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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation: THE BERSTED FOUNDATION

A Employer identification number: 36-6493609

Number and street (or P O box number if mail is not delivered to street address): 231 S LASALLE ST IL1-231-10-05

Room/suite:

B Telephone number (see instructions): 866- 752-2127

City or town, state, and ZIP code: CHICAGO, IL 60697

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 20,391,115.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	524,006.	514,963.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,036,234.			
	b Gross sales price for all assets on line 6a 29,572,390.				
	7 Capital gain net income (from Part IV, line 2)		2,036,234.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	504.	501.		STMT 2
	12 **Total.** Add lines 1 through 11	2,560,744.	2,551,698.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	95,535.	57,321.		38,214.
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 3	2,535.	NONE	NONE	2,535.
	c Other professional fees (attach schedule) STMT 4	70,518.			70,518.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 5	48,582.	4,801.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 6	752.			752.
	24 **Total operating and administrative expenses** Add lines 13 through 23	217,922.	62,122.	NONE	112,019.
	25 Contributions, gifts, grants paid	813,700.			813,700.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,031,622.	62,122.	NONE	925,719.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	1,529,122.			
	b **Net investment income** (if negative, enter -0-)		2,489,576.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	785,110.	2,929,330.	2,929,330.
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶ NONE			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10 a Investments - U S and state government obligations (attach schedule)			
b Investments - corporate stock (attach schedule) STMT 7	10,659,553.	17,415,972.	17,461,785.
c Investments - corporate bonds (attach schedule)	5,550,555.		
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
12 Investments - mortgage loans			
13 Investments - other (attach schedule)	1,828,593.		
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	18,823,811.	20,345,302.	20,391,115.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27 Capital stock, trust principal, or current funds	18,823,811.	20,345,302.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see instructions)	18,823,811.	20,345,302.	
31 **Total liabilities and net assets/fund balances** (see instructions)	18,823,811.	20,345,302.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,823,811.
2 Enter amount from Part I, line 27a	2	1,529,122.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	21,801.
4 Add lines 1, 2, and 3	4	20,374,734.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9	5	29,432.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	20,345,302.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b	OTHER GAINS AND LOSSES			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	29,566,711.		27,536,156.	2,030,555.
b	5,128.			5,128.
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				2,030,555.
b				5,128.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 2,036,234.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	912,733.	19,801,153.	0.046095
2010	885,596.	18,618,367.	0.047566
2009	1,029,153.	16,735,121.	0.061497
2008	1,111,598.	20,221,719.	0.054970
2007	1,166,816.	23,983,899.	0.048650

2	**Total** of line 1, column (d)	2	0.258778
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051756
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	19,768,849.
5	Multiply line 4 by line 3	5	1,023,157.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	24,896.
7	Add lines 5 and 6	7	1,048,053.
8	Enter qualifying distributions from Part XII, line 4	8	925,719.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	49,792.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3	Add lines 1 and 2		3	49,792.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	49,792.
6	Credits/Payments			
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a 31,160.		
b	Exempt foreign organizations - tax withheld at source	6b NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c NONE		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	31,160.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	18,632.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ Refunded ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ NONE

14 The books are in care of ▶ Bank of America, N.A. Telephone no ▶ (866)752-2127

Located at ▶ 231 SOUTH LASALLE ST., CHICAGO, IL ZIP+4 ▶ 60697

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ________ , ________ , ________ , ________				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF AMERICA, N.A 231 S. LaSalle St., Chicago, IL 60697	Trustee 1	95,535.		-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NOT APPLICABLE	
2 THE SOLE CHARITABLE PURPOSE OF THIS ORGANIZATION IS THE MAKING OF CONTRIBUTIONS AND GRANTS TO QUALIFIED CHARITABLE ORGANIZATIONS.NO DIRECT CHARITABLE ACTIVITIES ARE CONDUCTED.	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	18,893,136.
b	Average of monthly cash balances	1b	1,176,761.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	20,069,897.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	20,069,897.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	301,048.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	19,768,849.
6	**Minimum investment return.** Enter 5% of line 5	6	988,442.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	988,442.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	49,792.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	49,792.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	938,650.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	938,650.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	938,650.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	925,719.
b	Program-related investments - total from Part IX-B	1b	-
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	925,719.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	925,719.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				938,650.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			799,858.	
b Total for prior years 20 10 ,20 ___ ,20 ___		NONE		
3 Excess distributions carryover, if any, to 2012:				
a From 2007 ...... NONE				
b From 2008 ...... NONE				
c From 2009 ...... NONE				
d From 2010 ...... NONE				
e From 2011 ...... NONE				
f **Total** of lines 3a through e	NONE			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 925,719.				
a Applied to 2011, but not more than line 2a			799,858.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2012 distributable amount				125,861.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				812,789.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9.				
a Excess from 2008 ... NONE				
b Excess from 2009 ... NONE				
c Excess from 2010 ... NONE				
d Excess from 2011 ... NONE				
e Excess from 2012 ... NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Schedule Attached	N/A	PUBLIC	SEE SCHEDULE ATTACHED	813,700.
Total . . . . . . ▶ **3a**				813,700.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	524,006.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	2,036,234.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b OTHER INCOME			1	501.	
c EXCISE TAX REFUND			1	3.	
d					
e					
12 Subtotal Add columns (b), (d), and (e)				2,560,744.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 2,560,744.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Mary Frost | 4-30-2013 | SR VICE-PRES

