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Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2012

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning 01-01-2012, and ending 12-31-2012

Name of foundation: ELLAMAE SIEBERT FOUNDATION INC. A Employer identification number: 39-1708686. B Telephone number: (414) 276-3400. G Check all that apply: Initial return, Final return, Address change. H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year: \$1,333,421. J Accounting method: Cash.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), leading to Excess of revenue over expenses and disbursements (27a) of 57,258 and Adjusted net income (27c) of 133,808.

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Part II Balance Sheets		Beginning of year			End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing						
	2 Savings and temporary cash investments						
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)						
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____						
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments—U S and state government obligations (attach schedule)						
	b Investments—corporate stock (attach schedule)	1,171,273	<input checked="" type="checkbox"/>	1,228,531		1,333,421	
	c Investments—corporate bonds (attach schedule)						
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
	12 Investments—mortgage loans						
	13 Investments—other (attach schedule)						
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____						
15 Other assets (describe ▶ _____)							
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,171,273		1,228,531		1,333,421		
Liabilities	17 Accounts payable and accrued expenses						
	18 Grants payable						
	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable (attach schedule)						
	22 Other liabilities (describe ▶ _____)						
	23 Total liabilities (add lines 17 through 22)			0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.						
	24 Unrestricted	1,171,273		1,228,531			
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.						
	27 Capital stock, trust principal, or current funds						
	28 Paid-in or capital surplus, or land, bldg, and equipment fund						
29 Retained earnings, accumulated income, endowment, or other funds							
30 Total net assets or fund balances (see page 17 of the instructions)	1,171,273		1,228,531				
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,171,273		1,228,531				

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,171,273
2	Enter amount from Part I, line 27a	2	57,258
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,228,531
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,228,531

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	See Additional Data Table			
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a	See Additional Data Table			
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	120,354
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	10,811

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	65,585	1,149,336	0.057063
2010	61,500	1,353,288	0.045445
2009	76,803	1,233,709	0.062254
2008	87,390	1,601,140	0.054580
2007	79,367	1,754,671	0.045232
2	Total of line 1, column (d).		2 0.264574
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3 0.052915
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.		4 1,325,677
5	Multiply line 4 by line 3.		5 70,148
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 1,338
7	Add lines 5 and 6.		7 71,486
8	Enter qualifying distributions from Part XII, line 4.		8 56,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits and payments. Total tax due is 2,676 and overpayment is 221.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, unrelated business income, and state reporting requirements.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address NONE	13	Yes	
14	The books are in care of JANET M HOEHNEN Telephone no (414) 276-3400 Located at 111 EAST WISCONSIN AVE SUITE 1800 MILWAUKEE WI ZIP +4 53202			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country	16		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years 20___, 20___, 20___, 20___		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20___, 20___, 20___, 20___		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

<p>5a During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? 5b</p> <p>Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/></p> <p>c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i></p> <p>6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b No</p> <p><i>If "Yes" to 6b, file Form 8870.</i></p> <p>7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? 7b</p>	
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
FRANK W BASTIAN 111 EAST WISCONSIN AVE SUITE 1800 MILWAUKEE, WI 53202	DIRECTOR 5 00	0	0	0
JANET M HOEHNEN 111 EAST WISCONSIN AVE SUITE 1800 MILWAUKEE, WI 53202	DIRECTOR 5 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. **▶**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions	
3	

Total. Add lines 1 through 3. **▶**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,295,269
b	Average of monthly cash balances.	1b	50,596
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,345,865
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,345,865
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	20,188
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,325,677
6	Minimum investment return. Enter 5% of line 5.	6	66,284

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	66,284
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	2,676
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,676
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	63,608
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	63,608
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	63,608

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	56,500
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	56,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	56,500

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				63,608
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			56,430	
b Total for prior years 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>56,500</u>				
a Applied to 2011, but not more than line 2a			56,430	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				70
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 <i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				63,538
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2008.				
b Excess from 2009.				
c Excess from 2010.				
d Excess from 2011.				
e Excess from 2012.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed
FRANK W BASTIAN
111 EAST WISCONSIN
SUITE 1800
MILWAUKEE, WI 53202
(414) 276-3400

