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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning

, 2012, and ending

, 20

Name of foundation AVIVA CHARITABLE FOUNDATION		A Employer identification number 42-1431745
Number and street (or P.O. box number if mail is not delivered to street address) 7700 MILLS CIVIC PARKWAY MS: 7C 10	Room/suite	B Telephone number (see instructions) (800) 315-3346
City or town, state, and ZIP code WEST DES MOINES, IA 50266-3862		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 3,869,486.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc., received (attach schedule)	4,000,000.			
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	62,814.	62,814.		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	88,617.			
b	Gross sales price for all assets on line 6a	1,224,757.			
7	Capital gain net income (from Part IV, line 2)		88,617.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	4,151,431.	151,431.		
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc.	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)				
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses. Add lines 13 through 23	0			
25	Contributions, gifts, grants paid	1,949,381.			1,949,381.
26	Total expenses and disbursements. Add lines 24 and 25	1,949,381.			1,949,381.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	2,202,050.			
b	Net investment income (if negative, enter -0-)		151,431.		
c	Adjusted net income (if negative, enter -0-)				

21 P

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	48,701.	305,271.	305,271.	
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 2	1,507,285.	3,458,626.	3,564,215.	
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,555,986.	3,763,897.	3,869,486.		
Liabilities	17	Accounts payable and accrued expenses	8.	3,500.		
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	8.	3,500.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted	1,555,978.	3,760,397.		
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	1,555,978.	3,760,397.		
	31	Total liabilities and net assets/fund balances (see instructions)	1,555,986.	3,763,897.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,555,978.
2	Enter amount from Part I, line 27a	2	2,202,050.
3	Other increases not included in line 2 (itemize) ▶ ATCH 3	3	2,369.
4	Add lines 1, 2, and 3	4	3,760,397.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,760,397.

Form 990-PF (2012)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	88,617.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	1,155,578.	2,273,380.	0.508308
2010	1,182,356.	2,099,979.	0.563032
2009	1,149,660.	1,924,932.	0.597247
2008	1,055,818.	3,277,069.	0.322184
2007	1,131,338.	5,389,709.	0.209907
2 Total of line 1, column (d)			2 2.200678
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.440136
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 3,076,728.
5 Multiply line 4 by line 3			5 1,354,179.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,514.
7 Add lines 5 and 6			7 1,355,693.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 1,949,381.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	1,514.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	1,514.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,514.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	649.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	2,500.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		3,149.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		1,635.
11 Enter the amount of line 10 to be Credited to 2013 estimated tax <input checked="" type="checkbox"/> 1,635. Refunded <input type="checkbox"/> <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> IA, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.AVIVAUSA.COM	13	X	
14	The books are in care of ► KAREN LYNN Telephone no ► 515-342-3910 Located at ► 7700 MILLS CIVIC PARKWAY WEST DES MOINES, IA ZIP+4 ► 50266-3862			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here		<input type="checkbox"/>
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 4		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,691,195.
b	Average of monthly cash balances	1b	432,387.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	3,123,582.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,123,582.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	46,854.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,076,728.
6	Minimum investment return. Enter 5% of line 5	6	153,836.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	153,836.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,514.
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,514.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	152,322.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	152,322.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	152,322.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,949,381.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,949,381.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,514.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,947,867.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				152,322.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only				
b Total for prior years 20 <u>10</u> , 20 <u>09</u> , 20 <u>08</u>				
3 Excess distributions carryover, if any, to 2012				
a From 2007	867,837.			
b From 2008	894,047.			
c From 2009	1,053,953.			
d From 2010	1,078,335.			
e From 2011	1,042,871.			
f Total of lines 3a through e	4,937,043.			
4 Qualifying distributions for 2012 from Part XII, line 4 ► \$ <u>1,949,381.</u>				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				152,322.
e Remaining amount distributed out of corpus	1,797,059.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,734,102.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	867,837.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	5,866,265.			
10 Analysis of line 9				
a Excess from 2008	894,047.			
b Excess from 2009	1,053,953.			
c Excess from 2010	1,078,335.			
d Excess from 2011	1,042,871.			
e Excess from 2012	1,797,059.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(e) Total

(a) 2012

(b) 2011

(c) 2010

(d) 2009

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section

4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

ATCH 5

b The form in which applications should be submitted and information and materials they should include

AN ORGANIZATION FACT SHEET IS AVAILABLE UPON REQUEST.

