Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public Inspection

For calendar year 2012 or tax year beginning , **and ending**

Name of foundation: SEHGAL FAMILY FOUNDATION

A Employer identification number: 42-1477858

Number and street (or P O box number if mail is not delivered to street address): 100 COURT AVENUE, SUITE 211

Room/suite:

B Telephone number: 515-288-0010

City or town, state, and ZIP code: DES MOINES, IA 50309

C If exemption application is pending, check here ▶ ☐

G Check all that apply:
☐ Initial return
☐ Initial return of a former public charity
☐ Final return
☐ Amended return
☐ Address change
☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 42,492,581.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

SCANNED NOV 25 2013

RECEIVED
NOV 21 2013
OGDEN, UT
733
IRS-OSC

	Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	485,726.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	83,122.	83,122.		STATEMENT 1
	4 Dividends and interest from securities	1,135,888.	1,135,888.		STATEMENT 2
	5a Gross rents	637,814.	637,814.		STATEMENT 3
	b Net rental income or (loss) 637,814.				
	6a Net gain or (loss) from sale of assets not on line 10	1,181,286.			
	b Gross sales price for all assets on line 6a 9,301,300.				
	7 Capital gain net income (from Part IV, line 2)		1,181,286.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	718,873.	659,216.		STATEMENT 4
	12 **Total** Add lines 1 through 11	4,242,709.	3,697,326.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	168,430.	0.		168,430.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	30,637.	0.		30,637.
	16a Legal fees STMT 5	5,804.	0.		5,804.
	b Accounting fees				
	c Other professional fees STMT 6	238,664.	96,628.		142,036.
	17 Interest	4,056.	4,056.		0.
	18 Taxes STMT 7	75,615.	18,319.		12,296.
	19 Depreciation and depletion				
	20 Occupancy	12,051.	0.		12,051.
	21 Travel, conferences, and meetings	96,434.	0.		96,434.
	22 Printing and publications	45.	0.		45.
	23 Other expenses STMT 8	3,233,034.	474,173.		2,371,683.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	3,864,770.	593,176.		2,839,416.
	25 Contributions, gifts, grants paid	48,750.			48,750.
	26 **Total expenses and disbursements.** Add lines 24 and 25	3,913,520.	593,176.		2,888,166.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	329,189.			
	b **Net investment income** (if negative, enter -0-)		3,104,150.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

223501
12-05-12 LHA **For Paperwork Reduction Act Notice, see instructions.** Form **990-PF** (2012)

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

				Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		2,311,204.	2,271,039.	2,271,039.
	3	Accounts receivable ▶	208,914.			
		Less: allowance for doubtful accounts ▶		394,903.	208,914.	208,914.
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶	250,000.			
		Less: allowance for doubtful accounts ▶	0.	250,000.	250,000.	250,000.
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock				
	c	Investments - corporate bonds				
	11	Investments - land, buildings, and equipment basis ▶				
		Less accumulated depreciation ▶				
	12	Investments - mortgage loans				
	13	Investments - other	STMT 10	37,944,291.	38,497,930.	39,762,628.
	14	Land, buildings, and equipment: basis ▶				
		Less accumulated depreciation ▶				
	15	Other assets (describe ▶)				
	16	**Total assets** (to be completed by all filers)		40,900,398.	41,227,883.	42,492,581.
Liabilities	17	Accounts payable and accrued expenses		7,643.	5,012.	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	**Total liabilities** (add lines 17 through 22)		7,643.	5,012.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		40,892,755.	41,222,871.	
	30	**Total net assets or fund balances**		40,892,755.	41,222,871.	
	31	**Total liabilities and net assets/fund balances**		40,900,398.	41,227,883.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	40,892,755.
2	Enter amount from Part I, line 27a	2	329,189.
3	Other increases not included in line 2 (itemize) ▶ BOOK TO TAX TIMING DIFFERENCES	3	927.
4	Add lines 1, 2, and 3	4	41,222,871.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	41,222,871.

Form **990-PF** (2012)

223511
12-05-12

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	9,301,300.		9,017,432.	1,181,286.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				1,181,286.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,181,286.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	2,831,609.	44,979,145.	.062954
2010	4,191,968.	45,060,446.	.093030
2009	2,282,293.	43,011,275.	.053063
2008	2,683,708.	56,980,388.	.047099
2007	3,424,749.	59,951,061.	.057126

2 **Total** of line 1, column (d)	2	.313272
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.062654
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	44,267,999.
5 Multiply line 4 by line 3	5	2,773,567.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	31,042.
7 Add lines 5 and 6	7	2,804,609.
8 Enter qualifying distributions from Part XII, line 4	8	2,888,166.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	31,042.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	31,042.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	31,042.
6	Credits/Payments:				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	49,882.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	60,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	109,882.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	78,840.
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 78,840. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.SMSFOUNDATION.ORG

14 The books are in care of ▶ JANET M. GAGE Telephone no. ▶ 515-288-0010

Located at ▶ 100 COURT AVENUE, SUITE 211, DES MOINES, IA ZIP+4 ▶ 50309

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X	

See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ INDIA

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Form **990-PF** (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		168,430.	28,795.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Form **990-PF** (2012)

223551
12-05-12

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 WATER MANAGEMENT: THE FOUNDATION WORKS TO ENSURE THAT SAFE DRINKING WATER IS AVAILABLE THROUGHOUT RURAL GURGAON AND TO PROMOTE "WATER LITERACY."	364,276.
2 INSTITUTIONAL CAPACITY BUILDING: THE FOUNDATION,THROUGH ITS CAPACITY BUILDLING CENTER, AIMS TO CREATE AN EMPOWERED AND INVOLVED SOCIETY IN RURAL GURGAON.	299,734.
3 SEE STATEMENT 12	279,972.
4 SUSTAINABLE AGRICULTURE: THE FOUNDATION WORKS TO ASSIST SMALL-SCALE FARMERS TO INCREASE AGRICULTURAL PRODUCTIVITY AND INCOME USING CUSTOMIZED AND SUSTAINABLE PRACTICES.	206,055.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2012)

223561
12-05-12

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	38,526,917.
b	Average of monthly cash balances			1b	2,469,252.
c	Fair market value of all other assets			1c	3,945,962.
d	**Total** (add lines 1a, b, and c)			1d	44,942,131.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	44,942,131.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	674,132.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	44,267,999.
6	**Minimum investment return.** Enter 5% of line 5			6	2,213,400.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	2,213,400.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	31,042.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	31,042.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	2,182,358.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	2,182,358.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	2,182,358.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,888,166.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,888,166.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	31,042.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,857,124.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form **990-PF** (2012)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				2,182,358.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			1,561,804.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 2,888,166.				
a Applied to 2011, but not more than line 2a			1,561,804.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				1,326,362.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				855,996.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 13

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE STATEMENT D				48,750.
Total			▶ 3a	48,750.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Form **990-PF** (2012)

223611
12-05-12

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	83,122.	
4 Dividends and interest from securities			14	1,135,888.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property			16	637,814.	
6 Net rental income or (loss) from personal property					
7 Other investment income			14	659,216.	
8 Gain or (loss) from sales of assets other than inventory			18	1,181,286.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a UBTI FROM PASSTHROUGHS	523000	40,523.			
b FOREIGN CURRENCY					
c ADJUSTMENT			01	5,470.	
d MISCELLANEOUS			01	13,664.	
e					
12 Subtotal. Add columns (b), (d), and (e)		40,523.		3,716,460.	0.

13 **Total** Add line 12, columns (b), (d), and (e) 13 3,756,983.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

223621
12-05-12

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (**b**) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (**d**) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11/11/13 | Title Director

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
STEVEN J. ROY		11/6/13		P01202297

Firm's name ▶ NYEMASTER GOODE, P.C. | Firm's EIN ▶ 42-1154516

Firm's address ▶ 700 WALNUT STREET, SUITE 1600
DES MOINES, IA 50309-3899

Phone no. (515)283-3100

Form **990-PF** (2012)

223622
12-05-12

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2012

Name of the organization

SEHGAL FAMILY FOUNDATION

Employer identification number

42-1477858

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.** **Schedule B (Form 990, 990-EZ, or 990-PF) (2012)**

Name of organization	Employer identification number
SEHGAL FAMILY FOUNDATION	42-1477858

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	COCA COLA FOUNDATION ENKAY TOWER, UDYOG VIHAR PHASE V, GURGAON INDIA	$ 109,383.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	KMG FOUNDATION 262, UDYOG VUHAR-IV, GURGAON INDIA	$ 13,547.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
3	MISR HYTECH SEED INTL COMPANY 2 NAGIB MAHFOUZ STREET, NASR CITY CAIRO EGYPT	$ 72,926.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
4	INDIA MINISTRY OF WOMEN AND CHILD DEVELOPMENT SHASTRI BHAWAN, DR RAJENDRA PRASAD ROAD, NEW DEHLI, INDIA INDIA	$ 15,864.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
5	MOSAIC 111, RECTANGLE-1, SAKET DISTRICT CENTRE, NEW DEHLI INDIA	$ 65,276.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
6	UNIVERSITY OF IOWA 107 CALVIN HALL IOWA CITY, IA 52242	$ 11,147.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
SEHGAL FAMILY FOUNDATION	42-1477858

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	INDIA DEPARTMENT OF SCIENCE & TECHNOLOGY TECHNOLOGY BHAWAN, NEW MEHRAULI ROAD, NEW DEHLI, INDIA INDIA	$ 83,169.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
8	THE MOSAIC COMPANY FOUNADTION 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441	$ 100,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
SEHGAL FAMILY FOUNDATION	42-1477858

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

SEHGAL FAMILY FOUNDATION

Employer identification number

42-1477858

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

SEHGAL FAMILY FOUNDATION

CONTINUATION FOR 990-PF, PART IV
42-1477858 PAGE 1 OF 3

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	K-1 ARTIO GLOBAL HIGH INCOME FUND			
b	K-1 ARTIO GLOBAL HIGH INCOME FUND			
c	K-1 COLCHESTER GLOBAL BOND FUND			
d	K-1 COLCHESTER GLOBAL BOND FUND			
e	K-1 DALRYMPLE GLOBAL RESOURCES FUND			
f	K-1 DALRYMPLE GLOBAL RESOURCES FUND			
g	K-1 DAVIDSON KEMPNER INST PARTNERS			
h	K-1 DAVIDSON KEMPNER INST PARTNERS			
i	K-1 DAVIDSON KEMPNER SECTION 1256 CONTRACTS			
j	K-1 DAVIDSON KEMPNER SECTION 1256 CONTRACTS			
k	K-1 GS CAPITAL PARTNERS V INSTITUTIONAL			
l	K-1 GSCP V INSTITUTIONAL AIV			
m	K-1 GARGOYLE HEDGED VALUE FUND			
n	K-1 GARGOYLE HEDGED VALUE FUND			
o	K-1 GARGOYLE SECTION 1256 CONTRACTS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				-1,602.
b				6,094.
c				-2,276.
d				4,674.
e				-175.
f				-120,774.
g				-1,725.
h				26,108.
i				-1,407.
j				-2,110.
k				384,435.
l				2,505.
m				-68,965.
n				67,584.
o				-16,585.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-1,602.
b				6,094.
c				-2,276.
d				4,674.
e				-175.
f				-120,774.
g				-1,725.
h				26,108.
i				-1,407.
j				-2,110.
k				384,435.
l				2,505.
m				-68,965.
n				67,584.
o				-16,585.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

