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Form **990-PF**

**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2012

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012 or tax year beginning **6/6/2012**, and ending **12/31/2012**

Name of foundation: **DONALD E. DAU CHARITABLE TRUST**
 Number and street (or P O box number if mail is not delivered to street address): **P.O. BOX 1501, NJ2-130-03-31**
 Room/suite: _____
 City or town, state, and ZIP code: **PENNINGTON NJ 08534-1501**

A Employer identification number
46-0632784

B Telephone number (see instructions)
609-274-6834

C If exemption application is pending, check here **X**

D 1. Foreign organizations, check here
 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 1,568,799**

J Accounting method: Cash Accrual
 Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,460,981			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	25,191	24,396		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	127,114			
	b Gross sales price for all assets on line 6a 1,563,100				
	7 Capital gain net income (from Part IV, line 2)		127,114		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	1,613,286	151,510			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	15,951	5,294		10,657
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	2,300	1,380		920
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,970	290		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	977	127		850
	24 Total operating and administrative expenses. Add lines 13 through 23	23,198	7,091	0	12,427
	25 Contributions, gifts, grants paid				
26 Total expenses and disbursements. Add lines 24 and 25	23,198	7,091	0	12,427	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	1,590,088				
b Net investment income (if negative, enter -0-)		144,419			
c Adjusted net income (if negative, enter -0-)			0		

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Form **990-PF** (2012)

DELIVERED MAY 09 2013 POSTMARK DATE

Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		62,318	62,318
	2 Savings and temporary cash investments		77,773	77,773
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)		782,763	823,173
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis			
Less: accumulated depreciation (attach schedule)				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)		579,309	605,535	
14 Land, buildings, and equipment basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		0	1,502,163	1,568,799
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)		0	0
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds		1,502,163	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see instructions)		0	1,502,163	
31 Total liabilities and net assets/fund balances (see instructions)		0	1,502,163	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0
2 Enter amount from Part I, line 27a	2	1,590,088
3 Other increases not included in line 2 (itemize) COST BASIS ADJUSTMENT	3	92
4 Add lines 1, 2, and 3	4	1,590,180
5 Decreases not included in line 2 (itemize) See Attached Statement	5	88,017
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,502,163

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)	
1a See Attached Statement					
1b See Attached Statement					
1c See Attached Statement					
1d See Attached Statement					
1e See Attached Statement					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a			0		
b			0		
c			0		
d			0		
e			0		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a			0		
b			0		
c			0		
d			0		
e			0		
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	127,114
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		{ If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2011			0.000000
2010			0.000000
2009			0.000000
2008			0.000000
2007			0.000000
2 Total of line 1, column (d)		2	0.000000
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	0.000000
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5		4	
5 Multiply line 4 by line 3		5	
6 Enter 1% of net investment income (1% of Part I, line 27b)		6	0
7 Add lines 5 and 6		7	0
8 Enter qualifying distributions from Part XII, line 4		8	0

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	2,888	
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3 Add lines 1 and 2	3	2,888	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4		
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	2,888	
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	3,680	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	3,680	
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	792	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> 792 Refunded <input type="checkbox"/> 0	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X		
14	The books are in care of ▶ MLTC, A DIVISION OF BANK OF AMERICA, N A Telephone no ▶ 609-274-6834 Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶		Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here ▶

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

	5b	N/A
	6b	X
	7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MERRILL LYNCH TRUST COMPANY P.O. Box 1501, NJ2-130-03-31 Pennington, NJ	CO-TRUSTEE 2.00	13,235		
CHARLES R. BARNHART 1209 21ST AVENUE ROCK ISLAND, IL 61201-	CO-TRUSTEE 1.50	2,716		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,520,582
b	Average of monthly cash balances	1b	35,655
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,556,237
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,556,237
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	23,344
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,532,893
6	Minimum investment return. Enter 5% of line 5. MIR Prorated - Short Year	6	43,887

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	43,887
2a	Tax on investment income for 2012 from Part VI, line 5	2a	2,888
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,888
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	40,999
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	40,999
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	40,999

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	12,427
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	12,427
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	12,427

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				40,999
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>12,427</u>				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				12,427
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5.	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				28,572
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year NONE				
Total			▶ 3a	0
b Approved for future payment NONE				
Total			▶ 3b	0

Schedule B
 (Form 990, 990-EZ,
 or 990-PF)
 Department of the Treasury
 Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2012

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Name of the organization DONALD E DAU CHARITABLE TRUST	Employer identification number 46-0632784
--	---

Organization type (check one)

- | | |
|--------------------|---|
| Filers of: | Section: |
| Form 990 or 990-EZ | <input type="checkbox"/> 501(c)() (enter number) organization |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation |
| | <input type="checkbox"/> 527 political organization |
| Form 990-PF | <input checked="" type="checkbox"/> 501(c)(3) exempt private foundation |
| | <input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | <input type="checkbox"/> 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization DONALD E DAU CHARITABLE TRUST	Employer identification number 46-0632784
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Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE/TRUST DONALD E DAU SEC 645 1300 MERRILL LYNCH DRIVE PENNINGTON NJ 08534-1501 Foreign State or Province _____ Foreign Country _____	\$ 1,460,981	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	----- ----- Foreign State or Province _____ Foreign Country _____	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	----- ----- Foreign State or Province _____ Foreign Country _____	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	----- ----- Foreign State or Province _____ Foreign Country _____	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	----- ----- Foreign State or Province _____ Foreign Country _____	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	----- ----- Foreign State or Province _____ Foreign Country _____	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
-----	----- ----- Foreign State or Province _____ Foreign Country _____	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization DONALD E DAU CHARITABLE TRUST	Employer identification number 46-0632784
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	SEE ATTACHED ----- ----- -----	\$ 1,335,350	6/6/2012 -----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----

