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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

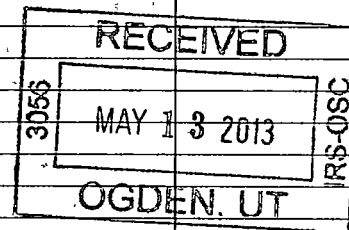
Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation ELLIOT D. BAKER CHARITABLE FOUNDATION C/O ELLIOT D. BAKER, TRUSTEE		A Employer identification number 43-6826586
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 46019	Room/suite	B Telephone number (847) 982-0372
City or town, state, and ZIP code CHICAGO, IL 60646-0019		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 167,845. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	20,625.		N/A	
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	5,399.	5,399.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-79,764.			
	b Gross sales price for all assets on line 6a	687,193.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	90.	90.		STATEMENT 2	
12 Total Add lines 1 through 11	-53,650.	5,489.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 3	585.	292.	293.
	c Other professional fees				
	17 Interest				
	18 Taxes	STMT 4	110.	50.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 5	1,718.	1,718.	0.
	24 Total operating and administrative expenses. Add lines 13 through 23		2,413.	2,060.	293.
	25 Contributions, gifts, grants paid		13,570.		13,570.
26 Total expenses and disbursements. Add lines 24 and 25		15,983.	2,060.	13,863.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-69,633.			
b Net investment income (if negative, enter -0-)			3,429.		
c Adjusted net income (if negative, enter -0-)			N/A		



SCANNED MAY 20 2013

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	11,981.	38,469.	38,469.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	350,646.	371,271.	129,345.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 7	110,213.	1.	1.
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ <u>ORGANIZATION COSTS</u>)	30.	30.	30.
	16 Total assets (to be completed by all filers)	472,870.	409,771.	167,845.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	472,870.	409,771.	
	30 Total net assets or fund balances	472,870.	409,771.	
	31 Total liabilities and net assets/fund balances	472,870.	409,771.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	472,870.
2 Enter amount from Part I, line 27a	2	-69,633.
3 Other increases not included in line 2 (itemize) ▶ <u>PRIOR PERIOD ADJUSTMENT</u>	3	6,534.
4 Add lines 1, 2, and 3	4	409,771.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	409,771.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a AMERITRADE	P	01/01/12	12/31/12
b AMERITRADE	P	01/01/12	12/31/12
c AMERITRADE	P	01/01/11	12/31/12
d AMERITRADE	P	01/01/12	12/31/12
e HARBIN ELECTRIC	P	11/21/11	06/30/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 256,432.		261,288.	-4,856.
b 62,586.		102,392.	-39,806.
c 69,300.		63,206.	6,094.
d 298,875.		339,657.	-40,782.
e		414.	-414.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-4,856.
b			-39,806.
c			6,094.
d			-40,782.
e			-414.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-79,764.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	12,220.	262,674.	.046522
2010	14,224.	226,376.	.062834
2009	11,557.	194,573.	.059397
2008	12,252.	212,974.	.057528
2007	14,148.	232,716.	.060795

2 Total of line 1, column (d)	2	.287076
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.057415
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	189,168.
5 Multiply line 4 by line 3	5	10,861.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	34.
7 Add lines 5 and 6	7	10,895.
8 Enter qualifying distributions from Part XII, line 4	8	13,863.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	34.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	34.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	34.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	9.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	9.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	25.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>ELLIOT D. BAKER</u> Telephone no. ► <u>(847) 982-0372</u> Located at ► <u>6755 NORTH LOCKWOOD AVE, LINCOLNWOOD, IL</u> ZIP+4 ► <u>60712</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

5b

6b

7b

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELLIOT D. BAKER 6755 NORTH LOCKWOOD AVE LINCOLNWOOD, IL 60712	TRUSTEE AND FOUNDATION	MGR		
	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services



0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	173,896.
b	Average of monthly cash balances	1b	18,153.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	192,049.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	192,049.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,881.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	189,168.
6	Minimum investment return. Enter 5% of line 5	6	9,458.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	9,458.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	34.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	34.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	9,424.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	9,424.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	9,424.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	13,863.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	13,863.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	34.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	13,829.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				9,424.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007	5,252.			
b From 2008	1,603.			
c From 2009	2,026.			
d From 2010	3,573.			
e From 2011				
f Total of lines 3a through e	12,454.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 13,863.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				9,424.
e Remaining amount distributed out of corpus	4,439.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,893.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	5,252.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	11,641.			
10 Analysis of line 9:				
a Excess from 2008	1,603.			
b Excess from 2009	2,026.			
c Excess from 2010	3,573.			
d Excess from 2011				
e Excess from 2012	4,439.			

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(i)(3) or ☐ 4942(i)(5)

- | Tax year | Prior 3 years | | | (e) Total |
|----------|---------------|----------|----------|-----------|
| (a) 2012 | (b) 2011 | (c) 2010 | (d) 2009 | |

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

- 3 Subtract line 2d from line 2c.
Complete 3a, b, or c for the
alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)
----------------	--

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ELLIOT D. BAKER

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:**

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ELLIOT D. BAKER CHARITABLE FOUNDATION

Form 990-PF (2012)

C/O ELLIOT D. BAKER, TRUSTEE

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Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN ASSOCIATION OF BIKUR CHOLIM HOSPITAL	N/A	501(C)(3)	UNRESTRICTED USE	500.
AMERICAN COMMITTEE FOR SHAARE ZEDEK MED. CENTER CENTER 101 W. GRAND AVE CHICAGO, IL	N/A	501(C)(3)	UNRESTRICTED USE	500.
AMERICAN ENTERPRISE INSTITUTE	N/A	501(C)(3)	UNRESTRICTED USE	250.
AMERICAN FRIENDS OF HEBREW UNIVERSITY	N/A	501(C)(3)	UNRESTRICTED USE	250.
AMERICAN FRIENDS OF MEIR PANIM 5316 NEW UTRECHT AVE BROOKLYN, NY 11219	N/A	501(C)(3)	UNRESTRICTED USE	500.
Total SEE CONTINUATION SHEET(S)			3a	13,570.
b Approved for future payment				
NONE				
Total			3b	0

ELLIOT D. BAKER CHARITABLE FOUNDATION
C/O ELLIOT D. BAKER, TRUSTEE

43-6826586

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CARERA	N/A	501(C)(3)	UNRESTRICTED USE	250.
CHAI LIFELINE 151 WEST 30TH ST NEW YORK, NY 10001	N/A	501(C)(3)	UNRESTRICTED USE	500.
ENDOWMENT FOR MIDDLE EAST TRUTH	N/A	501(C)(3)	UNRESTRICTED USE	250.
FRIEDMAN PLACE	N/A	501(C)(3)	UNRESTRICTED USE	1,000.
FRIENDS OF THE IDF	N/A	501(C)(3)	UNRESTRICTED USE	500.
GREATER CHICAGO FOOD DEPOSITORY 4501 S. TRIPP CHICAGO, IL 60632	N/A	501(C)(3)	UNRESTRICTED USE	500.
HELP ME SEE 1560 BROADWAY SUITE 406 NEW YORK, NY 10036	N/A	501(C)(3)	UNRESTRICTED USE	500.
LINCOLNWOOD JEWISH CONGREGATION 7117 N. CRAWFORD LINCOLNWOOD, IL 60712	N/A	501(C)(3)	UNRESTRICTED USE	4,560.
LOWELL OBSERVATORY	N/A	501(C)(3)	UNRESTRICTED USE	60.
MEMRI	N/A	501(C)(3)	UNRESTRICTED USE	250.
Total from continuation sheets				11,570.

ELLIOT D. BAKER CHARITABLE FOUNDATION
C/O ELLIOT D. BAKER, TRUSTEE

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE ARK 6450 N. CALIFORNIA AVE CHICAGO, IL 60645	N/A	501(C)(3)	UNRESTRICTED USE	500.
THE CENTER FOR SECURITY POLICY	N/A	501(C)(3)	UNRESTRICTED USE	100.
THE SMILE TRAIN	N/A	501(C)(3)	UNRESTRICTED USE	500.
TORAH MONTESSORI SCHOOL	N/A	501(C)(3)	UNRESTRICTED USE	2,000.
WIKIMEDIA FOUNDATION INC	N/A	501(C)(3)	UNRESTRICTED USE	100.
Total from continuation sheets				



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Realized Capital Gain/Loss for Form 8949

BAKER ELLIOT

941000879

01/01/2012 -- 12/31/2012 Tax Year 2012

Created 3/02/2013 4:05 pm

Box A - transactions reported on Form 1099-B showing basis was reported to the IRS

Short Term Sales	256,439.54	Long Term Sales	0.00
Short Term Cost	261,420.87	Long Term Cost	0.00
Short Term Gain/Loss Adj	125.02	Long Term Gain/Loss Adj	0.00
Short Term Gain/Loss	-4,856.31	Long Term Gain/Loss	0.00

Box B - transactions reported on Form 1099-B showing basis was not reported to the IRS

Short Term Sales	62,585.57	Long Term Sales	69,300.10
Short Term Cost	102,391.64	Long Term Cost	63,205.88
Short Term Gain/Loss Adj	0.00	Long Term Gain/Loss Adj	0.00
Short Term Gain/Loss	-39,806.07	Long Term Gain/Loss	6,094.22

