



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2012**

Open to Public Inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation <b>Alben F. &amp; Clara G. Bates Foundatio</b>		A Employer identification number <b>36-6081072</b>
Number and street (or P O box number if mail is not delivered to street address) <b>159 Cottage Hill Road, Apt #113</b>		B Telephone number (see instructions) <b>630-832-1070</b>
City or town, state, and ZIP code <b>Elmhurst IL 60126</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Initial return  <input type="checkbox"/> Final return  <input type="checkbox"/> Address change         </div> <div> <input type="checkbox"/> Initial return of a former public charity  <input type="checkbox"/> Amended return  <input type="checkbox"/> Name change         </div> </div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 0</b>	J Accounting method. <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	5,412	5,412		
	4 Dividends and interest from securities	120,499	120,499		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10 <b>Stmt 1</b>	-435			
	b Gross sales price for all assets on line 6a <b>294,814</b>				
	7 Capital gain net income (from Part IV, line 2)		6,073		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	125,476	131,984	0		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc	10,780	10,780		
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) <b>Stmt 2</b>	1,885	1,885		
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) <b>Stmt 3</b>	3,968	1,968		
	19 Depreciation (attach schedule) and depletion <b>Stmt 4</b>	118			
	20 Occupancy	4,963	4,963		
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (att sch) <b>Stmt 5</b>	423	423		
	24 Total operating and administrative expenses. Add lines 13 through 23	22,137	20,019	0	0
	25 Contributions, gifts, grants paid	331,675			331,675
26 Total expenses and disbursements. Add lines 24 and 25	353,812	20,019	0	331,675	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-228,336				
b Net investment income (if negative, enter -0-)		111,965			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)

39

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash – non-interest-bearing	141,579	75,831	
	2 Savings and temporary cash investments	270,000	90,000	
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ <b>See Wrk</b> Less allowance for doubtful accounts ▶	0 450		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) <b>See Stmt 6</b>	672,500	3,209,149	
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule) <b>See Statement 7</b>	2,518,651		
Liabilities	14 Land, buildings, and equipment basis ▶ <b>4,922</b> Less accumulated depreciation (attach sch) ▶ <b>Stmt 8</b> <b>1,562</b>	3,478	3,360	
	15 Other assets (describe ▶ )			
	16 <b>Total assets</b> (to be completed by all filers – see the instructions Also, see page 1, item I)	3,606,658	3,378,340	0
	17 Accounts payable and accrued expenses	401	419	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ )			
	23 <b>Total liabilities</b> (add lines 17 through 22)	401	419	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	3,606,257	3,377,921	
	30 <b>Total net assets or fund balances</b> (see instructions)	3,606,257	3,377,921	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions)	3,606,658	3,378,340	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,606,257
2 Enter amount from Part I, line 27a	2	-228,336
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	3,377,921
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	3,377,921

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	<b>L-term capital gain distr.</b>			
<b>b</b>	<b>S-term capital gain distr.</b>			
<b>c</b>				
<b>d</b>				
<b>e</b>				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 2,890			2,890
<b>b</b> 3,183			3,183
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			2,890
<b>b</b>			3,183
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	<b>2</b>	6,073
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	317,500	4,397,641	0.072198
2010	373,000	4,343,940	0.085867
2009	360,565	4,091,730	0.088120
2008	364,050	5,074,377	0.071743
2007	401,500	5,534,007	0.072551

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.390479
<b>3</b> Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.078096
<b>4</b> Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	<b>4</b>	4,378,041
<b>5</b> Multiply line 4 by line 3	<b>5</b>	341,907
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	1,120
<b>7</b> Add lines 5 and 6	<b>7</b>	343,027
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	331,675