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
<div>a Paid during the year</div> <div>ATCH 6</div>				
<div>Total</div>			<div>▶ 3a</div>	<div>1,949,381.</div>
<div>b Approved for future payment</div>				
<div>Total</div>			<div>▶ 3b</div>	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	33.	
4 Dividends and interest from securities				14	62,781.	
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income				01		
8 Gain or (loss) from sales of assets other than inventory				18	88,617.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)					151,431.	
13 Total. Add line 12, columns (b), (d), and (e)						151,431.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

7/31/13
Date

▶ HEAD OF CORP AFFAIR
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid
Preparer
Use Only

Print/Type preparer's name

Preparer's signature

Date

Check <input type="checkbox"/> if self-employed	PTIN
---	------

Firm's name ▶

Firm's EIN ▶

Firm's address ►

Phone no

Schedule of Contributors

OMB No 1545-0047

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

2012

Name of the organization

AVIVA CHARITABLE FOUNDATION

Employer identification number

42-1431745

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **AVIVA CHARITABLE FOUNDATION**Employer identification number
42-1431745**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AVIVA LIFE AND ANNUITY COMPANY 7700 MILLS CIVIC PARKWAY WEST DES MOINES, IA 50266-3862	\$ 4,000,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Name of organization AVIVA CHARITABLE FOUNDATION

Employer identification number
42-1431745**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
---	----- ----- ----- -----	\$ -----	-----

Name of organization AVIVA CHARITABLE FOUNDATION

Employer identification number

42-1431745

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----	----- ----- -----	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS & INTEREST	62,814.	62,814.
TOTAL	<u>62,814.</u>	<u>62,814.</u>

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 2

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ISHARES BARCLAYS AGG BD FD	391,941.	399,888.
THE FINANCIAL SEL SECT SPDR FD	188,364.	192,583.
RUSSELL MIDCAP INDEX FUND	160,367.	169,650.
MSCI EAFE FUND		
S & P MIDCAP 400	163,000.	176,425.
VANGUARD INTL EQUITY INDEX FUN		
RUSSELL 1000 GR INDEX	562,883.	573,038.
ISHARES IBOXX INVEST GR COR FD	513,300.	538,406.
SPDR TRUST SERIES 1	790,614.	811,737.
S&P DEP RECEIPTS		
ENERGY SEL SECT SPDR	178,648.	178,550.
POWERSHARES SENIOR LOAN PORT	200,055.	202,338.
SPDR BARCAP SHRT TERM HI YIELD	121,219.	122,200.
VANGUARD MSCI EAFE ETF	83,529.	88,075.
VANGUARD MSCI EMERGING MARKETS	104,706.	111,325.
TOTALS	3,458,626.	3,564,215.

ATTACHMENT 3FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
OTHER INCREASE	2,369.
TOTAL	<u>2,369.</u>

AVIVA CHARITABLE FOUNDATION

42-1431745

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 4

TITLE AND AVERAGE HOURS PER
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

CHRISTOPHER J. LITTLEFIELD 0 0 0 0

7700 MILLS CIVIC PARKWAY

MS: 7C 10

WEST DES MOINES, IA 50266-3862

PRESIDENT

BRENDA CUSHING 0 0 0 0

7700 MILLS CIVIC PARKWAY

MS: 7C 10

WEST DES MOINES, IA 50266-3862

TREASURER

KAREN LYNN 0 0 0 0

7700 MILLS CIVIC PARKWAY

MS: 7C 10

WEST DES MOINES, IA 50266-3862

VICE PRESIDENT

MICHAEL H. MILLER 0 0 0 0

7700 MILLS CIVIC PARKWAY

MS: 7C 10

WEST DES MOINES, IA 50266-3862

SECRETARY

LINDA OLSON 0 0 0 0

7700 MILLS CIVIC PARKWAY

MS: 7C 10

WEST DES MOINES, IA 50266-3862

ASSISTANT SECRETARY

GRAND TOTALS

681430 K907

V 12-6F

ATTACHMENT 4

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

KAREN LYNN
7700 MILLS CIVIC PARKWAY
WEST DES MOINES, IA 50266
515-342-3910

AVIVA CHARITABLE FOUNDATION

42-1431745

FORM 990PE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 6

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MCKINLEY SCHOOL 1610 SE 6TH DES MOINES, IA 50315	NONE EXEMPT	PROVIDE BOOTS AND COATS FOR STUDENTS	36,259
ATTACHMENT SEE ATTACHMENT 7	NONE	VARIOUS - SEE ATTACHED SCHEDULE	1,913,122

TOTAL CONTRIBUTIONS PAID 1,949,381

Aviva USA Group Charitable Foundation
Grants and Contributions Paid During the Year
EIN # 42-1431745
Form 990 PF, Part XV
For Calendar Year 2012

