Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation
RICE FOUNDATION

A Employer identification number
36-6043160

Number and street (or P O box number if mail is not delivered to street address)
8600 GROSS POINT ROAD

Room/suite

B Telephone number (see instructions)
847-581-9999

City or town, state, and ZIP code
SKOKIE ILLINOIS 60077-2151

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 77,336,109

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	277,316	277,316		
	4	Dividends and interest from securities	1,447,675	1,447,675		
	5 a	Gross rents	282,577	282,577		
	b	Net rental income or (loss) 641,170				
	6 a	Net gain or (loss) from sale of assets not on line 10	899,865			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		899,865		
	8	Net short-term capital gain				
	9	Income modifications				
	10 a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	430,320	430,320		
	12	**Total.** Add lines 1 through 11	3,337,753	3,337,753	N/A	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	276,432	89,081		187,351
	14	Other employee salaries and wages	119,616	16,985		102,631
	15	Pension plans, employee benefits	275,665	113,453		162,213
	16 a	Legal fees (attach schedule)	661	231		430
	b	Accounting fees (attach schedule)	82,090	62,251		19,839
	c	Other professional fees (attach schedule)	308,676	303,557		5,018
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	96,151	55,382		
	19	Depreciation (attach schedule) and depletion	78,420	30,508		
	20	Occupancy	61,484	15,371		46,113
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	27,471	9,973	N/A	17,498
	24	**Total operating and administrative expenses.** Add lines 13 through 23	1,326,566	696,792	0	541,093
	25	Contributions, gifts, grants paid	4,047,400			4,047,400
	26	Total expenses and disbursements. Add lines 24 and 25 .	5,373,966	696,792	0	4,588,493
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	-2,036,213			
	b	**Net investment income** (if negative, enter -0-)		2,640,961		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

For Paperwork Reduction Act Notice, see instructions.

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		Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	 (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	32,046	133,509	133,509
	2	Savings and temporary cash investments	567,786	411,571	411,571
	3	Accounts receivable ▶ 0			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ 0			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)	2,998,750		
	b	Investments—corporate stock (attach schedule)	60,874,363	62,006,509	65,462,883
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ 7,209,567			
		Less accumulated depreciation (attach schedule) ▶	7,120,000	7,209,567	8,676,462
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	5,332,541	5,332,541	1,063,308
	14	Land, buildings, and equipment basis ▶ 2,218,584			
		Less accumulated depreciation (attach schedule) ▶ 1,218,147	1,053,506	1,000,437	1,000,437
	15	Other assets (describe ▶ SCHEDULE ATTACHED)	736,932	587,939	587,939
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	78,715,924	76,682,073	77,336,109
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ SECURITY DEPOSITS)	2,200	4,562	
	23	**Total liabilities** (add lines 17 through 22)	2,200	4,562	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	78,713,724	76,677,511	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	78,713,724	76,677,511	
	31	**Total liabilities and net assets/fund balances** (see instructions)	78,715,924	76,682,073	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	78,713,724
2	Enter amount from Part I, line 27a	2	-2,036,213
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	76,677,511
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	76,677,511

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	899,865
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	schedules attached

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	3,598,486	81,052,267	0 044397
2010	3,859,936	77,237,029	0 049975
2009	3,666,247	68,254,167	0 053715
2008	3,344,010	78,300,605	0 042707
2007	4,453,067	86,744,048	0 051336

2	**Total** of line 1, column (d)	2	0 242130
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 048426
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	78,436,925
5	Multiply line 4 by line 3	5	3,798,387
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	26,410
7	Add lines 5 and 6	7	3,824,797
8	Enter qualifying distributions from Part XII, line 4	8	4,588,493

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ (attach copy of letter if necessary—see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	26,410
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2			3	26,410
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	26,410
6	Credits/Payments.				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	85,000		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	85,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	58,590
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶		Refunded ▶	11	58,590

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ILLINOIS			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶

14 The books are in care of ▶ THE RICE FOUNDATION Telephone no ▶ 847-581-9999

Located at ▶ 8600 GROSS POINT ROAD SKOKIE IL ZIP+4 ▶ 60077-2151

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

- **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- **(4)** Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SCHEDULE ATTACHED				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SCHEDULE ATTACHED	00	0		
	.00	0		
	00	0		
	00	0		
	00	0		

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
SCHEDULES ATTACHED		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NO DIRECT CHARITABLE ACTIVITY ACTIVITIES WERE LIMITED TO MAKING GRANTS	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NO PROGRAM RELATED ACTIVITIES	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	67,228,205
b	Average of monthly cash balances			1b	879,891
c	Fair market value of all other assets (see instructions)			1c	11,523,300
d	**Total** (add lines 1a, b, and c)			1d	79,631,396
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	79,631,396
4	Cash deemed held for charitable activities Enter 1 ½ % of line 3 (for greater amount, see instructions)			4	1,194,471
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	78,436,925
6	**Minimum investment return.** Enter 5% of line 5			6	3,921,846

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	3,921,846
2a	Tax on investment income for 2012 from Part VI, line 5	2a	26,410		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	26,410
3	Distributable amount before adjustments Subtract line 2c from line 1			3	3,895,436
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	3,895,436
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	3,895,436

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	4,588,493
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,588,493
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	26,410
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	4,562,083

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

				(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1		Distributable amount for 2012 from Part XI, line 7					3,895,436
2		Undistributed income, if any, as of the end of 2012					
	a	Enter amount for 2011 only				0	
	b	Total for prior years 20____, 20____, 20____					
3		Excess distributions carryover, if any, to 2012					
	a	From 2007					
	b	From 2008					
	c	From 2009	230,350				
	d	From 2010	66,064				
	e	From 2011					
	f	**Total** of lines 3a through e		296,414			
4		Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 4,588,493					
	a	Applied to 2011, but not more than line 2a					
	b	Applied to undistributed income of prior years (Election required—see instructions)					
	c	Treated as distributions out of corpus (Election required—see instructions)					
	d	Applied to 2012 distributable amount					3,895,436
	e	Remaining amount distributed out of corpus		693,057			
5		Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6		**Enter the net total of each column as indicated below:**					
	a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5		989,471			
	b	Prior years' undistributed income Subtract line 4b from line 2b			0		
	c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
	d	Subtract line 6c from line 6b Taxable amount—see instructions					
	e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				0	
	f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8		Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)					
9		**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		989,471			
10		Analysis of line 9					
	a	Excess from 2008					
	b	Excess from 2009	230,350				
	c	Excess from 2010	66,064				
	d	Excess from 2011					
	e	Excess from 2012	693,057				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test—enter. (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

STATEMENT ATTACHED

b The form in which applications should be submitted and information and materials they should include

STATEMENT ATTACHED

c Any submission deadlines

STATEMENT ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

STATEMENT ATTACHED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Schedule attached	N/A	Public	General Charitable Purposes	4,047,400
Total			▶ 3a	4,047,400
b *Approved for future payment*				
Schedule attached	N/A	Public	General Charitable Purposes	5,000,000
Total			▶ 3b	5,000,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments		277,316	14		
4 Dividends and interest from securities		1,447,675	14		
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property		641,170	16		
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory		899,865	18		
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a OIL & GAS ROYALTIES		423,117	15		
b LITIGATION PROCEEDS		83	01		
c ORD INCOME FROM SECURITIES		58,151	14		
d ORD LOSS FROM PARTNERSHIPS		-51,031	14		
e					
12 Subtotal Add columns (b), (d), and (e)		3,696,346		0	0

13 Total. Add line 12, columns (b), (d), and (e) 13 3,696,346

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Signature of officer or trustee	Date	Title
	8-4-13	President

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
BURTON WEITZMAN	Burton Weitzman	7/29/2013		P00356922

Firm's name ▶ BURTON L WEITZMAN CPA — Firm's EIN ▶

Firm's address ▶ 260 E CHESTNUT ST , APT 2010, CHICAGO, IL 60611 — Phone no 312-255-7572

RICE FOUNDATION 36-6043160

Part XVI-A, Line 13 (990-PF) - Total Verification

1	Amount from Part XVI-A, Line 13	1	3,696,346
2	Amount from Part I, Line 5b	2	641,170
3	Subtract Line 2 from Line 1	3	3,055,176
4	Amount from Part I, Line 1	4	0
5	Add Lines 3 and 4	5	3,055,176
6	Amount from Part I, Line 5a	6	282,577
7	Add Lines 5 and 6	7	3,337,753
8	Expenses of special events deducted in computing Part XVI-A, Line 9	8	
9	Add Lines 7 and 8. Must match amount on Line 12, Part I, column (a)	9	3,337,753

RICE FOUNDATION
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2012

PART I AND PART II SCHEDULES INCLUDED WITH OTHER PARTS OF FORM 990-PF

PART I

LINE 6	NET GAIN OR LOSS FROM SALE OF ASSETS NOT ON LINE 10	
	SEE SCHEDULE FOR PART IV	899,865
LINE 13	COMPENSATION OF OFFICERS, DIRECTORS, TRUSTEES, ETC.	
	SEE SCHEDULE FOR PART VIII-LINE 1	276,432
LINE 14	OTHER EMPLOYEE SALARIES AND WAGES	
	SEE SCHEDULE FOR PART VIII-LINE 2	119,616
LINE 15	PENSION PLANS, EMPLOYEE BENEFITS	
	PER SCHEDULE FOR PART VIII-LINE 1	177,655
	PER SCHEDULE FOR PART VIII-LINE 2	98,010
	PER PART I-LINE 15	275,665
LINE 25	CONTRIBUTIONS, GIFTS, GRANTS PAID	
	SEE SCHEDULE FOR PART XV-LINE 3A	4,047,400

PART II

LINE 14	LAND, BUILDINGS AND EQUIPMENT	
	SEE SCHEDULE FOR PART I-- LINE 19	1,000,437

RICE FOUNDATION
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2012
PART I

LINE 11 -- OTHER INCOME

OIL & GAS GROSS ROYALTIES	423,117
LITIGATION PROCEEDS	83
ORDINARY INCOME FROM SECURITIES	58,151
ORDINARY INCOME (LOSS)FROM PARTNERSHIPS	(51,031)
TOTAL	430,320

PART I--LINE 16 (A)-- LEGAL FEES

2012

PART I

LINE 16 (a)

SPERANZA & BATES

REVIEW OF LEGAL DOCUMENTS AND GENERAL LEGAL SERVICES	**661**

PART I--LINE 16 (B) --ACCOUNTING FEES

2012

LINE 16 (b)

PART I

JAY WELLER ZITE D/B/A
AC-U TAX
9253 N. LINDER
SKOKIE, IL

MONTHLY BOOKKEEPING AND ACCOUNTING, RECONCILIATION OF BANK BALANCES, PREPARATION OF QUARTERLY AND ANNUAL PAYROLL TAX RETURNS AND INFORMATION RETURNS		10,238

BURTON L. WEITZMAN, CERTIFIED PUBLIC ACCOUNTANT
260 E. CHESTNUT ST, APT. 2207
CHICAGO, IL. 60611

MONTHLY ACCOUNTING, PREPARATION OF MONTHLY DETAILED FINANCIAL STATEMENTS, MONTHLY DETAILED COMPARATIVE INVESTMENT ANALYSES, FORM 990-PF, ANNUAL RETURN OF A PRIVATE FOUNDATION AND FORM IL-990, ILLINOIS CHARITABLE ORGANIZATION ANNUAL REPORT, ,	$	59,992