Box C - transactions not reported to you on Form 1099-B

Short Term Sales	298,874.52	Long Term Sales	0.00
Short Term Cost	342,576.55	Long Term Cost	0.00
Short Term Gain/Loss Adj	2,919.74	Long Term Gain/Loss Adj	0.00
Short Term Gain/Loss	-40,782.29	Long Term Gain/Loss	0.00

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
04/05/2012		04/04/2012	ALCOA INC (AA)	200	1,935.97	1,959.98			ST	boxA	-24.01
02/09/2012		01/31/2012	AAPL Apr 21 2012 465.0 Call	100	4,172.82	1,621.70			ST	boxC	2,551.12
02/09/2012	Short Sell	02/09/2012	AAPL Apr 21 2012 485.0 Call	100	879.28	2,959.03			ST	boxC	-2,079.75
02/09/2012	Short Sell	02/09/2012	AAPL Apr 21 2012 485.0 Call	100	879.28	2,867.37			ST	boxC	-1,988.09
02/09/2012		01/31/2012	AAPL Apr 21 2012 505.0 Call	100	1,936.76	436.70			ST	boxC	1,500.06
04/26/2012		04/20/2012	AAPL Apr 27 2012 605.0 Call	100	351.11	925.84			ST	boxC	0.00
04/27/2012		04/20/2012	AAPL Apr 27 2012 605.0 Call	100	95.75	925.85		574.73	ST	boxC	0.00
04/27/2012		VARIOUS	AAPL Apr 27 2012 605.0 Call	300	0.85	3,835.36		830.10	ST	boxC	0.00
04/26/2012		04/20/2012	AAPL Apr 27 2012 615.0 Call	100	63.39	668.78		605.39	ST	boxC	0.00
04/27/2012		04/20/2012	AAPL Apr 27 2012 615.0 Call	100	5.77	668.79		663.02	ST	boxC	0.00
04/27/2012		VARIOUS	AAPL Apr 27 2012 615.0 Call	300	3.85	2,989.71			ST	boxC	-2,985.86
04/26/2012	Short Sell	04/26/2012	AAPL Apr 27 2012 610.0 Call	200	1,563.38	327.59			ST	boxC	1,235.79
04/27/2012	Short Sell	04/27/2012	AAPL Apr 27 2012 610.0 Call	200	1,563.37	39.05			ST	boxC	1,524.32
04/27/2012	Short Sell	04/27/2012	AAPL Apr 27 2012 610.0 Call	600	4,054.43	18.08			ST	boxC	4,036.35
01/06/2012		11/23/2011	AAPL Jan 21 2012 420.0 Call	100	811.28	550.70			ST	boxC	260.58
01/05/2012	Short Sell	01/05/2012	AAPL Jan 21 2012 440.0 Call	200	142.91	165.40		22.49	ST	boxC	0.00
01/05/2012	Short Sell	01/05/2012	AAPL Jan 21 2012 440.0 Call	200	162.59	185.89			ST	boxC	-23.30
01/23/2012	Expired	01/05/2012	AAPL Jan 21 2012 460.0 Call	100	0.00	16.70			ST	boxC	-16.70
01/04/2012	Short Sell	01/04/2012	AAPL Jan 21 2012 470.0 Call	100	83.30	10.70			ST	boxC	72.60
01/04/2012	Short Sell	01/04/2012	AAPL Jan 21 2012 470.0 Call	100	83.29	10.70			ST	boxC	72.59
01/04/2012		11/23/2011	AAPL Jan 21 2012 490.0 Call	100	4.29	44.70			ST	boxC	-40.41
01/13/2012		01/06/2012	AAPL Jan 21 2012 425.0 Call	100	269.29	547.70			ST	boxC	-278.41
01/25/2012	Short Sell	01/20/2012	AAPL Jan 27 2012 435.0 Call	100	1,174.27	600.70			ST	boxC	573.57
01/25/2012	Short Sell	01/25/2012	AAPL Jan 27 2012 455.0 Call	100	155.30	153.70			ST	boxC	1.60
01/25/2012	Short Sell	01/25/2012	AAPL Jan 27 2012 455.0 Call	100	155.29	106.70			ST	boxC	48.59
01/30/2012	Expired	01/20/2012	AAPL Jan 27 2012 475.0 Call	100	0.00	40.09			ST	boxC	-40.09
03/19/2012	Expired	03/15/2012	AAPL Mar 17 2012 580.0 Put	200	0.00	505.40			ST	boxC	-505.40
03/15/2012	Short Sell	03/15/2012	AAPL Mar 17 2012 570.0 Put	200	166.66	152.48			ST	boxC	14.18
03/16/2012	Short Sell	03/16/2012	AAPL Mar 17 2012 570.0 Put	200	166.65	3.43			ST	boxC	163.22
03/19/2012	Expired	03/15/2012	AAPL Mar 17 2012 565.0 Put	200	0.00	108.10			ST	boxC	-108.10
03/15/2012	Short Sell	03/15/2012	AAPL Mar 17 2012 575.0 Put	200	278.13	310.80			ST	boxC	-32.67
03/19/2012	Short Expired	03/19/2012	AAPL Mar 17 2012 575.0 Put	200	278.13	0.00			ST	boxC	278.13
05/07/2012	Expired	05/01/2012	AAPL May 04 2012 600.0 Call	200	0.00	346.54			ST	boxC	-346.54
05/07/2012	Short Expired	05/07/2012	AAPL May 04 2012 600.0 Call	200	183.46	0.00			ST	boxC	183.46
05/14/2012	Short Expired	05/14/2012	AAPL May 11 2012 590.0 Call	400	669.70	0.00			ST	boxC	669.70
05/14/2012	Expired	05/04/2012	AAPL May 11 2012 585.0 Call	400	0.00	1,045.81			ST	boxC	-1,045.81
05/29/2012	Short Expired	05/29/2012	AAPL May 25 2012 580.0 Call	200	142.46	0.00			ST	boxC	142.46
05/29/2012	Expired	05/24/2012	AAPL May 25 2012 575.0 Call	100	0.00	157.77			ST	boxC	-157.77
05/29/2012	Expired	05/24/2012	AAPL May 25 2012 585.0 Call	100	0.00	44.76			ST	boxC	-44.76
04/10/2012		04/04/2012	ABB LTD (ABB)	100	1,917.20	2,001.23			ST	boxA	-84.03
04/10/2012		02/28/2012	AMERICAN CAPITAL LTD (ACAS)	500	4,120.25	4,495.95			ST	boxA	-375.70
03/09/2012		12/06/2010	ALLIANCE BERNSTEIN INCOME (ACG)	200	1,662.00	1,599.80			LT	boxB	62.20
03/09/2012		08/22/2011	ADVENT CLAYMORE CONVERTIBLE (AGC)	300	2,063.42	2,027.07			ST	boxB	36.35
05/09/2012		02/24/2012	ASHFORD HOSPITALITY TR (AHT)	100	799.18	853.99			ST	boxA	-54.81
05/04/2012		04/04/2012	AIG Aug 18 2012 29.0 Call	400	1,857.10	1,642.85			ST	boxC	214.25
04/23/2012		03/21/2012	AMD Jul 21 2012 10.0 Call	1,500	124.31	310.50			ST	boxC	-186.19
06/01/2012		04/26/2012	AMGEN INC (AMGN)	200	13,533.71	14,155.78			ST	boxA	-622.07
06/08/2012		04/04/2012	AMGN Jul 21 2012 75.0 Call	500	66.43	268.56			ST	boxC	-202.13
03/14/2012		01/30/2012	TD AMERITRADE HOLDING CORP (AMTD)	300	5,833.36	4,842.00			ST	boxA	991.36
03/14/2012		02/23/2012	AMTD May 19 2012 19.0 Call	1,000	942.98	307.00			ST	boxA	635.98
05/14/2012		03/13/2012	AMER MOVIL SA DE CV (AMX)	300	7,406.85	7,145.97			ST	boxA	260.89
04/27/2012	Short Sell	04/27/2012	AMZN Apr 27 2012 180.0 Put	1,000	1,872.34	10.12			ST	boxC	1,862.22
04/27/2012	Short Sell	04/27/2012	AMZN Apr 27 2012 210.0 Call	1,000	1,272.36	17,787.61			ST	boxC	-16,515.25
01/30/2012		01/30/2012	AMZN Feb 03 2012 190.0 Put	100	1,719.31	717.70			ST	boxC	1,001.61
02/01/2012	Short Sell	02/01/2012	AMZN Feb 03 2012 180.0 Put	200	672.58	1,549.08			ST	boxC	-876.50

