CMN (00846U101) | 03/28/2012 | 06/14/2012 | 14 00 | 547 73 | 0 00 | 626 17 | (78 44) |
| AGILENT TECHNOLOGIES, INC CMN (00846U101) | 03/28/2012 | 06/14/2012 | 23 00 | 899 83 | 0 00 | 1,039 60 | (139 77) |
| AGILENT TECHNOLOGIES, INC CMN (00846U101) | 03/28/2012 | 06/14/2012 | 27 00 | 1,057 16 | 0 00 | 1,207 61 | (150 45) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/14/2011 | 06/14/2012 | 20 00 | 900 18 | 0 00 | 917 77 | (17 59) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/14/2011 | 06/14/2012 | 22 00 | 990 20 | 0 00 | 1,005 71 | (15 51) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/14/2011 | 06/14/2012 | 32 00 | 1,440 29 | 0 00 | 1,448 90 | (8 61) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/15/2011 | 06/15/2012 | 2 00 | 89 42 | 0 00 | 91 27 | (1 85) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/15/2011 | 06/15/2012 | 9 00 | 403 91 | 0 00 | 410 71 | (6 80) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/15/2011 | 06/15/2012 | 39 00 | 1,743 69 | 0 00 | 1,784 19 | (40 50) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 12/12/2011 | 06/19/2012 | 7 00 | 311 01 | 0 00 | 347 48 | (36 47) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 12/12/2011 | 06/19/2012 | 46 00 | 2,049 58 | 0 00 | 2,283 45 | (233 87) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/07/2011 | 06/21/2012 | 2 00 | 111 67 | 0 00 | 163 36 | (51 69) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/06/2011 | 06/21/2012 | 4 00 | 223 34 | 0 00 | 319 04 | (95 70) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/06/2011 | 06/21/2012 | 8 00 | 443 55 | 0 00 | 638 84 | (195 29) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/06/2011 | 06/21/2012 | 10 00 | 558 35 | 0 00 | 796 54 | (238 19) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/07/2011 | 06/21/2012 | 10 00 | 558 36 | 0 00 | 810 99 | (252 63) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/06/2011 | 06/21/2012 | 11 00 | 614 19 | 0 00 | 878 41 | (264 22) |
| FACEBOOK, INC CMN CLASS A (30303M102) | 05/22/2012 | 06/27/2012 | 137 00 | 4,415 86 | 0 00 | 4,548 64 | (132 78) |
| FACEBOOK, INC CMN CLASS A (30303M102) Disallowed Loss | | | | | | | 112 43 |

4 Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

5 Sale Proceeds may have been adjusted by an option premium due to an option assignment

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 07/29/2011 | 06/27/2012 | 10 00 | 379 35 | 0 00 | 305 95 | 73 40 |
| PRICE T ROWE GROUP INC CMN (74144T108) | 10/12/2011 | 06/27/2012 | 3 00 | 180 12 | 0 00 | 159 88 | 20 24 |
| PRICE T ROWE GROUP INC CMN (74144T108) | 10/12/2011 | 06/27/2012 | 12 00 | 715 95 | 0 00 | 639 51 | 76 44 |
| SOUTHWESTERN ENERGY CO CMN (845467109) | 01/23/2012 | 06/27/2012 | 14 00 | 425 88 | 0 00 | 446 88 | (21 00) |
| SOUTHWESTERN ENERGY CO CMN (845467109) | 01/20/2012 | 06/27/2012 | 17 00 | 517 15 | 0 00 | 493 26 | 23 89 |
| SOUTHWESTERN ENERGY CO CMN (845467109) | 01/20/2012 | 06/27/2012 | 34 00 | 1,029 97 | 0 00 | 986 51 | 43 46 |
| SOUTHWESTERN ENERGY CO CMN (845467109) | 01/20/2012 | 06/27/2012 | 38 00 | 1,155 97 | 0 00 | 1,096 43 | 59 54 |
| SOUTHWESTERN ENERGY CO CMN (845467109) | 01/23/2012 | 06/27/2012 | 41 00 | 1,247 23 | 0 00 | 1,305 05 | (57 82) |
| SOUTHWESTERN ENERGY CO CMN (845467109) | 01/20/2012 | 06/27/2012 | 52 00 | 1,575 26 | 0 00 | 1,512 97 | 62 29 |
| AMAZON COM INC CMN (023135106) | 08/17/2011 | 07/23/2012 | 17 00 | 3,795 01 | 0 00 | 3,347 00 | 448 01 |
| DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (25243Q205) | 01/27/2012 | 08/16/2012 | 17 00 | 1,818 57 | 0 00 | 1,514 50 | 304 07 |
| DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (25243Q205) | 01/27/2012 | 08/16/2012 | 23 00 | 2,460 41 | 0 00 | 2,046 66 | 413 75 |
| CATERPILLAR INC (DELAWARE) CMN (149123101) | 04/12/2012 | 10/01/2012 | 29 00 | 2,504 36 | 0 00 | 3,079 00 | (574 64) |
| CATERPILLAR INC (DELAWARE) CMN (149123101) | 02/02/2012 | 10/01/2012 | 87 00 | 7,513 08 | 0 00 | 9,621 95 | (2,108 87) |
| LAS VEGAS SANDS CORP CMN (517834107) | 07/26/2012 | 10/01/2012 | 10 00 | 464 65 | 0 00 | 360 78 | 103 87 |
| LAS VEGAS SANDS CORP CMN (517834107) | 07/26/2012 | 10/01/2012 | 19 00 | 882 84 | 0 00 | 684 29 | 198 55 |
| LAS VEGAS SANDS CORP CMN (517834107) | 07/26/2012 | 10/01/2012 | 36 00 | 1,671 87 | 0 00 | 1,298 80 | 373 07 |
| VERTEX PHARMACEUTICALS INC CMN (92532F100) | 01/31/2012 | 11/01/2012 | 75 00 | 3,824 54 | 0 00 | 2,789 66 | 1,034 88 |
| MICROSOFT CORPORATION CMN (594918104) | 05/23/2012 | 11/12/2012 | 9 00 | 256 07 | 0 00 | 260 59 | (4 52) |
| MICROSOFT CORPORATION CMN (594918104) | 04/09/2012 | 11/12/2012 | 10 00 | 282 95 | 0 00 | 312 30 | (29 35) |
| MICROSOFT CORPORATION CMN (594918104) | 05/23/2012 | 11/12/2012 | 24 00 | 682 85 | 0 00 | 695 05 | (12 20) |
| MICROSOFT CORPORATION CMN (594918104) | 04/09/2012 | 11/12/2012 | 54 00 | 1,528 01 | 0 00 | 1,686 42 | (158 41) |
| MICROSOFT CORPORATION CMN (594918104) | 05/23/2012 | 11/12/2012 | 193 00 | 5,461 23 | 0 00 | 5,589 36 | (128 13) |
| MICROSOFT CORPORATION CMN (594918104) | 01/30/2012 | 11/12/2012 | 212 00 | 5,998 60 | 0 00 | 6,252 09 | (253 49) |
| MICROSOFT CORPORATION CMN (594918104) | 05/16/2012 | 11/12/2012 | 232 00 | 6,564 80 | 0 00 | 6,950 00 | (385 20) |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| CELGENE CORPORATION CMN (151020104) | 06/27/2012 | 11/13/2012 | 8 00 | 603 26 | 0 00 | 509 48 | 93 78 |
| CELGENE CORPORATION CMN (151020104) | 06/21/2012 | 11/13/2012 | 8 00 | 603 27 | 0 00 | 474 70 | 128 57 |
| CELGENE CORPORATION CMN (151020104) | 06/21/2012 | 11/13/2012 | 18 00 | 1,344 62 | 0 00 | 1,068 07 | 276 55 |
| CELGENE CORPORATION CMN (151020104) | 06/21/2012 | 11/13/2012 | 39 00 | 2,913 35 | 0 00 | 2,318 25 | 595 10 |
| CELGENE CORPORATION CMN (151020104) | 06/21/2012 | 11/13/2012 | 47 00 | 3,510 96 | 0 00 | 2,791 01 | 719 95 |
| CELGENE CORPORATION CMN (151020104) | 06/27/2012 | 11/14/2012 | 5 00 | 376 89 | 0 00 | 318 43 | 58 46 |
| CELGENE CORPORATION CMN (151020104) | 06/27/2012 | 11/14/2012 | 14 00 | 1,051 32 | 0 00 | 891 59 | 159 73 |
| CELGENE CORPORATION CMN (151020104) | 06/27/2012 | 11/14/2012 | 15 00 | 1,130 66 | 0 00 | 954 94 | 175 72 |
| **Net Covered Short-Term Disallowed Losses** | | | | | | | **112.43** |
| **Net Covered Short-Term Gains (Losses)** | | | | **103,504.42** | **0.00** | **103,500.51** | **116.34** |