- b** The form in which applications should be submitted and information and materials they should include
APPLICATION FORM & IRS DETERMINATION LETTER FOR SECTION 501(C)(3) STATUS

- c** Any submission deadlines
SEPTEMBER 1 OF EACH YEAR

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
EMPHASIS ON MILWAUKEE METROPOLITAN AREA

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total				3a 56,500
b <i>Approved for future payment</i>				
Total				3b

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line No, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge Signature of officer or trustee Date Title

Paid Preparer Use Only Print/Type preparer's name Preparer's Signature Date Check if self-employed PTIN Firm's name Firm's address Firm's EIN Phone no

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
200 SHRS EATON VANCE GLOBAL MACRO AB	P	2010-05-20	2012-02-09
2702 703 SHRS PIMCO FDS UNCONSTRAINE	P	2010-05-20	2012-03-01
511 55 SHRS NUVEEN INVT TR II TRADEW	P	2010-05-20	2012-05-21
25 SHRS CORE LABORATORIES NV	P	2000-09-11	2012-08-29
125 SHRS SCHLUMBERGER LTD	P	2000-09-11	2012-08-29
285 SHRS CONSTELLATION BRANDS INC	P	2011-11-01	2012-04-17
15 SHRS ALASKA AIR GROUP INC	P	2012-07-09	2012-08-29
14 SHRS JOHNSON & JOHNSON	P	2012-07-09	2012-08-29
36 SHRS TIBCO SOFTWARE INC	P	2010-07-07	2012-08-29
379 SHRS CADENCE DESIGN SYSTEMS INC	P	2012-03-06	2012-09-13
203 SHRS NUANCE COMMUNICATIONS INC	P	2011-10-05	2012-09-13
4 SHRS GOOGLE INC CL A	P	2012-09-13	2012-10-17
3 85 SHRS PIMCO FDS UNCONSTRAINED BD	P	2011-03-02	2012-03-01
1 995 SHRS PIMCO FDS UNCONSTRAINED B	P	2010-06-02	2012-03-01
23 414 SHRS NUVEEN INVT TR II TRADEW	P	2010-12-15	2012-05-21
85 SHRS CANADIAN NATIONAL RAILWAY CO	P	2001-03-30	2012-08-29
240 SHRS TENARIS SA SPONS ADR	P	2000-09-11	2012-08-29
125 SHRS GLOBAL PAYMENTS INC	P	2011-10-11	2012-04-17
30 SHRS AMERICAN EXPRESS COMPANY	P	2009-10-27	2012-08-29
10 SHRS KIMBERLY CLARK CORP	P	2012-08-06	2012-08-29
19 SHRS THE TRAVELERS COMPANIES INC	P	2012-05-09	2012-08-29
299 SHRS DEAN FOODS CO NEW	P	2012-06-06	2012-09-13
56 SHRS OCCIDENTAL PETE CORP	P	2011-05-17	2012-09-13
10 SHRS VISA INC CL A COMMON STOCK	P	2012-09-13	2012-10-22
4 829 SHRS PIMCO FDS UNCONSTRAINED B	P	2011-04-04	2012-03-01
4 679 SHRS PIMCO FDS UNCONSTRAINED B	P	2010-07-02	2012-03-01
6 067 SHRS NUVEEN INVT TR II TRADEWI	P	2010-12-15	2012-05-21
115 SHRS CAMERON INTERNATIONAL CORPO	P	2002-10-08	2012-08-29
25 SHRS TRANSOCEAN LTD US LISTED	P	2000-09-11	2012-08-29
141 SHRS AETNA INC	P	2011-07-01	2012-05-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 SHRS APPLE INC	P	2009-04-17	2012-08-29
30 SHRS KROGER CO	P	2012-03-06	2012-08-29
57 SHRS US BANCORP DEL COM	P	2012-01-25	2012-08-29
226 SHRS EAST WEST BANCORP INC	P	2011-03-02	2012-09-13
8 SHRS PRICELINE COM INC COM NEW	P	2010-09-28	2012-09-13
0 SHRS FNMA REMIC 07-32-KT 04/25/37	P	2007-05-01	2012-09-25
4 66 SHRS PIMCO FDS UNCONSTRAINED BD	P	2011-05-03	2012-03-01
4 608 SHRS PIMCO FDS UNCONSTRAINED B	P	2010-08-03	2012-03-01
5 087 SHRS NUVEEN INVT TR II TRADEWI	P	2011-01-03	2012-05-21
135 SHRS COOPER INDUSTRIES PLC NEW I	P	2005-10-28	2012-08-29
55 SHRS UNILEVER NV NEW YORK SHS NEW	P	2007-12-24	2012-08-29
9 SHRS COOPER COMPANIES INC	P	2011-03-24	2012-05-09
55 SHRS BB&T CORP	P	2012-03-06	2012-08-29
15 SHRS MCKESSON CORP	P	2009-08-06	2012-08-29
15 SHRS WABTEC CORP	P	2012-04-17	2012-08-29
55 SHRS EXXON MOBIL CORP	P	2007-04-30	2012-09-13
152 SHRS OMNICARE INC	P	2012-05-09	2012-09-13
0 SHRS FNMA REMIC 07-32-KT 04/25/37	P	2007-05-01	2012-10-25
5 388 SHRS PIMCO FDS UNCONSTRAINED B	P	2011-06-01	2012-03-01
5 237 SHRS PIMCO FDS UNCONSTRAINED B	P	2010-09-02	2012-03-01
