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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 01-01-2012 , and ending 12-31-2012

Name of foundation
The ROS Foundation CO Robert O Schlytter

A Employer identification number
39-1948463

Number and street (or P O box number if mail is not delivered to street address) Room/suite
4811 S 76th Street No 211

B Telephone number (see instructions)
(414) 281-6000

City or town, state, and ZIP code
Greenfield, WI 53220

C If exemption application is pending, check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 9,231,392

J Accounting method ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	420,330			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	103	103		
	4	Dividends and interest from securities	250,570	250,570		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	109,259			
	b	Gross sales price for all assets on line 6a 3,318,128				
	7	Capital gain net income (from Part IV, line 2)		109,259		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	-3,904	0		
	12	**Total.** Add lines 1 through 11	776,358	359,932		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0	0		0
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,765	0		0
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	8,967	2,379		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	39,479	28,174		11,305
	24	**Total operating and administrative expenses.** Add lines 13 through 23	53,211	30,553		11,305
	25	Contributions, gifts, grants paid	356,000			356,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	409,211	30,553		367,305
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	367,147			
	b	**Net investment income** (if negative, enter -0-)		329,379		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	181,082	313,018	313,018
	3	Accounts receivable ▶ 490			
		Less allowance for doubtful accounts ▶		490	490
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges		647	647
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	3,598,338	4,218,763	5,440,382
	c	Investments—corporate bonds (attach schedule)	3,722,437	3,319,389	3,363,080
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	93,703	113,775	113,775
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	7,595,560	7,966,082	9,231,392
Liabilities	17	Accounts payable and accrued expenses	2,581		
	18	Grants payable	16,000	61,000	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	18,581	61,000	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	7,576,979	7,905,082	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	7,576,979	7,905,082	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	7,595,560	7,966,082	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,576,979
2	Enter amount from Part I, line 27a	2	367,147
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	7,944,126
5	Decreases not included in line 2 (itemize) ▶	5	39,044
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	7,905,082

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	109,259
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	306,882	7,319,593	0 041926
2010	258,257	6,327,927	0 040812
2009	309,535	5,696,186	0 054341
2008	326,250	6,230,536	0 052363
2007	304,109	6,831,300	0 044517

2	**Total** of line 1, column (d).	2	0 233959
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 046792
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	8,474,200
5	Multiply line 4 by line 3.	5	396,525
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	3,294
7	Add lines 5 and 6.	7	399,819
8	Enter qualifying distributions from Part XII, line 4.	8	367,305

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1				
	Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	6,588
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	6,588
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	6,588
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	5,520		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	6,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	11,520
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	28
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	4,904
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶	4,904	**Refunded** ▶	11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶WILLIAM REWOLINSKI Telephone no ▶(414) 273-0500

Located at ▶800 Woodland Prime Menomonee Falls WI ZIP+4 ▶53051

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☑ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		**5b**		
Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ROBERT O SCHLYTTER 2212 HARBOUR COURT DRIVE LONGBOAT KEY, FL 34228	TRUSTEE 0 00	0	0	0
ROBERT B SCHLYTTER 1016 MANOR LAKE DR UNIT E 204 NAPLES, FL 34119	TRUSTEE 0 00	0	0	0
SUSAN L RIORDAN 8691 PURSLANE DRIVE NAPLES, FL 34109	TRUSTEE 0 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ►	0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	8,502,891
b	Average of monthly cash balances	1b	100,358
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	8,603,249
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	8,603,249
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	129,049
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,474,200
6	**Minimum investment return.** Enter 5% of line 5	6	423,710

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	423,710
2a	Tax on investment income for 2012 from Part VI, line 5 — 2a: 6,588		
b	Income tax for 2012 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	6,588
3	Distributable amount before adjustments Subtract line 2c from line 1	3	417,122
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	417,122
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	417,122

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	367,305
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	367,305
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	367,305

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				417,122
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			351,723	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 367,305				
a Applied to 2011, but not more than line 2a			351,723	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				15,582
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				401,540
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

ROBERT O SCHLYTTER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

THE ROS FOUNDATION
4811 S 76th Street Ste 211
Greenfield, WI 53220
(414) 281-6000

b The form in which applications should be submitted and information and materials they should include

NO SPECIFIC FORM REQUIRED BROCHURES AND LETTERS STATING CHARITABLE PURPOSE PREFERRED

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				356,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	103	
4 Dividends and interest from securities. . . .			14	250,570	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	109,259	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a Enterprise Products	211110	-4,072			
b Misc Income			14	168	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		-4,072		360,100	0

13 Total. Add line 12, columns (b), (d), and (e). 13 356,028

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee | 2013-08-13 Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
William Rewolinski CPA	William Rewolinski CPA			P00195676

Firm's name ▶ Sattell Johnson Appel & Co SC — Firm's EIN ▶ 39-1386814

Firm's address ▶ 800 Woodland Prime Suite 100, Menomonee Falls, WI 530514481 — Phone no (414) 273-0500

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
Stifel #1989- See attch	P	2012-06-01	2012-10-01
Stifel #1989- See attch	P	2012-06-01	2012-12-04
Stifel #1989- See attch	P	2012-06-01	2012-12-24
Stifel #1989- See attch	P	2012-06-01	2012-12-04
Stifel #7257- See attch	P	2012-06-01	2012-10-22
Stifel #7257- See attch	P	2012-06-01	2012-12-17
Stifel #7257- See attch	P	2012-06-01	2012-12-25
Put/Call Options	P	2012-06-01	2012-12-07
Put/Call Options	P	2012-06-01	2012-12-22
Enterprise Products	P	2012-06-01	2012-12-31
Brookfield Infrastructure	P	2012-06-01	2012-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
7,180		7,198	-18
98,376		94,600	3,776
283,177		248,102	35,075
155,956		122,819	33,137
84,939		84,230	709
120,037		117,267	2,770
2,520,463		2,520,463	0
8,405			8,405
39,297		14,190	25,107
104			104
194			194

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-18
			3,776
			35,075
			33,137
			709
			2,770
			0
			8,405
			25,107
			104
			194

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Avalon Therapeutic Equestrian Center N 9368 Green Valley Rd Watertown, WI 530940000		Public Charity	General operating purposes	5,000
Badger Association of the Blind 912 North Hawley Road Milwaukee, WI 532133222		Public Charity	General operating purposes	45,000
Big Brothers & Big Sisters of Metro Milwaukee Inc 788 North Jefferson Street Suite 600 Milwaukee, WI 532023739		Public Charity	General operating purposes	5,000
Boys & Girls Clubs of Greater Milwaukee 1558 N 6th Street Milwaukee, WI 532123845		Public Charity	General operating purposes	5,000
Camp for kids co Greater Milwaukee Foundation 101 W Pleasant St Suite 210 Milwaukee, WI 532023157		Public Charity	General operating purposes	6,000
Cancer Support Community Center 2040 Whitfield Ave Sarasota, FL 34243		Public Charity	General operating purposes	30,000
Center for Blind-Deaf 3195 S Superior St Milwaukee, WI 53207		public Charity	General operating purposes	5,000
Child Abuse CAP Prevention Fund MS 3085 PO Box 1997 Milwaukee, WI 53201		Public Charity	General operating purposes	5,000
Christ King Church 2604 N Swan Blvd Milwaukee, WI 53201		Public Charity	General operating purposes	500
Clothes to Kids PO Box 7 Waukesha, WI 53187		Public Charity	General operating purposes	1,000
Community Advocates 728 North James Lovell St Milwaukee, WI 53233		Public Charity	General operating purposes	12,500
Curative Foundation 1000 N 92nd Street Milwaukee, WI 532264800		Public Charity	General operating purposes	10,000
Destiny Project-CFFC 8605 W Good Hope Rd Milwaukee, WI 53224		Public Charity	General operating purposes	13,500
Eisenhower Center 4425 W Woolworth Ave MIlwaukee, WI 53218		Public Charity	General operating purposes	3,000
Feeding America Eastern WI 1700 W Fond du Lac Avenue Milwaukee, WI 53205		Public Charity	General operating purposes	15,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Greenfield Public Library Foundation Inc 7214 W Coldspring Rd Greenfield, WI 53220		Public Charity	General operating purposes	15,000
Heartlove Place 3229 N Dr MLK Jr Dr Milwaukee, WI 53202		Public Charity	General operating purposes	5,000
Hunger Task Force of Milwaukee 201 S Hawley Ct Milwaukee, WI 532141966		Public Charity	General operating purposes	15,000
Interfaith Older Adult Programs 600 W Virginia St 300 Milwaukee, WI 532041551		Public Charity	General operating purposes	3,000
Kenosha YMCA 7101 53rd St Kenosha, WI 53144		Public Charity	General operating purposes	2,500
Kiwanis Club of LBK Foundation 750 N Lincoln Memorial Dr Rm 320 Milwaukee, WI 53202		Public Charity	General operating purposes	2,000
Lacausa Crisis Nursery Program PO Box 04188 Milwaukee, WI 532040188		Public Charity	General operating purposes	5,000
Lad Lake Inc PO Box 158 Dousman, WI 53118		Public Charity	General operating purposes	5,000
Make-A-Wish Foundation of Wisconsin 13195 West Hampton Ave Butler, WI 53007		Public Charity	General operating purposes	2,000
Milwaukee Christian Center 2137 Greenfield Ave Milwaukee, WI 532042698		Public Charity	General operating purposes	5,000
Milwaukee Homeless Veterans Initiative PO Box 341236 Milwaukee, WI 53219		Public Charity	General operating purposes	5,000
Milwaukee Rescue Mission 830 19th Street Milwaukee, WI 532331616		Public Charity	General operating purposes	10,000
Operation Smile Inc 6435 Tidewater Dr Norfolk, VA 235091600		Public Charity	General operating purposes	3,000
Pet Pals Rescue Inc 405 22nd Street South St Petersberg, FL 33712		Public Charity	General operating purposes	6,500
Pine View Rehabilitation Center W4953 Highway H Fredonia, WI 530219725		Public Charity	General operating purposes	5,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Pro Bu Colls Assoc of Milwaukee 9733 W Greenfield Ave Milwaukee, WI 53214		Public Charity	General operating purposes	5,000
Salvation Army of WI & Up MI 11315 W Watertown Plank Rd Wauwatosa, WI 532260019		Public Charity	General operating purposes	15,000
Sarasota Manatee Assoc 4640 CR 675 Bradenton, FL 34211		Public Charity	General operating purposes	10,000
Service Club of Milwaukee PO Box 170468 Milwaukee, WI 53217		Public Charity	General operating purposes	500
Sojourner Family Peace Center 135 W Wells St Milwaukee, WI 53203		Public Charity	General operating purposes	5,000
St Ann's Intergenerational Care Center 2801 E Morgan Milwaukee, WI 532073771		Public Charity	General operating purposes	10,000
St Michael the Archangel Catholic Church 2281 State Rd 580 Clearwater, FL 337631127		Public Charity	General operating purposes	500
Wildlife in Need Center Ltd W349 S1480 Waterville Rd Suite B Oconomowoc, WI 53066		Public Charity	General operating purposes	5,000
Wisconsin Alliance of Fire Safety PO Box 1442 Brookfield, WI 530081442		Public Charity	General operating purposes	5,000
Wisconsin Auto & Truck Dealers Assoc Foundation 10810 W Liberty Dr Milwaukee, WI 53224		Public Charity	General operating purposes	10,000
WISCONSIN Breast Cancer Coalition Inc PO Box 170031 Milwaukee, WI 532020413		Public Charity	General operating purposes	1,000
Wisconsin Humane Society 4500 W Wisconsin Avenue Milwaukee, WI 532083156		Public Charity	General operating purposes	10,000
Working Boys CenterFamily Unity International 12750 Stephen Place Elm Grove, WI 531221964		Public Charity	general operating purposes	25,000
WUWM-Public Radio PO Box 413 Milwaukee, WI 532020413		Public Charity	General operating purposes	1,000
Young Life East Manatee PO Box 14876 Bradenton, FL 34280		Public Charity	General operating purposes	2,500
Total . . . . ▶ **3a**				356,000