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| NVIDIA CORP CMN (67066G104) | 05/13/2011 | 05/18/2012 | 10 00 | 122 82 | 0 00 | 189 21 | (66 39) |
| NVIDIA CORP CMN (67066G104) | 02/17/2011 | 05/18/2012 | 11 00 | 132 43 | 0 00 | 264 19 | (131 76) |
| NVIDIA CORP CMN (67066G104) | 02/17/2011 | 05/18/2012 | 20 00 | 245 65 | 0 00 | 480 34 | (234 69) |
| NVIDIA CORP CMN (67066G104) | 05/13/2011 | 05/18/2012 | 157 00 | 1,928 35 | 0 00 | 2,970 05 | (1,041 70) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 03/18/2011 | 05/25/2012 | 1 00 | 60 28 | 0 00 | 90 80 | (30 52) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 03/18/2011 | 05/25/2012 | 2 00 | 120 67 | 0 00 | 181 14 | (60 47) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 03/17/2011 | 05/25/2012 | 5 00 | 300 14 | 0 00 | 439 78 | (139 64) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 03/18/2011 | 05/25/2012 | 13 00 | 784 37 | 0 00 | 1,180 44 | (396 07) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 03/18/2011 | 05/25/2012 | 16 00 | 960 45 | 0 00 | 1,452 85 | (492 40) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 03/17/2011 | 05/25/2012 | 71 00 | 4,262 01 | 0 00 | 6,289 41 | (2,027 40) |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| EMERSON ELECTRIC CO CMN (291011104) | 04/21/2011 | 05/30/2012 | 17 00 | 800 37 | 0 00 | 993 98 | (193 61) |
| EMERSON ELECTRIC CO CMN (291011104) | 04/21/2011 | 05/30/2012 | 27 00 | 1,271 18 | 0 00 | 1,594 06 | (322 88) |
| EMERSON ELECTRIC CO CMN (291011104) | 04/21/2011 | 05/30/2012 | 30 00 | 1,412 43 | 0 00 | 1,764 45 | (352 02) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/14/2011 | 06/15/2012 | 22 00 | 983 62 | 0 00 | 996 12 | (12 50) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/16/2011 | 06/18/2012 | 12 00 | 526 23 | 0 00 | 558 72 | (32 49) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/16/2011 | 06/18/2012 | 12 00 | 526 24 | 0 00 | 553 08 | (26 84) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/16/2011 | 06/18/2012 | 14 00 | 616 46 | 0 00 | 645 26 | (28 80) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/16/2011 | 06/19/2012 | 1 00 | 44 40 | 0 00 | 46 09 | (1 69) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/16/2011 | 06/19/2012 | 9 00 | 399 87 | 0 00 | 411 70 | (11 83) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/16/2011 | 06/19/2012 | 11 00 | 488 73 | 0 00 | 502 71 | (13 98) |
| CAMERON INTERNATIONAL CORP CMN (13342B105) | 06/16/2011 | 06/19/2012 | 11 00 | 488 74 | 0 00 | 506 99 | (18 25) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 03/18/2011 | 06/21/2012 | 10 00 | 549 26 | 0 00 | 905 72 | (356 46) |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 06/13/2011 | 06/27/2012 | 43 00 | 1,631 19 | 0 00 | 1,370 01 | 261 18 |
| AMERICAN EXPRESS CO CMN (025816109) | 02/07/2011 | 07/16/2012 | 2 00 | 117 41 | 0 00 | 89 95 | 27 46 |
| AMERICAN EXPRESS CO CMN (025816109) | 02/04/2011 | 07/16/2012 | 10 00 | 586 85 | 0 00 | 434 26 | 152 59 |
| AMERICAN EXPRESS CO CMN (025816109) | 02/04/2011 | 07/16/2012 | 24 00 | 1,402 50 | 0 00 | 1,046 13 | 356 37 |
| AMERICAN EXPRESS CO CMN (025816109) | 02/04/2011 | 07/16/2012 | 38 00 | 2,230 91 | 0 00 | 1,650 19 | 580 72 |
| AMERICAN EXPRESS CO CMN (025816109) | 02/04/2011 | 07/16/2012 | 40 00 | 2,346 86 | 0 00 | 1,737 04 | 609 82 |
| URBAN OUTFITTERS INC CMN (917047102) | 04/25/2011 | 07/23/2012 | 1 00 | 30 21 | 0 00 | 31 81 | (1 60) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/25/2011 | 07/23/2012 | 1 00 | 30 23 | 0 00 | 31 81 | (1 58) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/21/2011 | 07/23/2012 | 4 00 | 120 84 | 0 00 | 125 23 | (4 39) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/25/2011 | 07/23/2012 | 4 00 | 120 92 | 0 00 | 127 24 | (6 32) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/25/2011 | 07/23/2012 | 4 00 | 121 15 | 0 00 | 127 20 | (6 05) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/26/2011 | 07/23/2012 | 6 00 | 181 73 | 0 00 | 194 59 | (12 86) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/21/2011 | 07/23/2012 | 13 00 | 392 74 | 0 00 | 410 83 | (18 09) |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| URBAN OUTFITTERS INC CMN (917047102) | 04/26/2011 | 07/23/2012 | 14 00 | 424 03 | 0 00 | 453 12 | (29 09) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/25/2011 | 07/23/2012 | 14 00 | 424 03 | 0 00 | 445 32 | (21 29) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/21/2011 | 07/23/2012 | 20 00 | 604 22 | 0 00 | 633 31 | (29 09) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/27/2011 | 07/24/2012 | 1 00 | 30 29 | 0 00 | 32 87 | (2 58) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/28/2011 | 07/24/2012 | 3 00 | 90 86 | 0 00 | 96 20 | (5 34) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/27/2011 | 07/24/2012 | 4 00 | 120 79 | 0 00 | 132 46 | (11 67) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/27/2011 | 07/24/2012 | 5 00 | 151 44 | 0 00 | 165 29 | (13 85) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/26/2011 | 07/24/2012 | 16 00 | 483 15 | 0 00 | 517 85 | (34 70) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/28/2011 | 07/25/2012 | 7 00 | 211 22 | 0 00 | 224 47 | (13 25) |
| URBAN OUTFITTERS INC CMN (917047102) | 04/28/2011 | 10/02/2012 | 1 00 | 36 75 | 0 00 | 32 07 | 4 68 |
| URBAN OUTFITTERS INC CMN (917047102) | 06/23/2011 | 10/02/2012 | 2 00 | 73 94 | 0 00 | 58 52 | 15 42 |
| URBAN OUTFITTERS INC CMN (917047102) | 04/28/2011 | 10/02/2012 | 3 00 | 110 35 | 0 00 | 96 13 | 14 22 |
| URBAN OUTFITTERS INC CMN (917047102) | 04/28/2011 | 10/02/2012 | 3 00 | 110 50 | 0 00 | 96 12 | 14 38 |
| URBAN OUTFITTERS INC CMN (917047102) | 04/28/2011 | 10/02/2012 | 4 00 | 147 88 | 0 00 | 125 98 | 21 90 |
| URBAN OUTFITTERS INC CMN (917047102) | 06/23/2011 | 10/02/2012 | 5 00 | 185 22 | 0 00 | 146 30 | 38 92 |
| URBAN OUTFITTERS INC CMN (917047102) | 04/28/2011 | 10/02/2012 | 8 00 | 294 68 | 0 00 | 253 84 | 40 84 |
| URBAN OUTFITTERS INC CMN (917047102) | 04/28/2011 | 10/02/2012 | 28 00 | 1,028 79 | 0 00 | 897 16 | 131 63 |
| URBAN OUTFITTERS INC CMN (917047102) | 06/24/2011 | 10/03/2012 | 1 00 | 37 18 | 0 00 | 28 75 | 8 43 |
| URBAN OUTFITTERS INC CMN (917047102) | 06/23/2011 | 10/03/2012 | 1 00 | 37 18 | 0 00 | 28 95 | 8 23 |
| URBAN OUTFITTERS INC CMN (917047102) | 06/23/2011 | 10/03/2012 | 3 00 | 111 53 | 0 00 | 87 25 | 24 28 |
| URBAN OUTFITTERS INC CMN (917047102) | 06/23/2011 | 10/03/2012 | 5 00 | 185 30 | 0 00 | 145 42 | 39 88 |
| URBAN OUTFITTERS INC CMN (917047102) | 06/23/2011 | 10/03/2012 | 15 00 | 555 03 | 0 00 | 438 90 | 116 13 |
| URBAN OUTFITTERS INC CMN (917047102) | 06/23/2011 | 10/03/2012 | 22 00 | 814 04 | 0 00 | 639 83 | 174 21 |
| URBAN OUTFITTERS INC CMN (917047102) | 06/23/2011 | 10/03/2012 | 25 00 | 925 05 | 0 00 | 730 67 | 194 38 |
| URBAN OUTFITTERS INC CMN (917047102) | 06/24/2011 | 10/04/2012 | 9 00 | 336 36 | 0 00 | 258 76 | 77 60 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| URBAN OUTFITTERS INC CMN (917047102) | 06/24/2011 | 10/04/2012 | 15 00 | 561 36 | 0 00 | 431 27 | 130 09 |
| AMAZON COM INC CMN (023135106) | 08/30/2011 | 10/09/2012 | 1 00 | 252 83 | 0 00 | 207 89 | 44 94 |
| AMAZON COM INC CMN (023135106) | 08/17/2011 | 10/09/2012 | 15 00 | 3,792 35 | 0 00 | 2,953 23 | 839 12 |
| AMPHENOL CORP CL-A (NEW) CMN CLASS A (032095101) | 01/21/2011 | 10/15/2012 | 6 00 | 353 08 | 0 00 | 319 31 | 33 77 |
| AMPHENOL CORP CL-A (NEW) CMN CLASS A (032095101) | 01/21/2011 | 10/15/2012 | 15 00 | 882 69 | 0 00 | 799 08 | 83 61 |
| AMPHENOL CORP CL-A (NEW) CMN CLASS A (032095101) | 01/21/2011 | 10/15/2012 | 23 00 | 1,353 46 | 0 00 | 1,224 63 | 128 83 |
| AMPHENOL CORP CL-A (NEW) CMN CLASS A (032095101) | 01/21/2011 | 10/15/2012 | 34 00 | 2,000 77 | 0 00 | 1,813 23 | 187 54 |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/08/2011 | 11/13/2012 | 3 00 | 162 00 | 0 00 | 239 80 | (77 80) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/19/2011 | 11/13/2012 | 8 00 | 431 99 | 0 00 | 657 34 | (225 35) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 11/08/2011 | 11/13/2012 | 14 00 | 755 99 | 0 00 | 967 92 | (211 93) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/07/2011 | 11/13/2012 | 15 00 | 809 99 | 0 00 | 1,216 48 | (406 49) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/08/2011 | 11/13/2012 | 15 00 | 809 99 | 0 00 | 1,202 94 | (392 95) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/18/2011 | 11/13/2012 | 15 00 | 809 99 | 0 00 | 1,198 17 | (388 18) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 11/08/2011 | 11/13/2012 | 16 00 | 868 91 | 0 00 | 1,106 20 | (237 29) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 11/08/2011 | 11/13/2012 | 16 00 | 869 44 | 0 00 | 1,106 20 | (236 76) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/19/2011 | 11/13/2012 | 17 00 | 917 99 | 0 00 | 1,391 62 | (473 63) |
| ROCKWELL AUTOMATION INC CMN (773903109) | 08/05/2011 | 11/13/2012 | 21 00 | 1,648 13 | 0 00 | 1,395 66 | 252 47 |
| ROCKWELL AUTOMATION INC CMN (773903109) | 08/05/2011 | 11/13/2012 | 29 00 | 2,275 99 | 0 00 | 1,883 55 | 392 44 |
| URBAN OUTFITTERS INC CMN (917047102) | 08/16/2011 | 12/11/2012 | 1 00 | 39 02 | 0 00 | 27 60 | 11 42 |
| URBAN OUTFITTERS INC CMN (917047102) | 08/16/2011 | 12/11/2012 | 12 00 | 465 43 | 0 00 | 331 15 | 134 28 |
| URBAN OUTFITTERS INC CMN (917047102) | 08/16/2011 | 12/11/2012 | 15 00 | 583 74 | 0 00 | 413 94 | 169 80 |
| URBAN OUTFITTERS INC CMN (917047102) | 06/24/2011 | 12/11/2012 | 19 00 | 736 93 | 0 00 | 546 28 | 190 65 |
| URBAN OUTFITTERS INC CMN (917047102) | 08/16/2011 | 12/12/2012 | 5 00 | 192 21 | 0 00 | 137 98 | 54 23 |
| URBAN OUTFITTERS INC CMN (917047102) | 08/16/2011 | 12/12/2012 | 17 00 | 653 43 | 0 00 | 469 14 | 184 29 |
| URBAN OUTFITTERS INC CMN (917047102) | 08/16/2011 | 12/12/2012 | 18 00 | 689 98 | 0 00 | 496 73 | 193 25 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| URBAN OUTFITTERS INC CMN (917047102) | 09/01/2011 | 12/13/2012 | 5 00 | 191 40 | 0 00 | 130 11 | 61 29 |
| URBAN OUTFITTERS INC CMN (917047102) | 09/01/2011 | 12/13/2012 | 6 00 | 230 54 | 0 00 | 156 13 | 74 41 |
| URBAN OUTFITTERS INC CMN (917047102) | 08/16/2011 | 12/13/2012 | 10 00 | 382 80 | 0 00 | 275 96 | 106 84 |
| URBAN OUTFITTERS INC CMN (917047102) | 09/01/2011 | 12/13/2012 | 16 00 | 615 64 | 0 00 | 416 36 | 199 28 |
| URBAN OUTFITTERS INC CMN (917047102) | 08/16/2011 | 12/13/2012 | 20 00 | 765 59 | 0 00 | 546 21 | 219 38 |
| URBAN OUTFITTERS INC CMN (917047102) | 09/01/2011 | 12/13/2012 | 30 00 | 1,152 28 | 0 00 | 780 67 | 371 61 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 08/03/2011 | 12/20/2012 | 1 00 | 37 70 | 0 00 | 29 10 | 8 60 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 07/29/2011 | 12/20/2012 | 2 00 | 75 30 | 0 00 | 61 19 | 14 11 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 08/01/2011 | 12/20/2012 | 2 00 | 75 34 | 0 00 | 61 65 | 13 69 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 07/29/2011 | 12/20/2012 | 4 00 | 150 79 | 0 00 | 121 88 | 28 91 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 07/29/2011 | 12/20/2012 | 7 00 | 263 56 | 0 00 | 213 29 | 50 27 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 08/01/2011 | 12/20/2012 | 10 00 | 376 68 | 0 00 | 305 81 | 70 87 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 08/01/2011 | 12/20/2012 | 15 00 | 565 48 | 0 00 | 458 71 | 106 77 |
| MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202) | 07/29/2011 | 12/20/2012 | 71 00 | 2,674 40 | 0 00 | 2,163 41 | 510 99 |
| **Net Covered Long-Term Gains (Losses)** | | | | **64,343.24** | **0.00** | **65,416.74** | **(1,073.50)** |
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| EQUINIX INC CMN (29444U502) | 05/06/2010 | 05/10/2012 | 7 00 | 1,121 65 | 0 00 | 658 47 | 463 18 |
| EQUINIX INC CMN (29444U502) | 05/06/2010 | 05/10/2012 | 16 00 | 2,563 78 | 0 00 | 1,527 27 | 1,036 51 |
| LOWES COMPANIES INC CMN (548661107) | 02/19/2009 | 05/10/2012 | 6 00 | 180 84 | 0 00 | 102 24 | 78 60 |
| LOWES COMPANIES INC CMN (548661107) | 12/17/2007 | 05/10/2012 | 7 00 | 210 70 | 0 00 | 159 28 | 51 42 |
| LOWES COMPANIES INC CMN (548661107) | 02/19/2009 | 05/10/2012 | 10 00 | 301 41 | 0 00 | 170 53 | 130 88 |
| LOWES COMPANIES INC CMN (548661107) | 12/17/2007 | 05/10/2012 | 13 00 | 391 28 | 0 00 | 295 80 | 95 48 |
| LOWES COMPANIES INC CMN (548661107) | 12/14/2007 | 05/10/2012 | 16 00 | 481 57 | 0 00 | 364 32 | 117 25 |
| LOWES COMPANIES INC CMN (548661107) | 12/17/2007 | 05/10/2012 | 20 00 | 601 99 | 0 00 | 452 86 | 149 13 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| LOWES COMPANIES INC CMN (548661107) | 02/19/2009 | 05/10/2012 | 21 00 | 632 09 | 0 00 | 358 93 | 273 16 |
| LOWES COMPANIES INC CMN (548661107) | 12/17/2007 | 05/10/2012 | 24 00 | 722 36 | 0 00 | 547 15 | 175 21 |
| LOWES COMPANIES INC CMN (548661107) | 02/19/2009 | 05/10/2012 | 24 00 | 722 39 | 0 00 | 409 76 | 312 63 |
| LOWES COMPANIES INC CMN (548661107) | 02/19/2009 | 05/10/2012 | 26 00 | 782 58 | 0 00 | 443 37 | 339 21 |
| LOWES COMPANIES INC CMN (548661107) | 06/26/2008 | 05/10/2012 | 37 00 | 1,113 68 | 0 00 | 808 82 | 304 86 |
| LOWES COMPANIES INC CMN (548661107) | 12/17/2007 | 05/10/2012 | 86 00 | 2,588 46 | 0 00 | 1,961 67 | 626 79 |
| MASTERCARD INCORPORATED CMN CLASS A (57636Q104) | 02/05/2010 | 05/18/2012 | 12 00 | 4,768 41 | 0 00 | 2,662 70 | 2,105 71 |
| MASTERCARD INCORPORATED CMN CLASS A (57636Q104) | 02/05/2010 | 05/21/2012 | 2 00 | 802 02 | 0 00 | 439 39 | 362 63 |
| MASTERCARD INCORPORATED CMN CLASS A (57636Q104) | 02/05/2010 | 05/21/2012 | 3 00 | 1,201 22 | 0 00 | 665 68 | 535 54 |
| ORACLE CORPORATION CMN (68389X105) | 03/18/2009 | 05/24/2012 | 1 00 | 26 47 | 0 00 | 15 70 | 10 77 |
| ORACLE CORPORATION CMN (68389X105) | 03/18/2009 | 05/24/2012 | 29 00 | 755 17 | 0 00 | 455 33 | 299 84 |
