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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation THE JAMES AND ANGELA HAMBRICK FOUNDATION		A Employer identification number  45-1995732	
Number and street (or P O box number if mail is not delivered to street address) 30195 CHAGRIN BLVD ROOM/SUITE 250		B Telephone number (see instructions)  (216) 831-4105	
City or town, state, and ZIP code PEPPER PIKE, OH 44124		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 23,340,605	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	198,883	198,883		
	4 Dividends and interest from securities. . . . .	319,290	319,290		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 <input checked="" type="checkbox"/>	895,457			
	b Gross sales price for all assets on line 6a _____ 2,693,445				
	7 Capital gain net income (from Part IV , line 2 ) . . . .		748,714		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	<input checked="" type="checkbox"/> -48,746	9,317		
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	1,364,884	1,276,204		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .				
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .	189,364	189,364		
	18 Taxes (attach schedule) (see instructions) <input checked="" type="checkbox"/>	93,155	9,155		
	19 Depreciation (attach schedule) and depletion . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	<input checked="" type="checkbox"/> 307,425	307,320		
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	589,944	505,839		0
	25 Contributions, gifts, grants paid . . . . .	352,628			352,628
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	942,572	505,839		352,628
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	422,312			
	b <b>Net investment income</b> (if negative, enter -0-)		770,365		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	17,628	3,155	3,155
	2	Savings and temporary cash investments . . . . .	107,184	369,016	369,016
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .			
	c	Investments—corporate bonds (attach schedule). . . . .	3,899,451	3,534,463	3,481,371
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	17,303,020	19,487,063	19,487,063
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	21,327,283	23,393,697	23,340,605
	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted . . . . .	21,327,283	23,393,697	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	21,327,283	23,393,697	
Net Assets or Fund Balances	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	21,327,283	23,393,697	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	21,327,283
2	Enter amount from Part I, line 27a . . . . .	2	422,312
3	Other increases not included in line 2 (itemize) ▶ _____	3	1,644,102
4	Add lines 1, 2, and 3 . . . . .	4	23,393,697
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	23,393,697

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	SEE ATTACHED SCHEDULE A	P	2011-10-27	2012-01-01
b	SEE ATTACHED SCHEDULE B	P	2011-10-27	2012-11-01
c	PASS THROUGH FROM PARTNERSHIP K1S	P	2011-01-01	2012-12-31
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,311,447		1,338,171	-26,724
b 439,525		459,817	-20,292
c 795,730			795,730
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-26,724
b			-20,292
c			795,730
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	748,714
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011		11,998,223	
2010			
2009			
2008			
2007			

2 Total of line 1, column (d). . . . .	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5. . . . .	4	22,509,316
5 Multiply line 4 by line 3. . . . .	5	
6 Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	7,704
7 Add lines 5 and 6. . . . .	7	7,704
8 Enter qualifying distributions from Part XII, line 4. . . . .	8	352,628

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	7,704
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2. . . . .		3	7,704
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	7,704
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	26,612	
b		Exempt foreign organizations—tax withheld at source . . . . .	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld . . . . .	6d		
7		Total credits and payments Add lines 6a through 6d. . . . .		7	26,612
8		Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	18,908
11		Enter the amount of line 10 to be Credited to 2013 estimated tax  18,908	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year? . . . . .	1c		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation  \$ _____ (2) On foundation managers  \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none"><li>By language in the governing instrument, or</li><li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .</li></ul>	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)  OH _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶DOUGLAS J SMORAG Telephone no ▶(216) 831-4105 Located at ▶30195 CHAGRIN BLVD-STE 250 PEPPER PIKE OH ZIP +4 ▶44124			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?. . . . .	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES L HAMBRICK	PRESIDENT	0	0	0
30195 CHAGRIN BLVD-STE 250 PEPPER PIKE, OH 44124	1 00			
ANGELA A HAMBRICK	VICE PRESIDE	0	0	0
30195 CHAGRIN BLVD-STE 250 PEPPER PIKE, OH 44124	1 00			
JOHN PAUL BATT	SECRETARY	0	0	0
30195 CHAGRIN BLVD-STE 250 PEPPER PIKE, OH 44124	0 50			
DOUGLAS J SMORAG	TREASURER	0	0	0
30195 CHAGRIN BLVD-STE 250 PEPPER PIKE, OH 44124	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CM WEALTH ADVISORS	INVESTMENT MGMT	54,249
30195 CHAGRIN BLVD-STE 250 CLEVELAND, OH 44124		
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3. . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	3,697,249
b	Average of monthly cash balances. . . . .	1b	189,742
c	Fair market value of all other assets (see instructions). . . . .	1c	18,965,106
d	Total (add lines 1a, b, and c). . . . .	1d	22,852,097
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	22,852,097
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	342,781
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	22,509,316
6	Minimum investment return. Enter 5% of line 5. . . . .	6	1,125,466