Signature of officer or trustee | Date | Title

BANK OF AMERICA, TRUSTEE

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
USGI REPORTED AS NONQUALIFIED DIVIDENDS	30,005.	30,005.
FOREIGN DIVIDENDS	34,512.	34,512.
NONDIVIDEND DISTRIBUTIONS	9,043.	
DOMESTIC DIVIDENDS	151,719.	151,719.
OTHER INTEREST	1,118.	1,118.
FOREIGN INTEREST	41.	41.
U.S. GOVERNMENT INTEREST(FEDERAL TAXABLE	100.	100.
US GOVERNMENT INTEREST REPORTED AS QUALI	3,422.	3,422.
NONQUALIFIED FOREIGN DIVIDENDS	9,505.	9,505.
NONQUALIFIED DOMESTIC DIVIDENDS	284,541.	284,541.
TOTAL	524,006.	514,963.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INCOME	501.	501.
EXCISE TAX REFUND	3.	
TOTALS	504.	501.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE - BOA	2,535.			2,535.
TOTALS	2,535.	NONE	NONE	2,535.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
GRANTMAKING FEES - BOA	49,925.	49,925.
OTHER PROFESSIONAL FEES - CHAR	20,593.	20,593.
TOTALS	70,518.	70,518.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	253.	253.
EXCISE TAX - PRIOR YEAR	12,621.	
EXCISE TAX ESTIMATES	31,160.	
FOREIGN TAXES ON QUALIFIED FOR	2,682.	2,682.
FOREIGN TAXES ON NONQUALIFIED	1,866.	1,866.
TOTALS	48,582.	4,801.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
OTHER CHARITABLE EXPENSES	15.	15.
OFFICE EXPENSES	737.	737.
TOTALS	752.	752.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
464287507 ISHARES CORE S&P MID	612,759.	610,709.
464287614 ISHARES RUSSELL 1000	2,039,883.	2,037,394.
464287655 ISHARES RUSSELL 2000	408,066.	410,122.
922042858 VANGUARD MSCI EMERGI	727,659.	758,791.
922908553 VANGUARD REIT ETF	1,260,613.	1,233,750.
466001864 IVY ASSET STRATEGY F	391,304.	399,737.
693390841 PIMCO HIGH YIELD FD	409,017.	407,327.
714199106 PERMANENT PORTFOLIO	706,572.	711,546.
72200Q182 PIMCO ALL ASSET ALL	1,452,718.	1,485,028.
202671913 AGGREGATE BOND CTF	2,045,083.	2,045,083.
207543877 SMALL CAP GROWTH LEA	409,017.	409,017.
29099J109 EMERGING MARKETS STO	1,022,541.	1,022,541.
302993993 MID CAP VALUE CTF	715,779.	715,779.
303995997 SMALL CAP VALUE CTF	409,017.	409,017.
323991307 MID CAP GROWTH CTF	715,779.	715,779.
45399C107 DIVIDEND INCOME COMM	2,045,083.	2,045,083.
99Z466163 HIGH QUALITY CORE CO	1,022,541.	1,022,541.
99Z466197 INTERNATIONAL FOCUSE	1,022,541.	1,022,541.
TOTALS	17,415,972.	17,461,785.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
BEGINNING DEF INCOME		21,769.
OTHER FEE RECOVERY		32.
	TOTAL	21,801.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
ENDING DEF INCOME	18,758.
CTF TIMING ADJUSTMENT	9,899.
ROC ADJ. ON SALE	775.
TOTAL	29,432.