R EMENT TAX RETURN

WAGNER, SIM & CO., CERTIFIED PUBLIC ACCOUNTANTS
ONE S. SUMMIT AVE., COURT E
OAKBROOK TERRACE, IL

ANNUAL CERTIFIED AUDIT		11,860
TOTAL		82,090

RICE FOUNDATION
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PART I LINE 16(c)--OTHER PROFESSIONAL FEES

CHASE BANK	328	
J,P. MORGAN SHOR TERM BOND ACCT	9,983	
WELLS	12,382	
FAYEZ	66,573	
ADVISOR ACCOUNT	181,673	
HEDGED FUNDS	10,000	
TOTAL MANAGEMENT FEES		280,938
CONSULTANT FEES		
OIL & GAS CONSULTANT--PAUL J.PFOHL	20,000	
PENSION CONSULTANT--EMJAY CORP	2,400	
BRESTA DOMMERMUTH	1,955	
SUNDRY	3,282	
TOTAL CONSULTANT. FEES		27,637
TOTAL PROFESSIONAL FEES		308,576

RICE FOUNDATION
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2012

PART I
LINE 18----TAXES

REAL ESTATE TAXES

8236 FAIRVIEW	6,245.54
8446 FAIRVIEW	5,717.00
8468 FAIRVIEW	4,832.80
WOODFORD PROPERTY	5,659.22
FARM-DE KALB COUNTY	20,184.00
OIL & GAS PROPERTIES	12,741.19
TOTAL REAL ESTATE TAXES	55,379.75

EXCISE AND INCOME TAXES

FEDERAL EXCISE TAX ON INVESTMENT INCOME PER FORM 990-PF FOR 2011	40,771.00
TOTAL TAXES	96,150.75

RICE FOUNDATION
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PART I--LINE 19 AND PART II--LINE 14
DEPRECIATION SCHEDULE
FOR THE YEAR ENDED DECEMBER 31, 2012

DEPRECIATION--ALL STRAIGHT LINE	ACQUIRED	COST	PRIOR DEPRECIATION	LIFE IN YEARS	2012 DEPRECIATION	ACCUMULATED DEPRECIATION 12/31/12
RICE BUILDING	1/1/94	1,248,080.00	746,210.72	31.5	39,261.28	785,472.00
RICE BLDG IMPROVEMENTS	1998	42,211.47	18,090.24	31.5	1,340.23	19,430.47
ALARM SYSTEM	2010	2,716.00	407.40	10	271.60	679.00
FLUORESCENT LIGHTING FIXTURES	2011	11,225.00	561.25	10	561.75	1,123.00
HOTWATER HEATER	2011	1,907.60	127.17	7.5	254.43	381.60
TRANE XR13 AIR CNDITIONER	2012	8,428.00			421.00	421.00
TOTALS--RICE BUILDING		1,314,568.07	765,396.78	-	42,110.29	807,507.07
FURNITURE & FIXTURES	2001	976.10	976.10	5	-	976.10
4 LATERAL FILING CABINETS	2003	680.00	680.00	5	-	680.00
LATERAL FILES	2003	1,587.96	1,587.96	5	-	1,587.96
COLOR WATERPROOF CAMERA	2005	3,793.00	3,793.00	5	-	3,793.00
PATH LIGHTING	2005	2,398.00	2,398.00	5	-	2,398.00
OFFICE CHAIR	2005	559.90	559.90	5	-	559.90
EXHAUST FAN	2005	1,230.00	1,230.00	5	-	1,230.00
COLOR WATERPROOF CAMERA	2005	555.00	555.00	5	-	555.00
CARPETING	2007	12,993.00	7,795.80	7.5	1,732.20	9,528.00
CANON COPIER	2207	4,800.00	4,320.00	5	480.00	4,800.00
32" SONY LCD TV	2008	1,449.13	1,014.40	5	289.73	1,304.13
UNICO AIR HANDLER	2009 #	3,633.14	1,816.57	5	726.57	2,543.14
SECURITY CAMERAS	2009	1,733.95	866.98	5	346.97	1,213.95
HIGH BACK MESH CHAIR	2010	699.99	210.00	5	139.99	349.99
OVERHEAD STORAGE PLATFORMS	2011	3,871.00	387.10	5	773.90	1,161.00
ON-SITE DEFIBULATOR	08/14/12	1,095.00			110.00	110.00
		(559.90)				-
TOTALS-FURNITURE		41,495.27	28,190.81		4,599.36	32,790.17
PHONE SYSTEM	2003	2,555.10	2,555.10	5	-	2,555.10
FELLOWS SHREDDER	2003	1,909.94	1,909.94	5	-	1,909.94
EQUIS DESKTOP	2004	1,720.00	1,720.00	5	-	1,720.00
NOBILIS PRO DESKTOP	2004	6,539.99	6,539.99	5	-	6,539.99
2 PC S	2005	1,800.00	1,800.00	5	-	1,800.00
OFFICE EQUIPMENT	2005	811.80	811.80	5	-	811.80
2HP LASERJET 2420	2005	1,333.02	1,333.02	5	-	1,333.02
LAPTOP COMPUTER	2006	2,171.23	2,171.23	5		2,171.23
	2007	776.58	698.93	5	77.65	776.58
NEW COMPUTER-PETERS	2009	1,265.31	632.65	5	253 66	886.31
NEW DVR FOR CAMERAS	2009	949.95	475.00	5	189.95	664.95
2 BAXCKUP DRIVES FOR COMPUTEI	2011	760.85	76.09	5	151.76	227.85
HP WORKSTATION & ACCESS-PETE	08/21/12	5,290.13		5	529.13	529.13
EQUUIS DESKTOP		(1,720.00)				(1,720.00)
LAPTOP COMPUTER		(2,171.23)				(2,171.23)
TOTAL-COMPUTERS & EQUIP.		23,992.67	20,723.75		1,202 15	18,034.67

PART I--LINE 19 AND PART II--LINE 14
DEPRECIATION SCHEDULE
FOR THE YEAR ENDED DECEMBER 31, 2012

DEPRECIATION--ALL STRAIGHT LINE	ACQUIRED	COST	PRIOR DEPRECIATION	LIFE IN YEARS	2012 DEPRECIATION	ACCUMULATED DEPRECIATION 12/31/12
8236 FAIRVIEW -BLDG	1997	217,260.00	100,009.18	31.5	6,896.82	106,906.00
8236 FAIRVIEW BLDG	1998	6,435.00	2,757.30	31.5	203.70	2,961.00
8236 FAIRVIEW -BLDG IMPROV	2000	11,295.00	4,928.84	27.5	411.16	5,340.00
8236 FAIRVIEW -BLDG IMPROV.	2002	1,248.00	431.04	27.5	44.96	476.00
BOILER	2011	7,284.85	485.66	7.5	971.19	1,456.85
HOT WATER HEATER	06/26/12	566.84	-	7.5	37.84	37.84
REFRIGERATOR	06/27/12	727.92	-	7.5	48.92	48.92
TOTAL 8236 FAIRVIEW		244,817.61	108,612.02		8,614.59	117,226.61
8446 FAIRVIEW BUILDING	1999	173,590.00	78,903.88	27.5	6,312.12	85,216.00
8446 FAIRVIEW BUILDING	2001	690.00	263.72	27.5	25.28	289.00
8446 FAIRVIEW NEW ROOF	2003	3,526.51	1,090.04	27.5	128.47	1,218.51
8446 FAIRVIEW CARPETING	2003	988.94	988.94	7.5		988.94
8446 FAIRVIEW WATER HEATER	2008	859.17	400.96	7.5	114.21	515.17
8446 FAIRVIEW SEPTIC TANK	2009	3,500.00	1,166.67	7.5	466.33	1,633 00
8447 FAIRVIEW-NEW SIDING	05/01/12	4,328.77		27.5	78.77	78.77
TOTAL 8446 FAIRVIEW		187,483.39	82,814.21		7,125.18	89,939.39
8468 FAIRVIEW -BLDG	1991	90,000.00	58,311.85	33	2,727.15	61,039.00
8468-PUMP CHECK VALVE	2004	1,344.55	366.68	27.5	48.87	415.55
8468-PRESSURE TANK & PIPING	2010	2,400.00	130.91	27.5	87.09	218.00
8468-NEW SIDING	2011	4,672.45	84.95	27.5	169.50	254.45
8468-NEW ROOF	2011	7,090.77	128.92	27.5	257.85	386.77
8468-NEW SIDING, SOFFIT, FASIA	08/21/12	4,914.00	-	27.5	89.00	89.00
TOTAL 8468 FAIRVIEW		110,421.77	59,023.31		3,379.46	62,402 77
8468 FAIRVIEW BLDG APPL	1998	3,495.45	3,495.45	7.5	-	3,495.45
8468 FAIRVIEW APPLIANCES	1999	3,100.00	3,100.00	7.5	-	3,100 00
8468 FAIRVIEW APPLIANCES	2002	2,376.00	2376.00	7.5	-	2,376.00
CARPET AND VINYL FLOORING	2007	3,861.33	2,316.79	7.5	514.54	2,831.33
TOTAL 8468 APPLIANCES		12,832.78	11,288.24		514.54	11,802.78
8446 FAIRVIEW APPLIANCES	2001	525.17	525.17	7.5	-	525.17
8446 FAIRVIEW APPLIANCES	2002	371.00	371.00	7.5	-	371.00
CARPET AND VINYL	2006	3,299.50	2859 57	7.5	439.94	3,299.51
8446 FAIRVIEW APPLIANCES-REFRI	2008	468.59	219.11	7.5	62.91	282 02
CARPET AND VINYL	2008	2,593.41	1209.62	7.5	345.15	1,554.77
TOTAL 8446 APPLIANCES		7,257.67	5,184.47		848.00	6,032.47

PART I--LINE 19 A D PART II--LINE 14
DEPRECIATION SCHEDULE
FOR THE YEAR ENDED DECEMBER 31, 2012

DEPRECIATION--ALL STRAIGHT LINE	ACQUIRED	COST	PRIOR DEPRECIATION	LIFE IN YEARS	2012 DEPRECIATION	ACCUMULATED DEPRECIATION 12/31/12
WOODFORD FARM-- BUILDING	2005	275,714.38	52,918.18	27.5	10,025.98	62,944.16

DEPRECIATION--ALL STRAIGHT LINE	ACQUIRED	COST	PRIOR DEPRECIATION	2012 DEPRECIATION	ACCUMULATED DEPRECIATION 12/31/12
FURNITURE & FIXTURES		41,495.27	28,190.81	4,599.36	32,790.17
COMPUTER & OFFICE EQUIPMENT		23,992.67	20,723.75	1,202.15	18,034.67
8236 FAIRVIEW BLDG		244,817.61	108,612.02	8,614.59	117,226.61
8446 FAIRVIEW BLDG		187,483.39	82,814.21	7,125.18	89,939.39
8468 FAIRVIEW APPLIANCES		110,421.77	59,023.31	3,379.46	62,402.77
8446 FAIRVIEW APPLIANCES		12,832.78	11,288.24	514.54	11,802.78
8446 APPLIANCES		7,257.67	5,184.47	848.00	6,032.47
RICE BUILDING & IMPROVEMENTS		1,314,568.07	765,396.78	42,110.29	807,507.07
WOODFORD FARM-- BUILDING		275,714.38	52,918.18	10,025.98	62,944.16
TOTAL		2,218,583.61	1,134,151.77	78,419.55	1,218,146.20
COST -- AS ABOVE			2,218,583.61		
LESS: ACCUMULATED DEPRECIATION			1,218,146.20		
			1,000,437.41		