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	1,125,466
2a	Tax on investment income for 2012 from Part VI, line 5. . . . .	2a	7,704
b	Income tax for 2012 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	7,704
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	1,117,762
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	1,117,762
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	1,117,762

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	352,628
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	352,628
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	7,704
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	344,924
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,117,762
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only. . . . .			350,478	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007. . . . .				
b From 2008. . . . .				
c From 2009. . . . .				
d From 2010. . . . .				
e From 2011. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 352,628				
a Applied to 2011, but not more than line 2a			350,478	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2012 distributable amount. . . . .				2,150
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013. . . . .				1,115,612
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a. . . . .				
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

JAMES H HAMBRICK

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	352,628
b Approved for future payment UNIVERSITY SCHOOL 2785 SOM CENTER RD HUNTING VALLEY, OH 44022	NONE	501(C)3	HV CLASSROOM WING	750,000
HOSPICE OF THE WESTERN RESERVE 17876 SAINT CLAIR AVE CLEVELAND, OH 44110	NONE	501(C)3	GENERAL FUND	213,617
Total . . . . .			3b	963,617

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments			14	198,883	
<b>4</b> Dividends and interest from securities. . . .			14	319,290	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory	525990	146,743	14	748,714	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory. .					
<b>11</b> Other revenue <b>a</b> See Additional Data Table					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal Add columns (b), (d), and (e). .		88,680		1,276,204	
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		1,364,884

[illegible]

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of				
<b>(1)</b> Cash. . . . .		<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .		<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions				
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .		<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .		<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .		<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .		<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .		<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .		<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .		<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.				

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2013-11-14	*****
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	SHAWN J MENDHAM CPA	SHAWN J MENDHAM CPA	2013-11-15		
	Firm's name <input type="checkbox"/>	Z & G TAX GROUP LTD  4141 ROCKSIDE RD SUITE 150		Firm's EIN <input type="checkbox"/>	
	Firm's address <input type="checkbox"/>	CLEVELAND, OH 441315047		Phone no (216) 447-6006	

**TY 2012 Compensation Explanation**

**Name:** THE JAMES AND ANGELA  
HAMBRICK FOUNDATION

**EIN:** 45-1995732

Person Name	Explanation
JAMES L HAMBRICK	
ANGELA A HAMBRICK	
JOHN PAUL BATT	
DOUGLAS J SMORAG	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Gain/Loss from Sale of Other Assets Schedule

Name:

THE JAMES AND ANGELA  
HAMBRICK FOUNDATION

EIN:

45-1995732

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
PASS THROUGH K-1 UBTI	2011-01	PURCHASE	2012-12		146,743				146,743	



# TY 2012 Investments Corporate Bonds Schedule

**Name:** THE JAMES AND ANGELA  
HAMBRICK FOUNDATION

**EIN:** 45-1995732

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED SCHEDULE OF ASSETS	3,534,463	3,481,371

**TY 2012 Investments - Other Schedule**

**Name:** THE JAMES AND ANGELA  
HAMBRICK FOUNDATION

**EIN:** 45-1995732

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CMWA CAPITAL APPRECIATION FUND	FMV	16,406,186	16,406,186
CLANCO COMMON SENSE LLC	FMV	1,772,436	1,772,436
CLANCO COMMON SENSE OPPORTUNITIES LL	FMV	959,614	959,614
CMWA PRIVATE EQUITY 2011	FMV	348,827	348,827