| ORACLE CORPORATION CMN (68389X105) | 03/18/2009 | 05/24/2012 | 120 00 | 3,138 04 | 0 00 | 1,884 12 | 1,253 92 |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 09/11/2009 | 05/25/2012 | 16 00 | 1,302 08 | 0 00 | 1,230 35 | 71 73 |
| NORTHERN TRUST CORP CMN (665859104) | 04/28/2009 | 06/27/2012 | 79 00 | 3,455 34 | 0 00 | 4,219 18 | (763 84) |
| NORTHERN TRUST CORP CMN (665859104) | 10/30/2009 | 06/28/2012 | 10 00 | 432 70 | 0 00 | 502 28 | (69 58) |
| NORTHERN TRUST CORP CMN (665859104) | 04/28/2009 | 06/28/2012 | 27 00 | 1,168 30 | 0 00 | 1,442 00 | (273 70) |
| NORTHERN TRUST CORP CMN (665859104) | 10/23/2009 | 06/28/2012 | 52 00 | 2,250 05 | 0 00 | 2,777 58 | (527 53) |
| ST JUDE MEDICAL INC CMN (790849103) | 05/22/2006 | 07/18/2012 | 15 00 | 548 47 | 0 00 | 512 72 | 35 75 |
| ST JUDE MEDICAL INC CMN (790849103) | 05/22/2006 | 07/18/2012 | 22 00 | 812 16 | 0 00 | 747 77 | 64 39 |
| ST JUDE MEDICAL INC CMN (790849103) | 05/22/2006 | 07/18/2012 | 37 00 | 1,365 91 | 0 00 | 1,264 72 | 101 19 |
| LOWES COMPANIES INC CMN (548661107) | 03/09/2009 | 08/01/2012 | 6 00 | 152 15 | 0 00 | 82 48 | 69 67 |
| LOWES COMPANIES INC CMN (548661107) | 02/19/2009 | 08/01/2012 | 7 00 | 177 51 | 0 00 | 119 28 | 58 23 |
| LOWES COMPANIES INC CMN (548661107) | 03/09/2009 | 08/01/2012 | 10 00 | 253 58 | 0 00 | 139 75 | 113 83 |
| LOWES COMPANIES INC CMN (548661107) | 02/19/2009 | 08/01/2012 | 34 00 | 862 17 | 0 00 | 579 36 | 282 81 |
| LOWES COMPANIES INC CMN (548661107) | 03/09/2009 | 08/01/2012 | 67 00 | 1,698 97 | 0 00 | 932 02 | 766 95 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| LOWES COMPANIES INC CMN (548661107) | 08/19/2009 | 08/06/2012 | 2 00 | 51 32 | 0 00 | 39 86 | 11 46 |
| LOWES COMPANIES INC CMN (548661107) | 08/19/2009 | 08/06/2012 | 5 00 | 128 29 | 0 00 | 99 57 | 28 72 |
| LOWES COMPANIES INC CMN (548661107) | 03/09/2009 | 08/06/2012 | 10 00 | 253 87 | 0 00 | 137 47 | 116 40 |
| LOWES COMPANIES INC CMN (548661107) | 08/19/2009 | 08/06/2012 | 16 00 | 406 20 | 0 00 | 322 56 | 83 64 |
| LOWES COMPANIES INC CMN (548661107) | 08/19/2009 | 08/06/2012 | 18 00 | 460 62 | 0 00 | 358 75 | 101 87 |
| LOWES COMPANIES INC CMN (548661107) | 08/19/2009 | 08/06/2012 | 21 00 | 536 74 | 0 00 | 418 55 | 118 19 |
| LOWES COMPANIES INC CMN (548661107) | 08/19/2009 | 08/06/2012 | 24 00 | 612 66 | 0 00 | 478 34 | 134 32 |
| LOWES COMPANIES INC CMN (548661107) | 08/19/2009 | 08/06/2012 | 63 00 | 1,599 40 | 0 00 | 1,255 64 | 343 76 |
| LOWES COMPANIES INC CMN (548661107) | 09/23/2009 | 08/07/2012 | 13 00 | 335 43 | 0 00 | 281 69 | 53 74 |
| LOWES COMPANIES INC CMN (548661107) | 09/24/2009 | 08/07/2012 | 21 00 | 541 85 | 0 00 | 451 39 | 90 46 |
| LOWES COMPANIES INC CMN (548661107) | 09/24/2009 | 08/07/2012 | 25 00 | 645 06 | 0 00 | 535 38 | 109 68 |
| LOWES COMPANIES INC CMN (548661107) | 08/19/2009 | 08/07/2012 | 32 00 | 825 68 | 0 00 | 637 27 | 188 41 |
| LOWES COMPANIES INC CMN (548661107) | 09/24/2009 | 08/07/2012 | 33 00 | 851 49 | 0 00 | 706 04 | 145 45 |
| LOWES COMPANIES INC CMN (548661107) | 09/23/2009 | 08/07/2012 | 40 00 | 1,032 10 | 0 00 | 872 05 | 160 05 |
| LOWES COMPANIES INC CMN (548661107) | 09/23/2009 | 08/07/2012 | 45 00 | 1,161 11 | 0 00 | 978 25 | 182 86 |
| LOWES COMPANIES INC CMN (548661107) | 09/24/2009 | 08/14/2012 | 3 00 | 79 28 | 0 00 | 64 19 | 15 09 |
| LOWES COMPANIES INC CMN (548661107) | 10/04/2010 | 08/14/2012 | 5 00 | 132 39 | 0 00 | 110 92 | 21 47 |
| LOWES COMPANIES INC CMN (548661107) | 06/18/2010 | 08/14/2012 | 20 00 | 529 54 | 0 00 | 455 54 | 74 00 |
| LOWES COMPANIES INC CMN (548661107) | 06/18/2010 | 08/14/2012 | 31 00 | 819 16 | 0 00 | 708 04 | 111 12 |
| LOWES COMPANIES INC CMN (548661107) | 10/06/2010 | 08/14/2012 | 32 00 | 846 27 | 0 00 | 723 69 | 122 58 |
| LOWES COMPANIES INC CMN (548661107) | 06/18/2010 | 08/14/2012 | 33 00 | 873 74 | 0 00 | 753 29 | 120 45 |
| LOWES COMPANIES INC CMN (548661107) | 06/18/2010 | 08/14/2012 | 35 00 | 924 85 | 0 00 | 799 16 | 125 69 |
| LOWES COMPANIES INC CMN (548661107) | 10/05/2010 | 08/14/2012 | 66 00 | 1,745 44 | 0 00 | 1,491 99 | 253 45 |
| LOWES COMPANIES INC CMN (548661107) | 10/04/2010 | 08/14/2012 | 87 00 | 2,300 81 | 0 00 | 1,929 92 | 370 89 |
| LOWES COMPANIES INC CMN (548661107) | 06/18/2010 | 08/14/2012 | 95 00 | 2,515 32 | 0 00 | 2,169 16 | 346 16 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| EQUINIX INC CMN (29444U502) | 05/07/2010 | 08/23/2012 | 4 00 | 769 45 | 0 00 | 364 25 | 405 20 |
| EQUINIX INC CMN (29444U502) | 05/06/2010 | 08/23/2012 | 18 00 | 3,462 55 | 0 00 | 1,693 22 | 1,769 33 |
| EQUINIX INC CMN (29444U502) | 06/09/2010 | 09/13/2012 | 1 00 | 208 22 | 0 00 | 85 43 | 122 79 |
| EQUINIX INC CMN (29444U502) | 05/07/2010 | 09/13/2012 | 4 00 | 821 66 | 0 00 | 364 25 | 457 41 |
| EQUINIX INC CMN (29444U502) | 05/07/2010 | 09/13/2012 | 4 00 | 832 89 | 0 00 | 364 25 | 468 64 |
| EQUINIX INC CMN (29444U502) | 05/07/2010 | 09/13/2012 | 19 00 | 3,864 29 | 0 00 | 1,730 20 | 2,134 09 |
| MICROSOFT CORPORATION CMN (594918104) | 07/21/2005 | 09/27/2012 | 36 00 | 1,086 20 | 0 00 | 949 53 | 136 67 |
| MICROSOFT CORPORATION CMN (594918104) | 06/09/2005 | 09/27/2012 | 107 00 | 3,228 41 | 0 00 | 2,723 78 | 504 63 |
| HALLIBURTON COMPANY CMN (406216101) | 08/10/2009 | 10/01/2012 | 29 00 | 977 56 | 0 00 | 658 97 | 318 59 |
| HALLIBURTON COMPANY CMN (406216101) | 08/11/2009 | 10/01/2012 | 31 00 | 1,045 12 | 0 00 | 704 27 | 340 85 |
| HALLIBURTON COMPANY CMN (406216101) | 08/10/2009 | 10/01/2012 | 85 00 | 2,865 65 | 0 00 | 1,931 47 | 934 18 |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 12/08/2009 | 10/01/2012 | 5 00 | 433 17 | 0 00 | 384 99 | 48 18 |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 09/14/2009 | 10/01/2012 | 8 00 | 693 07 | 0 00 | 610 44 | 82 63 |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 09/14/2009 | 10/01/2012 | 8 00 | 693 07 | 0 00 | 610 46 | 82 61 |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 12/08/2009 | 10/01/2012 | 13 00 | 1,126 24 | 0 00 | 1,000 48 | 125 76 |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 09/11/2009 | 10/01/2012 | 14 00 | 1,212 87 | 0 00 | 1,076 56 | 136 31 |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 12/08/2009 | 10/01/2012 | 15 00 | 1,303 70 | 0 00 | 1,154 40 | 149 30 |
| OCCIDENTAL PETROLEUM CORP CMN (674599105) | 09/14/2009 | 10/01/2012 | 18 00 | 1,559 40 | 0 00 | 1,375 14 | 184 26 |
| MICROSOFT CORPORATION CMN (594918104) | 06/26/2008 | 10/10/2012 | 3 00 | 87 16 | 0 00 | 84 21 | 2 95 |
| MICROSOFT CORPORATION CMN (594918104) | 07/21/2005 | 10/10/2012 | 106 00 | 3,079 78 | 0 00 | 2,795 84 | 283 94 |
| MICROSOFT CORPORATION CMN (594918104) | 04/23/2009 | 10/10/2012 | 120 00 | 3,486 55 | 0 00 | 2,240 51 | 1,246 04 |
| MICROSOFT CORPORATION CMN (594918104) | 04/23/2009 | 10/15/2012 | 9 00 | 263 84 | 0 00 | 167 08 | 96 76 |
| MICROSOFT CORPORATION CMN (594918104) | 04/23/2009 | 10/15/2012 | 14 00 | 410 41 | 0 00 | 259 92 | 150 49 |
| MICROSOFT CORPORATION CMN (594918104) | 05/27/2010 | 10/15/2012 | 48 00 | 1,407 12 | 0 00 | 1,257 30 | 149 82 |
| MICROSOFT CORPORATION CMN (594918104) | 04/23/2009 | 10/15/2012 | 89 00 | 2,609 03 | 0 00 | 1,661 71 | 947 32 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 12/10/2007 | 11/06/2012 | 1 00 | 41 13 | 0 00 | 46 02 | (4 89) |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 12/07/2007 | 11/06/2012 | 10 00 | 411 34 | 0 00 | 452 09 | (40 75) |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 12/07/2007 | 11/06/2012 | 18 00 | 740 42 | 0 00 | 818 00 | (77 58) |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 12/07/2007 | 11/06/2012 | 30 00 | 1,234 03 | 0 00 | 1,371 31 | (137 28) |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 12/07/2007 | 11/06/2012 | 52 00 | 2,138 98 | 0 00 | 2,368 90 | (229 92) |
| HALLIBURTON COMPANY CMN (406216101) | 12/08/2009 | 11/08/2012 | 11 00 | 338 73 | 0 00 | 299 15 | 39 58 |
| HALLIBURTON COMPANY CMN (406216101) | 12/08/2009 | 11/08/2012 | 12 00 | 371 50 | 0 00 | 326 34 | 45 16 |
| HALLIBURTON COMPANY CMN (406216101) | 12/08/2009 | 11/08/2012 | 18 00 | 554 28 | 0 00 | 491 41 | 62 87 |
| HALLIBURTON COMPANY CMN (406216101) | 08/11/2009 | 11/08/2012 | 48 00 | 1,477 90 | 0 00 | 1,090 48 | 387 42 |
| HALLIBURTON COMPANY CMN (406216101) | 12/08/2009 | 11/08/2012 | 52 00 | 1,601 05 | 0 00 | 1,419 62 | 181 43 |
| MICROSOFT CORPORATION CMN (594918104) | 05/27/2010 | 11/12/2012 | 40 00 | 1,130 82 | 0 00 | 1,041 34 | 89 48 |
| MICROSOFT CORPORATION CMN (594918104) | 05/27/2010 | 11/12/2012 | 42 00 | 1,188 40 | 0 00 | 1,093 40 | 95 00 |
| MICROSOFT CORPORATION CMN (594918104) | 05/27/2010 | 11/12/2012 | 50 00 | 1,413 52 | 0 00 | 1,309 69 | 103 83 |
| MICROSOFT CORPORATION CMN (594918104) | 05/27/2010 | 11/12/2012 | 58 00 | 1,639 68 | 0 00 | 1,511 27 | 128 41 |
| ST JUDE MEDICAL INC CMN (790849103) | 06/05/2006 | 11/21/2012 | 1 00 | 33 47 | 0 00 | 34 25 | (0 78) |
| ST JUDE MEDICAL INC CMN (790849103) | 06/05/2006 | 11/21/2012 | 9 00 | 301 23 | 0 00 | 306 91 | (5 68) |
| ST JUDE MEDICAL INC CMN (790849103) | 05/23/2006 | 11/21/2012 | 36 00 | 1,155 68 | 0 00 | 1,189 35 | (33 67) |
| ST JUDE MEDICAL INC CMN (790849103) | 06/05/2006 | 11/21/2012 | 46 00 | 1,476 71 | 0 00 | 1,575 47 | (98 76) |
| ST JUDE MEDICAL INC CMN (790849103) | 06/05/2006 | 11/23/2012 | 1 00 | 32 02 | 0 00 | 34 10 | (2 08) |
| ST JUDE MEDICAL INC CMN (790849103) | 06/14/2006 | 11/23/2012 | 10 00 | 320 19 | 0 00 | 324 24 | (4 05) |
| ST JUDE MEDICAL INC CMN (790849103) | 06/14/2006 | 11/23/2012 | 14 00 | 448 27 | 0 00 | 455 01 | (6 74) |
| ST JUDE MEDICAL INC CMN (790849103) | 06/14/2006 | 11/23/2012 | 17 00 | 544 70 | 0 00 | 544 05 | 0 65 |
| ST JUDE MEDICAL INC CMN (790849103) | 06/14/2006 | 11/23/2012 | 20 00 | 640 39 | 0 00 | 640 06 | 0 33 |
| ST JUDE MEDICAL INC CMN (790849103) | 06/14/2006 | 11/26/2012 | 2 00 | 63 64 | 0 00 | 64 01 | (0 37) |
| ST JUDE MEDICAL INC CMN (790849103) | 06/14/2006 | 11/26/2012 | 4 00 | 128 26 | 0 00 | 127 99 | 0 27 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds[5] | Total accretion (Amortization) | Cost Basis[4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| ST JUDE MEDICAL INC CMN (790849103) | 06/14/2006 | 11/26/2012 | 5 00 | 159 39 | 0 00 | 159 98 | (0 59) |
| ST JUDE MEDICAL INC CMN (790849103) | 06/14/2006 | 11/26/2012 | 15 00 | 477 29 | 0 00 | 479 94 | (2 65) |
| ST JUDE MEDICAL INC CMN (790849103) | 06/14/2006 | 11/27/2012 | 2 00 | 64 10 | 0 00 | 63 99 | 0 11 |
| ST JUDE MEDICAL INC CMN (790849103) | 04/09/2009 | 11/27/2012 | 5 00 | 160 25 | 0 00 | 170 99 | (10 74) |
| ST JUDE MEDICAL INC CMN (790849103) | 10/21/2009 | 11/27/2012 | 7 00 | 224 34 | 0 00 | 240 40 | (16 06) |
| ST JUDE MEDICAL INC CMN (790849103) | 04/09/2009 | 11/27/2012 | 7 00 | 224 35 | 0 00 | 239 67 | (15 32) |
| ST JUDE MEDICAL INC CMN (790849103) | 10/21/2009 | 11/27/2012 | 8 00 | 257 01 | 0 00 | 272 94 | (15 93) |
| ST JUDE MEDICAL INC CMN (790849103) | 10/21/2009 | 11/27/2012 | 11 00 | 354 36 | 0 00 | 374 05 | (19 69) |
| ST JUDE MEDICAL INC CMN (790849103) | 10/22/2009 | 11/27/2012 | 17 00 | 547 66 | 0 00 | 582 51 | (34 85) |
| ST JUDE MEDICAL INC CMN (790849103) | 10/21/2009 | 11/27/2012 | 31 00 | 998 66 | 0 00 | 1,057 66 | (59 00) |
| ST JUDE MEDICAL INC CMN (790849103) | 10/21/2009 | 11/27/2012 | 51 00 | 1,638 45 | 0 00 | 1,751 52 | (113 07) |
| ST JUDE MEDICAL INC CMN (790849103) | 11/06/2006 | 11/27/2012 | 97 00 | 3,108 81 | 0 00 | 3,319 69 | (210 88) |
| ST JUDE MEDICAL INC CMN (790849103) | 10/22/2009 | 11/28/2012 | 41 00 | 1,323 96 | 0 00 | 1,404 87 | (80 91) |
| GILEAD SCIENCES CMN (375558103) | 07/16/2007 | 12/06/2012 | 7 00 | 516 75 | 0 00 | 276 15 | 240 60 |
| GILEAD SCIENCES CMN (375558103) | 07/16/2007 | 12/06/2012 | 13 00 | 960 64 | 0 00 | 512 85 | 447 79 |
| GILEAD SCIENCES CMN (375558103) | 07/16/2007 | 12/06/2012 | 50 00 | 3,691 04 | 0 00 | 1,978 10 | 1,712 94 |
| CME GROUP INC CMN CLASS A (12572Q105) | 01/15/2009 | 12/11/2012 | 16 00 | 845 66 | 0 00 | 528 32 | 317 34 |
| CME GROUP INC CMN CLASS A (12572Q105) | 01/12/2009 | 12/11/2012 | 30 00 | 1,585 62 | 0 00 | 1,130 25 | 455 37 |
| CME GROUP INC CMN CLASS A (12572Q105) | 01/12/2009 | 12/11/2012 | 45 00 | 2,378 42 | 0 00 | 1,695 04 | 683 38 |
| AMERICAN TOWER CORPORATION CMN (03027X100) | 05/18/2005 | 12/19/2012 | 20 00 | 1,527 94 | 0 00 | 339 74 | 1,188 20 |
| CROWN CASTLE INTL CORP COMMON STOCK (228227104) | 10/19/2004 | 12/19/2012 | 25 00 | 1,773 62 | 0 00 | 381 50 | 1,392 12 |
| ECOLAB INC CMN (278865100) | 02/08/2010 | 12/19/2012 | 8 00 | 572 24 | 0 00 | 350 01 | 222 23 |
| ECOLAB INC CMN (278865100) | 02/05/2010 | 12/19/2012 | 13 00 | 929 89 | 0 00 | 554 35 | 375 54 |
| ECOLAB INC CMN (278865100) | 02/05/2010 | 12/19/2012 | 17 00 | 1,216 01 | 0 00 | 735 47 | 480 54 |
| GOOGLE, INC CMN CLASS A (38259P508) | 10/19/2004 | 12/19/2012 | 2 00 | 1,436 37 | 0 00 | 301 10 | 1,135 27 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 12/09/2010 | 12/19/2012 | 3 00 | 114 90 | 0 00 | 157 04 | (42 14) |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 12/10/2007 | 12/19/2012 | 5 00 | 191 49 | 0 00 | 230 10 | (38 61) |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 12/10/2007 | 12/19/2012 | 10 00 | 382 99 | 0 00 | 459 35 | (76 36) |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 06/26/2008 | 12/19/2012 | 20 00 | 765 97 | 0 00 | 910 20 | (144 23) |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 12/09/2010 | 12/19/2012 | 34 00 | 1,302 16 | 0 00 | 1,785 06 | (482 90) |
| TEVA PHARMACEUTICAL IND LTD ADS (881624209) | 12/10/2007 | 12/19/2012 | 51 00 | 1,953 23 | 0 00 | 2,317 22 | (363 99) |
| **Net NonCovered Long-Term Gains (Losses)** | | | | **146,431.44** | **0.00** | **115,654.77** | **30,776.67** |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment



Goldman Sachs

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM | NC |
|---|---|---|---|---|---|---|---|
| 38 0000 ABBOTT LABS COM - 002824100 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2327 82 | | | | |
| ACQ | 38 0000 | 03/16/2012 | 2327 82 | 2268 24 | 59 58 | ST | |
| 13 0000 AMAZON COM INC COM - 023135106 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2504 01 | | | | |
| ACQ | 5 0000 | 11/11/2011 | 963 08 | 1088 01 | -124 93 | ST | |
| | 5 0000 | 11/11/2011 | 963 08 | 1085 38 | -122 30 | ST | |
| | 3 0000 | 11/11/2011 | 577 85 | 650 12 | -72 27 | ST | |
| 42.0000 AMERICAN EXPRESS CO COM - 025816109 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2404 44 | | | | |
| ACQ | 30 0000 | 02/22/2012 | 1717 46 | 1591 89 | 125 57 | ST | |
| | 12 0000 | 02/22/2012 | 686 98 | 634 10 | 52 88 | ST | |
| 63 0000 AMERICAN TOWER CORP - 03027X100 - MINOR = 5070 | | | | | | | |
| SOLD | | 04/09/2012 | 3939 94 | | | | |
| ACQ | 5 0000 | 01/03/2011 | 312 69 | 259 10 | 53 59 | LT15 | |
| | 31 0000 | 01/03/2011 | 1938 70 | 1600 38 | 338 32 | LT15 | |
| | 16.0000 | 01/04/2011 | 1000 62 | 824 57 | 176 05 | LT15 | |
| | 1 0000 | 12/30/2010 | 62 54 | 51 33 | 11 21 | LT15 | Y |
| | 2 0000 | 12/30/2010 | 125 08 | 102 41 | 22 67 | LT15 | Y |
| | 2 0000 | 12/29/2010 | 125 08 | 102 36 | 22 72 | LT15 | Y |
| | 5 0000 | 12/29/2010 | 312 69 | 255 12 | 57 57 | LT15 | Y |
| | 1 0000 | 05/10/2007 | 62 54 | 39 24 | 23 30 | LT15 | Y |
| 1 0000 AMPHENOL CORP - CL A - 032095101 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/02/2012 | 54 48 | | | | |
| ACQ | 1 0000 | 01/26/2011 | 54 48 | 55 39 | -0 91 | LT15 | |
| 22 0000 AMPHENOL CORP - CL A - 032095101 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/02/2012 | 1193 45 | | | | |
| ACQ | 13 0000 | 01/26/2011 | 705 22 | 720 01 | -14 79 | LT15 | |
| | 9 0000 | 01/26/2011 | 488 23 | 496 18 | -7 95 | LT15 | |
| 43 0000 AMPHENOL CORP - CL A - 032095101 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/02/2012 | 2341 62 | | | | |
| ACQ | 7 0000 | 01/24/2011 | 381 19 | 381 89 | -0 70 | LT15 | |
| | 3 0000 | 01/26/2011 | 163 37 | 163 72 | -0 35 | LT15 | |
| | 1 0000 | 01/26/2011 | 54 46 | 55 13 | -0 67 | LT15 | |
| | 23 0000 | 01/28/2011 | 1252 49 | 1265 91 | -13 42 | LT15 | |
| | 2 0000 | 01/28/2011 | 108 91 | 109 99 | -1 08 | LT15 | |
| | 1 0000 | 01/25/2011 | 54 46 | 54 36 | 0 10 | LT15 | |
| | 6 0000 | 01/28/2011 | 326 74 | 330 14 | -3 40 | LT15 | |
| 30 0000 AMPHENOL CORP - CL A - 032095101 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/03/2012 | 1651 14 | | | | |
| ACQ | 4 0000 | 01/25/2011 | 220 15 | 217 46 | 2 69 | LT15 | |
| | 26 0000 | 03/29/2011 | 1430 99 | 1411 52 | 19 47 | ST | |
| 25 0000 AMPHENOL CORP - CL A - 032095101 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1462 21 | | | | |
| ACQ | 21 0000 | 03/29/2011 | 1228 26 | 1140.07 | 88.19 | LT15 | |
| | 4 0000 | 04/01/2011 | 233 95 | 215 82 | 18 13 | LT15 | |
| 29 0000 APPLE COMPUTER INC COM - 037833100 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/15/2012 | 14590 27 | | | | |
| ACQ | 14 0000 | 10/25/2011 | 7043 58 | 5670.31 | 1373 27 | ST | |
| | 12 0000 | 11/14/2011 | 6037 35 | 4548.22 | 1489 13 | ST | |
| | 3 0000 | 08/30/2007 | 1509 34 | 409 63 | 1099 71 | LT15 | Y |
| 14 0000 APPLE COMPUTER INC COM - 037833100 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 8930 67 | | | | |
| ACQ | 14 0000 | 08/30/2007 | 8930 67 | 1911 61 | 7019 06 | LT15 | Y |
| 22 0000 AVON PRODS INC COM - 054303102 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/02/2012 | 518 74 | | | | |
| ACQ | 12 0000 | 10/28/2010 | 282 95 | 361 20 | -78 25 | LT15 | Y |
| | 9 0000 | 10/28/2010 | 212 21 | 270 21 | -58 00 | LT15 | Y |
| | 1 0000 | 10/28/2010 | 23 58 | 29 76 | -6 18 | LT15 | Y |
| 15 0000 AVON PRODS INC COM - 054303102 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/02/2012 | 356 07 | | | | |
| ACQ | 6 0000 | 10/28/2010 | 142 43 | 178 54 | -36.11 | LT15 | Y |
| | 9 0000 | 11/15/2010 | 213 64 | 264 34 | -50 70 | LT15 | Y |
| 86 0000 AVON PRODS INC COM - 054303102 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/02/2012 | 2009 77 | | | | |
| ACQ | 12 0000 | 11/15/2010 | 280 43 | 352 45 | -72 02 | LT15 | Y |
| | 28 0000 | 11/12/2010 | 654 34 | 819 57 | -165 23 | LT15 | Y |
| | 16 0000 | 11/16/2010 | 373 91 | 466 27 | -92 36 | LT15 | Y |
| | 22.0000 | 05/06/2010 | 514 13 | 638 71 | -124 58 | LT15 | Y |
| | 8 0000 | 07/21/2011 | 186 96 | 230 99 | -44 03 | ST | |
| 3.0000 AVON PRODS INC COM - 054303102 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/02/2012 | 69 68 | | | | |
| ACQ | 3 0000 | 07/21/2011 | 69 68 | 86 62 | -16 94 | ST | |
| 113 0000 AVON PRODS INC COM - 054303102 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2561 67 | | | | |
| ACQ | 4 0000 | 07/21/2011 | 90 68 | 115 49 | -24 81 | ST | |
| | 8 0000 | 11/10/2010 | 181 36 | 230.48 | -49 12 | LT15 | Y |
| | 18 0000 | 11/11/2010 | 408.05 | 517 43 | -109 38 | LT15 | Y |
| | 5.0000 | 11/10/2010 | 113 35 | 143.29 | -29 94 | LT15 | Y |
| | 5.0000 | 07/21/2011 | 113 35 | 143.28 | -29 93 | ST | |
| | 7 0000 | 11/10/2010 | 158.69 | 199.92 | -41 23 | LT15 | Y |
| | 3 0000 | 11/10/2010 | 68 01 | 85 65 | -17 64 | LT15 | Y |
| | 39 0000 | 07/20/2011 | 884 11 | 1112 75 | -228 64 | ST | |
| | 24 0000 | 07/19/2011 | 544 07 | 677 90 | -133 83 | ST | |