Name of organization DONALD E. DAU CHARITABLE TRUST	Employer identification number 46-0632784
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Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.
 For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions.) ► \$ 0
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	
For Prov Country			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	
For Prov Country			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	
For Prov Country			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	
For. Prov Country			

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CLUIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals		Capital Gains/Losses			Gross Sales			Cost, Other Basis and Expenses			Net Gain or Loss	
								Price	Gross Sales	Other Sales	Other Sales	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss			
1	BLACKROCK COMMODITY	091936666			2/25/2011		12/28/2012	2	224,816	163,825	3	1,563,100	1,435,986	0	0	0	0	0	127,114	0
2	CYS CARENARK CORP	126650100			2/25/2011		6/11/2012	224,816	163,825	3	1,563,100	1,435,986	0	0	0	0	0	0	127,114	0
3	CATERPILLAR INC DEL	149123101			2/25/2011		6/11/2012	40,674	40,674	0	0	0	0	0	0	0	0	0	0	0
4	CATERPILLAR INC DEL	149123101			2/25/2011		6/11/2012	173	204	30	0	0	0	0	0	0	0	0	0	0
5	DWS ENHANCED COMMODIT	23337G258			6/14/2012		12/6/2012	1	59,498	0	0	0	0	0	0	0	0	0	0	0
6	DWS ENHANCED COMMODIT	23337G258			6/14/2012		12/6/2012	61,145	59,498	0	0	0	0	0	0	0	0	0	1,647	0
7	DWS ENHANCED COMMODIT	23337G258			6/14/2012		12/6/2012	584	555	0	0	0	0	0	0	0	0	0	0	0
8	DANAHER CORP DEL COM	235651102			2/25/2011		6/11/2012	70,161	68,849	0	0	0	0	0	0	0	0	0	0	0
9	DOMINION RES INC NEW VA	25746U109			2/25/2011		6/11/2012	53,573	44,880	0	0	0	0	0	0	0	0	0	0	0
10	EXXONMOBIL CORP COM	30231G102			2/25/2011		6/14/2012	96,374	101,632	25	0	0	0	0	0	0	0	0	0	0
11	FIDELITY ADV GROWTH OPPS	315807883			6/14/2012		12/13/2012	416	525	0	0	0	0	0	0	0	0	0	0	0
12	FIDELITY ADV GROWTH OPPS	315807883			6/14/2012		12/13/2012	125	161	0	0	0	0	0	0	0	0	0	0	0
13	ALTRIA GROUP INC	02209S103			2/25/2011		6/11/2012	33,223	24,825	0	0	0	0	0	0	0	0	0	0	0
14	BLACKROCK COMMODITY	091936666			12/6/2012		12/28/2012	61,066	61,913	0	0	0	0	0	0	0	0	0	0	0
15	INTEL CORP	458140100			2/25/2011		12/13/2012	14,262	14,885	0	0	0	0	0	0	0	0	0	0	0
16	INTEL CORP	458140100			6/11/2012		12/13/2012	525	525	0	0	0	0	0	0	0	0	0	0	0
17	INTEL CORP	458140100			6/14/2012		12/13/2012	125	161	0	0	0	0	0	0	0	0	0	0	0
18	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		6/29/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
19	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		6/29/2012	9	9	0	0	0	0	0	0	0	0	0	0	0
20	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		7/31/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
21	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		7/31/2012	9	9	0	0	0	0	0	0	0	0	0	0	0
22	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		8/31/2012	9	9	0	0	0	0	0	0	0	0	0	0	0
23	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		8/31/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
24	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		9/28/2012	10	9	0	0	0	0	0	0	0	0	0	0	0
25	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		9/28/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
26	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		10/31/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
27	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		10/31/2012	10	9	0	0	0	0	0	0	0	0	0	0	0
28	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		11/30/2012	10	9	0	0	0	0	0	0	0	0	0	0	0
29	WHFIT - TRUST ASSET SALE	464285105			11/15/2012		11/30/2012	6	6	0	0	0	0	0	0	0	0	0	0	0
30	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		11/30/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
31	WHFIT - TRUST ASSET SALE	464285105			11/15/2012		12/31/2012	6	6	0	0	0	0	0	0	0	0	0	0	0
32	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		12/31/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
33	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		12/31/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
34	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		12/31/2012	10	9	0	0	0	0	0	0	0	0	0	0	0
35	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		6/29/2012	6	6	0	0	0	0	0	0	0	0	0	0	0
36	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		6/29/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
37	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		7/31/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
38	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		8/31/2012	6	6	0	0	0	0	0	0	0	0	0	0	0
39	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		8/31/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
40	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		9/28/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
41	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		9/28/2012	7	6	0	0	0	0	0	0	0	0	0	0	0
42	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		10/31/2012	7	6	0	0	0	0	0	0	0	0	0	0	0
43	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		10/31/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
44	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		11/30/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
45	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		11/30/2012	7	6	0	0	0	0	0	0	0	0	0	0	0
46	WHFIT - TRUST ASSET SALE	464285105			6/14/2012		12/31/2012	0	0	0	0	0	0	0	0	0	0	0	0	0
47	WHFIT - TRUST ASSET SALE	464285105			6/11/2012		12/31/2012	7	6	0	0	0	0	0	0	0	0	0	0	0
48	JOHNSON AND JOHNSON CO	478160104			2/25/2011		6/11/2012	136,803	131,165	0	0	0	0	0	0	0	0	0	0	0
49	KRAFT FOODS INC VA CL A	50075N104			2/25/2011		6/11/2012	19,195	15,885	0	0	0	0	0	0	0	0	0	0	0
50	LORD ABBETT FUNDAMENTA	543915565			6/14/2012		10/18/2012	4	4	0	0	0	0	0	0	0	0	0	0	0
51	LORD ABBETT FUNDAMENTA	543915565			6/14/2012		10/18/2012	457	412	0	0	0	0	0	0	0	0	0	0	0
52	LORD ABBETT FUNDAMENTA	543915565			6/14/2012		10/18/2012	13	12	0	0	0	0	0	0	0	0	0	0	0
53	LORD ABBETT FUNDAMENTA	543915565			6/11/2012		10/18/2012	49,812	44,619	0	0	0	0	0	0	0	0	0	0	0
54	ORACLE CORP 00.01 DEL	68389X105			2/25/2011		6/11/2012	27,204	32,678	0	0	0	0	0	0	0	0	0	0	0
55	PHILIP MORRIS INTL INC	718172109			2/25/2011		6/11/2012	25,433	18,704	0	0	0	0	0	0	0	0	0	0	0
56	PROGRESS ENERGY INC	743263105			2/25/2011		6/11/2012	101,543	76,246	0	0	0	0	0	0	0	0	0	0	0
57	GUEST DIAGNOSTICS INC	74834L100			2/25/2011		6/11/2012	75,891	77,432	0	0	0	0	0	0	0	0	0	0	0
58	RAYTHEON CO DELAWARE N	755111507			2/25/2011		6/11/2012	33,169	32,928	0	0	0	0	0	0	0	0	0	0	0
59	SOUTHWESTERN ENERGY C	845467109			2/25/2011		6/11/2012	6,401	9,115	0	0	0	0	0	0	0	0	0	0	0
60	STRYKER INC COM	858912108			2/25/2011		6/11/2012	29,915	29,140	0	0	0	0	0	0	0	0	0	0	0
61	STRYKER CORP	863667101			6/14/2012		11/15/2012	157	157	0	0	0	0	0	0	0	0	0	0	0
62	STRYKER CORP	863667101			6/11/2012		11/15/2012	18,752	18,572	0	0	0	0	0	0	0	0	0	0	0
63	TJX COS INC NEW	872540109			2/25/2011		6/11/2012	83,091	50,360	0	0	0	0	0	0	0	0	0	0	0
64	TARGET CORP COM	87612E106			2/25/2011		6/11/2012	20,210	17,844	0	0	0	0	0	0	0	0	0	0	0
65	THERMO FISHER SCIENTIFIC	883556102			2/25/2011		6/11/2012	95,399	104,538											