**TY 2012 Other Expenses Schedule**

**Name:** THE JAMES AND ANGELA  
HAMBRICK FOUNDATION

**EIN:** 45-1995732

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
CMWA CAPITAL APPRECIATION FUN	100,703	100,703		
CMWA COMMON SENSE K1	101,412	101,412		
CMWA COMMON SENSE OPPORTUNITI	29,909	29,909		
CMWA PRIVATE EQUITY 2011	21,047	21,047		
INVESTMENT MANAGEMENT FEES	54,249	54,249		
CMWA COMMON SENSE K1- NONDEDUC	90			
CMWA PRIVATE EQUITY 2011-NOND	15			

**TY 2012 Other Income Schedule**

**Name:** THE JAMES AND ANGELA  
HAMBRICK FOUNDATION

**EIN:** 45-1995732

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CMWA CAP APPREC FUND K1	1,181	1,181	
CMWA COMMON SENSE K1	52,679	52,679	
CMWA COMMON SENSE OPPS K1	-42,331	-42,331	
CMWA PRIVATE 2011	-2,212	-2,212	
CMWA COMMON SENSE K1	-58,699		
CMWA COMMON SENSE OPP K1	212		
CMWA PRIVATE EQUITY 2011	424		

TY 2012 Other Increases Schedule

**Name:** THE JAMES AND ANGELA  
HAMBRICK FOUNDATION  
**EIN:** 45-1995732

Description	Amount
UNREALIZED INCOME/LOSS FROM PASS THRU ENTITIES	1,644,102

## TY 2012 Substantial Contributors Schedule

**Name:** THE JAMES AND ANGELA  
HAMBRICK FOUNDATION

**EIN:** 45-1995732

Name	Address
JAMES L HAMBRICK	30195 CHAGRIN BLVD-STE 250 PEPPER PIKE, OH 44124

**TY 2012 Taxes Schedule**

**Name:** THE JAMES AND ANGELA  
HAMBRICK FOUNDATION

**EIN:** 45-1995732

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN INCOME TAX PASSED THROUGH	9,155	9,155		
FEDERAL TAXES	84,000			

# THE JAMES AND ANGELA HAMBRICK FOUNDATION

EIN: 45-1995732

## ATTACHMENT TO SCHEDULE D ATTACHMENT A

### Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL	745505A	31403DGN3	181.3	10/27/11	01/01/12	\$181.30	\$201.87	\$-20.57
PROGRESSIVE CORP DEL	PC66312	743315AK9	80000	10/14/11	01/15/12	\$80,000.00	\$81,063.20	\$-1,063.20
SOUTHERN CO 5.3% 1	SC55312	842587AB3	50000	10/03/11	01/15/12	\$50,000.00	\$50,633.00	\$-633.00
DUKE ENERGY CORP		264399DW3	75000	10/12/11	01/15/12	\$75,000.00	\$76,084.25	\$-1,084.25
FEDERAL NAT'L MTGE ASSN POOL	745505A	31403DGN3	104.77	10/27/11	02/01/12	\$104.77	\$116.65	\$-11.88
FEDERAL NAT'L MTG ASSN	FNM4313	31359MRG0	75000	10/25/11	02/07/12	\$78,428.10	\$79,175.70	\$-747.60
FEDERAL HOME LN MTG CORP	FHL4813	3134A4UK8	40000	10/21/11	02/13/12	\$43,160.64	\$43,594.96	\$-434.32
FEDERAL NAT'L MTG ASSN	FNM4313	31359MRG0	25000	10/25/11	02/14/12	\$26,116.10	\$26,391.90	\$-275.80
BOEING CAP CORP		097014AG9	75000	10/12/11	02/15/12	\$75,000.00	\$76,488.75	\$-1,488.75
KIMBERLY CLARK CORP		494368AF4	80000	10/20/11	02/15/12	\$80,000.00	\$81,272.80	\$-1,272.80
FEDERAL NAT'L MTGE ASSN POOL	745505A	31403DGN3	127.97	10/27/11	03/01/12	\$127.97	\$142.49	\$-14.52
CSX CORP		126408GB3	50000	10/20/11	03/15/12	\$50,000.00	\$51,055.50	\$-1,055.50
DEERE JOHN CAP CORP		244217BG9	38000	10/20/11	03/15/12	\$38,000.00	\$38,972.42	\$-972.42
FEDERAL NAT'L MTGE ASSN POOL	745505A	31403DGN3	105.92	10/27/11	04/01/12	\$105.92	\$117.94	\$-12.02



