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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation HOOPS FAMILY FOUNDATION		A Employer identification number 45-1225430	
Number and street (or P O box number if mail is not delivered to street address) 8328 VALMONT RD		B Telephone number (see instructions) (720) 317-6002	
City or town, state, and ZIP code BOULDER, CO 803014813		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 411,092		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <u> </u> (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	69,957			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	6,774	6,774		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV , line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-35,830	-35,830		
	12 Total. Add lines 1 through 11	40,901	-29,056		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	2,569	2,569		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	15	15		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	2,584	2,584		0
	25 Contributions, gifts, grants paid	46,400			0
	26 Total expenses and disbursements. Add lines 24 and 25	48,984	2,584		0
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-8,083			
	b Net investment income (if negative, enter -0-)		0		
	c Adjusted net income (if negative, enter -0-)				

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		
	2	Savings and temporary cash investments	32,667	52,037
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		
	5	Grants receivable		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)		
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____		
	8	Inventories for sale or use		
	9	Prepaid expenses and deferred charges		
	10a	Investments—U S and state government obligations (attach schedule)		
	b	Investments—corporate stock (attach schedule)		
	c	Investments—corporate bonds (attach schedule).		
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
	12	Investments—mortgage loans		
	13	Investments—other (attach schedule)	380,348	353,383
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____		
Liabilities	15	Other assets (describe ▶ _____)		
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	413,015	405,420
	17	Accounts payable and accrued expenses		
	18	Grants payable		
	19	Deferred revenue		
	20	Loans from officers, directors, trustees, and other disqualified persons		
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule)		
	22	Other liabilities (describe ▶ _____)		
	23	Total liabilities (add lines 17 through 22)		0
		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.		
	24	Unrestricted	413,015	405,420
	25	Temporarily restricted		
	26	Permanently restricted		
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.		
	27	Capital stock, trust principal, or current funds		
	28	Paid-in or capital surplus, or land, bldg , and equipment fund		
	29	Retained earnings, accumulated income, endowment, or other funds		
	30	Total net assets or fund balances (see page 17 of the instructions)	413,015	405,420
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	413,015	405,420

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	413,015
2	Enter amount from Part I, line 27a	2	-8,083
3	Other increases not included in line 2 (itemize) ▶ _____	3	602
4	Add lines 1, 2, and 3	4	405,534
5	Decreases not included in line 2 (itemize) ▶ _____	5	114
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	405,420

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	<div><div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div></div>	2	-4,089
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) <div>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8</div>		3	-2,825

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011			
2010			
2009			
2008			
2007			

2	Total of line 1, column (d).	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	
5	Multiply line 4 by line 3.	5	
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	
7	Add lines 5 and 6.	7	0
8	Enter qualifying distributions from Part XII, line 4.	8	0

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	0
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	0
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2013 estimated tax 0	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none">By language in the governing instrument, orBy state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	CO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	Yes	
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶RICH HOOPS Telephone no ▶(720) 890-7100 Located at ▶8328 VALMONT RD BOULDER CO ZIP +4 ▶803014813			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICH HOOPS	President	0	0	0
8328 VALMONT ROAD	10 00			
BOULDER, CO 80301				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 The foundation is involved in the underwriting and selection of various charitable activities including religious, welfare, education and resources	1
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	0
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	0
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	0
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	0
6	Minimum investment return. Enter 5% of line 5.	6	0

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	0
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				0
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only.				
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2012				
a	From 2007.				
b	From 2008.				
c	From 2009.				
d	From 2010.				
e	From 2011.				
f	Total of lines 3a through e.				
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ _____				
a	Applied to 2011, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions).				
c	Treated as distributions out of corpus (Election required—see instructions).				
d	Applied to 2012 distributable amount.				
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income Subtract line 4b from line 2b.				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . .				
9	Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.				
10	Analysis of line 9				
a	Excess from 2008. . . .				
b	Excess from 2009. . . .				
c	Excess from 2010. . . .				
d	Excess from 2011. . . .				
e	Excess from 2012. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☒ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
		0				0
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					0
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					0
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					0
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					0
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					0
	(3) Largest amount of support from an exempt organization					0
	(4) Gross investment income					0

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

RICHARD TRACI HOOPS

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NA

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2012)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	46,400
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities. . . .			14	6,774	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e). .				6,774	
13	Total. Add line 12, columns (b), (d), and (e).					6,774

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

**Paid
Preparer
Use Only**

<div> <div>Sign Here</div> <div> <div> <div>*****</div> <div>Signature of officer or trustee</div> </div> <div> <div>2013-11-06</div> <div>Date</div> </div> </div> </div>	<div> <div> <div>*****</div> <div>Title</div> </div> <div> <div>May the IRS discuss this return with the preparer shown below (see instr)?</div> <div> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No </div> </div> </div>				
<div> <div>Paid Preparer Use Only</div> </div>	<div>Print/Type preparer's name</div> <div>PATRICK D SCHARF</div>	<div>Preparer's Signature</div> <div>PATRICK D SCHARF</div>	<div>Date</div> <div>2013-11-12</div>	<div>Check if self-employed</div> <div><input checked="" type="checkbox"/></div>	<div>PTIN</div>
	<div> <div>Firm's name</div> <div>US&CO CERTIFIED PUBLIC ACCOUNTANTS PLLC</div> </div>			<div> <div>Firm's EIN</div> </div>	
	<div> <div>500 N CAPITAL OF TEXAS HWY 3-100</div> <div>Firm's address</div> </div>			<div> <div>Phone no (512) 328-9090</div> </div>	
<div>AUSTIN, TX 78746</div>					

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
95 826 Aberdeen Emerging Markets	P	2011-08-25	2012-03-20
310 Aberdeen Emerging Markets	P	2011-09-19	2012-03-20
081 Aberdeen Emerging Markets	P	2011-12-21	2012-03-20
90 86 Aberdeen Emerging Markets	P	2011-12-23	2012-03-20
018 Aberdeen Emerging Markets	P	2012-03-19	2012-03-20
98 448 Aston/River Road Independent Value	P	2011-05-20	2012-03-20
1 089 Aston/River Road Independent Value	P	2011-12-29	2012-03-20
300 054 Columbia Dividend Opportunity	P	2011-05-20	2012-03-20
2 646 Columbia Dividend Opportunity	P	2011-06-23	2012-03-20
99 735 Columbia Dividend Opportunity	P	2011-08-25	2012-03-20
3 923 Columbia Dividend Opportunity	P	2011-09-23	2012-03-20
4 052 Columbia Dividend Opportunity	P	2011-12-16	2012-03-20
57 154 Columbia Select Large Cap Growth	P	2011-08-25	2012-03-20
3 143 Columbia Select Large Cap Growth	P	2011-12-23	2012-03-20
70 927 Delaware Value	P	2011-05-20	2012-03-20
1 253 Delaware Value	P	2011-12-22	2012-03-20
120 292 Dreyfus Bond Market Index	P	2011-05-20	2012-03-20
087 Dreyfus Bond Market Index	P	2011-05-31	2012-03-20
332 Dreyfus Bond Market Index	P	2011-06-30	2012-03-20
331 Dreyfus Bond Market Index	P	2011-07-29	2012-03-20
19 744 Dreyfus Bond Market Index	P	2011-08-25	2012-03-20
332 Dreyfus Bond Market Index	P	2011-08-31	2012-03-20
369 Dreyfus Bond Market Index	P	2011-09-30	2012-03-20
375 Dreyfus Bond Market Index	P	2011-10-31	2012-03-20
373 Dreyfus Bond Market Index	P	2011-11-30	2012-03-20
20 545 Dreyfus Bond Market Index	P	2011-12-23	2012-03-20
383 Dreyfus Bond Market Index	P	2011-12-30	2012-03-20
422 Dreyfus Bond Market Index	P	2012-01-31	2012-03-20
411 Dreyfus Bond Market Index	P	2012-02-29	2012-03-20
28 113 Fidelity Spartan 500 Index	P	2011-05-20	2012-03-20