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	<b>2,239</b>
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	<b>0</b>
<b>3</b>	Add lines 1 and 2	<b>3</b>	<b>2,239</b>
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	<b>0</b>
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	<b>2,239</b>
<b>6</b>	<b>Credits/Payments</b>		
<b>a</b>	2012 estimated tax payments and 2011 overpayment credited to 2012	<b>6a</b>	<b>3,288</b>
<b>b</b>	Exempt foreign organizations – tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	<b>Total credits and payments.</b> Add lines 6a through 6d	<b>7</b>	<b>3,288</b>
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	<b>1,049</b>
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2013 estimated tax</b> <b>1,049</b> <b>Refunded</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>X</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		<b>X</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		<b>X</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <b>\$</b> _____ (2) On foundation managers <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		<b>X</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		<b>X</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<b>X</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		<b>X</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>X</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	<b>X</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>IL</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	<b>X</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		<b>X</b>
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		<b>X</b>

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		<b>X</b>
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		<b>X</b>
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13	<b>X</b>	
14	The books are in care of ► <b>Josephine Prasil</b> <b>159 Cottage Hill Rd.</b> Located at ► <b>Elmhurst</b> IL ZIP+4 ► <b>60126</b> Telephone no ► <b>630-832-1070</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year ► <b>15</b>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No <b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A <input type="checkbox"/> <b>1b</b>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? N/A <b>1c</b>		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ► 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions ) N/A <b>2b</b>		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012 ) N/A <b>3b</b>		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	<b>X</b>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	<b>X</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b>	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A		<b>5b</b>
	Organizations relying on a current notice regarding disaster assistance check here	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			<b>6b</b>
	If "Yes" to 6b, file Form 8870			<b>X</b>
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
<b>b</b>	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A		<b>7b</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 9				

**2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 See Statement 10	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3 ▶



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	<b>4,237,811</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b>	<b>206,901</b>
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	<b>0</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	<b>4,444,712</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	<b>0</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	<b>0</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	<b>4,444,712</b>
<b>4</b>	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	<b>66,671</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>4,378,041</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	<b>218,902</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part )

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	<b>218,902</b>
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5	<b>2a</b>	<b>2,239</b>
<b>b</b>	Income tax for 2012 (This does not include the tax from Part VI )	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	<b>2,239</b>
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>	<b>216,663</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	<b>216,663</b>
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	<b>216,663</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	<b>1a</b>	<b>331,675</b>
<b>b</b>	Program-related investments – total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	<b>331,675</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	<b>0</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	<b>331,675</b>

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
<b>1</b> Distributable amount for 2012 from Part XI, line 7				<b>216,663</b>
<b>2</b> Undistributed income, if any, as of the end of 2012				
<b>a</b> Enter amount for 2011 only				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2012				
<b>a</b> From 2007	<b>130,003</b>			
<b>b</b> From 2008	<b>113,362</b>			
<b>c</b> From 2009	<b>157,848</b>			
<b>d</b> From 2010	<b>157,535</b>			
<b>e</b> From 2011	<b>100,608</b>			
<b>f</b> Total of lines 3a through e	<b>659,356</b>			
<b>4</b> Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <b>331,675</b>				
<b>a</b> Applied to 2011, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)				
<b>d</b> Applied to 2012 distributable amount				<b>216,663</b>
<b>e</b> Remaining amount distributed out of corpus	<b>115,012</b>			
<b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	<b>774,368</b>			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions				
<b>e</b> Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions				
<b>f</b> Undistributed income for 2012 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				<b>0</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	<b>130,003</b>			
<b>9</b> Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	<b>644,365</b>			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2008	<b>113,362</b>			
<b>b</b> Excess from 2009	<b>157,848</b>			
<b>c</b> Excess from 2010	<b>157,535</b>			
<b>d</b> Excess from 2011	<b>100,608</b>			
<b>e</b> Excess from 2012	<b>115,012</b>			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling <span style="float: right;">▶</span>					
<b>b</b> Check box to indicate whether the foundation is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test – enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> "Support" alternative test – enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ) <b>N/A</b>	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest <b>N/A</b>	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b> Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.	
<b>a</b> The name, address, and telephone number or e-mail of the person to whom applications should be addressed <b>N/A</b>	
<b>b</b> The form in which applications should be submitted and information and materials they should include <b>N/A</b>	
<b>c</b> Any submission deadlines <b>N/A</b>	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors. <b>N/A</b>	

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year <b>See Statement 11</b>				<b>331,675</b>
<b>Total</b>			<b>▶ 3a</b>	<b>331,675</b>
<b>b</b> Approved for future payment <b>N/A</b>				
<b>Total</b>			<b>▶ 3b</b>	





Form **4562**

# Depreciation and Amortization

(Including Information on Listed Property)

OMB No 1545-0172

**2012**Attachment  
Sequence No **179**Department of the Treasury  
Internal Revenue Service (99)

▶ See separate instructions.