TRUST YEAR ENDING 12/31/2012

TAX PREPARER:
TRUST ADMINISTRATOR AZ
INVESTMENT OFFICER PCCIO

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM | NC |
|---|---|---|---|---|---|---|---|
| 253.0000 BAXTER INTL INC COM - 071813109 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/28/2012 | 15076 45 | | | | |
| ACQ | 45 0000 | 03/12/2009 | 2681 58 | 2257 61 | 423 97 | LT15 | Y |
| | 10 0000 | 03/27/2009 | 595 91 | 500 64 | 95 27 | LT15 | Y |
| | 52 0000 | 06/03/2009 | 3098 72 | 2580 81 | 517 91 | LT15 | Y |
| | 5.0000 | 06/03/2009 | 297 95 | 247 91 | 50 04 | LT15 | Y |
| | 11 0000 | 03/27/2009 | 655 50 | 544 80 | 110 70 | LT15 | Y |
| | 11 0000 | 03/27/2009 | 655 50 | 543 76 | 111 74 | LT15 | Y |
| | 16 0000 | 03/26/2009 | 953 45 | 787 54 | 165 91 | LT15 | Y |
| | 19 0000 | 03/26/2009 | 1132 22 | 927 66 | 204 56 | LT15 | Y |
| | 20 0000 | 03/26/2009 | 1191 82 | 973 74 | 218 08 | LT15 | Y |
| | 5 0000 | 03/26/2009 | 297 95 | 243 30 | 54 65 | LT15 | Y |
| | 28 0000 | 08/25/2010 | 1668 54 | 1233 92 | 434 62 | LT15 | Y |
| | 20 0000 | 08/24/2010 | 1191 82 | 880 06 | 311 76 | LT15 | Y |
| | 5 0000 | 08/25/2010 | 297 95 | 219 82 | 78 13 | LT15 | Y |
| | 6 0000 | 08/24/2010 | 357 54 | 263.78 | 93 76 | LT15 | Y |
| 81 0000 CBRE GROUP INC - 12504L109 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1470 94 | | | | |
| ACQ | 7 0000 | 06/17/2011 | 127 12 | 167 62 | -40 50 | ST | |
| | 13 0000 | 06/20/2011 | 236 08 | 310 62 | -74 54 | ST | |
| | 61 0000 | 06/20/2011 | 1107 74 | 1455 59 | -347 85 | ST | |
| 8.0000 CME GROUP INC - 12572Q105 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2279 86 | | | | |
| ACQ | 6 0000 | 09/04/2009 | 1709 89 | 1591 66 | 118 23 | LT15 | Y |
| | 2 0000 | 08/27/2010 | 569 97 | 508 63 | 61 34 | LT15 | Y |
| 20 0000 CAMERON INTERNATIONAL CORP - 13342B105 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1024 57 | | | | |
| ACQ | 14.0000 | 12/12/2011 | 717 20 | 696 26 | 20 94 | ST | |
| | 6 0000 | 12/12/2011 | 307.37 | 297 84 | 9 53 | ST | |
| 7 0000 CATERPILLAR INC DEL COM - 149123101 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 728 40 | | | | |
| ACQ | 7 0000 | 02/02/2012 | 728 40 | 774 18 | -45 78 | ST | |
| 38 0000 COCA COLA CO COM - 191216100 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/27/2012 | 2564 90 | | | | |
| ACQ | 38 0000 | 07/17/2008 | 2564 90 | 1910 74 | 654 16 | LT15 | Y |
| 83 0000 COCA COLA CO COM - 191216100 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/27/2012 | 5604 95 | | | | |
| ACQ | 7 0000 | 07/17/2008 | 472 71 | 351 98 | 120 73 | LT15 | Y |
| | 44 0000 | 04/16/2009 | 2971 30 | 1973 62 | 997 68 | LT15 | Y |
| | 13 0000 | 04/17/2009 | 877 88 | 584 16 | 293 72 | LT15 | Y |
| | 6 0000 | 04/16/2009 | 405 18 | 267 84 | 137 34 | LT15 | Y |
| | 13 0000 | 04/17/2009 | 877 88 | 583 20 | 294 68 | LT15 | Y |
| 100 0000 COCA COLA CO COM - 191216100 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/27/2012 | 6747 33 | | | | |
| ACQ | 80 0000 | 03/09/2009 | 5397 86 | 3163 61 | 2234 25 | LT15 | Y |
| | 20 0000 | 04/16/2009 | 1349 47 | 892 80 | 456 67 | LT15 | Y |
| 34 0000 COSTCO WHOLESALE CORP - 22160K105 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2988 87 | | | | |
| ACQ | 32 0000 | 07/29/2010 | 2813 05 | 1801 04 | 1012 01 | LT15 | Y |
| | 2 0000 | 07/20/2009 | 175 82 | 96 09 | 79 73 | LT15 | Y |
| 52 0000 CROWN CASTLE INTL CORP - 228227104 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2758 54 | | | | |
| ACQ | 40 0000 | 10/28/2011 | 2121 95 | 1696 21 | 425 74 | ST | |
| | 12 0000 | 10/28/2011 | 636 59 | 508 04 | 128 55 | ST | |
| 32 0000 DANAHER CORP DEL COM - 235851102 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1751 00 | | | | |
| ACQ | 32 0000 | 08/05/2011 | 1751 00 | 1425 77 | 325 23 | ST | |
| 20 0000 DEVON ENERGY CORP - 25179M103 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/20/2012 | 1259 63 | | | | |
| ACQ | 20 0000 | 03/18/2011 | 1259 63 | 1818 58 | -558.95 | ST | |
| 25 0000 DEVON ENERGY CORP - 25179M103 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/20/2012 | 1576 21 | | | | |
| ACQ | 6 0000 | 03/18/2011 | 378 29 | 545 57 | -167 28 | ST | |
| | 19 0000 | 03/18/2011 | 1197 92 | 1725 26 | -527 34 | ST | |
| 20 0000 DEVON ENERGY CORP - 25179M103 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1410 56 | | | | |
| ACQ | 20 0000 | 03/18/2011 | 1410.56 | 1816 06 | -405 50 | LT15 | |
| 12 0000 DISCOVERY COMMUNICATIONS INC CL A - 25470F104 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 611 38 | | | | |
| ACQ | 12 0000 | 08/24/2011 | 611 38 | 459 88 | 151 50 | ST | |
| 15.0000 ECOLAB INC COM - 278865100 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 919 02 | | | | |
| ACQ | 15 0000 | 02/08/2010 | 919 02 | 656 27 | 262 75 | LT15 | Y |
| 16 0000 EMERSON ELEC CO COM - 291011104 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/11/2012 | 767 36 | | | | |
| ACQ | 7 0000 | 05/02/2011 | 335.72 | 427 55 | -91 83 | ST | |
| | 5 0000 | 05/02/2011 | 239 80 | 305 98 | -66 18 | ST | |
| | 4 0000 | 05/02/2011 | 191 84 | 244 21 | -52 37 | ST | |
| 58 0000 EMERSON ELEC CO COM - 291011104 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/11/2012 | 2778 47 | | | | |
| ACQ | 13 0000 | 04/29/2011 | 622 76 | 789 48 | -166 72 | ST | |
| | 5 0000 | 04/29/2011 | 239 52 | 302 17 | -62 65 | ST | |
| | 6 0000 | 04/29/2011 | 287 43 | 363 58 | -76 15 | ST | |
| | 1 0000 | 04/29/2011 | 47 90 | 60 37 | -12 47 | ST | |
| | 20.0000 | 04/21/2011 | 958 10 | 1180 79 | -222 69 | ST | |
| | 13.0000 | 05/02/2011 | 622 76 | 793 69 | -170 93 | ST | |