GAINS AND LOSSES FROM PASS-THRU ENTITIES

NET SHORT-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

COMMON TRUST FUNDS	2,296.00	
TOTAL NET SHORT-TERM GAIN OR LOSS (ROUNDED)		2,296.00

NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS AND OTHER FIDUCIARIES

COMMON TRUST FUNDS	2,832.00	
TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED)		2,832.00

RECIPIENT NAME:
Debra Grand, c/o Bank of America
ADDRESS:
231 SOUTH LASALLE ST
CHICAGO, IL 60697
RECIPIENT'S PHONE NUMBER: 312-828-2055
FORM, INFORMATION AND MATERIALS:
SEE ATTACHED STATEMENT
SUBMISSION DEADLINES:
SEE ATTACHED STATEMENT
RESTRICTIONS OR LIMITATIONS ON AWARDS:
SEE ATTACHED STATEMENT

Form 990-PF
Part XV, Supplementary Information

36-6493609

3 Grants and Contributions Paid During the Year

Recipient	Address	Relationship	Foundation Status	Purpose	Amount
360 YOUTH SERVICES	1305 OSWEGO ROAD, NAPERVILLE, IL 60540	N/A	PC	TO SUPPORT TRANSITIONAL LIVING PROGRAM	10,000 00
ALMOST HOME KIDS	7 S 721 ROUTE 53, NAPERVILLE, IL 60540	N/A	PC	SUPPORT OF RESPITE CARE PROGRAM	25,000 00
AMERICAN CANCER SOCIETY	143 FIRST STREET, BATAVIA, IL 60510	N/A	PC	DUPAGE/FOX VALLEY REGION - TO SUPPORT THE PATIENT NAVIGATION SERVICES	10,000 00
AURORA AREA INTERFAITH	P O BOX 2602, AURORA, IL 60507	N/A	PC	UNRESTRICTED GENERAL SUPPORT	20,000 00
BARRINGTON YOUTH AND	110 S HAGER AVENUE, BARRINGTON, IL 60010	N/A	PC	TO SUPPORT THE CARPENTERSVILLE LATINO SERVICES PROGRAM	5,000 00
BIG BROTHERS AND BIG SISTERS OF	4318 B W CRYSTAL LAKE ROAD, MCHENRY, IL 60050	N/A	PC	TOWARD ADDING A RECRUITMENT COORDINATOR	10,000 00
BOY SCOUTS - THREE FIRES COUNCIL	415 NORTH 2ND STREET, ST CHARLES, IL 60174	N/A	PC	FOR SOCCER/SCOUTING PROGRAM	15,000 00
BOYS AND GIRLS CLUB OF ELGIN	355 DUNDEE AVE - P O BOX 416, ELGIN, IL 60121-0416	N/A	PC	FOR GENERAL OPERATING SUPPORT	25,000 00
CARENET PREGNANCY SERVICES OF	515 OGDEN AVENUE, SUITE 200, DOWNERS GROVE, IL 60515	N/A	PC	GRANT TO SUPPORT THE AMPLIFY YOUTH DEVELOPMENT PROGRAM	5,000 00
CASA KANE COUNTY	100 S THIRD STREET STE 460, GENEVA, IL 60134	N/A	PC	TO RECRUIT & TRAIN CASA ADVOCATES	15,000 00
CASA OF DUPAGE COUNTY	505 N COUNTY FARM RD STE C 3RD FL, WHEATON, IL 60187	N/A	PC	TO RECRUIT & TRAIN CASA ADVOCATES	10,000 00
CATHOLIC CHARITIES	203 N OTTAWA STREET, JOLIET, IL 60432	N/A	PC	SUPPORT HOMELESS PREVENTION SERVICES	30 000 00
CENTER FOR LANGUAGE AND SPEECH	606 MICHIGAN STREET, ELMHURST, IL 60126	N/A	PC	COMPLETION OF ONE TO TWO CHALLENGE	5,000 00
CENTRAL DUPAGE HOSPITAL	27 W 353 JEWELL ROAD, WINFIELD, IL 60190-1295	N/A	PC	SECOND INSTALLMENT OF TWO YEAR GRANT TO SUPPORT HOMECARE PHYSICIANS	20,000 00
CHILDRENS HOME AND AID SOCIETY	125 SOUTH WACKER DRIVE, 14TH FL, CHICAGO, IL 60606-4475	N/A	PC	GRANT TO SUPPORT THE TOM THUMB COMMUNITY CHILD CARE CENTER	15,000 00