RICE FOUNDATION
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PART I

LINE 23--OTHER EXPENSES

GENERAL INSURANCE		8,111
OFFICE EXPENSE & POSTAGE		11,568
DUES AND SUBSCRIPTIONS		7,792
	TOTAL	27,471

2012

PART II --LINE 10 b

INVESTMENTS-- corporate stock

	SCHED #	COSTS	MARKET VALUES DEC. 31, 2012
AGENCY ACCOUNT	A	687,964	759,586
CHASE BANK	B	-	-
WELLS	C	1,271,195	1,509,982
FAYEZ	D	6,142,560	7,674,528
ADVISOR ACCOUNT	E	44,782,790	46,747,360
HEDGED FUNDS	F	9,122,000	8,771,427
TOTALS TO SCHEDULES 2 & 3		62,006,509	65,462,883

RICE FOUNDATION
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PART II--BALANCE SHEETS
2012

LINE 11--INVESTMENTS--LAND, BUILDINGS AND EQUIPMENT

	COST BASIS	ACCUM. DEPREC.	BOOK VALUE AT COST	FAIR MARKET VALUE
RICE LAND	630,922	-	630,922	630,922
DE KALB LAND:				
8236 FAIRVIEW	55,000	-	55,000	55,000
8846 FAIRVIEW	67,000	-	67,000	67,000
8468 FAIRVIEW	11,452	-	11,452	11,452
8488 FAIRVIEW	89,561	-	89,561	89,561
FARM LAND	1,833,099	-	1,833,099	3,300,000
ANNIE GLIDDEN ROAD	4,161,686	-	4,161,686	4,161,686
WOODFORD FARM LAND	360,847	-	360,847	360,841
TOTALS	7,209,567	-	7,209,567	8,676,462

RICE FOUNDATION
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2011

PART II--BALANCE SHEETS

LINE 13--OTHER INVESTMENTS

	COST	FAIR MARKET VALUE
OIL & GAS PROPERTIES	5,332,541	1,063,308

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2012

PART II--BALANCE SHEETS

LINE 15--OTHER ASSETS

	COST	FAIR MARKET VALUE
DEPOSIT OF ESTIMATED EXCISE TAX--YEAR 2012	85,000	85,000
FEDERAL E TAX REFUND RECEIVABLE	40,000	40,000
PARTNERSHIP INTEREST -- WESTMINSTER FUND PARTNERSHIP VI	141,768	141,768
PARTNERSHIP INTEREST -- WESTMINSTER FUND PARTNERSHIP VII	321,171	321,171
TOTALS	587,939	587,939

PART IV -- CAPITAL GAINS

		2012
CAPITAL GAIN FROM SECURITIES:		
CHASE BANK ACCOUNT		983,313
MORGAN STANLEY -- WELLS ACCOUNT		37,408
MORGAN STANLEY -- FAYEZ ACCOUNT		19,460
MORGAN STANLEY -- ADVISOR ACCOUNT		(37,090)
MORGAN STANLEY -- SHORT TERM BOND FUND ACCOUNT		(31,247)
TOTAL GAIN FROM SECURITIES		971,844
CAPITAL LOSS FROM PARTNERSHIPS:		
WESTMINSTER VI--PER K-1	(58,817.0)	
WESTMINSTER VII--PER K-1	(13,162.0)	(71,979.0)
NET CAPITAL GAIN		899,865

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PART IV

SCHEDULE OF REALIZED GAINS AND LOSSES
CHASE BANK
DECEMBER 31, 2012

	PURCHASES DATE ACQUIRED	DATE SOLD	COST	SELLING PRICE	CAPITAL GAIN
300,000 U,S, TREASURY BOND	02/18/86	04/10/12	2,998,250	3,981,563	983,313

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Realized Gain/Loss for Year 2012
Short Term Gain/Loss

J.P. MORGAN 559-147355 "Wells"
MORGAN STANLEY

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	UNIT COST ($)	TOTAL COST ($)	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD
Abbott Laboratories | G/L Amount: $2,080.68									
	58	3/21/2012	10/18/2012	60.23	3,493.20	3,493.20	3,865.85	372.65	short
	24	3/21/2012	11/20/2012	60.23	1,445.46	1,445.46	1,509.67	64.21	short
	58	3/21/2012	11/26/2012	60.23	3,493.20	3,493.20	3,717.61	224.41	short
	6	3/21/2012	11/27/2012	60.23	361.37	361.37	384.98	23 61	short
	54	3/22/2012	11/27/2012	60.23	3,252.33	3,252.33	3,464.82	212.49	short
	78	3/22/2012	12/18/2012	60.23	4,697.82	4,697.82	5,086.60	388.78	short
	14	3/22/2012	12/19/2012	60.23	843.2	843.2	919.42	76.22	short
	49	3/23/2012	12/19/2012	60.25	2,952.22	2,952.22	3,217.96	265.74	short
	91	3/23/2012	12/20/2012	60.25	5,482.70	5,482.70	5,935.27	452.57	short
SubTotal	432			$60.24	$26,021.50	$26,021.50	$28,102.18	$2,080.68	
Alexion Pharm Inc | G/L Amount: $1,359.86									
	27	8/15/2011	7/5/2012	52.97	1,430.24	1,430.24	2,790.10	1,359.86	short
Allergan Inc | G/L Amount: -$708.11									
	58	5/3/2012	7/31/2012	93.92	5,447.57	5,447.57	4,739.46	-708.11	short
Amazon Com Inc | G/L Amount: $723.72									
	6	10/7/2011	5/21/2012	221.22	1,327.34	1,327.34	1,311.16	-16.18	short
	5	1/10/2012	5/21/2012	179.6	898.02	898.02	1,092.63	194.61	short
	11	1/17/2012	5/21/2012	181.33	1,994.63	1,994.63	2,403.80	409.17	short
	4	3/7/2012	5/21/2012	184.5	737.99	737.99	874.11	136.12	short
SubTotal	26			$190.69	$4,957.98	$4,957.98	$5,681.70	$723.72	
Autodesk Inc Delaware | G/L Amount: -$4,540.65									
	35	3/14/2012	8/14/2012	39.1	1,368.49	1,368.49	1,192.32	-176.17	short
	43	3/19/2012	8/14/2012	40.97	1,761.72	1,761.72	1,464.85	-296.87	short
	46	3/19/2012	8/15/2012	40.97	1,884.63	1,884.63	1,576.75	-307.88	short
	142	3/19/2012	8/16/2012	40.97	5,817.77	5,817.77	4,859.10	-958.67	short
	96	3/19/2012	8/17/2012	40.97	3,933.14	3,933.14	3,345.50	-587.64	short
	55	3/20/2012	8/17/2012	41	2,254.83	2,254.83	1,916.70	-338.13	short
	148	3/20/2012	8/20/2012	41	6,067.54	6,067.54	5,048.59	-1,018.95	short
	44	3/20/2012	8/21/2012	41	1,803.86	1,803.86	1,535.76	-268.1	short
	25	4/9/2012	8/21/2012	40.75	1,018.70	1,018.70	872.59	-146.11	short
	20	4/16/2012	8/21/2012	41.19	823.7	823.7	698.07	-125.63	short
	64	4/16/2012	8/22/2012	41.19	2,635.86	2,635.86	2,242.65	-393.21	short
	25	6/14/2012	8/22/2012	31.97	799.33	799.33	876.04	76.71	short
SubTotal	743			$40.61	$30,169.57	$30,169.57	$25,628.92	($4,540.65)	
Avago Tech | G/L Amount: -$1,504.79									
	78	10/20/2011	6/1/2012	34.69	2,705.70	2,705.70	2,432.87	-272.83	short

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WELLS

	74	10/20/2011	6/1/2012	33.86	2,505.60	2,505.60	2,308.10	-197.5	short
	102	11/8/2011	6/1/2012	34.74	3,543.43	3,543.43	3,181.44	-361.99	short
	16	11/8/2011	6/4/2012	34.74	555.83	555.83	485.08	-70.75	short
	44	11/9/2011	6/4/2012	33.74	1,484.54	1,484.54	1,333.97	-150.57	short
	50	11/14/2011	6/4/2012	33.65	1,682.60	1,682.60	1,515.87	-166.73	short
	26	12/5/2011	6/4/2012	31.74	825.15	825.15	788.25	-36.9	short
	195	12/6/2011	6/4/2012	30.71	5,988.02	5,988.02	5,911.90	-76.12	short
	37	12/22/2011	6/4/2012	29.02	1,073.84	1,073.84	1,121.74	47.9	short
	25	1/31/2012	6/4/2012	33.79	844.67	844.67	757.94	-86.73	short
	25	3/7/2012	6/4/2012	35.62	890.5	890.5	757.93	-132.57	short
SubTotal	672			$32.89	$22,099.88	$22,099.88	$20,595.09	($1,504.79)	
Baidu Inc Ads | G/L Amount: -$1,898.20									
	6	1/31/2012	12/4/2012	126.37	758.21	758.21	540.61	-217.6	short
	6	3/7/2012	12/4/2012	134.23	805.37	805.37	540.61	-264.76	short
	18	3/27/2012	12/4/2012	153.34	2,760.06	2,760.06	1,621.84	-1,138.22	short
	8	5/8/2012	12/4/2012	124.81	998.45	998.45	720.83	-277.62	short
SubTotal	38			$140.06	$5,322.09	$5,322.09	$3,423.89	($1,898.20)	
Broadcom Corp Cl A | G/L Amount: $1.44									
	25	6/1/2012	11/28/2012	31.98	799.54	799.54	800.98	1.44	short
Cbs Corp New Cl B Shrs | G/L Amount: -$153.17									
	112	8/23/2012	11/21/2012	36.19	4,053.19	4,053.19	3,900.02	-153.17	short
Citrix Systems Inc | G/L Amount: -$547.22									
	34	1/10/2012	10/23/2012	64.14	2,180.84	2,180.84	2,131.57	-49.27	short
	25	3/7/2012	10/23/2012	71.52	1,788.05	1,788.05	1,567.33	-220.72	short
	29	5/31/2012	10/23/2012	72.25	2,095.34	2,095.34	1,818.11	-277.23	short
SubTotal	88			$68.91	$6,064.23	$6,064.23	$5,517.01	($547.22)	
Cognizant Tech Solutions Cl A | G/L Amount: -$289.61									
	25	10/7/2011	9/24/2012	65.98	1,649.50	1,649.50	1,712.11	62.61	short
	25	3/7/2012	9/24/2012	70.33	1,758.28	1,758.28	1,712.11	-46.17	short
	35	3/26/2012	9/24/2012	77.23	2,702.99	2,702.99	2,396.94	-306.05	short
SubTotal	85			$71.89	$6,110.77	$6,110.77	$5,821.16	($289.61)	
Costco Wholesale Corp New | G/L Amount: $197.74									
	16	11/9/2011	5/7/2012	83.23	1,331.66	1,331.66	1,331.81	0.15	short
	115	11/21/2011	5/7/2012	81.19	9,337.16	9,337.16	9,572.37	235.21	short
	98	11/22/2011	5/7/2012	81.71	8,007.44	8,007.44	8,157.33	149.89	short
	51	1/4/2012	5/7/2012	84.53	4,310.89	4,310.89	4,245.14	-65.75	short
	25	4/9/2012	5/7/2012	88.11	2,202.71	2,202.71	2,080.95	-121.76	short
SubTotal	305			$82.59	$25,189.86	$25,189.86	$25,387.60	$197.74	
Crown Castle Intl | G/L Amount: $2,022.29									
	34	3/8/2012	8/17/2012	53.93	1,833.58	1,833.58	2,123.24	289.66	short
	5	3/8/2012	11/14/2012	53.93	269.64	269.64	327.65	58.01	short
	30	3/8/2012	11/15/2012	53.93	1,617.86	1,617.86	1,938.45	320.59	short

