Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052 **2012** Open to public Inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation: THE WALLIN FOUNDATION

A Employer identification number: 41-6283068

Number and street (or P O box number if mail is not delivered to street address): 5200 WILLSON ROAD Room/suite: 207

B Telephone number: 612-338-0477

City or town, state, and ZIP code: MINNEAPOLIS, MN 55424

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 13,908,174.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received	197,494.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	11,570.	11,570.		STATEMENT 1
	4 Dividends and interest from securities	212,299.	212,299.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<846,663.>			
	b Gross sales price for all assets on line 6a 11,212,901.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	10,082.	10,082.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	<415,218.>	233,951.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	7,703.	1,926.		5,777.
	16a Legal fees				
	b Accounting fees STMT 4	1,312.	328.		984.
	c Other professional fees				
	17 Interest	99.	99.		0.
	18 Taxes STMT 5	2,274.	2,274.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	110,696.	86,920.		23,776.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	122,084.	91,547.		30,537.
	25 Contributions, gifts, grants paid	1,442,892.			1,442,892.
	26 **Total expenses and disbursements.** Add lines 24 and 25	1,564,976.	91,547.		1,473,429.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	<1,980,194.>			
	b **Net investment income** (if negative, enter -0-)		142,404.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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223501 12-05-12 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2012)

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	340,949.	105,247.	105,247.
	2 Savings and temporary cash investments	2,184,815.	625,000.	625,000.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 7	9,811,989.	8,992,577.	9,684,797.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	3,396,536.	3,459,868.	3,493,130.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	15,734,289.	13,182,692.	13,908,174.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	14,381,883.	13,182,692.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)	1,352,406.	0.	
	23 **Total liabilities** (add lines 17 through 22)	15,734,289.	13,182,692.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	0.	0.	
	31 **Total liabilities and net assets/fund balances**	15,734,289.	13,182,692.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0.
2	Enter amount from Part I, line 27a	2	<1,980,194.>
3	Other increases not included in line 2 (itemize) ▶ CHANGE IN FUTURE GRANTS/SUPPORT	3	1,980,194.
4	Add lines 1, 2, and 3	4	0.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	0.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	11,212,901.		12,059,564.	<846,663.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				<846,663.>

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<846,663.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	1,403,711.	11,586,944.	.121146
2010	1,653,496.	14,215,329.	.116318
2009	2,237,296.	15,596,835.	.143446
2008	2,357,128.	19,735,139.	.119438
2007	2,342,982.	25,821,625.	.090737

2 Total of line 1, column (d)	2	.591085
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.118217
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	13,002,171.
5 *Multiply line 4 by line 3*	5	1,537,078.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,424.
7 Add lines 5 and 6	7	1,538,502.
8 Enter qualifying distributions from Part XII, line 4	8	1,473,429.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI — Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	2,848.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3	Add lines 1 and 2		3	2,848.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	2,848.
6	Credits/Payments			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a 58,124.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	58,124.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	55,276.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 55,276. **Refunded** ▶		11	0.

Part VII-A — Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BRAD W WALLIN Telephone no ▶612-338-0477

Located at ▶5200 WILLSON ROAD, SUITE 207, MINNEAPOLIS, MN ZIP+4 ▶55424

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No

			Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No

If "Yes," list the years ▶ ____, ____, ____, ____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here

▶ ____, ____, ____, ____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MAXINE H WALLIN 7022 TUPA CIRCLE EDINA, MN 55439	TRUSTEE 0.00	0.	0.	0.
BRADFORD W WALLIN 6612 MOHAWK TRAIL EDINA, MN 55439	INVESTMENT MANAGER 0.00	0.	0.	0.
REBECCA L WALLIN 6208 PARKWOOD ROAD EDINA, MN 55436	SCHOLARSHIP DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	8,833,147.
b	Average of monthly cash balances	1b	873,897.
c	Fair market value of all other assets	1c	3,493,130.
d	**Total** (add lines 1a, b, and c)	1d	13,200,174.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,200,174.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	198,003.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	13,002,171.
6	**Minimum investment return.** Enter 5% of line 5	6	650,109.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	650,109.
2a	Tax on investment income for 2012 from Part VI, line 5 — 2a: 2,848.		
b	Income tax for 2012. (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	2,848.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	647,261.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	647,261.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	647,261.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,473,429.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,473,429.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,473,429.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				647,261.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2012.				
a From 2007 1,089,339.				
b From 2008 1,392,463.				
c From 2009 1,457,454.				
d From 2010 942,730.				
e From 2011 864,286.				
f Total of lines 3a through e	5,746,272.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 1,473,429.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				647,261.
e Remaining amount distributed out of corpus	826,168.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,572,440.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	1,089,339.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	5,483,101.			
10 Analysis of line 9				
a Excess from 2008 1,392,463.				
b Excess from 2009 1,457,454.				
c Excess from 2010 942,730.				
d Excess from 2011 864,286.				
e Excess from 2012 826,168.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
MINNESOTA ORCHESTRA 1111 NICOLLET MALL MINNEAPOLIS, MN 55403	NONE		TO FURTHER CHARITABLE PURPOSES	200,000.
MACPHAIL CENTER FOR MUSIC 501 S SECOND ST MINNEAPOLIS, MN 55401	NONE		TO FURTHER CHARITABLE PURPOSES	20,000.
PACER CENTER 8161 NORMANDALE BLVD MINNEAPOLIS, MN 55437	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
BRECK SCHOOL 123 OTTAWA AVE N MINNEAPOLIS, MN 55422	NONE		TO FURTHER CHARITABLE PURPOSES	25,000.
CARLETON COLLEGE ONE NORTH COLLEGE ST NORTHFIELD, MN 55057	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	1,442,892.
b Approved for future payment NONE				
Total			▶ 3b	0.

Form **990-PF** (2012)

223611
12-05-12

10561114 767016 333975 2012.04030 THE WALLIN FOUNDATION 333975_1

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	11,570.	
4 Dividends and interest from securities			14	212,299.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	10,082.	
8 Gain or (loss) from sales of assets other than inventory			18	<846,663.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		<612,712.>	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 <612,712.>

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

X Bradford W. Wallin X 11/15/13 ▶ TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
STEVEN WAGNER, CPA		11-15-13		P00017555
Firm's name ▶ FROEHLING ANDERSON LTD			Firm's EIN ▶ 41-1384792	
Firm's address ▶ 1000 SHELARD PARKWAY - SUITE 400 ST. LOUIS PARK, MN 55426			Phone no (952)979-3100	

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2012

Name of the organization	Employer identification number
THE WALLIN FOUNDATION	41-6283068

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE WALLIN FOUNDATION	41-6283068

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MAXINE H WALLIN 7022 TUPA CIRCLE EDINA, MN 55439	$ 197,494.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
THE WALLIN FOUNDATION	41-6283068

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	4,700 SHARES MEDTRONIC STOCK	$ 197,494.	12/24/12

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
THE WALLIN FOUNDATION	41-6283068

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of $1,000 or less for the year (Enter this information once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	CHARLES SCHWAB - SEE ATTACHED	P		
b	CHARLES SCHWAB - SEE ATTACHED	P		
c	CHARLES SCHWAB - SEE ATTACHED	P		
d	SALIENT SURGICAL TECHNOLOGIES	P		09/06/12
e	SALIENT SURGICAL TECHNOLOGIES	P		09/06/12
f	AFFINITY VENTURES V, L.P.	P		
g	CHURCHILL CAPITAL PARTNERS IV, LP	P		
h	CHURCHILL EQUITY & ESOP CAPITAL PARTNERS II	P		
i	LEGACY VENTURE IV, LLC	P		
j	LEGACY VENTURE IV, LLC	P		
k	LEGACY VENTURE V (QP), LLC	P		
l	LEGACY VENTURE V (QP), LLC	P		
m	MORGAN STANLEY REAL ESTATE FUND V OFFSHORE INVEST	P		
n	CAPITAL GAINS DIVIDENDS			
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,773,362.		3,666,643.	106,719.
b	683,990.		586,262.	97,728.
c	6,219,449.		7,806,659.	<1,587,210.>
d	144.			144.
e	99,981.			99,981.
f	1,157.			1,157.
g	235.			235.
h	156,540.			156,540.
i	2,294.			2,294.
j	65,158.			65,158.
k	5,602.			5,602.
l	37,974.			37,974.
m	77,814.			77,814.
n	89,201.			89,201.
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a				106,719.
b				97,728.
c				<1,587,210.>
d				144.
e				99,981.
f				1,157.
g				235.
h				156,540.
i				2,294.
j				65,158.
k				5,602.
l				37,974.
m				77,814.
n				89,201.
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	<846,663.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

223591
05-01-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MN MEDICAL FOUNDATION 200 OAK STREET SUITE 500 MINNEAPOLIS, MN 55455	NONE		TO FURTHER CHARITABLE PURPOSES	500.
WALLIN EDUCATION PARTNERS 5200 WILLSON ROAD SUITE 207 MINNEAPOLIS, MN 55424	NONE		TO FURTHER CHARITABLE PURPOSES	7,182.
WALLIN EDUCATION PARTNERS 5200 WILLSON ROAD SUITE 207 MINNEAPOLIS, MN 55424	NONE		TO FURTHER CHARITABLE PURPOSES	10,000.
MN HISTORICAL SOCIETY 345 KELLOGG BLVD W ST PAUL, MN 55112	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
U OF M FOUNDATION 200 OAK STREET SUITE 500 MINNEAPOLIS, MN 55455	NONE		TO FURTHER CHARITABLE PURPOSES	500,000.
CANCER BENEFIT FUND 1900 RUSH LK TR #422 ST PAUL, MN 55112	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
ACHIEVEMPLS 111 THIRD AVE S SUITE 5 MINNEAPOLIS, MN 55401	NONE		TO FURTHER CHARITABLE PURPOSES	1,500.
WALLIN EDUCATION PARTNERS 5200 WILLSON ROAD SUITE 207 MINNEAPOLIS, MN 55424	NONE		TO FURTHER CHARITABLE PURPOSES	383,460.
MACALESTER COLLEGE 1600 GRAND AVE ST PAUL, MN 55105	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
INT'L SCHOOL PARENT ASS'N 6385 BEACH RD EDEN PRAIRIE, MN 55344	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
Total from continuation sheets				1,187,892.

223631
05-01-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EDINA EDUCATION FUND 5701 NORMANDALE RD EDINA, MN 55424	NONE		TO FURTHER CHARITABLE PURPOSES	10,000.
MINNESOTA LAND TRUST 2356 UNIVERSITY AVE W ST PAUL, MN 55114	NONE		TO FURTHER CHARITABLE PURPOSES	25,000.
PACER CENTER 8161 NORMANDALE BLVD MINNEAPOLIS, MN 55437	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
MOFAS 2233 UNIVERSITY AVE W ST PAUL, MN 55114	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
FIRST UNITARIAN SOCIETY 900 MOUNT CURVE AVE MINNEAPOLIS, MN 55403	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
FAIRVIEW FOUNDATION 2344 ENERGY PARK DRIVE ST PAUL, MN 55108	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
PLANNED PARENTHOOD 1200 LAGOON AVE MINNEAPOLIS, MN 55408	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
FAIRVIEW FOUNDATION P.O. BOX 1367 MINNEAPOLIS, MN 55440	NONE		TO FURTHER CHARITABLE PURPOSES	70,000.
THE RAPTOR CENTER 1920 FITCH AVE ST PAUL, MN 55108	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
NORTHSIDE ACHIEVEMENT ZONE 2123 W BROADWAY MINNEAPOLIS, MN 55411	NONE		TO FURTHER CHARITABLE PURPOSES	1,000.
Total from continuation sheets				

223631
05-01-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE BLAKE SCHOOL 110 BLAKE RD HOPKINS, MN 55343	NONE		TO FURTHER CHARITABLE PURPOSES	2,500.
MN HISTORICAL SOCIETY 345 KELLOGG BLVD W ST PAUL, MN 55102	NONE		TO FURTHER CHARITABLE PURPOSES	500.
SEA EDUCATION ASS'N P.O. BOX 6 WOODS HOLE, MA 02543	NONE		TO FURTHER CHARITABLE PURPOSES	12,500.
CARLETON COLLEGE ONE NORTH COLLEGE ST NORTHFIELD, MN 55057	NONE		TO FURTHER CHARITABLE PURPOSES	20,000.
CAUX ROUNDTABLE 6 W FIFTH ST ST PAUL, MN 55102	NONE		TO FURTHER CHARITABLE PURPOSES	10,000.
YMCA CAMP OLSON 4160 LITTLE BOY RD NE LONGVILLE, MN 56655	NONE		TO FURTHER CHARITABLE PURPOSES	2,500.
RAGAMALA DANCE 711 WEST LAKE ST MINNEAPOLIS, MN 55408	NONE		TO FURTHER CHARITABLE PURPOSES	1,000.
ANIMAL HUMANE SOCIETY 845 MEADOW LANE GOLDEN VALLEY, MN 55422	NONE		TO FURTHER CHARITABLE PURPOSES	500.
DODOMA TANZANIA HEALTH 8085 WAYZATA BLVD MINNEAPOLIS, MN 55426	NONE		TO FURTHER CHARITABLE PURPOSES	1,000.
ACHIEVEMPLS 111 THIRD AVE S SUITE 5 MINNEAPOLIS, MN 55401	NONE		TO FURTHER CHARITABLE PURPOSES	20,000.
Total from continuation sheets				