223591
05-01-12

SEHGAL FAMILY FOUNDATION

CONTINUATION FOR 990-PF, PART IV
42-1477858 PAGE 2 OF 3

Part IV **Capital Gains and Losses for Tax on Investment Income**

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	K-1 GARGOYLE SECTION 1256 CONTRACTS			
b	K-1 HIGH SIERRA ENERGY			
c	K-1 INDIASTAR FUND			
d	K-1 INDIASTAR FUND			
e	K-1 LS POWER EQUITY PARTNERS PIE I			
f	K-1 LS POWER EQUITY PATNERS II PIE			
g	K-1 LS POWER EQUITY PATNERS II PIE			
h	K-1 SWANK MLP CONVERGENCE FUND			
i	K-1 TRADEWINDS FUND			
j	K-1 TRADEWINDS FUND			
k	NORTHERN TRUST ACCT 17-53726 (DETAIL ON STMT A)	P	VARIOUS	VARIOUS
l	NORTHERN TRUST ACCT 17-53726 (DETAIL ON STMT A)	P	VARIOUS	VARIOUS
m	NORTHERN TRUST ACCT 26-55721 (DETAIL ON STMT B)	P	VARIOUS	VARIOUS
n	NORTHERN TRUST ACCT 26-55721 (DETAIL ON STMT B)	P	VARIOUS	VARIOUS
o	BNY MELLON (DETAIL ON STMT C)	P	VARIOUS	VARIOUS

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				-24,877.
b				-178.
c				27.
d				-278.
e				37,470.
f				183,025.
g				228,976.
h				246,740.
i				-43,292.
j				-5,976.
k	539,502.		573,514.	-34,012.
l	127,031.		88,417.	38,614.
m	4,162,131.		4,100,765.	61,366.
n	2,556,308.		2,434,058.	122,250.
o	301,029.		297,333.	3,696.

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-24,877.
b				-178.
c				27.
d				-278.
e				37,470.
f				183,025.
g				228,976.
h				246,740.
i				-43,292.
j				-5,976.
k				-34,012.
l				38,614.
m				61,366.
n				122,250.
o				3,696.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 } 3

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BNY MELLON (DETAIL ON STMT C)	P	VARIOUS	VARIOUS
b	EATON CORPORATION	P	02/14/11	12/24/12
c	LIM ASIA SPECIAL SITUATIONS FUND	P	01/01/08	03/31/12
d	NGL ENERGY PARTNERS	P	08/01/07	07/06/12
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,234,786.		1,221,651.	13,135.
b	65,004.		51,685.	13,319.
c	315,475.		250,000.	65,475.
d	9.		9.	0.
e	25.			25.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				13,135.
b				13,319.
c				65,475.
d				0.
e				25.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	1,181,286.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

223591
05-01-12

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
NORTHERN TRUST CHECKING	4.
S.M. SEHGAL FOUNDATION INDIA	83,118.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	83,122.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BNY MELLON	140,354.	0.	140,354.
GOLDMAN SACHS	521.	0.	521.
GS MEZZANINE PARTNERS	35,587.	0.	35,587.
GS VINTAGE FUND IV	172,256.	0.	172,256.
K-1 ARTIO GLOBAL HIGH INCOME FUND	249,826.	0.	249,826.
K-1 COLCHESTER GLOBAL BOND FUND	29,702.	0.	29,702.
K-1 DAVIDSON KEMPNER INST PARTNERS	21,256.	0.	21,256.
K-1 GARGOYLE HEDGED VALUE FUND	20,703.	0.	20,703.
K-1 GS CAPITAL PARTNERS V INSTITUTIONAL	20,068.	0.	20,068.
K-1 HIGH SIERRA ENERGY	12.	0.	12.
K-1 INDIASTAR FUND	4,758.	0.	4,758.
K-1 LS POWER EQUITY PARTNERS PIE I	6,014.	0.	6,014.
K-1 LS POWER EQUITY PATNERS II PIE	201,221.	0.	201,221.
K-1 LS POWER EQUITY PATNERS II PIE A	10,158.	0.	10,158.
K-1 NGL ENERGY PARTNERS	145.	0.	145.
K-1 SWANK MLP CONVERGENCE FUND	214.	0.	214.
K-1 TRADEWINDS FUND	10,118.	0.	10,118.
NORTHERN TRUST	213,000.	25.	212,975.
TOTAL TO FM 990-PF, PART I, LN 4	1,135,913.	25.	1,135,888.

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
S.M. SEHGAL FOUNDATION INDIA	2	637,814.
TOTAL TO FORM 990-PF, PART I, LINE 5A		637,814.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
CLASS ACTION SETTLEMENTS	2,058.	2,058.	
ORDINARY INCOME FROM PASSTHROUGHS	2,522.	2,522.	
OTHER PORTF INCOME FROM PASSTHOUGHS	675,803.	675,803.	
SECTION 988 INCOME FROM PASSTHROUGHS	-15,607.	-15,607.	
NORTHERN TRUST FOREIGN CURRENCY TRANSACTIONS	-5,560.	-5,560.	
UBTI FROM PASSTHROUGHS	40,523.	0.	
FOREIGN CURRENCY ADJUSTMENT	5,470.	0.	
MISCELLANEOUS	13,664.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	718,873.	659,216.	

FORM 990-PF LEGAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL AND ACCOUNTING FEES	5,804.	0.		5,804.
TO FM 990-PF, PG 1, LN 16A	5,804.	0.		5,804.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NORTHERN TRUST	59,421.	59,421.		0.
I3 ADVISORS	20,009.	20,009.		0.
CONSULTING FEES	142,036.	0.		142,036.
BNY MELLON	12,509.	12,509.		0.
COLCHESTER	4,689.	4,689.		0.
TO FORM 990-PF, PG 1, LN 16C	238,664.	96,628.		142,036.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
K-1 DAVIDSON KEMPNER INST PARTNERS	70.	70.		0.
K-1 ARTIO GLOBAL HIGH INCOME FUND	202.	202.		0.
K-1 COLCHESTER GLOBAL BOND FUND	231.	231.		0.
K-1 GARGOYLE HEDGED VALUE FUND	22.	22.		0.
K-1 TRADEWINDS FUND	505.	505.		0.
FOREIGN TAX	3,423.	3,423.		0.
WITHHOLDING TAXES	13,866.	13,866.		0.
PAYROLL TAXES	12,296.	0.		12,296.
FEDERAL EXCISE TAX	45,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	75,615.	18,319.		12,296.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PORFOLIO EXPENSES FROM PASSTHROUGHS	403,962.	403,962.		0.
SECTION 59(E)(2) EXPENDITURES FROM PASSTHROUGHS	134.	134.		0.
PAYROLL SERVICE FEE	1,101.	0.		1,101.
POSTAGE AND SHIPPING	1,658.	0.		1,658.
COMPUTER EXPENSE	3,187.	0.		3,187.
MISCELLANEOUS	969.	0.		0.
OFFICE EXPENSE	721.	0.		721.
BANK FEES	125.	0.		125.
PROGRAM EXPENSE - INDIA	1,469,523.	0.		1,469,523.
CAPITAL WORK IN PROGRESS - INDIA	876,054.	0.		489,845.
SUPPORT SERVICES - INDIA	463,153.	65,946.		397,207.
IRRAD EXPENSES	6,191.	0.		6,191.
SECTION 1231 LOSSES FROM PASSTHROUGHS	4,131.	4,131.		0.
DUES	45.	0.		45.
FUNDRAISING EXPENSE	2,080.	0.		2,080.
TO FORM 990-PF, PG 1, LN 23	3,233,034.	474,173.		2,371,683.

FOOTNOTES STATEMENT 9

CONTROLLED FOREIGN PARTNERSHIP REPORTING

THE TAXPAYER WAS REQUIRED TO FILE FORM 8865, BUT IS NOT DOING SO UNDER THE CONSTURCTIVE OWNERS EXCEPTION. THE TAXPAYER HAS AN INTEREST IN THE FOLLOWING ENTITY WHICH FILED FORM 8865:

THE DALRYMPLE GLOBAL RESOURCE FUND, LP
3300 OAK LAWN AVENUE, SUITE 650
DALLAS, TX 75219

THE TAXPAYER WOULD HAVE HAD TO FILE FORM 8865 FOR ITS INDIRECT OWNERSHIP IN THE ENTITY LISTED BELOW BUT FOR THIS EXCEPTION.

THE DALRYMPLE GLOBAL RESOURCES MASTER FUND, LP
WALKER HOUSE, PO BOX 908GT
GEORGE TOWN, GRAND CAYMAN, CAYMAN ISLANDS

CONTROLLED FOREIGN PARTNERSHIP REPORTING

THE TAXPAYER WAS REQUIRED TO FILE FORM 8865, BUT IS NOT DOING SO UNDER THE CONSTURCTIVE OWNERS EXCEPTION. THE TAXPAYER HAS AN INTEREST IN THE FOLLOWING ENTITY WHICH FILED FORM 8865:

TRADEWINDS FUND, LP
THREE HARBOR DRIVE, SUITE 213
SAUSALITO, CA 94965

TRADEWINDS FUND, LP DID NOT PROVIDE THE TAXAPYER WITH ANY FURTHER INFORMATION FOR THIS STATEMENT.