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491227002013

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2012

Name of the organization	Employer identification number
The ROS Foundation CO Robert O Schlytter	39-1948463

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ► $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
The ROS Foundation CO Robert O Schlytter

Employer identification number
39-1948463

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Arrow Holdings PO Box 137 Greendale, WI 531290137	$ 366,560	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II if there is a noncash contribution)
2	Marion C Schlytter 828 Villa Florenza Dr Naples, FL 34119	$ 52,470	Person ☐ Payroll ☐ Noncash ☑ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization
The ROS Foundation CO Robert O Schlytter

Employer identification number
39-1948463

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	14500 sh HTLF stk30000 shrs Heartland stock	$ 366,560	2012-12-28
2	1000 sh Prudential stk	$ 52,470	2012-12-28
		$	
		$	
		$	
		$	

Name of organization
The ROS Foundation CO Robert O Schlytter

Employer identification number
39-1948463

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2012 Accounting Fees Schedule

Name: The ROS Foundation CO Robert O Schlytter

EIN: 39-1948463

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Accounting	4,765	0		0

TY 2012 Investments Corporate Bonds Schedule

Name: The ROS Foundation CO Robert O Schlytter

EIN: 39-1948463

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Stifel Nicolaus Bonds	3,319,389	3,363,080

TY 2012 Investments Corporate Stock Schedule

Name: The ROS Foundation CO Robert O Schlytter

EIN: 39-1948463

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Heartland Stocks	1,372,520	1,935,100
Stifel Horizon Stocks	2,846,243	3,505,282

TY 2012 Investments - Other Schedule

Name: The ROS Foundation CO Robert O Schlytter

EIN: 39-1948463

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Brookfield Infrastructure Partnership	AT COST	84,090	84,090
Enterprise Partnership	AT COST	29,685	29,685

TY 2012 Other Decreases Schedule

Name: The ROS Foundation CO Robert O Schlytter

EIN: 39-1948463

Description	Amount
Prior period adjustment	39,044

TY 2012 Other Expenses Schedule

Name: The ROS Foundation CO Robert O Schlytter

EIN: 39-1948463

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Office Administration Expense	11,305	0		11,305
Broker fees	28,144	28,144		0
Brookfield Infrastructure	30	30		0

TY 2012 Other Income Schedule

Name: The ROS Foundation CO Robert O Schlytter

EIN: 39-1948463

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Enterprise Products	-4,072	0	-4,072
Misc Income	168		168

TY 2012 Substantial Contributors Schedule

Name: The ROS Foundation CO Robert O Schlytter

EIN: 39-1948463

Name	Address
Arrow Holdings	4811 S 76th Street Ste 211 Greenfield, WI 53220
Marion Schlytter	9400 W Garden Ct Hales Corners, WI 53130
Robert O Schlytter	2212 Harbour Court Drive Longboat Key, FL 34228
Robert B Schlytter	1016 Manor Lake Dr Naples, FL 34119

TY 2012 Taxes Schedule

Name: The ROS Foundatıon CO Robert O Schlytter

EIN: 39-1948463

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreıgn Taxes	2,379	2,379		0
Federal Taxes - Investment Income	6,588	0		0

STIFEL NICOLAUS
ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

THE ROS FOUNDATION
HORIZON ACCOUNT

2012 CONSOLIDATED FORM 1099

ORIGINAL 02/08/2013

PAYER INFORMATION.		
STIFEL, NICOLAUS & COMPANY, INCORPORATED		
FEDERAL ID NO	43-0538770	
F.A.	ACCOUNT	PAGE
SS51	7977-1989	13 of 30
TAXPAYER ID	39-1948463	

1099-INT DETAIL INFORMATION (CONTINUED)

The following detail is being provided for your information only and will not be furnished to the IRS. Please see summary boxes starting on page 3 for totals that are being furnished to the IRS

INTEREST INCOME (NOT INCLUDED IN IRS BOX 3)

DESCRIPTION	CUSIP/ SEC NO	DATE	ACTIVITY	AMOUNT	IRS BOX	ENTRY
	9999435	11/30/12	Interest	$2 50	1	
	9999435	12/31/12	Interest	$1 61	1	
			CUSIP TOTAL.	$6.08		
			TOTAL INTEREST INCOME (NOT INCLUDED IN IRS BOX 3)	$9,147 22		

****

1099-B DETAIL INFORMATION

This is important tax information and is being furnished to the Internal Revenue Service (IRS). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part I, with Box A checked

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
ASBC CAPITAL TR I TOPRS CUSIP SEC NO 00209F207	286 00	08/04/11	06 15 12	$7,150 00	$7 170 02		($20 02)	Redemption
KRAFT FOODS GRP INC CUSIP SEC NO 50076Q106	0 67	07 05/12	10 01 12	$30 38	$27 49		$2 89	Cash In Lieu
Total Gross Proceeds SHORT-TERM transactions with cost basis reported to the IRS:	286 67			$7,180 38	$7.197.51	$0.00	($17 13)	

(continued on back side)

STIFEL NICOLAUS
ONE FINANCIAL PLAZA
501 NORTH BROADWAY
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THE ROS FOUNDATION
HORIZON ACCOUNT

2012 CONSOLIDATED FORM 1099

ORIGINAL 02/08/2013

PAYER INFORMATION:
STIFEL, NICOLAUS & COMPANY, INCORPORATED
FEDERAL ID NO 43-0538770

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1099-B DETAIL INFORMATION (CONTINUED)

This is important tax information and is being furnished to the Internal Revenue Service (IRS) If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS - Report on Form 8949, Part II, with Box A checked

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
ASBC CAP TR I TOPRS7 625 CUSIP/SEC NO 00209F207	1,714 00	08/04/11	10/01/12	$42,850 00	$42,944 98		($94 98)	Redemption
BEMIS COMPANY INC CUSIP SEC NO 081437105	300 00	02/22 11	12 04/12	$9 926 81	$9 828 00		$98 81	Sale
SANOFI SPON ADR CUSIP/SEC NO 80105N105	1,200 00	VARIOUS	08/17/12	$45 598 99	$41,827 46		$3 771 53	Sale
Total Gross Proceeds LONG-TERM transactions with cost basis reported to the IRS:	**3,214 00**			**$98,375.80**	**$94,600.44**	**$0.00**	**$3,775.36**	

Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part II, with Box B checked

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
BAXTER INTL INC CUSIP/SEC NO 071813109	590 00	VARIOUS	12/04 12	$35 399 21	$30,139 45		$5,259 76	Sale
BAYERISCHE MOTOREN ADR CUSIP SEC NO 072743206	900 00	VARIOUS	10 08/12	$23,255 47	$22 395 03		$860 44	Sale
BEMIS COMPANY INC CUSIP SEC NO 081437105	300 00	10 18/10	11 30/12	$10,061 80	$10,106 91		($45 11)	Sale
	700 00	VARIOUS	12/04/12	$23,162 54	$22,741 38		$421 16	Sale
CUSIP/SEC NO 081437105 TOTAL	**1,000 00**			**$33,224.34**	**$32,848 29**	**$0.00**	**$376 05**	
RAYTHEON COMPANY NEW CUSIP SEC NO 755111507	1,000 00	VARIOUS	08 17 12	$54,998 76	$46 825 52		$8,173 24	Sale

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part II, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
SANOFI SPON ADR								
CUSIP/SEC NO 80105N105	1,600 00	VARIOUS	08/17/12	$60,798 62	$54 222 54		$6,576 08	Sale
THERMO FISHER SCIENTIFIC								
CUSIP/SEC NO 883556102	275 00	12/08/10	01/20/12	$13 749 74	$14,297 09		($547 35)	Sale
WORLD FUEL SERVICES CORP								
CUSIP/SEC NO 981475106	200 00	11/16/10	12/24/12	$8,234 82	$5,580 66		$2 654 16	Sale
ACE LTD								
CUSIP/SEC NO H0023R105	500 00	VARIOUS	05/16/12	$37,654 20	$29,598 95		$8,055 25	Sale
	200 00	12/14/10	12/04/12	$15,862 14	$12,193 98		$3,668 16	Sale
CUSIP/SEC NO H0023R105 TOTAL:	**700.00**			**$53,516 34**	**$41,792 93**	**$0 00**	**$11,723 41**	
Total Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS:	**6,265 00**			**$283,177.30**	**$248,101.51**	**$0.00**	**$35,075.79**	

Gross Proceeds transactions for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-- Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
BAXTER INTL INC								
CUSIP/SEC NO 071813109	410 00	UNKNOWN	12/04/12	$24,599 44	$22,629 40		$1,970 04	Sale
EXXON MOBIL CORP								
CUSIP/SEC NO 30231G102	100 00	UNKNOWN	11/30/12	$8 815 80	$4 531 00		$4,284 80	Sale
SOUTHERN COMPANY								
CUSIP/SEC NO 842587107	1 000 00	UNKNOWN	09/07/12	$45 959 07	$33,915 40		$12,043 67	Sale

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THE ROS FOUNDATION
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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds transactions for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-- Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
THERMO FISHER SCIENTIFIC								
CUSIP/SEC NO 883556102	225.00	UNKNOWN	01/20/12	$11,249.78	$13,053.06		($1,803.28)	Sale
VERIZON COMMS INC								
CUSIP/SEC NO 92343V104	1,500.00	UNKNOWN	10/04/12	$62,998.58	$47,070.40		$15,928.18	Sale
	50.00	UNKNOWN	10/08/12	$2,333.44	$1,620.07		$713.37	Sale
CUSIP/SEC NO 92343V104 TOTAL	**1,550.00**			**$65,332.02**	**$48,690.47**	**$0.00**	**$16,641.55**	
Total Gross Proceeds transactions for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN	**3,285.00**			**$155,956.11**	**$122,819.33**	**$0.00**	**$33,136.78**	

TOTAL SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (BOX 2A) **$544,689.59**

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1099-MISC DETAIL INFORMATION

The following detail is being provided for your information only and will not be furnished to the IRS. Please see summary boxes starting on page 3 for totals that are being furnished to the IRS

MISCELLANEOUS INCOME

DESCRIPTION	CUSIP/SEC NO	DATE	ACTIVITY	AMOUNT	IRS BOX	CNTRY
PPL IR SUB NT CONV PFD	69351T114	05/01/12	Other Income	$166.13	3	

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1099-INT DETAIL INFORMATION

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INTEREST INCOME (NOT INCLUDED IN IRS BOX 3)

DESCRIPTION	CUSIP/ SEC NO	DATE	ACTIVITY	AMOUNT	IRS BOX	CNTRY
HORIZON TECH FIN SR	44045A201	06/18/12	Interest	$1,680.00	1	
	44045A201	09/17/12	Interest	$1,843.60	1	
	44045A201	12/17/12	Interest	$1,843.60	1	
			CUSIP TOTAL:	$5,367.20		
VERIZON NY 7.375 040132	92344XAB5	04/02/12	Interest	$774.38	1	
	92344XAB5	10/01/12	Interest	$774.38	1	
			CUSIP TOTAL:	$1,548.76		
TOTAL INTEREST INCOME (NOT INCLUDED IN IRS BOX 3)				$6,915.96		

****

1099-B DETAIL INFORMATION

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS

Gross Proceeds SHORT-TERM transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part I, with Box B checked