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139 Fidelity Spartan 500 Index	P	2011-07-08	2012-03-20
25 594 Fidelity Spartan 500 Index	P	2011-08-25	2012-03-20
287 Fidelity Spartan 500 Index	P	2011-10-07	2012-03-20
317 Fidelity Spartan 500 Index	P	2011-12-16	2012-03-20
1 716 Fidelity Spartan 500 Index	P	2011-12-23	2012-03-20
165 443 Leuthold Asset Allocation	P	2011-05-20	2012-03-20
317 Leuthold Asset Allocation	P	2011-06-23	2012-03-20
279 Leuthold Asset Allocation	P	2011-09-22	2012-03-20
2 903 Leuthold Asset Allocation	P	2011-12-29	2012-03-20
207 926 Merger	P	2011-05-20	2012-03-20
34 849 Merger	P	2011-08-25	2012-03-20
35 338 Merger	P	2011-12-23	2012-03-20
8 066 Merger	P	2011-12-29	2012-03-20
438 967 Pimco Emerging Markets Currency	P	2011-05-20	2012-03-20
119 Pimco Emerging Markets Currency	P	2011-05-31	2012-03-20
425 Pimco Emerging Markets Currency	P	2011-06-30	2012-03-20
544 Pimco Emerging Markets Currency	P	2011-07-29	2012-03-20
416 Pimco Emerging Markets Currency	P	2011-08-31	2012-03-20
451 Pimco Emerging Markets Currency	P	2011-09-30	2012-03-20
341 Pimco Emerging Markets Currency	P	2011-10-31	2012-03-20
406 Pimco Emerging Markets Currency	P	2011-11-30	2012-03-20
8 Pimco Emerging Markets Currency	P	2011-12-30	2012-03-20
31 Pimco Emerging Markets Currency	P	2012-01-31	2012-03-20
352 Pimco Emerging Markets Currency	P	2012-02-29	2012-03-20
73 921 Ridgeworth Mid Cap Value	P	2011-05-20	2012-03-20
264 Ridgeworth Mid Cap Value	P	2011-06-21	2012-03-20
266 Ridgeworth Mid Cap Value	P	2011-09-22	2012-03-20
8 135 Ridgeworth Mid Cap Value	P	2011-12-16	2012-03-20
47 728 Rivernorth Core Opportunity	P	2011-05-20	2012-03-20
5 463 Rivernorth Core Opportunity	P	2011-08-25	2012-03-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 001 Rivernorth Core Opportunity	P	2011-12-29	2012-03-20
105 422 TCW Dividend Focused	P	2011-05-20	2012-03-20
33 TCW Dividend Focused	P	2011-06-30	2012-03-20
449 TCW Dividend Focused	P	2011-09-30	2012-03-20
434 TCW Dividend Focused	P	2011-12-29	2012-03-20
29 328 T Rowe Price Growth Stock	P	2011-05-20	2012-03-20
784 T Rowe Price Growth Stock	P	2011-12-23	2012-03-20
74 183 Westcore Select	P	2011-05-20	2012-03-20
494 Westcore Select	P	2011-12-28	2012-03-20
281 Doubleline Total Return	P	2011-07-29	2012-07-18
4 967 Doubleline Total Return	P	2011-08-25	2012-07-18
31 Doubleline Total Return	P	2011-08-31	2012-07-18
329 Doubleline Total Return	P	2011-09-30	2012-07-18
304 Doubleline Total Return	P	2011-10-31	2012-07-18
327 Doubleline Total Return	P	2011-11-30	2012-07-18
6 090 Doubleline Total Return	P	2011-12-23	2012-07-18
435 Doubleline Total Return	P	2011-12-30	2012-07-18
322 Doubleline Total Return	P	2012-01-31	2012-07-18
277 Doulbeline Total Return	P	2012-02-29	2012-07-18
6 420 Doubleline Total Return	P	2012-03-20	2012-07-18
329 Doubleline Total Return	P	2012-03-30	2012-07-18
306 Doubleline Total Return	P	2012-04-30	2012-07-18
323 Doubleline Total Return	P	2012-05-31	2012-07-18
316 Doubleline Total Return	P	2012-06-29	2012-07-18
245 Dreyfus Bond Market Index	P	2011-07-29	2012-07-18
14 631 Dreyfus Bond Market Index	P	2011-08-25	2012-07-18
246 Dreyfus Bond Market Index	P	2011-08-31	2012-07-18
273 Dreyfus Bond Market Index	P	2011-09-30	2012-07-18
277 Dreyfus Bond Market Index	P	2011-10-31	2012-07-18
276 Dreyfus Bond Market Index	P	2011-11-30	2012-07-18

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15 225 Dreyfus Bond Market Index	P	2011-12-23	2012-07-18
283 Dreyfus Bond Market Index	P	2011-12-30	2012-07-18
313 Dreyfus Bond Market Index	P	2012-01-31	2012-07-18
305 Dreyfus Bond Market Index	P	2012-02-29	2012-07-18
327 Dreyfus Bond Market Index	P	2012-03-30	2012-07-18
317 Dreyfus Bond Market Index	P	2012-04-30	2012-07-18
306 Dreyfus Bond Market Index	P	2012-05-31	2012-07-18
293 Dreyfus Bond Market Index	P	2012-06-29	2012-07-18
463 Leuthold Asset Allocation	P	2011-09-22	2012-07-18
4 817 Leuthold Asset Allocation	P	2011-12-29	2012-07-18
2 906 Leuthold Asset Allocation	P	2012-03-22	2012-07-18
912 Leuthold Asset Allocation	P	2012-06-21	2012-07-18
36 897 Merger	P	2011-08-25	2012-07-18
37 415 Merger	P	2011-12-23	2012-07-18
8 541 Merger	P	2011-12-29	2012-07-18
164 Pimco Total Return	P	2011-07-29	2012-07-18
207 Pimco Total Return	P	2011-08-31	2012-07-18
18 Pimco Total Return	P	2011-09-30	2012-07-18
178 Pimco Total Return	P	2011-10-31	2012-07-18
197 Pimco Total Return	P	2011-11-30	2012-07-18
432 Pimco Total Return	P	2011-12-28	2012-07-18
258 Pimco Total Return	P	2011-12-30	2012-07-18
179 Pimco Total Return	P	2012-01-31	2012-07-18
167 Pimco Total Return	P	2012-02-29	2012-07-18
209 Pimco Total Return	P	2012-03-30	2012-07-18
192 Pimco Total Return	P	2012-04-30	2012-07-18
213 Pimco Total Return	P	2012-05-31	2012-07-18
188 Pimco Total Return	P	2012-06-29	2012-07-18
22 969 Wasatch Emerging Mkts Small Cp	P	2011-08-25	2012-07-18
40 380 Wasatch Emerging Mkts Small Cp	P	2011-12-23	2012-07-18

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1 311 Westcore Select	P	2011-12-28	2012-07-18
449 Columbia Dividend Opportunity	P	2011-12-16	2012-10-16
311 Columbia Dividend Opportunity	P	2012-03-23	2012-10-16
4 Columbia Dividend Opportunity	P	2012-06-25	2012-10-16
372 Columbia Dividend Opportunity	P	2012-09-25	2012-10-16
995 Delaware Value	P	2011-12-22	2012-10-16
695 Federated Int Corporate Bond	P	2011-10-31	2012-10-16
712 Federated Int Corporate Bond	P	2011-11-30	2012-10-16
2 382 Federated Int Corporate Bond	P	2011-12-06	2012-10-16
11 634 Federated Int Corporate Bond	P	2011-12-23	2012-10-16
738 Federated Int Corporate Bond	P	2011-12-30	2012-10-16
771 Federated Int Corporate Bond	P	2012-01-31	2012-10-16
791 Federated Int Corporate Bond	P	2012-02-29	2012-10-16
792 Federated Int Corporate Bond	P	2012-03-30	2012-10-16
787 Federated Int Corporate Bond	P	2012-04-30	2012-10-16
803 Federated Int Corporate Bond	P	2012-05-31	2012-10-16
808 Federated Int Corporate Bond	P	2012-06-29	2012-10-16
785 Federated Int Corporate Bond	P	2012-07-31	2012-10-16
776 Federated Int Corporate Bond	P	2012-08-31	2012-10-16
774 Federated Int Corporate Bond	P	2012-09-28	2012-10-16
135 Fidelity Spartan 500 Index	P	2011-12-16	2012-10-16
734 Fidelity Spartan 500 Index	P	2011-12-23	2012-10-16
086 Fidelity Spartan 500 Index	P	2012-04-04	2012-10-16
141 Fidelity Spartan 500 Index	P	2012-07-06	2012-10-16
2 327 Fidelity Spartan 500 Index	P	2012-07-18	2012-10-16
142 Fidelity Spartan 500 Index	P	2012-10-05	2012-10-16
12 JPMorgan Inflation Managed Bond	P	2011-11-01	2012-10-16
11 JPMorgan Inflation Managed Bond	P	2011-12-01	2012-10-16
254 JPMorgan Inflation Managed Bond	P	2011-12-16	2012-10-16
4 936 JPMorgan Inflation Managed Bond	P	2011-12-23	2012-10-16

