Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545 0052

2012

For calendar year 2012, or tax year beginning , 2012, and ending ,

Name of foundation: MELVIN R BAUM PRIVATE FOUNDATION

A Employer identification number: 37-1379627

Number and street (or P O box number if mail is not delivered to street address): 866 N MAIN STREET

Room/suite:

B Telephone number (see the instructions): (309) 263-0808

City or town: MORTON

State: IL ZIP code: 61550

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 2,901,787.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

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Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*		**(a)** Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	100,000.			
	2 Ck ► ☐ if the foundn is **not** req to att Sch B				
	3 Interest on savings and temporary cash investments	9,836.	9,836.		
	4 Dividends and interest from securities	92,847.	92,847.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	202,683.	102,683.		
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch) L-16c Stmt	25,573.	25,573.		
	17 Interest	94.	94.		
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	926.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 **Total operating and administrative expenses.** Add lines 13 through 23	26,593.	25,667.		
	25 Contributions, gifts, grants paid	145,055.			145,055.
	26 **Total expenses and disbursements.** Add lines 24 and 25	171,648.	25,667.		145,055.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	31,035.			
	b **Net investment income** (if negative, enter -0-)		77,016.		
	c **Adjusted net income** (if negative, enter -0-)				

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BAA For Paperwork Reduction Act Notice, see instructions. TEEA0301 12/06/12 Form 990-PF (2012)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	82,603.	264.	264.
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule)	2,428,915.	2,430,355.	2,801,523.
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) L-13 Stmt		100,000.	100,000.
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	2,511,518.	2,530,619.	2,901,787.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.** ► ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ► ☒			
	27	Capital stock, trust principal, or current funds	2,511,518.	2,530,619.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	2,511,518.	2,530,619.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	2,511,518.	2,530,619.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,511,518.
2	Enter amount from Part I, line 27a	2	31,035.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	2,542,553.
5	Decreases not included in line 2 (itemize) ► NET CAPITAL LOSS NOT DEDUCTIBLE IN 2012	5	11,934.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	2,530,619.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month day, year)	(d) Date sold (month day, year)
1 a	327 SH DOLBY LABORATORIES	P	12/27/10	12/19/12
b	3130 SH IVY FUNDS	P	06/10/09	12/18/12
c	476 SH TIFFANY & COMPANY	P	12/27/10	12/19/12
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,260.		21,837.	-11,577.
b	35,000.		33,591.	1,409.
c	28,621.		30,387.	-1,766.
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a				-11,577.
b				1,409.
c				-1,766.
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7	2	-11,934.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	131,750.	0.	0.000000
2010	141,897.	0.	0.000000
2009	144,700.	0.	0.000000
2008	88,469.	0.	0.000000
2007	64,774.	0.	0.000000

2	**Total** of line 1, column (d)	2	0.000000
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.000000
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	0.
5	Multiply line 4 by line 3	5	0.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	770.
7	Add lines 5 and 6	7	770.
8	Enter qualifying distributions from Part XII, line 4	8	145,055.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _ _ _ _ _ _ _ (attach copy of letter if necessary – see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☒ and enter 1% of Part I, line 27b	1	770.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	770.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	770.
6	Credits/Payments		
a	2012 estimated tax pmts and 2011 overpayment credited to 2012 — 6 a		
b	Exempt foreign organizations – tax withheld at source — 6 b		
c	Tax paid with application for extension of time to file (Form 8868) — 6 c		
d	Backup withholding erroneously withheld — 6 d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	14.
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed ►	9	784.
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►	10	0.
11	Enter the amount of line 10 to be Credited to 2013 estimated tax ► **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ ________ **(2)** On foundation managers ► $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► IL - Illinois			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► MELVIN BAUM Telephone no ► (309) 263-0808

Located at ► 866 N MAIN STREET, MORTON, IL ZIP + 4 ► 61550

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

		Yes	No
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No
1 a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b		
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)	2 b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If 'Yes,' did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3 b		
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☒ **No**

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☒ **No**

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☒ **No**

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ **Yes** ☒ **No**

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☒ **No**

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5 b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No**

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☒ **No**

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** Yes: — No: X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☒ **No**

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7 b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MELVIN BAUM 607 N OREGON MORTON IL 61550	PRES/DIR 1.00	0.	0.	0.
GREGORY HUNZIKER 416 MAIN ST PEORIA IL 61602	SEC/DIR 1.00	0.	0.	0.
LINDA BAUM 607 N OREGON MORTON IL 61550	TREAS/DIR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION MADE CHARITABLE CONTRIBUTIONS TO 14 RECOGNIZED CHARITIES DURING THE YEAR ENDED 12/31/12	145,055.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	None