Part I, Line 16c (990-PF) - Other Professional Fees

		2,300	1,380	0	920
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	2,300	1,380		920

Part I, Line 18 (990-PF) - Taxes

		3,970	290	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	290	290		
2	ESTIMATED EXCISE PAYMENTS	3,680			

Part I, Line 23 (990-PF) - Other Expenses

		977	127	0	850
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	INVESTMENT FEES	127	127		
2	OTHER MISC FEES	850			850

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	COST BASIS ADJUSTMENT	1	92
2	Total	2	92

Line 5 - Decreases not included in Part III, Line 2

1	EXCESS FMV OVER COST OF ASSETS CONTRIBUTED	1	87,847
2	CY LATE POSTING MUTUAL FUNDS	2	170
3	Total	3	88,017

4/29/2013 1:00:50 PM - MRO - PGC - DONALD DAU CHARITABLE TRUST

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gain Minus Excess of FMV Over Adjusted Basis of Losses	Amount
1 BLACKROCK COMMODITY	091936666		12/29/2012	12/29/2012	224,816	2	0	163,625	3	0	0	0	-1	124,037
2 CVS CAREMARK CORP	12650100		2/25/2011	6/11/2012	40,674	0	0	47,786	-7,112	0	0	0	61,191	0
3 CATERPILLAR INC DEL	149123101		2/25/2011	6/11/2012	173	0	30	204	-31	0	0	0	-1	0
4 DOWS ENHANCED COMMODITY	23337G258		6/14/2012	12/6/2012	584	0	0	59,498	1,647	0	0	0	1,647	0
5 DOWS ENHANCED COMMODITY	23337G258		6/14/2012	12/6/2012	584	0	0	59,498	1,647	0	0	0	1,647	0
6 DOWS ENHANCED COMMODITY	23337G258		2/25/2011	6/11/2012	70,161	0	0	66,848	1,312	0	0	0	1,312	0
7 DOWS ENHANCED COMMODITY	23337G258		2/25/2011	6/11/2012	53,573	0	0	44,880	8,693	0	0	0	8,693	0
8 DOMINION RES INC NEW VA	30231G102		6/11/2012	6/14/2012	96,374	25	0	101,632	-5,258	0	0	0	-5,258	0
9 EXXON MOBIL CORP COM	315807883		6/11/2012	6/14/2012	14,952	0	0	14,847	105	0	0	0	105	0
10 EXXON MOBIL CORP COM	315807883		2/25/2011	6/11/2012	33,223	0	0	24,895	8,398	0	0	0	8,398	0
11 FIDELITY ADV GROWTH OPPS	021936666		2/25/2011	12/28/2012	61,606	0	0	61,913	-307	0	0	0	-307	0
12 FIDELITY ADV GROWTH OPPS	021936666		2/25/2011	12/28/2012	14,262	0	0	14,885	-623	0	0	0	-623	0
13 ALTRIA GROUP INC	458140100		6/14/2012	12/31/2012	416	0	0	525	-109	0	0	0	-109	0
14 BLACKROCK COMMODITY	458140100		6/14/2012	12/31/2012	416	0	0	525	-109	0	0	0	-109	0
15 INTEL CORP	458140100		6/14/2012	12/31/2012	125	0	0	161	-36	0	0	0	-36	0
16 INTEL CORP	458140100		6/14/2012	12/31/2012	125	0	0	161	-36	0	0	0	-36	0
17 INTEL CORP	458140100		6/14/2012	12/31/2012	125	0	0	161	-36	0	0	0	-36	0
18 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	6/29/2012	0	0	0	0	0	0	0	0	0	0
19 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	6/29/2012	0	0	0	0	0	0	0	0	0	0
20 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	7/31/2012	0	0	0	0	0	0	0	0	0	0
21 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	7/31/2012	0	0	0	0	0	0	0	0	0	0
22 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	7/31/2012	0	0	0	0	0	0	0	0	0	0
23 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	8/31/2012	0	0	0	0	0	0	0	0	0	0
24 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	8/31/2012	0	0	0	0	0	0	0	0	0	0
25 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	9/28/2012	0	0	0	0	0	0	0	0	0	0
26 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	10/31/2012	0	0	0	0	0	0	0	0	0	0
27 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	10/31/2012	0	0	0	0	0	0	0	0	0	0
28 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	11/30/2012	0	0	0	0	0	0	0	0	0	0
29 WHFIT - TRUST ASSET SALE	464280109		11/15/2012	11/30/2012	0	0	0	0	0	0	0	0	0	0
30 WHFIT - TRUST ASSET SALE	464280109		11/15/2012	12/31/2012	0	0	0	0	0	0	0	0	0	0
31 WHFIT - TRUST ASSET SALE	464280109		11/15/2012	12/31/2012	0	0	0	0	0	0	0	0	0	0
32 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	12/31/2012	0	0	0	0	0	0	0	0	0	0
