



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public inspection

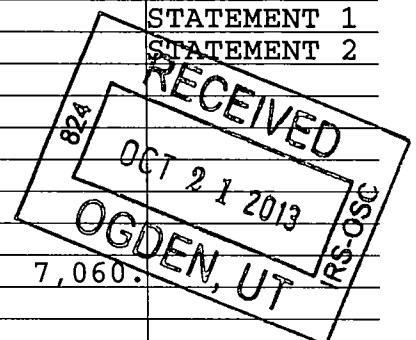
For calendar year 2012 or tax year beginning , and ending

Name of foundation THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.		A Employer identification number 39-1688812
Number and street (or P.O. box number if mail is not delivered to street address) 625 WALNUT RIDGE DRIVE	Room/suite	B Telephone number (262) 369-9978
City or town, state, and ZIP code HARTLAND, WI 53029		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 52,663,382. (Part I, column (d) must be on cash basis)	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	1,345,000.			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	5,660.	5,660.		
	4 Dividends and interest from securities	980,189.	980,189.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	3,649,664.			
	b Gross sales price for all assets on line 6a	43,083,542.			
	7 Capital gain net income (from Part IV, line 2)		3,649,664.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	62,001.	62,001.	0.	STATEMENT 3	
12 Total Add lines 1 through 11	6,042,514.	4,697,514.	7,060.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	12,125.	0.	0.	12,125.
	c Other professional fees STMT 5	749,020.	636,032.	0.	112,988.
	17 Interest	3,211.	3,211.	0.	0.
	18 Taxes STMT 6	30,055.	25,055.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	6,890.	0.	0.	6,890.
	24 Total operating and administrative expenses. Add lines 13 through 23	801,301.	664,298.	0.	132,003.
	25 Contributions, gifts, grants paid	3,808,982.			3,808,982.
26 Total expenses and disbursements Add lines 24 and 25	4,610,283.	664,298.	0.	3,940,985.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	1,432,231.				
b Net investment income (if negative, enter -0-)		4,033,216.			
c Adjusted net income (if negative, enter -0-)			7,060.		

223501
12-05-12

LHA For Paperwork Reduction Act Notice, see instructions

Form **990-PF** (2012)

SCANNED OCT 24 2013

**THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.**

39-1688812

Page 2

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	583,363.	7,370,480.	7,370,480.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 9	10,328,655.	9,109,539.	9,101,143.
	b Investments - corporate stock STMT 10	20,617,234.	17,724,387.	18,694,720.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	16,230,211.	14,993,142.	17,497,039.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	47,759,463.	49,197,548.	52,663,382.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31 ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31 ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	47,759,463.	49,197,548.	
30 Total net assets or fund balances	47,759,463.	49,197,548.		
31 Total liabilities and net assets/fund balances	47,759,463.	49,197,548.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	47,759,463.
2 Enter amount from Part I, line 27a	2	1,432,231.
3 Other increases not included in line 2 (itemize) ▶ RETURN OF GRANT FROM PRIOR YEAR	3	7,060.
4 Add lines 1, 2, and 3	4	49,198,754.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	1,206.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	49,197,548.

Form 990-PF (2012)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 43,083,542.		39,433,878.	3,649,664.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			3,649,664.

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 **2** 3,649,664.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	3,191,988.	52,782,622.	.060474
2010	2,610,261.	50,719,917.	.051464
2009	2,597,809.	46,366,662.	.056028
2008	2,957,422.	55,893,673.	.052912
2007	3,116,593.	57,480,762.	.054220

2 Total of line 1, column (d)	2 .275098
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .055020
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4 50,890,892.
5 Multiply line 4 by line 3	5 2,800,017.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 40,332.
7 Add lines 5 and 6	7 2,840,349.
8 Enter qualifying distributions from Part XII, line 4	8 3,940,985.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

**THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.**

Form 990-PF (2012)

39-1688812 Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	40,332.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	40,332.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	40,332.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	15,792.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	27,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	42,792.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	431.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,029.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ 2,029. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ WI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Form 990-PF (2012)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>GODFREY & KAHN, S.C.</u> Telephone no. ► <u>(414) 273-3500</u> Located at ► <u>780 NORTH WATER STREET, MILWAUKEE, WI</u> ZIP+4 ► <u>53202</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <u>N/A</u>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ► _____, _____, _____ ☐ Yes ☒ No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2012)

Information About Officers, Directors, Trustees, Paid Employees, and Contractors (continued)[illegible]

0

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0

7
2012.04030 THEODORE W. BATTERMAN FAMIL 2731

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	29,507,277.
b	Average of monthly cash balances	1b	2,263,676.
c	Fair market value of all other assets	1c	19,894,927.
d	Total (add lines 1a, b, and c)	1d	51,665,880.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	51,665,880.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	774,988.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	50,890,892.
6	Minimum investment return Enter 5% of line 5	6	2,544,545.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,544,545.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	40,332.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	23,068.
c	Add lines 2a and 2b	2c	63,400.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,481,145.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,481,145.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,481,145.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,940,985.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,940,985.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	40,332.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	3,900,653.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2012)

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				2,481,145.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			995,056.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 3,940,985.				
a Applied to 2011, but not more than line 2a			995,056.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				2,481,145.
e Remaining amount distributed out of corpus	464,784.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below.				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	464,784.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013 Subtract lines 7 and 8 from line 6a	464,784.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012	464,784.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN CANCER SOCIETY FORT ATKINSON RELAY FOR LIFE N1576 BRAMBLE BUSH LANE FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	FORT ATKINSON RELAY FOR LIFE	20,000.
BETHANY CHRISTIAN SERVICES OF WISCONSIN N14W23755 STONE RIDGE DRIVE SUITE 265 WAUKESHA, WI 53188	N/A	PUBLIC CHARITY	ADOPTION AND RECRUITMENT REDESIGN	45,000.
CARE NET PREGNANCY CENTER OF DANE COUNTY 1350 MACARTHUR ROAD MADISON, WI 53714	N/A	PUBLIC CHARITY	LIFEWISE PROGRAM	20,000.
CHICAGOLAND LUTHERAN EDUCATIONAL FOUNDATION 861 SOUTH CHURCH ROAD BENSENVILLE, IL 60106	N/A	PUBLIC CHARITY	AUTOMATIC SPRINKLER SYSTEM	100,000.
COMMUNITY FOUNDATION OF SOUTHERN WISCONSIN, INC. 26 S. JACKSON STREET JANESVILLE, WI 53548	N/A	PUBLIC CHARITY	RENEWAL OF SCHOLARSHIP PROGRAM	106,275.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	3,808,982.
b Approved for future payment				
NONE				
Total ▶ 3b				

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	5,660.	
		14	980,189.	
		14	62,001.	
		18	3,649,664.	
	0.		4,697,514.	0.
		13		4,697,514.

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
--------------	---

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name LYNN M. GARDINIER, CPA	Preparer's signature LYNN M. GARDINIER	Date 09/23/13	Check ☒ if self-employed	PTIN P00073260
	Firm's name ▶ BAKER TILLY VIRCHOW KRAUSE, LLP			Firm's EIN ▶ 39-0859910	
	Firm's address ▶ 500 MIDLAND COURT, PO BOX 8130 JANESVILLE, WI 53547-8130			Phone no. (608)752-5835	

Form **990-PF** (2012)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

Employer identification number

39-1688812

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

Employer identification number

39-1688812

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	BATTERMAN IRREVOCABLE TRUST 625 WALNUT RIDGE DRIVE HARTLAND, WI 53029	\$ 660,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>2</u>	LINDA C. BATTERMAN JOHNSON 625 WALNUT RIDGE DRIVE HARTLAND, WI 53029	\$ 245,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>3</u>	CHRISTOPHER BATTERMAN 625 WALNUT RIDGE DRIVE HARTLAND, WI 53029	\$ 220,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
<u>4</u>	LAURA G. BATTERMAN WILKINS 625 WALNUT RIDGE DRIVE HARTLAND, WI 53029	\$ 220,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

Employer identification number

39-1688812

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

THEODORE W. BATTERMAN
FAMILY FOUNDATION, INC.

39-1688812

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2012 Tax Information Statement

Page 7 of 30

Recipient's Name and Address:

Account Number: 26-02832
 Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

T.W. BATTERMAN FAMILY FOUNDATION, INC.
 BAKER TILLY VIRCHOW KRAUSE, LLP
 LYNN GARDINIER
 500 MIDLAND CT. P.O. BOX 8130
 JANESVILLE, WI 53547

☐ Corrected

☐ 2nd TIN notice

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
834376501	#REORG/SOLUTIA INC CASH AND STK MERGER								
1300.0000	EASTMAN CHEMICAL 2298325 7/2/2012	01/27/2012	02/17/2011	35,959.06	33,151.56	0.00	2,207.50	0.00	0.00
868536103	SUPER VALU INC			SVU					
3070.0000	04/03/2012 06/29/2011			16,759.98	28,951.02	0.00	-12,191.04	0.00	0.00
868536103	SUPER VALU INC			SVU					
4130.0000	04/04/2012 06/29/2011			22,165.61	38,947.14	0.00	-16,781.53	0.00	0.00
451055107	ICONIX BRAND GROUP INC COM			ICON					
3600.0000	04/26/2012 Various			51,684.39	64,828.76	0.00	-13,144.37	0.00	0.00
00081T108	ACCO BRANDS CORP COM			ACCO					
7200.0000	08/30/2012 07/31/2012			47,230.93	61,254.00	0.00	-14,023.07	0.00	0.00
053774105	AVIS BUDGET GROUP INC COM STK			CAR					
2700.0000	08/30/2012 03/01/2012			43,915.86	35,489.88	0.00	8,425.98	0.00	0.00
12503M108	CBOE HOLDINGS INC COM			CBOE					
1200.0000	08/30/2012 05/01/2012			34,004.00	32,199.00	0.00	1,805.00	0.00	0.00
14057J101	CAPITOL FED FINL INC COM			CFNN					
2800.0000	08/30/2012 05/01/2012			32,926.70	33,813.64	0.00	-886.94	0.00	0.00
24869P104	DENNYS CORP COM			DENN					
6380.0000	08/30/2012 Various			31,300.85	25,658.90	0.00	5,641.95	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 8 of 30

Recipient's Name and Address:
T.W. BATTERMAN FAMILY FOUNDATION, INC.
BAKER TILLY VIRCHOW KRAUSE, LLP
LYNN GARDINIER
500 MIDLAND CT. P.O. BOX 8130
JANESVILLE, WI 53547

Account Number: 26-02832
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
33582V108	1ST NIAGARA FINL GROUP INC NEW COM	FNFG	21,897.47	27,158.88	0.00	-5,261.41	0.00	0.00
2800.0000	08/30/2012 02/15/2012							
34964C106	FORTUNE BRANDS HOME & SEC INC COM	FBHS	45,538.37	29,623.50	0.00	15,974.87	0.00	0.00
1800.0000	08/30/2012 12/22/2011							
371559105	GENESEE & WYO INC CL A	GWR	76,080.69	64,695.96	0.00	11,384.73	0.00	0.00
1200.0000	08/30/2012 04/19/2012							
410867105	HANOVER INS GROUP INC COM	THG	31,584.16	36,581.85	0.00	-4,997.69	0.00	0.00
900.0000	08/30/2012 02/15/2012							
450828108	IBERIABANK CORP COM	IBKC	14,168.41	14,116.47	0.00	51.94	0.00	0.00
300.0000	08/30/2012 07/31/2012							
450911201	ITT CORPORATION W/I	ITT	82,991.39	88,513.32	0.00	-5,521.93	0.00	0.00
4200.0000	08/30/2012 Various							
477839104	JOHN BEAN TECHNOLOGIES CORP COM STK	JBT	56,428.37	52,252.56	0.00	4,175.81	0.00	0.00
3600.0000	08/30/2012 09/12/2011							
482423100	#REORG/KBW INC COM CASH AND STOCK	KBW	57,783.45	65,339.14	0.00	-7,555.69	0.00	0.00
3700.0000	MERGERSTIFEL FIN CORP 2847665 2/15/2013							
56382Q102	MANNING & NAPIER INC CL A	MIN	37,294.53	40,435.95	0.00	-3,141.42	0.00	0.00
3200.0000	08/30/2012 Various							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 9 of 30

Recipient's Name and Address:
T.W. BATTERMAN FAMILY FOUNDATION, INC.
BAKER TILLY VIRCHOW KRAUSE, LLP
LYNN GARDINIER
500 MIDLAND CT. P.O. BOX 8130
JANESVILLE, WI 53547

Account Number: 26-02832
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
580037109	MCDERMOTT INTERNATIONAL INC	08/30/2012	Various	MDR	71,057.06	79,980.31	0.00	-8,923.25	0.00	0.00
6500.0000										
62886E108	NCR CORP NEW	08/30/2012	09/12/2011	NCR	108,447.44	79,005.05	0.00	29,442.39	0.00	0.00
4850.0000										
668074305	NORTHWESTERN CORP COM NEW COM NEW	08/30/2012	05/04/2012	NWE	65,667.92	62,890.74	0.00	2,777.18	0.00	0.00
1800.0000										
693320202	PHH CORP COM	08/30/2012	03/26/2012	PHH	31,370.41	28,002.96	0.00	3,367.45	0.00	0.00
1800.0000										
758932107	REGIS CORP MINN	08/30/2012	Various	RGS	89,088.99	89,165.53	0.00	-76.54	0.00	0.00
5000.0000										
831865209	SMITH A O CORP COM	08/30/2012	11/30/2011	AOS	42,804.48	30,953.68	0.00	11,850.80	0.00	0.00
800.0000										
86722A103	SUNCOKE ENERGY INC COM USD0.01	08/30/2012	Various	SXC	66,722.22	59,990.80	0.00	6,731.42	0.00	0.00
4200.0000										
930059100	WADDELL & REED FINL INC CL A	08/30/2012	09/08/2011	WDR	51,921.61	53,765.26	0.00	-1,843.65	0.00	0.00
1780.0000										
97650W108	WINTRUST FINANCIAL CORP COMMON STOCK	08/30/2012	Various	WTFC	104,229.06	93,844.43	0.00	10,384.63	0.00	0.00
2800.0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 10 of 30
 Recipient's Name and Address: Ref: ENH
 T.W. BATTERMAN FAMILY FOUNDATION, INC.
 BAKER TILLY VIRCHOW KRAUSE, LLP
 LYNN GARDINIER
 500 MIDLAND CT. P.O. BOX 8130
 JANESVILLE, WI 53547

Account Number: 26-02832
 Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Total Short Term Sales										
					1,370,483.41	1,350,610.29	0.00	19,873.12	0.00	0.00
Long Term 15% Sales										
313855108	FEDERAL SIGNAL CORP			FSS						
1440.0000		01/26/2012	05/07/2010		6,015.34	9,187.20	0.00	-3,171.86	0.00	0.00
313855108	FEDERAL SIGNAL CORP			FSS						
720.0000		01/27/2012	05/07/2010		3,001.55	4,593.60	0.00	-1,592.05	0.00	0.00
834376501	#REORG/SOLUTIA INC CASH AND STK MERGER EASTMAN CHEMICAL 2298325 7/2/2012									
1800.0000		01/27/2012	05/18/2010		48,958.69	27,908.82	0.00	21,049.87	0.00	0.00
313855108	FEDERAL SIGNAL CORP			FSS						
1450.0000		01/30/2012	05/07/2010		5,984.90	9,251.00	0.00	-3,266.10	0.00	0.00
313855108	FEDERAL SIGNAL CORP			FSS						
890.0000		01/31/2012	05/07/2010		3,707.84	5,678.20	0.00	-1,970.36	0.00	0.00
109696104	BRINKS CO COM STOCK			BCO						
3400.0000		02/15/2012	Various		80,315.72	87,456.67	0.00	-7,140.94	0.00	0.00
00508Y102	ACUTY BRANDS INC			AYI						
800.0000		03/01/2012	11/15/2007		49,225.59	29,528.64	0.00	19,696.95	0.00	0.00
98233Q105	#REORG/WRIGHT EXPRESS NAME CHANGE WEX INC 2K11AM1 DUE 10/29/2012									
500.0000		03/01/2012	08/25/2008		30,977.44	14,561.80	0.00	16,415.64	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 11 of 30
 Recipient's Name and Address:
 T.W. BATTERMAN FAMILY FOUNDATION, INC.
 BAKER TILLY VIRCHOW KRAUSE, LLP
 LYNN GARDINIER
 500 MIDLAND CT. P.O. BOX 8130
 JANESVILLE, WI 53547

Account Number: 26-02832
 Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
93317Q105	WALTER INDS INC	03/26/2012	02/03/2009	WLT	63,728.80	16,700.12	0.00	47,028.68	0.00	0.00
1040.0000										
485170302	KANSAS CITY SOUTHERN INDS INC NEW	04/19/2012	07/14/2000	KSU	36,435.23	3,467.50	0.00	32,967.73	0.00	0.00
500.0000										
929740108	WABTEC CORP	04/19/2012	08/26/1999	WAB	32,187.07	7,643.64	0.00	24,543.43	0.00	0.00
400.0000										
465685105	ITC HLDGS CORP COM STK	05/04/2012	12/08/2009	ITC	125,315.57	81,839.75	0.00	43,475.82	0.00	0.00
1710.0000										
887389104	TIMKEN CO	05/17/2012	02/03/2009	TKR	53,392.69	15,961.00	0.00	37,431.69	0.00	0.00
1100.0000										
79546E104	SALLY BEAUTY HLDGS INC COM STK	06/08/2012	Various	SBH	47,331.38	15,513.39	0.00	31,817.99	0.00	0.00
1800.0000										
79546E104	SALLY BEAUTY HLDGS INC COM STK	06/08/2012	03/14/2011	SBH	5,259.04	2,599.70	0.00	2,659.34	0.00	0.00
200.0000										
247850100	DELTIC TIMBER CORP	07/13/2012	08/11/1999	DEL	14,043.27	5,317.69	0.00	8,725.58	0.00	0.00
220.0000										
343498101	FLOWERS FOODS INC	07/13/2012	03/27/2001	FLO	16,563.60	1,966.91	0.00	14,596.69	0.00	0.00
750.0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 12 of 30

Account Number: 26-02832
 Recipient's Tax ID Number: XX-XXX8812
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:

T.W. BATTERMAN FAMILY FOUNDATION, INC.
 BAKER TILLY VIRCHOW KRAUSE, LLP
 LYNN GARDINIER
 500 MIDLAND CT. P.O. BOX 8130
 JANESVILLE, WI 53547

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
91879Q109	VAIL RESORTS INC	07/13/2012	02/03/2009	MTN	17,226.65	8,280.48	0.00	8,946.17	0.00	0.00
350.0000										
98310W108	WYNDHAM WORLDWIDE CORP COM STK	07/13/2012	02/03/2009	WYN	20,540.53	2,373.70	0.00	18,166.83	0.00	0.00
410.0000										
887383104	TIMKEN CO	07/31/2012	02/03/2009	TKR	39,927.67	15,961.00	0.00	23,966.67	0.00	0.00
1100.0000										
98310W108	WYNDHAM WORLDWIDE CORP COM STK	07/31/2012	02/03/2009	WYN	93,663.96	10,421.10	0.00	83,242.86	0.00	0.00
1800.0000										
00508Y102	ACUITY BRANDS INC	08/30/2012	Various	AYI	93,788.09	48,036.64	0.00	45,751.45	0.00	0.00
1500.0000										
018522300	ALLETE INC COM NEW	08/30/2012	05/04/2009	ALE	65,904.60	43,381.12	0.00	22,523.48	0.00	0.00
1600.0000										
018522300	ALLETE INC COM NEW	08/30/2012	05/23/2011	ALE	20,595.19	19,817.50	0.00	777.69	0.00	0.00
500.0000										
053774105	AVIS BUDGET GROUP INC COM STK	08/30/2012	12/09/2010	CAR	68,313.56	61,752.60	0.00	6,560.96	0.00	0.00
4200.0000										
053774105	AVIS BUDGET GROUP INC COM STK	08/30/2012	07/20/2011	CAR	30,903.75	30,349.84	0.00	553.91	0.00	0.00
1900.0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 13 of 30

Recipient's Name and Address:
T.W. BATTERMAN FAMILY FOUNDATION, INC.
BAKER TILLY VIRCHOW KRAUSE, LLP
LYNN GARDINIER
500 MIDLAND CT. P.O. BOX 8130
JANESVILLE, WI 53547

Account Number: 26-02832
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
05615F102	BABCOCK & WILCOX CO NEW COM STK	BWC	3375.0000	08/30/2012	Various	81,841.24	65,865.54	0.00	15,975.70	0.00	0.00
08173R104	BENEFICIAL MUT BANCORP INC COM STK	BNCL	7100.0000	08/30/2012	02/03/2009	61,857.35	71,061.77	0.00	-9,204.42	0.00	0.00
11133T103	BROADRIDGE FINL SOLUTIONS INC COM STK	BR	4900.0000	08/30/2012	Various	116,864.82	78,836.06	0.00	38,028.76	0.00	0.00
12503M108	CBOE HOLDINGS INC COM	CBOE	2300.0000	08/30/2012	05/23/2011	65,174.32	64,169.77	0.00	1,004.55	0.00	0.00
14057J101	CAPITOL FED FINL INC COM	CFN	7800.0000	08/30/2012	Various	91,724.37	95,091.55	0.00	-3,367.18	0.00	0.00
14457T103	CARRIZO OIL & GAS INC COM	CRZO	1400.0000	08/30/2012	12/22/2010	34,493.26	45,736.74	0.00	-11,243.48	0.00	0.00
14457T103	CARRIZO OIL & GAS INC COM	CRZO	1000.0000	08/30/2012	Various	24,638.04	36,679.45	0.00	-12,041.41	0.00	0.00
163893209	CHEMTURA CORP COM NEW	CHMT	7300.0000	08/30/2012	Various	119,724.61	125,351.73	0.00	-5,627.12	0.00	0.00
167250109	ADR CHICAGO BRDG & IRON CO N V N Y REGISTRY SH NV	CBI	3700.0000	08/30/2012	08/18/2000	135,020.33	12,138.31	0.00	122,882.02	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 14 of 30

Recipient's Name and Address:
T.W. BATTERMAN FAMILY FOUNDATION, INC.
BAKER TILLY VIRCHOW KRAUSE, LLP
LYNN GARDINIER
500 MIDLAND CT. P.O. BOX 8130
JANESVILLE, WI 53547

Account Number: 26-02832
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
17273K109	CIRCOR INTL INC COM	CIR	2390.0000	08/30/2012	02/24/2000	76,535.64	33,304.65	0.00	43,230.99	0.00	0.00
20451N101	COMPASS MINERALS INTL INC COM	CMP	1100.0000	08/30/2012	02/03/2009	78,430.88	68,671.79	0.00	9,759.09	0.00	0.00
235825205	DANA HLDG CORP COM	DAN	5400.0000	08/30/2012	Various	73,644.62	93,877.81	0.00	-20,233.19	0.00	0.00
247850100	DELTIC TIMBER CORP	DEL	1690.0000	08/30/2012	08/11/1999	102,331.59	40,849.49	0.00	61,482.10	0.00	0.00
24869P104	DENNYS CORP COM	DENN	9600.0000	08/30/2012	Various	47,098.45	37,388.99	0.00	9,709.46	0.00	0.00
254423106	DINEQUITY INC COM STK	DIN	2000.0000	08/30/2012	11/20/2002	106,065.82	45,496.40	0.00	60,569.42	0.00	0.00
261608103	DRESSER-RAND GROUP INC COM	DRC	1600.0000	08/30/2012	11/01/2010	79,253.10	55,255.36	0.00	23,997.74	0.00	0.00
29355X107	ENPRO INDUSTRIES INC	NPO	1900.0000	08/30/2012	05/16/2003	70,820.15	16,986.00	0.00	53,834.15	0.00	0.00
29355X107	ENPRO INDUSTRIES INC	NPO	1200.0000	08/30/2012	03/08/2011	44,728.51	46,982.52	0.00	-2,254.01	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 15 of 30

Recipient's Name and Address:
T.W. BATTERMAN FAMILY FOUNDATION, INC.
BAKER TILLY VIRCHOW KRAUSE, LLP
LYNN GARDINIER
500 MIDLAND CT. P.O. BOX 8130
JANESVILLE, WI 53547

Account Number: 26-02832
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31847R102	FIRST AMERN FINL CORP COM STK			FAF					
5900.0000	08/30/2012 Various			113,142.35	84,402.55	0.00	28,739.80	0.00	0.00
33582V108	1ST NIAGARA FINL GROUP INC NEW COM			FNFG					
7100.0000	08/30/2012 Various			55,525.72	94,259.92	0.00	-38,734.20	0.00	0.00
337915102	FIRSTMERIT CORP			FMER					
5318.0000	08/30/2012 Various			83,392.87	101,775.40	0.00	-18,382.53	0.00	0.00
343498101	FLOWERS FOODS INC			FLO					
6435.0000	08/30/2012 03/27/2001			132,011.06	16,876.08	0.00	115,134.98	0.00	0.00
34354P105	FLOWSERVE CORP			FLS					
900.0000	08/30/2012 02/03/2009			114,207.17	48,654.00	0.00	65,553.17	0.00	0.00
346233109	FORESTAR GROUP INC			FOR					
4000.0000	08/30/2012 Various			56,532.74	76,676.38	0.00	-20,143.64	0.00	0.00
350060109	FOSTER LB CO CL A			FSTR					
1500.0000	08/30/2012 05/17/2006			47,693.98	35,864.10	0.00	11,829.88	0.00	0.00
402635304	GULFPORT ENERGY CORP COM NEW COM NEW			GPOR					
3600.0000	08/30/2012 Various			92,154.69	106,695.64	0.00	-14,540.95	0.00	0.00
410345102	HANESBRANDS INC COM STK			HBI					
4500.0000	08/30/2012 02/03/2009			143,704.72	39,765.60	0.00	103,939.12	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 16 of 30
 Recipient's Name and Address:
 T.W. BATTERMAN FAMILY FOUNDATION, INC.
 BAKER TILLY VIRCHOW KRAUSE, LLP
 LYNN GARDINIER
 500 MIDLAND CT. P.O. BOX 8130
 JANESVILLE, WI 53547