TAX PREPARER·
TRUST ADMINISTRATOR: AZ
INVESTMENT OFFICER PCCIO

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM | NC |
|---|---|---|---|---|---|---|---|
| 51.0000 EMERSON ELEC CO COM - 291011104 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/11/2012 | 2439 66 | | | | |
| ACQ | 51 0000 | 04/21/2011 | 2439 66 | 3011 00 | -571 34 | ST | |
| 2 0000 EMERSON ELEC CO COM - 291011104 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/12/2012 | 96 21 | | | | |
| ACQ | 2 0000 | 04/21/2011 | 96 21 | 118 08 | -21 87 | ST | |
| 6 0000 EMERSON ELEC CO COM - 291011104 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/12/2012 | 288 24 | | | | |
| ACQ | 6 0000 | 04/21/2011 | 288 24 | 354 24 | -66 00 | ST | |
| 24 0000 EMERSON ELEC CO COM - 291011104 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1211 97 | | | | |
| ACQ | 24 0000 | 04/21/2011 | 1211 97 | 1416 94 | -204 97 | ST | |
| 14 0000 EQUINIX INC - 29444U502 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2187 45 | | | | |
| ACQ | 3 0000 | 05/04/2010 | 468 74 | 303 92 | 164 82 | LT15 | Y |
| | 5 0000 | 05/04/2010 | 781 23 | 506 03 | 275 20 | LT15 | Y |
| | 6 0000 | 05/06/2010 | 937 48 | 572 73 | 364 75 | LT15 | Y |
| 31.0000 GILEAD SCIENCES INC - 375558103 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1449 83 | | | | |
| ACQ | 6 0000 | 02/17/2012 | 280 61 | 280 56 | 0 05 | ST | |
| | 25 0000 | 02/17/2012 | 1169 22 | 1167 63 | 1 59 | ST | |
| 7009 3460 GOLDMAN SACHS CORE FIXED INCOME FUND - 38141W810 - MINOR = 30110 | | | | | | | |
| SOLD | | 11/21/2012 | 75000 00 | | | | |
| ACQ | 7009 3460 | 10/20/2004 | 75000 00 | 72126 17 | 2873 83 | LT15 | Y |
| 7 0000 GOOGLE INC-CL A - 38259P508 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 4444 83 | | | | |
| ACQ | 5 0000 | 01/25/2011 | 3174 88 | 3083 39 | 91 49 | LT15 | |
| | 2 0000 | 02/25/2011 | 1269 95 | 1226 69 | 43 26 | LT15 | |
| 48 0000 HALLIBURTON CO COM - 406216101 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1559 48 | | | | |
| ACQ | 38 0000 | 09/20/2011 | 1234 59 | 1463 85 | -229 26 | ST | |
| | 10 0000 | 09/20/2011 | 324 89 | 384 84 | -59 95 | ST | |
| 32.0000 HONEYWELL INTL INC - 438516106 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1861 39 | | | | |
| ACQ | 32 0000 | 02/03/2012 | 1861 39 | 1935 81 | -74 42 | ST | |
| 4 0000 INTERCONTINENTAL EXCHANGE INC - 45865V100 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 543 94 | | | | |
| ACQ | 4 0000 | 03/11/2011 | 543 94 | 505 29 | 38 65 | LT15 | |
| 94 0000 LOWES COS INC COM - 548661107 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2923 34 | | | | |
| ACQ | 87 0000 | 12/14/2007 | 2705 64 | 1987 11 | 718 53 | LT15 | Y |
| | 7 0000 | 06/18/2010 | 217 70 | 159 88 | 57 82 | LT15 | Y |
| 1 0000 MARRIOTT INTL INC NEW CL A - 571903202 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/17/2012 | 33 63 | | | | |
| ACQ | 1 0000 | 06/14/2011 | 33 63 | 32 63 | 1 00 | ST | |
| 36.0000 MARRIOTT INTL INC NEW CL A - 571903202 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/17/2012 | 1213 59 | | | | |
| ACQ | 36 0000 | 06/14/2011 | 1213 59 | 1174 58 | 39 01 | ST | |
| 22 0000 MARRIOTT INTL INC NEW CL A - 571903202 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/17/2012 | 740 12 | | | | |
| ACQ | 20 0000 | 06/14/2011 | 672 84 | 652 55 | 20 29 | ST | |
| | 2 0000 | 06/14/2011 | 67 28 | 65 05 | 2.23 | ST | |
| 13 0000 MARRIOTT INTL INC NEW CL A - 571903202 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/18/2012 | 438 99 | | | | |
| ACQ | 13 0000 | 06/14/2011 | 438 99 | 422 86 | 16 13 | ST | |
| 8.0000 MARRIOTT INTL INC NEW CL A - 571903202 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/18/2012 | 270 59 | | | | |
| ACQ | 8 0000 | 06/14/2011 | 270 59 | 260 22 | 10.37 | ST | |
| 27 0000 MARRIOTT INTL INC NEW CL A - 571903202 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/18/2012 | 911 01 | | | | |
| ACQ | 11 0000 | 06/14/2011 | 371.15 | 357 80 | 13 35 | ST | |
| | 16 0000 | 06/14/2011 | 539 86 | 518.64 | 21.22 | ST | |
| 44 0000 MARRIOTT INTL INC NEW CL A - 571903202 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1654 81 | | | | |
| ACQ | 3 0000 | 06/14/2011 | 112 83 | 97 24 | 15 59 | ST | |
| | 21 0000 | 06/15/2011 | 789 79 | 676 17 | 113 62 | ST | |
| | 3 0000 | 06/13/2011 | 112 83 | 95 72 | 17 11 | ST | |
| | 5.0000 | 06/13/2011 | 188 05 | 159 34 | 28 71 | ST | |
| | 12 0000 | 06/13/2011 | 451 31 | 382 33 | 68 98 | ST | |
| 21 0000 MASTERCARD INC -CLASS A - 57636Q104 - MINOR = 5010 | | | | | | | |
| SOLD | | 01/12/2012 | 7179 05 | | | | |
| ACQ | 20 0000 | 02/05/2010 | 6837 19 | 4437 85 | 2399 34 | LT15 | Y |
| | 1 0000 | 01/12/2011 | 341 86 | 231 62 | 110 24 | ST | |
| 5 0000 MASTERCARD INC -CLASS A - 57636Q104 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2209 30 | | | | |
| ACQ | 5.0000 | 02/05/2010 | 2209.30 | 1109 46 | 1099 84 | LT15 | Y |
| 6 0000 MCDONALDS CORP COM - 580135101 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 594 64 | | | | |
| ACQ | 6 0000 | 03/09/2009 | 594 64 | 317 84 | 276 80 | LT15 | Y |
| 7 0000 NATIONAL-OILWELL INC - 637071101 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 548 71 | | | | |
| ACQ | 7 0000 | 05/18/2011 | 548 71 | 472 29 | 76 42 | ST | |
| 133.0000 NETAPP INC - 64110D104 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 5708 24 | | | | |
| ACQ | 21 0000 | 02/17/2011 | 901 30 | 1115 19 | -213 89 | LT15 | |
| | 6.0000 | 02/18/2011 | 257 51 | 318.15 | -60 64 | LT15 | |
| | 12 0000 | 02/18/2011 | 515.03 | 635 82 | -120 79 | LT15 | |

TAX PREPARER
TRUST ADMINISTRATOR: AZ LEGEND· F - FEDERAL S - STATE I - INHERITED
INVESTMENT OFFICER PCCIO E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM | NC |
|---|---|---|---|---|---|---|---|
| | 9 0000 | 02/17/2011 | 386 27 | 474 05 | -87 78 | LT15 | |
| | 12 0000 | 02/22/2011 | 515 03 | 622 07 | -107 04 | LT15 | |
| | 71 0000 | 02/22/2011 | 3047 26 | 3678 38 | -631 12 | LT15 | |
| | 2 0000 | 02/22/2011 | 85 84 | 103 58 | -17 74 | LT15 | |
| 83 0000 NIKE INC CL B - 654106103 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/02/2012 | 8546 21 | | | | |
| ACQ | 27 0000 | 10/19/2009 | 2780 09 | 1774 31 | 1005 78 | LT15 | Y |
| | 5 0000 | 12/29/2009 | 514 83 | 329 10 | 185 73 | LT15 | Y |
| | 11 0000 | 12/30/2009 | 1132 63 | 725 13 | 407 50 | LT15 | Y |
| | 15 0000 | 10/21/2009 | 1544 50 | 984 56 | 559 94 | LT15 | Y |
| | 25 0000 | 03/18/2011 | 2574 16 | 1943 09 | 631 07 | ST | |
| 12 0000 NIKE INC CL B - 654106103 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/03/2012 | 1239 91 | | | | |
| ACQ | 5 0000 | 10/19/2009 | 516 63 | 328 04 | 188 59 | LT15 | Y |
| | 7 0000 | 10/21/2009 | 723 28 | 459 46 | 263 82 | LT15 | Y |
| 17 0000 NIKE INC CL B - 654106103 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1884 74 | | | | |
| ACQ | 17 0000 | 10/19/2009 | 1884 74 | 1115 33 | 769 41 | LT15 | Y |
| 37 0000 NORTHERN TR CORP COM - 665859104 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1716 02 | | | | |
| ACQ | 32 0000 | 10/21/2009 | 1484 13 | 1739 29 | -255 16 | LT15 | Y |
| | 5 0000 | 10/23/2009 | 231 89 | 267.07 | -35 18 | LT15 | Y |
| 52 0000 NVIDIA CORP - 67066G104 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 750 35 | | | | |
| ACQ | 16 0000 | 02/17/2011 | 230 88 | 396 66 | -165 78 | LT15 | |
| | 36 0000 | 02/17/2011 | 519 47 | 864 61 | -345 14 | LT15 | |
| 9.0000 OCCIDENTAL PETE CORP - 674599105 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 826 09 | | | | |
| ACQ | 9 0000 | 12/08/2009 | 826 09 | 692 99 | 133 10 | LT15 | Y |
| 82 0000 ORACLE CORP COM - 68389X105 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2384 51 | | | | |
| ACQ | 48 0000 | 04/03/2009 | 1395 81 | 926 83 | 468 98 | LT15 | Y |
| | 34 0000 | 04/16/2009 | 988 70 | 650 16 | 338 54 | LT15 | Y |
| 17 0000 PVH CORP - 693656100 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1541 18 | | | | |
| ACQ | 11 0000 | 10/20/2011 | 997 23 | 733 87 | 263 36 | ST | |
| | 6 0000 | 10/20/2011 | 543 95 | 396 62 | 147 33 | ST | |
| 98 0000 PEPSICO INC COM - 713448108 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 6409 06 | | | | |
| ACQ | 98 0000 | 10/19/2004 | 6409 06 | 4819 64 | 1589 42 | LT15 | Y |
| 26 0000 PRAXAIR INC COM - 74005P104 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2925 19 | | | | |
| ACQ | 5 0000 | 02/18/2011 | 562 54 | 494 61 | 67 93 | LT15 | |
| | 6 0000 | 02/18/2011 | 675 04 | 593 22 | 81 82 | LT15 | |
| | 2 0000 | 02/18/2011 | 225 01 | 196 22 | 28 79 | LT15 | |
| | 8 0000 | 02/17/2011 | 900 06 | 784 25 | 115 81 | LT15 | |
| | 5 0000 | 02/22/2011 | 562 54 | 489 23 | 73 31 | LT15 | |
| 14 0000 T ROWE PRICE GROUP INC - 74144T108 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 894 01 | | | | |
| ACQ | 14 0000 | 10/12/2011 | 894 01 | 746 09 | 147 92 | ST | |
| 1 0000 PRICELINE COM INC - 741503403 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 767 29 | | | | |
| ACQ | 1 0000 | 11/04/2011 | 767 29 | 513 02 | 254 27 | ST | |
| 66 0000 PROCTER & GAMBLE CO COM - 742718109 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/10/2012 | 4202 16 | | | | |
| ACQ | 66 0000 | 09/16/2011 | 4202 16 | 4244 62 | -42 46 | ST | |
| 22 0000 PROCTER & GAMBLE CO COM - 742718109 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1470 44 | | | | |
| ACQ | 21 0000 | 02/24/2012 | 1403 60 | 1404 95 | -1 35 | ST | |
| | 1.0000 | 02/24/2012 | 66 84 | 66 85 | -0 01 | ST | |
| 120 0000 QUALCOMM INC COM - 747525103 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/02/2012 | 7358 60 | | | | |
| ACQ | 24 0000 | 09/24/2010 | 1471 72 | 1075 90 | 395 82 | LT15 | Y |
| | 48 0000 | 10/28/2010 | 2943 44 | 2146 21 | 797 23 | LT15 | Y |
| | 35 0000 | 09/24/2010 | 2146 26 | 1561 19 | 585 07 | LT15 | Y |
| | 13 0000 | 10/28/2010 | 797 18 | 580.77 | 216.41 | LT15 | Y |
| 57 0000 QUALCOMM INC COM - 747525103 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/02/2012 | 3471 64 | | | | |
| ACQ | 35 0000 | 09/24/2010 | 2131 71 | 1561 19 | 570 52 | LT15 | Y |
| | 10 0000 | 10/27/2010 | 609 06 | 443 65 | 165.41 | LT15 | Y |
| | 12 0000 | 10/27/2010 | 730 87 | 531 43 | 199 44 | LT15 | Y |
| 66 0000 QUALCOMM INC COM - 747525103 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 4395 51 | | | | |
| ACQ | 2 0000 | 10/27/2010 | 133 20 | 88 57 | 44 63 | LT15 | Y |
| | 64 0000 | 10/19/2004 | 4262 31 | 2828 80 | 1433 51 | LT15 | Y |
| 7 0000 ROCKWELL INTL CORP NEW COM - 773903109 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 542 55 | | | | |
| ACQ | 7 0000 | 08/05/2011 | 542 55 | 465.22 | 77 33 | ST | |
| 152 0000 ST JUDE MED INC COM - 790849103 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 5926 36 | | | | |
| ACQ | 58.0000 | 02/07/2012 | 2261.37 | 2516 05 | -254.68 | ST | |
| | 72.0000 | 02/08/2012 | 2807 22 | 3120 67 | -313 45 | ST | |
| | 17 0000 | 02/07/2012 | 662 82 | 734 26 | -71 44 | ST | |
| | 5 0000 | 02/07/2012 | 194 95 | 213 64 | -18 69 | ST | |