▶ Attach to your tax return.

Name(s) shown on return

**Alben F. & Clara G. Bates Foundatio**

Identifying number

**36-6081072**

Business or activity to which this form relates

**Indirect Depreciation****Part I Election To Expense Certain Property Under Section 179****Note:** If you have any listed property, complete Part V before you complete Part I

1	Maximum amount (see instructions)	1	<b>500,000</b>
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	<b>2,000,000</b>
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2011 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2013 Add lines 9 and 10, less line 12	13	

**Note:** Do not use Part II or Part III below for listed property Instead, use Part V**Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions)**

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

**Part III MACRS Depreciation (Do not include listed property) (See instructions.)****Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2012	17	<b>118</b>
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

**Section B—Assets Placed in Service During 2012 Tax Year Using the General Depreciation System**

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	

**Section C—Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System**

20a Class life				S/L	
b 12-year			12 yrs	S/L	
c 40-year			40 yrs	MM	S/L

**Part IV Summary (See instructions.)**

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	<b>118</b>
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form **4562** (2012)

DAA

There are no amounts for Page 2

**Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets**

Whom Sold	Description	Date Acquired	Date Sold	How Received		Cost	Expense	Depreciation	Net Gain / Loss
				Sale Price	Purchase				
2771.619 sh American Mutual Fund		12/31/08	5/09/12	\$ 75,000	Purchase	84,511	\$	\$	-9,511
90000 sh BMW Bank of North America		8/20/10	11/29/12	90,477	Purchase	90,000			477
90000 sh Capital Bank CD		4/09/09	10/22/12	90,000	Purchase	90,000			
750 sh Home Depot Inc		1/04/06	2/01/12	33,264	Purchase	30,738			2,526
Total				\$ 288,741	\$	295,249	\$	0	\$ -6,508

**Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Professional fees	\$ 1,885	\$ 1,885	\$	\$
Total	\$ 1,885	\$ 1,885	0	0

**Statement 3 - Form 990-PF, Part I, Line 18 - Taxes**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Annual filing fee	\$ 15	15	\$	\$
Payroll taxes	906	906		
Foreign Tax	1,047	1,047		
Income tax	2,000			
Total	\$ 3,968	\$ 1,968	0	0



# Federal Statements

## Statement 4 - Form 990-PF, Part I, Line 19 - Depreciation

Description		Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
Leasehold Improvements									
	6/30/02 \$	4,605	\$	1,127	S/L	39	\$ 118	\$	\$
Computer (1/3 share)									
	6/30/11	317		317	200DB	5			
Total		\$	4,922	\$	1,444		\$ 118	\$ 0	\$ 0

## Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
Safety deposit box rental	60	60		
Office supplies expense	58	58		
Postage & delivery	55	55		
Insurance	250	250		
Total	\$ 423	\$ 423	\$ 0	\$ 0

# Federal Statements

## Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Corporate stocks	\$ 672,500	\$ 2,567,386	Cost	\$
Mutual funds		641,763		
Stocks				
Total	\$ 672,500	\$ 3,209,149		\$ 0

## Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Mutual Funds	\$ 2,518,651	\$	Cost	\$
Total	\$ 2,518,651	\$ 0		\$ 0

## Statement 8 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
Improvements	\$ 3,478	\$ 4,922	\$ 1,562	\$
Total	\$ 3,478	\$ 4,922	\$ 1,562	\$ 0