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121 JPMorgan Inflation Managed Bond	P	2012-01-03	2012-10-16
113 JPMorgan Inflation Managed Bond	P	2012-02-01	2012-10-16
101 JPMorgan Inflation Managed Bond	P	2012-03-01	2012-10-16
14 303 JPMorgan Inflation Managed Bond	P	2012-03-20	2012-10-16
146 JPMorgan Inflation Managed Bond	P	2012-04-02	2012-10-16
146 JPMorgan Inflation Managed Bond	P	2012-05-01	2012-10-16
161 JPMorgan Inflation Managed Bond	P	2012-06-01	2012-10-16
14 JPMorgan Inflation Managed Bond	P	2012-07-02	2012-10-16
095 JPMorgan Inflation Managed Bond	P	2012-08-01	2012-10-16
080 JPMorgan Inflation Managed Bond	P	2012-09-04	2012-10-16
065 JPMorgan Inflation Managed Bond	P	2012-10-01	2012-10-16
21 349 Merger	P	2011-12-23	2012-10-16
4 873 Merger	P	2011-12-29	2012-10-16
6 476 Ridgeworth Mid Cap Value	P	2011-12-16	2012-10-16
193 Ridgeworth Mid Cap Value	P	2012-03-22	2012-10-16
217 Ridgeworth Mid Cap Value	P	2012-06-21	2012-10-16
5 016 Ridgeworth Mid Cap Value	P	2012-07-18	2012-10-16
7 265 Rivernorth Core Opportunity	P	2011-12-29	2012-10-16
1 601 T Rowe Price International Stock	P	2011-12-16	2012-10-16
234 TCW Dividend Focused	P	2011-12-29	2012-10-16
148 TCW Dividend Focused	P	2012-03-30	2012-10-16
284 TCW Dividend Focused	P	2012-06-29	2012-10-16
241 TCW Dividend Focused	P	2012-09-28	2012-10-16
74 902 Wasatch Emerging Mkts Small Cp	P	2011-12-23	2012-10-16
1 373 Westcore Select	P	2011-12-28	2012-10-16
02 Aberdeen Emerging Markets	P	2011-12-21	2012-10-24
22 389 Aberdeen Emerging Markets	P	2011-12-23	2012-10-24
004 Aberdeen Emerging Markets	P	2012-03-19	2012-10-24
77 Aberdeen Emerging Markets	P	2012-05-17	2012-10-24
646 Aston/River Road Independent Value	P	2011-12-29	2012-10-24

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 170 Columbia Dividend Opportunity	P	2011-12-16	2012-10-14
2 201 Columbia Dividend Opportunity	P	2012-03-23	2012-10-24
2 825 Columbia Dividend Opportunity	P	2012-06-25	2012-10-24
2 629 Columbia Dividend Opportunity	P	2012-09-25	2012-10-24
11 612 Columbia Select Large Cpa Growth	P	2011-12-23	2012-10-24
32 852 Columbia Select Large Cap Growth	P	2012-07-18	2012-10-24
18 072 Columbia Select Large Cap Growth	P	2012-10-16	2012-10-24
3 274 Delaware Value	P	2011-12-22	2012-10-24
62 162 Doubleline Low Duration	P	2012-03-20	2012-10-24
083 Doubleline Low Duration	P	2012-03-30	2012-10-24
136 Doubleline Low Duration	P	2012-04-30	2012-10-24
14 Doubleline Low Duration	P	2012-05-31	2012-10-24
148 Doubleline Low Duration	P	2012-06-29	2012-10-24
12 Doubleline Low Duration	P	2012-07-31	2012-10-24
11 Doubleline Low Duration	P	2012-08-31	2012-10-24
099 Doubleline Low Duration	P	2012-09-28	2012-10-24
2 218 Doubleline Total Return	P	2011-10-31	2012-10-24
2 38 Doubleline Total Return	P	2011-11-30	2012-10-24
44 328 Doubleline Total Return	P	2011-12-23	2012-10-24
3 171 Doubleline Total Return	P	2011-12-30	2012-10-24
2 343 Doubleline Total Return	P	2012-01-31	2012-10-24
2 017 Doubleline Total Return	P	2012-02-29	2012-10-24
46 728 Doubleline Total Return	P	2012-03-20	2012-10-24
2 396 Doubleline Total Return	P	2012-03-30	2012-10-24
2 229 Doubleline Total Return	P	2012-04-30	2012-10-24
2 35 Doubleline Total Return	P	2012-05-31	2012-10-24
2 3 Doubleline Total Return	P	2012-06-29	2012-10-24
2 229 Doubleline Total Return	P	2012-07-31	2012-10-24
2 283 Doubleline Total Return	P	2012-08-31	2012-10-24
2 103 Doubleline Total Return	P	2012-09-28	2012-10-24