Recipient Name	Address	Amount	Relationship to Recipient	Contributor	Purpose of Grant or Contribution
ALS ASSOCIATION	Johnston, IA 50131	575.00	exempt	none	Health & Human Services
ALTOONA YOUTH SOCCER	Altoona, IA 50009	360.00	exempt	none	Civic & Community
ALZHEIMERS ASSOCIATION	West Des Moines, IA 50266	25.00	exempt	none	Health & Human Services
AMANDA CARES INC	Windsor Heights, IA 50324	7,000.00	exempt	none	Civic & Community
AMANDA THE PANDA	West Des Moines, IA 50265	1,000.00	exempt	none	Civic & Community
AMERICAN CANCER SOCIETY	Cedar Rapids, IA 52402	945.00	exempt	none	Health & Human Services
AMERICAN CANCER SOCIETY- DOUGLAS COUNTY KS	Kansas City, MO 64105	125.00	exempt	none	Health & Human Services
AMERICAN CANCER SOCIETY OK	Oklahoma City, OK 73116	500.00	exempt	none	Health & Human Services
AMERICAN CANCER SOCIETY WI CHAPTER	Waukesha, WI 53188	30.00	exempt	none	Health & Human Services
AMERICAN DIABETES ASSOCIATION	Des Moines, IA 50301	245.00	exempt	none	Health & Human Services
AMERICAN FOUNDATION FOR SUICIDE PREVENTION	New York, NY 10005	25.00	exempt	none	Civic & Community
AMERICAN HEART ASSOCIATION	Des Moines, IA 50314-2527	6,628.00	exempt	none	Health & Human Services
AMERICAN HEART ASSOCIATION TOPEKA	Topeka, KS 66606	2,500.00	exempt	none	Health & Human Services
AMERICAN LUNG ASSOCIATION IN IOWA	Urbandale, IA 50322	225.00	exempt	none	Health & Human Services
AMERICAN RED CROSS	Des Moines, IA 50312	350.00	exempt	none	Health & Human Services
ANCILLAE ASSUMPTA ACADEMY FOUNDATION	Wyncote, PA 19095	500.00	exempt	none	Education
ARTHRITIS FOUNDATION	Des Moines, IA 50322-4724	5,200.00	exempt	none	Health & Human Services
BALLET DES MOINES	Des Moines, IA 50309-1912	1,000.00	exempt	none	Arts & Culture
BEGMAN ACADEMY	Des Moines, IA 50312	500.00	exempt	none	Education
BEST BUDDIES IOWA	Des Moines, IA 50325	2,500.00	exempt	none	Health & Human Services
BIDWELL RIVERSIDE	Des Moines, IA 50315	65.00	exempt	none	Civic & Community
BIG BROTHERS BIG SISTERS	Clive, IA 50325-6900	10,000.00	exempt	none	Health & Human Services
BIG BROTHERS BIG SISTERS FLORIDA	Miami, FL 33135	50.00	exempt	none	Education
BOY SCOUTS OF AMERICA	Des Moines, IA 50313	10,000.00	exempt	none	Health & Human Services
BROADLAWNS MEDICAL CENTER FOUNDATION	Des Moines, IA 50314	250.00	exempt	none	Civic & Community
BUENA VISTA UNIVERSITY	Storm Lake, IA 50588	690.00	exempt	none	Health & Human Services
BUTLER UNIVERSITY	Indianapolis, IN 46208	550.00	exempt	none	Education
CATHOLIC CHARITIES DIOCESE OF DES MOINES	Des Moines, IA 50399	10,000.00	exempt	none	Civic & Community
CENTRAL IOWA SHELTER & SERVICES	Des Moines, IA 50309	50,000.00	exempt	none	Civic & Community
CHILDREN AND FAMILIES OF IOWA	Des Moines, IA 50314	35,100.00	exempt	none	Civic & Community
CHILDSERVE	Johnston, IA 50131	25,000.00	exempt	none	Civic & Community
CHRYSALIS FOUNDATION	Des Moines, IA 50309	5,000.00	exempt	none	Education
CITIZENS SCHOLARSHIP FOUNDATION	Minneapolis, MN 55435	525.00	exempt	none	Arts & Culture
CIVIC CENTER OF GREATER DES MOINES	Des Moines, IA 50309	30,390.00	exempt	none	Arts & Culture
CIVIC MUSIC ASSOCIATION	Des Moines, IA 50314-1676	1,250.00	exempt	none	Education
COLLEGE OF WILLIAM & MARY	Williamsburg, VA 23185	200.00	exempt	none	Education
COLORADO COLLEGE	Colorado Springs, CO 80903	50.00	exempt	none	Education
COMBINED JEWISH PHILANTHROPIES	Boston, MA 02110	120.00	exempt	none	Civic & Community
COVENANT HOUSE	New York, NY 10001	15,000.00	exempt	none	Civic & Community
CREEKSIDE CARES	Plainfield, IL 60585	250.00	exempt	none	Civic & Community
CYSTIC FIBROSIS FOUNDATION	Des Moines, IA 50266	200.00	exempt	none	Health & Human Services
DEPAUW UNIVERSITY	Greencastle, IN 46135	150.00	exempt	none	Education
DES MOINES ART CENTER	Des Moines, IA 50312-2099	15,000.00	exempt	none	Arts & Culture
DES MOINES ARTS FESTIVAL	Des Moines, IA 50309	25,000.00	exempt	none	Arts & Culture
DES MOINES BOTANICAL CENTER	Des Moines, IA 50309	10,000.00	exempt	none	Civic & Community
DES MOINES COMMUNITY PLAYHOUSE	Des Moines, IA 50312	50,000.00	exempt	none	Arts & Culture