Account Number: 26-02832
 Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
410867105	HANOVER INS GROUP INC COM	2000.0000	08/30/2012	Various	THG	79,121.62	0.00	-8,934.60	0.00	0.00
410867105	HANOVER INS GROUP INC COM	200.0000	08/30/2012	05/23/2011	THG	8,358.96	0.00	-1,340.26	0.00	0.00
431475102	HILL ROM HLDGS INC COM STK	4100.0000	08/30/2012	Various	HRC	111,621.22	0.00	24,541.21	0.00	0.00
450828108	IBERIABANK CORP COM	1750.0000	08/30/2012	Various	IBKC	82,649.07	0.00	6,029.04	0.00	0.00
483007704	KAISER ALUM CORP COM PAR \$0.01 COM PAR \$0.01	2400.0000	08/30/2012	02/03/2009	KALU	59,424.00	0.00	71,780.09	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	1800.0000	08/30/2012	Various	KSU	9,622.00	0.00	129,135.63	0.00	0.00
50060P106	KOPPERS HLDGS INC COM	1100.0000	08/30/2012	05/17/2010	KOP	35,034.65	0.00	-678.94	0.00	0.00
50060P106	KOPPERS HLDGS INC COM	700.0000	08/30/2012	01/13/2011	KOP	27,348.02	0.00	-5,053.24	0.00	0.00
580037109	MCDERMOTT INTERNATIONAL INC	1950.0000	08/30/2012	11/04/2004	MDR	21,317.12	0.00	16,630.96	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-02832
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected

☐ 2nd TIN notice

Page 17 of 30
Recipient's Name and Address:
T.W. BATTERMAN FAMILY FOUNDATION, INC.
BAKER TILLY VIRCHOW KRAUSE, LLP
LYNN GARDINIER
500 MIDLAND CT. P.O. BOX 8130
JANESVILLE, WI 53547

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
667340103	NORTHWEST BANCSHARES INC MD COM			NWBI					
5800.0000	08/30/2012 Various	69,240.00		67,132.80	0.00	2,107.20	0.00	0.00	0.00
667340103	NORTHWEST BANCSHARES INC MD COM			NWBI					
2200.0000	08/30/2012 01/27/2011	26,263.45		26,407.70	0.00	-144.25	0.00	0.00	0.00
674215108	OASIS PETE INC NEW COM STK			OAS					
3100.0000	08/30/2012 Various	89,332.24		92,755.13	0.00	-3,422.89	0.00	0.00	0.00
693320202	PHH CORP COM			PHH					
4400.0000	08/30/2012 Various	76,683.23		90,097.99	0.00	-13,414.76	0.00	0.00	0.00
703395103	PATTERSON COMPANIES INC			PDCO					
2300.0000	08/30/2012 05/08/2009	78,126.71		52,568.80	0.00	25,557.91	0.00	0.00	0.00
70959W103	PENSKE AUTOMOTIVE GROUP INC COM STK			PAG					
4600.0000	08/30/2012 Various	121,028.80		95,631.95	0.00	25,396.85	0.00	0.00	0.00
758750103	REGAL BELOIT CORP COM			RBC					
1000.0000	08/30/2012 11/24/2010	67,157.49		59,178.60	0.00	7,978.89	0.00	0.00	0.00
758750103	REGAL BELOIT CORP COM			RBC					
500.0000	08/30/2012 03/08/2011	33,578.75		36,251.95	0.00	-2,673.20	0.00	0.00	0.00
79546E104	SALLY BEAUTY HLDGS INC COM STK			SBH					
4400.0000	08/30/2012 01/28/2010	120,828.32		37,814.92	0.00	83,013.40	0.00	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 18 of 30

Recipient's Name and Address:
T.W. BATTERMAN FAMILY FOUNDATION, INC.
BAKER TILLY VIRCHOW KRAUSE, LLP
LYNN GARDINIER
500 MIDLAND CT. P.O. BOX 8130
JANESVILLE, WI 53547

Account Number: 26-02832
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
831865209	SMITH A O CORP COM	AOS	1400.0000	08/30/2012	08/16/2011	74,907.84	53,043.34	0.00	21,864.50	0.00	0.00
89469A104	TREEHOUSE FOODS INC COM	THS	2160.0000	08/30/2012	06/22/2009	113,176.49	61,411.61	0.00	51,764.88	0.00	0.00
896522109	TRINITY INDS INC	TRN	2900.0000	08/30/2012	08/12/2010	81,053.18	51,869.40	0.00	29,183.78	0.00	0.00
896522109	TRINITY INDS INC	TRN	700.0000	08/30/2012	02/17/2011	19,554.56	23,046.80	0.00	-3,482.24	0.00	0.00
91879Q109	VAIL RESORTS INC	MTN	2150.0000	08/30/2012	02/03/2009	111,120.04	50,865.77	0.00	60,254.27	0.00	0.00
92240G101	VECTREN CORP	VVC	3000.0000	08/30/2012	02/06/2009	85,012.69	80,256.60	0.00	4,756.09	0.00	0.00
92672A101	VIEWPOINT FINL GROUP INC MD COM	VPFG	5100.0000	08/30/2012	Various	94,505.97	66,693.89	0.00	27,812.08	0.00	0.00
929740108	WABTEC CORP	WAB	1900.0000	08/30/2012	08/26/1999	146,453.27	36,307.27	0.00	110,146.00	0.00	0.00
96008P104	WESTFIELD FINL INC NEW COM STK	WFD	4200.0000	08/30/2012	01/08/2007	30,122.98	44,911.86	0.00	-14,788.88	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 19 of 30
 Recipient's Name and Address: Ref: ENH
 T.W. BATTERMAN FAMILY FOUNDATION, INC.
 BAKER TILLY VIRCHOW KRAUSE, LLP
 LYNN GARDINIER
 500 MIDLAND CT. P.O. BOX 8130
 JANESVILLE, WI 53547

Account Number: 26-02832
 Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol		Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld	
		Quantity Sold								Tax		Tax	
98233Q105	#REORG/WRIGHT EXPRESS NAME CHANGE WEX INC												
2500.0000	2K11AM1 DUE 10/29/2012												
	08/30/2012 08/25/2008												
98310W108	WYNDHAM WORLDWIDE CORP COM STK												
1690.0000	08/30/2012 02/03/2009												
Total Long Term 15% Sales													
						160,800.64	72,809.00	0.00	87,991.64	0.00	0.00	0.00	0.00
						87,203.73	9,784.25	0.00	77,419.48	0.00	0.00	0.00	0.00
						5,628,164.78	3,715,077.23	0.00	1,913,087.56	0.00	0.00	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 4 of 5
 Recipient's Name and Address:
 T.W. BATTERMAN FAMILY FOUNDATION
 BAKER TILLY VIRCHOW KRAUSE, LLP
 LYNN GARDINIER
 500 MIDLAND CT. P.O. BOX 8130
 JANESVILLE, WI 53547

Account Number: 26-16513
 Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Long Term 15% Sales											
000993915	HF NORTHERN TRUST DIVERSIFIED HEDGE FUND LTD.										
4200.8400	12/31/2012 Various					6,325,211.73	5,198,881.66	0.00	1,126,330.07	0.00	0.00
Total Long Term 15% Sales						6,325,211.73	5,198,881.66	0.00	1,126,330.07	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number: 26-17913
 Recipient's Tax ID Number: XX-XXX8812
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Page 8 of 42
 Ref: ENH

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description		Stock or Other Symbol					Federal Income Tax Withheld	State Tax Withheld
	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss			
Short Term Sales									
87262N109	TNT EXPRESS N V ADR		TNTEY						
1371.0000	01/18/2012	08/09/2011	10,963.13	12,641.44	0.00	-1,678.31	0.00	0.00	
368287207	GAZPROM O A O SPONSORED ADR		OGZPY						
1381.0000	01/20/2012	08/11/2011	16,224.91	14,867.02	0.00	1,357.89	0.00	0.00	
87262N109	TNT EXPRESS N V ADR		TNTEY						
3270.0000	02/17/2012	Various	40,986.42	30,088.04	0.00	10,898.38	0.00	0.00	
87262N109	TNT EXPRESS N V ADR		TNTEY						
2084.0000	02/21/2012	08/11/2011	26,924.79	19,157.79	0.00	7,767.00	0.00	0.00	
87262N109	TNT EXPRESS N V ADR		TNTEY						
785.0000	02/22/2012	08/11/2011	10,143.69	7,216.35	0.00	2,927.34	0.00	0.00	
87262N109	TNT EXPRESS N V ADR		TNTEY						
2952.0000	02/24/2012	Various	36,951.58	26,278.04	0.00	10,673.54	0.00	0.00	
87262N109	TNT EXPRESS N V ADR		TNTEY						
4147.0000	02/24/2012	Various	52,230.64	32,871.07	0.00	19,359.57	0.00	0.00	
H8817H100	TRANSOCEAN LTD		RIG						
308.0000	04/03/2012	12/07/2011	16,524.28	13,927.48	0.00	2,596.80	0.00	0.00	
92852T201	ADR VIVENDI SA ADR		VIVHY						
.6300	05/16/2012	03/13/2012	10.33	11.31	0.00	-0.98	0.00	0.00	

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 9 of 42

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-17913
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
					Stocks Bonds, etc.	Cost or Other Basis				
56824R106		MARINE HARVEST ASA ADR			MNHVY					
1956.0000		06/18/2012	08/19/2011		24,295.33	20,527.05	0.00	3,768.28	0.00	0.00
92852T201		ADR VIVENDI SA ADR			VIVHY					
842.0000		07/13/2012	03/13/2012		15,782.78	15,121.23	0.00	661.55	0.00	0.00
225401108		CREDIT SUISSE GROUP SPONSORED ADR			CS					
.0000		08/14/2012	Various		105.30	0.00	0.00	105.30	0.00	0.00
H8817H100		TRANSOCEAN LTD			RIG					
1376.0000		08/17/2012	Various		68,468.77	61,015.67	0.00	7,453.10	0.00	0.00
462629205		ADR IPSEN S A SPONSORED ADR			IPSEY					
6021.0000		08/29/2012	07/11/2012		36,488.85	33,322.62	0.00	3,166.23	0.00	0.00
00844W208		AGEAS SPONSORED ADR NEW			AGESY					
3862.0000		08/30/2012	Various		83,591.12	71,751.29	0.00	11,839.83	0.00	0.00
022205108		ADR ALUMINA LTD SPONSORED ADR			AWC					
5683.0000		08/30/2012	Various		16,842.90	28,839.01	0.00	-11,996.11	0.00	0.00
067901108		BARRICK GOLD CORP			ABX					
1641.0000		08/30/2012	Various		60,717.94	52,655.81	0.00	8,062.13	0.00	0.00
144430204		CARREFOUR SA SPONSORED ADR			CRRFY					
21985.0000		08/30/2012	Various		90,466.24	92,137.29	0.00	-1,671.05	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 10 of 42

Recipient's Name and Address:

THEODORE W. BATTERMAN FAMILY
FOUNDATION

780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

26-17913

XX-XXX8812

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
15234Q207	ADR CENTRAIS ELETRICAS BRASILEIRAS S A	EBR	28,637.06	30,145.07	0.00	-1,508.01	0.00	0.00
4105.0000	SPONSORED ADR REPSTG COM							
225401108	CREDIT SUISSE GROUP SPONSORED ADR	CS						
2714.0000	08/30/2012 02/10/2012		50,287.66	69,804.08	0.00	-19,516.42	0.00	0.00
294821608	ERICSSON L M TEL CO ADR CL B	ERIC						
7971.0000	08/30/2012 Various		73,397.70	76,704.29	0.00	-3,306.59	0.00	0.00
318027208	ADR FINMECCANICA SPA ADR	FINMY						
34834.0000	08/30/2012 01/09/2012		72,808.38	60,116.52	0.00	12,691.86	0.00	0.00
368287207	GAZPROM O A O SPONSORED ADR	OGZPY						
7653.0000	08/30/2012 Various		72,282.58	71,115.76	0.00	1,166.82	0.00	0.00
43731T102	ADR HOME RETAIL GROUP PLC SPONSORED ADR	HMRTY						
2472.0000	08/30/2012 05/16/2012		14,264.60	12,878.38	0.00	1,386.22	0.00	0.00
452553308	ADR IMPALA PLATINUM HLDGS LTD SPONSORED ADR	IMPUY						
1963.0000	08/30/2012 Various		30,628.78	38,365.10	0.00	-7,736.32	0.00	0.00
456837103	ADR ING GROEP N V SPONSORED ADR	ING						
10729.0000	08/30/2012 Various		78,243.76	66,952.38	0.00	11,291.38	0.00	0.00
496902404	KINROSS GOLD CORP COM NPV NEW	KGC						
1021.0000	08/30/2012 10/17/2011		8,657.78	14,598.88	0.00	-5,941.10	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 11 of 42

Account Number: 26-17913
 Recipient's Tax ID Number: XX-XXX8812
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
553491101	MS&AD INSURANCE-UNSPONS ADR	MSADY				33,642.73	32,603.05	0.00	1,039.68	0.00	0.00
4294.0000	08/30/2012 06/13/2012										
56418H100	MANPOWER INC WIS	MAN									
1995.0000	08/30/2012 Various					72,819.05	66,707.94	0.00	6,111.11	0.00	0.00
56824R106	MARINE HARVEST ASA ADR	MNHVY									
3075.0000	08/30/2012 09/20/2011					46,569.82	32,035.04	0.00	14,534.78	0.00	0.00
651191108	ADR NEWCREST MNG LTD SPONSORED ADR	NCMGY									
3660.0000	08/30/2012 Various					94,530.55	99,980.33	0.00	-5,449.78	0.00	0.00
686331109	ADR ORKLA A S SPONSORED ADR	ORKLY									
4825.0000	08/30/2012 08/21/2012					35,243.89	35,420.33	0.00	-176.44	0.00	0.00
775376106	ADR ROHM CO LTD ADR	ROHCY									
881.0000	08/30/2012 06/06/2012					14,561.54	15,893.15	0.00	-1,331.61	0.00	0.00
824841407	SHISEIDO LTD SPONSORED ADR	SSDOY									
1963.0000	08/30/2012 08/02/2012					28,092.25	28,120.37	0.00	-28.12	0.00	0.00
87425E103	TALISMAN ENERGY INC	TLM									
4973.0000	08/30/2012 08/06/2012					68,118.62	66,139.41	0.00	1,979.21	0.00	0.00
92852T201	ADR VIVENDI SA ADR	VVHY									
3424.0000	08/30/2012 Various					66,338.51	58,455.18	0.00	7,883.33	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 12 of 42

Account Number: 26-17913
 Recipient's Tax ID Number: XX-XXX8812
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
H27013103	WEATHERFORD INTL LTD	WFT	5470.0000	08/30/2012	Various	63,865.19	69,346.83	0.00	-5,481.64	0.00	0.00
H89231338	UBS AG SHS COM	UBS	6261.0000	08/30/2012	Various	68,426.80	76,782.25	0.00	-8,355.45	0.00	0.00
285039103	ADR ELECTRICITE DE FRANCE ADR	ECIFY	3278.0000	08/31/2012	12/21/2011	13,194.31	15,347.60	0.00	-2,153.29	0.00	0.00
452553308	ADR IMPALA PLATINUM HLDGS LTD SPONSORED ADR	IMPUY	1249.0000	08/31/2012	08/23/2012	19,714.64	20,468.74	0.00	-754.10	0.00	0.00
Total Short Term Sales						1,662,045.20	1,590,409.19	0.00	71,636.01	0.00	0.00
Long Term 15% Sales											
92857W209	VODAFONE GROUP PLC SPONSORED ADR	VOD	830.0000	01/05/2012	09/18/2008	22,805.72	18,179.41	0.00	4,626.31	0.00	0.00
83364L109	ADR SOCIETE GENERALE FRANCE SPONSORED ADR	SCGLY	7893.0000	02/08/2012	Various	50,417.15	66,365.16	0.00	-15,948.01	0.00	0.00
85771P102	STATOIL ASA SPONSORED ADR	STO	3122.0000	02/10/2012	05/24/2010	83,045.80	61,075.06	0.00	21,970.74	0.00	0.00
892331307	TOYOTA MOTOR CORP SP ADR REP2COM	TM	438.0000	02/16/2012	10/21/2010	36,251.42	31,352.04	0.00	4,899.38	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 13 of 42

Recipient's Name and Address:

THEODORE W. BATTERMAN FAMILY
FOUNDATION

780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-17913

Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
					Bonds, etc.	Cost or Other Basis				
13321L108		CAMECO CORP COM			CCJ					
408.0000		02/22/2012	08/11/2008		10,526.03	12,987.54	0.00	-2,461.51	0.00	0.00
13321L108		CAMECO CORP COM			CCJ					
179.0000		02/23/2012	08/11/2008		4,536.34	5,697.96	0.00	-1,161.62	0.00	0.00
80105N105		SANOFLAVENTIS SPONSORED ADR			SNY					
1271.0000		03/07/2012	Various		47,259.37	48,726.71	0.00	-1,467.34	0.00	0.00
80105N105		SANOFLAVENTIS SPONSORED ADR			SNY					
437.0000		03/08/2012	04/14/2008		16,487.40	16,661.98	0.00	-174.58	0.00	0.00
715684106		ADR PERUSAHAAN PERSEROAN PERSERO P T TELEKOMUNIKASI INDONESIA SPONSORED ADR			TLK					
386.0000		04/16/2012	02/25/2011		12,635.53	13,094.16	0.00	-458.63	0.00	0.00
816078307		ADR SEKISUI HOUSE LTD SPONSORED ADR			SKHSY					
3113.0000		04/18/2012	Various		28,771.88	34,000.02	0.00	-5,228.14	0.00	0.00
715684106		ADR PERUSAHAAN PERSEROAN PERSERO P T TELEKOMUNIKASI INDONESIA SPONSORED ADR			TLK					
652.0000		04/26/2012	Various		22,619.26	22,096.22	0.00	523.04	0.00	0.00
715684106		ADR PERUSAHAAN PERSEROAN PERSERO P T TELEKOMUNIKASI INDONESIA SPONSORED ADR			TLK					
33.0000		04/27/2012	02/11/2011		1,156.96	1,112.18	0.00	44.78	0.00	0.00
715684106		ADR PERUSAHAAN PERSEROAN PERSERO P T TELEKOMUNIKASI INDONESIA SPONSORED ADR			TLK					
459.0000		04/27/2012	Various		16,259.02	15,453.51	0.00	805.51	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 14 of 42
 Recipient's Name and Address: Ref: ENH
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Account Number: 26-17913
 Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Bonds, etc.	Cost or Other Basis				
37733W105	GLAXO PLC			GSK					
454.0000	04/30/2012	01/11/2010		20,973.14	18,910.92	0.00	2,062.22	0.00	0.00
81783H105	ADR SEVEN & I HLDGS CO LTD ADR			SVNDY					
311.0000	05/10/2012	08/21/2009		18,705.92	14,800.55	0.00	3,905.37	0.00	0.00
715684106	ADR PERUSAHAAN PERSEROAN PERSERO P T TELEKOMUNIKASI INDONESIA SPONSORED ADR			TLK					
988.0000	07/13/2012	02/16/2011		35,595.36	33,041.39	0.00	2,553.97	0.00	0.00
80105N105	SANOFLAVENTIS SPONSORED ADR			SNY					
565.0000	07/13/2012	Various		20,717.82	21,101.96	0.00	-384.14	0.00	0.00
65334H102	NEXEN INC COMMON			NXY					
2641.0000	07/23/2012	Various		67,998.67	56,862.77	0.00	11,135.90	0.00	0.00
65334H102	NEXEN INC COMMON			NXY					
4942.0000	07/23/2012	Various		127,643.06	81,640.99	0.00	46,002.07	0.00	0.00
046353108	ADR ASTRAZENECA PLC SPONSORED ADR			AZN					
244.0000	07/27/2012	01/13/2010		11,329.59	11,834.51	0.00	-504.92	0.00	0.00
046353108	ADR ASTRAZENECA PLC SPONSORED ADR			AZN					
482.0000	07/27/2012	02/09/2011		22,380.59	23,578.86	0.00	-1,198.27	0.00	0.00
92857W209	VODAFONE GROUP PLC SPONSORED ADR			VOD					
799.0000	08/07/2012	09/18/2008		23,770.75	17,500.42	0.00	6,270.33	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 15 of 42
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Account Number: 26-17913
 Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
80105N105	SANOFLAVENTIS SPONSORED ADR	SNY	14,097.13	12,679.40	0.00	1,417.73	0.00	0.00
341.0000	08/09/2012 05/16/2008							
144430204	CARREFOUR SA SPONSORED ADR	CRRFY	0.88	3.04	0.00	-2.16	0.00	0.00
3300	08/14/2012 10/14/2009							
018805101	ALLIANZ AKTIENGESELLSCHAFT SPONSORED ADR	AZSEY	73,211.94	70,152.65	0.00	3,059.29	0.00	0.00
6894.0000	08/30/2012 05/20/2010							
018805101	ALLIANZ AKTIENGESELLSCHAFT SPONSORED ADR	AZSEY	55,264.72	57,513.57	0.00	-2,248.85	0.00	0.00
5204.0000	08/30/2012 08/09/2011							
021244207	ALSTOM ADR	ALSMY	62,732.78	83,798.76	0.00	-21,065.98	0.00	0.00
18533.0000	08/30/2012 Various							
022205108	ADR ALUMINA LTD SPONSORED ADR	AWC	29,177.95	131,460.87	0.00	-102,282.92	0.00	0.00
9845.0000	08/30/2012 Various							
035128206	ANGLOGOLD LIMITED SPONSORED ADR	AU	127,777.28	109,360.33	0.00	18,416.95	0.00	0.00
4117.0000	08/30/2012 Various							
046353108	ADR ASTRAZENECA PLC SPONSORED ADR	AZN	162,703.99	160,975.70	0.00	1,728.29	0.00	0.00
3472.0000	08/30/2012 Various							
067901108	BARRICK GOLD CORP	ABX	192,957.97	131,840.94	0.00	61,117.03	0.00	0.00
5215.0000	08/30/2012 10/31/2005							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 16 of 42

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-17913
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
13321L108	CAMECO CORP COM	08/30/2012	Various	CCJ	63,325.37	0.00	-6,052.22	0.00	0.00
2901.0000					69,377.59				
13321L108	CAMECO CORP COM	08/30/2012	Various	CCJ	126,017.72	0.00	-33,855.90	0.00	0.00
5773.0000					159,873.62				
144430204	CARREFOUR SA SPONSORED ADR	08/30/2012	Various	CRRFY	102,809.60	0.00	-120,848.75	0.00	0.00
24984.6700					223,658.35				
15234Q108	ADR CENTRAIS ELETRICAS BRASILEIRAS S A SPONSORED ADR REPSTG PFD	08/30/2012	Various	EBR/B	59,656.44	0.00	-15,041.30	0.00	0.00
6494.0000					74,697.74				
15234Q207	ADR CENTRAIS ELETRICAS BRASILEIRAS S A SPONSORED ADR REPSTG COM	08/30/2012	Various	EBR	21,758.59	0.00	-11,496.14	0.00	0.00
3119.0000					33,254.73				
233806306	ADR DAI NIPPON PRTG LTD JAPAN SPONSORED ADR	08/30/2012	Various	DNPLY	114,900.68	0.00	-136,020.47	0.00	0.00
16450.0000					250,921.15				
23381D102	ADR DAICHI SANKYO CO LTD SPONSORED ADR LEVEL 1	08/30/2012	Various	DSNKY	70,799.94	0.00	-13,204.92	0.00	0.00
4353.0000					84,004.86				
273202101	ADR EAST JAPAN RY CO ADR	08/30/2012	Various	EJPRY	81,796.87	0.00	-39.93	0.00	0.00
7332.0000					81,836.80				
316027208	ADR FINMECCANICA SPA ADR	08/30/2012	Various	FINMY	50,358.05	0.00	-87,933.70	0.00	0.00
24093.0000					138,291.75				