## Federal Statements

8/14/2013 12:51 PM

Page 4

### Statement 9 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
Josephine B. Prasil 159 Cottage Hill Avenue #113 Elmhurst IL 60126	VP/Sec/Asst	10.00	10,780	0	0
Robert Wier 159 Cottage Hill Avenue #113 Elmhurst IL 60126	Director	1.00	0	0	0
Henry G. Bates 159 Cottage Hill Avenue #113 Elmhurst IL 60126	Treasurer	1.00	0	0	0
Christine B. Crum 159 Cottage Hill Avenue #113 Elmhurst IL 60126	President	1.00	0	0	0
Jill E. Dickens 159 Cottage Hill Avenue #113 Elmhurst IL 60126	VP	1.00	0	0	0

**Statement 10 - Form 990-PF, Part IX-A, Line 1 - Summary of Direct Charitable Activities****Description**

All income received was distributed directly to charitable organizations as listed on the attachment to this return and as shown on Part 1, line 25. No conferences, research papers, etc. were involved. All distributions were simply mailed out to recipient organizations.

# Federal Statements

## Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name	Address	Relationship	Status	Purpose	Amount
Academy at Ocean Reef Key Largo FL 33037	none	395 S Harbor Drive	unrestricted	unrestricted	250
Alan Guttmacher Institute New York NY 10005	none	120 Wall Street	unrestricted	unrestricted	250
Alzheimer's Assoc - Greater Illinois Chicago IL 60631-3473	none	8430 W. Bryn Mawr Avenue	unrestricted	unrestricted	1,000
American Cancer Society Oklahoma City OK 73123-17	none	PO Box 22718	unrestricted	unrestricted	2,500
American Diabetes Association Merrifield VA 22116-8034	none	PO Box 1834	unrestricted	unrestricted	2,500
American Heart Association Chicago IL 60604	none	208 S LaSalle	unrestricted	unrestricted	2,100
American Red Cross Washington DC 20006	none	2025 E. Street, NW	unrestricted	unrestricted	1,000
Anti Cruelty Society Chicago IL 60610	none	157 W. Grand Avenue	unrestricted	unrestricted	1,000
Art Institute of Chicago Chicago IL 60603-6110	none	111 S Michigan Avenue	unrestricted	unrestricted	500
Arthritis Foundation Chicago IL 60601	none	35 E Wacker Dr. Suite 226	unrestricted	unrestricted	500
Assembly Bd of Hinsdale Ftn Hinsdale IL 60521	none	120 N Oak Street	unrestricted	unrestricted	500
Aurora University - Music on the La Williams Bay WI 53191	none	350 Constance Boulevard	unrestricted	unrestricted	1,000
Avery Coonley School Downers Grove IL 60515-48	none	1400 Maple Avenue	unrestricted	unrestricted	3,600
Baptist Hospital Foundation Miami FL 33176-2197	none	8900 N Kendall Drive	unrestricted	unrestricted	10,000
Brain Research Foundation Chicago IL 60602	none	111 W Washington Suite 17	unrestricted	unrestricted	500
Bristol Art Museum Bristol RI 02809	none	PO Box 42	unrestricted	unrestricted	500
Brookfield Zoo Brookfield IL 60513	none	3300 Golf Road	unrestricted	unrestricted	500