DES MOINES METRO OPERA, INC.	Indianola, IA 50125	10,500.00	none	exempt	Arts & Culture
DES MOINES PUBLIC SCHOOLS	Des Moines, IA 50309	9,922.00	none	exempt	Education
DES MOINES SOCIAL CLUB	Des Moines, IA 50309	52,500.00	none	exempt	Arts & Culture
DES MOINES SYMPHONY	Des Moines, IA 50309	60,000.00	none	exempt	Arts & Culture
DRAKE UNIVERSITY	Des Moines, IA 50311-4505	1,525.00	none	exempt	Education
DUKE UNIVERSITY	Durham, NC 27708	100.00	none	exempt	Education
EASTER SEALS OF IOWA	Des Moines, IA 50305	25.00	none	exempt	Civic & Community
EMPLOYEE AND FAMILY RESOURCES	Des Moines, IA 50309	5,000.00	none	exempt	Health & Human Services
EPISCOPAL CHARITIES	San Francisco, CA 94108	100.00	none	exempt	Civic & Community
EVERYBODY WINS IOWA	Des Moines, IA 50306	2,550.00	none	exempt	Civic & Community
FAY SCHOOL FOUNDATION	Southborough, MA 01772	500.00	none	exempt	Education
FELLOWSHIP OF CHRISTIAN ATHLETES	Kansas City, MO 64129	100.00	none	exempt	Civic & Community
FOOD BANK OF IOWA	Des Moines, IA 50316	5,610.00	none	exempt	Civic & Community
FOOD FOR THE POOR	Coconut Creek, FL 33073	100.00	none	exempt	Education
FRANKLIN COLLEGE	Franklin, IN 46131	250.00	none	exempt	Education
FRIENDS OF IPTV	Johnston, Ia 50131	185.00	none	exempt	Arts & Culture
FURRY FRIENDS REFUGE	West Des Moines, IA 50265	500.00	none	exempt	Civic & Community
GIGIS PLAYHOUSE DES MOINES	Urbandale, IA 50322	250.00	none	exempt	Arts & Culture
GOODWILL INDUSTRIES OF CENTRAL IOWA	Urbandale, IA 50322	10,000.00	none	exempt	Civic & Community
GRAMREEN FOUNDATION	Washington, DC 20005	500.00	none	exempt	Education
GRAND VIEW COLLEGE	Des Moines, IA 50316	500.00	none	exempt	Education
GREATHER DES MOINES COMMUNITY FOUNDATION	Des Moines, IA 50309	5,000.00	none	exempt	Civic & Community
GUIDING EYES FOR THE BLIND	Yorktown Heights, NY 10598	25.00	none	exempt	Health & Human Services
HABITAT FOR HUMANITY	Des Moines, IA 50303	50.00	none	exempt	Civic & Community
HABITAT FOR HUMANITY OF IOWA	Des Moines, IA 50313	50,000.00	none	exempt	Civic & Community
HOLY TRINITY SCHOOL	Des Moines, IA 50322	150.00	none	exempt	Civic & Community
HOPE MINISTRIES	Des Moines, IA 50322	5,150.00	none	exempt	Education
HOSPICE OF CENTRAL IOWA	West Des Moines, IA 50265-4730	7,500.00	none	exempt	Health & Human Services
INDIANA UNIVERSITY FOUNDATION	Bloomington, IN 47402	250.00	none	exempt	Education
IOWA COLLEGE FOUNDATION	Des Moines, IA 50309	10,000.00	none	exempt	Education
IOWA HOMELESS YOUTH CENTERS	Des Moines, IA 50316	275,000.00	none	exempt	Civic & Community
IOWA NATURAL HERITAGE FOUNDATION	Des Moines, IA 50309-2321	2,500.00	none	exempt	Civic & Community
IOWA PUBLIC RADIO	Des Moines, IA 50311	310.00	none	exempt	Arts & Culture
IOWA STATE UNIVERSITY FOUNDATION	Ames, IA 50014-7164	1,440.00	none	exempt	Education
IOWA YOUTH CHORUS	Des Moines, IA 50324	150.00	none	exempt	Arts & Culture
JDRF GREATER IOWA CHAPTER	West Des Moines, IA 50265	1,025.00	none	exempt	Health & Human Services
JDRF WESTERN NY CHAPTER	Amherst, NY 14226	25.00	none	exempt	Health & Human Services
JEWISH INTERNATIONAL CONNECTION NY	New York, NY 10025	90.00	none	exempt	Civic & Community
JUNIOR ACHIEVEMENT	Des Moines, IA 50312	10,000.00	none	exempt	Civic & Community
JUVENILE DIABETES RESEARCH FOUNDATION	New York, NY 10004	2,600.00	none	exempt	Health & Human Services
KANSAS STATE UNIVERSITY	Manhattan, KS 66502	1,000.00	none	exempt	Civic & Community
KUEMPER CATHOLIC SCHOOL SYSTEM	Carroll, IA 51401	25.00	none	exempt	Health & Human Services
LEBANON VALLEY COLLEGE OF PA	Annnville, PA 17003	200.00	none	exempt	Education
LIVING HISTORY FARMS	Urbandale, IA 50322	2,000.00	none	exempt	Education
LIVING THE DREAM	Johnston, Ia 50131	1,000.00	none	exempt	Civic & Community
MAINSTREAM LIVING INC	Ames, IA 50010-5601	5,000.00	none	exempt	Health & Human Services
MAKE A WISH IOWA CHAPTER	Urbandale, IA 50322	6,350.00	none	exempt	Health & Human Services
MARCH OF DIMES	Cedar Rapids, IA 52401	225.00	none	exempt	Health & Human Services
MEALS FROM THE HEARTLAND	West Des Moines, IA 50266	12,000.00	none	exempt	Civic & Community
MEALS ON WHEELS OF SHAWNEE & JEFFERSON	Topeka, KS 66604	2,500.00	none	exempt	Civic & Community
METRO ARTS ALLIANCE	Des Moines, IA 50309	3,000.00	none	exempt	Arts & Culture
MORNINGSIDE COLLEGE	Sioux City, IA 51106	50.00	none	exempt	Education
MOSAIC	Omaha, NE 68137	2,500.00	none	exempt	Civic & Community
MOVEMBER	Venice, CA 90294	25.00	none	exempt	Civic & Community