DEKALB COUNTY YOUTH SERVICES	330 GROVE STREET, DEKALB, IL 60115-3703	N/A	PC	GRANT TO SUPPORT THE ALTERNATIVE TO SUSPENSION PROGRAM	10,000 00
DOMINICAN LITERACY CENTER	260 VERMONT AVENUE, AURORA, IL 60506	N/A	PC	UNRESTRICTED GENERAL SUPPORT	20,000 00
DONKA, INC	400 NORTH COUNTY FARM ROAD, WHEATON, IL 60187	N/A	PC	UNRESTRICTED GENERAL SUPPORT	15,000 00
DUPAGE PADS	705 W LIBERTY, WHEATON, IL 60187	N/A	PC	FINAL PYMT ONE-TO-TWO CHALLENGE GRANT - APPROVED 11 29 2011	5,000 00
DUPAGE PADS, INC	705 WEST LIBERTY STREET, WHEATON, IL 60187	N/A	PC	GRANT TO SUPPORT THE INTERIM HOUSING PROGRAM	10,000 00
EASTER SEALS	830 ADDISON AVE, VILLA PARK, IL 60181	N/A	PC	SUPPORT SPONSOR A CHILD FUND	15,000 00
ECKER CENTER FOR MENTAL HEALTH	1845 GRANDSTAND PLACE, ELGIN, IL 60123	N/A	PC	FUNDING TOWARD A CASE MGMT POSITION	10,000 00
FAITH IN ACTION	7105 VIRGINIA ROAD STE 25, CRYSTAL LAKE, IL 60014	N/A	PC	GRANT FOR VOLUNTEER COORDINATOR HRS	7,700 00
FAMILY ALLIANCE	2028 N SEMINARY AVENUE, WOODSTOCK, IL 60098	N/A	PC	GRANT FOR GERIATRIC CARE COORDINATION NURSE POSITION	10,000 00
FAMILY SERVICE AGENCY	14 HEALTH SERVICES DRIVE, DEKALB, IL 60115	N/A	PC	UNRESTRICTED GENERAL SUPPORT	20,000 00
FAMILY SHELTER SERVICE	605 E ROOSEVELT ROAD, WHEATON, IL 60187	N/A	PC	TO SUPPORT THE LATINA PROGRAM	10,000 00
FEEDING NORTHWEST DUPAGE	427 W ARMY TRAIL ROAD, BLOOMINDALE, IL 60108	N/A	PC	TO KEEP AT PREVIOUS LEVEL OF SUPPORT	5,000 00
FOOD FOR GREATER ELGIN	1553 COMMERCE DRIVE, ELGIN, IL 60123	N/A	PC	FOR PURCHASE OF GOODS	5,000 00
GIRL SCOUTS OF GREATER CHICAGO &	2400 OGDEN AVE STE 400, LISLE, IL 60532	N/A	PC	GENERAL OPERATING SUPPORT IN DUPAGE	10,000 00
GREATER ELGIN FAMILY CARE CENTER	370 SUMMIT STREET, ELGIN, IL 60120	N/A	PC	GRANT FOR OPERATING SUPPORT OF MCHENRY COMMUNITY HEALTH CENTER	10,000 00
HESED HOUSE	659 S RIVER STREET, AURORA, IL 60506	N/A	PC	FOOD SERVING TABLE & KITCHEN CABINETS	23,000 00
HOME OF THE SPARROW	5342 WEST ELM STREET, MCHENRY, IL 60050	N/A	PC	UNRESTRICTED GENERAL SUPPORT CHALLENGE GRANT	25,000 00
HOPE FOR TOMORROW	479 N LAKE STREET, AURORA, IL 60506	N/A	PC	GRANT FOR START-UP EXPENSES FOR 67 NEW CLIENTS	10,000 00
HOPE HAVEN	1145 RUSHMORE DRIVE, DEKALB, IL 60115	N/A	PC	SECOND INSTALLMENT OF TWO YEAR GRANT TO SUPPORT THE CAPITAL CAMPAIGN	25,000 00
HORIZONS FOR THE BLIND	2 NORTH WILLIAMS STREET, CRYSTAL LAKE, IL 60014-4401	N/A	PC	GRANT TO SUPPORT THE VAN POOL TRANSPORT PROGRAM	10,000 00
HOSPICE OF NORTHEASTERN ILLINOIS	405 LAKE ZURICH ROAD, BARRINGTON, IL 60010	N/A	PC	GRANT FOR CHARITY CARE FOR BERSTED COUNTIES' PATIENTS	10,000 00
LARKIN CENTER	1212 LARKIN AVENUE, ELGIN, IL 60123	N/A	PC	GRANT FOR GENERAL OPERATING SUPPORT	15,000 00
LAZARUS HOUSE	214 WALNUT STREET, ST CHARLES, IL 60174	N/A	PC	UNRESTRICTED GENERAL SUPPORT	10,000 00
LITERACY CONNECTION	270 NORTH GROVE AVENUE, ELGIN, IL 60120	N/A	PC	GRANT IN SUPPORT OF THE ADULT LITERACY PROGRAM	10,000 00
