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EXTENSION GRANTED TO

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation
undation may be able to use a copy of this return to satisfy state reporting re

Form **990-PF** Department of the Treasury

		ndar year 2012 or tax year beginning	oc able to ase a copy of time	, and ending	Ting requirements. Open to public inspection
		foundation	_	, and one	A Employer identification number
		•			
_ <u>E</u>	DI	TH MARIE APPLETON FOUND	ATION		36-4329167
		and street (or P O box number if mail is not delivered to street	address)	Room/suite	B Telephone number
		8 SENECA ROAD			847-251-7703
		own, state, and ZIP code			C If exemption application is pending, check here
		METTE, IL 60091			
G (Check	all that apply Initial return		ormer public charity	D 1. Foreign organizations, check here
		Final return	Amended return		Foreign organizations meeting the 85% test, check here and attach computation
H (hack	Address change (type of organization: X Section 501(c)(3) ex	Name change		Ī
Ϊ_	_		Other taxable private founda	ation	E If private foundation status was terminated under section 507(b)(1)(A), check here
L Fa		arket value of all assets at end of year J Accounti		X Accrual	F If the foundation is in a 60-month termination.
		· —	ther (specify)	(LLL) / (ST. C.)	under section 507(b)(1)(B), check here
	\$	6,804,542. (Part I, colu		basis)	
Pa	art I		(a) Revenue and	Qb) Net Investment	(c) Adjusted net (d) Disbursements
		necessarily equal the amounts in column (a))	expenses per docks	income	income (cash basis only)
	1	Contributions, gifts, grants, etc., received	0 0		N/A
	2	Check X If the foundation is not required to attach Sch B Interest on savings and temporary			
	3	cash investments	00 600	00 600	
	4	Dividends and interest from securities	29,633.	29,633.	STATEMENT 1
		Gross rents			
	_	Net rental income or (loss) Net gain or (loss) from sale of assets not on line 10	-21,999.		
ЭĽ	b	Groen calco price for all	21,000		
Revenue	7	Capital gain net income (from Part IV, line 2)		0.	
ď	8	Net short-term capital gain			
	9	Income modifications			
	10a	Gross sales less returns and allowances			
		Less Cost of goods sold			
		Gross profit or (loss)	500 000	500 060	
	11	Other income	700,069. 707,703.	700,069. 729,702.	STATEMENT 2
	12	Total Add lines 1 through 11 Compensation of officers, directors, trustees, etc	707,703.	729,702. 0.	0.
	14	Other employee salaries and wages	49,000.	0.	0.
		Pension plans, employee benefits	43,000.		
Ses		Legal fees STMT 3	610.	0.	0.
en	b	Accounting fees STMT 4	3,955.	0.	0.
찣	c	Other professional fees			
Ĭ.	17	Interest	32.	0 .	GICENTO 0.
trai	18	Taxes STMT 5	5,206.	0.	0.
inis	19	Depreciation and depletion	-		50 NUV 77 2013 8
퉏	20	Occupancy Traval conferences and meetings			
Z A	21	Travel, conferences, and meetings Printing and publications			, t
2 2 2 2	22	Other expenses STMT 6	30,936.	0.	OGDEN, UI
ڪِڌ ڇڪ	24	Total operating and administrative	30,330.		0.
– ĕ	~′	expenses Add lines 13 through 23	89,739.	0.	0.
SCANNED UEL 10 2013 Operating and Administrative Expenses	25	Contributions, gifts, grants paid	498,000.		498,000.
5	26	Total expenses and disbursements			
<u></u>		Add lines 24 and 25	587,739.	0.	498,000.
불	27	Subtract line 26 from line 12:			
Z	a	Excess of revenue over expenses and disbursements	119,964.	P 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	
כֿ	b	Net investment income (if negative, enter -0-)		729,702.	
2235 12-0	<u>C</u>	Adjusted net income (if negative, enter -0-)	in a true tion -		N/A Form 990-PF (2012)
12-0	5-12	LHA For Paperwork Reduction Act Notice, see	111211 UCLIONS		FUIII 330-FF (2012)

For	m 99	00-PF (2012) EDITH MARIE APPLETON FO			4329167 Page 2
P	art	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End o	
_		<u> </u>	(a) Book Value	(b) Book Value	(c) Fair Market Value
	l .	Cash - non-interest-bearing Savings and temporary cash investments	206 020	1 222 404	1,222,404.
	2	Accounts receivable	206,020.	1,222,404.	1,222,404.
	"	Less: allowance for doubtful accounts			
	4	Pledges receivable			
	'	Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons			
	7	Other notes and loans receivable 833,269.			-
		Less: allowance for doubtful accounts ▶ 0 .	833,269.	83 <u>3</u> ,269.	833,269.
ts	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges	712.	712.	712.
⋖	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 7	1,215,300.	534,162.	701,782.
	С	Investments - corporate bonds STMT 8	599,337.	383,625.	153,041.
	11	Investments - land, buildings, and equipment basis			
		Less accumulated depreciation			
	12	Investments - mortgage loans	4 005 045	1 005 015	2 222 224
	13	Investments - other STMT 9	4,935,215.	4,935,215.	3,893,334.
	14	Land, buildings, and equipment basis			
	4.5	Less accumulated depreciation			
	13	Other assets (describe)			
	16	Total assets (to be completed by all filers)	7,789,853.	7,909,387.	6,804,542.
	17	Accounts payable and accrued expenses	7,705,0331	1,303,301.	0,004,342.
	18	Grants payable			
္ဌ	19	Deferred revenue	 		
abilities	20	Loans from officers, directors, trustees, and other disqualified persons			
api		Mortgages and other notes payable			
<u>ا</u> تــــــــــــــــــــــــــــــــــــ	22	Other liabilities (describe ►STATEMENT 10)	1,442.	1,012.	
		,			
_	23	Total liabilities (add lines 17 through 22)	1,442.	1,012.	
		Foundations that follow SFAS 117, check here			
s		and complete lines 24 through 26 and lines 30 and 31			
ဥ	24	Unrestricted	7,788,411.	7,908,375.	
<u>a</u>	25	Temporarily restricted			
Net Assets or Fund Balances	26	Permanently restricted Foundations that do not follow SFAS 117, check here			
[-		i dell'additione tillat de liet follow el 7/6 1 17; dilectit liet e			
<u>ہ</u>	27	and complete lines 27 through 31 Capital stock, trust principal, or current funds			
ets	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
Ass		Retained earnings, accumulated income, endowment, or other funds			
et		Total net assets or fund balances	7,788,411.	7,908,375.	
2	•		77.007222	, , , , , , , , , , , , , , , , , , , ,	
	31	Total liabilities and net assets/fund balances	7,789,853.	7,909,387.	
Pa	art	Analysis of Changes in Net Assets or Fund B	alances		
1	Total	net assets or fund balances at beginning of year - Part II, column (a), line	30		
		it agree with end-of-year figure reported on prior year's return)		1	7,788,411.
		amount from Part I, line 27a		2	119,964.
		r increases not included in line 2 (itemize)		3	0.
		ines 1, 2, and 3		4	7,908,375.
		eases not included in line 2 (itemize)		5	0.
6 1	Total	net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	olumn (b), line 30	6	7,908,375.