MUSELLA FOUNDATION INC	Hewlett, NY 11557	25.00	none	exempt	Health & Human Services
NATIONAL MULTIPLE SCLEROSIS SOCIETY	New York, NY 10017	2,800.00	none	exempt	Health & Human Services
NORTHERN ILLINOIS UNIVERSITY	Dekalb, IL 60115	100.00	none	exempt	Education
OGLALA LAKOTA COLLEGE	Kyle, SD 57752-0490	100.00	none	exempt	Education
ORCHARD PLACE FOUNDATION	Des Moines, IA 50312	20,000.00	none	exempt	Civic & Community
PHOENIX RESCUE MISSION	Phoenix, AZ 85009	300.00	none	exempt	Civic & Community
PLANNED PARENTHOOD OF THE HEARTLAND	Des Moines, IA 50305	250.00	none	exempt	Health & Human Services
POLK COUNTY HOUSING TRUST FUND	Des Moines, IA 50309	10,000.00	none	exempt	Health & Human Services
POTSDAM COLLEGE FOUNDATION INC	Potsdam, NY 13676	250.00	none	exempt	Education
PROVIDENCE COLLEGE	Providence, RI 02908	500.00	none	exempt	Education
PURDUE FOUNDATION	Lafayette, IN 47907-2007	250.00	none	exempt	Education
READING IN MOTION	Chicago, IL 60601	500.00	none	exempt	Civic & Community
RONALD MCDONALD HOUSE CHARITIES OF CENTRAL IOWA	Des Moines, IA 50314	2,500.00	none	exempt	Civic & Community
RONALD MCDONALD HOUSE CHARITIES OF NORTHEAST KS	Topeka, KS 66606	3,000.00	none	exempt	Civic & Community
RUTH HARBOR	Des Moines, IA 50312	6,000.00	none	exempt	Health & Human Services
SACRED HEART	West Des Moines, IA 50265	1,000.00	none	exempt	Education
SALISBURY HOUSE	Des Moines, IA 50312	2,950.00	none	exempt	Civic & Community
SIMPSON COLLEGE	Indianola, IA 50125	250.00	none	exempt	Education
SOUTHEAST POLK HIGH SCHOOL	Altoona, IA 50009	500.00	none	exempt	Education
SPECIAL OLYMPICS IOWA INC	Grimes, IA 50111-4748	1,575.00	none	exempt	Health & Human Services
ST BALKRICKS FOUNDATION	Monrovia, CA 91016	25.00	none	exempt	Health & Human Services
ST FRANCIS OF ASSISI SCHOOL FOUNDATION	Naugatuck, CT 06770	2,500.00	none	exempt	Education
ST JOSEPH SCHOOL FOUNDATION	Florence, AL 35630	250.00	none	exempt	Education
ST JUDE CHILDRENS RESEARCH HOSPITAL	Memphis, TN 38105	50.00	none	exempt	Health & Human Services
SUSAN G KOMEN FOR THE CURE	Des Moines, IA 50309	50.00	none	exempt	Health & Human Services
SUSAN G KOMEN FOR THE CURE NATIONAL	Dallas, TX 75244	200.00	none	exempt	Civic & Community
TERRACE HILL FOUNDATION	Des Moines, IA 50312	75,000.00	none	exempt	Civic & Community
THE HOMESTEAD FOUNDATION	Pittsburgh, PA 15238	10,000.00	none	exempt	Health & Human Services
THE LEUKEMIA & LYMPHOMA SOCIETY	Clive, IA 50325	1,075.00	none	exempt	Civic & Community
THE MENKES FOUNDATION	California, MD 20619	200.00	none	exempt	Health & Human Services
THE RETIRED & SENIOR VOLUNTEER PROGRAM- SUFFOLK COU	Smithtown, NY 11787	2,000.00	none	exempt	Health & Human Services
TOPEKA SYMPHONY	Topeka, KA 66601	2,500.00	none	exempt	Civic & Community
UNITED NEGRO COLLEGE FUND	Fairfax, VA 22031-8044	100.00	none	exempt	Arts & Culture
UNITED WAY OF CENTRAL IOWA	Des Moines, IA 50314	696,191.36	none	exempt	Education
UNITED WAY OF GREATER TOPEKA	Topeka, KS 66604-4057	38,107.72	none	exempt	Civic & Community
UNIVERSITY OF CALIFORNIA DAVIS	Davis, CA 95616	200.00	none	exempt	Education
UNIVERSITY OF CHICAGO	Chicago, IL 60637	100.00	none	exempt	Education
UNIVERSITY OF INDIANAPOLIS	Indianapolis, IN 46208	50.00	none	exempt	Education
UNIVERSITY OF IOWA FOUNDATION	Iowa City, IA 52244-4550	500.00	none	exempt	Education
UNIVERSITY OF IOWA-BELIN BLANK CENTER	Iowa City, IA 52244	10,000.00	none	exempt	Education
UNIVERSITY OF MASSACHUSETTS AMHERST	Amherst, MA 01003	500.00	none	exempt	Education
UNIVERSITY OF NEBRASKA FOUNDATION	Lincoln, NE 68508	500.00	none	exempt	Education
UNIVERSITY OF NORTHERN IOWA	Cedar Falls, IA 50614-0282	1,505.00	none	exempt	Education
UNIVERSITY OF NOTRE DAME	Notre Dame, IN 46556	450.00	none	exempt	Education
UNIVERSITY OF WI FOUNDATION	Madison, WI 53726	2,500.00	none	exempt	Education
UNIVERSITY OF WI- WHITEWATER FOUNDATION	Whitewater, WI 53190-1790	100.00	none	exempt	Education
UNIVERSITY OF WISCONSIN-MILWAUKEE	Milwaukee, WI 53201	100.00	none	exempt	Education
US OLYMPIC COMMITTEE	Colorado Springs, CO 80909	25.00	none	exempt	Civic & Community
VARIETY THE CHILDRENS CHARITY (CLUB OFIA	Des Moines, IA 50309	208.00	none	exempt	Civic & Community
VISITING NURSES	Des Moines, IA 50314	100.00	none	exempt	Civic & Community
VOCAL ARTS ENSEMBLE OF GREATER DES MOINES	Des Moines, IA 50314	915.00	none	exempt	Civic & Community
WARTBURG COLLEGE	Waverly, IA 50677	625.00	none	exempt	Education
WASHBURN WOMENS ALLIANCE	Topeka, KS 66621	1,500.00	none	exempt	Education
WEST DES MOINES COMMUNITY CELEBRATION	West Des Moines, IA	25,000.00	none	exempt	Civic & Community