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 17 of 42

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-17913
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition						
318027208	ADR FINMECCANICA SPA ADR	FINMY						
21018.0000	08/30/2012	08/16/2011	43,930.83	76,003.19	0.00	-32,072.36	0.00	0.00
35958N107	ADR FUJIFILM HLDGS CORP ADR 2 ORD ADR	FUJIY						
5445.0000	08/30/2012	Various	91,751.63	179,801.29	0.00	-88,049.66	0.00	0.00
368287207	GAZPROM O A O SPONSORED ADR	OGZPY						
6692.0000	08/30/2012	10/15/2010	63,205.94	71,830.92	0.00	-8,624.98	0.00	0.00
368287207	GAZPROM O A O SPONSORED ADR	OGZPY						
1842.0000	08/30/2012	08/11/2011	17,397.69	19,829.86	0.00	-2,432.17	0.00	0.00
37733W105	GLAXO PLC	GSK						
1986.0000	08/30/2012	Various	90,406.85	79,465.47	0.00	10,941.38	0.00	0.00
37733W105	GLAXO PLC	GSK						
1765.0000	08/30/2012	02/08/2011	80,346.47	68,838.88	0.00	11,507.59	0.00	0.00
38059T106	GOLD FIELDS LTD NEW SPONSORED ADR	GFI						
9315.0000	08/30/2012	Various	114,450.83	117,625.09	0.00	-3,174.26	0.00	0.00
404508202	ADR HACHIJUNI BK LTD ADR	HACBY						
1302.0000	08/30/2012	Various	72,630.44	80,012.08	0.00	-7,381.64	0.00	0.00
43731T102	ADR HOME RETAIL GROUP PLC SPONSORED ADR	HMRTY						
10756.0000	08/30/2012	Various	62,067.18	123,077.85	0.00	-61,010.67	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 18 of 42

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-17913
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
496902404	KINROSS GOLD CORP COM NPV NEW			KGC					
6815.0000	08/30/2012 12/16/2010			57,789.22	125,075.69	0.00	-67,286.47	0.00	0.00
496902404	KINROSS GOLD CORP COM NPV NEW			KGC					
4424.0000	08/30/2012 05/09/2011			37,514.23	66,620.13	0.00	-29,105.90	0.00	0.00
500631106	KOREA ELECTRIC POWER CORP SPONSORED ADR			KEP					
3638.0000	08/30/2012 10/31/2005			38,489.17	60,139.78	0.00	-21,650.61	0.00	0.00
500631106	KOREA ELECTRIC POWER CORP SPONSORED ADR			KEP					
2983.0000	08/30/2012 Various			31,678.74	57,857.81	0.00	-25,979.07	0.00	0.00
500631106	KOREA ELECTRIC POWER CORP SPONSORED ADR			KEP					
5369.0000	08/30/2012 Various			57,118.97	72,935.56	0.00	-15,816.59	0.00	0.00
553491101	MS&AD INSURANCE-UNSPONS ADR			MSADY					
11446.0000	08/30/2012 Various			89,677.40	161,771.74	0.00	-72,094.34	0.00	0.00
553491101	MS&AD INSURANCE-UNSPONS ADR			MSADY					
4839.0000	08/30/2012 03/25/2011			37,912.71	56,142.56	0.00	-18,229.85	0.00	0.00
56824R106	MARINE HARVEST ASA ADR			MNHVY					
1912.0000	08/30/2012 08/19/2011			28,956.59	20,065.29	0.00	8,891.30	0.00	0.00
651191108	ADR NEWCREST MNG LTD SPONSORED ADR			NCMGY					
3655.0000	08/30/2012 Various			94,401.41	61,034.38	0.00	33,367.03	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 19 of 42
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Account Number: 26-17913
 Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
					Bonds, etc.	Cost or Other Basis				
654445303		ADR NINTENDO LTD			NTDOY					
3332 0000		08/30/2012 Various			46,841.20	108,710.70	0.00	-61,869.50	0.00	0.00
654445303		ADR NINTENDO LTD			NTDOY					
2274 0000		08/30/2012 08/16/2011			31,967.86	43,507.31	0.00	-11,539.45	0.00	0.00
654624105		ADR NIPPON TELEG & TEL CORP SPONSORED ADR			NTT					
6896 0000		08/30/2012 Various			159,699.49	146,951.30	0.00	12,748.19	0.00	0.00
654624105		ADR NIPPON TELEG & TEL CORP SPONSORED ADR			NTT					
3707 0000		08/30/2012 Various			86,074.97	85,180.82	0.00	894.15	0.00	0.00
654902204		NOKIA CORP SPONSORED ADR			NOK					
17571 0000		08/30/2012 Various			48,407.01	215,777.05	0.00	-167,370.04	0.00	0.00
654902204		NOKIA CORP SPONSORED ADR			NOK					
10993 0000		08/30/2012 Various			30,285.03	88,680.86	0.00	-58,395.83	0.00	0.00
69832A205		ADR PANASONIC CORP ADR ADR			PC					
10505 0000		08/30/2012 Various			71,889.35	177,902.15	0.00	-106,012.80	0.00	0.00
69832A205		ADR PANASONIC CORP ADR ADR			PC					
1802 0000		08/30/2012 03/16/2011			12,331.71	20,365.66	0.00	-8,033.95	0.00	0.00
73180Y203		POLYUS GOLD INTL LTD GDR LEVEL 1			PLZLY					
24493 0000		08/30/2012 Various			71,150.55	91,580.43	0.00	-20,429.88	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number: 26-17913
 Recipient's Tax ID Number: XX-XXX8812
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Page 20 of 42

Ref: ENH

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
775376106	ADR ROHM CO LTD ADR	08/30/2012	Various	ROHCY	117,244.99	0.00	-44,800.90	0.00	0.00
4383.0000									
78440P108	ADR SK TELECOM LTD SPONSORED ADR	08/30/2012	Various	SKM	232,173.53	0.00	-30,350.95	0.00	0.00
14065.0000									
78440P108	ADR SK TELECOM LTD SPONSORED ADR	08/30/2012	02/23/2011	SKM	20,949.78	0.00	-3,257.12	0.00	0.00
1233.0000									
80105N105	SANOFLAVENTIS SPONSORED ADR	08/30/2012	Various	SNY	162,754.65	0.00	27,834.65	0.00	0.00
4029.0000									
816078307	ADR SEKISUI HOUSE LTD SPONSORED ADR	08/30/2012	Various	SKHSY	111,528.23	0.00	-7,399.42	0.00	0.00
11167.0000									
81783H105	ADR SEVEN & I HLDGS CO LTD ADR	08/30/2012	Various	SVNDY	100,751.93	0.00	34,137.46	0.00	0.00
2191.0000									
824841407	SHISEIDO LTD SPONSORED ADR	08/30/2012	Various	SSDOY	115,610.44	0.00	-8,064.19	0.00	0.00
7515.0000									
826197501	SIEMENS A G SPONSORED ADR	08/30/2012	Various	SI	97,222.57	0.00	42,674.45	0.00	0.00
1042.0000									
85771P102	STATOIL ASA SPONSORED ADR	08/30/2012	05/24/2010	STO	54,991.03	0.00	15,692.22	0.00	0.00
2811.0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 21 of 42

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-17913
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description	Stock or Other Symbol		Date of Sale or Exchange		Date of Acquisition		Quantity Sold	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
86562X106	9777.0000	ADR SUMITOMO MITSUI TR HLDGS INC SPONSORED ADR	SUTNY		08/30/2012	04/01/2011			26,690.61	35,001.66	0.00	0.00	-8,311.05	0.00	0.00
871013108		GDR SWISSCOM SPONSORED ADR	SCMWY		08/30/2012	Various			149,029.42	120,370.64	0.00	0.00	-28,658.78	0.00	0.00
87927Y201	18553.0000	ADR TELECOM ITALIA S P A NEW SPONSORED ADR	TI/A		08/30/2012	Various			143,314.98	395,540.71	0.00	0.00	-252,225.73	0.00	0.00
892331307		TOYOTA MOTOR CORP SP ADR REP2COM	TM		08/30/2012	Various			107,199.33	94,574.63	0.00	0.00	12,624.70	0.00	0.00
92857W209	5565.0000	VODAFONE GROUP PLC SPONSORED ADR	VOD		08/30/2012	Various			161,268.41	95,469.30	0.00	0.00	65,799.11	0.00	0.00
930004205	2058.0000	WACOAL HLDGS CORP CORP TO 10/01/2005 ADR ADR	WACLY		08/30/2012	Various			115,090.67	128,813.54	0.00	0.00	-13,722.87	0.00	0.00
977874205	7554.0000	ADR WOLTERS KLUWER N V SPONSORED ADR	WTKWY		08/30/2012	Various			133,321.32	125,840.21	0.00	0.00	7,481.11	0.00	0.00
977874205	846.0000	ADR WOLTERS KLUWER N V SPONSORED ADR	WTKWY		08/30/2012	08/08/2011			14,931.14	15,317.17	0.00	0.00	-386.03	0.00	0.00
G0692U109	3723.0000	AXIS CAPITAL HOLDINGS LTD COM	AXS		08/30/2012	Various			124,866.24	109,586.98	0.00	0.00	16,279.26	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 22 of 42

Recipient's Name and Address:

THEODORE W. BATTERMAN FAMILY

FOUNDATION

780 NORTH WATER STREET

MILWAUKEE, WI 53202-3590

26-17913

XX-XXX8812

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY

P.O. BOX 803878

CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
H89231338	UBS AG SHS COM	UBS						
7815.0000	08/30/2012 Various	85,410.56	193,158.69	0.00	-107,748.13	0.00	0.00	0.00
285039103	ADR ELECTRICITE DE FRANCE ADR	ECIFY						
16690.0000	08/31/2012 Various	67,179.08	165,622.48	0.00	-98,443.40	0.00	0.00	0.00
285039103	ADR ELECTRICITE DE FRANCE ADR	ECIFY						
2506.0000	08/31/2012 04/12/2011	10,086.92	20,001.64	0.00	-9,914.72	0.00	0.00	0.00
86562X106	ADR SUMITOMO MITSUI TR HLDGS INC SPONSORED ADR	SUTNY						
19509.0000	08/31/2012 04/01/2011	53,375.42	69,842.22	0.00	-16,466.80	0.00	0.00	0.00
Total Long Term 15% Sales			5,940,556.50	7,487,217.94	0.00	-1,546,661.44	0.00	0.00
Unknown Term (or Cost) Sales								
144430204	CARREFOUR SA SPONSORED ADR	CRRFY						
1448.3300	08/30/2012 07/31/2012	5,959.74	0.00	0.00	0.00	0.00	0.00	0.00
Total Unknown Term (or Cost) Sales			5,959.74	0.00	0.00	0.00	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 7 of 69
Ref: ENH

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description	Stock or Other Symbol										
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld					
Short Term Sales													
608190104	MOHAWK INDS INC		MHK										
125.0000	01/05/2012	09/02/2011	7,463.30	5,999.04	0.00	1,464.26	0.00	0.00					
608190104	MOHAWK INDS INC		MHK										
125.0000	01/06/2012	09/02/2011	7,429.60	5,999.04	0.00	1,430.56	0.00	0.00					
002824100	ABBOTT LABORATORIES		ABT										
325.0000	01/13/2012	04/27/2011	18,019.43	16,863.50	0.00	1,155.93	0.00	0.00					
026874784	AMERICAN INTERNATIONAL GROUP INC COM NEW		AIG										
1000.0000	01/13/2012	Various	24,864.63	29,992.93	0.00	-5,128.30	0.00	0.00					
037604105	APOLLO GROUP INC CL A		APOL										
300.0000	01/13/2012	03/21/2011	17,164.17	12,384.99	0.00	4,779.18	0.00	0.00					
172967424	CITIGROUP INC COM NEW COM NEW		C										
25.0000	01/13/2012	05/24/2011	764.11	993.02	0.00	-228.91	0.00	0.00					
205363104	COMPUTER SCIENCES CORP		CSC										
625.0000	01/13/2012	Various	15,077.83	23,475.67	0.00	-8,397.84	0.00	0.00					
29364G103	ENERGY CORP NEW		ETR										
150.0000	01/13/2012	Various	10,637.10	10,224.03	0.00	413.07	0.00	0.00					
354613101	FRANKLIN RESOURCES INC		BEN										
50.0000	01/13/2012	06/03/2011	4,812.15	6,207.09	0.00	-1,394.94	0.00	0.00					

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 8 of 69

Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION

GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
428236103	HEWLETT PACKARD CO COM	01/13/2012	02/23/2011	HPQ	20,722.59	0.00	-8,175.71	0.00	0.00
475.0000				12,546.88					
59156R108	METLIFE INC	01/13/2012	12/20/2011	MET	9,081.27	0.00	1,459.02	0.00	0.00
300.0000				10,540.29					
608190104	MOHAWK INDS INC	01/13/2012	Various	MHK	12,738.14	0.00	4,439.40	0.00	0.00
275.0000				17,177.54					
780259206	ROYAL DUTCH SHELL PLC SPONSORED	01/13/2012	03/28/2011	RDS/A	8,977.60	0.00	-335.40	0.00	0.00
125.0000				8,642.20					
855030102	STAPLES INC	01/13/2012	07/14/2011	SPLS	20,263.49	0.00	-607.10	0.00	0.00
1325.0000				19,656.39					
002824100	ABBOTT LABORATORIES	01/23/2012	04/27/2011	ABT	6,485.96	0.00	465.83	0.00	0.00
125.0000				6,951.79					
026874784	AMERICAN INTERNATIONAL GROUP INC COM NEW	01/23/2012	05/25/2011	AIG	5,800.00	0.00	-691.00	0.00	0.00
200.0000				5,109.00					
205363104	COMPUTER SCIENCES CORP	01/23/2012	Various	CSC	35,910.16	0.00	-10,311.55	0.00	0.00
975.0000				25,598.61					
29364G103	ENTERGY CORP NEW	01/23/2012	05/24/2011	ETR	6,751.05	0.00	295.41	0.00	0.00
100.0000				7,046.46					

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 9 of 69.
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
354613101	FRANKLIN RESOURCES INC	01/23/2012	06/03/2011	BEN	5,129.15	0.00	-1,077.94	0.00	0.00
50.0000					6,207.09				
428236103	HEWLETT PACKARD CO COM	01/23/2012	03/04/2011	HPQ	8,587.57	0.00	-4,277.93	0.00	0.00
300.0000					12,865.50				
471109108	JARDEN CORP COM	01/23/2012	03/07/2011	JAH	3,095.50	0.00	-264.79	0.00	0.00
100.0000					3,360.29				
608190104	MOHAWK INDS INC	01/23/2012	Various	MHK	26,917.49	0.00	7,949.46	0.00	0.00
425.0000					18,968.03				
780259206	ROYAL DUTCH SHELL PLC SPONSORED	01/23/2012	Various	RDS/A	19,776.38	0.00	148.28	0.00	0.00
275.0000					19,628.10				
855030102	STAPLES INC	01/23/2012	07/14/2011	SPLS	21,882.83	0.00	854.68	0.00	0.00
1375.0000					21,028.15				
205363104	COMPUTER SCIENCES CORP	02/17/2012	Various	CSC	8,304.89	0.00	-53.86	0.00	0.00
250.0000					8,358.75				
354613101	FRANKLIN RESOURCES INC	02/17/2012	Various	BEN	11,700.81	0.00	-692.43	0.00	0.00
100.0000					12,393.26				
59156R108	METLIFE INC	02/17/2012	12/20/2011	MET	7,765.88	0.00	1,711.69	0.00	0.00
200.0000					6,054.19				

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 10 of 69
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Quantity Sold	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
354613101	FRANKLIN RESOURCES INC	03/07/2012	25.0000	BEN	3,054.30	0.00	-120.23	0.00	0.00
354613101	FRANKLIN RESOURCES INC	03/07/2012	50.0000	BEN	6,165.23	0.00	-293.34	0.00	0.00
354613101	FRANKLIN RESOURCES INC	03/07/2012	50.0000	BEN	6,136.91	0.00	-250.31	0.00	0.00
354613101	FRANKLIN RESOURCES INC	03/08/2012	125.0000	BEN	15,271.50	0.00	-384.72	0.00	0.00
780259206	ROYAL DUTCH SHELL PLC SPONSORED	04/04/2012	7300	RDS/A	52.20	0.00	-6.22	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B	04/11/2012	9.0000	TAP	355.96	0.00	11.81	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B	04/11/2012	25.0000	TAP	988.79	0.00	33.37	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B	04/12/2012	50.0000	TAP	1,977.57	0.00	61.94	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B	04/12/2012	25.0000	TAP	988.79	0.00	31.40	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 11 of 69
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description	Stock or Other Symbol		Wash Sale		Net Gain		Federal		State	
Quantity	Date of	Exchange	Date of	Stocks	Cost or	Loss	or Loss	Tax	Income	Tax	Withheld	Withheld
Sold	Acquisition			Bonds, etc.	Other Basis	Disallowed			Tax			
60871R209	MOLSON COORS BREWING CO CL B			TAP								
50.0000	04/12/2012	11/09/2011		2,038.79	1,977.57	0.00	61.22	0.00	0.00	0.00	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B			TAP								
14.0000	04/12/2012	11/09/2011		570.88	553.72	0.00	17.16	0.00	0.00	0.00	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B			TAP								
50.0000	04/12/2012	11/09/2011		2,039.24	1,977.57	0.00	61.67	0.00	0.00	0.00	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B			TAP								
3.0000	04/16/2012	11/09/2011		122.75	118.65	0.00	4.10	0.00	0.00	0.00	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B			TAP								
43.0000	04/16/2012	11/09/2011		1,757.80	1,700.71	0.00	57.09	0.00	0.00	0.00	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B			TAP								
130.0000	04/17/2012	11/09/2011		5,325.14	5,141.68	0.00	183.46	0.00	0.00	0.00	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B			TAP								
25.0000	04/17/2012	11/09/2011		1,024.96	988.79	0.00	36.17	0.00	0.00	0.00	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B			TAP								
9.0000	04/19/2012	11/09/2011		371.89	355.96	0.00	15.93	0.00	0.00	0.00	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B			TAP								
17.0000	04/20/2012	11/09/2011		701.74	672.37	0.00	29.37	0.00	0.00	0.00	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 12 of 69
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Account Number: 26-73587
 Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
60871R209	MOLSON COORS BREWING CO CL B	TAP	12.0000	04/20/2012	11/09/2011	495.69	474.62	0.00	21.07	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B	TAP	12.0000	04/20/2012	11/09/2011	495.40	474.62	0.00	20.78	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B	TAP	1.0000	04/24/2012	11/09/2011	41.12	39.55	0.00	1.57	0.00	0.00
457153104	INGRAM MICRO INC CL A	IM	125.0000	05/23/2012	07/27/2011	2,246.06	2,170.38	0.00	75.68	0.00	0.00
457153104	INGRAM MICRO INC CL A	IM	350.0000	05/23/2012	07/27/2011	6,305.28	6,077.05	0.00	228.23	0.00	0.00
457153104	INGRAM MICRO INC CL A	IM	25.0000	05/24/2012	07/27/2011	451.60	434.08	0.00	17.52	0.00	0.00
457153104	INGRAM MICRO INC CL A	IM	25.0000	05/25/2012	07/27/2011	450.60	434.08	0.00	16.52	0.00	0.00
457153104	INGRAM MICRO INC CL A	IM	175.0000	05/25/2012	07/27/2011	3,162.18	3,038.52	0.00	123.66	0.00	0.00
457153104	INGRAM MICRO INC CL A	IM	100.0000	05/29/2012	07/27/2011	1,805.18	1,736.30	0.00	68.88	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 13 of 69

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
457153104	INGRAM MICRO INC CL A	05/29/2012	07/27/2011	IM 2,710.73	2,604.45	0.00	106.28	0.00	0.00
457153104	INGRAM MICRO INC CL A	06/05/2012	07/27/2011	IM 1,300.41	1,302.22	0.00	-1.81	0.00	0.00
457153104	INGRAM MICRO INC CL A	06/05/2012	07/27/2011	IM 3,458.66	3,472.60	0.00	-13.94	0.00	0.00
457153104	INGRAM MICRO INC CL A	06/05/2012	07/27/2011	IM 7,349.56	7,379.28	0.00	-29.72	0.00	0.00
457153104	INGRAM MICRO INC CL A	06/06/2012	07/27/2011	IM 5,284.26	5,208.90	0.00	75.36	0.00	0.00
457153104	INGRAM MICRO INC CL A	06/06/2012	07/27/2011	IM 1,317.98	1,302.22	0.00	15.76	0.00	0.00
457153104	INGRAM MICRO INC CL A	06/07/2012	07/27/2011	IM 446.21	434.08	0.00	12.13	0.00	0.00
780259206	ROYAL DUTCH SHELL PLC SPONSORED	07/05/2012	03/22/2012	RDS/A 33.60	37.19	0.00	-3.59	0.00	0.00
24702R101	DELL INC	07/06/2012	Various	DELL 22,258.00	22,500.39	0.00	-242.39	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 14 of 69

Recipient's Name and Address:

THEODORE W. BATTERMAN FAMILY

FOUNDATION

GODFREY & KAHN, S.C.

780 NORTH WATER STREET

MILWAUKEE, WI 53202-3590

Account Number:

26-73587

Recipient's Tax ID Number:

XX-XXX8812

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY

P.O. BOX 803878

CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
38259P508	GOOGLE INC CL A	07/06/2012	04/26/2012	GOOG	7,996.07	0.00	-292.71	0.00	0.00
13.0000				7,703.36					
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT	07/06/2012	Various	HPT	12,765.31	0.00	992.63	0.00	0.00
550.0000				13,757.94					
457153104	INGRAM MICRO INC CL A	07/06/2012	07/27/2011	IM	7,379.27	0.00	-130.86	0.00	0.00
425.0000				7,248.41					
59156R108	METLIFE INC	07/06/2012	Various	MET	8,300.52	0.00	-60.06	0.00	0.00
275.0000				8,240.46					
608190104	MOHAWK INDS INC	07/06/2012	Various	MHK	9,822.91	0.00	5,743.36	0.00	0.00
225.0000				15,566.27					
68389X105	ORACLE CORPORATION	07/06/2012	Various	ORCL	22,857.98	0.00	-278.64	0.00	0.00
775.0000				22,579.34					
780259206	ROYAL DUTCH SHELL PLC SPONSORED	07/06/2012	03/22/2012	RDS/A	1,536.03	0.00	-80.47	0.00	0.00
21.4800				1,455.56					
855030102	STAPLES INC	07/06/2012	07/14/2011	SPLS	17,969.51	0.00	-2,723.89	0.00	0.00
1175.0000				15,245.62					
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT	07/19/2012	Various	HPT	3,458.75	0.00	285.91	0.00	0.00
150.0000				3,744.66					

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 15 of 69
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT			HPT					
150.0000	07/20/2012	06/11/2012		3,730.28	3,453.43	0.00	276.85	0.00	0.00
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT			HPT					
50.0000	07/23/2012	06/11/2012		1,235.09	1,151.14	0.00	83.95	0.00	0.00
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT			HPT					
125.0000	07/23/2012	Various		3,078.21	2,862.73	0.00	215.48	0.00	0.00
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT			HPT					
25.0000	07/24/2012	06/11/2012		613.46	570.79	0.00	42.67	0.00	0.00
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT			HPT					
50.0000	07/24/2012	06/11/2012		1,227.50	1,141.59	0.00	85.91	0.00	0.00
053807103	AVNET, INC.			AVT					
700.0000	08/07/2012	05/29/2012		22,787.00	21,738.50	0.00	1,048.50	0.00	0.00
057224107	BAKER HUGHES INC			BHI					
625.0000	08/07/2012	Various		30,208.75	25,507.08	0.00	4,701.67	0.00	0.00
205363104	COMPUTER SCIENCES CORP			CSC					
650.0000	08/07/2012	Various		16,486.86	21,067.46	0.00	-4,580.60	0.00	0.00
24702R101	DELL INC			DELL					
725.0000	08/07/2012	Various		8,674.43	9,138.21	0.00	-463.78	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 16 of 69

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
34964C106	FORTUNE BRANDS HOME & SEC INC COM	FBHS	500.0000	08/07/2012	Various	11,428.24	10,700.21	0.00	728.03	0.00	0.00
38259P508	GOOGLE INC CL A	GOOG	25.0000	08/07/2012	04/26/2012	15,980.76	15,377.05	0.00	603.71	0.00	0.00
59156R108	METLIFE INC	MET	700.0000	08/07/2012	12/21/2011	23,933.44	21,122.50	0.00	2,810.94	0.00	0.00
608190104	MOHAWK INDS INC	MHK	200.0000	08/07/2012	Various	14,512.67	8,591.55	0.00	5,921.12	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL	1325.0000	08/07/2012	Various	41,663.67	38,775.14	0.00	2,888.53	0.00	0.00
690742101	OWENS CORNING NEW COM STK	OC	275.0000	08/07/2012	Various	8,141.18	9,421.91	0.00	-1,280.73	0.00	0.00
780259206	ROYAL DUTCH SHELL PLC SPONSORED	RDS/A	229.8600	08/07/2012	11/11/2011	16,358.29	16,311.97	0.00	46.32	0.00	0.00
G4918T108	INVESCO LTD COM STK USD0.10	IVZ	450.0000	08/07/2012	Various	10,383.51	11,635.25	0.00	-1,251.74	0.00	0.00
G6852T105	PARTNERRE HLDG LTD COM STK	PRE	250.0000	08/07/2012	Various	18,121.84	18,273.23	0.00	-151.39	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 17 of 69

Recipient's Name and Address:

26-73587

Account Number:

THEODORE W. BATTERMAN FAMILY

XX-XXX8812

Recipient's Tax ID Number:

FOUNDATION

GODFREY & KAHN, S.C.