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1 a	
b	Average of monthly cash balances	1 b	
c	Fair market value of all other assets (see instructions)	1 c	
d	**Total** (add lines 1a, b, and c)	1 d	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1 e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	0.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	0.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	0.
6	**Minimum investment return.** Enter 5% of line 5	6	0.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	0.
2 a	Tax on investment income for 2012 from Part VI, line 5	2 a	770.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2 b			
c	Add lines 2a and 2b			2 c	770.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	0.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	0.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	0.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	145,055.
b	Program-related investments — total from Part IX-B	1 b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	145,055.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	770.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	144,285.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					0.
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only				0.	
b Total for prior years 20 ___, 20 ___, 20 ___					
3 Excess distributions carryover, if any, to 2012					
a From 2007	65,200.				
b From 2008	89,700.				
c From 2009	144,700.				
d From 2010	142,500.				
e From 2011	0.				
f **Total** of lines 3a through e		442,100.			
4 Qualifying distributions for 2012 from Part XII, line 4 ► $ 145,055.					
a Applied to 2011, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2012 distributable amount					
e Remaining amount distributed out of corpus		145,055.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		587,155.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions			0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount — see instructions				0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		65,200.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		521,955.			
10 Analysis of line 9					
a Excess from 2008	89,700.				
b Excess from 2009	144,700.				
c Excess from 2010	142,500.				
d Excess from 2011	0.				
e Excess from 2012	145,055.				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a 'Assets' alternative test — enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year — see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MIDWEST FOOD BANK 1703 S VETERANS PARKWAY BLOOMINGTON IL 61701		EXEMPT	CHARITABLE	14,805.
SALVATION ARMY 401 NE ADAMS STREET PEORIA IL 61603		EXEMPT	CHARITABLE	5,000.
APOSTOLIC CHRISTIAN CHURCH 2801 RUSTIC LANE NORTH FORT MYERS FL 33903		EXEMPT	CHARITABLE	61,000.
BEREAN PRISON MINISTRY P.O. BOX 761 PEORIA IL 61652		EXEMPT	CHARITABLE	200.
OSF MEDICAL CENTER FOUNDATION 530 NE GLEN OAK AVENUE PEORIA IL 61637		EXEMPT	CHARITABLE	1,000.
APOSTOLIC CHRIST. CHURCH 225 E JEFFERSON MORTON IL 61550		EXEMPT	CHARITABLE	12,500.
APOSTOLIC CHURCH HOME FOR HANDICAPPED 2125 VETERANS ROAD MORTON IL 61550		EXEMPT	CHARITABLE	30,000.
APOSTOLIC CHRISTIAN WORLD RELIEF 16448 S U.S. HWY 231 REMINGTON IN 47977		EXEMPT	CHARITABLE	4,200.
ST JAMES CATHEDRAL SCHOOL 505 E RIDGEWOOD STREET ORLANDO FL 32803		EXEMPT	CHARITABLE	50.
See Line 3a statement				16,300.
Total			► 3a	145,055.
b *Approved for future payment*				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	9,836.	
4 Dividends and interest from securities			14	92,847.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				102,683.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 102,683.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 07/24/13 | Title PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Michael J. Thomas	Michael J. Thomas	07/24/13		P01216843

Firm's name ► CORE CONSTRUCTION GROUP, LTD
Firm's EIN ► 37-1076260
Firm's address ► 866 N MAIN ST
MORTON IL 61550-1602
Phone no (309) 284-8674

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

2012

Name of the organization	Employer identification number
MELVIN R BAUM PRIVATE FOUNDATION	37-1379627

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)(____) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (**1**) $5,000 or (**2**) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of $5,000 or more during the year ► $ ____________

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, or 990-PF.

Name of organization
MELVIN R BAUM PRIVATE FOUNDATION

Employer identification number
37-1379627

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MELVIN R BAUM 607 N OREGON MORTON IL 61550	$ 100,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