WILDWOOD HILLS INC
WILLIAMS COLLEGE
WORLD FOOD PRIZE
WORLD VISION
WORLD WILDLIFE FUND
YMCA OF GREATER DES MOINES
YOUNG WOMENS RESOURCE CENTER
YOUTH EMERGENCY SERVICES & SHELTER
YOUTH HOMES OF MID-AMERICA

St Charles, IA 50240
Williamstown, MA 01267
Des Moines, IA 50309
Federal Way, WA 98063
Washington, DC 20090-7180
Des Moines, IA 50309
Des Moines, IA 50309
Des Moines, IA 50309
Johnston, Ia 50131

10,000.00
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52.00
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**SCHEDULE D
(Form 1041)**Department of the Treasury
Internal Revenue Service**Capital Gains and Losses**▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at
www.irs.gov/form1041.

OMB No 1545-0092

2012

Name of estate or trust

AVIVA CHARITABLE FOUNDATION

Employer identification number

42-1431745

Note: Form 5227 filers need to complete *only* Parts I and II**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b **1b** 3,086.2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 **2**3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts **3**4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet **4** ()5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back **5** 3,086.**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b **6b** 85,346.7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 **7**8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts **8**9 Capital gain distributions **9** 185.10 Gain from Form 4797, Part I **10**11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet **11** ()12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back **12** 85,531.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		3,086.
14	Net long-term gain or (loss):			
a	Total for year	14a		85,531.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		88,617.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) or b \$3,000 **16** ()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,400	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. <input type="checkbox"/> No. Enter the amount from line 23.	25		
26	Subtract line 25 from line 24.	26		
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (15)	30		
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2012

Department of the Treasury
Internal Revenue Service

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2012

► Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041.

Name of estate or trust

Employer identification number

AVIVA CHARITABLE FOUNDATION

42-1431745

Part I

[illegible]

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b 3,086.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

JSA
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681430 K907

V 12-6F

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a					
ISHARES BARCLAYS AGG DB FD	03/31/2008	10/05/2012	55,838.	51,437.	4,401.
ISHARES IBOXX INVEST GR COR FD	03/11/2010	06/25/2012	58,439.	52,895.	5,544.