29 354 SHRS NUVEEN INVT TR II TRADEW	P	2011-12-19	2012-05-21
75 SHRS CANADIAN PACIFIC RAILWAY LTD	P	2005-11-17	2012-08-29
125 SHRS COOPER INDUSTRIES PLC NEW I	P	2005-10-28	2012-12-03
83 SHRS CELGENE CORP	P	2011-10-05	2012-05-09
20 SHRS BED BATH & BEYOND INC	P	2010-01-14	2012-08-29
25 SHRS MOTOROLA SOLUTIONS INC	P	2011-08-10	2012-08-29
31 SHRS WATSON PHARMACEUTICALS INC	P	2010-07-13	2012-08-29
91 SHRS INGREDION INC	P	2011-03-02	2012-09-13
154 SHRS ORACLE CORP	P	2012-08-06	2012-09-13
0 SHRS FNMA REMIC 07-32-KT 04/25/37	P	2007-05-01	2012-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 777 SHRS PIMCO FDS UNCONSTRAINED B	P	2011-07-01	2012-03-01
5 193 SHRS PIMCO FDS UNCONSTRAINED B	P	2010-10-04	2012-03-01
47 708 SHRS NUVEEN INVNT TR II TRADEW	P	2011-12-19	2012-05-21
130 SHRS CANADIAN NATURAL RESOURCES	P	2010-02-23	2012-08-29
0 848 SHRS EATON CORPORATION PLC	P	2012-11-30	2012-12-07
101 SHRS PRUDENTIAL FINANCIAL INC	P	2012-04-17	2012-05-09
15 SHRS COOPER COMPANIES INC	P	2011-03-24	2012-08-29
62 SHRS MATTEL INC	P	2010-02-22	2012-08-29
33 SHRS WHOLE FOODS MARKET INC	P	2009-08-26	2012-08-29
96 SHRS HELMERICH & PAYNE INC	P	2010-02-22	2012-09-13
130 SHRS RESMED INC	P	2012-06-06	2012-09-13
0 SHRS FNMA REMIC 07-32-KT 04/25/37	P	2007-05-01	2012-12-26
3 7 SHRS PIMCO FDS UNCONSTRAINED BD	P	2011-08-01	2012-03-01
5 394 SHRS PIMCO FDS UNCONSTRAINED B	P	2010-11-02	2012-03-01
18 242 SHRS NUVEEN INVNT TR II TRADEW	P	2012-01-03	2012-05-21
95 SHRS DIAGEO PLC SPONS ADR NEW	P	2006-12-26	2012-08-29
67 SHRS PPG INDUSTRIES INC	P	2011-04-05	2012-01-11
1 SHRS PRICELINE COM INC COM NEW	P	2010-09-28	2012-05-09
2 SHRS CHECK POINT SOFTWARE TECHNOLO	P	2010-11-22	2012-08-29
6 SHRS NOBLE ENERGY INC	P	2011-04-04	2012-08-29
146 SHRS AMETEK INC	P	2011-12-02	2012-09-13
89 SHRS HOME DEPOT INC	P	2011-09-16	2012-09-13
184 SHRS ROBERT HALF INTERNATIONAL I	P	2012-05-09	2012-09-13
2 984 SHRS PIMCO FDS UNCONSTRAINED B	P	2011-09-01	2012-03-01
5 55 SHRS PIMCO FDS UNCONSTRAINED BD	P	2010-12-02	2012-03-01
372 439 SHRS MATTHEWS CHINA FUND	P	2009-05-05	2012-08-28
405 SHRS ENSIGN ENERGY SERVICES INC	P	2001-01-22	2012-08-29
124 SHRS CAPITAL ONE FINANCIAL CORP	P	2009-11-23	2012-01-25
216 SHRS COCA COLA ENTERPRISES INC	P	2011-04-19	2012-06-06
10 SHRS CHEVRON CORPORATION	P	2011-03-02	2012-08-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
24 SHRS NUANCE COMMUNICATIONS INC	P	2011-10-05	2012-08-29
146 SHRS ALASKA AIR GROUP INC	P	2012-07-09	2012-09-13
25 SHRS INTERNATIONAL BUSINESS MACHI	P	2009-02-03	2012-09-13
70 SHRS ROSS STORES INC	P	2009-03-20	2012-09-13
3 263 SHRS PIMCO FDS UNCONSTRAINED B	P	2011-10-03	2012-03-01
0 784 SHRS PIMCO FDS UNCONSTRAINED B	P	2010-12-10	2012-03-01
200 SHRS TEMPLETON DRAGON FUND INC N	P	2007-04-26	2012-08-28
30 SHRS NOVARTIS AG SPONS ADR	P	2000-09-11	2012-08-29
16 SHRS HOME DEPOT INC	P	2011-09-16	2012-01-25
176 SHRS ENDO HEALTH SOLUTIONS INC	P	2010-08-17	2012-06-06
10 SHRS CF INDUSTRIES HOLDINGS	P	2011-03-15	2012-08-29
3 SHRS OCCIDENTAL PETE CORP	P	2011-05-17	2012-08-29
114 SHRS AGCO CORP	P	2012-01-11	2012-09-13
73 SHRS JOHNSON & JOHNSON	P	2012-07-09	2012-09-13
164 SHRS TIBCO SOFTWARE INC	P	2010-07-07	2012-09-13
3 066 SHRS PIMCO FDS UNCONSTRAINED B	P	2011-11-01	2012-03-01
5 118 SHRS PIMCO FDS UNCONSTRAINED B	P	2010-12-10	2012-03-01
40 SHRS AGRUUM INC	P	2011-11-11	2012-08-29
100 SHRS NOBLE CORPORATION US LISTED	P	2002-05-06	2012-08-29
46 SHRS INTERCONTINENTALEXCHANGE INC	P	2011-07-13	2012-02-06
77 SHRS ROCKWELL AUTOMATION INC	P	2011-11-28	2012-07-09
150 SHRS CADENCE DESIGN SYSTEMS INC	P	2012-03-06	2012-08-29
1 SHRS PRICELINE COM INC COM NEW	P	2010-09-28	2012-08-29
156 SHRS BB&T CORP	P	2012-03-06	2012-09-13