223631
05-01-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOSPITALITY HOUSE 1220 LOGAN AVE MINNEAPOLIS, MN 55411	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
VOCAL ESSENCE 1900 NICOLLET AVE MINNEAPOLIS, MN 55403	NONE		TO FURTHER CHARITABLE PURPOSES	10,000.
ENCAMPMENT CONSERVATION 2356 UNIVERSITY AVE W ST PAUL, MN 55114	NONE		TO FURTHER CHARITABLE PURPOSES	10,000.
FRIENDS OF THE ORPHANS 2052 MARSHALL AVE ST PAUL, MN 55104	NONE		TO FURTHER CHARITABLE PURPOSES	1,000.
CARLETON COLLEGE ONE NORTH COLLEGE ST NORTHFIELD, MN 55057	NONE		TO FURTHER CHARITABLE PURPOSES	1,000.
TEENS ALONE 915 MAINSTREET HOPKINS, MN 55343	NONE		TO FURTHER CHARITABLE PURPOSES	250.
WAYZATA COMMUNITY SAILING P.O. BOX 768 WAYZATA, MN 55391	NONE		TO FURTHER CHARITABLE PURPOSES	1,000.
THE SALVATION ARMY 2445 PRIOR AVE N ROSEVILLE, MN 55113	NONE		TO FURTHER CHARITABLE PURPOSES	250.
GREATER MINNEAPOLIS CRISIS NURSERY 5400 GLENWOOD AVE GOLDEN VALLEY, MN 55432	NONE		TO FURTHER CHARITABLE PURPOSES	250.
JUNIOR ACHIEVEMENT 1800 WHITE BEAR AVE N MAPLEWOOD, MN 55109	NONE		TO FURTHER CHARITABLE PURPOSES	500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUPERIOR COMMUNITY CHURCH 706 MAIN AVE SUPERIOR, MT 59872	NONE		TO FURTHER CHARITABLE PURPOSES	1,000.
EDINA COMMUNITY FOUNDATION 5280 GRANDVIEW SQ EDINA, MN 55436	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
THE FAMILY PARTNERSHIP 1101 E 78TH ST BLOOMINGTON, MN 55420	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
ST. OLAF COLLEGE 1520 ST. OLAF AVE NORTHFIELD, MN 55057	NONE		TO FURTHER CHARITABLE PURPOSES	1,500.
ONE VILLAGE PARTNERS 2104 STEVENS AVE S MINNEAPOLIS, MN 55404	NONE		TO FURTHER CHARITABLE PURPOSES	500.
MOUNT OLIVET LUTHERAN 5025 KNOX AVE S MINNEAPOLIS, MN 55419	NONE		TO FURTHER CHARITABLE PURPOSES	500.
DAN & SALLIE O'BRIEN FUND 101 FIFTH ST E #2400 ST PAUL, MN 55101	NONE		TO FURTHER CHARITABLE PURPOSES	1,000.
ENCAMPMENT CONSERVATION 2356 UNIVERSITY AVE W ST PAUL, MN 55114	NONE		TO FURTHER CHARITABLE PURPOSES	500.
SCIENCE MUSEUM OF MN 120 KELLOGG BLVD ST PAUL, MN 55102	NONE		TO FURTHER CHARITABLE PURPOSES	2,500.
MN HISTORICAL SOCIETY 345 KELLOGG BLVD W ST PAUL, MN 55102	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
Total from continuation sheets				

223631
05-01-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
YWCA MINNEAPOLIS 1130 NICOLLET MINNEAPOLIS, MN 55403	NONE		TO FURTHER CHARITABLE PURPOSES	5,000.
TPT 172 E 4TH ST EDEN PRAIRIE, MN 55101	NONE		TO FURTHER CHARITABLE PURPOSES	1,000.
CARINGBRIDGE.ORG PO BOX 6032 ALBERT LEA, MN 56007	NONE		TO FURTHER CHARITABLE PURPOSES	1,000.
Total from continuation sheets				

223631
05-01-12

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
AFFINITY VENTURES V, L.P.	427.
CHURCHILL EQUITY & ESOP CAPITAL PARTNERS II	600.
DATA SCIENCES INTERNATIONAL	8,529.
LEGACY VENTURE IV, LLC	1,636.
LEGACY VENTURE V (QP), LLC	370.
US BANK	8.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	11,570.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CHARLES SCHWAB	295,355.	89,201.	206,154.
LEGACY VENTURE IV, LLC	2,764.	0.	2,764.
LEGACY VENTURE V (QP), LLC	1,307.	0.	1,307.
RBC CAPITAL MARKETS	2,074.	0.	2,074.
TOTAL TO FM 990-PF, PART I, LN 4	301,500.	89,201.	212,299.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME	8,542.	8,542.	
CHARLES SCHWAB	2,500.	2,500.	
ORDINARY INCOME FROM PASSTHROUGH ENTITIES	<4,750.>	<4,750.>	
OTHER INCOME FROM PASSTHROUGH ENTITIES	3,788.	3,788.	
RENTAL INCOME FROM PASSTHROUGH ENTITIES	2.	2.	
TOTAL TO FORM 990-PF, PART I, LINE 11	10,082.	10,082.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	1,312.	328.		984.
TO FORM 990-PF, PG 1, LN 16B	1,312.	328.		984.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CHARLES SCHWAB - FOREIGN TAX	2,122.	2,122.		0.
LEGACY VENTURE IV, LLC - FOREIGN TAX	74.	74.		0.
LEGACY VENTURE V, LLC - FOREIGN TAX	53.	53.		0.
MN FILING FEE	25.	25.		0.
TO FORM 990-PF, PG 1, LN 18	2,274.	2,274.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AFFINITY VENTURES V, L.P.	8,196.	8,196.		0.
CHURCHILL EQUITY & ESOP CAPITAL PARTNERS II	957.	957.		0.
LEGACY VENTURE IV, LLC	41,909.	41,909.		0.
LEGACY VENTURE V (QP), LLC	27,607.	27,607.		0.
MORGAN STANLEY REAL ESTATE FUND V OFFSHORE INVESTORS INTL LP	176.	176.		0.
BANK CHARGES	150.	150.		0.
INSURANCE	203.	50.		153.
SUPPLIES	52.	13.		39.
OFFICE EXPENSE SHARE	31,446.	7,862.		23,584.
TO FORM 990-PF, PG 1, LN 23	110,696.	86,920.		23,776.

FORM 990-PF	CORPORATE STOCK	STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	8,992,577.	9,684,797.
TOTAL TO FORM 990-PF, PART II, LINE 10B	8,992,577.	9,684,797.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY ASIA III	COST	748,698.	748,698.
LEGACY VENTURE V (QP), LLC	COST	571,146.	571,146.
CHURCHILL EQUITY & ESOP CAPITAL PARTNERS II-B, LP	COST	187,513.	187,513.
CHURCHILL CAPITAL PARTNERS IV, LP	COST	0.	0.
LEGACY VENTURE IV, LLC	COST	1,034,194.	1,034,194.
MORGAN STANLEY REAL ESTATE FUND V	COST	600,623.	600,623.
AFFINITY VENTURES V, L.P.	COST	148,215.	148,215.
SALIENT SURGICAL TECHNOLOGIES	COST	69,354.	102,616.
INVESTMENT - DUE FROM WALLIN ENTITY	COST	100,125.	100,125.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,459,868.	3,493,130.

charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Date Prepared: March 22, 2013

Recipient's Name and Address

MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986
5200 WILLSON RD STE 209
MINNEAPOLIS MN 55424

Taxpayer ID Number: 41-6283068
Account Number: 8770-2234

Payer's Name and Address

CHARLES SCHWAB & CO., INC.
211 MAIN STREET
SAN FRANCISCO, CA 94105

Telephone Number: (800) 515-2157
Federal ID Number: 94-1737782

Proceeds from Broker Transactions — 2012

CORRECTED

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
BLACKROCK ENER & RES TR	09250U101 / BGR	S	06/22/12 / 07/12/12	$ 4,573.18 / 200.00	$ 4,312.72	$ 0.00	$ 0.00
BLACKROCK ENER & RES TR	09250U101 / BGR	S	06/22/12 / 07/12/12	$ 52,591.59 / 2,300.00	$ 49,590.65	$ 0.00	$ 0.00
BLACKROCK ENER & RES TR	09250U101 / BGR	S	05/08/12 / 08/03/12	$ 2,394.59 / 100.00	$ 2,416.03	$ 0.00	$ 0.00
BLACKROCK ENER & RES TR	09250U101 / BGR	S	06/15/12 / 08/03/12	$ 1,188.52 / 50.00	$ 1,091.60	$ 0.00	$ 0.00
BLACKROCK ENER & RES TR	09250U101 / BGR	S	06/15/12 / 08/03/12	$ 9,578.36 / 400.00	$ 8,732.79	$ 0.00	$ 0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

DST 00085566 STP67399R2

INSTRUCTIONS FOR RECIPIENTS OF FORM 1099

We are required by the Internal Revenue Service ("IRS") to furnish the appropriate **Forms 1099-DIV, 1099-INT, 1099-MISC, 1099-OID** and **1099-B** to you. The amounts listed on this composite form represent dividends, interest, and/or other reportable payments made or credited to you through your brokerage account during 2012. This information will be reported to the IRS.

1099-B: Proceeds from Broker Transactions

Brokers and barter exchanges must report proceeds from transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in *control or capital structure*. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 8.

Recipient's identification number.
For your protection, this form may show only the last four digits of your social security number (SSN), individual taxpayer identification number (ITIN), or adoption taxpayer identification number (ATIN). However, the issuer has reported your complete identification number to the IRS and, where applicable, to state and/or local governments.

Account number.
May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number.
For broker transactions, may show the CUSIP (Committee on Uniform Security Identification Procedures) number of the item reported.

Box 1a.
Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 9 through 12, no entry will be present.

Box 1b.
This box may be blank if the securities are noncovered or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 2a.
Shows the *aggregate* cash proceeds from transactions involving stocks, bonds, other debt obligations, commodities, or forward contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts.

The broker must indicate whether the sales price or the sales price less commissions (including transfer taxes) *and option premiums* was reported to the IRS. Report this amount on Form 8949 as explained in the instructions for Schedule D (Form 1040).

Box 2b.
If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 2a. Do not report this loss on Form 8949 or Schedule D. The broker should advise you of any losses on a separate statement.

Box 3.
Shows the cost or other basis of securities sold. If securities are noncovered, box 3 may be blank. See the Schedule D (Form 1040) instructions or Pub. 550 for details about basis.

Box 4.
Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5.
Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040) Instructions and Pub. 550.

Box 6a.
The securities sold were noncovered securities and boxes 1b, 1c, 3, and 5 may be blank. Generally, a noncovered security means: a security other than stock; stock purchased before 2011; stock in most mutual funds and other regulated investment companies purchased before 2012; and stock purchased in or transferred to a dividend reinvestment plan before 2012.

Box 6b.
The basis in box 3 has been reported to the IRS

Box 8.
Shows a brief description of the item or service for which the proceeds or bartering *income is being reported*. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), *or* O (other).