WELLS

	23	3/8/2012	11/20/2012	53.93	1,240.36	1,240.36	1,520.35	279.99	short
	47	3/8/2012	12/18/2012	53.93	2,534.65	2,534.65	3,337.07	802.42	short
	16	3/8/2012	12/28/2012	53.93	862.86	862.86	1,134.48	271.62	short
SubTotal	155			$53.93	$8,358.95	$8,358.95	$10,381.24	$2,022.29	
Dollar Gen Corp New Com | G/L Amount: $2,639.95									
	70	9/15/2011	7/5/2012	37.31	2,611.99	2,611.99	3,737.64	1,125.65	short
	59	9/16/2011	7/5/2012	38.15	2,250.95	2,250.95	3,150.30	899.35	short
	50	9/16/2011	8/22/2012	38.15	1,907.58	1,907.58	2,522.53	614.95	short
SubTotal	179			$37.82	$6,770.52	$6,770.52	$9,410.47	$2,639.95	
Ebay Inc | G/L Amount: $1,207.41									
	42	12/8/2011	10/2/2012	31.2	1,310.20	1,310.20	2,019.78	709.58	short
	25	12/8/2011	11/28/2012	31.2	779.88	779.88	1,277.71	497.83	short
SubTotal	67			$31.20	$2,090.08	$2,090.08	$3,297.49	$1,207.41	
Ensco Plc Class A | G/L Amount: $352.13									
	14	9/6/2011	5/22/2012	46.23	647.2	647.2	677.76	30.56	short
	42	10/12/2011	5/22/2012	44.95	1,887.73	1,887.73	2,033.29	145.56	short
	56	1/17/2012	5/22/2012	49.01	2,744.41	2,744.41	2,711.06	-33.35	short
	6	1/18/2012	5/22/2012	51.25	307.49	307.49	290.47	-17.02	short
	88	1/18/2012	10/3/2012	51.25	4,509.85	4,509.85	4,736.23	226.38	short
SubTotal	206			$49.01	$10,096.68	$10,096.68	$10,448.81	$352.13	
Ensco Plc Spon Adr | G/L Amount: $256.11									
	25	9/6/2011	5/8/2012	46.23	1,155.72	1,155.72	1,234.56	78.84	short
	22	9/6/2011	5/14/2012	46.23	1,017.03	1,017.03	1,059.60	42.57	short
	61	9/6/2011	5/21/2012	46.23	2,819.95	2,819.95	2,954.65	134.7	short
SubTotal	108			$46.23	$4,992.70	$4,992.70	$5,248.81	$256.11	
Estee Lauder Co Inc Cl A | G/L Amount: -$289.18									
	25	2/3/2012	5/8/2012	57.06	1,426.45	1,426.45	1,489.87	63.42	short
	25	2/3/2012	5/11/2012	57.06	1,426.45	1,426.45	1,475.21	48.76	short
	9	2/3/2012	5/15/2012	57.06	513.52	513.52	514.52	1	short
	135	2/6/2012	5/15/2012	57.38	7,746.76	7,746.76	7,717.84	-28.92	short
	18	2/6/2012	5/16/2012	57.38	1,032.90	1,032.90	1,026.12	-6.78	short
	107	2/6/2012	5/16/2012	57.37	6,138.43	6,138.43	6,099.70	-38.73	short
	50	2/6/2012	5/17/2012	57.37	2,868.42	2,868.42	2,784.25	-84.17	short
	53	2/8/2012	5/17/2012	57.22	3,032.49	3,032.49	2,951.30	-81.19	short
	25	4/9/2012	5/17/2012	62.19	1,554.69	1,554.69	1,392.12	-162.57	short
SubTotal	447			$57.58	$25,740.11	$25,740.11	$25,450.93	($289.18)	
Exxon Mobil Corp | G/L Amount: $1,773.04									
	25	10/3/2011	5/8/2012	72.11	1,802.72	1,802.72	2,078.56	275.84	short
	10	10/12/2011	5/31/2012	77.31	773.08	773.08	790.32	17.24	short
	32	10/12/2011	6/12/2012	77.31	2,473.86	2,473.86	2,590.26	116.4	short
	45	10/12/2011	6/18/2012	77.31	3,478.87	3,478.87	3,731.85	252.98	short
	34	10/12/2011	6/20/2012	77.31	2,628.48	2,628.48	2,879.05	250.57	short

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WELLS

	37	10/12/2011	6/22/2012	77.31	2,860.40	2,860.40	3,064.89	204.49	short
	51	10/17/2011	6/22/2012	77.46	3,950.33	3,950.33	4,224.58	274.25	short
	95	10/17/2011	6/22/2012	77.46	7,358.46	7,358.46	7,852.37	493.91	short
	18	10/17/2011	6/22/2012	77.46	1,394.24	1,394.24	1,486.39	92.15	short
	53	1/17/2012	6/22/2012	85.77	4,545.60	4,545.60	4,376.60	-169	short
	25	4/9/2012	6/22/2012	84.01	2,100.23	2,100.23	2,064.44	-35.79	short
SubTotal	425			$78.51	$33,366.27	$33,366.27	$35,139.31	$1,773.04	
F5 Networks Inc | G/L Amount: -$2,090.33									
	8	12/23/2011	5/8/2012	105.99	847.88	847.88	1,005.68	157.8	short
	23	12/23/2011	8/23/2012	105.99	2,437.66	2,437.66	2,280.11	-157.55	short
	36	12/28/2011	8/23/2012	105.86	3,810.87	3,810.87	3,568.88	-241.99	short
	25	12/28/2011	9/11/2012	105.86	2,646.44	2,646.44	2,374.00	-272.44	short
	30	12/28/2011	9/11/2012	105.12	3,153.55	3,153.55	2,848.80	-304.75	short
	24	12/29/2011	9/11/2012	105.99	2,543.76	2,543.76	2,279.04	-264.72	short
	5	1/3/2012	9/11/2012	105.95	529.77	529.77	474.8	-54.97	short
	52	1/3/2012	9/12/2012	105.95	5,509.56	5,509.56	5,008.85	-500.71	short
	6	1/31/2012	9/12/2012	119.57	717.43	717.43	577.94	-139.49	short
	6	3/7/2012	9/12/2012	124.16	744.98	744.98	577.94	-167.04	short
	22	6/11/2012	9/12/2012	102.89	2,263.61	2,263.61	2,119.14	-144.47	short
SubTotal	237			$106.35	$25,205.51	$25,205.51	$23,115.18	($2,090.33)	
Gilead Science | G/L Amount: $3,959.40									
	25	1/17/2012	8/22/2012	45.5	1,137.48	1,137.48	1,416.19	278.71	short
	39	1/17/2012	10/8/2012	45.5	1,774.46	1,774.46	2,721.55	947.09	short
	10	1/17/2012	10/23/2012	45.5	454.99	454.99	652.51	197.52	short
	51	1/18/2012	10/23/2012	47.38	2,416.19	2,416.19	3,327.83	911.64	short
	60	1/18/2012	10/23/2012	47.38	2,842.58	2,842.58	3,894.65	1,052.07	short
	22	1/18/2012	11/13/2012	47.38	1,042.28	1,042.28	1,614.65	572.37	short
SubTotal	207			$46.71	$9,667.98	$9,667.98	$13,627.38	$3,959.40	
Google Inc-Cl A | G/L Amount: -$61.29									
	3	7/15/2011	7/11/2012	592.72	1,778.15	1,778.15	1,716.86	-61.29	short
Intuitive Surgical Inc | G/L Amount: $216.86									
	2	2/2/2012	8/22/2012	483.65	967.29	967.29	1,013.46	46.17	short
	11	2/2/2012	12/21/2012	483.65	5,320.12	5,320.12	5,490.81	170.69	short
SubTotal	13			$483.65	$6,287.41	$6,287.41	$6,504.27	$216.86	
Liberty Media Co Liberty Cap A | G/L Amount: $162.71									
	16	9/27/2012	12/31/2012	104.02	1,664.33	1,664.33	1,827.04	162.71	short
Limited Brands Inc | G/L Amount: $20.96									
	25	4/18/2012	11/28/2012	49.19	1,229.63	1,229.63	1,250.59	20.96	short
Lululemon Athletica Inc | G/L Amount: $1,511.05									
	59	10/6/2011	9/13/2012	51.66	3,048.08	3,048.08	4,559.13	1,511.05	short
Mastercard Inc Cl A | G/L Amount: $1,501.34									
	4	10/7/2011	5/22/2012	315.62	1,262.49	1,262.49	1,650.80	388.31	short

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WELLS

	10	1/12/2012	5/22/2012	344.67	3,446.73	3,446.73	4,127.01	680.28	short
	7	1/17/2012	5/22/2012	344.67	2,412.70	2,412.70	2,888.90	476.2	short
	2	4/9/2012	5/22/2012	434.43	868.85	868.85	825.4	-43.45	short
SubTotal	23			$347.43	$7,990.77	$7,990.77	$9,492.11	$1,501.34	
Monsanto Co/New | G/L Amount: $1,089.63									
	1	7/11/2011	7/5/2012	74.97	74.97	74.97	83.44	8.47	short
	33	7/22/2011	7/5/2012	75.53	2,492.58	2,492.58	2,753.56	260.98	short
	66	7/22/2011	7/18/2012	75.53	4,985.15	4,985.15	5,691.79	706.64	short
	6	9/13/2011	7/18/2012	67.32	403.9	403.9	517.44	113.54	short
SubTotal	106			$75.06	$7,956.60	$7,956.60	$9,046.23	$1,089.63	
Monster Beverage Corp Com | G/L Amount: -$10,247.43									
	55	7/23/2012	10/22/2012	66.22	3,641.87	3,641.87	2,499.30	-1,142.57	short
	56	7/23/2012	10/22/2012	63.93	3,580.12	3,580.12	2,544.74	-1,035.38	short
	10	7/24/2012	10/22/2012	64.94	649.39	649.39	454.42	-194.97	short
	96	7/24/2012	10/23/2012	64.94	6,234.13	6,234.13	4,134.77	-2,099.36	short
	25	7/25/2012	10/23/2012	66.22	1,655.58	1,655.58	1,076.76	-578.82	short
	45	7/25/2012	10/23/2012	66.22	2,980.04	2,980.04	1,810.28	-1,169.76	short
	23	8/7/2012	10/23/2012	68.75	1,581.22	1,581.22	925.26	-655.96	short
	23	8/7/2012	10/23/2012	68.31	1,571.04	1,571.04	925.26	-645.78	short
	8	8/9/2012	10/23/2012	63.28	506.24	506.24	321.82	-184.42	short
	36	8/9/2012	10/24/2012	63.28	2,278.07	2,278.07	1,657.65	-620.42	short
	86	8/9/2012	10/24/2012	62.91	5,410.62	5,410.62	3,959.94	-1,450.68	short
	42	8/14/2012	10/24/2012	57.22	2,403.23	2,403.23	1,933.92	-469.31	short
SubTotal	505			$64.34	$32,491.55	$32,491.55	$22,244.12	($10,247.43)	
Nike Inc B | G/L Amount: -$1,857.98									
	6	1/17/2012	5/8/2012	99.19	595.15	595 15	642.78	47.63	short
	28	1/17/2012	8/29/2012	99.19	2,777.39	2,777.39	2,760.95	-16.44	short
	27	1/19/2012	8/29/2012	101.48	2,739.94	2,739.94	2,662.34	-77.6	short
	6	1/19/2012	8/30/2012	101.48	608.88	608.88	587.07	-21.81	short
	68	1/20/2012	8/30/2012	101.68	6,914.15	6,914.15	6,653.43	-260.72	short
	45	1/23/2012	8/30/2012	102.71	4,621.79	4,621.79	4,403.01	-218.78	short
	19	1/23/2012	8/31/2012	102.71	1,951.42	1,951.42	1,854.37	-97.05	short
	65	1/24/2012	8/31/2012	101.32	6,585.98	6,585.98	6,343.88	-242.1	short
	7	1/24/2012	9/4/2012	101.32	709.26	709.26	677.7	-31.56	short
	10	3/7/2012	9/4/2012	107.58	1,075.84	1,075.84	968.14	-107.7	short
	17	3/20/2012	9/4/2012	112.06	1,905.06	1,905.06	1,645.83	-259.23	short
	15	3/26/2012	9/4/2012	108.23	1,623.48	1,623.48	1,452.21	-171.27	short
	21	3/27/2012	9/4/2012	108.76	2,283.93	2,283.93	2,033.09	-250.84	short
	15	3/28/2012	9/4/2012	106.85	1,602.71	1,602.71	1,452.20	-150.51	short
SubTotal	349			$103.14	$35,994.98	$35,994.98	$34,137.00	($1,857.98)	
Occidental Petroleum Corp De | G/L Amount: -$593.28									
	5	7/25/2011	6/25/2012	107.79	538.97	538.97	385.59	-153.38	short