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816 Dreyfus Bond Market Index	P	2011-10-31	2012-10-24
812 Dreyfus Bond Market Index	P	2011-11-30	2012-10-24
44 730 Dreyfus Bond Market Index	P	2011-12-23	2012-10-24
833 Dreyfus Bond Market Index	P	2011-12-30	2012-10-24
92 Dreyfus Bond Market Index	P	2012-01-31	2012-10-24
896 Dreyfus Bond Market Index	P	2012-02-29	2012-10-24
961 Dreyfus Bond Market Index	P	2012-03-30	2012-10-24
931 Dreyfus Bond Market Index	P	2012-04-30	2012-10-24
899 Dreyfus Bond Market Index	P	2012-05-31	2012-10-24
862 Dreyfus Bond Market Index	P	2012-06-29	2012-10-24
952 Dreyfus Bond Market Index	P	2012-07-31	2012-10-24
878 Dreyfus Bond Market Index	P	2012-08-31	2012-10-24
793 Dreyfus Bond Market Index	P	2012-09-28	2012-10-24
3 285 Driehaus Active Income	P	2011-12-20	2012-10-24
9 145 Driehaus Active Income	P	2011-12-23	2012-10-24
26 710 Driehaus Active Income	P	2012-03-20	2012-10-24
2 215 Driehaus Active Income	P	2012-03-21	2012-10-24
3 483 Driehaus Active Income	P	2012-06-20	2012-10-24
354 Driehaus Active Income	P	2012-09-19	2012-10-24
301 Federated Int Corporate Bond	P	2011-10-31	2012-10-24
308 Federated Int Corporate Bond	P	2011-11-30	2012-10-24
1 031 Federated Int Corporate Bond	P	2011-12-06	2012-10-24
5 039 Federated Int Corporate Bond	P	2011-12-23	2012-10-24
32 Federated Int Corporate Bond	P	2011-12-30	2012-10-24
334 Federated Int Corporate Bond	P	2012-01-31	2012-10-24
343 Federated Int Corporate Bond	P	2012-02-29	2012-10-24
343 Federated Int Corporate Bond	P	2012-03-30	2012-10-24
341 Federated Int Corporate Bond	P	2012-04-30	2012-10-24
348 Federated Int Corporate Bond	P	2012-05-31	2012-10-24
35 Federated Int Corporate Bond	P	2012-06-29	2012-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
34 Federated Int Corporate Bond	P	2012-07-31	2012-10-24
336 Federated Int Corporate Bond	P	2012-08-31	2012-10-24
335 Federated Int Corporate Bond	P	2012-09-28	2012-10-24
239 Fidelity Spartan 500 Index	P	2011-12-16	2012-10-24
1 294 Fidelity Spartan 500 Index	P	2011-12-23	2012-10-24
153 Fidelity Spartan 500 Index	P	2012-04-04	2012-10-24
25 Fidelity Spartan 500 Index	P	2012-07-06	2012-10-24
4 106 Fidelity Spartan 500 Index	P	2012-07-18	2012-10-24
25 Fidelity Spartan 500 Index	P	2012-10-05	2012-10-24
61 399 Forward Em Corporate Debt	P	2012-03-20	2012-10-24
166 Forward Em Corporate Debt	P	2012-03-27	2012-10-24
925 Forward Em Corporate Debt	P	2012-06-26	2012-10-24
27 159 Forward Em Corporate Debt	P	2012-07-18	2012-10-24
1 012 Forward Em Corporate Debt	P	2012-09-25	2012-10-24
16 337 Forward Em Corporate Debt	P	2012-10-16	2012-10-24
592 JPMorgan High Yield	P	2011-11-01	2012-10-24
667 JPMorgan High Yield	P	2011-12-01	2012-10-24
2 253 JPMorgan High Yield	P	2011-12-16	2012-10-24
688 JPMorgan High Yield	P	2012-01-03	2012-10-24
576 JPMorgan High Yield	P	2012-02-01	2012-10-24
598 JPMorgan High Yield	P	2012-03-01	2012-10-24
13 247 JPMorgan High Yield	P	2012-03-20	2012-10-24
706 JPMorgan High Yield	P	2012-04-02	2012-10-24
595 JPMorgan High Yield	P	2012-05-01	2012-10-24
66 JPMorgan High Yield	P	2012-06-01	2012-10-24
654 JPMorgan High Yield	P	2012-07-02	2012-10-24
699 JPMorgan High Yield	P	2012-08-01	2012-10-24
697 JPMorgan High Yield	P	2012-09-04	2012-10-24
696 JPMorgan High Yield	P	2012-10-01	2012-10-24
17 JPMorgan Inflation Managed Bond	P	2011-11-01	2012-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
156 JPMorgan Inflation Managed Bond	P	2011-12-01	2012-10-24
36 JPMorgan Inflation Managed Bond	P	2011-12-16	2012-10-24
6 992 JPMorgan Inflation Managed Bond	P	2011-12-23	2012-10-24
172 JPMorgan Inflation Managed Bond	P	2012-01-03	2012-10-24
16 JPMorgan Inflation Managed Bond	P	2012-02-01	2012-10-24
143 JPMorgan Inflation Managed Bond	P	2012-03-01	2012-10-24
20 259 JPMorgan Inflation Managed Bond	P	2012-03-20	2012-10-24
207 JPMorgan Inflation Managed Bond	P	2012-04-02	2012-10-24
206 JPMorgan Inflation Managed Bond	P	2012-05-01	2012-10-24
228 JPMorgan Inflation Managed Bond	P	2012-06-01	2012-10-24
198 JPMorgan Inflation Managed Bond	P	2012-07-02	2012-10-24
134 JPMorgan Inflation Managed Bond	P	2012-08-01	2012-10-24
113 JPMorgan Inflation Managed Bond	P	2012-09-04	2012-10-24
092 JPMorgan Inflation Managed Bond	P	2012-10-01	2012-10-24
335 JPMorgan Strategic Income Opps	P	2011-11-01	2012-10-24
391 JPMorgan Strategic Income Opps	P	2011-12-01	2012-10-24
2 162 JPMorgan Strategic Income Opps	P	2011-12-16	2012-10-24
29 802 JPMorgan Strategic Income Opps	P	2011-12-23	2012-10-24
733 JPMorgan Strategic Income Opps	P	2012-01-03	2012-10-24
201 JPMorgan Strategic Income Opps	P	2012-02-01	2012-10-24
492 JPMorgan Strategic Income Opps	P	2012-03-01	2012-10-24
38 528 JPMorgan Strategic Income Opps	P	2012-03-20	2012-10-24
639 JPMorgan Strategic Income Opps	P	2012-04-02	2012-10-24
62 JPMorgan Strategic Income Opps	P	2012-05-01	2012-10-24
589 JPMorgan Strategic Income Opps	P	2012-06-01	2012-10-24
642 JPMorgan Strategic Income Opps	P	2012-07-02	2012-10-24
68 JPMorgan Strategic Income Opps	P	2012-08-01	2012-10-24
641 JPMorgan Strategic Income Opps	P	2012-09-04	2012-10-24
639 JPMorgan Strategic Income Opps	P	2012-10-01	2012-10-24
4 689 Merger	P	2011-12-23	2012-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 069 Merger	P	2011-12-29	2012-10-24
626 Merk Hard Currency	P	2011-12-13	2012-10-24
355 Merk Hard Currency	P	2011-12-29	2012-10-24
31 393 Merk Hard currency	P	2012-10-16	2012-10-24
1 062 MFS International Value	P	2011-12-15	2012-10-24
8 836 MFS International Value	P	2012-03-20	2012-10-24
3 572 MFS International Value	P	2012-07-18	2012-10-24
1 13 Morgan Stanley Global Real Estate	P	2011-12-16	2012-10-24
9 93 Morgan Stanley Global Real Estate	P	2011-12-23	2012-10-24
23 204 Morgan Stanley Global Real Estate	P	2012-07-18	2012-10-24
315 Pimco Total Return	P	2011-10-31	2012-10-24
347 Pimco Total Return	P	2011-11-30	2012-10-24
762 Pimco Total Return	P	2011-12-28	2012-10-24
454 Pimco Total Return	P	2011-12-30	2012-10-24
317 Pimco Total Return	P	2012-01-31	2012-10-24
294 Pimco Total Return	P	2012-02-29	2012-10-24
368 Pimco Total Return	P	2012-03-30	2012-10-24
338 Pimco Total Return	P	2012-04-30	2012-10-24
375 Pimco Total Return	P	2012-05-31	2012-10-24
331 Pimco Total Return	P	2012-06-29	2012-10-24
277 Pimco Total Return	P	2012-07-31	2012-10-24
278 Pimco Total Return	P	2012-08-31	2012-10-24
224 Pimco Total Return	P	2012-09-28	2012-10-24
107 305 Pimco Total Return	P	2012-10-16	2012-10-24
12 928 Red Rocks Listed Private	P	2011-12-22	2012-10-24
13 589 Ridgeworth Mid Cap Value	P	2011-12-16	2012-10-24
406 Ridgeworth Mid Cap Value	P	2012-03-22	2012-10-24
456 Ridgeworth Mid Cap Value	P	2012-06-21	2012-10-24
10 526 Ridgeworth Mid Cap Value	P	2012-07-18	2012-10-24
15 802 Rivernorth Core Opportunity	P	2011-12-29	2012-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
56 908 Riverpark Short-Term High Yield	P	2012-07-18	2012-10-24
168 Riverpark Short-Term High Yield	P	2012-07-31	2012-10-24
172 Riverpark Short-Term High Yield	P	2012-08-31	2012-10-24
2 Riverpark Short-Term High Yield	P	2012-09-28	2012-10-24
63 429 Riverpark Short-Term High Yield	P	2012-10-16	2012-10-24
262 RS Global Natural Resources	P	2011-12-16	2012-10-24
1 668 T Rowe Price International Stock	P	2011-12-16	2012-10-24
787 TCW Dividend Focused	P	2011-12-29	2012-10-24
499 TCW Dividend Focused	P	2012-03-30	2012-10-24
953 TCW Dividend Focused	P	2012-06-29	2012-10-24
808 TCW Dividend Focused	P	2012-09-28	2012-10-24
2 210 Touchstone Focused Equity	P	2011-12-15	2012-10-24
578 Touchstone Focused Equity	P	2011-12-29	2012-10-24
2 214 T Rowe Price Growth Stock	P	2011-12-23	2012-10-24
4 354 T Rowe Price Growth Stock	P	2012-07-18	2012-10-24
3 941 T Rowe Price Growth Stock	P	2012-10-16	2012-10-24
105 745 Wasatch Emerging Mkts Small Cap	P	2011-12-23	2012-10-24
224 Wasatch Frontier Emerging Small Countries	P	2012-10-16	2012-10-24
42 182 Wasatch Intl Opportunies	P	2011-12-28	2012-10-24
005 Federated Int CorporateBond	P	2011-10-31	2012-10-31
006 Federated Int Corporate Bond	P	2011-11-30	2012-10-31
02 Federated Int Corporate Bond	P	2011-12-06	2012-10-31
098 Federated Int Corporate Bond	P	2011-12-23	2012-10-31
006 Federated Int Corporate Bond	P	2011-12-30	2012-10-31
006 Federated Int Corporate Bond	P	2012-01-31	2012-10-31
006 Federated Int Corporate Bond	P	2012-02-29	2012-10-31
006 Federated Int Corporate Bond	P	2012-03-30	2012-10-31
006 Federated Int Corporate Bond	P	2012-04-30	2012-10-31
006 Federated Int Corporate Bond	P	2012-05-31	2012-10-31
006 Federated Int Corporate Bond	P	2012-06-29	2012-10-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
006 Federated Int Corporate Bond	P	2012-07-31	2012-10-31
006 Federated Int Corporate Bond	P	2012-08-31	2012-10-31
006 Federated Int Corporate Bond	P	2012-09-28	2012-10-31
018 Federated Int Corporate Bond	P	2012-10-31	2012-10-31
42 068 Doubleline Total Return	P	2011-05-20	2012-07-18
257 Doubleline Total Return	P	2011-05-31	2012-07-18
274 Doubleline Total Return	P	2011-06-30	2012-07-18
89 144 Dreyfus Bond Market Index	P	2011-05-20	2012-07-18
064 Dreyfus Bond Market Index	P	2011-05-31	2012-07-18
246 Dreyfus Bond Market Index	P	2011-06-30	2012-07-18
274 53 Leuthold Asset Allocation	P	2011-05-20	2012-07-18
527 Leuthold Asset Allocation	P	2011-06-23	2012-07-18
220 146 Merger	P	2011-05-20	2012-07-18
70 870 Pimco Total Return	P	2011-05-20	2012-07-18
055 Pimco Total Return	P	2011-05-31	2012-07-18
176 Pimco Total Return	P	2011-06-30	2012-07-18
131 428 Wasatch Emerging Mkts Small Cp	P	2011-05-20	2012-07-18
196 549 Westcore Select	P	2011-05-20	2012-07-18
33 259 Columbia Dividend Opportunity	P	2011-05-20	2012-10-16
293 Columbia Dividend Opportunity	P	2011-06-23	2012-10-16
11 055 Columbia Dividend Opportunity	P	2011-08-25	2012-10-16
434 Columbia Dividend Opportunity	P	2011-09-23	2012-10-16
56 363 Delaware Value	P	2011-05-20	2012-10-16
213 891 Federated Int Corporate Bond	P	2011-08-25	2012-10-16
14 Federated Int Corporate Bond	P	2011-08-31	2012-10-16
714 Federated Inc Corporate Bond	P	2011-09-30	2012-10-16
12 025 Fidelity Spartan 500 Index	P	2011-05-20	2012-10-16
059 Fidelity Spartan 500 Index	P	2011-07-08	2012-10-16
10 947 Fedelity Spartan 500 Index	P	2011-08-25	2012-10-16
122 Fidelity Spartan 500 Index	P	2011-10-07	2012-10-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
57 706 JPMorgan Inflation Managed Bond	P	2011-05-20	2012-10-16
081 JPMorgan Inflation Managed Bond	P	2011-06-01	2012-10-16
093 JPMorgan Inflation Managed Bond	P	2011-07-01	2012-10-16
086 JPMorgan Inflation Managed Bond	P	2011-08-01	2012-10-16
093 JPMorgan Inflation Managed Bond	P	2011-09-01	2012-10-16
116 JPMorgan Inflation Managed Bond	P	2011-10-03	2012-10-16
125 615 Merger	P	2011-05-20	2012-10-16
21 053 Merger	P	2011-08-25	2012-10-16
58 846 Ridgeworth Mid Cap Value	P	2011-05-20	2012-10-16
21 Ridgeworth Mid Cap Value	P	2011-06-21	2012-10-16
212 Ridgeworth Mid Cap Value	P	2011-09-22	2012-10-16
69 323 Rivernorth Core Opportunity	P	2011-05-20	2012-10-16
7 935 Rivernorth Core Opportunity	P	2011-08-25	2012-10-16
112 168 T Rowe Price International Stock	P	2011-05-20	2012-10-16
56 945 TCW Dividend Focused	P	2011-05-20	2012-10-16
178 TCW Dividend Focused	P	2011-06-30	2012-10-16
242 TCW Dividend Focused	P	2011-09-30	2012-10-16
243 786 Wasatch Emerging Mkts Small Cp	P	2011-05-20	2012-10-16
42 605 Wasatch Emerging Mkts Small Cp	P	2011-08-25	2012-10-16
205 783 Westcore Select	P	2011-05-20	2012-10-16
23 612 Aberdeen Emerging Markets	P	2011-08-25	2012-10-24
076 Aberdeen Emerging Markets	P	2011-09-19	2012-10-24
58 456 Aston/River Road Independent Value	P	2011-05-20	2012-10-24
234 759 Columbia Dividend Opportunity	P	2011-05-20	2012-10-24
2 070 Columbia Dividend Opportunity	P	2011-06-23	2012-10-24
78 031 Columbia Dividend Opportunity	P	2011-08-25	2012-10-24
3 069 Columbia Dividend Opportunity	P	2011-09-23	2012-10-24
211 123 Columbia Select Large Cap Growth	P	2011-08-25	2012-10-24
185 334 Delaware Value	P	2011-05-20	2012-10-24
306 181 Doubleline Total Return	P	2011-05-20	2012-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 873 Doubleline Total Return	P	2011-05-31	2012-10-24
1 995 Doubleline Total Return	P	2011-06-30	2012-10-24
2 049 Doubleline Total Return	P	2011-07-29	2012-10-24
36 154 Doubleline Total Return	P	2011-08-25	2012-10-24
2 259 Doubleline Total Return	P	2011-08-31	2012-10-24
2 401 Doubleline Total Return	P	2011-09-30	2012-10-24
261 891 Dreyfus Bond Market Index	P	2011-05-20	2012-10-24
189 Dreyfus Bond Market Index	P	2011-05-31	2012-10-24
724 Dreyfus Bond Market Index	P	2011-06-30	2012-10-24
72 Dreyfus Bond Market Index	P	2011-07-29	2012-10-24
42 985 Dreyfus Bond Market Index	P	2011-08-25	2012-10-24
723 Dreyfus Bond Market Index	P	2011-08-31	2012-10-24
804 Dreyfus Bond Market Index	P	2011-09-30	2012-10-24
202 195 Driehaus Active Income	P	2011-05-20	2012-10-24
1 683 Driehaus Active Income	P	2011-06-22	2012-10-24
48 32 Driehaus Active Income	P	2011-08-25	2012-10-24
3 606 Driehaus Active Income	P	2011-09-21	2012-10-24
92 656 Federated Int Corporate Bond	P	2011-08-25	2012-10-24
06 Federated Int Corporate Bond	P	2011-08-31	2012-10-24
309 Federated Int Corporate Bond	P	2011-09-30	2012-10-24
21 212 Fidelity Spartan 500 Index	P	2011-05-20	2012-10-24
105 Fidelity Spartan 500 Index	P	2011-07-08	2012-10-24
19 311 Fidelity Spartan 500 Index	P	2011-08-25	2012-10-24
216 Fidelity Spartan 500 Index	P	2011-10-07	2012-10-24
104 519 JPMorgan High Yield	P	2011-05-20	2012-10-24
641 JPMorgan High Yield	P	2011-06-01	2012-10-24
592 JPMorgan High Yield	P	2011-07-01	2012-10-24
606 JPMorgan High Yield	P	2011-08-01	2012-10-24
659 JPMorgan High Yield	P	2011-09-01	2012-10-24
645 JPMorgan High Yield	P	2011-10-03	2012-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
81 735 JPMorgan Inflation managed Bond	P	2011-05-20	2012-10-24
115 JPMorgan Inflation Managed Bond	P	2011-06-01	2012-10-24
131 JPMorgan Inflation managed Bond	P	2011-07-01	2012-10-24
122 JPMorgan Inflation Managed Bond	P	2011-08-01	2012-10-24
131 JPMorgan Inflation Managed Bond	P	2011-09-01	2012-01-24
164 JPMorgan Inflation Managed Bond	P	2011-10-03	2012-10-24
142 639 JPMorgan Strategic Income Opps	P	2011-05-20	2012-10-24
262 JPMorgan Strategic Income Opps	P	2011-06-01	2012-10-24
228 JPMorgan Strategic Income Opps	P	2011-07-01	2012-10-14
278 JPMorgan Strategic Income Opps	P	2011-08-01	2012-10-24
247 JPMorgan Strategic Income Opps	P	2011-09-01	2012-10-24
241 JPMorgan Strategic Income Opps	P	2011-10-03	2012-10-24
27 591 Merger	P	2011-05-20	2012-10-24
4 624 Merger	P	2011-08-25	2012-10-24
42 623 Merk Hard Currency	P	2011-08-25	2012-10-24
70 402 MFS International Value	P	2011-05-20	2012-10-24
68 92 Morgan Stanley Global Real Estate	P	2011-05-20	2012-10-24
154 Morgan Stanley Global Real Estate	P	2011-07-07	2012-10-24
124 835 Pimco Total Return	P	2011-05-20	2012-10-24
097 Pimco Total Return	P	2011-05-31	2012-10-24
311 Pimco Total Return	P	2011-06-30	2012-10-24
288 Pimco Total Return	P	2011-07-29	2012-10-24
364 Pimco Total Return	P	2011-08-31	2012-10-24
317 Pimco Total Return	P	2011-09-30	2012-10-24
120 071 Red Rocks Listed Private Eqty	P	2011-05-20	2012-10-24
123 478 Ridgeworth Mid Cap Value	P	2011-05-20	2012-10-24
441 Ridgeworth Mid Cap Value	P	2011-06-21	2012-10-24
444 Ridgeworth Mid Cap Value	P	2011-09-22	2012-10-24
150 787 Rivernorth Core Opportunity	P	2011-05-20	2012-10-24
17 260 Rivernorth Core Opportunity	P	2011-08-25	2012-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
33 919 RS Global Natural Resources	P	2011-05-20	2012-10-24
5 179 RS Global Natural Resources	P	2011-08-25	2012-10-24
116 901 T Rowe Price International Stock	P	2011-05-20	2012-10-24
191 027 TCW Dividend Focused	P	2011-05-20	2012-10-24
599 TCW Dividend Focused	P	2011-06-30	2012-10-24
814 TCW Dividend Focused	P	2011-09-30	2012-10-24
24 219 TCW Small Cap Growth	P	2011-05-20	2012-10-24
82 283 Touchstone Focused Equity	P	2011-05-20	2012-10-24
82 771 T Rowe Price Growth Stock	P	2011-05-20	2012-10-24
344 172 Wasatch Emerging Mkts Small Cp	P	2011-05-20	2012-10-24
60 149 Wasatch Emerging Mkts Small Cp	P	2011-08-25	2012-10-24
307 817 Wasatch Intl Opportunities	P	2011-05-20	2012-10-24
1 818 Federated Int Corporate Bond	P	2011-08-25	2012-10-31
001 Federated Int Corporate Bond	P	2011-08-31	2012-10-31
006 Federated Int Corporate Bond	P	2011-09-30	2012-10-31
3978 Pandora Media Inc	D	2012-03-21	2012-03-22
1326 Pandora Media Inc	D	2012-08-06	2012-08-07
795 Pandora Media Inc	D	2012-10-10	2012-10-12
795 Pandora Media Inc	D	2012-10-26	2012-10-31
LT Capital Gain Distributions from Brinker	P	2011-12-30	2012-12-31
Short Term Capital Loss Carryforward	P	2012-12-30	2012-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,943	0	1,767	176
6	0	6	0
2	0	1	1
1,843	0	1,617	226
1	0	1	0
1,087	0	1,065	22
12	0	12	0
2,607	0	2,556	51
23	0	21	2
867	0	743	124
34	0	28	6
35	0	31	4
806	0	670	136
44	0	38	6
845	0	808	37
15	0	14	1
1,305	0	1,282	23
2	0	1	1
4	0	4	0
4	0	4	0
214	0	215	-1
4	0	4	0
4	0	4	0
4	0	4	0
4	0	4	0
223	0	225	-2
4	0	4	0
5	0	5	0
4	0	5	-1
1,405	0	1,330	75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7	0	7	0
1,279	0	1,053	226
14	0	12	2
16	0	14	2
86	0	77	9
1,767	0	1,818	-51
3	0	3	0
3	0	3	0
31	0	29	2
3,285	0	3,381	-96
551	0	542	9
558	0	566	-8
127	0	126	1
4,609	0	4,829	-220
1	0	1	0
4	0	5	-1
6	0	6	0
4	0	5	-1
5	0	5	0
4	0	4	0
4	0	4	0
8	0	8	0
3	0	3	0
4	0	4	0
825	0	943	-118
3	0	3	0
3	0	3	0
91	0	77	14
572	0	612	-40
65	0	64	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
60	0	54	6
1,227	0	1,202	25
4	0	4	0
5	0	4	1
5	0	4	1
1,091	0	983	108
29	0	25	4
1,536	0	1,708	-172
10	0	9	1
3	0	3	0
56	0	56	0
4	0	3	1
4	0	4	0
3	0	3	0
4	0	4	0
69	0	68	1
5	0	5	0
4	0	4	0
3	0	3	0
72	0	72	0
4	0	4	0
3	0	3	0
4	0	4	0
4	0	4	0
3	0	3	0
163	0	159	4
3	0	3	0
3	0	3	0
3	0	3	0
3	0	3	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
170	0	166	4
3	0	3	0
3	0	3	0
3	0	3	0
4	0	4	0
4	0	3	1
3	0	3	0
3	0	3	0
5	0	5	0
49	0	48	1
30	0	31	-1
9	0	9	0
584	0	574	10
592	0	599	-7
135	0	133	2
2	0	2	0
2	0	2	0
2	0	2	0
2	0	2	0
2	0	2	0
2	0	2	0
5	0	5	0
3	0	3	0
2	0	2	0
2	0	2	0
2	0	2	0
2	0	2	0
2	0	2	0
57	0	55	2
101	0	89	12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22	0	24	-2
4	0	3	1
3	0	3	0
4	0	3	1
3	0	3	0
13	0	11	2
7	0	7	0
7	0	7	0
25	0	23	2
120	0	114	6
8	0	7	1
8	0	8	0
8	0	8	0
8	0	8	0
8	0	8	0
9	0	8	1
9	0	8	1
9	0	8	1
9	0	8	1
9	0	8	1
7	0	6	1
38	0	33	5
4	0	4	0
7	0	7	0
120	0	113	7
7	0	7	0
1	0	1	0
1	0	1	0
3	0	3	0
54	0	52	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1	0	1	0
1	0	1	0
1	0	1	0
155	0	153	2
2	0	2	0
2	0	2	0
2	0	2	0
2	0	2	0
1	0	1	0
1	0	1	0
1	0	1	0
341	0	342	-1
78	0	76	2
73	0	61	12
2	0	2	0
2	0	2	0
57	0	52	5
91	0	79	12
22	0	19	3
3	0	2	1
2	0	2	0
3	0	3	0
3	0	3	0
201	0	166	35
24	0	26	-2
1	0	1	0
335	0	291	44
1	0	1	0
12	0	10	2
7	0	7	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27	0	24	3
19	0	19	0
24	0	23	1
23	0	23	0
152	0	139	13
431	0	411	20
237	0	249	-12
40	0	36	4
635	0	631	4
1	0	1	0
1	0	1	0
1	0	1	0
2	0	2	0
1	0	1	0
1	0	1	0
1	0	1	0
25	0	25	0
27	0	26	1
505	0	492	13
36	0	35	1
27	0	26	1
23	0	23	0
533	0	521	12
27	0	27	0
25	0	25	0
27	0	26	1
26	0	26	0
25	0	25	0
26	0	26	0
24	0	24	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9	0	9	0
9	0	9	0
496	0	487	9
9	0	9	0
10	0	10	0
10	0	10	0
11	0	10	1
10	0	10	0
10	0	10	0
10	0	10	0
11	0	11	0
10	0	10	0
9	0	9	0
35	0	33	2
97	0	91	6
282	0	285	-3
23	0	23	0
37	0	36	1
4	0	4	0
3	0	3	0
3	0	3	0
11	0	10	1
52	0	49	3
3	0	3	0
3	0	3	0
4	0	3	1
4	0	3	1
4	0	3	1
4	0	3	1
4	0	4	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4	0	3	1
3	0	3	0
3	0	3	0
12	0	10	2
65	0	58	7
8	0	8	0
13	0	12	1
205	0	200	5
13	0	13	0
612	0	596	16
2	0	2	0
9	0	9	0
271	0	262	9
10	0	10	0
163	0	163	0
5	0	5	0
5	0	5	0
18	0	17	1
6	0	5	1
5	0	4	1
5	0	5	0
108	0	105	3
6	0	6	0
5	0	5	0
5	0	5	0
5	0	5	0
6	0	6	0
6	0	6	0
6	0	6	0
2	0	2	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2	0	2	0
4	0	4	0
76	0	73	3
2	0	2	0
2	0	2	0
2	0	2	0
220	0	216	4
2	0	2	0
2	0	2	0
2	0	2	0
2	0	2	0
1	0	1	0
1	0	1	0
1	0	1	0
4	0	4	0
5	0	4	1
25	0	24	1
351	0	338	13
9	0	8	1
2	0	2	0
6	0	6	0
453	0	446	7
8	0	7	1
7	0	7	0
7	0	7	0
8	0	7	1
8	0	8	0
8	0	7	1
8	0	7	1
74	0	75	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17	0	17	0
8	0	7	1
4	0	4	0
379	0	382	-3
28	0	24	4
235	0	226	9
95	0	91	4
11	0	9	2
94	0	78	16
220	0	212	8
4	0	3	1
4	0	4	0
9	0	8	1
5	0	5	0
4	0	4	0
3	0	3	0
4	0	4	0
4	0	4	0
4	0	4	0
4	0	4	0
3	0	3	0
3	0	3	0
3	0	3	0
1,240	0	1,242	-2
63	0	52	11
150	0	128	22
4	0	5	-1
5	0	5	0
116	0	110	6
195	0	172	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1	0	1	0
1	0	1	0
1	0	1	0
1	0	1	0
475	0	468	7
3	0	3	0
3	0	3	0
994	0	950	44
1	0	1	0
3	0	3	0
2,789	0	3,017	-228
5	0	6	-1
3,483	0	3,580	-97
811	0	782	29
1	0	1	0
2	0	2	0
327	0	338	-11
3,310	0	4,527	-1,217
295	0	283	12
3	0	2	1
98	0	82	16
4	0	3	1
714	0	642	72
2,210	0	2,145	65
1	0	1	0
7	0	7	0
620	0	569	51
3	0	3	0
565	0	450	115
6	0	5	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
627	0	608	19
1	0	1	0
1	0	1	0
1	0	1	0
1	0	1	0
1	0	1	0
2,006	0	2,043	-37
336	0	327	9
667	0	751	-84
2	0	3	-1
2	0	2	0
866	0	889	-23
99	0	92	7
1,574	0	1,640	-66
696	0	649	47
2	0	2	0
3	0	2	1
656	0	627	29
115	0	102	13
3,539	0	4,739	-1,200
353	0	318	35
1	0	1	0
665	0	633	32
2,033	0	2,000	33
18	0	17	1
676	0	581	95
27	0	22	5
2,768	0	2,474	294
2,289	0	2,111	178
3,490	0	3,408	82