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
FNMA 11-113DA 4.0 052541								
CUSIP/SEC NO 3136A2ER0	50,000.00	10/10/11	07/25/12	$1,698.92	$1,578.92		$120.00	Redemption
FEDL HOME LOAN MTG CORP								
CUSIP/SEC NO: 3137A5MZ4	47,000 00	01/20/11	01/17/12	$47,000 00	$47,002.45		($2.45)	Redemption
FEDL HOME LOAN MTG CORP								
CUSIP/SEC NO· 3137AHTU2	3,000.00	10/26/11	02/15/12	$3,000.00	$2,996.25		$3.75	Redemption
	2,000.00	10/26/11	03/15/12	$2,000.00	$1,997.50		$2.50	Redemption

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds SHORT-TERM transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part I, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
	3,000.00	10/26/11	04/16/12	$3,000.00	$2,996 25		$3.75	Redemption
	1,000.00	10/26/11	05/15/12	$1,000.00	$998.75		$1.25	Redemption
	3,000.00	10/26/11	06/15/12	$3,000.00	$2,996 25		$3.75	Redemption
	3,000.00	10/26/11	07/16/12	$3,000.00	$2,996.25		$3.75	Redemption
	4,000.00	10/26/11	08/15/12	$4,000.00	$3,995 00		$5.00	Redemption
	5,000.00	10/26/11	09/17/12	$5,000 00	$4,993 75		$6.25	Redemption
	7,000.00	10/26/11	10/15/12	$7,000.00	$6,991.25		$8.75	Redemption
CUSIP/SEC NO 3137AHTU2 TOTAL:	**31,000.00**			**$31,000.00**	**$30,961.25**	**$0.00**	**$38.75**	
GNMA 12-77GA 2 5 010140 CUSIP/SEC NO: 38375GAE1	100,000 00	06/20/12	10/22/12	$648.97	$653.97		($5.00)	Redemption
GNMA 12-15UP 2 5 041640 CUSIP/SEC NO: 38378CKC0	50,000.00	01/20/12	10/16/12	$4,590.67	$4,033 17		$557 50	Redemption
Total Gross Proceeds SHORT-TERM transactions with cost basis NOT reported to the IRS:	**278,000.00**			**$84,938.56**	**$84,229.76**	**$0.00**	**$708.80**	

Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part II, with Box B checked

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
FHLMC 3761LA 4.0 101539 CUSIP/SEC NO: 3137A2LB5	100,000.00	11/01/10	08/15/12	$606.11	$611 11		($5 00)	Redemption
FHLMC 3795GG 4 5 051540 CUSIP/SEC NO: 3137A5MZ4	6,000.00	01/20/11	02/15/12	$6,000.00	$6,000.31		($0 31)	Redemption

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part II, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
FEDL HOME LOAN MTG CORP								
CUSIP/SEC NO: 3137AHTU2	5,000.00	10/26/11	11/15/12	$5,000.00	$4,993 75		$6 25	Redemption
	4,000.00	10/26/11	12/17/12	$4,000.00	$3,995 00		$5.00	Redemption
CUSIP/SEC NO 3137AHTU2 TOTAL:	**9,000.00**			**$9,000.00**	**$8,988.75**	**$0.00**	**$11.25**	
GNMA 11-81GA 4.0 112040								
CUSIP/SEC NO: 38376LVM8	40,000.00	07/21/11	10/22/12	$2,659.32	$2,615.74		$43.58	Redemption
GNMA 09-118WA 4.5 092039								
CUSIP/SEC NO: 38376PKY5	350,000.00	12/10/09	04/20/12	$14,171.21	$14,171.21		$0.00	Redemption
GNMA 10-17WG 4.5 031639								
CUSIP/SEC NO: 38376VXF9	50,000.00	02/04/10	01/17/12	$4,552.02	$4,432.02		$120.00	Redemption
GNMA 10-29GB 4.5 082039								
CUSIP/SEC NO: 38376XPR8	45,000.00	04/20/10	09/20/12	$45,000 00	$43,691.00		$1,309 00	Redemption
GNMA 10-57JE 4.5 092039								
CUSIP/SEC NO: 38377EYZ1	25,000 00	05/11/10	04/20/12	$1,280 02	$1,285 02		($5.00)	Redemption
GNMA 10-55WA 4.5 092039								
CUSIP/SEC NO: 38377FSM4	125,000.00	06/02/10	10/22/12	$7,830.02	$7,680.87		$149.15	Redemption
GNMA 10-98GJ 4.0 042040								
CUSIP/SEC NO. 38377JNS8	100,000.00	07/23/10	04/20/12	$3,529.21	$3,409.21		$120.00	Redemption
GNMA 10-120TA 4.0 022040								
CUSIP/SEC NO: 38377KMV9	244,000.00	09/13/10	10/22/12	$3,407.25	$3,412.25		($5.00)	Redemption
GNMA 11-18TA 4.5 071640								
CUSIP/SEC NO: 38377QGR2	75,000.00	02/08/11	09/17/12	$5,881.12	$5,698.62		$182 50	Redemption
GNMA 10-158GA 4.0 072040								
CUSIP/SEC NO: 38377RAC9	225,000.00	VARIOUS	12/20/12	$5,724.03	$5,359 04		$364.99	Redemption
GNMA 11-34UB 4 0 042040								
CUSIP/SEC NO: 38377UPK8	100,000 00	03/03/11	11/20/12	$2,577.19	$2,082.19		$495.00	Redemption

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS - Report on Form 8949, Part II, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE ACQUIRED	DATE SOLD	PROCEEDS	COST BASIS	WASH SALE (LOSS) DISALLOWED	GAIN/(LOSS)	ACTIVITY
GNMA 11-36LA 4 5 122039 CUSIP/SEC NO: 38377UXA1	75,000.00	04/01/11	08/20/12	$7,452.59	$7,457.60		($5.01)	Redemption
GNMA 11-71GA 4.0 022041 CUSIP/SEC NO 38377V3B0	25,000.00	05/20/11	08/20/12	$366.67	$371.67		($5.00)	Redemption
Total Gross Proceeds LONG-TERM transactions with cost basis NOT reported to the IRS:	1,594,000.00			$120,036.76 ✓	$117,266.61 ✓	$0.00	$2,770.15 ✓	

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN- Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
CWALT 04-22CB 6.0 102534 CUSIP/SEC NO 12667FRY3	500,000.00	05/25/12	$1,935.25	Principal
CWALT 04-22CB 6.0 102534 CUSIP/SEC NO 12667FRY3	500,000.00	06/25/12	$1,542.80	Principal
CWALT 04-22CB 6.0 102534 CUSIP/SEC NO: 12667FRY3	500,000.00	07/25/12	$1,133 49	Principal
CWALT 04-22CB 6.0 102534 CUSIP/SEC NO: 12667FRY3	500,000.00	08/25/12	$2,081.18	Principal
CWALT 04-22CB 6.0 102534 CUSIP/SEC NO. 12667FRY3	500,000.00	09/25/12	$1,138 57	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
CWALT 04-22CB 6 0 102534 CUSIP/SEC NO. 12667FRY3	500,000.00	10/25/12	$1,687.79	Principal
CWALT 04-22CB 6.0 102534 CUSIP/SEC NO· 12667FRY3	500,000 00	11/25/12	$1,210.14	Principal
CWALT 04-22CB 6.0 102534 CUSIP/SEC NO· 12667FRY3	500,000 00	12/25/12	$1,077.41	Principal
FNMA 11-117MU 4.0 112541 CUSIP/SEC NO· 3136A15M3	75,000.00	01/25/12	$574 16	Principal
FNMA 11-117MU 4.0 112541 CUSIP/SEC NO 3136A15M3	75,000.00	02/25/12	$641.26	Principal
FNMA 11-117MU 4 0 112541 CUSIP/SEC NO: 3136A15M3	75,000.00	03/25/12	$788 51	Principal
FNMA 11-117MU 4.0 112541 CUSIP/SEC NO· 3136A15M3	75,000.00	04/25/12	$3,220.14	Principal
FNMA 11-117MU 4.0 112541 CUSIP/SEC NO: 3136A15M3	75,000.00	05/25/12	$308.34	Principal
FNMA 11-117MU 4.0 112541 CUSIP/SEC NO: 3136A15M3	75,000 00	06/25/12	$2,106.03	Principal
FNMA 11-117MU 4.0 112541 CUSIP/SEC NO· 3136A15M3	75,000.00	07/25/12	$32.65	Principal
FNMA 11-117MU 4.0 112541 CUSIP/SEC NO 3136A15M3	75,000.00	08/25/12	$1,357.09	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
FNMA 11-117MU 4 0 112541 CUSIP/SEC NO· 3136A15M3	75,000.00	09/25/12	$2,437.97	Principal
FNMA 11-117MU 4.0 112541 CUSIP/SEC NO. 3136A15M3	75,000.00	10/25/12	$1,971.00	Principal
FNMA 11-117MU 4 0 112541 CUSIP/SEC NO. 3136A15M3	75,000.00	11/25/12	$2,205.35	Principal
FNMA 11-117MU 4 0 112541 CUSIP/SEC NO: 3136A15M3	75,000.00	12/25/12	$3,328.57	Principal
FNMA 11-112GA 4.0 052541 CUSIP/SEC NO 3136A1H40	175,000.00	01/25/12	$492.86	Principal
FNMA 11-112GA 4.0 052541 CUSIP/SEC NO. 3136A1H40	175,000.00	07/25/12	$9,604 52	Principal
FNMA 11-112GA 4 0 052541 CUSIP/SEC NO· 3136A1H40	175,000.00	08/25/12	$46 49	Principal
FNMA 11-112GA 4.0 052541 CUSIP/SEC NO 3136A1H40	175,000 00	09/25/12	$1,997.94	Principal
FNMA 11-112GA 4.0 052541 CUSIP/SEC NO: 3136A1H40	175,000.00	12/25/12	$4,837.27	Principal
FNMA 11-113DA 4 0 052541 CUSIP/SEC NO: 3136A2ER0	50,000 00	01/25/12	$2,899.04	Principal
FNMA 11-113DA 4.0 052541 CUSIP/SEC NO. 3136A2ER0	50,000 00	02/25/12	$7,017.99	Principal

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STIFEL NICOLAUS
ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

THE ROS FOUNDATION

2012 CONSOLIDATED FORM 1099

ORIGINAL 02/08/2013

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1099-B DETAIL INFORMATION (CONTINUED)