33 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	12/31/2012	0	0	0	0	0	0	0	0	0	0
34 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	6/29/2012	0	0	0	0	0	0	0	0	0	0
35 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	6/29/2012	0	0	0	0	0	0	0	0	0	0
36 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	7/31/2012	0	0	0	0	0	0	0	0	0	0
37 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	7/31/2012	0	0	0	0	0	0	0	0	0	0
38 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	8/31/2012	0	0	0	0	0	0	0	0	0	0
39 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	8/31/2012	0	0	0	0	0	0	0	0	0	0
40 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	9/28/2012	0	0	0	0	0	0	0	0	0	0
41 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	9/28/2012	0	0	0	0	0	0	0	0	0	0
42 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	10/31/2012	0	0	0	0	0	0	0	0	0	0
43 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	10/31/2012	0	0	0	0	0	0	0	0	0	0
44 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	11/30/2012	0	0	0	0	0	0	0	0	0	0
45 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	11/30/2012	0	0	0	0	0	0	0	0	0	0
46 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	12/31/2012	0	0	0	0	0	0	0	0	0	0
47 WHFIT - TRUST ASSET SALE	464280109		6/14/2012	12/31/2012	0	0	0	0	0	0	0	0	0	0
48 JOHNSON AND JOHNSON COM	478160104		2/25/2011	6/11/2012	136,803	0	0	131,165	5,638	0	0	0	5,638	0
49 KRAFT FOODS INC VA CL A	50075R104		2/25/2011	6/11/2012	19,195	0	0	15,895	3,300	0	0	0	3,300	0
50 LORD ABBETT FUNDAMENTAL	543915565		6/14/2012	10/18/2012	457	0	0	412	45	0	0	0	45	0
51 LORD ABBETT FUNDAMENTAL	543915565		6/14/2012	10/18/2012	49,612	13	0	44,619	5,193	0	0	0	5,193	0
52 LORD ABBETT FUNDAMENTAL	543915565		6/14/2012	10/18/2012	27,204	0	0	32,678	-5,474	0	0	0	-5,474	0
53 LORD ABBETT FUNDAMENTAL	543915565		2/25/2011	6/11/2012	25,433	0	0	18,704	6,729	0	0	0	6,729	0
54 ORACLE CORP 001 DEL	68389X105		2/25/2011	6/11/2012	161,543	0	0	76,246	85,297	0	0	0	85,297	0
55 PHILIP MORRIS INTL INC	718172109		2/25/2011	6/11/2012	35,891	0	0	27,433	8,458	0	0	0	8,458	0
56 PROGRESS ENERGY INC	740263105		2/25/2011	6/11/2012	33,189	0	0	32,938	251	0	0	0	251	0
57 QUEST DIAGNOSTICS INC	748341100		2/25/2011	6/11/2012	8,491	0	0	6,165	2,326	0	0	0	2,326	0
58 SAATCHI COMMERCE NEW	795111507		2/25/2011	6/11/2012	29,915	0	0	29,149	766	0	0	0	766	0
59 SOUTHWESTERN ENERGY CO	84567109		6/14/2012	11/15/2012	18,752	0	0	18,572	180	0	0	0	180	0
60 STERIS CORP	86367101		6/14/2012	11/15/2012	63,091	0	0	60,380	2,711	0	0	0	2,711	0
61 STRYKER CORP	86367101		2/25/2011	6/11/2012	20,210	0	0	17,864	2,346	0	0	0	2,346	0
62 TUX COS INC NEW	872540109		2/25/2011	6/11/2012	95,399	0	0	104,538	-9,139	0	0	0	-9,139	0
63 TUX COS INC NEW	872540109		2/25/2011	6/11/2012	303	0	0	270	33	0	0	0	33	0
64 TARGET CORP COM	883556102		6/14/2012	12/6/2012	33,703	20	0	28,743	4,960	0	0	0	4,960	0
65 TERAMO FISHER SCIENTIFIC	88515566		6/14/2012	12/6/2012	421	0	0	44,584	-44,163	0	0	0	-44,163	0
66 THORNBURG INTERNATIONAL	88515566		6/14/2012	12/6/2012	421	0	0	44,584	-44,163	0	0	0	-44,163	0
67 THORNBURG INTERNATIONAL	88515566		6/14/2012	10/26/2012	421	0	0	44,584	-44,163	0	0	0	-44,163	0
68 THORNBURG INTERNATIONAL	88515566		6/14/2012	10/26/2012	421	0	0	44,584	-44,163	0	0	0	-44,163	0
69 VANGUARD TOTAL BOND MKT	921937835		6/11/2012	10/26/2012	44,869	0	0	44,869	0	0	0	0	0	0
70 VANGUARD TOTAL BOND MKT	921937835		2/25/2011	6/11/2012	10,578	0	0	10,578	0	0	0	0	0	0
71 VANGUARD COMMUNICATNS COM	92343V104		2/25/2011	6/11/2012	18,655	0	0	18,655	0	0	0	0	0	0
72 WALGREEN CO	931422109		2/25/2011	6/13/2012	42,744	0	0	50,261	-7,517	0	0	0	-7,517	0
73 WALGREEN CO	931422109		2/25/2011	6/13/2012	42,744	0	0	50,261	-7,517	0	0	0	-7,517	0
74 COVIDIEN PLC SHS NEW	62554F113		2/25/2011	6/11/2012	13,044	0	0	12,650	394	0	0	0	394	0

