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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation ESSMAN FAMILY CHARITABLE FOUNDATION		A Employer identification number 43-6804331
Number and street (or P O box number if mail is not delivered to street address) 21 SOMERSET DOWNS	Room/suite	B Telephone number 314-993-7190
City or town, state, and ZIP code ST. LOUIS, MO 63124		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 634,169. (Part I, column (d) must be on cash basis)	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	4,884.	4,884.		STATEMENT 1
	4 Dividends and interest from securities	19,246.	19,246.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	30,350.			
	b Gross sales price for all assets on line 6a 294,545.				
	7 Capital gain net income (from Part IV, line 2)		30,350.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	54,480.	54,480.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	246.	246.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	9,109.	9,109.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	9,355.	9,355.		0.
	25 Contributions, gifts, grants paid	188,022.			188,022.
26 Total expenses and disbursements. Add lines 24 and 25	197,377.	9,355.		188,022.	
27 Subtract line 26 from line 12	<142,897.>				
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)		45,125.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	5,245.			
	2 Savings and temporary cash investments				
	3 Accounts receivable ▶				
	Less allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U S and state government obligations STMT 6	649.	675.	675.	
	b Investments - corporate stock STMT 7	648,822.	592,540.	633,494.	
	c Investments - corporate bonds				
Liabilities	11 Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
	12 Investments - mortgage loans				
	13 Investments - other				
	14 Land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
	15 Other assets (describe ▶)				
	16 Total assets (to be completed by all filers)	654,716.	593,215.	634,169.	
	17 Accounts payable and accrued expenses				
	18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶ BANK OVERDRAFT)	0.	85,908.		
23 Total liabilities (add lines 17 through 22)	0.	85,908.			
Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>	27 Capital stock, trust principal, or current funds	0.	0.	
		28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
		29 Retained earnings, accumulated income, endowment, or other funds	654,716.	507,307.	
		30 Total net assets or fund balances	654,716.	507,307.	
	31 Total liabilities and net assets/fund balances	654,716.	593,215.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	654,716.
2 Enter amount from Part I, line 27a	2	<142,897.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	511,819.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	4,512.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	507,307.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	AMERITRADE - SEE ATTACHMENT	P	VARIOUS	VARIOUS
b	AMERITRADE - SEE ATTACHMENT	P	VARIOUS	VARIOUS
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 92,126.		85,552.	6,574.
b 202,419.		178,643.	23,776.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			6,574.
b			23,776.
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	30,350.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	159,469.	697,470.	.228639
2010	195,427.	899,152.	.217346
2009	197,901.	907,646.	.218038
2008	191,600.	1,135,677.	.168710
2007	196,743.	1,518,502.	.129564

2	Total of line 1, column (d)	2	.962297
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.192459
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	637,398.
5	Multiply line 4 by line 3	5	122,673.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	451.
7	Add lines 5 and 6	7	123,124.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	188,022.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	451.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	451.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	451.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		451.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► ALYN V. ESSMAN Telephone no ► 314-993-7190 Located at ► 21 SOMERSET DOWNS, ST. LOUIS, MO ZIP+4 ► 63124			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	641,158.
b	Average of monthly cash balances	1b	5,947.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	647,105.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	647,105.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,707.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	637,398.
6	Minimum investment return. Enter 5% of line 5	6	31,870.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	31,870.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	451.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	451.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	31,419.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	31,419.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	31,419.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	188,022.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	188,022.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	451.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	187,571.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				31,419.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	123,932.			
b From 2008	134,816.			
c From 2009	152,937.			
d From 2010	150,649.			
e From 2011	124,857.			
f Total of lines 3a through e	687,191.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$	188,022.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				31,419.
e Remaining amount distributed out of corpus	156,603.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	843,794.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	123,932.			
9 Excess distributions carryover to 2013 Subtract lines 7 and 8 from line 6a	719,862.			
10 Analysis of line 9				
a Excess from 2008	134,816.			
b Excess from 2009	152,937.			
c Excess from 2010	150,649.			
d Excess from 2011	124,857.			
e Excess from 2012	156,603.			

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

2012.04020 ESSMAN FAMILY CHARITABLE FO 15152051

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution * *	Amount
a Paid during the year				
MATHEWS DICKEY 4245 N KINGSHIGHWAY BLVD ST LOUIS, MO 63115	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
ARMDI 888 7TH STREET NEW YORK, NY 10106	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
CHILDREN'S HOSPITAL ONE CHILDREN'S PLACE ST LOUIS, MO 63103	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
CITY ACADEMY 4174 N. KINGSHIGHWAY ST LOUIS, MO 63115	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
COCA 524 TRINITY AVENUE ST LOUIS, MO 63130	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
Total	SEE CONTINUATION SHEET(S)			3a 188,022.
b Approved for future payment				
NONE				
Total				3b 0.