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	S7/LT	Box	Gain/Loss
02/01/2012		01/30/2012	AMZN Feb 03 2012 170 0 Put	100	157 87	131 70			ST	boxC	26 17
04/27/2012		04/26/2012	AMZN May 04 2012 180 0 Put	500	52 23	1,373.81			ST	boxC	-1,321 58
05/07/2012	Expired	04/26/2012	AMZN May 04 2012 180 0 Put	500	0 00	1,373 80			ST	boxC	-1,373 80
04/27/2012		04/26/2012	AMZN May 04 2012 210 0 Call	1,000	18,251.97	2,047 61			ST	boxC	16,204 36
04/09/2012		04/04/2012	ANN INC (ANN)	100	2,758 93	2,831 91			ST	boxA	-72 98
03/05/2012		02/08/2012	APA Apr 21 2012 105 0 Call	200	868 58	1,049 40			ST	boxC	-180 82
04/04/2012		01/30/2012	ATMEL CORP (ATML)	400	3,737.95	4,021.52			ST	boxA	-283 57
04/05/2012	Short Sell	04/05/2012	BBBY Apr 05 2012 65 0 Put	500	296 19	10 06			ST	boxC	286 13
06/13/2012		04/03/2012	BBBY Apr 21 2012 65 0 Put	500	95 13	518 81			ST	boxC	-423 68
06/26/2012		08/24/2009	BB&T CAPITAL TRUST V 8 95% (BBT-A)	400	10,238.01	10,405.00			LT	boxB	-166 99
05/18/2012		05/05/2010	BARCLAYS BANK PLC 7 7500% S (BCS-C)	200	5,039 92	4,571 99			LT	boxB	467.93
03/06/2012		02/24/2012	BRANDYWINE RLTY TR (BDN)	100	1,110 17	1,100.98			ST	boxA	9 19
03/01/2012		01/30/2012	STRATEGIC HOTELS & RESORTS (BEE)	100	583 08	637 98			ST	boxA	-54 90
05/08/2012		01/31/2012	BMC SOFTWARE INC (BMC)	200	3,507.22	3,392.99			ST	boxA	114.23
03/09/2012		08/16/2011	BLACKROCK INCOME OPPTY TR (BNA)	200	7,829 84	7,117 96			ST	boxA	711 88
04/23/2012		04/04/2012	BRCM Jan 19 2013 47 0 Call	300	2,136 50	1,951.82			ST	boxB	184 68
06/18/2012	Short Expired	06/18/2012	BTU Jun 16 2012 34 0 Call	2,000	237 85	401 14			ST	boxC	-163 29
06/18/2012	Expired	04/23/2012	BTU Jun 16 2012 33 0 Call	2,000	774 75	0 00			ST	boxC	774.75
03/07/2012		02/01/2012	BOISE INC (BZ)	300	0 00	1,195 24			ST	boxC	-1,195.24
06/26/2012		05/06/2010	CITIGROUP CAPITAL XIX 7 25% (C-F)	300	2,263.84	2,375.97			ST	boxA	-112 13
01/09/2012		08/26/2011	CBOE HOLDINGS INC (CBOE)	100	10,119 17	8,607.70			LT	boxB	1,511 47
02/09/2012		02/08/2012	CABOT CORP (CBT)	100	2,478.09	2,433.90			ST	boxA	44 19
02/09/2012		02/08/2012	CBT Apr 21 2012 40 0 Call	200	4,027 55	4,176 64			ST	boxA	-149 09
01/05/2012		11/23/2011	CELLCOM ISRAEL LTD (CEL)	200	390 81	641 40			ST	boxC	-250 59
01/05/2012		06/29/2011	CELLCOM ISRAEL LTD (CEL)	200	3,118.32	3,422 88			ST	boxA	-304.56
03/19/2012	Expired	VARIOUS	CEL Mar 17 2012 17.5 Call	1,200	0 00	5,496.00			ST	boxB	-2,377 68
06/18/2012	Expired	02/29/2012	CE Jun 16 2012 55 0 Call	600	0 00	958 40			ST	boxC	-958 40
06/18/2012	Short Expired	06/18/2012	CE Jun 16 2012 57.5 Call	600	324 65	617 34			ST	boxC	-617 34
05/18/2012	Short Sell	05/18/2012	CF Aug 18 2012 230 0 Call	200	880 45	0 00			ST	boxC	324.65
05/18/2012		04/30/2012	CF Aug 18 2012 200 0 Call	100	244 27	87 43			ST	boxC	793.02
07/17/2012		04/30/2012	CF Aug 18 2012 260 0 Call	100	7 27	1,414.77			ST	boxC	-1,170 50
05/21/2012	Expired	05/04/2012	CF May 19 2012 195 0 Call	300	0 00	113 76			ST	boxC	-106 49
05/21/2012	Short Expired	05/21/2012	CF May 19 2012 200 0 Call	300	294 46	540 04			ST	boxC	-540.04
01/26/2012		01/20/2012	CIGX Feb 18 2012 3 0 Call	200	48 59	0 00			ST	boxC	294.46
02/13/2012		01/20/2012	CIGX Feb 18 2012 3 0 Call	800	474.39	45.60			ST	boxC	37 19
01/23/2012	Expired	12/12/2011	CIGX Jan 21 2012 4 0 Call	1,000	0 00	57 00			ST	boxC	-57.00
06/15/2012		05/14/2012	CIGX Jun 16 2012 4 0 Call	1,000	92 87	57 12			ST	boxC	35 75
02/22/2012		02/13/2012	CIGX Mar 17 2012 3.5 Call	1,200	561 82	428 40			ST	boxC	133.42
05/21/2012	Expired	02/22/2012	CIGX May 19 2012 4 0 Call	1,200	0 00	608 40			ST	boxC	-608 40
04/10/2012		02/24/2012	CHATAM LODGING TRUST (CLDT)	100	1,161.79	1,222.01			ST	boxA	-60 22
02/02/2012		01/26/2012	CMG Feb 03 2012 360 0 Put	200	421 61	1,593 53			ST	boxC	-1,171.92
02/02/2012	Short Sell	02/02/2012	CMG Feb 03 2012 390 0 Call	200	509.07	11 40			ST	boxC	497 67
02/02/2012	Short Sell	02/02/2012	CMG Feb 03 2012 350 0 Put	400	1,888 41	144 00			ST	boxC	1,744.41
02/02/2012		01/26/2012	CMG Feb 03 2012 340 0 Put	200	16 75	554.73			ST	boxC	-537.98
02/02/2012		02/01/2012	CMG Feb 18 2012 390 0 Call	200	268 59	822.76			ST	boxC	-554.17
03/19/2012	Expired	03/12/2012	CMG Mar 17 2012 375 0 Put	100	0 00	37 70			ST	boxC	-37 70
03/19/2012	Short Expired	03/19/2012	CMG Mar 17 2012 385 0 Put	200	171 59	0 00			ST	boxC	171 59
03/19/2012	Expired	03/12/2012	CMG Mar 17 2012 395 0 Put	100	0 00	303.70			ST	boxC	-303 70
04/04/2012		03/14/2012	CMI Jun 16 2012 125 0 Call	100	339 27	720 70			ST	boxC	-381.43
04/23/2012	Expired	04/04/2012	COH Apr 21 2012 75 0 Put	500	0 00	888 81			ST	boxC	-888.81
04/19/2012	Short Sell	04/19/2012	COH Apr 21 2012 72.5 Put	1,000	822 36	50 12			ST	boxC	772.24
04/23/2012	Expired	04/04/2012	COH Apr 21 2012 70 0 Put	500	0 00	203 80			ST	boxC	-203 80
04/27/2012	Short Sell	04/27/2012	COH May 19 2012 70 0 Put	300	316 03	196 27			ST	boxC	119 76
04/24/2012	Short Sell	04/24/2012	COH May 19 2012 75 0 Call	500	1,603 50	308 81			ST	boxC	1,294 69