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21	0	21	0
23	0	22	1
23	0	23	0
412	0	406	6
26	0	25	1
27	0	27	0
2,902	0	2,792	110
2	0	2	0
8	0	8	0
8	0	8	0
476	0	468	8
8	0	8	0
9	0	9	0
2,137	0	2,275	-138
18	0	19	-1
511	0	507	4
38	0	37	1
957	0	929	28
1	0	1	0
3	0	3	0
1,060	0	1,004	56
5	0	5	0
965	0	795	170
11	0	9	2
849	0	875	-26
5	0	5	0
5	0	5	0
5	0	5	0
5	0	5	0
5	0	5	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
886	0	861	25
1	0	1	0
1	0	1	0
1	0	1	0
1	0	1	0
2	0	2	0
1,679	0	1,710	-31
3	0	3	0
3	0	3	0
3	0	3	0
3	0	3	0
3	0	3	0
437	0	449	-12
73	0	72	1
515	0	553	-38
1,876	0	1,834	42
653	0	635	18
1	0	1	0
1,443	0	1,378	65
1	0	1	0
4	0	3	1
3	0	3	0
4	0	4	0
4	0	3	1
588	0	742	-154
1,358	0	1,576	-218
5	0	5	0
5	0	4	1
1,858	0	1,933	-75
213	0	201	12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,245	0	1,320	-75
190	0	180	10
1,618	0	1,709	-91
2,281	0	2,178	103
7	0	7	0
10	0	7	3
636	0	741	-105
957	0	1,025	-68
3,008	0	2,775	233
912	0	885	27
159	0	144	15
742	0	828	-86
19	0	18	1
1	0	1	0
1	0	1	0
42,465	0	42,644	-179
13,517	0	12,982	535
7,690	0	7,545	145
6,729	0	6,487	242
580	0	0	580
0	0	4,690	-4,690