780 NORTH WATER STREET

MILWAUKEE, WI 53202-3590

Ref: ENH

THE NORTHERN TRUST COMPANY

P.O. BOX 803878

CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
H84989104	TE CONNECTIVITY LTD	08/07/2012	Various	TEL	10,264.25	0.00	-286.25	0.00	0.00
24702R101	DELL INC	08/29/2012	05/30/2012	DELL	271.42	0.00	-43.26	0.00	0.00
037604105	APOLLO GROUP INC CL A	08/30/2012	Various	APOL	28,040.74	0.00	-9,781.69	0.00	0.00
053807103	AVNET, INC.	08/30/2012	05/29/2012	AVT	4,778.32	0.00	120.07	0.00	0.00
055622104	BP AMOCO P L C SPONSORED ADR	08/30/2012	06/27/2012	BP	1,374.40	0.00	124.89	0.00	0.00
057224107	BAKER HUGHES INC	08/30/2012	Various	BHI	51,150.05	0.00	5,279.67	0.00	0.00
075887109	BECTON DICKINSON & CO	08/30/2012	08/28/2012	BDX	16,945.50	0.00	-104.62	0.00	0.00
205363104	COMPUTER SCIENCES CORP	08/30/2012	09/01/2011	CSC	56,706.25	0.00	2,728.32	0.00	0.00
24702R101	DELL INC	08/30/2012	Various	DELL	15,297.03	0.00	-2,580.54	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 18 of 69

Recipient's Name and Address:

Account Number: 26-73587
 Recipient's Tax ID Number: XX-XXX8812

THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
34964C106	FORTUNE BRANDS HOME & SEC INC COM	08/30/2012	Various	FBHS	90,879.26	56,177.82	0.00	34,701.44	0.00	0.00
3600.0000										
354613101	FRANKLIN RESOURCES INC	08/30/2012	10/19/2011	BEN	17,460.55	15,182.07	0.00	2,278.48	0.00	0.00
150.0000										
38259P508	GOOGLE INC CL A	08/30/2012	Various	GOOG	60,227.17	53,545.18	0.00	6,681.99	0.00	0.00
88.0000										
428236103	HEWLETT PACKARD CO COM	08/30/2012	Various	HPQ	26,093.67	37,819.93	0.00	-11,726.26	0.00	0.00
1550.0000										
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT	08/30/2012	11/29/2011	HPT	1,205.75	1,034.22	0.00	171.53	0.00	0.00
50.0000										
574599106	MASCO CORP	08/30/2012	08/30/2011	MAS	6,832.35	4,420.65	0.00	2,411.70	0.00	0.00
500.0000										
59156R108	METLIFE INC	08/30/2012	Various	MET	68,950.19	61,236.26	0.00	7,713.93	0.00	0.00
2050.0000										
608190104	MOHAWK INDS INC	08/30/2012	Various	MHK	55,229.13	32,976.56	0.00	22,252.57	0.00	0.00
775.0000										
68389X105	ORACLE CORPORATION	08/30/2012	Various	ORCL	82,748.90	71,029.34	0.00	11,719.56	0.00	0.00
2650.0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 19 of 69

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
690742101	OWENS CORNING NEW COM STK	OC	75,662.88	76,101.24	0.00	-438.36	0.00	0.00
2325.0000	08/30/2012 Various							
780259206	ROYAL DUTCH SHELL PLC SPONSORED	RDS/A	44,260.56	44,325.06	0.00	-64.50	0.00	0.00
633.4500	08/30/2012 Various							
855030102	STAPLES INC	SPLS	34,858.09	44,451.43	0.00	-9,593.34	0.00	0.00
3250.0000	08/30/2012 Various							
857477103	STATE STREET CORP	STT	8,173.06	8,113.31	0.00	59.75	0.00	0.00
200.0000	08/30/2012 Various							
G27823106	DELPHI AUTOMOTIVE PLC	DLPH	71,494.39	65,024.60	0.00	6,469.79	0.00	0.00
2400.0000	08/30/2012 Various							
G491BT108	INVERSCO LTD COM STK USD0.10	IVZ	56,759.96	59,517.68	0.00	-2,757.72	0.00	0.00
2425.0000	08/30/2012 Various							
G6852T105	PARTNERRE HLDG LTD COM STK	PRE	82,196.27	80,249.60	0.00	1,946.67	0.00	0.00
1125.0000	08/30/2012 Various							
H84989104	TE CONNECTIVITY LTD	TEL	13,036.74	13,025.92	0.00	10.82	0.00	0.00
375.0000	08/30/2012 Various							
780259206	ROYAL DUTCH SHELL PLC SPONSORED	RDS/A	47.69	47.59	0.00	0.10	0.00	0.00
6700	10/02/2012 09/20/2012							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 20 of 69
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Account Number: 26-73587
 Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description	Date of		Stock or Other Symbol		Wash Sale		Net Gain		Federal		State	
Quantity	Sold	Exchange	Sale or	Acquisition	Stocks	Cost or	Loss Disallowed	or Loss	Tax Withheld	Tax Withheld	Income	Tax Withheld	Tax	Withheld
Total Short Term Sales														
					1,765,354.01	1,697,907.65	0.00	67,446.38	0.00	0.00	0.00	0.00	0.00	0.00
Long Term 15% Sales														
681919106		OMNICOM GROUP			OMC									
300.0000		01/10/2012	Various		13,465.57	8,894.18	0.00	4,571.39	0.00	0.00	0.00	0.00	0.00	0.00
020002101		ALLSTATE CORP			ALL									
650.0000		01/13/2012	09/10/2008		18,794.38	29,800.60	0.00	-11,006.22	0.00	0.00	0.00	0.00	0.00	0.00
053807103		AVNET, INC.			AVT									
1000.0000		01/13/2012	Various		31,351.49	16,111.51	0.00	15,239.98	0.00	0.00	0.00	0.00	0.00	0.00
055622104		BP AMOCO P L C SPONSORED ADR			BP									
325.0000		01/13/2012	03/09/2010		14,200.69	18,225.54	0.00	-4,024.85	0.00	0.00	0.00	0.00	0.00	0.00
060505104		BK AMER CORP COM			BAC									
375.0000		01/13/2012	Various		2,474.99	10,680.25	0.00	-8,205.26	0.00	0.00	0.00	0.00	0.00	0.00
172967424		CITIGROUP INC COM NEW COM NEW			C									
675.0000		01/13/2012	Various		20,631.03	20,225.69	0.00	405.34	0.00	0.00	0.00	0.00	0.00	0.00
24702R101		DELL INC			DELL									
350.0000		01/13/2012	01/22/2009		5,538.68	3,405.92	0.00	2,132.76	0.00	0.00	0.00	0.00	0.00	0.00
30231G102		EXXON MOBIL CORP			XOM									
200.0000		01/13/2012	01/25/2010		16,950.73	13,237.76	0.00	3,712.97	0.00	0.00	0.00	0.00	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 21 of 69

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
38141G104	GOLDMAN SACHS GROUP INC	01/13/2012	05/13/2010	GS	7,307.97	0.00	-2,362.32	0.00	0.00
50.0000				4,945.65					
428236103	HEWLETT PACKARD CO COM	01/13/2012	08/09/2010	HPQ	17,238.92	0.00	-6,673.13	0.00	0.00
400.0000				10,565.79					
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT	01/13/2012	Various	HPT	19,739.84	0.00	1,649.36	0.00	0.00
875.0000				21,389.20					
446413106	HUNTINGTON INGALLS INDS INC COM	01/13/2012	Various	HII	16,053.28	0.00	-2,606.42	0.00	0.00
400.0000				13,446.86					
46625H100	J P MORGAN CHASE & CO	01/13/2012	Various	JPM	25,750.77	0.00	229.32	0.00	0.00
725.0000				25,980.09					
471109108	JARDEN CORP COM	01/13/2012	04/12/2010	JAH	12,650.63	0.00	-1,001.45	0.00	0.00
375.0000				11,649.18					
502424104	L-3 COMMUNICATIONS HLDGS INC	01/13/2012	Various	LLL	25,131.93	0.00	-2,572.47	0.00	0.00
325.0000				22,559.46					
574599106	MASCO CORP	01/13/2012	Various	MAS	29,594.12	0.00	-2,391.92	0.00	0.00
2250.0000				27,202.20					
594918104	MICROSOFT CORP COM	01/13/2012	09/10/2008	MSFT	15,900.00	0.00	980.85	0.00	0.00
600.0000				16,880.85					

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 22 of 69
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW	MS	29,023.72	67,997.61	0.00	-38,973.89	0.00	0.00
1750.0000	ISIN #6174464486 01/13/2012 09/10/2008							
666807102	NORTHROP GRUMMAN CORP COM	NOC	20,616.46	17,603.72	0.00	3,012.74	0.00	0.00
350.0000	01/13/2012 10/02/2008							
681919106	OMNICOM GROUP	OMC	16,259.00	10,004.64	0.00	6,254.36	0.00	0.00
350.0000	01/13/2012 Various							
693475105	PNC BANK CORP	PNC	24,645.53	21,553.29	0.00	3,092.23	0.00	0.00
400.0000	01/13/2012 Various							
693506107	PPG INDUSTRIES INC	PPG	15,309.66	13,673.14	0.00	1,636.52	0.00	0.00
175.0000	01/13/2012 11/11/2010							
708160106	JC PENNEY CO INC COM	JCP	10,986.43	6,590.07	0.00	4,396.36	0.00	0.00
325.0000	01/13/2012 Various							
857477103	STATE STREET CORP	STT	16,100.42	16,032.17	0.00	68.25	0.00	0.00
375.0000	01/13/2012 Various							
G7496G103	RENAISSANCE HOLDINGS LTD	RNR	14,608.73	10,488.48	0.00	4,120.25	0.00	0.00
200.0000	01/13/2012 09/10/2008							
H84989104	TE CONNECTIVITY LTD	TEL	14,634.71	6,308.74	0.00	8,325.97	0.00	0.00
425.0000	01/13/2012 11/11/2008							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 23 of 69

Recipient's Name and Address:

26-73587

Account Number:
Recipient's Tax ID Number:

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

THEODORE W. BATTERMAN FAMILY
FOUNDATION

XX-XXX8812

Corrected

GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Ref: ENH

2nd TIN notice

2nd TIN notice

2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
H89231338	UBS AG SHS COM	01/13/2012	UBS	17,004.06	0.00	-4,195.36	0.00	0.00
1075.0000		Various	ALL	13,754.13	0.00	-4,851.66	0.00	0.00
020002101	ALLSTATE CORP	01/23/2012	AVT	14,062.15	0.00	15,215.56	0.00	0.00
300.0000	AVNET, INC.	Various	BP	4,205.90	0.00	-865.10	0.00	0.00
053807103	BP AMOCO P L C SPONSORED ADR	01/23/2012	BAC	25,850.00	0.00	-17,301.93	0.00	0.00
875.0000		Various	CA	28,627.90	0.00	2,781.19	0.00	0.00
055622104	BP AMOCO P L C SPONSORED ADR	01/23/2012	DELL	6,568.56	0.00	4,808.68	0.00	0.00
75.0000	BK AMER CORP COM	03/09/2010	XOM	8,244.33	0.00	2,716.13	0.00	0.00
060505104	BK AMER CORP COM	01/23/2012	GS	14,615.94	0.00	-3,682.55	0.00	0.00
1175.0000	CA INC COM	10/07/2008						
12673P105	CA INC COM	01/23/2012						
1400.0000	DELL INC	05/14/2010						
24702R101	DELL INC	01/23/2012						
675.0000	EXXON MOBIL CORP	01/22/2009						
30231G102	EXXON MOBIL CORP	Various						
125.0000	GOLDMAN SACHS GROUP INC	01/23/2012						
38141G104	GOLDMAN SACHS GROUP INC	05/13/2010						
100.0000								

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 24 of 69
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
428236103	HEWLETT PACKARD CO COM			HPQ					
650.0000	01/23/2012 Various			18,606.40	27,795.56	0.00	-9,189.16	0.00	0.00
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT			HPT					
475.0000	01/23/2012 Various			11,753.74	10,688.24	0.00	1,065.50	0.00	0.00
46625H100	J P MORGAN CHASE & CO			JPM					
200.0000	01/23/2012 01/01/1970			7,500.95	5,767.84	0.00	1,733.11	0.00	0.00
471109108	JARDEN CORP COM			JAH					
175.0000	01/23/2012 Various			5,417.12	5,882.32	0.00	-465.20	0.00	0.00
502424104	L-3 COMMUNICATIONS HLDGS INC			LLL					
150.0000	01/23/2012 Various			10,559.20	11,514.05	0.00	-954.85	0.00	0.00
574599106	MASCO CORP			MAS					
925.0000	01/23/2012 06/16/2010			11,456.35	11,808.64	0.00	-352.29	0.00	0.00
594918104	MICROSOFT CORP COM			MSFT					
375.0000	01/23/2012 09/10/2008			11,098.09	9,937.50	0.00	1,160.59	0.00	0.00
666807102	NORTHROP GRUMMAN CORP COM			NOC					
200.0000	01/23/2012 10/02/2008			12,192.96	10,059.26	0.00	2,133.70	0.00	0.00
681919106	OMNICOM GROUP			OMC					
400.0000	01/23/2012 Various			18,497.84	10,658.19	0.00	7,839.64	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 25 of 69
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
693506107	PPG INDUSTRIES INC	PPG	75.0000	01/23/2012	11/11/2010	6,648.99	5,859.92	0.00	789.07	0.00	0.00
708160106	JC PENNEY CO INC COM	JCP	225.0000	01/23/2012	11/26/2008	7,850.51	4,291.36	0.00	3,559.15	0.00	0.00
G7496G103	RENAISSANCE HOLDINGS LTD	RNR	125.0000	01/23/2012	09/10/2008	9,122.00	6,555.30	0.00	2,566.70	0.00	0.00
H84989104	TE CONNECTIVITY LTD	TEL	250.0000	01/23/2012	11/11/2008	9,018.70	3,711.03	0.00	5,307.67	0.00	0.00
H89231338	UBS AG SHS COM	UBS	500.0000	01/23/2012	11/27/2009	6,932.61	7,831.25	0.00	-898.64	0.00	0.00
708160106	JC PENNEY CO INC COM	JCP	300.0000	01/26/2012	Various	11,856.07	5,110.02	0.00	6,746.05	0.00	0.00
708160106	JC PENNEY CO INC COM	JCP	725.0000	01/26/2012	11/18/2008	29,555.79	12,214.80	0.00	17,340.99	0.00	0.00
708160106	JC PENNEY CO INC COM	JCP	150.0000	01/26/2012	11/26/2008	5,910.21	2,860.91	0.00	3,049.30	0.00	0.00
708160106	JC PENNEY CO INC COM	JCP	150.0000	01/26/2012	11/18/2008	5,892.41	2,527.20	0.00	3,365.21	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 26 of 69
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
708160106	JC PENNEY CO INC COM	JCP	4,916.18	2,384.08	0.00	2,532.10	0.00	0.00
125.0000	01/26/2012 11/26/2008							
708160106	JC PENNEY CO INC COM	JCP	4,050.66	1,651.10	0.00	2,399.56	0.00	0.00
98.0000	01/27/2012 11/18/2008							
708160106	JC PENNEY CO INC COM	JCP	4,143.59	1,718.50	0.00	2,425.09	0.00	0.00
102.0000	01/27/2012 11/18/2008							
708160106	JC PENNEY CO INC COM	JCP	16,656.80	6,756.05	0.00	9,900.75	0.00	0.00
401.0000	01/27/2012 11/18/2008							
708160106	JC PENNEY CO INC COM	JCP	4,069.74	1,667.95	0.00	2,401.79	0.00	0.00
99.0000	01/27/2012 11/18/2008							
020002101	ALLSTATE CORP	ALL	10,198.34	14,900.30	0.00	-4,701.96	0.00	0.00
325.0000	02/17/2012 09/10/2008							
053807103	AVNET, INC.	AVT	7,175.89	3,162.03	0.00	4,013.86	0.00	0.00
200.0000	02/17/2012 Various							
12673P105	CA INC COM	CA	17,549.75	13,291.53	0.00	4,258.22	0.00	0.00
650.0000	02/17/2012 05/14/2010							
172967424	CITIGROUP INC COM NEW COM NEW	C	6,584.18	5,324.77	0.00	1,259.41	0.00	0.00
200.0000	02/17/2012 Various							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 27 of 69

Ref: ENH

Recipient's Name and Address:

THEODORE W. BATTERMAN FAMILY

FOUNDATION

GODFREY & KAHN, S.C.

780 NORTH WATER STREET

MILWAUKEE, WI 53202-3590

26-73587

XX-XXX8812

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY

P.O. BOX 803878

CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
38141G104	GOLDMAN SACHS GROUP INC	02/17/2012	05/13/2010	GS	7,300.74	0.00	-1,493.35	0.00	0.00
50.0000									
428236103	HEWLETT PACKARD CO COM	02/17/2012	12/09/2010	HPQ	8,534.02	0.00	-2,620.11	0.00	0.00
200.0000									
471109108	JARDEN CORP COM	02/17/2012	04/29/2010	JAH	7,542.45	0.00	222.18	0.00	0.00
225.0000									
574599106	MASCO CORP	02/17/2012	Various	MAS	11,684.94	0.00	-483.40	0.00	0.00
925.0000									
38141G104	GOLDMAN SACHS GROUP INC	02/29/2012	Various	GS	36,490.64	0.00	-7,390.32	0.00	0.00
250.0000									
12673P105	CA INC COM	03/01/2012	Various	CA	2,025.56	0.00	685.18	0.00	0.00
100.0000									
12673P105	CA INC COM	03/01/2012	10/02/2008	CA	1,967.66	0.00	743.17	0.00	0.00
100.0000									
12673P105	CA INC COM	03/01/2012	10/02/2008	CA	491.91	0.00	185.94	0.00	0.00
25.0000									
12673P105	CA INC COM	03/01/2012	10/02/2008	CA	491.92	0.00	185.93	0.00	0.00
25.0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 28 of 69

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
12673P105	CA INC COM	03/07/2012	10/02/2008	CA	491.92	0.00	174.69	0.00	0.00
12673P105	CA INC COM	03/08/2012	10/02/2008	CA	491.91	0.00	178.44	0.00	0.00
12673P105	CA INC COM	03/09/2012	10/02/2008	CA	1,475.75	0.00	554.66	0.00	0.00
12673P105	CA INC COM	03/12/2012	10/02/2008	CA	491.91	0.00	188.53	0.00	0.00
12673P105	CA INC COM	03/13/2012	10/02/2008	CA	6,394.90	0.00	2,511.03	0.00	0.00
471109108	JAH	03/13/2012	Various	JAH	1,622.28	0.00	316.64	0.00	0.00
471109108	JAH	03/13/2012	Various	JAH	4,141.10	0.00	705.96	0.00	0.00
471109108	JAH	03/13/2012	04/30/2010	JAH	3,982.57	0.00	850.17	0.00	0.00
471109108	JAH	04/05/2012	04/30/2010	JAH	5,575.61	0.00	1,452.77	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 29 of 69

Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
471109108	JARDEN CORP COM	04/11/2012	04/30/2010	JAH	11,947.72	0.00	2,705.03	0.00	0.00
375.0000					14,652.75				
053807103	AVNET, INC	04/25/2012	10/27/2008	AVT	2,697.01	0.00	3,430.73	0.00	0.00
175.0000					6,127.74				
053807103	AVNET, INC.	04/25/2012	10/27/2008	AVT	2,311.72	0.00	2,949.26	0.00	0.00
150.0000					5,260.98				
053807103	AVNET, INC.	04/25/2012	10/27/2008	AVT	2,311.73	0.00	2,950.86	0.00	0.00
150.0000					5,262.59				
12673P105	CA INC COM	04/25/2012	10/02/2008	CA	983.83	0.00	344.57	0.00	0.00
50.0000					1,328.40				
12673P105	CA INC COM	04/25/2012	10/02/2008	CA	4,919.15	0.00	1,698.30	0.00	0.00
250.0000					6,617.45				
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT	04/25/2012	09/30/2010	HPT	2,782.99	0.00	595.03	0.00	0.00
125.0000					3,378.02				
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT	04/25/2012	Various	HPT	10,080.98	0.00	2,054.70	0.00	0.00
450.0000					12,135.68				
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT	04/25/2012	02/03/2010	HPT	9,539.34	0.00	1,920.06	0.00	0.00
425.0000					11,459.40				

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 30 of 69
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT			HPT					
75 0000		04/25/2012	09/30/2010	2,024.81	1,669.79	0.00	355.02	0.00	0.00
G7496G103	RENAISSANCERE HOLDINGS LTD			RNR					
100.0000		04/25/2012	09/10/2008	7,504.77	5,244.24	0.00	2,260.53	0.00	0.00
G7496G103	RENAISSANCERE HOLDINGS LTD			RNR					
175.0000		04/25/2012	09/10/2008	13,097.94	9,177.41	0.00	3,920.53	0.00	0.00
12673P105	CA INC COM			CA					
125.0000		04/26/2012	10/02/2008	3,323.87	2,459.58	0.00	864.29	0.00	0.00
12673P105	CA INC COM			CA					
475.0000		04/26/2012	10/02/2008	12,685.29	9,346.38	0.00	3,338.91	0.00	0.00
12673P105	CA INC COM			CA					
250.0000		04/27/2012	Various	6,626.18	4,597.54	0.00	2,028.64	0.00	0.00
12673P105	CA INC COM			CA					
150.0000		04/27/2012	10/02/2008	3,980.22	2,951.49	0.00	1,028.73	0.00	0.00
12673P105	CA INC COM			CA					
75.0000		04/27/2012	10/02/2008	1,988.68	1,475.74	0.00	512.94	0.00	0.00
12673P105	CA INC COM			CA					
200.0000		04/30/2012	07/01/2009	5,276.34	3,506.50	0.00	1,769.84	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 31 of 69

Recipient's Name and Address:

THEODORE W. BATTERMAN FAMILY

FOUNDATION

GODFREY & KAHN, S.C.

780 NORTH WATER STREET

MILWAUKEE, WI 53202-3590

26-73587

XX-XXX8812

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY

P.O. BOX 803878

CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description	Stock or Other Symbol									
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld				
12673P105	CA INC COM		CA									
275.0000	04/30/2012	07/01/2009	7,235.10	4,821.44	0.00	2,413.66	0.00	0.00				
12673P105	CA INC COM		CA									
25.0000	05/01/2012	07/01/2009	660.27	438.31	0.00	221.96	0.00	0.00				
12673P105	CA INC COM		CA									
150.0000	05/02/2012	07/01/2009	3,977.54	2,629.87	0.00	1,347.67	0.00	0.00				
12673P105	CA INC COM		CA									
525.0000	05/02/2012	07/01/2009	13,985.05	9,204.56	0.00	4,780.49	0.00	0.00				
12673P105	CA INC COM		CA									
250.0000	05/02/2012	07/01/2009	6,630.15	4,383.13	0.00	2,247.02	0.00	0.00				
002824100	ABBOTT LABORATORIES		ABT									
75.0000	05/10/2012	04/27/2011	4,668.13	3,891.58	0.00	776.55	0.00	0.00				
693506107	PPG INDUSTRIES INC		PPG									
25.0000	05/10/2012	05/26/2010	2,649.44	1,556.21	0.00	1,093.23	0.00	0.00				
693506107	PPG INDUSTRIES INC		PPG									
75.0000	05/10/2012	Various	7,966.85	5,065.72	0.00	2,901.13	0.00	0.00				
693506107	PPG INDUSTRIES INC		PPG									
25.0000	05/10/2012	05/26/2010	2,649.44	1,556.21	0.00	1,093.23	0.00	0.00				

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 32 of 69

Ref: ENH

Recipient's Name and Address:

THEODORE W. BATTERMAN FAMILY

FOUNDATION

GODFREY & KAHN, S.C.

780 NORTH WATER STREET

MILWAUKEE, WI 53202-3590

26-73587

XX-XXX8812

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY

P.O. BOX 803878

CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
002824100	ABBOTT LABORATORIES	05/11/2012	04/27/2011	ABT	3,112.32	0.00	517.93	0.00	0.00
50.0000					2,594.39				
002824100	ABBOTT LABORATORIES	05/11/2012	04/27/2011	ABT	1,555.63	0.00	258.44	0.00	0.00
25.0000					1,297.19				
693506107	PPG INDUSTRIES INC	05/11/2012	05/26/2010	PPG	3,112.42	0.00	2,184.91	0.00	0.00
50.0000					5,297.33				
693506107	PPG INDUSTRIES INC	05/11/2012	05/26/2010	PPG	2,637.53	0.00	1,081.32	0.00	0.00
25.0000					1,556.21				
693506107	PPG INDUSTRIES INC	05/11/2012	05/26/2010	PPG	3,112.42	0.00	2,166.75	0.00	0.00
50.0000					5,279.17				
693506107	PPG INDUSTRIES INC	05/11/2012	05/26/2010	PPG	2,649.38	0.00	1,093.17	0.00	0.00
25.0000					1,556.21				
002824100	ABBOTT LABORATORIES	05/14/2012	04/27/2011	ABT	1,542.83	0.00	245.84	0.00	0.00
25.0000					1,297.19				
G7496G103	RENAISSANCE HOLDINGS LTD	05/14/2012	09/10/2008	RNR	3,931.94	0.00	1,309.82	0.00	0.00
50.0000					2,622.12				
693506107	PPG INDUSTRIES INC	05/16/2012	05/26/2010	PPG	3,112.42	0.00	2,031.31	0.00	0.00
50.0000					5,143.73				

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 33 of 69

Recipient's Name and Address:

26-73587

Account Number:

THE NORTHERN TRUST COMPANY

THEODORE W. BATTERMAN FAMILY

XX-XXX8812

Recipient's Tax ID Number:

P.O. BOX 803878

FOUNDATION

☐ Corrected

☐ 2nd TIN notice

CHICAGO, IL 60680

GODFREY & KAHN, S.C.