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
05/01/2012		05/01/2012	COH May 19 2012 75 0 Put	500	1,338.35	953.81			ST	boxC	384.54
04/27/2012		04/24/2012	COH May 19 2012 72.5 Put	300	376.69	602.29			ST	boxC	-225.60
05/04/2012	Short Sell	05/04/2012	COH May 19 2012 72.5 Put	500	431.19	668.85			ST	boxC	-237.66
04/24/2012		04/19/2012	COH May 19 2012 77.5 Call	500	101.19	1,009.80			ST	boxC	-908.61
04/17/2012	Short Sell	04/17/2012	CREE Apr 21 2012 33.0 Call	2,000	1,620.52	2,414.44			ST	boxC	-793.92
04/17/2012		04/16/2012	CREE Apr 21 2012 34.0 Call	2,000	1,575.93	1,121.03			ST	boxC	454.90
04/23/2012	Expired	04/17/2012	CREE Apr 21 2012 34.0 Call	2,000	0.00	1,429.84			ST	boxC	-1,429.84
04/23/2012	Short Expired	04/23/2012	CREE Apr 21 2012 35.0 Call	4,000	1,644.28	0.00			ST	boxC	1,644.28
04/17/2012	Short Sell	04/17/2012	CREE Apr 21 2012 36.0 Call	2,000	0.00	540.03			ST	boxC	-540.03
03/06/2012		04/16/2012	CREE May 19 2012 33.0 Call	2,000	4,004.06	3,104.24			ST	boxC	899.82
05/03/2012		04/17/2012	CREE May 19 2012 34.0 Call	2,000	2,369.11	3,224.63			ST	boxC	-855.52
03/21/2012		02/21/2012	CSCO Apr 21 2012 20.0 Call	500	143.49	473.50			ST	boxC	-330.01
03/21/2012		04/04/2012	CSX Aug 18 2012 17.5 Call	200	998.54	1,011.43			ST	boxC	-12.89
03/15/2012		01/30/2012	COMMUNITY HEALTH SYS INC (CYH)	100	2,266.01	1,899.99			ST	boxA	366.02
04/23/2012	Expired	02/16/2012	C Apr 21 2012 34.0 Call	1,000	3,092.94	1,147.00			ST	boxC	1,945.94
04/23/2012	Short Expired	04/23/2012	C Apr 21 2012 37.0 Call	500	0.00	663.50			ST	boxC	-663.50
04/23/2012	Expired	03/15/2012	C Apr 21 2012 41.0 Call	1,000	587.98	0.00			ST	boxC	587.98
02/14/2012		01/17/2012	C Mar 17 2012 30.0 Call	500	0.00	123.50			ST	boxC	-123.50
06/04/2012		11/15/2011	DCT INDUSTRIAL TRUST INC (DCT)	1,000	3,104.04	1,692.00			ST	boxC	1,412.04
05/04/2012		04/30/2012	DEERE & CO (DE)	400	2,201.99	1,902.74			ST	boxA	299.25
03/05/2012		02/29/2012	DE Jun 16 2012 80.0 Call	100	8,080.99	8,227.50			ST	boxA	-146.51
05/17/2012		02/29/2012	DISCOVER FINANCIAL SERVICES (DFS)	300	1,512.87	1,832.10			ST	boxC	-319.23
05/03/2012		04/30/2012	DNR Jun 16 2012 16.0 Call	100	3,181.95	3,025.00			ST	boxA	156.95
05/17/2012		02/24/2012	DIAMONDROCK HOSPITALITY (DRH)	300	627.84	896.14			ST	boxC	-268.30
04/09/2012		02/26/2010	ENDP May 19 2012 40.0 Call	1,000	998.98	1,033.43			ST	boxA	-34.45
03/12/2012		07/26/2010	WESTERN ASSET EMERGING MARK (ESD)	100	892.85	1,550.22			ST	boxC	-657.37
05/04/2012		04/30/2012	ETFC May 19 2012 9.0 Call	400	2,044.10	1,808.00			LT	boxB	236.10
06/08/2012		05/30/2012	FB Jun 16 2012 30.0 Call	500	409.14	646.85			ST	boxC	-237.71
06/08/2012	Short Sell	06/08/2012	FB Jun 16 2012 32.0 Call	1,000	618.16	82.62			ST	boxC	-567.38
06/08/2012		05/30/2012	FB Jun 16 2012 34.0 Call	500	3.81	148.56			ST	boxC	535.54
02/29/2012		02/24/2012	FELCOR LODGING TR INC (FCH)	200	736.46	799.98			ST	boxA	-63.52
03/12/2012		10/04/2010	FIRST TRUST SENIOR FLOATING (FCT)	200	2,938.14	2,637.98			LT	boxB	300.16
02/14/2012		02/01/2012	FCX May 19 2012 46.0 Call	300	582.88	1,166.10			ST	boxC	-583.22
05/24/2012		05/24/2012	FFIV May 25 2012 105.0 Call	100	340.27	410.72			ST	boxC	-70.45
04/09/2012		04/04/2012	FIDELITY NATIONAL INFORMATI (FIS)	100	3,211.92	3,284.99			ST	boxA	-73.07
03/07/2012		02/29/2012	FLEXTRONICS INTERNATIONAL L (FLEX)	500	3,395.48	3,520.50			ST	boxA	0.00
04/23/2012		03/07/2012	FLEXTRONICS INTERNATIONAL L (FLEX)	500	3,326.27	3,544.57			ST	boxA	-218.30
04/10/2012		04/04/2012	FIDELITY NATIONAL FINANCIAL (FNF)	100	1,763.97	1,809.90			ST	boxA	-45.93
02/29/2012		01/31/2012	FERRO CORP (FOE)	300	1,701.95	2,027.97			ST	boxA	-326.02
02/28/2012		02/24/2012	FIRST POTOMAC REALITY TRUST (FPO)	100	1,346.05	1,422.97			ST	boxA	-76.92
02/14/2012		01/31/2012	FSLR Jun 16 2012 55.0 Call	200	362.59	699.40			ST	boxC	-336.81
02/29/2012	Short Sell	02/29/2012	FSLR Mar 02 2012 40.0 Call	300	225.89	11.10			ST	boxC	214.79
02/14/2012	Short Sell	02/14/2012	FSLR Mar 17 2012 55.0 Call	200	260.59	71.40			ST	boxC	189.19
02/29/2012		02/28/2012	FSLR Mar 17 2012 40.0 Call	300	60.89	453.84			ST	boxC	-392.95
05/17/2012		04/04/2012	FLOTEK INDS INC (FTK)	100	1,145.97	1,209.70			ST	boxA	-63.73
05/08/2012		04/04/2012	FOSTER WHEELER AG (FWLT)	100	2,190.83	2,287.63			ST	boxA	-96.80
01/05/2012	Short Sell	04/30/2012	FWLT May 19 2012 19.0 Call	200	398.56	801.43			ST	boxC	-402.87
01/05/2012		01/05/2012	FXE Jan 21 2012 130.0 Put	1,000	2,542.95	2,937.00			ST	boxC	-394.05
06/08/2012		12/13/2011	FXE Jan 21 2012 129.0 Put	1,000	2,182.95	2,137.00			ST	boxC	45.95
06/08/2012		04/12/2012	GALECTIN THERAPEUTICS INC (GALT)	0.6667	1.29	0.00			ST	boxA	1.29
06/08/2012		06/06/2011	GALECTIN THERAPEUTICS INC (GALT)	33.3333	64.47	264.21			LT	boxB	-199.74
06/08/2012		06/05/2012	GE Aug 18 2012 14.0 Call	500	2,546.38	2,153.56			ST	boxC	392.82
05/15/2012		03/14/2012	GE Jun 16 2012 17.0 Call	200	326.56	611.40			ST	boxC	-284.84
02/29/2012		01/30/2012	GAFISA SA ADR (GFA)	1,000	5,533.90	5,116.10			ST	boxA	417.80