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	176
0	0	0	0
0	0	0	1
0	0	0	226
0	0	0	0
0	0	0	22
0	0	0	0
0	0	0	51
0	0	0	2
0	0	0	124
0	0	0	6
0	0	0	4
0	0	0	136
0	0	0	6
0	0	0	37
0	0	0	1
0	0	0	23
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	-1
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	-2
0	0	0	0
0	0	0	0
0	0	0	-1
0	0	0	75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	0
0	0	0	226
0	0	0	2
0	0	0	2
0	0	0	9
0	0	0	-51
0	0	0	0
0	0	0	0
0	0	0	2
0	0	0	-96
0	0	0	9
0	0	0	-8
0	0	0	1
0	0	0	-220
0	0	0	0
0	0	0	-1
0	0	0	0
0	0	0	-1
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	-118
0	0	0	0
0	0	0	0
0	0	0	14
0	0	0	-40
0	0	0	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	4
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	1
0	0	0	-1
0	0	0	0
0	0	0	10
0	0	0	-7
0	0	0	2
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	2
0	0	0	12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	-2
0	0	0	1
0	0	0	0
0	0	0	1
0	0	0	0
0	0	0	2
0	0	0	0
0	0	0	0
0	0	0	2
0	0	0	6
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	1
0	0	0	1
0	0	0	1
0	0	0	1
0	0	0	1
0	0	0	5
0	0	0	0
0	0	0	0
0	0	0	7
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	2
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	-1
0	0	0	2
0	0	0	12
0	0	0	0
0	0	0	0
0	0	0	5
0	0	0	12
0	0	0	3
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	35
0	0	0	-2
0	0	0	0
0	0	0	44
0	0	0	0
0	0	0	2
0	0	0	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	3
0	0	0	0
0	0	0	1
0	0	0	0
0	0	0	13
0	0	0	20
0	0	0	-12
0	0	0	4
0	0	0	4
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	1
0	0	0	13
0	0	0	1
0	0	0	1
0	0	0	0
0	0	0	12
0	0	0	0
0	0	0	0
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	0
0	0	0	0
0	0	0	9
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	2
0	0	0	6
0	0	0	-3
0	0	0	0
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	1
0	0	0	3
0	0	0	0
0	0	0	0
0	0	0	1
0	0	0	1
0	0	0	1
0	0	0	1
0	0	0	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	0
0	0	0	0
0	0	0	3
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	4
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	1
0	0	0	1
0	0	0	13
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	7
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	1
0	0	0	0
0	0	0	1
0	0	0	1
0	0	0	-1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	0
0	0	0	1
0	0	0	0
0	0	0	-3
0	0	0	4
0	0	0	9
0	0	0	4
0	0	0	2
0	0	0	16
0	0	0	8
0	0	0	1
0	0	0	0
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	-2
0	0	0	11
0	0	0	22
0	0	0	-1
0	0	0	0
0	0	0	6
0	0	0	23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	7
0	0	0	0
0	0	0	0
0	0	0	44
0	0	0	0
0	0	0	0
0	0	0	-228
0	0	0	-1
0	0	0	-97
0	0	0	29
0	0	0	0
0	0	0	0
0	0	0	-11
0	0	0	-1,217
0	0	0	12
0	0	0	1
0	0	0	16
0	0	0	1
0	0	0	72
0	0	0	65
0	0	0	0
0	0	0	0
0	0	0	51
0	0	0	0
0	0	0	115
0	0	0	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	19
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	-37
0	0	0	9
0	0	0	-84
0	0	0	-1
0	0	0	0
0	0	0	-23
0	0	0	7
0	0	0	-66
0	0	0	47
0	0	0	0
0	0	0	1
0	0	0	29
0	0	0	13
0	0	0	-1,200
0	0	0	35
0	0	0	0
0	0	0	32
0	0	0	33
0	0	0	1
0	0	0	95
0	0	0	5
0	0	0	294
0	0	0	178
0	0	0	82