MILWAUKEE, WI 53202-3590

780 NORTH WATER STREET

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G7496G103	RENAISSANCERE HOLDINGS LTD	05/16/2012	09/10/2008	RNR	11,740.94	0.00	3,874.58	0.00	0.00
002824100	ABBOTT LABORATORIES	05/17/2012	Various	ABT	35,983.02	0.00	7,627.48	0.00	0.00
G7496G103	RENAISSANCERE HOLDINGS LTD	05/17/2012	09/10/2008	RNR	5,834.44	0.00	1,901.26	0.00	0.00
G7496G103	RENAISSANCERE HOLDINGS LTD	05/17/2012	09/10/2008	RNR	13,573.61	0.00	4,396.20	0.00	0.00
G7496G103	RENAISSANCERE HOLDINGS LTD	05/17/2012	09/10/2008	RNR	11,799.53	0.00	5,607.24	0.00	0.00
G7496G103	RENAISSANCERE HOLDINGS LTD	05/18/2012	09/10/2008	RNR	6,555.30	0.00	3,067.40	0.00	0.00
G7496G103	RENAISSANCERE HOLDINGS LTD	05/21/2012	Various	RNR	2,508.47	0.00	1,340.23	0.00	0.00
G7496G103	RENAISSANCERE HOLDINGS LTD	05/22/2012	06/12/2009	RNR	1,197.41	0.00	738.98	0.00	0.00
G7496G103	RENAISSANCERE HOLDINGS LTD	05/22/2012	06/12/2009	RNR	2,394.82	0.00	1,456.33	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 34 of 69

Account Number: 26-73587
 Recipient's Tax ID Number: XX-XXX8812
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
693475105	PNC BANK CORP	05/23/2012	10/12/2010	PNC	7,888.80	0.00	1,345.76	0.00	0.00
150.0000									
G7496G103	RENAISSANCERE HOLDINGS LTD	05/23/2012	06/12/2009	RNR	3,592.23	0.00	2,227.48	0.00	0.00
75.0000									
693506107	PPG INDUSTRIES INC	05/24/2012	05/26/2010	PPG	3,112.42	0.00	2,046.56	0.00	0.00
50.0000									
G7496G103	RENAISSANCERE HOLDINGS LTD	05/24/2012	06/12/2009	RNR	3,592.23	0.00	2,277.96	0.00	0.00
75.0000									
G7496G103	RENAISSANCERE HOLDINGS LTD	05/25/2012	06/12/2009	RNR	2,394.82	0.00	1,525.26	0.00	0.00
50.0000									
693506107	PPG INDUSTRIES INC	05/29/2012	Various	PPG	43,316.96	0.00	30,518.15	0.00	0.00
700.0000									
G7496G103	RENAISSANCERE HOLDINGS LTD	05/30/2012	06/12/2009	RNR	3,592.23	0.00	2,218.69	0.00	0.00
75.0000									
G7496G103	RENAISSANCERE HOLDINGS LTD	05/31/2012	Various	RNR	3,510.44	0.00	2,257.30	0.00	0.00
75.0000									
30231G102	EXXON MOBIL CORP	06/01/2012	02/23/2010	XOM	19,505.43	0.00	3,887.68	0.00	0.00
300.0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number: 26-73587
 Recipient's Tax ID Number: XX-XXX8812
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Page 35 of 69
 Ref: ENH

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Quantity Sold	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
020002101	ALLSTATE CORP	06/05/2012	25.0000	828.10	1,146.18	0.00	318.08	0.00	0.00
020002101	ALLSTATE CORP	06/06/2012	225.0000	7,551.77	10,315.59	0.00	2,763.82	0.00	0.00
020002101	ALLSTATE CORP	06/06/2012	300.0000	10,051.54	9,252.21	0.00	799.33	0.00	0.00
020002101	ALLSTATE CORP	06/06/2012	200.0000	6,726.84	6,168.14	0.00	558.70	0.00	0.00
020002101	ALLSTATE CORP	06/06/2012	175.0000	5,872.94	5,397.12	0.00	475.82	0.00	0.00
020002101	ALLSTATE CORP	06/06/2012	275.0000	9,228.89	11,482.47	0.00	2,253.58	0.00	0.00
471109108	JARDEN CORP COM	06/12/2012	600.0000	24,286.84	17,998.31	0.00	6,288.53	0.00	0.00
471109108	JARDEN CORP COM	06/13/2012	100.0000	4,066.29	2,897.39	0.00	1,168.90	0.00	0.00
471109108	JARDEN CORP COM	06/14/2012	200.0000	8,036.51	5,794.78	0.00	2,301.73	0.00	0.00

This is Important tax information and is being furnished to you.

2012 Tax Information Statement

Page 36 of 69
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
471109108	JARDEN CORP COM	06/14/2012	05/06/2010	JAH	5,794.78	0.00	2,303.72	0.00	0.00
200.0000				8,098.50					
471109108	JARDEN CORP COM	06/14/2012	05/06/2010	JAH	5,070.44	0.00	2,019.03	0.00	0.00
175.0000				7,089.47					
471109108	JARDEN CORP COM	06/14/2012	05/06/2010	JAH	5,794.78	0.00	2,321.07	0.00	0.00
200.0000				8,115.85					
471109108	JARDEN CORP COM	06/19/2012	Various	JAH	8,692.04	0.00	3,697.71	0.00	0.00
300.0000				12,389.75					
29364G103	ENTERGY CORP NEW	06/20/2012	05/24/2011	ETR	3,375.53	0.00	-26.83	0.00	0.00
50.0000				3,348.70					
471109108	JARDEN CORP COM	06/20/2012	05/07/2010	JAH	4,346.01	0.00	1,915.22	0.00	0.00
150.0000				6,261.23					
29364G103	ENTERGY CORP NEW	06/21/2012	05/24/2011	ETR	13,502.10	0.00	-143.26	0.00	0.00
200.0000				13,358.84					
681919106	OMNICOM GROUP	06/27/2012	Various	OMC	9,879.43	0.00	7,617.89	0.00	0.00
375.0000				17,497.32					
574599106	MASCO CORP	07/03/2012	06/22/2010	MAS	1,499.09	0.00	242.77	0.00	0.00
125.0000				1,741.86					

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 37 of 69
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
666807102	NORTHROP GRUMMAN CORP COM	NOC	1,595.71	1,257.41	0.00	338.30	0.00	0.00
574599106	MASCO CORP	MAS	2,831.57	2,398.54	0.00	433.03	0.00	0.00
666807102	NORTHROP GRUMMAN CORP COM	NOC	1,598.88	1,257.41	0.00	341.47	0.00	0.00
002824100	ABBOTT LABORATORIES	ABT	6,426.35	5,053.42	0.00	1,372.93	0.00	0.00
100.0000	ALLSTATE CORP	ALL	6,899.00	6,168.14	0.00	730.86	0.00	0.00
020002101	AMERICAN INTERNATIONAL GROUP INC COM NEW	AIG	33,738.43	31,175.00	0.00	2,563.43	0.00	0.00
055622104	BP AMOCO P L C SPONSORED ADR	BP	31.65	46.55	0.00	-14.90	0.00	0.00
8300	BP AMOCO P L C SPONSORED ADR	BP	7,976.88	11,215.72	0.00	-3,238.84	0.00	0.00
055622104	BK AMER CORP COM	BAC	15,571.90	44,550.00	0.00	-28,978.10	0.00	0.00
2025.0000								

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 38 of 69

Recipient's Name and Address:

26-73587

Account Number:

Ref: ENH

THEODORE W. BATTERMAN FAMILY

XX-XXX8812

Recipient's Tax ID Number:

THE NORTHERN TRUST COMPANY

FOUNDATION

Corrected

2nd TIN notice

P.O. BOX 803878

GODFREY & KAHN, S.C.

12

CHICAGO, IL 60680

780 NORTH WATER STREET

MILWAUKEE, WI 53202-3590

12

12

12

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
29364G103	ENTERGY CORP NEW	07/06/2012	05/24/2011	ETR	8,438.81	0.00	25.37	0.00	0.00
125.0000									
30231G102	EXXON MOBIL CORP	07/06/2012	02/23/2010	XOM	6,501.81	0.00	1,941.53	0.00	0.00
100.0000									
446413106	HUNTINGTON INGALLS INDS INC COM	07/06/2012	10/02/2008	HII	4,847.21	0.00	1,289.90	0.00	0.00
150.0000									
46625H100	J P MORGAN CHASE & CO	07/06/2012	01/01/1970	JPM	7,930.78	0.00	1,398.46	0.00	0.00
275.0000									
502424104	L-3 COMMUNICATIONS HLDGS INC	07/06/2012	Various	LLL	17,250.36	0.00	844.62	0.00	0.00
225.0000									
574599106	MASCO CORP	07/06/2012	06/22/2010	MAS	19,488.14	0.00	3,076.75	0.00	0.00
1625.0000									
594918104	MICROSOFT CORP COM	07/06/2012	05/25/2011	MSFT	3,642.20	0.00	940.99	0.00	0.00
150.0000									
594918104	MICROSOFT CORP COM	07/06/2012	09/10/2008	MSFT	5,300.00	0.00	810.93	0.00	0.00
200.0000									
666807102	NORTHROP GRUMMAN CORP COM	07/06/2012	10/02/2008	NOC	11,316.67	0.00	2,895.13	0.00	0.00
225.0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 39 of 69

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
681919106	OMNICOM GROUP	OMC	8,419.93	4,526.23	0.00	3,893.70	0.00	0.00
175.0000	07/06/2012 Various							
780259206	ROYAL DUTCH SHELL PLC SPONSORED	RDS/A	20,567.56	21,579.53	0.00	-1,011.97	0.00	0.00
303.5200	07/06/2012 Various							
29285W104	ENGILITY HLDGS INC COM	EGL	2.49	3.16	0.00	-0.67	0.00	0.00
1700	07/18/2012 09/09/2009							
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT	HPT	605.00	556.60	0.00	48.40	0.00	0.00
25.0000	07/26/2012 09/30/2010							
681919106	OMNICOM GROUP	OMC	13,557.60	7,062.00	0.00	6,495.60	0.00	0.00
275.0000	08/02/2012 12/23/2008							
681919106	OMNICOM GROUP	OMC	6,147.44	3,210.00	0.00	2,937.44	0.00	0.00
125.0000	08/02/2012 12/23/2008							
44106M102	HOSPITALITY PPTYS TR COM SH BEN INT	HPT	614.89	556.60	0.00	58.29	0.00	0.00
25.0000	08/03/2012 09/30/2010							
002824100	ABBOTT LABORATORIES	ABT	14,847.02	11,370.20	0.00	3,476.82	0.00	0.00
225.0000	08/07/2012 04/13/2011							
020002101	ALLSTATE CORP	ALL	25,180.37	20,762.58	0.00	4,417.79	0.00	0.00
675.0000	08/07/2012 Various							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 40 of 69

Recipient's Name and Address:

THEODORE W. BATTERMAN FAMILY

FOUNDATION

GODFREY & KAHN, S.C.

780 NORTH WATER STREET

MILWAUKEE, WI 53202-3590

Account Number: 26-73587

Recipient's Tax ID Number: XX-XXX8812

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY

P.O. BOX 803878

CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
026874784	AMERICAN INTERNATIONAL GROUP INC COM NEW	08/07/2012	05/25/2011	AIG	6,525.00	0.00	780.96	0.00	0.00
225.0000									
055622104	BP AMOCO P L C SPONSORED ADR	08/07/2012	Various	BP	31,946.31	0.00	-6,557.88	0.00	0.00
600.0000									
060505104	BK AMER CORP COM	08/07/2012	Various	BAC	42,528.62	0.00	-27,299.47	0.00	0.00
1950.0000									
172967424	CITIGROUP INC COM NEW COM NEW	08/07/2012	Various	C	22,498.88	0.00	3,107.40	0.00	0.00
875.0000									
205363104	COMPUTER SCIENCES CORP	08/07/2012	08/05/2011	CSC	1,671.75	0.00	-403.53	0.00	0.00
50.0000									
29364G103	ENTERGY CORP NEW	08/07/2012	06/02/2011	ETR	20,105.49	0.00	1,253.53	0.00	0.00
300.0000									
30231G102	EXXON MOBIL CORP	08/07/2012	02/23/2010	XOM	11,378.17	0.00	3,997.85	0.00	0.00
175.0000									
354613101	FRANKLIN RESOURCES INC	08/07/2012	06/13/2011	BEN	18,325.80	0.00	-743.95	0.00	0.00
150.0000									
38141G104	GOLDMAN SACHS GROUP INC	08/07/2012	05/13/2010	GS	18,219.25	0.00	-5,261.43	0.00	0.00
125.0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 41 of 69

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
428236103	HEWLETT PACKARD CO COM	HPQ						
475.0000	08/07/2012 Various		8,946.40	20,244.72	0.00	-11,298.32	0.00	0.00
44106M102	HOSPITALITY PTYS TR COM SH BEN INT	HPT						
550.0000	08/07/2012 Various		12,751.63	12,237.43	0.00	514.20	0.00	0.00
44106M102	HOSPITALITY PTYS TR COM SH BEN INT	HPT						
100.0000	08/07/2012 01/28/2010		2,301.44	2,216.10	0.00	85.34	0.00	0.00
46625H100	J P MORGAN CHASE & CO	JPM						
575.0000	08/07/2012 01/01/1970		21,634.86	16,582.53	0.00	5,052.33	0.00	0.00
502424104	L-3 COMMUNICATIONS HLDGS INC	LLL						
175.0000	08/07/2012 Various		12,184.08	12,217.79	0.00	-33.71	0.00	0.00
594918104	MICROSOFT CORP COM	MSFT						
375.0000	08/07/2012 05/25/2011		11,326.61	9,105.49	0.00	2,221.12	0.00	0.00
617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW	MS						
1250.0000	ISIN #6174464486 08/07/2012 Various		18,174.72	41,841.78	0.00	-23,667.06	0.00	0.00
666807102	NORTHROP GRUMMAN CORP COM	NOC						
350.0000	08/07/2012 10/02/2008		23,370.23	17,603.72	0.00	5,766.51	0.00	0.00
681919106	OMNICOM GROUP	OMC						
250.0000	08/07/2012 12/23/2008		12,784.46	6,420.01	0.00	6,364.45	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number: 26-73587
 Recipient's Tax ID Number: XX-XXX8812
☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
693475105	PNC BANK CORP	PNC	250.0000	08/07/2012	Various	15,190.90	11,098.16	0.00	4,092.74	0.00	0.00
780259206	ROYAL DUTCH SHELL PLC SPONSORED	RDS/A	120.1400	08/07/2012	02/18/2011	8,549.92	8,531.44	0.00	18.48	0.00	0.00
855030102	STAPLES INC	SPLS	2125.0000	08/07/2012	07/14/2011	28,070.83	32,498.05	0.00	-4,427.22	0.00	0.00
857477103	STATE STREET CORP	STT	375.0000	08/07/2012	12/03/2009	15,511.52	16,025.06	0.00	-513.54	0.00	0.00
H89231338	UBS AG SHS COM	UBS	1200.0000	08/07/2012	11/27/2009	13,169.94	18,795.00	0.00	-5,625.06	0.00	0.00
446413106	HUNTINGTON INGALLS INDS INC COM	HII	26.0000	08/28/2012	09/01/2009	1,039.79	740.27	0.00	299.52	0.00	0.00
446413106	HUNTINGTON INGALLS INDS INC COM	HII	121.0000	08/28/2012	Various	4,837.34	3,838.99	0.00	998.35	0.00	0.00
446413106	HUNTINGTON INGALLS INDS INC COM	HII	43.0000	08/28/2012	10/02/2008	1,719.53	1,389.53	0.00	330.00	0.00	0.00
446413106	HUNTINGTON INGALLS INDS INC COM	HII	77.0000	08/29/2012	Various	3,076.61	2,107.49	0.00	969.12	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 43 of 69
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
446413106	HUNTINGTON INGALLS INDS INC COM	HII	1.0000	08/29/2012	09/01/2009	39.98	28.47	0.00	11.51	0.00	0.00
002824100	ABBOTT LABORATORIES	ABT	825.0000	08/30/2012	04/13/2011	53,875.42	41,690.71	0.00	12,184.71	0.00	0.00
020002101	ALLSTATE CORP	ALL	2225.0000	08/30/2012	Various	82,363.64	59,597.25	0.00	22,766.39	0.00	0.00
026874784	AMERICAN INTERNATIONAL GROUP INC COM NEW	AIG	1875.0000	08/30/2012	05/25/2011	62,932.96	54,375.00	0.00	8,557.96	0.00	0.00
037604105	APOLLO GROUP INC CL A	APOL	1075.0000	08/30/2012	Various	28,040.74	43,845.19	0.00	-15,804.45	0.00	0.00
053807103	AVNET, INC.	AVT	3225.0000	08/30/2012	Various	102,733.93	47,047.54	0.00	55,686.39	0.00	0.00
055622104	BP AMOCO P L C SPONSORED ADR	BP	1822.6400	08/30/2012	Various	76,303.11	54,591.58	0.00	21,711.53	0.00	0.00
055622104	BP AMOCO P L C SPONSORED ADR	BP	80.5300	08/30/2012	Various	3,371.31	3,576.55	0.00	-205.24	0.00	0.00
060505104	BK AMER CORP COM	BAC	5375.0000	08/30/2012	Various	42,300.85	102,205.28	0.00	-59,904.43	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 44 of 69

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
172967424	CITIGROUP INC COM NEW COM NEW			C					
2403.0000	08/30/2012 Various			70,586.79	54,537.94	0.00	16,048.85	0.00	0.00
205363104	COMPUTER SCIENCES CORP			CSC					
400.0000	08/30/2012 08/16/2011			12,778.87	11,973.36	0.00	805.51	0.00	0.00
24702R101	DELL INC			DELL					
3175.0000	08/30/2012 Various			34,082.86	26,588.44	0.00	7,494.42	0.00	0.00
29285W104	ENGILITY HLDGS INC COM			EGL					
229.0000	08/30/2012 Various			4,134.50	3,955.92	0.00	178.58	0.00	0.00
29364G103	ENTERGY CORP NEW			ETR					
950.0000	08/30/2012 Various			64,517.81	63,346.32	0.00	1,171.49	0.00	0.00
30231G102	EXXON MOBIL CORP			XOM					
625.0000	08/30/2012 Various			54,431.40	38,924.88	0.00	15,506.52	0.00	0.00
354613101	FRANKLIN RESOURCES INC			BEN					
350.0000	08/30/2012 06/13/2011			40,741.29	42,760.21	0.00	-2,018.92	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC			GS					
400.0000	08/30/2012 Various			41,597.07	58,200.21	0.00	-16,603.14	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC			GS					
125.0000	08/30/2012 06/03/2011			12,999.08	16,958.03	0.00	-3,958.95	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 45 of 69

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587
Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
428236103	HEWLETT PACKARD CO COM			HPQ					
1650.0000	08/30/2012 Various			27,777.13	48,652.57	0.00	-20,875.44	0.00	0.00
428236103	HEWLETT PACKARD CO COM			HPQ					
4100.0000	08/30/2012 Various			69,021.95	170,253.46	0.00	-101,231.51	0.00	0.00
44106M102	HOSPITALITY PTYS TR COM SH BEN INT			HPT					
2725.0000	08/30/2012 Various			65,713.25	58,278.80	0.00	7,434.45	0.00	0.00
446413106	HUNTINGTON INGALLS INDS INC COM			HII					
65.0000	08/30/2012 Various			2,565.15	1,667.27	0.00	897.88	0.00	0.00
46625H100	J P MORGAN CHASE & CO			JPM					
1875.0000	08/30/2012 01/01/1970			69,027.89	54,073.47	0.00	14,954.42	0.00	0.00
502424104	L-3 COMMUNICATIONS HLDGS INC			LLL					
1200.0000	08/30/2012 Various			83,403.52	81,793.51	0.00	1,610.01	0.00	0.00
574599106	MASCO CORP			MAS					
2175.0000	08/30/2012 Various			29,720.70	22,444.74	0.00	7,275.96	0.00	0.00
574599106	MASCO CORP			MAS					
2075.0000	08/30/2012 Various			28,354.24	18,288.09	0.00	10,066.15	0.00	0.00
594918104	MICROSOFT CORP COM			MSFT					
625.0000	08/30/2012 Various			18,902.89	15,115.63	0.00	3,787.26	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number: 26-73587
 Recipient's Tax ID Number: XX-XXX8812
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
594918104	MICROSOFT CORP COM	08/30/2012	01/22/2009	MSFT	21,042.00	0.00	15,251.54	0.00	0.00
1200.0000					36,293.54				
617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW	08/30/2012	Various	MS	57,239.84	0.00	-23,282.81	0.00	0.00
2325.0000					33,957.03				
617446448	MORGAN STANLEY DEAN WITTER & CO COM NEW	08/30/2012	Various	MS	9,965.77	0.00	-1,567.80	0.00	0.00
575.0000					8,397.97				
666807102	NORTHROP GRUMMAN CORP COM	08/30/2012	Various	NOC	52,380.08	0.00	29,289.57	0.00	0.00
1225.0000					81,689.65				
681919106	OMNICOM GROUP	08/30/2012	Various	OMC	25,612.75	0.00	29,000.64	0.00	0.00
1075.0000					54,613.39				
693475105	PNC BANK CORP	08/30/2012	06/17/2009	PNC	34,851.01	0.00	20,938.09	0.00	0.00
904.0000					55,789.10				
780259206	ROYAL DUTCH SHELL PLC SPONSORED	08/30/2012	Various	RDS/A	51,479.44	0.00	194.56	0.00	0.00
739.5500					51,674.00				
855030102	STAPLES INC	08/30/2012	Various	SPLS	77,449.83	0.00	-11,487.63	0.00	0.00
6150.0000					65,962.20				
857477103	STATE STREET CORP	08/30/2012	Various	STT	62,614.72	0.00	-1,316.80	0.00	0.00
1500.0000					61,297.92				

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 47 of 69

Recipient's Name and Address:

Ref: ENH

THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-73587

Recipient's Tax ID Number: XX-XXX8812

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
H84989104	TE CONNECTIVITY LTD	TEL	2100.0000	08/30/2012	Various	73,005.70	30,904.47	0.00	42,101.23	0.00	0.00
H89231338	UBS AG SHS COM	UBS	5000.0000	08/30/2012	Various	55,173.76	67,053.63	0.00	-11,879.87	0.00	0.00
Total Long Term 15% Sales						3,930,059.40	3,691,921.28	0.00	238,138.11	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 7 of 21
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45512
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol							
		Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
05534B760	BCE INC COM NEW			BCE					
727.0000	10/02/2012	09/19/2012		32,011.79	33,009.95	0.00	-998.16	0.00	0.00
749685103	RPM INC OHIO			RPM					
506.0000	10/02/2012	09/19/2012		13,616.90	14,393.63	0.00	-776.73	0.00	0.00
749685103	RPM INC OHIO			RPM					
267.0000	10/03/2012	09/19/2012		7,233.39	7,595.06	0.00	-361.67	0.00	0.00
00206R102	AT&T INC			T					
45.0000	11/27/2012	09/19/2012		1,514.22	1,691.05	0.00	-176.83	0.00	0.00
002824100	ABBOTT LABORATORIES			ABT					
44.0000	11/27/2012	09/19/2012		2,818.14	2,956.07	0.00	-137.93	0.00	0.00
02209S103	ALTRIA GROUP INC			MO					
67.0000	11/27/2012	09/19/2012		2,217.98	2,313.24	0.00	-95.26	0.00	0.00
032654105	ANALOG DEVICES INC			ADI					
59.0000	11/27/2012	09/19/2012		2,381.18	2,370.31	0.00	10.87	0.00	0.00
053015103	AUTOMATIC DATA PROCESSING INC			ADP					
32.0000	11/27/2012	09/19/2012		1,774.04	1,897.84	0.00	-123.80	0.00	0.00
05534B760	BCE INC COM NEW			BCE					
47.0000	11/27/2012	09/19/2012		1,987.11	2,134.07	0.00	-146.96	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 8 of 21
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45512
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
064149107	BANK N S HALIFAX COM STK	11/27/2012	09/19/2012	BNS	1,586.26	1,569.51	16.75	0.00	0.00
071813109	BAXTER INTL INC	11/27/2012	09/19/2012	BAX	1,776.60	1,608.49	168.11	0.00	0.00
09247X101	BLACKROCK INC CL A	11/27/2012	09/19/2012	BLK	2,147.15	1,971.68	175.47	0.00	0.00
110122108	BRISTOL MYERS SQUIBB CO	11/27/2012	09/19/2012	BMJ	2,576.92	2,630.61	-53.69	0.00	0.00
136069101	CDN IMPERIAL BK COMM TORONTO ONT COM STKCOM	11/27/2012	09/19/2012	CM	961.05	948.31	12.74	0.00	0.00
166764100	CHEVRONTXACO CORP COM	11/27/2012	09/19/2012	CVX	1,656.92	1,825.20	-168.28	0.00	0.00
191216100	COCA COLA CO	11/27/2012	09/19/2012	KO	2,248.14	2,266.32	-18.18	0.00	0.00
22576C101	CRESCENT POINT ENERGY CORP COM	11/27/2012	09/19/2012	CSCTF	1,026.20	1,088.36	-62.16	0.00	0.00
237194105	DARDEN RESTAURANTS INC	11/27/2012	09/19/2012	DRI	2,704.97	2,764.39	-59.42	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 9 of 21

Ref: ENH

Recipient's Name and Address:

THEODORE W. BATTERMAN FAMILY

FOUNDATION

GODFREY & KAHN, S.C.