ADVISORS

ATTACHMENT FOR
PART II, LINE 10 B
#37-1379627

SNAPSHOT

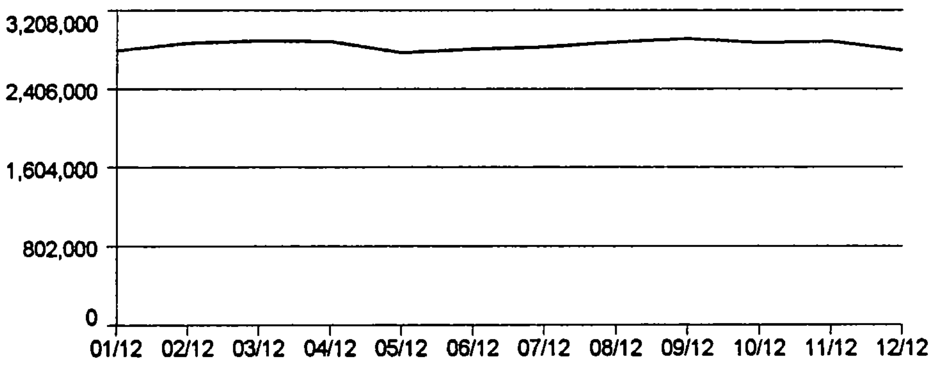
**MELVIN R BAUM PRIVATE
FOUNDATION INC**

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5237-8360

Progress summary

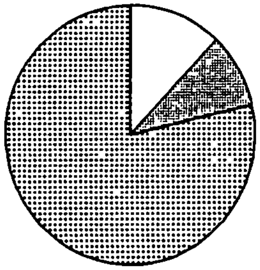
	THIS PERIOD	THIS YEAR
Opening value	**$2,888,164.40**	**$2,703,819.53**
Cash deposited	0.00	0.00
Securities deposited	0.00	0.00
Cash withdrawn	-107,843 99	-172,201.55
Securities withdrawn	0.00	0 00
Income earned	28,061.94	103,236.98
Change in value	-6,595 21	166,932.18
Closing value	**$2,801,787.14**	**$2,801,787.14**

Value over time



Portfolio summary

CURRENT



		ASSET TYPE	COST	PREVIOUS VALUE ON NOV 30	%	CURRENT VALUE ON DEC 31	%	ESTIMATED ANN. INCOME
ASSETS	▩	Cash and sweep balances	263.96	32,902.69	1.14	263.96	0.01	0
	☐	Stocks, options & ETFs	261,458.17	376,686.13	13.04	336,108.19	12.00	5,416
	▨	Fixed income securities	228,698.14	259,063.70	8.97	257,649.19	9.20	9,806
	▒	Mutual funds	1,939,678.46	2,219,511.88	76.85	2,207,245.80	78.79	64,376
		Asset value	2,430,098.73	**$2,888,164.40**	**100%**	**$2,801,267.14**	**100%**	**$79,598**
		Margin balance	520.00	0.00		520.00		
		Net asset value	2,430,618.73	**$2,888,164.40**		**$2,801,787.14**		

Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	73,881 42	73,881 42

Portfolio detail

Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N A and (if amounts exceed $250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.01	0 03	263.96	0.07
Interest Period 12/01/12 - 12/31/12				
Total Cash and Sweep Balances	**0.01**		**$263.96**	**$0.07**
Margin balance	0.00	0.00	520 00	0 00

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
APACHE CORP COMMON APA - HELD IN MARGIN Acquired 12/27/10 L nc	0.69	246	118 31	29,104.26	78.5000	19,311.00	-9,793.26	167.28	0 86
APPLE INC AAPL - HELD IN MARGIN Acquired 12/27/10 L nc	3 55	187	324 68	60,715.16	532.1729	99,516 33	38,801.17	1,982.20	1.99
CATERPILLAR INC CAT - HELD IN MARGIN									
Acquired 01/27/10 L nc		500	52.28	26,142.50		44,804 25	18,661.75		
Acquired 01/27/10 L nc		300	52 30	15,690.00		26,882 55	11,192 55		
Acquired 01/27/10 L nc		200	52.29	10,459.30		17,921.70	7,462.40		
Total	**3.20**	**1,000**		**$52,291.80**	**89.6085**	**$89,608.50**	**$37,316.70**	**$2,080.00**	**2.32**