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	0
0	0	0	1
0	0	0	0
0	0	0	6
0	0	0	1
0	0	0	0
0	0	0	110
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	8
0	0	0	0
0	0	0	0
0	0	0	-138
0	0	0	-1
0	0	0	4
0	0	0	1
0	0	0	28
0	0	0	0
0	0	0	0
0	0	0	56
0	0	0	0
0	0	0	170
0	0	0	2
0	0	0	-26
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	25
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	-31
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	0
0	0	0	-12
0	0	0	1
0	0	0	-38
0	0	0	42
0	0	0	18
0	0	0	0
0	0	0	65
0	0	0	0
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	1
0	0	0	-154
0	0	0	-218
0	0	0	0
0	0	0	1
0	0	0	-75
0	0	0	12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
0	0	0	-75
0	0	0	10
0	0	0	-91
0	0	0	103
0	0	0	0
0	0	0	3
0	0	0	-105
0	0	0	-68
0	0	0	233
0	0	0	27
0	0	0	15
0	0	0	-86
0	0	0	1
0	0	0	0
0	0	0	0
0	0	0	-179
0	0	0	535
0	0	0	145
0	0	0	242
0	0	0	580
0	0	0	-4,690

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
IMPACT ASSETS 7315 WISCONSIN AVENUE SUITE 1100W BETHESDA,MD 20814		501(c)(3)	Charitable	12,500
BLOODWATER MISSION PO BOX 60381 NASHVILLE,TN 37206		501(c)(3)	Charitable	21,800
KENYA EDUCATION FUND 360 EAST 72ND STREET 3405 NEW YORK,NY 10021		501(c)(3)	Charitable	1,100
WELLO 13-18 136TH STREET QUEENS,NY 11356		501(c)(3)	Charitable	10,000
CLINICA FAMILY HEALTH SERVICES 1345 PLAZA CT NORTH 1A LAFAYETTE,CO 80026		501(c)(3)	Charitable	1,000
Total  3a				46,400

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div>	OMB No 1545-0047
		2012

Name of the organization HOOPS FAMILY FOUNDATION	Employer identification number 45-1225430
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization HOOPS FAMILY FOUNDATION	Employer identification number 45-1225430
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Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	RICH TRACI HOOPS 8328 VALMONT ROAD BOULDER, CO 80301	\$ 69,957	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
 	 	\$ 	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization HOOPS FAMILY FOUNDATION	Employer identification number 45-1225430
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Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization HOOPS FAMILY FOUNDATION	Employer identification number 45-1225430
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		
—	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4Relationship of transferor to transferee		

TY 2012 Investments - Other Schedule**Name:** HOOPS FAMILY FOUNDATION**EIN:** 45-1225430

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Brinker Capital - Mutual Funds		244,195	249,867
Paradigm Kenya, L3C		36,226	36,226
The Paradigm Project, L3C		72,962	72,962

TY 2012 Other Decreases Schedule

Name: HOOPS FAMILY FOUNDATION

EIN: 45-1225430

Description	Amount
PT K-1: Book to Tax Differences	114

TY 2012 Other Income Schedule

Name: HOOPS FAMILY FOUNDATION

EIN: 45-1225430

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PT K-1 Paradigm Kenya, L3C	-33,821	-33,821	
PT K-1 The Paradigm Project, L3C	-2,009	-2,009	

TY 2012 Other Increases Schedule

Name: HOOPS FAMILY FOUNDATION

EIN: 45-1225430

Description	Amount
ST Capital Loss Carryforward	602

TY 2012 Other Professional Fees Schedule

Name: HOOPS FAMILY FOUNDATION

EIN: 45-1225430

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Brinker Capital Investment	2,569	2,569		

TY 2012 Taxes Schedule

Name: HOOPS FAMILY FOUNDATION

EIN: 45-1225430

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Bank Charges	15	15		

**Power of Attorney
and Declaration of Representative**

► Type or print. ► See the separate instructions.

OMB No. 1545-0150

For IRS Use Only

Received by:

Name _____

Telephone _____

Function _____

Date ____/____/____

Part II Power of Attorney

Caution: A separate Form 2848 should be completed for each taxpayer. Form 2848 will not be honored for any purpose other than representation before the IRS.