59 SHRS KIMBERLY CLARK CORP	P	2012-08-06	2012-09-13
75 SHRS THE TRAVELERS COMPANIES INC	P	2012-05-09	2012-09-13
3 676 SHRS PIMCO FDS UNCONSTRAINED B	P	2011-12-02	2012-03-01
5 176 SHRS PIMCO FDS UNCONSTRAINED B	P	2011-01-04	2012-03-01
85 SHRS BRITISH AMERICAN TOBACCO PLC	P	2005-01-31	2012-08-29
112 5 SHRS NESTLE SA SPONS ADR	P	2005-03-15	2012-08-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 SHRS APPLE INC	P	2009-04-17	2012-03-06
45 SHRS ROSS STORES INC	P	2009-03-20	2012-07-09
64 SHRS DEAN FOODS CO NEW	P	2012-06-06	2012-08-29
25 SHRS OMNICARE INC	P	2012-05-09	2012-08-29
76 SHRS BED BATH & BEYOND INC	P	2010-01-14	2012-09-13
221 SHRS KROGER CO	P	2012-03-06	2012-09-13
146 SHRS US BANCORP DEL COM	P	2012-01-25	2012-09-13
20 906 SHRS PIMCO FDS UNCONSTRAINED	P	2011-12-29	2012-03-01
4 609 SHRS PIMCO FDS UNCONSTRAINED B	P	2011-02-02	2012-03-01
15 SHRS BRITISH AMERICAN TOBACCO PLC	P	2007-12-24	2012-08-29
22 5 SHRS NESTLE SA SPONS ADR	P	2005-11-21	2012-08-29
158 SHRS DENTSPLY INTERNATIONAL INC	P	2011-09-16	2012-03-06
112 SHRS RYDER SYSTEM INC	P	2011-07-01	2012-07-09
45 SHRS EAST WEST BANCORP INC	P	2011-03-02	2012-08-29
37 SHRS ORACLE CORP	P	2012-08-06	2012-08-29
63 SHRS COOPER COMPANIES INC	P	2011-03-24	2012-09-13
58 SHRS MCKESSON CORP	P	2009-08-06	2012-09-13
62 SHRS WABTEC CORP	P	2012-04-17	2012-09-13
2 52 SHRS PIMCO FDS UNCONSTRAINED BD	P	2012-01-03	2012-03-01
85 106 SHRS MATTHEWS CHINA FUND	P	2009-05-05	2012-04-25
10 SHRS BRITISH AMERICAN TOBACCO PLC	P	2008-06-03	2012-08-29
600 SHRS PRECISION DRILLING CORP	P	2000-09-11	2012-08-29
129 SHRS EXELON CORP	P	2011-08-10	2012-03-06
102 SHRS ANSYS INC	P	2010-11-09	2012-08-06
24 SHRS INGREDION INC	P	2011-03-02	2012-08-29
50 SHRS RESMED INC	P	2012-06-06	2012-08-29
102 SHRS CHECK POINT SOFTWARE TECHNO	P	2010-11-22	2012-09-13
102 SHRS MOTOROLA SOLUTIONS INC	P	2011-08-10	2012-09-13
59 SHRS WATSON PHARMACEUTICALS INC	P	2010-07-13	2012-09-13
1 6 SHRS PIMCO FDS UNCONSTRAINED BD	P	2012-02-01	2012-03-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
96 805 SHRS NUVEEN INVNT TR II TRADEW	P	2010-05-20	2012-05-04
75 SHRS BASF SE ADR	P	2008-06-03	2012-08-29
105 SHRS POTASH CORP OF SASKATCHEWAN	P	2007-12-17	2012-08-29
1 SHRS PRICELINE COM INC COM NEW	P	2010-09-28	2012-03-06
247 SHRS INVESCO LTD	P	2012-02-06	2012-08-06
50 SHRS HOME DEPOT INC	P	2011-09-16	2012-08-29
24 SHRS ROBERT HALF INTERNATIONAL IN	P	2012-05-09	2012-08-29
45 SHRS CHEVRON CORPORATION	P	2011-03-02	2012-09-13
141 SHRS MATTEL INC	P	2010-02-22	2012-09-13
50 SHRS WHOLE FOODS MARKET INC	P	2009-08-26	2012-09-13
3 613 SHRS PIMCO FDS UNCONSTRAINED B	P	2012-03-01	2012-03-01
250 752 SHRS NUVEEN INVNT TR II TRADE	P	2010-05-20	2012-05-16
75 SHRS BHP BILLITON LTD SPONS ADR	P	2003-02-12	2012-08-29
15 SHRS RIO TINTO PLC SPONS ADR	P	2003-02-12	2012-08-29
42 SHRS V F CORP	P	2011-10-05	2012-03-06
40 SHRS AMETEK INC	P	2011-12-02	2012-08-29
5 SHRS INTERNATIONAL BUSINESS MACHIN	P	2009-02-03	2012-08-29
21 SHRS ROSS STORES INC	P	2009-03-20	2012-08-29
25 SHRS CF INDUSTRIES HOLDINGS	P	2011-03-15	2012-09-13
56 SHRS NOBLE ENERGY INC	P	2011-04-04	2012-09-13
45 SHRS GILEAD SCIENCES INC	P	2012-09-13	2012-09-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,000		2,066	-66
29,784		30,000	-216
10,200		14,886	-4,686
3,051		277	2,774
9,134		5,332	3,802
6,153		5,573	580
497		539	-42
946		948	-2
1,077		450	627
5,174		4,340	834
5,248		4,061	1,187
3,018		2,832	186
42		43	-1
22		22	
467		793	-326
7,801		1,043	6,758
9,949		1,469	8,480
5,670		5,412	258
1,718		1,073	645
835		838	-3
1,227		1,216	11
4,937		4,667	270
5,062		5,637	-575
1,381		1,357	24
53		54	-1
52		52	
121		206	-85
6,241		1,173	5,068
1,209		1,586	-377
5,948		6,260	-312