780 NORTH WATER STREET

MILWAUKEE, WI 53202-3590

26-45512

XX-XXX8812

Account Number:

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY

P.O. BOX 803878

CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Bonds, etc.	Other Basis				
253868103	DIGITAL RLTY TR INC COM	11/27/2012	09/19/2012	DLR	2,489.52	0.00	-307.11	0.00	0.00
34.0000									
291011104	EMERSON ELECTRIC CO	11/27/2012	09/19/2012	EMR	2,406.01	0.00	-32.47	0.00	0.00
48.0000									
363576109	ARTHUR J GALLAGER & CO	11/27/2012	09/19/2012	AJG	1,524.49	0.00	4.69	0.00	0.00
42.0000									
369604103	GENERAL ELECTRIC CO	11/27/2012	10/02/2012	GE	1,788.90	0.00	-157.18	0.00	0.00
78.0000									
372460105	GENUINE PARTS CO	11/27/2012	09/19/2012	GPC	1,758.76	0.00	-2.59	0.00	0.00
28.0000									
37733W105	GLAXO PLC	11/27/2012	09/19/2012	GSK	1,665.65	0.00	-105.36	0.00	0.00
39.0000									
40414L109	HCP, INC	11/27/2012	09/19/2012	HCP	2,169.62	0.00	-49.97	0.00	0.00
47.0000									
42217K106	HEALTH CARE REIT INC COM COM	11/27/2012	09/19/2012	HCN	2,137.61	0.00	54.22	0.00	0.00
37.0000									
423074103	H J HEINZ CO	11/27/2012	10/02/2012	HNZ	3,102.62	0.00	79.05	0.00	0.00
55.0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 10 of 21

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45512
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
458140100	INTEL CORP COM			INTC					
119.0000	11/27/2012	09/19/2012		2,382.33	2,777.88	0.00	-395.55	0.00	0.00
478160104	JOHNSON & JOHNSON			JNJ					
35.0000	11/27/2012	09/19/2012		2,409.69	2,396.80	0.00	12.89	0.00	0.00
494368103	KIMBERLY CLARK CORP			KMB					
33.0000	11/27/2012	09/19/2012		2,831.66	2,723.40	0.00	108.26	0.00	0.00
49456B101	KINDER MORGAN INC DEL COM			KMI					
88.0000	11/27/2012	09/19/2012		2,961.41	3,160.90	0.00	-199.49	0.00	0.00
577081102	MATTEL INC			MAT					
66.0000	11/27/2012	09/19/2012		2,407.62	2,362.80	0.00	44.82	0.00	0.00
580135101	MCDONALDS CORP			MCD					
45.0000	11/27/2012	09/19/2012		3,868.11	4,116.05	0.00	-247.94	0.00	0.00
58933Y105	MERCK & CO INC			MRK					
25.0000	11/27/2012	09/19/2012		1,099.97	1,109.50	0.00	-9.53	0.00	0.00
637417106	NATIONAL RETAIL PPTYS INC COM STK			NNN					
45.0000	11/27/2012	09/19/2012		1,383.27	1,397.83	0.00	-14.56	0.00	0.00
65339F101	NEXTERA ENERGY INC COM			NEE					
14.0000	11/27/2012	09/19/2012		951.00	956.20	0.00	-5.20	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 11 of 21

Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45512
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
66987V109	ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098	11/27/2012	09/19/2012	NVS	2,072.32	0.00	43.73	0.00	0.00
35.0000					2,116.05				
682680103	ONEOK INC NEW	11/27/2012	09/19/2012	OKE	2,955.42	0.00	33.25	0.00	0.00
64.0000					2,922.17				
718172109	PHILIP MORRIS INTERNATIONAL	11/27/2012	09/19/2012	PM	3,016.36	0.00	16.37	0.00	0.00
34.0000					3,032.73				
74005P104	PRAXAIR INC	11/27/2012	09/19/2012	PX	2,036.92	0.00	10.81	0.00	0.00
19.0000					2,026.11				
742718109	PROCTER & GAMBLE CO	11/27/2012	09/19/2012	PG	2,396.17	0.00	20.88	0.00	0.00
35.0000					2,417.05				
749685103	RPM INC OHIO	11/27/2012	09/19/2012	RPM	1,422.30	0.00	31.84	0.00	0.00
50.0000					1,390.46				
756109104	RLTY INC CORP COM	11/27/2012	09/19/2012	O	978.88	0.00	71.17	0.00	0.00
23.0000					907.71				
842587107	SOUTHERN CO	11/27/2012	09/19/2012	SO	2,475.57	0.00	171.45	0.00	0.00
54.0000					2,304.12				
913017109	UNITED TECHNOLOGIES CORP	11/27/2012	09/19/2012	UTX	2,608.52	0.00	11.81	0.00	0.00
33.0000					2,596.71				

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 12 of 21
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Account Number: 26-45512
 Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
923725105	VERMILION ENERGY INC COM							
22.0000	11/27/2012 09/19/2012	VEMTF	1,083.70	1,034.22	0.00	49.48	0.00	0.00
94106L109	WASTE MGMT INC DEL	WM						
67.0000	11/27/2012 09/19/2012		2,143.95	2,303.63	0.00	-159.68	0.00	0.00
969457100	WILLIAMS COS INC	WMB						
93.0000	11/27/2012 09/19/2012		3,041.96	3,138.96	0.00	-97.00	0.00	0.00
237194105	DARDEN RESTAURANTS INC	DRI						
747.0000	12/12/2012 09/19/2012		34,309.25	40,490.16	0.00	-6,180.91	0.00	0.00
94106L109	WASTE MGMT INC DEL	WM						
1438.0000	12/12/2012 09/19/2012		48,373.53	49,442.19	0.00	-1,068.66	0.00	0.00
237194105	DARDEN RESTAURANTS INC	DRI						
772.0000	12/13/2012 09/19/2012		35,305.39	41,845.26	0.00	-6,539.87	0.00	0.00
94106L109	WASTE MGMT INC DEL	WM						
976.0000	12/13/2012 09/19/2012		32,818.92	33,557.42	0.00	-738.50	0.00	0.00
237194105	DARDEN RESTAURANTS INC	DRI						
486.0000	12/14/2012 09/19/2012		22,487.24	26,343.00	0.00	-3,855.76	0.00	0.00
94106L109	WASTE MGMT INC DEL	WM						
246.0000	12/14/2012 09/19/2012		8,258.26	8,458.12	0.00	-199.86	0.00	0.00
Total Short Term Sales			328,701.15	351,759.41	0.00	-23,058.26	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 6 of 22
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45514
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales								
91913Y100	VALERO ENERGY CORP NEW	VLO	95,392.16	98,589.92	0.00	-3,197.76	0.00	0.00
3058.0000	09/18/2012 09/10/2012							
966387102	WHITING PETROLEUM CORP	WLL	9,997.88	9,998.18	0.00	-0.30	0.00	0.00
204.0000	09/18/2012 09/10/2012							
930059100	WADDELL & REED FINL INC CL A	WDR	33.28	31.71	0.00	1.57	0.00	0.00
1.0000	09/21/2012 09/10/2012							
966387102	WHITING PETROLEUM CORP	WLL	58,441.11	58,175.70	0.00	265.41	0.00	0.00
1187.0000	09/21/2012 09/10/2012							
966387102	WHITING PETROLEUM CORP	WLL	40,811.86	41,512.07	0.00	-700.21	0.00	0.00
847.0000	09/24/2012 09/10/2012							
03748R101	APT INVT & MGMT CO CL A	AIN	19,827.59	20,907.92	0.00	-1,080.33	0.00	0.00
781.0000	10/09/2012 09/10/2012							
30219G108	EXPRESS SCRIPTS HLDG CO COM	ESRX	19,758.25	19,561.62	0.00	196.63	0.00	0.00
315.0000	10/09/2012 09/10/2012							
03748R101	APT INVT & MGMT CO CL A	AIN	19,617.82	20,506.35	0.00	-888.53	0.00	0.00
766.0000	10/11/2012 09/10/2012							
30219G108	EXPRESS SCRIPTS HLDG CO COM	ESRX	19,557.22	19,251.12	0.00	306.10	0.00	0.00
310.0000	10/11/2012 09/10/2012							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 7 of 22
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45514
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Description	Date of		Stocks or Other Symbol	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
			Exchange	Acquisition					
194162103		COLGATE PALMOLIVE CO			CL				
183.0000		10/22/2012 09/18/2012			19,601.06	0.00	188.79	0.00	0.00
868157108		SUPERIOR ENERGY SERVICES INC COM			SPN				
952.0000		10/22/2012 Various			19,349.35	0.00	-3,076.52	0.00	0.00
194162103		COLGATE PALMOLIVE CO			CL				
181.0000		10/24/2012 09/18/2012			19,247.78	0.00	47.66	0.00	0.00
868157108		SUPERIOR ENERGY SERVICES INC COM			SPN				
992.0000		10/24/2012 09/21/2012			19,069.88	0.00	-4,184.88	0.00	0.00
30219G108		EXPRESS SCRIPTS HLDG CO COM			ESRX				
436.0000		11/01/2012 09/10/2012			27,097.17	0.00	21.39	0.00	0.00
50105F105		KRONOS WORLDWIDE INC COM STK			KRO				
1855.0000		11/01/2012 09/10/2012			24,817.67	0.00	-7,733.50	0.00	0.00
50105F105		KRONOS WORLDWIDE INC COM STK			KRO				
376.0000		11/02/2012 09/10/2012			5,040.06	0.00	-1,557.91	0.00	0.00
651290108		NEWFIELD EXPL CO COM			NFX				
1587.0000		11/26/2012 09/10/2012			38,423.58	0.00	-15,172.11	0.00	0.00
67018T105		NU SKIN ENTERPRISES INC CL A			NUS				
830.0000		11/26/2012 Various			36,536.29	0.00	-3,746.89	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 8 of 22

Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45514
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
868157108	SUPERIOR ENERGY SERVICES INC COM	SPN	28,565.15	35,327.55	0.00	-6,762.40	0.00	0.00
1507.0000	11/26/2012 09/21/2012							
001055102	AFLAC INC	AFL	1,498.69	1,400.49	0.00	98.20	0.00	0.00
29.0000	11/27/2012 09/10/2012							
02209S103	ALTRIA GROUP INC	MO	1,291.07	1,346.51	0.00	-55.44	0.00	0.00
39.0000	11/27/2012 09/10/2012							
02503X105	AMERICAN CAP AGY CORP COM REIT FUND	AGNC	1,190.13	1,348.23	0.00	-158.10	0.00	0.00
38.0000	11/27/2012 09/10/2012							
037411105	APACHE CORP	APA	1,085.82	1,262.24	0.00	-176.42	0.00	0.00
14.0000	11/27/2012 09/10/2012							
03748R101	APT INVT & MGMT CO CL A	AIV	806.06	856.66	0.00	-50.60	0.00	0.00
32.0000	11/27/2012 09/10/2012							
037604105	APOLLO GROUP INC CL A	APOL	696.22	1,030.32	0.00	-334.10	0.00	0.00
36.0000	11/27/2012 09/10/2012							
037833100	APPLE COMPUTER INC	AAPL	1,169.39	1,336.22	0.00	-166.83	0.00	0.00
2.0000	11/27/2012 09/10/2012							
071813109	BAXTER INTL INC	BAX	460.60	468.52	0.00	-7.92	0.00	0.00
7.0000	11/27/2012 11/26/2012							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number: 26-45514
 Recipient's Tax ID Number: XX-XXX8812
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Page 9 of 22
 Ref: ENH

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
12503M108	CBOE HOLDINGS INC COM	CBOE	34.0000	11/27/2012	09/21/2012	1,006.04	1,031.06	0.00	-25.02	0.00	0.00
125269100	CF INDS HLDGS INC COM	CF	5.0000	11/27/2012	09/10/2012	1,045.33	1,072.15	0.00	-26.82	0.00	0.00
149123101	CATERPILLAR INC	CAT	10.0000	11/27/2012	09/10/2012	842.58	877.75	0.00	-35.17	0.00	0.00
166764100	CHEVRONTXACO CORP COM	CVX	9.0000	11/27/2012	09/10/2012	932.02	1,026.68	0.00	-94.66	0.00	0.00
18683K101	CLIFFS NAT RES INC COM STK	CLF	24.0000	11/27/2012	09/10/2012	726.46	967.44	0.00	-240.98	0.00	0.00
189754104	COACH INC	COH	22.0000	11/27/2012	09/10/2012	1,274.87	1,379.49	0.00	-104.62	0.00	0.00
194162103	COLGATE PALMOLIVE CO	CL	7.0000	11/27/2012	09/18/2012	754.93	742.55	0.00	12.38	0.00	0.00
20825C104	CONOCOPHILLIPS	COP	18.0000	11/27/2012	09/10/2012	1,015.72	1,017.54	0.00	-1.82	0.00	0.00
231021106	CUMMINS ENGINE INC	CMI	10.0000	11/27/2012	09/10/2012	1,000.58	981.87	0.00	18.71	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 10 of 22
 Recipient's Name and Address:
 Ref: ENH
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Account Number: 26-45514
 Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Stocks Bonds, etc.	Cost or Other Basis				
254709108	DISCOVER FINL SVCS COM STK			DFS					
30.0000	11/27/2012 09/10/2012			1,221.57	1,153.79	0.00	67.78	0.00	0.00
278265103	EATON VANCE CORP			EV					
32.0000	11/27/2012 09/10/2012			1,008.30	904.12	0.00	104.18	0.00	0.00
29264F205	ENDO PHARMACEUTICALS HLDGS INC			ENDP					
32.0000	11/27/2012 09/10/2012			904.62	1,065.96	0.00	-161.34	0.00	0.00
30231G102	EXXON MOBIL CORP			XOM					
14.0000	11/27/2012 09/10/2012			1,228.76	1,257.62	0.00	-28.86	0.00	0.00
314211103	FEDERATED INVS INC PA CL B			FI					
68.0000	11/27/2012 09/10/2012			1,344.33	1,439.11	0.00	-94.78	0.00	0.00
375558103	GILEAD SCIENCES INC			GILD					
19.0000	11/27/2012 09/10/2012			1,420.22	1,138.29	0.00	281.93	0.00	0.00
406216101	HALLIBURTON CO			HAL					
39.0000	11/27/2012 09/10/2012			1,248.75	1,349.05	0.00	-100.30	0.00	0.00
421933102	HEALTH MANAGEMENT ASSOC INC CLASS A			HMA					
130.0000	11/27/2012 09/10/2012			1,019.18	1,032.28	0.00	-13.10	0.00	0.00
436106108	HOLLYFRONTIER CORP COM			HFC					
30.0000	11/27/2012 09/10/2012			1,349.66	1,196.66	0.00	153.00	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number: 26-45514
 Recipient's Tax ID Number: XX-XXX8812
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Page 11 of 22
 Ref: ENH

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
459200101	INTL BUSINESS MACHINES CORP	IBM	6.0000	11/27/2012	09/10/2012	1,149.58	1,210.29	0.00	-60.71	0.00	0.00
481165108	JOY GLOBAL INC	JOY	17.0000	11/27/2012	09/10/2012	949.43	948.67	0.00	0.76	0.00	0.00
532457108	ELI LILLY & CO	LLY	22.0000	11/27/2012	09/10/2012	1,051.58	1,027.84	0.00	23.74	0.00	0.00
539830109	LOCKHEED MARTIN CORP	LMT	11.0000	11/27/2012	09/10/2012	1,024.96	1,015.63	0.00	9.33	0.00	0.00
56585A102	MARATHON PETE CORP COM	MPC	21.0000	11/27/2012	09/10/2012	1,240.02	1,104.22	0.00	135.80	0.00	0.00
594918104	MICROSOFT CORP COM	MSFT	28.0000	11/27/2012	09/18/2012	758.78	873.78	0.00	-115.00	0.00	0.00
651290108	NEWFIELD EXPL CO COM	NFX	12.0000	11/27/2012	09/10/2012	290.27	405.26	0.00	-114.99	0.00	0.00
651587107	NEWMARKET CORP COM	NEU	2.0000	11/27/2012	09/10/2012	532.03	519.18	0.00	12.85	0.00	0.00
703481101	PATTERSON-UTI ENERGY INC COM	PTEN	57.0000	11/27/2012	09/21/2012	966.70	995.37	0.00	-28.67	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 12 of 22

Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45514
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
718172109	PHILIP MORRIS INTERNATIONAL	PM	1,337.97	1,330.75	0.00	7.22	0.00	0.00
15.0000	11/27/2012 09/10/2012							
749660106	RPC INC	RES	902.80	1,078.96	0.00	-176.16	0.00	0.00
82.0000	11/27/2012 09/10/2012							
778296103	ROSS STORES INC	ROST	684.58	807.82	0.00	-123.24	0.00	0.00
12.0000	11/27/2012 09/10/2012							
84265V105	SOUTHN COPPER CORP DEL COM	SCCO	505.11	510.25	0.00	-5.14	0.00	0.00
14.0000	11/27/2012 11/26/2012							
872540109	TJX COS INC NEW	TJX	1,380.45	1,477.11	0.00	-96.66	0.00	0.00
32.0000	11/27/2012 09/10/2012							
903914109	ULTRA PETE CORP COM	UPL	422.79	464.14	0.00	-41.35	0.00	0.00
20.0000	11/27/2012 10/09/2012							
930059100	WADDELL & REED FINL INC CL A	WDR	911.38	887.79	0.00	23.59	0.00	0.00
28.0000	11/27/2012 09/10/2012							
958102105	WESTERN DIGITAL CORP COM	WDC	1,009.76	1,205.28	0.00	-195.52	0.00	0.00
29.0000	11/27/2012 09/10/2012							
988498101	YUM BRANDS INC	YUM	1,182.21	1,065.38	0.00	116.83	0.00	0.00
16.0000	11/27/2012 09/10/2012							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number: 26-45514
 Recipient's Tax ID Number: XX-XXX8812
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip		Description	Stock or Other Symbol		Cost or Other Basis		Wash Sale Loss Disallowed	Nat Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.							
G4412G101	HERBALIFE LTD COM STK		HLF							
24.0000	11/27/2012	09/10/2012	1,116.45		1,211.76		0.00	-95.31	0.00	0.00
P31076105	COPA HOLDINGS SA COM STK		CPA							
16.0000	11/27/2012	09/10/2012	1,494.20		1,319.05		0.00	175.15	0.00	0.00
P31076105	COPA HOLDINGS SA COM STK		CPA							
396.0000	11/29/2012	09/10/2012	37,233.31		32,646.40		0.00	4,586.91	0.00	0.00
P31076105	COPA HOLDINGS SA COM STK		CPA							
9.0000	11/30/2012	09/10/2012	846.60		741.96		0.00	104.64	0.00	0.00
Total Short Term Sales			605,718.02		649,788.54		0.00	-44,070.52	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 5 of 10

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45515
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
008252108	AFFILIATED MANAGERS GROUP								
34.0000	10/03/2012	09/19/2012		4,155.20	4,134.37	0.00	20.83	0.00	0.00
189754104	COACH INC								
182.0000	10/03/2012	09/19/2012		10,277.09	11,417.77	0.00	-1,140.68	0.00	0.00
302130109	EXPEDITORS INTL WASH INC								
314.0000	10/08/2012	09/19/2012		11,079.30	12,070.16	0.00	-990.86	0.00	0.00
533900106	LINCOLN ELEC HLDGS INC								
128.0000	10/08/2012	09/19/2012		4,917.04	5,377.28	0.00	-460.24	0.00	0.00
067383109	C R BARD INC								
38.0000	12/03/2012	09/19/2012		3,731.87	3,791.41	0.00	-59.54	0.00	0.00
148887102	CATAMARAN CORP								
132.0000	12/03/2012	09/19/2012		6,340.04	6,073.98	0.00	266.06	0.00	0.00
371901109	GENTEX CORP COM								
393.0000	12/03/2012	09/19/2012		6,967.18	7,207.62	0.00	-240.44	0.00	0.00
45168D104	IDEXX LABS INC								
68.0000	12/03/2012	09/19/2012		6,262.28	6,697.19	0.00	-434.91	0.00	0.00
461202103	INTUIT								
106.0000	12/03/2012	09/19/2012		6,291.06	6,324.04	0.00	-32.98	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 6 of 10
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45515
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol			Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Stocks	Bonds, etc.	Cost or Other Basis				
858912108	STERICYCLE INC									
40.0000	12/03/2012	09/19/2012		SRCL		3,729.10	0.00	-9.08	0.00	0.00
501889208	LKQ CORP COM LKQ CORP			LKQ						
292.0000	12/13/2012	09/19/2012				5,746.69	0.00	435.66	0.00	0.00
Total Short Term Sales						69,923.43	0.00	-2,646.18	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 5 of 10
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45516
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol				Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks or Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed			
Short Term Sales								
538146101	LIVERPERSON INC COM STK	ISIN# US5381461012	LPSN					
1034.0000	09/13/2012	09/10/2012	18,806.08	18,025.31	0.00	780.77	0.00	0.00
28849P100	ELLIE MAE INC COM STK		ELLI					
475.0000	09/17/2012	09/10/2012	13,220.52	12,437.35	0.00	783.17	0.00	0.00
768573107	RIVERBED TECHNOLOGY INC COM		RVBD					
564.0000	09/19/2012	09/10/2012	12,642.46	12,464.40	0.00	178.06	0.00	0.00
493308100	KEYNOTE SYS INC COM	ISIN US4933081006	KEYN					
90.0000	10/08/2012	09/10/2012	1,300.48	1,239.40	0.00	61.08	0.00	0.00
493308100	KEYNOTE SYS INC COM	ISIN US4933081006	KEYN					
620.0000	10/09/2012	09/10/2012	8,816.57	8,538.08	0.00	278.49	0.00	0.00
493308100	KEYNOTE SYS INC COM	ISIN US4933081006	KEYN					
353.0000	10/10/2012	09/10/2012	5,001.97	4,861.20	0.00	140.77	0.00	0.00
705560100	#REORG/PEETS COFFEE & TEA CASH MERGER DUE							
410.0000	10/30/2012	09/10/2012	30,135.00	30,165.79	0.00	-30.79	0.00	0.00
119848109	BUFFALO WILD WINGS INC COM STK		BWLD					
32.0000	12/19/2012	09/10/2012	2,406.15	2,625.12	0.00	-218.97	0.00	0.00
27875T101	ECHO GLOBAL LOGISTICS INC COM		ECHO					
85.0000	12/19/2012	09/10/2012	1,460.58	1,515.27	0.00	-54.69	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 6 of 10
Ref: ENH

Account Number: 26-45516
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
					Bonds, etc.	Cost or Other Basis				
28849P100	422.0000	ELLIE MAE INC COM STK	12/19/2012	09/10/2012	ELLI	11,049.61	0.00	235.77	0.00	0.00
302301106	387.0000	EZCORP INC CL A NON VTG	12/19/2012	09/10/2012	EZPW	9,378.25	0.00	-1,794.65	0.00	0.00
45773Y105	287.0000	INNERWORKINGS INC COM	12/19/2012	09/10/2012	INWK	3,805.93	0.00	225.69	0.00	0.00
501889208	236.0000	LKQ CORP COM LKQ CORP	12/19/2012	09/10/2012	LKQ	4,644.59	0.00	282.45	0.00	0.00
610236101	48.0000	MONRO MUFLER BRAKE INC COM	12/19/2012	09/10/2012	MNRO	1,779.67	0.00	-142.68	0.00	0.00
80908T101	270.0000	SCIREQUEST INC NEW COM	12/19/2012	09/10/2012	SQI	4,082.61	0.00	-463.41	0.00	0.00
83083J104	901.0000	SKULLCANDY INC COM	12/19/2012	09/10/2012	SKUL	13,709.26	0.00	-6,367.00	0.00	0.00
87162H103	189.0000	SYNTEL INC COM	12/19/2012	09/10/2012	SYNT	10,853.19	0.00	-513.59	0.00	0.00
87819P102	1205.0000	#REORG/TEAVANA HLDGS CASH MERGER 12/31/2012	12/19/2012	Various	TEA	15,086.15	0.00	3,494.53	0.00	0.00
Total Short Term Sales						166,498.90	0.00	-3,125.00	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 7 of 20
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45517
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales								
66987V109	ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098	NVS						
780.0000	09/24/2012 09/19/2012		47,869.09	46,098.00	0.00	1,771.09	0.00	0.00
71654V101	ADR PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR NON VTG	PBR/A						
2380.0000	10/04/2012 09/19/2012		52,353.76	49,725.20	0.00	2,628.56	0.00	0.00
001317205	ADR AIA GROUP LTD SPONSORED ADR	AAGIY						
329.0000	11/27/2012 09/25/2012		5,138.86	4,854.72	0.00	284.14	0.00	0.00
009126202	ADR AIR LIQUIDE ADR	AIQUY						
316.0000	11/27/2012 09/19/2012		7,675.25	7,735.68	0.00	-60.43	0.00	0.00
018805101	ALLIANZ AKTIENGESSELLSCHAFT SPONSORED ADR REPSTG 1/10 SH	AZSEY						
393.0000	11/27/2012 09/19/2012		4,959.55	4,653.12	0.00	306.43	0.00	0.00
02364W105	AMERICA MOVIL S A DE CV SPON ADR SER L SHARES	AMX						
162.0000	11/27/2012 09/19/2012		3,825.09	4,090.50	0.00	-265.41	0.00	0.00
03524A108	ADR ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR	BUD						
51.0000	11/27/2012 09/19/2012		4,392.88	4,307.89	0.00	84.99	0.00	0.00
042068106	ARM HLDGS PLC SPONSORED ADR	ARMH						
259.0000	11/27/2012 09/19/2012		9,439.95	6,858.76	0.00	2,581.19	0.00	0.00
049255706	ADR ATLAS COPCO AB SPONSORED ADR NEW REPSTG COM SER A	ATLKY						
142.0000	11/27/2012 09/19/2012		3,582.58	3,283.04	0.00	299.54	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 8 of 20