223511 12-05-12

Form **990-PF** (2012)

Part IV Capital Gains	and Lo	sses for Tax on In	vestment	Income							•
2-story brick w		nd(s) of property sold (e.g. or common stock, 200 shs			(b) I	low ac - Purcl - Dona	nase i '	c) Date a (mo., d	acquired ay, yr.)	(d) Da (mo.,	ate sold day, yr.)
1a	C C C C C C C C C C C C C C C C C C C			_	ļ .					_	
b SEE ATTACHED	STA	PEMENT								-	
<u>c</u> ;					 	_	-				
e					 						
(e) Gross sales price	(f) [Depreciation allowed (or allowable)		t or other basis xpense of sale					ain or (loss s (f) minus		
<u>a</u>	 										
<u>b</u>											
d d	1										
e 1,269,629.				1,291,62	Ω					- 21	.,999.
Complete only for assets show		column (h) and owned by t					(1)	Gaine (C	ol. (h) gain		. , <i></i> .
Complete only for account shows	7	j) Adjusted basis		cess of col. (1)	-			k), but r	not less tha	n -0-) or	
(i) F.M.V. as of 12/31/69	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	as of 12/31/69		col. (j), if any	ŀ			Losses	(from col.	(h)) ´	
a	 										
b	1										
C											
d				· · · ·							
е										-21	,999.
		∫ If gain, also enter	un Dart I. luna	7	\neg						
2 Capital gain net income or (net c	apital loss)				}	2				-21	1,999.
•	,	((,		•	7						,,,,,,
3 Net short-term capital gain or (lo If gain, also enter in Part I, line 8			u (0).	•	1						
If (loss), enter -0- in Part I, line 8		•)•			 	3			N/A		
		ection 4940(e) for	Reduced	Tax on Net	Inv	estn	nent Inco	me			
If section 4940(d)(2) applies, leave Was the foundation liable for the sei If "Yes," the foundation does not qua 1 Enter the appropriate amount in	ction 4942 alify under	tax on the distributable am section 4940(e). Do not co	mplete this pa	rt.		•				Yes	X No
(a)	Judin dollar	(b)	100,000,000,000	ioro making any o	(c)			Τ		(d)	
Base periód years Calendar year (or tax year beginn	ina in)	Adjusted qualifying dist	tributions	Net value of no			use assets			bùtión ratio vided by co	
2011	iiig iii/	78	2,386.		6	15	6,894		(00 (0) 0.		L27075
2010	····		5,400.				6,703				110987
2009			5,000.	,			1,253				95756
2008			5,100.				5,520				13629
2007			1,562.				9,063				120811
	<u> </u>							, i			
2 Total of line 1, column (d)								2	i	. 5	68258
3 Average distribution ratio for the	5-vear bas	se period - divide the total o	on line 2 by 5.	or by the number	of ve	ars					
the foundation has been in existi			··· ···· · · · · · · · · · · · · · · ·		,-			3		. 1	L13652
and to an addition the desired the skiller		man o youro									
4 Enter the net value of noncharita	hle-use as	sets for 2012 from Part X	line 5					4		5.730	0,863.
		5013 101 2012 11011111 411111						Ė		 	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
5 Multiply line 4 by line 3								5		651	1,324.
o mempy morely more											
6 Enter 1% of net investment inco	me (1% of	Part L line 27h)						6			7,297.
	(1 70 01	, u.t., 2, 5,									. ,
7 Add lines 5 and 6								7		658	3,621
,								<u> </u>	-		- , - ·
8 Enter qualifying distributions fro	m Part XII	line 4						8		498	3,000.
If line 8 is equal to or greater tha	·		th and come	lete that nart usin	ור ב חו	% tav i	rate				
See the Part VI instructions.	mic /, ti	ook alo box iii i ait vi, iiiic	יט, מווט נטווון	note mat part usii	.yaı	,υ ια λ Ι					

	n 990-PF (2012) EDITH MARIE APPLETON FOUNDATION	100) 10(5)	<u>36-43</u>			Page 4
	art VI Excise Tax Based on Investment Income (Section 4940(a), 494	-	948 - se	e instru	ctio	ns)
1a	ı Exempt operating foundations described in section 4940(d)(2), check here 🕨 🛄 and enter "N/A" o	on line 1				
	Date of ruling or determination letter: (attach copy of letter if necessary-see	e instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here 🕒 🔲 and	d enter 1%	1	1	4,5	94.
	of Part I, line 27b					
C	: All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, I	line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter	-0-)	2			0.
3	Add lines 1 and 2		3	1	4,5	94.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter	· -0-)	4			0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	,	5	1	4,5	94.
6	Credits/Payments:				****	
	2012 estimated tax payments and 2011 overpayment credited to 2012	1,697.				
	Exempt foreign organizations - tax withheld at source 6b					
	: Tax paid with application for extension of time to file (Form 8868) 6c					
	Backup withholding erroneously withheld 6d					
	Total credits and payments Add lines 6a through 6d		7		1.6	97.
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached		8		<u> </u>	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed SEE STA!	TEMENT 11 ▶	9	1	2 8	97.
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10		<u>, , , , , , , , , , , , , , , , , , , </u>	<u> </u>
	Enter the amount of line 10 to be: Credited to 2013 estimated tax	Refunded	11			
	art VII-A Statements Regarding Activities	neiuliueu .		-		
	During the tax year, did the foundation attempt to influence any national, state, or local legislation or di	d it narticinate or intervene	ın		Yes	No
10	any political campaign?	o it participate or littervent	""	1a		X
	only political campaight. Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see ins	etructions for definition\2		1b	_	X
u	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of		nd or	10		
		or arry materials publish	eu or			
_	distributed by the foundation in connection with the activities.			1.		
	Did the foundation file Form 1120-POL for this year?			1c		X
O	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:	0				l
	(1) On the foundation. \triangleright \$ (2) On foundation managers. \triangleright \$	0.				
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax im	posed on foundation				
_	managers. ▶ \$0.					
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			2		X
_	If "Yes," attach a detailed description of the activities.					
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument,	, articles of incorporation, (r			
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3		X
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		/ -	4a		X
	If "Yes," has it filed a tax return on Form 990-T for this year?		N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?			_ 5		X
	If "Yes," attach the statement required by General Instruction T					
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:					
	By language in the governing instrument, or					
	By state legislation that effectively amends the governing instrument so that no mandatory directions	s that conflict with the state	e law			
	remain in the governing instrument?			6	<u> </u>	
7	Did the foundation have at least \$5,000 in assets at any time during the year?			7	X	<u> </u>
	If "Yes," complete Part II, col (c), and Part XV.					
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) 🕨 🔃			_		
	IL			_		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney Ger	neral (or designate)				
	of each state as required by General Instruction G? If "No," attach explanation			8b	Х	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942	(j)(3) or 4942(j)(5) for cale	ndar			
	year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete F			9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their			10		Х
				orm 990	-PF	(2012)

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Pa	art VII-A Statements Regarding Activities (continued)	•		
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		Х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
-	Website address ► N/A			
14	The books are in care of ► ALBERT I GOODMAN Telephone no. ►847-	251-7	703	
17		60091	703	
15		00031		
10	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	31	/3	. [
40	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	NI.
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank,	1	Yes	No
	securities, or other financial account in a foreign country?	16	<u> </u>	X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign			
_	country			
Pa	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	,		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?	,		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	,		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	,		
	(5) Transfer any income or assets to a disqualified person (or make any of either available	- 1		
	for the benefit or use of a disqualified person)?	,		
	(6) Agree to pay money or property to a government official? (Exception Check "No"			
	if the foundation agreed to make a grant to or to employ the official for a period after			
	termination of government service, if terminating within 90 days.)	.		
h	o If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
Ĭ	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	16		
	Organizations relying on a current notice regarding disaster assistance check here	٦ ١ '''		
	E Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected	-		
·				v
9	before the first day of the tax year beginning in 2012? Taxos on follows to distribute vectors (cost on 4042) (does not easily for years the foundation was a sevent a foundation.	1c		<u> </u>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation	- }		
_	defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning			
	before 2012? Yes X No	'		
	If "Yes," list the years			
D	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			
	statement - see instructions.) N/A	2b		
C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
_	<u> </u>			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			
	during the year?	'		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,		_	
	Form 4720, to determine if the foundation had excess business holdings in 2012.) N/A	3b		
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		_X_
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that	1		
	had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		<u> </u>
		Form 990	- PF (2012)