Boxes 13-15.
Shows state income tax withheld.

charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
BLACKROCK ENER & RES TR	09250U101	S	06/15/12	$ 23,945.88	$ 21,831.99	$ 0.00	$ 0.00
	BGR		08/03/12	1,000.00			
BLACKROCK ENER & RES TR	09250U101	S		$ 23,945.88	$ 22,763.87	$ 0.00	$ 0.00
	BGR		08/03/12	1,000.00			
BLACKROCK ENER & RES TR	09250U101	S	06/15/12	$ 2,430.35	$ 2,183.20	$ 0.00	$ 0.00
	BGR		08/06/12	100.00			
BLACKROCK ENER & RES TR	09250U101	S	06/15/12	$ 34,024.89	$ 30,564.79	$ 0.00	$ 0.00
	BGR		08/06/12	1,400.00			
BLACKROCK ENER & RES TR	09250U101	S	06/18/12	$ 49.09	$ 43.44	$ 0.00	$ 0.00
	BGR		08/07/12	2.00			
BLACKROCK ENER & RES TR	09250U101	S	06/18/12	$ 28,841.39	$ 25,522.69	$ 0.00	$ 0.00
	BGR		08/07/12	1,175.00			
BLACKROCK ENER & RES TR	09250U101	S		$ 32,400.54	$ 28,809.91	$ 0.00	$ 0.00
	BGR		08/07/12	1,320.00			
BLACKROCK ENER & RES TR	09250U101	S	06/18/12	$ 2,457.90	$ 2,172.19	$ 0.00	$ 0.00
	BGR		08/09/12	100.00			
BLACKROCK ENER & RES TR	09250U101	S	06/18/12	$ 9,827.14	$ 8,688.77	$ 0.00	$ 0.00
	BGR		08/09/12	400.00			
BLACKROCK ENER & RES TR	09250U101	S	06/18/12	$ 13,807.13	$ 12,207.72	$ 0.00	$ 0.00
	BGR		08/09/12	562 00			

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

DST 00085566 STP67399R2

charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange		2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold		3-Cost or other basis		5-Wash sale loss disallowed		4-Federal income tax withheld
BLACKROCK ENER & RES TR	09250U101 / BGR	S	06/18/12 / 08/09/12	$	58,739.36 / 2,391.00	$	51,935.96	$	0.00	$	0.00
Security Subtotal				$	**300,795.79**	$	**272,868.32**	$	**0.00**	$	**0.00**
BLACKROCK REAL ASSET EQ	09254B109 / BCF	S	07/11/12 / 07/27/12	$	1,058.84 / 100.00	$	1,027.36	$	0.00	$	0.00
BLACKROCK REAL ASSET EQ	09254B109 / BCF	S	07/11/12 / 07/27/12	$	1,058.84 / 100.00	$	1,028.18	$	0.00	$	0.00
BLACKROCK REAL ASSET EQ	09254B109 / BCF	S	07/11/12 / 07/27/12	$	1,058.84 / 100.00	$	1,028.18	$	0.00	$	0.00
BLACKROCK REAL ASSET EQ	09254B109 / BCF	S	07/11/12 / 07/27/12	$	2,117.68 / 200.00	$	2,056.36	$	0.00	$	0.00
BLACKROCK REAL ASSET EQ	09254B109 / BCF	S	07/11/12 / 07/27/12	$	3,176.52 / 300.00	$	3,082.07	$	0.00	$	0.00
BLACKROCK REAL ASSET EQ	09254B109 / BCF	S	07/11/12 / 07/27/12	$	3,705.94 / 350.00	$	3,596.58	$	0.00	$	0.00
BLACKROCK REAL ASSET EQ	09254B109 / BCF	S	07/11/12 / 07/27/12	$	5,294.20 / 500.00	$	5,140.89	$	0.00	$	0.00
BLACKROCK REAL ASSET EQ	09254B109 / BCF	S	07/11/12 / 07/27/12	$	5,717.73 / 540.00	$	5,552.17	$	0.00	$	0.00
BLACKROCK REAL ASSET EQ	09254B109 / BCF	S	07/11/12 / 07/27/12	$	19,059.11 / 1,800.00	$	18,492.44	$	0.00	$	0.00

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

002701 6/52

charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
BLACKROCK REAL ASSET EQ	09254B109 / BCF	S	/ 07/27/12	$ 27,000.41 / 2,550.00	$ 25,997.63	$ 0.00	$ 0.00
BLACKROCK REAL ASSET EQ	09254B109 / BCF	S	/ 08/06/12	$ 53,939.84 / 5,000.00	$ 51,445.94	$ 0.00	$ 0.00
Security Subtotal				**$ 123,187.95**	**$ 118,447.80**	**$ 0.00**	**$ 0.00**
BLACKROCK RESOURCES & COMMODITIES STRA	09257A108 / BCX	S	08/29/12 / 09/06/12	$ 35,865.25 / 2,500.00	$ 35,183.95	$ 0.00	$ 0.00
Security Subtotal				**$ 35,865.25**	**$ 35,183.95**	**$ 0.00**	**$ 0.00**
COHEN & STEERS QUALITY INCOME REALTY FU	19247L106 / RQI	S	10/01/12 / 10/02/12	$ 2,739.19 / 260.00	$ 2,742.73	$ 0.00	$ 0.00
COHEN & STEERS QUALITY INCOME REALTY FU	19247L106 / RQI	S	10/01/12 / 10/02/12	$ 23,779.32 / 2,240.00	$ 23,625.17	$ 0.00	$ 0.00
Security Subtotal				**$ 26,518.51**	**$ 26,367.90**	**$ 0.00**	**$ 0.00**
COLUMBIA ACORN FUND CL Z	197199409 / ACRNX	S	06/06/12 / 07/19/12	$ 309.14 / 10.38	$ 301.98	$ 0.00	$ 0.00
Security Subtotal				**$ 309.14**	**$ 301.98**	**$ 0.00**	**$ 0.00**
COLUMBIA ACORN INTL FD CL Z	197199813 / ACINX	S	06/06/12 / 07/31/12	$ 672.34 / 18.03	$ 647.19	$ 0.00	$ 0.00
Security Subtotal				**$ 672.34**	**$ 647.19**	**$ 0.00**	**$ 0.00**

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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DST 00085566 STP67399R2

charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

6b-Basis reported to IRS

SHORT-TERM HOLDING PERIOD

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
COLUMBIA MULTI ADV INTL EQTY Z	19765H677 / NIEQX	S	06/19/12 / 07/26/12	$ 671.00 / 62.13	$ 666.66	$ 0.00	$ 0.00
Security Subtotal				$ **671.00**	$ **666.66**	$ 0.00	$ 0.00
COLUMBIA SELIGMAN PREM	19842X109 / STK	S	06/19/12 / 08/07/12	$ 1,640.30 / 100.00	$ 1,651.36	$ 11.06	$ 0.00
COLUMBIA SELIGMAN PREM	19842X109 / STK	S	06/19/12 / 08/07/12	$ 8,201.50 / 500.00	$ 8,256.79	$ 55.29	$ 0.00
COLUMBIA SELIGMAN PREM	19842X109 / STK	S	06/19/12 / 08/07/12	$ 12,253.03 / 747.00	$ 12,335.65	$ 82.62	$ 0.00
COLUMBIA SELIGMAN PREM	**19842X109** / **STK**	S	**06/19/12** / **08/17/12**	$ **7,127.03** / **442.00**	$ **7,094.58**	$ **0.00**	$ **0.00** CORRECTED
COLUMBIA SELIGMAN PREM	**19842X109** / **STK**	S	**06/19/12** / **08/17/12**	$ **20,935.85** / **1,300.00**	$ **20,679.23**	$ **0.00**	$ **0.00** CORRECTED
COLUMBIA SELIGMAN PREM	**19842X109** / **STK**	S	**06/19/12** / **08/22/12**	$ **1,617.68** / **100.00**	$ **1,575.34**	$ **0.00**	$ **0.00** CORRECTED
COLUMBIA SELIGMAN PREM	**19842X109** / **STK**	S	**06/19/12** / **08/22/12**	$ **1,618.68** / **100.00**	$ **1,575.34**	$ **0.00**	$ **0.00** CORRECTED
COLUMBIA SELIGMAN PREM	**19842X109** / **STK**	S	**06/19/12** / **08/22/12**	$ **3,235.37** / **200.00**	$ **3,150.67**	$ **0.00**	$ **0.00** CORRECTED
COLUMBIA SELIGMAN PREM	**19842X109** / **STK**	S	**06/19/12** / **08/22/12**	$ **4,853.06** / **300.00**	$ **4,726.01**	$ **0.00**	$ **0.00** CORRECTED

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
COLUMBIA SELIGMAN PREM	19842X109 / STK	S	06/27/12 / 08/24/12	$ 1,624.68 / 100.00	$ 1,580.79	$ 0.00	$ 0.00 CORRECTED
COLUMBIA SELIGMAN PREM	19842X109 / STK	S	06/27/12 / 08/24/12	$ 6,499.13 / 400.00	$ 6,323.17	$ 0.00	$ 0.00 CORRECTED
COLUMBIA SELIGMAN PREM	19842X109 / STK	S	/ 08/24/12	$ 11,243.50 / 692.00	$ 10,834.39	$ 0.00	$ 0.00 CORRECTED
COLUMBIA SELIGMAN PREM	19842X109 / STK	S	/ 08/24/12	$ 32,493.66 / 2,000.00	$ 31,456.74	$ 0.00	$ 0.00 CORRECTED
Security Subtotal				$ **113,343.47**	$ **111,240.06**	$ **148.97**	$ **0.00**
DWS WORLD DIVIDEND FD CL A	23337R601 / SERAX	S	/ 07/27/12	$ 2,804.98 / 121.63	$ 2,722.04	$ 0.00	$ 0.00
Security Subtotal				$ **2,804.98**	$ **2,722.04**	$ **0.00**	$ **0.00**
FIRST TR EXCH TRADED FD ENERGY ALPHADEX	33734X127 / FXN	S	07/25/12 / 08/03/12	$ 1,861.60 / 100.00	$ 1,772.89	$ 0.00	$ 0.00
FIRST TR EXCH TRADED FD ENERGY ALPHADEX	33734X127 / FXN	S	07/25/12 / 08/03/12	$ 5,584.80 / 300.00	$ 5,318.69	$ 0.00	$ 0.00
FIRST TR EXCH TRADED FD ENERGY ALPHADEX	33734X127 / FXN	S	/ 08/03/12	$ 39,093.60 / 2,100.00	$ 37,661.32	$ 0.00	$ 0.00
FIRST TR EXCH TRADED FD ENERGY ALPHADEX	33734X127 / FXN	S	09/18/12 / 10/18/12	$ 2,054.59 / 100.00	$ 2,044.18	$ 0.00	$ 0.00

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DST 00085566 STP67399R2

charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
FIRST TR EXCH TRADED FD ENERGY ALPHADEX	33734X127 FXN	S	09/18/12 10/18/12	$ 2,055.59 100.00	$ 2,044.18	$ 0.00	$ 0.00
FIRST TR EXCH TRADED FD ENERGY ALPHADEX	33734X127 FXN	S	09/18/12 10/18/12	$ 4,105.19 200.00	$ 4,088.16	$ 0.00	$ 0.00
FIRST TR EXCH TRADED FD ENERGY ALPHADEX	33734X127 FXN	S	09/18/12 10/18/12	$ 47,209.71 2,300.00	$ 47,013.82	$ 0.00	$ 0.00
FIRST TR EXCH TRADED FD ENERGY ALPHADEX	33734X127 FXN	S	09/18/12 10/18/12	$ 49,310.31 2,400.00	$ 49,059.89	$ 0.00	$ 0.00
FIRST TR EXCH TRADED FD ENERGY ALPHADEX	33734X127 FXN	S	09/18/12 10/18/12	$ 49,334.30 2,400.00	$ 49,060.29	$ 0.00	$ 0.00
FIRST TR EXCH TRADED FD ENERGY ALPHADEX	33734X127 FXN	S	09/18/12 10/18/12	$ 51,364 90 2,500.00	$ 51,104.48	$ 0.00	$ 0.00
Security Subtotal				**$ 251,974.59**	**$ 249,167.90**	**$ 0.00**	**$ 0.00**
ING RISK MNGD NATURL RES	449810100 IRR	S	08/07/12	$ 29,173.40 2,653.00	$ 29,855.66	$ 0.00	$ 0.00
ING RISK MNGD NATURL RES	449810100 IRR	S	04/26/12 08/09/12	$ 7,123.49 647.00	$ 7,332.18	$ 0.00	$ 0.00
ING RISK MNGD NATURL RES	449810100 IRR	S	04/27/12 08/09/12	$ 1,100.91 100.00	$ 1,132.80	$ 0.00	$ 0.00
ING RISK MNGD NATURL RES	449810100 IRR	S	04/27/12 08/09/12	$ 1,100.91 100.00	$ 1,132.80	$ 0.00	$ 0.00