1 Taxpayer Information. Taxpayer must sign and date this form on page 2, line 7.

Taxpayer name and address

Hoops Family Foundation

9328 Valmont Rd

Boulder, CO 80301-4813

Taxpayer identification number(s)

45-1225430

Daytime telephone number

(720) 317-6002

Plan number (if applicable)

hereby appoints the following representative(s) as attorney(s)-in-fact:

2 Representative(s) must sign and date this form on page 2, Part II.

Name and address

Elias F. Urbina

500 N Capital of Texas Hwy #3-100

Austin, TX 78746

Check if to be sent notices and communications ☒

CAF No. **7805-19395R**

PTIN **P00089376**

Telephone No. **(512) 328-9090**

Fax No. **(512) 328-9410**

Check if new: Address ☐ Telephone No. ☐ Fax No. ☐

Name and address

Patrick D. Scharf

500 N Capital of Texas Hwy #3-100

Austin, TX 78746

Check if to be sent notices and communications ☐

CAF No. **7805-8585675R**

PTIN **P00090985**

Telephone No. **(512) 328-9090**

Fax No. **(512) 328-9410**

Check if new: Address ☐ Telephone No. ☐ Fax No. ☐

Name and address

Alena Roshanfar

500 N Capital of Texas Hwy #3-100

Austin, TX 78746

CAF No. **0309-76512R**

PTIN **P01542008**

Telephone No. **(512) 328-9090**

Fax No. **(512) 328-9410**

Check if new: Address ☐ Telephone No. ☐ Fax No. ☐

to represent the taxpayer before the Internal Revenue Service for the following matters:

3 Matters

Description of Matter (Income, Employment, Payroll, Excise, Estate, Gift, Whistleblower, Practitioner Discipline, PLR, FOIA, Civil Penalty, etc) (see instructions)	Tax Form Number (1040, 941, 720, etc) (if applicable)	Year(s) or Period(s) (if applicable) (see the instructions for line 3)
Income Tax, Penalties	990, 990-PF	2011, 2012, 2013

4 Specific use not recorded on Centralized Authorization File (CAF). If the power of attorney is for a specific use not recorded on CAF, check this box. See the instructions for Line 4. **Specific Uses Not Recorded on CAF** ☐

5 Acts authorized. Unless otherwise provided below, the representatives generally are authorized to receive and inspect confidential tax information and to perform any and all acts that I can perform with respect to the tax matters described on line 3, for example, the authority to sign any agreements, consents, or other documents. The representative(s), however, is (are) not authorized to receive or negotiate any amounts paid to the client in connection with this representation (including refunds by either electronic means or paper checks). Additionally, unless the appropriate box(es) below are checked, the representative(s) is (are) not authorized to execute a request for disclosure of tax returns or return information to a third party, substitute another representative or add additional representatives, or sign certain tax returns.

☐ Disclosure to third parties;

☐ Substitute or add representative(s);

☐ Signing a return; _____

☐ Other acts authorized: _____

(see instructions for more information)

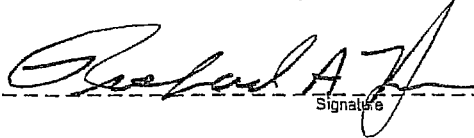
Exceptions. An unenrolled return preparer cannot sign any document for a taxpayer and may only represent taxpayers in limited situations. An enrolled actuary may only represent taxpayers to the extent provided in section 10.3(d) of Treasury Department Circular No. 230 (Circular 230). An enrolled retirement plan agent may only represent taxpayers to the extent provided in section 10.3(e) of Circular 230. A registered tax return preparer may only represent taxpayers to the extent provided in section 10.3(f) of Circular 230. See the line 5 instructions for restrictions on tax matters partners. In most cases, the student practitioner's (level k) authority is limited (for example, they may only practice under the supervision of another practitioner).

List any specific deletions to the acts otherwise authorized in this power of attorney: _____

- 6 Retention/revocation of prior power(s) of attorney. The filing of this power of attorney automatically revokes all earlier power(s) of attorney on file with the Internal Revenue Service for the same matters and years or periods covered by this document. If you do not want to revoke a prior power of attorney, check here ☐ **YOU MUST ATTACH A COPY OF ANY POWER OF ATTORNEY YOU WANT TO REMAIN IN EFFECT.**

- 7 Signature of taxpayer. If a tax matter concerns a year in which a joint return was filed, the husband and wife must each file a separate power of attorney even if the same representative(s) is (are) being appointed. If signed by a corporate officer, partner, guardian, tax matters partner, executor, receiver, administrator, or trustee on behalf of the taxpayer, I certify that I have the authority to execute this form on behalf of the taxpayer.

► IF NOT SIGNED AND DATED, THIS POWER OF ATTORNEY WILL BE RETURNED TO THE TAXPAYER.


Signature

10-28-13
Date

Secretary/treasurer
Title (if applicable)

Richard A. Hoops
Print Name

PIN Number

Hoops Family Foundation
Print name of taxpayer from line 1 if other than individual

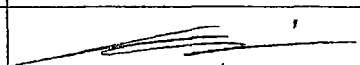


Part II Declaration of Representative

Under penalties of perjury, I declare that:

- I am not currently under suspension or disbarment from practice before the Internal Revenue Service;
- I am aware of regulations contained in Circular 230 (31 CFR, Part 10), as amended, concerning practice before the Internal Revenue Service;
- I am authorized to represent the taxpayer identified in Part I for the matter(s) specified there; and
- I am one of the following:
 - a Attorney — a member in good standing of the bar of the highest court of the jurisdiction shown below.
 - b Certified Public Accountant — duly qualified to practice as a certified public accountant in the jurisdiction shown below.
 - c Enrolled Agent — enrolled as an agent under the requirements of Circular 230.
 - d Officer — a bona fide officer of the taxpayer's organization.
 - e Full-Time Employee — a full-time employee of the taxpayer.
 - f Family Member — a member of the taxpayer's immediate family (for example, spouse, parent, child, grandparent, grandchild, step-parent, step-child, brother, or sister).
 - g Enrolled Actuary — enrolled as an actuary by the Joint Board for the Enrollment of Actuaries under 29 U.S.C. 1242 (the authority to practice before the Internal Revenue Service is limited by section 10.3(d) of Circular 230).
 - h Unenrolled Return Preparer — Your authority to practice before the Internal Revenue Service is limited. You must have been eligible to sign the return under examination and have signed the return. See Notice 2011-6 and Special rules for registered tax return preparers and unenrolled return preparers in the instructions.
 - i Registered Tax Return Preparer — registered as a tax return preparer under the requirements of section 10.4 of Circular 230. Your authority to practice before the Internal Revenue Service is limited. You must have been eligible to sign the return under examination and have signed the return. See Notice 2011-6 and Special rules for registered tax return preparers and unenrolled return preparers in the instructions.
 - k Student Attorney or CPA — receives permission to practice before the IRS by virtue of his/her status as a law, business or accounting student working in LTC or STCP under section 10.7(d) of Circular 230. See instructions for Part II for additional information and requirements.
 - r Enrolled Retirement Plan Agent — enrolled as a retirement plan agent under the requirements of Circular 230 (the authority to practice before the Internal Revenue Service is limited by section 10.3(e)).

► IF THIS DECLARATION OF REPRESENTATIVE IS NOT SIGNED AND DATED, THE POWER OF ATTORNEY WILL BE RETURNED. REPRESENTATIVES MUST SIGN IN THE ORDER LISTED IN LINE 2 ON PAGE 1. See the instructions for Part II.

Note: For designations d-f, enter your title, position, or relationship to the taxpayer in the 'Licensing jurisdiction' column. See the instructions for Part II for more information.

Designation — Insert above letter (a - r)	Licensing jurisdiction (state) or other licensing authority (if applicable)	Bar, license, certification, registration, or enrollment number (if applicable). See instructions for Part II for more information.	Signature	Date
b	TX	028100		9/26/13
b	TX	65736		9/26/13
c	IRS - OPR	105458		9-26-13

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	<u>Hoops Family Foundation</u>		<u>45-1225430</u>
	Number, street, and room or suite number. If a P.O. box, see instructions		Social security number (SSN)
	<u>8328 Valmont Rd</u>		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.		
	<u>Boulder</u>	<u>CO</u>	<u>80301-4813</u>

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of Rich Hoops
Telephone No (720) 890-7100 FAX No. _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until Nov 15, 20 13.
- 5 For calendar year 2012, or other tax year beginning _____, 20 _____, and ending _____, 20 _____.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension ... We are in the process of gathering all of the information for the current tax year in order to prepare an accurate and complete tax return.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a \$	0.
8b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b \$	0.
8c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c \$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature Robert A. Z Title secretary/treasurer Date 8/15/13
BAA FIFZ0502 01/21/13 Form 8868 (Rev 1-2013)