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
FNMA 11-113DA 4.0 052541 CUSIP/SEC NO: 3136A2ER0	50,000.00	03/25/12	$13,835 11	Principal
FNMA 11-113DA 4.0 052541 CUSIP/SEC NO: 3136A2ER0	50,000.00	04/25/12	$10,397 42	Principal
FNMA 11-113DA 4.0 052541 CUSIP/SEC NO 3136A2ER0	50,000 00	05/25/12	$4,635 06	Principal
FNMA 11-113DA 4.0 052541 CUSIP/SEC NO· 3136A2ER0	50,000.00	06/25/12	$6,613.97	Principal
FNMA 12-16TK 3.0 102540 CUSIP/SEC NO. 3136A4QP7	50,000.00	03/25/12	$4,342.55	Principal
FNMA 12-16TK 3.0 102540 CUSIP/SEC NO: 3136A4QP7	50,000.00	04/25/12	$3,160.17	Principal
FNMA 12-16TK 3.0 102540 CUSIP/SEC NO: 3136A4QP7	50,000.00	05/25/12	$3,197.39	Principal
FNMA 12-16TK 3.0 102540 CUSIP/SEC NO. 3136A4QP7	50,000 00	06/25/12	$5,086 80	Principal
FNMA 12-16TK 3.0 102540 CUSIP/SEC NO. 3136A4QP7	50,000.00	07/25/12	$3,311 27	Principal
FNMA 12-16TK 3.0 102540 CUSIP/SEC NO· 3136A4QP7	50,000.00	08/25/12	$3,328.43	Principal
FNMA 12-16TK 3.0 102540 CUSIP/SEC NO: 3136A4QP7	50,000.00	09/25/12	$4,659.28	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
FNMA 12-16TK 3 0 102540 CUSIP/SEC NO 3136A4QP7	50,000.00	10/25/12	$6,328 21	Principal
FNMA 12-16TK 3.0 102540 CUSIP/SEC NO· 3136A4QP7	50,000.00	11/25/12	$5,213.00	Principal
FNMA 12-16TK 3 0 102540 CUSIP/SEC NO: 3136A4QP7	50,000.00	12/25/12	$5,734 56	Principal
FNMA 12-70TU 3 0 012542 CUSIP/SEC NO· 3136A7FR8	125,000.00	07/25/12	$1,218 23	Principal
FNMA 12-70TU 3.0 012542 CUSIP/SEC NO: 3136A7FR8	125,000.00	08/25/12	$1,333 44	Principal
FNMA 12-70TU 3.0 012542 CUSIP/SEC NO· 3136A7FR8	125,000.00	09/25/12	$1,446 76	Principal
FNMA 12-70TU 3 0 012542 CUSIP/SEC NO: 3136A7FR8	125,000 00	10/25/12	$1,557.99	Principal
FNMA 12-70TU 3 0 012542 CUSIP/SEC NO: 3136A7FR8	125,000.00	11/25/12	$1,666 94	Principal
FNMA 12-70TU 3 0 012542 CUSIP/SEC NO: 3136A7FR8	125,000 00	12/25/12	$1,773 41	Principal
FNMA 12-84JA 3 0 012542 CUSIP/SEC NO· 3136A7Q54	50,000.00	08/25/12	$1,816.34	Principal
FNMA 12-84JA 3.0 012542 CUSIP/SEC NO· 3136A7Q54	50,000 00	09/25/12	$2,040.45	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
FNMA 12-84JA 3.0 012542 CUSIP/SEC NO: 3136A7Q54	50,000.00	10/25/12	$2,000.84	Principal
FNMA 12-84JA 3.0 012542 CUSIP/SEC NO: 3136A7Q54	50,000.00	11/25/12	$2,724.11	Principal
FNMA 12-84JA 3.0 012542 CUSIP/SEC NO: 3136A7Q54	50,000.00	12/25/12	$2,355.98	Principal
FNMA 12-100GA 2.5 082541 CUSIP/SEC NO. 3136A8NU0	100,000.00	09/25/12	$2,294.29	Principal
FNMA 12-100GA 2 5 082541 CUSIP/SEC NO· 3136A8NU0	100,000.00	10/25/12	$3,392 87	Principal
FNMA 12-100GA 2.5 082541 CUSIP/SEC NO 3136A8NU0	100,000.00	11/25/12	$1,434.17	Principal
FNMA 12-100GA 2 5 082541 CUSIP/SEC NO· 3136A8NU0	100,000.00	12/25/12	$201.90	Principal
FNMA 12-113NG 2.5 062542 CUSIP/SEC NO· 3136A8T75	50,000 00	10/25/12	$454.31	Principal
FNMA 12-113NG 2.5 062542 CUSIP/SEC NO: 3136A8T75	50,000 00	11/25/12	$491.75	Principal
FNMA 12-113NG 2 5 062542 CUSIP/SEC NO: 3136A8T75	50,000.00	12/25/12	$528.58	Principal
FNMA 12-99JA 2.5 062542 CUSIP/SEC NO: 3136A8UT5	75,000 00	09/25/12	$1,936.62	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
FNMA 12-99JA 2 5 062542 CUSIP/SEC NO· 3136A8UT5	75,000 00	10/25/12	$749 36	Principal
FNMA 12-99JA 2 5 062542 CUSIP/SEC NO. 3136A8UT5	75,000.00	11/25/12	$1,908.81	Principal
FNMA 12-99JA 2 5 062542 CUSIP/SEC NO· 3136A8UT5	75,000.00	12/25/12	$611.64	Principal
FNMA 12-124GA 2.5 042542 CUSIP/SEC NO: 3136A9U48	100,000.00	11/25/12	$658.18	Principal
FNMA 12-124GA 2.5 042542 CUSIP/SEC NO: 3136A9U48	100,000.00	12/25/12	$1,184.68	Principal
FHLMC 3761LA 4.0 101539 CUSIP/SEC NO· 3137A2LB5	100,000.00	01/15/12	$8,090.86	Principal
FHLMC 3761LA 4.0 101539 CUSIP/SEC NO: 3137A2LB5	100,000.00	02/15/12	$8,104.48	Principal
FHLMC 3761LA 4 0 101539 CUSIP/SEC NO: 3137A2LB5	100,000.00	03/15/12	$12,142.98	Principal
FHLMC 3761LA 4.0 101539 CUSIP/SEC NO: 3137A2LB5	100,000 00	04/15/12	$11,604 09	Principal
FHLMC 3761LA 4.0 101539 CUSIP/SEC NO: 3137A2LB5	100,000 00	05/15/12	$6,874 03	Principal
FHLMC 3761LA 4.0 101539 CUSIP/SEC NO. 3137A2LB5	100,000 00	06/15/12	$5,951 72	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
FHLMC 3761LA 4.0 101539 CUSIP/SEC NO 3137A2LB5	100,000.00	07/15/12	$8,960.50	Principal
FHLMC 4087 TA 3.0 051542 CUSIP/SEC NO: 3137AS5N0	130,000.00	09/15/12	$7,066 72	Principal
FHLMC 4087 TA 3.0 051542 CUSIP/SEC NO: 3137AS5N0	130,000.00	10/15/12	$3,489 07	Principal
FHLMC 4087 TA 3.0 051542 CUSIP/SEC NO 3137AS5N0	130,000 00	11/15/12	$6,407.07	Principal
FHLMC 4087 TA 3.0 051542 CUSIP/SEC NO 3137AS5N0	130,000.00	12/15/12	$4,524.57	Principal
FHLMC 4102DA 3.0 081541 CUSIP/SEC NO. 3137AUL72	50,000.00	10/15/12	$379 38	Principal
GNMA 12-77GA 2.5 010140 CUSIP/SEC NO: 38375GAE1	100,000 00	07/20/12	$5,668.19	Principal
GNMA 12-77GA 2.5 010140 CUSIP/SEC NO: 38375GAE1	100,000.00	08/20/12	$41,356 20	Principal
GNMA 12-77GA 2.5 010140 CUSIP/SEC NO. 38375GAE1	100,000.00	09/20/12	$52,326 65	Principal
GNMA 12-84YA 2.5 032042 CUSIP/SEC NO. 38375GGG0	50,000.00	08/20/12	$624 46	Principal
GNMA 12-84YA 2.5 032042 CUSIP/SEC NO: 38375GGG0	50,000.00	09/20/12	$364.00	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

**Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)**

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 12-84YA 2.5 032042 CUSIP/SEC NO: 38375GGG0	50,000.00	10/20/12	$438.83	Principal
GNMA 12-84YA 2.5 032042 CUSIP/SEC NO: 38375GGG0	50,000.00	11/20/12	$493.45	Principal
GNMA 12-84YA 2.5 032042 CUSIP/SEC NO: 38375GGG0	50,000 00	12/20/12	$1,922.70	Principal
GNMA 11-79UP 4.0 121639 CUSIP/SEC NO. 38376LPG8	25,000 00	01/16/12	$1,140.34	Principal
GNMA 11-79UP 4 0 121639 CUSIP/SEC NO· 38376LPG8	25,000 00	02/16/12	$688.81	Principal
GNMA 11-79UP 4.0 121639 CUSIP/SEC NO 38376LPG8	25,000.00	03/16/12	$572.86	Principal
GNMA 11-79UP 4.0 121639 CUSIP/SEC NO: 38376LPG8	25,000.00	04/16/12	$2,505.43	Principal
GNMA 11-79UP 4.0 121639 CUSIP/SEC NO. 38376LPG8	25,000.00	05/16/12	$2,405.46	Principal
GNMA 11-79UP 4.0 121639 CUSIP/SEC NO: 38376LPG8	25,000 00	06/16/12	$806 20	Principal
GNMA 11-79UP 4.0 121639 CUSIP/SEC NO 38376LPG8	25,000.00	07/16/12	$1,384.64	Principal
GNMA 11-79UP 4.0 121639 CUSIP/SEC NO. 38376LPG8	25,000.00	08/16/12	$1,778.15	Principal

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DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 11-79UP 4.0 121639 CUSIP/SEC NO: 38376LPG8	25,000.00	09/16/12	$1,451 07	Principal
GNMA 11-79UP 4.0 121639 CUSIP/SEC NO: 38376LPG8	25,000 00	10/16/12	$1,623.33	Principal
GNMA 11-79UP 4.0 121639 CUSIP/SEC NO: 38376LPG8	25,000 00	11/16/12	$2,030.55	Principal
GNMA 11-79UP 4.0 121639 CUSIP/SEC NO: 38376LPG8	25,000.00	12/16/12	$1,002 06	Principal
GNMA 11-81GA 4.0 112040 CUSIP/SEC NO· 38376LVM8	40,000 00	01/20/12	$2,834 33	Principal
GNMA 11-81GA 4.0 112040 CUSIP/SEC NO. 38376LVM8	40,000.00	02/20/12	$2,595 76	Principal
GNMA 11-81GA 4.0 112040 CUSIP/SEC NO: 38376LVM8	40,000.00	03/20/12	$2,776.45	Principal
GNMA 11-81GA 4 0 112040 CUSIP/SEC NO. 38376LVM8	40,000.00	04/20/12	$3,571.67	Principal
GNMA 11-81GA 4 0 112040 CUSIP/SEC NO: 38376LVM8	40,000.00	05/20/12	$2,601.64	Principal
GNMA 11-81GA 4 0 112040 CUSIP/SEC NO: 38376LVM8	40,000.00	06/20/12	$2,198.07	Principal
GNMA 11-81GA 4.0 112040 CUSIP/SEC NO. 38376LVM8	40,000.00	07/20/12	$2,197.27	Principal

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DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 11-81GA 4 0 112040 CUSIP/SEC NO. 38376LVM8	40,000.00	08/20/12	$2,600 55	Principal
GNMA 11-81GA 4.0 112040 CUSIP/SEC NO: 38376LVM8	40,000 00	09/20/12	$3,465.31	Principal
GNMA 09-118WA 4.5 092039 CUSIP/SEC NO: 38376PKY5	350,000 00	01/20/12	$12,314.39	Principal
GNMA 09-118WA 4 5 092039 CUSIP/SEC NO: 38376PKY5	350,000 00	02/20/12	$11,881.86	Principal
GNMA 09-118WA 4 5 092039 CUSIP/SEC NO. 38376PKY5	350,000 00	03/20/12	$13,438 16	Principal
GNMA 10-12UA 4.5 020139 CUSIP/SEC NO: 38376TAT9	279,000 00	01/20/12	$10,494.51	Principal
GNMA 10-12UA 4.5 020139 CUSIP/SEC NO: 38376TAT9	279,000.00	02/20/12	$8,413.69	Principal
GNMA 10-12UA 4.5 020139 CUSIP/SEC NO: 38376TAT9	279,000.00	03/20/12	$13,447 37	Principal
GNMA 10-12UA 4.5 020139 CUSIP/SEC NO: 38376TAT9	279,000 00	04/20/12	$15,101.19	Principal
GNMA 10-12UA 4.5 020139 CUSIP/SEC NO: 38376TAT9	279,000.00	05/20/12	$11,692.69	Principal
GNMA 10-12UA 4.5 020139 CUSIP/SEC NO. 38376TAT9	279,000.00	06/20/12	$7,686.08	Principal