# THE JAMES AND ANGELA HAMBRICK FOUNDATION

EIN: 45-1995732

## ATTACHMENT TO SCHEDULE D ATTACHMENT A

### Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FEDERAL NAT'L MTG ASSN	FNMA4313	31359MRG0	✓ 50000	10/25/11	04/09/12	\$51,910.15	\$52,783.80	\$-873.65
FEDERAL NAT'L MTGE ASSN POOL 745505A	745505A	31403DGN3	✓ 117.74	10/27/11	05/01/12	\$117.74	\$131.10	\$-13.36
PEPSICO INC	71344BBF4	71344BBF4	✓ 75000	10/12/11	05/15/12	\$75,000.00	\$77,015.25	\$-2,015.25
FEDERAL NAT'L MTGE ASSN POOL 745505A	745505A	31403DGN3	✓ 107.02	10/27/11	06/01/12	\$107.02	\$119.18	\$-12.14
FEDERAL EXPRESS CORP NT	313309AJ5	313309AJ5	✓ 40000	11/22/11	06/15/12	\$40,000.00	\$41,943.20	\$-1,943.20
FEDERAL NAT'L MTGE ASSN POOL 745505A	745505A	31403DGN3	✓ 118.81	10/27/11	07/01/12	\$118.81	\$132.29	\$-13.48
FEDERAL NAT'L MTGE ASSN POOL 745505A	745505A	31403DGN3	✓ 108.13	10/27/11	08/01/12	\$108.13	\$120.40	\$-12.27
CHARLES SCHWAB CORP	SCHW14	808513AC9	✓ 50000	10/04/11	08/17/12	\$54,135.00	\$54,828.50	\$-693.50
CITIGROUP INC	172967EJ6	172967EJ6	✓ 75000	10/12/11	08/27/12	\$75,000.00	\$77,056.50	\$-2,056.50
FEDERAL NAT'L MTGE ASSN POOL 745505A	745505A	31403DGN3	✓ 186.08	10/27/11	09/01/12	\$186.08	\$207.19	\$-21.11
BANK AMER CORP	BAC4812	060505AR5	✓ 50000	10/12/11	09/15/12	\$50,000.00	\$50,323.00	\$-323.00
FEDERAL NAT'L MTGE ASSN POOL 745505A	745505A	31403DGN3	✓ 120.77	10/27/11	10/01/12	\$120.77	\$134.47	\$-13.70
BB&T CORP	054937AD9	054937AD9	✓ 20000	11/02/11	10/01/12	\$20,000.00	\$20,667.00	\$-667.00
BB&T CORP	054937AD9	054937AD9	✓ 50000	10/04/11	10/01/12	\$50,000.00	\$51,658.00	\$-1,658.00
ANHEUSER-BUSCH INBEV WOR	03523TAL2	03523TAL2	✓ 75000	10/18/11	10/15/12	\$75,000.00	\$76,566.00	\$-1,566.00
EMERSON ELEC CO	291011AR5	291011AR5	✓ 55000	10/20/11	10/15/12	\$55,000.00	\$57,168.10	\$-2,168.10
CREDIT SUISSE FIRST BOSTON MTG CM7000000	225470NKS	225470NKS	✓ 3909.67	07/12/12	11/01/12	\$3,909.67	\$4,319.57	\$-409.90
COOPER US INC GTD SR NT	216871AB9	216871AB9	✓ 50000	11/29/11	11/15/12	\$50,000.00	\$52,163.50	\$-2,163.50
CREDIT SUISSE FIRST BOSTON MTG CM7000000	225470NKS	225470NKS	✓ 228.5	07/12/12	12/01/12	\$228.50	\$252.46	\$-23.96
FEDERAL NAT'L MTG ASSN	FNMA16	31359MH89	✓ 100000	10/15/12	12/20/12	\$114,280.40	\$115,290.40	\$-1,010.00
Total short term gain/loss from sales:						\$1,311,447.07	\$1,338,171.32	\$-26,724.25