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002701 8/52

charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
ING RISK MNGD NATURL RES	449810100 / IRR	S	/ 08/09/12	$ 23,118.96 / 2,100.00	$ 23,783.53	$ 0.00	$ 0.00
ING RISK MNGD NATURL RES	449810100 / IRR	S	/ 08/09/12	$ 103,484.86 / 9,400.00	$ 103,814.70	$ 0.00	$ 0.00
Security Subtotal				**$ 165,102.53**	**$ 167,051.67**	**$ 0.00**	**$ 0.00**
ISHARES MEDICAL DEVICES INDEX FUND	464288810 / IHI	S	04/20/12 / 08/15/12	$ 6,530.67 / 100.00	$ 6,590.36	$ 0.00	$ 0.00
ISHARES MEDICAL DEVICES INDEX FUND	464288810 / IHI	S	04/20/12 / 08/15/12	$ 6,532.67 / 100.00	$ 6,590.36	$ 0.00	$ 0.00
ISHARES MEDICAL DEVICES INDEX FUND	464288810 / IHI	S	04/20/12 / 08/15/12	$ 130,613.49 / 2,000.00	$ 131,753.16	$ 0.00	$ 0.00
ISHARES MEDICAL DEVICES INDEX FUND	464288810 / IHI	S	04/20/12 / 08/15/12	$ 163,187.39 / 2,500.00	$ 164,740.75	$ 1,553.36	$ 0.00
ISHARES MEDICAL DEVICES INDEX FUND	464288810 / IHI	S	04/20/12 / 08/15/12	$ 182,858.89 / 2,800.00	$ 174,999.38	$ 0.00	$ 0.00
Security Subtotal				**$ 489,723.11**	**$ 484,674.01**	**$ 1,553.36**	**$ 0.00**
ISHARES MSCI SWEDEN IDX SWEDEN INDEX FUN	464286756 / EWD	S	05/21/12 / 05/22/12	$ 12,700.77 / 500.00	$ 12,458.95	$ 0.00	$ 0.00
Security Subtotal				**$ 12,700.77**	**$ 12,458.95**	**$ 0.00**	**$ 0.00**

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DST 00085566 STP67399R2

Schwab One® Trust Account of
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U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
ISHARES OIL & GAS EXPL INDEX FUND	464288851 / IEO	S	06/19/12 / 07/11/12	$ 57,399.76 / 1,000.00	$ 56,548.95	$ 0.00	$ 0.00
ISHARES OIL & GAS EXPL INDEX FUND	464288851 / IEO	S	06/20/12 / 07/26/12	$ 88,054.08 / 1,500.00	$ 84,263.95	$ 0.00	$ 0.00
Security Subtotal				**$ 145,453.84**	**$ 140,812.90**	**$ 0.00**	**$ 0.00**
ISHARES TR BARCLAYS BONDBARCLAYS 1-3 YEA	464288646 / CSJ	S	04/27/12 / 07/18/12	$ 10,495.31 / 100.00	$ 10,491.89	$ 0.00	$ 0.00
ISHARES TR BARCLAYS BONDBARCLAYS 1-3 YEA	464288646 / CSJ	S	04/27/12 / 07/18/12	$ 199,412.93 / 1,900.00	$ 199,296.01	$ 0.00	$ 0.00
Security Subtotal				**$ 209,908.24**	**$ 209,787.90**	**$ 0.00**	**$ 0.00**
MARKET VECTORS ETF TRUSTS NETWORK GLOBAL	57060U829 / BJK	S	06/15/12 / 08/16/12	$ 3,171.98 / 100.00	$ 3,171.95	$ 0.00	$ 0.00
Security Subtotal				**$ 3,171.98**	**$ 3,171.95**	**$ 0.00**	**$ 0.00**
SCH US MID-CAP ETF	808524508 / SCHM	S	03/05/12 / 08/17/12	$ 10,714.48 / 401.00	$ 10,718.73	$ 0.00	$ 0.00
SCH US MID-CAP ETF	808524508 / SCHM	S	03/05/12 / 08/17/12	$ 26,692.68 / 999.00	$ 26,703.27	$ 0.00	$ 0.00
SCH US MID-CAP ETF	808524508 / SCHM	S	03/05/12 / 08/17/12	$ 48,094.92 / 1,800.00	$ 48,114.00	$ 0.00	$ 0.00

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charles SCHWAB

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U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
SCH US MID-CAP ETF	808524508 SCHM	S	 08/17/12	$ 48,094.92 1,800.00	$ 48,047.70	$ 0.00	$ 0.00
Security Subtotal				**$ 133,597.00**	**$ 133,583.70**	**$ 0.00**	**$ 0.00**
SPDR S&P GLOBAL ETF NATURAL RESOURCE	78463X541 GNR	S	 08/14/12	$ 123,113.29 2,500.00	$ 117,011.35	$ 0.00	$ 0.00
SPDR S&P GLOBAL ETF NATURAL RESOURCE	78463X541 GNR	S	08/29/12 09/06/12	$ 4,927.53 100.00	$ 4,863.36	$ 0.00	$ 0.00
SPDR S&P GLOBAL ETF NATURAL RESOURCE	78463X541 GNR	S	08/29/12 09/06/12	$ 24,623.16 500.00	$ 24,316.80	$ 0.00	$ 0.00
SPDR S&P GLOBAL ETF NATURAL RESOURCE	78463X541 GNR	S	08/29/12 09/06/12	$ 34,478.72 700.00	$ 34,043.51	$ 0.00	$ 0.00
SPDR S&P GLOBAL ETF NATURAL RESOURCE	78463X541 GNR	S	08/29/12 09/06/12	$ 59,094.39 1,200.00	$ 58,360.28	$ 0.00	$ 0.00
SPDR S&P GLOBAL ETF NATURAL RESOURCE	78463X541 GNR	S	08/30/12 09/07/12	$ 125,913.23 2,500.00	$ 119,683.95	$ 0.00	$ 0.00
Security Subtotal				**$ 372,150.32**	**$ 358,279.25**	**$ 0.00**	**$ 0.00**
STATOIL ASA ADR FSPONSORED ADR	85771P102 STO	S	05/29/12 06/15/12	$ 1,833.46 78.00	$ 1,813.78	$ 0.00	$ 0.00
STATOIL ASA ADR FSPONSORED ADR	85771P102 STO	S	05/29/12 06/15/12	$ 2,350.59 100.00	$ 2,325.36	$ 0.00	$ 0.00

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DST 00085566 STP67399R2

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description		CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
STATOIL ASA ADR ADR	FSPONSORED	85771P102 STO	S	05/29/12 06/15/12	$ 2,350.59 100.00	$ 2,325.36	$ 0.00	$ 0.00
STATOIL ASA ADR ADR	FSPONSORED	85771P102 STO	S	05/29/12 06/15/12	$ 2,350.59 100.00	$ 2,325.36	$ 0.00	$ 0.00
STATOIL ASA ADR ADR	FSPONSORED	85771P102 STO	S	05/29/12 06/15/12	$ 2,350.59 100.00	$ 2,325.36	$ 0.00	$ 0.00
STATOIL ASA ADR ADR	FSPONSORED	85771P102 STO	S	05/29/12 06/15/12	$ 4,701.17 200.00	$ 4,650.72	$ 0.00	$ 0.00
STATOIL ASA ADR ADR	FSPONSORED	85771P102 STO	S	05/29/12 06/15/12	$ 42,827.75 1,822.00	$ 42,368.01	$ 0.00	$ 0.00
Security Subtotal					$ **58,764.74**	$ **58,133.95**	$ **0.00**	$ **0.00**
VANGUARD CORP BOND ETF SHORT-TERM CORP		92206C409 VCSH	S	07/30/12 09/20/12	$ 1,767.90 22.00	$ 1,754.19	$ 0.00	$ 0.00
VANGUARD CORP BOND ETF SHORT-TERM CORP		92206C409 VCSH	S	07/30/12 09/20/12	$ 2,973.29 37.00	$ 2,950.23	$ 0.00	$ 0.00
VANGUARD CORP BOND ETF SHORT-TERM CORP		92206C409 VCSH	S	07/30/12 09/20/12	$ 11,973.53 149.00	$ 11,880.66	$ 0.00	$ 0.00
VANGUARD CORP BOND ETF SHORT-TERM CORP		92206C409 VCSH	S	07/30/12 09/20/12	$ 12,857.48 160.00	$ 12,757.76	$ 0.00	$ 0.00

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002701 10/62

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Schwab One® Trust Account of
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U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
VANGUARD CORP BOND ETF SHORT-TERM CORP	92206C409 VCSH	S	07/30/12 09/20/12	$ 50,787.04 632.00	$ 50,393.13	$ 0.00	$ 0.00
Security Subtotal				**$ 80,359.24**	**$ 79,735.97**	**$ 0.00**	**$ 0.00**
VANGUARD ENERGY ETF	92204A306 VDE	S	05/22/12 08/03/12	$ 10,201.87 100.00	$ 9,488.79	$ 0.00	$ 0.00
VANGUARD ENERGY ETF	92204A306 VDE	S	05/24/12 08/03/12	$ 40,807.51 400.00	$ 37,731.16	$ 0.00	$ 0.00
VANGUARD ENERGY ETF	92204A306 VDE	S	08/03/12	$ 51,009.89 500.00	$ 47,387.95	$ 0.00	$ 0.00
Security Subtotal				**$ 102,019.27**	**$ 94,607.90**	**$ 0.00**	**$ 0.00**
VANGUARD FTSE EMERGING MARKETS ETF	922042858 VWO	S	05/02/12 08/20/12	$ 92,761.39 2,250.00	$ 96,016.45	$ 0.00	$ 0.00
Security Subtotal				**$ 92,761.39**	**$ 96,016.45**	**$ 0.00**	**$ 0.00**
VANGUARD INTL EQTY ETF GLOBAL EX-US REA	922042676 VNQI	S	09/05/12	$ 247,735.50 5,000.00	$ 239,013.84	$ 0.00	$ 0.00
Security Subtotal				**$ 247,735.50**	**$ 239,013.84**	**$ 0.00**	**$ 0.00**
VANGUARD MID CAP	922908629 VO	S	09/06/12	$ 203,311.50 2,500.00	$ 201,781.85	$ 0.00	$ 0.00
Security Subtotal				**$ 203,311.50**	**$ 201,781.85**	**$ 0.00**	**$ 0.00**

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U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
VANGUARD REIT	922908553	S	05/25/12	$ 169,116.73	$ 155,829.36	$ 0.00	$ 0.00
	VNQ		09/06/12	2,500.00			CORRECTED
Security Subtotal				**$ 169,116.73**	**$ 155,829.36**	**$ 0.00**	**$ 0.00**
VANGUARD TOTAL WORLD STOCK	922042742	S	05/07/12	$ 59,094.38	$ 56,096.95	$ 0.00	$ 0.00
ETF	VT		10/05/12	1,200.00			
Security Subtotal				**$ 59,094.38**	**$ 56,096.95**	**$ 0.00**	**$ 0.00**
VANGUARD WHITEHALL FUNDSHIGH	921946406	S	04/19/12	$ 5,447.79	$ 5,255.09	$ 0.00	$ 0.00
DIVIDEND YI	VYM		08/03/12	110.00			
VANGUARD WHITEHALL FUNDSHIGH	921946406	S	04/19/12	$ 7,626.90	$ 7,357.13	$ 0.00	$ 0.00
DIVIDEND YI	VYM		08/03/12	154.00			
VANGUARD WHITEHALL FUNDSHIGH	921946406	S	04/19/12	$ 30,111.39	$ 29,046.33	$ 0.00	$ 0.00
DIVIDEND YI	VYM		08/03/12	608 00			
VANGUARD WHITEHALL FUNDSHIGH	921946406	S	04/19/12	$ 80,627.20	$ 77,775.40	$ 0.00	$ 0.00
DIVIDEND YI	VYM		08/03/12	1,628.00			
VANGUARD WHITEHALL FUNDSHIGH	921946406	S	04/19/12	$ 95,995.47	$ 92,179.18	$ 0.00	$ 0.00
DIVIDEND YI	VYM		08/29/12	1,932.00			

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charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box A** checked.)