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5237-8360

Stocks, options & ETFs

Stocks and ETFs continued

								ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
DANAHER CORP DHR - HELD IN MARGIN Acquired 12/27/10 L nc	1.24	620	47.20	29,264.00	55.9000	34,658.00	5,394.00	62.00	0.17
DEERE & CO DE - HELD IN MARGIN									
Acquired 04/09/07 L nc		56	54.52	3,053.33		4,839.52	1,786.19		
Acquired 05/02/07 L nc		44	56.38	2,480.72		3,802.48	1,321.76		
Acquired 07/27/07 L nc		28	59.96	1,679.02		2,419.76	740.74		
Acquired 08/06/07 L nc		8	59.32	474.56		691.36	216.80		
Acquired 12/27/10 L nc		258	83.57	21,561.06		22,296.36	735.30		
Total	**1.22**	**394**		**$29,248.69**	**86.4200**	**$34,049.48**	**$4,800.79**	**$724.96**	**2.13**
EXPRESS SCRIPTS HLDG CO ESRX - HELD IN MARGIN Acquired 12/27/10 L nc	0.71	370	53.99	19,976.30	54.0000	19,980.00	3.70	N/A	N/A
GRACO INCORPORATED COM GGG - HELD IN MARGIN Acquired 12/27/10 L nc	0.74	400	39.96	15,984.00	51.4900	20,596.00	4,612.00	400.00	1.94
WHITING PETROLEUM CORP WLL - HELD IN MARGIN Acquired 12/27/10 L nc	0 66	424	58.66	24,873.96	43 3700	18,388.88	-6,485.08	N/A	N/A
Total Stocks and ETFs	**12.00**			**$261,458.17**		**$336,108.19**	**$74,650.02**	**$5,416.44**	**1.61**
Total Stocks, options & ETFs	**12.00**			**$261,458.17**		**$336,108.19**	**$74,650.02**	**$5,416.44**	**1.61**

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

Corporate Bonds

									ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
WALGREEN CO NOTES HELD IN MARGIN Moody BAA1 , S&P BBB CUSIP 931422AD1 Acquired 09/15/08 L nc	1.57	43,000	102 42	44,042 32	102 5090	44,078.87	36.55	873.44	2,096.25	4 75
COSTCO WHOLESALE CORP SENIOR NOTES CALLABLE CPN 5 500% DUE 03/15/17 DTD 02/20/07 FC 09/15/07 HELD IN MARGIN Moody A1 , S&P A+ CUSIP 22160KAC9 Acquired 01/30/08 L nc	0 88	21,000	103.47	21,728 93	117.9540	24,770.34	3,041.41	340 08	1,155 00	4 66
Total Corporate Bonds	**2.46**	**64,000**		**$65,771.25**		**$68,849.21**	**$3,077.96**	**$1,213.52**	**$3,251.25**	**4.72**

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Government Bonds

									ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
US TREASURY NOTES CPN 4 250% DUE 11/15/17 DTD 11/15/07 FC 05/15/08 HELD IN MARGIN Moody AAA , S&P NR CUSIP 912828HH6										
Acquired 12/26/07 L nc		67,000	100 35	67,240.73		78,410.77	11,170 04			
Acquired 02/06/08 L nc		6,000	105 31	6,318 75		7,021.86	703.11			
Acquired 03/03/08 L nc		1,000	105 46	1,054 60		1,170.31	115.71			
Total .	**3.09**	**74,000**		**$74,614.08**	**117.0310**	**$86,602.94**	**$11,988.86**	**$399.64**	**$3,145.00**	**3.63**

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5237-8360

Fixed Income Securities

Government Bonds continued

								ESTIMATED		
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
US TREASURY NOTES WI CPN 3 875% DUE 05/15/18 DTD 05/15/08 FC 11/15/08 HELD IN MARGIN Moody AAA , S&P NR CUSIP 912828HZ6										
Acquired 07/15/08 L nc	3.65	88,000	100.35	88,312.81	116.1330	102,197 04	13,884.23	433 32	3,410 00	3.33
Total Government Bonds	**6.74**	**162,000**		**$162,926.89**		**$188,799.98**	**$25,873.09**	**$832.96**	**$6,555.00**	**3.47**
Total Fixed Income Securities	**9.20**			**$228,698.14**		**$257,649.19**	**$28,951.05**	**$2,046.48**	**$9,806.25**	**3.81**

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS

Mutual Funds

If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.

Open End Mutual Funds

Open End Mutual Fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.

								ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
COHEN & STEERS RLTY SHARES INC CSRSX - HELD IN MARGIN On Reinvestment									
Acquired 08/19/09 L nc		412 06300	37 73	15,547.14		26,606.90	11,059.76		
Reinvestments L nc		24.58200	52 80	1,298.08		1,587 26	289.18		
Reinvestments S		39.37100	63.57	2,502.92		2,542.19	39.27		
Total	**1,10**	**476.01600**		**$19,348.14**	**64.5700**	**$30,736.35**	**$11,388.21**	**$654.04**	**2.13**
	Client Investment (Excluding Reinvestments)					$15,547.14			
	Gain/Loss on Client Investment (Including Reinvestments)					$15,189.21			
DODGE & COX STK FD DODGX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		371.86400	68.70	25,547.05		45,330.22	19,783.17		
Acquired 07/06/09 L nc		270.53200	74 75	20,222.26		32,977.85	12,755.59		

