



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490





See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



## PUBLIC DISCLOSURE COPY

## Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

2012

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation

THE BROOKBY FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

10936 N. PORT WASHINGTON ROAD, #181

City or town, state, and ZIP code

MEQUON, WI 53092

A Employer identification number

38-3202330

B Telephone number (see instructions)

(262) 478-0629

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:

☐ Initial return☐ Final return☒ Address change☐ Initial return of a former public charity☐ Amended return☒ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col. (c), line

16) \$ 9,095,792.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

|     |                                                                                   |            |          |   |          |
|-----|-----------------------------------------------------------------------------------|------------|----------|---|----------|
| 1   | Contributions, gifts, grants, etc., received (attach schedule)                    | 4,915,195. |          |   |          |
| 2   | Check <input type="checkbox"/> if the foundation is not required to attach Sch. B |            |          |   |          |
| 3   | Interest on savings and temporary cash investments                                |            |          |   |          |
| 4   | Dividends and interest from securities                                            | 85,618.    | 85,618.  |   | ATCH 1   |
| 5a  | Gross rents                                                                       |            |          |   |          |
| b   | Net rental income or (loss)                                                       |            |          |   |          |
| 6a  | Net gain or (loss) from sale of assets not on line 10                             | 52,854.    |          |   |          |
| b   | Gross sales price for all assets on line 6a                                       |            | 52,854.  |   |          |
| 7   | Capital gain net income (from Part IV, line 2)                                    |            |          |   |          |
| 8   | Net short-term capital gain                                                       |            |          |   |          |
| 9   | Income modifications                                                              |            |          |   |          |
| 10a | Gross sales less returns and allowances                                           |            |          |   |          |
| b   | Less: Cost of goods sold                                                          |            |          |   |          |
| c   | Gross profit or (loss) (attach schedule)                                          |            |          |   |          |
| 11  | Other income (attach schedule) ATCH. 2                                            | 524.       | 524.     |   |          |
| 12  | Total. Add lines 1 through 11                                                     | 5,054,191. | 138,996. | 0 |          |
| 13  | Compensation of officers, directors, trustees, etc.                               | 0          |          |   |          |
| 14  | Other employee salaries and wages                                                 |            |          |   |          |
| 15  | Pension plans, employee benefits                                                  |            |          |   |          |
| 16a | Legal fees (attach schedule)                                                      |            |          |   |          |
| b   | Accounting fees (attach schedule) ATCH. 3                                         | 15,000.    | 7,500.   |   | 7,500.   |
| c   | Other professional fees (attach schedule) *                                       | 1,500.     | 1,500.   |   |          |
| 17  | Interest                                                                          |            |          |   |          |
| 18  | Taxes (attach schedule) (see instructions) ATCH. 5                                | 3,000.     | 971.     |   |          |
| 19  | Depreciation (attach schedule) and depletion                                      |            |          |   |          |
| 20  | Occupancy                                                                         |            |          |   |          |
| 21  | Travel, conferences, and meetings                                                 |            |          |   |          |
| 22  | Printing and publications                                                         |            |          |   |          |
| 23  | Other expenses (attach schedule) ATCH. 6                                          | 37,260.    | 35,094.  |   | 2,166.   |
| 24  | Total operating and administrative expenses. Add lines 13 through 23              | 56,760.    | 45,065.  |   | 9,666.   |
| 25  | Contributions, gifts, grants paid                                                 | 258,716.   |          |   | 258,716. |
| 26  | Total expenses and disbursements. Add lines 24 and 25                             | 315,476.   | 45,065.  | 0 | 268,382. |
| 27  | Subtract line 26 from line 12:                                                    |            |          |   |          |
| a   | Excess of revenue over expenses and disbursements                                 | 4,738,715. |          |   |          |
| b   | Net investment income (if negative, enter -0-)                                    |            | 93,931.  |   |          |
| c   | Adjusted net income (if negative, enter -0-)                                      |            |          | 0 |          |

STATUTE LIMIT  
RECEIVED

NOV 18 2016

TPR BRANCH  
OGDEN

8-17-16

JSA For Paperwork Reduction Act Notice, see instructions.

\*ATCH 4

Form 990-PF (2012)