12-05-12

orm 990-PF (2012) EDITH MARIE APPLETON FOU	NDATION		<u> 36-43291</u>	67	Page 6
Part VII-B Statements Regarding Activities for Which F 5a During the year did the foundation pay or incur any amount to:	orm 4/20 May Be F	Requirea (contin	<u>Jed)</u> .		т—
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	4945(e))?	☐ Ye	s X No		
(2) Influence the outcome of any specific public election (see section 4955); o	, ,,	-			
any voter registration drive?			s X No		
(3) Provide a grant to an individual for travel, study, or other similar purposes	>	Y6	s X No		
(4) Provide a grant to an organization other than a charitable, etc., organization	described in section				
509(a)(1), (2), or (3), or section 4940(d)(2)?			s X No		
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or t		s X No		
the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	lar the exceptions described i		S LAL NO		
section 53.4945 or in a current notice regarding disaster assistance (see instru-		iii negulations	N/A	5b	1
Organizations relying on a current notice regarding disaster assistance check h	•			" -	+
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr		ined			
expenditure responsibility for the grant?			es 🔲 No		
If "Yes," attach the statement required by Regulations section 53.4945	5-5(d).				
$\textbf{6a}$ Did the foundation, during the year, receive any funds, directly or indirectly, to $\mathfrak g$	pay premiums on				
a personal benefit contract?		Ye	es X No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		F	6b	<u> </u>
If "Yes" to 6b, file Form 8870.	halter transaction?		s X No		
7a At any time during the tax year, was the foundation a party to a prohibited tax s b If "Yes," did the foundation receive any proceeds or have any net income attribution.		16	. –	7b	
Information About Officers Directors Trust		nagers. Highly		70	<u> </u>
Part VIII Paid Employees, and Contractors			,		
List all officers, directors, trustees, foundation managers and their		1			<u>_</u>
(a) Name and address	(b) Title, and average hours per week devoted	(c) Compensation (If not paid,	(d) Contributions to employee benefit plans and deferred	(e) Ex	opense ot, other
(a) name and address	to position	`enter'-0-)	compensation	allow	ances
SEE STATEMENT 14		0.	0.		0.
DIATEMENT 14			,		<u> </u>
		1			
				ļ	_
Compensation of five highest-paid employees (other than those inc	luded on line 1). If none,	enter "NONE."		ł	
	(b) Title, and average		(d) Contributions to employee benefit plans	(e) E)	pense
(a) Name and address of each employee paid more than \$50,000	'hours per week devoted to position	(c) Compensation	and deferred compensation		it, other ances
NONE	,				
			 		
			<u> </u>	-	
		1		 -	
				L	
otal number of other employees paid over \$50,000			•		0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)	у	•	. —
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."			
(a) Name and address of each person paid more than \$50,000 (b) Type of serv	/ice	(c) Com	pensation
NONE			
· ·		ĺ	
Total number of others receiving over \$50,000 for professional services		•	0
Part IX-A Summary of Direct Charitable Activities			
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses	
1 N/A			
2			
3			
4			
Part IX-B Summary of Program-Related Investments			
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount	
1 N/A			
2			
All other program-related investments. See instructions.			
3			
Total. Add lines 1 through 3	•		0.
		Form 990-F	

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations,	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
а		1a	5,103,923.
Ь	Average of monthly cash balances	1b	714,212.
C		1c	
d	Total (add lines 1a, b, and c)	1d	5,818,135.
	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0 •		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,818,135.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	87,272.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,730,863.
6_	Minimum investment return Enter 5% of line 5	6	286,543.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here and do not complete this part.)	d certain	
1	Minimum investment return from Part X, line 6	1	286,543.
2a	Tax on investment income for 2012 from Part VI, line 5		
b	Income tax for 2012. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	14,594.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	271,949.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	271,949.
6	Deduction from distributable amount (see instructions)	6	0.
<u>7</u>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	271,949.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	498,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
	Suitability test (prior IRS approval required)	3a	
þ	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	498,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	ıncome. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	498,000.
	Note The amount on line 6 will be used in Part V. column (b), in subsequent years when calculating whether the foundation of	ualifies for	the section

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4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(1)	(2)	(4)
	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI,	_ 001940	7 001 0 prior to 2011	2011	
line 7				271,949.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:				
, ,		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007 889,421.				
b From 2008 654,074.				
c From 2009 394,215.				
dFrom 2010 444,409.				
e From 2011 477,305.				
f Total of lines 3a through e	2,859,424.			
4 Qualifying distributions for 2012 from		j		
Part XII, line $4 \triangleright \$ 498,000$.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		<u> </u>
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2012 distributable amount				271,949.
e Remaining amount distributed out of corpus	226,051.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,085,475.			
b Prior years' undistributed income. Subtract	•			
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		
e Undistributed income for 2011. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2013			. <u> </u>	0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007				
not applied on line 5 or line 7	889,421.			
9 Excess distributions carryover to 2013				
Subtract lines 7 and 8 from line 6a	2,196,054.			
10 Analysis of line 9.				
a Excess from 2008 654,074.		1		
b Excess from 2009 394, 215.				
c Excess from 2010 444, 409.				
d Excess from 2011 477, 305.				
e Excess from 2012 226,051.				Form 990-PF (2012)

Part XIV Private Operating F	ARIE APPLET				29167 Page 10
1 a If the foundation has received a ruling o		_		N/A	
foundation, and the ruling is effective to					
	·	-	un contion	4942(J)(3) or 4942(J)(3)	942(j)(5)
b Check box to indicate whether the found2 a Enter the lesser of the adjusted net	Tax year	ilg louiluation described	Prior 3 years	_ 4342(J)(3) 01 [4.	J-12())(J)
income from Part I or the minimum	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
investment return from Part X for	(4) 20.2	(0) 2011	(0) = 0.10	(0) 200	(5)
each year listed					
b 85% of line 2a		· · · · · · · · · · · · · · · · · · ·			
c Qualifying distributions from Part XII,		 	+		
line 4 for each year listed					
d Amounts included in line 2c not			 		-
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly		,			
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the		 			
alternative test relied upon:					
a "Assets" alternative test - enter:(1) Value of all assets					
• •					· · · · · · · · · · · · · · · · · · ·
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization		1			
(4) Gross investment income		-			
Part XV Supplementary Info	rmation (Comple	ete this part only	if the foundatio	n had \$5,000 or m	ore in assets
at any time during t					
1 Information Regarding Foundation	n Managers:	-			
a List any managers of the foundation wh	_	than 2% of the total con	tributions received by th	e foundation before the clo	se of any tax
year (but only if they have contributed n			•		·
NONE			_		
b List any managers of the foundation wh			(or an equally large por	tion of the ownership of a p	artnership or
other entity) of which the foundation ha			- '		
NONE					
2 Information Regarding Contributi	ion, Grant, Gift, Loan	, Scholarship, etc., P	Programs:		
Check here ▶ 🗶 if the foundation o	nly makes contributions	to preselected charitable	e organizations and does		
the foundation makes gifts, grants, etc.	(see instructions) to indi	viduals or organizations	under other conditions,	complete items 2a, b, c, an	d d.
a The name, address, and telephone num	ber or e-mail of the perso	on to whom applications	should be addressed:		
b The form in which applications should b	e submitted and informa	tion and materials they s	should include:		
c Any submission deadlines:					
					
d Any restrictions or limitations on awards	s, such as by geographic	al areas, charitable fields	s, kinds of institutions, o	other factors:	