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DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 10-12UA 4.5 020139 CUSIP/SEC NO. 38376TAT9	279,000.00	07/20/12	$7,824.02	Principal
GNMA 10-12UA 4.5 020139 CUSIP/SEC NO: 38376TAT9	279,000.00	08/20/12	$11,812.43	Principal
GNMA 10-12UA 4.5 020139 CUSIP/SEC NO: 38376TAT9	279,000.00	09/20/12	$14,568 82	Principal
GNMA 10-12UA 4 5 020139 CUSIP/SEC NO: 38376TAT9	279,000.00	10/20/12	$12,440.56	Principal
GNMA 10-12UA 4.5 020139 CUSIP/SEC NO: 38376TAT9	279,000.00	11/20/12	$18,638.96	Principal
GNMA 10-12UA 4 5 020139 CUSIP/SEC NO: 38376TAT9	279,000.00	12/20/12	$24,671.30	Principal
GNMA 10-57JE 4 5 092039 CUSIP/SEC NO: 38377EYZ1	25,000 00	01/20/12	$1,556 90	Principal
GNMA 10-57JE 4.5 092039 CUSIP/SEC NO. 38377EYZ1	25,000.00	02/20/12	$1,639.20	Principal
GNMA 10-57JE 4.5 092039 CUSIP/SEC NO. 38377EYZ1	25,000.00	03/20/12	$1,702.81	Principal
GNMA 10-55WA 4.5 092039 CUSIP/SEC NO. 38377FSM4	125,000.00	01/20/12	$4,277.13	Principal
GNMA 10-55WA 4.5 092039 CUSIP/SEC NO. 38377FSM4	125,000.00	02/20/12	$4,418.09	Principal

STIFEL NICOLAUS
ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

THE ROS FOUNDATION

2012 CONSOLIDATED FORM 1099

ORIGINAL 02/08/2013

PAYER INFORMATION:		
STIFEL, NICOLAUS & COMPANY, INCORPORATED		
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1099-B DETAIL INFORMATION (CONTINUED)

This is important tax information and is being furnished to the Internal Revenue Service (IRS). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

**Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)**

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 10-55WA 4 5 092039 CUSIP/SEC NO: 38377FSM4	125,000.00	03/20/12	$5,159.67	Principal
GNMA 10-55WA 4.5 092039 CUSIP/SEC NO: 38377FSM4	125,000 00	04/20/12	$6,407.50	Principal
GNMA 10-55WA 4.5 092039 CUSIP/SEC NO: 38377FSM4	125,000 00	05/20/12	$5,329.35	Principal
GNMA 10-55WA 4.5 092039 CUSIP/SEC NO: 38377FSM4	125,000 00	06/20/12	$4,042.92	Principal
GNMA 10-55WA 4 5 092039 CUSIP/SEC NO· 38377FSM4	125,000.00	07/20/12	$9,144.30	Principal
GNMA 10-55WA 4.5 092039 CUSIP/SEC NO: 38377FSM4	125,000 00	08/20/12	$15,161 50	Principal
GNMA 10-55WA 4.5 092039 CUSIP/SEC NO. 38377FSM4	125,000.00	09/20/12	$15,407 21	Principal
GNMA 10-109EA 4.0 012040 CUSIP/SEC NO 38377JAH6	70,000 00	01/20/12	$3,667.67	Principal
GNMA 10-109EA 4.0 012040 CUSIP/SEC NO 38377JAH6	70,000 00	02/20/12	$2,970 51	Principal
GNMA 10-109EA 4.0 012040 CUSIP/SEC NO 38377JAH6	70,000 00	03/20/12	$3,986.30	Principal
GNMA 10-109EA 4.0 012040 CUSIP/SEC NO. 38377JAH6	70,000 00	04/20/12	$4,080 34	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and lor which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 10-109EA 4.0 012040 CUSIP/SEC NO· 38377JAH6	70,000.00	05/20/12	$3,554.98	Principal
GNMA 10-109EA 4.0 012040 CUSIP/SEC NO. 38377JAH6	70,000.00	06/20/12	$3,178.92	Principal
GNMA 10-109EA 4.0 012040 CUSIP/SEC NO. 38377JAH6	70,000.00	07/20/12	$3,028.08	Principal
GNMA 10-109EA 4.0 012040 CUSIP/SEC NO: 38377JAH6	70,000.00	08/20/12	$3,102 70	Principal
GNMA 10-109EA 4 0 012040 CUSIP/SEC NO: 38377JAH6	70,000 00	09/20/12	$4,286 73	Principal
GNMA 10-109EA 4.0 012040 CUSIP/SEC NO. 38377JAH6	70,000 00	10/20/12	$3,914 92	Principal
GNMA 10-109EA 4 0 012040 CUSIP/SEC NO: 38377JAH6	70,000.00	11/20/12	$5,009.39	Principal
GNMA 10-109EA 4 0 012040 CUSIP/SEC NO: 38377JAH6	70,000.00	12/20/12	$5,422.39	Principal
GNMA 10-98GJ 4.0 042040 CUSIP/SEC NO: 38377JNS8	100,000 00	01/20/12	$6,881.46	Principal
GNMA 10-98GJ 4.0 042040 CUSIP/SEC NO: 38377JNS8	100,000.00	02/20/12	$6,370.69	Principal
GNMA 10-98GJ 4.0 042040 CUSIP/SEC NO. 38377JNS8	100,000.00	03/20/12	$7,637.34	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS
and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 10-120TA 4.0 022040 CUSIP/SEC NO: 38377KMV9	244,000.00	01/20/12	$15,002.39	Principal
GNMA 10-120TA 4.0 022040 CUSIP/SEC NO. 38377KMV9	244,000.00	02/20/12	$13,761.11	Principal
GNMA 10-120TA 4 0 022040 CUSIP/SEC NO. 38377KMV9	244,000.00	03/20/12	$14,522.45	Principal
GNMA 10-120TA 4 0 022040 CUSIP/SEC NO: 38377KMV9	244,000.00	04/20/12	$18,771 31	Principal
GNMA 10-120TA 4 0 022040 CUSIP/SEC NO: 38377KMV9	244,000.00	05/20/12	$13,535.99	Principal
GNMA 10-120TA 4.0 022040 CUSIP/SEC NO. 38377KMV9	244,000.00	06/20/12	$11,575.88	Principal
GNMA 10-120TA 4.0 022040 CUSIP/SEC NO: 38377KMV9	244,000.00	07/20/12	$11,376.25	Principal
GNMA 10-120TA 4 0 022040 CUSIP/SEC NO: 38377KMV9	244,000.00	08/20/12	$13,264 37	Principal
GNMA 10-120TA 4.0 022040 CUSIP/SEC NO: 38377KMV9	244,000.00	09/20/12	$17,751.68	Principal
GNMA 10-127LA 4.0 022039 CUSIP/SEC NO: 38377LVQ8	200,000.00	01/20/12	$8,943.86	Principal
GNMA 10-127LA 4.0 022039 CUSIP/SEC NO: 38377LVQ8	200,000 00	02/20/12	$6,549.04	Principal

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This is important tax information and is being furnished to the Internal Revenue Service (IRS). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 10-127LA 4.0 022039 CUSIP/SEC NO 38377LVQ8	200,000 00	03/20/12	$8,948 54	Principal
GNMA 10-127LA 4.0 022039 CUSIP/SEC NO. 38377LVQ8	200,000.00	04/20/12	$8,773.98	Principal
GNMA 10-127LA 4 0 022039 CUSIP/SEC NO: 38377LVQ8	200,000.00	05/20/12	$6,445 20	Principal
GNMA 10-127LA 4.0 022039 CUSIP/SEC NO: 38377LVQ8	200,000 00	06/20/12	$7,394 28	Principal
GNMA 10-127LA 4 0 022039 CUSIP/SEC NO· 38377LVQ8	200,000 00	07/20/12	$9,314.70	Principal
GNMA 10-127LA 4 0 022039 CUSIP/SEC NO: 38377LVQ8	200,000.00	08/20/12	$9,759.24	Principal
GNMA 10-127LA 4.0 022039 CUSIP/SEC NO· 38377LVQ8	200,000 00	09/20/12	$15,680.36	Principal
GNMA 10-127LA 4 0 022039 CUSIP/SEC NO. 38377LVQ8	200,000 00	10/20/12	$13,427.05	Principal
GNMA 10-127LA 4.0 022039 CUSIP/SEC NO· 38377LVQ8	200,000.00	11/20/12	$15,523.48	Principal
GNMA 10-127LA 4.0 022039 CUSIP/SEC NO· 38377LVQ8	200,000 00	12/20/12	$16,408.31	Principal
GNMA 10-127TE 3.5 092039 CUSIP/SEC NO. 38377LWK0	1,400,000.00	01/20/12	$54,872.83	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

**Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)**

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 10-127TE 3 5 092039 CUSIP/SEC NO· 38377LWK0	1,400,000.00	02/20/12	$39,814 95	Principal
GNMA 10-127TE 3 5 092039 CUSIP/SEC NO: 38377LWK0	1,400,000 00	03/20/12	$54,769.09	Principal
GNMA 10-127TE 3 5 092039 CUSIP/SEC NO: 38377LWK0	1,400,000.00	04/20/12	$53,612 08	Principal
GNMA 10-127TE 3.5 092039 CUSIP/SEC NO: 38377LWK0	1,400,000.00	05/20/12	$38,971 83	Principal
GNMA 10-127TE 3.5 092039 CUSIP/SEC NO: 38377LWK0	1,400,000.00	06/20/12	$44,851.38	Principal
GNMA 10-127TE 3 5 092039 CUSIP/SEC NO: 38377LWK0	1,400,000 00	07/20/12	$56,812 88	Principal
GNMA 10-127TE 3.5 092039 CUSIP/SEC NO: 38377LWK0	1,400,000.00	08/20/12	$59,537 59	Principal
GNMA 10-127TE 3 5 092039 CUSIP/SEC NO: 38377LWK0	1,400,000 00	09/20/12	$96,545.45	Principal
GNMA 10-127TE 3 5 092039 CUSIP/SEC NO: 38377LWK0	1,400,000 00	10/20/12	$82,386.24	Principal
GNMA 10-127TE 3 5 092039 CUSIP/SEC NO· 38377LWK0	1,400,000 00	11/20/12	$95,456.74	Principal
GNMA 10-127TE 3.5 092039 CUSIP/SEC NO. 38377LWK0	1,400,000 00	12/20/12	$100,945.06	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 10-151GK 4 0 072039 CUSIP/SEC NO. 38377NGM0	150,000.00	01/20/12	$5,429.06	Principal
GNMA 10-151GK 4.0 072039 CUSIP/SEC NO· 38377NGM0	150,000.00	02/20/12	$6,081.70	Principal
GNMA 10-151GK 4.0 072039 CUSIP/SEC NO· 38377NGM0	150,000.00	03/20/12	$6,589.56	Principal
GNMA 10-151GK 4.0 072039 CUSIP/SEC NO 38377NGM0	150,000.00	04/20/12	$6,003.51	Principal
GNMA 10-151GK 4.0 072039 CUSIP/SEC NO 38377NGM0	150,000.00	05/20/12	$4,765.35	Principal
GNMA 10-151GK 4.0 072039 CUSIP/SEC NO. 38377NGM0	150,000.00	06/20/12	$5,229.31	Principal
GNMA 10-151GK 4.0 072039 CUSIP/SEC NO· 38377NGM0	150,000.00	07/20/12	$7,881 39	Principal
GNMA 10-151GK 4.0 072039 CUSIP/SEC NO: 38377NGM0	150,000.00	08/20/12	$7,698 55	Principal
GNMA 10-151GK 4.0 072039 CUSIP/SEC NO: 38377NGM0	150,000.00	09/20/12	$10,327 18	Principal
GNMA 10-151GK 4.0 072039 CUSIP/SEC NO· 38377NGM0	150,000.00	10/20/12	$10,072.00	Principal
GNMA 10-151GK 4.0 072039 CUSIP/SEC NO: 38377NGM0	150,000 00	11/20/12	$11,027.54	Principal