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	10	12/29/2011	6/25/2012	93.66	936.57	936.57	771.18	-165.39	short
	12	3/7/2012	6/25/2012	99.99	1,199.92	1,199.92	925.41	-274.51	short
SubTotal	27			$99.09	$2,675.46	$2,675.46	$2,082.18	($593.28)	
Pioneer Natural Resources Co | G/L Amount: -$273.39									
	27	1/13/2012	6/18/2012	97.2	2,624.39	2,624.39	2,351.00	-273.39	short
Ralph Lauren Corp Cl A | G/L Amount: -$455.75									
	8	1/19/2012	5/8/2012	146.79	1,174.31	1,174.31	1,248.44	74.13	short
	34	1/19/2012	5/15/2012	146.79	4,990.81	4,990.81	5,195.63	204.82	short
	24	1/20/2012	5/15/2012	146.74	3,521.78	3,521.78	3,667.50	145.72	short
	18	1/20/2012	5/16/2012	146.74	2,641.34	2,641.34	2,665.71	24.37	short
	35	1/23/2012	5/16/2012	147.01	5,145.50	5,145.50	5,183.33	37.83	short
	5	1/23/2012	5/22/2012	147.01	735.07	735.07	746.62	11.55	short
	45	1/24/2012	5/22/2012	147.6	6,642.13	6,642.13	6,719.57	77.44	short
	23	2/7/2012	5/22/2012	157.1	3,613.40	3,613.40	3,434.45	-178.95	short
	6	3/7/2012	5/22/2012	170.98	1,025.87	1,025.87	895.94	-129.93	short
	27	4/9/2012	5/22/2012	176.09	4,754.48	4,754.48	4,031.75	-722.73	short
SubTotal	225			$152.20	$34,244.69	$34,244.69	$33,788.94	($455.75)	
Shire Plc Adr | G/L Amount: -$4,028.83									
	10	11/21/2011	5/8/2012	94.64	946.38	946.38	963.97	17.59	short
	7	11/21/2011	6/6/2012	94.64	662.46	662.46	605.62	-56.84	short
	47	11/23/2011	6/6/2012	94.16	4,425.53	4,425.53	4,066.28	-359.25	short
	27	11/25/2011	6/6/2012	92.71	2,503.07	2,503.07	2,335.95	-167.12	short
	20	11/25/2011	6/11/2012	92.71	1,854.13	1,854.13	1,750.38	-103.75	short
	10	12/6/2011	6/11/2012	101.1	1,011.00	1,011.00	875.19	-135.81	short
	62	12/13/2011	6/11/2012	98.67	6,117.59	6,117.59	5,426.18	-691.41	short
	22	12/29/2011	6/12/2012	102.88	2,263.45	2,263.45	1,926.98	-336.47	short
	19	1/6/2012	6/12/2012	99	1,881.00	1,881.00	1,664.21	-216.79	short
	9	1/10/2012	6/12/2012	101.43	912.9	912.9	788.31	-124.59	short
	37	1/11/2012	6/12/2012	100.57	3,720.97	3,720.97	3,240.83	-480.14	short
	7	1/12/2012	6/12/2012	100.59	704.15	704.15	613.13	-91.02	short
	25	1/12/2012	6/13/2012	100.59	2,514.81	2,514.81	2,222.32	-292.49	short
	43	2/9/2012	6/13/2012	103.49	4,450.15	4,450.15	3,822.38	-627.77	short
	8	3/7/2012	6/13/2012	102.51	820.04	820.04	711.14	-108.9	short
	18	3/20/2012	6/13/2012	103.01	1,854.14	1,854.14	1,600.07	-254.07	short
SubTotal	371			$98.77	$36,641.77	$36,641.77	$32,612.94	($4,028.83)	
Teradata Corp | G/L Amount: -$1,838.23									
	38	5/21/2012	9/13/2012	67.18	2,552.95	2,552.95	2,851.89	298.94	short
	32	5/21/2012	9/14/2012	67.18	2,149.85	2,149.85	2,407.51	257.66	short
	1	5/22/2012	9/14/2012	69.07	69.07	69.07	75.23	6.16	short
	68	5/22/2012	11/19/2012	69.07	4,696.96	4,696.96	4,233.50	-463.46	short
	33	5/23/2012	11/19/2012	69.68	2,299.42	2,299.42	2,054.50	-244.92	short
	66	5/23/2012	11/20/2012	69.68	4,598.84	4,598.84	4,081.23	-517.61	short

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Weller

	45	5/23/2012	11/20/2012	69.42	3,123.69	3,123.69	2,782.66	-341.03	short
	58	5/23/2012	11/21/2012	69.42	4,026.09	4,026.09	3,543.20	-482.89	short
	31	6/6/2012	11/21/2012	69.18	2,144.47	2,144.47	1,893.78	-250.69	short
	24	7/12/2012	11/21/2012	65.27	1,566.54	1,566.54	1,466.15	-100.39	short
SubTotal	396			$68.76	$27,227.88	$27,227.88	$25,389.65	($1,838.23)	
Tjx Cos Inc New | G/L Amount: $1,712.25									
	103	9/20/2011	6/27/2012	29.48	3,036.57	3,036.57	4,338.70	1,302.13	short
	25	9/20/2011	8/22/2012	29.48	737.03	737.03	1,147.15	410.12	short
SubTotal	128			$29.48	$3,773.60	$3,773.60	$5,485.85	$1,712.25	
Unitedhealth Gp Inc | G/L Amount: -$239.23									
	62	5/15/2012	7/12/2012	55.44	3,437.46	3,437.46	3,404.64	-32.82	short
	63	5/15/2012	7/13/2012	55.44	3,492.90	3,492.90	3,481.09	-11.81	short
	41	5/15/2012	7/18/2012	55.44	2,273.16	2,273.16	2,297.74	24.58	short
	1	5/16/2012	7/18/2012	55.03	55.03	55.03	56.04	1.01	short
	37	5/16/2012	7/20/2012	55.03	2,036.06	2,036.06	2,058.45	22.39	short
	65	5/16/2012	7/23/2012	55.03	3,576.86	3,576.86	3,535.28	-41.58	short
	63	5/16/2012	7/23/2012	55.03	3,466.81	3,466.81	3,407.06	-59.75	short
	67	5/17/2012	7/24/2012	55.04	3,687.67	3,687.67	3,648.91	-38.76	short
	39	5/21/2012	7/24/2012	55.22	2,153.72	2,153.72	2,123.99	-29.73	short
	25	6/6/2012	7/24/2012	57.37	1,434.30	1,434.30	1,361.54	-72.76	short
SubTotal	463			$55.32	$25,613.97	$25,613.97	$25,374.74	($239.23)	
W W Grainger Inc | G/L Amount: -$158.04									
	12	6/22/2012	11/21/2012	184.5	2,213.95	2,213.95	2,288.47	74.52	short
	19	6/25/2012	11/21/2012	183.7	3,490.23	3,490.23	3,623.41	133.18	short
	4	6/25/2012	11/23/2012	183.7	734.78	734.78	762.33	27.55	short
	19	6/25/2012	11/23/2012	183.49	3,486.33	3,486.33	3,621.07	134.74	short
	4	6/25/2012	11/26/2012	183.49	733.97	733.97	761.19	27.22	short
	8	7/12/2012	11/26/2012	184.71	1,477.71	1,477.71	1,522.39	44.68	short
	7	8/17/2012	11/26/2012	206.8	1,447.59	1,447.59	1,332.09	-115.5	short
	15	8/17/2012	11/27/2012	206.8	3,101.99	3,101.99	2,846.31	-255.68	short
	9	10/5/2012	11/27/2012	215.17	1,936.53	1,936.53	1,707.78	-228.75	short
SubTotal	97			$191.99	$18,623.08	$18,623.08	$18,465.04	($158.04)	
Williams Co Inc | G/L Amount: $809.84									
	30	4/18/2012	10/2/2012	31.9	956.86	956.86	1,052.59	95.73	short
	44	4/18/2012	10/15/2012	31.9	1,403.39	1,403.39	1,566.58	163.19	short
	20	4/19/2012	10/15/2012	32.24	644.81	644.81	712.08	67.27	short
	66	4/19/2012	10/16/2012	32.24	2,127.89	2,127.89	2,434.46	306.57	short
	103	4/19/2012	11/1/2012	32.24	3,320.79	3,320.79	3,484.20	163.41	short
	25	4/19/2012	11/28/2012	32.24	806.02	806.02	819.69	13.67	short
SubTotal	288			$32.15	$9,259.76	$9,259.76	$10,069.60	$809.84	
Yum Brands Inc | G/L Amount: -$1,555.71									
	59	5/30/2012	8/24/2012	70.84	4,179.52	4,179.52	3,766.87	-412.65	short

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	8	5/30/2012	8/27/2012	70.84	566.72	566.72	508.86	-57.86	short
	69	5/31/2012	8/27/2012	69.78	4,814.90	4,814.90	4,388.90	-426	short
	70	5/31/2012	8/29/2012	69.78	4,884.69	4,884.69	4,485.45	-399.24	short
	9	6/11/2012	8/29/2012	64.01	576.12	576.12	576.7	0.58	short
	58	6/11/2012	8/30/2012	64.01	3,712.76	3,712.76	3,702.24	-10.52	short
	20	6/22/2012	8/30/2012	66.65	1,333.08	1,333.08	1,276.63	-56.45	short
	1	6/22/2012	8/30/2012	66.59	66.59	66.59	63.83	-2.76	short
	56	6/22/2012	8/31/2012	66.59	3,728.93	3,728.93	3,559.86	-169.07	short
	22	6/27/2012	8/31/2012	64.56	1,420.25	1,420.25	1,398.51	-21.74	short
SubTotal	372			$67.97	$25,283.56	$25,283.56	$23,727.85	($1,555.71)	
Short Term Total					$548,364.88	$548,364.88	$538,632.87	($9,732.01)	