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,367		610	2,757
667		725	-58
1,903		1,625	278
5,108		5,114	-6
5,033		2,786	2,247
149		149	
51		52	-1
51		51	
101		175	-74
9,917		4,676	5,241
1,911		2,025	-114
783		612	171
1,739		1,577	162
1,318		787	531
1,169		1,193	-24
5,030		4,436	594
5,316		5,225	91
125		125	
59		60	-1
58		59	-1
585		776	-191
6,224		3,082	3,142
9,921		4,330	5,591
5,845		5,300	545
1,334		828	506
1,189		999	190
2,550		1,333	1,217
5,104		4,297	807
5,047		4,765	282
334		334	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
53		53	
57		59	-2
951		1,262	-311
3,991		4,392	-401
44		44	
5,184		6,187	-1,003
1,253		1,021	232
2,167		1,342	825
3,195		975	2,220
4,758		4,009	749
5,197		4,126	1,071
544		544	
41		41	
59		61	-2
364		468	-104
10,353		7,498	2,855
5,783		6,499	-716
719		348	371
95		87	8
523		583	-60
5,215		4,204	1,011
5,188		3,095	2,093
4,955		5,303	-348
33		33	
61		62	-1
8,000		6,637	1,363
6,171		2,185	3,986
5,606		4,711	895
5,753		6,023	-270
1,116		1,039	77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
578		480	98
5,106		5,242	-136
5,165		2,294	2,871
4,757		1,206	3,551
36		36	
9		9	
5,094		4,848	246
1,772		1,103	669
718		556	162
5,457		4,567	890
2,053		1,253	800
260		302	-42
5,239		5,690	-451
5,046		4,944	102
5,102		2,052	3,050
34		34	
56		57	-1
3,970		3,138	832
3,804		1,964	1,840
5,639		5,838	-199
4,992		5,469	-477
1,940		1,718	222
593		348	245
5,240		4,473	767
4,914		4,942	-28
5,143		4,801	342
41		40	1
57		57	
8,863		2,937	5,926
7,051		3,187	3,864