CONTROLLED FOREIGN CORPORATION REPORTING

THE TAXPAYER IS NOT REQUIRED TO FILE FORM 5471 FOR THE FOREIGN CORPORATIONS LISTED BELOW UNDER THE CONSTRUCTIVE OWNERS FILING EXCEPTION TO TREASURY REGULATION SECTION 1.6038-2(J)(2). THE TAXPAYER HAS A PARTNERSHIP INTEREST IN:

LS POWER EQUITY PARTNERS PIE I, LP
FEIN: 20-2613942
1700 BROADWAY, 35TH FLOOR
NEW YORK, NY 10019

LS POWER EQUITY PARTNERS PIE I, LP INVESTS DIRECTLY IN THE FOLLOWING FOREIGN CORPORATIONS:

LSP CAL EB I, LTD
LSP PENN EB I, LTD

THE REQUIRED INFORMATION WAS FILED BY LS POWER EQUITY PARTNERS PIE I, LP.

IRS SERVICE CENTER WHERE RETURN WAS FILED: E-FILE

CONTROLLED FOREIGN CORPORATION REPORTING

THE TAXPAYER IS NOT REQUIRED TO FILE FORM 5471 FOR THE FOREIGN CORPORATIONS LISTED BELOW UNDER THE CONSTRUCTIVE OWNERS FILING EXCEPTION TO TREASURY REGULATION SECTION 1.6038-2(J)(2). THE TAXPAYER HAS A PARTNERSHIP INTEREST IN:

LS POWER EQUITY PARTNERS II PIE, LP
FEIN: 20-5721439
1700 BROADWAY, 35TH FLOOR
NEW YORK, NY 10019

LS POWER EQUITY PARTNERS II PIE, LP INVESTS DIRECTLY IN THE FOLLOWING FOREIGN CORPORATIONS:

LSP COLUMBUS EB II, LTD
LSP PENN EB II, LTD
LSP CAL EB II, LTD

THE REQUIRED INFORMATION WAS FILED BY LS POWER EQUITY PARTNERS II PIE, LP.

IRS SERVICE CENTER WHERE RETURN WAS FILED: E FILE

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST	COST	5,818,494.	5,894,167.
S.M. SEHGAL FOUNDATION INDIA	COST	556,837.	556,837.
GOLDMAN SACHS	COST	2,337,777.	2,584,044.
OTHER INVESTMENTS	COST	14,406,133.	14,823,073.
I3 ADVISORS MANAGED ACCOUNTS	COST	15,378,689.	15,904,507.
TOTAL TO FORM 990-PF, PART II, LINE 13		38,497,930.	39,762,628.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
SURINDER M. SEHGAL 11550 PAIGE COURT CAPTIVA, FL 33924	DIRECTOR, PRES. & TREAS. 0.00	0.	0.	0.
EDDA G. SEHGAL 11550 PAIGE COURT CAPTIVA, FL 33924	DIRECTOR, V.PRES. & SEC. 0.00	0.	0.	0.
A.K. BAHL 117 SECTOR 17A, GURGAON HARYANA INDIA	TRUSTEE, INDIA FOUNDATION 0.00	0.	0.	0.
KENAI K. SEHGAL 145 SECOND AVENUE, APT 17 NEW YORK, NY 10003	DIRECTOR 0.00	0.	0.	0.
BERND U. SEHGAL 716 JUDSON AVENUE EVANSTON, IL 60202	DIRECTOR 20.00	40,400.	5,717.	0.
OLIVER S. SEHGAL 341 LAFAYETTE, APT 973 NEW YORK, NY 10012	DIRECTOR 0.00	0.	0.	0.
VICKI D. SEHGAL 5125 WELKER AVENUE DES MOINES, IA 50312	DIRECTOR 0.00	0.	0.	0.

RYAN CLUTTER 5125 WELKER AVENUE DES MOINES, IA 50312	DIRECTOR 20.00	8,363.	2,885.	0.
MAUREEN SMITH 716 JUDSON AVENUE EVANSTON, IL 60202	DIRECTOR 0.00	0.	0.	0.
RAJAT SEHGAL 5562 COACHLIGHT DRIVE WEST DES MOINES, IA 50266	EXECUTIVE DIRECTOR 40.00	119,667.	20,193.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		168,430.	28,795.	0.

FORM 990-PF SUMMARY OF DIRECT CHARITABLE ACTIVITIES STATEMENT 12

ACTIVITY THREE

POLICY AND ADVOCACY: THE FOUNDATION, THOUGH ITS POLICY, GOVERNANCE AND ADVOCACY CENTER, PROMOTES AWARENESS AMONG RURAL COMMUNITIES ON POLICIES THAT ARE MEANT TO SUPPORT THE CONSTITUTIONAL RIGHTS OF THE INDIAN PEOPLE.

	EXPENSES
TO FORM 990-PF, PART IX-A, LINE 3	279,972.

FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT 13

NAME OF MANAGER

SURINDER M. SEHGAL
EDDA G. SEHGAL

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number: 17-53726
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
JANET GAGE
GLOBAL INVESTORS LP
100 COURT AVENUE -SUITE 211
DES MOINES, IA 50309

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales								
G1151C101	ACCENTURE PLC SHS CL A NEW		ACN					
1000 0000	01/06/2012	Various	51,951 80	56,614 29	0 00	-4,662 49	0 00	0 00
651639106	NEWMONT MINING CORP NEW COMMON STOCK		NEM					
400 0000	01/25/2012	Various	23,171 71	27,123 93	0 00	-3,952 22	0 00	0 00
651639106	NEWMONT MINING CORP NEW COMMON STOCK		NEM					
200.0000	02/14/2012	10/14/2011	11,721 89	13,284.35	0 00	-1,562 46	0 00	0 00
651639106	NEWMONT MINING CORP NEW COMMON STOCK		NEM					
100 0000	02/14/2012	10/13/2011	5,831.38	6,361 13	0 00	-529.75	0 00	0 00
651639106	NEWMONT MINING CORP NEW COMMON STOCK		NEM					
100 0000	02/15/2012	10/13/2011	5,957 99	6,361.12	0.00	-403 13	0 00	0 00
651639106	NEWMONT MINING CORP NEW COMMON STOCK		NEM					
300.0000	02/15/2012	10/13/2011	17,939 15	19,083 38	0.00	-1,144.23	0.00	0.00
651639106	NEWMONT MINING CORP NEW COMMON STOCK		NEM					
100 0000	02/16/2012	10/13/2011	5,988 37	6,361.12	0 00	-372 75	0 00	0 00
037833100	APPLE COMPUTER INC		AAPL					
30 0000	02/22/2012	10/12/2011	15,352 49	12,241 97	0 00	3,110.52	0 00	0 00
30231G102	EXXON MOBIL CORP		XOM					
400 0000	02/22/2012	08/23/2011	34,699 75	29,002 88	0 00	5,696.87	0 00	0 00

This is important tax information and is being furnished to you.

STATEMENT A

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O BOX 803878
CHICAGO, IL 60680

Account Number: 17-53726
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ ***2nd TIN notice***

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
JANET GAGE
GLOBAL INVESTORS LP
100 COURT AVENUE -SUITE 211
DES MOINES, IA 50309

Sales are listed at Gross Proceeds *less commissions and option premiums.*

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
465685105	ITC HLDGS CORP COM STK		ITC					
200 0000	02/22/2012	06/27/2011	15,109.34	14,243 65	0 00	865 69	0 00	0 00
842587107	SOUTHERN CO		SO					
400 0000	02/22/2012	08/23/2011	17,546 12	16,159 85	0 00	1,386.27	0 00	0 00
886547108	TIFFANY & CO NEW		TIF					
400 0000	02/22/2012	Various	25,943 54	30,756 98	0 00	-4,813 42	0 00	0 00
976657106	WISCONSIN ENERGY CORP		WEC					
500 0000	02/22/2012	Various	17,079 04	15,536 63	0 00	1,542.41	0 00	0 00
144285103	CARPENTER TECHNOLOGY CORP		CRS					
100.0000	02/27/2012	11/03/2011	5,109.45	5,751 50	0 00	-642.05	0 00	0.00
144285103	CARPENTER TECHNOLOGY CORP		CRS					
100.0000	02/28/2012	11/03/2011	5,113 44	5,751 50	0 00	-638.06	0 00	0 00
144285103	CARPENTER TECHNOLOGY CORP		CRS					
100 0000	03/01/2012	11/03/2011	5,278.56	5,751 49	0 00	-472 93	0.00	0 00
00912X302	AIR LEASE CORP CL A CL A		AL					
300.0000	03/26/2012	04/19/2011	7,448.86	8,318 11	0 00	-869 25	0 00	0 00
03027X100	AMERICAN TOWER CORP		AMT					
100 0000	03/26/2012	10/13/2011	6,196 82	5,502 58	0 00	694.24	0 00	0 00

This is important tax information and is being furnished to you.

STATEMENT A

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 17-53726
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
JANET GAGE
GLOBAL INVESTORS LP
100 COURT AVENUE -SUITE 211
DES MOINES, IA 50309

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
580135101	MCDONALDS CORP		MCD					
100 0000	03/26/2012	08/23/2011	9,643 16	8,877.92	0 00	765 24	0.00	0 00
68389X105	ORACLE CORPORATION		ORCL					
500 0000	03/26/2012	Various	14,438.33	15,887 00	0 00	-1,448 67	0 00	0 00
GB00B03MLX29	ROYAL DUTCH SHELL 'A'SHS EURO 07 (UK LIST)GBP							
600 0000	03/26/2012	Various	21,375 74	21,489.85	0 00	-114 11	0 00	0 00
GB0009465807	WEIR GROUP ORD GBP0 125							
300 0000	04/20/2012	11/03/2011	8,141 80	9,120 53	0 00	-978 73	0 00	0 00
30249U101	FMC TECHNOLOGIES INC		FTI					
200 0000	04/25/2012	02/22/2012	9,270 17	10,306.64	0 00	-1,036 47	0 00	0.00
30249U101	FMC TECHNOLOGIES INC		FTI					
100 0000	04/25/2012	02/22/2012	4,652 63	5,153 32	0 00	-500.69	0 00	0 00
GB00B03MLX29	ROYAL DUTCH SHELL 'A'SHS EURO 07 (UK LIST)GBP							
600 0000	05/02/2012	Various	21,120 49	20,548.88	0 00	571 61	0 00	0 00
30249U101	FMC TECHNOLOGIES INC		FTI					
100.0000	05/18/2012	02/22/2012	4,191 22	5,153 32	0 00	-962.10	0 00	0 00
GB0009465807	WEIR GROUP ORD GBP0 125							
300 0000	05/18/2012	11/03/2011	7,003.53	9,120 54	0 00	-2,117.01	0.00	0 00

This is important tax information and is being furnished to you.