Long Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	UNIT COST ($)	TOTAL COST ($)	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD
Alexion Pharm Inc | G/L Amount: $2,076.14									
	20	8/15/2011	9/26/2012	52.97	1,059.44	1,059.44	2,258.43	1,198.99	long
	13	8/15/2011	10/2/2012	52.97	688.63	688.63	1,502.72	814.09	long
	1	8/16/2011	10/2/2012	52.53	52.53	52.53	115.59	63.06	long
SubTotal	34			$52.96	$1,800.60	$1,800.60	$3,876.74	$2,076.14	
Amazon Com Inc | G/L Amount: $1,678.91									
	14	4/5/2011	5/18/2012	185.5	2,597.00	2,597.00	2,997.94	400.94	long
	1	4/5/2011	5/21/2012	185.5	185.5	185.5	218.53	33.03	long
	54	4/27/2011	5/21/2012	195.47	10,555.51	10,555.51	11,800.45	1,244.94	long
SubTotal	69			$193.30	$13,338.01	$13,338.01	$15,016.92	$1,678.91	
Apple Inc | G/L Amount: $15,662.65									
	2	3/18/2009	7/17/2012	101.61	203.22	203.22	1,211.57	1,008.35	long
	4	3/18/2009	8/22/2012	101.61	406.44	406.44	2,644.14	2,237.70	long
	8	3/18/2009	9/25/2012	101.61	812.88	812.88	5,495.04	4,682.16	long
	14	3/18/2009	10/5/2012	101.61	1,422.53	1,422.53	9,156.97	7,734.44	long
SubTotal	28			$101.61	$2,845.07	$2,845.07	$18,507.72	$15,662.65	
Baidu Inc Ads | G/L Amount: -$411.57									
	24	12/30/2010	8/30/2012	98.45	2,362.74	2,362.74	2,724.86	362.12	long
	25	12/31/2010	8/30/2012	97.44	2,436.06	2,436.06	2,838.40	402.34	long
	19	1/24/2011	8/30/2012	104.2	1,979.80	1,979.80	2,157.18	177.38	long
	96	1/24/2011	12/4/2012	104.2	10,003.22	10,003.22	8,649.81	-1,353.41	long
SubTotal	164			$102.33	$16,781.82	$16,781.82	$16,370.25	($411.57)	
Citrix Systems Inc | G/L Amount: $760.78									
	25	9/8/2010	5/8/2012	63.58	1,589.55	1,589.55	1,985.69	396.14	long
	26	9/8/2010	10/2/2012	63.58	1,653.13	1,653.13	1,952.60	299.47	long
	25	9/8/2010	10/4/2012	63.58	1,589.55	1,589.55	1,860.95	271.4	long
	28	9/9/2010	10/4/2012	63.22	1,770.26	1,770.26	2,084.26	314	long

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	32	9/9/2010	10/5/2012	63.22	2,023.15	2,023.15	2,332.67	309.52	long
	30	9/9/2010	10/17/2012	63.22	1,896.70	1,896.70	1,998.23	101.53	long
	1	9/9/2010	10/18/2012	63.22	63.22	63.22	64.71	1.49	long
	25	2/15/2011	10/18/2012	72.08	1,802.07	1,802.07	1,617.65	-184.42	long
	40	2/15/2011	10/22/2012	72.08	2,883.31	2,883.31	2,515.35	-367.96	long
	64	3/17/2011	10/22/2012	67.66	4,330.40	4,330.40	4,024.55	-305.85	long
	15	3/17/2011	10/23/2012	67.66	1,014.94	1,014.94	940.4	-74.54	long
SubTotal	311			$66.29	$20,616.28	$20,616.28	$21,377.06	$760.78	
Cognizant Tech Solutions Cl A | G/L Amount: -$412.62									
	31	3/17/2011	9/19/2012	74.51	2,309.88	2,309.88	2,129.71	-180.17	long
	17	5/3/2011	9/19/2012	76.35	1,297.95	1,297.95	1,167.91	-130.04	long
	23	5/3/2011	9/20/2012	76.35	1,756.05	1,756.05	1,564.28	-191.77	long
	22	5/24/2011	9/20/2012	72.51	1,595.29	1,595.29	1,496.27	-99.02	long
	35	5/24/2011	9/21/2012	72.51	2,537.95	2,537.95	2,427.68	-110.27	long
	60	6/6/2011	9/21/2012	73.94	4,436.21	4,436.21	4,161.74	-274.47	long
	32	6/7/2011	9/21/2012	74.69	2,390.17	2,390.17	2,219.60	-170.57	long
	49	8/31/2011	9/21/2012	63.44	3,108.74	3,108.74	3,398.76	290.02	long
	90	8/31/2011	9/24/2012	63.44	5,709.92	5,709.92	6,163.59	453.67	long
SubTotal	359			$70.03	$25,142.16	$25,142.16	$24,729.54	($412.62)	
Cummins Inc | G/L Amount: $1,621.01									
	14	7/12/2010	5/8/2012	70.59	988.19	988.19	1,446.52	458.33	long
	2	7/12/2010	5/17/2012	70.59	141.17	141.17	194.52	53.35	long
	33	7/13/2010	5/17/2012	72.35	2,387.56	2,387.56	3,209.56	822	long
	10	7/13/2010	8/22/2012	72.35	723.5	723.5	1,010.83	287.33	long
SubTotal	59			$71.87	$4,240.42	$4,240.42	$5,861.43	$1,621.01	
Danaher Corporation | G/L Amount: $161.69									
	25	5/4/2011	8/22/2012	55.07	1,376.82	1,376.82	1,334.88	-41.94	long
	63	5/4/2011	10/4/2012	55.07	3,469.60	3,469.60	3,552.68	83.08	long
	67	5/4/2011	10/5/2012	55.07	3,689.89	3,689.89	3,795.23	105.34	long
	2	5/4/2011	11/28/2012	55.07	110.15	110.15	105.48	-4.67	long
	23	6/3/2011	11/28/2012	51.87	1,193.10	1,193.10	1,212.98	19.88	long
SubTotal	180			$54.66	$9,839.56	$9,839.56	$10,001.25	$161.69	
Ebay Inc | G/L Amount: $2,715.14									
	74	12/8/2011	12/12/2012	31.2	2,308.45	2,308.45	3,858.37	1,549.92	long
	56	12/8/2011	12/12/2012	31.2	1,746.93	1,746.93	2,912.15	1,165.22	long
SubTotal	130			$31.20	$4,055.38	$4,055.38	$6,770.52	$2,715.14	
Ensco Plc Class A | G/L Amount: $1,073.55									
	29	9/7/2011	10/2/2012	48.9	1,418.10	1,418.10	1,578.71	160.61	long
	71	9/7/2011	10/2/2012	48.9	3,471.89	3,471.89	3,858.30	386.41	long
	107	9/7/2011	10/3/2012	48.9	5,232.29	5,232.29	5,758.82	526.53	long
SubTotal	207			$48.90	$10,122.28	$10,122.28	$11,195.83	$1,073.55	
Google Inc-Cl A | G/L Amount: -$1,512.91									

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	5	10/18/2010	6/20/2012	617.5	3,087.51	3,087.51	2,886.02	-201.49	long
	14	10/18/2010	7/11/2012	617.5	8,645.02	8,645.02	8,012.01	-633.01	long
	17	10/19/2010	7/11/2012	612.19	10,407.29	10,407.29	9,728.88	-678.41	long
SubTotal	36			$615.00	$22,139.82	$22,139.82	$20,626.91	($1,512.91)	
Hertz Global Holdings, Inc | G/L Amount: -$106.71									
	50	4/19/2011	5/8/2012	16.97	848.54	848.54	741.83	-106.71	long
Mastercard Inc Cl A | G/L Amount: $7,991.76									
	2	4/15/2011	5/8/2012	266.54	533.08	533.08	852.42	319.34	long
	23	4/15/2011	5/21/2012	266.54	6,130.38	6,130.38	9,334.59	3,204.21	long
	15	4/18/2011	5/21/2012	265.25	3,978.76	3,978.76	6,087.77	2,109.01	long
	16	4/18/2011	5/22/2012	265.25	4,244.01	4,244.01	6,603.21	2,359.20	long
SubTotal	56			$265.83	$14,886.23	$14,886.23	$22,877.99	$7,991.76	
Monsanto Co/New | G/L Amount: $589.83									
	25	9/13/2011	11/28/2012	67.32	1,682.94	1,682.94	2,272.77	589.83	long
Occidental Petroleum Corp De | G/L Amount: -$6,981.80									
	8	5/4/2011	5/8/2012	108.41	867.27	867.27	679.81	-187.46	long
	44	5/4/2011	5/14/2012	108.41	4,769.97	4,769.97	3,606.49	-1,163.48	long
	15	5/4/2011	6/5/2012	108.41	1,626.13	1,626.13	1,208.45	-417.68	long
	40	5/4/2011	6/14/2012	108.41	4,336.34	4,336.34	3,339.46	-996.88	long
	10	5/6/2011	6/14/2012	106.59	1,065.85	1,065.85	834.86	-230.99	long
	47	5/6/2011	6/18/2012	106.59	5,009.50	5,009.50	3,908.08	-1,101.42	long
	54	5/6/2011	6/25/2012	106.59	5,755.60	5,755.60	4,164.36	-1,591.24	long
	42	5/9/2011	6/25/2012	107.9	4,531.60	4,531.60	3,238.95	-1,292.65	long
SubTotal	260			$107.55	$27,962.26	$27,962.26	$20,980.46	($6,981.80)	
Precision Castparts Corp | G/L Amount: $1,262.57									
	6	4/28/2010	5/8/2012	130.89	785.36	785.36	1,022.98	237.62	long
	1	4/28/2010	8/22/2012	130.89	130.89	130.89	163.72	32.83	long
	5	4/30/2010	8/22/2012	129.11	645.53	645.53	818.6	173.07	long
	9	4/30/2010	12/31/2012	129.11	1,161.95	1,161.95	1,698.81	536.86	long
	5	4/30/2010	12/31/2012	129.11	645.53	645.53	927.72	282.19	long
SubTotal	26			$129.59	$3,369.26	$3,369.26	$4,631.83	$1,262.57	
Priceline.Com Inc New | G/L Amount: $8,345.26									
	1	11/6/2009	9/13/2012	171.07	171.07	171.07	626.18	455.11	long
	2	11/9/2009	9/13/2012	175.15	350.29	350.29	1,252.36	902.07	long
	7	11/9/2009	9/17/2012	175.15	1,226.03	1,226.03	4,512.67	3,286.64	long
	4	11/9/2009	10/2/2012	175.15	700.59	700.59	2,480.00	1,779.41	long
	5	11/9/2009	10/19/2012	175.15	875.73	875.73	2,797.76	1,922.03	long
SubTotal	19			$174.93	$3,323.71	$3,323.71	$11,668.97	$8,345.26	
Qualcomm Inc | G/L Amount: $4,609.83									
	48	11/10/2010	8/17/2012	47.72	2,290.32	2,290.32	3,036.69	746.37	long
	32	11/10/2010	9/13/2012	47.72	1,526.88	1,526.88	2,048.13	521.25	long
	21	11/10/2010	10/2/2012	47.72	1,002.02	1,002.02	1,294.21	292.19	long