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DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 10-151GK 4.0 072039 CUSIP/SEC NO. 38377NGM0	150,000.00	12/20/12	$12,694.52	Principal
GNMA 11-18TA 4.5 071640 CUSIP/SEC NO. 38377QGR2	75,000.00	01/16/12	$3,924.01	Principal
GNMA 11-18TA 4 5 071640 CUSIP/SEC NO: 38377QGR2	75,000.00	02/16/12	$4,375 72	Principal
GNMA 11-18TA 4.5 071640 CUSIP/SEC NO: 38377QGR2	75,000.00	03/16/12	$5,577 73	Principal
GNMA 11-18TA 4.5 071640 CUSIP/SEC NO: 38377QGR2	75,000.00	04/16/12	$6,698 97	Principal
GNMA 11-18TA 4.5 071640 CUSIP/SEC NO: 38377QGR2	75,000.00	05/16/12	$4,757 26	Principal
GNMA 11-18TA 4 5 071640 CUSIP/SEC NO: 38377QGR2	75,000 00	06/16/12	$3,434 24	Principal
GNMA 11-18TA 4 5 071640 CUSIP/SEC NO: 38377QGR2	75,000.00	07/16/12	$9,157.51	Principal
GNMA 11-18TA 4 5 071640 CUSIP/SEC NO: 38377QGR2	75,000.00	08/16/12	$13,681.89	Principal
GNMA 10-158GA 4.0 072040 CUSIP/SEC NO: 38377RAC9	225,000.00	01/20/12	$13,210.30	Principal
GNMA 10-158GA 4.0 072040 CUSIP/SEC NO. 38377RAC9	225,000.00	02/20/12	$11,750.04	Principal

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Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 10-158GA 4.0 072040 CUSIP/SEC NO: 38377RAC9	225,000.00	03/20/12	$15,432.14	Principal
GNMA 10-158GA 4.0 072040 CUSIP/SEC NO: 38377RAC9	225,000.00	04/20/12	$12,670.85	Principal
GNMA 10-158GA 4.0 072040 CUSIP/SEC NO: 38377RAC9	225,000.00	05/20/12	$10,256.19	Principal
GNMA 10-158GA 4.0 072040 CUSIP/SEC NO· 38377RAC9	225,000.00	06/20/12	$7,304.78	Principal
GNMA 10-158GA 4.0 072040 CUSIP/SEC NO 38377RAC9	225,000.00	07/20/12	$8,567.35	Principal
GNMA 10-158GA 4.0 072040 CUSIP/SEC NO: 38377RAC9	225,000.00	08/20/12	$8.841 29	Principal
GNMA 10-158GA 4.0 072040 CUSIP/SEC NO 38377RAC9	225,000.00	09/20/12	$11,074.70	Principal
GNMA 10-158GA 4 0 072040 CUSIP/SEC NO· 38377RAC9	225,000.00	10/20/12	$9,539.82	Principal
GNMA 10-158GA 4 0 072040 CUSIP/SEC NO: 38377RAC9	225,000.00	11/20/12	$13,128 70	Principal
GNMA 11-24TB 4 0 102040 CUSIP/SEC NO· 38377TM99	35,000.00	01/20/12	$1,863.04	Principal
GNMA 11-24TB 4.0 102040 CUSIP/SEC NO 38377TM99	35,000.00	02/20/12	$1,689.75	Principal

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Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 11-24TB 4 0 102040 CUSIP/SEC NO. 38377TM99	35,000 00	03/20/12	$1,571.27	Principal
GNMA 11-24TB 4.0 102040 CUSIP/SEC NO 38377TM99	35,000.00	04/20/12	$1,683 20	Principal
GNMA 11-24TB 4.0 102040 CUSIP/SEC NO 38377TM99	35,000.00	05/20/12	$1,437 66	Principal
GNMA 11-24TB 4.0 102040 CUSIP/SEC NO. 38377TM99	35,000 00	06/20/12	$1,259 63	Principal
GNMA 11-24TB 4 0 102040 CUSIP/SEC NO: 38377TM99	35,000 00	07/20/12	$1,454.52	Principal
GNMA 11-24TB 4.0 102040 CUSIP/SEC NO: 38377TM99	35,000.00	08/20/12	$933.16	Principal
GNMA 11-24TB 4.0 102040 CUSIP/SEC NO: 38377TM99	35,000.00	09/20/12	$1,914 96	Principal
GNMA 11-24TB 4 0 102040 CUSIP/SEC NO: 38377TM99	35,000 00	10/20/12	$1,781.24	Principal
GNMA 11-24TB 4.0 102040 CUSIP/SEC NO: 38377TM99	35,000 00	11/20/12	$2,049.38	Principal
GNMA 11-24TB 4.0 102040 CUSIP/SEC NO: 38377TM99	35,000.00	12/20/12	$3,046.43	Principal
GNMA 11-34UB 4.0 042040 CUSIP/SEC NO: 38377UPK8	100,000 00	01/20/12	$6,532.04	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 11-34UB 4 0 042040 CUSIP/SEC NO. 38377UPK8	100,000.00	02/20/12	$6,189.42	Principal
GNMA 11-34UB 4.0 042040 CUSIP/SEC NO· 38377UPK8	100,000.00	03/20/12	$7,384.30	Principal
GNMA 11-34UB 4 0 042040 CUSIP/SEC NO· 38377UPK8	100,000.00	04/20/12	$8,250.93	Principal
GNMA 11-34UB 4.0 042040 CUSIP/SEC NO. 38377UPK8	100,000.00	05/20/12	$8,171.95	Principal
GNMA 11-34UB 4.0 042040 CUSIP/SEC NO: 38377UPK8	100,000.00	06/20/12	$6,863.22	Principal
GNMA 11-34UB 4.0 042040 CUSIP/SEC NO· 38377UPK8	100,000 00	07/20/12	$4,395.40	Principal
GNMA 11-34UB 4.0 042040 CUSIP/SEC NO· 38377UPK8	100,000.00	08/20/12	$6,854.71	Principal
GNMA 11-34UB 4.0 042040 CUSIP/SEC NO 38377UPK8	100,000.00	09/20/12	$5,612.72	Principal
GNMA 11-34UB 4.0 042040 CUSIP/SEC NO: 38377UPK8	100,000.00	10/20/12	$5,127.54	Principal
GNMA 11-32TA 4 0 051640 CUSIP/SEC NO· 38377URS9	50,000 00	01/16/12	$1,593.58	Principal
GNMA 11-32TA 4 0 051640 CUSIP/SEC NO: 38377URS9	50,000.00	02/16/12	$1,187 74	Principal

STIFEL NICOLAUS
ONE FINANCIAL PLAZA
501 NORTH BROADWAY
ST. LOUIS, MO 63102

THE ROS FOUNDATION

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1099-B DETAIL INFORMATION (CONTINUED)

This is important tax information and is being furnished to the Internal Revenue Service (IRS). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

**Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)**

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 11-32TA 4 0 051640 CUSIP/SEC NO: 38377URS9	50,000.00	03/16/12	$1,525.94	Principal
GNMA 11-32TA 4.0 051640 CUSIP/SEC NO 38377URS9	50,000.00	04/16/12	$2,040.46	Principal
GNMA 11-32TA 4.0 051640 CUSIP/SEC NO· 38377URS9	50,000.00	05/16/12	$1,902.91	Principal
GNMA 11-32TA 4 0 051640 CUSIP/SEC NO: 38377URS9	50,000 00	06/16/12	$423.14	Principal
GNMA 11-32TA 4.0 051640 CUSIP/SEC NO: 38377URS9	50,000.00	07/16/12	$3,280.92	Principal
GNMA 11-32TA 4.0 051640 CUSIP/SEC NO. 38377URS9	50,000 00	08/16/12	$5,256.05	Principal
GNMA 11-32TA 4.0 051640 CUSIP/SEC NO 38377URS9	50,000 00	09/16/12	$3,850.17	Principal
GNMA 11-32TA 4.0 051640 CUSIP/SEC NO: 38377URS9	50,000.00	10/16/12	$2,550.34	Principal
GNMA 11-32TA 4.0 051640 CUSIP/SEC NO: 38377URS9	50,000.00	11/16/12	$4,140.16	Principal
GNMA 11-32TA 4 0 051640 CUSIP/SEC NO: 38377URS9	50,000.00	12/16/12	$8,783 11	Principal
GNMA 11-36JB 4 0 082039 CUSIP/SEC NO· 38377UWY0	65,000.00	01/20/12	$2,706.85	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 11-36JB 4.0 082039 CUSIP/SEC NO. 38377UWY0	65,000.00	02/20/12	$2,251 85	Principal
GNMA 11-36JB 4 0 082039 CUSIP/SEC NO: 38377UWY0	65,000.00	03/20/12	$2,294 71	Principal
GNMA 11-36JB 4 0 082039 CUSIP/SEC NO: 38377UWY0	65,000.00	04/20/12	$5,157.25	Principal
GNMA 11-36JB 4.0 082039 CUSIP/SEC NO 38377UWY0	65,000.00	05/20/12	$4,431.17	Principal
GNMA 11-36JB 4 0 082039 CUSIP/SEC NO. 38377UWY0	65,000.00	06/20/12	$1,137.18	Principal
GNMA 11-36JB 4.0 082039 CUSIP/SEC NO· 38377UWY0	65,000.00	07/20/12	$2,133.52	Principal
GNMA 11-36JB 4.0 082039 CUSIP/SEC NO. 38377UWY0	65,000.00	08/20/12	$3,099.86	Principal
GNMA 11-36JB 4.0 082039 CUSIP/SEC NO· 38377UWY0	65,000 00	09/20/12	$3,924.29	Principal
GNMA 11-36JB 4.0 082039 CUSIP/SEC NO: 38377UWY0	65,000 00	10/20/12	$3,688.36	Principal
GNMA 11-36JB 4.0 082039 CUSIP/SEC NO· 38377UWY0	65,000 00	11/20/12	$4,272.03	Principal
GNMA 11-36JB 4.0 082039 CUSIP/SEC NO: 38377UWY0	65,000 00	12/20/12	$3,154.11	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

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Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)**