LITERACY DUPAGE	24W500 MAPLE AVENUE #217, NAPERVILLE, IL 60540	N/A	PC	GRANT TO SUPPORT THE RECRUITMENT AND TUTOR TRAINING	5,000 00
LOVE CHRISTIAN CLEARING HOUSE	P O BOX 50, CLARENDON HILLS, IL 60515	N/A	PC	GRANT TO SUPPORT THE LOVE HELP PROGRAM	5,000 00
MARENGO AREA OUTREACH ENTERPRISE	829 GREENLEE STREET, MARENGO, IL 60152	N/A	PC	FOR EMERGENCY ASSISTANCE	8,000 00
MARKLUND	1S450 WYATT DRIVE, GENEVA, IL 60134	N/A	PC	GRANT IN SUPPORT OF A NURSING UNIT COORDINATOR	10,000 00
MORTON ARBORETUM	4100 IL ROUTE 53, LISLE, IL 60532-1293	N/A	PC	YOUTH EDUCATION OUTREACH PROGRAM	15,000 00
MUTUAL GROUND	P O BOX 843, AURORA, IL 60507	N/A	PC	CHILDREN'S DOMESTIC ADVOCACY PROGRAM	15,000 00
NAMI OF DUPAGE COUNTY, ILLINOIS	2100 MANCHESTER RD BLDG B STE 925, WHEATON, IL 60183	N/A	PC	GRANT IN SUPPORT OF EDUCATION AND SUPPORTIVE SERVICES	10,000 00
NEIGHBORHOOD HOUSING SERVICES OF	163 EAST CHICAGO STREET, ELGIN, IL 60120	N/A	PC	UNRESTRICTED GENERAL SUPPORT	10,000 00
OUTREACH COMMUNITY MINISTRIES	122 W LIBERTY DRIVE, WHEATON, IL 60187	N/A	PC	TO SUPPORT A POSITION IN THE SUBURB STUDENT PROGRAM	15,000 00
PIONEER CENTER FOR HUMAN SVCS	4001 DAYTON STREET, MCHENRY, IL 60050	N/A	PC	OPERATING SUPPORT OF PADS PROGRAM	15,000 00
POSITIVE PARENTING	105 S VILLA AVENUE, VILLA PARK, IL 60181	N/A	PC	UNRESTRICTED GENERAL SUPPORT	10,000 00
PRAIRIE STATE LEGAL SERVICES	1024 WEST MAIN STREET, ST CHARLES, IL 60174	N/A	PC	GRANT TO SUPPORT THE DUPAGE COUNTY LEGAL ASSISTANCE PROJECT	10,000 00
RENZ ADDICTION COUNSELING CENTER	ONE AMERICAN WAY, ELGIN, IL 60120	N/A	PC	TO START THE HABLEMOS AFTER SCHOOL PREVENTION PROGRAM	15,000 00
ROBERT CROWN CENTER	21 SALT CREEK LANE, HINSDALE, IL 60521	N/A	PC	AURORA PORTION OF THEIR PROGRAM	20,000 00
RUSH COPLEY MEDICAL CENTER	2000 OGDEN AVE STE 104, AURORA, IL 60504	N/A	PC	SWALLOW THERAPY FOR NEONATES PROGRAM	15,000 00
SAFE PASSAGE	P O BOX 621, DEKALB, IL 60115	N/A	PC	FOR OPERATING SUPPORT TO ALLEVIATE CASH FLOW PROBLEMS	10,000 00
SUICIDE PREVENTION SERVICES	528 SOUTH BATAVIA AVENUE, BATAVIA, IL 60510	N/A	PC	UNRESTRICTED GENERAL SUPPORT	10,000 00
TEEN PARENT CONNECTION	739 ROOSEVELT RD BLDG 8 STE 100, GLEN ELLYN, IL 60137	N/A	PC	TO SUPPORT THE ADOLESCENT FAMILY STRENGTHENING PROGRAMS	15,000 00
THE COMMUNITY HOUSE	414 WEST 8TH STREET, HINSDALE, IL 60521	N/A	PC	OPERATING SUPPORT FOR WILLOWBROOK CORNER NEIGHBORHOOD PROGRAM	10,000 00
THRESHOLDS	4101 NORTH RAVENSWOOD AVENUE, CHICAGO, IL 60613	N/A	PC	GRANT TO SUPPORT THE MCHENRY COUNTY PROGRAM	15,000 00
TRI CITY HEALTH PARTNERSHIP	318 WALNUT STREET, ST CHARLES, IL 60174	N/A	PC	UNRESTRICTED GENERAL SUPPORT	20,000 00
TURNING POINT	P O BOX 723, WOODSTOCK, IL 60098	N/A	PC	UNRESTRICTED GENERAL SUPPORT	25,000 00
WEST SUBURBAN COMMUNITY PANTRY	6809 HOBSON VALLEY DR #118, WOODRIDGE, IL 60517	N/A	PC	UNRESTRICTED GENERAL SUPPORT	10,000 00
					813,700 00