## Federal Statements

**Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the**  
**Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
BSA Three Fires Council		415 N. 2nd Street				unrestricted	1,000
St Charles IL 60174	none	300 S Randall Road				unrestricted	9,000
Cadence Health Foundation		N8901 Army Lake Road				unrestricted	4,570
Geneva IL 60134	none	2160 W First Avenue				unrestricted	10,000
Camp Edwards		PO Box 1080				unrestricted	1,000
East Troy WI 53120-2181	none	Clark Street @ North Aven				unrestricted	800
Cardinal Bernadin Cancer Center	none	565 W Adams Suite 310				unrestricted	500
Maywood IL 60153	none	636 Morris Turnpike Suite				unrestricted	2,250
Central Iowa Symphony		303 Day Hall				unrestricted	15,000
Ames IA 50014-1080	none	6931 Arlington Road				unrestricted	2,000
Chicago Historical Society	none	PO Box 5030				unrestricted	3,500
Chicago IL 60614-6099	none	301 N Washington Street				unrestricted	5,000
Chicago Kent Alumni Bd Scholarship	none	PO Box 1460				unrestricted	800
Chicago IL 60661-3691	none	350 Park Avenue				unrestricted	2,500
Christopher & Dana Reeve Foundation	none	150 Cottage Hill Avenue				unrestricted	3,250
Short Hills NJ 7078	none	190 S. Prospect Avenue				unrestricted	20,000
Cornell University		211 W First Street				unrestricted	10,000
Ithaca NY 14851	none						
Cystic Fibrosis Foundation							
Bethesda MD 20814	none						
Doctors Without Borders							
Hagerstown MD 21741-5030	none						
DuPage Childrens Museum	none						
Naperville IL 60189	none						
DuPage Historical Society	none						
Wheaton IL 60189	none						
Elgin Academy							
Elgin IL 60120	none						
Elmhurst Art Museum	none						
Elmhurst IL 60126	none						
Elmhurst College	none						
Elmhurst IL 60126	none						
Elmhurst Family YMCA	none						
Elmhurst IL 60126	none						

# Federal Statements

## Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Address							
Elmhurst Heritage Foundation Elmhurst IL 60126		none	120 E Park			unrestricted	20,000
Elmhurst Memorial Healthcare Elmhurst IL 60126		none	155 E. Brush Hill Road			unrestricted	20,000
Elmhurst Public Library Elmhurst IL 60126		none	125 S. Prospect			unrestricted	5,000
Elmhurst Symphony Orchestra Elmhurst IL 60126		none	PO Box 245			unrestricted	1,000
Emergency Residence Project Ames IA 50010		none	225 S. Kellogg			unrestricted	1,500
Episcopal Church of Our Savior Elmhurst IL 60126		none	116 E. Church Street			unrestricted	1,000
Evans Scholarship Foundation Golf IL 60029		none	1 Briar Road			unrestricted	500
Farm Sanctuary Watkins Glen NY 14891-015		none	PO Box 150			unrestricted	1,000
Field Museum of Natural History Chicago IL 60605-2496		none	1400 S. Lake Shore Drive			unrestricted	750
First Congregational Church Elmhurst IL 60126		none	235 S. Kenilworth			unrestricted	3,000
Fontana Public Library Fontana WI 53125		none	166 2nd Avenue			unrestricted	1,000
Friends of Guardian Ad Litem Hillsborough NC 27278		none	4202 Carriage Road			unrestricted	3,000
1000 Friends of Iowa Des Moines IA 50310-1300		none	3850 Merle Hay Road #605			unrestricted	2,500
Geneva Lake Area Foundation Lake Geneva WI 53147		none	PO Box 71			unrestricted	1,000
Geneva Lake Association Lake Geneva WI 53147		none	PO Box 412			unrestricted	1,000
Geneva Lake Conservatory Fontana WI 53125		none	PO Box 588 398 Mill Stree			unrestricted	1,000
Geneva Lake Environmental Agency Williams Bay WI 53191-091		none	PO Box 914			unrestricted	3,500