STATEMENT A

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O. BOX 803878
CHICAGO, IL 60680

Account Number: 17-53726
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
JANET GAGE
GLOBAL INVESTORS LP
100 COURT AVENUE -SUITE 211
DES MOINES, IA 50309

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
30249U101	FMC TECHNOLOGIES INC		FTI					
300.0000	05/21/2012	02/22/2012	12,601.80	15,459 95	0 00	-2,858 15	0 00	0.00
GB0009465807	WEIR GROUP ORD GBP0.125							
300 0000	05/21/2012	11/03/2011	7,098 78	9,120 53	0 00	-2,021 75	0 00	0 00
655044105	NOBLE ENERGY INC COM		NBL					
100 0000	05/25/2012	12/01/2011	8,399 88	9,793.65	0 00	-1,393 77	0 00	0 00
655844108	NORFOLK SOUTHN CORP COM		NSC					
200 0000	06/11/2012	11/29/2011	13,250 42	14,816 98	0 00	-1,566 56	0 00	0 00
384802104	W W GRAINGER INC		GWW					
100 0000	07/17/2012	11/03/2011	18,887 94	17,693 00	0.00	1,194.94	0 00	0 00
459200101	INTL BUSINESS MACHINES CORP		IBM					
100 0000	07/17/2012	12/01/2011	18,560 77	18,824 08	0 00	-263.31	0 00	0 00
00912X302	AIR LEASE CORP CL A CL A		AL					
500 0000	07/25/2012	Various	9,593 44	11,421 23	0 00	-1,827.79	0.00	0 00
655844108	NORFOLK SOUTHN CORP COM		NSC					
400 0000	11/16/2012	Various	22,489.64	29,818 48	0.00	-7,328 84	0 00	0 00
655844108	NORFOLK SOUTHN CORP COM		NSC					
500 0000	11/19/2012	Various	28,657 55	34,577.02	0 00	-5,919 47	0 00	0 00

This is important tax information and is being furnished to you

STATEMENT A

2012 Tax Information Statement

Réf: GTH

THE NORTHERN TRUST COMPANY
P.O BOX 803878
CHICAGO, IL 60680

Account Number: **17-53726**
Recipient's Tax ID Number: **XX-XXX7858**

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
JANET GAGE
GLOBAL INVESTORS LP
100 COURT AVENUE -SUITE 211
DES MOINES, IA 50309

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
1A3LTCW	ASML HLDG NV EUR0.09							
1900 0000	11/26/2012	Various	22,685.06	22,124 43	0 00	560 63	0 00	0.00
Total Short Term Sales			**539,502.05**	**573,513.81**	**0 00**	**-34,011.74**	**0.00**	**0.00**
Long Term 15% Sales								
144285103	CARPENTER TECHNOLOGY CORP		CRS					
100 0000	02/23/2012	02/14/2011	5,159 04	4,358 79	0 00	800 25	0 00	0 00
144285103	CARPENTER TECHNOLOGY CORP		CRS					
100 0000	02/24/2012	02/14/2011	5,145 65	4,358 80	0 00	786 85	0 00	0 00
144285103	CARPENTER TECHNOLOGY CORP		CRS					
100 0000	02/24/2012	02/14/2011	5,135 83	4,358 79	0.00	777.04	0.00	0 00
GB0009465807	WEIR GROUP ORD GBP0 125							
100 0000	04/20/2012	02/15/2011	2,713 93	2,825 50	0 00	-111 57	0 00	0 00
IL0010837818	ORIDION SYSTEMS ILS0.01							
4100 0000	05/24/2012	Various	89,760 18	45,250 35	0 00	44,509.83	0.00	0 00
CH0010754924	SCHWEITER TECH CHF1							
3300	05/25/2012	02/15/2011	169 46	244 05	0 00	-74 59	0 00	0 00
00912X302	AIR LEASE CORP CL A CL A		AL					
100.0000	07/17/2012	04/19/2011	1,884 19	2,772 70	0 00	-888 51	0 00	0 00

This is important tax information and is being furnished to you

STATEMENT A

Ref: GTH

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O. BOX 803878
CHICAGO, IL 60680

Account Number: 17-53726
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
JANET GAGE
GLOBAL INVESTORS LP
100 COURT AVENUE -SUITE 211
DES MOINES, IA 50309

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
00912X302	AIR LEASE CORP CL A CL A		AL					
100 0000	07/18/2012	04/19/2011	1,869.54	2,772 70	0 00	-903 16	0 00	0.00
00912X302	AIR LEASE CORP CL A CL A		AL					
200 0000	07/19/2012	Various	3,789 02	5,538 01	0 00	-1,749 00	0 00	0 00
00912X302	AIR LEASE CORP CL A CL A		AL					
400 0000	07/19/2012	Various	7,566.83	10,637 31	0 00	-3,070 48	0 00	0 00
00912X302	AIR LEASE CORP CL A CL A		AL					
200 0000	07/25/2012	04/19/2011	3,837.37	5,300 00	0.00	-1,462.63	0 00	0.00
Total Long Term 15% Sales			**127,031 04**	**88,417.00**	**0.00**	**38,614 03**	**0.00**	**0.00**

This is important tax information and is being furnished to you

STATEMENT A

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales								
89233P4R4	TOYOTA MTR CR CORP MEDIUM TERM NTS BOOK TRANCHE # TR 00832 2 8 DUE 01-11-2016		MTN1					
100000 0000	01/06/2012	02/09/2011	104,240.00	99,980.00	0.00	4,260.00	0.00	0.00
912828KB5	US TREAS NTS BILLS OF EXCHANGE 15/01/12 1 125 DUE 01-15-2012 REG							
50000 0000	01/06/2012	08/04/2011	50,007.65	50,230.64	0.00	-222.99	0.00	0.00
912828PX2	UNITED STATES TREAS NTS DTD 00334 3 625%DUE 02-15-2021 REG							
25000 0000	01/09/2012	02/10/2011	28,873 92	24,875 10	0.00	3,998.82	0.00	0.00
36241KYR3	GNMA POOL #782520 5 5% 12-15-2038 BEO		GNMA					
1018 8500	01/17/2012	08/10/2011	1,018 85	1,142.70	0.00	-123 85	0 00	0 00
912828PN4	UNITED STATES TREAS NTS DTD 12/31/2010 2.75% DUE 12-31-2017 BEO							
25000 0000	01/25/2012	02/09/2011	27,243 06	24,591.90	0.00	2,651 16	0 00	0 00
912828RT9	UNITED STATES TREAS NTS 1.375 DUE 11-30-2018							
75000.0000	02/01/2012	12/20/2011	75,761 42	75,155.57	0.00	605 85	0 00	0 00
912828RB8	US TREAS NTS DTD 00362 .50 DUE 08-15-2014 REG							
25000.0000	02/06/2012	08/25/2011	25,138 59	25,102.62	0.00	35 97	0.00	0.00
912828RU6	UNITED STATES TREAS NTS .875 DUE 11-30-2016							
45000 0000	02/09/2012	Various	45,138.72	44,961 29	0.00	177 43	0.00	0 00
87612EAZ9	TARGET CORP 2 9% DUE 01-15-2022							
45000 0000	02/14/2012	01/09/2012	45,134 10	44,713.35	0 00	420 75	0 00	0.00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
912828RU6	UNITED STATES TREAS NTS .875 DUE 11-30-2016							
25000.0000	02/14/2012	12/06/2011	25,115.15	24,906.33	0.00	208.82	0 00	0 00
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO		GNMA					
946.0800	02/15/2012	08/10/2011	946.08	1,061.09	0.00	-115.01	0 00	0 00
912828MU1	UNITED STATES TREAS NTS DTD 00283 1% DUE03-31-2012 REG							
50000 0000	02/28/2012	08/04/2011	50,038 90	50,293.14	0.00	-254.24	0 00	0 00
94974BEV8	WELLS FARGO & COMPANY 4 6 MTN TRANCHE # SR 00116 DUE 04-01-2021 USD1000		MTN1					
50000 0000	03/01/2012	Various	54,578 00	49,961.00	0.00	4,617.00	0 00	0 00
009158AR7	AIR PRODS & CHEMS 3 DUE 11-03-2021							
50000 0000	03/07/2012	10/31/2011	51,269.00	49,970.00	0.00	1,299.00	0.00	0 00
36241KYR3	GNMA POOL #782520 5 5% 12-15-2038 BEO		GNMA					
835.4300	03/15/2012	08/10/2011	835.43	936 99	0.00	-101.56	0.00	0.00
912828KX7	UNITED STATES TREAS NTS T-NT 1.875% DUE 06-15-2012 REG							
25000 0000	04/02/2012	11/09/2011	25,086.83	25,258.88	0 00	-172.05	0.00	0.00
06051GEH8	BANK OF AMER CORP 5 00 DUE 05-13-2021							
45000.0000	04/03/2012	05/10/2011	45,177.30	44,804 25	0.00	373.05	0.00	0 00
06406HBX6	BK NY INC MEDIUM TERM SR NTS BOO TRANCHE# TR 00046 2.3 DUE 07-28-2016		MTN1					
25000 0000	04/05/2012	07/21/2011	25,626.00	24,978.75	0 00	647.25	0.00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36241KYR3	GNMA POOL #782520 5 5% 12-15-2038 BEO		GNMA					
982 6200	04/16/2012	08/10/2011	982 62	1,102.07	0 00	-119.45	0.00	0.00
912828RF9	UNITED STATES TREAS NTS TNOTE 1 DUE 08-31-2016 REG							
50000 0000	04/26/2012	Various	50,681 48	50,412.28	0.00	269 20	0.00	0.00
912828RR3	UNITED STATES TREAS NTS 2 DUE 11-15-2021							
150000.0000	04/26/2012	Various	151,265 03	151,241 23	0.00	23.80	0.00	0 00
912828KX7	UNITED STATES TREAS NTS T-NT 1 875% DUE 06-15-2012 REG							
25000 0000	05/02/2012	11/09/2011	25,052 65	25,258 87	0.00	-206.22	0.00	0 00
369550AS7	GENERAL DYNAMICS CORP 1 375 DUE 01-15-2015							
40000 0000	05/03/2012	07/05/2011	40,819 20	39,936.00	0 00	883.20	0.00	0.00
912828LB4	US TREAS NTS NT 1.5 DUE 07-15-2012 BEO 07-15-2012 BEO							
50000 0000	05/03/2012	11/18/2011	50,142 41	50,449.39	0.00	-306 98	0 00	0.00
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO		GNMA					
799 0300	05/15/2012	08/10/2011	799 03	896.16	0.00	-97.13	0.00	0.00
912828RR3	UNITED STATES TREAS NTS 2 DUE 11-15-2021							
50000 0000	05/31/2012	12/21/2011	52,226.36	50,351.76	0.00	1,874 60	0.00	0 00
91159HHC7	U S BANCORP MEDIUM TERM NTS- BOOK ENTRY TRANCHE # TR 00171 3 DUE 03-15-2022		MTN1					
50000 0000	06/01/2012	02/28/2012	51,627.50	49,965.00	0 00	1,662.50	0.00	0.00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36241KYR3	GNMA POOL #782520 5 5% 12-15-2038 BEO		GNMA					
782 1400	06/15/2012	08/10/2011	782.14	877 22	0.00	-95.08	0.00	0 00
912828LX6	UNITED STATES TREAS NTS DTD 00262 1 375%DUE 11-15-2012 REG							
25000 0000	06/22/2012	04/26/2012	25,121.99	25,165.13	0.00	-43.14	0 00	0.00
084670BD9	BERKSHIRE HATHAWAY 1 9 DUE 01-31-2017							
25000.0000	06/25/2012	01/24/2012	25,521 00	24,998.75	0.00	522.25	0 00	0.00
912828LR9	UNITED STATES OF AMER TREAS NOTES NT 1.375% DUE 10-15-2012 REG							
25000.0000	06/26/2012	04/26/2012	25,095.62	25,142.66	0 00	-47.04	0 00	0 00
00206RBD3	AT&T INC 3 DUE 02-15-2022							
50000.0000	06/27/2012	02/09/2012	50,917.50	49,922 50	0.00	995.00	0 00	0.00
05565QBZ0	BP CAP MKTS P L C 3.245 DUE 05-06-2022							
45000.0000	06/27/2012	05/02/2012	46,491 75	45,000.00	0.00	1,491.75	0.00	0.00
87612EAZ9	TARGET CORP 2.9% DUE 01-15-2022							
30000 0000	07/02/2012	01/09/2012	30,939.30	29,808 90	0.00	1,130 40	0 00	0.00
912828SS0	UNITED STATES TREAS NTS .875% DUE 04-30-2017 REG							
50000.0000	07/11/2012	04/26/2012	50,626 79	50,095.87	0.00	530.92	0.00	0 00
912828SV3	UTD STATES TREAS ZERO CPN 1.75% DUE 05-15-2022							
25000.0000	07/12/2012	07/05/2012	25,611.23	25,346.78	0.00	264.45	0 00	0.00