3 Grants and Contributions Paid During the Y		Payment		
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
	or substantial contributor	recipient		
a Paid during the year				
GOODMAN THEATRE	NONE	NOT FOR PROFIT	TO FURTHER THE PURPOSE	
170 N DEARBORN ST			OF THE ORGANIZATION	
CHICAGO, IL 60601				225,000
KOREAN WAR NATIONAL MUSEUM	NONE	NOT FOR PROFIT	TO FURTHER THE PURPOSE	
47 W DIVISION ST CHICAGO, IL 60610			OF THE ORGANIZATION	50,000
0.1101.00 , 11 00010				30,000
DE LA CHAPELLA CHARITABLE FOUNDATION	NONE	NOT FOR PROFIT	TO FURTHER THE PURPOSE	
175 RIVERSIDE DRIVE			OF THE ORGANIZATION	
NORWELL MA 02061				3,000
CULTURE TO THE COLUMN TO THE C		, , , , , , , , , , , , , , , , , , ,	To TURBURD THE DURBURD	
SHAKUNA WORLD THEATER 5034 N LINCOLN AVE'	NONE	NOT FOR PROFIT	TO FURTHER THE PURPOSE OF THE ORGANIZATION	
CHICAGO, IL 60625			OF THE ORGANIZATION	25,000
SWEDISH AMERICAN MUSEUM OF CHICAGO	NONE	NOT FOR PROFIT	TO FURTHER THE PURPOSE	
5211 N. CLARK ST			OF THE ORGANIZATION	
CHICAGO IL 60640 Total SEE CO	NTINUATION SHE	፲ ፻ጥ (S)	▶ 3a	5,000 498,000
b Approved for future payment	J. 1211031111011 J. 121			430,000
NONE				
Total			> 3b	. 0

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	ducing Activitie	es			•
Enter gross amounts unless otherwise indicated.	Unrelated	ousiness income	Excluded b	y section 512, 513, or 514	(e)
•	(a)	(b)	(c) Exclu-	(d)	Related or exempt
1 Program service revenue:	Business code	Amount	sion code	Amount	function income
a;					
b					
С					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash		-			T
investments					
4 Dividends and interest from securities		-	14	29,633.	· · · · · · · · · · · · · · · · · · ·
5 Net rental income or (loss) from real estate				23,0331	
a Debt-financed property			 		
b Not debt-financed property					
6 Net rental income or (loss) from personal			+		
property					
7 Other investment income			14	700,069.	
8 Gain or (loss) from sales of assets other			+	700,005.	
than inventory			18	-21,999.	
9 Net income or (loss) from special events			10	<u>-21,999</u>	
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:			-		
a b	1 1				
	1 1				
	1 1		+ + -		
de	— 				
12 Subtotal. Add columns (b), (d), and (e)		0	+	707,703.	0
13 Total. Add line 12, columns (b), (d), and (e)	<u> </u>		<u>•1l</u>	13	707,703
(See worksheet in line 13 instructions to verify calculation:	c)			13	707,703
		nplishment of E	xempt Pu	ırposes	
Part XVI-B Relationship of Activities Line No. Explain below how each activity for which	income is reported in o	column (e) of Part XVI-	A contributed	importantly to the accomp	lishment of
			A contributed	importantly to the accomp	lishment of
Line No. Explain below how each activity for which			A contributed	importantly to the accomp	lishment of
Line No. Explain below how each activity for which			A contributed	importantly to the accomp	lishment of
Line No. Explain below how each activity for which			A contributed	importantly to the accomp	lishment of
Line No. Explain below how each activity for which			A contributed	importantly to the accomp	lishment of
Line No. Explain below how each activity for which			A contributed	importantly to the accomp	elishment of
Line No. Explain below how each activity for which			A contributed	importantly to the accomp	elishment of