								877.54	long
				47.5	1,187.43	1,187.43	1,557.12	369.69	long
SubTotal	310			$47.64	$14,767.70	$14,767.70	$19,377.53	$4,609.83	
Starbucks Corp Washington | G/L Amount: $1,716.90									
	74	3/11/2011	9/28/2012	36.76	2,719.99	2,719.99	3,710.20	990.21	long
	42	3/11/2011	10/19/2012	36.76	1,543.78	1,543.78	1,916.28	372.5	long
	25	3/11/2011	11/28/2012	36.76	918.92	918.92	1,273.11	354.19	long
SubTotal	141			$36.76	$5,182.69	$5,182.69	$6,899.59	$1,716.90	
Union Pacific Corp | G/L Amount: $559.82									
	12	11/4/2010	5/8/2012	91.87	1,102.43	1,102.43	1,342.18	239.75	long
	10	11/4/2010	8/22/2012	91.87	918.69	918.69	1,238.76	320.07	long
SubTotal	22			$91.87	$2,021.12	$2,021.12	$2,580.94	$559.82	
Visa Inc Cl A | G/L Amount: $5,739.66									
	11	6/30/2011	10/19/2012	84.68	931.45	931.45	1,542.23	610.78	long
	31	6/30/2011	10/22/2012	84.68	2,625.00	2,625.00	4,295.37	1,670.37	long
	27	6/30/2011	10/23/2012	84.68	2,286.29	2,286.29	3,683.99	1,397.70	long
	2	6/30/2011	11/14/2012	84.68	169.35	169.35	282.9	113.55	long
	3	7/25/2011	11/14/2012	89.83	269.49	269.49	424.34	154.85	long
	13	7/25/2011	11/20/2012	89.83	1,167.78	1,167.78	1,911.41	743.63	long
	18	7/25/2011	12/13/2012	89.83	1,616.93	1,616.93	2,665.71	1,048.78	long
SubTotal	105			$86.35	$9,066.29	$9,066.29	$14,805.95	$5,739.66	
Long Term Total					$214,032.14	$214,032.14	$261,172.03	$47,139.89	
Grand Total					$762,397.02	$762,397.02	$799,804.90	$37,407.88	

P: When there is a "P" next to a transaction, this indicates that you have provided the trade history for this transaction; it was not available through Morgan Stanley Smith Barney LLC records.

Disclaimer

The gain and loss information is provided for informational purposes only, is not a substitute 1099 form (or any other appropriate tax form), and should not be used for tax preparation. Unrealized gain and loss values are estimates and should be independently verified. Morgan Stanley does not provide tax advice. We recommend that you contact your tax advisor to determine the appropriate use of this information. Past performance does not guarantee future returns.

Gain and loss information is calculated based upon uniform standards and does not account for each individual client's particular circumstances such as the existence of hedging transactions or constructive sales. Although we make every effort to adjust the cost basis for securities' capital changes, we do not adjust the cost basis for all events. Contact your Financial Advisor for guidance on particular questions.

For accounts with Choice Select pricing, the commissions paid on your eligible equity and option purchases and sales are applied to the Total Cost on a monthly basis.

RICE FOUNDATION
FORM 990-PF 2012
36-6043160

J.P. MORGAN 559-147348 "Fayez"
MORGAN STANLEY

Realized Gain/Loss for Year 2012
Long Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	UNIT COST ($)	TOTAL COST ($)	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD
Becton Dickinson & Co | G/L Amount: $1,405.96									
	177	6/2/2009	9/11/2012	68.99	12,210.65	12,210.65	13,872.22	1,661.57	long
	78	7/14/2009	9/11/2012	68.98	5,380.21	5,380.21	6,113.18	732.97	long
	370	2/11/2011	9/11/2012	81.05	29,987.02	29,987.02	28,998.44	-988.58	long
SubTotal	625			$76.13	$47,577.88	$47,577.88	$48,983.84	$1,405.96	
Kraft Foods Group Inc Com | G/L Amount: $5.23									
	0.348	2/11/2011	10/1/2012	32.32	11.24	11.24	16.47	5.23	long
Mc Graw Hill Cos Inc | G/L Amount: $15,804.10									
	29	12/26/2003	11/8/2012	34.36	996.44	996.44	1,487.83	491.39	long adj 2012-05-04
	112	1/23/2004	11/8/2012	35.68	3,996.16	3,996.16	5,746.12	1,749.96	long adj 2012-05-04
	76	2/26/2004	11/8/2012	38.56	2,930.56	2,930.56	3,899.15	968.59	long adj 2012-05-04
	120	3/2/2005	11/8/2012	46.89	5,626.80	5,626.80	6,156.56	529.76	long adj 2012-05-04
	492	2/14/2006	11/8/2012	53.66	26,400.72	26,400.72	25,241.89	-1,158.83	long adj 2012-05-04
	971	2/11/2011	11/8/2012	37.69	36,593.59	36,593.59	49,816.82	13,223.23	long
SubTotal	1,800.00			$42.53	$76,544.27	$76,544.27	$92,348.37	$15,804.10	
Medtronic Inc | G/L Amount: -$319.11									
	16	7/23/2007	11/8/2012	52.48	839.6	839.6	661.79	-177.81	long
	238	10/31/2007	11/8/2012	47.02	11,190.28	11,190.28	9,844.13	-1,346.15	long
	703	2/11/2011	11/8/2012	39.65	27,872.54	27,872.54	29,077.39	1,204.85	long
SubTotal	957			$41.70	$39,902.42	$39,902.42	$39,583.31	($319.11)	
Merck & Co Inc New Com | G/L Amount: $2,564.11									
	94	12/26/2003	5/14/2012	45.07	4,236.58	4,236.58	3,603.62	-632.96	long adj 2012-05-04
	153	1/23/2004	5/14/2012	45.92	7,025.76	7,025.76	5,865.47	-1,160.29	long adj 2012-05-04
	135	2/26/2004	5/14/2012	47.59	6,424.65	6,424.65	5,175.42	-1,249.23	long adj 2012-05-04
	96	3/2/2005	5/14/2012	31.55	3,028.80	3,028.80	3,680.30	651.5	long adj 2012-05-04
	372	2/14/2006	5/14/2012	34.6	12,871.20	12,871.20	14,261.15	1,389.95	long adj 2012-05-04
	94	3/31/2009	5/14/2012	27.03	2,540.37	2,540.37	3,603.62	1,063.25	long
	177	6/5/2009	5/14/2012	26.25	4,646.23	4,646.23	6,785.55	2,139.32	long
	35	7/1/2009	5/14/2012	27.98	979.22	979.22	1,341.79	362.57	long
SubTotal	1,156.00			$36.12	$41,752.81	$41,752.81	$44,316.92	$2,564.11	
Long Term Total					$205,788.62	$205,788.62	$225,248.91	$19,460.29	
Grand Total					$205,788.62	$205,788.62	$225,248.91	$19,460.29	

RICE FOUNDATION
FORM 990-PF 2012
36-6043160

~~J. P. MORGAN~~
MORGAN STANLEY ADVISOR

Realized Gain/Loss for Year 2012 559-147341 "CGA"
Short Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	UNIT COST ($)	TOTAL COST ($)	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD
Eaton Vance Glb Mcro Abs Retl | G/L Amount: $316.35									
Dividend Reinvestment	333.799	11/1/2011	10/19/2012	9.95	3,321.30	3,321.30	3,327.97	6.67	short
Dividend Reinvestment	326.068	12/1/2011	10/19/2012	9.89	3,224.81	3,224.81	3,250.89	26.08	short
Dividend Reinvestment	340.433	1/3/2012	10/19/2012	9.82	3,343.05	3,343.05	3,394.11	51.06	short
Dividend Reinvestment	334.905	2/1/2012	10/19/2012	9.99	3,345.70	3,345.70	3,339.00	-6.7	short
Dividend Reinvestment	313.645	3/1/2012	10/19/2012	10.01	3,139.59	3,139.59	3,127.04	-12.55	short
Dividend Reinvestment	337.994	4/2/2012	10/19/2012	9.96	3,366.42	3,366.42	3,369.80	3.38	short
Dividend Reinvestment	328.83	5/1/2012	10/19/2012	9.94	3,268.57	3,268.57	3,278.43	9.86	short
Dividend Reinvestment	347.518	5/31/2012	10/19/2012	9.75	3,388.30	3,388.30	3,464.75	76.45	short
Dividend Reinvestment	336.067	6/29/2012	10/19/2012	9.79	3,290 10	3,290.10	3,350.58	60.48	short
Dividend Reinvestment	347.657	7/31/2012	10/19/2012	9.81	3,410.52	3,410.52	3,466.14	55.62	short
Dividend Reinvestment	347.424	8/31/2012	10/19/2012	9.85	3,422.13	3,422.13	3,463.81	41.68	short
Dividend Reinvestment	331.355	9/28/2012	10/19/2012	9.96	3,300.30	3,300.30	3,304.62	4.32	short
SubTotal	4,025.70			N/A	$39,820.79	$39,820.79	$40,137.14	$316.35	
Short Term Total					$39,820.79	$39,820.79	$40,137.14	$316.35	

Long Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	UNIT COST ($)	TOTAL COST ($)	ADJUSTED COST ($)	PROCEEDS($)	G/L AMOUNT ($)	HOLDING PERIOD
Eaton Vance Glb Mcro Abs Retl | G/L Amount: -$38,050.81									
	5,055.61	10/1/2010	9/21/2012	10.35	52,325.58	52,325.58	50,000.00	-2,325.58	long
	91,562.75	10/1/2010	10/19/2012	10.35	947,674.41	947,674.41	912,879.65	-34,794.76	long
Dividend Reinvestment	315.801	11/1/2010	10/19/2012	10.31	3,255.91	3,255.91	3,148.53	-107.38	long
Dividend Reinvestment	379.831	12/1/2010	10/19/2012	10.32	3,919.86	3,919.86	3,786.91	-132.95	long
Dividend Reinvestment	395.948	1/3/2011	10/19/2012	10.27	4,066.39	4,066.39	3,947.60	-118.79	long
Dividend Reinvestment	399.505	2/1/2011	10/19/2012	10.22	4,082.94	4,082.94	3,983.06	-99.88	long
Dividend Reinvestment	362.318	3/1/2011	10/19/2012	10.22	3,702.89	3,702.89	3,612.31	-90.58	long
Dividend Reinvestment	360.305	4/1/2011	10/19/2012	10.19	3,671.51	3,671.51	3,592.24	-79.27	long
Dividend Reinvestment	347.91	5/2/2011	10/19/2012	10.25	3,566.08	3,566.08	3,468.66	-97.42	long
Dividend Reinvestment	319.796	6/1/2011	10/19/2012	10.22	3,268.32	3,268.32	3,188.36	-79.96	long
Dividend Reinvestment	312.312	7/1/2011	10/19/2012	10.16	3,173.09	3,173.09	3,113.75	-59.34	long
Dividend Reinvestment	323.735	8/1/2011	10/19/2012	10.16	3,289.15	3,289.15	3,227.63	-61.52	long
Dividend Reinvestment	326.392	9/1/2011	10/19/2012	10.11	3,299.82	3,299.82	3,254.12	-45.7	long
Dividend Reinvestment	325.587	10/3/2011	10/19/2012	9.84	3,203.78	3,203.78	3,246.10	42.32	long
SubTotal	100,787.80			$10.34	$1,042,499.73	$1,042,499.73	$1,004,448.92	($38,050.81)	

ADVISOR

Natixis Diversified Income A | G/L Amount: $644.62									
	3,223.08	4/23/2007	7/18/2012	11.43	36,839.85	36,839.85	37,484.47	644.62	long
Long Term Total					$1,079,339.58	$1,079,339.58	$1,041,933.39	($37,406.19)	
Grand Total					$1,119,160.37	$1,119,160.37	$1,082,070.53	($37,089.84)	

✓

P: When there is a "P" next to a transaction, this indicates that you have provided the trade history for this transaction; it was not available through Morgan Stanley Smith Barney LLC records.