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
36241KYR3	GNMA POOL #782520 5 5% 12-15-2038 BEO		GNMA					
1030.2600	07/16/2012	08/10/2011	1,030.26	1,155.50	0.00	-125.24	0.00	0.00
912828LH1	UNITED STATES TREAS NTS T-NT 1 75% DUE 08-15-2012 REG							
25000 0000	07/16/2012	11/18/2011	25,031 17	25,297.94	0.00	-266 77	0.00	0 00
064159AM8	BK NOVA SCOTIA B C 2 55% DUE 01-12-2017							
50000 0000	07/17/2012	01/05/2012	52,340.00	49,900 00	0.00	2,440 00	0 00	0 00
931422AE9	WALGREEN CO NT 5.25 DUE 01-15-2019 REG							
45000 0000	07/19/2012	Various	52,622.10	52,172 75	0.00	449 35	0 00	0 00
36202FYZ3	GNMA POOL #005228 3 5% 11-20-2026 BEO		GNMAII					
1082.0300	07/20/2012	06/07/2012	1,082 03	1,156.76	0 00	-74 73	0 00	0.00
149123BX8	CATERPILLAR INC 2.6% DUE 06-26-2022							
50000 0000	07/27/2012	06/21/2012	51,625.00	49,790 50	0 00	1,834 50	0.00	0 00
912828SV3	UTD STATES TREAS ZERO CPN 1.75% DUE 05-15-2022							
45000 0000	07/27/2012	07/05/2012	46,082.64	45,624 20	0 00	458.44	0.00	0.00
912828PZ7	UNITED STATES TREAS NTS DTD 03-15-2011 1 25% DUE 03-15-2014 REG							
50000.0000	08/10/2012	07/31/2012	50,794.75	50,828.29	0.00	-33 54	0.00	0 00
05531FAL7	BB&T CORP SR MEDIUM TERM NTS BOE# TR 00011 1.6 08-15-2017		MTN1					
75000.0000	08/14/2012	Various	75,194 25	75,044.43	0 00	149 82	0.00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
912828RF9	UNITED STATES TREAS NTS TNOTE 1 DUE 08-31-2016 REG							
50000.0000	08/16/2012	Various	50,740.06	50,210.13	0 00	529.93	0.00	0.00
912828RT9	UNITED STATES TREAS NTS 1.375 DUE 11-30-2018							
50000 0000	08/16/2012	12/20/2011	50,792.77	50,103.72	0.00	689.05	0.00	0 00
36202FYZ3	GNMA POOL #005228 3 5% 11-20-2026 BEO		GNMAII					
774.8200	08/20/2012	06/07/2012	774.82	828 33	0 00	-53.51	0.00	0.00
0258M0DC0	AMERN EXPRESS CR CORP MEDIUM TERM NTS TRANCHE # TR 00075 2 8 DUE 09-19-2016		MTN1					
50000.0000	08/22/2012	09/14/2011	53,104 00	49,958.50	0.00	3,145.50	0 00	0.00
912828LR9	UNITED STATES OF AMER TREAS NOTES NT 1 375% DUE 10-15-2012 REG							
25000.0000	09/12/2012	04/26/2012	25,025.31	25,142.66	0.00	-117.35	0.00	0.00
674599CE3	OCCIDENTAL PETE 2.7% DUE 02-15-2023							
50000 0000	09/13/2012	06/19/2012	50,840.50	49,869.50	0.00	971.00	0.00	0.00
009158AR7	AIR PRODS & CHEMS 3 DUE 11-03-2021							
25000.0000	09/18/2012	10/31/2011	25,755.25	24,985.00	0.00	770.25	0 00	0 00
046353AF5	ASTRAZENECA PLC 1.95% DUE 09-18-2019							
50000.0000	09/18/2012	09/11/2012	50,221 50	49,938.00	0.00	283 50	0 00	0 00
06366QW86	BK MNTRL MED TERM SENR NTS BK EN TRANCHE# TR 743 DTD 1-11-12 2 5 1-11-17		MTN1					
25000.0000	09/18/2012	01/06/2012	26,247.75	24,948.75	0.00	1,299.00	0.00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
06406HBY4	BANK NEW YORK INC MEDIUM TERM SR NTS BOOK ENTRY 3 55 DUE 09-23-2021 BEO							
20000.0000	09/18/2012	02/13/2012	21,420.40	20,667 60	0 00	752.80	0 00	0 00
191216AY6	COCA COLA CO 1.65% DUE 03-14-2018							
25000 0000	09/18/2012	03/09/2012	25,694 25	24,941.75	0.00	752.50	0 00	0.00
19416QDX5	COLGATE-PALMOLIVE CO MTN BOOTRANCHE # TR00094 1.3 1-15-17/11-8-11		MTN1					
25000 0000	09/18/2012	11/03/2011	25,450.00	24,865.50	0.00	584.50	0 00	0 00
24422ERH4	DEERE JOHN CAP CORP MEDIUM TERM NTS-BOOK3 15 DUE 10-15-2021		MTN1					
50000 0000	09/18/2012	10/05/2011	52,517 50	49,774 50	0 00	2,743 00	0.00	0 00
3137EACY3	FHLMC PREASSIGN 00071 75 11-25-2014							
25000.0000	09/18/2012	10/19/2011	25,228.23	25,007.05	0.00	221 18	0.00	0.00
377373AD7	GLAXOSMITHKLINE 2.85 DUE 05-08-2022							
25000 0000	09/18/2012	05/02/2012	25,662.25	24,830 00	0.00	832.25	0 00	0.00
38141GGS7	GOLDMAN SACHS 5 75% DUE 01-24-2022							
50000 0000	09/18/2012	01/19/2012	57,282 00	49,932.50	0.00	7,349.50	0.00	0.00
68323ABK9	ONTARIO PROV CDA BD 2.45% DUE 06-29-2022REG							
25000.0000	09/18/2012	06/22/2012	25,134 00	24,903.25	0 00	230 75	0 00	0 00
742718DY2	PROCTER & GAMBLE 2.3% DUE 02-06-2022							
25000.0000	09/18/2012	02/01/2012	25,214 75	24,823 00	0 00	391.75	0 00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
78010XAC5	ROYAL BK SCOTLAND 4 875% DUE 03-16-2015							
50000 0000	09/18/2012	03/06/2012	53,881.50	52,440 00	0.00	1,441.50	0 00	0.00
88579YAD3	3M CO 1.375 DUE 09-29-2016							
25000.0000	09/18/2012	09/26/2011	25,586 75	24,853 50	0.00	733.25	0.00	0 00
89153VAA7	TOTAL CAPITAL INTL SA 1.5 DUE 02-17-2017 BEO							
65000.0000	09/18/2012	Various	65,817.05	64,174.72	0 00	1,642 33	0.00	0 00
912828KV1	UNITED STATES TREAS NTS DTD 00236 2 25% DUE 05-31-2014 REG							
12000 0000	09/18/2012	07/31/2012	12,408 24	12,442 54	0.00	-34 30	0 00	0.00
912828NH9	UNITED STATES NTS06/15/2010 1 125% 06/15/2013							
25000 0000	09/18/2012	07/31/2012	25,174.72	25,202 23	0.00	-27.51	0.00	0.00
912828NN6	UNITED STATES TREAS NTS DTD 7-15-10 1 0%DUE 07-15-2013							
50000.0000	09/18/2012	07/31/2012	50,335.77	50,382.98	0.00	-47.21	0.00	0.00
912828QE3	US TREAS NTS .625 DUE 04-30-2013							
50000 0000	09/18/2012	07/31/2012	50,148 27	50,162 28	0 00	-14.01	0.00	0.00
912828QZ6	UTD STATES TREAS .5% DUE 05-31-2013 DUE 05-31-2013 REG							
50000 0000	09/18/2012	07/31/2012	50,120.93	50,127.12	0 00	-6.19	0 00	0.00
912828RB8	US TREAS NTS DTD 00362 .50 DUE 08-15-2014 REG							
50000 0000	09/18/2012	07/31/2012	50,242.02	50,273.61	0.00	-31 59	0 00	0.00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
912828RG7	UNITED STATES TREAS NTS TNOTE 25% DUE 09-15-2014 REG		WIT					
50000 0000	09/18/2012	07/31/2012	50,005 69	50,013.84	0.00	-8.15	0.00	0.00
912828RH5	UTD STATES TREAS 1 375% DUE 09-30-2018 09-30-2018							
50000 0000	09/18/2012	07/31/2012	51,273.24	51,668.17	0 00	-394.93	0 00	0.00
912828SP6	UNITED STATES TREAS NTS 0.375 DUE 04-15-2015 REG							
100000 0000	09/18/2012	Various	100,179.36	100,125 34	0 00	54.02	0.00	0 00
912828SR2	UNITED STATES TREAS NTS DTD 04/30/2012 25% DUE 04-30-2014 REG							
50000 0000	09/18/2012	07/31/2012	50,019 36	50,013.84	0 00	5 52	0 00	0 00
912828SV3	UTD STATES TREAS ZERO CPN 1 75% DUE 05-15-2022							
50000 0000	09/18/2012	07/31/2012	50,033.00	51,236 53	0.00	-1,203.53	0.00	0 00
912828SW1	UTD STATES TREAS CPN 25 DUE 05-31-2014							
50000 0000	09/18/2012	05/31/2012	50,013.50	49,990.40	0.00	23.10	0 00	0 00
912828TA8	UNITED STATES TREAS NTS 25 DUE 06-30-2014 REG							
50000 0000	09/18/2012	07/20/2012	50,011.55	50,037 28	0.00	-25.73	0 00	0.00
912828TB6	UNITED STATES TREAS NTS DTD 00410 .75% DUE 06-30-2017 REG							
50000 0000	09/18/2012	07/31/2012	50,218.58	50,402 51	0 00	-183 93	0 00	0 00
912828TC4	UNITED STATES TREAS NTS DTD 06/30/2012 1 DUE 06-30-2019							
50000.0000	09/18/2012	07/31/2012	49,599.41	50,148.64	0.00	-549 23	0 00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
912828TD2	US TREAS NTS .25 DUE 07-15-2015 REG 07-15-2015							
50000.0000	09/18/2012	07/31/2012	49,894.36	49,933 76	0.00	-39.40	0 00	0 00
912828TF7	UTD STATES TREAS CPN .125 DUE 07-31-2014							
50000 0000	09/18/2012	07/31/2012	49,892.41	49,898 61	0 00	-6.20	0.00	0 00
912828TG5	UTD STATES TREAS ZERO CPN .5% DUE 07-31-2017							
50000.0000	09/18/2012	07/31/2012	49,583.82	49,777 51	0.00	-193 69	0.00	0 00
912828TH3	UNITED STATES TREAS NTS DTD 07/31/2012 .875% DUE 07-31-2019 REG							
50000.0000	09/18/2012	07/31/2012	49,111.13	49,664 27	0 00	-553 14	0 00	0 00
91324PBV3	UNITEDHEALTH GROUP INC 2.875 DUE 03-15-2022 REG							
35000.0000	09/18/2012	03/05/2012	35,224.35	34,758 50	0.00	465.85	0.00	0 00
927804FK5	VIRGINIA ELEC & PWR CO 2.95 DUE 01-15-2022							
35000.0000	09/18/2012	01/09/2012	36,539.65	34,915.65	0.00	1,624.00	0.00	0.00
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO		GNMAII					
686.7400	09/20/2012	06/07/2012	686 74	734 17	0.00	-47 43	0.00	0 00
912828TG5	UTD STATES TREAS ZERO CPN .5% DUE 07-31-2017							
50000 0000	09/28/2012	07/31/2012	49,743 97	49,777.51	0 00	-33.54	0 00	0 00
912828RH5	UTD STATES TREAS 1.375% DUE 09-30-2018 09-30-2018							
25000.0000	10/01/2012	07/31/2012	25,804.59	25,834.09	0.00	-29 50	0 00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