SHORT-TERM HOLDING PERIOD

6b-Basis reported to IRS

COST BASIS IN THIS SECTION IS REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange		2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold		3-Cost or other basis		5-Wash sale loss disallowed		4-Federal income tax withheld
VANGUARD WHITEHALL FUNDSHIGH	921946406	S	04/19/12	$	152,440.02	$	146,379.77	$	0.00	$	0.00
DIVIDEND YI	VYM		08/29/12		3,068.00						
Security Subtotal				$	**372,248.77**	$	**357,992.90**	$	**0.00**	$	**0.00**
Total Short-Term (Cost basis is reported to the IRS)				$	**3,773,362.33**	$	**3,666,643.30**				

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charles SCHWAB

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Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box B** checked.)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
CBRE CLARION GLBL RE	12504G100 / IGR	S	05/29/12	$ 868.74 / 113.00	$ 924.39	$ 0.00	$ 0.00
Security Subtotal				**$ 868.74**	**$ 924.39**	**$ 0.00**	**$ 0.00**
COHEN & STEERS TOTAL RETURN REALTY FU	19247R103 / RFI	S	11/08/11 / 01/11/12	$ 6,295.93 / 500.00	$ 6,223.95	$ 0.00	$ 0.00
Security Subtotal				**$ 6,295.93**	**$ 6,223.95**	**$ 0.00**	**$ 0.00**
COLUMBIA ACORN FUND CL Z	197199409 / ACRNX	S	12/07/11 / 07/19/12	$ 776.51 / 26.07	$ 0.00	$ 0.00	$ 0.00
Security Subtotal				**$ 776.51**	**$ 0.00**	**$ 0.00**	**$ 0.00**
COLUMBIA SELIGMAN PREM	19842X109 / STK	S	11/02/11 / 05/02/12	$ 14,644.81 / 800.00	$ 12,787.21	$ 0.00	$ 0.00
COLUMBIA SELIGMAN PREM	19842X109 / STK	S	11/02/11 / 05/02/12	$ 31,121.91 / 1,700.00	$ 27,172.81	$ 0.00	$ 0.00
Security Subtotal				**$ 45,766.72**	**$ 39,960.02**	**$ 0.00**	**$ 0.00**
DWS EMERGING MARKETS EQUITY FD CL S	23337R502 / SEMGX	S	12/23/11 / 07/31/12	$ 59.69 / 3.92	$ 81.58	$ 0.00	$ 0.00
Security Subtotal				**$ 59.69**	**$ 81.58**	**$ 0.00**	**$ 0.00**

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Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box B** checked.)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
DWS WORLD DIVIDEND FD CL A	23337R601	S		$ 2,781.73	$ 2,632.27	$ 0.00	$ 0.00
	SERAX		07/27/12	120.63			
Security Subtotal				**$ 2,781.73**	**$ 2,632.27**	**$ 0.00**	**$ 0.00**
FIDELITY ADV EMRG ASIA FD CL A	315920413	S	12/02/11	$ 2,258.10	$ 0.00	$ 0.00	$ 0.00
	FEAAX		08/09/12	81.55			
Security Subtotal				**$ 2,258.10**	**$ 0.00**	**$ 0.00**	**$ 0.00**
FIRST TR SPECIALTY FD	33733G109	S		$ 1,153.01	$ 1,147.50	$ 0.00	$ 0.00
	FGB		05/21/12	160.00			
Security Subtotal				**$ 1,153.01**	**$ 1,147.50**	**$ 0.00**	**$ 0.00**
H & Q HEALTHCARE FUND SHARES BEN INTER	404052102	S	09/30/11	$ 7,912.70	$ 6,788.78	$ 0.00	$ 0.00
	HQH		05/29/12	487.00			
Security Subtotal				**$ 7,912.70**	**$ 6,788.78**	**$ 0.00**	**$ 0.00**
INVESCO DEVELOPING MKTS FD CL A	00141T577	S	12/09/11	$ 2,888.74	$ 1,512.92	$ 0.00	$ 0.00
	GTDDX		07/27/12	92.67			
Security Subtotal				**$ 2,888.74**	**$ 1,512.92**	**$ 0.00**	**$ 0.00**
MUTUAL EUROPEAN FD CL Z	628380503	S		$ 399.01	$ 316.47	$ 0.00	$ 0.00
	MEURX		07/26/12	20.23			
Security Subtotal				**$ 399.01**	**$ 316.47**	**$ 0.00**	**$ 0.00**

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Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box B** checked.)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisiton / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
MVC CAPITAL INC	553829102 / MVC	S	12/30/11 / 01/11/12	$ 4,818.96 / 400.00	$ 4,644.95	$ 0.00	$ 0.00
Security Subtotal				**$ 4,818.96**	**$ 4,644.95**	**$ 0.00**	**$ 0.00**
ROYCE FOCUS TRUST INC	78080N108 / FUND	S	12/20/11 / 01/11/12	$ 333.04 / 50.00	$ 312.95	$ 0.00	$ 0.00
ROYCE FOCUS TRUST INC	78080N108 / FUND	S	12/20/11 / 01/11/12	$ 666.09 / 100.00	$ 625.89	$ 0.00	$ 0.00
ROYCE FOCUS TRUST INC	78080N108 / FUND	S	12/20/11 / 01/11/12	$ 666.09 / 100.00	$ 625.90	$ 0.00	$ 0.00
ROYCE FOCUS TRUST INC	78080N108 / FUND	S	12/20/11 / 01/11/12	$ 2,331.33 / 350.00	$ 2,190.63	$ 0.00	$ 0.00
ROYCE FOCUS TRUST INC	78080N108 / FUND	S	12/20/11 / 01/11/12	$ 2,664.38 / 400.00	$ 2,503.58	$ 0.00	$ 0.00
Security Subtotal				**$ 6,660.93**	**$ 6,258.95**	**$ 0.00**	**$ 0.00**
VANGUARD FTSE EMERGING MARKETS ETF	922042858 / VWO	S	09/23/11 / 08/20/12	$ 4,122.73 / 100.00	$ 3,656.33	$ 0.00	$ 0.00
VANGUARD FTSE EMERGING MARKETS ETF	922042858 / VWO	S	09/23/11 / 08/20/12	$ 109,252.31 / 2,650.00	$ 96,892.62	$ 0.00	$ 0.00
Security Subtotal				**$ 113,375.04**	**$ 100,548.95**	**$ 0.00**	**$ 0.00**

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Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box B** checked.)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
VANGUARD MSCI EUROPEAN ETF	922042874	S	09/23/11	$ 180,547.01	$ 157,888.95	$ 0.00	$ 0.00
	VGK		08/20/12	4,000.00			
Security Subtotal				**$ 180,547.01**	**$ 157,888.95**	**$ 0.00**	**$ 0.00**
VANGUARD MSCI PACIFIC ETF	922042866	S	09/23/11	$ 5,122.53	$ 4,847.36	$ 0.00	$ 0.00
	VPL		08/17/12	100.00			
VANGUARD MSCI PACIFIC ETF	922042866	S	09/23/11	$ 5,123.03	$ 4,847.36	$ 0.00	$ 0.00
	VPL		08/17/12	100.00			
VANGUARD MSCI PACIFIC ETF	922042866	S	09/23/11	$ 10,245.23	$ 9,694.72	$ 0.00	$ 0.00
	VPL		08/17/12	200.00			
VANGUARD MSCI PACIFIC ETF	922042866	S	09/23/11	$ 20,490.51	$ 19,389.43	$ 0.00	$ 0.00
	VPL		08/17/12	400.00			
VANGUARD MSCI PACIFIC ETF	922042866	S	09/23/11	$ 25,613.14	$ 24,236.79	$ 0.00	$ 0.00
	VPL		08/17/12	500.00			
VANGUARD MSCI PACIFIC ETF	922042866	S	09/23/11	$ 61,470.33	$ 58,168.29	$ 0.00	$ 0.00
	VPL		08/17/12	1,200.00			
Security Subtotal				**$ 128,064.77**	**$ 121,183.95**	**$ 0.00**	**$ 0.00**
VANGUARD REIT	922908553	S	09/23/11	$ 169,116 74	$ 126,109.48	$ 0.00	$ 0.00
	VNQ		09/06/12	2,500.00			
Security Subtotal				**$ 169,116.74**	**$ 126,109.48**	**$ 0.00**	**$ 0.00**

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Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, Part I, with **Box B** checked.)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange		2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold		3-Cost or other basis		5-Wash sale loss disallowed		4-Federal income tax withheld
WISDOMTREE INDIA EARNING	97717W422	S	11/08/11	$	10,245.87	$	10,038.95	$	0.00	$	0.00
	EPI		03/01/12		500.00						
Security Subtotal				$	**10,245.87**	$	**10,038.95**	$	**0.00**	$	**0.00**
Total Short-Term (Cost basis is available but not reported to the IRS)				$	**683,990.20**	$	**586,262.06**				
Total Short-Term Sales Price of Stocks, Bonds, etc.				$	**4,457,352.53**	$	**4,252,905.36**				

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charles SCHWAB

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U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange		2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold		3-Cost or other basis		5-Wash sale loss disallowed		4-Federal income tax withheld
A E S CORP	00130H105	S		$	37,100.22	$	40,363.52	$	0.00	$	0.00
	AES		05/22/12		3,000.00						
Security Subtotal				**$**	**37,100.22**	**$**	**40,363.52**	**$**	**0.00**	**$**	**0.00**
AMERICAN CENTURY SMALL CAP	025083221	S		$	358,969.23	$	682,684.18	$	0.00	$	0.00
GWTH A	ANOAX		07/19/12		42,836.42						
Security Subtotal				**$**	**358,969.23**	**$**	**682,684.18**	**$**	**0.00**	**$**	**0.00**
AMERICAN CENTURY SMALL CAP	025083197	S		$	146,907.17	$	285,209.80	$	0.00	$	0.00
GWTH C	ANOCX		07/19/12		18,340.47						
Security Subtotal				**$**	**146,907.17**	**$**	**285,209.80**	**$**	**0.00**	**$**	**0.00**
BLACKROCK EMERGING MKTS FD CL I	09251J402	S		$	17,999.49	$	20,601.55	$	0.00	$	0.00
	MADCX		07/26/12		997.75						
Security Subtotal				**$**	**17,999.49**	**$**	**20,601.55**	**$**	**0.00**	**$**	**0.00**
BLACKROCK EUROFUND INST CL	09251N502	S		$	49,322.10	$	101,922.32	$	0.00	$	0.00
	MAEFX		07/27/12		4,396.00						
Security Subtotal				**$**	**49,322.10**	**$**	**101,922.32**	**$**	**0.00**	**$**	**0.00**
BOSTON SCIENTIFIC CORP	101137107	S	07/28/00	$	1,186.11	$	1,765.58	$	0.00	$	0.00
	BSX		04/19/12		200.00						
BOSTON SCIENTIFIC CORP	101137107	S	07/28/00	$	64,217.23	$	95,626.85	$	0.00	$	0.00
	BSX		04/19/12		10,830.00						

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Account Number
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TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
BOSTON SCIENTIFIC CORP	101137107 / BSX	S	07/28/00 / 04/19/12	$ 112,483.92 / 18,970.00	$ 167,465.46	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 / BSX	S	/ 04/19/12	$ 44,315.06 / 7,500.00	$ 76,031.25	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 / BSX	S	/ 04/19/12	$ 118,188.40 / 20,000.00	$ 178,142.13	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 / BSX	S	07/28/00 / 04/20/12	$ 12,338.52 / 2,095.00	$ 18,494.47	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 / BSX	S	05/25/05 / 04/20/12	$ 1,474.88 / 250.00	$ 726.28	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 / BSX	S	05/25/05 / 04/20/12	$ 4,129.66 / 700.00	$ 2,033.57	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 / BSX	S	05/25/05 / 04/20/12	$ 141,883.21 / 24,050.00	$ 69,867.65	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 / BSX	S	/ 04/20/12	$ 134,899.23 / 22,905.00	$ 185,806.65	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 / BSX	S	05/25/05 / 04/27/12	$ 9,404.25 / 1,500.00	$ 4,357.65	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 / BSX	S	04/24/06 / 04/27/12	$ 16,927.65 / 2,700.00	$ 60,723.00	$ 0.00	$ 0.00

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TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
BOSTON SCIENTIFIC CORP	101137107 BSX	S	04/24/06 04/27/12	$ 20,062.40 3,200.00	$ 71,968.00	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 BSX	S	04/24/06 04/27/12	$ 69,591.48 11,100.00	$ 249,639.00	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 BSX	S	 04/27/12	$ 15,673.75 2,500.00	$ 60,475.31	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 BSX	S	 04/27/12	$ 25,078.01 4,000.00	$ 99,273.20	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 BSX	S	04/24/06 05/07/12	$ 44,554.82 7,084.00	$ 159,319.16	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 BSX	S	04/24/06 05/07/12	$ 112,682.71 17,916.00	$ 402,930.84	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 BSX	S	 06/15/12	$ 146,237.77 25,000.00	$ 550,740.93	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 BSX	S	09/13/06 06/19/12	$ 9,423.22 1,600.00	$ 35,165.78	$ 0.00	$ 0.00
BOSTON SCIENTIFIC CORP	101137107 BSX	S	 06/19/12	$ 137,814.53 23,400.00	$ 399,742.86	$ 0.00	$ 0.00
Security Subtotal				**$ 1,242,566.81**	**$ 2,890,295.62**	**$ 0.00**	**$ 0.00**
CBRE CLARION GLBL RE	12504G100 IGR	S	12/20/07 05/02/12	$ 1,637.01 200.00	$ 2,761.00	$ 0.00	$ 0.00