223621 12-05-12

the Code (ofter than section 501(c)(3) organizations) or in saction 527, relating to political organizations? 1 Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash ; (2) Other assets 1 State of assets for an encharitable exempt organization (3) Pertaines of assets from a noncharitable exempt organization (3) Pertaines of assets from a noncharitable exempt organization (3) Pertaines of assets from a noncharitable exempt organization (3) Remail of facilities, equipment, or other assets (4) Reminiscrement arrangements (5) Leans or loan guarantees (6) Performance of services or membership or fundrationing selectations (6) Performance of services organization and provided in the sale of the sole of the sol	Yes	n N	lo
a Transfers from the reporting foundation to a nonchartable exempt organization of: (1) Cash (2) Other assets b Other transactions: (2) Purchases of assets from a nonchartable exempt organization (2) Purchases of assets from a nonchartable exempt organization (3) Renal of Gatieties, equipment, or other assets (4) Rembursement arrangements (5) Loans or boan guarantes (6) Performance of services or membership of fundrasing solicitations (7) Early organization or sharing arrangements (8) Entering of Gatieties, equipment, making lasts, other assets, or paid employes (9) Performance of services or membership of fundrasing solicitations (9) Entering of Gatieties, equipment, making lasts, other assets, or paid employes (1) Intering the services of the profit of poundation. If the foundation received less than fare market value in any transaction or sharing arrangement, show in column (g) here also the goods, other assets or services poven by the reporting foundation. If the foundation directly or midrating between the following solicitations of the profit organization (g) Peaception of transfers, interestions, and insuring arrangement, show in section 501(c) of the Code (other than section 501(c)(3) or in section 527? 2a Is the foundation directly or indirectly efficiated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than sections 501(c)(3) or in section 527? 2b If "Yes," complete the following solicitations are section 501(c) of the Code (other than sections 501(c)(3) or in section 527? 3b If Yes, are the following solicitations are section 501(c) of the Code (other than sections 501(c) of the Code (162	<u> </u>	0
(2) Other sacets 14(1) (2) Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchase of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Renthus immenser transperients (5) Loans or loan guarantees (6) Performance of services or membership or fundrasing solicitations c Sharing of facilities, equipment, making lists, other assets, or paid employees c Sharing of facilities, equipment, making lists, other assets, or paid employees c Sharing of facilities, equipment, making lists, other assets, or paid employees c Sharing of facilities, equipment, making lists, other assets, or paid employees c Sharing of facilities, equipment, making lists, other assets, or paid employees c Sharing of facilities, equipment, making lists, other assets, or paid employees c Sharing of facilities, equipment, making lists, other assets, or paid employees c Sharing of facilities, equipment, making lists, other assets, or paid employees c Sharing of facilities, equipment, making lists, other assets, or paid employees c Sharing of facilities, equipment, making lists, other assets, or paid employees c Sharing of facilities, equipment, making lists, other assets, or paid employees c Sharing of facilities, equipment, making lists, other assets, or services received. (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfer, vaneeutone, and sharing arm asset with a section 501(c) of the Code (other than section 501(c) of the Code (other			
(2) Other assets to a noncharitable exempt organization (1) Sales of assets to a noncharitable exempt organization (2) Prechases of assets from a noncharitable exempt organization (3) Rental of Catalities, equipment, or other assets (4) Remoturement arrangements (5) Loans or loan pursantees (6) Performance of services or membership or fundrasing solicitations (6) Performance of services or membership or fundrasing solicitations (7) Performance of services or membership or fundrasing solicitations (8) Performance of services or membership or fundrasing solicitations (8) Performance of services or membership or fundrasing solicitations (9) Performance of services or membership or fundrasing solicitations (9) Performance of services or membership or fundrasing solicitations (9) Performance of services or membership or fundrasing solicitations (1) Idea of the control of the goods, other assets or services (seemed as fair market value in any transaction or sharing arrangement, show in column (g) the value of the goods, other assets or services (seemed less than fair market value in any transaction or sharing arrangement, show in column (g) the value of the goods, other assets or services (seemed less than fair market value in any transaction or sharing arrangement, show in column (g) the value of the goods, other assets or services (generally assets of seemed less of the fair market value in any transaction or sharing arrangement, show in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? 1) If Yes, complete the following schedule. (a) Name of organization (b) Type of organization (c) Description of relationship. N/A Ves. Ve		3	X
b Other transactions: (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, goupment, or other assets (4) Rental of facilities, goupment, or other assets (5) Loans or loan guarantees (6) Performance of services or membership or fundrasing solicitations (7) India answer to any of the above is "Ves," complete the following schedule: Column (b) should always show the fair market value of the goods, other asset or services greetived. (8) It the answer to any of the above is "Ves," complete the following schedule: Column (b) should always show the fair market value of the goods, other assets, or paid employees (9) Name of India answer to any of the above is "Ves," complete the following schedule: Column (b) should always show the fair market value of the goods, other assets, or services received. (a) Lime no (b) Amount involved (c) Name of noncharitable exempt organization (d) Cascorphon of transfers, transactions, and drawing are in section 501(c) of the Code (other than section 501(c)(3)) or in section 52?? (a) If the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 52?? (b) If "Yes," complete the following schedule: (a) Name of organization (b) Type of organization (c) Description of relationship N/A (c) Description of relationship N/A (d) Cascorphon of transfers, transactions, and drawing are sections and an advance a			X
(1) Sales of assets from a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rehal of facilities, equipment, or other assets (4) Remoturement arrangements (5) Loans or total guarantees (5) Loans or total guarantees (5) Sharing of facilities, equipment, mailing lists, other assets, or paid employees (6) Performance of services or membership or fundraising solicitations (5) Horizon of facilities, equipment, mailing lists, other assets, or paid employees (6) If the arriver to any of the above is "5s", complete the following schedule: Column (b) should always show the fair market value of the goods, other assets or services given by the reporting foundation. If the fundation received lists than fair market value in any transaction or sharing arrangement, show in column (b) Manurott involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, and sharing arrangement in section 501(c) of the Code (other than section 501(c)(3)) or in section 52?? If "Yes," complete the following schedule: (a) Name of organization (b) Type of organization (c) Description of relationship N/A C) Name of noncharitable exempt organization (b) Type of organization (c) Description of relationship N/A N/A (d) Name of organization (e) Description of relationship N/A (e) Name of organization (e) Description of relationship N/A (e) Name of organization (e) Description of relationship N/A (e) Name of organization (e) Description of relationship N/A (e) Name of organization (e) Description of relationship N/A (e) Name of organization (e) Description of relationship N/A (e) Name of organization (e) Description of relationship N/A (e) Name of organization (e) Description of relationship N/A (e) Name of organization (e) Description of relationship N/A (e) Name of organization (e) Description of relationship N/A (e) Name of organization (e) Description of relationship N/A (e) Name	1	_ -	
(2) Purchases of assets from a nonchartable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundrasing solicitations (8) Performance of services or membership or fundrasing solicitations (9) Performance of services or membership or fundrasing solicitations (9) It the answer to any of the above is "Yes," complete the following schedule: Column (b) should always show the fair market value of the goods, other asset or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (6) the value of the goods, other asset or services precised. (a) Amount involved (b) Amount involved (c) Name of nonchartable exempt organization (d) Description of transfers, transactions, and eveng are in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? If Yes, complete the following schedule. (a) Riem of organization (b) Type of organization (c) Description of relationship N/A Sign excluded it is true, correct, and corrocted Declaration of prepare (when this return, including accompanying schedules and distinguish in the prepare has any knowledge signature of officer or trustee Primit Yepe preparer's signature Preparer' Firm's EIN Packer Pilin	n	3	X
(a) Rembursement arrangements (b) Loans or loan guarantees (c) Loans or loan guarantees (d) Performance of services or membership or fundrasing solicitations (e) Performance of services or membership or fundrasing solicitations (f) Performance of services green by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (g) the value of the goods, other assets or services green by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (g) the value of the goods, other assets or services greened. a) Inne or (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, and sharing arrangement is serviced. a) Inne or (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, and sharing arrangement is serviced. a) Inne or (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, and sharing arrangement is serviced. a) Inne or (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, and sharing arrangement is serviced. a) Inne or (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of relationship Yes If Yes, complete the following schedule. (a) Name of organization (b) Type of organization (c) Description of relationship Ni/A (e) Description of relationship Yes If Yes, complete the following schedule. (a) Name of organization (b) Type of organization (c) Description of relationship Yes in Red Statements, and to this beat of my knowledge Yes in Red Statements, and to this beat of my knowledge Yes in Red Statements, and to this beat of my knowledge Yes in Red Statements, and to this beat of my knowledge Yes in Red Statements, and to this beat of			<u></u>
(4) Rembursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundrasing solicitations (7) Experiments of services or membership or fundrasing solicitations (8) Performance of services or membership or fundrasing solicitations (9) Performance of services or membership or fundrasing solicitations (8) Performance of services or services green by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (4) the value of the goods, other assets, or services received. (a) Name of noncharitable exempt organization (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, including administration of transfers, including administration of transfers, including administration of the code (other than section 501(c)) of the Code (other than section 501(c)(3)) or in section 527? If Yes, complete the following schedule. (a) Name of organization (b) Type of organization (c) Description of relationship N/A Sign where beef, is frue, correct, and corrollee Declaration of prepare (other than subgrye) is based on all information of which prepare has any knowledge place with the code (other than section 501(c) of the code (other than section	-	7	
(a) Loans or loan guarantees (b) Performance is services or membership or fundrasing solicitations (b) Performance is services or membership or fundrasing solicitations (c) Performance is services or membership or fundrasing solicitations (d) It has answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services greewed. (a) Line no (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfera, transactions, and sharing arrangement, show in soliumn (d) the value of the goods, other assets, or services received. (a) Line no (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfera, transactions, and sharing arrangement, show in section 501(c) of the Code (other than section 501(c)) of the Code (other than s	-	3	
(6) Performance of services or membership or fundraising solicitations © Sharing of facilities, equipment, mainly lists, other assets, or paid employees If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other asset or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (g) the value of the goods, other assets, or services received. (a) Line no (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, and sharing arrangement in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ■ If "Yes," complete the following schedule: (a) Name of organization (b) Type of organization (e) Description of relationship N/A If "Yes," complete the following schedule:		7	
E Sharing of facilities, equipment, making lists, other assets, or paid employees It if the answer to any of the above is "es; complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services precised. A mount involved (c) Name of noncharibable exempt organization (d) Description of handers, transactions, and sharing arrangement, show in column (d) the value of the goods, other assets, or services received. A mount involved (c) Name of noncharibable exempt organization (d) Description of handers, transactions, and sharing arrangement N/A			<u></u> -
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CHICAGO, IL 60606-1918 Phone no. (312)554-			