ISHARES IBOXX INVEST GR COR FD	03/11/2010	10/08/2012	66,768.	58,184.	8,584.
ISHARES RUSSELL MIDCAP INDX FD	03/31/2008	06/26/2012	71,268.	65,161.	6,107.
ISHARES RUSSELL MIDCAP INDX FD	01/24/2011	06/26/2012	81,450.	82,756.	-1,306.
ISHARES RUSSELL MIDCAP INDX FD	01/24/2011	06/27/2012	20,487.	20,689.	-202.
ISHARES RUSSELL MIDCAP INDX FD	02/07/2011	06/27/2012	40,974.	42,789.	-1,815.
ISHARES RUSSELL 1000 GR INDEX	03/14/2007	06/25/2012	16,867.	15,021.	1,846.
ISHARES RUSSELL 1000 GR INDEX	05/16/2007	06/25/2012	38,334.	36,877.	1,457.
ISHARES RUSSELL 1000 GR INDEX	05/16/2007	06/27/2012	43,218.	41,302.	1,916.
ISHARES RUSSELL 1000 GR INDEX	05/16/2007	06/29/2012	11,011.	10,326.	685.
ISHARES RUSSELL 1000 GR INDEX	09/26/2007	06/29/2012	48,761.	47,710.	1,051.
ISHARES RUSSELL 1000 GR INDEX	09/26/2007	07/20/2012	1,589.	1,539.	50.
ISHARES RUSSELL 1000 GR INDEX	04/14/2010	07/02/2012	20,659.	17,338.	3,321.
ISHARES RUSSELL 1000 GR INDEX	04/14/2010	08/17/2012	16,389.	13,337.	3,052.
ISHARES RUSSELL 1000 GR INDEX	04/14/2010	10/05/2012	21,936.	17,338.	4,598.
ISHARES RUSSELL 1000 GR INDEX	02/07/2011	10/05/2012	79,308.	70,956.	8,352.
SPDR S&P MIDCAP 400 ETF TRUST	01/24/2011	06/27/2012	49,748.	50,280.	-532.
SPDR S&P MIDCAP 400 ETF TRUST	01/24/2011	07/20/2012	17,068.	16,760.	308.
SPDR S&P MIDCAP 400 ETF TRUST	01/24/2011	10/05/2012	27,115.	25,140.	1,975.
SPDR TRUST SERIES 1	09/29/2008	06/25/2012	26,877.	23,162.	3,715.
SPDR TRUST SERIES 1	03/11/2010	06/25/2012	38,676.	33,977.	4,699.
SPDR TRUST SERIES 1	03/11/2010	06/29/2012	47,420.	40,311.	7,109.
SPDR TRUST SERIES 1	03/11/2010	10/05/2012	51,835.	40,887.	10,948.
SPDR TRUST SERIES 1	01/24/2011	10/05/2012	108,780.	96,302.	12,478.

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2012

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2F1222 2 000

681430 K907

V 12-6F

Employer identification number

[illegible]

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	85,346.
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V 12-6F

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS				185.	
10,244.		ISHARES RUSSELL MIDCAP INDX FD	10,619.			04/10/2012 -375.	06/27/2012
31,495.		ISHARES RUSSELL MIDCAP INDX FD	31,858.			04/10/2012 -363.	07/20/2012
22,352.		ISHARES RUSSELL MIDCAP INDX FD	21,239.			04/10/2012 1,113.	10/05/2012
48,935.		ISHARES RUSSELL 1000 GR INDEX	46,580.			04/10/2012 2,355.	10/05/2012
18,025.		ISHARES RUSSELL 1000 GR INDEX	17,668.			04/10/2012 357.	10/12/2012
55,838.		ISHARES BARCLAYS AGG DB FD	51,437.			03/31/2008 4,401.	10/05/2012
58,439.		ISHARES IBOXX INVEST GR COR FD	52,895.			03/11/2010 5,544.	06/25/2012
66,768.		ISHARES IBOXX INVEST GR COR FD	58,184.			03/11/2010 8,584.	10/08/2012
71,268.		ISHARES RUSSELL MIDCAP INDX FD	65,161.			03/31/2008 6,107.	06/26/2012
81,450.		ISHARES RUSSELL MIDCAP INDX FD	82,756.			01/24/2011 -1,306.	06/26/2012
20,487.		ISHARES RUSSELL MIDCAP INDX FD	20,689.			01/24/2011 -202.	06/27/2012
40,974.		ISHARES RUSSELL MIDCAP INDX FD	42,789.			02/07/2011 -1,815.	06/27/2012