Ref: DUS

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected**
☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
912828LX6	UNITED STATES TREAS NTS DTD 00262 1.375%DUE 11-15-2012 REG							
25000 0000	10/04/2012	04/26/2012	25,033 12	25,165.12	0 00	-132 00	0.00	0 00
69371RK54	PACCAR FINL CORP MEDIUM TERM SR NTS BOOKENTRY 1 6 DUE 03-15-2017		MTN1					
25000.0000	10/05/2012	03/01/2012	25,411 50	24,995.25	0 00	416 25	0.00	0 00
912828QK9	UNITED STATES TREAS NTS 625 DUE 02-28-2013 REG							
25000.0000	10/05/2012	07/31/2012	25,048.74	25,064 54	0 00	-15 80	0 00	0 00
22541LAR4	CR SUISSE 1ST BSTN 4 875% DUE 01-15-20154.875% DUE 01-15-2015 BEO							
25000.0000	10/10/2012	10/24/2011	27,046 50	26,165.50	0 00	881 00	0 00	0 00
713448BJ6	PEPSICO INC 7 9% DUE 11-01-2018 11-01-2018/10-24-2008 BEO							
75000.0000	10/12/2012	08/09/2012	102,306.75	101,259.75	0 00	1,047.00	0.00	0.00
36202FYZ3	GNMA POOL #005228 3 5% 11-20-2026 BEO		GNMAII					
566 2100	10/22/2012	06/07/2012	566 21	605 31	0.00	-39.10	0 00	0 00
377373AD7	GLAXOSMITHKLINE 2.85 DUE 05-08-2022							
25000 0000	10/26/2012	05/02/2012	26,051 75	24,830 00	0 00	1,221.75	0 00	0.00
912828NH9	UNITED STATES NTS06/15/2010 1.125% 06/15/2013							
50000 0000	11/02/2012	07/31/2012	50,284 99	50,404 47	0.00	-119 48	0 00	0 00
912828PR5	US TREAS NTS .625 DUE 01-31-2013							
25000.0000	11/06/2012	09/26/2012	25,029.22	25,041.10	0.00	-11.88	0.00	0.00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
912828PR5	US TREAS NTS .625 DUE 01-31-2013							
50000 0000	11/15/2012	09/26/2012	50,056.47	50,082 20	0 00	-25.73	0.00	0.00
912828SV3	UTD STATES TREAS ZERO CPN 1.75% DUE 05-15-2022							
50000 0000	11/15/2012	Various	51,101.36	50,910.75	0.00	190.61	0 00	0 00
912828TH3	UNITED STATES TREAS NTS DTD 07/31/2012 875% DUE 07-31-2019 REG							
25000.0000	11/15/2012	07/31/2012	24,852.44	24,832.13	0.00	20 31	0.00	0 00
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO		GNMAII					
953 1500	11/20/2012	06/07/2012	953 15	1,018.98	0 00	-65 83	0 00	0.00
912828TH3	UNITED STATES TREAS NTS DTD 07/31/2012 875% DUE 07-31-2019 REG							
25000 0000	11/30/2012	07/31/2012	24,855.37	24,832.13	0 00	23.24	0.00	0.00
06741JS26	BARCLAYS BK PLC 2.75% DUE 02-23-2015							
25000 0000	12/04/2012	02/15/2012	25,857 25	24,985.00	0.00	872.25	0.00	0.00
74005PBA1	PRAXAIR INC 2.45 DUE 02-15-2022							
60000.0000	12/06/2012	02/01/2012	61,063.20	59,756 40	0.00	1,306.80	0.00	0.00
912828TJ9	UNITED STATES TREAS NTS DTD 08/15/2012 1.625% DUE 08-15-2022 REG							
25000 0000	12/14/2012	10/10/2012	24,929.59	24,849 71	0.00	79 88	0 00	0 00
36202FYZ3	GNMA POOL #005228 3.5% 11-20-2026 BEO		GNMAII					
1293 7800	12/20/2012	06/07/2012	1,293.78	1,383.13	0.00	-89.35	0 00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