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 01/12/10 L nc		1,014.81600	98 54	100,000 00		123,706.06	23,706.06		
Reinvestments L nc		54.41200	100 57	5,472 50		6,632.83	1,160.33		
Reinvestments S		29 70800	114 53	3,402 59		3,621.41	218.82		
Total	**7.58**	**1,741.33200**		**$154,644.40**	**121.9000**	**$212,268.37**	**$57,623.97**	**$3,439.13**	**1.62**
Client Investment (Excluding Reinvestments)						$145,769 31			
Gain/Loss on Client Investment (Including Reinvestments)						$66,499.06			
DWS INVT TR SHORT DURATION PLUS FD CL S DBPIX - HELD IN MARGIN On Reinvestment									
Acquired 10/09/09 L nc		26,511.13500	9 37	248,634 70		246,818.40	-1,816.30		
			9.43	250,000.00					
Reinvestments L nc		2,326.23700	9.42	21,928 13		21,657 45	-270 68		
			9.46	22,010.24					
Reinvestments S		889.78200	9.28	8,261.28		8,283.95	22 67		
Total	**9.88**	**29,727.15400**		**$278,824.11** **$280,271.52**	**9.3100**	**$276,759.80**	**-$2,064.31**	**$8,412.78**	**3.04**
Client Investment (Excluding Reinvestments)						$250,000 00			
Gain/Loss on Client Investment (Including Reinvestments)						$26,759 80			
EUROPACIFIC GROWTH FD CL F-1 AEGFX - HELD IN MARGIN On Reinvestment									
Acquired 08/18/09 L nc		225.21200	34 26	7,715.76		9,231 44	1,515.68		
Acquired 08/19/09 L nc		676.26600	34.57	23,378.52		27,720.14	4,341.62		
Acquired 01/12/10 L nc		2,562 78800	39 02	100,000 00		105,048 68	5,048 68		
Reinvestments L nc		121.85900	37 65	4,588.71		4,995.00	406.29		
Reinvestments S		61.77700	40.71	2,514 95		2,532.24	17.29		
Total	**5.34**	**3,647.90200**		**$138,197.94**	**40.9900**	**$149,527.50**	**$11,329.56**	**$2,557.17**	**1.71**
Client Investment (Excluding Reinvestments)						$131,094 28			
Gain/Loss on Client Investment (Including Reinvestments)						$18,433.22			

**MELVIN R BAUM PRIVATE
FOUNDATION INC**

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
JOHN HANCOCK FDS III GLOBAL SHAREHOLDER YLD CLASS I JGYIX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		2,576.49700	6.41	16,515.34		25,868.00	9,352.66		
Acquired 07/06/09 L nc		2,599.47900	7.04	18,300.33		26,098.77	7,798.44		
Reinvestments L nc		508.57400	8.44	4,293.20		5,106.10	812.90		
Reinvestments S		184.61900	9.73	1,797.49		1,853.58	56 09		
Total	**2.10**	**5,869.16900**		**$40,906.36**	**10.0400**	**$58,926.45**	**$18,020.09**	**$1,760.75**	**2.99**
Client Investment (Excluding Reinvestments)						$34,815.67			
Gain/Loss on Client Investment (Including Reinvestments)						$24,110 78			
IVY FDS INC LTD-TERM BD FD CL C WLBCX - HELD IN MARGIN On Reinvestment									
Acquired 06/10/09 L nc		20,168.57100	10.73	216,408.77		225,887.79	9,479 02		
Reinvestments L nc		1,249.60900	11.11	13,885.18		13,995.78	110.60		
Reinvestments S		326 22300	11.19	3,653.07		3,653.74	0.67		
Total	**8.69**	**21,744.40300**		**$233,947.02**	**11.2000**	**$243,537.31**	**$9,590.29**	**$2,565.83**	**1.05**
Client Investment (Excluding Reinvestments)						$216,408.77			
Gain/Loss on Client Investment (Including Reinvestments)						$27,128.54			
ARTIO GLOBAL INVT FDS TOTAL RETURN BD FD CL I JBGIX - HELD IN MARGIN On Reinvestment									
Acquired 12/16/05 L nc		2,522.24299	13.47	33,974.59		34,554 70	580.11		
Acquired 07/06/09 L nc		1,601.00900	12.88	20,620.99		21,933 84	1,312.85		
Acquired 08/19/09 L nc		155.58000	13.20	2,053.65		2,131.45	77.80		
Reinvestments L nc		1,960.05001	13.27	26,021.52		26,852.69	831.17		
Reinvestments S		323.24600	13.77	4,453.39		4,428.47	-24.92		
Total	**3.21**	**6,562.12800**		**$87,124.14**	**13.7000**	**$89,901.15**	**$2,777.01**	**$2,631.41**	**2.93**
Client Investment (Excluding Reinvestments)						$56,649.23			
Gain/Loss on Client Investment (Including Reinvestments)						$33,251.92			