2/2

# THE JAMES AND ANGELA HAMBRICK FOUNDATION

EIN: 45-1995732

## ATTACHMENT TO SCHEDULE D ATTACHMENT B

### Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FEDERAL NAT'L MTGE ASSN POOL	745505A	31403DGN3	233.7	10/27/11	11/01/12	\$233.70	\$260.21	\$-26.51
SUNTRUST BKS INC		867914BB8	45000	10/13/11	11/05/12	\$45,000.00	\$46,556.55	\$-1,556.55
CAMDEN PPTY TR NT	CPT 12	133131AK8	60000	10/25/11	11/30/12	\$60,000.00	\$62,475.60	\$-2,475.60
VIRGINIA ELECTRIC POWE 5.1% 11 D 12	D 12	927804FD1	80000	10/13/11	11/30/12	\$80,000.00	\$83,992.00	\$-3,992.00
FEDERAL NAT'L MTGE ASSN POOL	745505A	31403DGN3	122.51	10/27/11	12/01/12	\$122.51	\$136.41	\$-13.90
CAMPBELL SOUP CO	CPB 12	134429AR0	75000	10/03/11	12/03/12	\$75,000.00	\$78,792.00	\$-3,792.00
KELLOGG CO 5.125% 12		487838AZ1	75000	10/27/11	12/03/12	\$75,000.00	\$78,617.25	\$-3,617.25
FEDERAL HOME LN MTG CORP	FHL4813	3134A4UK8	100000	10/21/11	12/20/12	\$104,168.70	\$108,987.40	\$-4,818.70
Total long term gain/loss from sales:						\$439,524.91	\$459,817.42	\$-20,292.51