Part XVII . Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	 Signature of officer or trustee		10/1/13 Date		TRUSTEE Title	
Paid Preparer Use Only	Print/Type preparer's name RICHARD G. EBERLE, JR.		Preparer's signature RICHARD G. EBERLE		Date 09/24/13	
	Check <input type="checkbox"/> if self-employed		PTIN P00521744			
	Firm's name ▶ MUELLER PROST PC				Firm's EIN ▶ 43-1594752	
	Firm's address ▶ 7733 FORSYTH BLVD., SUITE 1200 ST. LOUIS, MO 63105				Phone no (314) 862-2070	

Form 990-PF (2012)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COLLEGE BOUND 110 NORTH JEFFERSON ST LOUIS, MO 63103	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
DARWIN FOUNDATION 407 N WASHINGTON ST FALLS CHURCH, VA 22046	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,500.
DOCS W/O BORDERS 333 7TH AVENUE NEW YORK, NY 10001	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,500.
FORSYTH SCHOOL 6235 WYDOWN BOULEVARD ST LOUIS, MO 63105	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
HILLEL 6300 FORSYTH ST LOUIS, MO 63105	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
HOLOCAUST MUSEUM 12 MILLSTONE CAMPUS DRIVE ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
JCC MILLSTONE 12 MILLSTONE CAMPUS DRIVE ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	19,500.
JEWISH FEDERATION 12 MILLSTONE CAMPUS DRIVE ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	31,047.
JFCS FOOD BANK 10950 SCHEUTZ ROAD ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	5,000.
KIDSMART 12175 BRIDGETON SQUARE DRIVE ST LOUIS, MO 63044	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
Total from continuation sheets				182,022.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MARYVILLE UNIVERSITY 650 MARYVILLE UNIVERSITY DRIVE ST. LOUIS, MO 63141	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
MISSOURI BOTANICAL GARDENS 4344 SHAW BOULEVARD ST LOUIS, MO 63304	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,500.
MISSOURI HISTORICAL SOCIETY P.O. BOX 11940 ST LOUIS, MO 63103	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
NCJW SCHOOL STORE 8350 DELCREST DRIVE ST LOUIS, MO 63124	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
OXBOW 36 SOUTH WABASH AVENUE 12TH FLOOR CHICAGO, IL 60603	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
PLANNED PARENTHOOD 4251 FOREST PARK AVENUE ST LOUIS, MO 63108	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
SALVATION ARMY 1130 HAMPTON AVENUE ST LOUIS, MO 63139	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
SHAARE EMETH 11645 LADUE ROAD ST LOUIS, MO 63141	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	5,475.
SITEMAN CANCER CENTER 660 S. EUCLID AVE BOX 8100 ST LOUIS, MO 63110	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
SPRINGBOARD FOR LEARNING 634 GRAND BOULEVARD ST LOUIS, MO 63103	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. LOUIS ART MUSEUM ONE FINE ARTS DRIVE ST LOUIS, MO 63110	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,500.
ST. LOUIS EFFORT FOR AIDS 1027 S. VANDEVENTER ST LOUIS, MO 63110	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,000.
ST. LOUIS PUBLIC TV (KETC) 3655 OLIVE ST LOUIS, MO 63108	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
ST. LOUIS SCIENCE CENTER 5050 OAKLAND AVENUE ST LOUIS, MO 63110	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	2,500.
ST. LOUIS SYMPHONY ORCHESTRA 718 N. GRAND BOULEVARD ST LOUIS, MO 63103	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	5,000.
ST. LOUIS ZOO ONE GOVERNMENT DRIVE ST LOUIS, MO 63110	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	14,000.
TIBET RELIEF 6116 KINGSBURY AVE ST LOUIS, MO 63112	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
UNITED WAY 910 N. 11TH STREET ST LOUIS, MO 63101	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	10,000.
UNIVERISTY OF MISSOURI ST. LOUIS NATURAL BRIDGE ROAD ST LOUIS, MO 63121	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	12,000.
WASHINGTON UNIVERSITY ONE BROOKINGS DRIVE ST LOUIS, MO 63130	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WEBSTER UNIVERSITY 470 E. LOCKWOOD ST LOUIS, MO 63119	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	12,500.
WORLD WILDFIRE FUND 1250 TWENTY-FOURTH STREET, N.W. WASHINGTON, DC 20090	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,500.
JCC 2 MILESTONE CAMPUS DR. ST. LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	20,000.
FOREST PARK FOREVER 5595 GRAND DRIVE ST LOUIS, MO 63112	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,250.
ALS 2258 WELDON PARKWAY ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	500.
ST. LOUIS UNIVERSITY 221 N GRAND BLVD ST LOUIS, MO 63103	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
ESPERANTO AZA ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	500.
WYNNHOO NOW ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	5,000.
OASIS ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	250.
LOGOS ST LOUIS, MO 63146	N/A	PUBLIC CHARITY	GENERAL OPERATING GRANT	1,000.
Total from continuation sheets				