## Federal Statements

**Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the  
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
Geneva Lake Environmental Education	Fontana WI 53125-0244	Education	none	PO Box 244		unrestricted	1,000
Geneva Lake Level Corporation	Lake Geneva WI 53147	none	none	PO Box 656		unrestricted	1,000
Geneva Lake Water Safety Committee	Lake Geneva WI 53147	Committee	none	PO Box 98		unrestricted	2,000
GIGI's Playhouse - Chicago	Chicago IL 60613	none	none	3948 N. Lincoln Avenue		unrestricted	1,000
Glenwood School for Boys and Girls	Glenwood IL 60425	none	none	500 W 187th Street		unrestricted	500
Goodwill Industries	Chicago IL 60636	none	none	6050 S Western		unrestricted	500
Graue Mill and Museum	Oak Brook IL 60523	none	none	3800 S. York Road		unrestricted	1,000
Guiding Eyes for the Blind	Yorktown Heights NY 10598	none	none	611 Granite Springs Rd		unrestricted	1,500
Habitat for Humanity	Wheaton IL 60187-5207	none	none	213 S Wheaton Ave		unrestricted	500
Hinsdale Community House	Hinsdale IL 60521	none	none	415 W Eighth Street		unrestricted	2,500
Hinsdale Historical Society	Hinsdale IL 60521	none	none	15 S Clay Street		unrestricted	500
Hinsdale Hospital Foundation	Hinsdale IL 60521	none	none	120 N Oak Street		unrestricted	2,000
Holiday Home	Williams Bay 53191	none	none	361 N. Lake Shore Drive P		unrestricted	2,000
Horticultural Hall Foundation	Lake Geneva WI 53147	none	none	PO Box 71		unrestricted	1,000
Humane Farming Association	San Rafael CA 94912	none	none	PO Box 2577		unrestricted	2,000
Iowa Environmental Council	Des Moines IA 50209	none	none	521 E Locust St Suite 220		unrestricted	1,500
Iowa Environmental Wildlife Center	Ames IA 50010	none	none	328 Main St Suite 208		unrestricted	1,215



# Federal Statements

## Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name	Address	Relationship	Status	Purpose	Amount
Keys Children Foundation	Key Largo FL 33037-5267	none	24 Docksidge Lane PMB 139	unrestricted	4,000
KHOI-FM Radio	Ames IA 50010	none	410 Douglas Ave	unrestricted	2,000
La Grange Memorial Hospital	LaGrange IL 60525-2600	none	5101 Willow Springs Road	unrestricted	1,000
La Rabida Children's Hospital	Chicago IL 60649-9980	none	E 65th Street	unrestricted	1,000
Lake Geneva Fresh Air Association	Williams Bay WI 53191	none	PO Box 10 361 N. Lake Sho	unrestricted	2,000
Lake Geneva Public Library Foundati	Lake Geneva WI 53147	none	918 W. Main	unrestricted	1,000
Lake Geneva YMCA	Lake Geneva WI 53147	none	203 Wells Street	unrestricted	1,000
Lakeland Medical Center	Elkhorn WI 53121	none	W3985 Cty Road NN	unrestricted	5,000
League of Women Voters of US	Ames IA 50010	none	2130 Duff Avenue	unrestricted	1,500
Lincoln Park Zoological Society	Chicago IL 60614	none	2001 N. Clark Street	unrestricted	500
Living Well Cancer Research Center	Geneva IL 60134	none	1803 W State Street	unrestricted	4,365
Lizzadro Museum	Elmhurst IL 60126	none	220 Cottage Hill Avenue	unrestricted	3,000
Lurie Childrens Hospital Foundation	Chicago IL 60611-2605	none	225 W Chicago Ave Box 4	unrestricted	5,000
Make a Wish Foundation of Illinois	Chicago IL 60610-3754	none	640 N. LaSalle Suite 280	unrestricted	3,500
March of Dimes	Chicago IL 60604	none	111 W. Jackson Suite 2200	unrestricted	1,000
Mariners Hospital Foundation	Miami FL 33176	none	8900 N Kendall Drive	unrestricted	675
Mayo Foundation	Rochester MN 55905	none	200 First Street Sw	unrestricted	500