Ref: DUS

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Total Short Term Sales			**4,162,131.49**	**4,100,765 35**	**0.00**	**61,366.14**	**0.00**	**0 00**
Long Term 15% Sales								
912828PC8	UNITED STATES TREAS NTS 2 625 DUE 11-15-2020 REG							
25000 0000	01/09/2012	11/19/2010	26,786.03	24,440 52	0 00	2,345 51	0.00	0.00
74254PVP4	PRIN LIFE INC FUNDINGS TRS SECD TRANCHE # TR 00470 5.3 DUE 12-14-2012		MTN1					
50000 0000	01/10/2012	12/07/2007	51,984 00	49,961.00	0 00	2,023 00	0.00	0 00
912828NE6	UNITED STATES TREAS NTS DTD 00293 75% DUE 05-31-2012 REG							
10000 0000	02/17/2012	02/09/2011	10,018.33	10,035 19	0 00	-16.86	0 00	0 00
912828MB3	UNITED STATES TREAS NTS NT 1 125% DUE 12-15-2012 REG							
25000 0000	03/01/2012	02/15/2011	25,182 53	25,152 43	0 00	30.10	0 00	0 00
912828NE6	UNITED STATES TREAS NTS DTD 00293 .75% DUE 05-31-2012 REG							
65000.0000	03/06/2012	02/09/2011	65,106.42	65,228.73	0 00	-122 31	0 00	0.00
912828NX4	UNITED STATES TREAS NTS DTD 00310 375% DUE 09-30-2012 REG							
25000 0000	03/06/2012	10/19/2010	25,033.12	25,008.87	0.00	24 25	0.00	0.00
912828QK9	UNITED STATES TREAS NTS .625 DUE 02-28-2013 REG							
25000.0000	03/12/2012	03/09/2011	25,098 55	24,958 09	0.00	140 46	0 00	0.00
369604AY9	GENERAL ELEC CO 01/28/2003 5% 02/01/2013							
50000 0000	03/14/2012	05/17/2007	51,902 00	49,330 00	0.00	2,572.00	0 00	0.00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
912828PT1	UNITED STATES TREAS NTS DTD 00330 2.625%DUE 01-31-2018 REG							
25000 0000	03/27/2012	02/09/2011	26,910 06	24,447 74	0 00	2,462 32	0.00	0.00
31359MWJ8	FNMA PREASSIGN 00377 4.625 10-15-2014		FNMAB					
50000 0000	03/29/2012	05/17/2007	55,145 10	49,513.20	0.00	5,631.90	0.00	0.00
87969NAB1	TELSTRA CORP LTD 6.375% DUE 04-01-2012 04-01-2012 BEO							
15000 0000	04/02/2012	02/20/2004	15,000 00	16,934 10	0.00	-1,934 10	0.00	0 00
055451AG3	BHP BILLITON FIN 5 5% DUE 04-01-2014 DUE 04-01-2014 REG							
50000.0000	05/03/2012	04/02/2009	54,518.50	50,577 50	0.00	3,941.00	0.00	0 00
670346AL9	NUCOR CORP 4 125% DUE 09-15-2022							
25000.0000	05/09/2012	Various	27,930.25	24,967.00	0 00	2,963.25	0.00	0.00
548661CT2	LOWES COS INC 3 75% DUE 04-15-2021							
30000.0000	06/04/2012	11/17/2010	32,268.30	29,988.00	0.00	2,280 30	0 00	0 00
912828LM0	US TREAS NTS 1.375 DUE 09-15-2012 REG REG							
40000.0000	06/20/2012	02/15/2011	40,123.30	40,450 13	0 00	-326.83	0 00	0 00
912828NX4	UNITED STATES TREAS NTS DTD 00310 .375% DUE 09-30-2012 REG							
25000 0000	06/22/2012	10/19/2010	25,020.42	25,008 88	0.00	11.54	0 00	0 00
58933YAA3	MERCK & CO INC NEW 3.875% DUE 01-15-2021							
75000.0000	06/28/2012	Various	84,708.75	74,517 44	0.00	10,191.31	0.00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected**   ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
912828LM0	US TREAS NTS 1.375 DUE 09-15-2012 REG REG							
35000 0000	07/31/2012	02/15/2011	35,049.10	35,393.87	0.00	-344.77	0.00	0 00
05567LU54	BNP PARIBAS / BNP 3.6% DUE 02-23-2016							
40000 0000	08/08/2012	02/18/2011	41,360.40	39,970.80	0.00	1,389.60	0 00	0.00
913017BR9	UTD TECHNOLOGIES 4 5% DUE 04-15-2020							
25000 0000	08/08/2012	02/23/2010	29,486 50	24,875.25	0.00	4,611 25	0 00	0 00
912828QK9	UNITED STATES TREAS NTS .625 DUE 02-28-2013 REG							
75000.0000	08/09/2012	03/09/2011	75,196.04	74,874 28	0.00	321.76	0 00	0.00
912828PZ7	UNITED STATES TREAS NTS DTD 03-15-2011 1.25% DUE 03-15-2014 REG							
50000 0000	08/10/2012	03/11/2011	50,794 75	50,164.23	0.00	630 52	0.00	0.00
36241KYR3	GNMA POOL #782520 5 5% 12-15-2038 BEO		GNMA					
1438.7000	08/15/2012	08/10/2011	1,438.70	1,613.59	0 00	-174.89	0.00	0 00
912828NG1	UNITED STATES TREAS NTS DTD 00295 2 75% DUE 05-31-2017 REG							
25000.0000	09/05/2012	02/10/2011	27,539 96	24,837.01	0.00	2,702.95	0 00	0 00
912828QJ2	US TREAS NTS DTD 02/28/2011 2.125 DUE 02-29-2016 REG							
25000 0000	09/05/2012	03/11/2011	26,520.42	25,087 98	0 00	1,432 44	0 00	0 00
912828PS3	US TREAS NTS NT 2 DUE 01-31-2016 REG							
25000.0000	09/12/2012	02/09/2011	26,362 22	24,738.46	0 00	1,623 76	0.00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	*Federal* Income Tax Withheld	*State* Tax Withheld
912828QJ2	US TREAS NTS DTD 02/28/2011 2 125 DUE 02-29-2016 REG							
25000 0000	09/12/2012	03/11/2011	26,492 10	25,087.97	0.00	1,404.13	0 00	0.00
912828NX4	UNITED STATES TREAS NTS DTD 00310 375% DUE 09-30-2012 REG							
25000.0000	09/13/2012	10/19/2010	25,003.82	25,008 87	0 00	-5.05	0.00	0 00
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO		GNMA					
1433 1100	09/17/2012	08/10/2011	1,433 11	1,607.32	0 00	-174.21	0 00	0 00
002799AJ3	ABBEY NATL TREAS 4% DUE 04-27-2016							
25000.0000	09/18/2012	Various	25,964.25	25,090.90	0 00	873.35	0.00	0 00
031162BF6	AMGEN INC 2 3% DUE 06-15-2016							
50000 0000	09/18/2012	Various	51,992.50	50,005 86	0 00	1,986 64	0.00	0 00
039483BB7	ARCHER DANIELS 4 479 DUE 03-01-2021							
50000.0000	09/18/2012	03/30/2011	57,057 00	50,364.50	0.00	6,692.50	0.00	0.00
075887AW9	BECTON DICKINSON & 3.25% DUE 11-12-2020							
30000 0000	09/18/2012	Various	32,007.90	29,588 09	0.00	2,419.81	0.00	0 00
235851AM4	DANAHER CORP 3 9% DUE 06-23-2021							
25000 0000	09/18/2012	06/20/2011	27,979 75	24,993.75	0.00	2,986 00	0.00	0 00
31359MWJ8	FNMA PREASSIGN 00377 4.625 10-15-2014		FNMAB					
100000.0000	09/18/2012	05/17/2007	108,877.60	99,197 10	0.00	9,680.50	0 00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description		Stock or Other Symbol					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
3137EACA5	FHLMC PREASSIGN 00049 3 75 03-27-2019							
50000.0000	09/18/2012	07/09/2010	57,807.20	51,972 75	0.00	5,834 45	0.00	0 00
31398A4M1	FNMA 1 625 10-26-2015		FNMAB					
50000 0000	09/18/2012	08/25/2011	51,804 65	51,348 60	0 00	456 05	0 00	0 00
31398AHZ8	FNMA PREASSIGN 00192 4 75 11-19-2012		FNMAB					
215000.0000	09/18/2012	11/19/2007	216,595 73	218,625 12	0 00	-2,029.39	0 00	0 00
428236BP7	HEWLETT PACKARD CO 3% DUE 09-15-2016							
25000 0000	09/18/2012	09/13/2011	25,900.00	24,946 00	0.00	954.00	0 00	0.00
61747WAF6	MORGAN STANLEY 5.75% DUE 01-25-2021							
45000.0000	09/18/2012	01/21/2011	48,828.60	45,483.75	0.00	3,344.85	0.00	0 00
693476BM4	PNC FDG CORP 2.7% DUE 09-19-2016							
25000 0000	09/18/2012	09/14/2011	26,592 50	24,969.75	0.00	1,622 75	0 00	0 00
85771SAA4	STATOILHYDRO ASA 5.25 DUE 04-15-2019							
40000 0000	09/18/2012	05/01/2009	47,902.00	40,700 40	0.00	7,201.60	0 00	0 00
912828KV1	UNITED STATES TREAS NTS DTD 00236 2.25% DUE 05-31-2014 REG							
38000 0000	09/18/2012	06/24/2009	39,292.76	37,713 74	0.00	1,579 02	0 00	0 00
912828NF3	UNITED STATES TREAS NTS DTD 00294 2.125%DUE 05-31-2015 REG							
50000 0000	09/18/2012	02/09/2011	52,413.90	50,259.93	0.00	2,153 97	0.00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
912828NH9	UNITED STATES NTS06/15/2010 1 125% 06/15/2013							
25000 0000	09/18/2012	07/08/2010	25,174.72	25,087 97	0 00	86.75	0.00	0 00
912828NL0	US TREAS NTS 1.875 DUE 06-30-2015 06-30-2015 REG							
50000.0000	09/18/2012	06/25/2010	52,161 94	49,922 04	0.00	2,239 90	0.00	0 00
912828PS3	US TREAS NTS NT 2 DUE 01-31-2016 REG							
25000 0000	09/18/2012	02/09/2011	26,355.39	24,739 67	0 00	1,615.72	0.00	0.00
912828QH6	UTD STATES TREAS 1.25% DUE 02-15-2014 02-15-2014 REG							
50000.0000	09/18/2012	02/09/2011	50,720.54	49,849.78	0 00	870.76	0.00	0.00
912828QU7	UNITED STATES TREAS NTS DTD 00355 625% DUE 07-15-2014 REG							
50000 0000	09/18/2012	08/04/2011	50,349 44	50,271.65	0 00	77.79	0.00	0 00
912828RD4	US TREAS NTS 125 DUE 08-31-2013 08-31-2013							
50000.0000	09/18/2012	08/25/2011	49,970 54	49,912.28	0.00	58.26	0.00	0 00
931142CU5	WAL-MART STORES 3.625% DUE 07-08-2020							
40000.0000	09/18/2012	06/30/2010	44,453.60	39,963 20	0 00	4,490.40	0 00	0 00
912828ME7	US TREAS NTS 2.625 DUE 12-31-2014 REG 12-31-2014 REG							
31000.0000	09/26/2012	01/13/2010	32,638.29	31,135.73	0 00	1,502.56	0.00	0.00
912828MR8	UNITED STATES TREAS NTS NT 2.375% DUE 02-28-2015 REG							
50000.0000	09/26/2012	03/15/2010	52,503 74	49,925 95	0 00	2,577.79	0.00	0 00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
912828PR5	US TREAS NTS .625 DUE 01-31-2013							
25000 0000	10/04/2012	02/09/2011	25,040 93	24,915.12	0 00	125 81	0.00	0.00
912828MT4	US TREAS NTS 1.375 DUE 03-15-2013 REG 03-15-2013 REG							
25000 0000	10/05/2012	02/09/2011	25,133.71	25,267 66	0 00	-133.95	0.00	0.00
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO		GNMA					
1400 3100	10/15/2012	08/10/2011	1,400.31	1,570 54	0 00	-170.23	0 00	0.00
58013MEM2	MCDONALDS CORP 2.625 DUE 01-15-2022 REG							
50000 0000	10/19/2012	09/27/2011	52,512.00	49,294.00	0.00	3,218.00	0.00	0.00
912828MB3	UNITED STATES TREAS NTS NT 1 125% DUE 12-15-2012 REG							
25000.0000	10/25/2012	02/15/2011	25,032.14	25,152 43	0 00	-120.29	0.00	0 00
912828PR5	US TREAS NTS .625 DUE 01-31-2013							
25000.0000	11/06/2012	02/09/2011	25,029 22	24,915 12	0 00	114.10	0.00	0 00
36241KYR3	GNMA POOL #782520 5.5% 12-15-2038 BEO		GNMA					
1227 3700	11/15/2012	08/10/2011	1,227.37	1,376 57	0 00	-149.20	0.00	0 00
637432DC6	NATL RURAL UTILS COOP FIN CORP COLL TR BD DTD 02/25/2004 4.75 3-1-2014 REG							
10000 0000	12/06/2012	02/18/2004	10,501.90	9,996.70	0.00	505 20	0 00	0 00
46625HHX1	JPMORGAN CHASE & 3.45% DUE 03-01-2016							
36000.0000	12/07/2012	02/17/2011	38,359 08	36,004.68	0.00	2,354 40	0 00	0.00

This is important tax information and is being furnished to you.