Part XV Supplementary Information**3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution**

NAME OF RECIPIENT - JCC MILLSTONE

GENERAL OPERATING GRANT

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
AMERITRADE	3,188.
AMERITRADE - OID	1,670.
STATE OF ISRAEL - OID	26.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	4,884.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
AMERITRADE - CAPITAL GAIN DIVIDENDS	968.	0.	968.
AMERITRADE - DIVIDENDS	17,332.	0.	17,332.
AMERITRADE - NONTAXABLE DISTRIBUTIONS	946.	0.	946.
TOTAL TO FM 990-PF, PART I, LN 4	19,246.	0.	19,246.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AMERITRADE - FOREIGN TAXES PAID	115.	115.		0.
EXCISE TAX	131.	131.		0.
TO FORM 990-PF, PG 1, LN 18	246.	246.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PROFESSIONAL FEES	2,000.	2,000.			0.
INVESTMENT FEES	7,109.	7,109.			0.
TO FORM 990-PF, PG 1, LN 23	9,109.	9,109.			0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	5
DESCRIPTION					AMOUNT
CURRENT YEAR CHANGES IN UNREALIZED GAIN/LOSS					4,512.
TOTAL TO FORM 990-PF, PART III, LINE 5					4,512.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
STATE OF ISREAL BOND	X		675.	675.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			675.	675.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			675.	675.	

FORM 990-PF	CORPORATE STOCK			STATEMENT	7
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE	
INVESTMENTS			592,540.	633,494.	
TOTAL TO FORM 990-PF, PART II, LINE 10B			592,540.	633,494.	

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 8

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ALYN V. ESSMAN 21 SOMERSET DOWNS ST LOUIS, MO 63124	TRUSTEE 0.70	0.	0.	0.
MARLYN R. ESSMAN 21 SOMERSET DOWNS ST LOUIS, MO 63124	TRUSTEE 0.01	0.	0.	0.
SHARYN M. ESSMAN 2809 CLAYTON FOREST ST LOUIS, MO 63131	TRUSTEE 0.01	0.	0.	0.
JUDY E. TAYLOR 19 SPOEDE LANE ST LOUIS, MO 63141	TRUSTEE 0.01	0.	0.	0.
TODD E. TAYLOR 19 SPOEDE LANE ST LOUIS, MO 63141	TRUSTEE 0.01	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Alyn Essman: Essman, Alyn - Trust

Realized Gain/Loss

Name	Date Acquired	Date Sold	Quantity	Cost	Net Proceeds	Date Range		Account Number	Report Currency	Long Term Gain	Total Gain
						1/1/2012 - 12/31/2012	901082834				
Total(Sales)	-	-	-	264,736.45	294,544.64			6,080.09	23,728.10	29,808.19	
Abbott Laboratories (ABT)			300,000	14,340.96	19,492.89			0.00	5,151.93	5,151.93	
Abbott Laboratories (ABT)	12/31/2010	4/4/2012	200,000	9,560.64	12,295.35			0.00	2,734.71	2,734.71	
Abbott Laboratories (ABT)	12/31/2010	10/16/2012	100,000	4,780.32	7,197.54			0.00	2,417.22	2,417.22	
Alnylam Pharmaceuticals, Inc. (ALNY)			400,000	6,847.31	7,534.84			0.00	687.53	687.53	
Alnylam Pharmaceuticals, Inc. (ALNY)	4/8/2010	7/16/2012	400,000	6,847.31	7,534.84			0.00	687.53	687.53	
American Capital Agency Corp. (AGNC)			260,000	7,346.38	8,236.73			890.35	0.00	890.35	
American Capital Agency Corp. (AGNC)	1/11/2012	5/3/2012	260,000	7,346.38	8,236.73			890.35	0.00	890.35	
Barklays BK PLC Call CMS Nt (06739JD83)			10,000,000	9,472.80	10,000.00			0.00	527.20	527.20	
Barklays BK PLC Call CMS Nt (06739JD83)	9/29/2009	10/1/2012	10,000,000	9,472.80	10,000.00			0.00	527.20	527.20	
Boeing Co (BA)			100,000	4,129.23	7,360.85			0.00	3,231.62	3,231.62	
Boeing Co (BA)	6/24/2009	11/13/2012	100,000	4,129.23	7,360.85			0.00	3,231.62	3,231.62	
Chesapeake Energy Corp (CHK)			300,000	9,381.25	5,234.82			-4,146.43	0.00	-4,146.43	
Chesapeake Energy Corp (CHK)	5/3/2011	4/18/2012	300,000	9,381.25	5,234.82			-4,146.43	0.00	-4,146.43	
Collective Brands, Inc. (PSS)			500,000	7,518.11	10,709.76			0.00	3,191.65	3,191.65	
Collective Brands, Inc. (PSS)	6/1/2011	7/3/2012	500,000	7,518.11	10,709.76			0.00	3,191.65	3,191.65	
Cummins Inc (CMI)			150,000	12,940.80	13,998.82			1,058.02	0.00	1,058.02	
Cummins Inc (CMI)	10/11/2012	10/23/2012	150,000	12,940.80	13,998.82			1,058.02	0.00	1,058.02	