Disclaimer

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Gain and loss information is calculated based upon uniform standards and does not account for each individual client's particular circumstances such as the existence of hedging transactions or constructive sales. Although we make every effort to adjust the cost basis for securities' capital changes, we do not adjust the cost basis for all events. Contact your Financial Advisor for guidance on particular questions.

For accounts with Choice Select pricing, the commissions paid on your eligible equity and option purchases and sales are applied to the Total Cost on a monthly basis.

With respect to estimated gains and losses for listed equity options, we have taken into account option premiums paid or received and, in respect to multiple purchases and/or sales, calculated cost using an average unit price for all like positions.

We are not responsible for any gain and loss information provided by you or another financial institution; you are responsible for ensuring the accuracy of such information. Whether provided by you, another financial institution or Morgan Stanley, it is your responsibility to ensure the accuracy of all of the Estimated Gain and Loss Information.

For clients wishing to make versus purchase sales that information needs to be conveyed at the time of the sale. Unless you tell us otherwise, we use first in first out (FIFO) accounting.

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns.

RICE FOUNDATION
FORM 990-PF 2012
36-6043160

2012

PART VIII--OFFICERS, DIRECTORS & EMPLOYEES
LINE 1-- OFFICERS, DIRECTORS COMPENSATION, ETC

NAME AND ADDRESS	TITLE & AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTION TO EMPLOYEE BENEFIT PLANS & DEFERRED COMPENSATON	EXPENSE ACCOUNT & OTHER ALLOWANCES
PETER G. NOLAN 715 ECHO LANE, GLENVIEW, IL	PRESIDENT AVERAGE 60 HRS/WEEK	204,792	112,078	0
ROBIN G. NOLAN 1 LILAC LANE BOX 25, GOLF, IL.	VICE-PRESIDENT AVERAGE 40 HRS/WEEK	71,640	65,578	0
TOTALS		276,432	177,656	0

(OFFICERS ARE ALSO DIRECTORS)

RICE FOUNDATION
FORM 990-PF 2012
36-6043160

2012

PART VIII-OFFICERS, DIRECTORS AND EMPLOYEES
LINE 2--EMPLOYEES

NAME AND ADDRESS	TITLE & AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTION TO EMPLOYEE BENEFIT PLANS & DEFERRED COMPENSATON	EXPENSE ACCOUNT & OTHER ALLOWANCES
CECELIA A. PERSSON 1709 S. GRACE PARK RIDGE IL	SECRETARY AVERAGE 40 HRS/WEEK	48,528	55,302	0
DAVID S. RICH P.O. BOX 594 R SKOKIE IL.	GRANTS CONSULTANT AVERAGE 40 HRS/WEEK	71,088	42,708	0
TOTALS		119,616	98,010	0

RICE FOUNDATION
FORM 990-PF – 2012

36-6043160

PART XV—LINES 2a, 2b, 2c & 2d

FORM AND INFORMATION REQUIRED FOR GRANT REQUESTS

Applications for grants should be addressed to Mr. Peter Nolan, President, Rice Foundation (The Foundation), 8600 Gross Point Road, Skokie, Illinois 60077-2151. The telephone number of the Foundation is (847) 581-9999.

Applications submitted should include a statement describing the applicant and its activities, the particular purpose of the grant requested, the amount of the grant being requested and a copy of the letter issued to the applicant by the Internal Revenue Service determining that the applicant is exempt from income tax pursuant to the provisions of Section 501 (c) (3) of the Internal Revenue Code (The "Code")

The Foundation does not award any grants to individuals, but limits its grants to organizations described in Section 501 (c) (3) of the Code, and in general to organizations which are classified as non-private foundations.

RICE FOUNDATION
FORM 990-PF – 2012

36-6043160

PART XV—LINES 2a, 2b, 2c & 2d

FORM AND INFORMATION REQUIRED FOR GRANT REQUESTS

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RICE FOUNDATION
FORM 990-PF 2012
36-6043160

PART XV - GRANTS PAID DURING THE YEAR
LINE 3A - PAGE 1 of 8

Payee Name	Amount
ACCESS (Community Health Network)	$5,000
Achievement Rewards for College Scientists Foundation (ARCS)	$10,000
Advocate Christ Medical Center	$20,000
Advocate Illinois Masonic Medical Center	$10,000
Alliance for the Great Lakes	$5,000
American Diabetes Association	$5,000
ARK	$3,000
Art Institute of Chicago	$1,000,000
Avenues to Independence	$5,000
Bear Necessities Pediatric Cancer Foundation	$10,000
Big Shoulders Fund	$25,000
Boys and Girls Clubs of Chicago	$2,500
By the Hand Club for Kids	$50,000
Canine Therapy Corps	$15,000
Career Transitions Center of Chicago	$10,000

RICE FOUNDATION
FORM 990-PF 2012
36-6043160

PART XV - GRANTS PAID DURING THE YEAR
LINE 3A - PAGE 2 of 8

Payee Name	Amount
Casa Central	$5,000
Catalyst Schools Chicago	$10,000
Catholic Charities	$10,000
Center of Concern	$25,000
Chicago Botanic Garden	$1,000,000
Chicago Chamber Musicians	$25,000
Chicago Children's Advocacy Center	$5,000
Chicago High School for the Arts	$10,000
Chicago History Museum	$70,000
Chicago House	$5,000
Chicago Women's Health Center	$5,000
Chicago Youth Symphony Orchestra	$5,000
Children's Home & Aid Society	$50,000
Children's Oncology Services, Inc.	$10,000
Childserv	$15,000

RICE FOUNDATION
FORM 990-PF 2012
36-6043160

PART XV - GRANTS PAID DURING THE YEAR
LINE 3A - PAGE 3 of 8

Payee Name	Amount
Christopher House	$5,000
Community Health	$10,000
Connections for the Homeless	$10,000
Cornerstone Academy	$4,000
Crisis Center for South Suburbia	$5,000
De La Salle Institute	$150,000
Dental Lifeline Network - Illinois	$10,000
Dominican University	$100,000
Dreams for Kids	$15,000
Emergency Fund	$5,000
Epilepsy Services for Northeastern Illinois	$3,500
Equestrian Connection	$50,000
Erie Family Health Center	$10,000
Executive Service Corps	$2,500
Facets Multimedia, Inc.	$5,500
Gilda's Club Chicago	$25,000

RICE FOUNDATION
FORM 990-PF 2012
36-6043160

PART XV - GRANTS PAID DURING THE YEAR
LINE 3A - PAGE 4 of 8

Payee Name	Amount
Glen View Club Scholarship Foundation	$15,000
Hadley School for the Blind	$5,000
Harbour, Inc.	$7,500
Harris Theater for Music and Dance	$10,000
Illinois College of Optometry	$10,000
Illinois Conservation Foundation	$45,000
Infant Welfare Society of Chicago	$5,000
Injured Marine Semper Fi Fund	$25,000
Interfaith House	$5,000
Jewish Council for Youth Services	$2,000
Joseph Academy Foundation	$20,000
Juvenile Protective Association	$5,000
Ladder Up Financial Liberation	$5,000
Lakeview Pantry	$35,000
Lambs Farm	$6,000
Lawrence Hall Youth Services	$5,000

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PART XV - GRANTS PAID DURING THE YEAR
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Payee Name	Amount
Learning Ally, Inc.	$5,000
Life Span	$5,000
Lincoln Park Zoo	$25,000
Little Brothers - Friends of the Elderly	$5,000
Little Sisters of the Poor - St. Joseph's Home for the Elderly	$5,000
Little Sisters of the Poor - St. Mary's Home	$5,000
Lutheran Social Services of Illinois	$25,000
Maine Niles Association of Special Recreation	$10,000
Make-A-Wish Foundation	$5,000
McGaw YMCA	$50,000
Mercy Home for Boys & Girls	$5,000
Metropolitan Family Services	$5,000
MetroSquash	$5,000
Midtown Educational Foundation	$20,000
Midwest Palliative & Hospice CareCenter	$25,000
Misericordia Heart of Mercy	$50,000

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PART XV - GRANTS PAID DURING THE YEAR
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Payee Name	Amount
New Foundation Center	$25,000
Newberry Library	$13,000
Night Ministry	$7,500
North Shore Center for the Performing Arts in Skokie	$25,000
Northeastern Illinois Public Safety Training Academy (NIPSTA)	$100,000
NorthShore University HealthSystem	$100,000
Northwestern University	$62,500
Oley Foundation	$7,500
Olive Branch Mission	$10,000
Onward Neighborhood House	$5,000
Operation North Pole, Inc.	$50,000
Orchard Village	$15,000
Pacific Garden Mission	$10,000
PAWS Chicago	$25,000
Project Education Plus	$5,000
Providence-St. Mel	$10,000

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PART XV - GRANTS PAID DURING THE YEAR
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Payee Name	Amount
Ravinia	$35,000
Salvation Army, Metropolitan Division	$10,000
San Miguel School	$10,000
Skokie Valley Orchestral Association	$10,000
Southwest Chicago P.A.D.S.	$10,000
Special Ed Advocacy Center	$3,000
St. Bernard Hospital and Health Center	$10,000
St. Ignatius College Prep	$15,000
Standing Tall	$2,000
Starlight Starbright Children's Foundation	$5,000
The Nature Conservancy in Illinois	$25,000
Thresholds	$15,000
Timber Wolf Preservation Society	$7,500
Touched by an Animal	$23,400
Tuesday's Child	$5,000
Turning Point Behavioral Health Care Center	$52,000

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PART XV - GRANTS PAID DURING THE YEAR
LINE 3A - PAGE 8 of 8

Payee Name	Amount
Tutoring Chicago	$5,000
Union League Boys and Girls Clubs	$6,000
Village of Skokie	$1,500
Willow House	$10,000
Women's Treatment Center, The	$5,000
Young Women's Leadership Charter School of Chicago	$5,000
Youth Guidance	$10,000
Youth Job Center of Evanston, Inc.	$5,000
Grand Totals (118 items)	$4,047,400

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PART XV - GRANTS APPROVED FOR FUTURE PAYMENT
LINE 3B - PAGE 1 of 1

Payee Name	Amount	Status/Date
Rehabilitation Institute of Chicago	$1,000,000	Scheduled Scheduled: 12/6/2013
Rehabilitation Institute of Chicago	$1,000,000	Scheduled Scheduled: 12/5/2014
Rehabilitation Institute of Chicago	$1,000,000	Scheduled Scheduled: 12/4/2015
Rehabilitation Institute of Chicago	$1,000,000	Scheduled Scheduled: 12/9/2016
Rehabilitation Institute of Chicago	$1,000,000	Scheduled Scheduled: 12/8/2017
Grand Totals (5 items)	$5,000,000	