Recipient's Name and Address:

Account Number: 26-45517

Recipient's Tax ID Number: XX-XXX8812

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
055434203	BG PLC ADR FIN INST N	BRGY	205.0000	11/27/2012	09/19/2012	3,466.72	4,214.80	0.00	-748.08	0.00	0.00
12637N105	ADR CSL LTD ADR	CMXHY	135.0000	11/27/2012	09/19/2012	3,522.07	3,068.55	0.00	453.52	0.00	0.00
136375102	CANADIAN NATL RY CO COM	CNI	43.0000	11/27/2012	09/19/2012	3,800.68	3,986.43	0.00	-185.75	0.00	0.00
191459205	ADR COCHLEAR LTD ADR UNSPON	CHEOY	81.0000	11/27/2012	09/19/2012	3,157.57	2,798.55	0.00	359.02	0.00	0.00
23304Y100	DBS GROUP HOLDINGS LTD-SPONSORED ADR	DBSDY	68.0000	11/27/2012	09/19/2012	3,129.29	3,208.24	0.00	-78.95	0.00	0.00
237545108	ADR DASSAULT SYS S A SPONSORED ADR	DASTY	67.0000	11/27/2012	09/19/2012	7,505.86	6,624.96	0.00	880.90	0.00	0.00
307305102	FANUC CORPORATION	FANUY	220.0000	11/27/2012	09/19/2012	6,227.93	6,111.95	0.00	115.98	0.00	0.00
358029106	FRESENIUS MED CARE AG & CO.KGAA EACH REPR 1/3 NPV	FMS	77.0000	11/27/2012	09/19/2012	5,225.87	5,558.56	0.00	-332.69	0.00	0.00
368287207	GAZPROM O A O SPONSORED ADR	OGZPY	146.0000	11/27/2012	09/19/2012	1,278.93	1,511.10	0.00	-232.17	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number: 26-45517
 Recipient's Tax ID Number: XX-XXX8812
 Recipient's Name and Address:
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Page 9 of 20
 Ref: ENH

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
404280406	ADR HSBC HLDGS PLC SPONSORED ADR NEW			HBC					
49.0000	11/27/2012 09/19/2012			2,438.44	2,207.01	0.00	231.43	0.00	0.00
443251103	ADR HOYA CORP SPONSORED ADR			HOCY					
202.0000	11/27/2012 09/19/2012			3,876.29	4,484.40	0.00	-608.11	0.00	0.00
45104G104	ADR ICICI BK LTD			IBN					
116.0000	11/27/2012 09/19/2012			4,470.41	3,967.20	0.00	503.21	0.00	0.00
453038408	IMPERIAL OIL LTD NEW			IMO					
77.0000	11/27/2012 09/19/2012			3,287.88	3,739.89	0.00	-452.01	0.00	0.00
465562106	ADR ITAU UNIBANCO HLDG SA SPONSORED ADR								
259.0000	11/27/2012 09/19/2012			3,835.00	4,217.79	0.00	-382.79	0.00	0.00
466140100	ADR JGC CORP			JGCCY					
43.0000	11/27/2012 09/19/2012			2,807.41	2,725.77	0.00	81.64	0.00	0.00
501897102	ADR LI & FUNG LTD ADR			LFUGY					
1700.0000	11/27/2012 09/19/2012			5,268.35	5,322.19	0.00	-53.84	0.00	0.00
502117203	ADR L OREAL CO ADR			LRLCY					
183.0000	11/27/2012 09/19/2012			4,907.95	4,527.42	0.00	380.53	0.00	0.00
502441306	ADR LVMH MOET HENNESSY LOUIS VUITTON ADR			LVMUY					
79.0000	11/27/2012 09/19/2012			2,658.29	2,659.93	0.00	-1.64	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 10 of 20
 Recipient's Name and Address: Ref: ENH
 THEODORE W. BATTERMAN FAMILY
 FOUNDATION
 GODFREY & KAHN, S.C.
 780 NORTH WATER STREET
 MILWAUKEE, WI 53202-3590

Account Number: 26-45517
 Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
54338V101	ADR LONZA GROUP AG ADR	LZAG	424.0000	11/27/2012	09/19/2012	1,963.08	2,014.00	0.00	-50.92	0.00	0.00
62474M108	ADR MTN GROUP LTD SPONSORED ADR	MTNOY	112.0000	11/27/2012	09/19/2012	2,095.59	2,143.68	0.00	-47.09	0.00	0.00
641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	NSRGY	126.0000	11/27/2012	09/19/2012	8,111.70	7,823.34	0.00	288.36	0.00	0.00
65528V107	ADR NOKIAN TYRES OYJ ADR ADR	NKRKY	153.0000	11/27/2012	09/10/2012	3,079.82	3,343.05	0.00	-263.23	0.00	0.00
71654V408	ADR PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR	PBR	111.0000	11/27/2012	10/04/2012	2,001.73	2,562.62	0.00	-560.89	0.00	0.00
73755L107	POTASH CORP. OF SASKATCHEWAN INC	POT	71.0000	11/27/2012	09/26/2012	2,728.83	3,072.99	0.00	-344.16	0.00	0.00
771195104	ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104	RHHBY	112.0000	11/27/2012	09/19/2012	5,374.24	5,194.56	0.00	179.68	0.00	0.00
803054204	SAP AKTIENGESSELLSCHAFT SPONSORED ADR	SAP	117.0000	11/27/2012	09/19/2012	8,991.27	8,028.54	0.00	962.73	0.00	0.00
803866300	ADR SASOL LTD SPONSORED ADR	SSL	43.0000	11/27/2012	09/19/2012	1,849.82	2,013.41	0.00	-163.59	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 11 of 20

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45517
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Bonds, etc.	Cost or Other Basis				
806857108	SCHLUMBERGER LTD ISIN #AN8068571086			SLB					
87.0000	11/27/2012 09/19/2012			6,076.04	6,376.39	0.00	-300.35	0.00	0.00
80687P106	ADR SCHNEIDER ELEC SA ADR			SBGSY					
346.0000	11/27/2012 09/19/2012			4,598.24	4,529.14	0.00	69.10	0.00	0.00
83569C102	ADR SONOVA HLDG AG UNSP ADR			SONVY					
165.0000	11/27/2012 09/19/2012			3,621.67	3,323.10	0.00	298.57	0.00	0.00
86959C103	SVENSKA HANDELSBANKEN AB ADR			SVNLY					
112.0000	11/27/2012 09/19/2012			1,927.48	1,973.44	0.00	-45.96	0.00	0.00
870123106	ADR SWATCH GROUP AG ADR			SWGAY					
163.0000	11/27/2012 09/19/2012			3,820.63	3,569.24	0.00	251.39	0.00	0.00
87184P109	SYSMEX CORP ADR			SSMXY					
113.0000	11/27/2012 09/19/2012			2,478.03	2,575.27	0.00	-97.24	0.00	0.00
874039100	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR			TSM					
233.0000	11/27/2012 09/19/2012			3,915.48	3,448.40	0.00	467.08	0.00	0.00
881575302	TESCO PLC SPONSORED ADR			TSCDY					
162.0000	11/27/2012 09/19/2012			2,499.65	2,683.27	0.00	-183.62	0.00	0.00
900148701	ADR TURKIYE GARANTI BANKASI A S SPONSORED ADR			TKGBY					
960.0000	11/27/2012 09/19/2012			4,502.49	4,240.52	0.00	261.97	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 12 of 20

Ref: ENH

Recipient's Name and Address:

THEODORE W. BATTERMAN FAMILY

FOUNDATION

GODFREY & KAHN, S.C.

780 NORTH WATER STREET

MILWAUKEE, WI 53202-3590

Account Number: 26-45517

Recipient's Tax ID Number: XX-XXX8812

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY

P.O. BOX 803878

CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld		State Tax Withheld	
90460M204	ADR UNICHARM CORP SPONSORED ADR	11/27/2012	09/19/2012	UNICY		4,723.78	5,391.62	0.00	-667.84	0.00	0.00	0.00	0.00
904767704	UNILEVER PLC SPONSORED ADR NEW	11/27/2012	09/19/2012	UL		2,483.59	2,334.80	0.00	148.79	0.00	0.00	0.00	0.00
92933H101	#REORG/WPP PLC ADR MANDATORY EXCH WPP PLC	2M1JAH1 01/02/2013		WPPGY		5,149.18	5,126.96	0.00	22.22	0.00	0.00	0.00	0.00
98418R100	ADR XINYI GLASS HLDGS LTD ADS REPSTG 20 SHS	11/27/2012	09/19/2012	XYIGY		1,563.76	1,274.00	0.00	289.76	0.00	0.00	0.00	0.00
G16962105	BUNGE LIMITED	11/27/2012	09/19/2012	BG		2,327.63	2,094.08	0.00	233.55	0.00	0.00	0.00	0.00
N72482107	QIAGEN N V EURO.01	11/27/2012	09/19/2012	QGEN		2,696.56	2,701.41	0.00	-4.85	0.00	0.00	0.00	0.00
443251103	ADR HOYA CORP SPONSORED ADR	12/04/2012	09/19/2012	HOCY		74,377.01	85,714.20	0.00	-11,337.19	0.00	0.00	0.00	0.00
042068106	ARM HLDGS PLC SPONSORED ADR	12/13/2012	09/19/2012	ARMH		20,534.18	15,015.12	0.00	5,519.06	0.00	0.00	0.00	0.00
567.0000						393,594.43	391,108.80	0.00	2,485.63	0.00	0.00	0.00	0.00
Total Short Term Sales													

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 5 of 8
Ref ENH

Recipient's Name and Address:
THEODORE W. BAILEYMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-45570
Recipient's Tax ID Number: XX-XXXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2012 Short Term Sales and Portfolio Exchange Transactions

Short Term Sales are listed at Cost, less commissions and option premiums.

Cusip	Description	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
233203421	MFO DFA INVT DIMENSIONS GROUP INC EMERGING MKTS CORE EQUITY PORT	104120.4000	11/27/2012	Various	DFCEX	1,980,369.97	1,999,989.00	0.00	-19,619.03	0.00	0.00
Total Short Term Sales						1,980,369.97	1,999,989.00	0.00	-19,619.03	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 5 of 8
Ref: ENH

Recipient's Name and Address:
THEODORE W. BATTERMAN FAMILY
FOUNDATION
GODFREY & KAHN, S.C.
780 NORTH WATER STREET
MILWAUKEE, WI 53202-3590

Account Number: 26-95411
Recipient's Tax ID Number: XX-XXX8812

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
				Stocks	Cost or Other Basis				
				Bonds, etc.					
Long Term 15% Sales									
0075W0759	EDGEWOOD GROWTH FUND			EGFIX					
18149.8200	10/18/2012	12/22/2010		251,012.00	203,822.48	0.00	47,189.52	0.00	0.00
Total Long Term 15% Sales				251,012.00	203,822.48	0.00	47,189.52	0.00	0.00

This is important tax information and is being furnished to you.

30-6212756

[illegible]

30-6212756

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
60995.6 MFB NORTHERN FUNDS BD INDEX FD	09/01/2009	08/21/2012	672,171.48	631,914.40	40,257.08
953736.94 MFB NORTHERN FUNDS BD INDEX FD	09/01/2009	09/07/2012	10567405.13	9696740.80	870,664.33
TOTAL 15% RATE CAPITAL GAINS (LOSSES)			11239577.00	10328655.00	910,921.00
Totals			11239577.00	10328655.00	910,921.00

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE BOND FUNDS
As of December 31, 2012

<u>Shares</u>	<u>Description</u>	<u>End of Year Book Value</u>	<u>End of Year Fair Market Value</u>
456,984	MFO Pimco Fds Pac Invt Mgmt Ser High Yield Fd Instl Cl	\$ 4,345,918	\$ 4,405,326
283,120	MFO Pimco Fds Pac Invt Mgmt Ser Total Return Fd Instl C	3,254,969	3,182,273
13,600	MFC IShares Intermediate Credit	<u>1,508,652</u>	<u>1,513,544</u>
Total Corporate Bond Funds		<u>\$ 9,109,539</u>	<u>\$ 9,101,143</u>

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS

As of December 31, 2012

Shares	Description	End of Year Book Value	End of Year Fair Market Value
477	#Reorg/Teavana Hldgs Cash Merger 12/31/12	\$ 5,801	\$ 7,394
1,456	#Reorg/WPP Plc Adr Mandatory Ex WPP Plc 2M1Jah1 01/02/2013	98,222	106,142
3,856	8X8 Inc New Com	23,472	28,496
1,715	Abbott Lab Com	115,219	112,333
712	Ada-Es Inc Com Stk	15,849	12,019
6,461	Adr AIA Group Ltd Sponsored Adr	95,188	100,863
6,030	Adr Air Liquide Adr	147,614	153,464
982	Adr Anheuser Busch Inbev SA/NV Sponsored Adr	82,948	85,837
4,392	Adr ARM Hlds Plc Sponsored ISIN US0420681068	116,308	166,149
2,712	Adr Atlas Copco AB Sponsored Adr New Repstg Com Ser A	62,701	74,330
3,932	Adr BG Plc Adr Final Instmt New	80,842	65,704
1,556	Adr Cochlear Ltd Adr Unspn	53,760	63,891
2,584	Adr CSL Ltd Adr	58,734	72,298
1,290	Adr Dassault Sys SA Sponsored Adr	127,555	145,886
1,298	Adr DBS Group Hldgs Ltd Sponsored Adr	61,240	63,680
1,538	Adr Glaxosmithkline Plc Sponsored Adr	69,842	66,857
922	Adr HSBC Hldgs Plc Sponsored Adr New	41,528	48,931
2,209	Adr ICICI Bk Ltd	75,548	96,334
6,397	Adr Itau Unibanco Hldg SA Sponsored Adr Repstg 500 Pfd Pfd Adr	102,938	105,295
1,235	Adr JGC Corp	80,070	76,444
3,490	Adr LOreal Co Adr	86,343	97,650
32,512	Adr Li & Fung Ltd Adr	101,785	114,767
8,108	Adr Lonza Group Ag Adr	38,513	43,726
1,510	Adr LVMH Moet Hennessy Louis Vuitton Adr	50,842	56,912
2,142	Adr MTN Group Ltd Sponsored Adr	40,998	44,838
2,409	Adr Nestle SA Sponsored Adr Repstg Reg Sh	149,575	156,995
2,933	Adr Nokian Tyres Oyj Adr Adr	64,086	58,197
1,400	Adr Novartis AG	82,893	88,620
2,787	Adr Oao Gazprom Level 1 Adr	28,845	27,118
2,193	Adr Petroleo Brasileiro SA Petrobras Sponsored Adr	50,629	42,698
2,145	Adr Roche Hldg Ltd Sponsored Adr ISIN #US771195104	99,485	108,323
16	Adr Royal Dutch Shell Plc Sponsored Adr Repstg A Shs	1,150	1,118
2,234	Adr SAP AG Sponsored Adr	153,297	179,569
827	Adr Sasol Ltd Sponsored Adr	38,723	35,801
6,619	Adr Schneider Elec SA Adr	86,643	97,895
3,158	Adr Sonova Hldg AG Unsp Adr	63,602	69,969
3,116	Adr Swatch Group AG Adr	68,232	78,501
3,095	Adr Tesco Plc Sponsored Adr	51,264	51,315
18,389	Adr Turkiye Garanti Bankası AS Sponsored Adr Repstg 2000 Shs	81,228	95,623
8,924	Adr Unicharm Corp Sponsored Adr	103,251	92,783
1,236	Adr Unilever Plc Sponsored Adr New	44,397	47,858

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS

As of December 31, 2012

Shares	Description	End of Year Book Value	End of Year Fair Market Value
2,671	Adr Xinyi Glass Hldgs Ltd Ads Repstg 20 Shs	24,306	32,944
808	Advent Software Inc Com Stk	19,474	17,275
746	Advisory Brd Co Com	34,248	34,905
144	Affiliated Managers Group Inc Com Stk	17,510	18,742
2,284	Aflac Inc Com	110,301	121,326
951	Akorn Inc Com	12,782	12,705
297	Align Technology Inc Com	10,996	8,242
7,509	Allianz Se Adr Each Rep 1/10 Ord Shs	88,907	103,774
5,763	Altria Group Inc Com	198,973	181,073
3,104	Amer Movil SAB de CV Sponsored Adr Repstg Ser L Shs	78,376	71,827
2,982	American Cap Agy Corp Com Reit Fund	105,801	86,299
670	Ametek Inc New Com	23,813	25,172
464	Amphenol Corp New Cl A	28,682	30,021
2,361	Analog Devices Inc Com	94,853	99,304
941	Ansys Inc Com	68,277	63,367
1,149	Apache Corp Com	103,594	90,197
2,755	Apartment Invt & Mgmt Co Cl A	73,637	74,550
3,236	Apollo Group Inc Cl A	76,124	67,697
156	Apple Inc Com Stk	104,225	83,153
1,191	Aruba Networks Inc Com	25,297	24,713
1,779	AT&T Inc Com	66,853	59,970
1,263	Automatic Data Processing Inc Com	74,905	72,004
1,181	Bank NS Halifax com Stk	63,917	68,356
1,626	Baxter Intl Inc Com	101,061	108,389
1,952	BCE Inc Com New	88,632	83,819
933	Bio-Reference Labs Inc Com Par \$0.01 New	25,710	26,768
564	BJs Restaurants Inc Com	24,352	18,556
420	Blackrock Inc Com Stk	75,282	86,818
1,081	Bottomline Technologies (de) Inc Com	25,448	28,528
3,162	Bristol Myers Squibb Co Com	105,291	103,050
329	Buffalo Wild Wings Inc Com Stk	26,990	23,958
616	Bunge Ltd	40,311	44,777
254	CH Robinson Worldwide Inc Com New Com New	14,561	16,058
104	CR Bard	10,377	10,165
824	Canadian Natl Ry Co Com	76,391	74,992
1,440	Catamaran Corp	66,262	67,838
756	Caterpillar Inc Com	66,358	67,722
773	Cavium Inc Com	26,761	24,125
2,724	Cboe Holdings Inc Com	80,249	80,249
483	Cdn Imperial Bk Comm Toronto Ont Com Stk	38,169	38,935
403	Cerner Corp Com	29,836	31,289

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS

As of December 31, 2012

Shares	Description	End of Year Book Value	End of Year Fair Market Value
416	Cf Inds Hldgs Inc Com	89,203	84,515
1,344	Chevron Corp Com	153,317	145,340
53	Chipotle Mexican Grill Inc Com Stk	17,750	15,765
581	Church & Dwight Inc Com	31,927	31,124
4,338	Cisco Systems Inc	85,940	85,242
323	Citrix Sys Inc Com	26,006	21,237
286	Clean Hbrs Inc Com	15,308	15,733
1,909	Cliffs Nat Res Inc Com Stk	76,952	73,611
1,916	Coach Inc Com	120,148	106,357
2,362	Coca Cola Co Com	89,217	85,623
435	Cognizant Technology Solutions Corp Cl A Cl A	29,221	32,212
559	Colgate-Palmolive Co Com	58,000	58,438
1,480	comScore Inc Com	22,327	20,394
232	Concho Res Inc Com Stk	22,033	18,690
1,376	ConocoPhillips Com	77,785	79,794
877	Copa Holdings SA Com Stk	72,300	87,218
688	Copart Inc Com	18,851	20,296
344	CoStar Group Inc Com	28,259	30,743
1,013	Crescent Point Energy Corp Com	42,404	38,312
750	Cummins Inc	73,640	81,263
1,610	Dealertrack Technology Com	45,128	46,239
322	Dentsply Intl Inc New Com	12,033	12,754
641	Dicks Sporting Goods Inc Oc-Com	33,029	29,159
1,322	Digital Rlty Tr Inc Com	96,877	89,751
2,334	Discover Finl Svcs Com Stk	89,765	89,976
743	Dorman Prods Inc Com	22,825	26,258
472	Dril-Quip Inc Com	34,334	34,480
709	East West Bancorp Inc Com	15,816	15,236
2,518	Eaton Vance Corp Com Non Vtg	71,143	80,198
670	Ebix Inc Formerly Ebix Com Inc To 01/02/2004 Com New Com	16,329	10,767
1,782	Echo Global Logistics Inc Com	31,767	32,023
226	Edwards Lifesciences Corp Com	23,281	20,378
1,703	Eli Lilly & Co Com	79,564	83,992
502	Ellie Mae Inc Com Stk	13,144	13,931
1,891	Emerson Electric Co Com	94,787	100,147
2,455	Endo Health Solutions Inc	81,779	64,493
586	Epam Sys Inc Com Stk	12,148	10,607
1,443	Exlservice Com Inc Com Stk	39,174	38,240
1,124	Exxon Mobil Corp Com	100,969	97,282
637	Ezcorp Inc Cl A Non Vtg	15,437	12,651
183	F5 Networks Inc Com Stk	17,613	17,778

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS

As of December 31, 2012

Shares	Description	End of Year Book Value	End of Year Fair Market Value
207	Factset Resh Sys Inc Com Stk	19,799	18,228
4,219	Fanuc Corporation	117,211	131,084
642	Fastenal Co Com	27,354	29,975
5,275	Fedt Investors Inc Cl B	111,637	106,713
290	Fiserv Inc Com	21,043	22,919
512	FMC Technologies Inc Com	24,770	21,929
2,954	Fresenius Med Care AG & Co KGAA	106,623	101,322
1,661	Gallagher Arthur J & Co Com	60,290	57,554
4,835	General Electric Co	106,143	101,487
282	Genesee & Wyo Inc Cl A Cl A	18,529	21,455
2,617	Gentherm Inc Com Npv	32,742	34,806
1,130	Genuine Parts Co Com	70,978	71,845
1,458	Gilead Sciences Inc	87,349	107,090
1,045	Greenway Med Technologies Inc Com Stk	15,902	16,051
2,299	H J Heinz	129,466	132,606
3,031	Halliburton Co Com	104,845	105,145
1,866	HCP Inc Com Reit	86,367	84,306
1,490	Health Care Reit Inc Com	86,580	91,322
1,854	Herbalife Ltd Com Stk	93,608	61,071
646	Hibbett Sports Inc Com Stk	38,154	34,044
10,101	Hlth Mgmt Assoc Inc New Cl A Com	80,208	94,141
860	HMS Hldgs Corp Com	29,193	22,291
2,366	Hollyfrontier Corp Com	94,376	110,137
378	Ilex Corp Com	15,654	17,588
201	Idexx Labs Inc	19,796	18,653
307	IHS Inc Com Cl A Com Cl A	36,250	29,472
1,468	Imperial Oil Ltd Com New	71,301	63,124
1,875	Innerworkings Inc Com	23,390	25,838
4,962	Intel Corp Com	115,566	102,366
157	IntercontinentalExchange Inc Com	21,426	19,438
440	International Business Machs Corp Com	88,755	84,282
425	Intuit Com	25,356	25,288
710	IPC The Hospitalist Co Inc Stk	32,798	28,194
1,397	Johnson & Johnson Com USD1	95,667	97,930
1,317	Joy Global Inc Com	73,494	83,998
1,301	Kimberly-Clark Corp Com	107,368	109,843
3,483	Kinder Morgan Inc Del Com	125,107	123,054
1,934	Kraft Foods Group Inc Com	88,855	87,939
323	Lincoln Elec Hldgs Inc Com	13,569	15,724
462	Liquidity Svcs Inc Com Stk	24,886	18,877
4,206	LKQ Corp Com LKQ Corp	82,776	88,747

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS

As of December 31, 2012

Shares	Description	End of Year Book Value	End of Year Fair Market Value
929	Lockheed Martin Corp Com	85,775	85,737
804	Logmein Inc Com	18,792	18,018
1,631	Marathon Pete Corp Com	85,761	102,753
2,609	Mattel Inc Com	93,402	95,542
240	McCormick & Co Inc Com Non-Vtg	15,119	15,247
1,772	Mc Donalds Corp Com	162,081	156,308
985	Merck & Co Inc New Com	43,714	40,326
1,105	M/A-Com Technology Solutions Hldgs Inc Com Stk	13,627	16,542
534	Micros Sys Inc Com	27,894	22,663
2,137	Microsoft Corp Com	66,338	57,122
1,290	Mistras Group Inc Com	28,950	31,850
720	Monro Muffler Brake Inc Com	26,695	25,178
492	MWI Veterinary Supply Inc Com Stk	52,306	54,120
1,805	National Retail Pptys Inc Com Stk	56,195	56,316
507	Neogen Corp Com	19,797	22,977
918	Newfield Exploration	31,002	24,584
302	Newmarket Corp Com	79,780	79,184
552	NextEra Energy Inc Com	37,702	38,193
333	O'Reilly Automotive Inc New Com USD0.01	28,420	29,777
767	Oasis Pete Inc New Com Stk	23,730	24,391
282	Oil Sts Intl Inc Com ISIN US6780261052	23,185	20,174
2,559	Oneok Inc Com Stk	118,171	109,397
209	Panera Bread Co Cl A	33,393	33,195
386	Parexel Intl Corp Com	12,368	11,422
4,621	Patterson-UTI Energy Inc Com	80,409	86,089
230	Perrigo Co Com	25,689	23,927
2,515	Philip Morris Intl Com Stk NPV	223,122	210,355
272	Polaris Inds Inc Com	22,130	22,889
1,880	Potash Corp Sask Inc Com	80,157	76,497
735	Praxair Inc Com	78,797	80,446
1,394	Procter & Gamble Com Npv	95,436	94,639
888	Proto Labs Inc Com	31,640	35,005
2,800	Qiagen NV Com	51,808	50,820
221	Range Res Corp Com	15,077	13,885
1,122	Realpage Inc Com Stk	27,536	24,202
390	Red Hat Inc Com	22,881	20,654
899	Rlty Inc Corp Com	38,328	36,149
265	Roper Inds Inc New Com	27,890	29,542
982	Ross Stores Inc Com	66,107	53,175
6,330	RPC Inc Com	83,291	77,479
2,094	Rpm Intl Inc	59,566	61,480

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF CORPORATE STOCKS

As of December 31, 2012

Shares	Description	End of Year Book Value	End of Year Fair Market Value
1,677	Schlumberger Ltd Com Com	122,910	116,199
499	Scquest Inc New Com	8,402	7,914
620	Shutterfly Inc Com	19,141	18,519
283	Sigma-Aldrich Corp Com	20,778	20,823
399	Signature Bk NY NY Com	26,120	28,465
301	Smucker JM Co Com New Com New	25,918	25,958
2,149	Southern Co Com Stk	98,518	91,999
1,046	Southn Copper Corp Del Com	38,123	39,602
292	Stericycle Inc Com	27,222	27,235
2,147	Svenska Handelsbanken AB Adr	37,830	38,710
428	Syntel Inc Com	25,470	22,937
3,068	Sysmex Corp Adr	70,638	70,343
6,135	Taiwan Semiconductor Mfg Co Ltd Sponsored Adr	94,549	105,277
1,787	Tangoe Inc Com Stk	24,674	21,212
284	Teradata Corp Del Com Stk	21,732	17,577
883	Tillys Inc Cl A	12,378	11,912
2,513	TJX Cos Inc Com New	116,000	106,677
341	Tractor Supply Co Com	34,258	30,131
469	Trimble Nav Ltd Com	24,496	28,037
130	Ulta Salon Cosmetics & Fragrance Inc Com Stk	13,075	12,774
244	Ultimate Software Group Inc Com	24,827	23,036
1,709	Ultra Pete Corp Com Npv	39,616	30,984
314	Under Armor Inc Cl A	17,317	15,238
1,326	United Technologies Corp Com	104,815	108,745
718	Utd Therapeutics Corp Del Com Stk	38,746	38,356
407	Varian Medical Systems Inc	24,465	28,588
879	Vermilion Energy Inc Com	41,322	45,953
146	Wabtec Corp Com	12,455	12,780
2,307	Waddell & Reed Finl Inc Cl A Com	73,147	80,329
2,266	Westn Digital Corp Com	94,178	96,281
3,675	Williams Co Inc Com	124,040	120,319
1,309	Yum! Brands Inc Com	87,161	86,917
470	Zumiez Inc Com	12,656	9,122
Total Corporate Stocks		<u>\$ 13,922,801</u>	<u>\$ 14,009,596</u>

THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.