Bank of America Merrill Lynch

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Philanthropic Management

Foundation Overview

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Alfred Bersted Foundation

Program Type: Education, Health; Human Services

Area Served: IL

Proposal Due: Rolling

Restrictions: DeKalb, DuPage, Kane, & McHenry Counties

Mission

The Alfred Bersted Foundation was established in 1972 to support and promote quality educational, human services, and health care programming for underserved populations The Alfred Bersted Foundation specifically serves the people of DeKalb, DuPage, Kane, & McHenry counties in Illinois

Guidelines

The Alfred Bersted Foundation has a rolling application deadline. In general, applicants will be notified of grant decisions 3 to 4 months after proposal submission

Applicant organizations must have a physical presence in 1 of the following counties DeKalb, DuPage, Kane, or McHenry

The Alfred Bersted Foundation does not make grants to degree-conferring institutions of higher education, religious houses of worship, or organizations testing for public safety.

In general, grant requests for endowment campaigns will **not** be considered

The majority of grants from the Alfred Bersted Foundation are 1 year in duration

You will find submission information in the Application and Procedures module on the right-hand side of this page

Application and Procedures

This trust is managed by the Illinois office For the Illinois-specific application procedures (including proposal mailing address), please download the following

IL Procedures
PDF | Microsoft Word

To apply for a grant, please download and complete our standard application

Proposal Application
PDF | Microsoft Word

Bank Contact

Please feel free to contact us to discuss the application process or to answer any questions regarding the Alfred Bersted Foundation

Debra Grand
Sr Vice President
Bank of America Merrill Lynch
1 312 828 4154
ilgrantmaking
@bankofamerica com

231 South LaSalle Street
IL1-231-13-32
Chicago, IL 60604

Foundation Impact

To learn more about this foundation's impact, please visit the Foundation Center's interactive map or chart of the most recent grantees

Privacy & Security · Careers · Site Map

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BANK OF AMERICA MERRILL LYNCH

PHILANTHROPIC MANAGEMENT
Grantmaking Procedures – Illinois

I. INTRODUCTION

Bank of America serves as trustee, co-trustee or agent to numerous private foundations. Many of these foundations are managed by Philanthropic Management. In Illinois, the donors of several of these foundations have granted fairly broad discretion to Bank of America to design and execute a charitable grantmaking program to support nonprofit organizations serving vital community needs.

Working with either an internal distribution committee or in conjunction with a co-trustee, family member, advisory committee, or external advisor, we carefully evaluate grant proposals to ensure that meaningful grants are awarded in accordance with the philanthropic mission of each foundation. We've prepared these grantmaking guidelines as a tool to help the nonprofit community better understand the grantmaking goals and grant application process for these foundations.

The majority of grants are awarded in Illinois. However, please review Section IV of these guidelines to learn more about the various foundations and their geographic focus areas.

II. PHILANTHROPIC GOALS

Program Focus Areas

Through our support of charitable organizations, we seek to positively impact the lives of the traditionally underserved within our communities. Because each foundation has a unique charitable giving area, we ask that you please refer to our website, www.bankofamerica.com/grantmaking, to learn more about the program focus areas. You can navigate to all of these foundations using the Find a Foundation search feature, and then you can research each respective Foundation Overview page for further details and giving preferences of the foundations.

Type of Support

Some foundations have restrictions on the type of support (operating, program, etc.) that can be provided. The majority of grants are 1 year in duration, but, on occasion, multi-year support is awarded from some foundations. Please visit our website to learn more about the giving preferences and restrictions. As mentioned above, you can navigate to all of these foundations using the Find a Foundation search feature and then research each respective Foundation Overview page for further details and giving preferences of the various foundations.