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
MAINSTAY FD HIGH YIELD CORPORATE BD FD CL I MHYIX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		3,796.00500	4.63 4 65	17,605.33 17,651 42		23,193.55	5,588.22		
Acquired 07/06/09 L nc		1,887.35400	5 06 5 08	9,564 87 9,587.76		11,531.75	1,966 88		
Reinvestments L nc		1,303 53300	5.68 5 69	7,414.81 7,420 06		7,964 59	549.78		
Reinvestments S		525 90500	5 98	3,147.74		3,213 29	65.55		
Total	**1.64**	**7,512.79700**		**$37,732.75** **$37,806.98**	**6.1100**	**$45,903.18**	**$8,170.43**	**$3,275.57**	**7.14**
Client Investment (Excluding Reinvestments)						$27,239.18			
Gain/Loss on Client Investment (Including Reinvestments)						$18,664 00			
MANAGERS FUNDS BOND FUND MGFIX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		1,568 35200	19 74	30,959.26		43,804.06	12,844 80		
Acquired 07/06/09 L nc		735 96300	22.07	16,242.70		20,555 45	4,312 75		
Acquired 08/19/09 L nc		674.77500	23 26	15,695.26		18,846.47	3,151 21		
Reinvestments L nc		397 97900	24.99	9,946.05		11,115.55	1,169 50		
Reinvestments S		140 97400	27.16	3,829 96		3,937 41	107 45		
Total	**3.51**	**3,518.04300**		**$76,673.23**	**27.9300**	**$98,258.94**	**$21,585.71**	**$3,915.58**	**3.98**
Client Investment (Excluding Reinvestments)						$62,897.22			
Gain/Loss on Client Investment (Including Reinvestments)						$35,361 72			
METROPOLITAN WEST FDS TOTAL RETURN BD FD CL I MWTIX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		2,968 29000	8 94	26,536 51		32,324.65	5,788.14		
Acquired 07/06/09 L nc		1,792 86400	9 19	16,476 42		19,524.29	3,047.87		
Acquired 08/19/09 L nc		1,697 82200	9 55	16,214.20		18,489.30	2,275 10		
Reinvestments L nc		1,029 62500	10.25	10,554.38		11,212 62	658.24		
Reinvestments S		470 91800	10 78	5,079.26		5,128 30	49.04		

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Total	**3.09**	**7,959.51900**		**$74,860.77**	**10.8900**	**$86,679.16**	**$11,818.39**	**$3,510.14**	**4.05**
	Client Investment (Excluding Reinvestments)					$59,227.13			
	Gain/Loss on Client Investment (Including Reinvestments)					$27,452.03			
NUVEEN INVT TRUST TRADEWINDS VALUE OPPTYS FUND-CLASS I NVORX - HELD IN MARGIN On Reinvestment									
Acquired 06/03/09 L nc		379.30800	24.72	9,376.48		11,341.30	1,964 82		
Acquired 07/06/09 L nc		230.26000	23.63	5,441.04		6,884.77	1,443.73		
Reinvestments L nc		91.69600	32.10	2,944.11		2,741.71	-202.40		
Reinvestments S		28.95100	29.35	849.72		865.64	15.92		
Total	**0.78**	**730.21500**		**$18,611.35**	**29.9000**	**$21,833.42**	**$3,222.07**	**$885.02**	**4.05**
	Client Investment (Excluding Reinvestments)					$14,817 52			
	Gain/Loss on Client Investment (Including Reinvestments)					$7,015 90			
ALGER SMALLCAP AND MIDCAP GROW CL I ASIMX - HELD IN MARGIN On Reinvestment									
Acquired 08/19/09 L nc		1,380.51600	11 26	15,547.14		23,027.00	7,479.86		
Reinvestments S		59.62800	16.75	999.36		994.59	-4.77		
Total	**0.86**	**1,440.14400**		**$16,546.50**	**16.6800**	**$24,021.59**	**$7,475.09**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$15,547 14			
	Gain/Loss on Client Investment (Including Reinvestments)					$8,474.45			
PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL PTTRX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		5,218.58500	10.17	53,073.01		58,656 84	5,583.83		
Acquired 07/06/09 L nc		3,127.91600	10.50	32,843.12		35,157.78	2,314.66		
Acquired 08/19/09 L nc		287.08000	10 71	3,074.63		3,226.78	152.15		
Reinvestments L nc		1,476 94400	10.91	16,117.27		16,600.89	483.62		
Reinvestments S		687 52200	11.31	7,778.11		7,727.75	-50 36		