TRUST YEAR ENDING 12/31/2012

TAX PREPARER
TRUST ADMINISTRATOR AZ
INVESTMENT OFFICER· PCCIO

LEGEND F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM | NC |
|---|---|---|---|---|---|---|---|
| 21 0000 ST JUDE MED INC COM - 790849103 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/10/2012 | 815 75 | | | | |
| ACQ | 11 0000 | 02/07/2012 | 427 30 | 470 02 | -42 72 | ST | |
| | 10 0000 | 04/09/2009 | 388 45 | 344 69 | 43 76 | LT15 | Y |
| 16 0000 ST JUDE MED INC COM - 790849103 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/10/2012 | 620 96 | | | | |
| ACQ | 3 0000 | 04/09/2009 | 116 43 | 103 41 | 13 02 | LT15 | Y |
| | 5 0000 | 10/22/2009 | 194 05 | 172 22 | 21 83 | LT15 | Y |
| | 5 0000 | 10/22/2009 | 194.05 | 171 82 | 22 23 | LT15 | Y |
| | 3 0000 | 04/09/2009 | 116 43 | 103 04 | 13 39 | LT15 | Y |
| 3 0000 ST JUDE MED INC COM - 790849103 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/10/2012 | 118 67 | | | | |
| ACQ | 3 0000 | 04/09/2009 | 118 67 | 103 04 | 15 63 | LT15 | Y |
| 3 0000 ST JUDE MED INC COM - 790849103 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/11/2012 | 117 43 | | | | |
| ACQ | 3 0000 | 10/21/2009 | 117 43 | 103 03 | 14 40 | LT15 | Y |
| 9 0000 ST JUDE MED INC COM - 790849103 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/11/2012 | 353 14 | | | | |
| ACQ | 9 0000 | 10/21/2009 | 353 14 | 309 09 | 44 05 | LT15 | Y |
| 10 0000 SALESFORCE.COM INC - 79466L302 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1572 36 | | | | |
| ACQ | 10 0000 | 02/16/2011 | 1572 36 | 1425 03 | 147 33 | LT15 | |
| 49 0000 SCHLUMBERGER LTD COM - 806857108 - MINOR = 5020 | | | | | | | |
| SOLD | | 04/09/2012 | 3320 65 | | | | |
| ACQ | 37 0000 | 02/22/2010 | 2507 43 | 2227 08 | 280 35 | LT15 | Y |
| | 12 0000 | 02/22/2010 | 813 22 | 720 60 | 92 62 | LT15 | Y |
| 15 0000 SOUTHWESTERN ENERGY CO - 845467109 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 435 89 | | | | |
| ACQ | 15 0000 | 01/23/2012 | 435 89 | 478 79 | -42 90 | ST | |
| 31 0000 TEVA PHARMACEUTICAL INDS LTD ADR - 881624209 - MINOR = 5030 | | | | | | | |
| SOLD | | 04/09/2012 | 1381 63 | | | | |
| ACQ | 31 0000 | 12/09/2010 | 1381 63 | 1627 56 | -245 93 | LT15 | Y |
| 211 0000 TEXAS INSTRS INC COM - 882508104 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/28/2012 | 7046 29 | | | | |
| ACQ | 62 0000 | 07/12/2011 | 2070 47 | 1945 45 | 125 02 | ST | |
| | 18 0000 | 07/12/2011 | 601 11 | 567 59 | 33 52 | ST | |
| | 131 0000 | 09/02/2011 | 4374 71 | 3301 76 | 1072 95 | ST | |
| 45 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/02/2012 | 2466 61 | | | | |
| ACQ | 45 0000 | 08/04/2010 | 2466 61 | 2021 10 | 445 51 | LT15 | Y |
| 32.0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/02/2012 | 1747 47 | | | | |
| ACQ | 9 0000 | 08/04/2010 | 491 48 | 402 12 | 89 36 | LT15 | Y |
| | 19 0000 | 08/03/2010 | 1037 56 | 845 85 | 191 71 | LT15 | Y |
| | 4.0000 | 08/04/2010 | 218 43 | 179 65 | 38 78 | LT15 | Y |
| 6 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/28/2012 | 342 48 | | | | |
| ACQ | 2 0000 | 08/03/2010 | 114 16 | 89 04 | 25 12 | LT15 | Y |
| | 4 0000 | 08/03/2010 | 228 32 | 177 93 | 50.39 | LT15 | Y |
| ***ADDED UPDATE*** 05/01/12 | | | | | | | |
| 4 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/28/2012 | 226 50 | | | | |
| ACQ | 4 0000 | 08/03/2010 | 226 50 | 177 93 | 48 57 | LT15 | Y |
| ***ADDED UPDATE*** 05/01/12 | | | | | | | |
| 28 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/28/2012 | 1579 32 | | | | |
| ACQ | 3 0000 | 08/03/2010 | 169 21 | 133 44 | 35 77 | LT15 | Y |
| | 4.0000 | 06/22/2005 | 225 62 | 126 87 | 98 75 | LT15 | Y |
| | 3 0000 | 08/16/2005 | 169 21 | 94 73 | 74 48 | LT15 | Y |
| | 18 0000 | 08/16/2005 | 1015 28 | 568 36 | 446 92 | LT15 | Y |
| ***ADDED UPDATE*** 05/01/12 | | | | | | | |
| 28 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/29/2012 | 1566.04 | | | | |
| ACQ | 3 0000 | 08/16/2005 | 167 79 | 94 73 | 73 06 | LT15 | Y |
| | 18 0000 | 08/16/2005 | 1006 74 | 568 36 | 438 38 | LT15 | Y |
| | 7 0000 | 08/16/2005 | 391.51 | 221 03 | 170 48 | LT15 | Y |
| 18 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/29/2012 | 1007 96 | | | | |
| ACQ | 3 0000 | 08/16/2005 | 167 99 | 94 72 | 73 27 | LT15 | Y |
| | 1 0000 | 08/04/2005 | 56 00 | 31 31 | 24 69 | LT15 | Y |
| | 1 0000 | 08/04/2005 | 56 00 | 31 31 | 24 69 | LT15 | Y |
| | 1.0000 | 08/04/2005 | 56 00 | 31 31 | 24 69 | LT15 | Y |
| | 8 0000 | 08/04/2005 | 447 98 | 250 46 | 197 52 | LT15 | Y |
| | 4 0000 | 08/04/2005 | 223 99 | 125 23 | 98 76 | LT15 | Y |
| 79 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/29/2012 | 4419 15 | | | | |
| ACQ | 3 0000 | 08/04/2005 | 167 82 | 93 92 | 73 90 | LT15 | Y |
| | 5 0000 | 08/04/2005 | 279 69 | 156 53 | 123 16 | LT15 | Y |
| | 2 0000 | 10/04/2005 | 111 88 | 61 56 | 50 32 | LT15 | Y |
| | 2 0000 | 10/04/2005 | 111 88 | 61 56 | 50 32 | LT15 | Y |
| | 1 0000 | 10/04/2005 | 55 94 | 30 78 | 25 16 | LT15 | Y |
| | 1.0000 | 10/04/2005 | 55 94 | 30 78 | 25 16 | LT15 | Y |
| | 10.0000 | 10/04/2005 | 559 38 | 307 79 | 251 59 | LT15 | Y |
| | 14 0000 | 10/04/2005 | 783 14 | 430 90 | 352 24 | LT15 | Y |
| | 6 0000 | 10/04/2005 | 335 63 | 184 67 | 150 96 | LT15 | Y |
| | 2 0000 | 10/05/2005 | 111 88 | 61 51 | 50 37 | LT15 | Y |
| | 9 0000 | 10/05/2005 | 503 45 | 276 77 | 226 68 | LT15 | Y |

TAX PREPARER
TRUST ADMINISTRATOR AZ
INVESTMENT OFFICER· PCCIO

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM | NC |
|---|---|---|---|---|---|---|---|
| | 9 0000 | 10/05/2005 | 503 45 | 276 77 | 226 68 | LT15 | Y |
| | 11 0000 | 10/04/2005 | 615 32 | 337 73 | 277 59 | LT15 | Y |
| | 4 0000 | 10/04/2005 | 223 75 | 122 81 | 100 94 | LT15 | Y |
| 22 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/30/2012 | 1242 10 | | | | |
| ACQ | 8 0000 | 08/04/2005 | 451 67 | 250 46 | 201 21 | LT15 | Y |
| | 4 0000 | 08/04/2005 | 225 84 | 125 23 | 100 61 | LT15 | Y |
| | 10 0000 | 08/04/2005 | 564 59 | 313 07 | 251 52 | LT15 | Y |
| 20 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/30/2012 | 1131 71 | | | | |
| ACQ | 1 0000 | 08/04/2005 | 56 59 | 31 31 | 25 27 | LT15 | Y |
| | 7 0000 | 08/04/2005 | 396 10 | 219 15 | 176 94 | LT15 | Y |
| | 12 0000 | 08/04/2005 | 679 02 | 375 68 | 303.34 | LT15 | Y |
| 11 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/30/2012 | 623 73 | | | | |
| ACQ | 7 0000 | 08/04/2005 | 396 92 | 219.15 | 177 77 | LT15 | Y |
| | 4 0000 | 08/04/2005 | 226 81 | 125 23 | 101 58 | LT15 | Y |
| 26 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 03/30/2012 | 1469 06 | | | | |
| ACQ | 15 0000 | 08/04/2005 | 847 53 | 469 60 | 377 93 | LT15 | Y |
| | 3 0000 | 08/04/2005 | 169 51 | 93 92 | 75 59 | LT15 | Y |
| | 8 0000 | 08/04/2005 | 452 02 | 250 45 | 201 57 | LT15 | Y |
| 36 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/02/2012 | 2031 33 | | | | |
| ACQ | 5 0000 | 10/04/2005 | 282 13 | 153 51 | 128 62 | LT15 | Y |
| | 4.0000 | 10/05/2005 | 225 70 | 122.65 | 103 05 | LT15 | Y |
| | 17 0000 | 10/05/2005 | 959 24 | 521 24 | 438 00 | LT15 | Y |
| | 9 0000 | 10/05/2005 | 507 83 | 275.95 | 231 88 | LT15 | Y |
| | 1 0000 | 10/05/2005 | 56 43 | 30 66 | 25 77 | LT15 | Y |
| 6 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/02/2012 | 339 53 | | | | |
| ACQ | 6 0000 | 10/05/2005 | 339 53 | 183 97 | 155 56 | LT15 | Y |
| 43 0000 THERMO FISHER SCIENTIFIC INC - 883556102 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/02/2012 | 2426 58 | | | | |
| ACQ | 14 0000 | 10/05/2005 | 790 05 | 429 25 | 360 80 | LT15 | Y |
| | 4 0000 | 10/05/2005 | 225 73 | 122 64 | 103 09 | LT15 | Y |
| | 1 0000 | 10/05/2005 | 56 43 | 30 66 | 25 77 | LT15 | Y |
| | 13 0000 | 10/14/2005 | 733 62 | 386 41 | 347 21 | LT15 | Y |
| | 11 0000 | 10/14/2005 | 620 75 | 326.96 | 293 79 | LT15 | Y |
| 69 0000 UNION PAC CORP COM - 907818108 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/17/2012 | 7593 79 | | | | |
| ACQ | 69 0000 | 08/19/2011 | 7593 79 | 5926 26 | 1667 53 | ST | |
| 57 0000 URBAN OUTFITTERS INC - 917047102 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1680 90 | | | | |
| ACQ | 36 0000 | 04/27/2011 | 1061 62 | 1195 84 | -134 22 | ST | |
| | 21 0000 | 04/27/2011 | 619 28 | 695 44 | -76 16 | ST | |
| 14 0000 VERTEX PHARMACEUTICALS INC COM - 92532F100 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 534 22 | | | | |
| ACQ | 14 0000 | 01/31/2012 | 534 22 | 524.31 | 9 91 | ST | |
| 37 0000 VIACOM INC CLASS B - 92553P201 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 1728 97 | | | | |
| ACQ | 37 0000 | 10/19/2004 | 1728 97 | 1585 74 | 143 23 | LT15 | Y |
| 230 0000 WESTERN UNION CO - 959802109 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/08/2012 | 4137 09 | | | | |
| ACQ | 230 0000 | 10/19/2004 | 4137 09 | 4285 70 | -148 61 | LT15 | Y |
| 45 0000 WESTERN UNION CO - 959802109 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/08/2012 | 810 35 | | | | |
| ACQ | 45 0000 | 10/19/2004 | 810 35 | 838.51 | -28 16 | LT15 | Y |
| 109 0000 WESTERN UNION CO - 959802109 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/08/2012 | 1961 97 | | | | |
| ACQ | 40 0000 | 10/19/2004 | 719 99 | 745 34 | -25 35 | LT15 | Y |
| | 10 0000 | 06/09/2005 | 180 00 | 184.92 | -4 92 | LT15 | Y |
| | 59 0000 | 06/09/2005 | 1061 98 | 1088 89 | -26 91 | LT15 | Y |
| 251 0000 WESTERN UNION CO - 959802109 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/15/2012 | 4417 52 | | | | |
| ACQ | 26 0000 | 04/21/2005 | 457 59 | 450.46 | 7 13 | LT15 | Y |
| | 43 0000 | 04/21/2005 | 756 79 | 732.61 | 24.18 | LT15 | Y |
| | 10 0000 | 04/21/2005 | 176 00 | 170.37 | 5 63 | LT15 | Y |
| | 29 0000 | 11/04/2008 | 510 39 | 428 58 | 81 81 | LT15 | Y |
| | 48 0000 | 11/04/2008 | 844 78 | 709 37 | 135 41 | LT15 | Y |
| | 41 0000 | 11/04/2008 | 721 59 | 605 92 | 115 67 | LT15 | Y |
| | 29.0000 | 11/06/2008 | 510 39 | 424 31 | 86 08 | LT15 | Y |
| | 25 0000 | 11/06/2008 | 439 99 | 364 36 | 75 63 | LT15 | Y |
| 84 0000 WESTERN UNION CO - 959802109 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/16/2012 | 1481 64 | | | | |
| ACQ | 35 0000 | 06/09/2005 | 617 35 | 645.95 | -28 60 | LT15 | Y |
| | 49 0000 | 10/08/2008 | 864 29 | 901 00 | -36 71 | LT15 | Y |
| 48 0000 WESTERN UNION CO - 959802109 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/16/2012 | 846 94 | | | | |
| ACQ | 37 0000 | 10/08/2008 | 652 85 | 680 35 | -27 50 | LT15 | Y |
| | 11 0000 | 10/08/2008 | 194 09 | 201 35 | -7 26 | LT15 | Y |
| 83.0000 WESTERN UNION CO - 959802109 - MINOR = 5010 | | | | | | | |
| SOLD | | 02/16/2012 | 1465 63 | | | | |
| ACQ | 37.0000 | 10/08/2008 | 653 35 | 677.25 | -23 90 | LT15 | Y |
| | 19 0000 | 06/08/2005 | 335 51 | 344 50 | -8 99 | LT15 | Y |
| | 27 0000 | 04/21/2005 | 476 77 | 467.79 | 8 98 | LT15 | Y |