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
05/04/2012		01/30/2012	GERDAU S A SPONS ADR (GGB)	400	3,461.92	3,853.92			ST	boxA	-392.00
01/10/2012		01/09/2012	GLD Feb 18 2012 160.0 Call	2,000	6,785.86	5,835.80			ST	boxC	950.06
01/10/2012	Short Sell	01/10/2012	GLD Feb 18 2012 159.0 Call	2,000	6,607.67	7,814.00			ST	boxC	-1,206.33
01/23/2012	Short Expired	01/23/2012	GLD Jan 21 2012 149.0 Put	900	839.68	0.00			ST	boxC	839.68
01/23/2012	Expired	12/23/2011	GLD Jan 21 2012 151.0 Put	900	0.00	1,203.30			ST	boxC	-1,203.30
06/08/2012		VARIOUS	GENIE ENERGY LTD CL B (GNE)	200	1,402.00	1,888.11			ST	boxA	-486.11
03/01/2012		02/24/2012	GLADSTONE COMMERCIAL CORP (GOOD)	100	1,761.03	1,851.99			ST	boxA	-90.96
03/30/2012		03/28/2012	GOOG Mar 30 2012 645.0 Put	100	229.27	232.14			ST	boxC	-2.87
03/30/2012	Short Sell	03/30/2012	GOOG Mar 30 2012 640.0 Put	100	129.69	5.02			ST	boxC	124.67
03/02/2012		02/01/2012	GT ADVANCED TECHNOLOGIES IN (GTAT)	100	803.98	917.69			ST	boxA	-113.71
03/06/2012		01/31/2012	HALLIBURTON CO (HAL)	100	3,402.93	3,678.56			ST	boxA	-275.63
05/15/2012		05/08/2012	HCA HOLDINGS INC (HCA)	100	2,565.95	2,641.99			ST	boxA	-76.04
02/12/2012		02/29/2012	HES May 19 2012 60.0 Call	100	469.29	745.70			ST	boxC	-276.41
02/14/2012		01/30/2012	HARTFORD FINL SVCS GRP INC (HIG)	200	3,936.08	3,503.96			ST	boxA	432.12
06/26/2012		12/01/2010	HARTFORD FINANCIAL SERVICES (HIG-A)	200	3,625.97	4,730.00			LT	boxB	-1,104.03
05/17/2012		03/14/2012	HIG Jun 16 2012 14.0 Call	200	844.55	1,391.40			ST	boxC	-546.85
05/04/2012		VARIOUS	HEALTH MGMT ASSOC INC CL A (HMA)	700	4,720.99	5,058.54			ST	boxA	-337.55
03/12/2012		07/26/2010	HELIOS MULTI-SECTOR HIGH IN (HMH)	400	2,393.99	1,963.00			LT	boxB	430.99
05/17/2012		03/14/2012	HUNTSMAN CORP (HUN)	200	2,730.63	2,829.98			ST	boxA	-99.35
04/18/2012	Short Sell	04/18/2012	IBM Apr 21 2012 195.0 Put	100	47.23	26.76			ST	boxC	20.47
04/18/2012		04/16/2012	IBM May 19 2012 195.0 Put	100	196.22	203.77			ST	boxC	-7.55
05/09/2012		03/14/2012	INTEL CORP (INTC)	300	8,053.58	8,276.97			ST	boxA	-223.39
04/23/2012	Short Expired	04/23/2012	INTC Apr 21 2012 30.0 Call	1,500	93.56	0.00			ST	boxC	93.56
05/02/2012		04/16/2012	INTC May 19 2012 30.0 Call	1,500	214.31	340.68			ST	boxC	-126.37
03/21/2012		03/14/2012	JABIL CIRCUIT INC (JBL)	100	2,583.05	2,725.86			ST	boxA	-142.81
04/09/2012		04/04/2012	JOHNSON CTLS INC (JCI)	100	3,095.93	3,206.99			ST	boxA	-111.06
03/06/2012		02/29/2012	JCI Jul 21 2012 32.0 Call	500	1,071.48	1,428.50			ST	boxC	-357.02
05/16/2012		05/15/2012	JCP Jun 16 2012 36.0 Call	2,000	105.75	2,161.44			ST	boxC	-2,055.69
05/16/2012		05/15/2012	JCP Jun 16 2012 30.0 Put	2,000	5,634.03	2,109.64			ST	boxC	3,524.39
05/16/2012	Short Sell	05/16/2012	JCP May 19 2012 30.0 Put	500	299.69	1,183.56			ST	boxC	-883.87
05/16/2012	Short Sell	05/16/2012	JCP May 19 2012 30.0 Put	500	299.68	1,178.56			ST	boxC	-878.88
05/16/2012	Short Sell	05/16/2012	JCP May 19 2012 30.0 Put	500	299.69	1,203.56			ST	boxC	-903.87
05/16/2012	Short Sell	05/16/2012	JCP May 19 2012 30.0 Put	500	299.68	1,173.56			ST	boxC	-873.88
05/16/2012	Short Sell	05/16/2012	JCP May 19 2012 36.0 Call	2,000	1,253.34	40.24			ST	boxC	1,213.10
03/12/2012		11/16/2010	NUVEEN QUALITY PREFERRED IN (JHP)	200	1,703.98	1,497.98			LT	boxB	206.00
02/29/2012		02/27/2012	JOY Mar 02 2012 90.0 Put	200	904.58	431.40			ST	boxC	473.18
02/29/2012	Short Sell	02/29/2012	JOY Mar 02 2012 85.0 Put	400	273.19	350.80			ST	boxC	-77.61
02/29/2012		02/27/2012	JOY Mar 02 2012 80.0 Put	200	2.59	41.40			ST	boxC	-38.81
03/12/2012		11/16/2010	NUVEEN QUALITY PREFERRED II (JPS)	200	1,730.48	1,537.60			LT	boxB	192.88
02/16/2012		02/01/2012	KRONOS WORLDWIDE INC (KRO)	200	4,422.34	4,867.94			ST	boxA	-445.60
02/07/2012		01/31/2012	LINCARE HOLDINGS INC (LNCR)	200	4,926.06	5,147.08			ST	boxA	-221.02
05/04/2012		05/03/2012	LNKD Jun 16 2012 90.0 Put	500	551.27	2,210.01			ST	boxC	-1,658.74
05/04/2012		05/03/2012	LNKD Jun 16 2012 120.0 Call	500	3,731.80	2,297.91			ST	boxC	1,433.89
05/04/2012	Short Sell	05/04/2012	LNKD May 19 2012 90.0 Put	500	1,432.36	171.95			ST	boxC	1,260.41
05/04/2012	Short Sell	05/04/2012	LNKD May 19 2012 120.0 Call	500	1,339.36	2,325.15			ST	boxC	-985.79
04/04/2012		03/13/2012	LSI CORP (LSI)	500	4,163.95	4,280.95			ST	boxA	-117.00
06/07/2012	Short Sell	06/07/2012	LULU Jun 08 2012 75.0 Call	300	291.64	6.55			ST	boxC	285.09
06/06/2012		05/24/2012	LULU Jun 16 2012 55.0 Put	300	50.71	153.28			ST	boxC	-102.57
06/07/2012		06/06/2012	LULU Jun 16 2012 65.0 Put	300	753.87	608.29			ST	boxC	145.58
06/07/2012		06/06/2012	LULU Jun 16 2012 75.0 Call	300	29.35	492.67			ST	boxC	-463.32
06/06/2012		05/24/2012	LULU Jun 16 2012 70.0 Put	300	762.90	983.29			ST	boxC	-220.39
06/06/2012	Short Sell	06/06/2012	LULU Jun 16 2012 62.5 Put	300	363.70	228.52			ST	boxC	135.18
06/06/2012	Short Sell	06/06/2012	LULU Jun 16 2012 62.5 Put	300	363.70	233.29			ST	boxC	130.41
03/05/2012		03/05/2012	LULU Mar 09 2012 67.5 Put	300	380.57	164.76			ST	boxC	215.81
03/22/2012		03/21/2012	LULU Mar 23 2012 75.0 Put	200	298.59	579.40			ST	boxC	-280.81

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
03/22/2012	Short Sell	03/22/2012	LULU Mar 23 2012 70.0 Put	400	377.19	42.80			ST	boxC	334.39
03/26/2012	Expired	03/21/2012	LULU Mar 23 2012 65.0 Put	200	0.00	43.40			ST	boxC	-43.40
04/19/2012		04/19/2012	LULU May 19 2012 72.5 Put	500	1,226.16	1,203.51			ST	boxC	22.65
04/24/2012	Short Sell	04/24/2012	LULU May 19 2012 70.0 Put	500	901.41	783.80			ST	boxC	117.61
04/26/2012	Short Sell	04/26/2012	LVS Apr 27 2012 62.5 Call	200	56.47	4.03			ST	boxC	52.44
04/26/2012	Short Sell	04/26/2012	LVS Apr 27 2012 55.0 Put	200	78.56	37.43			ST	boxC	41.13
04/26/2012		04/25/2012	LVS May 19 2012 55.0 Put	400	611.13	555.48			ST	boxC	55.65
04/26/2012		04/25/2012	LVS May 19 2012 62.5 Call	200	60.56	233.53			ST	boxC	-172.97
04/09/2012	Expired	03/29/2012	MCD Apr 05 2012 95.0 Put	800	0.00	112.33			ST	boxC	-112.33
03/29/2012		03/27/2012	MCD Mar 30 2012 97.5 Put	800	282.29	357.70			ST	boxC	-75.41
03/12/2012		02/14/2011	MFS CHARTER INCOME TRUST (MCR)	200	1,933.98	1,839.98			LT	boxB	94.00
03/14/2012		03/14/2012	MET Jun 16 2012 38.0 Call	400	701.18	870.80			ST	boxC	-169.62
03/19/2012	Expired	10/26/2011	MGLQ Mar 17 2012 4.0 Call	700	0.00	249.90			ST	boxC	-249.90
04/04/2012		03/20/2012	MAGNA INTERNATIONAL INC CL (MGA)	100	4,658.47	4,728.90			ST	boxA	-70.43
04/05/2012		02/29/2012	MOS Jun 16 2012 57.5 Call	100	138.27	450.70			ST	boxC	-312.43
02/10/2012		01/30/2012	MOS Jun 16 2012 62.5 Call	400	669.18	946.80			ST	boxC	-277.62
02/10/2012	Short Sell	02/10/2012	MOS Mar 17 2012 62.5 Call	400	257.19	110.80			ST	boxC	146.39
02/28/2012		02/24/2012	MPG OFFICE TRUST INC (MPG)	200	460.01	513.98			ST	boxA	-53.97
02/13/2012		01/31/2012	MARVELL TECH GRP LTD (MRVL)	300	4,716.23	4,709.94			ST	boxA	6.29
04/10/2012		03/14/2012	ARCELOMITTAL (MT)	200	3,699.93	4,036.00			ST	boxA	-336.07
04/05/2012		03/14/2012	MERITOR INC (MTOR)	400	2,858.65	3,033.28			ST	boxA	-174.63
06/06/2012		04/04/2012	MYLAN INC (MYL)	200	4,570.09	4,635.98			ST	boxA	-65.89
06/06/2012		05/24/2012	M Jun 16 2012 39.0 Call	500	61.19	303.80			ST	boxC	-242.61
06/06/2012	Short Sell	06/06/2012	M Jun 16 2012 38.0 Call	500	481.17	218.81			ST	boxC	262.36
06/08/2012		05/24/2012	M Jun 16 2012 36.0 Put	600	199.41	448.58			ST	boxC	-249.17
06/08/2012	Short Sell	06/08/2012	M Jun 16 2012 34.0 Put	1,200	368.84	105.15			ST	boxC	263.69
06/08/2012		05/24/2012	M Jun 16 2012 32.0 Put	600	2.58	104.57			ST	boxC	-101.99
01/23/2012		08/12/2011	NATIONAL BANK OF GREECE SA (NBG-A)	200	1,129.59	1,385.94			ST	boxB	-256.35
04/24/2012	Short Sell	04/24/2012	NFLX Apr 27 2012 125.0 Call	400	403.51	9.85			ST	boxC	393.66
01/26/2012		01/24/2012	NFLX Jan 27 2012 100.0 Call	100	1,694.26	400.70			ST	boxC	1,293.56
01/27/2012		01/24/2012	NFLX Jan 27 2012 100.0 Call	100	1,838.43	400.70			ST	boxC	1,437.73
01/26/2012	Short Sell	01/26/2012	NFLX Jan 27 2012 110.0 Call	200	328.59	1,507.40			ST	boxC	-1,178.81
01/27/2012	Short Sell	01/27/2012	NFLX Jan 27 2012 110.0 Call	200	328.59	1,401.40			ST	boxC	-1,072.81
01/26/2012		01/24/2012	NFLX Jan 27 2012 120.0 Call	100	124.29	62.70			ST	boxC	61.59
01/27/2012		01/24/2012	NFLX Jan 27 2012 120.0 Call	100	34.29	62.70			ST	boxC	-28.41
01/26/2012	Short Sell	01/26/2012	NFLX Mar 17 2012 105.0 Call	100	244.29	1,528.70			ST	boxC	-1,284.41
01/31/2012	Short Sell	01/31/2012	NFLX Mar 17 2012 105.0 Call	400	977.18	8,502.80			ST	boxC	-7,525.62
01/26/2012		12/05/2011	NFLX Mar 17 2012 100.0 Call	100	1,857.26	316.70			ST	boxC	1,540.56
01/31/2012		12/05/2011	NFLX Mar 17 2012 100.0 Call	400	9,973.00	1,266.80			ST	boxC	8,706.20
04/24/2012		04/23/2012	NFLX May 19 2012 125.0 Call	400	26.18	777.89			ST	boxC	-751.71
03/12/2012		08/16/2011	AGIC EQUITY & CONVERTIBLE I (NIE)	100	1,770.97	1,644.82			ST	boxB	126.15
03/23/2012		03/20/2012	NKE Apr 21 2012 105.0 Put	200	190.56	235.40			ST	boxC	-44.84
03/23/2012		03/20/2012	NKE Apr 21 2012 120.0 Call	200	18.56	173.08			ST	boxC	-154.52
03/23/2012	Short Sell	03/23/2012	NKE Mar 23 2012 120.0 Call	200	90.59	5.43			ST	boxC	85.16
03/23/2012	Short Sell	03/23/2012	NKE Mar 23 2012 105.0 Put	200	136.59	5.43			ST	boxC	131.16
03/29/2012		03/12/2012	NOC Apr 21 2012 55.0 Call	300	1,513.94	1,772.10			ST	boxC	-258.16
03/12/2012		01/30/2012	NOC Mar 17 2012 60.0 Call	300	237.89	224.49			ST	boxC	13.40
05/24/2012		05/23/2012	NTAP Jun 16 2012 37.0 Call	1,000	36.67	717.62			ST	boxC	-680.95
05/24/2012		05/23/2012	NTAP Jun 16 2012 31.0 Put	1,000	2,682.41	1,597.12			ST	boxC	1,085.29
05/24/2012		05/23/2012	NTAP Jun 16 2012 29.0 Put	500	653.37	501.31			ST	boxC	152.06
05/24/2012	Short Sell	05/24/2012	NTAP May 25 2012 37.0 Call	1,000	362.38	10.12			ST	boxC	352.26
05/24/2012	Short Sell	05/24/2012	NTAP May 25 2012 31.0 Put	1,000	1,195.35	2,361.41			ST	boxC	-1,166.06
05/24/2012	Short Sell	05/24/2012	NTAP May 25 2012 29.0 Put	500	275.44	453.56			ST	boxC	-178.12
05/11/2012		05/10/2012	NVDA Jun 16 2012 13.0 Call	1,000	812.86	504.32			ST	boxC	308.54
05/11/2012	Short Sell	05/11/2012	NVDA May 19 2012 13.0 Call	1,000	280.07	557.12			ST	boxC	-277.05