SCHEDULE OF EQUITY FUNDS
As of December 31, 2012

<u>Shares</u>	<u>Description</u>	<u>End of Year Book Value</u>	<u>End of Year Fair Market Value</u>
338,521	MFO Advisors Inner Circle Fund Edgewood Growth Instl Cl	<u>\$ 3,801,586</u>	<u>\$ 4,685,124</u>
	Total Equity Funds	<u>\$ 3,801,586</u>	<u>\$ 4,685,124</u>

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NORTHERN TRUST COMPANY (02832)	P	VARIOUS	VARIOUS
b	NORTHERN TRUST COMPANY (02832)	P	VARIOUS	VARIOUS
c	NORTHERN TRUST COMPANY (16513)	P	VARIOUS	VARIOUS
d	NORTHERN TRUST COMPANY (17913)	P	VARIOUS	VARIOUS
e	NORTHERN TRUST COMPANY (17913)	P	VARIOUS	VARIOUS
f	NORTHERN TRUST COMPANY (17913)	P	VARIOUS	VARIOUS
g	NORTHERN TRUST COMPANY (73587)	P	VARIOUS	VARIOUS
h	NORTHERN TRUST COMPANY (73587)	P	VARIOUS	VARIOUS
i	NORTHERN TRUST COMPANY (45512)	P	VARIOUS	VARIOUS
j	NORTHERN TRUST COMPANY (45514)	P	VARIOUS	VARIOUS
k	NORTHERN TRUST COMPANY (45515)	P	VARIOUS	VARIOUS
l	NORTHERN TRUST COMPANY (45516)	P	VARIOUS	VARIOUS
m	NORTHERN TRUST COMPANY (45517)	P	VARIOUS	VARIOUS
n	NORTHERN TRUST COMPANY (45570)	P	VARIOUS	VARIOUS
o	NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,370,483.		1,350,610.	19,873.
b 5,628,165.		3,715,077.	1,913,088.
c 6,325,212.		5,198,882.	1,126,330.
d 1,662,045.		1,590,409.	71,636.
e 5,940,557.		7,487,218.	-1,546,661.
f 5,960.			5,960.
g 1,765,354.		1,697,908.	67,446.
h 3,930,059.		3,691,921.	238,138.
i 328,701.		351,759.	-23,058.
j 605,718.		649,789.	-44,071.
k 69,923.		72,570.	-2,647.
l 163,374.		166,499.	-3,125.
m 393,594.		391,109.	2,485.
n 1,980,370.		1,999,989.	-19,619.
o 1,080.			1,080.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			19,873.
b			1,913,088.
c			1,126,330.
d			71,636.
e			-1,546,661.
f			5,960.
g			67,446.
h			238,138.
i			-23,058.
j			-44,071.
k			-2,647.
l			-3,125.
m			2,485.
n			-19,619.
o			1,080.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-	P	VARIOUS	VARIOUS
b	NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K	P	VARIOUS	VARIOUS
c	NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K	P	VARIOUS	VARIOUS
d	NORTHERN TRUST PRIVATE EQUITY FUND (QP) IV, LP K-	P	VARIOUS	VARIOUS
e	NORTHERN TRUST PRIVATE EQUITY FUND (QP) IV, LP K-	P	VARIOUS	VARIOUS
f	NORTHERN TRUST MULTI-ADVISOR FUNDS GLOBAL EMERGIN	P	VARIOUS	VARIOUS
g	NORTHERN TRUST MULTI-ADVISOR FUNDS GLOBAL EMERGIN	P	VARIOUS	VARIOUS
h	NORTHERN TRUST COMPANY (95411)	P	VARIOUS	VARIOUS
i	NORTHERN TRUST COMPANY (12107)	P	VARIOUS	VARIOUS
j	NORTHERN TRUST COMPANY (12107)	P	VARIOUS	VARIOUS
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 309,037.			309,037.
b 4,583.			4,583.
c 509,995.			509,995.
d 5,994.			5,994.
e 17,156.			17,156.
f 164.			164.
g 1,282.			1,282.
h 251,012.		203,822.	47,190.
i 540,161.		537,661.	2,500.
j 11,239,576.		10,328,655.	910,921.
k 33,987.			33,987.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			309,037.
b			4,583.
c			509,995.
d			5,994.
e			17,156.
f			164.
g			1,282.
h			47,190.
i			2,500.
j			910,921.
k			33,987.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	3,649,664.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CONCORDIA UNIVERSITY WISCONSIN 12800 N. LAKE SHORE DRIVE MEQUON, WI 53097	N/A	PUBLIC CHARITY	RENEWAL OF SCHOLARSHIP PROGRAM	42,000.
CONCORDIA UNIVERSITY WISCONSIN 12800 N. LAKE SHORE DRIVE MEQUON, WI 53097	N/A	PUBLIC CHARITY	ENVIRONMENTAL EDUCATION CENTER	500,000.
CONCORDIA UNIVERSITY WISCONSIN 12800 N. LAKE SHORE DRIVE MEQUON, WI 53097	N/A	PUBLIC CHARITY	NURSING CLINICAL EDUCATION IN BELIZE	33,600.
DISCOVERY WORLD AT PIER WISCONSIN 500 NORTH HARBOR DRIVE MILWAUKEE, WI 53202	N/A	PUBLIC CHARITY	DISCOVERER'S FUND SCHOLARSHIPS	50,000.
DIVINE REDEEMER LUTHERAN CHURCH AND SCHOOL 31385 WEST HILL ROAD HARTLAND, WI 53029	N/A	PUBLIC CHARITY	COUNSELING GUIDANCE PROGRAM	55,000.
DWIGHT FOSTER PUBLIC LIBRARY 102 E. MILWAUKEE AVENUE FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	FOSTER GROWTH CAPITAL CAMPAIGN	120,000.
FIRST LUTHERAN CHURCH 10207 N.E. 183RD STREET BOTHELL, WA 98011	N/A	PUBLIC CHARITY	SANCTUARY IMPROVEMENTS	127,500.
FORT ATKINSON COMMUNITY FOUNDATION 244 N. MAIN STREET FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	RENEWAL OF SCHOLARSHIP PROGRAM	63,000.
FORT ATKINSON COMMUNITY FOUNDATION 244 N. MAIN STREET FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	CONSULTING SERVICES	7,500.
FORT ATKINSON SCHOOL DISTRICT 201 PARK STREET FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	COMPUTER EQUIPMENT	10,000.
Total from continuation sheets				3,517,707.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HALES CORNERS LUTHERAN CHURCH 12300 W. JANESVILLE ROAD HALES CORNERS, WI 53130	N/A	PUBLIC CHARITY	INFUSE HIGH SCHOOL YOUTH MINISTRY	23,218.
HARVEST CHRISTIAN FELLOWSHIP 6115 ARLINGTON AVENUE RIVERSIDE, CA 92504	N/A	PUBLIC CHARITY	HARVEST AMERICA	100,000.
HILLSIDE HEALTH CARE INTERNATIONAL 6650 W. STATE STREET UNIT D#111 MILWAUKEE, WI 53213	N/A	PUBLIC CHARITY	VEHICLE	35,000.
HILLSIDE HEALTH CARE INTERNATIONAL 6650 W. STATE STREET UNIT D#111 MILWAUKEE, WI 53213	N/A	PUBLIC CHARITY	BELIZE NURSING PROGRAM	28,500.
HOPE CHRISTIAN SCHOOLS 2120 PEWAUKEE ROAD WAUKESHA, WI 53188	N/A	PUBLIC CHARITY	DEVELOP HIGH-PERFORMING URBAN HIGH SCHOOL	100,000.
HOPE LUTHERAN CHURCH 260 VINCENT STREET FOND DU LAC, WI 54935	N/A	PUBLIC CHARITY	FEED MY STARVING CHILDREN PROGRAM	10,000.
HOPE LUTHERAN CHURCH 260 VINCENT STREET FOND DU LAC, WI 54935	N/A	PUBLIC CHARITY	PASTORAL LEADERSHIP INITIATIVE TRAINING AND ADOPTION PROGRAM	4,250.
HYDROCEPHALUS ASSOCIATION 4340 EAST WEST HIGHWAY SUITE 905 BETHESDA, MD 20814	N/A	PUBLIC CHARITY	MID-CAREER RESEARCH PROGRAM	200,000.
IMMANUEL LUTHERAN CHURCH 2317 S. WOLF ROAD HILLSDALE, IL 60162	N/A	PUBLIC CHARITY	INCREASE DAY SCHOOL ENROLLMENT	51,800.
JEFFERSON COMMUNITY FOUNDATION P.O. BOX 81 JEFFERSON, WI 53549	N/A	PUBLIC CHARITY	EQUIPMENT	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LCMS 1333 S. KIRKWOOD ROAD ST. LOUIS, MO 63122	N/A	PUBLIC CHARITY	KITCHEN/DINING HALL	250,000.
LUTHERAN HIGH SCHOOL ASSOCIATION OF GREATER MILWAUKEE 5201 SOUTH 76TH STREET GREENDALE, WI 53129	N/A	PUBLIC CHARITY	ROAD CONSTRUCTION	511,931.
LUTHERAN SPECIAL SCHOOL 9700 W. GRANTOSA DRIVE MILWAUKEE, WI 53222	N/A	PUBLIC CHARITY	OPERATIONS	8,000.
LUTHERAN URBAN MISSION INITIATIVE 8242 N. GRANVILLE ROAD MILWAUKEE, WI 53224	N/A	PUBLIC CHARITY	FAMILY CONNECTIONS SOCIAL WORKER	20,000.
MAYO CLINIC 200 FIRST STREET SW ROCHESTER, MN 55905	N/A	PUBLIC CHARITY	PANCREATIC CANCER RESEARCH	50,000.
MILWAUKEE SCHOOL OF ENGINEERING 1025 NORTH BROADWAY MILWAUKEE, WI 53202	N/A	PUBLIC CHARITY	SCHOLARSHIP RENEWAL	64,890.
OCONOMOWOC PUBLIC LIBRARY 200 SOUTH STREET OCONOMOWOC, WI 53066	N/A	PUBLIC CHARITY	TEEN AREA	10,000.
OUR REDEMER LUTHERAN CHURCH 10025 WEST NORTH AVENUE WAUWATOSA, WI 53226	N/A	PUBLIC CHARITY	INDIA MISSION	7,060.
PANCREATIC CANCER ACTION NETWORK 1500 ROSECRANS AVENUE SUITE 200 MANHATTAN BEACH, CA 90266	N/A	PUBLIC CHARITY	PANCREATIC CANCER RESEARCH	100,000.
POBLO INTERNATIONAL 922 N. BEECH DALY ROAD DEARBORN HEIGHTS, MI 48127	N/A	PUBLIC CHARITY	MILWAUKEE MUSLIM OUTREACH	140,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RONALD MCDONALD HOUSE CHARITIES OF MADISON 2716 MARSHALL COURT MADISON, WI 53705	N/A	PUBLIC CHARITY	FAMILY ROOM	30,000.
SALVATION ARMY MILWAUKEE 11315 W. WATERTOWN PLANK ROAD P.O. BOX 26019 WAUWATOSA, WI 53226	N/A	PUBLIC CHARITY	CHRISTMAS TREE OF LIGHTS CAMPAIGN	55,000.
SCHOOLS THAT CAN MILWAUKEE 1821 N. DR MARTIN LUTHER KING DRIVE UNIT A MILWAUKEE, WI 53212	N/A	PUBLIC CHARITY	START-UP OPERATIONS	50,000.
ST. JOHN LUTHERAN CHURCH 232 E. CHURCH STREET JEFFERSON, WI 53549	N/A	PUBLIC CHARITY	SPARK PHYSICAL EDUCATION EQUIPMENT	10,000.
ST. MARCUS LUTHERAN CHURCH AND SCHOOL 2215 NORTH PALMER STREET MILWAUKEE, WI 53212	N/A	PUBLIC CHARITY	CAMPUS EXPANSION	175,000.
ST. PAUL'S LUTHERAN SCHOOL 210 S. RINGOLD STREET JANESVILLE, WI 53545	N/A	PUBLIC CHARITY	ACADEMIC AND TECHNOLOGY NEEDS	44,128.
ST. PETER'S EVANGELICAL LUTHERAN CHURCH AND SCHOOL W3255 HWY 18 HELENVILLE, WI 53137	N/A	PUBLIC CHARITY	BUILDING EXPANSION	10,000.
THE ESCAPE OF FORT ATKINSON 312 BLUFF STREET FORT ATKINSON, WI 53538	N/A	PUBLIC CHARITY	4C'S TEEN LEADERSHIP AND COMMUNITY SERVICE PROJECT	10,000.
TOMORROW'S HOPE 147 W. ROCKWELL STREET JEFFERSON, WI 53549	N/A	PUBLIC CHARITY	JEFFERSON COUNTY HEALTH ORGANIZATIONS	20,000.
WHEAT RIDGE MINISTRIES ONE PIERCE PLACE SUITE 250E ITASCA, IL 60143	N/A	PUBLIC CHARITY	THE BURST GRANTS PROJECT	70,830.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WISCONSIN ASSOCIATION OF INDEPENDENT COLLEGES AND UNIVERSITIES 122 W. WASHINGTON AVENUE STE 700 MADISON, WI 53703	N/A	PUBLIC CHARITY	COLLEGE TO WORK PROGRAM	114,750.
WISCONSIN CONSERVATORY OF MUSIC, INC. 1584 NORTH PROSPECT AVENUE MILWAUKEE, WI 53202	N/A	PUBLIC CHARITY	JAZZ INSTITUTE	105,250.
WISCONSIN CONSERVATORY OF MUSIC, INC. 1584 NORTH PROSPECT AVENUE MILWAUKEE, WI 53202	N/A	PUBLIC CHARITY	BATTERMAN ENSEMBLE JAZZ COMPETITION EXPENSES	8,293.
WISCONSIN LUTHERAN CHAPEL 220 W. GILMAN STREET MADISON, WI 53703	N/A	PUBLIC CHARITY	MINISTRY EXPANSION	15,000.
WISCONSIN LUTHERAN CHILDREN & FAMILY SERVICE P.O. BOX 245039 MILWAUKEE, WI 35224	N/A	PUBLIC CHARITY	MEMBER ASSISTANCE PROGRAM	15,000.
WYCLIFF BIBLE TRANSLATORS 11221 JOHN WYCLIFFE BLVD., P.O. BOX 628200 ORLANDO, FL 32862	N/A	PUBLIC CHARITY	SUPPORT MORRIS AND WENDY JOHNSON MINISTRY	40,000.
ZOOLOGICAL SOCIETY OF MILWAUKEE COUNTY 10005 WEST BLUE MOUND ROAD MILWAUKEE, WI 53226	N/A	PUBLIC CHARITY	ANIMAL AMBASSADOR CONTINUUM	10,707.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
NORTHERN TRUST COMPANY (12107) - OTHER INTEREST INCOME	-41,912.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-1	13,867.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K-1	29,417.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) IV, LP K-1	4,288.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	5,660.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
NORTHERN TRUST COMPANY (02832) - DIVIDENDS	57,130.	0.	57,130.
NORTHERN TRUST COMPANY (02832) - FOREIGN DIVIDENDS	370.	0.	370.
NORTHERN TRUST COMPANY (02906) - DIVIDENDS	66.	24.	42.
NORTHERN TRUST COMPANY (12107) - DIVIDENDS	474,438.	31,435.	443,003.
NORTHERN TRUST COMPANY (17913) - DIVIDENDS	1,417.	1,393.	24.
NORTHERN TRUST COMPANY (17913) - FOREIGN DIVIDENDS	206,836.	0.	206,836.
NORTHERN TRUST COMPANY (17923) - DIVIDENDS	1.	0.	1.
NORTHERN TRUST COMPANY (45512) - DIVIDENDS	30,800.	64.	30,736.
NORTHERN TRUST COMPANY (45512) - FOREIGN DIVIDENDS	3,963.	0.	3,963.
NORTHERN TRUST COMPANY (45514) - DIVIDENDS	51,090.	996.	50,094.
NORTHERN TRUST COMPANY (45514) - FOREIGN DIVIDENDS	2,536.	0.	2,536.
NORTHERN TRUST COMPANY (45515) - DIVIDENDS	3,285.	0.	3,285.
NORTHERN TRUST COMPANY (45516) - DIVIDENDS	1,164.	0.	1,164.
NORTHERN TRUST COMPANY (45517) - DIVIDENDS	10.	0.	10.
NORTHERN TRUST COMPANY (45517) - FOREIGN DIVIDENDS	9,303.	0.	9,303.
NORTHERN TRUST COMPANY (45570) - DIVIDENDS	7.	0.	7.

NORTHERN TRUST COMPANY (73587) - DIVIDENDS	47,905.	75.	47,830.
NORTHERN TRUST COMPANY (73587) - FOREIGN DIVIDENDS	12,500.	0.	12,500.
NORTHERN TRUST COMPANY (95411) - DIVIDENDS	8,970.	0.	8,970.
NORTHERN TRUST METRO REAL ESTATE PARTNERS GLOBAL VI, LP K-1	151.	0.	151.
NORTHERN TRUST MULTI-ADVISOR FUNDS GLOBAL EMERGING MARKETS FUND K-1	855.	0.	855.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-1	26,162.	0.	26,162.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K-1	68,723.	0.	68,723.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) IV, LP K-1	6,494.	0.	6,494.
TOTAL TO FM 990-PF, PART I, LN 4	1,014,176.	33,987.	980,189.

FORM 990-PF	OTHER INCOME	STATEMENT	3
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-1	6,190.	6,190.	0.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K-1	46,919.	46,919.	0.
NORTHERN TRUST PRIVATE EQUITY FUND (QP) IV, LP K-1	118.	118.	0.
NORTHERN TRUST MULTI-ADVISOR FUNDS GLOBAL EMERGING MARKETS FUND K-1	-107.	-107.	0.
NORTHERN TRUST METRO REAL ESTATE PARTNERS GLOBAL VI, LP K-1	24.	24.	0.
NORTHERN TRUST COMPANY (17923) - OTHER INTEREST INCOME	8,857.	8,857.	0.
TIMING DIFF FOR TR VS FS	0.	0.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	62,001.	62,001.	0.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	12,125.	0.	0.	12,125.	
TO FORM 990-PF, PG 1, LN 16B	12,125.	0.	0.	12,125.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEES	595,856.	595,856.	0.	0.	
AGENCY FEES	122,585.	40,176.	0.	82,409.	
LEGAL	30,579.	0.	0.	30,579.	
TO FORM 990-PF, PG 1, LN 16C	749,020.	636,032.	0.	112,988.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	25,055.	25,055.	0.	0.	
EXCISE TAX	5,000.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	30,055.	25,055.	0.	0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MISCELLANEOUS EXPENSES	6,890.	0.	0.	6,890.	
TO FORM 990-PF, PG 1, LN 23	6,890.	0.	0.	6,890.	

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	8
DESCRIPTION	AMOUNT				
NORTHERN TRUST PRIVATE EQUITY FUND (QP) II, LP K-1 - NON-DEDUCTIBLE EXPENSES	39.				
NORTHERN TRUST PRIVATE EQUITY FUND (QP) III, LP K-1 - NON-DEDUCTIBLE EXPENSE	984.				
NORTHERN TRUST PRIVATE EQUITY FUND (QP) IV, LP K-1 - NON-DEDUCTIBLE EXPENSE	162.				
NORTHERN TRUST METRO REAL ESTATE PARTNERS GLOBAL VI, LP K-1	21.				
TOTAL TO FORM 990-PF, PART III, LINE 5	1,206.				

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	9
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
U.S. GOVERNMENT OBLIGATIONS - SEE ATTACHED STMT	X		9,109,539.	9,101,143.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			9,109,539.	9,101,143.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			9,109,539.	9,101,143.	

FORM 990-PF	CORPORATE STOCK	STATEMENT 10
-------------	-----------------	--------------

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS - SEE ATTACHED STMT	13,922,801.	14,009,596.
EQUITY FUNDS - SEE ATTACHED STMT	3,801,586.	4,685,124.
TOTAL TO FORM 990-PF, PART II, LINE 10B	17,724,387.	18,694,720.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 11
-------------	-------------------	--------------

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENT IN PARTNERSHIP	COST	12,070,236.	14,490,784.
INVESTMENT IN HEDGE FUND	COST	2,600,000.	2,683,349.
OTHER RECEIVABLE	COST	322,906.	322,906.
TOTAL TO FORM 990-PF, PART II, LINE 13		14,993,142.	17,497,039.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
THEODORE W. BATTERMAN 625 WALNUT RIDGE DR. HARTLAND, WI 53029	PRES/TRES/DIR 0.00	0.	0.	0.
LINDA C. BATTERMAN JOHNSON 625 WALNUT RIDGE DR. HARTLAND, WI 53029	DIRECTOR 0.00	0.	0.	0.
CHRISTOPHER T. BATTERMAN 625 WALNUT RIDGE DR. HARTLAND, WI 53029	DIRECTOR 0.00	0.	0.	0.
LAURA G. BATTERMAN WILKINS 625 WALNUT RIDGE DR. HARTLAND, WI 53029	DIRECTOR 0.00	0.	0.	0.
ANDREW R. LAURITZEN 780 NORTH WATER STREET MILWAUKEE, WI 53202	ASST SECY/ASST TRES/DIR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
Type or print	Name of exempt organization or other filer, see instructions THEODORE W. BATTERMAN FAMILY FOUNDATION, INC.
File by the due date for filing your return. See instructions	Employer identification number (EIN) or 39-1688812
	Number, street, and room or suite no. If a P.O. box, see instructions 625 WALNUT RIDGE DRIVE
	Social security number (SSN)
City, town or post office, state, and ZIP code. For a foreign address, see instructions HARTLAND, WI 53029	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

GODFREY & KAHN, S.C.

- The books are in the care of **780 NORTH WATER STREET - MILWAUKEE, WI 53202**

Telephone No. **(414) 273-3500**

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2013**

5 For calendar year **2012**, or other tax year beginning ☐, and ending ☐

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

TAXPAYER NEEDS ADDITIONAL TIME TO ASSEMBLE THE NECESSARY INFORMATION TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	42,792.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	42,792.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ☐

Title ☐

Date ☐

Form 8868 (Rev. 1-2013)