Grantee Lists

You may review each foundation's most recent list of grantees in the 'Foundation Impact' section of each Foundation Overview page. The Foundation Center (www.foundationcenter.org) maintains an interactive U.S. map of foundation grants as part of its *Foundation Directory Online Professional*, a database of U.S. grantmakers and grants. For more information, please see the FAQ tab on the Home Page.

III. APPLICATION PROCEDURES

The Illinois office currently manages a number of separate discretionary foundations, each with its own mission statement and funding parameters. However, we have streamlined the application process. The first step in the application process is to select a foundation toward which to apply. This requires that you determine if there is a match in your organization's work with the funding parameters of any of the foundations.

Before You Apply

Before you start the application process, we recommend that you thoroughly research the information provided at www.bankofamerica.com/grantmaking. The website provides detailed information about each foundation, and the Find a Foundation search feature may assist you further in selecting the various foundations. Specifically, we recommend that you thoroughly read the **Foundation Overview** pages, which are 1-page summaries on each foundation, and the **Frequently Asked Questions** (FAQ) tab which provides helpful overview information. After exploring our website, you should be able to determine:

1. An appropriate foundation by entering the *Geographic Area Served* and/or *Program Type* preference(s) in the search filter.

2. Your organization in fact meets the geographic and/or programmatic parameters of the specific foundation.

3. The proposal deadline of the specific foundation, ensuring that your complete proposal is submitted at the appropriate time of the year.

Please refer to Section IV for brief detail about the geographic focus area(s) and proposal due dates of each foundation.

Submitting an Application

Please note that **several of the foundations have moved to an online application process**. For your convenience, foundations currently accepting online applications are in **bold** type in section IV below. Please see the individual Foundation Overview page at www.bankofamerica.com/grantmaking to access the 'Apply Now' link and to review the Online Application Help document for more detail.

For foundations that require a hard copy application, please follow the Philanthropic Management Standard Grant Application format, which includes a 2-page cover sheet that must be submitted with the request. You can download the Standard Grant Application format and Cover Sheet form from the Foundation Overview page at www.bankofamerica.com/grantmaking.

Application Page Limits for foundations requiring hard copy proposals. For the foundations that require the narrative section (questions 2-4 on the Standard Grant Application document), the total length of the narrative **must not exceed 5 pages.** Please refer to the individual foundation overviews for specific page number requirements for each foundation.

Please note the following important submission information for foundations requiring hard copy proposals:

- Please do **not** include any additional attachments other than those specifically requested by the foundation. In particular, please do not include video tapes, DVDs, pamphlets, annual reports, or logic models with your proposal submission.
- Email submissions are **not** accepted
- Please submit 1 proposal (some of the foundations require submission of more than 1 copy – please see chart below) on 8 ½" x 11" paper. We also ask that you **not** use binders or report covers.
- Any proposals received that do not follow the requirements as stated in this procedures document and on each foundation's Overview page will be returned as incomplete. This includes submitting all of the specified attachments and staying within the specified page limits.

Hard copy proposals must be **received in our office** on or before the application deadline. Please send to the attention of the Bank Contact as listed on the respective Foundation Overview page, at the following address:

Bank Contact (as stated on the Overview page)
Foundation Name
Bank of America Merrill Lynch
231 South La Salle Street, IL1-231-13-32
Chicago, IL 60604

For foundations accepting online applications, submission must be made by 11:59 p.m. on the day of the deadline.

Grant Reporting

If awarded a grant, you will be asked to complete a final grant report or to submit a board resolution/certification on the use of the funds. Details of these requirements will be stated in the grant letter. A final report must be submitted within the required time frame prior to a new proposal being submitted.

IV. LIST OF FOUNDATIONS

Below is a full list of the discretionary foundations managed by the Illinois office with geographic focus areas, corresponding application deadlines, decision dates, and number of copies required to be submitted in their entirety. **Please note that the foundation names in <u>bold</u> below have moved to an online proposal process.**