Part IV Capital Gains and Los		30-432	910/	PAGE	. <u>OF 1</u>
	ses for Tax on Investment Income describe the kind(s) of property so		(b) How acquired	d (a) Data acquired	(d) Date sold
2-story bri	ck warehouse; or common stock, 2	200 shs. MLC Co.	P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(mo, day, yr.)
1a SHORT TERM SALI			P	VARIOUS	VARIOUS
b LONG TERM SALES		·_··	P		VARIOUS
c CASH IN LEIU			P		VARIOUS
d SPDR GQLD	, ev		P		VARIOUS
e KKR FLOW THROUG	3H	· · · · · · · · · · · · · · · · · ·	P		VARIOUS
f KKR FLOW THROUG			P		VARIOUS
g BLACKSTONE FLOW			P		VARIOUS
h BLACKSTONE FLOW			P		VARIOUS
1 2000 SHS BLACKS			P		VARIOUS
2000 SHS KKR			P	VARIOUS	VARIOUS
k					
1				-	
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Π					
0					
	(f) Depreciation allowed	(g) Cost or other basis	(h	n) Gain or (loss)	
(e) Gross sales price	(or allowable)	plus expense of sale	(e)	plus (f) minus (g)	
a 421,430.		365,700.			55,730.
786,360.		854,291.			-67,931.
c 10.					10.
d 1,208.		441.			767.
e 10.					10.
f 745.			<u></u>		745.
g3.					3.
h 128.					128.
30,605.		34,717.			-4,112.
29,130.		36,479.			-7,349.
k					•
I					
m					
n					
0					
Complete only for assets showing	g gain in column (h) and owned by			sses (from col. (h)	
(i) F.M.V. as of 12/31/69	(ı) Adjusted basıs	(k) Excess of col. (i)		of col. (h) gain ove	er col. (k),
(1) 1 .W. V. as 01 12/3 1/09	as of 12/31/69	over col. (j), if any	טענו	not less than "-0-")	
a					<u>55,730.</u>
)					-67,931.
<u> </u>					10.
t e					767.
3					10.
f					745.
g					3.
1					128.
<u> </u>					-4,112.
					<u>-7,349.</u>
(
1	····				
n			 .		
1					
)					
	r If nam also ente	r in Part Lline 7			
Capital gain net income or (net cap	oital loss) { if (loss), enter "-	r in Part I, line 7 0-" in Part I, line 7	2		<u>-21,999.</u>
Net short-term capital gain or (loss	s) as defined in sections 1222(5) ai	, I			
If gain, also enter in Part I, line 8, o	column (c).	}			
If (loss), enter "-0-" in Part I, line 8			3	N/A	

EDITH MARIE APPLETON FOUNDATION 36-4329167

Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) Recipient If recipient is an individual, Purpose of grant or contribution Foundation show any relationship to status of recipient Amount any foundation manager or substantial contributor Name and address (home or business) USO ILLINOIS NONE NOT FOR PROFIT TO FURTHER THE PURPOSE 700 E GRAND AVE #105 OF THE ORGANIZATION CHICAGO, IL 60611 190,000. 190 000 Total from continuation sheets

FORM 990-PF DIVIDEND	S AND	INTER	EST	FROM	SEC	JRITIES	STATEMENT	1
SOURCE ;		GROSS	AMO	DUNT		PITAL GAINS DIVIDENDS	COLUMN (A AMOUNT	.)
BLACKSTONE GROUP LLC	-	_		246.		0.		46
BOND AMORTIZATION			-4	,742.		0.	-4,7	
KKR & CO LP				590.		0.		90
MISCELLANEOUS INCOME				150.		0.		50
ROTHSCHILD INVESTMENTS				,978.		0.	28,9	
UBS FINANCIAL SERVICES	_		4	,411.		0.	4,4	11.
TOTAL TO FM 990-PF, PART I, L	N 4		29	,633.		0.	29,6	33
	 				<u> </u>	-		
FORM 990-PF	0,	THER II	NCO	ME			STATEMENT	
DESCRIPTION		1	RE	(A) JENUE BOOK		(B) NET INVEST- MENT INCOME	(C) ADJUSTE NET INCO	
BLACKSTONE GROUP LLC					369.	-369		
KKR & CO LP					438.	438		
ING USA ANNUITY & LIFE INS				700,		700,000		
TOTAL TO FORM 990-PF, PART I,	LINE	11	-	700,	069.	700,069	•	
FORM 990-PF		LEGAL	FE	ES			STATEMENT	
	EXPE			(B)		(C) ADJUSTED	(D) CHARITA	
DESCRIPTION	PER 1	BOOKS	ME	NT IN	COME	NET INCOM	E PURPOS	ES
LEGAL		610.			0	•		0
		610.			0			0

FORM 990-PF	ACCOUNTI	NG FEES	S	ratement 4
DESCRIPTION		(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	3,955.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	3,955.	0.		0.
FORM 990-PF	TAX	ES	S'	ratement 5
DESCRIPTION				(D) CHARITABLE PURPOSES
PAYROLL TAX FOREIGN TAX FEDERAL INCOME TAX	3,974. 32. 1,200.	0. 0. 0.		0. 0. 0.
TO FORM 990-PF, PG 1, LN 18	(A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITY PER BOOKS MENT INCOME NET INCOME PURPOS 3,955. 0. TAXES STATEMENT (A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITY PER BOOKS MENT INCOME NET INCOME PURPOS 3,974. 0. 32. 0. 1,200. 0. 27, PG 1, LN 18 5,206. 0. OTHER EXPENSES STATEMENT (A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITY PER BOOKS MENT INCOME NET INCOME PURPOS (A) (B) (C) (D) EXPENSES NET INVEST- ADJUSTED CHARITY PER BOOKS MENT INCOME NET INCOME PURPOS 3,9641. 0. 21,292. 0. 31. 0.	0.		
FORM 990-PF	OTHER E	XPENSES	S'	PATEMENT 6
DESCRIPTION	EXPENSES	NET INVEST-	ADJUSTED	(D) CHARITABLE PURPOSES
BROKERAGE FEE INSURANCE EXPENSE MISCELLANEOUS	21,292.	0.		0.
TO FORM 990-PF, PG 1, LN 23	30,936.	0.		0.

FORM 990-PF CORPORATE S	STOCK	STATEMENT 7
 DESCRIPTION:	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	534,162.	701,782
TOTAL TO FORM 990-PF, PART II, LINE 10B	534,162.	701,782
FORM 990-PF CORPORATE I	BONDS	STATEMENT 8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	383,625.	153,041.
TOTAL TO FORM 990-PF, PART II, LINE 10C	383,625.	153,041
FORM 990-PF OTHER INVEST	PMENTS	STATEMENT 9
VALUA DESCRIPTION METH	ATION HOD BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS COS	T 4,935,215.	3,893,334
TOTAL TO FORM 990-PF, PART II, LINE 13	4,935,215.	3,893,334
FORM 990-PF OTHER LIABII	LITIES	STATEMENT 10
DESCRIPTION	BOY AMOUNT	EOY AMOUNT
ACCRUED PAYROLL LIABILITY	1,442.	1,012.
TOTAL TO FORM 990-PF, PART II, LINE 22	1,442.	1,012.

	- LIST OF OFFICERS, I S AND FOUNDATION MANA		STATI	EMENT 14
NAME AND ADDRESS	TITLE AND AVRG HRS/WK		EMPLOYEE BEN PLAN CONTRIB	
ALBERT I GOODMAN 1028 SENECA ROAD WILMETTE, IL 60091	PRESIDENT TREA	ASURER DIRECT	0.	0.
ROBERT DUNAGAN 1150 GLEN OAKS BOULEVARD PASADENA, CA 91105	DIRECTOR 1.00	0.	0.	0.
JANE HANNON 2821 WEST LUNT CHICAGO, IL 60645	SECRETARY DIRI	ECTOR 0.	0.	0.
CATHY SMERCH 210 TENTH STREET WILMETTE, IL 60091	VICE PRESIDENT	T DIRECTOR 0.	0.	0.
MARIA GOODMAN 1028 SENECA ROAD WILMETTE, IL 60091	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PA	AGE 6, PART VIII	0.	0.	0.