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
UNIVERSITY SCHOOL 2785 SOM CENTER RD HUNTING VALLEY, OH 44022	NONE	501(C)3	HV CLASSROOM WING	250,000
HOSPICE OF WESTEREN RESERVE 17876 SAINT CLAIR AVE CLEVELAND, OH 44110	NONE	501(C)3	OPERATIONS	36,383
AUGUSTA CEMETERY ASSOC W 76TH ST AUGUSTA, IN 46183	NONE	501(C)3	GENERAL FUND	100
BOY SCOUTS GREATER WESTERN RESERVE 4930 ENTERPRISE DR NW WARREN, OH 44481	NONE	501(C)3	GENERAL FUND	500
CANTER OHIO 9277 BALDWIN ROAD MENTOR, OH 44060	NONE	501(C)3	GENERAL FUND	5,000
CITY MISSION 5310 CARNEGIE AVE CLEVELAND, OH 44103	NONE	501 (C) 3	GENERAL FUND	250
CLEVELAND BOTANICAL GARDENS 11030 EAST BLVD CLEVELAND, OH 44106	NONE	501(C)3	GENERAL FUND	125
CLEVELAND MUSEUM OF ART 11150 EAST BLVD CLEVELAND, OH 44106	NONE	501(C)3	CIRCLES PROGRAM	1,000
CLEVELAND MUSEUM OF NATURAL HISTORY 1 WADE OVAL DR CLEVELAND, OH 44106	NONE	501(C)3	ANNUAL FUND	15,000
CLEVELAND MUSEUM OF NATURAL HISTORY 1 WADE OVAL DR CLEVELAND, OH 44106	NONE	501(C)3	ANNUAL FUND	30
DUCKS UNLIMITED ONE WATERFOWL WAY MEMPHIS, TN 38120	NONE	501(C)3	ANNUAL FUND	100
FRIENDS OF BREAKTHROUGH SCHOOLS 1417 EAST 36TH ST CLEVELAND, OH 44114	NONE	501)C)3	GENERAL FUND	6,000
GEAUGA BLUECOATS PO BOX 23721 CHAGRIN FALLS, OH 44023	NONE	501(C)3	ANNUAL FUND	300
GEAUGA HUMANE SOCIETY 15463 CHILLICOTHE RD RUSSELL, OH 44072	NONE	501(C)3	ANNUAL FUND	2,000
KIRTLAND COUNTRY CLUB SCHOLARSHIP F 39438 KIRTLAND RD WILLOUGHBY, OH 44094	NONE	501(C)3	SCHOLARSHIPS	500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MANSFIELD SYMPHONY 138 PARK AVE W MANSFIELD, OH 44901	NONE	501(C)3	MEMORIALGIFT	100
SALVATION ARMY 2507 E 22ND CLEVELAND, OH 44115	NONE	501(C)3	ANNUAL FUND	500
SHELDON ANIMAL RESCUE PO BOX 129 BEAUFORT, SC 29941	NONE	501(C)3	ANNUAL FUND	1,000
SHELDON TOWNSHIP FIRE PO BOX 129 SHELDON, SC 29941	NONE	170(C)1	ATV FUND	1,000
SMILE TRAIN 41 MADISON AVE-FLR 28 NEWYORK, NY 10010	NONE	501(C)3	GENERAL FUND	500
THE ASSOC OF FORMER STUDENTS GEORGE BUSH DRIVE COLLEGE STATIOON, TX 77840	NONE	501(C)3	ANNUAL FUND	250
THE MIDDLEFIELD FUND PO BOX 265 GATES MILLS, OH 44040	NONE	501(C)3	ANNUAL FUND	150
TROUT CLUB CMNH 1 WADE OVAL DR CLEVELAND, OH 44106	NONE	501(C)3	ANNUAL FUND	400
TROUT UNLIMITED 1300 NORTH 17TH ST500 ARLINGTON, VA 22209	NONE	501(C)3	ANNUAL FUND	1,200
UNITED WAY 209 CENTER ST CHARDON, OH 44024	NONE	501(C)3	ANNUAL CAMPAIGN	10,000
UNIVERSITY SCHOOL 2785 SOM CENTER RD HUNTING VALLEY, OH 44022	NONE	501(C)3	ANNUAL FUND	2,000
WCPN 1375 EUCLID AVE CLEVELAND, OH 44115	NONE	501(C)3	ANNUAL FUND	120
WESTERN RESERVE LAND CONSERVANCY PO BOX 314 NOVELTY, OH 44072	NONE	501(C)3	WHITE NORTH STABLES PROJECT	4,000
WINOUS POINT MARSH CONSERVANCY 30195 CHAGRIN BLVD 250 CLEVELAND, OH 44124	NONE	501(C)3	MARSH CONSERVANCY	12,000
WINOUS POINT MARSCH CONSERVANCY 30195 CHAGRING BLVD 250 CLEVELAND, OH 44124	NONE	501(C)3	WETLANDS CONSERVATION	1,500

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WINOUS POINT MARSCH CONSERVANCY 30195 CHAGRIN BLVD 250 CLEVELAND, OH 44124	NONE	501(C)3	WETLANDS CONSERVATION	500
WVIZPBS 1375 EUCLID AVE CLEVELAND, OH 44115	NONE	501(C)3	ANNUAL FUND	120
<b>Total</b> . . . . .  <b>3a</b>				352,628

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions )
11 Other revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a CMWA CAP APPREC FUND K1			14	1,181	
b CMWA COMMON SENSE K1			14	52,679	
c CMWA COMMON SENSE OPPS K1			14	-42,331	
d CMWA PRIVATE 2011			14	-2,212	
e CMWA COMMON SENSE K1	525990	-58,699			
f CMWA COMMON SENSE OPP K1	525990	212			
g CMWA PRIVATE EQUITY 2011	525990	424			