TAX PREPARER:
TRUST ADMINISTRATOR AZ
INVESTMENT OFFICER PCCIO

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

| PROPERTY DESCRIPTION | SHARES | DATE | SALES PRICE | COST BASIS | GAIN/LOSS | TERM | NC |
|---|---|---|---|---|---|---|---|
| 61 0000 XILINX INC COM - 983919101 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 2148 37 | | | | |
| ACQ | 13 0000 | 10/21/2010 | 457 85 | 328.44 | 129.41 | LT15 | Y |
| | 23 0000 | 01/21/2010 | 810 04 | 580 00 | 230.04 | LT15 | Y |
| | 25 0000 | 10/21/2010 | 880 48 | 629 96 | 250.52 | LT15 | Y |
| 12 0000 YUM BRANDS INC - 988498101 - MINOR = 5010 | | | | | | | |
| SOLD | | 04/09/2012 | 840 94 | | | | |
| ACQ | 12.0000 | 08/26/2010 | 840 94 | 509 50 | 331 44 | LT15 | Y |
| | | TOTALS | 340045 93 | 291803 66 | | | |

SUMMARY OF CAPITAL GAINS/LOSSES

| FEDERAL | SHORT TERM | LONG TERM 28% | LONG TERM 15% | ST WASH SALE | LT WASH SALE | 1250 GAIN |
|---|---|---|---|---|---|---|
| NONCOVERED FROM ABOVE | 0 00 | 0 00 | 45615 77 | 0.00 | 0 00 | 0 00* |
| COVERED FROM ABOVE | 3459 71 | 0 00 | -833 23 | 0 00 | 0 00 | |
| COMMON TRUST FUND | 0 00 | 0 00 | 0 00 | | | 0 00 |
| CAPITAL GAIN DIVIDENDS | 3180 67 | 0 00 | 17114 54 | | | 0 00 |
| | 6640 38 | 0.00 | 61897 08 | 0 00 | 0 00 | 0 00 |

| STATE | SHORT TERM | LONG TERM 28% | LONG TERM 15% | ST WASH SALE | LT WASH SALE | 1250 GAIN |
|---|---|---|---|---|---|---|
| NONCOVERED FROM ABOVE | 0 00 | 0 00 | 45615 77 | 0 00 | 0 00 | 0 00* |
| COVERED FROM ABOVE | 3459 71 | 0 00 | -833 23 | 0 00 | 0 00 | |
| COMMON TRUST FUND | 0 00 | 0 00 | 0 00 | | | 0 00 |
| CAPITAL GAIN DIVIDENDS | 3180 67 | 0 00 | 17114 54 | | | 0 00 |
| | 6640 38 | 0 00 | 61897 08 | 0 00 | 0 00 | 0 00 |

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

| | | |
|---|---|---|
| FEDERAL | 0 00 | 0 00 |
| DELAWARE | N/A | N/A |

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| STAND UP FOR KIDS - SILICON VALLEY<br>LOS GATOS, CA 95032 | | 170(B)(1)(A)(II | PUBLIC INTEREST - CH | 5,000. |
| BEACON ACADEMY<br>477 LONGWOOD AVENUE<br>BOSTON, MA 02215 | | 170(B)(1)(A)(II | EDUCATION - CHARITAB | 34,000. |
| BOSTON CHILDREN'S CHORUS<br>112 SHAWMUT AVENUE<br>BOSTON, MA 02118 | | 170(B)(1)(A)(II | ARTS - CHARITABLE CO | 4,000. |
| BOYS & GIRLS CLUB OF THE PENINSULA<br>401 PIERCE ROAD<br>MENLO PARK, CA 94025 | | 170(B)(1)(A)(VI | EDUCATION - CHARITAB | 2,000. |
| BREWSTER ACADEMY<br>80 ACADEMY DR<br>WOLFEBORO, NH 03894 | | 170(B)(1)(A)(II | EDUCATION - CHARITAB | 1,000. |
| BROOKLINE COMMUNITY FUND<br>40 WEBSTER PLACE<br>BROOKLINE, MA 02445 | | 509(A)(2) | PUBLIC INTEREST - CH | 5,000. |
| CHILDREN'S ADVOCACY CTR OF SUFFOLK CTY<br>99 COMMONWEALTH AVENUE<br>BOSTON, MA 02215-1308 | | 170(B)(1)(A)(VI | EDUCATION - CHARITAB | 5,000. |
| CHILDREN'S LITERACY FUND<br>1536 LOOMIS HILL ROAD<br>WATERBURY, VT 05676 | | 170(B)(1)(A)(VI | LITERACY - CHARITABL | 5,000. |
| DOCTORS WITHOUT BORDERS, USA INC<br>333 SEVENTH AVE<br>NEW YORK, NY 10001 | | 170(B)(1)(A)(VI | HEALTHCARE - CHARITA | 25,000. |
| ELIOT SCHOOL TRUSTEES<br>PO BOX 300351, 24 ELIOT STREET<br>JAMAICA PLAIN, MA 02130 | | 170(B)(1)(A)(II | EDUCATION - CHARITAB | 5,000. |
| **Total from continuation sheets** | | | | 262,000. |

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05-01-12

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| FAMILIES FIRST INC<br>2163 S. YOSEMITE<br>DENVER, CO 80231 | | 170(B)(1)(A)(VI | EDUCATION - CHARITAB | 5,000. |
| FREE WHEELCHAIR MISSION<br>9341 IRVINE BLVD<br>IRVINE, CA 92618 | | 170(B)(1)(A)(VI | SPECIAL NEEDS - CHAR | 2,000. |
| FRIENDS OF ABENAKI<br>429 N LINE RD<br>WOLFBORO, NH 03894 | | 170(B)(1)(A)(VI | ECONOMIC DEVELOPMENT | 3,000. |
| GREATER BOSTON FOOD BANK<br>70 SOUTH BAY AVENUE<br>BOSTON, MA 02118 | | 170(B)(1)(A)(VI | HUNGER - CHARITABLE | 7,500. |
| KINGSWOOD YOUTH CENTER<br>PO BOX 697<br>WOLFEBORO FALLS, NH 03896 | | 170(B)(1)(A)(VI | RECREATION - CHARITA | 3,000. |
| PARENTS HELPING PARENTS<br>108 WATER STREET<br>WATERTOWN, MA 02474 | | 170(B)(1)(A)(VI | EDUCATION - CHARITAB | 10,000. |
| PARK SCHOOL<br>171 GODDARD AVENUE<br>BROOKLINE, MA 02445 | | 170(B)(1)(A)(II | EDUCATION - CHARITAB | 20,000. |
| PRINCETON PROJECT "55"<br>12 STOCKTON STREET<br>PRINCETON, NJ 08540 | | 170(B)(1)(A)(VI | EDUCATION - CHARITAB | 30,000. |
| SUNNYVALE COMMUNITY SERVICES<br>725 KIFER ROAD<br>SUNNYVALE, CA 94086 | | 170(B)(1)(A)(VI | EDUCATION - CHARITAB | 5,000. |
| THE HARKER SCHOOL<br>3800 BLACKFORD AVE<br>SAN JOSE, CA 95117 | | 170(B)(1)(A)(II | EDUCATION - CHARITAB | 5,000. |
| **Total from continuation sheets** | | | | |

223631
05-01-12

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| THE HEALTH TRUST<br>2105 S. BASCOM AVE., SUITE 220<br>CAMPBELL, CA 95008 | | 509(A)(2) | EDUCATION - CHARITAB | 3,500. |
| THINK KIDS C/O MASS GENERAL HOSPITAL<br>101 MERRIMAC STREET<br>BOSTON, MA 02114 | | 170(B)(1)(A)(II | PUBLIC INTEREST - CH | 2,000. |
| W.A.R.A. NICK PROJECT<br><br>BOSTON, MA | | 509(A)(2) | CHARITABLE CONTRIBUT | 3,000. |
| WALDEN WEST OUTDOOR SCHOOL<br>15555 SANBORN RD<br>SARATOGA, CA 95070 | | 509(A)(2) | EDUCATION - CHARITAB | 2,000. |
| WELLESLEY COLLEGE<br>106 CENTRAL STREET<br>WELLESLEY, MA 02481 | | 170(B)(1)(A)(II | HELEN M JOCKERS SCHO | 20,000. |
| WOLFEBORO AREA CHILDREN'S CENTER<br>180 SOUTH MAIN STREET<br>WOLFEBORO, NH 03894 | | 170(B)(1)(A)(II | EDUCATION - CHARITAB | 10,000. |
| WOLFEBORO AREA VNA HOSPICE<br>240 SOUTH MAIN STREET<br>WOLFEBORO, NH 03894 | | 509(A)(2) | HOSPICE - CHARITABLE | 15,000. |
| YALE SCHOOL OF MEDICINE<br>333 CEDAR STREET<br>NEW HAVEN, CT 06510 | | 170(B)(1)(A)(II | HAROLD & HELEN SCHOL | 25,000. |
| | | | | |
| | | | | |
| **Total from continuation sheets** | | | | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
|---|---|---|---|
| GS- 021944061- DIVIDENDS | 16,381. | 0. | 16,381. |
| GS- 021944061- INTEREST | 20. | 0. | 20. |
| GS- 040935736- DIVIDENDS | 1. | 0. | 1. |
| GSTC ACADIAN - DIVIDENDS | 16,045. | 0. | 16,045. |
| GSTC ACADIAN - INTEREST | -1. | 0. | -1. |
| GSTC VONTOBEL - DIVIDENDS | 11,936. | 0. | 11,936. |
| GSTC VONTOBEL - INTEREST | 4. | 0. | 4. |
| STATE STREET BANK | 108,696. | 17,115. | 91,581. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 153,082. | 17,115. | 135,967. |

FORM 990-PF OTHER INCOME STATEMENT 2

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| MARSICO: NON-US EQUITY, LLC - DISTRIBUTION - GS#1881 | 201. | 0. | 0. |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 201. | 0. | 0. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT MGMT FEES - 021944061 | 4,504. | 4,504. | 0. | 0. |
| INVESTMENT MGMT FEES -VONTOBEL | 4,068. | 4,068. | 0. | 0. |
| INVESTMENT MGMT FEES -ACADIAN | 5,245. | 5,245. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 13,817. | 13,817. | 0. | 0. |

FORM 990-PF | TAXES | STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FOREIGN TAXES - 021944061 | 49. | 49. | 0. | 0. |
| FOREIGN TAXES - SSB | 955. | 955. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 1,004. | 1,004. | 0. | 0. |

FORM 990-PF | OTHER EXPENSES | STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| GSTC ACADIAN - OTHER EXPENSES | 14,263. | 14,263. | 0. | 0. |
| GSTC VONTOBEL - OTHER EXPENSES | 593. | 593. | 0. | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 14,856. | 14,856. | 0. | 0. |

FORM 990-PF | CORPORATE STOCK | STATEMENT 6

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| GSAM: LARGE CAP GROWTH STRATEGIC | 1,002,974. | 1,431,181. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 1,002,974. | 1,431,181. |

FORM 990-PF | OTHER INVESTMENTS | STATEMENT 7

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| VONTOBEL LP | COST | 280,000. | 508,612. |
| GS HEDGE FUND PARTNERS | COST | 500,000. | 584,415. |
| ACADIAN LP | COST | 0. | 0. |
| 87061.129 GS CORE FIXED INC | COST | 865,646. | 931,554. |
| 8815.774 GS EMERGING MKT | COST | 180,246. | 148,193. |

| | | | |
|---|---|---|---|
| 36903.641 GS EQ DIV/PREM | COST | 352,148. | 353,537. |
| 18223.406 GS HIGH YIELD FUND | COST | 145,878. | 133,213. |
| 19053.618 GS INTL REAL ESTATE | COST | 239,244. | 119,847. |
| 113005.513 GS LARGE CAP VALUE | COST | 1,474,761. | 1,439,690. |
| 12590.529 GS REAL ESTATE SEC | COST | 193,248. | 197,419. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 4,231,171. | 4,416,480. |