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DST 00085566 STP67399R2

charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc. (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
CBRE CLARION GLBL RE	12504G100	S	09/30/10	$ 8,456.89	$ 8,339.10	$ 0 00	$ 0.00
	IGR		05/29/12	1,100.00			
CBRE CLARION GLBL RE	12504G100	S		$ 6,919.27	$ 12,179.10	$ 0.00	$ 0.00
	IGR		05/29/12	900.00			
CBRE CLARION GLBL RE	12504G100	S		$ 8,087.87	$ 8,067.43	$ 0.00	$ 0.00
	IGR		05/29/12	1,052.00			
CBRE CLARION GLBL RE	12504G100	S		$ 14,999.43	$ 14,320.85	$ 0.00	$ 0.00
	IGR		05/29/12	1,951.00			
Security Subtotal				**$ 40,100.47**	**$ 45,667.48**	**$ 0.00**	**$ 0.00**
COHEN & STEERS CLOSD END	19248P106	S	04/07/09	$ 325.14	$ 394.91	$ 0.00	$ 0.00
	FOF		05/02/12	26.00			
COHEN & STEERS CLOSD END	19248P106	S	04/07/09	$ 7,204.23	$ 9,113.19	$ 0.00	$ 0.00
	FOF		06/26/12	600.00			
COHEN & STEERS CLOSD END	19248P106	S	04/07/09	$ 7,767.92	$ 9,827.06	$ 0.00	$ 0.00
	FOF		06/26/12	647.00			
COHEN & STEERS CLOSD END	19248P106	S	04/07/09	$ 9,605.63	$ 12,150.93	$ 0.00	$ 0.00
	FOF		06/26/12	800.00			
COHEN & STEERS CLOSD END	19248P106	S		$ 4,610.33	$ 5,156.33	$ 0.00	$ 0.00
	FOF		06/26/12	384.00			
Security Subtotal				**$ 29,513.25**	**$ 36,642.42**	**$ 0.00**	**$ 0.00**

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charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B checked.)**

LONG-TERM HOLDING PERIOD

6a-Noncovered security

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8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
COLUMBIA ACORN FUND CL Z	197199409 / ACRNX	S	/ 07/19/12	$ 24,422.53 / 820.09	$ 15,087.63	$ 0.00	$ 0.00
Security Subtotal				**$ 24,422.53**	**$ 15,087.63**	**$ 0.00**	**$ 0.00**
COLUMBIA ACORN INTL FD CL Z	197199813 / ACINX	S	/ 07/31/12	$ 123,278.18 / 3,307.41	$ 86,750.98	$ 0.00	$ 0.00
Security Subtotal				**$ 123,278.18**	**$ 86,750.98**	**$ 0.00**	**$ 0.00**
COLUMBIA MULTI ADV INTL EQTY Z	19765H677 / NIEQX	S	/ 07/26/12	$ 54,400.30 / 5,037.06	$ 48,346.79	$ 0.00	$ 0.00
Security Subtotal				**$ 54,400.30**	**$ 48,346.79**	**$ 0.00**	**$ 0.00**
CORNING INC	219350105 / GLW	S	/ 08/17/12	$ 90,961.01 / 7,600.00	$ 168,976.00	$ 0.00	$ 0.00
Security Subtotal				**$ 90,961.01**	**$ 168,976.00**	**$ 0.00**	**$ 0.00**
DWS EMERGING MARKETS EQUITY FD CL S	23337R502 / SEMGX	S	/ 07/31/12	$ 58,171.36 / 3,827.06	$ 79,523.22	$ 0.00	$ 0.00
Security Subtotal				**$ 58,171.36**	**$ 79,523.22**	**$ 0.00**	**$ 0.00**
DWS WORLD DIVIDEND FD CL A	23337R601 / SERAX	S	/ 07/27/12	$ 223,521.88 / 9,693.05	$ 291,168.97	$ 0.00	$ 0.00
Security Subtotal				**$ 223,521.88**	**$ 291,168.97**	**$ 0.00**	**$ 0.00**

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DST 00085566 STP67399R2

charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
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U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

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EV TAX MAN GLB DIV EQTY	27829F108 / EXG	S	09/18/07 / 05/02/12	$	8,970.85 / 1,000.00	$	17,495.25	$	0.00	$	0.00
Security Subtotal				$	**8,970.85**	$	**17,495.25**	$	**0.00**	$	**0.00**
FIDELITY ADV EMRG ASIA FD CL A	315920413 / FEAAX	S	08/09/12	$	565,468.97 / 20,421.41	$	282,163.76	$	0.00	$	0.00
Security Subtotal				$	**565,468.97**	$	**282,163.76**	$	**0.00**	$	**0.00**
FIRST TR SPECIALTY FD	33733G109 / FGB	S	06/16/08 / 05/02/12	$	578.75 / 79.00	$	881.46	$	0.00	$	0.00
FIRST TR SPECIALTY FD	33733G109 / FGB	S	06/16/08 / 05/02/12	$	5,860.73 / 800.00	$	8,926.16	$	0.00	$	0.00
FIRST TR SPECIALTY FD	33733G109 / FGB	S	06/16/08 / 05/02/12	$	10,256.27 / 1,400.00	$	15,620.78	$	0.00	$	0.00
FIRST TR SPECIALTY FD	33733G109 / FGB	S	06/16/08 / 05/21/12	$	8,659.51 / 1,200.00	$	13,515.15	$	0.00	$	0.00
FIRST TR SPECIALTY FD	33733G109 / FGB	S	06/16/08 / 05/21/12	$	18,015.65 / 2,500.00	$	28,189.43	$	0.00	$	0.00
FIRST TR SPECIALTY FD	33733G109 / FGB	S	05/21/12	$	8,215.13 / 1,140.00	$	6,179.35	$	0.00	$	0.00
Security Subtotal				$	**51,586.04**	$	**73,312.33**	$	**0.00**	$	**0.00**

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002701 17/52

charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

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GDL FUND	361570104 GDL	S	11/10/10 05/22/12	$ 1,207.85 100.00	$ 1,198.17	$ 0.00	$ 0.00
GDL FUND	361570104 GDL	S	11/10/10 05/22/12	$ 3,623.56 300.00	$ 3,594.51	$ 0.00	$ 0.00
GDL FUND	361570104 GDL	S	11/10/10 05/22/12	$ 4,831.42 400.00	$ 4,792.69	$ 0.00	$ 0.00
Security Subtotal				**$ 9,662.83**	**$ 9,585.37**	**$ 0.00**	**$ 0.00**
GREATER CHINA FUND	39167B102 GCH	S	08/04/08 08/03/12	$ 417.49 39.00	$ 515.19	$ 0.00	$ 0.00
GREATER CHINA FUND	39167B102 GCH	S	 08/03/12	$ 19,268.81 1,800.00	$ 33,098.25	$ 0.00	$ 0.00
Security Subtotal				**$ 19,686.30**	**$ 33,613.44**	**$ 0.00**	**$ 0.00**
H & Q HEALTHCARE FUND SHARES BEN INTER	404052102 HQH	S	06/25/04 05/29/12	$ 66,616.17 4,100.00	$ 77,137.23	$ 0.00	$ 0.00
H & Q HEALTHCARE FUND SHARES BEN INTER	404052102 HQH	S	07/19/06 05/29/12	$ 1,706.02 105.00	$ 1,611.89	$ 0.00	$ 0.00
H & Q HEALTHCARE FUND SHARES BEN INTER	404052102 HQH	S	07/19/06 05/29/12	$ 37,232.05 2,300.00	$ 35,308.02	$ 0.00	$ 0.00
H & Q HEALTHCARE FUND SHARES BEN INTER	404052102 HQH	S	 05/29/12	$ 11,331.50 700.00	$ 12,087.46	$ 0.00	$ 0.00

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Schwab One® Trust Account of
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U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

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H & Q HEALTHCARE FUND SHARES BEN INTER	404052102 HQH	S	05/29/12	$ 14,569.96 900.00	$ 17,519.72	$ 0.00	$ 0.00
H & Q HEALTHCARE FUND SHARES BEN INTER	404052102 HQH	S	05/29/12	$ 14,623.96 900.00	$ 15,829.50	$ 0.00	$ 0.00
H & Q HEALTHCARE FUND SHARES BEN INTER	404052102 HQH	S	05/29/12	$ 17,807.73 1,100.00	$ 18,835.03	$ 0.00	$ 0.00
H & Q HEALTHCARE FUND SHARES BEN INTER	404052102 HQH	S	05/29/12	$ 25,997.15 1,600.00	$ 38,259.25	$ 0.00	$ 0.00
H & Q HEALTHCARE FUND SHARES BEN INTER	404052102 HQH	S	05/29/12	$ 71,620.51 4,408.00	$ 70,787.08	$ 0.00	$ 0.00
H & Q HEALTHCARE FUND SHARES BEN INTER	404052102 HQH	S	05/29/12	$ 76,496.61 4,708.00	$ 92,582.20	$ 0.00	$ 0.00
Security Subtotal				**$ 338,001.66**	**$ 379,957.38**	**$ 0.00**	**$ 0.00**
H&Q LIFE SCIENCES INVTRS	404053100 HQL	S	09/23/02 05/29/12	$ 1,414.70 100.00	$ 1,249.00	$ 0.00	$ 0.00
H&Q LIFE SCIENCES INVTRS	404053100 HQL	S	12/30/02 05/29/12	$ 4,951.45 350.00	$ 4,455.50	$ 0.00	$ 0.00
H&Q LIFE SCIENCES INVTRS	404053100 HQL	S	12/31/07 05/29/12	$ 1,089.32 77.00	$ 985.60	$ 0.00	$ 0.00
H&Q LIFE SCIENCES INVTRS	404053100 HQL	S	05/29/12	$ 1,414.70 100.00	$ 1,268.92	$ 0.00	$ 0.00

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002701 18/62

charles SCHWAB

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U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

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H&Q LIFE SCIENCES INVTRS	404053100 / HQL	S	/ 05/29/12	$ 5,658.79 / 400.00	$ 5,122.14	$ 0.00	$ 0.00
H&Q LIFE SCIENCES INVTRS	404053100 / HQL	S	/ 05/29/12	$ 7,073.49 / 500.00	$ 6,387.91	$ 0.00	$ 0.00
H&Q LIFE SCIENCES INVTRS	404053100 / HQL	S	/ 05/29/12	$ 25,464.60 / 1,800.00	$ 19,569.43	$ 0.00	$ 0.00
Security Subtotal				**$ 47,067.05**	**$ 39,038.50**	**$ 0.00**	**$ 0.00**
INVESCO DEVELOPING MKTS FD CL A	00141T577 / GTDDX	S	/ 07/27/12	$ 100,974.47 / 3,239.47	$ 52,883.06	$ 0.00	$ 0.00
Security Subtotal				**$ 100,974.47**	**$ 52,883.06**	**$ 0.00**	**$ 0.00**
INVESCO TECHNOLOGY FD CL C	00142F626 / ITHCX	S	03/23/01 / 07/26/12	$ 3,742.50 / 125.25	$ 5,000.00	$ 0.00	$ 0.00
Security Subtotal				**$ 3,742.50**	**$ 5,000.00**	**$ 0.00**	**$ 0.00**
ISHARES S&P GLBL INDX FDGLOBAL 100 INDEX	464287572 / IOO	S	06/01/11 / 07/27/12	$ 8,268.36 / 140.00	$ 9,257.50	$ 0.00	$ 0.00
ISHARES S&P GLBL INDX FDGLOBAL 100 INDEX	464287572 / IOO	S	06/01/11 / 07/27/12	$ 50,791.36 / 860.00	$ 56,867.50	$ 0.00	$ 0.00
Security Subtotal				**$ 59,059.72**	**$ 66,125.00**	**$ 0.00**	**$ 0.00**

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U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

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ISHARES S&P U S PFD FUNDS&P U S PFD STK	464288687 / PFF	S	06/01/11 / 09/06/12	$ 59,524.72 / 1,500.00	$ 59,793.60	$ 0.00	$ 0.00
Security Subtotal				**$ 59,524.72**	**$ 59,793.60**	**$ 0.00**	**$ 0.00**
JAPAN EQUITY FUND INC	471057109 / JEQ	S	09/26/06 / 05/02/12	$ 22,351.88 / 4,050.00	$ 32,926.50	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	10/31/06 / 05/02/12	$ 276.45 / 50.00	$ 407.10	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	10/31/06 / 05/02/12	$ 552.90 / 100.00	$ 814.21	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	11/22/06 / 05/02/12	$ 7,740.58 / 1,400.00	$ 11,056.87	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	05/02/12	$ 15,589.76 / 2,830.00	$ 22,820.94	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	05/02/12	$ 28,094.61 / 5,100.00	$ 38,560.46	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	05/02/12	$ 32,561.98 / 5,900.00	$ 48,072.38	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	05/02/12	$ 46,996.34 / 8,500.00	$ 66,224.49	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/31/12	$ 27,765.43 / 5,500.00	$ 47,913.54	$ 0.00	$ 0.00

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002701 19/52

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U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