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	MERRILL LYNCH TRUST COMPA		P O Box 1501, NJ2-130-03-31	Pennington	NJ	08534-1501		CO-TRUSTEE	2.00	13,235	0	0
2	CHARLES R BARNHART		1209 21ST AVENUE	ROCK ISLAND	IL	61201-2948		CO-TRUSTEE	1.50	2,716		
										15,951	0	0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return	1	
2 First quarter estimated tax payment	2	
3 Second quarter estimated tax payment	3	
4 Third quarter estimated tax payment	4	
5 Fourth quarter estimated tax payment	5	
6 Other payments	6	3,680
7 Total	7	3,680

DONALD DAU CHARITABLE TRUST
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12/31/12

FMV-Cost Adjustment

Description	Units	Fair Market Value	Cost Basis
WALGREEN CO	1,805	55,350	75,287
ALTRIA GROUP INC	1,000	32,260	24,825
CVS CAREMARK CORP	5,000	222,450	163,625
CATERPILLAR INC	685	58,629	69,793
COVIDIEN PLC	250	12,909	12,650
DANAHER CORP DEL	1,370	69,589	68,849
DOMINION RES INC VA NEW	1,000	51,890	44,880
EXXON MOBIL CORP	1,185	93,805	101,632
INTEL CORP	685	17,652	14,885
JOHNSON & JOHNSON	2,712	169,080	163,249
KRAFT FOODS INC	500	18,913	15,895
ORACLE CORP	1,000	27,226	32,678
PROGRESS ENERGY INC	1,685	94,916	76,246
PHILIP MORRIS INTL INC	300	24,747	18,704
QUEST DIAGNOSTICS INC	1,370	73,905	77,432
RAYTHEON CO	1,000	50,225	51,370
STERICYCLE INC	342	29,612	29,140
SOUTHWESTERN ENERGY CO	239	6,745	9,115
TJX COS INC NEW	2,000	83,440	50,360
TARGET CORP	342	19,675	17,844
THERMO FISHER SCIENTIFIC IP	1,884	93,899	104,538
VERIZON COMMUNICATIONS IN	685	28,434	24,506
TOTAL		1,335,350	1,247,503

ADJUSTMENT 87,847

DONALD E DAU CHARITABLE TRUST

ACCOUNT INVESTMENT OBJECTIVE

December 01, 2012 - December 31, 2012

TOTAL RETURN: Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	61,688.26	61,688.26		61,688.26		
BIF MONEY FUND	58,108.00	58,108.00	1.0000	58,108.00	23	.04
TOTAL		119,796.26		119,796.26	23	.04