STATEMENT B

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-55721
Recipient's Tax ID Number: XX-XXX7858

☐ **Corrected** ☐ **2nd TIN notice**

Recipient's Name and Address:
SEHGAL FAMILY FOUNDATION
C/O JANET GAGE
100 COURT AVE. STE. 211
DES MOINES, IA 50309-2213

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
91324PBS0	UNITEDHEALTH GROUP 1 875% DUE 11-15-2016							
25000.0000	12/10/2012	11/07/2011	25,832 50	24,779 50	0 00	1,053.00	0.00	0 00
637432KT1	NATL RURAL UTILS 5.45% DUE 02-01-2018 TR BD 5 45 DUE 02-01-2018/01-23-2008 REG							
50000 0000	12/12/2012	01/15/2008	60,545 00	49,888 50	0 00	10,656 50	0 00	0 00
36241KYR3	GNMA POOL #782520 5 5% 12-15-2038 BEO		GNMA					
936 8300	12/17/2012	08/10/2011	936.83	1,050 71	0 00	-113 88	0.00	0.00
Total Long Term 15% Sales			**2,556,308.31**	**2,434,058.49**	**0.00**	**122,249.82**	**0.00**	**0.00**

This is important tax information and is being furnished to you.

STATEMENT B

BNY Mellon Fixed Income Account
2012 Gain(Loss) Detail

Security Description	Date Acquired	Date Sold	Sales Price	Cost or Basis	Gain or (Loss)
FED HOME LOAN MTG CO 2.875% 2/09/15	2/16/2011	02/01/2012	42,954.60	41,516 80	1,437.80
U.S. TREASURY NOTE 0 875% 2/29/12	2/16/2011	02/01/2012	80,046.61	80,428.39	(381 78)
FED FARM CREDIT BANK 2.25% 4/24/12	7/25/2011	03/14/2012	45,103.82	45,687.15	(583.33)
BANK OF AMERICA CORP 5 42% 3/15/17	8/5/2011	06/26/2012	15,436.50	15,282.30	154 20
DR PEPPER SNAPPLE GROU 2.9% 1/15/16	10/25/2011	06/29/2012	10,465.20	10,398.40	66.80
U.S. TREASURY NOTE 1.5% 3/31/19	Various	06/29/2012	56,555 25	54,913.50	1,641.75
BARCLAYS BANK PLC 5.125% 1/08/20	8/1/2012	09/17/2012	50,466 60	49,106 25	1,360.35
			301,028.58	**297,332.79**	**3,695.79**
TIME WARNER INC 3.15% 7/15/15	2/14/2011	02/16/2012	26,492 50	25,169.00	1,323 50
U.S. TREASURY NOTE 0.875% 2/29/12	2/14/2011	02/17/2012	10,001 92	10,053.55	(51 63)
U S. TREASURY NOTE 0.875% 2/29/12	2/14/2011	02/17/2012	10,001.53	10,053.55	(52 02)
U.S TREASURY NOTE 0.875% 2/29/12	2/14/2011	02/29/2012	105,000 00	105,562.27	(562 27)
U.S. TREASURY NOTE 1% 4/30/12	2/16/2011	03/14/2012	35,039.53	35,242.11	(202.58)
JP MORGAN CHASE & C 5 125% 9/15/14	2/16/2011	03/29/2012	42,862.40	42,910.40	(48.00)
U.S. TREASURY NOTE 1% 4/30/12	2/16/2011	03/30/2012	40,029.55	40,276.70	(247.15)
U.S TREASURY NOTE 1% 4/30/12	2/16/2011	04/03/2012	35,024 49	35,242.11	(217.62)
U.S TREASURY NOTE 1% 4/30/12	2/16/2011	04/11/2012	30,013.96	30,207 53	(193.57)
DIAGEO FINANCE BV 5.5% 4/01/13	4/14/2011	05/09/2012	20,884.40	21,660 20	(775.80)
U S. TREASURY NOTE 1.125% 12/15/12	2/16/2011	05/18/2012	10,054 26	10,060.97	(6.71)
U.S. TREASURY NOTE 1 5% 7/15/12	2/16/2011	05/18/2012	95,192.65	96,295.43	(1,102.78)
PRIVATE EXPORT FNDG 4.375% 3/15/19	2/24/2011	06/26/2012	41,243 65	37,147.95	4,095.70
U.S. TREASURY NOTE 1 125% 12/15/12	2/16/2011	06/26/2012	5,022.83	5,030.49	(7 66)
U.S. TREASURY NOTE 3.125% 5/15/19	5/19/2011	06/29/2012	56,845.50	51,312.70	5,532.80
LLOYDS TSB BANK PLC 4.875% 1/21/16	2/17/2011	07/06/2012	36,889 30	35,345.45	1,543 85
U.S. TREASURY NOTE 1.125% 12/15/12	2/16/2011	07/13/2012	10,039 42	10,060 97	(21.55)
PRIVATE EXPORT FNDG 4.375% 3/15/19	2/24/2011	07/31/2012	23,771.40	21,227.40	2,544 00
BARCLAYS BANK PLC 5 0% 9/22/16	2/16/2011	08/01/2012	49,093 20	47,529.90	1,563 30
U.S. TREASURY NOTE 1.125% 12/15/12	2/16/2011	08/01/2012	10,036 29	10,060.97	(24 68)
ROYAL BANK OF SCOTLA 4 875% 3/16/15	2/14/2011	09/13/2012	37,717 05	35,836 85	1,880 20
U S. TREASURY NOTE 1.125% 12/15/12	2/16/2011	09/14/2012	5,011.70	5,030.49	(18.79)
U.S. TREASURY NOTE 2.375% 7/31/17	5/19/2011	10/02/2012	27,123 94	25,129.01	1,994.93
NYSE EURONEXT 4.8% 6/28/13	2/18/2011	10/05/2012	25,824.50	26,829.00	(1,004.50)
GOLDMAN SACHS 4.75% 7/15/13	2/16/2011	10/19/2012	46,329 75	47,869.65	(1,539 90)
COMCAST CORP 5.9% 3/15/16	2/11/2011	10/22/2012	46,460.40	44,227.60	2,232 80
UNITED STATES T-NOTE 0.75% 3/31/13	4/15/2011	10/22/2012	5,013 07	5,004.12	8.95
U.S. TREASURY NOTE 1.125% 12/15/12	2/16/2011	10/22/2012	55,079.31	55,335.34	(256.03)
FEDERAL HOME LOAN BAN 4.25% 6/14/13	3/18/2011	10/22/2012	46,167 26	48,368 70	(2,201.44)
FEDERAL NATL MTG AS 4.375% 7/17/13	2/10/2011	10/22/2012	61,828.98	64,558 80	(2,729.82)
MASSACHUSETTS ST 4.2% 12/01/21	7/6/2011	10/25/2012	28,364.00	25,640.75	2,723.25
RABOBANK NEDERLAND 2.125% 10/13/15	3/2/2011	11/19/2012	25,811 00	24,493.72	1,317 28
HOUSEHOLD FINANCE CO 6.375% 11/27/12	3/11/2011	11/27/2012	35,000.00	37,853.90	(2,853.90)
U.S. TREASURY NOTE 0.75% 9/15/13	2/10/2011	12/18/2012	20,088 21	19,920 84	167 37
U.S. TREASURY NOTE 0.75% 9/15/13	2/10/2011	12/19/2012	60,264.64	59,762.53	502.11
U.S. TREASURY NOTE 0.75% 9/15/13	2/10/2011	12/19/2012	10,042.94	9,960.42	82.52
FEDERAL NATL MTG AS 4.375% 7/17/13	2/10/2011	12/19/2012	5,120.60	5,379.90	(259 30)
			1,234,786.13	**1,221,651.27**	**13,134.86**
			1,535,814.71	1,518,984.06	16,830.65

STATEMENT C

STATEMENT D

Name & Address	Relationship	Foundation Status	Purpose	Amount
Alliance for the Great Lakes 17 N State Street, Suite 1390 Chicago, IL 60602	None	Public	Support the charitable purpose of protecting and preserving the Great Lakes	10,000
Artistic Circles 115 Linden Avenue Wilmette, IL 60091	None	Public	Support the charitable purpose of highlighting water related issues around the world	6,000
Blank Park Zoo Foundation Inc. 7401 SW 9th Street Des Moines, IA 50315	None	Public	Support charitable purpose of providing educational programs to children regarding the zoo and the animals housed there.	2,000
Chicago Council on Global Affairs 332 South Michigan Avenue, Suite 1100 Chicago, IL 60604-4416	None	Public	Support the charitable purpose of sustaining public and leadership programs in Chicago.	2,500
Food Bank of Iowa 2220 E 17th Street Des Moines, IA 50316	None	Public	Support the charitable purpose of alleviating hunger in Iowa through food distribution, partnership, and education	1,000
India Association of Fort Myers P.O Box 07183 Fort Myers, FL 33919	None	Public	Support the charitable purpose of celebrating the rich culture and festivals associated with India to promote the understanding and tolerance of people of Indian origin.	5,000
Indian National Overseas Congress Foundation 1209 N. Orange Street Wilmington, DE 19801	None	Public	Support the charitable purpose of promoting bi-lateral relations between India and the U.S. that will help and assist the people of India to achieve progress and prosperity.	1,000
Iowa Homeless Youth Centers 701 Grand Avenue Des Moines, IA 50309	None	Public	Support the charitable purpose of increasing awareness of the problem of homelessness	2,000
Muscular Dystrophy Association (MDA Lock-up) 3300 East Sunrise Drive Tucson, AZ 85718	None	Public	Support the charitable purpose of providing comprehensive services through local MDA clinics and advance research efforts to find treatments and cures for more than 40 muscle diseases.	500
Medicine for Mali 4605 80th Place Urbandale, IA 50322	None	Public	Support the charitable purpose of providing medicine and medical services to the people of the villages in Mali, West Africa	2,500
University of Iowa Foundation P.O. Box 4550	None	Public	Support the charitable purpose of providing students the opportunity to participate in the University's India Winterim	15,000

Iowa City, IA 52244			Study Abroad program	
University of Iowa Office of Study Abroad 1111 University Capitol Centre Iowa City, IA 52242	None	Public	Support the charitable purpose of providing students the opportunity to attend India Winterim Events	**1,000**
WGCU 10501 FGCU Blvd South Fort Meyers, FL 33965-6565	None	Public	Support the charitable purpose of advocating public broadcasting.	**250**
				48,750

STATEMENT D