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JAPAN EQUITY FUND INC	471057109 / JEQ	S	/ 07/31/12	$ 50,489.92 / 10,000.00	$ 84,417.97	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	/ 07/31/12	$ 50,489.92 / 10,000.00	$ 86,978.08	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/12/07 / 08/03/12	$ 50.79 / 10.00	$ 86.61	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/19/07 / 08/03/12	$ 391.07 / 77.00	$ 659.29	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/19/07 / 08/03/12	$ 507.89 / 100.00	$ 856.22	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	/ 08/03/12	$ 7,618.27 / 1,500.00	$ 13,009.65	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	/ 08/03/12	$ 35,013.54 / 6,894.00	$ 59,770.54	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/19/07 / 08/07/12	$ 2,693.29 / 519.00	$ 4,443.77	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/19/07 / 08/07/12	$ 6,226.05 / 1,200.00	$ 10,274.62	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/20/07 / 08/07/12	$ 1,037.68 / 200.00	$ 1,706.26	$ 0.00	$ 0.00

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DST 00085566 STP67399R2

charles SCHWAB

Schwab One® Trust Account of
MAXINE H WALLIN TTEE
THE WALLIN FOUNDATION
U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS AVAILABLE BUT NOT REPORTED TO THE IRS

8-Description	CUSIP Number / 1d-Stock or other symbol	**	1b-Date of acquisition / 1a-Date of sale or exchange	2a-Sales price of stocks, bonds, etc (less commissions and options premiums) / 1e-Quantity sold	3-Cost or other basis	5-Wash sale loss disallowed	4-Federal income tax withheld
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/20/07 / 08/07/12	$ 1,037.68 / 200.00	$ 1,706.26	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/20/07 / 08/07/12	$ 1,037.68 / 200.00	$ 1,706.26	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/20/07 / 08/07/12	$ 1,037.68 / 200.00	$ 1,706.26	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/20/07 / 08/07/12	$ 1,037.68 / 200.00	$ 1,706.26	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/20/07 / 08/07/12	$ 4,150.70 / 800.00	$ 6,825.05	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	07/20/07 / 08/07/12	$ 6,226.02 / 1,200.00	$ 10,237.59	$ 0.00	$ 0.00
JAPAN EQUITY FUND INC	471057109 / JEQ	S	/ 08/07/12	$ 6,226.05 / 1,200.00	$ 10,243.75	$ 0.00	$ 0.00
Security Subtotal				**$ 357,201.84**	**$ 565,130.93**	**$ 0.00**	**$ 0.00**
MEDTRONIC INC	585055106 / MDT	S	01/01/08 / 03/26/12	$ 189,370.14 / 4,750.00	$ 6,365.00	$ 0.00	$ 0.00
MEDTRONIC INC	585055106 / MDT	S	01/01/08 / 06/13/12	$ 188,836.82 / 5,000.00	$ 6,700.00	$ 0.00	$ 0.00
MEDTRONIC INC	585055106 / MDT	S	01/01/10 / 06/15/12	$ 189,536.80 / 5,000.00	$ 6,700.00	$ 0.00	$ 0.00

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Account Number
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TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

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MEDTRONIC INC	585055106 MDT	S	 06/15/12	$ 189,236.81 5,000.00	$ 6,700.00	$ 0.00	$ 0.00
Security Subtotal				**$ 756,980.57**	**$ 26,465.00**	**$ 0.00**	**$ 0.00**
MORGAN STANLEY	617446448 MS	S	06/03/97 08/03/12	$ 34,515.28 2,500.00	$ 18,237.05	$ 0 00	$ 0.00
MORGAN STANLEY	617446448 MS	S	 08/07/12	$ 72,689.42 5,000.00	$ 156,835.02	$ 0.00	$ 0.00
Security Subtotal				**$ 107,204.70**	**$ 175,072.07**	**$ 0.00**	**$ 0.00**
MRGN STNLY ASIA PAC FUND	61744U106 APF	S	10/14/97 06/21/12	$ 32,190.53 2,403.00	$ 21,400.88	$ 0.00	$ 0.00
Security Subtotal				**$ 32,190.53**	**$ 21,400.88**	**$ 0.00**	**$ 0.00**
MUTUAL EUROPEAN FD CL Z	628380503 MEURX	S	12/21/10 07/26/12	$ 9,458.34 479.63	$ 7,501.60	$ 0.00	$ 0.00
Security Subtotal				**$ 9,458.34**	**$ 7,501.60**	**$ 0.00**	**$ 0.00**
MVC CAPITAL INC	553829102 MVC	S	01/31/11 06/22/12	$ 2,615.70 200.00	$ 2,756.01	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 MVC	S	01/31/11 06/22/12	$ 5,235.16 400.00	$ 5,512.02	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 MVC	S	01/31/11 06/22/12	$ 57,542.84 4,400.00	$ 60,561.67	$ 0.00	$ 0.00

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Account Number
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TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

6a-Noncovered security

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MVC CAPITAL INC	553829102 / MVC	S	02/16/11 / 07/13/12	$ 2,584.83 / 200.00	$ 2,780.38	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	02/16/11 / 07/13/12	$ 2,584.83 / 200.00	$ 2,780.38	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	02/16/11 / 07/13/12	$ 15,586.50 / 1,206.00	$ 16,765.70	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	02/16/11 / 07/17/12	$ 25.77 / 2.00	$ 27.80	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	02/16/11 / 07/17/12	$ 1,289.71 / 100.00	$ 1,390.19	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	02/16/11 / 07/17/12	$ 1,290.81 / 100.00	$ 1,390.19	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	02/16/11 / 07/17/12	$ 3,872.12 / 300.00	$ 4,170.57	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	07/17/12	$ 37,269.41 / 2,892.00	$ 40,287.80	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	03/09/11 / 07/19/12	$ 1,292.48 / 100.00	$ 1,393.80	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	03/09/11 / 07/19/12	$ 1,292.48 / 100.00	$ 1,393.80	$ 0.00	$ 0.00

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U/A DTD 10/07/1986

Account Number
8770-2234

TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

LONG-TERM HOLDING PERIOD

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MVC CAPITAL INC	553829102 MVC	S	03/09/11 07/19/12	$ 1,292.48 100.00	$ 1,393.80	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 MVC	S	03/09/11 07/19/12	$ 1,292.48 100.00	$ 1,393.80	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 MVC	S	03/09/11 07/19/12	$ 2,584.96 200.00	$ 2,787.60	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 MVC	S	03/09/11 07/19/12	$ 15,910.46 1,231.00	$ 17,157.69	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 MVC	S	03/09/11 08/28/12	$ 501.61 40.00	$ 557.15	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 MVC	S	03/09/11 08/28/12	$ 8,778.13 700.00	$ 9,750.18	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 MVC	S	08/28/12	$ 2,508.04 200.00	$ 2,747.82	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 MVC	S	03/10/11 08/31/12	$ 1,262.85 100.00	$ 1,347.16	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 MVC	S	03/10/11 08/31/12	$ 1,264.85 100.00	$ 1,347.16	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 MVC	S	03/10/11 08/31/12	$ 3,788.55 300.00	$ 4,041.49	$ 0.00	$ 0.00

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TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

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MVC CAPITAL INC	553829102 / MVC	S	03/10/11 / 08/31/12	$ 8,846.93 / 700.00	$ 9,430.14	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	03/10/11 / 08/31/12	$ 11,488.37 / 909.00	$ 12,245.70	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	04/25/11 / 08/31/12	$ 252.57 / 20.00	$ 263.83	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	04/25/11 / 08/31/12	$ 21,468.42 / 1,700.00	$ 22,425.69	$ 0.00	$ 0.00
MVC CAPITAL INC	553829102 / MVC	S	/ 08/31/12	$ 42,936.82 / 3,400.00	$ 45,637.73	$ 0.00	$ 0.00
Security Subtotal				**$ 256,660.16**	**$ 273,737.25**	**$ 0.00**	**$ 0.00**
NORTHERN TECHNOLOGY FUND	665162798 / NTCHX	S	03/29/02 / 07/19/12	$ 22,137.47 / 1,459.29	$ 19,035.00	$ 0.00	$ 0.00
Security Subtotal				**$ 22,137.47**	**$ 19,035.00**	**$ 0.00**	**$ 0.00**
POWERSHS EXCH TRAD FD TRGLOBAL LISTED PR	73935X195 / PSP	S	06/22/07 / 07/27/12	$ 2,714.45 / 300.00	$ 8,391.63	$ 0.00	$ 0.00
POWERSHS EXCH TRAD FD TRGLOBAL LISTED PR	73935X195 / PSP	S	/ 07/27/12	$ 47,050.49 / 5,200.00	$ 88,451.19	$ 0.00	$ 0.00
POWERSHS EXCH TRAD FD TRGLOBAL LISTED PR	73935X195 / PSP	S	09/20/10 / 08/03/12	$ 45,440.03 / 5,000.00	$ 47,704.50	$ 0.00	$ 0.00

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TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with Box B checked.)

LONG-TERM HOLDING PERIOD

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POWERSHS EXCH TRAD FD TRGLOBAL LISTED PR	73935X195 / PSP	S	/ 08/03/12	$ 45,340.03 / 5,000.00	$ 45,424.33	$ 0.00	$ 0.00
Security Subtotal				**$ 140,545.00**	**$ 189,971.65**	**$ 0.00**	**$ 0.00**
ST JUDE MEDICAL INC	790849103 / STJ	S	02/01/06 / 05/21/12	$ 2,346.40 / 60.00	$ 2,916.74	$ 0.00	$ 0.00
ST JUDE MEDICAL INC	790849103 / STJ	S	/ 05/21/12	$ 142,348.41 / 3,640.00	$ 171,640.01	$ 0.00	$ 0.00
ST JUDE MEDICAL INC	790849103 / STJ	S	/ 05/24/12	$ 97,413.87 / 2,500.00	$ 104,116.98	$ 0.00	$ 0.00
ST JUDE MEDICAL INC	790849103 / STJ	S	10/02/08 / 05/25/12	$ 3,966.73 / 100.00	$ 2,969.58	$ 0.00	$ 0.00
ST JUDE MEDICAL INC	790849103 / STJ	S	10/02/08 / 05/25/12	$ 3,966.84 / 100.00	$ 2,969.58	$ 0.00	$ 0.00
ST JUDE MEDICAL INC	790849103 / STJ	S	10/02/08 / 05/25/12	$ 27,767.83 / 700.00	$ 20,787.04	$ 0.00	$ 0.00
ST JUDE MEDICAL INC	790849103 / STJ	S	10/02/08 / 05/25/12	$ 51,568.81 / 1,300.00	$ 38,604.51	$ 0.00	$ 0.00
ST JUDE MEDICAL INC	790849103 / STJ	S	10/02/08 / 05/25/12	$ 111,068.50 / 2,800.00	$ 83,148.18	$ 0.00	$ 0.00
ST JUDE MEDICAL INC	790849103 / STJ	S	10/02/08 / 05/29/12	$ 23,859.32 / 600.00	$ 17,817.47	$ 0.00	$ 0.00

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TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Long-term (Report on Form 8949, Part II, with **Box B** checked.)