Short-Term Capital Gains and Losses

Description	Quantity	Acquired	Sold	Sales Price	Cost	Loss	Gain
COVANTA HLDGS CORP COM	900.000	01/31/12	11/27/12	16,551.00	12,081.80	0.00	4,469.20
CUBESMART COM	2,600.0000	11/09/11	03/26/12	31,542.53	24,456.56	00.00	7,085.97
EATON CORP	300.000	09/22/11	02/23/12	15,537.81	10,356.24	00.00	5,181.57.
EATON CORP	400.0000	09/22/11	08/09/12	18,241.29	13,808.31	00.0	4,432.98
EATON CORP	400.0000	07/12/12	09/10/12	18,881.27	14,902.62	00.0	3,978.65
FORD MTR CO DEL WARRANTS	1,500.0000	12/08/11	12/07/12	3,765.00	4,033.80	268.80	0.00
J2 GLOBAL INC.	1,200.0000	05/11/12	09/19/12	37,098.62	30,009.94	00:00	7,088.68
LAS VEGAS SANDS CORP	0000.009	08/14/12	12/14/12	28,082.25	23,384.50	00.00	4,697.75
MARKET VECTORS BRAZIL SM CAP	200.0000	12/08/11	04/17/12	21,325.42	21,597.93	272.51	0.00
PUBLIC STORAGE PFD SER A 6.125	2,000.0000	03/28/12	09/19/12	50,775.36	50,823.50	48.14	0.00
REPUBLIC SERVICES INC.	750.0000	12/08/11	09/19/12	21,278.20	20,174.95	000	1,103.25
SCHULMAN A INC.	1,000.0000	07/12/12	10/19/12	23,821.76	21,424.40	00.00	2,397.36
STANLEY BLACK&DECKER INC.	250.0000	07/12/12	09/06/12	17,621.15	14,938.50	00.0	2,682.65
STANLEY BLACK&DECKER INC.	250.0000	07/12/12	09/21/12	19,557.06	14,938.50	00.0	4,618.56
STARWD HOTLS & RESRTS	200.0000	11/09/11	02/22/12	26,832.01	24,592.05	00:00	2,239.96
TELETECH HLDGS INC.	1,000.0000	08/05/11	02/29/12	15,869.56	18,191.34	2,321.78	0.00
THERMO FISHER SCIENTIFIC	400.0000	08/10/11	02/28/12	22,772.08	20,302.34	00.00	2,469.74
US BANCORP DEL INC	1,000.0000	11/09/11	03/27/12	31,877.92	25,682.83	0.00	6,195.09
Total Short-Term				421,430.29	365,700.11	2,911.23	58,641.41

Long-Term Capital Gains and Losses

Description	Quantity	Acquired	Sold	Sales Price	Cost	Loss	Gain
ALEXANDRIA RE PFD SER C	650.0000	06/21/04	01/13/12	16,736.80	16,250.00	00.0	486.80
CABCO-GOLDMAN 6% PFD	4,000.0000	03/02/04	01/13/12	88,866.02	100,000.00	11,133.98	0.00
COVANTA HLDGS CORP COM	1,600.0000	10/28/11	11/27/12	29,432.71	24,289.89	0.00	5,142.82
EMERSON ELECTRIC CO	30.000	12/09/99	05/14/12	1,395.22	819.38	0.00	575.84
FNMA POOL 19545226	650,000.0000	07/30/04	01/11/12	9,216.61	9,357.92	141.31	00.00
FORD MOTOR COMPANY	2,500.0000	04/28/10	02/23/12	30,883.19	32,708.35	1,825.16	00.00
FORD MTR CO DEL WARRANTS	2,500.0000	05/13/11	12/07/12	6,260.27	15,770.12	9,509.85	00.0
FORD MTR CO DEL WARRANTS	1,000.0000	12/08/11	12/18/12	2,641.44	2,689.20	47.76	00.0
GNMA POOL 0002536M	1,450,000.0000	10/04/04	01/11/12	18,701.58	18,841.98	140.40	00.0
LHC GROUP INC	1,000.0000	60/90/80	07/16/12	17,632.40	24,650.17	7,017.77	00.00
PETROLEO BRAS SA ADS	1,000.0000	12/04/09	01/13/12	26,843.13	53,635.19	26,792.06	0.00
PROSHARES ULTRASHORT LEHMAN	3,500.0000	06/12/09	01/13/12	64,807.76	200,711.94	135,904.18	0.00
PROTECTIVE LIFE INTERNTS	100,000.0000	11/16/04	01/13/12	100,994.75	100,000.00	00.00	994.75

SPDR GOLD TRUST	200.0000	02/27/09	01/24/12	32,128.78	19,404.62	0.00	12,724.16	
SPDR GOLD TRUST	250.0000	02/27/09	02/27/12	42,781.86	24,255.78	0.00	18,526.08	
SPDR GOLD TRUST	200.0000	02/27/09	05/17/12	74,155.69	48,511.55	0.00	25,644.14	
SPDR GOLD TRUST	150.0000	02/27/09	08/17/12	23,138.58	14,553.46	0.00	8,585.12	
SPDR GOLD TRUST	100.0000	02/27/09	08/29/12	15,944.72	9,702.31	0.00	6,242.41	
SPDR GOLD TRUST	80.0000	02/27/09	09/06/12	13,113.98	7,761.84	0.00	5,352.14	
SPDR GOLD TRUST	100.0000	02/27/09	10/11/12	17,038.89	9,702.30	0.00	7,336.59.	
SPDR GOLD TRUST	20.0000	02/27/09	11/15/12	3,222.43	1,940.46	0.00	1,281.97	
SPDR GOLD TRUST	100.0000	02/27/09	12/07/12	16,361.71	9,702.31	0.00	6,659.40	
WASTE MANAGEMENT	1,000.0000	04/30/09	07/27/12	34,061.03	26,613.50	0.00	7,447.53	
SEARIVER MARITIME FINL HLDGS	100,000.0000	04/29/08	09/04/12	100,000.00	82,418.50	0.00	17,581.50	

Total Long-Term

786,359.55 854,290.77 192,512.47 124,581.25

Form **990-W** (2013)

Form				d Business Ta		L	OMB•No 1545-0976
Depa	rksheet)	(and on Inve	estment Income for Priv	Organization ate Foundations) F(ernal Revenue Service)	IS ORM 990-	-PF	2013
1	Unrelated business taxable income expected in th	ne tax year				1	
2	Tax on the amount on line 1 See instructions fo	r tax computatio	on			2	
3	Alternative minimum tax (see instructions)					3	
4	Total. Add lines 2 and 3					4	
5	Estimated tax credits (see instructions)					5	
6	Subtract line 5 from line 4					6	
7	Other taxes (see instructions)					7	
8	Total. Add lines 6 and 7					8	
9	Credit for federal tax paid on fuels (see instruction	18)				9	
b	Subtract line 9 from line 8. Note . If less than \$500 estimated tax payments. Private foundations, see Enter the tax shown on the 2012 return (see instruction or the tax year was for less than 12 months, and enter the amount from line 10a on line 10c	instructions uctions). Cautio skip this line	n If	10a 10b			
	2013 Estimated Tax Enter the smaller of line 10a from line 10a on line 10c	i or lille Tob. II ti	ne organization is requir	ed to skip line TOD, enter th	e amount	10c	2,000.
			(a)	(b)	(c)		(d)
11	Installment due dates (see instructions)	11					12/16/13
12	Required installments. Enter 25% of line 10c in columns (a) through (d) unless the organization uses the annualized income installment method, the adjusted seasonal installment method, or is a "large organization" (see instructions)	12					2,000.
13	2012 Overpayment (see instructions)	13					
14	Payment due (Subtract line 13 from line 12.)	14					2.000.

LHA For Paperwork Reduction Act Notice, see instructions

FORM 990-PF

Tax Return Carryovers to 2013

NAIVIE. <u>LUL</u>	TH MARIE APPLETON FOUNDATION	Organistics	Entity/	Number	
Disallowing Form	Description	Originating Form	Entity/ Activity	St/ City	Amount
90-PF	EXCESS DISTRIBUTIONS	990-PF			2,196,054
,					
<u> </u>					
			-		
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					-
					
			•		
_					
				-	

212541 05-01-12

Form **8868**

(Řèv. January 2013)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

File a separate application for each return.