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
04/04/2012		04/04/2012	NYX Jun 16 2012 27.0 Call	300	741.84	833.08			ST	boxC	-91.24
05/15/2012		03/13/2012	OI SA (OIBR)	321	4,211.17	4,628.80			ST	boxA	-417.63
04/09/2012		03/13/2012	OI SA (OIBR C)	0.95	5.54	5.83			ST	boxA	-0.29
04/12/2012		03/13/2012	OI SA (OIBR C)	93	531.65	570.84			ST	boxA	-39.19
04/09/2012		04/04/2012	OFFICEMAX INC (OMX)	1,000	5,153.88	5,475.90			ST	boxA	-322.02
04/23/2012 Expired		04/16/2012	PCLN Apr 21 2012 670.0 Put	500	0.00	1,608.81			ST	boxC	-1,608.81
04/23/2012 Short Expired		04/23/2012	PCLN Apr 21 2012 660.0 Put	1,000	2,033.43	0.00			ST	boxC	2,033.43
04/28/2012 Expired		04/16/2012	PCLN Apr 21 2012 650.0 Put	500	0.00	703.80			ST	boxC	-703.80
02/28/2012 Short Sell		02/28/2012	PCLN Mar 02 2012 630.0 Call	200	1,218.75	1,793.64			ST	boxC	-574.89
03/05/2012 Expired		02/27/2012	PCLN Mar 02 2012 540.0 Put	200	0.00	473.40			ST	boxC	-473.40
03/05/2012 Short Expired		03/05/2012	PCLN Mar 02 2012 545.0 Put	200	634.58	0.00			ST	boxC	634.58
02/28/2012		02/27/2012	PCLN Mar 02 2012 635.0 Call	200	1,300.81	1,003.76			ST	boxC	297.05
04/10/2012		01/31/2012	METROPSC COMMUNICATIONS INC (PCS)	200	1,665.98	1,769.80			ST	boxA	-103.82
06/08/2012		03/13/2012	PDL BIOPHARMA INC (PDLI)	400	2,538.50	2,497.60			ST	boxA	40.90
03/02/2012		02/24/2012	PARKWAY PPTYS INC (PKY)	100	965.99	1,034.22			ST	boxA	-68.23
06/13/2012		11/03/2009	PNC CAPITAL TRUST C 7.75000 (PNH)	200	5,133.90	4,822.50			LT	boxB	311.40
06/26/2012		11/03/2009	PNC CAPITAL TRUST C 7.75000 (PNH)	100	2,569.02	2,411.25			LT	boxB	157.77
06/26/2012		11/03/2009	PNC CAPITAL TRUST C 7.75000 (PNH)	100	2,574.95	2,411.25			LT	boxB	163.70
04/23/2012 Expired		03/16/2012	PNRA Apr 21 2012 150.0 Put	200	0.00	233.40			ST	boxC	-233.40
04/19/2012 Short Sell		04/19/2012	PNRA Apr 21 2012 155.0 Put	400	844.82	1,161.04			ST	boxC	-316.22
04/19/2012		03/16/2012	PNRA Apr 21 2012 160.0 Put	200	1,456.43	764.58			ST	boxC	691.85
04/25/2012 Short Sell		04/25/2012	PNRA Jun 16 2012 160.0 Call	200	634.45	1,247.53			ST	boxC	-613.08
04/25/2012 Short Sell		04/25/2012	PNRA Jun 16 2012 140.0 Put	200	810.45	192.37			ST	boxC	618.08
04/25/2012		04/24/2012	PNRA May 19 2012 160.0 Call	200	820.46	417.52			ST	boxC	402.94
04/25/2012		04/24/2012	PNRA May 19 2012 140.0 Put	200	48.63	569.52			ST	boxC	-520.89
03/06/2012		02/29/2012	POT Jun 16 2012 45.0 Call	200	465.55	811.40			ST	boxC	-345.85
05/21/2012		04/30/2012	POLYPORE INTERNATIONAL INC (PPO)	100	3,552.16	3,681.86			ST	boxA	-129.70
04/30/2012		01/31/2012	PERFECT WORLD CO LTD (PWRD)	200	2,445.18	2,208.02			ST	boxA	237.16
03/19/2012 Expired		03/15/2012	PWRD Mar 17 2012 12.0 Put	200	0.00	71.40			ST	boxC	-71.40
03/26/2012		03/14/2012	RDC Jul 21 2012 38.0 Call	500	391.43	968.50			ST	boxC	-577.07
03/26/2012 Short Sell		03/26/2012	RDC Jul 21 2012 40.0 Call	500	586.48	233.96			ST	boxC	352.52
03/30/2012 Short Sell		03/30/2012	RIMM Mar 30 2012 14.0 Put	1,000	1,081.26	147.12			ST	boxC	934.14
03/30/2012 Short Sell		03/30/2012	RIMM Mar 30 2012 14.0 Call	1,000	703.06	213.52			ST	boxC	489.54
03/30/2012		03/29/2012	RIMM Mar 30 2012 15.0 Call	1,000	12.87	375.01			ST	boxC	-362.14
04/01/2012 Expired		03/29/2012	RIMM Mar 30 2012 13.0 Put	1,000	0.00	621.32			ST	boxC	-621.32
04/10/2012		04/04/2012	RIO Jul 21 2012 52.5 Call	100	459.26	519.98			ST	boxC	-60.72
03/15/2012 Short Sell		03/15/2012	ROST Mar 17 2012 52.5 Put	500	72.14	29.95			ST	boxC	42.19
03/15/2012		03/14/2012	ROST Mar 17 2012 55.0 Put	500	145.04	278.50			ST	boxC	-133.46
03/19/2012 Expired		03/12/2012	SBUX Mar 17 2012 50.0 Put	1,000	0.00	207.00			ST	boxC	-207.00
03/12/2012		07/26/2010	WESTERN ASSET WORLDWIDE (SBW)	100	1,454.48	1,317.99			LT	boxB	136.49
02/28/2012		10/25/2011	SOUTHERN COPPER CORP (SCCO)	0.07	2.30	2.01			ST	boxA	0.29
03/05/2012		10/25/2011	SOUTHERN COPPER CORP (SCCO)	100	3,111.34	2,868.21			ST	boxA	243.13
03/05/2012		10/25/2011	SOUTHERN COPPER CORP (SCCO)	1	25.28	28.68			ST	boxA	-3.40
03/12/2012		07/26/2010	LMP CAPITAL AND INCOME FUND (SCD)	200	2,682.03	2,060.00			LT	boxB	622.03
03/06/2012		02/24/2012	SUNSTONE HOTEL INVESTORS IN (SHO)	100	864.99	933.73			ST	boxA	-68.74
06/08/2012		11/06/2009	SIRIUS XM RADIO INC (SIRI)	500	930.03	315.35			LT	boxB	614.68
05/03/2012		05/03/2012	SLB Jun 16 2012 65.0 Call	400	3,237.07	3,382.85			ST	boxC	-145.78
05/03/2012		04/30/2012	SLB May 04 2012 70.0 Call	400	1,161.12	1,562.85			ST	boxC	-401.73
02/27/2012 Expired		02/23/2012	SLV Feb 24 2012 35.0 Call	2,000	0.00	160.80			ST	boxC	-160.80
05/03/2012		04/30/2012	SOHU COM INC (SOHU)	100	4,879.79	5,131.00			ST	boxA	-251.21
04/04/2012		03/13/2012	SOHU Jun 16 2012 57.5 Call	300	762.84	932.10			ST	boxC	-169.26
03/15/2012		03/14/2012	SPLS Jun 16 2012 16.0 Call	2,000	2,125.96	1,594.00			ST	boxC	531.96
04/04/2012		03/15/2012	SPLS Jun 16 2012 17.0 Call	3,000	1,178.61	1,811.10			ST	boxC	-632.49
03/14/2012		02/29/2012	SPLS Jun 16 2012 13.0 Call	1,000	2,992.94	2,007.00			ST	boxC	985.94
04/16/2012		04/04/2012	SPRD Aug 18 2012 15.0 Call	400	717.13	1,082.85			ST	boxC	-365.72