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,565		366	1,199
3,010		775	2,235
1,041		999	42
810		859	-49
5,378		3,145	2,233
5,302		5,339	-37
5,101		4,162	939
230		227	3
51		51	
1,564		1,193	371
1,410		683	727
6,051		5,443	608
3,831		6,540	-2,709
987		1,018	-31
1,165		1,145	20
5,992		4,287	1,705
5,099		3,044	2,055
5,107		4,931	176
28		27	1
2,000		1,517	483
1,043		747	296
4,657		7,917	-3,260
4,993		5,279	-286
6,896		4,946	1,950
1,293		1,133	160
1,880		1,587	293
4,963		4,462	501
5,129		4,076	1,053
4,909		2,537	2,372
18		18	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,000		2,817	-817
5,864		5,592	272
4,298		4,481	-183
632		348	284
5,607		5,906	-299
2,831		1,739	1,092
640		692	-52
5,261		4,677	584
5,034		3,051	1,983
4,892		1,478	3,414
40		40	
5,000		7,297	-2,297
5,060		821	4,239
659		292	367
6,131		5,287	844
1,377		1,152	225
976		459	517
1,466		362	1,104
5,541		3,132	2,409
5,399		5,438	-39
3,014		2,723	291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-66
			-216
			-4,686
			2,774
			3,802
			580
			-42
			-2
			627
			834
			1,187
			186
			-1
			-326
			6,758
			8,480
			258
			645
			-3
			11
			270
			-575
			24
			-1
			-85
			5,068
			-377
			-312