OMB No. 1545-1709

	7 1 110 d dop	on are app	Modulati for Caciff Claim					
	are filing for an Automatic 3-Month Extension, comple	-		-		ightharpoons		
	are filing for an Additional (Not Automatic) 3-Month E	-						
	ompletè Part II unless you have already been granted							
	ic filing (e-file). You can electronically file Form 8868 if							
	to file Form 990-T), or an additional (not automatic) 3-mo							
	file any of the forms listed in Part I or Part II with the ex							
	Benefit Contracts, which must be sent to the IRS in pa		(see instructions). For more details (on the ele	ectronic filing of thi	s form,		
Part I	irs.gov/efile and click on e-file for Charities & Nonprofit		submit original (no conion no	odod)				
	Automatic 3-Month Extension of Time				_ 			
Part I only	tion required to file Form 990-T and requesting an auto							
•	orporations (including 1120-C filers), partnerships, REN				nsion of time			
	me tax returns.		made made and room root to reques			· · · · · · · · · · · · · · · · · · ·		
Type or	Name of exempt organization or other filer, see instru	uctions.		Employe	er identification nui	mber (EIN) or		
print						6 11		
File by the	EDITH MARIE APPLETON FOUND				36-43291			
due date for	Number, street, and room or suite no. If a P.O. box, s	ee instruc	tions.	Social s	ecurity number (SS	SN)		
filing your return See 2028 SENECA ROAD								
Instructions City, town or post office, state, and ZIP code. For a foreign address, see instructions.								
WILMETTE, IL 60091								
Enter the E	Return code for the return that this application is for (file		to application for each return)			0 4		
Litter tile r	return code for the return that this application is for this	e a sepaia	te application for each return)			<u> </u>		
Applicatio	n	Return	Application			Return		
The second secon								
Is For Code Is For Co Form 990 or Form 990-EZ 01 Form 990-T (corporation) 03								
Form 990-6	BL	02	Form 1041-A			08		
Form 4720	(individual)	03	Form 4720			09		
Form 990-F	PF	04	Form 5227			10		
Form 990-1	「(sec. 401(a) or 408(a) trust)	05	Form 6069			11		
Form 990-1	Γ (trust other than above)	06	Form 8870			12		
	ALBERT I GOODM							
	oks are in the care of > 1028 SENECA ROZ	AD - 1						
	ne No. ► 847-251-7703		FAX No. ▶					
	ganization does not have an office or place of business					aback this		
	for a Group Return, enter the organization's four digit							
	If it is for part of the group, check this box ▶ Jest an automatic 3-month (6 months for a corporation				bers trie extension	15 101.		
-	· · · · · · · · · · · · · · · · · · ·		tion return for the organization name		The extension			
	the organization's return for:	t Organiza	torrecam for the organization name	a above.	THO OXIONOION			
	C calendar year 2012 or							
▶Ē		, an	d ending					
								
2 If the	tax year entered in line 1 is for less than 12 months, cl	heck reaso	on: 🔲 Initial return 🔲 F	inal retu	m			
	Change in accounting period							
	· · · · · · · · · · · · · · · · · · ·							
3a If this	application is for Form 990-BL, 990-PF, 990-T, 4720, o	or 6069, et	nter the tentative tax, less any					
	fundable credits. See instructions.			3a	\$	611.		
	application is for Form 990-PF, 990-T, 4720, or 6069,	=				£11		
	ated tax payments made. Include any prior year overp			3b	\$	611.		
	nce due. Subtract line 3b from line 3a. Include your pa					0.		
	ing EFTPS (Electronic Federal Tax Payment System). S			3c	FO for powers 1 in			
	you are going to make an electronic fund withdrawal w			iiii 8879-				
HA For	Privacy Act and Paperwork Reduction Act Notice,	see instru	ictions.		+orm 8868 (I	Rev. 1-2013)		

					Page 2	
Form 8868 (Rev. 1-2013)		and the same Dark II and shock the	o boy		. ► X.	
If you are filing for an Additional (Not Automatic) 3-Month E					, - La	
Note. Only complete Part II if you have already been granted an If you are filing for an Automatic 3-Month Extension, complete.		•	mea Form	0000.		
Part II Additional (Not Automatic) 3-Month			nal (no c	onies nee	eded).	
Tartin Additional (Not Automatio) o month	LXterisio					
				identifying number, see instructions Employer identification number (EIN) or		
ype or Name of exempt organization or other filer, see instructions			Employer identification number (Life) of			
File by the EDITH MARIE APPLETON FOUNDATION				36-4329167		
due delet			Social se	Social security number (SSN)		
filing your return See 1028 SENECA ROAD			Coolar socially flames (cory			
onstructions City, town or post office, state, and ZIP code. For a	foreign add	tress see instructions				
WILMETTE, IL 60091	ioroigir au	21000, 000 metraetterie.				
			-			
Enter the Return code for the return that this application is for (f	ile a senara	te application for each return)			0 4	
the second of the recent that the approach to tell (u oopu		• •			
Application	Return	Application			Return	
ls For	Code	Is For			Code	
Form 990 or Form 990-EZ	01					
Form 990-BL	02	Form 1041-A			08	
Form 4720 (ındividual)	03	Form 4720			09	
Form 990-PF	04	Form 5227			10	
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069			11	
Form 990-T (trust other than above)	06	Form 8870			12	
STOP! Do not complete Part II if you were not already grante	d an autor	natic 3-month extension on a prev	iously file	ed Form 886	88	
ALBERT I GOODM	IAN					
 The books are in the care of ► 1028 SENECA RC 	AD - 1	WILMETTE, IL 60091				
Telephone No. ► 847-251-7703		FAX No. ▶				
 If the organization does not have an office or place of busines 	ss in the Ur	nited States, check this box			. ▶ 🗀	
 If this is for a Group Return, enter the organization's four digit 	Group Exe	emption Number (GEN)	lf this is fo	r the whole	group, check this	
box 🕨 🔲 . If it is for part of the group, check this box 🕨 🗌	and atta	ch a list with the names and EINs o	f all memb	ers the exte	nsion is for.	
4 request an additional 3-month extension of time until	NOVEM	BER 15, 2013.				
5 For calendar year 2012 , or other tax year beginning		, and endin	g		·	
6 If the tax year entered in line 5 is for less than 12 months,	check reas	on: Initial return	Final r	etum		
Change in accounting period						
7 State in detail why you need the extension						
MORE TIME IS NEEDED TO GATHER	INFO	RMATION				
-						
8a If this application is for Form 990-BL, 990-PF, 990-T, 4720,	or 6069, e	nter the tentative tax, less any				
nonrefundable credits. See instructions.			8a	\$	611.	
b If this application is for Form 990-PF, 990-T, 4720, or 6069	, enter any	refundable credits and estimated				
tax payments made. Include any prior year overpayment a	llowed as a	credit and any amount paid				
previously with Form 8868.			8b	\$	611.	
c Balance due. Subtract line 8b from line 8a. Include your p	ayment wit	h this form, if required, by using			_	
EFTPS (Electronic Federal Tax Payment System). See instr			8c_	\$	0.	
		st be completed for Part II o				
Inder penalties of perjury, I declare that I have examined this form, inclu-	ding accomp	anying schedules and statements, and t	o the best o	f'my knowled	ge and belief,	
is true, correct and complete, and that I am authorized to prepare this f	1 0	4		Ç.	-14-13	
ignature \(\text{Ullfig}\)	CPI	<i>I</i>	Date			
· // //				Form 8	3868 (Rev. 1-2013)	

JOHN R. WATERS & COMPANY 123 N. Wacker Dr. STE. 1550 CHICAGO, IL 60606 ID# 36-3446407

223842 01-21-13