Alyn Essman: Essman, Alyn - Trust

Realized Gain/Loss

Name	Date Acquired	Date Sold	Quantity	Cost	Net Proceeds	Short Term Gain	Long Term Gain	Total Gain
Dynex Capital, Inc. (DX)			1,000,000	10,300.00	10,000.68	0.00	-299.32	-299.32
Dynex Capital, Inc (DX)	4/5/2007	6/22/2012	1,000,000	10,300.00	10,000.68	0.00	-299.32	-299.32
Emerson Electric Co. (EMR)			350,000	17,234.02	18,493.52	1,259.50	0.00	1,259.50
Emerson Electric Co (EMR)	4/20/2012	8/21/2012	150,000	7,545.87	7,889.37	343.50	0.00	343.50
Emerson Electric Co (EMR)	11/20/2012	12/20/2012	200,000	9,688.15	10,604.15	916.00	0.00	916.00
Federated Investors, Inc. Class B (FII)			875,000	15,450.15	19,404.33	3,954.18	0.00	3,954.18
Federated Investors, Inc Class B (FII)	9/16/2011	7/13/2012	875,000	15,450.15	19,404.33	3,954.18	0.00	3,954.18
iShares MSCI Japan Index (EWJ)			500,000	6,155.65	4,664.94	0.00	-1,490.71	-1,490.71
iShares MSCI Japan Index (EWJ)	7/23/2008	12/13/2012	500,000	6,155.65	4,664.94	0.00	-1,490.71	-1,490.71
Johnson & Johnson (JNJ)			200,000	12,081.79	14,240.03	0.00	2,158.24	2,158.24
Johnson & Johnson (JNJ)	10/14/2009	12/11/2012	200,000	12,081.79	14,240.03	0.00	2,158.24	2,158.24
LASALLE HOTEL PROPERTIES PFD 7.5% SERIES D (LHOPR-D)			500,000	9,130.00	12,500.00	0.00	3,370.00	3,370.00
LASALLE HOTEL PROPERTIES PFD 7.5% SERIES D (LHOPRD)	1/3/2008	5/21/2012	500,000	9,130.00	12,500.00	0.00	3,370.00	3,370.00
Marathon Petroleum Corp (MPC)			300,000	10,629.96	13,165.90	2,535.94	0.00	2,535.94
Marathon Petroleum Corp (MPC)	9/15/2011	2/3/2012	300,000	10,629.96	13,165.90	2,535.94	0.00	2,535.94
MBNA Capital D Pref Share (KRBRDCL)			500,000	12,554.99	12,500.00	0.00	-54.99	-54.99
MBNA Capital D Pref Share (KRBRDCL)	3/13/2008	7/25/2012	500,000	12,554.99	12,500.00	0.00	-54.99	-54.99