Mutual Funds

Open End Mutual Funds continued

								ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
Total	**4.33**	**10,798.04700**		**$112,886.14**	**11.2400**	**$121,370.04**	**$8,483.90**	**$3,930.48**	**3.24**
	Client Investment (Excluding Reinvestments)					$88,990.76			
	Gain/Loss on Client Investment (Including Reinvestments)					$32,379 28			
PIMCO FDS PAC INVT MGMT SER EMERGING MKTS BD FD INSTL CL PEBIX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		1,237.77200	8.71 8 82	10,786.06 10,917.15		15,472.14	4,686.08		
Acquired 07/06/09 L nc		1,092.05100	9.29 9.40	10,149.63 10,265.28		13,650 63	3,501 00		
Reinvestments L nc		354.75500	10 66 10.69	3,782.09 3,794.16		4,434.45	652 36		
Reinvestments S		138.50900	11.94	1,653.94		1,731 36	77 42		
Total	**1.26**	**2,823.08700**		**$26,371.72** **$26,630.53**	**12.5000**	**$35,288.58**	**$8,916.86**	**$1,518.82**	**4.30**
	Client Investment (Excluding Reinvestments)					$21,182.43			
	Gain/Loss on Client Investment (Including Reinvestments)					$14,106.15			
PIONEER FUND CL-Y PYODX - HELD IN MARGIN On Reinvestment									
Acquired 08/18/09 L nc		1,228.40300	31.53	38,731.54		40,058.21	1,326.67		
Reinvestments L nc		44.46500	37.31	1,659.36		1,450 01	-209 35		
Reinvestments S		395 34400	32.43	12,821.72		12,892.17	70.45		
Total	**1.94**	**1,668.21200**		**$53,212.62**	**32.6100**	**$54,400.39**	**$1,187.77**	**$1,075.99**	**1.98**
	Client Investment (Excluding Reinvestments)					$38,731.54			
	Gain/Loss on Client Investment (Including Reinvestments)					$15,668 85			
TCW FDS INC TOTAL RETURN BD FD CL I SHS TGLMX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		2,890 68700	9.18	26,536 51		29,745.14	3,208 63		
Acquired 07/06/09 L nc		1,659 73600	9.54	15,833 88		17,078.68	1,244.80		
Acquired 08/19/09 L nc		1,576 93100	9.99	15,753 54		16,226.62	473.08		

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2012
ACCOUNT NUMBER: 5237-8360

Mutual Funds

Open End Mutual Funds continued

								ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments L nc		1,515.81800	9.97	15,118.84		15,597.80	478.96		
Reinvestments S		542.30800	9 96	5,406.81		5,580.34	173.53		
Total	**3.01**	**8,185.48000**		**$78,649.58**	**10.2900**	**$84,228.58**	**$5,579.00**	**$5,050.44**	**6.00**
Client Investment (Excluding Reinvestments)						$58,123.93			
Gain/Loss on Client Investment (Including Reinvestments)						$26,104 65			
T ROWE PRICE BLUE CHIP GROWTH FUND TRBCX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		914.54700	24.18	22,113 75		41,730 77	19,617 02		
Acquired 07/06/09 L nc		492.10000	26.11	12,848.74		22,454.52	9,605.78		
Acquired 08/19/09 L nc		1,055.56800	28 50	30,083.69		48,165.57	18,081.88		
Acquired 01/12/10 L nc		3,039 51400	32.90	100,000.00		138,693 02	38,693.02		
Reinvestments L nc		10.70200	36.35	389.04		488 34	99.30		
Reinvestments S		18.72300	44.17	827.16		854.33	27.17		
Total	**9.01**	**5,531.15400**		**$166,262.38**	**45.6300**	**$252,386.55**	**$86,124.17**	**$746.70**	**0.30**
Client Investment (Excluding Reinvestments)						$165,046.18			
Gain/Loss on Client Investment (Including Reinvestments)						$87,340.37			
THORNBURG INVT TR INVT INCOME BUILDER FD CL I TIBIX - HELD IN MARGIN On Reinvestment									
Acquired 03/15/11 L nc		13,048.01700	19.16	250,000.00		247,259.79	-2,740 21		
Reinvestments L nc		762.93400	18.14	13,839.65		14,457.66	618 01		
Reinvestments S		893 67000	18.74	16,752.82		16,935.11	182.29		
Total	**9.95**	**14,704.62100**		**$280,592.47**	**18.9500**	**$278,652.56**	**-$1,939.91**	**$17,424.97**	**6.25**
Client Investment (Excluding Reinvestments)						$250,000.00			
Gain/Loss on Client Investment (Including Reinvestments)						$28,652.56			
WELLS FARGO FUNDS TR ADVANTAGE SHORT DURATION GOVT BD FD CLASS I WSGIX - HELD IN MARGIN On Reinvestment									
Acquired 04/22/09 L nc		2,153.27700	10.26	22,113 75		22,243 33	129.58		
Acquired 07/06/09 L nc		1,380.71500	10.35	14,304 06		14,262.80	-41.26		