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
16,867.		ISHARES RUSSELL 1000 GR INDEX 15,021.					03/14/2007 1,846.	06/25/2012
38,334.		ISHARES RUSSELL 1000 GR INDEX 36,877.					05/16/2007 1,457.	06/25/2012
43,218.		ISHARES RUSSELL 1000 GR INDEX 41,302.					05/16/2007 1,916.	06/27/2012
11,011.		ISHARES RUSSELL 1000 GR INDEX 10,326.					05/16/2007 685.	06/29/2012
48,761.		ISHARES RUSSELL 1000 GR INDEX 47,710.					09/26/2007 1,051.	06/29/2012
1,589.		ISHARES RUSSELL 1000 GR INDEX 1,539.					09/26/2007 50.	07/20/2012
20,659.		ISHARES RUSSELL 1000 GR INDEX 17,338.					04/14/2010 3,321.	07/02/2012
16,389.		ISHARES RUSSELL 1000 GR INDEX 13,337.					04/14/2010 3,052.	08/17/2012
21,936.		ISHARES RUSSELL 1000 GR INDEX 17,338.					04/14/2010 4,598.	10/05/2012
79,308.		ISHARES RUSSELL 1000 GR INDEX 70,956.					02/07/2011 8,352.	10/05/2012
49,748.		SPDR S&P MIDCAP 400 ETF TRUST 50,280.					01/24/2011 -532.	06/27/2012
17,068.		SPDR S&P MIDCAP 400 ETF TRUST 16,760.					01/24/2011 308.	07/20/2012

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
27,115.		SPDR S&P MIDCAP 400 ETF TRUST 25,140.					01/24/2011 1,975.	10/05/2012
26,877.		SPDR TRUST SERIES 1 23,162.					09/29/2008 3,715.	06/25/2012
38,676.		SPDR TRUST SERIES 1 33,977.					03/11/2010 4,699.	06/25/2012
47,420.		SPDR TRUST SERIES 1 40,311.					03/11/2010 7,109.	06/29/2012
51,835.		SPDR TRUST SERIES 1 40,887.					03/11/2010 10,948.	10/05/2012
108,780.		SPDR TRUST SERIES 1 96,302.					01/24/2011 12,478.	10/05/2012
20,951.		THE FINANCIAL SEL SECT SPDR FD 23,371.					03/10/2010 -2,420.	06/25/2012
4,701.		THE FINANCIAL SEL SECT SPDR FD 4,674.					03/10/2010 27.	10/12/2012
7,052.		THE FINANCIAL SEL SECT SPDR FD 7,656.					04/14/2010 -604.	10/12/2012
		ST ROUNDING 1.					-1.	
2.		LT ROUNDING					2.	
TOTAL GAIN (LOSS)							<u>88,617.</u>	

(Rev. January 2013)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **►**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	AVIVA CHARITABLE FOUNDATION	42-1431745
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	7700 MILLS CIVIC PARKWAY, MS 7C-10	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	WEST DES MOINES, IA 50266-3862	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► Aviva Charitable Foundation

Telephone No. ► 800-315-3346FAX No. ► 877-411-3020

- If the organization does not have an office or place of business in the United States, check this box ☐ **►**
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ **►**. If it is for part of the group, check this box ☐ **►** and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until August 15, 2013, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 20 12 or

► ☐ tax year beginning , 20 , and ending , 20 .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	3,149
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	649
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	2,500.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☐ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return. See instructions.

Name of exempt organization or other filer, see instructions.

Employer identification number (EIN) or

Number, street, and room or suite no. If a P.O. box, see instructions.

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions.

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☐ Telephone No. ☐ FAX No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

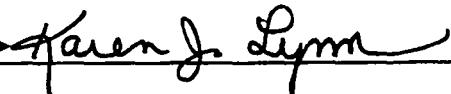
- 4 I request an additional 3-month extension of time until _____, 20____.
- 5 For calendar year _____, or other tax year beginning _____, 20____, and ending _____, 20____.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension _____

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$
c	Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature



Title

Head of Corp Affairs

Date

5/13/13

Form 8868 (Rev. 1-2013)