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ST JUDE MEDICAL INC	790849103 / STJ	S	10/02/08 / 05/29/12	$ 75,554.51 / 1,900.00	$ 56,421.97	$ 0.00	$ 0.00
Security Subtotal				**$ 539,861.22**	**$ 501,392.06**	**$ 0.00**	**$ 0.00**
T C F FINANCIAL CORP	872275102 / TCB	S	11/02/07 / 05/22/12	$ 1,174.31 / 100.00	$ 2,126.98	$ 0.00	$ 0.00
T C F FINANCIAL CORP	872275102 / TCB	S	11/02/07 / 05/22/12	$ 1,174.41 / 100.00	$ 2,126.98	$ 0.00	$ 0.00
T C F FINANCIAL CORP	872275102 / TCB	S	11/02/07 / 05/22/12	$ 2,348.98 / 200.00	$ 4,253.96	$ 0.00	$ 0.00
T C F FINANCIAL CORP	872275102 / TCB	S	11/02/07 / 05/22/12	$ 11,156.90 / 950.00	$ 20,206.33	$ 0.00	$ 0.00
Security Subtotal				**$ 15,854.60**	**$ 28,714.25**	**$ 0.00**	**$ 0.00**
THIRD AVENUE INTL VALUE FD INSTL CL	884116500 / TAVIX	S	07/26/12	$ 12,415.09 / 830.11	$ 16,311.25	$ 0.00	$ 0.00
Security Subtotal				**$ 12,415.09**	**$ 16,311.25**	**$ 0.00**	**$ 0.00**
THIRD AVENUE REAL ESTATE VALUE FD INSTL	884116401 / TAREX	S	07/26/12	$ 108,498.49 / 4,474.17	$ 73,874.74	$ 0.00	$ 0.00
Security Subtotal				**$ 108,498.49**	**$ 73,874.74**	**$ 0.00**	**$ 0.00**

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TAX YEAR 2012
FORM 1099 COMPOSITE

Taxpayer ID Number: 41-6283068

Date Prepared: March 22, 2013

Proceeds from Broker Transactions — 2012 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

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TOUCHSTONE FOCUSED FD CL Y	89154X229 / TFFYX	S	04/17/01 / 07/26/12	$ 3,834.96 / 174.63	$ 5,000.00	$ 0.00	$ 0.00
Security Subtotal				**$ 3,834.96**	**$ 5,000.00**	**$ 0.00**	**$ 0.00**
VANGUARD HEALTH CARE FD INVESTOR SHARES	921908307 / VGHCX	S	07/16/10 / 07/19/12	$ 31,616.78 / 221.02	$ 25,025.00	$ 0.00	$ 0.00
Security Subtotal				**$ 31,616.78**	**$ 25,025.00**	**$ 0.00**	**$ 0.00**
VANGUARD TOTAL WORLD STOCK ETF	922042742 / VT	S	04/08/11 / 09/19/12	$ 40.80 / 1.00	$ 50.63	$ 0.00	$ 0.00
VANGUARD TOTAL WORLD STOCK ETF	922042742 / VT	S	04/08/11 / 10/05/12	$ 9,849.06 / 200.00	$ 10,126.00	$ 0.00	$ 0.00
VANGUARD TOTAL WORLD STOCK ETF	922042742 / VT	S	04/08/11 / 10/05/12	$ 54,120.60 / 1,099.00	$ 55,642.37	$ 0.00	$ 0.00
Security Subtotal				**$ 64,010.46**	**$ 65,819.00**	**$ 0.00**	**$ 0.00**
Total Long-Term (Cost basis is available but not reported to the IRS)				**$ 6,219,449.32**	**$ 7,806,658.85**		
Total Long-Term Sales Price of Stocks, Bonds, etc.				**$ 6,219,449.32**	**$ 7,806,658.85**		
Total Sales Price of Stocks, Bonds, etc.				**$ 10,676,801.85**			
Total Federal Income Tax Withheld				**$ 0.00**			

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Investment Detail - Equities

Equities	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
BLACKROCK RESOURCES &	**7,500.0000**	**12.8000**	**96,000.00**	**<1%**	**(901.85)**	**9.06%**	**8,700.00**
COMMODITIES STRATEGY TR	2,500.0000	12.9235	32,308.95	11/16/12	(308.95)	45	Short-Term
SYMBOL. BCX	200 0000	13 1136	2,622.72	12/06/12	(62.72)	25	Short-Term
	2,300.0000	13.1135	30,161.23	12/06/12	(721.23)	25	Short-Term
	2,500.0000	12.7235	31,808 95	12/20/12	191.05	11	Short-Term
Cost Basis			*96,901.85*				
COHEN & STEERS REIT	**2,500.0000**	**16.9900**	**42,475.00**	**<1%**	**(2,339.10)**	**7.06%**	**3,000.00**
PREFERRED BALANCE INCOME	571 0000	18.0155	10,286.86	09/14/12	(585.57)	108	Short-Term
SYMBOL RNP	1,049 0000	18.0155	18,898.29	09/14/12	(1,075.78)	108	Short-Term
	880.0000	17.7601	15,628.95	09/19/12	(677.75)	103	Short-Term
Cost Basis			*44,814.10*				
MEDTRONIC INC	**4,700.0000**	**41.0200**	**192,794.00**	**2%**	**N/A** [i]	**2.53%**	**4,888.00**
SYMBOL MDT	4,700.0000	N/A	please provide	11/07/11	N/A	420	Long-Term
PETROLEUM & RESOURCES CP	**1,000.0000**	**23.9200**	**23,920.00**	**<1%**	**140.29**	**3.01%**	**720.00**
SYMBOL: PEO	117.0000	23.7764	2,781.85	12/14/12	16.79	17	Short-Term
	883.0000	23.7801	20,997 86	12/17/12	123.50	14	Short-Term
Cost Basis			*23,779.71*				
Total Equities	**15,700.0000**		**355,189.00**	**4%**	**(3,100.66)**		**17,308.00**
		Total Cost Basis	*165,495.66*				

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only The actual income and yield might be lower or higher than the estimated amounts EY is based upon EAI and the current price of the security and will fluctuate For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate

Schwab has provided accurate gain and loss information wherever possible for most investments Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

SIPC

Investment Detail - Mutual Funds

Bond Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
VANGUARD SHORT TERM INVESTMENT GRADE FUND ADMIRAL SYMBOL: VFSUX	93,370.4360	10.8300	1,011,201.82	10%	10.71	1,000,210.00	10,991.82
Total Bond Funds	93,370.4360		1,011,201.82	10%		1,000,210.00	[illegible]

Equity Funds	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
DFA EMERGING MARKETS SMALL CAP PORTFOLIO SYMBOL DEMSX	33,203.4350	21.1700	702,916.72	7%	19.59	650,500.00	52,416.72
DFA EMERGING MARKETS VALUE PORTFOLIO SYMBOL. DFEVX	27,892.9590	29.8400	832,325.90	9%	27.80	775,545.00	56,780.90
DFA INTL SMALL CAP VALUE PORTFOLIO SYMBOL DISVX	50,921.0010	15.9800	813,717.60	8%	14.25	725,495.00	88,222 60
DFA INTL VALUE PORTFOLIO SYMBOL: DFIVX	40,861.1290	16.6000	678,294.74	7%	14.69	600,405 00	77,889.74
DFA REAL ESTATE SECURITIES PORTFOLIO SYMBOL DFREX	35,311.4220	26.3400	930,102.86	10%	26 21	925,585.00	4,517.86
DFA US LARGE CAP VALUE PORTFOLIO SYMBOL DFLVX	28,769.2180	22.9000	658,815.09	7%	20.87	600,405.00	58,410.09

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Investment Detail - Mutual Funds (continued)

Equity Funds (continued)	Quantity	Market Price	Market Value	% of Account Assets	Average Cost Basis	Cost Basis	Unrealized Gain or (Loss)
DFA US LARGE CO PORTFOLIO SYMBOL: DFUSX	68,972.0640	11.2200	773,866 56	8%	10.88	750,495.00	23,371.56
DFA US TARGETED VALUE PORTFOLIO CL I SYMBOL: DFFVX	39,250.1400	17.0100	667,644.88	7%	16.57	650,405.00	17,239.88
PIMCO COMMODITY REAL RETURN STRAT CL INSTL SYMBOL· PCRIX	108,467.9050	6.6400	720,226 89	7%	6.92	750,360.00	(30,133.11)
VANGUARD MID CAP INDEX SIGNAL SYMBOL. VMISX	9,820.2430	32.1800	316,015.42	3%	30.56	300,135.00	15,880.42
Total Equity Funds	[illegible]		[illegible]	72%		[illegible]	[illegible]
Total Mutual Funds	[illegible]		[illegible]	[illegible]		[illegible]	[illegible]

Investment Detail - Other Assets

Other Assets	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Holding Days	Holding Period
BARCLAYS BANK IPATH ETN	**5,000.0000**	**41.3500**	**206,750.00**	**2%**	**16,384.43**		
DJ UBS COMMODITY INDEX	170 0000	38.9226	6,616 85	05/29/12	412.65	216	Short-Term
SYMBOL. DJP	1.0000	39.4500	39.45	06/15/12	1.90	199	Short-Term
	4 0000	38.1775	152.71	06/15/12	12.69	199	Short-Term
	6 0000	39.4483	236.69	06/15/12	11.41	199	Short-Term
	23 0000	38.1791	878.12	06/15/12	72.93	199	Short-Term

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings
Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement

Investment Detail - Other Assets (continued)

Other Assets (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Holding Days	Holding Period
BARCLAYS BANK IPATH ETN	60.0000	38.1766	2,290 60	06/15/12	190.40	199	Short-Term
	83.0000	38.1789	3,168.85	06/15/12	263.20	199	Short-Term
	139.0000	38 1789	5,306.87	06/15/12	440.78	199	Short-Term
	573.0000	38.1767	21,875.27	06/15/12	1,818.28	199	Short-Term
	693 0000	38.1767	26,456.47	06/15/12	2,199.08	199	Short-Term
	755.0000	38.1789	28,825.11	06/15/12	2,394.14	199	Short-Term
	700.0000	37.9135	26,539.51	06/21/12	2,405.49	193	Short-Term
	1,793.0000	37.9135	67,979 07	06/21/12	6,161.48	193	Short-Term
Cost Basis			*190,365.57*				
FIRST TR EXCH TRADED FD	**5,000.0000**	**17.7500**	**88,750.00**	**<1%**	**(2,858.75)**		
S&P REIT INDEX FUND	100.0000	18.3198	1,831 98	09/13/12	(56.98)	109	Short-Term
SYMBOL. FRI	4,900.0000	18.3217	89,776.77	09/13/12	(2,801.77)	109	Short-Term
Cost Basis			*91,608.75*				
VANGUARD CORP BOND ETF	**9,000.0000**	**80.3200**	**722,880.00**	**7%**	**4,340.17**		
SHORT-TERM CORP BOND	165.0000	79.7359	13,156.43	07/30/12	96.37	154	Short-Term
SYMBOL VCSH	306.0000	79 7289	24,397.06	07/30/12	180.86	154	Short-Term
	335.0000	79.7359	26,711.55	07/30/12	195.65	154	Short-Term
	694.0000	79.7289	55,331.89	07/30/12	410.19	154	Short-Term
	5.0000	79.7140	398.57	08/09/12	3.03	144	Short-Term
	9.0000	79.7133	717.42	08/09/12	5 46	144	Short-Term
	138.0000	79.7135	11,000.47	08/09/12	83.69	144	Short-Term
	188.0000	79.7135	14,986.15	08/09/12	114.01	144	Short-Term
	420.0000	79.7135	33,479 70	08/09/12	254.70	144	Short-Term
	425.0000	79.7136	33,878.28	08/09/12	257.72	144	Short-Term
	450.0000	79.7135	35,871.11	08/09/12	272.89	144	Short-Term
	865.0000	79.7135	68,952.25	08/09/12	524.55	144	Short-Term
	100.0000	79.9318	7,993.18	09/06/12	38.82	116	Short-Term
	4,900 0000	79.9317	391,665.77	09/06/12	1,902.23	116	Short-Term
Cost Basis			*718,539.83*				

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Investment Detail - Other Assets (continued)

Other Assets (continued)	Quantity / Units Purchased	Market Price / Cost Per Share	Market Value / Cost Basis	% of Account Assets / Acquired	Unrealized Gain or (Loss)	Holding Days	Holding Period
VANGUARD MID CAP	**2,500.0000**	**82.4400**	**206,100.00**	**2%**	**6,916.05**		
SYMBOL: VO	2,500 0000	79.6735	199,183.95	04/10/12	6,916 05	265	Short-Term
Total Other Assets	[illegible]		[illegible]	[illegible]	[illegible]		
		Total Cost Basis	[illegible]				

Total Investment Detail [illegible]

Total Account Value [illegible]

Total Cost Basis [illegible]

```
                Market Value
               9,786,954.36 +
     Less cash   102,156.88 -
               --------------
FMV at 12/31/12 9,684,797.48 *
```

```
                 Book Value
               9,094,733.76 +
     Less cash   102,156.88 -
               --------------
Book at 12/31/12 8,992,576.88 *
```

Settle Date	Trade Date	Transaction	Description	Quantity	Unit Price	Total Amount
12/11/12	12/06/12	Bought	BLACKROCK RESOURCES & COMMODITIES STRATEGY TR: BCX	200.0000	13.1100	(2,622.72)
12/11/12	12/06/12	Bought	BLACKROCK RESOURCES & COMMODITIES STRATEGY TR· BCX	2,300.0000	13.1100	(30,161.23)
12/19/12	12/14/12	Bought	PETROLEUM & RESOURCES CP: PEO	117.0000	23.7000	(2,781.85)
12/20/12	12/17/12	Bought	PETROLEUM & RESOURCES CP. PEO	883.0000	23.7700	(20,997.86)

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- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions THE WALLIN FOUNDATION	Employer identification number (EIN) or 41-6283068
	Number, street, and room or suite no. If a P.O. box, see instructions. 5200 WILLSON ROAD, NO. 207	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. MINNEAPOLIS, MN 55424	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	**Return Code**	**Application Is For**	**Return Code**
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ BRAD W WALLIN 5200 WILLSON ROAD, SUITE 207 - MINNEAPOLIS, MN 55424
  Telephone No. ▶ 612-338-0477 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2013.

5 For calendar year 2012 , or other tax year beginning ______ , and ending ______ .

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO PREPARE AN ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$	1,175.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$	58,124.
c **Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ Date ▶