Equities Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
ABBOTT LABS	ABT	06/11/12	299	62.0131	18,541.92	65.5000	19,584.50	1,042.58	168	.85
		06/14/12	3	61.7900	185.37	65.5000	196.50	11.13	2	.85
	Subtotal		302		18,727.29		19,781.00	1,053.71	170	.85
AMAZON COM INC COM	AMZN	06/11/12	85	216.9198	18,438.19	250.8700	21,323.95	2,885.76		
		06/14/12	1	215.9100	215.91	250.8700	250.87	34.96		
	Subtotal		86		18,654.10		21,574.82	2,920.72		
APPLE INC	AAPL	06/11/12	75	586.6498	43,998.74	532.1729	39,912.97	(4,085.77)	795	1.99
		06/14/12	1	571.8800	571.88	532.1729	532.17	(39.71)	11	1.99
	Subtotal		76		44,570.62		40,445.14	(4,125.48)	806	1.99
AUTOMATIC DATA PROC	ADP	06/11/12	482	53.8300	25,946.06	56.9300	27,440.26	1,494.20	839	3.05
		06/14/12	4	54.2600	217.04	56.9300	227.72	10.68	7	3.05
	Subtotal		486		26,163.10		27,667.98	1,504.88	846	3.05

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DONALD E DAU CHARITABLE TRUST

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
BCE INC	BCE	06/11/12 06/14/12	727 7	40.8215 40.4500	29,677.30 283.15	42.9400 42.9400	31,217.38 300.58	1,540.08 17.43	1,651 16	5.28 5.28
Subtotal			734		29,960.45		31,517.96	1,557.51	1,667	5.28
BERKSHIRE HATHAWAY INC	BRKB	06/11/12	640	81.2000	51,968.00	89.7000	57,408.00	5,440.00		
DEL CL B NEW		06/14/12	6	81.1900	487.14	89.7000	538.20	51.06		
Subtotal			646		52,455.14		57,946.20	5,491.06		
BLACKROCK INC	BLK	06/11/12 06/14/12	192 2	173.5700 171.6500	33,325.44 343.30	206.7100 206.7100	39,688.32 413.42	6,362.88 70.12	1,152 12	2.90 2.90
Subtotal			194		33,668.74		40,101.74	6,433.00	1,164	2.90
CATERPILLAR INC DEL	CAT	02/25/11 02/28/11	214 2	101.8900 100.8450	21,804.46 201.69	89.6085 89.6085	19,176.22 179.22	(2,628.24) (22.47)	446 5	2.32 2.32
Subtotal			216		22,006.15		19,355.44	(2,650.71)	451	2.32
CENTURYLINK INC SHS	CTL	06/14/12	2	37.3100	74.62	39.1200	78.24	3.62	6	7.41
CHEVRON CORP	CVX	06/11/12 06/14/12	293 3	101.3502 100.9800	29,695.61 302.94	108.1400 108.1400	31,685.02 324.42	1,989.41 21.48	1,055 11	3.32 3.32
Subtotal			296		29,998.55		32,009.44	2,010.89	1,066	3.32
COCA COLA COM	KO	06/11/12 06/14/12	1,082 10	37.7376 37.7100	40,832.19 377.10	36.2500 36.2500	39,222.50 362.50	(1,609.69) (14.60)	1,104 11	2.81 2.81
Subtotal			1,092		41,209.29		39,585.00	(1,624.29)	1,115	2.81
DEERE CO	DE	06/11/12 06/14/12 11/15/12	452 4 221	73.6053 74.4200 85.4656	33,269.60 297.68 18,887.90	86.4200 86.4200 86.4200	39,061.84 345.68 19,098.82	5,792.24 48.00 210.92	832 8 407	2.12 2.12 2.12
Subtotal			677		52,455.18		58,506.34	6,051.16	1,247	2.12
EMERSON ELEC CO	EMR	06/11/12	396	46.7351	18,507.10	52.9600	20,972.16	2,465.06	650	3.09

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DONALD E DAU CHARITABLE TRUST

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
GENERAL MILLS	GIS	06/11/12	486	38.2000	18,565.20	40.4200	19,644.12	1,078.92	642	3.26
		06/14/12	5	37.9300	189.65	40.4200	202.10	12.45	7	3.26
Subtotal			491		18,754.85		19,846.22	1,091.37	649	3.26
HALLIBURTON COMPANY	HAL	06/11/12	670	27.6365	18,516.46	34.6900	23,242.30	4,725.84	242	1.03
		06/14/12	6	28.5600	171.36	34.6900	208.14	36.78	3	1.03
Subtotal			676		18,687.82		23,450.44	4,762.62	245	1.03
HEINZ H J CO PV 25CT	HNZ	06/11/12	555	53.5400	29,714.70	57.6800	32,012.40	2,297.70	1,144	3.57
		06/14/12	5	53.9100	269.55	57.6800	288.40	18.85	11	3.57
Subtotal			560		29,984.25		32,300.80	2,316.55	1,155	3.57
INTL BUSINESS MACHINES CORP IBM	IBM	06/11/12	95	195.4500	18,567.75	191.5500	18,197.25	(370.50)	323	1.77
		06/14/12	1	194.5400	194.54	191.5500	191.55	(2.99)	4	1.77
Subtotal			96		18,762.29		18,388.80	(373.49)	327	1.77
JOHNSON AND JOHNSON COM	JNJ	02/25/11	533	60.1949	32,083.93	70.1000	37,363.30	5,279.37	1,301	3.48
		06/14/12	5	65.2700	326.35	70.1000	350.50	24.15	13	3.48
Subtotal			538		32,410.28		37,713.80	5,303.52	1,314	3.48
KIMBERLY CLARK	KIMB	06/11/12	228	81.4747	18,576.25	84.4300	19,250.04	673.79	675	3.50
		06/14/12	2	82.2000	164.40	84.4300	168.86	4.46	6	3.50
Subtotal			230		18,740.65		19,418.90	678.25	681	3.50
LINEAR TECHNOLOGY CORP	LLTC	12/13/12	438	33.8037	14,806.06	34.3000	15,023.40	217.34	456	3.03
MCDONALDS CORP COM	MCD	06/11/12	549	87.9018	48,258.09	88.2100	48,427.29	169.20	1,691	3.49
		06/14/12	5	89.4100	447.05	88.2100	441.05	(6.00)	16	3.49
Subtotal			554		48,705.14		48,868.34	163.20	1,707	3.49
MEDTRONIC INC COM	MDT	06/11/12	497	37.3048	18,540.49	41.0200	20,386.94	1,846.45	517	2.53
		06/14/12	5	37.5000	187.50	41.0200	205.10	17.60	6	2.53
Subtotal			502		18,727.99		20,592.04	1,864.05	523	2.53