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 11-36LA 4.5 122039 CUSIP/SEC NO. 38377UXA1	75,000.00	01/20/12	$1,153.16	Principal
GNMA 11-36LA 4.5 122039 CUSIP/SEC NO: 38377UXA1	75,000.00	02/20/12	$7,945 49	Principal
GNMA 11-36LA 4.5 122039 CUSIP/SEC NO: 38377UXA1	75,000.00	03/20/12	$10,304 40	Principal
GNMA 11-36LA 4.5 122039 CUSIP/SEC NO: 38377UXA1	75,000 00	04/20/12	$11,455 04	Principal
GNMA 11-36LA 4.5 122039 CUSIP/SEC NO: 38377UXA1	75,000.00	05/20/12	$11,559.36	Principal
GNMA 11-36LA 4 5 122039 CUSIP/SEC NO: 38377UXA1	75,000.00	06/20/12	$9,449.67	Principal
GNMA 11-36LA 4.5 122039 CUSIP/SEC NO: 38377UXA1	75,000.00	07/20/12	$6,164.23	Principal
GNMA 11-71GA 4.0 022041 CUSIP/SEC NO. 38377V3B0	25,000.00	01/20/12	$2,306.28	Principal
GNMA 11-71GA 4.0 022041 CUSIP/SEC NO: 38377V3B0	25,000.00	02/20/12	$2,237.32	Principal
GNMA 11-71GA 4.0 022041 CUSIP/SEC NO: 38377V3B0	25,000.00	03/20/12	$2,917.03	Principal
GNMA 11-71GA 4 0 022041 CUSIP/SEC NO. 38377V3B0	25,000.00	04/20/12	$2,764.29	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN- Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 11-71GA 4.0 022041 CUSIP/SEC NO: 38377V3B0	25,000.00	05/20/12	$2,473.25	Principal
GNMA 11-71GA 4 0 022041 CUSIP/SEC NO: 38377V3B0	25,000.00	06/20/12	$2,092.82	Principal
GNMA 11-71GA 4 0 022041 CUSIP/SEC NO. 38377V3B0	25,000.00	07/20/12	$1,762.47	Principal
GNMA 11-93WA 4.0 122040 CUSIP/SEC NO. 38377XGC0	50,000 00	01/20/12	$10.81	Principal
GNMA 11-93WA 4.0 122040 CUSIP/SEC NO 38377XGC0	50,000.00	08/20/12	$468.82	Principal
GNMA 11-93WA 4.0 122040 CUSIP/SEC NO. 38377XGC0	50,000.00	09/20/12	$192.27	Principal
GNMA 11-93WA 4.0 122040 CUSIP/SEC NO. 38377XGC0	50,000 00	11/20/12	$999 88	Principal
GNMA 11-93WA 4.0 122040 CUSIP/SEC NO: 38377XGC0	50,000 00	12/20/12	$564 98	Principal
GNMA 11-135JA 3 0 081641 CUSIP/SEC NO. 38377YXH8	50,000 00	01/16/12	$17 28	Principal
GNMA 11-135JA 3 0 081641 CUSIP/SEC NO: 38377YXH8	50,000 00	02/16/12	$496 04	Principal
GNMA 11-135JA 3 0 081641 CUSIP/SEC NO: 38377YXH8	50,000 00	03/16/12	$593 42	Principal

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Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 11-135JA 3.0 081641 CUSIP/SEC NO: 38377YXH8	50,000.00	10/16/12	$363.51	Principal
GNMA 11-135JA 3.0 081641 CUSIP/SEC NO: 38377YXH8	50,000.00	11/16/12	$714.00	Principal
GNMA 11-135JA 3.0 081641 CUSIP/SEC NO: 38377YXH8	50,000.00	12/16/12	$846.52	Principal
GNMA 11-151UA 3.0 061641 CUSIP/SEC NO: 38378ACB5	125,000.00	01/16/12	$2,834 03	Principal
GNMA 11-151UA 3.0 061641 CUSIP/SEC NO: 38378ACB5	125,000.00	02/16/12	$4,489 92	Principal
GNMA 11-151UA 3.0 061641 CUSIP/SEC NO: 38378ACB5	125,000 00	03/16/12	$5,165.85	Principal
GNMA 11-151UA 3.0 061641 CUSIP/SEC NO: 38378ACB5	125,000.00	04/16/12	$3,086 00	Principal
GNMA 11-151UA 3.0 061641 CUSIP/SEC NO: 38378ACB5	125,000 00	05/16/12	$5,775.25	Principal
GNMA 11-151UA 3.0 061641 CUSIP/SEC NO: 38378ACB5	125,000 00	06/16/12	$3,658 87	Principal
GNMA 11-151UA 3.0 061641 CUSIP/SEC NO: 38378ACB5	125,000 00	07/16/12	$1,605 96	Principal
GNMA 11-151UA 3.0 061641 CUSIP/SEC NO: 38378ACB5	125,000 00	08/16/12	$6,370 70	Principal

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
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DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 11-151UA 3.0 061641 CUSIP/SEC NO: 38378ACB5	125,000.00	09/16/12	$3,595 05	Principal
GNMA 11-151UA 3.0 061641 CUSIP/SEC NO: 38378ACB5	125,000.00	10/16/12	$5,854 34	Principal
GNMA 11-151UA 3 0 061641 CUSIP/SEC NO: 38378ACB5	125,000.00	11/16/12	$7,452.19	Principal
GNMA 11-151UA 3.0 061641 CUSIP/SEC NO: 38378ACB5	125,000.00	12/16/12	$4,579.51	Principal
GNMA 11-153NW 3.0 081641 CUSIP/SEC NO: 38378AEA5	30,000.00	01/16/12	$162.77	Principal
GNMA 11-153NW 3 0 081641 CUSIP/SEC NO: 38378AEA5	30,000 00	02/16/12	$115.73	Principal
GNMA 11-153NW 3.0 081641 CUSIP/SEC NO: 38378AEA5	30,000.00	03/16/12	$314.61	Principal
GNMA 11-153NW 3 0 081641 CUSIP/SEC NO: 38378AEA5	30,000.00	04/16/12	$698.18	Principal
GNMA 11-153NW 3 0 081641 CUSIP/SEC NO: 38378AEA5	30,000.00	06/16/12	$379.87	Principal
GNMA 11-153NW 3 0 081641 CUSIP/SEC NO: 38378AEA5	30,000.00	07/16/12	$363.48	Principal
GNMA 11-153NW 3.0 081641 CUSIP/SEC NO: 38378AEA5	30,000.00	08/16/12	$1,200.96	Principal

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DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 11-153NW 3.0 081641 CUSIP/SEC NO: 38378AEA5	30,000.00	09/16/12	$1,023.53	Principal
GNMA 11-153NW 3 0 081641 CUSIP/SEC NO: 38378AEA5	30,000.00	10/16/12	$921.65	Principal
GNMA 11-153NW 3 0 081641 CUSIP/SEC NO: 38378AEA5	30,000.00	11/16/12	$1,687 62	Principal
GNMA 11-153NW 3 0 081641 CUSIP/SEC NO. 38378AEA5	30,000.00	12/16/12	$798 63	Principal
GNMA 12-15UP 2 5 041640 CUSIP/SEC NO· 38378CKC0	50,000.00	02/16/12	$3,427 69	Principal
GNMA 12-15UP 2 5 041640 CUSIP/SEC NO· 38378CKC0	50,000 00	03/16/12	$3,493 41	Principal
GNMA 12-15UP 2.5 041640 CUSIP/SEC NO. 38378CKC0	50,000.00	04/16/12	$4,321.38	Principal
GNMA 12-15UP 2.5 041640 CUSIP/SEC NO 38378CKC0	50,000.00	05/16/12	$4,945.55	Principal
GNMA 12-15UP 2.5 041640 CUSIP/SEC NO 38378CKC0	50,000.00	06/16/12	$3,126.69	Principal
GNMA 12-15UP 2.5 041640 CUSIP/SEC NO· 38378CKC0	50,000.00	07/16/12	$6,303.02	Principal
GNMA 12-15UP 2.5 041640 CUSIP/SEC NO: 38378CKC0	50,000.00	08/16/12	$9,851.59	Principal

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DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 12-15UP 2 5 041640 CUSIP/SEC NO. 38378CKC0	50,000 00	09/16/12	$9,940.01	Principal
GNMA 12-13MA 3 0 102041 CUSIP/SEC NO: 38378CKG1	50,000.00	02/20/12	$106 99	Principal
GNMA 12-13MA 3 0 102041 CUSIP/SEC NO. 38378CKG1	50,000.00	03/20/12	$908 43	Principal
GNMA 12-13MA 3 0 102041 CUSIP/SEC NO. 38378CKG1	50,000 00	04/20/12	$2,392.86	Principal
GNMA 12-13MA 3 0 102041 CUSIP/SEC NO: 38378CKG1	50,000 00	05/20/12	$1,834.75	Principal
GNMA 12-13MA 3 0 102041 CUSIP/SEC NO· 38378CKG1	50,000 00	06/20/12	$1,781.44	Principal
GNMA 12-13MA 3.0 102041 CUSIP/SEC NO· 38378CKG1	50,000.00	07/20/12	$3,774.43	Principal
GNMA 12-13MA 3 0 102041 CUSIP/SEC NO. 38378CKG1	50,000 00	08/20/12	$4,717.32	Principal
GNMA 12-13MA 3 0 102041 CUSIP/SEC NO. 38378CKG1	50,000 00	09/20/12	$6,215.95	Principal
GNMA 12-13MA 3 0 102041 CUSIP/SEC NO: 38378CKG1	50,000 00	10/20/12	$5,465.59	Principal
GNMA 12-13MA 3.0 102041 CUSIP/SEC NO: 38378CKG1	50,000.00	11/20/12	$6,574.13	Principal

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DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 12-13MA 3.0 102041 CUSIP/SEC NO. 38378CKG1	50,000.00	12/20/12	$6,808 45	Principal
GNMA 12-13NA 3.0 102041 CUSIP/SEC NO: 38378CKK2	50,000.00	03/20/12	$908.38	Principal
GNMA 12-13NA 3.0 102041 CUSIP/SEC NO: 38378CKK2	50,000.00	04/20/12	$2,392.73	Principal
GNMA 12-13NA 3.0 102041 CUSIP/SEC NO: 38378CKK2	50,000 00	05/20/12	$1,834.65	Principal
GNMA 12-13NA 3.0 102041 CUSIP/SEC NO. 38378CKK2	50,000 00	06/20/12	$1,781.34	Principal
GNMA 12-13NA 3.0 102041 CUSIP/SEC NO: 38378CKK2	50,000.00	07/20/12	$3,774 22	Principal
GNMA 12-13NA 3.0 102041 CUSIP/SEC NO: 38378CKK2	50,000 00	08/20/12	$4,717.06	Principal
GNMA 12-13NA 3.0 102041 CUSIP/SEC NO: 38378CKK2	50,000.00	09/20/12	$6,215 61	Principal
GNMA 12-13NA 3.0 102041 CUSIP/SEC NO: 38378CKK2	50,000 00	10/20/12	$5,465 29	Principal
GNMA 12-13NA 3.0 102041 CUSIP/SEC NO: 38378CKK2	50,000.00	11/20/12	$6,573.77	Principal
GNMA 12-13NA 3.0 102041 CUSIP/SEC NO: 38378CKK2	50,000.00	12/20/12	$6,808.08	Principal

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1099-B DETAIL INFORMATION (CONTINUED)

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

**Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)**

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 12-13HK 3.0 102041 CUSIP/SEC NO· 38378CKV8	80,000.00	02/20/12	$23.95	Principal
GNMA 12-13HK 3 0 102041 CUSIP/SEC NO. 38378CKV8	100,000.00	07/20/12	$71.15	Principal
GNMA 12-13HK 3 0 102041 CUSIP/SEC NO· 38378CKV8	100,000.00	08/20/12	$2,452.84	Principal
GNMA 12-13HK 3.0 102041 CUSIP/SEC NO. 38378CKV8	100,000.00	09/20/12	$1,117.35	Principal
GNMA 12-13HK 3.0 102041 CUSIP/SEC NO· 38378CKV8	100,000.00	10/20/12	$884.97	Principal
GNMA 12-13HK 3.0 102041 CUSIP/SEC NO 38378CKV8	100,000.00	11/20/12	$1,596.44	Principal
GNMA 12-13HK 3 0 102041 CUSIP/SEC NO: 38378CKV8	100,000.00	12/20/12	$2,452.80	Principal
GNMA 12-12KU 3 0 012042 CUSIP/SEC NO· 38378CQ26	50,000 00	05/20/12	$1,373.38	Principal
GNMA 12-12KU 3.0 012042 CUSIP/SEC NO· 38378CQ26	50,000 00	06/20/12	$4,202.49	Principal
GNMA 12-12KU 3.0 012042 CUSIP/SEC NO· 38378CQ26	50,000.00	07/20/12	$2,928.59	Principal
GNMA 12-12KU 3.0 012042 CUSIP/SEC NO· 38378CQ26	50,000 00	08/20/12	$3,385.51	Principal

STIFEL NICOLAUS
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ST. LOUIS, MO 63102

THE ROS FOUNDATION

2012 CONSOLIDATED FORM 1099

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1099-B DETAIL INFORMATION (CONTINUED)