Name of Foundation	**Geographic Focus of Foundation**	**Application Deadline***	**Decision Date**	**# of copies**
Alfred Bersted Foundation	IL** (DeKalb, DuPage, Kane & McHenry Counties)	Rolling	Within 3-4 months of submission	1
Elizabeth Morse Genius Charitable Trust	IL** (Chicago & Cook County)	Rolling	Within 3-4 months of submission	2
Henrietta Lange Burk Fund	IL** (Chicago Metropolitan Area)	Rolling	Within 3-4 months of submission	**N/A – Online Application**
Colonel Stanley R. McNeil Foundation	IL** (Chicago Metropolitan Area)	Rolling	Within 3-4 months of submission	**N/A – Online Application**
Foundation For Health Enhancement	IL** (Chicago, Metropolitan Area)	April 1 September 1	Within 3-4 months of submission	**N/A – Online Application**
A Montgomery Ward Foundation	IL** (Chicago & Surrounding Communities)	April 30 October 31	June 30 December 31	1
Edward N. & Della L. Thome Memorial Foundation*	MD, MI, National	June 15, Rolling	December 31	**N/A – Online Application**
Russell & Josephine Kott Memorial Charitable Trust	IL	July 31	November 30	3
Marion Gardner Jackson Charitable Trust	IL** (Quincy, IL & surrounding communities)	July 31	January 15	**N/A – Online Application**
Carl R Hendrickson Family Foundation	IL	July 31	December 31	2
Grace Bersted Foundation	IL** (DuPage, Kane, Lake & McHenry Counties)	September 1	December 31	**N/A – Online Application**
* If the application deadline date falls on a weekend or holiday, proposals must be received by the **next** business day				
**Indicates a further geographic restriction				

V. CONTACTS

Please feel free to email or call us to discuss your grant request before preparing a formal proposal.

Foundation Name

Alfred Bersted Foundation
Grace Bersted Foundation
Carl R. Hendrickson Family Foundation
Marion Gardner Jackson Charitable Trust
Montgomery Ward Foundation

Debra L. Grand Sr. Vice President	ilgrantmaking@bankofamerica.com	1.312.828.4154

Henrietta Lange Burk Fund
Foundation for Health Enhancement
Russell & Josephine Kott Memorial Charitable Trust
Colonel Stanley R. McNeil Foundation
Edward N. & Della L. Thome Memorial Foundation

George Thorn Vice President	ilgrantmaking@bankofamerica.com	1.312.828.4154

Elizabeth Morse Genius Charitable Trust

Kristin Carlson Vogen Sr. Vice President	ilgrantmaking@bankofamerica.com	1.312.828.4154

VI. FREQUENTLY ASKED QUESTIONS (FAQs)

The following FAQs provide Illinois-specific guidance, but we encourage you to also review the FAQ tab at www.bankofamerica com/grantmaking for a complete understanding of the grantmaking process. On the FAQ tab, we provide more nationally oriented FAQs.

Grantmaking Process

1. Which foundation should we choose? Do I need to direct my proposal to a particular one?
You will need to select a particular foundation to direct your application. Each of the foundations that our group manages has its own mission statement and funding parameters. Please research these foundations on our website first, and if you have further questions, please feel free to contact us.

2. Who reviews my proposal and makes the final funding decision?
After the proposal is received, the Grants Manager will conduct a preliminary check to ensure it is complete and meets with the funding parameters for the foundation. If it is complete, a Program Officer will take the lead on reviewing the proposal, conducting site visits, and requesting any additional information if needed. We may also work with a co-trustee or Advisory Committee in conducting this review. Once the due diligence process is complete, the Program Officers and other staff meet to discuss the merits of all proposals and make preliminary staff recommendations in the context of all pending requests. These recommendations are then submitted to our Distribution Committee, which makes the final funding decisions.

Applying for a Grant

3. How do I identify an appropriate dollar request?
Foundations consider several factors when reviewing a request. These include: the significance of the need being addressed by the organization/project; how effectively the organization is working toward meeting that need; the organization and/or project budget size; and the connection of the organization/budget to the foundation's mission.

4. Are the Grant Application Format page number suggestions flexible?
No. For all of the Illinois foundations that accept the narrative sections (questions 2-4 on the Standard Grant Application format document), we require these sections to total 5 pages or less. Please refer to the individual foundation overviews for specific page number requirements for each foundation.

5. **Are there other funding opportunities in Illinois available from foundations beyond the 8 described in this document and on the website?**

Yes. There are many private foundations managed at Bank of America Merrill Lynch and serviced by Philanthropic Management. We have provided background and application information for certain foundations where Bank of America Merrill Lynch associates are involved in the discretionary grantmaking aspect of managing the foundation.

For other foundations administered by the Illinois Philanthropic Management office, the donors may have elected to focus the grantmaking scope or manage the grantmaking process themselves. For instance, some foundations serve select program or geographic parameters where broader outreach is not appropriate at this time. Alternatively, other foundations may not accept unsolicited proposals or may have alternate forms of communication. Should you have questions about another foundation you know this office administers, please email ilgrantmaking@bankofamerica.com.

Please check back periodically, as additional foundations may be added to this site.

FEDERAL FOOTNOTES

PART VIII, THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.