Alyn Essman: Essman, Alyn - Trust

Realized Gain/Loss

Name	Date Acquired	Date Sold	Quantity	Cost	Net Proceeds	Date Range		Short Term Gain	Long Term Gain	Total Gain
						1/1/2012 - 12/31/2012	Account Number			
							901082834			Report Currency USD
Microsoft Corporation (MSFT)			325,000	8,209.50	9,440.63			0.00	1,231.13	1,231.13
Microsoft Corporation (MSFT)	5/4/2005	1/20/2012	325 000	8,209.50	9,440.63			0 00	1,231 13	1,231 13
Monsanto Company (MON)			200,000	13,672.85	15,992.27			0.00	2,319.42	2,319.42
Monsanto Company (MON)	4/7/2010	1/5/2012	100 000	6,836.43	7,620.45			0 00	784.03	784.03
Monsanto Company (MON)	4/7/2010	7/13/2012	100 000	6,836.43	8,371.82			0 00	1,535.40	1,535.40
MS 10% (30 yr - 2 yr) > 0 & S&P 500 > 875 (61745EG54)			10,000,000	9,750.00	10,000.00			0.00	250.00	250.00
MS 10% (30 yr - 2 yr) > 0 & S&P 500 > 875 (61745EG54)	9/21/2010	12/31/2012	10,000 000	9,750.00	10,000.00			0 00	250.00	250.00
MS Steepener (30yr - 2yr) x 4 11% (61745ES85)			10,000,000	10,012.00	10,000.00			0.00	-12.00	-12.00
MS Steepener (30yr - 2yr) x 4 11% (61745ES85)	10/19/2010	12/26/2012	10,000 000	10,012.00	10,000.00			0 00	-12.00	-12.00
Sprint Nextel Corp (S)			2,500,000	11,597.38	13,126.21			0.00	1,528.83	1,528.83
Sprint Nextel Corp (S)	1/6/2011	8/16/2012	2,500 000	11,597.38	13,126.21			0 00	1,528.83	1,528.83
Symantec Corp (SYMC)			900,000	15,055.78	16,534.58			0.00	1,478.80	1,478.80
Symantec Corp (SYMC)	12/31/2010	3/15/2012	400 000	6,691.46	7,246.43			0 00	554.97	554.97
Symantec Corp (SYMC)	12/31/2010	10/25/2012	500 000	8,364.32	9,288.15			0 00	923.83	923.83
Sysco Corporation (SYV)			350,000	10,305.70	10,721.74			416.04	0.00	416.04
Sysco Corporation (SYV)	2/8/2012	8/15/2012	350 000	10,305.70	10,721.74			416.04	0 00	416.04
Verizon Communications Inc (VZ)			300,000	10,575.44	11,651.29			0.00	1,075.85	1,075.85
Verizon Communications Inc (VZ)	1/11/2011	1/20/2012	300 000	10,575.44	11,651.29			0 00	1,075.85	1,075.85



Alyn Essman: Essman, Alyn - Trust

Realized Gain/Loss

Realized Gain/Loss

		Date Range		Account Number		Report Currency		
		1/1/2012 12/31/2012		901082834		USD		
Name	Date Acquired	Date Sold	Quantity	Cost	Net Proceeds	Short Term Gain	Long Term Gain	Total Gain
Vodafone Group PLC ADR (VOD)			100.000	2,757.46	2,869.95	112.49	0.00	112.49
Vodafone Group PLC ADR (VOD)	1/24/2012	7/30/2012	100.000	2,757.46	2,869.95	112.49	0.00	112.49
Yahoo! Inc (YHOO)			400.000	7,286.94	6,669.86	0.00	-617.08	-617.08
Yahoo! Inc (YHOO)	7/23/2008	10/23/2012	200.000	4,218.97	3,334.93	0.00	-884.04	-884.04
Yahoo! Inc (YHOO)	11/25/2009	10/23/2012	200.000	3,067.97	3,334.93	0.00	266.96	266.96
Capital Gain Distributions								
	Pay Date					Short Term Gain	Long Term Gain	Total Gain
Total(Distribution)	-					494.00	47.50	541.50
	1/1/1900					494.00	47.50	541.50
First Trust Energy Infra Fund Common (FIF)	12/31/2012					494.00	0.00	494.00
First Trust Energy Infra Fund Common (FIF)	12/31/2012					0.00	47.50	47.50
Total Portfolio	-	-	-	264,736.45	294,544.64	6,574.09	23,775.60	30,349.69



- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
Type or print Name of exempt organization or other filer, see instructions ESSMAN FAMILY CHARITABLE FOUNDATION	Employer identification number (EIN) or 43-6804331
Number, street, and room or suite no. If a P O box, see instructions 21 SOMERSET DOWNS	Social security number (SSN)
City, town or post office, state, and ZIP code For a foreign address, see instructions. ST. LOUIS, MO 63124	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

ALYN V. ESSMAN

- The books are in the care of **21 SOMERSET DOWNS - ST. LOUIS, MO 63124**

Telephone No. **314-993-7190**

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2013.**

5 For calendar year **2012**, or other tax year beginning ☐, and ending ☐

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME AND THIRD PARTY INFORMATION ARE NEEDED TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☐

Title **AGENT**

Date ☐

Form 8868 (Rev. 1-2013)