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DONALD E DAU CHARITABLE TRUST

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
MERCK AND CO INC SHS	MRK	06/11/12	481	38.6050	18,569.05	40.9400	19,692.14	1,123.09	828	4.20
		06/14/12	5	38.5860	192.93	40.9400	204.70	11.77	9	4.20
Subtotal			486		18,761.98		19,896.84	1,134.86	837	4.20
MICROSOFT CORP	MSFT	06/11/12	883	29.3900	25,951.37	26.7097	23,584.67	(2,366.70)	813	3.44
		06/14/12	8	29.2700	234.16	26.7097	213.68	(20.48)	8	3.44
Subtotal			891		26,185.53		23,798.35	(2,387.18)	821	3.44
PAYCHEX INC	PAYX	09/13/12	1,264	33.8979	42,847.07	31.1000	39,310.40	(3,536.67)	1,669	4.24
PEPSICO INC	PEP	06/11/12	270	68.6700	18,540.90	68.4300	18,476.10	(64.80)	581	3.14
		06/14/12	2	68.9700	137.94	68.4300	136.86	(1.08)	5	3.14
Subtotal			272		18,678.84		18,612.96	(65.88)	586	3.14
PROCTER & GAMBLE CO	PG	06/11/12	531	62.9754	33,439.99	67.8900	36,049.59	2,609.60	1,194	3.31
		06/14/12	5	63.0100	315.05	67.8900	339.45	24.40	12	3.31
Subtotal			536		33,755.04		36,389.04	2,634.00	1,206	3.31
RAYTHEON CO DELAWARE NEW	RTN	02/25/11	359	51.3700	18,441.83	57.5600	20,664.04	2,222.21	718	3.47
		06/14/12	3	53.1300	159.39	57.5600	172.68	13.29	6	3.47
Subtotal			362		18,601.22		20,836.72	2,235.50	724	3.47
VERIZON COMMUNICATNS COM	VZ	02/25/11	437	35.7750	15,633.68	43.2700	18,908.99	3,275.31	901	4.76
		06/14/12	4	43.5800	174.32	43.2700	173.08	(1.24)	9	4.76
Subtotal			441		15,808.00		19,082.07	3,274.07	910	4.76
WELLS FARGO & CO NEW DEL	WFC	06/14/12	3	31.7500	95.25	34.1800	102.54	7.29	3	2.57
TOTAL					782,762.59		823,173.12	40,410.53	23,001	2.79

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
BLACKROCK FLOATING RATE INCOME PORTFOLIO CL INST	1,479	15,010.45	10.3900	15,366.81	356.36	15,010	356	709	4.61
SYMBOL: BFRIX Initial Purchase: 06/11/12									

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DONALD E DAU CHARITABLE TRUST

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
Fixed Income 100% .4030 Fractional Share		4.06	10.3900	4.19	.13			1	4.61
BLACKROCK INFLATION PROTECTED BD FD INST CL SYMBOL: BPRIX Initial Purchase: 06/11/12	2.490	30,027.42	12,0700	30,054.30	26.88	30,027	26	538	1.78
Fixed Income 100% .2460 Fractional Share		2.96	12.0700	2.97	.01			1	1.78
BLACKROCK GLOBAL ALLOCATION FD INC INSTL SYMBOL: MALOX Initial Purchase: 06/11/12	2.450	45,031.90	19,8300	48,583.50	3,551.60	45,031	3,551	701	1.44
Fixed Income 28% Equity 72% 6920 Fractional Share		12.79	19.8300	13.72	.93			1	1.44
CLEARBRIDGE ENERGY MLP F SYMBOL: CEM Initial Purchase: 06/11/12	689	14,987.79	23.0300	15,867.67	879.88	14,987	879	1,020	6.42
Equity 100%									
DWS GNMMA FUND CL S SYMBOL: SGINX Initial Purchase: 10/26/12	2.929	45,280.86	15.4100	45,135.89	(144.97)	45,280	(144)	2,127	4.71
Fixed Income 100% .9550 Fractional Share		14.76	15.4100	14.72	(0.04)			1	4.71
DWS RREEF REAL ESTATE SECURITIES FUND CL S SYMBOL: RRREX Initial Purchase: 06/11/12	734	15,002.63	21.3300	15,656.22	653.59	15,002	653	233	1.48
Equity 100%									