Mutual Funds

Open End Mutual Funds continued

								ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/19/09 L nc		192 22700	10 37	1,995.30		1,985 71	-9 59		
Reinvestments L nc		297 44500	10 38	3,089.38		3,072.61	-16 77		
Reinvestments S		96 94400	10.35	1,003.90		1,001.43	-2.47		
Total	**1.52**	**4,120.60800**		**$42,506.39**	**10.3300**	**$42,565.88**	**$59.49**	**$1,021.91**	**2.40**
	Client Investment (Excluding Reinvestments)					$38,413 11			
	Gain/Loss on Client Investment (Including Reinvestments)					$4,152 77			
Total Open End Mutual Funds	**78.79**			**$1,937,898.01** **$1,939,678.46**		**$2,207,245.80**	**$269,347.79**	**$64,376.73**	**2.92**
Total Mutual Funds	**78.79**			**$1,937,898.01** **$1,939,678.46**		**$2,207,245.80**	**$269,347.79**	**$64,376.73**	**2.92**

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Activity detail

Income and distributions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/03	Cash	DIVIDEND		MAINSTAY FD HIGH YIELD CORPORATE BD FD CL I 113012 7,423 66700 AS OF 11/30/12		269.48
12/03	Cash	DIVIDEND		METROPOLITAN WEST FDS TOTAL RETURN BD FD CL I 113012 7,780.28200 AS OF 11/30/12		244.14
12/03	Cash	DIVIDEND		PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL 113012 10,412.40900 AS OF 11/30/12		350.31

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FEDERAL INVEST. TAX	595.			
FOREIGN TAX PAID	331.			
Total	926.			

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
JUBILEE HOMES 1215 N SHERIDAN ROAD PEORIA IL 61606		EXEMPT	CHARITABLE	Person or ☐ Business ☒ 1,000.
GATEWAY WOODS BOX 151 LEO IN 46765		EXEMPT	CHARITABLE	Person or ☐ Business ☒ 4,000.
WORLD RELIEF 711 ELM DRIVE BLUFFTON IN 46714		EXEMPT	CHARITABLE	Person or ☐ Business ☒ 10,000.
FEED THE CHILDREN P.O. BOX 36 OKLAHOMA CITY OK 73101		EXEMPT	CHARITABLE	Person or ☐ Business ☒ 300.
APOSTOLIC CHRISTIAN COUNSELING 515 HIGHLAND STREET MORTON IL 61550		EXEMPT	CHARITABLE	Person or ☐ Business ☒ 1,000.
Total				16,300.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WELLS FARGO	INVESTMENT FEES	25,573.	25,573.		
Total		25,573.	25,573.		

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	Fair Market Value
GOLD/SILVER	100,000.	100,000.
Total	100,000.	100,000.

Form **8868**
(Rev January 2013)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**

OMB No 1545 1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extention on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ► ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return See instructions

Name of exempt organization or other filer, see instructions: MELVIN R BAUM PRIVATE FOUNDATION

Employer identification number (EIN) or: 37-1379627

Number, street, and room or suite number If a P O box, see instructions: 866 N MAIN STREET

Social security number (SSN):

City, town or post office, state and ZIP code For a foreign address see instructions: MORTON IL 61550

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► MELVIN BAUM

Telephone No ► (309) 263-0808 FAX No ► (309) 263-8004

- If the organization does not have an office or place of business in the United States, check this box ► ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ If this is for the whole group check this box ► ☐ If it is for part of the group, check this box ► ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 15, 20 13, to file the exempt organization return for the organization named above The extension is for the organization's return for
► ☒ calendar year 20 12 or
► ☐ tax year beginning ________, 20 ___, and ending ________, 20 ___

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3 a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3 a	$ 0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3 b	$
c Balance due. Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3 c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.