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,757
			-58
			278
			-6
			2,247
			-1
			-74
			5,241
			-114
			171
			162
			531
			-24
			594
			91
			-1
			-1
			-191
			3,142
			5,591
			545
			506
			190
			1,217
			807
			282

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-311
			-401
			-1,003
			232
			825
			2,220
			749
			1,071
			-2
			-104
			2,855
			-716
			371
			8
			-60
			1,011
			2,093
			-348
			-1
			1,363
			3,986
			895
			-270
			77

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			98
			-136
			2,871
			3,551
			246
			669
			162
			890
			800
			-42
			-451
			102
			3,050
			-1
			832
			1,840
			-199
			-477
			222
			245
			767
			-28
			342
			1
			5,926
			3,864

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,199
			2,235
			42
			-49
			2,233
			-37
			939
			3
			371
			727
			608
			-2,709
			-31
			20
			1,705
			2,055
			176
			1
			483
			296
			-3,260
			-286
			1,950
			160
			293
			501
			1,053
			2,372

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-817
			272
			-183
			284
			-299
			1,092
			-52
			584
			1,983
			3,414
			-2,297
			4,239
			367
			844
			225
			517
			1,104
			2,409
			-39
			291

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS OF BOERNER BOTANICAL GARDEN 9400 BOERNER DRIVE SUITE HALES CORNERS, WI 53130				
	PUBLIC	GENERAL		10,000
PLANNED PARENTHOOD OF WISCONSIN IN 302 NORTH JACKSON STREET MILWAUKEE, WI 53202				
	PUBLIC	GENERAL		20,000
ROYAL FAMILY KIDS CAMP 4970 S SWIFT AVENUE CUDAHY, WI 53110				
	PUBLIC	GENERAL		1,000
UWM RESEARCH FOUNDATION 1440 EAST NORTH AVENUE MILWAUKEE, WI 53202				
	PUBLIC	GENERAL		18,000
WAUKESHA MEMORIAL HOSPITAL FDN 725 AMERICAN AVENUE WAUKESHA, WI 53188				
	PUBLIC	GENERAL		1,000
WAUWATOSA WEST HIGH SCHOOL 11400 WEST CENTER STREET WAUWATOSA, WI 53222				
	PUBLIC	GENERAL		1,000
WISCONSIN ACADEMY FOR GRADUATE SERV 1338 DEWET COURT MADISON, WI 53703				
	PUBLIC	GENERAL		2,500
WISCONSIN HUMANE SOCIETY 4500 WEST WISCONSIN AVENU MILWAUKEE, WI 53208				
	PUBLIC	GENERAL		3,000
Total	3a			56,500

TY 2012 Compensation Explanation

Name: ELLAMAE SIEBERT FOUNDATION INC

EIN: 39-1708686

Person Name	Explanation
FRANK W BASTIAN	
JANET M HOEHNEN	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Gain/Loss from Sale of Other Assets Schedule

Name: ELLAMAE SIEBERT FOUNDATION INC

EIN: 39-1708686

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
BASIS ADJUSTMENT		PURCHASE	2012-12			139			-139	

**TY 2012 Investments Corporate
Stock Schedule**

Name: ELLAMAE SIEBERT FOUNDATION INC

EIN: 39-1708686

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INVESTED ASSETS	1,228,531	1,333,421

TY 2012 Legal Fees Schedule

Name: ELLAMAE SIEBERT FOUNDATION INC

EIN: 39-1708686

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL AND ADMINISTRATION	16,911			

TY 2012 Other Professional Fees Schedule

Name: ELLAMAE SIEBERT FOUNDATION INC

EIN: 39-1708686

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT	20,955	20,955		
PROCESSING FEES	33	33		

TY 2012 Taxes Schedule

Name: ELLAMAE SIEBERT FOUNDATION INC

EIN: 39-1708686

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID ON DIVIDENDS	625	625		
990PF TAX FOR 2011	103			
990PF ESTIMATE FOR 2012	2,897			