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SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 12-12KU 3 0 012042 CUSIP/SEC NO. 38378CQ26	50,000.00	09/20/12	$2,801.38	Principal
GNMA 12-12KU 3 0 012042 CUSIP/SEC NO· 38378CQ26	50,000.00	10/20/12	$2,824.89	Principal
GNMA 12-12KU 3 0 012042 CUSIP/SEC NO: 38378CQ26	50,000.00	11/20/12	$5,451.03	Principal
GNMA 12-12KU 3 0 012042 CUSIP/SEC NO: 38378CQ26	50,000.00	12/20/12	$1,771.61	Principal
GNMA 12-34LA 3.0 092041 CUSIP/SEC NO: 38378D2Q7	100,000.00	04/20/12	$39.03	Principal
GNMA 12-34LA 3.0 092041 CUSIP/SEC NO. 38378D2Q7	100,000.00	05/20/12	$37.42	Principal
GNMA 12-34LA 3.0 092041 CUSIP/SEC NO: 38378D2Q7	100,000.00	12/20/12	$1,291.20	Principal
GNMA 12-129WA 2 0 091642 CUSIP/SEC NO: 38378GHH4	180,000.00	12/16/12	$5,748 90	Principal
GNMA 12-124AW 2 0 101642 CUSIP/SEC NO: 38378H5U6	100,000.00	11/16/12	$448.66	Principal
GNMA 12-124AW 2.0 101642 CUSIP/SEC NO: 38378H5U6	100,000.00	12/16/12	$0.08	Principal
GNMA 12-108LA 2.5 082042 CUSIP/SEC NO: 38378HQA7	50,000.00	10/20/12	$939.81	Principal

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1099-B DETAIL INFORMATION (CONTINUED)

This is important tax information and is being furnished to the Internal Revenue Service (IRS). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (CONTINUED)

Return of Capital or Principal Payments for which cost basis is NOT reported to the IRS and for which SHORT- or LONG-TERM determination is UNKNOWN-
Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked (continued)

DESCRIPTION	QUANTITY	DATE PAID	PROCEEDS	ACTIVITY
GNMA 12-108LA 2.5 082042 CUSIP/SEC NO: 38378HQA7	50,000.00	11/20/12	$778 12	Principal
GNMA 12-108LA 2.5 082042 CUSIP/SEC NO· 38378HQA7	50,000 00	12/20/12	$1,890.95	Principal
RESI 05-QS31A25.5 032535 CUSIP/SEC NO. 76110HX95	150,000.00	10/25/12	$1,662 13	Principal
RESI 05-QS31A25.5 032535 CUSIP/SEC NO· 76110HX95	150,000.00	11/25/12	$1,697.78	Principal
RESI 05-QS31A25 5 032535 CUSIP/SEC NO· 76110HX95	150,000.00	12/25/12	$2,717.87	Principal
	Total Return of Capital or Principal Payments		$2,520,462.71 ✓	

TOTAL SALES PRICE LESS COMMISSIONS AND OPTION PREMIUMS (BOX 2A) $2,725,438.03

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SUPPLEMENTAL INFORMATION AND DISCLOSURES FOR TRANSACTIONS OCCURRING DURING THE 2012 TAX YEAR

All of the supplemental tax information is being provided for your information only. The attached supplemental information section contains some information that is not currently regulated and reported to the IRS by Stifel. This additional information is being furnished on a best efforts basis according to our current records. All proper reporting on an individual's income tax return including but not limited to the following information is the responsibility of the client and their qualified tax professional

OPTIONS ASSIGNED AND EXERCISED
Options assigned and exercised are not currently reported to the IRS by Stifel
It is the client's responsibility to adjust the corresponding security for these options on Form 8949 of their individual return

Assigned Options

DESCRIPTION	QUANTITY	DATE OPENED	DATE CLOSED	PROCEEDS	ORIGINAL COST BASIS	ADJUSTED COST BASIS	GAIN/(LOSS)	RELATED 1099B SECURITY DESC
C BAX 011913 60 SECURITY# 4113397	10 00	12/04/12	12/07/12	$2,239 94				CALL BAXTER INTL INC
C SNY 081812 38 SECURITY# 4354062	28 00	08/17/12	08/22/12	$1 399 96				CALL SANOFI
C VZ 011913 42 SECURITY# 4565753	15 00	10/04/12	10/10/12	$3,149 92				CALL VERIZON COMMNS INC
C RTN 081812 55 SECURITY# 4750352	10 00	08/17/12	08/22/12	$1,139 97				CALL RAYTHEON CO NEW
C TMO 012112 50 SECURITY# 4883399	5 00	01/20/12	01/25/12	$474 99				CALL THERMO FISHER
Total Assigned Options				**$8,404.78**	**N/A**	**N/A**		

OPTIONS SOLD AND EXPIRED

Options sold and expired are not currently reported to the IRS by Stifel.
It is the client's responsibility to report these option transactions on Form 8949, Part I or II, with Box C checked

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SUPPLEMENTAL INFORMATION AND DISCLOSURES FOR TRANSACTIONS OCCURRING DURING THE 2012 TAX YEAR

Options Sold and Expired - SHORT-TERM transactions

DESCRIPTION	QUANTITY	DATE OPENED	DATE CLOSED	PROCEEDS	ORIGINAL COST BASIS	ADJUSTED COST BASIS	WASH SALE(LOSS) DISALLOWED	GAIN/(LOSS)
C BUD 122212 90 SECURITY# 4071518	10 00	12/22/12	12/22/12	$599 98	EXPIRED			$599 98
C AAPL 081812 650 SECURITY# 4074096	1 00	08/18 12	08/18/12	$634 98	EXPIRED			$634 98
C AAPL 061612 700 SECURITY# 4074222	1 00	06 16 12	06/16 12	$1 329 97	EXPIRED			$1,329 97
C AAPL 102012 730 SECURITY# 4077441	1 00	10/20 12	10/20 12	$818 98	EXPIRED			$818 98
C ACF 081812 80 SECURITY# 4082872	10 00	08/18/12	08 18 12	$1,299 97	EXPIRED			$1 299 97
C BAX 021812 55 SECURITY# 4113255	10 00	02 17 12	02 21 12	$149 99	$1 740 00			($1 590 01)
C BAX 081812 57 50 SECURITY# 4113327	10 00	08 16 12	08/17/12	$2,739 95	$1,640 00			$1,099 95
C BMS 102012 35 SECURITY# 4144949	13 00	10/20 12	10/20/12	$519 98	EXPIRED			$519 98
C COH 061612 80 SECURITY# 4206434	15 00	06/16/12	06 16 12	$1,949 96	EXPIRED			$1 949 96
C COH 012112 70 SECURITY# 4207054	15 00	01/21/12	01/21 12	$1,499 97	EXPIRED			$1,499 97
C SNY 061612 40 SECURITY# 4362482	25 00	06/16/12	06 16 12	$1,624 96	EXPIRED			$1 624 96
C XOM 111712 95 SECURITY# 4378534	10 00	11/17 12	11/17/12	$439 99	EXPIRED			$439 99
C XOM 122212 90 SECURITY# 4380466	10 00	12/22 12	12/22/12	$669 98	EXPIRED			$669 98
C HI 042112 25 SECURITY# 4436485	25 00	04/21/12	04 21 12	$999 98	EXPIRED			$999 98
C HPQ 051912 30 SECURITY# 4437793	10 00	05/19 12	05 19 12	$759 98	EXPIRED			$759 98

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SUPPLEMENTAL INFORMATION AND DISCLOSURES FOR TRANSACTIONS OCCURRING DURING THE 2012 TAX YEAR

Options Sold and Expired - SHORT-TERM transactions (continued)

DESCRIPTION	QUANTITY	DATE OPENED	DATE CLOSED	PROCEEDS	ORIGINAL COST BASIS	ADJUSTED COST BASIS	WASH SALE(LOSS) DISALLOWED	GAIN/(LOSS)
C IBM 012112 210 SECURITY# 4477568	10.00	01/21/12	01/21/12	$379.99	EXPIRED			$379.99
C IBM 072112 230 SECURITY# 4477908	10.00	07/21/12	07/21/12	$499.99	EXPIRED			$499.99
C VZ 012112 39 SECURITY# 4565691	3.00	01/21/12	01/21/12	$83.99	EXPIRED			$83.99
C VZ 012112 39 SECURITY# 4565691	12.00	01/21/12	01/21/12	$359.99	EXPIRED			$359.99
SECURITY# TOTAL:	**15.00**			**$443.98**	**N/A**	**N/A**		**$443.98**
C VZ 051912 40 SECURITY# 4575835	15.00	05/18/12	05/21/12	$449.99	$2,475.00			($2,025.01)
C MDT 061612 39 SECURITY# 4587804	15.00	06/16/12	06/16/12	$644.98	EXPIRED			$644.98
C MDT 081812 40 SECURITY# 4587839	15.00	08/16/12	08/17/12	$569.98	$795.00			($225.02)
C MDT 051912 43 SECURITY# 4588272	15.00	05/19/12	05/19/12	$1,064.97	EXPIRED			$1,064.97
C NSC 021812 77.50 SECURITY# 4653049	10.00	02/18/12	02/18/12	$449.99	EXPIRED			$449.99
C UAL 072112 25 SECURITY# 4737136	25.00	07/21/12	07/21/12	$749.98	EXPIRED			$749.98
C RTN 042112 52.50 SECURITY# 4758393	10.00	04/20/12	04/23/12	$699.98	$740.00			($40.02)
C WDC 072112 45 SECURITY# 4809931	15.00	07/21/12	07/21/12	$1,694.96	EXPIRED			$1,694.96
C WDC 102012 36 SECURITY# 4811035	10.00	10/20/12	10/20/12	$1,459.96	EXPIRED			$1,459.96
C WDC 012112 37 SECURITY# 4814616	15.00	01/21/12	01/21/12	$1,079.97	EXPIRED			$1,079.97
C VFC 061612 160 SECURITY# 4937607	10.00	06/16/12	06/16/12	$1,099.97	EXPIRED			$1,099.97

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SUPPLEMENTAL INFORMATION AND DISCLOSURES FOR TRANSACTIONS OCCURRING DURING THE 2012 TAX YEAR

Options Sold and Expired - SHORT-TERM transactions (continued)

DESCRIPTION	QUANTITY	DATE OPENED	DATE CLOSED	PROCEEDS	ORIGINAL COST BASIS	ADJUSTED COST BASIS	WASH SALE(LOSS) DISALLOWED	GAIN/(LOSS)
C VLC 081812 155 SECURITY# 4937610	10 00	08/18/12	08/18 12	$1,599 96	EXPIRED			$1 599 96
C VMI 061612 120 SECURITY# 4947764	4 00	06 16 12	06 16 12	$799 98	EXPIRED			$799 98
C VMI 122212 140 SECURITY# 4948105	4 00	12 22/12	12/22/12	$2 199 95	EXPIRED			$2 199 95
C VMI 081812 125 SECURITY# 4948869	4 00	08 17/12	08/20/12	$1,279 97	$2,200 00			($920 03)
C WHR 092212 75 SECURITY# 4969578	8 00	09/12/12	09/13 12	$639 98	$4,600 00			($3 960 02)
C WHR 061612 85 SECURITY# 4969982	8 00	06/16/12	06/16 12	$1,599 97	EXPIRED			$1 599 97
C INT 081812 40 SECURITY# 4976758	10 00	08/18/12	08/18 12	$1,049 97	EXPIRED			$1 049 97
C INT 051912 50 SECURITY# 4976787	20 00	05/19/12	05/19/12	$2,799 94	EXPIRED			$2 799 94
Total Options Sold and Expired SHORT-TERM transactions				**$39,297.03**	**$14,190 00**	**$14,190 00**		**$25,107.03**