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
04/27/2012		04/26/2012	SSO Apr 27 2012 58 0 Call	2,000	45.75	461.44			ST	boxC	-415.69
06/08/2012		06/04/2012	SSO Jun 08 2012 43 0 Call	1,000	7,992.70	4,657.12			ST	boxC	3,335.58
03/08/2012		03/06/2012	SSO Mar 09 2012 55 0 Call	300	63.89	41.10			ST	boxC	22.79
03/09/2012		03/06/2012	SSO Mar 09 2012 55 0 Call	200	62.59	27.40			ST	boxC	35.19
03/06/2012		02/29/2012	STEEL DYNAMICS INC (STLD)	500	7,143.92	7,475.95			ST	boxA	-332.03
04/04/2012		03/14/2012	STMICROELECTRONICS NV (STM)	400	3,009.93	3,165.96			ST	boxA	-156.03
04/23/2012	Expired	02/01/2012	SWC Apr 21 2012 15 0 Call	400	0.00	362.80			ST	boxC	-362.80
03/22/2012		03/21/2012	STANLEY BLACK & DECKER INC (SWK)	100	7,684.21	7,899.99			ST	boxA	-215.78
04/23/2012	Short Expired	04/23/2012	SWK Apr 21 2012 85 0 Call	500	66.43	0.00			ST	boxC	66.43
04/23/2012	Expired	03/26/2012	SWK Apr 21 2012 82.5 Call	500	0.00	278.56			ST	boxC	-278.56
06/08/2012		03/14/2012	SUNCOKE ENERGY INC (SXC)	100	1,392.10	1,437.88			ST	boxA	-45.58
04/09/2012		04/04/2012	SYK Jun 16 2012 50 0 Call	200	958.54	1,161.19			ST	boxC	-202.65
01/19/2012		07/20/2011	ULTRASHORT LEHMAN 20+ YEAR (TBT)	1,000	18,613.64	32,816.00			ST	boxB	-14,202.36
01/19/2012		07/29/2011	ULTRASHORT LEHMAN 20+ YEAR (TBT)	800	14,953.71	25,412.79			ST	boxB	-10,459.08
01/19/2012		07/29/2011	ULTRASHORT LEHMAN 20+ YEAR (TBT)	500	9,390.56	15,917.80			ST	boxB	-6,527.24
01/19/2012		07/29/2011	ULTRASHORT LEHMAN 20+ YEAR (TBT)	500	9,408.86	15,739.40			ST	boxB	-6,330.54
04/23/2012	Expired	VARIOUS	TBT Apr 21 2012 19 0 Call	3,000	0.00	171.36			ST	boxC	-171.36
04/23/2012	Expired	03/30/2012	TBT Apr 21 2012 20 0 Call	3,000	0.00	1,312.86			ST	boxC	-1,312.86
04/02/2012		03/01/2012	TBT Apr 21 2012 15 0 Call	2,000	10,594.13	8,297.20			ST	boxC	2,296.93
04/02/2012		03/02/2012	TBT Apr 21 2012 15 0 Call	3,000	15,897.39	11,871.02			ST	boxC	4,026.37
06/08/2012	Short Sell	06/08/2012	TBT Dec 22 2012 17 0 Put	2,000	2,284.70	4,915.24			ST	boxC	-2,630.54
06/08/2012		05/08/2012	TBT Dec 22 2012 16 0 Put	2,000	3,594.68	1,565.23			ST	boxC	2,029.45
01/01/2012	Expired	12/28/2011	TBT Dec 30 2011 19 0 Call	2,500	0.00	92.50			ST	boxC	-92.50
02/03/2012		01/31/2012	TBT Feb 03 2012 19 0 Call	1,000	202.99	66.50			ST	boxC	136.49
02/07/2012		01/10/2012	TBT Feb 18 2012 14 0 Call	1,000	5,282.89	4,957.00			ST	boxC	325.89
02/03/2012		01/25/2012	TBT Feb 18 2012 18 0 Put	2,000	185.99	634.00	W		ST	boxC	-224.00
02/07/2012		02/06/2012	TBT Feb 18 2012 18 0 Put	1,000	63.09	361.01			ST	boxC	-297.92
02/07/2012		01/10/2012	TBT Feb 18 2012 15 0 Call	1,000	4,282.91	3,977.00			ST	boxC	305.91
02/07/2012	Short Sell	02/03/2012	TBT Feb 18 2012 19 0 Put	2,000	1,425.97	774.00			ST	boxC	651.97
02/07/2012	Short Sell	02/07/2012	TBT Feb 18 2012 19 0 Put	1,000	472.99	357.00			ST	boxC	115.99
02/17/2012		02/14/2012	TBT Feb 18 2012 19 0 Call	500	196.49	83.50			ST	boxC	112.99
02/21/2012	Expired	01/13/2012	TBT Feb 18 2012 20 0 Call	2,000	0.00	414.00			ST	boxC	-414.00
02/27/2012	Expired	02/24/2012	TBT Feb 24 2012 19 0 Call	2,000	0.00	104.00			ST	boxC	-104.00
01/04/2012		01/03/2012	TBT Jan 06 2012 19 0 Call	300	75.89	29.10			ST	boxC	46.79
01/09/2012	Expired	01/03/2012	TBT Jan 06 2012 19 0 Call	700	0.00	67.90			ST	boxC	-67.90
01/23/2012	Expired	12/30/2011	TBT Jan 21 2012 17 0 Put	1,000	0.00	237.00			ST	boxC	-237.00
01/19/2012	Short Sell	01/19/2012	TBT Jan 21 2012 18 0 Put	1,000	597.98	27.00			ST	boxC	570.98
01/10/2012		12/02/2011	TBT Jan 21 2012 14 0 Call	1,000	4,932.90	5,407.00			ST	boxC	-474.10
01/10/2012		11/28/2011	TBT Jan 21 2012 15 0 Call	1,000	3,932.92	3,832.00			ST	boxC	100.92
05/21/2012		05/17/2012	TBT Jun 16 2012 17 0 Call	9,000	3,029.06	4,289.08			ST	boxC	-1,260.02
06/08/2012		05/21/2012	TBT Jun 16 2012 16 0 Call	9,000	1,195.89	7,676.28			ST	boxC	-6,480.39
02/29/2012		02/28/2012	TBT Mar 02 2012 19 0 Call	500	57.04	33.50			ST	boxC	23.54
03/01/2012		02/28/2012	TBT Mar 02 2012 19 0 Call	500	151.49	33.50			ST	boxC	117.99
03/05/2012	Expired	03/02/2012	TBT Mar 02 2012 19 0 Call	1,000	0.00	37.00			ST	boxC	-37.00
03/08/2012		03/06/2012	TBT Mar 09 2012 19 0 Call	500	136.49	78.50			ST	boxC	57.99
03/09/2012		03/06/2012	TBT Mar 09 2012 19 0 Call	100	29.29	15.70			ST	boxC	13.59
03/02/2012		02/07/2012	TBT Mar 17 2012 15 0 Call	400	165.75	62.80			ST	boxC	102.95
03/01/2012		02/07/2012	TBT Mar 17 2012 14 0 Call	2,800	13,756.15	13,319.60			ST	boxC	436.55
03/01/2012		VARIOUS	TBT Mar 23 2012 20 0 Call	2,000	8,211.25	8,654.00			ST	boxC	-442.75
03/23/2012		03/28/2012	TBT Mar 30 2012 19 0 Call	3,000	2,247.09	883.02			ST	boxC	-615.47
03/30/2012		03/28/2012	TBT May 19 2012 17 0 Call	5,000	0.00	3,021.36			ST	boxC	-774.27
05/21/2012	Expired	VARIOUS	TBT May 19 2012 16 0 Call	5,000	2,596.34	6,995.60			ST	boxC	-6,995.60
05/18/2012		04/23/2012	TBT Sep 22 2012 21 0 Call	5,000	0.00	21,645.10			ST	boxC	-19,048.76
09/24/2012	Expired	09/24/2012	TBT Sep 22 2012 21 0 Call	2,000	0.00	1,214.24			ST	boxC	-1,214.24
09/24/2012	Short Expired	09/24/2012	TBT Sep 22 2012 22 0 Call	2,000	865.74	0.00			ST	boxC	865.74

Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Cost	Code	Gain/Loss Adjustment	ST/LT	Box	Gain/Loss
06/08/2012		05/14/2012	TBT Sep 22 2012 16.0 Put	2,000	2,794.70	1,545.23			ST	boxC	1,249.47
06/08/2012	Short Sell	06/08/2012	TBT Sep 22 2012 17.0 Put	2,000	2,344.70	4,155.24			ST	boxC	-1,810.54
02/01/2012		02/01/2012	TECK RESOURCES LTD CL B (TCK)	100	3,929.96	4,277.06			ST	boxA	-347.10
06/07/2012		06/06/2012	TEMPUR-PEDIC INTL (TPX)	100	2,110.85	2,224.00			ST	boxA	-113.15
02/17/2012		02/01/2012	TERNIUM SA (TX)	100	2,128.70	2,319.89			ST	boxA	-191.19
03/26/2012		03/13/2012	UNG Apr 21 2012 19.0 Call	1,000	282.87	888.30			ST	boxC	-605.43
01/23/2012	Expired	12/22/2011	UNG Jan 21 2012 8.0 Call	600	0.00	28.20			ST	boxC	-28.20
01/11/2012	Short Sell	01/11/2012	UNG Jan 21 2012 7.0 Call	1,200	279.59	32.40			ST	boxC	247.19
01/23/2012	Expired	12/22/2011	UNG Jan 21 2012 6.0 Call	600	0.00	538.20			ST	boxC	-538.20
06/07/2012		06/05/2012	UNG Jul 21 2012 18.0 Call	1,000	392.87	827.12			ST	boxC	-434.25
06/01/2012		05/29/2012	UNG Jun 16 2012 13.0 Call	400	1,377.11	1,722.85			ST	boxC	-345.74
05/17/2012		04/26/2012	UNG May 19 2012 18.0 Call	500	141.43	123.81			ST	boxC	17.62
05/21/2012	Expired	05/16/2012	UNG May 19 2012 21.0 Call	1,000	0.00	17.12			ST	boxC	-17.12
05/21/2012	Short Expired	05/21/2012	UNG May 19 2012 22.0 Call	1,000	12.37	0.00			ST	boxC	12.37
05/21/2012	Expired	04/26/2012	UNG May 19 2012 26.0 Call	500	0.00	28.80			ST	boxC	-28.80
04/23/2012	Expired	03/14/2012	USO Apr 21 2012 55.0 Call	1,500	0.00	100.50			ST	boxC	-100.50
04/23/2012	Expired	03/14/2012	USO Apr 21 2012 56.0 Call	500	0.00	28.50			ST	boxC	-28.50
02/21/2012	Expired	01/17/2012	USO Feb 18 2012 55.0 Call	3,000	0.00	111.00			ST	boxC	-111.00
07/23/2012	Expired	12/12/2011	USO Jan 21 2012 60.0 Call	2,500	0.00	92.50			ST	boxC	-92.50
07/23/2012	Expired	05/23/2012	USO Jul 21 2012 50.0 Call	1,500	0.00	40.76			ST	boxC	-40.76
07/23/2012	Expired	05/23/2012	USO Jul 21 2012 52.0 Call	500	0.00	13.60			ST	boxC	-13.60
06/18/2012	Expired	05/09/2012	USO Jun 16 2012 48.0 Call	2,000	0.00	54.24			ST	boxC	-54.24
03/19/2012	Expired	02/06/2012	USO Mar 17 2012 56.0 Call	500	0.00	18.50			ST	boxC	-18.50
03/19/2012	Expired	VARIOUS	USO Mar 17 2012 55.0 Call	2,600	0.00	96.91			ST	boxC	-96.91
05/21/2012	Expired	04/16/2012	USO May 19 2012 52.0 Call	2,000	0.00	54.24			ST	boxC	-54.24
03/22/2012		01/30/2012	VEECO INSTRS INC (VECO)	100	2,911.25	2,433.00			ST	boxA	478.25
06/08/2012		06/01/2012	VZ Jun 16 2012 38.0 Call	500	2,076.39	1,593.56			ST	boxC	482.83
03/05/2012	Expired	02/29/2012	V Mar 02 2012 115.0 Put	1,000	0.00	277.00			ST	boxC	-277.00
03/02/2012		01/30/2012	WELLCARE HEALTH PLANS INC (WCG)	100	6,633.84	5,868.19			ST	boxA	775.65
02/07/2012		01/10/2012	WLT Feb 18 2012 70.0 Call	100	509.29	208.70			ST	boxC	300.59
01/31/2012	Short Sell	01/31/2012	WLT Feb 18 2012 80.0 Call	100	101.29	92.70			ST	boxC	8.59
06/18/2012	Expired	04/19/2012	WLT Jun 16 2012 75.0 Call	300	0.00	671.83			ST	boxC	-671.83
06/18/2012	Short Expired	06/18/2012	WLT Jun 16 2012 90.0 Call	600	272.55	0.00			ST	boxC	272.55
06/18/2012	Expired	04/19/2012	WLT Jun 16 2012 105.0 Call	300	0.00	53.89			ST	boxC	-53.89
03/19/2012	Expired	02/13/2012	WLT Mar 17 2012 105.0 Call	400	0.00	88.76			ST	boxC	-88.76
03/19/2012	Short Expired	03/19/2012	WLT Mar 17 2012 95.0 Call	800	412.39	0.00			ST	boxC	412.39
03/19/2012	Expired	02/13/2012	WLT Mar 17 2012 85.0 Call	400	0.00	632.24			ST	boxC	-632.24
06/18/2012	Expired	03/28/2012	XHB Jun 16 2012 26.0 Call	2,500	0.00	142.80			ST	boxC	-142.80
04/04/2012		VARIOUS	XEROX CORP (XRX)	800	6,297.85	6,684.44			ST	boxA	-386.59
04/16/2012	Expired	04/16/2012	YHOO Apr 21 2012 14.0 Put	1,000	0.00	57.12			ST	boxC	-57.12
05/08/2012		04/04/2012	ZAGG INC (ZAGG)	100	1,042.06	1,116.99			ST	boxA	-74.93
06/26/2012		VARIOUS	ZIONS BANCORPORATION 9.5000 (ZB-C)	400	10,461.48	8,404.30			LT	boxB	2,057.18
03/19/2012	Expired	01/27/2012	ZSL Mar 17 2012 14.0 Call	2,000	0.00	654.00			ST	boxC	-654.00
03/19/2012	Short Expired	03/19/2012	ZSL Mar 17 2012 15.0 Call	2,000	445.99	0.00			ST	boxC	445.99

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT	
AMERITRADE	2,854.	0.	2,854.	
AMERITRADE	1,199.	0.	1,199.	
FIDELITY INVESTMENTS	1,344.	0.	1,344.	
FIDELITY INVESTMENTS	2.	0.	2.	
TOTAL TO FM 990-PF, PART I, LN 4	5,399.	0.	5,399.	

FORM 990-PF	OTHER INCOME		STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
AMERITRADE - SUBSTITUTE PAYMENTS IN LIEU OF DIVIDENDS OR INTEREST	90.	90.		
TOTAL TO FORM 990-PF, PART I, LINE 11	90.	90.		

FORM 990-PF	ACCOUNTING FEES		STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	585.	292.		293.
TO FORM 990-PF, PG 1, LN 16B	585.	292.		293.

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX PAID	50.	50.		0.	
EXCISE TAX	60.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	110.	50.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MANAGEMENT FEES	428.	428.		0.	
MARGIN INTEREST	1,244.	1,244.		0.	
MISCELLANEOUS FEES	46.	46.		0.	
TO FORM 990-PF, PG 1, LN 23	1,718.	1,718.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
DELL INC	322,398.	64,795.		
UNITED HEALTH GROUP	38,008.	54,240.		
INTEL CORP	10,865.	10,310.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	371,271.	129,345.		

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AMERITRADE	COST	1.	1.
AMERITRADE- OPEN OPTIONS	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		1.	1.