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DONALD E DAU CHARITABLE TRUST

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
.4580 Fractional Share		9.44	21.3300	9.77	.33			1 1.48
FIDELITY ADV STRATEGIC INC FD INSTL CL SYMBOL: FSRIX Initial Purchase: 06/11/12 Fixed Income 100% .9130 Fractional Share	4.839	60,051.31	12.8400	62,132.76	2,081.45	60,051	2,081	2,280 3.66
FRANKLIN CONVERTIBLE SECURITIES FD CL ADV SYMBOL: FCSZX Initial Purchase: 06/11/12 Fixed Income 28% Equity 72% .6600 Fractional Share	1,056	15,004.20	15.2100	16,061.76	1,057.56	15,004	1,057	637 3.96
GOLDMAN SACHS MID CAP VALUE FUND CLASS I SYMBOL: GSMCX Initial Purchase: 06/11/12 Equity 100% .9200 Fractional Share	861	29,996.58	39.2900	33,828.69	3,832.11	29,996	3,832	421 1.24
ING GLOBAL BOND FUND CL I SYMBOL: IGBIX Initial Purchase: 06/11/12 Fixed Income 100% .1880 Fractional Share	4,029	45,044.39	11.6100	46,776.69	1,732.30	45,044	1,732	2,104 4.49
ISHARES MSCI EAFE INDEX FUND SYMBOL: EFA Initial Purchase: 06/11/12	616	29,935.40	56.8600	35,025.76	5,090.36	29,935	5,090	1,083 3.09

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DONALD E DAU CHARITABLE TRUST

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
<i>Equity 100%</i>								
ISHARES GOLD TR	4,568	72,589.52	16.2792	74,363.39	1,773.87	72,589	1,773	
<i>SYMBOL: IAU Initial Purchase: 06/11/12 Alternative Investments 100%</i>								
ISHARES SILVER TR	545	15,004.75	29.3700	16,006.65	1,001.90	15,004	1,001	
<i>SYMBOL: SLV Initial Purchase: 06/11/12 Alternative Investments 100%</i>								
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F	212	14,935.56	70.7200	14,992.64	57.08	14,935	57	.94
<i>SYMBOL: IYW Initial Purchase: 06/11/12 Equity 100%</i>								
LORD ABBETT ALPHA STRATEGY FD CL F	1,162	26,259.96	24.3000	28,236.60	1,976.64	26,259	1,976	.32
<i>SYMBOL: ALFFX Initial Purchase: 06/11/12 Equity 100%</i>								
.5240 Fractional Share		11.87	24.3000	12.73	.86			.32
<i>Equity 100%</i>								
LORD ABBETT BOND DEBENTURE FD CL F	1,940	15,014.79	8.1300	15,772.20	757.41	15,014	757	6.07
<i>SYMBOL: LBDFX Initial Purchase: 06/11/12 Fixed Income 100%</i>								
.0450 Fractional Share		0.35	8.1300	.37	.02			6.07
<i>Equity 100%</i>								
PIMCO TOTAL RETURN FUND CL P	3,993	45,038.42	11.2400	44,881.32	(157.10)	45,038	(157)	3.14

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DONALD E DAU CHARITABLE TRUST

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%		
SYMBOL: PTPX Initial Purchase: 06/11/12											
Fixed Income 100%											
.6690 Fractional Share		7.52	11.2400	7.52				1	3.14		
VANGUARD SHORT TERM BOND											
	370	29,992.20	80.9900	29,966.30	(25.90)	29,992	(25)	462	1.53		
SYMBOL: BSV Initial Purchase: 06/11/12											
Fixed Income 100%											
WISDOMTREE EMERGING MKRT											
	292	14,982.54	57.1900	16,699.48	1,716.94	14,982	1,716	551	3.29		
EQUITY INCOME											
SYMBOL: DEM Initial Purchase: 06/11/12											
Equity 100%											
Subtotal (Fixed Income)											
				308,237.27							
				206,927.40							
				90,370.04							
				605,534.71							
TOTAL		579,309.27		26,225.44		26,211		15,480		2.56	
TOTAL PRINCIPAL INVESTMENTS		1,481,868.12		66,635.97				38,504		2.49	

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

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DONALD E DAU CHARITABLE TRUST

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2012 - December 31, 2012

†Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	629.47	629.47		629.47		
BIF MONEY FUND	19,665.00	19,665.00	1.0000	19,665.00	8	.04
TOTAL		20,294.47		20,294.47	8	.04
TOTAL INCOME INVESTMENTS		20,294.47		20,294.47	7	.04

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL PRINCIPAL/INCOME INVESTMENTS	1,502,162.59	1,568,798.56	66,635.97		38,512	2.45

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Transaction Type	Quantity	Description	Income Cash	Principal Cash	Income Year To Date
12/03	Dividend		INTEL CORP	159.98		
			DIVIDEND			
			HOLDING 711.0000			
			PAY DATE 12/01/2012			
12/03	Dividend		WELLS FARGO & CO NEW DEL	.66		
			DIVIDEND			
			HOLDING 3 0000			
			PAY DATE 12/01/2012			
12/03	Dividend		BLACKROCK FLOATING RATE	53.75		

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