# Federal Statements

## Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name	Address	Relationship	Status	Purpose	Amount
McLean Hospital Belmont MA 02478-9106	none	115 Mill Street	unrestricted	unrestricted	2,500
Mercy Home for Boys and Girls Chicago IL 60607	none	1140 W. Jackson Boulevard	unrestricted	unrestricted	1,000
Mercy Walworth Medical Center Janesville WI 53547-5003	none	1000 Mineral Point Avenue	unrestricted	unrestricted	5,000
Morton Arboretum Lisle IL 60532-1293	none	4100 Illinois Route 53	unrestricted	unrestricted	1,000
Museum of Science and Industry Chicago IL 60637	none	57th Street & Lake Shore	unrestricted	unrestricted	750
National Alopecia Areata Foundation San Rafael CA 94903	none	14 Mitchell Boulevard	unrestricted	unrestricted	4,800
National Resources Defense Council New York NY 10011	none	40 W. 20th Street	unrestricted	unrestricted	4,000
Nature Conservancy Arlington VA 22203-1606	none	4245 N. Fairfax Drive Sui	unrestricted	unrestricted	5,000
NC Botanical Garden Gifts Chapel Hill NC 27599-3375	none	CB#33375 Totten Center	unrestricted	unrestricted	2,000
Ocean Reef Historical Society Key Largo FL 33037	none	200 Anchor Drive	unrestricted	unrestricted	500
Ocean Reef Medical Center Foundatio Key Largo FL 33037	none	50 Barracuda Lane	unrestricted	unrestricted	1,000
Paralyzed Veterans of America Washington DC 20006-3517	none	801 Eighteenth Street NW	unrestricted	unrestricted	1,000
PETA Norfolk VA 23510	none	501 Front Street	unrestricted	unrestricted	2,000
Planned Parenthood of Chicago Chicago IL 60610	none	18 S Michigan Ave 6th Flo	unrestricted	unrestricted	15,000
Planned Parenthood of the Heartland Des Moines IA 50305-4557	none	PO Box 4557	unrestricted	unrestricted	1,500
Protestant Foundation of Ocean Reef Key Largo FL 33037	none	23 Ocean Reef Drive	unrestricted	unrestricted	1,000
Ray Graham Association Downers Grove IL 60515	none	2801 S Finley Road	unrestricted	unrestricted	3,000

# Federal Statements

## Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Robert Crown Center for Health Hinsdale IL 60521	none	21 Salt Creek Lane				unrestricted	250
Salvation Army Oak Brook Terrace IL 6018	none	1S 415 Summit Avenue				unrestricted	1,000
Seguin Services Cicero IL 60804	none	3100 S Central				unrestricted	3,000
Shedd Aquarium Chicago IL 60605	none	1200 S. Lake Shore Drive				unrestricted	750
Shriners Hospital for Children Tampa FL 33607	none	2900 Rocky Point Drive				unrestricted	2,000
Smile Train Washington DC 20090-6231	none	PO Box 96231				unrestricted	1,650
Smithsonian Institute Pittsfield MA 01202-9016	none	PO Box 9016				unrestricted	250
Special Kids Day Elmhurst IL 60126	none	533 Spring Road				unrestricted	400
St Charles Singers St Charles IL 60174	none	617 Steeplechase Road				unrestricted	1,000
St Johns Northwestern Military Acad Delafield WI 53018-1498	none	1101 Genesee Street				unrestricted	1,000
Tri City Family Services Geneva IL 60134	none	1120 Randall Court				unrestricted	2,500
Tri City Health Partnership St Charles IL 60174	none	318 Walnut				unrestricted	2,500
Triangle Wildlife Reahabilitation C Durham NC 27713	none	1417 Seaton Rd				unrestricted	2,000
United Comm Concerns Association Elmhurst IL 60126	none	125 W. Church Street				unrestricted	1,000
United Way of DuPage Oak Brook IL 60522	none	PO Box 5317				unrestricted	2,000
US Fund for UNICEF New York NY 10038	none	125 Maiden Lane				unrestricted	2,250
USO - World Headquarters Washington DC 20090-6860	none	PO Box 96860				unrestricted	1,500

## Federal Statements

**Statement 11 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the**  
**Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
Vassar College Poughkeepsie NY 12604-001 VFW				Box 14-124 Raymaond Avenu	unrestricted		3,200
Kansas City MO 64111 Wellness House				406 W 34th Street	unrestricted		1,000
Hinsdale IL 60521 WM Guy Forbeck Research Foundation				131 N County Line Road	unrestricted		2,500
Hilton Head Island SC 299 World Wildlife Fund				23 Peninsula Drive	unrestricted		1,000
Washington DC 20037 WTTW Channel 11				1250 24th Street NW	unrestricted		2,000
Chicago IL 60625-4698 Younglife Service Center				5400 N St. Louis Avenue	unrestricted		750
Hinsdale IL 60521-3973				18 E Fourth Street	unrestricted		250
Total							331,675