2E1410 1.000 6450CT L076 10/30/2013 5:32:21 PM V 12-7F

878835.300

63  
11  
PAGE 63

| Part II Balance Sheets      |                                                                   | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)                   |                                                                                                         |            |            |
|-----------------------------|-------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------|------------|------------|
|                             |                                                                   | Beginning of year<br>(a) Book Value                                                                                                    | End of year<br>(b) Book Value (c) Fair Market Value                                                     |            |            |
| Assets                      | 1                                                                 | Cash - non-interest-bearing                                                                                                            |                                                                                                         |            |            |
|                             | 2                                                                 | Savings and temporary cash investments                                                                                                 | 366,858.                                                                                                | 1,082,921. | 1,082,921. |
|                             | 3                                                                 | Accounts receivable                                                                                                                    |                                                                                                         |            |            |
|                             |                                                                   | Less: allowance for doubtful accounts                                                                                                  |                                                                                                         |            |            |
|                             | 4                                                                 | Pledges receivable                                                                                                                     |                                                                                                         |            |            |
|                             |                                                                   | Less: allowance for doubtful accounts                                                                                                  |                                                                                                         |            |            |
|                             | 5                                                                 | Grants receivable                                                                                                                      |                                                                                                         |            |            |
|                             | 6                                                                 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)                |                                                                                                         |            |            |
|                             | 7                                                                 | Other notes and loans receivable (attach schedule)                                                                                     |                                                                                                         |            |            |
|                             |                                                                   | Less: allowance for doubtful accounts                                                                                                  |                                                                                                         |            |            |
|                             | 8                                                                 | Inventories for sale or use                                                                                                            |                                                                                                         |            |            |
|                             | 9                                                                 | Prepaid expenses and deferred charges                                                                                                  |                                                                                                         |            |            |
|                             | 10 a                                                              | Investments - U.S. and state government obligations (attach schedule)                                                                  | 1,068,150.                                                                                              |            |            |
|                             | b                                                                 | Investments - corporate stock (attach schedule) ATCH 8                                                                                 | 691,520.                                                                                                | 3,312,592. | 3,312,592. |
|                             | c                                                                 | Investments - corporate bonds (attach schedule) ATCH 9                                                                                 |                                                                                                         | 2,332,088. | 2,332,088. |
|                             | Liabilities                                                       | 11                                                                                                                                     | Investments - land, buildings, and equipment: basis<br>Less: accumulated depreciation (attach schedule) |            |            |
| 12                          |                                                                   | Investments - mortgage loans                                                                                                           |                                                                                                         |            |            |
| 13                          |                                                                   | Investments - other (attach schedule) ATCH 10                                                                                          | 1,970,618.                                                                                              | 2,368,191. | 2,368,191. |
| 14                          |                                                                   | Land, buildings, and equipment: basis<br>Less: accumulated depreciation (attach schedule)                                              |                                                                                                         |            |            |
| 15                          |                                                                   | Other assets (describe)                                                                                                                |                                                                                                         |            |            |
| 16                          |                                                                   | Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)                                          | 4,097,146.                                                                                              | 9,095,792. | 9,095,792. |
| 17                          |                                                                   | Accounts payable and accrued expenses                                                                                                  |                                                                                                         |            |            |
| 18                          |                                                                   | Grants payable                                                                                                                         |                                                                                                         |            |            |
| 19                          |                                                                   | Deferred revenue                                                                                                                       |                                                                                                         |            |            |
| 20                          |                                                                   | Loans from officers, directors, trustees, and other disqualified persons                                                               |                                                                                                         |            |            |
| Net Assets or Fund Balances | 21                                                                | Mortgages and other notes payable (attach schedule)                                                                                    |                                                                                                         |            |            |
|                             | 22                                                                | Other liabilities (describe)                                                                                                           |                                                                                                         |            |            |
|                             | 23                                                                | Total liabilities (add lines 17 through 22)                                                                                            | 0                                                                                                       | 0          |            |
|                             |                                                                   | Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                                                                                                         |            |            |
|                             | 24                                                                | Unrestricted                                                                                                                           | 4,097,046.                                                                                              | 9,095,792. |            |
|                             | 25                                                                | Temporarily restricted                                                                                                                 |                                                                                                         |            |            |
|                             | 26                                                                | Permanently restricted                                                                                                                 | 100.                                                                                                    |            |            |
|                             |                                                                   | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>                         |                                                                                                         |            |            |
|                             | 27                                                                | Capital stock, trust principal, or current funds                                                                                       |                                                                                                         |            |            |
|                             | 28                                                                | Paid-in or capital surplus, or land, bldg, and equipment fund                                                                          |                                                                                                         |            |            |
| 29                          | Retained earnings, accumulated income, endowment, or other funds  |                                                                                                                                        |                                                                                                         |            |            |
| 30                          | Total net assets or fund balances (see instructions)              | 4,097,146.                                                                                                                             | 9,095,792.                                                                                              |            |            |
| 31                          | Total liabilities and net assets/fund balances (see instructions) | 4,097,146.                                                                                                                             | 9,095,792.                                                                                              |            |            |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                            |   |            |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 4,097,146. |
| 2 | Enter amount from Part I, line 27a                                                                                                                         | 2 | 4,738,715. |
| 3 | Other increases not included in line 2 (itemize) ATCH 11                                                                                                   | 3 | 259,931.   |
| 4 | Add lines 1, 2, and 3                                                                                                                                      | 4 | 9,095,792. |
| 5 | Decreases not included in line 2 (itemize)                                                                                                                 | 5 |            |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                      | 6 | 9,095,792. |

\*\*ATCH 7

Form 990-PF (2012)